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Supporting Information for Asymmetric phase-transfer catalyst catalyzed [4+1] cycloaddition of ortho-quinone methides and bromomalonate

Xiao-Lei Lian, Alafate Adili, Zhong-Lin Tao, Zhi-Yong Han*

Hefei National Laboratory for Physical Sciences at the Microscale and Department of Chemistry, University of Science and Technology of China, Hefei 230026, PR China E-mail: hanzy2014@ustc.edu.cn

<u>General Information</u>: NMR spectra were recorded on a Brucker-400 MHz spectrometer. Mass spectra were recorded on a Thermo LTQ Orbitrap XL (ESI+) or a P-SIMS-Gly of Bruker Daltonics Inc (EI+). Infrared spectra were recorded on a Nicolet MX-1E FT-IR spectrometer. HPLC analysis was performed on Waters-Breeze (2487 Dual Absorbance Detector and 1525 Binary HPLC Pump, UV detection monitored at 254 nm). All chiral columns were purchased from Daicel Chemical Industries, LTD. Optical rotations were measured on Perkin Elmer Model 343 Polarimeter. Toluene, diethyl ether and tetrahydrofuran were dried over Na and distilled prior to use. Dichloromethane and dichloroethane were dried over CaH₂ and distilled prior to use.

<u>Materials</u>: Analytical grade solvents for the column chromatography were used as received. Dimethyl bromomalonate **2** was purchased from TCI. All commercially available reagents were used directly unless indicated otherwise. Vinyl *ortho*-quinone methides **1** were prepared following the known procedures^[1] and PTC **3** were synthesized according to the literatures^[2].

General Procedure for Cycloaddition reaction:

The reaction of **1** (0.1 mmol) and **2** (0.12 mmol) was carried out in 2 mL toluene at -40 °C in the presence of catalyst **3a** (10 mol%) and 1.5eq Cs_2CO_3 (40mg) for 5-6 days. After completion of reaction the mixture is objected to flash column chromatography washed with PE: EtOAc = 4:1, by evaporation of solvent the product is collected.

Gram-Scale experiment:

A gram-scale experiment was performed at the standard reaction condition. A significant drop of enantioselectivity was observed.



Characterization of the Cycloaddition Products 4:

Dimethyl (S,E)-7-styryl-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate (4a)

Colorless oil. 37.5 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.39 – 7.20 (m, 6H), 6.60 (dd, J = 23.2, 12.0 Hz, 3H), 6.06 (dd, J = 15.7, 9.0 Hz, 1H), 5.92 (d, J = 2.2 Hz, 2H), 4.93 (d, J = 9.0 Hz, 1H), 3.87 (s, 3H), 3.69 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 167.86, 166.49, 152.16, 148.31, 143.03, 136.25, 134.22, 128.65, 128.06, 126.52, 125.14, 117.94, 104.90, 101.57, 93.73, 93.31, 53.72, 53.02, 51.69. IR (KBr): γ 3437, 1745, 1630, 1552, 1474, 1265, 1089, 1036 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₂₁H₁₉O₇]⁺ requires 383.11253, found 383.11368. [a]_D²⁰ = +24.1 (c=0.64, CHCl₃).

Enantiomeric excess: 93%, determined by HPLC (Daicel Chirapak IC-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 7.690 min (minor), tR = 10.797 min (major).

Dimethyl (S,E)-7-(4-methylstyryl)-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate (4b)

Colorless oil. 39.5 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.22 (d, J = 8.1 Hz, 2H), 7.10 (d, J = 8.0 Hz, 2H), 6.66 – 6.51 (m, 3H), 6.00 (dd, J = 15.7, 9.0 Hz, 1H), 5.91 (dd, J = 3.5, 1.2 Hz, 2H), 4.91 (d, J = 9.0 Hz, 1H), 3.86 (s, 3H), 3.68 (s, 3H), 2.32 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 167.90, 166.51, 152.14, 148.26, 143.00, 137.97, 134.09, 133.48, 129.34, 126.43, 124.05, 104.93, 101.55, 93.70, 93.36, 53.69, 52.99, 51.72, 21.23. IR (KBr): γ 3439, 1746, 1631, 1547, 1475, 1265, 1149, 1036 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₂₂H₁₁O₇]⁺ requires 397.12818, found 397.12933. [a]_D²⁰ = +108.2 (c=0.72, CHCl₃). Enantiomeric excess: 94%, determined by HPLC (Daicel Chirapak IC-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 7.512 min (minor), tR = 11.549 min (major).

