

The excel sheet providing the necessary inputs for the thermodynamic speciation codes (only the colored numeral matrices are required and the row and column names are for guidance purpose only):

1	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y		
2				aEQBRM parameters (mPAeq)																							
3																											
4				Pointers (NS;NC;protonIndex;NSolid;NGas)												H + OH +H2O	H3SiO4 + H + H4SiO4	H2SiO4 + H + H3SiO4	Na + H3SiO4 + NaHSiO3 + H2O	NaNO3 + Na + NO3	CaOH + Ca + OH	CaNO3 + Ca + NO3	Ca + H3SiO4 + CaHSiO3 + H2O	CaH2SiO4 + H + Ca + H3SiO4			
5					OH.A.B	TJ.α	TJ.bi	zi	O	Ca	Si	Na	N	log10(K)	LMA1	LMA2	LMA3	LMA4	LMA5	LMA6	LMA7	LMA8	LMA9				
6		1	H2O	16	0.5114	0.00	0.00	0	1	0	0	0	0	13.9934	0	0	0	0	0	0	0	0		H2O			
7		2	Ca+2	5	0.3288	4.86	0.15	2	0	1	0	0	0	9.8421	0	0	0	0	0	1	1	0	1	Ca+2			
8		3	H3SiO4-1	7	0	0.0000	4.50	0.06	-1	4	0	1	0	13.1029	0	-1	1	0	0	0	0	-1	1	H3SiO4-1			
9		4	Na+1	0	0.0000	4.32	0.06	1	0	0	0	1	0	2.0834	0	0	0	-1	1	0	0	0	0	Na+1			
10		5	NO3-1	0	0.0000	3.58	0.00	-1	3	0	0	0	1	0.9105	0	0	0	0	1	0	1	0	0	NO3-1			
11		6	OH-1	0	0.0000	10.65	0.21	-1	1	0	0	0	0	-1.2314	-1	0	0	0	0	1	0	0	0	OH-1			
12		7	H+1	0	0.0000	4.78	0.24	1	0	0	0	0	0	-0.4191	-1	-1	-1	0	0	0	0	0	-1	H+1			
13		8	NaN03	0	0.0000	0.00	0.08	0	3	0	0	1	1	1.2593	0	0	0	0	-1	0	0	0	0	NaN03			
14		9	CaNO3+1	0	0.0000	3.93	0.06	1	3	1	0	0	1	8.3540	0	0	0	0	0	0	-1	0	0	CaNO3+1			
15		10	H4SiO4	0	0.0000	0.00	0.05	0	4	0	1	0	0	0.0000	0	0	0	0	0	0	0	0	0	H4SiO4			
16		11	H2SiO4-2	0	0.0000	3.74	0.00	-2	4	0	1	0	0	0.0000	0	0	0	0	-1	0	0	0	0	H2SiO4-2			
17		12	CaOH+1	0	0.0000	3.95	0.06	1	1	1	0	0	0	0.0000	0	0	0	0	0	-1	0	0	0	CaOH+1			
18		13	CaHSiO3-1	0	0.0000	3.22	0.01	1	3	1	1	0	0	0.0000	0	0	0	0	0	0	0	1	0	CaHSiO3-1			
19		14	CaH2SiO4	0	0.0000	0.00	0.05	0	4	1	1	0	0	0.0000	0	0	0	0	0	0	0	0	-1	CaH2SiO4			
20		15	NaHSiO3	0	0.0000	0.00	0.05	0	3	0	1	1	0	0.0000	0	0	0	1	0	0	0	0	0	NaHSiO3			
21																											
22				EQBRM parameters (mPAeq)																							
23																											
24																											
25				Pointers (NS;NC;protonIndex;NSolid;NGas)												H + OH +H2O	H3SiO4 + H + H4SiO4	H2SiO4 + H + H3SiO4	Na + H3SiO4 + NaHSiO3 + H2O	NaNO3 + Na + NO3	CaOH + Ca + OH	CaNO3 + Ca + NO3	Ca + H3SiO4 + CaHSiO3 + H2O	CaH2SiO4 + H + Ca + H3SiO4	CSH = CaOH + 0.5OH + 0.5H3SiO4		
26					OH.A.B	TJ.α	TJ.bi	zi	O	Ca	Si	Na	N	log10(K)	LMA1	LMA2	LMA3	LMA4	LMA5	LMA6	LMA7	LMA8	LMA9				
27		1	H2O	16	0.5114	0	0	0	1	0	0	0	0	13.9934	0	0	0	0	0	0	0	0	0	0	H2O		
28		2	Ca+2	5	0.3288	4.86	0.15	2	0	1	0	0	0	9.84214	0	0	0	0	0	1	1	-1	1	0	Ca+2		
29		3	H3SiO4-1	7	0	4.5	0.06	-1	4	0	1	0	0	13.10291	0	-1	1	0	0	0	0	-1	1	0.5	H3SiO4-1		
30		4	Na+1	1	0	4.32	0.06	1	0	0	0	1	0	2.0834	0	0	0	-1	1	0	0	0	0	0	Na+1		
31		5	NO3-1	0	0	3.58	0	-1	3	0	0	0	1	0.91046	0	0	0	0	0	1	0	0	0	0	NO3-1		
32		6	OH-1	0	0	10.65	0.21	-1	1	0	0	0	0	-1.2314	-1	0	0	0	0	0	1	0	0	0	OH-1		
33		7	H+1	0	0	4.78	0.24	1	0	0	0	0	0	-0.41911	-1	-1	-1	0	0	0	0	0	-1	0	H+1		
34		8	NaN03	0	0	0	0.08	0	3	0	0	1	1	1.25827	0	0	0	0	0	-1	0	0	0	0	NaN03		
35		9	CaNO3+1	0	0	3.93	0.06	1	3	1	0	0	1	8.35399	0	0	0	0	0	0	-1	0	0	0	CaNO3+1		
36		10	H4SiO4	0	0	0	0.05	0	4	0	1	0	0	-7.21959	0	1	0	0	0	0	0	0	0	0	H4SiO4		
37		11	H2SiO4-2	0	0	3.74	0	-2	4	0	1	0	0	0	0	0	-1	0	0	0	0	0	0	0	H2SiO4-2		
38		12	CaOH+1	0	0	3.95	0.06	1	1	1	0	0	0	0	0	0	0	0	0	-1	0	0	0	1	CaOH+1		
39		13	CaHSiO3-1	0	0	3.22	0.01	1	3	1	1	0	0	0	0	0	0	0	0	0	0	1	0	0	CaHSiO3-1		
40		14	CaH2SiO4	0	0	0	0.05	0	4	1	1	0	0	0	0	0	0	0	0	0	0	-1	0	0	CaH2SiO4		
41		15	NaHSiO3	0	0	0	0.05	0	3	0	1	1	0	0	0	0	0	1	0	0	0	0	0	0	NaHSiO3		
42		16	C-S-H	0	0	0	0	0	3.5	1	0.5	0	0	0	0	0	0	0	0	0	0	0	0	0	C-S-H		

```

function [niEQ,miEQ,actCoeffiEQ,pH_EQ,IS_EQ,exitflag] = aqEQBRM(inPar,Be,ni0)
%
% This function computes the equilibrium speciation in an aqueous solution. The current
code is an improvement over the original algorithm described in the following
references:
%
% Anderson, G. M. (2005). Thermodynamics of natural systems. Cambridge University
Press.
% Anderson, G. M., & Crerar, D. A. (1993). Thermodynamics in geochemistry: the
equilibrium model. Oxford University Press.
% Crerar, D. A. (1975). A method for computing multicomponent chemical equilibria
based on equilibrium constants. Geochimica et Cosmochimica Acta, 39(10), 1375-1384.
%
% Usage:
% [niEQ,miEQ,actCoeffiEQ,pH_EQ,IS_EQ,exitflag] = aqEQBRM(inPar,Be)
% [niEQ,miEQ,actCoeffiEQ,pH_EQ,IS_EQ,exitflag] = aqEQBRM(inPar,Be,ni0)
%
% Input:
% inPar = input parameters (activity coefficient parameters, laws of mass actions, etc)
as prepared in the accompanying excel sheet 'aqEqbrmPar.xlsx'
% Be = total molar abundances of different elements present in the aqueous solution
% ni0 = initial guess for the molar abundances of aqueous species (will be calculated by
the function 'initGuess' if not provided)
%
% Output (replace by '~' if any of the outputs is not needed):
% niEQ = equilibrium molar abundances of aqueous species
% miEQ = equilibrium molalities of aqueous species
% actCoeffiEQ = equilibrium molal activity coefficients of aqueous species
% pH_EQ = equilibrium pH of the solution
% IS_EQ = equilibrium ionic strength of the solution
% exitflag = the exit condition of fsolve function used to solve the system of nonlinear
equations (refer to MATLAB help on 'fsolve')
%
% This function is part of the MATLAB workflow on "population balance modeling of
calcium-silicate-hydrate precipitation" developed by M. Reza Andalibi at Paul Scherrer
Institute/EPFL (2017).
% Please cite our article:
% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Synthetic Calcium-
Silicate-Hydrate Precipitation: A Population Balance Modeling Approach", 2017.

%% Input data
% Decompose the matrix of input parameters
nSpec = inPar(1,1); % Number of species
nComp = inPar(2,1); % Number of elements (NC+2 primary species should be in the
first rows of inPar)
protonIndex = inPar(3,1); % Index of H+ ion among all species
DHA = inPar(1,2); % Debye-Huckel A parameter (kg/mol)^0.5

```

```

DHB = inPar(2,2); % Debye-Huckel B parameter (kg/mol)^0.5/Å
TJai = inPar(:,3); % Truesdell-Jones ai parameters (Å)
TJbi = inPar(:,4); % Truesdell-Jones bi parameters (kg/mol)
zi = inPar(:,5); % Signed valences
bei = inPar(:,6:5+nComp)'; % Stoichiometry of elements in species
logKi = inPar(1:nSpec-nComp-1,6+nComp); % log10 of nS-nC-1 LMA equilibrium
constants
rxnStoich = inPar(:,7+nComp:end); % Stoichiometric coefficients of all species in LMA
equations

% Generate an initial guess if there is not one in the function inputs
if nargin<3
    ni0 = initGuess(inPar,Be);
end

% Scale factor for ni
scaleFact = 1./ni0;
ni0S = ni0.*scaleFact; % Scaled initial guess vector

%% Newton-Raphson solution of the system of linear-nonlinear equations
options = optimoptions(@fsolve,'MaxFunEvals',500*nSpec);
[niEQS,~,exitflag,~] = fsolve(@nonlinEqSet,ni0S,options);
niEQ = niEQS./scaleFact;

%% Auxiliary equilibrium outputs
miEQ = niEQ/(niEQ(1)*18.01528e-3); % Molalities (mol/kg Water)
xW_EQ = niEQ(1)/sum(niEQ); % Water (solvent) mole fraction
IS_EQ = 0.5*sum(miEQ.*zi.^2); % Ionic strength (mol/kg Water)
logActCoeffiEQ = [0;-DHA*zi(2:end).^2*sqrt(IS_EQ)./(1+DHB*TJai(2:end)*sqrt(IS_EQ)) +
TJbi(2:end)*IS_EQ + log10(xW_EQ)];
actCoeffiEQ = 10.^logActCoeffiEQ;
pH_EQ = -log10(miEQ(protonIndex)*actCoeffiEQ(protonIndex));

%% Nested function: set of nonlinear equations to be solved plus their respective
Jacobian-----%
function F = nonlinEqSet(niS)
    ni = niS./scaleFact;
    ni(ni==0) = 1e-20; % Remove zero ni to prevent numerical issues
    xW = ni(1)/sum(ni); % Mole fraction of water solvent
    mi = ni/(ni(1)*18.01528e-3); % Molalities (mol/kg Water)
    IS = 0.5*sum(mi.*zi.^2); % Ionic strength (mol/kg Water)
    logActCoeffi = [0;-DHA*zi(2:end).^2*sqrt(IS)./(1+DHB*TJai(2:end)*sqrt(IS)) +
TJbi(2:end)*IS + log10(xW)]; % log10 of activity coefficient
    % Nonlinear equation set
    F = zeros(nSpec,1);
    F(1) = sum(zi.*ni); % Charge balance
    F(2:nComp+1) = -Be+(bei.*ni); % Mole balance (components/elements)
    for i=nComp+2:nSpec % kth reaction

```

```
    k = i-nComp-1;  
    F(i) = sum(rxnStoich(:,k).*logActCoeffi) + sum(rxnStoich(:,k).*log10(mi)) - logKi(k);  
end  
end  
%-----%  
end
```

```

function [niEQ,miEQ,actCoeffiEQ,pH_EQ,IS_EQ,exitflag] = EQBRM(inPar,Be)
%
% This function computes the equilibrium speciation in an aqueous solution considering
the possible formation of one solid phase (work to accomodate more than one solid and
also vapor
% phase species is ongoing).
% The current code is an improvement over the original algorithm described in the
following references:
%
% Anderson, G. M. (2005). Thermodynamics of natural systems. Cambridge University
Press.
% Anderson, G. M., & Crerar, D. A. (1993). Thermodynamics in geochemistry: the
equilibrium model. Oxford University Press.
% Crerar, D. A. (1975). A method for computing multicomponent chemical equilibria
based on equilibrium constants. Geochimica et Cosmochimica Acta, 39(10), 1375-1384.
%
% Usage:
% [niEQ,miEQ,actCoeffiEQ,pH_EQ,IS_EQ,exitflag] = EQBRM(inPar,Be)
%
% Input:
% inPar = input parameters (activity coefficient parameters, laws of mass actions, etc)
as prepared in the accompanying excel sheet '(aq-)EqbrmPar.xlsx'
% Be = total molar abundances of different elements present in the system
%
% Output (replace by '~' if any of the outputs is not needed):
% niEQ = equilibrium molar abundances of all species
% miEQ = equilibrium molalities of aqueous species
% actCoeffiEQ = equilibrium molal activity coefficients of aqueous species
% pH_EQ = equilibrium pH of the solution
% IS_EQ = equilibrium ionic strength of the solution
% exitflag = the exit condition of fsolve function used to solve the system of nonlinear
equations (refer to MATLAB help on 'fsolve')
%
% This function is part of the MATLAB workflow on "population balance modeling of
calcium-silicate-hydrate precipitaion" developed by M. Reza Andalibi at Paul Scherrer
Institute/EPFL (2017).
% Please cite our article:
% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Calcium-Silicate-Hydrate
Precipitation: A Population Balance Modeling Approach", 2017.

%% Input data
% Decompose the matrix of input parameters
nSpec = inPar(1,1); % Number of species in aqueous, vapor, and solid phases
nComp = inPar(2,1); % Number of elements (NC+2 primary species should be in the
first rows of inPar)
protonIndex = inPar(3,1); % Index of H+ ion among all species
nuSolid = inPar(4,1); % Number of solid species

```

```

nuVap = inPar(5,1); % Number of species in vapor phase
nuAq = nSpec-nuSolid-nuVap; % Number of aqueous species
DHA = inPar(1,2); % Debye-Huckel A parameter (kg/mol)^0.5
DHB = inPar(2,2); % Debye-Huckel B parameter (kg/mol)^0.5/Å
TJai = inPar(1:nuAq,3); % Truesdell-Jones ai parameters (Å)
TJbi = inPar(1:nuAq,4); % Truesdell-Jones bi parameters (kg/mol)
zi = inPar(1:nuAq,5); % Signed valences
bei = inPar(:,6:5+nComp)'; % Stoichiometry of elements in species
logKi = inPar(1:nSpec-nComp-1,6+nComp); % log10 of nS-nC-1 LMA equilibrium
constants
rxnStoich = inPar(:,7+nComp:end); % Stoichiometric coefficients of all species in LMA
equations

%% Initial guess generation
% Aqueous equilibrium calculation
% Deriving inParAq from inPar
inParAq = inPar(1:nuAq,1:end-nuSolid-nuVap);
inParAq(1,1) = nuAq;
inParAq(4:end,1) = 0;
inParAq(nuAq-nComp:end,6+nComp) = 0;
[niAQ,miAQ,actCoeffiAQ,pH_AQ,IS_AQ,exitflag] = aqEQBRM(inParAq,Be);
ni0 = [niAQ;zeros(nuSolid,1)]; % Initial guess if system is not much supersaturated

% Saturation index check and possibly re-calculations considering SLE
SI = zeros(nuSolid,1); % Saturation index
for i=1:nuSolid
    SI(i,1) = sum(rxnStoich(1:nuAq,end-nuSolid-nuVap+i).*log10(miAQ))...
    + sum(rxnStoich(1:nuAq,end-nuSolid-nuVap+i).*log10(actCoeffiAQ)) - logKi(end-
nuSolid-nuVap+i,1);
end
[maxSI,maxSI_index] = max(SI);
if maxSI <=0 % (under-)saturated system
    niEQ = [niAQ;0]; % Zero mole amount for undersaturated solid
    miEQ = miAQ;
    actCoeffiEQ = actCoeffiAQ;
    pH_EQ = pH_AQ;
    IS_EQ = IS_AQ;
elseif (0<maxSI) && (maxSI<=0.1) % Slightly supersaturated system
    ni0(maxSI_index+nuAq) = 0; % Initial guess for solid mole amount
    % Newton-Raphson solution using the generated initial guess (SLE)
    alphaS = 1./ni0;
    alphaS(alphaS==Inf) = 1; % Correcting for division by zero
    ni0S = ni0.*alphaS;
    options = optimoptions(@fsolve,'MaxFunEvals',1000*nSpec,'MaxIter',500);
    [niEQscaled,~,exitflag,~] = fsolve(@nonlinEqSet,ni0S,options);
    niEQ = niEQscaled./alphaS;
    % Auxiliary equilibrium outputs

```

```

miEQ = niEQ(1:nuAq)/(niEQ(1)*18.01528e-3); % Molalities (mol/kg Water)
xW_EQ = niEQ(1)/sum(niEQ(1:nuAq)); % Water (solvent) mole fraction
IS_EQ = 0.5*sum(miEQ.*zi.^2); % Ionic strength (mol/kg Water)
logActCoeffiEQ = [0;-
DHA*zi(2:end).^2*sqrt(IS_EQ)./(1+DHB*TJai(2:end)*sqrt(IS_EQ)) + TJbi(2:end)*IS_EQ
+ log10(xW_EQ)];
actCoeffiEQ = 10.^logActCoeffiEQ;
pH_EQ = -log10(miEQ(protonIndex)*actCoeffiEQ(protonIndex));
else % Highly supersaturated system
BeRed = Be; % Initialization
iter = 1;
while (maxSI>0.1) && (iter<500) % Initial guess for supersaturated solutions
[ row,~,v] = find(bei(:,maxSI_index+nuAq)); % Index and stoichiometry of elements
for the most supersaturated solid
[ minBe,minBE_index] = min(BeRed(row)); % Minimum molar amounts among
elements above
nSolid = min(1e-2*10^maxSI,0.5)*minBe/v(minBE_index); % Moles taken out as
solid
ni0(maxSI_index+nuAq) = ni0(maxSI_index+nuAq) + nSolid; % Mole solid taken
out of solution to reduce saturation index
BeRed = BeRed-nSolid*bei(:,maxSI_index+nuAq); % Subtract solids in element
from aqueous mole balance
[niAQ,miAQ,actCoeffiAQ,~,~,~] = aqEQBRM(inParAq,BeRed);
for i=1:nuSolid
SI(i,1) = sum(rxnStoich(1:nuAq,end-nuSolid-nuVap+i).*log10(miAQ))...
+ sum(rxnStoich(1:nuAq,end-nuSolid-nuVap+i).*log10(actCoeffiAQ)) -
logKi(end-nuSolid-nuVap+i,1);
end
maxSI = SI(maxSI_index);
iter = iter+1;
end
ni0(1:nuAq) = niAQ;
% Newton-Raphson solution using the generated initial guess (SLE)
alphaS = 1./ni0;
alphaS(alphaS==Inf) = 1;
ni0S = ni0.*alphaS;
options = optimoptions(@fsolve,'MaxFunEvals',1000*nSpec,'MaxIter',500);
[niEQscaled,~,exitflag,~] = fsolve(@nonlinEqSet,ni0S,options);
niEQ = niEQscaled./alphaS;
% Auxilary equilibrium outputs
miEQ = niEQ(1:nuAq)/(niEQ(1)*18.01528e-3); % Molalities (mol/kg Water)
xW_EQ = niEQ(1)/sum(niEQ(1:nuAq)); % Water (solvent) mole fraction
IS_EQ = 0.5*sum(miEQ.*zi.^2); % Ionic strength (mol/kg Water)
logActCoeffiEQ = [0;-
DHA*zi(2:end).^2*sqrt(IS_EQ)./(1+DHB*TJai(2:end)*sqrt(IS_EQ)) + TJbi(2:end)*IS_EQ
+ log10(xW_EQ)];

```

```

actCoeffiEQ = 10.^logActCoeffiEQ;
pH_EQ = -log10(miEQ(protonIndex)*actCoeffiEQ(protonIndex));
end

%% Nested function: set of nonlinear equations to be solved (aqueous solution+solid
SLE)-----%
function F = nonlinEqSet(niS)
ni = niS./alphaS;
ni(ni<=0) = 1e-20;
xW = ni(1)/sum(ni(1:nuAq));
mi = ni(1:nuAq)/(ni(1)*18.01528e-3); % Molalities (mol/kg Water)
IS = 0.5*sum(mi.*zi.^2); % Ionic strength (mol/kg Water)
logAct = [0;-DHA*zi(2:end).^2*sqrt(IS)./(1+DHB*TJai(2:end)*sqrt(IS)) + TJbi(2:end)*IS
+ log10(xW)]; % log10 of activity coefficient
% Equation set
F = zeros(nSpec,1);
F(1) = sum(zi.*ni(1:nuAq)); % Charge balance
F(2:nComp+1) = -Be+(bei*ni); % Mole balance (components/elements)
for i=nComp+2:nSpec % kth aqueous reaction
    k = i-nComp-1;
    F(i) = sum(rxnStoich(1:nuAq,k).*logAct) + sum(rxnStoich(1:nuAq,k).*log10(mi)) -
logKi(k);
end
end
%-----%
end

```



```

function ni = initGuess(inParAq,Be)
%
% This function generates an initial guess for speciation calculations
%
% Usage:
% ni = initGuess(inParAq,Be)
% Input:
% inParAq = input parameters (activity coefficient parameters, laws of mass actions,
etc) as prepared in the accompanying excel sheet 'aqEqbrmPar.xlsx'
% Be = total molar abundances of different elements present in the aqueous solution
% Output:
% ni = initial guess for mole amounts of species passed to speciation calculations
%
% This function is part of the MATLAB workflow on "population balance modeling of
calcium-silicate-hydrate precipitation" developed by M. Reza Andalibi at Paul Scherrer
Institute/EPFL (2017).
% Please cite our article:
% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Calcium-Silicate-Hydrate
Precipitation: A Population Balance Modeling Approach", 2017.

%% Input data
% Decompose the matrix of input parameters
NS = inParAq(1,1); % Number of species
NC = inParAq(2,1); % Number of elements (NC+2 primary species should be in the first
rows of inPar)
DHA = inParAq(1,2); % Debye-Huckel A parameter (kg/mol)^0.5
DHB = inParAq(2,2); % Debye-Huckel B parameter (kg/mol)^0.5/Å
TJai = inParAq(:,3); % Truesdell-Jones ai parameters (Å)
TJbi = inParAq(:,4); % Truesdell-Jones bi parameters (kg/mol)
zi = inParAq(:,5); % Signed valences
bei = inParAq(:,6:5+NC)'; % Stoichiometry of elements in species
logKi = inParAq(1:NS-NC-1,6+NC); % log10 of nS-nC-1 LMA equilibrium constants
rxnStoich = inParAq(:,7+NC:end); % Stoichiometric coefficients of all species in LMA
equations
Aeq = [zi';bei]; % Charge and mass equality constraint matrix
beq = [0;Be]; % Charge and mass equality constraint vector

%% Initial guess with activity coefficients set to 1
logActCoeffi = zeros(NS,1); % Initialization of log10(activity coefficients)
ni = 1e-20*ones(NS,1); % Initialization of mole amounts
iter = 0; % Iteration index for initial guess generation
maxInitGuessErr = 1; % Initializing the error in initial guess

% Moles of primary species from element and charge balances (except for OH-/H+ in
acidic/basic solutions, respectively)
ni(1:NC+1) = Aeq(:,1:NC+1)\beq;

while (maxInitGuessErr>0.1) && (iter<1000)

