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Supporting Information

Catalytic Asymmetric Hetero-Diels-Alder Reactions of Enones with Isatins to Access Functionalized Spirooxindole Tetrahydropyrans: Scope, Derivatization, and Discovery of Bioactives

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General

For thin layer chromatography (TLC), Merck silica gel 60 F254 aluminum sheets were used. Flash column chromatography was performed using Merck silica gel 60 (230-400 mesh). ¹H NMR and ¹³C NMR were recorded on a Bruker Avance 400. Proton chemical shifts are given in relative to the residual proton signals of the deuterated solvent in CD₃OD (δ 3.31 ppm). Proton chemical shifts are reported in ppm downfield from tetramethylsilane or from the residual solvent as internal standard in CDCl₃ (δ 7.26 ppm), in CD₃OD (δ 3.31 ppm), and in (CD₃)₂SO (δ 2.50 ppm). Carbon chemical shifts were internally referenced to the deuterated solvent signals in CDCl₃ (δ 77.0 ppm), in CD₃OD (δ 49.0 ppm), and in (CD₃)₂SO (δ 39.5 ppm). High-resolution mass spectra were recorded on a Thermo Scientific LTQ Orbitrap ESI ion trap mass spectrometer. IR spectra were recorded on a Jasco FT IR-4100 spectrometer. Optical rotations were measured on a Jasco P2200 polarimeter.

1. Catalytic Enantioselective Hetero-Diels-Alder Reactions

General Procedure for the Catalytic Enantioselective Hetero-Diels-Alder Reactions Using Catalyst System Composed of A, B, and C (Table 1 entry 5 and Charts 1 and 2)



Procedure for the catalytic enantioselective hetero-Diels-Alder Reactions using catalyst system composed of **A**, **B**, and **C** was reported in our recent communication.^{S1} To a solution of amine **A** (0.04 mmol, 11.8 mg) and acid **B** (0.08 mmol, 22.0 mg) in toluene (super dehydrated, 0.4 mL) were added thiourea **C** (0.04 mmol, 20.0 mg), enone (1.0 mmol), and isatin (0.2 mmol) at room temperature (24 °C), and the resulting mixture (initially suspension) was stirred at the same temperature until isatin was consumed (monitored by TLC). The mixture was purified by silica gel flash column chromatography (hexane/EtOAc = 2:1 or hexane/acetone = 3:1) to give product **3**. The major diastereomer was separated from the minor diastereomer. The minor diastereomer (if existed) was obtained with the major diastereomer. The dr values were determined by ¹H NMR analysis before purification. Synthesis of **3ga** in Chart 2 was reported in ref. S2. Reaction products **3aa**, **3ab**, **3ac**, **3ad**, **3ae**, **3af**, **3ba**, **3ca**, **3da**, **3ea**, **3fa**, **3ga**, **3ha**, and **3ia** shown in Charts 1 and 2 were reported in ref. S1. Crystallized **3aa** was reported in ref. S1.

General Procedure for the Catalytic Enantioselective Hetero-Diels-Alder Reactions Using Catalyst System Composed of D and B (Scheme 4 and Chart 3)



To a solution of amine (S,S)-**D** (0.02 mmol, 2.28 mg) and acid **B** (0.04 mmol, 11.0 mg) in CH₂Cl₂ (super dehydrated, 0.4 mL) were added enone (4-arylbut-3-ene-2-one) (0.5 mmol), and isatin (0.1 mmol) at room temperature (24 °C), and the mixture (initially suspension) was stirred

at the same temperature until isatin was consumed (monitored by TLC). The mixture was purified by silica gel flash column chromatography (hexane/EtOAc) to give product **3**. The diastereomers were separated each other by the column purification. The dr values were determined by ¹H NMR analysis before purification. Relative and absolute stereochemistries were assigned by analogy.

For the reactions of 4-arylbut-3-ene-2-ones, the initially formed major diastereomer decreased and the initially formed minor diastereomer become the major diastereomer under prolonged reaction time.^{S2} The major diastereomers of **3ja**, **3ka**, and **3la** described below are initially formed major diastereomers.

Product 3ja



Product **3ja** was synthesized by the reaction of enone (1.0 mmol) and isatin (0.2 mmol) in the presence of (*S*,*S*)-**D** (0.04 mmol) and acid **B** (0.08 mmol) in CH₂Cl₂ (super dehydrated, 0.4 mL).^{S1}

Product 3ja (major diastereomer)

Rf 0.23 (hexane/EtOAc = 2:1). See ref. S1 Supporting Information.

Product 3ja (minor diastereomer)

Rf 0.30 (hexane/EtOAc = 2:1). See ref. S1 Supporting Information.

Product 3ka (major diastereomer)



16 h, 12.0 mg (38%). Rf 0.42 (hexane/EtOAc = 1:1). Pale yellow amorphous solid. ¹H NMR (400 MHz, CDCl₃): δ 8.68 (brs, 1H), 7.63 (d, *J* = 8.4 Hz, 2H), 7.51 (d, *J* = 8.4 Hz, 2H), 7.33 (dt, *J* = 1.2 Hz, 7.6 Hz, 1H), 7.29 (d, *J* = 7.6 Hz, 1H), 7.07 (dt, *J* = 0.8 Hz, 7.6 Hz, 1H), 6.98 (d, *J* = 7.6 Hz, 1H), 5.41 (dd, *J* = 11.2 Hz, 3.6 Hz, 1H), 3.19 (d, *J* = 14.8 Hz, 1H), 2.94 (dd, *J* = 14.8 Hz, 11.2 Hz, 1H), 2.87 (ddd, *J* = 14.8 Hz, 3.6 Hz, 1.2 Hz, 1H), 2.60 (dd, *J* = 14.8 Hz, 1.2 Hz, 1H). ¹³C NMR (100 MHz, CDCl₃): δ 203.4, 174.7, 144.9, 140.5, 132.4, 130.8, 127.6, 126.4, 125.2, 123.0, 118.4, 112.4, 111.2, 78.9, 73.9, 48.9, 44.9. ESI-

HRMS: calcd for C₁₉H₁₅N₂O₃ ($[M+H]^+$) 319.1083, found 319.1083. HPLC (Daicel Chiralpak IB, hexane/*i*-PrOH = 50/50, 0.6 mL/min, $\lambda = 254$ nm): t_R (major diastereomer, major enantiomer) = 9.3 min, t_R (major diastereomer, minor enantiomer) = 12.3 min, t_R (minor diastereomers) = 8.3 min and 12.3 min.

