

Identification of the catalytically active component of Cu-Zr-O catalyst for  
the hydrogenation of levulinic acid to  $\gamma$ -valerolactone

Supplementary information

Satoshi Ishikawa<sup>a,b</sup>, Daniel R. Jones<sup>b</sup>, Sarwat Iqbal<sup>b</sup>, David J. Morgan<sup>b</sup>, David J.  
Willock<sup>b,\*</sup>, Jonathan K. Bartley<sup>b</sup>, Jennifer K. Edwards<sup>b</sup>, Toru Murayama<sup>a</sup>, Wataru  
Ueda<sup>a,c</sup>, Graham J. Hutchings<sup>b,\*</sup>

*Email:* [willockdj@cardiff.ac.uk](mailto:willockdj@cardiff.ac.uk)\*, [hutch@cardiff.ac.uk](mailto:hutch@cardiff.ac.uk)\*

<sup>a</sup> Catalysis Research Center, Hokkaido University, N-21, W-10, Sapporo, 001-0021,  
Japan

<sup>b</sup> Cardiff Catalysis Institute, School of Chemistry, Cardiff University, Main Building,  
Park Place, Cardiff, CF10 3AT, United Kingdom

<sup>c</sup> Department of Material and Life Chemistry, Faculty of Engineering, Kanagawa  
University, 3-27, Rokkakubashi, Kanagawa-ku, Yokohama, 221-8686, Japan

**Table of contents:**

**Table S1.** Lattice parameters and mixing energies for DFT calculations of Cu doping in 3x3x3 ZrO<sub>2</sub> lattice

**Table S2.** Physicochemical properties of catalysts prepared by Og or Dp method

**Figure S1.** Narrowed region of PXRD patterns of calcined and reduced catalysts

**Figure S2.** Raman spectra of t-ZrO<sub>2</sub>, 7.6Cu(Me), 10.7Cu(Og), 21.0Cu(Og), 31.3Cu(Og), 41.6Cu(Og), and 51.8Cu(Og).

**Figure S3.** XRD patterns of 14.8Cu(Me), 71.7Cu(Og), 91.7Cu(Og), 100Cu(Og).

**Figure S4.** Lattice parameters *c* as a function of Cu doping into the ZrO<sub>2</sub> lattice.

**Figure S5.** Mixing energy as a function of Cu doping into the ZrO<sub>2</sub> lattice.

**Figure S6.** XRD patterns of 51.8Cu(Og) catalyst before and after the reduction.

**Figure S7.** XRD patterns of 51.8Cu(Og), 51.8Cu(Og)-AR (Og), 51.8Cu(Og)-HR, 51.8Cu(Og)-AR-HR.

**Figure S8.** Arrhenius plot of the reaction.

**Figure S9.** TPR spectra of t-ZrO<sub>2</sub>, 7.6Cu(Me), 10.7Cu(Og), 2.5Cu/ 7.6Cu(Me), 41.6Cu(Og), 20Cu/t-ZrO<sub>2</sub>, and 20Cu/7.6Cu(Me).

**Figure S10.** TEM images of (a) 720Cu(Me), (b) 20Cu(Og), (c) 50Cu(Og).

**Table S1.** Lattice parameters and mixing energies for DFT calculations of Cu doping in 3x3x3 ZrO<sub>2</sub> lattice

Doping %	$E_{mixing}/\text{eV}$	$E_{mixing}/x/\text{eV}$	Lattice Parameters (error) Å		
			$a$	$b$	$c$
0%	0.00	0.00	3.59	3.6	5.27
2%	0.71	0.71	3.59 (0.02)	3.59 (0.02)	5.20 (0.03)
4%	3.29	1.65	3.58 (0.01)	3.61 (0.02)	5.20 (0.02)
6%	5.79	1.93	3.57 (0.02)	3.61 (0.01)	5.19 (0.03)
8%	7.61	1.90	3.58 (0.03)	3.61 (0.03)	5.18 (0.03)

**Table S2.** Physicochemical properties of catalysts prepared by Dp or Og method

Catalyst	Cu / (Cu + Zr) <sup>a</sup>	BET surface area <sup>b</sup> /m <sup>2</sup> g <sup>-1</sup>	CuO particle size <sup>c</sup> /nm	Cu particle size <sup>d</sup> /nm	Cu particle amount <sup>e</sup> /μmol g <sup>-1</sup>
t-ZrO <sub>2</sub>	0.0	43.4	-	-	-
7.6Cu (Me)	7.6	64.3	-	-	63
10.7Cu (Og)	10.7	62.6	-	7.6	891
2.5wt%Cu/7.6Cu (Me)	11.6	-	-	19.1	671
41.6Cu (Og)	41.6	57.9	8.5	17.1	4130
20wt%Cu/t-ZrO <sub>2</sub>	33.3	44.2	9.1	50.2	3831
20wt%Cu/7.6Cu (Me)	36.9	55.9	8.5	46.3	3954

