

Electronic Supplementary Information

New insights into the protogenic and spectroscopic properties of commercial tannic acid. The role of gallic acid impurities

G. Ghigo,^a S. Berto,^a † M. Minella,^a D. Vione,^a E. Alladio,^a V. M. Nurchi,^b J. Lachowicz,^b P. G. Daniele.^a

^a *Università di Torino, Dipartimento di Chimica, Via Pietro Giuria 7, 10125 Torino, Italy.*

^b *Università di Cagliari, Dipartimento di Scienze Chimiche e Geologiche, Cittadella Universitaria, I-09042 Cagliari, Italy.*

† Corresponding author: silvia.berto@unito.it.

Experimental and calculated UV-vis spectra

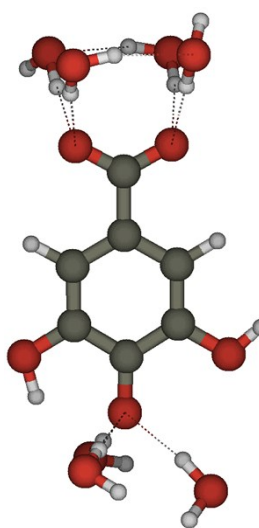
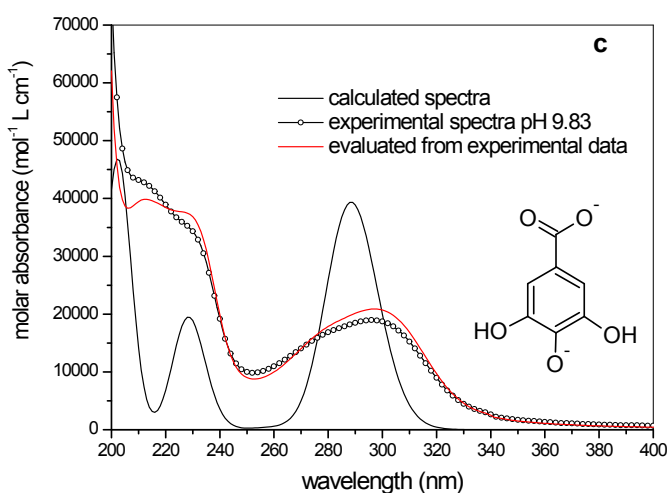
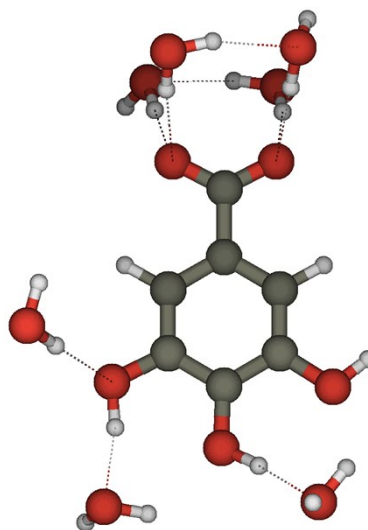
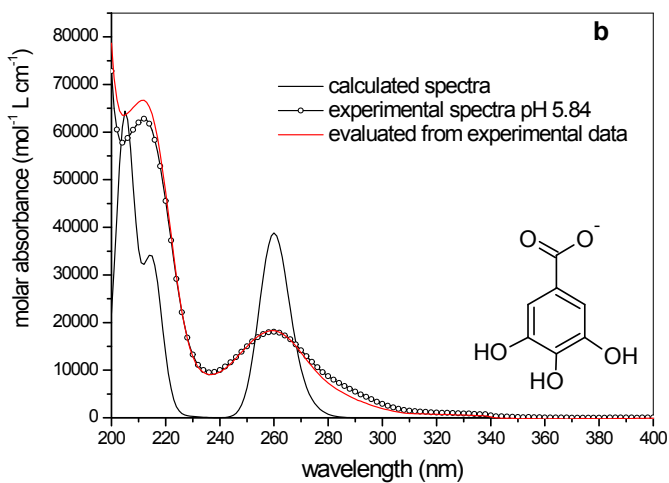
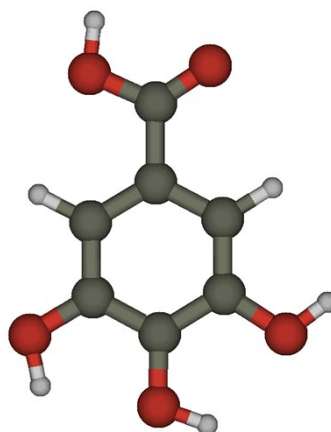
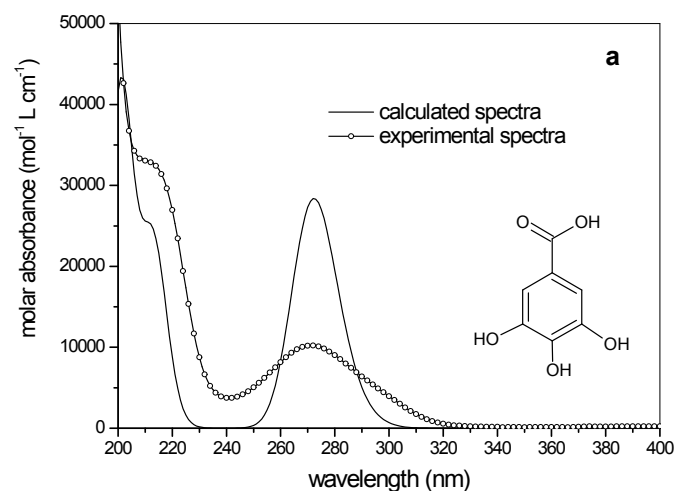


Figure 1a_ESI Left: Experimental and calculated UV-vis spectra of gallic acid at different protonation levels: a) fully protonated; b) mono-deprotonated; c) di-deprotonated. Right: structures used in the TD-DFT calculations.

Table 1a_ESI Calculated electronic transitions of gallic acid.

Excited State	1:	Singlet-A'	4.3917 eV	282.32 nm	f=0.0400
Excited State	2:	Singlet-A'	4.5628 eV	271.73 nm	f=0.3145
Excited State	3:	Singlet-A''	5.2799 eV	234.82 nm	f=0.0000
Excited State	4:	Singlet-A''	5.5750 eV	222.39 nm	f=0.0011
Excited State	5:	Singlet-A''	5.8112 eV	213.35 nm	f=0.0000
Excited State	6:	Singlet-A'	5.8228 eV	212.93 nm	f=0.2698
Excited State	7:	Singlet-A'	6.1650 eV	201.11 nm	f=0.4987

Table 1b_ESI Calculated electronic transitions of mono-deprotonated gallic acid.

