

– Electronic Supplementary Material (ESI)–

**Kinetics and mechanism of organocatalytic aza–Michael additions: direct observation of enamine intermediates**

S. Lakhdar, M. Baidya, and Herbert Mayr\*

*Department Chemie  
Ludwig-Maximilians-Universität München  
Butenandtstraße 5-13 (Haus F)  
81377 München (Germany)  
Fax: (+49) 89-2180-77717  
E-mail: herbert.mayr@cup.uni-muenchen.de*

## Table of Contents

1. General .....	S3
2. Product Characterization by NMR Spectroscopy.....	S3
3. Kinetics.....	S4
4. Determination of the Equilibrium Constants .....	S8
5. Copies of the $^1\text{H}$ and $^{13}\text{C}$ NMR spectra.....	S9

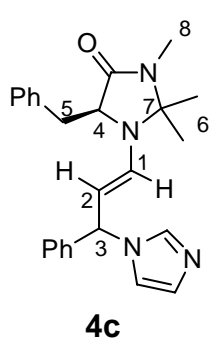
## 1. General

The  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra were recorded at 600, 400 or 300 MHz and at 150, 100 or 75.5 MHz, respectively. The chemical shifts ( $\delta$ ) for  $^1\text{H}$  and  $^{13}\text{C}$  are given in ppm relative to residual signals of the solvents ( $\text{CD}_3\text{CN}$  @ 1.94 ppm  $^1\text{H}$  NMR, 117.4 ppm  $^{13}\text{C}$  NMR). Coupling constants are given in Hz. Carbon multiplicities were determined from HSQC experiments. The following abbreviations are used to indicate the multiplicity: s, singlet; d, doublet; t, triplet; q, quartet; m, multiplet; bs, broad singlet. NMR signal assignments are based on additional 2D-NMR experiments (COSY, NOESY, HSQC, and HMBC).

Commercially available acetonitrile (VWR, Prolabo, HPLC-gradient grade) was used without further purification for all kinetic experiments and determinations of equilibrium constants. The azoles **1a–g** were purified prior to use. The iminium ion **2** was prepared according to literature procedures.<sup>S1</sup>

## 2. Product Characterization by NMR Spectroscopy

Under an atmosphere of nitrogen, 4 equivalents (or little excess) of imidazole **1c**, were added directly to a  $\text{CD}_3\text{CN}$  solution of the iminium ion **2** in an NMR tube. After few minutes of shaking, the NMR study was performed, which shows the formation of the enamine **4c** as a mixture of two diastereoisomers (1:1).



$^1\text{H}$  NMR (400 MHz,  $\text{CD}_3\text{CN}$ ):  $\delta$  = 7.90 (s, 1H), 7.24-7.50 (m, 6H), 7.10-7.20 (m, 4H), 7.00-7.07 (m, 1H), 6.94-6.99 (m, 1H), 6.56 (d,  $J$  = 13.7 Hz, 1H, 1-H), 5.97 (dd,  $J$  = 9.0 Hz, 13, 6 Hz, 1H, 3-H), 4.91-5.08 (m, 1H, 2-H), 4.25 (bs, 4-H), 4.20 (bs, 4-H), 2.98-3.44 (m, 2H, 5-H), 2.65\* (s, 3H, 8-H), 2.64<sup>#</sup> (s, 3H, 6H), 0.66\* (s, 3H, 6H), 0.65<sup>#</sup> (s, 3H, 6-H);  $^{13}\text{C}$  NMR (101 MHz,  $\text{CD}_3\text{CN}$ ) 168.8\* (s, C=O), 168.7<sup>#</sup> (s, C=O), 142.3, 141.8, 136.9, 136.9, 136.3, 136.2, 133.3, 132.9 (d, C-1), 130.1 (d), 130.0, 129.3, 128.93, 128.8, 128.3, 127.8, 127.8, 127.5, 126.7, 126.6, 126.5, 126.5, 118.9, 118.8, 97.8\* (d, C-2), 97.7<sup>#</sup> (d, C-2), 78.3\* (s, C-7), 78.2<sup>#</sup> (s, C-7), 63.3\* (d, C-3), 63.2<sup>#</sup> (d, C-3), 59.9\* (d, C-4), 59.9<sup>#</sup> (d, C-4), 33.4\* (d, C-5), 33.4<sup>#</sup> (d, C-5), 25.0\*, 25.0<sup>#</sup>, 24.2\* (q, C-8), 24.2<sup>#</sup> (q, C-8).