Product 3ka (minor diastereomer)

4.0 mg (13%). Rf 0.52 (hexane/EtOAc = 1:1). Pale yellow amorphous solid. ¹H NMR (400 MHz, CDCl₃-CD₃OD): δ 7.52 (d, *J* = 8.4 Hz, 2H), 7.40 (d, *J* = 8.4 Hz, 2H), 7.30 (d, *J* = 7.6 Hz, 1H), 7.16 (dt, *J* = 1.2 Hz, 7.6 Hz, 1H), 6.97 (dt, *J* = 1.2 Hz, 7.6 Hz, 1H), 6.74 (d, *J* = 7.6 Hz, 1H), 5.87 (dd, *J* = 11.2 Hz, 3.2 Hz, 1H), 2.83 (d, *J* = 14.8 Hz, 1H), 2.70 (ddd, *J* = 14.8 Hz, 3.2 Hz, 2.0 Hz, 1H), 2.50 (dd, *J* = 14.8 Hz, 11.2 Hz, 1H), 2.41 (dd, *J* = 14.8 Hz, 2.0 Hz, 1H). ¹³C NMR (100 MHz, CDCl₃-CD₃OD): δ 203.3, 176.1, 145.8, 140.9, 132.2, 130.5, 128.3, 126.3, 123.9, 122.9, 118.2, 111.2, 110.4, 78.3, 72.1, 47.8, 45.1. ESI-HRMS: calcd for C₁₉H₁₅N₂O₃ ([M+H]⁺) 319.1083, found 319.1083.

Product 3la (major diastereomer)



16 h, 13.0 mg (40%). Rf 0.50 (hexane/EtOAc = 1:1). Pale yellow amorphous solid. ¹H NMR (400 MHz, CDCl₃): δ 7.94 (brs, 1H), 7.36-7.29 (m, 4H), 7.06 (dt, *J* = 0.8 Hz, 7.6 Hz, 1H), 6.92 (dd, *J* = 0.8 Hz, 7.6 Hz, 1H), 6.90-6.84 (m, 2H), 5.29 (dd, *J* = 11.6 Hz, 2.4 Hz, 1H), 3.77 (s, 3H), 3.19 (d, *J* = 14.8 Hz, 1H), 3.04 (dd, *J* = 14.8 Hz, 11.6 Hz, 1H), 2.80 (ddd, *J* = 14.8 Hz, 2.4 Hz, 1.6 Hz, 1H), 2.56 (dd, *J* = 14.8 Hz, 1.6 Hz, 1.7 hz, 1.6 Hz, 1.7 hz, 1.6 Hz, 1.6 Hz, 1.7 hz, 1.6 Hz, 1.6 Hz, 1.7 hz, 1.6 Hz, 1.2 hz, 1.2 hz, 1.2 hz, 1.2 hz, 1.4 hz, 1.4

 $C_{19}H_{18}NO_4([M+H]^+)$ 324.1230, found 324.1222. HPLC (Daicel Chiralpak IA, Hexane/*i*-PrOH = 50/50, 0.6 mL/min, λ = 254 nm): *t*R (major diastereomer, major enantiomer) = 14.0 min, *t*R (major diastereomer, minor enantiomer) = 18.1 min, *t*R (minor diastereomers) = 11.8 min and 13.2 min.

Product 3la (minor diastereomer)

7.2 mg (22%). Rf 0.50 (hexane/EtOAc = 1: 1). Pale yellow amorphous solid. ¹H NMR (400 MHz, CDCl₃): δ 7.62 (brs, 1H), 7.43 (d, *J* = 7.6 Hz, 1H), 7.34 (d, *J* = 8.8 Hz, 2H), 7.29 (t, *J* = 7.6 Hz, 1H), 7.11 (t, *J* = 7.6 Hz, 1H), 6.88 (d, *J* = 8.8 Hz, 2H), 6.85 (d, *J* = 7.6 Hz, 1H), 5.89 (dd, *J* = 10.4 Hz, 3.6 Hz, 1H), 3.78 (s, 3H), 2.92 (d, *J* = 14.8 Hz, 1H), 2.81 (ddd, *J* = 14.8 Hz, 3.6 Hz, 1.6 Hz, 1H), 2.75 (dd, *J* = 14.8 Hz, 10.4 Hz, 1H), 2.59 (dd, *J* = 14.8 Hz, 1.6 Hz, 1H). ¹³C NMR (100 MHz, CDCl₃): δ 203.6, 175.9, 159.5, 1401, 132.6, 130.5, 129.0, 127.5, 124.4, 123.4, 114.0, 110.2, 78.1, 73.3, 55.3, 48.7, 45.5. ESI-HRMS: calcd for C₁₉H₁₈NO₄ ([M+H]⁺) 324.1230, found 324.1220.

