

Electronic Supplementary Information for

Non-destructive descriptions of carotenoids in feathers using Raman spectroscopy

Daniel B. Thomas,^{*ab} Kevin J. McGraw,^c Helen F. James^a and Odile Madden^b

^aDepartment of Vertebrate Zoology, National Museum of Natural History, Smithsonian Institution, Washington, DC, 20013, USA. E-mail: d.thomas.nmnh@gmail.com; Tel: +1-202-633-0797

^bMuseum Conservation Institute, Smithsonian Institution, Suitland, MD, 20746, USA

^cSchool of Life Sciences, Arizona State University, Tempe, AZ, 85287, USA

Table S1 Specimens analysed in the current study.

Species name	Common name	Pigment (spectra) ¹	Sex	Provenance	Collection year ²	Catalogue number
<i>Acanthisitta chloris</i>	Tūtipounamu	Yellow (3 3)	Male	New Zealand	Unknown	USNM ³ 124635
<i>Acanthisitta chloris</i>	Tūtipounamu	Yellow (3 3)	Female	New Zealand	Unknown	USNM 124636
<i>Apaloderma narina</i>	Narina trogon	Red (1 3)	Male	Tanzania	2004	USNM 634596
<i>Bombycilla cedrorum</i>	Cedar waxwing	Yellow (1 3)	Unknown	USA, South Dakota	1988	USNM 623482
<i>Cardinalis cardinalis</i>	Northern cardinal	Red (1 3)	Male	USA, Kauai Island	2010	USNM 643555
<i>Cardinalis sinuatus</i>	Pyrrhuloxia	Red (1 3)	Male	USA, Texas	2009	USNM 642143
<i>Carduelis chloris</i>	European goldfinch	Yellow (1 3)	Male	Greece	2006	USNM 637389
<i>Coereba flaveola</i>	Bananaquit	Yellow (1 3)	Male	Guyana	2007	USNM 639172
<i>Colaptes auratus</i>	Northern flicker	Yellow (1 3)	Female	USA, Maryland	2002	USNM 623435
<i>Cotinga cotinga</i>	Purple-breasted cotinga	Purple (2 6)	Male	Guyana	2004	USNM 632564
<i>Emberiza melanocephala</i>	Black-headed bunting	Yellow (1 3)	Female	Greece	2006	USNM 637386
<i>Euphonia laniirostris</i>	Thick-billed euphonia	Yellow (1 3)	Male	Peru	2009	USNM 643899
<i>Euphonia saturata</i>	Orange-crowned euphonia	Yellow (1 3)	Male	Peru	2009	USNM 643992
<i>Euplectes capensis</i>	Yellow bishop	Yellow (1 3)	Female	Tanzania	2004	USNM 636396
<i>Fringilla montifringilla</i>	Brambling	Yellow (1 3)	Male	USA, Alaska	2010	USNM 645120
<i>Icterus galbula</i>	Baltimore oriole	Yellow (1 3)	Male	USA, Maryland	2005	USNM 623444
<i>Icterus icterus</i>	Venezuelan troupial	Yellow (1 3)	Male	Guyana	2004	USNM 632598
<i>Leiothrix lutea</i>	Red-billed leiothrix	Orange (1 3)	Unknown	USA, Hawaii	2010	USNM 643393
<i>Melanerpes formicivorus</i>	Acorn woodpecker	Red (1 3)	Male	USA, California	2008	USNM 641593
<i>Mohoua ochrocephala</i>	Mohua	Yellow (3 3)	Male	New Zealand	1872	USNM 66290
<i>Mohoua ochrocephala</i>	Mohua	Yellow (3 3)	Male	New Zealand	1872	USNM 66291
<i>Mohoua ochrocephala</i>	Mohua	Yellow (3 3)	Female	New Zealand	Unknown	USNM 109195
<i>Notiomystis cincta</i>	Hihi	Yellow (3 3)	Male	New Zealand	1892	USNM 172402
<i>Notiomystis cincta</i>	Hihi	Yellow (3 3)	Male	New Zealand	Unknown	USNM 174651
<i>Oreothlypis ruficapilla</i>	Nashville warbler	Yellow (1 3)	Male	USA, California	2007	USNM 637605
<i>Paroaria coronata</i>	Red-crested cardinal	Red (1 3)	Female	USA, Hawaii	2010	USNM 643469
<i>Parus major</i>	Great tit	Yellow (1 3)	Female	Greece	2006	USNM 637484
<i>Petroica boodang</i>	Scarlet robin	Red (3 3)	Male	Australia	1910	USNM 213052
<i>Petroica boodang</i>	Scarlet robin	Red (3 3)	Male	Australia	Unknown	USNM 71598
<i>Petroica boodang</i>	Scarlet robin	Red (3 3)	Male	Australia	1921	USNM 279221
<i>Petroica goodenovii</i>	Red-capped robin	Red (3 3)	Male	Australia	1903	USNM 236605
<i>Petroica goodenovii</i>	Red-capped robin	Red (3 3)	Male	Australia	1883	USNM 121275
<i>Petroica goodenovii</i>	Red-capped robin	Red (3 3)	Male	Australia	1920	USNM 278883
<i>Petroica macrocephala</i>	Miromiro	Yellow (3 3)	Male	New Zealand	Unknown	USNM 109208
<i>Petroica macrocephala</i>	Miromiro	Yellow (3 3)	Male	New Zealand	Unknown	USNM 148490
<i>Petroica macrocephala</i>	Miromiro	Yellow (3 3)	Male	New Zealand	1873	USNM 66293
<i>Petroica multicolor</i>	Pacific robin	Red (3 3)	Male	Australia	1883	USNM 325485
<i>Petroica multicolor</i>	Pacific robin	Red (3 3)	Male	Australia	1920	USNM 279219
<i>Petroica phoenicea</i>	Flame robin	Orange-red (3 3)	Male	Australia	Unknown	USNM 127140
<i>Petroica phoenicea</i>	Flame robin	Orange-red (3 3)	Male	Australia	1938	USNM 425214
<i>Petroica phoenicea</i>	Flame robin	Orange-red (3 3)	Male	Australia	1921	USNM 279223
<i>Petroica rosea</i>	Rose robin	Pink (3 3)	Male	Australia	Unknown	USNM 121328
<i>Petroica rosea</i>	Rose robin	Pink (3 3)	Male	Australia	Unknown	USNM 121276
<i>Phaethon rubricauda</i>	Red-tailed tropicbird	Red (1 3)	Male	Johnston Atoll	2003	USNM 632100
<i>Phoeniconaias minor</i>	Lesser flamingo	Pink (1 3)	Male	Tanzania	2004	USNM 634731
<i>Picoides villosus</i>	Hairy woodpecker	Red (1 3)	Male	USA, Alaska	2005	USNM 639056
<i>Picumnus exilis</i>	Golden-spangled piculet	Red (1 3)	Male	Guyana	2007	USNM 639369
<i>Piranga flava</i>	Red tanager	Yellow (1 3)	Male	Peru	2009	USNM 643860
<i>Piranga ludoviciana</i>	Western tanager	Yellow (1 3)	Male	USA, California	2005	USNM 634993
<i>Platalea ajaja</i>	Roseate spoonbill	Pink (2 6)	Male	Uruguay	2005	USNM 635736
<i>Ploceus bicolor</i>	Dark-backed weaver	Yellow (1 3)	Male	Tanzania	2004	USNM 634631
<i>Ploceus velatus</i>	Southern masked weaver	Yellow (1 3)	Male	Namibia	2009	USNM 642356
<i>Pteroglossus aracari</i>	Black-necked araçari	Red (1 3)	Female	Guyana	2006	USNM 637112