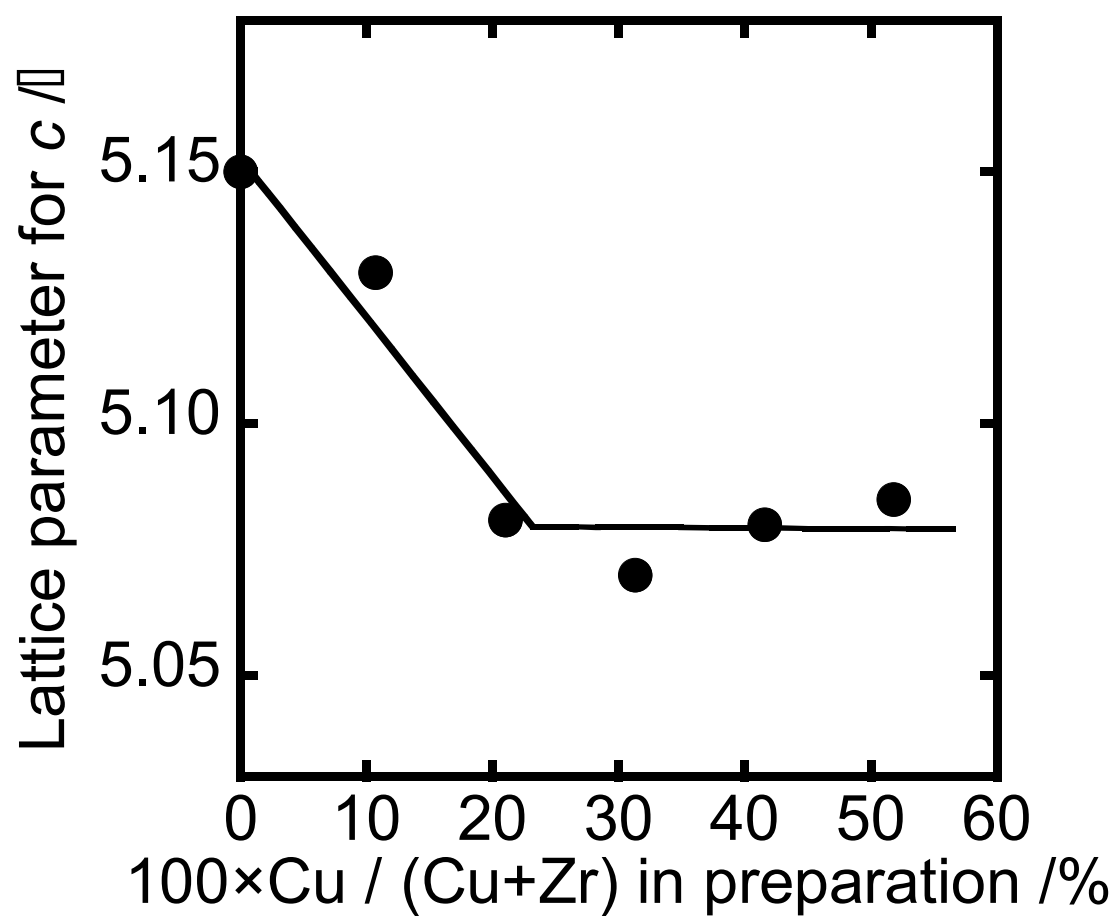
<sup>a</sup> Determined by ICP. The value in bracket represents the bulk composition after the reduction.

<sup>b</sup> Obtained by N<sub>2</sub> adsorption at liq. N<sub>2</sub> temperature. Surface area in bracket is the one of the reduced catalysts.

