Electronic supplementary information

Photoresponsive self-assembled hexameric capsules based on calix[4]resorcinarenes bearing azobenzene dendron conjugates as side chains

Tsubasa Sakano, Toshifumi Ohashi, Masamichi Yamanaka and Kenji Kobayashi*

Department of Chemistry, Faculty of Science, Shizuoka University, 836 Ohya, Suruga-ku, Shizuoka 422-8529, Japan. E-mail: kobayashi.kenji.a@shizuoka.ac.jp

Contents

• Schemes S1–S3 Schemes for the synthesis of <i>trans</i> -2–5. S2
• Synthetic procedures and spectral data of <i>trans</i> -2–5. S3
• References S23
• Fig. S1 Schematic representation of assembly-disassembly-reassembly process of self-assembled hexameric capsules
$[(2-5)_6 \cdot (H_2O)_8]$ in conjunction with guest encapsulation-release-reencapsulation by light stimuli, and structures
of side chains R of hosts <i>trans</i> -2–5. S24
• Fig. S2 UV-vis absorption spectral changes of hosts <i>trans</i> -2–5 upon irradiation at 350 nm and then at 450 nm S25
• Figs. S3–S8 Photoresponsive behaviors of host <i>trans</i> -2 with guest 6 encapsulation, monitored by ¹ H NMR S26
• Figs. S9–S12 Photoresponsive behaviors of host <i>trans</i> -3 with guest 6 encapsulation, monitored by ¹ H NMR S30
• Figs. S13–S14. Photoresponsive behaviors of host <i>trans</i> -4 with guest 6 encapsulation, monitored by ¹ H NMR S33
• Figs. S15–S19. Photoresponsive behaviors of host <i>trans</i> -5 with guest 6 encapsulation, monitored by ¹ H NMR S35
• Figs. S20–S22. Photoresponsive behaviors of host <i>trans-2</i> with guest 7 encapsulation, monitored by ¹ H NMR S38



Scheme S1 Synthesis of MOM-protected calix[4]resorcinarene 11.



Scheme S2 Synthesis of hydroxyazobenzene dendron conjugates 12–15.



Scheme S3 Synthesis of calix[4]resorcinarenes bearing azobenzene dendron side chains (host molecules) trans-2–5.

General. THF was distilled from sodium-benzophenone ketyl, and CH₂Cl₂, DMF, Et₃N, and *i*-Pr₂EtN were distilled from CaH₂ under an argon atmosphere. The other solvents and all commercially available reagents were used without any purification. Recycling preparative HPLC was performed on a Japan Analytical Industry LC-9204 using polystyrene gel columns (JAIGEL 1H and 2H) with CHCl₃ as an eluent. ¹H and ¹³C NMR spectra were recorded at 400 and 100 MHz, respectively, on a JEOL JNM-AL400 spectrometer. High resolution FD-TOF-MS, ESI-TOF-MS, and MALDI-TOF-MS were performed on a JEOL JMS-T100GCV, a JEOL JMS-T100LP, and a JEOL JMS-S3000, respectively. UV–vis absorption spectra were measured on a Shimadzu UV-2450. Photoirradiation was conducted with a 300 W Xe lamp through a color filter for 350 nm or 450 nm light with ASAHI SPECTRA MAX-302.

Compound 9. To a solution of **8**¹ (3.38 g, 2.97 mmol) in dry CH₂Cl₂ (100 mL) at 0 °C under Ar were added dry *i*-Pr₂EtN (20 mL, 115 mmol) and chloromethyl methyl ether (7.1 mL, 93 mmol).² The reaction mixture was stirred at room temperature for 24 h and then at 40 °C for 24 h. After evaporation of solvents, the residue was partitioned between CH₂Cl₂ and H₂O. The organic layer was washed with H₂O and brine and dried over Na₂SO₄. After evaporation of solvent, the residue was purified by column chromatography on silica gel eluted with EtOAc–hexane (1:1) to give **9** as a pale yellow viscous oil (3.60 g, 81% yield). ¹H NMR (CDCl₃) δ 7.31-7.24 (m, 20H), 6.68 (s, 4H), 6.66 (s, 4H), 4.90-4.85 (m, 16H), 4.55 (t, *J* = 7.3 Hz, 4H), 4.44 (s, 8H), 3.43-3.40 (m, 8H), 3.35 (s, 24H), 1.89-1.86 (m, 8H), 1.67-1.63 (m, 8H), 1.42-1.40 (m, 8H); ¹³C NMR (CDCl₃) δ 153.59, 138.99, 128.61, 128.01, 127.86, 127.72, 126.28, 103.07, 95.35, 73.16, 70.86, 56.20, 35.64, 35.04, 30.16, 24.49; FD-TOF-MS *m/z* calcd for C₈₈H₁₁₂O₂₀: 1488.7747 [M]⁺, found: 1488.7772.



Compound 10. A mixture of **9** (3.60 g, 2.42 mmol) and 10% Pd/C (515 mg) in EtOAc (300 mL) was stirred under H₂ at room temperature for 48 h. The reaction mixture was filtered through Celite pad. After evaporation of the filtrate, the residue was purified by column chromatography on silica gel eluted with EtOH–CH₂Cl₂ (1:12) to give **10** as an off-white solid (1.53 g, 56% yield). Mp. 125-126 °C; ¹H NMR (CDCl₃) δ 6.69 (s, 4H), 6.66 (s, 4H), 4.92-4.88 (m, 16H), 4.56 (t, *J* = 7.3 Hz, 4H), 3.62 (t, *J* = 6.3 Hz, 8H), 3.37 (s, 24H), 1.91-1.87 (m, 8H), 1.70 (s, 4H), 1.64-1.60 (m, 8H), 1.46-1.42 (m, 8H); ¹³C NMR (CDCl₃) δ 153.29, 127.66, 125.94, 102.92, 95.11, 62.92, 55.83, 35.57, 34.66, 32.89, 24.35; FD-TOF-MS *m/z* calcd for C₆₀H₈₈O₂₀: 1128.5869 [M]⁺, found: 1128.5887.



