

Supporting information

**Organocatalytic Asymmetric Synthesis of Benzazepinoindole
Derivatives with Trifluoromethylated Quaternary Stereocenters by
Chiral Phosphoric Acid Catalysis**

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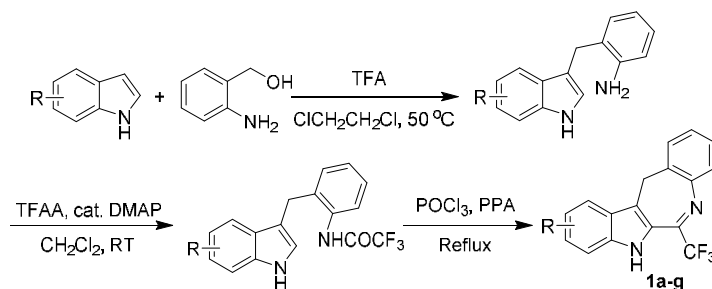
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Table of Contents

1. General procedure for synthesis of trifluoromethyl dihydrobenzoazepino- indoles	S2
2. Copies of NMR spectra.....	S5
3. Copies of HPLC spectra.....	S44

1. General procedure for synthesis of trifluoromethyl dihydrobenzoazepino-indoles

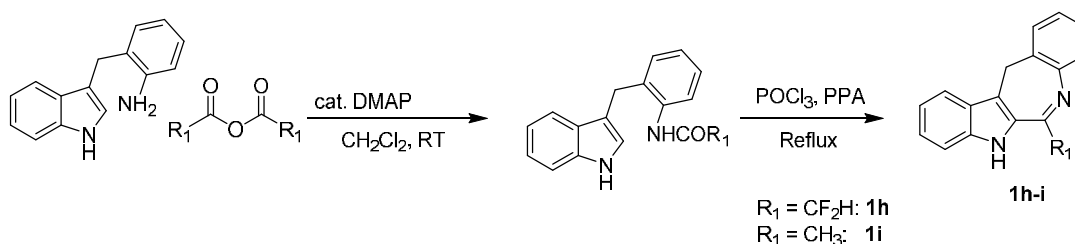


To a solution of indoles (2.8 mmol, 0.4g) and 2-aminobenzyl alcohol (1.4 mmol, 0.17 g) in DCE (4 mL) was added TFA (0.41 mmol, 0.031 mL) at rt. The resulting solution was stirred at 50 °C overnight. The reaction was quenched with saturated aqueous NaHCO_3 (50 mL) and diluted with EtOAc (50 mL). The organic phase was washed with brine (100 mL), and then dried over Na_2SO_4 . Solvent was removed using a rotary evaporator, and the residue was purified by silica gel chromatography to give 2-((1-indol-3-yl)methyl)anilines.

To a solution of 2-((1-indol-3-yl)methyl)anilines (15 mmol, 1.0 eq.), 4-dimethylaminopyridine (DMAP, 91.5 mg, 0.75 mmol, 0.05 eq.) in dichloromethane (30 mL) was added drop wise trifluoroacetic anhydride (2.5 mL, 18 mmol, 1.2 eq.). The resulting mixture was stirred for 2 h at room temperature in the air. After the reaction was complete, the reaction mixture was washed with saturated brine (30 mL) and extracted with dichloromethane (3x20 mL). The organic layers were dried by anhydrous Na_2SO_4 and concentrated in vacuo. Purification of the residue by flash column chromatography (petroleum ether/ethyl acetate = 6/1) afforded the desired trifluoroacetamide compound.

trifluoroacetamide compound (10.9 mmol, 1.0 eq.) in PPA (30 mL) was added to a round bottom flask (100 mL), and the flask was heated to 120°C; then phosphorus oxychloride (6.6 mL, 54.5 mmol, 5.0 eq.) was added into it. The resulting mixture was refluxed for 5 h. Upon completion the reaction mixture was allowed to cool to room temperature and quenched with water. Basify the aqueous layer by the addition of saturated solution of NaHCO_3 . The resulting solution was extracted four times with EtOAc. Organic layer was washed with brine, dried over anhydrous Na_2SO_4 , and solvent was removed in vacuo. Purification of the residue by flash column chromatography (petroleum ether/ethyl acetate = 10/1) afforded the corresponding 6-trifluoromethyl-4,12-dihydroindolo[2,3-c][1]benzazepine (**1**).

1.1 General procedure for synthesis of dihydrobenzoazepino-indoles



To a solution of 2-((1-indol-3-yl)methyl)anilines (15 mmol, 1.0 eq.), 4-dimethylaminopyridine (DMAP, 91.5 mg, 0.75 mmol, 0.05 eq.) in dichloromethane (30 mL) was added drop wise an

anhydride (18 mmol, 1.2 eq.). The resulting mixture was stirred for 2 h at room temperature in the air. After the reaction was complete, the reaction mixture was washed with saturated brine (30 mL) and extracted with dichloromethane (3x20 mL). The organic layers were dried by anhydrous Na₂SO₄ and concentrated in vacuo. Purification of the residue by flash column chromatography (petroleum ether/ethyl acetate = 6/1) afforded the desired acetamide compound.

acetamide compound (10.9 mmol, 1.0 eq.) in PPA (30 mL) was added to round bottom flask (100 mL), and the flask was heated to 120°C, then phosphorus oxychloride (54.5 mmol, 5.0 eq.) was added into it. The resulting mixture was refluxed for 5 h. Upon completion the reaction mixture was allowed to cool to room temperature and quenched with water. Basify the aqueous layer by the addition of saturated solution of NaHCO₃. The resulting solution was extracted four times with EtOAc. Organic layer was washed with brine, dried over anhydrous Na₂SO₄, and solvent was removed in vacuo. Purification of the residue by flash column chromatography (petroleum ether/ethyl acetate = 10/1) afforded the 6-trifluoromethyl-4,12-dihydroindolo[2,3-c][1]benzazepine (**1h-i**).

6-trifluoromethyl-5,12-dihydroindolo[2,3-c][1]benzazepine (1a). Yellowish solid, 80% over yield, m.p. 170-171°C. ¹H NMR (400 MHz, CDCl₃) δ 8.41 (s, 1H), 7.81 (d, *J* = 8.0 Hz, 1H), 7.53 – 7.47 (m, 1H), 7.42 (d, *J* = 8.3 Hz, 1H), 7.38 (dd, *J* = 6.8, 0.9 Hz, 1H), 7.36 – 7.27 (m, 3H), 7.23 (ddd, *J* = 7.9, 6.9, 1.0 Hz, 1H), 3.92 (s, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 144.48, 138.15, 132.11, 129.64, 128.46, 128.25, 126.95, 126.16, 125.64, 124.79, 122.91, 120.81, 119.76, 112.00, 28.87; ¹⁹F NMR (376 MHz, CDCl₃) δ -68.24 (s).

6-trifluoromethyl-4-methyl-5,12-dihydroindolo[2,3 c][1]benzazepine (1b).

Yellowish solid, 85% over yield, m.p. 150-151°C. ¹H NMR (400 MHz, CDCl₃) δ 8.20 (s, 1H), 7.67 – 7.62 (m, 1H), 7.49 (dd, *J* = 7.4, 1.5 Hz, 1H), 7.39 – 7.26 (m, 3H), 7.20 – 7.10 (m, 2H), 3.90 (s, 2H), 2.50 (s, 3H); ¹³C NMR (101 MHz, CDCl₃) δ 144.50, 137.90, 132.16, 129.57, 128.44, 128.15, 126.90, 126.48, 126.31, 124.40, 122.71, 122.30, 121.23, 121.07, 117.42, 29.02, 16.49; ¹⁹F NMR (376 MHz, CDCl₃) δ -68.13 (s).

6-trifluoromethyl-1-methyl-5,12-dihydroindolo[2,3-c][1]benzazepine (1c).

Yellowish solid, 83% over yield, m.p. 140-141°C. ¹H NMR (400 MHz, CDCl₃) δ 8.45 (s, 1H), 7.93 (d, *J* = 1.5 Hz, 1H), 7.50 (dd, *J* = 7.6, 1.5 Hz, 1H), 7.43 (dd, *J* = 8.7, 1.7 Hz, 1H), 7.40 – 7.32 (m, 2H), 7.32 – 7.30 (d, 1H), 7.30-7.28 (s, 1H), 3.86 (s, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 144.49, 138.53, 132.82, 132.16, 129.33, 128.00, 127.55, 126.88, 126.29, 126.06, 123.31, 122.99, 122.52, 110.00, 30.33, 21.08; ¹⁹F NMR (376 MHz, CDCl₃) δ -68.07 (s).

6-trifluoromethyl-2-methyl-5,12-dihydroindolo[2,3-c][1]benzazepine (1d).

Yellowish solid, 85% over yield, m.p. 145-146°C. ¹H NMR (400 MHz, CDCl₃) δ 8.26 (s, 1H), 7.76 (d, *J* = 8.0 Hz, 1H), 7.36 (dd, *J* = 7.9, 2.7 Hz, 2H), 7.28 (t, *J* = 7.6 Hz, 1H), 7.25 – 7.22 (m, 2H), 7.22 – 7.13 (m, 3H), 3.85 (s, 2H), 2.67 (s, 3H); ¹³C NMR (101 MHz, CDCl₃) δ 144.55, 136.67, 132.19, 130.22, 129.53, 128.43, 128.21, 128.09, 126.88, 125.22, 125.01, 123.06, 119.05, 111.67, 28.86, 21.52; ¹⁹F NMR (376 MHz, CDCl₃) δ -68.21 (s).

6-trifluoromethyl-3-fluoro-5,12-dihydroindolo[2,3-c][1]benzazepine (1e).

Yellowish solid, 75% over yield, m.p. 161-162°C. ¹H NMR (400 MHz, CDCl₃) δ 8.42 (s, 1H), 7.70 (d, *J* = 8.6 Hz, 1H), 7.50 (dd, *J* = 7.5, 1.4 Hz, 1H), 7.41 (d, *J* = 1.5 Hz, 1H), 7.35 (ddd, *J* = 10.1, 7.7, 1.7 Hz, 2H), 7.31 – 7.27 (m, 1H), 7.19 (dd, *J* = 8.6, 1.7 Hz, 1H), 3.88 (s, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 163.33,

160.90, 144.43, 138.30, 131.82, 129.73, 128.46, 128.33, 127.07, 125.60, 121.58, 121.15, 121.04, 110.52, 110.26, 98.22, 97.96, 28.88; ^{19}F NMR (376 MHz, CDCl_3) δ -68.23 (s).

6-trifluoromethyl-3-chloro-5,12-dihydroindolo[2,3-c][1]benzazepine (1f).

Yellowish solid, 78% over yield, m.p. 175-176°C. ^1H NMR (400 MHz, CDCl_3) δ 8.42 (s, 1H), 7.70 (d, J = 7.5, 1.4 Hz, 1H), 7.50 (dd, J = 7.5, 1.4 Hz, 1H), 7.41 (d, J = 1.5 Hz, 1H), 7.34 (pd, J = 7.3, 1.7 Hz, 2H), 7.29 (dd, J = 7.1, 1.7 Hz, 1H), 7.19 (dd, J = 8.6, 1.7 Hz, 1H), 3.88 (s, 2H); ^{13}C NMR (101 MHz, CDCl_3) δ 144.37, 138.25, 136.90, 132.03, 131.79, 131.11, 129.83, 129.38, 128.49, 128.38, 127.99, 127.12, 126.95, 125.39, 123.93, 123.40, 121.87, 120.74, 115.21, 111.84, 28.80; ^{19}F NMR (376 MHz, CDCl_3) δ -68.24.

6-trifluoromethyl-2-bromo-5,12-dihydroindolo[2,3-c][1]benzazepine (1g).

Yellowish solid, 75% over yield, m.p. 225-226 °C. ^1H NMR (400 MHz, CDCl_3) δ 8.41 (s, 1H), 7.49 (dd, J = 5.7, 3.6 Hz, 1H), 7.38 – 7.28 (m, 3H), 7.21 (dd, J = 11.5, 5.1 Hz, 2H), 6.92 (d, J = 6.2 Hz, 1H), 4.06 (s, 2H), 2.89 (s, 3H); ^{13}C NMR (101 MHz, CDCl_3) δ 144.30, 136.54, 131.77, 129.93, 128.99, 128.52, 128.39, 127.14, 126.40, 124.51, 122.33, 121.93, 113.99, 113.50, 28.72; ^{19}F NMR (376 MHz, CDCl_3) δ -68.25 (d, J = 3.9 Hz).

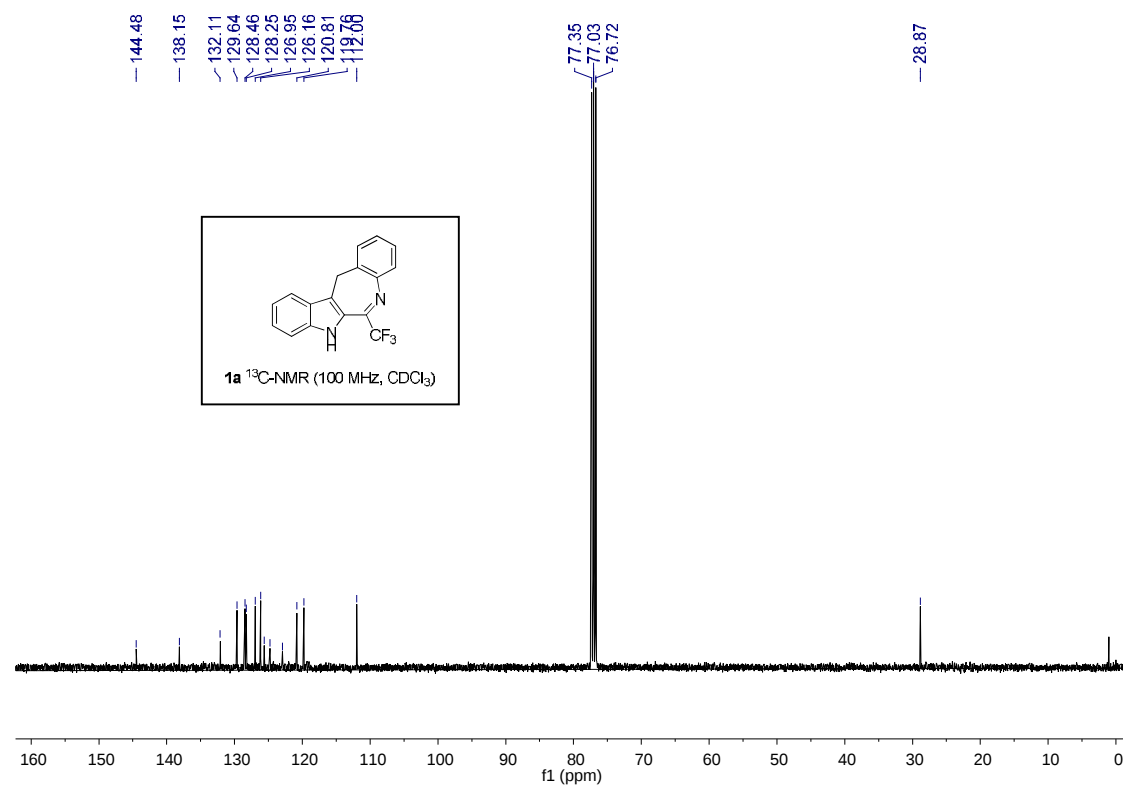
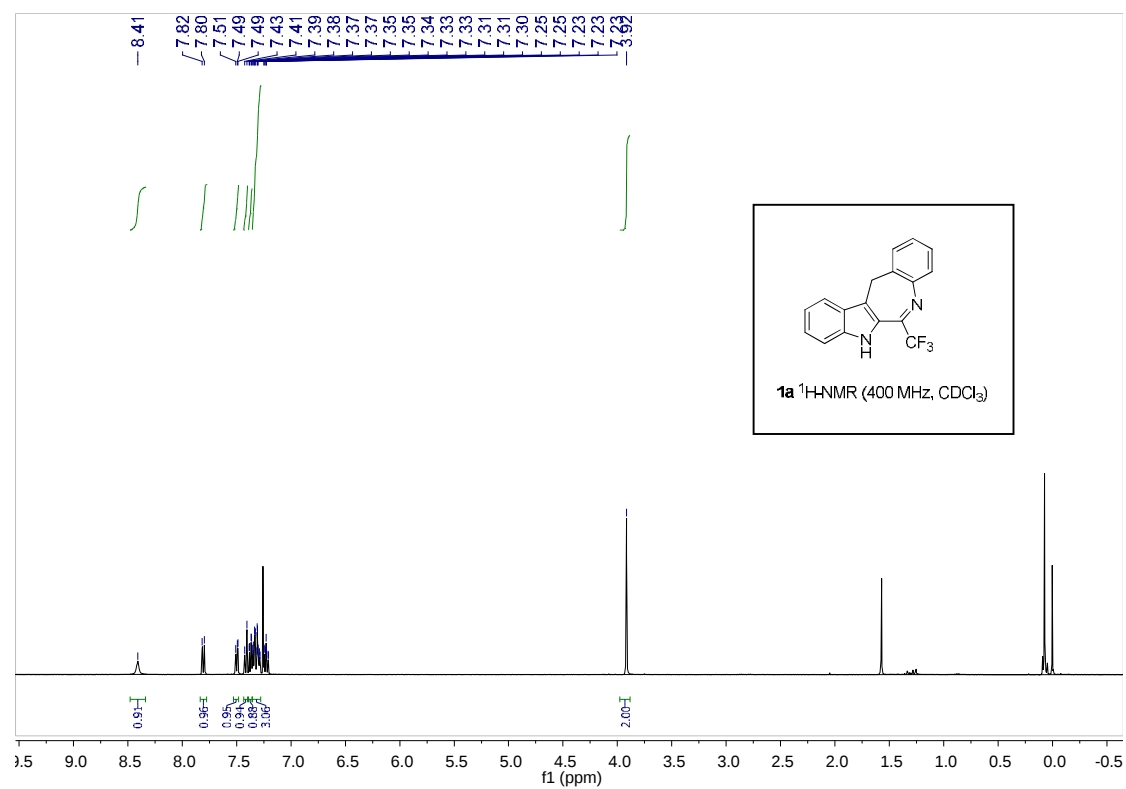
6-difluoromethyl-5,12-dihydroindolo[2,3-c][1]benzazepine (1h).

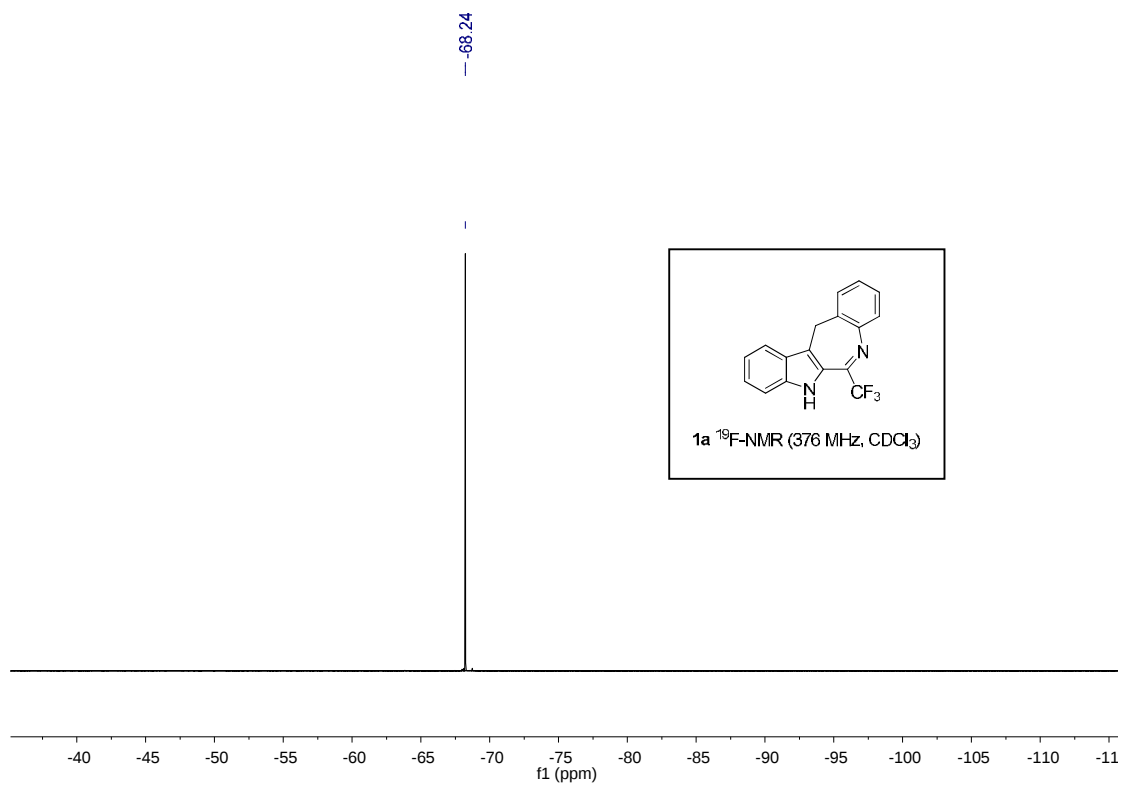
Yellowish solid, 90% over yield, m.p. 151-152°C. ^1H NMR (400 MHz, CDCl_3) δ 8.41 (s, 1H), 7.73 (dd, J = 8.8, 5.3 Hz, 1H), 7.50 (dd, J = 7.5, 1.6 Hz, 1H), 7.38 – 7.31 (m, 2H), 7.31 – 7.27 (m, 1H), 7.08 (dd, J = 9.3, 2.2 Hz, 2H), 7.00 (td, J = 9.1, 2.2 Hz, 1H), 3.89 (s, 1H); ^{13}C NMR (101 MHz, CDCl_3) δ 145.27, 138.02, 132.29, 129.18, 128.63, 128.17, 127.90, 126.87, 125.71, 124.84, 124.70, 123.88, 123.28, 120.49, 120.28, 119.64, 117.86, 115.02, 111.98, 29.00; ^{19}F NMR (376 MHz, CDCl_3) δ -114.46 (d, J = 55.1 Hz).

6-methyl-5,12-dihydroindolo[2,3-c][1]benzazepine (1i).

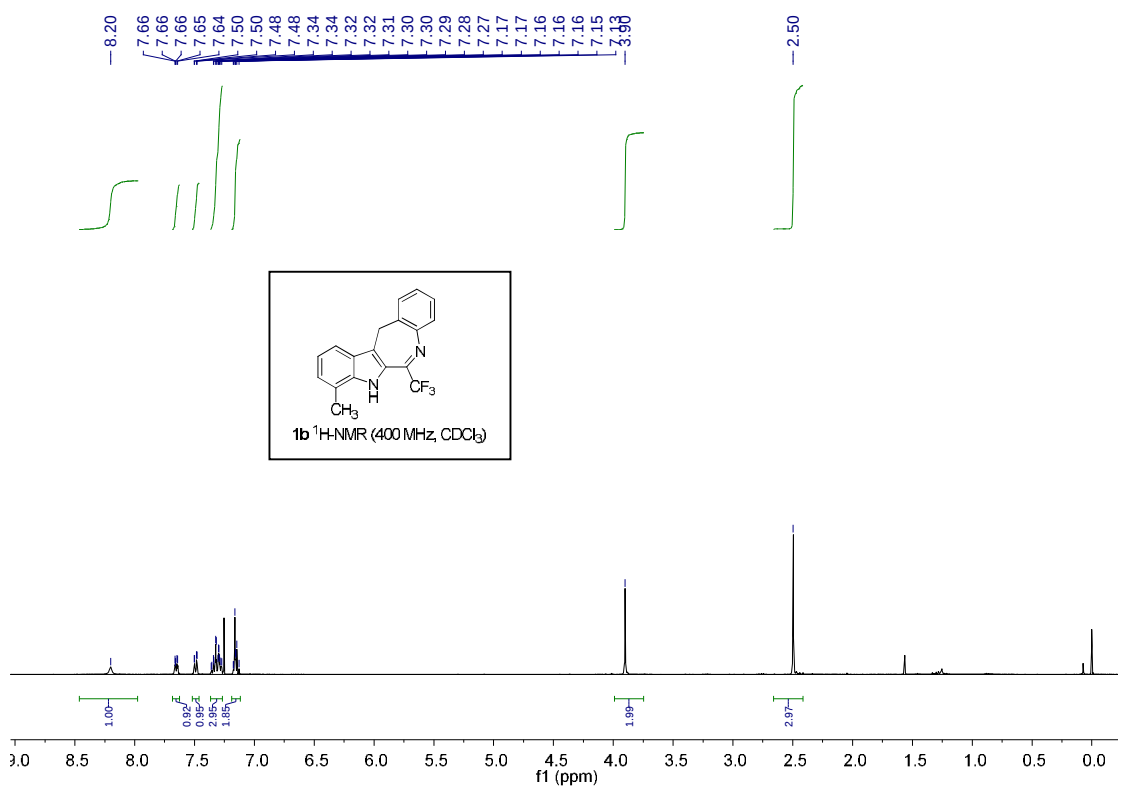
Yellowish solid, 87% over yield, m.p. 172-173 °C. ^1H NMR (400 MHz, CDCl_3) δ 8.31 (s, 1H), 7.57 (s, 1H), 7.52 – 7.45 (m, 1H), 7.37 – 7.27 (m, 4H), 7.19 (dd, J = 8.4, 1.2 Hz, 1H), 3.88 (s, 2H), 2.49 (s, 3H); ^{13}C NMR (101 MHz, CDCl_3) δ 157.49, 146.78, 137.16, 133.38, 132.89, 131.83, 129.08, 128.22, 127.14, 126.81, 126.57, 125.65, 125.33, 125.20, 124.77, 121.75, 120.33, 119.64, 111.61, 28.96, 26.40.

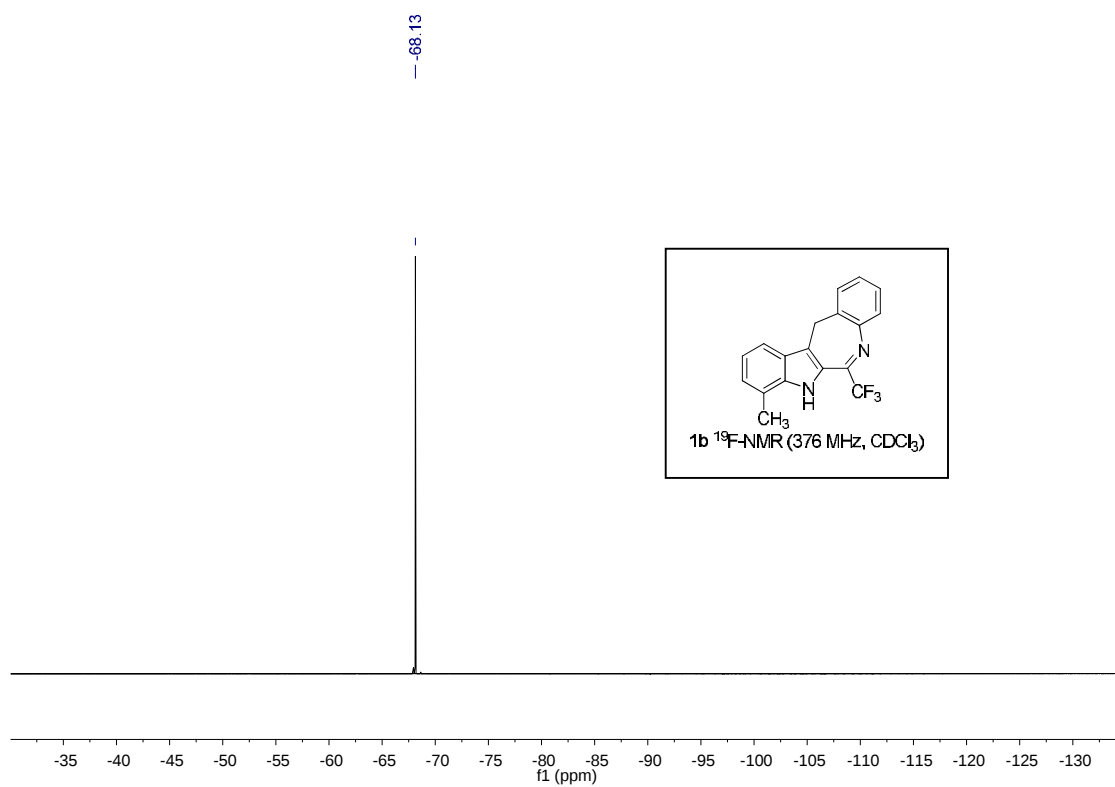
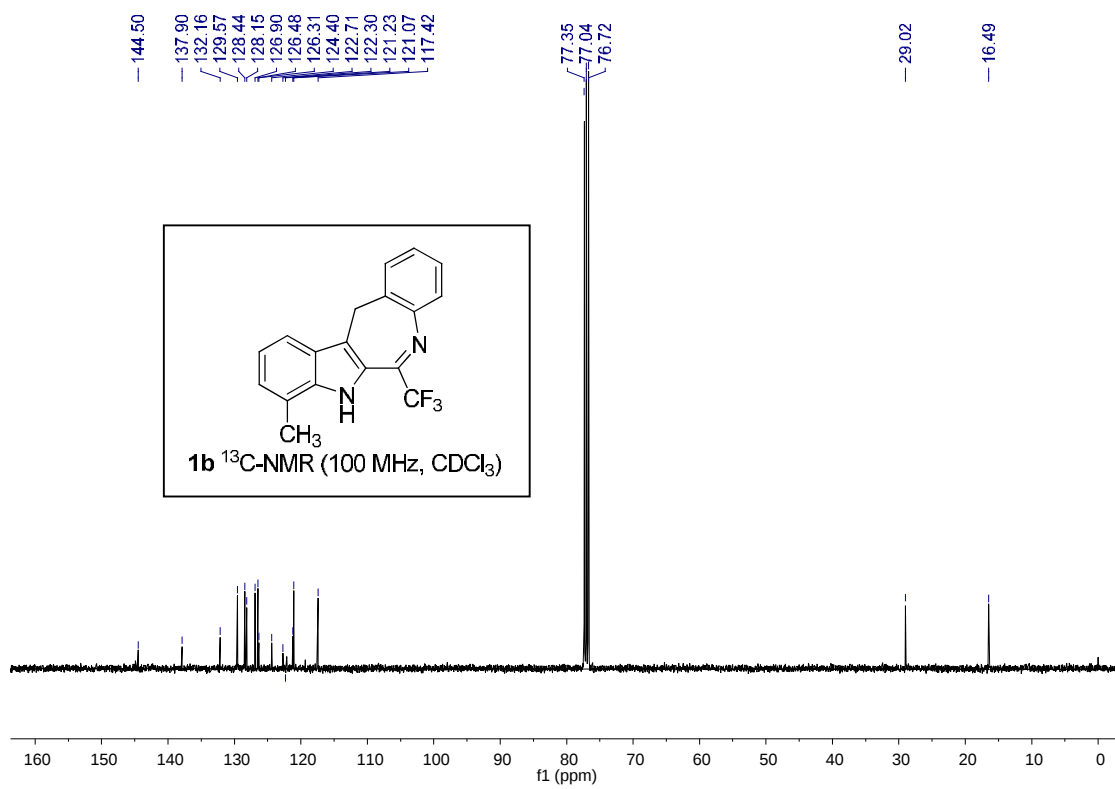
1. Copies of ^1H NMR, ^{13}C NMR and ^{19}F NMR spectra
6-trifluoromethyl-5,12-dihydroindolo[2,3-c][1]benzazepine (1a)



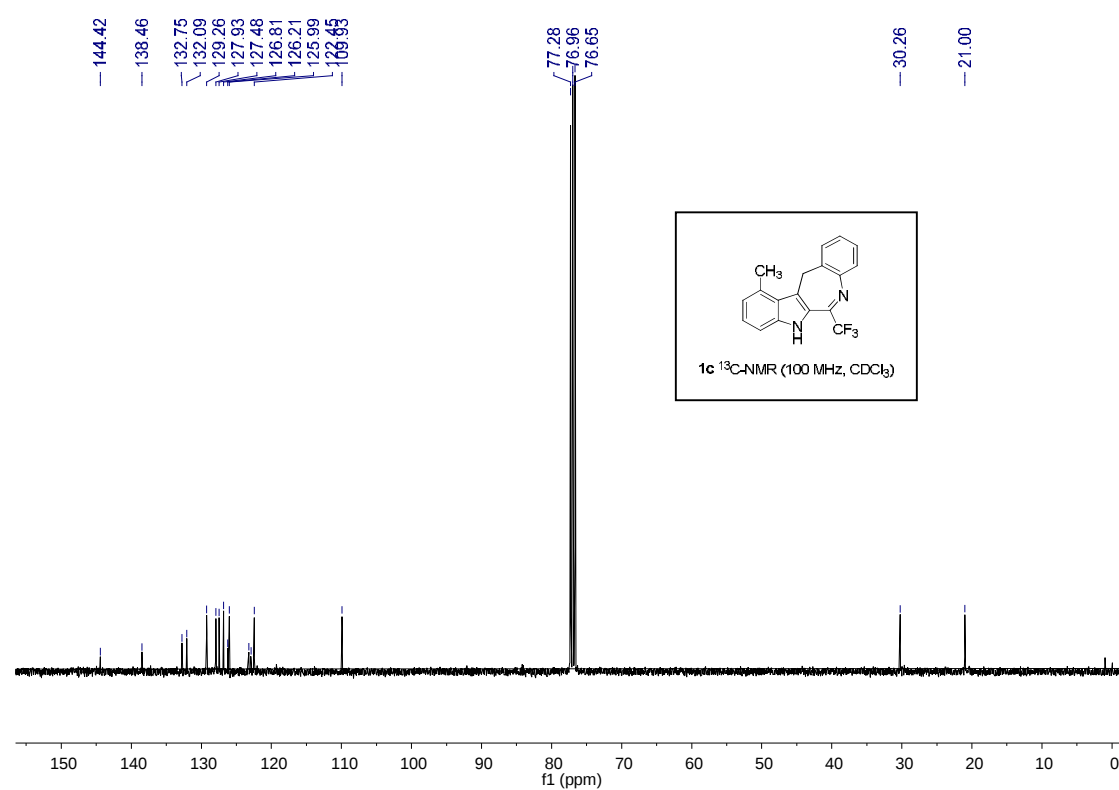
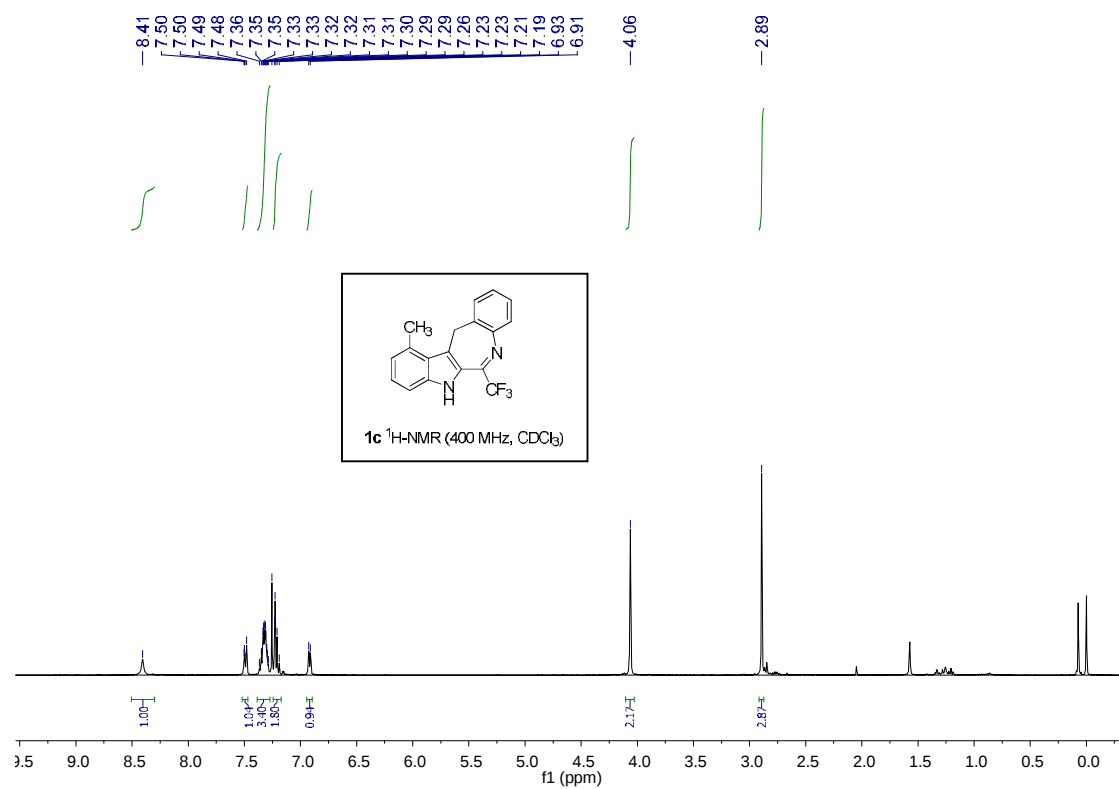


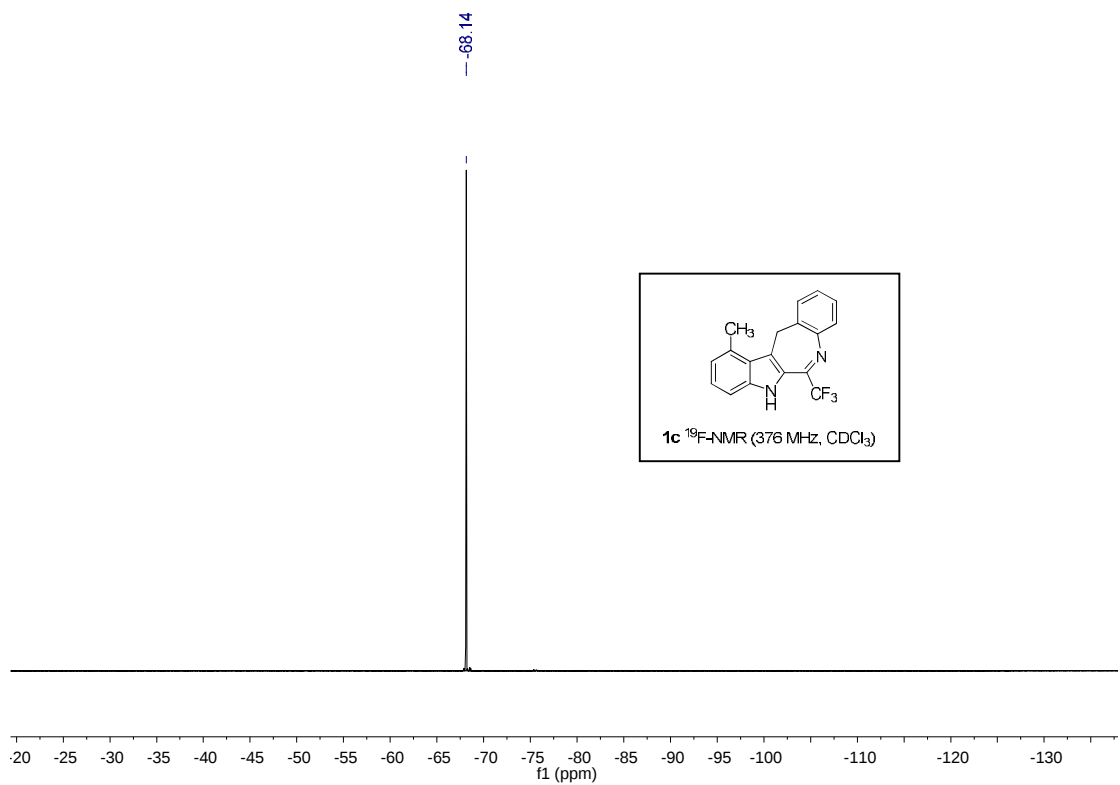
6-trifluoromethyl-4-methyl-5,12-dihydroindolo[2,3-c][1]benzaz-epine (1b)



