

# **Synthesis and Characterization of Bio-based Alkyl Terminal Hyperbranched Polyglycerols: A Detailed Study of Their Plasticization Effect and Migration Resistance**

Kyu Won Lee<sup>a</sup>, Jae Woo Chung<sup>b,\*</sup>, Seung-Yeop Kwak<sup>a,\*</sup>

<sup>a</sup>Department of Materials Science and Engineering, Seoul National University,

1 Gwanak-ro, Gwanak-gu, Seoul 151-744, Korea

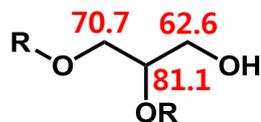
<sup>b</sup>Department of Organic Materials and Fiber Engineering, Soongsil University,

369 Sangdo-ro, Dongjak-gu, Seoul 156-743, Korea

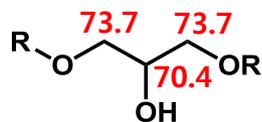
\* Corresponding Author: **Seung-Yeop Kwak** (E-mail: [sykwak@snu.ac.kr](mailto:sykwak@snu.ac.kr))

**Jae Woo Chung** (E-mail: [jwchung@ssu.ac.kr](mailto:jwchung@ssu.ac.kr))

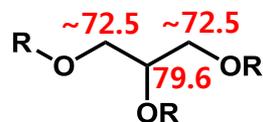
### Respective $^{13}\text{C}$ NMR shifts of structural units



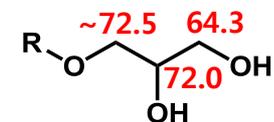
Linear 1,3 ( $L_{13}$ )



Linear 1,4 ( $L_{14}$ )



Dendritic (D)



Terminal (T)

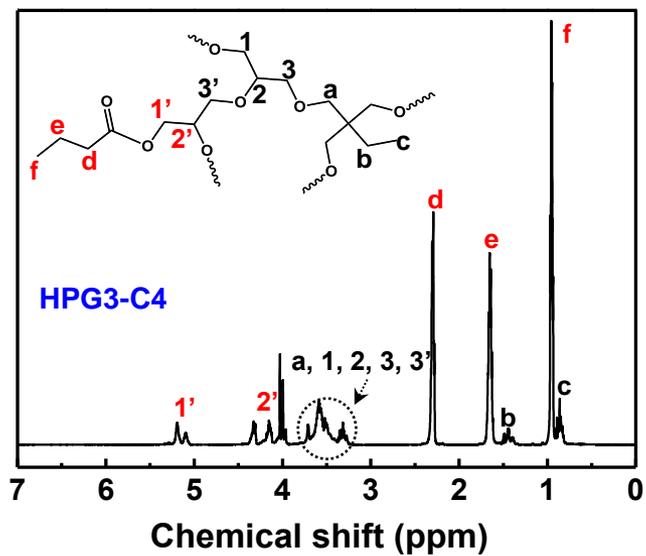
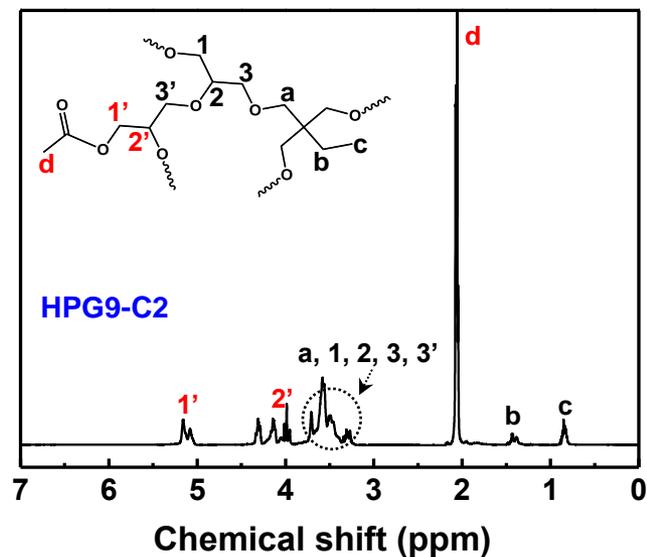
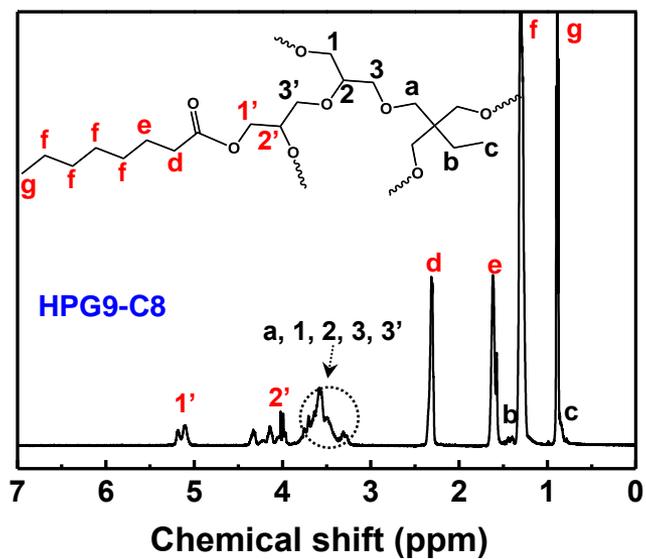
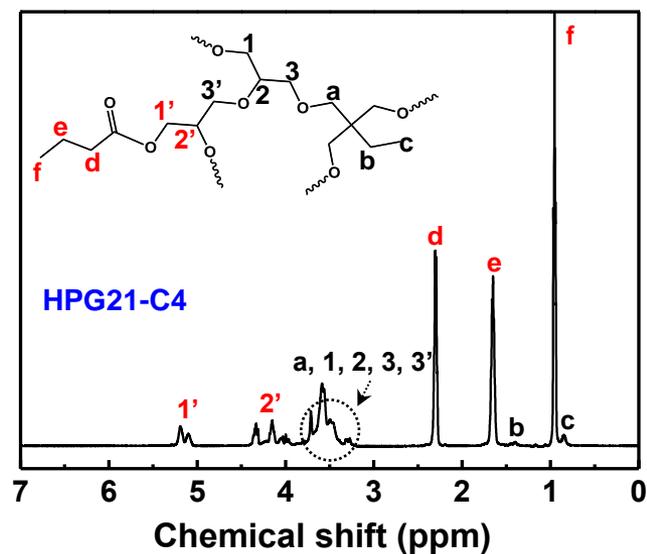
| Sample | $L_{13}$ (%) | $L_{14}$ (%) | D (%) | T (%) | DB           |
|--------|--------------|--------------|-------|-------|--------------|
| HPG3   | 8.2          | 36.0         | 10.9  | 44.9  | <b>0.329</b> |
| HPG9   | 10.0         | 34.1         | 16.5  | 39.3  | <b>0.429</b> |
| HPG21  | 10.2         | 32.9         | 20.4  | 36.4  | <b>0.486</b> |

