

## Highly active aluminium catalysts for room temperature ring-opening polymerisation of *rac*-lactide.

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**Materials and methods:**

All reagents were obtained from Sigma-Aldrich and Fluorochem. THF was dried over sodium / benzophenone and stored under nitrogen over activated molecular sieve (3 Å) in a glove box. Pentane and toluene were obtained from a solvent purification system and stored under nitrogen over activated molecular sieve (3 Å) in a glove box. All manipulations for complex synthesis and polymerisation reactions were performed using Schlenk techniques or using an MBraun glovebox under nitrogen.

**Characterizations:**

NMR spectra were recorded on Bruker AVIII-400 and AVIII-500 spectrometers. All chemical shifts were determined using residual signals of the deuterated solvents and were calibrated vs. SiMe<sub>4</sub>.

Elemental analysis were carried out by Stephen Boyer at London Metropolitan University.

MALDI-ToF spectrometry measurements were performed on Waters/Micromass MALDI micro MX spectrometer using positive ionisation. Polymers were dissolved in THF at a concentration of 10 mg/mL. Dithranol was used as matrix (10 mg/mL in THF). Potassium trifluoroacetate (KTFA) was used as cationizing agent (10 mg/mL in THF). The solutions of polymer, matrix and salt were mixed in a ratio of 1/1/1 (v/v/v), respectively. The mixed solution was spotted on a stainless steel MALDI plate and left to dry for a couple of hours. The spectra were recorded using reflectron mode.

Size Exclusion Chromatography (SEC) measurements were performed on a Waters 1515 equipped with a set of Styragel<sup>®</sup> HR 5E columns (one 4.6 x 30mm guard column and two 4.6 x 300mm columns) using GPC grade THF (Fischer) as eluent at 0.3 mL/min and 40 °C. RID detection was used using an external Waters 2414 RID at 40 °C. Polystyrene standards (Agilent) were used for calibration.

The pro-ligand H<sub>2</sub><sup>H2</sup>L was synthesised according to the literature procedure using 3,5-di-*tert*-butylcatechol and 1,2-ethanediamine.<sup>2</sup>

### Synthesis of aluminium complexes (NMR data available here: [10.14469/hpc/4038](https://doi.org/10.14469/hpc/4038))

*Synthesis of <sup>H2</sup>LAl(Et) (1)*: A pre-cooled solution (-40 °C) of AlEt<sub>3</sub> (61 mg; 0.53 mmol) in THF (2 mL) is added to a pre-cooled solution (-40 °C) of H<sub>2</sub><sup>H2</sup>L (250 mg; 0.53 mmol) in THF (5 mL). The solution was allowed to warm to room temperature and stirred for 4 hours. The solvent was evaporated off to yield the crude compound <sup>H2</sup>LAl(Et) (quantitative yield) as determined by NMR spectroscopy (see below). All attempts to purify the complex using pentane or mixtures pentane/THF at different temperatures (-40 °C and r.t.) led to isolate **2**.

<sup>1</sup>H NMR (500 MHz, C<sub>6</sub>D<sub>6</sub>) δ<sub>1H</sub> (ppm): 7.41 (d, <sup>4</sup>J = 2.3 Hz, 2H, Ar-H), 6.61 (d, <sup>4</sup>J = 2.3 Hz, 2H, Ar-H), 2.36 (m, 2H, N-H), 2.00 (m, <sup>3</sup>J = 5.02 Hz, 2H, -CH<sub>2</sub>-), 1.84 (m, 2H, -CH<sub>2</sub>-), 1.69 (s, 18H, <sup>t</sup>Bu), 1.48 (t, <sup>3</sup>J = 8.0 Hz, 3H, Al-CH<sub>2</sub>-CH<sub>3</sub>), 1.39 (s, 18H, <sup>t</sup>Bu), 0.24 (q, <sup>3</sup>J = 8.0 Hz, 2H, Al-CH<sub>2</sub>). <sup>13</sup>C NMR (125 MHz, C<sub>6</sub>D<sub>6</sub>) δ<sub>13C</sub> (ppm): 156.7 (C<sub>quat</sub>, Ar), 137.7 (C<sub>quat</sub>, Ar), 137.0 (C<sub>quat</sub>, Ar), 129.4 (C<sub>quat</sub>, Ar), 122.6 (CH, Ar-H), 116.6 (CH, Ar-H), 46.6 (CH<sub>2</sub>, N-CH<sub>2</sub>), 35.2 (C<sub>quat</sub>, <sup>t</sup>Bu), 34.1 (C<sub>quat</sub>, <sup>t</sup>Bu), 31.8 (CH<sub>3</sub>, <sup>t</sup>Bu), 29.3 (CH<sub>3</sub>, <sup>t</sup>Bu), 10.4 (CH<sub>3</sub>, Al-CH<sub>2</sub>-CH<sub>3</sub>), 1.1 (CH<sub>2</sub>, Al-CH<sub>2</sub>). EA attempted but a low carbon content was found, possibly due to the air- and moisture-sensitivity of **1** as well as the presence of residual aluminium contaminants (as aforementioned, all purification attempts of **1** led to isolate **2**).

*Synthesis of <sup>H</sup>LAl (2)*: **1** (277 mg; 0.53 mmol) was stirred in pentane overnight to lead to formation of a white precipitate. Subsequent filtration and washing with pentane afford **2** as white powder (198 mg, 76% yield).

<sup>1</sup>H NMR (500 MHz, C<sub>6</sub>D<sub>6</sub>) δ<sub>1H</sub> (ppm): 7.30 (d, <sup>4</sup>J = 2.0 Hz, 1H, Ar-H), 7.09 (d, <sup>4</sup>J = 2.0 Hz, 1H, Ar-H), 7.01 (d, <sup>4</sup>J = 2.0 Hz, 1H, Ar-H), 6.48 (d, <sup>4</sup>J = 2.0 Hz, 1H, Ar-H), 3.89 (td, 1H, N-CH<sub>a</sub>H), 3.31 (dd, 1H, N-H), 2.92 (dd, 1H, N-CH<sub>b</sub>H), 2.28 (m, 1H, N-CH<sub>c</sub>H), 1.82 (s, 9H, <sup>t</sup>Bu), 1.72 (s, 9H, <sup>t</sup>Bu), 1.22 (s, 9H, <sup>t</sup>Bu), 1.19 (s, 9H, <sup>t</sup>Bu). <sup>13</sup>C NMR (125 MHz, C<sub>6</sub>D<sub>6</sub>) δ<sub>13C</sub>: 154.9 (C<sub>quat</sub>, Ar), 151.6 (C<sub>quat</sub>, Ar), 140.8 (C<sub>quat</sub>, <sup>t</sup>Bu), 138.4 (C<sub>quat</sub>, <sup>t</sup>Bu), 136.9 (C<sub>quat</sub>, <sup>t</sup>Bu), 135.6 (C<sub>quat</sub>, <sup>t</sup>Bu), 122.8 (CH, Ar-H), 118.7 (CH, Ar-H), 117.0 (CH, Ar-H), 116.8 (CH, Ar-H), 49.5 (CH<sub>2</sub>, N-CH<sub>2</sub>), 48.7 (CH<sub>2</sub>, N-CH<sub>2</sub>), 31.9 (CH<sub>3</sub>, <sup>t</sup>Bu), 31.8 (CH<sub>3</sub>, <sup>t</sup>Bu), 29.8 (CH<sub>3</sub>, <sup>t</sup>Bu). EA: Found: C, 72.97; H, 9.35; N, 5.59. Calc. for C<sub>30</sub>H<sub>45</sub>AlN<sub>2</sub>O<sub>2</sub>: C, 73.14; H, 9.21; N, 5.69.

*Synthesis of <sup>H2</sup>LAl(OBn) (3)*: To a solution of **2** (153 mg, 0.31 mmol) in toluene (3 mL) was added 1 equivalent of BnOH (33.8 mg, 32.4 μL, 0.31 mmol). The mixture was allowed to stir at room temperature overnight. Evaporation of the volatile and subsequent washing with pentane afford pure **3** as a white powder (148 mg, 79 % yield).

<sup>1</sup>H NMR (400 MHz, C<sub>6</sub>D<sub>6</sub>) δ<sub>1H</sub> (ppm): 7.53 (d, <sup>4</sup>J = 2.3 Hz, 1H, Ar-H), 7.26 (d, 2H, Benzyloxy Ar-H), 7.22 (d, <sup>4</sup>J = 2.3 Hz, 1H, Ar-H), 7.07 (m, 3H, Ar-H + 2 x Benzyloxy Ar-H), 6.94 (t, 1H, Benzyloxy Ar-H), 6.65 (d, <sup>4</sup>J = 2.3 Hz, 1H, Ar-H), 4.83 (m, 2H, N-H + Benzyloxy CH<sub>2</sub>), 4.55 (d, 1H, Benzyloxy CH<sub>2</sub>), 3.49 (dd, <sup>3</sup>J = 11.2 Hz, 5.1 Hz, 1H, N-H), 2.40 (m, 1H, N-CH<sub>a</sub>H-), 2.26 (m, 2H, N-CH<sub>b</sub>H- + N-CH<sub>c</sub>H-), 2.09 (s, 10H, N-CH<sub>d</sub>H- + 9 x <sup>t</sup>Bu), 1.39 (s, 9H, <sup>t</sup>Bu), 1.31 (s, 9H, <sup>t</sup>Bu), 1.20 (s, 9H, <sup>t</sup>Bu). <sup>13</sup>C NMR (125 MHz, C<sub>6</sub>D<sub>6</sub>) δ<sub>13C</sub>: 158.1 (C<sub>quat</sub>, Ar), 157.7 (C<sub>quat</sub>, Ar), 142.3 (C<sub>quat</sub>, BnO- Ar), 137.5 (C<sub>quat</sub>, Ar), 136.9 (C<sub>quat</sub>, Ar), 136.4 (C<sub>quat</sub>, Ar), 136.3 (C<sub>quat</sub>, Ar), 132.7 (C<sub>quat</sub>, Ar), 131.9 (C<sub>quat</sub>, Ar), 128.8 (CH, BnO- Ar-H), 127.4 (CH, BnO- Ar-H), 127.3 (CH, BnO- Ar-H), 122.5 (CH, Ar-H), 121.8 (CH, Ar-H), 117.7 (CH, Ar-H), 115.6 (CH, Ar-H), 65.1 (CH<sub>2</sub>, BnO- Ar-CH<sub>2</sub>-), 51.8 (CH<sub>2</sub>, N-CH<sub>2</sub>), 42.2 (CH<sub>2</sub>, N-CH<sub>2</sub>), 35.7 (C<sub>quat</sub>, <sup>t</sup>Bu), 35.0 (C<sub>quat</sub>, <sup>t</sup>Bu), 34.5 (C<sub>quat</sub>, <sup>t</sup>Bu), 34.4 (C<sub>quat</sub>, <sup>t</sup>Bu), 32.1 (CH<sub>3</sub>, <sup>t</sup>Bu), 32.1 (CH<sub>3</sub>, <sup>t</sup>Bu), 30.6 (CH<sub>3</sub>, <sup>t</sup>Bu), 29.3 (CH<sub>3</sub>, <sup>t</sup>Bu). EA: Found: C, 73.84; H, 9.02; N, 4.56. Calc. for C<sub>37</sub>H<sub>53</sub>AlN<sub>2</sub>O<sub>3</sub>: C, 73.97; H, 8.89; N, 4.66.