Excited State	1:	Singlet-Sym	4.5981 eV	269.64 nm	f=0.0251
Excited State	2:	Singlet-Sym	4.7713 eV	259.85 nm	f=0.3299
Excited State	3:	Singlet-Sym	5.1291 eV	241.73 nm	f=0.0000
Excited State	4:	Singlet-Sym	5.4242 eV	228.58 nm	f=0.0017
Excited State	5:	Singlet-Sym	5.4787 eV	226.30 nm	f=0.0012
Excited State	6:	Singlet-Sym	5.6481 eV	219.52 nm	f=0.0009
Excited State	7:	Singlet-Sym	5.7682 eV	214.94 nm	f=0.2831
Excited State	8:	Singlet-Sym	6.0424 eV	205.19 nm	f=0.0456
Excited State	9:	Singlet-Sym	6.0472 eV	205.03 nm	f=0.5056
Excited State	10:	Singlet-Sym	6.1934 eV	200.19 nm	f=0.0004
Excited State	11:	Singlet-Sym	6.2361 eV	198.82 nm	f=0.0019
Excited State	12:	Singlet-Sym	6.3668 eV	194.74 nm	f=0.0072
Excited State	13:	Singlet-Sym	6.4024 eV	193.65 nm	f=0.1397

Table 1c_ESI Calculated electronic transitions of di-deprotonated gallic acid.

Excited State	1:	Singlet-Sym	4.2972 eV	288.52 nm	f=0.4855
Excited State	2:	Singlet-Sym	4.5737 eV	271.08 nm	f=0.0055
Excited State	3:	Singlet-Sym	4.8210 eV	257.18 nm	f=0.0037
Excited State	4:	Singlet-Sym	5.2136 eV	237.81 nm	f=0.0000
Excited State	5:	Singlet-Sym	5.3820 eV	230.37 nm	f=0.0036
Excited State	6:	Singlet-Sym	5.4272 eV	228.45 nm	f=0.2368
Excited State	7:	Singlet-Sym	5.5157 eV	224.79 nm	f=0.0000
Excited State	8:	Singlet-Sym	5.6093 eV	221.03 nm	f=0.0017
Excited State	9:	Singlet-Sym	5.7113 eV	217.09 nm	f=0.0002
Excited State	10:	Singlet-Sym	5.8048 eV	213.59 nm	f=0.0003
Excited State	11:	Singlet-Sym	6.0435 eV	205.15 nm	f=0.0020
Excited State	12:	Singlet-Sym	6.0594 eV	204.61 nm	f=0.0032
Excited State	13:	Singlet-Sym	6.0944 eV	203.44 nm	f=0.0080
Excited State	14:	Singlet-Sym	6.1240 eV	202.46 nm	f=0.5599
Excited State	15:	Singlet-Sym	6.2621 eV	197.99 nm	f=0.0009
Excited State	16:	Singlet-Sym	6.3274 eV	195.95 nm	f=0.0089
Excited State	17:	Singlet-Sym	6.3671 eV	194.73 nm	f=0.0051
Excited State	18:	Singlet-Sym	6.5132 eV	190.36 nm	f=0.1034

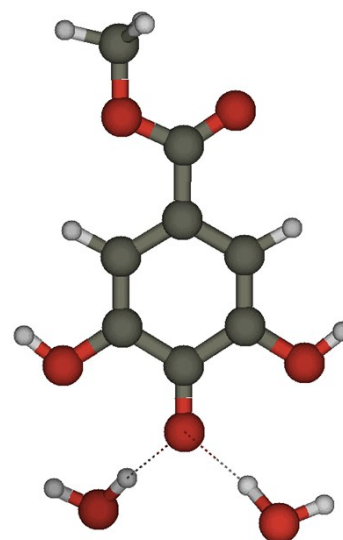
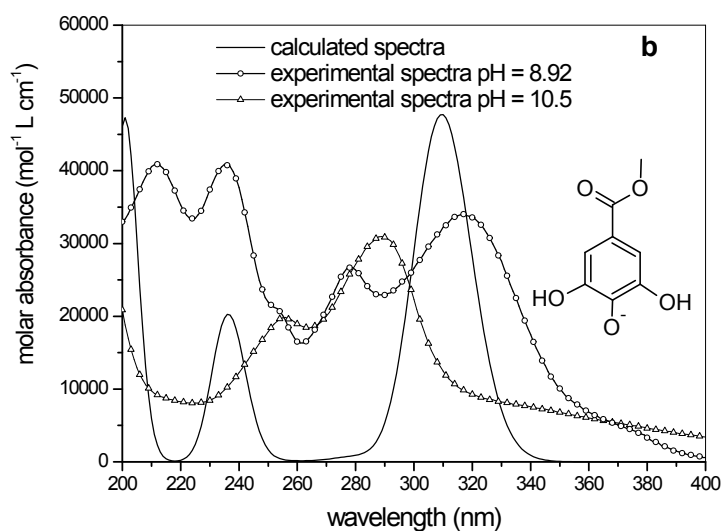
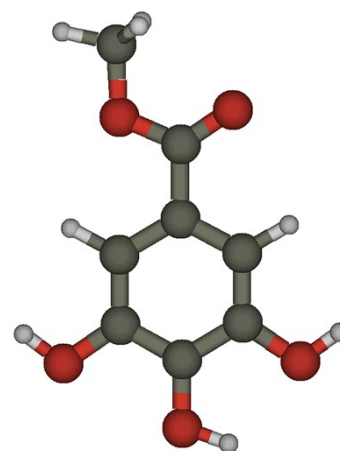
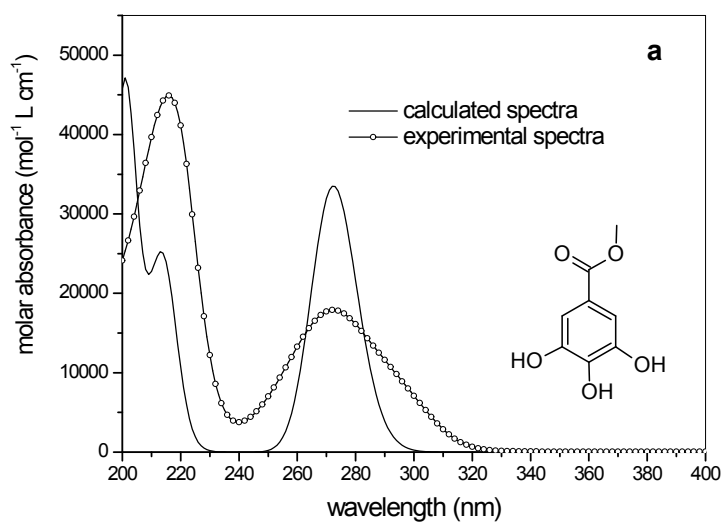


Figure 1b_ESI

Left: UV-vis spectra of methyl 3,4,5-trihydroxybenzoate at different protonation levels: a) calculated and experimental spectra of the fully protonated molecule; b) calculated and experimental spectra of the mono-deprotonated one. The experimental spectra reported in Figure 1b are those obtained immediately after the solution preparation, because at these pH values MG is not stable and tends to be transformed into oxidized sub-products¹ (see Figure 3_ESI).

Right: structures used in the TD-DFT calculations.

