A Novel Type of Organometallic 2-R-2,4-dihydro-1H- 3,1-benzoxazines with R =

$[M(\eta^5-C_5H_4)(CO)_3]$ (M = Re or Mn) units. Experimental and Computational Studies of

the Effect of the Substituent R on the Ring-Chain Tautomerism.

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Supporting Information

(47 pages)

1.- Supplementary Figures

- **Figure S1. A)** High resolution mass spectrum (HRMS) and **B)** electron impact mass spectrum of the solid isolated in the reaction between $[Re(\eta^5-C_5H_4-CHO)(CO)_3]$ and the 2aminobenzylalcohol. This product was identified by X-ray crystal diffraction as **6c** (see text).
- Figure S2. IR spectrum of compound 6c.
- **Figure S3.**¹H-NMR spectrum (in CDCl₃) of the raw material isolated in the reaction between equimolar amounts of $[Mn(\eta^5-C_5H_4-CHO)(CO)_3]$ and the 2-aminobenzylalcohol in refluxing benzene for 12 h, showing the coexistence of several products. The expansion of the low field region shows the signals due to the protons of the aldehyde and the imine forms. The broad resonance in the range $3.5 < \delta < 4.0$ indicates the presence of the amine; while the typical pattern of the resonances due to the O– CH₂ protons of the closed form, that appear as a doublet of doublets are also detected between 4.6 and 5.1 ppm (see inset on the right hand side).
- Figure S4. A) High resolution mass spectrum (HRMS) and B) electron impact mass spectrum of compound 6d (see text).
- Figure S5. IR spectrum of compound 6d.
- Figure S6. Atom numbering scheme for NMR studies.
- Figure S7. ¹H-NMR spectrum of compound 6c (in acetonitrile-d₃ at 298 K). Expansion of selected regions of the spectrum are also presented in order to include the assignments of the resonances of the closed form (6c) and to show the coexistence of the imine form as a minor component. Signals marked with an asterisk are due to the imine form (5c).
- Figure S8. ¹H-NMR spectrum of compound 6d (in acetonitrile-d₃ at 298 K). Expansions of selected regions of the spectrum are included in order to include the assignments of the resonances of the closed form (6d) and to show the coexistence of the imine form as a minor component. Signals marked with purple asterisk are due to the imine form (5d).

Figure S9. ¹³C{¹H}- NMR spectrum of compound **6c** in acetonitrile- d_3 at 298 K.

Figure S10. ¹³C{¹H}- NMR spectrum of compound 6d in acetonitrile- d_3 at 298 K.

Figure S11. [¹H-¹H]-NOESY spectrum of compound **6c** in acetonitrile- d_3 at 298 K.

Figure S12. [¹H-¹H]-NOESY spectrum of compound **6d** in acetonitrile- d_3 at 298 K.

Figure S13. [¹H-¹³C]-HSQC spectrum of compound **6c** in acetonitrile- d_3 at 298 K.

Figure S14. [1 H- 13 C]-HSQC spectrum of compound **6d** in acetonitrile- d_{3} at 298 K.

- **Figure S15.** $[^{1}H^{-13}C]$ -HMBC spectrum of compound **6c** in acetonitrile- d_{3} at 298 K.
- **Figure S16.** [¹H-¹³C]-HMBC spectrum of compound **6d** in acetonitrile- d_3 at 298 K.
- **Figure S17.** ¹H-NMR spectrum of compound **6c** (in CD_2Cl_2 at 298 K) and an expansion of the spectrum showing the signal due to the imine proton of the open form (**5c**).
- **Figure S18.**¹H-NMR spectrum of compound **6d** (in CD₂Cl₂ at 298 K). Expansions of selected regions of the spectrum are included in order to show the signal due to the imine proton of the open form **5d** and to ease the identification of the resonances and their assignment. Signals marked with an asterisk are due to the imine form **5d**.
- Figure S19. (A) ¹H-NMR spectra of a freshly prepared solution of compound 6a in CD₂Cl₂ and after different periods of storage (t = 4 h, t = 24 h at 298 K). (B) ¹H-NMR spectrum of the same sample after 30 h of storage at 298 K and an expansion showing the presence of 5a, 6a and ferrocenecarboxaldehyde.
- Figure S20. (A) ¹H-NMR spectrum of a freshly prepared solution of compound **6b** in CD_2Cl_2 at 298 and after different periods of storage (t = 4 h, 24 h at 298 K). (B) ¹H-NMR spectrum of the same sample after 30 h of storage at 298 K and an expansion showing the presence of **5b**, **6b** and benzaldehyde.
- **Figure S21.** ¹H-NMR spectrum of compound **6c** in benzene- d_6 at 298 K.
- **Figure S22.** ¹H-NMR spectrum of compound **6d** benzene- d_6 at 298 K.
- **Figure S23.** ¹³C{¹H} -NMR spectra of compound **6c** in (**A**) CD_2Cl_2 or (**B**) in benzene- d_6 at 298 K.
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- **Figure S25.** ¹H-NMR spectrum of a freshly prepared solution of compound **6c** in DMSO- d_6 at 298 and after different periods of storage (*t*). For *t* = 10 months the spectra showed additional resonances (marked with a yellow arrow) that indicate the presence of a minor component.
- **Figure S26.** ¹H-NMR spectrum of a freshly prepared solution of compound **6d** in DMSO- d_6 at 298 and after different periods of storage (*t*).