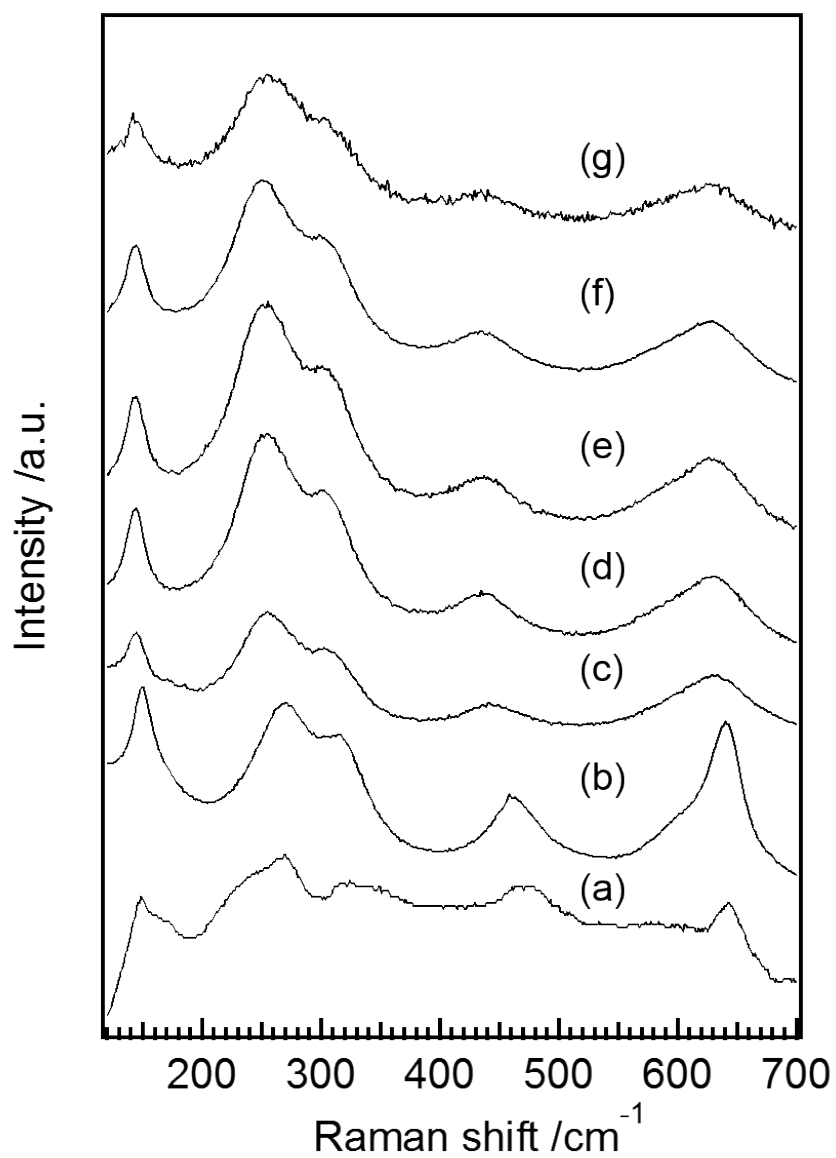
<sup>c</sup> Obtained by XRD of the oxidized catalysts and estimated from scherrer equation.

<sup>d</sup> Obtained by XRD of the reduced catalysts and estimated from scherrer equation.

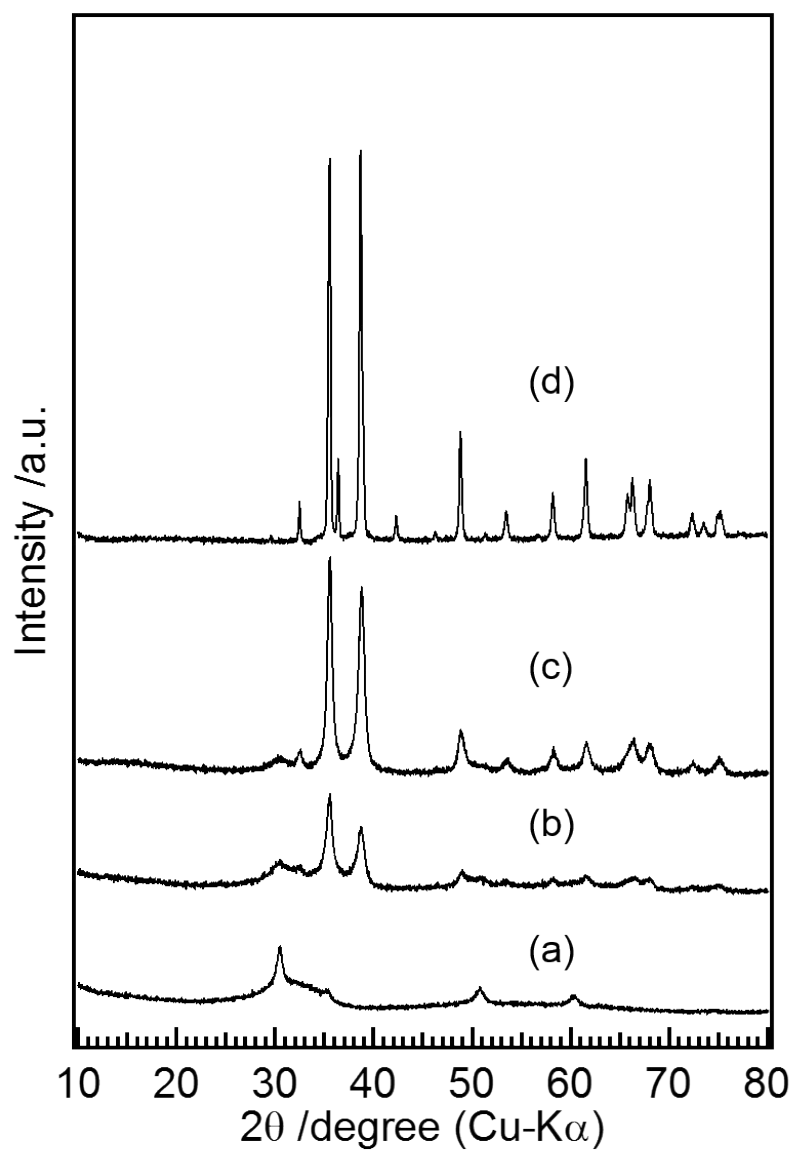
<sup>e</sup> Estimated by TPR signal area.



