

Asymmetric α -Alkylation of β -keto esters and β -keto amides by Phase-transfer catalysis

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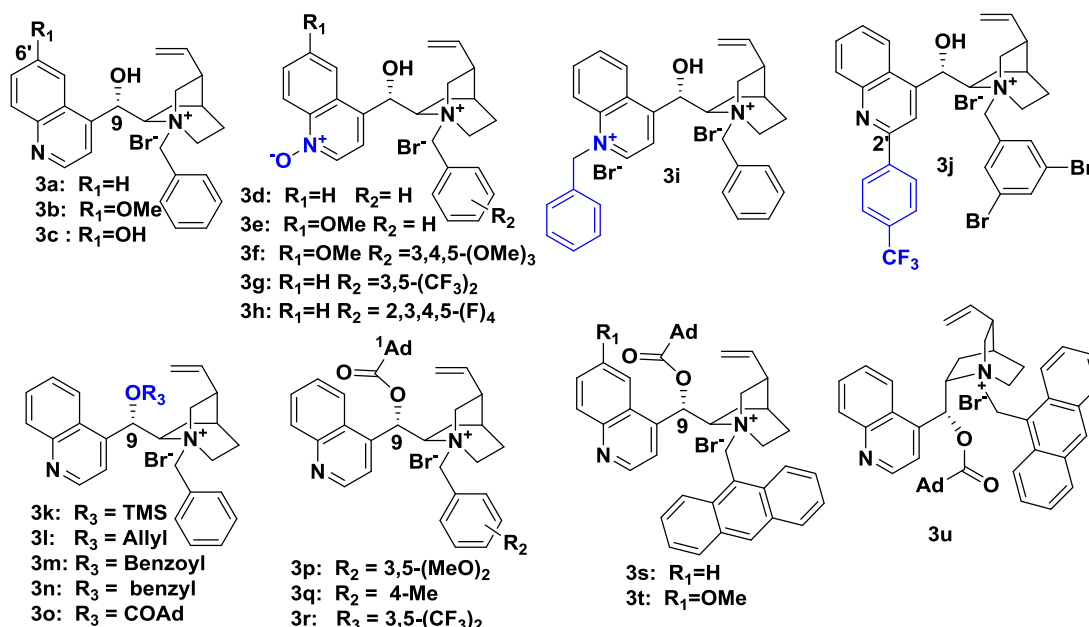
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A. General Information:

Unless otherwise stated, all commercial reagents and solvents were used without further additional purification. Analytical TLC was visualized with UV light at 254 nm. Thin layer chromatography was carried out on TLC aluminum sheets with silica gel 60 F₂₅₄. Purification of reaction products was carried out with chromatography on silica gel 60 (200-300 mesh)... ¹H NMR (400 MHz) spectra was obtained at 25 °C; ¹³C NMR (100 MHz) were recorded on a VARIAN INOVA-400M and AVANCE II 400 spectrometer at 25 °C. Chemical shifts are reported as δ (ppm) values relative to TMS as internal standard and coupling constants (J) in Hz. The enantiomeric excesses (*ee*) were determined by HPLC. HPLC analyses were performed on equipped with Diacel Chiralpak AD-H, OJ-H and AS-H chiral column (0.46 cm $\times$ 25 cm), using mixtures of n-hexane/isopropyl alcohol as mobile phase, at 25 °C. Mass spectra are reported by using electron ionization and electrospray ionization techniques. Melting points were determined with a hot plate apparatus. Optical rotations were measured on a digital polarimeter with a sodium lamp at 25 °C.

Materials:

1. Phase transfer catalysts:



Cinchona alkaloid catalysts **3a**, **3c**, **3i**, **3j** **3k-3u** were prepared according to our previous papers (*Eur. J. Org. Chem.* **2010**, 34, 6525–6530; *J. Org.Chem.* **2012**, 77, 9601–9608; *Green Chemistry* **2016**, 18, 5493-5499). The *N*-oxide catalysts **3d-3h** were prepared according to the previous paper (*J. Org.Chem.* **2016**, 81, 7042-7050).

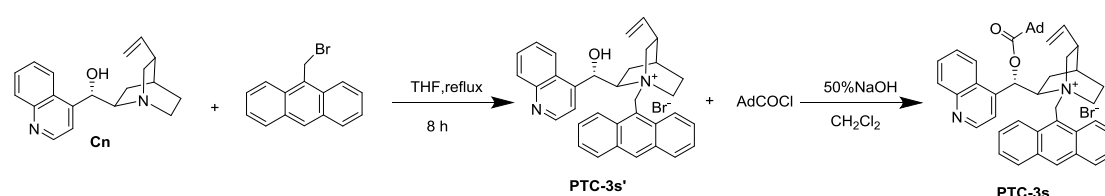
2. β -keto esters and β -keto amides

β -keto esters **1a-1t** β -keto amides **5a-5i** were prepared according to the literature procedure (*Eur. J. Org. Chem.* **2010**, 34, 6525–6530; *Synlett.* **2011**, 425–429; *Green Chemistry* **2016**, 18, 5493–5499)

3. Commercial grade reagents and solvents:

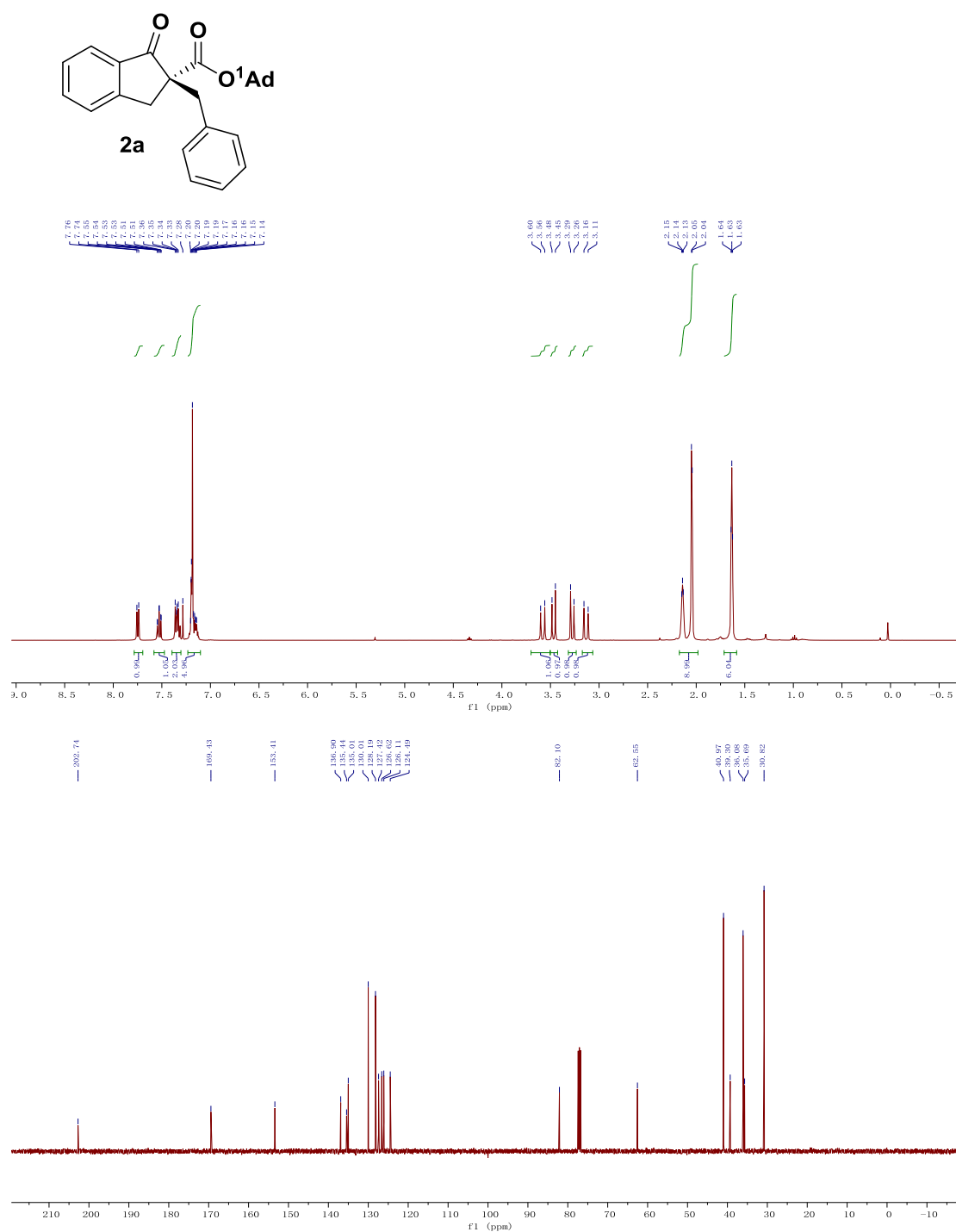
Halohydrocarbons was purchased from Meryer and Energy-Chemical; Commercial grade reagents, bases and solvents were purchased from Sinoreagent, Meryer and Energy-Chemical without further purifications.

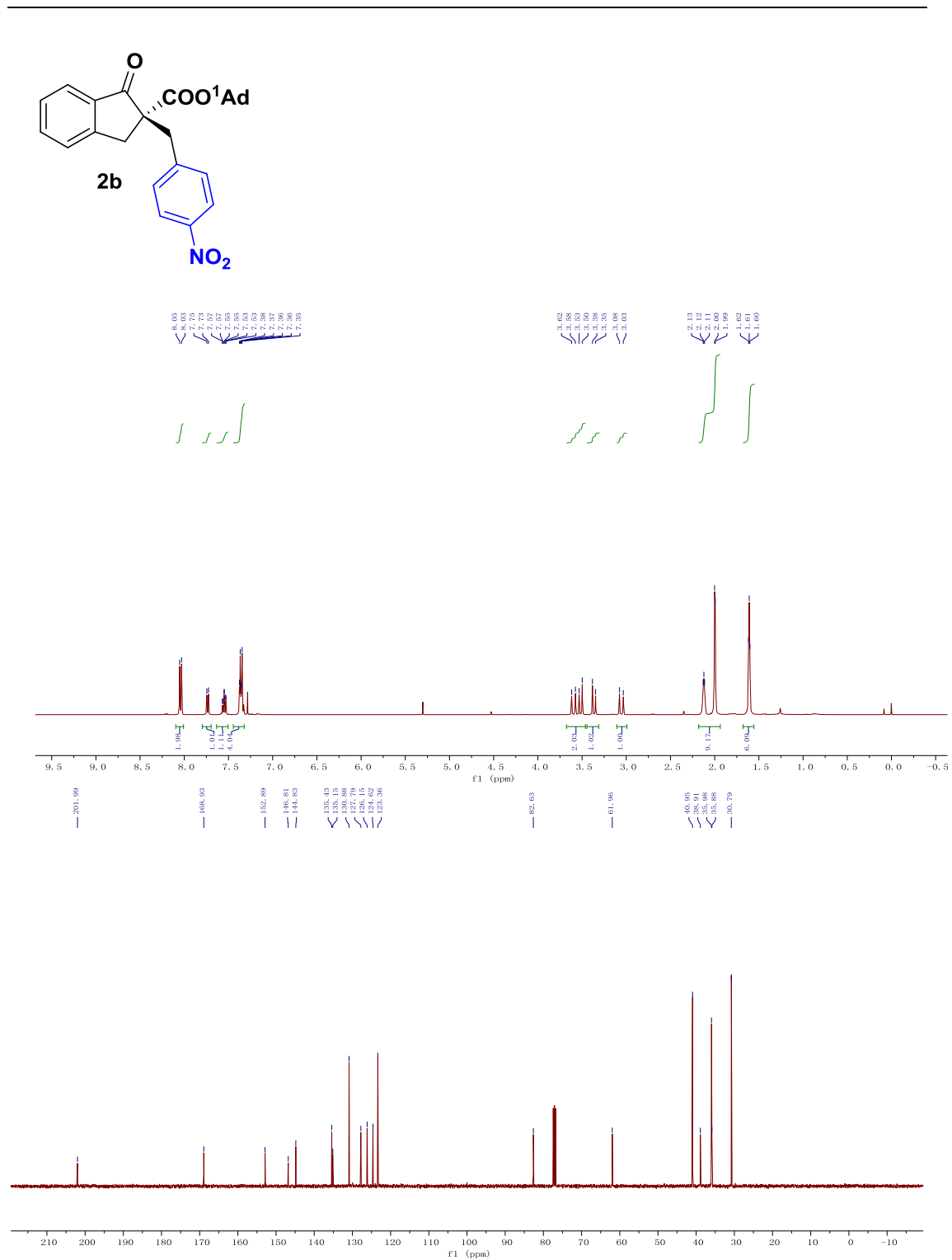
4. General Procedure for the Synthesis of PTC **3s**

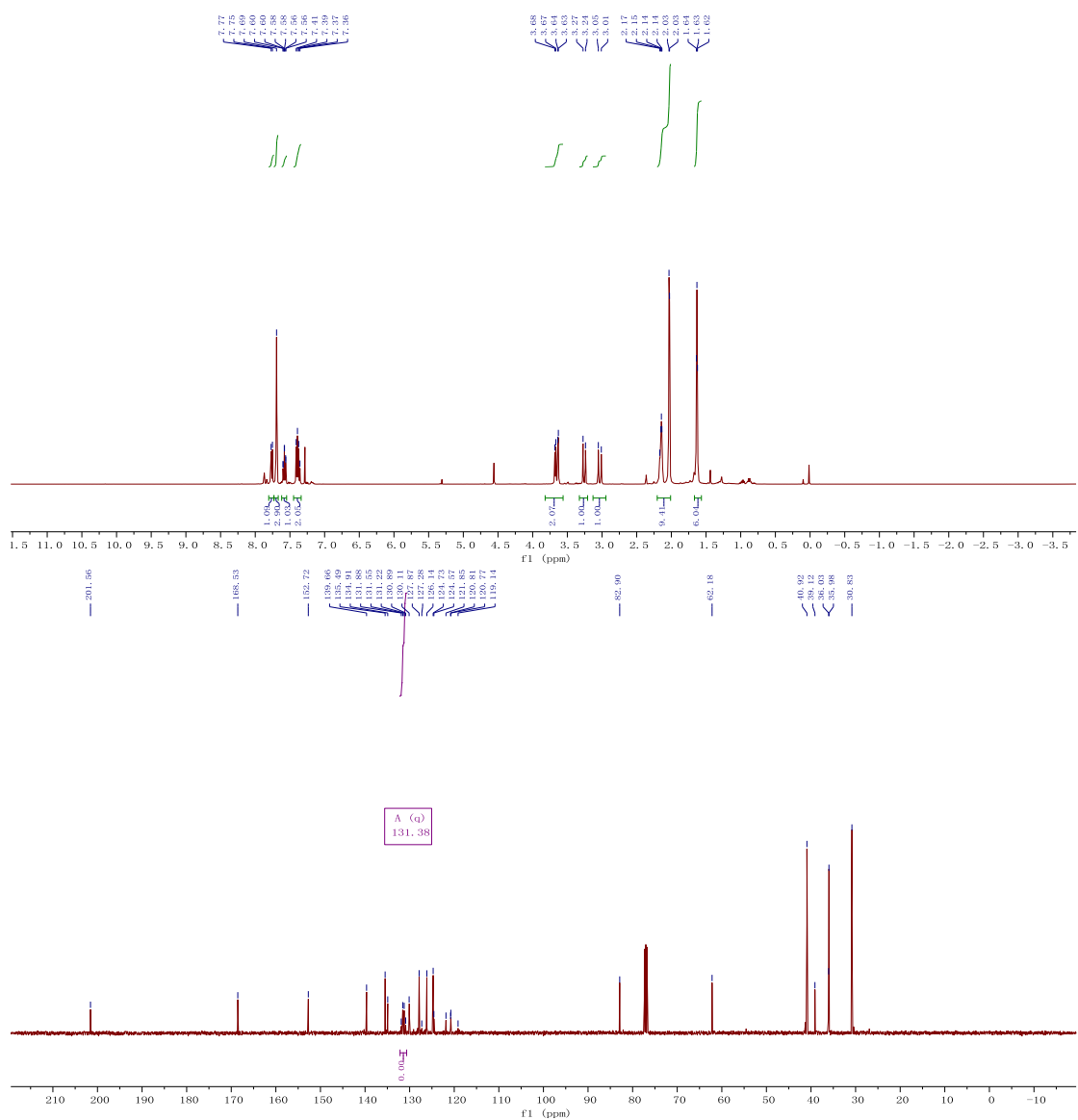


The phase-transfer catalysts **3s** was synthesized by an analogous procedure as reported by Jorgensen (*Angew.Chem., Int. Ed.* **2006**, 45, 6551–6554). To a flame-dried flask equipped with a magnetic stirring bar and a reflux condenser was added **Cn** (1.47 g, 5 mmol), THF (50 mL), and 9-(bromomethyl)anthracene (1.75g, 6.5 mmol). The mixture was heated to reflux under N₂ for 8 hours and then cooled to room temperature and poured onto Et₂O (150 mL) with stirring. The resulting suspension was stirred for 1 h and the precipitated solids were isolated by filtration, which was recrystallized from MeOH/Et₂O to afford the product **3s'** as yellow crystal (2.06 g, 71% yield). To a flame-dried flask equipped with a magnetic stirring bar was added **3s'** (0.56g, 1 mmol), CH₂Cl₂ (10 mL) and adamantane carbonyl chloride (0.6 g, 3.2 mmol). Then 50% w/w NaOH solution (1 mL) was added, and the suspension was stirred vigorously at room temperature for 30 min, during which time the solid fully dissolved. Water (15 mL) was then added, and the two layers were separated. The aqueous layer was extracted with CH₂Cl₂ (3 × 10 mL). The combined organic fractions were dried by Na₂SO₄, filtered, and concentrated to 3 mL and then poured onto Et₂O (30 mL). The precipitate was filtered and washed thoroughly with Et₂O to give the product **3s** as a white solid (0.46 g, 64% yield)

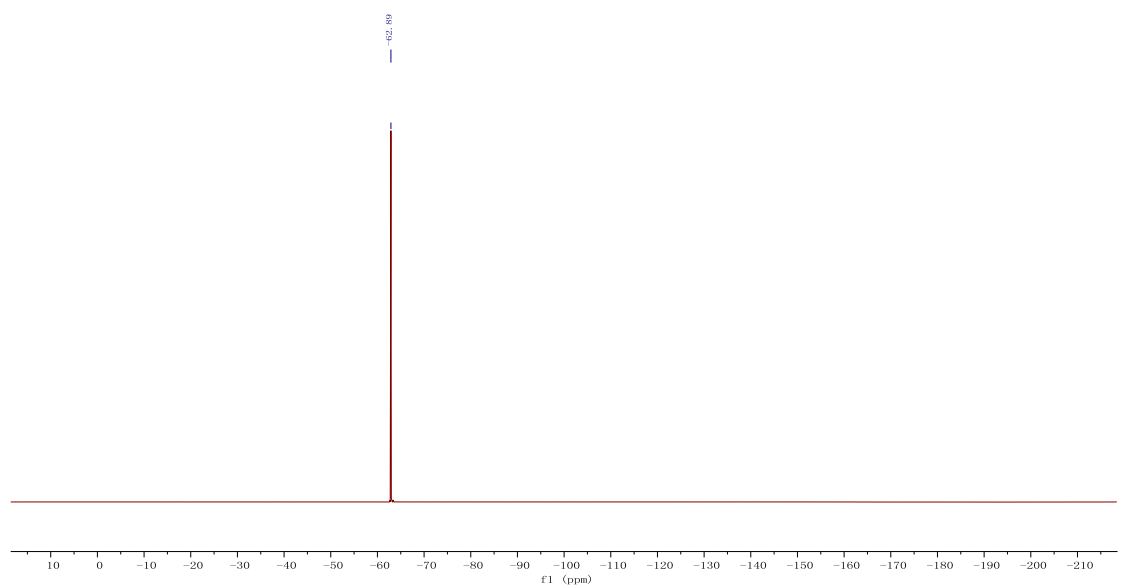
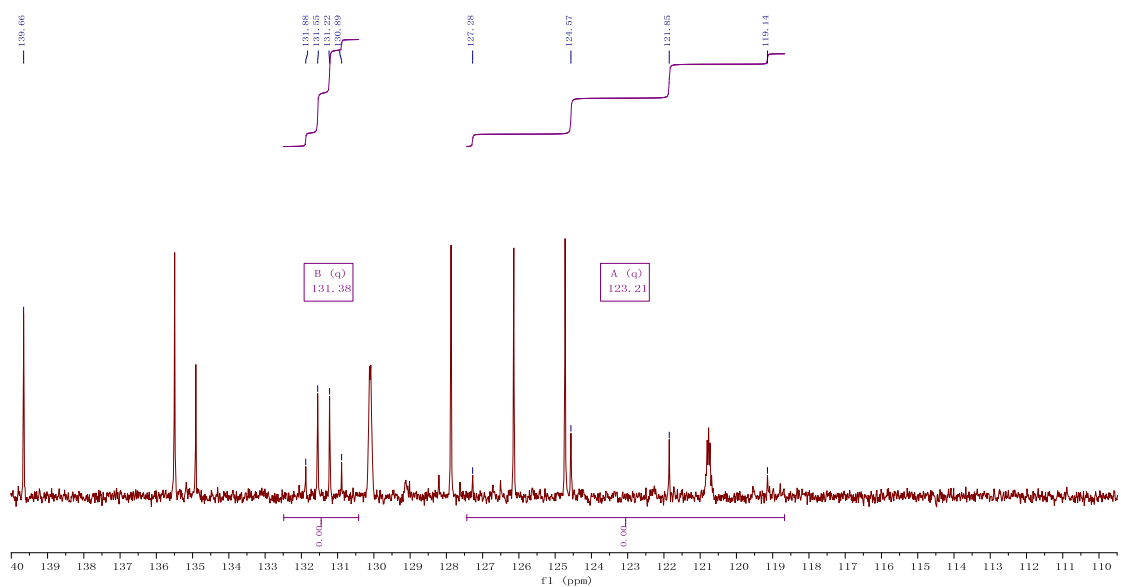
C. NMR spectra and HPLC

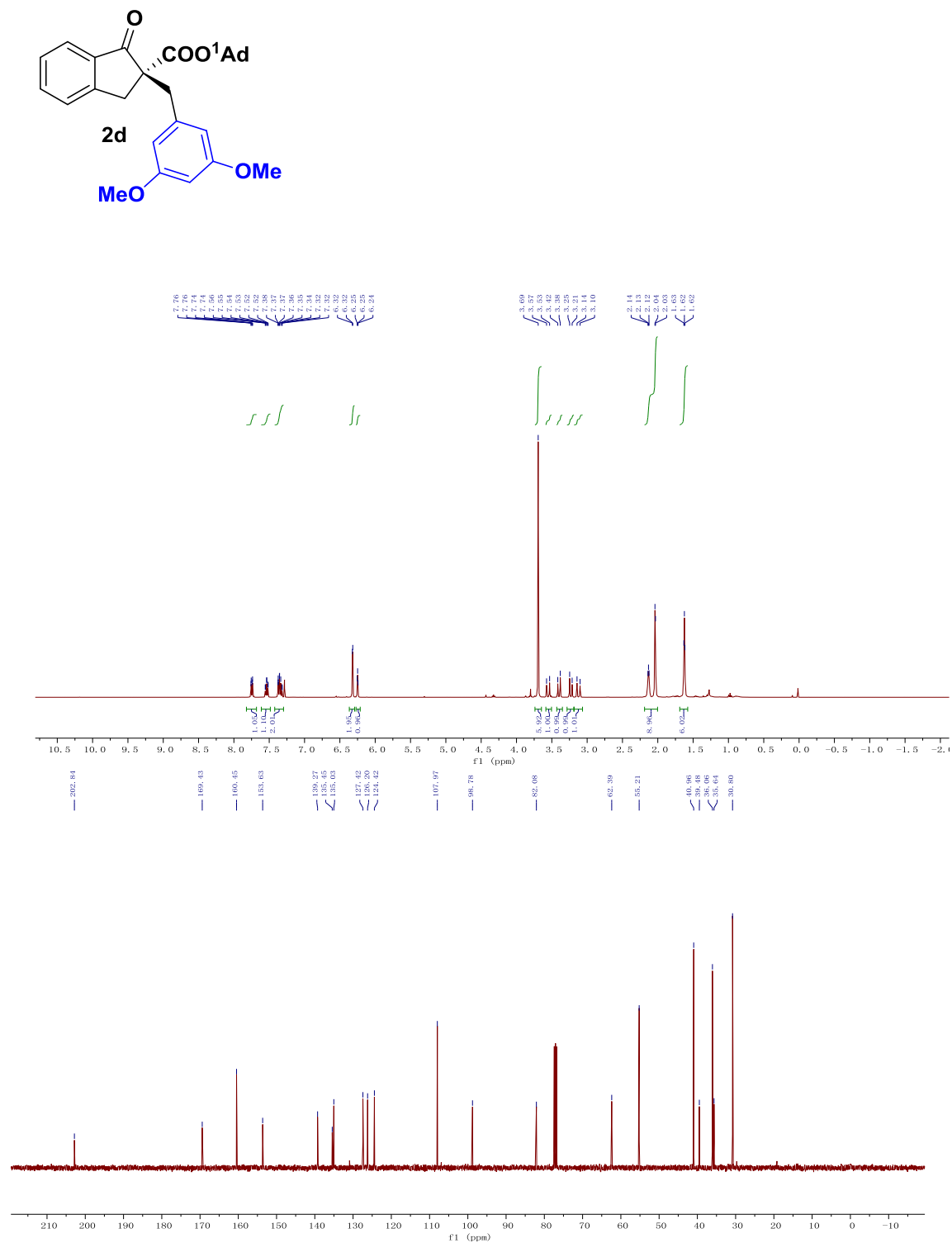


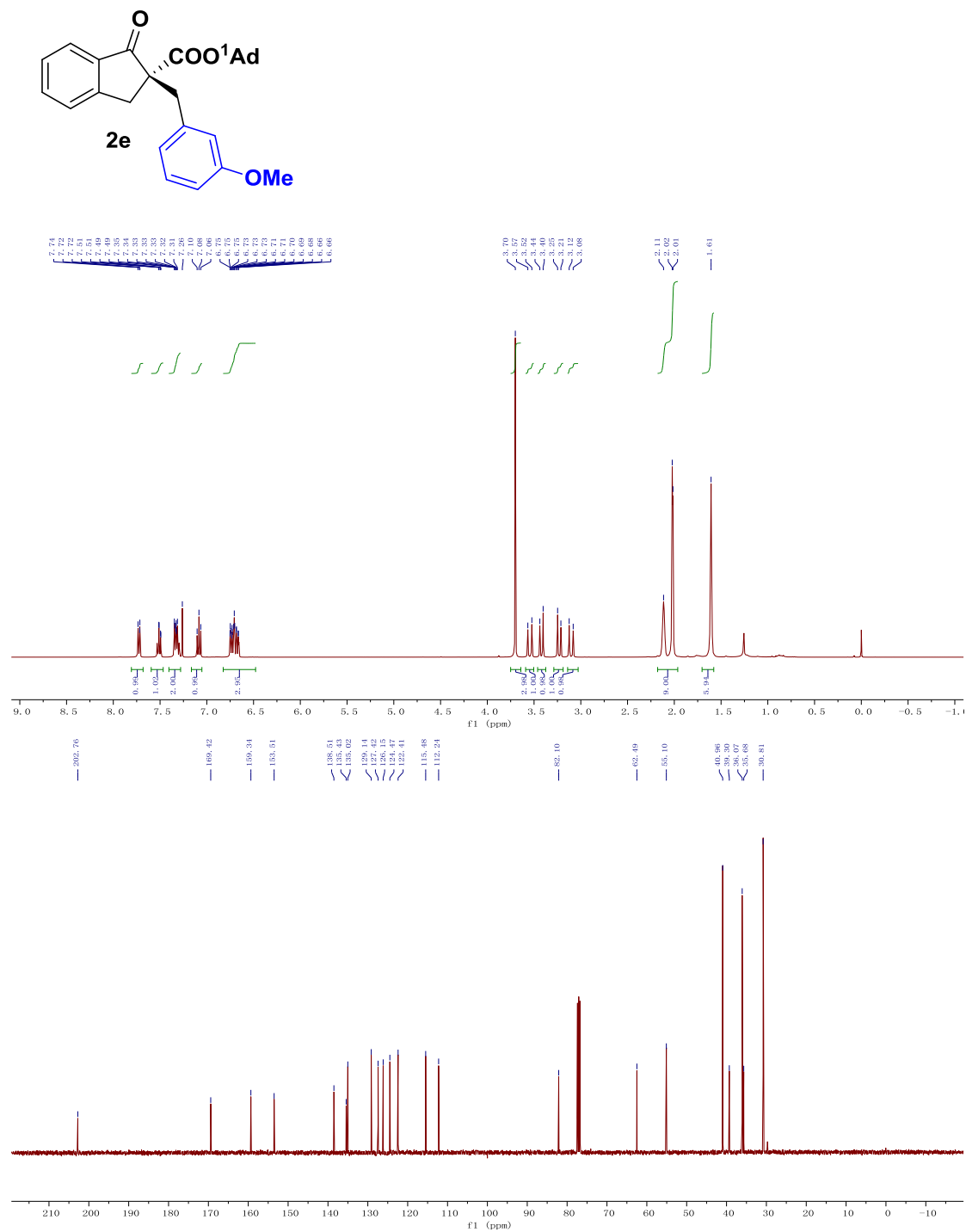




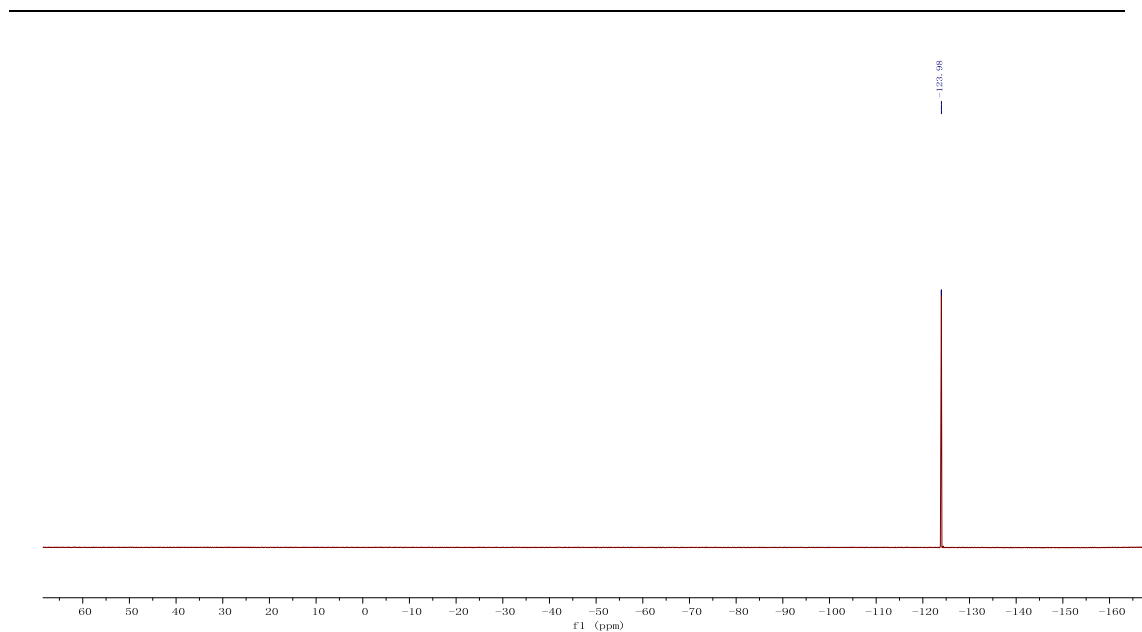
^{13}C NMR (101 MHz, Chloroform- d_3) δ 131.38 (q, $J = 33.2$ Hz), 123.21 (q, $J = 272.7$ Hz).