- **Figure S27.** ¹H-NMR and ¹³C{¹H} (bottom) NMR spectra (300 MHz) of the aldimine [Re{($\eta^{5}-C_{5}H_{4}$)-CH=N-($C_{6}H_{4}$ -2-OH)}(CO)₃] (**7c**) in CDCl₃ at 298 K.
- Figure S28. ¹H-NMR spectra (300 MHz) of the aldimine $[Mn{(\eta^5-C_5H_4)-CH=N-(C_6H_4-2-OH)}(CO)_3]$ (7d) in CDCl₃ at 298 K.
- **Figure S29.** ¹H-NMR spectra (300 MHz) of a freshly prepared solution of aldimine [Re{(η^5 -C₅H₄)-CH=N-(C₆H₄-2-OH)}(CO)₃] (**7c**) in DMSO-*d*₆ at 298 K (top) and after different periods of storage (*t*) {*t* = 4 h (middle) and 24 h (bottom)}.
- **Figure S30.** ¹H-NMR spectra (300 MHz) of a freshly prepared solution of aldimine [Mn{($\eta^5-C_5H_4$)-CH=N-(C₆H₄-2-OH)}(CO)₃] (**7d**) in DMSO-d₆ at 298 K (top) and after different periods of storage (t) {t = 4 h (middle) and 24 h (bottom)}.
- Figure S31. UV-vis. spectra of compounds 6a-6d in CH₂Cl₂ at 298 K.

2.- Supplementary Tables

- Table S1. Crystal data and details of the refinement of the crystal structures of the 2-ferrocenyl,
 2,4-dihydro-1H-3,1-benzoxazine (6a) and its 2-cyrhetrenyl- or 2-cymantrenyl analogues (6c and 6d, respectively).
- **Table S2**. Final atomic coordinates for the optimized geometry of imine R-CH=N-(C_6H_4 -2-CH₂OH),[with R = (η^5 -C₅H₅)Fe(η^5 -C₅H₄), (**5a**)].
- **Table S3.** Final atomic coordinates for the optimized geometry of imine $R-CH=N-(C_6H_4-2-CH_2OH)$ [with R = Ph, (**5b**)].
- **Table S4.** Final atomic coordinates for the optimized geometry of the R-CH=N-(C_6H_4 -2-CH₂OH)with R = Re(η^5 C_5H_4)(CO)₃, (**5c**).
- **Table S5**. Final atomic coordinates for the optimized geometry of the R-CH=N-(C_6H_4 -2-CH₂OH)with R = Mn(η^{5} C_5H_4)(CO)₃, (5d).
- **Table S6**. Final atomic coordinates for the optimized geometry of the 2-ferrocenyl 2,4-dihydro-1H-3,1-benzoxazine (6a).
- **Table S7**. Final atomic coordinates for the optimized geometry of 2-phenyl 2,4-dihydro-1H-3,1benzoxazine (6b).
- **Table S8**. Final atomic coordinates for the optimized geometry of the 2-cyrhetrenyl 2,4-dihydro-1H-3,1-benzoxazine compound (6c).

- **Table S9.** Final atomic coordinates for the optimized geometry of the open form 2-cymanentryl2,4-dihydro-1H-3,1-benzoxazine (6d).
- **Table S10.** Calculated distances between the imine nitrogen and atoms of the pendant –OH moiety in the imines R-CH=N-(C_6H_4 -2-CH₂OH) **5a-5d**, the Mulliken charges on the atoms involved in the 6-*endo* trig process and differences between their Mulliken charges.
- **Table S11. A)** Calculated electronic energies (*E* in hartrees) for the imine forms R-CH=N-(C₆H₄-2-CH₂OH) (**5a-5d**) and their corresponding: 2-R-2,4-dihydro-1*H*-3,1benzoxazines (**6a-6d**) in vacuum and in CH₂Cl₂, and free energy (*G*) calculated for both tautomers at 25 °C under identical experimental conditions; **B**) Differences between the electronic energies (ΔE) and free energies (ΔG) (in kcal/mol) of the imine forms R-CH=N-(C₆H₄-2-CH₂OH) (**5a-5d**) and those obtained for their corresponding tautomers 2-R-2,4-dihydro-1*H*-3,1 Benzoxazines (**6a-6d**), with the same R group, under identical conditions in vacuum and in CH₂Cl₂ and the calculated values of the free energy of the reactions **6**_i \rightarrow **5**_i.
- **Table S12**. Calculated energy (in eV) of the HOMO and LUMO orbitals $[E_{(HOMO)}]$ and $E_{(LUMO)}$, respectively] and the energy gap $[E_{gap} = E_{(LUMO)} E_{(HOMO)}]$ (in eV)] for the closed forms **6a-6d**, shown below and Mulliken charges on selected atoms.
- **Table S13**. Absorption spectroscopic data for 2-R-2,4-dihydro-1*H*-3,1-benzoxazines (**6a-6d**) in CH_2Cl_2 at 298 K. Wavelengths (λ_i , in nm) and logarithms of the extinction coefficients (log ϵ_i (ϵ_i in M⁻¹ cm⁻¹)).^a

1.-SUPPLEMENTARY FIGURES

Figure S1. A) High resolution mass spectrum (HRMS) and B) electron impact mass spectrum of the solid isolated in the reaction between $[Re(\eta^5-C_5H_4-CHO)(CO)_3]$ and the 2aminobenzylalcohol. This product was identified by X-ray crystal diffraction as **6c** (see text).





ID : (4) ReCHO+CH2OH Method File Name : GBCDI.M01

481 503 523 547 570 593 631 656 679

Figure S2. IR spectrum of compound 6c.



Figure S3. ¹H-NMR spectrum (in CDCl₃) of the raw material isolated in the reaction between equimolar amounts of $[Mn(\eta^5-C_5H_4-CHO)(CO)_3]$ and the 2-aminobenzylalcohol in refluxing benzene for 12 h, showing the coexistence of several products. The expansion of the low field region shows the signals due to the protons of the aldehyde and the imine forms. The broad resonance in the range $3.5 < \delta < 4.0$ ppm indicates the presence of the amine; while the typical pattern of the resonances due to H⁷ protons of the -OCH₂-unit of the closed form, (that appear as a doublet of doublets) are also detected between 4.6 and 5.1 ppm (see inset on the right hand side).



Figure S4. A) High resolution mass spectrum (HRMS) and **B)** electron impact mass spectrum of compound **6d.**









Figure S5. IR spectrum of compound 6d.



Figure S6. Atom numbering scheme for NMR studies (Green arrows indicate NOE peaks detected in the [$^{1}H-^{1}H$] NOESY spectra of compounds **6c** and **6d** in acetonitrile– d_{3} solution at 298 K).



