

## Supporting Information

### Bimetallic aluminum complexes with cyclic $\beta$ -ketiminato ligands: cooperative effect improves capability in polymerization of lactide and $\epsilon$ -caprolactone

Hai-Chao Huang,<sup>a</sup> Bin Wang,<sup>a</sup> Yan-Ping Zhang<sup>a</sup> and Yue-Sheng Li<sup>\*a,b</sup>

<sup>a</sup> Tianjin Key Lab Composite & Functional Materials, School of Materials Science and Engineering, Tianjin University, Tianjin 300072, China

<sup>b</sup> Collaborative Innovation Center of Chemical Science and Engineering (Tianjin), Tianjin 300072, China

\*Corresponding Author, E-mail: [ysli@tju.edu.cn](mailto:ysli@tju.edu.cn)

**Table S1.** Crystal data and structure refinements of complexes **4a-c**

	4a	4b	4c
Empirical formula	C <sub>28</sub> H <sub>34</sub> Al <sub>2</sub> N <sub>2</sub> O <sub>2</sub>	C <sub>32</sub> H <sub>40</sub> Al <sub>2</sub> N <sub>2</sub> O <sub>2</sub>	C <sub>31</sub> H <sub>40</sub> Al <sub>2</sub> N <sub>2</sub> O <sub>2</sub>
Formula weight	484.53	538.62	526.61
Crystal system	Monoclinic	Monoclinic	Triclinic
Space group	P2(1)/c	P2(1)/c	P-1
Temperature(K)	113(2)	113(2)	113(2)
Wavelength(A)	0.71073	0.71075	0.71073
a(Å)	10.649(2)	10.1875(7)	7.4541(8)
b(Å)	10.0527(18)	23.8487(16)	12.2711(13)
c(Å)	12.321(2)	12.0803(8)	15.7103(15)
$\alpha$ (°)	90.00	90.00	77.640(6)
$\beta$ (°)	98.931(4)	96.1673(16)	81.252(6)
$\gamma$ (°)	90.00	90.00	80.078(6)
V(Å <sup>3</sup> ),Z	1303.0(4), 2	2918.0(3), 4	1372.8(2), 2
Densitycalcd(Mg/m <sup>3</sup> )	1.235	1.226	1.274
Absorptioncoefficient(mm <sup>-1</sup> )	0.139	0.131	0.137
F(000)	516	1152	564
Crystal size/mm	0.20 x 0.18 x 0.12	0.100 x 0.080 x 0.080	0.20 x 0.18 x 0.12
$\theta$ range for data (°)	3.11 to 27.50	1.710 to 28.723	3.06 to 27.55
Reflections collected	16257	39454	17806
Independent reflections	2981	7499	6207
	R(int) = 0.0181	R(int) = 0.0213	R(int) = 0.0226
Data/restraints/parameters	2981/0/156	7499/0/343	6207/0/334
Goodness-of-fit on F <sup>2</sup>	1.048	1.045	1.038
Final R indices [I>2 $\sigma$ (I)]: R1, wR2	R1 = 0.0292 wR2 = 0.0852	R1 = 0.0334 wR2 = 0.0929	R1 = 0.0328 wR2 = 0.0937
Largest diff. Peak and hole (e Å <sup>-3</sup> )	0.365 and -0.235	0.416 and -0.330	0.385 and -0.365

**Table S2** Selected bond distances (Å) and angles (°) for complexes **4a-c**

	<b>4a</b>	<b>4b</b>	<b>4c</b>
Bond distances			
Al(1)-O(1)	1.8004(9)	1.7990(9)	1.7988(9)
Al(1)-N(1)	1.9359(10)	1.9448(10)	1.9497(11)
Al(1)-C(13)	1.9605(12)	1.9539(13)	1.9565(13)
Al(1)-C(14)	1.9611(13)	1.9609(12)	1.9628(13)
O(1)-C(1)	1.3119(13)	1.3097(12)	1.3151(14)
N(1)-C(11)	1.3080(14)	1.3171(14)	1.3071(15)
N(1)-C(12)	1.4696(13)	1.4761(12)	1.4785(14)
Bond angles			
O(1)-Al(1)-N(1)	94.48(4)	94.82(4)	93.91(4)
O(1)-Al(1)-C(13)	114.99(5)	110.16(5)	111.89(5)
N(1)-Al(1)-C(13)	108.88(5)	111.22(5)	109.77(5)
O(1)-Al(1)-C(14)	112.21(5)	108.36(5)	106.87(5)
N(1)-Al(1)-C(14)	110.42(5)	113.69(5)	112.49(5)
C(13)-Al(1)-C(14)	114.06(5)	116.39(6)	119.04(6)
C(1)-O(1)-Al(1)	129.43(7)	128.14(7)	121.21(7)
C(11)-N(1)-Al(1)	122.87(7)	120.13(7)	117.88(8)
C(12)-N(1)-Al(1)	120.56(7)	123.66(7)	123.91(8)
The distances between Al(1) and Al(2)			
Al(1)- Al(2)	6.617	5.965	7.770