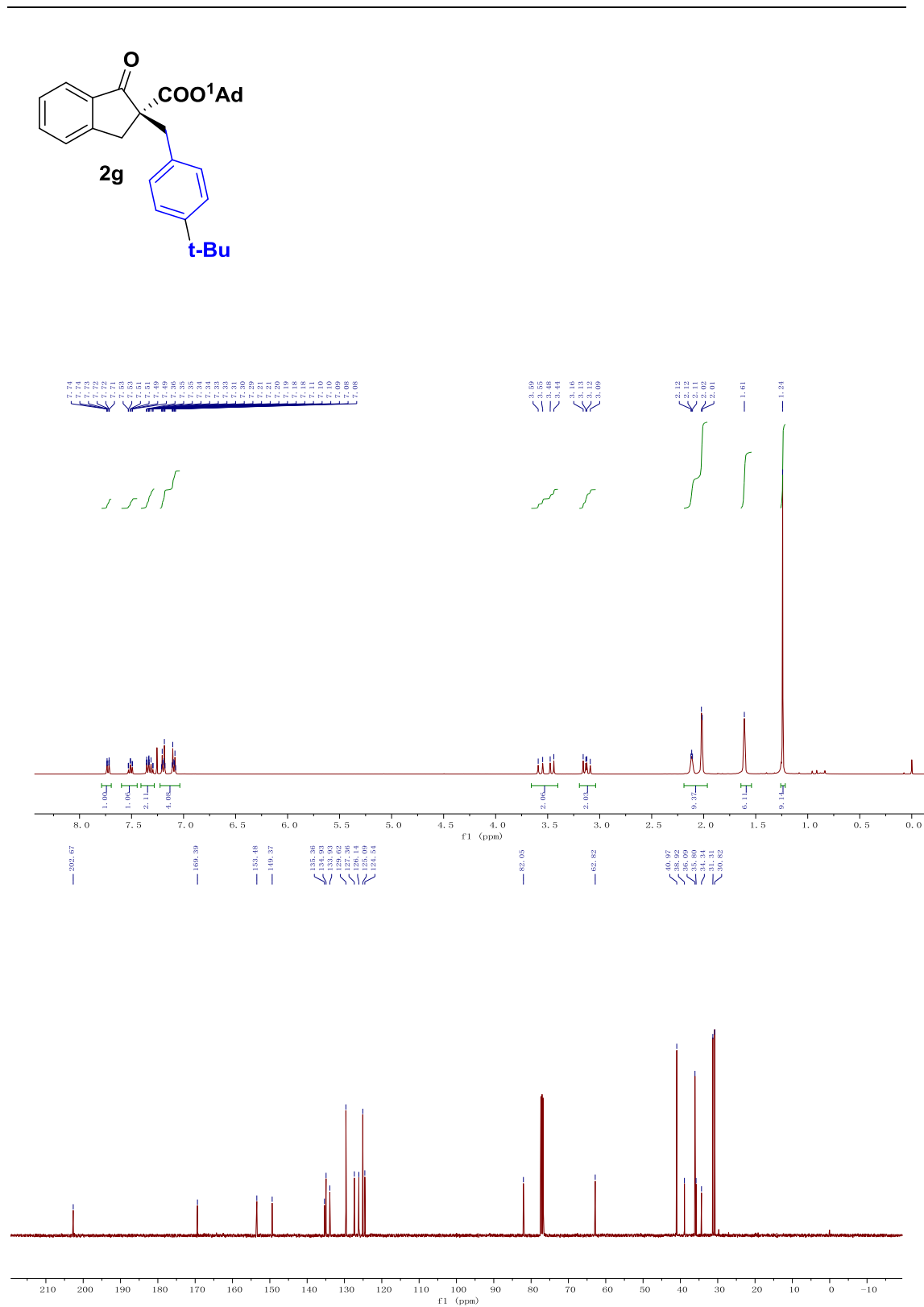


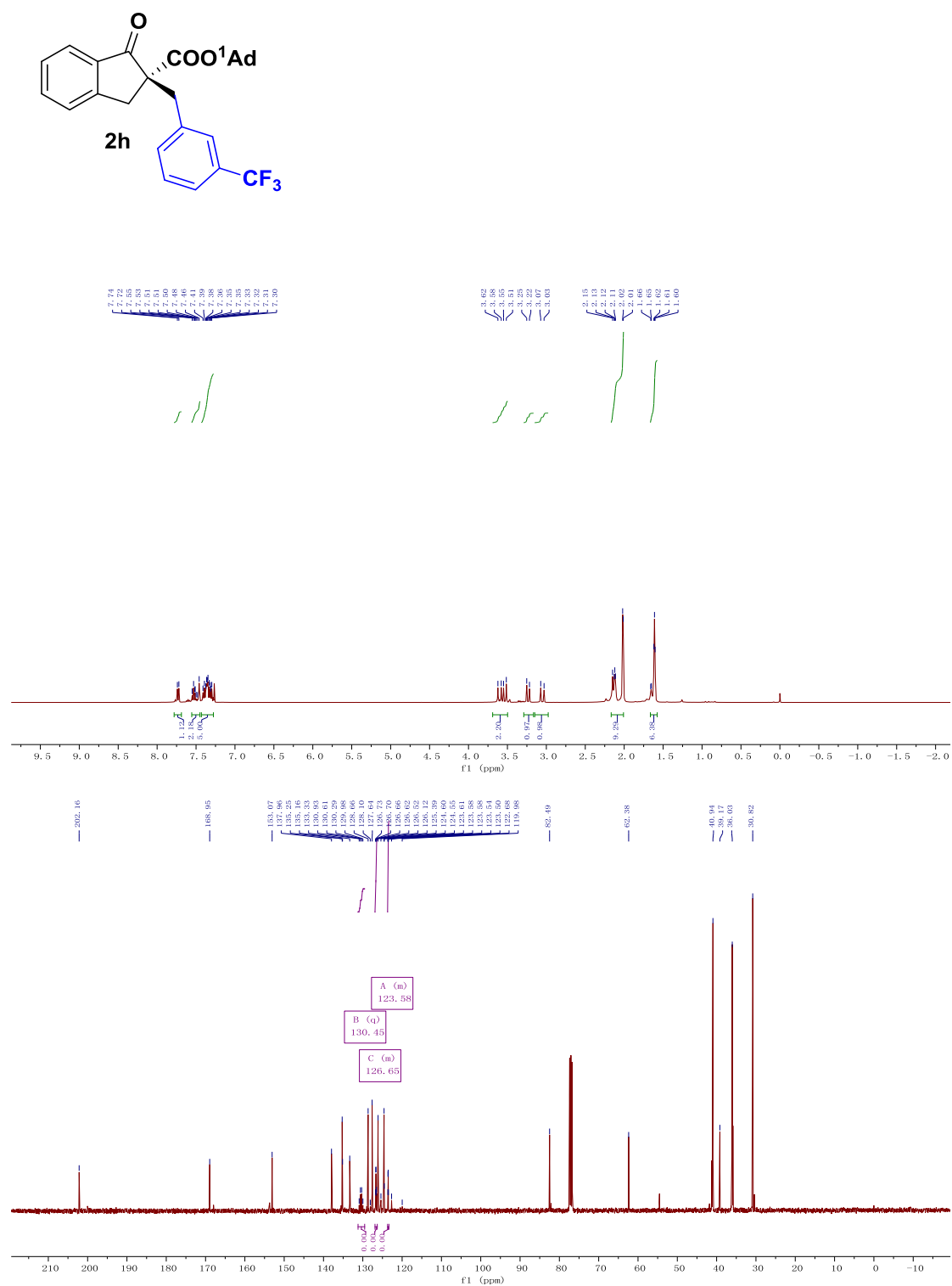




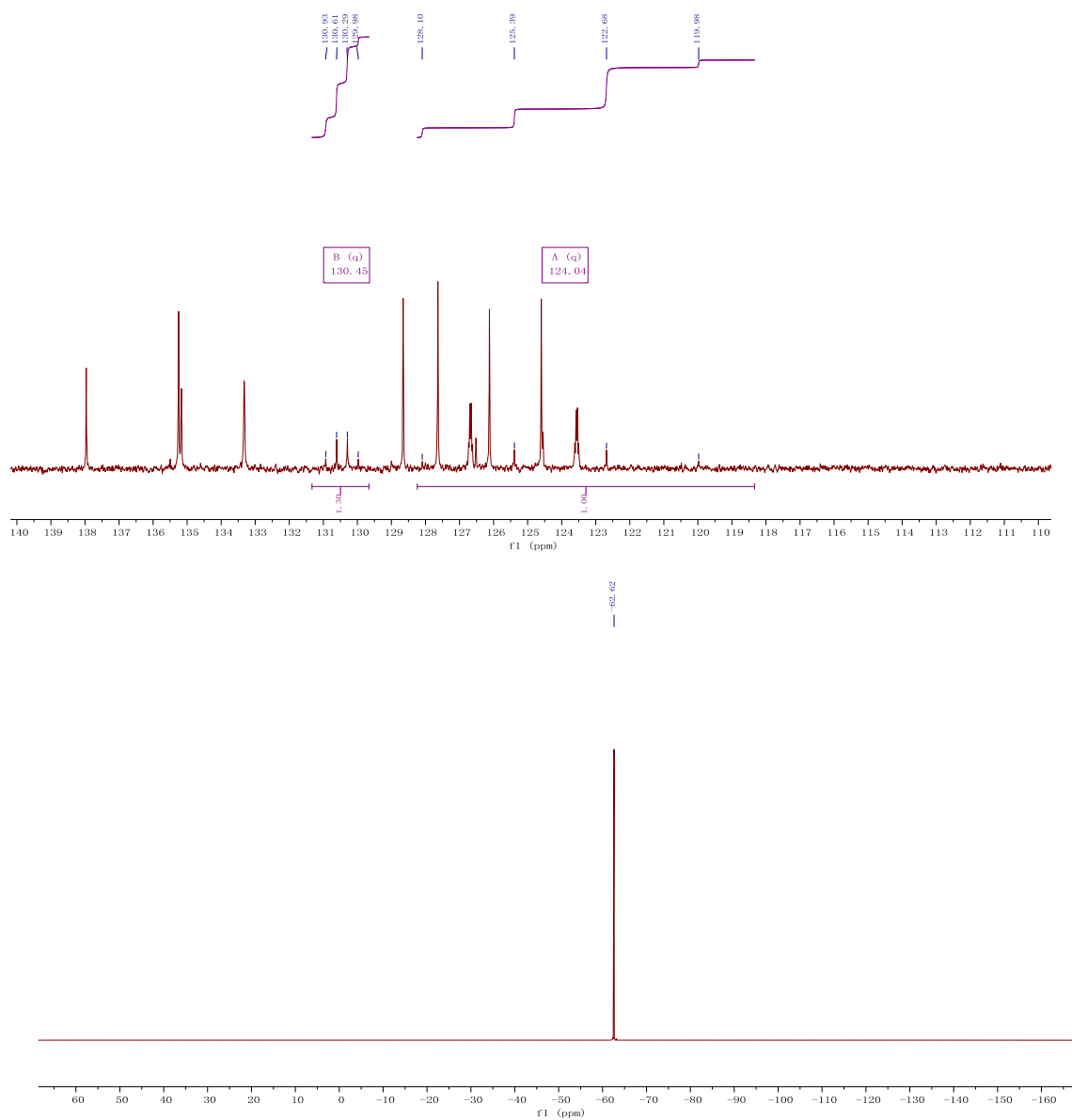


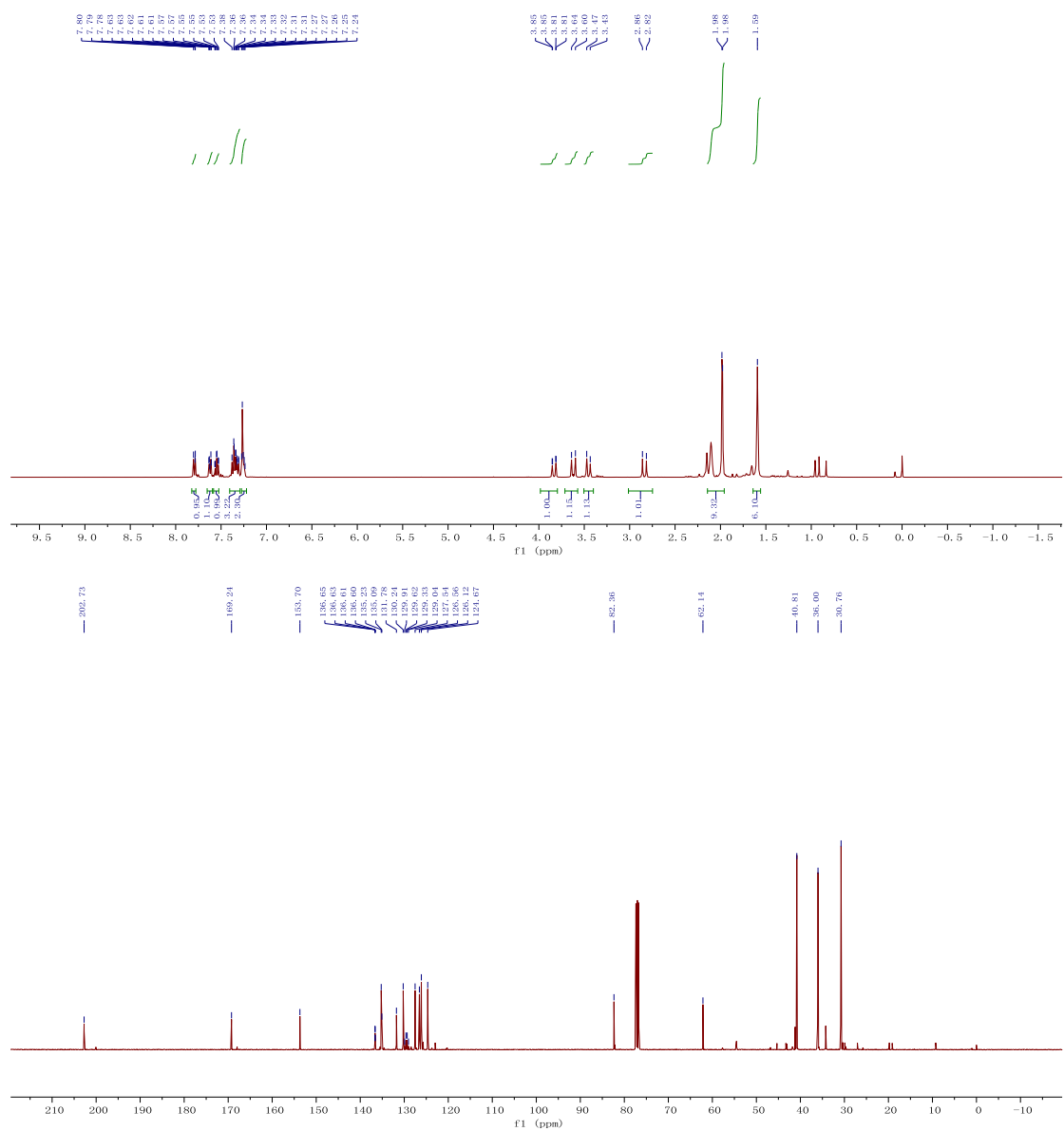




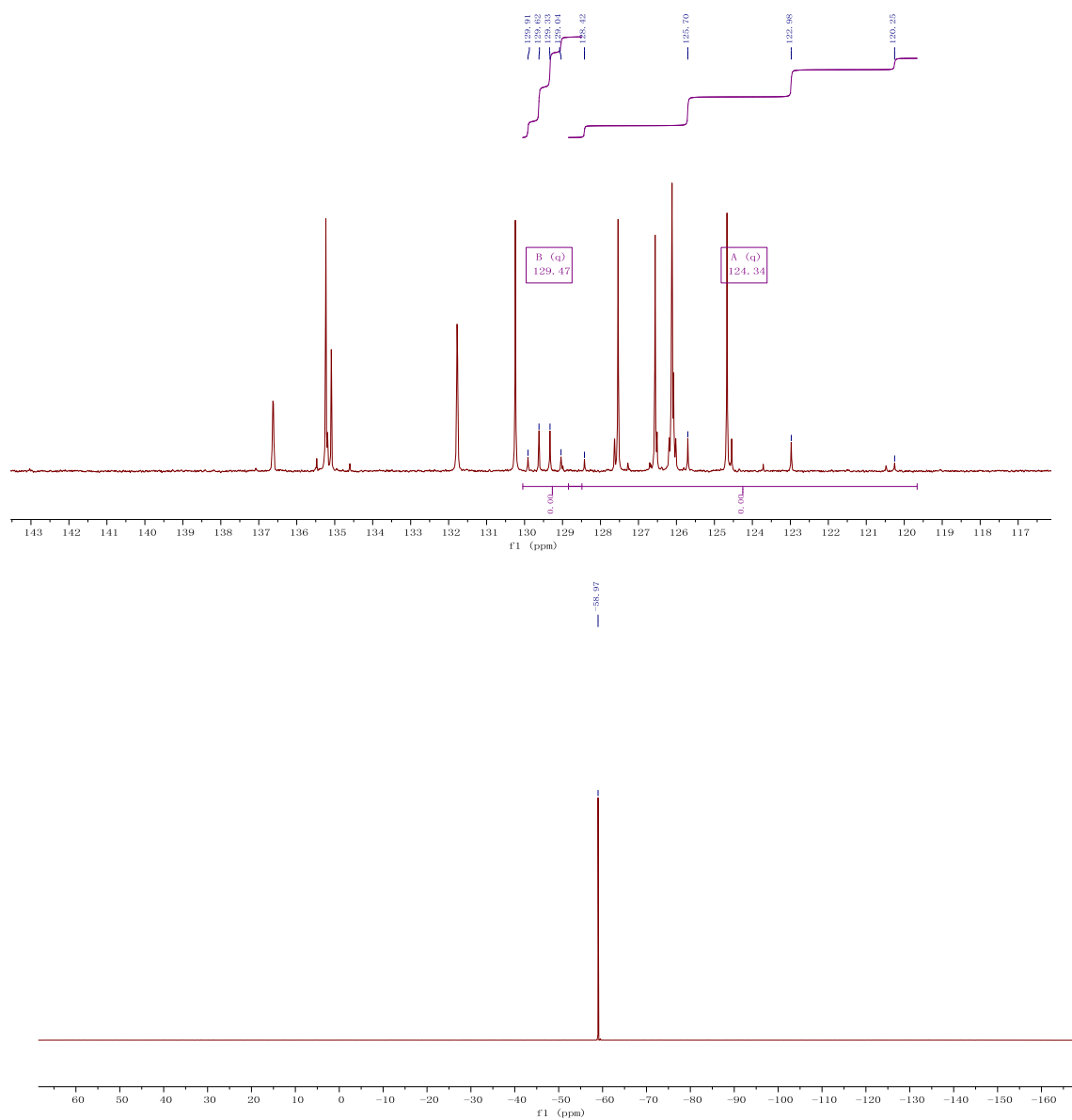


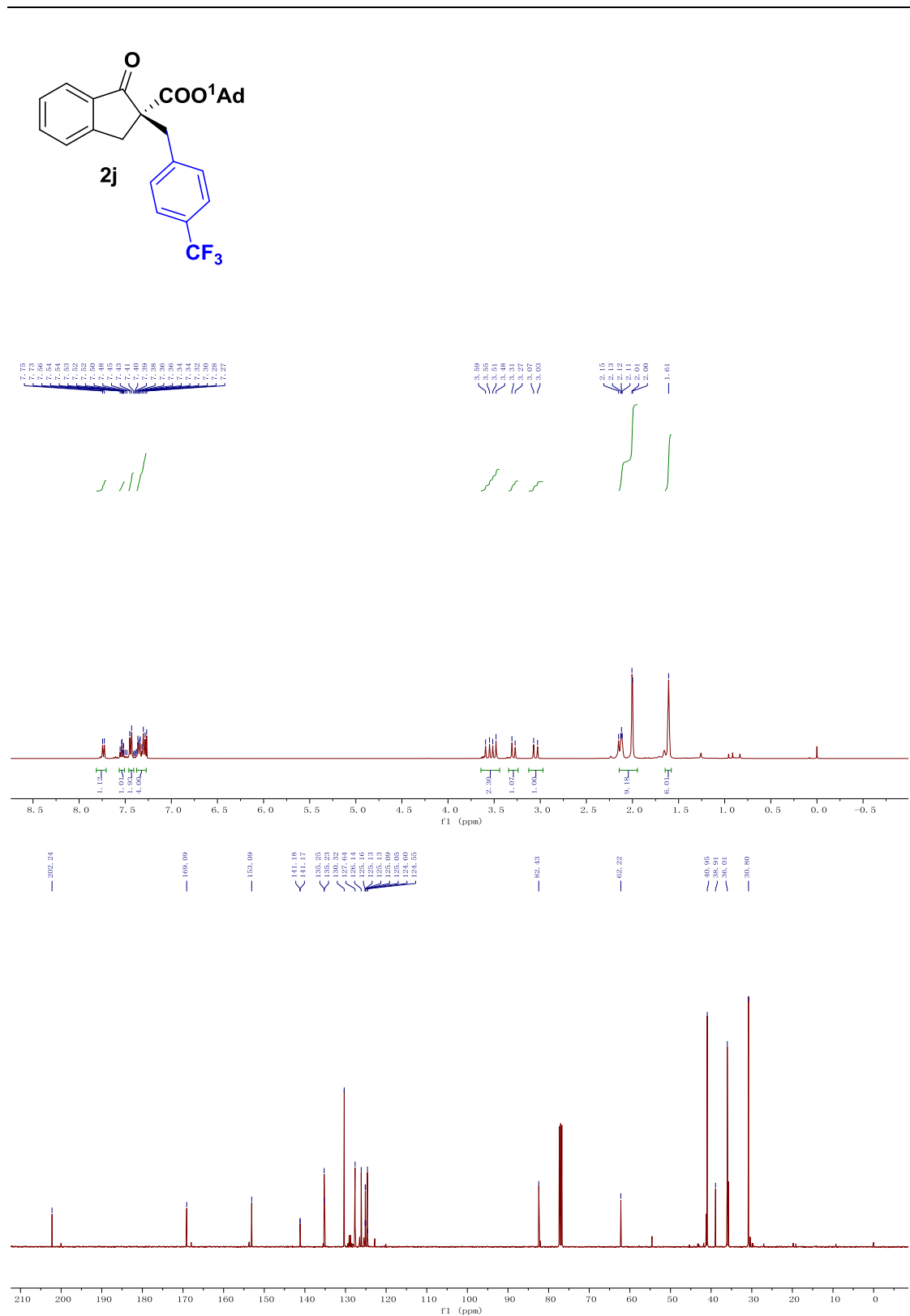
^{13}C NMR (101 MHz, Chloroform- d_3) δ 130.45 (q, $J = 32.0$ Hz), 124.04 (q, $J = 272.3$ Hz).



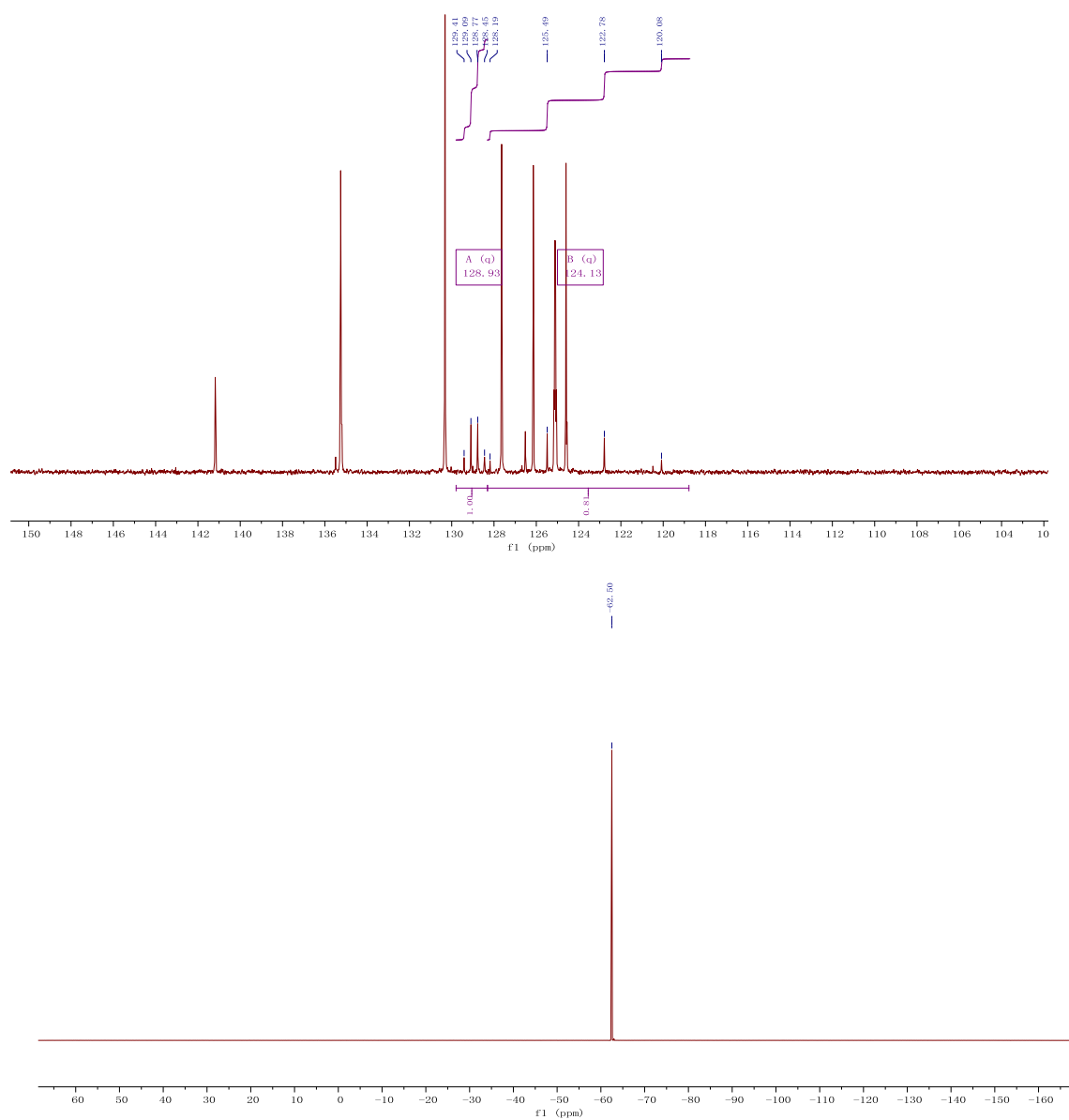


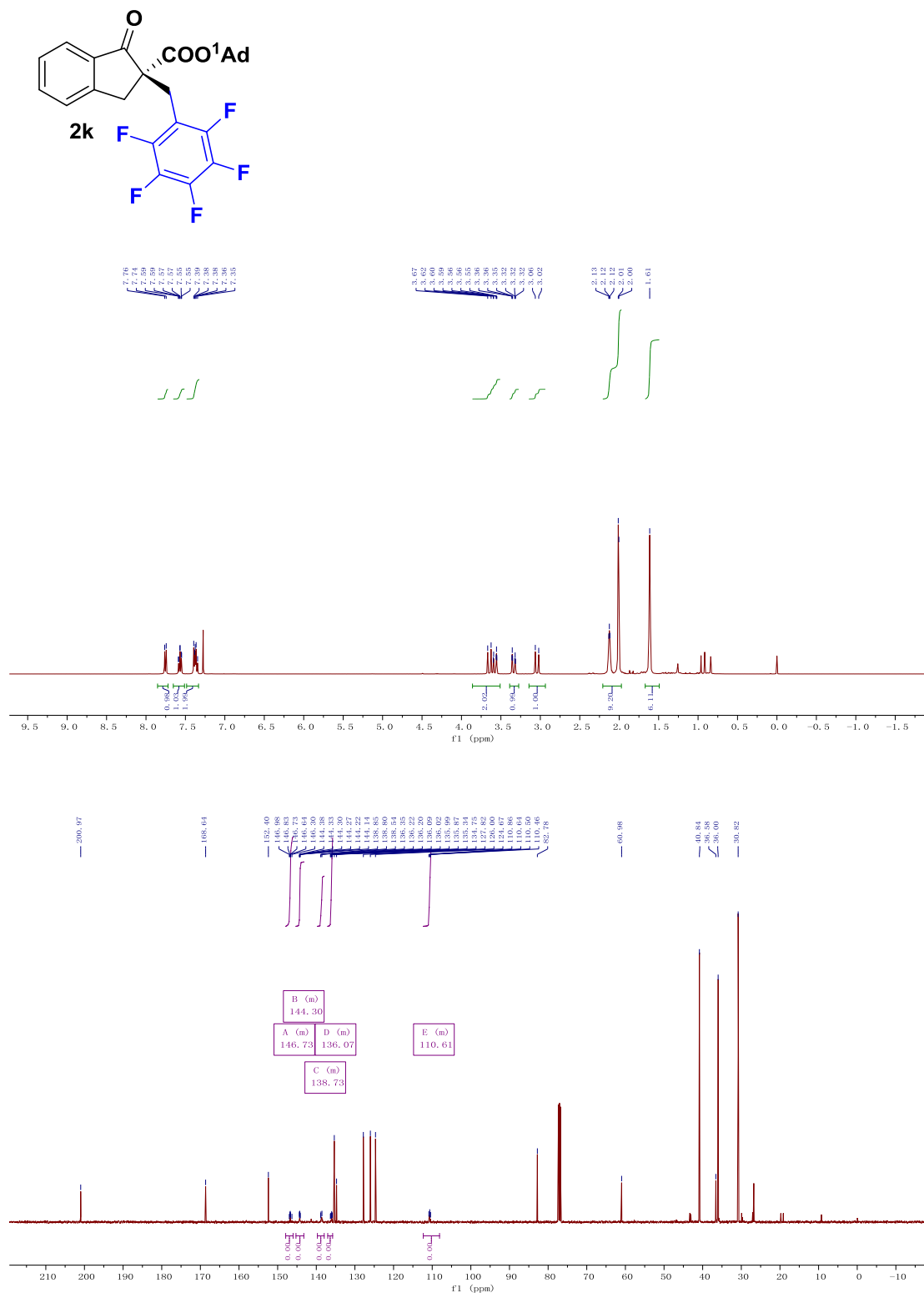
^{13}C NMR (101 MHz, Chloroform- d_3) δ 129.47 (q, $J = 29.2$ Hz), 124.34 (q, $J = 274.0$ Hz).

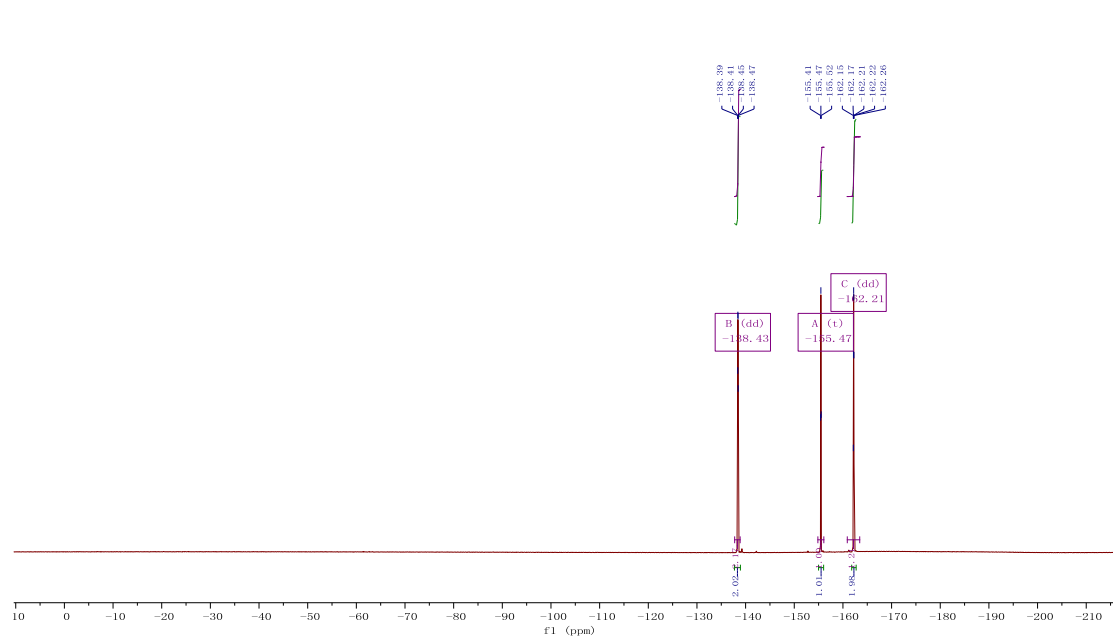


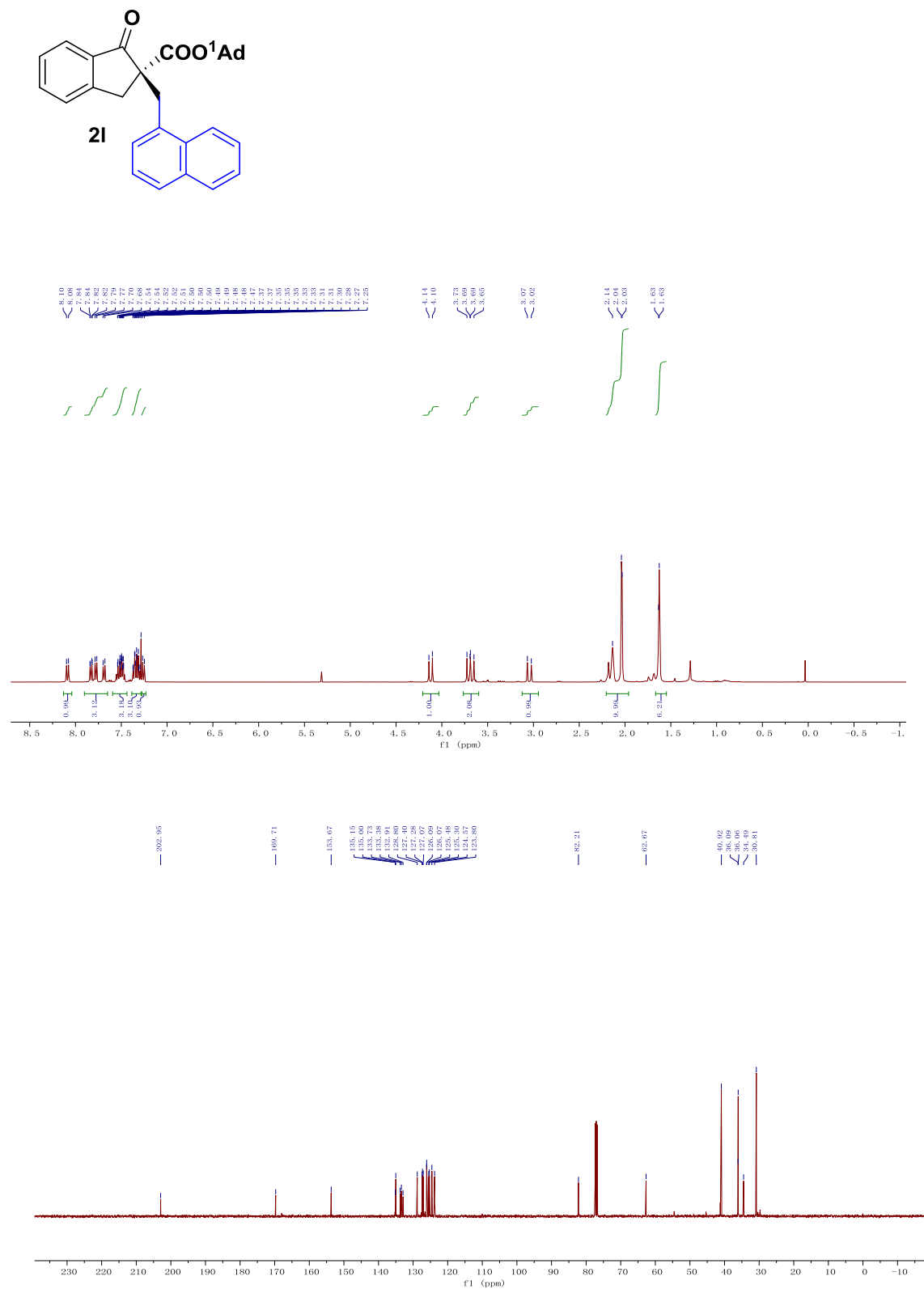


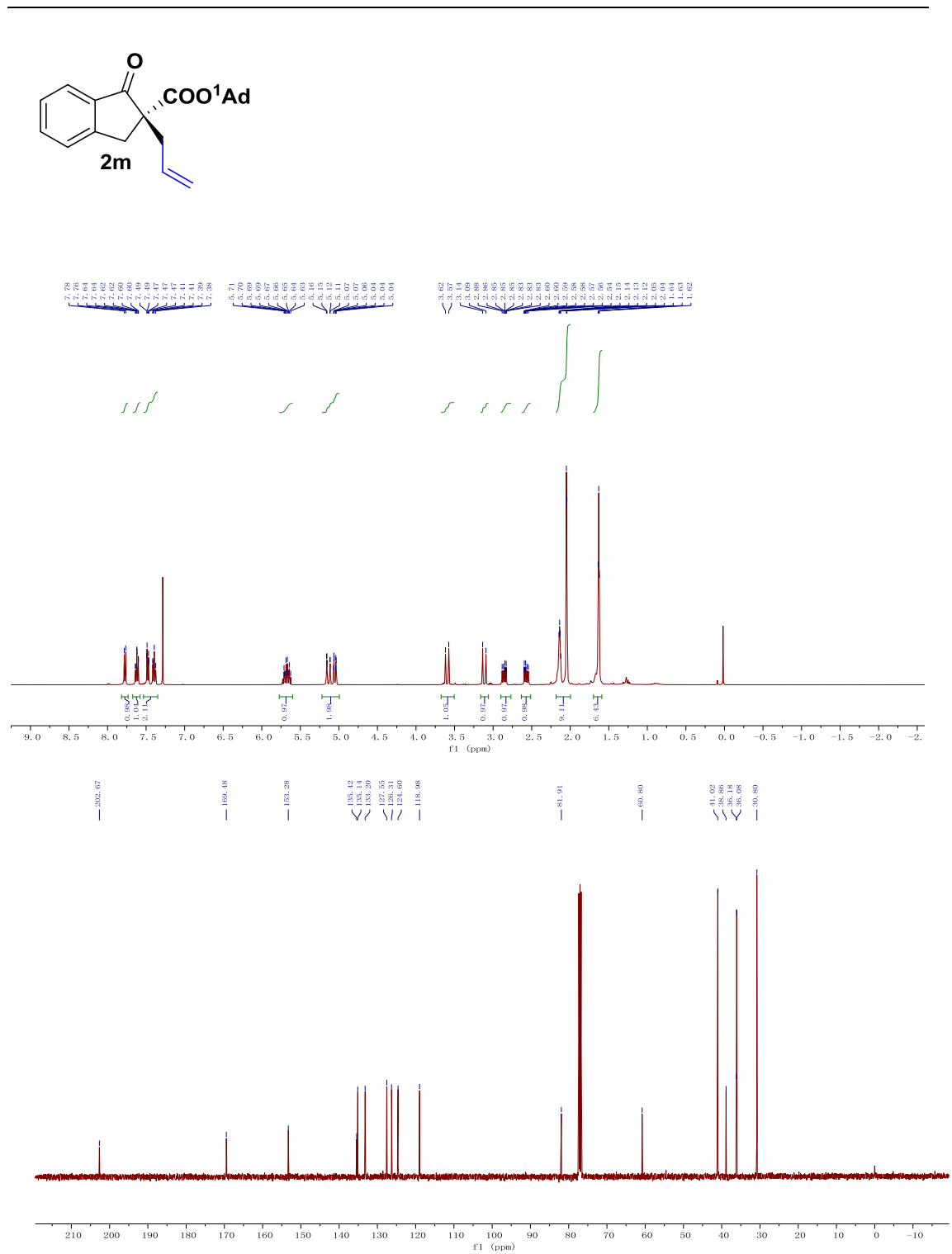
^{13}C NMR (101 MHz, Chloroform- d_3) δ 128.93 (q, $J = 32.4$ Hz), 124.13 (q, $J = 271.9$ Hz).

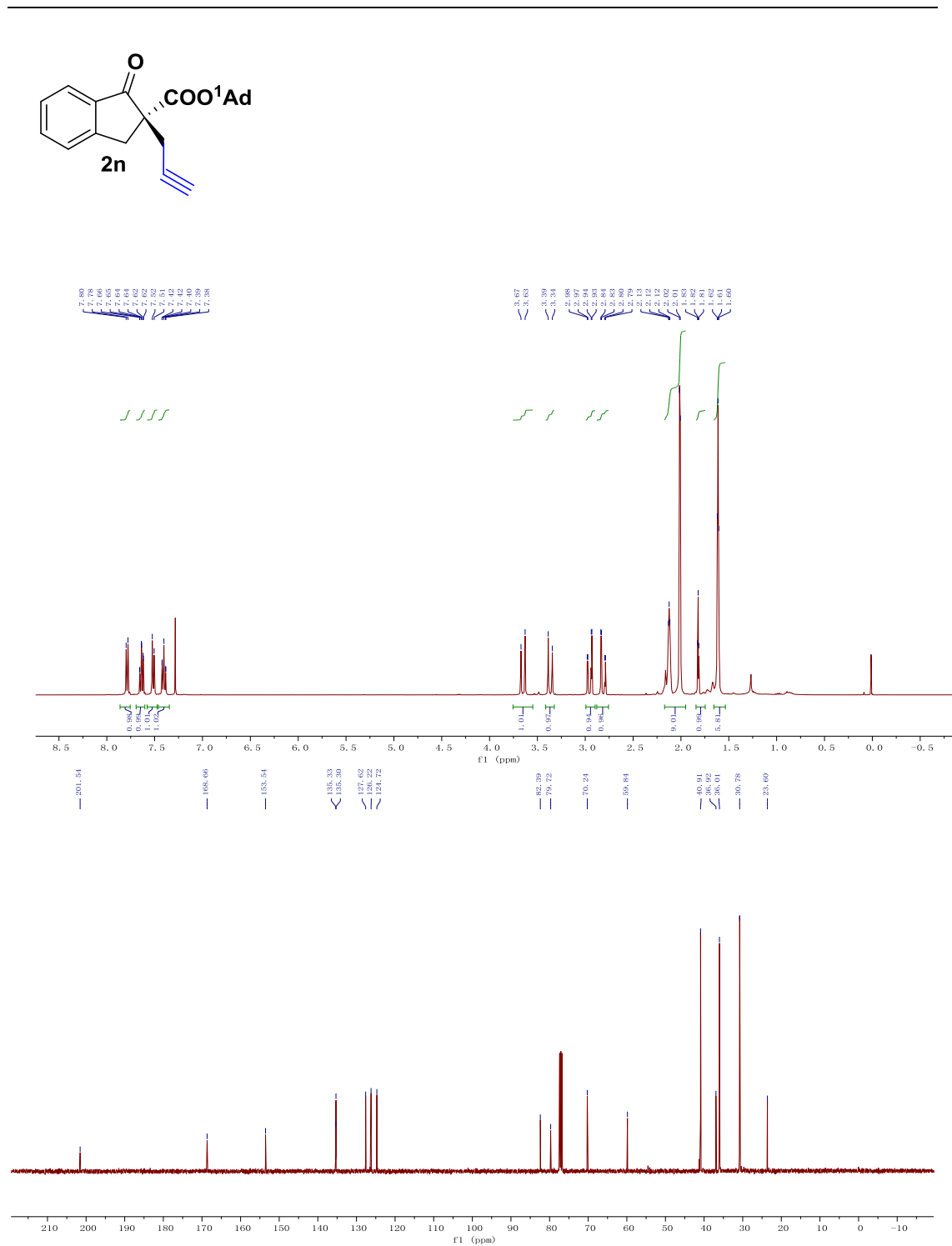


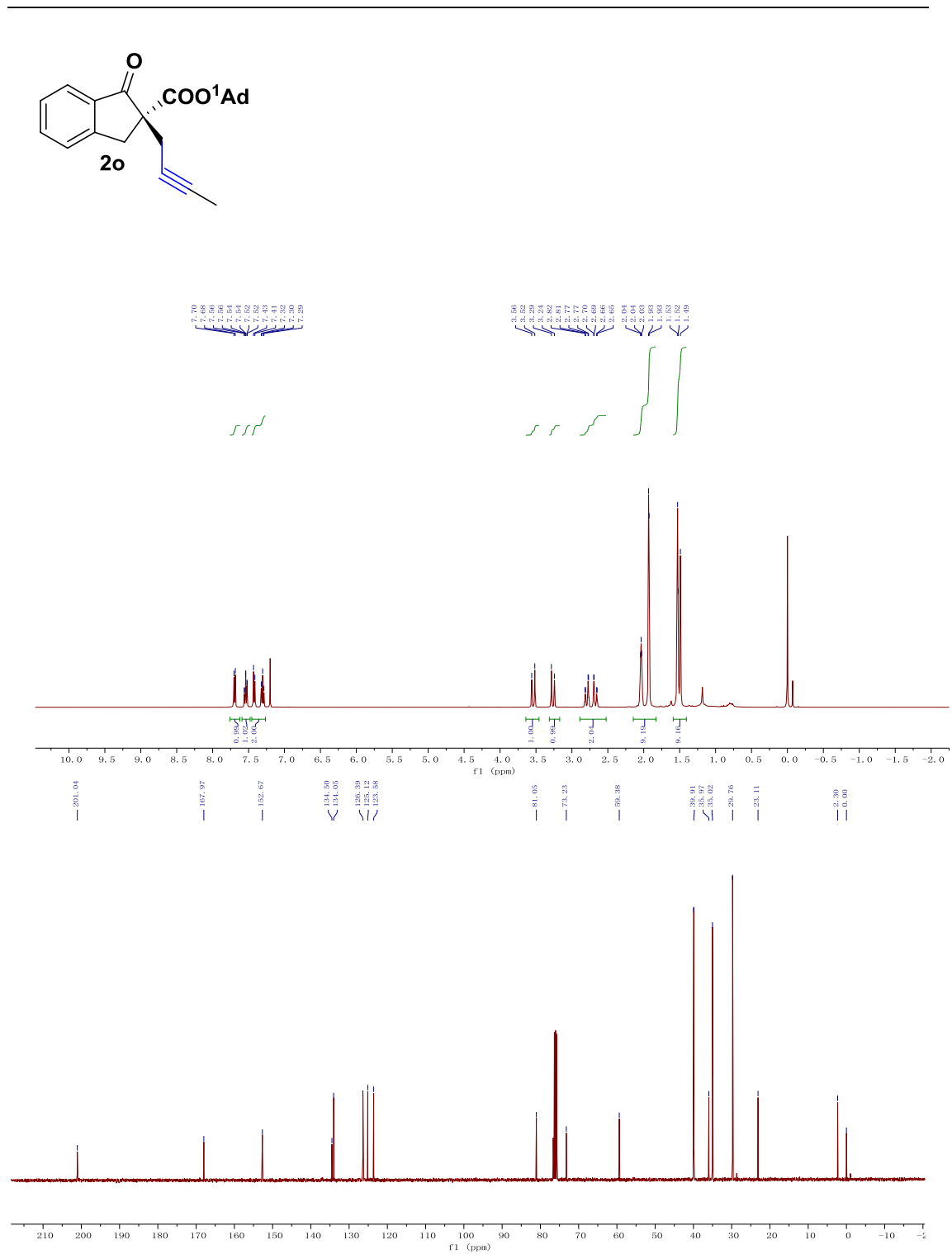


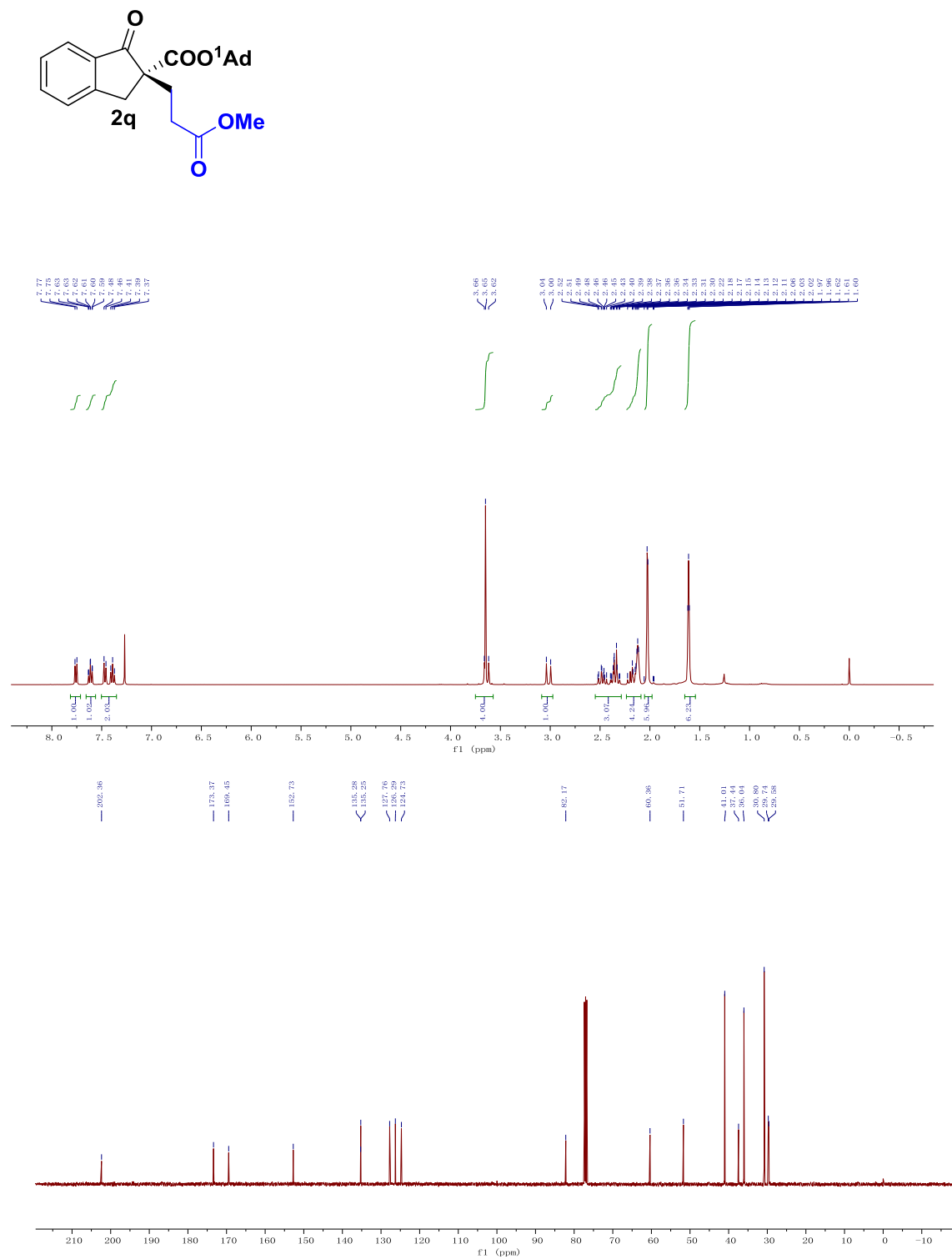


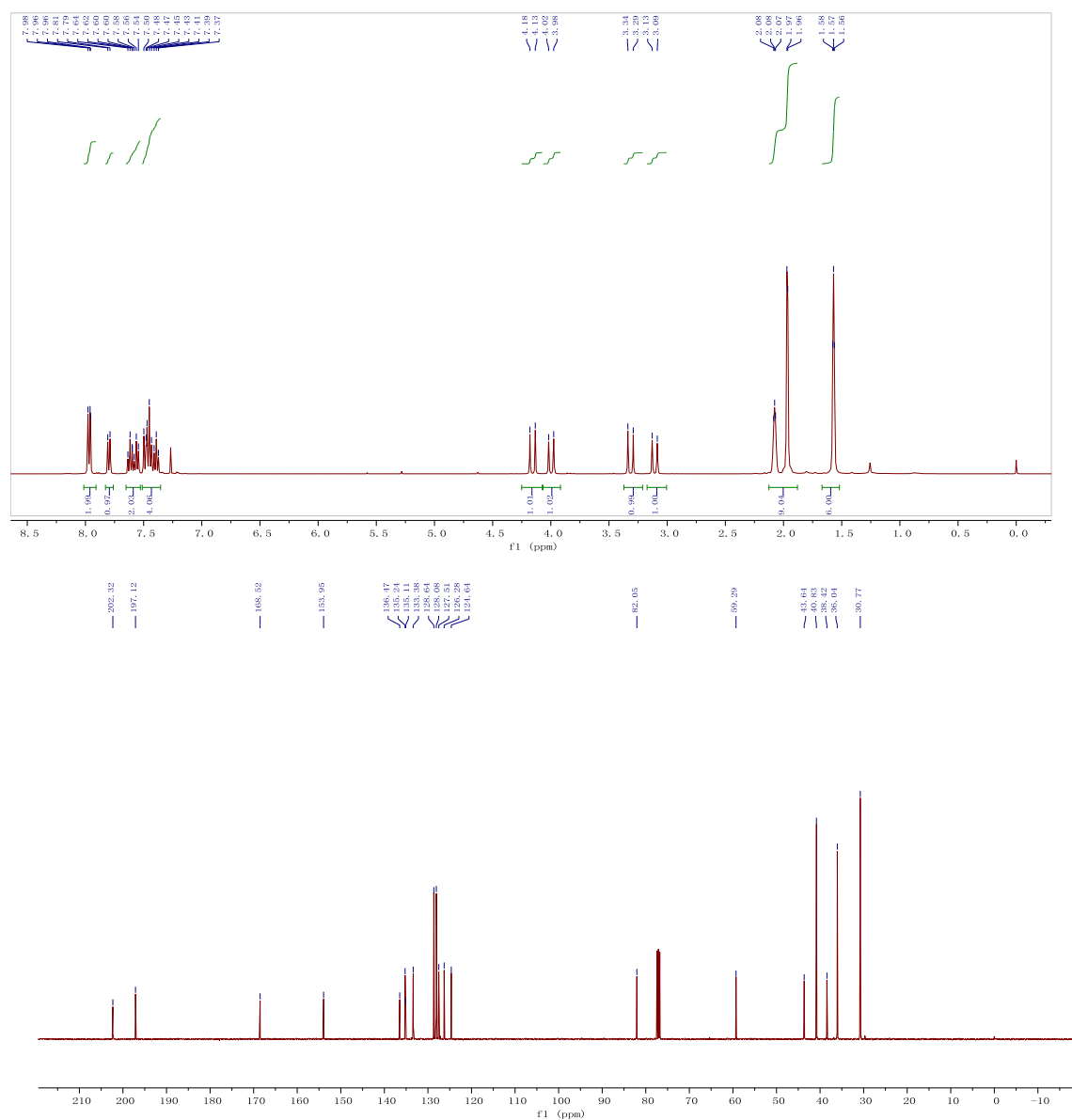
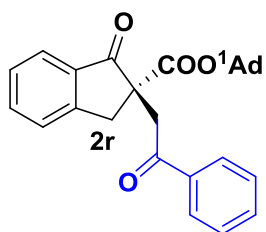


