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# Supplementary data

# Single Component Self-Assembled Thermally Activated Delayed Fluorescence Nanoprobe

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# 1. Abbreviations

- DCM = Dichloromethane
- DIPEA = *N*,*N*-Diisopropylethylamine
- DMF = Dimethylformamide
- DMSO = Dimethyl Sulfoxide
- EA = Ethyl Acetate
- FBS = Fetal Bovine Serum
- FLIM = Fluorescence Lifetime Imaging Microscopy
- HATU = 2-(7-Azabenzotriazol-1-yl)-N,N,N',N'-tetramethyluronium Hexafluorophosphate
- HRMS = High Resolution Mass Spectrometry
- MTS = 3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium
- NMR = Nuclear Magnetic Resonance
- PBS = Phosphate Buffer Saline
- PE = Petroleum Ether
- rt = Room Temperature
- TADF = Thermally Activated Delayed Fluorescence
- TFA = Trifluoroacetic Acid
- THF = Tetrahydrofuran

#### 2. Experimental Procedures

#### 2.1 General methods

All the chemicals were purchased from J&K, Energy Chemical or Innochem. Commercially available reagents were used without further purification. Dulbecco's modified Eagle's medium (DMEM), fetal bovine serum (FBS) and penicillin-streptomycin solution (100x) were purchased from Corning. 3-(4,5dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium (MTS) was purchased from Promega. Fluorescence emission spectra and full wavelength absorption spectra were performed on Tecan Spark<sup>™</sup> 10M Multimode Microplate Reader. CMC (Critical Micelle Concentration) were performed on Fluorescence spectrophotometer (HITACHI, F7000) by use fluorescence probe Pyrene. Absolute fluorescence quantum yield, fluorescence emission decays and corresponding fluorescence lifetimes of AI-Cz-AM and AI-Cz-NP under diffident conditions were measured with Edinburgh photonics FLS980 (laser model: VPL-375, the pulse width 500 ns). Confocal laser scanning microscope imaging were conducted with Leica TCS SP8 X Confocal Microscope. Fluorescence lifetime imaging were taken by ISS Q2 confocal laser scanning system coupled to a Nikon TE2000 microscope with the 60x/1.2 NA WI objective lens. TEM imaging in cells were conducted with Tecnai Spirit transmission electron microscope. All the nanoparticles were characterized on Scanning Electron Microscope (SEM, SU-8020, HITACHI, Inc.), Dynamic Light Scattering (DLS) and zeta potential (Malvern Nano ZS90, Malvern, Inc.), Transmission Electron Microscope (TEM, HITACHI H-7650B) after preparation. Transmission electron microscopy (TEM) images were obtained by a Hitachi 7650B microscope operated at 80 kV, and the TEM specimens of AI-Cz-NP were obtained by placing a drop of the nanoparticle suspension on a carbon-coated copper grid without further stain. TEM cell specimens with or without AI-Cz-NP treatment were stained with 2% uranium acetate and 2-4% lead citrate of double staining. All <sup>1</sup>H NMR spectra were recorded at 400 MHz or 500 MHz, respectively. <sup>13</sup>C NMR spectra were recorded at 100 MHz or 125 MHz, respectively. <sup>19</sup>F and <sup>31</sup>P spectra were recorded at 376 MHz and 162 MHz. HRMS was measured with Thermo Exactive Plus mass spectrometer for ESI.

#### 2.2 Synthetic procedures and characterized data



Scheme S1. Synthesis of Compound 4. Reagents and conditions: (i) Di-tert-butyl dicarbonate, DCM, 0 °C→ rt, reflux, 3 h; (ii) CH<sub>3</sub>I, DIPEA, MeOH, 85 °C, overnight; (iii) TFA, DCM, rt, 0.5 h.

