

## Supplementary Information

### Dataset A: Data sources

**Table A1: Overview of different data sources used and their purposes**

<b>Data Sources</b>	<b>Purpose</b>
<b><i>Primary</i></b>	
Author observations during household questionnaires	<ul style="list-style-type: none"> <li>• Verification of population distribution</li> <li>• Verification of possible locations of TSSs, Community Treatment Facility and SDSs</li> </ul>
Google Earth Imagery	<ul style="list-style-type: none"> <li>• Verification of road/pathway networks</li> <li>• Construction of extra pathways and linking roads between community and centralised network</li> <li>• Verification of population distribution</li> <li>• Verification of possible locations of TSSs, Community Treatment Facility and SDSs</li> </ul>
Manually constructed ESRI ArcGIS-compatible maps	Network constructed consisted of: <ul style="list-style-type: none"> <li>• Internal community pathways road</li> <li>• Collection points</li> <li>• Connecting pathways/roads (between primary and secondary)</li> <li>• Fixed infrastructure locations (TSSs, SDSs)</li> </ul>
<b><i>Secondary</i></b>	
ESRI ArcGIS-compatible maps <ol style="list-style-type: none"> <li>1. Water distribution network in informal settlements</li> <li>2. Centralised road network</li> <li>3. Centralised sewerage network</li> </ol>	<ul style="list-style-type: none"> <li>• Pipeline networks used as indicator of primary road and pathways network</li> <li>• Water kiosk and individual tap location used as indicator of distribution of population</li> <li>• Centralised networks used as secondary road network</li> </ul>
Sanitation Master Plan	Identification of technically feasible locations for SDSs

## **Dataset B: Input parameters**

Conversion rate of 5335 ZMK to US \$ 1 used throughout.<sup>1</sup> ‘Author directly’ means the input parameters used are from the analysis conducted or selected based on primary observations made by Author from the field.

Network data	Symbol	Unit	Kanyama Value	Chazanga Value	References
Number of collection points	$N_c$	-	65	42	Author directly
Number of TS (single)	$T_s$		1	1	Author directly
Number of TS (multiple)	$T_m$		5	4	Author directly
Collection points to end point Scenario 1A	$d_{ce}$	km	333.68	88.67	Author directly
Collection points to end point Scenario 1B		km	192.89	79.23	Author directly
Collection points to end point Scenario 3		km	116.74	116.30	Author directly
Collection points to transfer station Scenario 2	$d_{ct}$	km (single TS)	111.15	70.77	Author directly
		km (multi TS)	77.34	60.90	Author directly
Collection points to transfer station Scenario 3		km (single TS)	111.15	70.77	Author directly
		km (multi TS)	77.29	60.90	Author directly
Transfer station to end point Scenario 2	$d_{te}$	km (single TS)	8.01	9.57	Author directly
		km (multi TS)	24.64	25.67	Author directly
Transfer station to end point Scenario 3		km (single TS)	4.02	10.79	Author directly
		km (multi TS)	14.52	28.21	Author directly
Socioeconomic data	Symbol	Unit	Kanyama Value	Chazanga Value	References
Baseline population	$N_p$	cap	137,000	86,000	Author directly
Number of people per household	$N_{p,h}$	cap	6	6	<sup>2</sup>
Annual population rate	$r_g$	%/ year	4.2		<sup>2</sup>
Average number of households per facility	$N_{h,f}$	-	3	2	Author directly
Minimum Wage	$C_w$	US\$/ day	0.68		<sup>3</sup>
Working Hours	$W_h$	hours/ day	8		Author directly
Working Days	$W_d$	days/ week	5.5		Author directly
Working Weeks	$W_w$	weeks/ year	45		<sup>4</sup>
Fuel price (petrol)	$C_f$	US\$/ litre	1.48		<sup>5</sup>
Discount Rate	$r_d$	%/year	12		<sup>6</sup>
Inflation Rate	$r_i$	%/year	9.5 (average of historic inflation rate)		<sup>7</sup>
Filling rate parameters	Symbol	Unit	Kanyama Value	Chazanga Value	References
Sludge generation rate	$r_s$	m <sup>3</sup> /cap/year	0.06		<sup>8</sup>
Current pit size	$V_p$	m <sup>3</sup>	2.6		<sup>9</sup>
Transportation equipment data	Symbol	Unit	Vacutug ( $U_v$ )	Vacuum Tanker ( $U_{vt}$ )	Manual Cart ( $U_{mc}$ )
Cost per unit of equipment	$C_{tr}$	US \$/ unit	15,000 <sup>4,10,11</sup>	50,000 <sup>12</sup>	800 <sup>13</sup>
Shipping costs	$C_{str}$	US \$/ unit	8,000 <sup>4</sup>	-	-
Maintenance	$C_{mtr}$	%/ year	10 (Author directly)	10 (Author directly)	10 (Author directly)
Wear and Tear	$C_{wttr}$	%/ year	7 (Author directly)	7 (Author directly)	20 (Author directly)