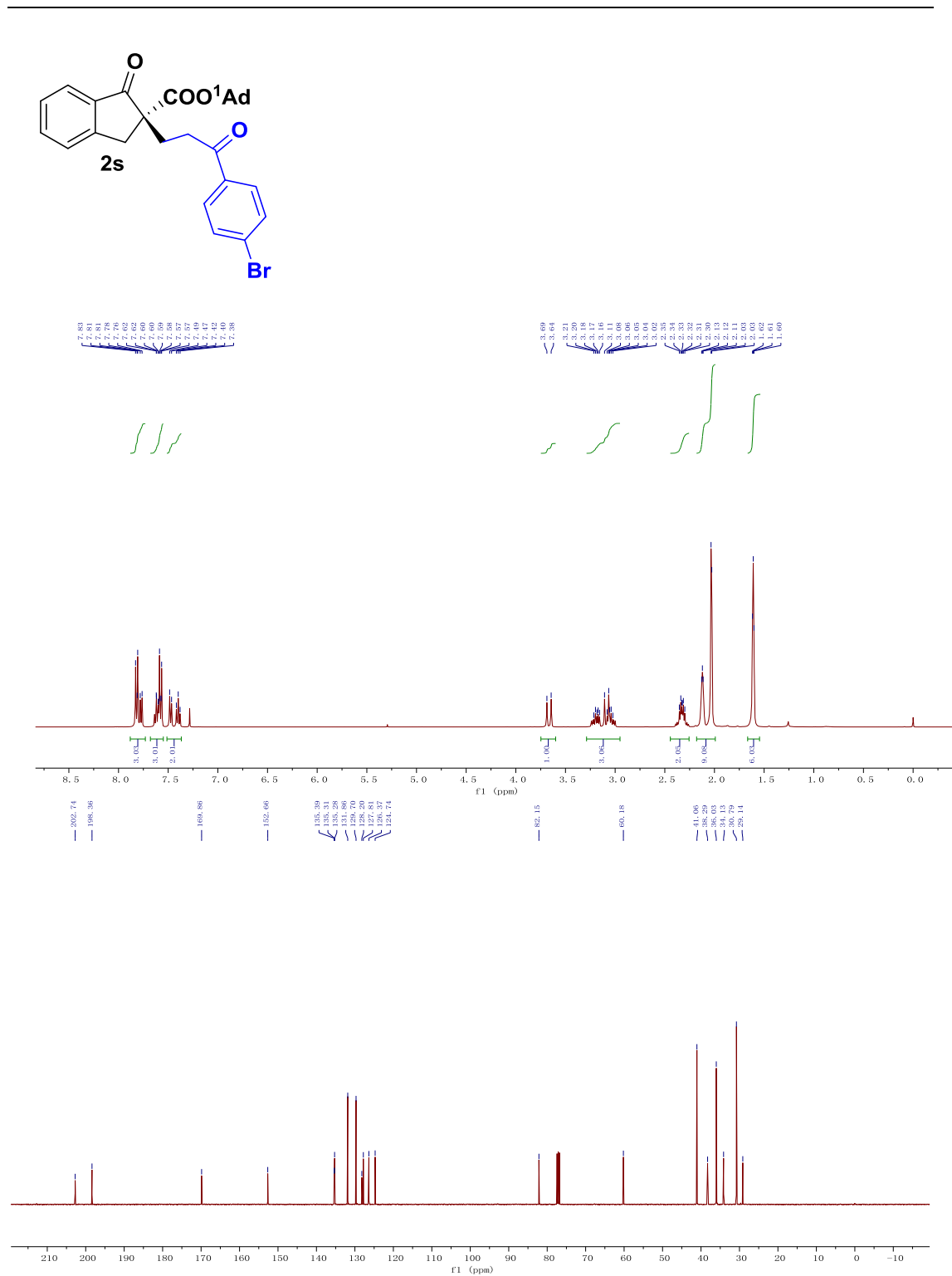


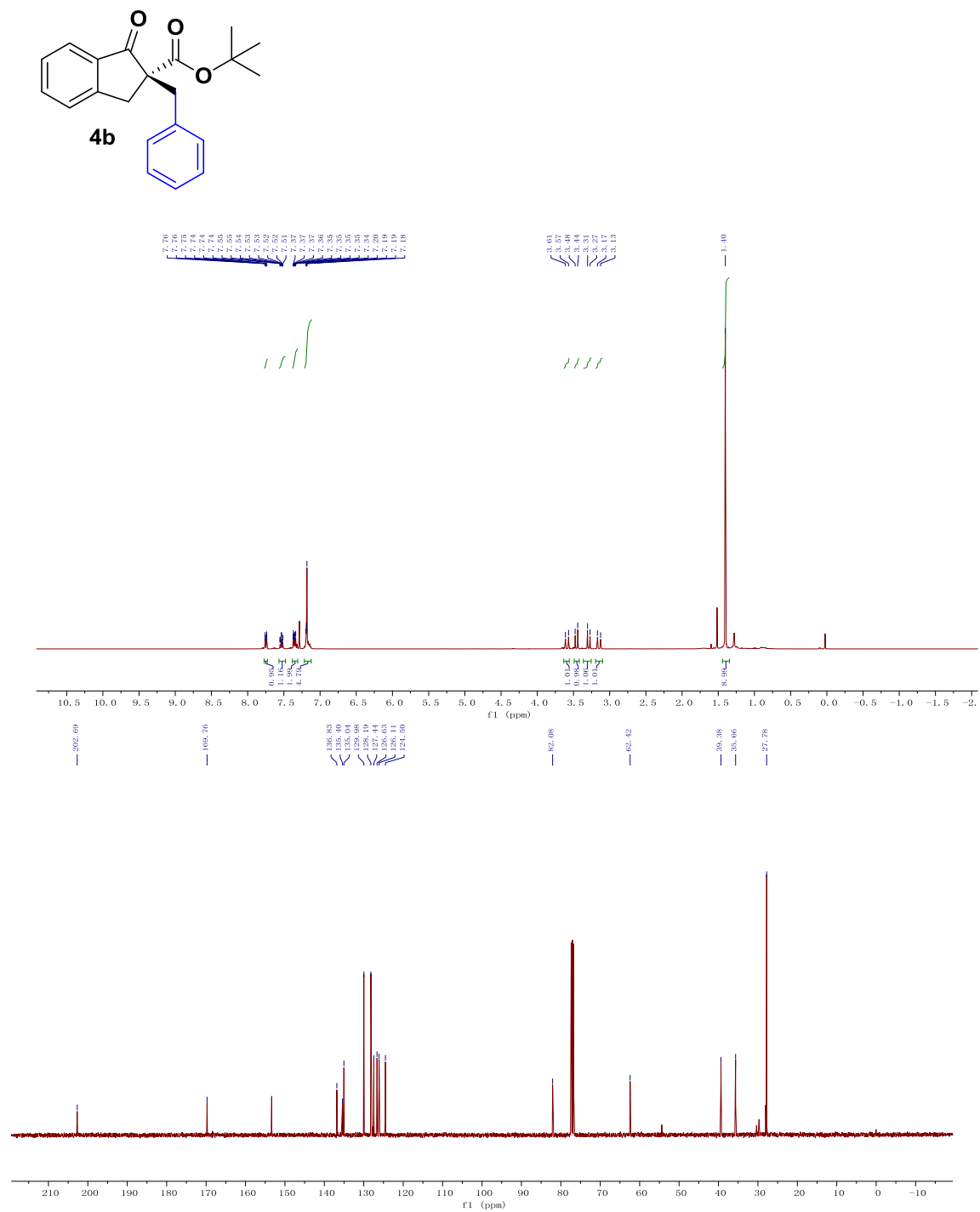


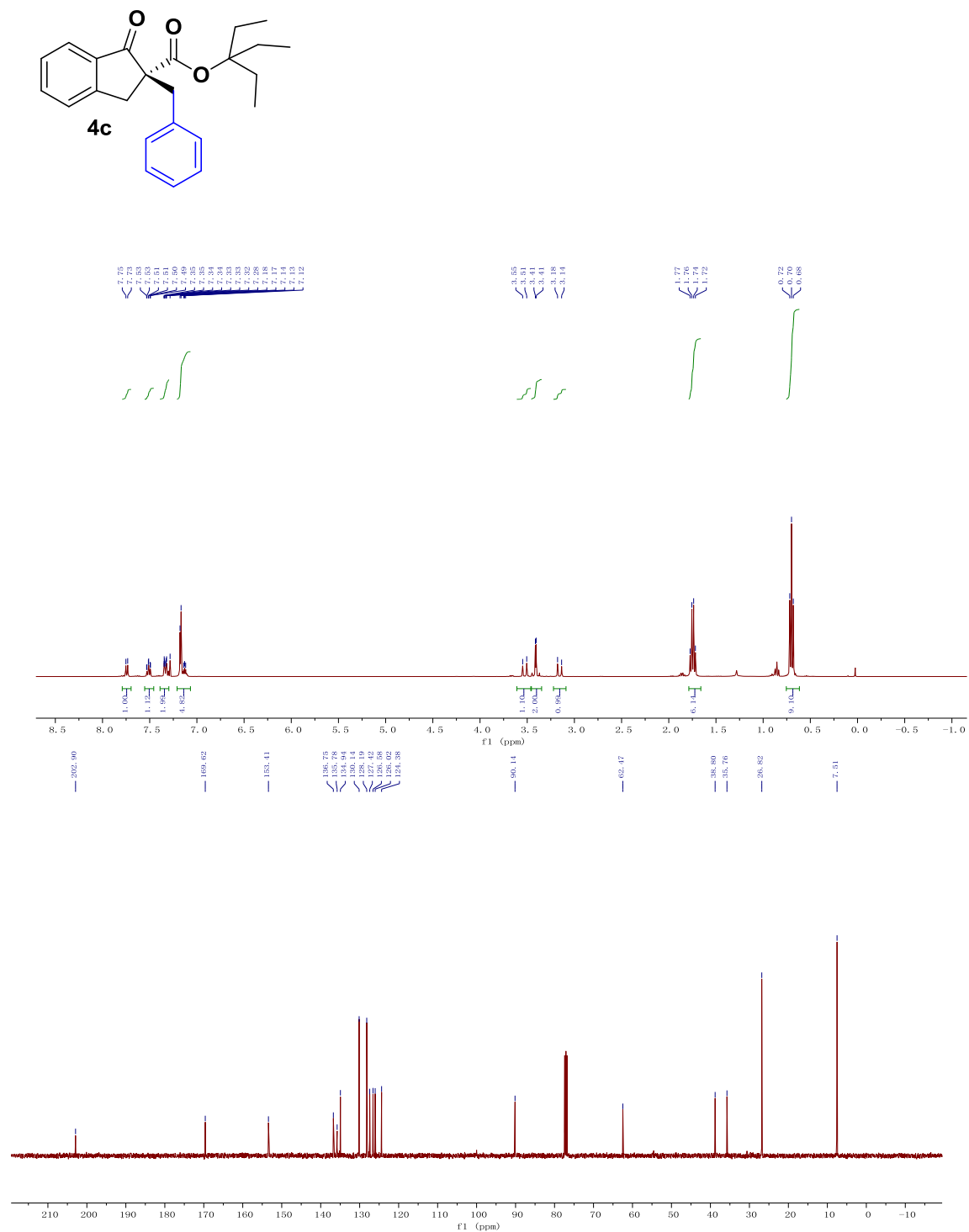


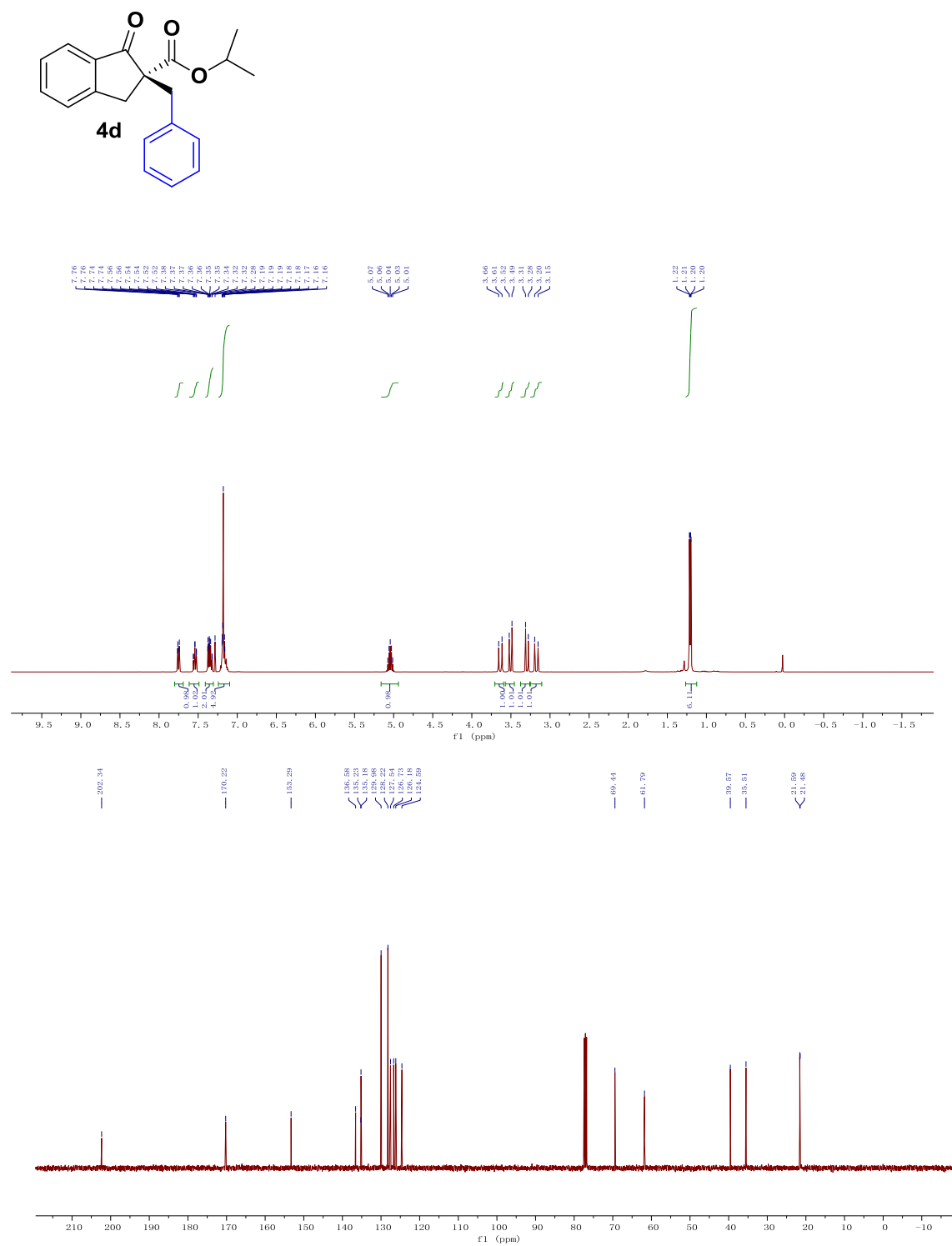


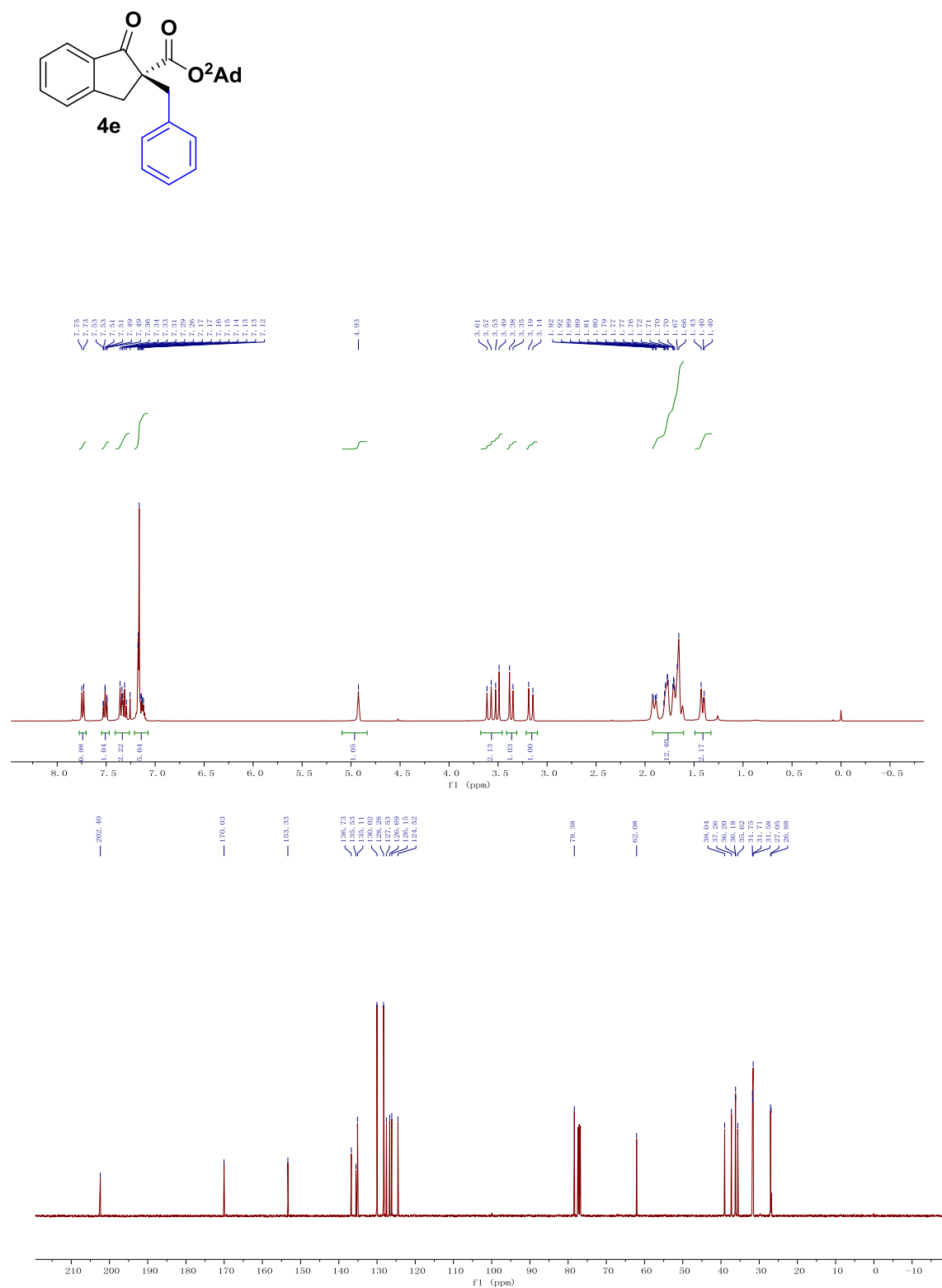


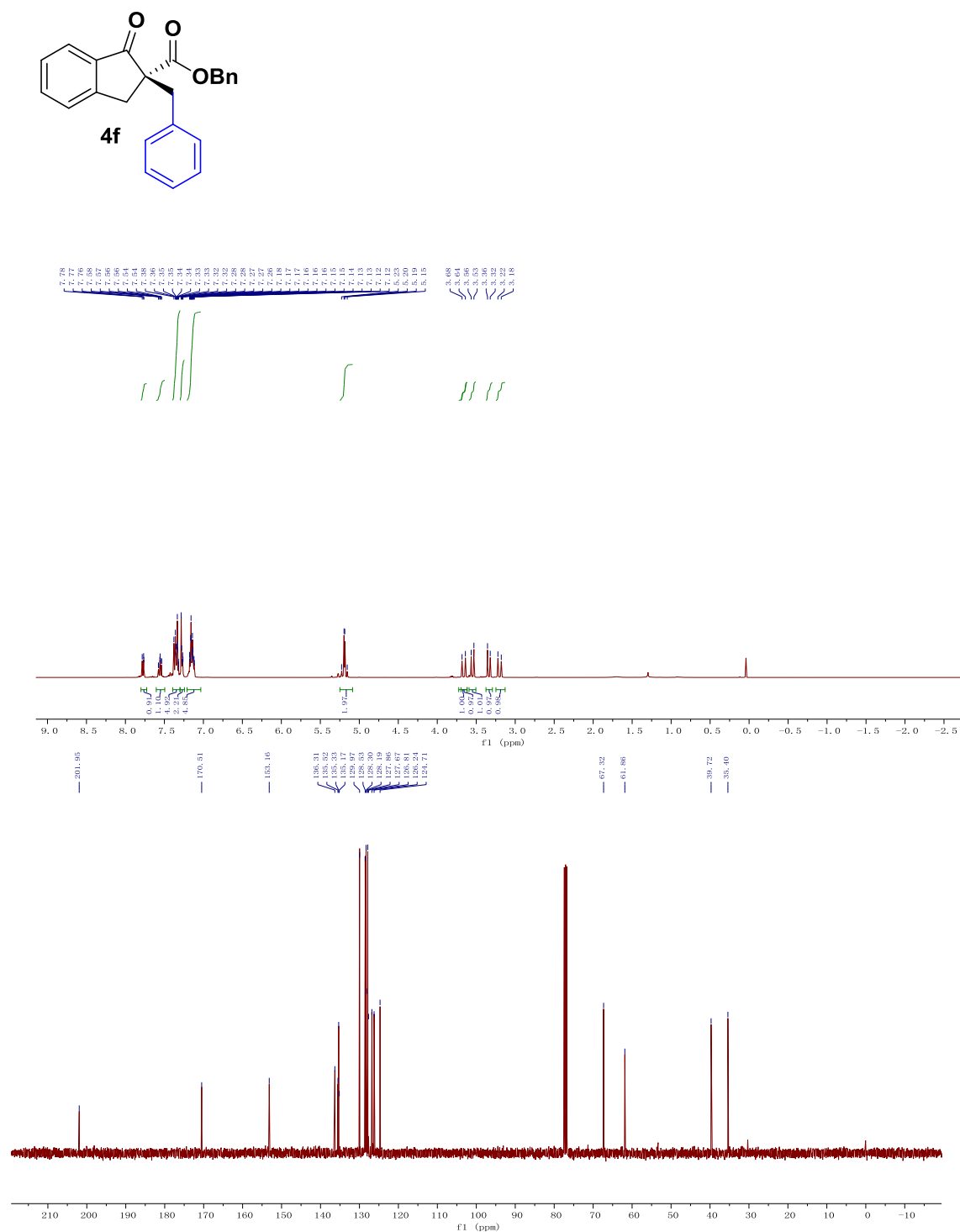


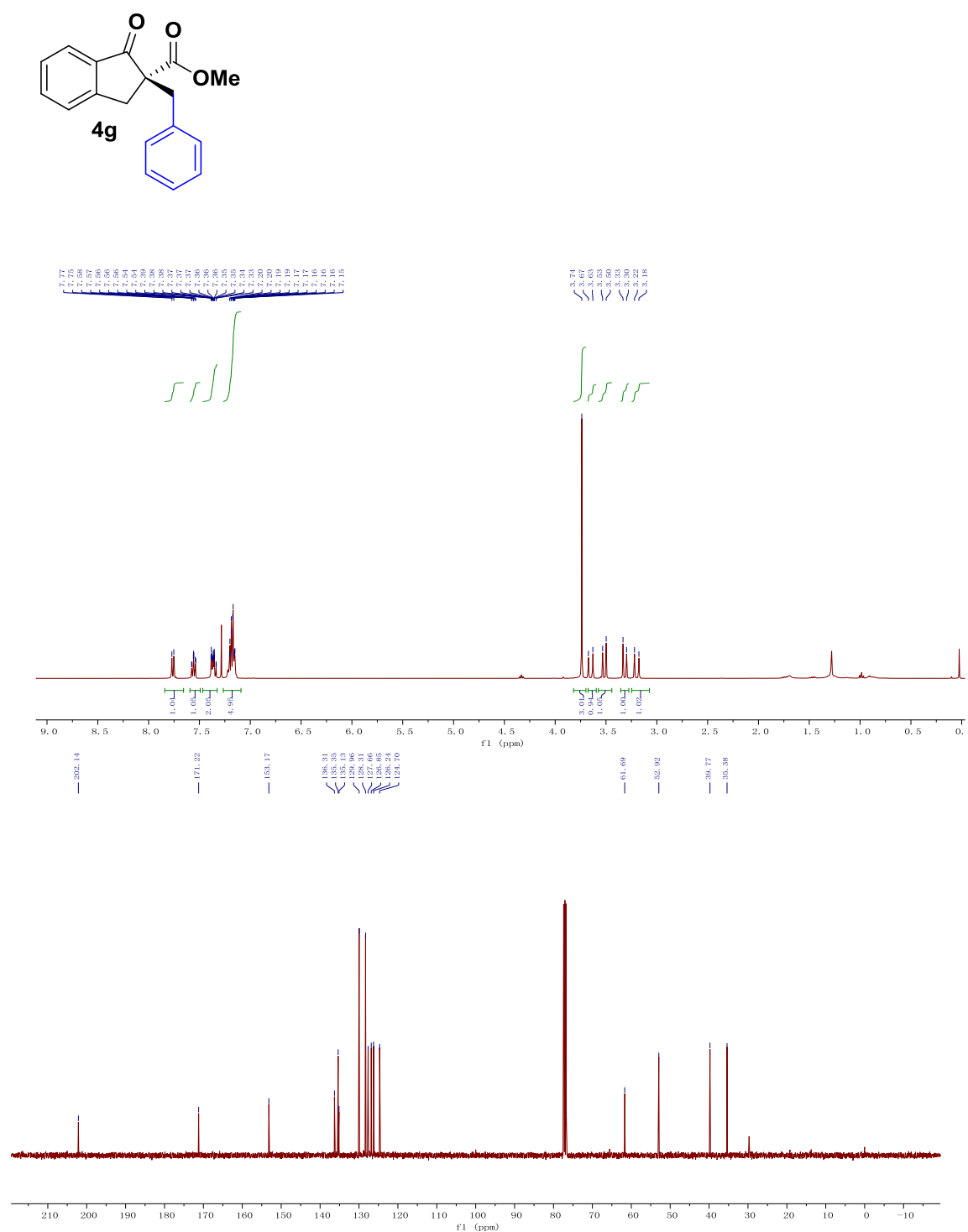


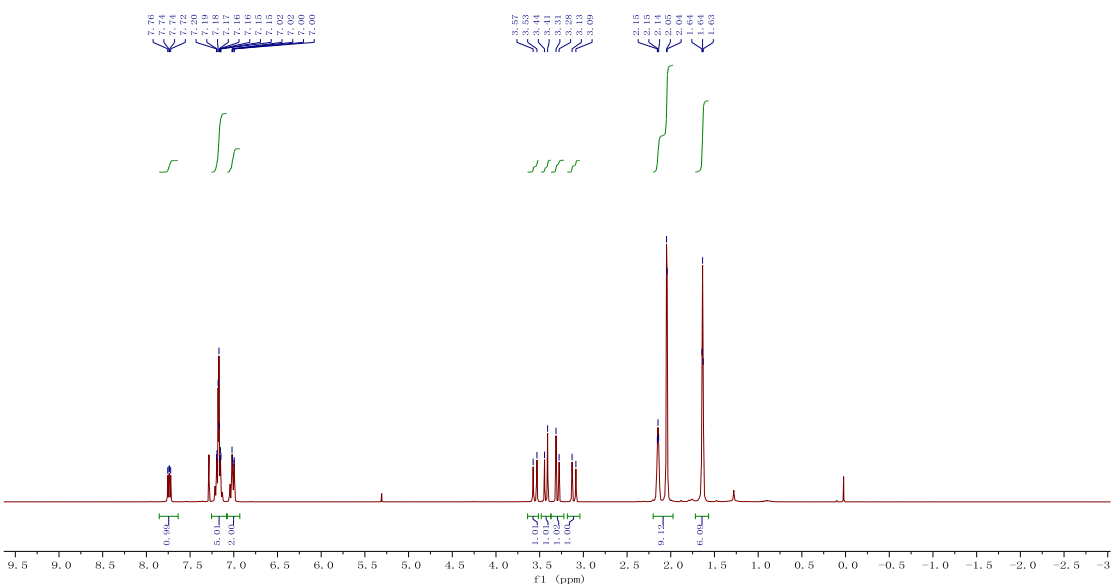


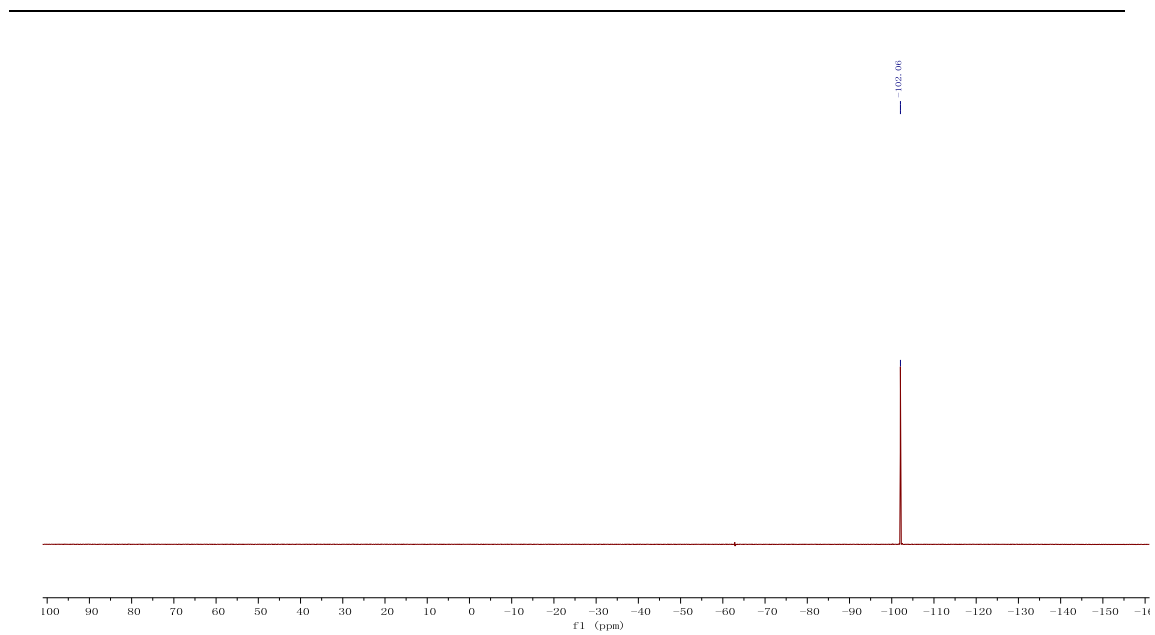


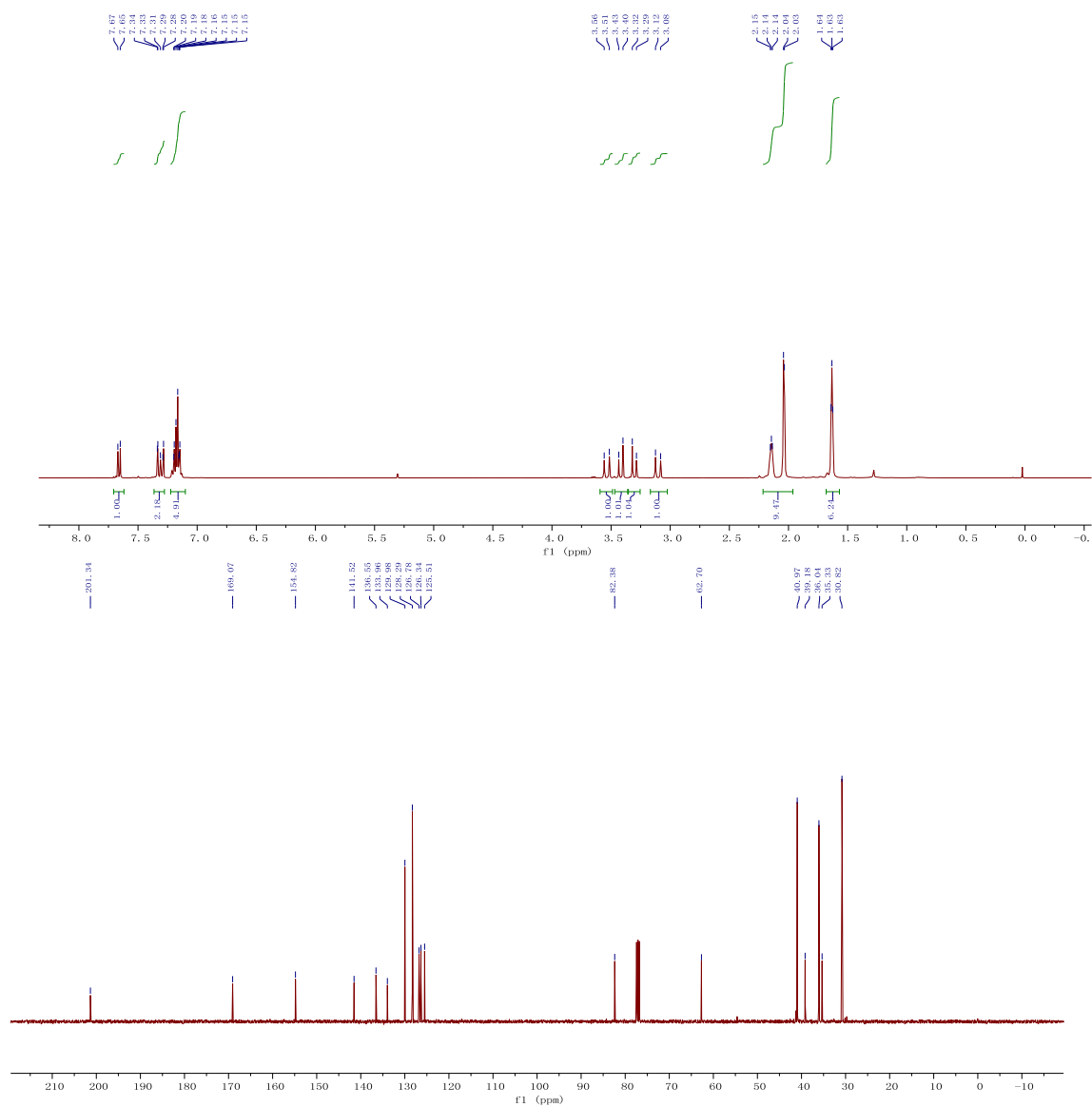
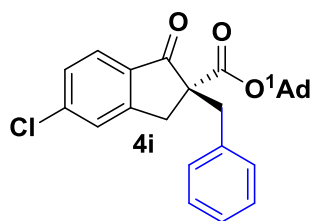