## General procedure for polymerisation reactions:

**Reaction in solvent:** In a glovebox, *rac*-LA was mixed with the desired solvent to reach  $[LA]_0 = 1M$ . The resulting solution was added to the studied catalyst (10 mg; 0.017 mmol) in a vial equipped with an air-tight PTFE lid. For kinetic studies, aliquots (0.1 mL) were periodically taken for analysis and quenched with *n*-pentane to stop any further reaction. Samples were analysed by  $^1H$  NMR spectroscopy to determine conversion by relative integration of signals at 5.06 ppm (monomer) and 5.20 ppm (polymer).

**Reaction in bulk:** In a glovebox, *rac*-LA (600 mg; 4.16 mmol) was added to a vial equipped with an air-tight PTFE lid and containing the desired amount of catalyst (10mg, 0.017 mmol). The vial was heated at 130 °C in an oil bath and the time recorded. The polymerization was stopped once the mixture became too viscous to stir, upon which a sample was taken and quenched in pentane.

**Polymerisation reactions with in-situ generation of the initiator:** A solution of  $Al(Et)_3$  in THF (1 mL, 0.019 M) was added to vial containing  $H_4^HL$  (9 mg; 0.019 mmol) and let to stir at room temperature for 15 minutes. In a separate vial, *rac*-LA (275.7 mg; 1.9 mmol) was dissolved in THF (0.9 mL) to form a 2.1 M solution, to which BnOH (2  $\mu$ L; 0.019 mmol) was added using a Gilson pipette. Both vials were combined to start the polymerisation, which was run for a total of 90 minutes. Aliquots were taken and analysed by NMR and GPC.

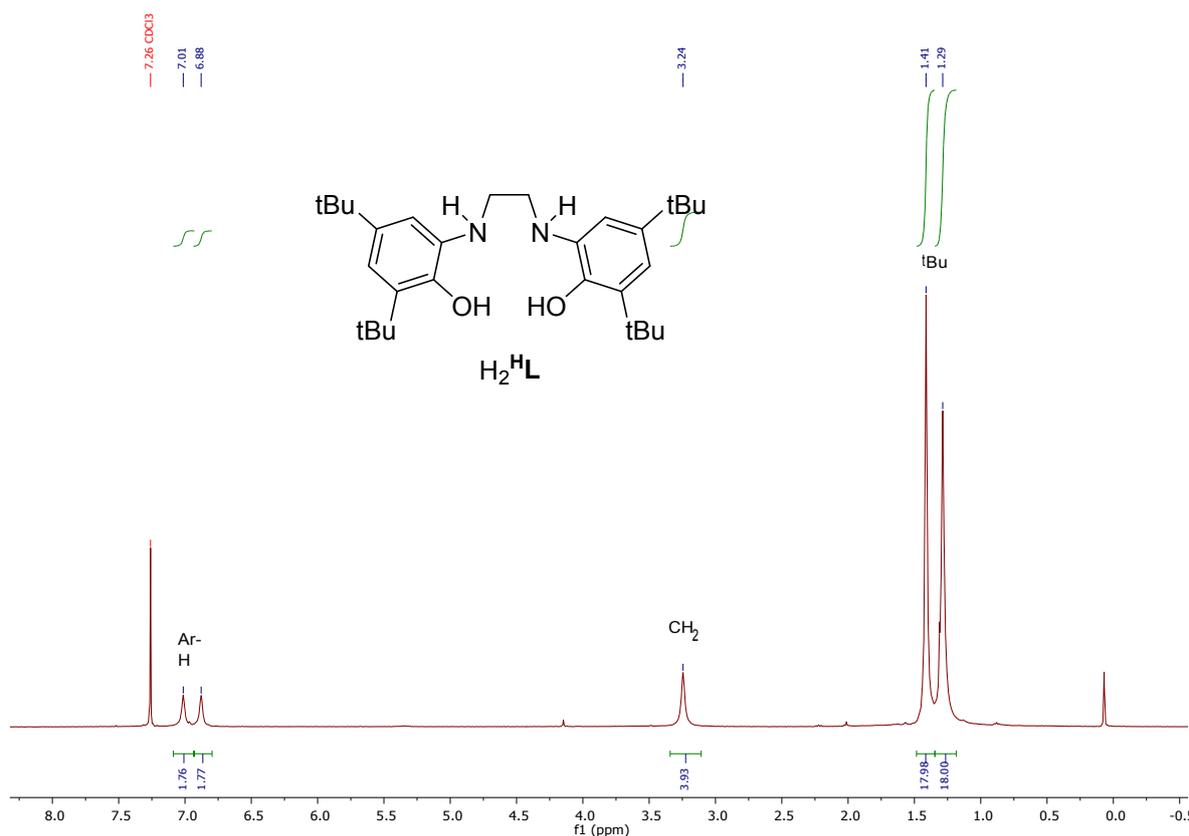
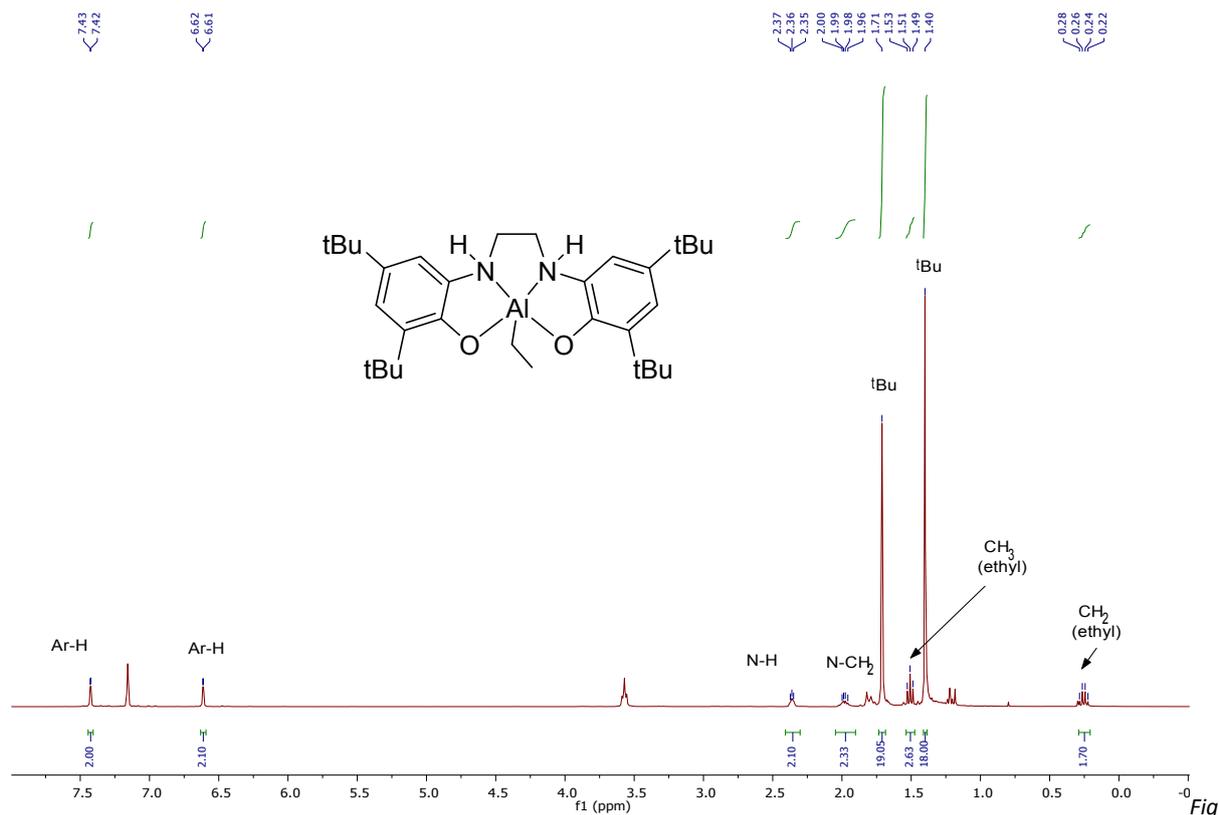


Figure S1.  $^1H$  NMR spectrum ( $CDCl_3$ , 24 °C) of  $H_2^HL$ .



ure S2.  $^1\text{H}$  NMR spectrum ( $\text{C}_6\text{D}_6$ ,  $24^\circ\text{C}$ ) of **1** (data available here DOI: [10.14469/hpc/4038](https://doi.org/10.14469/hpc/4038)).

Fig

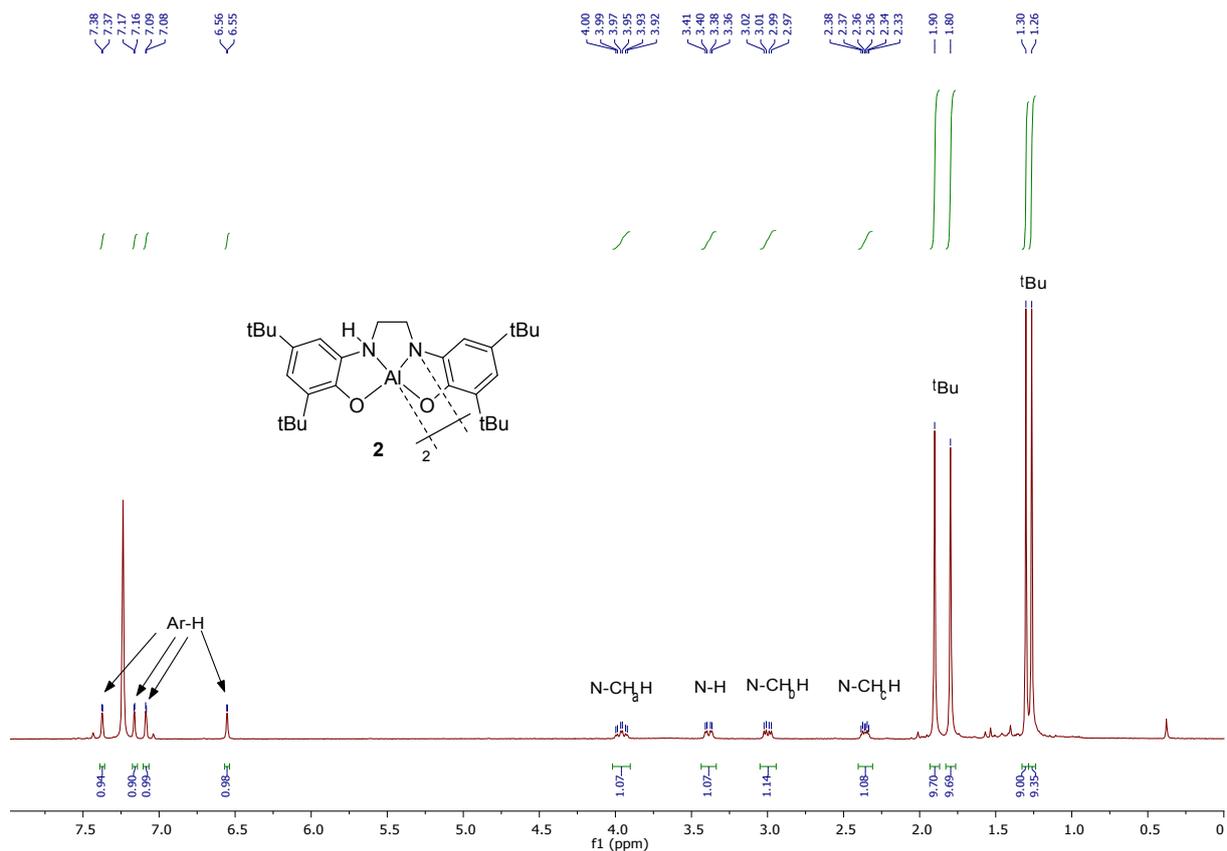


Figure S3. <sup>1</sup>H NMR spectrum (C<sub>6</sub>D<sub>6</sub>, 24 °C) of **2** (data available here, DOI: [10.14469/hpc/4038](https://doi.org/10.14469/hpc/4038)).

