Supporting Information for the article

Revealing the Unusual Role of Bases in Activation/Deactivation of Catalytic Systems: O-NHC Coupling in M/NHC Catalysis

Victor M. Chernyshev,[†] Oleg V. Khazipov,[†] Maxim A. Shevchenko,[†] Andrey Yu. Chernenko,[†] Alexander V. Astakhov,[†] Dmitry B. Eremin,[‡] Dmitry V. Pasyukov,[†] Alexey S. Kashin,[‡] Valentine P. Ananikov^{*,†,‡}

[†]Platov South-Russian State Polytechnic University (NPI), Prosveschenya 132, Novocherkassk, 346428, Russia [‡]Zelinsky Institute of Organic Chemistry, Russian Academy of Sciences, Leninsky Prospect 47, Moscow, 119991, Russia

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S1. Additional data, tables, schemes

Table S1. Reaction of M-NHC complexes with oxygen bases^a



M = Ni, Pd, Pt; L = pyridine, 3-chloropyridine, NHC; X = halogen; Base = KOH, NaOH, t-BuOK, t-BuONa, K₂CO₃, Na₂CO₃, Cs₂CO₃,



Ν	Starting	Solvent (ml)	Base	Reaction	Reaction	Products	(HPLC or GC)/isolated
	M-NHC complex	Solvent (III)	(mol : mol of M-NHC)	temperature, °C	time, h	Tioducts	yield of azolone 7, %
7	2a	1,4-dioxane (5)	$Na_2CO_3(10:1)$	100	24	7a	trace
8	2a	1,4-dioxane (5)	$K_2CO_3(10:1)$	100	3	7a	trace
9	2a	1,4-dioxane (5)	$K_2CO_3(10:1)$	100	24	7a	trace
10	2a	1,4-dioxane (5)	$Cs_2CO_3(10:1)$	100	3	7a	24
11	2a	1,4-dioxane (5)	$Cs_2CO_3(10:1)$	100	24	7a	57
12	2a	DMF (5)	<i>t</i> -BuOK (10 : 1)	100	24	7a	58
13	2a	DMF (5)	<i>t</i> -BuONa (10 : 1)	100	24	7a	43
14	2a	pyridine (5)	<i>t</i> -BuOK (10 : 1)	100	24	7a	95
15	2a	pyridine (5)	<i>t</i> -BuONa (10 : 1)	100	24	7a	96
16	2a	<i>i</i> -PrOH (5)	<i>t</i> -BuOK (10 : 1)	100	3	7a	62
17	2b	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7b	97/ 79
18	2b	1,4-dioxane (4) + H ₂ O (1)	$KOH^{b}(10:1)$	100	24	7b	56/48
19	2b	THF $(4) + H_2O(1)$	$KOH^{b}(10:1)$	100	24	7b	60/51
20	2c	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7c	87/79
21	2d	pyridine $(4) + H_2O(1)$	$KOH^{b}(10:1)$	100	24	7d	85/42
22	2d	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7d	87/72
23	2e	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7e	89/78
24	2f	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7f	89/76
25	2g	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7g	91/68
26	2h	pyridine $(4) + H_2O(1)$	$KOH^{b}(10:1)$	100	24	7h	90/74
27	2i	1,4-dioxane (4) + $H_2O(1)$	$KOH^{b}(10:1)$	100	24	7h	76/62
28	2i	pyridine $(4) + H_2O(1)$	$KOH^{b}(10:1)$	100	24	7h	94
29	2i	DMF (5)	$K_2CO_3(10:1)$	140	24	7h	16
30	2i	DMF $(4) + H_2O(1)$	$K_2CO_3(10:1)$	140	24	7h	47
31	2j	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7i	65/40
32	2k	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7i	42/28
33	21	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7j	46/35
34	2m	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7j	29/17
35	2n	1,4-dioxane (4) + H ₂ O (1)	$\operatorname{KOH}^{b}(3:1)$	100	24	7k	trace
36	20	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	71	trace
37	20	1,4-dioxane (4) + $H_2O(1)$	$\operatorname{KOH}^{b}(3:1)$	100	24	71	2
38	2р	1,4-dioxane (4) + H ₂ O (1)	$\operatorname{KOH}^{b}(3:1)$	100	24	7m	trace
39	2q	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7n	trace
40	2q	1,4-dioxane (4) + $H_2O(1)$	$\operatorname{KOH}^{b}(3:1)$	100	24	7n	trace
41	2q	1,4-dioxane (4) + $H_2O(1)$	$\operatorname{KOH}^{b}(3:1)$	100	1	7n	3
42	2r	1,4-dioxane (4) + $H_2O(1)$	$\operatorname{KOH}^{b}(3:1)$	100	24	70	trace
43	3	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7d	77/51
44	4a	pyridine $(4) + H_2O(1)$	$\operatorname{KOH}^{b}(10:1)$	100	24	7a	99/71