2. Synthesis of Racemic Hetero-Diels-Alder Reaction Products

General Procedure for the Synthesis of Racemic Products (Scheme 5)

To a solution of $cis/(\pm)$ -trans-mixture of cyclohexane-1,2-diamine (0.08 mmol) and acid *N*-Boc-(\pm)-proline (0.16 mmol) in toluene (0.8 mL) were added enone (1.2 mmol) and isatin or substituted isatin (0.4 mmol) at room temperature (24 °C), and the resulting mixture was stirred at the same temperature until isatin was consumed (monitored by TLC). The mixture was purified by silica gel flash column chromatography (hexane/EtOAc = 2:1 or hexane/acetone = 3:1) to give the desired product **3**.

Product 3fc

4-Bromoisatin 0.2 mmol-scale reaction, 24 h, 54.3 mg (78%, dr >20:1). Pale yellow solid. ¹H



NMR (400 MHz, CDCl₃): δ 8.67 (s, 1H), 7.22 (dd, J = 8.0 Hz, 0.8 Hz, 1H), 7.15 (dd, J = 8.0 Hz, 7.6 Hz, 1H), 6.84 (dd, J = 7.6 Hz, 0.8 Hz, 1H), 5.85-5.79 (m, 1H), 5.03 (dq, J = 17.2 Hz, 0.8 Hz, 1H), 4.98-4.94 (m, 1H), 4.80-4.73 (m, 1H), 3.66 (d, J = 16.8 Hz, 1H), 2.98 (dd, J = 18.4 Hz, 12.4 Hz, 1H), 2.57 (dd, J = 18.4, 2.0 Hz, 1H), 2.37 (d, J = 16.8 Hz, 1H), 2.26-2.18 (m, 2H), 1.81-1.77 (m, 1H), 1.71-1.70 (m, 1H). ¹³C NMR (100 MHz, CDCl₃): δ 205.9, 177.8, 142.3, 137.8, 131.6, 128.0,

127.3, 119.6, 115.1, 110.0, 79.0, 72.0, 45.8, 40.7, 34.8, 29.1. ESI-HRMS: calcd for $C_{16}H_{17}BrNO_3 ([M+H]^+)$ 350.0392, found 350.0395.

Product 3ic



22 h, 135.1 mg (91%, dr >20:1). Pale yellow solid. ¹H NMR (400 MHz, CDCl₃): δ 8.49 (s, 1H), 7.22 (dd, J = 8.0 Hz, 0.8 Hz, 1H), 7.15 (dd, J = 8.0 Hz, 7.6 Hz, 1H), 6.85 (dd, J = 7.6 Hz, 0.8 Hz, 1H), 4.82-4.75 (m, 1H), 3.67 (d, J = 16.8 Hz, 1H), 3.63-3.52 (m, 2H), 3.00 (dd, J = 18.4 Hz, 12.4 Hz, 1H), 2.57 (dd, J = 18.4 Hz, 2.0 Hz, 1H), 2.37 (d, J = 16.8 Hz, 1H), 2.09-1.71 (m, 4H). ¹³C NMR (100 MHz, CDCl₃): δ 205.4, 177.6, 142.2, 131.6, 127.9, 127.3, 119.5, 110.0, 79.0, 71.9, 45.8, 44.9, 40.7, 32.9, 28.2. ESI-HRMS: calcd

for C₁₅H₁₆BrClNO₃ ([M+H]⁺) 371.9997, found 372.0006.

Product 3jc



Reaction in CH₂Cl₂, 9 h, 56.8 mg (38%, dr >20:1). Pale yellow solid. ¹H NMR (400 MHz, (CD₃)₂SO): δ 7.41-7.32 (m, 5H), 7.22-7.21 (m, 2H), 6.87 (dd, *J* = 6.0 Hz, 2.8 Hz, 1H), 5.81 (dd, *J* = 12.0 Hz, 2.0 Hz, 1H), 3.82 (d, *J* = 16.8 Hz, 1H), 3.03 (dd, *J* = 18.0 Hz, 12.0 Hz, 1H), 2.72 (dd, *J* = 18.0 Hz, 2.0 Hz, 1H), 2.43 (d, *J* = 16.8 Hz, 1H). ¹³C NMR (100 MHz, (CD₃)₂SO): δ 204.8, 176.8, 143.8, 140.1, 132.0, 128.4, 128.1, 127.6, 126.5, 125.8, 118.7, 109.8, 78.9, 73.7, 46.8, 40.2. ESI-HRMS: calcd for C₁₈H₁₅BrNO₃ ([M+H]⁺) 372.0230, found 372.0236.

Product 3mc



4-Bromoisatin 0.2 mmol-scale reaction, 95 h, 36%, dr >8:1. Yellow solid. ¹H NMR (400 MHz, CDCl₃): δ 8.51 (brs, 1H), 7.22 (dd, *J* = 8.4 Hz, 0.8 Hz, 1H), 7.17-7.13 (m, 1H), 6.85 (dd, *J* = 7.6 Hz, 0.8 Hz, 1H), 5.32 (t, *J* = 4.4 Hz, 1H), 3.46 (d, *J* = 15.6 Hz, 1H), 2.82 (m, 1H), 2.49 (d, *J* = 15.6 Hz, 1H), 2.39-2.31 (m, 1H), 2.19-2.10 (m, 1H), 2.00-1.80 (m, 3H), 1.73-1.66 (m, 1H). ¹³C NMR (100 MHz, CDCl₃): δ 208.0, 176.5, 142.8, 131.6, 128.3, 127.3, 119.7, 110.0, 80.5, 80.0, 54.2, 40.4, 34.2, 27.7, 23.0. ESI-HRMS: calcd for C₁₅H₁₅BrNO₃ ([M+H]⁺) 336.0230, found 336.0226.

