

Endophyte inspired chemical diversity from *beta*-caryophyllene

Hao-Yu Tang, Jin-Ming Gao* and Qiang Zhang*

Contents

General Procedures	2
Fermentatiuon Biotransformation of β -Caryophyllene	2
Calculation Procedures.....	4
Figure S1. HPLC profiles of <i>A. tubingensis</i> KJ-9 fermentation.....	4
Figure S2. Assignments of ^1H (500M Hz) and ^{13}C (125M Hz) NMR Data.....	5
Figure S3. ^1H (500M Hz) and ^{13}C (125M Hz) NMR and DEPT spectra of 1	7
Figure S4. HSQC of 1.....	8
Figure S5. ^1H - ^1H COSY	9
Figure S6. HMBC of 1.....	10
Figure S7. NOESY of 1	11
Figure S8. ^1H (500M Hz) and ^{13}C (125M Hz) NMR and DEPT spectra of 2	12
Figure S9. HSQC spectrum of 2	13
Figure S10. COSY spectrum of 2	14
Figure S11. HMBC spectrum of 2	15
Figure S12. NOESY spectrum of 2.....	16
Figure S13. ^1H (500M Hz) and ^{13}C (125M Hz) NMR and DEPT spectra of 3.....	17
Figure S14. HSQC of 3.....	18
Figure S15. COSY of 3.....	19
Figure S16. HMBC of 3.....	20
Figure S17. NOESY of 3	21
Figure S18. ^1H (125M Hz) and ^{13}C NMR of 4	22
Figure S19. HSQC of 4.....	23
Figure S20. ^1H - ^1H COSY of 4	24
Figure S21. HMBC of 4.....	25
Figure S22. NOESY of 4	26
Figure S23. ^1H (500M Hz) and ^{13}C (125M Hz) NMR and DEPT spectra of 5.....	27
Figure S24. HSQC of 5.....	28

Figure S25.	^1H - ^1H COSY of 5	29
Figure S26.	HMBC of 5.....	30
Figure S27.	NOESY of 5	31
Figure S28.	^1H (500M Hz) and ^{13}C (125M Hz) NMR spectra of 6	32
Figure S29.	HSQC of 6.....	33
Figure S30.	^1H - ^1H COSY of 6	34
Figure S31.	HMBC of 6.....	35
Figure S32.	NOESY of 6	36
Figure S33.	^1H (500M Hz) and ^{13}C (125M Hz) NMR and DEPT spectra of 7	37
Figure S34.	HSQC of 6.....	38
Figure S35.	^1H - ^1H COSY of 6	39
Figure S36.	HMBC of 6.....	40
Figure S37.	NOESY of 6	41

General Procedures

UV spectra were obtained using a Evolution 300 UV-vis Spectrophotometer (Thermo Fisher Scientific Inc., USA). IR were recorded on a Bruker Tensor 27 spectrophotometer with KBr disks (Bruker Corp., German). Optical rotations were measured on a Autopol III automatic polarimeter (Rudolph Research Analytical, USA). CD spectrum were obtained on a Chirascan CD Spectrometer (Applied Photophysics Ltd., United Kingdom). ESI-MS was performed on a LTQ Fleet instrument (Thermo Fisher Scientific Inc., USA). HR ESIMS was performed on an Agilent 6520 Accurate-Mass Q-TOF LC/MS spectrometer (Agilent Technologies Ltd., USA). 1D and 2D NMR spectra were recorded on a AVANCE III (500 MHz) instrument (Bruker Corp., German). Chemical shifts were reported using solvent residual as the internal standard. High performance liquid chromatography (HPLC) analysis and semi-preparation was performed on a Waters 1525 instrument (Waters Corp.). Column chromatography was performed on silica gel (90–150 μm ; Qingdao Marine Chemical Inc., China), MCI gel (75–150 μm ; Mitsubishi Chemical Corp., Japan), Sephadex LH-20 (40–70 μm ; Amersham Pharmacia Biotech AB, Uppsala, Sweden), and Chromatorex C₁₈ gel (40–75 μm ; Fuji Silysia Chemical LTD., JPN). GF₂₅₄ plates (Qingdao Marine Chemical Inc., China) were used for thin-layer chromatography (TLC).

Fermentatiuon Biotransformation of β -Caryophyllene

The microorganism *Aspergillus tubingensis* KJ-9 was incubated in potato dextrose agar (PDA) at 25°C for 3 days. One piece (approximately 7 mm²) of mycelium and liquid state β -Caryophyllene with no solvent (333 μL) was fermented aseptically to a

500ml Erlenmeyer flask containing 150 mL of potato dextrose (PD) liquid medium. 47 flasks were shook at 130 rpm, 27 ± 0.5 °C for 10 days. Another 3 parallel controls were conducted at the same time. Ethyl acetate (EtOAc) extraction of the fermentation and the controls were compared on HPLC with an Agilent TC-C18 column (250×4.6 mm, 10--100% methanol in 30 min, 1ml/min, detected at 254 and 210nm).

The fermentation broth was extracted by EtOAc to yield 12g of residue A. The residue was then chromatographed on a silica flash column (eluted with gradient Chloroform-Methanol) to give four fractions (Fr.1-Fr.4). Fr.2 (3.4g) was subjected on a Sephadex LH-20 (Methanol) and silica flash chromatography (eluted with gradient Petroleum ether-Acetone) to give three subfractions (Fr.21-Fr.23). Fr.21 (760mg) was purified on a semi-preparative HPLC C₁₈ column (Thermo BDS Hypersil 250×10 mm, eluted with 64% Methanol) to afford compound **3** (14mg), compound **5** (24mg) and compound **7** (21mg). Fr.23 (380mg) was separated on the semi-preparative HPLC C₁₈ column (eluted with 55% Methanol) to yield compound **1** (16mg), compound **2** (2.8mg), compound **4** (24mg). Fr.3 (3.9g) was chromatographed with Sephadex LH-20 (methanol) and flash ODS column to give seven subfractions (Fr.31-Fr.37). Fr.36 (197mg) was separated on the semi-preparative HPLC C₁₈ column (eluted with 63% methanol) to yield compound **6** (18mg).

Compound 1: white amorphous solid; $[\alpha]_D^{29} -129.0$ (c 0.14, CHCl₃); UV (MeCN) $\lambda_{\max}(\log \epsilon)$ 248 nm (3.91); CD (MeCN) λ ($\Delta \epsilon$ in cm⁻¹M⁻¹) 205 (−9.3), 224 (−3.1), 248 (−7.8), 329 (+1.1) nm; IR (KBr) $\nu_{\max}$ 3402, 2956, 2873, 2801, 1653, 1602, 1459, 1380, 1283, 1205, 1048, 1031 cm⁻¹; ¹H NMR and ¹³C NMR data, see Figure S2; ESIMS m/z 235.10 [M+H]⁺; HR ESIMS m/z 257.1508 [M+Na]⁺ (calcd. for C₁₅H₂₂O₂ [M+Na]⁺ 257.1512).

Compound 2: white amorphous solid; $[\alpha]_D^{26} -115.6$ (c 0.09, CHCl₃); UV (MeCN) $\lambda_{\max}(\log \epsilon)$ 244 nm (3.98); CD (MeCN) λ ($\Delta \epsilon$ in cm⁻¹M⁻¹) 210 (+10.8), 246 (−7.4), 335 (+1.7) nm; IR (KBr) $\nu_{\max}$ 3423, 2925, 2873, 1645, 1613, 1461, 1379, 1272, 1234, 1032 cm⁻¹; ¹H NMR and ¹³C NMR data, see Figure S2; ESIMS m/z 235.21 [M+H]⁺; HR ESIMS m/z 257.1504 [M+Na]⁺ (calcd. for C₁₅H₂₂O₂ [M+Na]⁺ 257.1512).

Compound 3: colorless oil; $[\alpha]_D^{26} -11.8$ (c 0.12, CHCl₃); UV (MeCN) $\lambda_{\max}(\log \epsilon)$ 193 nm (3.85); CD (MeCN) λ ($\Delta \epsilon$ in cm⁻¹M⁻¹) 207 (−4.7), 239 (+8.1) nm; IR (KBr) $\nu_{\max}$ 3464, 2952, 2928, 1669, 1459, 1388, 1373, 1308, 1265, 1198, 1089, 1024 cm⁻¹; ¹H NMR and ¹³C NMR data, see Figure S2; ESIMS m/z 235.23 [M+H]⁺; HR ESIMS m/z 257.1505 [M+Na]⁺ (calcd. for C₁₅H₂₂O₂ [M+Na]⁺ 257.1512).

Compound 4: colorless oil; $[\alpha]_D^{29} -89.3$ (c 0.08, CHCl₃); UV (MeCN) $\lambda_{\max}(\log \epsilon)$ 237 nm (4.13); CD (MeCN) λ ($\Delta \epsilon$ in cm⁻¹M⁻¹) 201 (+8.4), 234 (−4.4) nm; IR (KBr) $\nu_{\max}$ 3440, 2949, 2870, 2852, 1660, 1442, 1379, 1685, 1319, 1296, 1252, 1048 cm⁻¹; ¹H NMR and ¹³C NMR data, see Figure S2; ESIMS m/z 257.12 [M+Na]⁺; HR ESIMS m/z 257.1506 [M+Na]⁺ (calcd. for C₁₅H₂₂O₂ [M+Na]⁺ 257.1512).

Compound 5: colorless oil; $[\alpha]_D^{26} -93.1$ (c 0.11, CHCl₃); UV (MeCN) $\lambda_{\max}(\log \epsilon)$ 193 nm (4.28); CD (MeCN) λ ($\Delta \epsilon$ in cm⁻¹M⁻¹) 200 (+11.7), 226 (−3.0) nm; IR (KBr) $\nu_{\max}$ 3437, 2952, 2931, 2874, 1726, 1665, 1631, 1437, 1378, 1316, 1250, 1134 cm⁻¹; ¹H NMR and ¹³C NMR data, see Figure S2; ESIMS m/z 263.20 [M+H]⁺; HR ESIMS m/z 285.1452 [M+Na]⁺ (calcd. for

C₁₆H₂₂O₃ [M+Na]⁺ 285.1461).

Compound 6: crystal; [α]_D²¹ +60.5 (c 0.20, CHCl₃); IR (KBr) $\nu_{\max}$ 3277, 2959, 2924, 2868, 1448, 1386, 1033, 993 cm⁻¹; ¹H NMR and ¹³C NMR data, see Figure S2; EIMS m/z 236 [M]⁺; HR EIMS m/z 236.1775 [M]⁺ (calcd. for C₁₅H₂₄O₂ [M]⁺ 236.1776).

Compound 7: colorless oil; [α]_D²⁹ +46.1 (c 0.12, CHCl₃); IR (KBr) $\nu_{\max}$ 3441, 2927, 2868, 1459, 1732, 1379, 1068, 1016 cm⁻¹; ¹H NMR and ¹³C NMR data, see Figure S2; EIMS m/z 250 [M]⁺; HR EIMS m/z 250.1935 [M]⁺ (calcd. for C₁₆H₂₆O₂ [M]⁺ 250.1933).

Calculation Procedures

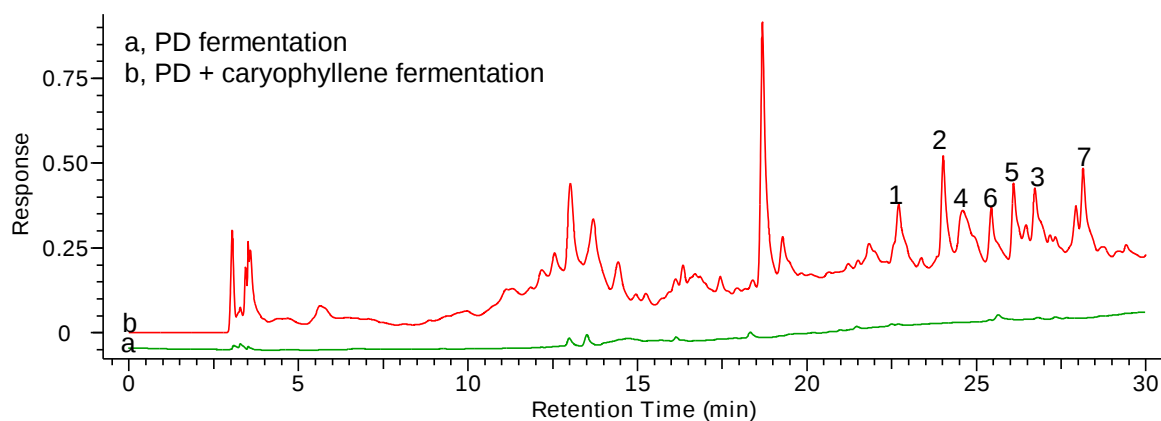
A preliminary conformational search was performed in Conflex6.7 using MMFF94s forcefield.¹ Conformers were saved and further optimized using the density functional theory (DFT) method and CPCM solvent model at B3LYP/6-311++G(d,p) level in Gaussian 09 software package.² Frequency was calculated at the same level of theory to check optimized results. The stable conformers with populations greater than 1% and without imaginary frequencies were submitted to ECD calculation by the TDDFT [B3LYP/aug-cc-pVDZ or cam-B3LYP/TZVP] method associated with CPCM solvent model in MeCN. The excitation energies (E), oscillator strength (f), rotatory strength in velocity form (R_{vel}), and rotatory strength in length form (R_{len}) of the lowest 32 excited states were calculated. ECD spectra of different conformers were summated in SpecDis 1.62 according to their Boltzmann-calculated distributions.³ The half bandwidths and UV-shifts of CD summation were recorded as Table S1.

Table S1 Parameters in CD curves summation

Compd.	NC*	CD calculation method	half bandwidth (σ , eV)	UV-shifts (nm)
1	6	Cam-B3LYP/TZVP	0.30	+6
2	6	B3LYP/aug-cc-pVDZ	0.30	-11
3	1	Cam-B3LYP/TZVP	0.30	+5
5	2	B3LYP/aug-cc-pVDZ	0.29	-9

