## **Electronic Supplementary Materials (ESI) for:**

## Substituent Effects on the Redox States of Locally Functionalized Single-Walled Carbon Nanotubes revealed by *in situ* Photoluminescence Spectroelectrochemistry

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**Fig. S1** (a, b, c and d) Absorption and (e, f, g and h) PL spectra of the (black) pristine and (-NO<sub>2</sub>: red, -Br: orange, -H: green and –MeO: blue) Ar-X-SWNTs, in which the SWNTs were solubilized in D<sub>2</sub>O containing 0.20 wt% SDBS.



**Fig. S2** Raman spectra of (black) pristine, (blue) Ar-MeO, (green) Ar-H, (orange) Ar-Br and (red) Ar-NO<sub>2</sub>-SWNTs dissolved in 0.20 wt% SDBS aqueous solutions. Excitation wavelength is 532 nm.



**Fig. S3** Schematic depiction for (a) the preparation of an SWNT-modified ITO electrode and (b) *in-situ* PL electrochemical measurement setup.



Fig. S4 2D-PL mapping of the film containing isolated Ar-NO<sub>2</sub> SWNTs on an ITO electrode.



**Fig. S5** Applied potential (from 0 to 1000 mV *vs.* Ag/AgCl)-dependent PL spectra of the Ar-NO<sub>2</sub>-SWNTs. The PL spectra were measured by excitation at (a) 510, (b) 570, (c) 650 and (d) 670 nm.



**Fig. S6** Applied potential (from 0 to -1000 mV vs. Ag/AgCl)-dependent PL spectra of the Ar-NO<sub>2</sub>-SWNTs. The PL spectra were measured by excitation at (a) 510, (b) 570, (c) 650 and (d) 670 nm.



**Fig. S7** Applied potential (from 0 to 1000 mV vs. Ag/AgCl)-dependent PL spectra of the Ar-Br-SWNTs. The PL spectra were measured by excitation at (a) 510, (b) 570, (c) 650 and (d) 670 nm.



**Fig. S8** Applied potential (from 0 to -1000 mV vs. Ag/AgCl)-dependent PL spectra of the Ar-Br-SWNTs. The PL spectra were measured by excitation at (a) 510, (b) 570, (c) 650 and (d) 670 nm.



**Fig. S9** Applied potential (from 0 to 1000 mV vs. Ag/AgCl)-dependent PL spectra of the Ar-H-SWNTs. The PL spectra were measured by excitation at (a) 515, (b) 570, (c) 650 and (d) 670 nm.



**Fig. S10** Applied potential (from 0 to -1000 mV vs. Ag/AgCl)-dependent PL spectra of the Ar-H-SWNTs. The PL spectra excited at (a) 515, (b) 570, (c) 650 and (d) 670 nm.



**Fig. S11** Applied potential (from 0 to 1000 mV vs. Ag/AgCl)-dependent PL spectra of the Ar-MeO-SWNTs. The PL spectra were measured by excitation at (a) 515, (b) 570, (c) 650 and (d) 670 nm.



**Fig. S12** Applied potential (from 0 to -1000 mV vs. Ag/AgCl)-dependent PL spectra of the Ar-MeO-SWNTs. The PL spectra were measured by excitation at (a) 515, (b) 570, (c) 650 and (d) 670 nm.



**Fig. S13** Deconvoluted PL spectra of the Ar-NO<sub>2</sub>-SWNTs film on an ITO electrode at 700 mV. The deconvolution was conducted (a) without and (b) with consideration of the trion PL. The black lines and dashed red lines are the original measured spectra and the fitting curves obtained from combining the decovoluted spectra, respectively. The colored areas show the  $E_{11}$  peak (blue area), the  $E_{11}$ \* peak (red area), PL from the SWNTs with the other chiralities (gray area) and the trion PL (orange area).  $\lambda_{ex} = 570$  nm. The trion peak for (6,5)SWNTs was reported to appear at 1170 nm when oxidation and reduction potentials exceeded 600 mV and -500 mV, respectively.<sup>S1</sup> In the PL results measured over those applied potentials, elimination of the trion emission provided proper fitting results in the deconvolution process. For the other SWNTs with (*n*,*m*) = (7,3), (7,5), and (8,3) chiralites, trion elimination was conducted in the same manner. In this measurement condition, other new peaks assignable to localized trion that may be generated at the defect sites are not recognized.



**Fig. S14** Normalized PL intensity plots as a function of applied potentials in the oxidation process. The colored lines represent  $E_{11}$  (black) of the non-doped SWNTs and  $E_{11}^*$  (red) of the Ar-NO<sub>2</sub>-SWNTs.



