

**Optical micro-spectroscopy of single metallic nanoparticles:  
Quantitative extinction and transient resonant four-wave mixing**

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### S1. POLARISABILITY OF A NON-SPHERICAL GOLD NANOPARTICLE

As stated in the paper, we describe a non-spherical particle as a metallic ellipsoid with three orthogonal semi-axes  $a$ ,  $b$  and  $c$ . In the particle reference system the polarisability tensor  $\hat{\alpha}$  is diagonal, and its eigenvalues are given by<sup>1</sup>  $\alpha_i = 4\pi abc \frac{\epsilon - \epsilon_m}{3\epsilon_m + 3L_i(\epsilon - \epsilon_m)}$ .  $\epsilon$  is the dielectric constant of gold,  $\epsilon_m$  is the dielectric constant of the medium surrounding the NP, and  $L_i$  with  $i = a, b, c$  are dimensionless quantities defined by the particle geometry as follows:

$$\begin{aligned} L_1 &= \frac{abc}{2} \int_0^{+\infty} (a^2 + q)^{-3/2} (b^2 + q)^{-1/2} (c^2 + q)^{-1/2} dq \\ L_2 &= \frac{abc}{2} \int_0^{+\infty} (a^2 + q)^{-1/2} (b^2 + q)^{-3/2} (c^2 + q)^{-1/2} dq \\ L_3 &= \frac{abc}{2} \int_0^{+\infty} (a^2 + q)^{-1/2} (b^2 + q)^{-1/2} (c^2 + q)^{-3/2} dq \end{aligned} \quad (1)$$

It should be noted that only two out of three geometrical factors are independent, as for any ellipsoid  $L_1 + L_2 + L_3 = 1/3$ . In some special cases, the integrals in Eq. 1 can be solved analytically. For a spherical particle with  $a = b = c$  we have

$$L_1 = L_2 = L_3 = \frac{a^3}{2} \int_0^{+\infty} (a^2 + q)^{-5/2} dq = \frac{1}{3}; \quad (2)$$

for a prolate spheroid with  $a > b = c$  we have

$$L_1 = \frac{1 - e^2}{e^2}, e^2 = 1 - \frac{b^2}{a^2}; \quad (3)$$

and for an oblate spheroid with  $a = b > c$  we have

$$L_1 = \frac{g(e)}{2e^2} \left[ \frac{\pi}{2} - \tan^{-1} g(e) \right], g(e) = \left( \frac{1 - e^2}{e^2} \right)^{1/2}, e^2 = 1 - \frac{c^2}{a^2}. \quad (4)$$

In the most general case, we calculated the integrals in Eq. 1 using Matlab and its numerical integration function `integral`.

For an arbitrary particle orientation in the laboratory system, the polarizability tensor is transformed as:

$$\widehat{\alpha} = \widehat{A}^{-1} \widehat{\alpha}' \widehat{A}, \quad (5)$$

where  $\widehat{A} = (a_{ij})$  is the rotation matrix transforming the particle reference system into the laboratory system and  $\alpha'$  is the polarizability tensor in the laboratory system. The absorption, scattering and total cross-sections are calculated following Ref. [1]. The absorption cross-section in the laboratory system along the x-axis is given by (x,y,z corresponds to 1,2,3):

$$\sigma_{\text{abs},X'} = k\Im(\alpha_1 a_{11}^2 + \alpha_2 a_{21}^2 + \alpha_3 a_{31}^2), \quad (6)$$

and similarly for the other axes ( $k = 2\pi n/\lambda$  with  $\lambda$  wavelength in vacuum and  $n$  refractive index of the surrounding medium). The scattering cross-section along the x-axis is:

$$\sigma_{\text{sca},X'} = \frac{k^4}{6\pi} (|\alpha_1|^2 a_{11}^2 + |\alpha_2|^2 a_{21}^2 + |\alpha_3|^2 a_{31}^2), \quad (7)$$

and the total extinction cross section is the sum:

$$\sigma_{\text{ext},X'} = \sigma_{\text{abs},X'} + \sigma_{\text{sca},X'} \quad (8)$$

If the polarization of light is parallel to one of the principal axes of the particle, the total cross-section is given by:

$$\sigma_{\text{ext},m} = k\Im(\alpha_m) + \frac{k^4 |\alpha_m|^2}{6\pi}, \quad (9)$$

where  $m = 1, 2, 3$ . If we rotate the particle by an angle  $\gamma$  in the laboratory xy plane around the z-axis, and keep the electric field polarization along the laboratory x-axis, the total extinction cross-section will be:

$$\sigma_{\text{ext},\gamma} = \sigma_{\text{ext},1} \cos^2 \gamma + \sigma_{\text{ext},2} \sin^2 \gamma \quad (10)$$