Aldol products **4** and **4c** were obtained with the corresponding hDA reaction products in the hDA reactions, and were also synthesized by the reported method.^{S1,S3} Aldol **4** was reported in ref. S1.

Aldol 4c



Yellow solid. ¹H NMR (400 MHz, CDCl₃): δ 9.07 (s, 1H), 7.07-7.01 (m, 2H), 6.86-6.80 (m, 2H), 5.96 (dt, J = 16.0, 1.2 Hz, 1H), 4.66 (s, 1H), 4.04 (d, J = 16.8 Hz, 1H), 3.34 (d, J = 16.8 Hz, 1H), 2.18-2.12 (m, 2H), 1.50-1.40 (m, 2H), 0.90 (t, J = 7.6 Hz, 3H).

¹³C NMR (100 MHz, CDCl₃): δ 197.2, 178.8, 149.7, 143.7, 131.3, 130.0, 127.9, 126.8, 119.0, 110.1, 75.9, 44.3, 34.6, 21.2, 13.7. ESI-HRMS: calcd for $C_{15}H_{17}BrNO_3$ ([M+H]⁺) 338.0386, found 338.0397.

3. Transformations of the Hetero-Diels-Alder Products Compound 8

To a solution of compound (±)-**3aa** (100.0 mg, 0.39 mmol, dr >20:1) in CH₂Cl₂ (8.0 mL) were added pyrrolidine (94.4 μ L, 1.16 mmol) and NaBH(OAc)₃ (243.7 mg, 1.16 mmol) at room temperature (24 °C), and the mixture was stirred at the same temperature for 36 h (consumption of the starting material was analyzed by TLC). To the mixture was added aqueous NaOH (1 N, 2.0 mL), and the mixture was extracted with CH₂Cl₂ (x 3). Organic layers were combined, washed with brine, dried over Na₂SO₄, filtered, concentrated, and purified by silica gel flash column chromatography (hexane/EtOAc = 1:1) gave **8** (92.1 mg, 76%).



Colorless solid. ¹H NMR (400 MHz, CDCl₃): δ 8.19-7.85 (m, 2H), 7.22 (dt, *J* = 0.8 Hz, 7.6 Hz, 1H), 7.00 (t, *J* = 7.6 Hz, 1H), 6.85 (d, *J* = 7.6 Hz, 1H), 4.37-4.19 (m, 1H), 3.13-2.27 (m, 5H), 2.25-2.13 (m, 1H), 2.13-1.64 (m, 7H), 1.64-1.48 (m, 1H), 1.48-1.13 (m, 3H), 0.83 (t, *J* = 7.2 Hz, 3H) ppm; ¹³C NMR (100 MHz, CDCl₃): δ 178.2, 140.2, 131.3, 129.1, 126.9, 122.6, 110.0, 77.2, 68.9, 56.6, 52.0, 38.1, 34.8, 33.1, 23.5, 18.6, 13.9. ESI-HRMS: calcd for C₁₉H₂₇N₂O₂ ([M+H]⁺)

315.2067, found 315.2048.

Compound 9

A mixture of (±)-**3aa** (51.8 mg, 0.2 mmol, dr >20:1), K_2CO_3 (41.4 mg, 0.3 mmol), and hydroxylamine hydrochloride (41.9 mg, 0.6 mmol) in CH₂Cl₂ (2.0 mL) was stirred at room temperature (24°C) for 2 h. The mixture was diluted with CH₂Cl₂, washed with water and brine, dried over Na₂SO₄, filtered, concentrated, and purified by silica gel flash column chromatography (hexane/EtOAc = 2:1) to give **9** (32.0 mg, 58%, E/Z mixture).



Colorless solid. ¹H NMR (400 MHz, CDCl₃): δ 8.32 (s, 1H x 1.0/2.7), 8.30 (s, 1H x 1.7/2.7), 7.37 (d, J = 7.6 Hz, 1H x 1.7/2.7), 7.30-7.26 (m, 1H + 1H x 1.0/2.7), 7.03-6.98 (m, 1H), 6.91 (d, J = 7.6 Hz, 1H), 4.20-4.00 (m, 1H), 3.48 (dd, J = 14.0 Hz, 1.2 Hz, 1H x 1.0/1.7), 3.33 (dd, J =14.0 Hz, 1.6 Hz, 1H x 1.7/2.7), 2.91 (d, J = 14.0 Hz, 1H x 1.0/2.7), 2.641-2.55 (m, 2H x 1.7/2.7), 2.11-2.04 (m, 1H x 1.0/2.7), 1.75-1.60 (m, 1H), 1.60-1.55 (m, 1H), 1.55-1.20 (m, 2H), 0.83 (t, J = 7.2 Hz, 3H x

1.0/2.7), 0.82 (t, J = 7.2 Hz, 3H x 1.7/2.7). ¹³C NMR (100 MHz, CDCl₃): δ 175.7, 175.6, 154.4, 154.2, 140.4, 140.3, 130.0, 129.9, 129.1, 128.7, 126.1, 125.4, 122.7, 122.6, 110.7, 78.1, 77.2, 72.9, 71.2, 38.3, 38.1, 37.2, 36.1, 30.8, 29.6, 18.4, 18.3, 13.8. ESI-HRMS: calcd for C₁₅H₁₉N₂O₃ ([M+H]⁺) 275.1390, found 275.1394.

Compound 10

A mixture of (±)-**3aa** (59.0 mg, 0.23 mmol) and *O*-benzylhydroxylamine (26.8 μ L, 0.23 mmol) in MeOH (2.3 mL) was stirred under reflux for 2 h. The mixture was concentrated and purified

by flash column chromatography (hexane/EtOAc = 2:1) to give 10 (79.6 mg, 95 %, E/Z mixture).