Dimethyl (S,E)-7-(2-methoxystyryl)-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate (4c)

Colorless oil. 40.9 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.32 (dd, *J* = 7.6, 1.4 Hz, 1H), 7.25 – 7.19 (m, 1H), 6.95 (d, *J* = 15.8 Hz, 1H), 6.87 (dd, *J* = 13.2, 7.8 Hz, 2H), 6.57 (d, *J* = 17.9 Hz, 2H), 6.06 (dd, *J* = 15.8, 9.3 Hz, 1H), 5.91 (d, *J* = 1.8 Hz, 2H), 4.93 (d, *J* = 9.3 Hz, 1H), 3.86 (s, 3H), 3.84 (s, 3H), 3.70 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 167.90, 166.56, 156.90, 152.05, 148.19, 142.98, 129.24, 129.06, 127.14, 125.72, 125.26, 120.61, 118.42, 110.84, 105.00, 101.51, 93.67, 93.36, 55.38, 53.66, 52.94, 52.35. IR (KBr): γ 3436, 1748, 1641, 1487, 1456, 1286, 1247, 1147 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₂₂H₂₁O₈]⁺ requires 413.12309, found 413.12424. [a]_D²⁰ = +126.2 (c=0.99, CHCl₃). Enantiomeric excess: 91%, determined by HPLC (Daicel Chirapak IC-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 9.733 min (minor), tR = 16.673 min (major).

Dimethyl (S,E)-7-(4-methoxystyryl)-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate (4d)

Colorless oil. 40.5 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.28 (s, 1H), 7.25 (s, 1H), 6.83 (d, J = 8.7 Hz, 2H), 6.64 – 6.49 (m, 3H), 5.98 – 5.85 (m, 3H), 4.90 (d, J = 9.0 Hz, 1H), 3.86 (s, 3H), 3.80 (s, 3H), 3.68 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 167.92, 166.54, 159.53, 152.12, 148.23, 142.99, 133.65, 129.03, 127.74, 122.83, 118.19, 114.03, 104.93, 101.54, 93.69, 93.39, 55.31, 53.68, 52.98, 51.75. IR (KBr): γ 3437, 1747, 1607, 1512, 1474, 1456, 1251, 1149, 1036 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₂₂H₂₁O₈]⁺ requires 413.12309, found 413.12418. [α]_D²⁰ = +91.3 (c=1.04, CHCl₃). Enantiomeric excess: 97%, determined by HPLC (Daicel Chirapak IC-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 11.505 min (minor), tR = 21.944 min (major).

Dimethyl(S,E)-7-(4-bromostyryl)-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate (4e)

Colorless oil. 45.6 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.42 (d, *J* = 8.5 Hz, 2H), 7.19 (d, *J* = 8.5 Hz, 2H), 6.56 (dd, *J* = 10.1, 5.6 Hz, 3H), 6.07 (dd, *J* = 15.8, 9.0 Hz, 1H), 5.92 (dd, *J* = 3.6, 1.2 Hz, 2H), 4.92 (d, *J* = 8.9 Hz, 1H), 3.87 (s, 3H), 3.68 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 167.79, 166.44, 152.20, 148.40, 143.08, 135.16, 132.98, 131.80, 128.00, 126.04, 122.88, 117.60, 104.83, 101.61, 93.78,

93.23, 53.76, 53.03, 51.58. IR (KBr): γ 3436, 1746, 1631, 1627, 1474, 1455, 1285, 1150, 1036 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₂₁H₁₈O₇Br]⁺ requires 461.02304, found 461.02448. [a]_D²⁰ = +75.9 (c=0.90, CHCl₃). Enantiomeric excess: 94%, determined by HPLC (Daicel Chirapak IC-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 8.211 min (minor), tR = 13.437 min (major).

Dimethyl (S,E)-7-(4-chlorostyryl)-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate (4f)

Colorless oil. 41.3 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.30 – 7.23 (m, 5H), 6.57 (dd, J = 10.2, 5.4 Hz, 3H), 6.05 (dd, J = 15.7, 9.0 Hz, 1H), 5.92 (d, J = 2.4 Hz, 2H), 4.92 (d, J = 8.9 Hz, 1H), 3.87 (s, 3H), 3.68 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 167.80, 166.45, 152.19, 148.39, 143.07, 134.72, 133.75, 132.93, 128.85, 127.69, 125.90, 117.65, 104.84, 101.60, 93.78, 93.26, 53.75, 53.03, 51.58. IR (KBr): γ 3433, 1747, 1630, 1474, 1456, 1287, 1150, 1094, 1036 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₂₁H₁₈O₇Cl]⁺ requires 417.07356, found 417.07471. [a]_D²⁰ = +33.3 (c=0.91, CHCl₃). Enantiomeric excess: 97%, determined by HPLC (Daicel Chirapak IC-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 7.846 min (minor), tR = 12.724 min (major).