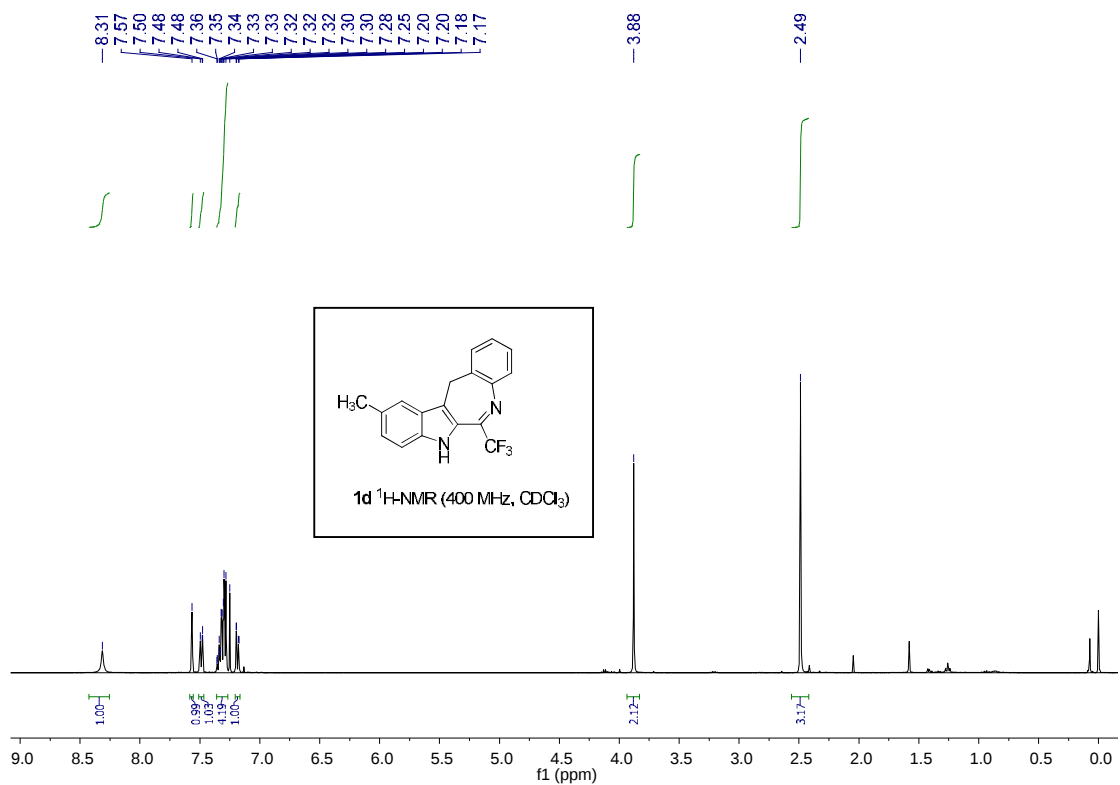


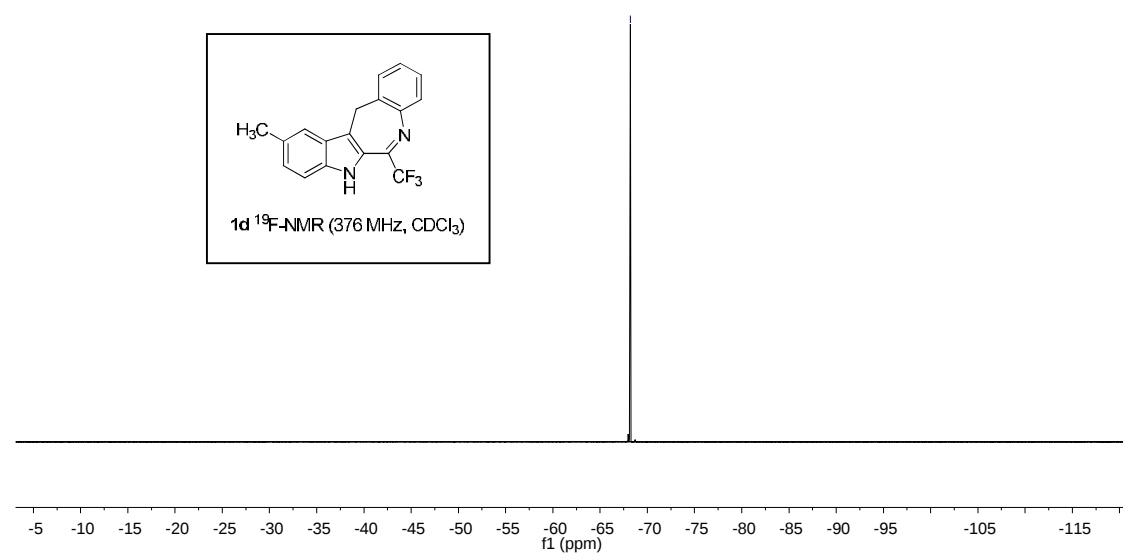
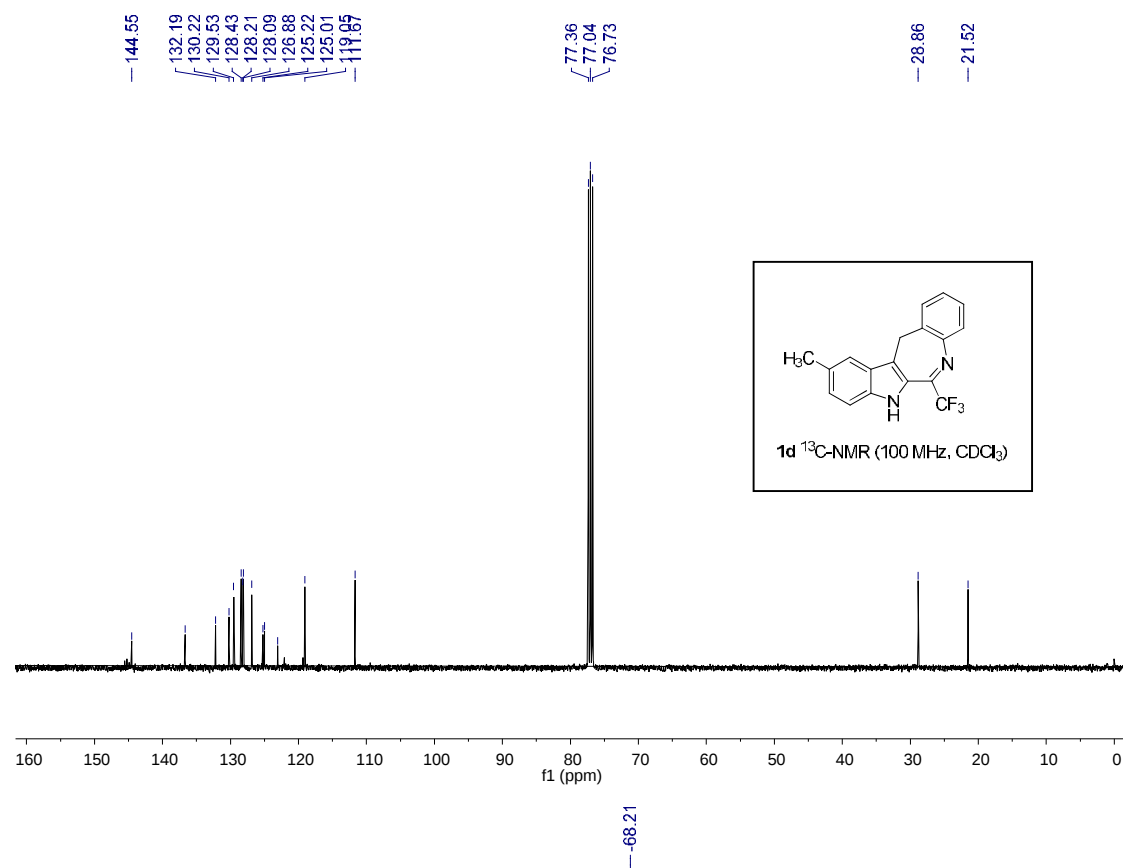
6-trifluoromethyl-1-methyl-5,12-dihydroindolo[2,3-c][1]benzaz-epine (1c)



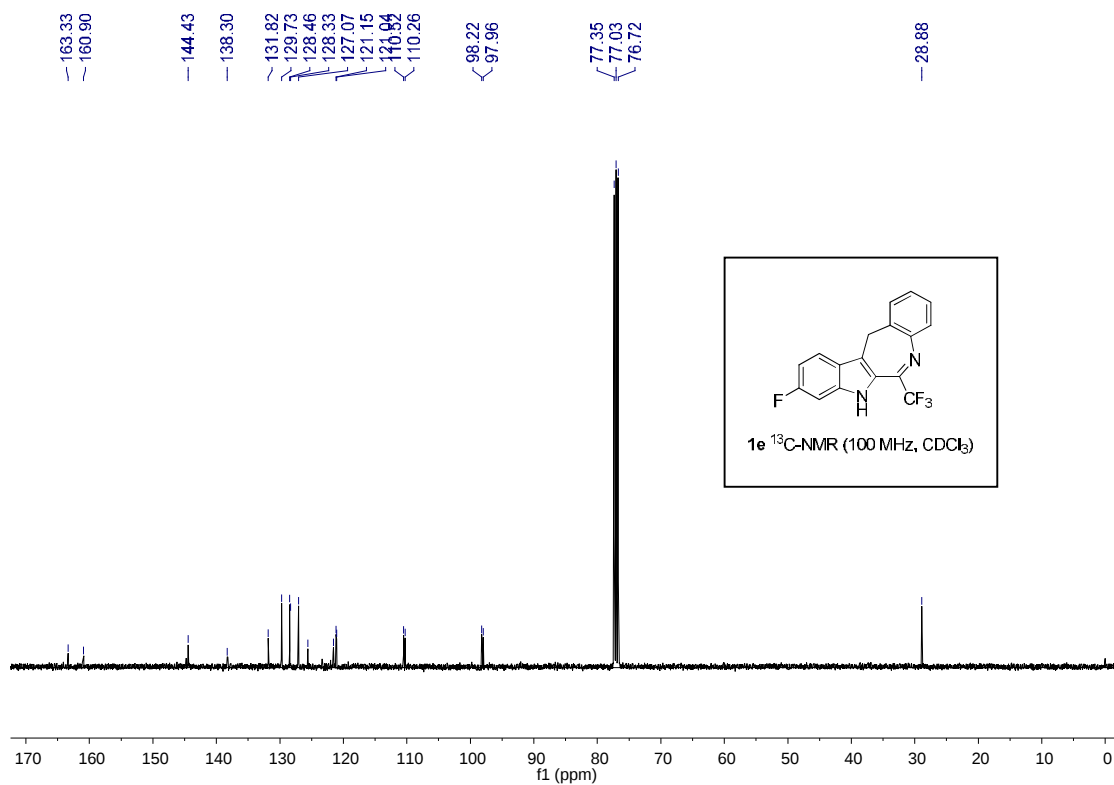
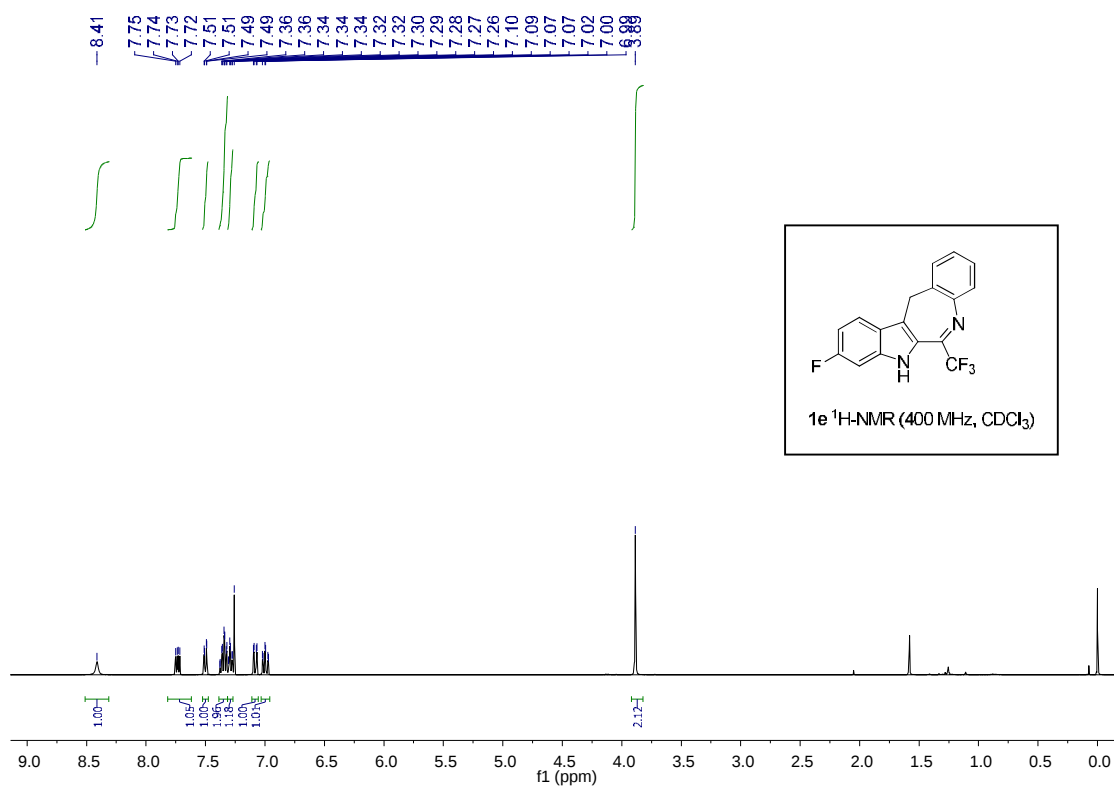


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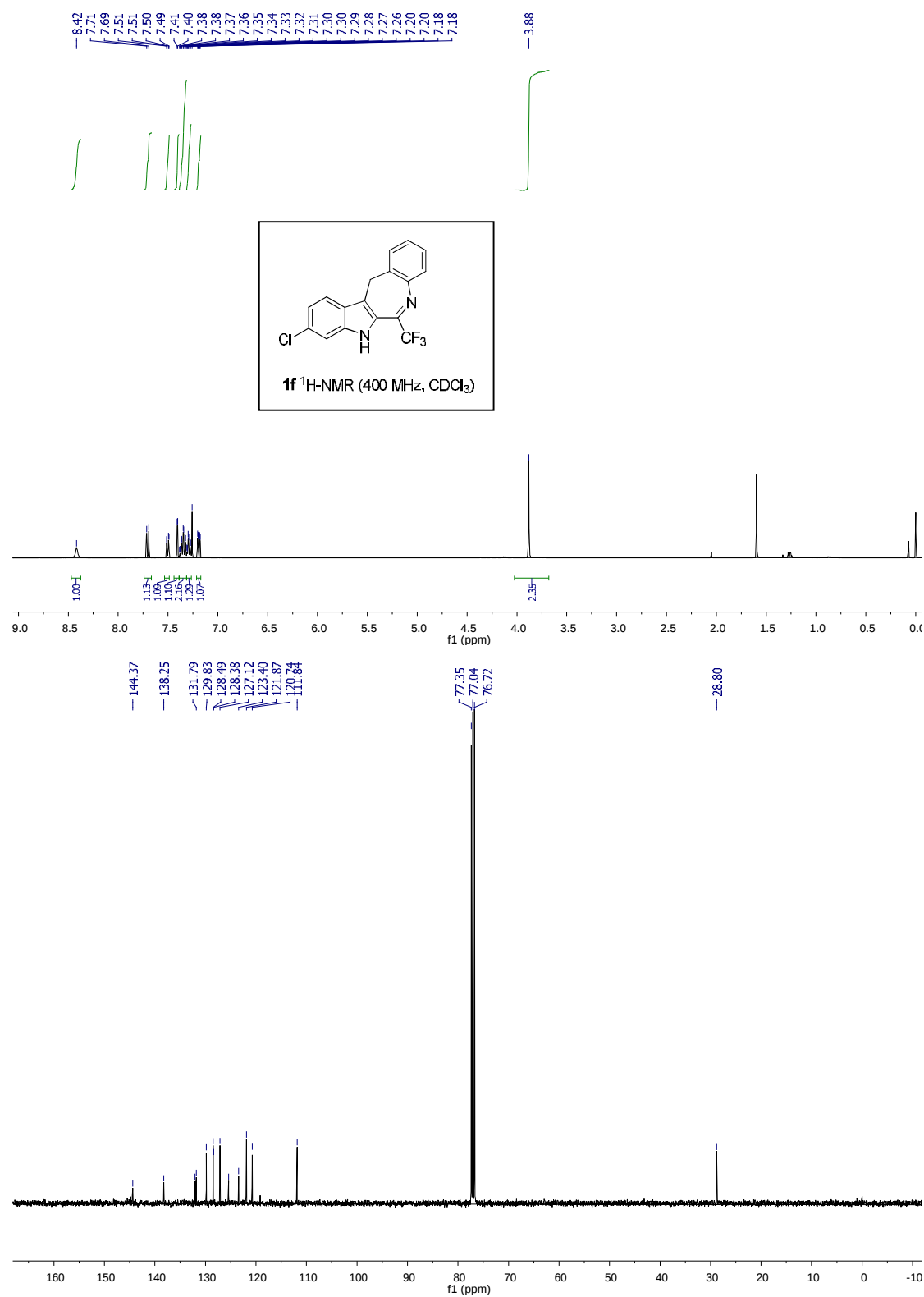


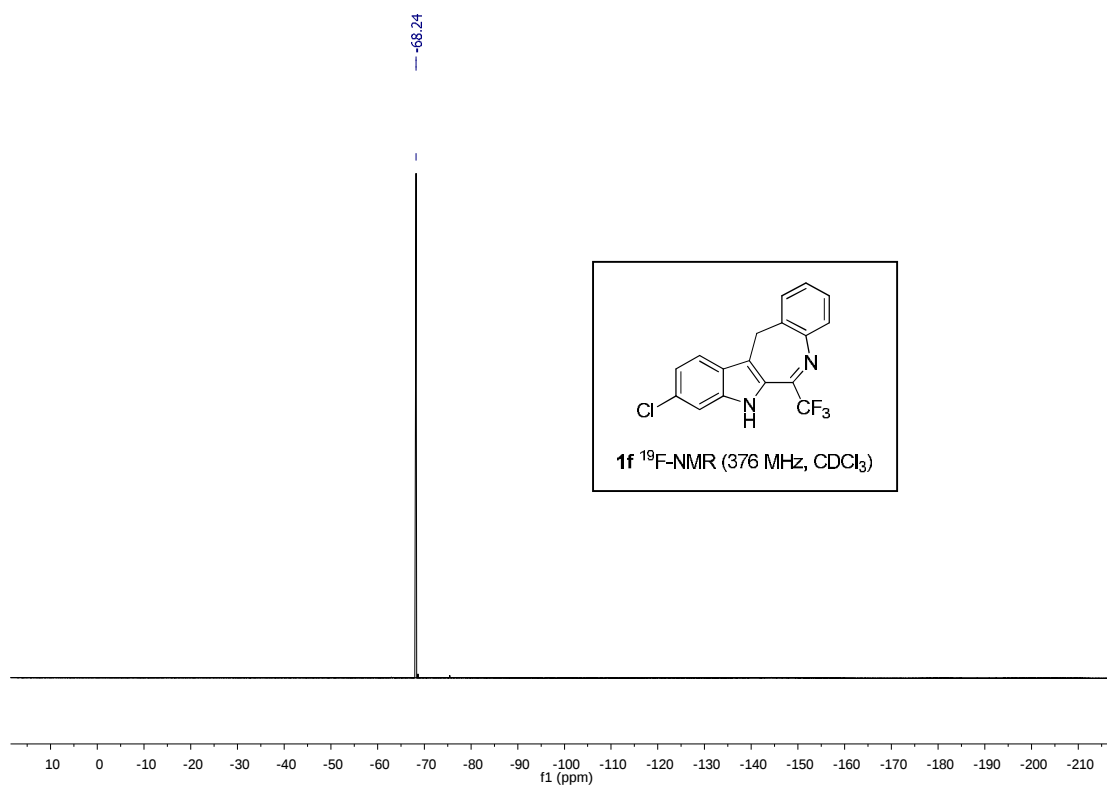


6-trifluoromethyl-3-fluoro-5,12-dihydroindolo[2,3-c][1]benzazepine (1e)

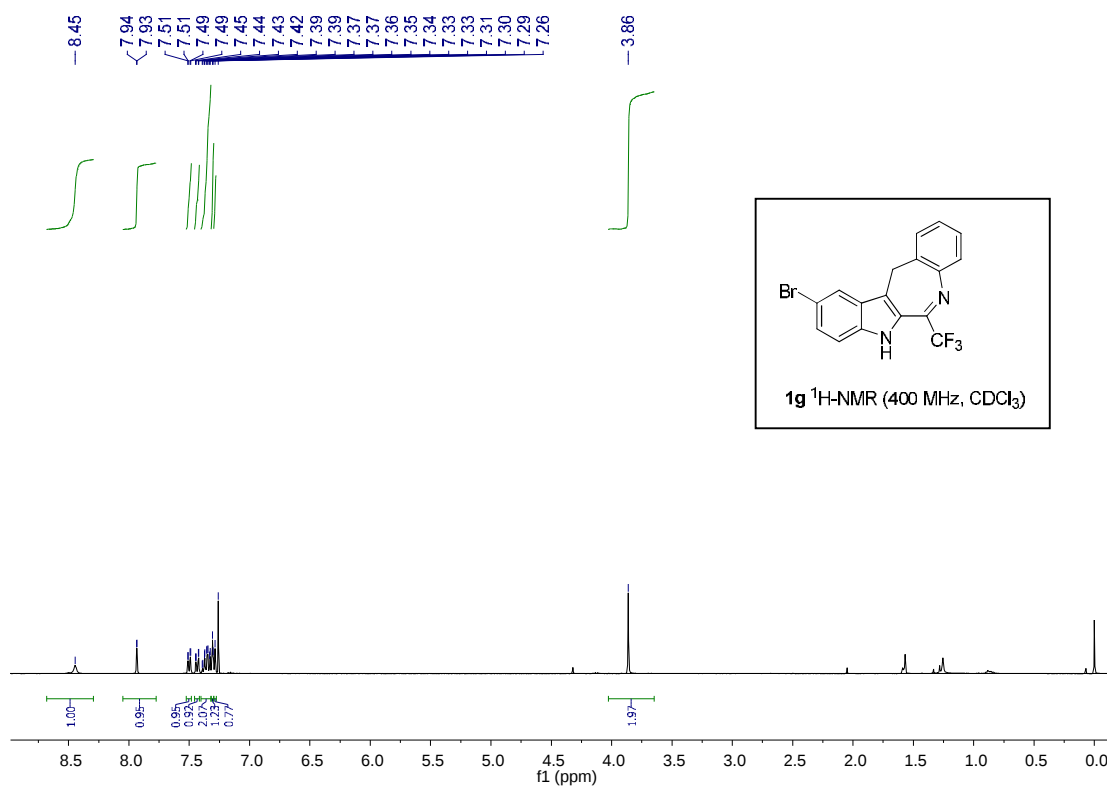


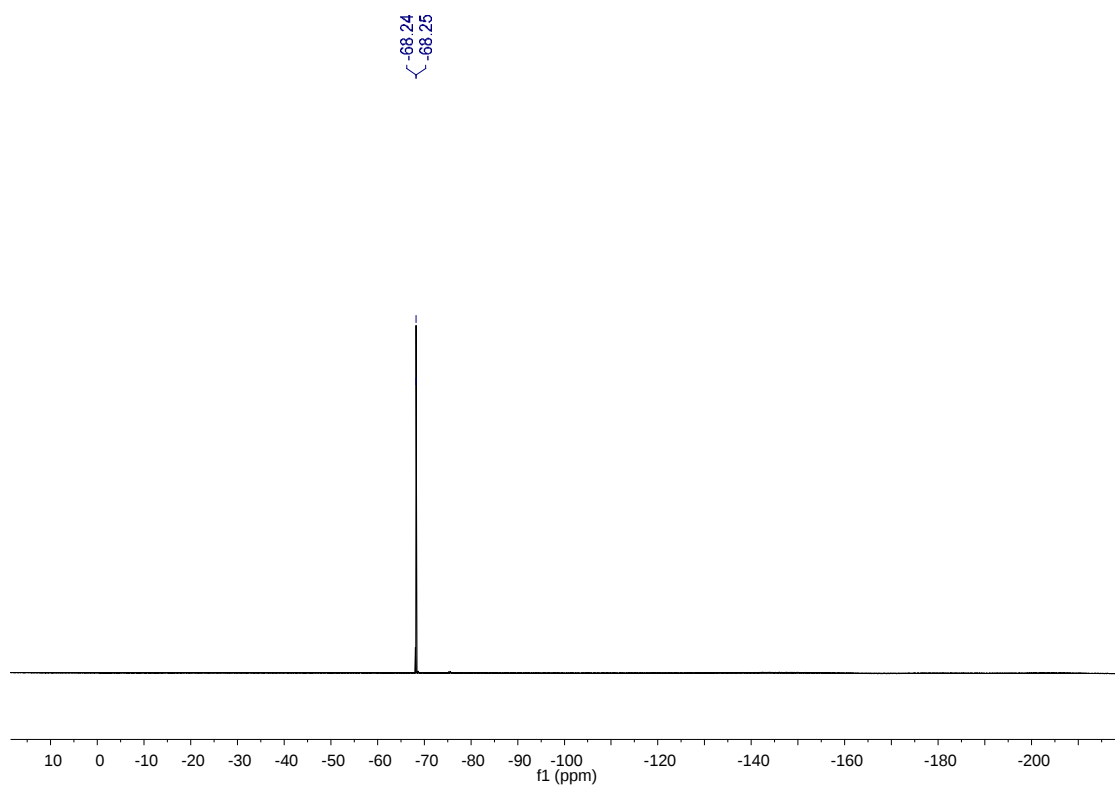
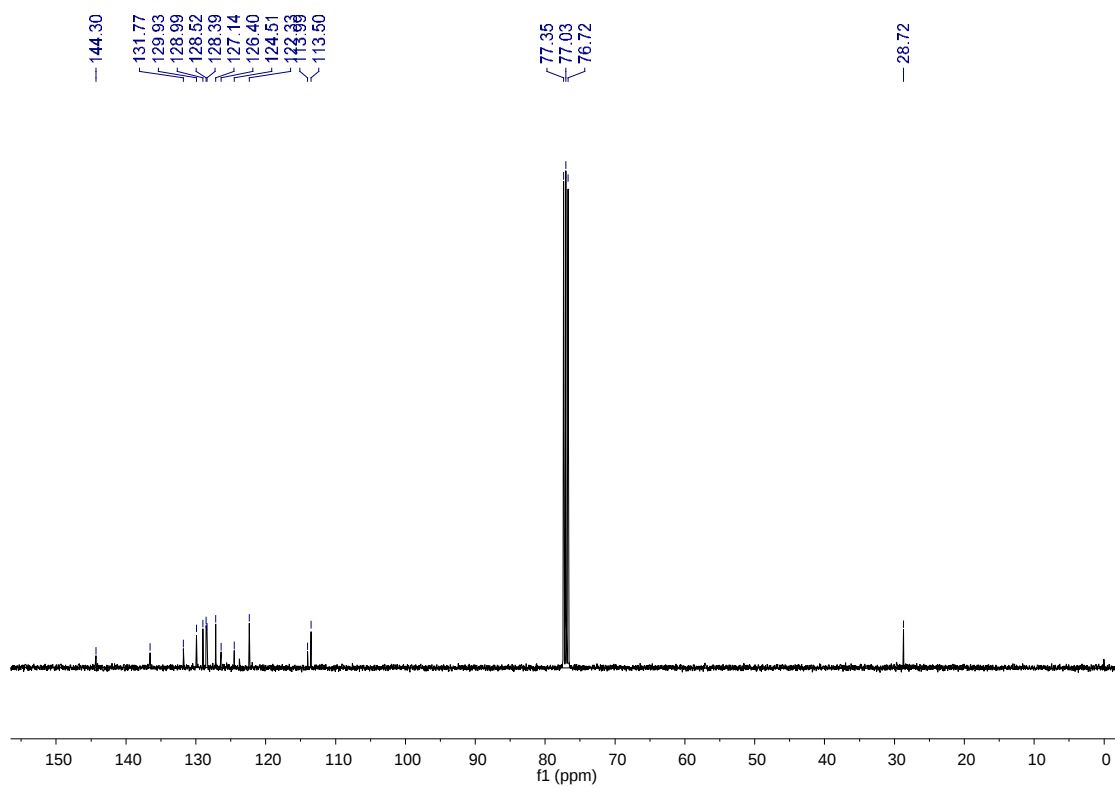
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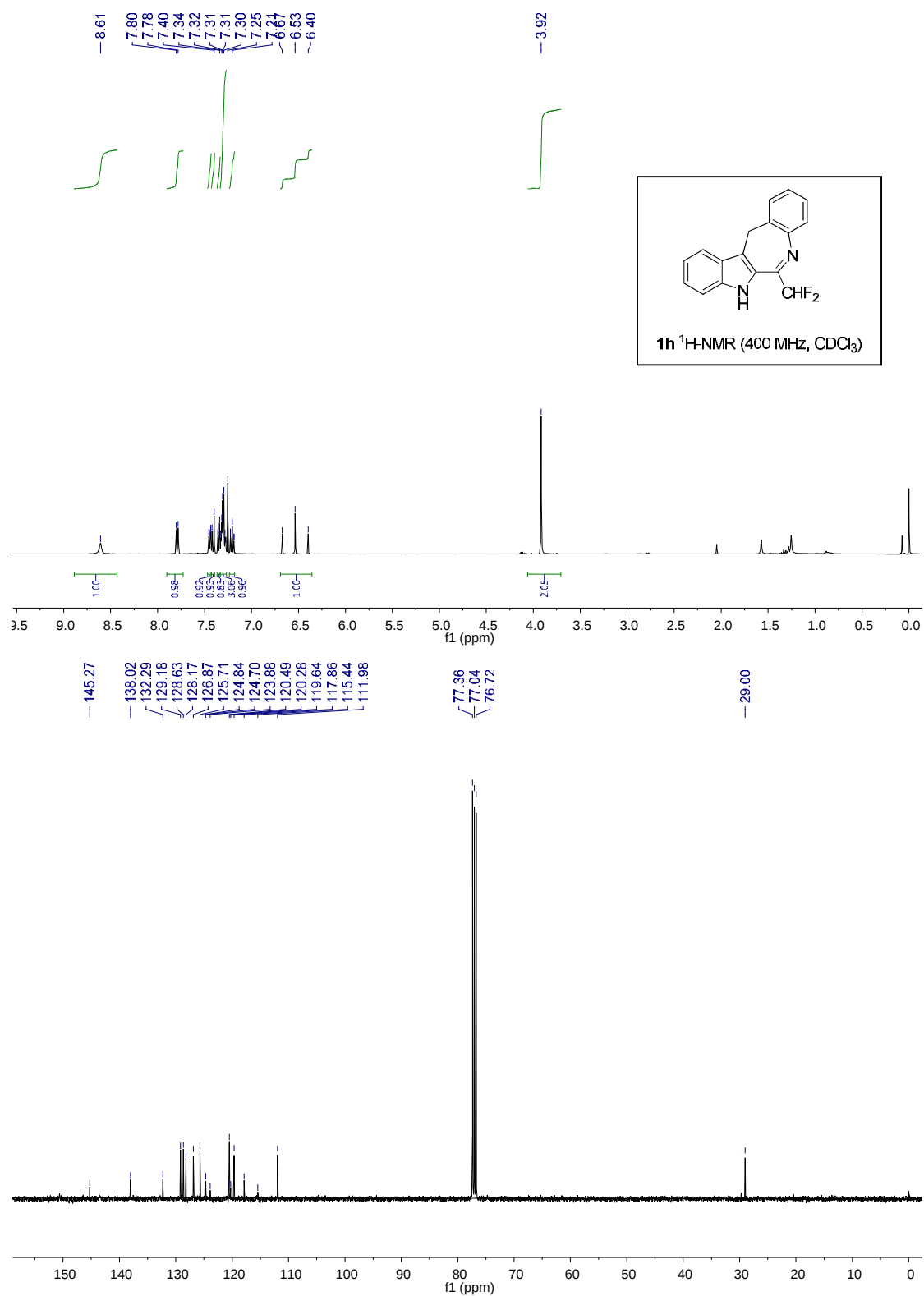


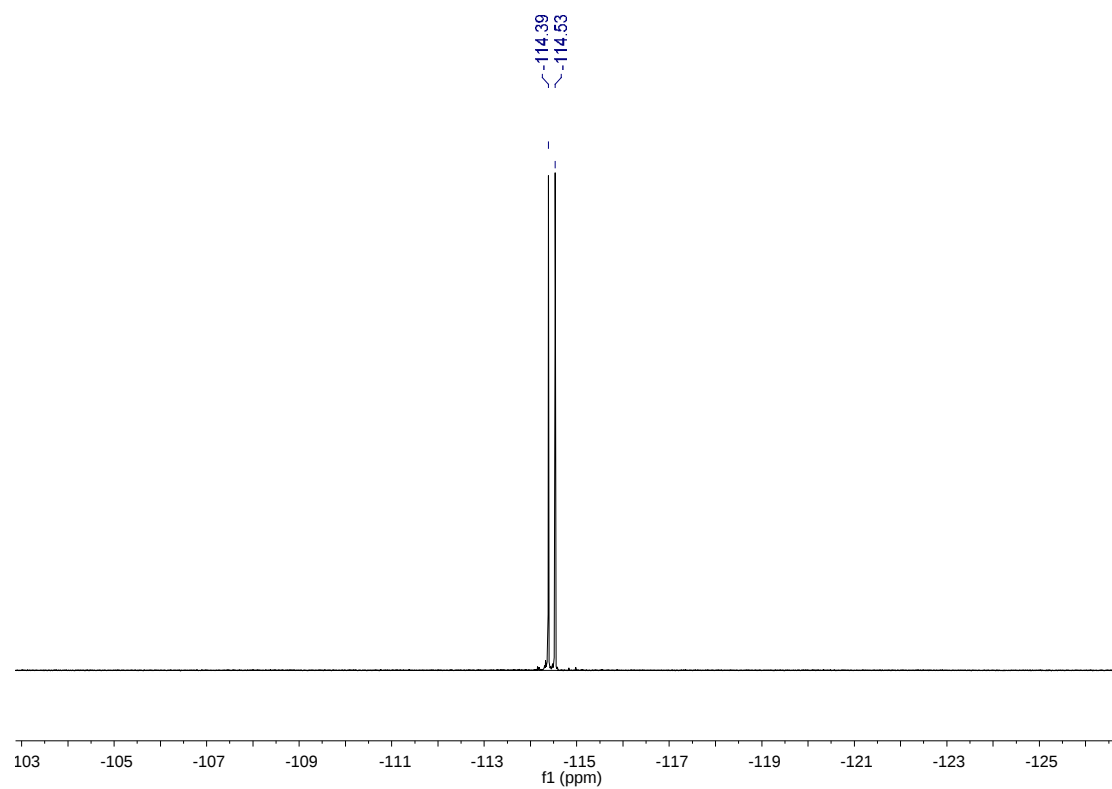
6-trifluoromethyl-2-bromo-5,12-dihydroindolo[2,3-c][1]benzaz-epine (1g)



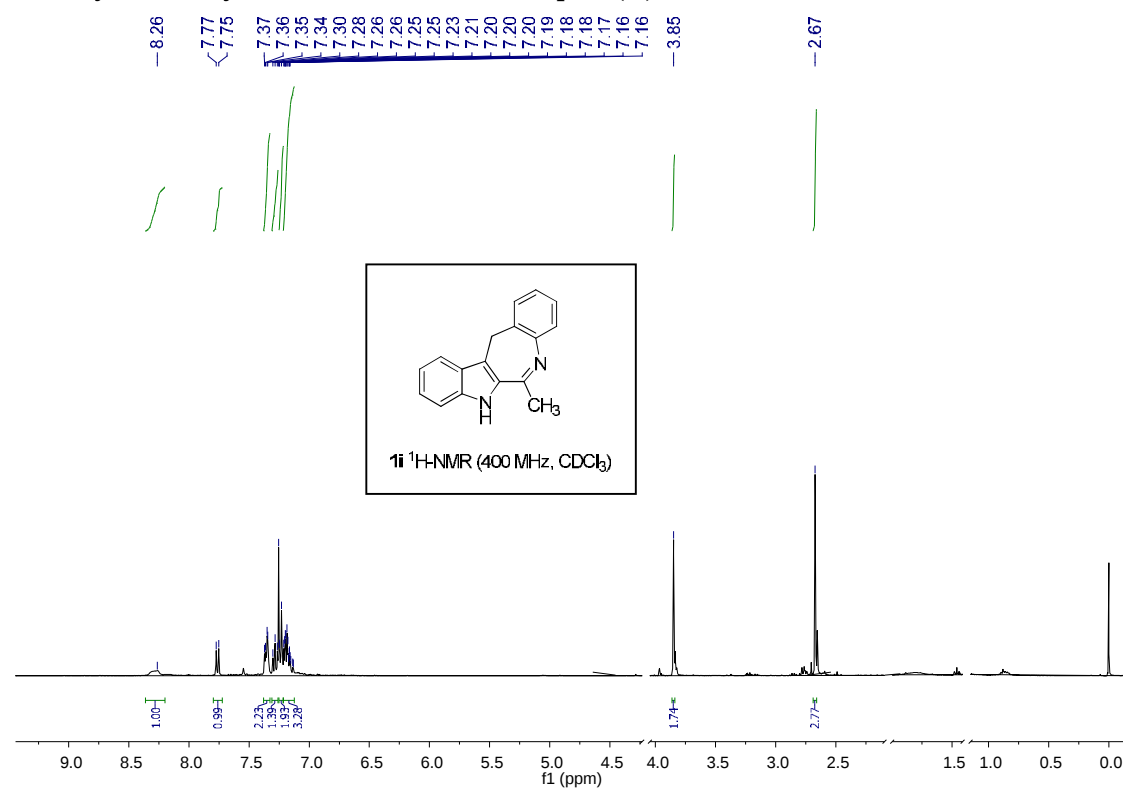


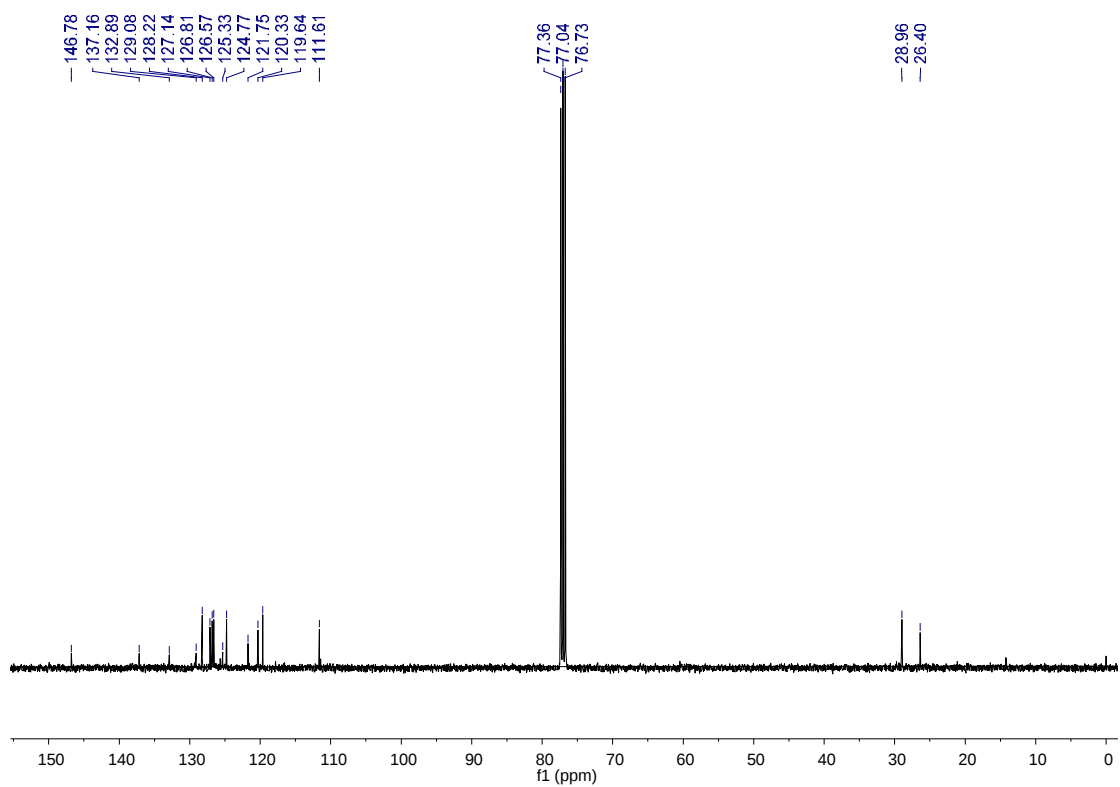
6-difluoromethyl-5,12-dihydroindolo[2,3-c][1]benzazepine (1h)