\*: diastereoisomer 1; #: diastereoisomer 2

S1 a) S. Lakhdar, T. Tokuyasu and H. Mayr, *Angew. Chem.*, 2008, **120**, 8851; *Angew. Chem., Int. Ed.*, 2008, **47**, 8723; b) S. Lakhdar, J. Ammer and H. Mayr *Angew. Chem.* 2011, **123**, 10127-10130; *Angew. Chem. Int. Ed.* 2011, **50**, 9953-9956.

### 3. Kinetics

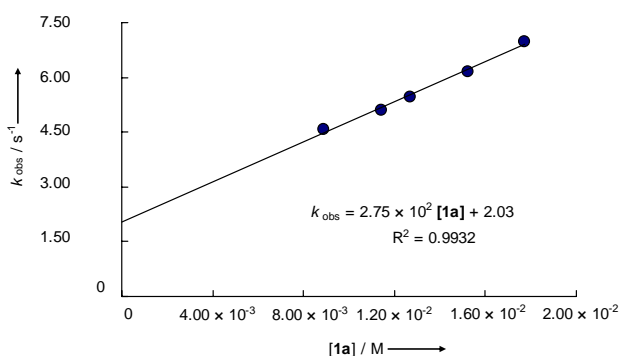
All reactions of azoles with iminium ion **2** were performed in acetonitrile at 20°C. The kinetics were followed photometrically using the stopped-flow technique described previously.<sup>[S1]</sup> The kinetic runs were performed by mixing equal volumes of acetonitrile solutions of the azoles with acetonitrile solutions of **2** and monitoring the decrease of absorbances at the wavelength close to the absorption maxima of **2** (370 nm).

In general, azoles were applied in high excess over iminium ion **2** for achieving pseudo-first-order conditions. The first-order rate constants  $k_{\text{obs}}$  ( $\text{s}^{-1}$ ) were obtained by least-squares fitting of the absorbances (averaged from at least 6 kinetic runs) to the single exponential function  $A = A_0 \exp(-k_{\text{obs}}t) + C$ . Second-order rate constants  $k$  ( $\text{M}^{-1} \text{s}^{-1}$ ) were then obtained from the slope of the  $k_{\text{obs}}$  vs  $[\mathbf{1a-g}]$  curve.

**Table S1.** Rate constants for the reaction of **1a** with **2** in acetonitrile (0.5% DMSO) (Stopped-flow method, 20 °C,  $\lambda = 370$  nm).

[ <b>2</b> ] (M)	[ <b>1a</b> ] (M)	$k_{\text{obs}}$ ( $\text{s}^{-1}$ )
$1.20 \times 10^{-4}$	$8.88 \times 10^{-3}$	4.56
$1.20 \times 10^{-4}$	$1.14 \times 10^{-2}$	5.11
$1.20 \times 10^{-4}$	$1.27 \times 10^{-2}$	5.45
$1.20 \times 10^{-4}$	$1.52 \times 10^{-2}$	6.16
$1.20 \times 10^{-4}$	$1.78 \times 10^{-2}$	6.99

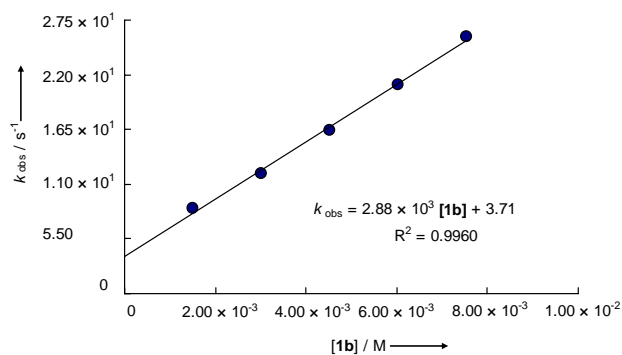
$k_2 = 2.75 \times 10^2 \text{ M}^{-1} \text{ s}^{-1}$



**Table S2.** Rate constants for the reaction of **1b** with **2** in acetonitrile (Stopped-flow method, 20 °C,  $\lambda = 370$  nm).