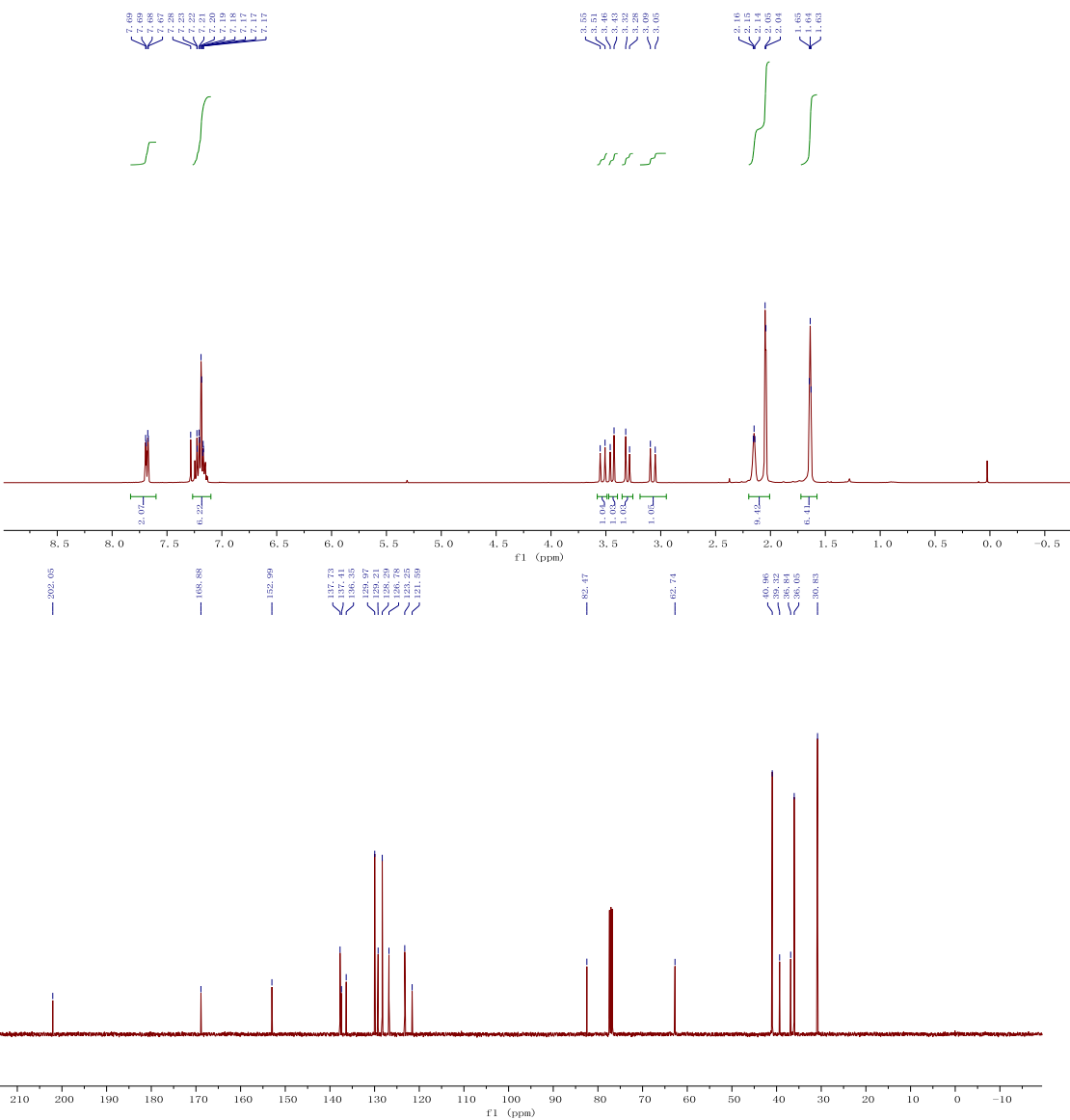


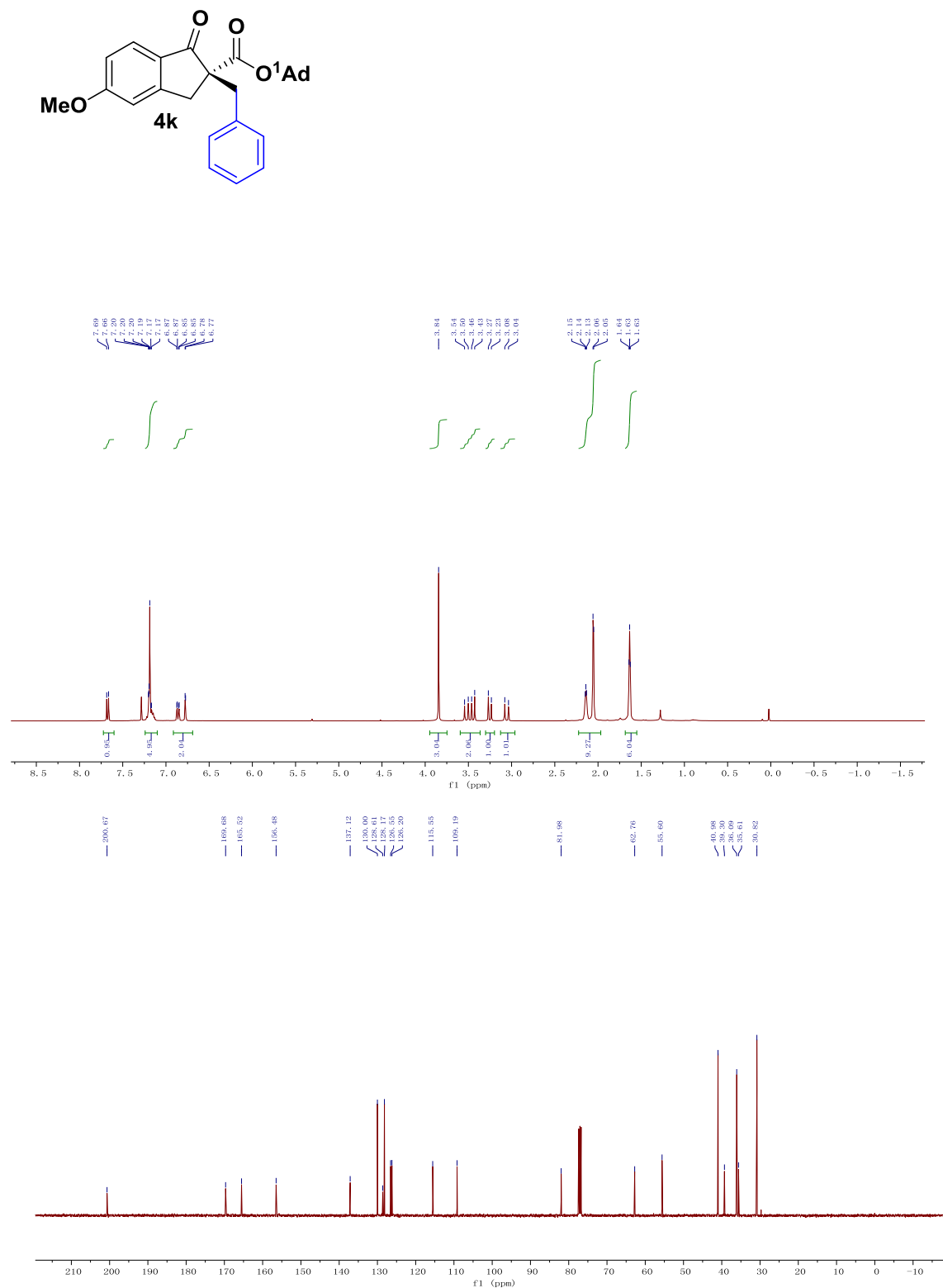


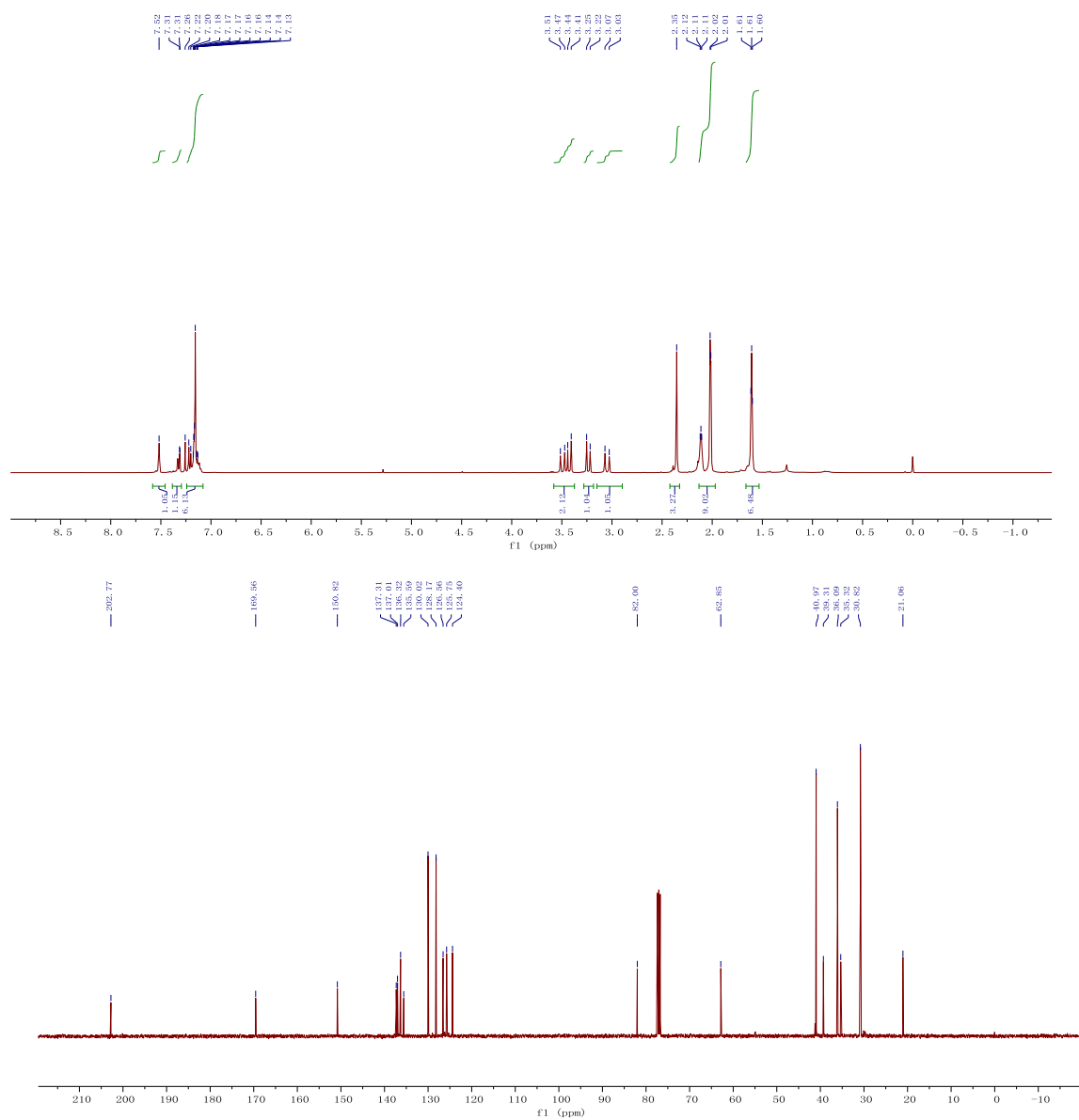


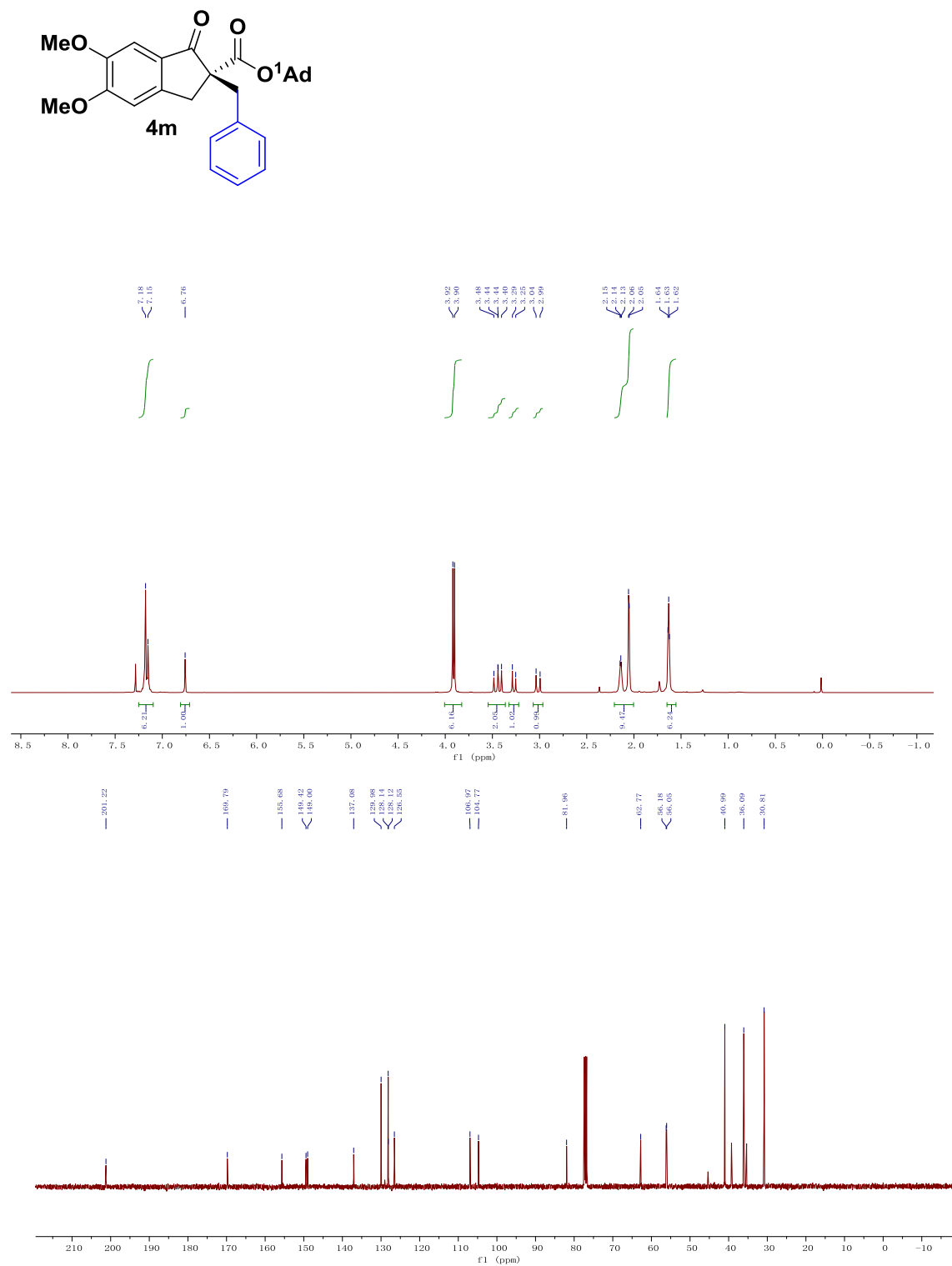


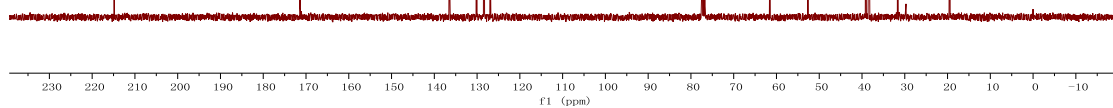


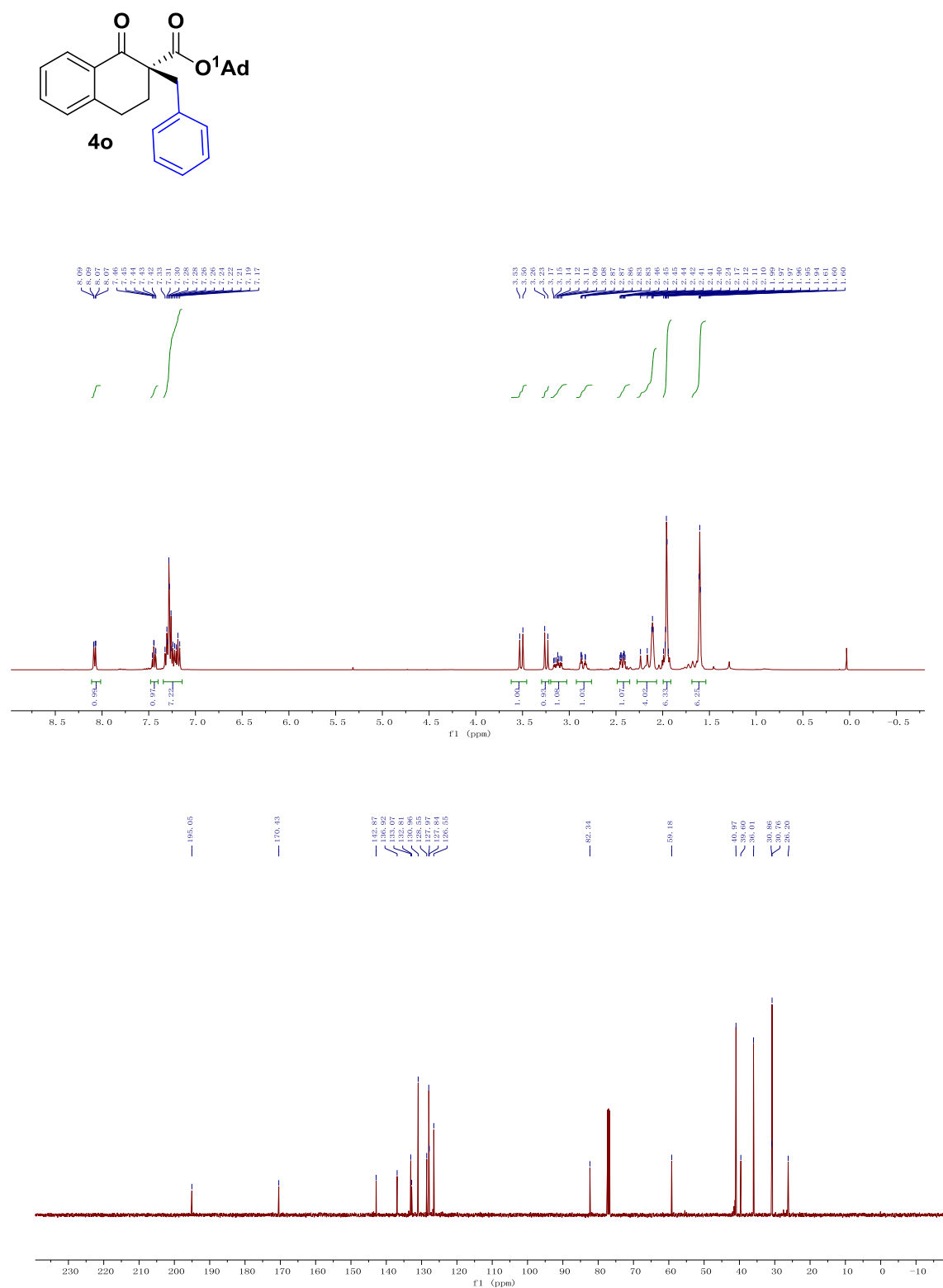


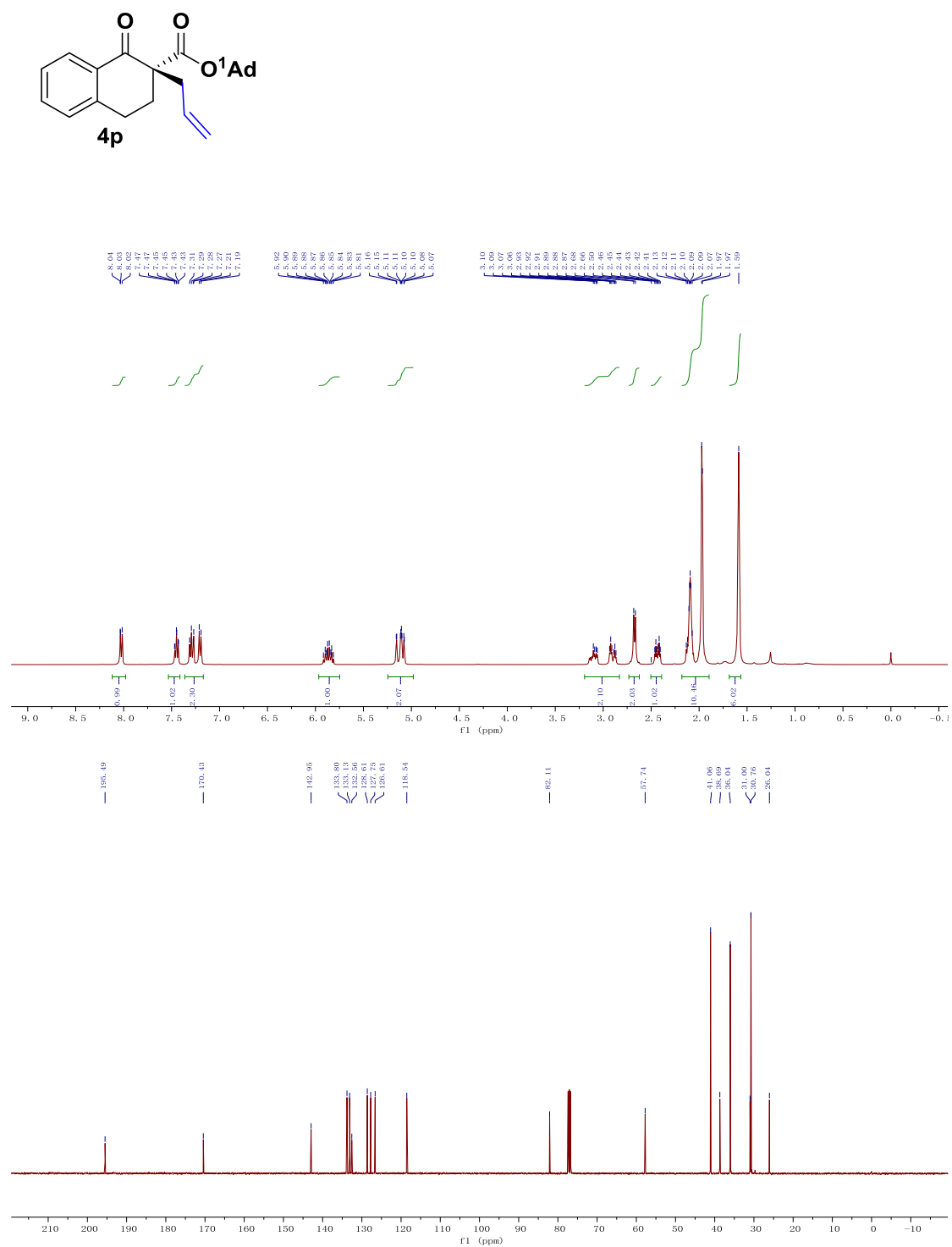


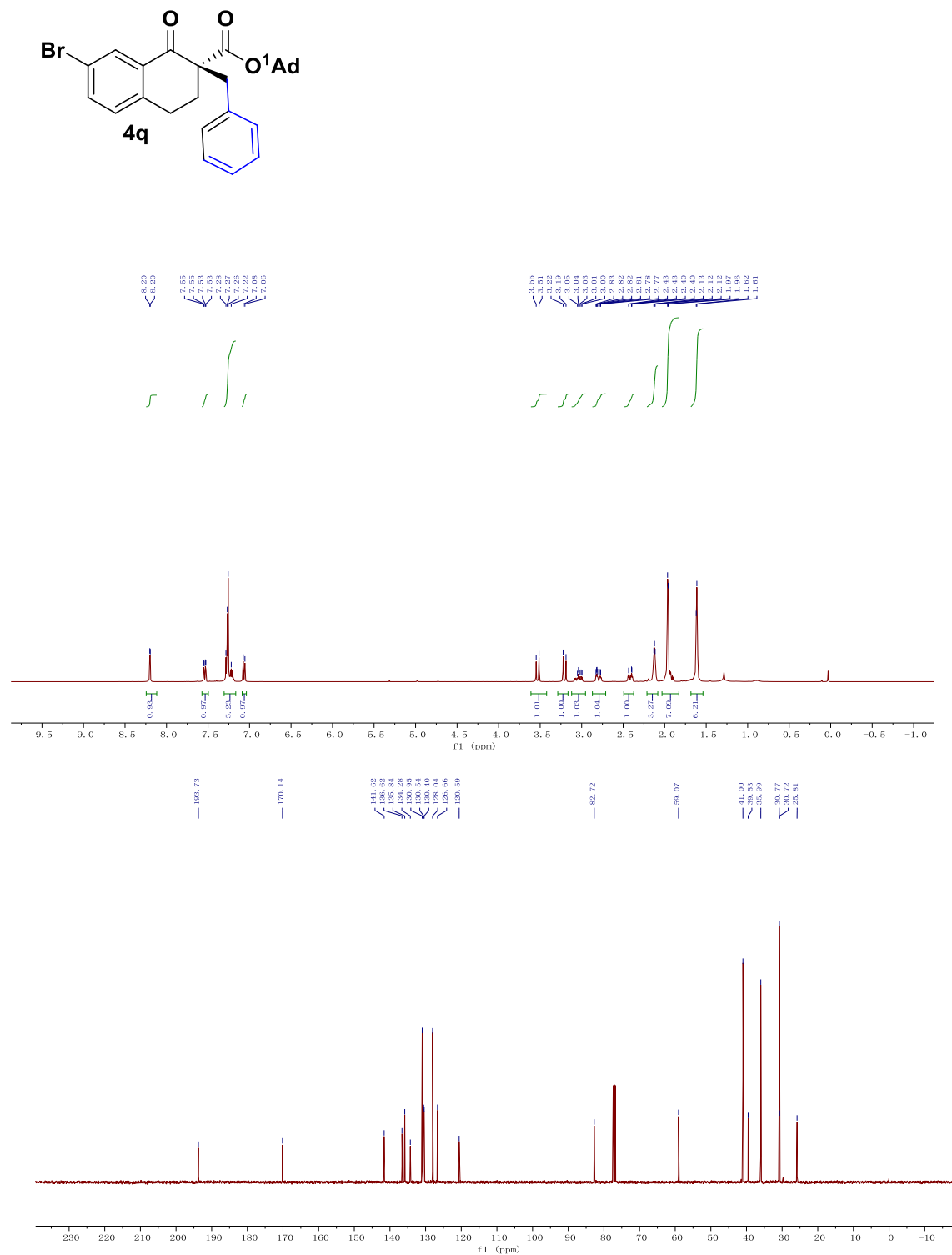


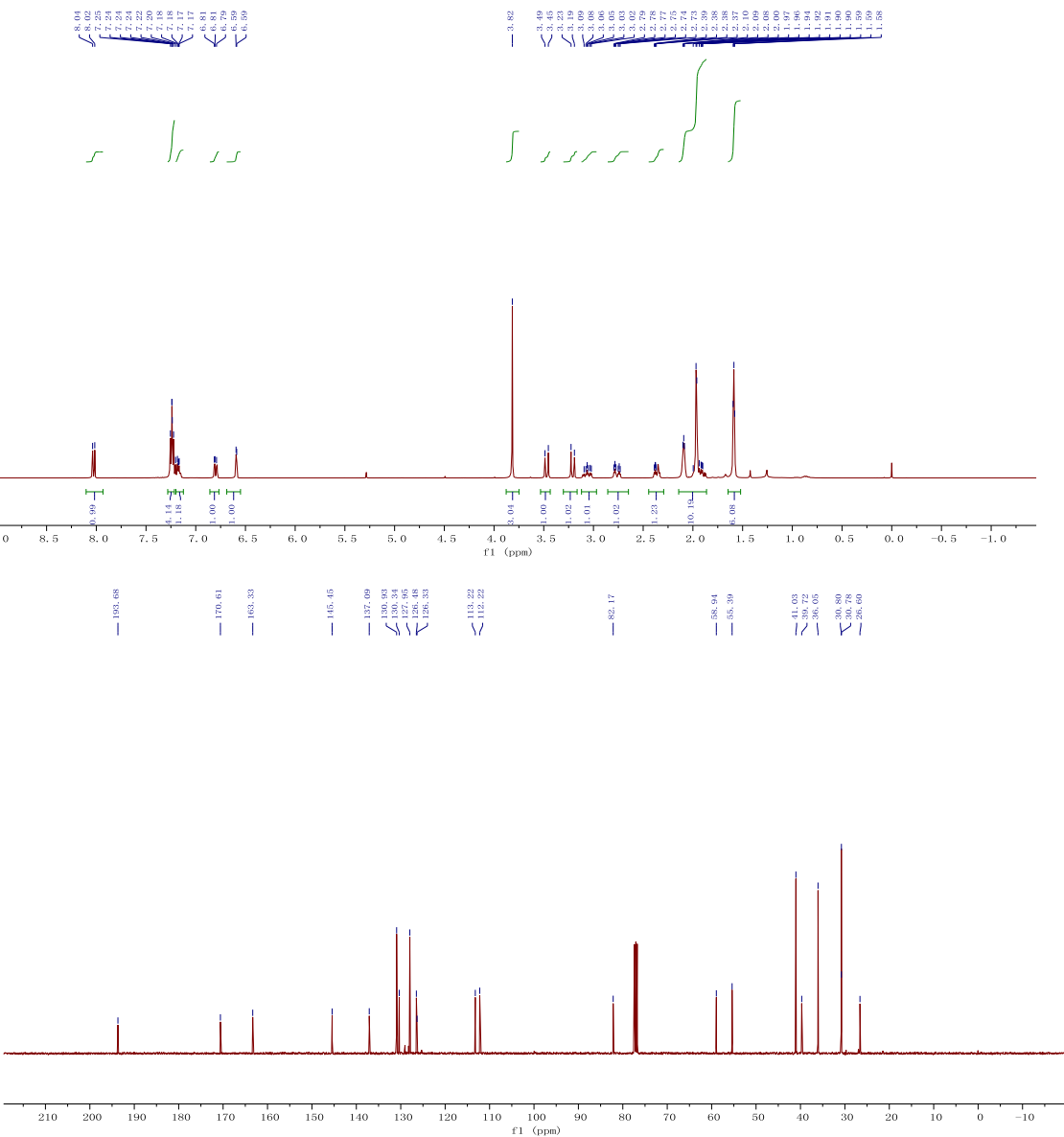




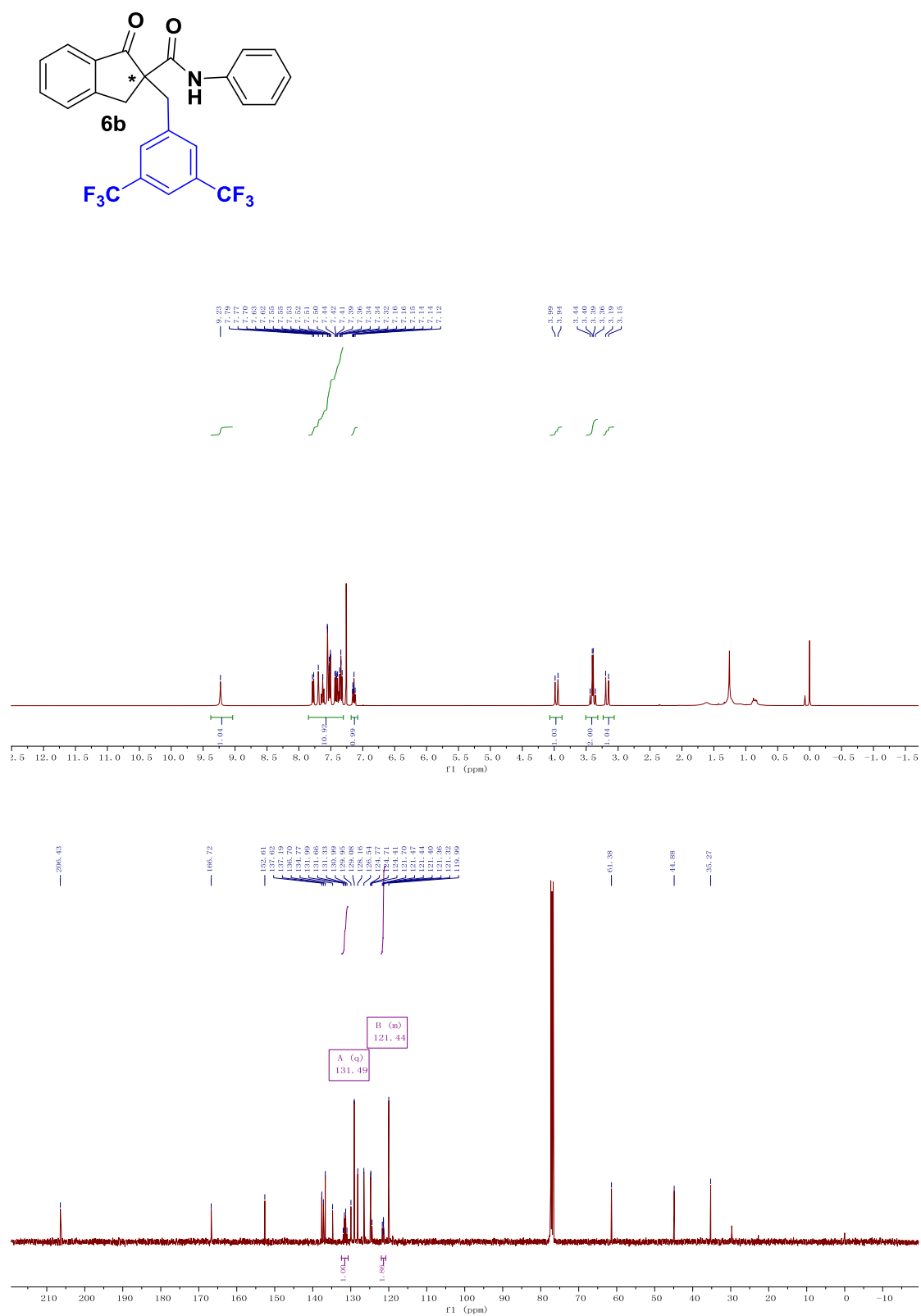




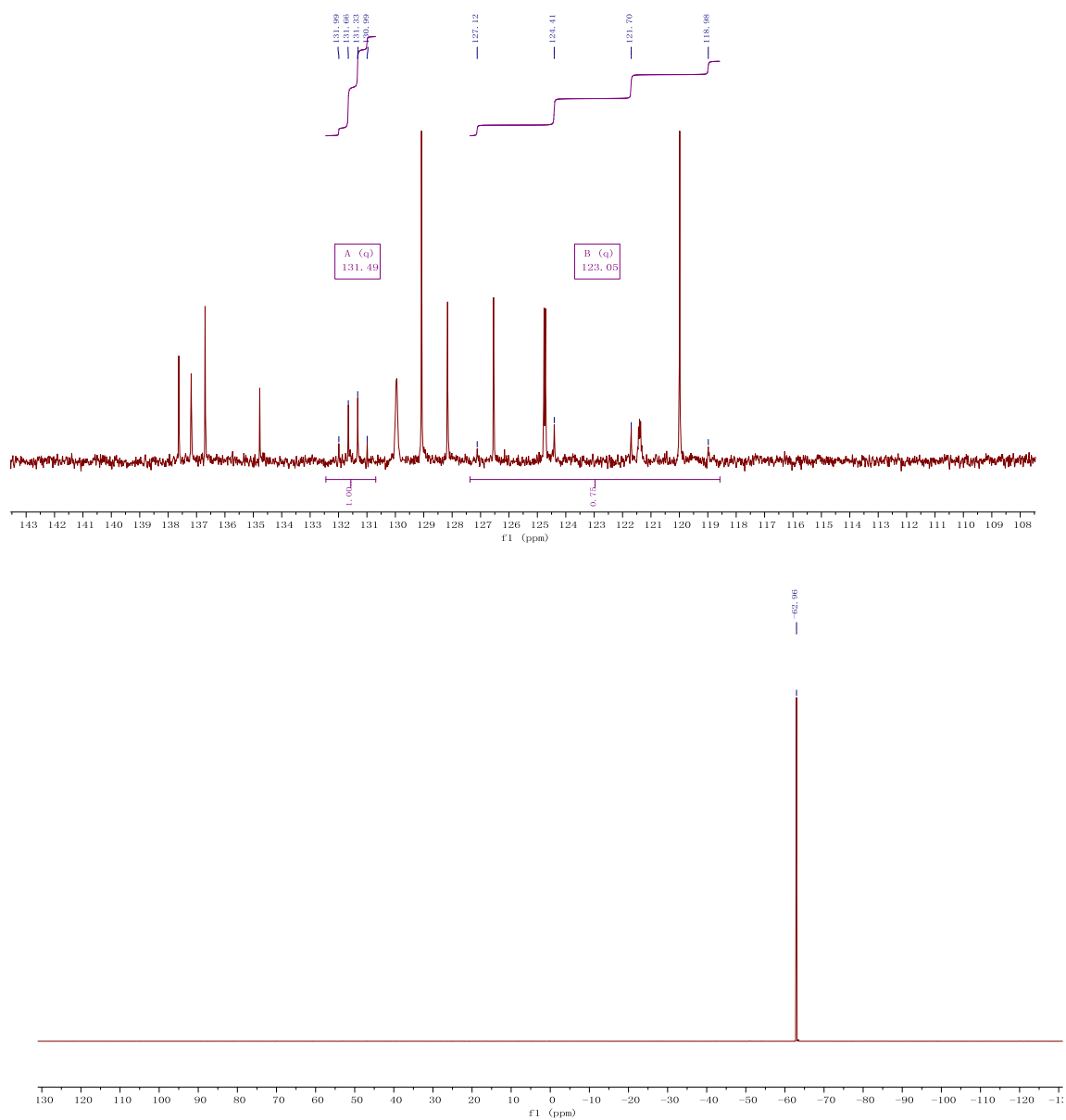


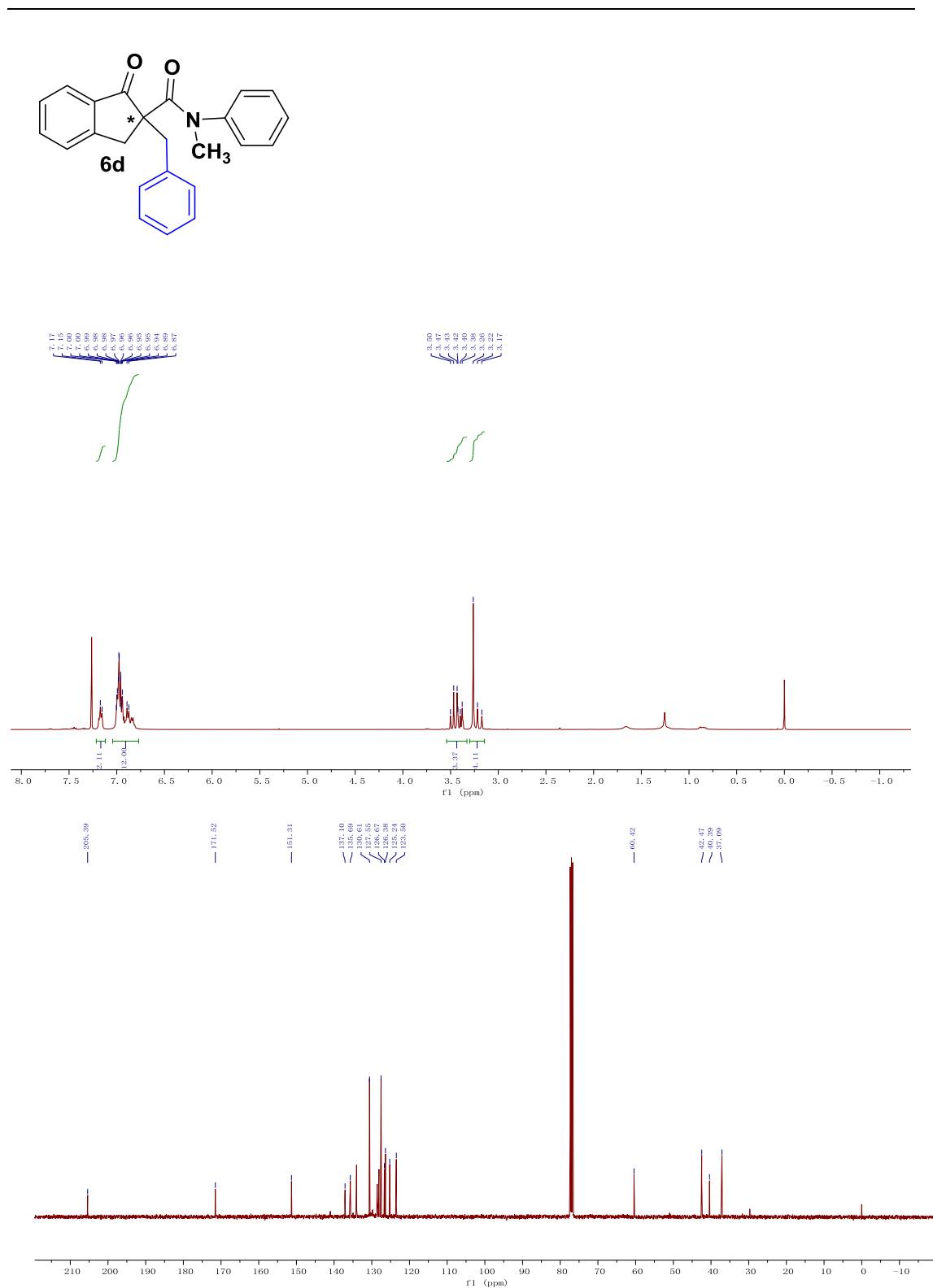


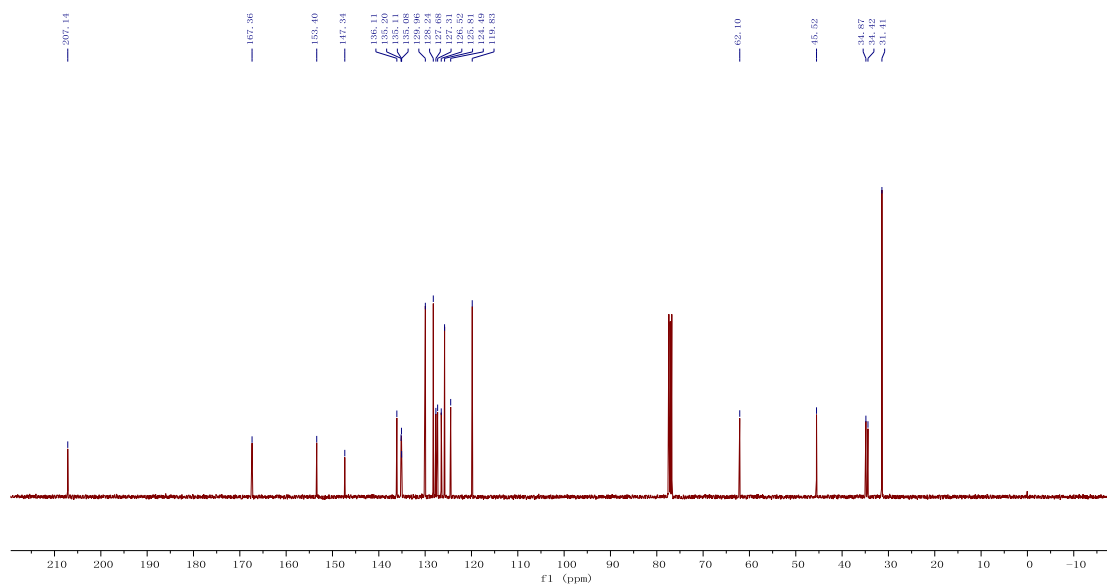
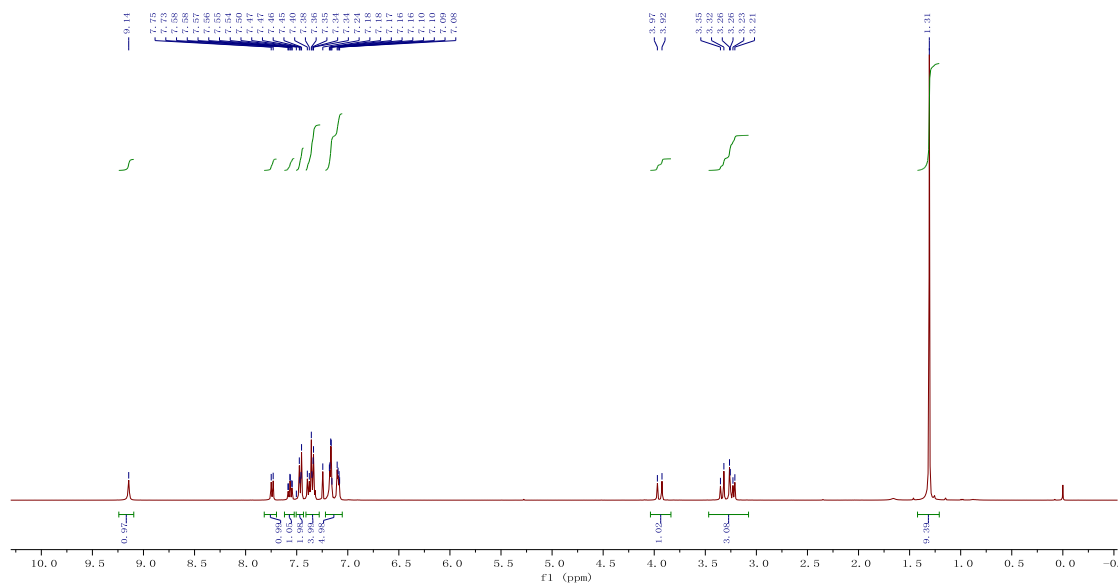
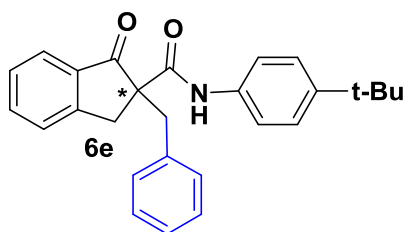