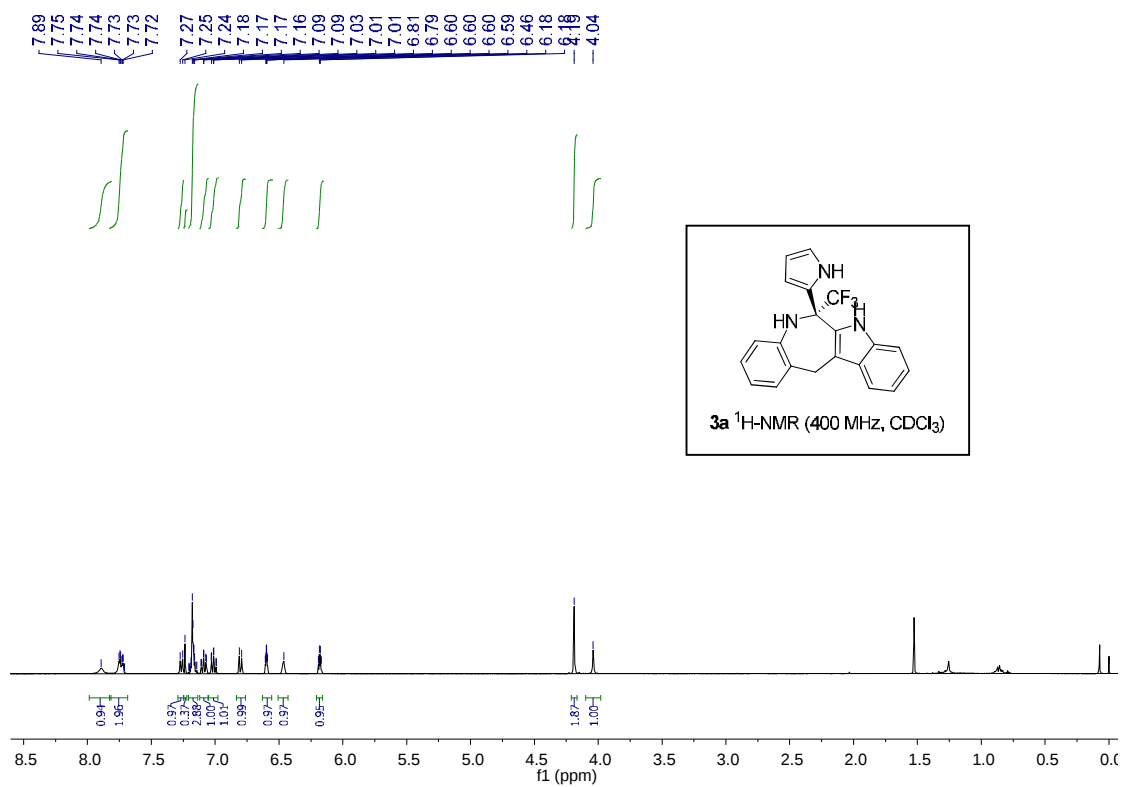


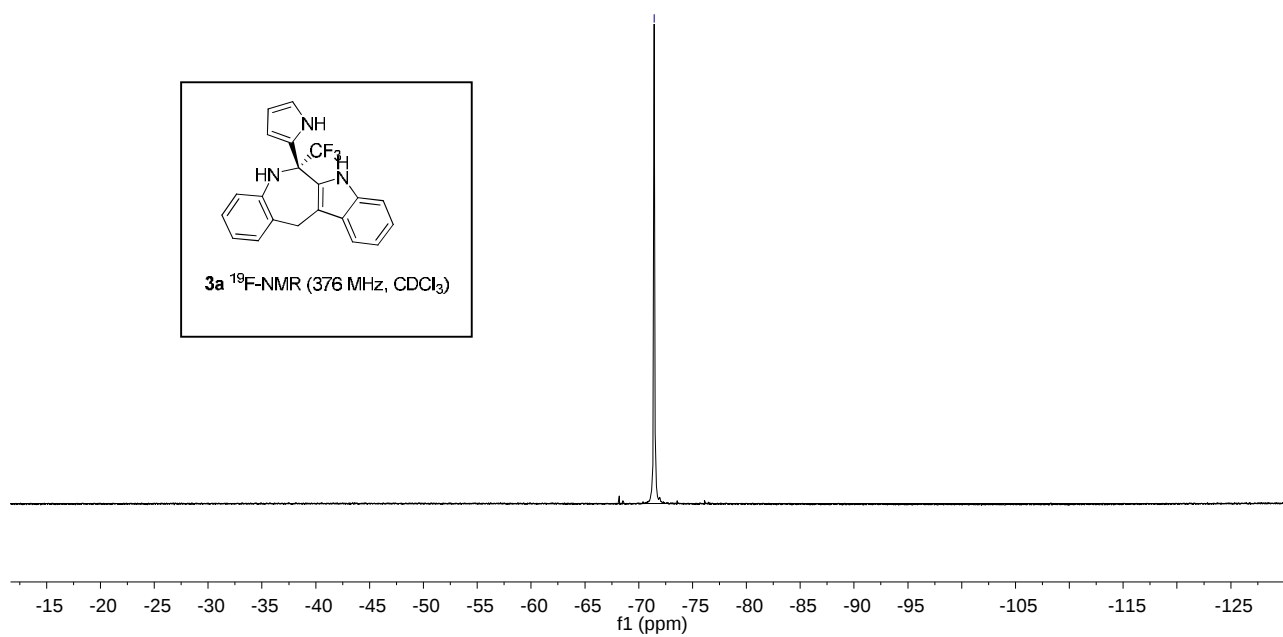
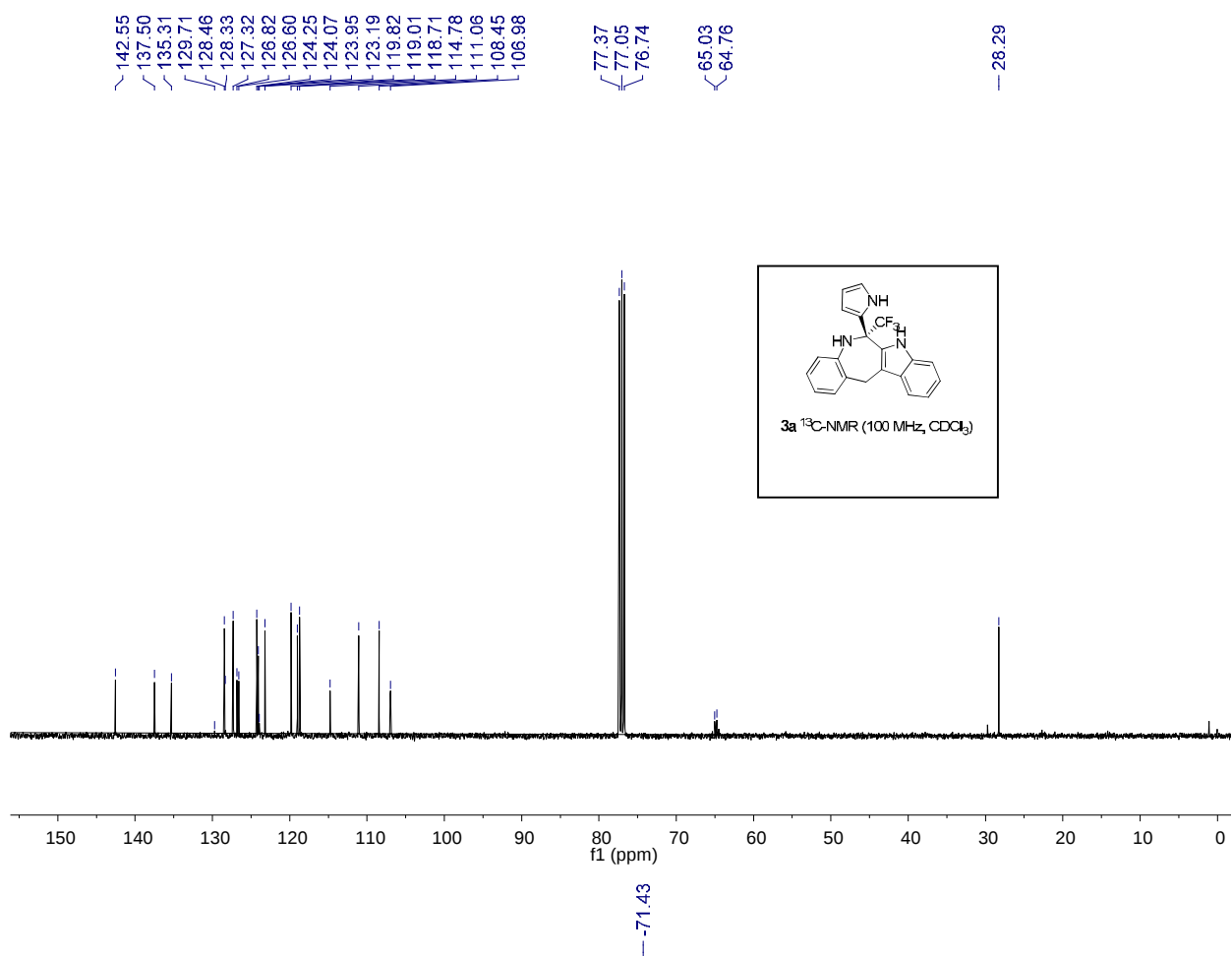
6-methyl-5,12-dihydroindolo[2,3-c][1]benzazepine (1i)



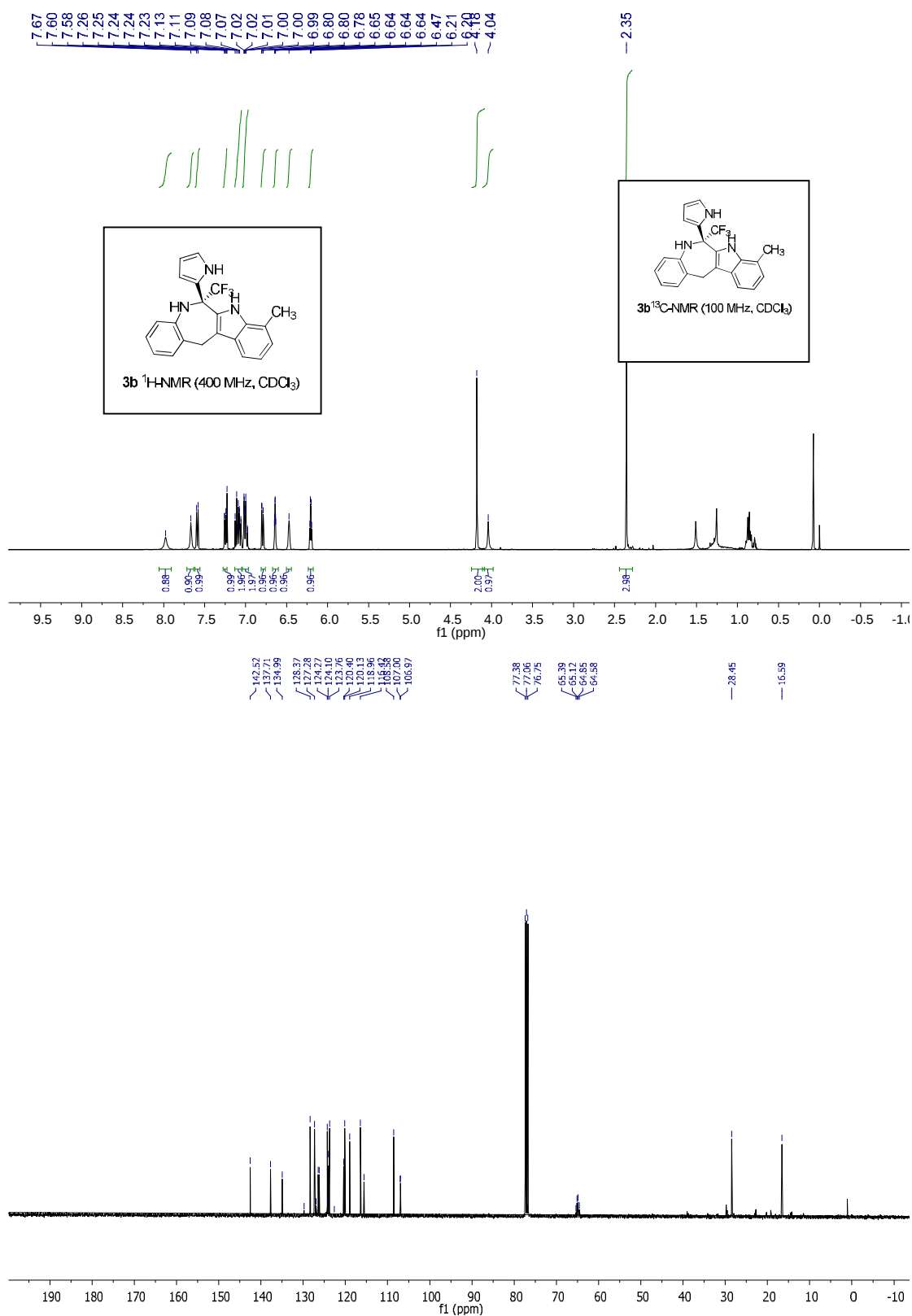


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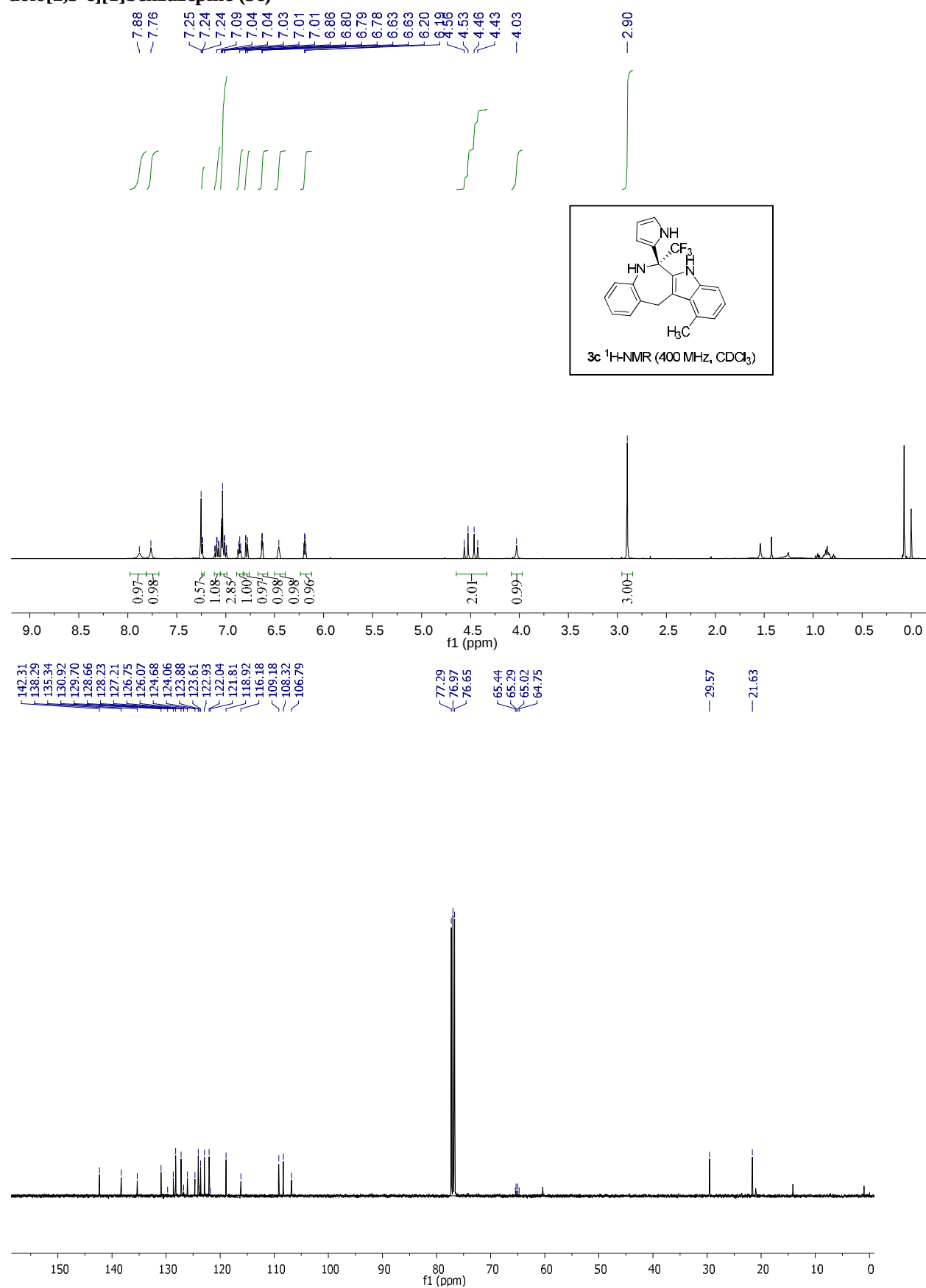




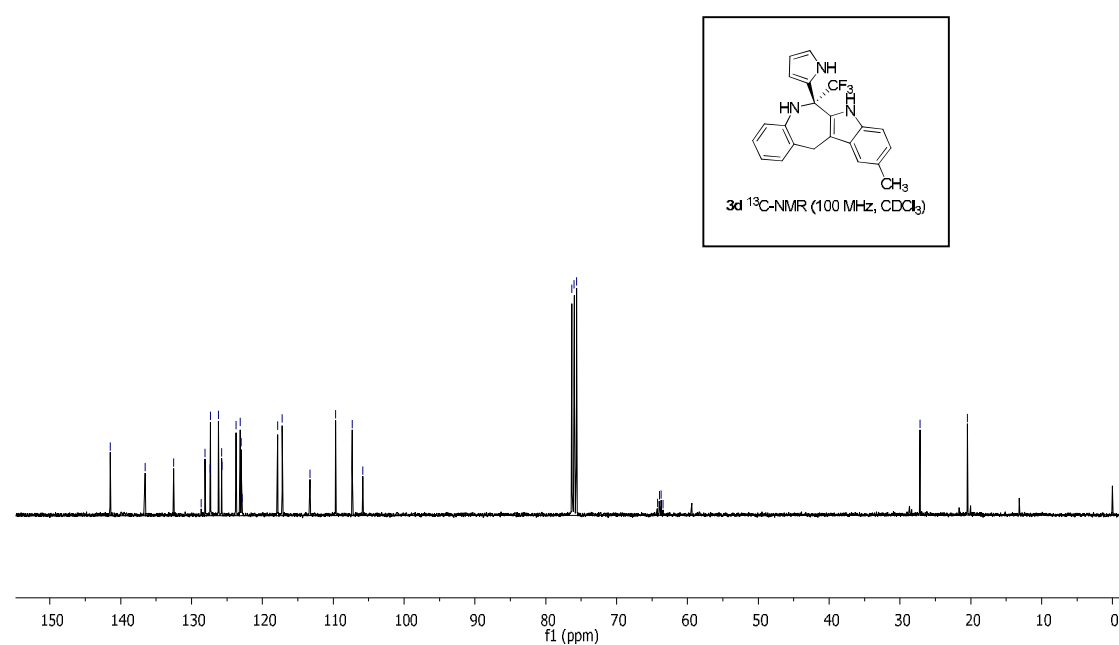
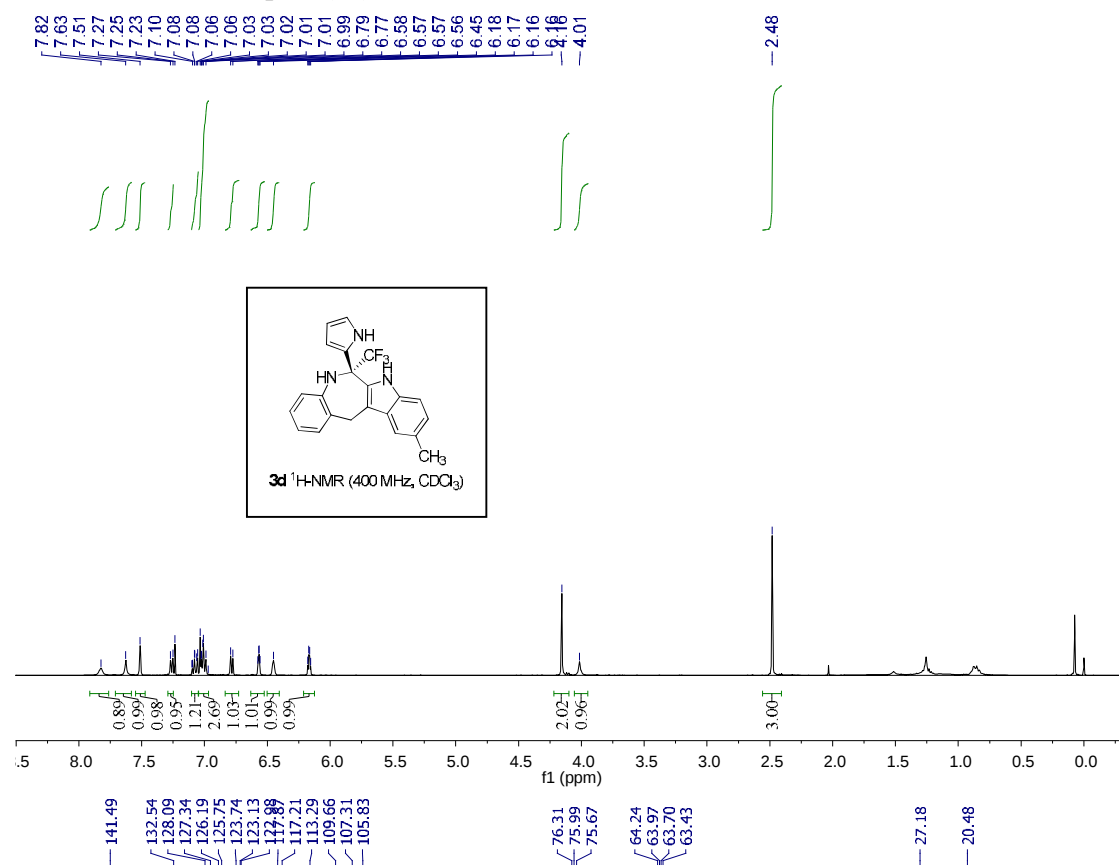
(S)-6-(2-pyrrolyl)-6-trifluoromethyl-4-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3b)

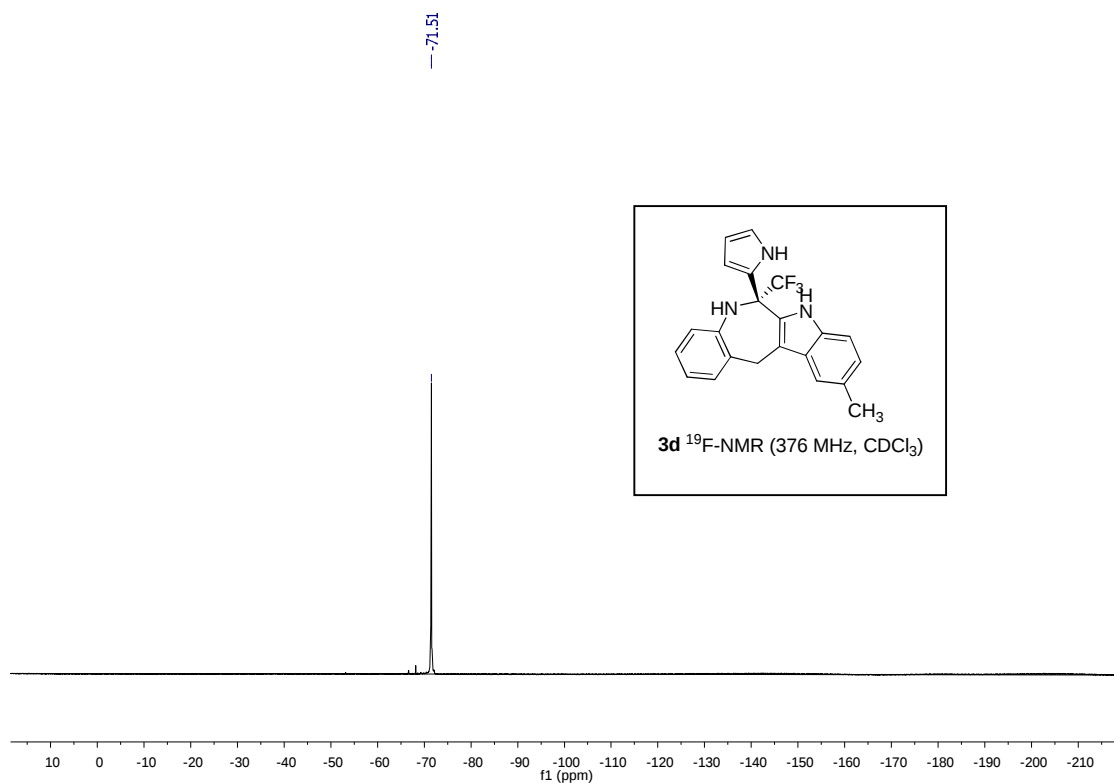


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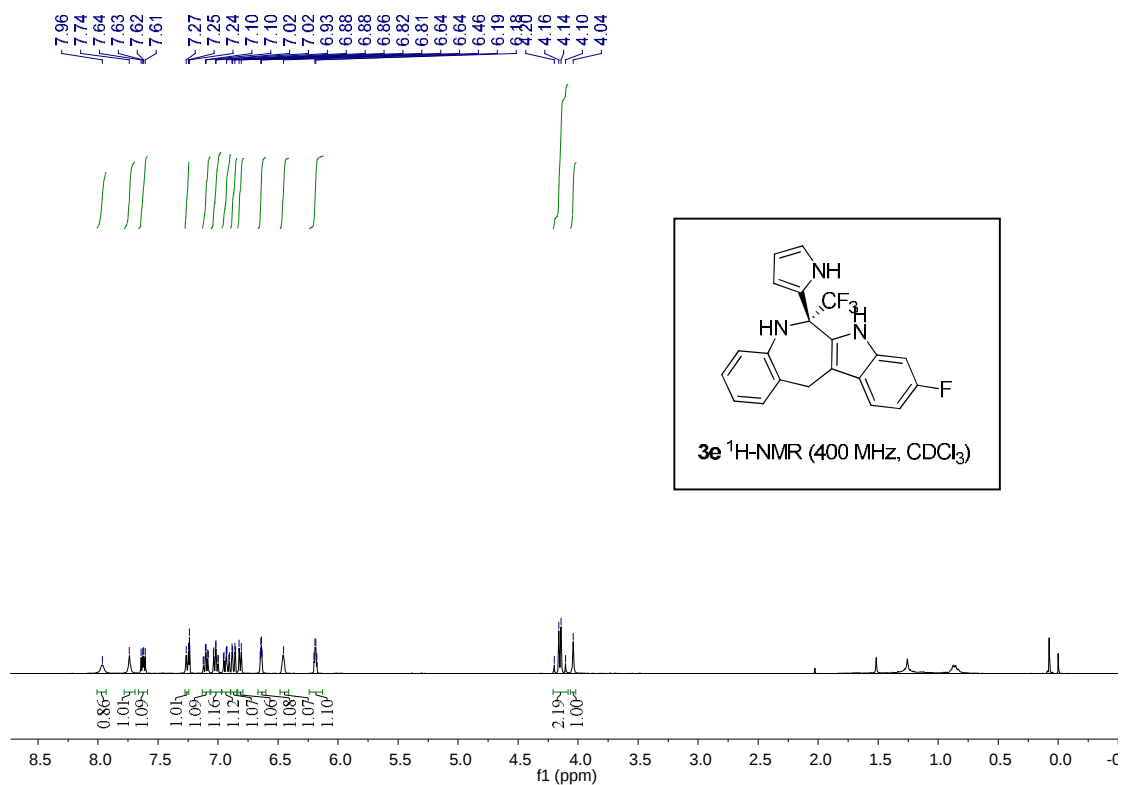


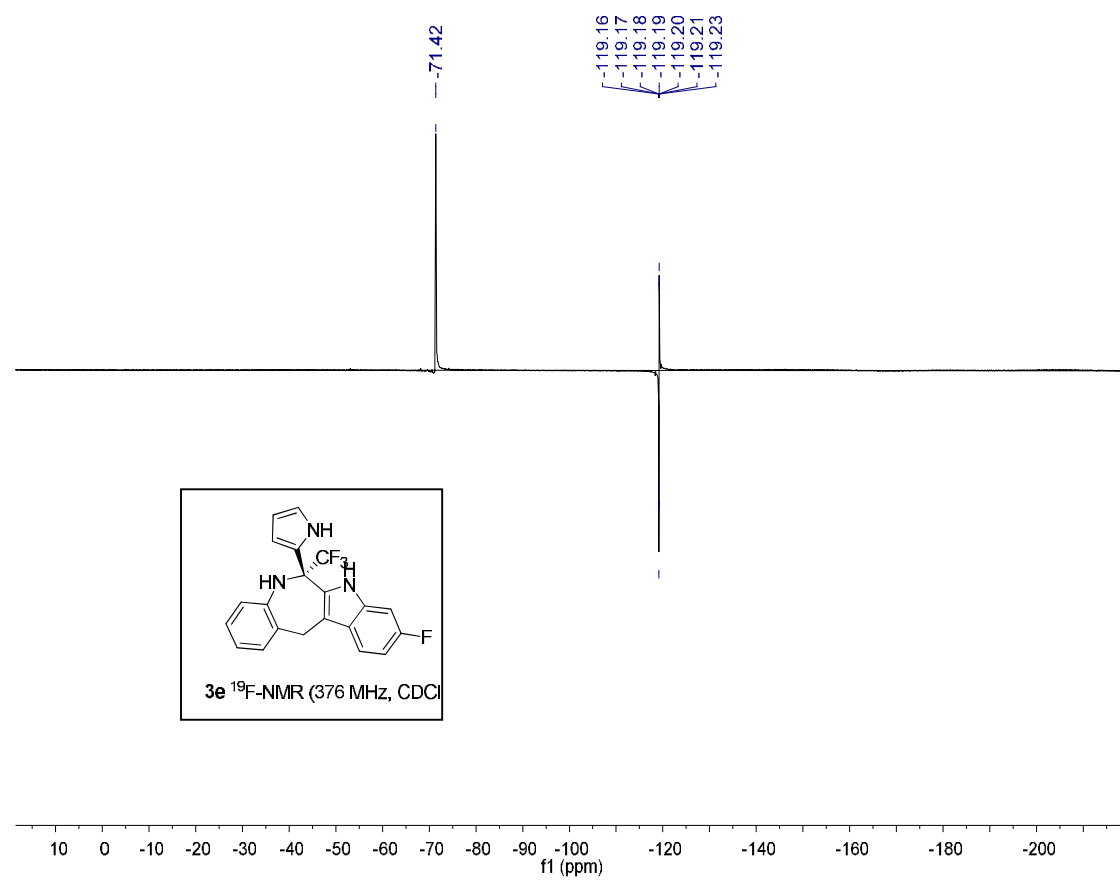
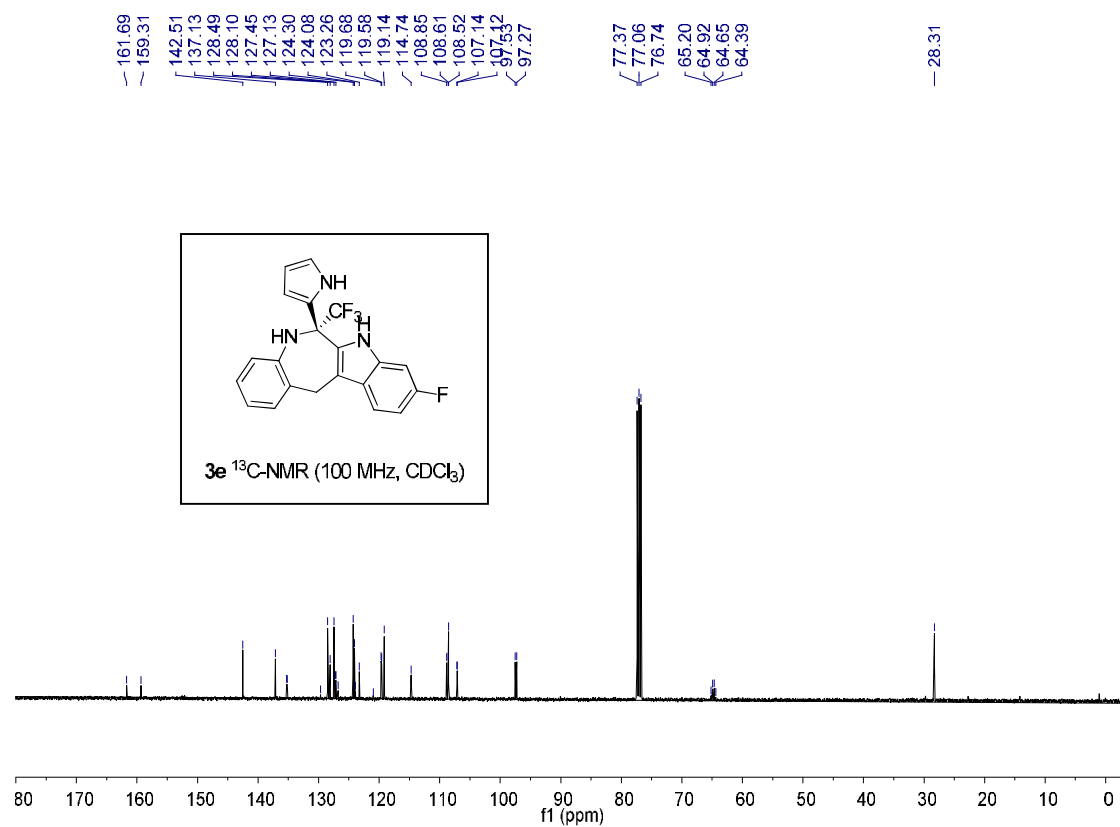
(S)-6-(2-pyrrolyl)-6-trifluoromethyl-2-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3d)



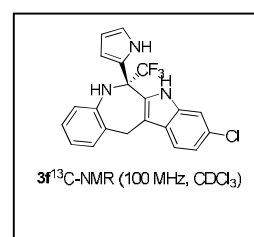
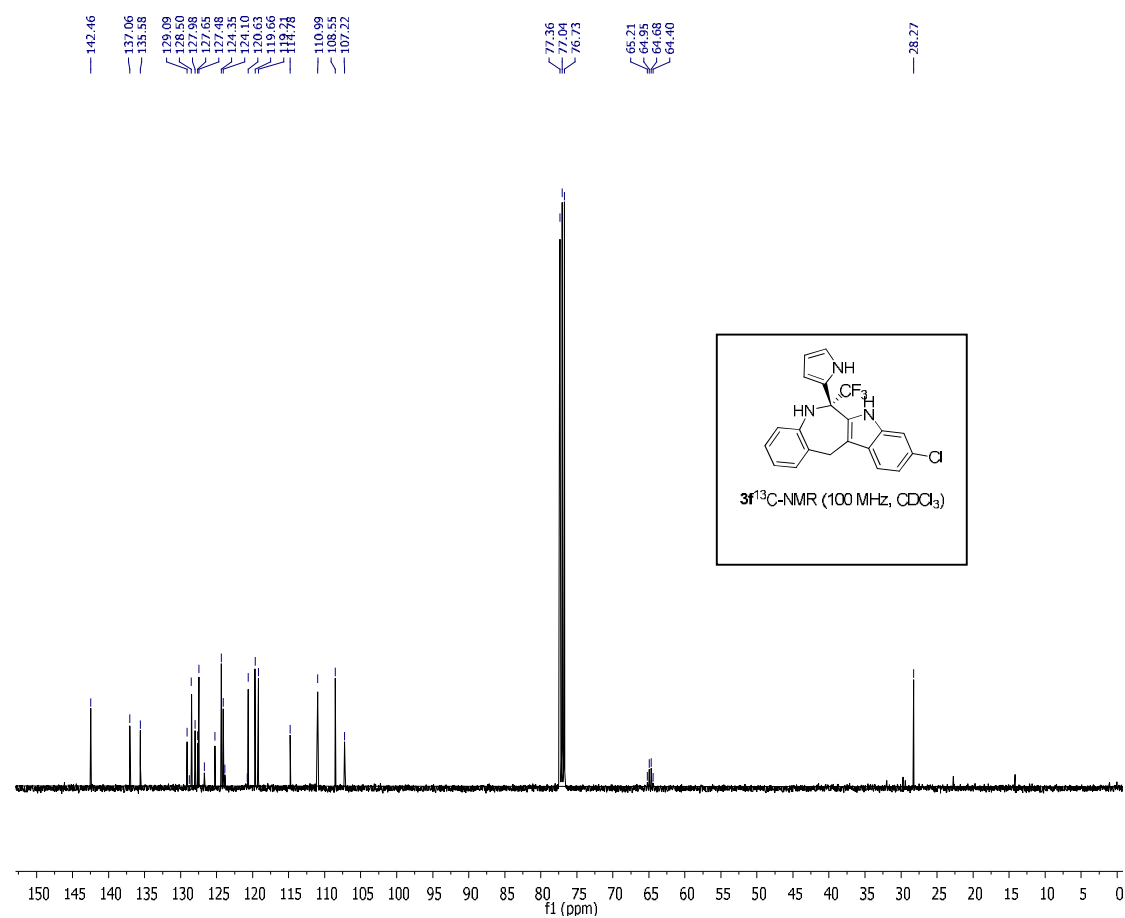
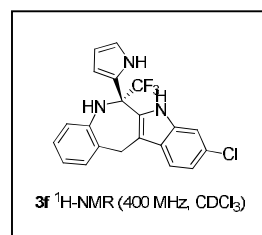
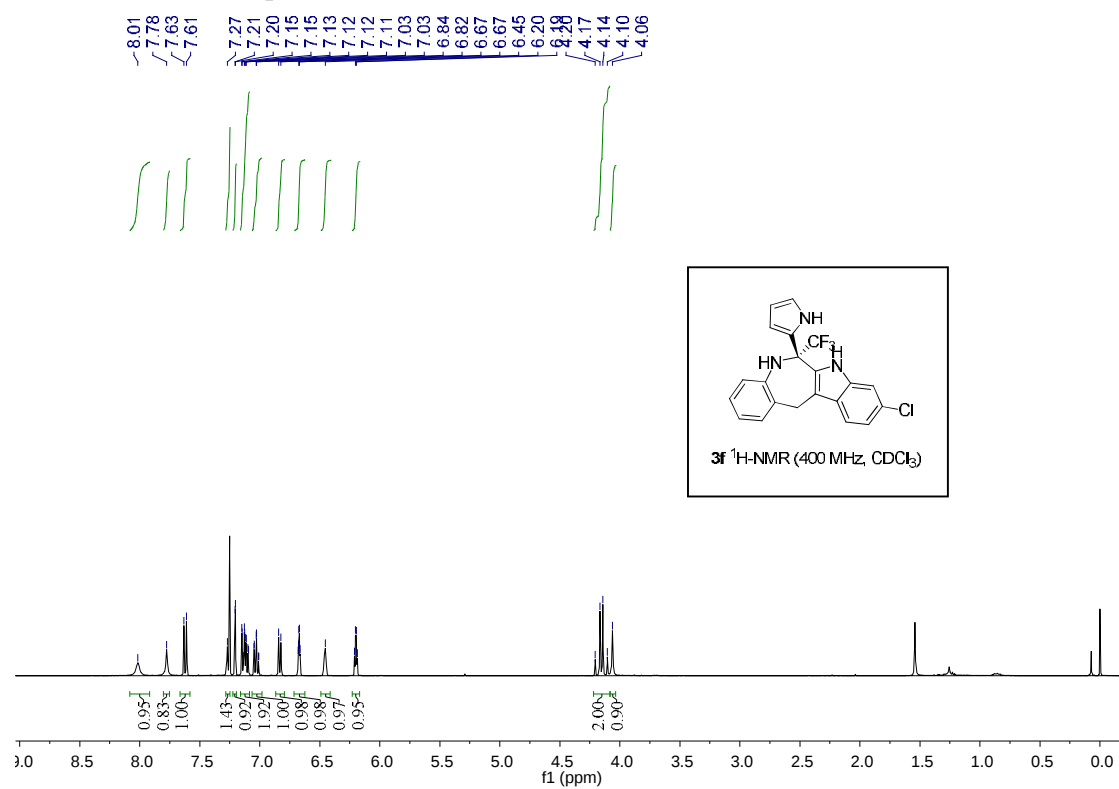


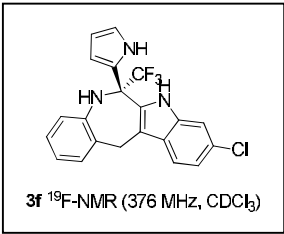
(S)-6-(2-pyrrolyl)-6-trifluoromethyl-3-fluoro-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3e)