Compound 11. To a solution of **10** (601 mg, 0.532 mmol) in dry CH_2Cl_2 (50 mL) at 0 °C under Ar were added dry Et₃N (1.50 mL, 10.8 mmol) and methanesulfonyl chloride (0.25 mL, 3.2 mmol).³ The reaction mixture was stirred at room temperature for 3 h, and then quenched and acidified (pH = 3~4) with 1 M HCl at 0 °C and extracted with CH_2Cl_2 . The organic layer was washed with sat. aqueous NaHCO₃ and brine and dried over Na₂SO₄. After evaporation of solvent, the residue was dried in vacuo to give **11** as a pale yellow viscous solid (863 mg, quant), which was used to the next reaction without further purification. ¹H NMR (CDCl₃) δ 6.68 (s, 4H), 6.67 (s, 4H), 4.92 (d, *J* = 6.3 Hz, 8H), 4.88 (d, *J* = 6.3 Hz, 8H), 4.57 (t, *J* = 7.3 Hz, 4H), 4.22 (t, *J* = 6.8 Hz, 4H), 3.37 (s, 24H), 2.96 (s, 12H), 1.94-1.88 (m, 8H), 1.85-1.78 (m, 8H), 1.50-1.42 (m, 8H); ¹³C NMR (CDCl₃) δ 153.43, 127.30, 125.71, 102.73, 95.07, 70.27, 55.86, 37.27, 34.96, 34.16, 29.07, 23.57; FD-TOF-MS *m*/*z* calcd for C₆₄H₉₆O₂₈S₄: 1440.4971 [M]⁺, found: 1440.4999.



Compound 12. A mixture of **16**⁴ (2.67 g, 12.5 mmol), **17**⁵ (2.30 g, 4.16 mmol), and K₂CO₃ (1.73 g, 12.5 mmol) in dry DMF (30 mL) under Ar was stirred at 90 °C for 14 h. The reaction mixture was acidified (pH = 3~4) with 2 M HCl at 0 °C and extracted with EtOAc. The organic layer was washed with H₂O (5 times) and brine and dried over Na₂SO₄. After evaporation of solvents, the residue was purified by column chromatography on silica gel eluted with EtOAc–hexane = 1:1, subsequently EtOAc, and finally EtOAc–EtOH = 1:1 to give **12** as an orange oil (1.89 g, 76% yield). ¹H NMR (CDCl₃) δ 7.85-7.80 (m, 4H), 6.99 (d, *J* = 9.3 Hz, 2H), 6.94 (d, *J* = 9.3 Hz, 2H), 5.52 (s, 1H), 4.11 (d, *J* = 5.7 Hz, 2H), 3.67-3.62 (m, 24H), 3.55-3.53 (m, 4H), 3.37 (s, 6H), 2.44 (sept, *J* = 5.7 Hz, 1H); ¹³C NMR (CDCl₃) δ 161.13, 157.89, 147.15, 146.90, 124.52, 124.30, 115.72, 114.70, 71.90, 70.61, 70.51, 70.49, 69.28, 66.31, 60.03, 59.01, 39.89; FD-TOF-MS *m*/*z* calcd for C₃₀H₄₆N₂O₁₀: 594.3152 [M]⁺, found: 594.3169.



Compound 13. A mixture of **16**⁴ (3.21 g, 15.0 mmol), **18**⁵ (2.70 g, 5.00 mmol), and K₂CO₃ (2.07 g, 15.0 mmol) in dry DMF (30 mL) under Ar was stirred at 90 °C for 14 h. The reaction mixture was acidified (pH = $3 \sim 4$) with 2 M HCl at 0 °C and extracted with EtOAc. The organic layer was washed with H₂O (5 times) and brine and dried over Na₂SO₄. After evaporation of solvents, the residue was purified by column chromatography on silica gel eluted with EtOAc–hexane (1:9) to give **13** as an orange solid (1.96 g, 67% yield). Mp. 63-64 °C; ¹H NMR (CDCl₃) δ 7.86-7.79 (m, 4H), 6.99 (d, *J* = 8.8 Hz, 2H), 6.92 (d, *J* = 8.8 Hz, 2H), 5.67 (s, 1H), 4.12 (d, *J* = 5.9 Hz, 2H), 3.59 (d, *J* = 5.9 Hz, 4H), 3.44 (t, *J* = 6.8 Hz, 4H), 2.42 (sept, *J* = 5.9 Hz, 1H), 1.57 (quint, *J* = 6.8 Hz, 4H), 1.36-1.21 (m, 28H), 0.88 (t, *J* = 6.8 Hz, 4H); ¹³C NMR (CDCl₃) δ 161.08, 157.99, 146.99, 146.84, 124.51, 124.26, 115.69, 114.65, 77.21, 71.46, 68.76, 66.42, 39.90, 31.88, 29.62, 29.57, 29.46, 29.32, 26.13, 22.66, 14.11; FD-TOF-MS *m/z* calcd for C₃₆H₅₈N₂O₄: 582.4397 [M]⁺, found: 582.4411.



Compound 14. A mixture of **16**⁴ (233 mg, 1.09 mmol), **19**⁶ (439 mg, 0.543 mmol), and K₂CO₃ (151 mg, 1.09 mmol) in dry DMF (10 mL) under Ar was stirred at 50 °C for 24 h. The reaction mixture was acidified (pH = $3 \sim 4$) with 2 M HCl at 0 °C and extracted with CH₂Cl₂. The organic layer was washed with H₂O (5 times) and brine and dried over Na₂SO₄. After evaporation of solvents, the residue was purified by column chromatography on silica gel eluted with EtOAc–hexane = 1:3 and then EtOAc to give **14** as an orange solid (300 mg, 59% yield). Mp. 56-57 °C; ¹H NMR (CDCl₃) δ 7.87-7.83 (m, 4H), 7.43-7.32 (m, 20H), 7.06 (d, *J* = 8.8 Hz, 2H), 6.95 (d, *J* = 8.8 Hz, 2H), 6.69 (s, 2H), 6.68 (s, 4H), 6.56 (s, 3H), 5.08 (s, 2H), 5.04 (s, 8H), 4.99 (s, 4H); ¹³C NMR (CDCl₃) δ 163.67, 160.13, 153.98, 147.15, 139.12, 138.98, 136.95, 136.70, 128.59, 128.02, 127.57, 127.00, 124.59, 124.35, 118.55, 115.71, 115.07, 103.72, 106.32, 101.51, 70.07, 69.98; FD-TOF-MS *m*/*z* calcd for C₆₁H₅₂N₂O₈: 940.3724 [M]⁺, found: 940.3744.



