Electronic Supplementary Information

A NIR Dye with High-performance N-type

Semiconducting Property

Jiajun Xie, Ke Shi, Kang Cai, Di Zhang, Jie-Yu Wang, Jian Pei* and Dahui Zhao*

Table of contents

I.	Synthetic Procedures and Characterizations	S2
II.	Reaction Mechanisms	S6
III.	Absorption and Emission Spectra	S6
IV.	Electrochemical Characterizations	S8
V.	Thermal Properties of 2	S9
VI.	OFET Device Fabrication and Characterizations	S9
VII.	DFT and TD-DFT Calculations	S11
VIII.	References	S13
IX.	Copies of ¹ H and ¹³ C NMR Spectra	S14

I. Synthetic Procedures and Characterizations

General Methods. Chemicals and solvents were purchased and used as received unless otherwise indicated. All oxygen and moisture sensitive reactions were performed under nitrogen atmosphere using the standard Schlenk method. Toluene and tetrahydrofuran (THF) were distilled over sodium and benzophene. ¹H and ¹³C NMR spectra were recorded on a Mercury plus 300, operating at 300 MHz (¹H) and 75 MHz (¹³C), Bruker Avance 400, operating at 400 MHz (¹H) and 101 MHz (¹³C), and Bruker-500, operating at 500 MHz (¹H), using CDCl₃ or C₂D₂Cl₄ as the solvent. Chemical shifts are reported in parts per million (ppm) with TMS (0 ppm) as the reference for ¹H NMR spectra and CDCl₃ (77.04 ppm) as the reference for ¹³C NMR spectra. High-resolution ESI mass were recorded on a Bruker Apex IV Fourier transformation mass spectrometer. MALDI-TOF mass spectra were recorded on an MALDI-TOF/TOF Mass Spectrometer using CHCA as the matrix. Elemental analyses were performed using a German Vario EL III elemental analyzer.

The absorption spectra were recorded on a Hitachi U-4100 spectrophotometer using 1 cm or 0.1 cm quartz cell. The emission spectra were recorded on NanoLog infrared fluorescence spectrometer. Cyclic voltammetry was performed using BASI Epsilon workstation and the measurements were carried out in chloroform containing 0.1 M n-Bu₄NPF₆ as the supporting electrolyte. Glassy carbon electrode was used as a working electrode with a platinum sheet as a counter electrode. All potentials are reported vs. Ag/AgCl as the reference electrode, and ferrocene/ferrocenium was used as an external reference. The scan speed was 100 mV s⁻¹. The potential of Fc⁺/Fc is assumed to be -4.8 eV below the vacuum level.^{1,2} The LUMO and HOMO levels were estimated from the onset potentials of the first reduction and first oxidation waves, respectively. The onset potential of oxidation peak of Fc⁺/Fc was measured to be 0.40 V against Ag/AgCl.



Scheme S1. Originally designed synthesis route of 1.

Synthetic procedures

4Br-NDI was synthesized according to the procedures reported in the literature.³



1. A Schlenk tube containing naphthalene-1,5-diamine (63 mg, 0.40 mmol), **4Br-NDI** (1.10 g, 1.20 mmol) and K₂CO₃ (221 mg, 1.60 mmol) was evacuated and back-filled with nitrogen three times. After degassed THF (30 mL) was added via syringe, the tube was sealed under nitrogen atmosphere and heated at 85 °C for 8 h. After cooling to room temperature, the brown-green reaction mixture was diluted with petroleum ether (PE, 50 mL), washed with water (50 mL) for three times and then dried over anhydrous Na₂SO₄. Upon removal of solvents under reduced pressure, the residue was purified with silica gel column chromatography (PE/CH₂Cl₂, 4/1 to 1/2, v/v) to afford compound **1** (550 mg, 61%) as dark brown solid (decomposed at 284 °C in the air). ¹H NMR (70 °C, C₂D₂Cl₄, 300 MHz, ppm): δ 13.78 (s, 2H), 7.75 (d, *J* = 8.4 Hz, 2H), 6.84 (d, *J* = 8.3 Hz, 2H), 4.05 (dd, *J* = 29.5, 5.7 Hz, 8H), 1.91 (s, br, 4H), 1.17 (s, br, 128H), 0.78 (s, br, 24H). ¹³C NMR (CDCl₃, 101 MHz, ppm): δ 164.9, 163.5, 161.3, 159.9, 145.5, 136.8, 134.2, 132.8, 130.8, 127.8, 125.2, 124.9, 122.3, 121.3, 120.2, 119.8, 113.9, 98.2, 77.4, 77.0, 76.7, 53.4, 46.8, 45.6, 36.5, 36.3, 31.9, 31.8, 31.7, 30.2, 30.1, 30.1, 29.7, 29.7, 29.7, 29.4, 29.3, 26.6, 22.7, 14.1. MALDI-TOF MS: Calcd. for C₁₁₈H₁₇₀Br₄N₆O₈ (M⁺): 2119.0; Found: 2119.4 (m/z). Elem. Anal.: Calcd. for C₁₁₈H₁₇₀Br₄N₆O₈: C, 66.84; H, 8.08; N, 3.96. Found: C, 67.12; H, 8.13; N, 3.89.