**Table S3** Ring-opening polymerization of *L*-LA and  $\epsilon$ -CL by **4a-c**/<sup>*i*</sup>PrOH system <sup>*a*</sup>

Run	Complex	Mono.	[Al]/[OH]/[M]	time (min)	Conv. (%)	TOF <sup><i>b</i></sup> (h <sup>-1</sup> )	$M_{n,theo}^{c,d}$ ( $\times 10^4$ )	$M_n^f$ ( $\times 10^4$ )	$M_w/M_n^f$
1	<b>4a</b>	$\epsilon$ -CL	1:1:100	10	97	582	1.11	1.54	1.17
2	<b>4b</b>	$\epsilon$ -CL	1:1:100	10	64	384	0.73	0.87	1.14
3	<b>M1</b>	$\epsilon$ -CL	1:1:100	10	43	258	0.49	0.52	1.14
4	<b>4c</b>	$\epsilon$ -CL	1:0:100	7	--	--	--	--	--
5	<b>M2</b>	$\epsilon$ -CL	1:1:100	7	84	720	0.96	1.00	1.12
6	<b>4c</b>	$\epsilon$ -CL	1:1:100	3	83	1660	0.95	0.97	1.19
7	<b>4c</b>	$\epsilon$ -CL	1:2:100	3	89	1780	0.51	0.53	1.21
8	<b>4c</b>	$\epsilon$ -CL	1:4:100	3	85	1700	0.24	0.29	1.27
9	<b>4c</b>	$\epsilon$ -CL	1:2:200	6	89	890	1.02	1.09	1.21
10	<b>4c</b>	$\epsilon$ -CL	1:2:400	12	91	455	2.08	2.36	1.24
11	<b>4c</b>	$\epsilon$ -CL	1:2:600	15	93	372	3.19	3.32	1.22
12	<b>4c</b>	$\epsilon$ -CL	1:2:800	20	90	270	4.11	4.46	1.25
13	<b>4c</b>	$\epsilon$ -CL	1:2:1000	30	94	188	5.36	5.53	1.23
14	<b>M2</b>	$\epsilon$ -CL	1:2:200	6	69	73	0.79	0.89	1.21
15	<b>M2</b>	$\epsilon$ -CL	1:2:400	12	71	355	1.62	2.01	1.22
16	<b>M2</b>	$\epsilon$ -CL	1:2:600	16	74	296	2.54	2.95	1.26
17	<b>M2</b>	$\epsilon$ -CL	1:2:800	20	65	195	2.97	3.39	1.24
18	<b>M2</b>	$\epsilon$ -CL	1:2:1000	30	63	126	3.59	3.86	1.25
19	<b>4a</b>	<i>L</i> -LA	1:1:100	240	36	9	0.52	0.58	1.08
20	<b>4b</b>	<i>L</i> -LA	1:1:100	240	trace	--	--	--	--
21	<b>4c</b>	<i>L</i> -LA	1:1:100	10	20	120	0.29	0.27	1.08
22	<b>4c</b>	<i>L</i> -LA	1:1:100	15	42	168	0.60	0.72	1.07
23	<b>4c</b>	<i>L</i> -LA	1:1:100	30	74	148	1.07	1.34	1.12
24	<b>4c</b>	<i>L</i> -LA	1:1:100	45	89	119	1.28	1.70	1.14
25	<b>4c</b>	<i>L</i> -LA	1:1:100	60	97	97	1.40	1.89	1.22
26	<b>4c</b>	<i>rac</i> -LA <sup><i>g</i></sup>	1:1:100	60	95	95	1.37	1.64	1.23
27	<b>M2</b>	<i>L</i> -LA	1:0:100	90	--	--	--	--	--
28	<b>M2</b>	<i>L</i> -LA	1:1:100	90	79	53	1.14	1.02	1.18

<sup>*a*</sup> 25  $\mu$ mol of Al complex in 2 mL toluene, and polymerization at 80 °C; <sup>*b*</sup> Non-optimized turnover frequency calculated over the whole reaction time; <sup>*c*</sup> Calculated  $M_{n,theo}=[\epsilon\text{-CL}]_0/[\text{OH}]\times\text{conv.}(\epsilon\text{-CL})\times 114.14+M_{i\text{PrOH}}$ ; <sup>*d*</sup> Calculated  $M_{n,theo}=[L\text{-LA}]_0/[\text{OH}]\times\text{conv.}(L\text{-LA})\times 144.13+M_{i\text{PrOH}}$ ; <sup>*f*</sup> Experimental  $M_n$  values were determined by GPC analysis in THF using polystyrene standards and corrected by the equation:  $M_n=0.58\times M_{n(\text{GPC})}$  for PLA, and  $M_n=0.56\times M_{n(\text{GPC})}$  for PCL. <sup>*g*</sup>  $P_m=0.33$ .

