

## Supporting Information

### NHC-Catalyzed [4+2] Annulation of 2-Bromo-2-Enal with Acylhydrazones: Enantioselective Synthesis of $\delta$ -Lactams

Baomin Sun,<sup>‡a</sup> Lijiu Gao,<sup>‡a</sup> Shide Shen,<sup>b</sup> Chenxia Yu,<sup>a</sup> Tuanjie Li,<sup>a</sup> Yuanwei Xie<sup>a</sup> and  
Changsheng Yao<sup>\*a</sup>

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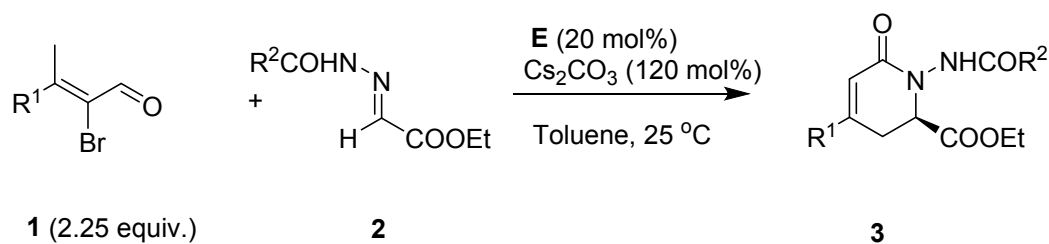
## 1. General methods

Common reagents and materials were purchased from commercial sources and purified by recrystallization or distillation. Melting points were determined in open capillaries and were uncorrected. IR spectra were taken on a FT-IR-Tensor 27 spectrometer in KBr pellets and reported in  $\text{cm}^{-1}$ .  $^1\text{H}$  NMR spectra were measured on a Bruker DPX 400 MHz spectrometer in  $\text{DMSO-}d_6$  (100 MHz,  $^{13}\text{C}$  NMR) or  $\text{CDCl}_3$  with chemical shift ( $\delta$ ) given in ppm relative to TMS as internal standard. High-resolution mass spectra (HRMS) were obtained on a micrOTOF-Q II HRMS/MS instrument (Bruker) with the technique of electrospray ionization. Optical rotation values were measured with instruments operating at  $\lambda = 589 \text{ nm}$ , corresponding to the sodium D line at the temperatures indicated.

## 2. Abstract

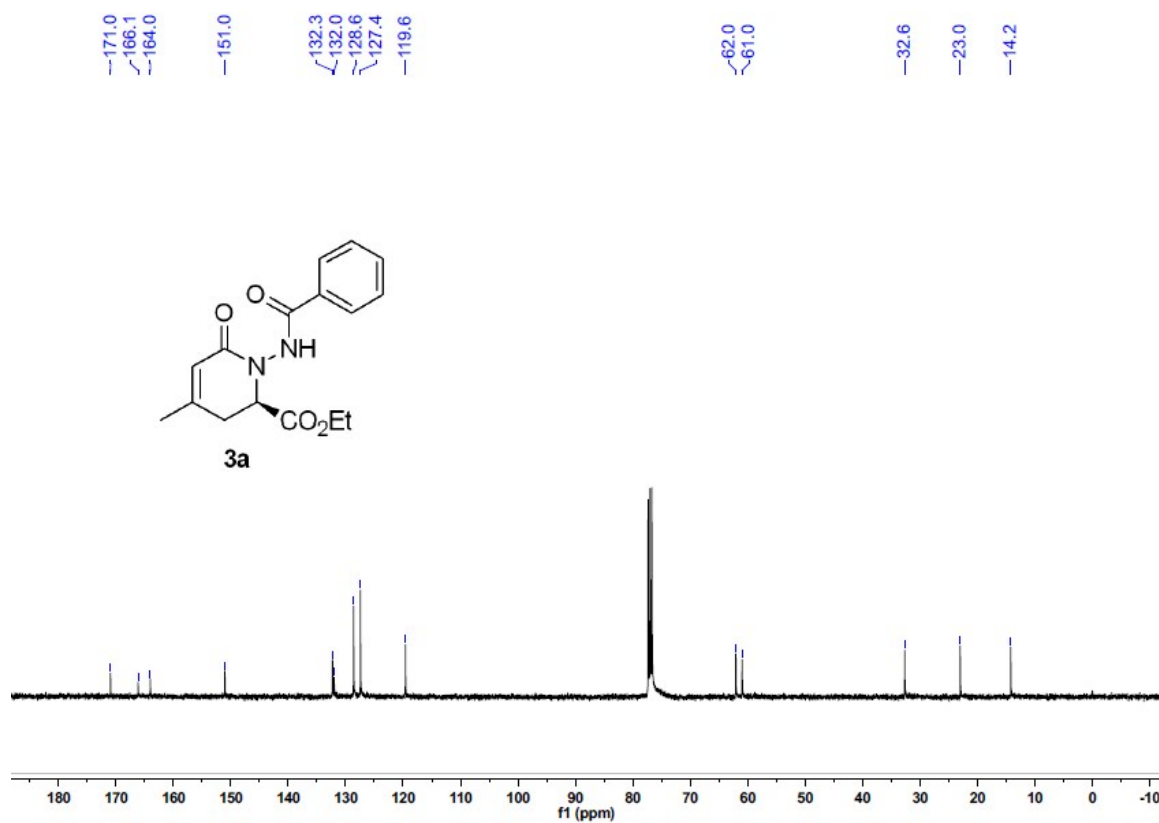
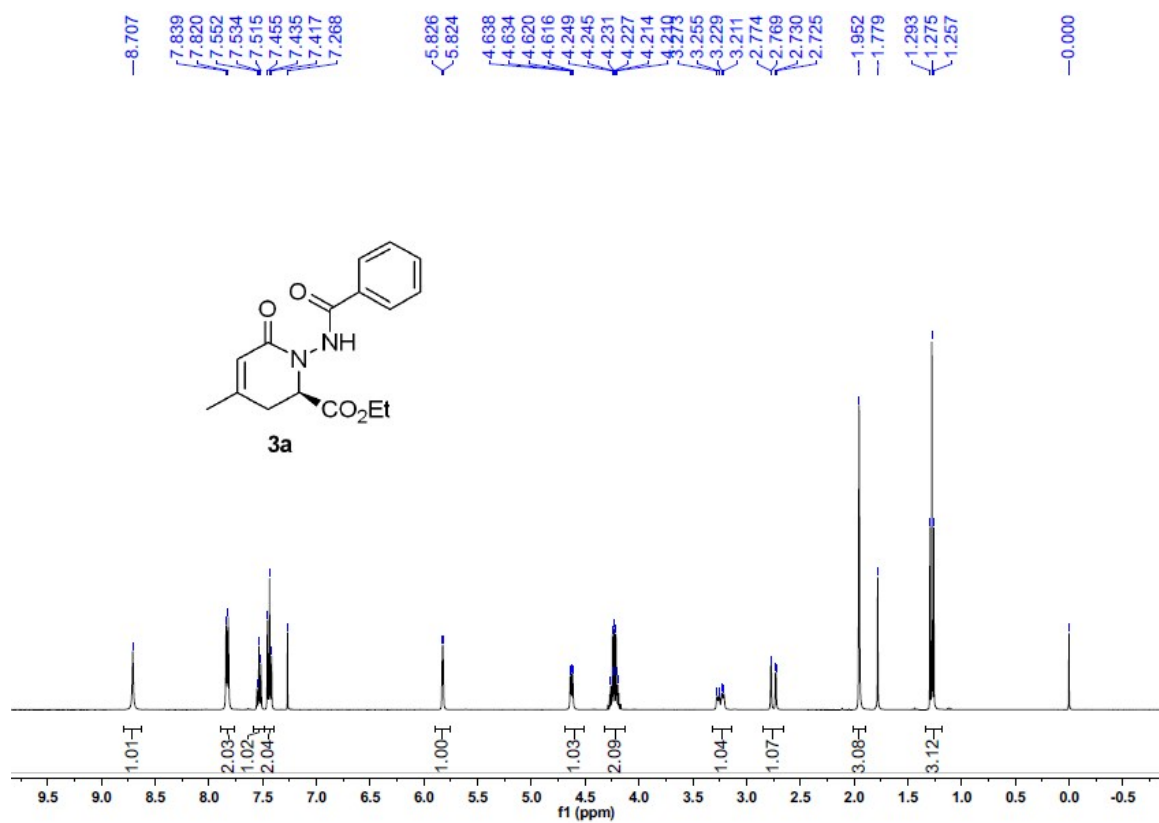
An asymmetric assembly of  $\delta$ -lactam was realized via the NHC-catalyzed formal [4+2] annulation of acylhydrazones and 2-bromo-2-enals bearing  $\gamma$ -H. The advantages of this protocol include high enantioselectivity, good yields, mild reaction condition and potential biological significance of the final products.

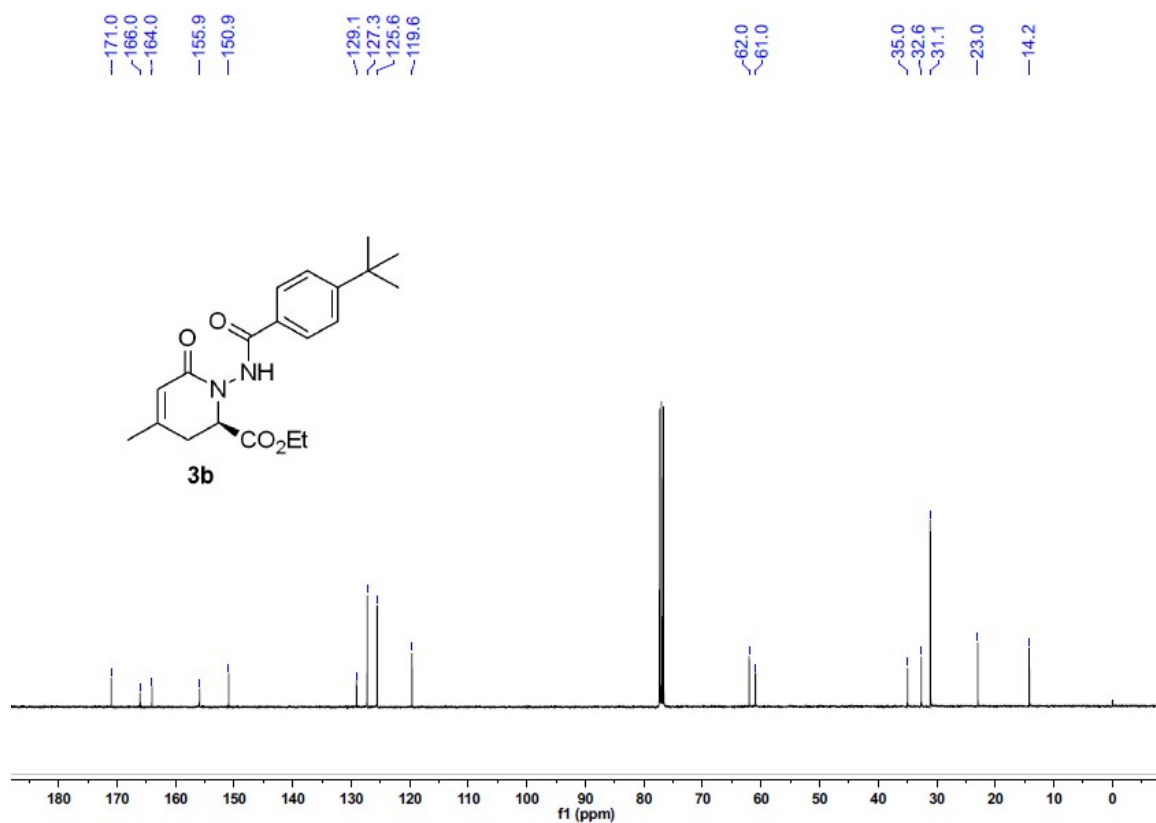
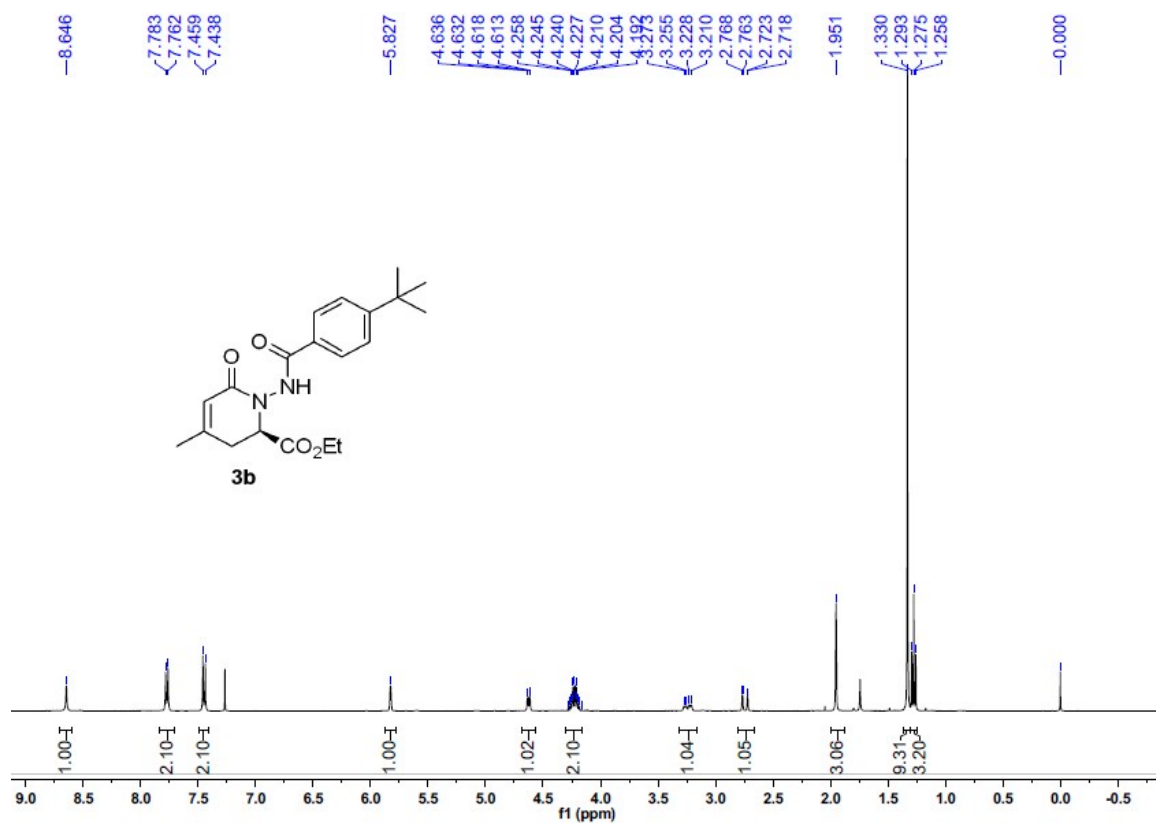
### 3. Experimental section

