

ELECTRONIC SUPPLEMENTARY INFORMATION

Manuscript title: **Synthesis, structural properties, electrophilic substitution reactions and DFT computational studies of calix[3]benzofurans**

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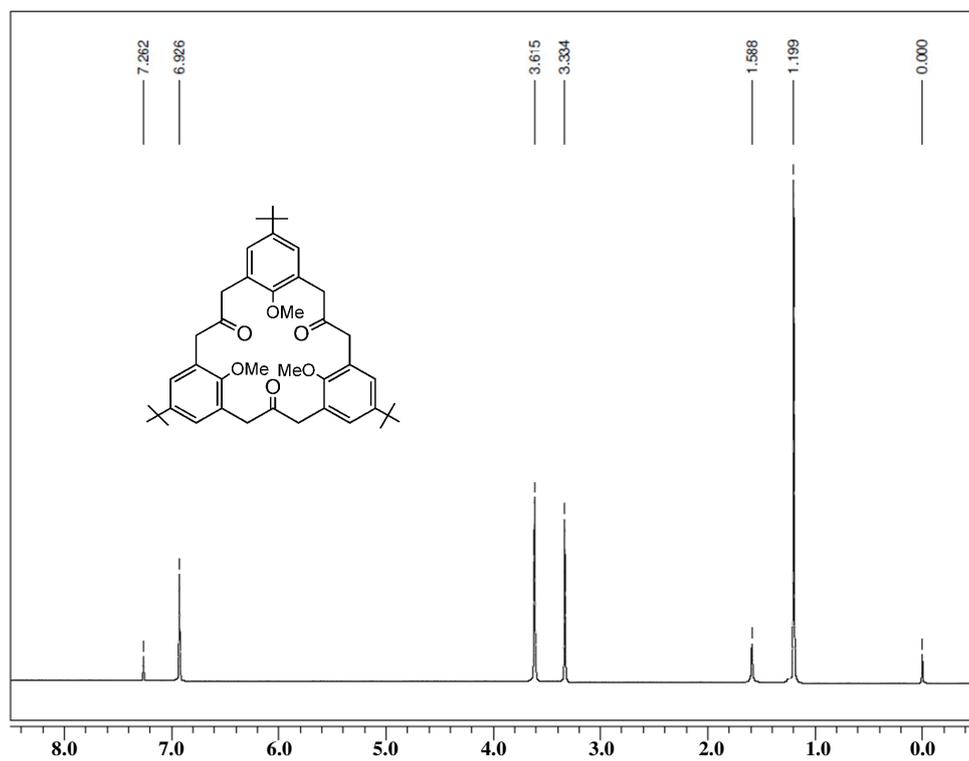


Figure S1. $^1\text{H-NMR}$ spectrum (400 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 2a.

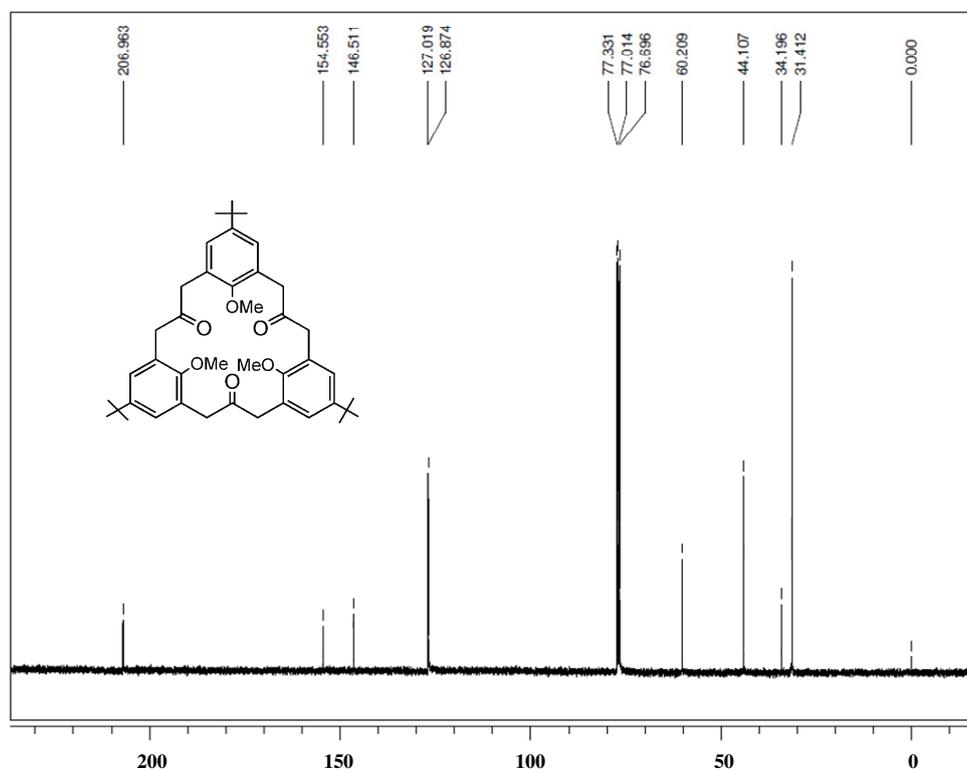


Figure S2: $^{13}\text{C-NMR}$ spectrum (100 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 2a.

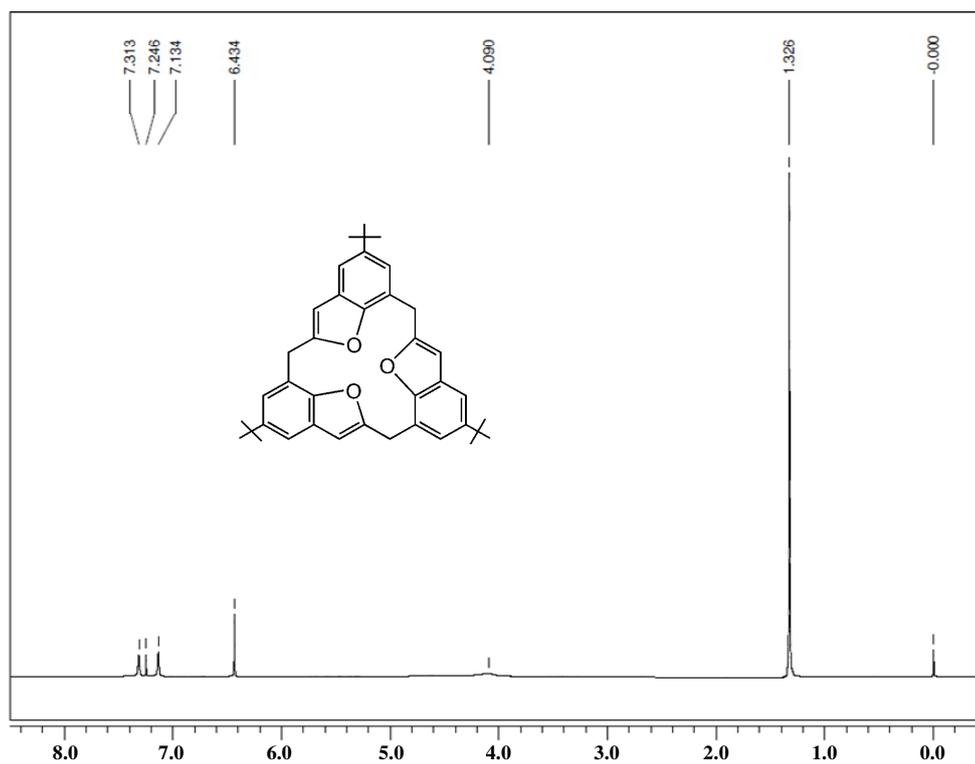


Figure S5: $^1\text{H-NMR}$ spectrum (400 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 4a.

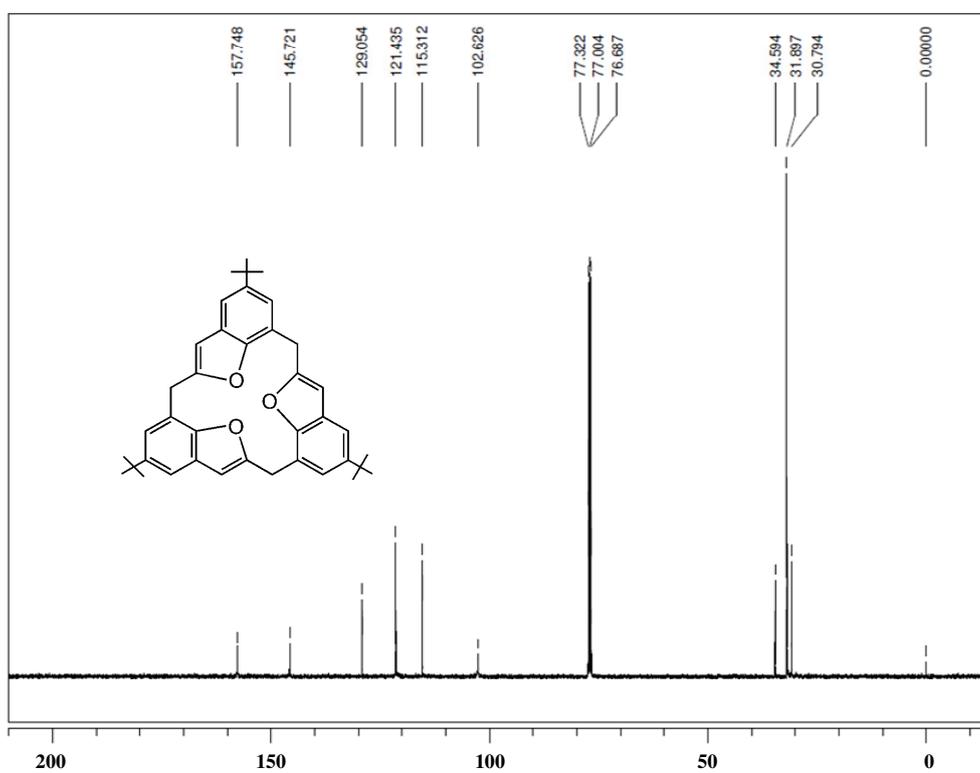


Figure S6: $^{13}\text{C-NMR}$ spectrum (100 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 4a.

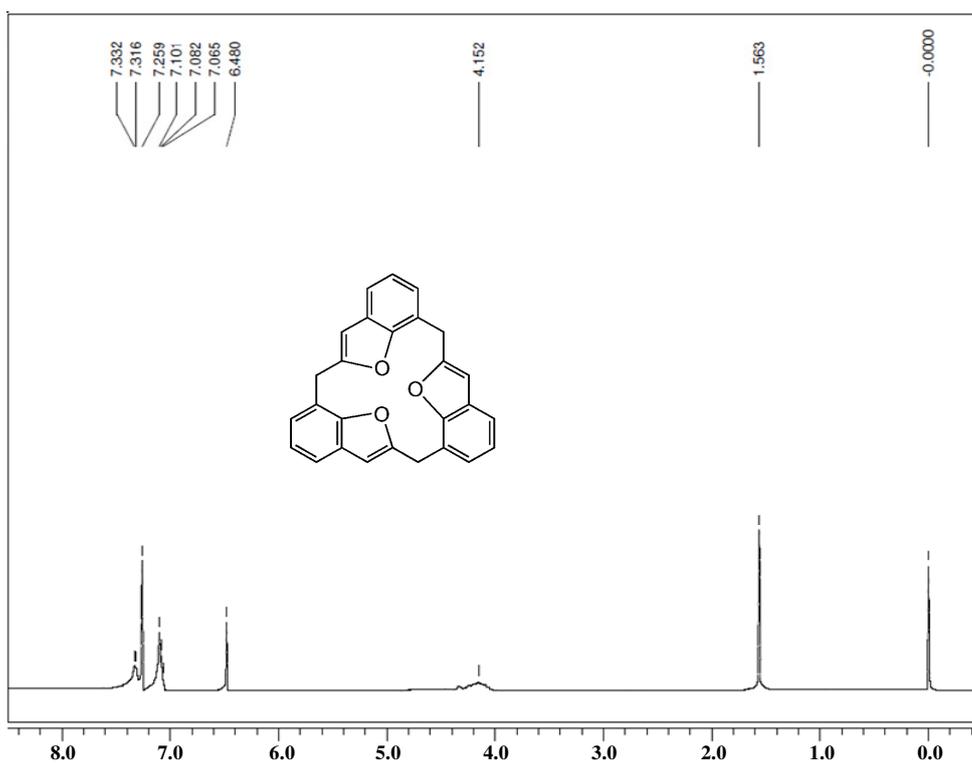


Figure S7: ^1H -NMR spectrum (400 MHz, 298 K, $^*\text{CDCl}_3$) of the compound **5a**.

