Supporting Information

Size/Morphology Induced Tunable Luminescence in Upconversion Crystals: Ultra-Strong Single-Band Emission and Underlying Mechanisms

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1. Crystal structure analysis

Crystal structures of all obtained Er^{3+} , Yb^{3+} co-doped lutetium oxyfluorides were identified by xray diffraction (XRD) as shown in Figure S1. All diffraction peaks agree well with the orthorhombic $Lu_nO_{n-1}F_{n+2}$ phase, without any detectable impurities. To further determine the value of *n*, the structure refinements of the patterns were carried out using the orthorhombic crystal system. Employing the sample synthesized at the condition of pH = 1.5, $C_{NH_4F} = 1.0$ M for example (Figure S2), after refinement, the cell parameters of the sample are obtained as *a* = 5.4222 Å, *b* = 27.6026 Å, *c* = 5.5308 Å. For orthorhombic crystal system, the cell parameters of *a* and *c* are always similar, while *b* can be expressed to be around *n* × 5.447 Å.^{1,2} Therefore, the value of *n* is calculated to be 5, and the exact crystal phase of the final product is determined to be Lu₅O₄F₇.



Figure S1. XRD patterns of Er^{3+} , Yb³⁺ co-doped lutetium oxyfluoride products prepared under different conditions. (a) pH = 2.5, ${}^{C_{NH}}_{4F}$ = 0.5 M. (b) pH = 2.5, ${}^{C_{NH}}_{4F}$ = 1.0 M. (c) pH = 2.5, ${}^{C_{NH}}_{4F}$ = 2.0 M. (d) pH = 1.5, ${}^{C_{NH}}_{4F}$ = 2.0 M. (e) pH = 3.5, ${}^{C_{NH}}_{4F}$ = 2.0 M. (f) pH = 5, ${}^{C_{NH}}_{4F}$ =

2.0 M. (g) pH = 1.5,
$$C_{NH_4F} = 1.0$$
 M. (h) pH = 3.5, $C_{NH_4F} = 1.0$ M.



Figure S2. XRD pattern of Lu₅O₄F₇: 0.5%Er³⁺, 20%Yb³⁺ synthesized under the condition of pH = 1.5, $C_{NH_4F} = 1.0$ M.

2. UV-Vis-NIR absorption spectra

Figure S3 shows the UV-Vis-NIR absorption spectra of Lu₅O₄F₇: 0.5%Er³⁺, 20%Yb³⁺ products with different morphologies. The characteristic peaks of Er³⁺ are observed at 387, 521, and 669 nm, corresponding to the electron transfers of ${}^{4}I_{15/2}\rightarrow {}^{4}G_{11/2}$, ${}^{4}I_{15/2}\rightarrow {}^{2}H_{11/2}$, and ${}^{4}I_{15/2}\rightarrow {}^{4}F_{9/2}$, respectively.³ Meanwhile, the broad absorption band in the region of 880-1200 nm with a sharp peak at ~975 nm can be ascribed to the characteristic ${}^{2}F_{7/2}\rightarrow {}^{2}F_{5/2}$ transition of Yb³⁺. The fullwidth at half-maximum (FWHM) is estimated to be ~80 nm, suggesting Yb³⁺ could be efficiently pumped by 975 nm laser and act as a good sensitizer in Lu₅O₄F₇: Er³⁺, Yb³⁺ crystals. The strong absorption from 200 to 280 nm could be ascribed to the host of Lu₅O₄F₇, in which slight blueshifts (from 220 to 210 nm) were observed for the nanoparticles and nanorods due to quantum confinement effect.⁴



Figure S3. UV-Vis-NIR absorption spectra of the as-prepared $Lu_5O_4F_7$: 0.5%Er³⁺, 20%Yb³⁺ with different morphologies.

3. SEM images



Figure S4. Representative low magnification SEM micrographs of Lu₅O₄F₇: Er³⁺, Yb³⁺ samples synthesized under different conditions. (a) pH = 2.5, $C_{NH_4F} = 0.5$ M. (b) pH = 2.5, $C_{NH_4F} = 1.0$ M. (c) pH = 2.5, $C_{NH_4F} = 2.0$ M. (d) pH = 1.5, $C_{NH_4F} = 2.0$ M. (e) pH = 3.5, $C_{NH_4F} = 2.0$ M. (f) pH = 1.5, $C_{NH_4F} = 1.0$ M.