**Table S4** Synthesis of PLA-*b*-PCL copolymer by **4c**/<sup>i</sup>PrOH system <sup>a</sup>

Entry	Complex	Time <sup>b</sup>	$M_{n, GPC}^c(10^{-4})$	$M_n^d(10^{-4})$	$M_w/M_n$
PLA- <i>b</i> -PCL <sup>e</sup>	4c	1.5h(LA)+1h(CL)	4.0	2.12	1.34

<sup>a</sup> Reaction conditions: 25  $\mu$ mol complex in toluene, <sup>i</sup>PrOH 1.0 equiv. to Al, monomer 5.0 mmol, 80 °C; <sup>b</sup> After LA reaction for 1.5 h, CL was added and reacted for the prescribed time; <sup>c</sup> GPC data determined by SEC in THF relative to polystyrene standards; <sup>d</sup> GPC data determined by SEC in THF relative to polystyrene standards corrected by the Mark–Houwink correction factor ( $M_n = M_{n, SEC} \times 0.56 \times \text{PCL}\% + M_{n, SEC} \times 0.58 \times \text{PLLA}\%$ ); <sup>e</sup> the first block PLA with  $M_{n, GPC} = 1.83 \times 10^4$ ,  $M_w/M_n = 1.21$ , conversion > 99%

**Table S5.** Experimental  $T_g$  of the CL/LA copolymers as a function of the mole fraction of  $\epsilon$ -CL unit

Entry	Time (h)	CL in copolymer <sup>b</sup> (%)	$T_g$ (°C)
1	0.5	14.5	43
2	1	21.8	35
3	2	45.1	2.4
4	3	50.0	-2.6

<sup>a</sup> Reaction conditions: 25  $\mu$ mol of Al catalyst in 2 mL of toluene, <sup>i</sup>PrOH/[Al] = 2.0, [CL]/[LA]/[Al]=100:100:1, copolymerization at 80 °C; <sup>b</sup> CL in copolymer measured by <sup>1</sup>H NMR.

**Table S6.** Calculate reactivity ratios for *L*-LA and  $\epsilon$ -CL in Poly(LA-*grad*-CL) copolymers <sup>a</sup>

Entry	Conv. (%)	$X^b$	$Y^c$	$G^d$	$F^e$
1	3.1	0.43	3.15	0.29	0.059
2	5.4	1.0	6.67	2.11	0.58
3	5.4	2.3	14.3	4.71	1.39
4	4.7	9.0	33.3	8.73	2.43

<sup>a</sup> Reaction conditions: 25  $\mu$ mol of Al catalyst in 2 ml of toluene, [<sup>i</sup>PrOH]/[Al] = 2.0, 80 °C; The reactivity ratios were calculated using the nonlinear least squares (NLLS) method, the monomer composition in the obtained oligomer was examined at a low conversion ( $\leq 10\%$ ).

<sup>b</sup>  $X = M_{LA}/M_{CL}$ ,  $M_{LA}$  and  $M_{CL}$  were defined as moles of monomer in the copolymerization reaction system;

<sup>c</sup>  $Y$  was defined as the mole ratio of two kinds of monomer;

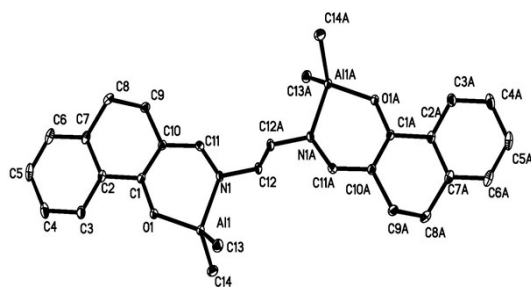
<sup>d</sup>  $G = X(Y-1)/Y$ ;

<sup>e</sup>  $F = X^2/Y^3$ .

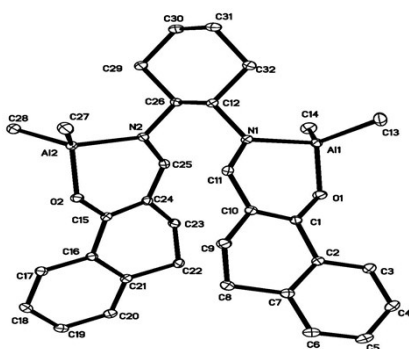
**Table S7.** Copolymerization of  $\epsilon$ -CL and *L*-LA with different monomer ratio by **4a**/<sup>i</sup>PrOH system <sup>a</sup>

Run	LA:CL :Al:OH (mol:mol)	Conversion (%)	$M_n^b$ /10 <sup>-4</sup>	$M_w/M_n^b$	$L_{CL}^c$	$L_{LA}^c$	CL (mol %) <sup>d</sup>
1	400:100:1:1	trace	--	--	--	--	--
2	200:100:1:1	29	0.84	1.31	5.25	27.6	24.7
3	100:100:1:1	41	1.12	1.39	3.36	20.8	21.2
4	100:200:1:1	46	1.38	1.37	4.61	29.1	17.8
5	100:400:1:1	19	0.69	1.41	--	--	--