#### Compound 2<sup>1</sup>

To a solution Compound **1** (1 g, 4.54 mmol) in DCM (3 mL) was added a solution of Boc<sub>2</sub>O (0.099 g, 0.45 mmol) in DCM (2 mL) dropwise at 0 °C. After the addition was complete, the reaction mixture was allowed to warm to rt and after 3 h, it was quenched with H<sub>2</sub>O (3 mL). The two layers were separated and the organic phase was washed with H<sub>2</sub>O (3 × 3 mL) and brine (3 mL), dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated in vacuo to give colorless liquid 0.083 g, yield 60 %. HRMS (m/z) [M+H]<sup>+</sup>: calcd. for C<sub>15</sub>H<sub>33</sub>O<sub>5</sub>N<sub>2</sub>, 321.2384; found 321.2379.

#### Compound 3

Compound **2** (0.16 g, 0.5 mmol), CH<sub>3</sub>I (1.065 g, 7.5 mmol), DIPEA (388 mg, 3 mmol) was stirred in MeOH (5 mL) at 85 °C overnight. Reaction mixture was stirred in Et<sub>2</sub>O, extraction filtration to give yellow solid 0.264 g (unable to purify, impurity: Compound **3** = 1:1, there is no production rate). HRMS (m/z) [M]<sup>+</sup>: calcd. for C<sub>18</sub>H<sub>39</sub>O<sub>5</sub>N<sub>2</sub><sup>+</sup>, 363.2853; found 363.2850.

#### Compound 4

A mixture of Compound **3** (263 mg, 0.72mmol) was stirred in TFA : DCM=1 : 1 (3 mL : 3 mL) at rt for 30 min. Washed with DCM (6 × 3 mL) and added DIPEA to adjust pH to 7.0. Direct next step. HRMS (m/z) [M]<sup>+</sup>: calcd. for C<sub>13</sub>H<sub>31</sub>O<sub>3</sub>N<sub>2</sub><sup>+</sup>, 263.2329; found 263.2320.



**Scheme S2.** Synthesis of **AI-Cz-AM**. Reagents and conditions: (i) 4-aminobenzoic acid, acetic acid, reflux, 4 h; (ii) NaH, carbazole, DMF, 0 °C, 2 h; (iii) Compound **4**, HATU, DIPEA, DMF, rt, 1 h.

#### Compound 6<sup>2</sup>

Compound **5** (1 g, 5.43 mmol) and 4-aminophenylacetic acid (1.12 g, 8.17 mmol) were added to acetic acid (30 mL) and the mixture was stirred and refluxed for 4 h. The solution was cooled down to 4 °C overnight, extraction filtration to give white solid 1.32 g, yield 80 %. <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>)  $\delta$  13.14 (s, 1H, -COO*H*), 8.22 (t, *J* = 7.6 Hz, 2H, -Ar), 8.11 – 8.08 (m, 2H, -Ar), 7.61 – 7.59 (m, 2H, -Ar). <sup>13</sup>C NMR

(100 MHz, DMSO-*d*<sub>6</sub>)  $\delta$  167.11, 165.33, 155.04, 154.94, 153.33, 153.23, 135.98, 130.33, 129.40, 127.35, 114.34, 114.30, 114.24, 114.20. HRMS (*m*/*z*) [M+H]<sup>+</sup>: calcd. for C<sub>15</sub>H<sub>8</sub> O<sub>4</sub>NF<sub>2</sub>, 304.0416; found 304.0411.