Dimethyl (S,E)-7-(4-fluorostyryl)-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate (4g)

Colorless oil. 39.7 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.34 – 7.27 (m, 2H), 6.99 (dd, *J* = 12.0, 5.3 Hz, 2H), 6.58 (dd, *J* = 11.2, 6.9 Hz, 3H), 5.98 (dd, *J* = 15.7, 9.0 Hz, 1H), 5.92 (dd, *J* = 3.5, 1.1 Hz, 2H), 4.91 (d, *J* = 9.0 Hz, 1H), 3.87 (s, 3H), 3.69 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 167.83, 166.48, 163.78, 161.32, 152.16, 148.35, 143.05, 132.42 (d, *J* = 3.3 Hz), 128.06 (d, *J* = 8.1 Hz), 124.93 (d, *J* = 2.3 Hz), 117.81, 115.61 (d, *J* = 21.7 Hz), 104.85, 101.59, 93.76, 93.29, 53.73, 53.01, 51.61. IR (KBr): γ 3434, 1747, 1631, 1508, 1474, 1226, 1149, 1036 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₂₁H₁₈O₇F]⁺ requires 401.10311, found 401.10408. [a]_D²⁰ = +76.3 (c=0.88, CHCl₃). Enantiomeric excess: 95%, determined by HPLC (Daicel Chirapak IC-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 7.609 min (minor), tR = 12.803 min (major).

Dimethyl (S,E)-7-(2-(naphthalen-1-yl)vinyl)-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate

(4h)

Colorless oil. 42.9 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 8.06 (d, *J* = 8.1 Hz, 1H), 7.84 (d, *J* = 7.6 Hz, 1H), 7.78 (d, *J* = 8.1 Hz, 1H), 7.60 – 7.46 (m, 3H), 7.40 (dd, *J* = 15.4, 7.7 Hz, 2H), 6.67 (s, 1H), 6.59 (s, 1H), 6.11 (dd, *J* = 15.5, 8.9 Hz, 1H), 5.93 (d, *J* = 1.2 Hz, 2H), 5.07 (d, *J* = 8.9 Hz, 1H), 3.89 (s, 3H), 3.68 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 167.91, 166.59, 152.27, 148.37, 143.11, 134.09, 133.55, 131.84, 131.08, 128.57, 128.48, 128.40, 126.27, 125.93, 125.59, 124.10, 123.70, 117.99, 104.88, 101.61, 93.82, 93.42, 53.76, 53.10, 51.93. IR (KBr): γ 3435, 1746, 1632, 1474, 1455, 1286, 1149, 1036 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₂₅H₂₁O₇]⁺ requires 433.12818, found 433.12924. [a]_D²⁰ = +73.3 (c=0.94, CHCl₃). Enantiomeric excess: 92%, determined by HPLC (Daicel Chirapak IC-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 8.437 min (minor), tR = 13.695 min (major).

Dimethyl (S,E)-7-(2-(thiophen-2-yl)vinyl)-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate(4i)

Colorless oil. 38.5 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.18 – 7.11 (m, 1H), 6.94 (d, *J* = 3.3 Hz, 2H), 6.73 (d, *J* = 15.6 Hz, 1H), 6.58 (s, 1H), 6.55 (s, 1H), 5.97 – 5.83 (m, 3H), 4.90 (d, *J* = 8.8 Hz, 1H), 3.86 (s, 3H), 3.72 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 167.78, 166.46, 152.23, 148.37, 143.04, 141.25, 127.46, 127.40, 126.53, 124.80, 124.55, 117.59, 104.89, 101.59, 93.72, 93.26, 53.73, 53.07, 51.52. IR (KBr): γ 3435, 1746, 1632, 1474, 1455, 1286, 1150, 1035 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₁₉H₁₇O₇S]⁺ requires 389.06895, found 389.07001. [a]_D²⁰ = +81.2 (c=0.68, CHCl₃). Enantiomeric excess: 93%, determined by HPLC (Daicel Chirapak OD-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 10.710 min (minor), tR = 11.783 min (major).

Dimethyl (S)-7-(4-methoxyphenyl)-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate (4j)

Colorless oil. 38.3 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.07 (d, *J* = 8.6 Hz, 2H), 6.80 (d, *J* = 8.8 Hz, 2H), 6.60 (s, 1H), 6.45 (s, 1H), 5.92 (dd, *J* = 7.1, 1.1 Hz, 2H), 5.39 (s, 1H), 3.86 (s, 3H), 3.77 (s, 3H), 3.27 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 168.09, 166.24, 159.23, 152.50, 148.22, 143.13, 130.39, 129.80, 119.12, 113.63, 104.93, 101.55, 94.31, 93.50, 55.24, 53.71, 53.56, 52.50. IR (KBr): γ 3435, 1746, 1612, 1512, 1249, 1177, 1147, 1036 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₂₀H₁₉O₈]⁺ requires 387.10744, found 387.10837. [a]_D²⁰ = +58.7 (c=0.72, CHCl₃). Enantiomeric excess: 73%, determined by HPLC (Daicel Chirapak IC-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 9.877 min (minor), tR = 14.521 min (major).