Figure S5. SEM micrographs of Lu₅O₄F₇: 0.5%Er³⁺, 20%Yb³⁺ samples with irregular morphology synthesized at (a) pH = 5.0, $C_{NH_4F} = 2.0$ M (irregular-1) and (b) pH = 3.5, $C_{NH_4F} = 1.0$ M (irregular-2).

4. Surface micro-structure investigation

The surface of the particles with morphology of hexagram, hexagon-1, hexagon-2 and ellipse appears to be rough. We investigated their TEM (Figure S6) and SEM (Figure S7) images under higher magnifications, considering that surface micro-structures are significant to the upconversion luminescence. For hexagram and hexagon-1, nanoparticles are tightly coated on the surface. However, porous structure is observed for the samples with the morphology of hexagon-2 and ellipse (The "smooth" surface in the top right of Figure S7b is aroused by the aggregation of charge when taking photos). The two different surface structures should be responsible for the two different upconversion features as described in the manuscript.



Figure S6. TEM micrographs of $Lu_5O_4F_7$: 0.5%Er³⁺, 20%Yb³⁺ (a,b) hexagram and (c,d) hexagon-1. (b) and (d) are the magnified images in the red regions in (a) and (c), respectively.



Figure S7. SEM micrographs of $Lu_5O_4F_7$: 0.5% Er^{3+} , 20% Yb^{3+} (a) hexagon-2 and (b) ellipse.

5. Morphology and upconversion properties of NaYF₄: Er³⁺, Yb³⁺

For comparison, β -NaYF₄: Er³⁺, Yb³⁺ hexagonal prism was prepared, which was reported to exhibit strong upconversion emission.⁵ The SEM micrographs and XRD patterns of the prepared β -NaYF₄: Er³⁺, Yb³⁺ micro-crystals are presented in Figure S8, from which uniform β -NaYF₄: Er³⁺, Yb³⁺ hexagonal prisms with a length of ~6 µm and a diameter of ~1.2 µm can be clearly observed.



Figure S8. SEM images (a) and XRD patterns (b) of the prepared NaYF₄: Er^{3+} , Yb³⁺ microcrystals for the comparison of UC emission.

The upconversion spectra of β -NaYF₄: Er³⁺, Yb³⁺ hexagonal prisms on dependence of pump power are shown in Figure S9. Both β -NaYF₄: Er³⁺, Yb³⁺ samples with two kinds of doping concentrations (0.5% Er³⁺, 20% Yb³⁺ and 2% Er³⁺, 20% Yb³⁺) exhibit green emissions at 521 and 554 nm, red emission at 653 nm, corresponding to the ²H_{11/2} \rightarrow ⁴I_{15/2}, ⁴S_{3/2} \rightarrow ⁴I_{15/2} and ⁴F_{9/2} \rightarrow ⁴I_{15/2} transitions of Er³⁺, respectively.



Figure S9. Pump-power-dependent UC emission spectra of (a) NaYF₄: 0.5% Er³⁺, 20% Yb³⁺ and (b) NaYF₄: 2% Er³⁺, 20% Yb³⁺ micro-crystals.

6. Biocompatibility of Lu₅O₄F₇: Er³⁺, Yb³⁺ nanoparticles

The biocompatibility of as-prepared Lu₅O₄F₇: Er³⁺, Yb³⁺ nanoparticles was investigated. Figure S10 exhibits the viability of Hela cells treated with different concentration of the nanoparticles for 24h, tested by MTT assay. By coculturing with Lu₅O₄F₇: Er³⁺, Yb³⁺ nanoparticles at concentrations of 200 and 400 μ g/mL, the cellular viability maintains around 90%. When the concentration is increased to 800 μ g/mL, the cellular viability is still over 80%. These results suggest that the Lu₅O₄F₇: Er³⁺, Yb³⁺ upconversion nanoparticles possess low cytotoxicity and good biocompatibility.



Figure S10. Cell viability of Hela cells treated with different concentration of $Lu_5O_4F_7$: Er^{3+} , Yb³⁺ upconversion nanoparticles.

References

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