In turn, the extinction cross-section for an incident polarized light at an angle  $\theta$  with respect to the laboratory x-axis is:

$$\sigma_{\text{ext}}(\theta) = \sigma_{\text{ext},1} \cos^2(\gamma - \theta) + \sigma_{\text{ext},2} \sin^2(\gamma - \theta) \quad (11)$$

This represents a sinusoidal dependence of the measured extinction cross-section versus  $\theta$ , which can be rewritten as

$$\sigma_{\text{ext}}(\theta) = \bar{\sigma}(1 + \alpha_P \cos [2(\theta - \gamma)]) \quad (12)$$

with

$$\bar{\sigma} = \frac{\sigma_{\text{ext},1} + \sigma_{\text{ext},2}}{2}, \alpha_{\text{P}} = \frac{\sigma_{\text{ext},1} - \sigma_{\text{ext},2}}{\sigma_{\text{ext},1} + \sigma_{\text{ext},2}} \quad (13)$$

In order to link  $\alpha_{\text{P}}$  and  $\bar{\sigma}$  to the particle ellipticity  $\rho = 1 - b/a$  and the particle volume  $V = (4/3)\pi abc$ , we calculated  $\sigma_{\text{ext},1}$  and  $\sigma_{\text{ext},2}$  as a function of  $\rho$  and  $V$  using  $a > b$ ,  $c = \sqrt{ab}$  and varying  $\rho$  from 0 to 0.9 and  $V$  from  $5.23 \times 10^2 \text{ nm}^3$  to  $5.23 \times 10^5 \text{ nm}^3$  (effective radius from 5 nm to 50 nm). In the calculations we assumed a surrounding medium of refractive index 1.515.  $\sigma_{\text{ext},1}$  and  $\sigma_{\text{ext},2}$  were calculated as spectral averages over the R (570-650 nm), G (480-580 nm), and B (420-510 nm) filters of the Canon color camera, and the corresponding  $\alpha_{\text{P}}$  and  $\bar{\sigma}$  versus  $\rho$  and  $V$  were evaluated.  $\alpha_{\text{P}}$  calculated for all available volumes, plotted as a function of ellipticity, for the R, G and B filters is shown in Fig. S1.

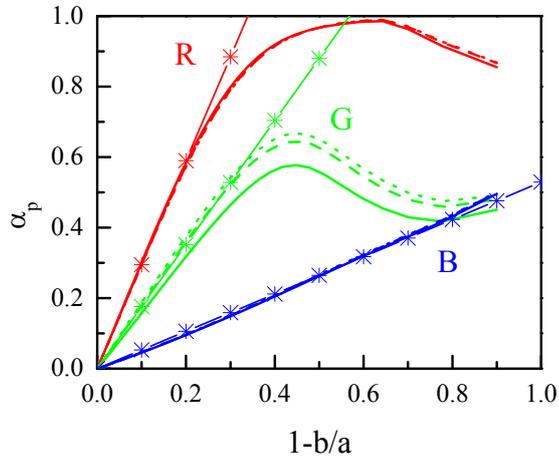


FIG. S1. Asymmetry parameter  $\alpha_{\text{P}}$  versus particle ellipticity, using calculated cross-sections  $\sigma_{\text{ext},1}$  and  $\sigma_{\text{ext},2}$  as spectral averages over the R, G, and B filters of the color Canon camera in the experiment. Different curves with the same color show calculations for three different particle volumes (solid line:  $523 \text{ nm}^3$ ; dashed line:  $2.62 \times 10^5 \text{ nm}^3$ , and dotted line:  $5.23 \times 10^5 \text{ nm}^3$ ). Lines with star symbols show the linear approximation using the mean  $K$  parameters (see text).

We observe that  $\alpha_{\text{P}}$  is linear in  $\rho$  in the range  $\alpha_{\text{P}} < 0.5$ . By fitting these curves in the linear regime as  $\rho = K\alpha_{\text{P}}$  we find a value of  $K$  only weakly dependent on volume. In the R channel, we can define a mean dimensionless  $K = 0.339$  with a standard deviation (from the volume dependence) of  $2 \times 10^{-3}$  (i.e. less than 1%). In the G channel we find  $K = 0.568$  with standard deviation  $3 \times 10^{-2}$ , and in the B channel  $K = 1.89$  with standard deviation  $6 \times 10^{-3}$ .