<i>Pyrrhula pyrrhula</i>	Eurasian bullfinch	Red (1 3)	Male	Greece	2006	USNM 637523
<i>Ramphastos tucanus</i>	White-throated toucan	Red (2 6)	Male	Guyana	2004	USNM 632532
<i>Regulus regulus</i>	Goldcrest	Yellow (1 3)	Male	Greece	2006	USNM 637526
<i>Selenidera piperivora</i>	Guianan toucanet	Red (1 3)	Male	Guyana	2004	USNM 632544
<i>Serinus mozambicus</i>	Yellow-fronted canary	Yellow (1 3)	Male	Tanzania	2005	USNM 636670
<i>Setophaga petechia</i>	American yellow warbler	Yellow (1 3)	Unknown	Nicaragua	2007	USNM 638043
<i>Sicalis flaveola</i>	Saffron finch	Yellow (1 3)	Female	Uruguay	2005	USNM 635754
<i>Telophorus zeylonus</i>	Bokmakierie	Yellow (1 3)	Female	Namibia	2009	USNM 642574
<i>Trogon mesurus</i>	Ecuadorian trogon	Red (1 3)	Male	Peru	2009	USNM 643987
<i>Tyrannus vociferans</i>	Cassin's kingbird	Red (1 3)	Male	USA, Texas	2009	USNM 642152
<i>Uragus sibiricus</i>	Long-tailed rosefinch	Red (1 3)	Male	Japan	2009	USNM 641788
<i>Vestiaria coccinea</i>	'I'iwi	Red (1 3)	Unknown	USA, Hawaii	1980	USNM 634051
<i>Zosterops japonicus</i>	Japanese white-eye	Yellow (1 3)	Female	Japan	2009	USNM 641812

