

Supporting Information

Efficient solvent-free synthesis of quaternary α -hydroxy α -trifluoromethyldiazenes: Key step of a nucleophilic formylation strategy

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1. General Information.

Spectra were recorded at [^1H NMR (300 or 500 MHz); ^{13}C NMR (75.5 MHz); ^{19}F NMR (470.6 MHz)] with the solvent peak used as the internal reference (7.26 and 77.0 ppm for ^1H and ^{13}C respectively). Column chromatography was performed on silica gel (Merck Kieselgel 60). Analytical TLC was performed on aluminum backed plates (1.5 × 5 cm) pre-coated (0.25 mm) with silica gel (Merck, Silica Gel 60 F254). Compounds were visualized by exposure to UV light or by dipping the plates in solutions of KMnO_4 , vanillin or phosphomolibdic acid stains followed by heating. Melting points were recorded in a metal block and are uncorrected. Unless otherwise noted, analytical grade solvents and commercially available reagents were used without further purification. Formaldehyde hydrazones **2-4**¹ and not commercially available trifluoromethylketones **1**² were synthesized according to literature procedures.

¹ J.-S. M. Lehn, S. Javed, D. M. Hoffman, *Inorg. Chem.* 2007, 46, 993.

² Trifluoromethyl ketones **1** were prepared by Grignard reagent addition to 2,2,2-ethyltrifluoroacetate: a) For characterization of trifluoromethyl ketone **1g** see K. Fuchibe, H. Jyono, M. Fujiwara, T. Kudo, M. Yokota, and J. Ichiwaka, *Chem. Eur. J.* 2011, **17**, 12175. For characterization of trifluoromethyl ketone **1i** see E. Massolo, M. Benaglia, M. Orlandini, S. Rossi, and G. Celentano, *Chem. Eur. J.* 2015, **21**, 3589.

2. Optimization of the reaction conditions.

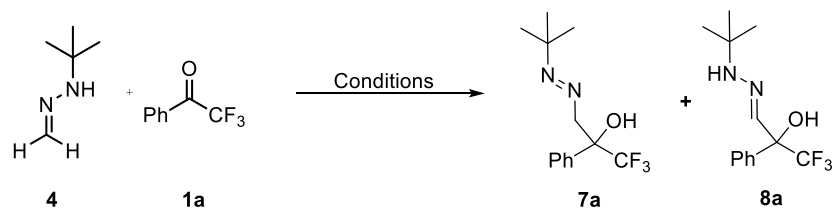


Table 1. Results obtained.

| Method | Entry | Solvent | t | 7a:8a | Yield (%) | <i>E</i> -factor | <i>E</i> -factor (global process) |
|----------|-------|---------------------------------|--------|--------------|-----------|------------------|-----------------------------------|
| A | 1 | CH ₂ Cl ₂ | 9 h | 10:0 | 83 | 12.06 | 2193 |
| | 2 | CHCl ₃ | 7 h | 8:2 | 70 | 16.29 | 2602 |
| | 3 | <i>n</i> -hexane | 31 h | 10:0 | 80 | 6.48 | 2269 |
| | 4 | toluene | 31 h | 10:0 | 81 | 8.26 | 2243 |
| | 5 | Et ₂ O | 31 h | 10:0 | 74 | 7.62 | 2454 |
| | 6 | CH ₃ CN | 6 h | 10:0 | 90 | 6.68 | 2018 |
| B | 7 | H ₂ O | 45 min | 10:0 | 90 | 1.31 | 43 |
| C | 8 | -- | 20 min | 10:0 | >99 | 0.18 | 0,18 |

Method A

Freshly distilled formaldehyde *tert*-butylhydrazone **4** (79 μ L, 0.75 mmol) was added to a solution of 2,2,2-trifluoro-1-phenylethan-1-one (**1a**) (70 μ L, 0.5 mmol) in the corresponding organic solvent (1 mL) at room temperature. The mixture was stirred for the time specified in each solvent (**Table 1**, tlc monitoring). After completion of the reaction, the solvent was removed under reduced pressure and the crude was purified by flash chromatography (hexane/CH₂Cl₂, 1/1) to afford the pure diazene **7a**.

Method B

Freshly distilled formaldehyde *tert*-butylhydrazone **4** (79 μ L, 0.75 mmol) was added to a emulsion of 2,2,2-trifluoro-1-phenylethan-1-one (**1a**) (70 μ L, 0.5 mmol) in water (1 mL) at room temperature. The mixture was stirred for 45 minutes (tlc monitoring). The organic phase was extracted with Et₂O (2x3 mL) and the combined organic layers were dried over anhydrous MgSO₄, filtered and the solvent removed under reduced pressure to afford the pure diazene **7a** (123 mg, 90%).

Method C

Freshly distilled formaldehyde *tert*-butylhydrazone **4** (79 μ L, 0.75 mmol) was added to 2,2,2-trifluoro-1-phenylethan-1-one (**1a**) (70 μ L, 0.5 mmol) at room temperature. The mixture was stirred for 20 minutes (tlc monitoring) and the hydrazone excess was removed under reduced pressure to afford the pure diazene **7a** (135 mg, 99%).

3. E-factor calculation.

The E-factor is the measure of the amount of a waste generated while making a product. The simple ratio of units of waste divided by units of product tells us that the lower the E-factor, the less waste is produced. In this case, for the model reaction *E-factor* was calculated as follow:

$$E_{factor} = \frac{\text{Amount of waste}}{\text{Amount of final product}} = \frac{\text{Amount of all reactants} - \text{Amount of final product}}{\text{Amount of final product}} =$$

$$= \frac{(\text{Amount of hydrazone } \mathbf{4} + \text{Amount of ketone } \mathbf{1a} + \text{Amount of solvent}) - \text{Amount of diazene } \mathbf{7a}}{\text{Amount of diazene } \mathbf{7a}}$$

| Entry | Hydrazone 1a (mg) | Ketone 3a (mg) | 1a/3a ratio | Solvent (mL) | Density solvent (mg/mL) | Solvent (mg) | Diazene 4a | <i>E-factor</i> | Solvent elaboration (mg) ^a | <i>E-factor</i> (global process) |
|---|--------------------------|-----------------------|--------------------|--------------|-------------------------|--------------|-------------------|-----------------|---------------------------------------|----------------------------------|
| 1 (CH ₂ Cl ₂) | 75 | 87 | 1.5/1 | 1 | 1,33E+03 | 1,33E+03 | 114 | 12,08 | 2,48E+05 | 2193 |
| 2 (CHCl ₃) | 75 | 87 | 1.5/1 | 1 | 1,50E+03 | 1,50E+03 | 96 | 16,31 | 2,48E+05 | 2602 |
| 3 (<i>n</i> -hexane) | 75 | 87 | 1.5/1 | 1 | 6,59E+02 | 6,59E+02 | 110 | 6,49 | 2,48E+05 | 2269 |
| 4 (toluene) | 75 | 87 | 1.5/1 | 1 | 8,67E+02 | 8,67E+02 | 111 | 8,27 | 2,48E+05 | 2243 |
| 5 (Et ₂ O) | 75 | 87 | 1.5/1 | 1 | 7,13E+02 | 7,13E+02 | 101 | 7,63 | 2,48E+05 | 2454 |
| 6 (CH ₃ CN) | 75 | 87 | 1.5/1 | 1 | 7,86E+02 | 7,86E+02 | 123 | 6,69 | 2,48E+05 | 2018 |
| 7 (H ₂ O) | 75 | 87 | 1.5/1 | 1 | 9,98E+02 | 9,98E+02 | 123 | 8,41 | 2,14E+04 | 43 |
| 8 (no solvent) | 75 | 87 | 1.5/1 | - | - | - | 137 | 0,18 | - | 0,18 |
| 9 (no solvent) | 75 | 87 | 1.5/1 | - | - | - | 137 | 0,18 | - | 0,18 |
| 10 (no solvent) | 601 | 1,04E+03 | 1/1 | - | - | - | 1,64E+03 | 0,0004 | - | 0,0004 |

^a*E-factor* (global process) was calculated considering the volume of solvent spent during the purification or extraction process. *Organic solvents (1-6)*: Chromatography column was performed employing 250 mL of a mixture hexane/CH₂Cl₂ 1/1. *Water (7)*: Extraction requires 6 mL of Et₂O.

Molecular weights:

- Formaldehyde *tert*-butylhydrazone (**4**): 100.17 g/mol
- Trifluoromethyl ketone **1a**: 174.12 g/mol
- Diazene **7a**: 274.29 g/mol

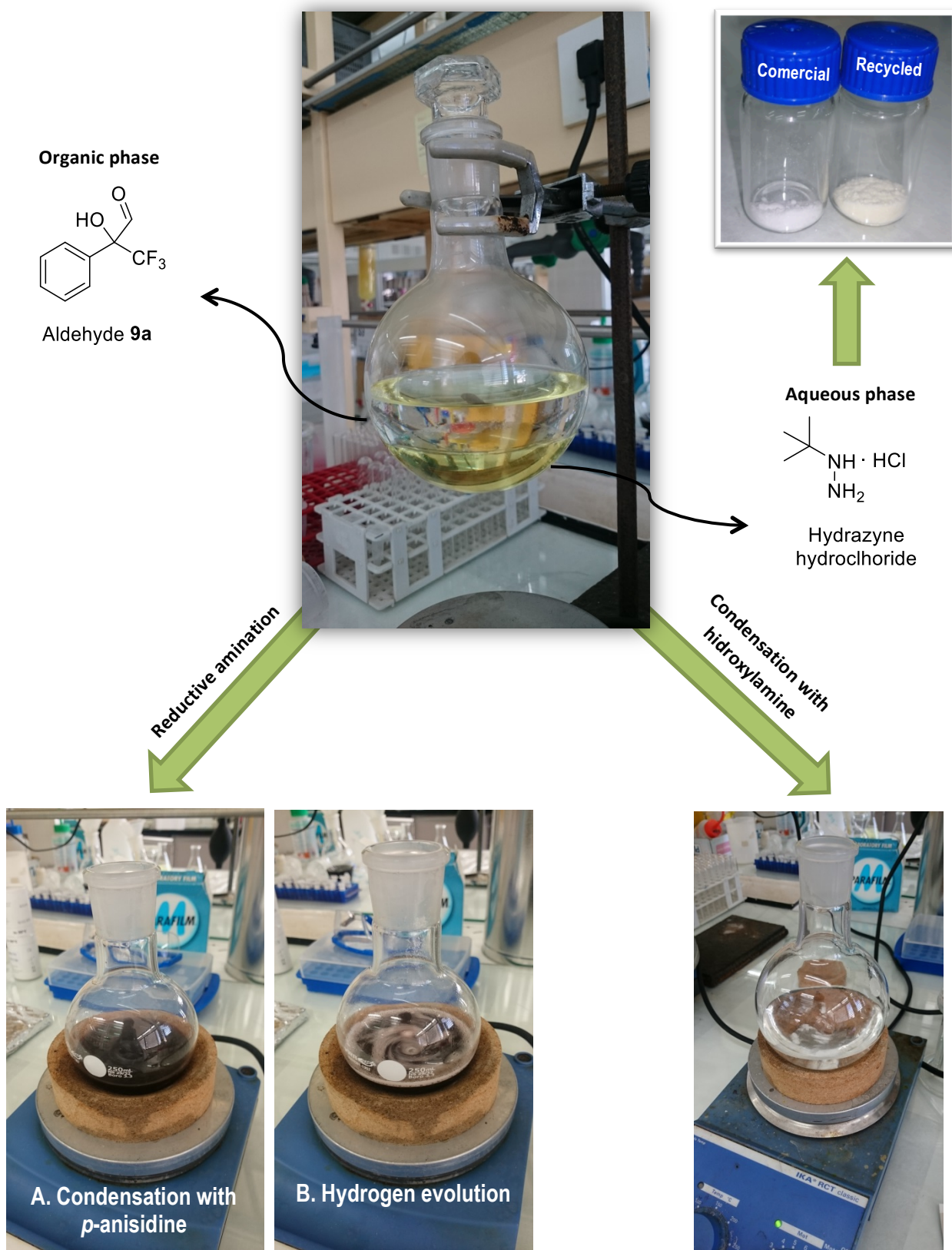
4. Scaling-up of the model reaction.

In this section some pictures of the scaling-up are shown. Hydrolysis, reductive amination and condensation with hydroxylamine are also included.

*Addition of formaldehyde tert-butylhydrazone **4** to trifluoromethylketone **1a***

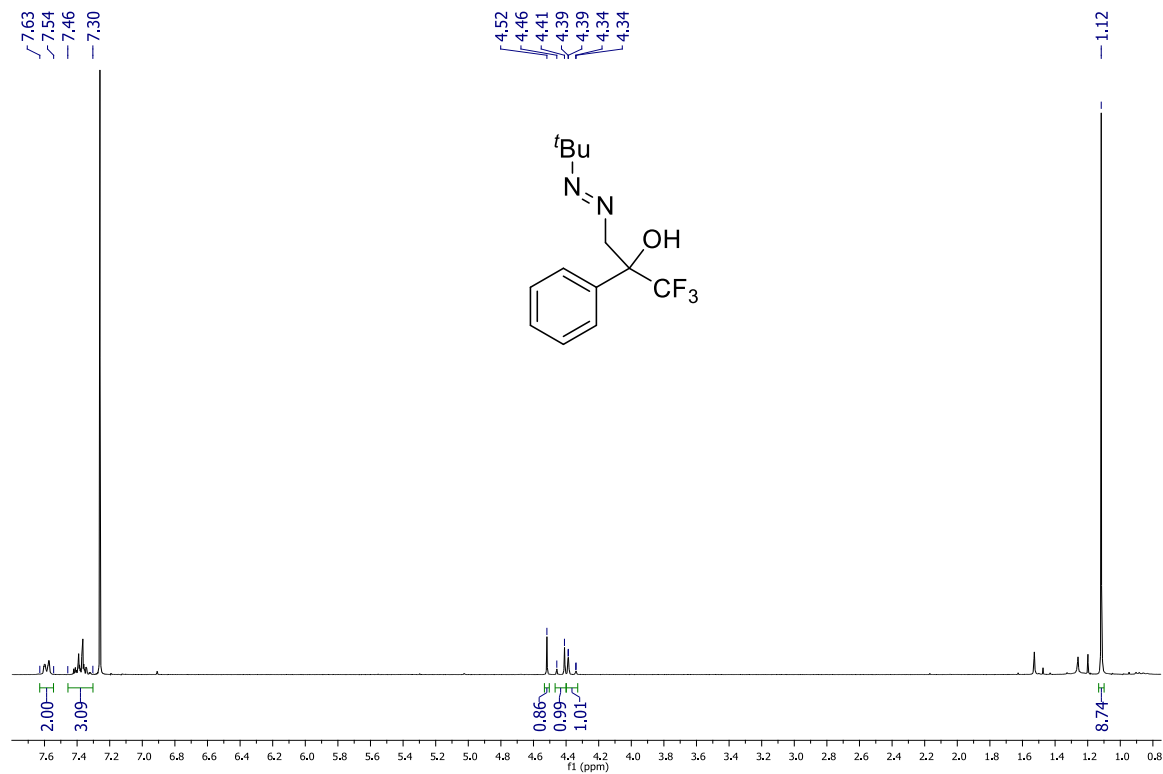


Hydrolysis of diazene **7a** to afford α -hydroxyaldehyde **9a** in one-pot fashion
and some of its transformations

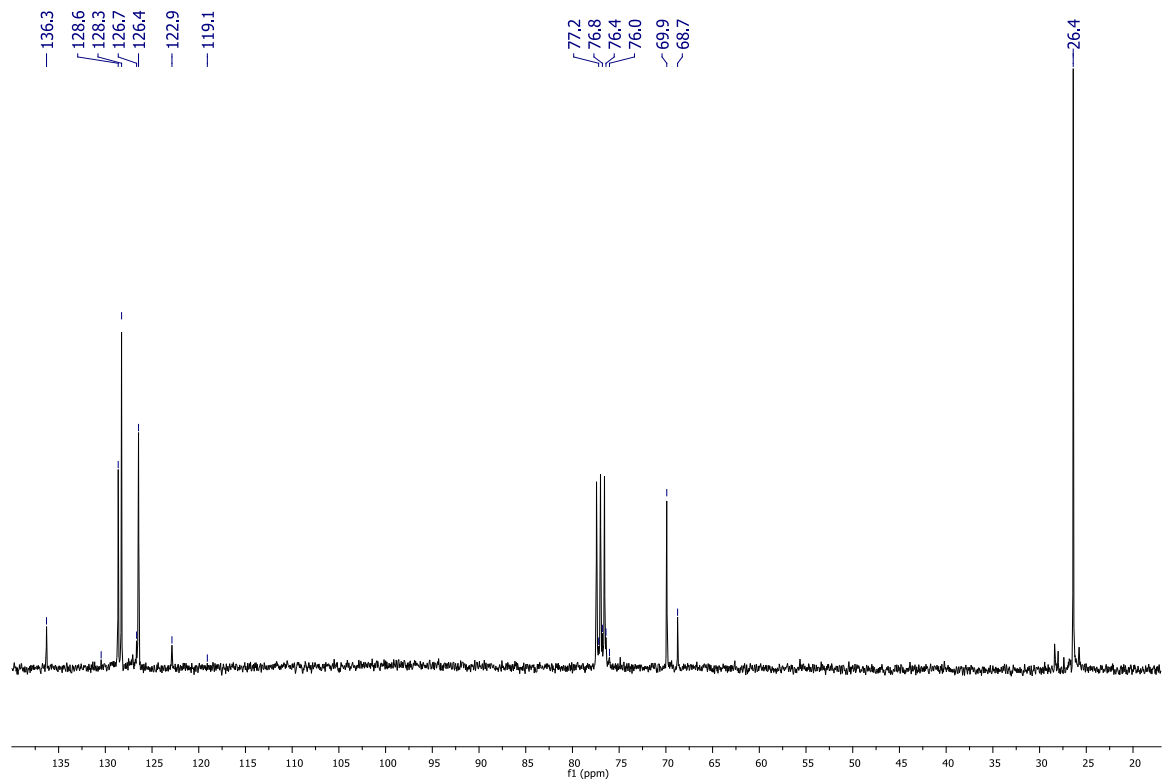


5. NMR spectra for new compounds

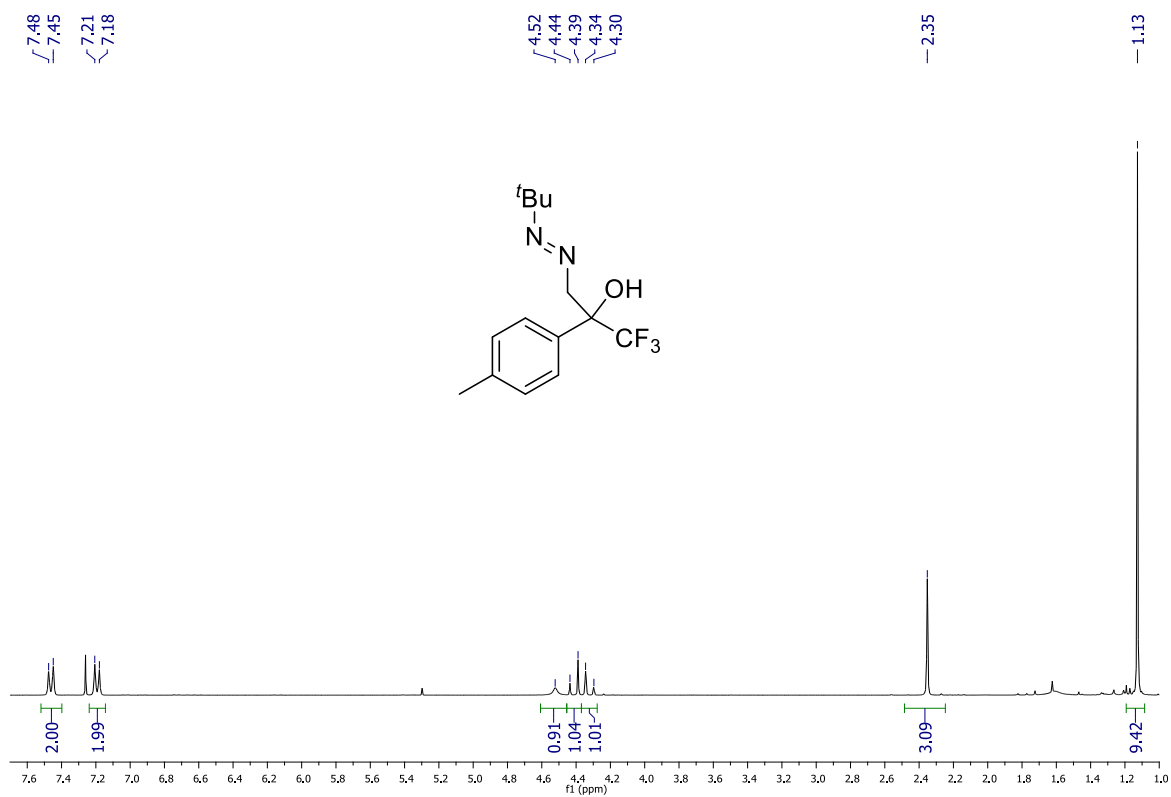
^1H NMR (CDCl_3 , 300 MHz) of **7a**



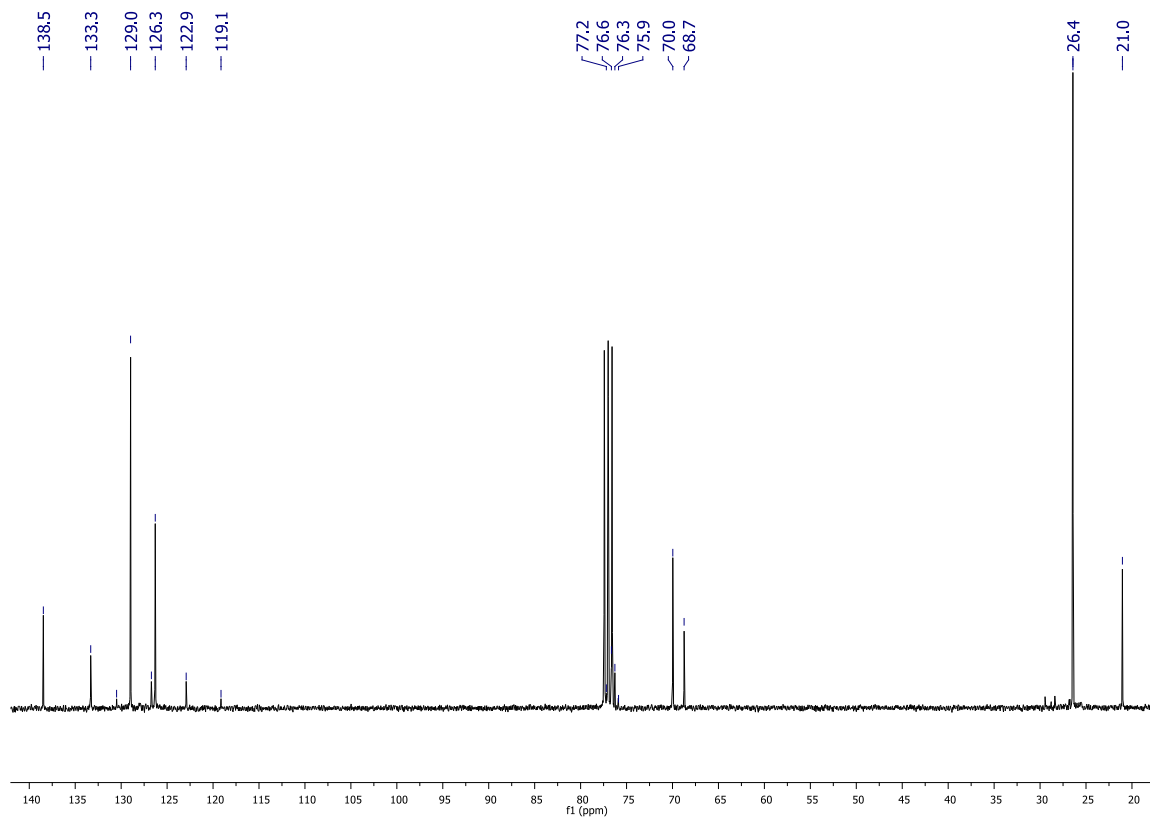
^{13}C NMR (CDCl_3 , 75.5 MHz) of **7a**