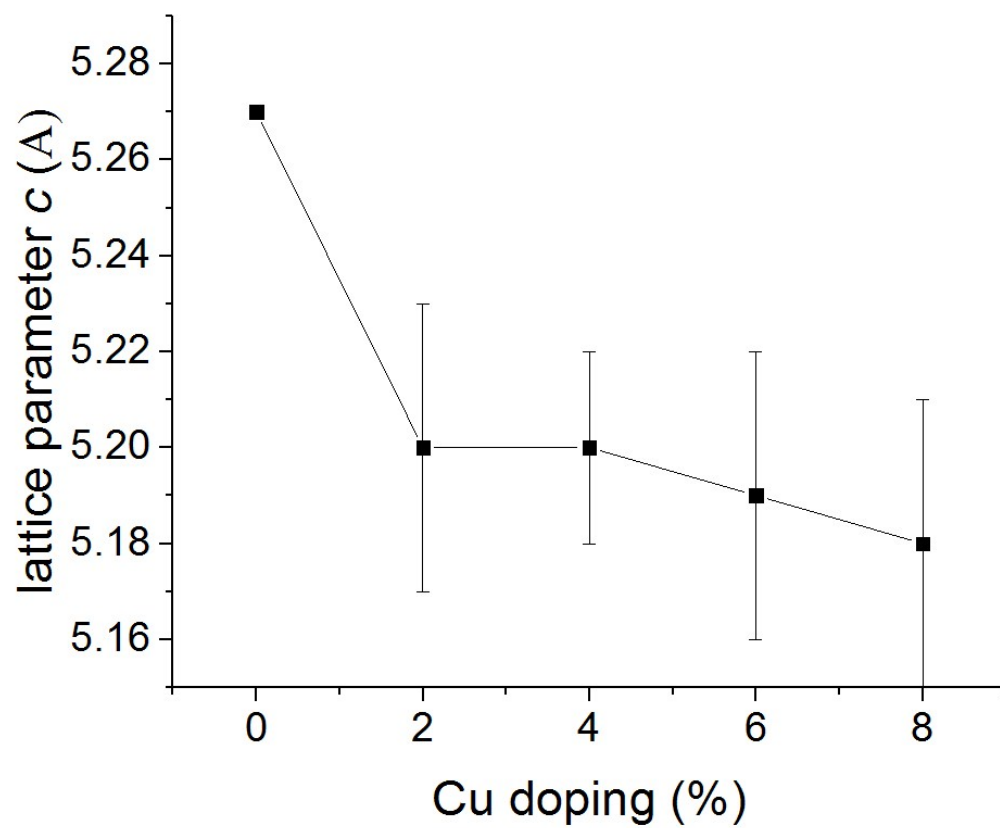
**Figure S1.** Narrowed region of PXRD patterns of calcined and reduced catalysts (for Figure 2)



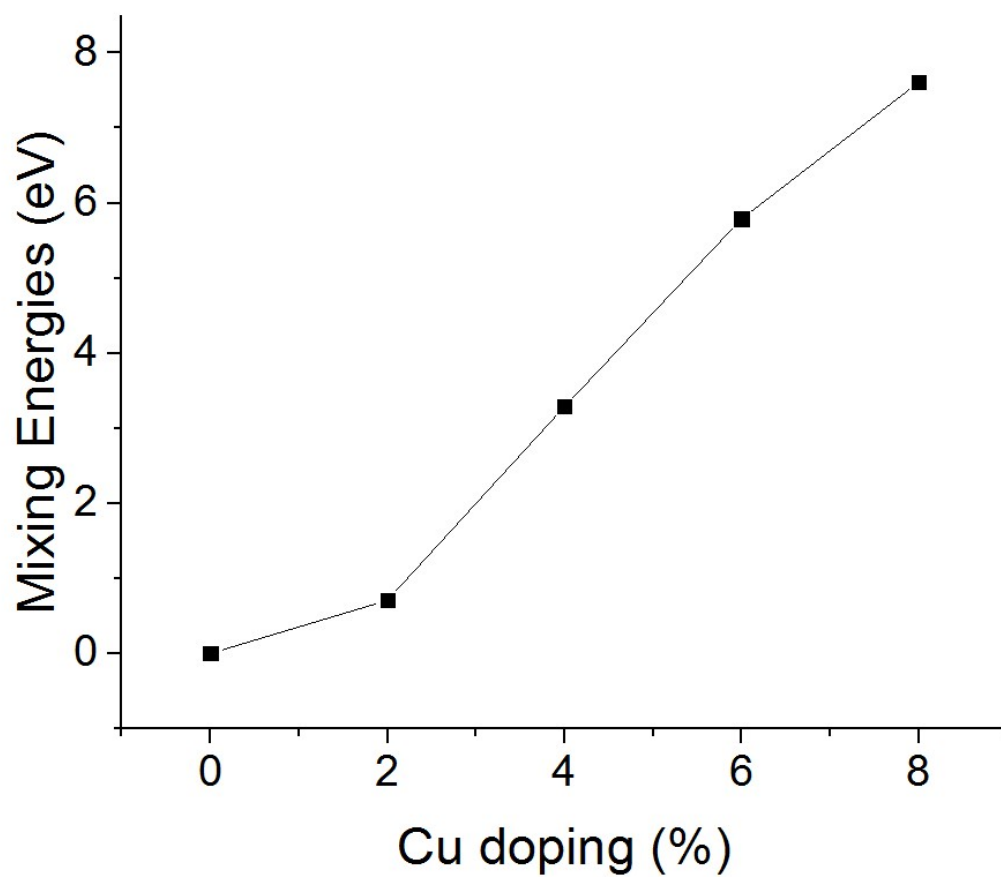
**Figure S2.** Raman spectra of (a) t-ZrO<sub>2</sub>, (b) 7.6Cu (Me), (c) 10.7Cu (Og), (d) 21.0Cu (Og), (e) 31.3Cu (Og), (f) 41.6Cu (Og), and (g) 51.8Cu (Og).



