In Depth Interpret the Structural Changes of Lignin and Formation of Diketones During Acidic Deep Eutectic Solvent Pretreatment

Si Hong^{a,b}, Xiao-Jun Shen^c, Bo Pang^b, Zhimin Xue^b, Xue-Fei Cao^b, Jia-Long Wen^b, Zhuo-Hua Sun^d, Su Shiung Lam^e, Tong-Qi Yuan^{a,b*}, Run-Cang Sun^f

^a Beijing Advanced Innovation Center for Tree Breeding by Molecular Design, Beijing Forestry

University, No. 35 Tsinghua East Road Haidian District, Beijing, 100083, P. R. China.

^b Beijing Key Laboratory of Lignocellulosic Chemistry, Beijing Forestry University, No. 35
 Tsinghua East Road Haidian District, Beijing, 100083, P. R. China.

^c Beijing National Laboratory for Molecular Sciences, CAS Key Laboratory of Colloid and Interface and Thermodynamics, CAS Research/Education Center for Excellence in Molecular Sciences, Institute of Chemistry, Chinese Academy of Sciences, Beijing, 100190, P. R. China.

^d Instituto de Tecnología Química (UPV-CSIC), Universitat Politècnica de València–Consejo Superior de Investigaciones Científicas, Av. de los Naranjos s/n, 46022 Valencia, Spain.

 ^e Pyrolysis Technology Research Group, Institute of Tropical Aquaculture and Fisheries Research (Akuatrop) & Institute of Tropical Biodiversity and Sustainable Development (Bio-D Tropika), Universiti Malaysia Terengganu, 21030 Kuala Terengganu, Terengganu, Malaysia.

^f Center for Lignocellulose Chemistry and Materials, Dalian Polytechnic University, No. 1 Qing Gongyuan Gan Jingzi District, Dalian, 116034, P. R. China.

* Corresponding author: Beijing Advanced Innovation Center for Tree Breeding by Molecular Design, Beijing Forestry University, No.35 Tsinghua East Road Haidian District, Beijing, 100083,
P. R. China. Tel./fax: +86-010-6233-6903; E-mail address: ytq581234@bjfu.edu.cn (T. Q. Yuan).

Contents

1. Materials and methods

1.1 Chemicals and Materials

- **1.2 DES Preparation**
- 1.3 Analytical Methods

2. Tables and Figures

Table S1 Kamlet-Taft parameters of ChCl-LA and ChCl-OA.

Table S2 The NMR assignments of major signals in 2D-HSQC NMR spectra of AL

 and regenerated lignin samples.

 Table S3 The quantificational results of lignin by 2D-HSQC NMR spectra (results expressed per 100Ar).

Table S4 Identified compounds, chemical structure, and retention time using GC-MS technique.

Fig. S1 2D-HSQC NMR spectra of the original DESs (ChCl-LA and ChCl-OA) and the pretreated DESs (ChCl-LA-120 and ChCl-OA-120) at 120 °C for 6 h.

Fig. S2 ¹H NMR spectra of original DESs (ChCl-LA and ChCl-OA) and the recovered liquids (rLA-120 and rOA-120) pretreated at 120 °C for 6 h.

Fig. S3 2D-HSQC NMR spectra of LA and OA.

Fig. S4 ³¹P NMR spectra of AL and regenerated lignin samples.

Fig. S5 FTIR spectra of AL and regenerated lignin samples.

Fig. S6 TG and DTG curves of AL and regenerated lignin samples at heating rate of 20 °C min⁻¹.

Fig. S7 Gas chromatogram of lignin oil obtained after DES pretreatment.

Fig. S8 ATR-FTIR spectra of liquid fractions treated during ChCl-OA pretreatment at 120 °C at different times.

Fig. S9 Possible routes of the cleavage of β -O-4' linkages during DES pretreatment.