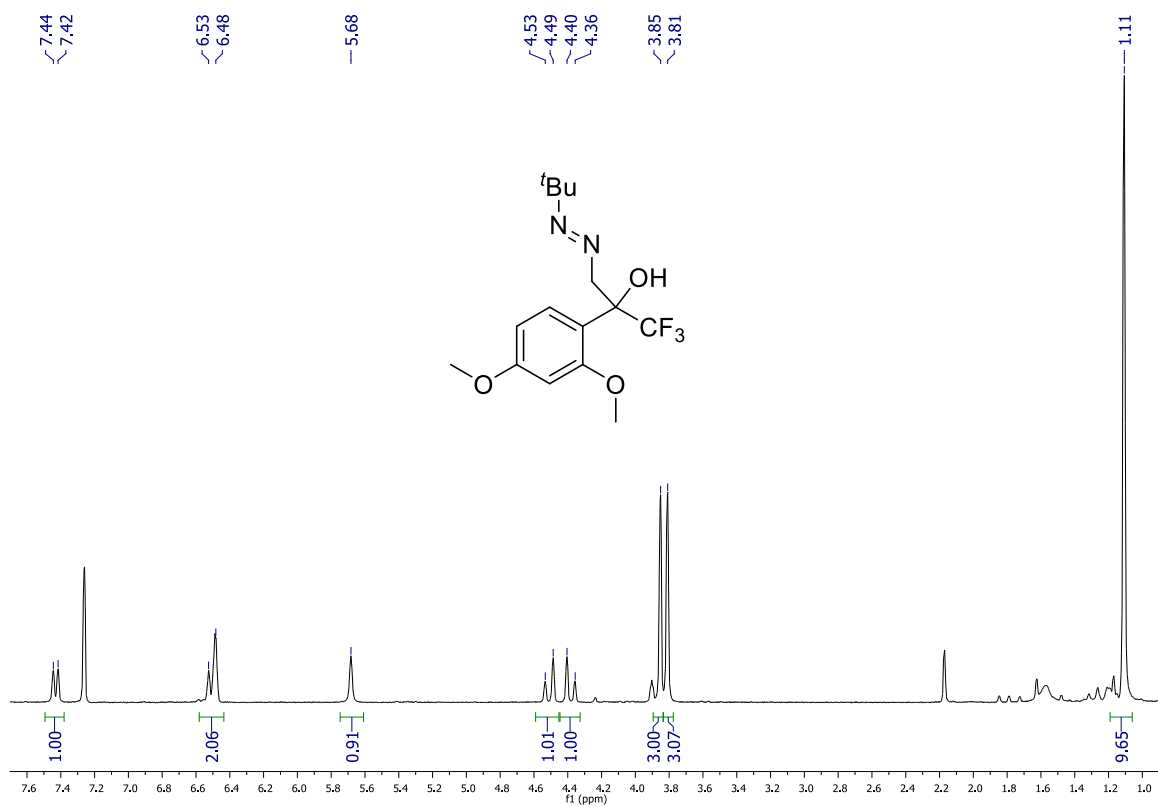
^1H NMR (CDCl_3 , 300 MHz) of **7b**



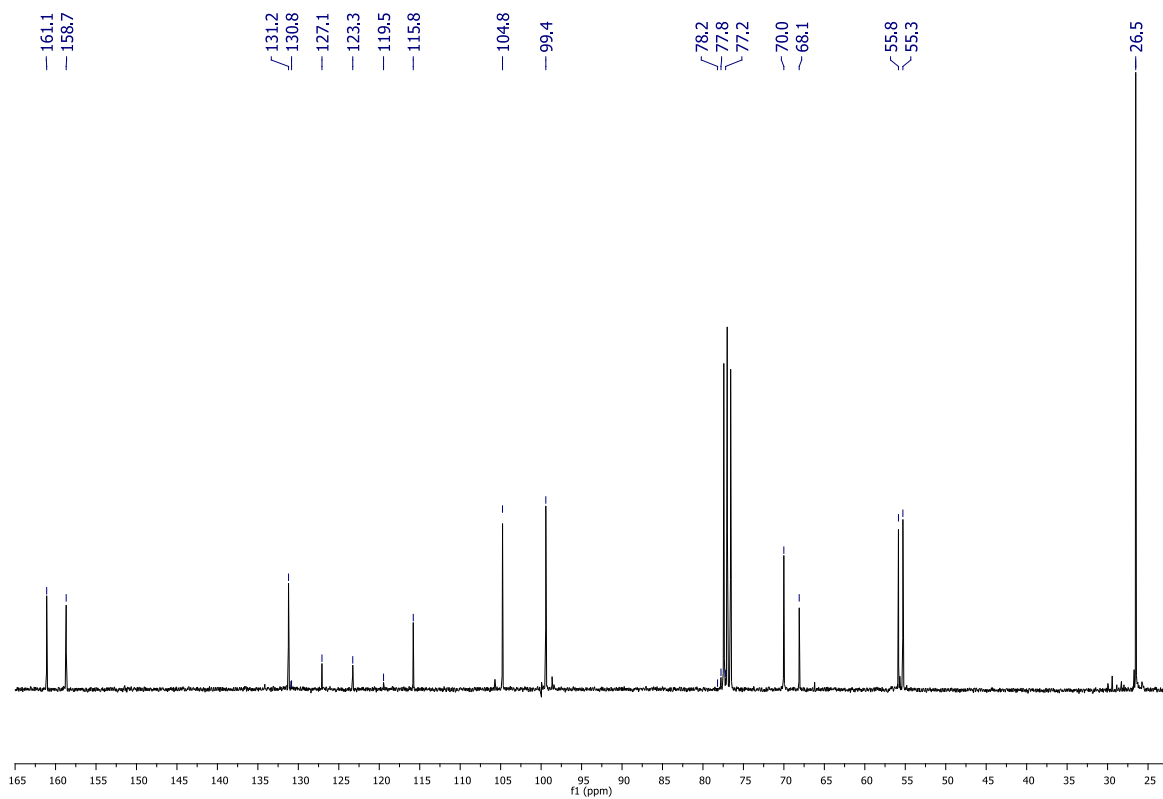
^{13}C NMR (CDCl_3 , 75.5 MHz) of **7b**



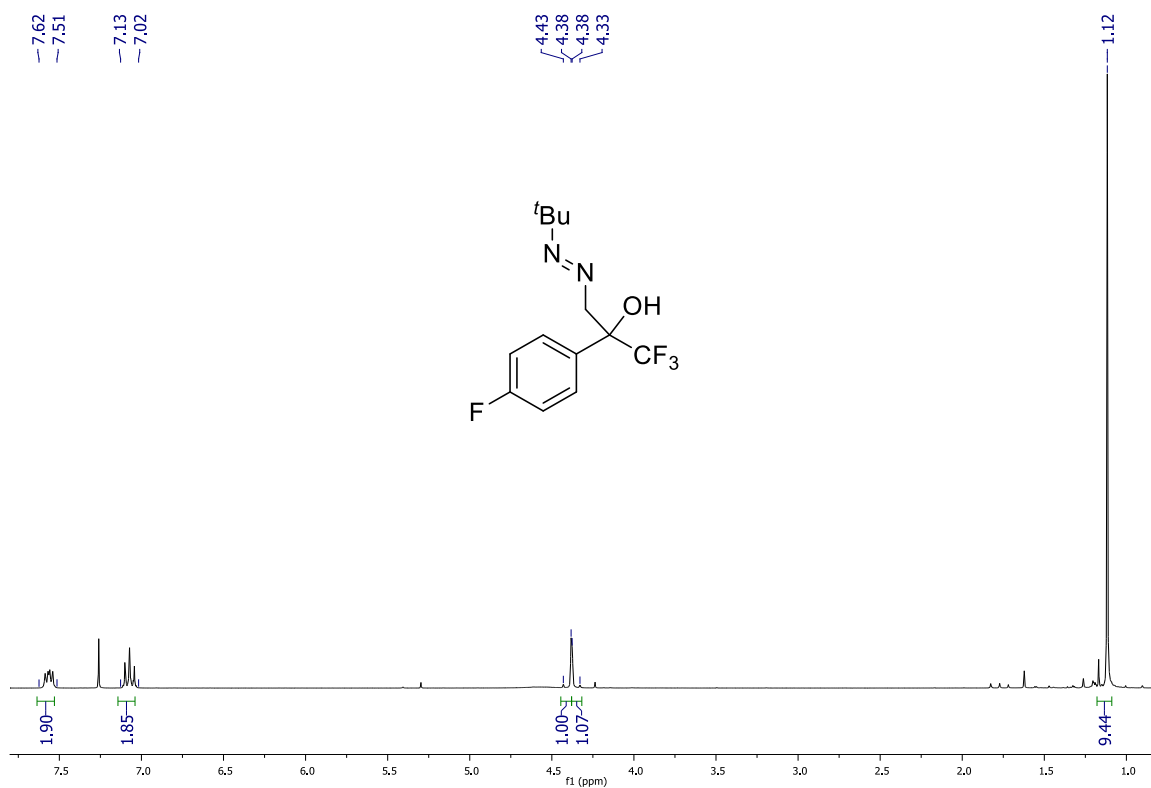
^1H NMR (CDCl_3 , 300 MHz) of **7c**



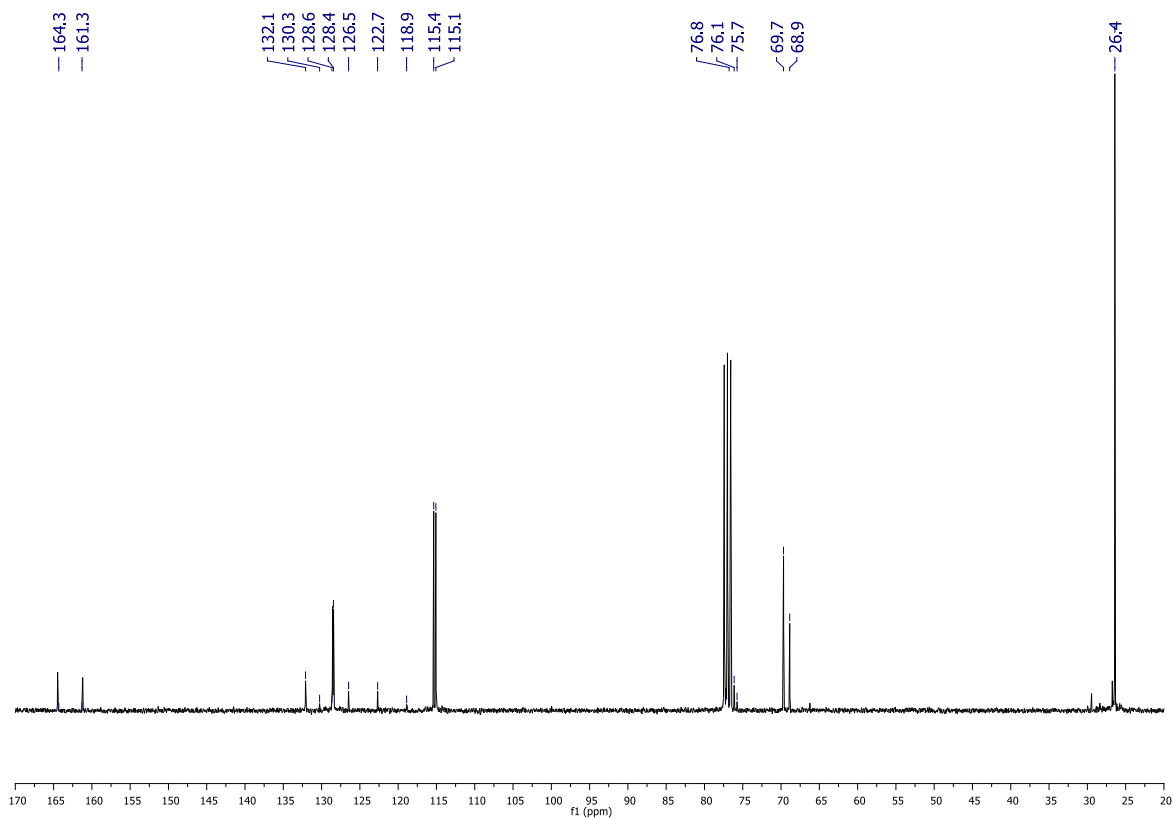
^{13}C NMR (CDCl_3 , 75.5 MHz) of **7c**