The dependence of  $\bar{\sigma}$  on volume for all investigated ellipticities is shown in Fig. S2. This dependence is well described by  $\bar{\sigma} = H_1V + H_2V^2$ . The coefficients  $H_1$  and  $H_2$  versus ellipticity  $\rho$  are shown in Fig. S3 for the R, G and B filter bandwidths. They are independent of ellipticity for small  $\rho$ . In the range  $\rho \leq 0.1$  we have  $H_1 = 1.39 \times 10^{-2}/\text{nm}$  and  $H_2 = 1.09 \times 10^{-7}/\text{nm}^4$  for R,  $H_1 = 6.80 \times 10^{-2}/\text{nm}$  and  $H_2 = 2.11 \times 10^{-7}/\text{nm}^4$  for G,  $H_1 = 4.54 \times 10^{-2}/\text{nm}$  and  $H_2 = 7.90 \times 10^{-8}/\text{nm}^4$  for B.

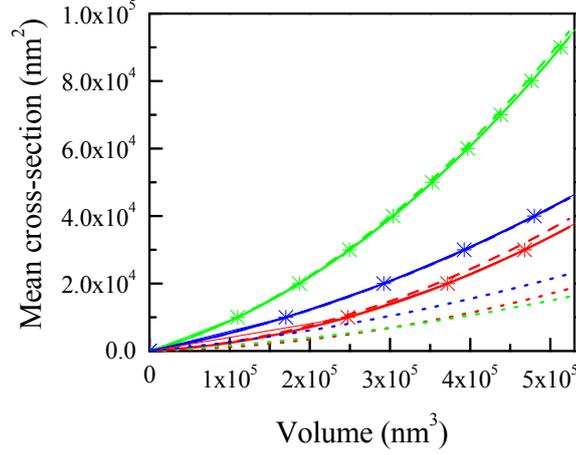


FIG. S2. Mean extinction  $\bar{\sigma}$  versus particle volume using calculated cross-sections  $\sigma_{\text{ext},1}$  and  $\sigma_{\text{ext},2}$  as spectral averages over the R, G, and B filters of the color Canon camera in the experiment. Different curves with the same color show calculations for different ellipticities (solid line: 0; dashed line: 0.1; dotted line: 0.9). Lines with star symbols show the curves with mean  $H$  coefficients in the range of small ellipticities 0 to 0.1 (see text).

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<sup>1</sup> Bohren, C. F. & Huffman, D. R. *Absorption and Scattering of Light by Small Particles* (Wiley-VCH, 1998).

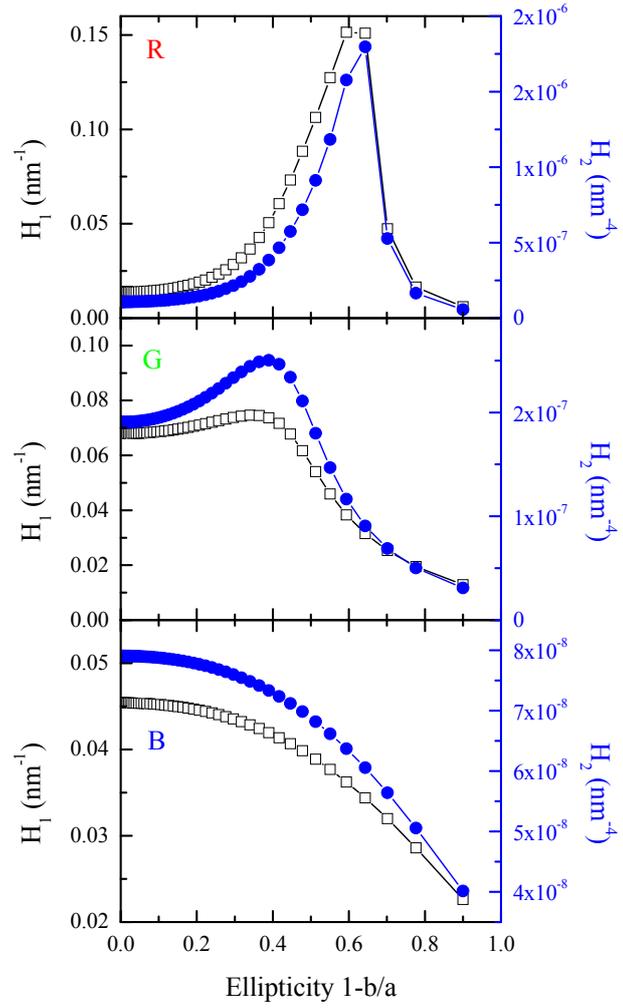


FIG. S3. Coefficients  $H_1$  and  $H_2$  versus ellipticity for the R, G and B filter bandwidths in the experiment, as indicated.