<b>Economic life</b>	$L_{tr}$	years	$4^{14}$	10 (Author directly)	3 (Author directly)
<b>Fuel usage</b>	$F_1$	litres/ km	$0.2^{15}$	$0.5^{15}$	-
<b>Vacuum Pump Fuel Usage</b>	$F_2$	litres/hr	$6^{16}$	$10^{17}$	-
<b>Oil usage</b>	$F_3$	US\$/ year	-	-	-
<b>Volume</b>	$V_{tr}$	$m^3$	$2^{10}$	$10^{12}$	$0.33^{13}$
<b>Speed</b>	$S$	km/ hour	$2.5^{18}$	$35^{19}$	$2.5^{18}$
<b>Number of operators</b>	$N_{otr}$	-	$3^{10}$	$3^{12}$	$4^{13}$
<b>Time to fill tank</b>	$T_f$	minutes	$10^{20}$	15 (Author directly)	40 (Author directly)
<b>Preparation and setting up</b>	$T_p$	minutes	$30^{20}$	15 (Author directly)	30 (Author directly)
<b>Transfer station (<math>I_{ts}</math>) parameters</b>	<b>Symbol</b>	<b>Unit</b>	<b>Kanyama Value</b>	<b>Chazanga Value</b>	<b>References</b>
<b>Cost per unit</b>	$C_{ts}$	US \$/ unit	100,000		<sup>21</sup>
<b>Operation and Maintenance</b>	$C_{omts}$	%/ year	10		Author directly
<b>Economic life</b>	$L_{ts}$	years	25		Author directly
<b>Volume</b>	$V_{ts}$	$m^3$	135		Author directly
<b>Number of operators</b>	$N_{ots}$	-	2		Author directly
<b>Sewer discharge station (<math>I_{sds}</math>) parameters</b>	<b>Symbol</b>	<b>Unit</b>	<b>Kanyama Value</b>	<b>Chazanga Value</b>	<b>References</b>
<b>Cost per unit</b>	$C_{sds}$	US \$/ unit	40, 000		<sup>21</sup>
<b>Operation and Maintenance</b>	$C_{omsds}$	%/year	10		Author directly
<b>Economic life</b>	$L_{sds}$	years	25		Author directly
<b>Volume</b>	$V_{sds}$	$m^3$	50		Author directly
<b>Number of operators</b>	$N_{osds}$	-	2		Author directly
<b>Sewer discharge station pump (<math>U_p</math>) parameters</b>	<b>Symbol</b>	<b>Unit</b>	<b>Kanyama Value</b>	<b>Chazanga Value</b>	<b>References</b>
<b>Cost per unit (pump)</b>	$C_{sdsp}$	US \$/ unit	40, 000		<sup>21</sup>
<b>Operation and Maintenance</b>	$C_{omsdsp}$	%/year	10		Author directly
<b>Economic life</b>	$L_{sdsp}$	years	5		Author directly
<b>Community level treatment facility (<math>I_{ctf}</math>) parameters</b>		<b>Unit</b>	<b>Kanyama Value</b>	<b>Chazanga Value</b>	<b>References</b>
<b>Cost per unit</b>	$C_{ctf}$	US \$/ unit	600,000	400,000	<sup>21, 22</sup>
<b>Operation and Maintenance</b>	$C_{omtf}$	%/year	10		Author directly
<b>Economic life</b>	$L_{ctf}$	years	25		Author directly
<b>Volume</b>	$V_{ctf}$	$m^3$	100	60	Author directly
<b>Number of operators</b>	$N_{otf}$	-	2	2	Author directly
<b>Disposal costs</b>		<b>Unit</b>	<b>Value</b>		<b>References</b>
<b>Charge</b>	$C_d$	per $m^3$ dumped	5.6		<sup>12</sup>