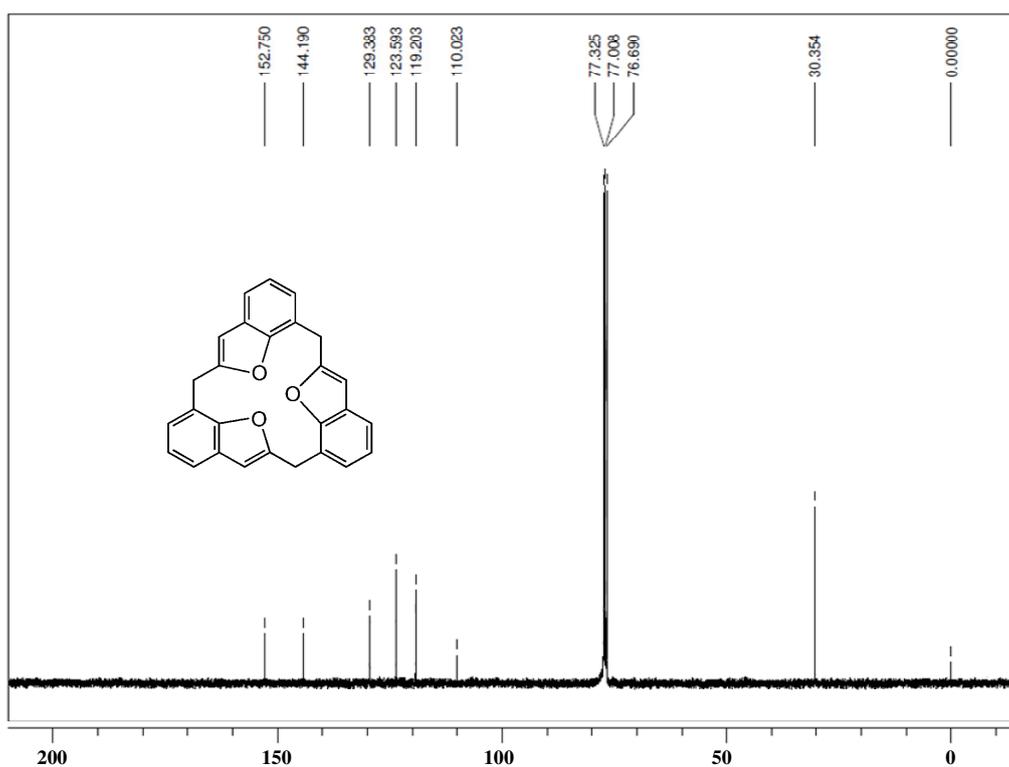


Figure S8: ^{13}C -NMR spectrum (100 MHz, 298 K, $^*\text{CDCl}_3$) of the compound **5a**.

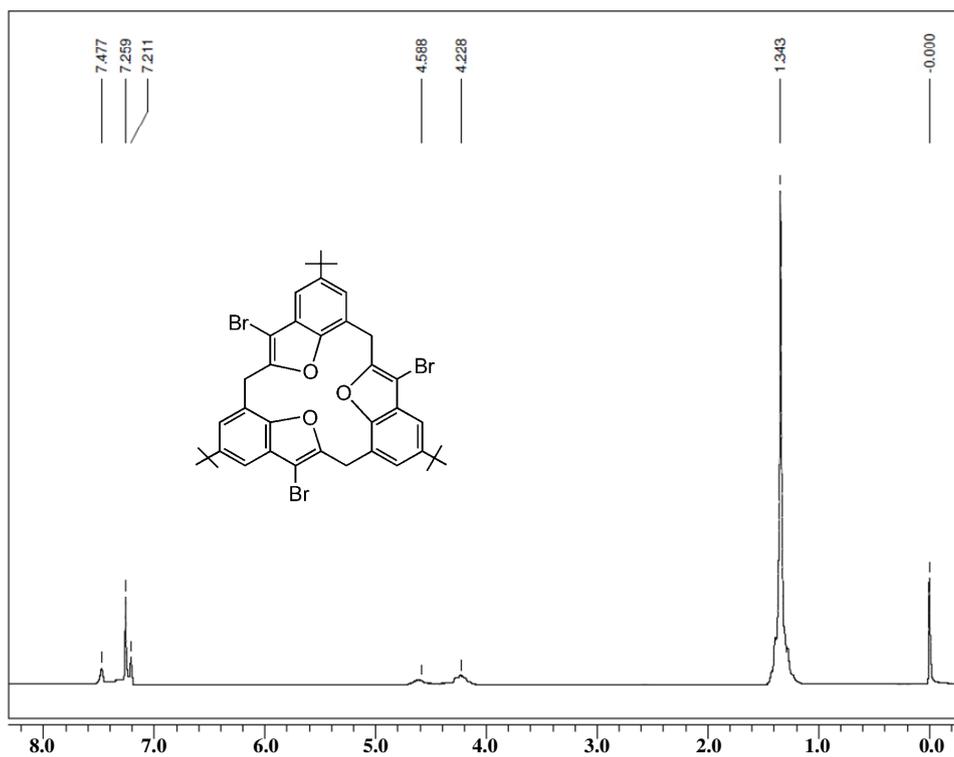


Figure S9: $^1\text{H-NMR}$ spectrum (400 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 4b.

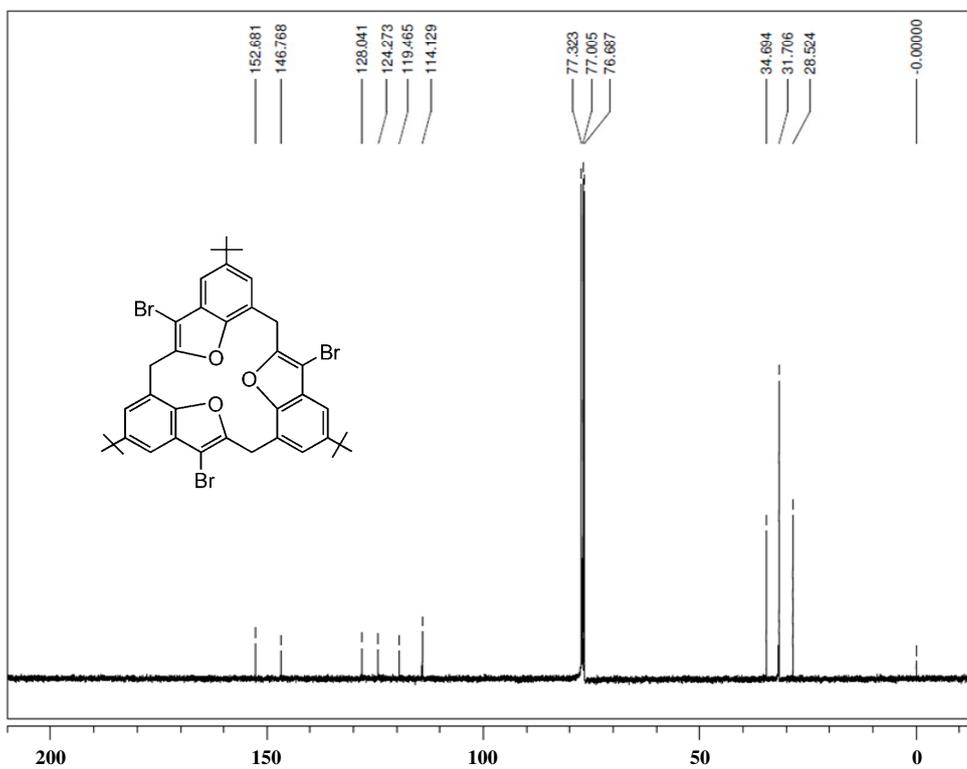


Figure S10: $^{13}\text{C-NMR}$ spectrum (100 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 4b.

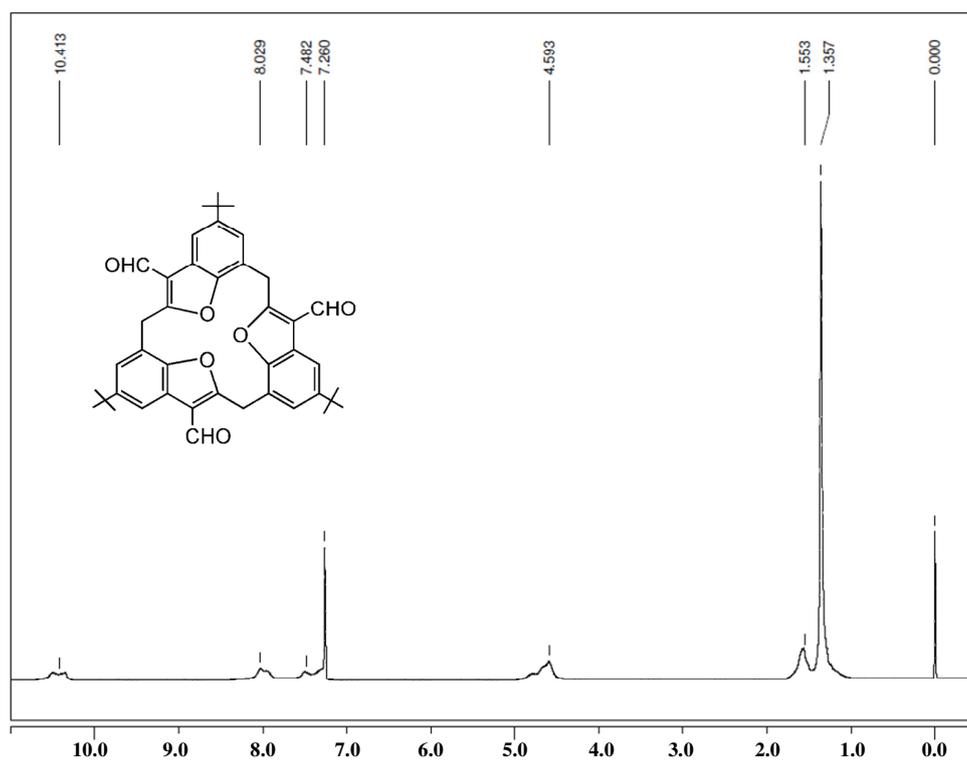


Figure S11: $^1\text{H-NMR}$ spectrum (400 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 4c.

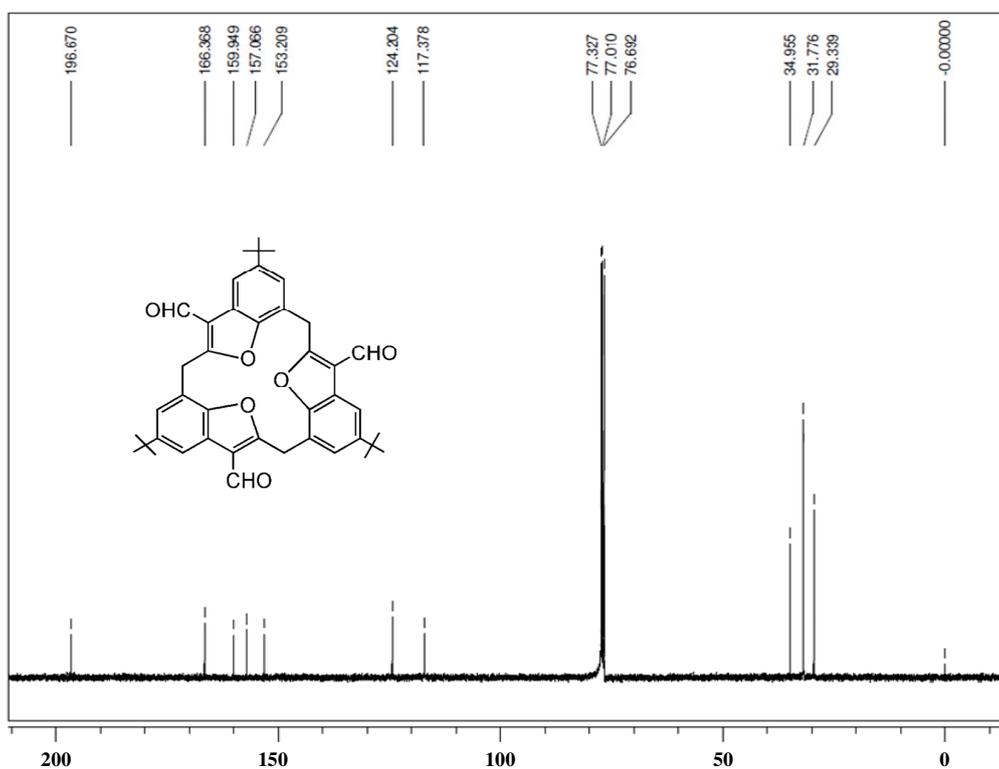


Figure S12: $^{13}\text{C-NMR}$ spectrum (100 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 4c.

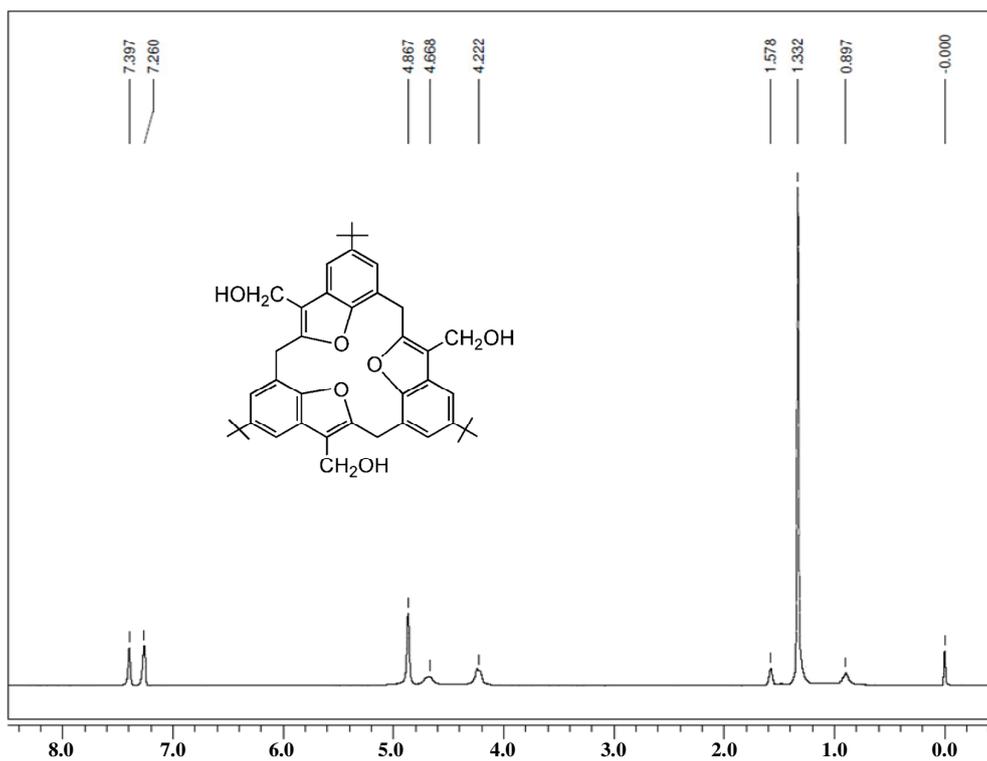


Figure S13: ¹H-NMR spectrum (400 MHz, 298 K, *CDCl₃) of the compound 4d.