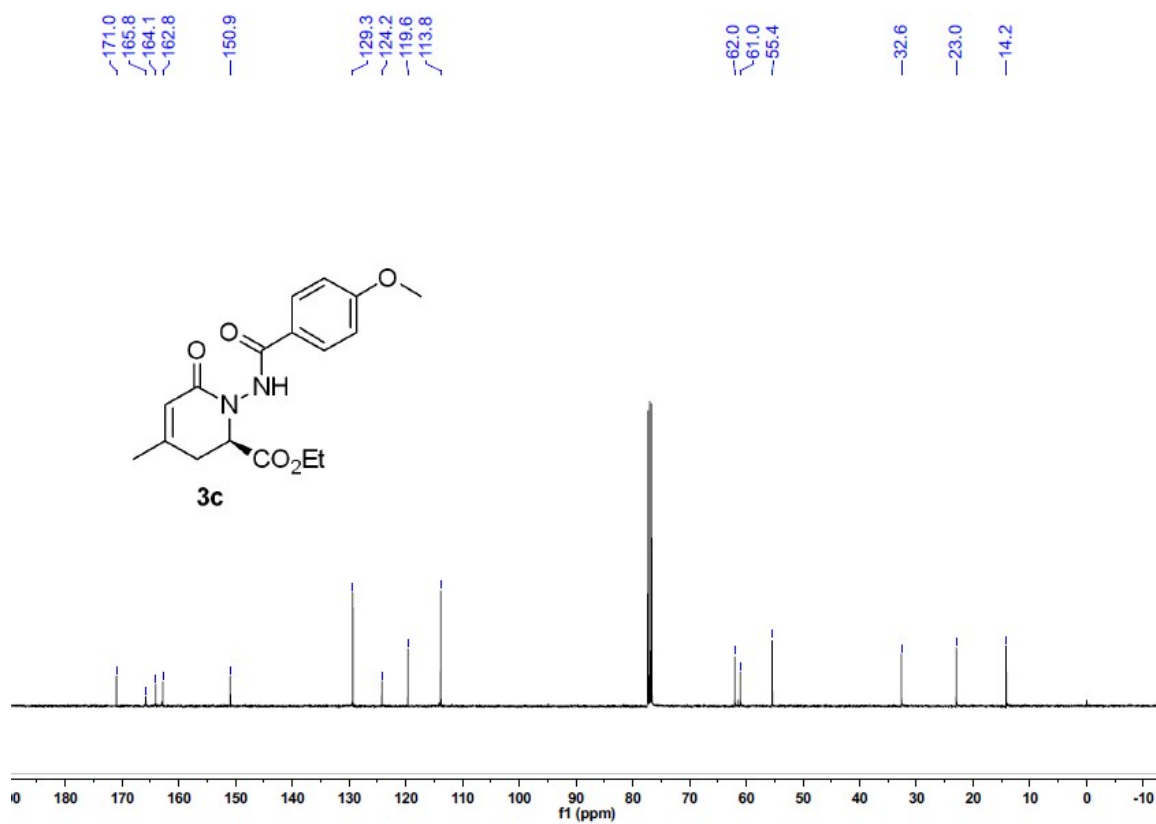
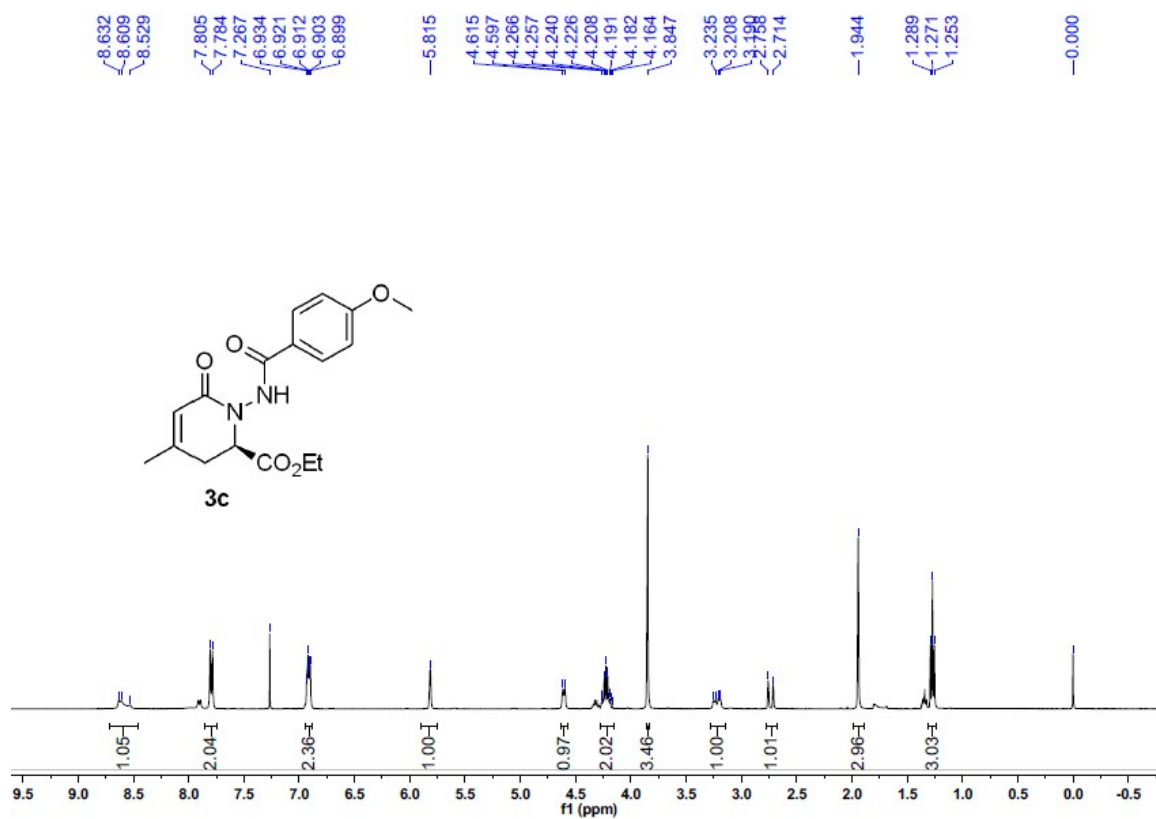


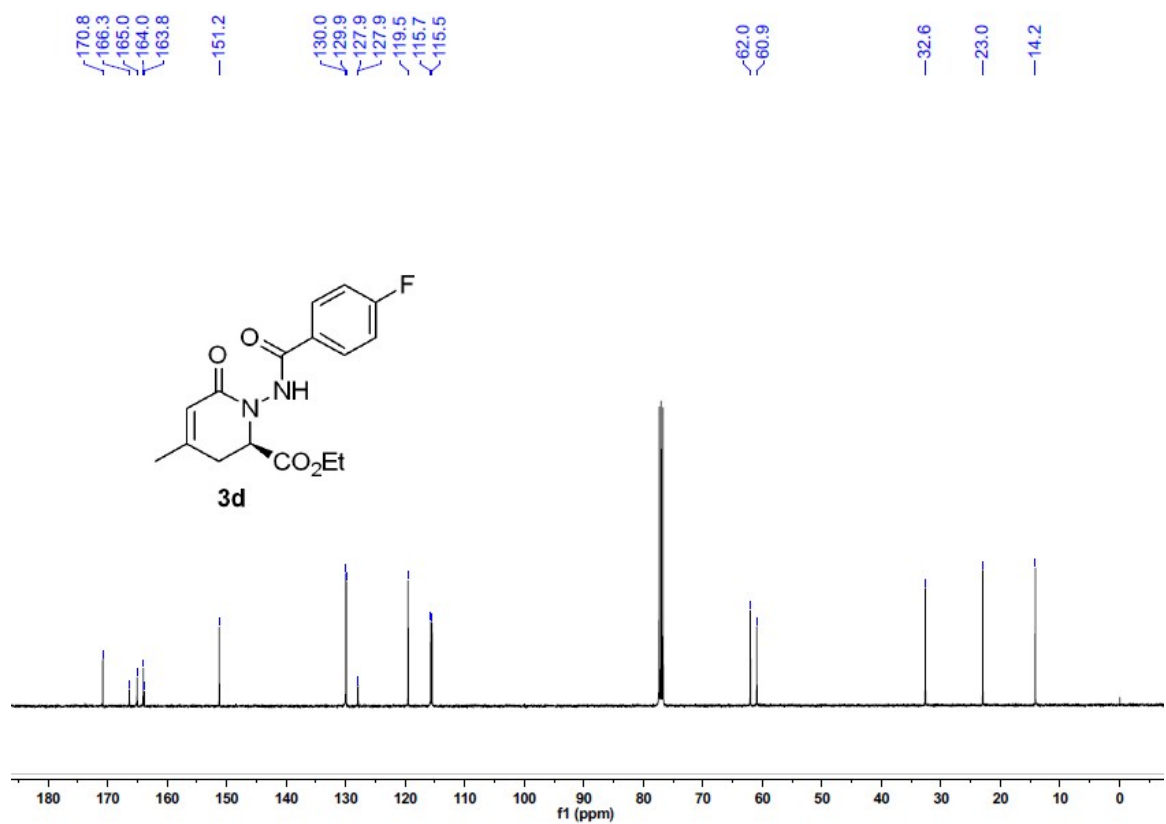
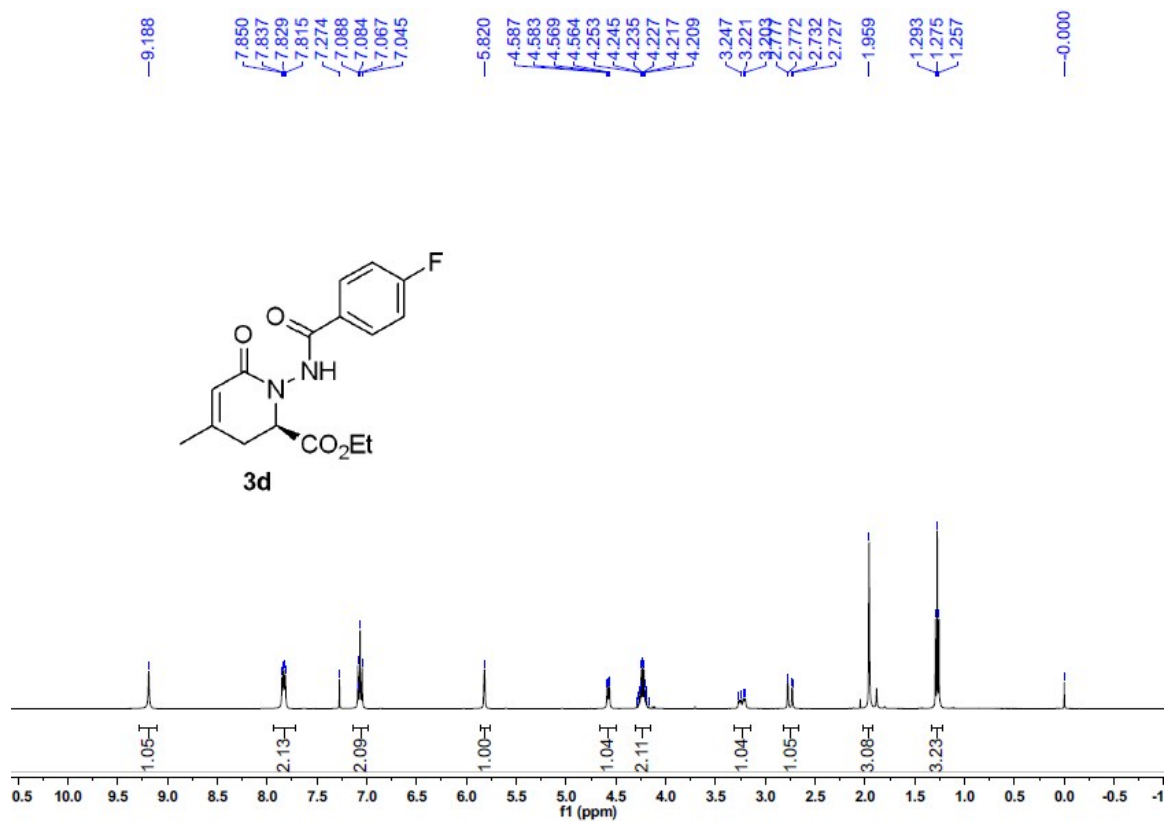
An oven-dried 10-mL Schlenk tube equipped with a magnetic stir bar was charged with triazolium salt **E** (16.7 mg, 0.04 mmol),  $\text{Cs}_2\text{CO}_3$  (78.2 mg, 0.24 mmol),  $\alpha$ -bromo- $\alpha,\beta$ -unsaturated aldehyde **1** (0.45 mmol) and hydrazone **2** (0.2 mmol). The tube was closed with a septum. And the freshly distilled toluene (3 mL) was added into the mixture with a syringe. Then the mixture was stirred at 25 °C until completion (monitored by TLC). After removal of the solvent under reduced pressure, the resulting crude product was purified by column chromatography (silicagel, mixtures of petroleum ether/ethyl acetate, 2:1, v/v) to afford the desired product **3**.