### Dataset C: Financial calculations

#### Equation 1: Net Present Value

$$NPV (\$) (r_d, n) = \sum_{t=0}^n \frac{C_t}{(1 + r_d)^t}$$

where, n = design life, t = year,  $C_t$  = net cash flow per year (total yearly expenditure minus total yearly income) and  $r_d$  = discount rate<sup>23</sup>

#### Equation 2: Present Value

$$PV (\$) = \frac{X_t}{(1 + r_d)^t}$$

where  $X_t$  = projected future cost in year t and  $r_d$  = discount rate<sup>24</sup>

#### Equation 3: Average Incremental Cost

$$AIC = \frac{\sum PV(costs)}{\sum PV(benefits)}$$

where PV (costs) = the total present value cost for project in each year and PV (benefits) is the total volume of FS emptied per year.<sup>24</sup>

### Dataset D: Equations used in costing methodology

**Table D1: Calculations to determine baseline cost per unit of transportation equipment**

Calculation	Unit	Equation
Total capital cost, $C_{CAPtr}$	US \$/unit	$C_{CAP} = C_{tr} + C_{str}$
Maintenance cost, $C_{maintr}$	US \$/year.unit	$C_{main} = \frac{C_{mtr}}{100} \times C_{tr}$
Wear and tear cost, $C_{weartr}$	US \$/year.unit	$C_{wear} = \frac{C_{wttr}}{100} \times C_{tr}$
Labour cost, $C_{labourtr}$	US \$/year.unit	$C_{labour} = C_w \times N_{otr} \times W_h \times W_d \times W_w$
Fuel and oil cost, $C_{fueltr}$	US \$/year.unit	$C_{fuel} = (C_f \times F_1 \times (d_{ce}/d_{ct}/d_{te})) + (F_2 \times T_f \times N_{trips} \times W_d \times V)$
Total O&M cost, $C_{OMtr}$	US \$/year.unit	$C_{OM} = C_{main} + C_{wear} + C_{labour} + C_{fuel}$

**Table D2: Calculations to determine operational capacity per unit of transportation equipment**

Calculation	Unit	Equation
Total time per trip, $T_{trip}^*$	hours	$T_{trip} = \frac{(d_{ce}/d_{ct}/d_{te})}{N_c \times S} T_f + \frac{T_p}{60}$
Number of trips per day, $N_{trips}$	-	$N_{trips} = \frac{W_h}{T_{trip}}$
Operational capacity, $V_{year}$	$m^3/year.unit$	$V_{year} = N_{trips} \times W_d \times W_w \times$

**Table D3: Calculations to determine population growth and equipment quantities required per settlement**

Calculation	Unit	Equation
Population in year t, $N_{p,t}$		$N_{p,t} = N_p \times (1+(r_p/100))^t$
Total FS generated, $V_{FS}$	$m^3$	$V_{FS} = N_{p,t} \times r_s$
Total number of transportation equipment units required per year, $U_{tr}$ ( $U_v, U_{vt}, U_{mc}$ )		$U_{tr} = V_{FS} / V_{year}$
Total number of transfer stations required per year, $U_{ts}$		$U_{ts} = T_s \text{ or } T_m$
New equipment units to be purchased taking into consideration population growth and economic life, $U_{new}$		$U_{new} = (U_{tr}/U_{ts}/U_p) + \text{OFFSET } (L_{tr}/L_{ts}/L_p)$

**Table D4: Calculations to determine baseline cost per unit of infrastructure**

Calculation	Unit	Equation
Total capital cost, $C_{CAPI}$	US \$/unit	$C_{CAPI} = C_{ts} / C_{sds} + C_{sdsp} / C_{tf}$
Maintenance cost, $C_{maini}$	US \$/year.unit	$C_{maini} = \frac{C_{omts}/C_{omsds} + C_{omsdsp}/C_{omtf}}{100} \times C_{tr}$
Labour cost, $C_{labouri}$	US \$/year.unit	$C_{labouri} = C_w \times (N_{ots}/N_{osds}/N_{ott}) \times W_h \times W_d \times$
Total O&M cost, $C_{OMi}$	US \$/year.unit	$C_{OMi} = C_{maini} + C_{labouri}$