```

```

ni(ni==0) = 1e-20; % Remove zero ni to prevent numerical issues

mi = ni/ni(1)/18.01528e-3; % Convert mole amounts into molalities
mi(NC+2) = 1/10^logKi(1)/mi(NC+1); % Estimate molality of OH-/H+ in acidic/basic
environments, respectively

secSpecStoich = rxnStoich(NC+3:end,2:end); % Stoichiometric coefficients of
secondary species in LMAs (other than water self-ionization)
for j=1:size(secSpecStoich,2)
    [row,~,v] = find(secSpecStoich(:,j)); % Index (i) and stoichiometric coefficient (v) of
unknown secondary species in (j+1)th LMA
    mi(row+NC+2) = 10^( (logKi(j+1) - sum(rxnStoich(:,j+1).*logActCoeffi) -
sum(rxnStoich(1:end ~ row+NC+2,j+1).*log10(mi(1:end ~ row+NC+2)))))/v );
end
ni(NC+2:end) = mi(NC+2:end)*ni(1)*18.01528e-3; % Convert molalities into mole
amounts

% Check the quality of initial guess
initGuessErr = (bei*ni-Be)./Be;
maxInitGuessErr = max(abs(initGuessErr(2:end))); % Oxygen is excluded because it
may be in more than one primary species
iter = iter+1;

% Update activity coefficients
if maxInitGuessErr<10
    xW = ni(1)/sum(ni); % Water (solvent) mole fraction
    IS = 0.5*sum(mi.*zi.^2); % Ionic strength (mol/kg Water)
    logActCoeffi = [0;-DHA*zi(2:end).^2*sqrt(IS)./(1+DHB*TJai(2:end)*sqrt(IS)) +
TJbi(2:end)*IS + log10(xW)];
end

if maxInitGuessErr>0.1 % Relative error > 0.1
    for i=2:NC
        if initGuessErr(i)>0.1
            ni(i) = (1-0.1*min(0.5,abs(initGuessErr(i))/abs(bei(i,i)))) * ni(i);
        elseif initGuessErr(i)<-0.1
            ni(i) = (1+0.1*min(0.5,abs(initGuessErr(i))/abs(bei(i,i)))) * ni(i);
        end
    end
end
end
end

```

% This script calculates aqEQBRM data for C-S-H precipitation system and is part of the MATLAB workflow on
% "population balance modeling of calcium-silicate-hydrate precipitaion" developed by M. Reza Andalibi at Paul Scherrer Institute/EPFL (2017).
% Please cite our article:
% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Calcium-Silicate-Hydrate Precipitation: A Population Balance Modeling Approach", 2017.

```
clear; clc;
inParAq = dlmread('inParAq.txt');
Be = dlmread('Be_2.0mL.min-1.txt');
% Be = dlmread('Be_0.5mL.min-1.txt');
eqNo = size(Be,1);
niEQ = zeros(eqNo,15);
miEQ = zeros(eqNo,15);
actCoeffiEQ = zeros(eqNo,15);
pH_EQ = zeros(eqNo,1);
IS_EQ = zeros(eqNo,1);
exitflag = zeros(eqNo,1);

% parfor j=1:eqNo % If initial guesses are not available parallelization can expedite the
computation
% [niEQ(j,:),miEQ(j,:),actCoeffiEQ(j,:),pH_EQ(j,1),IS_EQ(j,1),exitflag(j,1)] =
aqEQBRM(inPar,Be(j,:));
% end

j=1;
[niEQ(j,:),miEQ(j,:),actCoeffiEQ(j,:),pH_EQ(j,1),IS_EQ(j,1),exitflag(j,1)] =
aqEQBRM(inParAq,Be(j,:));

for j=2:eqNo
    [niEQ(j,:),miEQ(j,:),actCoeffiEQ(j,:),pH_EQ(j,1),IS_EQ(j,1),exitflag(j,1)] =
aqEQBRM(inParAq,Be(j,:)';niEQ(j-1,:));
end
```

% This script calculates EQBRM data for C-S-H precipitation system and is part of the MATLAB workflow on
% "population balance modeling of calcium-silicate-hydrate precipitaion" developed by M. Reza Andalibi at Paul Scherrer Institute/EPFL (2017).
% Please cite our article:
% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Calcium-Silicate-Hydrate Precipitation: A Population Balance Modeling Approach", 2017.

```
clear;clc;
inPar = dlmread('inPar.txt');
Be = dlmread('Be_2.0mL.min-1.txt');
% Be = dlmread('Be_0.5mL.min-1.txt');
eqNo = size(Be,1);
niEQ = zeros(eqNo,16);
miEQ = zeros(eqNo,15);
actCoeffiEQ = zeros(eqNo,15);
pH_EQ = zeros(eqNo,1);
IS_EQ = zeros(eqNo,1);
exitflag = zeros(eqNo,1);

parfor j=1:eqNo
    [niEQ(j,:),miEQ(j,:),actCoeffiEQ(j,:),pH_EQ(j,1),IS_EQ(j,1),exitflag(j,1)] =
    EQBRM(inPar,Be(j,:));
end
```

```

% This script regresses a PBE model for C-S-H precipitation to experimental data and is
part of the MATLAB workflow on
% "population balance modeling of calcium-silicate-hydrate precipitaion" developed by
M. Reza Andalibi at Paul Scherrer Institute/EPFL (2017).
% Please cite our article:
% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Calcium-Silicate-Hydrate
Precipitation: A Population Balance Modeling Approach", 2017.

clear; clc; close All;
%% Experimental data and speciation parameters
aqEqbrmPar = dlmread('aqEqbrmPar.txt'); % Parameters for aqueous speciation code
expData = dlmread('expData.txt'); % Ca2+ (mol) vs. time (min) data
tExp = expData(:,1);
CaExp = expData(:,2);

%% Initial guess for unknown model parameters
gamm0 = 0.0556624301038742; % Interfacial tension; J/m2
relSig0 = 0.846087883933104; % Adhesion energy normalized by interfacial tension
kr0 = 2.25193418641641e-09; % Growth rate constant in growth expression
g0 = 1.80427522610120; % Relative supersaturation power in rate equation
aspRatio0 = 0.509208705257783; % Aspect ratio of crystallites

kinPar0 = [gamm0;relSig0;kr0;g0;aspRatio0]; % Initial guess vector
alpha = [100;1;1e+9;1;1]; % Scaling factor for the vector of model parameters
lb = [0.04;0;1e-12;1;0.2]; % Lower bound
ub = [0.08;2;1e-7;5;5]; % Upper bound

% Scaling initial guess, lower bound and upper bound
kinPar0_scaled = kinPar0.*alpha;
lbScaled = lb.*alpha;
ubScaled = ub.*alpha;

%% Optimization scheme
TolMol = 1e-6*length(tExp); % Tolerance calculated assuming each data point can
deviate 1e-3 mmol from experimental data
options = setoptimoptions('PlotFcns',@optimplotfval,'TolFun',TolMol,'TolX',1e-3,...
'AlwaysHonorConstraints','bounds');
[kinParOpt_scaled,fval,exitflag,output] = ...
    minimize(@(kinPar_scaled) PBE(kinPar_scaled,alpha,aqEqbrmPar,tExp,CaExp),...
    kinPar0_scaled,[],[],[],lbScaled,ubScaled,[],options);

% Unscale optimized kinetic parameters
kinParOpt = kinParOpt_scaled./alpha;

```

```

%MINIMIZE      Solve constrained optimization problems,
%              globally or locally
%
% Usage:
% sol = MINIMIZE(func, x0)
% sol = MINIMIZE(..., x0, A, b)
% sol = MINIMIZE(..., b, Aeq, beq)
% sol = MINIMIZE(..., beq, lb, ub)
% sol = MINIMIZE(..., ub, nonlcon)
% sol = MINIMIZE(..., nonlcon, options)
%
% [sol, fval] = MINIMIZE(func, ...)
% [sol, fval, exitflag] = MINIMIZE(func, ...)
% [sol, fval, exitflag, output] = MINIMIZE(func, ...)
% [sol, fval, exitflag, output, grad] = MINIMIZE(func, ...)
% [sol, fval, exitflag, output, gradient, hessian] = MINIMIZE(func, ...)
%
% INPUT ARGUMENTS:
%
% fun, x0 - see FMINSEARCH or FMINLBFGS.
%
% A, b - (OPTIONAL) Linear inequality constraint array and right
%        hand side vector.
%
% Aeq, beq - (OPTIONAL) Linear equality constraint array and right
%            hand side vector.
%
% lb, ub - (OPTIONAL) lower/upper bound vector or array. Both must have
%          the same size as x0.
%
%          If no lower bounds exist for one of the variables, then
%          supply -inf for that variable. Similarly, if no upper bounds
%          exist, supply +inf. If no bounds exist at all, then [lb] and/or
%          [ub] may be left empty.
%
%          Variables may be fixed in value by setting the corresponding
%          lower and upper bounds to exactly the same value.
%
% nonlcon - (OPTIONAL) function handle to general nonlinear constraints,
%            inequality and/or equality constraints.
%
%          [nonlcon] must return two vectors, [c] and [ceq], containing the
%          values for the nonlinear inequality constraints [c] and
%          those for the nonlinear equality constraints [ceq] at [x]. (Note:
%          these constraints were chosen to be consistent with those of
%          fmincon.)

```

```

%
% options - (OPTIONAL) an options structure created manually or with
%     setoptimoptions().
%
% OUTPUT ARGUMENTS:
%
% sol, fval - the solution vector and the corresponding function value,
%     respectively.
%
% exitflag - (See also the help on FMINSEARCH) A flag that specifies the
%     reason the algorithm terminated. FMINSEARCH uses only the values
%
%     1  fminsearch converged to a solution x
%     0  Max. # of function evaluations or iterations exceeded
%    -1  Algorithm was terminated by the output function.
%
%     Since MINIMIZE handles constrained problems, the following
%     values were added:
%
%     2  Problem overconstrained by either [lb]/[ub] or
%        [Aeq]/[beq] - nothing done
%    -2  Problem is infeasible after the optimization (Some or
%        any of the constraints are violated at the final
%        solution).
%    -3  INF or NAN encountered during the optimization.
%
% output - (See also the help on FMINSEARCH) A structure that contains
%     additional details on the optimization.
%
% Notes:
%
% If [options] is supplied, then TolX will apply to the transformed
% variables. All other FMINSEARCH parameters should be unaffected.
%
%
% EXAMPLES:
%
% rosen = @(x) (1-x(1)).^2 + 105*(x(2)-x(1).^2).^2;
%
% >> % Fully unconstrained problem
% >> minimize(rosen, [3 3])
% ans =
%     1.0000     1.0000
%
% >> % lower bound constrained

```

```

% >> minimize(rosen,[3 3], [],[], [],[], [2 2])
% ans =
% 2.0000 4.0000
%
%
% >> % x(2) fixed at 3
% >> minimize(rosen,[3 3], [],[], [],[], [-inf 3],[inf,3])
% ans =
% 1.7314 3.0000
%
%
% >> % simple linear inequality: x(1) + x(2) <= 1
% >> minimize(rosen,[0; 0], [1 1], 1)
%
% ans =
% 0.6187 0.3813
%
%
% >> % nonlinear inequality: sqrt(x(1)^2 + x(2)^2) <= 1
% >> % nonlinear equality : x(1)^2 + x(2)^3 = 0.5
%
% Execute this m-file:
%
% function test_minimize
%     rosen = @(x) (1-x(1)).^2 + 105*(x(2)-x(1).^2).^2;
%
%     options = optimset('TolFun', 1e-8, 'TolX', 1e-8);
%
%     minimize(rosen, [3 3], [],[],[],[],[],...
%     @nonlcon, [], options)
%
% end
% function [c, ceq] = nonlcon(x)
%     c = norm(x) - 1;
%     ceq = x(1)^2 + x(2)^3 - 0.5;
% end
%
% ans =
% 0.6513 0.4233
%
%
% Of course, any combination of the above constraints is
% also possible.
%
%
% See also: SETOPTIMOPTIONS, FMINSEARCH, FMINLBFGS.

```


% Please report bugs and inquiries to:

%

% Name : Rody P.S. Oldenhuis

% E-mail : oldenhuis@gmail.com (personal)

% oldenhuis@luxspace.lu (professional)

% Affiliation: LuxSpace sàrl

% Licence : BSD

% FMINSEARCHBND, FMINSEARCHCON and part of the documentation

% for MINIMIZE written by

%

% Author : John D'Errico

% E-mail : woodchips@rochester.rr.com

% TODO

%{

WISH: Transformations similar to the ones used for bound constraints should also be possible for linear constraints; figure this out.

WISH: more checks for inconsistent constraints:

- equality constraints might all lie outside the region defined by the bounds and linear inequalities

- different linear equality constraints might never intersect

WISH: Include examples and demos in the documentation

WISH: a properly working ConstraintsInObjectiveFunction

FIXME: check how functions are evaluated; isn't it better to have objFcn() and conFcn() do more work?

FIXME: ignore given nonlcon() if ConstraintsInObjectiveFunction is true?

FIXME: fevals is miscounted; possibly fminlbfgs bug

FIXME: TolX, TolFun, TolCon, DiffMinchange, DiffMaxChange should be transformed

FIXME: 'AlwaysHonorConstraints' == 'bounds' does nothing

%}

function [sol, fval, exitflag, output, grad] = ...

minimize(funfcn, x0, A,b, Aeq,beq, lb,ub, nonlcon, options, varargin)

% If you find this work useful, please consider a donation:

% https://www.paypal.com/cgi-bin/webscr?cmd=_s-xclick&hosted_button_id=6G3S5UYM7HJ3N

%% Initialization

% Process user input

narg = nargin;

if verLessThan('MATLAB', '8.6')

```

    error(nargchk(2, inf, narg, 'struct')); %#ok<NCHKN>
    error(nargchk(0, 6, nargout, 'struct')); %#ok<NCHKM>
else
    narginchk(2, inf);
    nargoutchk(0, 6);
end

if (narg < 10) || isempty(options), options = setoptimoptions; end
if (narg < 9), nonlcon = ''; end
if (narg < 8), ub = []; end
if (narg < 7), lb = []; end
if (narg < 6), beq = []; end
if (narg < 5), Aeq = []; end
if (narg < 4), b = []; end
if (narg < 3), A = []; end

% Extract options
nonlconFcn_in_objFcn = getoptimoptions('ConstraintsInObjectiveFunction', false);
tolCon = getoptimoptions('TolCon', 1e-8);
algorithm = getoptimoptions('Algorithm', 'fminsearch');
strictness = getoptimoptions('AlwaysHonorConstraints', 'none');
diffMinChange = getoptimoptions('DiffMinChange', 1e-8);
diffMaxChange = getoptimoptions('DiffMaxChange', 1e-1);
finDiffType = getoptimoptions('FinDiffType', 'forward');
OutputFcn = getoptimoptions('OutputFcn', []);
PlotFcn = getoptimoptions('PlotFcn', []);

% Set some logicals for easier reading
have_nonlconFcn = ~isempty(nonlcon) || nonlconFcn_in_objFcn;
have_linineqconFcn = ~isempty(A) && ~isempty(b);
have_lineqconFcn = ~isempty(Aeq) && ~isempty(beq);

create_output = (nargout >= 4);

need_grad = strcmpi(algorithm, 'fminlbfgs');
grad_obj_from_objFcn = need_grad && strcmpi(options.GradObj, 'on');
grad_nonlcon_from_nonlconFcn = need_grad && strcmpi(options.GradConstr, 'on')
&& have_nonlconFcn;

do_display = ~isempty(options.Display) && ~strcmpi(options.Display, 'off');
do_extended_display = do_display && strcmpi(options.Display, 'iter-detailed');

do_global_opt = isempty(x0);

% Do we have an output function?
have_outputFcn = ~isempty(OutputFcn);
have_plotFcn = ~isempty(PlotFcn);

% No x0 given means minimize globally.

```

```

if do_global_opt
    [sol, fval, exitflag, output] = minimize_globally();
    return;
end

% Make copy of UNpenalized function value
UPfval = inf;

% Initialize & define constants
superstrict = false; % initially, don't use superstrict setting
exp50 = exp(50); % maximum penalty
N0 = numel(x0); % variable to check sizes etc.
Nzero = zeros(N0, 1); % often-used zero-matrix
grad = []; % initially, nothing for gradient
sumAT = repmat(sum(A,1).',1,size(b,2)); % column-sum of [A] , transposed and
replicated
sumAeqT = repmat(sum(Aeq,1).',1,size(beq,2)); % column-sum of [Aeq], transposed
and replicated

% Initialize output structure
output = [];
if create_output

    % Fields always present
    output.iterations = 0;
    output.algorithm = '';
    output.message = 'Initializing optimization...';

    % Fields present depending on the presence of nonlcon
    if ~have_nonlconFcn
        output.funcCount = 0;
    else
        output.ObjfuncCount = 0;
        output.ConstrfuncCount = 1; % one evaluation in check_input()
        output.constrviolation.nonlin_eq = cell(2,1);
        output.constrviolation.nonlin_ineq = cell(2,1);
    end

    % Fields present depending on the presence of A or Aeq
    if have_linineqconFcn
        output.constrviolation.lin_ineq = cell(2,1); end
    if have_lineqconFcn
        output.constrviolation.lin_eq = cell(2,1); end
end

% Save variable with original size
new_x = x0;

% Check for an output/plot functions. If there are any,

```

```

% use wrapper functions to call it with un-transformed variable
if have_outputFcn
    OutputFcn = options.OutputFcn;
    if ~iscell(OutputFcn)
        OutputFcn = {OutputFcn}; end
    options.OutputFcn = @OutputFcn_wrapper;
end
if have_plotFcn
    PlotFcn = options.PlotFcn;
    if ~iscell(PlotFcn)
        PlotFcn = {PlotFcn}; end
    options.PlotFcn = @PlotFcn_wrapper;
end

% Adjust bounds when they are empty
if isempty(lb), lb = -inf(size(x0)); end
if isempty(ub), ub = +inf(size(x0)); end

% Check the user-provided input with nested function check_input
checks_OK = check_input;
if ~checks_OK, return; end

% Force everything to be column vector
ub = ub(:); x0 = x0(:);
lb = lb(:); x0one = ones(size(x0));

% replicate lb or ub when they are scalars, and x0 is not
if isscalar(lb) && (N0 ~= 1), lb = lb*x0one; end
if isscalar(ub) && (N0 ~= 1), ub = ub*x0one; end

% Determine the type of bounds
nf_lb = ~isfinite(lb); nf_ub = ~isfinite(ub);
fix_var = lb == ub; lb_only = ~nf_lb & nf_ub & ~fix_var;
ub_only = nf_lb & ~nf_ub & ~fix_var; unconst = nf_lb & nf_ub & ~fix_var;
lb_ub = ~nf_lb & ~nf_ub & ~fix_var;

%% Optimization

% Force the initial estimate inside the given bounds
x0(x0 < lb) = lb(x0 < lb); x0(x0 > ub) = ub(x0 > ub);

% Transform initial estimate to its unconstrained counterpart
xin = x0; % fixed and unconstrained variables
xin(lb_only) = sqrt(x0(lb_only) - lb(lb_only)); % lower bounds only
xin(ub_only) = sqrt(ub(ub_only) - x0(ub_only)); % upper bounds only
xin(lb_ub) = real(asin( 2*(x0(lb_ub) - lb(lb_ub))./ ...
    (ub(lb_ub) - lb(lb_ub)) - 1)); % both upper and lower bounds
xin(fix_var) = [];

```

```

% Some more often-used matrices
None = ones(numel(xin)+1,1);
Np1zero = zeros(N0, numel(xin)+1);

% Optimize the problem
try
    switch lower(algorithm)
        % MATLAB's own derivative-free Nelder-Mead algorithm (FMINSEARCH())
        case 'fminsearch'
            [presol, fval, exitflag, output_a] = ...
                fminsearch(@funfcnT, xin, options);

            % Transform solution back to original (bounded) variables...
            sol = new_x; sol(:) = X(presol); % with the same size as the original x0

            % Evaluate function once more to get unconstrained values
            % NOTE: this eval is added to total fevals later
            fval(:) = objFcn(sol);

        % Steepest descent or Quasi-Newton (limited-memory) BFGS
        % (both using gradient) FMINLBFGS(), by Dirk-Jan Kroon
        case 'fminlbfgs'

            % DEBUG: check gradients
            %{

            % Numerical
            oneHundredth = [
                ( funfcnT(xin+[1e-2;0])-funfcnT(xin-[1e-2;0]))/2e-2
                ( funfcnT(xin+[0;1e-2])-funfcnT(xin-[0;1e-2]))/2e-2

            oneTrillionth = [
                ( funfcnT(xin+[1e-12;0])-funfcnT(xin-[1e-12;0]))/2e-12
                ( funfcnT(xin+[0;1e-12])-funfcnT(xin-[0;1e-12]))/2e-12

            h = 1e-3;
            dfdx = @(f,x) ( (f(x-4*h)-f(x+4*h))/280 + 4*(f(x+3*h)-f(x-3*h))/105 + (f(x-2*h)-
f(x+2*h))/5 + 4*(f(x+h)-f(x-h))/5 )/h; % #ok
            highOrder = [ dfdx(@(x)funfcnT([x;xin(2)]), xin(1));
dfdx(@(x)funfcnT([xin(1);x]), xin(2)) ]

            % As computed in penalized/transformed function
            [F,G] = funfcnT(xin)
            %}

            [presol, fval, exitflag, output_a] = ...
                fminlbfgs(@funfcnT, xin, options);

            % Transform solution back to original (bounded) variables

```

```

    sol = new_x;    sol(:) = X(presol); % with the same size as the original x0

    % Evaluate function some more to get unconstrained values
    if grad_obj_from_objFcn
        % Function value and gradient
        [fval, grad] = objFcn(sol);

    else
        % Only function value
        [fevals, grad] = computeJacobian(funfcn, sol, objFcn(sol));
        if create_output
            output_a.funcCount = output_a.funcCount + fevals + 1; end
        end

    end % switch (algorithm)

catch ME
    ME2 = MException('minimize:unhandled_error',...
        'Unhandled problem encountered; please contact the author with this exact
message, and your exact inputs. ');
    throw(addCause(ME2,ME));
end

% Copy appropriate fields to the output structure
if create_output
    output.message = output_a.message;
    output.algorithm = output_a.algorithm;
    output.iterations = output_a.iterations;
    if ~have_nonlconFcn
        output.funcCount = output_a.funcCount + 1;
    else
        output.ObjfuncCount = output_a.funcCount + 1;
    end
end

% Append constraint violations to the output structure, and change the
% exitflag accordingly
[output, exitflag] = finalize(sol, output, exitflag);

%% NESTED FUNCTIONS (THE ACTUAL WORK)

% Check user-provided input
function go_on = check_input

% FIXME: diffMinChange and diffMaxChange can be inconsistent

go_on = true;

% Dimensions & weird input
if (numel(lb) ~= N0 && ~isscalar(lb)) || (numel(ub) ~= N0 && ~isscalar(ub))
    error('minimize:lb_ub_incompatible_size',...