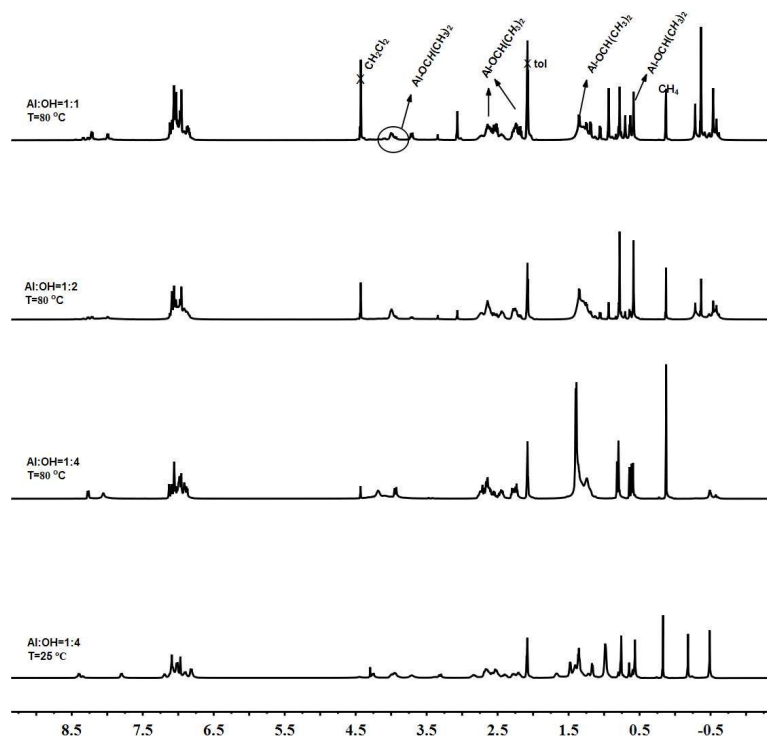
<sup>a</sup> Reaction conditions: 25  $\mu$ mol of Al catalyst and copolymerization at 80 °C for 8 h; <sup>b</sup> Determined by GPC in THF using polystyrene as standard; <sup>c</sup> Average sequences length of the caproyl unit and lactidyl unit was determined by <sup>13</sup>C NMR; <sup>d</sup> Monomer conversion was determined by <sup>1</sup>H NMR. <sup>d</sup> CL in the copolymer (mol %)



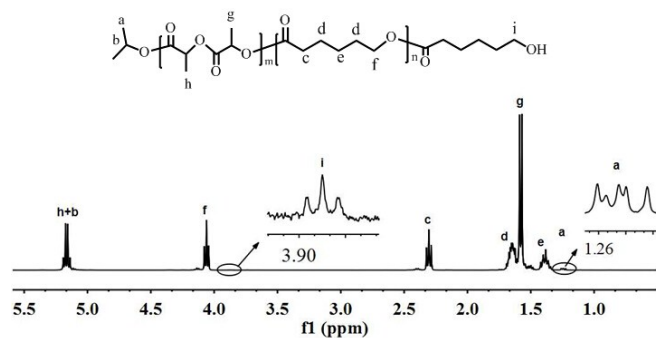
**Fig. S1.** Molecular structure of complex **4a** with thermal ellipsoids at the 30% probability level. Hydrogen atoms are omitted for clarity.



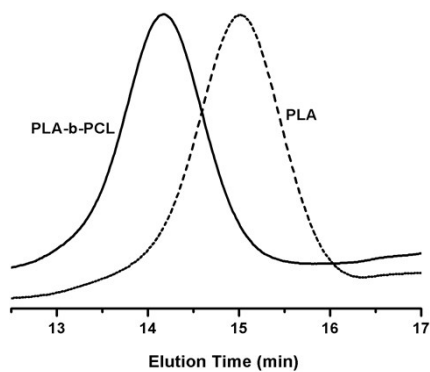
**Fig. S2.** Molecular structure of complex **4b** with thermal ellipsoids at the 30% probability level. Hydrogen atoms are omitted for clarity.



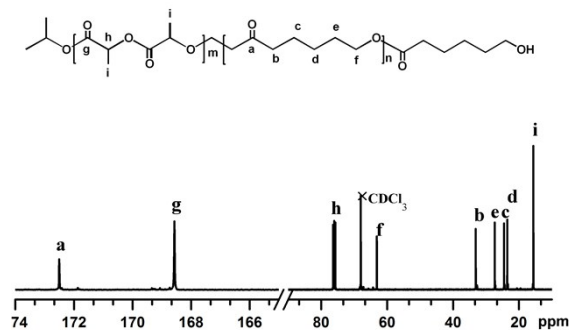
**Fig. S3.**  $^1\text{H}$  NMR spectrum of binuclear aluminum complexes **4c** in the presence of  $i\text{PrOH}$  (toluene- $d_8$ , 400 MHz)



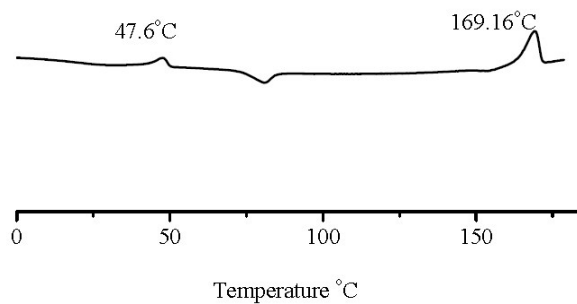
**Fig. S4.** Methyne proton of the hydroxyl end group of the PLA-*b*-PCL copolymer (CDCl<sub>3</sub>, 25 °C).