Compound 21. To a solution of 3,5-dihydroxyaniline hydrochloride⁷ (3.33 g, 20.6 mmol) and imidazole (11.2 g, 165 mmol) in dry THF (75 mL) under Ar was added a solution of *t*-BuMe₂SiCl (12.4 g, 82.4 mmol) in dry THF (75 mL). The resulting mixture was stirred at room temperature for 48 h. After evaporation of solvent, the residue was partitioned between CH₂Cl₂ and H₂O. The organic layer was washed with H₂O and brine and dried over Na₂SO₄. After evaporation of solvent, the residue was purified by column chromatography on silica gel eluted with hexane and then CH₂Cl₂-hexane (1:3) to give **21** as a pale brown solid. ¹H NMR (CDCl₃) δ 5.84 (d, *J* = 2.0 Hz, 2H), 5.79 (t, *J* = 2.0 Hz, 1H), 3.54 (s, 2H), 0.97 (s, 18H), 0.18 (s, 12H); FD-TOF-MS *m*/z calcd for C₁₈H₃₅NO₂Si₂: 353.2206 [M]⁺, found: 353.2227.

Compound 22. To a mixture of **20** (1.28 g, 8.36 mmol), **21** (14.8 g, 41.8 mmol), and CuBr (0.600 g, 4.18 mmol) were added toluene (100 mL) and pyridine (1.0 mL, 12.5 mmol).⁸ The resulting mixture was stirred at 60 °C for 24 h under O₂. After cooling to room temperature, the reaction mixture was filtered through Celite pad. After evaporation of the filtrate, the residue was purified by column chromatography on silica gel eluted with CH₂Cl₂–hexane (1:5 to 1:2.5) to give **22** as an orange viscous solid (1.16 g, 28% yield). ¹H NMR (CDCl₃) δ 7.89 (d, *J* = 8.8 Hz, 2H), 7.15 (d, *J* = 8.8 Hz, 2H), 7.02 (s, 2H), 6.45 (s, 1H), 5.26 (s, 2H), 3.52 (s, 3H), 1.01 (s, 18H), 0.25 (s, 12H); ¹³C NMR (CDCl₃) δ 159.58, 156.83, 154.40, 147.59, 124.62, 116.29, 114.22, 107.92, 94.32, 56.15, 25.73, 25.65, 25.46, 18.19, –4.27; FD-TOF-MS *m*/*z* calcd for C₂₆H₄₂N₂O₄Si₂: 502.2683 [M]⁺, found: 502.2701.



Compound 23. To a solution of **22** (1.16 g, 2.31 mmol) in THF (20 mL) at 0 °C under Ar were added AcOH (0.40 mL, 7.0 mmol) and 1 M *n*-Bu₄NF in THF (6.9 mL, 6.9 mmol). The reaction mixture was stirred at 0 °C for 30 min, and then poured into sat. aqueous NH₄Cl at 0 °C and extracted with EtOAc. The organic layer was washed with sat. aqueous NaHCO₃, H₂O, and brine and dried over Na₂SO₄. After evaporation of solvents, the residue was purified by column chromatography on silica gel eluted with EtOAc–hexane (1:2) to give **23** as an orange solid (429 mg, 68% yield). Mp. 136-137 °C; ¹H NMR (CDCl₃) δ 7.90 (d, *J* = 8.8 Hz, 2H), 7.17 (d, *J* = 8.8 Hz, 2H), 6.99 (s, 2H), 6.49 (s, 1H), 5.26 (s, 2H), 4.99 (s, 2H), 3.52 (s, 3H); ¹³C NMR (CDCl₃) δ 159.68, 157.41, 154.68, 147.35, 124.70, 116.31, 105.02, 102.44, 94.27, 56.17; FD-TOF-MS *m*/*z* calcd for C₁₄H₁₄N₂O₄: 274.0954 [M]⁺, found: 274.0974.



200.0 175.0 150.0 125.0 100.0 75.0 50.0 25.0

Compound 24. A mixture of **19**⁶ (2.06 g, 2.51 mmol), **23** (230 mg, 0.839 mmol), and K₂CO₃ (462 mg, 3.35 mmol) in dry DMF (15 mL) under Ar was stirred at 50 °C for 24 h. The reaction mixture was diluted with CH₂Cl₂ and filtered, and the filtrate was partitioned between CH₂Cl₂ and H₂O. The organic layer was washed with H₂O (5 times) and brine and dried over Na₂SO₄. After evaporation of solvents, the residue was purified by column chromatography on silica gel eluted with EtOAc–hexane (3:1) to give **24** as an orange solid (1.38 g, 95% yield). Mp. 123-124 °C; ¹H NMR (CDCl₃) δ 7.90 (d, *J* = 8.8 Hz, 2H), 7.41-7.30 (m, 40H), 7.20 (d, *J* = 2.0 Hz, 2H), 7.15 (d, *J* = 8.8 Hz, 2H), 6.73 (s, 1H), 6.70-6.68 (m, 12H), 6.57-6.55 (m, 6H), 5.24 (s, 2H), 5.05 (s, 4H), 5.02 (s, 16H), 4.98 (s, 8H), 3.51 (s, 3H); ¹³C NMR (CDCl₃) δ 160.15, 160.06, 159.75, 154.50, 147.45, 139.21, 139.07, 136.77, 128.54, 128.32, 127.96, 127.53, 124.74, 116.35, 106.49, 106.37, 104.79, 101.95, 101.70, 101.63, 94.30, 70.16, 70.08, 70.00, 56.20; FD-TOF-MS *m/z* calcd for C₁₁₂H₉₈N₂O₁₆: 1727.6950 [M]⁺, found: 1727.6980.