```

```

        'Size of either [lb] or [ub] incompatible with size of [x0].')
end
if ~isempty(A) && isempty(b)
    warning('minimize:Aeq_but_not_beq', ...
        ['I received the matrix [A], but you omitted the corresponding vector [b].',...
        '\nI'll assume a zero-vector for [b]...'])
    b = zeros(size(A,1), size(x0,2));
end
if ~isempty(Aeq) && isempty(beq)
    warning('minimize:Aeq_but_not_beq', ...
        ['I received the matrix [Aeq], but you omitted the corresponding vector
[beq].',...
        '\nI'll assume a zero-vector for [beq]...'])
    beq = zeros(size(Aeq,1), size(x0,2));
end
if isempty(Aeq) && ~isempty(beq)
    warning('minimize:beq_but_not_Aeq', ...
        ['I received the vector [beq], but you omitted the corresponding matrix
[Aeq].',...
        '\nI'll ignore the given [beq]...'])
    beq = [];
end
if isempty(A) && ~isempty(b)
    warning('minimize:b_but_not_A', ...
        ['I received the vector [b], but you omitted the corresponding matrix [A].',...
        '\nI'll ignore the given [b]...'])
    b = [];
end
if have_lineqconFcn && size(b,1)~=size(A,1)
    error('minimize:b_incompatible_with_A',...
        'The size of [b] is incompatible with that of [A].')
end
if have_lineqconFcn && size(beq,1)~=size(Aeq,1)
    error('minimize:b_incompatible_with_A',...
        'The size of [beq] is incompatible with that of [Aeq].')
end
if ~isvector(x0) && ~isempty(A) && (size(A,2) ~= size(x0,1))
    error('minimize:A_incompatible_size',...
        'Linear constraint matrix [A] has incompatible size for given [x0].')
end
if ~isvector(x0) && ~isempty(Aeq) && (size(Aeq,2) ~= size(x0,1))
    error('minimize:Aeq_incompatible_size',...
        'Linear constraint matrix [Aeq] has incompatible size for given [x0].')
end
if ~isempty(b) && size(b,2)~=size(x0,2)
    error('minimize:x0_vector_but_not_b',...

```

```

        'Given linear constraint vector [b] has incompatible size with given [x0].')
end
if ~isempty(beq) && size(beq,2)~=size(x0,2)
    error('minimize:x0_vector_but_not_beq',...
        'Given linear constraint vector [beq] has incompatible size with given [x0].')
end

% Functions are not function handles
if ~isa(funfcn, 'function_handle')
    error('minimize:func_not_a_function',...
        'Objective function must be given as a function handle.')
end
if ~isempty(nonlcon) && ~ischar(nonlcon) && ~isa(nonlcon, 'function_handle')
    error('minimize:nonlcon_not_a_function', ...
        'non-linear constraint function must be a function handle (advised) or string
(discouraged).')
end

% Check if FMINLBFGS can be executed
%FIXME: not required when you paste it as nested function below
%     if ~isempty(algorithm) && strcmpi(algorithm,'fminlbfgs') &&
isempty(which('fminlbfgs'))
%         error('minimize:fminlbfgs_not_present',...
%             'The function FMINLBFGS is not present in the current MATLAB path.');
```

```

%     end

% evaluate the non-linear constraint function on
% the initial value, to perform initial checks
% FIXME: fevals not counted
grad_c = [];
grad_ceq = [];
[c, ceq] = conFcn(x0);

% Check sizes of derivatives
if ~isempty(grad_c) && (size(grad_c,2) ~= numel(x0)) && (size(grad_c,1) ~=
numel(x0))
    error('minimize:grad_c_incorrect_size',...
        ['The matrix of gradients of the non-linear in-equality constraints\n',...
        'must have one of its dimensions equal to the number of elements in [x].']);
end
if ~isempty(grad_ceq) && (size(grad_ceq,2) ~= numel(x0)) && (size(grad_ceq,1)
~= numel(x0))
    error('minimize:grad_ceq_incorrect_size',...
        ['The matrix of gradients of the non-linear equality constraints\n',...
        'must have one of its dimension equal to the number of elements in [x].']);
end
end

```



```

% Test the feasibility of the initial solution (when strict or
% superstrict behavior has been enabled)
if strcmpi(strictness, 'Bounds') || strcmpi(strictness, 'All')
    superstrict = strcmpi(strictness, 'All');
    if ~isempty(A) && any(any(A*x0 > b))
        error('minimize:x0_doesnt_satisfy_linear_ineq', ...
            ['Initial estimate does not satisfy linear inequality.', ...
            '\nPlease provide an initial estimate inside the feasible region.']);
    end
    if ~isempty(Aeq) && any(any(Aeq*x0 ~= beq))
        error('minimize:x0_doesnt_satisfy_linear_eq', ...
            ['Initial estimate does not satisfy linear equality.', ...
            '\nPlease provide an initial estimate inside the feasible region.']);
    end
end
if have_nonlconFcn
    % check [c]
    if ~isempty(c) && any(c(:) > ~superstrict*tolCon)
        error('minimize:x0_doesnt_satisfy_nonlinear_ineq', ...
            ['Initial estimate does not satisfy nonlinear inequality.', ...
            '\nPlease provide an initial estimate inside the feasible region.']);
    end
    % check [ceq]
    if ~isempty(ceq) && any(abs(ceq(:)) >= ~superstrict*tolCon)
        error('minimize:x0_doesnt_satisfy_nonlinear_eq', ...
            ['Initial estimate does not satisfy nonlinear equality.', ...
            '\nPlease provide an initial estimate inside the feasible region.']);
    end
end
end
end
end

```

% Detect and handle degenerate problems

% FIXME: with linear constraints it should be easy to determine if the
% constraints make feasible solutions impossible...

```

% Impossible constraints
inds = all(A==0,2);
if any(inds) && any(any(b(inds,:)>0))
    error('minimize:impossible_linear_inequality',...
        'Impossible linear inequality specified. ');
end

inds = all(Aeq==0,2);
if any(inds) && any(any(beq(inds,:)==0))
    error('minimize:impossible_linear_equality',...
        'Impossible linear equality specified. ');
end

```

```

% Degenerate constraints
if size(Aeq,1) > N0 && rank(Aeq) == N0
    warning('minimize:linear_equality_overconstrains',...
        'Linear equalities overconstrain problem; constrain violation is likely.');
```

end

```

if size(Aeq,2) >= N0 && rank(Aeq) >= N0
%     warning('minimize:linear_equality_overconstrains',...
%         'Linear equalities define solution - nothing to do.');
```

sol = Aeq\beq;

fval = objFcn(sol);

new_x = sol;

exitflag = 2;

```

if create_output
    output.iterations = 0;
    output.message = 'Linear equalities define solution; nothing to do.';
    if ~have_nonlconFcn
        output.funcCount = 1;
    else output.ObjfuncCount = 1;
    end
end

do_display_P = do_display;
do_display = false;
[output, exitflag] = finalize(sol, output, exitflag);

if create_output && exitflag ~= -2
    output.message = sprintf(...
        '%s\nFortunately, the solution is feasible using OPTIONS.TolCon of
%1.6f.',...
        output.message, tolCon);
end
if do_display_P
    fprintf(1, output.message); end

go_on = false;
return;

end

% If all variables are fixed, simply return
if sum(lb(:)==ub(:)) == N0
%     warning('minimize:bounds_overconstrain',...
%         'Lower and upper bound are equal - nothing to do.');
```

sol = reshape(lb,size(x0));

fval = objFcn(sol);

```

new_x = sol;

exitflag = 2;
if create_output
    output.iterations = 0;
    output.message = 'Lower and upper bound were set equal - nothing to do. ';
    if ~have_nonlconFcn
        output.funcCount = 1;
    else output.ObjfuncCount = 1;
    end
end

do_display_P = do_display;
do_display = false;
[output, exitflag] = finalize(sol, output, exitflag);

if create_output && exitflag ~= -2
    output.message = sprintf(...
        '%s\nFortunately, the solution is feasible using OPTIONS.TolCon of
%1.6f.',...
        output.message, tolCon);
end
if do_display_P
    fprintf(1, output.message); end

go_on = false;
return;
end

end % check_input

% Evaluate objective function
function varargout = objFcn(x)
    [varargout{1:nargout}] = feval(funfcn,...
        reshape(x,size(new_x)),...
        varargin{:});
end

% Evaluate non-linear constraint function
function [c,ceq, grad_c,grad_ceq] = conFcn(x)

    c = [];
    ceq = [];
    grad_c = [];
    grad_ceq = [];

    x = reshape(x,size(new_x));

```

```

if have_nonlconFcn
    if nonlconFcn_in_objFcn
        if grad_nonlcon_from_nonlconFcn
            if grad_obj_from_objFcn
                [~,~, c, ceq, grad_c, grad_ceq] = objFcn(x);
            else
                [~, c, ceq, grad_c, grad_ceq] = objFcn(x);
            end
        else
            if grad_obj_from_objFcn
                [~,~, c, ceq] = objFcn(x);
            else
                [~, c, ceq] = objFcn(x);
            end
        end
    end
else
    if grad_nonlcon_from_nonlconFcn
        [c, ceq, grad_c, grad_ceq] = feval(nonlcon, x, varargin{:});
    else
        [c, ceq] = feval(nonlcon, x, varargin{:});
    end
end
end
end
end

```

% Create transformed variable X to conform to upper and lower bounds
function Z = X(x)

% Initialize

```

if (size(x,2) == 1)
    Z = Nzero; rep = 1;
else
    Z = Np1zero; rep = None;
end

```

% First insert fixed values...

```

y = x0one(:, rep);
y(fix_var,:) = lb(fix_var,rep);
y(~fix_var,:) = x;
x = y;

```

% ...and transform.

```

Z(lb_only, :) = lb(lb_only, rep) + x(lb_only, :).^2;
Z(ub_only, :) = ub(ub_only, rep) - x(ub_only, :).^2;
Z(fix_var, :) = lb(fix_var, rep);
Z(unconst, :) = x(unconst, :);
Z(lb_ub, :) = lb(lb_ub, rep) + (ub(lb_ub, rep)-lb(lb_ub, rep)) .* ...

```

```

        (sin(x(lb_ub, :)) + 1)/2;
end % X

```

% Derivatives of transformed X

```
function grad_Z = gradX(x, grad_x)
```

% ...and compute gradient

```

grad_Z = grad_x(~fix_var);
grad_Z(lb_only(~fix_var), :) = +2*grad_x(lb_only(~fix_var), :).*x(lb_only(~fix_var),
:);
grad_Z(ub_only(~fix_var), :) = -2*grad_x(ub_only(~fix_var), :).*x(ub_only(~fix_var),
:);
grad_Z(unconst(~fix_var), :) = grad_x(unconst(~fix_var), :);
grad_Z(lb_ub(~fix_var), :) = grad_x(lb_ub(~fix_var),:).*(ub(lb_ub(~fix_var),:)-
lb(lb_ub(~fix_var),:)).*cos(x(lb_ub(~fix_var),:))/2;

```

```
end % grad_Z
```

% Create penalized function. Penalize with linear penalty function if
% violation is severe, otherwise, use exponential penalty. If the
% 'strict' option has been set, check the constraints, and return INF
% if any of them are violated.

```
function [P_fval, grad_val] = funfcnP(x)
```

% FIXME: FMINSEARCH() or FMINLBFGS() see this as ONE function
% evaluation. However, multiple evaluations of both objective and nonlinear
% constraint functions may take place

% Initialize function value

```
if (size(x,2) == 1), P_fval = 0; else P_fval = None.'-1; end
```

% Initialize x_new array

```
x_new = new_x;
```

% Initialize gradient when needed

```
if grad_obj_from_objFcn
    grad_val = zeros(size(x)); end
```

% Evaluate every column in x

```
for ii = 1:size(x,2)
```

% Reshape x, so it has the same size as the given x0

```
x_new(:) = x(:,ii);
```

% Initialize

```
obj_gradient = 0;
```

```
c = []; ceq = [];
```

```
grad_c = []; grad_ceq = [];
```

% Evaluate the objective function, taking care that also

```

% a gradient and/or constraint function may be supplied
if grad_obj_from_objFcn
    if ~nonlconFcn_in_objFcn
        [obj_fval, obj_gradient] = objFcn(x_new);

    else
        if grad_nonlcon_from_nonlconFcn
            arg_out = cell(1, nonlconFcn_in_objFcn+1);
        else arg_out = cell(1, nonlconFcn_in_objFcn+3);
        end

        [arg_out{:}] = objFcn(x_new);
        obj_fval     = arg_out{1};
        obj_gradient = arg_out{2};
        c           = arg_out{nonlconFcn_in_objFcn+0};
        ceq         = arg_out{nonlconFcn_in_objFcn+1};

        if grad_nonlcon_from_nonlconFcn
            grad_c   = arg_out{nonlconFcn_in_objFcn+2};
            grad_ceq = arg_out{nonlconFcn_in_objFcn+3};
        else
            grad_c   = ''; % use strings to distinguish them later on
            grad_ceq = '';
        end

    end

    objFcn_fevals = 1;

else
    % FIXME: grad_nonlcon_from_nonlconFcn?
    if ~nonlconFcn_in_objFcn
        obj_fval = objFcn(x_new);

    else
        arg_out = cell(1, nonlconFcn_in_objFcn+1);
        [arg_out{:}] = objFcn(x_new);
        obj_fval     = arg_out{1};
        c           = arg_out{nonlconFcn_in_objFcn+0};
        ceq         = arg_out{nonlconFcn_in_objFcn+1};
        grad_c      = '';
        grad_ceq    = ''; % use strings to distinguish them later on

    end

    objFcn_fevals = 1;

    if need_grad
        [objFevals, obj_gradient] = computeJacobian(funfcn, x_new, obj_fval);
        objFcn_fevals = objFcn_fevals + objFevals;
    end
end

```

```

    end

end

% Keep track of function evaluations
if create_output
    if ~have_nonlconFcn
        output.funcCount = output.funcCount + objFcn_fevals;
    else
        output.ObjfuncCount = output.ObjfuncCount + + objFcn_fevals;
    end
end

% Make global copy
UPfval = obj_fval;

% Initially, we are optimistic
linear_eq_Penalty = 0; linear_ineq_Penalty_grad = 0;
linear_ineq_Penalty = 0; linear_eq_Penalty_grad = 0;
nonlin_eq_Penalty = 0; nonlin_eq_Penalty_grad = 0;
nonlin_ineq_Penalty = 0; nonlin_ineq_Penalty_grad = 0;

% Penalize the linear equality constraint violation
% required: Aeq*x = beq
if have_lineqconFcn

    lin_eq = Aeq*x_new - beq;
    sumlin_eq = sum(abs(lin_eq(:)));

% FIXME: column sum is correct, but does not take into account non-violated
% constraints. We'll have to re-compute it

    if strcmpi(strictness, 'All') && any(abs(lin_eq) > 0)
        P_fval = inf; grad_val = inf; return; end

% FIXME: this really only works with fminsearch; fminlbfgs does not know
% how to handle this...

    % compute penalties
    linear_eq_Penalty = Penalize(sumlin_eq);

    % Also compute derivatives
    % (NOTE: since the sum of the ABSOLUTE values is used
    % here, the signs are important!)
    if grad_obj_from_objFcn && linear_eq_Penalty ~= 0
        linear_eq_Penalty_grad = ...
            Penalize_grad(sign(lin_eq).*sumAeqT, sumlin_eq);
    end
end

% Penalize the linear inequality constraint violation

```

```

% required:  $A*x \leq b$ 
if have_linineqconFcn

    lin_ineq = A*x_new - b;
    lin_ineq(lin_ineq <= 0) = 0;

% FIXME: column sum is correct, but does not take into account non-violated
% constraints. We'll have to re-compute it

    sumlin_ineq = sum(lin_ineq(:));
    sumlin_ineq_grad = sumAT;

    if strcmpi(strictness, 'All') && any(lin_ineq > 0)
        P_fval = inf; grad_val = inf; return; end

% FIXME: this really only works with fminsearch; fminlbfgs does not know
% how to handle this...

    % Compute penalties
    linear_ineq_Penalty = Penalize(sumlin_ineq);

    % Also compute derivatives
    if grad_obj_from_objFcn && linear_ineq_Penalty ~= 0
        linear_ineq_Penalty_grad = Penalize_grad(sumlin_ineq_grad,
sumlin_ineq); end

end

% Penalize the non-linear constraint violations
% required:  $ceq = 0$  and  $c \leq 0$ 
if have_nonlconFcn

    [c, ceq, grad_c, grad_ceq] = conFcn(x_new);

    % Central-difference derivatives are computed later;
    % the strictness setting might make computing it here
    % unnecessary

    % Initialize as characters, to distinguish them later on;
    % derivatives may be empty, inf, or NaN as returned from [nonlcon]
    if isempty(grad_c) , grad_c = ''; end
    if isempty(grad_ceq), grad_ceq = ''; end

    %{
    if ~nonlconFcn_in_objFcn

        % Initialize as characters, to distinguish them later on;
        % derivatives may be empty, inf, or NaN as returned from [nonlcon]
        grad_c = '';
        grad_ceq = '';

        % Gradients are given explicitly by [nonlcon]

```



```

    if grad_nonlcon_from_nonlconFcn
        [c, ceq, grad_c, grad_ceq] = conFcn(x_new);
        % The gradients are not given by [nonlcon]; they have to
        % be computed by central differences
    else
        [c, ceq] = conFcn(x_new);
        % Central-difference derivatives are computed later;
        % the strictness setting might make computing it here
        % unnecesecary
    end

    % Keep track of number of evaluations made
    if create_output
        output.ConstrfuncCount = output.ConstrfuncCount + 1; end

    else
        % TODO
    end
    %}
    if create_output
        output.ConstrfuncCount = output.ConstrfuncCount + 1; end
end

% Force grad_c] and [grad_ceq] to be of proper size
if ~isempty(grad_c)
    grad_c = reshape(grad_c(:), numel(c), numel(x_new)); end
if ~isempty(grad_ceq)
    grad_ceq = reshape(grad_ceq(:), numel(ceq), numel(x_new)); end

% Process non-linear inequality constraints
if ~isempty(c)
    c = c(:);

    % check for strictness setting
    if any(strcmpi(strictness, {'Bounds' 'All'})) &&...
        any(c > ~superstrict*tolCon)
        P_fval = inf; grad_val = inf; return
%FIXME: this only makes sense for FMINSEARCH
end

% sum the violated constraints
violated_c = c > tolCon;
sumc = sum(c(violated_c));

% compute penalty
nonlin_ineq_Penalty = Penalize(sumc);
end

```

```

% Process non-linear equality constraints
if ~isempty(ceq)
    % Use the absolute values, but save the signs for the
    % derivatives
    signceq = repmat(sign(ceq), 1,numel(x_new));
    ceq = abs(ceq(:));

    % Check for strictness setting
    if (strcmpi(strictness, 'Bounds') || ...
        strcmpi(strictness, 'All')) &&...
        any(ceq >= ~superstrict*tolCon)
        P_fval = inf; grad_val = inf; return
%FIXME: this only makes sense for FMINSEARCH
    end

    % Sum the violated constraints
    violated_ceq = (ceq >= tolCon);
    sumceq = sum(ceq(violated_ceq));

    % Compute penalty
    nonlin_eq_Penalty = Penalize(sumceq);
end

% Compute derivatives with central-differences of non-linear constraints
if grad_obj_from_objFcn && ischar(grad_c) && ischar(grad_ceq)
    [conFcn_fevals, grad_c, grad_ceq] = computeJacobian(nonlcon, x_new, c,
ceq); end

% Add derivatives of non-linear equality constraint function
if grad_obj_from_objFcn && ~isempty(c)

    % First, remove those that satisfy the constraints
    grad_c = grad_c(violated_c, :);
    % Compute derivatives of penalty functions
    if ~isempty(grad_c)
        nonlin_ineq_Penalty_grad = ...
            Penalize_grad(sum(grad_c,1), sumc);
    end
end

% Add derivatives of non-linear equality constraint function
if grad_obj_from_objFcn && ~isempty(ceq)

    % First, remove those that satisfy the constraints
    grad_ceq = grad_ceq(violated_ceq, :);
    % Compute derivatives of penalty functions
    % (NOTE: since the sum of the ABSOLUTE values is used
    % here, the signs are important!)

```

```

        if ~isempty(grad_ceq)
            nonlin_eq_Penalty_grad = ...
                Penalize_grad(sum(signceq.*grad_ceq,1), sumceq);
        end
    end

    % Return penalized function value
    P_fval(ii) = obj_fval + linear_eq_Penalty + linear_ineq_Penalty + ...
        nonlin_eq_Penalty + nonlin_ineq_Penalty; %#ok MLINT is wrong here...

    % Return penalized derivatives of constraints
    grad_val(:, ii) = obj_gradient(:) + linear_eq_Penalty_grad(:) + ...
        linear_ineq_Penalty_grad(:) + nonlin_eq_Penalty_grad(:) +
        nonlin_ineq_Penalty_grad(:);

end

% Compute deserved penalties
% (doubly-nested function)
function fP = Penalize(violation)

    if violation <= tolCon
        fP = 0; return; end

    % Scaling parameter
    % FIXME: scaling does not appear to work very well with fminlbfgs
    if strcmpi(algorithm, 'fminsearch')
        scale = min(1e60, violation/tolCon);
    else
        scale = 1;
    end

    % Linear penalty to avoid overflow
    if scale*violation > 50
        fP = exp50*(1 + scale*violation) - 1;

        % Exponential penalty otherwise
    else
        fP = exp(scale*violation) - 1;
    end

end % Penalize

% Compute gradient of penalty function
% (doubly-nested function)
function grad_fP = Penalize_grad(dvdx, violation)

    if violation <= tolCon
        grad_fP = 0; return; end

```

```

    % Scaling parameter
% FIXME: scaling does not appear to work very well with fminlbfgs
    dsdx = 0;
    if strcmpi(algorithm, 'fminsearch')
        scale = min(1e60, violation/tolCon);
        if violation/tolCon < 1e60
            dsdx = dvdx/tolCon; end
    else
        scale = 1;
    end

    % Derivative of linear penalty function
    if scale*violation > 50
        grad_fP = exp50*(dsdx*violation + scale*dvdx);

    % Derivative of exponential penalty function
    else
        grad_fP = (dsdx*violation + scale*dvdx)*exp(scale*violation);
    end

    end % Penalize_grad

end % funfcnP

% Define the transformed & penalized function
function varargout = funfcnT(x)

    % Compute transformed variable
    XT = X(x);

    % WITH gradient
    if grad_obj_from_objFcn
        [varargout{1}, grad_val] = funfcnP(XT);
        % Transform gradient and output
        varargout{2} = gradX(x, grad_val);

    % WITHOUT gradient
    else
        varargout{1} = funfcnP(XT);
    end

end % funfcnT

% Compute gradient/Jacobian with finite differences
function [fevals, varargout] = computeJacobian(F, x, varargin)

% FIXME: does this make sense?
    perturb = min(max(diffMinChange, 1e-6), diffMaxChange);
    fevals = 0;

```

```

narg = numel(varargin);

% initialize Jacobian
J = cellfun(@(y)zeros(numel(y), numel(x)), varargin, 'UniformOutput', false);

% And compute it using selected method
switch lower(finDiffType)
case 'forward'
    dx_plus = cell(narg,1);
    for jj = 1:numel(x)

        x(jj) = x(jj) + perturb;
        [dx_plus{1:narg}] = F(x);
        x(jj) = x(jj) - perturb;

        for kk = 1:narg
            newGrad = (dx_plus{kk}-varargin{kk})/perturb;
            if ~isempty(newGrad)
                J{kk}(:,jj) = newGrad; end
            end

            fevals = fevals + 1;

        end

    case 'backward'
        dx_minus = cell(narg,1);
        for jj = 1:numel(x)

            x(jj) = x(jj) - perturb;
            [dx_minus{1:narg}] = F(x);
            x(jj) = x(jj) + perturb;

            for kk = 1:narg
                newGrad = (varargin{kk}-dx_minus{kk})/perturb;
                if ~isempty(newGrad)
                    J{kk}(:,jj) = newGrad; end
                end

                fevals = fevals + 1;

            end

        case 'central'
            dx_plus = cell(narg,1);
            dx_minus = cell(narg,1);
            for jj = 1:numel(x)

                % Forward
                x(jj) = x(jj) + perturb;
                [dx_plus{1:narg}] = F(x);