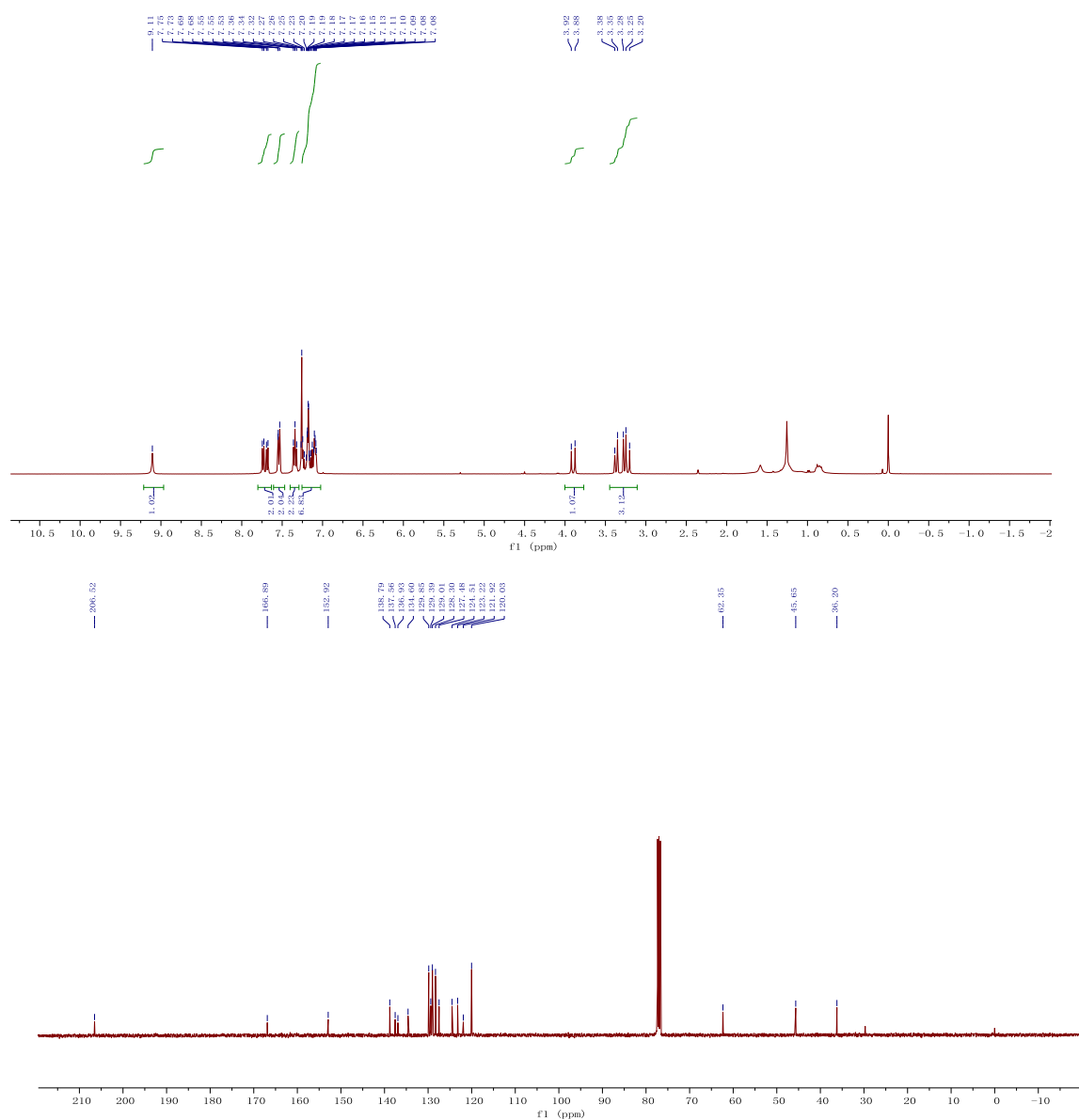


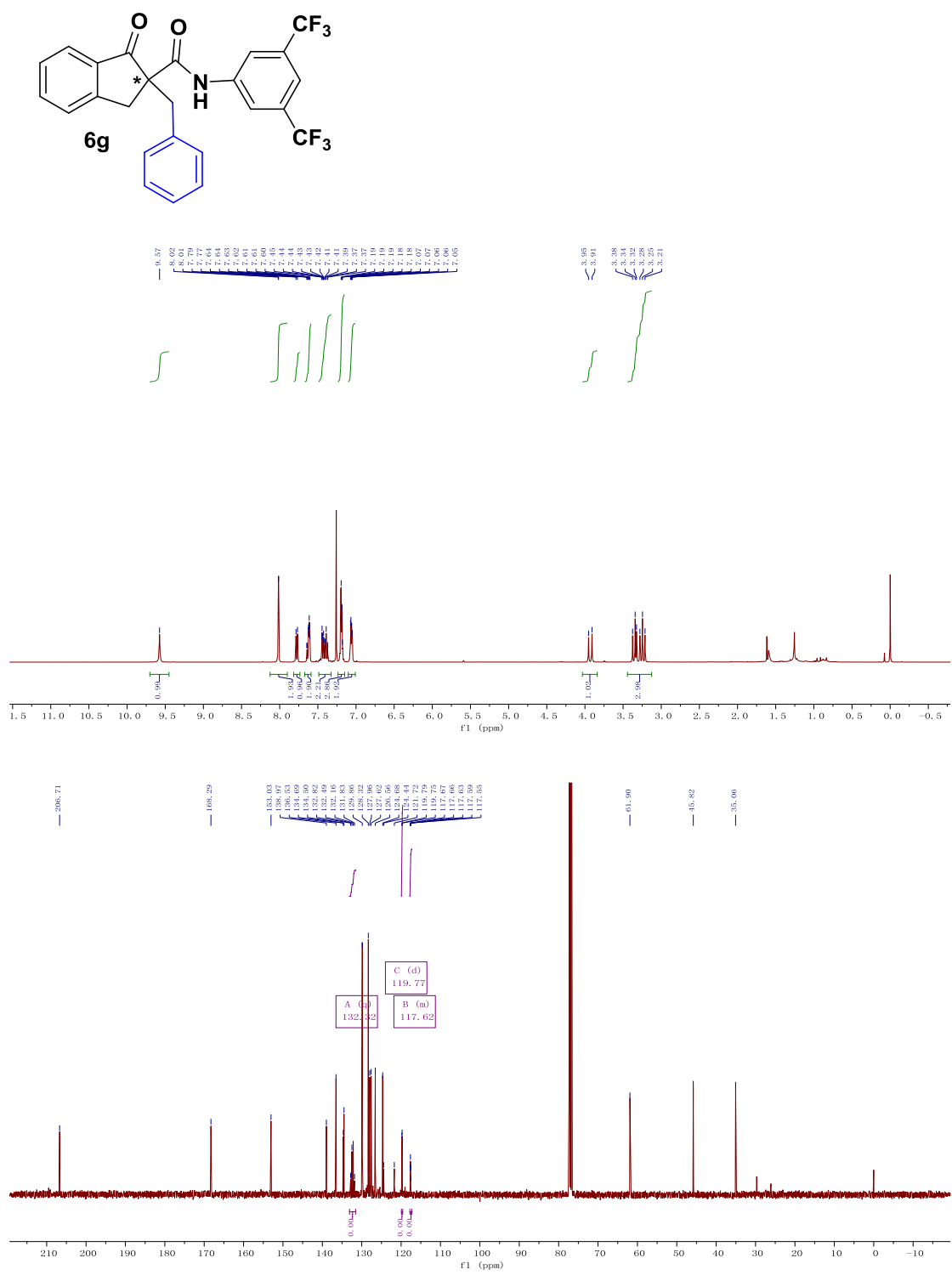
^{13}C NMR (101 MHz, Chloroform- d_3) δ 131.49 (q, $J = 33.5$ Hz), 123.05 (q, $J = 273.0$ Hz).



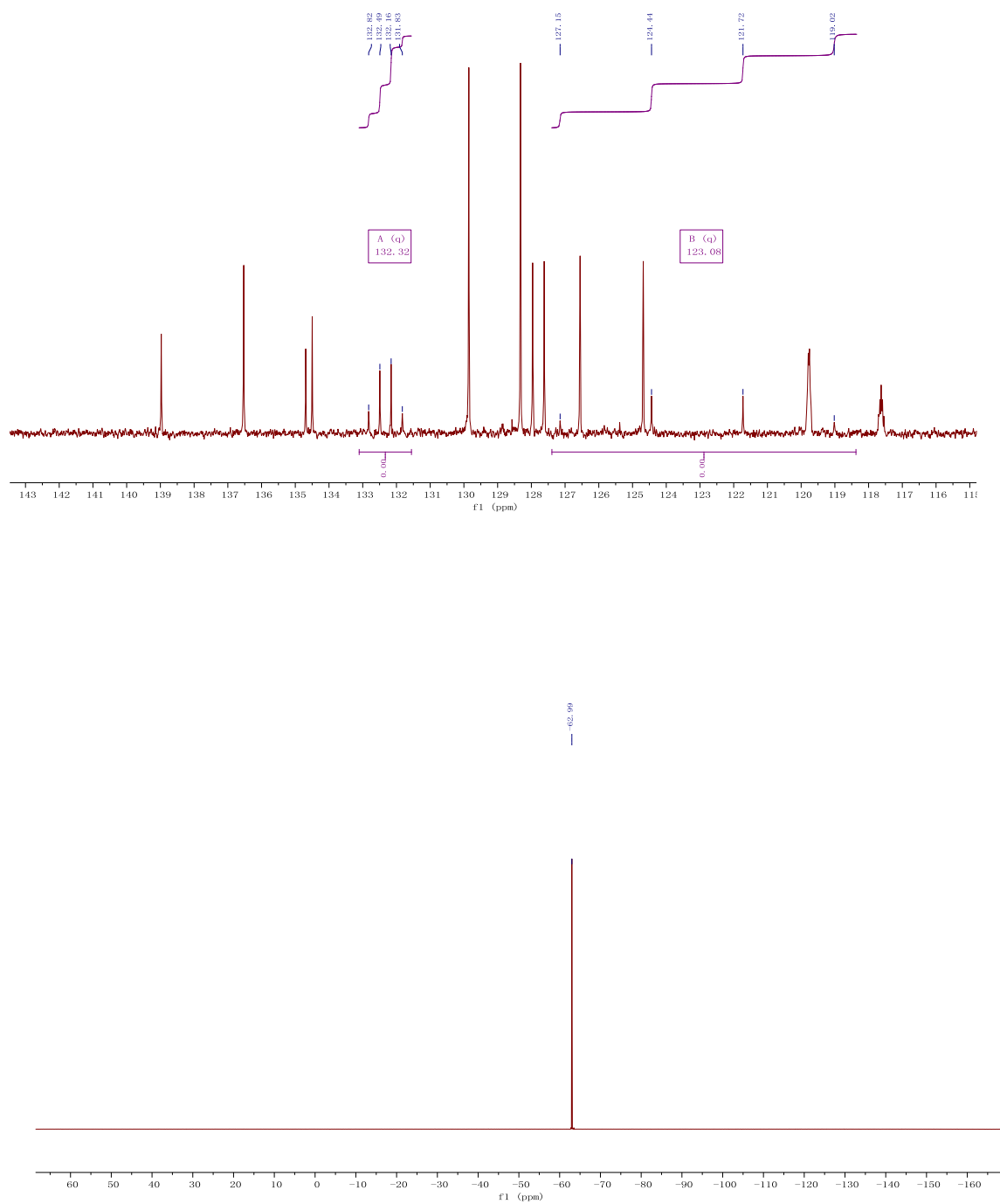


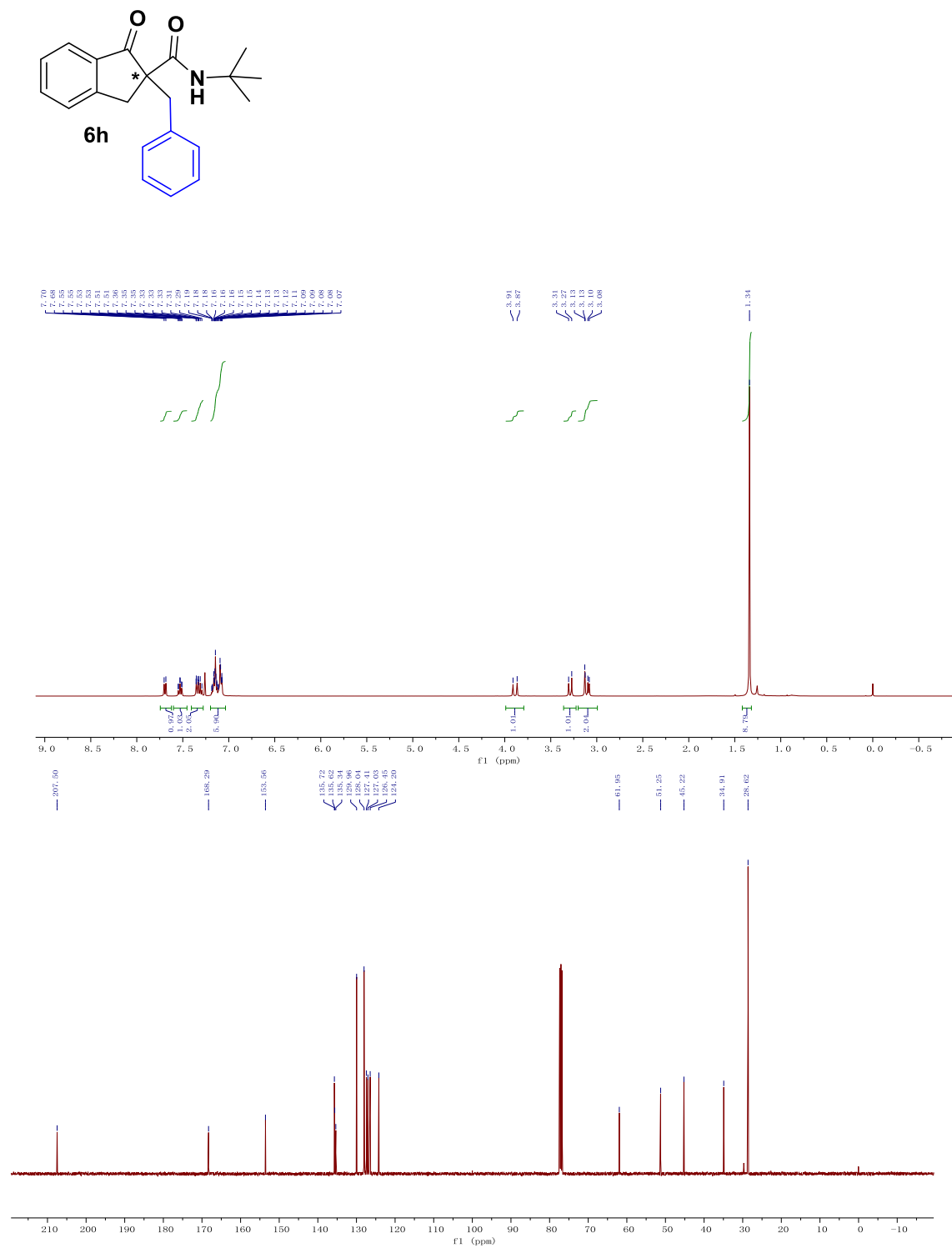




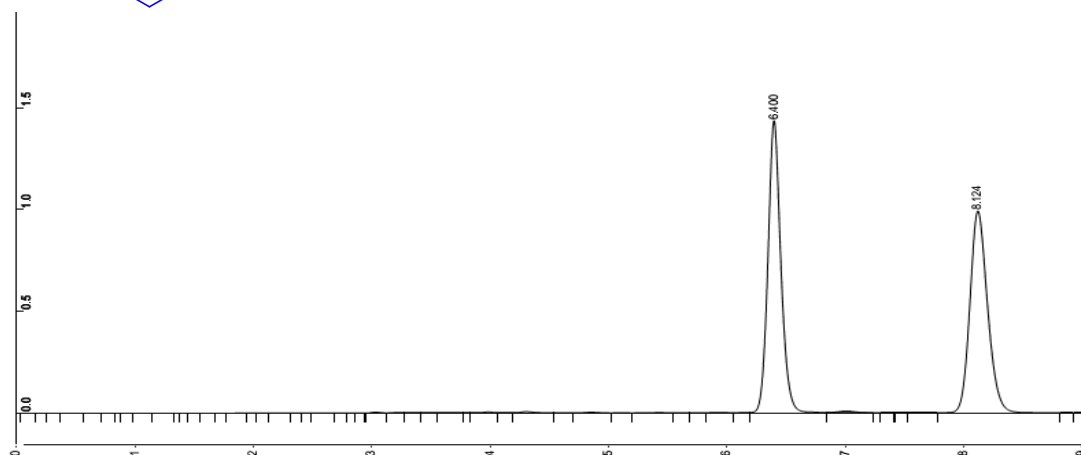
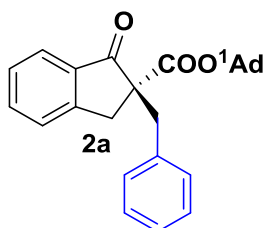


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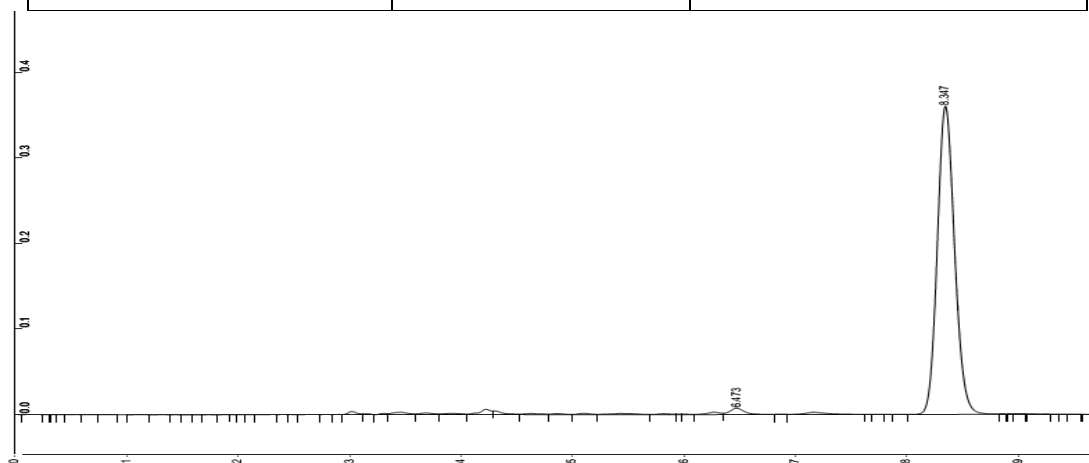




HPLC

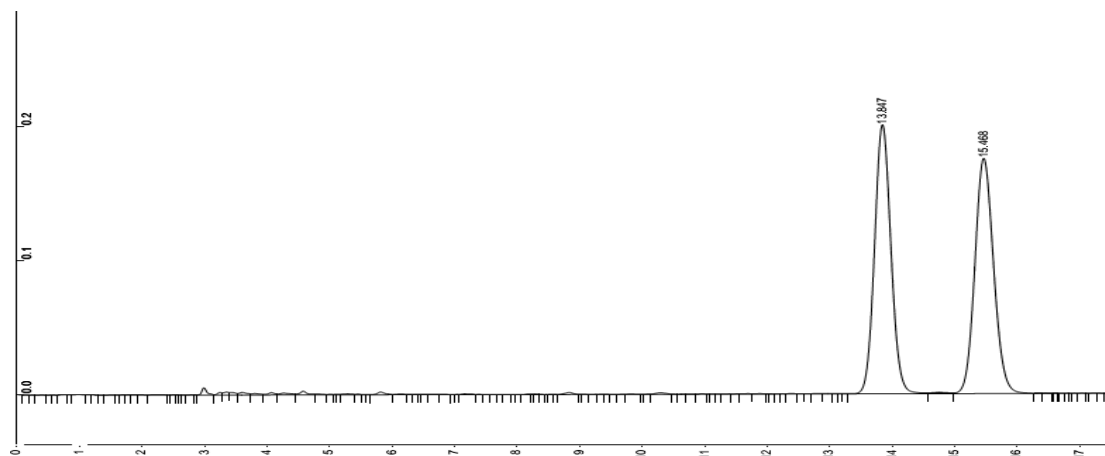
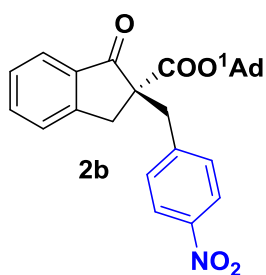


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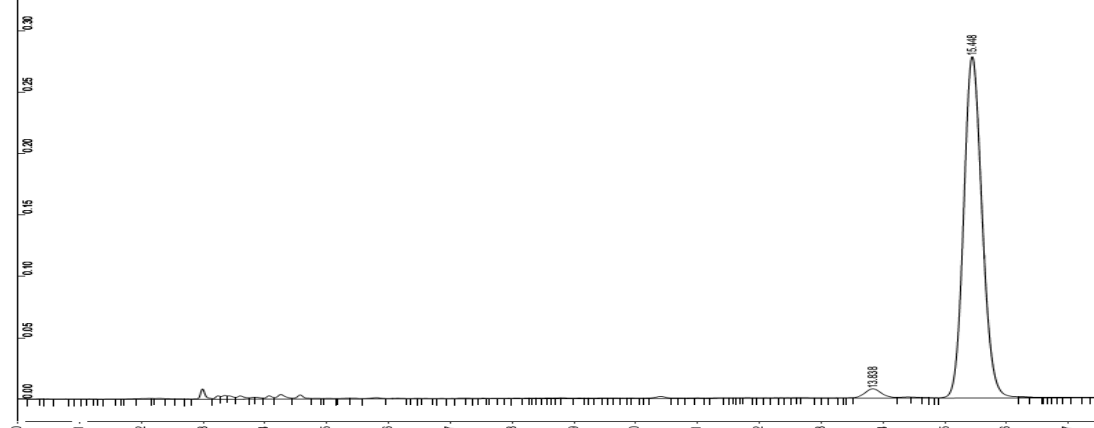


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98% ee

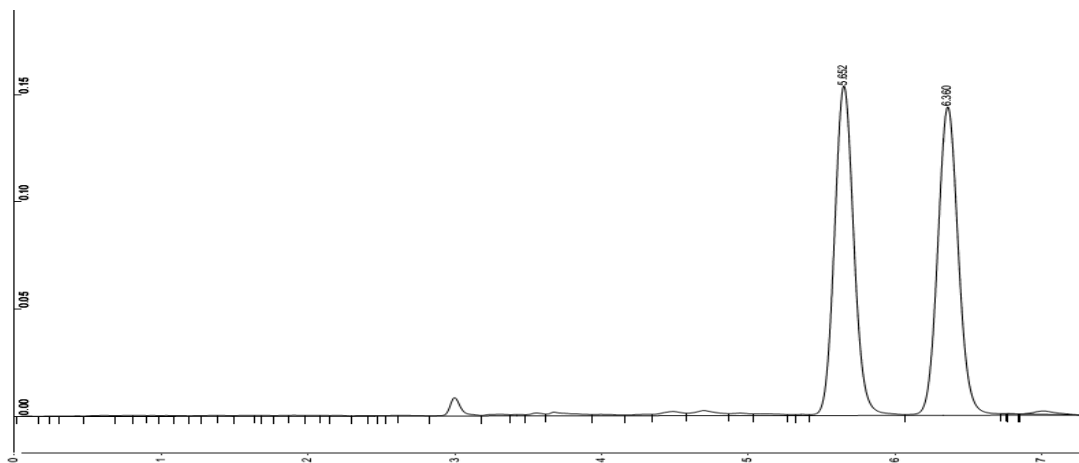
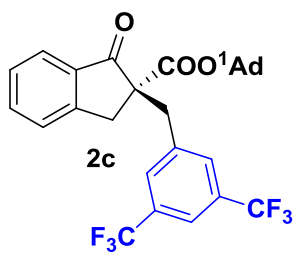


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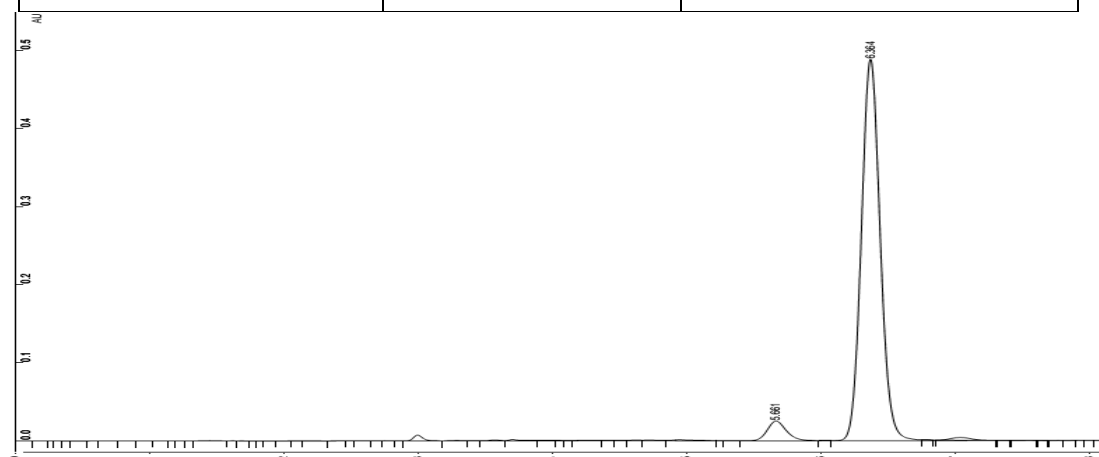


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96% ee

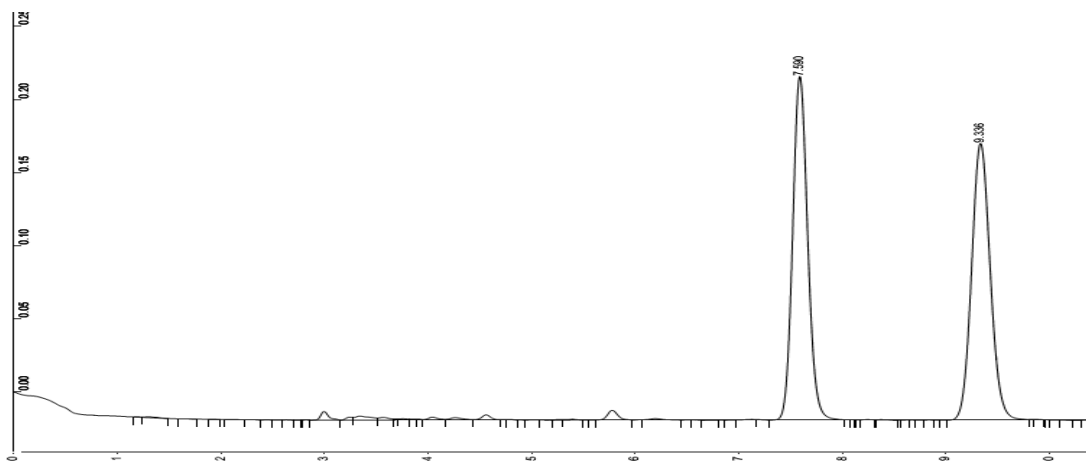
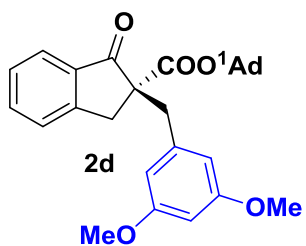


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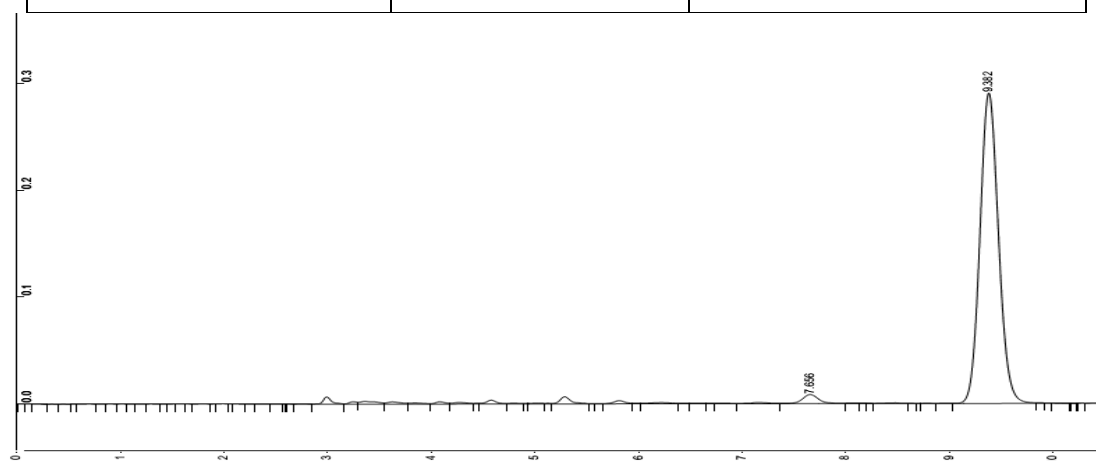


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93% ee

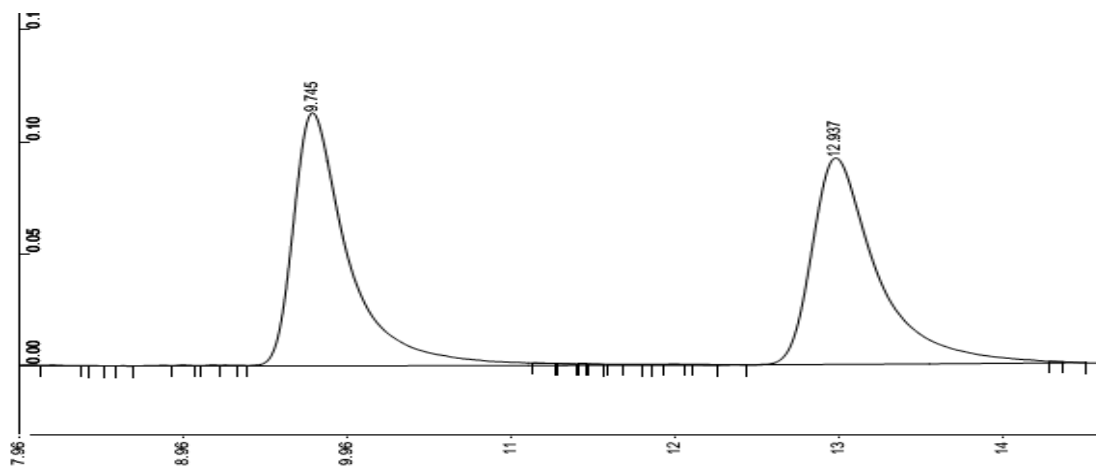
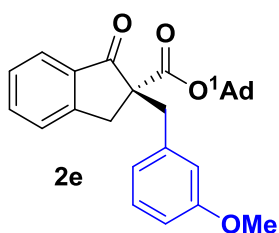


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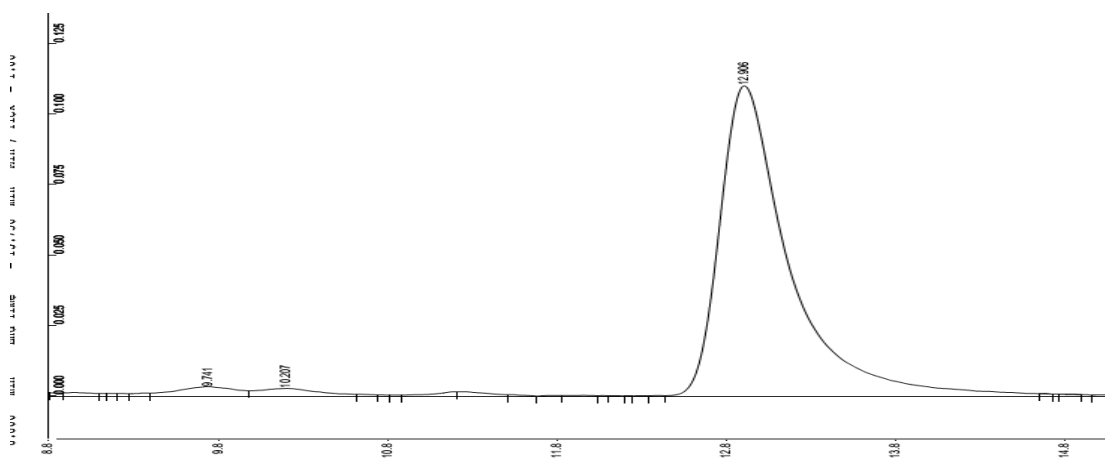


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96% ee

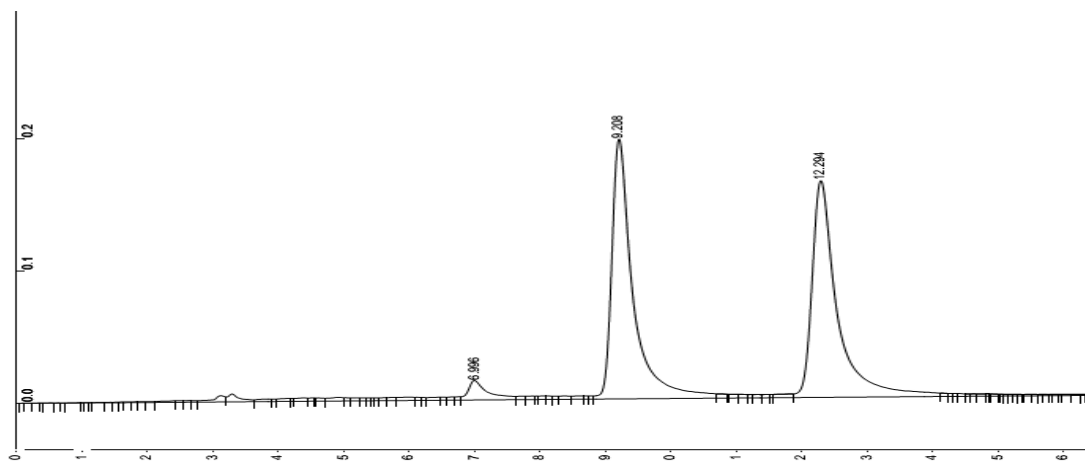
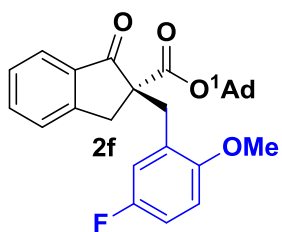


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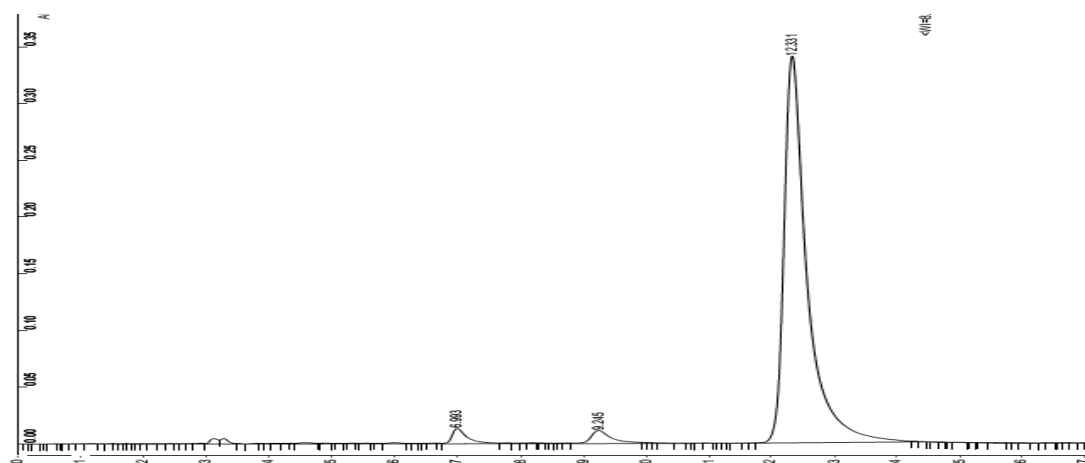


R.Time	Area	Area%
9.741	693973	2.5355
12.906	26676289	97.4645

95% ee

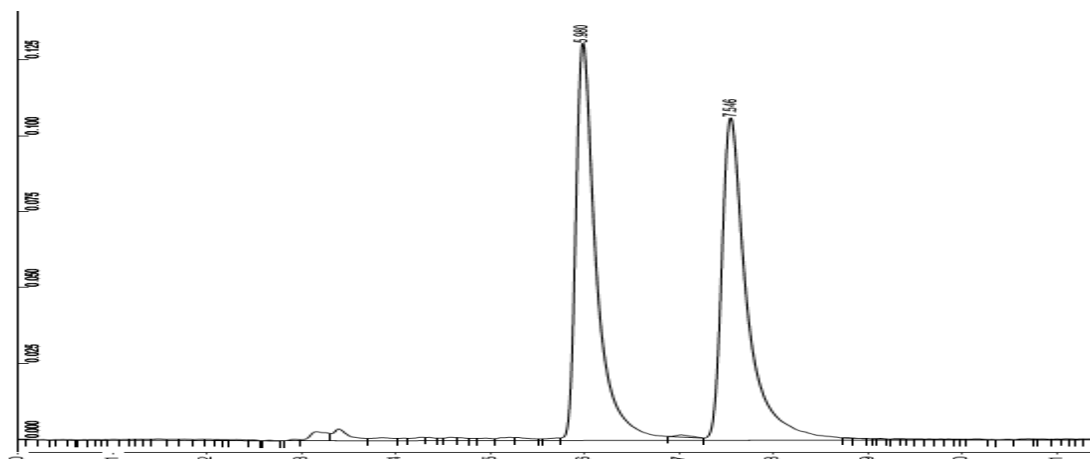
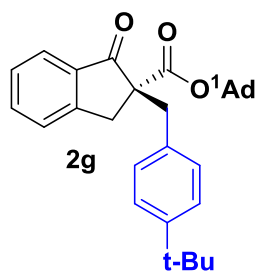


R.Time	Area	Area%
9.208	45892396	49.8896
12.294	46095505	50.1104

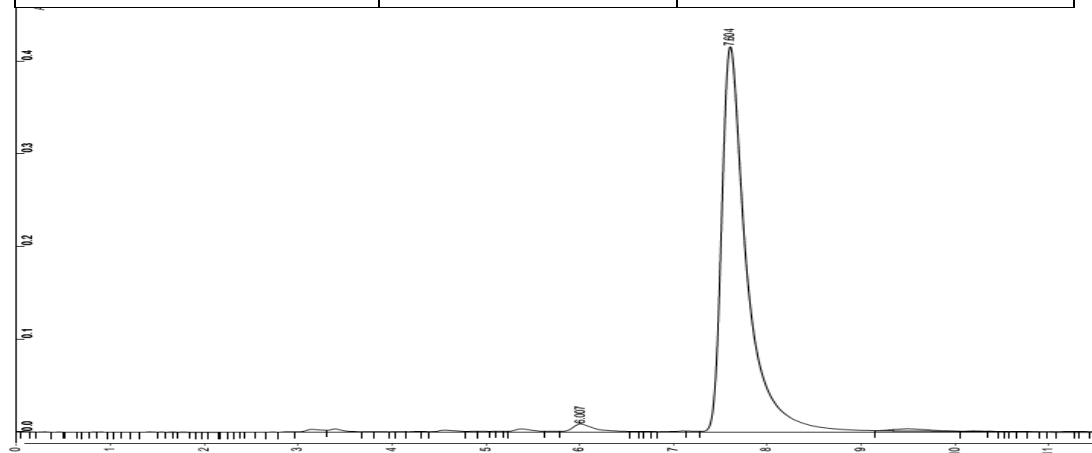


R.Time	Area	Area%
9.245	2536022	2.5770
12.331	96134969	97.4230

95% ee

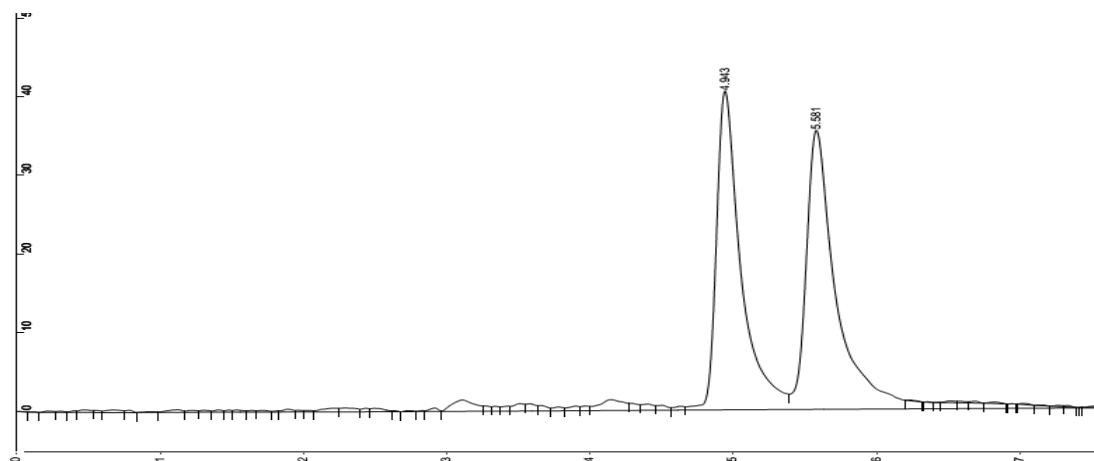
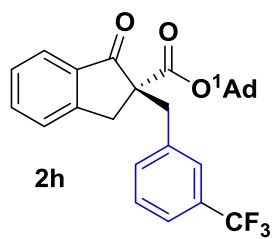