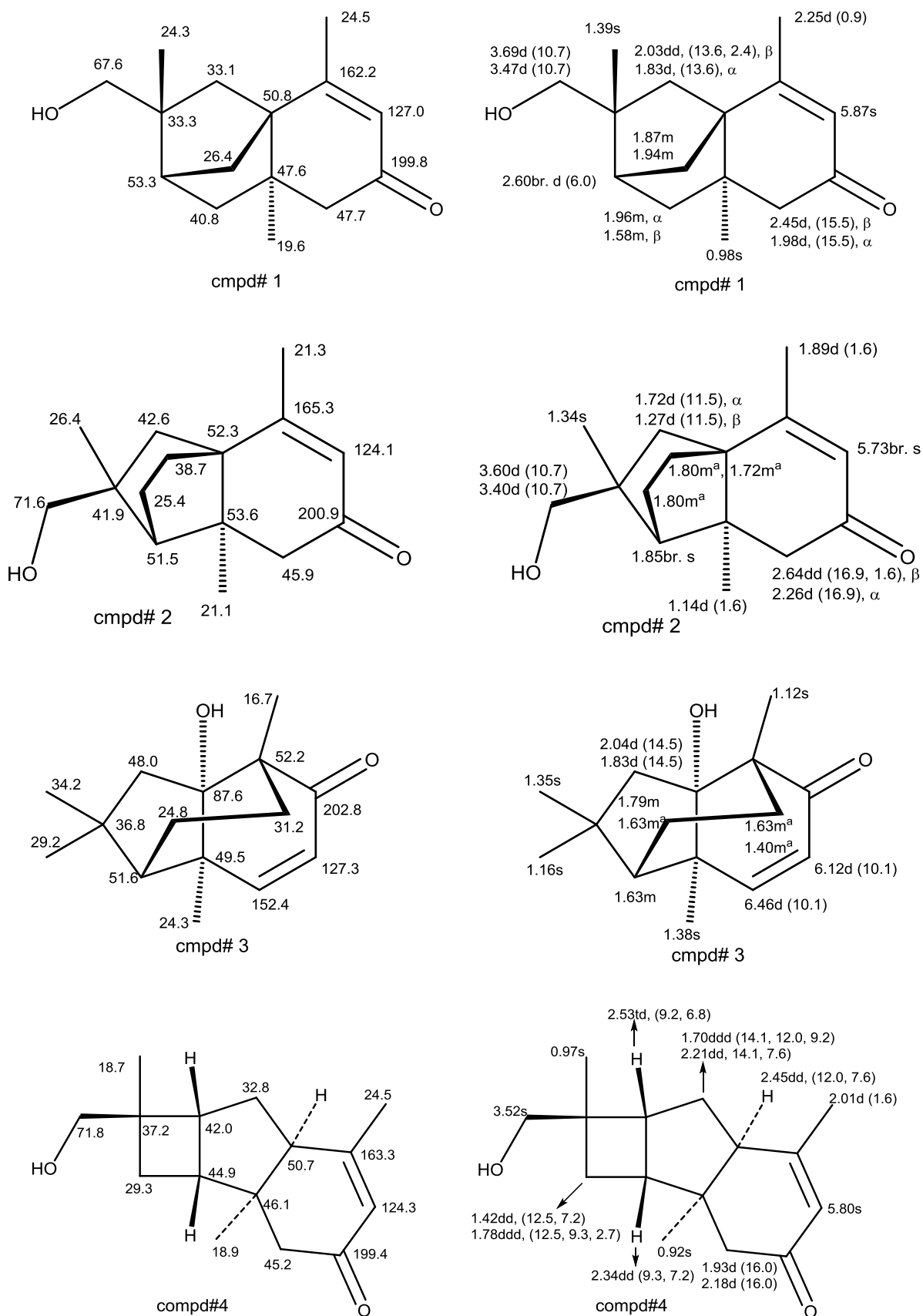
*NC, number of stable conformers

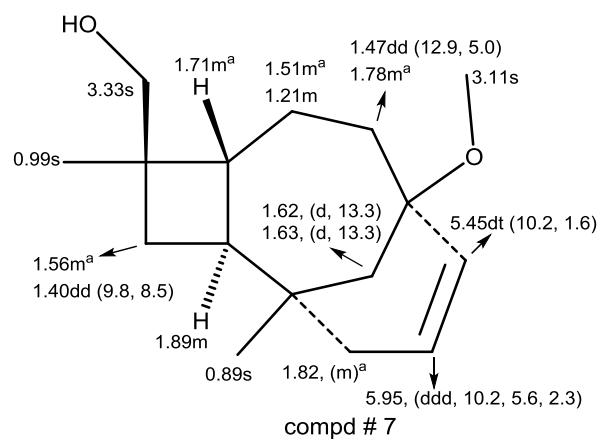
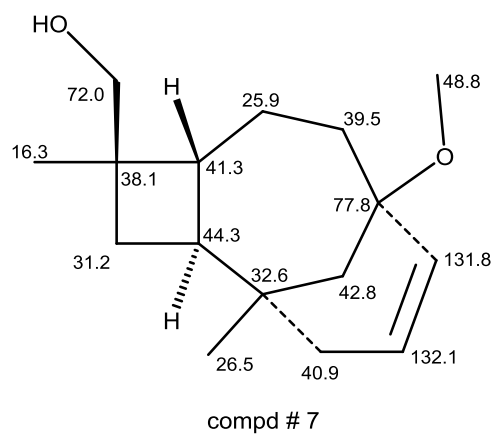
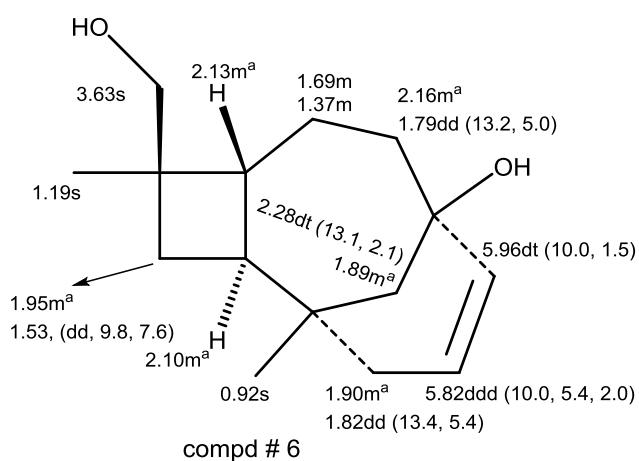
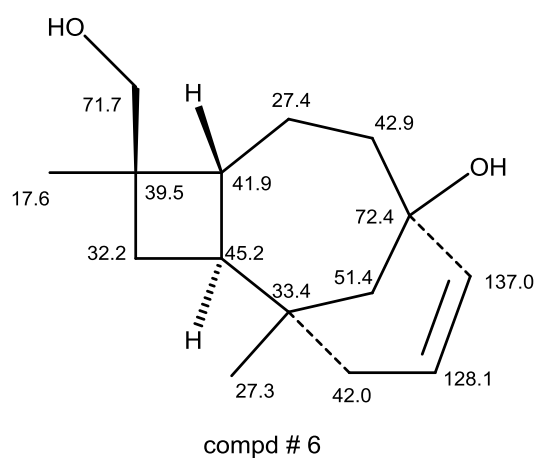
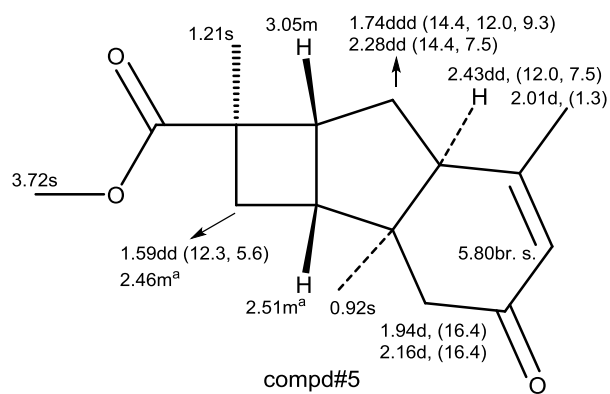
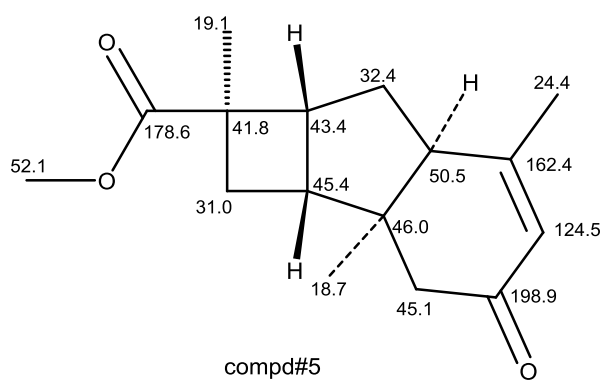
Figure S1. HPLC profiles of *A. tubingensis* KJ-9 fermentation



Chromatographi ccondition: Agilent TC-C18 column, gradient 10-100% MeOH in 30 min, detected by 230 nm