Compound 15. To a solution of **24** (1.38 g, 0.799 mmol) in MeOH (4 mL) and CHCl₃ (12 mL) was added a few drops of conc. HCl. The resulting mixture was stirred at 65 °C for 30 min under Ar. After evaporation of solvents, the residue was partitioned between CH₂Cl₂ and H₂O. The organic layer was washed with H₂O and brine and dried over Na₂SO₄. After evaporation of solvent, the residue was purified by column chromatography on silica gel eluted with CH₂Cl₂ to give **15** as an orange solid (1.28 g, 95% yield). Mp. 60-61 °C; ¹H NMR (CDCl₃) δ 7.87 (d, *J* = 8.8 Hz, 2H), 7.41-7.29 (m, 40H), 7.18 (d, *J* = 2.4 Hz, 2H), 6.92 (d, *J* = 8.8 Hz, 2H), 6.72 (s, 1H), 6.70 (s, 4H), 6.68 (s, 8H), 6.57 (t, *J* = 2.4 Hz, 4H), 6.55 (s, 2H), 5.06 (s, 1H), 5.04 (s, 4H), 5.02 (s, 16H), 4.97(s, 8H); ¹³C NMR (CDCl₃) δ 160.12, 160.04, 158.45, 154.45, 146.82, 139.18, 139.09, 136.74, 128.54, 127.96, 127.53, 125.07, 115.78, 106.49, 106.37, 104.75, 101.89, 101.62, 70.07, 69.98; FD-TOF-MS *m*/*z* calcd for C₁₁₀H₉₄N₂O₁₅: 1683.6688 [M]⁺, found: 1683.6717.



Compound 2'. A mixture of **11** (574 mg, 0.398 mmol), **12** (1.89 g, 3.18 mmol), and K₂CO₃ (879 mg, 6.36 mmol) in dry DMF (20 mL) under Ar was stirred at 90 °C for 72 h. The reaction mixture was diluted with EtOAc and filtered, and the filtrate was partitioned between EtOAc and H₂O. The organic layer was washed with H₂O (5 times) and brine and dried over Na₂SO₄. After evaporation of solvents, the residue was purified by column chromatography on silica gel eluted with EtOAc–EtOH (4:1 to 3:1) to give **2'** as an orange viscous oil (931 mg, 68% yield). ¹H NMR (CDCl₃) δ 7.85-7.80 (m, 16H), 7.01 (d, *J* = 8.8 Hz, 8H), 6.92 (d, *J* = 8.8 Hz, 8H), 6.78 (s, 4H), 6.70 (s, 4H), 4.94-4.88 (m, 16H), 4.65 (t, *J* = 7.3 Hz, 4H), 4.14 (d, *J* = 5.6 Hz, 8H), 3.99 (t, *J* = 6.8 Hz, 8H), 3.65-3.62 (m, 96H), 3.54-3.51 (m, 16H), 3.37 (s, 48H), 2.43 (sept, *J* = 5.6 Hz, 4H), 1.98-1.93 (m, 8H), 1.88-1.83 (m, 8H), 1.58-1.53 (m, 8H); ¹³C NMR (CDCl₃) δ 161.19, 161.10, 153.40, 148.26, 146.90, 146.48, 125.96, 124.28, 119.78, 115.71, 114.60, 102.57, 95.07, 71.93, 70.65, 70.62, 70.52, 70.49, 69.28, 66.31, 59.01, 55.83, 53.85, 39.94, 34.96, 34.16, 29.25, 23.65; ESI-TOF-MS *m/z* calcd for C₁₈₀H₂₆₄N₈O₅₆Na₂: 1740.3943 [M + 2Na]²⁺, found: 1740.3964.



Compound 2. To a solution of **2'** (135 mg, 0.0395 mmol) in MeOH (5 mL) was added a drop of conc. HCl. The resulting mixture was stirred at 65 °C for 30 min under Ar. After evaporation of solvent, the residue was partitioned between EtOAc and H₂O. The organic layer was washed with H₂O and brine and dried over Na₂SO₄. After evaporation of solvent, the residue was purified by column chromatography on silica gel eluted with EtOAc–EtOH (1:1 to 1:2) to give **2** as an orange viscous solid (75.0 mg, 62% yield). ¹H NMR (acetone-*d*₆) δ 8.52 (s, 8H), 7.85-7.82 (m, 16H), 7.61 (s, 4H), 7.09 (d, *J* = 9.3 Hz, 8H), 7.02 (d, *J* = 9.3 Hz, 8H), 6.28 (s, 4H), 4.39 (t, *J* = 7.3 Hz, 4H), 4.16 (d, *J* = 5.6 Hz, 8H), 4.03 (t, *J* = 6.8 Hz, 8H), 3.63-3.54 (m, 96H), 3.46-3.43 (m, 16H), 3.37 (s, 24H), 2.46-2.36 (m, 12H), 1.90-1.88 (m, 8H), 1.54-1.51 (m, 8H); ¹H NMR (CDCl₃) δ 8.35 (brs, 8H), 7.79 (brs, 16H), 7.14 (brs, 4H), 6.95 (d, *J* = 8.3 Hz, 8H), 6.26 (brs, 4H), 4.28 (brs, 4H), 4.06 (brs, 8H), 3.88 (brs, 8H), 3.82-3.25 (m, 136H), 2.39 (brs, 4H), 2.14 (brs, 8H), 1.84 (brs, 8H), 1.44 (brs, 8H); ¹³C NMR (acetone-*d*₆) δ 162.25, 162.17, 152.81, 147.67, 147.58, 125.34, 125.13, 115.72, 115.60, 103.78, 72.64, 71.42, 71.30, 71.24, 71.11, 71.07, 69.67, 69.18, 67.29, 58.80, 41.08, 34.27, 34.08, 25.53; ESI-TOF-MS *m*/z calcd for C₁₆₄H₂₃₂N₈O₄₈Na₂: 1564.7911 [M + 2Na]²⁺, found: 1564.7928.





