Supporting Information

Exploration of the unusual two-step volume phase transition of the poly(N-vinylcaprolactam-co-hydroxyethyl methacrylate) hydrogel

Gehong Su,^a Liyang Jia,^a Xueqian Zhang,^a Yulin Zhang,^a Pengchi Deng,^b and Tao Zhou^{a,}*

^a State Key Laboratory of Polymer Materials Engineering of China, Polymer Research Institute,

Sichuan University, Chengdu 610065, China; ^b Analytical & Testing Center, Sichuan University,

Chengdu 610065, China

*Corresponding author. Tel.: +86-28-85402601; Fax: +86-28-85402465. E-mail address: zhoutaopoly@scu.edu.cn (T. Zhou)

1. Theory of the scaling-MW2D spectroscopy based on auto-correlation

W(v, T) is a $M \times N$ spectral intensity matrix. The v and T are spectral variable (e.g., wavenumber) and perturbation variable (e.g., temperature), respectively.

$$W(v,T) = \begin{pmatrix} y(v,T_{I}) \\ \vdots \\ y(v,T_{j}) \\ \vdots \\ y(v,T_{M}) \end{pmatrix}$$
(1)

The reference spectrum and dynamic spectrum in the *j*th submatrix of W(v, T):

$$\overline{y}(v) = \frac{1}{2m+1} \sum_{J=j-m}^{j+m} y(v, T_J)$$
(2)

$$\tilde{y}(v,T_{I}) = y(v,T_{I}) - \bar{y}(v)$$
⁽³⁾

where J corresponds to the index of rows. A mean-centered *j*th submatrix of W(v, T) is obtained.

$$w_{j}(v,T) = \begin{pmatrix} \widetilde{y}(v,T_{j-m}) \\ \vdots \\ \widetilde{y}(v,T_{j}) \\ \vdots \\ \widetilde{y}(v,T_{j+m}) \end{pmatrix}$$

$$(4)$$

The $w_j(v, T)$ has 2m+1 rows which is called the window size. The index range of the perturbation variable *I* of $w_j(v, T)$ is from j-m to j+m.

The generalized synchronous 2D correlation spectra is calculated from $w_j(v, T)$:

$$\Phi_{j}(v_{1}, v_{2}) = \frac{1}{2m} \sum_{J=j-m}^{j+m} \tilde{y}(v_{1}, T_{J}) \cdot \tilde{y}(v_{2}, T_{J})$$
(5)

For each window, the standard deviations of spectral intensities at v_1 and v_2 are defined as follows.

$$\sigma(v_1) = \sqrt{\Phi_j(v_1, v_1)} \tag{6}$$

$$\sigma(v_2) = \sqrt{\Phi_i(v_2, v_2)} \tag{7}$$

The correlation coefficient $\rho(v_1, v_2)$ is defined:

$$\rho(v_1, v_2) = \Phi_i(v_1, v_2) / [\sigma(v_1) \cdot \sigma(v_2)]$$
(8)

The scaled forms of the synchronous correlation spectrum in each window are defined:

$$\Phi_{j}(v_{1}, v_{2})^{(Scaled)} = \Phi_{j}(v_{1}, v_{2}) \cdot [\sigma(v_{1}) \cdot \sigma(v_{2})]^{-\alpha} \cdot |\rho(v_{1}, v_{2})|^{\beta}$$
(9)

The constant α is the scaling factor, and β is correlation enhance factor. The value of α is limited to 0–1.0.

For scaling-MW2D based on auto-correlation, the each row of the correlation matrix of scaling-MW2D is directly extracted from a diagonal line of $\Phi_j^{(Scaled)}$ matrix, namely $v_1 = v_2$. Thus, the auto-correlation scaling-MW2D can be described below.

$$\Phi_{j}(v_{1},v_{1})^{(Scaled)} = \Phi_{j}(v_{1},v_{1}) \cdot [\sigma(v_{1}) \cdot \sigma(v_{1})]^{-\alpha} \cdot |\rho(v_{1},v_{1})|^{\beta}$$
(10)

$$\rho(v_1, v_1) = \Phi_j(v_1, v_1) / [\sigma(v_1) \cdot \sigma(v_1)] = 1$$
(11)

$$\Phi_{j}(v_{1},v_{1})^{(Scaled)} = [\Phi_{j}(v_{1},v_{1})]^{1-\alpha}$$
(12)

The auto-correlation scaling-MW2D correlation spectrum is gained *via* sliding window position from j=1+m to *M*-*m* and repeating calculations of equation (2)-(12) at each window.