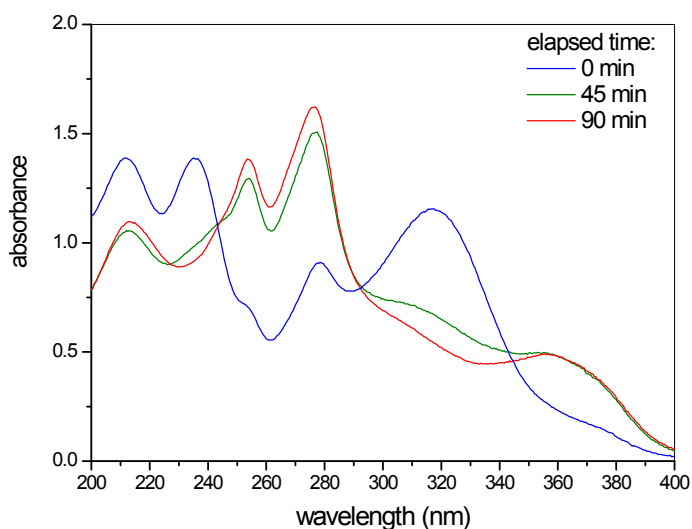


Figure 2_ESI UV-vis spectra of methyl 3,4,5-trihydroxybenzoate at pH 8.92 after 0, 45 and 90 minutes elapsed from the solution preparation.

Table 2a_ESI Calculated electronic transitions of methyl 3,4,5-trihydroxybenzoate.

Excited State	1:	Singlet-A	4.4446 eV	278.96 nm	f=0.0338
Excited State	2:	Singlet-A	4.5586 eV	271.98 nm	f=0.3496
Excited State	3:	Singlet-A	5.3401 eV	232.18 nm	f=0.0001
Excited State	4:	Singlet-A	5.5631 eV	222.87 nm	f=0.0012
Excited State	5:	Singlet-A	5.8003 eV	213.76 nm	f=0.2720
Excited State	6:	Singlet-A	5.8274 eV	212.76 nm	f=0.0000
Excited State	7:	Singlet-A	6.1709 eV	200.92 nm	f=0.5200

Table 2b_ESI Calculated electronic transitions of mono-deprotonated methyl 3,4,5-trihydroxybenzoate.

Excited State	1:	Singlet-A	4.0052 eV	309.56 nm	f=0.5307
Excited State	2:	Singlet-A	4.4301 eV	279.87 nm	f=0.0079
Excited State	3:	Singlet-A	4.8048 eV	258.04 nm	f=0.0014
Excited State	4:	Singlet-A	5.1528 eV	240.61 nm	f=0.0004
Excited State	5:	Singlet-A	5.2459 eV	236.34 nm	f=0.2251
Excited State	6:	Singlet-A	5.4092 eV	229.21 nm	f=0.0001
Excited State	7:	Singlet-A	5.4453 eV	227.69 nm	f=0.0003
Excited State	8:	Singlet-A	5.5013 eV	225.37 nm	f=0.0002
Excited State	9:	Singlet-A	5.7291 eV	216.41 nm	f=0.0000
Excited State	10:	Singlet-A	6.0097 eV	206.31 nm	f=0.0003
Excited State	11:	Singlet-A	6.1059 eV	203.06 nm	f=0.0783
Excited State	12:	Singlet-A	6.1503 eV	201.59 nm	f=0.0015
Excited State	13:	Singlet-A	6.1803 eV	200.61 nm	f=0.4565

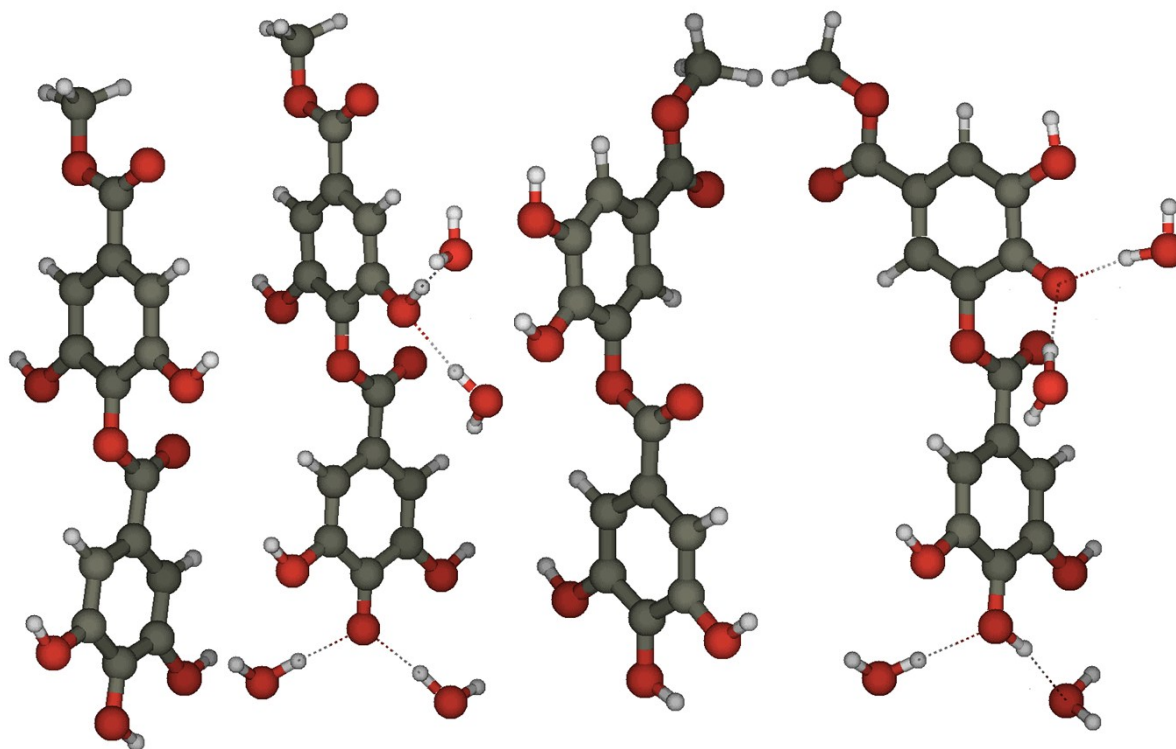


Figure 3a_ESI. Right: Structures used in the TD-DFT calculations for the neutral and mono-deprotonated models **a** (left) and **b** (right) of tannic acid.

Table 3a_ESI Calculated electronic transitions of model **a** of tannic acid.

Excited State	1:	Singlet-A	4.3180 eV	287.13 nm	f=0.0590
Excited State	2:	Singlet-A	4.3241 eV	286.73 nm	f=0.0429
Excited State	3:	Singlet-A	4.4041 eV	281.52 nm	f=0.4829
Excited State	4:	Singlet-A	4.5508 eV	272.45 nm	f=0.0232
Excited State	5:	Singlet-A	4.5530 eV	272.31 nm	f=0.0513
Excited State	6:	Singlet-A	4.7328 eV	261.97 nm	f=0.0070
Excited State	7:	Singlet-A	4.7382 eV	261.67 nm	f=0.0002
Excited State	8:	Singlet-A	4.9538 eV	250.28 nm	f=0.2386
Excited State	9:	Singlet-A	5.2209 eV	237.48 nm	f=0.0001
Excited State	10:	Singlet-A	5.3987 eV	229.66 nm	f=0.0002
Excited State	11:	Singlet-A	5.6113 eV	220.95 nm	f=0.0011
Excited State	12:	Singlet-A	5.7894 eV	214.16 nm	f=0.2477
Excited State	13:	Singlet-A	5.8605 eV	211.56 nm	f=0.0000
Excited State	14:	Singlet-A	5.9634 eV	207.91 nm	f=0.0030
Excited State	15:	Singlet-A	5.9682 eV	207.74 nm	f=0.0026
Excited State	16:	Singlet-A	6.0878 eV	203.66 nm	f=0.9636
Excited State	17:	Singlet-A	6.1428 eV	201.84 nm	f=0.0012
Excited State	18:	Singlet-A	6.1652 eV	201.10 nm	f=0.0068
Excited State	19:	Singlet-A	6.2024 eV	199.90 nm	f=0.1088
Excited State	20:	Singlet-A	6.2330 eV	198.92 nm	f=0.1841

Table 3b_ESI Calculated electronic transitions of mono-deprotonated model **a** of tannic acid.