Figure S2. Assignments of ^1H (500M Hz) and ^{13}C (125M Hz) NMR Data





δ in ppm, J in Hz; ^aoverlapped

Figure S3. ^1H (500M Hz) and ^{13}C (125M Hz) NMR and DEPT spectra of 1

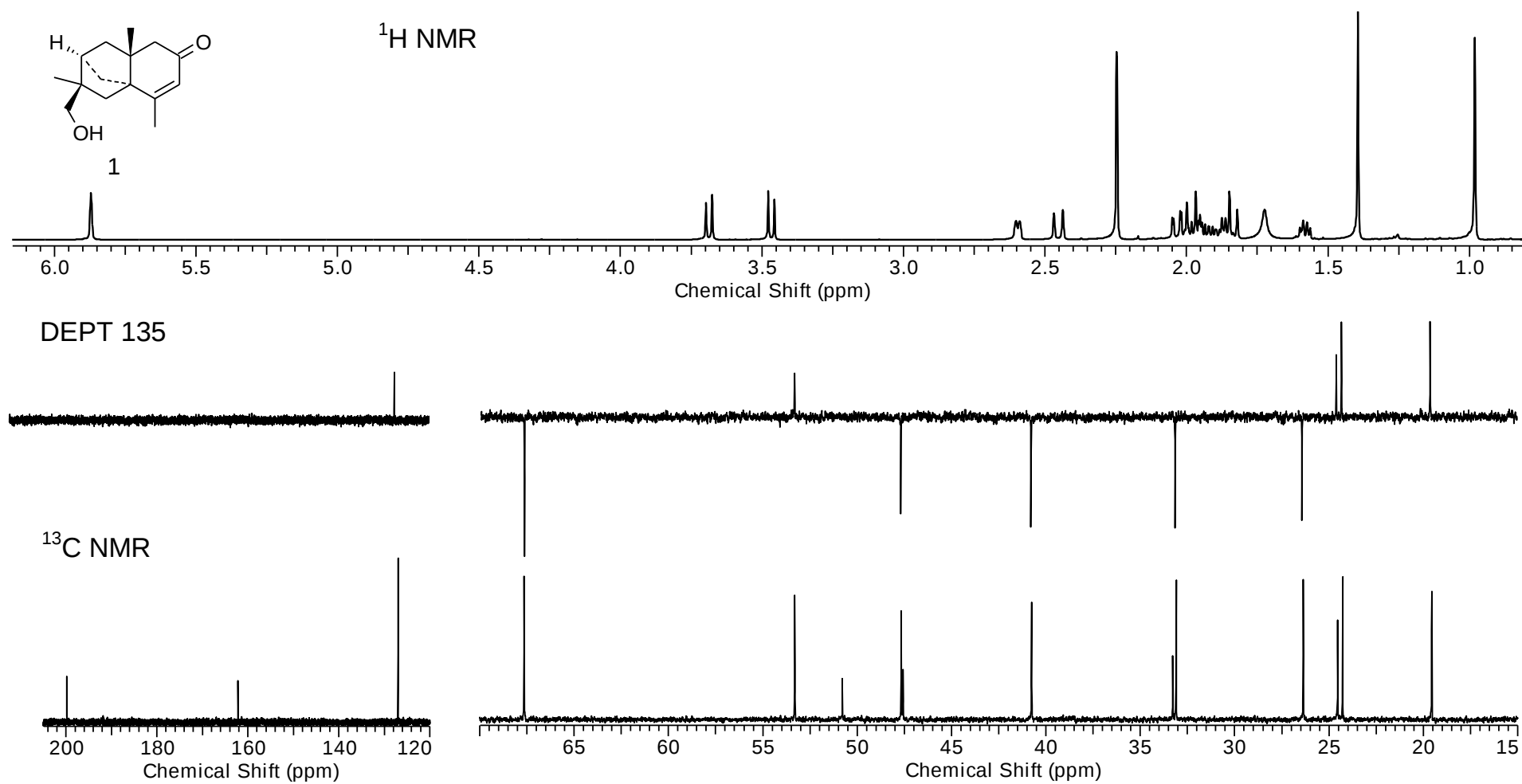


Figure S4. HSQC of 1

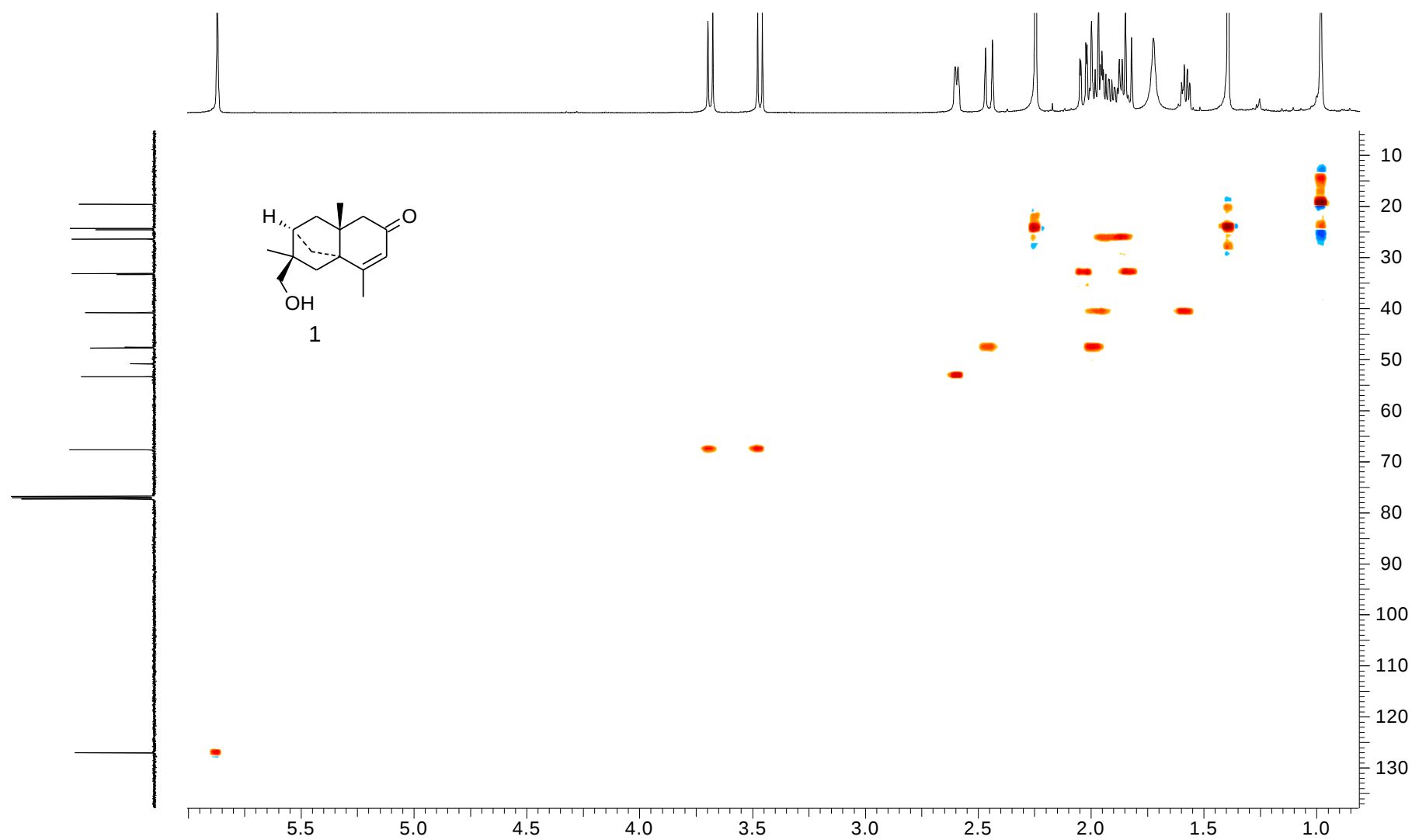


Figure S5. ^1H - ^1H COSY

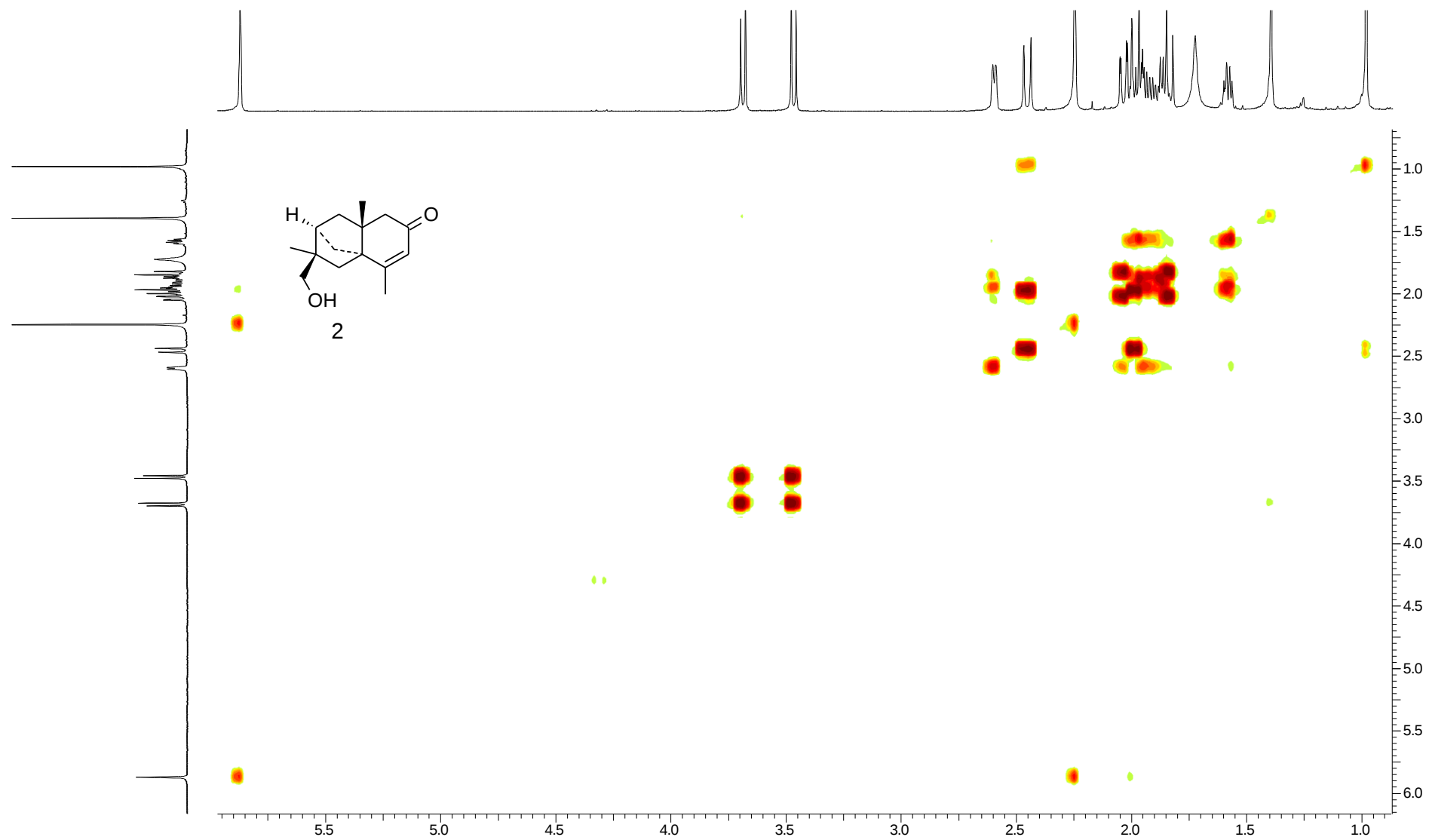


Figure S6. HMBC of 1

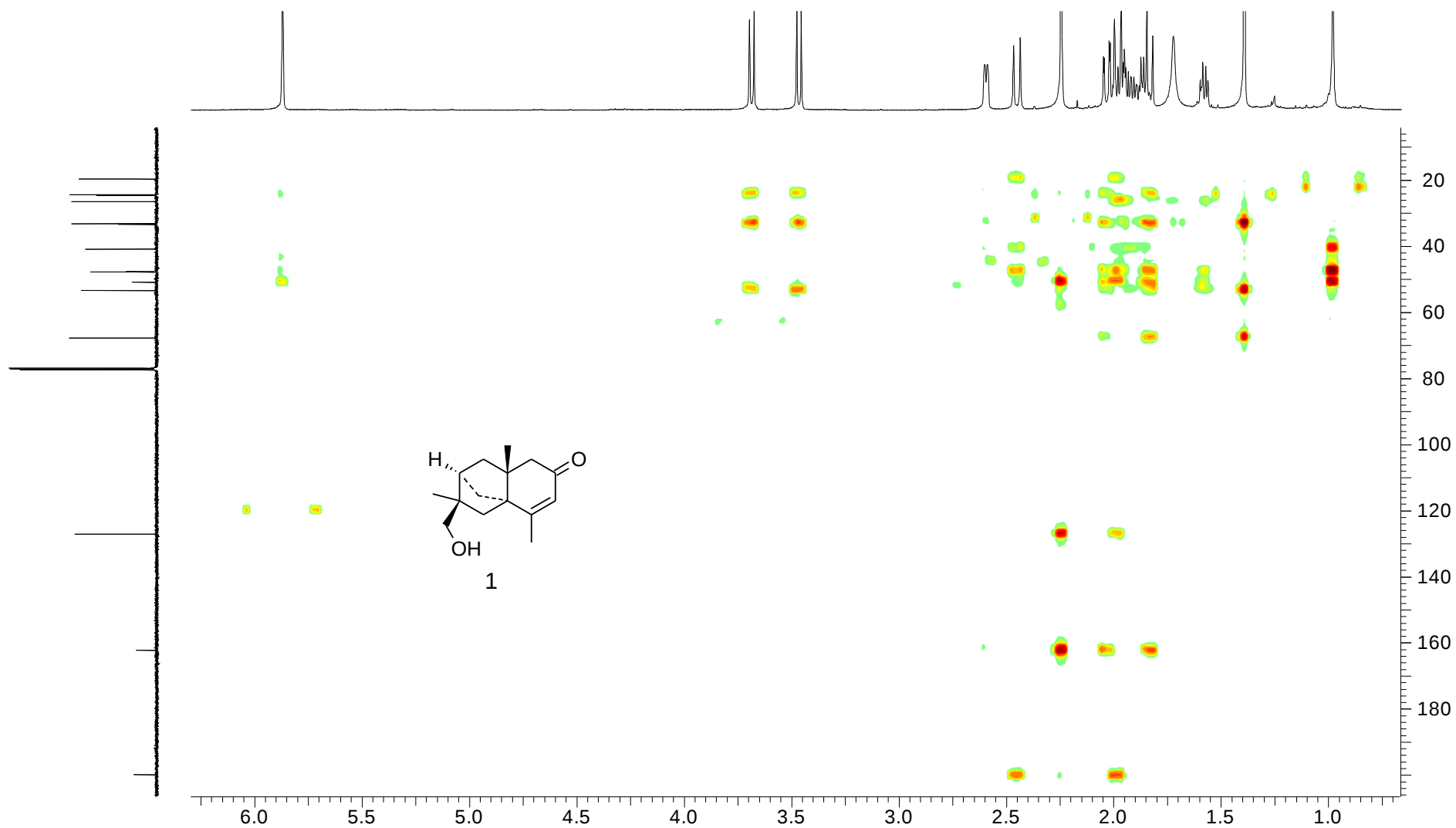


Figure S7. NOESY of 1

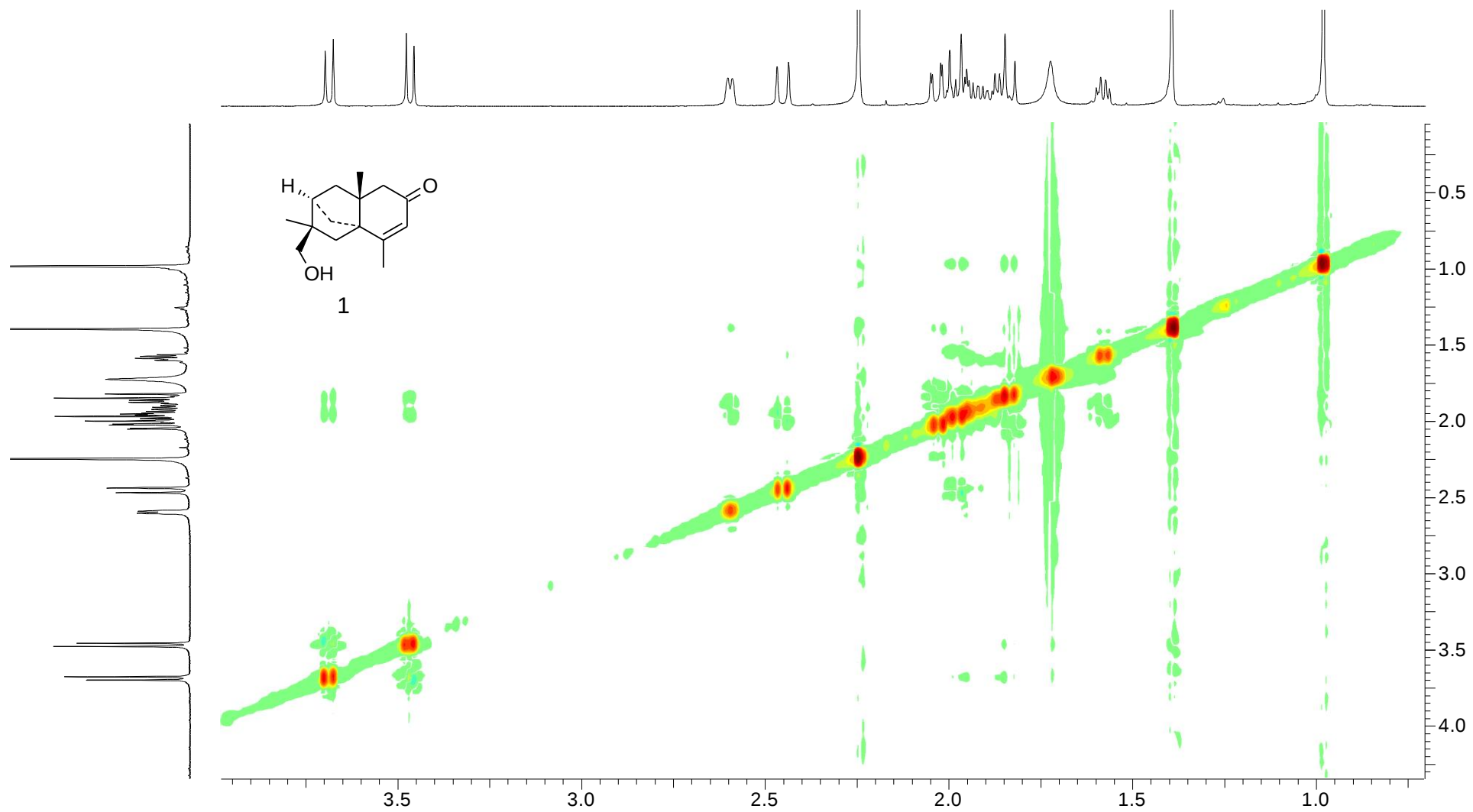
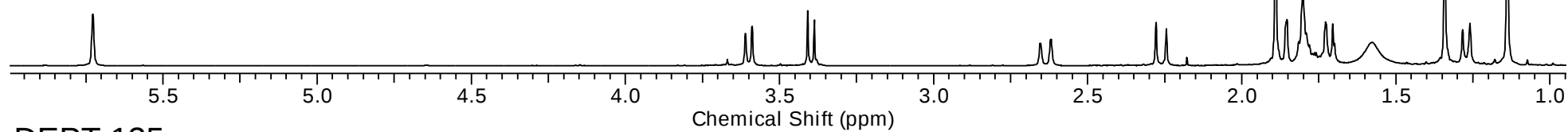
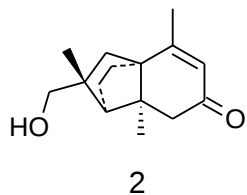


