

Electronic Supplementary Information for

Origin of strong red emission in Er<sup>3+</sup>-based  
upconversion materials: Role of intermediate  
states and cross relaxation

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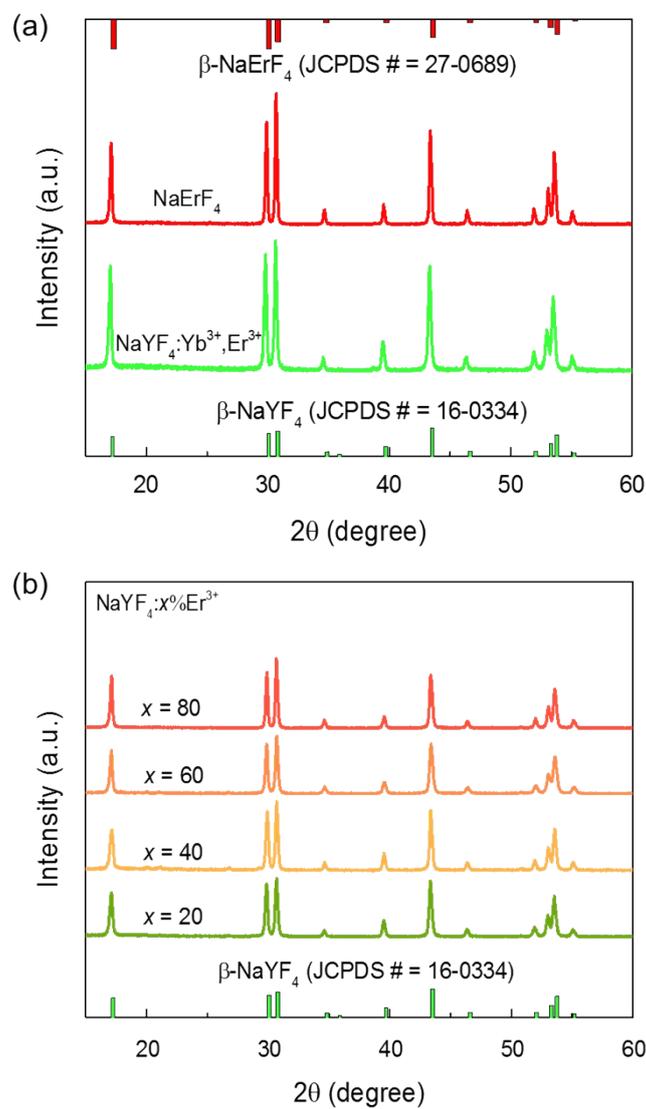
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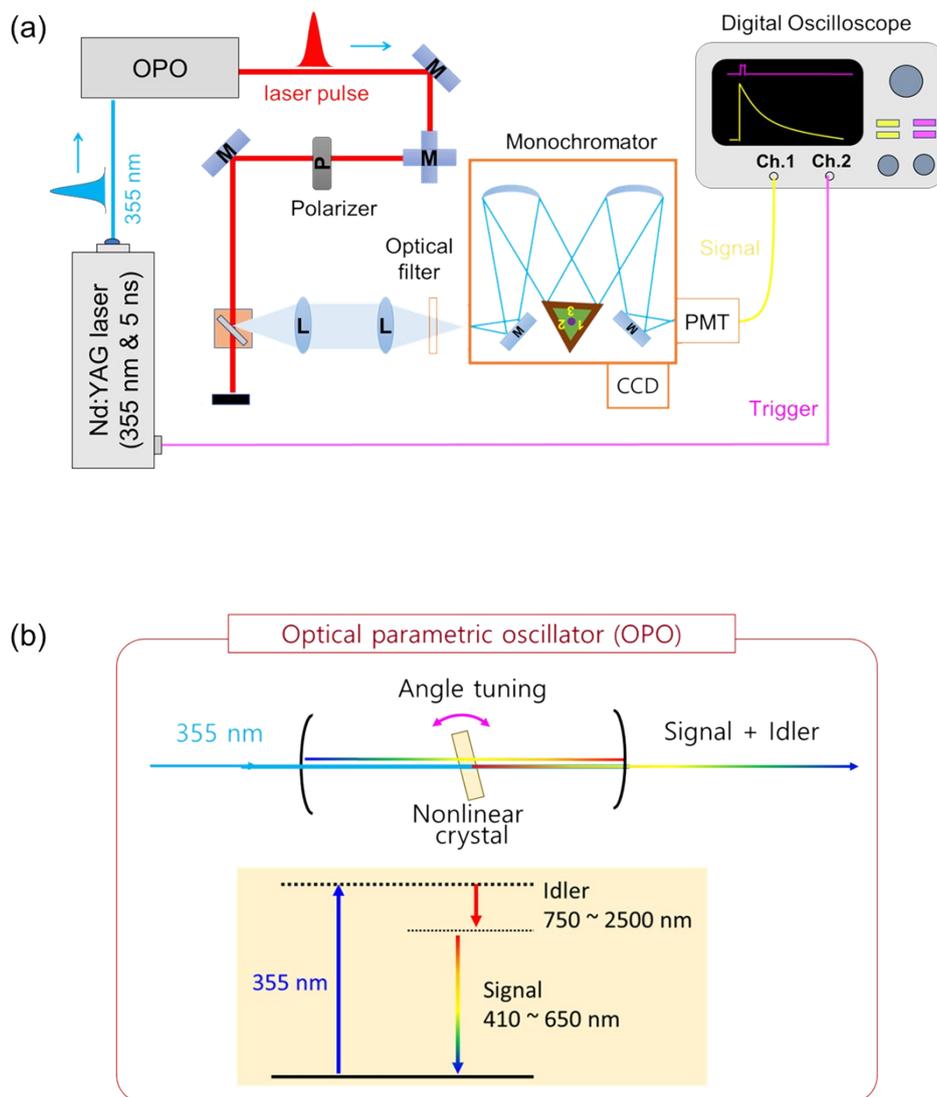
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**Figure S1.**