### Degree of branching (DB)

$$DB = \frac{2D}{2D + L_{13} + L_{14}}$$

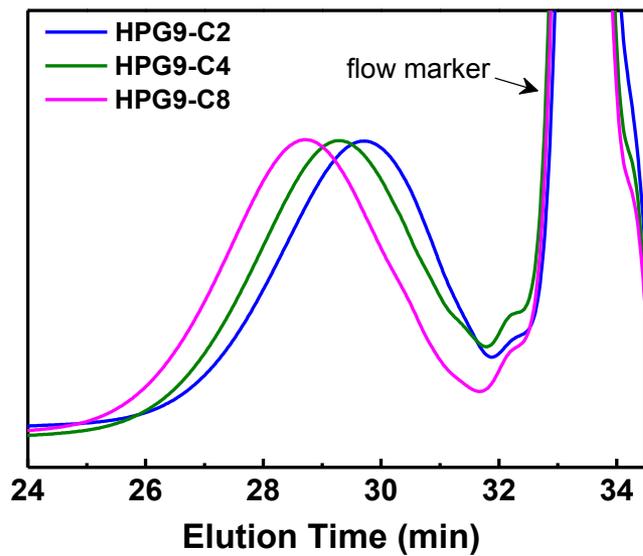
Ref. *macromolecules*. **1999**, 32, 4240.

**Fig. S1** The Respective  $^{13}\text{C}$  NMR shifts and the relative percentages of  $L_{13}$ ,  $L_{14}$ , D, and T units