Compound 3'. A mixture of **11** (346 mg, 0.240 mmol), **13** (1.13 g, 1.92 mmol), and K₂CO₃ (530 mg, 3.84 mmol) in dry DMF (15 mL) under Ar was stirred at 90 °C for 72 h. The reaction mixture was diluted with EtOAc and filtered, and the filtrate was partitioned between EtOAc and H₂O. The organic layer was washed with H₂O (5 times) and brine and dried over Na₂SO₄. After evaporation of solvents, the residue was purified by column chromatography on silica gel eluted with EtOAc–hexane (1:9 to 1:5 to 1:1) and finally EtOAc to give **3'** as an orange solid (617 mg, 74% yield). Mp. 55-56 °C; ¹H NMR (CDCl₃) δ 7.83 (t, *J* = 8.8 Hz, 16H), 6.99 (d, *J* = 8.8 Hz, 8H), 6.90 (d, *J* = 8.8 Hz, 8H), 6.75 (s, 4H), 6.69 (s, 4H), 4.94-4.89 (m, 16H), 4.62 (t, *J* = 7.3 Hz, 4H), 4.12 (d, *J* = 5.9 Hz, 8H), 3.98 (t, *J* = 6.3 Hz, 8H), 3.56 (d, *J* = 5.9 Hz, 16H), 3.43 (t, *J* = 6.6 Hz, 16H), 3.36 (s, 24H), 2.40 (sept, *J* = 5.9 Hz, 4H), 1.97 (brs, 8H), 1.86 (brs, 8H), 1.60-1.55 (m, 24H), 1.35-1.21 (m, 112H), 0.87 (t, *J* = 6.6 Hz, 24H); ¹³C NMR (CDCl₃) δ 161.12, 161.03, 153.34, 146.90, 146.85, 127.47, 125.92, 124.26, 114.66, 114.52, 102.67, 95.00, 71.37, 68.75, 68.15, 66.57, 55.83, 40.06, 35.25, 34.54, 31.88, 29.63, 26.58, 29.48, 29.33, 29.25, 26.16, 24.29, 22.66, 14.11; ESI-TOF-MS *m/z* calcd for C₂₀₄H₃₁₂N₈O₃₂Na₂: 1717.1448 [M + 2Na]²⁺, found: 1717.1489.



Compound 3. To a solution of **3'** (100 mg, 0.0296 mmol) in 1:1 (v/v) MeOH–CHCl₃ (5 mL) was added a drop of conc. HCl. The resulting mixture was stirred at 65 °C for 30 min under Ar. After evaporation of solvents, the residue was partitioned between CH₂Cl₂ and H₂O. The organic layer was washed with H₂O and brine and dried over Na₂SO₄. After evaporation of solvent, the residue was purified by column chromatography on silica gel eluted with EtOAc–hexane (1:1) and then EtOAc to give **3** as an orange solid (76.1 mg, 85% yield). Mp. 212-213 °C; ¹H NMR (CDCl₃) δ 9.63 (brs, 4H), 9.38 (brs, 4H), 7.84-7.80 (m, 16H), 7.22 (s, 4H), 6.95-6.90 (m, 16H), 6.00 (s, 4H), 4.38 (brs, 4H), 4.06 (d, *J* = 5.9 Hz, 8H), 4.01 (brs, 8H), 3.53 (d, *J* = 5.9 Hz, 16H), 3.39 (t, *J* = 6.8 Hz, 16H), 2.44-2.31 (m, 12H), 1.92 (brs, 8H), 1.62-1.48 (m, 24H), 1.35-1.21 (m, 112H), 0.85 (t, *J* = 6.8 Hz, 24H); ¹³C NMR (CDCl₃) δ 161.16, 160.86, 146.84, 130.88, 124.63, 124.28, 114.62, 71.35, 68.73, 68.13, 66.60, 40.08, 31.89, 29.65, 29.60, 29.49, 29.34, 28.90, 28.81, 26.17, 24.52, 23.71, 22.98, 22.67, 14.12; ESI-TOF-MS *m*/*z* calcd for C₁₈₈H₂₈₀N₈O₂₄Na₂: 1541.0399 [M + 2Na]²⁺, found: 1541.0468.



Compound 4'. A mixture of **11** (76.6 mg, 0.0532 mmol), **14** (300 mg, 0.319 mmol), and K₂CO₃ (66.2 mg, 0.479 mmol) in dry DMF (7 mL) under Ar was stirred at 50 °C for 24 h and then at 80 °C for 72 h. The reaction mixture was diluted with EtOAc and filtered, and the filtrate was partitioned between EtOAc and H₂O. The organic layer was washed with H₂O (5 times) and brine and dried over Na₂SO₄. After evaporation of solvents, the residue was purified by column chromatography on silica gel eluted with EtOAc–hexane (11:9) to give **4'** as an orange solid (160 mg, 63% yield). Mp. 63-64 °C; ¹H NMR (CDCl₃) δ 7.80-7.78 (m, 16H), 7.40-7.30 (m, 80H), 6.99 (d, *J* = 8.8 Hz, 8H), 6.89 (d, *J* = 8.8 Hz, 8H), 6.76 (s, 4H), 6.70 (s, 4H), 6.66 (s, 24H), 6.55 (s, 8H), 6.53 (s, 4H), 4.99-4.90 (m, 72H), 4.63 (t, *J* = 7.3 Hz, 4H), 3.95 (t, *J* = 6.8 Hz, 8H), 3.36 (s, 24H), 1.97-1.95 (m, 8H), 1.86-1.84 (m, 8H), 1.58-1.54 (m, 8H); ¹³C NMR (CDCl₃) δ 160.83, 160.18, 159.84, 159.76, 153.09, 146.90, 146.56, 138.88, 138.69, 136.46, 131.91, 128.23, 127.65, 125.62, 127.22, 124.03, 123.99, 114.70, 114.25, 106.06, 106.02, 102.51, 101.38, 101.28, 94.77, 69.76, 69.66, 67.91, 55.52, 35.01, 34.28, 28.03, 24.03 MALDI-TOF-MS *m/z* calcd for C₃₀₄H₂₈₈N₈O₄₈Na: 4844.0339 [M + Na]⁺, found: 4844.0550.