N	Starting M-NHC complex	Solvent (ml)	Base (mol : mol of M-NHC)	Reaction temperature, °C	Reaction time, h	Products	(HPLC or GC)/isolated yield of azolone 7, %
45	4 a	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7a	86/58
46	4b	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	3	7c	87/ <mark>79</mark>
47	5a	1,4-dioxane (4) + H ₂ O (1)	$KOH^{b}(10:1)$	100	20	7a	trace
48	5a	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	20	7a	42/37
49	5b	1,4-dioxane (4) + $H_2O(1)$	$KOH^{b}(10:1)$	100	20	7d	2
50	5b	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	20	7d	65/50
51	6a	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	48	7a	87/49
52	6b	1,4-dioxane (5)	<i>t</i> -BuOK (10 : 1)	100	48	7 f	87/79

^{*a*}A mixture of the complex **2-6** (0.3 mmol), solvent (5 mL) and base (0.9-3 mmol) was vigorously stirred in a teflon screw cap tube at 100 °C within 1-48 h, then neutralized with 20% HCl aqueous solution to pH \sim 9 under argon atmosphere. The resulted mixture was centrifuged and analyzed by HPLC and GC-MS. If necessary, the products **7** were isolated as described in the Experimental Section of the manuscript. ^{*b*}3M aqueous solution.





Figure S1. Variable temperature study for the formation of azolone 7a in the reaction between the compound 2a (0.1 mmol) and *t*-BuOK (1 mmol) in 1,4-dioxane at 40 °C, 70 °C, and 100 °C.

Table S2. Stability of azolones 7 in the presence of KOH and *t*-BuOK^{*a*}



Ν	Starting	Solvent (ml)	Base	Reaction	Reaction	Conversion of azolone 7 , % ^{<i>c</i>}
	azolone 7	Solvent (III)	(mol: mol of azolone 7)	temperature, °C	time, h	
1	7a	pyridine $(0.4) + H_2O(0.1)$	$KOH^{b}(10:1)$	100	24	~0
2	7a	pyridine (0.5)	<i>t</i> -BuOK (10 : 1)	100	24	~0
3	7h	pyridine $(0.4) + H_2O(0.1)$	$KOH^{b}(10:1)$	100	24	~0
4	7i	pyridine $(0.4) + H_2O(0.1)$	$KOH^{b}(10:1)$	100	24	4
5	7i	pyridine $(0.4) + H_2O(0.1)$	$KOH^{b}(10:1)$	100	90	10
6	71	pyridine $(0.4) + H_2O(0.1)$	$KOH^{b}(10:1)$	100	24	99
7	71	pyridine (0.5)	<i>t</i> -BuOK (10 : 1)	100	24	99
8	7n	pyridine $(0.4) + H_2O(0.1)$	$KOH^{b}(10:1)$	100	24	99
9	7n	pyridine (0.5)	<i>t</i> -BuOK (10 : 1)	100	24	99

^{*a*}A mixture of the compounds **7** (30 µmol), solvent and base (0.3 mmol) was vigorously stirred in a teflon screw cap tube at the corresponding temperature within 24-90 h, then analyzed by HPLC and GC-MS. ^{*b*}3M aqueous solution of KOH. ^{*c*}Determined by HPLC and GC-MS.



1,3-Dialkylated azolium salts are hydrolyzed by strong bases like KOH to give *N*,*N*-dialkylbenzene-1,2-diames **8**.¹ Hydrolysis usually accompanied by the disproportionation of "pseudobases" **17** and **18** to give azolones **7** and azolines **19**.¹ The azolines **19**, apparently, are unstable in the conditions of the Table S1. However, trace amounts of compounds with m/z corresponding to the $[M^+]$ of azolines **19** were observed in GC-MS spectra of the reaction mixtures. Analogous reactions proceed under the action of alcoholates. Indeed, the HPLC yield of azolone **7a** in the reaction mixture after heating compound **1a** with *t*-BuOK in 1,4-dioxane at 100 °C within 20 h amounted ~ 10% (see Experimental Section for details).

