Supporting Information for:

# New insight into the material parameter B to understand the enhanced thermoelectric performance of Mg<sub>2</sub>Sn<sub>1-x-v</sub>Ge<sub>x</sub>Sb<sub>v</sub>

Weishu Liu<sup>1</sup>, Jiawei Zhou<sup>2</sup>, Qing Jie<sup>1</sup>, Yang Li<sup>3</sup>, Hee Seok Kim<sup>1</sup>, Jiming Bao<sup>3</sup>, Gang Chen<sup>2\*</sup>, and Zhifeng Ren<sup>1\*</sup>

<sup>1</sup>Department of Physics and TcSUH, University of Houston, Houston, Texas 77204, USA

<sup>2</sup>Department of Mechanical Engineering, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA

<sup>3</sup>Department of Electrical and Computer Engineering, University of Houston, Houston, Texas 77204, USA

\* To whom correspondence should be addressed: gchen2@mit.edu, zren@uh.edu

Short name	Composition	(ZT) <sub>max</sub>	m*	U*	κ <sub>lat</sub>	Eg	B*	Ref.
		[/]	[m <sub>0</sub> ]	[m K <sup>-3/2</sup> s <sup>-1</sup> V <sup>-1</sup> ]	[W m <sup>-1</sup> K <sup>-1</sup> ]	[eV]	[/]	
Mg <sub>2</sub> Sn	$Mg_2Sn_{0.99}Sb_{0.01}$	0.65, 350 °C	2.04	130.28	2.55	0.250	0.85	c)
Mg <sub>2</sub> Sn <sub>0.75</sub> Ge <sub>0.25</sub>	$Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.02}$	1.4, 450 °C	3.5	162.84	1.26	0.318	2.73	c)
CoSbTe	CoSb <sub>2.85</sub> Te <sub>0.15</sub>	0.9, 550 °C	-	<sup>a)</sup> 98.73	1.94	<sup>b)</sup> 0.275	0.93	43
CoSbTeSn	CoSb <sub>2.75</sub> Te <sub>0.20</sub> Sn <sub>0.05</sub>	1.1, 550 °C	-	<sup>a)</sup> 88.33	1.05	<sup>b)</sup> 0.274	1.54	47
Ingot-BiSbTe	n.a.	1.4, 50 °C	-	<sup>a)</sup> 207.13	0.88	<sup>b)</sup> 0.168	2.64	48
Nano-BiSbTe	n.a.	1.05, 100 °C	-	<sup>a)</sup> 194.06	0.52	<sup>b)</sup> 0.184	4.58	48
Nano-BiTeSe	as-pressed	0.9, 100 °C	0.97					49
	$Cu_{0.01}Bi_2Te_{2.7}Se_{0.3}$			121.62	0.68	<sup>b)</sup> 0.11	1.31	
PbTe-1	Tl <sub>0.02</sub> Pb <sub>0.98</sub> TeSi <sub>0.02</sub> Na <sub>0.0</sub>	1.7, 500 °C	-				3.53	50
	2			<sup>a)</sup> 60.15	0.50	<sup>b)</sup> 0.44		
PbTe-2	PbTe: 6% MgTe	2, 550 °C	7	56.00	0.54	<sup>b)</sup> 0.6	4.15	51
FeNbSb	FeNb <sub>0.8</sub> Ti <sub>0.2</sub> Sb	1.1, 827 °C	1.2	144.35	2.5	0.54	2.08	52

Table S1 Physical property of selected thermoelectric materials.

Note: a) estimated from measured  $\sigma$  and S according to the Ref. [*Chem. Mater.* 2008, **20**, 7526-7531.], and hence no carrier effective mass m\* available; b) estimated from  $E_g = 2eS_{max}T$ ; c) this study.



**Fig. 1S**. Carrier concentration as a function of Sb content and Ge content for sample  $Mg_2Sn_{1-x-y}Ge_xSb_y$ . It is clearly shown that the carrier concentration varies with Sb rather than Ge in  $Mg_2Sn_{1-x-y}Ge_xSb_y$ .