Excited State	1:	Singlet-A	3.6138 eV	343.08 nm	f=0.0400
Excited State	2:	Singlet-A	3.9093 eV	317.16 nm	f=0.6789
Excited State	3:	Singlet-A	4.2875 eV	289.18 nm	f=0.0605
Excited State	4:	Singlet-A	4.3154 eV	287.30 nm	f=0.0114
Excited State	5:	Singlet-A	4.5067 eV	275.11 nm	f=0.0008
Excited State	6:	Singlet-A	4.7870 eV	259.00 nm	f=0.0013
Excited State	7:	Singlet-A	4.8293 eV	256.73 nm	f=0.0033
Excited State	8:	Singlet-A	4.8463 eV	255.83 nm	f=0.2181
Excited State	9:	Singlet-A	4.9567 eV	250.13 nm	f=0.0534
Excited State	10:	Singlet-A	5.0263 eV	246.67 nm	f=0.0052
Excited State	11:	Singlet-A	5.0716 eV	244.47 nm	f=0.0025
Excited State	12:	Singlet-A	5.2307 eV	237.03 nm	f=0.1798
Excited State	13:	Singlet-A	5.2412 eV	236.56 nm	f=0.0004
Excited State	14:	Singlet-A	5.2733 eV	235.12 nm	f=0.0150
Excited State	15:	Singlet-A	5.4912 eV	225.79 nm	f=0.0005
Excited State	16:	Singlet-A	5.5383 eV	223.86 nm	f=0.0004
Excited State	17:	Singlet-A	5.5661 eV	222.75 nm	f=0.0000
Excited State	18:	Singlet-A	5.7184 eV	216.82 nm	f=0.0048
Excited State	19:	Singlet-A	5.7382 eV	216.07 nm	f=0.0010
Excited State	20:	Singlet-A	5.7569 eV	215.37 nm	f=0.0044
Excited State	21:	Singlet-A	5.9096 eV	209.80 nm	f=0.0016
Excited State	22:	Singlet-A	5.9382 eV	208.79 nm	f=0.0079
Excited State	23:	Singlet-A	6.0484 eV	204.99 nm	f=0.0648
Excited State	24:	Singlet-A	6.0539 eV	204.80 nm	f=0.7609
Excited State	25:	Singlet-A	6.1155 eV	202.74 nm	f=0.0011
Excited State	26:	Singlet-A	6.1454 eV	201.75 nm	f=0.0285
Excited State	27:	Singlet-A	6.1610 eV	201.24 nm	f=0.0725
Excited State	28:	Singlet-A	6.1761 eV	200.75 nm	f=0.2398
Excited State	29:	Singlet-A	6.1983 eV	200.03 nm	f=0.1196

Table 3c_ESI Calculated electronic transitions of model **b** of tannic acid.

Excited State	1:	Singlet-A	4.3323 eV	286.19 nm	f=0.0435
Excited State	2:	Singlet-A	4.4207 eV	280.46 nm	f=0.2613
Excited State	3:	Singlet-A	4.4403 eV	279.23 nm	f=0.3152
Excited State	4:	Singlet-A	4.5465 eV	272.70 nm	f=0.0885
Excited State	5:	Singlet-A	4.6545 eV	266.37 nm	f=0.0088
Excited State	6:	Singlet-A	4.8566 eV	255.29 nm	f=0.0061
Excited State	7:	Singlet-A	4.9290 eV	251.54 nm	f=0.0957
Excited State	8:	Singlet-A	4.9521 eV	250.37 nm	f=0.0771
Excited State	9:	Singlet-A	5.3190 eV	233.10 nm	f=0.0004
Excited State	10:	Singlet-A	5.3954 eV	229.80 nm	f=0.0011
Excited State	11:	Singlet-A	5.6060 eV	221.16 nm	f=0.0010
Excited State	12:	Singlet-A	5.7031 eV	217.40 nm	f=0.0096
Excited State	13:	Singlet-A	5.7651 eV	215.06 nm	f=0.1929
Excited State	14:	Singlet-A	5.7704 eV	214.86 nm	f=0.1881
Excited State	15:	Singlet-A	5.7892 eV	214.17 nm	f=0.1950
Excited State	16:	Singlet-A	5.8564 eV	211.71 nm	f=0.0086
Excited State	17:	Singlet-A	5.9312 eV	209.04 nm	f=0.0554
Excited State	18:	Singlet-A	6.1587 eV	201.32 nm	f=0.4795
Excited State	19:	Singlet-A	6.1648 eV	201.12 nm	f=0.1035
Excited State	20:	Singlet-A	6.1730 eV	200.85 nm	f=0.1496
Excited State	21:	Singlet-A	6.3602 eV	194.94 nm	f=0.0238
Excited State	22:	Singlet-A	6.3723 eV	194.57 nm	f=0.3125

Table 3d_ESI Calculated electronic transitions of mono-deprotonated model **b** of tannic acid.

Excited State	1:	Singlet-A	3.5902 eV	345.34 nm	f=0.0090
Excited State	2:	Singlet-A	4.1407 eV	299.43 nm	f=0.5864
Excited State	3:	Singlet-A	4.3612 eV	284.29 nm	f=0.0386
Excited State	4:	Singlet-A	4.4412 eV	279.17 nm	f=0.4019
Excited State	5:	Singlet-A	4.6803 eV	264.91 nm	f=0.0115
Excited State	6:	Singlet-A	4.6980 eV	263.91 nm	f=0.0114
Excited State	7:	Singlet-A	4.9393 eV	251.02 nm	f=0.0028
Excited State	8:	Singlet-A	5.0779 eV	244.16 nm	f=0.0017
Excited State	9:	Singlet-A	5.1470 eV	240.89 nm	f=0.0003
Excited State	10:	Singlet-A	5.1859 eV	239.08 nm	f=0.0035
Excited State	11:	Singlet-A	5.2349 eV	236.84 nm	f=0.0011
Excited State	12:	Singlet-A	5.2422 eV	236.51 nm	f=0.0033
Excited State	13:	Singlet-A	5.3117 eV	233.42 nm	f=0.2692
Excited State	14:	Singlet-A	5.3520 eV	231.66 nm	f=0.0086
Excited State	15:	Singlet-A	5.4642 eV	226.90 nm	f=0.0016
Excited State	16:	Singlet-A	5.4965 eV	225.57 nm	f=0.0001
Excited State	17:	Singlet-A	5.5522 eV	223.31 nm	f=0.0019
Excited State	18:	Singlet-A	5.6260 eV	220.38 nm	f=0.0002
Excited State	19:	Singlet-A	5.7263 eV	216.52 nm	f=0.2354
Excited State	20:	Singlet-A	5.7956 eV	213.93 nm	f=0.0029
Excited State	21:	Singlet-A	5.8248 eV	212.85 nm	f=0.0016
Excited State	22:	Singlet-A	6.0045 eV	206.48 nm	f=0.0748
Excited State	23:	Singlet-A	6.0191 eV	205.99 nm	f=0.0013
Excited State	24:	Singlet-A	6.1082 eV	202.98 nm	f=0.6094
Excited State	25:	Singlet-A	6.1610 eV	201.24 nm	f=0.0042
Excited State	26:	Singlet-A	6.2106 eV	199.63 nm	f=0.0138
Excited State	27:	Singlet-A	6.2413 eV	198.65 nm	f=0.0193