```

```

    % Backward
    x(jj) = x(jj) - 2*perturb;
    [dx_minus{1:narg}] = F(x);

    % Reset x
    x(jj) = x(jj) + perturb;

    % Insert new derivatives
    for kk = 1:narg
        newGrad = (dx_plus{kk}-dx_minus{kk})/2/perturb;
        if ~isempty(newGrad)
            J{kk}(:,jj) = newGrad; end
    end

    fevals = fevals + 2;

end

case 'adaptive'
    % TODO

end

% Assign all outputs
varargout = J;

end

% Simple wrapper function for output and plot functions;
% these need to be evaluated with the UNtransformed variables
function stop = OutputFcn_wrapper(x, optimvalues, state)
    % Transform x
    x_new = new_x; x_new(:) = X(x);
    % Unpenalized function value
    optimvalues.fval = UPfval;
    % Evaluate all output functions
    stop = zeros(size(OutputFcn));
    for ii = 1:numel(OutputFcn)
        stop(ii) = feval(OutputFcn{ii}, x_new, optimvalues, state); end
    stop = any(stop);
end % OutputFcn_wrapper

function stop = PlotFcn_wrapper(x, optimvalues, state)
    % Transform x
    x_new = new_x; x_new(:) = X(x);
    % Unpenalized function value
    optimvalues.fval = UPfval;
    % Evaluate all plot functions
    stop = zeros(size(PlotFcn));

```

```

for ii = 1:numel(PlotFcn)
    stop(ii) = feval(PlotFcn{ii}, x_new, optimvalues, state); end
stop = any(stop);
end % PlotFcn_wrapper

% Finalize the output
function [output, exitflag] = finalize(x, output, exitflag)

% reshape x so it has the same size as x0
x_new = new_x; x_new(:) = x;

% compute violations (needed in both display and output structure)

% initially we're optimistic
is_violated = false;
max_violation = 0;

% add proper [constrviolation] field
if have_linineqconFcn
    Ax = A*x_new;
    violated = Ax >= b + tolCon;
    violation = Ax - b;
    violation(~violated) = 0; clear Ax
    output.constrviolation.lin_ineq{1} = violated;
    output.constrviolation.lin_ineq{2} = violation;
    is_violated = is_violated || any(violated(:));
    max_violation = max(max_violation, max(violation));
    clear violation violated
end
if have_lineqconFcn
    Aeqx = Aeq*x_new;
    violated = abs(Aeqx - beq) > tolCon;
    violation = Aeqx - beq;
    violation(~violated) = 0; clear Aeqx
    output.constrviolation.lin_eq{1} = violated;
    output.constrviolation.lin_eq{2} = violation;
    is_violated = is_violated || any(abs(violated(:)));
    max_violation = max(max_violation, max(abs(violation)));
    clear violation violated
end
if have_nonlconFcn
    [c, ceq] = conFcn(x_new);
    if isfield(output, 'ConstrfuncCount')
        output.ConstrfuncCount = output.ConstrfuncCount + 1;
    else
        output.ConstrfuncCount = 1;
    end
end

```

```

if ~isempty(ceq)
    violated = abs(ceq) > tolCon;
    ceq(~violated) = 0;
    output.constrviolation.nonlin_eq{1} = violated;
    output.constrviolation.nonlin_eq{2} = ceq;
    is_violated = is_violated || any(violated(:));
    max_violation = max(max_violation, max(abs(ceq)));
    clear violation violated ceq
end
if ~isempty(c)
    violated = c > tolCon;
    c(~violated) = 0;
    output.constrviolation.nonlin_ineq{1} = violated;
    output.constrviolation.nonlin_ineq{2} = c;
    is_violated = is_violated || any(violated(:));
    max_violation = max(max_violation, max(c));
    clear violation violated c
end
clear c ceq
end

% Adjust output message
if create_output && exitflag == -3
    output.message = sprintf(...
        ' No finite function values encountered.\n');
end
if ~isfield(output, 'message'), output.message = ''; end % (safeguard)
if is_violated
    exitflag = -2;
    message = sprintf(...
        [' Unfortunately, the solution is infeasible for the given value ',...
        'of OPTIONS.TolCon of %1.6e\n Maximum constraint violation: ',...
        '%1.6e'], tolCon, max_violation);
    clear max_violation
else
    if exitflag >= 1, message = sprintf('\b\n and');
    else message = sprintf('\b\n but');
    end
    message = [message, sprintf([' all constraints are satisfied using ',...
        'OPTIONS.TolCon of %1.6e.'], tolCon)];
end

% Display or update output structure
if create_output
    output.message = sprintf('%s\n%s\n', output.message, message); end
if do_display && ~do_global_opt
    fprintf(1, '%s\n', message); end

```



```

% Correct for output possibly wrongfully created above
if ~create_output, output = []; end

end % finalize

% Optimize global problem
function [sol, fval, exitflag, output] = minimize_globally()

% First perform error checks
if isempty(ub) || isempty(lb) || any(isinf(lb)) || any(isinf(ub))
    error('minimize:lbub_undefined',...
        ['When optimizing globally ([x0] is empty), both [lb] and [ub] ',...
        'must be non-empty and finite.'])
end

% Global minimum (for output function)
glob_min = inf;

% We can give the popsize in the options structure,
% or we use 25*(number of dimensions) individuals by default
popsize = getoptimoptions('popsize', 25*numel(lb));

% Initialize population of random starting points
population = repmat(lb,[1,1,popsize]) + ...
    rand(size(lb,1),size(lb,2), popsize).*repmat(ub-lb,[1,1,popsize]);

% Get options, and reset maximum allowable function evaluations
maxiters = getoptimoptions('MaxIter', 200*numel(lb));
maxfuneval = getoptimoptions('MaxFunEvals', 1e4);
MaxFunEval = floor( getoptimoptions('MaxFunEvals', 1e4) / popsize / 1.2);
options = setoptimoptions(options, 'MaxFunEvals', MaxFunEval);

% Create globalized wrapper for outputfunctions
have_glob_OutputFcn = false;
if ~isempty(options.OutputFcn)
    have_glob_OutputFcn = true;
    glob_OutputFcn = options.OutputFcn;
    options.OutputFcn = @glob_OutputFcn_wrapper;
end

% First evaluate output function
if have_glob_OutputFcn
    optimValues.iteration = 0;
    optimValues.x = x0;
    optimValues.fval = glob_min;
    optimValues.procedure = 'init';
    optimValues.funcCount = 0;
    if have_nonlconFcn % constrained problems

```

```

        optimValues.ConstrfuncCount = 1; end % one evaluation in check_input()
    glob_OutputFcn(x0, optimValues, 'init');
end

% Display header
if do_display
    if do_extended_display
        fprintf(1, [' Iter evals   min f(x)   global min f(x)   max violation\n',....
'=====
===\n']);
    else
        fprintf(1, [' Iter evals   min f(x)   global min f(x)\n',....
'=====\\n']);
    end
end

% Slightly loosen options for global method, and
% kill all display settings
global_options = setoptimoptions(options, ...
'TolX' , 1e2 * options.TolX,...
'TolFun' , 1e2 * options.TolFun,...
'display', 'off');

% Initialize loop
best_fval = inf; iterations = 0; obj_evals = 0; new_x = population(:, :, 1);
sol = NaN(size(lb)); fval = inf; exitflag = []; output = struct; con_evals = 0;

% Loop through each individual, and use it as initial value
for ii = 1:popsize

    % Optimize current problem
    [sol_i, fval_i, exitflag_i, output_i] = ...
        minimize(funfcn, population(:, :, ii), ...
        A,b, Aeq,beq, lb,ub, nonlcon, global_options);

    % Add number of evaluations and iterations to total
    if ~have_nonlconFcn % unconstrained problems
        obj_evals = obj_evals + output_i.funcCount;
    else % constrained problems
        obj_evals = obj_evals + output_i.ObjfuncCount;
        con_evals = con_evals + output_i.ConstrfuncCount;
    end
    iterations = iterations + output_i.iterations;

    % Keep track of the best solution found so far
    if fval_i < best_fval
        % output values
        fval = fval_i; exitflag = exitflag_i;
    end
end

```

```

    sol = sol_i;  output  = output_i;
    % and store the new best
    best_fval = fval_i;
end

% Reset output structure
if create_output
    if ~have_nonlconFcn % unconstrained problems
        output.funcCount = obj_evals;
    else % constrained problems
        output.ObjfuncCount = obj_evals;
        output.ConstrfuncCount = con_evals;
    end
    output.iterations = iterations;
end

% Display output so far
if do_display
    % iter-detailed: include max. constraint violation
    if do_extended_display
        % do a dummy finalization to get the maximum violation
        output_j = finalize(sol, output, exitflag_i);
        max_violation = 0;
        if have_nonlconFcn
            max_violation = max([max_violation
                output_j.constrviolation.nonlin_ineq{2}
                abs(output_j.constrviolation.nonlin_eq{2})]);
        end
        if have_lineqconFcn
            max_violation = max([max_violation
                abs(output_j.constrviolation.lin_eq{2})]);
        end
        if have_linineqconFcn
            max_violation = max([max_violation
                output_j.constrviolation.lin_ineq{2}]);
        end
        % print everything
        fprintf(1, '%4.0d%8.0d%14.4e%15.4e%16.4e\n', ...
            ii,obj_evals, fval_i,best_fval,max_violation);
    % iter: don't
    else
        % just print everything
        fprintf(1, '%4.0d%8.0d%14.4e%15.4e\n', ...
            ii,obj_evals, fval_i,best_fval);
    end
end
end

```

```

% MaxIters & MaxEvals check. The output function may also have
% stopped the global optimization
% TODO: iterations...Document this change
if (exitflag_i == -1) || ...%(iterations >= maxiters) || ...
    (obj_evals >= maxfuneval)
    % finalize solution
    [dummy, exitflag] = finalize(sol, output, exitflag);%#ok
    % and break (NOT return; otherwise the last evaluation of
    % the outputfunction will be skipped)
    break
end

end % for

% final evaluate output function
if have_glob_OutputFcn
    optimValues.iteration = iterations;
    optimValues.procedure = 'optimization complete';
    optimValues.fval      = fval;
    optimValues.x         = sol;
    optimValues.funcCount = obj_evals;
    if have_nonlconFcn % constrained problems
        optimValues.ConstrfuncCount = con_evals; end
    glob_OutputFcn(sol, optimValues, 'done');
end

% check for INF or NaN values. If there are any, finalize
% solution and return
if ~isfinite(fval)
    [output, exitflag] = finalize(sol, output, -3); return; end

% Reset max. number of function evaluations
options.MaxFunEvals = maxfuneval - obj_evals;
if have_nonlconFcn % correction for constrained problems
    options.MaxFunEvals = maxfuneval - obj_evals - con_evals; end

% Make 100% sure the display is OFF
options.Display = 'off';

% Perform the final iteration on the best solution found
% NOTE: minimize with the stricter options
[sol, fval, exitflag, output_i] = minimize(...
    funfcn, sol,...
    A,b, Aeq,beq, lb,ub, nonlcon, options);

% Adjust output
if create_output
    if ~have_nonlconFcn % unconstrained problems
        output.funcCount = output.funcCount + output_i.funcCount;
    end
end

```

```

        else % constrained problems
            output.ObjfuncCount = output.ObjfuncCount + output_i.ObjfuncCount;
            output.ConstrfuncCount = output.ConstrfuncCount +
output_i.ConstrfuncCount;
        end
        output.iterations = output.iterations + output_i.iterations;
    end

    % Get the final display right
    if do_display
        fprintf(1, output_i.message); end

    % Create temporary message to get the display right
    output.message = output_i.message;

    % Globalized wrapper for output functions
    function stop = glob_OutputFcn_wrapper(x, optimvalues, state)
        % only evaluate if the current function value is better than
        % the best thus far found. Also evaluate on on first and last call
        stop = false;
        if (optimvalues.fval <= glob_min) &&...
            ~any(strcmpi(state, {'done'; 'init'}))
            glob_min = optimvalues.fval;
            stop = glob_OutputFcn(x, optimvalues, state);
        end
    end

end % minimize_globally

% Safe getter for (customized) options structure.
% NOTE: this is a necessary workaround, because optimget() does not
% handle non-standard fields.
function value = getoptimoptions(parameter, defaultValue)

    if ~ischar(parameter)
        error('getoptimoptions:invalid_parameter',...
            'Expected parameter of type "char", got "%s".', class(parameter));
    end

    value = [];
    if isfield(options, parameter) && ~isempty(options.(parameter))
        value = options.(parameter);
    elseif nargin == 2
        value = defaultValue;
    end
end

end % function

```

```

% SETOPTIMOPTIONS           Optimization options for minimize
%
% Usage:
%
%   options = setoptimoptions('param1',value1, 'param2',value2, ...)
%
% Options used by minimize() are:
%
%   Same as in <a href="matlab:doc('optimset')">optimset</a>:
%   - TolFun, TolX, OutputFcn, PlotFcn, MaxIter, MaxFunEvals, Display.
%
%   Specific to <a href="matlab:doc('minimize')">minimize</a>:
%   - TolCon
%       Tolerance used on any constraint. This means that minimize()
%       considers the constraints are only violated when they exceed
%       this amount of violation; otherwise, the constraints are
%       considered met. Defaults to 1e-8.
%
%   - GradObj
%       Specifies whether the objective function returns gradient
%       information as its second output argument. Valid values are
%       'on' and 'off' (the default). In case this option is set to
%       'off', gradient information is computed via finite
%       differences.
%
%   - GradConstr
%       Specifies whether the non-linear constraint function returns
%       Jacobian information as its third and fourth output arguments.
%       Valid values are 'on' and 'off' (the default). In case this
%       option is 'off', Jacobian information is computed via finite
%       differences.
%
%   - FinDiffType
%       Type of finite differences to use. Valid values are 'forward'
%       (the default), 'backward', and 'central'. Central differences
%       provide the best accuracy, but require twice as many function
%       evaluations.
%
%   - DiffMaxChange
%       Maximum change in the objective/constraint function to use when
%       computing gradient/Jacobian information with finite
%       differences. Defaults to 1e-1.
%
%   - DiffMinChange
%       Minimum change in the objective/constraint function to use when
%       computing gradient/Jacobian information with finite

```

```

% differences. Defaults to 1e-8.
%
% - AlwaysHonorConstraints    {'none'} 'bounds' 'all'
% By default, minimize() will assume the objective (and
% constraint) function(s) can be evaluated at ANY point in
% RN-space; the initial estimate does not have to lie in the
% feasible region, and intermediate solutions are also allowed
% to step outside this area. this is equal to setting this option
% to 'none'.
%
% If the objective function cannot be evaluated outside the
% feasible region, set this argument to 'bounds' (bound
% constraints will never be broken) or 'all' (also the linear
% constraints will never be broken). Note that the non-linear
% constraints will remain satisfied within options.TolCon.
%
% When using 'Bounds' or 'All', the initial estimate [x0]
% MUST be feasible. If it is not feasible, an error is produced
% before the objective function is ever evaluated.
%
% - Algorithm
% By default, this is set to MATLAB's own derivative-free
% Nelder-Mead algorithm, implemented in fminsearch.
% minimize() supported another algorithm, fminlbfgs, a limited-memory,
% Broyden/Fletcher/Goldfarb/Shanno optimizer. Use this algorithm
% when your objective function has many free variables, e.g., [x]
% is large.
%
% - popsize
% Used by the global optimization routine. This is the number of
% randomized initial values to use, and thus the number of times
% to repeat the call to minimize(). Defaults to 20× the number of
% elements in [x0].
%
% Specific to fminlbfgs:
% - GoalsExactAchieve
% If set to 0, a line search method is used which uses a few
% function calls to do a good line search. When set to 1 a normal
% line search method with Wolfe conditions is used (default).
%
% - HessUpdate
% If set to 'bfgs', Broyden's ½ Fletcher's ½ Goldfarb's ½ Shanno
% optimization is used (default), when the number of unknowns is
% larger then 3000 the function will switch to Limited memory BFGS,

```

```
%      or if you set it to 'lbfgs'. When set to 'steepdesc', steepest
%      decent optimization is used.
%
%      - StoreN
%      Number of iterations used to approximate the Hessian,
%      in L-BFGS, 20 is default. A lower value may work better with
%      non smooth functions, because the Hessian is only valid for
%      a specific position. A higher value is recommended with quadratic
%      equations.
%
%      - rho
%      Wolfe condition on gradient (c1 on wikipedia), default 0.01.
%
%      - sigma
%      Wolfe condition on gradient (c2 on wikipedia), default 0.9.
%
%      - tau1
%      Bracket expansion if stepsize becomes larger, default 3.
%
%      - tau2
%      Left bracket reduction used in section phase, default 0.1.
%
%      - tau3
%      Right bracket reduction used in section phase, default 0.5.
%
% See also optimset, optimget.
```

```
% Please report bugs and inquiries to:
%
% Name      : Rody P.S. Oldenhuis
% E-mail    : oldenhuis@gmail.com (personal)
%           : oldenhuis@luxspace.lu (professional)
% Affiliation: LuxSpace sàrl
% Licence   : BSD
```

```
% Changelog
%{
2014/July/07 (Rody Oldenhuis)
- FIXED: loop range for toolbox options was set to the wrong options list.
  Problem reported by pag (https://github.com/pag). Thanks!

2014/March/13 (Rody Oldenhuis)
- Finally did the docs!

2014/February/19 (Rody Oldenhuis)
- initial version
```



```

%}

% TODO
%{
check in what MATLAB version inputParser() was introduced
%}
function options = setoptimoptions(varargin)

    % If you find this work useful, please consider a donation:
    % https://www.paypal.com/cgi-bin/webscr?cmd=\_s-xclick&hosted\_button\_id=6G3S5UYM7HJ3N

    %% Initialize

    % NOTE: a lot of this can be done with inputParser(). It has been
    % implemented like this to support older versions of MATLAB

    % Check if we have the "advanced" optimset/optimget
    persistent haveOptimToolbox
    if isempty(haveOptimToolbox)
        haveOptimToolbox = ~isempty(ver('optim')); end

    oldOptions = [];
    if nargin >= 1 && isstruct(varargin{1})
        oldOptions = varargin{1};
        parameters = varargin(2:2:end);
        values     = varargin(3:2:end);
    else
        % Basic check on inputs
        if mod(nargin,2)~=0
            error('setoptimoptions:pvpairs_expected',...
                'setoptimoptions expects parameter-value pairs.');
        end

        parameters = varargin(1:2:end);
        values     = varargin(2:2:end);
    end

    %% Delegate part of the work to optimset()

    % TODO: GradConstr means something else in fminlbfgs() than in minimize()

    % Custom options
    customOptions = {
        %{
        minimize() native
        %}
        'AlwaysHonorConstraints' , 'none' % "strictness"
        'Algorithm'              , 'fminsearch'
    }

```

```

'ConstraintsInObjectiveFunction', false
'FinDiffType'           , 'forward' % forward, backward, central, adaptive,
'popsize'               , []
%{
Specific to fminlbfgs()
%}
'GoalsExactAchieve'     , 1         % Normal line search with Wolfe conditions
'HessUpdate'            , 'bfgs'    % bfgs, lbfgs, steepdesc
'StoreN'                 , 20        % Number of iterations used to approximate the
Hessian
'rho'                   , 0.01      % Wolfe condition on gradient (c1 on wikipedia)
'sigma'                 , 0.9       % Wolfe condition on gradient (c2 on wikipedia)
'tau1'                  , 3         % Bracket expansion if stepsize becomes larger
'tau2'                  , 0.1       % Left bracket reduction used in section phase
'tau3'                  , 0.5       % Right bracket reduction used in section phase
};

customParameter = false(size(parameters));
for ii = 1:size(customOptions,1)
    customParameter = customParameter | strcmpi(parameters, customOptions{ii,1});
end

% Options only in the optimization toolbox version of optimset
if ~haveOptimToolbox
    toolboxOptions = {
        'TolCon'        , 1e-8
        'GradObj'        , []
        'GradConstr'     , []
        'DerivativeCheck', 'off'
        'FinDiffType'    , 'forward'
        'DiffMaxChange'  , 1e-1
        'DiffMinChange'  , 1e-8
    };

    for ii = 1:size(toolboxOptions,1)
        customParameter = customParameter | strcmpi(parameters,
toolboxOptions{ii,1}); end
    end

% Delegate all non-custom parameters to optimset
delegate = [parameters(~customParameter); values(~customParameter)];
options = optimset(delegate{:});

% Initialize all custom parameters to their default values
for ii = 1:size(customOptions,1)
    options.(customOptions{ii,1}) = customOptions{ii,2}; end
if ~haveOptimToolbox

```

```

    for ii = 1:size(toolboxOptions,1)
        options.(toolboxOptions{ii,1}) = toolboxOptions{ii,2}; end
    end

    % Merge any old options with new ones
    if ~isempty(oldOptions)

        fOld = fieldnames(oldOptions);

        % Custom options
        for ii = 1:numel(customOptions(:,1))
            if ~any(strcmpi(parameters, customOptions{ii,1})) && isfield(oldOptions,
customOptions{ii,1}) && ~isempty(oldOptions.(customOptions{ii,1}))
                options.(customOptions{ii,1}) = oldOptions.(customOptions{ii,1});
                fOld(strcmpi(fOld,customOptions{ii,1})) = [];
            end
        end

        % Toolbox options
        if ~haveOptimToolbox
            for ii = 1:numel(toolboxOptions(:,1))
                if ~any(strcmpi(parameters, toolboxOptions{ii,1})) && isfield(oldOptions,
toolboxOptions{ii,1}) && ~isempty(oldOptions.(toolboxOptions{ii,1}))
                    options.(toolboxOptions{ii,1}) = oldOptions.(toolboxOptions{ii,1});
                    fOld(strcmpi(fOld,toolboxOptions{ii,1})) = [];
                end
            end
        end

        % All other options
        if ~isempty(fOld)
            for ii = 1:numel(fOld)
                if ~any(strcmpi(parameters, fOld{ii})) && isfield(oldOptions, fOld{ii}) &&
~isempty(oldOptions.(fOld{ii}))
                    options.(fOld{ii}) = oldOptions.(fOld{ii}); end
            end
        end
    end

    %% Parse the PV-pairs

    % The remainder
    parameters = parameters(customParameter);
    values     = values(customParameter);

    if ~isempty(parameters)
        for ii = 1:numel(parameters)

```

```

parameter = parameters{ii};
value      = values{ii};

switch lower(parameter)

%% Optimize native

case 'algorithm'
    if ~isValidString(value, {'fminsearch', 'fminlbfgs'}, parameter)
        continue; end
    options.Algorithm = value;

case 'alwaysshonorconstraints'
    if ~isValidString(value, {'none', 'bounds', 'all'}, parameter)
        continue; end
    options.AlwaysHonorConstraints = value;

case 'constraintsinobjectivefunction'
    if ~isClass('logical', value)
        continue; end
    options.ConstraintsInObjectiveFunction = value;

case 'findifftype'
    if ~isValidString(value, {'forward', 'backward', 'central', 'adaptive'},
parameter)
        continue; end
    options.FinDiffType = value;

case 'popsize'
    if ~isClass('numeric', value)
        continue; end
    options.popsize = value;

%% Fminlbfgs

case 'goalsexactachieve'
    if ~isClass('numeric', value)
        continue; end
    options.GoalsExactAchieve = isfinite(value(1)) && value(1)~=0;

case 'hessupdate'
    if ~isValidString(value, {'bfgs', 'lbfgs', 'steepdesc'}, parameter)
        continue; end
    options.HessUpdate = value;

case 'storen'
    if ~isClass('numeric', value)
        continue; end
    options.StoreN = abs(value(1));

```

```

case 'rho'
    if ~isClass('numeric', value)
        continue; end
    options.rho = value(1);

case 'sigma'
    if ~isClass('numeric', value)
        continue; end
    options.sigma = value(1);

case 'tau1'
    if ~isClass('numeric', value)
        continue; end
    options.tau1 = value(1);

case 'tau2'
    if ~isClass('numeric', value)
        continue; end
    options.tau2 = value(1);

case 'tau3'
    if ~isClass('numeric', value)
        continue; end
    options.tau3 = value(1);

%% Optimization toolbox

otherwise
    if ~haveOptimToolbox
        switch lower(parameter)
            case 'tolcon'
                if ~isClass('numeric', value)
                    continue; end
                options.TolCon = value(1);

            case 'gradobj'
                % TODO: support function handles?
                % TODO: Support string functions?
                if ~isValidString(value, {'on', 'off'}, parameter)
                    continue; end
                options.GradObj = value;

            case 'gradconstr'
                % TODO: support function handles?
                % TODO: Support string functions?
                if ~isValidString(value, {'on', 'off'}, parameter)
                    continue; end
        end
    end
end