[2] (M)	[1b] (M)	$k_{\text{obs}}$ ( $\text{s}^{-1}$ )
$1.20 \times 10^{-4}$	$1.51 \times 10^{-3}$	8.59
$1.20 \times 10^{-4}$	$3.02 \times 10^{-3}$	$1.20 \times 10^1$
$1.20 \times 10^{-4}$	$4.53 \times 10^{-3}$	$1.64 \times 10^1$
$1.20 \times 10^{-4}$	$6.04 \times 10^{-3}$	$2.10 \times 10^1$
$1.20 \times 10^{-4}$	$7.54 \times 10^{-3}$	$2.59 \times 10^1$

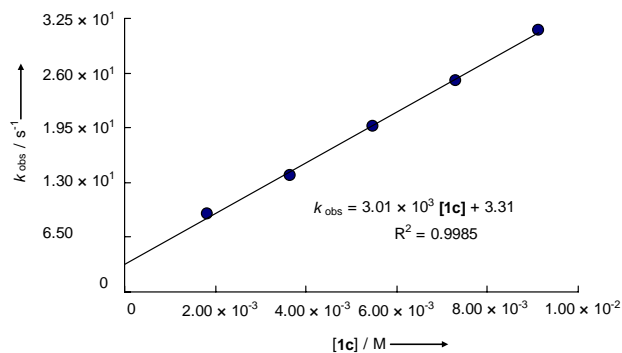
$k_2 = 2.88 \times 10^3 \text{ M}^{-1} \text{ s}^{-1}$



**Table S3.** Rate constants for the reaction of **1c** with **2** in acetonitrile (Stopped-flow method, 20 °C,  $\lambda = 370$  nm).

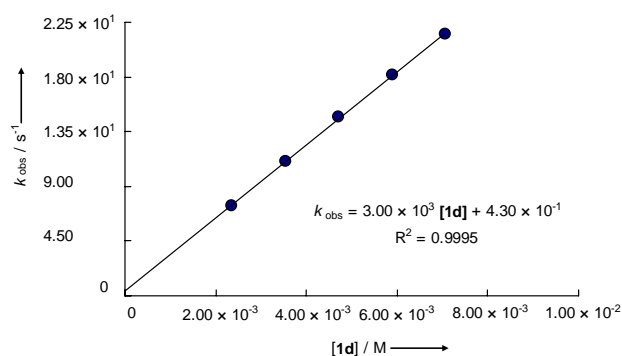
[2] (M)	[1c] (M)	$k_{\text{obs}}$ ( $\text{s}^{-1}$ )
$1.20 \times 10^{-4}$	$1.82 \times 10^{-3}$	9.20
$1.20 \times 10^{-4}$	$3.65 \times 10^{-3}$	$1.39 \times 10^1$
$1.20 \times 10^{-4}$	$5.47 \times 10^{-3}$	$1.96 \times 10^1$
$1.20 \times 10^{-4}$	$7.30 \times 10^{-3}$	$2.51 \times 10^1$
$1.20 \times 10^{-4}$	$9.12 \times 10^{-3}$	$3.10 \times 10^1$