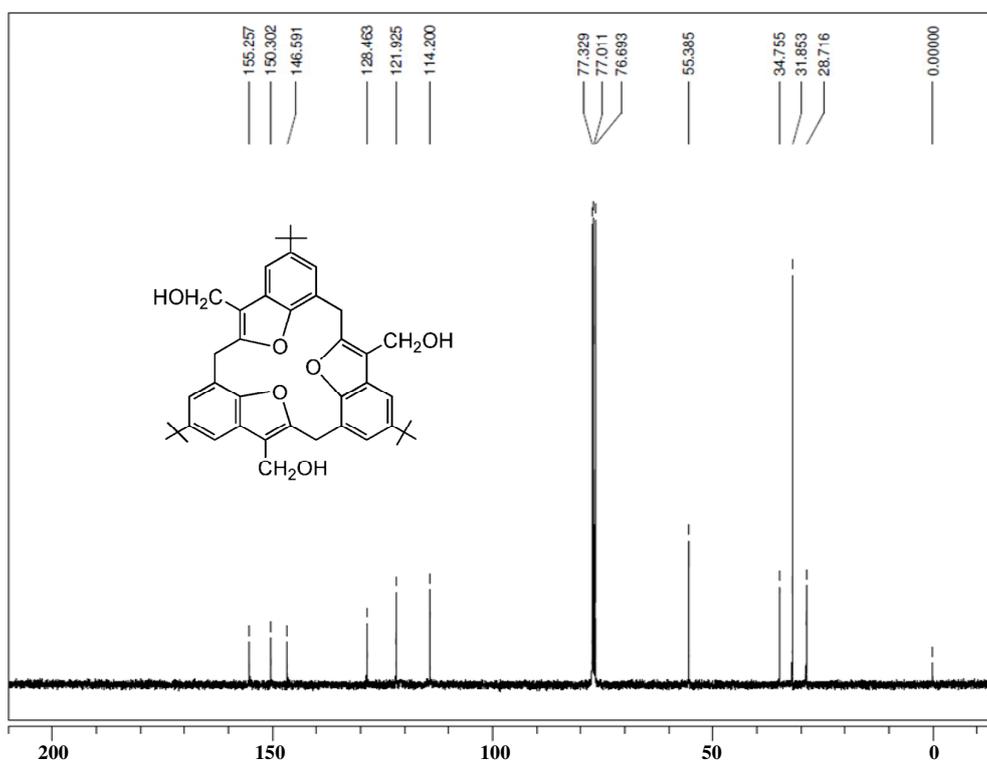


Figure S14: ¹³C-NMR spectrum (100 MHz, 298 K, *CDCl₃) for the compound 4d.

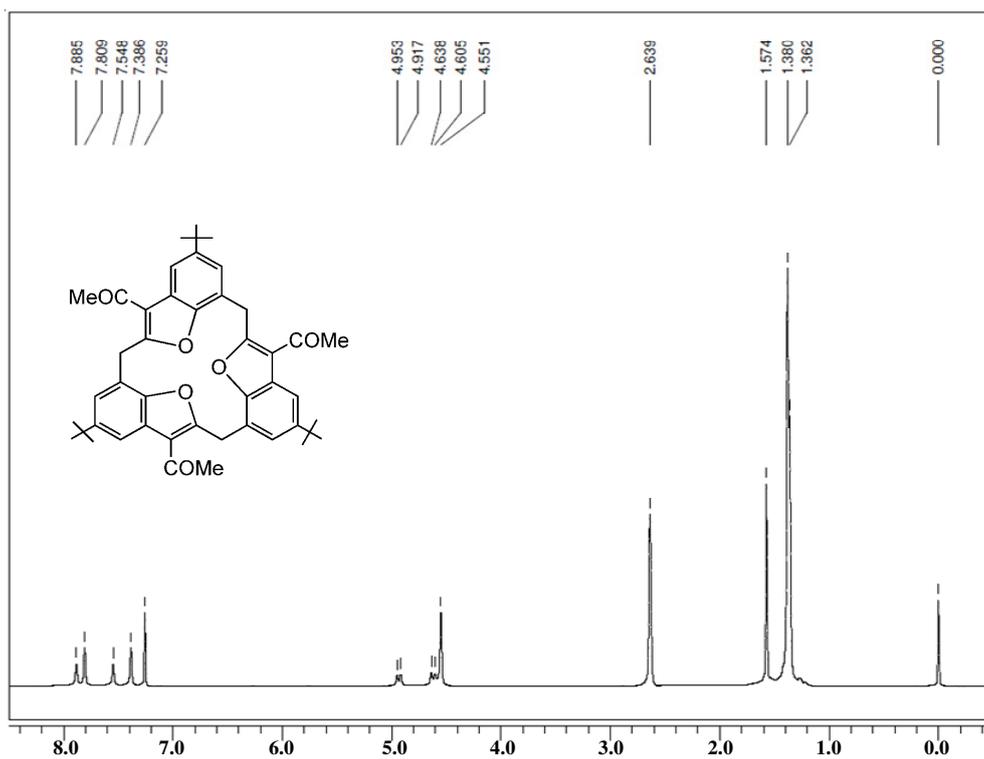


Figure S15: $^1\text{H-NMR}$ spectrum (400 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 4e.

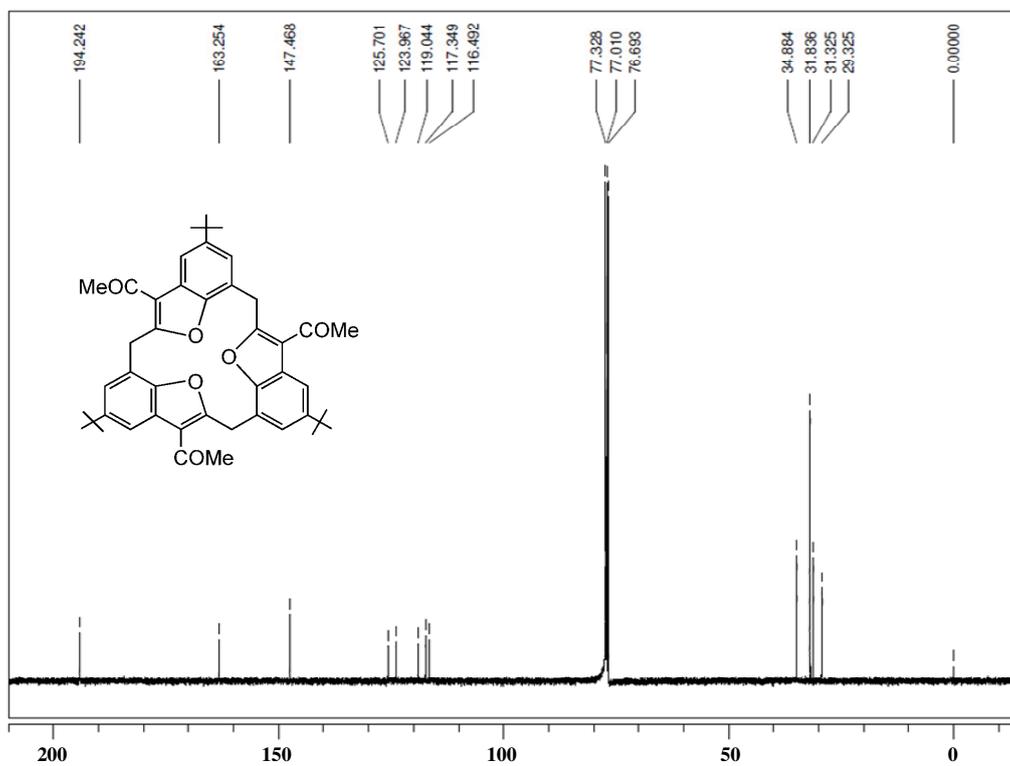


Figure S16: $^{13}\text{C-NMR}$ spectrum (100 MHz, 298 K, $^*\text{CDCl}_3$) for the compound 4e.

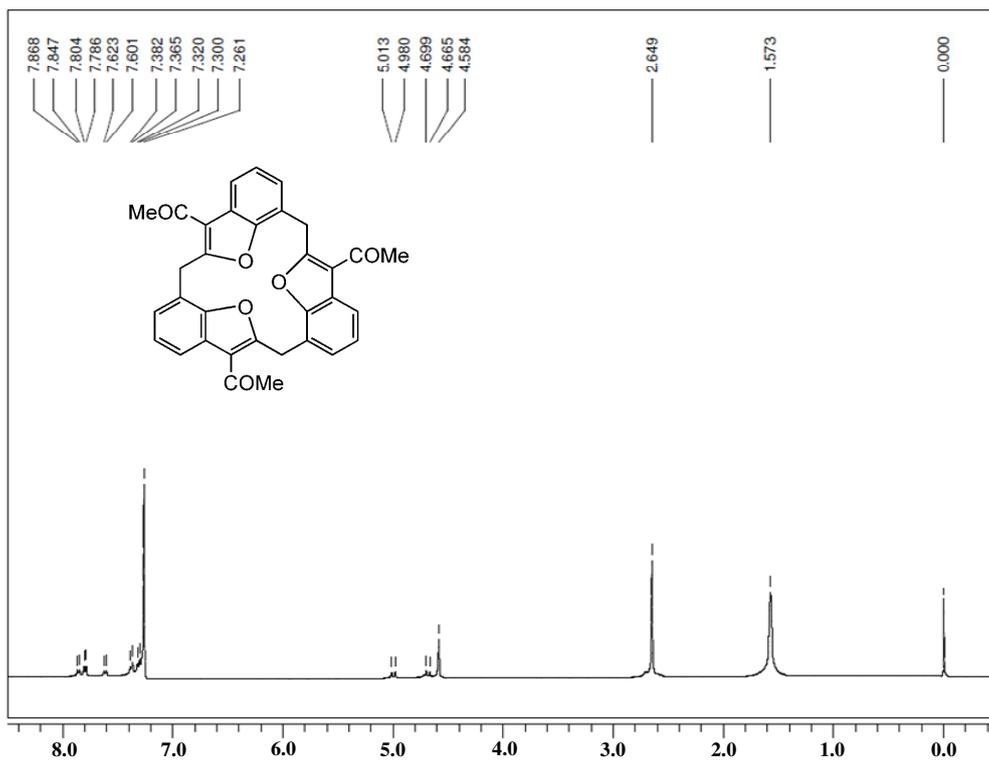


Figure S17: $^1\text{H-NMR}$ spectrum (400 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 5b.

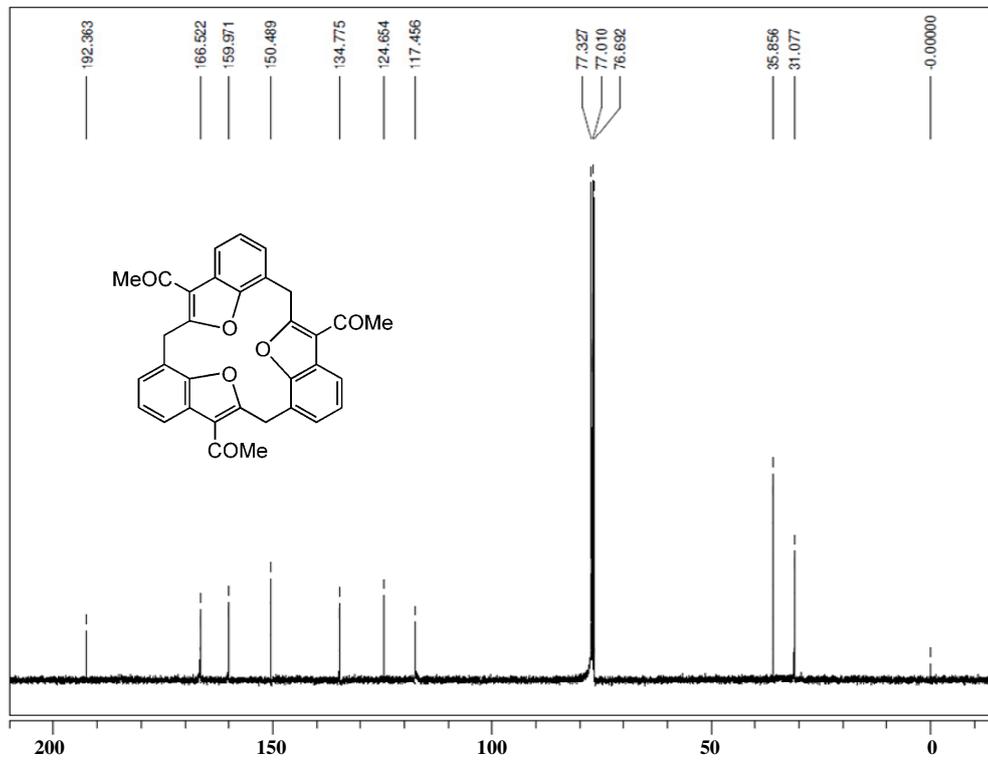


Figure S18: $^{13}\text{C-NMR}$ spectrum (100 MHz, 298 K, $^*\text{CDCl}_3$) of the compound 5b.