Figure S7. ¹H-NMR spectrum of compound **6c** (in acetonitrile- d_3 at 298 K). Expansion of selected regions of the spectrum are also presented in order to include the assignments of the resonances of the closed form (**6c**) and to show the coexistence of the imine form as a minor component. Signals marked with an asterisk are due to the imine form (**5c**).





Figure S8. ¹H-NMR spectrum of compound **6d** (in acetonitrile- d_3 at 298 K). Expansions of selected regions of the spectrum are included in order to include the assignments of the resonances of the closed form (**6d**) and to show the coexistence of the imine form as a minor component. Signals marked with purple asterisk are due to the imine form (**5d**).





Figure S9. ¹³C{¹H}-NMR spectrum of compound **6c** (in acetonitrile- d_3 at 298 K). Expansions of selected regions of the spectrum are shown to include the assignments of the resonances of the closed form (**6c**).



210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 f1 (ppm)



Figure S10. ¹³C{¹H}-NMR spectrum of compound **6d** (in acetonitrile- d_3 at 298 K). Expansions of selected regions of the spectrum are shown in order to include the assignments of the resonances of the closed form (**6d**).



210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 f1 (ppm)



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Figure S11. [1 H- 1 H]-NOESY spectrum of compound **6c** in acetonitrile- d_{3} at 298 K.

Figure S12. [¹H-¹H]-NOESY spectrum of compound **6d** in acetonitrile- d_3 at 298 K.





Figure S13. $[^{1}H^{-13}C]$ -HSQC spectrum of compound **6c** in acetonitrile- d_{3} at 298 K.

Figure S14. [^{1}H - ^{13}C]-HSQC spectrum of compound **6d** in acetonitrile- d_{3} at 298 K







Figure S16. [^{1}H - ^{13}C]-HMBC spectrum of compound **6c** in acetonitrile- d_{3} at 298 K



Figure S17. ¹H-NMR spectrum of compound **6c** (in CD_2Cl_2 at 298 K) and an expansion of the spectrum showing the signal due to the imine proton of the open form (**5c**).





Figure S18. ¹H-NMR spectrum of compound **6d** (in CD_2Cl_2 at 298 K). Expansions of selected regions of the spectrum are included in order to show the signal due to the imine proton of the open form **5d** and to ease the identification of the resonances and their assignment. Signals marked with an asterisk are due to the imine form **5d**





Figure S19. (A) ¹H-NMR spectra of a freshly prepared solution of compound 6a in CD₂Cl₂ and after different periods of storage (t = 4 h, t = 24 h at 298 K). (B) ¹H-NMR spectrum of the same sample after 30 h of storage at 298 K and an expansion showing the presence of 5a, 6a and ferrocenecarboxaldehyde.



(A)

(B)



Figure S20. (A) ¹H-NMR spectrum of a freshly prepared solution of compound **6b** in CD_2Cl_2 at 298 and after different periods of storage (t = 4h, 24 h) at 298 K. (B) ¹H-NMR spectrum of the same sample after 30 h of storage at 298 K and an expansion showing the presence of **5b**, **6b** and benzaldehyde.



6.5

6.0

5.5

5.0

4.5

4.0

7.0 f1 (ppm)

7.5

8.5 8.0 f1 (ppm)

9.0

9.5

10.0 9.5 9.0

-CHO

10.0

7.5

-CH=N-

8.5

8.0

7.0

Figure S21. ¹H-NMR spectrum of compound **6c** (in benzene- d_6 at 298 K). Expansions of selected regions of the spectrum are shown as insets together with the assignment of the resonances.





Figure S22. ¹H-NMR spectrum of compound **6d** (in benzene- d_6 at 298 K). Expansions of selected regions of the spectrum are shown as insets together with the assignment of the resonances.





Figure S23. ¹³C{¹H}-NMR spectra of compound **6c** (**A**) in CD_2Cl_2 and (**B**) in benzene- d_6 at 298 K.





Figure S24. ¹³C{¹H} -NMR spectra of compound **6d** (A) in CD_2Cl_2 and (B) in benzene- d_6 at 298 K.



230 220 210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 11 (ppm)



Figure S25. ¹H-NMR spectrum of a freshly prepared solution of compound **6c** in DMSO- d_6 at 298 and after different periods of storage (*t*). For t = 10 months the spectra showed additional resonances (marked with a yellow arrow) that indicate the presence of a minor component.



Figure S26. ¹H-NMR spectrum of a freshly prepared solution of compound **6d** in DMSO- d_6 at 298 K and after different periods of storage (*t*).



Figure S27. ¹H (top) and ¹³C{¹H} (bottom) NMR spectra (300 MHz) of the aldimine [Re{($\eta^{5}-C_{5}H_{4}$)-CH=N-($C_{6}H_{4}$ -2-OH)}(CO)₃] (**7c**) in CDCl₃ at 298.



Figure S28. ¹H-NMR spectra (300 MHz) of the aldimine $[Mn{(\eta^5-C_5H_4)-CH=N-(C_6H_4-2-OH)}(CO)_3]$ (7d) in CDCl₃ at 298 K.





Figure S29. ¹H-NMR spectra (300 MHz) of a freshly prepared solution of the aldimine [Re{($\eta^{5}-C_{5}H_{4}$)-CH=N-(C₆H₄-2-OH)}(CO)₃] (**7c**) in DMSO-*d*₆ at 298 K and after different periods of storage (*t*).



Figure S30. ¹H-NMR spectra (300 MHz) of a freshly prepared solution of the aldimine [Re{($\eta^{5}-C_{5}H_{4}$)-CH=N-(C₆H₄-2-OH)}(CO)₃] (**7d**) in DMSO-*d*₆ at 298 K and after different periods of storage (*t*).



Figure S31. UV-visible spectra of CH_2Cl_2 solutions of the 2-R-2,4-dihydro-1*H*-3,1-benzoxazines under study [R = ferrocenyl (**6a**), phenyl (**6b**) cyrhetrenyl (**6c**) and cymantrenyl (**6d**)] at 298 K.