2. A Schlenk tube containing compound **1** (107 mg, 0.050 mmol) and sodium 1,1-dicyanoethylene-2,2-dithiolate (23 mg, 0.15 mmol) was evacuated and back-filled with nitrogen three times. After degassed THF (3 mL) was added, the tube was sealed under nitrogen atmosphere and heated at 80 °C for 36 h. After cooling to room temperature, the reaction mixture was diluted with CHCl₃ (40 mL), washed with water (20 mL) for three times, and then dried over anhydrous Na₂SO₄. Upon removal of solvents under reduced pressure, the residue was purified with silica gel column chromatography (PE/CH₂Cl₂, 1/1, v/v) to afford compound **2** (41 mg, 32%) as a dark solid (decomposed at 332 °C in the air). ¹H NMR (130 °C, C₂D₂Cl₄, 300 MHz, ppm): δ 13.64 (s, 2H), 7.96 (s, br, 2H), 6.94 (s, br, 2H), 4.11 (dd, J = 44.6, 7.1 Hz, 6H), 1.98 (s, br, 4H),

1.63 – 0.98 (m, 128H), 0.77 (m, 24H). ¹³C NMR (CDCl₃, 101 MHz, ppm): δ 182.2, 164.9, 163.1, 162.0, 161.5, 145.7, 142.0, 138.7, 137.0, 133.7, 131.4, 127.2, 125.6, 121.57, 120.35, 118.11, 117.08, 115.60, 114.70, 111.88, 98.47, 46.21, 45.67, 36.70, 36.5, 31.9, 31.7, 31.7, 30.3, 30.2, 29.8, 29.7, 29.4, 29.4, 26.6, 22.7, 14.1. MALDI-TOF MS: Calcd. for C₁₂₆H₁₇₀N₁₀O₈S₄ (M⁺): 2080.2; Found: 2080.1 (m/z). Elem. Anal.: Calcd. for C₁₂₆H₁₇₀N₁₀O₈S₄: C, 72.72; H, 8.23; N, 6.73. Found: C, 72.93; H, 8.38; N, 6.46.



3. Compound **1** (50 mg, 0.025 mmol) was dissolved in CHCl₃ (5 mL), and excess PbO₂ was added to the solution. The mixture was heated at 45 °C for 5 h while TLC was conducted to monitor the reaction. After cooling to room temperature, the solid residue was filtered out. The filtrate was condensed under reduced pressure, and the residue was purified with flash silica gel column chromatography (PE/CH₂Cl₂, 3/1 to 1/3, v/v) to afford compound **3** (47 mg, 95%) as purple solid (decomposed at 203 °C in the air). ¹H NMR (70 °C, C₂D₂Cl₄, 300 MHz, ppm): δ 8.64 (br, 2H), 8.45 (br, 2H), 4.25 (br, 8H), 2.07 (s, br, 4H), 1.19 (m, 128H), 0.76 (m, 24H). ¹³C NMR (CDCl₃, 101 MHz, ppm): δ 163.2, 161.3, 160.5, 159.6, 151.3, 151.2, 143.9, 142.1, 139.3, 138.0, 135.0, 133.2, 132.4, 130.4, 126.5, 125.6, 124.9, 124.0, 123.0, 121.7, 120.4, 115.5, 46.4, 36.7, 31.9, 30.2, 29.7, 29.3, 26.5, 22.7, 14.1. MALDI-TOF MS: Calcd. for C₁₁₈H₁₆₈Br₄N₆O₈: C, 66.91; H, 7.99; N, 3.97. Found: C, 66.62; H, 7.97; N, 3.89.