Compound 4. To a solution of **4'** (160 mg, 0.0332 mmol) in 1:2 (v/v) MeOH–CHCl₃ (6 mL) was added a drop of conc. HCl. The resulting mixture was stirred at 65 °C for 30 min under Ar. After evaporation of solvents, the residue was partitioned between CHCl₃ and H₂O. The organic layer was washed with H₂O and brine and dried over Na₂SO₄. After evaporation of solvent, the residue was purified by column chromatography on silica gel eluted with CH₂Cl₂– acetone-MeOH (10:2:0.25) followed by reprecipitation with CHCl₃–hexane to give **4** as an orange solid (87.3 mg, 59% yield). Mp. 95-97 °C; ¹H NMR (acetone- d_6) δ 8.53 (s, 8H), 7.80 (d, *J* = 8.8 Hz, 8H), 7.73 (d, *J* = 8.8 Hz, 8H), 7.55 (s, 4H), 7.40-7.24 (m, 80H), 6.97-6.95 (m, 16H), 6.69-6.68 (m, 24H), 6.57 (s, 12H), 6.29 (s, 4H), 5.02-4.91 (m, 56H), 4.38 (t, *J* = 7.3 Hz, 4H), 3.99 (t, *J* = 6.8 Hz, 8H), 2.42-2.38 (m, 8H), 1.89-1.85 (m, 8H), 1.52-1.48 (m, 8H); ¹H NMR (CDCl₃) δ 9.65 (brs, 4H), 9.40 (brs, 4H), 7.80 (d, *J* = 8.8 Hz, 8H), 7.75 (d, *J* = 8.8 Hz, 8H), 7.41-7.20 (m, 84H), 6.95-6.85 (m, 16H), 6.67-6.56 (m, 24H), 6.51 (brs, 12H), 6.04 (brs, 4H), 4.92-4.85 (m, 56H), 4.40 (brs, 4H), 3.95 (brs, 8H), 2.27 (brs, 8H), 1.88 (brs, 8H), 1.55 (brs, 8H); ¹³C NMR (CDCl₃) δ 160.16, 159.96, 139.12, 136.39, 128.51, 127.94, 127.52, 124.34, 114.89, 114.59, 106.30, 101.47, 69.95, 69.84 (no emergence of resonances in the regions between 0 and 40 ppm); MALDI-TOF-MS *m*/z calcd for C₂₈₈H₂₅₆N₈O₄₀Na: 4491.8235 [M + Na]⁺, found: 4491.8462.





Compound 5'. A mixture of **11** (108 mg, 0.0748 mmol), **15** (1.01 g, 0.598 mmol), and K₂CO₃ (166 mg, 1.20 mmol) in dry DMF (10 mL) under Ar was stirred at 80 °C for 24 h, subsequently at 100 °C for 48 h, and finally at 120 °C for 24 h. The reaction mixture was diluted with CH₂Cl₂ and filtered, and the filtrate was partitioned between CH₂Cl₂ and H₂O. The organic layer was washed with H₂O (5 times) and brine and dried over Na₂SO₄. After evaporation of solvents, the residue was purified by column chromatography on silica gel eluted with EtOAc–CHCl₃ (1:15) to give **5'** as an orange solid (287 mg, 49% yield). Mp. 62-63 °C; ¹H NMR (CDCl₃) δ 7.80 (d, *J* = 8.8 Hz, 8H), 7.39-7.29 (m, 160H), 7.10 (s, 8H), 6.84 (d, *J* = 8.8 Hz, 8H), 6.75 (s, 4H), 6.71 (s, 4H), 6.69 (s, 4H), 6.63 (s, 48H), 6.51 (s, 16H), 6.49 (s, 8H), 5.02-4.86 (m, 128H), 4.63 (t, *J* = 6.8 Hz, 4H), 3.91 (t, *J* = 6.3 Hz, 8H), 3.36 (s, 24H), 2.00-1.96 (m, 8H), 1.86-1.82 (m, 8H), 1.58-1.54 (m, 8H); ¹³C NMR (CDCl₃) δ 161.63, 160.04, 159.98, 159.93, 154.40, 153.35, 146.48, 139.16, 139.03, 136.71, 128.89, 128.50, 127.91, 127.52, 125.90, 124.85, 114.50, 106.36, 106.27, 104.38, 102.74, 101.73, 101.57, 101.50, 95.00, 69.94, 69.82, 68.17, 55.84, 35.34, 34.54, 29.30, 24.40; MALDI-TOF-MS *m/z* calcd for C₅₀₀H₄₅₆N₈O₇₆Na: 7815.2129 [M + Na]⁺, found: 7815.2661.



Compound 5. To a solution of **5'** (160 mg, 0.0205 mmol) in 1:2 (v/v) MeOH–CHCl₃ (6 mL) was added a drop of conc. HCl. The resulting mixture was stirred at 65 °C for 30 min under Ar. After evaporation of solvents, the residue was partitioned between CHCl₃ and H₂O. The organic layer was washed with H₂O and brine and dried over Na₂SO₄. After evaporation of solvent, the residue was purified by column chromatography on silica gel eluted with CH₂Cl₂– acetone-MeOH (12:2:0.25) followed by reprecipitation with CHCl₃–hexane to give **5** as an orange solid (127 mg, 83% yield). Mp. 76-77 °C; ¹H NMR (acetone-*d*₆) δ 8.57 (s, 8H), 7.78 (d, *J* = 8.8 Hz, 8H), 7.52 (s, 4H), 7.34-7.19 (m, 160H), 7.06 (s, 8H), 6.87 (d, *J* = 8.8 Hz, 8H), 6.63-6.61 (m, 52H), 6.50 (t, *J* = 2.0 Hz, 16H), 6.48 (s, 8H), 6.30 (s, 4H), 4.90 (s, 64H), 4.82-4.80 (m, 48H), 4.37 (t, *J* = 6.8 Hz, 4H), 3.89 (t, *J* = 6.3 Hz, 8H), 2.36-2.32 (m, 8H), 1.79-1.75 (m, 8H), 1.46-1.42(m, 8H); ¹H NMR (CDCl₃) δ 9.70 (brs, 4H), 9.46 (brs, 4H), 7.78 (d, *J* = 7.3 Hz, 8H), 7.40-7.12 (m, 164H), 7.05 (s, 8H), 6.77 (d, *J* = 7.3 Hz, 8H), 6.62 (s, 4H), 6.55-6.37 (m, 72H), 6.16 (brs, 4H), 4.93-4.60 (m, 112H), 4.42 (brs, 4H), 3.86 (brs, 8H), 2.35 (brs, 8H), 1.87 (brs, 8H), 1.52 (brs, 8H); ¹³C NMR (acetone-*d*₆) δ 162.63, 160. 94, 160.86, 155.17, 152.83, 147.26, 140.53, 140.32, 138.39, 138.10, 129.22, 129.02, 128.57, 128.43, 128.12, 125.76, 125.16, 115.57, 107.15, 105.13, 103.87, 102.64, 102.20, 102.04, 70.41, 70.29, 69.10, 34.44, 34.23, 25.55; MALDI-TOF-MS *m*/z calcd for C₄₈₄H₄₂₄N₈O₆₈Na: 7463.0023 [M + Na]⁺, found: 7463.0588.