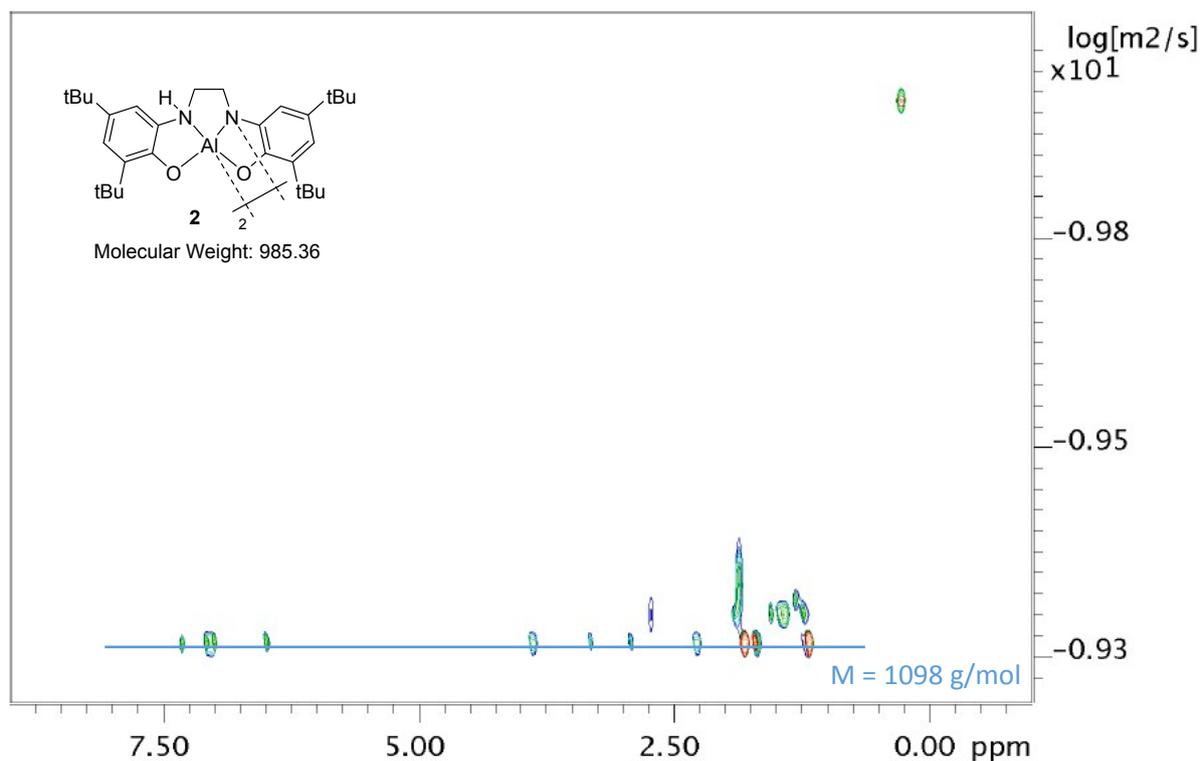
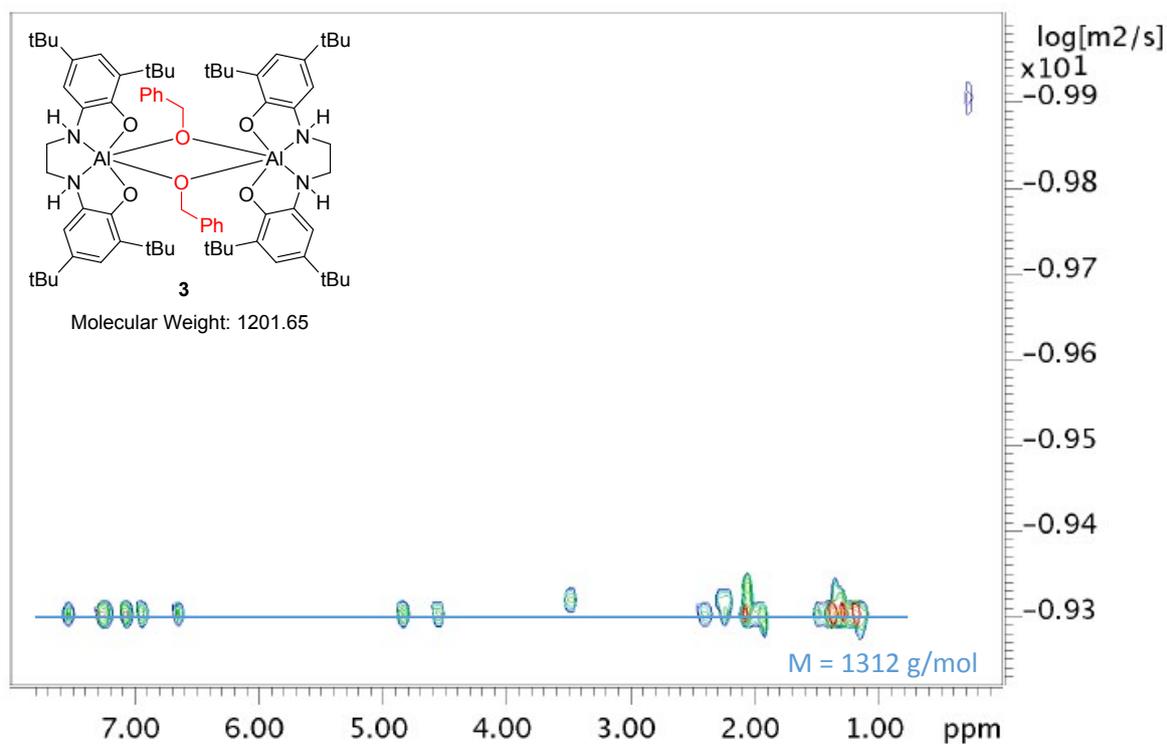
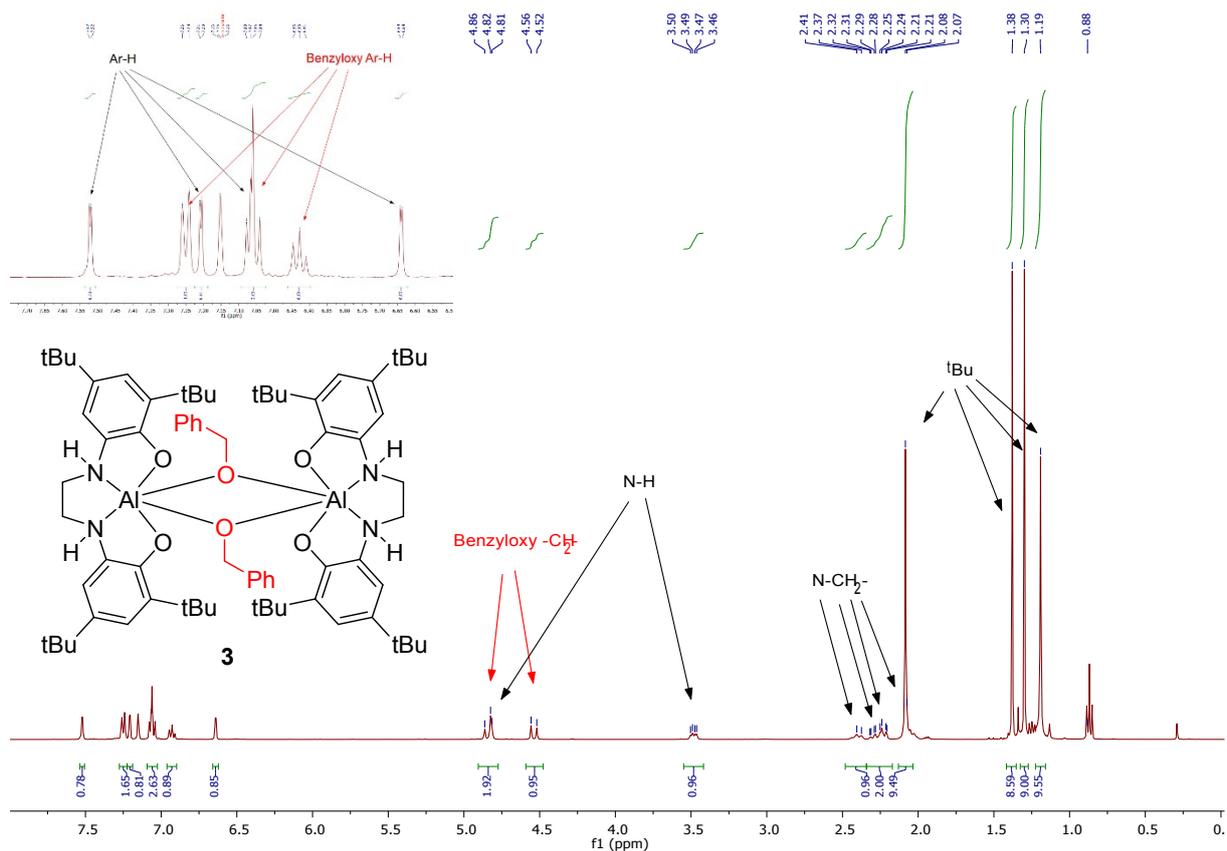


Figure S4: DOSY NMR spectrum of **2** in C<sub>6</sub>D<sub>6</sub> showing a dinuclear structure in solution using an in-house external calibration (data available here, DOI: [10.14469/hpc/4038](https://doi.org/10.14469/hpc/4038)).