Reduction of 3 to 1. Compound **3** (50 mg, 0.025 mmol, 1.0 eq.) was dissolved in CHCl₃ (5 mL), and *p*-phenylenediamine (5 mg, 0.046 mmol, 1.8 eq.) was added to the solution. The purple mixture was converted to a yellow solution in a few seconds. The solvent was removed under reduced pressure and the solid was diluted with PE (20 ml). After washed with MeOH/H₂O (3/1, v/v) for several times to remove *p*-phenylenediamine and *p*-benzoquinone, the organic solution was dried over anhydrous Na₂SO₄. After removal of the solvent under reduced pressure, the compound **1** was obtained in quantitatively yield.



4. A Schlenk tube containing naphthalene-1,5-diamine (158 mg, 1.0 mmol), **4Br-NDI** (1.114 g, 1.0 mmol) and K₂CO₃ (272 mg, 2.0 mmol) was evacuated and back-filled with nitrogen three times. After degassed CHCl₃ (20 mL) was added via syringe, the tube was sealed and heated at 30 °C for 5 h. After cooling to room temperature, the green reaction mixture was diluted with PE (40 mL), washed with water (20 mL) for three times and then dried over anhydrous Na₂SO₄. Upon removal of solvents under reduced pressure, the residue was purified with silica gel column chromatography (PE/CH₂Cl₂=1/5, v/v) to afford compound **4** (830 mg, 75%) as green solid. ¹H NMR (CDCl₃, 300 MHz, ppm): δ 13.38 (s, 1H), 7.66 (d, *J* = 8.4 Hz, 1H), 7.15 (t, *J* = 7.5 Hz, 1H), 7.02 (d, *J* = 7.9 Hz, 1H), 6.76 (d, *J* = 7.0 Hz, 1H), 6.02 (d, *J* = 8.1 Hz, 1H), 4.93 (s, br, 2H), 4.09 (dd, *J* = 43.1, 6.8 Hz, 4H), 1.93 (s, br, 2H), 1.23 (s, br, 64H), 0.92-0.82 (m, 12H). ¹³C NMR (CDCl₃, 75 MHz, ppm): δ 164.7, 164.1, 162.0, 160.4, 148.1, 146.8, 133.7, 133.5, 132.9, 129.7, 128.2, 126.0, 126.0, 125.3, 124.3, 123.6, 121.5, 120.2, 115.9, 115.5, 114.8, 113.0, 111.3, 95.7, 77.5, 77.0, 76.6, 46.4, 44.9, 36.6, 36.4, 31.9, 31.5, 30.2, 30.1, 29.7, 29.7, 29.6, 29.4, 29.3, 26.4, 26.3, 22.7, 14.1. HR ESI-MS: Calcd. for C₆₄H₉₀Br₂N₄O₄ (M⁺): 1138.53084; Found: 1138.53102 (m/z).



5. A Schlenk tube containing *m*-phenylenediamine (5 mg, 0.046 mmol), **4Br-NDI** (52 mg, 0.046 mmol) and K₂CO₃ (26 mg, 0.188 mmol) was evacuated and backfilled with nitrogen for three times. After degassed THF (4 mL) was added via syringe, the tube was sealed and heated at 80 °C for 36 h. After cooling to room temperature, the purple reaction mixture was diluted with petroleum ether (40 mL), washed with water (20 mL) for three times and then dried over anhydrous Na₂SO₄. Upon removal of solvents under reduced pressure, the residue was purified with silica gel column chromatography (PE/CH₂Cl₂, 4/1 to 1/2, v/v) to afford compound **5** (20 mg, 41%) as purple solid. ¹H NMR (CDCl₃, 300 MHz, ppm): δ 10.92 (s, 1H), 9.05 (d, *J* = 7.5 Hz, 1H), 6.48 (s, 1H), 4.40 (s, 1H), 4.29 – 4.22 (m, 4H), 2.06 (s, br, 2H), 1.00-1.50 (m, 64H), 0.70-0.99 (m, 12H). ¹³C NMR (CDCl₃, 101 MHz, ppm): δ 164.4, 162.8, 161.8, 161.5,

