Upconversion Nanotheranostic Agent Activated by Hypoxia Combined with NIR Irradiation for Selective Hypoxia Imaging and Tumour Therapy

Hongliang Li,⁺ Weiyan Lei,⁺ Jianong Wu, Shenghui Li, Guoqiang Zhou,^{*} Dandan Liu, Xinjian Yang, Shuxiang Wang,^{*} Zhenhua Li, and Jinchao Zhang^{*}

College of Chemistry & Environmental Science, Key Laboratory of Medicinal Chemistry and Molecular Diagnosis of Ministry of Education, Chemical Biology Key Laboratory of Hebei Province, Hebei University, Baoding, 071002, China

zhougq1982@163.com, (tel)++86 312 507 9359, (fax) ++86 312 507 9359; wsx@hbu.edu.cn; (tel) ++86 312 507 9359, (fax) ++86 312 507 9359; jczhang6970@163.com, (tel) ++86 312 507 9525; (fax) ++86 312 507 9525

Supplementary Information

1. The characterization of FDU-CA_E-NO₂ and UCNP-CA_E-FDU/NO₂

2-(4-Nitrobenzyloxy)-4-(prop-2-ynyloxy)benzaldehyde (2) : A yellow solid, Mp: 163.3-164.8 °C; ¹H-NMR (600 MHz, DMSO- d_6): δ 10.31 (s, 1 H), 8.28 (d, 2 H, J = 8.4 Hz,), 7.80 (d, 2 H, J = 8.4 Hz), 7.73 (d, 1 H, J = 8.4 Hz), 6.86 (d, 1 H, J = 2.4 Hz), 6.76 (d, 1 H, J = 8.4 Hz), 5.46 (s, 2 H), 4.94 (d, 2 H, J = 2.4 Hz), 3.64 (s, 1 H). ¹³C NMR (DMSO- d_6 , 150 MHz, ppm): δ 187.42, 163.66, 161.66, 147.10, 144.15, 130.18, 128.19, 123.68, 118.99, 107.70, 100.66, 78.90, 78.38, 68.67, 56.04. HRMS (ESI) m/z calcd for C₁₇H₁₃NO₅ [M+H]⁺ 312.08665, found 312.08673.

(E)-tert-Butyl-3-(2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)phenyl) acrylate (4)

white solid. Mp: 145.7-148.3 °C; ¹H-NMR (DMSO- d_{6} , 600 MHz, ppm): δ 8.29 (d, 2H, J = 9.0 Hz), 7.83 (d, 1 H, J = 15.6 Hz), 7.74 (d, 2 H, J = 9.0 Hz), 7.72 (d, 1H, J = 9.0 Hz), 6.76 (d, 1H, J = 2.4 Hz), 6.67 (dd, 1H, J = 9.0, 2.4 Hz,) 6.42 (d, 1H, J = 15.6 Hz), 5.39 (s, 2H), 4.86 (d, 2H, J = 2.4 Hz), 3.60 (s, 1H) , 1.47 (s, 9H). ¹³C NMR (DMSO- d_{6} , 150 MHz, ppm): δ 166.00, 160.20, 157.53, 147.11, 144.40, 137.70, 129.67, 128.22, 123.67, 117.71, 116.37, 107.27, 100.73, 79.46, 78.74, 78.51, 68.64, 55.73, 27.81. HRMS (ESI) m/z calcd for C₂₃H₂₃NO₆ [M+H]⁺ 410.15981, found 410.16003.

(*E*)-3-(2-(4-Nitrobenzyloxy)-4-(prop-2-ynyloxy)phenyl)acrylic acid (5) Mp: 197.6-199.5 °C. ¹H-NMR (DMSO- d_6 , 600 MHz, ppm): δ 8.28 (d, 2 H, J = 8.4 Hz), 7.73 (d, 2 H, J = 8.4 Hz), 7.50 (d, 1 H, J = 8.4 Hz), 7.47 (d, 1 H, J = 15.6 Hz), 6.69 (d, 1 H, J = 2.4 Hz), 6.60 (dd, 1 H, J = 9.0, 2.4 Hz), 6.30 (d, 1 H, J = 15.6 Hz), 5.34 (s, 2 H), 4.79 (d, 2 H, J = 2.4 Hz), 3.56 (s, 1 H). ¹³C NMR (DMSO- d_6 , 150 MHz, ppm): δ 167.99, 160.14, 157.54, 147.18, 144.35, 138.22, 128.39, 123.73, 117.22, 116.48, 107.29, 100.76, 78.76, 78.51, 68.64, 55.74. HRMS (ESI) *m/z* calcd for C₁₉H₁₅NO₆ [M+H]⁺ 354.09721, found 354.09723.