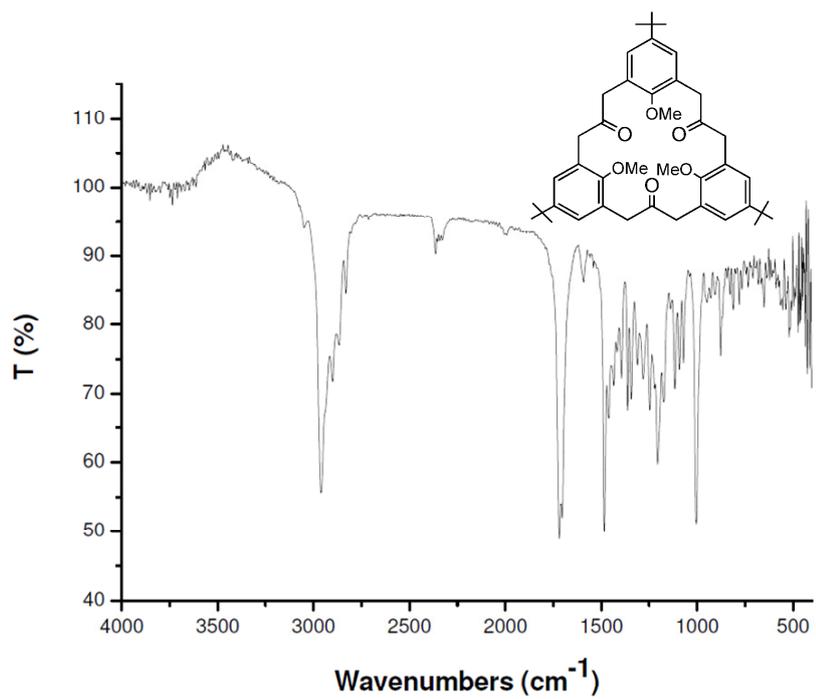


Figure S19: FT-IR spectrum of the compound 2a.

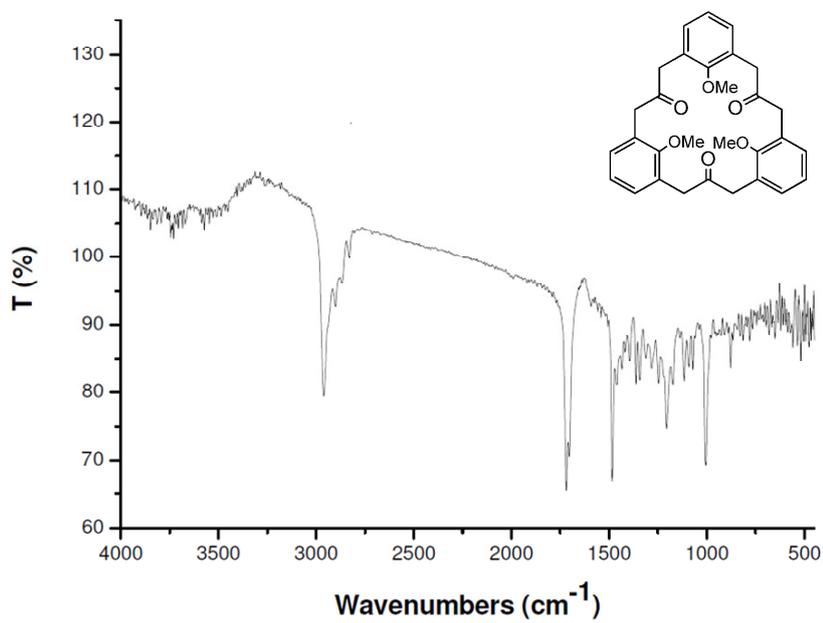


Figure S20: FT-IR spectrum of the compound 2b.

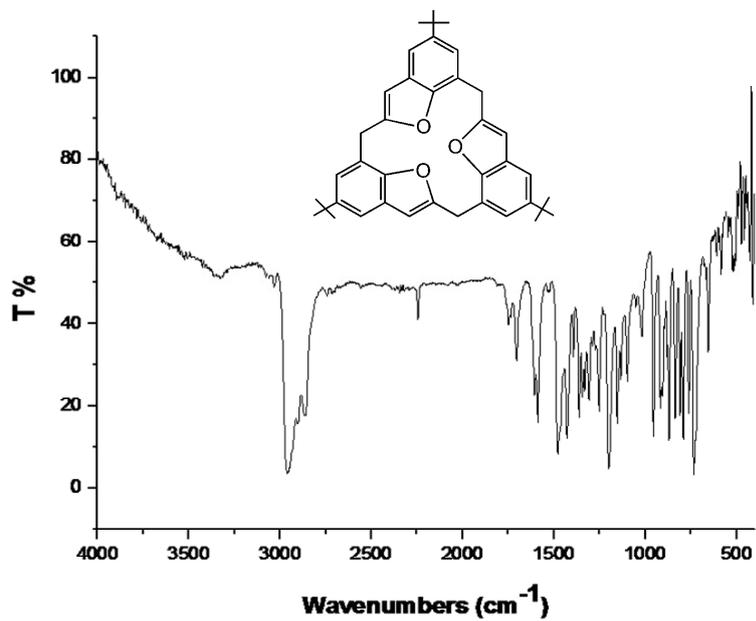


Figure S21: FT-IR spectrum of the compound 4a.

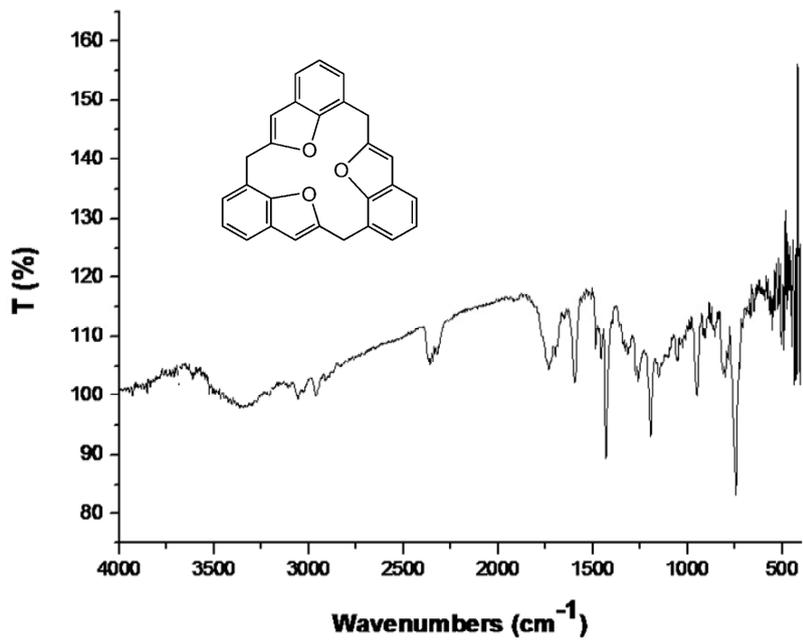


Figure S22: FT-IR spectrum of the compound 5a.

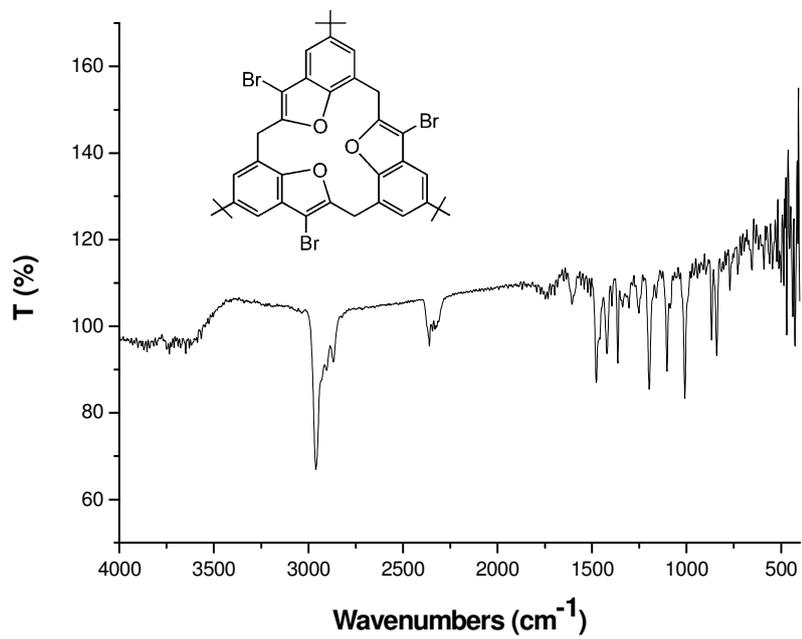


Figure S23: FT-IR spectrum of the compound 4b.

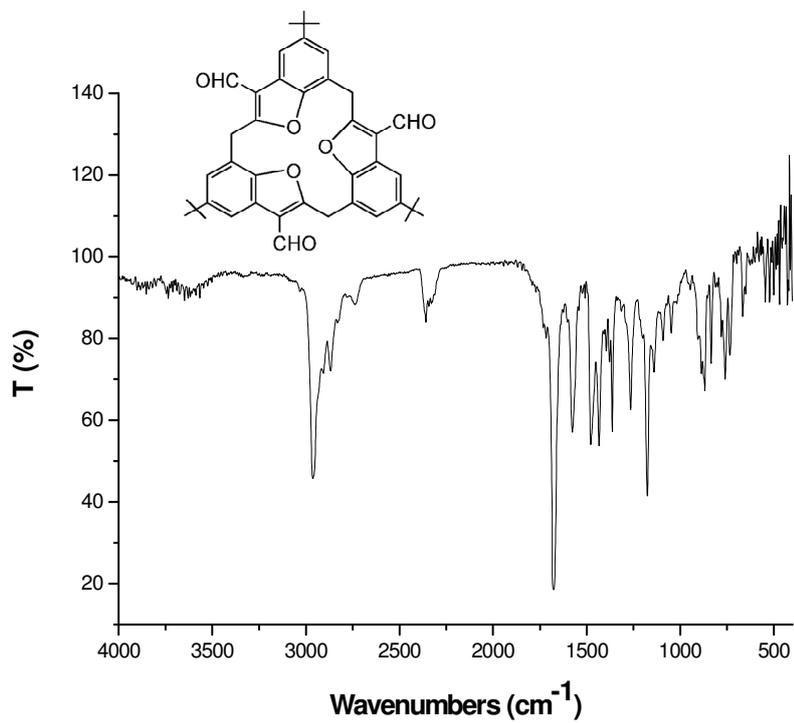


Figure S24: FT-IR spectrum of the compound 4c.

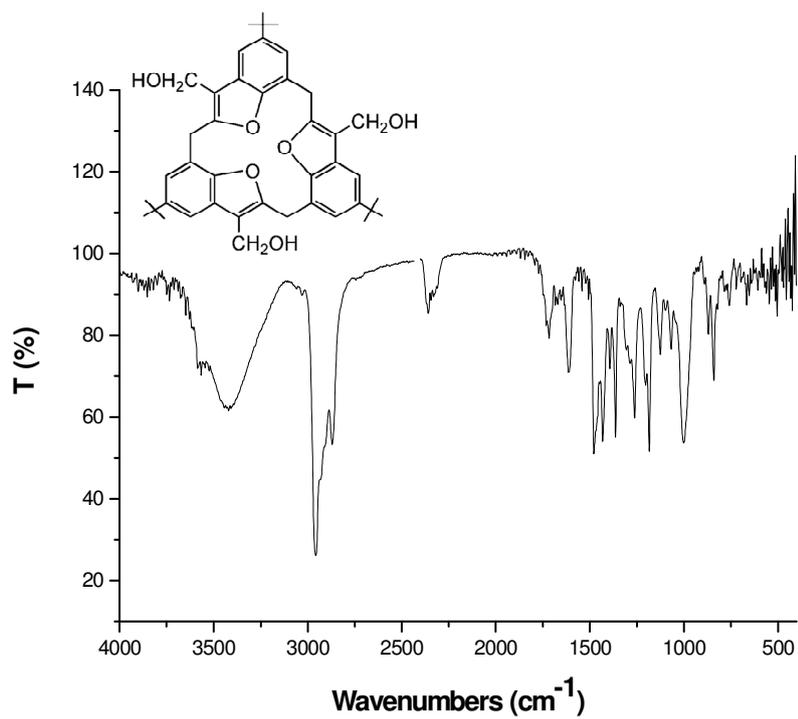


Figure S25: FT-IR spectrum of the compound 4d.

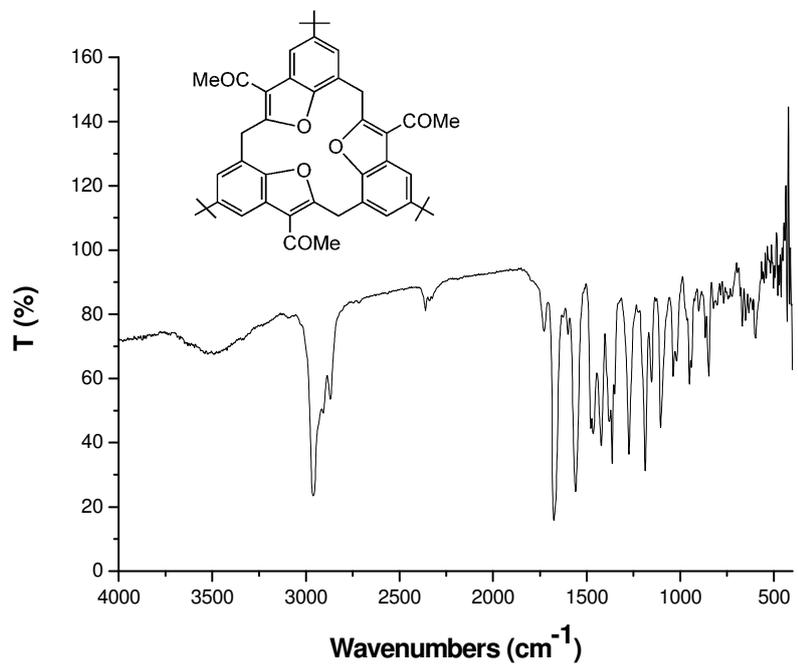


Figure S26: FT-IR spectrum of the compound 4e.