(1) (a) Konstantinchenko, A. A.; Morkovnik, A. S.; Pozharskii, A. F.; Tertov, B. A. *Chem. Heterocycl. Compd.* **1985**, *21*, 1398-1399; (b) Korotkikh, N. I.; Shvaika, O. P.; Rayenko, G. F.; Kiselyov, A. V.; Knishevitsky, A. V.; Cowley, A. H.; Jones, J. N.; Macdonald, C. L. B. *Arkivoc* **2005**, *2005*, 10-46.

Scheme S2. Plausible stoichiometry's for the reactions of complexes 2-6 with KOH and *t*-BuOK^{*a*} Reactions of Type 1



^{*a*} For clarity, only 2-methylpropene and *t*-BuOH are shown as the products derived from *t*-BuOK, while another products like di-*t*-butyl ether may also be formed. For other alkaline metal bases (Na, Cs), stoichiometry's are similar.

S2. ESI-MS monitoring of Azolones formation



Figure S2. Real-time abundance of **7a** (in ionic $[M + K]^+$ form) in the reaction between the complex **2a** and KOH_{aq} in THF at 100 °C. Solution of KOH in H₂O was added after 1.0 min. Insert shows the ESI-(+)MS spectrum of the reaction mixture, expanded to the $[M + K]^+$ region.



in THF at 100 °C. Solution of KOH in H_2O was added after 1.0 min.



Figure S4. Real-time abundances (in ionic $[M + K]^+$ form) of **7a** (purple line) and intermediate hydroxy-complexes (blue and yellow lines) in the reaction between the complex **4a** and KOH_{aq} in THF at 100 °C. Solution of KOH in H₂O was added after 1.0 min.



Figure S5. Collision induced dissociation tandem mass spectrometry experiment demonstrating the formation of **7a** (in ionic $[M + K]^+$ form) from the intermediate hydroxy-complex **9** (in a $[C_{18}H_{22}N_4O_2Pd + K]^+$ form) during the reaction between the complex **4a** and KOH_{aq} in THF at 100 °C.



Figure S6. Real-time abundance of **7a** (in ionic $[M + K]^+$ form) in the reaction between the complex **2a** and *tert*-BuOK in THF at 100 °C. Suspension of *tert*-BuOK in THF was added after 1.0 min.



Figure S7. Real-time abundance of **7b** (in ionic $[M + K]^+$ form) in the reaction between the complex **2b** and KOH_{aq} in THF at 100 °C. Solution of KOH in H₂O was added after 1.0 min. Insert shows ESI-(+)MS spectrum of the reaction mixture, expanded to the $[M + K]^+$ region.



Figure S8. Real-time abundance of **71** (in ionic $[M + K]^+$ form) in the reaction between the complex **20** and KOH_{aq} in THF at 100 °C. Solution of KOH in H₂O was added after 1.0 min. Insert shows ESI-(+)MS spectrum of the reaction mixture, expanded to the $[M + K]^+$ region. At the beginning of the reaction one of the products (apparently, potassium bromide) rapidly covered the shield in the MS interface that significantly dropped all the signals down in intensity because of changes in field potentials.



Figure S9. Real-time abundance of **70** (in ionic $[M + K]^+$ form) in the reaction between the complex **2r** and KOH_{aq} in THF at 100 °C. Solution of KOH in H₂O was added after 1.0 min. Insert shows ESI-(+)MS spectrum of the reaction mixture, expanded to the $[M + K]^+$ region.



Figure S10. Real-time abundances of **7a-**[¹⁸O] and **7a**-[¹⁶O] (in ionic $[M + K]^+$ forms) in the reaction between the complex **2a** and K¹⁸OH_{aq} in THF at 100 °C. Solution of KOH in H₂¹⁸O was added after 1.0 min. Insert shows ESI-(+)MS spectrum of the reaction mixture, expanded to the $[(^{18}O)-M + K]^+$ region.



Figure S11. Real-time abundances of ions (in $[M + Na]^+$ and $[M + K]^+$ forms) confirming no isotope exchange between **7a**-[¹⁶O] and KOH solution in H₂¹⁸O.

In the first time region (up to ~ 0.8 min), a solution of non-labeled 7a-[¹⁶O] in THF was squeezed into the MS instrument. After the addition of a potassium hydroxide solution in H₂¹⁸O (at ~0.8 min), an [M + K]⁺ ion of the non-labeled 7a-[¹⁶O] jumped in the intensity, but no 7a-[¹⁸O] isotopomer was formed according to the ion traces in the second time region (0.8-12 min). After the addition of a small amount (40 ng; added as solution see Exp. part) of 2a (at 12 min), only a slight increase in the intensity of both 7a isotopomers was detected. Only after the addition of the significant amount (160 ng; added as solution see Exp. part) of 2a (17 min), a stable increase of the intensity of the 7a-[¹⁸O] isotopomer signal (purple line) was observed. These results corroborate the formation of the 7a-[¹⁸O] isotopomer from the reaction between the complex 2a and ¹⁸OH⁻ rather than through the isotope exchange between 7a-[¹⁶O] and KOH-H₂¹⁸O solution.