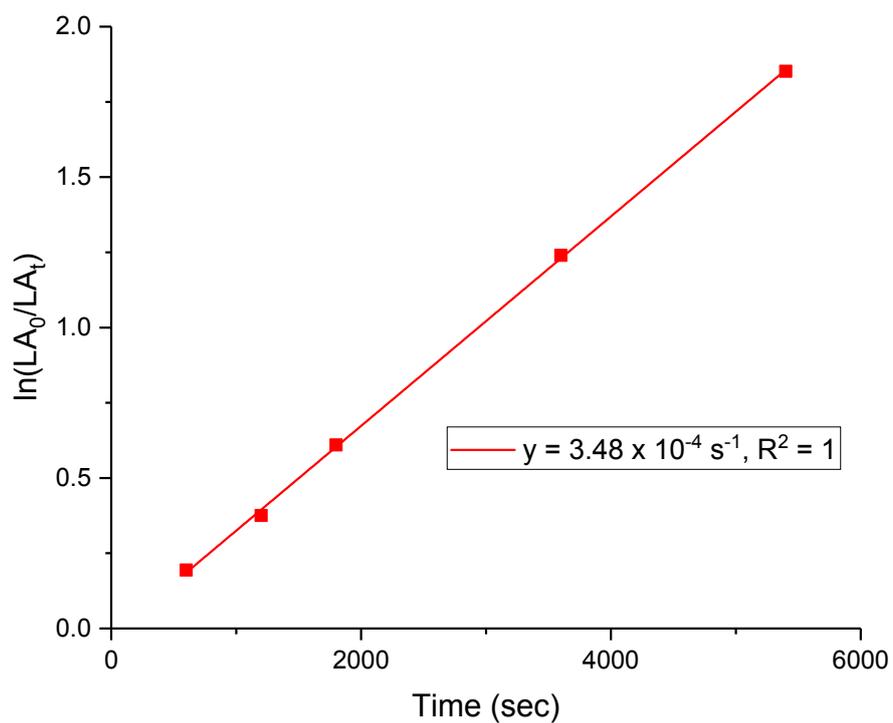


Figure S7. First-order plot (linear fit) for rac-LA ROP initiated by **1** as per conditions in Table 1, entry 1.

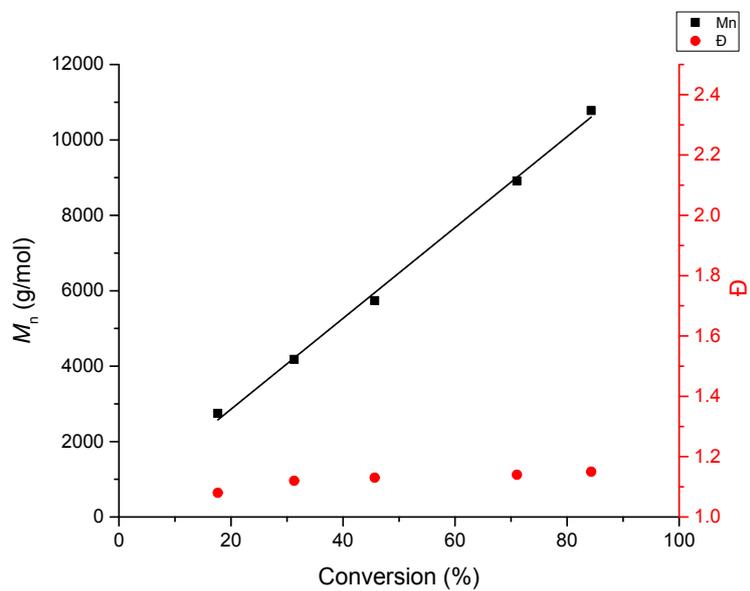


Figure S8. Plot of  $M_n$  vs rac-lactide conversion for rac-La ROP initiated by **1**, as per conditions in Table 1, entry 1.

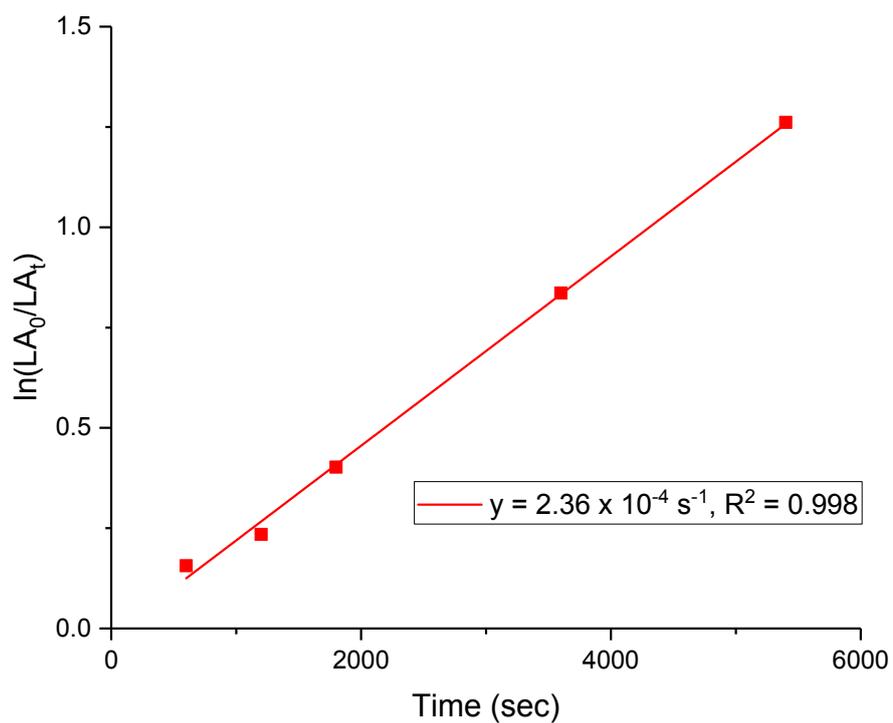


Figure S9. First-order plot (linear fit) for rac-LA ROP initiated by **1** as per conditions in Table 1, entry 2.

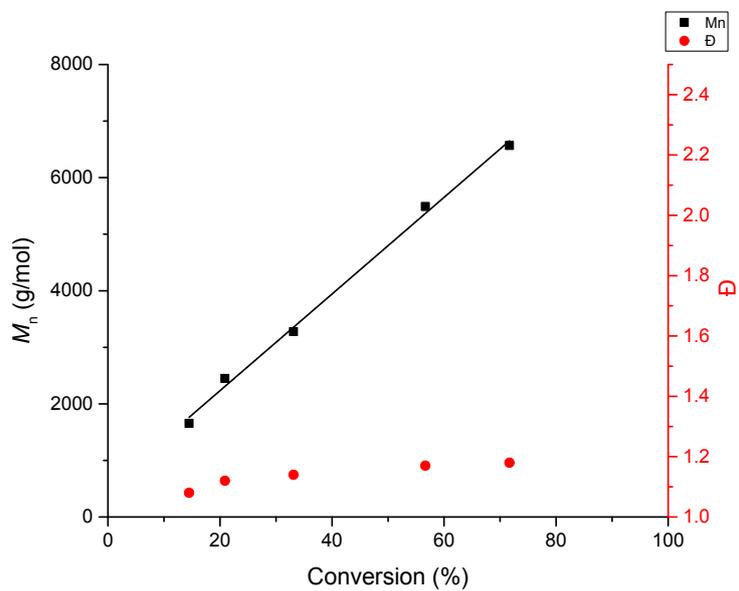


Figure S10. Plot of  $M_n$  vs rac-lactide conversion for rac-La ROP initiated by **1**, as per conditions in Table 1, entry 2.

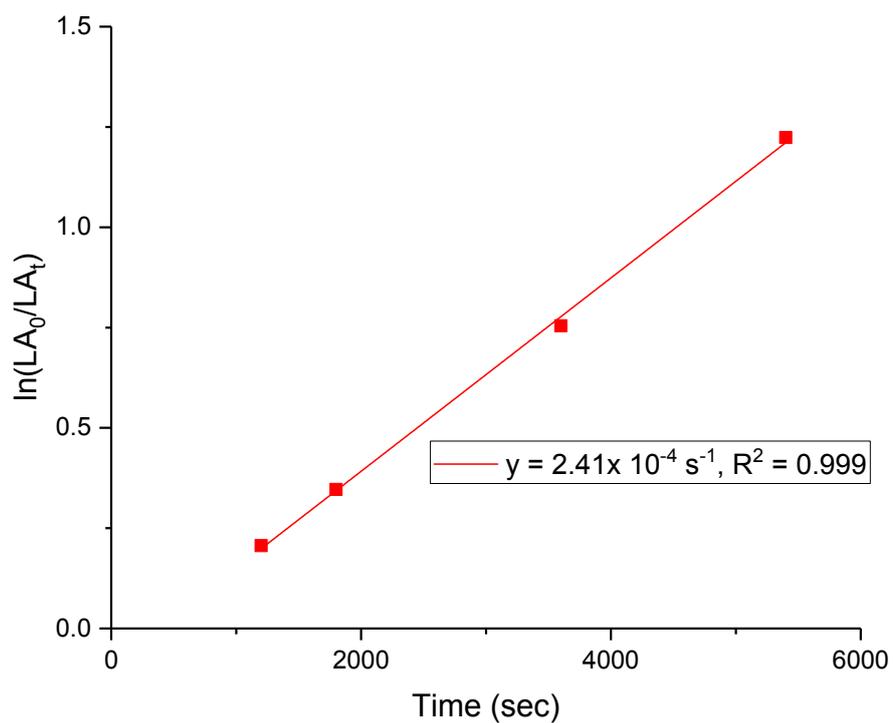


Figure S11. First-order plot (linear fit) for rac-LA ROP initiated by **2** as per conditions in Table 1, entry 3.

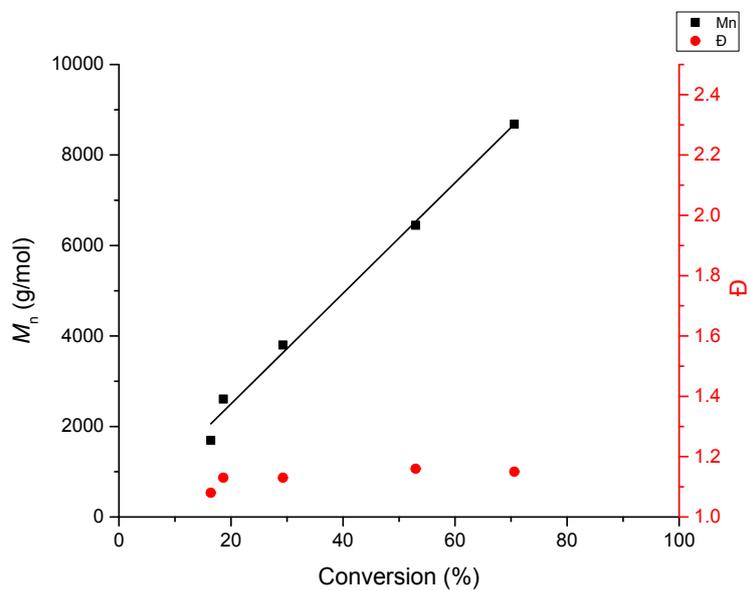


Figure S12. Plot of  $M_n$  vs rac-lactide conversion for rac-LA ROP initiated by **2**, as per conditions in Table 1, entry 3.