Reference

- 1 T. Haino, D. M. Rudkevich, A. Shivanyuk, K. Rissanen and J. Rebek, Jr., Chem.-Eur. J., 2000, 6, 3797-3805.
- 2 Cf.: M. Inouye, K. Hashimoto and K. Isagawa, J. Am. Chem. Soc., 1994, 116, 5517-5518.
- 3 *Cf.*: E. S. Barrett, T. Dale and J. Rebek, Jr., *J. Am. Chem. Soc.*, 2007, **129**, 3818-3819.
- 4 W.-H. Wei, T. Tomohiro, M. Kodaka and H. Okuno, J. Org. Chem., 2000, 65, 8979-8987.
- 5 Cf.: H.-J. Kim, W.-C. Zin and M. Lee, J. Am. Chem. Soc., 2004, **126**, 7009-7014.
- 6 C. J. Hawker and J. M. J. Fréchet, J. Am. Chem. Soc., 1990, 112, 7638-7647.
- 7 M. A. Thorn, G. H. Denny and R. D. Badson, J. Org. Chem., 1975, 40, 1556-1558.
- 8 Cf.: C. Zhang and N. Jiao, Angew. Chem., Int. Ed., 2010, 49, 6174-6177.
- 9 (a) A. Shivanyuk and J. Rebek, Jr., J. Am. Chem. Soc., 2003, **125**, 3432-3433; (b) L. Avram and Y. Cohen, J. Am. Chem. Soc., 2004, **126**, 11556-11563.



Fig. S1 (a) Self-assembly of **1** and H_2O into a hexameric capsule $[(1)_6 \cdot (H_2O)_8]$ and guest encapsulation. (b) Schematic representation of assembly-disassembly-reassembly process of self-assembled hexameric capsules $[(2-5)_6 \cdot (H_2O)_8]$ in conjunction with guest encapsulation-release-reencapsulation by light stimuli. (c) Structures of hosts *trans*-**2**-**5**. (d) Guest structures **6** and **7**.



Fig. S2 UV–vis absorption spectral changes of *trans*-**2**–**5** in CH_2Cl_2 (0.015 mM each) upon photoirradiation: *trans*-**2** upon irradiation (a) at 350 nm for 0–10 min and then (b) at 450 nm for 0–10 min; *trans*-**3** upon irradiation (c) at 350 nm for 0–10 min; *trans*-**4** upon irradiation (e) at 350 nm for 0–10 min and then (f) at 450 nm for 0–10 min; *trans*-**5** upon irradiation (g) at 350 nm for 0–10 min and then (h) at 450 nm for 0–10 min.



Fig. S3 ¹H NMR spectra (H₂O-saturated CDCl₃, 298 K): (a) [trans-2] = 6 mM, (b) [trans-2] = 6 mM and [6] = 3 mM, and (c) [6] = 3 mM. Solid and open circles indicate the encapsulated and free 6, respectively.



Fig. S4 ¹H NMR spectra (H₂O-saturated CHCl₃, 298 K): (a) [1] = 6 mM, ^{ref. 9} (b) [*trans*-2] = 6 mM, and (c) [*trans*-2] = 6 mM and [6] = 3 mM. Impurities in CHCl₃ are indicated with ×. CDCl₃ sealed in capillary was used for NMR lock solvent.



Fig. S5 ¹H NMR spectral changes of a mixture of *trans*-**2** and **6** in H₂O-saturated CDCl₃ at 298 K ([*trans*-**2**]_{initial} = 6 mM and [**6**]_{initial} = 9 mM) upon photoirradiation at 350 nm: (a) 0 min, (b) 15 min, (c) 30 min, (d) 45 min, (e) 60 min, (f) 75 min, (g) 90 min, (h) 120 min, (i) 150 min, (j) 180 min, (k) 210 min, (l) 240 min, (m) 270 min, (n) 300 min, (o) 330 min, and (p) 360 min. The signals marked 'a–e' indicate the encapsulated **6** and are assigned in Fig. S3.



Fig S6 Expanded ¹H NMR spectra of Fig. S5 in the region of 6–8 ppm.



Fig. S7 ¹H NMR spectral changes of a mixture of *trans*-**2** and **6** in H₂O-saturated CDCl₃ at 298 K ([*trans*-**2**]_{initial} = 6 mM and [**6**]_{initial} = 9 mM, 600 μ L): (a) before photoirradiation and heating, (b) upon photoirradiation at 350 nm for 3 h, (c) after photoirradiation at 450 nm for 3 h, (d) after heating at 323 K for 1 h, (e) after additional heating at 323 K for 2 h (total 3 h), and (f) after addition of 50 μ L of H₂O-saturated CDCl₃. The signals marked 'a–e' indicate the encapsulated **6** and are assigned in Fig. S3.



Fig. S8 (a) Plots of *trans/(trans + cis)* ratio changes (%) of a mixture of *trans-2* and **6** in H₂O-saturated CDCl₃ at 298 K as a function of 350 nm photoirradiation time ([*trans-2*]_{initial} = 6 mM and [**6**]_{initial} = 9 mM). (b) Plots of guest-encapsulation ratio changes (%) as a function of *cis/(trans + cis)* ratio changes (%) in Fig. S8a. (c) Plots of *trans/(trans + cis)* ratio changes (%) of a mixture of *cis-rich-2* and **6** (initial = final sample of Fig. S8a) in H₂O-saturated CDCl₃ at 298 K as a function of 450 nm photoirradiation time.



Fig. S9 ¹H NMR spectra (H_2O -saturated CDCl₃, 298 K): (a) [*trans*-3] = 6 mM, (b) [*trans*-3] = 6 mM and [6] = 3 mM, and (c) [6] = 3 mM. Solid and open circles indicate the encapsulated and free 6, respectively.



Fig. S10 ¹H NMR spectral changes of a mixture of *trans*-**3** and **6** in H₂O-saturated CDCl₃ at 298 K ([*trans*-**3**]_{initial} = 6 mM and [**6**]_{initial} = 3 mM) upon photoirradiation at 350 nm: (a) 0 h, (b) 1 h, (c) 2 h, (d) 3 h, (e) 4 h, (f) 5 h, (g) 6 h, (h) 7 h, (i) 8 h, (j) 9 h, and (k) 10 h. The signals marked 'a–e' indicate the encapsulated **6** and are assigned in Fig. S9.