2.-SUPPLEMENTARY TABLES

Table S1. Crystal data and details of the refinement of the crystal structures of the 2-ferrocenyl, 2,4-dihydro-1*H*-3,1-benzoxazine (**6a**) and its 2-cyrhetrenyl- or 2-cymantrenyl- analogues (**6c** and **6d**, respectively).

| | ба | 6c | 6d | |
|--|--------------------------------|-----------------------------------|--------------------------|--|
| Crystal size ^a | $0.10 \times 0.06 \times 0.05$ | $0.332 \times 0.041 \times 0.031$ | 0.399 × 0.154 × 0.046 | |
| Empirical formula | $C_{18}H_{17}FeNO_4$ | $C_{16}H_{12}ReNO_4$ | $C_{16}H_{12}MnNO_4$ | |
| Formula weight | 319.17(2) | 468.47(2) | 337.21(2) | |
| Temperature (K) | 170(2) | 100(2) | 100(2) | |
| λ (nm) | 0.71073 | 0.71073 | 0.71073 | |
| Crystal system | Monoclinic | Orthorhombic | Monoclinic | |
| Space group | P2 ₁ | Pna2 ₁ | C2/c | |
| <i>a</i> (Å) | 5.9213(2) | 15.3555(7) | 30.935(3) | |
| b (Å) | 7.5337(3) | 6.1536(3) | 6.1805(5) | |
| c (Å) | 16.2535(7) | 29.7559(13) | 15.1240(14) | |
| α = γ (deg.) | 90 | 90 | 90 | |
| eta (deg.) | 96.7714(15) | 90 | 108.124(4) | |
| V (Å ³) | 720.00(5) | 2811.7(2) | 2748.1(4) | |
| Z | 2 | 8 | 8 | |
| $D_{calc.}$ (Mg \times m ⁻³) | 1.472 | 2.213 | 1.630 | |
| μ(mm ⁻¹) | 1.044 | 8.661 | 0.978 | |
| F(000) | 332 | 1776 | 1376 | |
| Θ range for data collect. | from 2.524 to 26.416 | from 2.653 to 29.554 | from 2.740 to 30.174 | |
| (deg.) | | | | |
| Index ranges | -7 ≤ h ≤ 7 | -21 ≤ <i>h</i> ≤ 19 | -43 ≤ <i>h</i> ≤ 43 | |
| | $-9 \leq k \leq 9$ | $-8 \le k \le 6$ | $-8 \leq k \leq 8$ | |
| | -20 ≤ <i>l</i> ≤ 20 | -35 ≤ <i>l</i> ≤ 41 | -21 ≤ <i>l</i> ≤ 21 | |
| N. of collected reflect. | 16003 | 25066 | 35346 | |
| N. independent reflect. | 2941[0.0258] | 7511[0.0402] | 4058[0.0907] | |
| [R _{int}] | | | | |
| Completeness to Θ = | 99.6 % | 99.7 % | 99.4 % | |
| 25.242 | | | | |
| Absorption correct. | < | - Semi-empirical from equiva | alents | |
| Refinement method | ‹ | Full matrix least squares | on $F^2 \longrightarrow$ | |
| N. of data | 2941 | 7509 | 4058 | |
| N. of parameters | 165 | 386 | 199 | |
| Goodness of fit on F ² | 1.068 | 1.227 | 1.060 | |
| Final R indices $[l > 2\sigma(l)]$ | $R_1 = 0.0228,$ | $R_1 = 0.0359$, | $R_1 = 0.0458,$ | |
| | wR ₂ = 0.0569 | wR ₂ = 0.0791 | wR ₂ = 0.1183 | |

| Final R indices (all data) | $R_1 = 0.0247$, | $R_1 = 0.0439$, | $R_1 = 0.0609$, |
|----------------------------|------------------|------------------|--------------------------|
| | $wR_2 = 0.0545$ | $wR_2 = 0.0822$ | wR ₂ = 0.1227 |
| Absolute structure | 0.023(18) | 0.269(16) | n/a |
| parameter | | | |

^{*a*} (mm × mm × mm).

Table S2. Final atomic coordinates for the optimized geometry of imine R-CH=N-(C_6H_4 -2-CH₂OH),

[with R =
$$(\eta^{5}-C_{5}H_{5})Fe(\eta^{5}-C_{5}H_{4})$$
, (5a)].

| Н | -3.48236 | -0.71380 | -2.40508 |
|----|----------|----------|----------|
| С | -2.76596 | -1.07418 | -1.67869 |
| С | -3.06565 | -1.88651 | -0.54133 |
| Н | -0.84205 | -0.15744 | -2.37024 |
| Н | -4.04486 | -2.25014 | -0.25971 |
| С | -1.85907 | -2.09316 | 0.18189 |
| Н | -1.75333 | -2.64539 | 1.10707 |
| С | -0.79822 | -1.41044 | -0.51019 |
| С | -1.37761 | -0.77158 | -1.66016 |
| С | 0.57040 | -1.29209 | -0.03570 |
| Н | 0.78804 | -1.78063 | 0.92431 |
| Ν | 1.46623 | -0.62195 | -0.66754 |
| С | 2.77366 | -0.50302 | -0.14658 |
| С | 5.36931 | -0.16693 | 0.86658 |
| С | 3.46015 | 0.71316 | -0.37070 |
| С | 3.41386 | -1.54447 | 0.54624 |
| С | 4.70320 | -1.37920 | 1.04848 |
| С | 4.74391 | 0.86255 | 0.16020 |
| Н | 2.90803 | -2.49944 | 0.65838 |
| Н | 5.18596 | -2.19804 | 1.57521 |
| Н | 5.26787 | 1.80386 | 0.00797 |
| Н | 6.37155 | -0.02570 | 1.26154 |
| С | 2.86861 | 1.81868 | -1.23424 |
| Н | 3.05780 | 1.56731 | -2.29287 |
| Н | 3.39782 | 2.75582 | -1.03305 |
| 0 | 1.48799 | 2.07997 | -1.03487 |
| Н | 1.05501 | 1.21545 | -1.17649 |
| Fe | -2.26188 | -0.05800 | 0.08406 |
| Н | -2.77926 | 2.53273 | -0.94032 |
| С | -2.67822 | 1.98210 | -0.01428 |
| С | -1.46280 | 1.77007 | 0.70192 |
| Н | -4.76246 | 1.24464 | 0.36454 |
| Н | -0.47972 | 2.11977 | 0.41105 |
| С | -1.76130 | 0.95089 | 1.83400 |
| Н | -1.04480 | 0.58674 | 2.55865 |
| С | -3.15933 | 0.66260 | 1.81933 |
| н | -3.68954 | 0.03955 | 2.52774 |
| С | -3.72700 | 1.29929 | 0.67449 |