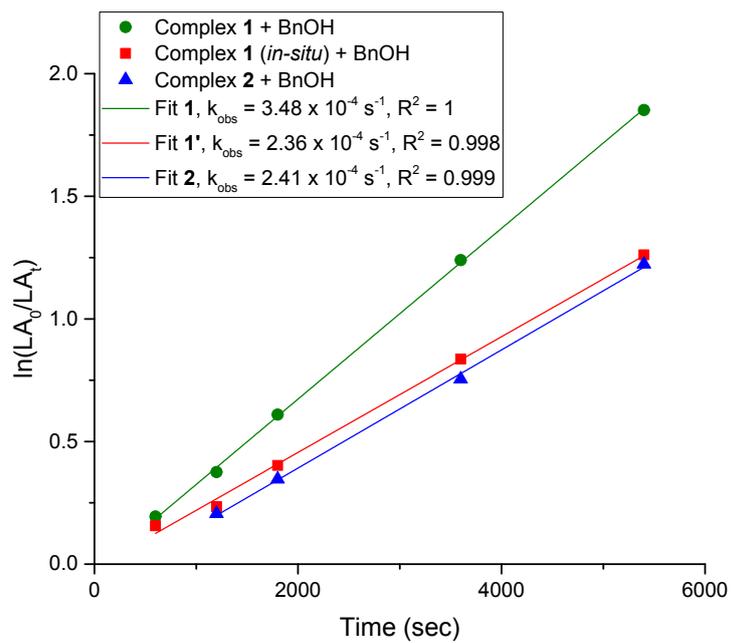


Figure S13: Overlay of Figure S7 (green dot, Table 1, Entry 1), Figure S9 (red square Table 1, Entry 2) and Figure S10 (blue triangle, Table 1, Entry 3) showing similar activities of complexes 1 and 2 in *rac*-LA ROP when reacted with BnOH.

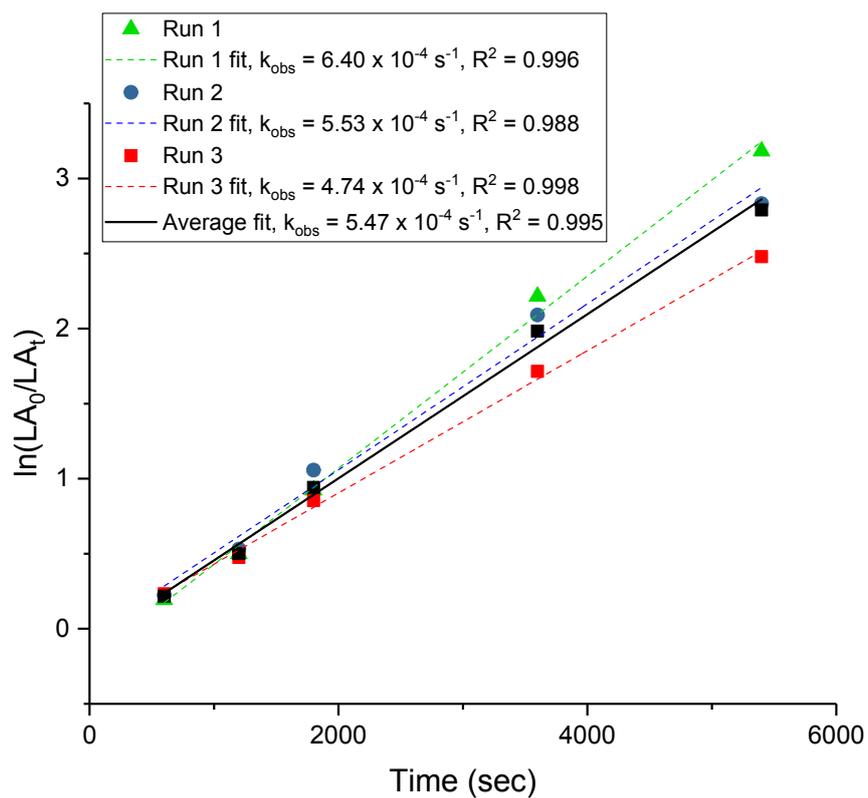


Figure S14. Overlay of first-order plots (linear fit) for three repeated rac-lactide ROP (dash lines) initiated by **3** as per conditions in Table 1, entry 4 (black squares/plain line = average from the three values).

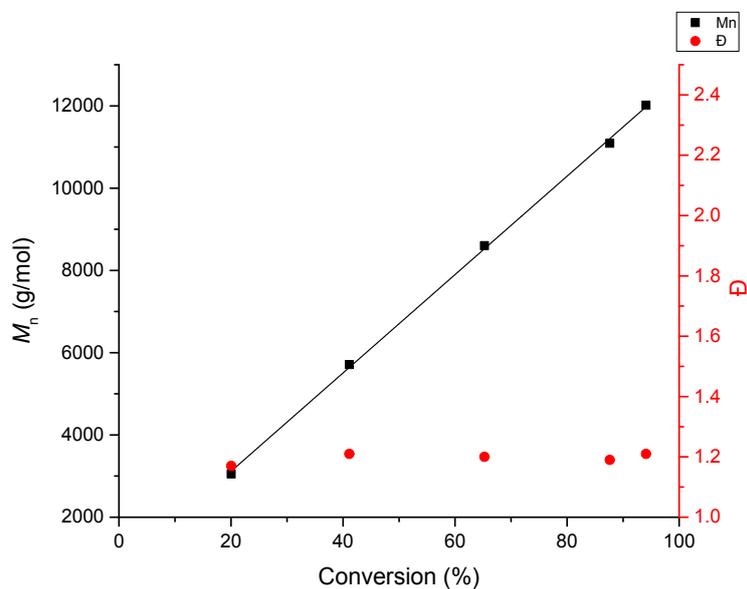


Figure S15. Plot of  $M_n$  vs rac-lactide conversion for rac-La ROP initiated by **3**, as per conditions in Table 1, entry 4.

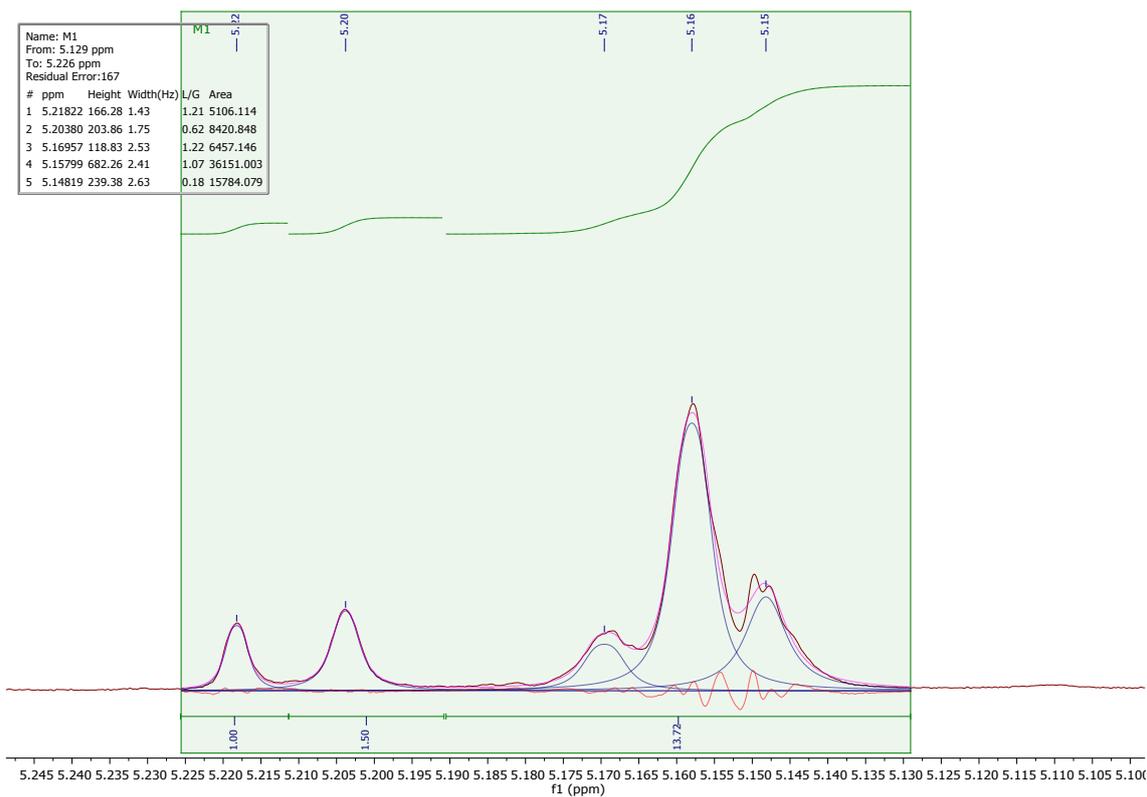


Figure S16. Methine region of the homonuclear decoupled  $^1\text{H}\{^1\text{H}\}$  NMR spectrum for rac-LA ROP initiated by **1** showing  $P_m = 0.62$  (reaction conditions as per Table 1, entry 1), data available here, DOI: [10.14469/hpc/4040](https://doi.org/10.14469/hpc/4040).

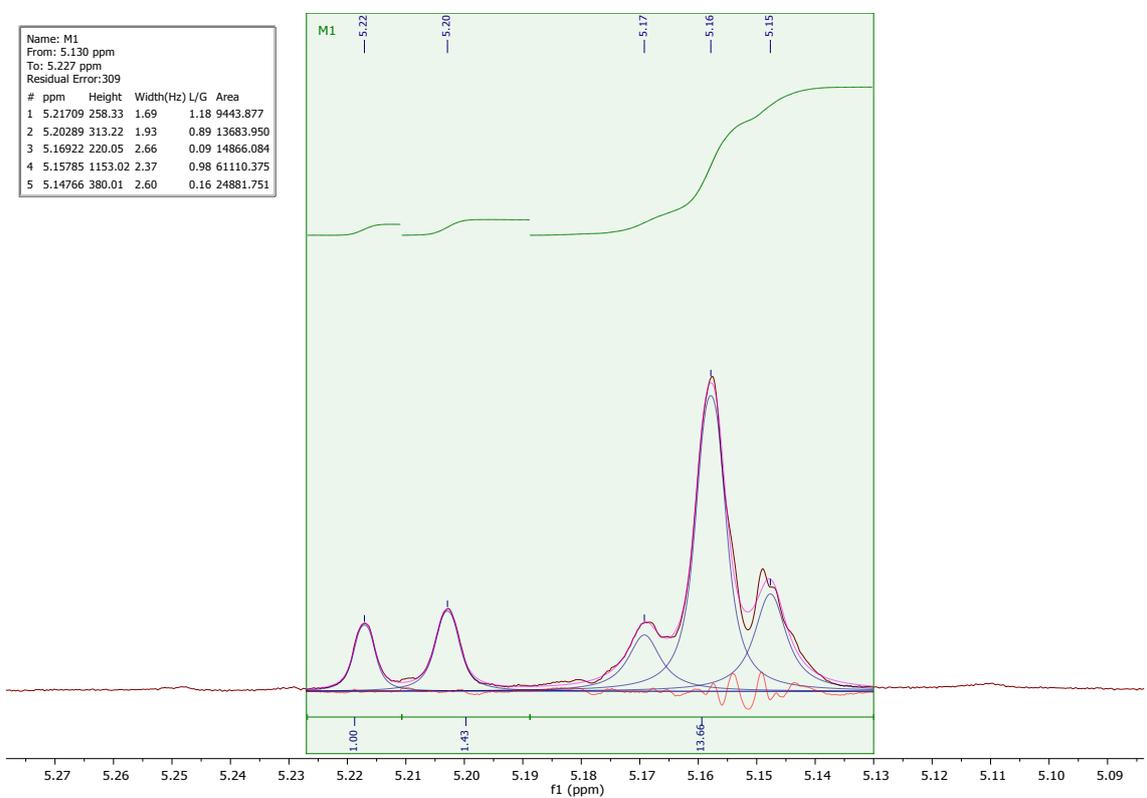


Figure S17. Methine region of the homonuclear decoupled  $^1\text{H}\{^1\text{H}\}$  NMR spectrum for rac-LA ROP initiated by **1** showing  $P_m = 0.59$  (reaction conditions as per Table 1, entry 2), data available here, DOI: [10.14469/hpc/4040](https://doi.org/10.14469/hpc/4040).