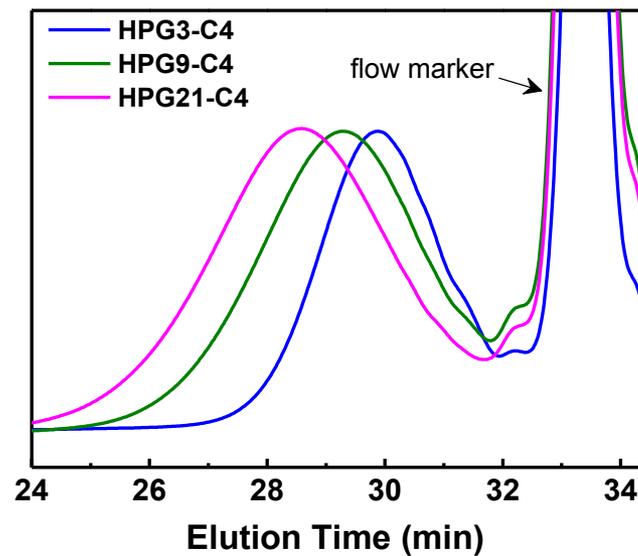
**(a)****(b)****(c)****(d)**

**Fig. S2**  $^1\text{H}$  NMR spectra for the alkyl-HPGs (a) HPG3-C4, (b) HPG9-C2, (c) HPG9-C8, and (d) HPG21-C4

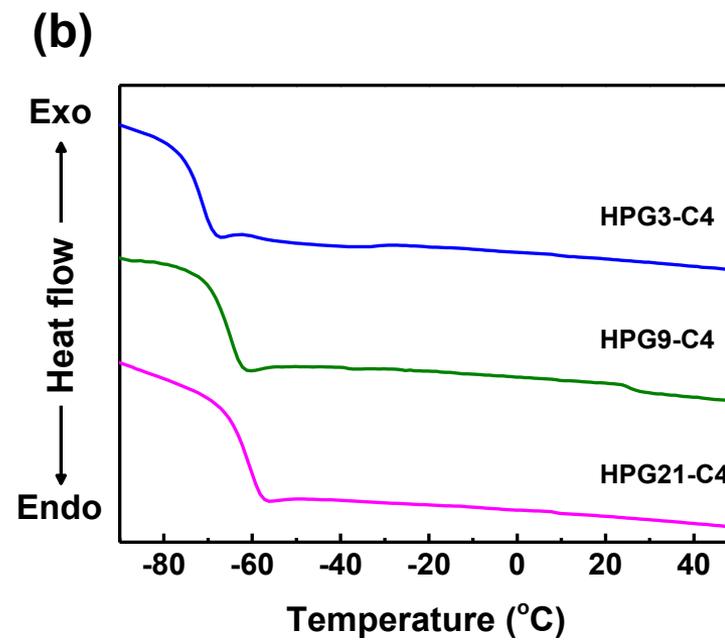
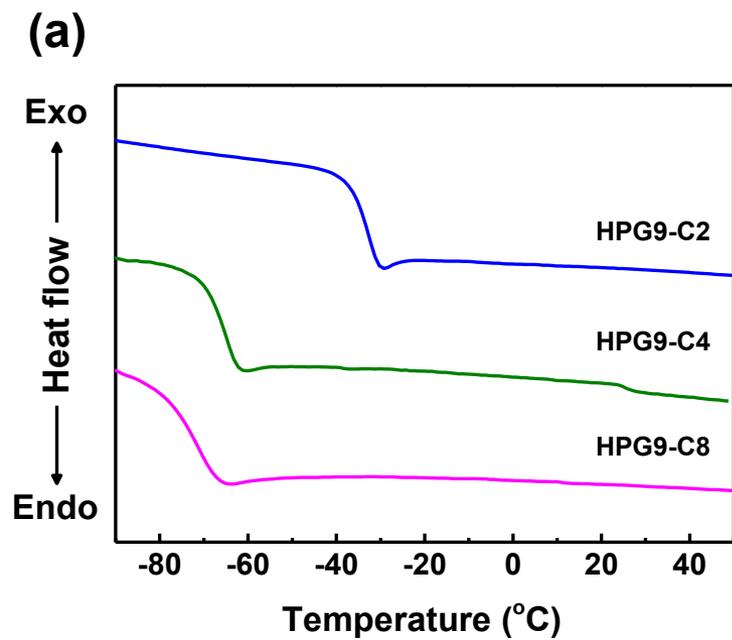
(a)



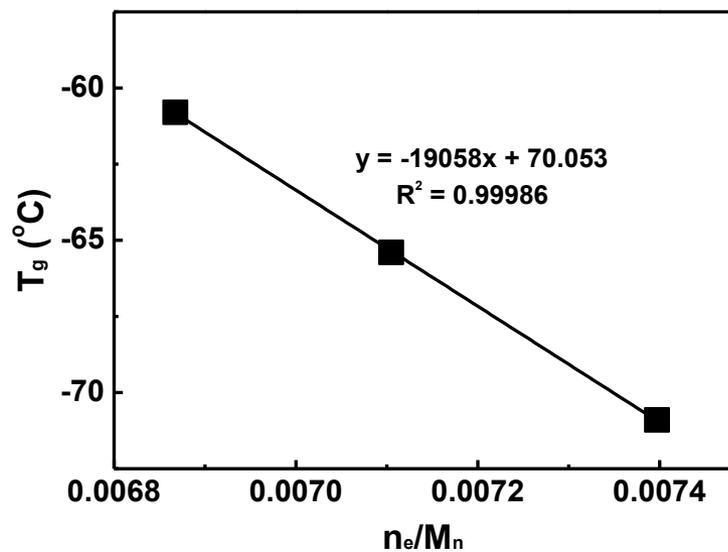
(b)



