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Supporting Information

for

Functionalized Graphene Quantum Dots as Novel Cathode Interlayer of Polymer Solar Cells

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Characterization: High-resolution transmission electron microscopy (HR-TEM) images were recorded on a Philips-FEI Tecnai F20 microscopy (Philips, The Netherlands) at an accelerating voltage of 200 kV. The samples for TEM measurements were made by depositing the GOD-TMA from an aqueous solution with a concentration of 0.1 mg/ml onto carbon-coated copper grids (300 meshes), followed by drying in vacuum for 7 h at 70 °C. AFM characterization was performed on a SPA300HV with a SPI3800N controller (Seiko Instruments, Inc., Japan) in tapping mode. A silicon micro cantilever (spring constant 40 N/m and resonance frequency ca. 300 kHz, Olympus Co., Japan) with an etched conical tip was used for the scan. The sample for AFM measurement was prepared by spin-coating the GQD-TMA aqueous solution (0.05 mg/mL) onto a mica substrate at 2000 rpm for 1 min. Thermal gravimetric analysis (TGA) was performed under a N₂ flow with a Perkin-Elmer-TGA 7 system. The temperature of degradation (T_d) corresponded to a 5% weight loss. UV-Visible absorption spectrum of GQD-TMA aqueous solution was carried out with a Shimadzu UV3600 spectrometer. The XPS and UPS measurements were performed on Thermo ESCALAB 250 using monochromatized Al K α (hv = 1486.8 eV for XPS) excitation and He-I (21.2 eV for UPS) discharge lamp. For UPS measurement, a sample bias of -12 V was used in order to separate the sample and the secondary edge for the analyzer.



Fig. S1. UV-Vis absorption spectrum of GQD-TMA in aqueous solution.



Fig. S2. TGA curve of GQD-TMA.



Fig. S3. *J-V* plots of the devices with the configuration of ITO/material/Al for conductivity measurement. According to the *J-V* plots, the conductivities of GQD-TMA, GQD-TEA and GQD-TBA are estimated to be 6×10^{-8} S cm⁻¹, 2×10^{-8} S cm⁻¹ and 8×10^{-9} S cm⁻¹, respectively.



Fig. S4. The *J-V* plots in dark of the devices with/without GQD-TMA CIL based on PCDTBT:PC₇₁BM active layer.



Fig. S5. EQE curves of the PSC devices with/without GQD-TMA as CIL and Ag or Au as cathode.



Fig. S6. EQE curves of the PSC devices based on PTB7-Th:PC₇₁BM active layer with Al cathode with/without GQD-TMA as CIL.



Fig. S7. EQE curves of the PSC devices based on PCDTBT:PC₇₁BM active layer with Al as cathode and GQD-TMA, GQD-TEA or GQD-TBA as CIL.



Fig. S8. The height profiles of the active layer film from PCDTBT:PC₇₁BM on PEDOT:PSS with/without GQD-TMA (the thickness of the GQD-TMA is about 6 nm).

cathode CIL Voc Jsc FF PCE R_S (V) (mA/cm^2) (%) (%) (Ωcm^2) MeOH 0.88 10.10 5.81 7.07 65.40 Al **GQD-TMA** 0.91 10.84 71.11 7.01 6.11 12.55 MeOH 0.87 9.68 61.45 5.18 Ag **GQD-TMA** 0.90 10.60 65.61 6.26 7.78

8.83

9.42

59.81

64.52

4.22

5.41

0.80

0.89

16.42

9.41

MeOH

GQD-TMA

Au

Table S1. Characteristics of the PSC devices with/without GQD-TMA as CIL and Al, Ag or Au as cathode. The R_S values are estimated from the *J-V* plots under AM1.5G illumination.

Table S2. Characteristics of the PSC devices with Al as cathode and GQD-TMA, GQD-TEA, GQD-TBA as CIL. The R_s values are estimated from the *J-V* plots under AM1.5G illumination.

CIL	cathode	Voc	Jsc	FF	PCE	R _S
		(V)	(mA/cm^2)	(%)	(%)	(Ωcm^2)
GQD-TMA	Al	0.91	10.84	71.11	7.01	6.11
GQD-TEA	Al	0.90	10.69	70.92	6.82	6.95
GQD-TBA	Al	0.88	10.51	70.55	6.53	7.82