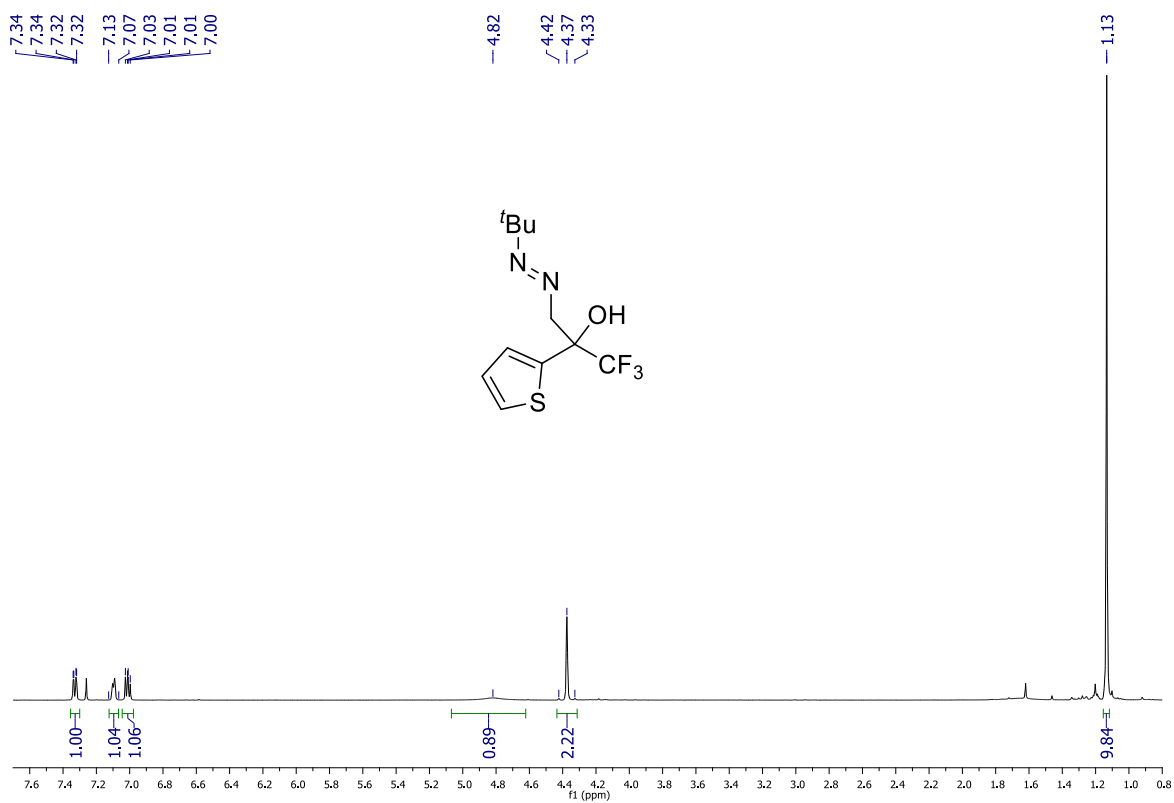
^1H NMR (CDCl_3 , 300 MHz) of **7d**



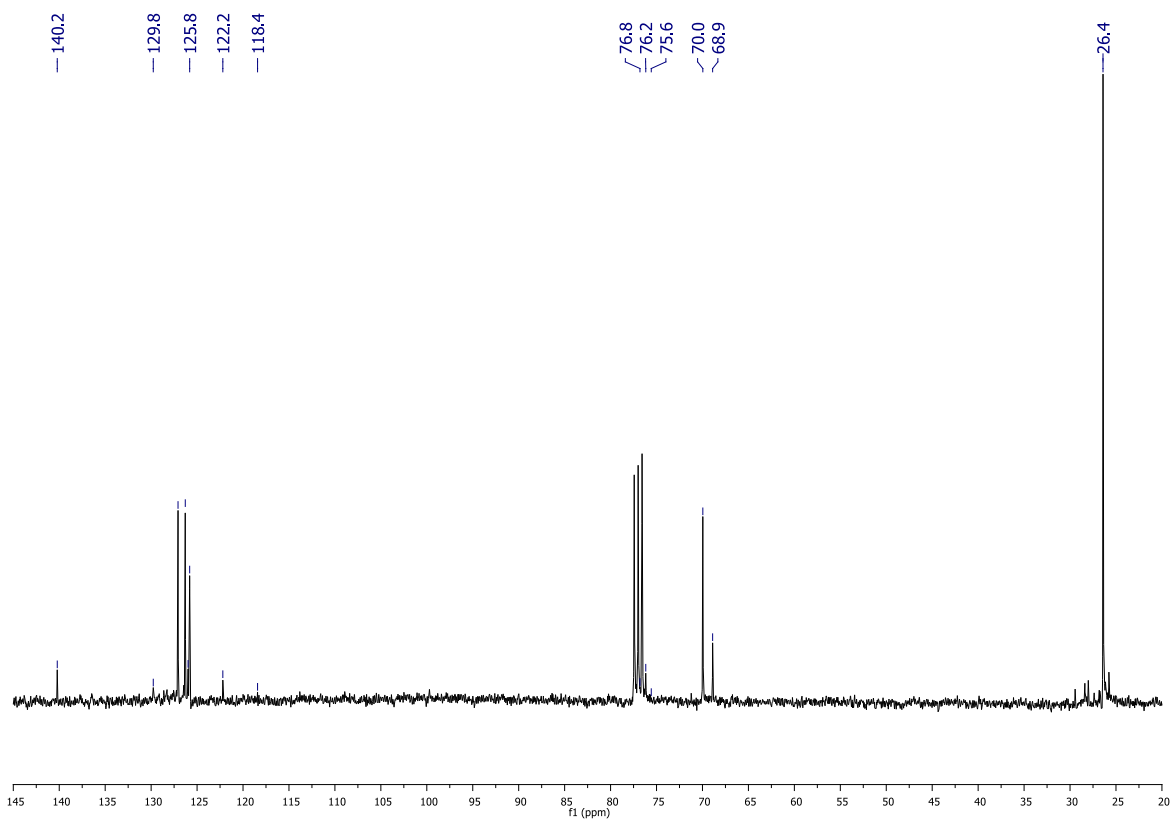
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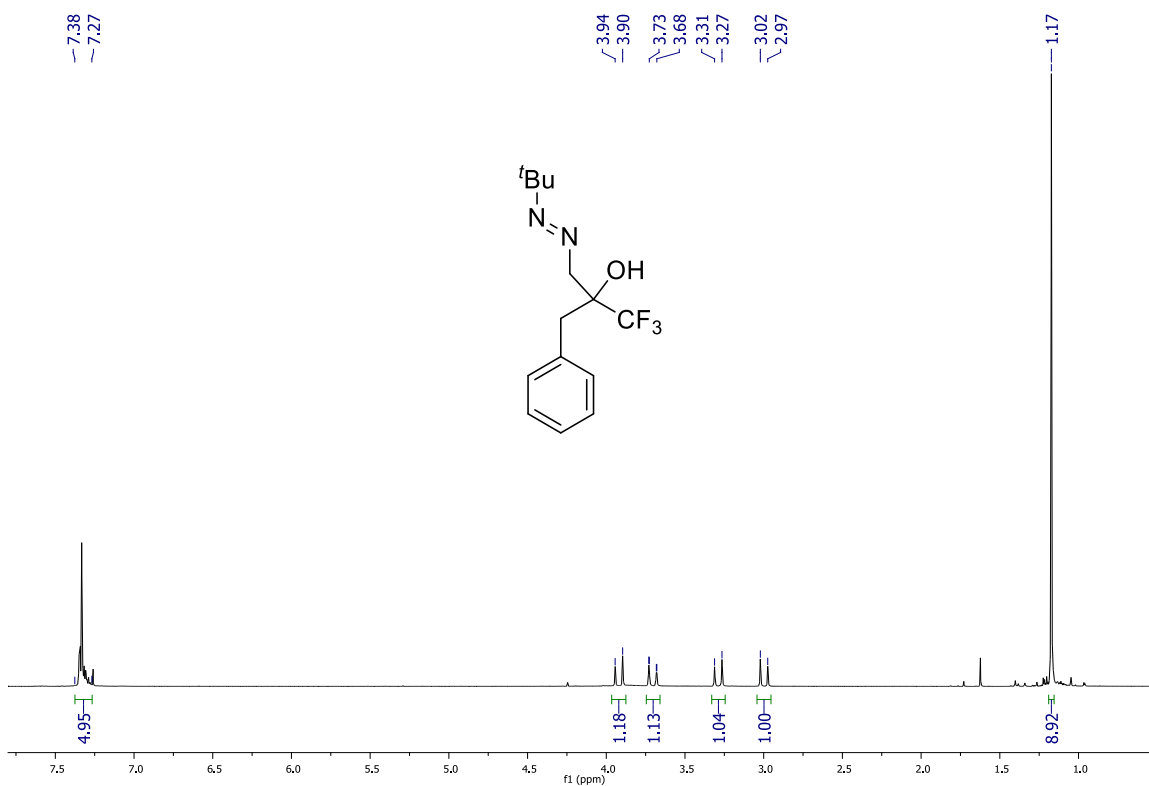
^1H NMR (CDCl_3 , 300 MHz) of **7e**



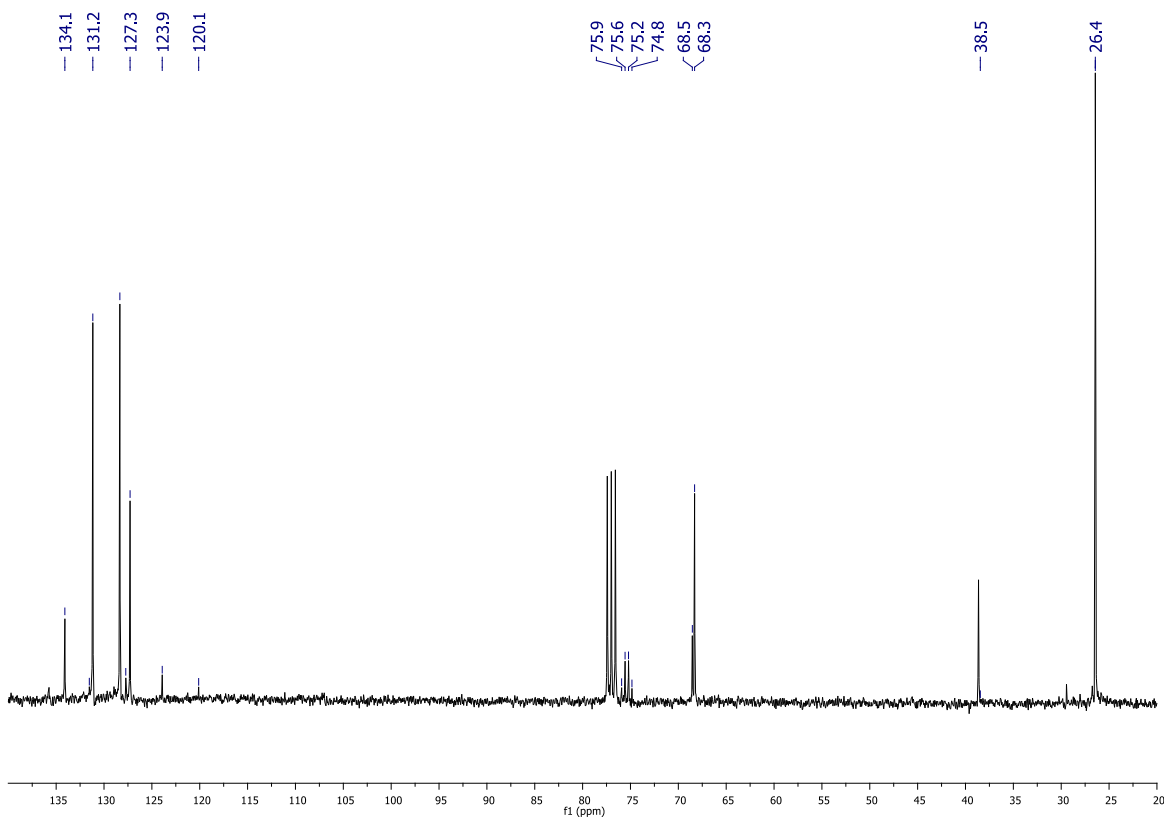
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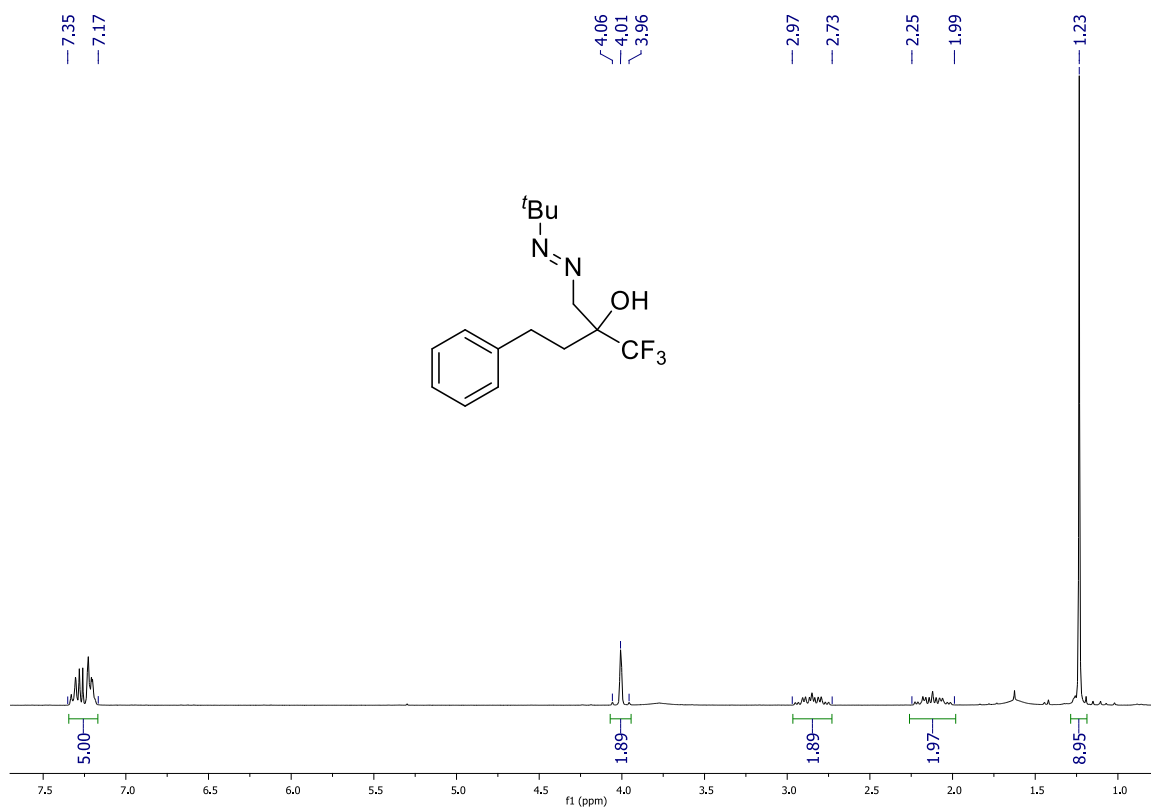
^1H NMR (CDCl_3 , 300 MHz) of **7f**



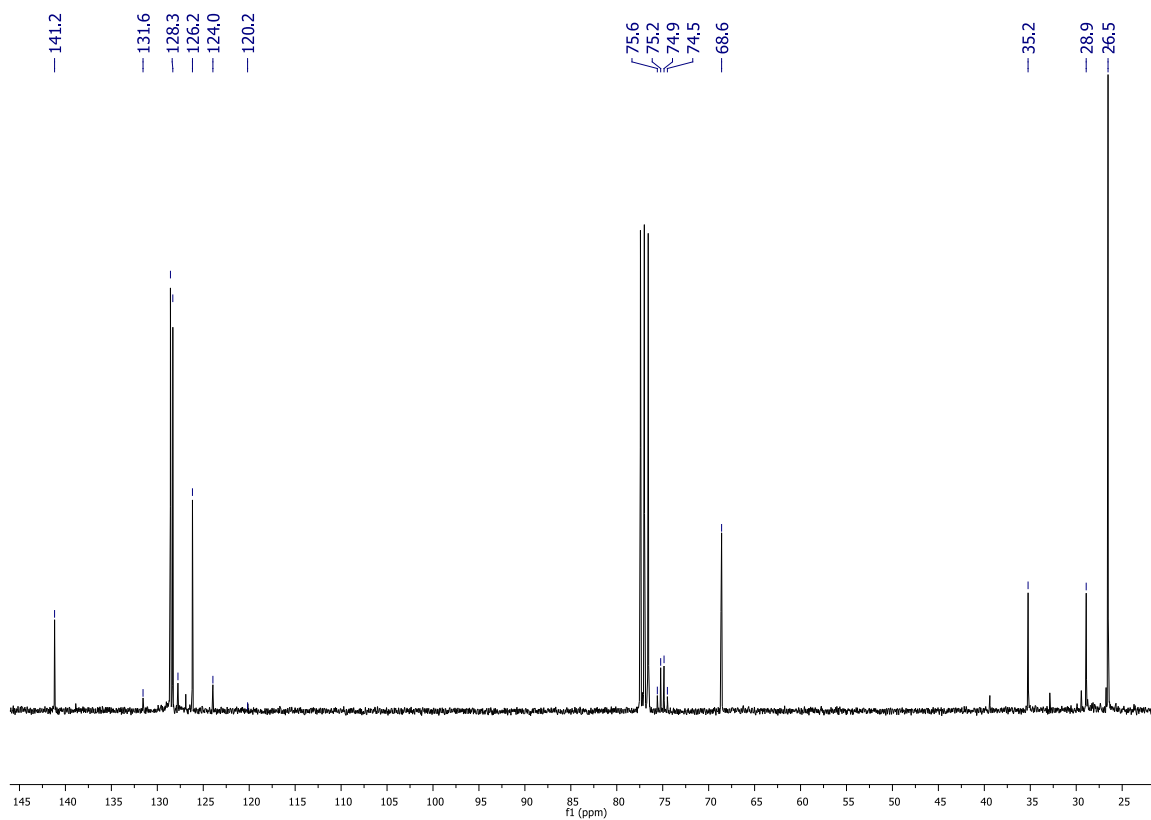
^{13}C NMR (CDCl_3 , 75.5 MHz) of **7f**



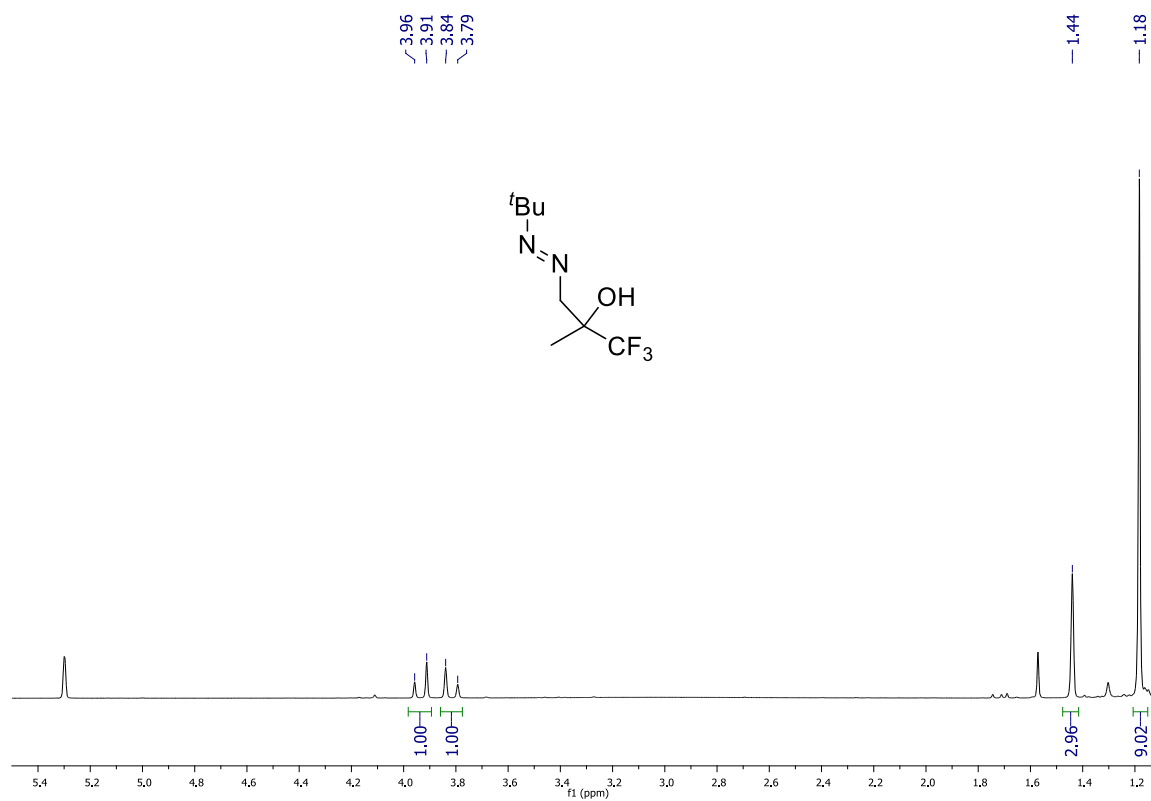
^1H NMR (CDCl_3 , 300 MHz) of **7g**



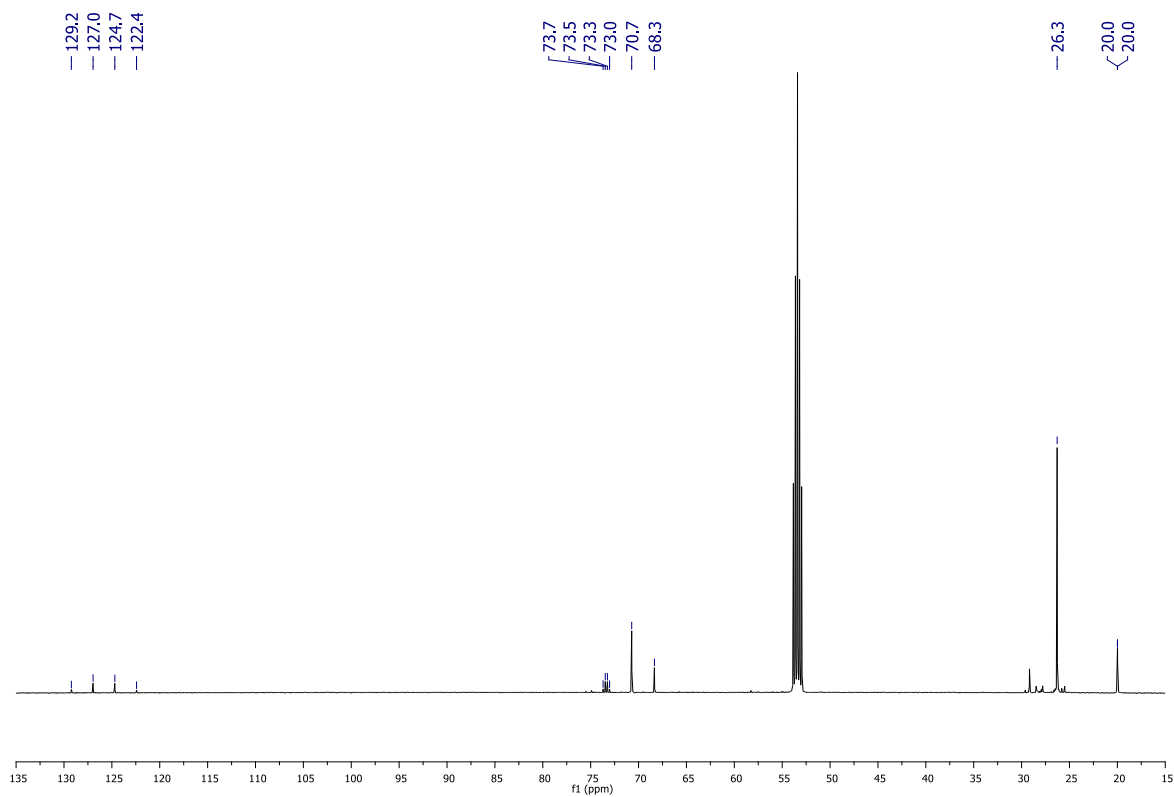
^{13}C NMR (CDCl_3 , 75.5 MHz) of **7g**