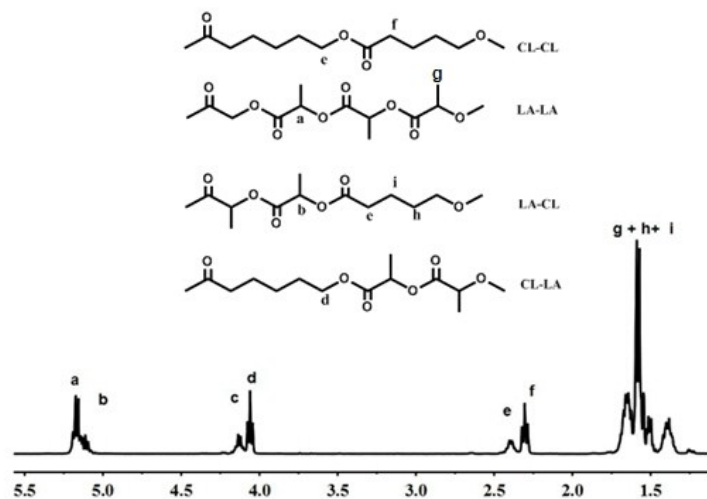
**Fig.S5.** GPC profiles of PLA and PLA-*b*-PCL obtained by the **4c**/<sup>i</sup>PrOH system (in THF at 25 °C).



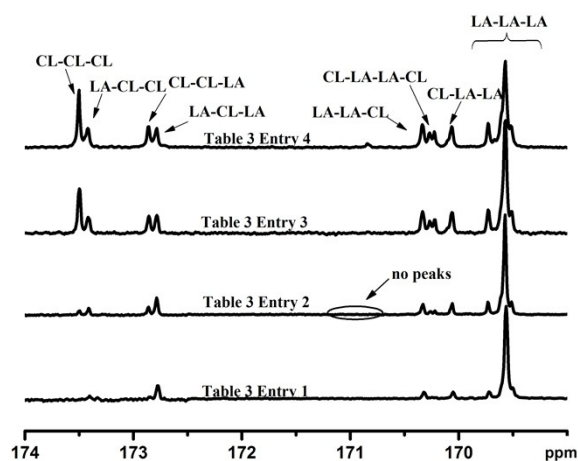
**Fig. S6.** <sup>13</sup>C NMR spectrum of PLA-*b*-PCL synthesized by **4c**/<sup>i</sup>PrOH system (CDCl<sub>3</sub>, 25 °C).



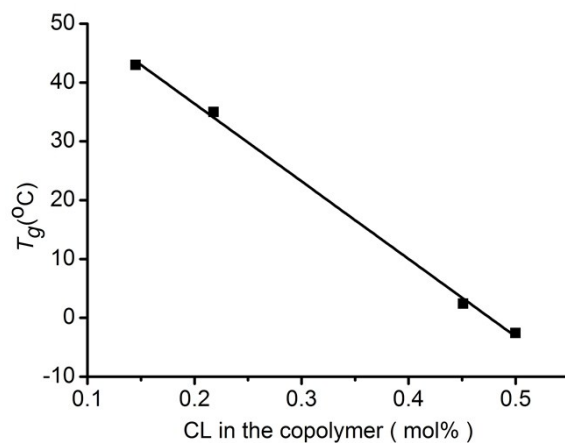
**Fig. S7.** DSC curve of PLA-*b*-PCL prepared by **4c**/<sup>i</sup>PrOH system.