R.Time	Area	Area%
5.980	19907940	49.7831
7.546	20081378	50.2169

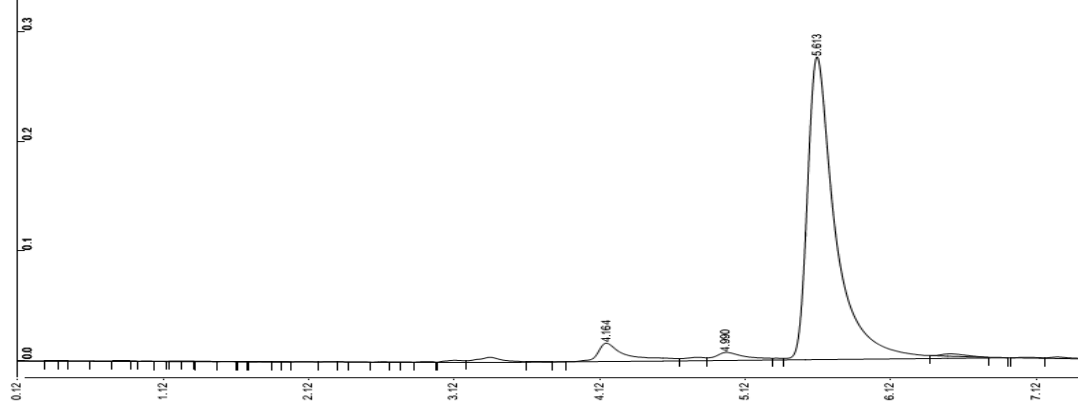


R.Time	Area	Area%
6.007	1669556	2.0876
7.604	78304792	97.9124

96% ee

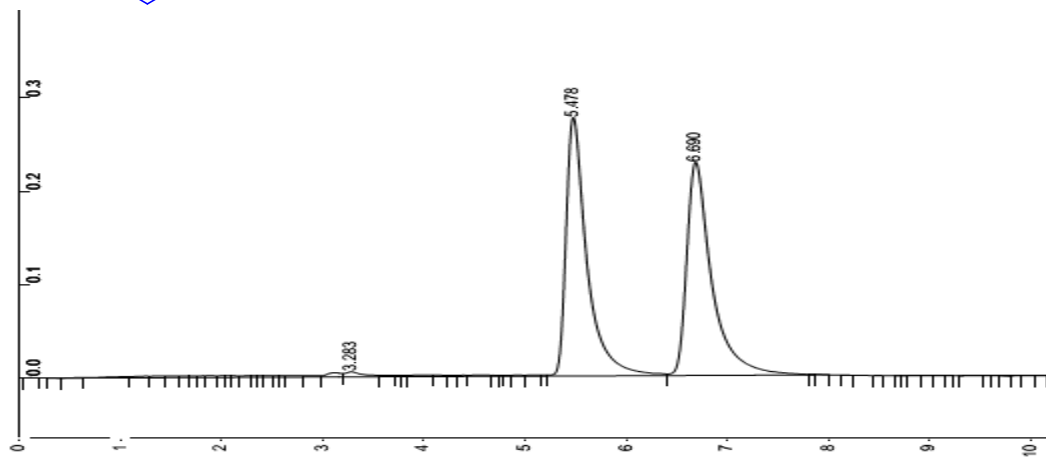
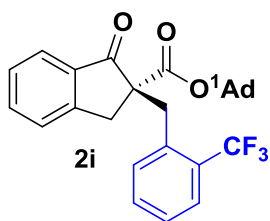


R.Time	Area	Area%
4.943	5613326	49.4146
5.582	5745671	50.5854

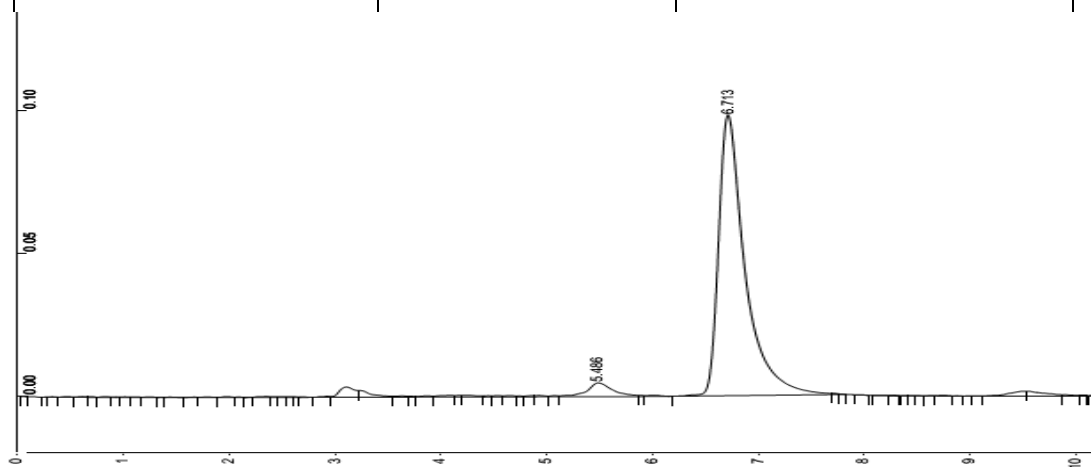


R.Time	Area	Area%
4.990	705193	1.8685
5.613	37035964	98.1315

96% ee

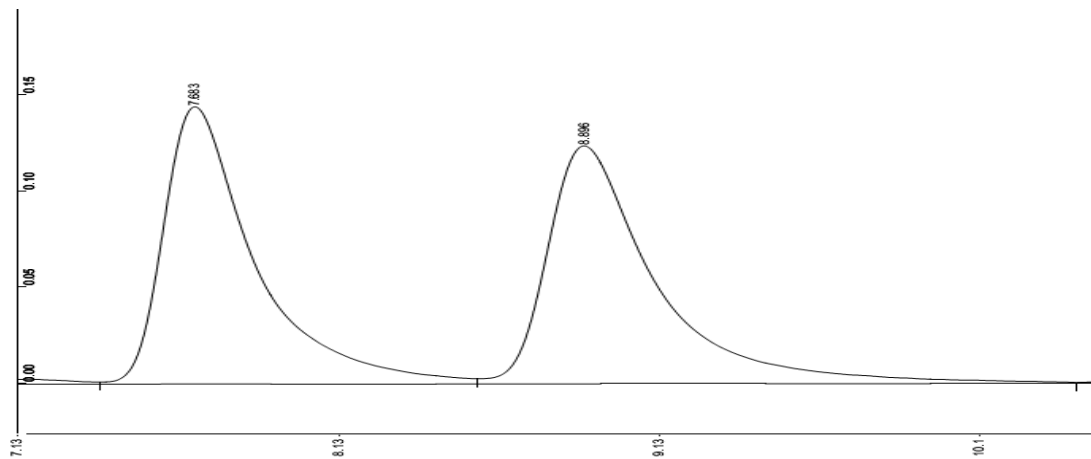
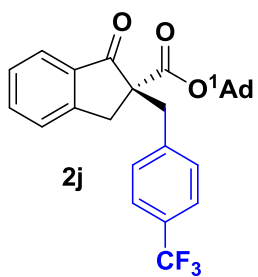


R.Time	Area	Area%
5.478	39809744	49.7792
6.690	40162903	50.2208

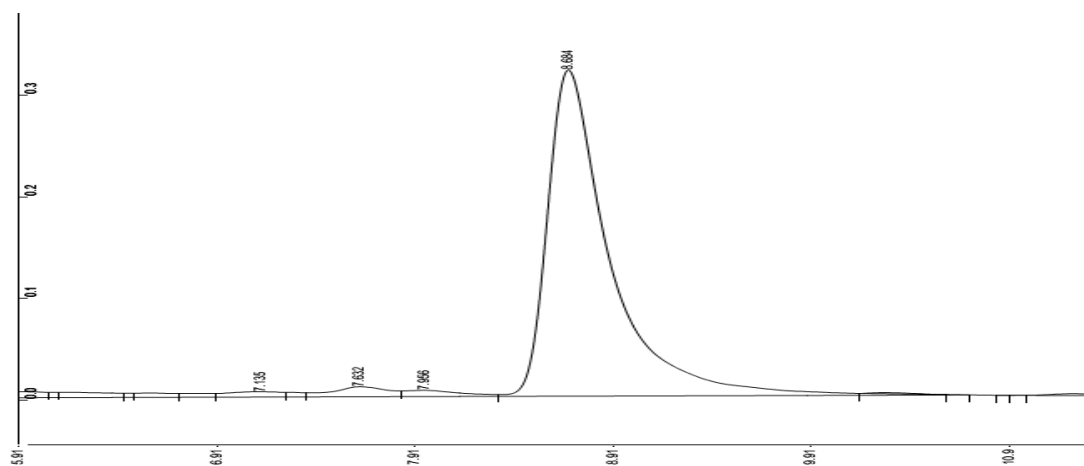


R.Time	Area	Area%
5.486	779251	4.0009
6.713	18896837	95.9991

92% ee

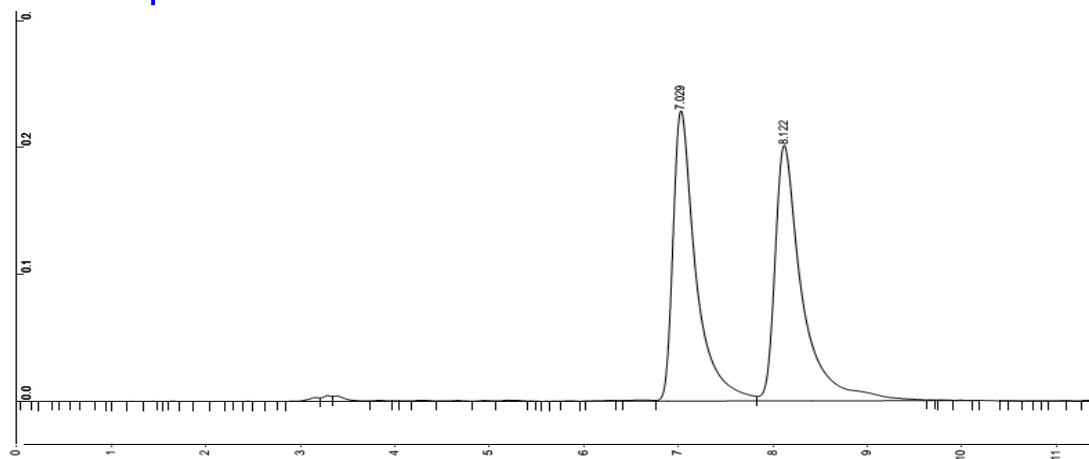
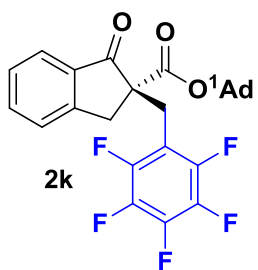


R.Time	Area	Area%
7.683	28628800	49.7594
8.896	28905655	50.2406

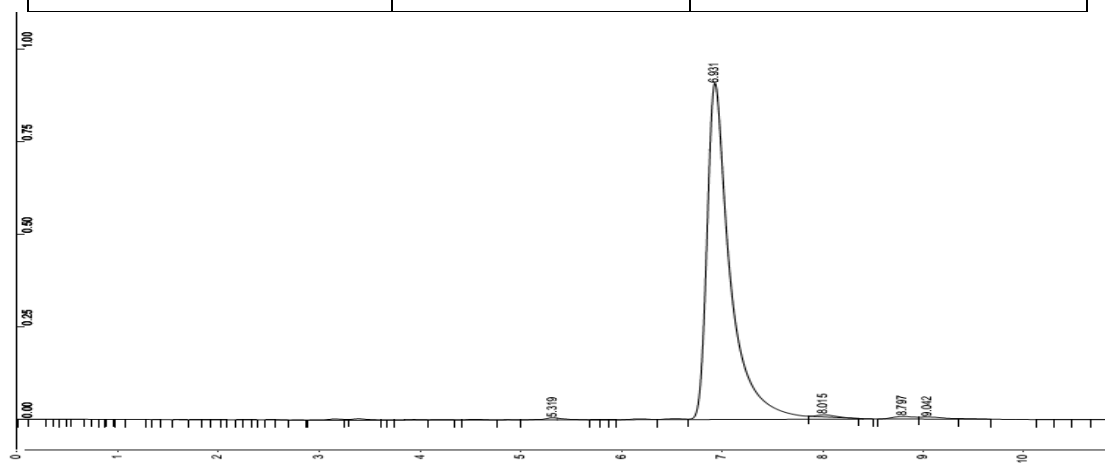


R.Time	Area	Area%
7.632	1797814	2.4988
8.684	70114752	97.5012

95% ee

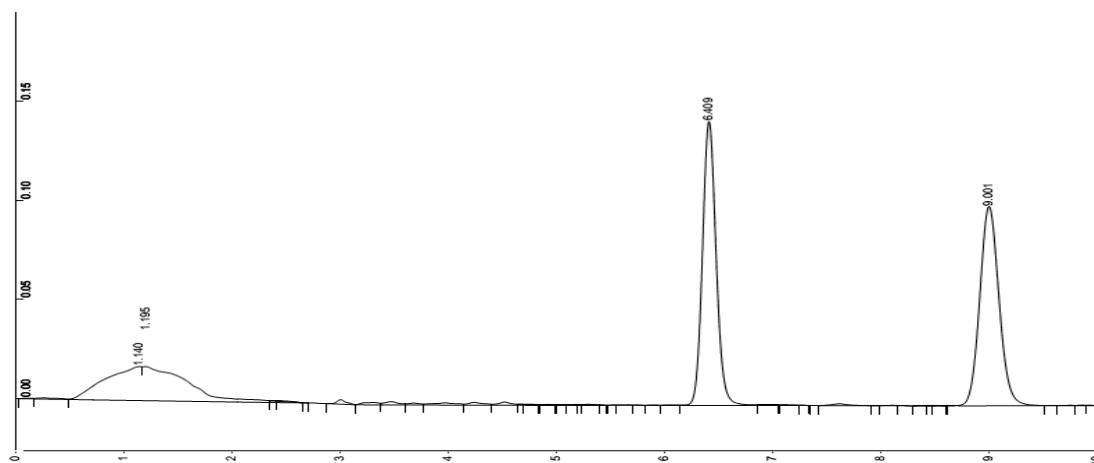
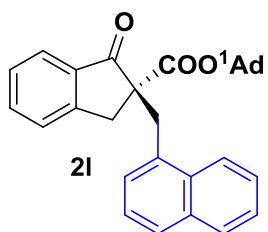


R.Time	Area	Area%
7.029	38441140	48.9184
8.122	40141076	51.0816

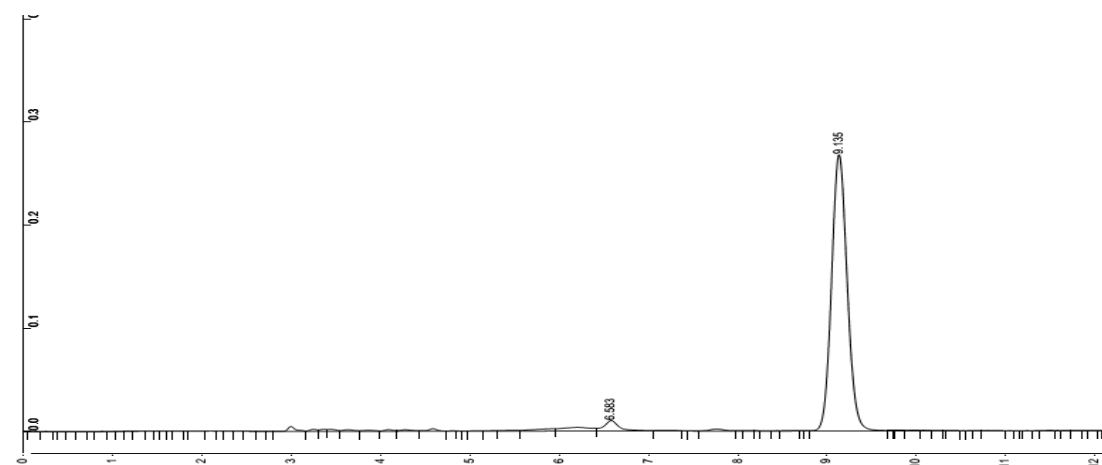


R.Time	Area	Area%
6.931	145993456	99.0163
8.122	1450405	0.9837

98% ee

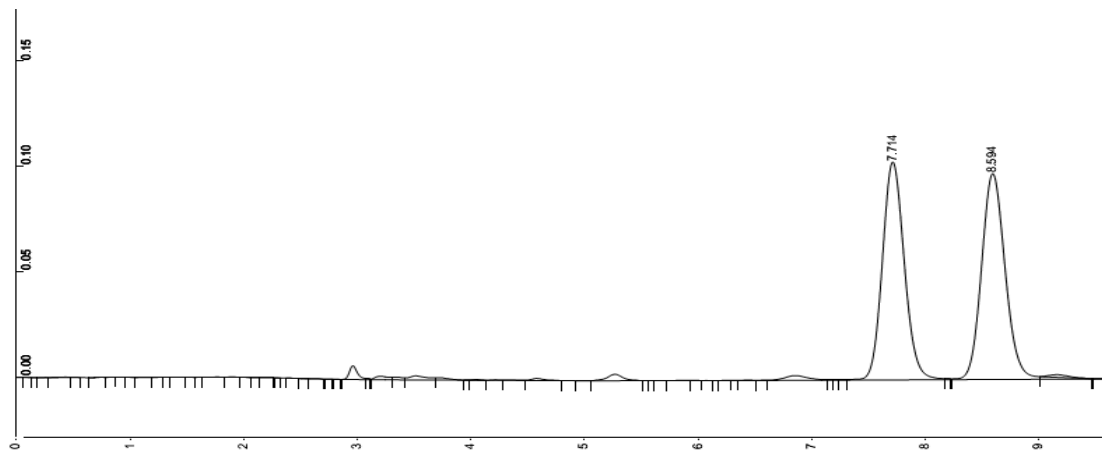
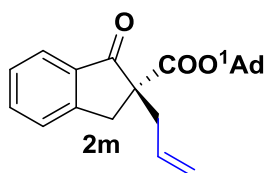


R.Time	Area	Area%
6.409	12579544	51.0799
9.001	12440818	48.9201



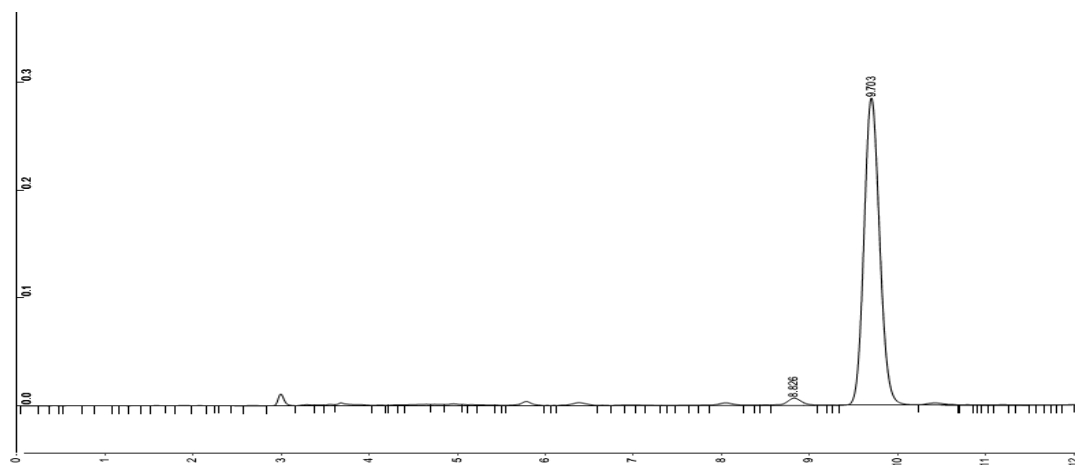
R.Time	Area	Area%
6.583	1367431	3.0894
9.135	42934164	96.9106

94% ee



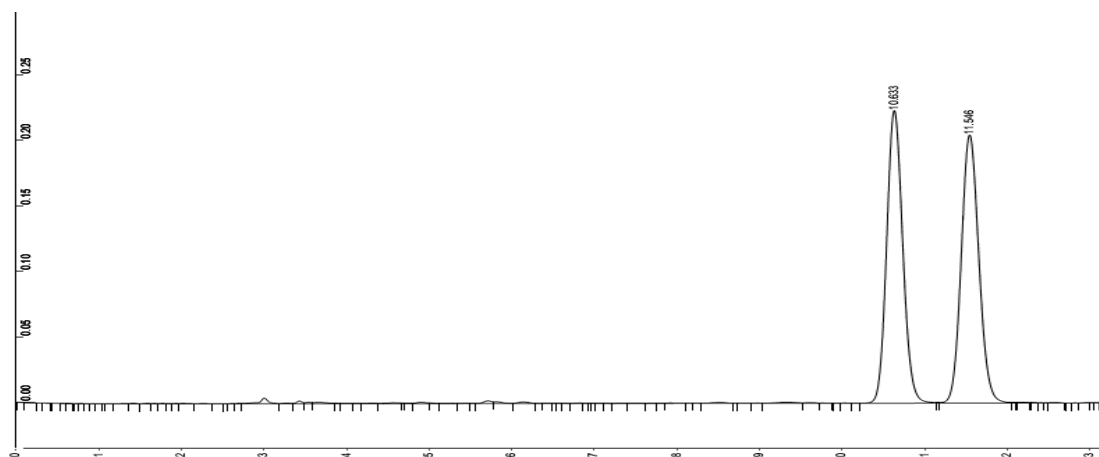
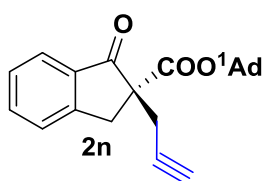
R.Time	Area	Area%
7.714	13856219	49.3116
8.594	14243090	50.6884

Flow rate: 1.0 mL/min

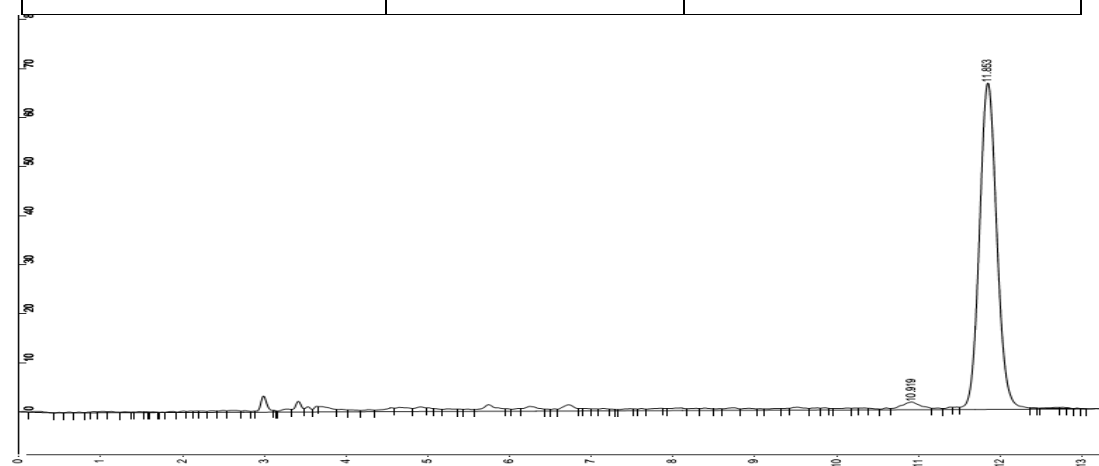


R.Time	Area	Area%
8.826	789045	1.5152
9.703	5137758	98.4848

97% ee (Flow rate: 0.9 mL/min)

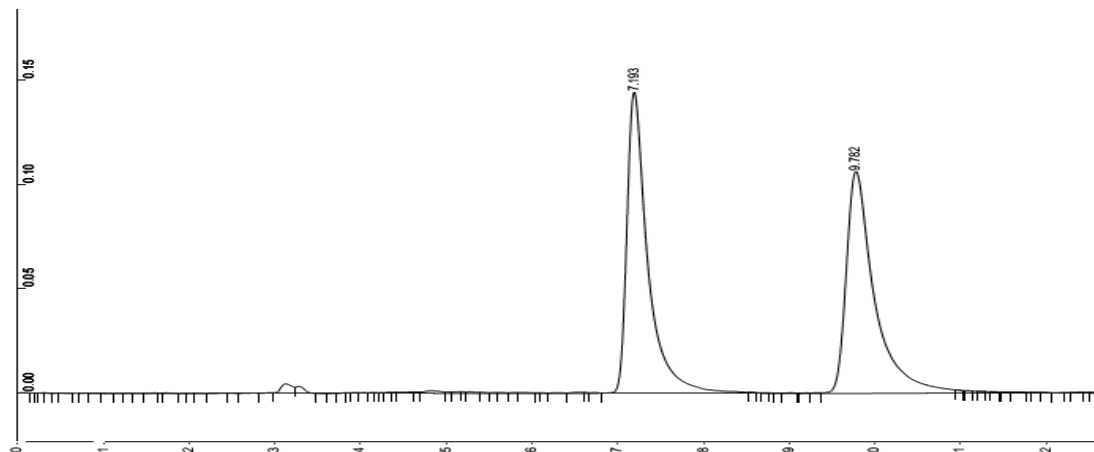
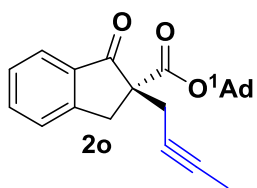


R.Time	Area	Area%
10.633	30160874	49.8519
11.546	30340132	50.1481

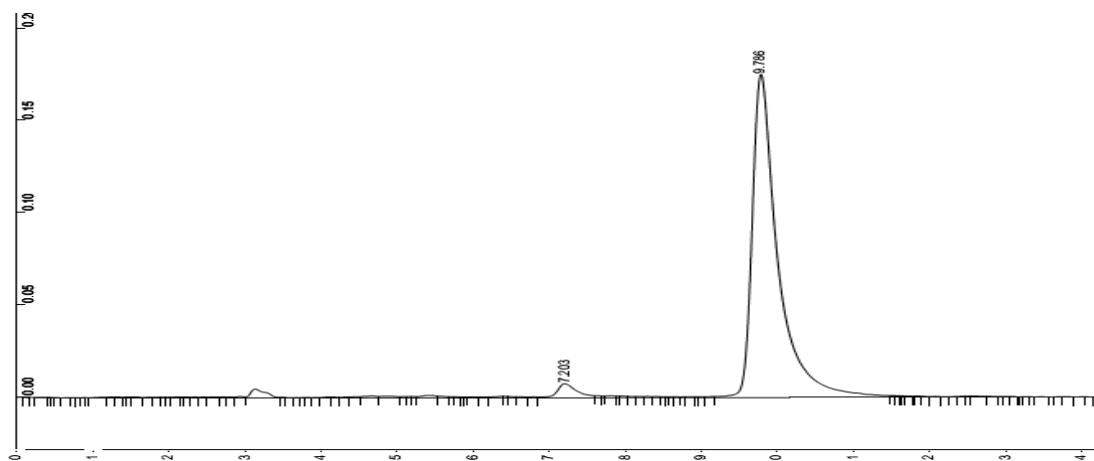


R.Time	Area	Area%
10.919	254019	1.5126
11.853	16539515	98.4874

97% ee

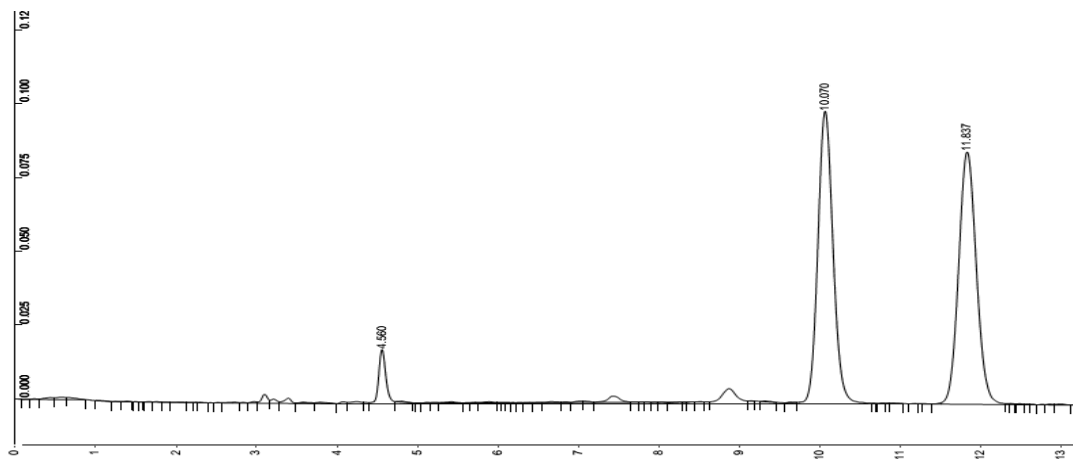
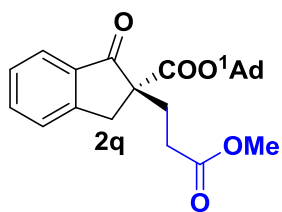


R.Time	Area	Area%
7.193	24703928	49.8555
9.782	24847122	50.1455

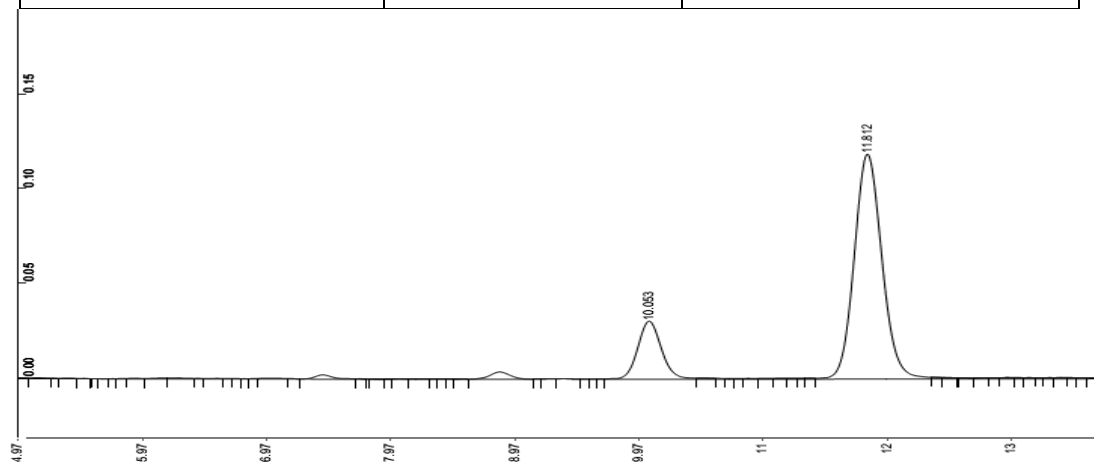


R.Time	Area	Area%
7.203	1332219	2.5500
9.786	50911663	97.4500

95% ee

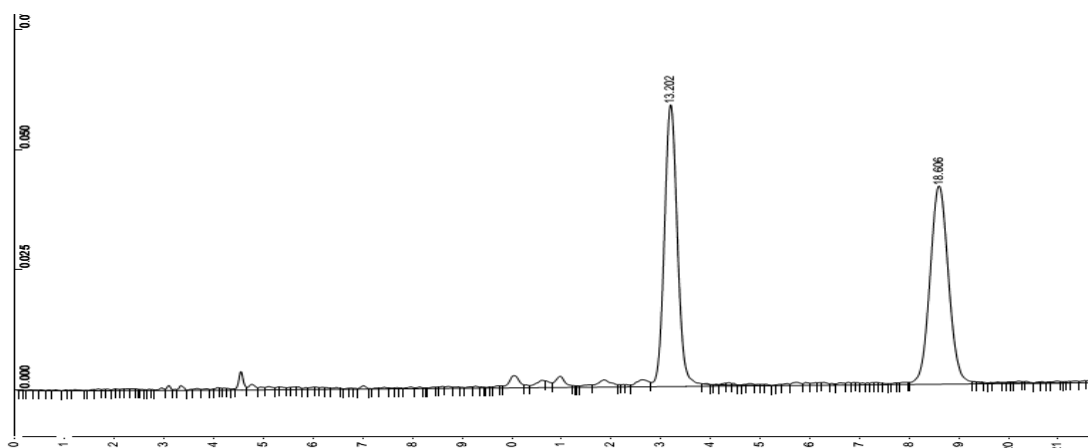


R.Time	Area	Area%
10.070	12963944	49.2031
11.837	13126453	50.7969

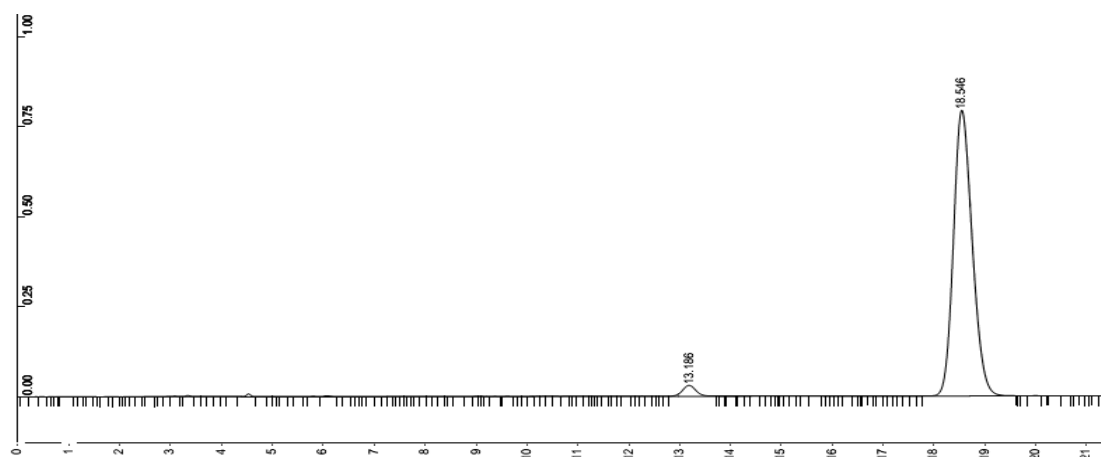


R.Time	Area	Area%
10.053	4320303	17.4966
11.812	20371940	82.5034

65% ee

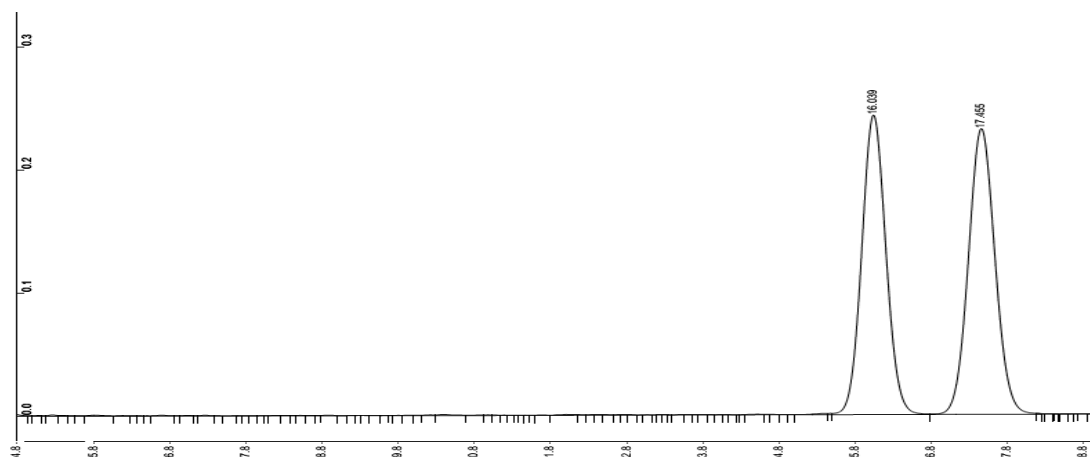
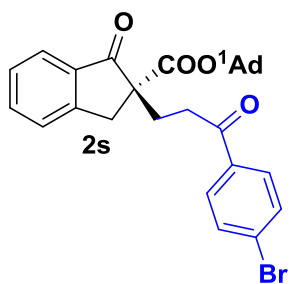


R.Time	Area	Area%
13.202	10765787	50.0675
18.606	10736776	49.9325

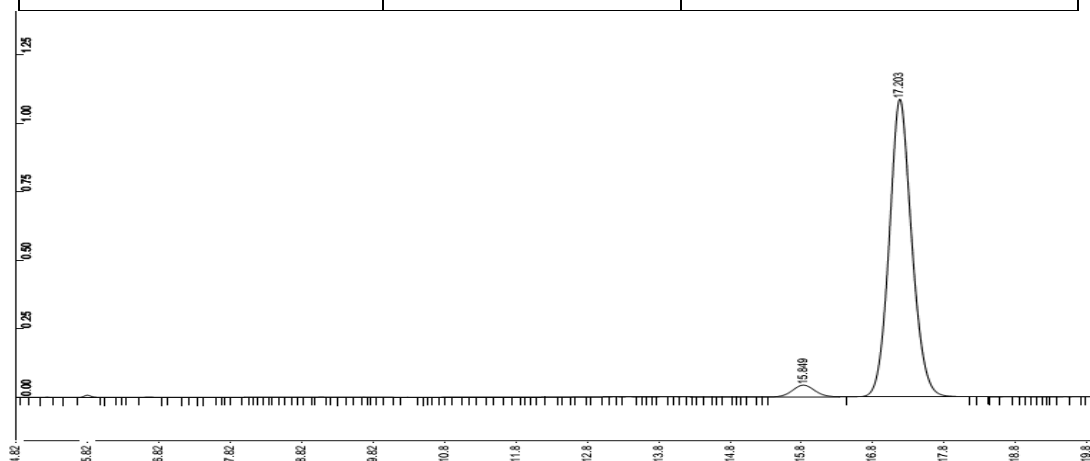


R.Time	Area	Area%
13.186	6255667	2.0775
18.546	294859471	97.9225

71

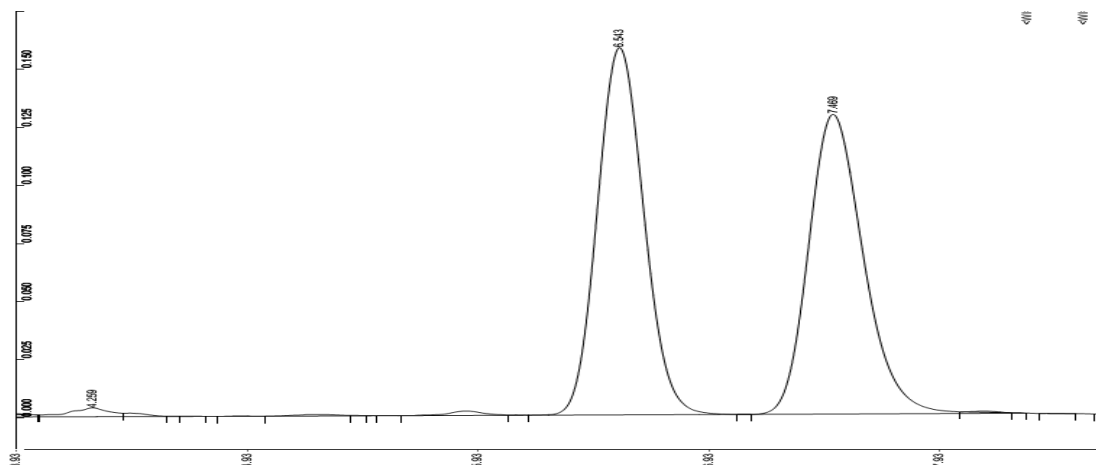
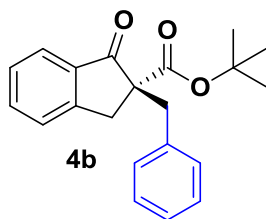


R.Time	Area	Area%
16.039	53752908	48.8273
17.455	56334876	51.1727

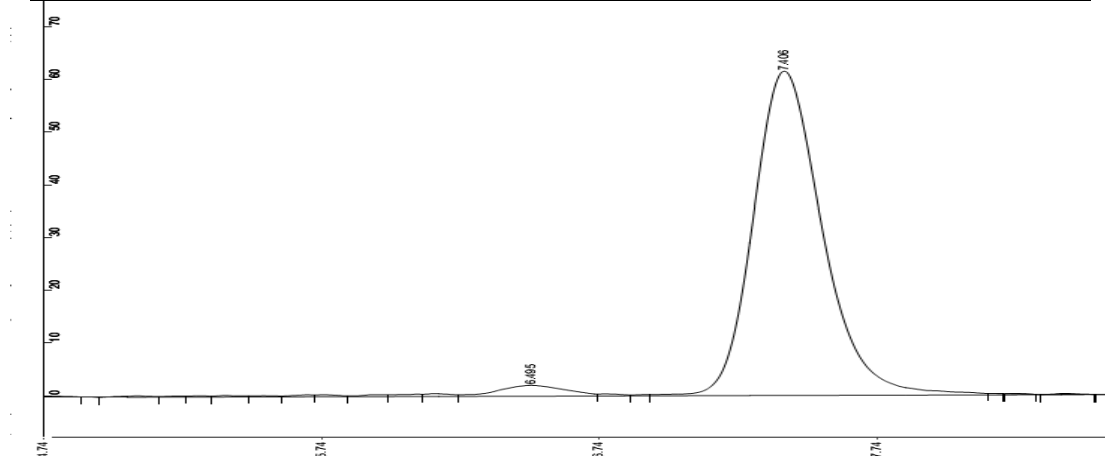


R.Time	Area	Area%
15.849	9468890	3.0053
17.455	305604148	96.9947

94% ee

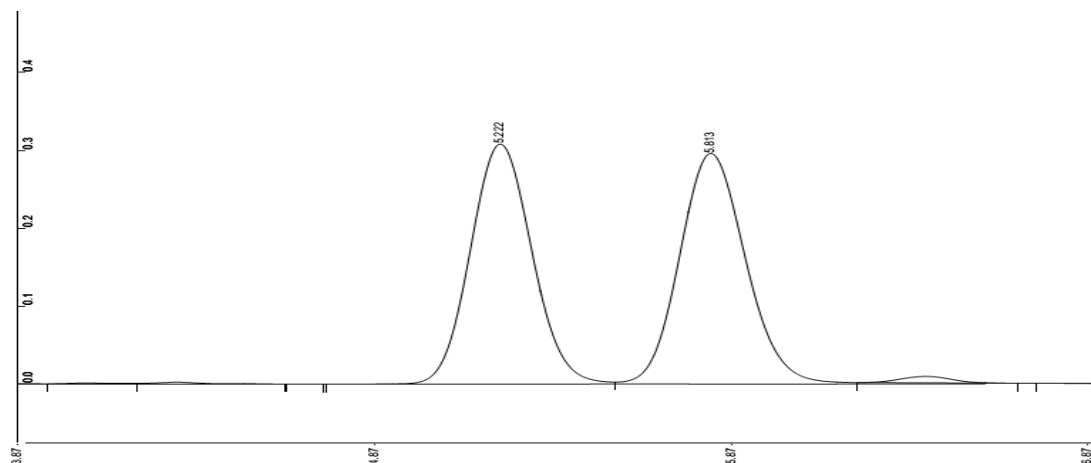
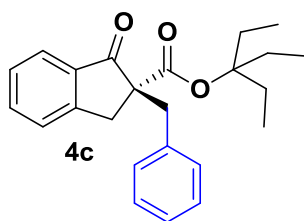