**Fig. S15** Normalized PL intensity plots as a function of applied potentials in the reduction process. The colored lines represent  $E_{11}$  (black) of the non-doped SWNTs and  $E_{11}^*$  (red) of the Ar-NO<sub>2</sub>-SWNTs.



**Fig. S16** Normalized PL intensity plots as a function of applied potentials in the oxidation process. The colored lines represent  $E_{11}$  (black) of the non-doped SWNTs and  $E_{11}^*$  (orange) of the Ar-Br-SWNTs.



**Fig. S17** Normalized PL intensity plots as a function of applied potentials in the reduction process. The colored lines represent  $E_{11}$  (black) of the non-doped SWNTs and  $E_{11}^*$  (orange) of the Ar-Br-SWNTs.



**Fig. S18** Normalized PL intensity plots as a function of applied potentials in the oxidation process. The colored lines represent  $E_{11}$  (black) of the non-doped SWNTs and  $E_{11}^*$  (green) of the Ar-H-SWNTs.



**Fig. S19** Normalized PL intensity plots as a function of applied potentials in the reduction process. The colored lines represent  $E_{11}$  (black) of the non-doped SWNTs and  $E_{11}^*$  (green) of the Ar-H-SWNTs.



**Fig. S20** Normalized PL intensity plots as a function of applied potentials in the oxidation process. The colored lines represent  $E_{11}$  (black) of the non-doped SWNTs and  $E_{11}^*$  (blue) of the Ar-MeO-SWNTs.



**Fig. S21** Normalized PL intensity plots as a function of applied potentials in the reduction process. The colored lines represent  $E_{11}$  (black) of the non-doped SWNTs and  $E_{11}^*$  (blue) of the Ar-MeO-SWNTs.

**Table S1**D/G area ratios of Ar-X-SWNTs. The values were determined from the Raman spectra shown inFigure S2.

	D/G area ratio
Pristine-SWNTs	0.0416
Ar-MeO-SWNTs	0.0854
Ar-H-SWNTs	0.0855
Ar-Br-SWNTs	0.1080
Ar-NO2-SWNTs	0.1054

**Table S2** Determined electronic properties of Ar-X-(n,m)SWNTs. These values are average of multiple measurements for each sample.

Chirarity	Ar-X	$E_{\mathrm{ox}}$	$E_{\rm red}$	Fermi level	$\Delta E_{\rm opt}$	$\Delta E_{\text{electro}}$
(n,m)	SWNT	(V vs. Ag/AgCl)	(V vs. Ag/AgCl)	(V vs. Ag/AgCl)	(meV)	(meV)
(6,5)	Pristine	0.615	-0.420	0.098	1242	1035
	NO <sub>2</sub>	0.578	-0.400	0.098	1072	978
	Br	0.584	-0.398	0.093	1082	982
	Н	0.589	-0.400	0.095	1089	989
	MeO	0.593	-0.399	0.097	1091	992
(7,3)	Pristine	0.613	-0.424	0.095	1235	1037
	NO <sub>2</sub>	0.569	-0.403	0.083	1068	972
	Br	0.573	-0.400	0.087	1076	973
	Н	0.577	-0.400	0.089	1078	977
	MeO	0.581	-0.403	0.089	1086	984
(7,5)	Pristine	0.533	-0.468	0.033	1257	1001
	NO <sub>2</sub>	0.515	-0.412	0.052	1126	927
	Br	0.516	-0.416	0.050	1132	932
	Н	0.518	-0.417	0.051	1134	935
	MeO	0.526	-0.420	0.053	1141	946
(8,3)	Pristine	0.583	-0.490	0.047	1269	1073
	NO <sub>2</sub>	0.538	-0.427	0.056	1053	965
	Br	0.547	-0.425	0.061	1063	972
	Н	0.555	-0.434	0.061	1070	989
	MeO	0.557	-0.432	0.063	1076	989

Chirarity ( <i>n</i> , <i>m</i> )	E <sub>ox</sub> (V vs. Ag/AgCl)	E <sub>red</sub> (V vs. Ag/AgCl)	Fermi level (V vs. Ag/AgCl)	$\Delta E_{ m electro}$ (meV)
(6,5)	0.588	-0.423	0.083	1011
(7,3)	0.582	-0.420	0.081	1002
(7,5)	0.524	-0.454	0.033	978
(8,3)	0.556	-0.490	0.035	1046

**Table. S3**Electronic properties of the pristine SWNTs reported in ref. 27.

Reference in ESI

S1 J. S. Park, Y. Hirana, S. Mouri, Y. Miyauchi, N. Nakashima and K. Matsuda, J. Am. Chem. Soc, 2012, 134, 14461-14466.