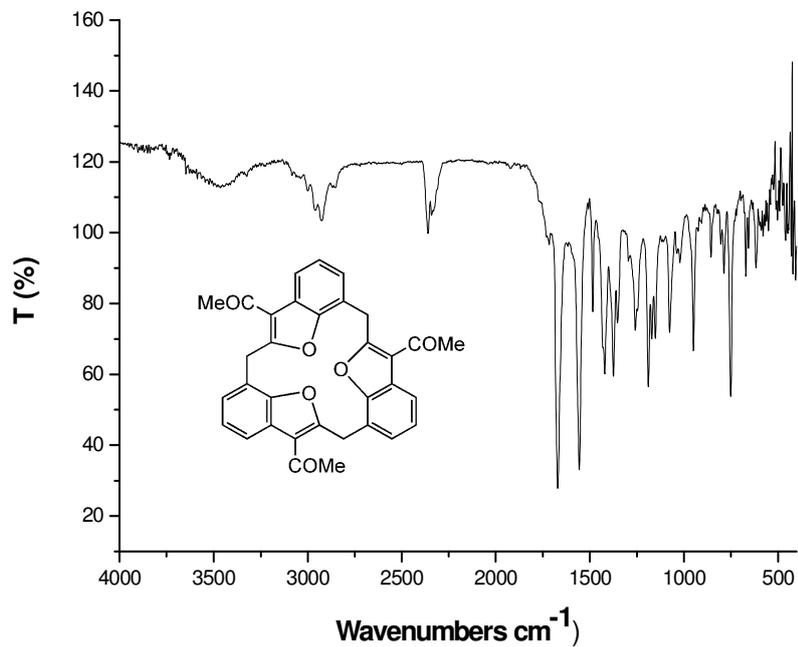


Figure S27: FT-IR spectrum of the compound 5b.

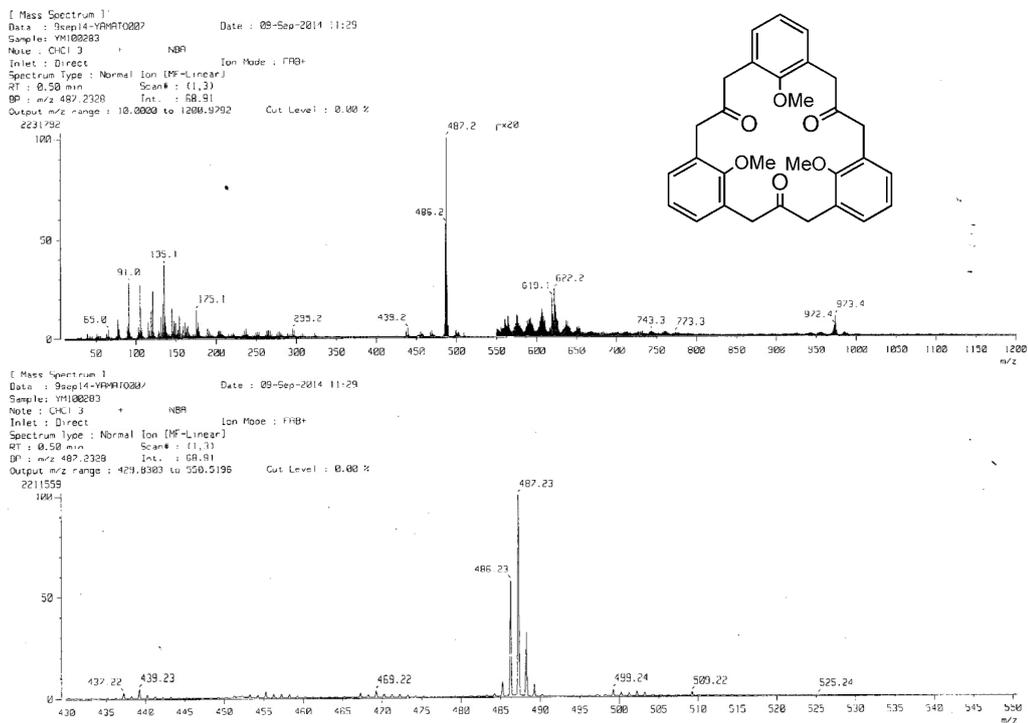


Figure S28: Mass-spectrum of the compound 2b.

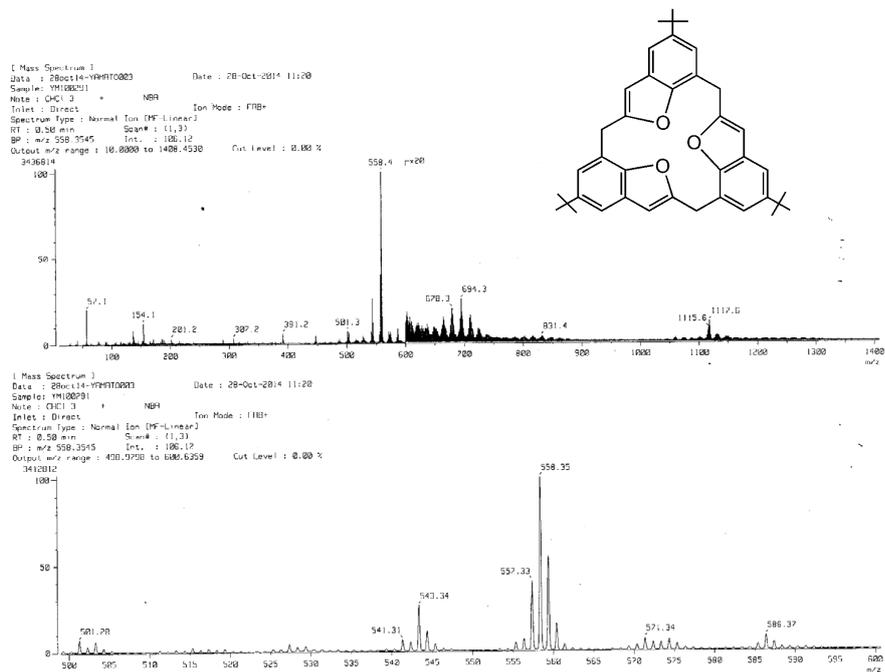


Figure S29: Mass-spectrum of the compound 4a.

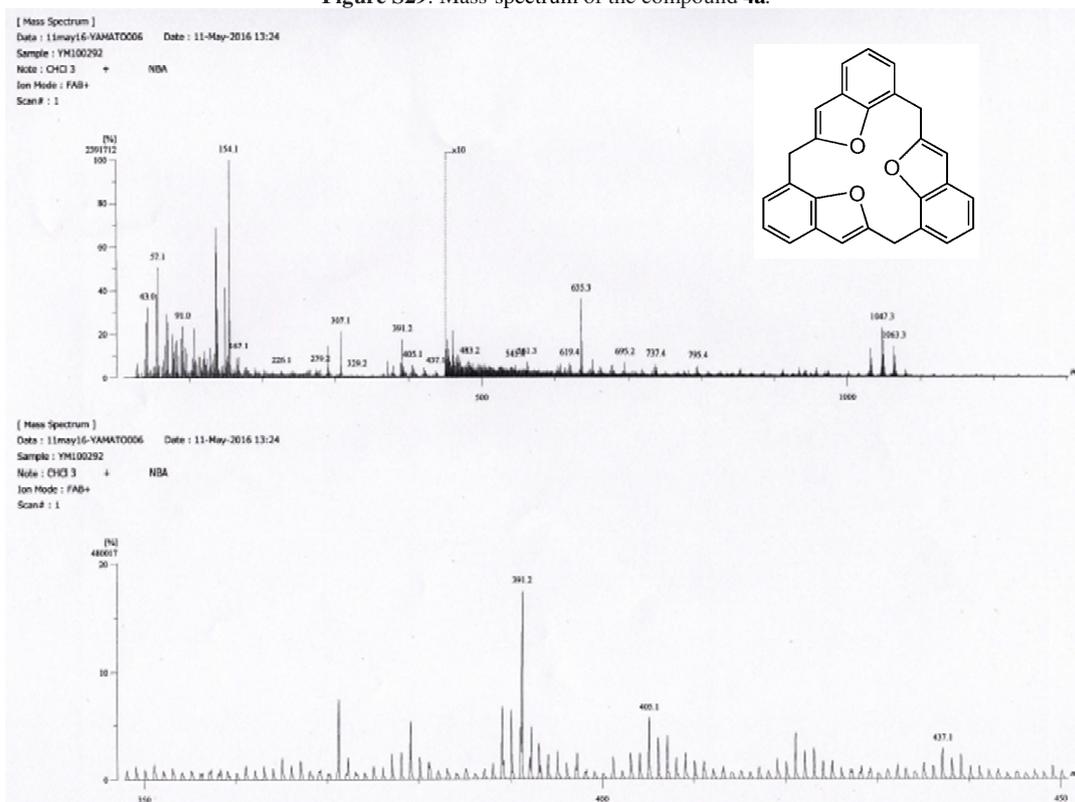


Figure S30: High resolution Mass-spectrum of the compound 5a.

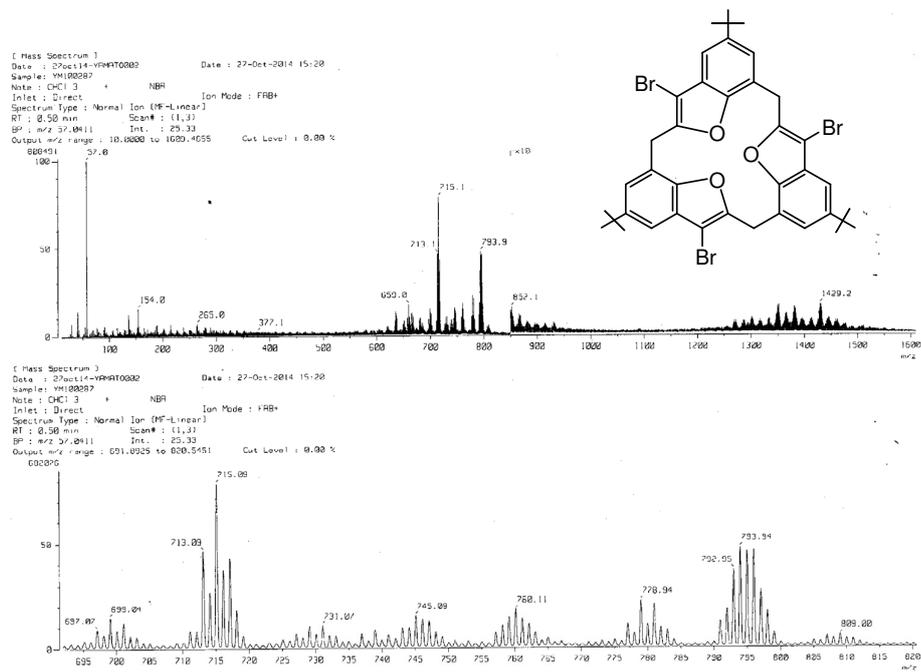


Figure S31: Mass-spectrum of the compound **4b**.

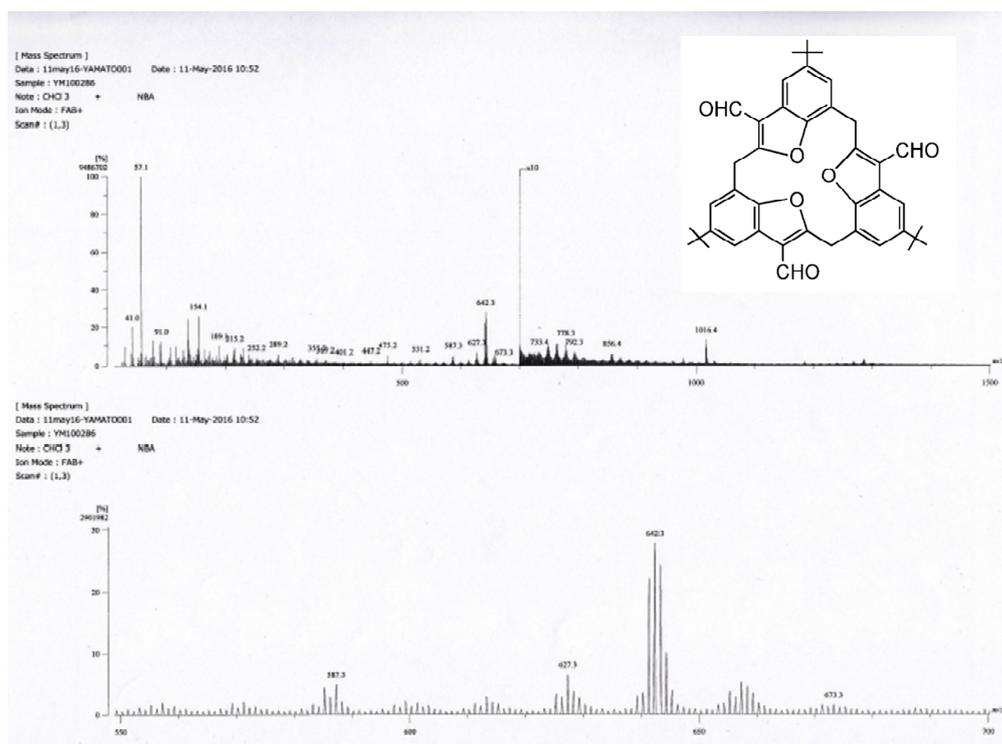


Figure S32: High resolution Mass-spectrum of the compound **4c**.

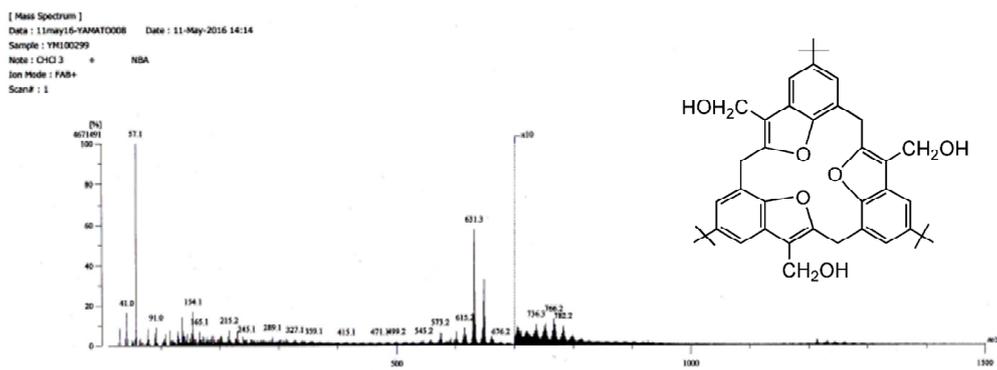


Figure S33: High resolution Mass-spectrum of the compound 4d.