Fig. 28. Temperature dependent diffusivity and specific heat of Mg<sub>2</sub>Sn<sub>1-x-y</sub>Ge<sub>x</sub>Sb<sub>y</sub>. (a) Thermal diffusivity, (b) specific heat.



**Fig. S3**. Reproducibility of thermoelectric properties of  $Mg_2Sn_{0.75-y}Ge_{0.25}Sb_y$ . (a-e) Samples of  $Mg_2Sn_{0.73}Ge_{0.25}Sb_{0.02}$  with carrier concentration of  $2.7 \times 10^{20}$  cm<sup>-3</sup>, and (f-j) samples of  $Mg_2Sn_{0.728}Ge_{0.25}Sb_{0.022}$  with carrier concentration of  $3.0 \times 10^{20}$  cm<sup>-3</sup>.



**Fig. S4.** Optimized *ZT* regarding with Fermi energy as a function of reduced band gap for the traditional material parameter B = 0.2 (bottom curve), 0.4, 0.6, and 0.8 (top curve) for the assumption of s = -1/2,  $\xi_f = 0$ ,  $U_e = U_h$  and T = 300K. This shows the effect of band gap using the traditional material parameter *B* and is consistent with Mahan's previous work [*J. Appl. Phys.*, 1989, **65**, 1578-1583.]



**Fig. S5.** (a) Reflectance spectrum of  $Mg_2Sn_{1-x-y}Ge_xSb_y$ , (b) absorption spectra of  $Mg_2Sn_{1-x-y}Ge_xSb_y$  where the across point of the dash line with *hv* axis was used to determine the band gap.

### A. Expression of ZT by using Fermi–Dirac statistics in a two-band model

We consider two simple band, i.e. one parabolic conduction band and one parabolic valence band. By applying Simon's theory [J. Appl. Phys. 33, 1830 (1962)] the electrical conductivity  $\sigma$ , Seebeck coefficient S, and thermal conductivity  $\kappa$  could expressed by the contribution of both bands as following,

$$\sigma = \sigma_e + \sigma_h, \tag{1S}$$

$$S = \frac{\sigma_e S_e + \sigma_h S_h}{\sigma_e + \sigma_h},$$
(2S)

$$\kappa = \kappa_{lat} + \kappa_{carr} + \kappa_{bipolar} \,, \tag{3S}$$

$$\kappa_{carr} = L_e \sigma_e T + L_h \sigma_h T , \qquad (4S)$$

$$\kappa_{bipolar} = \frac{\sigma_e \sigma_h}{\sigma_e + \sigma_h} \left( S_e - S_h \right)^2 \,, \tag{5S}$$

where the subscript *e* and *h* represent the conduction band and valence band, respectively. Furthermore, the  $\sigma_i$  and  $S_i$  could be expressed by using Fermi–Dirac statistics,

$$\sigma_{i} = \sigma_{0,i} \frac{F_{1/2}(\xi_{f_{-}i})}{\Gamma(3/2)}, i = e, h,$$
(6S)

$$S_{i} = \pm \frac{k_{B}}{e} \left( \frac{(s+5/2)F_{s+3/2}\left(\xi_{f_{-}i}\right)}{(s+3/2)F_{s+1/2}\left(\xi_{f_{-}i}\right)} - \xi_{f_{-}i} \right),$$
(78)

$$\delta_{i} = \frac{(s+5/2)F_{s+3/2}\left(\xi_{f_{-}i}\right)}{(s+3/2)F_{s+1/2}\left(\xi_{f_{-}i}\right)}.$$
(88)