Figure S8. ^1H (500M Hz) and ^{13}C (125M Hz) NMR and DEPT spectra of 2

^1H NMR



DEPT 135



^{13}C NMR

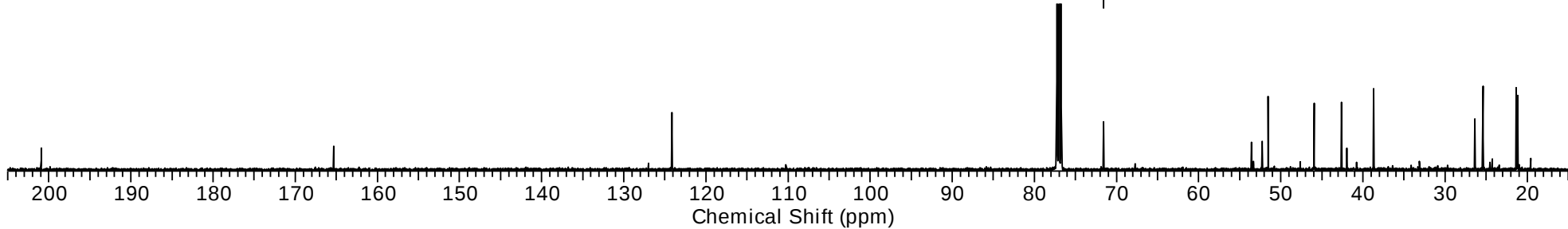


Figure S9. HSQC spectrum of 2

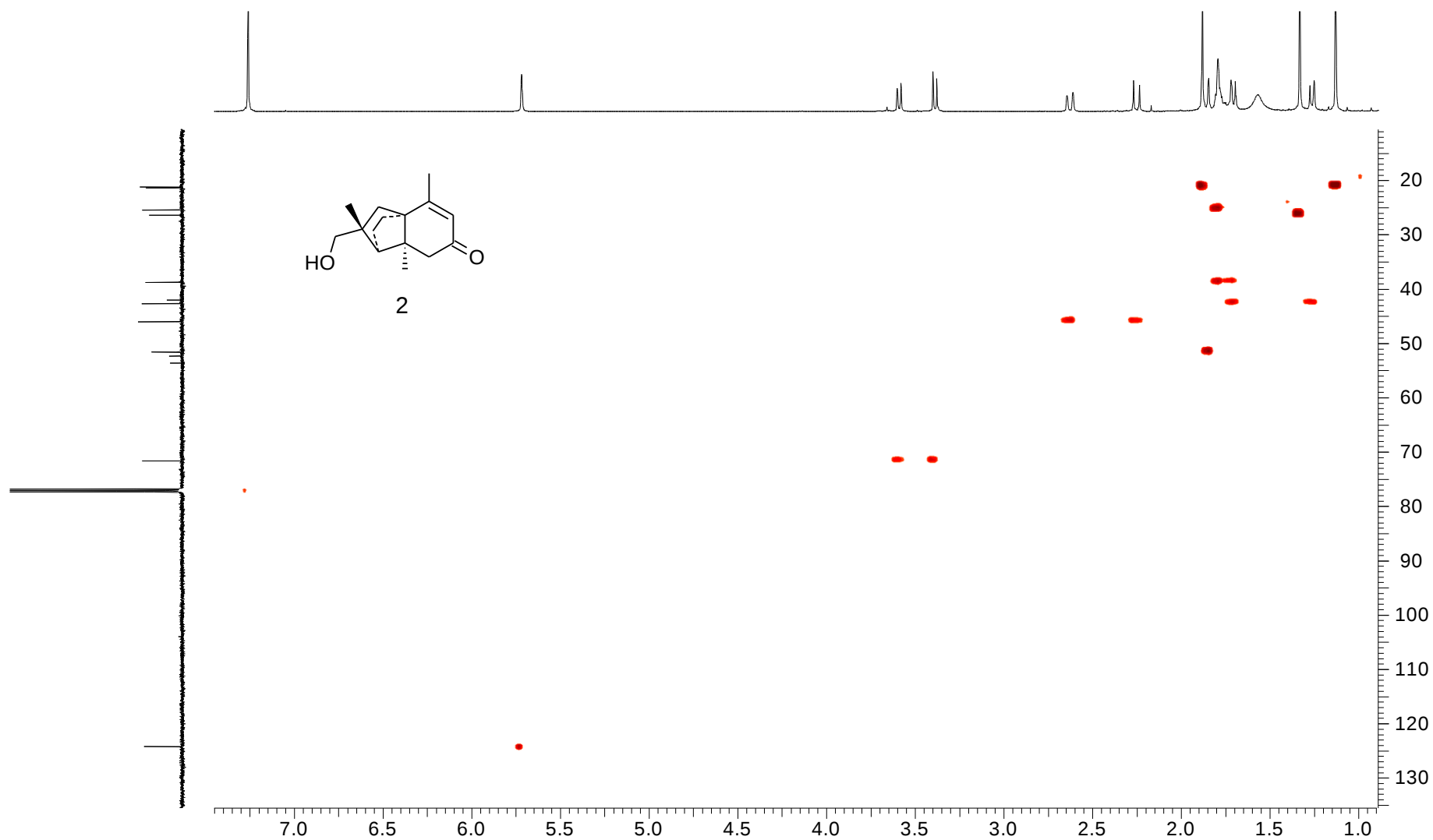


Figure S10. COSY spectrum of 2

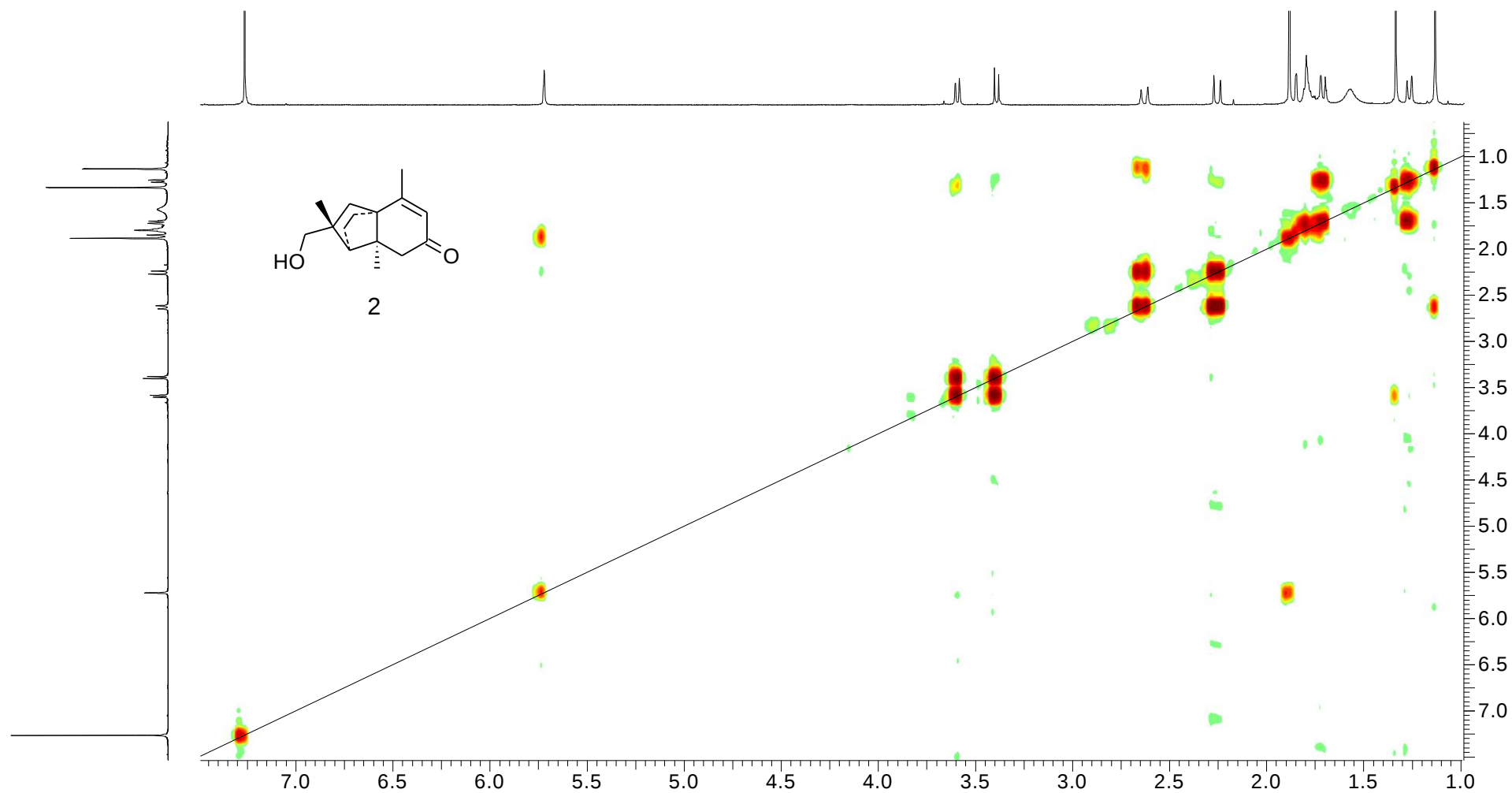


Figure S11. HMBC spectrum of 2

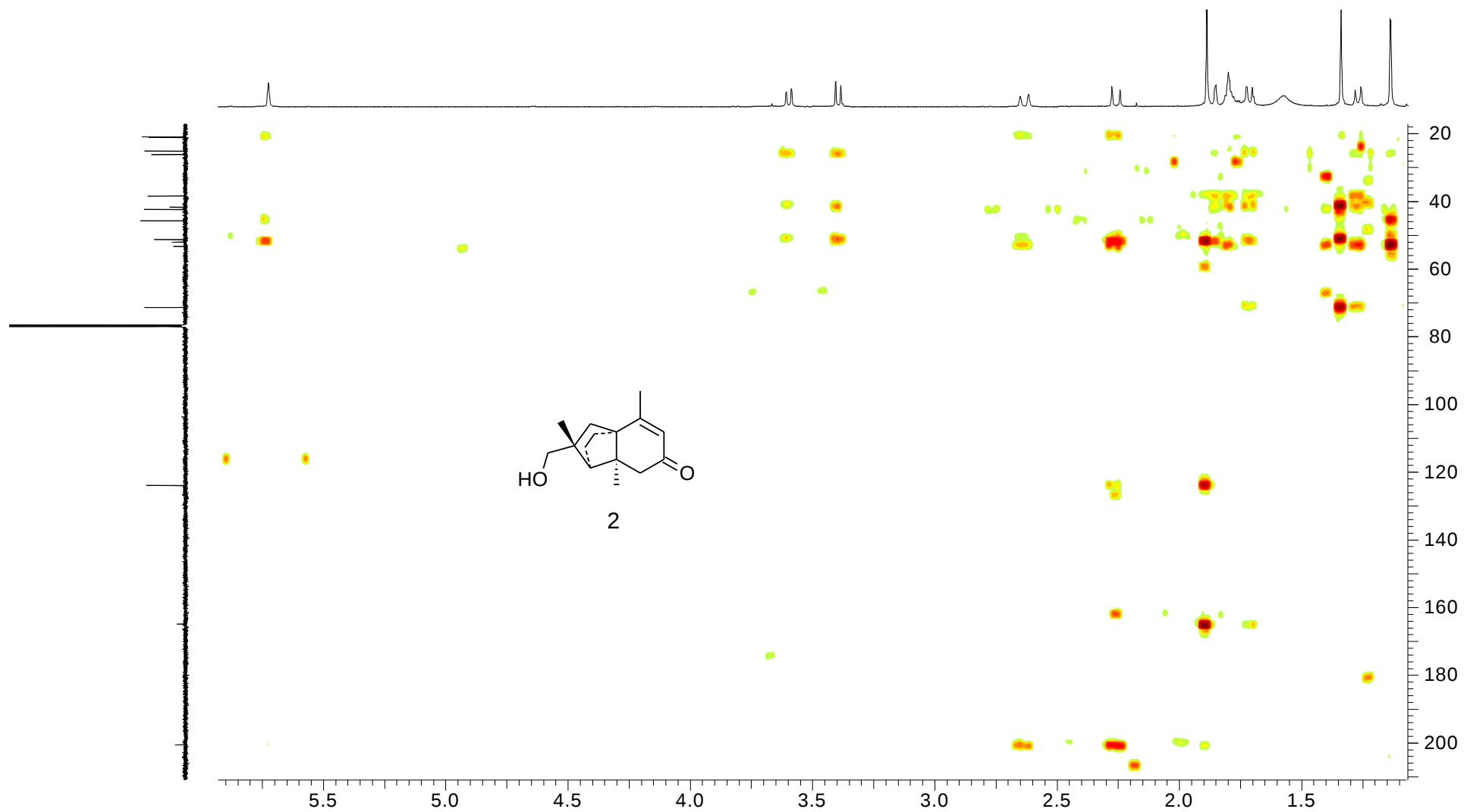


Figure S12. NOESY spectrum of 2

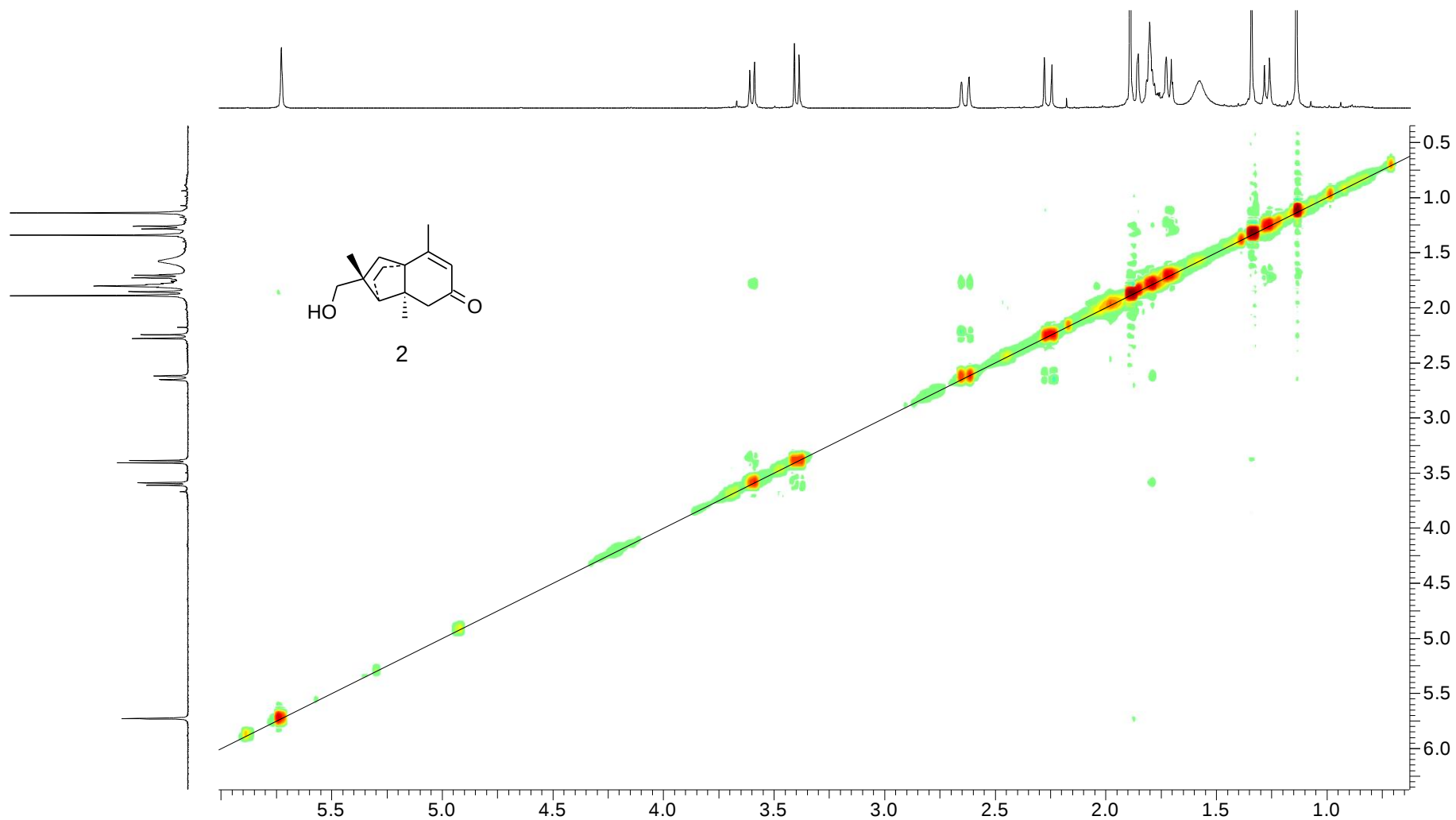