**Fig. S3** SEC curves for the alkyl-HPGs (a) HPG9-C2, HPG9-C4, and HPG9-C8 (b) HPG3-C4, HPG9-C4, and HPG21-C4



**Fig. S4** DSC curves for the alkyl-HPGs (a) HPG9-C2, HPG9-C4, and HPG9-C8 (b) HPG3-C4, HPG9-C4, and HPG21-C4



### *Chain ends-free volume theory*

$$T_{g, \text{dend}} = T_{g, \infty} - \frac{n_e \times K}{M_n}$$

$K$ : a constant, individual for each polymer

$n_e$ : the number of end groups

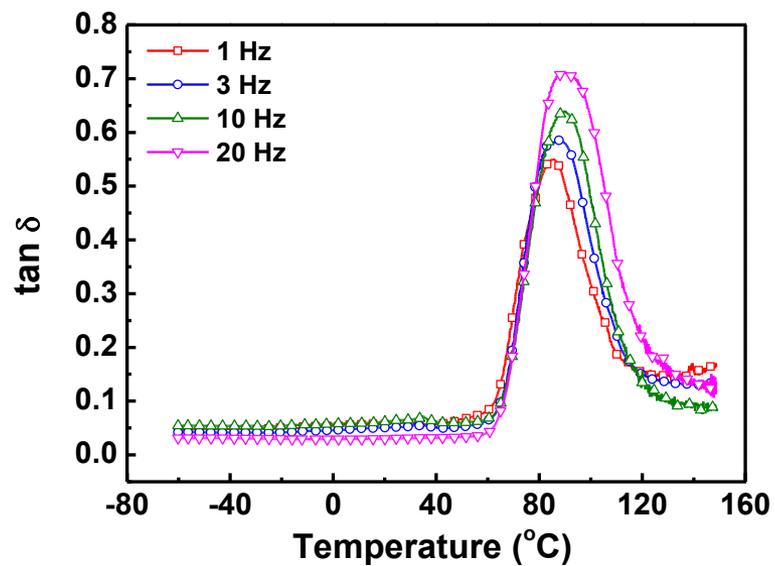
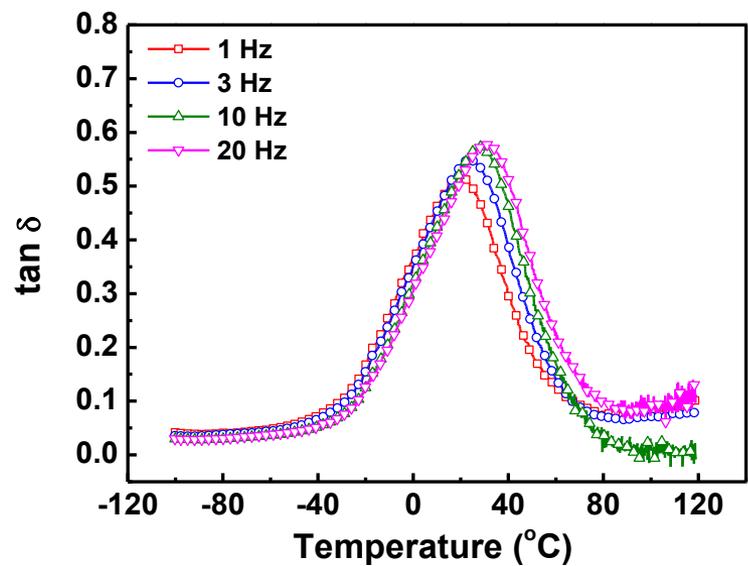
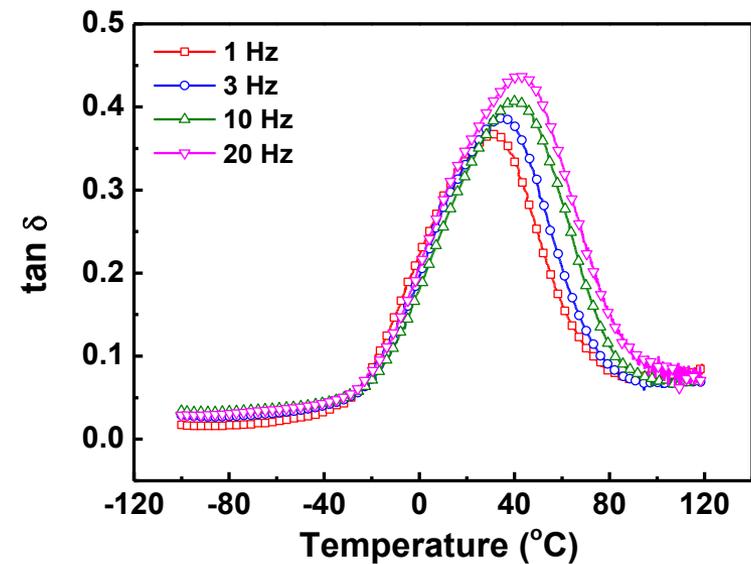
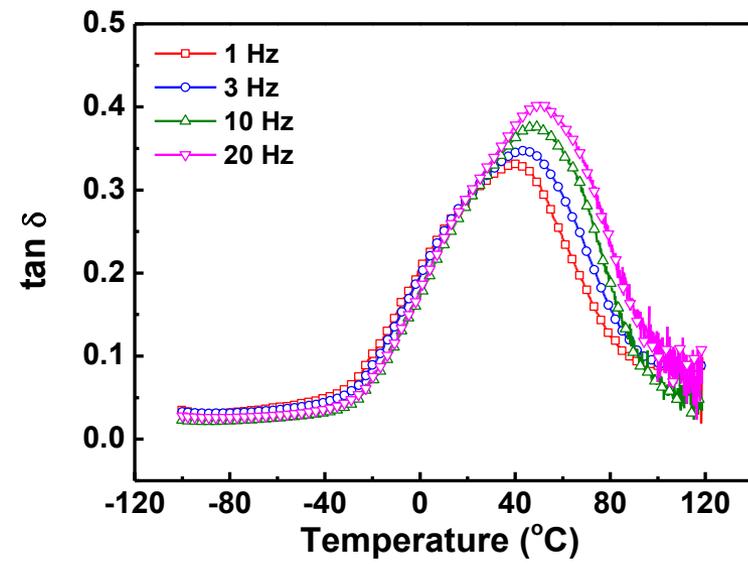
Ref. *macromolecules*. **1993**, 26, 1514.