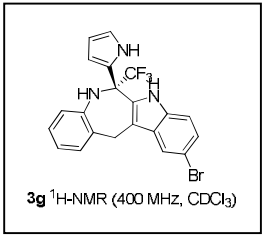


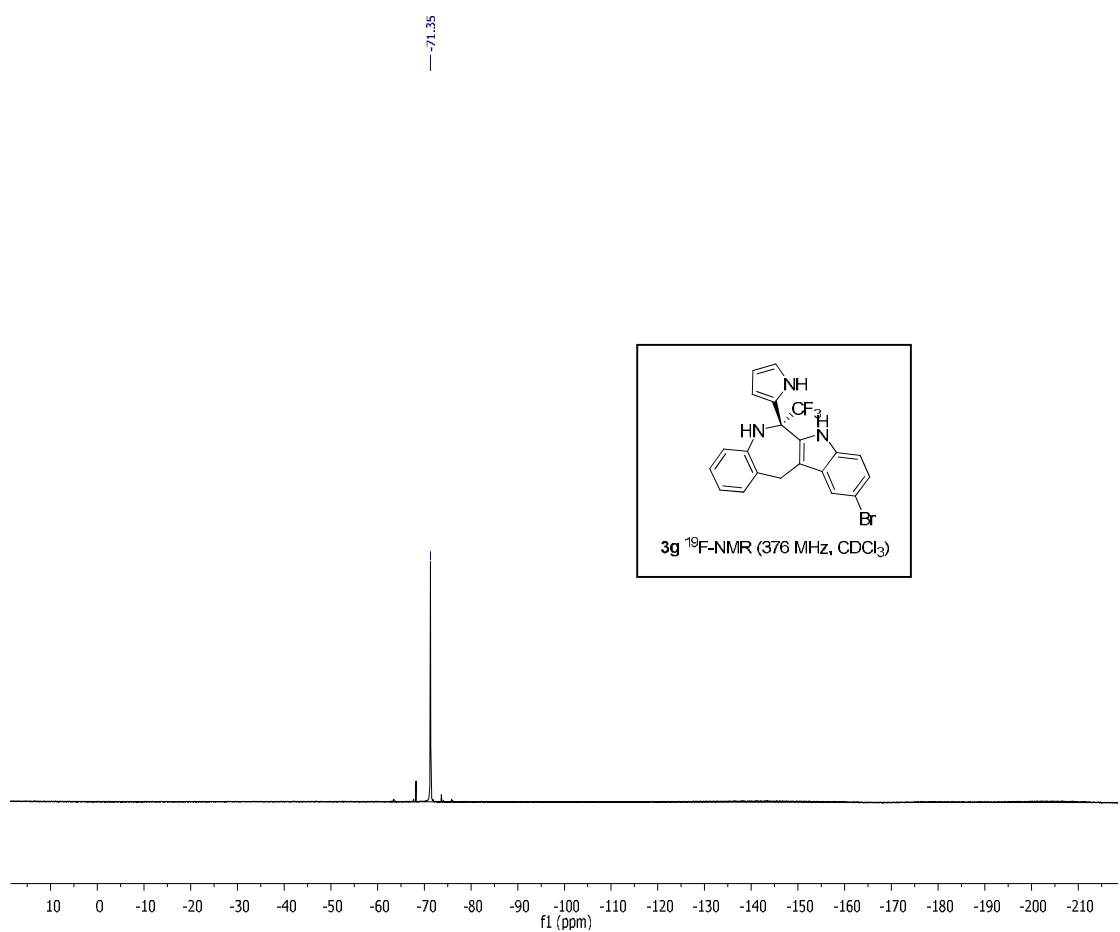
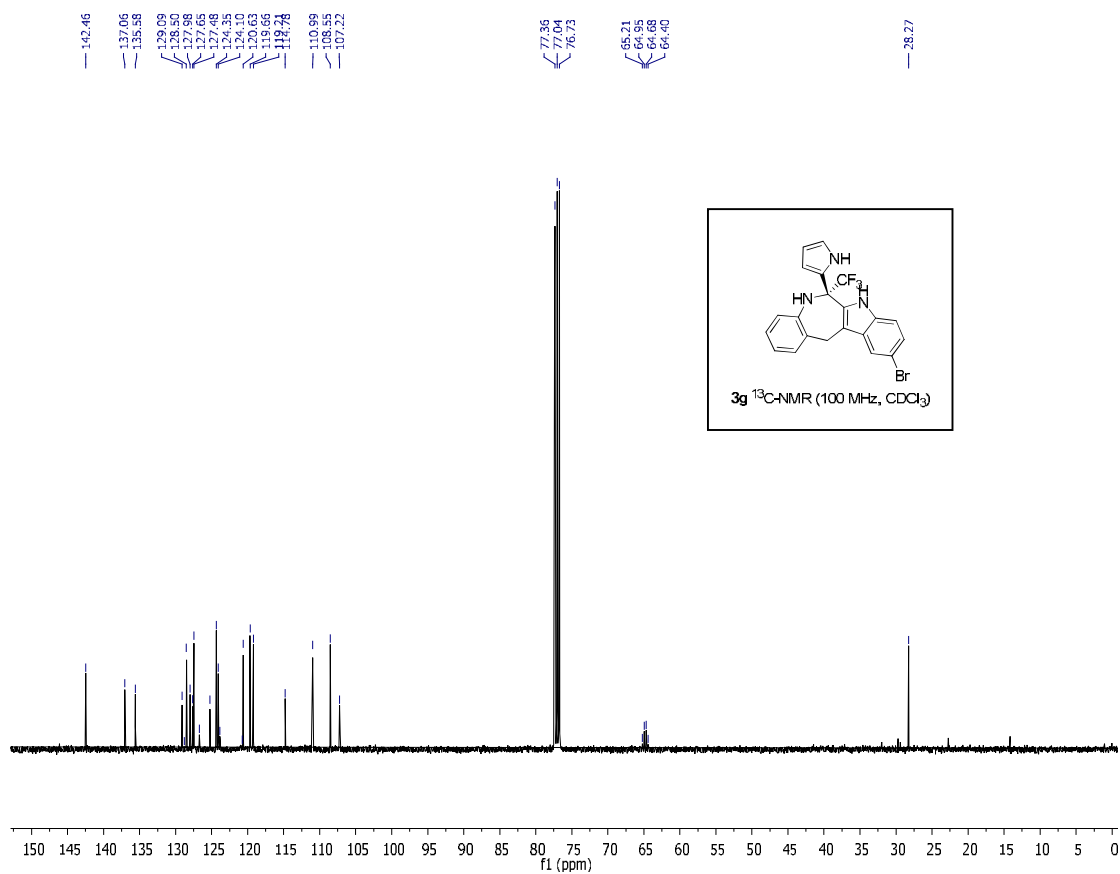
(S)-6-(2-pyrrolyl)-6-trifluoromethyl-3-chloro-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3f)



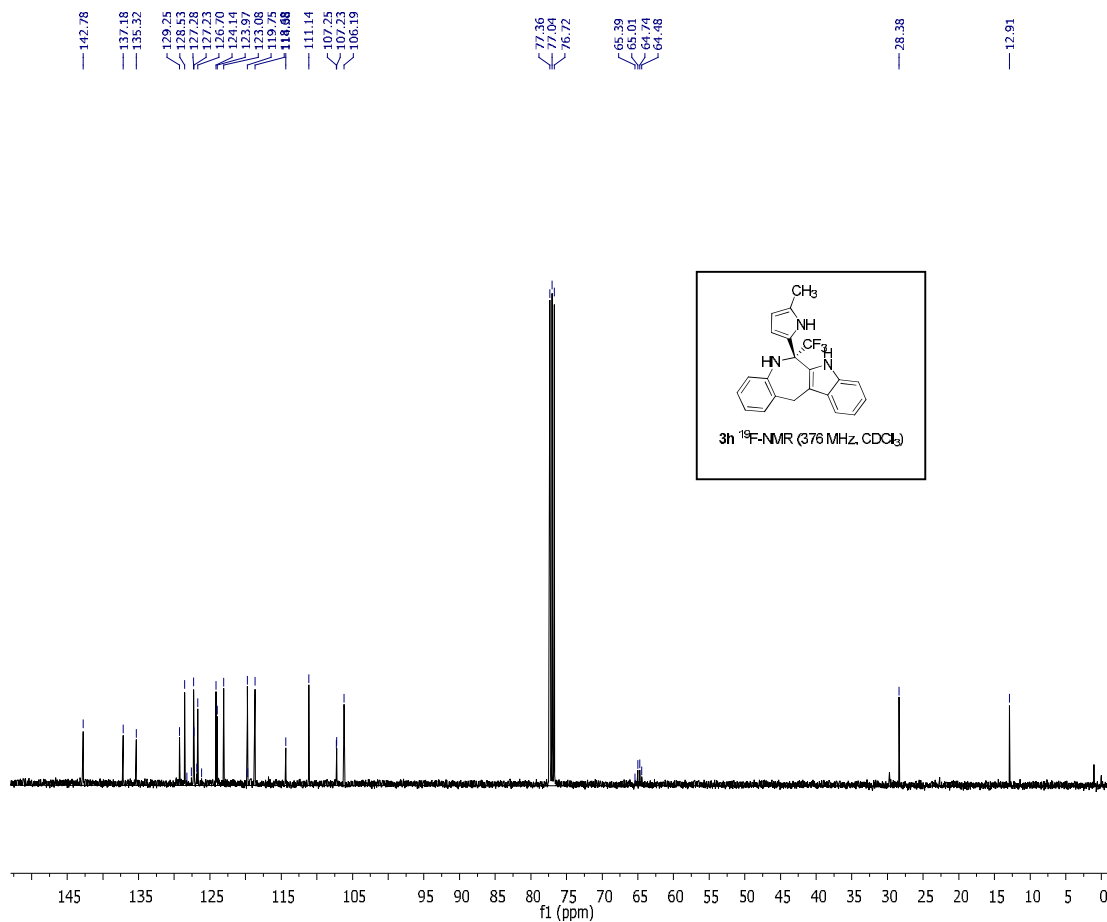
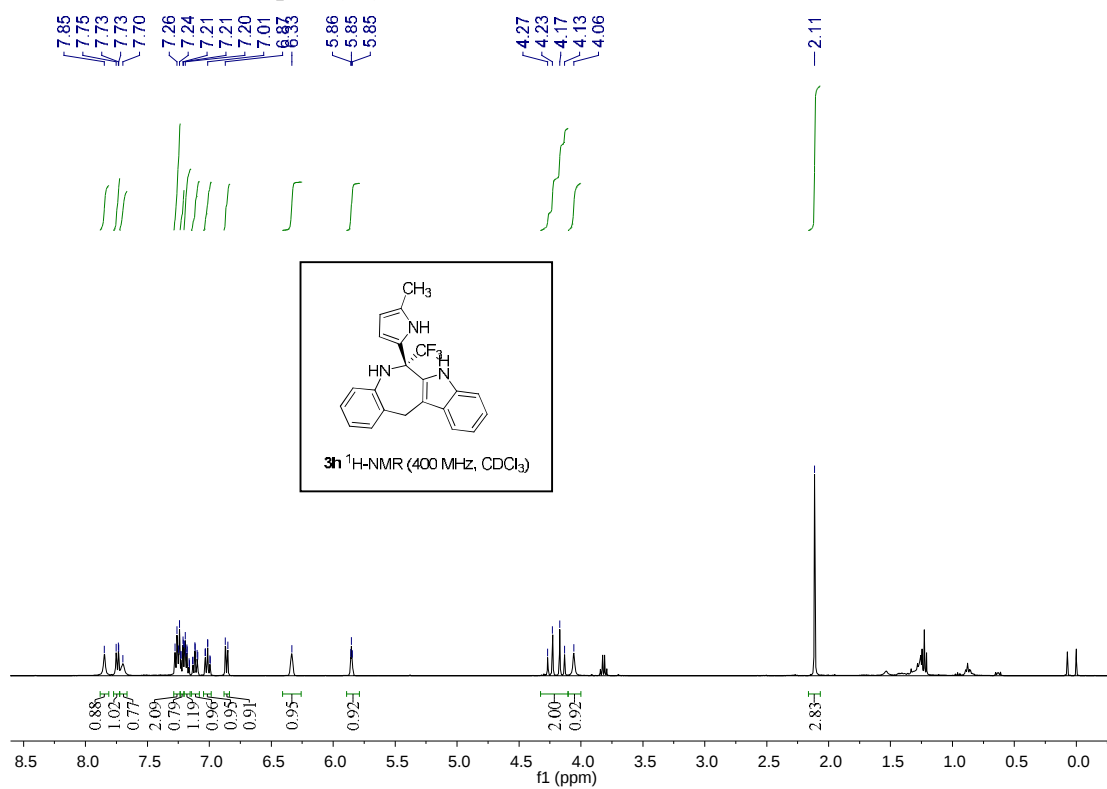


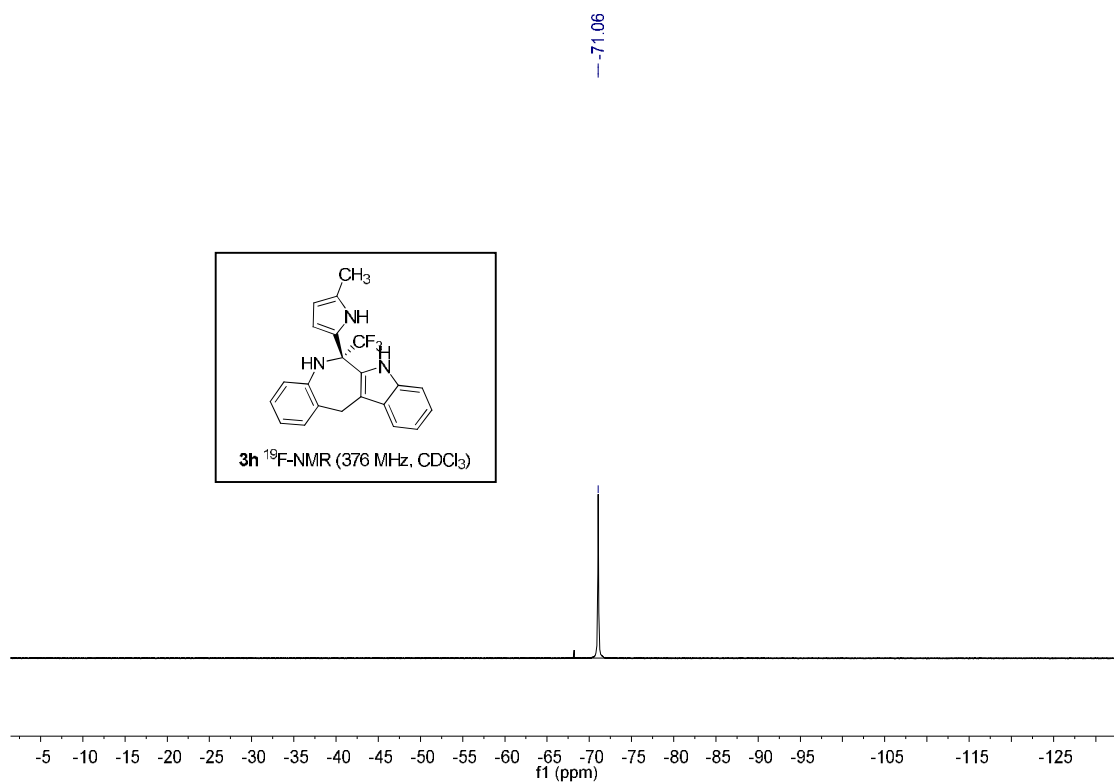
8.04
7.85
7.85
7.29
7.29
7.28
7.27
7.12
7.11
7.10
7.10
7.09
7.06
7.04
7.02
6.85
6.83
6.69
6.69
6.45
6.21
6.21
6.20
6.18
4.15
4.12
4.08
4.07



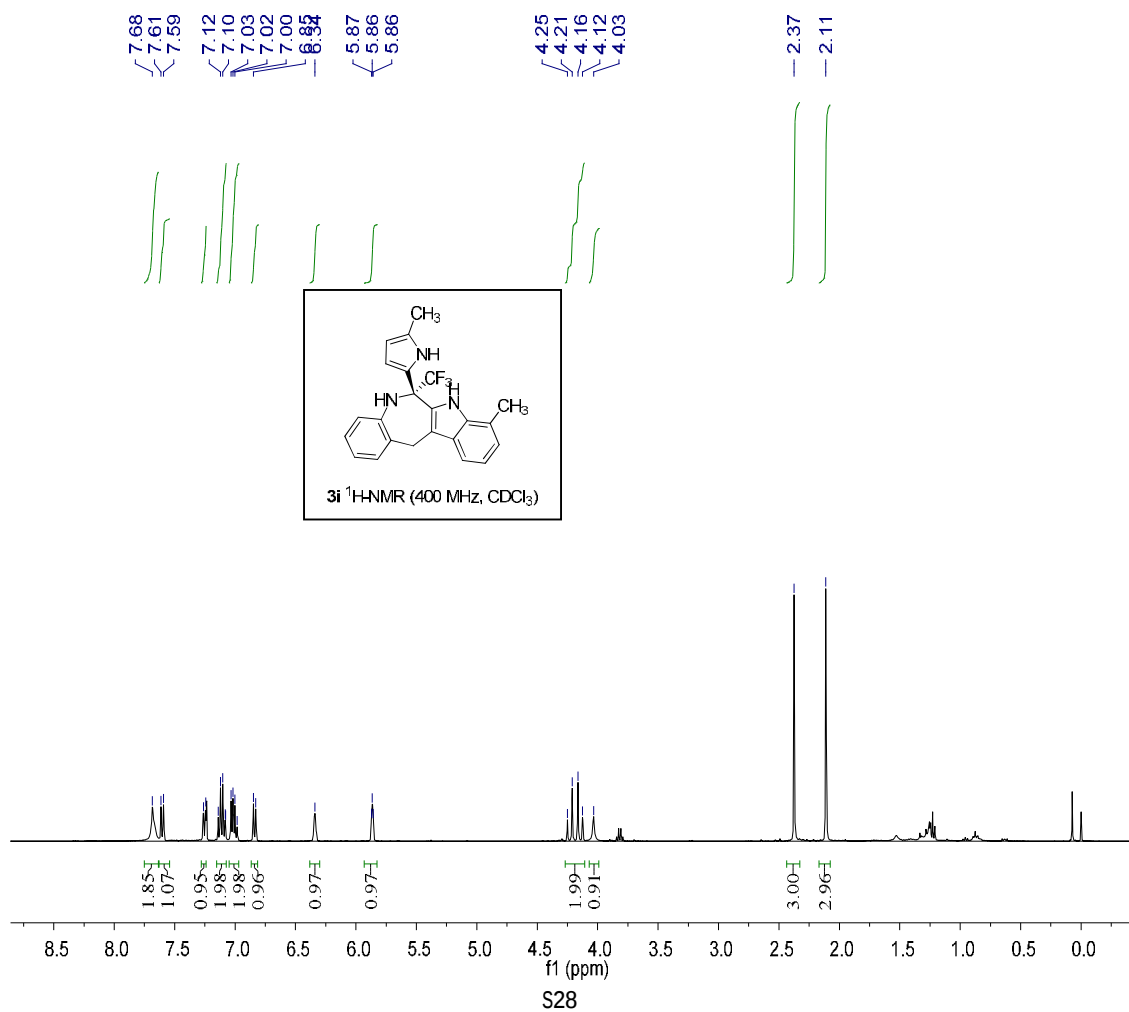


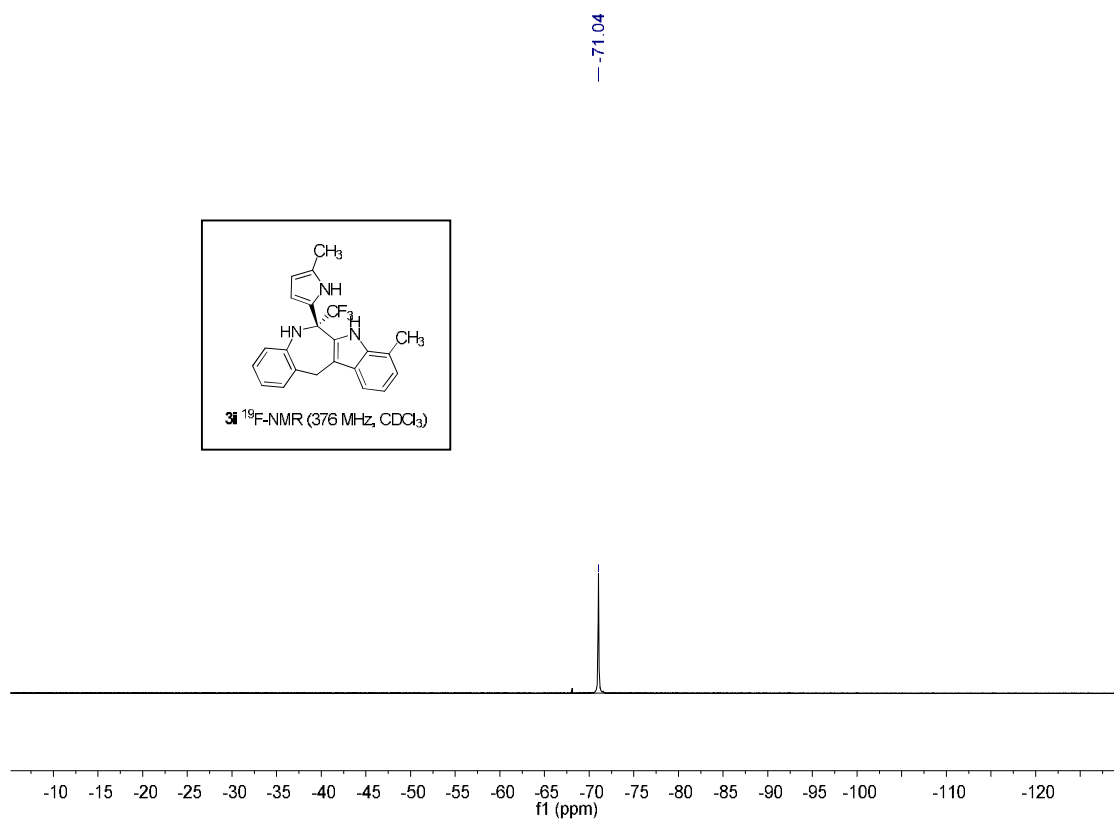
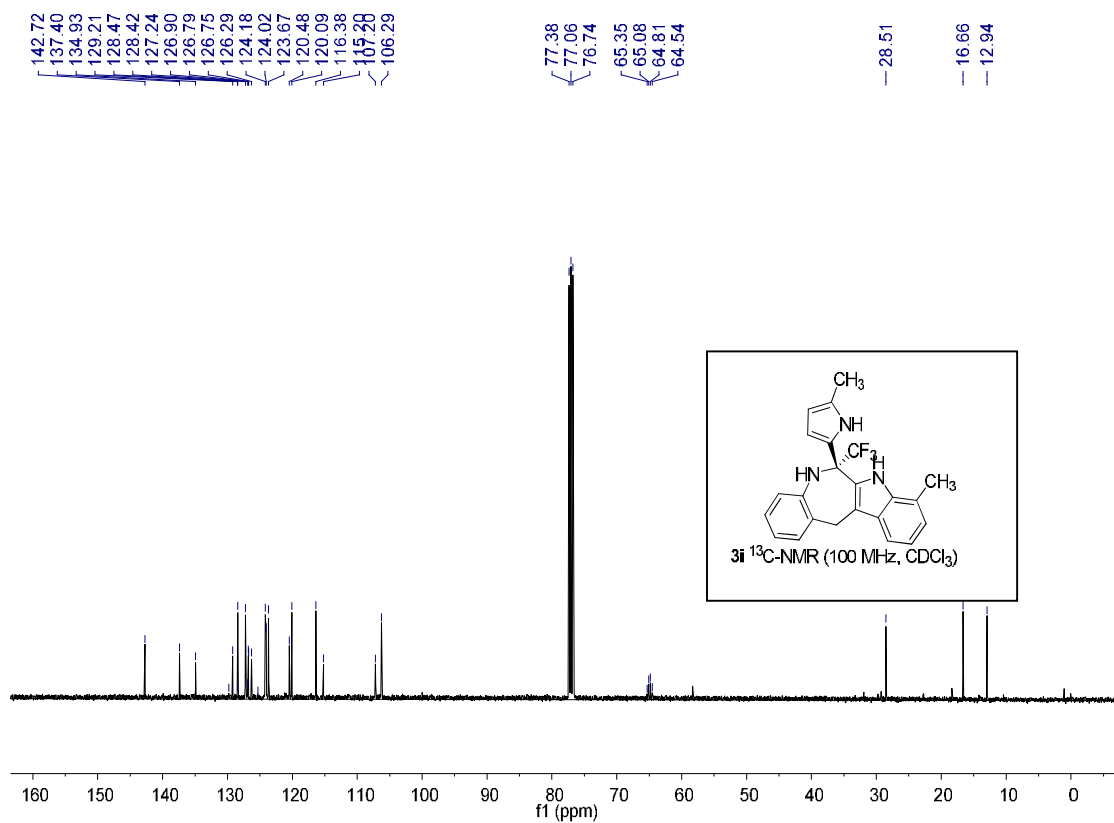
(S)-6-(2-pyrrolyl-5'-methyl)-6-trifluoromethyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3h)



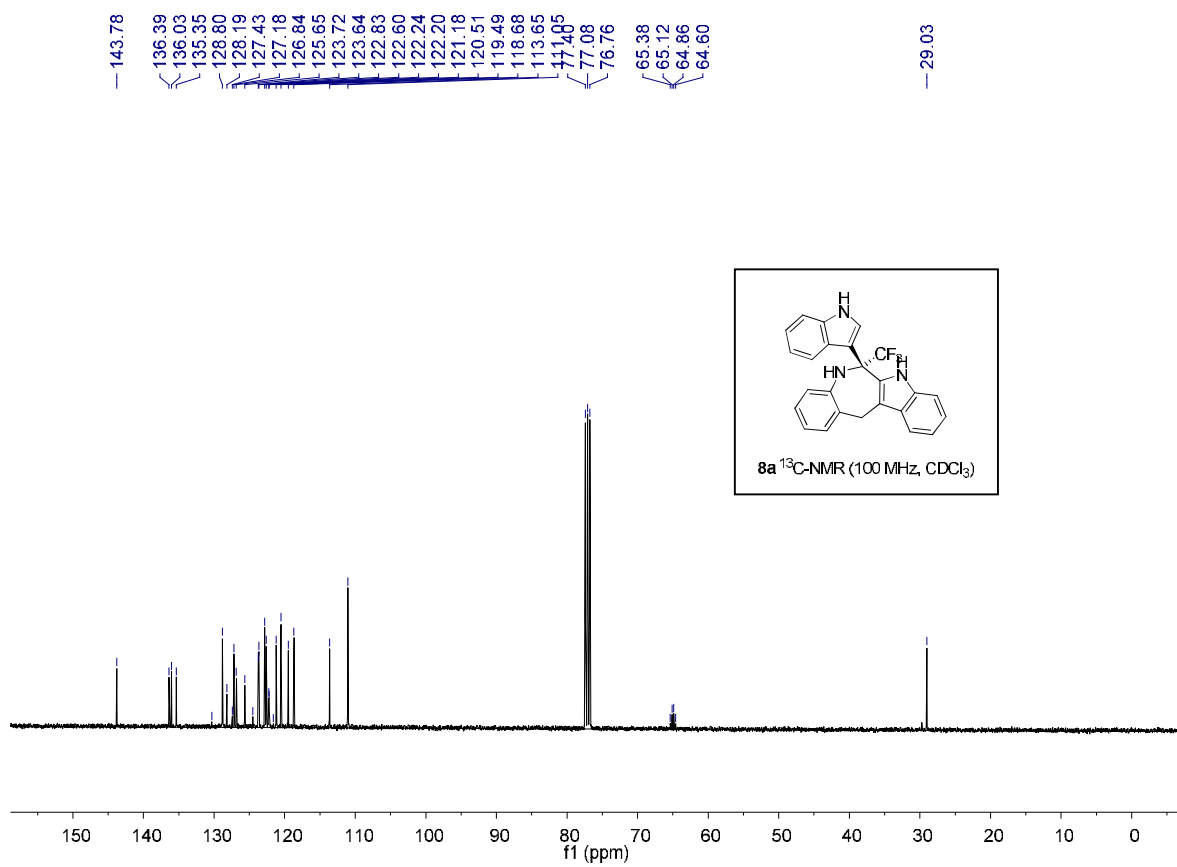
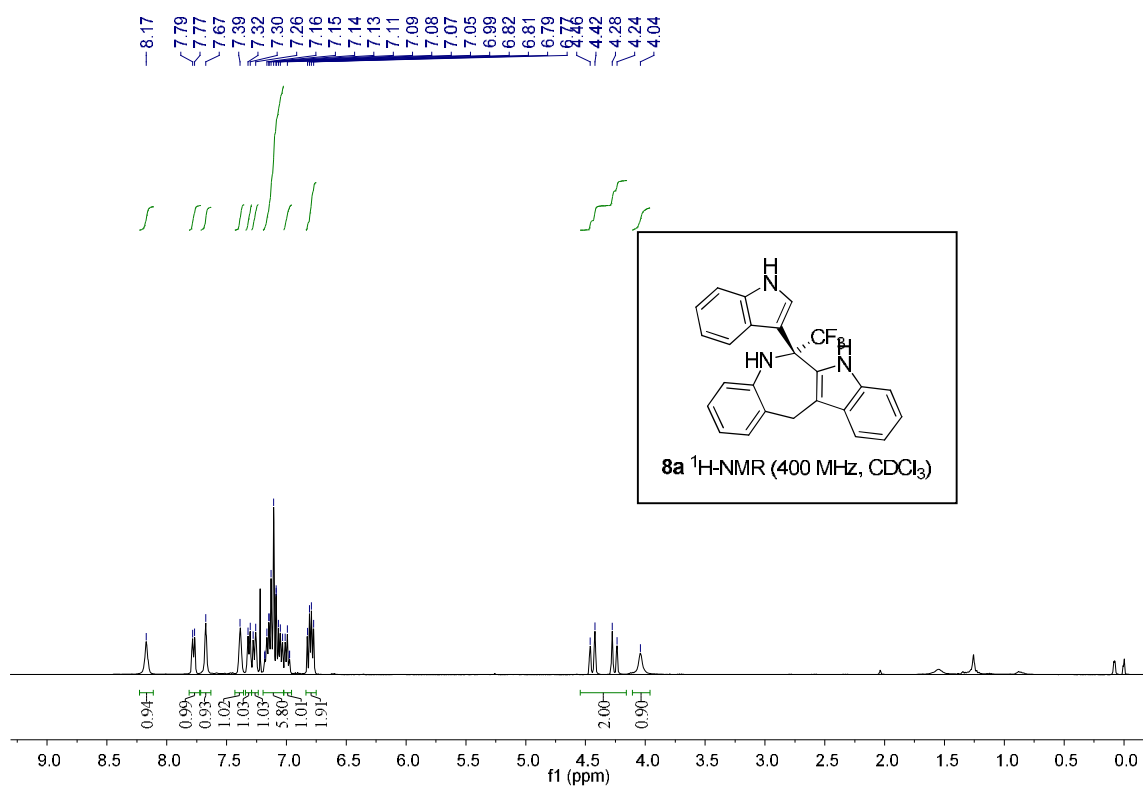


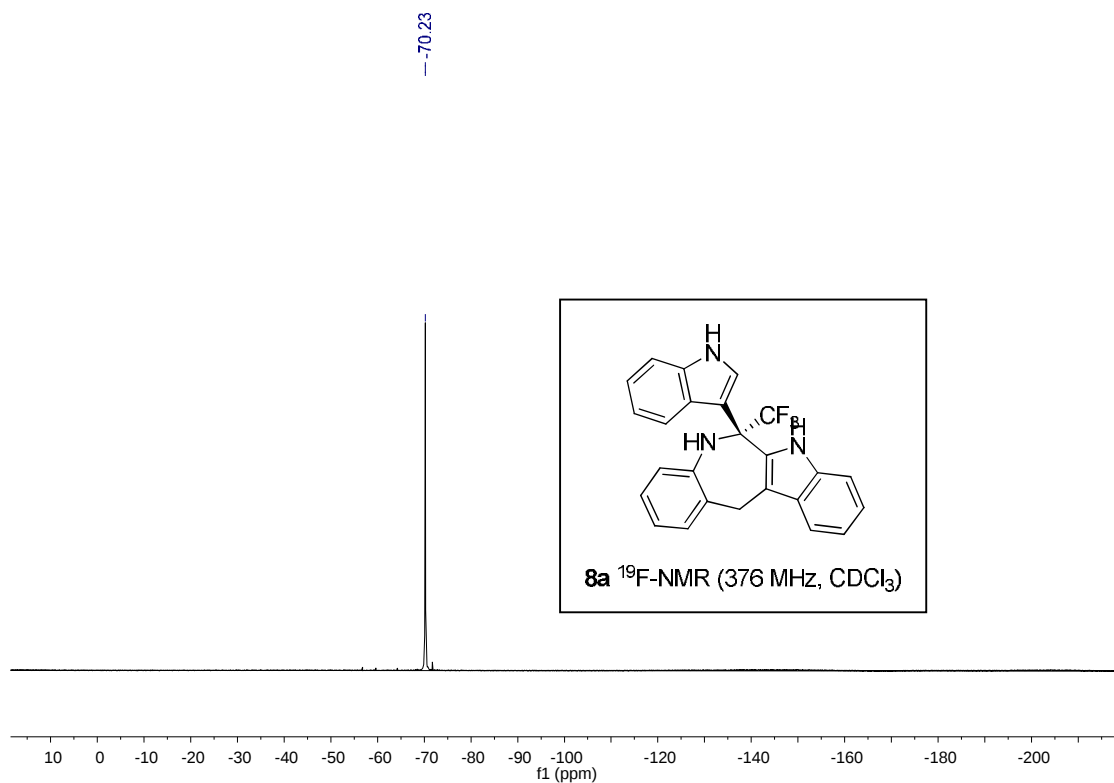
(S)-6-(2-pyrrolyl-5'-methyl)-6-trifluoromethyl-4-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3i)



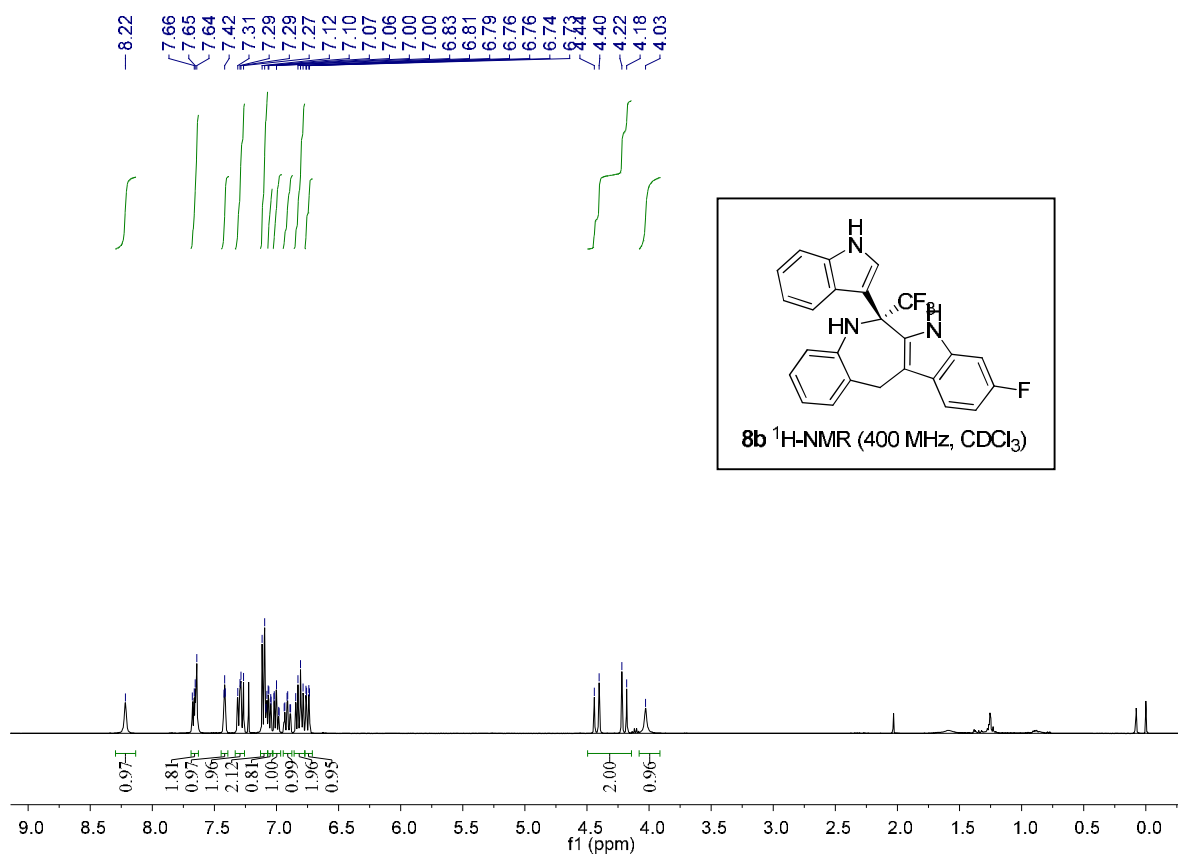


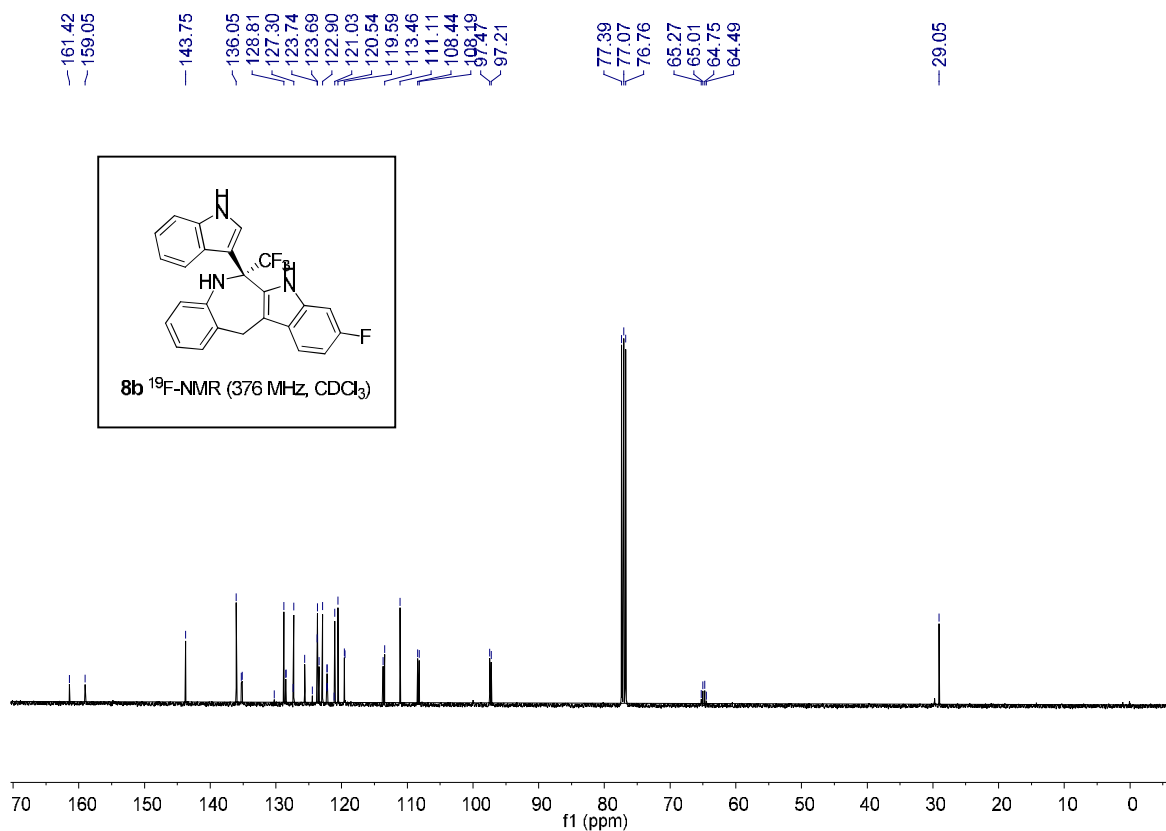
(S)-6-(3-indolyl)-6-trifluoromethyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8a)