Figure S13. ^1H (500M Hz) and ^{13}C (125M Hz) NMR and DEPT spectra of 3

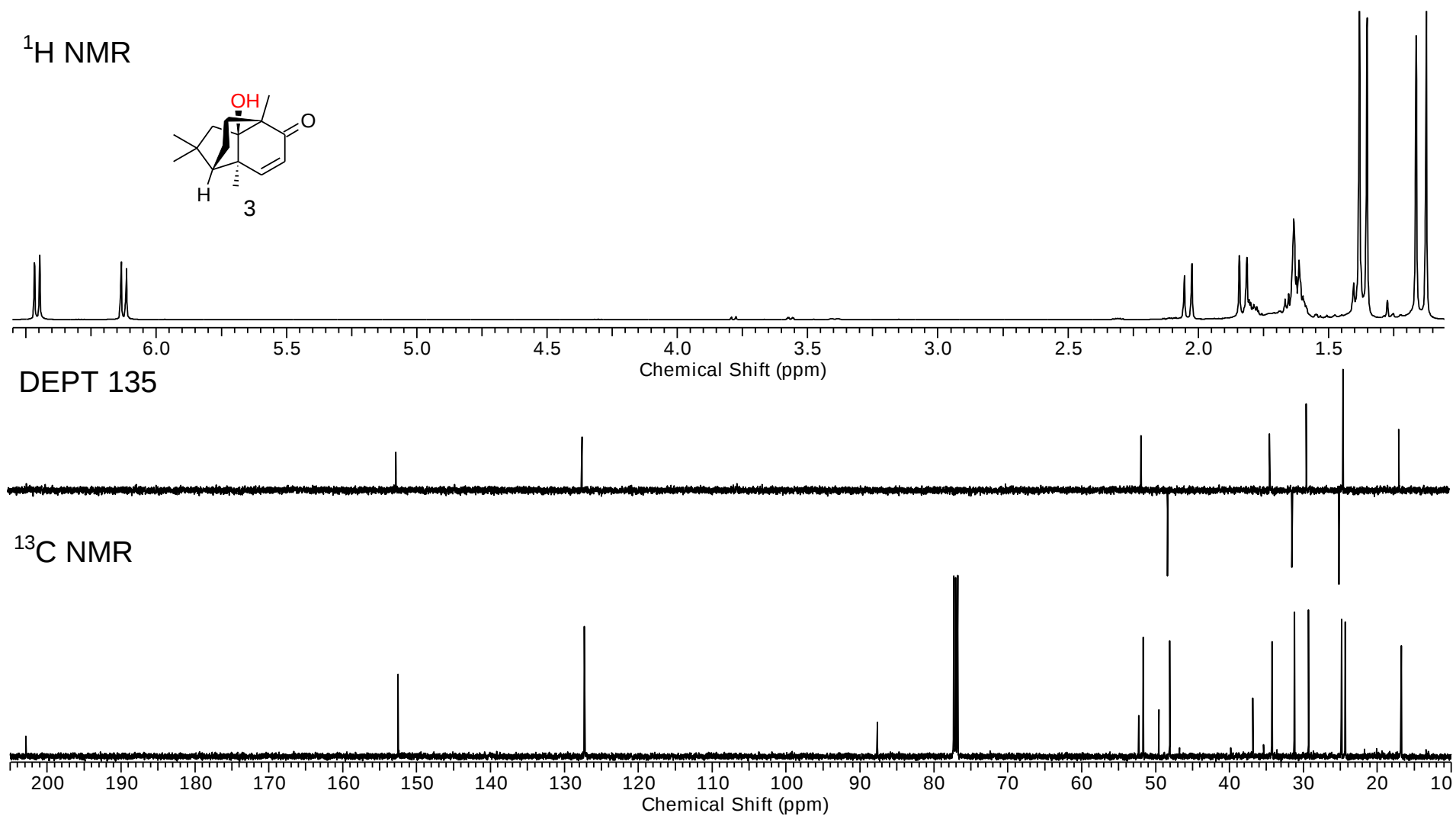


Figure S14. HSQC of 3

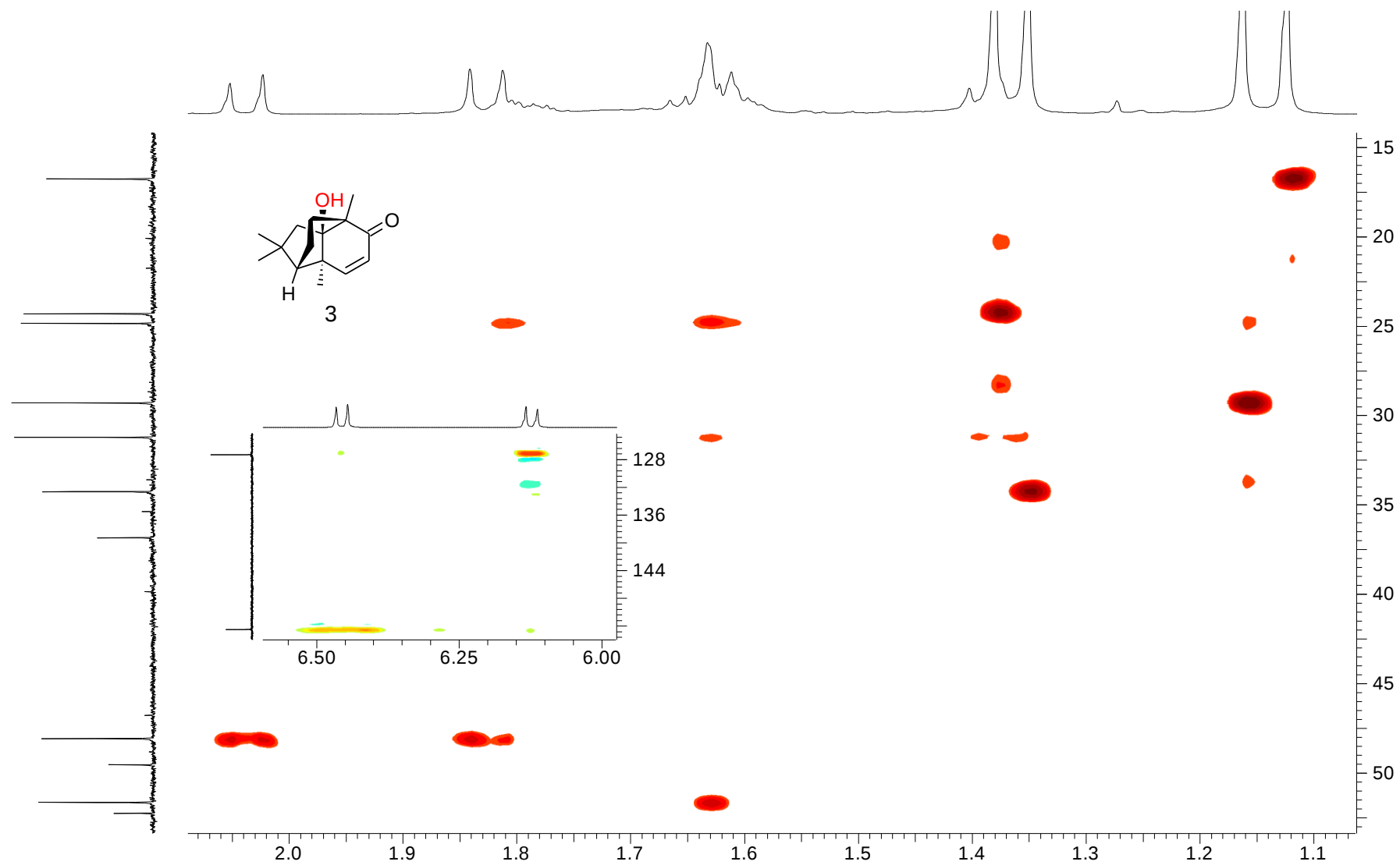


Figure S15. COSY of 3

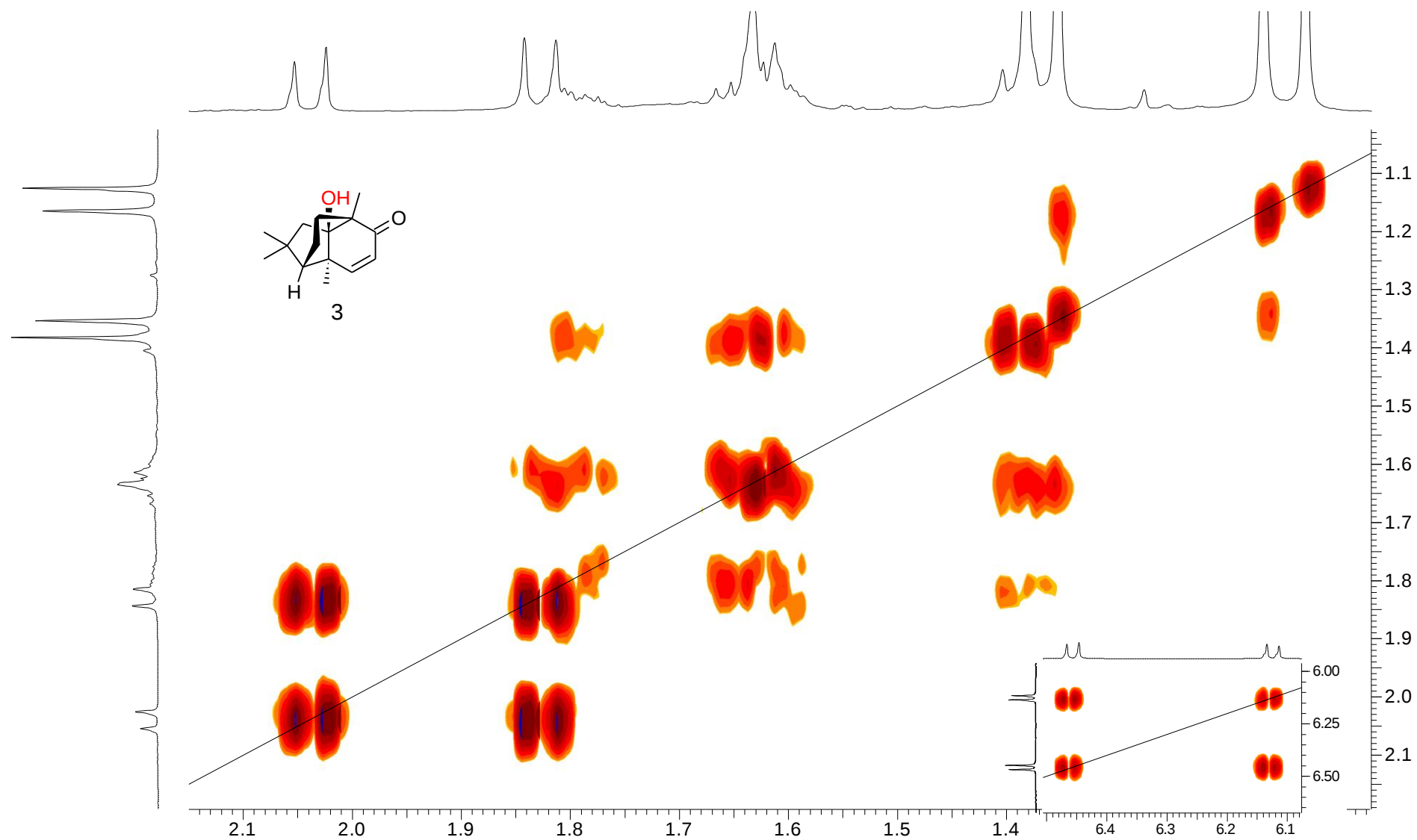


Figure S16. HMBC of 3

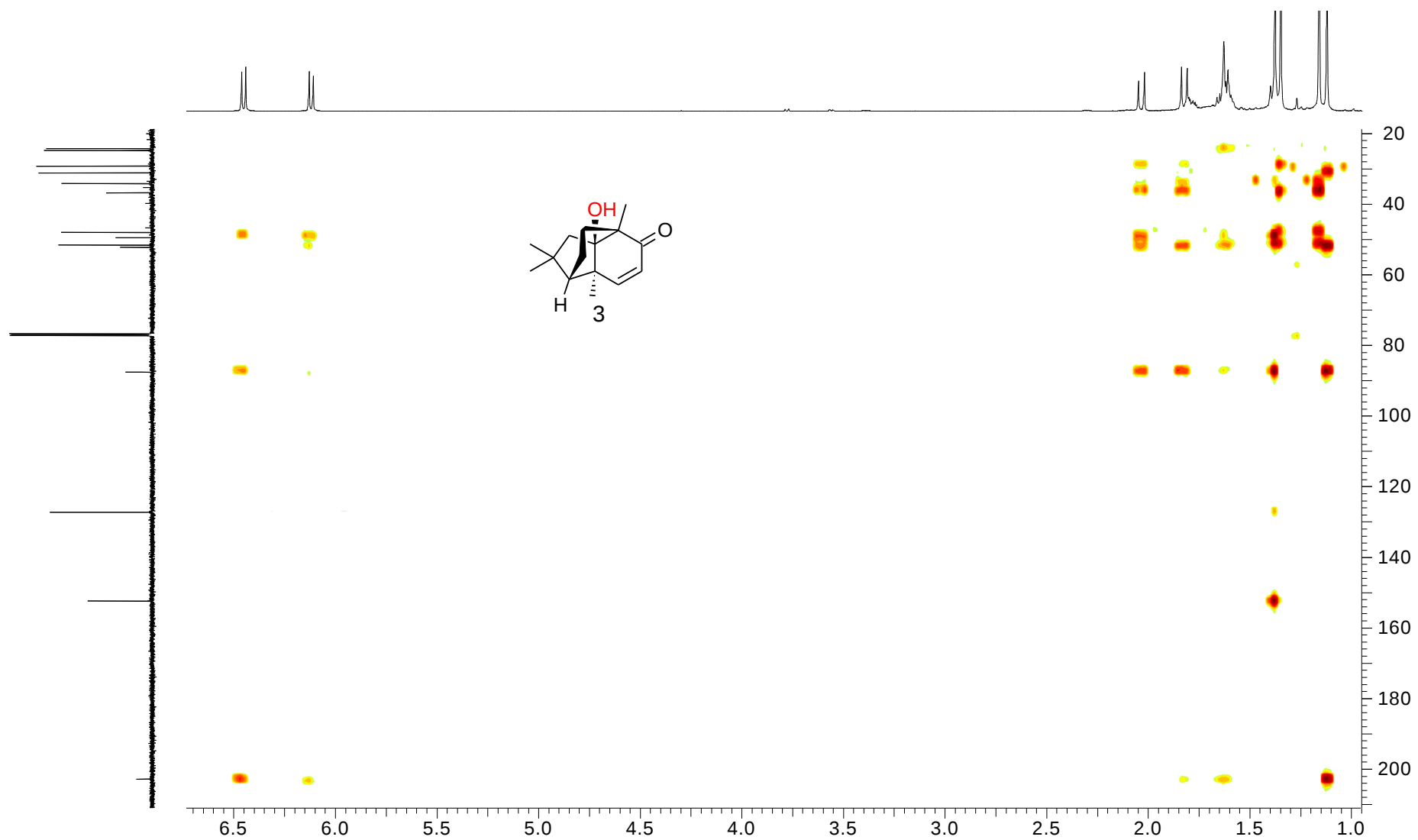


Figure S17. NOESY of 3

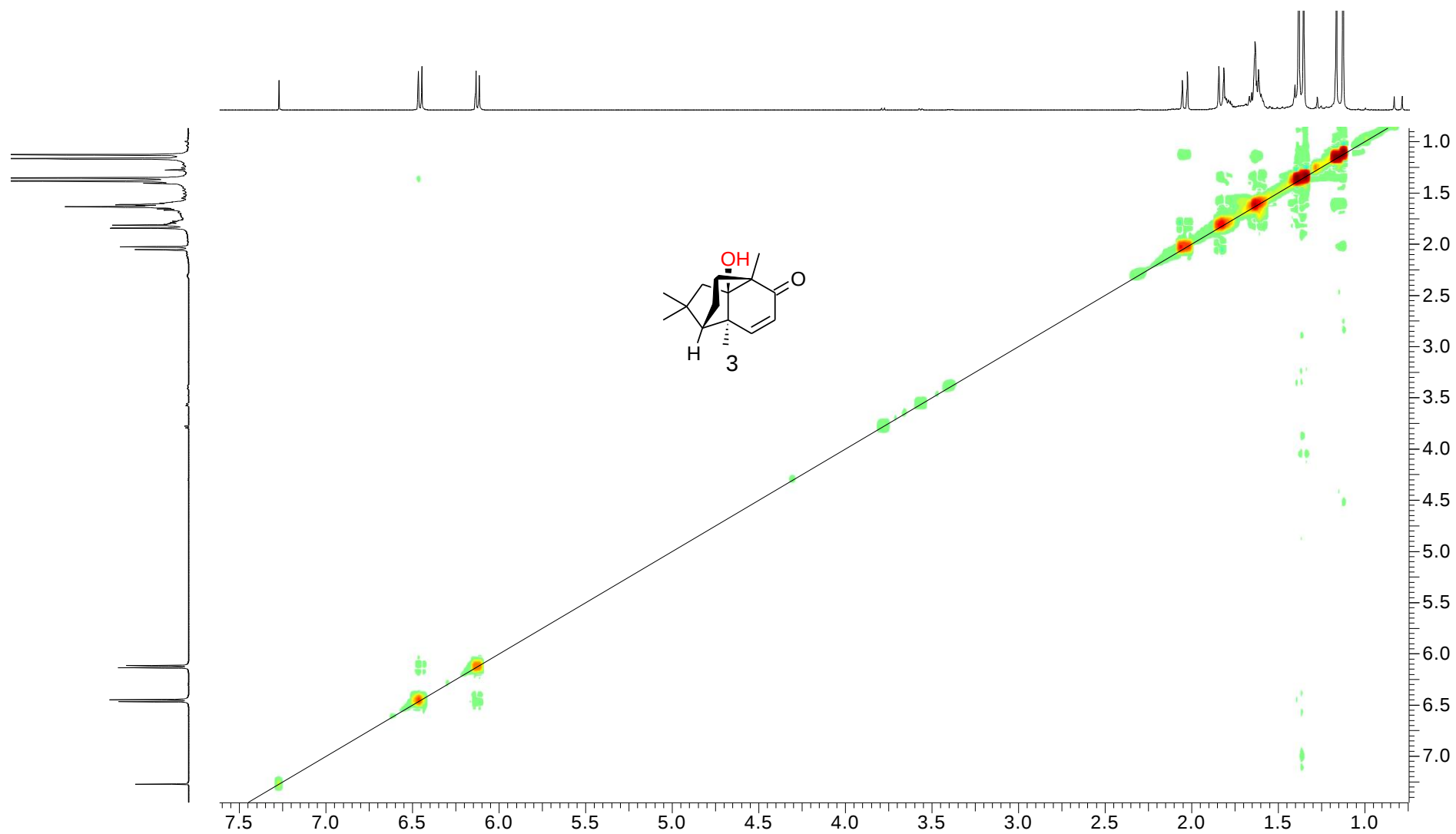


Figure S18. ^1H (125M Hz) and ^{13}C NMR of 4

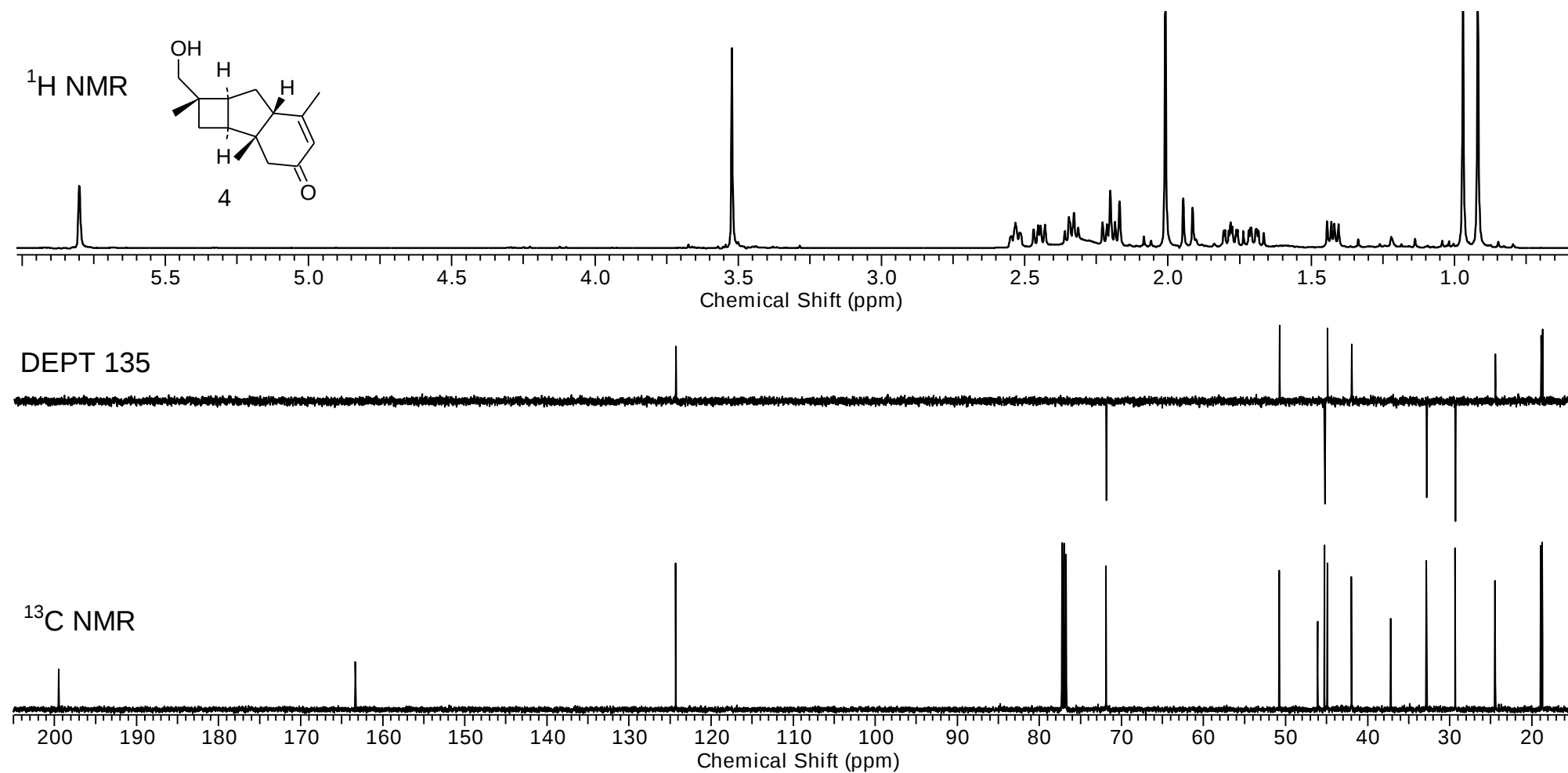