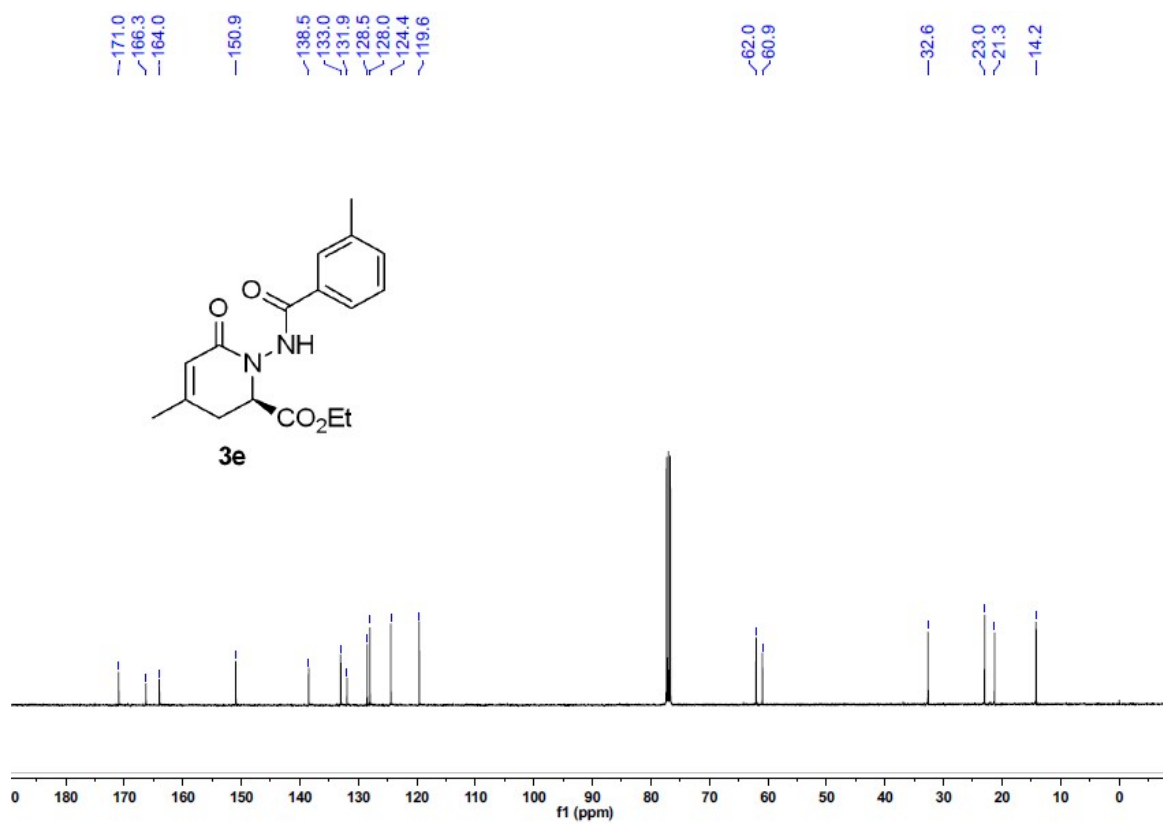
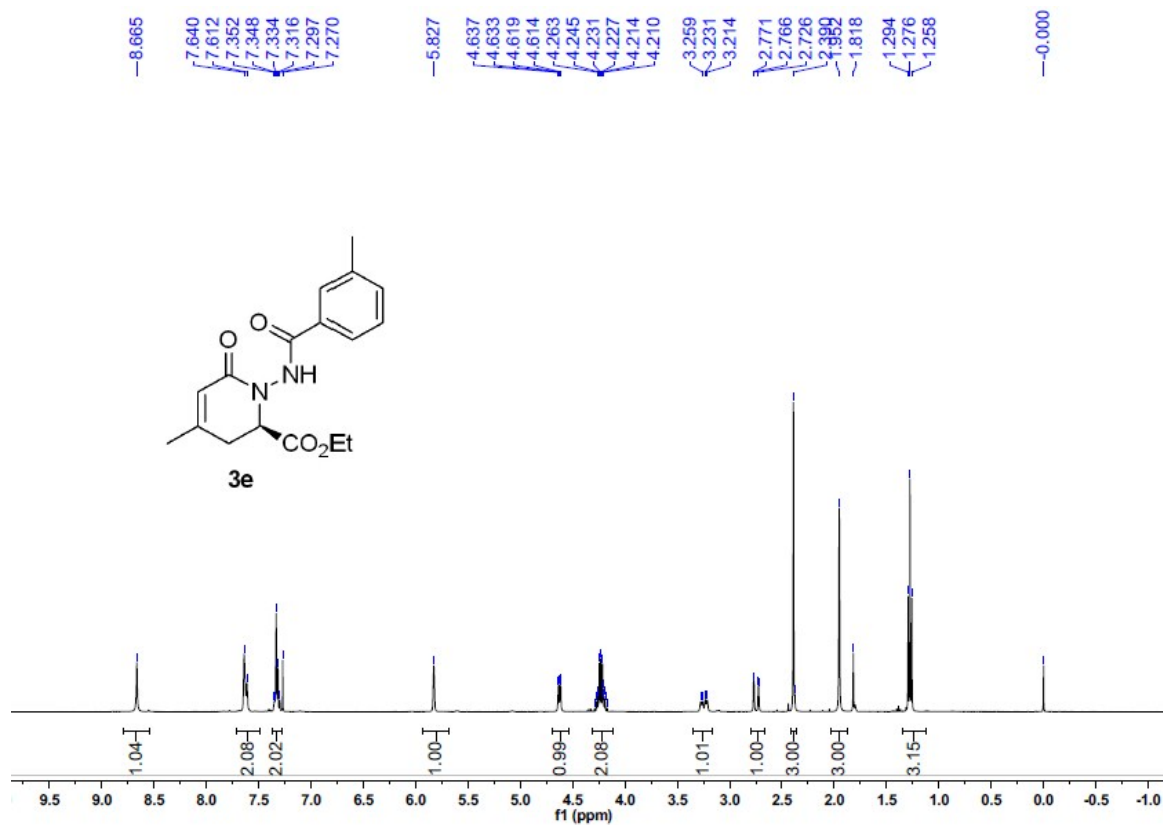
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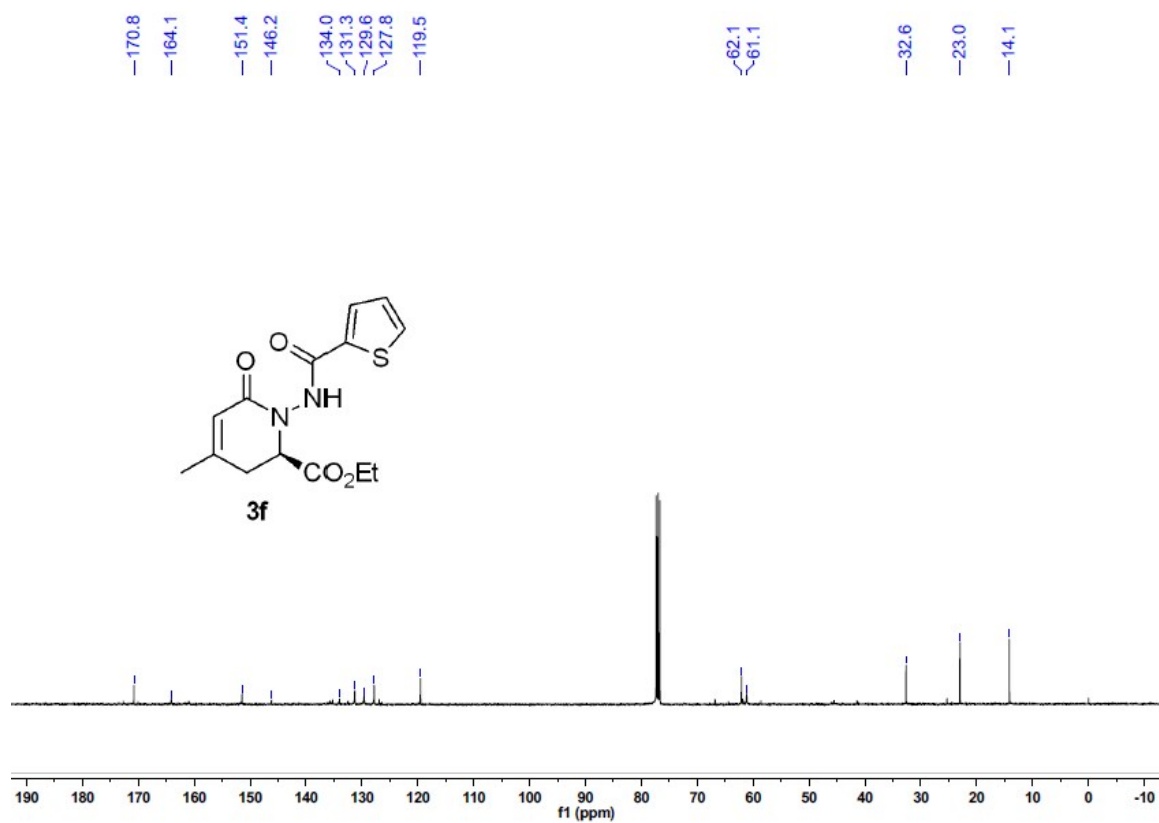
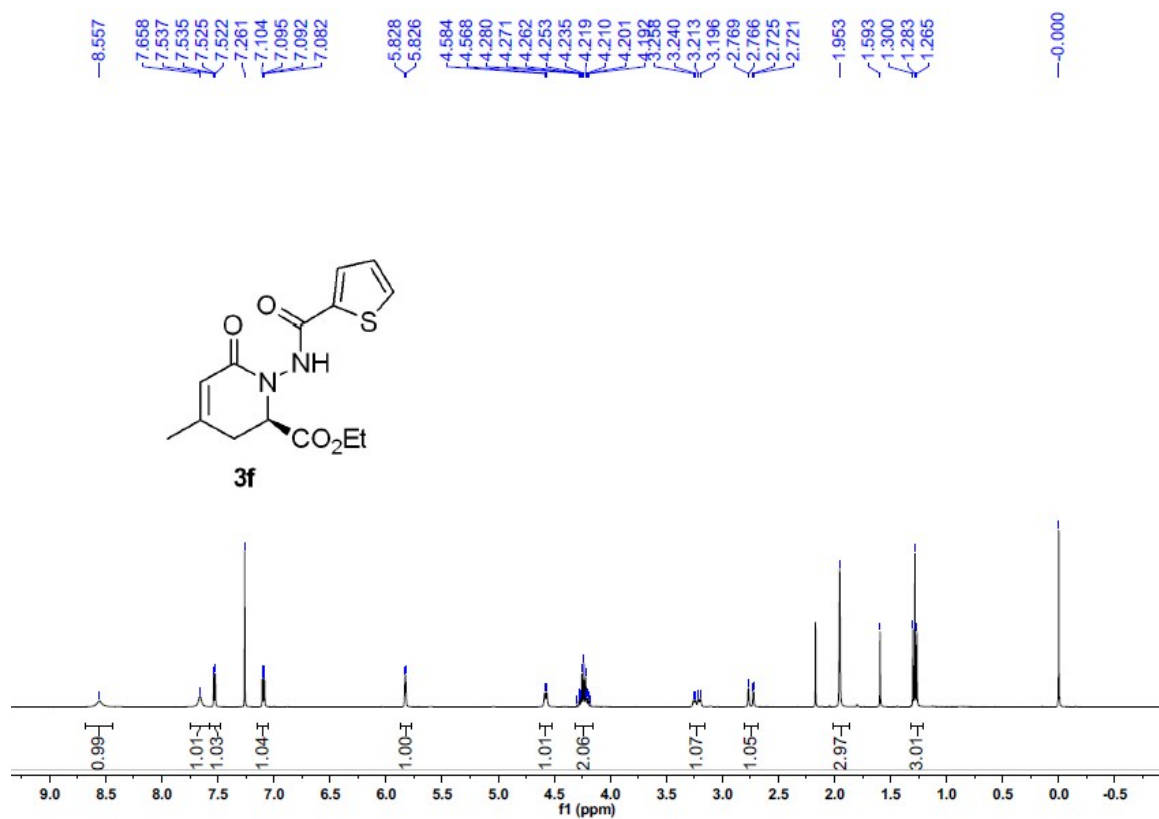


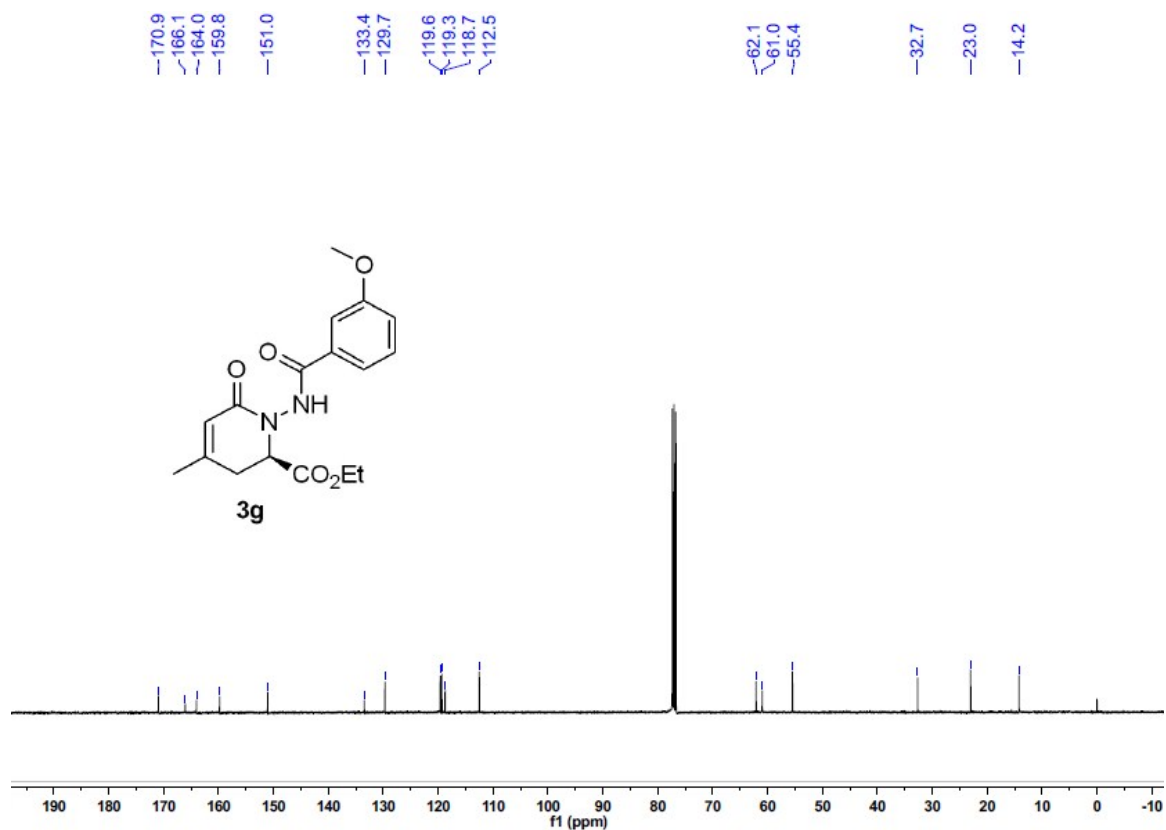
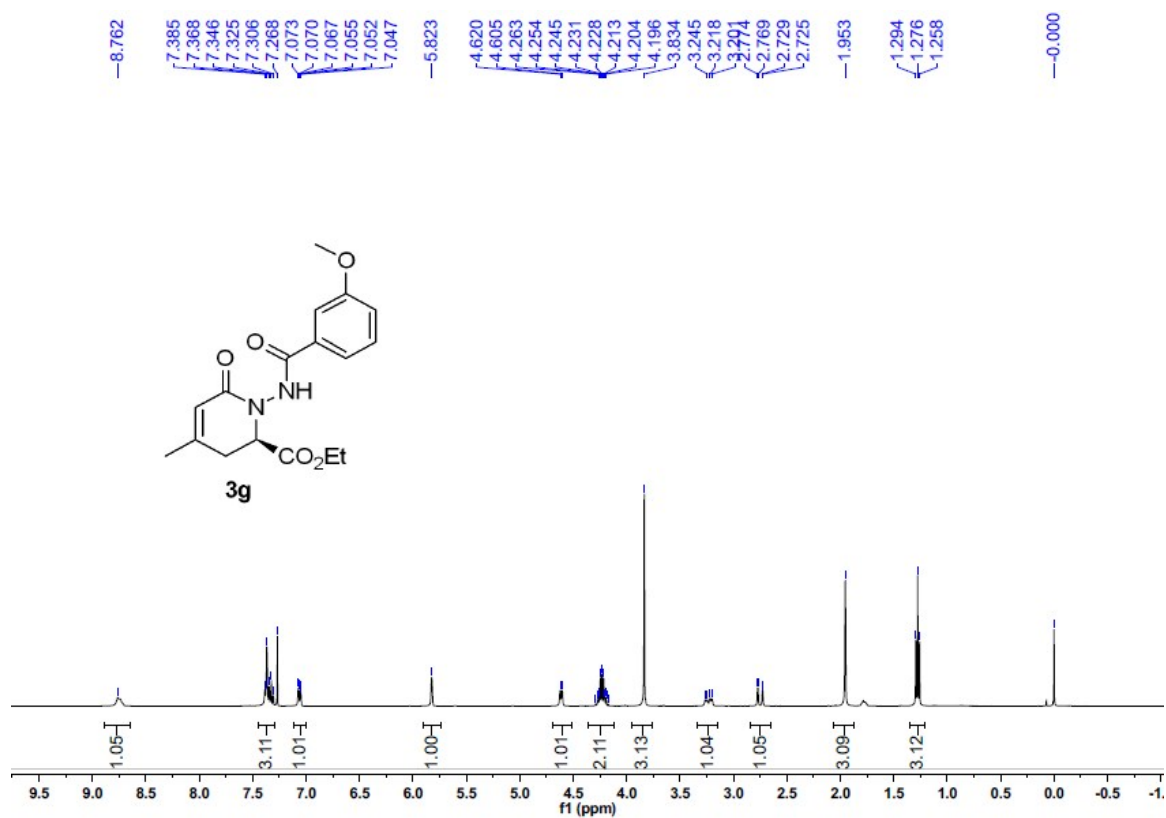