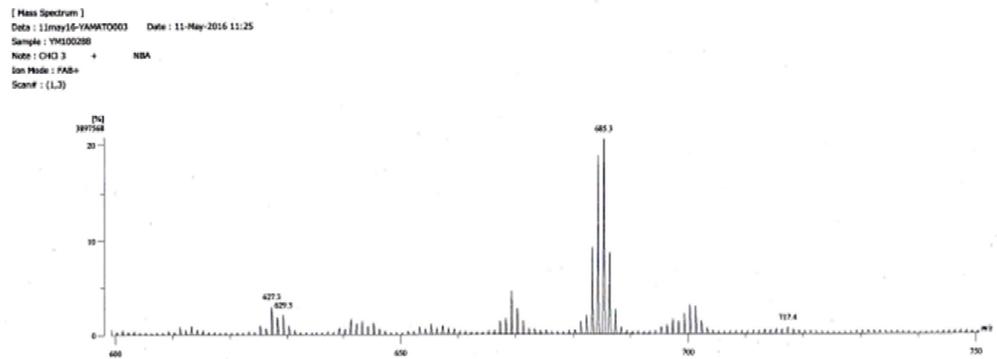
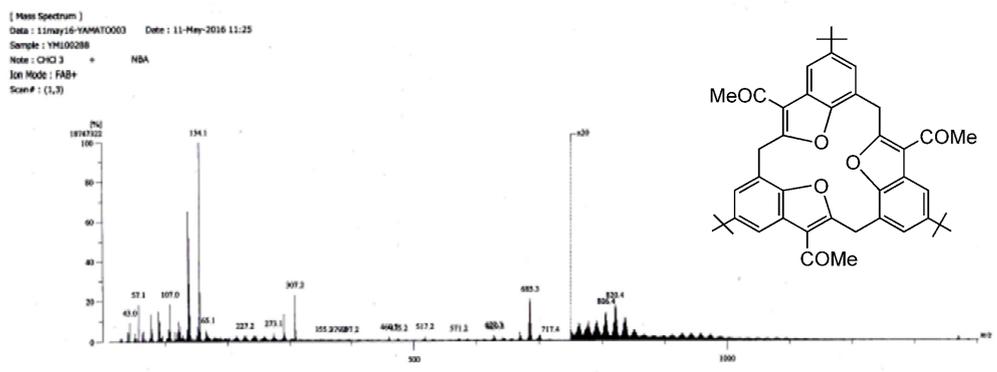


Figure S34: High resolution Mass-spectrum of the compound 4e.

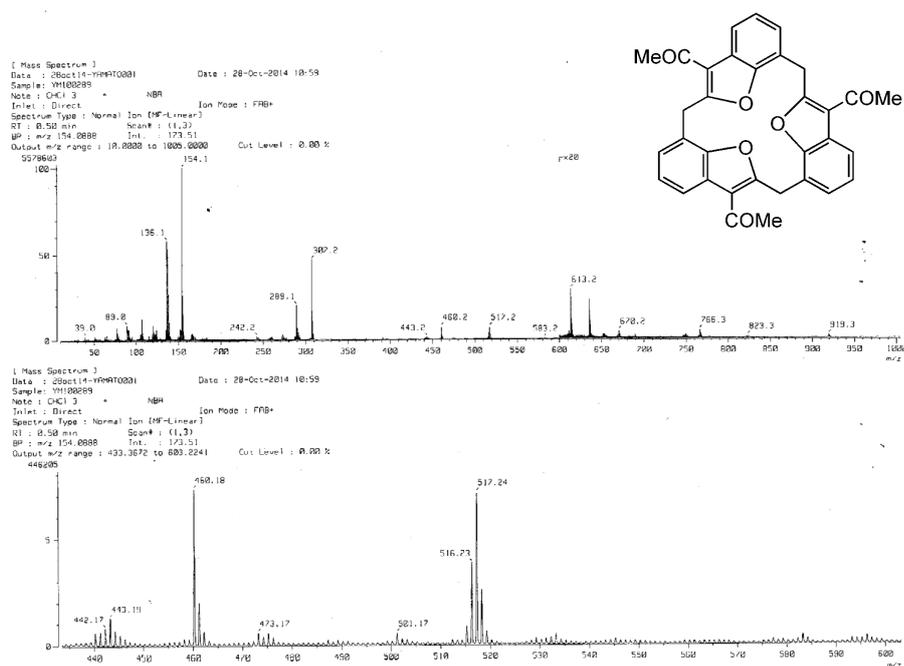


Figure S35: Mass-spectrum of the compound 5b.

[Theoretical Ion Distribution]

Molecular Formula : C₂₇ H₁₈ O₃

(m/z 390.1256, MW 390.4381, U.S. 19.0)

Base Peak : 390.1256, Averaged MW : 390.4368 (a), 390.4376 (w)

m/z	INT.
390.1256	100.0000*****
391.1290	30.1446*****
392.1320	4.9779***
393.1349	0.5885
394.1377	0.0548
395.1405	0.0042
396.1433	0.0003

Date: 11may16-YAMATO007 Date: 11-May-2016 13:37
 Instrument: MStation
 Sample: YH100292
 Note: CHCl₃ + NBA ref:PEG#400
 Inlet: Direct Ion Mode: FAB+
 RT: 5.65 min Scan#: 16
 Elements: C 70/0, H 50/0, O 5/0
 Mass Tolerance : 10ppm, 5mmu if m/z < 500, 10mmu if m/z > 1000
 Unsaturation (U.S.): -0.5 - 100.0

Observed m/z	Int%	Err[ppm / mmu]	U.S. Composition
1 390.1253	100.00	-0.8 / -0.3	19.0 C ₂₇ H ₁₈ O ₃

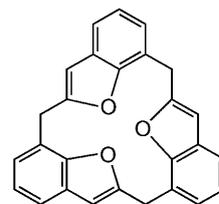


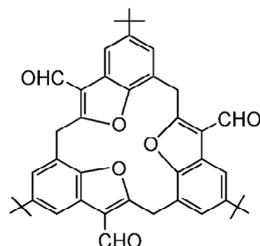
Figure S36: HRMS result of the compound 5a.

[Theoretical Ion Distribution]

Molecular Formula : C42 H42 O6

(m/z 642.2981, MW 642.7919, U. S. 22.0)
 Base Peak : 642.2981, Averaged MW : 642.7880(a), 642.7888(w)

m/z	INT.
642.2981	100.0000*****
643.3015	46.9424*****
644.3046	11.9610*****
645.3076	2.1682*
646.3104	0.3101
647.3133	0.0369
648.3160	0.0038
649.3188	0.0003



Data : 11may16-YAMATO002 Date : 11-May-2016 11:00
 Instrument : MStation
 Sample : YM100286
 Note : CHCl3 + NBA ref;PEG#600
 Inlet : Direct Ion Mode : FAB+
 RT : 0.00 min Scan# : 1
 Elements : C 70/0, H 70/0, O 8/0
 Mass Tolerance : 10ppm, 5mmu if m/z < 500, 10mmu if m/z > 1000
 Unsaturation (U.S.) : -0.5 - 100.0

Observed m/z	Int%	Err[ppm / mmu]	U.S. Composition
1 642.2994	93.59	+2.0 / +1.3	22.0 C42 H42 O6

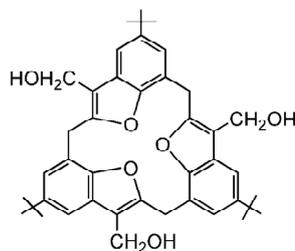
Figure S37: HRMS result of the compound 4c.

[Theoretical Ion Distribution]

Molecular Formula : C42 H48 O6

(m/z 648.3451, MW 648.8395, U. S. 19.0)
 Base Peak : 648.3451, Averaged MW : 648.8350(a), 648.8358(w)

m/z	INT.
648.3451	100.0000*****
649.3484	46.9424*****
650.3516	11.9610*****
651.3545	2.1682*
652.3574	0.3101
653.3602	0.0369
654.3630	0.0038
655.3657	0.0003



Data : 11may16-YAMATO009 Date : 11-May-2016 14:23
 Instrument : MStation
 Sample : YM100299
 Note : CHCl3 + NBA ref;PEG#600
 Inlet : Direct Ion Mode : FAB+
 RT : 0.25 min Scan# : 2
 Elements : C 70/0, H 70/0, O 8/0
 Mass Tolerance : 10ppm, 5mmu if m/z < 500, 10mmu if m/z > 1000
 Unsaturation (U.S.) : -0.5 - 100.0

Observed m/z	Int%	Err[ppm / mmu]	U.S. Composition
1 648.3472	61.54	+3.3 / +2.1	19.0 C42 H48 O6

Figure S38: HRMS result of the compound 4d.

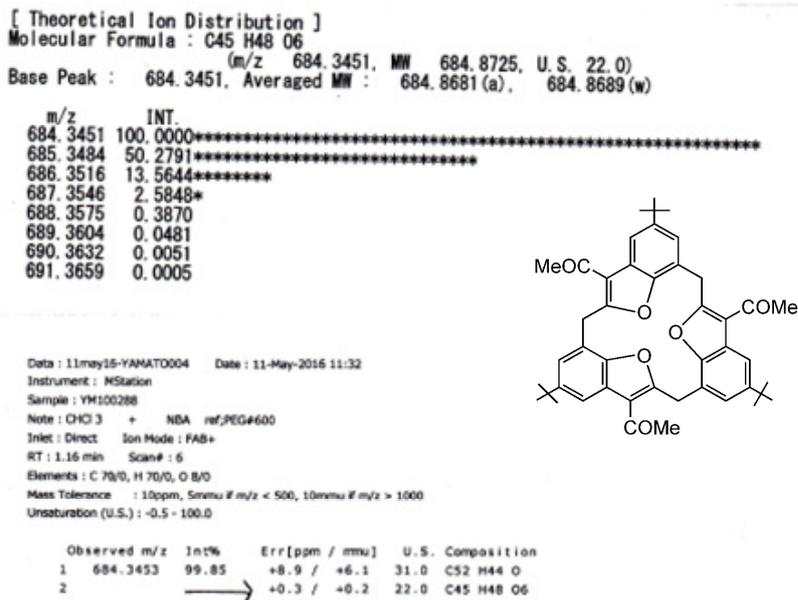


Figure S39: HRMS result of the compound **4e**.

X-ray crystallography

Table S1: Summary of crystal data for **4a** and **4b**

Table S1 Summary of crystal data for **4a** and **4b**.^{a,b}

Parameter	4a	4b
Empirical formula	C ₃₉ H ₄₂ O ₃	C ₃₉ H ₃₉ Br ₃ O ₃ , CHCl ₃ , ca 6(CH ₄ O)
Formula weight [g mol ⁻¹]	558.76	1107.05
Crystal system	trigonal	trigonal
Space group	<i>R</i> -3	<i>R</i> -3
<i>A</i> [Å]	19.3942(14)	15.4391(12)
<i>B</i> [Å]	19.3942(14)	15.4391(12)
<i>C</i> [Å]	16.5448(6)	31.782 (4)
α [°]	90.0000	90.0000
β [°]	90.0000	90.0000
γ [°]	120.0000	120.0000
Volume [Å ³]	5389.4(6)	6560.8(13)
<i>Z</i>	6	6
Density, calcd [g m ⁻³]	1.033	1.681
Temperature [K]	123	140
Unique reflns	2193	1888
Obsd reflns	1984	1293
Parameters	127	182
<i>R</i> _{int}	0.0399	0.076
R[I>2σ(I)] ^a	0.0647	0.072
wR[I>2σ(I)] ^b	0.1455	0.138
GOF on F ²	1.154	1.119

^a Conventional *R* on F_{hkl}: $\sum |F_o| - |F_c| / \sum |F_o|$. ^b Weighted *R* on |F_{hkl}|²: $\sum [w(F_o^2 - F_c^2)^2] / \sum [w(F_o^2)^2]^{1/2}$

Crystal structure analysis of the tris-benzofuran calixarene, compound 4a:¹⁻⁴

Crystal data: C₃₉H₄₂O₃. M = 558.76. Trigonal, space group R-3 (no. 148; hexagonal axes), a = b = 19.3942(14), c = 16.5448(6) Å, α = β = 90, γ = 120°, V = 5389.4(6) Å³. Z = 6, D_c = 1.033 g cm⁻³, F(000) = 3408, T = 123(1) K, μ(Cu-Kα) = 4.96 cm⁻¹, λ(Mo-Kα) = 1.5418 Å.

A colorless prism crystal of C₃₉H₄₂O₃ having approximate dimensions of 0.350 x 0.250 x 0.200 mm was mounted on a glass fiber. All intensity measurements were made on a Rigaku R-AXIS RAPID diffractometer using graphite monochromated Cu-Kα radiation. The data were collected at a temperature of -150 ± 1°C to a maximum 2θ value of 136.4°. Of the 20857 reflections collected, 2193 were unique (R_{int} = 0.0399) and 1984 were 'observed'; equivalent reflections were merged. The linear absorption coefficient, μ, for Cu-Kα radiation is 4.956 cm⁻¹. An empirical absorption correction was applied which resulted in transmission factors ranging from 0.641 to 0.906. The data were corrected for Lorentz and polarization effects. The structure was solved by direct methods¹ and expanded using Fourier techniques. The non-hydrogen atoms were refined anisotropically. Hydrogen atoms were refined using the riding model. The final cycle of full-matrix least-squares refinement on F² was based on all 2193 reflections and 127 variable parameters and converged with R₁ = 0.071 and wR₂ = 0.146; for the observed data, R₁ = 0.065. The goodness of fit was 1.15. The maximum and minimum peaks on the final difference Fourier map corresponded to 0.36 and -0.33 eÅ⁻³, respectively.