#### Compound 7 (AI-Cz-CA)

Compound **6** (1 g, 3.30 mmol) was stirred in DMF (10 mL) at 0 °C and NaH (330 mg, 8.25 mmol) stage addition in an hour, then mixture with carbazole (1.65 g, 9.90 mmol) for 1 h. Extracted with EA (2 × 50 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. The residue was purified by silica gel column chromatography (PE : EA = 3 : 1) to give yellow solid 1.47 g, yield 75.6 %. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  8.48 (s, 2H, -Ar), 8.33 (d, *J* = 8.0 Hz 2H, -Ar), 7.80 – 7.78 (m, 4H, -Ar), 7.74 (d, *J* = 8.0 Hz 2H, -Ar), 7.15 (d, *J* = 8.0 Hz, 4H, -Ar), 7.11 – 7.05 (m, 8H, -Ar). <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  170.9, 165.4, 139.7, 138.7, 136.5, 131.3, 130.6, 128.7, 126.1, 126.0, 125.9, 124.0, 121.1, 120.2, 109.3. HRMS (*m/z*) [M+H]<sup>+</sup>: calcd. for C<sub>39</sub>H<sub>24</sub>O<sub>4</sub>N<sub>3</sub>, 598.1761; found 598.1755.

#### Compound 8 (AI-Cz-AM)

Compound **7** (516 mg, 0.86 mmol), HATU (326 mg, 0.86 mmol), DIPEA (186.12 mg, 1.44 mmol) were stirred in DMF (6 mL) at rt for 30 min. Then added Compound **4** (189.36 mg, 0.72 mmol) for 0.5 h. Extracted with EA (2 × 50 mL) and dried over Na<sub>2</sub>SO<sub>4</sub>. The residue was purified by recrystallization in DCM, to give yellow solid 95 mg, yield 15 %. <sup>1</sup>H NMR (500 MHz, Acetone- $d_6$ )  $\delta$  8.51 (s, 2H, -Ar), 8.11 (d, *J* = 7.0 Hz, 2H, -Ar), 7.91 (d, *J* = 3.5 Hz, 4H, -Ar), 7.74 (d, *J* = 6.5 Hz, 2H, -Ar), 7.34 (d, *J* = 5.0Hz, 4H, -Ar), 7.11 (d, *J* = 3.5 Hz, 8H, -Ar), 3.65-3.61 (m, 12H, -CH<sub>2</sub>-), 3.59-3.55 (m, 4H, -CH<sub>2</sub>-), 3.36 (s, 9H, -CH<sub>2</sub>-), 2.21-2.15 (m, 2H, -CH<sub>2</sub>-), 1.91 (t, *J* = 5.0 Hz, 2H, -CH<sub>2</sub>-). <sup>19</sup>F NMR (376 MHz, DMSO-d<sub>6</sub>)  $\delta$  -69.17, -71.06. <sup>31</sup>P NMR (162 MHz, DMSO-d<sub>6</sub>)  $\delta$  -131.02, -135.41, -139.80, -144.19, -148.59, -152.98, -157.37. <sup>13</sup>C NMR (125 MHz, Acetone-d<sub>6</sub>)  $\delta$ 166.58, 166.40, 140.34, 140.18, 135.52, 132.79, 128.68, 127.58, 126.65, 124.71, 121.63, 120.98, 110.57, 71.09, 71.08, 70.92, 70.88, 69.97, 68.07, 65.54, 53.66, 38.58, 30.60, 24.28. HRMS (*m*/*z*) [M]<sup>+</sup>: calcd. for C<sub>52</sub>H<sub>52</sub>N<sub>5</sub>O<sub>6</sub><sup>+</sup>, 842.3912; found 842.3872.

## 3. Results and Discussion

#### 3.1 Preparation of the AI-Cz-NP

To 5 mL of THF solution was added 500 µL of the **AI-Cz-AM** in THF (1.0 mM); then, under ultrasonic condition, the solution was rapidly injected into 12 mL of water without any surfactants. After the ultrasound was sustained for 3 min, the solution was bubbled by Ar for about 2 h to remove the THF. Then, by continual bubbling, the obtained solution was heated to 110 °C until 5 mL of water was remained. Thus, the uniformly distributed **AI-Cz-NP** were obtained.

#### 3.2 Stability studies of AI-Cz-NP

Nanoparticles were stored at 4 °C, and their particle sizes were measured at 1, 3, 5, 8, 10, 14 and 28 days.