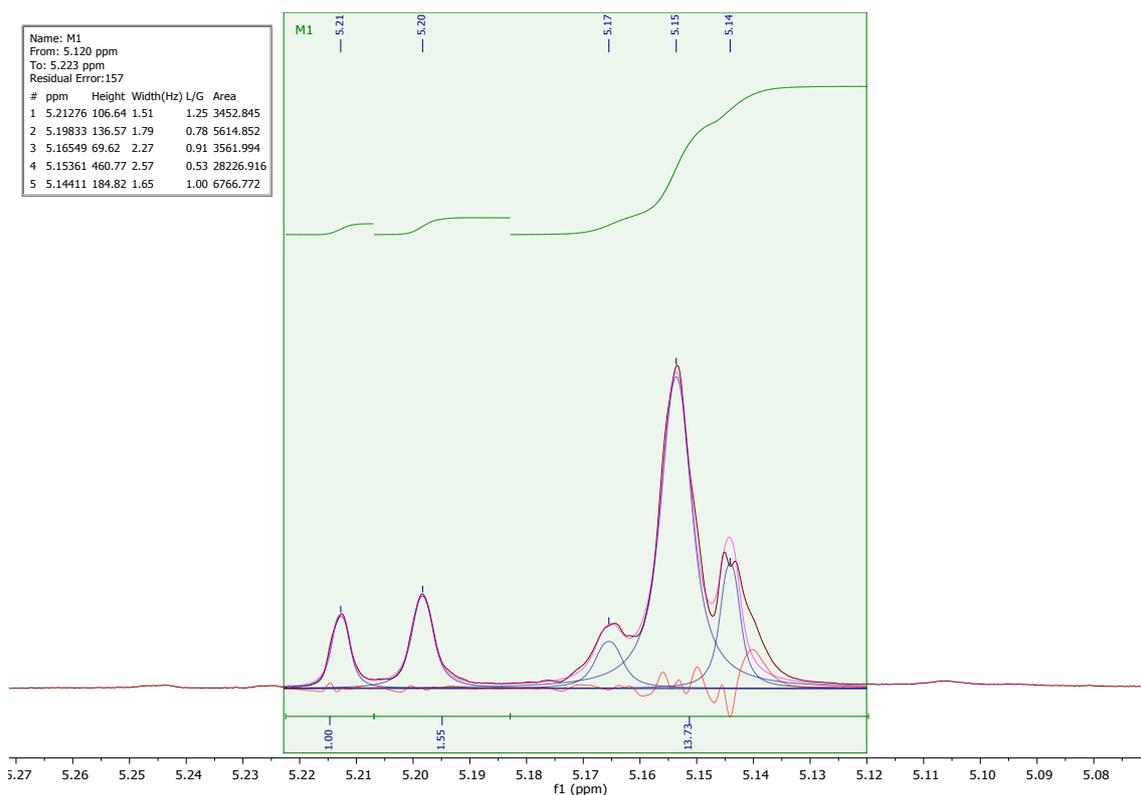


Figure S18. Methine region of the homonuclear decoupled  $^1\text{H}\{^1\text{H}\}$  NMR spectrum for rac-LA ROP initiated by **2** showing  $P_m = 0.62$  (reaction conditions as per Table 1, entry 3), data available here, DOI: [10.14469/hpc/4040](https://doi.org/10.14469/hpc/4040).

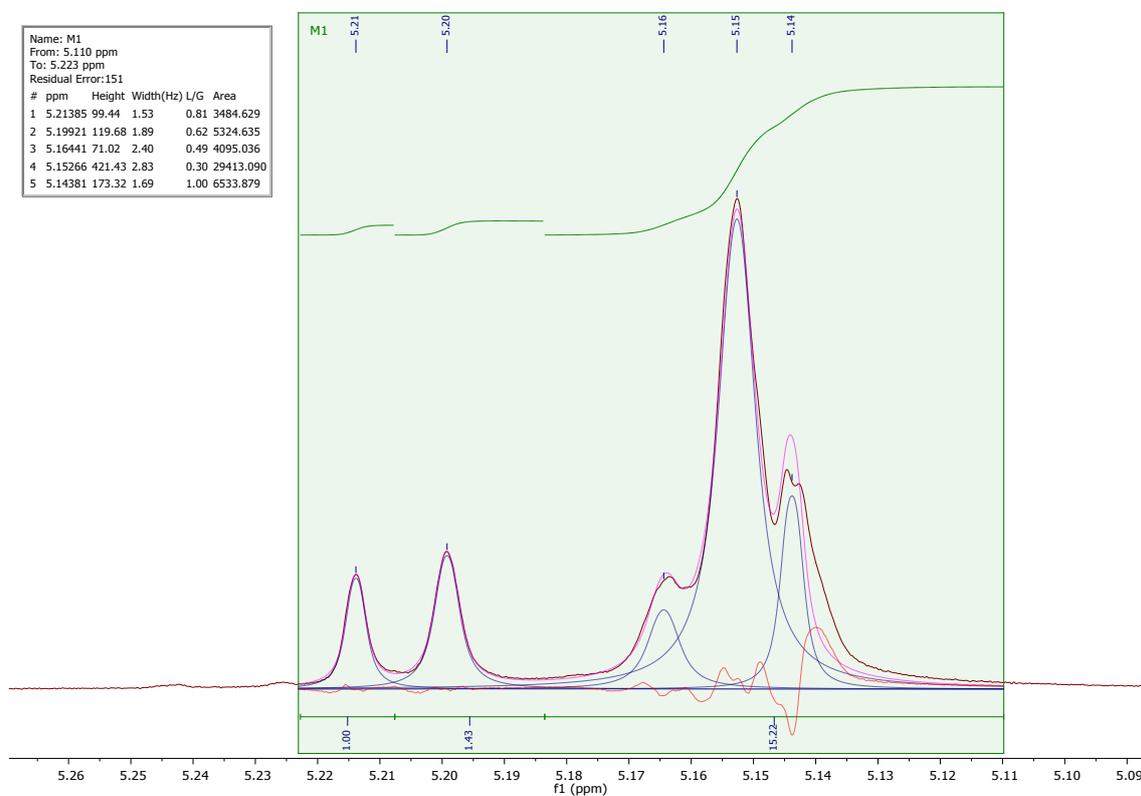


Figure S19. Methine region of the homonuclear decoupled  $^1\text{H}\{^1\text{H}\}$  NMR spectrum for rac-LA ROP initiated by **3** showing  $P_m = 0.60$  (reaction conditions as per Table 1, entry 4), data available here, DOI: [10.14469/hpc/4040](https://doi.org/10.14469/hpc/4040).

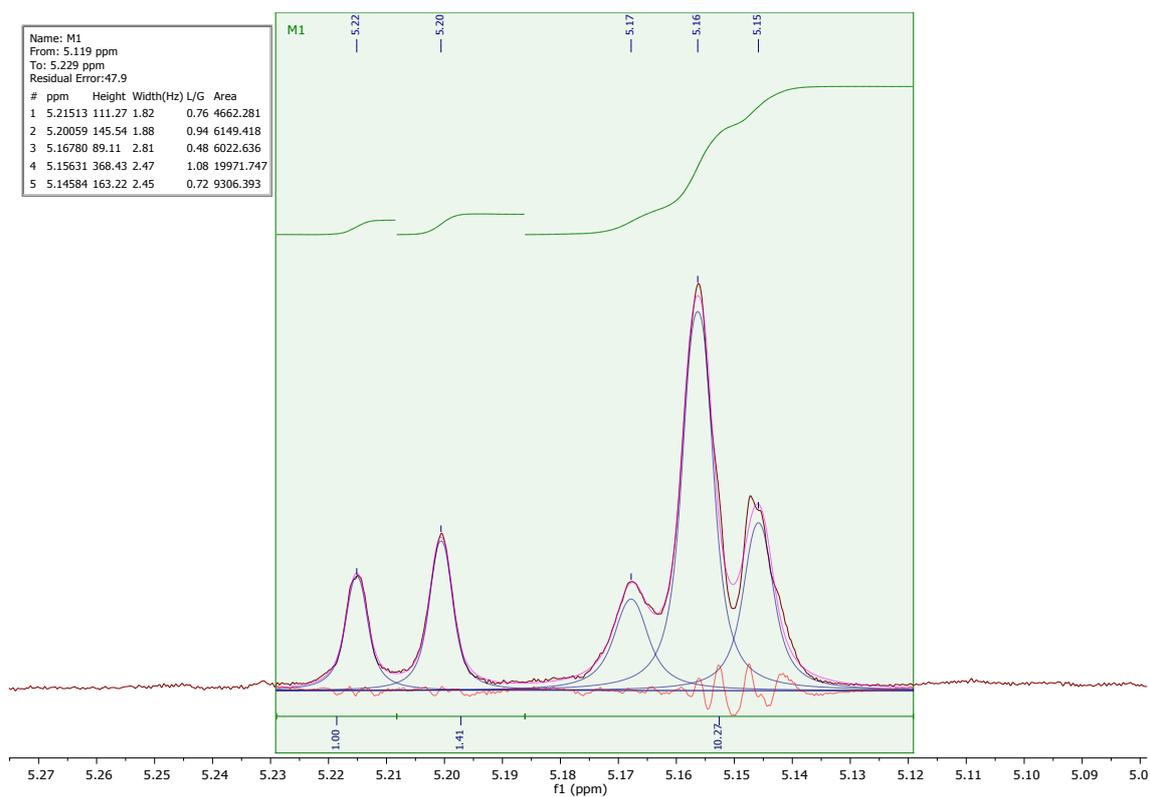


Figure S20. Methine region of the homonuclear decoupled  $^1\text{H}\{^1\text{H}\}$  NMR spectrum for *rac*-LA ROP initiated by **3** showing  $P_m = 0.57$  (reaction conditions as per Table 1, entry 5), data available here, DOI: [10.14469/hpc/4040](https://doi.org/10.14469/hpc/4040).