Figure S19. HSQC of 4

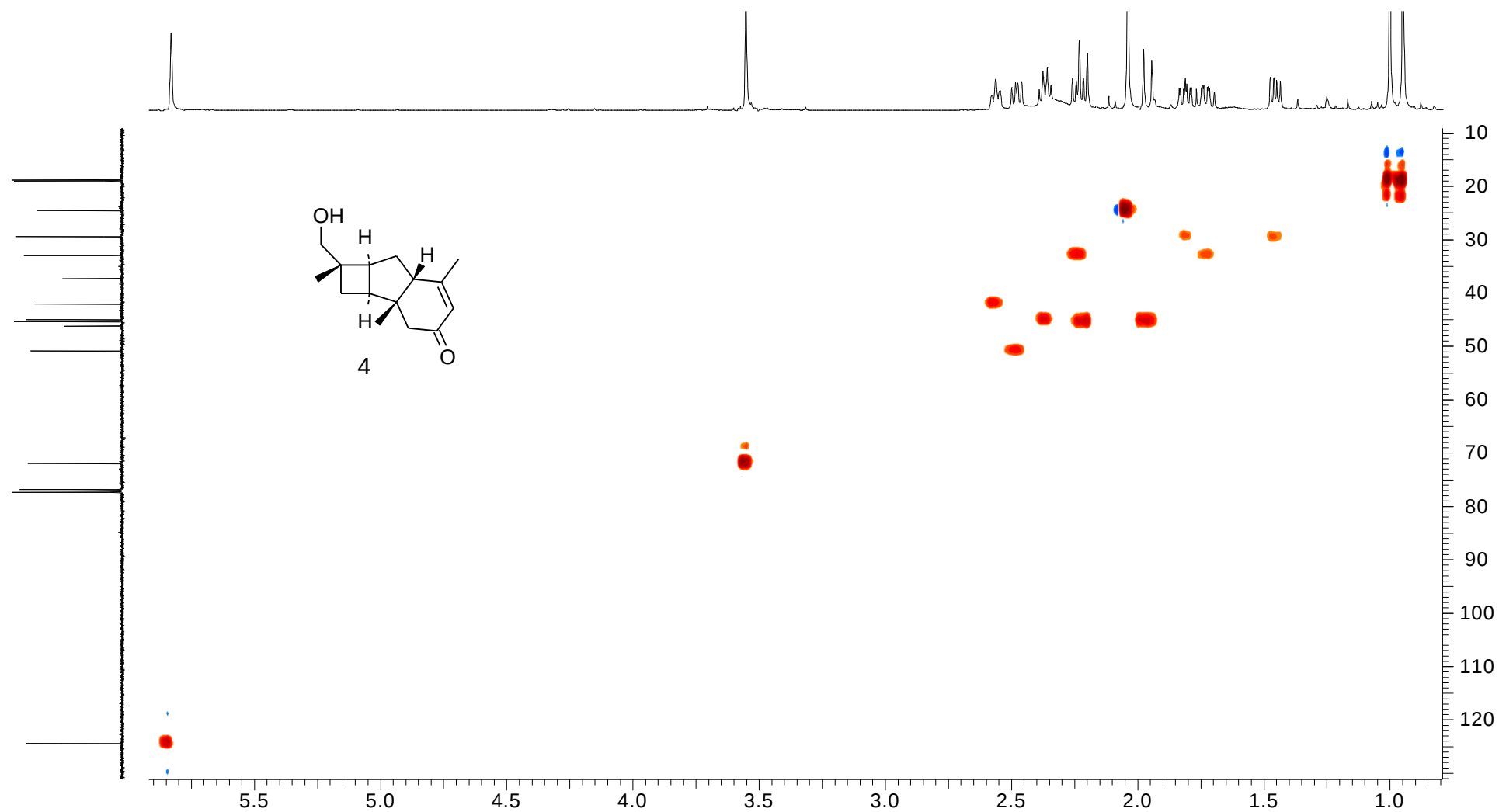


Figure S20. ^1H - ^1H COSY of 4

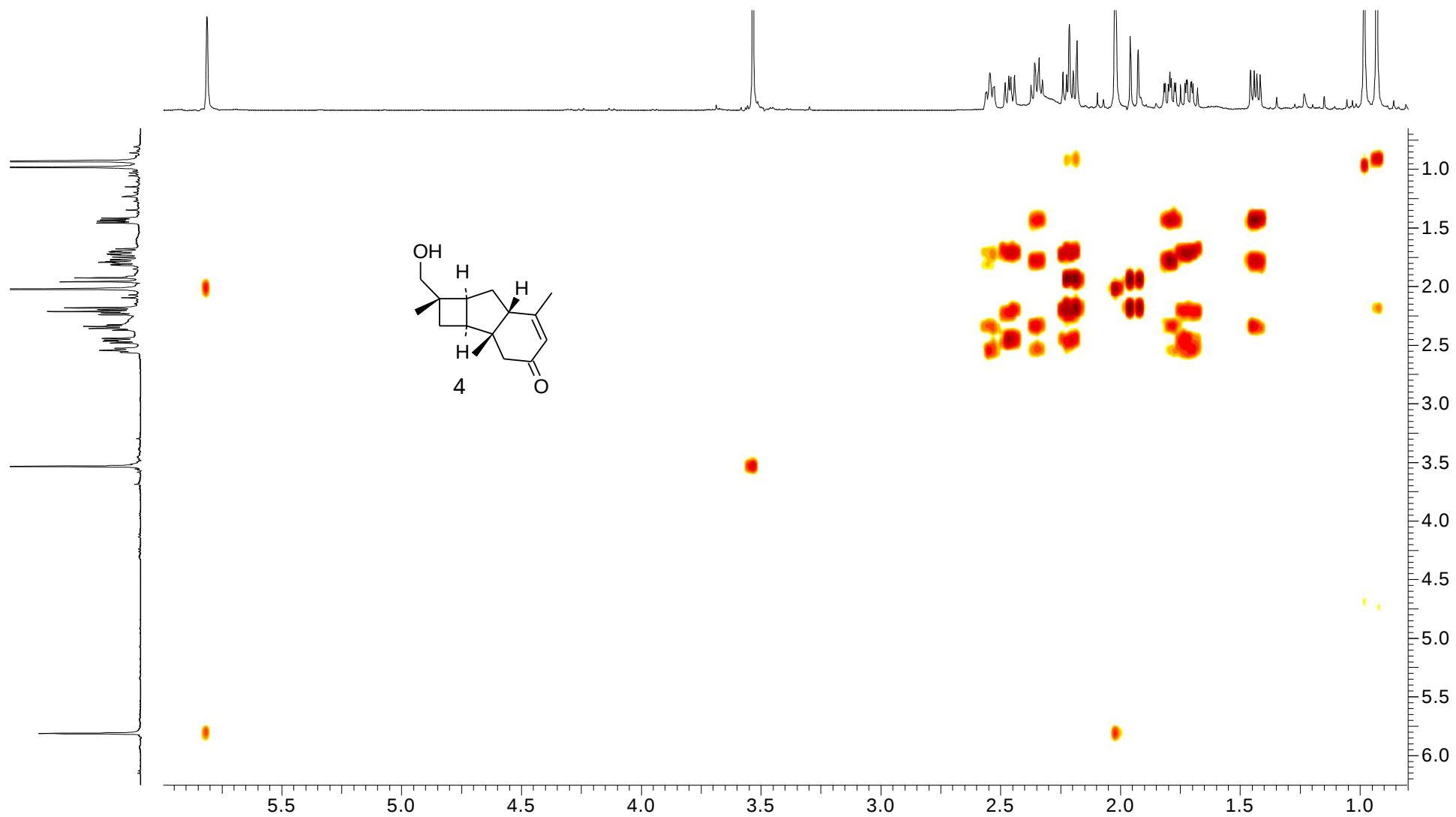


Figure S21. HMBC of 4

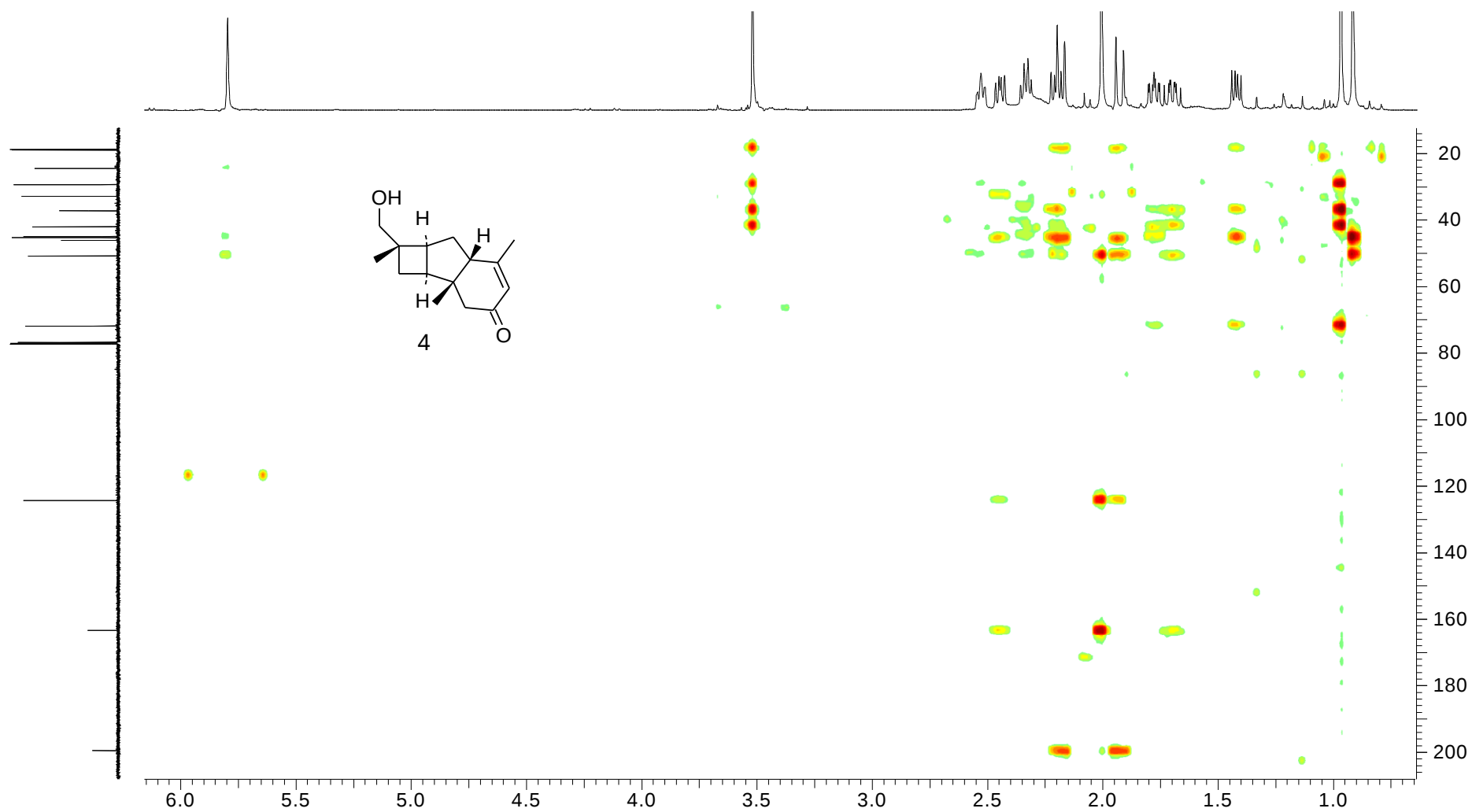


Figure S22. NOESY of 4

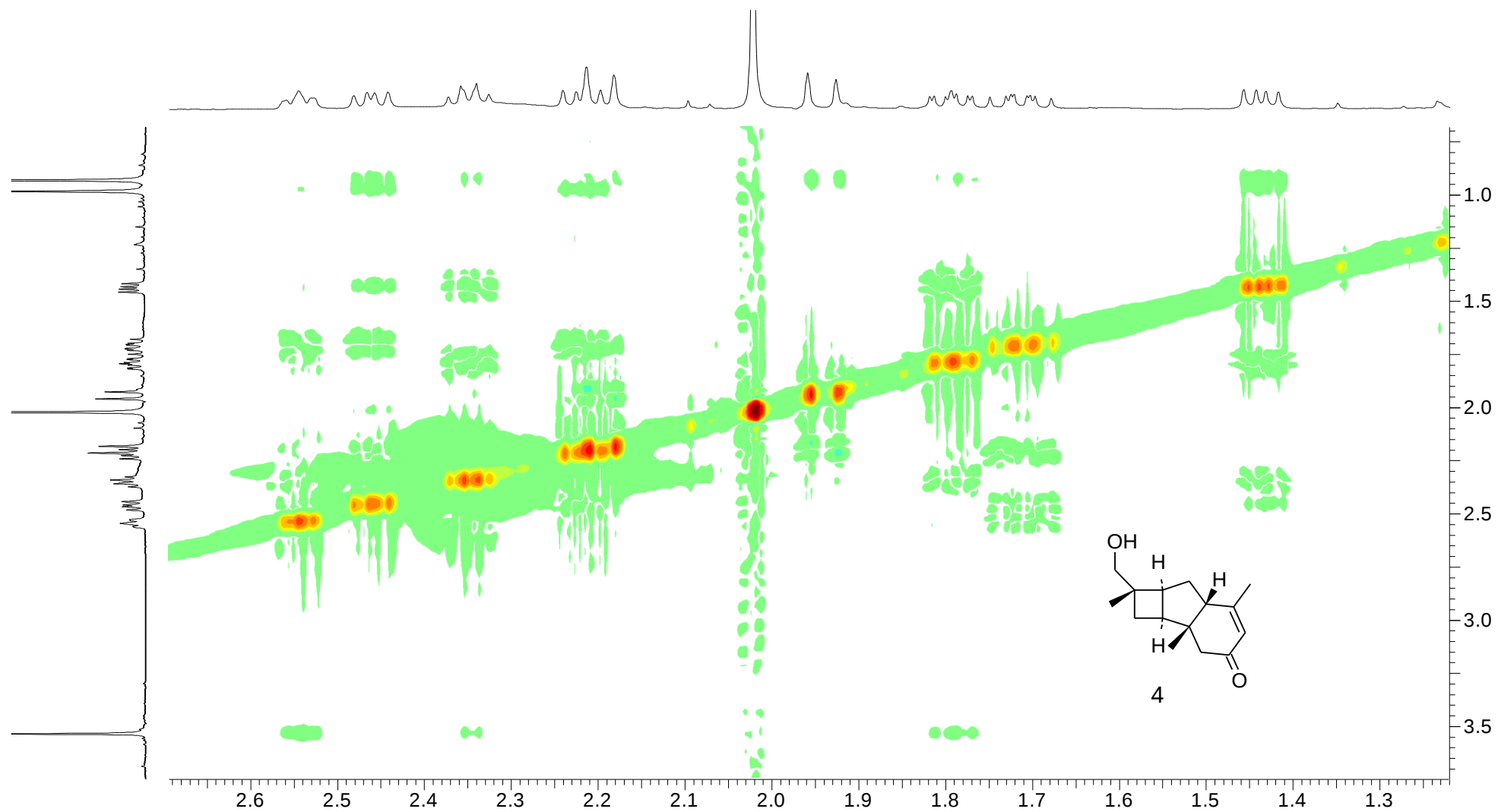


Figure S23. ^1H (500M Hz) and ^{13}C (125M Hz) NMR and DEPT spectra of **5**

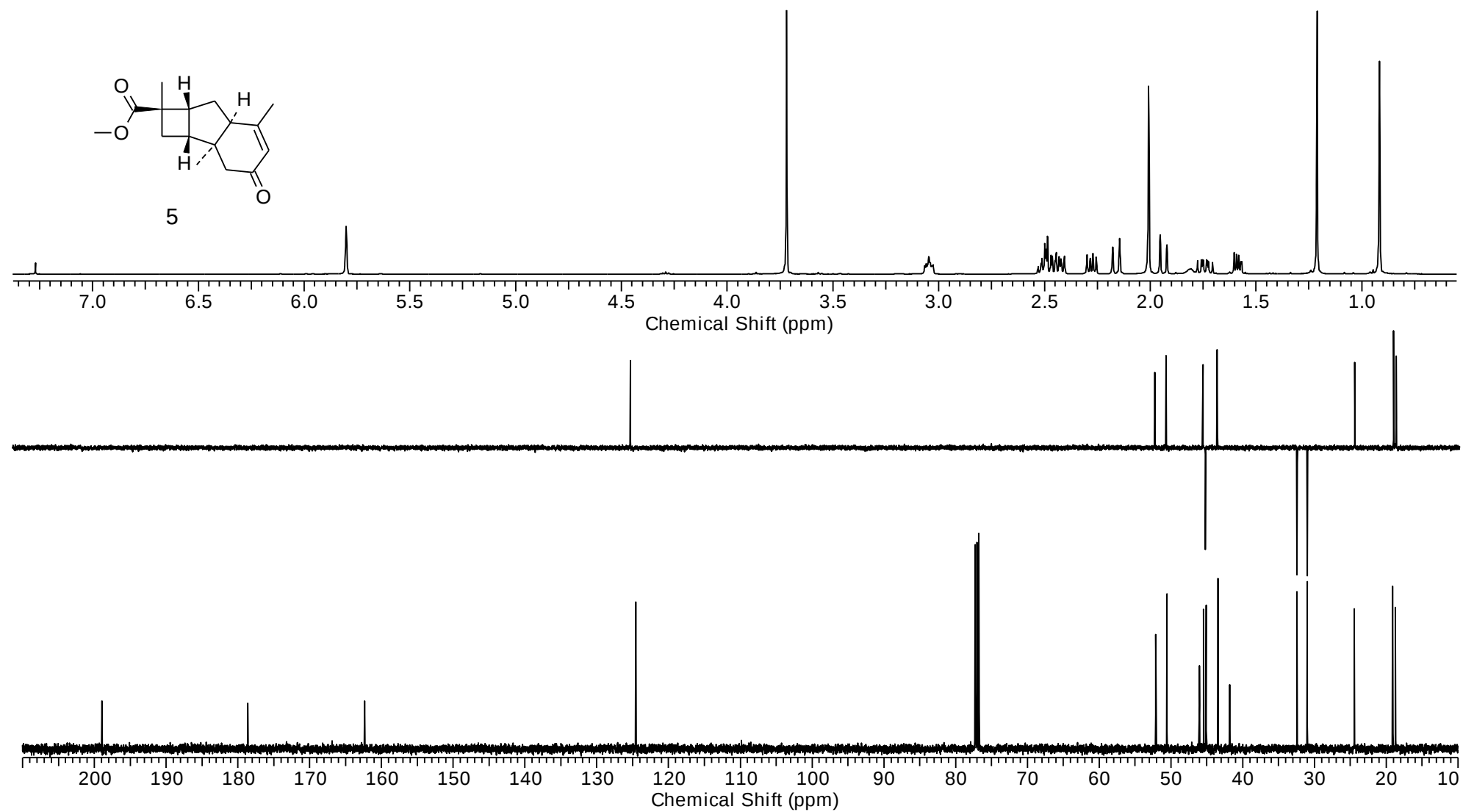


Figure S24. HSQC of 5

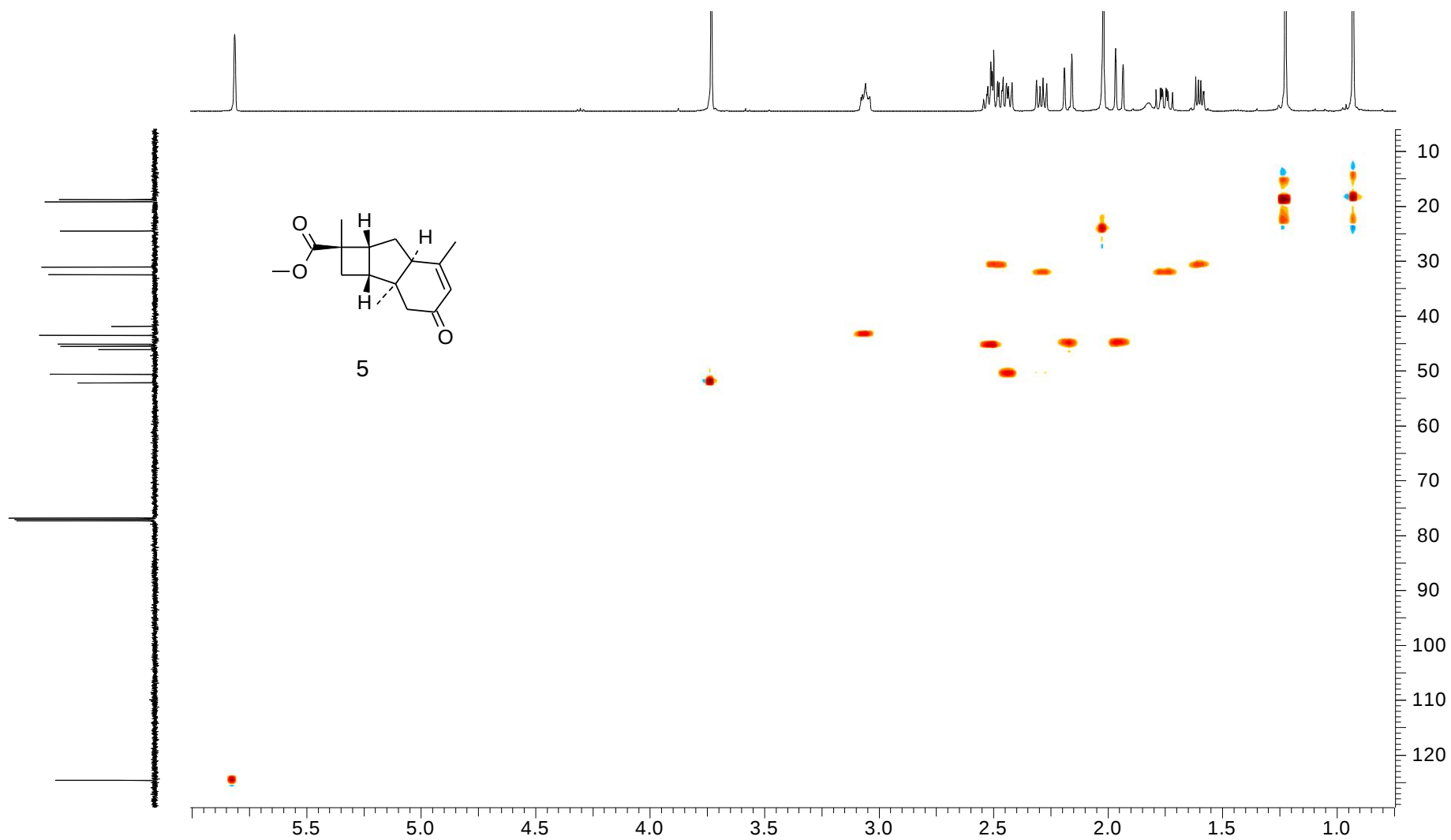