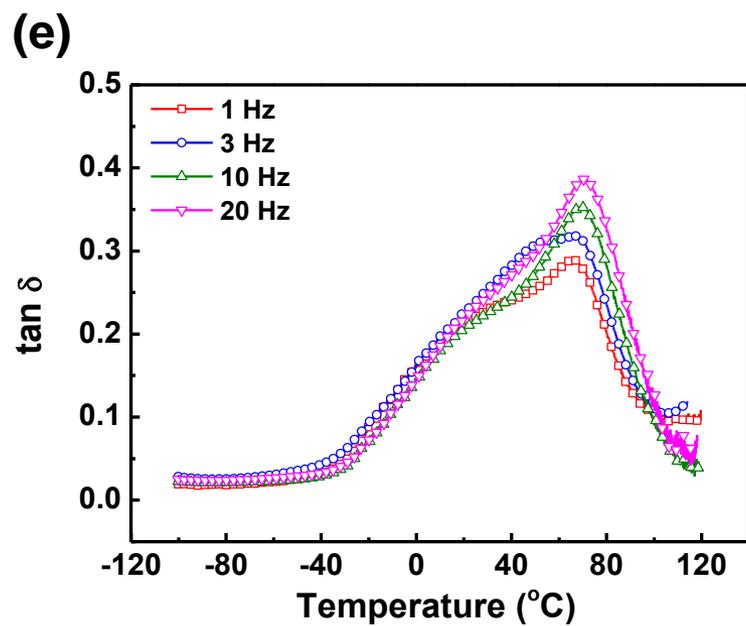
**Fig. S5** The change of  $T_g$  according to the  $n_e/M_n$  for HPG-C4 series