Fig. S1 The stability results indicated that AI-Cz-NP remained stable in particle size for at least 28 days.

### 3.3 Zeta potential of AI-Cz-NP



Fig. S2 Zeta potential analysis of AI-Cz-NP.

#### 3.4 Molecular modeling of Al-Cz-AM

Molecular geometry of **AI-Cz-AM** was investigated using time-dependent density functional theory (TD-DFT) plus a PBE/DZP basis set with the Amsterdam Density Functional (ADF) 2018 program. The length of structural optimized **AI-Cz-AM** is about 3 nm.



Fig. S3 The optimized structure of AI-Cz-AM, calculated by TD-DFT.

To obtain the configurations of self-assembled **AI-Cz-NP**, Molecular Dynamics (MD) Simulations were performed using the GROMACS (version 2018.4). Initially, 20 optimized **AI-Cz-AM** molecules were placed into a 10 nm ×10 nm ×10 nm simulation cubic box with TIP3P water model<sup>3</sup>. The system was placed under the NVT ensemble (T = 300 K) and balanced at 50 ps. Subsequently, the system was placed in the NPT ensemble (1 atmosphere, 300K) for 50 ns molecular dynamics simulation. The MD Simulations showed **AI-Cz-AM** could aggregate in water with the TADF skeleton placed inside and the hydrophilic chains outside. In addition, the particle size was getting larger with the increase of MD simulations running time.



Fig. S4 (a) Molecular dynamics simulation at 50 ns. (b) MD simulations at 0 ns, 20 ns, 50 ns, respectively.

#### 3.5 TADF characterized data of AI-Cz-AM and AI-Cz-NP

<b>Table S1.</b> The maximum fluorescence and phosphorescence wavelengths, $S_1$ and $T_1$ energy levels, $\Delta E_{ST}$ or
AI-Cz-AM in THF and AI-Cz-NP in water at 77 K. <i>Φ</i> <sub>F</sub> of AI-Cz-AM in THF and AI-Cz-NP in water at 298K.

Compound	Solvent	λ <sub>FL</sub> <sup>[a]</sup> (nm)	<i>E</i> s1(eV)	$\lambda_{Phos^{[b]}}$ (nm)	<i>E</i> <sub>⊺1</sub> (eV)	$\Delta E_{\rm ST}^{[c]}$ (eV)	<b>⊅</b> ⊧ <sup>[d]</sup> (%)
AI-Cz-AM	THF	517	2.40	560	2.30	0.10	0.94
AI-Cz-NP	water	514	2.42	540	2.30	0.12	1.36

[a] Fluorescence emission peaks (*C*AI-Cz-AM = 10.0  $\mu$ M, *C*AI-Cz-NP = 30.0  $\mu$ M). [b] Phosphorescence emission peaks (*C*AI-Cz-AM = 10.0  $\mu$ M, *C*AI-Cz-NP = 30.0  $\mu$ M). [c]  $\Delta E_{ST} = E_{S1} - E_{T1}$ . [d] Absolute fluorescence quantum yield in THF.

**Table S2.** Fluorescence lifetime compositions of delayed components of **AI-Cz-AM** (10.0  $\mu$ M) in THF after deoxygenating and **AI-Cz-NP** (30  $\mu$ M) in water exposed to air. Excited at 380 nm and monitored at 585 nm.

Compound	τ1 <sup>[a]</sup> (μs)	n <sub>1</sub> <sup>[b]</sup> %	τ² <sup>[a]</sup> (μs)	n² <sup>[b]</sup> %	τ <sup>[c]</sup> (μs)
AI-Cz-AM	0.835	2.020	6.093	97.98	6.078
AI-Cz-NP	2.103	13.97	10.950	86.03	10.683

[a] Obtained from the double-exponential fitting of transient decay curves on a 100 µs scale; [b] The contribution of each component to average lifetime; [c] The average fluorescence lifetime of delayed component.