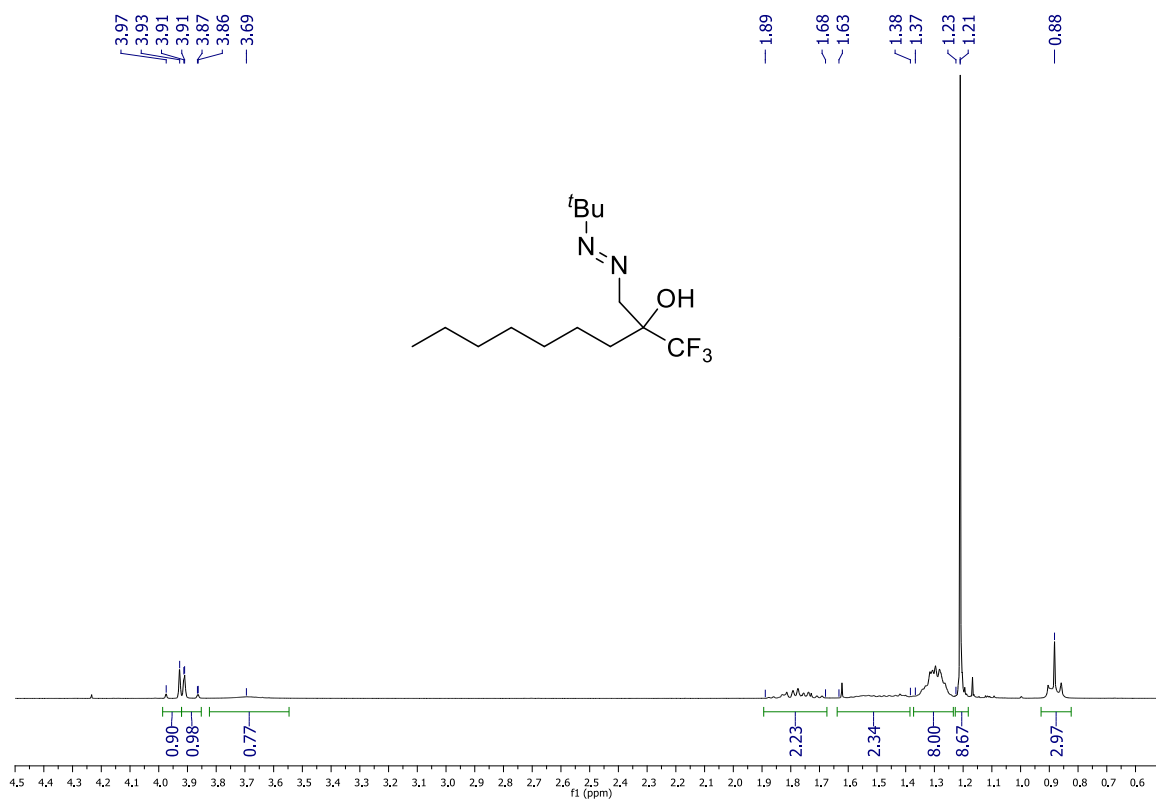
^1H NMR (CD_2Cl_2 , 300 MHz) of **7h**



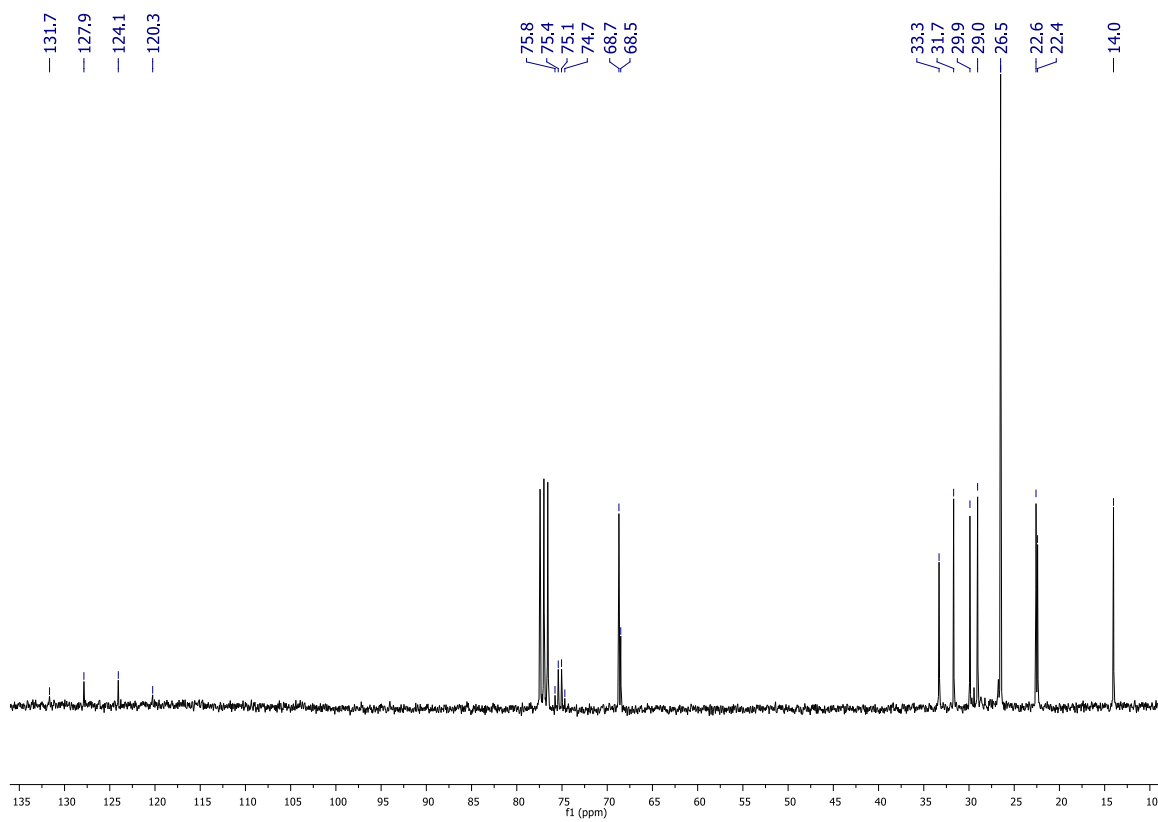
^{13}C NMR (CD_2Cl_2 , 125 MHz) of **7h**



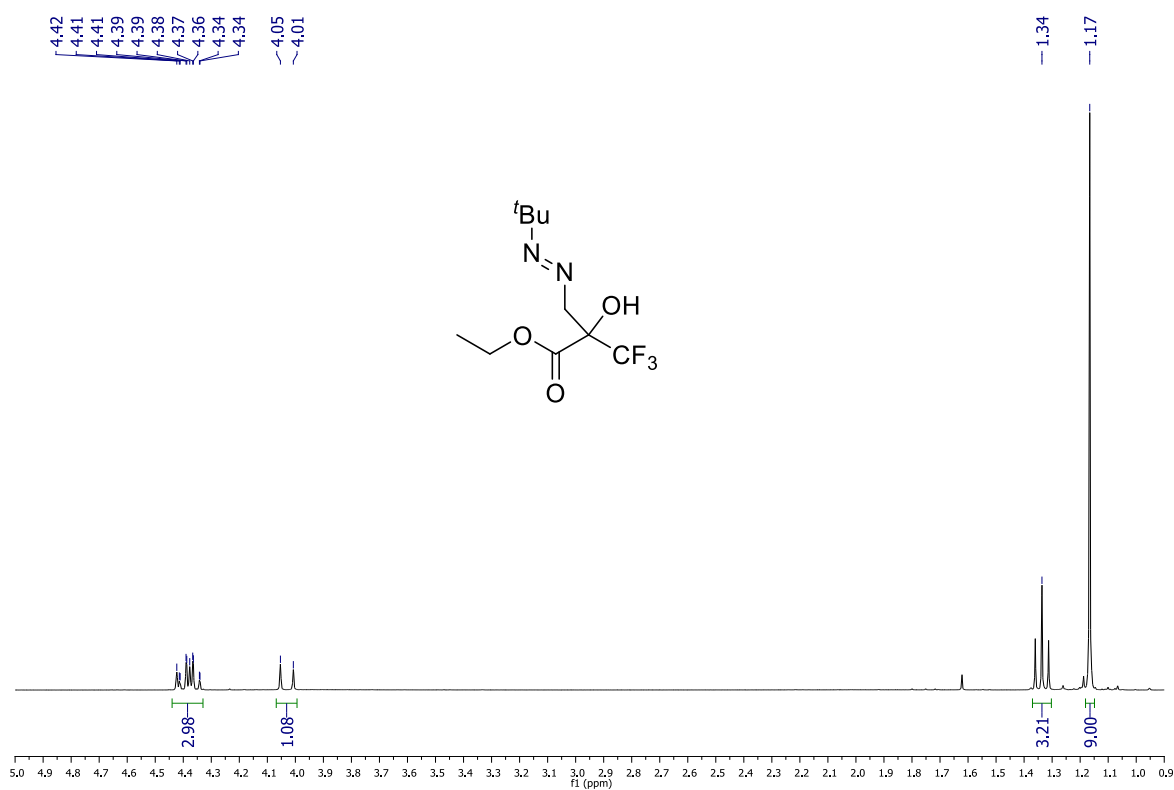
^1H NMR (CDCl_3 , 300 MHz) of **7i**



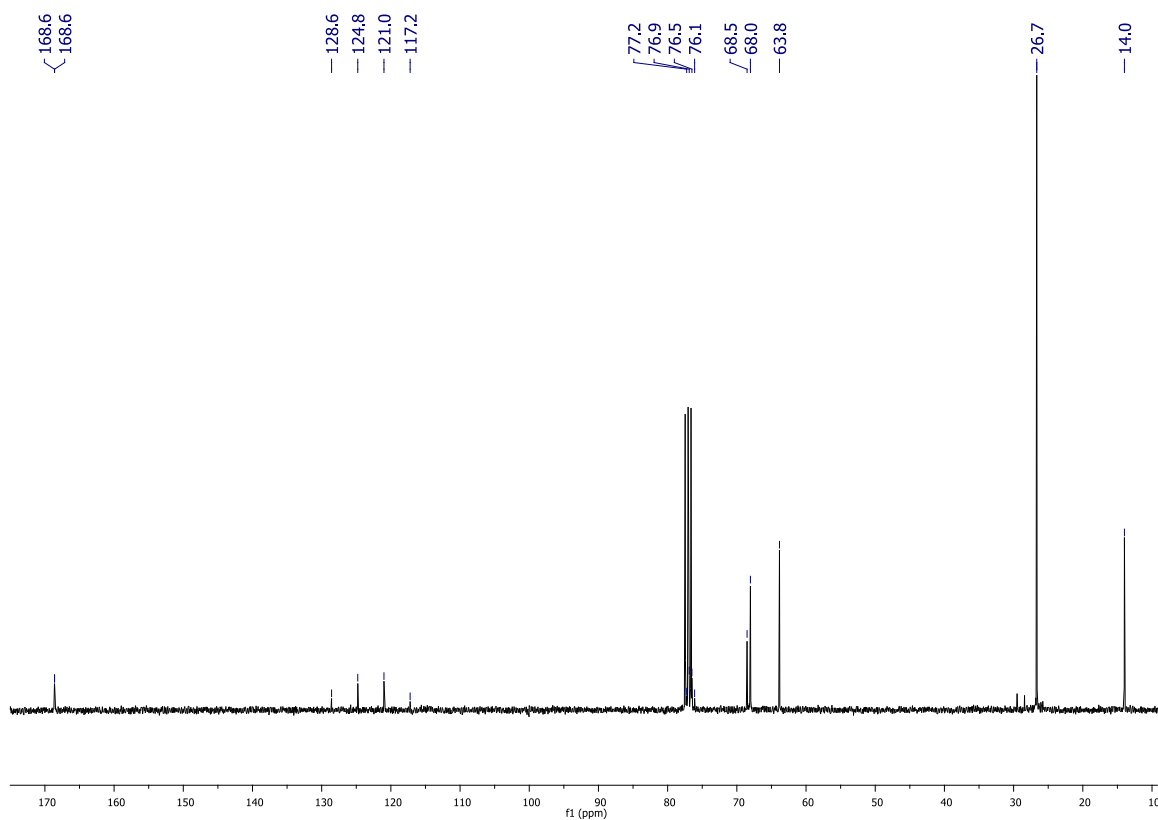
^{13}C NMR (CDCl_3 , 75.5 MHz) of **7i**



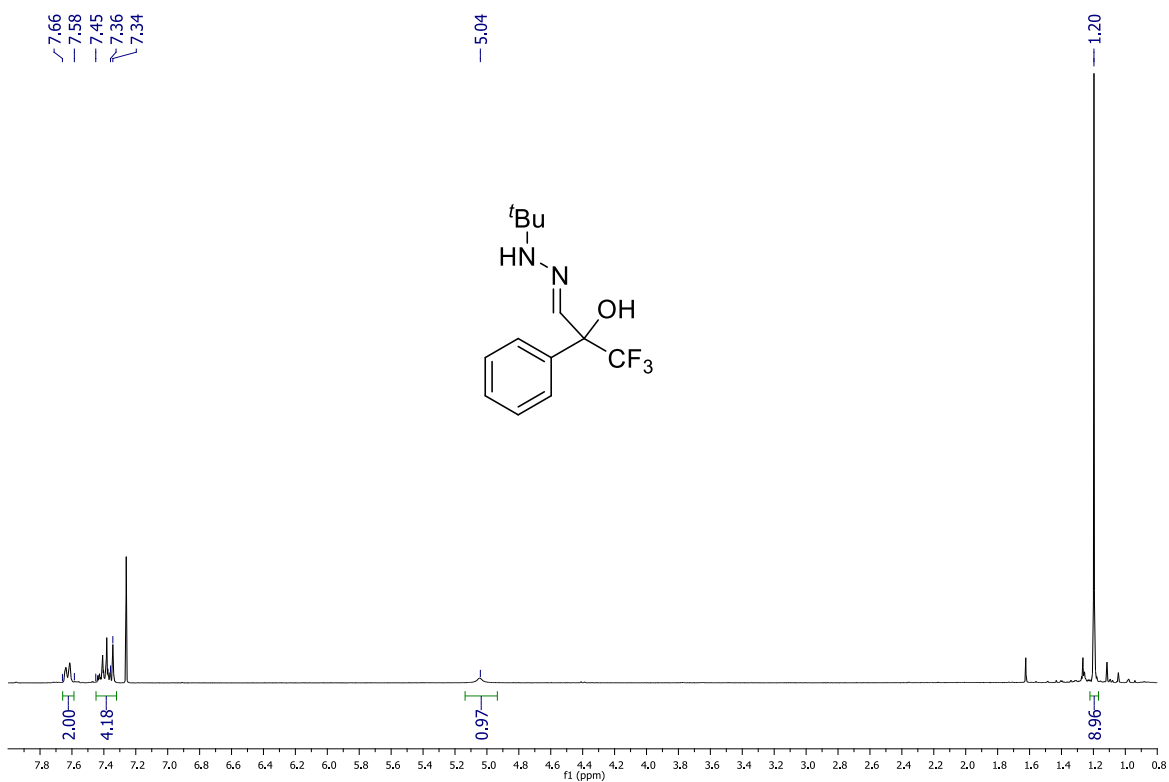
^1H NMR (CDCl_3 , 300 MHz) of **7j**



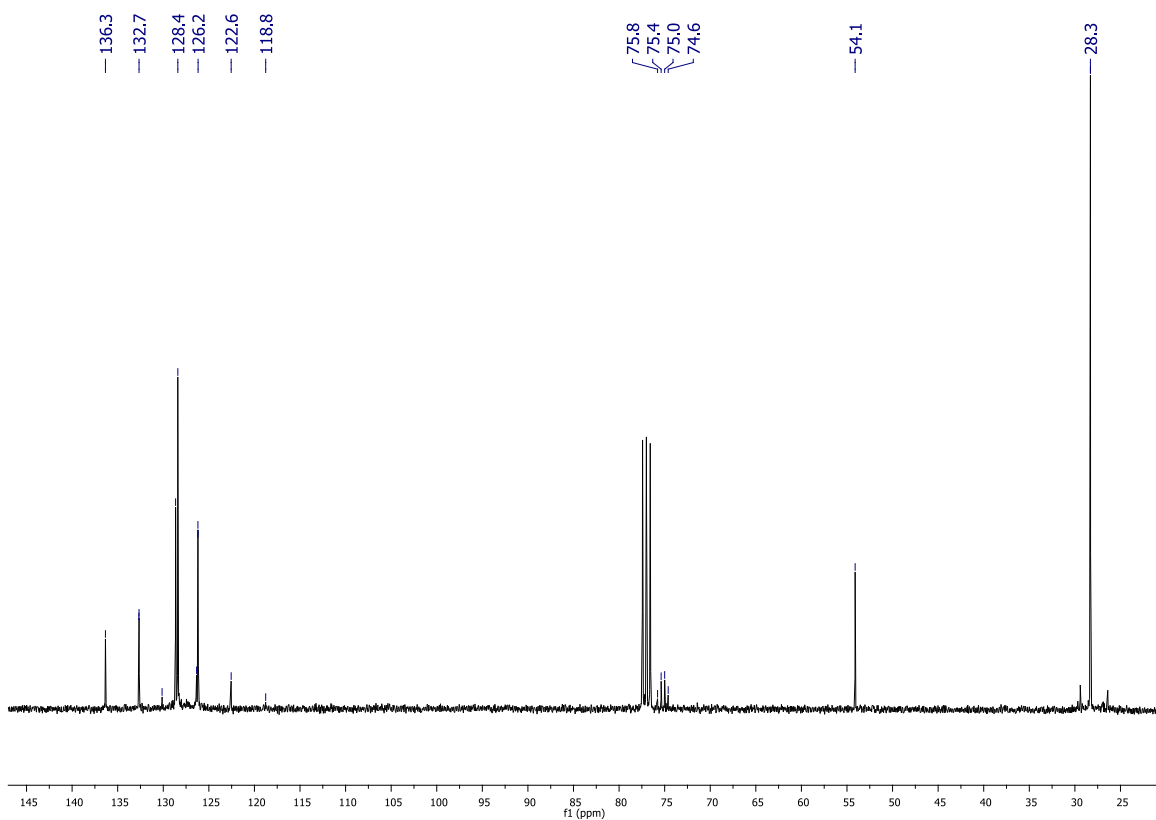
^{13}C NMR (CDCl_3 , 75.5 MHz) of **7j**



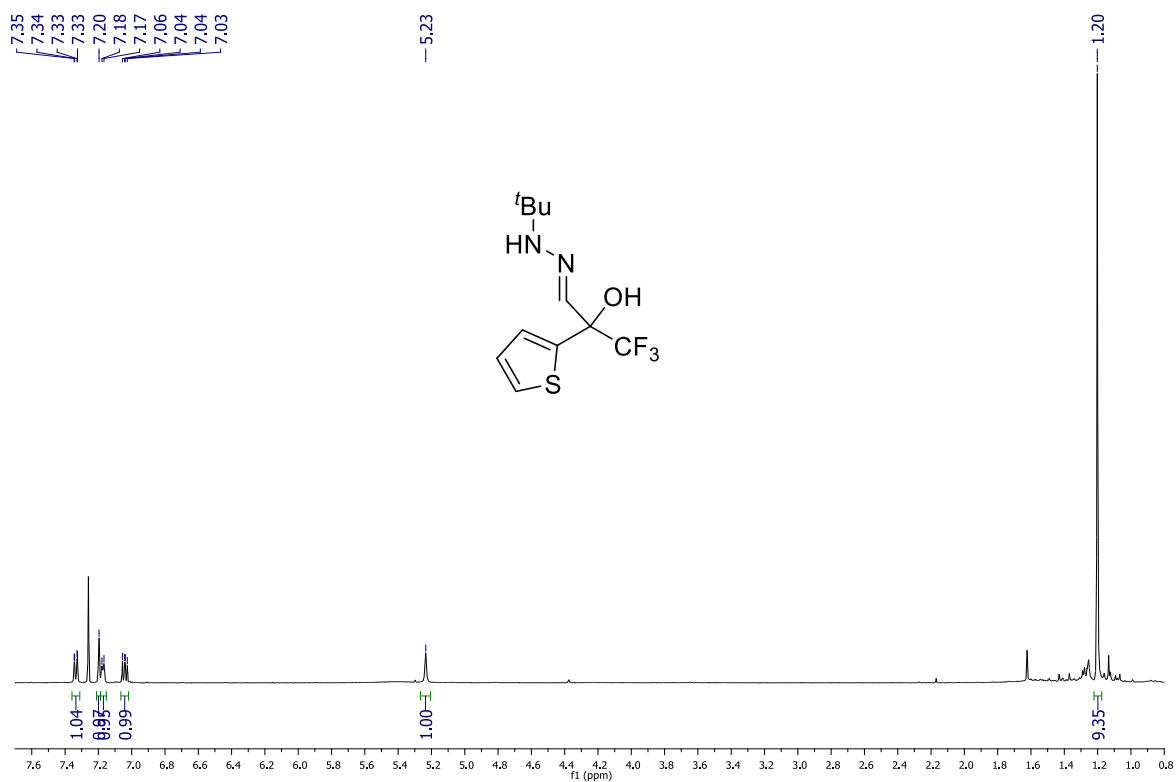
^1H NMR (CDCl_3 , 300 MHz) of **8a**



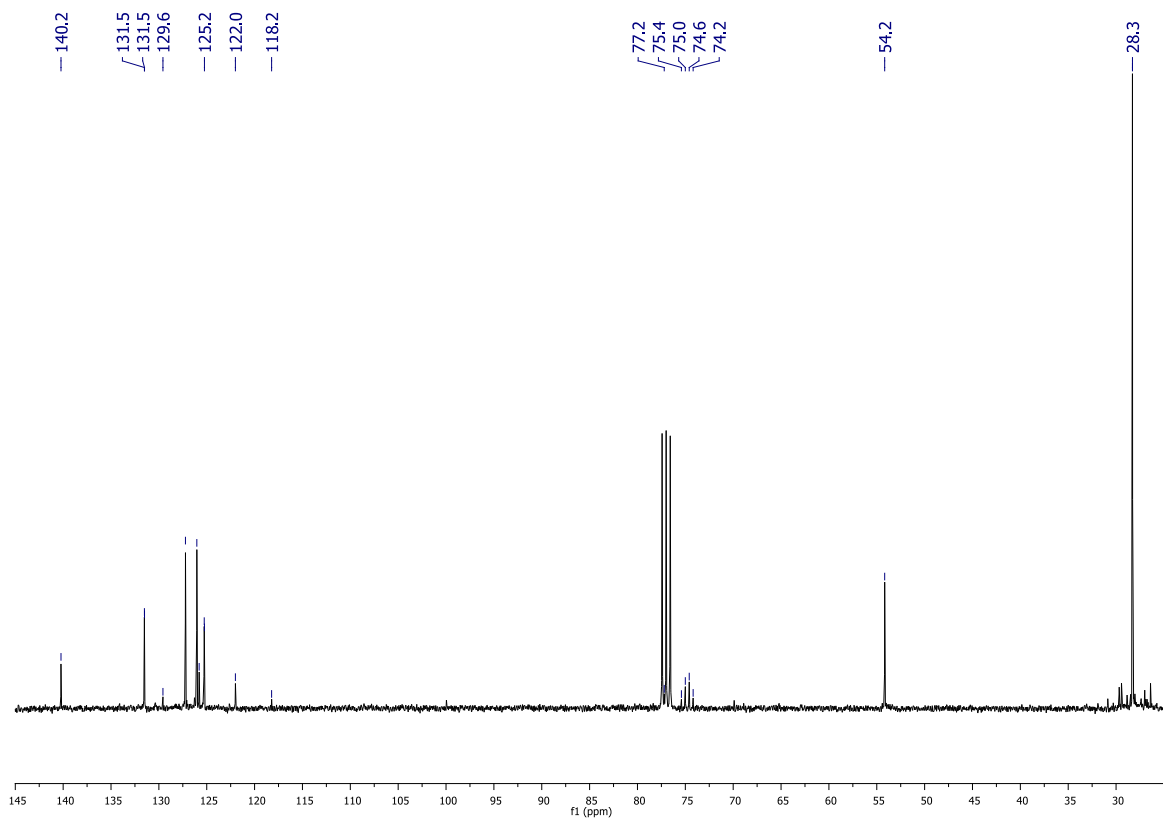
^{13}C NMR (CDCl_3 , 75.5 MHz) of **8a**