151.1, 147.0, 144.3, 132.1, 131.8, 130.9, 129.8, 125.7, 124.2, 123.6, 122.5, 115.8, 111.4, 110.5, 101.1, 95.2, 77.4, 77.0, 76.7, 45.7, 45.2, 36.6, 36.4, 31.9, 31.9, 31.8, 31.6, 30.2, 29.7, 29.7, 29.6, 29.4, 26.5, 26.4, 22.7, 14.1. HRESI FTMS: Calcd. for $C_{60}H_{89}Br_2N_4O_4$ (M+H⁺): 1189.52301; Found: 1189.52379 (m/z).

II. Reaction Mechanisms



Scheme S2. Proposed mechanism of 5 (R = 2-octyldodecyl)

III. Absorption and Emission Spectra



Fig. S1 UV-vis-NIR spectra of **1** at varied concentrations in CHCl₃ (arrows indicate the direction of change at increased concentration).



Fig. S2 UV-vis-NIR spectra of 2 at varied concentrations in CHCl₃ (arrow indicates the direction of change at increased concentration).



Fig. S3 UV-vis-NIR spectra of 3 at varied concentrations in CHCI₃.



Fig. S4 Normalized absorption and fluorescence spectra of 1 in CHCl₃.



Fig. S5 Normalized absorption and fluorescence spectra of 2 in CHCI₃.



Fig. S6 UV-vis-NIR absorption spectrum of 4 in CHCl₃ (1.0×10^{-5} M).



Fig. S7 UV-vis absorption spectrum of 5 in CHCl₃ (1.0×10^{-5} M).

IV. Electrochemical Characterizations



Fig. S8 Oxidation waves of 1 (left) and 2 (right) in CHCl₃.

V. Thermal Properties of 2



Fig. S9 TGA (left, 5% weight loss at 349 °C) and DSC (right) traces of 2.

VI. OFET Device Fabrication and Characterizations

Top-gate/bottom-contact OFET devices were fabricated on n⁺⁺-Si/SiO2 (300 nm) substrates. The gold source and drain bottom electrodes (with Ti as the adhesion layer) were patterned by photolithography on the SiO₂ surface. The substrates were subjected to cleaning using ultrasonication in acetone, cleaning agent, deionized water (twice), and isopropanol. The cleaned substrates were dried under vacuum at 80 °C for 2 h. The substrates were transferred into a glove box. A thin film of semiconductive compound was deposited on the treated substrate by spin-coating a solution (10 mg/mL) in trichloroethylene at 1800 rpm for 60 s and annealed optionally, followed by thermal annealing at 100 °C, 120 °C, 150 °C, 180 °C, 200 °C, 220 °C, and 250 °C for 5 min. After the thin film deposition, a CYTOP solution (CTL809M:CT-solv180 = 3:1) was spin-coated onto the semiconducting layer at 2000 rpm for 60 s resulting in a dielectric layer of 500 nm thick. The CYTOP layer was then baked at 100 °C for 1 h. Gate electrodes comprising a layer of Al (50 nm) were then evaporated through a shadow mask onto the dielectric layer by thermal evaporation. The OFET devices had a channel length (*L*) of 5 µm and channel width (*W*) of 100 µm.

The characterizations of the OFETs were carried out in atmosphere (humidity 50-60 %) on a probe stage using a Keithley 4200 SCS as parameter analyzer. The carrier mobility, μ , was calculated from the data in the saturated regime according to the equation $I_{SD} = (W/2L)C_i\mu(V_G-V_T)^2$, where I_{SD} is the drain current in the saturated regime. W and L are the semiconductor channel width and length, respectively. C_i (= 3.7 nF) is the capacitance per unit area of the gate dielectric layer. V_G and V_T are the gate voltage and threshold voltage, respectively. V_G-V_T of the device was determined from the relationship between the square root of I_{SD} and V_G in the saturated regime.



Fig. S10 Average electron mobility of 2 at various annealing temperatures.