Neutral atom scattering factors were taken from International Tables for Crystallography (IT), Vol. C, Table 6.1.1.4.² All calculations were performed using the CrystalStructure³ crystallographic software package except for refinement, which was performed using SHELXL2013.⁴

Crystal structure analysis of a tris-benzofuran calixarene/CHCl₃/methanol complex, compound 4b:⁵⁻⁸

Crystal data: C₃₉H₃₉Br₃O₃, CHCl₃, ca 6(CH₄O). M = 1107.1. Trigonal, space group R-3 (no. 148; hexagonal axes), a = b = 15.4391(12), c = 31.782(4) Å, α = β = 90, γ = 120°, V = 6560.8(13) Å³. Z = 6, D_c = 1.681 g cm⁻³, F(000) = 3408, T = 140(1) K, μ(Mo-Kα) = 30.1 cm⁻¹, λ(Mo-Kα) = 0.71073 Å.

Crystals are colorless, cubic blocks. One, ca 0.43 x 0.37 x 0.25 mm, was mounted in oil on a glass fiber and fixed in the cold nitrogen stream on an Oxford Diffraction Xcalibur-3 CCD diffractometer equipped with Mo-Kα radiation and graphite monochromator. Intensity data were measured by thin-slice ω- and φ-scans. Total no. of reflections recorded, to θ_{max} = 22.5°, was 13024 of which 1888 were unique (R_{int} = 0.076); 1293 were 'observed' with I > 2σ_I.

Data were processed using the CrysAlis-CCD and -RED (1) programs. The structure was determined by the direct methods routines in the SHELXS program (2A) and refined by full-matrix least-squares methods, on F²'s, in SHELXL (2B). The analysis shows the calixarene molecule lying around a threefold symmetry axis with, on one side, a CHCl₃ solvent molecule (also on the symmetry axis) and, on the other side, a complex ring structure, presumably of a disordered array of methanol molecules; in this region, 50 atoms have been refined as isotropic carbon atoms, mostly with site occupancies of 0.5, about a point of -3 symmetry. In the calixarene and chloroform molecules, the non-hydrogen atoms were refined with anisotropic thermal parameters; hydrogen atoms were included in idealized positions and their U_{iso} values were set to ride on the U_{eq} values of the parent carbon atoms. At the conclusion of the refinement, wR₂ = 0.149 and R₁ = 0.114 (2B) for all 1888 reflections weighted w = [σ²(F_o²) + (0.0368P)² + 104.34P]⁻¹ with P = (F_o² + 2F_c²)/3; for the 'observed' data only, R₁ = 0.072.

In the final difference map, the highest peak (ca 0.37 eÅ⁻³) was close to Br(13).

Scattering factors for neutral atoms were taken from reference.⁷ Computer programs used in this analysis have been noted above, and were run through WinGX (4) on a Dell Optiplex 755 PC at the University of East Anglia.

General description for the DFT computational study:^{9,10}

Density functional theory (DFT) computational studies were carried out to determine the geometry-optimized energies of compounds **4a-e** and **5a-b**. The starting structures were generated with the initial geometries based upon the X-ray structures of **4a** and **4b** and from the presumed structures of **4c-4d** (derived from *cone-4a* and *cone-4b*) and **5a-b** using *SpartanPro'10* with the MMFF94 method.⁹ The individual geometry-optimized structures of these molecules were first conducted in the gas phase and then in solvent (chloroform) with the B3LYP/6-31G(d) basis set using *Gaussian-09*.¹⁰ The results are summarized in Tables S2 and S3 for both *cone* and *saddle* conformations for compounds **4a-e**, **5a-b** (Figures S36 to S42). The results presented in Table S2 show that **4a-e**, **5a-b** were energetically more-favoured in solvent CHCl₃ than in the gas phase. The results presented in Table S3 of the synthesized calix[3]benzofurans and their derivatives, **4a-e**, suggest that the *saddle* conformers are more stable than the *cone* isomers. The results presented in Tables S2 & S3 show that among the calix[3]benzofurans, **4b** is the energetically most-favoured (in both the solvent and gas-phase) and the order is as follows: **4b**>**4e**>**4d**>**4c** >**4a**>**5b** >**5a** in both the solvent and gas phase. So by introducing the different groups at the furan moieties, the derivatives become energetically more favored over the corresponding calix[3]benzofuran according to the increasing size of groups (i.e. COMe > CH₂OH > CHO) except for **4b**. In the case of **4b**, there may be two factors influencing the stability: bromine is electronegative in nature and has greater electron-density due to multiple lone-pairs of electrons. The DFT optimized B3LYP/6-31G(d) energies of these two conformers imply that the *saddle* conformers of **4a** and **4b**, which are -4 and -35 kJmol⁻¹, are therefore more stable than the *cone* conformers in the solvent, similar to what was computed in gas phase (Table S2). On the other hand, for the *tert*-butyl group analogues, calix[3]benzofuran **5a** and its derivative **5b**, the *saddle* conformers are energetically less stable than the *cone* conformers by 4 and 10 kJmol⁻¹ in the gas phase, and by 5 and 7 kJmol⁻¹ in solvent (Table S3), respectively. Similarly, *saddle-4c*, **4d**, **4e** are energetically more stable by -12, -20 and -48 kJmol⁻¹ than *cone-4c*, **4d**, **4e** in the gas phase, respectively (Table S3).

Table S2. Geometry optimization energies using B3LYP/6-31G(d) ($\Delta E = E_{\text{chloroform}} - E_{\text{gas-phase}}$).

Compound	<i>Cone</i>			<i>Saddle</i>		
	Gas phase	Chloroform	ΔE	Gas phase	Chloroform	ΔE
	kJ mol ⁻¹					
4a	-4560873	-4560891	-17	-4560878	-4560895	-17
5a	-3322273	-3322291	-18	-3322269	-3322286	-17
4b	-24812139	-24812152	-13	-24812173	-24812188	-15
4c	-5453483	-5453506	-23	-5453495	-5453524	-28
4d	-5462889	-5462924	-35	-5462910	-5462940	-31
4e	-5763178	-5763204	-26	-5763226	-5763254	-28
5b	-4524625	-4524650	-25	-4524615	-4524643	-28

Table S3. Geometry optimization energies using B3LYP/6-31G(d) ($\Delta E = E_{Saddle} - E_{Cone}$).

Compound	Gas-phase			Chloroform		
	<i>Cone</i>	<i>Saddle</i>	ΔE	<i>Cone</i>	<i>Saddle</i>	ΔE
	kJ mol^{-1}					
4a	-4560873	-4560878	-4	-4560891	-4560895	-4
5a	-3322273	-3322269	4	-3322291	-3322286	5
4b	-24812139	-24812173	-34	-24812152	-24812188	-35
4c	-5453483	-5453495	-12	-5453506	-5453524	-18
4d	-5462889	-5462910	-20	-5462924	-5462940	-16
4e	-5763178	-5763226	-48	-5763204	-5763254	-50
5b	-4524625	-4524615	10	-4524650	-4524643	7

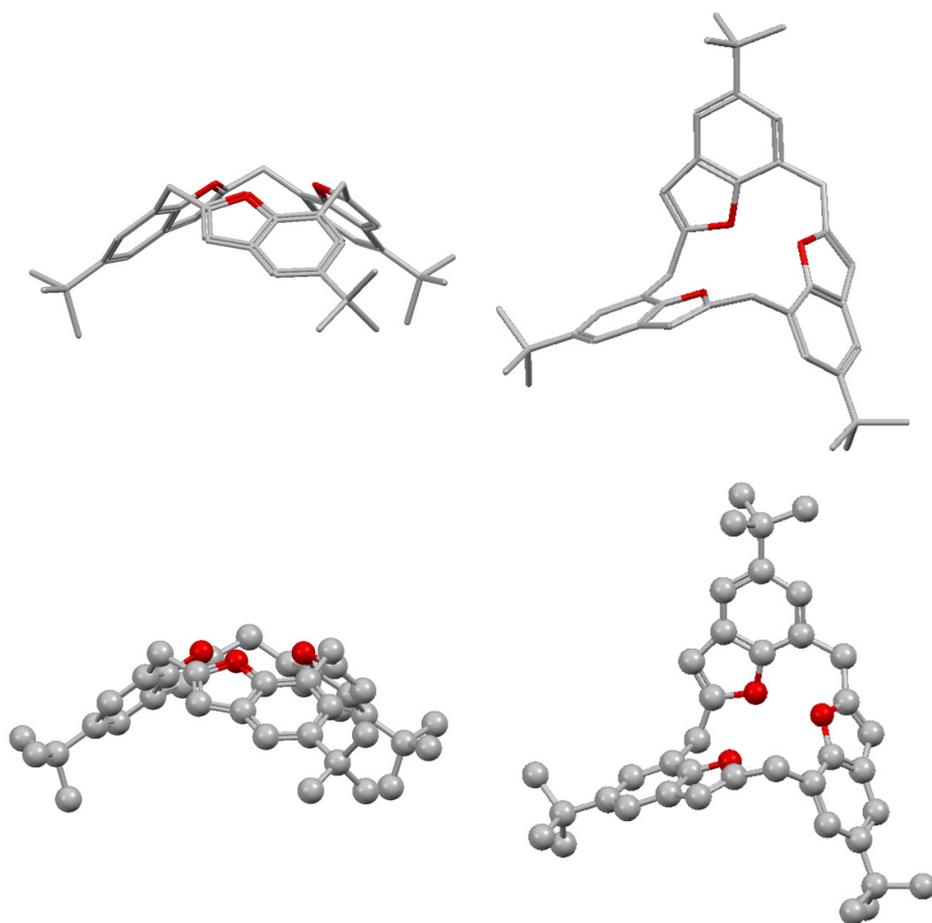


Figure S40. Geometry-optimized (in CHCl_3) structures of: *Top Left: 4a cone* (Ellipsoid); *Top Right: 4a saddle* (Ellipsoid). *Bottom Left: 4a cone* (ball-and-stick) and *Bottom Right: 4a saddle* (ball-and-stick). Colour code: carbon = dark grey and oxygen atom = red. All hydrogens are omitted for clarity.

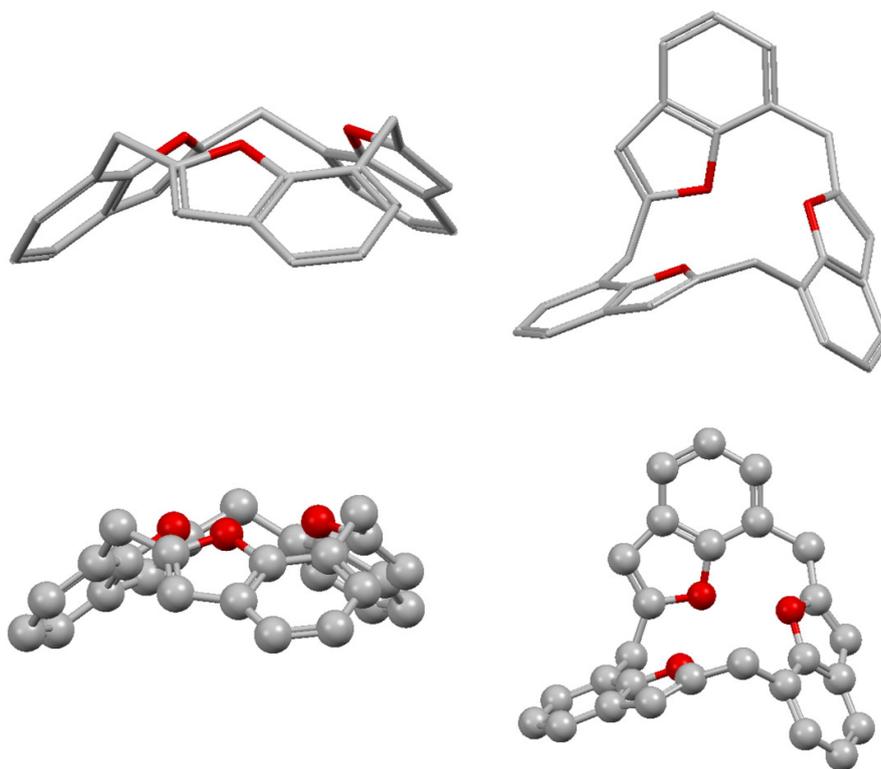


Figure S41. Geometry-optimized (in CHCl_3) structures of: *Top Left: 5a cone* (Ellipsoid); *Top Right: 5a saddle* (Ellipsoid). *Bottom Left: 5a cone* (ball-and-stick) and *Bottom Right: 5a cone* (ball-and-stick). Colour code: carbon = dark grey and oxygen atom = red. All hydrogens are omitted for clarity.