**Fig. S8.**  $^1\text{H}$  NMR spectrum of poly(LA-grad-CL) copolymer (run 2, Table 3) (CDCl<sub>3</sub>, 25 °C).

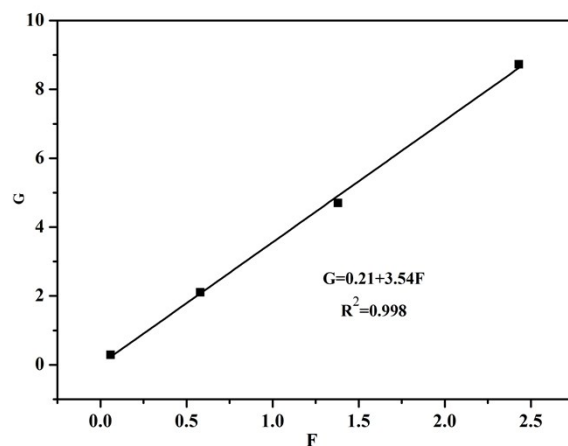


**Fig. S9.**  $^{13}\text{C}$  NMR spectra (CDCl<sub>3</sub>, 25 °C) of the copolymers obtained at different conversion.

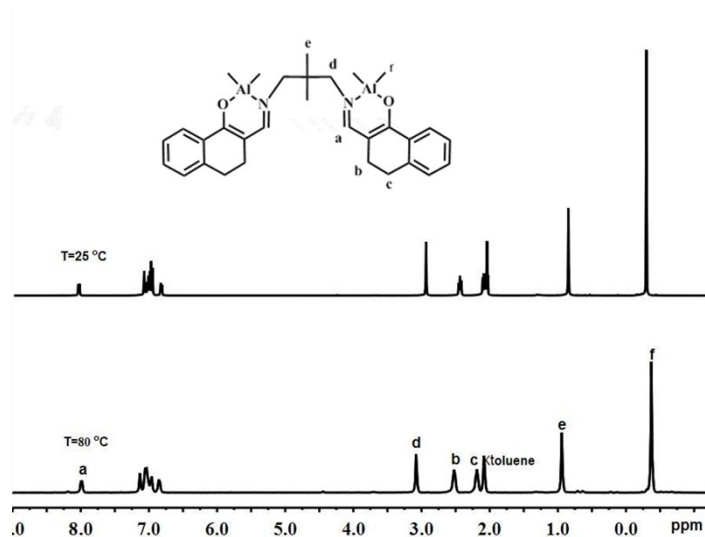


**Fig. S10.** Experimental  $T_g$  of the CL/LA copolymers as a function of the mole fraction of  $\epsilon$ -CL unit.

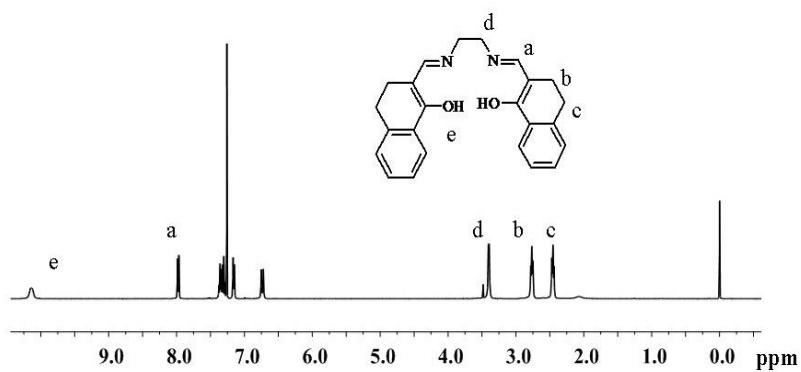




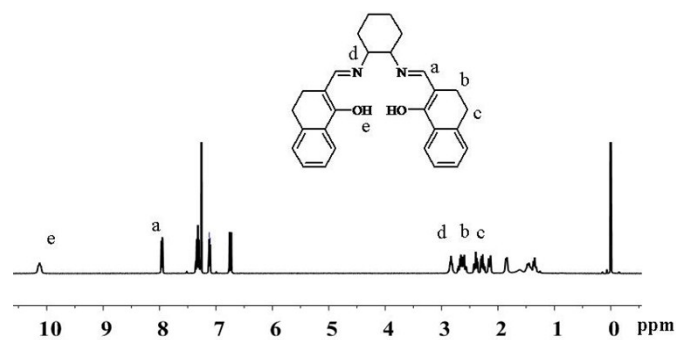
**Fig. S11.** G-F plot for Poly(LA-grad-CL) copolymers by **4c**/*i*PrOH system.



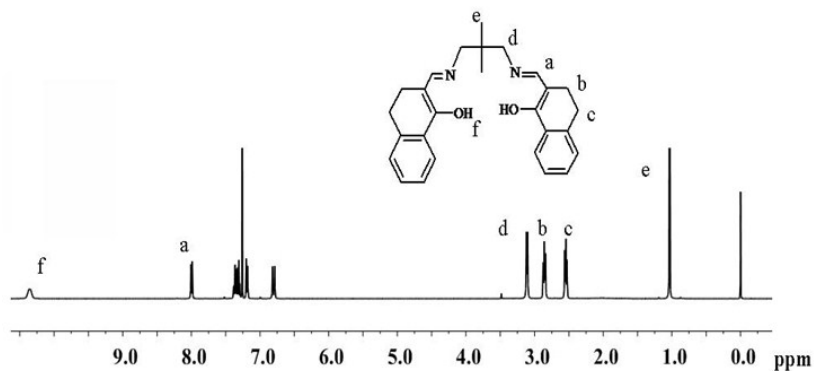
**Fig. S12.**  $^1\text{H}$  NMR spectrum of binuclear aluminum complexes **4c** (toluene- $d_8$ , 400 MHz)



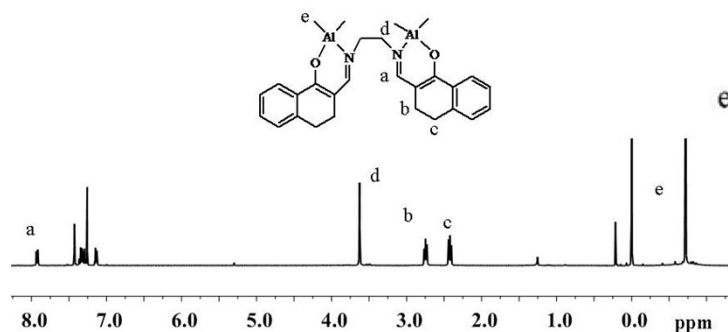
**Fig. S13.**  $^1\text{H}$  NMR spectrum of  $\beta$ -ketiminato ligand **3a** ( $\text{CDCl}_3$ , 25 °C).