```

```

options.GradConstr = value;

case 'derivativecheck'
    if ~isValidString(value, {'yes', 'no'}, parameter)
        continue; end
    % TODO: valid strings
    options.DerivativeCheck = value;

case 'findifftype'
    if ~isValidString(value, {'central', 'forward', 'backward'}, parameter)
        continue; end
    options.FinDiffType = value;

case 'diffmaxchange'
    if ~isClass('numeric', value)
        continue; end
    options.DiffMaxChange = value(1);

case 'diffminchange'
    if ~isClass('numeric', value)
        continue; end
    options.DiffMinChange = value(1);

otherwise
    warning(...
        'setoptimoptions:unknown_option',...
        'Unknown option: "%s". Ignoring...', parameter);
    continue
end
else
    warning(...
        'setoptimoptions:unknown_option',...
        'Unknown option: "%s". Ignoring...', parameter);
    continue
end

end % switch
end % for
end % if

end % function

% Check if given string is one of the supported options. Generate
% appropriate warning messages if this is not the case.

% NOTE: this is virtually the same as validatestring(), but this version
% can also be used on older versions of MATLAB
function go_on = isValidString(received, validStrings, parameter)

```

```

go_on = true;

if ~isClass('char', received)
    go_on = false; return; end

if ~any(strcmpi(received, validStrings))

    validStrings = [
        cellfun(@(x) ['" x "', ], validStrings(1:end-1), 'UniformOutput', false),...
        ['and "' validStrings(end) '".']
    ];
    warning(...
        'setoptimoptions:unsupported_value',...
        ['Unsupported option "%s" for parameter "%s".\n',...
        'Valid options are: ' validStrings{:} '\nUsing default...'], ...
        received, parameter);

    go_on = false;
end

end

% Check if class of given value is correct. Generate appropriate warning
% message if this is not the case.

% NOTE: this is virtually the same as validateattributes(), but this
% version can also be used on older versions of MATLAB
function go_on = isClass(expected, received)

    go_on = true;

    % More than one type may be allowable:
    if ischar(expected)
        isCorrectClass = isa(received, expected);

    elseif iscell(expected)
        isCorrectClass = any(cellfun(@(x) isa(received, x), expected));

    else
        error(...
            'setoptimoptions:BUG',...
            'An internal error has occurred; please report this to the lead developer.');
```

```

end

% Generate warning if the type is not any of the supported ones
if ~isempty(received) && ~isCorrectClass
    warning(...
        'setoptimoptions:invalid_value',...
        'Expected "%s", got "%s". Ignoring...', expected, class(received));
    go_on = false;
end

```

```

end

end

% Check validity of file/function given as string
% NOTE: this functionality is deprecated, so a warning is ALWAYS issued.
function [go_on, value] = checkFunction(value)

    go_on = true;

    if ischar(value)

        warning(...
            'setoptimoptions:deprecated',...
            'Using string functions or file names of MATLAB functions is deprecated; please
            use function_handles.');
```

```

        if ~any(exist(value,'file')==[2 3 5 6])
            warning(...
                'setoptimoptions:invalid_script',...
                ['The file/function "%s" is invalid, or is not on the MATLAB search path.\n',...
                'Using default...'], value);
            go_on = false;
        end
    end

    % Strip any extension, and convert to valid function handle
    value = str2func(regexprep(value, '\.w*$', ''));
end
end

```



```

function objFcn = PBE(kinPar_scaled,alpha,aqEqbrmPar,tExp,CaExp)
%
% This function computes the sum of squared residuals between experimental and
% model Ca2+ mole amounts
% Usage:
% objFcn = PBE(kinPar_scaled,alpha,aqEqbrmPar,tExp,CaExp)
%
% Input:
% kinPar_scaled = model parameters for PBE simulations scaled to be near unity
% alpha = vector of factors used for scaling the model parameters
% aqEqbrmPar = input parameters for aqEQBRM function (activity coefficient
% parameters, laws of mass actions, etc. as prepared in the accompanying excel sheet
% 'aqEqbrmPar.xlsx')
% tExp = time data points at which experimental data are used for fitting (min)
% CaExp = Experimental Ca2+ data (mol)
%
% Output
% objFcn = Sum of squared residuals scaled to be near unity
%
% This function is part of the MATLAB workflow on "population balance modeling of
% calcium-silicate-hydrate precipitaion" developed by M. Reza Andalibi at Paul Scherrer
% Institute/EPFL (2017).
% Please cite our article:
% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Calcium-Silicate-Hydrate
% Precipitation: A Population Balance Modeling Approach", 2017.

%% For testing some set of parameters, set function declaration as comment and
% uncomment the following section
%-----%
%-----%
% clear; clc; close All;
% aqEqbrmPar = dlmread('aqEqbrmPar.txt'); % Parameters for speciation code
% expData = dlmread('expData.txt'); % Ca2+ (mol) vs. time (min) data
% tExp = expData(:,1);
% CaExp = expData(:,2);
%
% gamm0 = 0.0556624301038742; % Interfacial tension; J/m2
% relSig0 = 0.846087883933104; % Adhesion energy normalized by interfacial tension
% kr0 = 2.25193418641641e-09; % Growth rate constant in growth expression
% g0 = 1.80427522610120; % Relative supersaturation power in rate equationon
% aspRatio0 = 0.509208705257783; % Aspect ratio of crystallites
%
% kinPar0 = [gamm0;relSig0;kr0;g0;aspRatio0]; % Initial guess
% alpha = [100;1;1e+9;1;1]; % Scaling factor
%
% kinPar0_scaled = kinPar0.*alpha;
% kinPar_scaled = kinPar0_scaled;

```

```

%-----%
%-----%

%% System and model specification
Q1 = 2e-6/60; % Flow rate; m3/s

% Kinetic parameters
D = 1.4e-09; % Apparant diffusion coefficient from Stokes-Einstein equation
kinPar = kinPar_scaled./alpha;
gamm = kinPar(1);
relSig = kinPar(2);
kr = kinPar(3);
g = kinPar(4);
aspRatio = kinPar(5);

%---Invariable parameters---%
qN = 3; % No. of quadrature nodes
tStart = 60; % Modeling starts at t=1 min (60 s) of experimental data collection (to
abstain from zero Si and Na)
SiSolnVol = 222e-6-Q1*tStart; % Total volume of Si solution to be added gradually (m3)
kA = 2*aspRatio*(aspRatio+2); % Crystallite surface area shape factor
kV = aspRatio^2; % Crystallite volume shape factor
B = 4*kA^3/27/kV^2; % Crystallite shape factor
rhoMolar = 1/49.2e-6; % Solid molar density (mol/m3)
NA = 6.0221409e+23; % Avogadro's number
Omega = 49.2e-6/NA; % Monomer volume (m3)
Lm = Omega^(1/3); % Monomer size
solventOmega = 3.00e-29; % Molecular volume of solvent (water; m3)
kB = 1.38064852e-23; % Boltzmann's constant (J/K)
T = 298; % Experimental temperature (K)
V0 = 200e-6+Q1*tStart; % Initial reaction vessel volume (at t=60 sec of experiment); m3
nu = 2; % The number of species (typically ions) produced upon C-S-H dissolution
Ksp = 6.031250e-08; % Adjusted solubility product according to Kersten's model;
unadjusted value is 3.5420E-07 (mol/L)^2
cO = 55.4841995204874e+3; % Inlet O concentration; mol/m3
cSi = 0.00901339390334036e+3; % Inlet Si concentration; mol/m3
cNa = 0.117174120743425e+3; % Inlet Na concentration; mol/m3
NS = aqEqbrmPar(1,1); % Total number of aqueous species

%---Dependent kinetic parameters---%
gammEff = gamm-relSig*gamm/2/(aspRatio+2); % Effective interfacial tension for
secondary nucleation; J/m2
AI = D*sqrt((kB*T/gamm)^3/(3*pi*B))/Lm^5/solventOmega; % Primary nucleation pre-
exponential term; # nuclei/(m3 solution*s)
AII = D*sqrt((kB*T/gammEff)^3/(3*pi*B))/Lm^4/solventOmega; % Pre-exponential factor
in secondary nucleation rate expression (#/(m2*s))

%% Initial conditions for moments

```

```

n0 = 1e-10; % Number of particles initially in solution; a very small number is used
rather than zero to prevent numerical problems
L0 = 1e-12; % m; mean size of initial particles; a very small number is used rather than
zero to prevent numerical problems
y0 = zeros(1,2*qN+4); % ODE dependent variables (2*qN moments plus elemental
amounts)
y0(1) = n0*L0^0/V0;
y0(2) = n0*L0^1/V0;
y0(3) = n0*L0^2/V0;
y0(4) = n0*L0^3/V0;
y0(5) = n0*L0^4/V0;
y0(6) = n0*L0^5/V0;

%% Initial conditions for aqueous O, Ca, Si, Na, and N amounts: 1 min taken as t0 = 0
y0(7) = 11.08144+Q1*tStart*cO; % Initial moles of aqueous O
y0(8) = 4e-3; % Initial moles of aqueous Ca
y0(9) = Q1*tStart*cSi; % Initial moles of aqueous Si
y0(10) = Q1*tStart*cNa; % Initial moles of aqueous Na
nN = 8e-3; % Nitrogen amount in system; mol

%% Solution of ODE set
defaultLength = 10000; % A large enough number to initialize the matrices of various
variables
tStepAqEQBRM = 4; % Time step before precipitation starts (s)
tStepNucl = 8; % Time step during nonzero nucleation (s)
tStepGrowth = 20; % Time step during mere growth (s)
tStepEQBRM = 20; % Time step after nucleation and growth almost stop (s)
tStopAdd = SiSolnVol/Q1; % Time (sec) after which no more silicate solution is being
added
tSimEnd = (tExp(end)+1)*60; % Time (sec) at the last data point is simulated

niAqEQ = zeros(NS,defaultLength); % Local-equilibrium molar abundances of aqueous
species
miAqEQ = zeros(NS,defaultLength); % Local-equilibrium molalities of aqueous species
actCoeffiAqEQ = zeros(NS,defaultLength); % Local-equilibrium molal activity
coefficients of aqueous species
S_CSH = zeros(defaultLength,1); % Supersaturation ratio with respect to C-S-H
Ca = zeros(defaultLength,1); % Local-equilibrium molar abundances of Ca2+ ions
t = zeros(defaultLength,1); % time (sec)
V = [V0;zeros(defaultLength-1,1)]; % Volume of the reaction medium (m3)
y = [y0;zeros(defaultLength-1,2*qN+4)]; % ODE dependent variables
JI = zeros(defaultLength,1); % Rate of primary nucleation ( # nuclei/s); at time zero
there should not be any nucleation otherwise this has to be corrected
JII = zeros(defaultLength,1); % Rate of secondary nucleation ( # nuclei/s)
nCrystallite = zeros(defaultLength,1); % Total number of precipitated crystallites
nParticle = zeros(defaultLength,1); % Total number of precipitated particles
avgCrystL = zeros(defaultLength,1); % Average crystallites thickness

```

```

avgPartL = zeros(defaultLength,1); % Average particle edge length
xA = 1; % The available fraction of crystallite surface area for secondary nucleation

Be = [y0(:,2*qN+1:10)';nN]; % Total molar abundances of different elements present in
the aqueous solution (here at the beginning)
[niAqEQ(:,1),miAqEQ(:,1),actCoeffiAqEQ(:,1)] = aqEQBRM(aqEqbrmPar,Be); % Initial
speciation calculation
S_CSH(1) = (miAqEQ(12,1)*actCoeffiAqEQ(12,1) ...
            *sqrt(miAqEQ(3,1)*actCoeffiAqEQ(3,1)...
            *miAqEQ(6,1)*actCoeffiAqEQ(6,1)) / Ksp)^(1/nu);
Ca(1) = niAqEQ(2,1);

particleSwitch = 0; % Switch for the presence of particles in system; before first
nucleation event, the switch is 0 meaning there are no particles
nuclLim = 0.01; % Minimum nucleation rate (#/s) where nucleation switch will be turned
on
i = 1; % While loop counter

options = odeset('NonNegative',ones(1,2*qN+4),'Vectorized','on','RelTol',1e-8);

while t(i)<=tSimEnd
    if t(i)>=tStopAdd % Stop reactant addition when it is finished
        Q1=0;
    end

    if (JI(i)>=nuclLim) || (JII(i)>=nuclLim)
        nuclSwitch = 1; % Switch for nucleation event
        particleSwitch = 1;
    else
        nuclSwitch = 0;
    end

    if (S_CSH(i)<=1) && (nuclSwitch == 0) && (particleSwitch == 0) % Undersaturated
system
        t(i+1) = t(i)+tStepAqEQBRM;
        V(i+1) = V(i)+Q1*tStepAqEQBRM;
        y(i+1,:) = [y(i,1:2*qN),...
                    y(i,2*qN+1)+Q1*tStepAqEQBRM*cO,...
                    y(i,2*qN+2),...
                    y(i,2*qN+3)+Q1*tStepAqEQBRM*cSi,...
                    y(i,2*qN+4)+Q1*tStepAqEQBRM*cNa];
    elseif (S_CSH(i)>1) && (nuclSwitch == 0) && (particleSwitch == 0) % Supersaturated
system with no precipitation (metastable)
        t(i+1) = t(i)+tStepAqEQBRM;
        V(i+1) = V(i)+Q1*tStepAqEQBRM;
        y(i+1,:) = [y(i,1:2*qN),...
                    y(i,2*qN+1)+Q1*tStepAqEQBRM*cO,...
                    y(i,2*qN+2),...

```

```

        y(i,2*qN+3)+Q1*tStepAqEQBRM*cSi,...
        y(i,2*qN+4)+Q1*tStepAqEQBRM*cNa];
elseif (S_CSH(i)>1) && (nuclSwitch == 1) && (particleSwitch == 1) % Onset of
precipitation
    t(i+1) = t(i)+tStepNucl;
    V(i+1) = V(i)+Q1*tStepNucl;
    tspan = [t(i) t(i+1)];
    [tTemp,yTemp] = ode15s(@(t,y)
equationSetVectorized(t,y,qN,Ksp,S_CSH(i),V(i),Q1,cO,cSi,cNa,gamm,gammEff,xA,Al,
All,kr,g,...
    kA,kV,B,rhoMolar,Omega,kB,T,nu),tspan,y(i,:),options);
    y(i+1,:) = yTemp(end,:);
elseif (S_CSH(i)>1) && (nuclSwitch == 0) && (particleSwitch == 1) % Only growth (no
further nucleation)
    t(i+1) = t(i)+tStepGrowth;
    V(i+1) = V(i)+Q1*tStepGrowth;
    tspan = [t(i) t(i+1)];
    [tTemp,yTemp] = ode15s(@(t,y)
equationSetVectorized(t,y,qN,Ksp,S_CSH(i),V(i),Q1,cO,cSi,cNa,gamm,gammEff,xA,Al,
All,kr,g,...
    kA,kV,B,rhoMolar,Omega,kB,T,nu),tspan,y(i,:),options);
    y(i+1,:) = yTemp(end,:);
elseif (0.99<=S_CSH(i)<=1) && (nuclSwitch == 0) && (particleSwitch == 1) %
Equilibrium
    t(i+1) = t(i)+tStepEQBRM;
    V(i+1) = V(i)+Q1*tStepEQBRM;
    y(i+1,:) = [y(i,1:2*qN),...
        y(i,2*qN+1)+Q1*tStepEQBRM*cO,...
        y(i,2*qN+2),...
        y(i,2*qN+3)+Q1*tStepEQBRM*cSi,...
        y(i,2*qN+4)+Q1*tStepEQBRM*cNa];
else
    error('Abnormality in supersaturation ratio, nucleation switch, and/or particle switch
encountered!')
end

% Updating the local-equilibrium speciation
Be = [y(i+1,2*qN+1:2*qN+4)';nN];
[niAqEQ(:,i+1),miAqEQ(:,i+1),actCoeffiAqEQ(:,i+1)] =
aqEQBRM(aqEqbrmPar,Be,niAqEQ(:,i));

S_CSH(i+1) = (miAqEQ(12,i+1)*actCoeffiAqEQ(12,i+1) ...
    *sqrt(miAqEQ(3,i+1)*actCoeffiAqEQ(3,i+1)...
    *miAqEQ(6,i+1)*actCoeffiAqEQ(6,i+1)) / Ksp)^(1/nu);
Ca(i+1) = niAqEQ(2,i+1);

% Critical size of nuclei, nucleation rates and their activation barriers

```

```

if (S_CSH(i+1)>1)
    JI(i+1) = V(i+1)*AI*(nu*log(S_CSH(i+1)))^2*exp(-
B*gamma^3*Omega^2/kB^3/T^3/nu^2/log(S_CSH(i+1))^2); % # nuclei/s
    JII(i+1) = V(i+1)*AI*(nu*log(S_CSH(i+1)))^2*xA*kA*y(i+1,3)*exp(-
B*gammaEff^3*Omega^2/kB^3/T^3/nu^2/log(S_CSH(i+1))^2); % # nuclei/s
else
    JI(i+1) = 0;
    JII(i+1) = 0;
end

% Integration intervals for counting the number of particles
interval = zeros(i,1);
for j=1:i
    interval(j,1) = t(j+1)-t(j);
end

nParticle(i+1) = sum(JI(1:i).*interval); % # of (secondary) polycrystalline particles =
number of primary nuclei
if (nParticle(i+1)<1)
    nParticle(i+1) = 0;
    nCrystallite(i+1) = 0;
    avgCrystL(i+1) = 0;
    avgPartL(i+1) = 0;
else
    nCrystallite(i+1) = sum( (JI(1:i)+JII(1:i)).*interval ); % # of crystallites = m_0*V
    avgCrystL(i+1) = (y(i+1,4)*V(i+1)/nCrystallite(i+1))^(1/3); % Mean crystallite size; m
    avgPartL(i+1) = avgCrystL(i+1)*sqrt(kV*nCrystallite(i+1)/nParticle(i+1)); % Mean
particle size (avgCrystL*avgPartL*avgPartL); m
    xA = 4*avgCrystL(i+1)*avgPartL(i+1)*nParticle(i+1)/(kA*y(i+1,3)*V(i+1)); %
Updating xA
end

i = i+1;
end

%% Removing zeros in the default initialization
Ca = Ca(1:i,1);
t = t(1:i,1);

%% Interpolation at time values corresponding to experimental data-points
tMinute = (tStart+t)/60; % Correcting time and conversion from sec to min
Calnt = interp1(tMinute,Ca,tExp);

%% Objective function value
objFcn = 1e+6*sum((CaExp-Calnt).^2);

%% For testing some set of parameters, set function declaration as comment and
uncomment the following section to see some key outputs

```

```

%-----%
-----%
% fig = fig('Name','Fitted Ca2+ mole amounts','Color','white');
% ax = axes('Parent',fig);
% plot(tExp,CaExp,'bo','MarkerSize',5); hold on;
% plot(tExp,CaInt,'r-','LineWidth',2);
% set(ax,'FontSize',22,'FontWeight','bold');
% % axis square
% xlabel('Time (min)')
% ylabel('Aqueous Ca2+ amount (mol)')
% hold off
% avgCrystL = 1e+9*avgCrystL(1:i,1); % nm
% avgPartL = 1e+9*avgPartL(1:i,1); % nm
% avgCrystL(end)
% avgPartL(end)
% S_Port = sqrt(
(miAqEQ(2,:).*actCoeffiAqEQ(2,:).*(miAqEQ(6,:).*actCoeffiAqEQ(6,:)).^2)/3.85080e-6 );
% max(S_Port)
%-----%
-----%

```

```

function dydt =
equationSetVectorized(t,y,qN,Ksp,S,V,Q1,cO,cSi,cNa,gamm,gammEff,xA,AI,AII,kr,g,av
gCrystL,...
    kA,kV,B,rhoMolar,Omega,kB,T,nu)
%
% This function generates the set of ODEs (PBE + mass balances) to be numerically
% solved for computational modeling of C-S-H precipitation
%
% Usage:
% dydt =
equationSetVectorized(t,y,qN,Ksp,S,V,Q1,cO,cSi,cNa,gamm,gammEff,xA,AI,AII,kr,g,av
gCrystL,kA,kV,B,rhoMolar,Omega,kB,T,nu)
%
% Input:
% t = Time;
% y = Dependent variables (moments + elemental abundances)
% qN = No. of quadrature nodes
% Ksp = Adjusted solubility product according to Kersten's model; unadjusted value is
3.5420E-07 (mol/L)^2
% S = Supersaturation ratio
% V = System volume
% Q1 = Reactant flow rate
% cO = Inlet O concentration; mol/m3
% cSi = Inlet Si concentration; mol/m3
% cNa = Inlet Na concentration; mol/m3
% gamm = Interfacial tension
% gammEff = Effective interfacial tension for secondary nucleation
% xA = The available fraction of crystallite surface area for secondary nucleation
% AI = Primary nucleation pre-exponential term
% AII = Secondary nucleation pre-exponential term
% kr = Growth rate constant in growth expression
% g = Relative supersaturation power in rate equationon
% avgCrystL = Average crystallites thickness
% kA = Surface area shape factor
% kV = Volume shape factor
% B = Shape factor
% rhoMolar = Solid molar density
% Omega = Monomer volume
% kB = Boltzmann's constant
% T = Temperature
% nu = The number of species produced upon C-S-H dissolution
%
% Output:
% dydt = ODE describing the respective dependent variable written in a vectorized
manner
%

```


% This function is part of the MATLAB workflow on "population balance modeling of calcium-silicate-hydrate precipitation" developed by M. Reza Andalibi at Paul Scherrer Institute/EPFL (2017).

% Please cite our article:

% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Calcium-Silicate-Hydrate Precipitation: A Population Balance Modeling Approach", 2017.