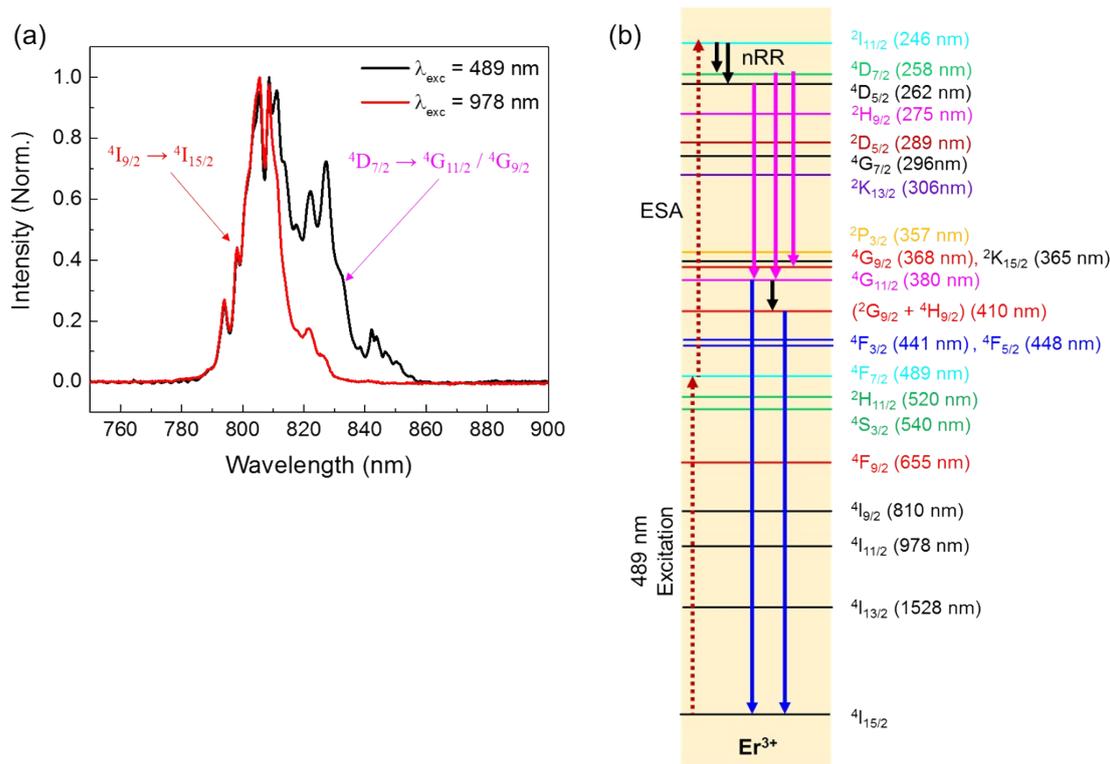
**Figure S1.** XRD patterns of (a) NaErF<sub>4</sub>, NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup> (Y<sup>3+</sup>:Yb<sup>3+</sup>:Er<sup>3+</sup>=78:20:2), and (b) NaYF<sub>4</sub>: x%Er<sup>3+</sup> (x=20~80) powders.