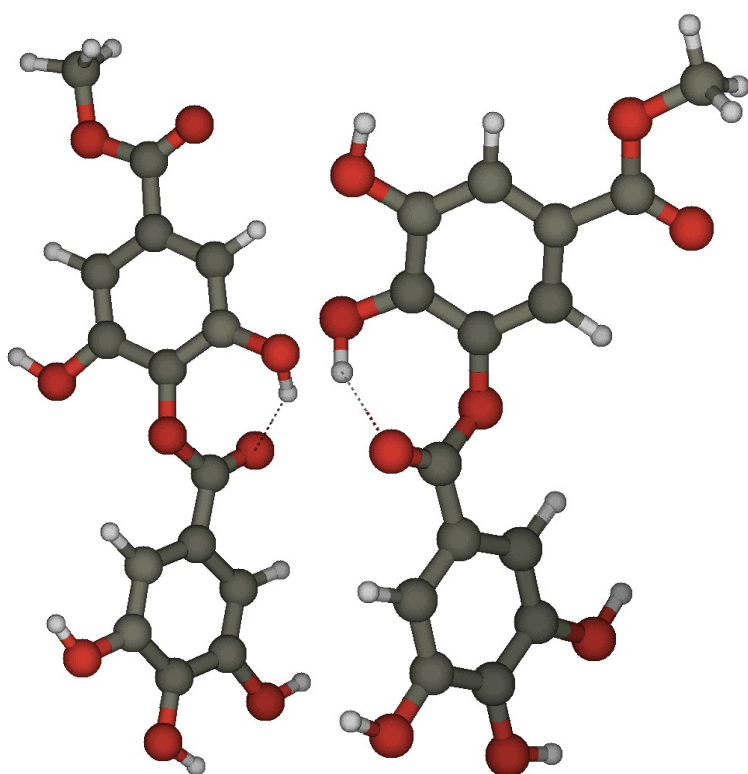


Figure 3b_ESI Intramolecular H-bonded conformers of models **a'** (left) and **b'** (right) of tannic acid.

Calculated pK_as

Table 4a_ESI Total (in au) and relative (in kcal mol⁻¹) electronic and free energies of the species involved in the proton transfer between hydrated complexes of water and gallic acid (see Figure 4a_ESI for the structures).

	E / au	ΔE / kcal mol ⁻¹	G / au	ΔG / kcal mol ⁻¹	pK _a
H₂O + 3 H ₂ O	-305.558932		-305.502095		
H₃O⁺ + 3 H ₂ O	-306.004271		-305.930303		
Neutral	-1027.970399		-1027.781279		
Mono Dissociated in COOH	-1027.515859	5.77	-1027.339029	8.81	4.7
Bis Dissociated in COOH, 4	-1027.053435	10.72	-1026.886554	15.23	9.4
Tris Dissociated in COOH, 4, 3	-1026.573586	21.66	-1026.417514	25.62	17.0

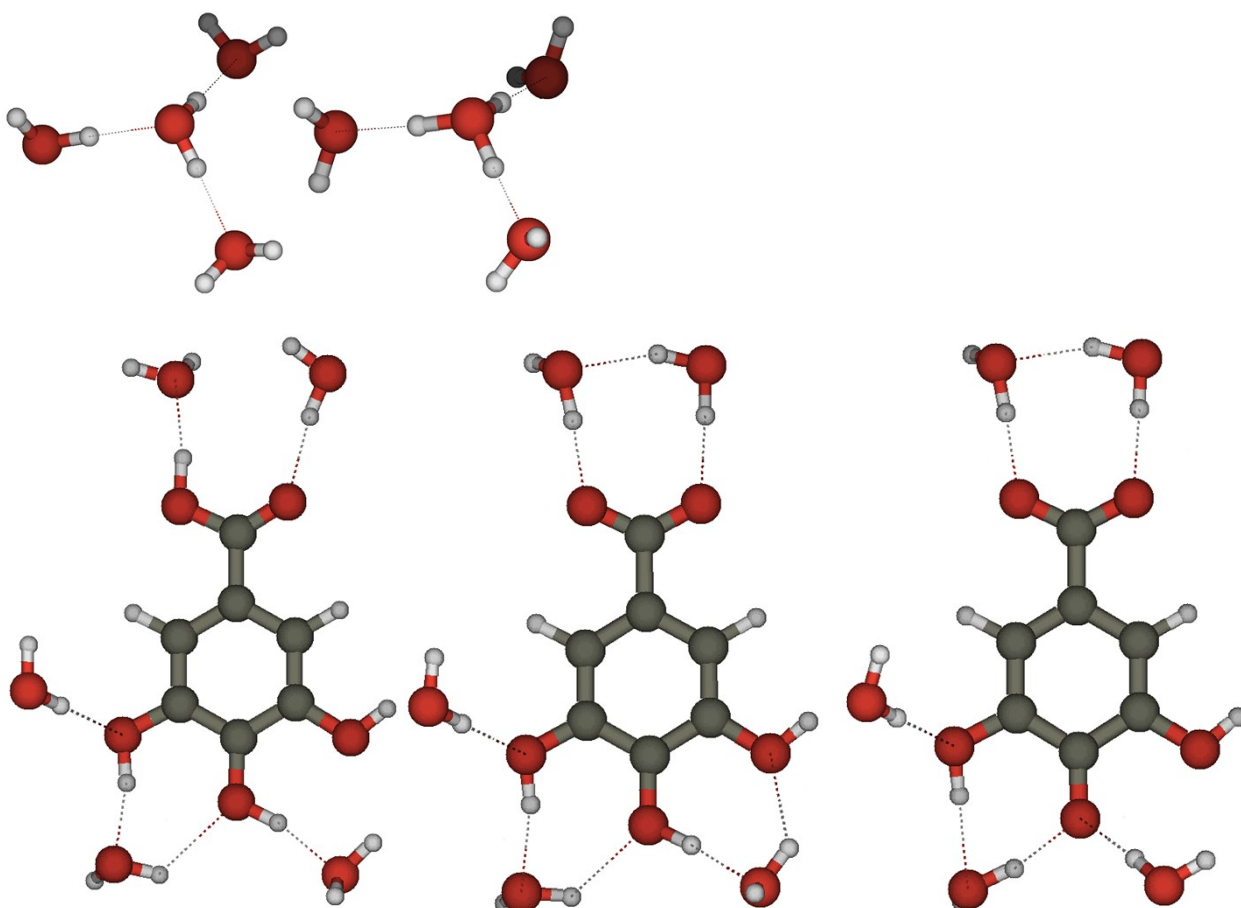


Figure 4a_ESI Structures of the species involved in the proton transfer between the hydrated complexes of water and gallic acid (see Table 4a_ESI for energies).