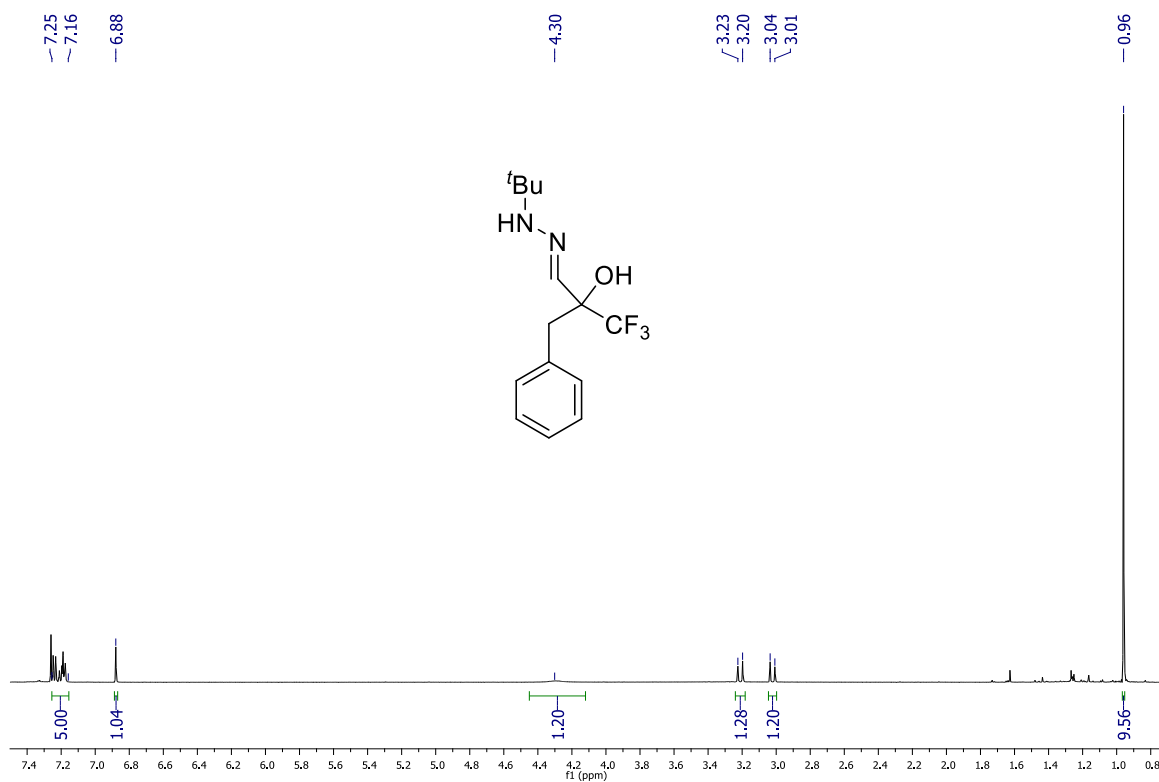
^1H NMR (CDCl_3 , 300 MHz) of **8e**



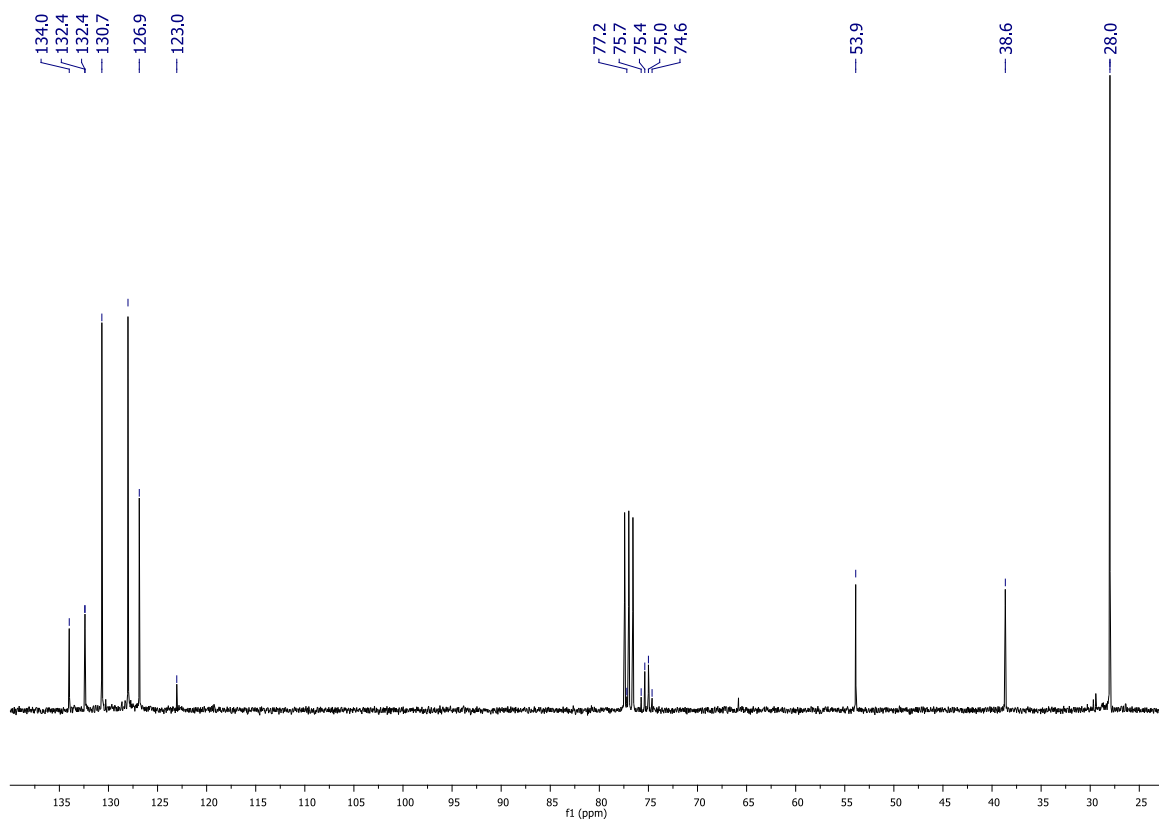
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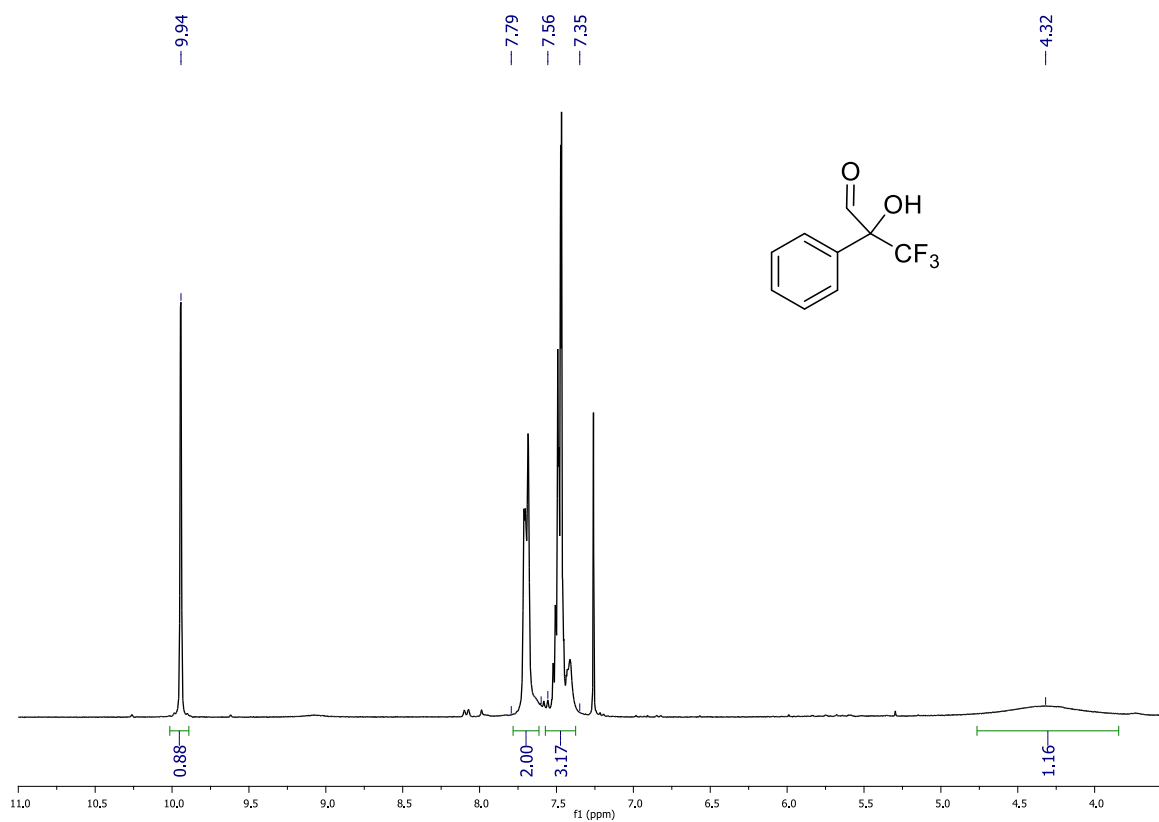
^1H NMR (CDCl_3 , 500 MHz) of **8f**



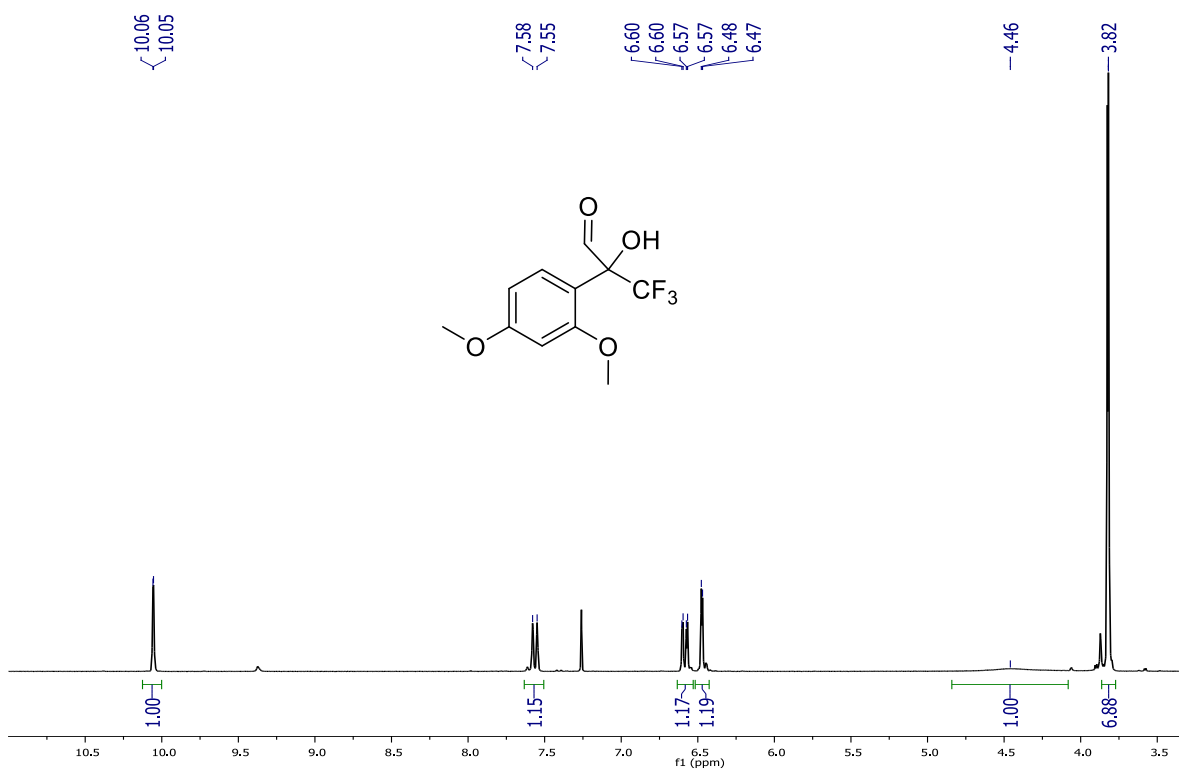
^{13}C NMR (CDCl_3 , 75.5 MHz) of **8f**



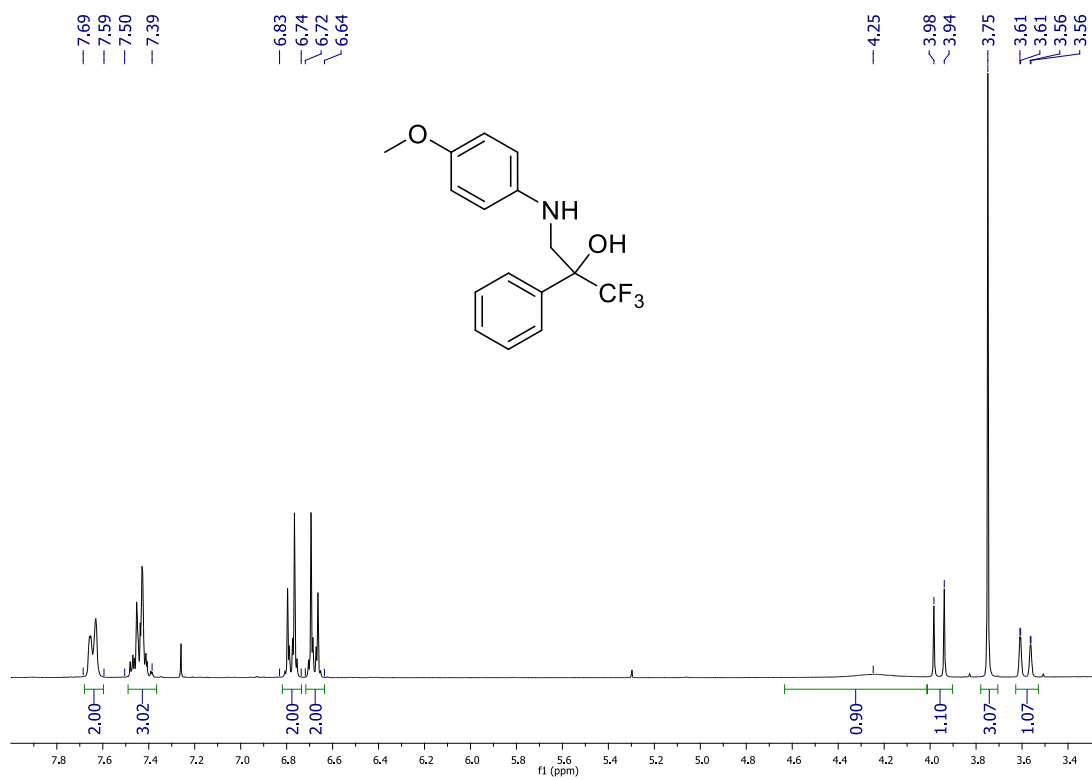
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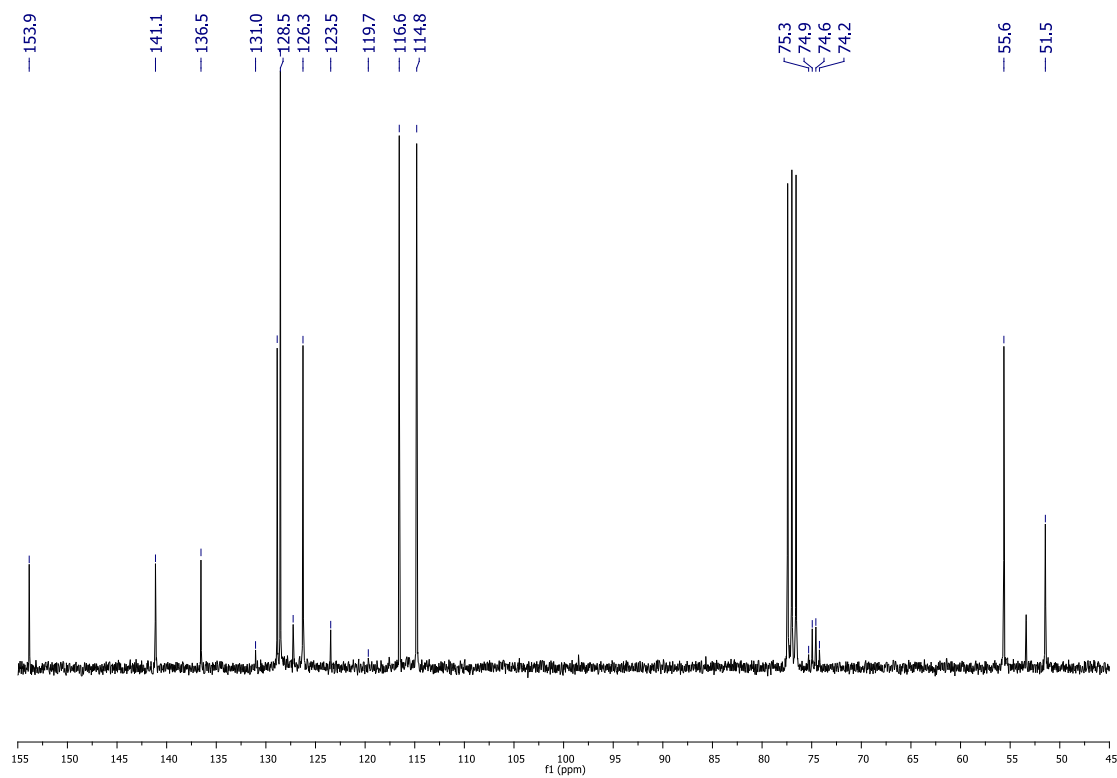
^1H NMR (CDCl_3 , 300 MHz) of **9c**