Colorless oil. ¹H NMR (400 MHz, CDCl₃): δ 8.76-8.63 (m, 1H), 7.40-6.75 (m, 9H), 5.07 (s, 2H x 1.3/2.3), 4.97 (s, 2H x 1/2.3), 4.09-3.98 (m, 1H x 1/2.3), 3.97-3.84 (m, 1H x 1.3/2.3), 3.45-3.33 (m, 1H x 1.3/2.3), 3.29-3.18 (m, 1H x 1/2.3), 2.80 (d, *J* = 13.9 Hz, 1H x 1.3/2.3), 2.61-2.45 (m, 2H x 1/2.3), 2.33-2.20 (m, 1H), 1.99 (dd, *J* = 14.6 Hz, 11.7 Hz, 1H x 1.3/2.3), 1.65-1.51 (m, 1H), 1.50-1.36 (m, 1H), 1.36-1.09 (m, 3H), 0.80-0.69 (m, 3H).

¹³C NMR (100 MHz, CDCl₃): δ 176.0, 153.6, 153.4, 140.44, 140.42, 138.2, 137.9, 129.8, 129.7, 129.1, 128.6, 128.4, 128.3, 128.2, 128.0, 127.8, 127.7, 126.1, 125.4, 122.5, 122.4, 110.74, 110.68, 78.2, 77.2, 75.7, 75.5, 72.9, 71.2, 38.2, 38.1, 37.2, 36.2, 31.7, 30.5, 18.3, 18.2, 13.78, 13.77. ESI-HRMS: calcd for $C_{22}H_{25}N_2O_3$ ([M+H]⁺) 365.1860, found 365.1849.

(2'S,6'R)-10. A mixture of (2'S,6'R)-3aa (36.8 mg, 0.14 mmol, single diastereomer, >99.5% ee) and *O*-benzylhydroxylamine (16.7 μ L, 0.14 mmol) in MeOH (1.4 mL) was stirred at room temperature (24 °C) for 48 h. The mixture was concentrated and purified by flash column chromatography (hexane/EtOAc = 2:1) to give 10 (49.0 mg, 95 %, >99.5% ee, *E/Z* mixture). HPLC (Daicel Chiralpak AS, hexane/*i*-PrOH = 85/15, 0.5 mL/min, λ = 254 nm): t_R (major enantiomers, (2'S,6'R)-10, *E/Z* mixture) = 51.6 min and 70.7 min, t_R (minor enantiomers, (2'R,6'S)-10, *E/Z* mixture) = 25.6 min and 32.0 min.

Compound 13

To a mixture of (±)-**3ac** (247.5 mg, 0.73 mmol, dr > 20:1) and K₂CO₃ (121.6 mg, 0.88 mmol) in DMSO (4.0 mL) was added MeI (162.0 μ L, 1.46 mmol) at room temperature (24 °C), and the mixture was stirred at the same temperature for 4 h. To the mixture was added water, and the mixture was extracted with EtOAc (x3). Organic layers were combined, washed with water and brine, dried over Na₂SO₄, filtered, concentrated, and purified by silica gel flash column chromatography (hexane/EtOAc = 2:1) to give **13** (198.0 mg, 77%).



Pale yellow solid. ¹H NMR (400 MHz, CDCl₃): δ 7.24-7.18 (m, 2H), 6.77 (dd, J = 7.2 Hz, 1.2 Hz, 1H), 4.77-4.71 (m, 1H), 3.67 (d, J = 16.8 Hz, 1H), 3.13 (s, 3H), 3.02 (dd, J = 18.4 Hz, 12.0 Hz, 1H), 2.55 (dd, J = 18.4 Hz, 2.0 Hz, 1H), 2.27 (d, J = 16.8 Hz, 1H), 1.69-1.40 (m, 4H), 0.92 (t, J = 7.2 Hz, 3H). ¹³C NMR (100 MHz, CDCl₃): δ 205.9, 175.7, 145.2, 131.5, 127.6, 127.2, 119.5, 107.8, 78.6, 72.4, 45.8, 40.7, 37.8, 26.4, 18.2, 14.1. ESI-HRMS: calcd for C₁₆H₁₉BrNO₃ ([M+H]⁺) 352.0543, found 352.0551.

Compound 11



Compound 11 was synthesized from (\pm) -3aa (1.16 mmol) by the method used for the synthesis of compound 13.

243.5 mg (77%). Pale yellow solid. ¹H NMR (400 MHz, CDCl₃): δ 7.37 (dt, J = 1.2 Hz, 7.8 Hz, 1H), 7.19 (d, J = 7.6 Hz, 1H), 7.04 (dt, J = 0.8 Hz, 7.6 Hz, 1H), 6.89 (d, J = 7.8 Hz, 1H), 4.34-4.29 (m, 1H), 3.23 (s, 3H), 3.10 (d, J = 14.4 Hz, 1H), 2.70-2.58 (m, 2H), 2.36 (dd, J = 14.4 Hz, 1.2 Hz, 1H),

1.77-1.67 (m, 1H), 1.59-1.50 (m, 1H), 1.42-1.26 (m, 2H), 0.83 (t, J = 7.6 Hz, 3H). ¹³C NMR (100 MHz, CDCl₃): § 205.7, 173.3, 143.4, 130.3, 128.0, 125.0, 122.7, 109.0, 78.4, 73.1, 47.6, 45.6, 38.3, 26.5, 18.2, 13.8. ESI-HRMS: calcd for $C_{16}H_{20}NO_3$ ([M+H]⁺) 274.1443, found 274.1444.