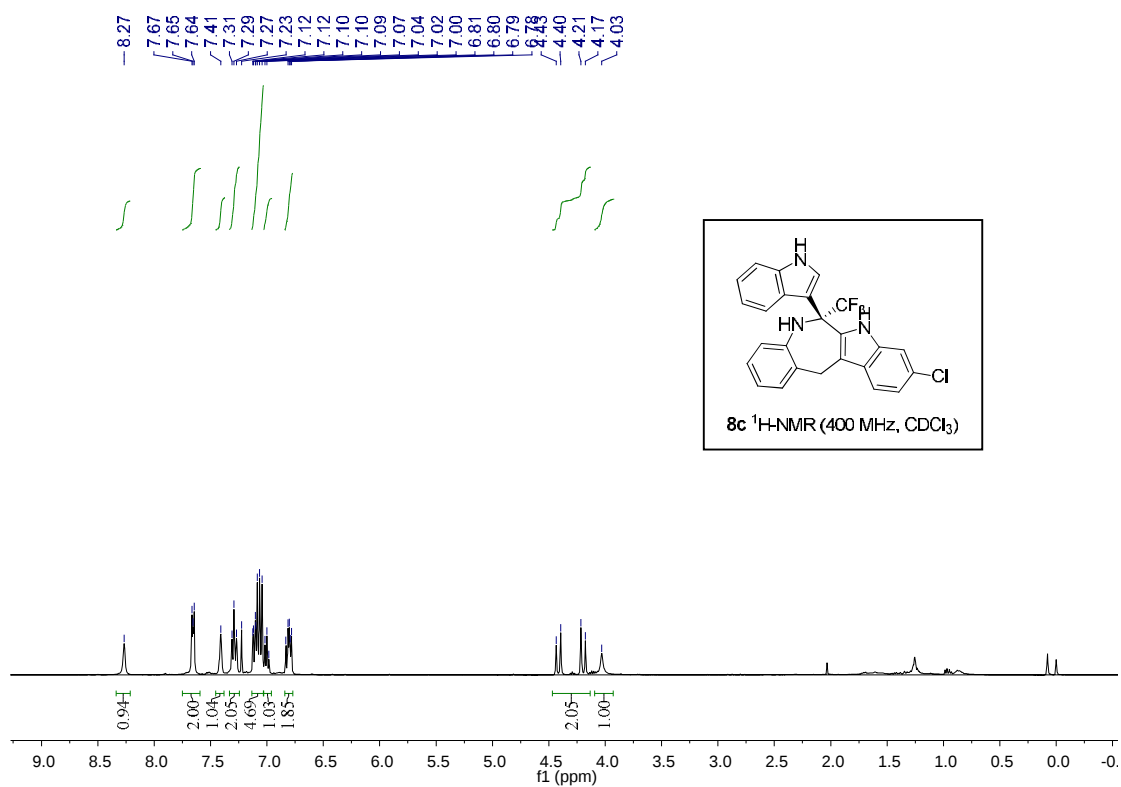


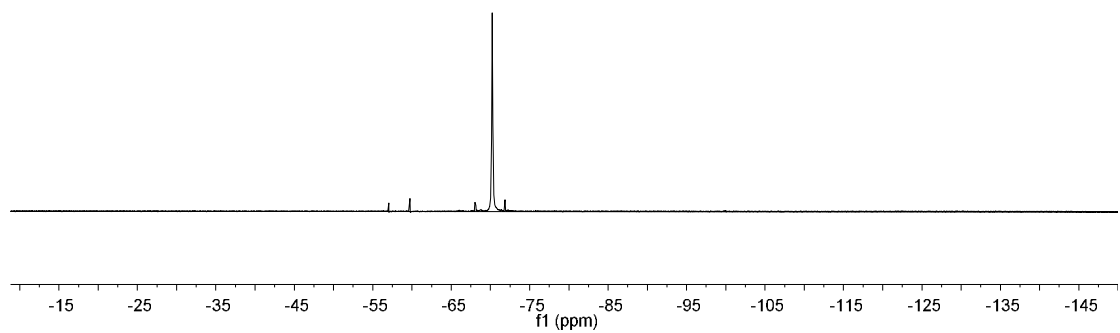
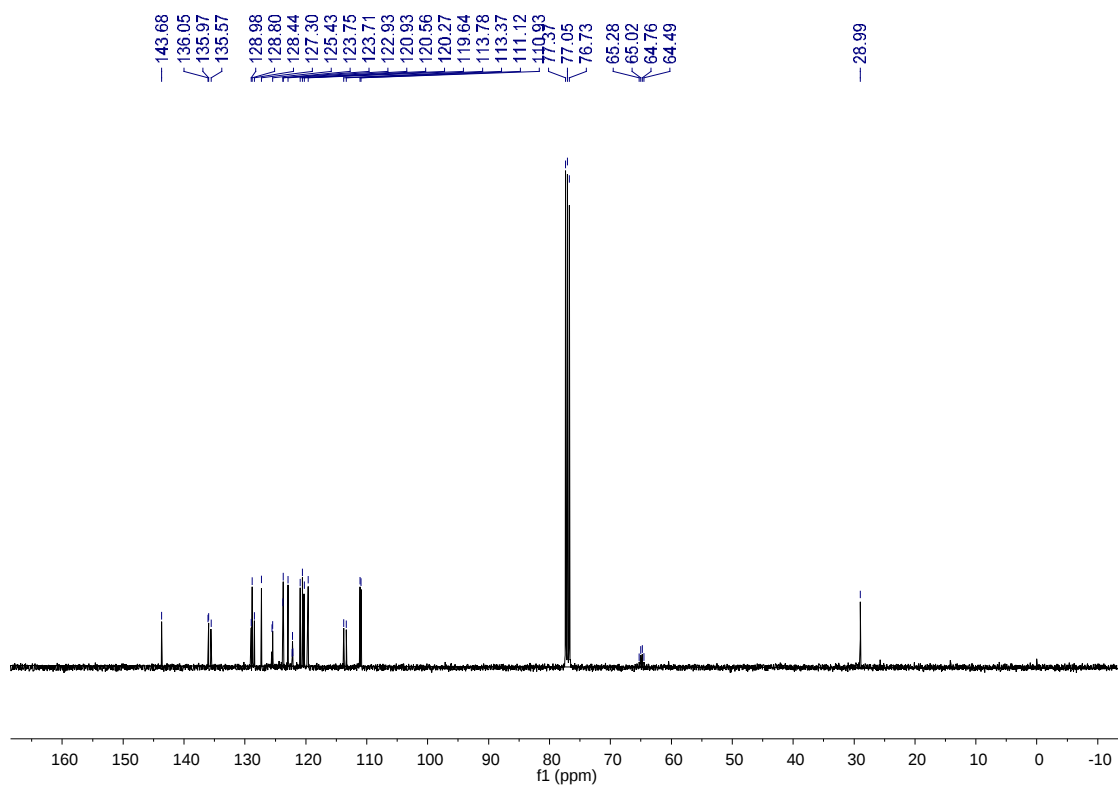
(S)-6-(3-indolyl)-6-trifluoromethyl-3-fluoro-5,6,7,12-tetrahydro- indolo[2,3-c][1]benzazepine (8b)



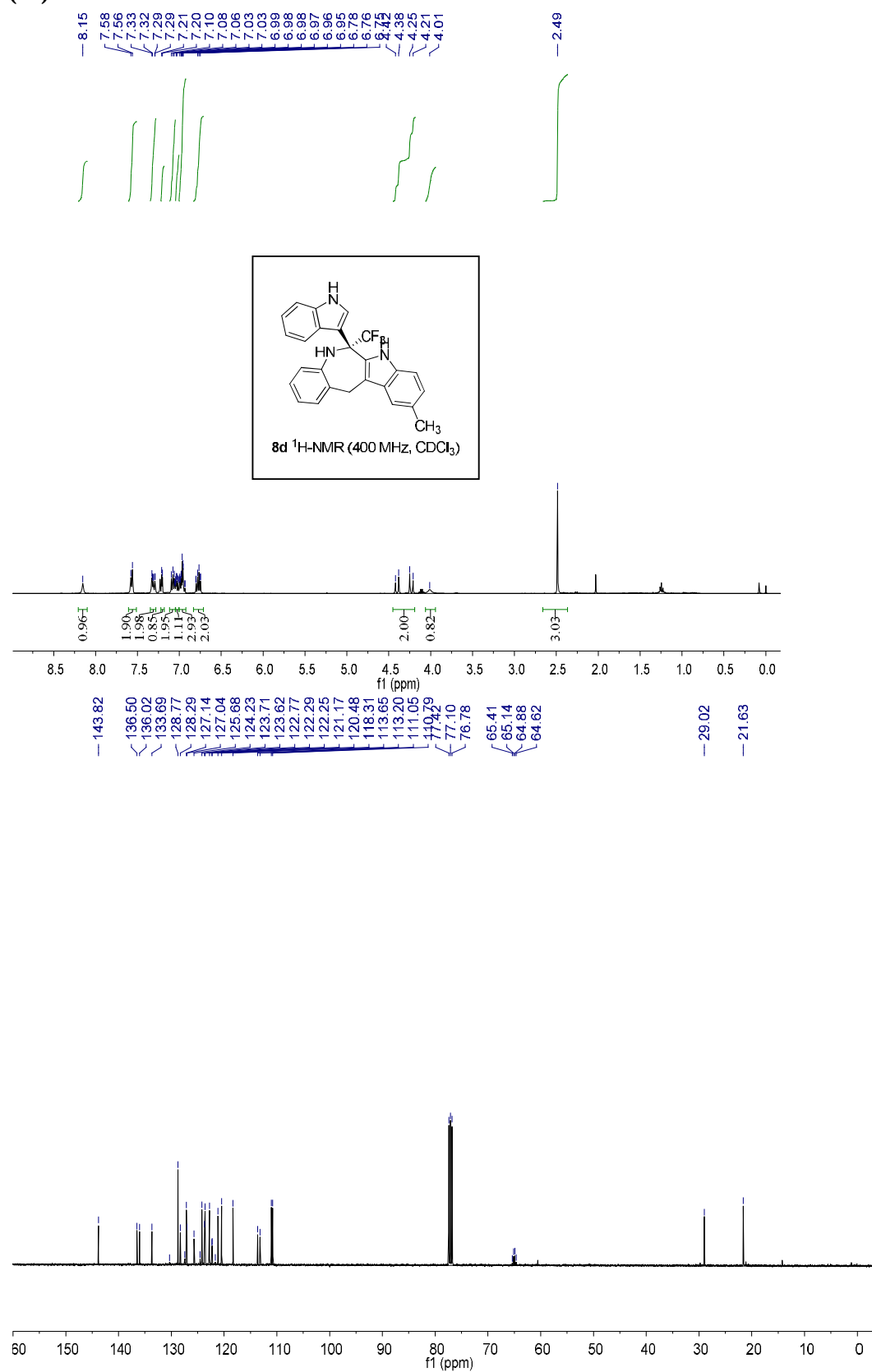


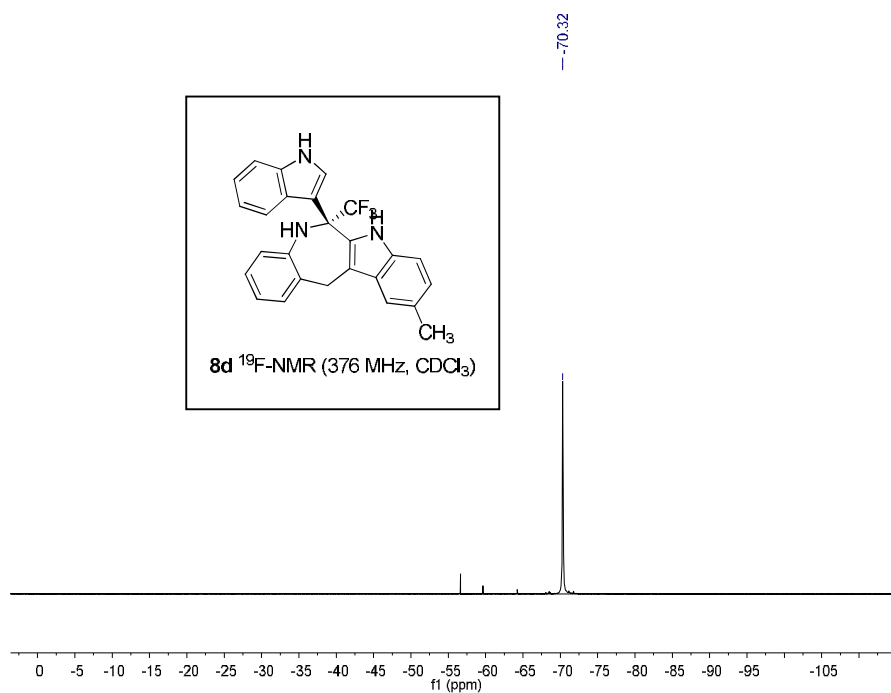
(S)-6-(3-indolyl)-6-trifluoromethyl-3-chloro-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8c)



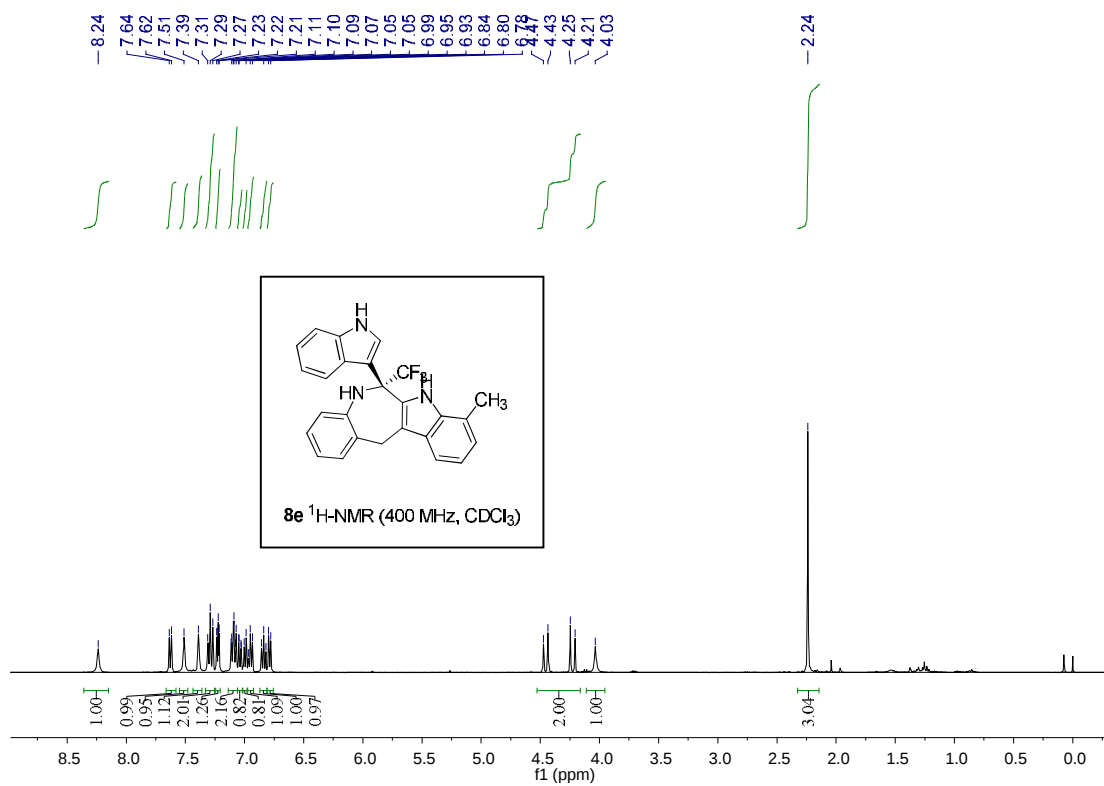


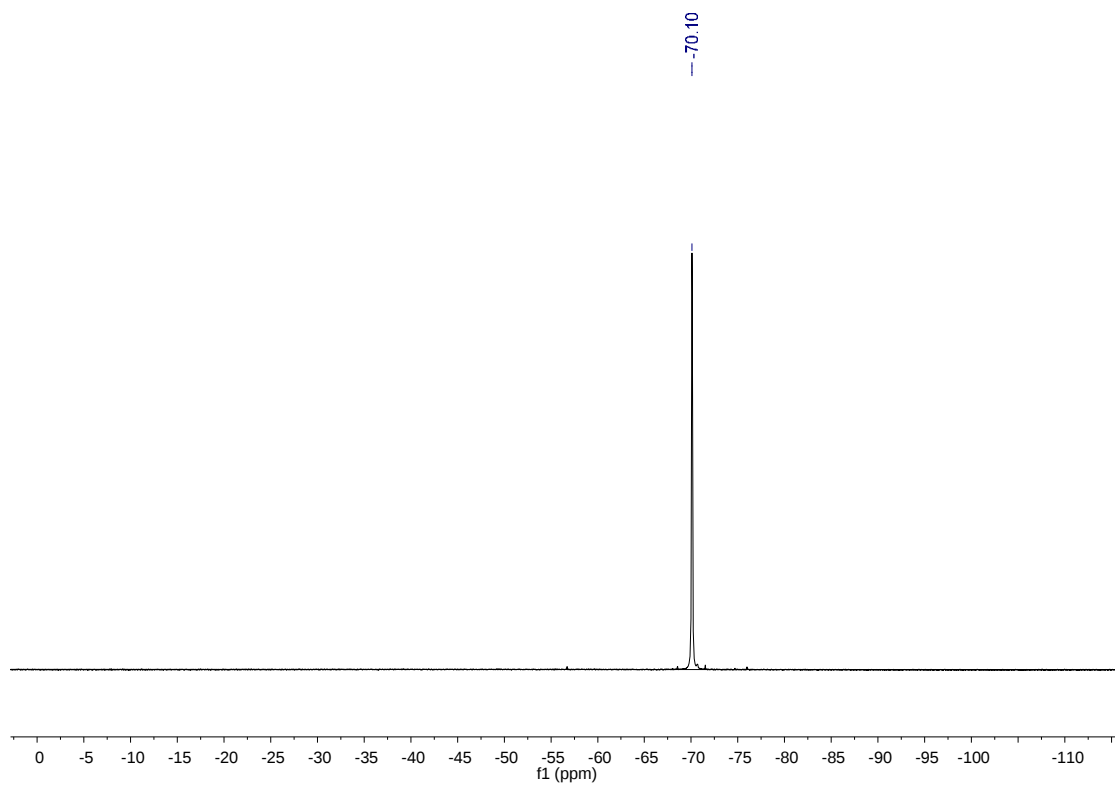
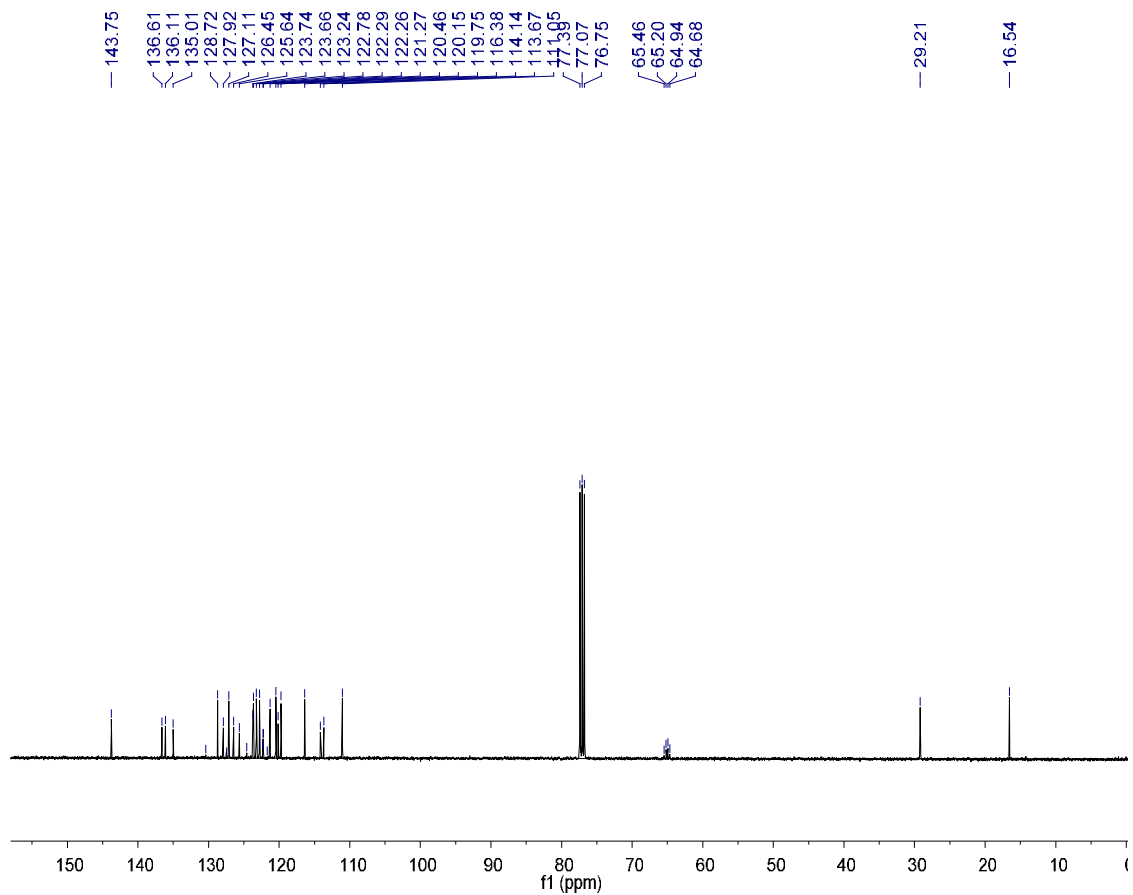
(S)-6-(3-indolyl)-6-trifluoromethyl-2-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine
(8d)



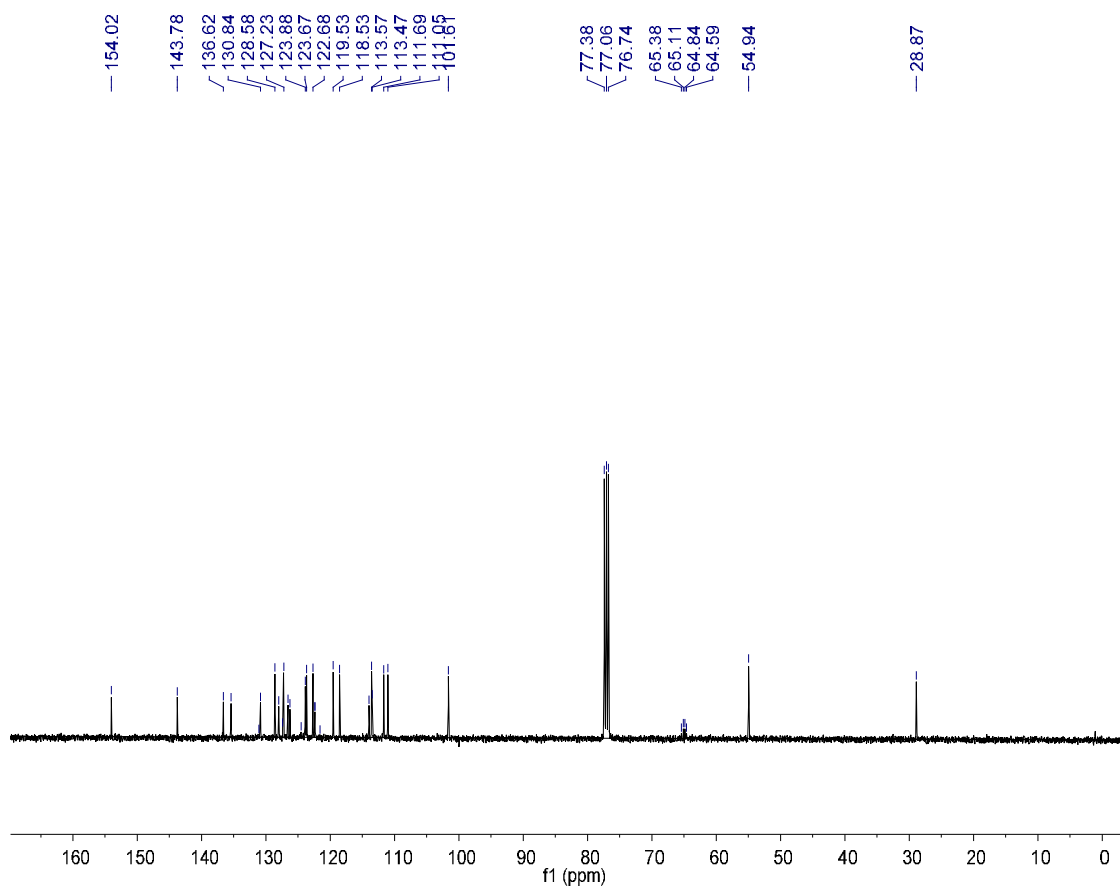
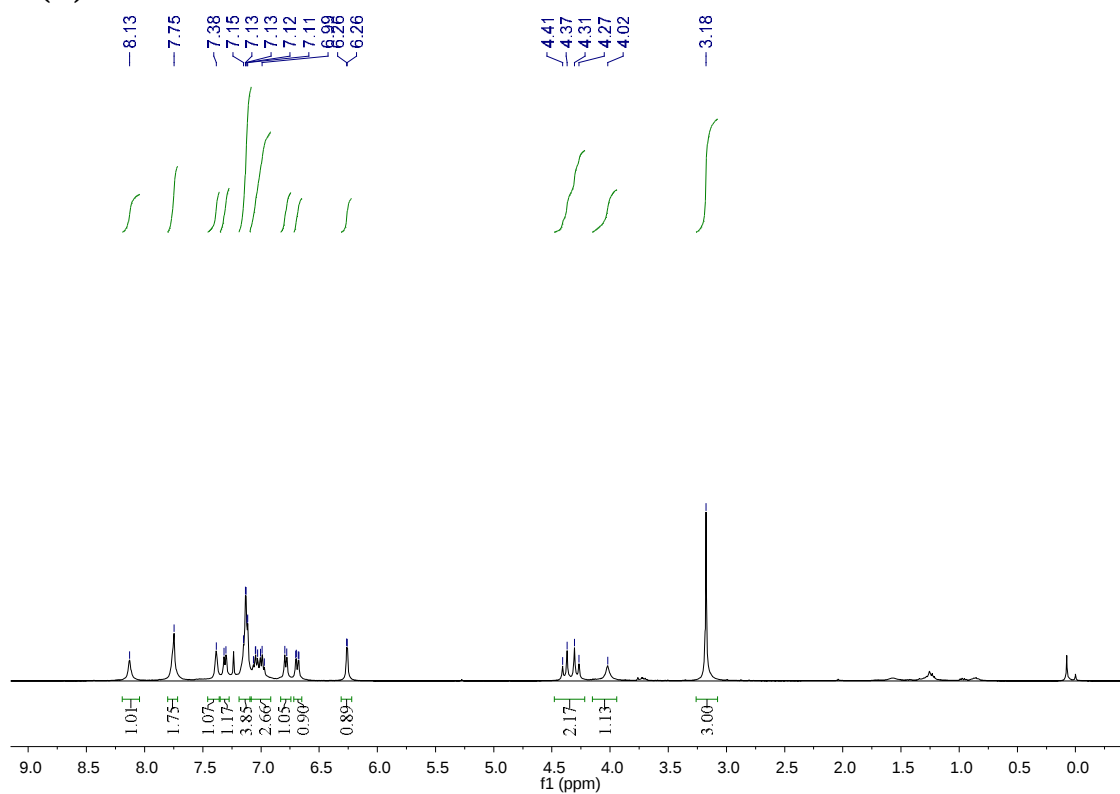


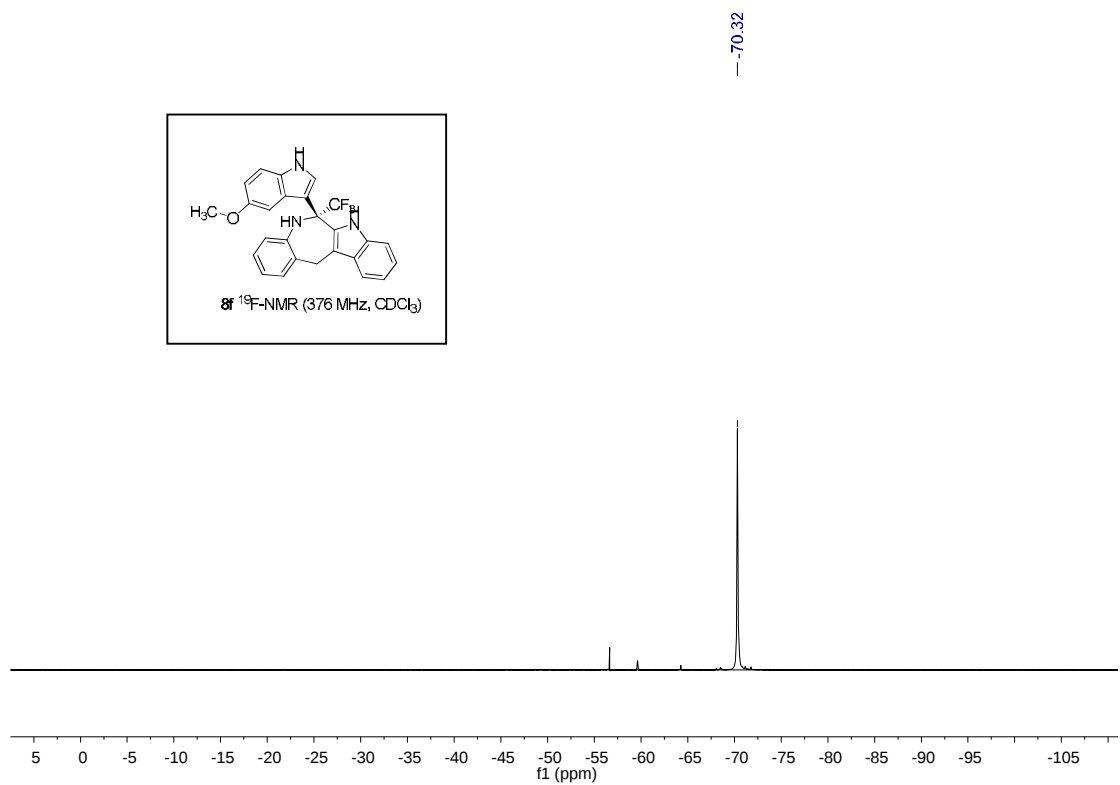
(S)-6-(3-indolyl)-6-trifluoromethyl-4-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8e)



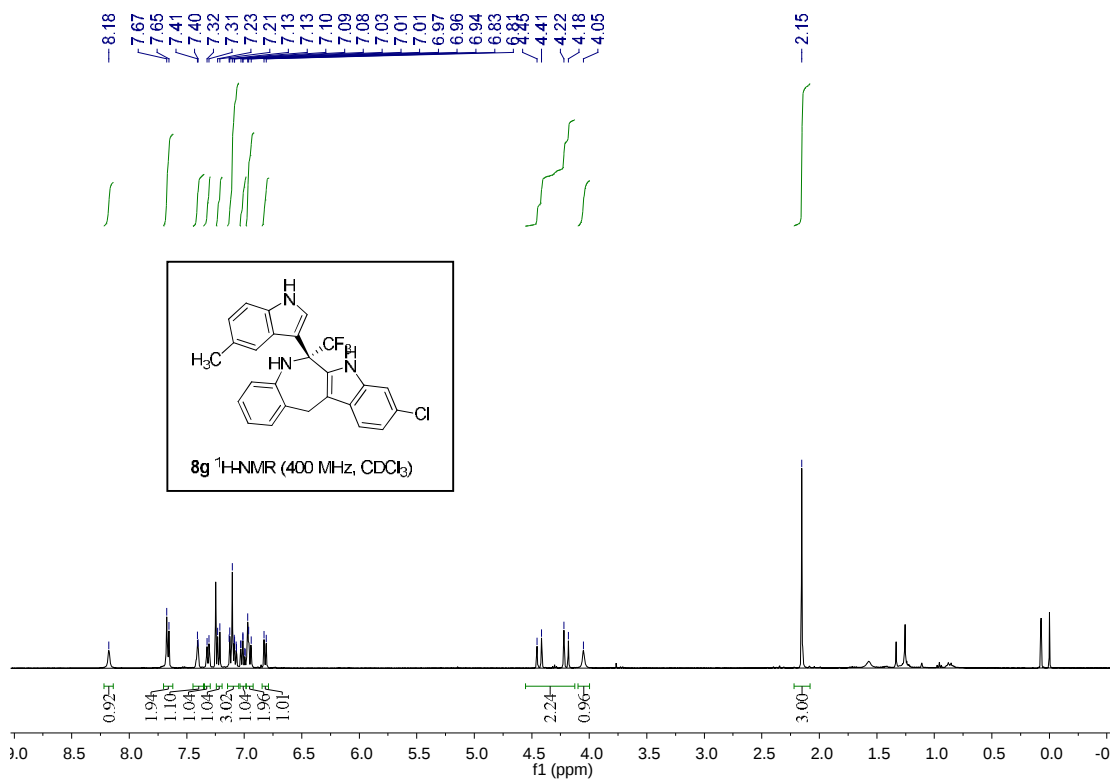


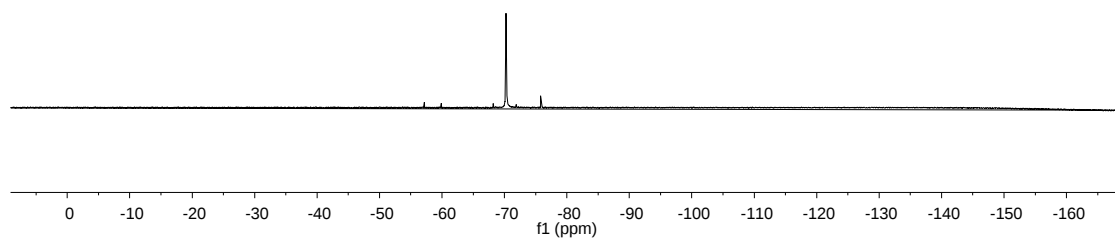
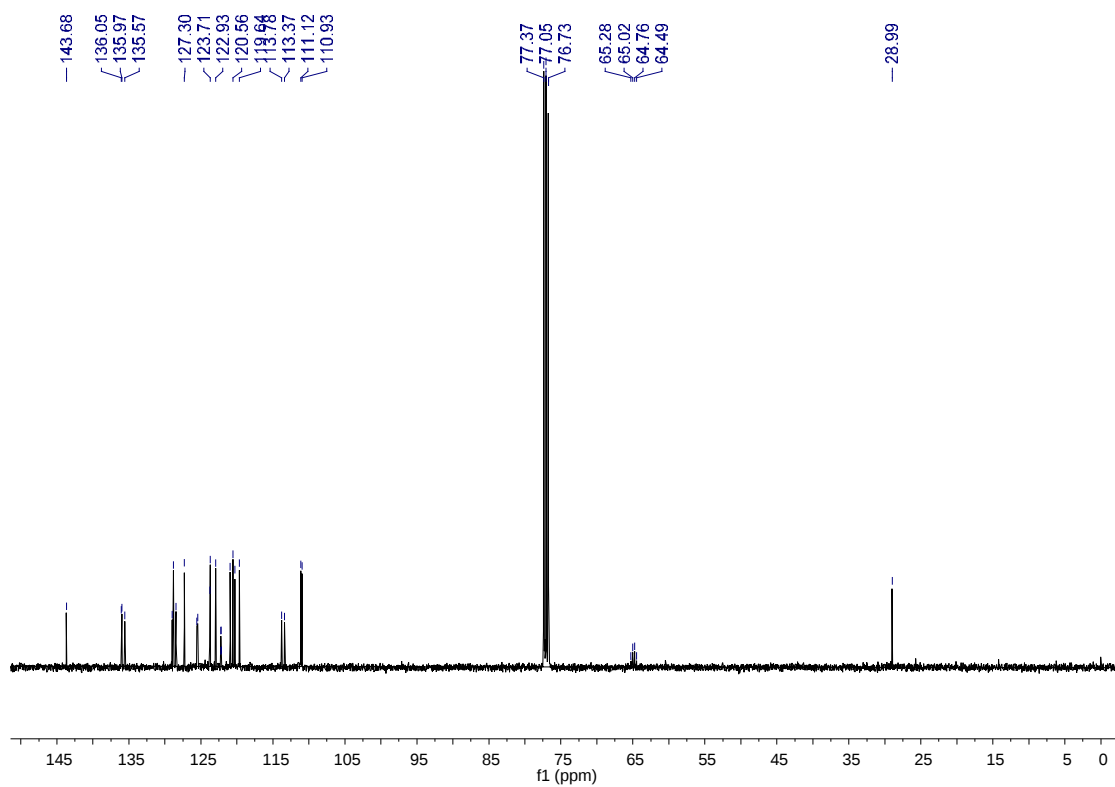
(S)-6-(3-indolyl-5'-methoxy)-6-trifluoromethyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8f)



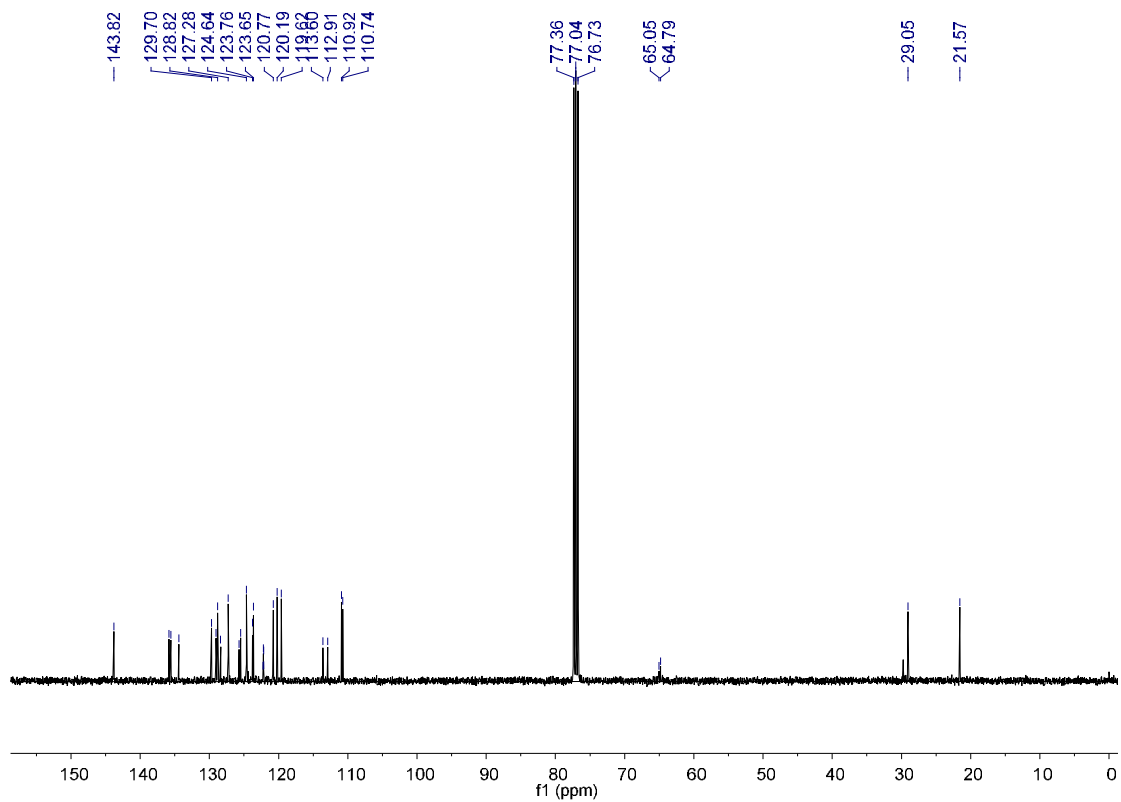
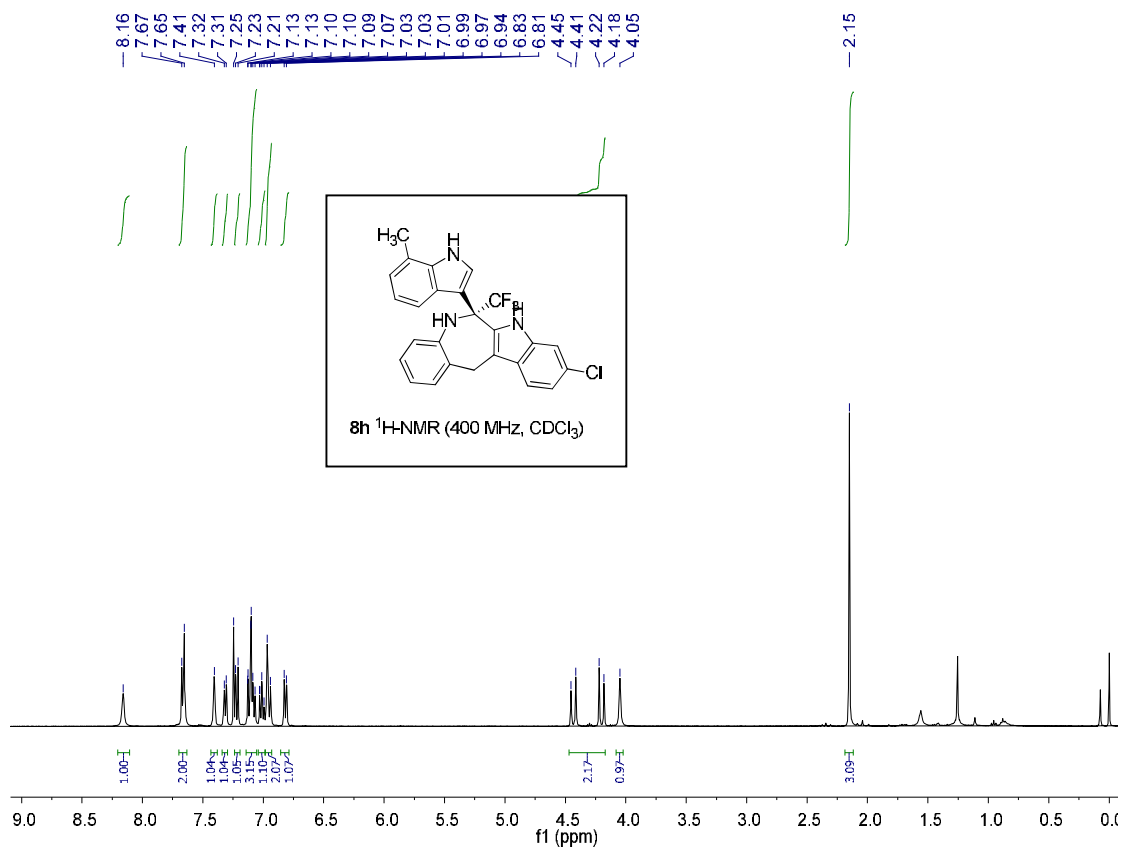


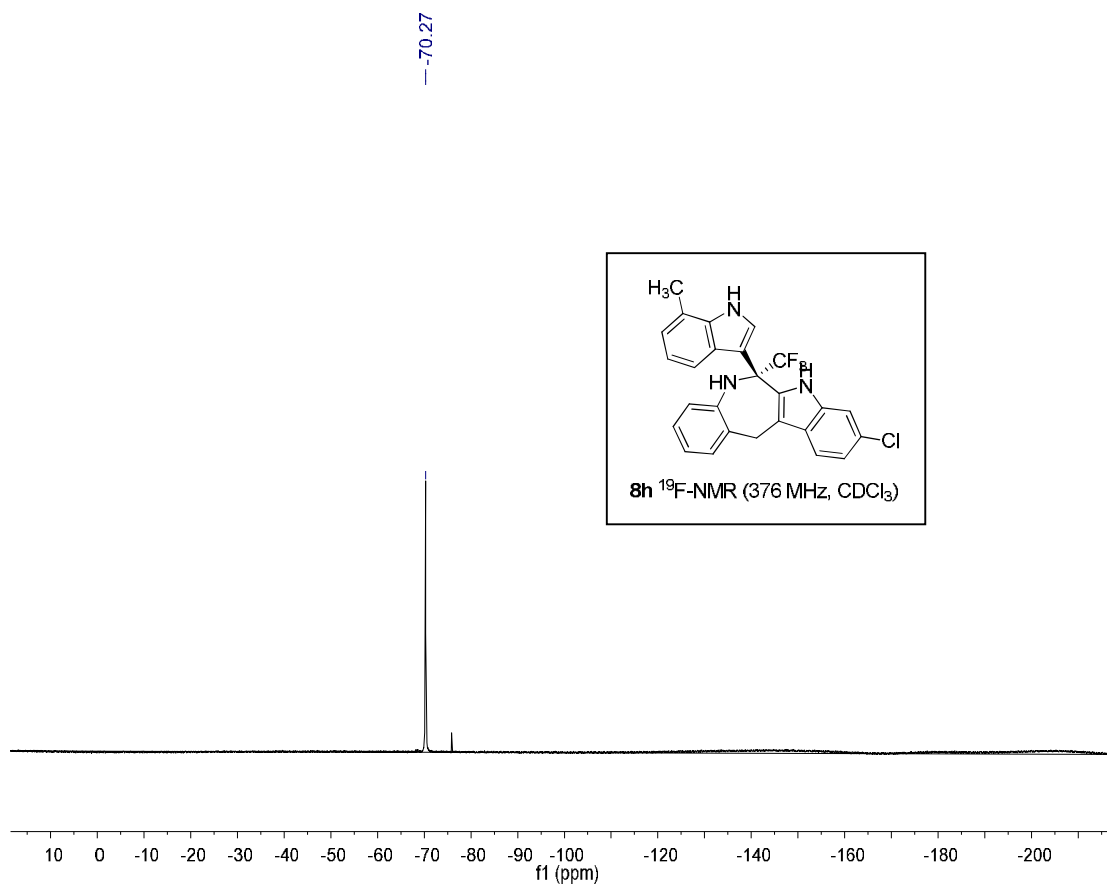
(S)-6-(3-indolyl-5'-methyl)-6-trifluoromethyl-3-chloro-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8g)



