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# Hydrogenation of CO<sub>2</sub> to Formic Acid with Iridium<sup>III</sup>(bisMETAMORPhos)(Hydride): the Role of a Dormant *fac*-Ir<sup>III</sup>(trihydride) and an Active *trans*-Ir<sup>III</sup>(dihydride) Species

S. Oldenhof,<sup>*a*</sup> J. I. van der Vlugt,<sup>*a*\*</sup> J. N.H. Reek<sup>*a*\*</sup>

<sup>a</sup> Dr. S. Oldenhof, Dr. Ir. J. I. van der Vlugt, Prof. Dr. J. N. H. Reek

Homogeneous, Bioinspired & Supramolecular Catalysis, van 't Hoff Institute for Molecular Sciences, University of Amsterdam, Science Park 904, 1098 XH, Amsterdam, The Netherlands. j.i.vandervlugt@uva.nl ; j.n.h.reek@uva.nl

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### **General procedures**

All reactions were carried out in dry glassware under nitrogen atmosphere using standard Schlenk techniques unless stated otherwise. Pentane was distilled from sodium under dinitrogen,  $CH_2Cl_2$  and diethylether were collected from an MB SPS-800. DMF, triethylamine and DBU were all degassed by purging with N<sub>2</sub>. H<sub>2</sub> (5.0) and CO<sub>2</sub> (4.6) from Praxair were used as received. NMR spectra were measured on a Varian Mercury 300 (<sup>1</sup>H: 300.1 MHz) spectrometer. BisMETAMORPhos and complex **1** were prepared as previously described.<sup>S1</sup>

### General procedure for catalytic CO<sub>2</sub> hydrogenation

Complex **1** (1.04 mg, 0.001 mmol) was added to a solution of DMSO- $d_6$ , DMF (77 µL, 1.0 mmol) and appropriate base (1.0 mmol) in a high-pressure sapphire NMR tube under a flow of N<sub>2</sub> (total volume of 2 mL). The high pressure NMR tube was pressurized and flushed at least three times with 10 bar CO<sub>2</sub>, where after the tube was pressurized with CO<sub>2</sub> and H<sub>2</sub> to the preferred partial pressures. The high pressure NMR tube was carefully shaken and heated inside the NMR probe.

Note: It has to be taken into account that the applied experimental set-up suffers from a significant pressure drop in the NMR tube during turnover. The total gaseous volume of the sapphire NMR tube used is 4.5 mL (taking 2 mL solvent into account). Once 0.5 mmol of HDBU<sup>+</sup>·HCOO<sup>-</sup> is produced, 1 mmol of gas is consumed (0.5 mmol CO<sub>2</sub> and 0.5 mmol H<sub>2</sub>). This corresponds to a pressure drop of approximately 11 bars.

#### **Complex 3**

Complex **1** (20 mg) was added to a high-pressure sapphire NMR tube under a flow of N<sub>2</sub>. Degassed DMSO- $d_6$  was added (2 mL) and a yellow suspension formed that was slightly heated and shaken to generate a saturated solution. The NMR tube was pressurized and flushed three times with 10 bar CO<sub>2</sub>, where after the tube was pressurized with CO<sub>2</sub> and H<sub>2</sub> to 50 bar (1:1). The tube was heated inside the NMR probe.

### <sup>1</sup>H NMR Spectroscopy during Catalysis



Figure S1. In situ <sup>1</sup>H NMR studies in DMSO- $d_6$  on the hydrogenation of CO<sub>2</sub> with complex **1**, showing the formation of HDBU<sup>+</sup>·HCOO<sup>-</sup>.

#### **Computational details**

Geometry optimizations were carried out with the Turbomole program package,<sup>52</sup> coupled to the PQS Baker optimizer<sup>S3</sup> via the BOpt package, <sup>S4</sup> at the spin unrestricted ri-DFT level using the BP86 functional, the resolution-of-identity (ri) method, and the def2-TZVP basis set for the geometry optimizations.



[BP86, SV(P)],  $\Delta G^{\circ}_{298K}$ : 0.0 kcal mol<sup>-1</sup>.



Figure S2. DFT optimized structure of complex 2 Figure S3. DFT optimized structure of complex 3 [BP86, SV(P)] ΔG<sup>°</sup><sub>298K</sub>: 4.0 kcal mol<sup>-1</sup>.



Figure S4. Transition state, axial CO<sub>2</sub> insertion [BP86, SV(P)],  $\Delta G^{\circ}_{298K}$ : 61.6 kcal mol<sup>-1</sup> imaginary frequency -526.950012.