Dimethyl (S,E)-7-(2-methylprop-1-en-1-yl)-[1,3]dioxolo[4,5-f]benzofuran-6,6(7H)-dicarboxylate

(4k)

Colorless oil. 33.1 mg, 98% yield. ¹H NMR (400 MHz, CDCl₃) δ 6.50 (d, *J* = 4.9 Hz, 2H), 5.90 (s, 2H), 5.05 (d, *J* = 10.2 Hz, 1H), 4.96 (d, *J* = 10.2 Hz, 1H), 3.84 (s, 3H), 3.74 (s, 3H), 1.83 (s, 3H), 1.73 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 168.12, 166.79, 151.75, 147.84, 142.88, 137.69, 120.91, 119.64, 104.51, 101.43, 93.53, 93.41, 53.57, 52.63, 47.41, 25.89, 18.24. IR (KBr): γ 3435, 1747, 1632, 1474, 1284, 1182, 1150, 1036 cm⁻¹. HRMS (ESI): m/z [M + H]⁺ calcd for [C₁₇H₁₉O₇]⁺ requires 335.11253, found 335.11365. [a]_D²⁰ = +61.5 (c=0.75, CHCl₃). Enantiomeric excess: 44%, determined by HPLC (Daicel Chirapak IC-H, hexane/ isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): tR = 7.029 min (minor), tR = 10.489 min (major).





A solution of lithium aluminium tetrahydride in ether (2 mL, 0.4 mmol) was added dropwise to a solution of **4b** (23mg, 0.06 mmol) in ether (1 mL) at 0 °C under nitrogen. The reaction was allowed to warm to ambient temperature and was stirred for 16 h. The reaction mixture was quenched with water

(5 mL), and extracted with DCM (3 x 5 mL). The organic phases were combined and evaporated to dryness. The residue was purified by flash silica gel chromatography to afford **5b** as a colourless oil(95%, 19mg).¹H NMR (400 MHz, CDCl₃) δ 7.27 (d, *J* = 8.1 Hz, 2H), 7.13 (d, *J* = 7.7 Hz, 2H), 6.57 (d, *J* = 14.0 Hz, 2H), 6.41 (s, 1H), 6.21 (dd, *J* = 15.7, 9.3 Hz, 1H), 5.90 (d, *J* = 3.2 Hz, 2H), 4.12 (d, *J* = 9.2 Hz, 1H), 3.90 (t, *J* = 11.4 Hz, 2H), 3.79 (s, 2H), 2.34 (s, 3H), 2.23 (s, 1H), 2.06 (s, 1H). ¹³C NMR (101 MHz, CDCl₃) δ 152.48, 147.88, 142.07, 133.78, 133.62, 129.38, 126.38, 124.74, 120.55, 105.41, 101.32, 93.34, 93.11, 64.95, 63.10, 50.09, 21.24. HRMS (ESI): m/z [M + H]⁺ calcd for [C₂₀H₂₁O₅]⁺ requires 341.1384, found 341.1387.

X-ray Single Crystal Data for 5b



Empirical formula	C ₂₀ H ₂₀ O ₅ 340.36		
Formula weight			
Space group	P212121		
Z	8		
a/Å	5.6783(2)		
b/Å	18.1808(7)		
c/Å	34.1983(14)		
α/°	90		
β/°	90		
γ/°	90		
Volume/Å ³	3530.5(2)		
Temperature/K	300 K		
ρ , g/cm ³	1.281		

References

[1] Alafate Adili, Zhong-Lin Tao, Dian-Feng Chen and Zhi-Yong Han*, Org. Bio mol. Chem., 2015, 13, 2247–2250.

[2] Zhong-Lin Tao, Arafate Adili, Xiang Wu, and Prof. Liu-Zhu Gong*, *Chin. J. Chem.*, **2014**, *32*, 969 – 973.

¹H- and ¹³C-NMR Spectra

(4a)



(4b)



(4c)

















(4g)





(4h)



(4i)





(4j)



(4k)



(5b)











	RT (min)	Area (V*sec)	% Area	Height (V)	% Height
1	7.512	550419	2.86	41839	4.13
2	11.549	18683095	97.14	97 <mark>1</mark> 584	95.87



























(4i)

1 10.309

2 11.408

3058558

3151142

49.25

50.75

114696

111494

50.71

49.29