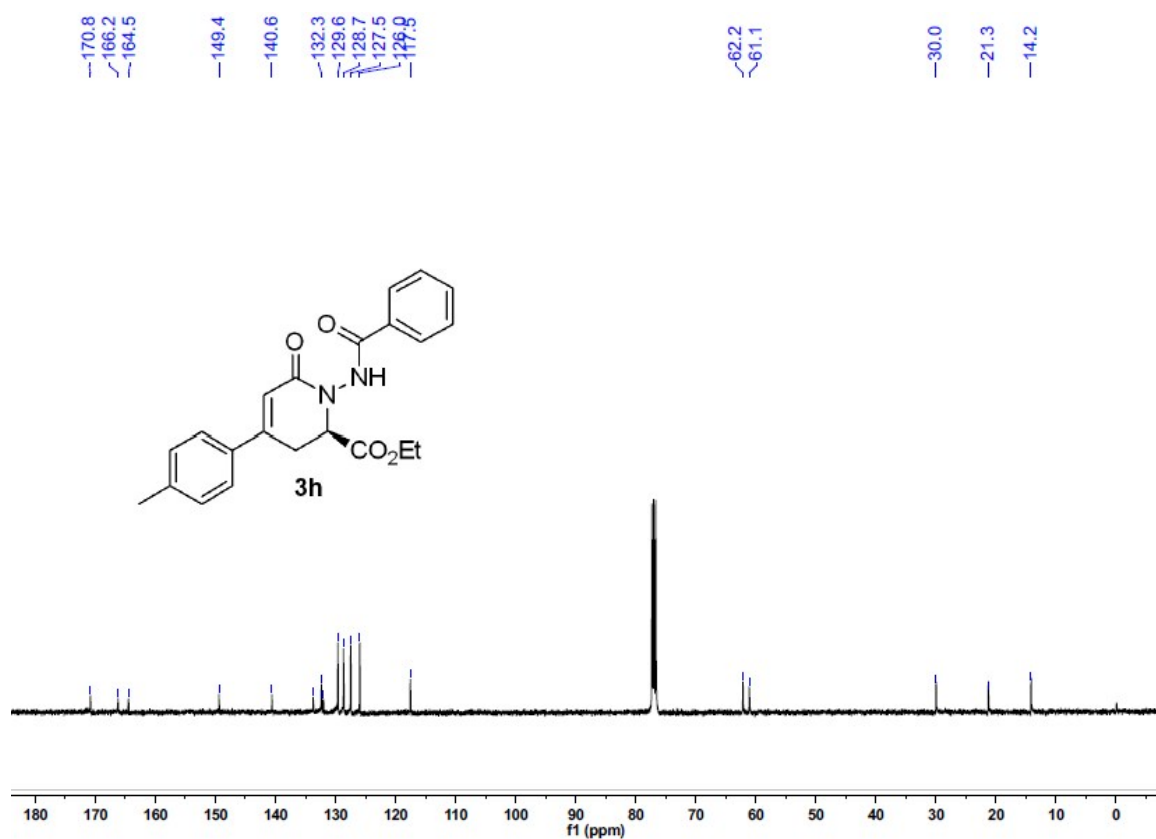
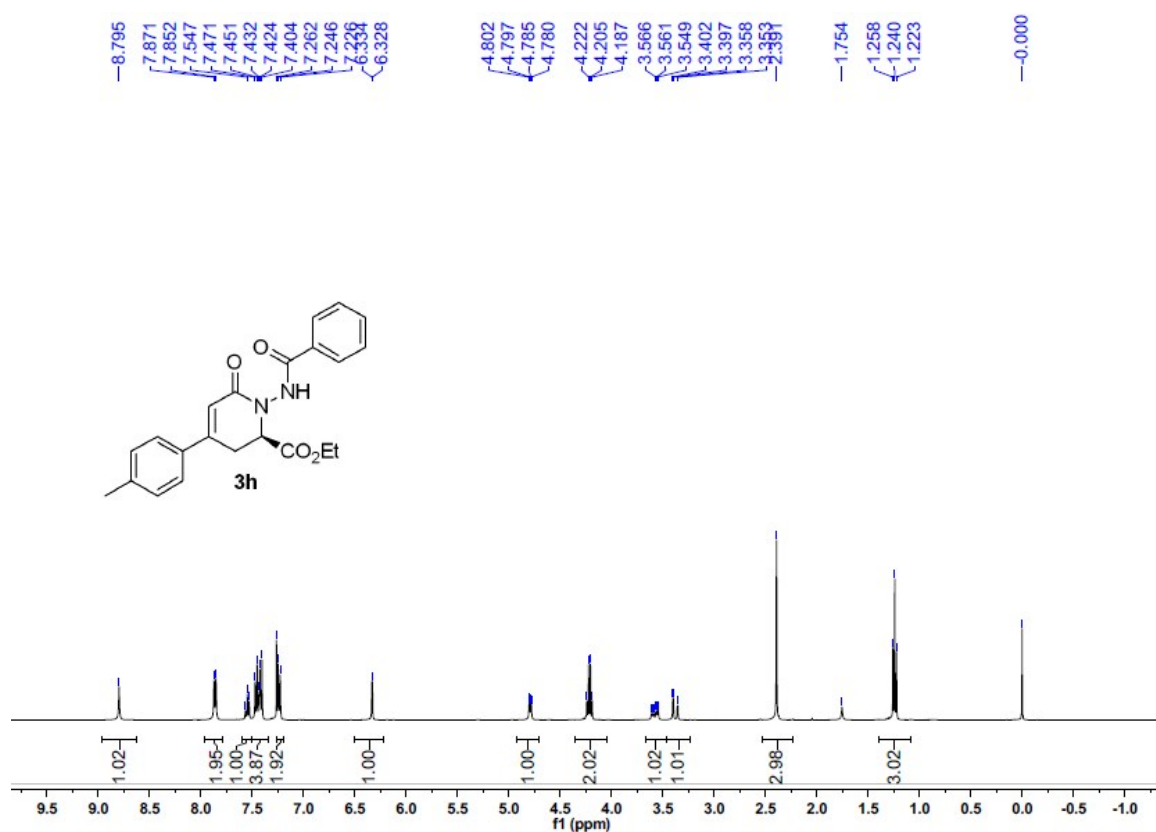


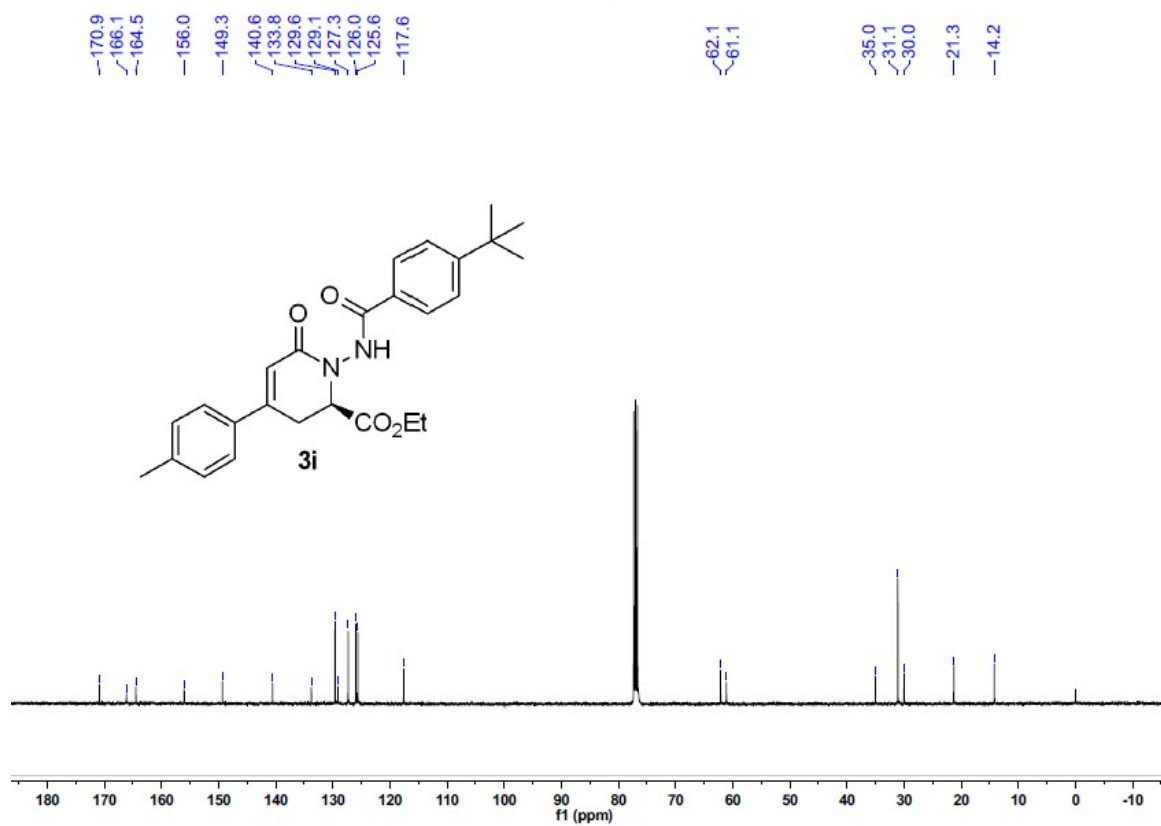
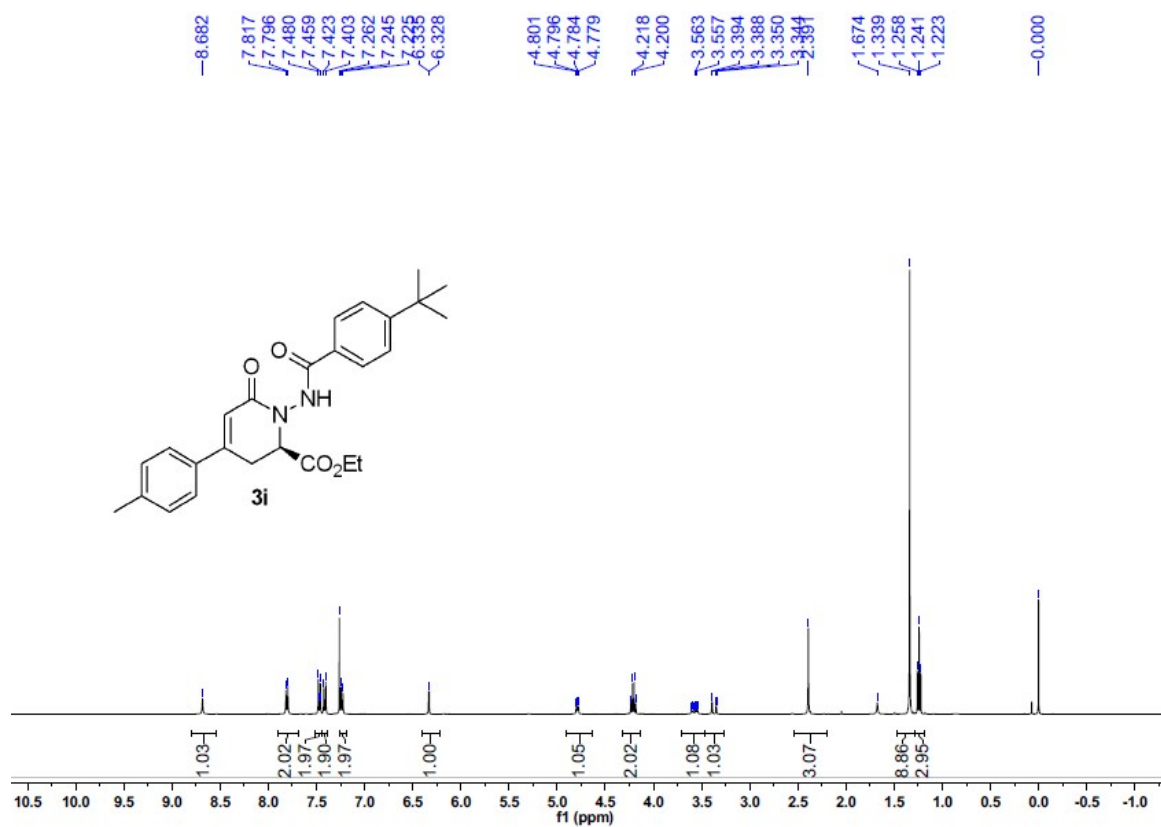


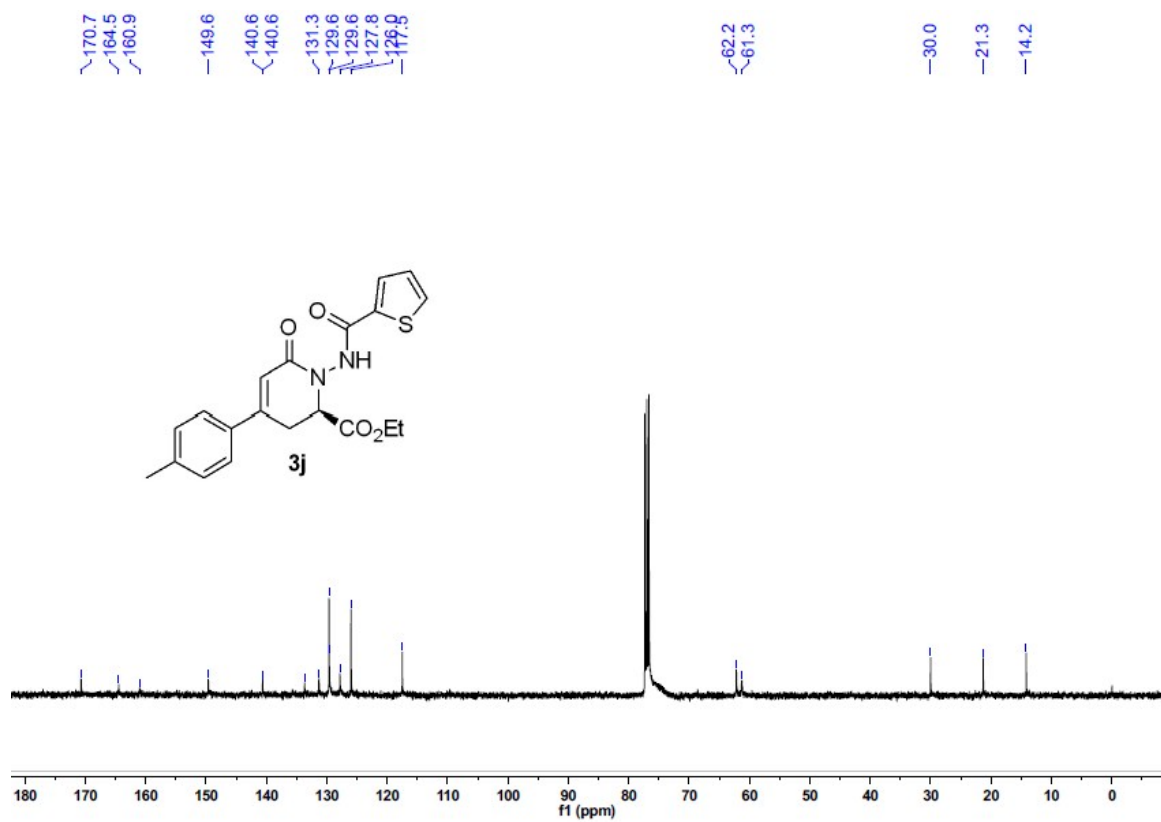
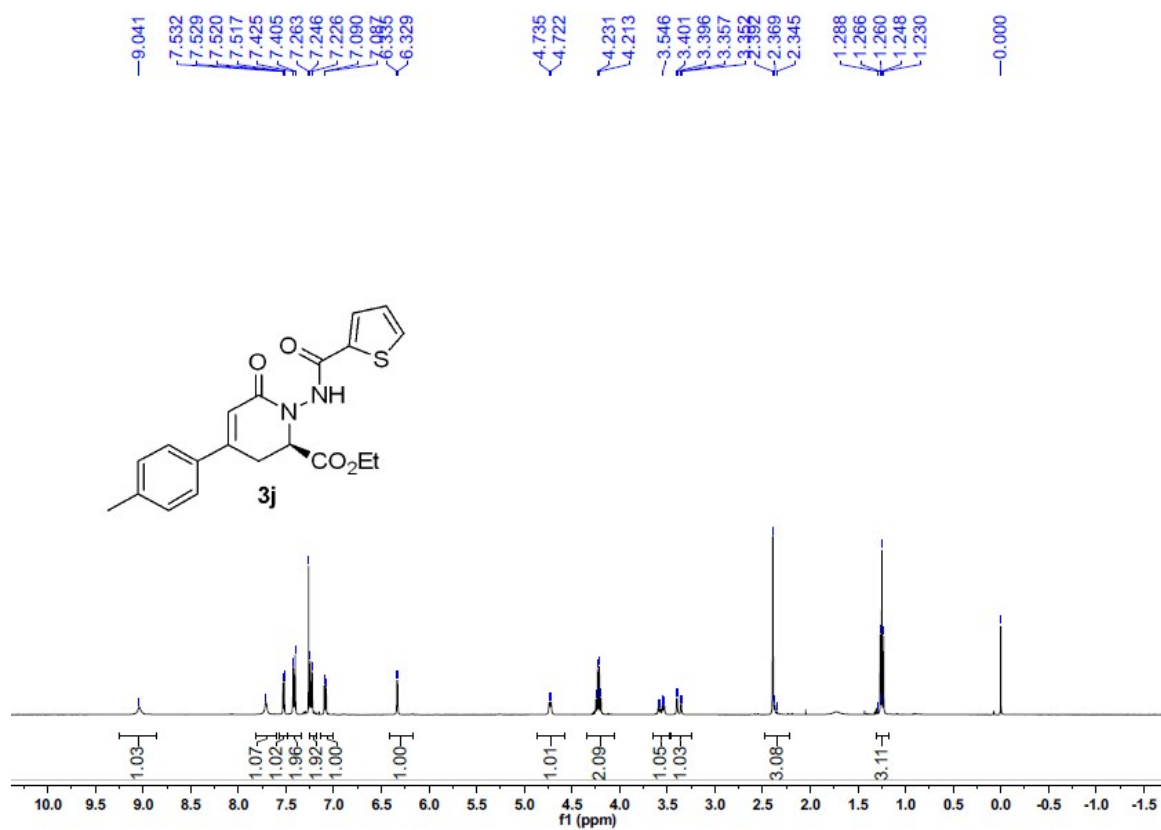