Figure S25. ^1H - ^1H COSY of 5

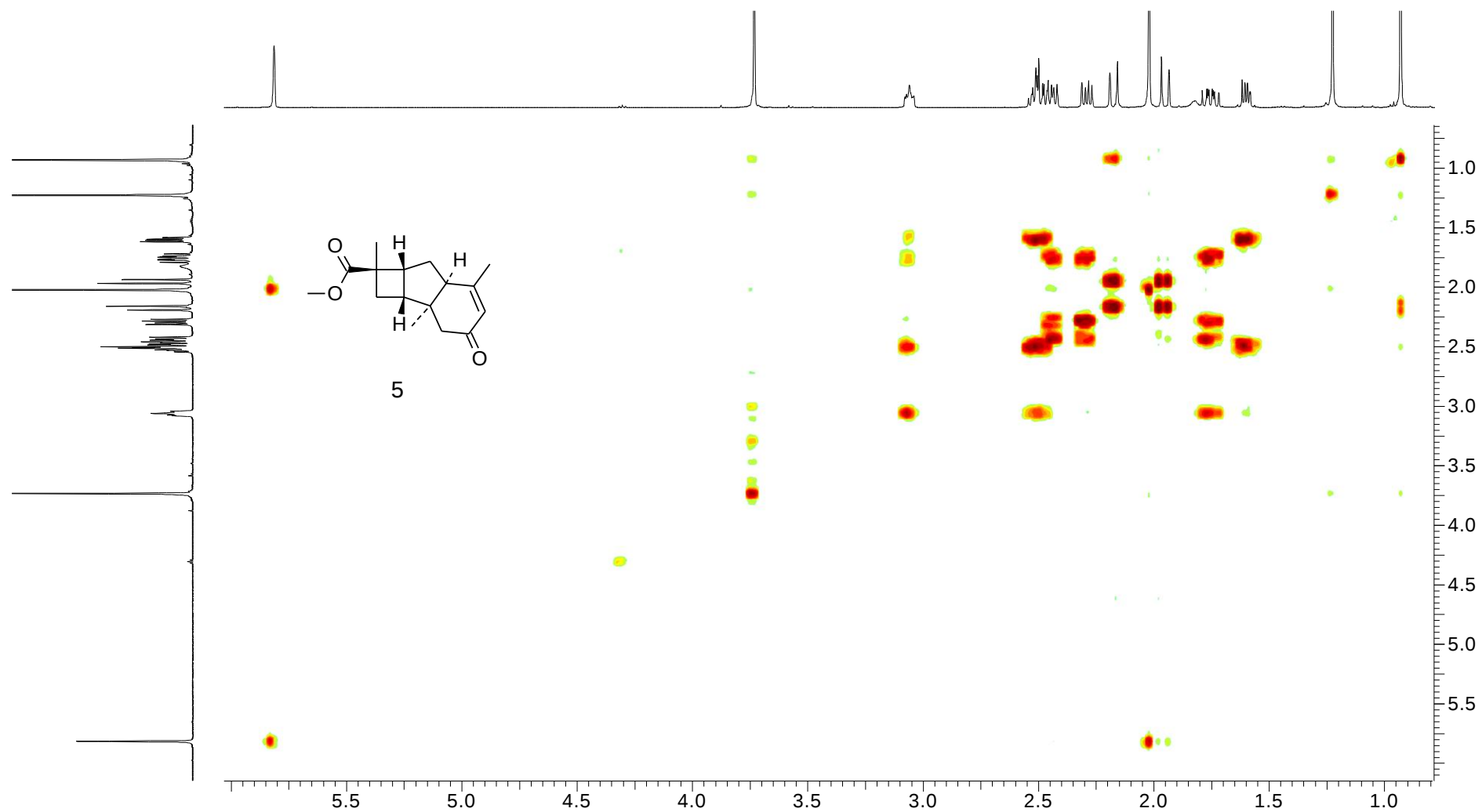


Figure S26. HMBC of 5

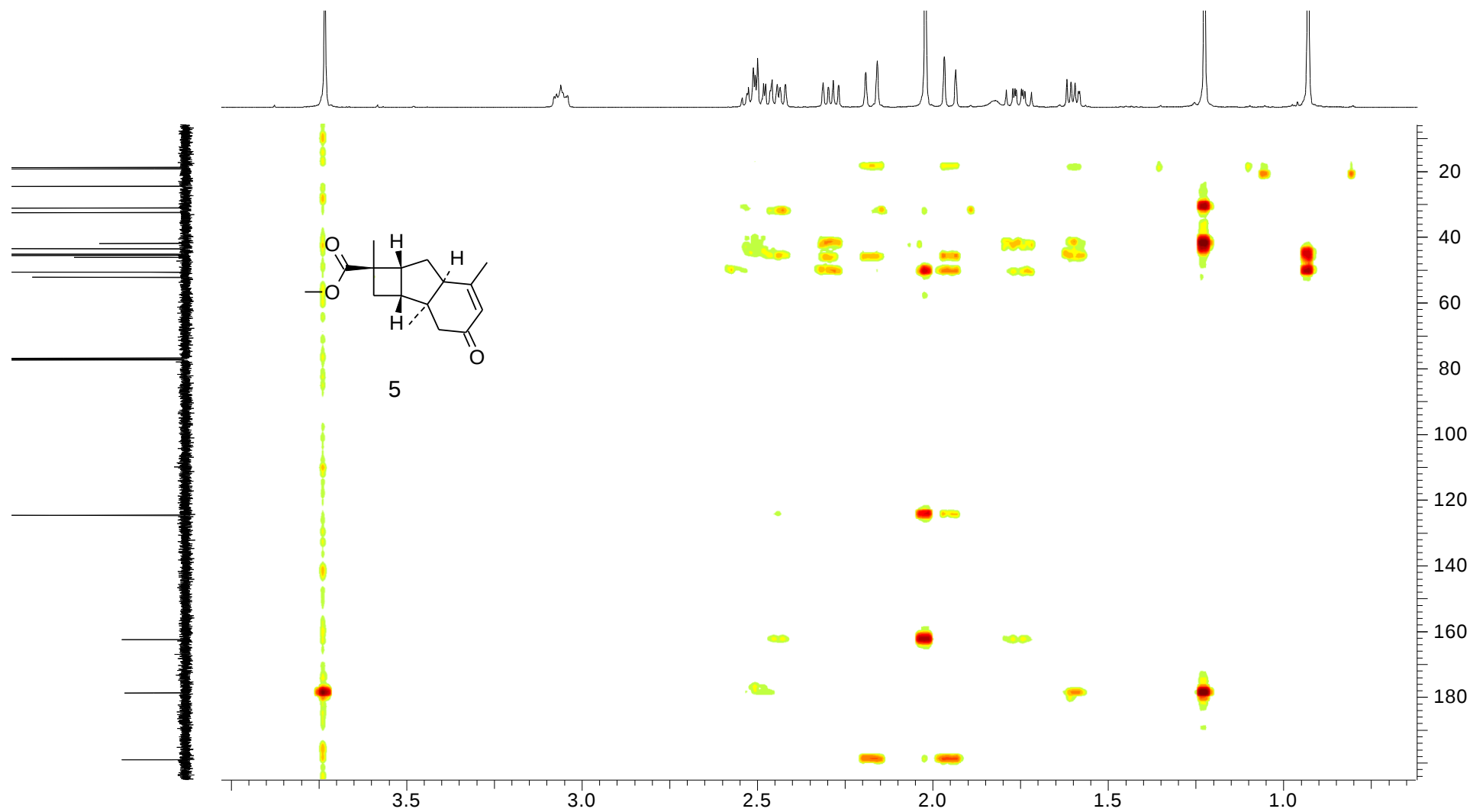


Figure S27. NOESY of 5

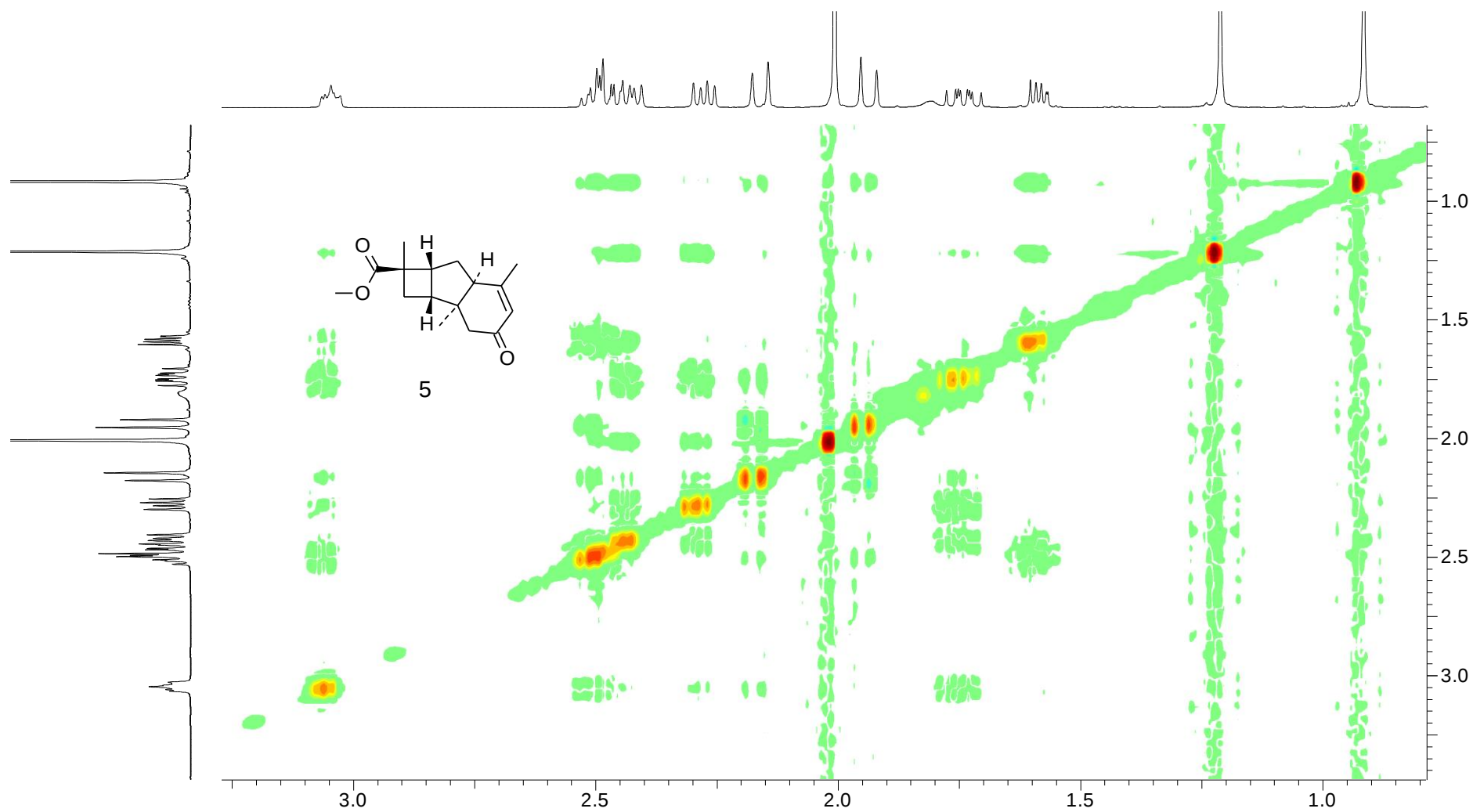


Figure S28. ^1H (500M Hz) and ^{13}C (125M Hz) NMR spectra of 6

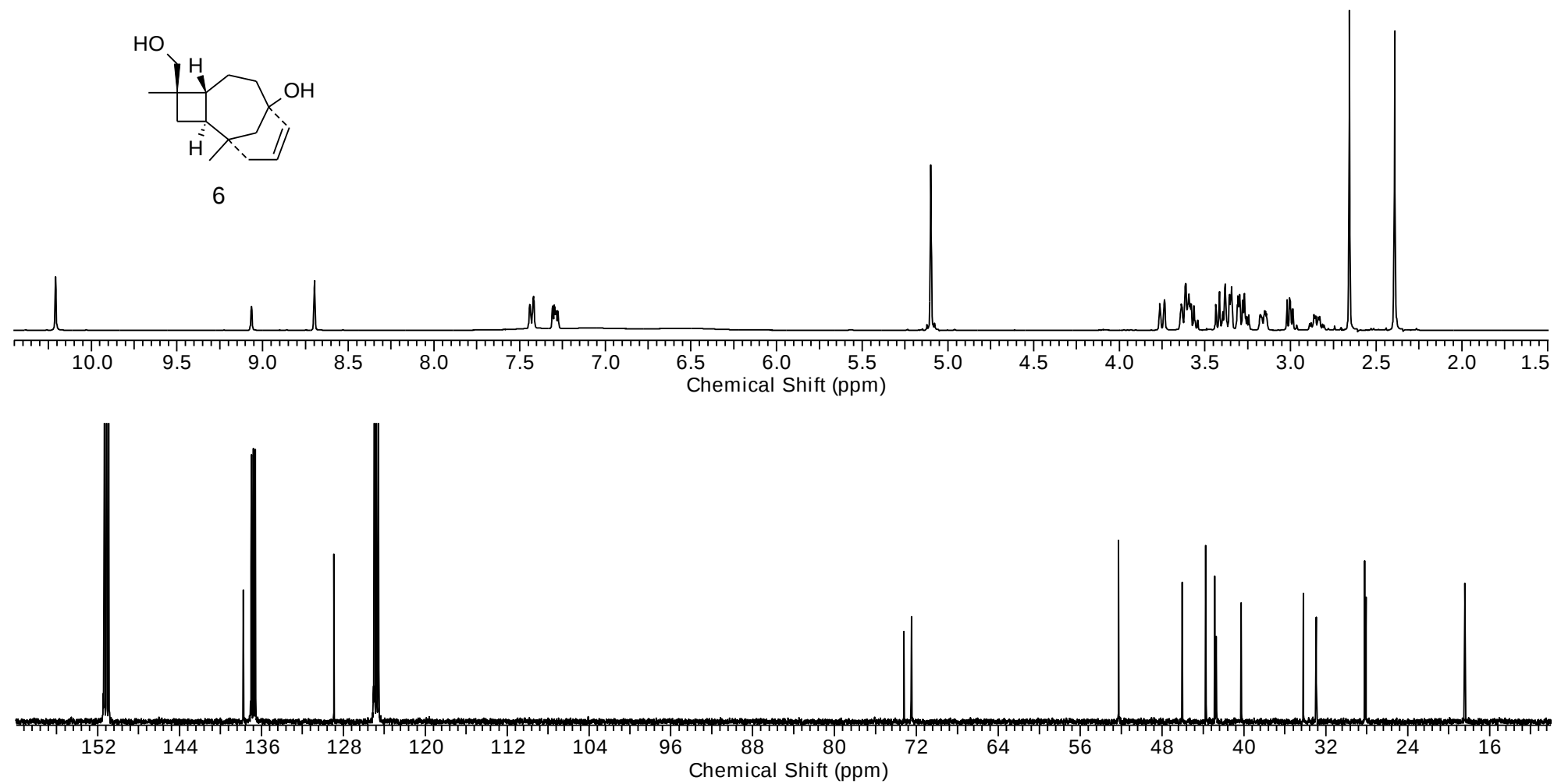


Figure S29. HSQC of 6

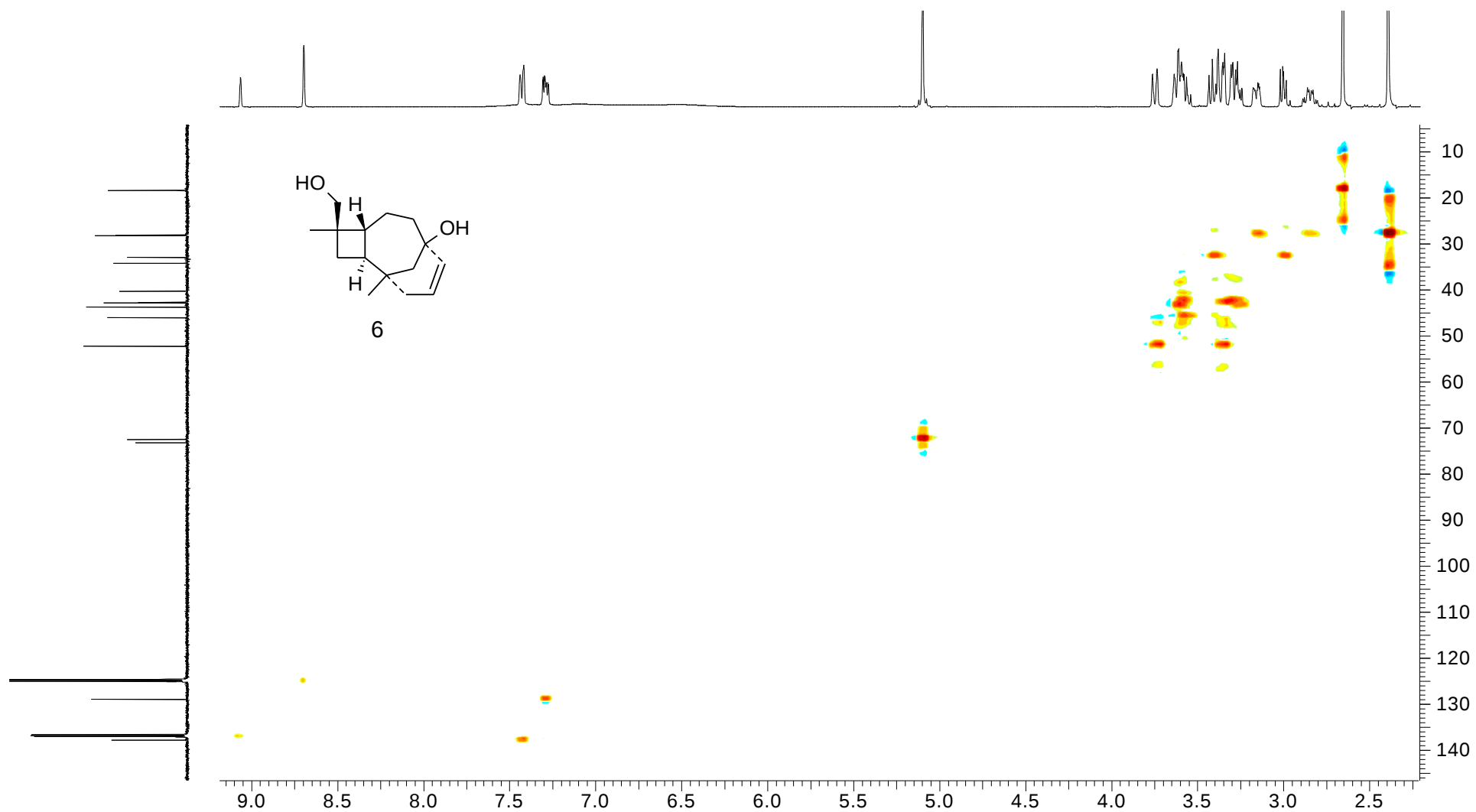


Figure S30. ^1H - ^1H COSY of 6

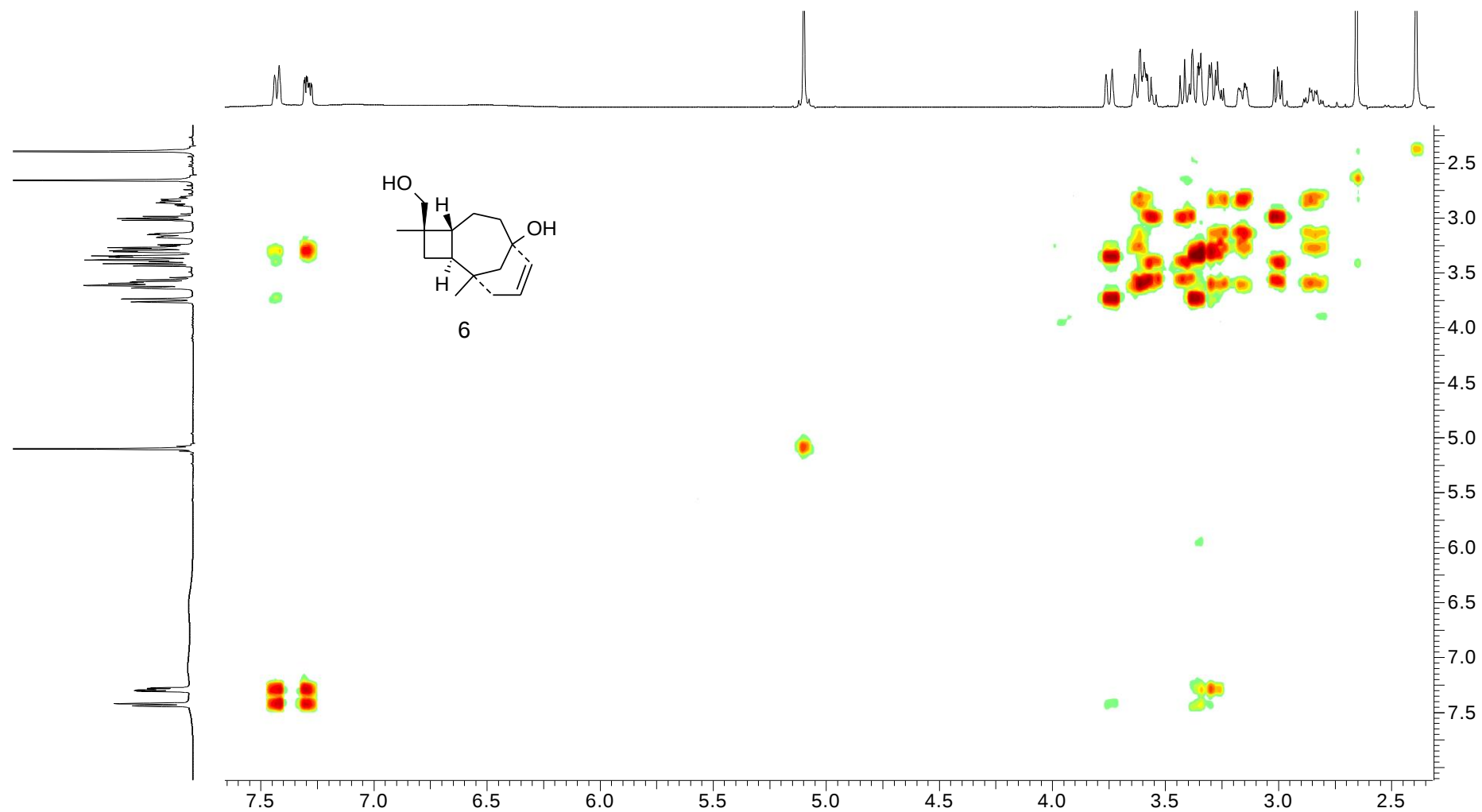


Figure S31. HMBC of 6