Table S3. Final atomic coordinates for the optimized geometry of imine R-CH=N-(C_6H_4 -2-CH₂OH)] (R = Ph, **5b**).

| С | 0.69016 | -0.74089 | 0.15811 |
|---|----------|----------|----------|
| Н | 0.46054 | -1.70173 | 0.64031 |
| Ν | -0.23908 | 0.04371 | -0.25235 |
| С | -1.59613 | -0.33462 | -0.17395 |
| С | -4.32488 | -0.96691 | -0.03249 |
| С | -2.54937 | 0.70121 | -0.04178 |
| С | -2.02791 | -1.66773 | -0.27609 |
| С | -3.38344 | -1.98175 | -0.20484 |
| С | -3.90047 | 0.36125 | 0.04497 |
| Н | -1.30115 | -2.45612 | -0.45000 |
| Н | -3.70221 | -3.01694 | -0.29288 |
| Н | -4.63458 | 1.15467 | 0.16602 |
| Н | -5.38324 | -1.20504 | 0.02813 |
| С | -2.11385 | 2.15656 | -0.03745 |
| Н | -2.97626 | 2.79144 | 0.18927 |
| Н | -1.77097 | 2.42411 | -1.05129 |
| 0 | -1.12117 | 2.47234 | 0.92623 |
| Н | -0.34453 | 1.94783 | 0.66360 |
| С | 2.11556 | -0.41920 | 0.04220 |
| С | 4.85685 | 0.14084 | -0.13530 |
| С | 3.06054 | -1.29234 | 0.60475 |
| С | 2.56314 | 0.73957 | -0.61811 |
| С | 3.92303 | 1.01577 | -0.70278 |
| С | 4.42348 | -1.01419 | 0.51782 |
| Н | 2.71982 | -2.19082 | 1.11463 |
| Н | 1.82964 | 1.40569 | -1.06094 |
| Н | 4.26118 | 1.91291 | -1.21408 |
| Н | 5.14542 | -1.69596 | 0.95865 |
| Н | 5.91890 | 0.35992 | -0.20518 |

Table S4. Final atomic coordinates for the optimized geometry of the R-CH=N-(C_6H_4 -2-CH₂OH)

$(R = Re(\eta^{5}-C_{5}H_{4})(CO)_{3}, 5c).$

| Re | 1.91464 | 0.04907 | 0.02861 |
|----|----------|----------|----------|
| Н | 2.28979 | -3.00522 | 0.07690 |
| С | 1.68457 | -2.26254 | -0.42519 |
| С | 2.01545 | -1.59800 | -1.65865 |
| н | -0.10612 | -2.09167 | 0.90141 |
| Н | 2.90266 | -1.76305 | -2.25423 |
| С | 0.95113 | -0.71049 | -1.96904 |
| Н | 0.88966 | -0.07490 | -2.84328 |
| С | -0.05969 | -0.82297 | -0.94886 |
| С | 0.42429 | -1.78369 | 0.01113 |
| С | 2.16401 | 1.85030 | -0.59592 |
| 0 | 2.27983 | 2.92020 | -1.03017 |
| С | 3.74283 | -0.01955 | 0.61525 |
| 0 | 4.85220 | -0.12191 | 0.93870 |
| С | 1.35984 | 0.70037 | 1.75503 |
| 0 | 0.97845 | 1.05150 | 2.79147 |
| С | -1.34659 | -0.13614 | -0.94660 |
| Н | -1.46453 | 0.66717 | -1.68600 |
| Ν | -2.29440 | -0.46808 | -0.14964 |
| С | -3.50398 | 0.26348 | -0.13849 |
| С | -5.93893 | 1.64194 | -0.05815 |
| С | -4.70391 | -0.45564 | 0.06839 |
| С | -3.53453 | 1.66093 | -0.26710 |
| С | -4.74774 | 2.34705 | -0.22910 |
| С | -5.90611 | 0.25312 | 0.09415 |
| Н | -2.60127 | 2.21044 | -0.35509 |
| Н | -4.75771 | 3.42990 | -0.31745 |
| Н | -6.83423 | -0.29302 | 0.24604 |
| Н | -6.88752 | 2.17021 | -0.02068 |
| С | -4.68154 | -1.96843 | 0.21447 |
| Н | -4.44922 | -2.42262 | -0.76541 |
| н | -5.67818 | -2.32103 | 0.49879 |
| 0 | -3.78851 | -2.43067 | 1.21292 |
| Н | -2.93110 | -2.02160 | 0.98964 |

Table S5. Final atomic coordinates for the optimized geometry of the R-CH=N-(C_6H_4 -2-CH₂OH)

(R = Mn(η⁵-C₅H₄)(CO)₃, **5d**).