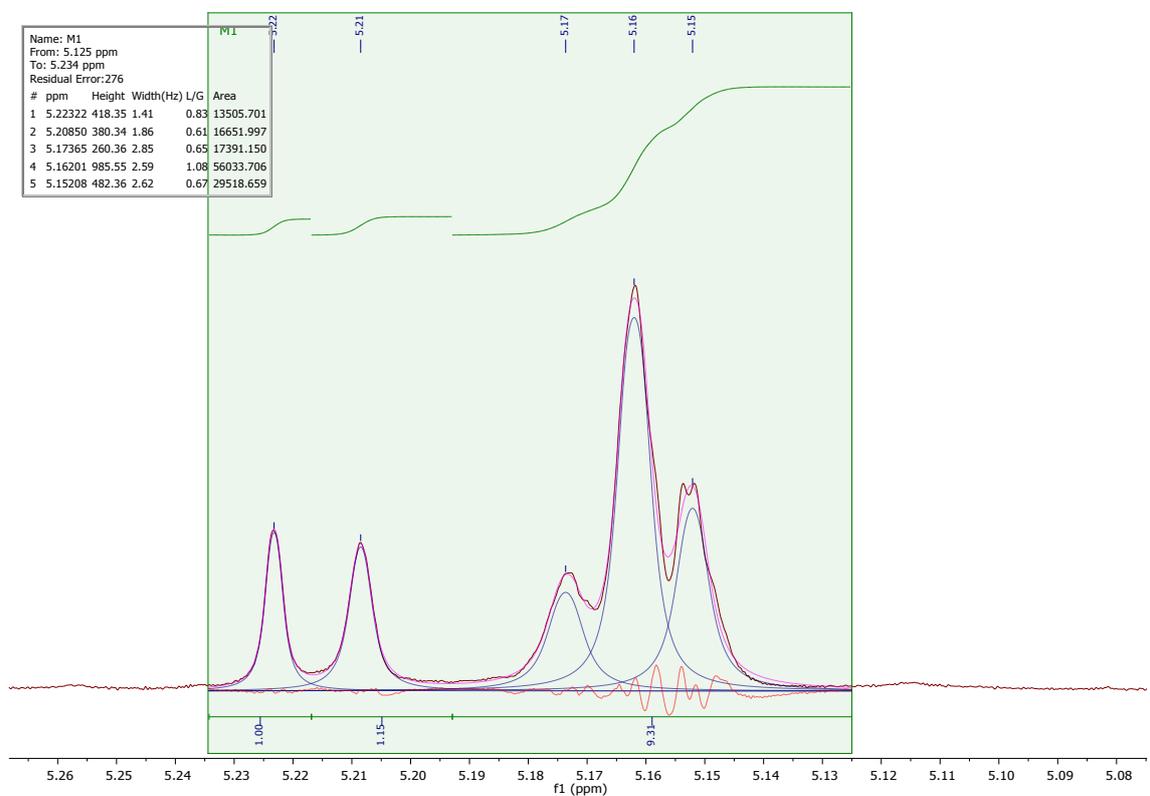


Figure S21. Methine region of the homonuclear decoupled  $^1\text{H}\{^1\text{H}\}$  NMR spectrum for *rac*-LA ROP initiated by **3** showing  $P_m = 0.55$  (reaction conditions as per Table 1, entry 8), data available here, DOI: [10.14469/hpc/4040](https://doi.org/10.14469/hpc/4040).

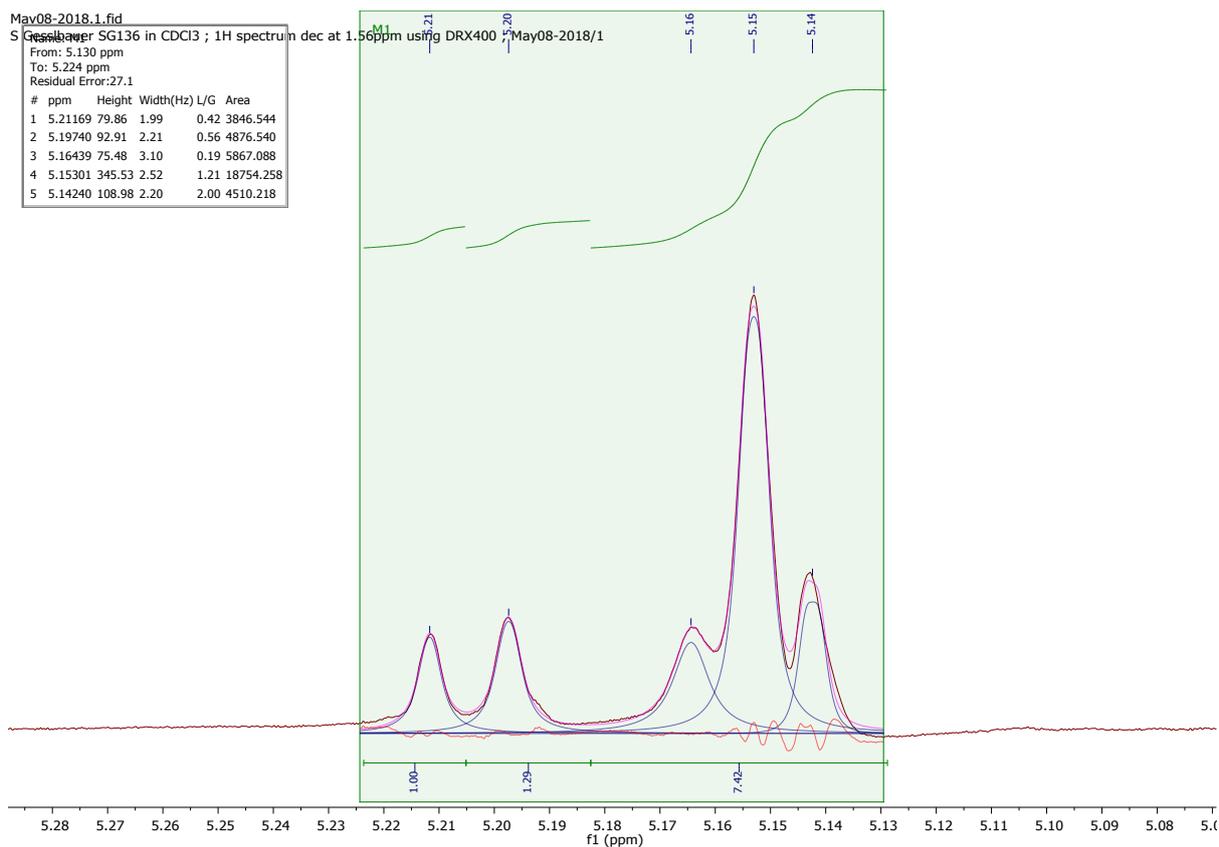


Figure 22: Methine region of the homonuclear decoupled <sup>1</sup>H{<sup>1</sup>H} NMR spectrum for rac-LA ROP initiated by **3** showing  $P_m = 0.56$  (reaction conditions as per Table 1, entry 9), data available here, DOI: [10.14469/hpc/4040](https://doi.org/10.14469/hpc/4040).

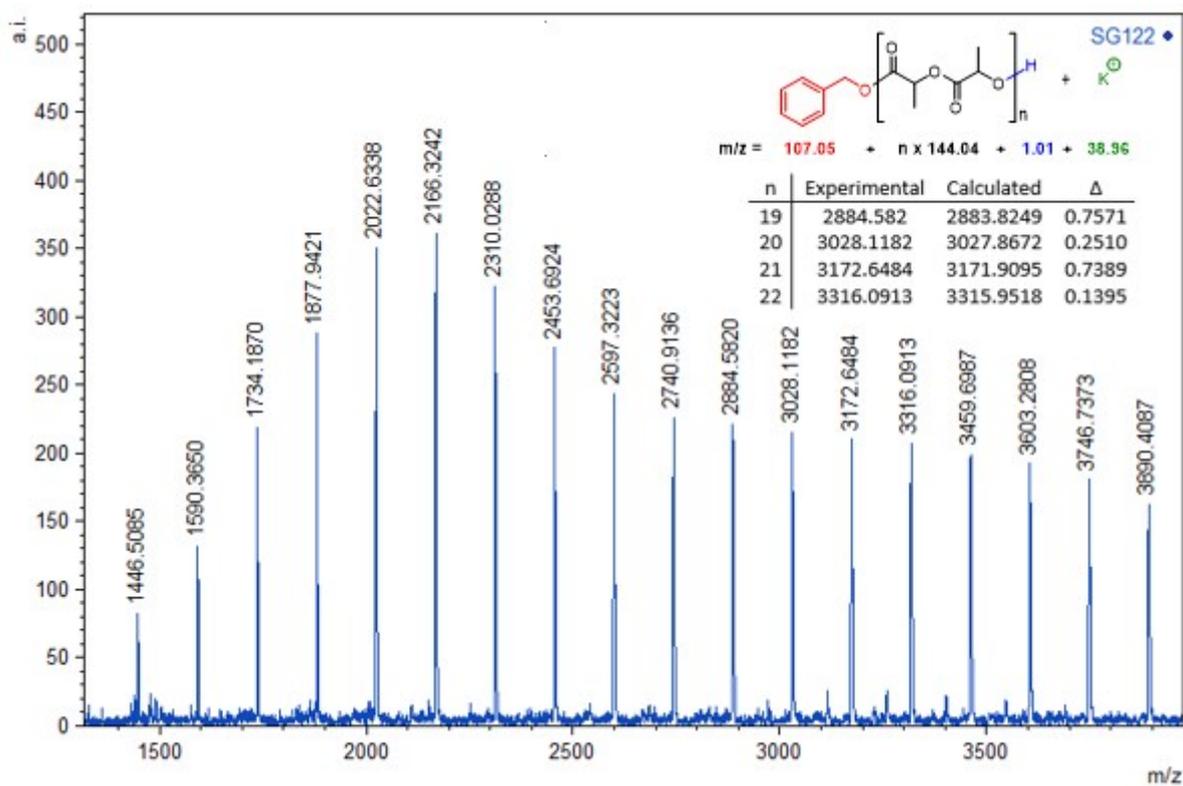


Figure S23. MALDI-ToF spectrum of PLA obtained using **3**, data available here, DOI: [10.14469/hpc/4039](https://doi.org/10.14469/hpc/4039).

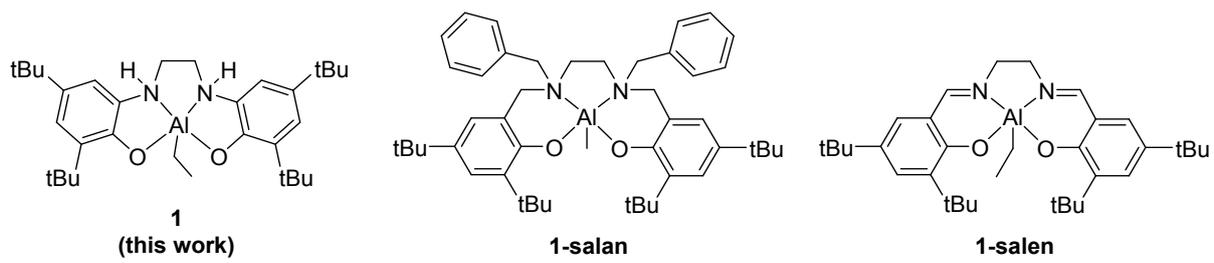


Figure S24: Selected Al salan and Al salen complexes for comparison with **1** in *rac*-LA ROP as per Table 1, entry 10-11.<sup>3</sup>

### **X-Ray crystallography data:**

*Crystal data for 2:* C<sub>60</sub>H<sub>90</sub>Al<sub>2</sub>N<sub>4</sub>O<sub>4</sub>, *M* = 985.31, triclinic, *P*-1 (no. 2), *a* = 10.3044(5), *b* = 10.5110(4), *c* = 14.5467(9) Å,  $\alpha$  = 80.997(4),  $\beta$  = 72.376(5),  $\gamma$  = 83.181(4)°, *V* = 1478.84(14) Å<sup>3</sup>, *Z* = 1 [*C*<sub>i</sub> symmetry], *D*<sub>c</sub> = 1.106 g cm<sup>-3</sup>,  $\mu$ (Cu-K $\alpha$ ) = 0.798 mm<sup>-1</sup>, *T* = 173 K, colourless tablets, Agilent Xcalibur PX Ultra A diffractometer; 5645 independent measured reflections (*R*<sub>int</sub> = 0.0234), *F*<sup>2</sup> refinement,<sup>4</sup> *R*<sub>1</sub>(obs) = 0.0416, *wR*<sub>2</sub>(all) = 0.1142, 4579 independent observed absorption-corrected reflections [ $|F_o| > 4\sigma(|F_o|)$ ], completeness to  $\theta_{full}$ (67.7°) = 98.4%], 332 parameters. CCDC 1836017.