**Figure S3.** XRD patterns of (a) 14.8Cu (Me), (b) 71.7Cu (Og), (c) 91.7Cu (Og), (d) 100 Cu (Og).

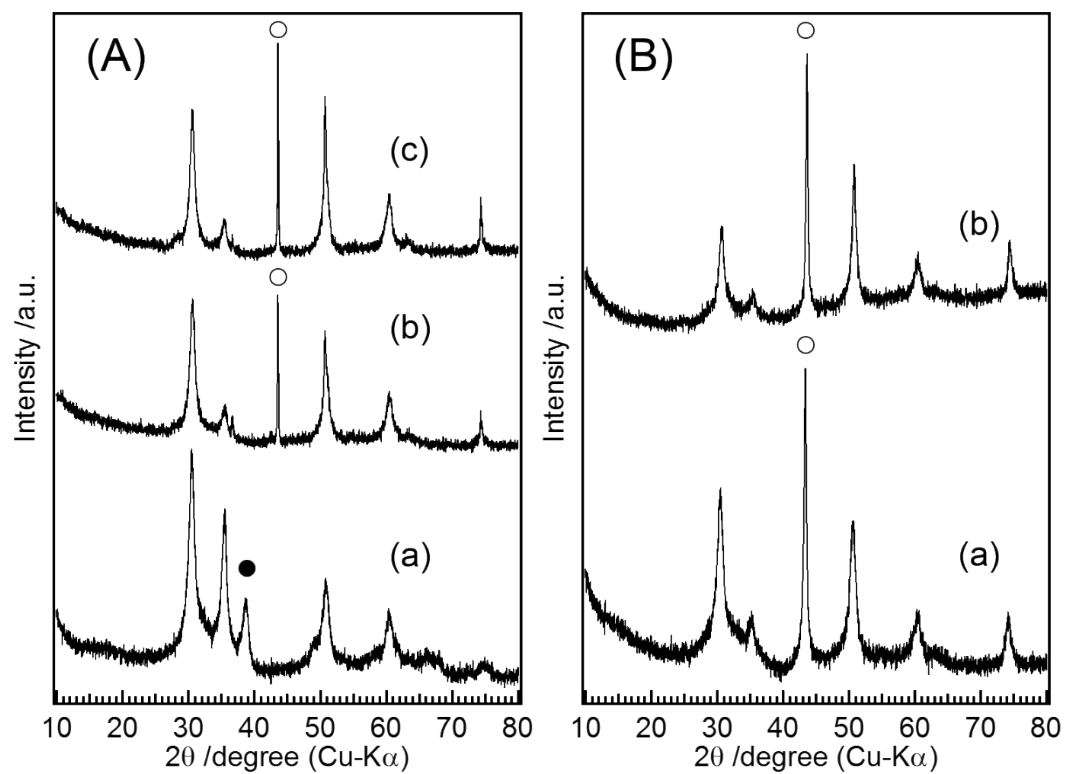


**Figure S4.** Lattice parameters  $c$  as a function of Cu doping into the  $\text{ZrO}_2$  lattice.



**Figure S5.