$k_2 = 3.01 \times 10^3 \text{ M}^{-1} \text{ s}^{-1}$



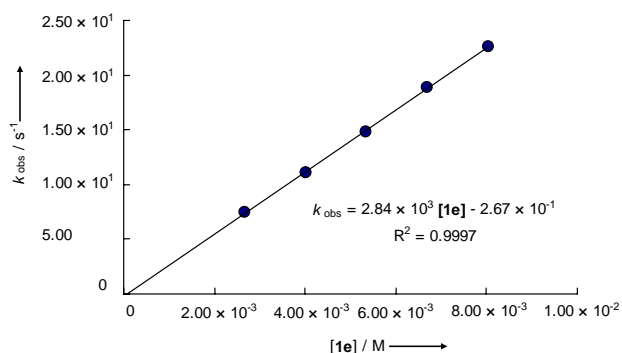
**Table S4.** Rate constants for the reaction of **1d** with **2** in acetonitrile (Stopped-flow method, 20 °C,  $\lambda = 370$  nm).

[ <b>2</b> ] / M	[ <b>1d</b> ] / M	$k_{\text{obs}} / \text{s}^{-1}$
$1.20 \times 10^{-5}$	$2.36 \times 10^{-3}$	7.36
$1.20 \times 10^{-5}$	$3.53 \times 10^{-3}$	$1.11 \times 10^1$
$1.20 \times 10^{-5}$	$4.71 \times 10^{-3}$	$1.47 \times 10^1$
$1.20 \times 10^{-5}$	$5.89 \times 10^{-3}$	$1.81 \times 10^1$
$1.20 \times 10^{-5}$	$7.07 \times 10^{-3}$	$2.15 \times 10^1$
<b><math>k_2 = 3.00 \times 10^3 \text{ M}^{-1} \text{ s}^{-1}</math></b>		



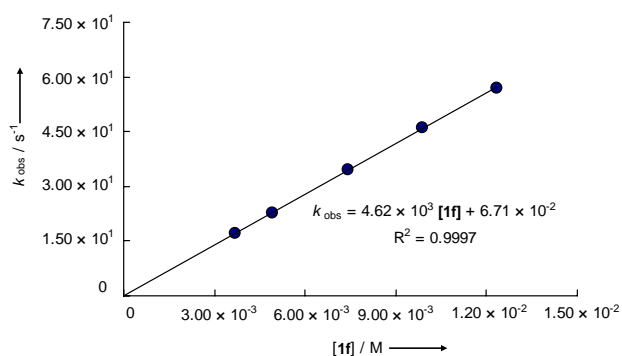
**Table S5.** Rate constants for the reaction of **1e** with **2** in acetonitrile (Stopped-flow method, 20 °C,  $\lambda = 370$  nm).

[ <b>2</b> ] / M	[ <b>1e</b> ] / M	$k_{\text{obs}} / \text{s}^{-1}$
$1.20 \times 10^{-4}$	$2.68 \times 10^{-3}$	7.46
$1.20 \times 10^{-4}$	$4.02 \times 10^{-3}$	$1.10 \times 10^1$
$1.20 \times 10^{-4}$	$5.36 \times 10^{-3}$	$1.48 \times 10^1$
$1.20 \times 10^{-4}$	$6.70 \times 10^{-3}$	$1.88 \times 10^1$
$1.20 \times 10^{-4}$	$8.03 \times 10^{-3}$	$2.26 \times 10^1$
<b><math>k_2 = 2.84 \times 10^3 \text{ M}^{-1} \text{ s}^{-1}</math></b>		



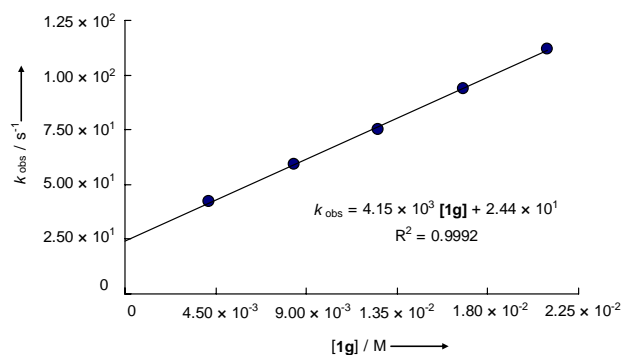
**Table S6.** Rate constants for the reaction of **1f** with **2** in acetonitrile (Stopped-flow method, 20 °C,  $\lambda = 370$  nm).