Table 4b_ESI Total (in au) and relative (in kcal mol⁻¹) electronic and free energies of the species involved in the proton transfer between the hydrated complexes of water and methyl 3,4,5-trihydroxybenzoate (see Figure 4b_ESI for the structures).

	E / au	ΔE / kcal mol ⁻¹	G / au	ΔG / kcal mol ⁻¹	pK_a
H₂O * 3 H₂O	-305.558932		-305.502095		
H₃O⁺ * 3 H₂O	-306.004271		-305.930303		
Neutral	-838.045143		-837.890524		
Mono Dissociated in 4	-837.584713	9.47	-837.441622	12.99	7.8

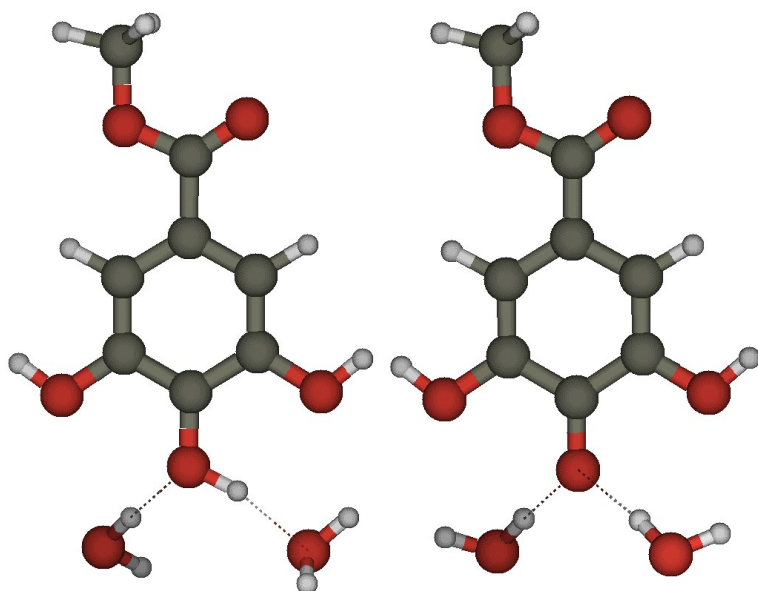


Figure 4b_ESI Structures of the species involved in the proton transfer between the hydrated complexes of water and methyl 3,4,5-trihydroxybenzoate (see Table 4b_ESI for energies).

Table 4c_ESI Total (in au) and relative (in kcal mol⁻¹) electronic and free energies of the species involved in the proton transfer between the hydrated complexes of water and model *a* of tannic acid (see Figure 4c_ESI for the structures).

	E / au	ΔE / kcal mol ⁻¹	G / au	ΔG / kcal mol ⁻¹	pK_a
$H_2O \cdot 3 H_2O$	-305.558932		-305.502095		
$H_3O^+ \cdot 3 H_2O$	-306.004271		-305.930303		
Neutral	-1560.445820		-1560.161243		
Mono Dissociated in 4'	-1559.986282	8.91	-1559.714154	11.85	6.9
Mono Dissociated in 3	-1559.984103	10.28	-1559.711668	13.41	8.1

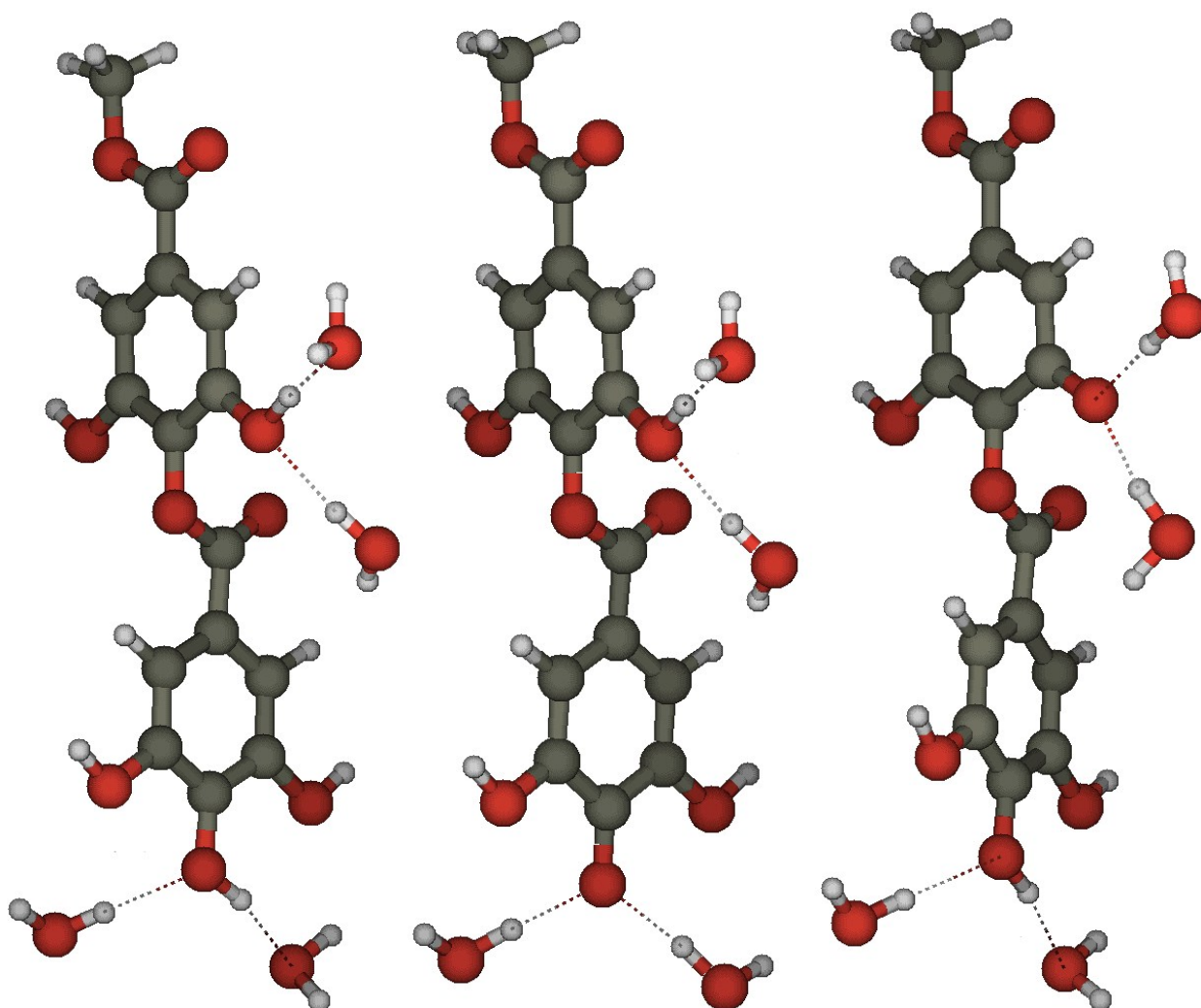


Figure 4c_ESI Structures of the species involved in the proton transfer between the hydrated complexes of water and model *a* of tannic acid (see Table 4c_ESI for energies).