R.Time	Area	Area%
6.543	22162344	50.7566
7.469	21284016	49.2434

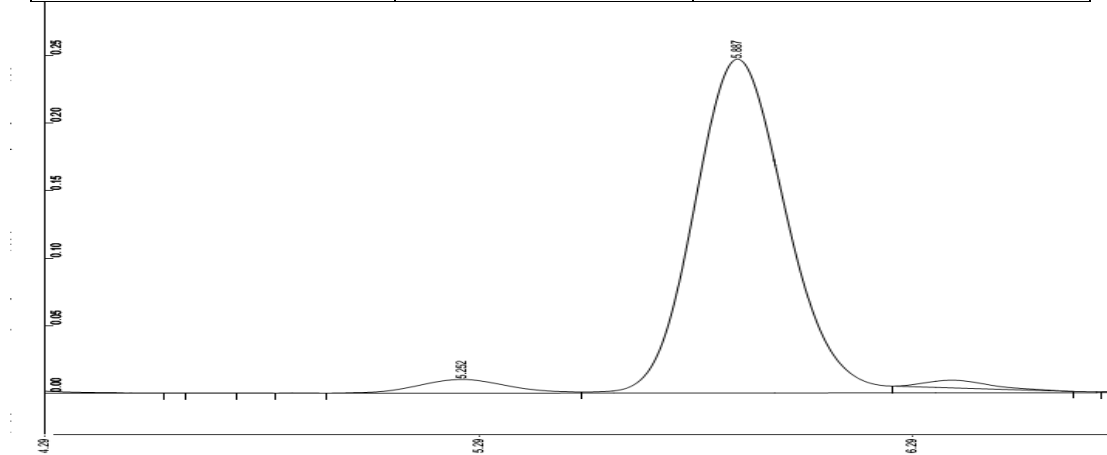


R.Time	Area	Area%
6.495	334543	3.0132
7.406	10768038	96.9868

94% ee

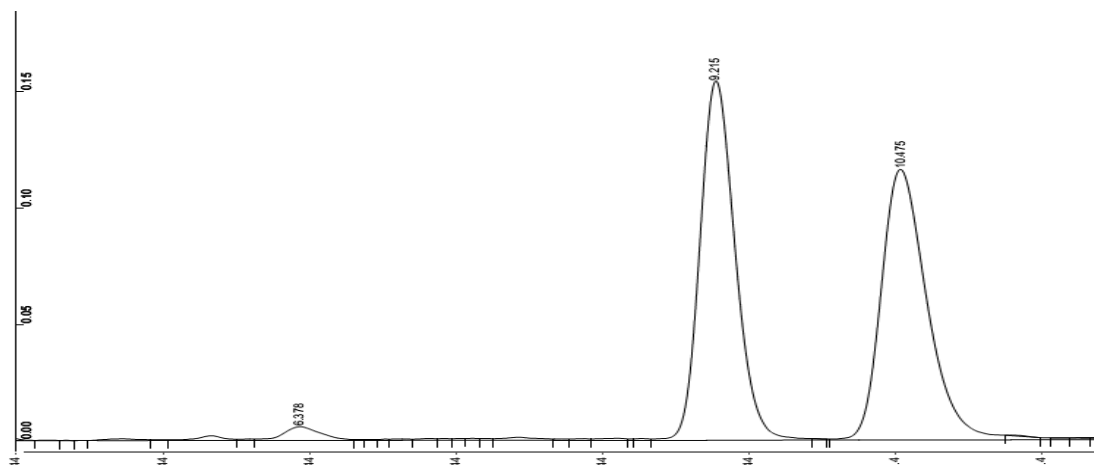
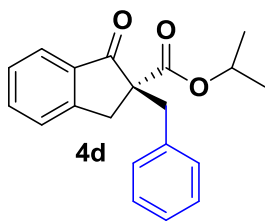


R.Time	Area	Area%
5.222	36961892	49.5267
5.813	38335240	50.4733

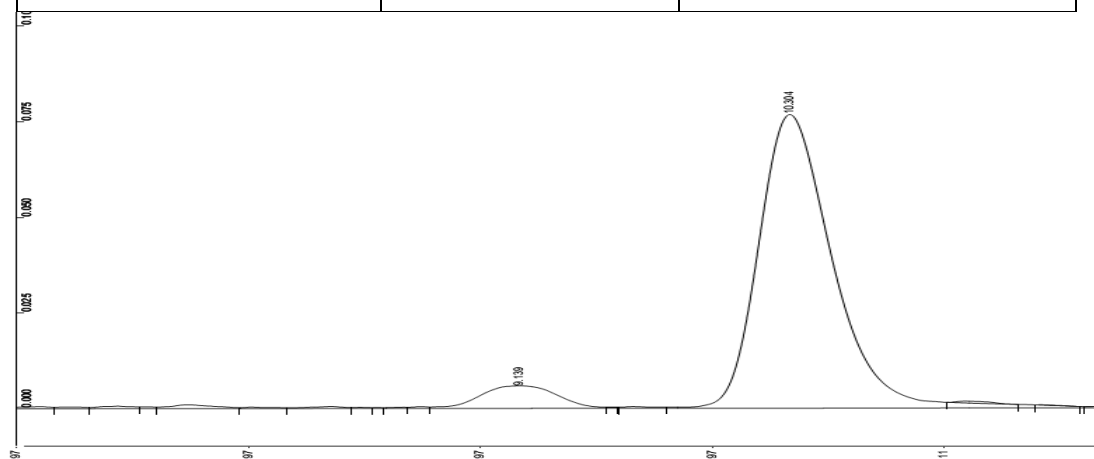


R.Time	Area	Area%
5.252	1430999	3.4209
5.887	40400069	96.5791

93% ee

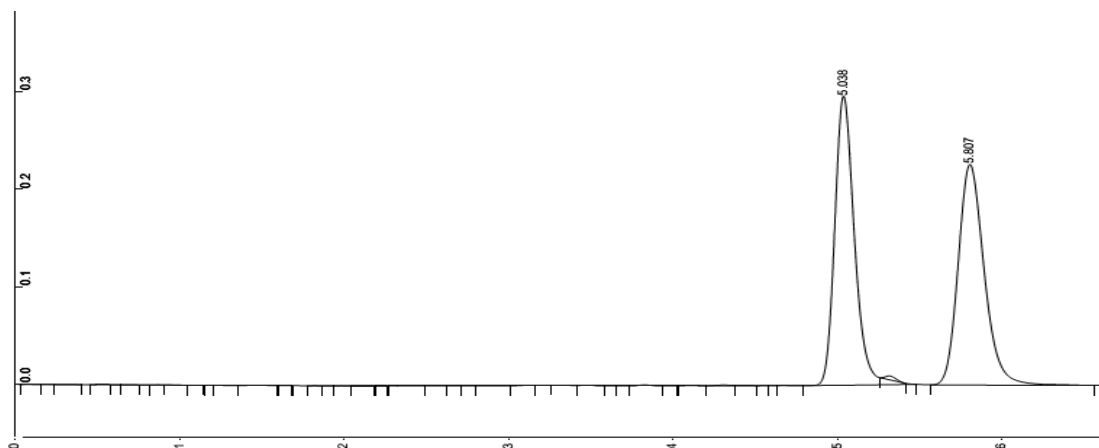
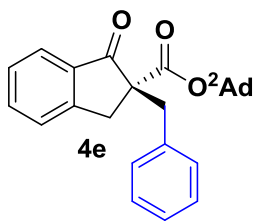


R.Time	Area	Area%
9.215	25669780	49.8746
10.475	25783716	50.1254

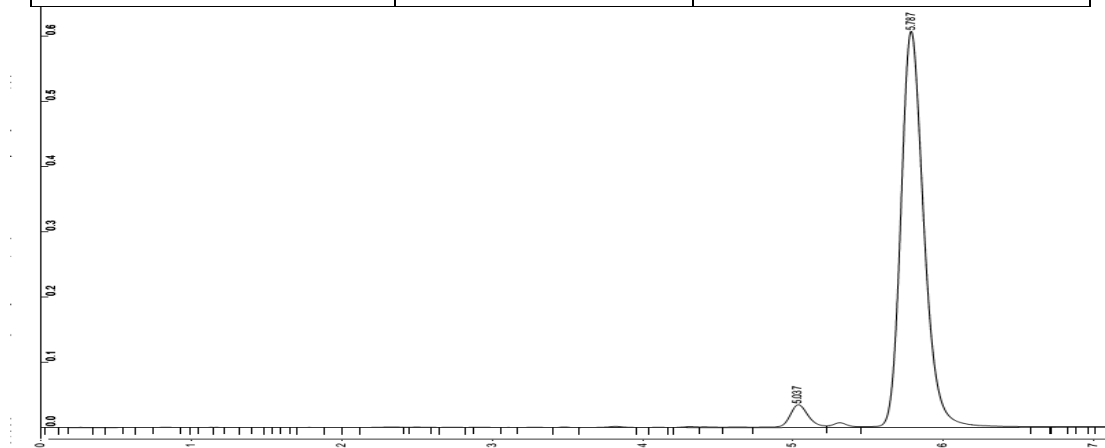


R.Time	Area	Area%
9.139	1006747	6.3715
10.304	14794038	93.6285

87% ee

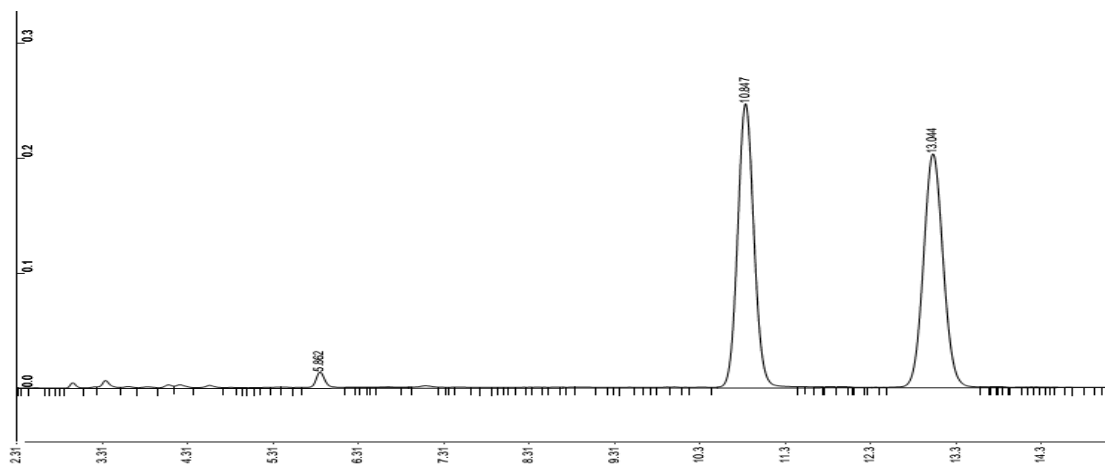
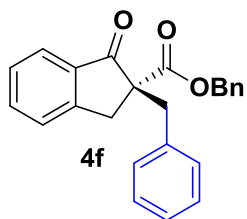


R.Time	Area	Area%
5.038	24808116	49.9163
5.807	24891310	50.0837

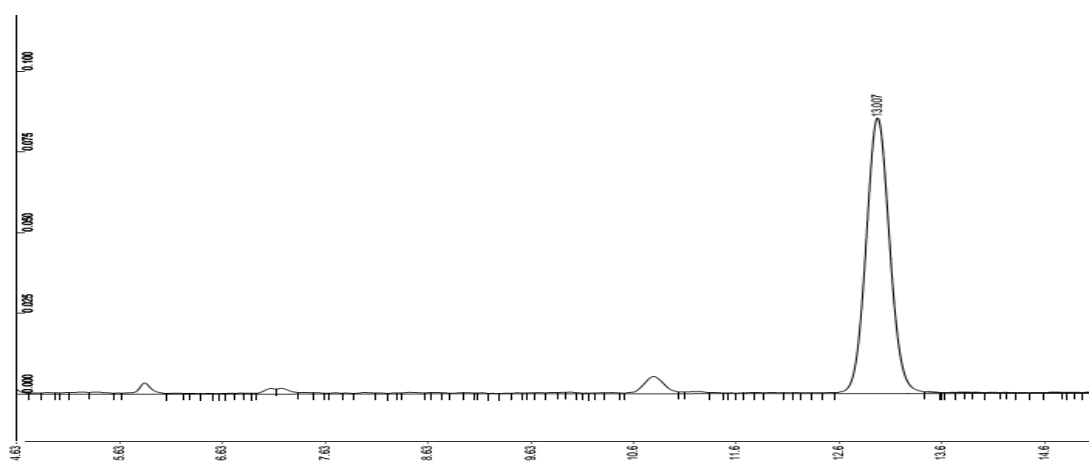


R.Time	Area	Area%
5.037	2913720	3.9148
5.787	71514603	96.0852

92% ee

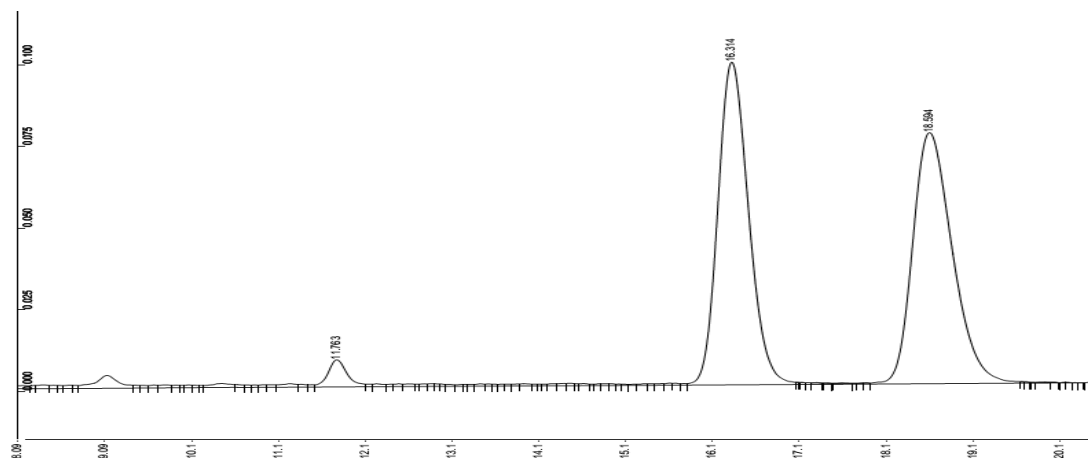
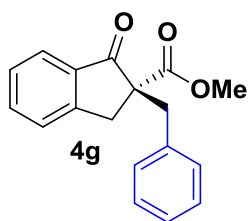


R.Time	Area	Area%
10.847	33161938	50.1096
13.044	32875396	49.8904

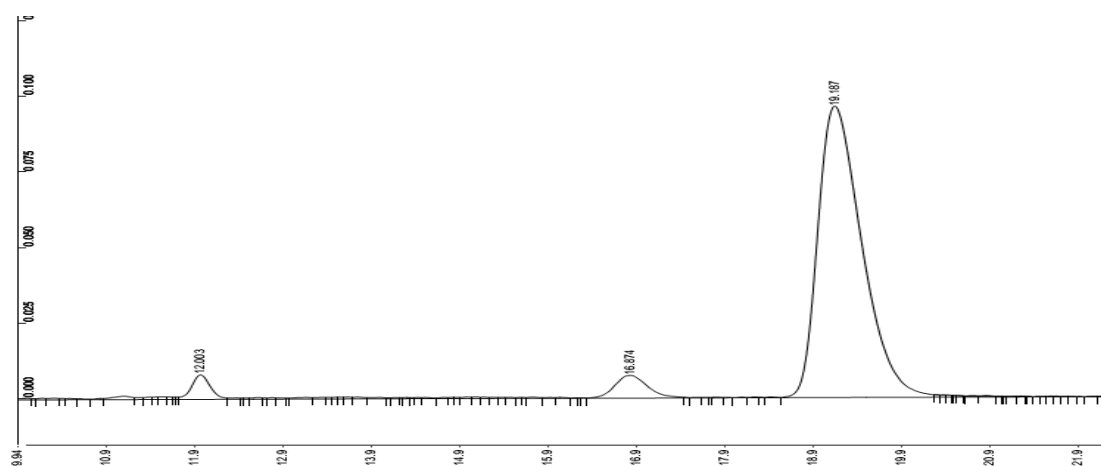


R.Time	Area	Area%
10.825	1830741	4.0378
13.007	43509320	95.9622

92% ee

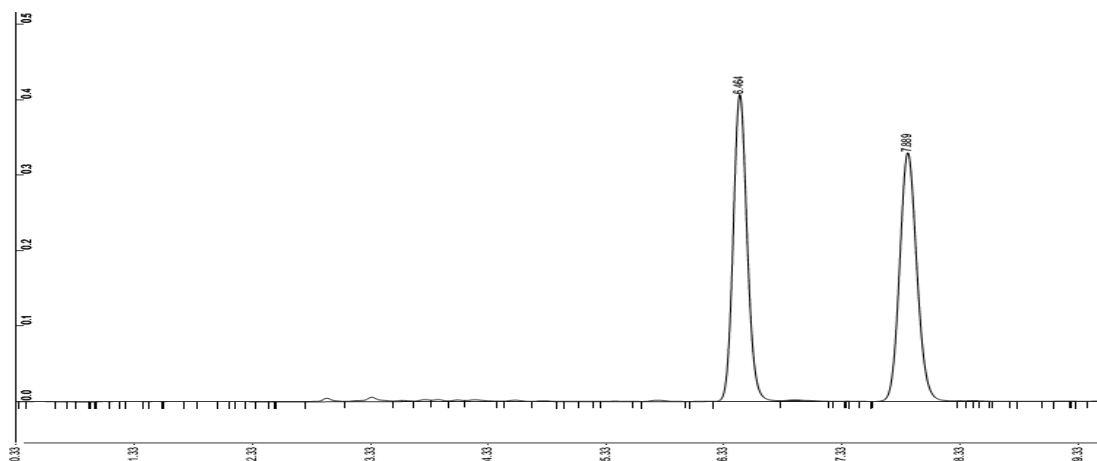
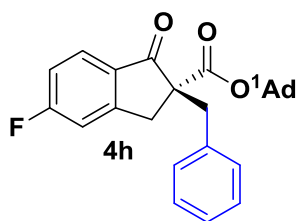


R.Time	Area	Area%
16.314	24339678	50.2061
18.594	24311070	49.7939

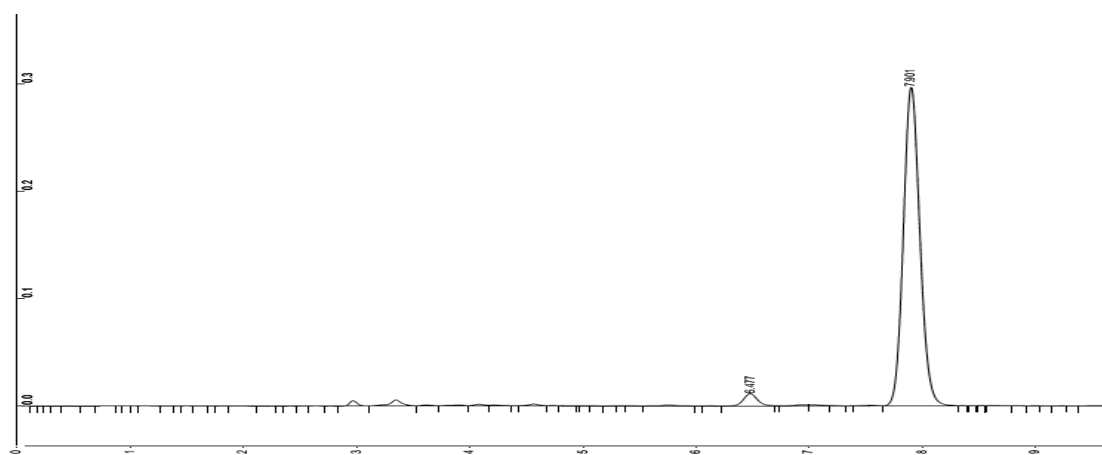


R.Time	Area	Area%
16.874	1927662	4.5142
19.187	36315056	95.4858

91% ee

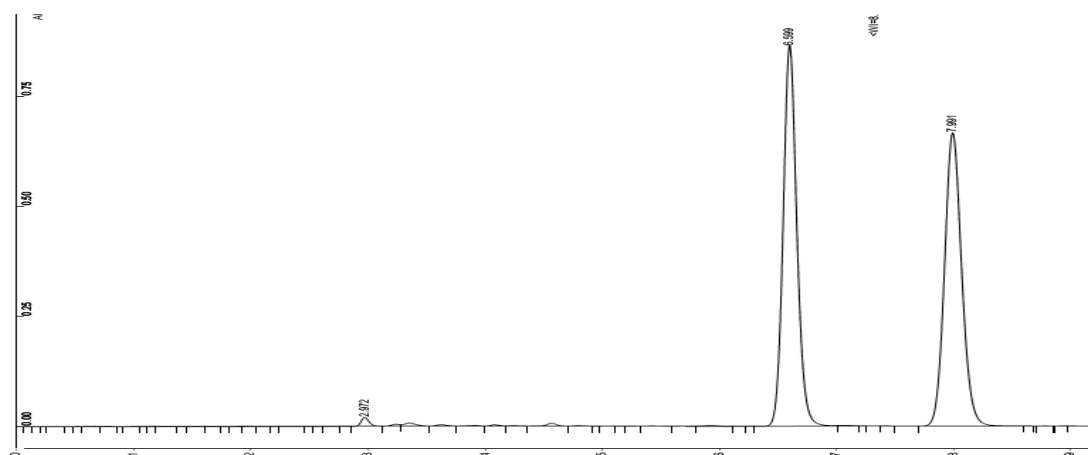
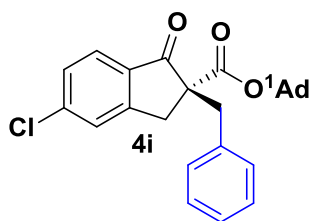


R.Time	Area	Area%
6.464	33638120	50.1127
7.889	33486836	49.8873

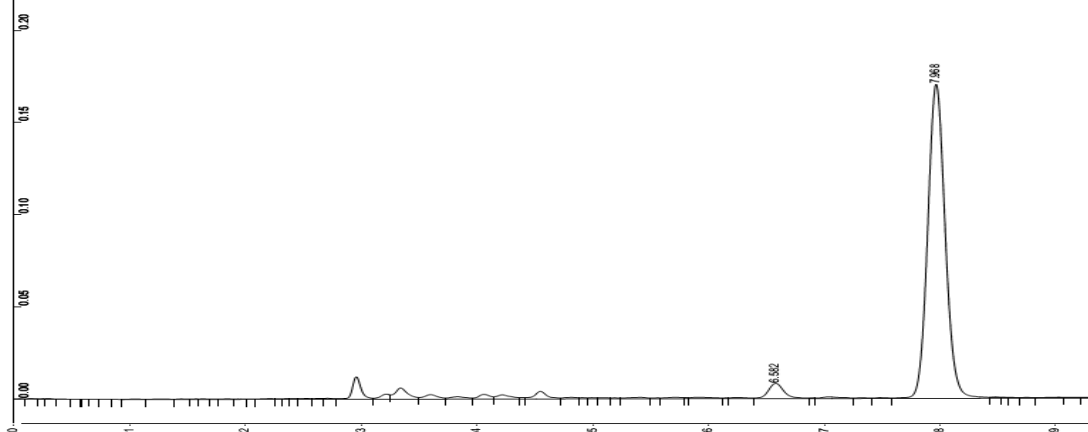


R.Time	Area	Area%
6.477	1035653	3.0056
7.901	33421793	96.9944

94% ee

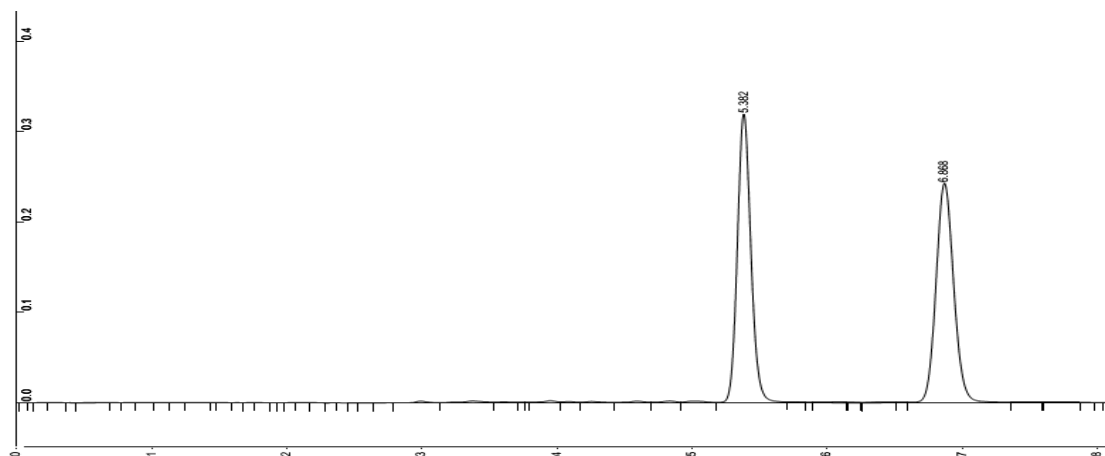
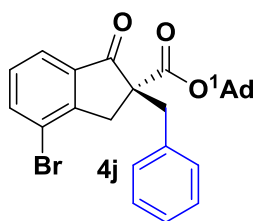


R.Time	Area	Area%
6.599	70025480	51.0180
7.991	67892240	48.9820

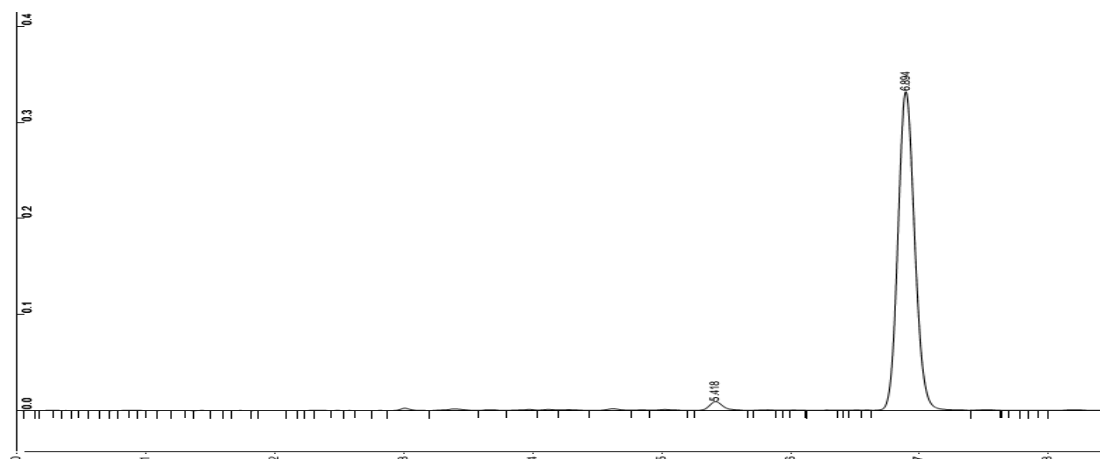


R.Time	Area	Area%
6.582	768684	3.5524
7.968	20867477	96.4476

93% ee

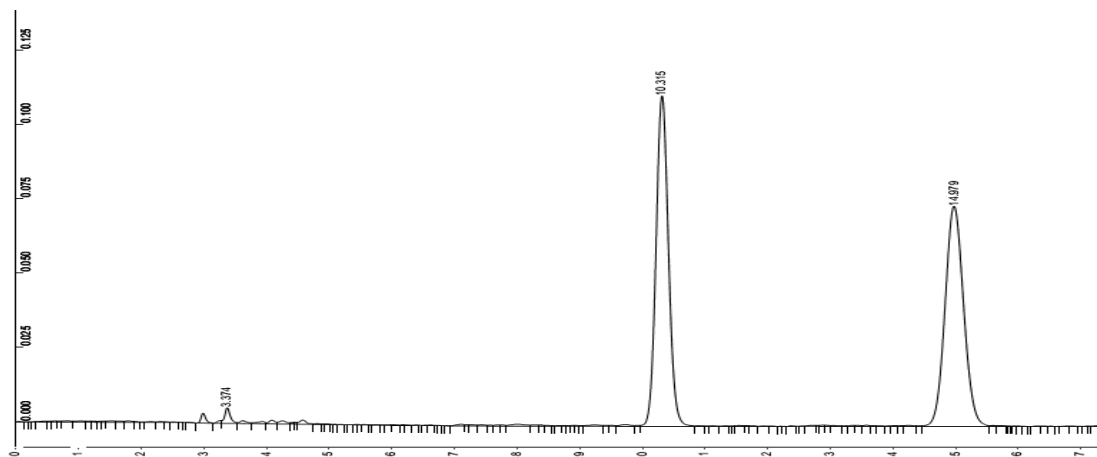
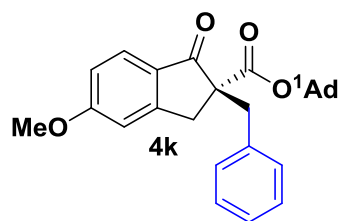


R.Time	Area	Area%
5.382	22611284	50.1100
6.868	22512020	49.8900

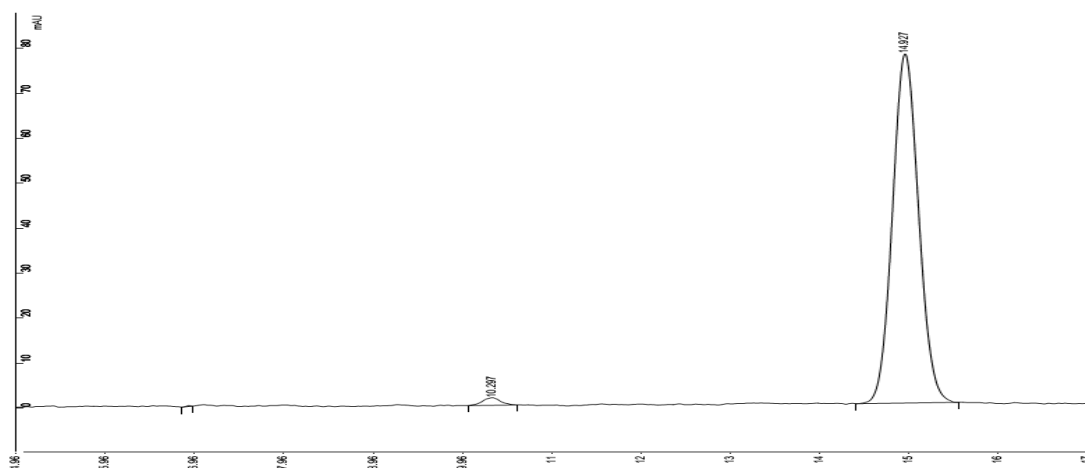


R.Time	Area	Area%
5.418	871272	2.2138
6.894	38485129	97.7862

96% ee

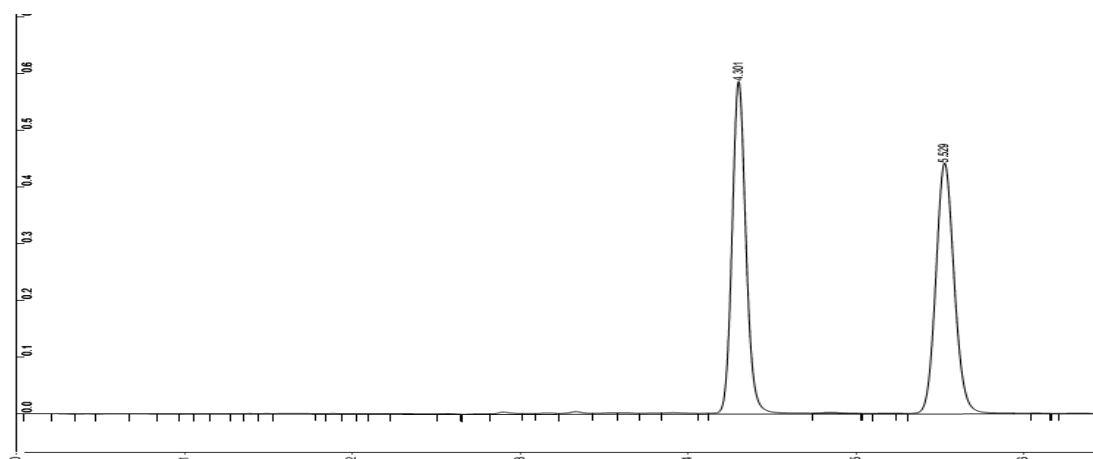
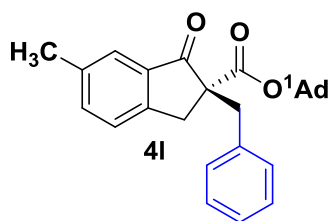


R.Time	Area	Area%
10.315	15267869	50.0486
14.979	15251754	49.9514

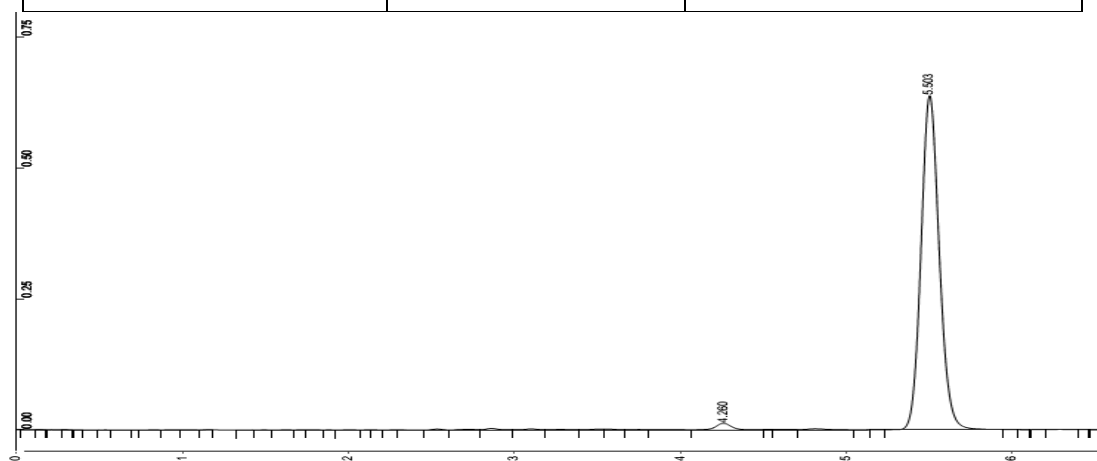


R.Time	Area	Area%
10.297	234707	1.0808
14.927	21481337	98.9192

98% ee

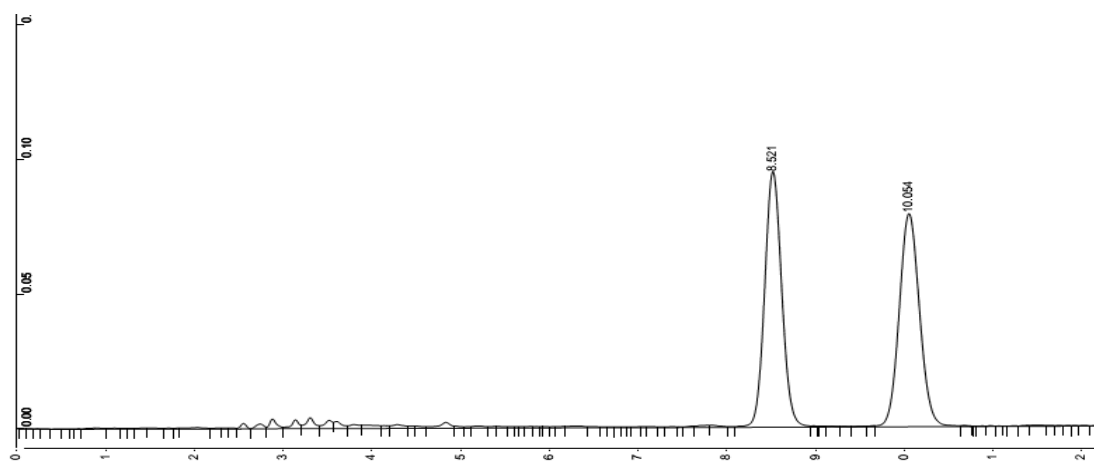
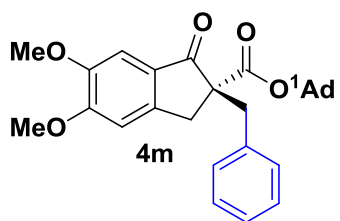


R.Time	Area	Area%
4.301	34357620	50.0557
5.529	34281132	49.9443

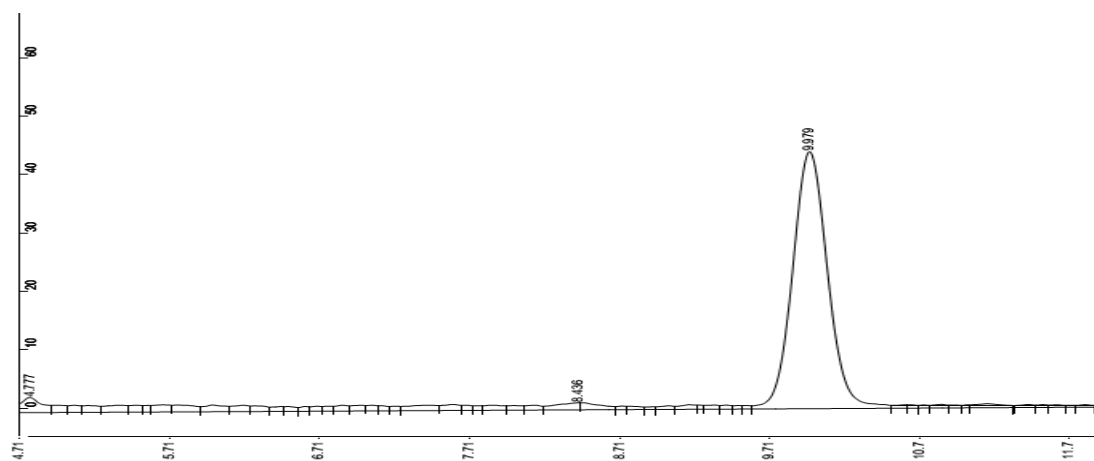


R.Time	Area	Area%
4.260	880141	1.4931
5.503	58067083	98.5069

97% ee

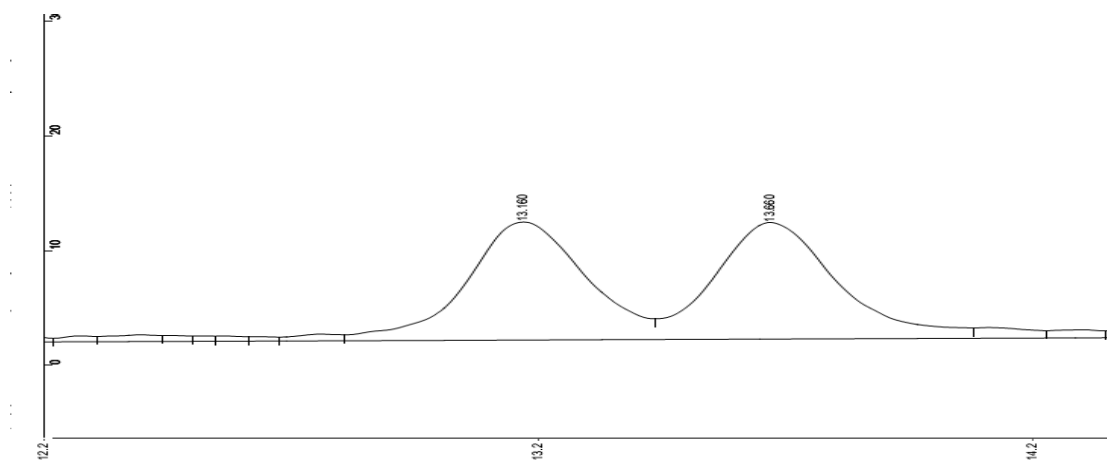
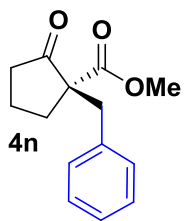