** Mixing energy as a function of Cu doping into the ZrO<sub>2</sub> lattice.

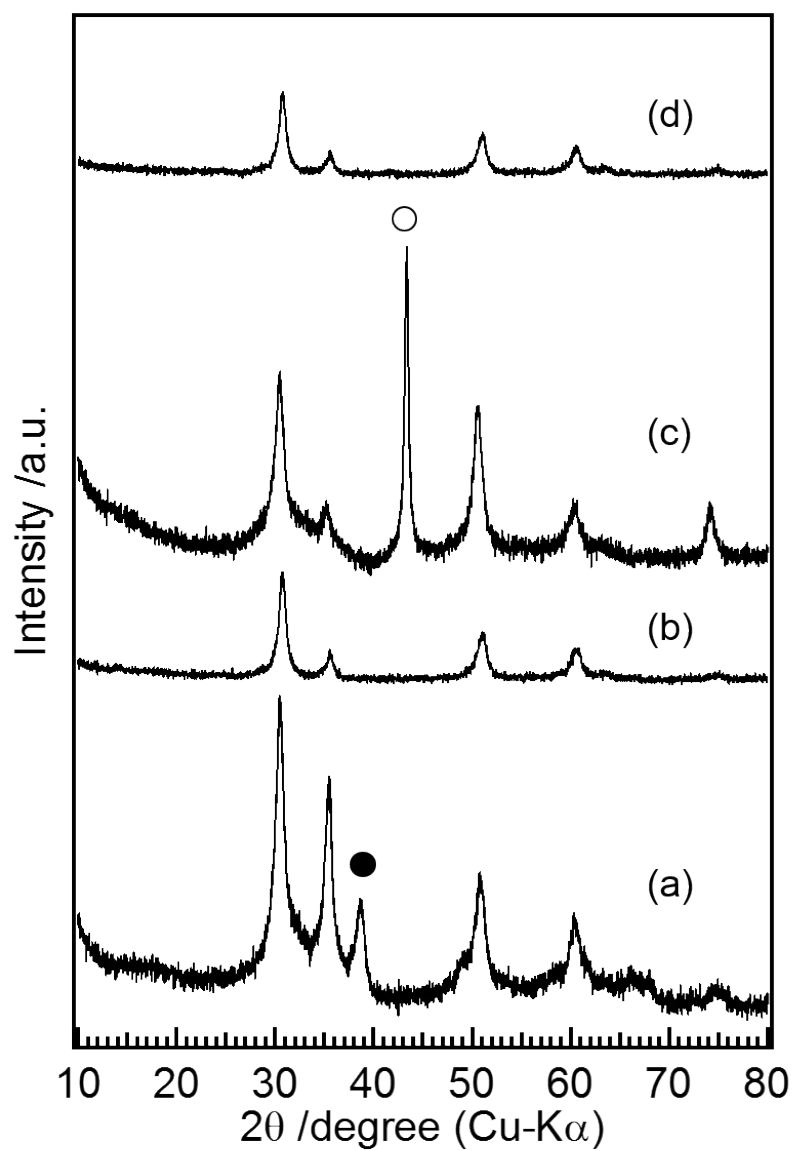




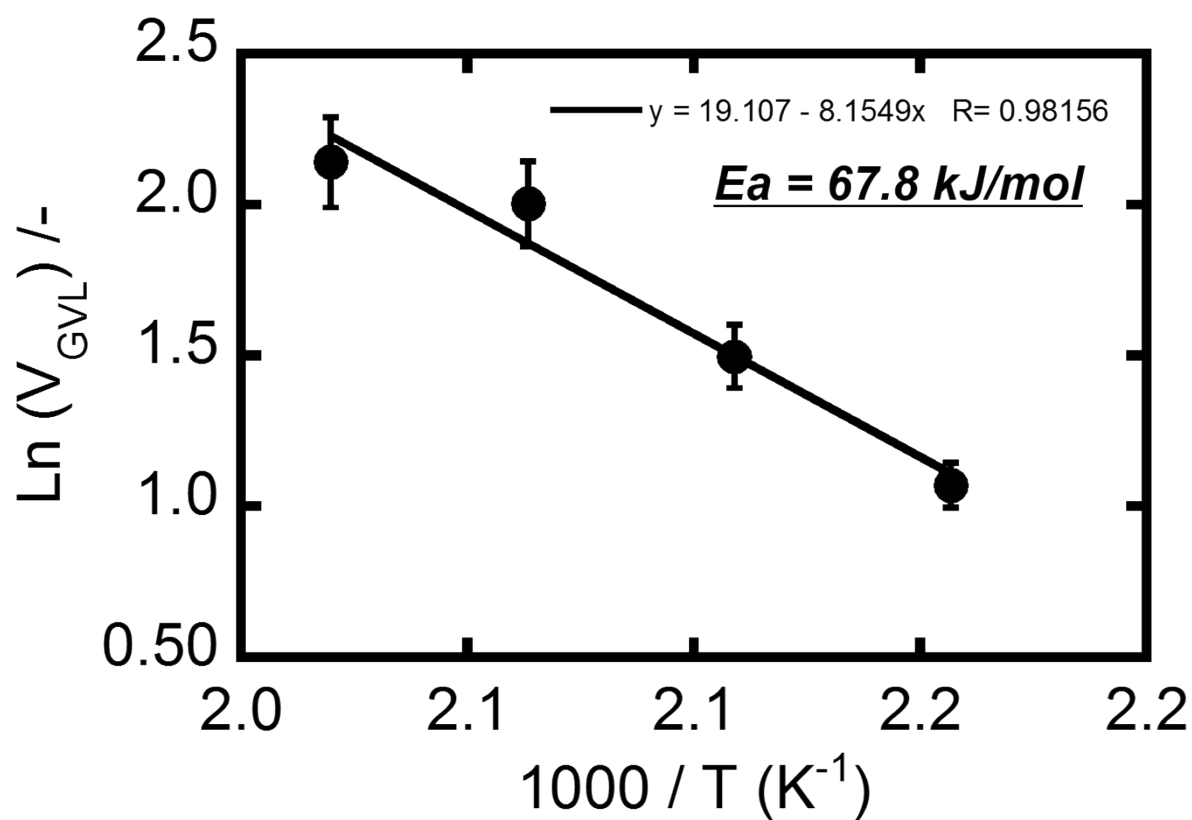
**Figure S6.** XRD patterns of 51.8Cu (Og) catalyst before (A) and after (B) the reduction.