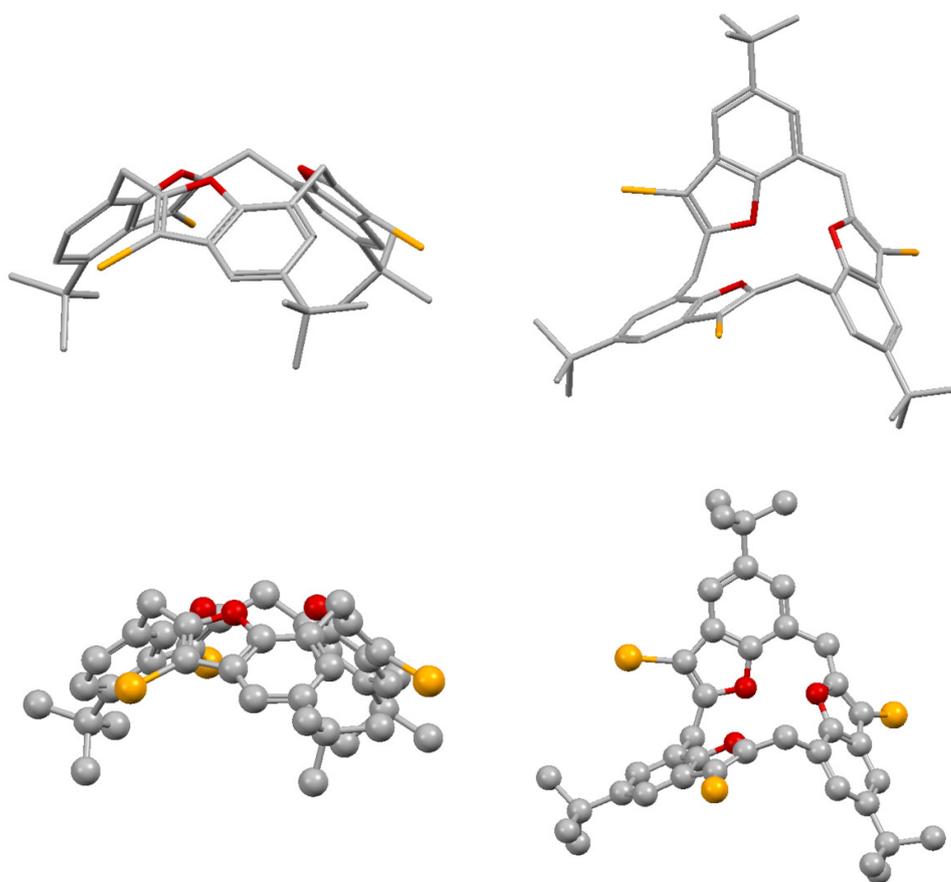


Figure S42. Geometry-optimized (in CHCl_3) structures of: *Top Left: 4b cone* (Ellipsoid); *Top Right: 4b saddle* (Ellipsoid). *Bottom Left: 4b cone* (ball-and-stick); and *Bottom Right: 4b saddle* (ball-and-stick). Colour code: bromide = orange, carbon = dark grey and oxygen atom = red. All hydrogens are omitted for clarity.

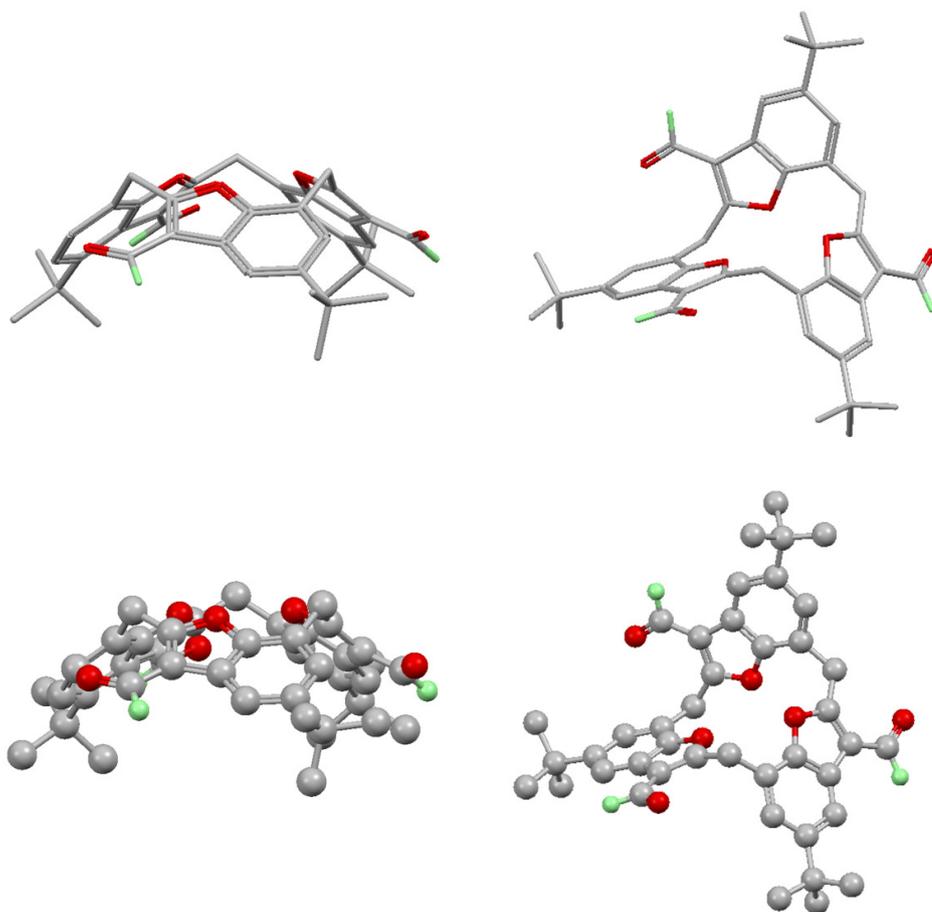


Figure S43. Geometry-optimized (in CHCl_3) structures of: *Top Left: 4c cone* (Ellipsoid); *Top Right: 4c saddle* (Ellipsoid). *Bottom Left: 4c cone* (ball-and-stick); and *Bottom Right: 4c saddle* (ball-and-stick). Colour code: carbon = dark grey and oxygen atom = red. All hydrogens except aldehyde hydrogen (light green) are omitted for clarity.

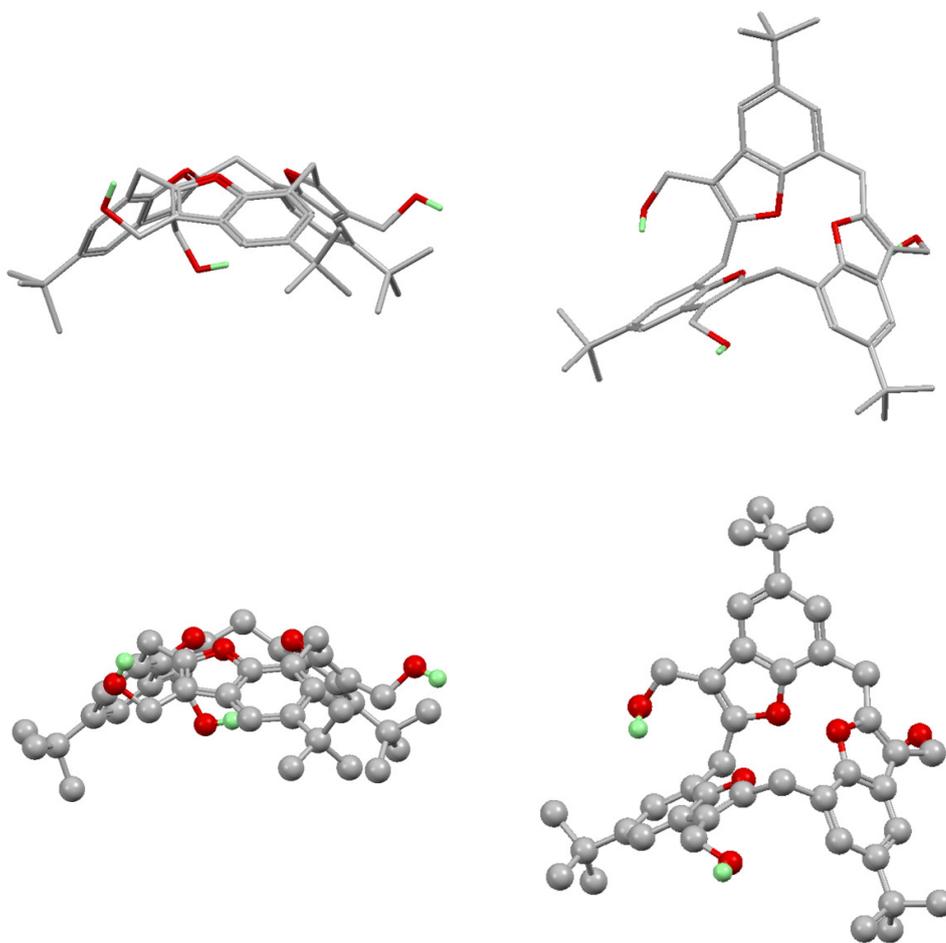


Figure S44. Geometry-optimized (in CHCl_3) structures of: *Top Left: 4d cone* (Ellipsoid); *Top Right: 4d saddle* (Ellipsoid). *Bottom Left: 4d cone* (ball-and-stick); and *Bottom Right: 5c saddle* (ball-and-stick). Colour code: carbon = dark grey and oxygen atom = red. All hydrogens except hydroxyl hydrogen (light green) are omitted for clarity.

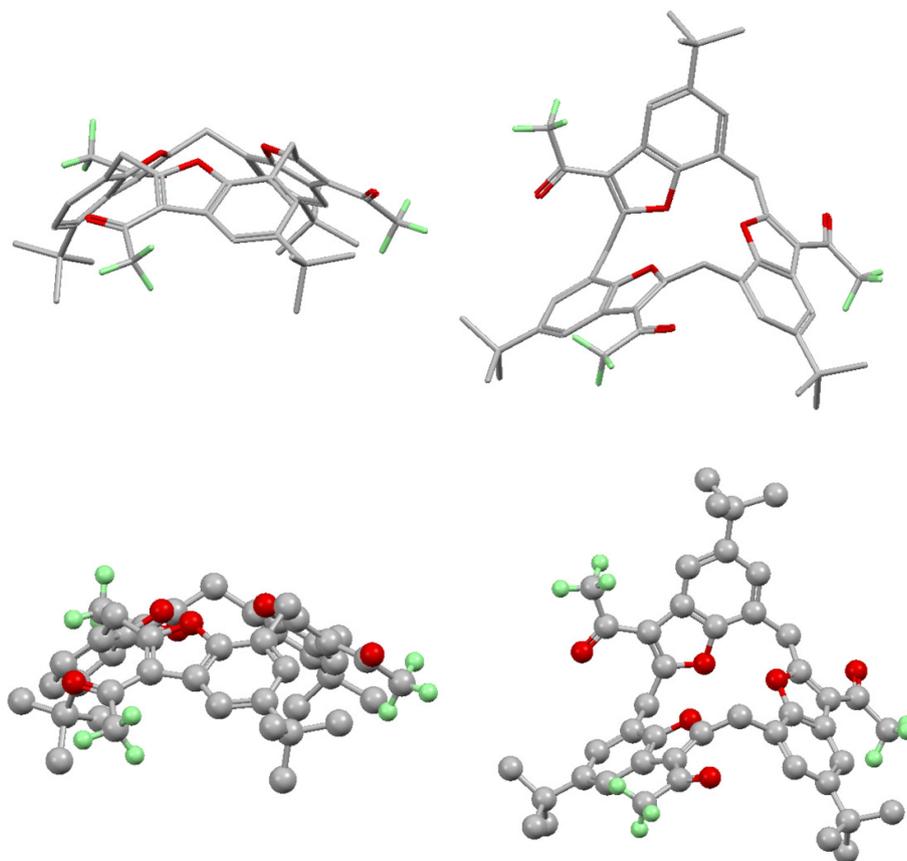


Figure S45. Geometry-optimized (in CHCl_3) structures of: *Top Left: 4e cone* (Ellipsoid); *Top Right: 4e saddle* (Ellipsoid). *Bottom Left: 4e cone* (ball-and-stick); and *Bottom Right: 4e saddle* (ball-and-stick). Colour code: carbon = dark grey and oxygen atom = red. All hydrogens except carbonyl hydrogen (light green) are omitted for clarity.

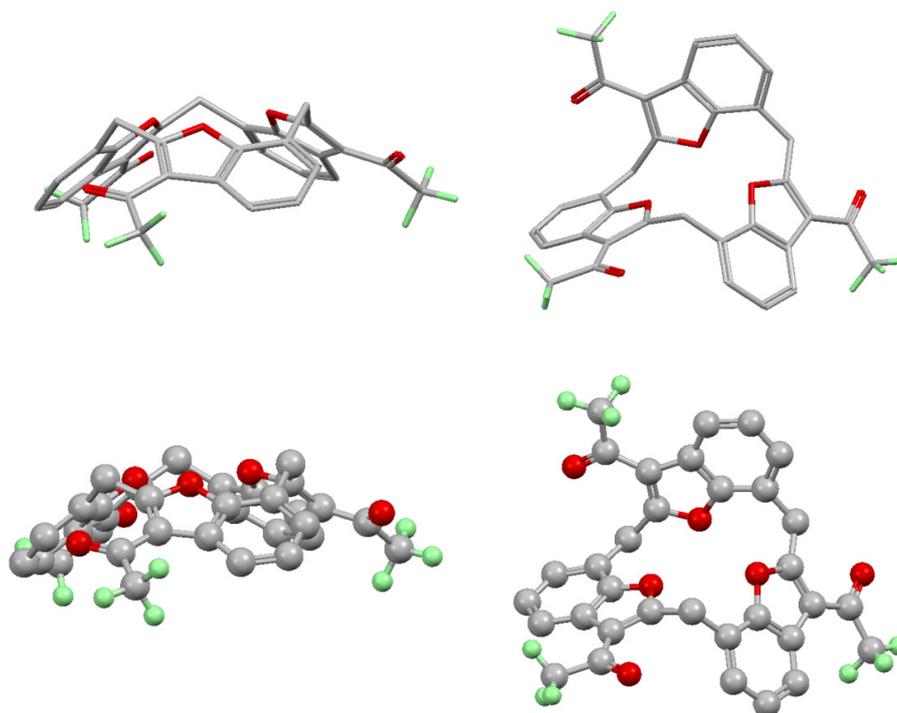


Figure S46. Geometry-optimized (in CHCl₃) structures of: *Top Left: 5b* cone (Ellipsoid); *Top Right: 5b* saddle (Ellipsoid). *Bottom Left: 5b* cone (ball-and-stick); and *Bottom Right: 5b* saddle (ball-and-stick). Colour code: carbon = dark grey and oxygen atom = red. All hydrogens except aldehyde hydrogen (light green) are omitted for clarity.

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