**Fig. S5** Fluorescence (red) and phosphorescence (blue) spectra of **AI-Cz-NP** (a, c) in aqueous solution and **AI-Cz-AM** (b, d) in degassed THF solution at 298K (a, b) and 77K (c, d), excited at 390 nm.



**Fig. S6** Fluorescence emission decays of **AI-Cz-NP** (30  $\mu$ M) in the water at different temperature. Red curve represents the profile at 298K under air, blue curve represents the profile at 77K under air and black curve represents instrument response function (IRF). Excited at 390 nm and monitored at 556 nm.

**Table S3**. Fluorescence lifetime compositions of delayed components of **AI-Cz-NP** (30  $\mu$ M) in water in different condition. Excited at 390 nm and monitored at 556 nm.

Compound	τ1 <sup>[a]</sup> (ns)	n1 <sup>[b]</sup> %	τ2 <sup>[a]</sup> (ns)	n² <sup>[b]</sup> %	τ <sup>[c]</sup> (ns)
298K-air	6.29	94.71	7253.54	5.29	7142.74
77K-air	18.06	97.34	327.39	2.66	120.53

[a] Obtained from the double-exponential fitting of transient decay curves on a 40000 ns scale; [b] The contribution of each component to average lifetime; [c] The average fluorescence lifetime of delayed component.

#### 3.6 CMC and CAC measurements of AI-Cz-AM

Critical micelle concentration (CMC): First, the stock solution (1 mM in THF) was diluted to different concentrations with water. In each solution, 5  $\mu$ L pyrene in THF solution (2 × 10<sup>-4</sup> M) was added to 2 mL **AI-Cz-AM** solution to produce the final pyrene concentration at 5 × 10<sup>-7</sup> M. The fluorescence spectra were recorded with the excitation wavelength of 334 nm. The I<sub>1</sub> and I<sub>3</sub> values were measured as the maximum emission intensity at 373 and 383 nm, respectively. Plot of the pyrene I<sub>1</sub>/I<sub>3</sub> ratio against log value of **AI-Cz-AM** concentration. Critical aggregation concentration (CAC): CAC values obtained through emission intensity of **AI-Cz-AM** at different log value of **AI-Cz-AM** concentration when the water fraction is 99%.  $\lambda_{ex} = 390$  nm and  $\lambda_{em} = 540$  nm.



Fig. S7 (a) Plot of the pyrene  $I_1/I_3$  ratio against log value of AI-Cz-AM concentration. (b) CAC values obtained through emission spectra of AI-Cz-AM at different log value of AI-Cz-AM concentration.

#### 3.7 HepG 2 cell line and culture conditions

HepG 2 cell line was purchased from American Type Culture Collection (ATCC), USA. HepG 2 cells were cultured in DMEM (Dulbecco's modified Eagle's medium) (Corning) containing 10 % fetal bovine serum (Invitrogen) and 1 % penicillin-streptomycin (Corning). All cell lines were maintained at a humidified incubator with 5 % CO<sub>2</sub> at 37 °C.

#### 3.8 Cytotoxicity assay

The cytotoxicity of **AI-Cz-AM** and **AI-Cz-NP** were determined by a standard MTS assay *in vitro*. HepG 2 cells were seeded at a density of  $1 \times 10^4$  cells/mL per well in 96-well flat bottom microtitration plates with 100 µL per well, incubated in a humidified 5 % CO<sub>2</sub> atmosphere at 37 °C for 24 h, then exposed to different concentrations of **AI-Cz-AM** (0-1.6 µM) and **AI-Cz-NP** (0-25 µM) for 24 h, respectively. After treatment, 20 µL MTS solution was added to each well and continued to incubated for 3 h. After 3 h incubation at 37 °C, the absorbance was measurement at 490 nm with Tecan Spark<sup>TM</sup> 10M Multimode Microplate Reader. Cell survival rate (%) = (*A*-*A*<sub>0</sub>) /(*A*s-*A*<sub>0</sub>) ×100, where *A* is the absorbance of the experimental group, *A*s is the absorbance of the control group, and *A*<sub>0</sub> is the absorbance of the blank group (no cells). The experiment was repeated three times.