**Table D5: Calculations for projected costs for transportation**

Year, t	Total number of units required, $U_{tr}$	Number of new units, $U_{new}$	Factor for inflation, I	Total CAPEX (US \$) for $U_V, U_{vt}, U_{mc}$	Maintenance cost, M (US \$) for $U_V, U_{vt}, U_{mc}$	Wear and tear cost, W (US \$) for $U_V, U_{vt}, U_{mc}$	Labour cost, Lab (US \$) for $U_V, U_{vt}, U_{mc}$	Fuel and oil cost, FO (US \$) for $U_V, U_{vt}, U_{mc}$	Total OPEX (US \$) for $U_V, U_{vt}, U_{mc}$	Total Expenditure (US \$) for $U_V, U_{vt}, U_{mc}$
(0-24)	$U_V, U_{vt}, U_{mc}$	$U_{tr}$	$I = 1+(r_i/100))^t$	$CAPEX_{tr} = C_{CAPtr} \times U_{new} \times I$	$M_{tr} = C_{maintr} \times U \times I$	$W_{tr} = C_{weartr} \times U \times I$	$Lab_{tr} = C_{labourtr} \times U \times I$	$FO_{tr} = C_{fueltr} \times U \times I$	$OPEX_{tr} = C_{OMtr} \times U \times I$	$= CAPEX_{tr} + OPEX_{tr}$

**Table D6: Calculations for projected costs for fixed infrastructure**

Year, t	Total number of units required, $U_i$	Number of new units, $U_{new}$	Factor for inflation, I	Total CAPEX (US \$) for $I_{ts}, I_{sds}, I_{clt}$	Maintenance cost, M (US \$) $I_{ts}, I_{sds}, I_{clt}$	Labour cost, Lab (US \$) for $I_{ts}, I_{sds}, I_{clt}$	Total OPEX (US \$) for $I_{ts}, I_{sds}, I_{clt}$	Total Expenditure (US \$) for $U_V, I_{ts}, I_{sds}, I_{clt}$
(0-24)	$I_{ts}, I_{sds}, I_{clt}$	$U_{ts}/U_p$	$I = +(r_i/100))^t$	$CAPEX_i = C_{CAPI} \times U_{new} \times I$	$M_i = C_{maini} \times U \times I$	$Lab_i = C_{labouri} \times U \times I$	$OPEX_i = C_{OMi} \times U \times I$	$= CAPEX_i + OPEX_i$

**Table D7: Calculations for Net Present Value**

Year, t	Total number of units required, $U_{tr}$	Volume of FS emptied ( $m^3$ )	Factor for PV, PVF	PV CAPEX (US \$)	PV Maintenance costs (US \$)	PV Wear and tear cost (US \$)	PV Labour cost (US \$)	PV Fuel and oil cost (US \$)	PV Total Expenditure (US \$)
(0-24)	$U_{tr}$	$= U \times V_{year}$	$PVF = 1/(1+(r_d/100))^t$	$= CAPEX(tr+i) \times PVF$	$= M(tr+i) \times PVF$	$= W(tr+i) \times PVF$	$= Lab(tr+i) \times PVF$	$= FO(tr+i) \times PVF$	$= (CAPEX(tr+i) + OPEX(tr+i)) \times PVF$

**Table D8: Calculations for Average Incremental Costs**

Year, t	Number of people per latrine*, $N_{p,l}$	FS generated per latrine, $V_{FS,l}$ ( $m^3$ )	Time between emptying events, $T_e$ (years)	Emptying Frequency (events per year)
(0-24)	$N_{p,l} = N_{p,h} \times N_{h,l} \times (1+(r_g/100))^t$	$V_{FS,l} = N_{p,l} \times r_s$	$T_e = V_p / V_{FS,l}$	$= 1 / T_e$

**Table D9: Calculations for pit latrine emptying frequency**

Year, t	Total Expenditure (US \$)	Factor for inflation, I	Total User Charge (US \$)	Net Cash Flow, $C_t$ (US \$)	Present Value (US \$) <sup>1</sup>
(0-24)	$= CAPEX(tr+i) + OPEX(tr+i)$	$I = (1+(r_i/100))^t$	$= \text{variable charge} \times (N_p/N_{p,h}) \times I$	$C_t = \text{Total Expenditure} - \text{Total user charge}$	$= C_t / (1 + r_d)^t$

<sup>1</sup> Net Present Value equation shown by Equation 7-2

## Supplementary Information References

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