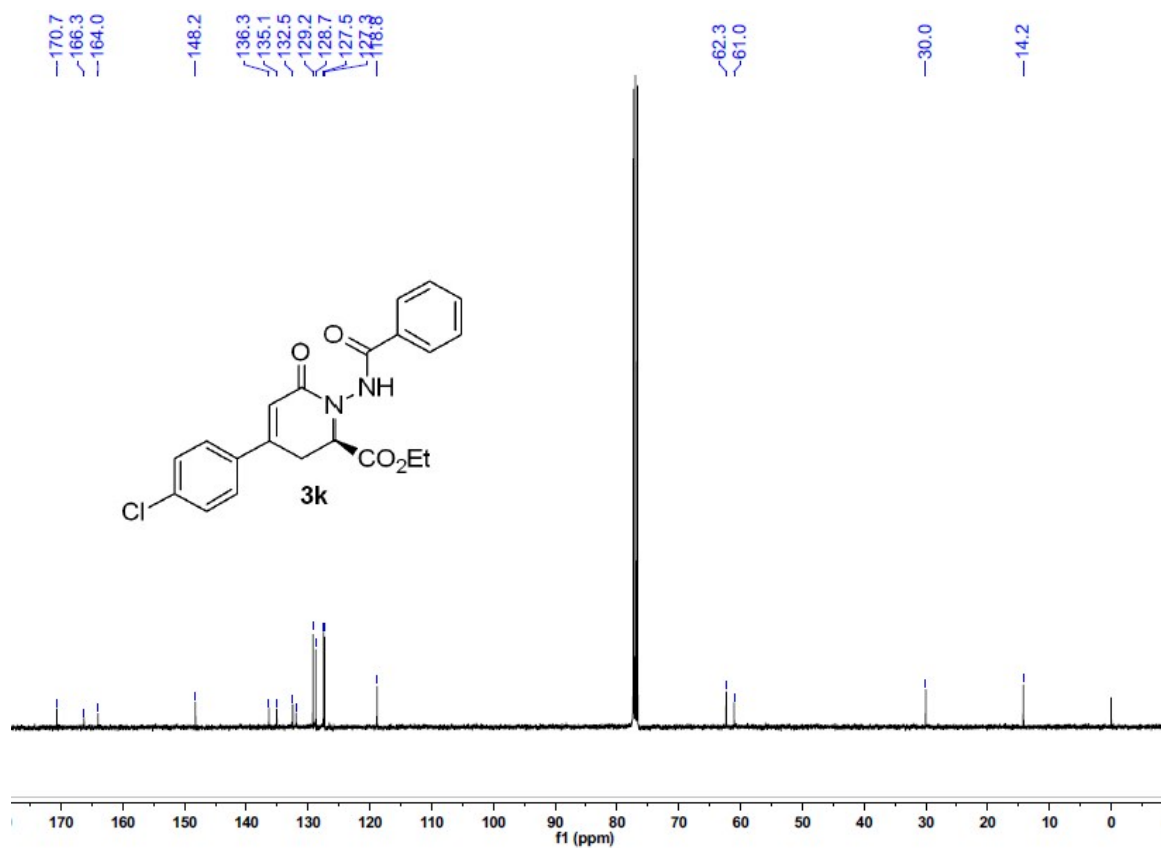
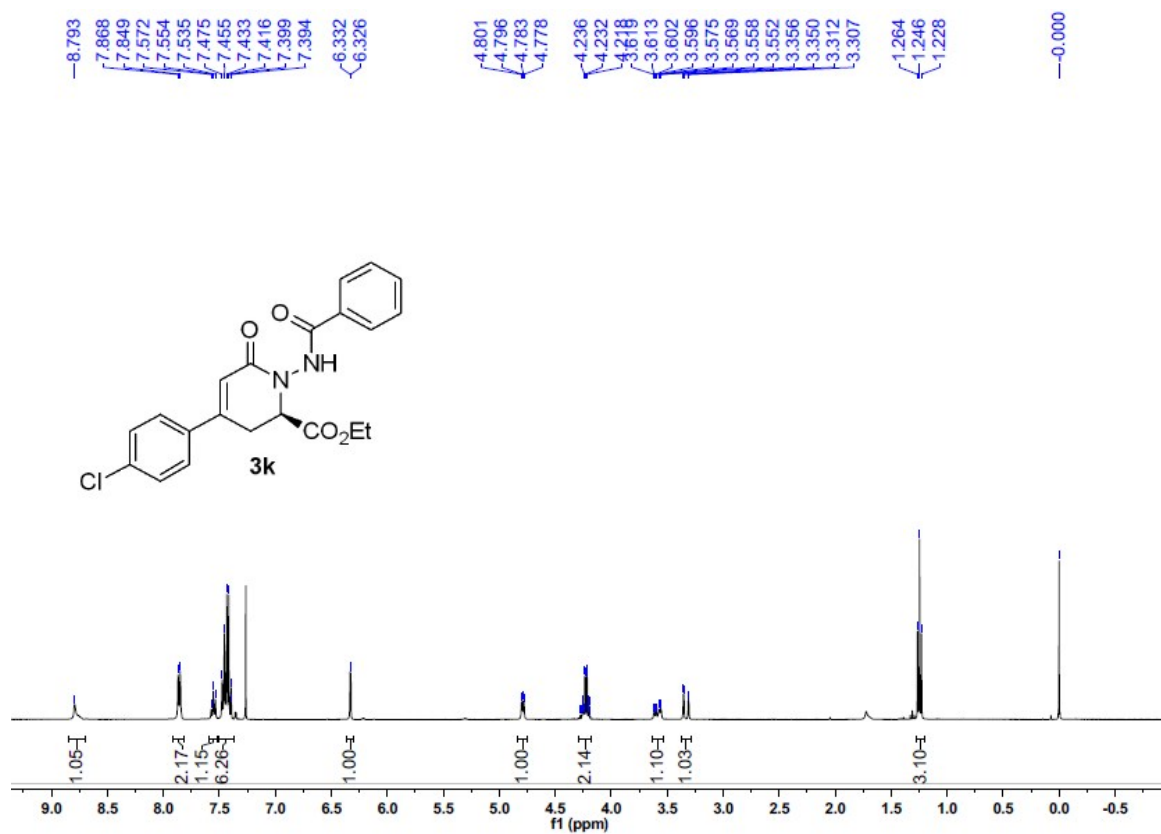


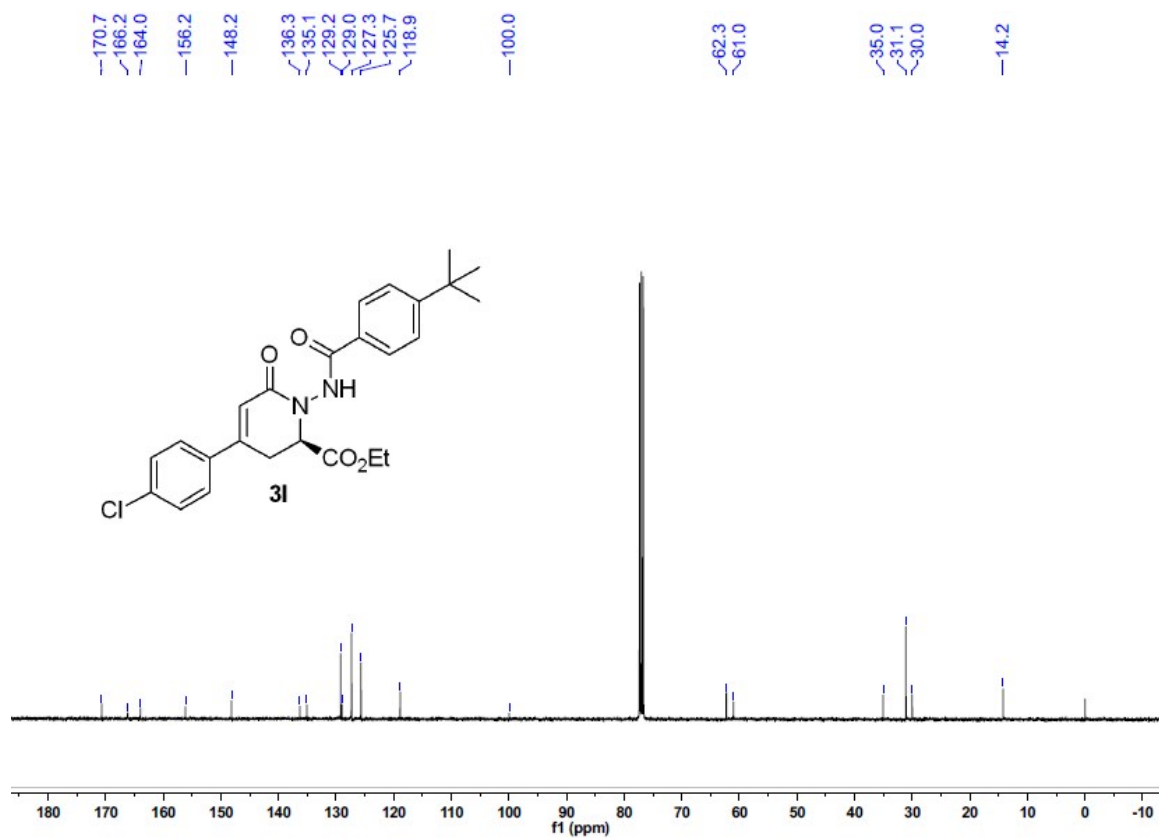
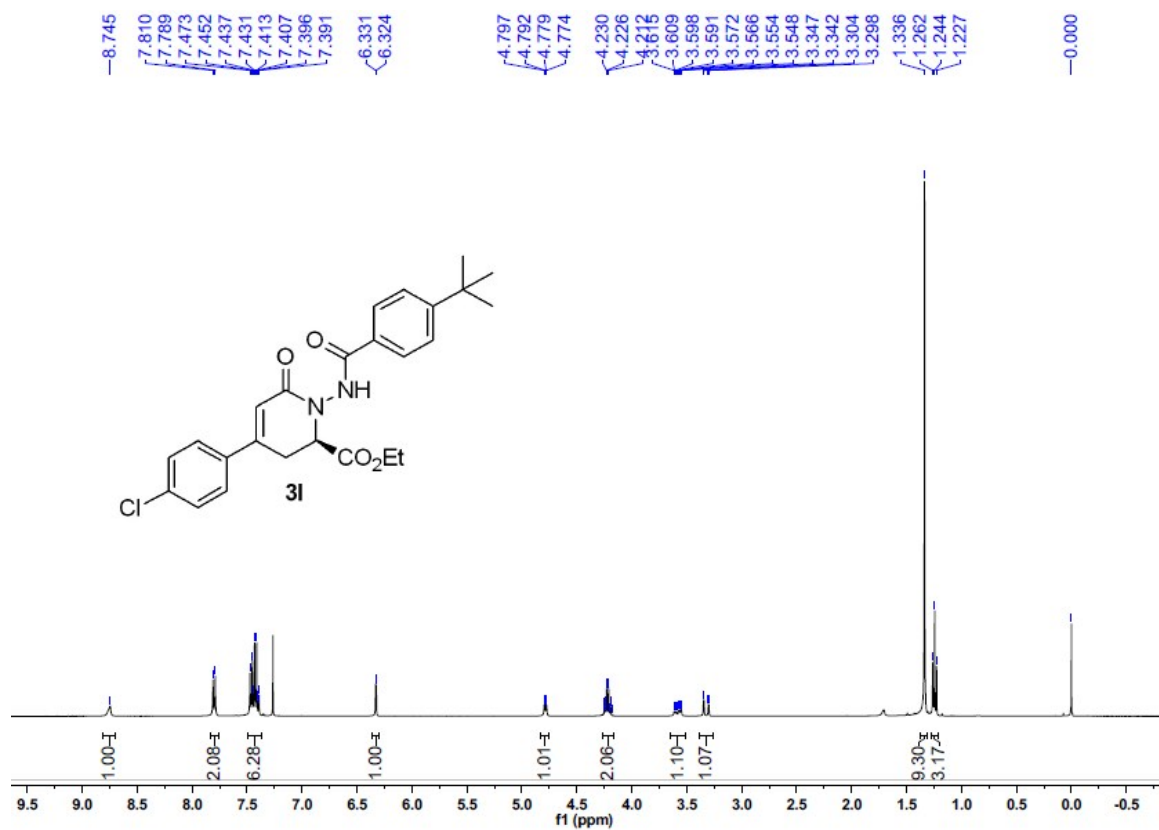