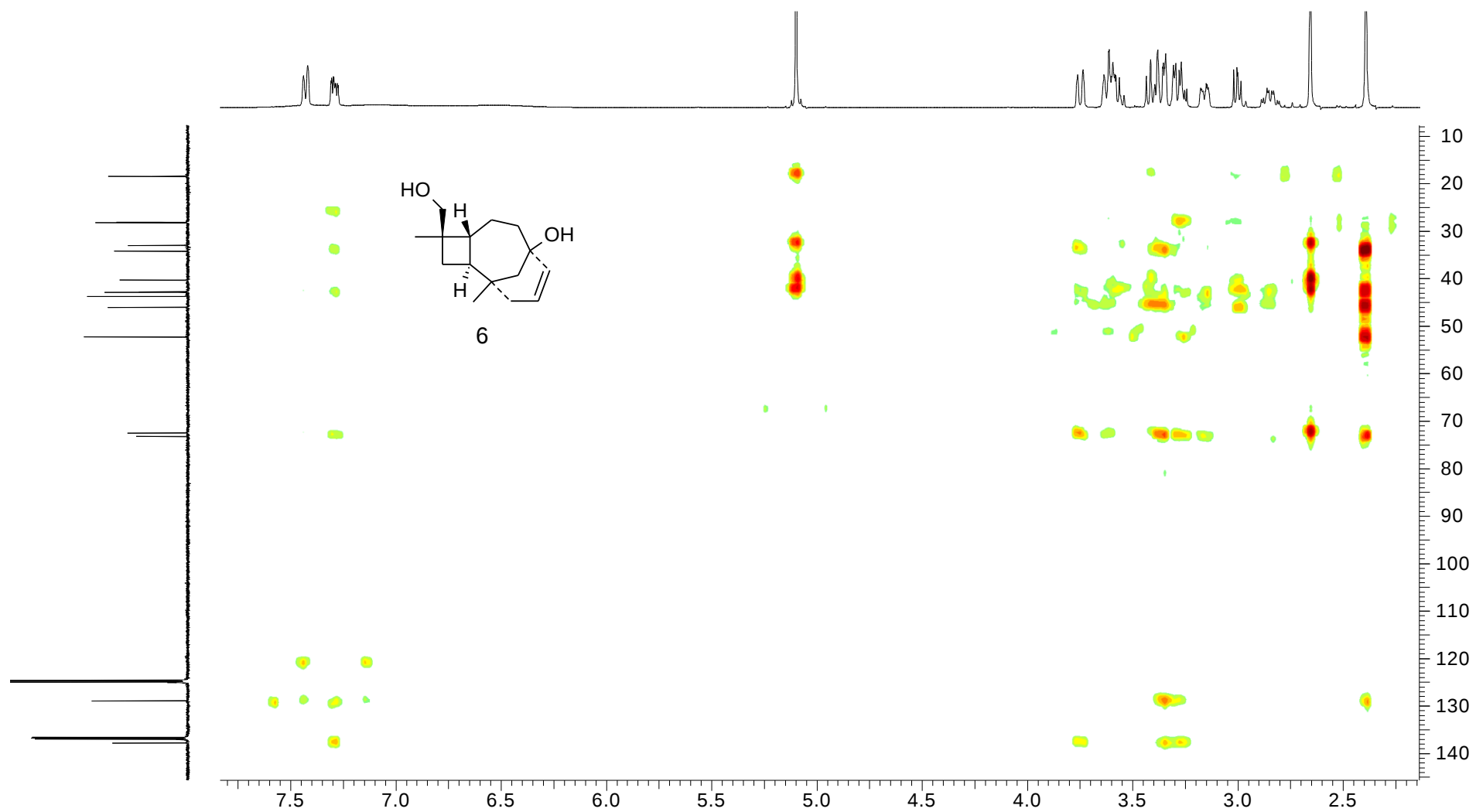


Figure S32. NOESY of 6

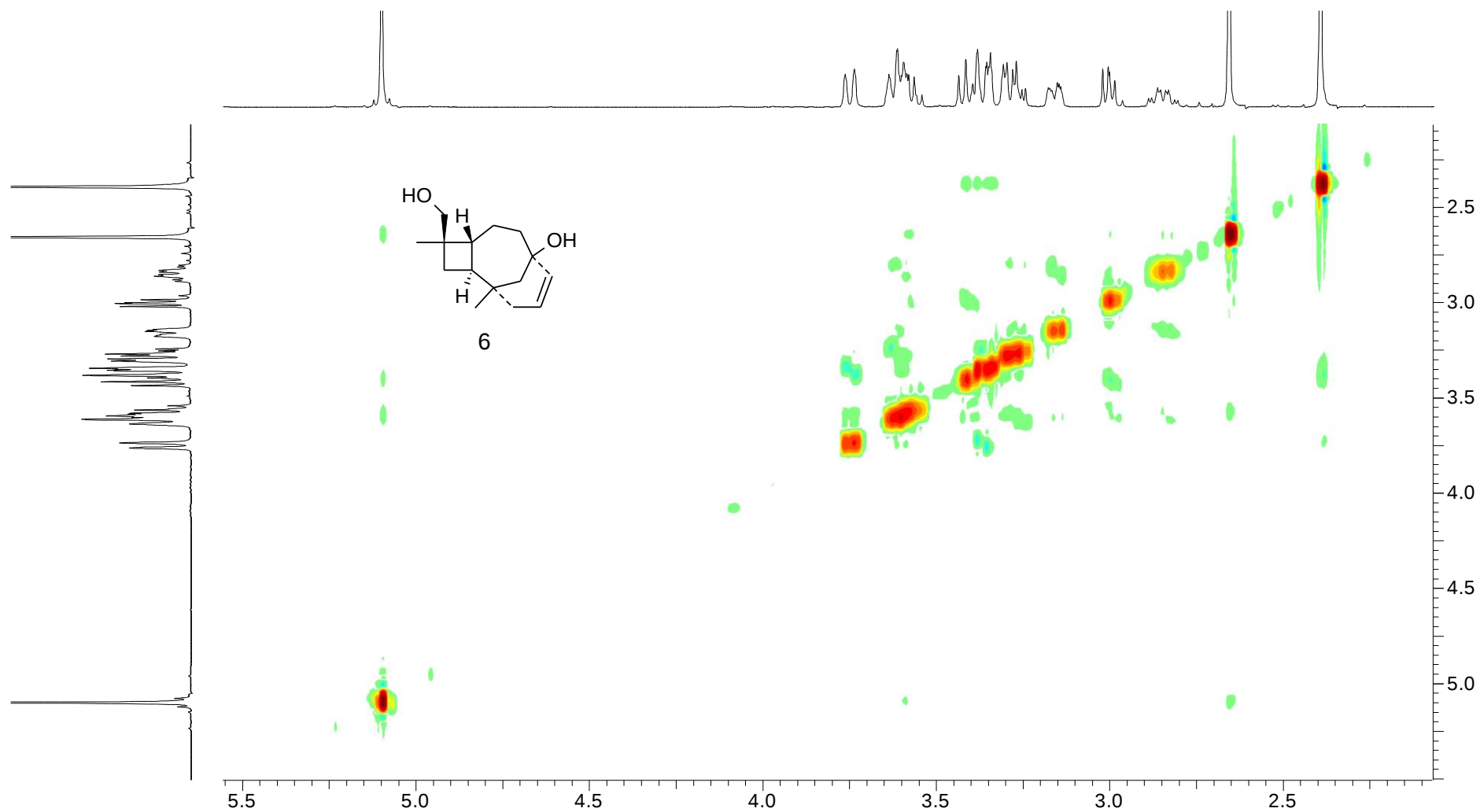


Figure S33. ^1H (500M Hz) and ^{13}C (125M Hz) NMR and DEPT spectra of 7

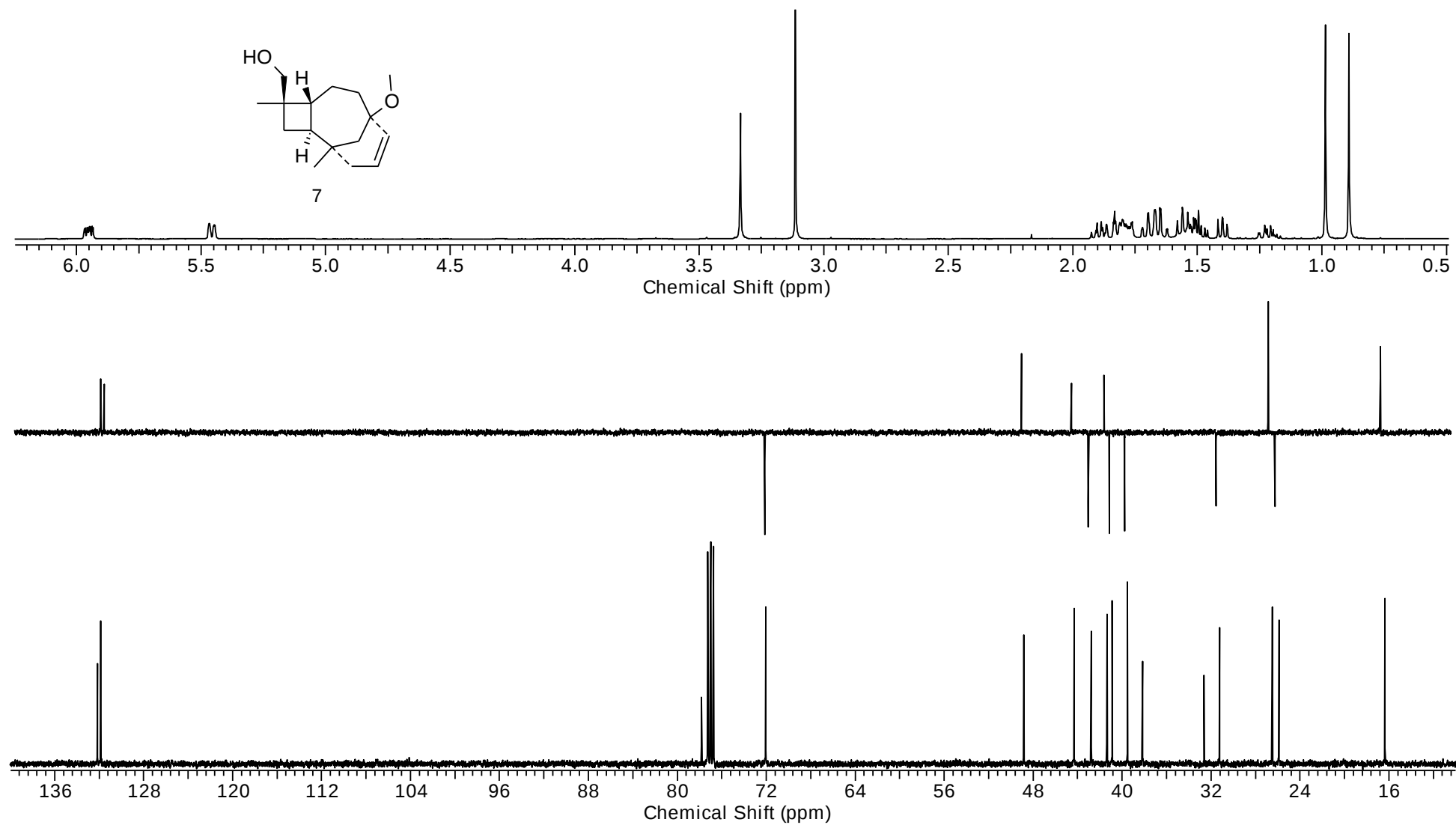


Figure S34. HSQC of 6

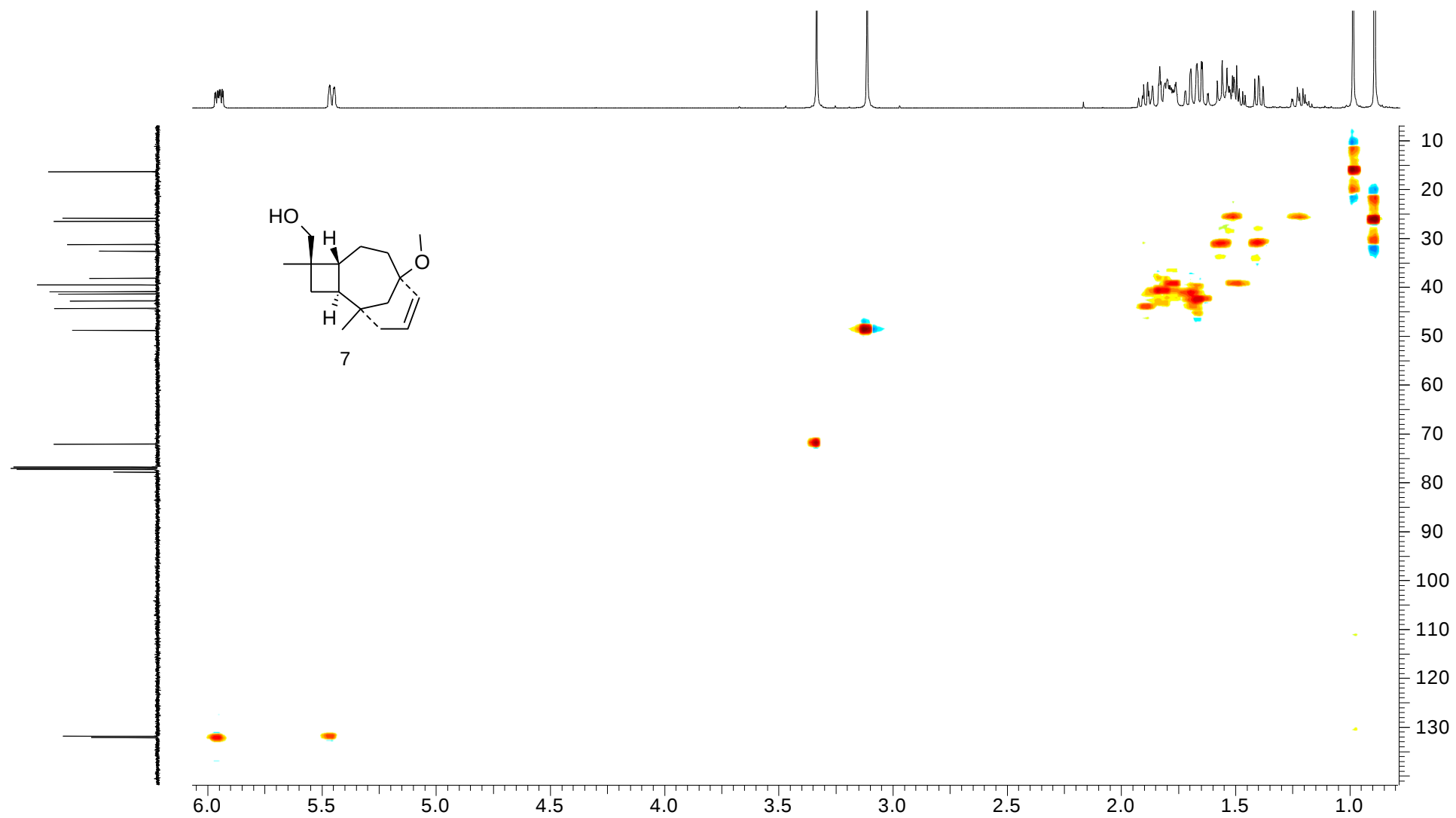


Figure S35. ^1H - ^1H COSY of 6

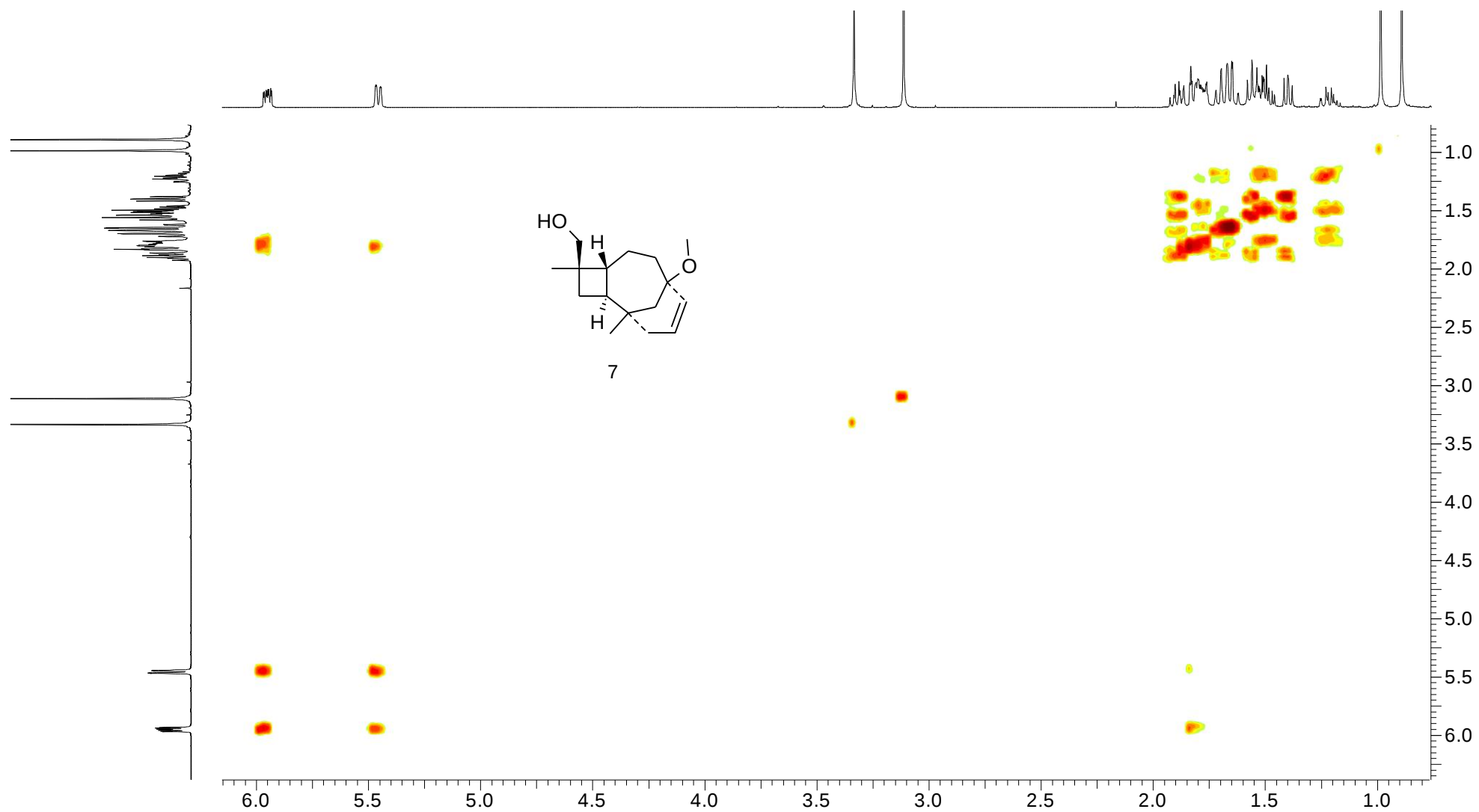


Figure S36. HMBC of 6

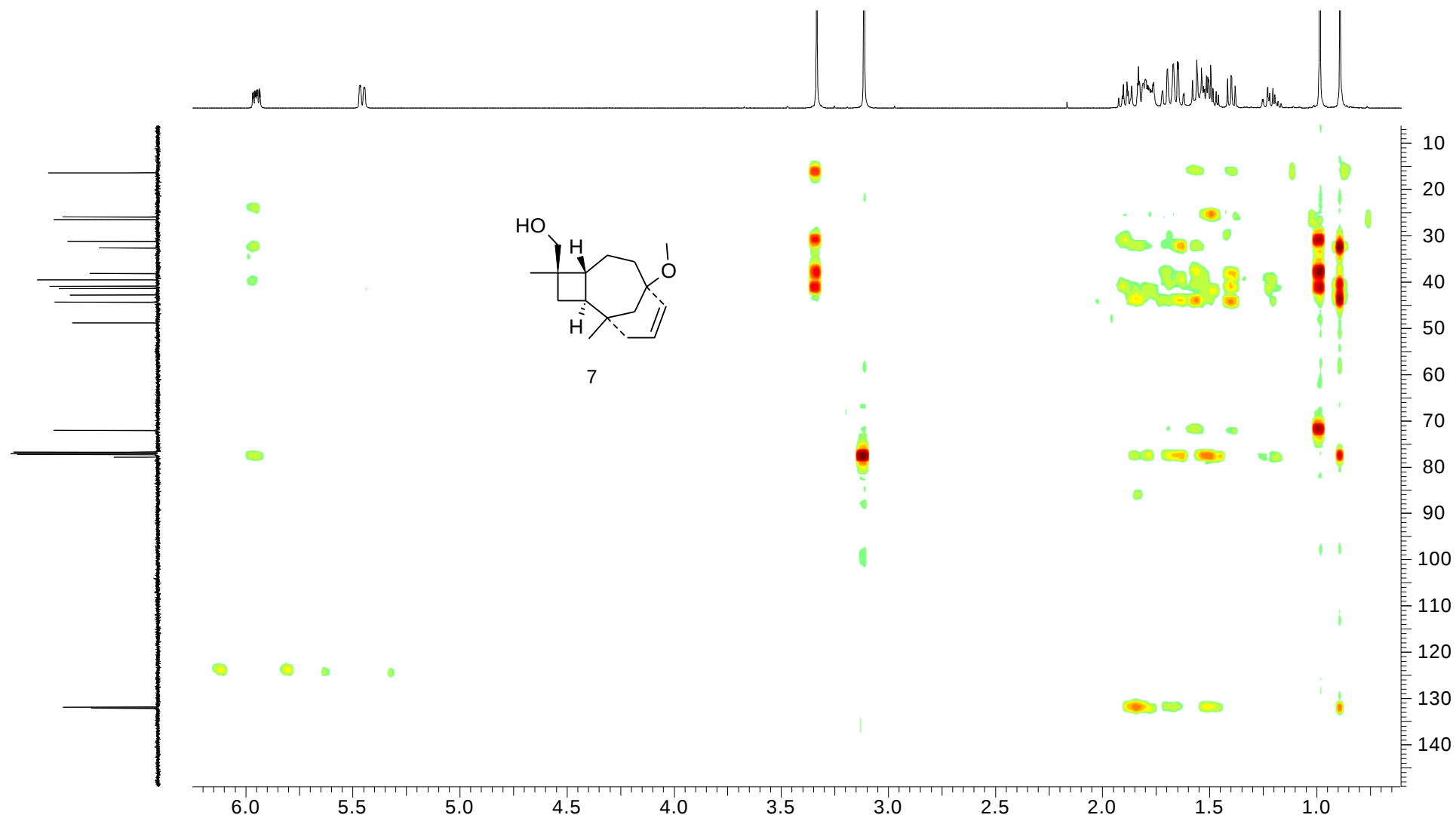


Figure S37. NOESY of 6