3. References

1. Materials and Methods

1.1 Chemicals and Materials

Choline chloride (ChCl), lactic acid (LA) and oxalic acid (OA) were purchased from Sigma-Aldrich. All the chemicals used were analytical grade. The poplar (Triploid of *Populus tomentosa* Carr.) was ground to pass through a 0.8 mm size screen and was extracted with toluene-ethanol (2:1 v/v) in a Soxhlet extractor for 6 h. The extracted poplar sawdust was milled for 1 h in a 500 mL ZrO_2 bowl with mixed balls. The ball-milled poplar was pretreated by 1% sodium hydroxide with a solid-toliquid ratio of 1:15 (g/mL) at 75 °C for 3 h. The purification procedure was performed according to the method of Sun *et al.*¹

1.2 DES Preparation

Acidic DESs were prepared: choline chloride-lactic acid (ChCl-LA) and choline chloride-oxalic acid (ChCl-OA) with molar ratios of 1:2 and 1:1, respectively. The mixtures were carried out at 60 °C and stirred at 200 rpm until a clear liquid was formed. The obtained DESs were cooled at room temperature in a desiccator with silica gel for avoiding moisture absorption.

1.3 Analysis Methods

(1) Measurement of the solvatochromic parameters

Stock solutions of the Kamlet-Taft dyes (Nile red, 4-nitroaniline and N, Ndiethyl-4nitroaniline) were firstly dissolved in methanol with a concentration of 1.0×10^{-3} mol L^{-1,2-4} The solution was transferred by a micropipette into quartz cells with 10 mm light-path length. Each time 0.5 mL dye solution was added into a 5 mL centrifuge tube. The methanol was carefully removed by vacuum drying at 40 °C for 48 h. Then 2 g DES was added and the solution carefully mixed with shaking. The absorption spectra were recorded with UV-Vis spectroscopy at room temperature in the wavelength range of 250-800 nm. Deionized water was measured for background substrate. The Kamlet-Taft parameters were determined using the following equations:

$$E_{NR} = 28591 / \lambda_{NR}$$

$$\pi^* = 0.314 \times (27.52 - v_{NEt2})$$

$$\beta = 11.134 - 0.358 v_{NH2} - 1.125 \pi^*$$

$$\alpha = (19.9657 - 1.0241 \pi^* - v_{NR}) / 1.6078$$

$$v = 1 / (\lambda_{max} \times 10^{-4})$$

(2) NMR Analysis

All NMR spectra of lignin were recorded on a Bruker AVIII 400 MHz (Bruker, Germany) spectrometer at 25 °C. The liquid was solvent in 0.2 mL DMSO- d_6 . The peak (2.49 ppm) of DMSO- d_6 was used as internal standard. The ¹H NMR spectra were acquired according to previous papers.^{5,6} For the 2D-HSQC NMR, 30 mg of lignin was dissolved in 0.5 mL of DMSO- d_6 . 2D-HSQC NMR spectra were acquired as previously.⁷ The data was processed using standard Bruker Topspin-NMR software and the DMSO was used as an internal standard (δ_C/δ_H 39.5/2.49). For ³¹P NMR, 20 mg lignin was dissolved in a solvent mixture composed of anhydrous pyridine and deuterated chloroform, cyclohexanol as an internal standard, chromium (III) acetylacetonate solution as relaxation reagent and 2-chloro-1, 3, 2-dioxaphospholane as phosphitylating reagent. ³¹P NMR spectra were conducted according to previous literature.⁸ For the quantitative ¹³C NMR experiments, 140 mg of lignin was dissolved in 0.5 mL of chromium (III) acetylacetonate (0.01 M) was added as a relaxation agent for the quantitative ¹³C spectrum to reduce the relaxation delay. The quantitative ¹³C NMR spectra were recorded according to a previous paper.⁹

(3) FTIR Analysis

The chemical information of AL and the regenerated lignin was characterized by FTIR spectrophotometer (Bruker Tensor II). Dried samples with KBr were ground in

agate mortar and pelletized. All spectra were tested with the scanning range from $4000 \text{ to } 400 \text{ cm}^{-1} \text{ at } 4 \text{ cm}^{-1}$ resolution.

(4) GPC Analysis

Prior to molecular weight determination, the lignin fractions were acetylated according to the previous research.¹⁰ The weight-average (M_w) and number-average (M_n) molecular weights of the acetylated lignin were determined by gel-permeation chromatographic (GPC) (Agilent 1200, USA) with an ultraviolet detector (UV). The different molecular weight polystyrene standards were used to calibrate at UV absorbance of 254 nm. 4.0 mg of the acetylated lignin was dissolved in 2.0 mL of tetrahydrofuran (THF) and then filtered through a 0.45 µm organic filter. The column was a PL-gel 3 µm mixed-E 300×7.5 mm and eluted with THF at a flow rate of 0.5 mL min⁻¹ at ambient temperature.