**Table S1** The calculated solubility parameters for the HPGs and alkyl-HPGs

| Factor   | HPG3-C4  | HPG9-C2  | HPG9-C4  | HPG9-C8  | HPG21-C4 | HPG3     | HPG9     | HPG21    |
|--|----------|----------|----------|----------|----------|----------|----------|----------|
| DP of glycidol   | 3.078    | 9.018    | 9.018    | 9.018    | 20.880   | 3.078    | 9.018    | 20.880   |
| # of CH <sub>2</sub>   | 21.27874 | 22.036   | 44.75002 | 88.66379 | 90.27232 | 10.156   | 22.036   | 45.76    |
| # of CH  | 3.078    | 9.018    | 9.018    | 9.018    | 20.88    | 3.078    | 9.018    | 20.88    |
| # of CH <sub>3</sub>   | 6.56137  | 12.50123 | 12.35701 | 12.10463 | 23.25616 | –        | –        | –        |
| # of O   | 3.078    | 9.018    | 9.018    | 9.018    | 20.88    | 3.078    | 9.018    | 20.88    |
| # of COO   | 5.56137  | 11.50123 | 11.35701 | 11.10463 | 22.25616 | –        | –        | –        |
| # of OH  | 0.51663  | 0.51677  | 0.66099  | 0.91337  | 1.62384  | 6.078    | 12.018   | 23.88    |
| M <sub>n,NMR</sub> (g mol <sup>-1</sup> )                          | 752      | 1286     | 1598     | 2204     | 3241     | 362      | 802      | 1681     |
| Sum of G (cal <sup>1/2</sup> cm <sup>3/2</sup> mol <sup>-1</sup> ) | 6259.874 | 10055.19 | 13000.59 | 18708.88 | 25928.69 | 3697.762 | 8325.022 | 17565.52 |
| Density (g cm <sup>-3</sup> )                                      | 1.06     | 1.10     | 1.08     | 0.98     | 1.09     | 1.22     | 1.22     | 1.23     |
| δ (cal <sup>1/2</sup> cm <sup>3/2</sup> )                          | 8.93     | 8.67     | 8.85     | 8.38     | 8.80     | 12.46    | 12.66    | 12.85    |
| Δδ (cal <sup>1/2</sup> cm <sup>3/2</sup> )                         | 0.73     | 0.99     | 0.81     | 1.28     | 0.86     | 2.80     | 3.00     | 3.19     |

- G values for CH<sub>2</sub>, CH, CH<sub>3</sub>, O, COO and OH are 214, 133, 28, 70, 310 and 148 (cal<sup>1/2</sup> cm<sup>3/2</sup> mol<sup>-1</sup>), respectively.
- Δδ (Difference in solubility parameter) = |δ<sub>PVC</sub> – δ<sub>plasticizer</sub>|

**(a)****(b)****(c)****(d)**



**Fig. S5** The frequency dependence of the tan  $\delta$  peak temperatures (a) neat PVC, (b) PVC/DEHP, (c) PVC/HPG3-C4, (d) PVC/HPG9-C4, and (e) PVC/HPG21-C4

**Table S2** Acute toxicity group summary for (a) HPG3-C4

( i )

| Group | Dose (mg/kg B.W.) | Mortality                  |               |
|-------|-------------------|----------------------------|---------------|
|       |                   | Male                       | Female        |
| G1    | 0                 | 0%<br>(0 / 5) <sup>a</sup> | 0%<br>(0 / 5) |
| G2    | 1330              | 0%<br>(0 / 5)              | 0%<br>(0 / 5) |
| G3    | 2000              | 0%<br>(0 / 5)              | 0%<br>(0 / 5) |
| G4    | 3000              | 0%<br>(0 / 5)              | 0%<br>(0 / 5) |

<sup>a</sup> : No. of dead animals / No. of tested animals

(iii)

Unit : g

| Group | Dose (mg/kg B.W.) | Sex    | Days after administration |       |       |       |
|-------|-------------------|--------|---------------------------|-------|-------|-------|
|       |                   |        | 0                         | 7     | 14    |       |
| G1    | 0                 | Male   | Mean                      | 162.9 | 248.5 | 308.5 |
|       |                   |        | S.D.                      | 4.7   | 13.9  | 19.5  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 126.0 | 174.7 | 190.9 |
|       |                   |        | S.D.                      | 5.1   | 5.5   | 16.6  |
|       |                   |        | N                         | 5     | 5     | 5     |
| G2    | 1330              | Male   | Mean                      | 165.5 | 249.7 | 306.7 |
|       |                   |        | S.D.                      | 5.7   | 14.0  | 10.1  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 125.0 | 171.4 | 205.8 |
|       |                   |        | S.D.                      | 6.8   | 9.5   | 14.5  |
|       |                   |        | N                         | 5     | 5     | 5     |
| G3    | 2000              | Male   | Mean                      | 165.2 | 243.4 | 308.7 |
|       |                   |        | S.D.                      | 4.5   | 7.4   | 11.2  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 125.6 | 174.8 | 201.7 |
|       |                   |        | S.D.                      | 10.8  | 16.2  | 24.4  |
|       |                   |        | N                         | 5     | 5     | 5     |
| G4    | 3000              | Male   | Mean                      | 165.9 | 254.5 | 289.6 |
|       |                   |        | S.D.                      | 2.1   | 10.8  | 21.2  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 125.7 | 178.2 | 213.1 |
|       |                   |        | S.D.                      | 8.1   | 14.9  | 9.4   |
|       |                   |        | N                         | 5     | 5     | 5     |