Fig. S11 Expanded ¹H NMR spectra of Fig. S10 in the region of 6–8 ppm.



Fig. S12 ¹H NMR spectral changes of a mixture of *cis-rich-3* and **6** in H₂O-saturated CDCl₃ at 298 K ([**3**: average *trans:cis* = 29:71]_{initial} = 6 mM and [**6**]_{initial} = 3 mM): (a) before photoirradiation and heating, (b) upon photoirradiation at 450 nm for 3 h, (c) after additional photoirradiation at 450 nm for 1 h (total 4 h), and (d) after heating at 323 K for 3 h. The signals marked 'a–e' indicate the encapsulated **6** and are assigned in Fig. S9.



Fig. S13 ¹H NMR spectra (H_2O -saturated CDCl₃, 298 K): (a) [*trans*-4] = 6 mM, (b) [*trans*-4] = 6 mM and [6] = 3 mM, and (c) [6] = 3 mM. Solid and open circles indicate the encapsulated and free 6, respectively.



Fig. S14 ¹H NMR spectral changes of a mixture of *trans*-4 and 6 in H₂O-saturated CDCl₃ at 298 K ([*trans*-4]_{initial} = 6 mM and [6]_{initial} = 3 mM) upon photoirradiation at 350 nm: (a) 0 min, (b) 30 min, (c) 60 min, (d) 90 min, (e) 120 min, (f) 150 min, (g) 180 min, (h) 210 min, (i) 240 min, (j) 270 min, (k) 300 min, (l) 330 min, (m) 360 min, (n) 390 min, (o) 420 min, (p) 450 min, (q) 480 min, and (r) 510 min. The signals marked 'a–e' indicate the encapsulated **6** and are assigned in Fig. S13.



Fig. S15. ¹H NMR spectra (H₂O-saturated CDCl₃, 298 K): (a) [*trans*-**5**] = 6 mM, (b) [*trans*-**5**] = 6 mM and [**6**] = 3 mM, and (c) [**6**] = 3 mM. Solid and open circles indicate the encapsulated and free **6**, respectively.



Fig. S16 ¹H NMR spectral changes of a mixture of *trans*-**5** and **6** in H₂O-saturated CDCl₃ at 298 K ([*trans*-**5**]_{initial} = 6 mM and [**6**]_{initial} = 3 mM) upon photoirradiation at 350 nm: (a) 0 min, (b) 30 min, (c) 60 min, (d) 90 min, (e) 120 min, (f) 150 min, (g) 180 min, (h) 210 min, (i) 240 min, (j) 270 min, (k) 300 min, (l) 360 min, (m) 420 min, and (n) 480 min. The signals marked 'a–e' indicate the encapsulated **6** and are assigned in Fig. S15.



Fig. S17 ¹H NMR spectral changes of a mixture of *cis-rich*-**5** and **6** in H₂O-saturated CDCl₃ at 298 K ([**3**: average *trans:cis* = 27:73]_{initial} = 6 mM and [**6**]_{initial} = 3 mM) upon photoirradiation at 450 nm: (a) 0 min, (b) 30 min, (c) 60 min, (d) 90 min, (e) 120 min, (f) 150 min, (g) 180 min, and (h) 210 min. The signals marked 'a–e' indicate the encapsulated **6** and are assigned in Fig. S15.



Fig. S18 ¹H NMR spectral changes of a mixture of *trans*-**5** and **6** in H₂O-saturated CDCl₃ at 298 K ([*trans*-**5**]_{initial} = 6 mM and [**6**]_{initial} = 3 mM): (a) before photoirradiation and heating, (b) upon photoirradiation at 350 nm for 7 h, (c) after photoirradiation at 450 nm for 3 h, and (d) after heating at 323 K for 3 h. The signals marked 'a–e' indicate the encapsulated **6** and are assigned in Fig. S15.



Fig. S19 (a) Plots of *trans/(trans + cis)* ratio changes (%) of a mixture of *trans-5* and **6** in H₂O-saturated CDCl₃ at 298 K as a function of 350 nm photoirradiation time ([*trans-5*]_{initial} = 6 mM and [**6**]_{initial} = 3 mM). (b) Plots of guest-encapsulation ratio changes (%) as a function of *cis/(trans + cis)* ratio changes (%) in Fig. S19a. (c) Plots of *trans/(trans + cis)* ratio changes (%) of a mixture of *cis-rich-5* and **6** (initial = final sample of Fig. S19a) in H₂O-saturated CDCl₃ at 298 K as a function of 450 nm photoirradiation time. (d) Plots of guest-encapsulation ratio changes (%) as a function of 50 nm photoirradiation time. (d) Plots of guest-encapsulation ratio changes (%) as a function of *cis/(trans + cis)* ratio changes (%) in Fig. S19c.



Fig. S20 ¹H NMR spectra (H₂O-saturated CDCl₃, 298 K): (a) [*trans*-2] = 6 mM, (b) [*trans*-2] = 6 mM and [7] = 9 mM (if completely soluble), and (c) [7] = 9 mM (if completely soluble). Solid and open circles indicate the encapsulated and free 7, respectively.



Fig. S21 ¹H NMR spectral changes of a mixture of *trans*-2 and 7 in H₂O-saturated CDCl₃ at 298 K ([*trans*-2]_{initial} = 6 mM and [7]_{initial} = 9 mM) upon photoirradiation at 350 nm: (a) 0 h, (b) 3 h, and (c) 6 h. The signals marked 'a-c' indicate the encapsulated 7 and are assigned in Fig. S20.



Fig. S22 ¹H NMR spectral changes of a mixture of *cis-rich-2* and **6** in H₂O-saturated CDCl₃ at 298 K ([**2**: average *trans:cis* = 47:53]_{initial} = 6 mM and [**7**]_{initial} = 9 mM, 600 μ L): (a) before photoirradiation and heating, (b) after 450 nm photoirradiation for 3 h, (c) after 450 nm photoirradiation for 6 h, (d) after heating at 323 K for 3 h, and (e) after addition of 50 μ L of H₂O-saturated CDCl₃. The signals marked 'a–c' indicate the encapsulated **7** and are assigned in Fig. S20.