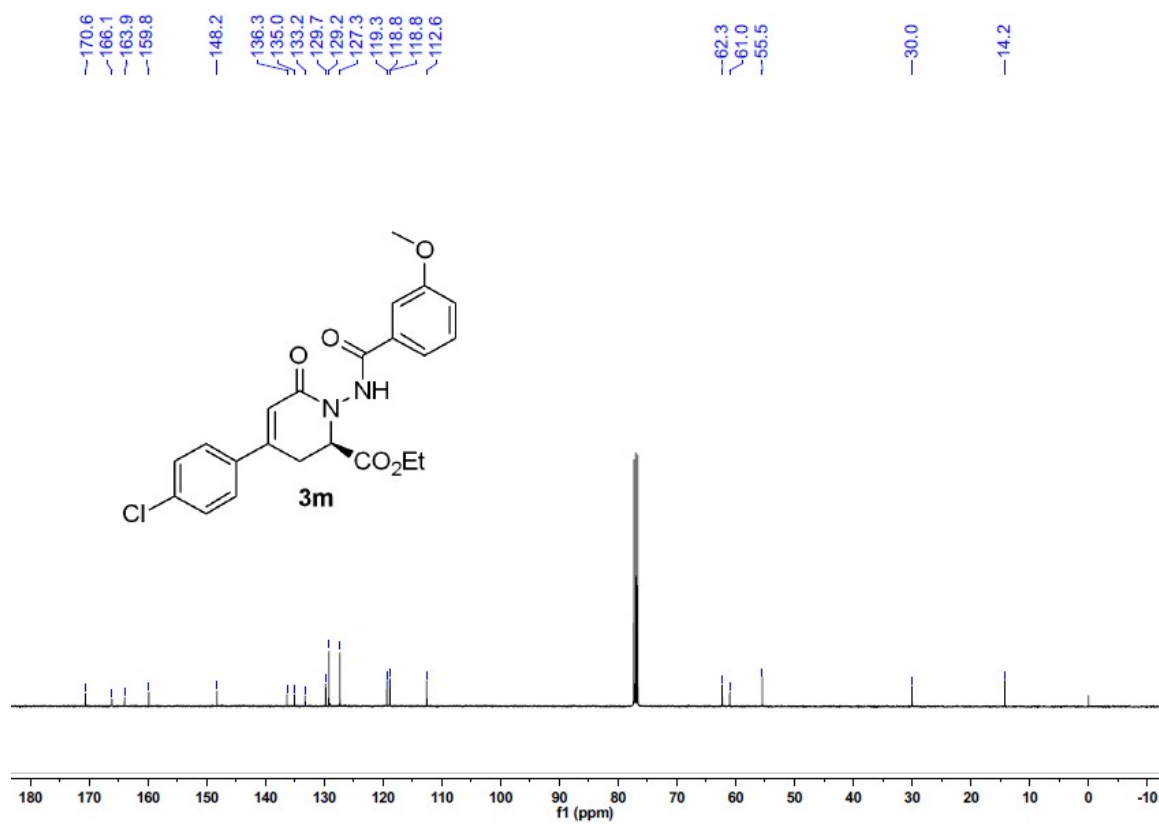
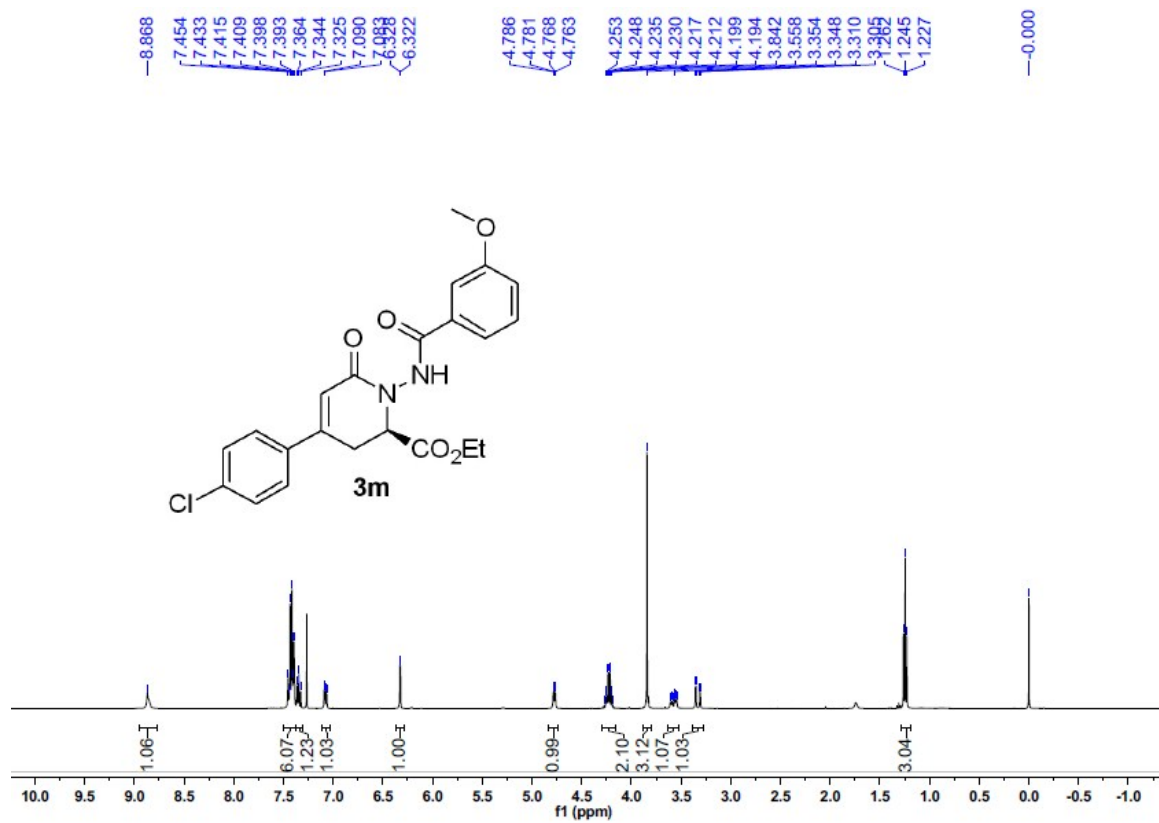




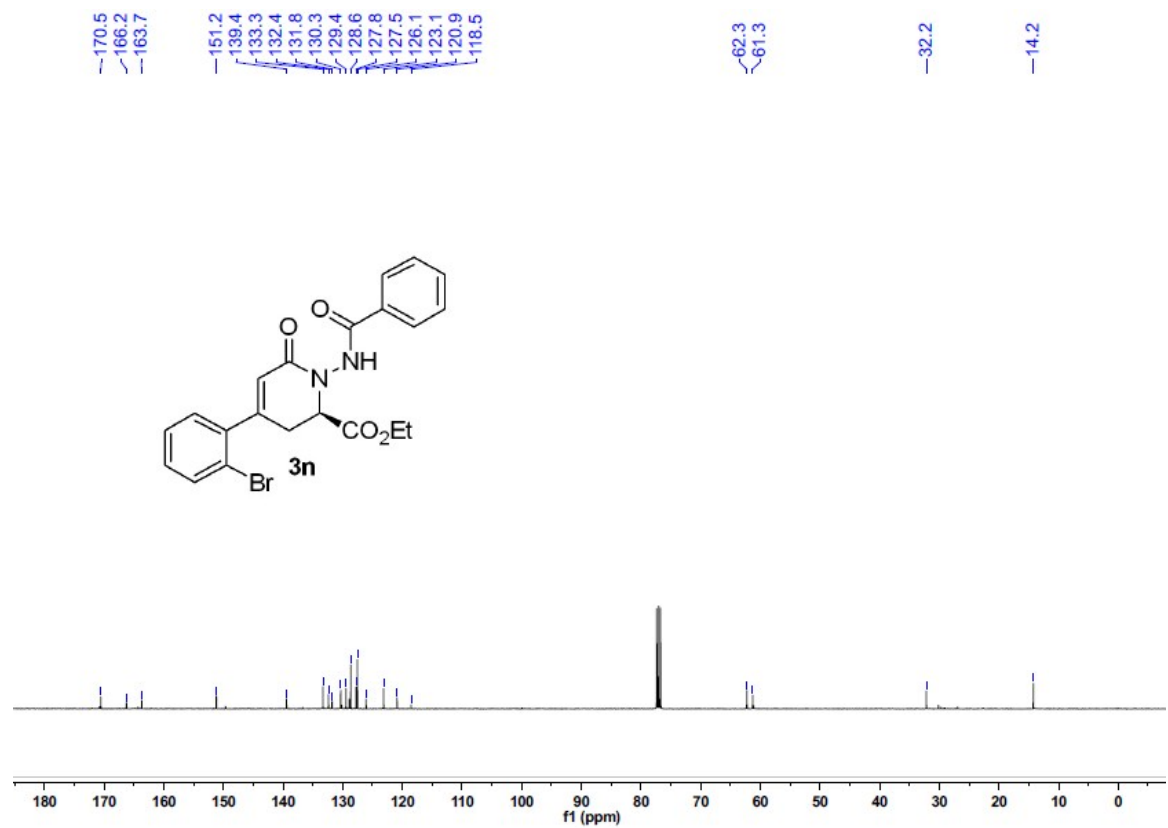
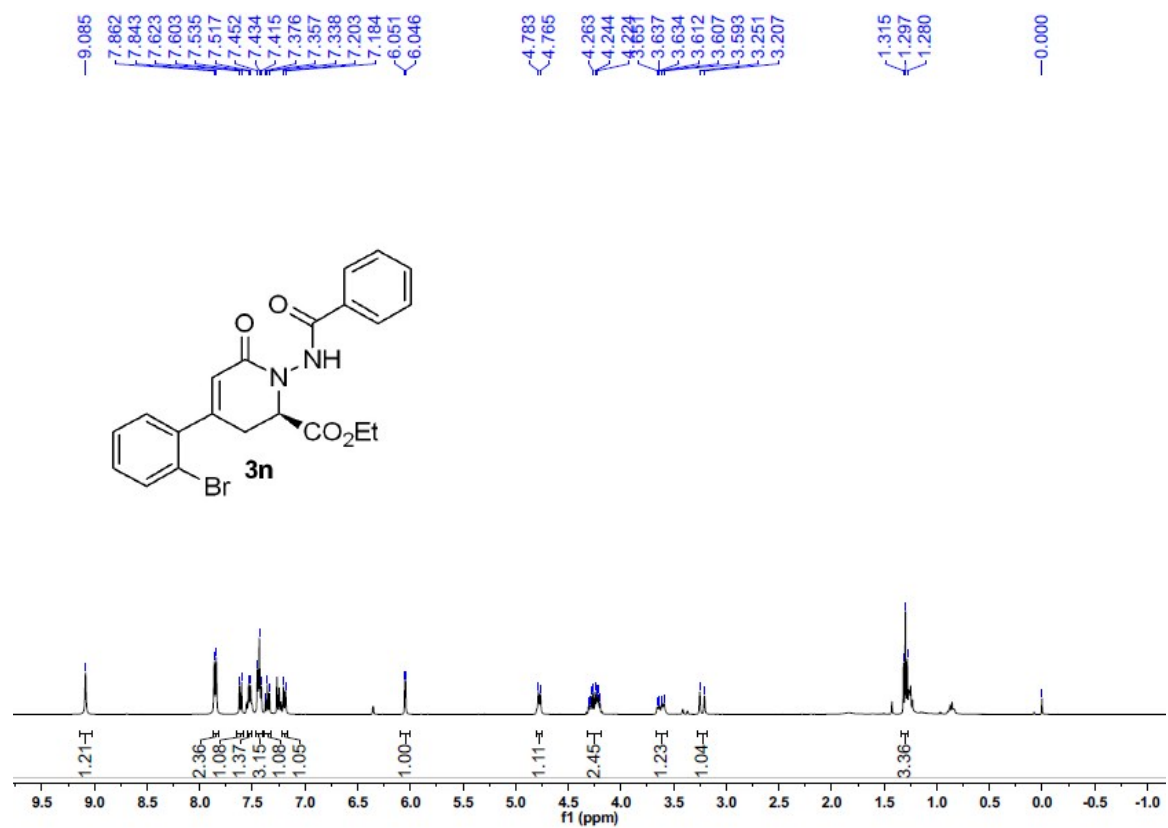




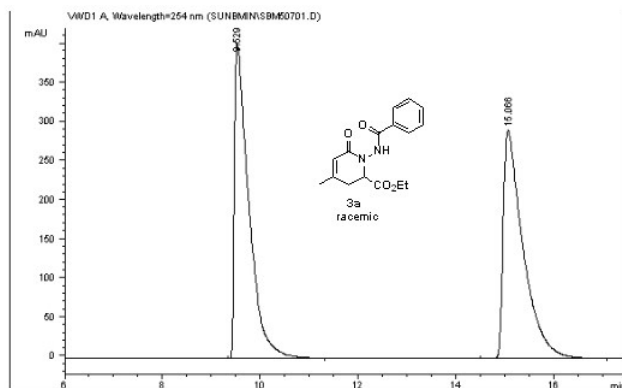








## 5. HPLC Spectra

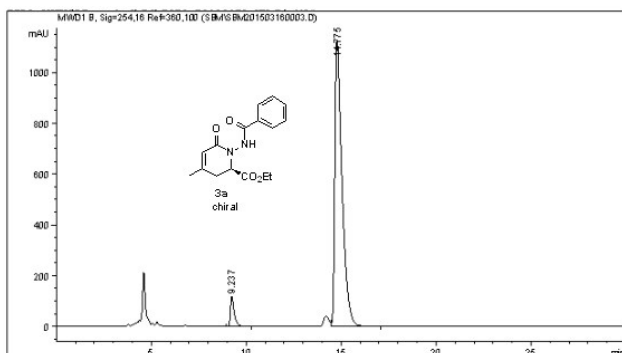


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Dilution : 1.0000  
Use Multiplier & Dilution Factor with ISTDs

Signal 1: VWD1 A, Wavelength=254 nm

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	9.529	PB	0.2915	8253.67090	402.76825	49.9614
2	15.066	EB	0.4065	8266.42969	291.56198	50.0386
Totals :				1.65201e4	694.33023	

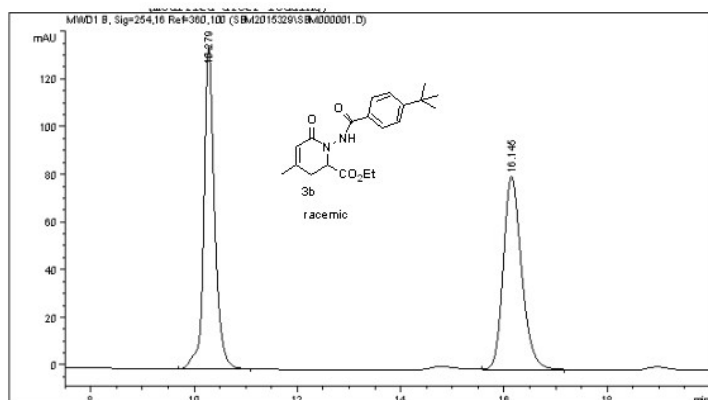


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Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	9.237	EB	0.2046	1629.25891	116.88483	5.2045
2	14.775	VB	0.3863	2.96758e4	1122.96851	94.7955
Totals :				3.13050e4	1239.85334	



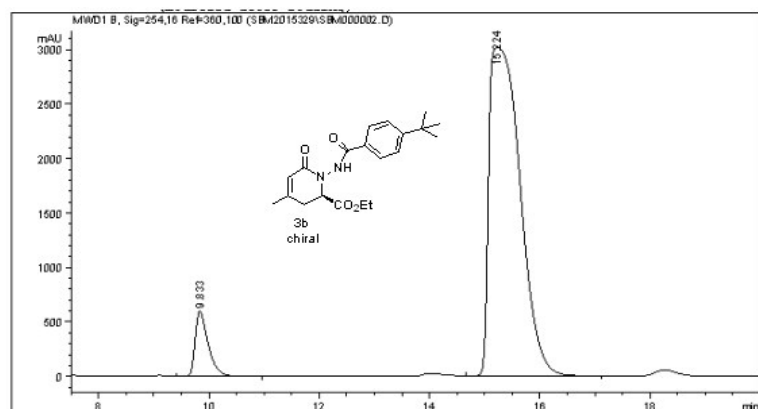
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Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime (min)	Type	Width (min)	Area (mAU*s)	Height (mAU)	Area %
1	10.279	BB	0.2147	1956.25232	135.26466	49.4599
2	16.145	BB	0.3774	1998.97559	81.14372	50.5401

Totals : 3955.22791 216.40839



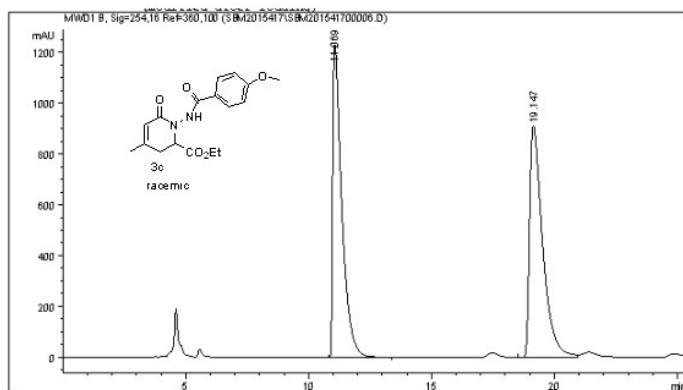
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Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime (min)	Type	Width (min)	Area (mAU*s)	Height (mAU)	Area %
1	9.833	VB	0.2437	9836.29199	598.59888	7.6450
2	15.224	VV	0.5148	1.18827e5	3021.71460	92.3550