(a) before the reaction, (b) after the reaction for 30 min, (c) after the reaction for 4 h.

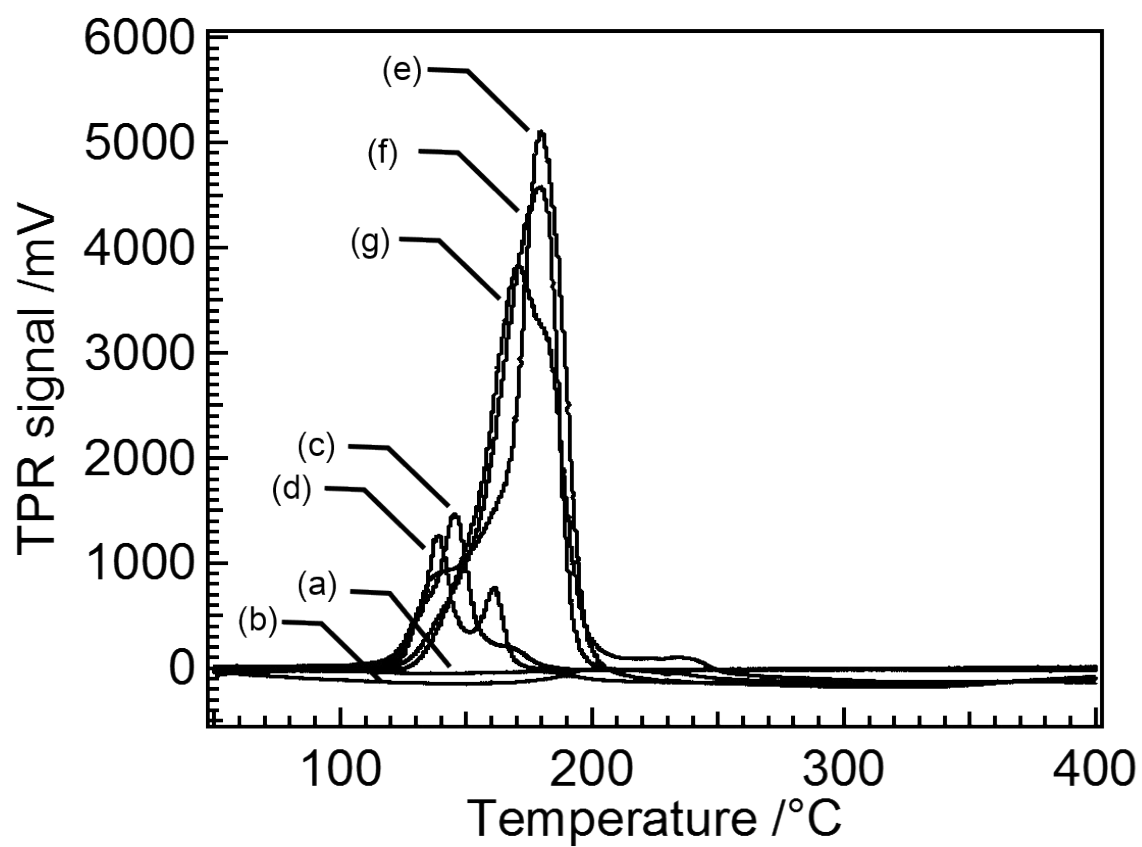
● CuO. ○ Cu metal.