¹ Analysed plumage colour and (number of feathers studied/total number of spectra collected).

² Year that birds were collected from the field.

³ Specimens from the National Museum of Natural History, Smithsonian Institution, Washington, DC, USA.

Ancestral State Reconstruction

Ancestors of New Zealand *Petroica* robins likely immigrated from Australia.¹ Here we were interested in estimating the plumage colours of these ancestral birds. We can estimate ancestral states by modelling character evolution on phylogenetic trees reconstructed from molecular data.²

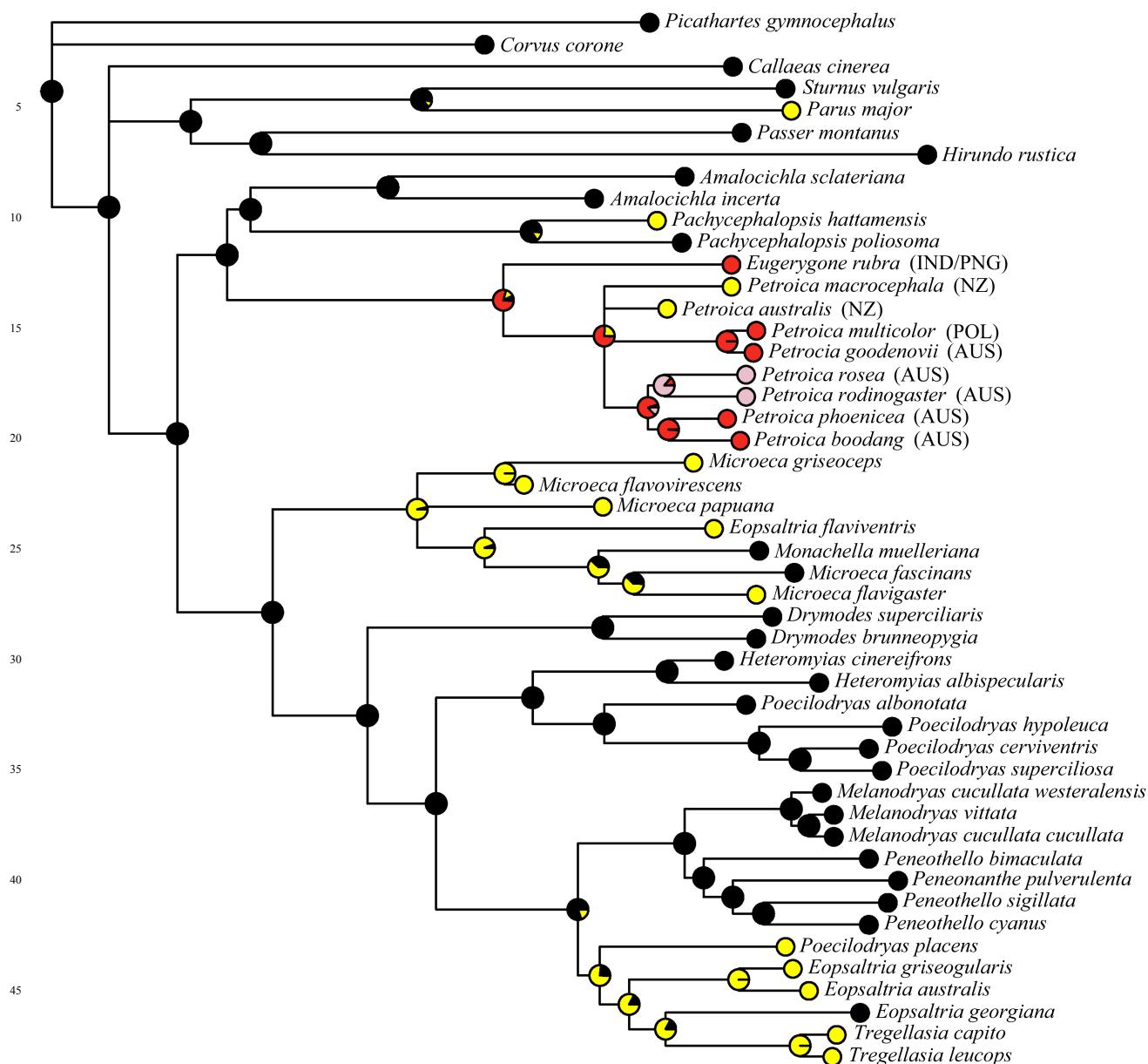
Relationships between many species of *Petroica*, and other species within Petroicidae, were recently interpreted from two mitochondrial genes (ND2, CO1) and one nuclear intron (β -Fibrinogen intron 5).³ A phylogenetic tree constructed from the concatenated DNA sequences³ was used here (Fig. S1) as the basis for ancestral state reconstruction. Branch lengths were estimated from the published figure and the tree was reconstructed in Mesquite 2.75.⁴ Characters were coded as: (0), a visual absence of carotenoid-pigmented plumage; (1), yellow plumage colouration; (2), red/orange plumage colouration; (3), pink plumage colouration (Fig. S1). Character states 1–3 are each assumed to represent a distinct carotenoid as the major feather pigment; chemical confirmation of plumage carotenoids are not currently available for many taxa. Orange plumage colouration is assumed to be a mixture of red and yellow, and hence *Petroica phoenicea* produces character state 2. The pink carotenoid was not identified in our study, but is likely a keto-carotenoid similar to canthaxanthin, and therefore not homologous with character state 2. Ancestral state reconstruction was performed in Mesquite 2.75 using the Mk. 1 maximum likelihood model (“One-parameter Markov k-state model”;⁵ data were plotted in R 2.15.2 using functions from the ‘ace’ package).^{6,7}