Totals : 1.28664e5 3620.31348



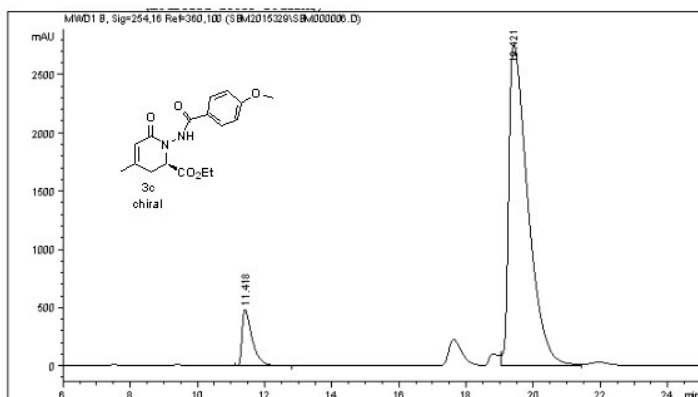
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Use Multiplier & Dilution Factor with ISTDs

Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	11.069	BB	0.3764	3.16462e4	1229.07520	48.5074
2	19.147	BB	0.5503	3.35937e4	910.74097	51.4926

Totals : 6.52399e4 2139.81616



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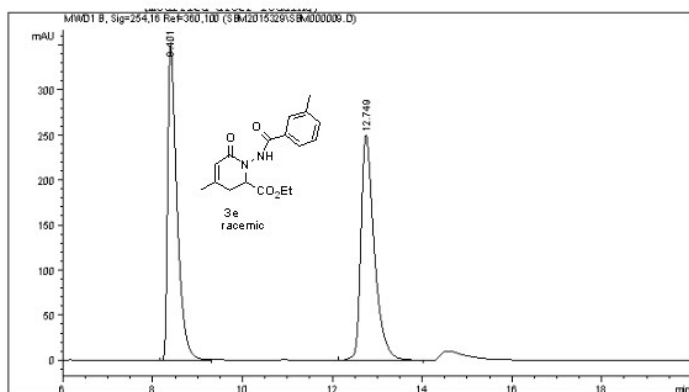
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Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	11.418	BB	0.3022	9992.89453	485.44186	8.3847
2	19.421	VV	0.5950	1.09187e5	2751.23291	91.6153

Totals : 1.19180e5 3236.67477





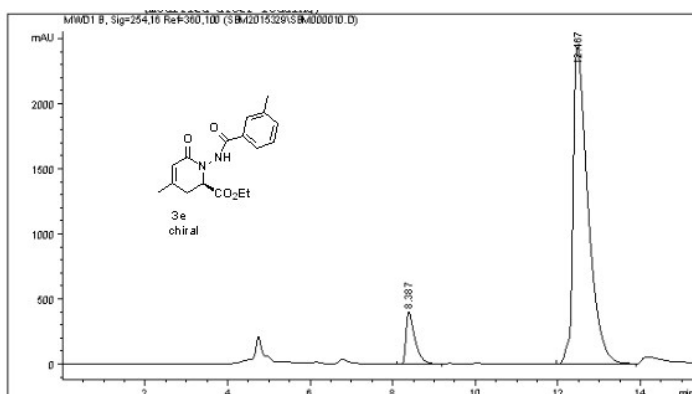
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Use Multiplier & Dilution Factor with ISTDs

Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	8.401	EV	0.2153	5076.07373	349.79114	49.5338
2	12.749	EB	0.3092	5171.61768	250.12096	50.4662

Totals : 1.02477e4 599.91209



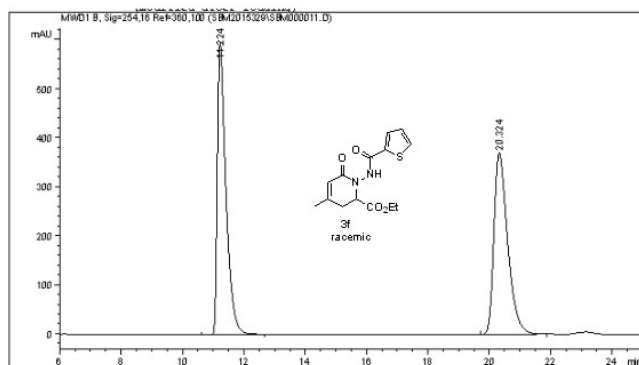
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Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	8.387	VV	0.2168	5926.20459	399.99872	8.8450
2	12.467	VV	0.3747	6.10741e4	2434.66382	91.1550

Totals : 6.70003e4 2834.66254



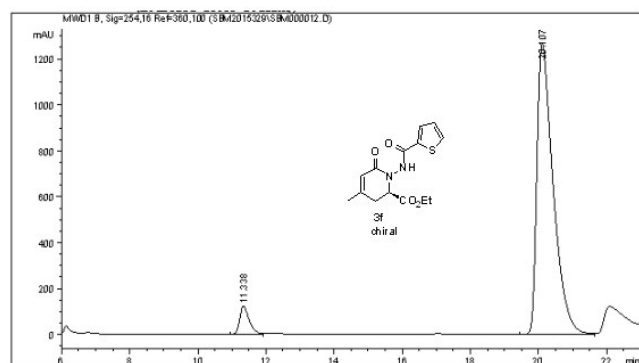
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Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	11.224	BB	0.2891	1.15909e4	595.49945	50.2445
2	20.324	BB	0.4697	1.14781e4	370.18542	49.7555

Totals : 2.30690e4 965.68488



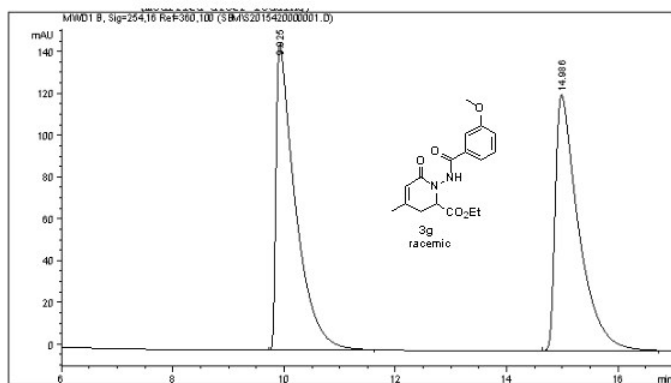
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Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	11.338	BV	0.2873	2387.16040	123.59637	5.3969
2	20.107	VV	0.4911	4.18446e4	1267.66016	94.6031

Totals : 4.42317e4 1391.25653

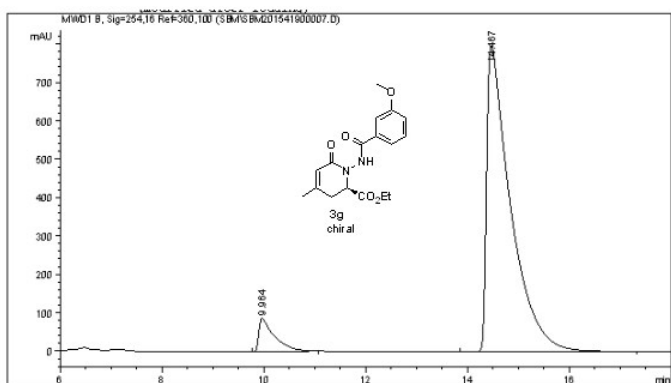


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Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Tvov	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	9.925	BB	0.3344	3516.92993	146.30910	49.8011
2	14.986	BB	0.4196	3545.02539	122.50875	50.1989
Totals :				7061.95532	268.81785	



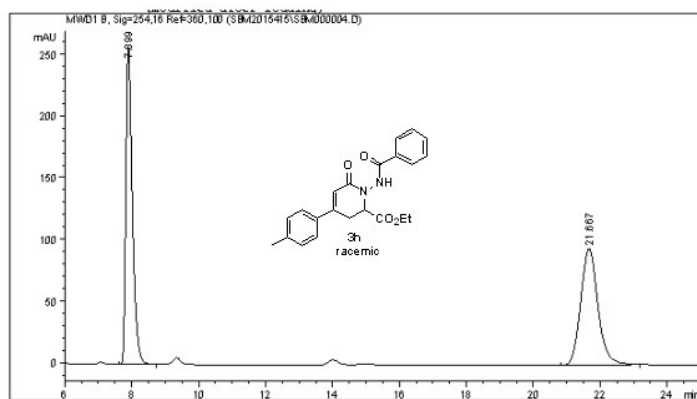
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Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Tvov	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	9.964	BB	0.2838	1810.79346	86.66051	6.5343
2	14.467	BB	0.4525	2.59013e4	798.31549	93.4657
Totals :				2.77121e4	884.97601	





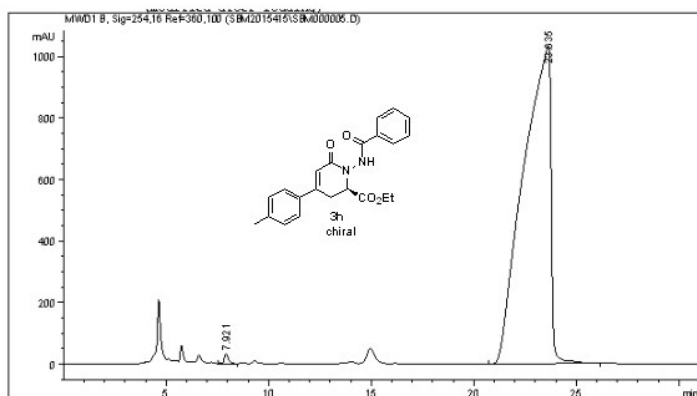
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 Use Multiplier & Dilution Factor with ISTDs

Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	7.899	EB	0.2031	3462.56030	257.13708	50.6799
2	21.667	EB	0.5494	3369.65088	94.19396	49.3201

Totals : 6832.21118 351.33105



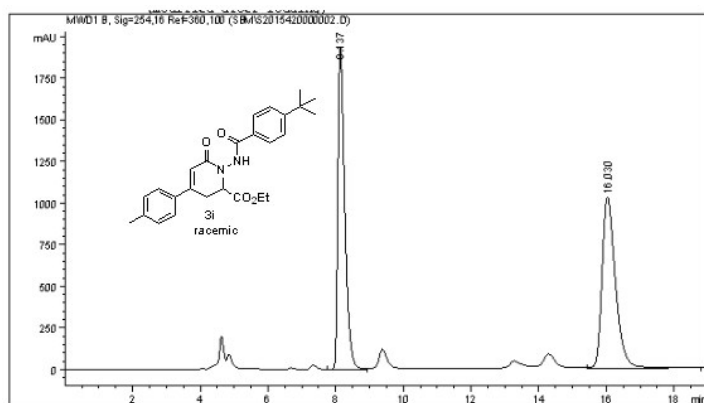
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Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	7.921	VV	0.2263	511.80624	33.12492	0.5273
2	23.635	EB	1.1879	9.65518e4	1031.59058	99.4727

Totals : 9.70636e4 1064.71550



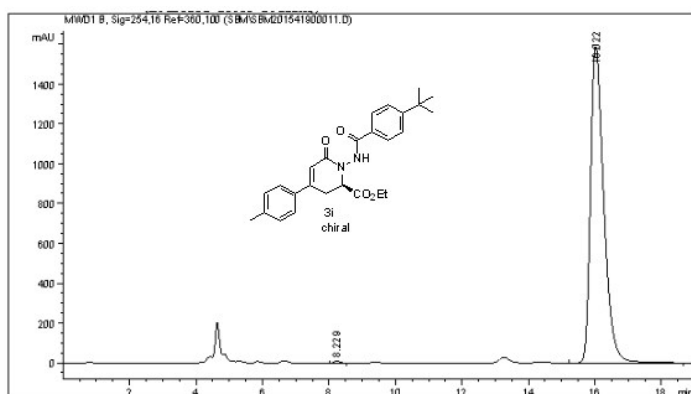
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Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	8.137	VV	0.2138	2.74540e4	1931.45520	49.2902
2	16.030	BB	0.4190	2.82446e4	1026.68567	50.7098

Totals : 5.56986e4 2958.14087



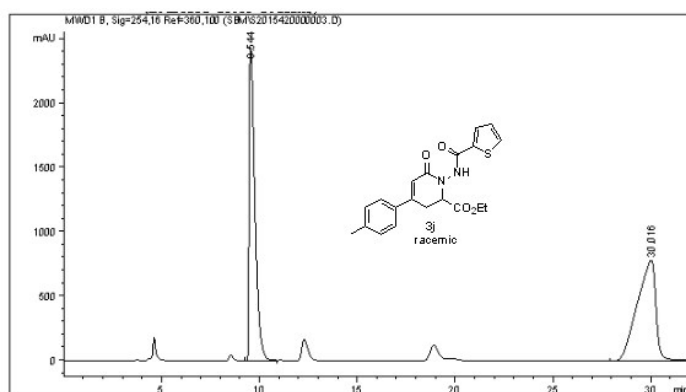
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Use Multiplier & Dilution Factor with ISTDs

Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	8.229	VV	0.1772	177.72806	12.55518	0.4014
2	16.022	BB	0.4239	4.41022e4	1588.54382	99.5986

Totals : 4.42799e4 1601.09900



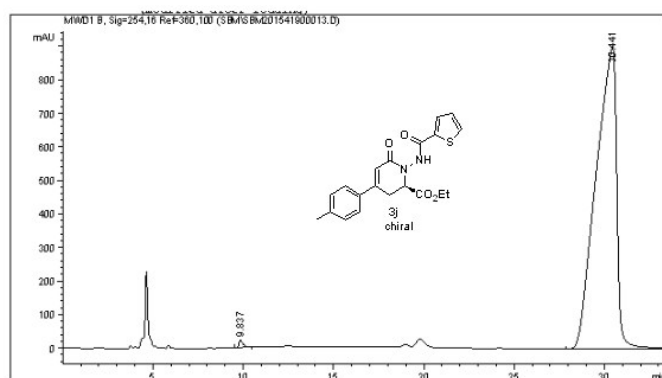
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Dilution : 1.0000  
Use Multiplier & Dilution Factor with ISTDs

Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	9.544	EV	0.3067	4.98935e4	2438.53003	49.0525
2	30.016	BBA	1.1053	5.18211e4	781.79852	50.9475

Totals : 1.01715e5 3220.32855



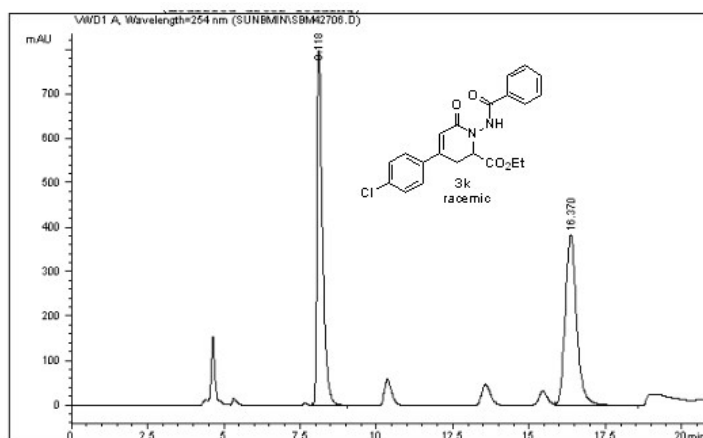
# Area Percent Report

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Dilution : 1.0000  
Use Multiplier & Dilution Factor with ISTDs