Figure S4. Transition state, equatorial CO<sub>2</sub> insertion [BP86, SV(P)],  $\Delta G^{\circ}_{298K}$ : 40.2 kcal mol<sup>-1</sup>, imaginary frequency -277.260010.

### Energies and imaginairy frequencies of calculated structures

Structure	SCF	imaginairy frequency
2	-3498,95775	
2-TS	-3687,63084	-163,179993
3	-3500,15013	
3TS-ax	-3685,72123	-526,950012
3TS-eq	-3685,77405	-129,240005

# **Cartesian Coordinates for Optimized Structures**

Complex 2			
atom	Х	Y	Z
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0	0.0143876	-1.0815781	1.2141124
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Р	1.9114623	0.1998136	-0.6117331
С	1.4544627	-2.7531268	-3.8221791
С	3.6177022	-4.4392721	-4.4623495
С	2.7832250	-2.3619600	-3.6474688
С	1.2213408	-4.0222488	-4.3677228
С	2.3006556	-4.8506187	-4.6957155
С	3.8820438	-3.1754831	-3.9235805
Н	0.2024063	-4.3907048	-4.4896148
Н	2.1098293	-5.8412090	-5.1094568
Н	4.4466939	-5.1129645	-4.6888618
С	3.6254004	-0.4117892	-0.9151578
С	6.0798558	-1.7560942	-1.2604838
С	4.6091513	-0.3627991	0.0832728
С	3.9414042	-1.0957398	-2.0887519
С	5.1264738	-1.8091070	-2.2820462
С	5.8313719	-1.0163675	-0.0993169
Н	4.3844643	0.1559008	1.0153690
Н	6.5850309	-0.9767175	0.6878846
Н	7.0184714	-2.3034651	-1.3710136
С	0.0478492	-0.2805248	-4.3472838
С	-0.3647266	1.7228053	-6.2618764
С	0.5008296	-0.4569923	-5.6645799
С	-0.6047281	0.9101794	-3.9931525
С	-0.8105605	1.9048037	-4.9494133
С	0.2926102	0.5426780	-6.6179083
Н	1.0221313	-1.3730600	-5.9464128
Н	-0.9337861	1.0447252	-2.9606931
Н	-1.3114843	2.8306752	-4.6642863
Н	0.6490080	0.3991189	-7.6390814
Н	-0.5229223	2.5047670	-7.0062889
0	2.9776939	-1.1082642	-3.0948319
С	5.2457005	-2.6237524	-3.5571930
Н	5.9788084	-3.4335623	-3.4375045
Н	5.6142288	-1.9801468	-4.3778395
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С	2.6516482	2.1369905	-2.5777019
С	2.5485683	3.3912626	-3.1864183
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Н	0.2889444	2.5160198	-0.1496930
Н	3.3807984	1.4198358	-2.9533690
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С	-6.0882610	-2.2608493	-2.7317512
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С	-3.7502251	-2.2956768	-2.1668696
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Н	-7.1594657	-0.4818833	-2.1427789
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С	2.1977413	-2.1872468	2.9210299
С	1.8848715	-0.3270089	4.4617954
С	2.5941499	-1.0267602	5.4413826
С	2.8990595	-2.8788726	3.9095619
Н	2.0223365	-2.6304006	1.9399593
Н	1.4644065	0.6583580	4.6604194
Н	2.7430161	-0.5774731	6.4243999
Н	3.2838910	-3.8776529	3.6983280
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Н	-0.9055350	-0.0197013	-1.0799451
Н	3.1946580	3.6362276	-4.0308775
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atom	Х

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Р	2.0131618	0.0491222	-0.5040634
С	1.4747402	-2.7902607	-3.8504588
С	3.7013821	-4.1808927	-4.8787680

С	2.7785209	-2.3095482	-3.7205049
С	1.2973691	-3.9719044	-4.5900783
С	2.4034514	-4.6496271	-5.1077054
С	3.9102191	-2.9920575	-4.1762732
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н	2.2512939	-5.5726860	-5.6673192
н	4.5589904	-4.7472602	-5.2473188
С	3.7116579	-0.5227368	-0.9010382
С	6.1977422	-1.6930990	-1.5133001
С	4.7536166	-0.4980758	0.0370545
С	3.9736045	-1.1082262	-2.1368507
С	5.1808940	-1.7224786	-2.4724950
С	5.9894022	-1.0701101	-0.2773942
н	4.5693954	-0.0577408	1.0169345
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С	-4.7101778	0.0307223	-2.0141064
С	-3.4102137	-1.9580294	-2.3735052
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Н	-3.1568348	-0.6667865	-0.6630966
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С	2.4346697	-0.8529449	5.7364116
С	2.9328597	-2.7829726	4.3581165
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Н	1.3263669	0.7377899	4.7530180
Н	2.4819703	-0.3384674	6.6972078
Н	3.3643692	-3.7780371	4.2433455
Н	3.4983467	-2.5975856	6.4356989
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Н	-0.6201516	-0.0220965	-0.8663709
Н	2.9287277	3.5542900	-3.9838820
Н	1.1790105	-2.8854033	-0.5735150
С	1.4170669	-4.3865008	-0.4289515
Н	-0.5379836	-4.0767326	-2.7621531
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# Complex 3