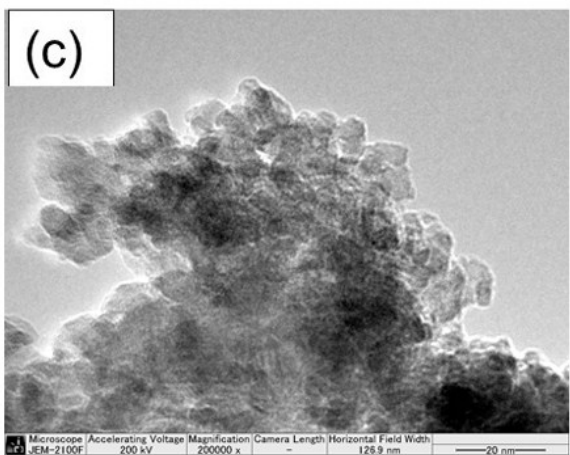
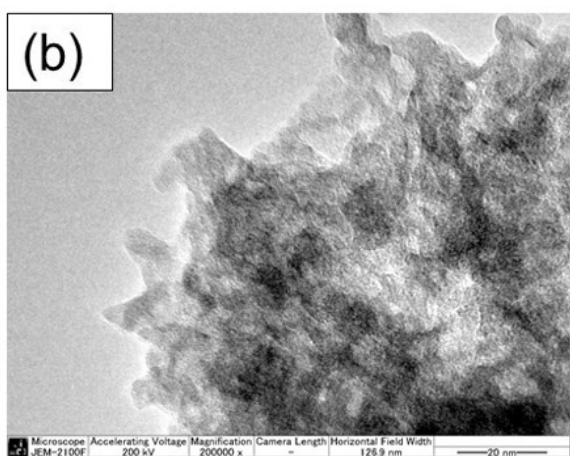
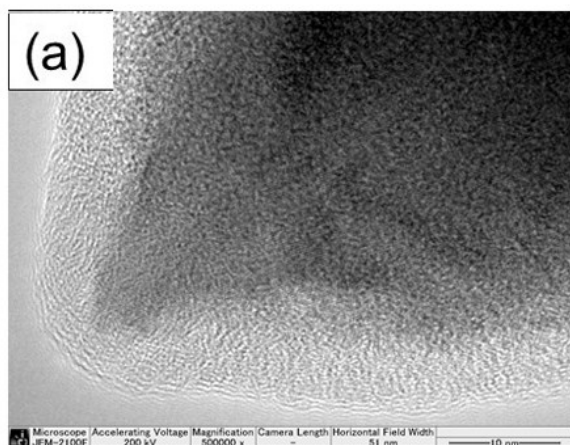
**Figure S7.** XRD patterns of (a) 51.8Cu (Og), (b) 51.8Cu (Og)-AR (Og), (c) 51.8Cu (Og)-HR, (d) 51.8Cu (Og)-AR-HR.  $\bullet$  CuO.  $\circ$  Cu metal.



**Figure S8.** Arrhenius plot of the reaction. Catalyst amount was changed from 0.010 g to 0.025 g in order to set the LA conversion below 10%. *Reaction conditions:* 180 °C to 210 °C, 30 min, 35 barg  $\text{H}_2$ .



**Figure S9.** TPR spectra of (a) t-ZrO<sub>2</sub>, (b) 7.6Cu (Me), (c) 10.7Cu (Og), (d) 2.5Cu/ 7.6Cu (Me), (e) 41.6Cu (Og), (f) 20Cu/t-ZrO<sub>2</sub>, and (g) 20Cu/7.6Cu (Me).



**Figure S10.** TEM images of (a) 720Cu(Me), (b) 20Cu(Og), (c) 50Cu(Og).

Cu particle and bulk Cu ratios of the catalysts were calculated as follows.

Cu amounts in the entire catalyst is

$$\text{Cu} : \text{Zr} = x : (100-x) \quad (1)$$

where  $x$  corresponds to the entire Cu ratio estimated by ICP.

$y$  g of catalyst was used for TPR analysis. Cu amount (gram) in  $y$  g is

$$(x/100) \times y \text{ (g)} = xy/100 \text{ (g)} \quad (2)$$

Theoretical Cu amount (mol) is  $(xy/100) / M_{\text{CuO}}$  (mol), where  $M_{\text{CuO}}$  corresponds molecular weight of CuO. Therefore, the theoretical entire Cu amount is

$$10^4 xy / M_{\text{CuO}} (\mu\text{mol}) / y \text{ (g)} = 10^4 x / M_{\text{CuO}} (\mu\text{mol g}^{-1}) \quad (3)$$

The ratio of Cu particles ( $\text{Cu}_{\text{sur}}$ ) is

$$\text{Cu}_{\text{sur}} = x \times \{ \text{CuO}_{\text{TPR}} / (10^4 x / M_{\text{CuO}}) \} = (\text{CuO}_{\text{TPR}} \times M_{\text{CuO}}) / 10^4 \quad (4)$$

where  $\text{CuO}_{\text{TPR}}$  corresponds to the CuO amount estimated by TPR ( $\mu\text{mol g}^{-1}$ ).

The ratio of Cu bulk ( $\text{Cu}_{\text{bulk}}$ ) is

$$\text{Cu}_{\text{bulk}} = x - \text{Cu}_{\text{sur}} \quad (5)$$