(5) TG Analysis

The devolatilization behavior of lignin was conducted by a Netzsch STA 409 thermogravimetric (TG) analyzer with a heating rate of 20 °C min⁻¹ from 25 to 900 °C.

(6) GC-MS Analysis

To analyze the depolymerized products after the DES pretreatment, the extract solution was directly sampled for analysis without any further treatment other than the addition of 1.5 mL methyl alcohol. The extraction solution was analyzed with gas chromatography-mass spectrometer (GC-MS) (Shimadu GCMS-QP2010SE) equipped with a SH-Rxi-5Sil MS capillary column (30 m×0.25 mm). Helium was used as the carrier gas with a constant column flow of 1 mL min⁻¹. The injection temperature and the detection temperature were maintained at 250 and 290 °C, respectively. Sensitivity factors of the products were obtained based on the effective carbon number and according to the previous papers due to lack of commercial

standards. 11,12

(7) ATR-FTIR analysis

Attenuated total reflectance-Fourier transform infrared (ATR-FTIR) spectra ranging from 650 to 4000 cm⁻¹ were measured to detect chemical structure changes of the liquid fraction by Nicolet 6700 spectroscopy equipped with ATR component with a resolution of 4 cm⁻¹.

2. Tables and Figures

DES	π^*	α	β	α-β
ChCl-LA	1.03	1.34	0.54	0.80
ChCl-OA	0.98	1.41	0.31	1.10

 Table S1 Kamlet-Taft parameters of ChCl-LA and ChCl-OA.

Label	$\delta_{\rm C}/\delta_{\rm H}(\rm ppm)$	Assignments
B_{β}	53.1/3.46	C_{β} – H_{β} in phenylcoumaran substructures (B)
C_{β}	53.5/3.05	C_{β} -H _{β} in β - β' (resinol) substructures (C)
-OCH ₃	56.4/3.70	C-H in methoxyls
\mathbf{A}_{γ}	59.5/3.35-3.80	C_{γ} -H _{γ} in β –O–4' substructures (A)
A'_{γ}	63.0/4.36	C_{γ} -H _{γ} in γ -acylated β -O-4' (A')
\mathbf{B}_{γ}	62.3/3.76	C_{γ} -H _{γ} in phenylcoumaran substructures (B)
C_{γ}	71.0/3.79-4.18	C_{γ} -H _{γ} in β - β' resinol substructures (C)
A_{α}	71.6/4.86	C_{α} -H _{α} in β -O-4' linked to S units (A)
$A'_{\beta}(G)$	80.8/4.62	C_{β} -H _{β} in β -O-4' linked to G (A')
$A_{\beta}(G/H)$	83.9/4.29	C_{β} -H _{β} in β -O-4' substructures linked to G and H units (A)
C_{α}	84.9/4.64	C_{α} -H _{α} in β - β ' resinol substructures (C)
$A_{\beta}(S)$	85.9/4.11	C_{β} -H _{β} in β -O-4' linked to S units (A)
\mathbf{B}_{α}	86.8/5.48	C_{α} -H _{α} in phenylcoumaran substructures (B)
S _{2,6}	104.0/6.72	C _{2,6} -H _{2,6} in syringyl units (S)
S' _{2,6}	106.3/7.21	C _{2,6} -H _{2,6} in oxidized S units (S')
G_2	111.0/6.99	C ₂ -H ₂ in guaiacyl units (G)
G_5	114.8/6.68	C ₅ -H ₅ in guaiacyl units (G)
G ₆	119.1/6.80	C ₆ -H ₆ in guaiacyl units (G)
PB _{2,6}	131.2/7.67	C _{2,6} -H _{2,6} in p-hydroxybenzoate substructures (PB)

Table S2 The NMR assignments of major signals in 2D-HSQC NMR spectra of ALand the regenerated lignin samples.

G 1	T(°C) ^a -	Lignin interunit linkage ^b			
Samples		β- <i>Ο</i> -4'	β-β'	β-5'	
AL	-	62.0	12.5	1.5	
LA-80	80	26.0	-	-	
LA-100	100	12.8	-	-	
LA-120	120	-	-	-	
OA-80	80	2.7	11.1	-	
OA-100	100	-	-	-	
OA-120	120	-	-	-	

Table S3 The quantificational results of lignin by 2D-HSQC NMR spectra (resultsexpressed per 100Ar).