Signal 1: MWD1 B, Sig=254,16 Ref=360,100

Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	9.837	VB	0.2203	338.24966	22.12740	0.4692
2	30.441	VBA	1.1761	7.17487e4	901.93622	99.5308

Totals : 7.20870e4 924.06362



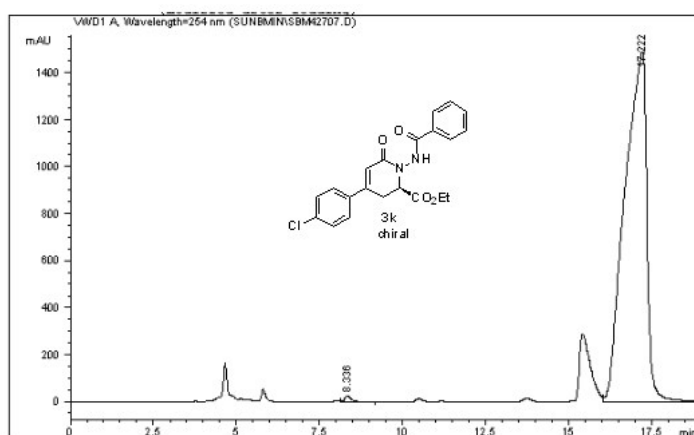
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Area Percent Report  
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Sorted By : Signal  
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Dilution : 1.0000  
Use Multiplier & Dilution Factor with ISTDs

Signal 1: VWD1 A, Wavelength=254 nm

Peak #	RetTime [min]	Type	Width [min]	Area mAU *s	Height [mAU]	Area %
1	8.118	VV	0.1899	1.02136e4	798.77344	50.1519
2	16.370	VB	0.4046	1.01517e4	383.89285	49.8481

Totals : 2.03653e4 1182.66629



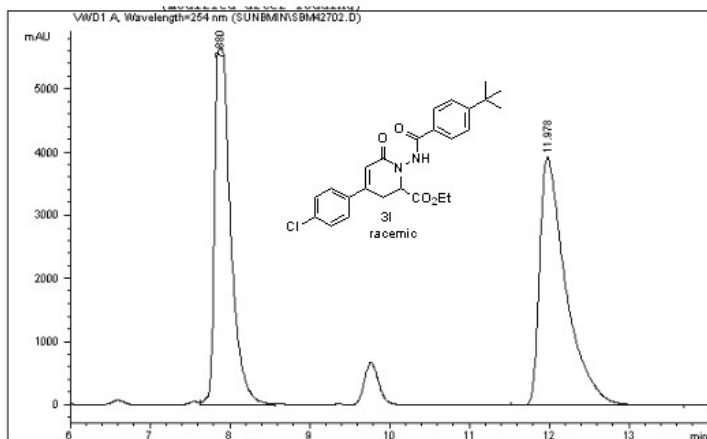
=====  
Area Percent Report  
=====

Sorted By : Signal  
Multiplier : 1.0000  
Dilution : 1.0000  
Use Multiplier & Dilution Factor with ISTDs

Signal 1: VWD1 A, Wavelength=254 nm

Peak #	RetTime [min]	Type	Width [min]	Area mAU *s	Height [mAU]	Area %
1	8.336	VB	0.2303	405.57346	25.96082	0.5866
2	17.222	VBA	0.8150	6.87324e4	1489.37012	99.4134

Totals : 6.91380e4 1515.33093



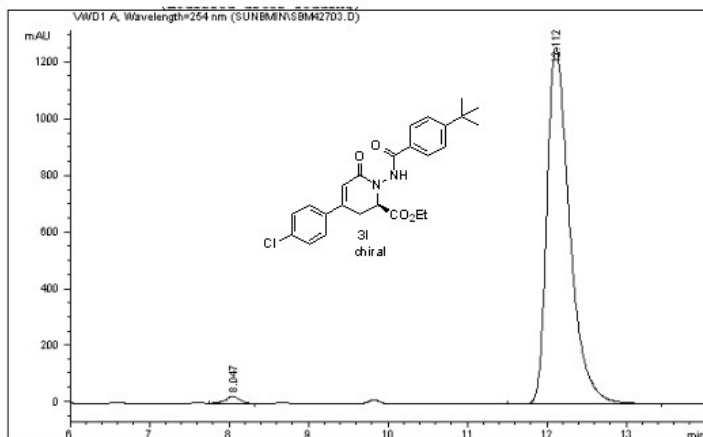
=====  
Area Percent Report  
=====

Sorted By : Signal  
Multiplier : 1.0000  
Dilution : 1.0000  
Use Multiplier & Dilution Factor with ISTDs

Signal 1: VWD1 A, Wavelength=254 nm

Peak #	RetTime [min]	Type	Width [min]	Area mAU	%s	Height [mAU]	Area %
1	7.880	VV	0.2080	7.95160e4		5651.54004	47.0605
2	11.978	VV	0.3335	8.95619e4		3919.90039	52.9395

Totals : 1.69178e5 9571.44043



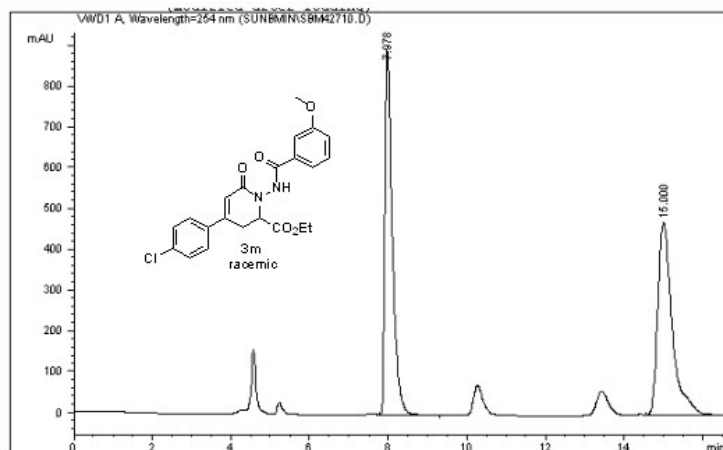
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Area Percent Report  
=====

Sorted By : Signal  
Multiplier : 1.0000  
Dilution : 1.0000  
Use Multiplier & Dilution Factor with ISTDs

Signal 1: VWD1 A, Wavelength=254 nm

Peak #	RetTime [min]	Type	Width [min]	Area mAU	%s	Height [mAU]	Area %
1	8.047	VV	0.2126	395.16156		27.06244	1.5484
2	12.112	PB	0.3022	2.51261e4		1261.84644	98.4516

Totals : 2.55212e4 1288.90887



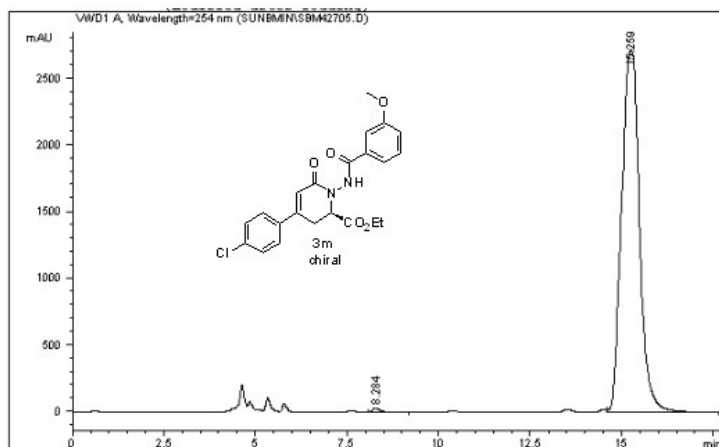
=====  
Area Percent Report  
=====

Sorted By : Signal  
Multiplier : 1.0000  
Dilution : 1.0000  
Use Multiplier & Dilution Factor with ISTDs

Signal 1: VWD1 A, Wavelength=254 nm

Peak #	RetTime [min]	Type	Width [min]	Area mAU	Area %	Height [mAU]	Area %
1	7.978	VB	0.1944	1.17706e4	49.0292	894.05591	49.0292
2	15.000	VBA	0.3870	1.22368e4	50.9708	474.09323	50.9708

Totals : 2.40074e4 1368.14914



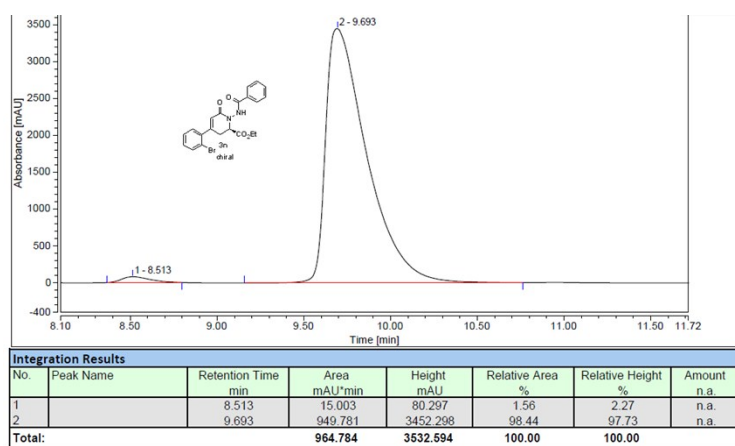
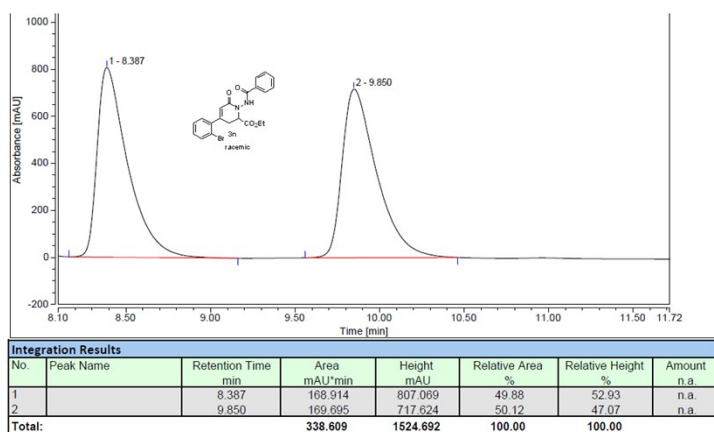
=====  
Area Percent Report  
=====

Sorted By : Signal  
Multiplier : 1.0000  
Dilution : 1.0000  
Use Multiplier & Dilution Factor with ISTDs

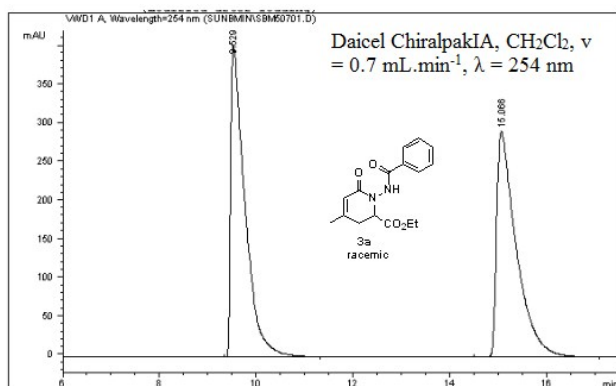
Signal 1: VWD1 A, Wavelength=254 nm

Peak #	RetTime [min]	Type	Width [min]	Area mAU	Area %	Height [mAU]	Area %
1	8.284	VP	0.2694	523.50281	0.5632	28.92497	0.5632
2	15.259	VB	0.5026	9.24262e4	99.4368	2720.81470	99.4368

Totals : 9.29497e4 2749.73967



## 6. Determination of the absolute configuration by comparison



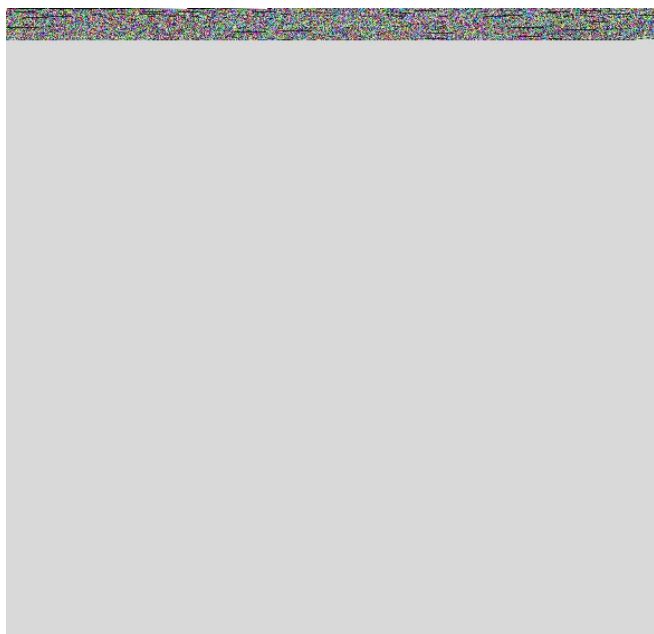
### Area Percent Report

Sorted By : Signal  
Multiplier : 1.0000  
Dilution : 1.0000  
Use Multiplier & Dilution Factor with ISTDs

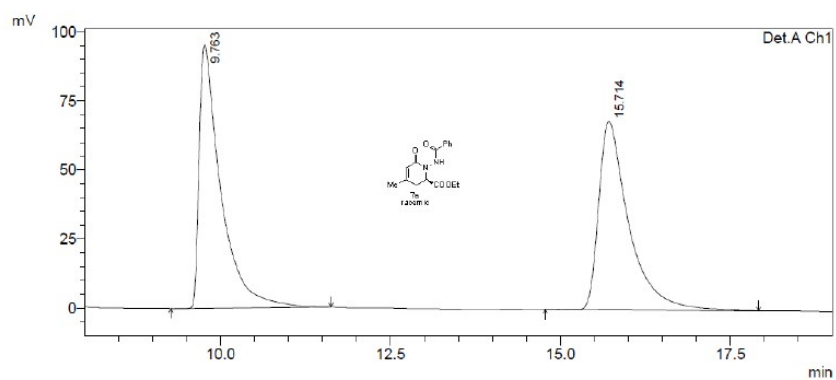
Signal 1: VWD1 A, Wavelength=254 nm

Peak #	RetTime [min]	Type	Width [min]	Area [mAU]	%s	Height [mAU]	Area %
1	9.529	PB	0.2915	8253.67090		402.76825	49.9614
2	15.066	BB	0.4065	8266.42969		291.56198	50.0386

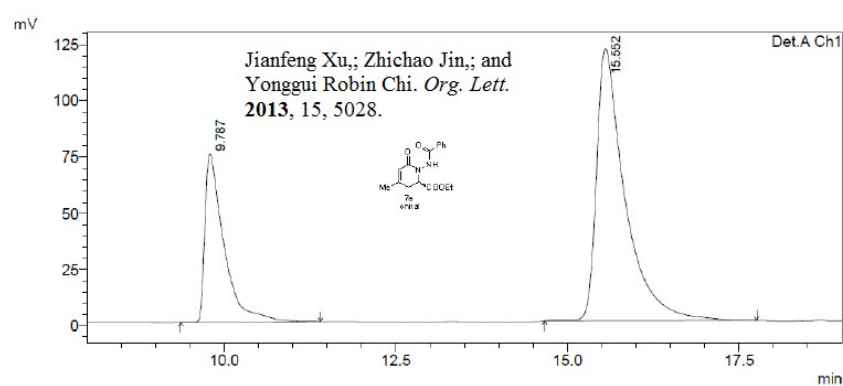
Totals : 1.65201e4 694.33023







Peak#	Ret. Time	Area	Height	Area %	Height %
1	9.763	2095405	95317	49.957	58.274
2	15.714	2099036	68250	50.043	41.726
Total		4194441	163567	100.000	100.000



Peak#	Ret. Time	Area	Height	Area %	Height %
1	9.787	1452698	74906	29.289	38.187
2	15.552	3507144	121252	70.711	61.813
Total		4959841	196158	100.000	100.000