%% Initialization

yNo = size(y,2); % Number of y vectors

dydt = zeros(4+2*qN,yNo); % Initialization

%% Unit correction for Ksp from (mol/L)^{nu} to SI unit

KspSI = Ksp*1000^{nu}; % Solubility product in SI units (mol/m³)^{nu}

%% Discretized growth expression in PBE

Li = zeros(qN,yNo); % Quadrature nodes

wi = zeros(qN,yNo); % Quadrature weights

for j=1:yNo

 [Li(:,j),wi(:,j)] = WH(y(1:2*qN,j),qN); % NDF construction from moments by Wheeler algorithm

end

if avgCrystL>0 % At least 1 crystallite has formed

 Gi = kA*kr*KspSI^(g/nu)*(S-1)^{g/(3*kV*rhoMolar)};

else

 Gi = 0;

end

%% ODEs for moments

if S<=1 % Zero nucleation rate for (under-)saturated solutions

 JI = zeros(1,yNo);

 JII = zeros(1,yNo);

 LI = ones(1,yNo);

 LII = ones(1,yNo);

else

 LI = (2*kA*Omega*gamma/3/kV/kB/T/nu/log(S))*ones(1,yNo); % m

 JI = (AI*(nu*log(S))²*exp(-B*gamma³*Omega²/kB³/T³/nu²/log(S)²))*ones(1,yNo); % #/(m³*s)

 % Primary nucleation

 A = kA*y(3,:); % Total crystallite surface area

 LII = (2*kA*Omega*gammaEff/3/kV/kB/T/nu/log(S))*ones(1,yNo); % m

 JII = AII*(nu*log(S))²*xA*A*exp(-B*gammaEff³*Omega²/kB³/T³/nu²/log(S)²); % #/(m³*s)

 % True secondary nucleation

end

for i=1:2*qN

 k = i-1; % Moment order (0,...,2qN-1)

```
dydt(i,:) = -y(i,:)*Q1/V + k*sum(wi.*Gi.*Li.^(k-1),1) + JI.*LI.^k + JII.*LII.^k;  
end
```

```
%% ODEs for mass balances (O(aq), Ca(aq), Si(aq), and Na(aq), respectively)  
dCSHdt = (3*sum((wi.*Gi.*Li.^2),1) + JI.*LI.^3 + JII.*LII.^3)*kV*V*rhoMolar; % Rate of  
CSH precipitation (mol/s)  
dydt(2*qN+1,:) = Q1*cO-3.5*dCSHdt; % O  
dydt(2*qN+2,:) = -dCSHdt; % Ca  
dydt(2*qN+3,:) = Q1*cSi-0.5*dCSHdt; % Si  
dydt(2*qN+4,:) = Q1*cNa; % Na
```

% This script simulates C-S-H precipitation using a PBE computational approach with known model parameter and is part of the MATLAB workflow on
% "population balance modeling of calcium-silicate-hydrate precipitaion" developed by M. Reza Andalibi at Paul Scherrer Institute/EPFL (2017).
% Please cite our article:
% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Calcium-Silicate-Hydrate Precipitation: A Population Balance Modeling Approach", 2017.

clear; clc; close All;

%% Input kinetic parameters

gamm = 0.0556624301038742; % Interfacial tension; J/m2
relSig = 0.846087883933104; % Adhesion energy normalized by interfacial tension
kr = 2.25193418641641e-09; % Growth rate constant in growth expression
g = 1.80427522610120; % Relative supersaturation power in rate equation
aspRatio = 0.509208705257783; % Aspect ratio of crystallites
D = 1.4e-09; % Apparant diffusion coefficient from Stokes-Einstein equation

kinParOpt = [gamm;relSig;kr;g;aspRatio]; % Input model parameters
alpha = [100;1;1e+9;1;1]; % Scaling factor for the input model parameters
kinParOpt_scaled = kinParOpt.*alpha; % Scaled input model parameters

%% Experimental and simulated equilibrium data

aqEqbrmPar = dlmread('aqEqbrmPar.txt'); % Parameters for speciation code
expData = dlmread('expData.txt'); % Ca2+ (mol) vs. time (min) data used for fitting
tExp = expData(:,1);
CaExp = expData(:,2);

expDataLong = dlmread('expDataLong.txt'); % Ca2+ (mol) vs. time (min) data collected over 1 day
tExpLong = expDataLong(:,1);
CaExpLong = expDataLong(:,2);

aqEQBRM_Data = dlmread('aqEQBRM_Data.txt'); % Equilibrium data (assuming no solid formation)
tStep_aqEQBRM = aqEQBRM_Data(:,1);
Ca_aqEQBRM = aqEQBRM_Data(:,2);
pH_aqEQBRM = aqEQBRM_Data(:,3);
nCSH_aqEQBRM = aqEQBRM_Data(:,4);

EQBRM_Data = dlmread('EQBRM_Data.txt'); % Equilibrium data considering solid formation (solid-liquid equilibrium)
tStep_EQBRM = EQBRM_Data(:,1);
Ca_EQBRM = EQBRM_Data(:,2);
pH_EQBRM = EQBRM_Data(:,3);
nCSH_EQBRM = EQBRM_Data(:,4);

nExp = length(tExp); % Number of experimental data points
nPar = legnth(kinParOpt_scaled); % Number of fitted parameters

%% System and model specification

Q1 = 2e-6/60; % NaOH + Si input flow rate; m3/s

%%% Invariable parameters %%%

qN = 3; % No. of quadrature nodes

tStart = 60; % Modeling starts at t=1 min (60 s) of experimental data collection (to abstain from zero Si and Na)

SiSolnVol = 222e-6-Q1*tStart; % Total volume of Si solution to be added gradually (m3)

kA = 2*aspRatio*(aspRatio+2); % Crystallite surface area shape factor

kV = aspRatio^2; % Crystallite volume shape factor

B = 4*kA^3/27/kV^2; % Crystallite shape factor

rhoMolar = 1/49.2e-6; % Solid molar density (mol/m3)

NA = 6.0221409e+23; % Avogadro's number

Omega = 49.2e-6/NA; % Monomer volume (m3)

Lm = Omega^(1/3); % Monomer size

solventOmega = 3.00e-29; % Molecular volume of solvent (water; m3)

kB = 1.38064852e-23; % Boltzmann's constant (J/K)

T = 298; % Experimental temperature (K)

V0 = 200e-6+Q1*tStart; % Initial reaction vessel volume (at t=60 sec of experiment); m3

nu = 2; % The number of species (typically ions) produced upon C-S-H dissolution

Ksp = 6.031250e-08; % Adjusted solubility product according to Kersten's model; unadjusted value is 3.5420E-07 (mol/L)^2

KspSI = Ksp*1000^nu; % C-S-H solubility product in SI units (mol/m3)^nu

cO = 55.4841995204874e+3; % Inlet O concentration; mol/m3

cSi = 0.00901339390334036e+3; % Inlet Si concentration; mol/m3

cNa = 0.117174120743425e+3; % Inlet Na concentration; mol/m3

NS = aqEqbrmPar(1,1); % Total number of aqueous species

%%% Dependent kinetic parameters %%%

gammEff = gamm-relSig*gamm/2/(aspRatio+2); % Effective interfacial tension for secondary nucleation; J/m2

AI = D*sqrt((kB*T/gamm)^3/(3*pi*B))/Lm^5/solventOmega; % Primary nucleation pre-exponential term; # nuclei/(m3 solution*s)

AI = D*sqrt((kB*T/gammEff)^3/(3*pi*B))/Lm^4/solventOmega; % Pre-exponential factor in secondary nucleation rate expression (#/(m2*s))

%% Initial conditions for moments

n0 = 1e-10; % Number of particles initially in solution; a very small number is used rather than zero to prevent numerical problems

L0 = 1e-12; % m; mean size of initial particles; a very small number is used rather than zero to prevent numerical problems

y0 = zeros(1,2*qN+4); % Initializing the ODE dependent variables (2*qN moments plus elemental amounts)

y0(1) = n0*L0^0/V0;

y0(2) = n0*L0^1/V0;

y0(3) = n0*L0^2/V0;

y0(4) = n0*L0^3/V0;

```
y0(5) = n0*L0^4/V0;  
y0(6) = n0*L0^5/V0;
```

```
%% Initial conditions for aqueous O, Ca, Si, Na, and N amounts: 1 min taken as t0 = 0
```

```
y0(7) = 11.08144+Q1*tStart*cO; % Initial moles of aqueous O
```

```
y0(8) = 4e-3; % Initial moles of aqueous Ca
```

```
y0(9) = Q1*tStart*cSi; % Initial moles of aqueous Si
```

```
y0(10) = Q1*tStart*cNa; % Initial moles of aqueous Na
```

```
nN = 8e-3; % Nitrogen amount in system; mol
```

```
%% Solution of ODE set
```

```
defaultLength = 10000; % A large enough number to initialize the matrices of various  
variables
```

```
tStepAqEQBRM = 4; % Time step before precipitation starts (s)
```

```
tStepNucl = 8; % Time step during nonzero nucleation (s)
```

```
tStepGrowth = 20; % Time step during mere growth (s)
```

```
tStepEQBRM = 20; % Time step after nucleation and growth almost stop (s)
```

```
tStopAdd = SiSolnVol/Q1; % Time (sec) after which no more silicate solution is being  
added
```

```
tSimEnd = (tExpLong(end)+1)*60; % Time (sec) at the last data point is simulated
```

```
% Initializing all variables
```

```
niAqEQ = zeros(NS,defaultLength); % Local-equilibrium molar abundances of aqueous  
species
```

```
miAqEQ = zeros(NS,defaultLength); % Local-equilibrium molalities of aqueous species
```

```
actCoeffiAqEQ = zeros(NS,defaultLength); % Local-equilibrium molal activity  
coefficients of aqueous species
```

```
S_CSH = zeros(defaultLength,1); % Supersaturation ratio with respect to C-S-H
```

```
Ca = zeros(defaultLength,1); % Local-equilibrium molar abundances of Ca2+ ions
```

```
pH_EQ = zeros(defaultLength,1); % Local-equilibrium pH
```

```
IS_EQ = zeros(defaultLength,1); % Local-equilibrium molal ionic strength
```

```
exitflag = zeros(defaultLength,1); % Exitflag of speciation solver
```

```
t = zeros(defaultLength,1); % time (sec)
```

```
V = [V0;zeros(defaultLength-1,1)]; % Volume of the reaction medium (m3)
```

```
y = [y0;zeros(defaultLength-1,2*qN+4)]; % ODE dependent variables
```

```
Jl = zeros(defaultLength,1); % Rate of primary nucleation ( # nuclei/s); at time zero  
there should not be any nucleation otherwise this has to be corrected
```

```
JII = zeros(defaultLength,1); % Rate of secondary nucleation ( # nuclei/s)
```

```
LI = zeros(defaultLength,1); % Size of primary critical nuclei (nm)
```

```
LII = zeros(defaultLength,1); % Size of secondary critical nuclei (nm)
```

```
G = zeros(defaultLength,1); % Linear growth rate of crystallites (nm/s)
```

```
EaJI = zeros(defaultLength,1); % Activation free energy against primary nucleation  
(kJ/mol)
```

```
EaJII = zeros(defaultLength,1); % Activation free energy against secondary nucleation  
(kJ/mol)
```

```
nCrystallite = zeros(defaultLength,1); % Total number of precipitated crystallites
```

```
nParticle = zeros(defaultLength,1); % Total number of precipitated particles
```

```

avgNuCrystPerPart = [1;zeros(defaultLength-1,1)]; % Average number of precipitated
crystallites per particle
avgCrystL = zeros(defaultLength,1); % Average crystallites thickness
avgPartL = zeros(defaultLength,1); % Average particle edge length
primNuclCSH = zeros(defaultLength,1); % Cumulative mole % of overall C-S-H formed
as a result of primary nucleation
secNuclCSH = zeros(defaultLength,1); % Cumulative mole % of overall C-S-H formed
as a result of secondary nucleation
growthCSH = zeros(defaultLength,1); % Cumulative mole % of overall C-S-H formed as
a result of growth
LIcorr = zeros(defaultLength,1); % Size of primary critical nuclei (nm) corrected so that it
is zero for  $J_I < \text{nuclLim}$ 
LIIcorr = zeros(defaultLength,1); % Size of secondary critical nuclei (nm) corrected so
that it is zero for  $J_{II} < \text{nuclLim}$ 
xA = 1; % The available fraction of crystallite surface area for secondary nucleation

Be = [y0(:,2*qN+1:10);nN]; % Total molar abundances of different elements present in
the aqueous solution (here at the beginning)
[niAqEQ(:,1),miAqEQ(:,1),actCoeffiAqEQ(:,1),pH_EQ(1),IS_EQ(1),exitflag(1)] =
aqEQBRM(aqEqbrmPar,Be); % Initial speciation calculation
S_CSH(1) = (miAqEQ(12,1)*actCoeffiAqEQ(12,1) ...
            *sqrt(miAqEQ(3,1)*actCoeffiAqEQ(3,1)...
            *miAqEQ(6,1)*actCoeffiAqEQ(6,1)) / Ksp)^(1/nu);
Ca(1) = niAqEQ(2,1);

particleSwitch = 0; % Switch for the presence of particles in system; before first
nucleation event, the switch is 0 meaning there are no particles
nuclLim = 0.01; % Minimum nucleation rate (#/s) where nucleation switch will be turned
on
i = 1; % While loop counter

options = odeset('NonNegative',ones(1,2*qN+4),'Vectorized','on','RelTol',1e-8); %
Options for ode solver

while t(i)<=tSimEnd
    if t(i)>=tStopAdd % Stop reactant addition when it is finished
        Q1=0;
    end

    if (JI(i)>=nuclLim) || (JII(i)>=nuclLim)
        nuclSwitch = 1; % Swtich for nucleation event
        particleSwitch = 1;
    else
        nuclSwitch = 0;
    end

    if (S_CSH(i)<=1) && (nuclSwitch == 0) && (particleSwitch == 0) % Undersaturated
system

```

```

t(i+1) = t(i)+tStepAqEQBRM;
V(i+1) = V(i)+Q1*tStepAqEQBRM;
y(i+1,:) = [y(i,1:2*qN),...
    y(i,2*qN+1)+Q1*tStepAqEQBRM*cO,...
    y(i,2*qN+2),...
    y(i,2*qN+3)+Q1*tStepAqEQBRM*cSi,...
    y(i,2*qN+4)+Q1*tStepAqEQBRM*cNa];
elseif (S_CSH(i)>1) && (nuclSwitch == 0) && (particleSwitch == 0) % Supersaturated
system with no precipitation (metastable)
t(i+1) = t(i)+tStepAqEQBRM;
V(i+1) = V(i)+Q1*tStepAqEQBRM;
y(i+1,:) = [y(i,1:2*qN),...
    y(i,2*qN+1)+Q1*tStepAqEQBRM*cO,...
    y(i,2*qN+2),...
    y(i,2*qN+3)+Q1*tStepAqEQBRM*cSi,...
    y(i,2*qN+4)+Q1*tStepAqEQBRM*cNa];
elseif (S_CSH(i)>1) && (nuclSwitch == 1) && (particleSwitch == 1) % Onset of
precipitation
t(i+1) = t(i)+tStepNucl;
V(i+1) = V(i)+Q1*tStepNucl;
tspan = [t(i) t(i+1)];
[tTemp,yTemp] = ode15s(@(t,y)
equationSetVectorized(t,y,qN,Ksp,S_CSH(i),V(i),Q1,cO,cSi,cNa,gamm,gammEff,xA,Al,
All,kr,g,avgCrystL(i),...
    kA,kV,B,rhoMolar,Omega,kB,T,nu),tspan,y(i,:),options);
y(i+1,:) = yTemp(end,:);
elseif (S_CSH(i)>1) && (nuclSwitch == 0) && (particleSwitch == 1) % Only growth (no
further nucleation)
t(i+1) = t(i)+tStepGrowth;
V(i+1) = V(i)+Q1*tStepGrowth;
tspan = [t(i) t(i+1)];
[tTemp,yTemp] = ode15s(@(t,y)
equationSetVectorized(t,y,qN,Ksp,S_CSH(i),V(i),Q1,cO,cSi,cNa,gamm,gammEff,xA,Al,
All,kr,g,avgCrystL(i),...
    kA,kV,B,rhoMolar,Omega,kB,T,nu),tspan,y(i,:),options);
y(i+1,:) = yTemp(end,:);
elseif (0.99<=S_CSH(i)<=1) && (nuclSwitch == 0) && (particleSwitch == 1) %
Equilibrium
t(i+1) = t(i)+tStepEQBRM;
V(i+1) = V(i)+Q1*tStepEQBRM;
y(i+1,:) = [y(i,1:2*qN),...
    y(i,2*qN+1)+Q1*tStepEQBRM*cO,...
    y(i,2*qN+2),...
    y(i,2*qN+3)+Q1*tStepEQBRM*cSi,...
    y(i,2*qN+4)+Q1*tStepEQBRM*cNa];
else

```



```

    error('Abnormality in supersaturation ratio, nucleation switch, and/or particle switch
encountered!')
end

```

```

% Updating the local-equilibrium speciation

```

```

Be = [y(i+1,2*qN+1:2*qN+4)';nN];

```

```

[niAqEQ(:,i+1),miAqEQ(:,i+1),actCoeffiAqEQ(:,i+1),pH_EQ(i+1),IS_EQ(i+1),exitflag(i+1)]
= aqEQBRM(aqEqbrmPar,Be,niAqEQ(:,i));

```

```

S_CSH(i+1) = (miAqEQ(12,i+1)*actCoeffiAqEQ(12,i+1) ...
    *sqrt(miAqEQ(3,i+1)*actCoeffiAqEQ(3,i+1)...
    *miAqEQ(6,i+1)*actCoeffiAqEQ(6,i+1)) / Ksp)^(1/nu);

```

```

Ca(i+1) = niAqEQ(2,i+1);

```

```

% Critical size of nuclei, nucleation rates and their activation barriers

```

```

if (S_CSH(i+1)>1)
    JI(i+1) = V(i+1)*AI*(nu*log(S_CSH(i+1)))^2*exp(-
B*gamma^3*Omega^2/kB^3/T^3/nu^2/log(S_CSH(i+1))^2); % # nuclei/s
    JII(i+1) = V(i+1)*AII*(nu*log(S_CSH(i+1)))^2*xA*kA*y(i+1,3)*exp(-
B*gammaEff^3*Omega^2/kB^3/T^3/nu^2/log(S_CSH(i+1))^2); % # nuclei/s
    LI(i+1) = 1e+9*2*kA*Omega*gamma/3/kV/kB/T/nu/log(S_CSH(i+1)); % Critical size
of primary nuclei; nm
    LII(i+1) = 1e+9*2*kA*Omega*gammaEff/3/kV/kB/T/nu/log(S_CSH(i+1)); % Critical
size of secondary nuclei; nm
    EaJI(i+1) = 1e-3*NA*B*gamma^3*Omega^2/kB^2/T^2/nu^2./log(S_CSH(i+1)).^2; %
Activation energy for primary nucleation (kJ/mol)
    EaJII(i+1) = 1e-3*NA*B*gammaEff^3*Omega^2/kB^2/T^2/nu^2./log(S_CSH(i+1)).^2;
% Activation energy for secondary nucleation (kJ/mol)

```

```

else

```

```

    JI(i+1) = 0;
    JII(i+1) = 0;
    LI(i+1) = Inf;
    LII(i+1) = Inf;
    EaJI(i+1) = Inf;
    EaJII(i+1) = Inf;

```

```

end

```

```

% Growth rate

```

```

if particleSwitch==1
    G(i+1) = 1e+9*kA*kr*KspSI^(g/nu)*(S_CSH(i+1)-1)^g/(3*kV*rhoMolar); % Linear
growth rate (nm/s)

```

```

else

```

```

    G(i+1) = 0;

```

```

end

```

```

% Integration intervals for counting the number of particles

```

```

interval = zeros(i,1);

```



```

for j=1:i
    interval(j,1) = t(j+1)-t(j);
end

% Removing LI and LII values at nucleation rates smaller than nuclLim
if JI(i+1)<nuclLim
    Llcrr(i+1)=0;
else
    Llcrr(i+1)=LI(i+1);
end
if JII(i+1)<nuclLim
    LIIcrr(i+1)=0;
else
    LIIcrr(i+1)=LII(i+1);
end

nParticle(i+1) = sum(JI(1:i).*interval); % # of (secondary) polycrystalline particles =
number of primary nuclei
if (nParticle(i+1)<1)
    nParticle(i+1) = 0;
    nCrystallite(i+1) = 0;
    avgNuCrystPerPart(i+1) = 0;
    avgCrystL(i+1) = 0;
    avgPartL(i+1) = 0;

    primNuclCSH(i+1) = 0;
    secNuclCSH(i+1) = 0;
    growthCSH(i+1) = 0;
else
    nCrystallite(i+1) = sum( (JI(1:i)+JII(1:i)).*interval ); % # of crystallites = m_0*V
    avgNuCrystPerPart(i+1) = nCrystallite(i+1)/nParticle(i+1); % Average number of
crystallites per particle
    avgCrystL(i+1) = (y(i+1,4)*V(i+1)/nCrystallite(i+1))^(1/3); % Mean crystallite size; m
    avgPartL(i+1) = avgCrystL(i+1)*sqrt(kV*nCrystallite(i+1)/nParticle(i+1)); % Mean
particle size (avgCrystL*avgPartL*avgPartL); m
    xA = 4*avgCrystL(i+1)*avgPartL(i+1)*nParticle(i+1)/(kA*y(i+1,3)*V(i+1)); %
Updating xA

    % Cumulative amounts of CSH precipitated as a result of various sub-
    % processes normalized by the overall amount(%)
    primNuclCSH(i+1) = 100*sum(JI(1:i).*interval.*Llcrr(1:i).^3*1e-
27)/(y(i+1,4)*V(i+1));
    % Precipitated CSH due to primary nucleation (mol); JI is in # nuclei/s
    secNuclCSH(i+1) = 100*sum(JII(1:i).*interval.*LIIcrr(1:i).^3*1e-
27)/(y(i+1,4)*V(i+1));
    % Precipitated CSH due to secondary nucleation (mol); JII is in # nuclei/s
    growthCSH(i+1) = 100-(primNuclCSH(i+1)+secNuclCSH(i+1));
    % Precipitated CSH due to growth (mol); G is in nm/s

```

```

end

i = i+1;
end

%% Removing zeros in the default initialization
t = t(1:i,1);
Ca = Ca(1:i,1);
niAqEQ = niAqEQ(:,1:i);
miAqEQ = miAqEQ(:,1:i);
actCoeffiAqEQ = actCoeffiAqEQ(:,1:i);
S_CSH = S_CSH(1:i,1);
pH_EQ = pH_EQ(1:i,1);
IS_EQ = IS_EQ(1:i,1);
exitflag = exitflag(1:i,1);
V = V(1:i,1);
y = y(1:i,:);
JI = JI(1:i,:);
JII = JII(1:i,:);
LI = LI(1:i,:);
LII = LII(1:i,:);
Llcorr = Llcorr(1:i,:);
LlIcorr = LlIcorr(1:i,:);
EaJI = EaJI(1:i,:);
EaJII = EaJII(1:i,:);
G = G(1:i,:);
nCrystallite = nCrystallite(1:i,:);
nParticle = nParticle(1:i,:);
avgNuCrystPerPart = avgNuCrystPerPart(1:i,:);
primNuclCSH = primNuclCSH(1:i,:);
secNuclCSH = secNuclCSH(1:i,:);
growthCSH = growthCSH(1:i,:);
avgCrystL = avgCrystL(1:i,:);
avgPartL = avgPartL(1:i,:);

%% Interpolation at time values corresponding to experimental data-points
tMinute = (tStart+t)/60; % Shifting time and conversion from sec to min
tHour = tMinute/60; % Conversion from minute to hour
CaFit = interp1(tMinute,Ca,tExp); % Simulated Ca2+ data for the period used in fitting
CaSim = interp1(tMinute,Ca,tExpLong); % Simulated Ca2+ data for 24 hour period

%% Residual and fit assessment
resid = CaExp-CaFit; % Regression residuals (mol)
maxResid = max(abs(resid)); % Maximum absolute residual (mol)
R2 = 1-sum(resid.^2)/sum((CaExp-mean(CaExp)).^2); % Coefficient of determination
adjR2 = R2-(1-R2)*nPar/(nExp-nPar-1); % Adjusted coefficient of determination
rmse = sqrt(sumsq(resid)/(nExp-nPar)); % Root-mean-square error (mol)

```

```

%% Confidence intervals on fitted parameters and prediction intervals
hStep = sqrt(eps); % Step size for numerical differentiation
F = @(kinPar_scaled) CaPBE(kinPar_scaled,tExpLong,alpha,aqEqbrmPar); % Function
handle receiving model parameters as input and returning simulated Ca2+ mole
amounts as output
jac = zeros(length(tExpLong),nPar); % Numerical Jacobian of computational model with
respect to its parameters
parfor j=1:nPar % Should be replaced with for loop if parallel toolbox is not available
    parPlus = kinParOpt_scaled;
    parPlus(j) = kinParOpt_scaled(j)+hStep;
    jac(:,j) = (F(parPlus)-CaSim)/hStep;
end

pMatrixSim = inv(jac'*jac); % Precision matrix for all simulated points
pMatrixFit = inv(jac(1:nExp,:)*jac(1:nExp,:)); % Precision matrix for fitted points
covSim = sumsqr(resid)*pMatrixSim/(nExp-nPar); % Covariance matrix for all simulated
points
covFit = sumsqr(resid)*pMatrixFit/(nExp-nPar); % Covariance matrix for fitted points
ciHW = tinv(0.95,nExp-nPar)*sqrt(diag(covFit))./(alpha); % Confidence interval half-
width; kinParOpt±ciHW

piHW = zeros(length(tExpLong),1); % 95% nonsimultaneous observation bounds of
computational model
for i=1:length(tExpLong)
    piHW(i) = tinv(0.95,nExp-nPar)*sqrt( sumsqr(resid)/(nExp-nPar) +
jac(i,:)*covSim*(jac(i,:))' );
end
lower = CaSim-piHW; % Lower prediction bound
upper = CaSim+piHW; % Upper prediction bound

%% Convert all time data from min to hour
tExp = tExp/60;
tExpLong = tExpLong/60;
tStep_EQBRM = tStep_EQBRM/60;
tStep_aqEQBRM = tStep_aqEQBRM/60;

%% Ca2+ plots
fig = figure('Color','white');
ax = axes('Parent',fig);
hold(ax,'on');
scatter(ax,tExpLong*60,1e+3*CaExpLong,30,'filled','MarkerFaceAlpha',0.99,'MarkerFac
eColor',[0.1 0.6 1]); % Experimental data
plot(tExpLong*60,1e+3*CaSim,'k','LineWidth',1.5); % Simulated data
plot([tExpLong,tExpLong]*60,[1e+3*lower,1e+3*upper],'r--','LineWidth',1); % Prediction
bounds
set(ax,'FontSize',22,'FontWeight','bold','Xlim',[0,330],'XTick',(0:66:330));
axis square
box on