^a Processing temperature.

^b Abundances of different interunit linkages are expressed as per 100 aromatic units.

,	Table S4 Identifie	ed compounds,	chemical	l structure,	and retention	on time	using	GC-MS
	technique.							

Samples	Compound name	Structure	Retention time (min)
1.80	Guaiacylacetone	O OH	17.260
L-80	Guaiacyldiketone	O OH	18.080
	Guaiacyldiketone	O O O H	18.050
L-100	Syringaldehyde	O O OH	19.180
	Syringyldiketone	O O O H	21.335
L-120	Guaiacylacetone	O OH	17.220
	Guaiacyldiketone	O OH	18.050

	Syringyldiketone	O O O H	21.325
O-100	Vanillin OH		15.512
	Guaiacylacetone	O OH	17.200
	Guaiacyldiketone	O O O H	18.040
	Syringaldehyde	O O OH	19.100
	Syringylacetone	O OH	20.595
	Syringyldiketone	O O O H	21.315
O-120	Guaiacyldiketone	O O O H	18.050

Syringaldehyde	O O OH	19.090
Syringyldiketone	O O O H	21.325



Fig. S1 2D-HSQC NMR spectra of the original DESs (ChCl-LA and ChCl-OA) and the pretreated DESs (ChCl-LA-120 and ChCl-OA-120) at 120 °C for 6 h.



Fig. S2 ¹H NMR spectra of original DESs (ChCl-LA and ChCl-OA) and the recovered liquids (rLA-120 and rOA-120) pretreated at 120 °C for 6 h.



Fig. S3 2D-HSQC NMR spectra of LA and OA.



Fig. S4 ³¹P NMR spectra of AL and regenerated lignin samples.



Fig. S5 FTIR spectra of AL and regenerated lignin samples.



Fig. S6 TG and DTG curves of AL and regenerated lignin samples at heating rate of $20 \text{ }^{\circ}\text{C} \text{ min}^{-1}$.



Fig. S7 Gas chromatogram of lignin oil obtained after DES pretreatment.



Fig. S8 ATR-FTIR spectra of liquid fractions treated during ChCl-OA pretreatment at 120 °C at different times.



Fig. S9 Possible routes of the cleavage of β -O-4' linkages during DES pretreatment.

3. References

1. R. C. Sun, J. M. Fang and J. Tomkinson, *J Wood Chem. Technol.*, 1999, **19**, 335-356.

2. P. G. Jessop, D. A. Jessop, D. Fu and L. Phan, *Green Chem.*, 2012, 14, 1245-1259.

3. A. Pandey and S. Pandey, J Phys. Chem. B, 2014, 118, 14652-14661.

4. Y. Z. Liu, W. Z. Chen, Q. Q. Xia, B. T. Guo, Q. W. Wang, S. X. Liu, Y. X. Liu, J. Li and H. P. Yu, *Chemsuschem*, 2017, **10**, 1692-1700.

5. K. Lundquist, Acta Chem. Scand., 1979, 33, 27-30.

6. Y. X. An, N. Li, H. Wu, W. Y. Lou and M. H. Zong, ACS Sustain. Chem. Eng., 2015, **3**, 2951-2958.

S. D. Mansfield, H. Kim, F. C. Lu and J. Ralph, *Nat. Protoc.*, 2012, 7, 1579-1589.
 X. Z. Meng, C. Crestini, H. X. Ben, N. J. Hao, Y. Q. Pu, A. J. Ragauskas and D. S. Argyropoulos, *Nat. Protoc.*, 2019, 14, 2627-2647.

9. Z. C. Xia, L. G. Akim and D. S. Argyropoulos, J Agr. Food Chem., 2001, 49, 3573-3578.

10. F. C. Lu and J. Ralph, J Agr. Food Chem., 1997, 45, 2590-2592.

11. L. P. Xiao, S. Z. Wang, H. L. Li, Z. W. Li, Z. J. Shi, L. Xiao, R. C. Sun, Y. M. Fang and G. Y. Song, *ACS Catal.*, 2017, **7**, 7535-7542.

12. A. Rahimi, A. Ulbrich, J. J. Coon and S. S. Stahl, Nature, 2014, 515, 249.