Fig. S11. (a) Transfer (V_{DS} = 100 V) and (b) output profiles of 1 (annealed at 160 °C) in OFET.



Fig. S12 Current leakage of 2 (annealed at 220 °C) in OFET ($\mu_e = 0.96 \text{ cm}^2 \cdot \text{V}^{-1} \cdot \text{s}^{-1}$).

VII. DFT and TD-DFT Calculations

The geometry the molecules was optimized with Density Functional Theory (DFT) using B3LYP hybrid functional⁴ with a basis set limited to 6-31g (d, p). Molecular orbital shapes and energies were obtained at optimized geometries. TD-DFT calculations were performed at optimized geometries with theory level at B3LYP/6-31g (d, p). Quantum-chemical calculations were performed with the Gaussian03 package⁵ and the orbital pictures were prepared using Gaussview⁶. All long alkyl substituents were replaced with methyl groups in the calculations.



Fig. S13 DFT calculated frontier orbitals (HOMO and LUMO) and energy levels of 3-5 using







Fig. S14 TD-DFT calculation results of 1-4 (arrows indicate the direction of transition dipole moment).

VIII. References

- 1. J. Pommerehne, H. Vestweber, W. Guss, R. F. Mahrt, H. Bassler, M. Porsch and J. Daub, *Adv. Mater.* 1995, **7**, 551.
- 2. Q. Sun, H. Wang, C. Yang and Y. Li, J. Mater. Chem. 2003, 13, 800.
- (a) X. Gao, W. Qiu, X. Yang, Y. Liu, Y. Wang, H. Zhang, T. Qi, Y. Liu, K. Lu, C. Du, Z. Shuai, G. Yu and D. Zhu, *Org. Lett.* 2007, 9, 3917; (b) C. Röger and F. Würthner, *J. Org. Chem.* 2007, 72, 8070; (c) M. Sasikumar, Y. V. Suseela and T. Govindaraju, *Asian J. Org. Chem.* 2013, 2, 779.
- (a) A. D. Becke, *Phys. Rev. A* 1988, **38**, 3098; (b) C. Lee, W. Yang and G. G. Parr, *Phys. Rev. B* 1988, **37**, 785.
- M. J. Frisch, G. W. Trucks, H. B. Schlegel, G. E. Scuseria, M. A. Robb, J. R. Cheeseman, J. A. Jr. Montgomery, T. Vreven, K. N. Kudin, J. C. Burant, J. M. Millam, S. S. Iyengar, J. Tomasi, V. Barone, B. Mennucci, M. Cossi, G. Scalmani, N. Rega, G. A. Petersson, H. Nakatsuji, M. Hada, M. Ehara, K. Toyota, R. Fukuda, J. Hasegawa, M. Ishida, T. Nakajima, Y. Honda, O. Kitao, H. Nakai, M. Klene, X. Li, J. E. Knox, H. P. Hratchian, J. B. Cross, C. Adamo, J. Jaramillo, R. Gomperts, R. E. Stratmann, O. Yazyev, A. J. Austin, R. Cammi, C. Pomelli, J. W. Ochterski, P. Y. Ayala, K. Morokuma, G. A. Voth, P. Salvador, J. J. Dannenberg, V. G. Zakrzewski, S. Dapprich, A. D. Daniels, M. C. Strain, O. Farkas, D. K. Malick, A. D. Rabuck, K. Raghavachari, J. B. Foresman, J. V. Ortiz, Q. Cui, A. G. Baboul, S. Clifford, J. Cioslowski, B. B. Stefanov, G. Liu, A. Liashenko, P. Piskorz, I. Komaromi, R. L. Martin, D. J. Fox, T. Keith, M. A. Al-Laham, C. Y. Peng, A. Nanayakkara, M. Challacombe, P. M. W. Gill, B. Johnson, W. Chen, M. W. Wong, C. Gonzalez and J. A. Pople, *Gaussian 03*, Revision C.02; Gaussian Inc.: Wallingford CT, 2004.
- R. II Dennington, T. Keith, J. Millam, K. Eppinnett, W. L. Hovell and R. Gilliland, *GaussView*, Version 3.09; Semichem, Inc.: Shawnee Mission, KS, 2003.

IX. Copies of ¹H and ¹³C NMR Spectra