#### 3.9 Confocal imaging of HepG 2 cells treated with AI-Cz-AM and AI-Cz-NP

Upon reaching 80 % confluence, HepG 2 cells (300  $\mu$ L, 4 × 10<sup>4</sup> cells/mL) were transferred into an 8-well chamber. On the following day, the media were removed and the cells were washed twice with PBS buffer, then incubated with **AI-Cz-AM** (1  $\mu$ M) or **AI-Cz-NP** (10  $\mu$ M) in DMEM medium, respectively. After incubation at 37 °C for 2 h, the cells were washed three times with PBS buffer and were observed with Leica TCS SP8 X Confocal Microscope using 63× magnification. All the probes were excited at 405 nm and the fluorescence was monitored at 500–600 nm.



**Fig. S9** CLSM images of HepG 2 cells incubated with 1  $\mu$ M **AI-Cz-AM** (a-c).  $\lambda_{ex}$  = 405 nm and  $\lambda_{em}$  = 500–600 nm. Scale bar = 50  $\mu$ m.

#### 3.10 FLIM imaging of HepG 2 cells treated with AI-Cz-NP

HepG 2 cells were precultured in 24-well plates containing cell culture coverslips to achieve 80 % confluence. Then the medium was removed and the cells were incubated with **AI-Cz-NP** (10  $\mu$ M) in fresh DMEM medium at 37 °C for 2 h, respectively. After labeling, the cells were washed twice with PBS buffer solution and then fixed by 4 % paraformaldehyde. Then, the cells were washed three times with PBS buffer and used for fluorescence lifetime imaging. Fluorescence lifetime images were taken by ISS Q2 confocal laser scanning system coupled to a Nikon TE2000 microscope with the 60×/1.2 NA WI objective lens. The excitation wavelength of the probe is 405 nm (2000Hz repetition rate), fluorescence emission and lifetime signals were collected through 500nm-550nm long-pass edge filter.



**Fig. S10** Corresponding fluorescence lifetime decay curve with a reliable one exponential fitting of **AI-Cz-NP** in HepG 2 cells.

#### 3.11 TEM imaging of HepG 2 cells treated with AI-Cz-NP

Cells in cell culture dish with or without **AI-Cz-NP** treatment were fixed with 2 % glutaraldehyde and 2 % paraformaldehyde in PBS buffer, pH 7.2, at 4 °C for 1 h. The samples were then washed in PBS followed by washing in 0.1M cacodylate buffer, pH 7.2, and post-fixed in 1 % osmium tetroxide in 0.1M cacodylate buffer for 1 hour at room temperature. The samples were then washed briefly in dH<sub>2</sub>O and dehydrated through a graded ethanol series. Infiltrated and embedded with EPON epoxy resin. The embedding samples at 60 °C

for 24-48h. Make ultrathin slices and collected onto copper grids and stained with 2% uranium acetate and 2-4% lead citrate of double staining and images were collected using TEM (Tecnai Spirit).



Fig. S11 TEM images of AI-Cz-NP in HepG 2 cells, the scale bar is 1  $\mu$ m and 500 nm. Subcellular organelles, for example, mitochondria and lysosomal, were observed with AI-Cz-NP nearby.



Fig. S12 The TEM image without AI-Cz-NP in HepG 2 cells at different magnifications.

# 4. Copies of NMR spectrum of compounds



<sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) of compound 6



<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) of compound 7



<sup>13</sup>C NMR (125 MHz, Acetone-*d*<sub>6</sub>) of AI-Cz-AM



 $^{31}\mathsf{P}$  NMR (162 MHz, DMSO- $d_6)$  of AI-Cz-AM

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