The unique N–H hydrogen atom on N7 in the structure of **2** was located from a  $\Delta F$  map and refined freely subject to an N–H distance constraint of 0.90 Å. The structure sits across a centre of symmetry at the middle of the Al<sub>2</sub>N<sub>2</sub> ring.

*Crystal data for 2·benzene:* C<sub>60</sub>H<sub>90</sub>Al<sub>2</sub>N<sub>4</sub>O<sub>4</sub>·C<sub>6</sub>H<sub>6</sub>, *M* = 1063.42, triclinic, *P*-1 (no. 2), *a* = 9.7297(4), *b* = 11.1101(4), *c* = 30.5073(14) Å,  $\alpha$  = 90.706(3),  $\beta$  = 90.073(4),  $\gamma$  = 95.931(3)°, *V* = 3279.9(2) Å<sup>3</sup>, *Z* = 2, *D*<sub>c</sub> = 1.077 g cm<sup>-3</sup>,  $\mu$ (Mo-K $\alpha$ ) = 0.091 mm<sup>-1</sup>, *T* = 173 K, colourless plates, Agilent Xcalibur 3 E diffractometer; 12959 independent measured reflections (*R*<sub>int</sub> = 0.0306), *F*<sup>2</sup> refinement,<sup>4</sup> *R*<sub>1</sub>(obs) = 0.0668, *wR*<sub>2</sub>(all) = 0.1847, 8943 independent observed absorption-corrected reflections [ $|F_o| > 4\sigma(|F_o|)$ ], completeness to  $\theta_{full}$ (25.2°) = 98.7%], 717 parameters. CCDC 1836018.

The N–H hydrogen atoms on N7 and N47 in the structure of **2·benzene** were located from  $\Delta F$  maps and refined freely subject to an N–H distance constraint of 0.90 Å.

*Crystal data for 3:* C<sub>74</sub>H<sub>106</sub>Al<sub>2</sub>N<sub>4</sub>O<sub>6</sub>·4(C<sub>6</sub>H<sub>6</sub>), *M* = 1514.01, monoclinic, *P*2<sub>1</sub>/*c* (no. 14), *a* = 13.4292(4), *b* = 15.4213(4), *c* = 22.2668(5) Å,  $\beta$  = 93.350(2)°, *V* = 4603.5(2) Å<sup>3</sup>, *Z* = 2 [*C*<sub>i</sub> symmetry], *D*<sub>c</sub> = 1.092 g cm<sup>-3</sup>,  $\mu$ (Mo-K $\alpha$ ) = 0.084 mm<sup>-1</sup>, *T* = 173 K, colourless blocks, Agilent Xcalibur 3 E diffractometer; 9164 independent measured reflections (*R*<sub>int</sub> = 0.0225), *F*<sup>2</sup> refinement,<sup>4</sup> *R*<sub>1</sub>(obs) = 0.0480, *wR*<sub>2</sub>(all) = 0.1114, 6643 independent observed absorption-corrected reflections [ $|F_o| > 4\sigma(|F_o|)$ ], completeness to  $\theta_{full}$ (25.2°) = 98.7%], 517 parameters. CCDC 1836019.

The unique N–H hydrogen atoms on N7 and N10 in the structure of **3** were located from  $\Delta F$  maps and refined freely subject to an N–H distance constraint of 0.90 Å. The structure sits across a centre of symmetry at the middle of the Al<sub>2</sub>O<sub>2</sub> ring. The C61-based included benzene solvent molecule was found to be disordered. Two orientations were identified of *ca.* 73 and 27% occupancy, their geometries were optimised, the thermal parameters of adjacent atoms were restrained to be similar, and only the non-hydrogen atoms of the major occupancy orientation were refined anisotropically (those of the minor occupancy orientation were refined isotropically).

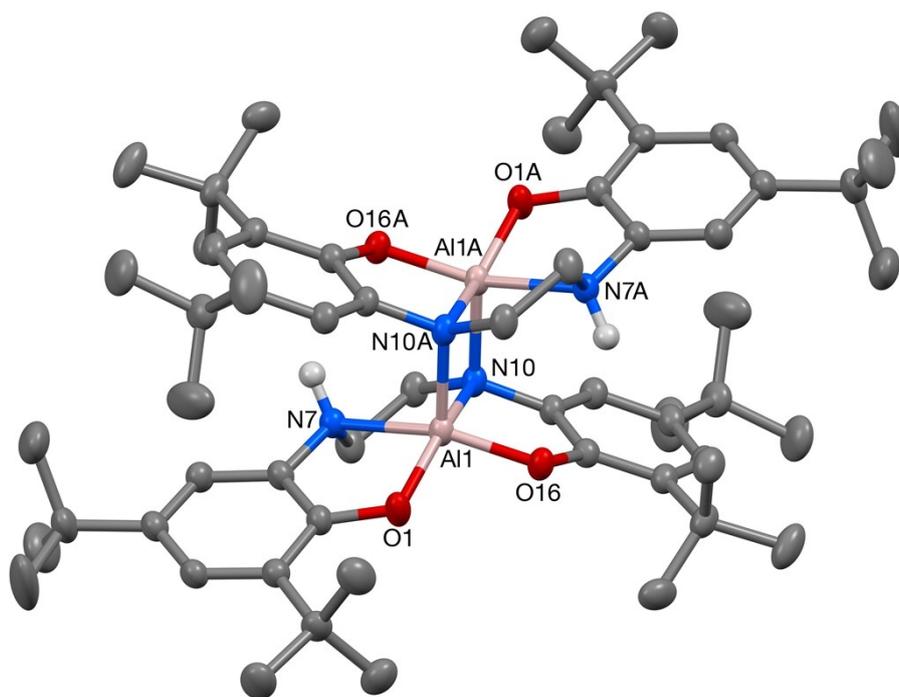


Figure S25: The crystal structure of the  $C_2$ -symmetric complex **2**..

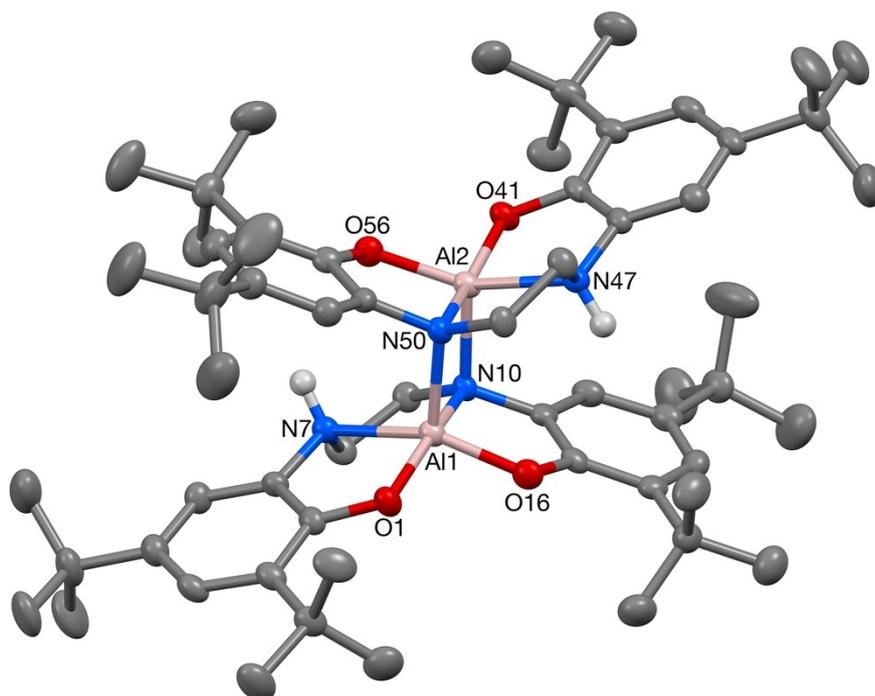


Figure S26: The crystal structure of **2·benzene**..

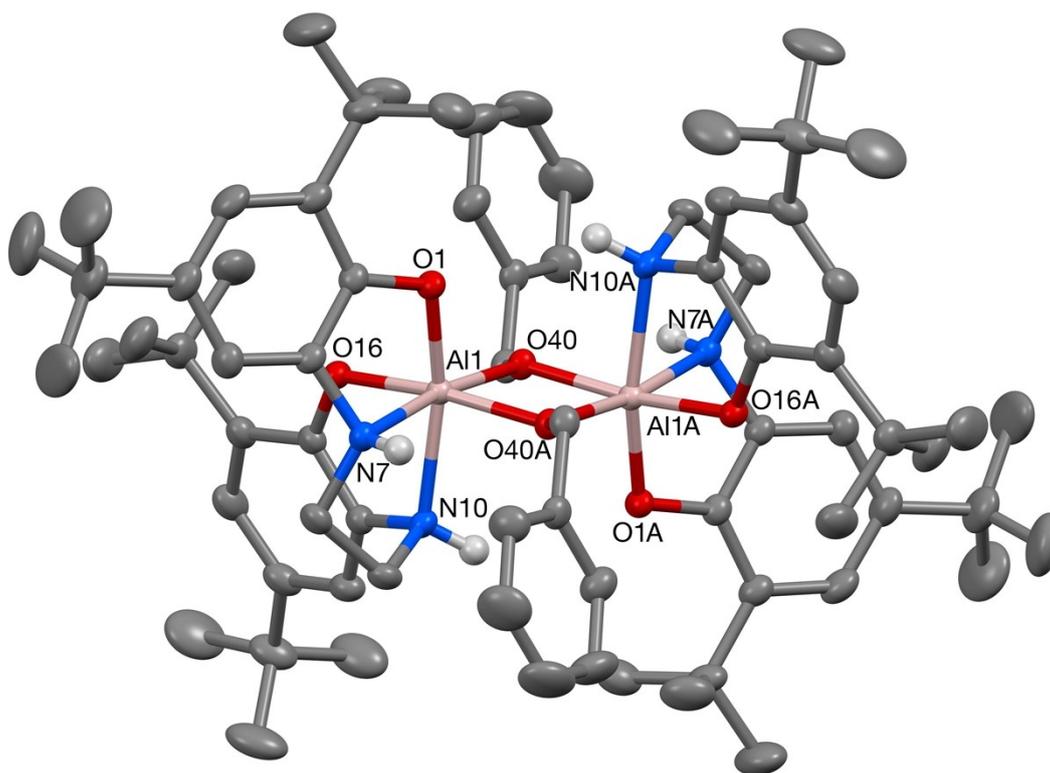


Figure S27: The crystal structure of the  $C_2$ -symmetric complex **3**.

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