Compound 12

To a mixture of (\pm) -3aa (100.0 mg, 0.385 mmol, dr >20:1) and K₂CO₃(79.8 mg, 0.58 mmol,) in DMF (3.0 mL) was added benzyl chloride (66.5 µL, 0.58 mmol) at room temperature (24 °C), and the resulting mixture was stirred at the same temperature for 16 h. To the mixture was added water, and the mixture was extracted with EtOAc (x3). Organic layers were combined, washed with water and brine, dried over Na₂SO₄, filtered, concentrated, and purified by silica gel flash column chromatography (hexane/EtOAc = 3:1) to give 12 (94.1 mg, 70 %).



Colorless oil. ¹H NMR (400 MHz, CDCl₃): δ 7.31-7.06 (m, 7H), 6.91 (t, *J* = 7.6 Hz, 1H), 6.66 (d, J = 8.0 Hz, 1H), 4.88 (d, J = 15.8 Hz, 1H), 4.79 (d, J = 15.8 Hz, 1H),4.31-4.21 (m, 1H), 3.08 (d, J = 14.4 Hz, 1H), 2.66-2.49 (m, 2H), 2.35(dd, J = 14.4, 1.2 Hz, 1H), 1.73-1.60 (m, 1H), 1.55-1.40 (m, 1H), 1.40-1.11 (m, 2H), 0.76 (t, J = 7.2 Hz, 3H). ¹³C NMR (100 MHz, CDCl₃): δ 205.5, 173.4, 142.4, 135.0, 130.1, 128.8, 128.0, 127.7, 127.0, 125.0, 122.6, 110.0, 78.4, 73.0, 47.6, 45.5, 43.8, 38.2, 18.2, 13.7. ESI-HRMS: calcd for $C_{22}H_{24}NO_3$ ([M+H]⁺) 350.1751, found 350.1732.

Compound 14

To a mixture of (\pm) -3ac (16.9 mg, 0.05 mmol, dr >20:1), methyl vinyl ketone (20.8 μ L, 0.25 mmol) in CH₂Cl₂ (0.5 mL) was added PPh₃ (2.6 mg, 0.01 mmol) at room temperature (24 °C), and the resulting mixture was stirred at the same temperature for 120 h. The mixture was purified by silica gel flash column chromatography (hexane/EtOAc = 2:1) to give 14 (20.0 mg, 98%).



Colorless oil. ¹H NMR (400 MHz, CDCl₃): δ 7.24-7.17 (m, 2H), 6.90 (dd, J = 7.2 Hz, 1.6 Hz, 1H), 4.77-4.71 (m, 1H), 3.87 (dt, J = 3.2 Hz, 6.8 Hz, 2H), 3.66 (d, J = 16.8 Hz, 1H), 2.99 (dd, J = 18.4, 12.4 Hz, 1H), 2.83 (dt, *J* = 3.2 Hz, 6.8 Hz, 2H), 2.55 (dd, *J* = 18.0 Hz, 2.0 Hz, 1H), 2.24 (d, *J* = 16.8 Hz, 1H), 2.17 (s, 3H), 1.70-1.40 (m, 4H), 0.93 (t, J = 7.2 Hz, 3H). ¹³C NMR (100 MHz, CDCl₃): δ 206.0, 205.9, 176.0, 144.1, 131.5, 127.6, 127.3, 119.6, 108.3, 78.4, 72.4, 45.8, 40.7, 40.6, 37.7, 35.1, 30.2, 18.2, 14.1. ESI-HRMS: calcd for $C_{19}H_{23}BrNO_4$ ([M+H]⁺) 408.0805, found 408.0814.

Compound 15

To a mixture of (\pm) -3ac (16.9 mg, 0.05 mmol, dr >20:1), cyclohexenone (97.0 μ L, 0.25 mmol) in CH₂Cl₂ (0.5 mL) was added DBU (2.6 mg, 0.01 mmol) at room temperature (24 °C), and the resulting mixture was stirred at the same temperature for 22 h. The mixture was purified by silica gel flash column chromatography (hexane/EtOAc = 2:1) to give 15 (12.7 mg, 58%, dr 1:1).



Colorless oil. ¹H NMR (400 MHz, CDCl₃): δ 7.27-7.17 (m, 2H), 6.85 (dd, J = 7.6 Hz, 0.4 Hz, 1H x 1/2), 6.84 (dd, J = 7.6 Hz, 0.4 Hz, 1H x 1/2), 4.76-4.73 (m, 1H), 4.13-4.10 (m, 1H), 3.67 (d, J = 16.8 Hz, 1H x 1/2), 3.66 (d, J = 16.8 Hz, 1H x 1/2), 3.33 (d, J = 16.8 Hz, 1H x 1/2), 3.31 (d, J = 16.8 Hz, 1H x 1/2), 3.00 (dd, J = 18.4 Hz, 2.0 Hz, 1H x 1/2), 2.62-2.32 (m, 5H), 2.24 (d, J = 16.8 Hz, 1H x 1/2), 2.23 (d, J = 16.8 Hz, 1H x 1/2), 0.941 (t, J = 7.2 Hz, 3H x 1/2), 0.936 (t, J = 7.2 Hz, 3H x 1/2). ¹³C

NMR (100 MHz, CDCl₃): δ 207.5, 207.4, 205.8, 205.7, 176.2, 176.1, 143.7, 143.6, 131.4, 127.7, 127.4, 120.1, 108.1, 108.0, 78.4, 72.5, 52.0, 51.9, 45.6, 44.0, 43.9, 40.84, 40.78, 40.50, 40.46, 37.7, 31.6, 27.52, 27.46, 22.33, 22.31, 18.2, 14.12, 14.06. ESI-HRMS: calcd for C₂₁H₂₅BrNO₄ ([M+H]⁺) 434.0962, found 434.0962.