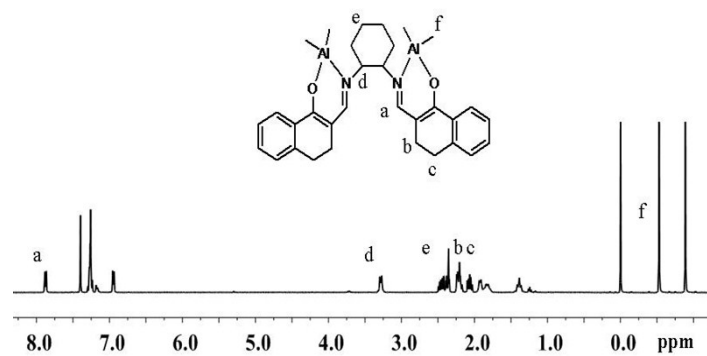
**Fig. S14.**  $^1\text{H}$  NMR spectrum of  $\beta$ -ketiminato ligand **3b** ( $\text{CDCl}_3$ , 25  $^\circ\text{C}$ ).



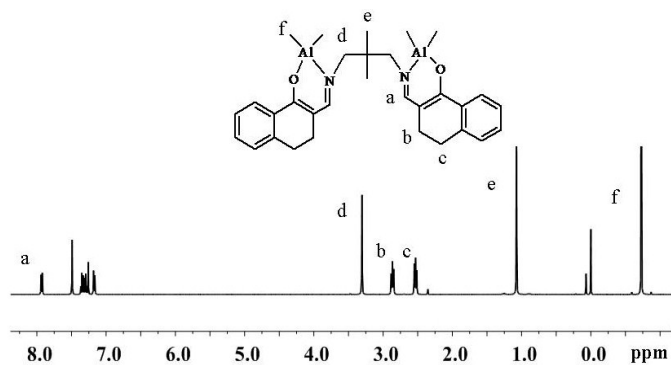
**Fig. S15.**  $^1\text{H}$  NMR spectrum of  $\beta$ -ketiminato ligand **3c** ( $\text{CDCl}_3$ , 25  $^\circ\text{C}$ ).



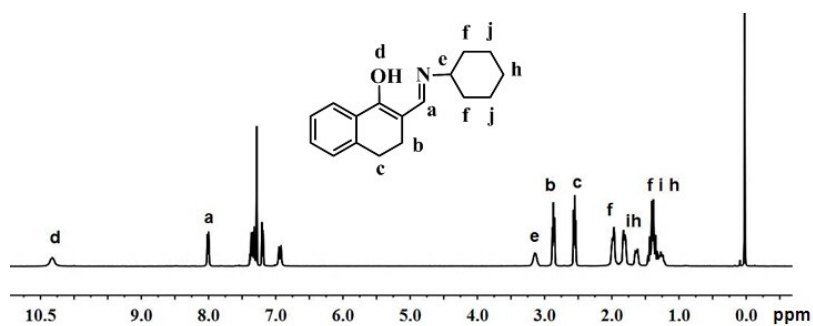
**Fig. S16.**  $^1\text{H}$  NMR spectrum of binuclear aluminum complexes **4a** ( $\text{CDCl}_3$ , 25  $^\circ\text{C}$ ).



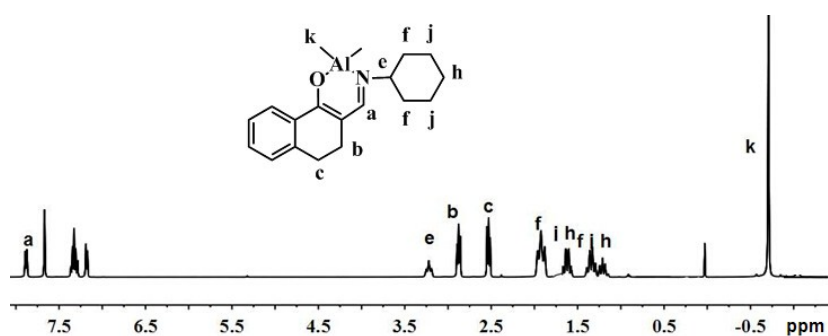
**Fig. S17.**  $^1\text{H}$  NMR spectrum of binuclear aluminum complexes **4b** ( $\text{CDCl}_3$ , 25  $^\circ\text{C}$ ).