N : Number of animals, S.D. : Standard deviation

(ii)

| Group  | Dose (mg/kg B.W.) | Sex    | Number of animal | Clinical signs |
|--------|-------------------|--------|------------------|----------------|
|        |                   |        |                  |                |
| Female | 5                 | Normal |                  |                |
| G2     | 1330              | Male   | 5                | Normal         |
|        |                   | Female | 5                | Normal         |
| G3     | 2000              | Male   | 5                | Normal         |
|        |                   | Female | 5                | Normal         |
| G4     | 3000              | Male   | 5                | Normal         |
|        |                   | Female | 5                | Normal         |

(iv)

| Findings          |                   | Group (mg/kg B.W.) |        |           |        |           |        |           |        |
|-------------------|-------------------|--------------------|--------|-----------|--------|-----------|--------|-----------|--------|
|                   |                   | G1 (0)             |        | G2 (1330) |        | G3 (2000) |        | G4 (3000) |        |
|                   |                   | Male               | Female | Male      | Female | Male      | Female | Male      | Female |
| Number of animals |                   | 5                  | 5      | 5         | 5      | 5         | 5      | 5         | 5      |
| Gross findings    | No gross findings | 5                  | 5      | 5         | 5      | 5         | 5      | 5         | 5      |
| Internal findings | No gross findings | 5                  | 5      | 5         | 5      | 5         | 5      | 5         | 5      |

( i ) Mortality, ( ii ) Clinical signs,

( iii ) Body weight, ( iv ) Necropsy findings

**Table S2** Acute toxicity group summary for (b) HPG9-C4

( i )

| Group | Dose (mg/kg B.W.) | Mortality                  |               |
|-------|-------------------|----------------------------|---------------|
|       |                   | Male                       | Female        |
| G1    | 0                 | 0%<br>(0 / 5) <sup>a</sup> | 0%<br>(0 / 5) |
| G2    | 1330              | 0%<br>(0 / 5)              | 0%<br>(0 / 5) |
| G3    | 2000              | 0%<br>(0 / 5)              | 0%<br>(0 / 5) |
| G4    | 3000              | 0%<br>(0 / 5)              | 0%<br>(0 / 5) |

<sup>a</sup> : No. of dead animals / No. of tested animals

(iii)

Unit : g

| Group | Dose (mg/kg B.W.) | Sex    | Days after administration |       |       |       |
|-------|-------------------|--------|---------------------------|-------|-------|-------|
|       |                   |        | 0                         | 7     | 14    |       |
| G1    | 0                 | Male   | Mean                      | 167.3 | 255.8 | 304.4 |
|       |                   |        | S.D.                      | 15.1  | 24.8  | 19.8  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 120.6 | 170.1 | 197.0 |
|       |                   |        | S.D.                      | 4.2   | 13.4  | 4.8   |
|       |                   |        | N                         | 5     | 5     | 5     |
| G2    | 1330              | Male   | Mean                      | 164.6 | 253.3 | 306.5 |
|       |                   |        | S.D.                      | 10.9  | 8.5   | 25.2  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 126.1 | 176.8 | 192.8 |
|       |                   |        | S.D.                      | 6.1   | 11.4  | 9.6   |
|       |                   |        | N                         | 5     | 5     | 5     |
| G3    | 2000              | Male   | Mean                      | 158.4 | 246.9 | 292.5 |
|       |                   |        | S.D.                      | 6.9   | 12.5  | 25.5  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 127.2 | 175.0 | 199.1 |
|       |                   |        | S.D.                      | 8.3   | 19.3  | 14.3  |
|       |                   |        | N                         | 5     | 5     | 5     |
| G4    | 3000              | Male   | Mean                      | 153.9 | 235.6 | 305.0 |
|       |                   |        | S.D.                      | 4.1   | 13.7  | 25.0  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 129.8 | 183.7 | 202.7 |
|       |                   |        | S.D.                      | 7.4   | 5.4   | 15.4  |
|       |                   |        | N                         | 5     | 5     | 5     |

N : Number of animals, S.D. : Standard deviation

(ii)

| Group | Dose (mg/kg B.W.) | Sex    | Number of animal | Clinical signs |
|-------|-------------------|--------|------------------|----------------|
| G1    | 0                 | Male   | 5                | Normal         |
|       |                   | Female | 5                | Normal         |
| G2    | 1330              | Male   | 5                | Normal         |
|       |                   | Female | 5                | Normal         |
| G3    | 2000              | Male   | 5                | Normal         |
|       |                   | Female | 5                | Normal         |
| G4    | 3000              | Male   | 5                | Normal         |
|       |                   | Female | 5                | Normal         |