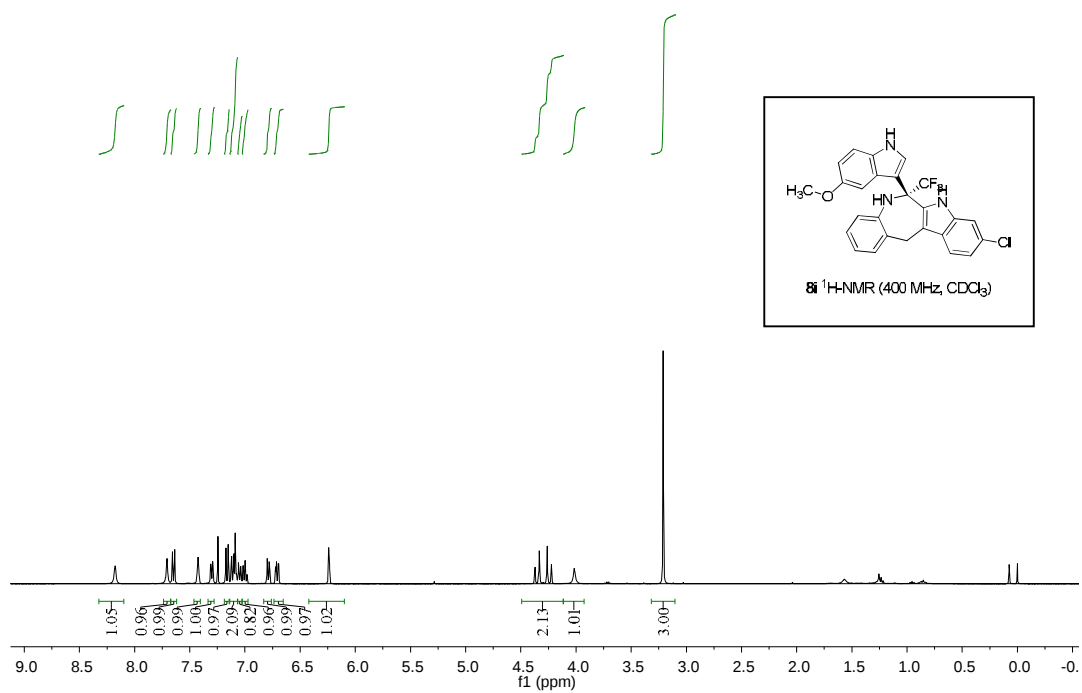


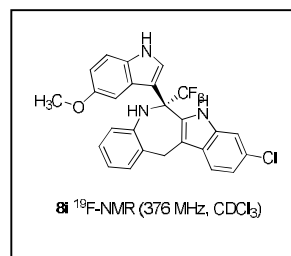
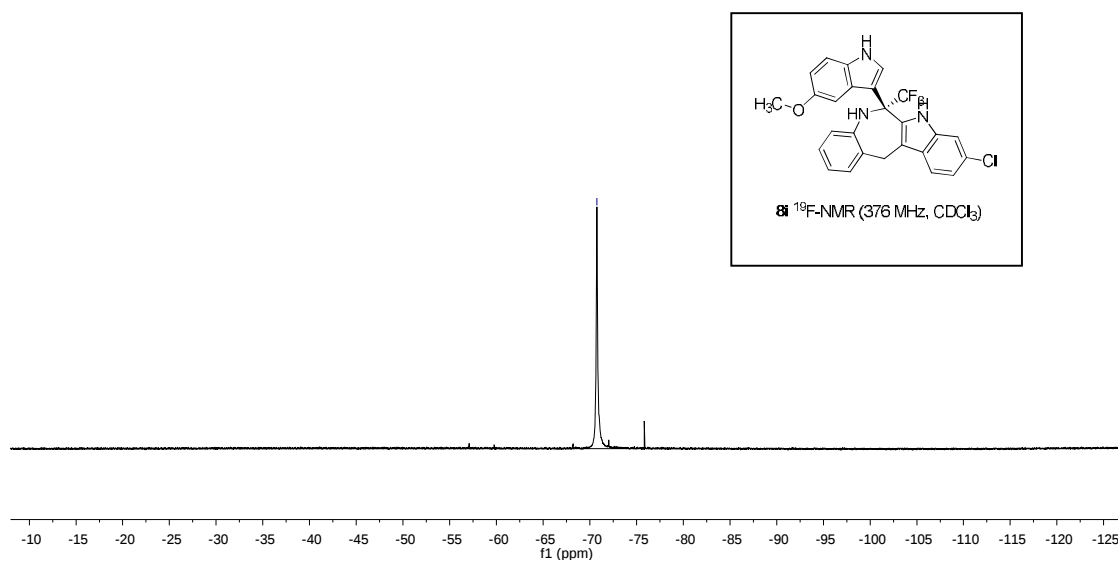
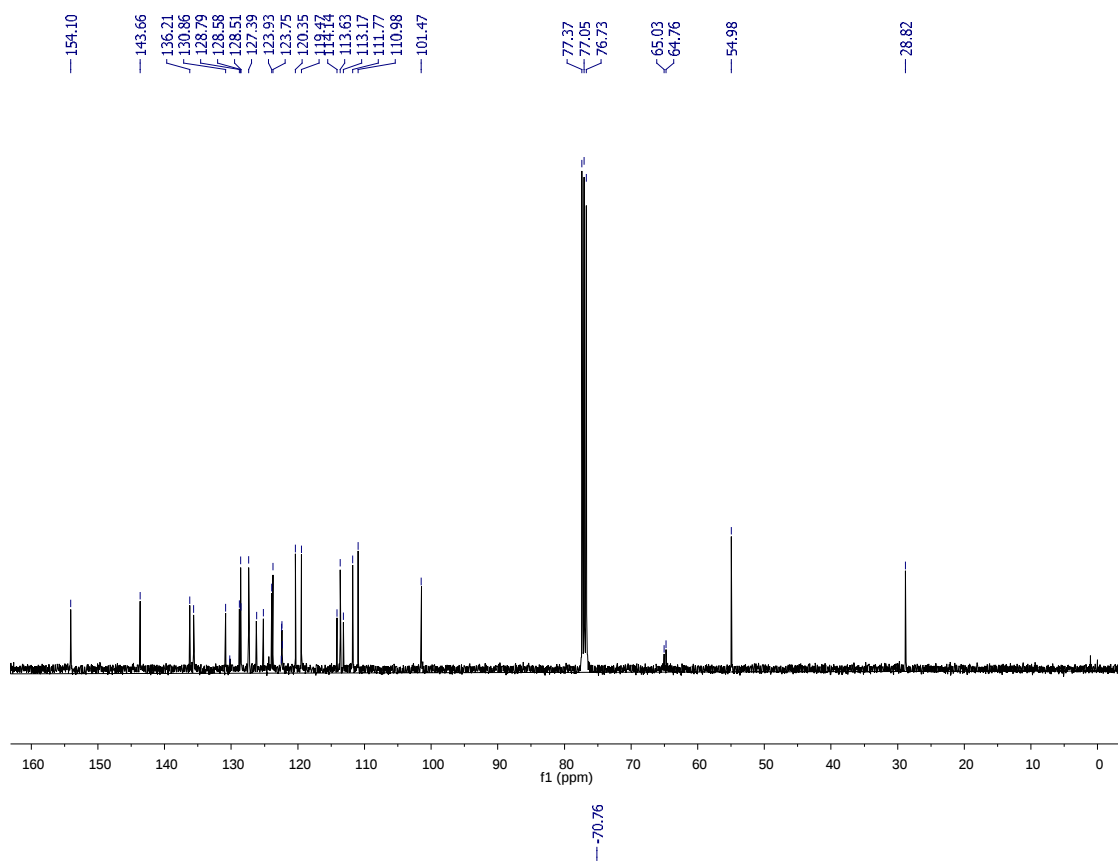
(S)-6-(3-indolyl-7'-methyl)-6-trifluoromethyl-3-chloro-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8h)

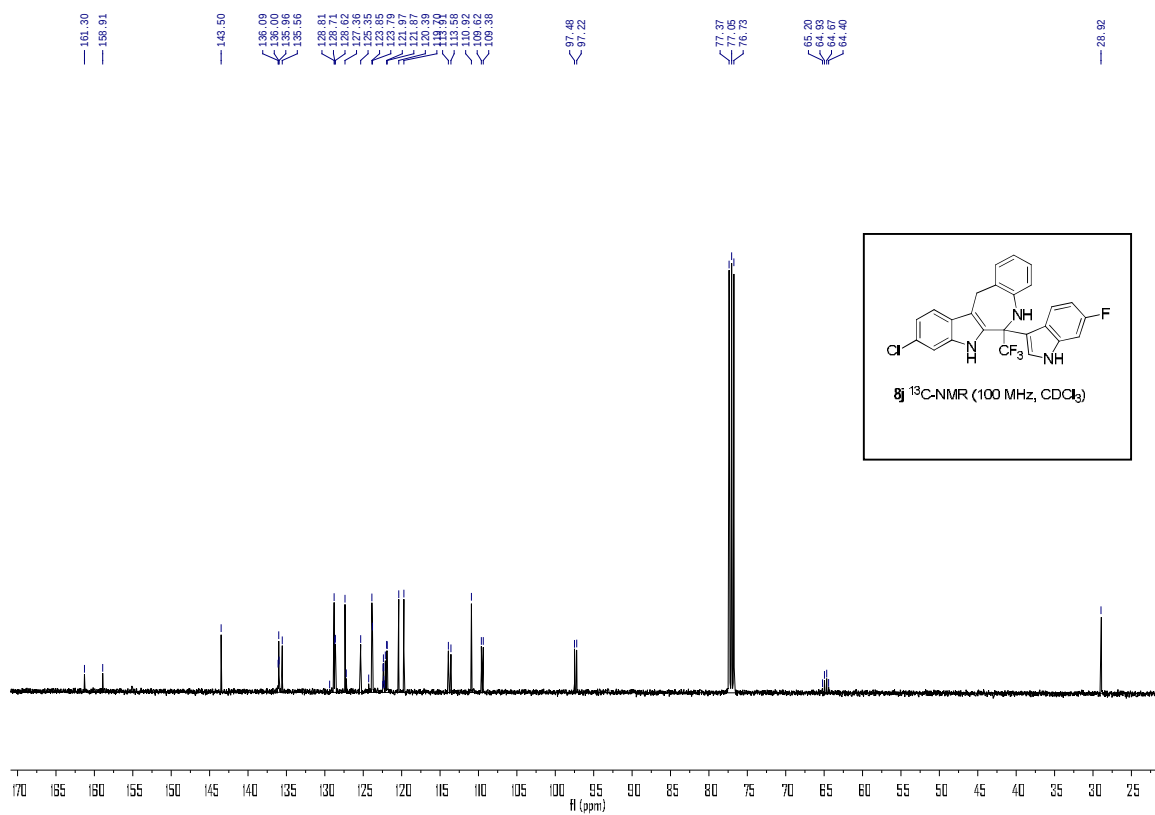
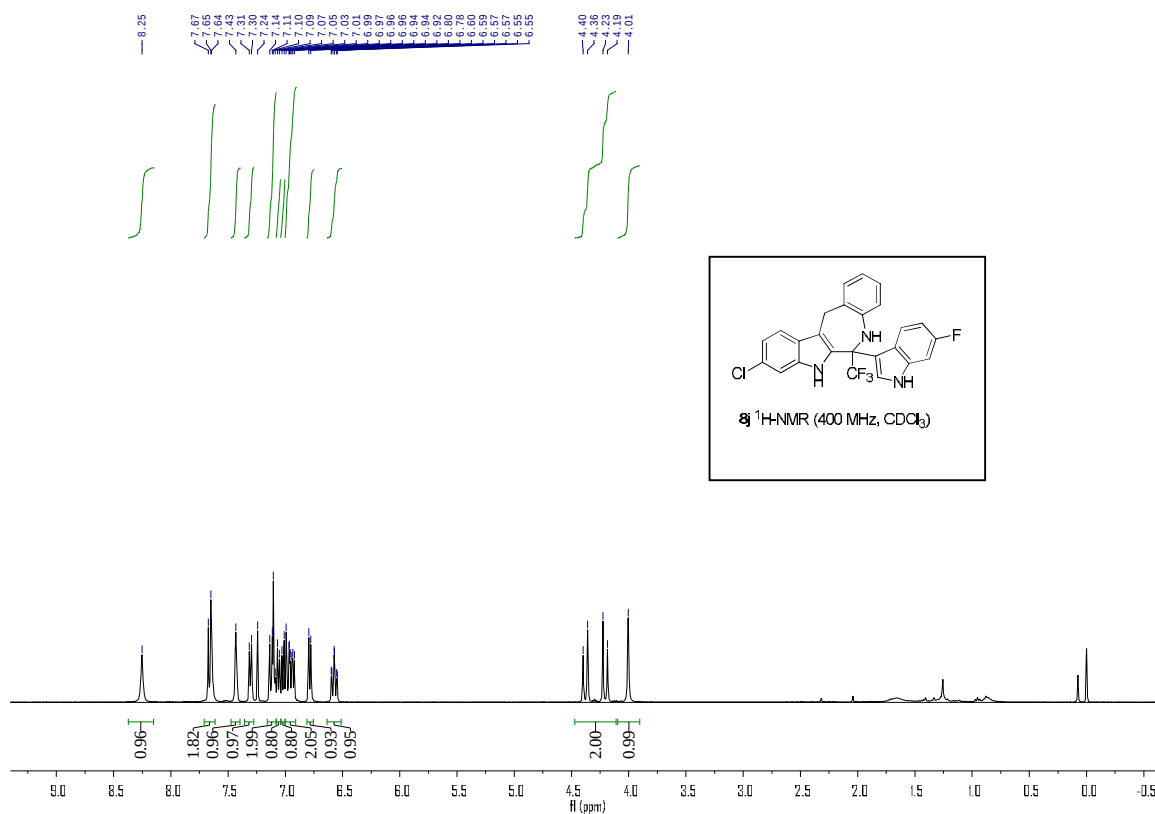




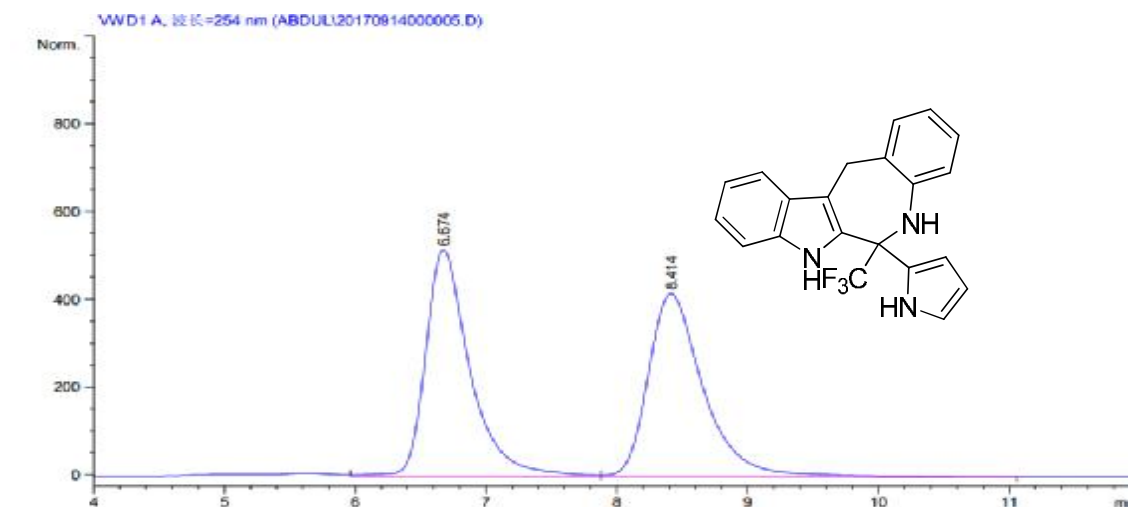
(S)-6-(3-indolyl-5'-methoxy)-6-trifluoromethyl-3-chloro-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8i)



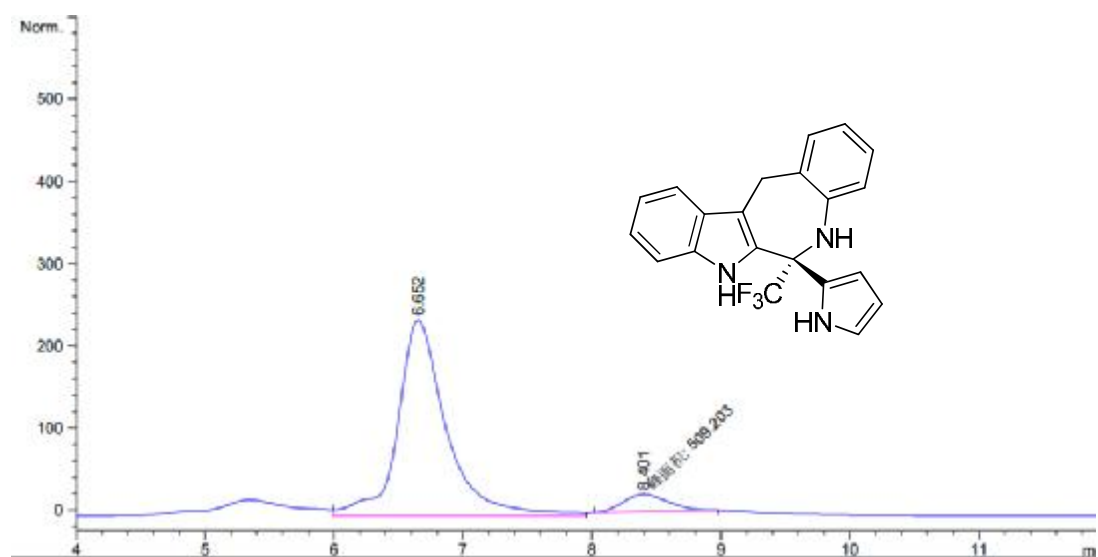




6-(2-pyrrolyl)-6-trifluoromethyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3a).

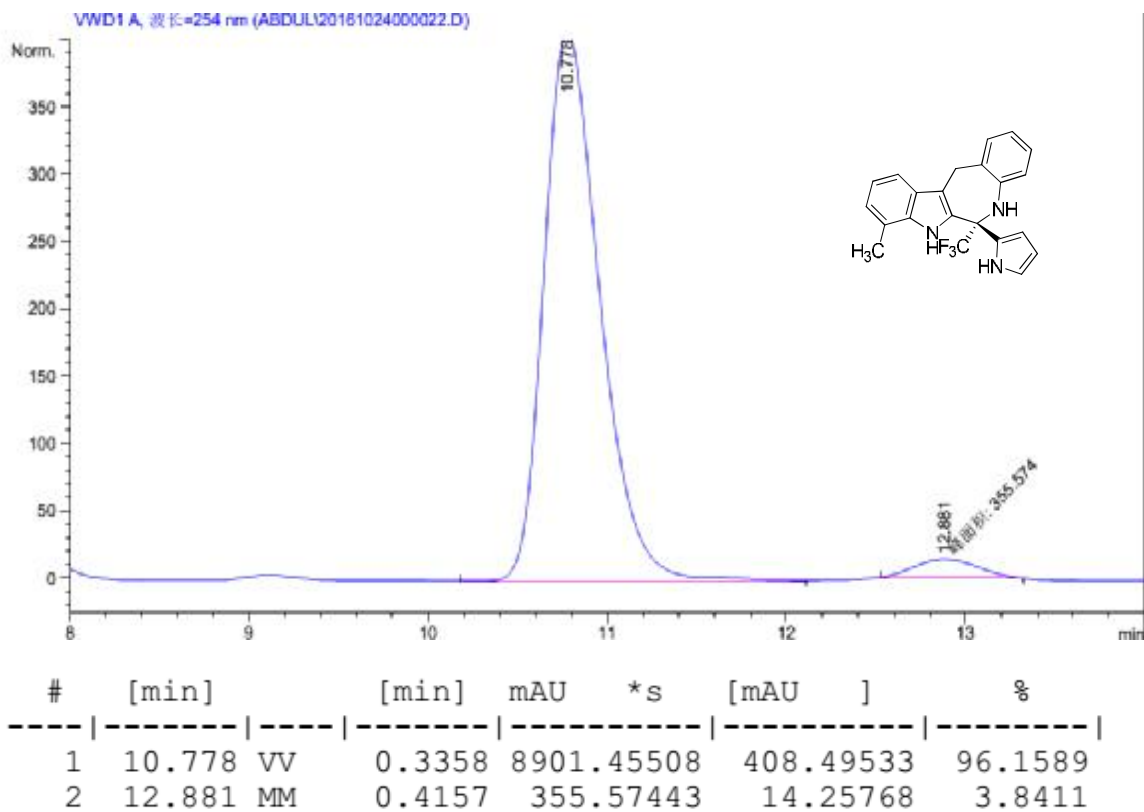
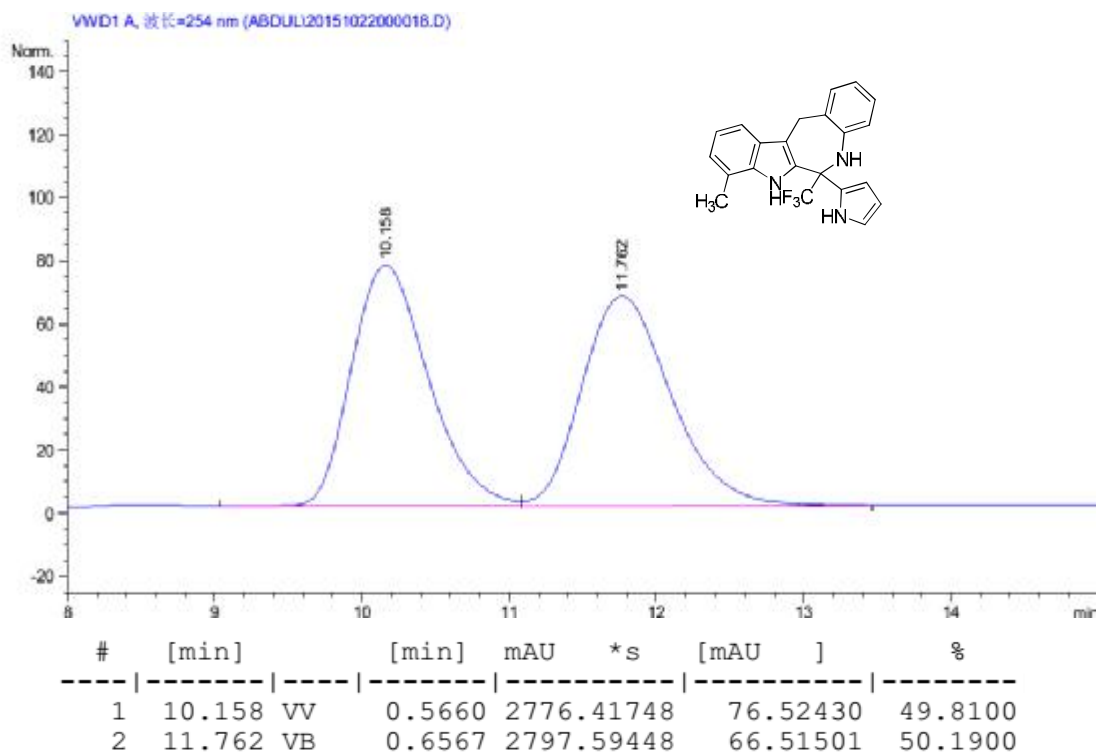


#	[min]		[min]	mAU	*s	[mAU]	%
1	6.674	VV	0.3523	1.22416e4		516.29718	49.9599
2	8.414	VB	0.4391	1.22612e4		417.08533	50.0401

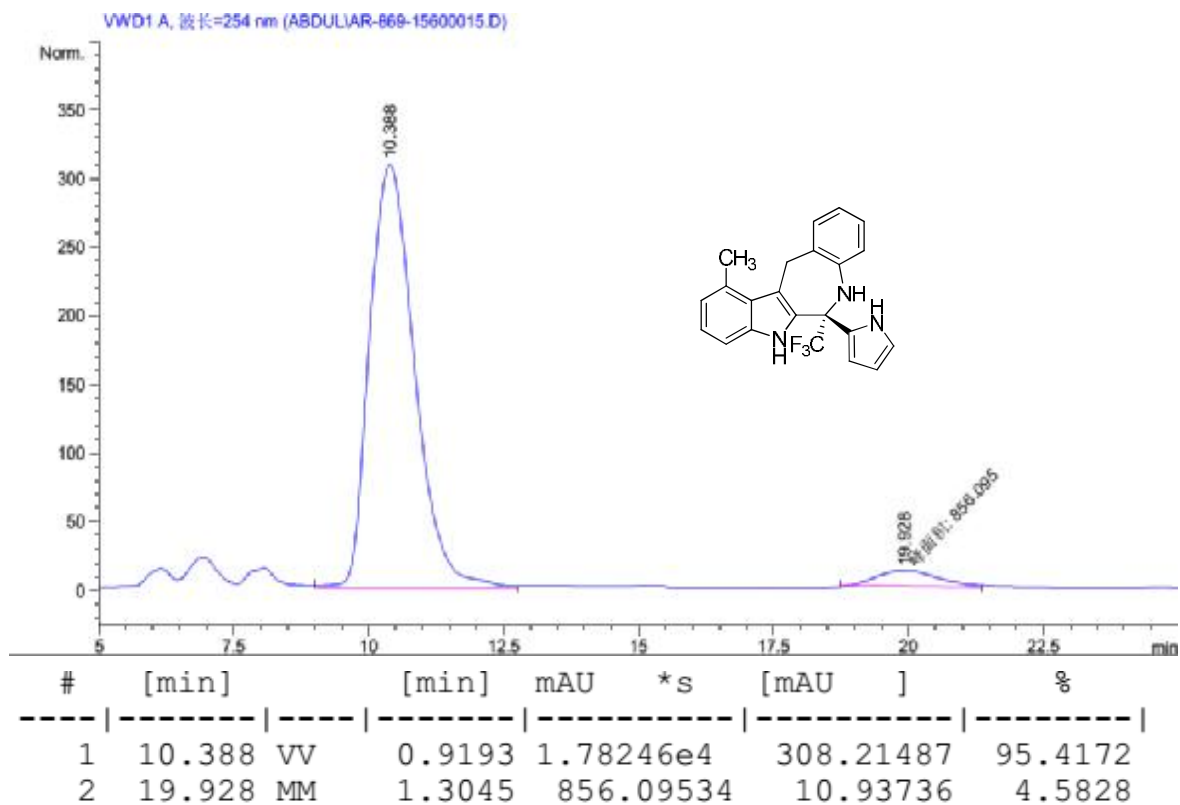
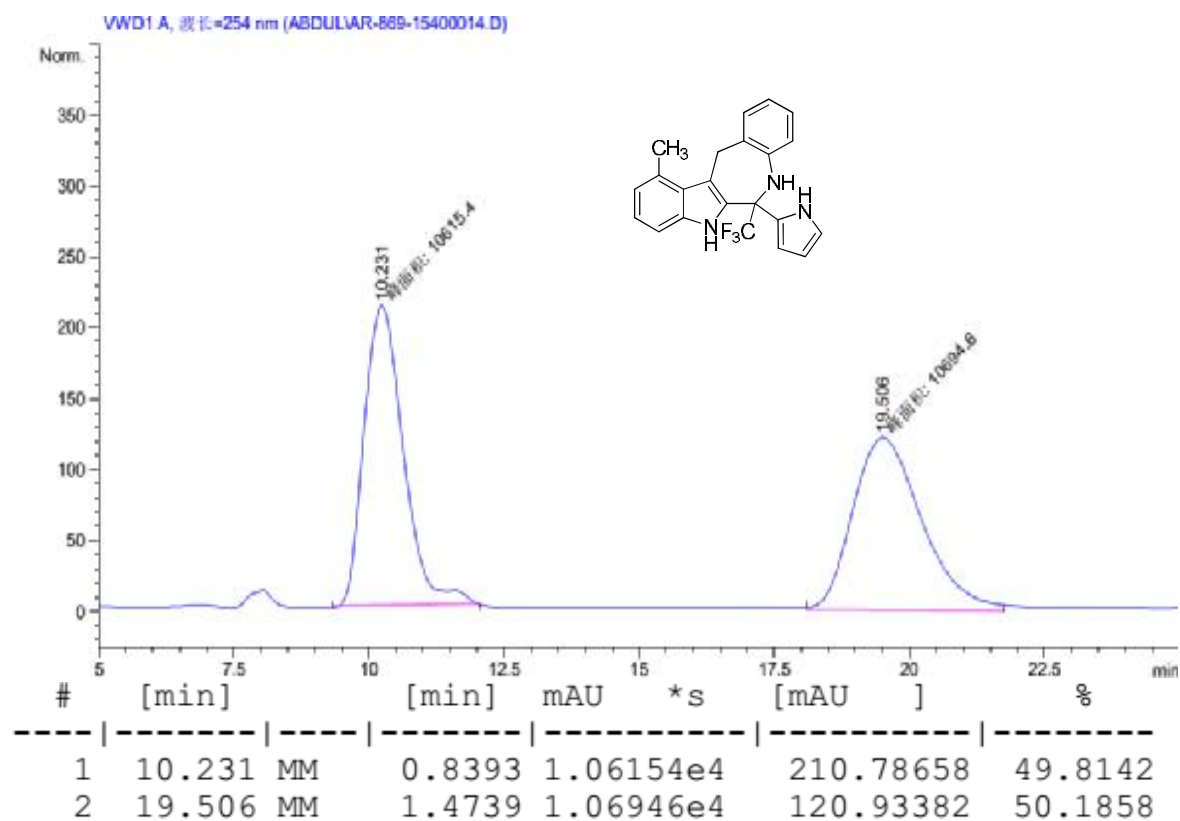


#	[min]		[min]	mAU	*s	[mAU]	%
1	6.652	VV	0.3816	6240.11719		238.02235	92.4555
2	8.401	MM	0.4047	509.20273		20.96988	7.5445

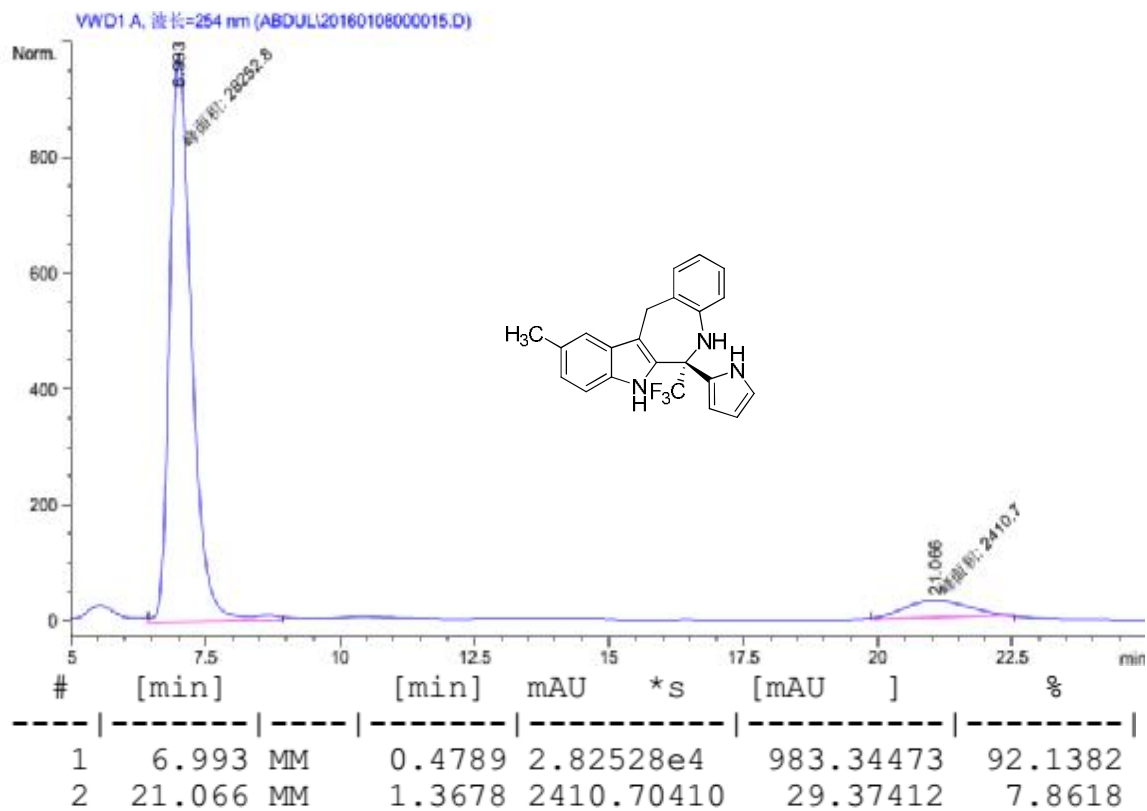
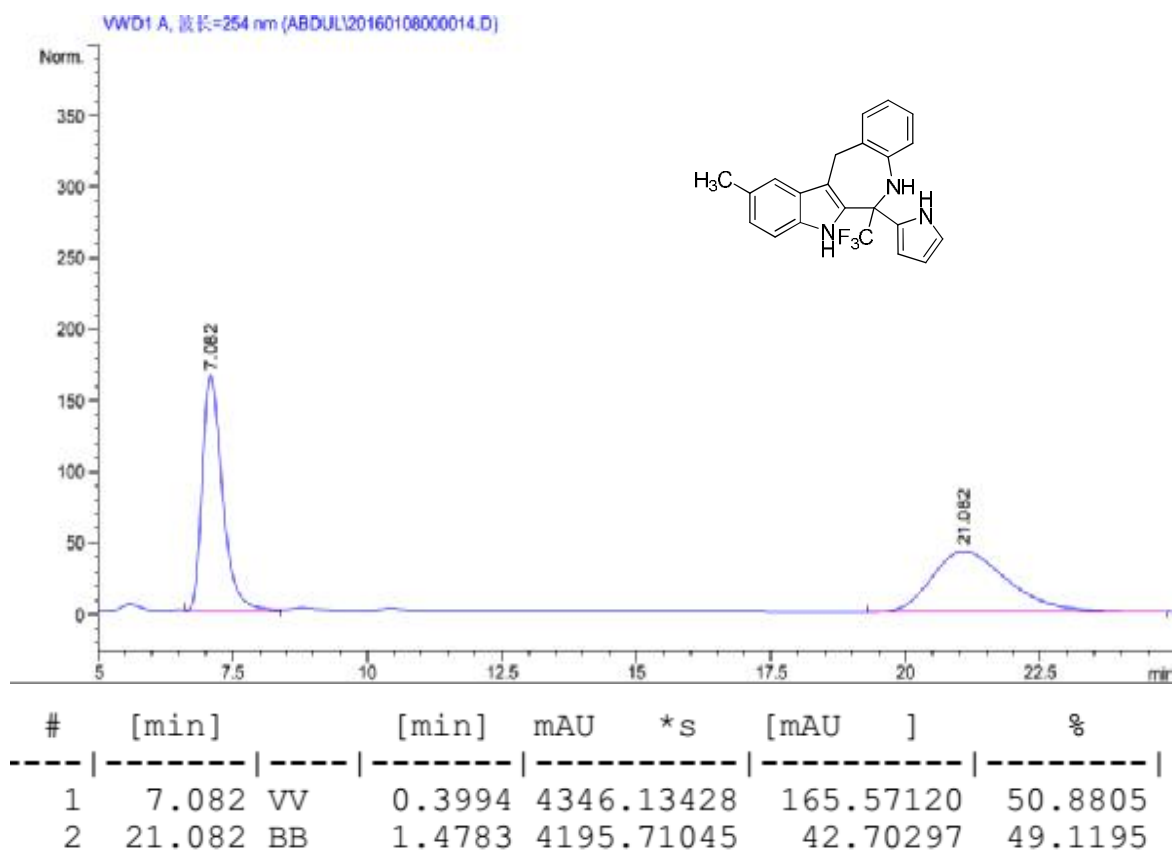
6-(2-pyrrolyl)-6-trifluoromethyl-4-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine
(3b).



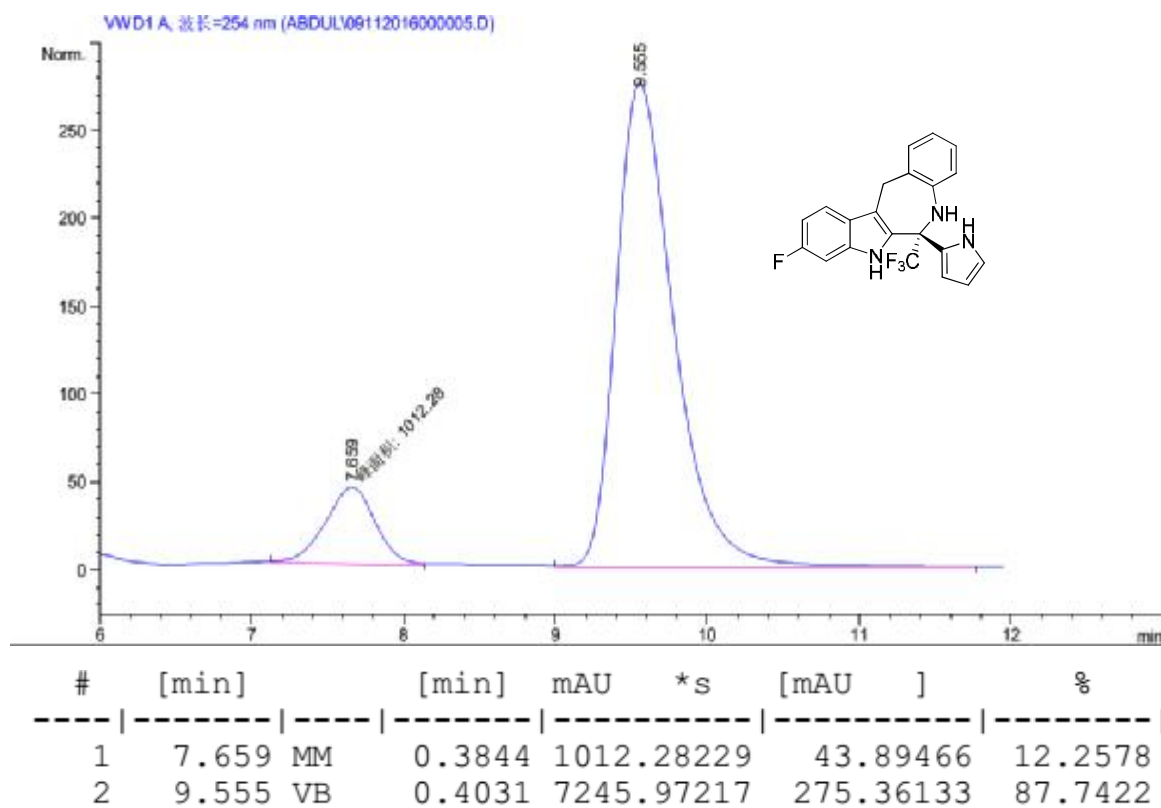
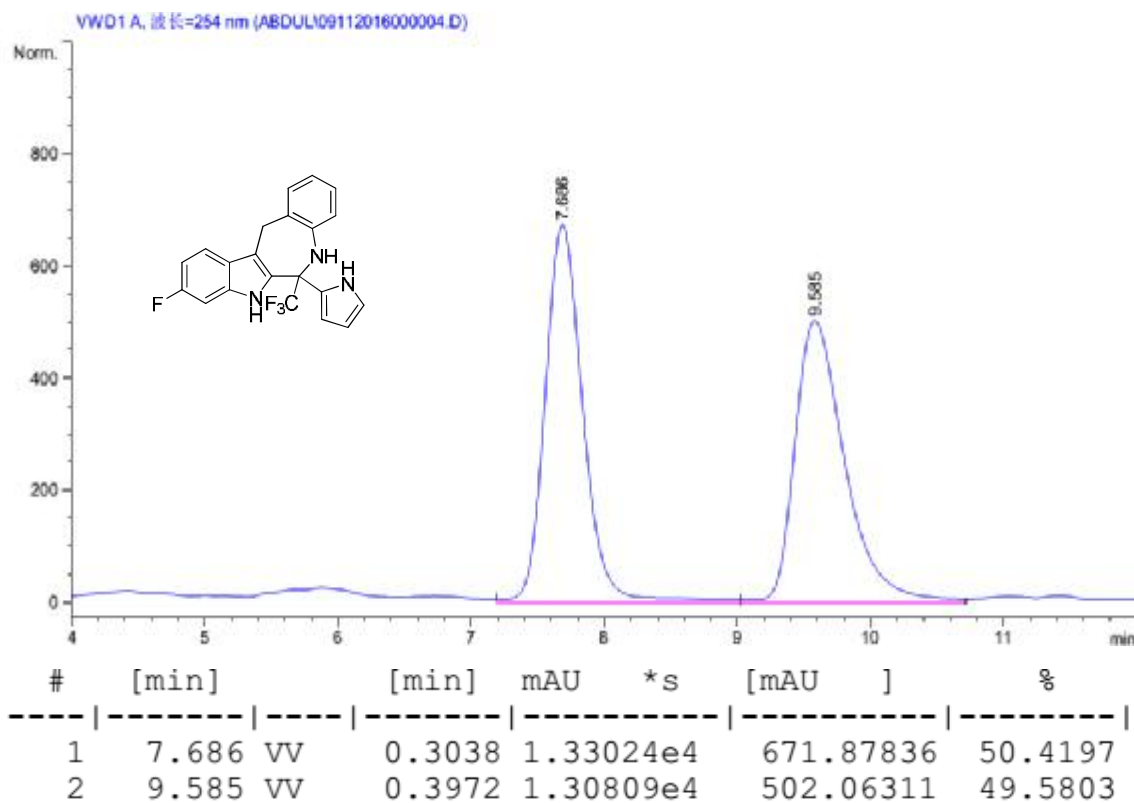
6-(2-pyrrolyl)-6-trifluoromethyl-1-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3c).