By introducing a new parameter  $\gamma = \sigma_{e'} \sigma_h$  for simplification and the relationships of  $\xi_{f_e} = \xi_f$  and  $\xi_{f_h} = -\xi_f - \xi_g$ , the definition of ZT, *i.e.*, ZT = S<sup>2</sup> \sigma T/ $\kappa$ , could turns into following

$$ZT = \frac{\left(\delta_e - \xi_f - \frac{\delta_e + \delta_h + \xi_g}{1 + 1/\gamma}\right)^2 (1 + \gamma)}{\left((B^*) \frac{F_{1/2}(\xi_f)/\Gamma(3/2)}{\xi_g}\right)^{-1} + \frac{\left(\delta_e + \delta_h + \xi_g\right)^2}{1 + 1/\gamma} + \left(\frac{e}{k_B}\right)^2 (L_e + \gamma L_h)},$$

$$(98)$$

$$* 1 \left(e^{-\gamma}\right)^2 \sigma_{0,e-T}$$

$$B^* = \frac{1}{k_B} \left(\frac{e}{k_B}\right) \frac{\sigma_{0,e}}{\kappa_{lat}} E_{g_joule}, \qquad (10S)$$

Eq. (9S-10S) is just the exactly form we used in main text.

## B. Calculation of m\* from Hall coefficient and Seebeck coefficient.

The carrier effective mass  $m^*$  was derived from the carrier density relationship with Fermi Dirac integral,

$$n = 2 \left(\frac{2\pi m^* k_B T}{h^2}\right)^{3/2} F_{1/2}\left(\xi_f\right), \tag{11S}$$

$$F_n\left(\xi_f\right) = \int_0^\infty \frac{\chi^n}{1 + e^{\chi - \xi_f}} d\chi$$
(12S)

Here,  $\xi_f$  was calculated from the measured Seebeck coefficient (*S*) with acoustic phonon scattering as dominant scattering mechanism, *i.e.*, s = -1/2.

$$S = \pm \left( \frac{(s+5/2)F_{s+3/2}(\xi_f)}{(s+3/2)F_{s+1/2}(\xi_f)} - \xi_f \right).$$
(138)

The carrier concentration n was calculated from measured Hall coefficient ( $R_H$ ) and Hall factor  $r_H$ ,

$$n = \frac{r_H}{eR_H},\tag{14S}$$

$$r_{H} = \frac{3}{2} \frac{F_{1/2}(\xi_{f})F_{-1/2}(\xi_{f})}{\left(F_{-1/2}(\xi_{f})\right)^{2}}.$$
(15S)

#### C. Calculation of Lattice and bipolar thermal conductivity

Owing to the intrinsic excitation, the contribution of bipolar effect to the contribution of thermal conductivity needs to be taken into account to explain the widely observed raising tail of thermal conductivity at high temperature for most thermoelectric materials. The total thermal conductivity is composed of three parts as following,

$$\kappa_{tot} = \kappa_{lat} + \kappa_{carr} + \kappa_{bipolar} \quad , \tag{16S}$$

$$\kappa_{carr} = L\sigma T \quad , \tag{17S}$$

$$\kappa_{bipolar} = \frac{\sigma_e \sigma_h}{\sigma_e + \sigma_h} \left( S_e - S_h \right)^2 T \quad , \tag{18S}$$

where the  $\kappa_{tot}$ ,  $\kappa_{lat}$ ,  $\kappa_{carr}$ , and  $\kappa_{bipolar}$  are the total, lattice, carrier, and bipolar thermal conductivity, respectively. The Lorenz number *L* is Fermi energy related parameter,

$$L = \left(\frac{k_B}{e}\right)^2 \left(\frac{(s+7/2)F_{s+5/2}\left(\xi_f\right)}{(s+3/2)F_{s+1/2}\left(\xi_f\right)} - \left(\frac{(s+5/2)F_{s+3/2}\left(\xi_f\right)}{(s+3/2)F_{s+1/2}\left(\xi_f\right)}\right)^2\right),\tag{19S}$$

Here, the *s* is the scattering parameter based on the relaxation time approximation for the electronic transport. For acoustic phonon dominant scattering mechanism, *s* is -1/2. The reduced Fermi energy  $\xi_f$  near room temperature could be estimated from the Seebeck coefficient on the basis of single band approximation formula Eq. (13S). The reduced Fermi energy  $\xi_f(T)$  at the higher temperature is required to solve the generalized charge neutrality equations (Eq. (20S-22S)) at a given temperature

$$n = p_0 + N_D , \qquad (20S)$$

$$n = 2 \left( \frac{2\pi m_e^* k_B T}{h^2} \right)^{3/2} F_{1/2} \left( \xi_f \right), \tag{218}$$

$$p = 2 \left( \frac{2\pi m_e^* k_B T}{h^2} \right)^{3/2} F_{1/2} \left( -\xi_f - \xi_g \right),$$
(22S)