| Н | 3.05263 | -2.77251 | -0.14679 |
|----|----------|----------|----------|
| С | 2.41851 | -2.01490 | -0.58755 |
| С | 2.76112 | -1.17182 | -1.69671 |
| н | 0.56971 | -2.12711 | 0.65614 |
| Н | 3.69110 | -1.18945 | -2.24839 |
| С | 1.65780 | -0.32208 | -1.94814 |
| Н | 1.59983 | 0.43065 | -2.72421 |
| С | 0.62021 | -0.62200 | -1.00280 |
| С | 1.11465 | -1.67745 | -0.16203 |
| С | 2.52712 | 1.82983 | -0.33335 |
| 0 | 2.58970 | 2.94637 | -0.62429 |
| С | 4.08666 | -0.00124 | 0.66131 |
| 0 | 5.17975 | -0.09223 | 1.02332 |
| С | 1.72006 | 0.39870 | 1.69384 |
| 0 | 1.25796 | 0.57673 | 2.73580 |
| С | -0.69224 | 0.01007 | -0.95579 |
| Н | -0.83506 | 0.87144 | -1.62258 |
| Ν | -1.63507 | -0.42286 | -0.20222 |
| С | -2.87322 | 0.25657 | -0.14360 |
| С | -5.36557 | 1.52147 | 0.02673 |
| С | -4.04158 | -0.52489 | 0.01180 |
| С | -2.96419 | 1.65702 | -0.17541 |
| С | -4.20550 | 2.28656 | -0.09337 |
| С | -5.27309 | 0.12834 | 0.08415 |
| Н | -2.05591 | 2.25142 | -0.22427 |
| Н | -4.26173 | 3.37156 | -0.10762 |
| Н | -6.17669 | -0.46615 | 0.19761 |
| Н | -6.33576 | 2.00508 | 0.09865 |
| С | -3.95457 | -2.04182 | 0.05853 |
| Н | -3.70201 | -2.42128 | -0.94773 |
| Н | -4.93557 | -2.45421 | 0.31571 |
| 0 | -3.04339 | -2.52923 | 1.02826 |
| Н | -2.20453 | -2.07010 | 0.83326 |
| Mn | 2.39801 | 0.08173 | 0.05622 |

Table S6. Final atomic coordinates for the optimized geometry of the 2-ferrocenyl 2,4-dihydro-

1H-3,1-benzoxazine (6a).

| Н | 3.75763 | -2.32938 | 0.23641 |
|----|----------|----------|----------|
| С | 2.80371 | -1.94817 | -0.10356 |
| С | 2.51116 | -1.46605 | -1.41594 |
| Н | 3.20492 | -1.42000 | -2.24508 |
| С | 1.15998 | -1.01503 | -1.43159 |
| Н | 0.63494 | -0.57731 | -2.26895 |
| С | 0.61466 | -1.20308 | -0.12247 |
| С | 1.63322 | -1.78930 | 0.69395 |
| С | -0.78995 | -0.91239 | 0.30956 |
| Н | -0.80843 | -0.72921 | 1.40227 |
| Ν | -1.34030 | 0.22114 | -0.42104 |
| Н | -0.74011 | 1.03666 | -0.42197 |
| Н | 1.53571 | -2.03840 | 1.74274 |
| 0 | -1.59659 | -2.04930 | 0.04235 |
| С | -2.91101 | -1.90494 | 0.57383 |
| Н | -2.89049 | -2.05679 | 1.66763 |
| Н | -3.49138 | -2.72671 | 0.14168 |
| С | -3.53259 | -0.56442 | 0.23507 |
| С | -4.63379 | 1.93327 | -0.39517 |
| С | -4.89957 | -0.32863 | 0.38835 |
| С | -2.70333 | 0.47119 | -0.24018 |
| С | -3.26798 | 1.71731 | -0.55716 |
| С | -5.46030 | 0.91180 | 0.08105 |
| Н | -5.53602 | -1.13328 | 0.75291 |
| Н | -2.62710 | 2.51138 | -0.93521 |
| Н | -6.52644 | 1.07723 | 0.20501 |
| Н | -5.05369 | 2.90456 | -0.64353 |
| Fe | 2.25953 | 0.05867 | -0.01697 |
| Н | 4.17847 | 1.60098 | -1.41569 |
| С | 3.54569 | 1.60791 | -0.53794 |
| С | 3.89030 | 1.09562 | 0.74921 |
| Н | 1.64806 | 2.52350 | -1.29871 |
| Н | 4.83013 | 0.63138 | 1.01739 |
| С | 2.76126 | 1.25878 | 1.60733 |
| Н | 2.69627 | 0.94668 | 2.64132 |
| С | 1.71895 | 1.87521 | 0.85065 |
| Н | 0.73271 | 2.12387 | 1.22178 |
| С | 2.20323 | 2.09025 | -0.47673 |

 Table S7. Final atomic coordinates for the optimized geometry of 2-phenyl 2,4-dihydro-1H-3,1

benzoxazine (6b).

| С | -0.46220 | 0.29117 | 0.48201 |
|---|----------|----------|----------|
| н | -0.29263 | 0.36879 | 1.56953 |
| Ν | 0.33610 | -0.83007 | 0.01554 |
| Н | -0.04009 | -1.23942 | -0.83570 |
| 0 | -0.05463 | 1.52556 | -0.10354 |
| С | 1.25802 | 1.86471 | 0.32699 |
| Н | 1.23343 | 2.19588 | 1.38033 |
| Н | 1.55863 | 2.72479 | -0.28002 |
| С | 2.23032 | 0.71572 | 0.14217 |
| С | 3.98784 | -1.40700 | -0.36172 |
| С | 3.61283 | 0.92443 | 0.12467 |
| С | 1.72901 | -0.58111 | -0.06185 |
| С | 2.61607 | -1.63525 | -0.32533 |
| С | 4.49470 | -0.12562 | -0.12159 |
| Н | 3.99962 | 1.92989 | 0.28241 |
| Н | 2.21457 | -2.63314 | -0.48345 |
| Н | 5.56599 | 0.05348 | -0.13812 |
| Н | 4.66491 | -2.23238 | -0.56532 |
| С | -1.93336 | 0.05436 | 0.20591 |
| С | -4.65875 | -0.41553 | -0.25872 |
| С | -2.72606 | 1.03799 | -0.39324 |
| С | -2.51595 | -1.16582 | 0.57533 |
| С | -3.87130 | -1.39908 | 0.34395 |
| С | -4.08246 | 0.80116 | -0.62532 |
| Н | -2.27366 | 1.98183 | -0.67470 |
| Н | -1.90232 | -1.93038 | 1.04374 |
| Н | -4.31231 | -2.34875 | 0.63541 |
| Н | -4.68911 | 1.57126 | -1.09474 |
| н | -5.71506 | -0.59667 | -0.43932 |