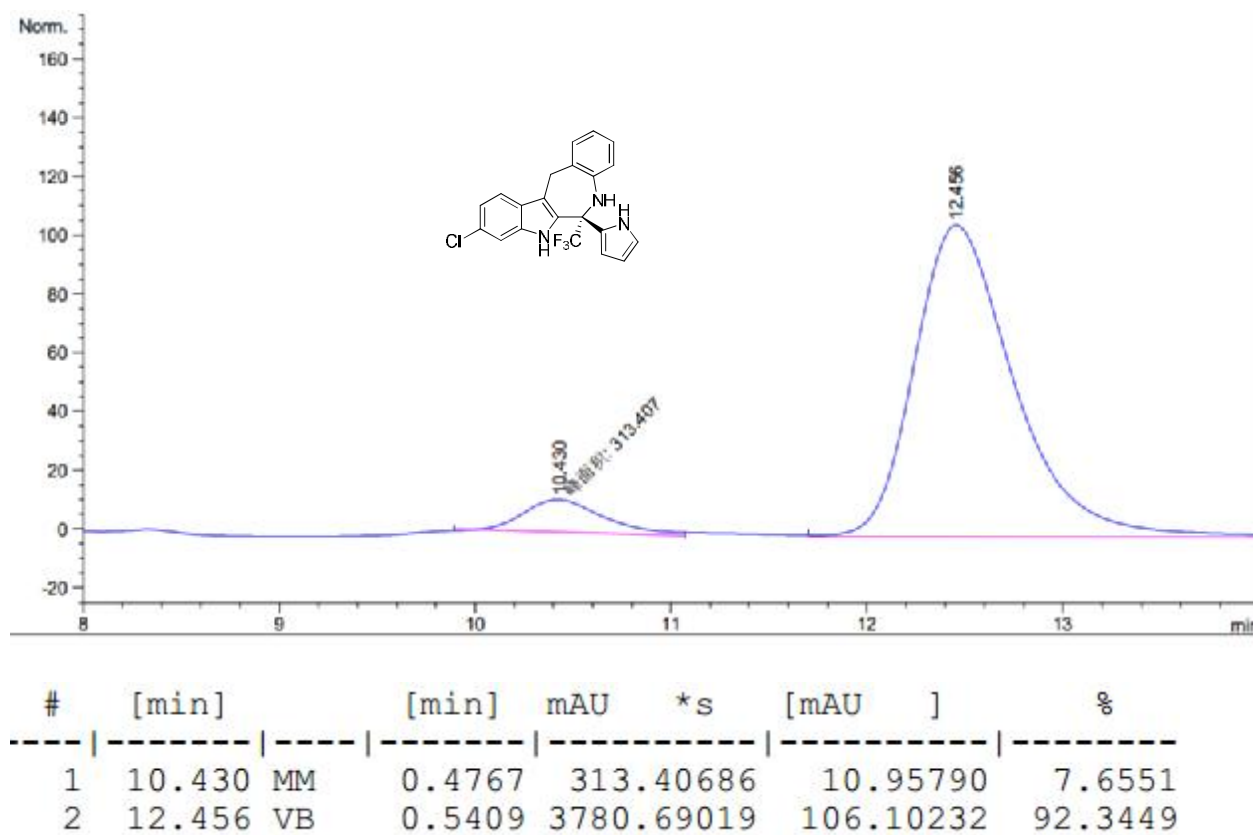
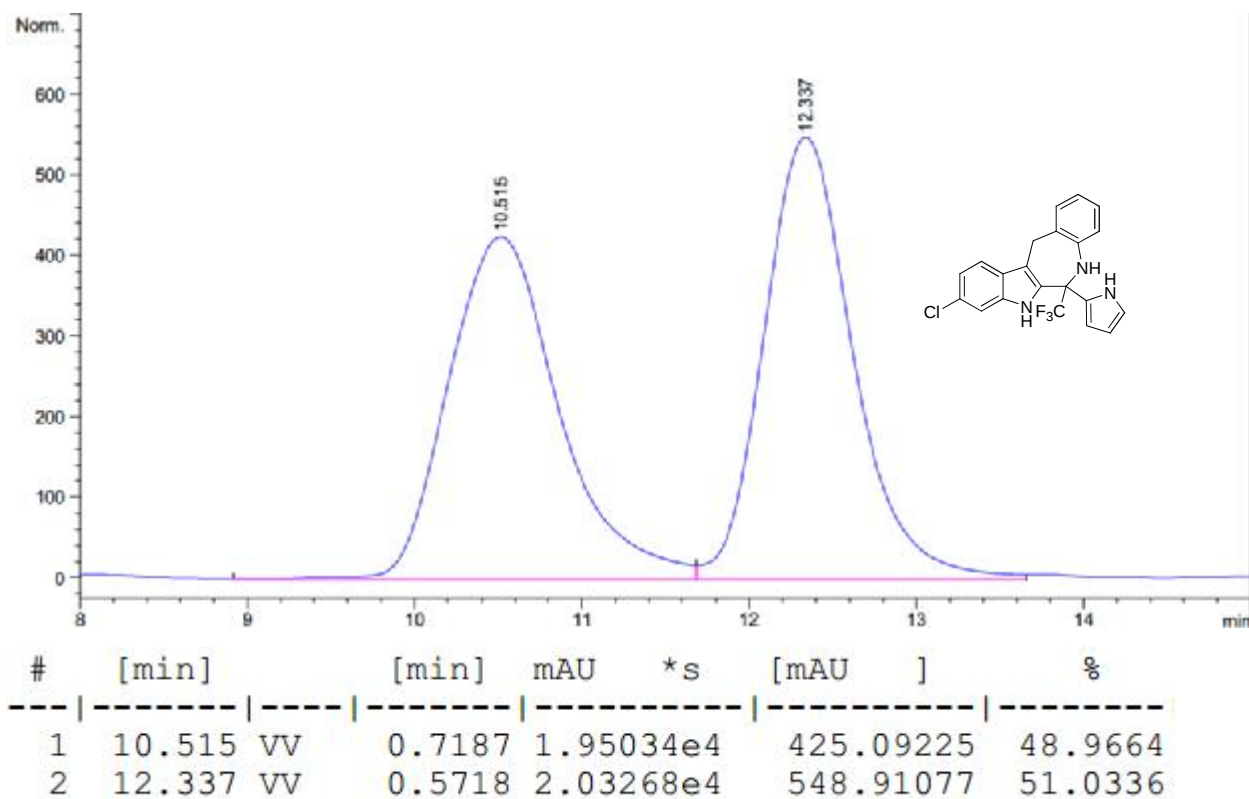
6-(2-pyrrolyl)-6-trifluoromethyl-2-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3d).



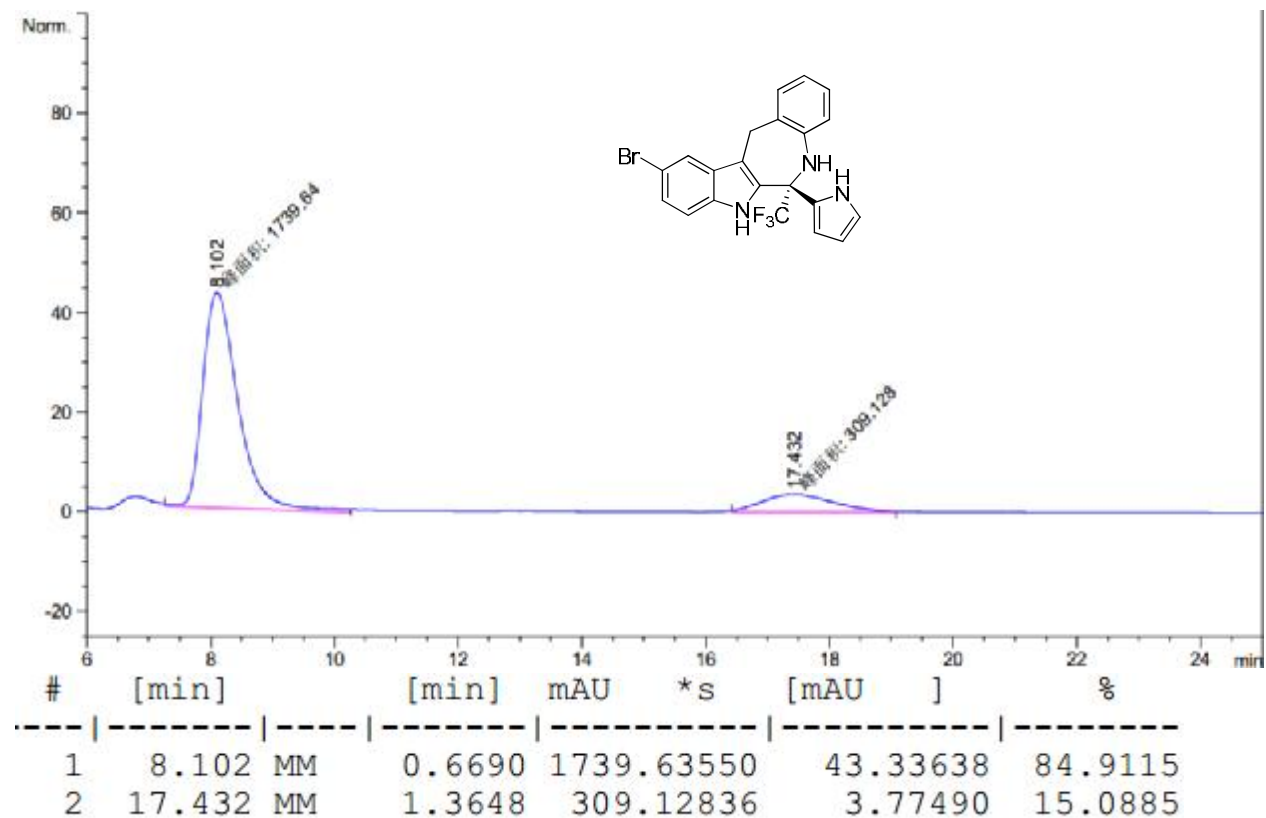
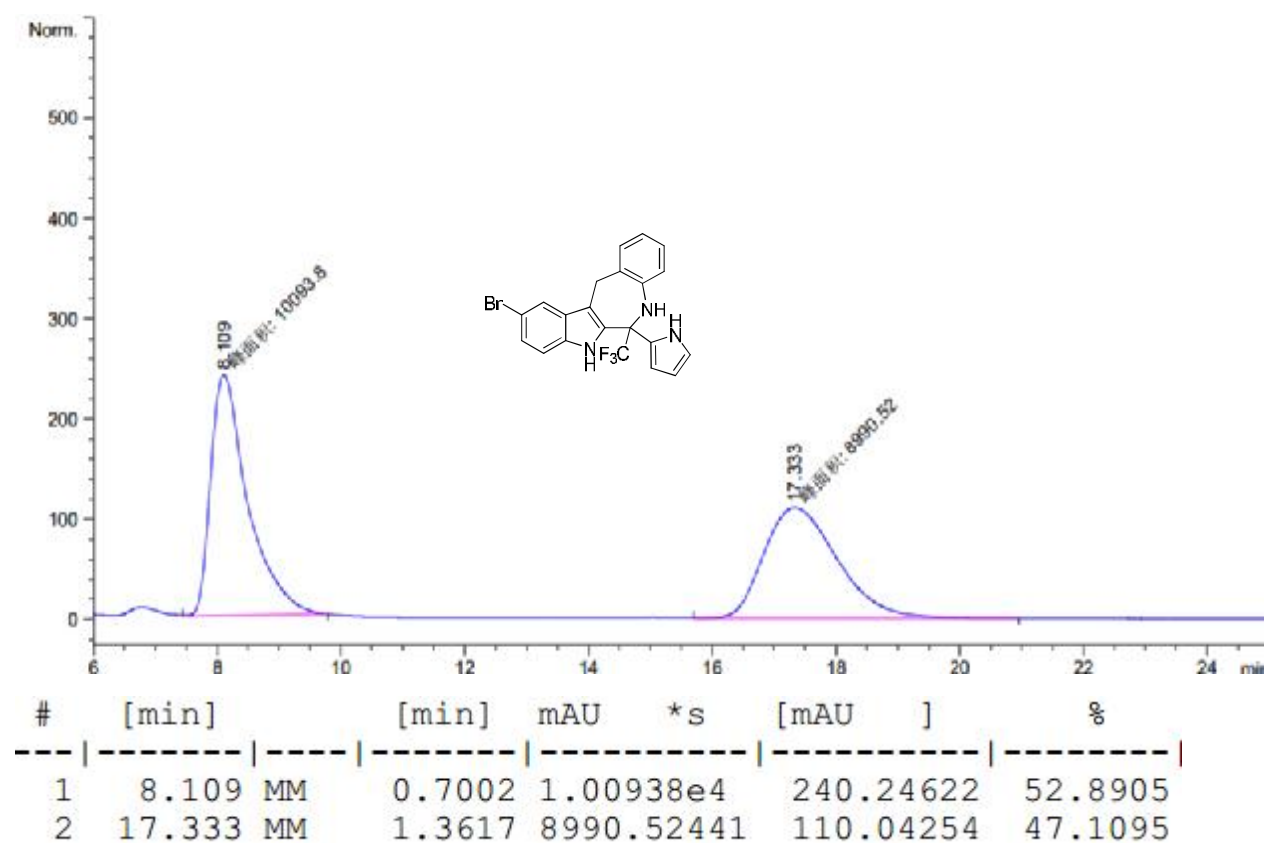
6-(2-pyrrolyl)-6-trifluoromethyl-3-fluoro-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3e).



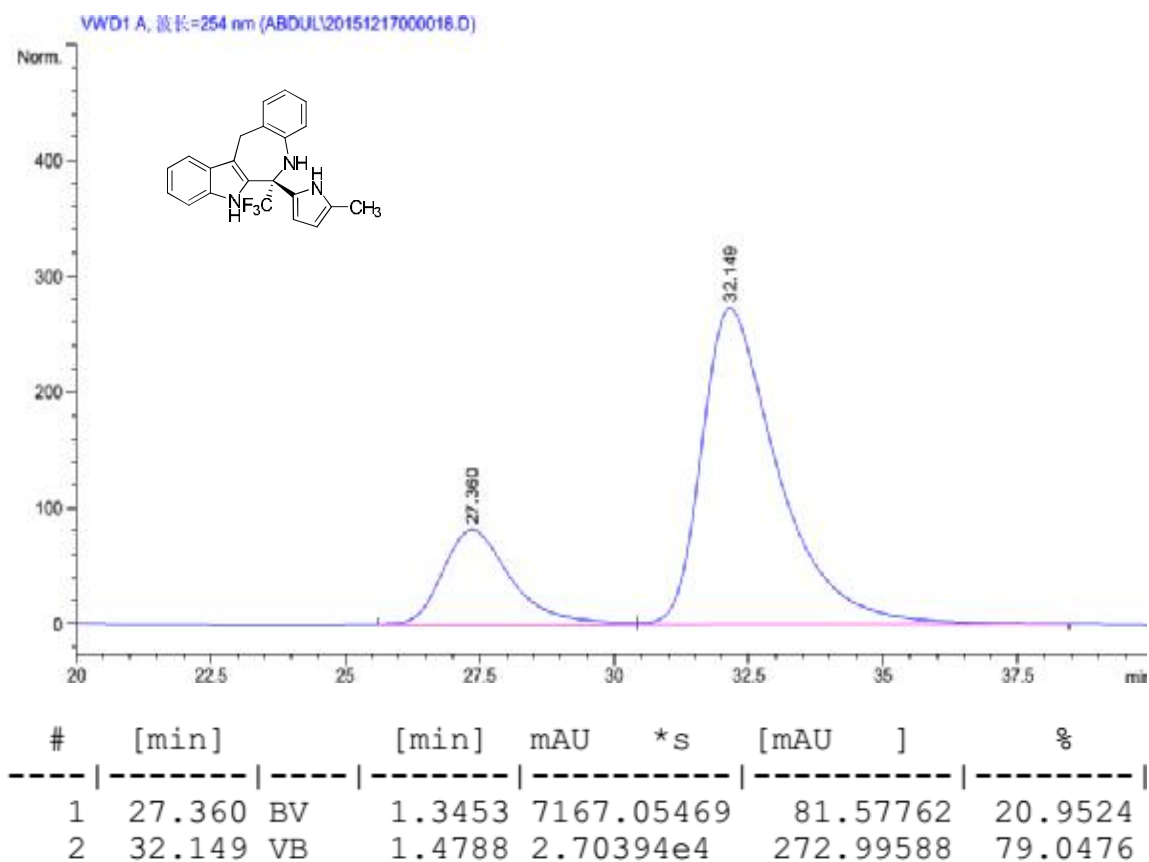
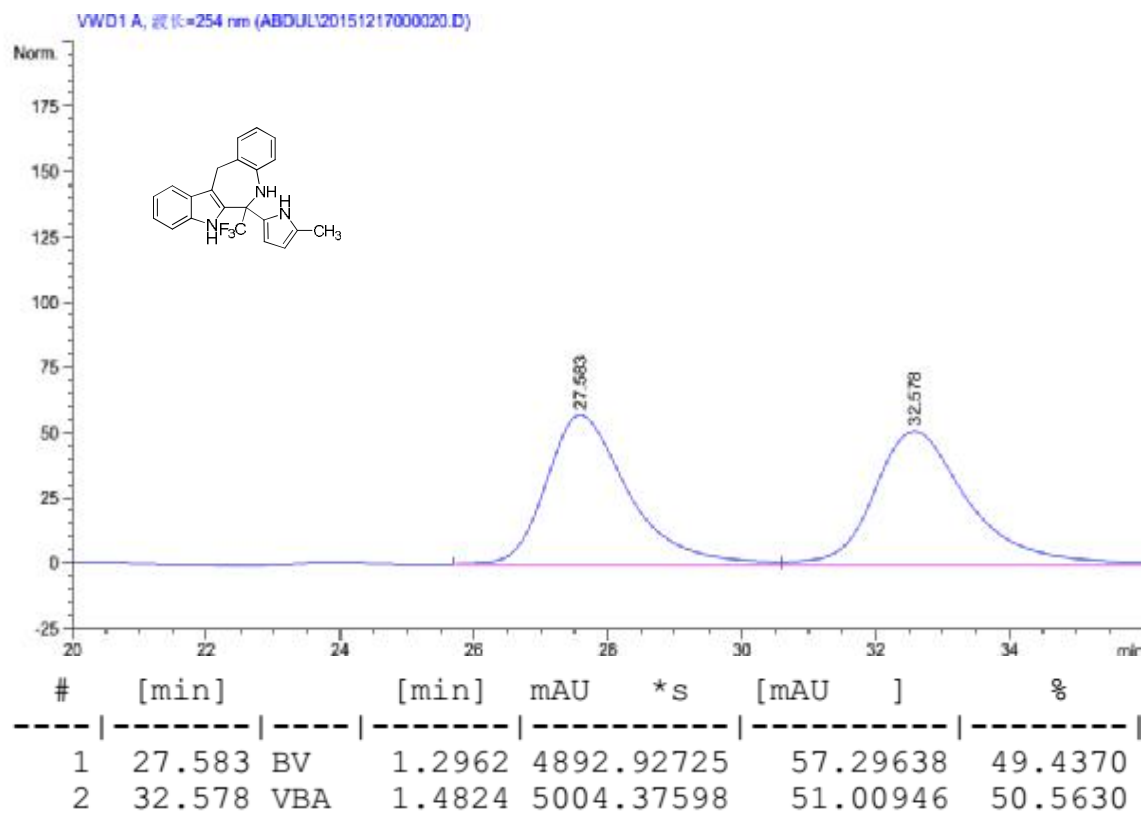
6-(2-pyrrolyl)-6-trifluoromethyl-3-chloro-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3f).



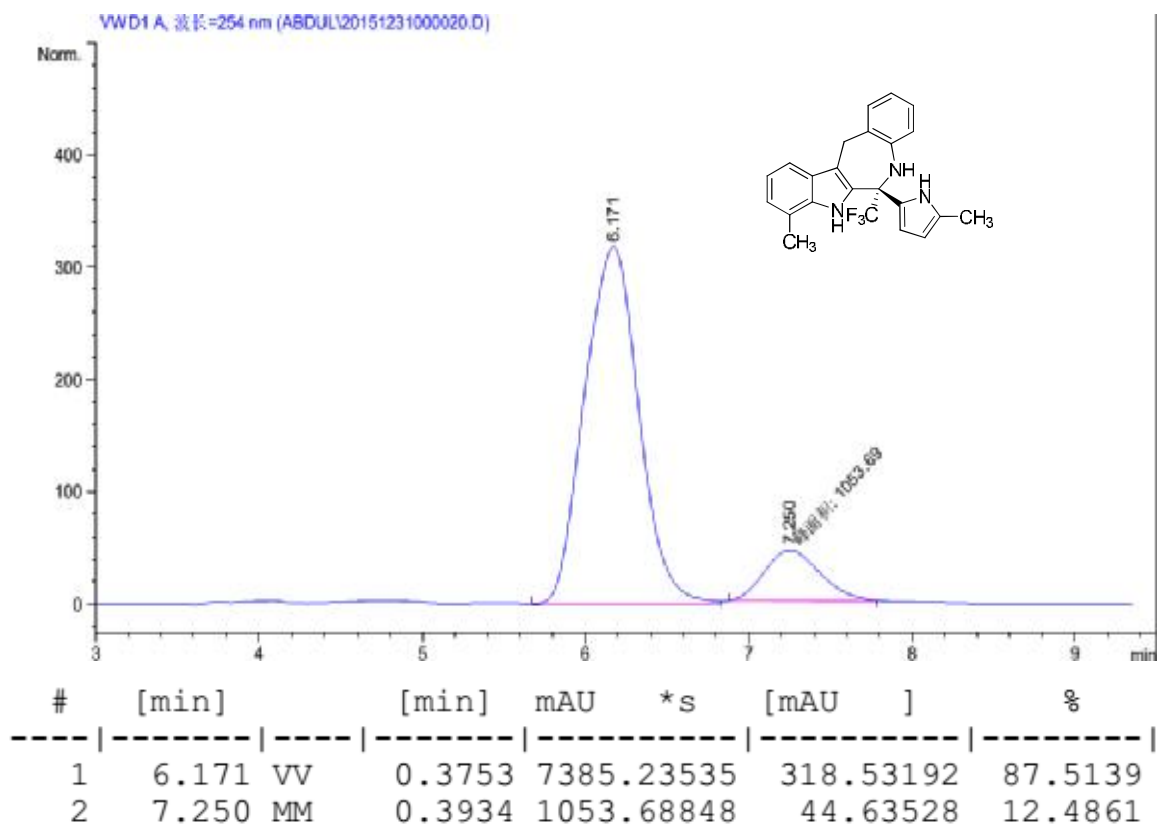
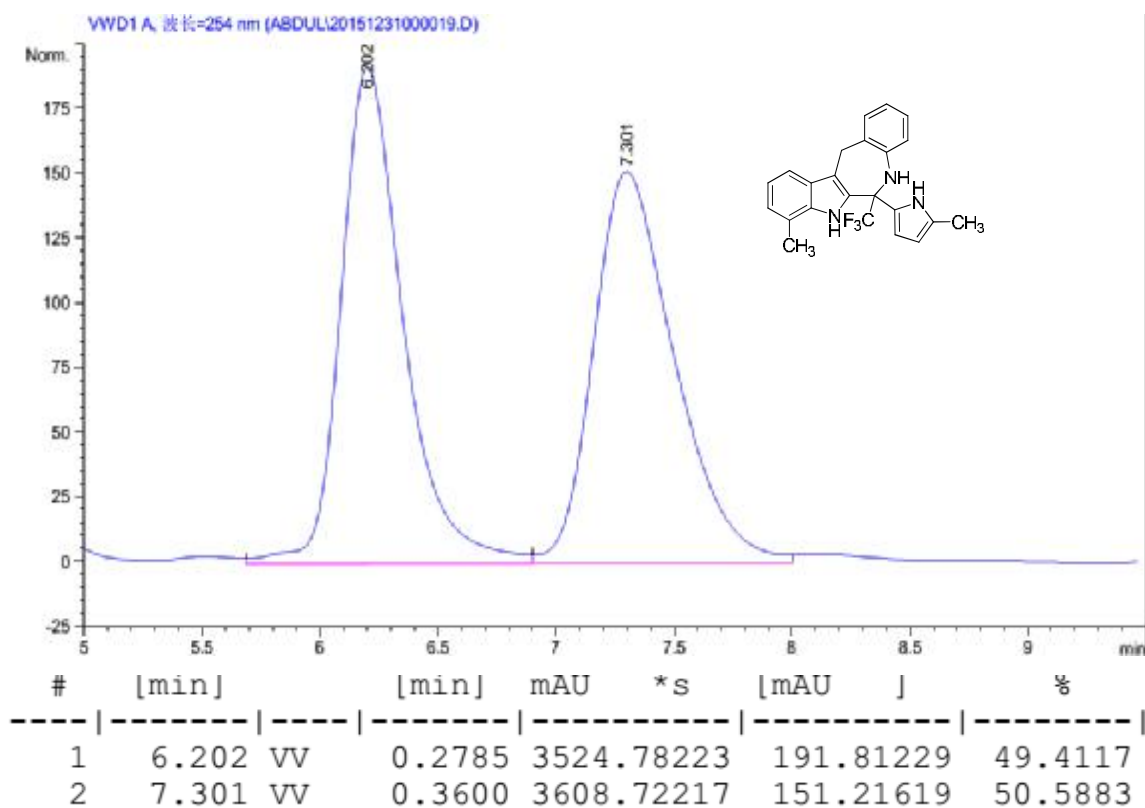
6-(2-pyrrolyl)-6-trifluoromethyl-2-bromo-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3g).



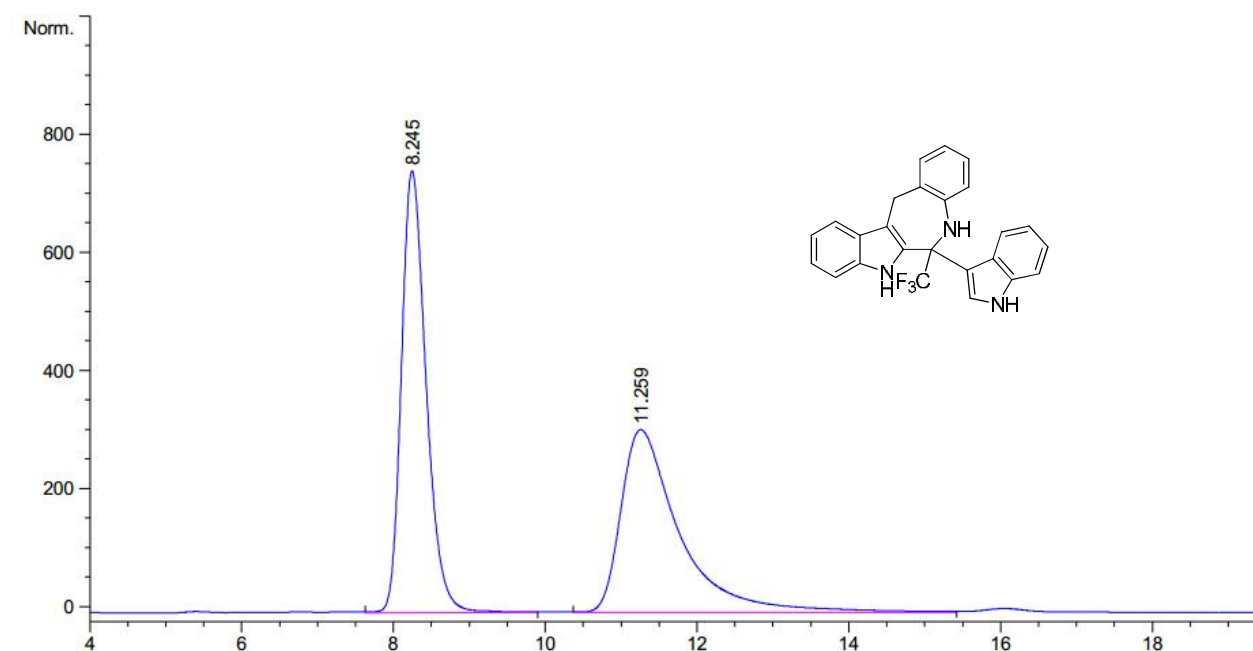
6-(2-pyrrolyl-5`-methyl)-6-trifluoromethyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3h).



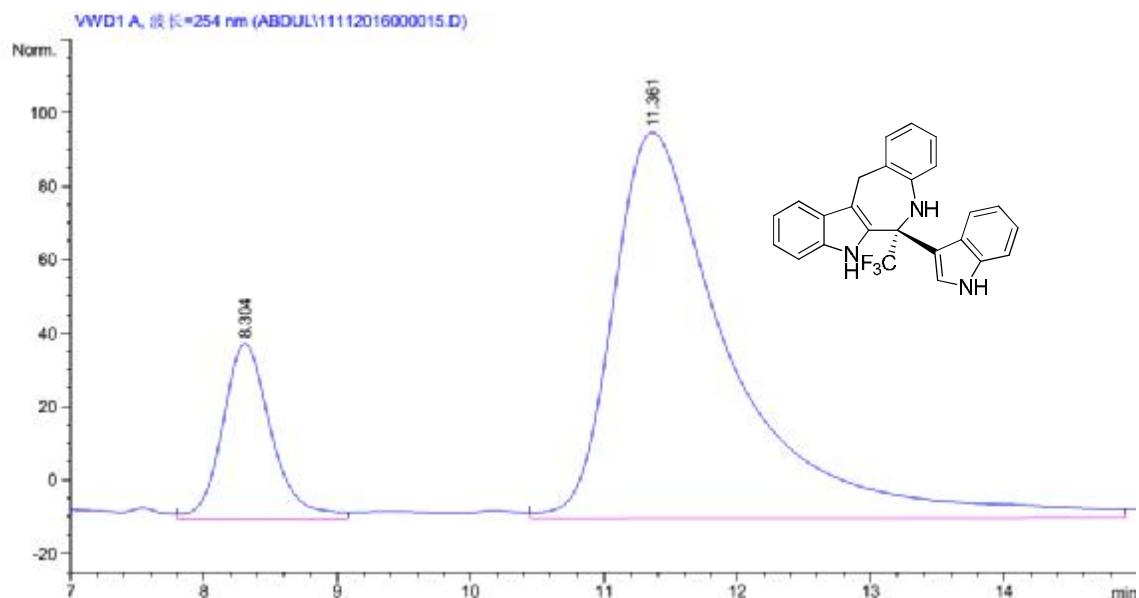
6-(2-pyrrolyl-5`-methyl)-6-trifluoromethyl-4-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (3i).



6-(3-indolyl)-6-trifluoromethyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8a).

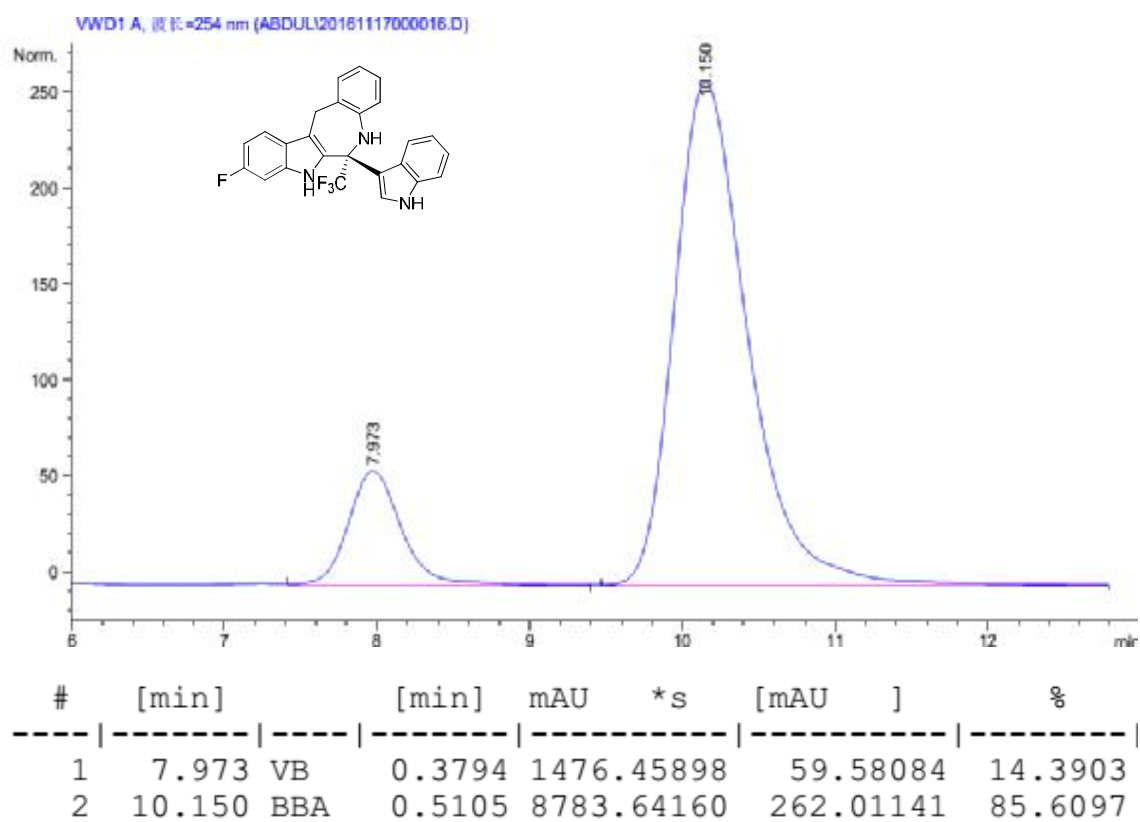
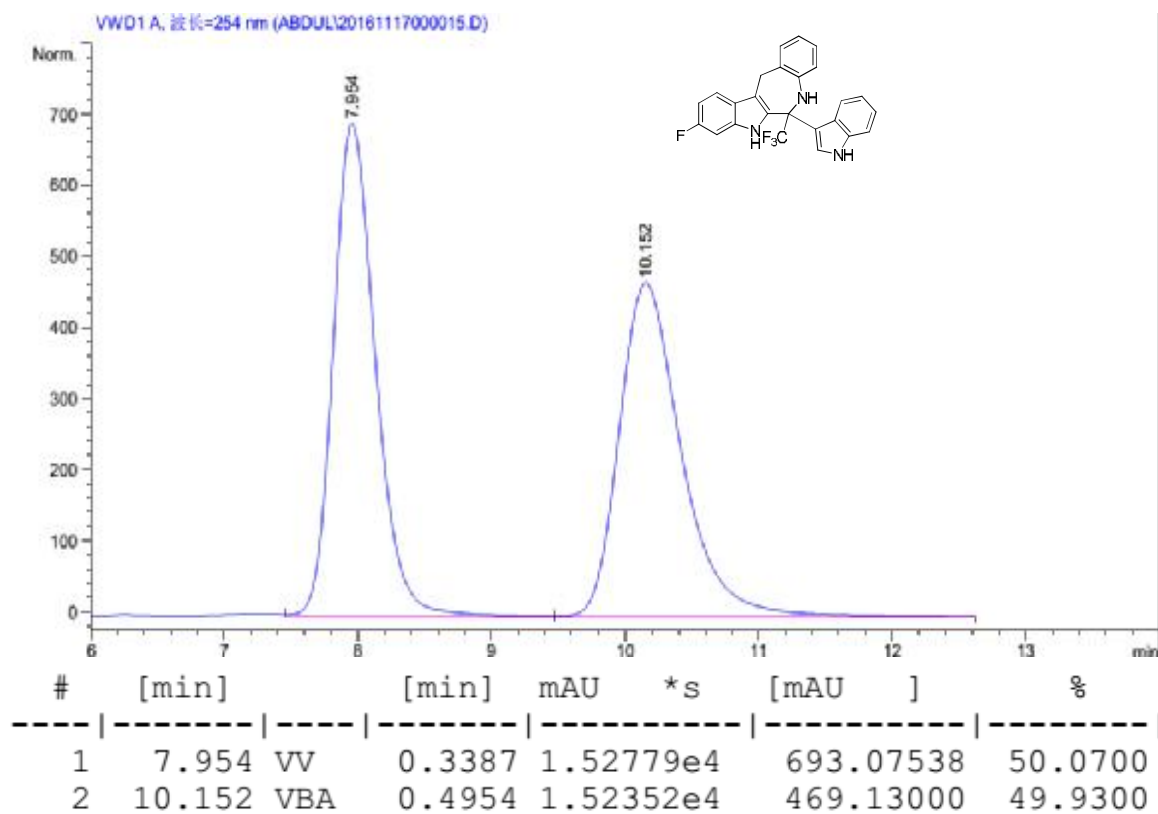


#	[min]		[min]	mAU	*s	[mAU]	%
1	8.245	VV	0.3492	1.69788e4		748.19708	50.3865
2	11.259	VV	0.7870	1.67183e4		309.71814	49.6135