[ <b>2</b> ] / M	[ <b>1f</b> ] / M	$k_{\text{obs}} / \text{s}^{-1}$
$1.20 \times 10^{-4}$	$3.70 \times 10^{-3}$	$1.70 \times 10^1$
$1.20 \times 10^{-4}$	$4.94 \times 10^{-3}$	$2.27 \times 10^1$
$1.20 \times 10^{-4}$	$7.41 \times 10^{-3}$	$3.46 \times 10^1$
$1.20 \times 10^{-4}$	$9.88 \times 10^{-3}$	$4.60 \times 10^1$
$1.20 \times 10^{-4}$	$1.23 \times 10^{-2}$	$5.68 \times 10^1$
<b><math>k_2 = 4.62 \times 10^3 \text{ M}^{-1} \text{ s}^{-1}</math></b>		



**Table S7.** Rate constants for the reaction of **1g** with **2** in acetonitrile (Stopped-flow method, 20 °C,  $\lambda = 370$  nm).

[ <b>2</b> ] / M	[ <b>1g</b> ] / M	$k_{\text{obs}} / \text{s}^{-1}$
$1.20 \times 10^{-4}$	$4.19 \times 10^{-3}$	$4.22 \times 10^1$
$1.20 \times 10^{-4}$	$8.38 \times 10^{-3}$	$5.93 \times 10^1$
$1.20 \times 10^{-4}$	$1.26 \times 10^{-2}$	$7.52 \times 10^1$
$1.20 \times 10^{-4}$	$1.68 \times 10^{-2}$	$9.39 \times 10^1$
$1.20 \times 10^{-4}$	$2.10 \times 10^{-2}$	$1.12 \times 10^2$
<b><math>k_2 = 4.15 \times 10^3 \text{ M}^{-1} \text{ s}^{-1}</math></b>		



## 4. Determination of the Equilibrium Constants

**Table S8.** Equilibrium constant for the reaction of **1b** with **2** in acetonitrile (20 °C, 370 nm).

Entry	$A_t$	$(A_0 - A)$	$[2]_t$	$[1b]_t$	$K$ (L mol <sup>-1</sup> )
0			$7.19 \times 10^{-5}$	0	
1	0.750	0.510	$4.26 \times 10^{-5}$	$7.26 \times 10^{-4}$	$9.95 \times 10^2$
2	0.437	0.818	$2.48 \times 10^{-5}$	$1.45 \times 10^{-3}$	$1.32 \times 10^3$
3	0.272	0.978	$1.55 \times 10^{-5}$	$2.16 \times 10^{-3}$	$1.68 \times 10^3$
4	0.200	1.045	$1.14 \times 10^{-5}$	$2.87 \times 10^{-3}$	$1.83 \times 10^3$