Table 4d_ESI Total (in au) and relative (in kcal mol⁻¹) electronic and free energies of the species involved in the proton transfer between the hydrated complexes of water and model *b* of tannic acid (see Figure 4d_ESI for the structures).

	E / au	ΔE / kcal mol ⁻¹	G / au	ΔG / kcal mol ⁻¹	pK _a
H ₂ O + 3 H ₂ O	-305.558932		-305.502095		
H ₃ O ⁺ + 3 H ₂ O	-306.004271		-305.930303		
Neutral	-1560.445410		-1560.160338		
Mono Dissociated in 4'	-1559.985859	8.92	-1559.713322	11.80	6.9
Mono Dissociated in 4	-1559.988698	7.14	-1559.716069	10.08	5.6

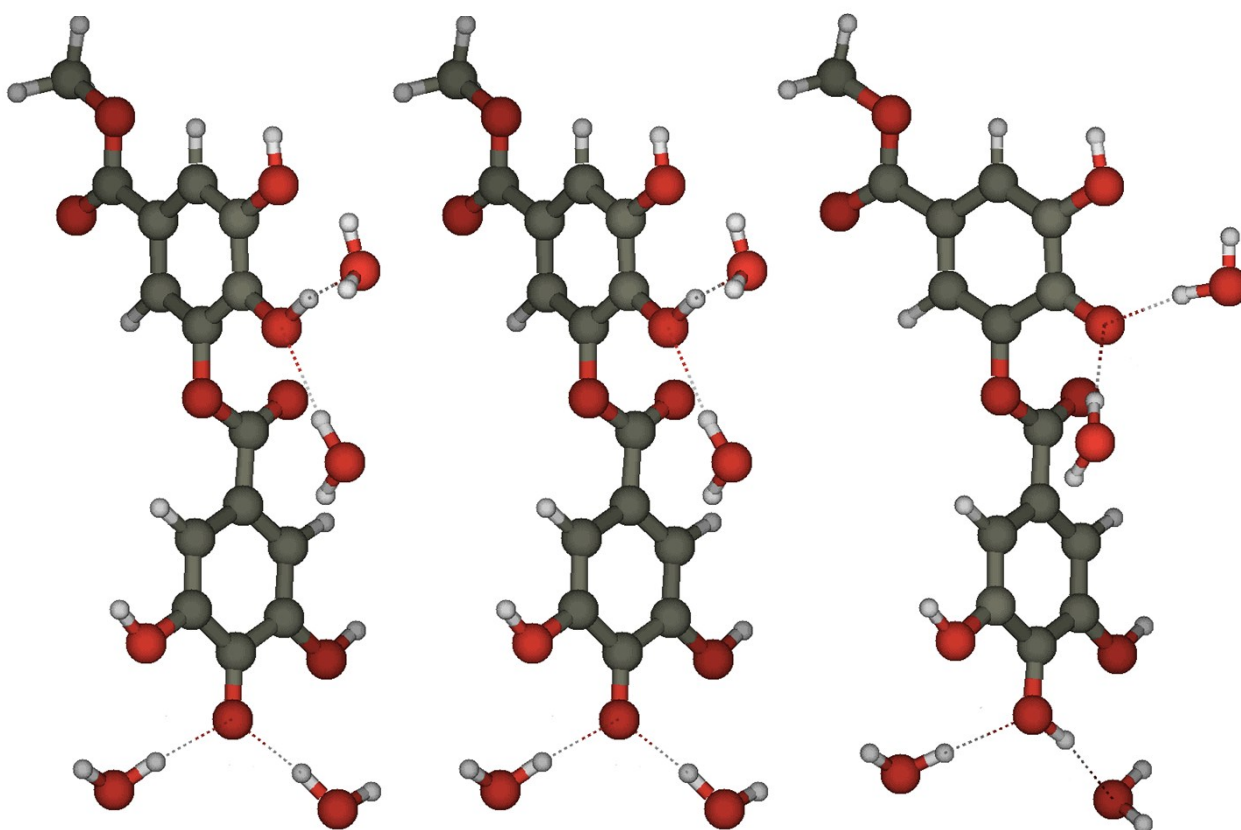


Figure 4d_ESI Structures of the species involved in the proton transfer between the hydrated complexes of water and model *b* of tannic acid (see Table 4d_ESI for energies).

Gaussian decomposition of UV-vis spectra of gallic acid and tannic acid

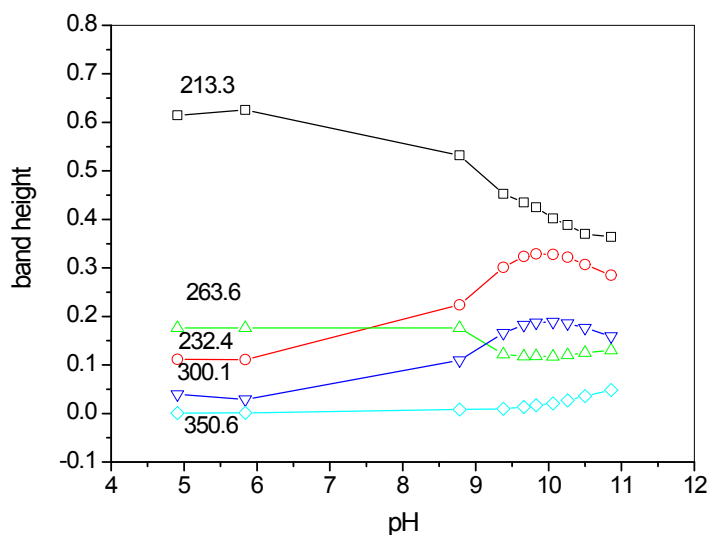


Figure 5_ESI Heights of the bands at 213.3, 232.4, 263.6 300.1 and 350.6 nm, obtained by decomposition of gallic acid spectra between pH 4.91 and 10.86 with the program SpecPeak.

λ_0	$W_{1/2}$
214.9	24.4
237.4	20.6
272.7	43.5
326.0	39.9

Table 5_ESI Position (λ_0 wavelength, nm) and half-widths ($W_{1/2}$, nm) of the principal bands used for the deconvolution, with Gaussian functions, of the UV-vis spectra of the TA solutions, recorded as a function of pH between pH 5 and 9.

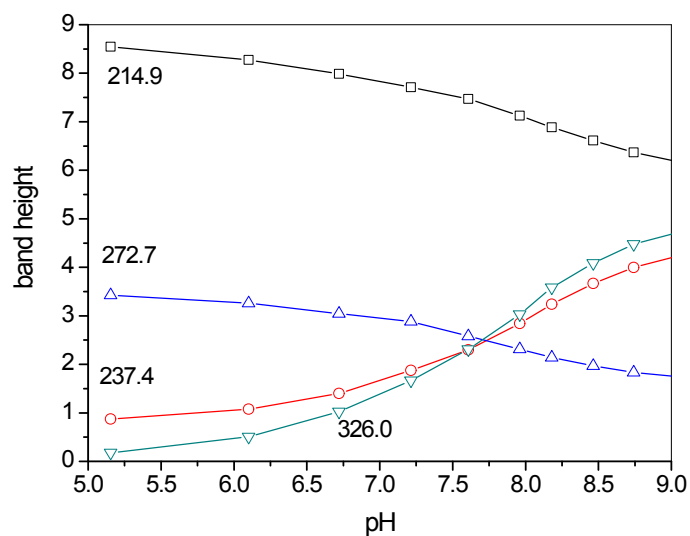


Figure 6_ ESI Heights of the bands at 214.9, 272.7, 237.4 and 326 nm, obtained by decomposition of tannic acid spectra until pH 9 with the program SpecPeak as a function of pH.