Unresolved relationships within Petroicidae influenced the

reconstruction of ancestral states, and interpretations may change with further research. From the data available at present, the most recent common ancestor of *Eugerygone* and *Petroica* was reconstructed with red feathers (proportional likelihood of 0.78 for character state 2; Fig. S1). We would therefore expect the yellow plumage coloration of miromiro (*Petroica macrocephala*) and toutouwai (*Petroica australis*) to have evolved within the *Petroica* crown-lineage. Given that yellow species of *Petroica* do not presently live in Australia, we propose that the evolution of yellow plumage occurred after the *Petroica* migrants arrived in New Zealand, and not before.

References

- 1 H. C. Miller, D. M. Lambert, *Molec. Phyl. Evol.*, 2006, **50**, 161–168.
- 2 M. Pagel, *Proc. R. Soc. B*, 1994, **255**, 37–45.
- 3 L. Christidis, M. Irestedt, D. Rowe, W. E. Boles, J. A. Norman, *Molec. Phyl. Evol.*, 2011, **61**, 726–738.
- 4 W. P. Maddison, D. R. Maddison, *Mesquite: a modular system for evolutionary analysis*. Version 2.75 <http://mesquitemproject.org>, 2011.
- 5 P. O. Lewis, *Syst. Biol.*, 2001, **50**, 913–925.
- 6 R Core Team, *R: A language and environment for statistical computing*. <http://www.R-project.org/>, 2012.
- 7 E. Paradis, J. Claude, K. Strimmer, *Bioinformatics*, 2004, **20**, 289–290.



50 **Fig. S1** Ancestral state reconstruction of carotenoid-based plumage pigmentation within Petroicidae. Pie charts show the proportional likelihood for each character state at each node. Characters are coded by colour: black = 0 (a visual absence of carotenoid-pigmented plumage); yellow = 1 (yellow plumage coloration); red = 2 (red/orange plumage coloration); pink = 3 (pink plumage coloration). The yellow plumage colours of miromiro (*Petroica macrocephala*) and toutouwai (*P. australis*) are most likely derived from a red-pigmented ancestor. Phylogeny from ref 3; figure 4. Geographic regions are identified in parentheses for select species: AUS = Australia; IND/PNG = Indonesia and Papua New Guinea; NZ = New Zealand; POL = Polynesia, 55 Melanesia and Norfolk Island.