```

```

title('b','FontSize',22,'FontWeight','bold')
set(get(gca,'title'),'Position',[30 3.7 1])
xlabel('Time (min)','FontSize',22,'FontWeight','bold')
ylabel('Ca^{2+} (mmol)','FontSize',22,'FontWeight','bold')
legend({'Experimental','Simulation','95% Prediction interval'},'FontSize',18,'Location','southwest');
% Inset plot (24 h period)
ax = axes('Position',[0.4 0.5 0.4 0.4]);
hold(ax,'on');
scatter(ax,tExpLong,1e+3*CaExpLong,30,'filled','MarkerFaceAlpha',0.99,'MarkerFaceColor',[0.1 0.6 1]) % Experimental data
plot(tExpLong,1e+3*CaSim,'k','LineWidth',1.5)
plot([tExpLong,tExpLong],[1e+3*lower,1e+3*upper],'r--','LineWidth',1)
set(ax,'FontSize',12,'FontWeight','bold','Xlim',[0,24],'XTick',(0:6:24));
axis square
box on
xlabel('Time (h)','FontSize',12,'FontWeight','bold')
ylabel('Ca^{2+} (mmol)','FontSize',12,'FontWeight','bold')

%% Auxiliary outputs
S_Port = sqrt(
(miAqEQ(2,:).*actCoeffiAqEQ(2,:).(miAqEQ(6,:).*actCoeffiAqEQ(6,:)).^2)/3.85080e-6 );
% Portlandite supersaturation ratio
nCSH = y0(8)-y(:,2*qN+2); % Mole amount of CSH precipitated
nCSH_PBE = y(:,4)*kV*rhoMolar.*V; % Mole amount of CSH precipitated (should be the same as the previous one)

% Mean supersaturation during primary nucleation
avgS_CSHprimNucl = sum(S_CSH(1:end-1).*JI(1:end-1).*interval.*LIcorr(1:end-1).^3)/sum(JI(1:end-1).*interval.*LIcorr(1:end-1).^3);
% Mean supersaturation during secondary nucleation
avgS_CSHsecNucl = sum(S_CSH(1:end-1).*JII(1:end-1).*interval.*LIIcorr(1:end-1).^3)/sum(JII(1:end-1).*interval.*LIIcorr(1:end-1).^3);

diffGrowthCSH = zeros(i,1); % Differential amount of CSH grown (after multiplication by kV*rhoMolar)
for j=1:length(S_CSH)-1
    diffGrowthCSH(j,1) = y(j+1,4)*V(j+1)-y(j,4)*V(j)-JI(j).*interval(j).*LIcorr(j).^3*1e-27-JII(j).*interval(j).*LIIcorr(j).^3*1e-27;
end
% Mean supersaturation during growth
avgS_CSHgrowth = sum(S_CSH(1:end-1).*diffGrowthCSH)/sum(diffGrowthCSH);

%% Speciation plots
figure('Color','white');
ax = subplot(2,2,1);
hold(ax,'on');
plot(tHour,pH_EQ,'k','LineWidth',1.5);

```

```

set(ax,'FontSize',18,'FontWeight','bold','Xlim',[0,24],'XTick',(0:4:24));
axis square
box on
plot(tStep_aqEQBRM,pH_aqEQBRM,'r:',tStep_EQBRM,pH_EQBRM,'b--',
'LineWidth',1.5);
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel('pH','FontSize',18,'FontWeight','bold')
legend({'Simulation','aqEQBRM','EQBRM'},'FontSize',18,'Location','southeast');

ax = subplot(2,2,2);
hold(ax,'on');
plot(tHour,1e+3*nCSH,'k','LineWidth',1.5);
set(ax,'FontSize',18,'FontWeight','bold','Xlim',[0,24],'XTick',(0:4:24));
axis square
box on
plot(tStep_aqEQBRM,1e+3*nCSH_aqEQBRM,'r:',tStep_EQBRM,1e+3*nCSH_EQBRM,'b--',
'LineWidth',1.5);
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel('n_{C-S-H(s)} (mmol)','FontSize',18,'FontWeight','bold')
legend({'Simulation','aqEQBRM','EQBRM'},'FontSize',18,'Location','southeast');

ax = subplot(2,2,3);
hold(ax,'on');
plot(tHour,miAqEQ(2,:), 'm-.', tHour,miAqEQ(9,:), 'c-', tHour,miAqEQ(12,:), 'r--', ...
tHour,miAqEQ(13,:), 'g-.', tHour,miAqEQ(14,:), 'b:', 'LineWidth',1.5);
plot([1+tStopAdd/3600;1+tStopAdd/3600],[0;2e-2], 'k:')
set(ax,'FontSize',18,'FontWeight','bold','Xlim',[0,24],'XTick',(0:4:24));
axis square
box on
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel('Molality (mol/kg water)','FontSize',18,'FontWeight','bold')
legend({'Ca^{2+}','CaNO_{3}^{+}','CaOH^{+}','CaHSiO_{3}^{+}','CaH_{2}SiO_{4}','FontSize',16,'Location','northeast');

ax = subplot(2,2,4);
hold(ax,'on');
plot(tHour,miAqEQ(10,:), 'm-.', tHour,miAqEQ(3,:), 'c-', tHour,miAqEQ(11,:), 'r--', ...
tHour,miAqEQ(13,:), 'g-.', tHour,miAqEQ(14,:), 'b:', tHour,miAqEQ(15,:), 'k-
.', 'LineWidth',1.5);
plot([1+tStopAdd/3600;1+tStopAdd/3600],[0;3e-3], 'k:')
set(ax,'FontSize',18,'FontWeight','bold','Xlim',[0,24],'XTick',(0:4:24));
axis square
box on
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel('Molality (mol/kg water)','FontSize',18,'FontWeight','bold')
legend({'H_{4}SiO_{4}','H_{3}SiO_{4}^{-}','H_{2}SiO_{4}^{2-}',
'CaHSiO_{3}^{+}','CaH_{2}SiO_{4}','NaHSiO_{3}'}, 'FontSize',16,'Location','northeast');

```

```

%%% Growth mechanism
% Fitted power law data-----%
[nonzeroIndex,~,G_Power] = find(1e-9*G); % Finding nonzero growth rate values
S = S_CSH(nonzeroIndex(1):end); % Corresponding supersaturation ratios with respect
to C-S-H
gammG = gamm-gamm/(aspRatio+2); % Interfacial tension of epitaxial growth (@ relSig
= 2)
objFcnScale = 1e+13; % Scale factor to bring the growth rate residuals in the order of
unity

% Poly-nuclear mechanism of Nielsen 1984-----%
parScaleFact = 1e+14; % Scale factor for design variable

residPN = @(parPN_Scaled) objFcnScale^2*sumsqr(G_Power -
(parPN_Scaled(1)/parScaleFact)*S.^(7/6).*(S-1).^(2/3).*(nu*log(S)).^(1/6).*...
exp(-parPN_Scaled(2)*gammG^2*Omega^(4/3)/3/(kB*T)^2/nu./log(S))); % Sum of
squares of residuals

options = setoptimoptions('AlwaysHonorConstraints','bounds','TolFun',1e-8,'TolX',1e-8);
% Optimization options
[parPN_ScaledOpt,~,exitflagPN] = minimize(residPN,[],[],[],[],[],[1e-2;pi],...

[1e2;(1+Lm/min(avgCrystL(avgCrystL>0)))^2/(Lm/min(avgCrystL(avgCrystL>0)))],[],opti
ons);

krPN = parPN_ScaledOpt(1)/parScaleFact;
beta2D_PN = parPN_ScaledOpt(2);

G_PN = krPN*S.^(7/6).*(S-1).^(2/3).*(nu*log(S)).^(1/6).*...
exp(-beta2D_PN*gammG^2*Omega^(4/3)/3/(kB*T)^2/nu./log(S));

residualPN = abs(G_PN-G_Power);

R2PN = 1-sum(residualPN.^2)/sum((G_Power-mean(G_Power)).^2); % Coefficient of
determination
adjR2PN = R2PN-(1-R2PN)*2/(length(G_Power)-2-1); % Adjusted coefficient of
determination
rmsePN = sqrt(sumsqr(residualPN)/(length(G_Power)-2)); % Root-mean-square error
(mol)

% Birth and spread mechanism of O'Hara and Reid 1973-----%
-----%
parScaleFact = 1e+13;

residBS = @(parBS_Scaled) objFcnScale^2*sumsqr(G_Power -
(parBS_Scaled(1)/parScaleFact).*(S-1).^(2/3).*(nu*log(S)).^(1/6).*...
exp(-parBS_Scaled(2)*gammG^2*Omega^(4/3)/3/(kB*T)^2/nu./log(S)));
options = setoptimoptions('AlwaysHonorConstraints','bounds','TolFun',1e-8,'TolX',1e-8);
[parBS_ScaledOpt,~,exitflagBS] = minimize(residBS,[],[],[],[],[],[1e-2;pi],...

```

```

[1e2;(1+Lm/min(avgCrystL(avgCrystL>0)))^2/(Lm/min(avgCrystL(avgCrystL>0)))],[],opti
ons);

krBS = parBS_ScaledOpt(1)/parScaleFact;
beta2D_BS = parBS_ScaledOpt(2);

G_BS = krBS*(S-1).^(2/3).*(nu*log(S)).^(1/6).*...
exp(-beta2D_BS*gammaG^2*Omega^(4/3)/3/(kB*T)^2/nu./log(S));

residualBS = abs(G_BS-G_Power);

R2BS = 1-sum(residualBS.^2)/sum((G_Power-mean(G_Power)).^2); % Coefficient of
determination
adjR2BS = R2BS-(1-R2BS)*2/(length(G_Power)-2-1); % Adjusted coefficient of
determination
rmseBS = sqrt(sumsqr(residualBS)/(length(G_Power)-2)); % Root-mean-square error
(mol)

% Dislocation-controlled mechanism (BCF) of Nielsen 1981-----%
parScaleFact = 1e+13;

residBCF = @(parBCF_Scaled) objFcnScale^2*sumsqr(G_Power - parBCF_Scaled*(S-
1).*sqrt(S)*nu.*log(S)./(1+1./sqrt(3*S))/parScaleFact);
options = setoptimoptions('AlwaysHonorConstraints','bounds','TolFun',1e-8,'TolX',1e-8);
[parBCF_ScaledOpt,~,exitflagBCF] = minimize(residBCF,[],[],[],[],[],1e-2,1e2,[],options);

krBCF = parBCF_ScaledOpt/parScaleFact;

G_BCF = krBCF*(S-1).*sqrt(S)*nu.*log(S)./(1+1./sqrt(3*S));

residualBCF = abs(G_BCF-G_Power);

R2BCF = 1-sum(residualBCF.^2)/sum((G_Power-mean(G_Power)).^2);
adjR2BCF = R2BCF-(1-R2BCF)*1/(length(G_Power)-1-1);
rmseBCF = sqrt(sumsqr(residualBCF)/(length(G_Power)-1));

% Growth rate plot for individual expressions fitted to power law data-----
-----%
fig = figure('Color','white');
ax = axes('Parent',fig);
hold(ax,'on');
scatter(ax,tHour(nonzeroIndex(1):5:end),1e+9*G_Power(1:5:end),30,'filled','MarkerFace
Alpha',0.99,'MarkerFaceColor',[0.8 0.8 0.8]);
set(ax,'FontSize',18,'FontWeight','bold','XLim',[0,8],'XTick',(0:2:8));
axis square
box on
plot(tHour(nonzeroIndex(1):end),1e+9*G_PN,'r-
.',tHour(nonzeroIndex(1):end),1e+9*G_BS,'b--',...
tHour(nonzeroIndex(1):end),1e+9*G_BCF,'g:','LineWidth',1.5);

```



```

scatter(ax,tHour(1:5:nonzeroIndex(1)-1),G(1:5:nonzeroIndex(1)-
1),30,'filled','MarkerFaceAlpha',0.99,'MarkerFaceColor',[0.8 0.8 0.8])
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel('Growth rate (nm/s)','FontSize',18,'FontWeight','bold')
legend({'Power law','Poly-nuclear (Nielsen 1984)','B&S (O`Hara and Reid 1973)','BCF
(Nielsen 1981)'},'location','northeast','FontSize',18);

% Compound B&S and BCF-----%
parScaleFact = 1e+13;

residComp = @(parComp_Scaled) objFcnScale^2*sumsqr(G_Power - ...
    (parComp_Scaled(1)/parScaleFact)*(S-1).*sqrt(S)*nu.*log(S)./(1+1./sqrt(3*S)) - ...
    (parComp_Scaled(2)/parScaleFact)*(S-1).^(2/3).*(nu*log(S)).^(1/6).*...
    exp(-parComp_Scaled(3)*Omega^(4/3)*gammG^2/3/(kB*T)^2/nu./log(S)));
options = setoptimoptions('AlwaysHonorConstraints','bounds','TolFun',1e-12,'TolX',1e-
12);
[parComp_ScaledOpt,~,exitflagComp] = minimize(residComp,[],[],[],[],[],[1e-2;1e-2;pi],...
[1e2;1e2;(1+Lm/min(avgCrystL(avgCrystL>0)))^2/(Lm/min(avgCrystL(avgCrystL>0)))],[],
options);

% Alternative optimization function (uncomment for use)
% [parCompOpt_scaled,~,exitflagComp] = fmincon(residComp,[1;1;1],[],[],[],[],[1e-2;1e-
2],[1e2;1e2]);

krBCF_Comp = parComp_ScaledOpt(1)/parScaleFact;
krBS_Comp = parComp_ScaledOpt(2)/parScaleFact;
beta2D_Comp = parComp_ScaledOpt(3);

G_CompFcn = @(parComp_Scaled) (parComp_Scaled(1)/parScaleFact)*(S-
1).*sqrt(S)*nu.*log(S)./(1+1./sqrt(3*S)) + ...
    (parComp_Scaled(2)/parScaleFact)*(S-1).^(2/3).*(nu*log(S)).^(1/6).*...
    exp(-parComp_Scaled(3)*Omega^(4/3)*gammG^2/3/(kB*T)^2/nu./log(S));

G_Comp = G_CompFcn(parComp_ScaledOpt);

residualComp = abs(G_Comp-G_Power);

R2Comp = 1-sum(residualComp.^2)/sum((G_Power-mean(G_Power)).^2);
adjR2Comp = R2Comp-(1-R2Comp)*3/(length(G_Power)-3-1);
rmseComp = sqrt(sumsqr(residualComp)/(length(G_Power)-3));

% Numerical Jacobian of compound growth rate function with respect to its input
parameters
J = zeros(length(G_Power),length(parComp_ScaledOpt));
parfor j=1:length(parComp_ScaledOpt)
    parComp_ScaledOptPlus = parComp_ScaledOpt;
    parComp_ScaledOptPlus(j) = parComp_ScaledOpt(j)+hStep;
    J(:,j) = (G_CompFcn(parComp_ScaledOptPlus)-G_Comp)/hStep;
end

```



```

% Confidence intervals for compound growth rate parameters
pMatrixComp = inv(J*J); % Precision matrix
covComp = sumsqr(residualComp)*pMatrixComp/(length(residualComp)-
length(parComp_ScaledOpt)); % Covariance matrix
% Confidence interval half-width; parComp_ScaledOpt±ciHW_Comp
ciHW_Comp = tinv(0.95,length(residualComp)-
length(parComp_ScaledOpt))*sqrt(diag(covComp))./[parScaleFact;parScaleFact;1];

%% Growth activation barriers and critical size of 2D nuclei
hPlanck = 6.626069934e-34; % Planck constant (J.s)
% BCF activation barrier
EaBCF_LB = -
log(hPlanck*krBCF_Comp*4*pi*Lm*gammaG*exp(Lm^2*gammaG/kB/T)/30/sqrt(KspSI)/so
lventOmega/NA/(kB*T)^2)*kB*T*NA/1000;
EaBCF_UB = -
log(hPlanck*krBCF_Comp*4*pi*Lm*gammaG*exp(Lm^2*gammaG/kB/T)/200/sqrt(KspSI)/s
olventOmega/NA/(kB*T)^2)*kB*T*NA/1000;
% B&S activation barrier
EaBS_LB = -log(hPlanck*krBS_Comp/2/Lm/(30*sqrt(KspSI)/rhoMolar)^(4/3)/exp(-
gammaG*Lm^2/kB/T)/kB/T)*kB*T*NA/1000;
EaBS_UB = -log(hPlanck*krBS_Comp/2/Lm/(200*sqrt(KspSI)/rhoMolar)^(4/3)/exp(-
gammaG*Lm^2/kB/T)/kB/T)*kB*T*NA/1000;

G_Comp_BCF = krBCF_Comp*(S-1).*sqrt(S)*nu.*log(S)./(1+1./sqrt(3*S)); % BCF part
of growth rate
G_Comp_BS = krBS_Comp*(S-1).^(2/3).*(nu*log(S)).^(1/6).*...
exp(-beta2D_Comp*Omega^(4/3)*gammaG^2/3/(kB*T)^2/nu./log(S)); % B&S part of
growth rate

% Activation free energy for surface nucleation
[row,~,~] = find(G_Comp_BS(G_Comp_BS>1e-16));
EaSurfNucl_LB = min(1e-
3*NA*beta2D_Comp*Omega^(4/3)*gammaG^2/(kB*T)/nu./log(S));
EaSurfNucl_UB = 1e-
3*NA*beta2D_Comp*Omega^(4/3)*gammaG^2/(kB*T)/nu./log(S(max(row)));

% Critical size of surface nuclei
L2D = 1e9*2*gammaG*Lm^3/kB/T/nu./log(S); % Cylindrical surface nuclei (nm)

% Plot of BCF and B&S components of growth rate along with critical size of
% surface nuclei
fig = figure('Color','white');
[ax,hLine1,hLine2] =
plotyy([tHour(nonzeroIndex(1):end),tHour(nonzeroIndex(1):end),tHour(nonzeroIndex(1):
end)],...
1e9*[G_Comp_BS,G_Comp_BCF,G_Comp],tHour(nonzeroIndex(1):end),L2D);
set(fig,'CurrentAxes',ax)
xlabel('Time (h)','FontSize',18,'FontWeight','bold')

```

```

ylabel(ax(1),'Growth rate (nm/s)','FontSize',18,'FontWeight','bold') % left y-axis
ylabel(ax(2),'Size of critical surface nuclei
(nm)','FontSize',18,'FontWeight','bold','Color',[0.6 0.6 0.6]) % right y-axis
set(hLine1(1),'Color','b','LineStyle','--','LineWidth',1.5);
set(hLine1(2),'Color','g','LineStyle',':', 'LineWidth',1.5);
set(hLine1(3),'Color','k','LineStyle','-','LineWidth',1.5);
set(hLine2,'Color','r','LineStyle','-','LineWidth',1);
set(ax,'FontSize',18,'FontWeight','bold','XLim',[floor(tHour(nonzeroIndex(1))*10)/10,5],'P
otBoxAspectRatio',[1 1 1]);
set(ax(1),'YTick',linspace(0,ceil(max(1e13*G_Comp))*1e-
4,6),'YLim',[0,ceil(max(1e13*G_Comp))*1e-4],'YColor','k');
set(ax(2),'YTick',linspace(0,floor(1e9*avgCrystL(end)*aspRatio*10)/10,6),'YLim',[0,floor(
1e9*avgCrystL(end)*aspRatio*10)/10],'YColor','r');
legend({'B&S','BCF','Compound B&S+BCF'},'FontSize',18,'Location','north');

fig = figure('Color','white');
[ax,hLine1,hLine2] = plotyy([tHour(nonzeroIndex(1):end),tHour(nonzeroIndex(1):end)],...

1e9*[G_Comp_BS,G_Comp_BCF],tHour(nonzeroIndex(1):end),G_Comp_BCF./G_Comp
p_BS);
set(fig,'CurrentAxes',ax)
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel(ax(1),'Growth rate (nm/s)','FontSize',18,'FontWeight','bold') % left y-axis
ylabel(ax(2),'G_{BCF}/G_{B&S}','FontSize',18,'FontWeight','bold','Color',[0.6 0.6 0.6]) %
right y-axis
set(hLine1(1),'Color','b','LineStyle','--','LineWidth',1.5);
set(hLine1(2),'Color','g','LineStyle',':', 'LineWidth',1.5);
set(hLine2,'Color','r','LineStyle','-','LineWidth',1);
set(ax,'FontSize',18,'FontWeight','bold','XLim',[floor(tHour(nonzeroIndex(1))*10)/10,5],'P
otBoxAspectRatio',[1 1 1]);
set(ax(1),'YTick',linspace(0,ceil(max(1e13*G_Comp_BCF))*1e-
4,6),'YLim',[0,ceil(max(1e13*G_Comp_BCF))*1e-4],'YColor','k');
set(ax(2),'YTick',linspace(0,6,6),'YLim',[0,6],'YColor','r');
legend({'B&S','BCF','Compound B&S+BCF'},'FontSize',18,'Location','north');

%% Plots of nucleation rates and barriers, growth rate, supersaturation, sizes, and
contributions
fig = figure('Color','white');
subplot(1,2,1)
[ax,hLine1,hLine2] = plotyy([tHour,tHour],[log10(JI),log10(JII)],[tHour,tHour],[LI,LII]);
set(fig,'CurrentAxes',ax)
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel(ax(1),'log_{10}(nucleation rate/s^{-1})','FontSize',18,'FontWeight','bold') % left y-
axis
ylabel(ax(2),'Thickness of critical nuclei
(nm)','FontSize',18,'FontWeight','bold','Color',[0.6 0.6 0.6]) % right y-axis
set(hLine1(1),'Color','b','LineWidth',1.5);

```

```

set(hLine1(2),'Color','b','LineStyle','--','LineWidth',1.5);
set(hLine2(1),'Color','r','LineWidth',1.5);
set(hLine2(2),'Color','r','LineStyle','--','LineWidth',1.5);
set(ax,'FontSize',18,'FontWeight','bold','XLim',[0,2.5],'PlotBoxAspectRatio',[1 1 1]);
set(ax(1),'YTick',linspace(0,20,6),'YLim',[0,20],'YColor','b');
set(ax(2),'YTick',linspace(0,4,6),'YLim',[0,4],'YColor','r');
legend({'Primary nucleation','Secondary nucleation'},'FontSize',18,'Location','north');

ax = subplot(1,2,2);
hold(ax,'on');
plot(tHour,EaII,'r',tHour,EaIII,'b--','LineWidth',1.5);
set(ax,'FontSize',18,'FontWeight','bold','XLim',[0,2.5],'YLim',[0,300]);
axis square
box on
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel('\Delta G_{max} (kJ/mol)','FontSize',18,'FontWeight','bold')
legend({'Primary nucleation','Secondary nucleation'},'FontSize',18,'Location','south');

figure('Color','white');
ax = subplot(1,2,1);
hold(ax,'on');
scatter(ax,[tHour(1:5:nonzeroIndex(1)-
1);tHour(nonzeroIndex(1):5:end)],1e+9*[G(1:5:nonzeroIndex(1)-1);G_Power(1:5:end)],...
30,'filled','MarkerFaceAlpha',0.9,'MarkerFaceColor',[0.8 0.8 0.8]);
set(ax,'FontSize',18,'FontWeight','bold','XLim',[0,8],'XTick',(0:2:8));
axis square
box on
plot(tHour(nonzeroIndex(1):end),1e+9*G_BS,'b--',...
tHour(nonzeroIndex(1):end),1e+9*G_BCF,'g:',...
tHour(nonzeroIndex(1):end),1e+9*G_Comp,'k-','LineWidth',1);
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel('Growth rate (nm/s)','FontSize',18,'FontWeight','bold')
legend({'Power law','B&S','BCF','BCF+B&S'},'location','northeast','FontSize',18);

ax = subplot(1,2,2);
hold(ax,'on');
plot(tHour,S_CSH,'b',tHour,S_Port,'r--',[0,24],[1,1],'k:','LineWidth',1.5);
set(ax,'FontSize',18,'FontWeight','bold','Xlim',[0,24],'XTick',(0:4:24));
axis square
box on
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel('Supersaturation ratio','FontSize',18,'FontWeight','bold')
legend({'C-S-H','Portlandite'},'FontSize',18);

fig = figure('Color','white');
ax = subplot(1,2,1);
hold(ax,'on');
[ax,hLine1,hLine2] = plotyy(tHour,1e+9*avgCrystL,tHour,1e+9*avgPartL);