atom	Х	Y	Z
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Р	2.8783249	-3.0739845	0.5260099
С	1.7539326	-2.7663599	-3.8516932
С	1.7290078	-5.1356370	-5.3928747
С	2.4959961	-3.8969631	-3.4870451
С	1.0141260	-2.8462537	-5.0442887
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С	2.4882516	-5.0906161	-4.2226802
Н	0.4116962	-1.9916978	-5.3509578
Н	0.4168159	-4.0543633	-6.7265767
Н	1.7023002	-6.0562478	-5.9798445
С	3.0902099	-4.7935410	-0.1562240
С	3.1821468	-7.3588164	-1.3455195

С	3.0581540	-5.9566063	0.6297558
С	3.1938751	-4.9714064	-1.5403869
С	3.2282927	-6.2251449	-2.1599064
С	3.1082684	-7.2230361	0.0431578
н	2.9538965	-5.8771315	1.7117749
н	3.0700657	-8.1099942	0.6762012
н	3.2013040	-8.3508473	-1.8019388
С	2.8047520	-0.1030020	-3.5102217
С	4.5619051	1.7894150	-4.6174121
С	3.5916662	-0.4299173	-4.6243917
с	2.9125862	1.1835923	-2.9509746
С	3.7774036	2.1246659	-3.5093577
C	4.4703023	0.5105489	-5.1694369
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н	2.3265863	1.4319483	-2.0642709
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н	5 2467651	2 5229761	-5 0453884
0	3 2477769	-3 8266132	-2 3276441
C C	3 2943626	-6 2454791	-3 6708615
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н	4 3476373	-6 1458859	-3 9956170
N	0 1426228	-0 6193317	-3 2775212
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C C	4 6410566	-2 5500385	0.7550098
C C	7 2662435	-1 50318/0	1 0821086
c c	1 89/6966	-1 /079326	1 5375293
C C	5 7187681	-1.4079520	0.1/20162
C C	7 0227350	-3.2043853	0.1433102
C C	6 1078655	-2.7243833	1 7048628
с н	4 0619767	-0.9390009	2 0085754
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H	-1.9628850	-3.4406356	-3.0721794
H	-5.0648862	-2.7125129	-5.9885417
Н	-4.8308521	-0.2601057	-5.6241776
Н	-3.1606998	0.5982750	-3.9757025

0	1.4945016	-4.9335868	3.8648376
С	0.6899629	-2.4473414	3.9464935
С	-0.0531036	-0.2801965	5.5159060
С	-0.2009777	-1.5031156	3.4294280
С	1.2065577	-2.3288975	5.2413187
С	0.8330298	-1.2358216	6.0236435
С	-0.5706294	-0.4166746	4.2252846
Н	-0.5977119	-1.6257969	2.4230052
Н	1.8744320	-3.0965883	5.6314428
Н	1.2279680	-1.1357319	7.0355568
Н	-1.2664785	0.3246272	3.8311204
Н	-0.3461558	0.5706117	6.1328607
lr	1.6632090	-1.2943745	-0.3774068
Н	2.9918912	-0.5149160	-0.2745344
Н	7.8504082	-3.2428004	-0.1848040
Н	1.0456829	0.1917386	-0.5818356
Н	1.4741732	-0.8380288	1.1674432
Н	3.4046027	-3.7273959	2.7704453
Н	0.2213809	0.3063626	-3.7028606

# Complex 3TSeq

atom	Х	Y	Z
0	0.004322	0.739050	-2.469445
0	3.064685	0.419996	2.423845
Р	1.656864	-1.936827	-3.040854
Р	3.576938	-2.517024	0.892184
С	1.895469	-3.728028	-3.435445
С	1.947870	-6.506591	-3.962618
С	1.991328	-4.677466	-2.399292
С	1.858660	-4.210168	-4.761070
С	1.903637	-5.587157	-5.024169
С	1.976861	-6.063905	-2.629090
Н	1.786369	-3.488622	-5.590916
Н	1.875334	-5.948653	-6.064554
Н	1.938162	-7.590219	-4.166288
С	3.523777	-4.367065	0.855961
С	3.445445	-7.183310	0.638607
С	4.223089	-5.177780	1.776311
С	2.779584	-5.008735	-0.156298
С	2.729243	-6.409551	-0.290993
С	4.180977	-6.575945	1.671982
Н	4.822293	-4.713401	2.577226
Н	4.730650	-7.197013	2.397244
Н	3.418219	-8.282402	0.552606
С	2.599128	-1.050617	-4.345029
С	4.044401	0.359181	-6.302449
С	1.914851	-0.271789	-5.297896