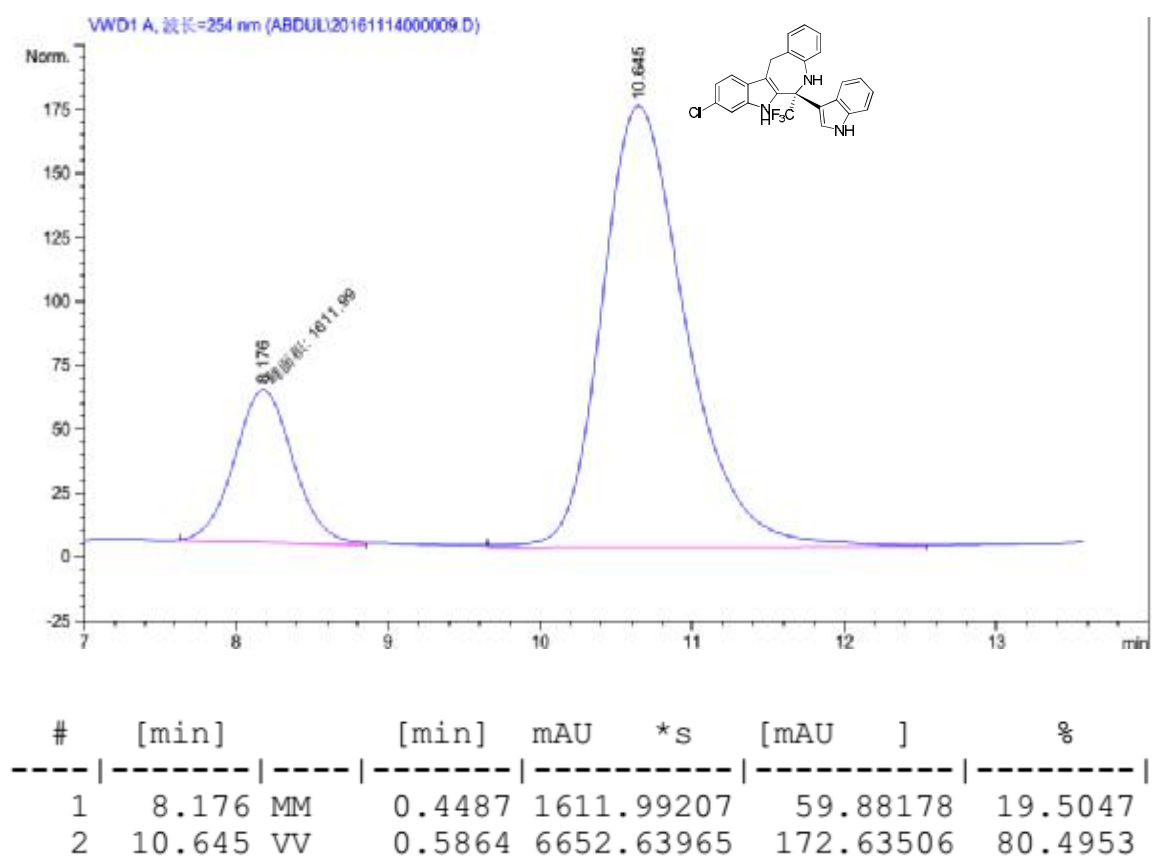
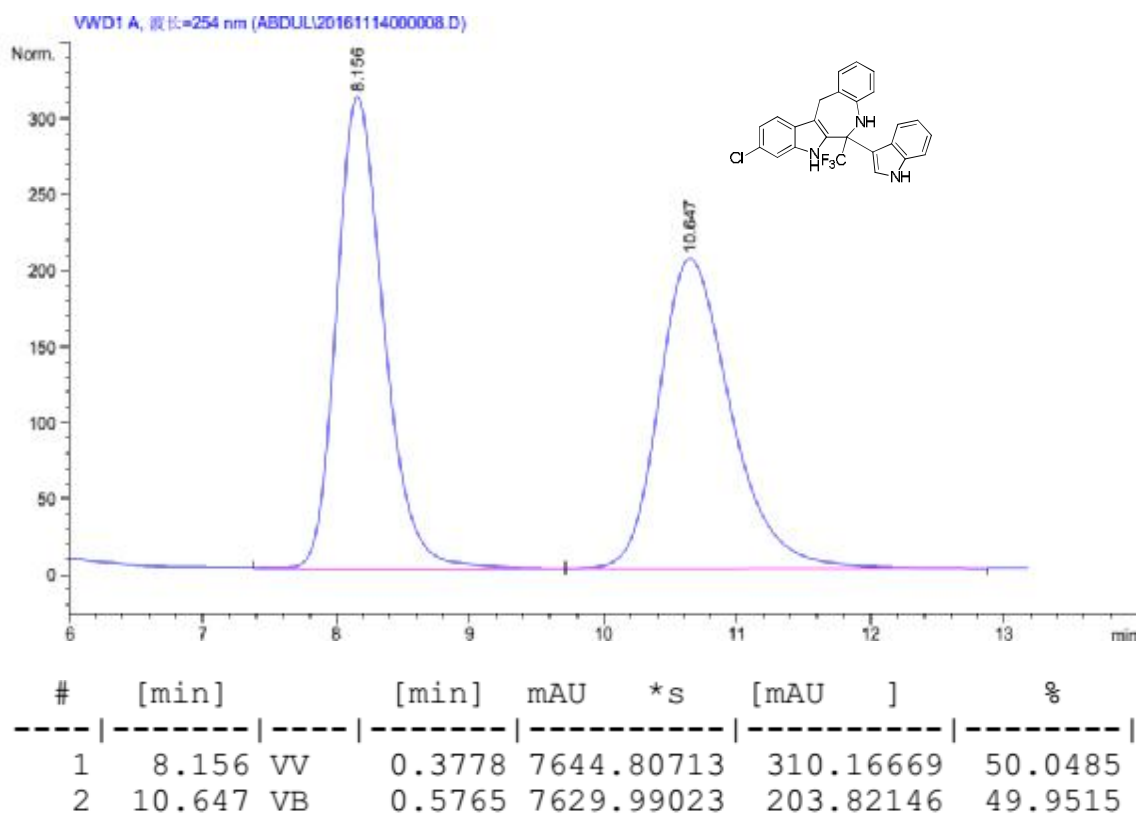


#	[min]		[min]	mAU	*s	[mAU]	%
1	8.304	VV	0.3844	1200.93213		47.62985	15.5954
2	11.361	VV	0.8964	6499.59717		105.07533	84.4046

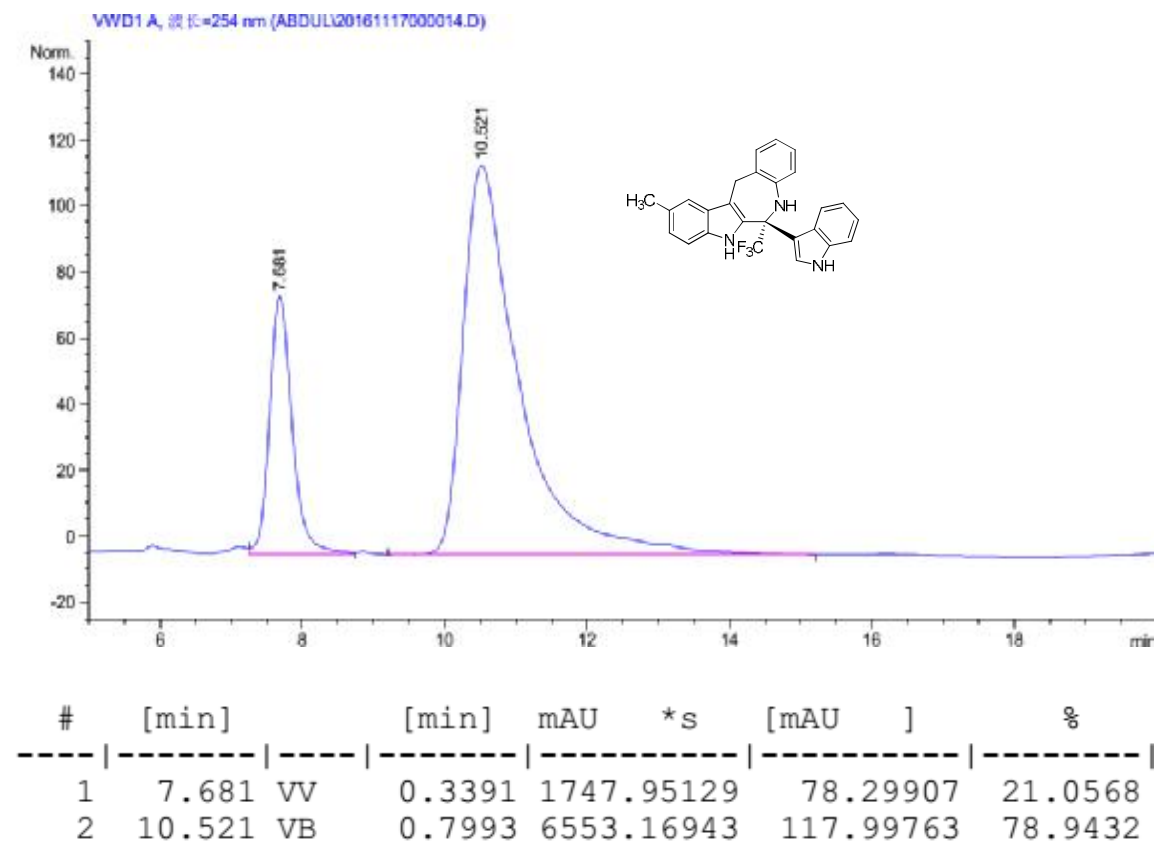
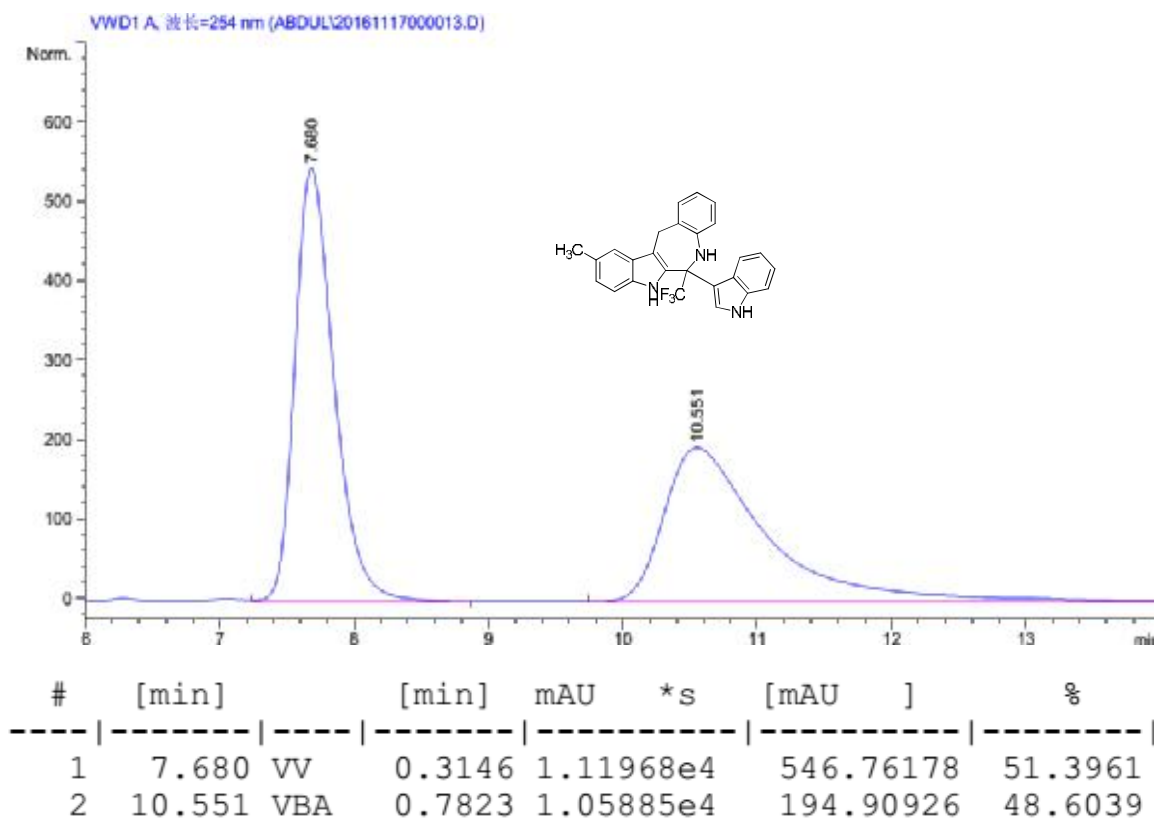
6-(3-indolyl)-6-trifluoromethyl-3-fluoro-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8b).



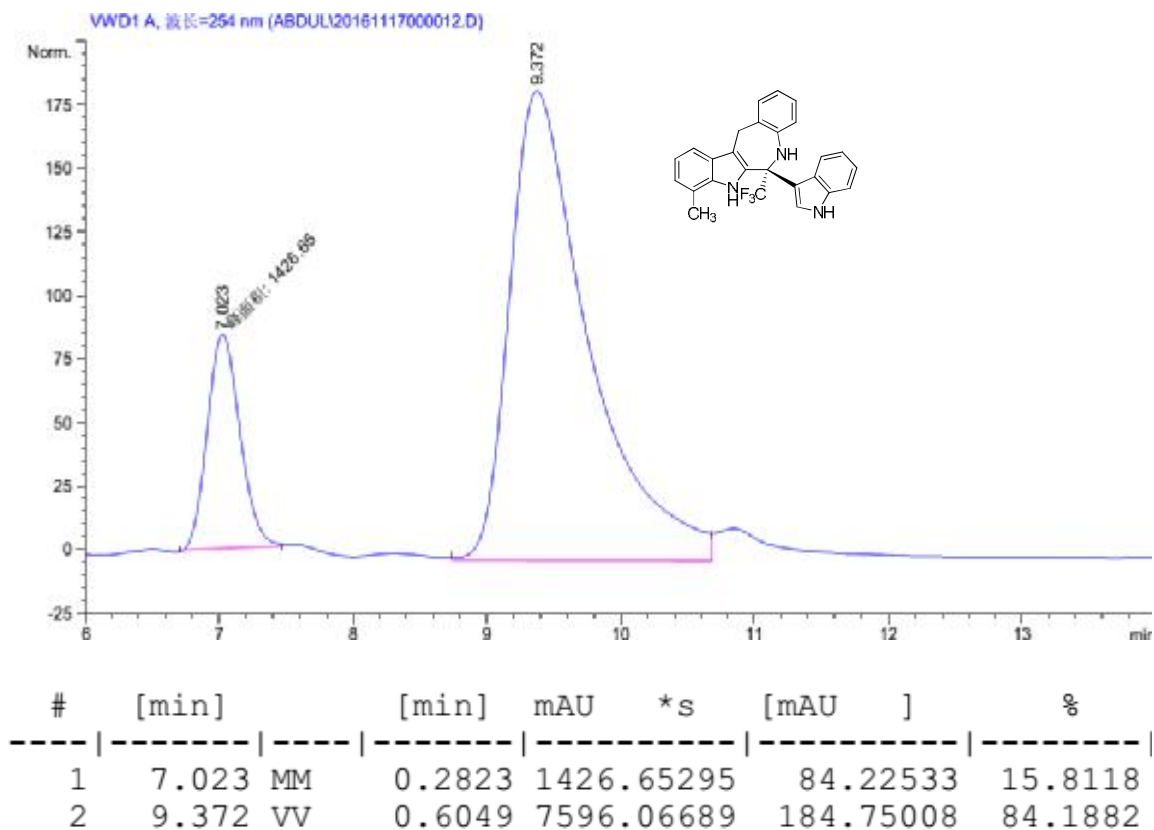
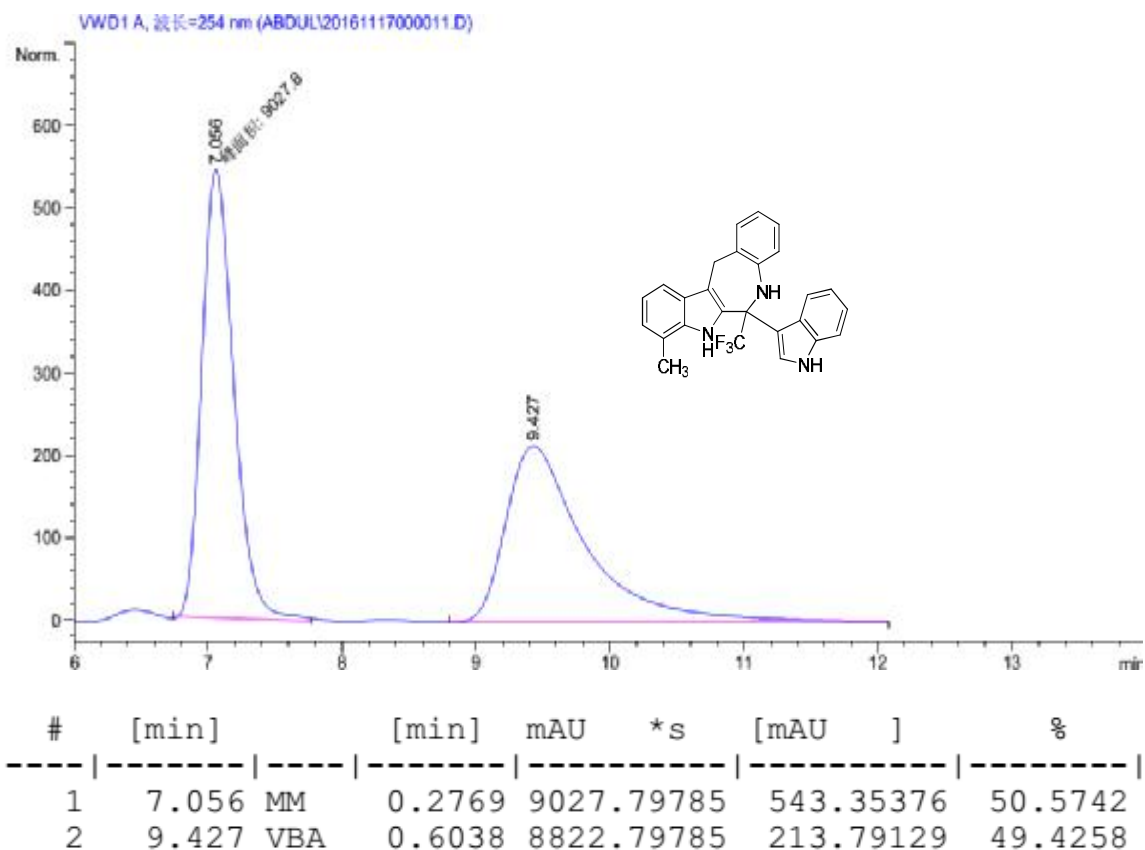
6-(3-indolyl)-6-trifluoromethyl-3-chloro-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8c).



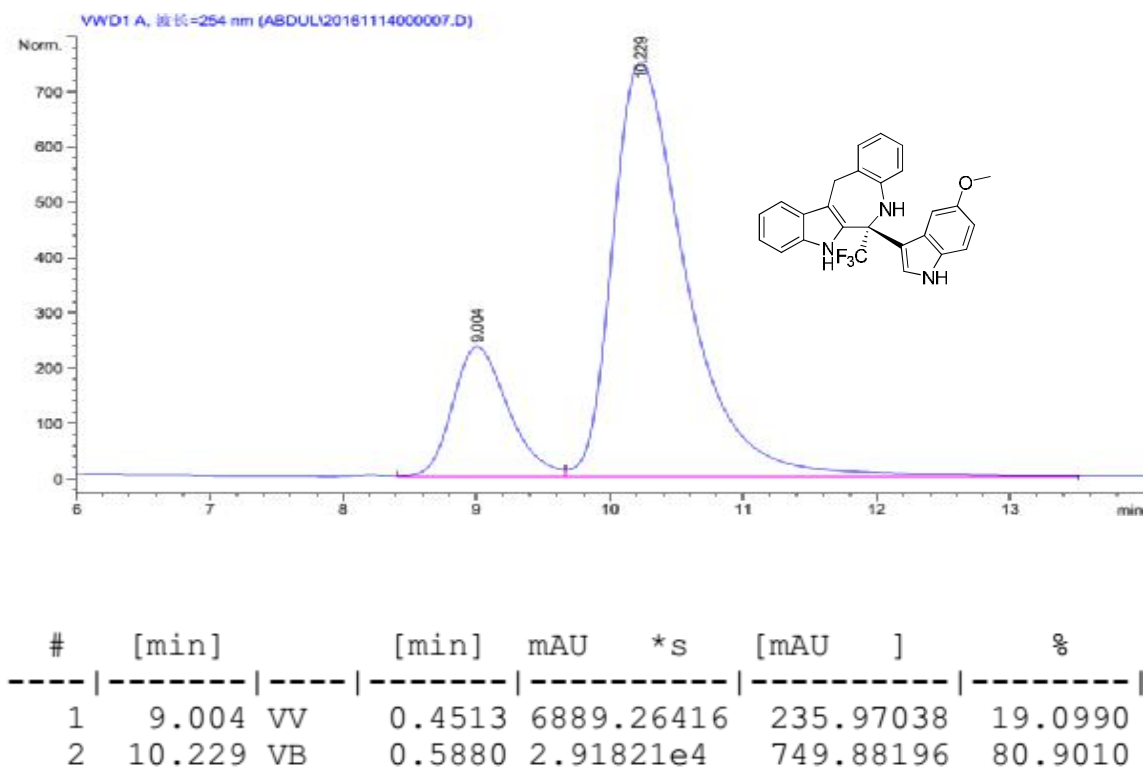
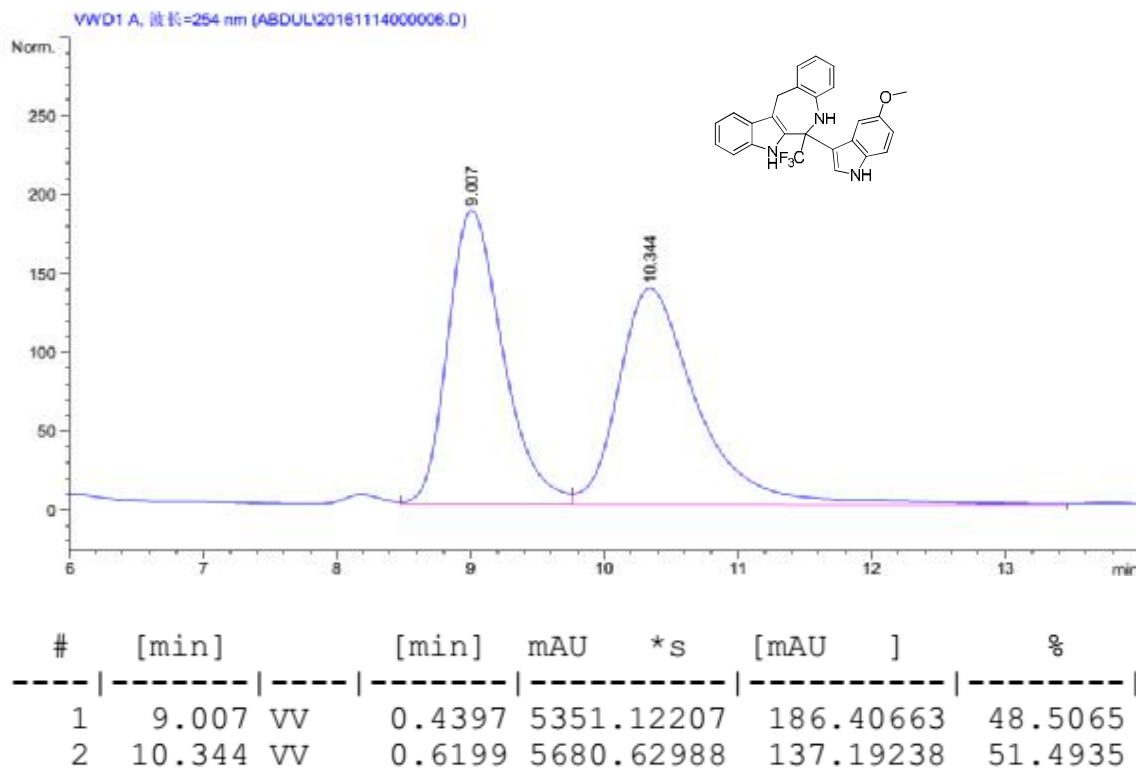
6-(3-indolyl)-6-trifluoromethyl-2-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8d).



6-(3-indolyl)-6-trifluoromethyl-4-methyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8e).

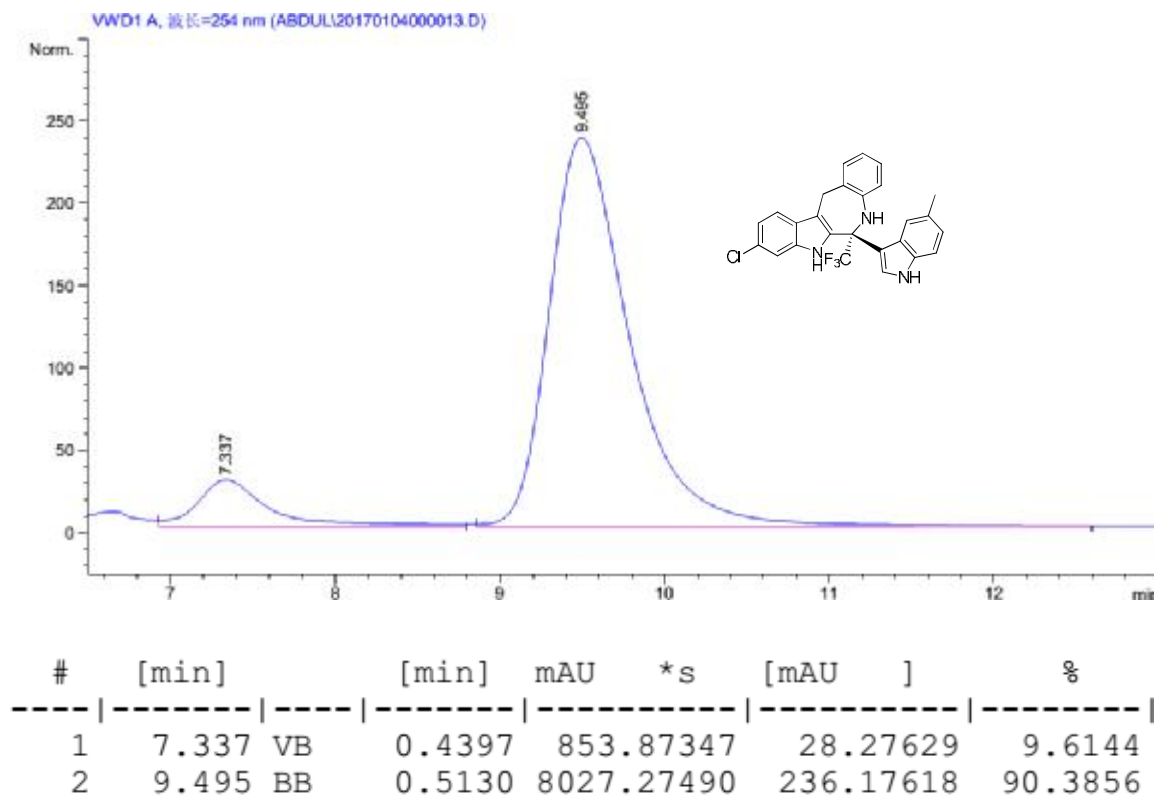
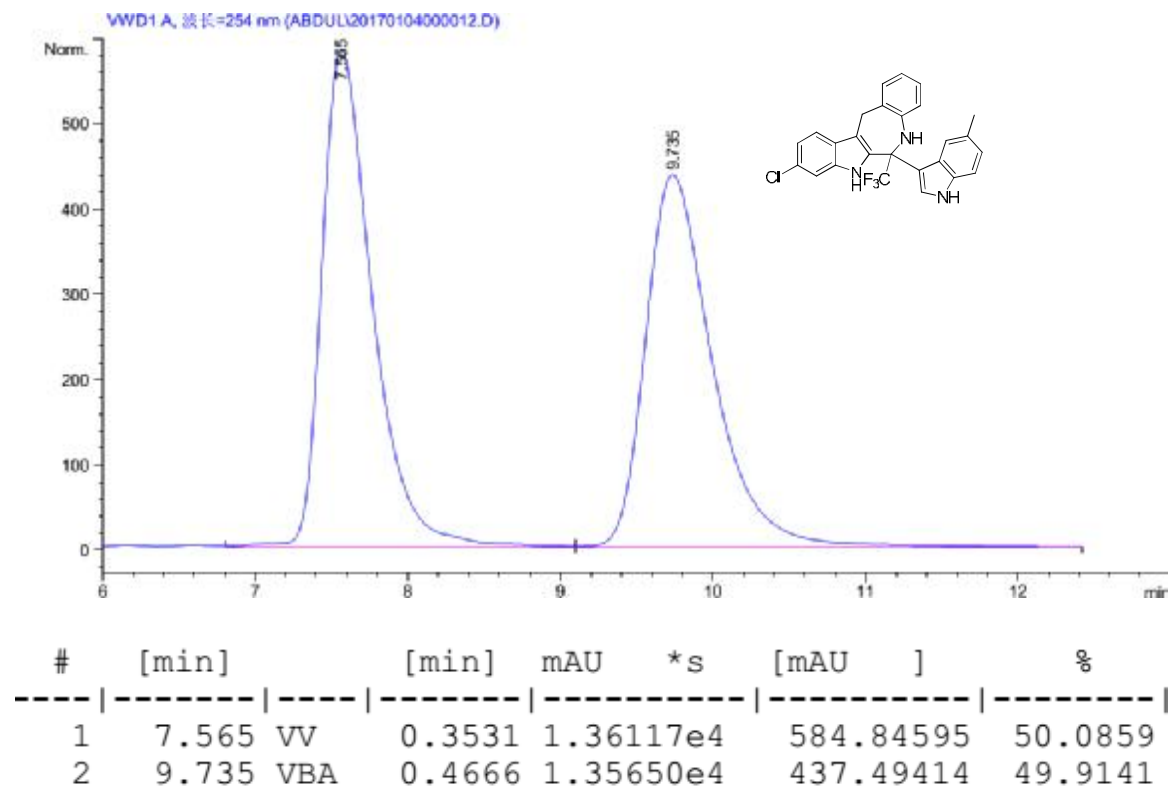


6-(3-indolyl-5'-methoxy)-6-trifluoromethyl-5,6,7,12-tetrahydroindolo[2,3-c][1]benzazepine (8f).

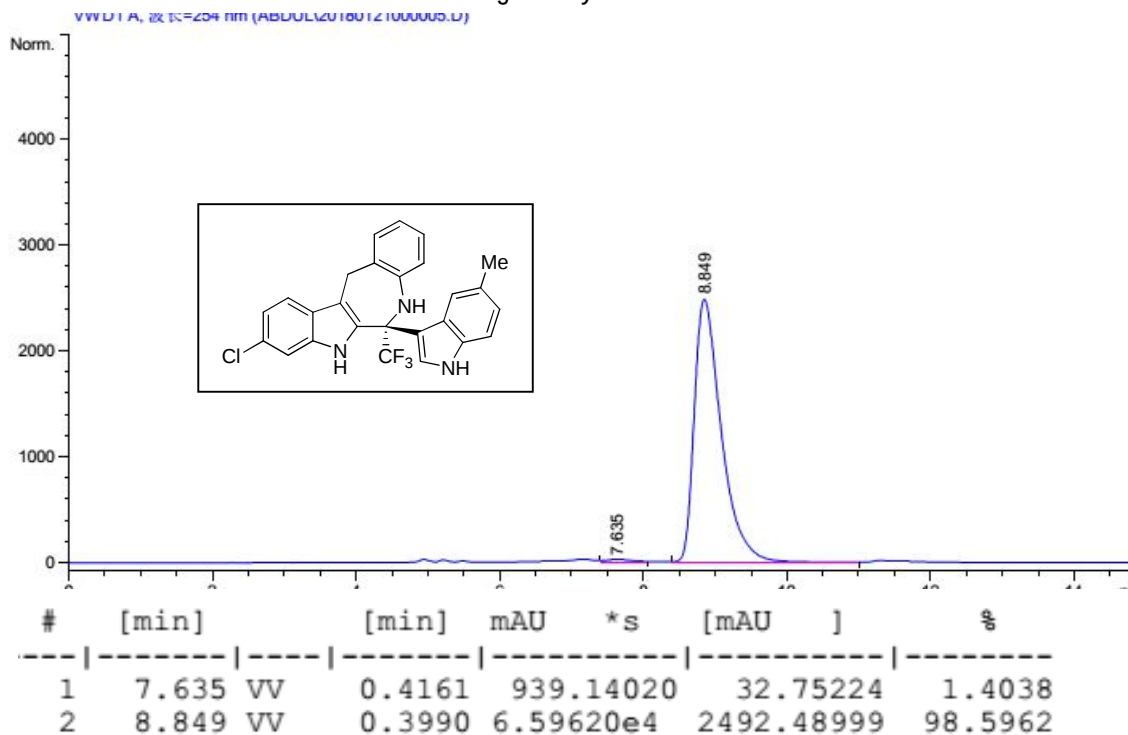


6-(3-indolyl-5'-methyl)-6-trifluoromethyl-3-chloro-5,6,7,12-tetrahydroindolo[2,3-c][1]

benzazepine (8g).

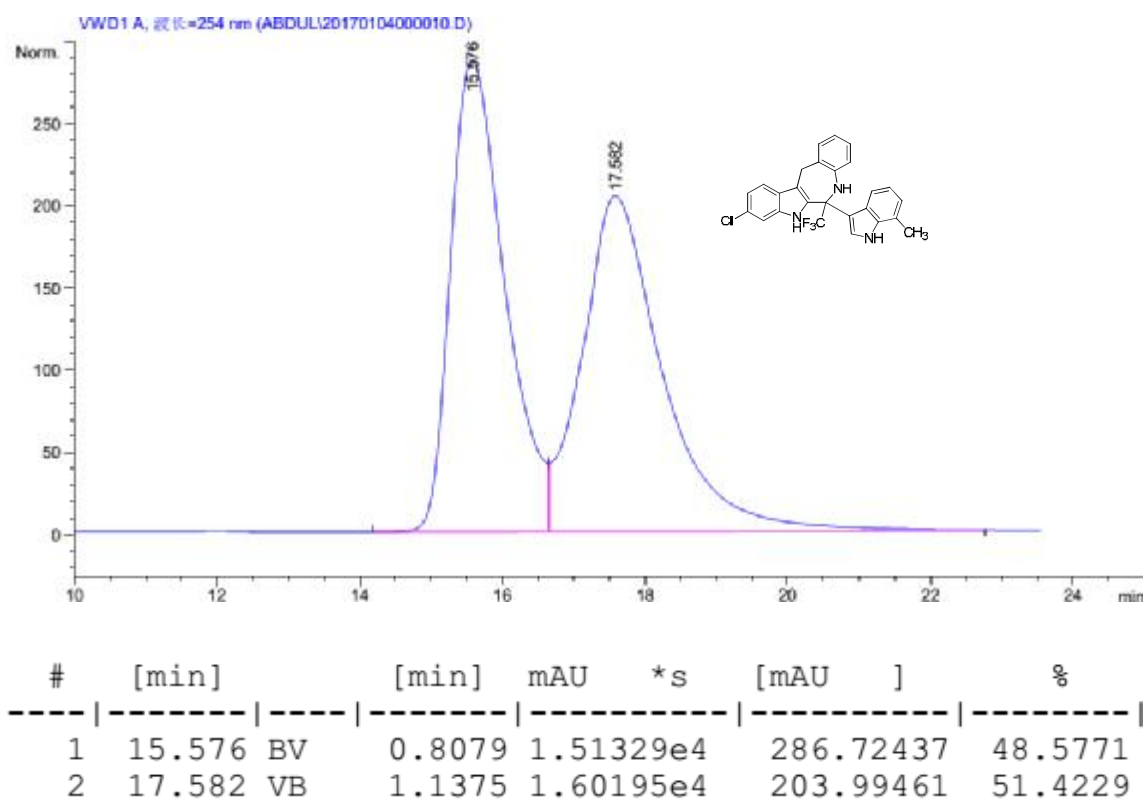


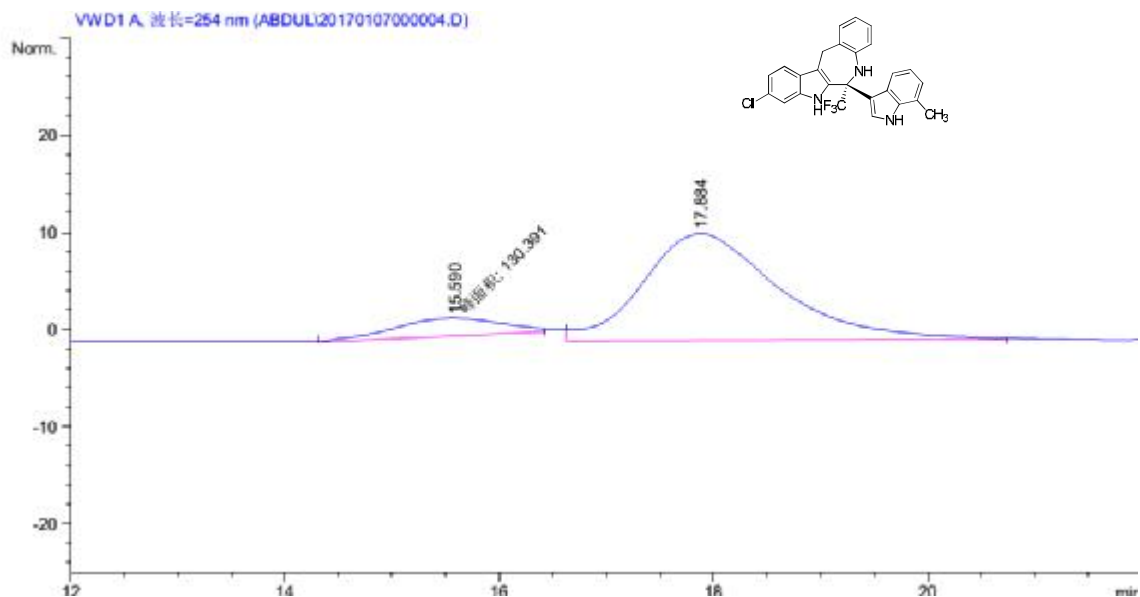
After one single recrystallization



6-(3-indolyl-7'-methyl)-6-trifluoromethyl-3-chloro-5,6,7,12-tetrahydroindolo[2,3-c][1]

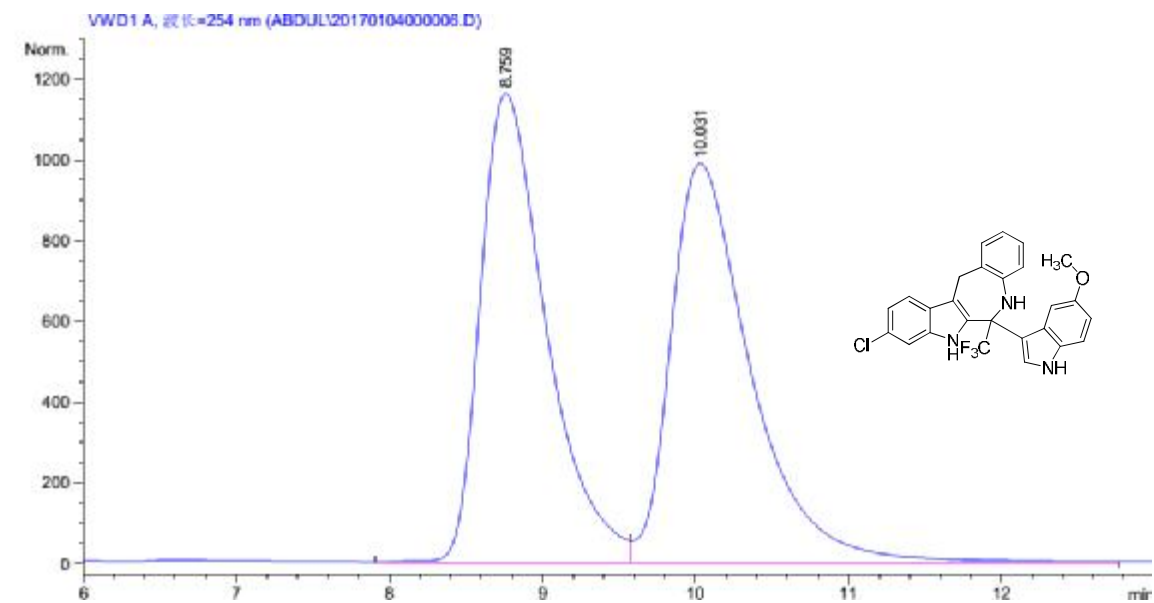
benzazepine (8h).



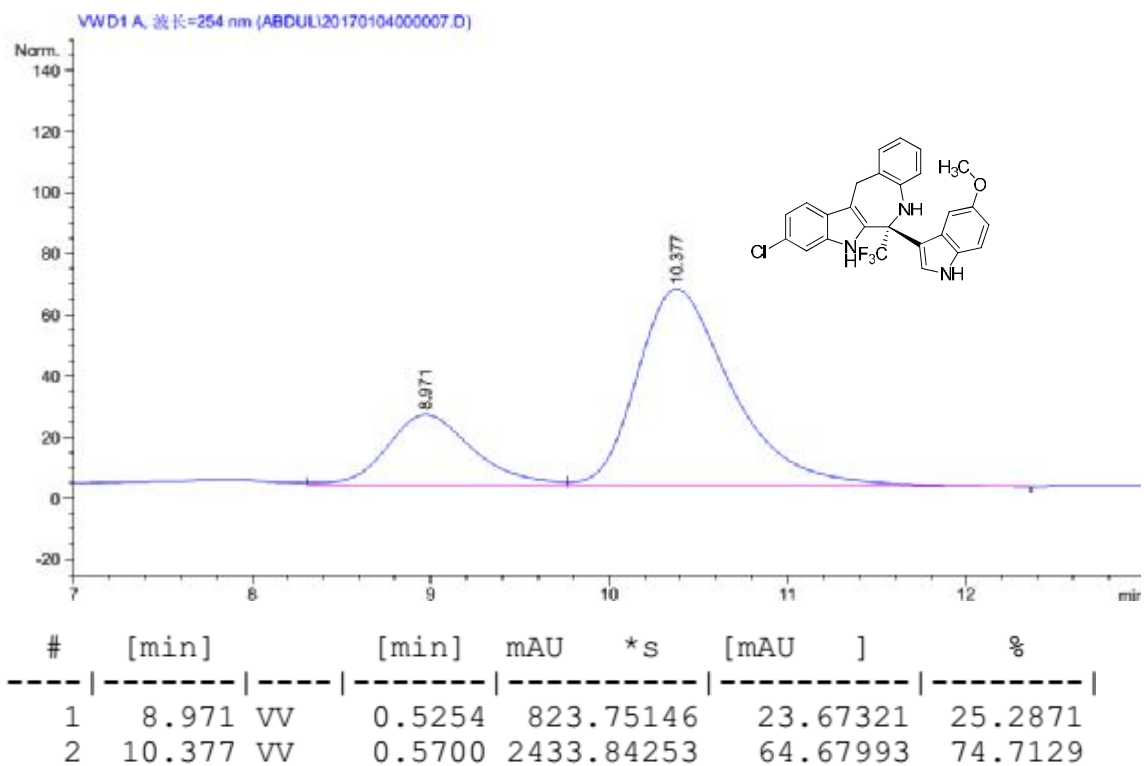


#	[min]		[min]	mAU	*s	[mAU]	%
1	15.590	MM	0.8544	130.39149		1.82500	11.8520
2	17.884	VB	1.2542	969.76898		11.01620	88.1480

6-(3-indolyl-5'-methoxy)-6-trifluoromethyl-3-chloro-5,6,7,12-tetrahydroindolo[2,3-c][1] benzazepine (8i).



#	[min]		[min]	mAU	*s	[mAU]	%
1	8.759	VV	0.4521	3.49375e4		1163.83240	49.0757
2	10.031	VV	0.5497	3.62535e4		989.69043	50.9243



Compound 8j.