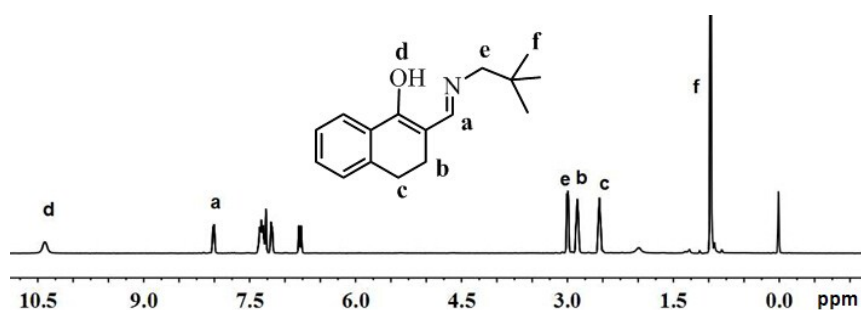
**Fig. S18.**  $^1\text{H}$  NMR spectrum of binuclear aluminum complexes **4c** ( $\text{CDCl}_3$ ,  $25^\circ\text{C}$ ).



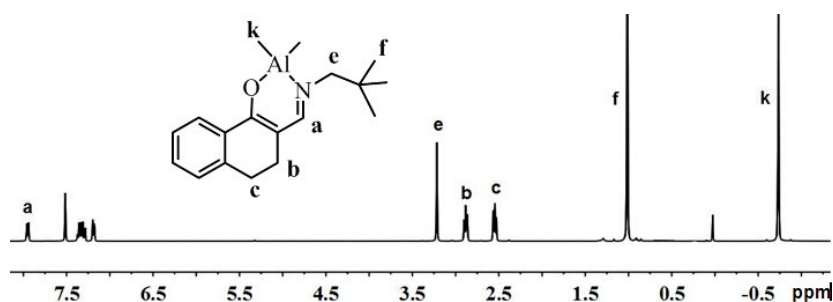
**Fig. S19.**  $^1\text{H}$  NMR spectrum of  $\beta$ -ketimino ligand for **M1** ( $\text{CDCl}_3$ ,  $25^\circ\text{C}$ ).



**Fig. S20.**  $^1\text{H}$  NMR spectrum of mononuclear aluminum complex **M1** ( $\text{CDCl}_3$ ,  $25^\circ\text{C}$ ).



**Fig. S21.**  $^1\text{H}$  NMR spectrum of mononuclear aluminum complex for **M2** ( $\text{CDCl}_3$ ,  $25^\circ\text{C}$ ).



**Fig. S22.**  $^1\text{H}$  NMR spectrum of mononuclear aluminum complex **M2** ( $\text{CDCl}_3$ ,  $25^\circ\text{C}$ ).

### Synthesis of aluminum complexes **M1** and **M2**

Into a stirred solution of  $\text{C}_6\text{H}_{11}\text{N}=\text{CHC}_4\text{H}_4(\text{C}_6\text{H}_4)\text{OH}$  (2.0 mmol) in toluene (10 mL),  $\text{AlMe}_3$  (1 M hexane solution, 2.1 mL) was added drop-wise over 10 min. After stirred for 8 h the solution was concentrated and cooled to  $-20^\circ\text{C}$ , yellow solid was isolated by filter and recrystallized from mixture of toluene/hexane and afforded  $[\text{C}_6\text{H}_{11}\text{N}=\text{CHC}_4\text{H}_4(\text{C}_6\text{H}_4)\text{O}] \text{Al}(\text{CH}_3)_2$  (**M1**) Yield:92%.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 7.88 (d, 1H, N = C-H), 7.67 (s, 1H, Ar-H), 7.33 (m, 2H, Ar-H), 7.18 (d, 1H, Ar-H), 3.22 (dd, 1H, CH-( $\text{CH}_2$ )<sub>2</sub>), 2.95–2.77 (m, 2H, - $\text{CH}_2$ -), 2.62–2.47 (m, 2H, - $\text{CH}_2$ -), 1.92 (t, 4H, -CH- $\text{CH}_2$ ), 1.80–1.02 (m, 6H), -0.71 (s, Al- $\text{CH}_3$ , 6H).  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ):166.33, 139.73, 133.29, 130.76, 127.15, 126.69, 125.93, 104.37, 67.35, 31.08, 28.69, 25.94, 25.56, 25.15, 24.5, -8.45.

Synthesis for  $[\text{C}(\text{CH}_3)_3\text{CHN}=\text{CHC}_4\text{H}_4(\text{C}_6\text{H}_4)\text{O}] \text{Al}(\text{CH}_3)_2$  (**M2**) was performed according to the same procedure as that of **M1** Yield:88%.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 7.95 (d, 1H, N = C-H), 7.51 (s, 1H, Ar-H), 7.42–7.25 (m, 2H, Ar-H), 7.19 (d, 1H, Ar-H), 3.22 (s, 2H - $\text{CH}_2$ -CH), 2.95–2.78 (m, 2H, - $\text{CH}_2$ -), 2.66–2.46 (m, 2H, - $\text{CH}_2$ -), 1.01 (s, 9H,  $\text{CH}_3$ ), -0.73 (d, 6H, Al- $\text{CH}_3$ ).  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ):166.46, 139.45, 133.18, 130.87, 127.26, 126.75, 125.97, 106.16, 67.57, 33.97, 28.51, 25.84, 25.39, 25.17, -8.65.