S3. FE-SEM/EDS data



Figure S12. FE-SEM image and EDS spectrum of Pd black isolated from the reaction mixture after heating compound **2a** with KOH in pyridine at 100 °C within 24 h.



Figure S13. TEM image and EDS spectrum of Pt black isolated from the reaction mixture after heating compound **5a** with *t*-BuOK in 1,4-dioxane at 100 °C within 20 h.

S4. Raman spectra

Figure S14. Raman spectra of palladium black isolated from the reaction mixtures: 1 - heating compound **2a** with 3M aqueous KOH solution in pyridine within 24 h; 2 – heating **2a** with *t*-BuOK in 1,4-dioxane at 100 °C within 3 h; 3 – heating **4a** with 3M aqueous KOH solution in pyridine within 24 h.

The metal precipitates isolated from the reaction mixtures were identified as Pd(0) nanoparticles (Figure S12). The absence of strong enough Raman signals from the obtained samples of palladium black confirmed the absence of any Pd oxides or complexes in the analytic sample.

Figure S15. Raman spectrum of Ni(OH)₂ isolated from the reaction mixture after heating compound **6a** with 3M aqueous KOH solution in 1,4-dioxane at 100 °C within 20 h.

The Raman spectrum is analogous to the literature data for the disordered β -Ni(OH)₂.^{1,2} The 3680 cm⁻¹ peak is associated with the stretching of the free OH⁻ groups of the outer part of the crystallites of Ni(OH)₂. The 3570 cm⁻¹ intense peak is assigned to the free OH⁻, while the 3580 cm⁻¹ shoulder to the linked OH⁻ groups in the crystalline bulk [2].

[1] Cornilsen, B. C.; Karjala, P. J.; Loyselle, P. L. *J. Power Sources* 1988, 22, 351-357.
[2] Bernard, M. C.; Cortes, R.; Keddam, M.; Takenouti, H.; Bernard, P.; Senyarich, S. *J. Power Sources* 1996, 63, 247-254.

Figure S16. Raman spectrum of nickel black isolated from the reaction mixture after heating compound **6a** with *t*-BuOK in 1,4-dioxane at 100 °C within 20 h.

The absence of strong enough Raman signals in the range of $30 - 4000 \text{ cm}^{-1}$ corroborates the absence of any notable amounts of Ni oxides, hydroxides or complexes in the analytic sample.

S5. NMR spectra, ESI-MS and GC-MS data

¹H and ¹³C NMR,^{*a*} HRMS spectra

^{*a*}Small impurity signals were observed in the NMR spectra of Pd-PEPPSI complexes **2b,c,e,g** in CDCl₃. Analogous signals were also observed previously in NMR spectra of Pd-NHC complexes and reported in the literature: a) Yen, S. K.; Koh, L. L.; Huynh, H. V.; Hor, T. S. A. *Aust. J. Chem.* **2009,** *62*, 1047-1053; (b) Teci, M.; Brenner, E.; Matt, D.; Toupet, L. *Eur. J. Inorg. Chem.* **2013,** *2013*, 2841-2848; (c) Rottschäfer, D.; Schürmann, C. J.; Lamm, J.-H.; Paesch, A. N.; Neumann, B.; Ghadwal, R. S. *Organometallics* **2016,** *35*, 3421-3429; (d) Steeples, E.; Kelling, A.; Schilde, U.; Esposito, D. *New J. Chem.* **2016,** *40*, 4922-4930; (e) Astakhov, A. V.; Khazipov, O. V.; Chernenko, A. Y.; Pasyukov, D. V.; Kashin, A. S.; Gordeev, E. G.; Khrustalev, V. N.; Chernyshev, V. M.; Ananikov, V. P. *Organometallics* **2017,** *36*, 1981-1992.

Figure S17. ¹H NMR spectrum of compound **1e** (DMSO-*d*₆, 500 MHz).

Figure S20. ¹H NMR spectrum of compound 2b (CDCl₃, 500 MHz).

Figure S22. ¹H NMR spectrum of compound 2e (CDCl₃, 500 MHz).

Figure S24. ¹H NMR spectrum of compound 2g (CDCl₃, 500 MHz).