Compound 16

To a mixture of (±)-**3ac** (33.7 mg, 0.1 mmol, dr >20:1), Et₃N (16.7 μ L, 0.12 mmol) in CH₂Cl₂ (0.5 mL) was added *p*-toluenesulfonyl chloride (21.0 mg, 0.11 mmol) at room temperature (24 °C), and the resulting mixture was stirred at the same temperature for 41 h. The mixture was purified by silica gel flash column chromatography (hexane/EtOAc = 3:1) to give **16** (10.9 mg, 22%).



Colorless amorphous solid. ¹H NMR (400 MHz, CDCl₃): δ 7.94-7.90 (m, 3H), 7.38 (dd, J = 8.0 Hz, 0.4 Hz, 1H), 7.34 (d, J = 8.0 Hz, 2H), 7.28 (t, J = 8.0 Hz, 1H), 4.70-4.64 (m, 1H), 3.57 (d, J = 16.8 Hz, 1H), 2.95 (dd, J = 18.4 Hz, 12.4 Hz, 1H), 2.51 (dd, J = 18.4 Hz, 2.0 Hz, 1H), 2.44 (s, 3H), 2.16 (d, J = 16.8 Hz, 1H), 1.66-1.26 (m, 4H), 0.90 (t, J = 7.2 Hz, 3H). ¹³C NMR (100 MHz, CDCl₃): δ 204.4, 174.1, 146.3, 140.3, 134.5, 131.9, 130.1, 129.7, 127.9, 126.7, 119.6, 113.2, 78.5, 72.8, 45.3, 40.8, 37.5, 21.8, 18.0,

14.1. ESI-HRMS: calcd for $C_{22}H_{23}BrNO_5S$ ([M+H]⁺) 492.0475, found 492.0474.

Compound 17

To a solution of compound **11** (21.0 mg, 0.077 mmol) in CH₂Cl₂ (1.5 mL) were added benzylamine (25.1 μ L, 0.23 mmol) and NaBH(OAc)₃ (49.1 mg, 0.23 mmol) at room temperature (24 °C), and the mixture was stirred at the same temperature for 24 h (consumption of the starting material was analyzed by TLC). To the mixture was added aqueous NaOH (1 N, 0.5 mL), and the mixture was extracted with CH₂Cl₂ (x 3). Organic layers were combined, washed with brine, dried over Na₂SO₄, filtered, concentrated, and purified by silica gel flash column chromatography (hexane/EtOAc = 1:1) gave **17** (20.7 mg, 74 % yield).



Colorless oil. ¹H NMR (400 MHz, CDCl₃): δ 8.20 (d, J = 7.2 Hz, 1H), 7.31-7.14 (m, 6H), 6.97 (dt, J = 0.8 Hz, 7.6 Hz, 1H), 6.72 (d, J = 8.0 Hz, 1H), 4.32-4.20 (m, 1H), 3.67 (d, J = 12.4 Hz, 1H), 3.63 (d, J = 12.4 Hz, 1H), 3.47-3.39 (m, 1H), 3.09 (s, 3H), 2.20 (dd, J = 14.4, 4.8 Hz, 1H), 1.89-1.79 (m, 1H), 1.73 (m, 1H), 1.64 (m, 1H), 1.54-1.39 (m, 1H), 1.36-1.07 (m, 3H), 0.73 (t, J = 7.2 Hz, 3H). ¹³C NMR (100 MHz, CDCl₃): δ 176.2, 143.3, 139.6, 131.2, 129.0, 128.5, 128.3, 127.2, 122.4, 108.0, 77.2, 68.1, 51.8, 49.5, 38.0, 35.8, 33.1, 26.2, 18.4, 13.9. ESI-HRMS: calcd For $C_{23}H_{29}N_2O_2$ ([M+H]⁺) 365.2224, found 365.2210.

Compound 18

Compound 18 was synthesized from compound 12 (0.26 mmol) by the method used for the synthesis of compound 17.



24 h, 61.0 mg (54%). Colorless oil. ¹H NMR (400 MHz, CDCl₃): δ 8.21-8.06 (m, 1H), 7.37-7.13 (m, 11H), 7.08 (dt, J = 1.2 Hz, 7.6 Hz, 1H), 6.93 (dt, J = 0.8 Hz, 7.6 Hz, 1H), 6.59 (d, J = 7.6 Hz, 1H), 4.86 (d, J = 15.6 Hz, 1H), 4.74 (d, J = 15.6 Hz, 1H), 4.39-4.20 (m, 1H), 3.70 (d, J = 12.8 Hz, 1H), 3.67 (d, J = 12.8 Hz, 1H), 3.58-3.44 (m, 1H), 2.26 (dd, J = 14.4 Hz, 4.8 Hz, 1H), 2.00-1.79 (m, 1H), 1.76-1.64 (m, 1H), 1.60-1.45 (m, 1H), 1.40-1.10 (m, 4H), 0.75 (t, J = 7.2 Hz, 3H). ¹³C NMR (100 MHz, CDCl₃): δ 176.4, 142.4, 135.6, 131.2, 129.0, 128.7, 128.6, 128.5, 127.5, 127.4, 127.1, 122.5, 109.1,

77.2, 68.5, 51.6, 49.3, 43.7, 38.0, 35.7, 33.3, 18.6, 13.9. ESI-HRMS: calcd for $C_{29}H_{33}N_2O_2$ ([M+H)⁺) 441.2542, found 441.2518.

Compound 19

Compound **19** was synthesized from compound **13** (0.29 mmol) by the method used for the synthesis of compound **17**.