For the estimation of the  $\kappa_{bipolar}$  according to Eq. (18S), we need estimated  $S_e$ ,  $S_h$ ,  $\sigma_e$  and  $\sigma_h$ . We can get  $S_e$  and  $S_h$  from Eq. (13S) by using the estimated  $\xi_f$  (*T*), respectively. The estimation of  $\sigma_e$  and  $\sigma_h$  are based on the calculated carrier concentration and the fitting carrier mobility.  $m^*_e$  was obtained from the measured carrier concentration and Seebeck coefficient of Mg<sub>2</sub>Sn<sub>1-x-y</sub>Ge<sub>x</sub>Sb<sub>y</sub> in this study, while  $m^*_h = 1.3 \text{ m}_0$  was used according to the Ref. [V. K. Zaitsev, CRC Press, New York, 2005].  $\mu_e$  was estimated from ( $\sigma_e + \sigma_h$ )/(e(n+p)), while  $u_h$  was used to fitting the temperature dependent  $\sigma$  and S. The temperature coefficient of the band gap of Mg<sub>2</sub>Sn<sub>1-x-y</sub>Ge<sub>x</sub>Sb<sub>y</sub> were estimated from interpolation between the reported value for Mg<sub>2</sub>Sn ( $dE_g/dT = 3 \times 10^{-4} eV/K$ ) and Mg<sub>2</sub>Ge ( $dE_g/dT = 1.8 \times 10^{-4} eV/K$ ) from Ref. [V. K. Zaitsev, CRC Press, New York, 2005]. The effect of the dopant Sb and extra Mg on the  $dE_g/dT$  was neglected.

#### D. Calculation of band gap from the Fourier transform infrared spectrum

The Fourier transform infrared spectrums of selected samples were measured to derive the optical band gap. For near-normal incidence, the complex refractive index  $n(\omega)$  and the extinction coefficient  $k(\omega)$  with both the real and imaginary parts can be obtained from the Krameres-Kronig analysis as following,

$$n(\omega) = \frac{1 - R(\omega)}{1 + R(\omega) - 2[R(\omega)]^{1/2} \cos[\Theta(\omega)]},$$
(238)

$$k(\omega) = \frac{2\left[R(\omega)\right]^{1/2} \sin\left[\Theta(\omega)\right]}{1 + R(\omega) - 2\left[R(\omega)\right]^{1/2} \cos\left[\Theta(\omega)\right]},$$
(24S)

where  $\Theta(\omega)$  is the phase shift, which is,

$$\Theta(\omega) = \frac{\omega}{\pi} \int_0^\infty \frac{\ln\left[R(\omega')\right] - \ln\left[R(\omega)\right]}{\omega^2 - {\omega'}^2} d\omega' \,.$$
(25S)

The extinction coefficient  $k(\omega)$  is used to calculate the frequency dependent absorption coefficient  $\alpha(\omega)$  through the relation,

$$\alpha(\omega) = 4\pi\omega k(\omega) \quad . \tag{26S}$$

Finally, the  $(\alpha hv)^{1/2}$  was plotted as a funcation of the energy hv, as shown in Fig. S7. The across point of the dash line with hv axis was used to determine the band gap

#### E. Connections among the components of $B^*$

It is worthy pointing out that the components  $(U^*, E_g, \text{ and } \kappa_{lat})$  determining the material parameter B<sup>\*</sup> are still interconnected with each other from a more fundamental point of view. Here, we show a simple discussion about the deeper relation between  $U^*$  and  $E_g$ . Firstly, Let us to recall the defination of  $U^*$  in the main body,

$$U^* = \mu \left( m^* / m_0 \right)^{5/2} T^{3/2} \tag{S27}$$

Then, we replace the carrier effective mass term  $(m^*)^{3/2}$  in the defination of  $U^*$  with  $N_v(m_b^*)^{3/2}$  where  $m_b^*$  and  $N_v$  are the band effective mass  $m_b^*$  and the number of degenerate band valley  $N_v$ , respectively.