2. Determination of the gel content

Gel content of the resultant PVCL-*co*-HEMA hydrogel was measured according to the following procedure:

The newly synthesized hydrogel was dried in a vacuum oven to the constant weight, and then the hydrogel was extracted with ethanol in a Soxhlet extractor for 48 h (90 °C). After that, the extraction product was dried again to constant weight, and the gel content was calculated as

$$W_{gel} = \frac{w_d}{w_i} \times 100\%$$

where w_d is the weight of dried gel after extraction, and w_i is the initial weight of the newly synthesized gel after drying.

The gel content of the resultant PVCL-co-HEMA hydrogel in our study was measured as 82.4 wt%.



3. Determination of the VCL weight fraction in the gel

Fig. S1¹H HRMAS NMR spectrum of PVCL-*co*-HEMA hydrogel collected at 600.10 MHz.

Fig. S1 shows the ¹H HRMAS NMR spectrum of the swollen PVCL-*co*-HEMA hydrogel. The detailed assignments of the resonances in **Fig. S1** are summarized in **Table S1**. Based on the obtained ¹H HRMAS NMR spectrum, the molar fraction of VCL and HEMA in the resultant PVCL-*co*-HEMA hydrogel can be calculated:

$$x_{HEMA} = \left(\frac{A_a}{3}\right) / \left[\frac{A_c + A_{d+e} + A_{f+g} - \left(\frac{A_b}{3} \times 2\right) - A_b - \left(\frac{A_a}{3} \times 2\right) - \left(\frac{A_h}{2} \times 4\right)}{12} + \frac{A_a}{3}\right]$$

$$x_{VCL} = 1 - x_{HEMA}$$

where A represents the integral area.

Therefore, the weight fraction of VCL and HEMA in the gel can be obtained:

$$\omega_{VCL} = \frac{x_{VCL} \times M_{VCL}}{x_{VCL} \times M_{VCL} + x_{HEMA} \times M_{HEMA}} \times 100\%$$
$$\omega_{HEMA} = 1 - \omega_{VCL}$$

where M_{VCL} and M_{HEMA} represent the relative molecular mass of VCL and HEMA, respectively.

Based on these two equations, the molar fraction of VCL and HEMA in the resultant

PVCL-*co*-HEMA hydrogel was calculated as 88.6 % and 11.4 %, respectively. Besides, the weight fraction of VCL and HEMA in the gel was 89.3 % and 10.7 %, respectively.

	¹ H chemical shifts (ppm)	Assignment	References
a	0.83	-CH ₃ , PHEMA	1
b	1.23	-CH ₃ , ethanol	2, 3
c	1.63	-CH ₂ , PVCL	4, 5
d	2.29	-CH ₂ , PHEMA+BIS	1,6
e	2.45	-CH ₂ , PVCL	4, 5
f	3.16	-CH ₂ , ethanol	2, 3
g	3.23	-CH ₂ , PVCL	4, 5
h	7.72	-NH, BIS	6
	4.75	HDO	7

 Table S1. ¹H resonances of PVCL-co-HEMA hydrogel observed in Fig. S1

4. Digital photographs of the Gel-2 hydrogel and the Gel-12 hydrogel



Fig. S2 Photographs of the monomer solution (left) and the resultant PVCL-*co*-HEMA hydrogels (right).

5. Second-derivative spectra in the region of C–H stretching vibration



Fig. S3 Second-derivative FTIR spectra of the temperature-dependent FTIR spectra in the region of C–H stretching $(3020-2840 \text{ cm}^{-1})$.



6. Generalized 2D correlation FTIR spectra

Fig. S4 Synchronous (top) and asynchronous (bottom) generalized 2D correlation FTIR spectra of PVCL-*co*-HEMA hydrogel calculated from the temperature-dependent FTIR spectra within the step I (25.0-32.3 °C). Herein, the red color is defined as positive correlation intensity, while blue color represents the negative correlation.



Fig. S5 Synchronous (top) and asynchronous (bottom) generalized 2D correlation FTIR spectra of PVCL-*co*-HEMA hydrogel calculated from the temperature-dependent FTIR spectra within the step II (32.3-46.8 °C).