```

```

xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel(ax(1),' $\bar{L}_{\{c\}}$  (nm)$','FontSize',18,'FontWeight','bold','Interpreter','latex') %
left y-axis
ylabel(ax(2),' $\bar{L}_{\{p\}}$  (nm)$','FontSize',18,'FontWeight','bold','Interpreter','latex') %
right y-axis
set(hLine1,'Color','r','LineWidth',1.5,'LineStyle','-');
set(hLine2,'Color','b','LineWidth',1.5,'LineStyle','--');
set(ax,'FontSize',18,'FontWeight','bold','XColor','k','PlotBoxAspectRatio',[1 1
1],'Xlim',[0,24],'XTick',(0:4:24));
set(ax(1),'YTick',linspace(0,10,6),'YLim',[0,10],'YColor','r');
set(ax(2),'YTick',linspace(0,100,6),'YLim',[0,100],'YColor','b');
legend({'Crystallite/particle thickness','Particle edge
length'},'FontSize',18,'Location','east');

ax = subplot(1,2,2);
hold(ax,'on');
plot(tHour,primNuclCSH,'r--',...
    tHour,secNuclCSH,'b:',...
    tHour,growthCSH,'g-','LineWidth',1.5);
set(ax,'FontSize',18,'FontWeight','bold','Xlim',[0,24],'XTick',(0:4:24));
axis square
box on
xlabel('Time (h)','FontSize',18,'FontWeight','bold')
ylabel('C-S-H precipitated (mole %)','FontSize',18,'FontWeight','bold')
legend({'Primary nucleation','Secondary
nucleation','Growth'},'FontSize',18,'Location','east');

```

```

function dydt =
equationSetVectorized(t,y,qN,Ksp,S,V,Q1,cO,cSi,cNa,gamm,gammEff,xA,AI,AII,kr,g,av
gCrystL,...
    kA,kV,B,rhoMolar,Omega,kB,T,nu)
%
% This function generates the set of ODEs (PBE + mass balances) to be numerically
% solved for computational modeling of C-S-H precipitation
%
% Usage:
% dydt =
equationSetVectorized(t,y,qN,Ksp,S,V,Q1,cO,cSi,cNa,gamm,gammEff,xA,AI,AII,kr,g,av
gCrystL,kA,kV,B,rhoMolar,Omega,kB,T,nu)
%
% Input:
% t = Time;
% y = Dependent variables (moments + elemental abundances)
% qN = No. of quadrature nodes
% Ksp = Adjusted solubility product according to Kersten's model; unadjusted value is
3.5420E-07 (mol/L)^2
% S = Supersaturation ratio
% V = System volume
% Q1 = Reactant flow rate
% cO = Inlet O concentration; mol/m3
% cSi = Inlet Si concentration; mol/m3
% cNa = Inlet Na concentration; mol/m3
% gamm = Interfacial tension
% gammEff = Effective interfacial tension for secondary nucleation
% xA = The available fraction of crystallite surface area for secondary nucleation
% AI = Primary nucleation pre-exponential term
% AII = Secondary nucleation pre-exponential term
% kr = Growth rate constant in growth expression
% g = Relative supersaturation power in rate equationon
% avgCrystL = Average crystallites thickness
% kA = Surface area shape factor
% kV = Volume shape factor
% B = Shape factor
% rhoMolar = Solid molar density
% Omega = Monomer volume
% kB = Boltzmann's constant
% T = Temperature
% nu = The number of species produced upon C-S-H dissolution
%
% Output:
% dydt = ODE describing the respective dependent variable written in a vectorized
manner
%

```

% This function is part of the MATLAB workflow on "population balance modeling of calcium-silicate-hydrate precipitation" developed by M. Reza Andalibi at Paul Scherrer Institute/EPFL (2017).

% Please cite our article:

% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Calcium-Silicate-Hydrate Precipitation: A Population Balance Modeling Approach", 2017.

%% Initialization

yNo = size(y,2); % Number of y vectors

dydt = zeros(4+2*qN,yNo); % Initialization

%% Unit correction for Ksp from (mol/L)^{nu} to SI unit

KspSI = Ksp*1000^{nu}; % Solubility product in SI units (mol/m³)^{nu}

%% Discretized growth expression in PBE

Li = zeros(qN,yNo); % Quadrature nodes

wi = zeros(qN,yNo); % Quadrature weights

for j=1:yNo

 [Li(:,j),wi(:,j)] = WH(y(1:2*qN,j),qN); % NDF construction from moments by Wheeler algorithm

end

if avgCrystL>0 % At least 1 crystallite has formed

 Gi = kA*kr*KspSI^{g/nu}*(S-1)^{g/(3*kV*rhoMolar)};

else

 Gi = 0;

end

%% ODEs for moments

if S<=1 % Zero nucleation rate for (under-)saturated solutions

 JI = zeros(1,yNo);

 JII = zeros(1,yNo);

 LI = ones(1,yNo);

 LII = ones(1,yNo);

else

 LI = (2*kA*Omega*gamma/3/kV/kB/T/nu/log(S))*ones(1,yNo); % m

 JI = (AI*(nu*log(S))²*exp(-B*gamma³*Omega²/kB³/T³/nu²/log(S)²)
)*ones(1,yNo); % #/(m³*s)

 % Primary nucleation

 A = kA*y(3,:); % Total crystallite surface area

 LII = (2*kA*Omega*gammaEff/3/kV/kB/T/nu/log(S))*ones(1,yNo); % m

 JII = AII*(nu*log(S))²*xA*A*exp(-B*gammaEff³*Omega²/kB³/T³/nu²/log(S)²);
 % #/(m³*s)

 % True secondary nucleation

end

for i=1:2*qN

 k = i-1; % Moment order (0,...,2qN-1)

```
dydt(i,:) = -y(i,:)*Q1/V + k*sum(wi.*Gi.*Li.^(k-1),1) + JI.*LI.^k + JII.*LII.^k;  
end
```

```
%% ODEs for mass balances (O(aq), Ca(aq), Si(aq), and Na(aq), respectively)  
dCSHdt = (3*sum((wi.*Gi.*Li.^2),1) + JI.*LI.^3 + JII.*LII.^3)*kV*V*rhoMolar; % Rate of  
CSH precipitation (mol/s)  
dydt(2*qN+1,:) = Q1*cO-3.5*dCSHdt; % O  
dydt(2*qN+2,:) = -dCSHdt; % Ca  
dydt(2*qN+3,:) = Q1*cSi-0.5*dCSHdt; % Si  
dydt(2*qN+4,:) = Q1*cNa; % Na
```

```

function CaSim = CaPBE(kinPar_scaled,time,alpha,aqEqbrmPar)
%
% This function computes the mole amount of Ca2+ ions in the aqueous solution in
% which C-S-H precipitation is taking place. The solution algorithm is essentially the same
% as the script 'PBE.m'
% yet it is written in a functional form for the estimation of confidence intervals on fitted
% parameters and also simulation prediction intervals.
%
% Usage:
% CaSim = CaPBE(kinPar_scaled,time,alpha,aqEqbrmPar)
%
% Input:
% kinPar_scaled = model parameters for PBE simulations scaled to be near unity
% time = time points (min) in which Ca2+ simulated data are required
% alpha = vector of factors used for scaling the model parameters
% aqEqbrmPar = input parameters for aqEQBRM function (activity coefficient
% parameters, laws of mass actions, etc. as prepared in the accompanying excel sheet
% 'aqEqbrmPar.xlsx')
%
% Output (replace by '~' if any of the outputs is not needed):
% CaSim = simulated mole amount of Ca2+ ions in the aqueous solution
%
% This function is part of the MATLAB workflow on "population balance modeling of
% calcium-silicate-hydrate precipitaion" developed by M. Reza Andalibi at Paul Scherrer
% Institute/EPFL (2017).
% Please cite our article:
% M. Reza Andalibi et al., "On The Mesoscale Mechanism of Calcium-Silicate-Hydrate
% Precipitation: A Population Balance Modeling Approach", 2017.

% Model (kinetic) parameters
kinPar = kinPar_scaled./alpha;
gamm = kinPar(1); % Interfacial tension; J/m2
relSig = kinPar(2); % Adhesion energy normalized by interfacial tension
kr = kinPar(3); % Growth rate constant in growth expression
g = kinPar(4); % Relative supersaturation power in rate equationon
aspRatio = kinPar(5); % Aspect ratio of crystallites
D = 1.4e-09; % Apparant diffusion coefficient from Stokes-Einstein equation

% System and model specification
Q1 = 2e-6/60; % Flow rate; m3/s

%---Invariable parameters---%
qN = 3; % No. of quadrature nodes
tStart = 60; % Modeling starts at t=1 min (60 s) of experimental data collection (to
abstain from zero Si and Na)
SiSolnVol = 222e-6-Q1*tStart; % Total volume of Si solution to be added gradually (m3)
kA = 2*aspRatio*(aspRatio+2); % Crystallite surface area shape factor

```



```

kV = aspRatio^2; % Crystallite volume shape factor
B = 4*kA^3/27/kV^2; % Shape factor
rhoMolar = 1/49.2e-6; % Solid molar density (mol/m3)
NA = 6.0221409e+23; % Avogadro's number
Omega = 49.2e-6/NA; % Monomer volume (m3)
Lm = Omega^(1/3); % Monomer size
solventOmega = 3.00e-29; % Molecular volume of solvent (water; m3)
kB = 1.38064852e-23; % Boltzmann's constant (J/K)
T = 298; % Experimental temperature (K)
V0 = 200e-6+Q1*tStart; % Initial reaction vessel volume (at t=60 sec of experiment); m3
nu = 2; % The number of species produced upon C-S-H dissolution
Ksp = 6.031250e-08; % Adjusted solubility product according to Kersten's model;
unadjusted value is 3.5420E-07 (mol/L)^2
cO = 55.4841995204874e+3; % Inlet O concentration; mol/m3
cSi = 0.00901339390334036e+3; % Inlet Si concentration; mol/m3
cNa = 0.117174120743425e+3; % Inlet Na concentration; mol/m3
NS = aqEqbrmPar(1,1); % Total number of aqueous species

```

%---Dependent kinetic parameters---%

```

gammEff = gamm-relSig*gamm/2/(aspRatio+2); % Effective interfacial tension for
secondary nucleation; J/m2
AI = D*sqrt((kB*T/gamm)^3/(3*pi*B))/Lm^5/solventOmega; % Primary nucleation pre-
exponential term; # nuclei/(m3 solution*s)
All = D*sqrt((kB*T/gammEff)^3/(3*pi*B))/Lm^4/solventOmega; % Pre-exponential factor
in secondary nucleation rate expression (#/(m2*s))

```

%% Initial conditions for moments

```

n0 = 1e-10; % Number of particles initially in solution; a very small number is used
rather than zero to prevent numerical problems
L0 = 1e-12; % m; mean size of initial particles; a very small number is used rather than
zero to prevent numerical problems
y0 = zeros(1,2*qN+4); % Initializing the ODE dependent variables (2*qN moments plus
elemental amounts)
y0(1) = n0*L0^0/V0;
y0(2) = n0*L0^1/V0;
y0(3) = n0*L0^2/V0;
y0(4) = n0*L0^3/V0;
y0(5) = n0*L0^4/V0;
y0(6) = n0*L0^5/V0;

```

%% Initial conditions for aqueous O, Ca, Si, Na, and N amounts: 1 min taken as t0 = 0

```

y0(7) = 11.08144+Q1*tStart*cO; % Initial moles of aqueous O
y0(8) = 4e-3; % Initial moles of aqueous Ca
y0(9) = Q1*tStart*cSi; % Initial moles of aqueous Si
y0(10) = Q1*tStart*cNa; % Initial moles of aqueous Na
nN = 8e-3; % Nitrogen amount in system; mol

```

%% Solution of ODE set

```

defaultLength = 10000; % A large enough number to initialize the matrices of various
variables
tStepAqEQBRM = 4; % Time step before precipitation starts (s)
tStepNucl = 8; % Time step during nonzero nucleation (s)
tStepGrowth = 20; % Time step during mere growth (s)
tStepEQBRM = 20; % Time step after nucleation and growth almost stop (s)
tStopAdd = SiSolnVol/Q1; % Time (sec) after which no more silicate solution is being
added
tSimEnd = (time(end)+1)*60; % Time (sec) at the last data point is simulated

% Initializing all variables
niAqEQ = zeros(NS,defaultLength); % Local-equilibrium molar abundances of aqueous
species
miAqEQ = zeros(NS,defaultLength); % Local-equilibrium molalities of aqueous species
actCoeffiAqEQ = zeros(NS,defaultLength); % Local-equilibrium molal activity
coefficients of aqueous species
S_CSH = zeros(defaultLength,1); % Supersaturation ratio with respect to C-S-H
Ca = zeros(defaultLength,1); % Local-equilibrium molar abundances of Ca2+ ions
t = zeros(defaultLength,1); % time (sec)
V = [V0;zeros(defaultLength-1,1)]; % Volume of the reaction medium (m3)
y = [y0;zeros(defaultLength-1,2*qN+4)]; % ODE dependent variables
JI = zeros(defaultLength,1); % Rate of primary nucleation ( # nuclei/s); at time zero
there should not be any nucleation otherwise this has to be corrected
JII = zeros(defaultLength,1); % Rate of secondary nucleation ( # nuclei/s)
nCrystallite = zeros(defaultLength,1); % Total number of precipitated crystallites
nParticle = zeros(defaultLength,1); % Total number of precipitated particles
avgCrystL = zeros(defaultLength,1); % Average crystallites thickness
avgPartL = zeros(defaultLength,1); % Average particle edge length
xA = 1; % The available fraction of crystallite surface area for secondary nucleation

Be = [y0(:,2*qN+1:10);nN]; % Total molar abundances of different elements present in
the aqueous solution
[niAqEQ(:,1),miAqEQ(:,1),actCoeffiAqEQ(:,1)] = aqEQBRM(aqEqbrmPar,Be); % Initial
speciation calculation
S_CSH(1) = (miAqEQ(12,1)*actCoeffiAqEQ(12,1) ...
            *sqrt(miAqEQ(3,1)*actCoeffiAqEQ(3,1)...
            *miAqEQ(6,1)*actCoeffiAqEQ(6,1)) / Ksp)^(1/nu);
Ca(1) = niAqEQ(2,1);

particleSwitch = 0; % Switch for the presence of particles in system; before first
nucleation event, the switch is 0 meaning there are no particles
nuclLim = 0.01; % Minimum nucleation rate (#/s) where nucleation switch will be turned
on
i = 1; % While loop counter

options = odeset('NonNegative',ones(1,2*qN+4),'Vectorized','on','RelTol',1e-8); %
Options for ode solver

```

```

while t(i)<=tSimEnd
    if t(i)>=tStopAdd % Stop reactant addition when it is finished
        Q1=0;
    end

    if (JI(i)>=nuclLim) || (JII(i)>=nuclLim)
        nuclSwitch = 1; % Switch for nucleation event
        particleSwitch = 1;
    else
        nuclSwitch = 0;
    end

    if (S_CSH(i)<=1) && (nuclSwitch == 0) && (particleSwitch == 0) % Undersaturated
system
        t(i+1) = t(i)+tStepAqEQBRM;
        V(i+1) = V(i)+Q1*tStepAqEQBRM;
        y(i+1,:) = [y(i,1:2*qN),...
            y(i,2*qN+1)+Q1*tStepAqEQBRM*cO,...
            y(i,2*qN+2),...
            y(i,2*qN+3)+Q1*tStepAqEQBRM*cSi,...
            y(i,2*qN+4)+Q1*tStepAqEQBRM*cNa];
    elseif (S_CSH(i)>1) && (nuclSwitch == 0) && (particleSwitch == 0) % Supersaturated
system with no precipitation (metastable)
        t(i+1) = t(i)+tStepAqEQBRM;
        V(i+1) = V(i)+Q1*tStepAqEQBRM;
        y(i+1,:) = [y(i,1:2*qN),...
            y(i,2*qN+1)+Q1*tStepAqEQBRM*cO,...
            y(i,2*qN+2),...
            y(i,2*qN+3)+Q1*tStepAqEQBRM*cSi,...
            y(i,2*qN+4)+Q1*tStepAqEQBRM*cNa];
    elseif (S_CSH(i)>1) && (nuclSwitch == 1) && (particleSwitch == 1) % Onset of
precipitation
        t(i+1) = t(i)+tStepNucl;
        V(i+1) = V(i)+Q1*tStepNucl;
        tspan = [t(i) t(i+1)];
        [tTemp,yTemp] = ode15s(@(t,y)
equationSetVectorized(t,y,qN,Ksp,S_CSH(i),V(i),Q1,cO,cSi,cNa,gamm,gammEff,xA,Al,
All,kr,g,avgCrystL(i),...
        kA,kV,B,rhoMolar,Omega,kB,T,nu),tspan,y(i,:),options);
        y(i+1,:) = yTemp(end,:);
    elseif (S_CSH(i)>1) && (nuclSwitch == 0) && (particleSwitch == 1) % Only growth (no
further nucleation)
        t(i+1) = t(i)+tStepGrowth;
        V(i+1) = V(i)+Q1*tStepGrowth;
        tspan = [t(i) t(i+1)];

```

```

[tTemp,yTemp] = ode15s(@(t,y)
equationSetVectorized(t,y,qN,Ksp,S_CSH(i),V(i),Q1,cO,cSi,cNa,gamm,gammEff,xA,Al,
All,kr,g,avgCrystL(i),...
kA,kV,B,rhoMolar,Omega,kB,T,nu),tspan,y(i,:),options);
y(i+1,:) = yTemp(end,:);
elseif (0.99<=S_CSH(i)<=1) && (nuclSwitch == 0) && (particleSwitch == 1) %
Equilibrium
t(i+1) = t(i)+tStepEQBRM;
V(i+1) = V(i)+Q1*tStepEQBRM;
y(i+1,:) = [y(i,1:2*qN),...
y(i,2*qN+1)+Q1*tStepEQBRM*cO,...
y(i,2*qN+2),...
y(i,2*qN+3)+Q1*tStepEQBRM*cSi,...
y(i,2*qN+4)+Q1*tStepEQBRM*cNa];
else
error('Abnormality in supersaturation ratio, nucleation switch, and/or particle switch
encountered!')
break
end

% Updating the local-equilibrium speciation
Be = [y(i+1,2*qN+1:2*qN+4)';nN];
[niAqEQ(:,i+1),miAqEQ(:,i+1),actCoeffiAqEQ(:,i+1)] =
aqEQBRM(aqEqbrmPar,Be,niAqEQ(:,i));

S_CSH(i+1) = (miAqEQ(12,i+1)*actCoeffiAqEQ(12,i+1) ...
*sqrt(miAqEQ(3,i+1)*actCoeffiAqEQ(3,i+1)...
*miAqEQ(6,i+1)*actCoeffiAqEQ(6,i+1)) / Ksp)^(1/nu);
Ca(i+1) = niAqEQ(2,i+1);

% Nucleation rates
if (S_CSH(i+1)>1)
JI(i+1) = V(i+1)*Al*(nu*log(S_CSH(i+1)))^2*exp(-
B*gamm^3*Omega^2/kB^3/T^3/nu^2/log(S_CSH(i+1))^2); % # nuclei/s
JII(i+1) = V(i+1)*All*(nu*log(S_CSH(i+1)))^2*xA*kA*y(i+1,3)*exp(-
B*gammEff^3*Omega^2/kB^3/T^3/nu^2/log(S_CSH(i+1))^2); % # nuclei/s
else
JI(i+1) = 0;
JII(i+1) = 0;
end

% Integration intervals for counting the number of particles
interval = zeros(i,1);
for j=1:i
interval(j,1) = t(j+1)-t(j);
end

```

```

nParticle(i+1) = sum(JI(1:i).*interval); % # of (secondary) polycrystalline particles =
number of primary nuclei
if (nParticle(i+1)<1)
    nParticle(i+1) = 0;
    nCrystallite(i+1) = 0;
    avgCrystL(i+1) = 0;
    avgPartL(i+1) = 0;
else
    nCrystallite(i+1) = sum( (JI(1:i)+JII(1:i)).*interval ); % # of crystallites = m_0*V
    avgCrystL(i+1) = (y(i+1,4)*V(i+1)/nCrystallite(i+1))^(1/3); % Mean crystallite size; m
    avgPartL(i+1) = avgCrystL(i+1)*sqrt(kV*nCrystallite(i+1)/nParticle(i+1)); % Mean
particle size (avgCrystL*avgPartL*avgPartL); m
    xA = 4*avgCrystL(i+1)*avgPartL(i+1)*nParticle(i+1)/(kA*y(i+1,3)*V(i+1));
end

i = i+1;
end

%% Removing zeros in the default initialization
Ca = Ca(1:i,1);
t = t(1:i,1);

%% Interpolation at time values corresponding to experimental data-points
tMinute = (tStart+t)/60; % Correcting time and conversion from sec to min
CaSim = interp1(tMinute,Ca,time); % mol

```

```

function [Li,w]=WH(m,N)
% This code was taken from the Appendix A.1.2 of the following reference:
% Marchisio, D. L., & Fox, R. O. (2013). Computational models for polydisperse
% particulate and multiphase systems. Cambridge University Press.
% compute quadrature approximation with the Wheeler algorithm
%
% INPUT:
% N = number of nodes of the quadrature approximation
% m = moments from 0 to 2N-1 [mom(1), ..., mom(2N)]
%
% OUTPUT:
% Li = abscissas
% w = weights
%
% compute intermediate coefficients
%
for l=1:2*N-2
    sigma(1,l+1) = 0.0;
end
%
for l=0:2*N-1
    sigma(2,l+1) = m(l+1);
end
%
% compute coefficients for Jacobi matrix
%
a(1) = m(2)/m(1);
b(1) = 0.0;
%
for k=1:N-1
    for l=k:2*N-k-1
        sigma(k+2,l+1) = sigma(k+1,l+2)-a(k)*sigma(k+1,l+1)-b(k)*sigma(k,l+1);
        a(k+1) = -sigma(k+1,k+1)/sigma(k+1,k)+sigma(k+2,k+2)/sigma(k+2,k+1);
        b(k+1) = sigma(k+2,k+1)/sigma(k+1,k);
    end
end
% compute Jacobi matrix
%
for i=1:N
    jacobi(i,i)=a(i);
end
%
for i=1:N-1
    jacobi(i,i+1) = -(abs(b(i+1)))^0.5;
    jacobi(i+1,i) = -(abs(b(i+1)))^0.5;
end

```

```
%  
% compute eigenvalues and eigenvectors  
%  
[evec,eval]=eig(jacobi);  
%  
% return weights  
%  
for i=1:N  
    w(i)=evec(1,i)^2*m(1);  
end  
%  
% return abscissas  
%  
for i=1:N  
    Li(i)=eval(i,i);  
end  
end
```