R.Time	Area	Area%
8.521	12759539	49.6858
10.054	12850461	50.3142

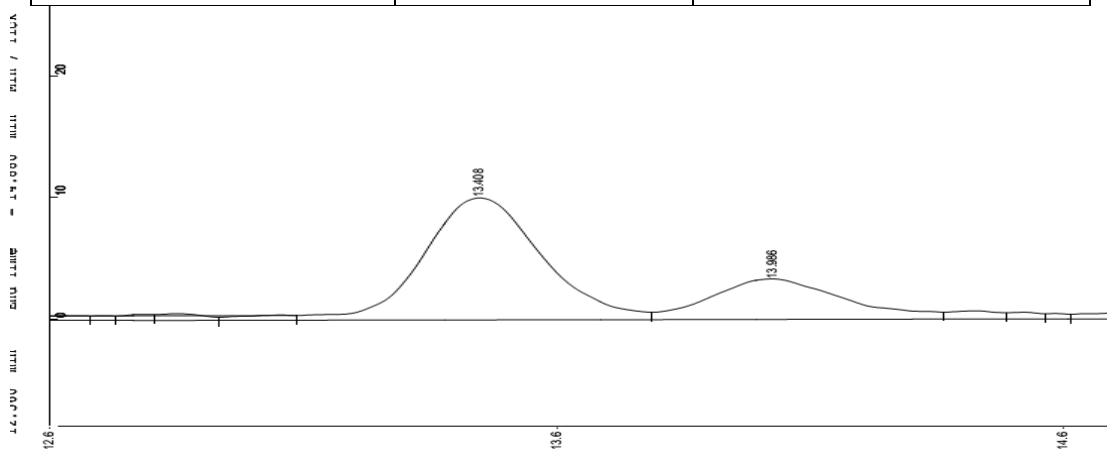


R.Time	Area	Area%
8.436	141327	1.0382
9.979	13471368	98.9618

98% ee

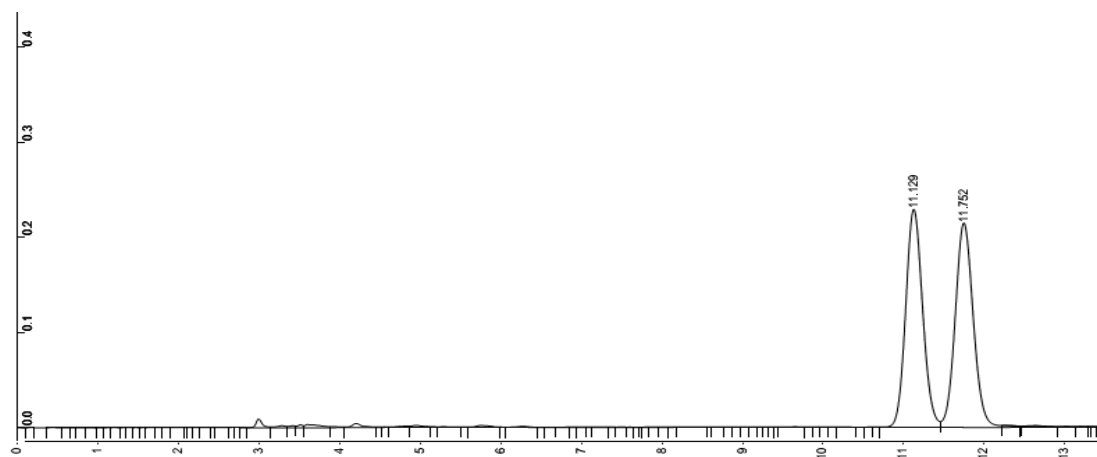
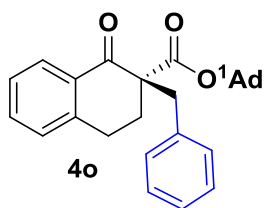


R.Time	Area	Area%
13.160	1730132	49.7700
13.660	1746123	50.2300

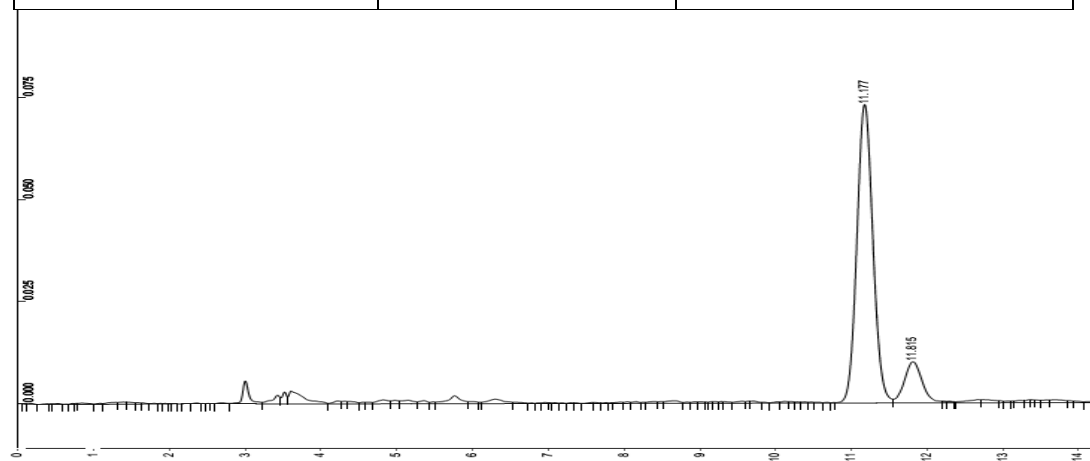


R.Time	Area	Area%
13.408	1730132	77.0134
13.986	516402	22.9866

54% ee

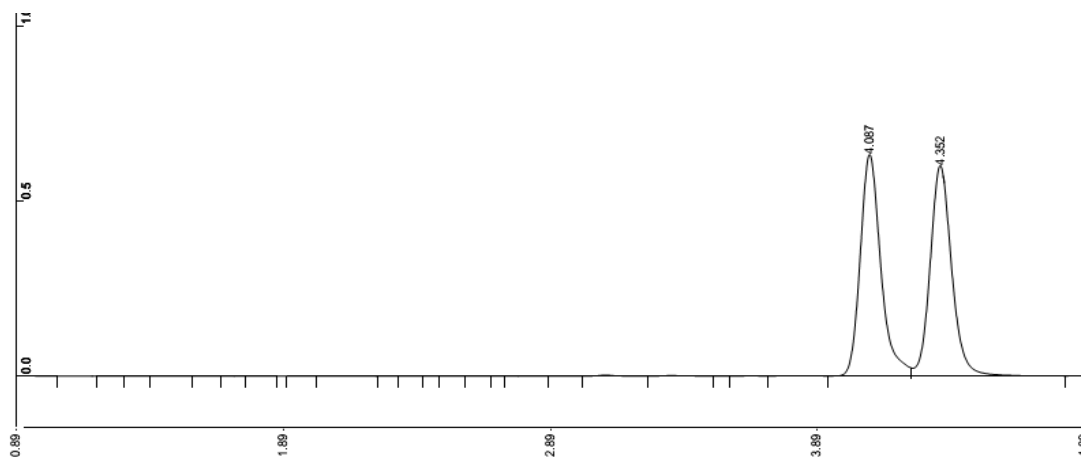
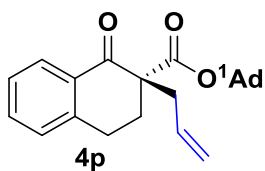


R.Time	Area	Area%
11.129	33440278	49.5442
11.752	34055628	50.4558



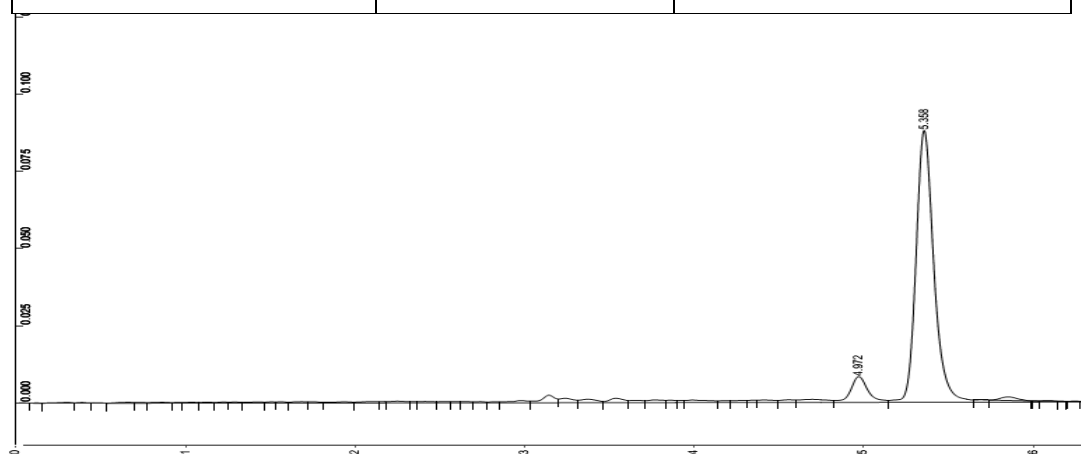
R.Time	Area	Area%
11.177	10736129	89.5516
11.815	1252633	10.4484

79% ee



Flow rate : 1.2 ml/min

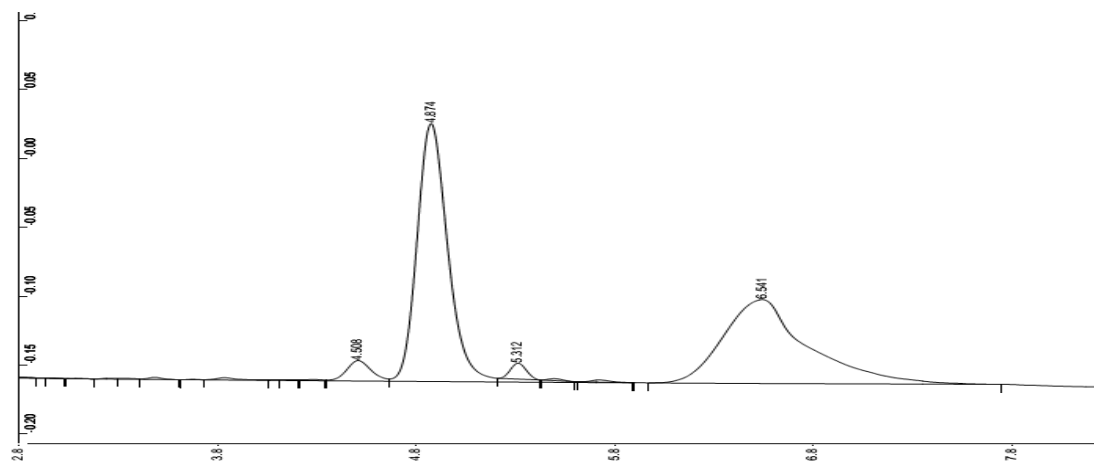
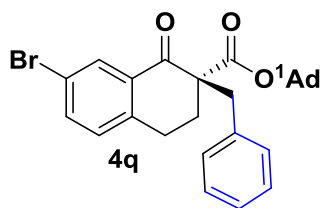
R.Time	Area	Area%
4.087	34240768	50.0139
4.352	34221764	49.9861



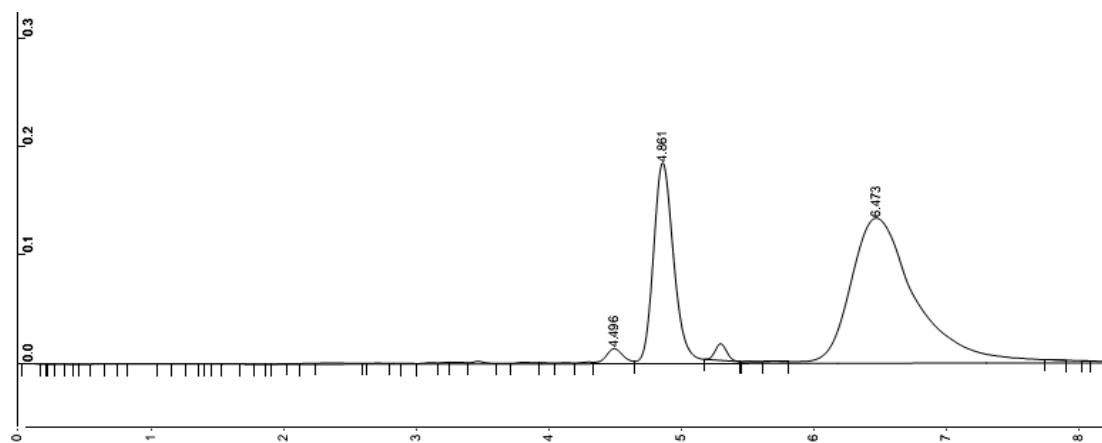
Flow rate : 1 ml/min

R.Time	Area	Area%
4.972	577560	6.5031
5.358	6657249	93.4969

87% ee

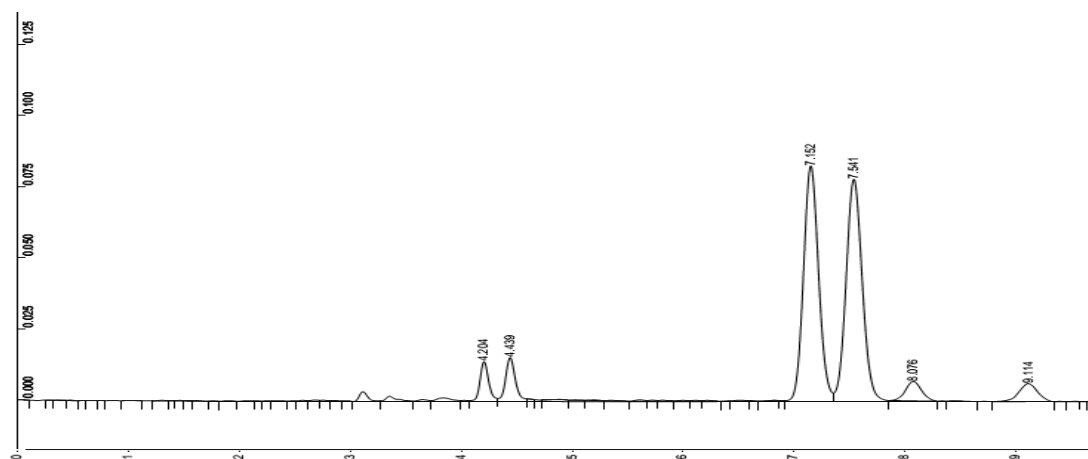
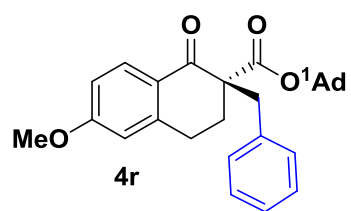


R.Time	Area	Area%
4.874	20331758	51.0435
6.541	19500460	48.9565

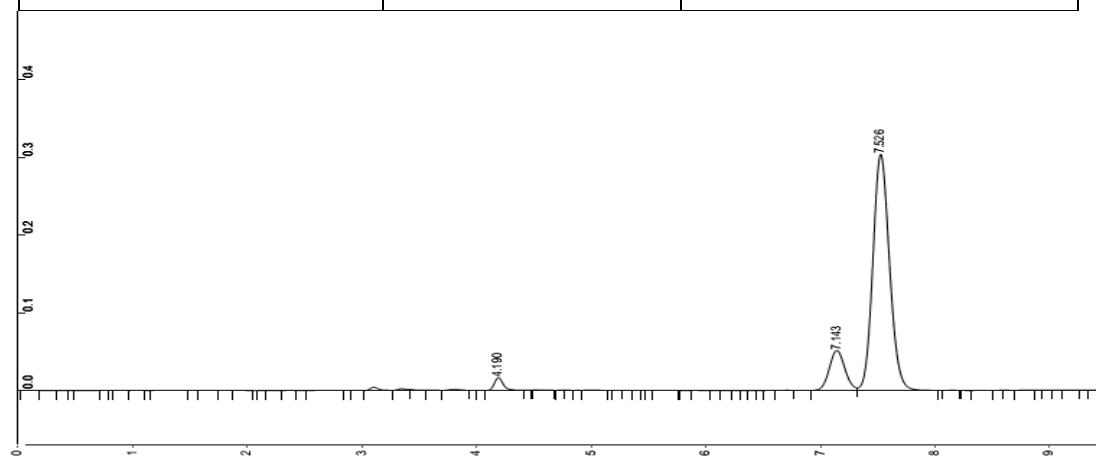


R.Time	Area	Area%
4.861	18530596	29.0987
6.473	45788092	70.9013

42% ee

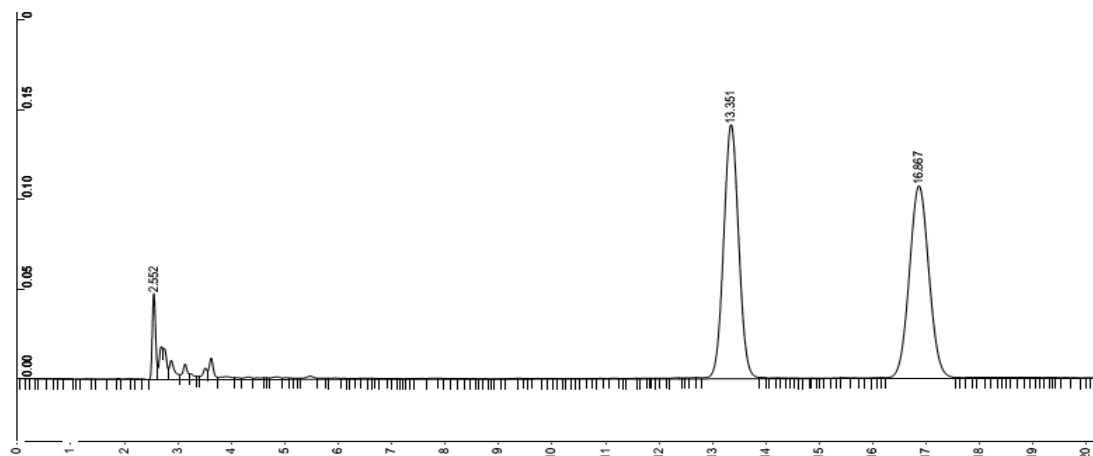
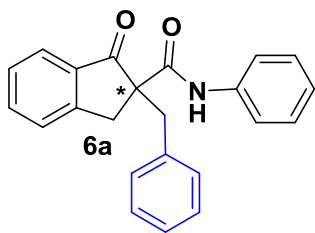


R.Time	Area	Area%
7.152	7849960	49.4338
7.541	8058685	50.5662

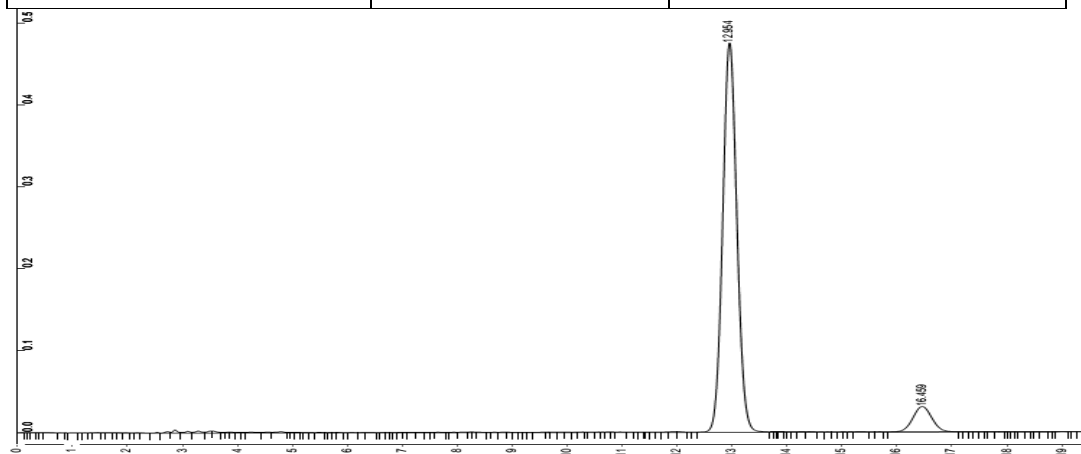


R.Time	Area	Area%
7.143	4908864	11.0146
7.526	39658019	88.9854

78% ee

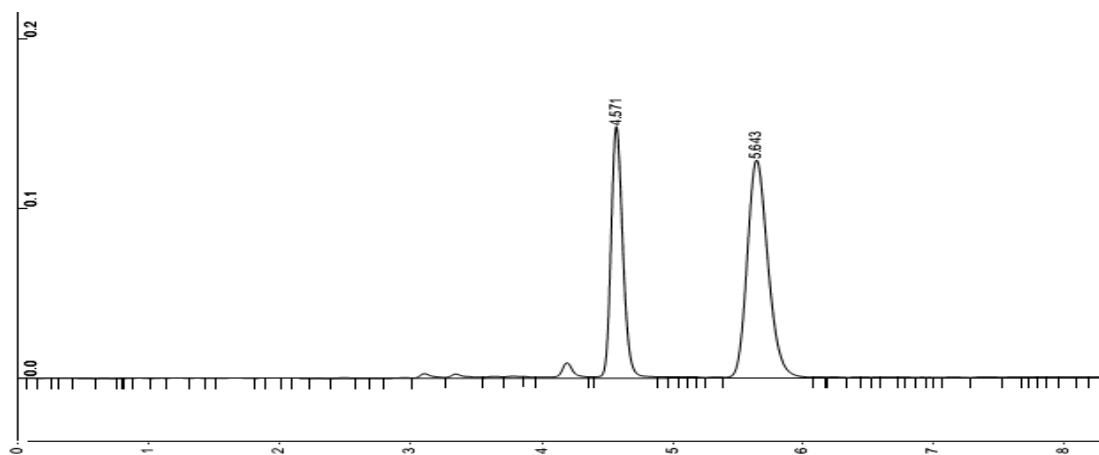
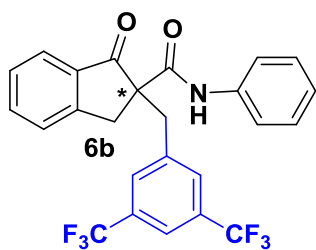


R.Time	Area	Area%
13.351	27902086	50.4752
16.867	27589322	49.5248

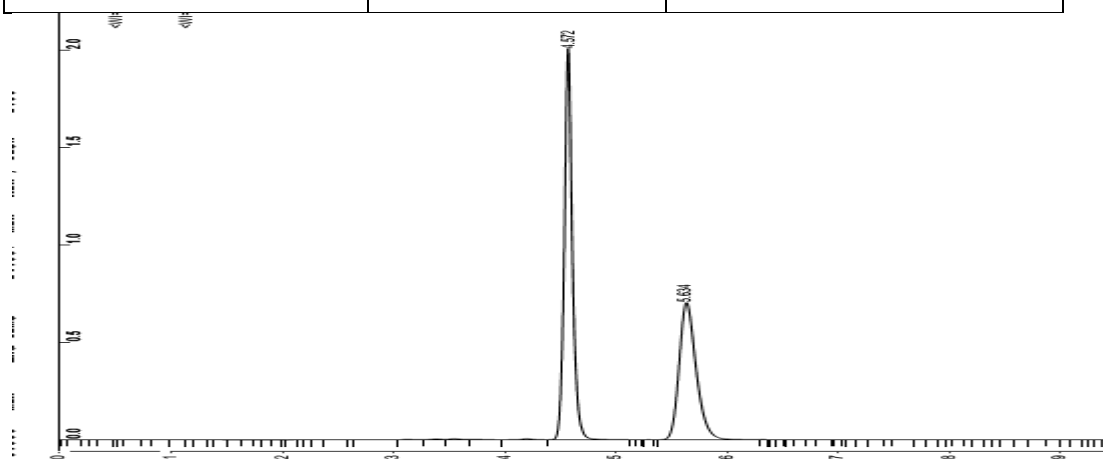


R.Time	Area	Area%
12.954	85831080	93.5339
16.459	27589322	6.4661

87% ee

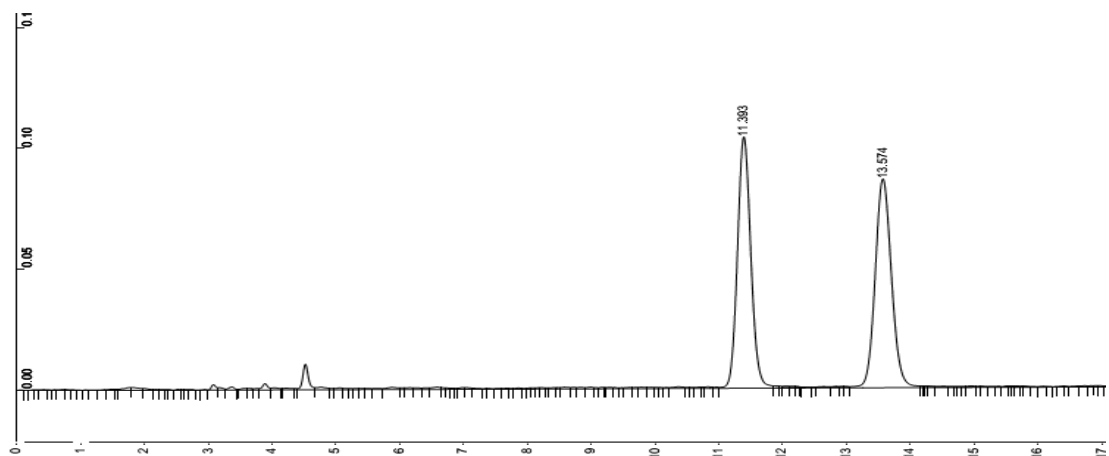
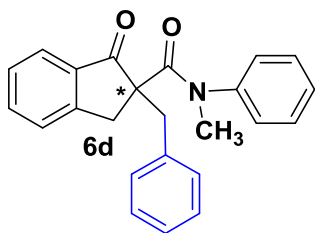


R.Time	Area	Area%
4.571	75901728	48.5230
5.643	80596680	51.4770

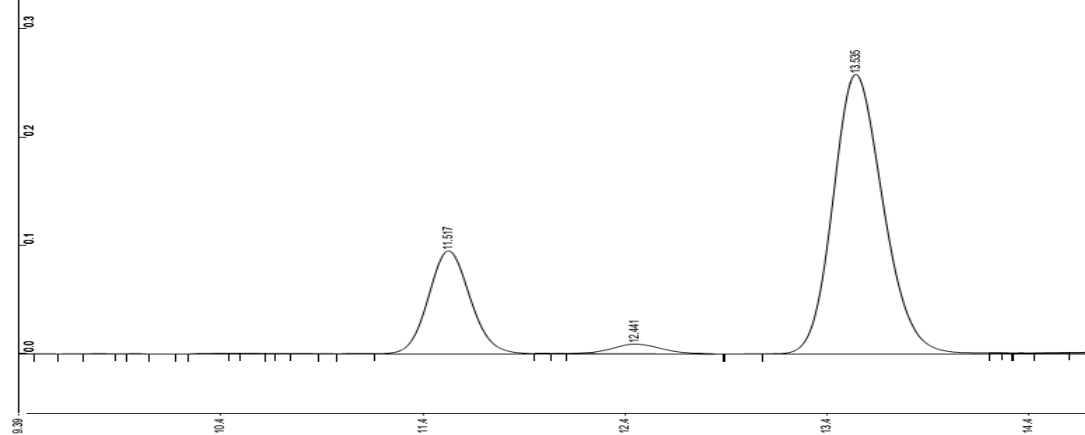


R.Time	Area	Area%
4.572	184527650	78.6322
5.634	50150780	21.3678

57% ee

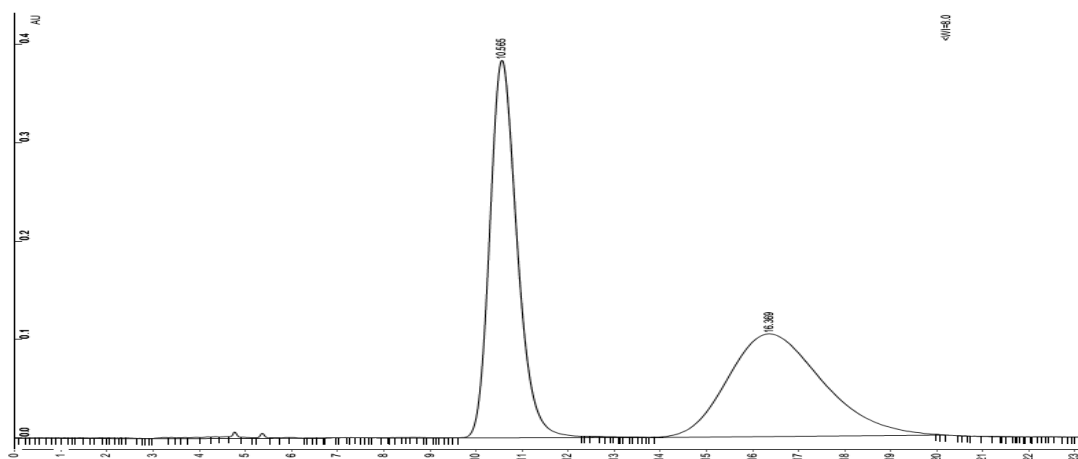
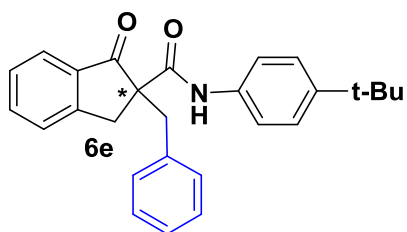


R.Time	Area	Area%
11.393	15406534	49.6131
13.574	15646840	50.3869

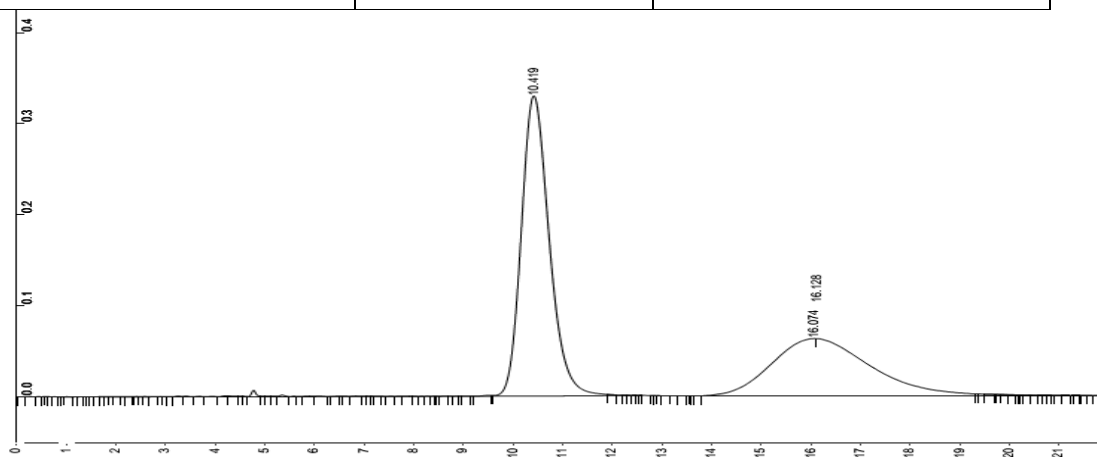


R.Time	Area	Area%
11.517	15406534	21.8131
13.556	15646840	78.1869

56% ee

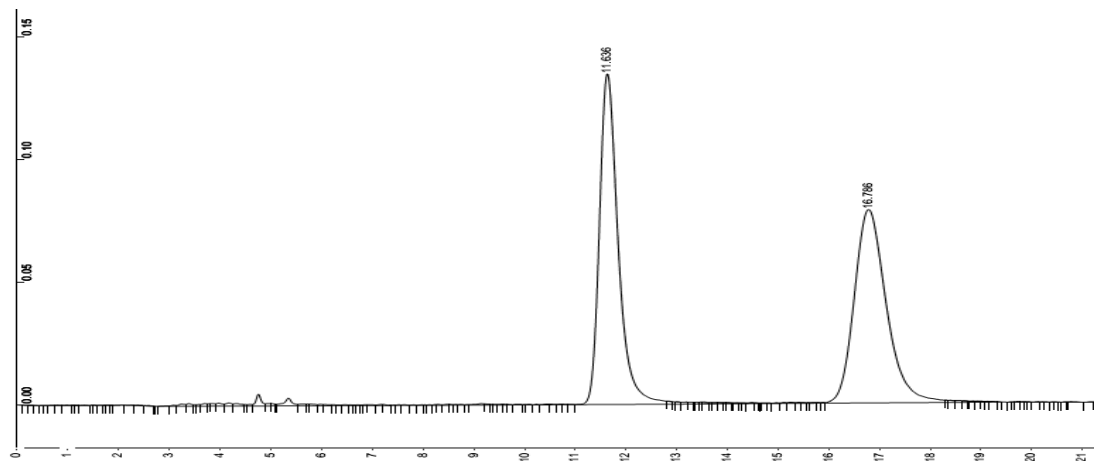
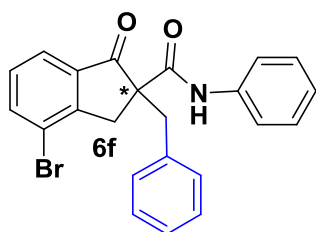


R.Time	Area	Area%
10.565	158087536	51.5267
16.369	1487119632	48.4733

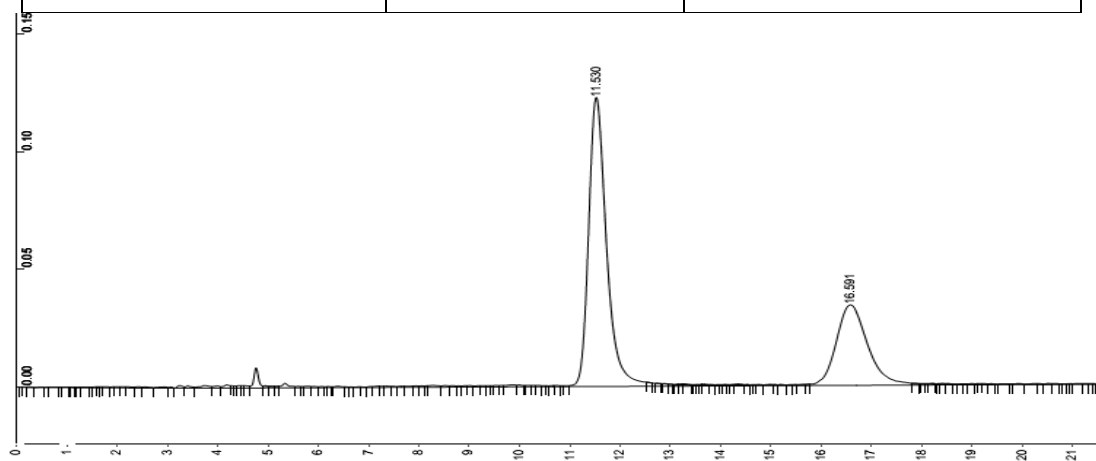


R.Time	Area	Area%
10.419	128629400	63.0451
16.128	75544251	36.9549

26% ee

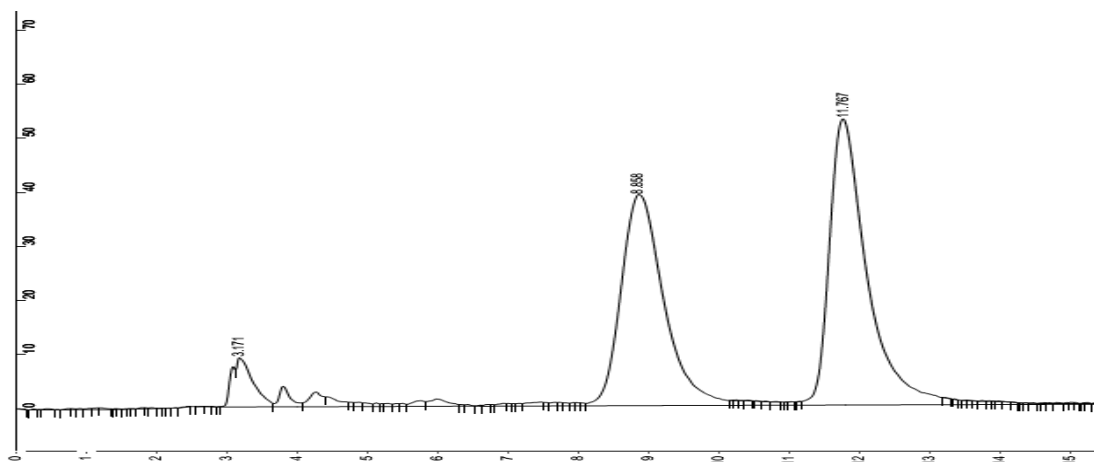
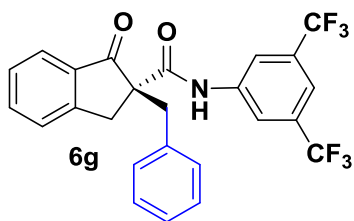


R.Time	Area	Area%
11.636	34964120	50.2648
16.786	34595688	49.7352

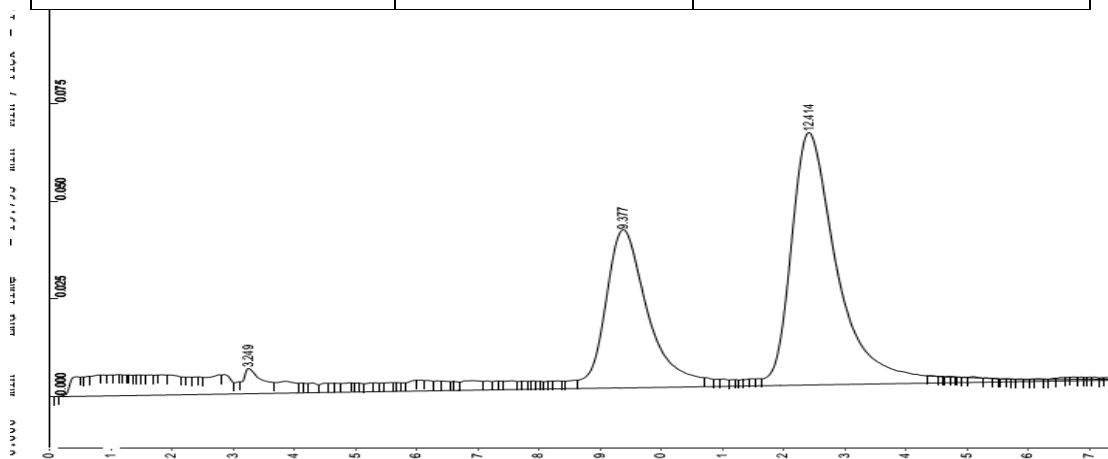


R.Time	Area	Area%
11.530	34964120	71.2940
16.591	14775715	28.7060

43% ee

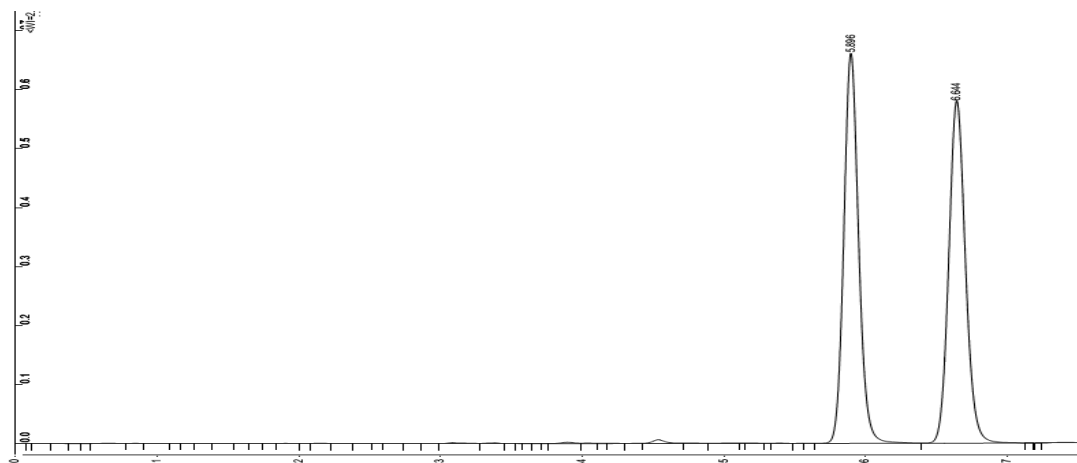
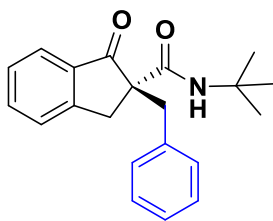


R.Time	Area	Area%
8.858	16854862	48.8910
11.767	17619503	51.1090

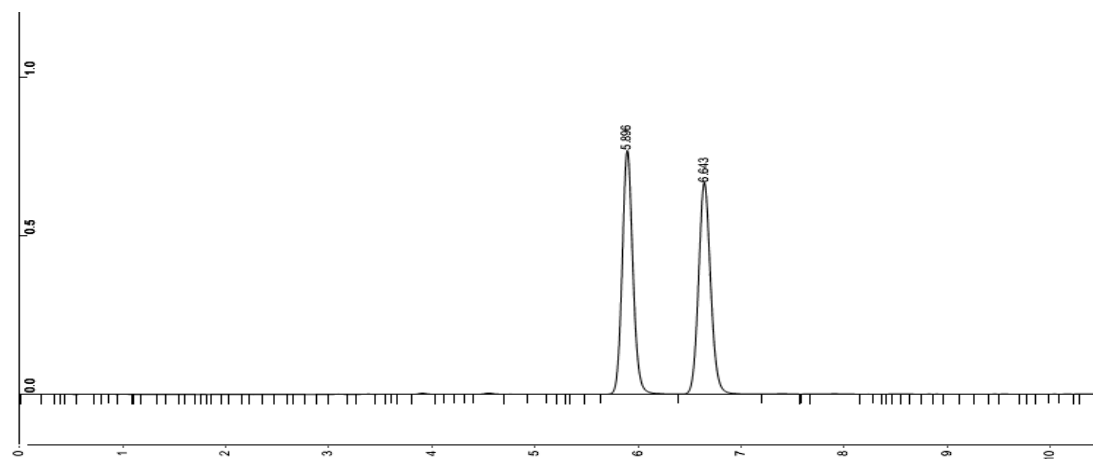


R.Time	Area	Area%
9.377	19267998	34.6232
12.414	36382543	65.3768

31% ee



R.Time	Area	Area%
5.896	47955040	50.2162
6.644	47542156	49.7838



R.Time	Area	Area%
5.896	55396676	48.0026
6.643	60013065	51.9974

4% ee