Cross peaks (cm ⁻¹ , cm ⁻¹)	Synchronous	Asynchronous	Sequential order			
(2945, 2865)	+	-	2865→2945			
$2865 \text{ cm}^{-1} \rightarrow 2945 \text{ cm}^{-1}$						
(1714, 1706)	+	-	1706→1714			
(1714, 1698)	+	-	1698→1714			
(1706, 1698)	+	+	1706→1698			
	$1706 \text{ cm}^{-1} \rightarrow 1698 \text{ cm}^{-1}$	$m^{-1} \rightarrow 1714 \text{ cm}^{-1}$				
(1622, 1614)	+	-	1614→1622			
(1622, 1606)	+	-	1606→1622			
(1622, 1586)	+	-	1586→1622			
(1614, 1606)	+	-	1606→1614			
(1614, 1586)	+	+	1614→1586			
(1606, 1586)	+	+	1606→1586			
1606	$\mathrm{cm}^{-1} \rightarrow 1614 \mathrm{~cm}^{-1} \rightarrow 1614 \mathrm{~cm}^{-1}$	$1586 \text{ cm}^{-1} \rightarrow 1622 \text{ cm}^{-1}$				
(2945, 1714)	+	-	1714→2945			
(2945, 1706)	+	-	1706→2945			
(2945, 1698)	+	-	1698→2945			
(2865, 1714)	+	-	1714→2865			
(2865, 1706)	+	-	1706→2865			
(2865, 1698)	+	-	1698→2865			
$1706 \text{ cm}^{-1} \rightarrow$	$\cdot 1698 \text{ cm}^{-1} \rightarrow 1714 \text{ cm}^{-1}$	$m^{-1} \rightarrow 2865 \text{ cm}^{-1} \rightarrow 294$	15 cm^{-1}			
(2945, 1622)	+	+	2945→1622			
(2945, 1614)	+	+	2945→1614			
(2945, 1606)	+	+	2945→1606			
(2945, 1586)	+	+	2945→1586			
(2865, 1622)	+	+	2865→1622			
(2865, 1614)	+	+	2865→1614			
(2865, 1606)	+	+	2865→1606			
(2865, 1586)	+	+	2865→1586			
$2865 \text{ cm}^{-1} \rightarrow 2945$	$cm^{-1} \rightarrow 1606 cm^{-1} \rightarrow 1$	$1614 \text{ cm}^{-1} \rightarrow 1586 \text{ cm}^{-1}$	$\rightarrow 1622 \text{ cm}^{-1}$			
(1714, 1622)	+	+	1714→1622			
(1714, 1614)	+	+	1714→1614			
(1714, 1606)	+	+	1714→1606			
(1714, 1586)	+	+	1714→1586			
(1706, 1622)	+	+	1706→1622			
(1706, 1614)	+	+	1706→1614			
(1706, 1606)	+	+	1706→1606			
(1706, 1586)	+	+	1706→1586			
(1698, 1622)	+	+	1698→1622			
(1698, 1614)	+	+	1698→1614			
(1698, 1606)	+	+	1698→1606			
(1698, 1586)	+	+	1698→1586			

Table S2. The sequential order of step I (25.0-32.3 °C) obtained from the synchronous and asynchronous generalized 2D correlation FTIR spectra of **Fig. S4**

$1706 \text{ cm}^{-1} \rightarrow 1698 \text{ cm}^{-1} \rightarrow 1714 \text{ cm}^{-1} \rightarrow 1606 \text{ cm}^{-1} \rightarrow 1614 \text{ cm}^{-1} \rightarrow 1586 \text{ cm}^{-1} \rightarrow 1622 \text{ cm}^{-1}$
$1706 \text{ cm}^{-1} \rightarrow 1698 \text{ cm}^{-1} \rightarrow 1714 \text{ cm}^{-1} \rightarrow 2865 \text{ cm}^{-1} \rightarrow 2945 \text{ cm}^{-1} \rightarrow 1606 \text{ cm}^{-1} \rightarrow 1614 \text{ cm}^{-1} \rightarrow 1586$
$\mathrm{cm}^{-1} \rightarrow 1622 \mathrm{~cm}^{-1}$

Table S3. The sequential order of step II (32.3-46.8 °C) obtained from the synchronous and asynchronous generalized 2D correlation FTIR spectra of **Fig. S5**