Multivariate Curve Resolution – Alternating Least Squares

The main goal of the Multivariate Curve Resolution – Alternating Least Squares (MCR-ALS) is the decomposition of an original dataset **D**, consisting of n spectra for each n -th measured object/sample, into novel matrices named **C** and **S**, plus a matrix **E** representing the residuals from the original data, as follows:

$$\mathbf{D} = \mathbf{CS}^T + \mathbf{E}$$

This is performed by means of a bilinear decomposition approach where **C** consists in a matrix of m concentration profiles related to m species (components), defined by a complete set of n pure spectra (with $m < n$) represented by **S**.² Moreover, this decomposition is made through an optimisation process that exploits the alternating least square technique, under defined constraints. The whole MCR-ALS algorithm follows different sequential steps. Firstly, Principal Component Analysis (PCA) or Single Value Decomposition (SVD) strategies are employed to define a number of m species (components) that make up the original dataset **D**.^{3,4} In particular, PCA and SVD allow extracting the highest amount of variance from the inspected dataset by defining the number of Principal Components (PC) that represent the pure compounds contained into the original multi-component mixture described by **D**. Then, initial estimates of the concentration profiles or the pure spectra are required to start the MCR-ALS process. In order to perform such estimation, methods like Purest Variable Selection method^{5,6} and Evolving Factor Analysis with a Fixed Size Moving Window (FSMW)⁸ are available.

Elaboration of UV-vis spectra by MCR-ALS

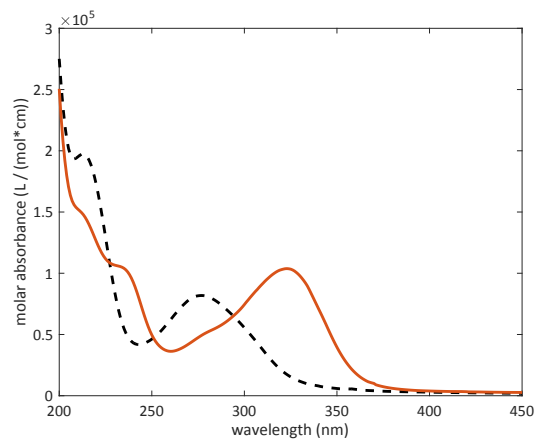


Figure 7_ ESI UV-vis spectra estimated by MCR-ALS for two differently protonated species of TA. The black dashed line represents the protonated species, while the red line stands for the deprotonated one.

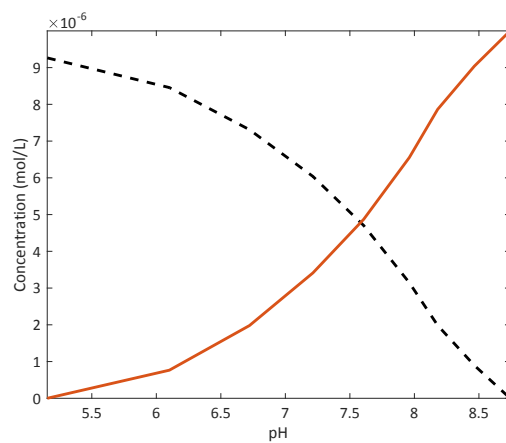


Figure 8_ ESI Concentration profiles estimated by MCR-ALS for two differently protonated species of TA. The black dashed line represents the protonated species, while the red line stands for the deprotonated one. The intersection between the two lines represents the pK_a value of TA.

Elaboration of fluorescence EEMs by the MCR-ALS technique

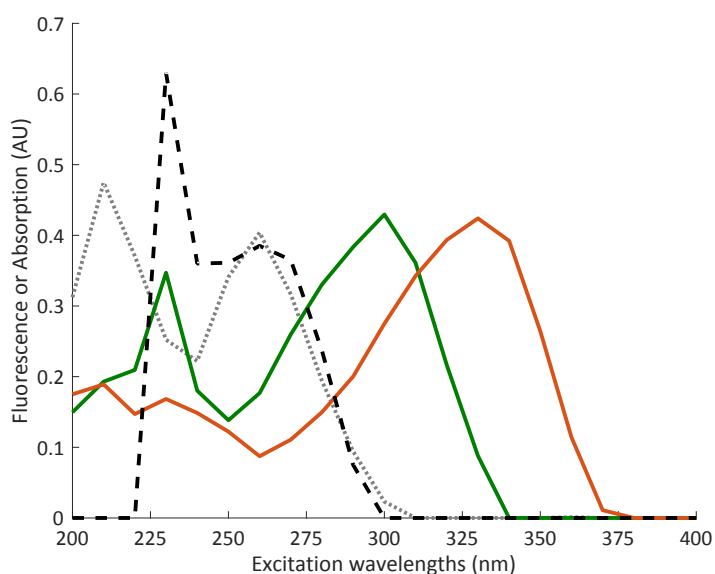


Figure 9_ ESI Excitation (Absorption) spectra estimated by MCR-ALS. The grey dotted and the black dashed lines represent the GA and TA with protonated phenolic groups, respectively, while the green and the red lines stand for GA and TA with dissociated phenolic groups, respectively.

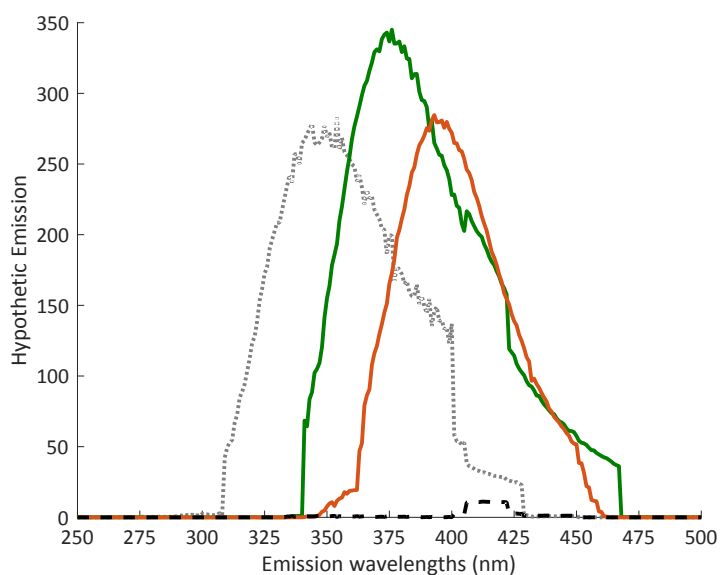


Figure 10_ ESI Emission spectra estimated by MCR-ALS. The grey dotted and the black dashed lines represent the GA and TA with protonated phenolic groups, respectively, while the green and the red lines stand for GA and TA with dissociated phenolic groups, respectively.

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