Table S8. Final atomic coordinates for the optimized geometry of the 2-cyrhetrenyl 2,4-dihydro-

1H-3,1-benzoxazine (6c).

| Re | 1.79390 | 0.09466 | 0.00599 |
|----|----------|----------|----------|
| Н | 2.88684 | -2.77861 | 0.01397 |
| С | 1.98688 | -2.24119 | -0.25176 |
| С | 1.67983 | -1.68303 | -1.54227 |
| н | 2.31407 | -1.72046 | -2.41766 |
| С | 0.38944 | -1.09707 | -1.47136 |
| Н | -0.14141 | -0.60482 | -2.27437 |
| С | -0.11705 | -1.26461 | -0.13698 |
| С | 0.87827 | -1.98601 | 0.60127 |
| С | 1.95385 | 0.69659 | 1.82525 |
| 0 | 2.01845 | 1.00096 | 2.94368 |
| С | 1.17386 | 1.82508 | -0.54538 |
| 0 | 0.72688 | 2.83579 | -0.90722 |
| С | 3.61975 | 0.59972 | -0.34491 |
| 0 | 4.72726 | 0.84629 | -0.58666 |
| С | -1.51578 | -0.96165 | 0.32289 |
| Н | -1.53336 | -0.88241 | 1.42723 |
| Ν | -2.02740 | 0.24490 | -0.31128 |
| Н | -1.44683 | 1.06165 | -0.15657 |
| Н | 0.79368 | -2.28804 | 1.63737 |
| 0 | -2.32769 | -2.05734 | -0.06219 |
| С | -3.65913 | -1.94569 | 0.44103 |
| Н | -3.66854 | -2.17875 | 1.51989 |
| Н | -4.22645 | -2.73091 | -0.06865 |
| С | -4.25834 | -0.58037 | 0.18065 |
| С | -5.31365 | 1.97043 | -0.28758 |
| С | -5.63087 | -0.34710 | 0.28739 |
| С | -3.40384 | 0.48194 | -0.16739 |
| С | -3.94318 | 1.75596 | -0.40347 |
| С | -6.16790 | 0.91980 | 0.05957 |
| Н | -6.28807 | -1.17328 | 0.55306 |
| н | -3.27952 | 2.57168 | -0.68182 |
| н | -7.23778 | 1.08455 | 0.14574 |
| Н | -5.71559 | 2.96322 | -0.47126 |

 Table S9. Final atomic coordinates for the optimized geometry of the open form 2-cymanentryl

| Mn | 2.06700 | 0.14318 | -0.14300 |
|----|----------|----------|----------|
| Н | 2.78868 | -2.15413 | -1.80924 |
| С | 1.93108 | -1.63429 | -1.40382 |
| С | 1.22095 | -0.55713 | -2.02785 |
| Н | 1.45013 | -0.11513 | -2.98831 |
| С | 0.16607 | -0.17032 | -1.16527 |
| Н | -0.54651 | 0.62210 | -1.35026 |
| С | 0.21514 | -0.98563 | 0.01370 |
| С | 1.30257 | -1.89878 | -0.16091 |
| С | 2.94634 | -0.20973 | 1.38018 |
| 0 | 3.49240 | -0.47705 | 2.36337 |
| С | 1.51148 | 1.74124 | 0.43427 |
| 0 | 1.08793 | 2.75234 | 0.80905 |
| С | 3.52920 | 0.81169 | -0.94496 |
| 0 | 4.46031 | 1.21687 | -1.49620 |
| С | -0.76810 | -1.01649 | 1.17408 |
| Н | -0.21594 | -1.22236 | 2.09607 |
| Ν | -1.51311 | 0.21740 | 1.35972 |
| Н | -0.96610 | 1.03086 | 1.60977 |
| Н | 1.60414 | -2.65405 | 0.55391 |
| 0 | -1.67310 | -2.09896 | 1.05297 |
| С | -2.57059 | -1.98406 | -0.05366 |
| Н | -3.30619 | -2.78060 | 0.09454 |
| Н | -2.03554 | -2.20164 | -0.99309 |
| С | -3.23741 | -0.62864 | -0.11445 |
| С | -4.38999 | 1.91940 | -0.24336 |
| С | -4.39967 | -0.40927 | -0.85492 |
| С | -2.64653 | 0.44617 | 0.57969 |
| С | -3.23489 | 1.72054 | 0.50808 |
| С | -4.98276 | 0.85618 | -0.93040 |
| Н | -4.85346 | -1.24643 | -1.38262 |
| Н | -2.78224 | 2.54797 | 1.05017 |
| Н | -5.88721 | 1.00954 | -1.51130 |
| н | -4.83057 | 2.91187 | -0.28851 |

2,4-dihydro-1H-3,1-benzoxazine (6d).

Table S10. Calculated distances between the imine nitrogen and atoms of the pendant -OH moiety in the imines R-CH=N-(C₆H₄-2-CH₂OH) **5a-5d**, the Mulliken charges on the atoms involved in the 6-endo trig process and differences between their Mulliken charges.

| | | compound | | | |
|---|------------|----------|-------------|-------------|--|
| | 5a | 5b | 5c | 5d | |
| R = | ferrocenyl | phenyl | cyrhetrenyl | cymantrenyl | |
| О-Н | 0.977 | 0.973 | 0.976 | 0.976 | |
| N····H | 1.950 | 2.116 | 2.023 | 2.027 | |
| NO | 2.727 | 2.840 | 2.817 | 2.817 | |
| Mulliken charges | | | | | |
| 0 | -0.648 | -0.627 | -0.649 | -0.649 | |
| C _{imine} | +0.047 | +0.041 | +0.031 | +0.025 | |
| Ν | -0.466 | -0.522 | -0.444 | -0.446 | |
| Charge differences | | | | | |
| q _{C(imine)} − q _o | 0.695 | 0.668 | 0.680 | 0.674 | |
| q _{C (imine)} - q _N | 0.563 | 0.513 | 0.475 | 0.471 | |

open forms (imines)