$$U^* = \mu N_{\nu} \left( m_b^* / m_0 \right)^{3/2} T^{3/2}$$
(S28)

Considering the acoustic phonon as dominant carrier scattering mechanism for most thermoelectric materials,  $\mu$  in Eq. (S28) could be further evolved using the deformation potential  $E_d$ , elastic constant  $C_l$  (Similar treatment could be found in Ref. 9.), and then Eq. (S27) turns into,

$$U^* \propto \frac{N_v C_l}{\left(m_b^*/m_0\right) E_d}$$
(S29)

According to Eq. S29, a larger  $N_{\nu}$  and smaller  $m_b^*$  are favorable for higher  $U^*$ . Now the material parameter  $B^*$  could be rewritten as,

$$B^* \propto \frac{N_v C_l}{E_d \kappa_{lat}} \frac{E_g}{(m_b^*/m_0)}$$
(S30)

Based on the k·p perturbation theory,  $m_b^*$  is related to  $E_g$ , conduction band wave function  $\Gamma_c$ , and valance band wave function  $\Gamma_c$ .

$$\frac{1}{m_b^*} = \frac{1}{m_0} + \frac{2\left|\left\langle \Gamma_c | k \cdot p | \Gamma_v \right\rangle\right|^2}{m_0^2 k^2 E_g}$$
(S31)

This relation essentially describes the fact that the effective mass of a free electron in one band changes due to the coupling to the electrons in other bands. For non-degenerate conduction band electrons, it has been shown that a material with larger  $E_g$  usually has a heavier  $m_b^*$  that corresponds to a lower mobility, according to Ref. 55. According to the data for typical V (Ge), III-V (GaN, GaAs, GaSb, InP, InP and InAs), II-VI (ZnS, SnSe, ZnTe, CdTe) semiconductor, the ratio of  $E_g/(m_b^*/m_0)$  decreases with increase of band gap. This simplified picture partly explains why most of the well-known thermoelectric materials are narrow band semiconductor. However we want to caution that for thermoelectric materials where heavy atoms are usually involved, spin-orbit coupling needs to be considered and the above formalism needs to be carefully examined with more details. Additionally, the controllable doping for many semiconductors with wide band-gap are still a technical challenge which also prevents people from more widely investigating their thermoelectric performance. Further explorations into the relation among the parameters in generalized material parameter  $B^*$ , described in Eq. S28, would be more insightful to guide the researcher to atomically construct ideal materials with optimized atomic sizes, bonding strengths, and crystalline structures.

#### F. Estimated U\* from measured S and $\sigma$

For the samples without data from Hall measurement in the Table S1, including CoSbTe, CoSbTeSn, ingot-BiSbTe, nano-BiSbTe, and PbTe-1, U\*s were estimated from the measured Seebeck coefficient S and electrical conductivity  $\sigma$  at room temperature as following,

$$S = \pm \frac{\kappa_B}{e} \left( \left( s + \frac{5}{2} \right) - \xi_f \right)$$
(S32)

$$\sigma = 2e \left(\frac{2\pi m_0 k_B T}{h^2}\right)^{3/2} \left(m^* / m_0\right)^{3/2} \mu \exp\left(\xi_f\right) = A \cdot U^* \exp\left(\xi_f\right)$$
(S33)

Here, A is a constant for simplification,

$$S = \pm \frac{\kappa_B}{e} \left( \left( s + \frac{5}{2} \right) + \ln A + \ln U^* - \ln \sigma \right)$$
(534)

$$U^* = \exp\left\{ \left( \left| S \right| \frac{e}{k_B} \right) + \ln \sigma - \left( s + \frac{5}{2} \right) + \ln A \right\}$$
(S35)

The error bar for U\* by using this method should be similar to that of the term  $\mu (m^*/m_0)^{3/2}$ , which has been discussed in *Chem. Mater.* 2008, **20**, 7526-7531.