Figure S30. ¹³C NMR spectrum of compound 7a-¹⁸O (CDCl₃, 125 MHz).



Figure S31. ESI-(+)MS spectrum of 1,3-dimethyl-1,3-dihydro-2*H*-benzimidazol-2-one-¹⁸O (7a-¹⁸O) in CH₃CN solution expanded to the $[M + H]^+$ region.









Figure S35. ¹H NMR spectrum of compound 7c (CDCl₃, 400 MHz).





Figure S37. ESI-(+)MS spectrum of compound **7c** in CH₃CN solution expanded to the $[M + H]^+$ region.



Figure S38. ¹H NMR spectrum of compound 7d (CDCl₃, 500 MHz).







Figure S41. ¹H NMR spectrum of compound **7e** (CDCl₃, 500 MHz).



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Figure S44. 1 H NMR spectrum of compound 7f (CDCl₃, 500 MHz).







Figure S47. ¹H NMR spectrum of compound 7g (CDCl₃, 500 MHz).



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Figure S50. ¹H NMR spectrum of compound 7h (CDCl₃, 500 MHz).



ppm

Figure S51. ¹³C NMR spectrum of compound **7h** (CDCl₃, 125 MHz).





Figure S53. ¹H NMR spectrum of compound 7i (CDCl₃, 500 MHz).



Figure S54. ¹³C NMR spectrum of compound 7i (CDCl₃, 125 MHz).



Figure S55. ESI-(+)MS spectrum of compound **7i** in CH₃CN solution expanded to the $[M + H]^+$ region.



Figure S56. ¹H NMR spectrum of compound 7j (CDCl₃, 500 MHz).



Figure S57. ¹³C NMR spectrum of compound 7j (CDCl₃, 125 MHz).



Figure S58. ESI-(+)MS spectrum of compound **7j** in CH₃CN solution expanded to the $[M + H]^+$ region.



Figure S59. ESI-(+)MS spectrum of compound **7n** in CH₃CN solution, expanded to the $[M + H]^+$ region.








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Figure S64. ESI-(+)MS spectrum of the dihydrochloride of compound $8a \times 2HCl$ in CH_3CN solution expanded to the $[M - HCl - Cl]^+$ region.





Figure S66. ESI-(+)MS spectrum of the dihydrochloride of compound $8b \times 2HCl$ in CH_3CN solution expanded to the $[M - HCl - Cl]^+$ region.



Figure S67. ¹H NMR spectrum of compound **8b** (C₆D₆, 500 MHz)





Figure S69. ¹H NMR spectrum of diperchlorate of compound **8c×2HClO**₄ (DMSO-*d*₆, 500 MHz).



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GC-MS data

GC-MS measurements were carried out using an Agilent 7890A GC system, equipped with an Agilent 5975C mass-selective detector (electron impact, 70 eV) and a HP-5MS column (30 m×0.25 mm × 0.25 μ m film) using He as carrier gas at a flow of 1.0 mL/min. The following temperature program was used in all GC-MS measurements: initial temperature 45 °C, hold for 2 min, then 20 °C·min⁻¹ to 85 °C and hold for 5 min, then 25 °C·min⁻¹ to 185 °C and hold for 1 min, then 10 °C·min⁻¹ to 280 °C and hold for 6.5 min. Injector temperature: 290 °C, initial pressure 1.5128 psi. Injection volume: 0.5 μ L using the splitless injection mode (splitless time: 0.75 min).



Figure S72. GC-MS chromatogram (TIC) of the authentic sample of azolone **7l** (left) and the reaction mixture (right) after heating compound **2o** with $KOH_{(aq)}$ in 1,4-dioxane (entry 37 in the Table S1).



Figure S73. GC-MS chromatogram (TIC) of the authentic sample of azolone 7n (top) and the reaction mixture after heating compound 2q with KOH_(aq) in 1,4-dioxane (entry 41 in the Table S1) (bottom).



Figure S74. GC-MS chromatogram (TIC) of the authentic sample of diamine **8b** (top) and the reaction mixture after heating compound **4b** with *t*-BuOK in 1,4-dioxane (entry 46 in Table S1) (bottom).



Figure S75. GC-MS chromatogram (TIC) of the authentic sample of diamine **8c** (top) and the reaction mixture after heating compound **6b** with *t*-BuOK in 1,4-dioxane (entry 52 in Table S1) (bottom).



Figure S76. GC-MS chromatogram (TIC) of the compound 7a obtained by the reaction of 2a with $K^{18}OH$ (top) and $K^{16}OH$ (bottom) in aqueous pyridine.