Table S11. A) Calculated electronic energies (*E* in hartrees) for the imine forms R-CH=N-(C₆H₄-2-CH₂OH) (**5a-5d**) and their corresponding: 2-R-2,4-dihydro-1*H*-3,1-benzoxazines (**6a-6d**) in vacuum and in CH₂Cl₂, and free energy (*G*) calculated for both tautomers at 25 °C under identical experimental conditions; **B)** Differences between the electronic energies (ΔE) and free energies (ΔG) (in kcal /mol) of the imine forms R-CH=N-(C₆H₄-2-CH₂OH) (**5a-5d**) and those obtained for their corresponding tautomers 2-R-2,4-dihydro-1*H*-3,1 benzoxazines (**6a-6d**), with the same R group, under identical conditions in vacuum and in CH₂Cl₂ and the calculated values of the free energy of the reactions **6**_i \rightarrow **5**_i

| | ١ | ۱ | |
|---|---|---|--|
| F | ١ | 1 | |

| | | Electronic energy, (E) | | | | Free energy (G) | | | | |
|-------------|---|------------------------|-------------|------------------------------------|-------------|-----------------|-------------|------------------------------------|-------------|--|
| | | In vacuum | | In CH ₂ Cl ₂ | | In vacuum | | In CH ₂ Cl ₂ | | |
| R | i | 5 | 6 | 5 | 6 | 5 | 6 | 5 | 6 | |
| Ferrocenyl | а | -949.532073 | -949.53894 | -949.546184 | -949.552863 | -949.271199 | -949.276525 | -949.28531 | -949.290448 | |
| Phenyl | b | -671.274740 | -671.284638 | -671.290487 | -671.297026 | -671.080003 | -671.08453 | -671.09575 | -671.096918 | |
| Cyrhenetryl | С | -1051.74750 | -1051.75822 | -1051.76519 | -1051.77357 | -1051.55389 | -1051.56063 | -1051.57157 | -1051.57597 | |
| Cymantrenyl | d | -1076.51436 | -1076.52391 | -1076.532021 | -1076.53793 | -1076.32023 | -1076.32412 | -1076.33608 | -1076.33814 | |

B)

| R and identification letter | | $\Delta E = E(\text{for } 5_{i}) - E(\text{for } 6_{i})$ | | ⊿G = G(for 5 _i)- | G(for 6 _i) | ΔG for the reaction $\boldsymbol{6}_i \rightarrow \boldsymbol{5}_i$ | | |
|-----------------------------|---|--|------------------------------------|-------------------------------------|------------------------------------|---|--|--|
| R | i | in vacuum | In CH ₂ Cl ₂ | in vacuum | In CH ₂ Cl ₂ | at 298 K, in CH_2Cl_2 | | |
| Ferrocenyl | а | 4.31 | 4.19 | 3.34 | 1.29 | -3.22 | | |
| Phenyl | b | 6.21 | 4.10 | 2.84 | 0.73 | -0.73 | | |
| Cyrhenetryl | С | 6.73 | 5.26 | 4.23 | 2.76 | -2.76 | | |
| Cymantrenyl | d | 5.99 | 4.84 | 2.44 | 1.29 | -1.29 | | |

Table S12. Calculated energy (in eV) of the HOMO and LUMO orbitals $[E_{(HOMO)}]$ and $E_{(LUMO)}$, respectively] and the energy gap $[E_{gap} = E_{(LUMO)} - E_{(HOMO)}]$ for the closed forms **6a-6d**, shown below and Mulliken charges on selected atoms.

| | compound | | | | | | | |
|--------------------------------|------------|--------|-------------|-------------|--|--|--|--|
| | 6а | 6b | 6с | 6d | | | | |
| R = | ferrocenyl | phenyl | cyrhetrenyl | cymantrenyl | | | | |
| Е(номо) | -5.328 | -5.691 | -5.618 | -5.473 | | | | |
| E _(LUMO) | -0.361 | -0.153 | -0.974 | -0.954 | | | | |
| E _{gap} | 4.967 | 5.538 | 4.644 | 4.519 | | | | |
| Mulliken charges | | | | | | | | |
| Ν | -0.672 | -0.670 | -0.674 | -0.638 | | | | |
| C8 | +0.262 | +0.236 | +0.218 | +0.198 | | | | |
| 0 | -0.475 | -0.494 | -0.482 | -0.472 | | | | |
| Q _c -Q _o | +0.737 | | +0.700 | +0.670 | | | | |
| С7 | -0.076 | -0.084 | -0.079 | -0.103 | | | | |
| | | | | | | | | |



Table S13. Absorption spectroscopic data for compounds in 2-R-2,4-dihydro-1*H*-3,1benzoxazines (**6a-6d**) in CH₂Cl₂ at 298 K. Wavelengths (λ_i , in nm) and logarithms of the extinction coefficients (log ϵ_i (ϵ_i in M⁻¹ cm⁻¹)].^a

| R | identification | λ1 | log <i>ɛ</i> i | λ2 | $\log \varepsilon_2$ | λ3 | log E ₃ | λ_4 | $\log \mathcal{E}_4$ |
|-------------|----------------|------------------|----------------|------|----------------------|------------------|--------------------|-------------|----------------------|
| | code | | | | | | | | |
| Ferrocenyl | 6a | 302 | 3.3 | 284ª | 3.5 | 245° | 3.3 | 228 | 4.2 |
| Phenyl | 6b | _ | _ | 284ª | 3.7 | 240 ^c | 4.10 | 228 | 4.2 |
| Cyrhetrenyl | 6с | _ | _ | 308 | 4.3 | 280 | 5.26 | 223 | 5.6 |
| Cymantrenyl | 6d | 308 ^b | 4.2 | 282 | 4.4 | 272 | 4.84 | 229 | 5.3 |

^a See also Figure S31; ^baccording to the bibliography this band is attributed to metal to ligand charge transfer MLCT; ^c Broad; ^d Shoulder.