FDU-CA_E-NO₂ : A white solid. Mp: 143.4-146.3 °C. ¹H NMR (DMSO- d_{6} , 600 MHz, ppm): δ 11.88 (s, 1 H), 8.28 (d, 2 H, J = 8.7 Hz), 7.92 (d, 1 H, J = 16.0 Hz), 7.87 (d, 1 H, J = 6.8 Hz), 7.75 (d, 1 H, J = 8.8 Hz), 7.72 (d, 2 H, J = 8.7 Hz), 6.77 (d, 1 H, J = 2.0 Hz), 6.69 (dd, 1 H, J = 8.8, 2.0 Hz), 6.60 (d, 1 H, J = 16.0 Hz), 6.14 (t, 1 H, J = 6.4 Hz), 5.51 (d, 1 H, J = 4.4 Hz), 5.40 (d, 2 H, J = 3.2 Hz), 4.87 (d, 2 H, J = 2.0 Hz)

Hz), 4.40-4.28 (m, 3 H), 4.01 (s, 1 H), 3.61 (s, 1 H), 2.24-2.09 (m, 2 H). ¹³C NMR (DMSO- d_6 , 150 MHz, ppm): δ 166.47, 160.54, 157.03, 157.03, 156.77, 148.86, 147.09, 144.29, 141.12, 139.43, 138.83, 130.21, 128.26, 124.53, 124.19, 123.71, 116.12, 115.53, 107.34, 100.73, 84.55, 83.97, 78.71, 78.59, 70.00, 68.65, 63.67, 55.77. HRMS (ESI) *m/z* calcd for C₂₈H₂₄N₃FO₁₀ [M+H]⁺ 582.15185, found 582.15216.

7-Propargyloxycoumarin (CM) (1 + J) = 9.6 Hz, 7.41 (d, 1 H, J = 8.4 Hz), 6.94 (s, 1 H), 6.92 (d, 1 H, J = 8.4 Hz), 6.29 (d, 1 H, J = 9.6 Hz), 7.41 (d, 1 H, J = 8.4 Hz), 6.94 (s, 1 H), 6.92 (d, 1 H, J = 8.4 Hz), 6.29 (d, 1 H, J = 9.6 Hz), 4.77 (d, 2 H, J = 2.4 Hz), 2.56 (s, 1 H). ¹³C NMR (CDCl₃, 150 MHz, ppm): δ 160.98, 160.55, 155.66, 143.27, 128.84, 113.67, 113.20, 113.05, 102.16, 77.38, 76.57, 56.22. HRMS (ESI) *m/z* calcd for C₁₂H₈O₃ [M+H]⁺ 201.05462, found 201.05461.

2. The size of UCNP-CA_E-FDU/NO₂ and UCNP analyzed by DLS and TEM

The samples for TEM and DLS were prepared by dispersing UCNP-CA_E-FDU/NO₂ (200 μ g/mL) in PBS (25 mmol/L, pH 7.4) with intermittent ultrasonic by a needle type ultrasonic instrument. The samples of UCNP were dispersed in cyclohexane (20 μ g/mL). The TEM sample was prepared by dropping on the surface of a copper grid and negative staining for 30 s by a droplet of phosphotungstic acid. The DLS of the samples were measured using a Nano-ZS system in disposable cuvettes.

3. HPLC and HRMS analysis



Figure S1. HPLC profiles of a) FDU; b) FDU-CA_{*E*}-NO₂; c) CM; d) solution of FDU-CA_{*E*}-NO₂ incubated with Na₂S₂O₄ and then illuminated by UV light at 365 nm; e) UCNP-CA_{*E*}-FDU/NO₂ incubated with Na₂S₂O₄ and then illuminated by NIR light at 980 nm



Figure S2. HRMS of the solution of **FDU-CA_E-NO₂** incubated with $Na_2S_2O_4$ and then illuminated by UV-light at 365 nm



Figure S3. HRMS of the mixture of UCNP-CA_E-FDU/NO₂ with $Na_2S_2O_4$ and then illuminated by NIR-light at 980 nm



4. NMR, IR and HRMS spectra

Figure S4. ¹H NMR of 2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)benzaldehyde (2)



Figure S5. ¹³C NMR of 2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)benzaldehyde (2)



Figure S6. HRMS of 2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)benzaldehyde (2)



Figure S7. IR of 4-nitrobenzyloxy-4-diethylaminobenzaldehyde (2)



Figure S8. ¹H NMR of (*E*)-*tert*-butyl-3-(2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)phenyl)acrylate (4)



Figure S9. ¹³C NMR of (E)-tert-butyl-3-(2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)phenyl)acrylate (4)



Figure S10. HRMS of (E)-tert-butyl-3-(2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)phenyl)acrylate (4)



Figure S11. IR of (E)-tert-butyl-3-(2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)phenyl)acrylate (4)



Figure S12. ¹H NMR of (*E*)-3-(2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)phenyl)acrylic acid **(5)**



Figure S13. ¹³C NMR of (E)-3-(2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)phenyl)acrylic acid (5)



Figure S14. HRMS of (E)-3-(2-(4-nitrobenzyloxy)-4-(prop-2-ynyloxy)phenyl)acrylic acid (5)



Figure S16. ¹³C NMR of FDU-CA_E-NO₂



Figure S18. IR of FDU-CA_E-NO₂



Figure S20. ¹³C NMR of CM



Figure S22. IR of CM



Figure S24. IR of UCNP-CA_E-FDU/NO₂