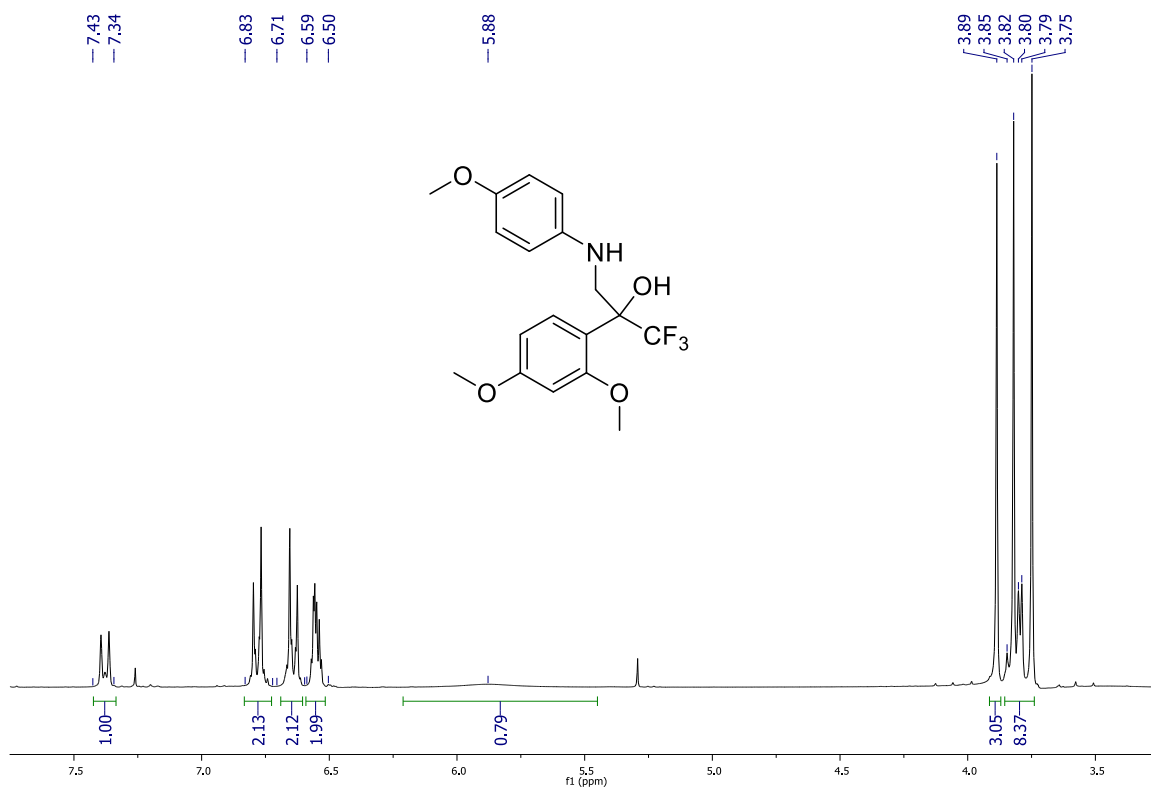
^1H NMR (CDCl_3 , 300 MHz) of **10a**



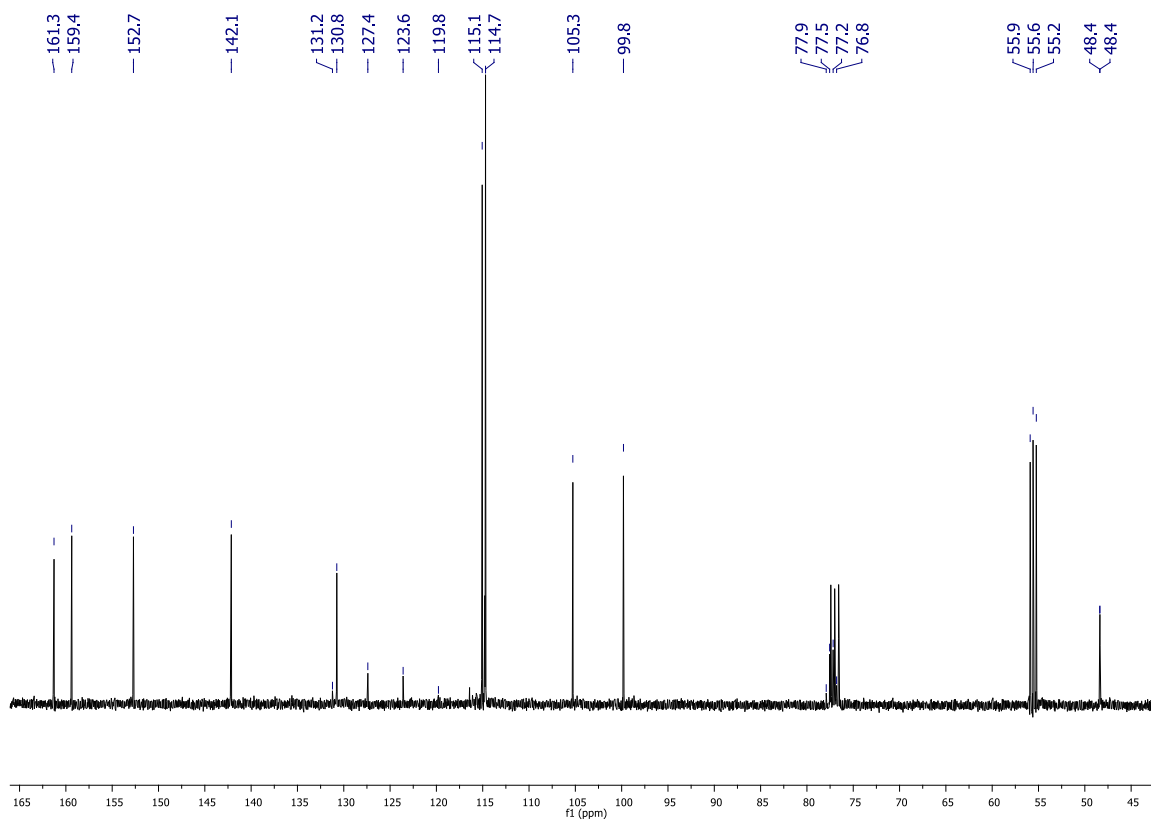
^{13}C NMR (CDCl_3 , 75.5 MHz) of **10a**



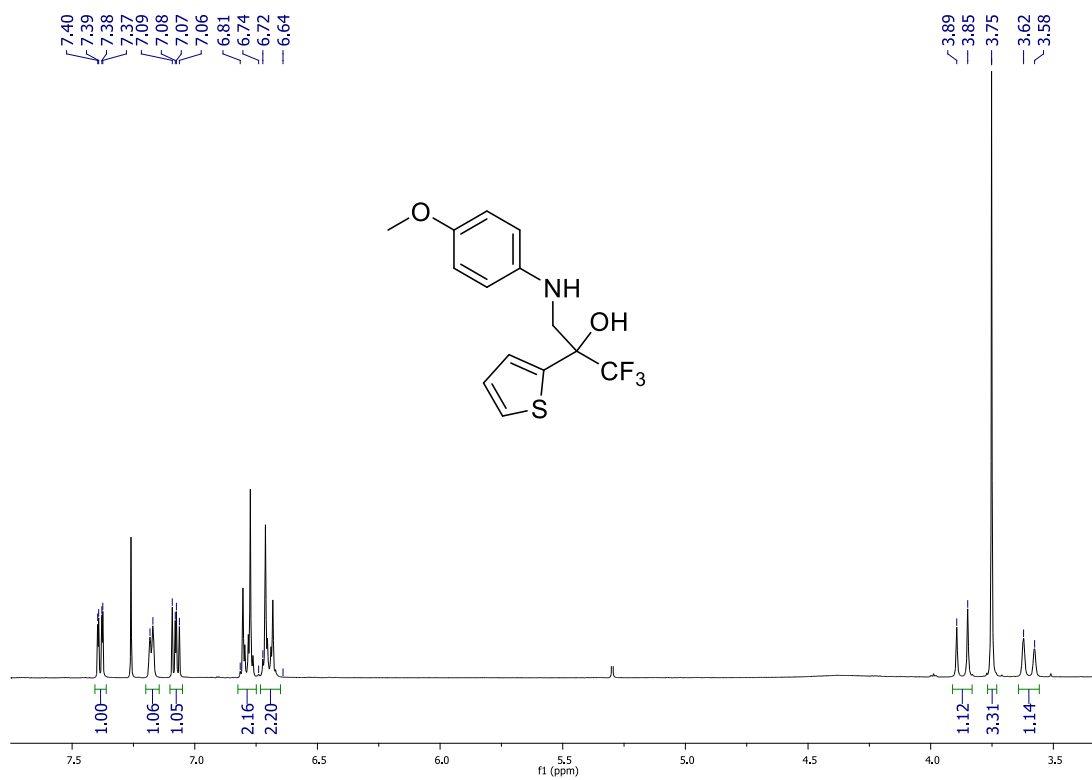
¹H NMR (CDCl₃, 300 MHz) of **10c**



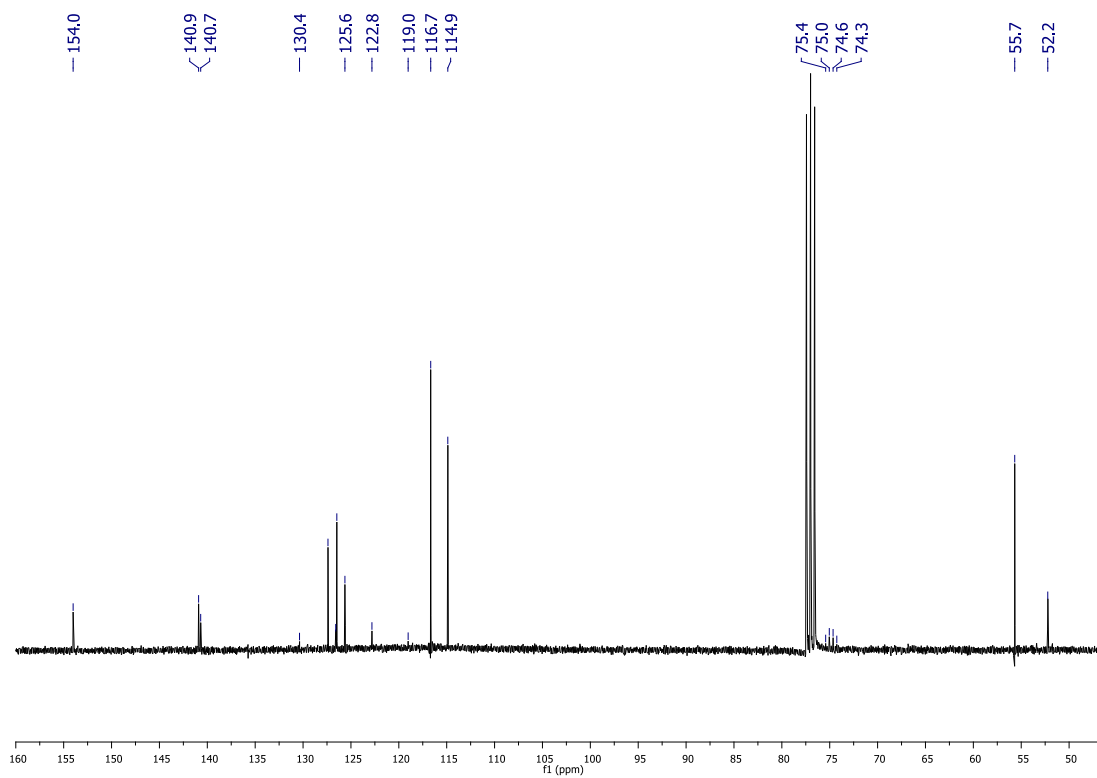
¹³C NMR (CDCl₃, 75.5 MHz) of **10c**



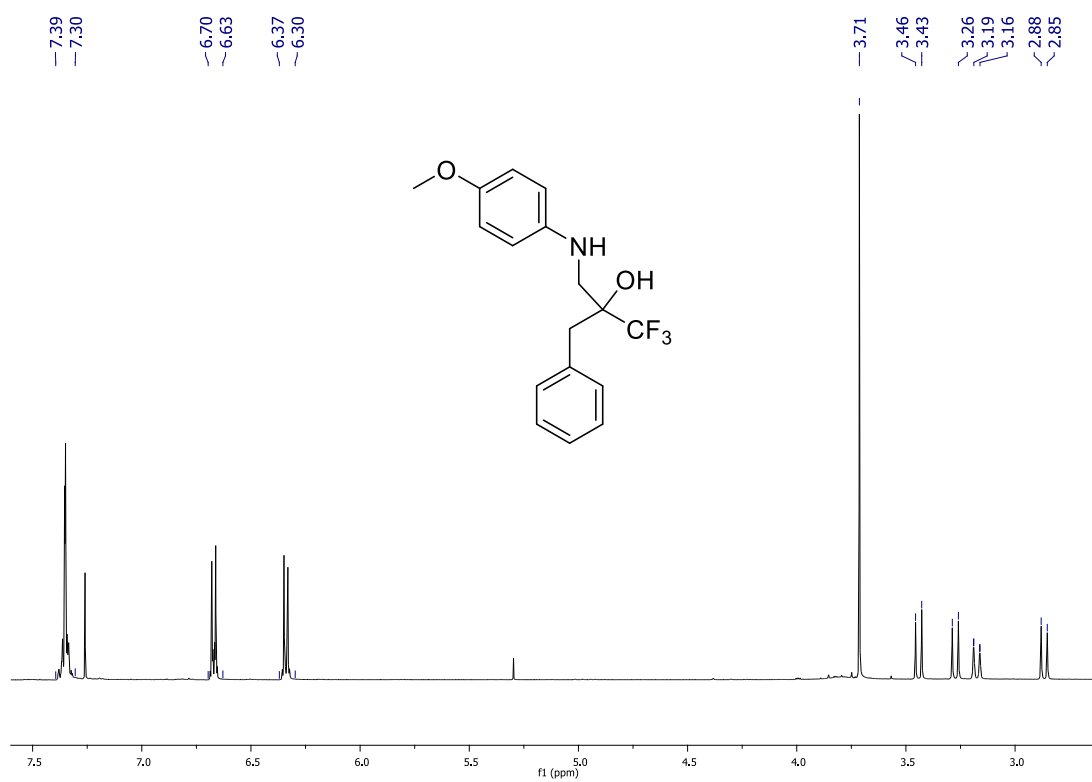
^1H NMR (CDCl_3 , 300 MHz) of **10e**



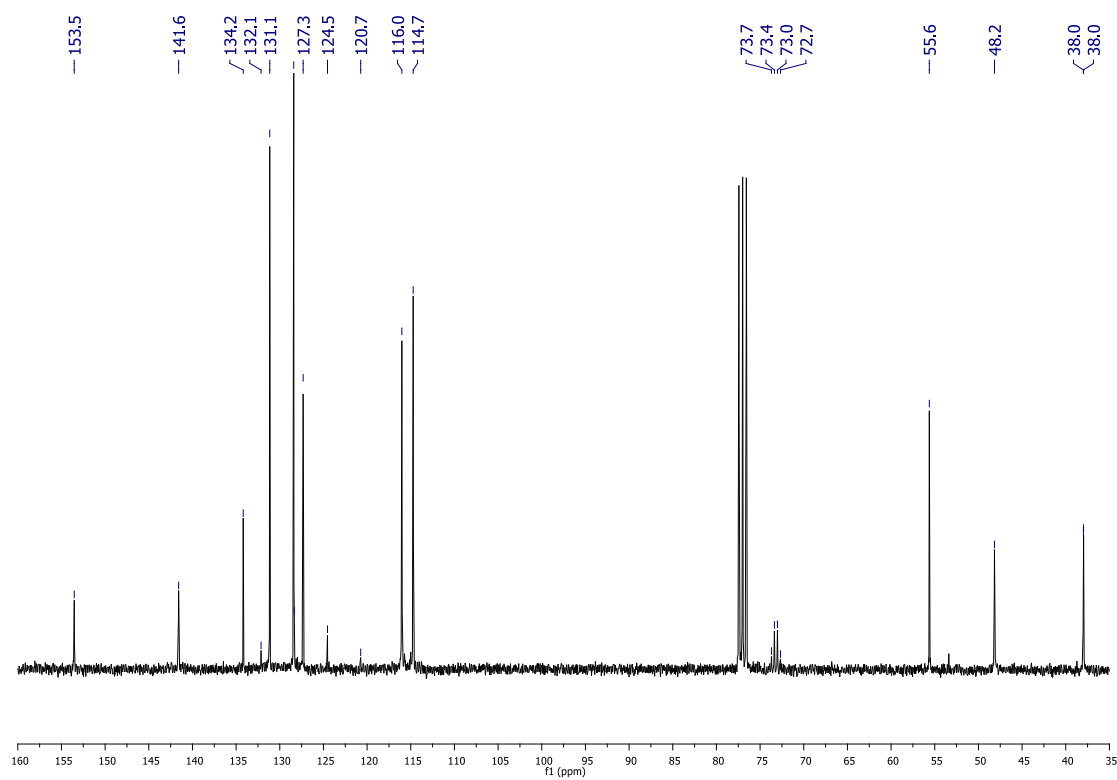
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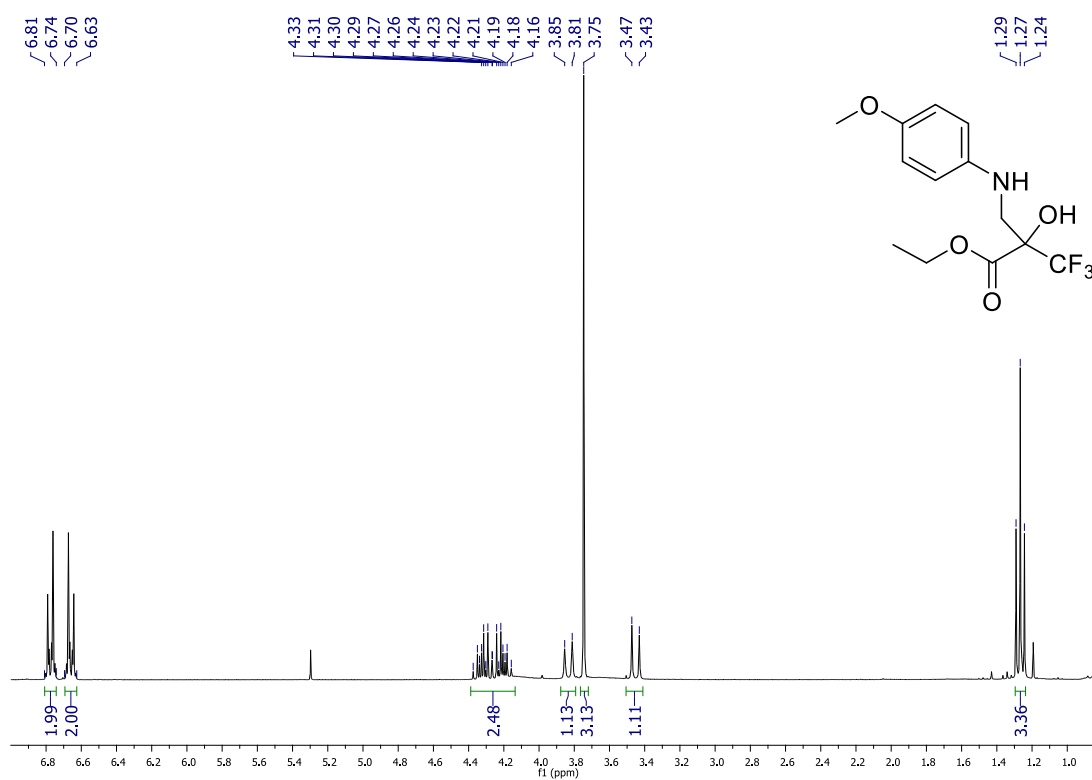
^1H NMR (CDCl_3 , 500 MHz) of **10f**



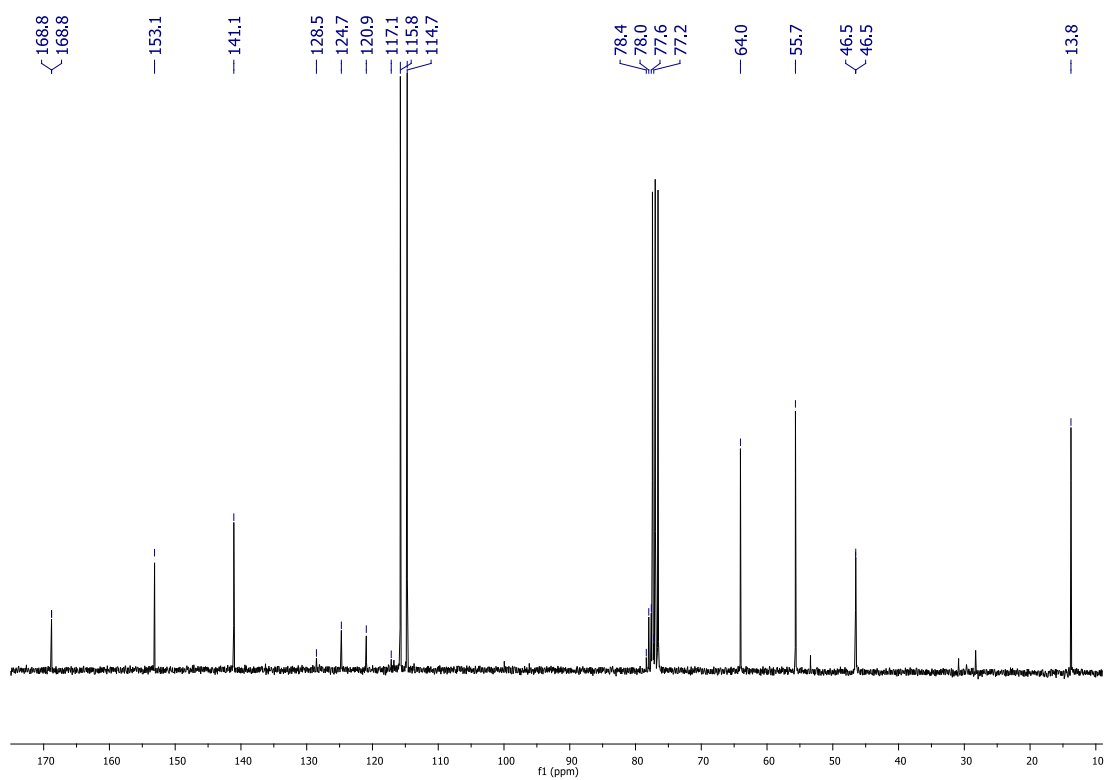
^{13}C NMR (CDCl_3 , 75.5 MHz) of **10f**