С	4.009057	-1.109381	-4.360421
С	4.728069	-0.409199	-5.341626
С	2.640932	0.429134	-6.276737
н	0.815019	-0.219506	-5.253545
Н	4.547899	-1.697308	-3.596828
Н	5.829702	-0.455731	-5.352239
Н	2.104436	1.038400	-7.022838
н	4.611398	0.914043	-7.068779
0	2.068001	-4.218342	-1.065251
C	1.900777	-6.975974	-1.423368
H	0.825814	-7.026445	-1.113538
Н	2.221417	-8.008674	-1.674336
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N	3 370766	-2 180042	2 591424
C	5 3293/9	-2.160042	0 5517/5
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C C	5 830117	-0.830316	1 017/50
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	7 469200	-2.907435	-0.231747
	7.400299	-2.519549	-0.551065
	7.140424	-0.449492	0.709728
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0	-0.816345	-1.132404	-0.931816
H	-5.386309	-1.975358	-3.085651
С	-4.612387	-1.211310	-3.270513
С	-2.635725	0.744040	-3.737553
С	-3.320225	-1.393634	-2.750331
С	-4.920226	-0.058420	-4.016780
С	-3.934931	0.919240	-4.244404
С	-2.342144	-0.416605	-3.003507
Н	-3.077445	-2.286134	-2.154218
Н	-5.937906	0.080977	-4.418485
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0	3.333875	-0.804711	4.686735
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С	-1.757944	-1.321205	3.532473
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Н	1.132458	-2.118721	5.226705
Н	0.619019	0.251498	1.610887
Н	-1.850464	-0.139018	1.697061

Н	-1.361815	-2.464395	5.348585
Н	-2.847963	-1.481257	3.581086
Ir	2.113897	-1.903172	-0.622840
Н	2.279794	-0.355331	-0.403809
Н	8.109281	-3.184535	-1.134364
Н	1.228413	-1.929135	0.693007
Н	4.037145	-2.604698	3.254520
С	-1.106898	-4.620534	-2.049252
0	-1.304603	-5.779624	-1.732873
0	-1.287309	-4.138018	-3.280398
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Н	-0.885006	-3.183301	-3.340714

### Complex **3TSax**

atom	Х	Y	Z
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Р	3.114867	-1.999704	0.205461
С	1.037946	-3.594898	-3.620716
С	0.890069	-6.409115	-3.930536
С	1.153306	-4.478727	-2.539684
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Н	0.703781	-3.499588	-5.762432
Н	0.552284	-5.993681	-6.039091
Н	0.842696	-7.503700	-4.058557
С	3.200253	-3.861107	0.338752
С	3.209544	-6.697401	0.359490
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С	2.309381	-4.622719	-0.429113
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С	4.093170	-5.975399	1.183823
Н	4.789875	-4.009261	1.812015
Н	4.792472	-6.517456	1.841565
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С	6.989127	-2.007331	-1.357656
С	6.415536	0.275653	-0.749084
Н	4.435463	0.582052	0.106448
Н	5.486128	-3.520910	-0.951232
н	6.671148	1.347064	-0.698509
н	8.313444	-0.302669	-1.650560
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S	2.150292	-1.019274	3.062377
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H	-4.784656	-2.827765	-3.461817
C	-4.414371	-1.789462	-3.507833
C	-3.470813	0.863694	-3.640683
C	-3.035751	-1.537872	-3.405066
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C	-4.847876	0.599631	-3.740535
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H	-2.311878	-2.360409	-3.288865
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н	-1.338006	-4.470364	3.737944
Н	2.492901	-5.218076	5.656983
н	0.135233	-5.921866	5.159099
lr	1.024997	-1.882113	-0.928386
н	7.701877	-2.736334	-1.777548
н	0.409062	-2.141881	0.569153
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С	1.574927	1.313492	-0.083933

0	0.513275	2.008591	-0.417645
0	2.588416	1.719907	0.443213
Н	-0.520265	-2.183426	-1.313373
Н	1.466805	0.179646	-0.368755
Н	-0.092051	1.381494	-0.975361

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