(iv)

| Findings          | Group (mg/kg B.W.) | Group (mg/kg B.W.) |        |           |        |           |        |           |        |
|-------------------|--------------------|--------------------|--------|-----------|--------|-----------|--------|-----------|--------|
|                   |                    | G1 (0)             |        | G2 (1330) |        | G3 (2000) |        | G4 (3000) |        |
|                   |                    | Male               | Female | Male      | Female | Male      | Female | Male      | Female |
| Number of animals |                    | 5                  | 5      | 5         | 5      | 5         | 5      | 5         | 5      |
| Gross findings    | No gross findings  | 5                  | 5      | 5         | 5      | 5         | 5      | 5         | 5      |
| Internal findings | No gross findings  | 5                  | 5      | 5         | 5      | 5         | 5      | 5         | 5      |

( i ) Mortality, ( ii ) Clinical signs,

( iii ) Body weight, ( iv ) Necropsy findings

**Table S2** Acute toxicity group summary for (c) HPG21-C4

( i )

| Group | Dose (mg/kg B.W.) | Mortality                  |               |
|-------|-------------------|----------------------------|---------------|
|       |                   | Male                       | Female        |
| G1    | 0                 | 0%<br>(0 / 5) <sup>a</sup> | 0%<br>(0 / 5) |
| G2    | 1330              | 0%<br>(0 / 5)              | 0%<br>(0 / 5) |
| G3    | 2000              | 0%<br>(0 / 5)              | 0%<br>(0 / 5) |
| G4    | 3000              | 0%<br>(0 / 5)              | 0%<br>(0 / 5) |

<sup>a</sup> : No. of dead animals / No. of tested animals

(iii)

Unit : g

| Group | Dose (mg/kg B.W.) | Sex    | Days after administration |       |       |       |
|-------|-------------------|--------|---------------------------|-------|-------|-------|
|       |                   |        | 0                         | 7     | 14    |       |
| G1    | 0                 | Male   | Mean                      | 171.5 | 258.4 | 317.6 |
|       |                   |        | S.D.                      | 12.1  | 13.7  | 23.1  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 122.8 | 171.7 | 195.4 |
|       |                   |        | S.D.                      | 9.6   | 17.5  | 22.0  |
|       |                   |        | N                         | 5     | 5     | 5     |
| G2    | 1330              | Male   | Mean                      | 167.1 | 253.5 | 309.0 |
|       |                   |        | S.D.                      | 10.2  | 16.5  | 23.3  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 125.4 | 173.4 | 196.0 |
|       |                   |        | S.D.                      | 8.4   | 10.1  | 11.7  |
|       |                   |        | N                         | 5     | 5     | 5     |
| G3    | 2000              | Male   | Mean                      | 171.6 | 258.3 | 309.8 |
|       |                   |        | S.D.                      | 5.9   | 10.1  | 23.1  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 117.1 | 167.3 | 192.1 |
|       |                   |        | S.D.                      | 5.8   | 11.5  | 16.9  |
|       |                   |        | N                         | 5     | 5     | 5     |
| G4    | 3000              | Male   | Mean                      | 167.6 | 255.7 | 320.5 |
|       |                   |        | S.D.                      | 10.2  | 12.8  | 19.9  |
|       |                   |        | N                         | 5     | 5     | 5     |
|       |                   | Female | Mean                      | 125.3 | 177.7 | 203.4 |
|       |                   |        | S.D.                      | 8.3   | 11.1  | 12.8  |
|       |                   |        | N                         | 5     | 5     | 5     |

N : Number of animals, S.D. : Standard deviation

(ii)

| Group | Dose (mg/kg B.W.) | Sex    | Number of animal | Clinical signs |
|-------|-------------------|--------|------------------|----------------|
| G1    | 0                 | Male   | 5                | Normal         |
|       |                   | Female | 5                | Normal         |
| G2    | 1330              | Male   | 5                | Normal         |
|       |                   | Female | 5                | Normal         |
| G3    | 2000              | Male   | 5                | Normal         |
|       |                   | Female | 5                | Normal         |
| G4    | 3000              | Male   | 5                | Normal         |
|       |                   | Female | 5                | Normal         |

(iv)

| Findings          |                   | Group (mg/kg B.W.) |        |           |        |           |        |           |        |
|-------------------|-------------------|--------------------|--------|-----------|--------|-----------|--------|-----------|--------|
|                   |                   | G1 (0)             |        | G2 (1330) |        | G3 (2000) |        | G4 (3000) |        |
|                   |                   | Male               | Female | Male      | Female | Male      | Female | Male      | Female |
| Number of animals |                   | 5                  | 5      | 5         | 5      | 5         | 5      | 5         | 5      |
| Gross findings    | No gross findings | 5                  | 5      | 5         | 5      | 5         | 5      | 5         | 5      |
| Internal findings | No gross findings | 5                  | 5      | 5         | 5      | 5         | 5      | 5         | 5      |

( i ) Mortality, ( ii ) Clinical signs,

(iii) Body weight, (iv) Necropsy findings