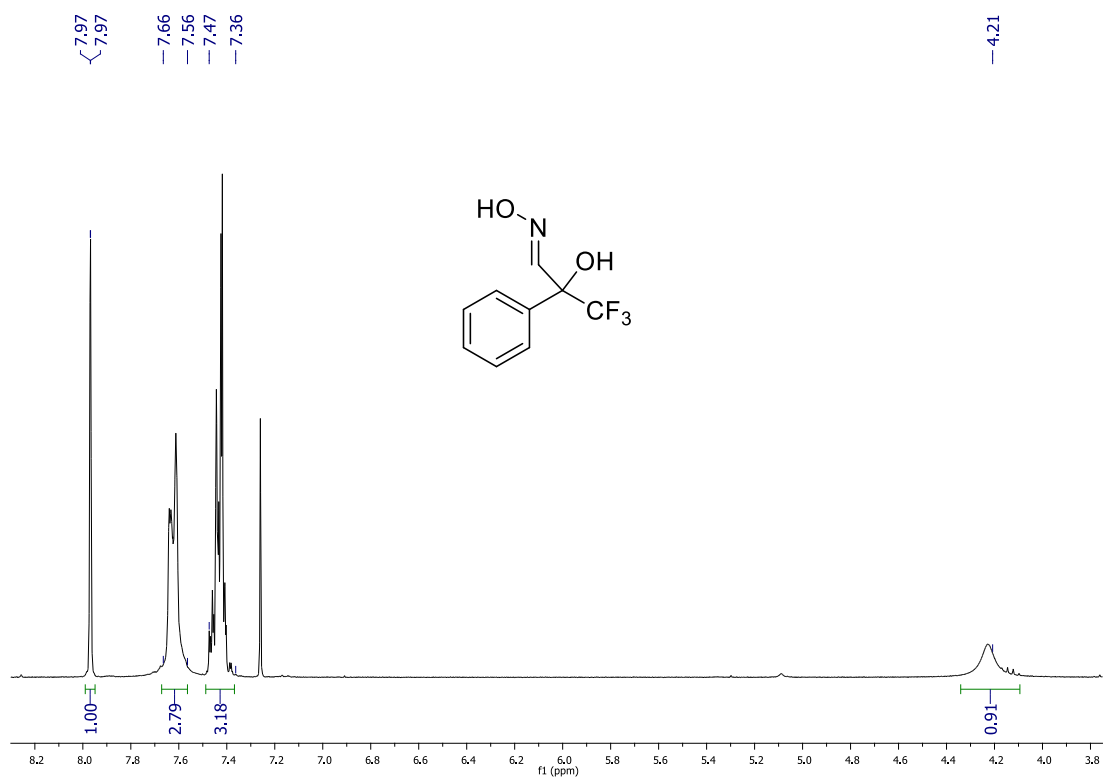
^1H NMR (CDCl_3 , 300 MHz) of **10j**



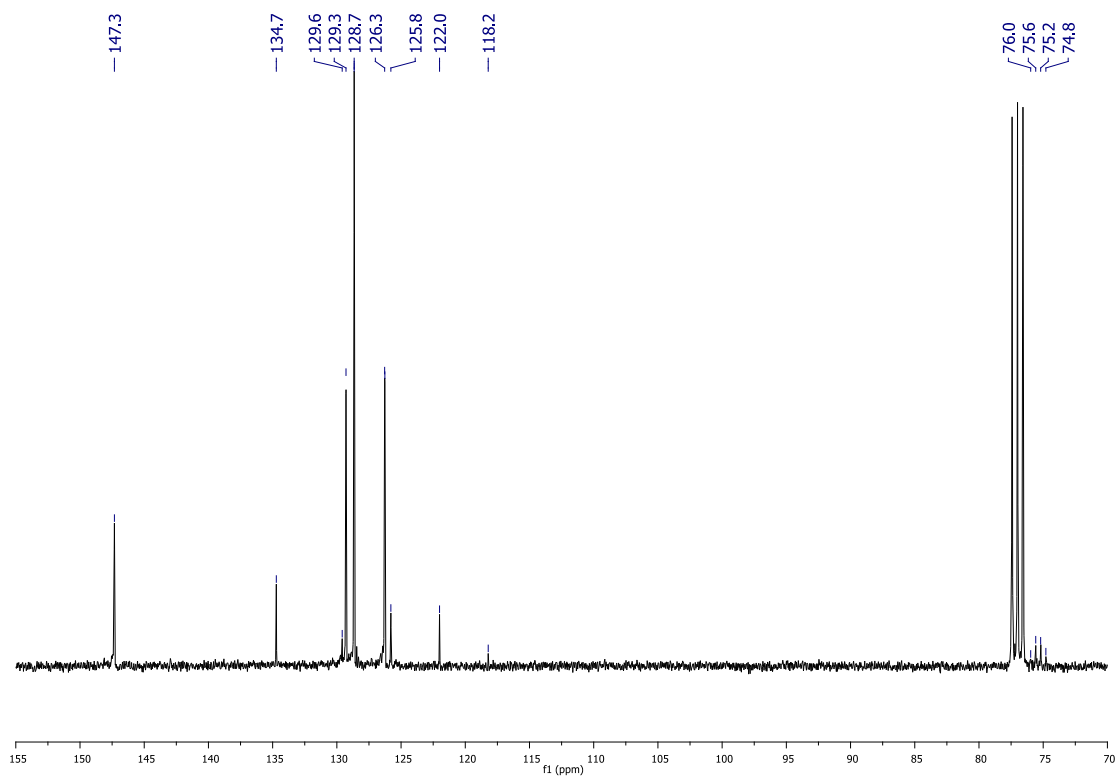
^{13}C NMR (CDCl_3 , 75.5 MHz) of **10j**



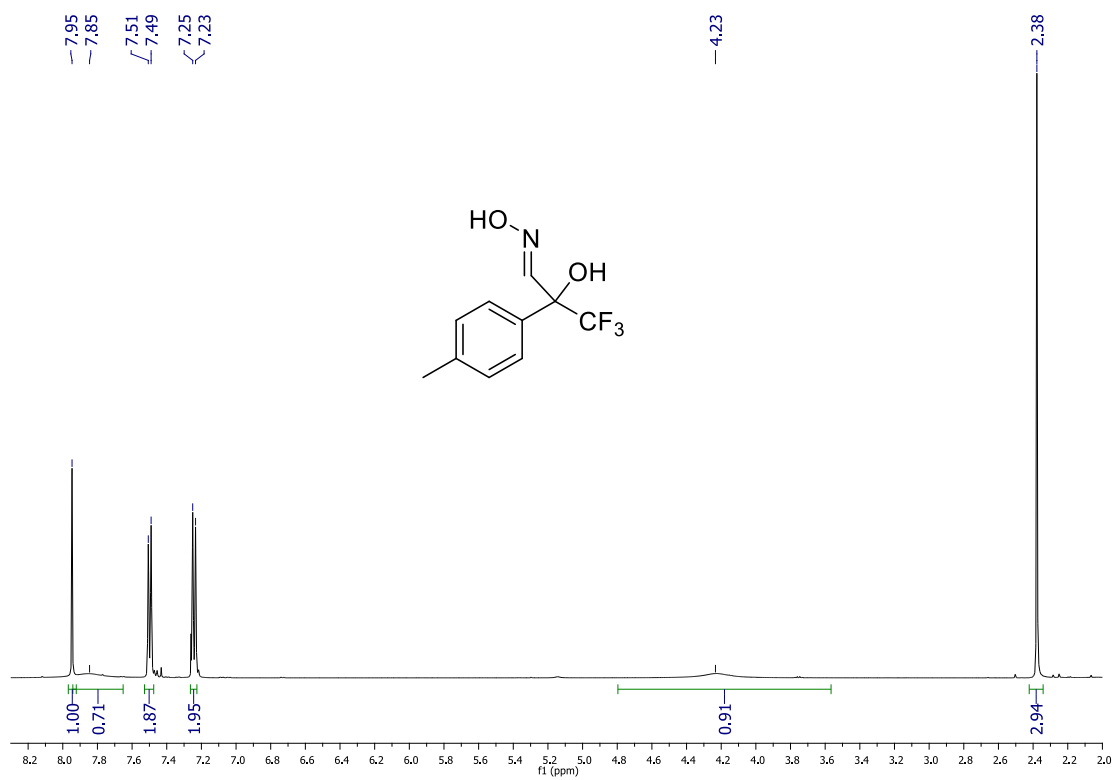
^1H NMR (CDCl_3 , 300 MHz) of **11a**



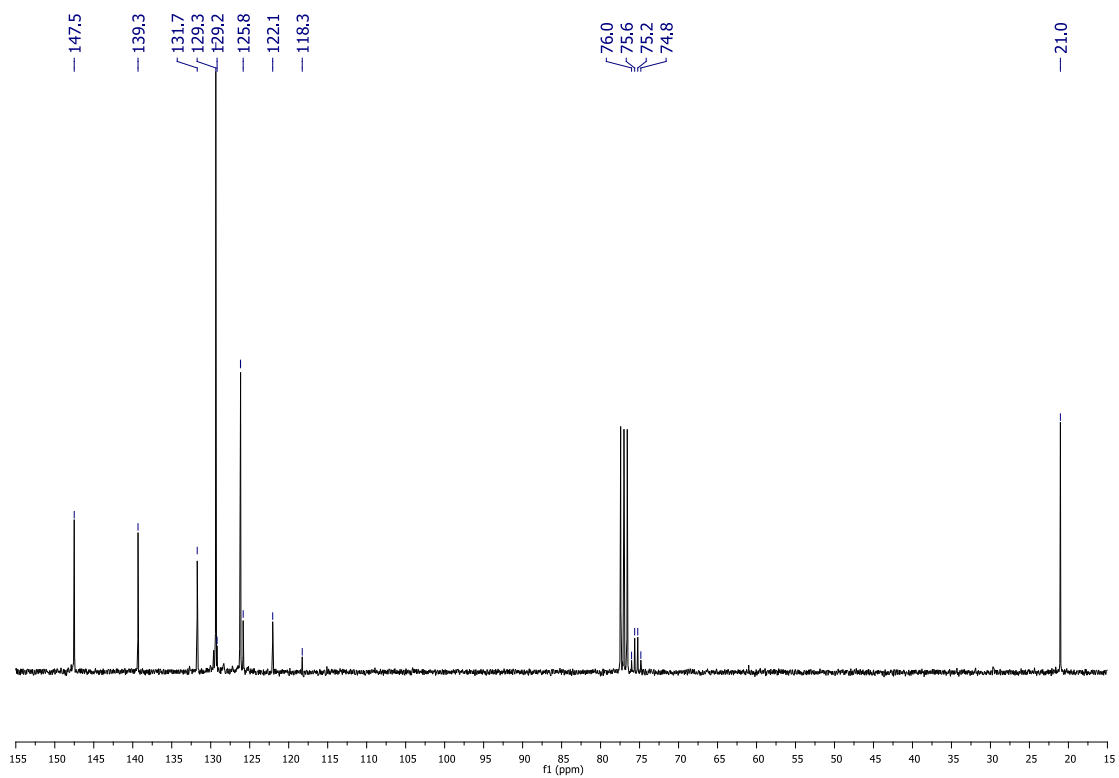
^{13}C NMR (CDCl_3 , 75.5 MHz) of **11a**



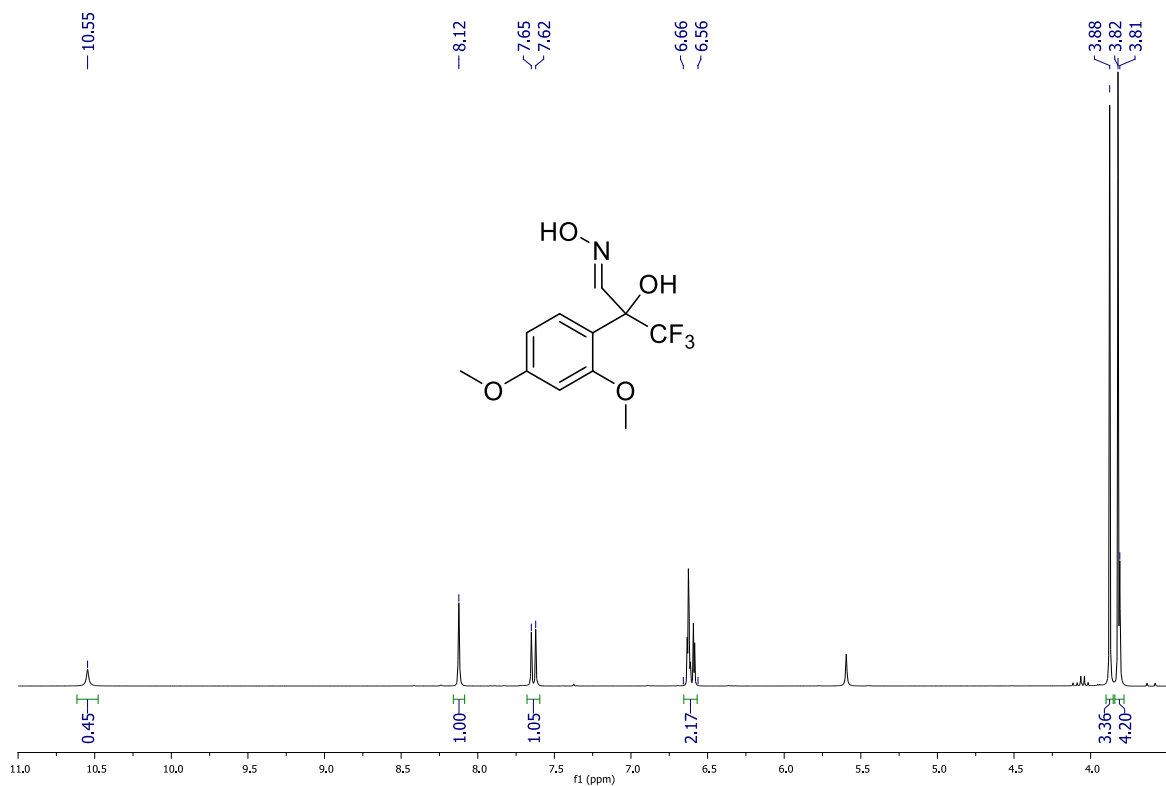
^1H NMR (CDCl_3 , 500 MHz) of **11b**



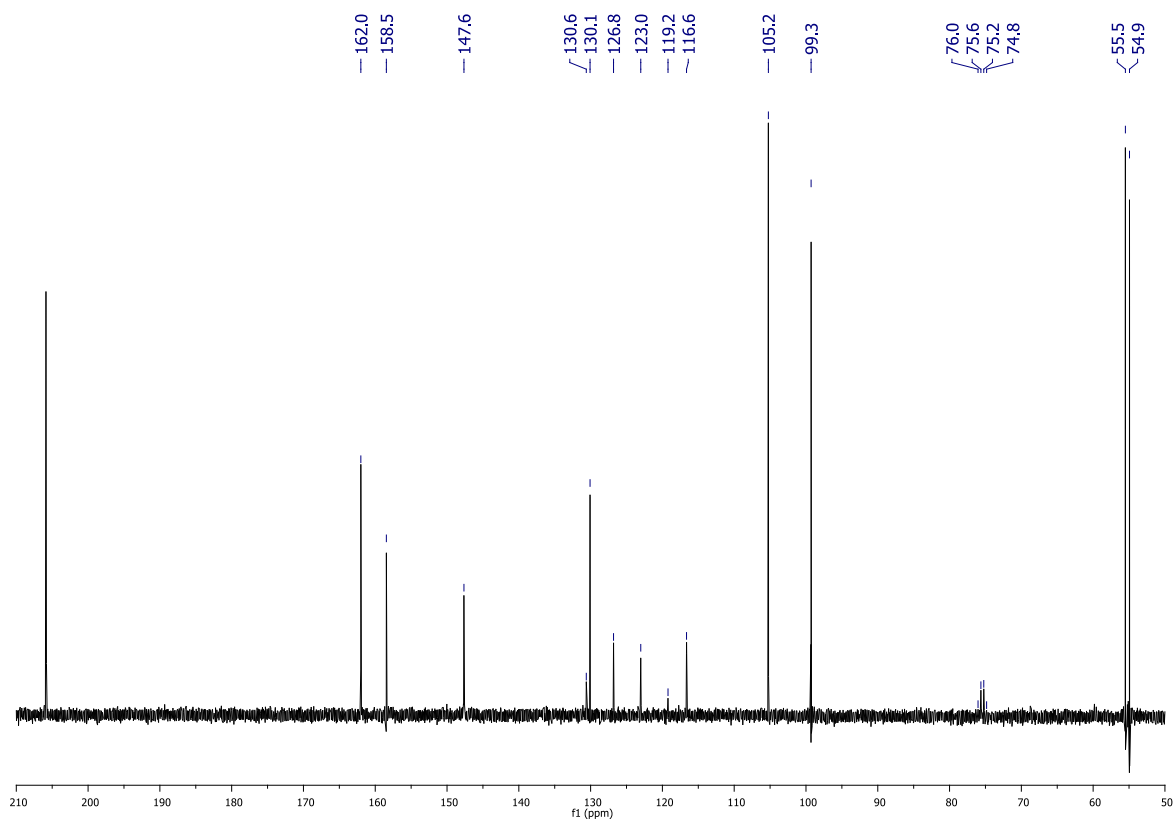
^{13}C NMR (CDCl_3 , 75.5 MHz) of **11b**



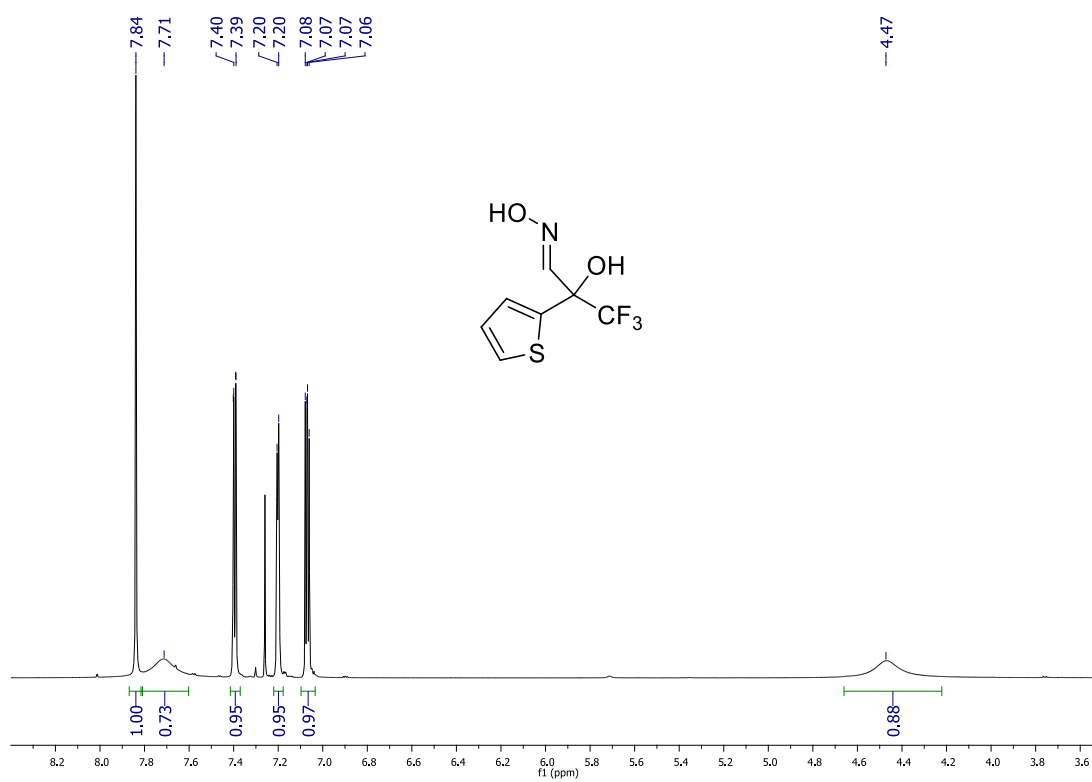
^1H NMR ($\text{CO}(\text{CD}_3)_2$), 300 MHz) of **11c**



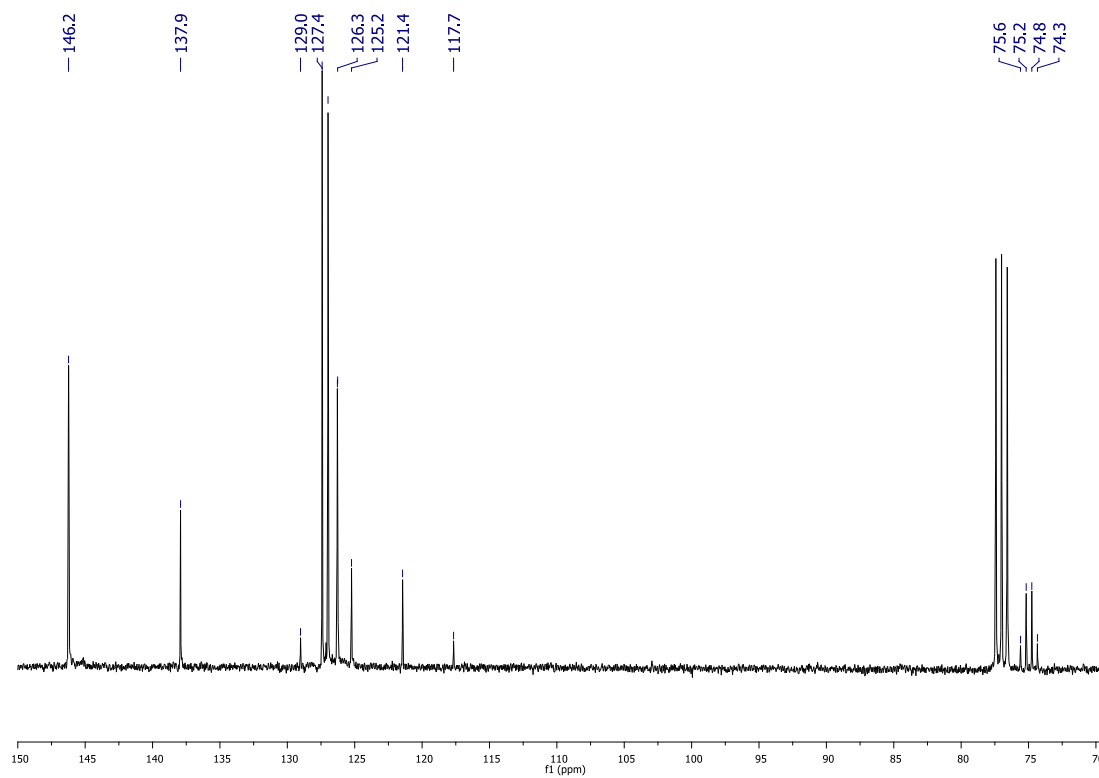
^{13}C NMR ($\text{CO}(\text{CD}_3)_2$), 75.5 MHz) of **11c**