$$K = (1.61 \pm 0.26) \times 10^3 \text{ L mol}^{-1}$$

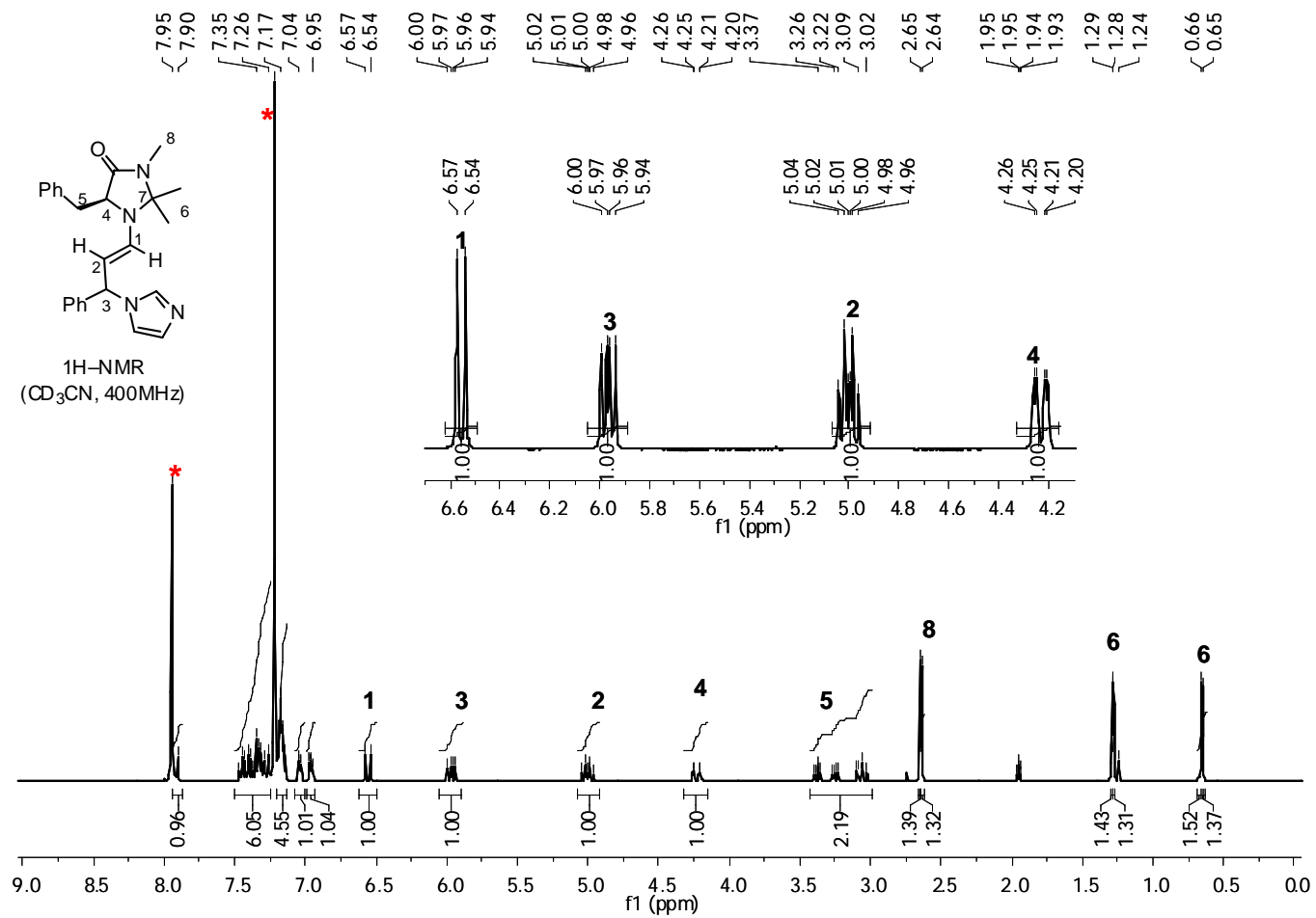
**Table S9.** Equilibrium constant for the reaction of **1e** with **2** in acetonitrile (20 °C, 370 nm).

Entry	$A_t$	$(A_0 - A)$	$[2]_t$	$[1b]_t$	$K$ (L mol <sup>-1</sup> )
0			$7.26 \times 10^{-5}$	0	
1	1.010	0.209	$5.97 \times 10^{-5}$	$1.01 \times 10^{-3}$	$2.18 \times 10^2$
2	0.894	0.315	$5.28 \times 10^{-5}$	$2.01 \times 10^{-3}$	$1.81 \times 10^2$
3	0.790	0.410	$4.67 \times 10^{-5}$	$2.98 \times 10^{-3}$	$1.76 \times 10^2$
4	0.691	0.499	$4.08 \times 10^{-5}$	$3.95 \times 10^{-3}$	$1.85 \times 10^2$
5	0.609	0.571	$3.60 \times 10^{-5}$	$4.89 \times 10^{-3}$	$1.93 \times 10^2$

$$K = (1.84 \pm 0.70) \times 10^2 \text{ L mol}^{-1}$$



### Copies of the $^1\text{H}$ , $^{13}\text{C}$ , NMR spectra



\*: excess imidazole