Cross peaks (cm ⁻¹ , cm ⁻¹)	Synchronous	Asynchronous	Sequential order				
(2945, 2865)	+	+	2945→2865				
$2945 \text{ cm}^{-1} \rightarrow 2865 \text{ cm}^{-1}$							
(1714, 1706)	+	+	1714→1706				
(1714, 1698)	+	+	1714→1698				
(1706, 1698)	+	+	1706→1698				
171	$1714 \text{ cm}^{-1} \rightarrow 1706 \text{ cm}^{-1} \rightarrow 1698 \text{ cm}^{-1}$						
(1622, 1614)	+	+	1622→1614				
(1622, 1606)	+	+	1622→1606				
(1622, 1586)	+	+	1622→1586				
(1614, 1606)	+	-	1606→1614				
(1614, 1586)	+	+	1614→1586				
(1606, 1586)	+	+	1606→1586				
1622 cm ⁻¹	\rightarrow 1606 cm ⁻¹ \rightarrow 162	$14 \text{ cm}^{-1} \rightarrow 1586 \text{ cm}^{-1}$					
(2945, 1714)	+	+	2945→1714				
(2945, 1706)	+	+	2945→1706				
(2945, 1698)	+	+	2945→1698				
(2865, 1714)	+	+	2865→1714				
(2865, 1706)	+	+	2865→1706				
(2865, 1698)	+	+	2865→1698				
$2945 \text{ cm}^{-1} \rightarrow 286$	$55 \text{ cm}^{-1} \rightarrow 1714 \text{ cm}^{-1}$	$\rightarrow 1706 \text{ cm}^{-1} \rightarrow 1698$	3 cm^{-1}				
(2945, 1622)	+	-	1622→2945				
(2945, 1614)	+	-	1614→2945				
(2945, 1606)	+	-	1606→2945				
(2945, 1586)	+	-	1586→2945				
(2865, 1622)	+	-	1622→2865				
(2865, 1614)	+	-	1614→2865				
(2865, 1606)	+	-	1606→2865				
(2865, 1586)	+	-	1586→2865				
$1622 \text{ cm}^{-1} \rightarrow 1606 \text{ cm}^{-1}$	$\rightarrow 1614 \text{ cm}^{-1} \rightarrow 153$	$86 \text{ cm}^{-1} \rightarrow 2945 \text{ cm}^{-1} -$	$\rightarrow 2865 \text{ cm}^{-1}$				
(1714, 1622)	+	-	1622→1714				
(1714, 1614)	+	-	1614→1714				
(1714, 1606)	+	-	1606→1714				
(1714, 1586)	+	-	1586→1714				
(1706, 1622)	+	-	1622→1706				
(1706, 1614)	+	-	1614→1706				
(1706, 1606)	+	-	1606→1706				
(1706, 1586)	+	-	1586→1706				

(1698, 1622)	+	-	1622→1698		
(1698, 1614)	+	-	1614→1698		
(1698, 1606)	+	-	1606→1698		
(1698, 1586)	+	-	1586→1698		
$1622 \text{ cm}^{-1} \rightarrow 1606 \text{ cm}^{-1} \rightarrow 1614 \text{ cm}^{-1} \rightarrow 1586 \text{ cm}^{-1} \rightarrow 1714 \text{ cm}^{-1} \rightarrow 1706 \text{ cm}^{-1} \rightarrow 1698 \text{ cm}^{-1}$					
$1622 \text{ cm}^{-1} \rightarrow 1606 \text{ cm}^{-1} \rightarrow 1614 \text{ cm}^{-1} \rightarrow 1586 \text{ cm}^{-1} \rightarrow 2945 \text{ cm}^{-1} \rightarrow 2865 \text{ cm}^{-1} \rightarrow 1714 \text{ cm}^{-1} \rightarrow 1706$					
$\rm cm^{-1} \rightarrow 1698 \ \rm cm^{-1}$					

References

- J. V. M. Weaver, I. Bannister, K. L. Robinson, X. Bories-Azeau, S. P. Armes, M. Smallridge and P. McKenna, *Macromolecules*, 2004, **37**, 2395-2403.
- 2. K. Mizuno, Y. Miyashita, Y. Shindo and H. Ogawa, J. Phys. Chem., 1995, 99, 3225-3228.
- B. Monakhova Yulia, H. Schäfer, E. Humpfer, M. Spraul, T. Kuballa and W. Lachenmeier Dirk, Magn. Reson. Chem., 2011, 49, 734-739.
- 4. A. Balaceanu, D. E. Demco, M. Möller and A. Pich, Macromolecules, 2011, 44, 2161-2169.
- S. Kozanoğlu, T. Özdemir and A. Usanmaz, J. Macromol. Sci., Part A: Pure Appl. Chem., 2011, 48, 467-477.
- 6. S. Zhang and L. Echegoyen, J. Am. Chem. Soc., 2005, 127, 2006-2011.
- 7. N. Wang, G. Ru, L. Wang and J. Feng, *Langmuir*, 2009, 25, 5898-5902.