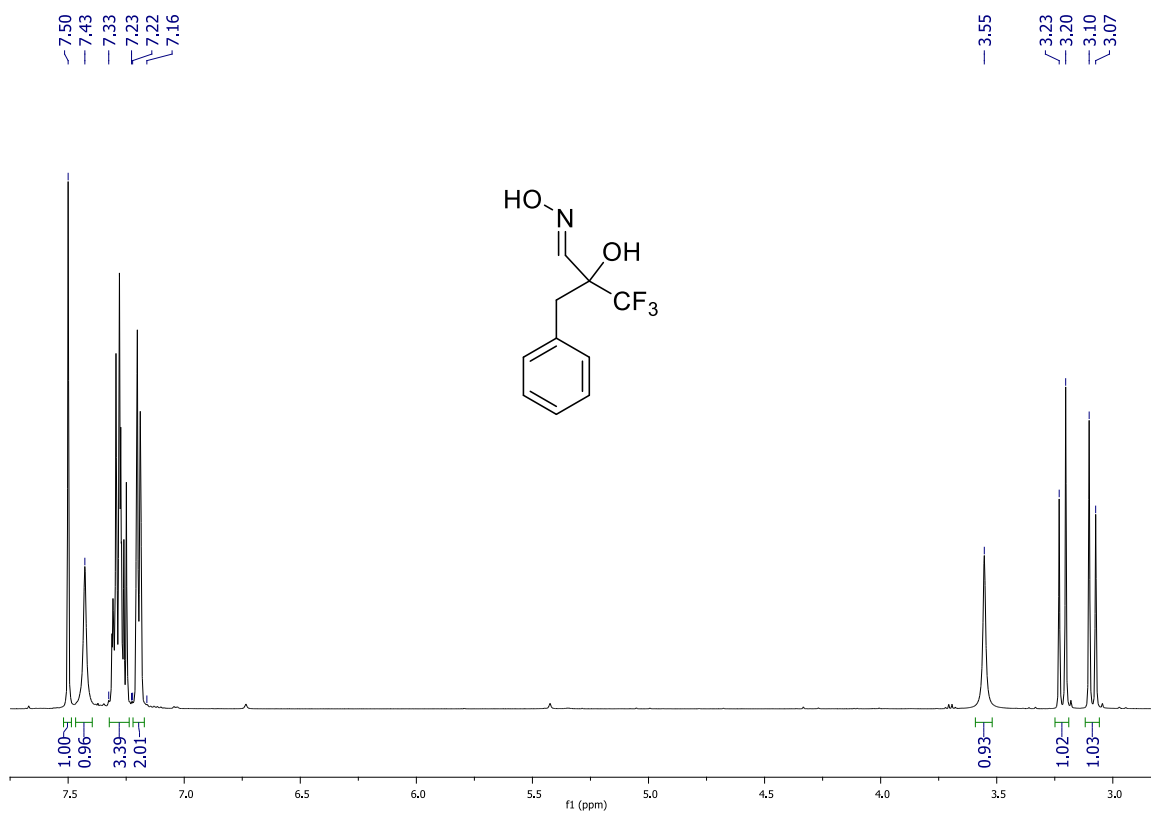
^1H NMR (CDCl_3 , 500 MHz) of **11e**



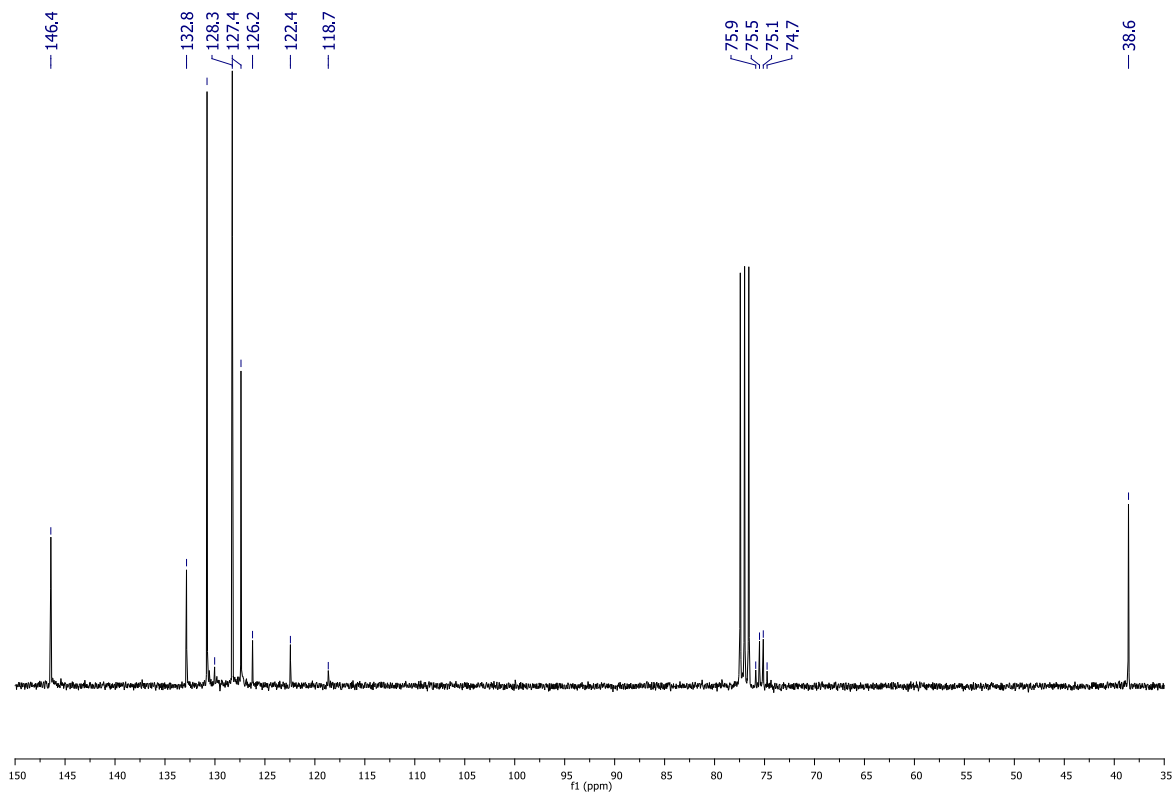
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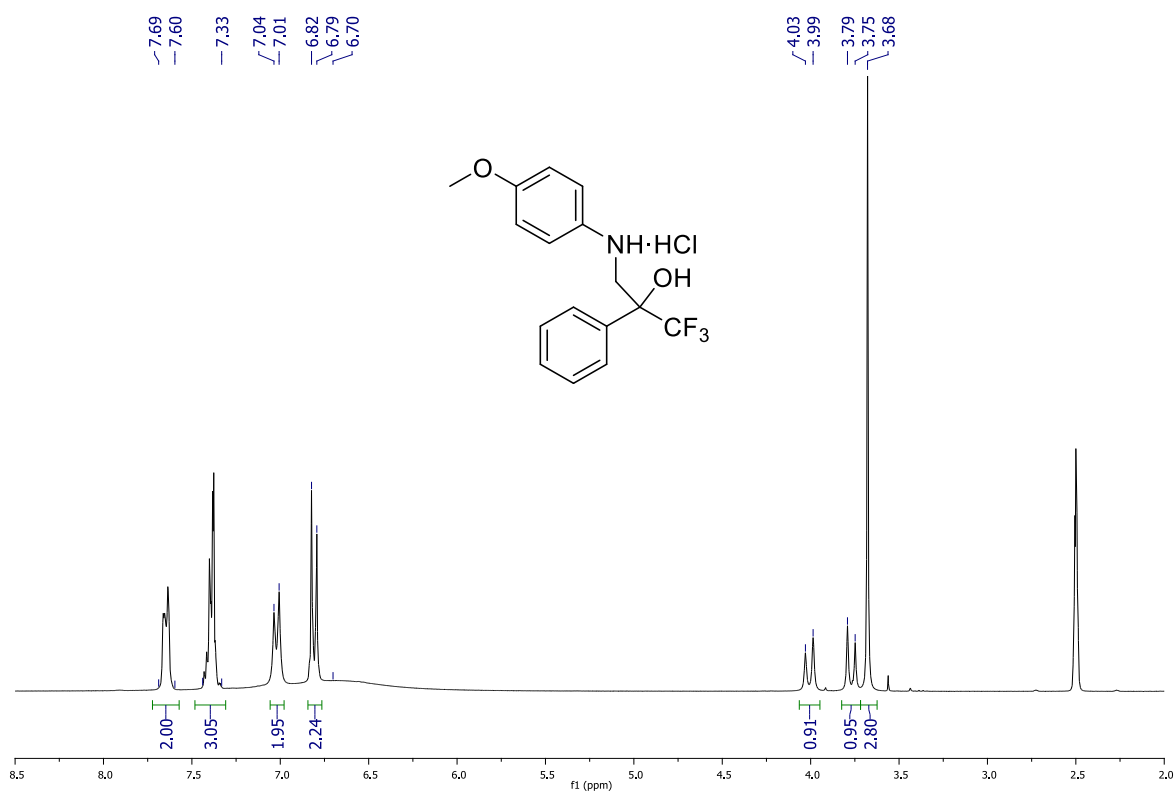
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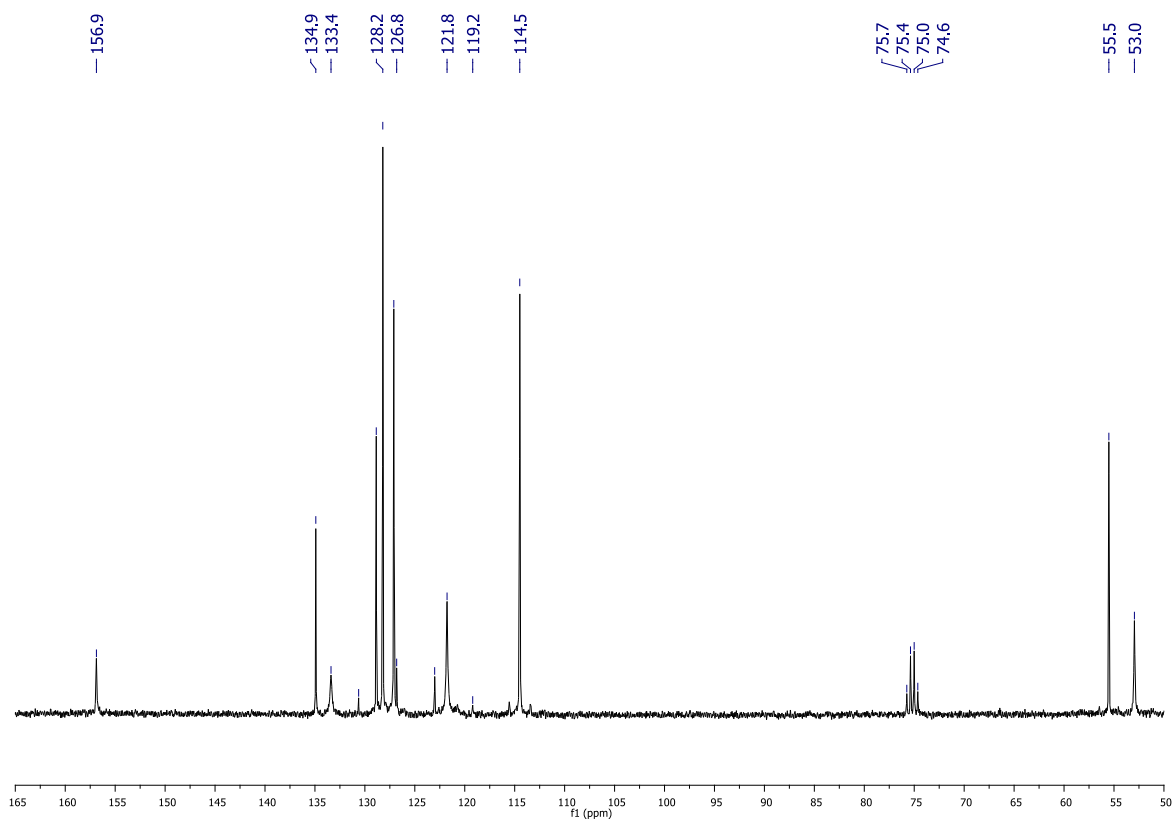
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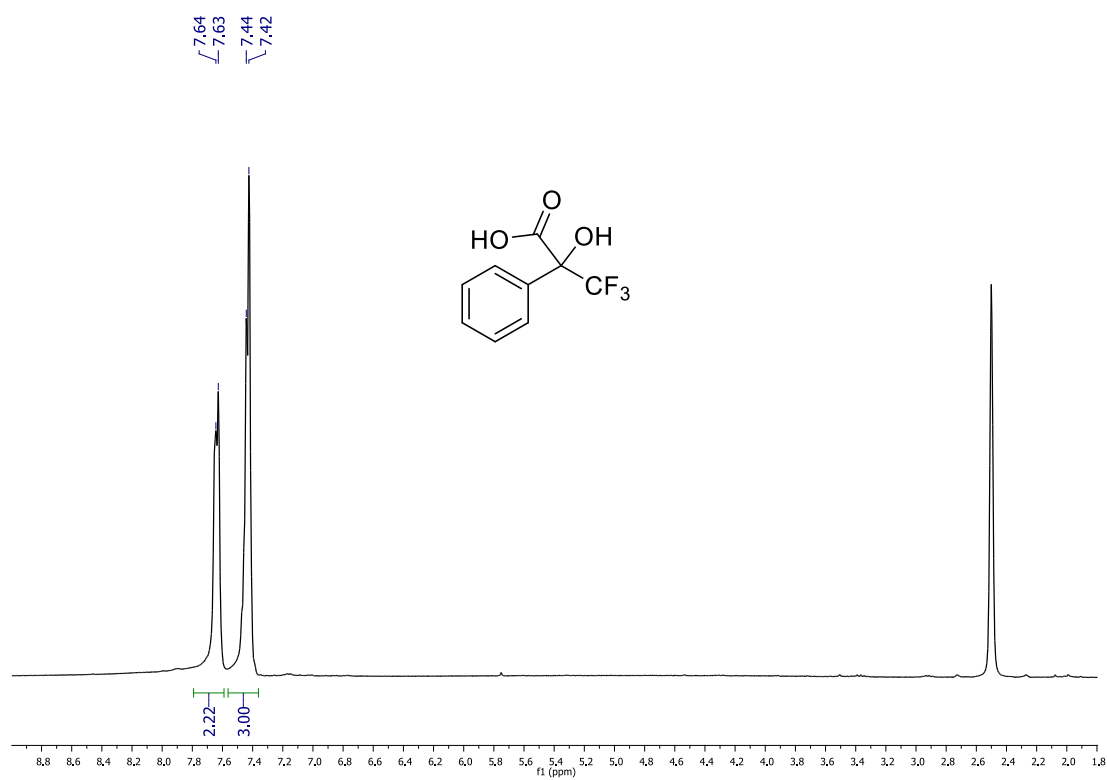
^1H NMR (DMSO- d_6 , 300 MHz) of **10a-HCl**



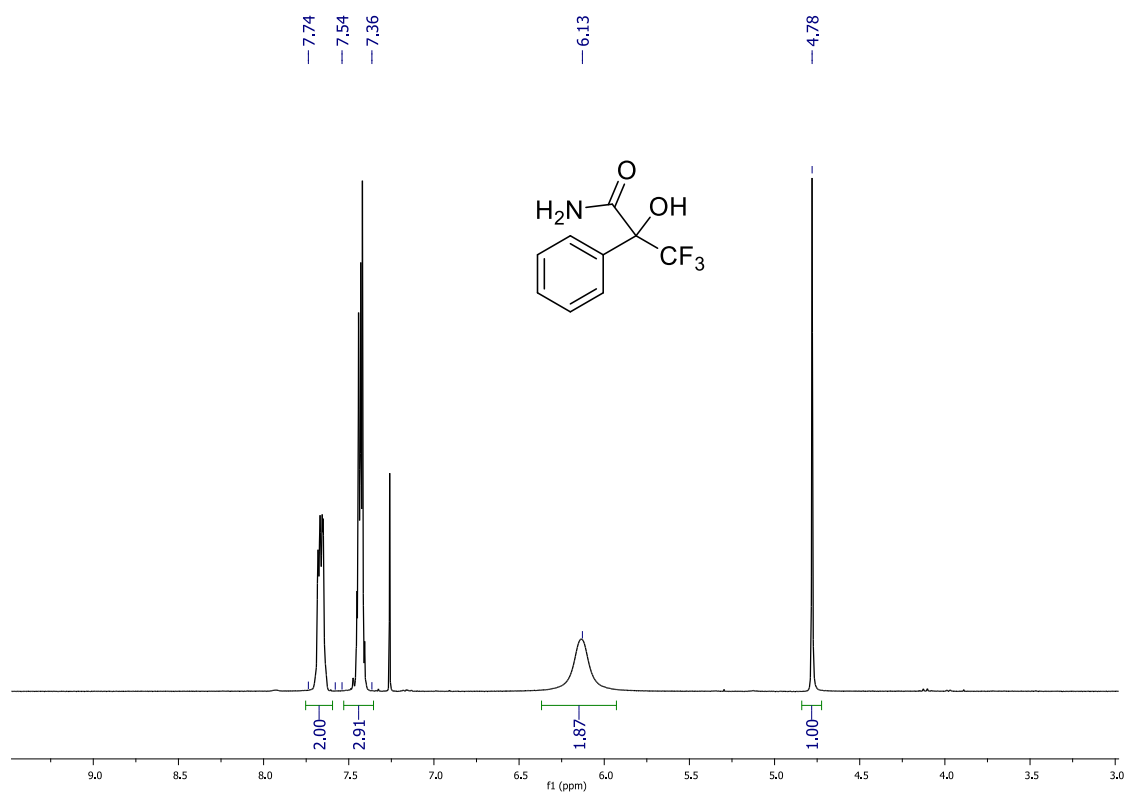
^{13}C NMR (DMSO- d_6 , 75.5 MHz) of **10a-HCl**



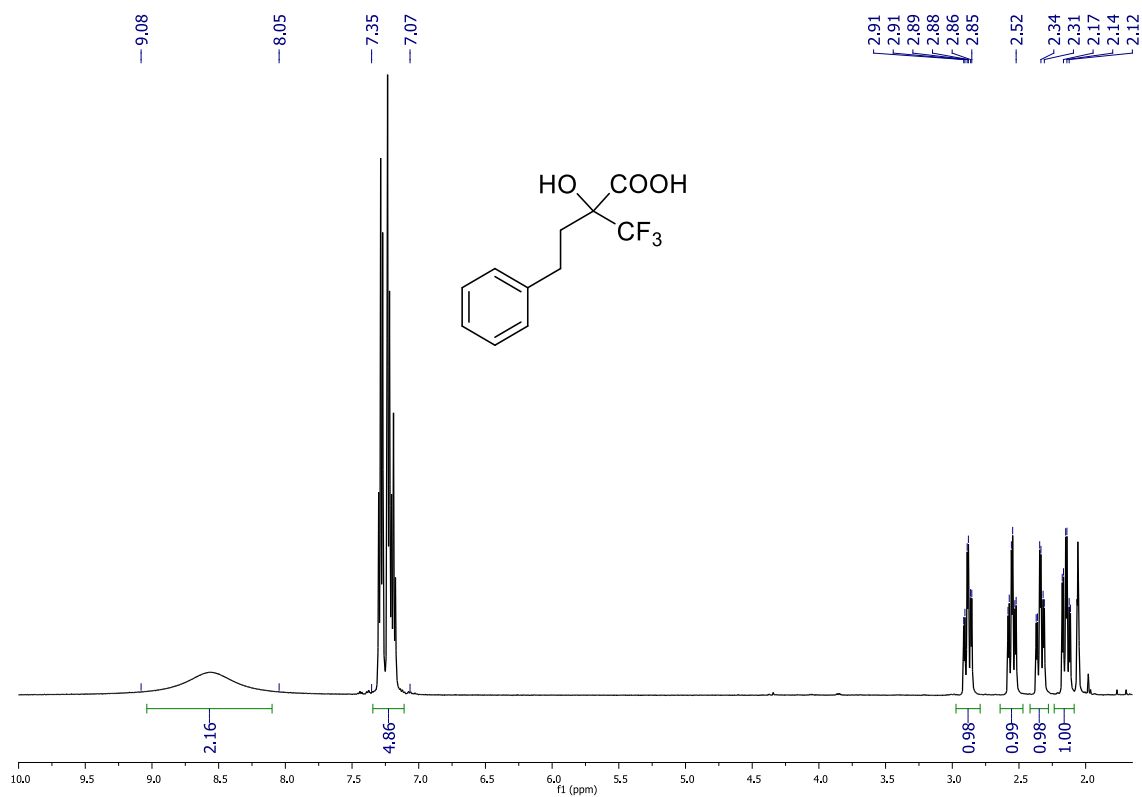
^1H NMR (DMSO-d_6 , 300 MHz) of **12a**



^1H NMR (CDCl_3 , 300 MHz) of **III**



¹H NMR (Acetone-d₆, 500 MHz) of **12g**



¹³C NMR (Acetone-d₆, 125 MHz) of **12g**