24 h, 91.0 mg (70%). Colorless oil. ¹H NMR (400 MHz, CDCl₃): δ 7.38 (d, J = 7.2 Hz, 2H), 7.33 (t, J = 7.2 Hz, 2H), 7.25 (t, J = 7.2 Hz, 1H), 7.19 (dd, J = 7.6 Hz, 0.8 Hz, 1H), 7.12 (t, J = 7.6 Hz, 1H), 6.70 (dd, J = 7.6 Hz, 0.8 Hz, 1H), 4.24-4.11 (m, 1H), 3.89 (d, J = 13.6Hz, 1H), 3.87 (d, J = 13.6 Hz, 2H), 3.48-3.33 (m, 1H), 3.12 (s, 3H), 2.91 (dd, J = 15.6 Hz, 8.4 Hz, 1H), 2.30 (m, 1H), 2.11-1.97 (m, 1H), 1.88 (d, J = 15.6 Hz, 1H), 1.66-1.30 (m, 4H), 0.90 (t, J = 7.2 Hz, 3H). ¹³C NMR (100 MHz, CDCl₃): δ 178.2, 144.6, 138.7, 130.8,

129.7, 128.5, 128.4, 127.6, 127.2, 119.0, 107.5, 79.1, 71.9, 50.3, 48.9, 38.4, 35.9, 29.8, 26.5, 18.4, 14.1. ESI-HRMS: calcd for $C_{23}H_{28}N_2O_2Br$ ($[M+H]^+$) 443.1329, found 443.1320.

4. References

- S1. Cui, H.-L.; Tanaka, F. Chem. Eur. J. 2013, 19, 6213.
- S2. Cui, H.-L.; Chouthaiwale, P. V.; Yin, F.; Tanaka, F. Asian J. Org. Chem. in press, DOI: 10.1002/ajoc.201500412
- S3. Guo, Q.; M. Bhanushali, Zhao, C.-G. Angew. Chem. Int. Ed. 2010, 49, 9460.













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| | Br O N H 3fc | 0 | | | | | | F2 - Acqu Date_ Time INSTRUM PROBHD PULPROG TD SOLVENT NS DS SWH FIDRES AQ RG DW DE TE D1 MCREST MCWRK | 1isition Parameters 20120903 21.12 avance400 5 mm QNP 1H/13 zg30 65536 CDCl3 16 2 8250.825 Hz 0.125898 Hz 3.9715922 sec 203.2 60.600 usec 6.00 usec 296.8 K 1.0000000 sec 0.01500000 sec |
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|---------|---------------------------------------|---|---|---|--|
| | CI Br O N H | =0 | | | F2 - Acquisition Parameters Date_ 20140318 Time 16.17 INSTRUM spect PROBHD 5 mm PABBO BB/ PULPROG zgpg30 TD 65536 SOLVENT CDC13 NS 1024 DS 4 SWH 24038.461 FIDRES 0.366798 AQ 1.3631488 RG 195.88 DW 20.800 usec DE 6.50 usec TE 299.7 K D1 2.0000000 sec D1 2.0000000 sec TD0 1 1 |
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| Ph Br J H 3jc | PROCNO 1 F2 - Acquisition Parameters Date20130205 Time 18.18 INSTRUM avance400 PROBHD 5 mm QNP 1H/13 PULPROG zg30 TD 65536 SOLVENT DMSO NS 16 DS 2 SWH 8250.825 FIDRES 0.125898 AQ 3.9715922 RG 161.3 DW 60.600 DE 6.00 DE 296.5 D1 1.00000000 |
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| 205.6 | | 143.3 | 130.2. 127.9! 127.9! 122.6! | 109.0 | 78.42 77.39 77.08 76.76 73.06 | 47.60 | 26.49 13.17 13.75 | Current Data Parameters NAME 21092012-Cui EXPNO 11 PROCNO 1 F2 - Acquisition Parameters Date_ 20120921 Time 18.14 INSTRUM avance400 PROBHD 5 mm QNP 1H/13 PULPROG zgpg30 TD 65536 SOLVENT CDC13 NS 100 DS 2 SWH 24038.461 Hz FIDRES 0.366798 Hz AQ 1.3632196 sec RG 128 DW 20.800 usec DE 6.00 usec TE 2.96.8 K D1 2.00000000 sec |
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|--------|----------|--------|--|--------|---|--|---|
| | Br O C | =0 | | | | | F2 - Acquisition Parameters Date20130207 Time 12.52 INSTRUM avance400 PROBHD 5 mm QNP 1H/13 PULPROG zgpg30 TD 65536 SOLVENT CDC13 NS 400 DS 2 SWH 24038.461 Hz FIDRES 0.366798 Hz AQ 1.3632196 sec RG 143.7 DW 20.800 usec DE 6.00 usec DE 20000000 sec dl1 0.03000000 sec DELTA 1.8999998 sec MCREST 0.0000000 sec MCL1 13C P1 10.00 usec PL1 -2.00 dB SF01 100.6479773 MHz ====== CHANNEL f2 ====== CHANNEL f2 |
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| | | | Current Data Parameters NAME 14022013-Cui EXPNO 20 PROCNO 1 |
| \mathbf{F}_{0} | | | F2 - Acquisition Parameters Date_ 20130214 Time 15.31 INSTRUM avance400 PROBHD 5 mm QNP 1H/13 PULPROG zg30 TD 65536 SOLVENT CDC13 NS 16 DS 2 SWH 8250.825 Hz FIDRES 0.125898 Hz AQ 3.9715922 sec RG 181 DW 60.600 usec DE 296.6 K D1 1.00000000 sec MCREST 0.01500000 sec MCWRK 0.01500000 sec ====== CHANNEL f1 ====== NUC1 1H P1 15.00 usec P1 2.70 dB SF01 400.2324716 MHz |
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