**Figure S2.**



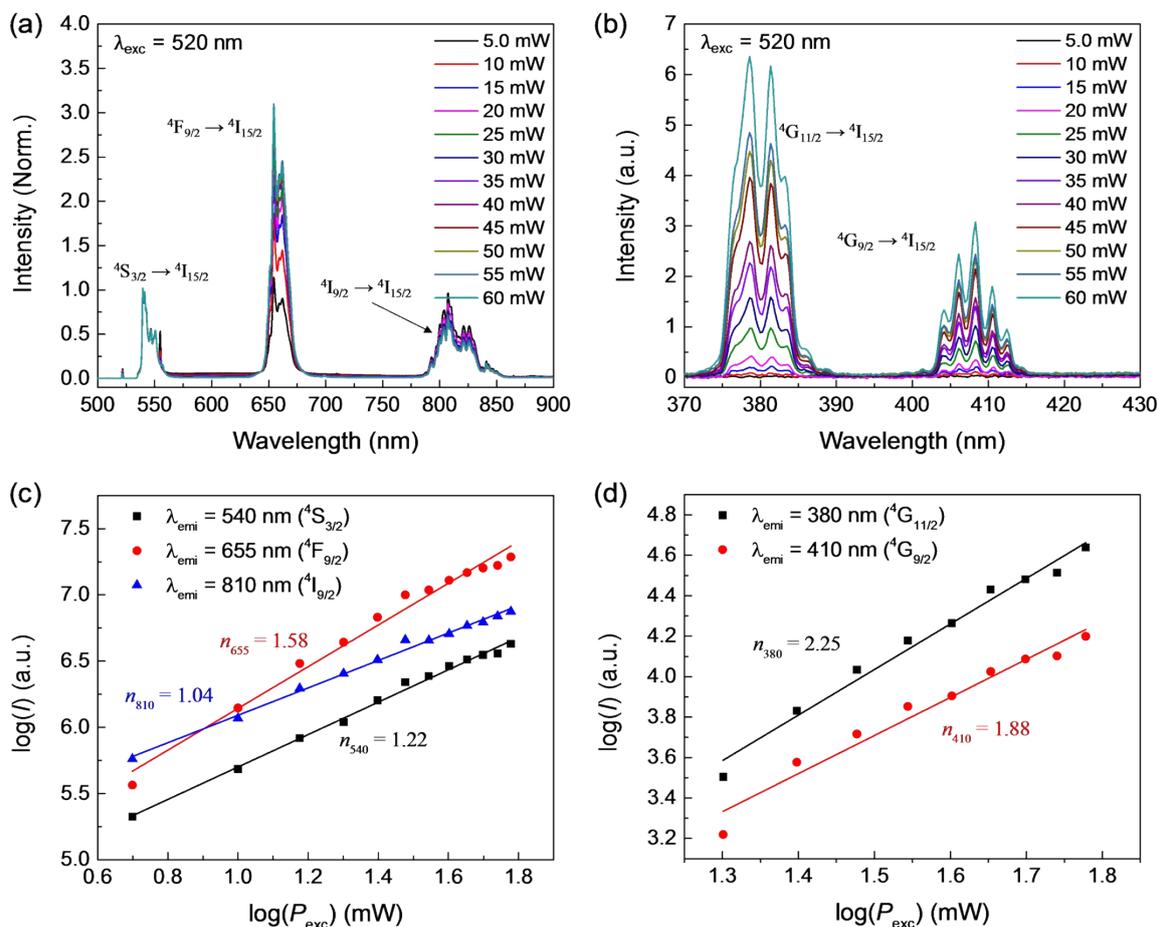
**Figure S2. (a)** Nanosecond time-resolved PL experimental setup. **(b)** Schematic illustration of an optical parametric oscillator (OPO) and nonlinear optical processes.

**Figure S3.**



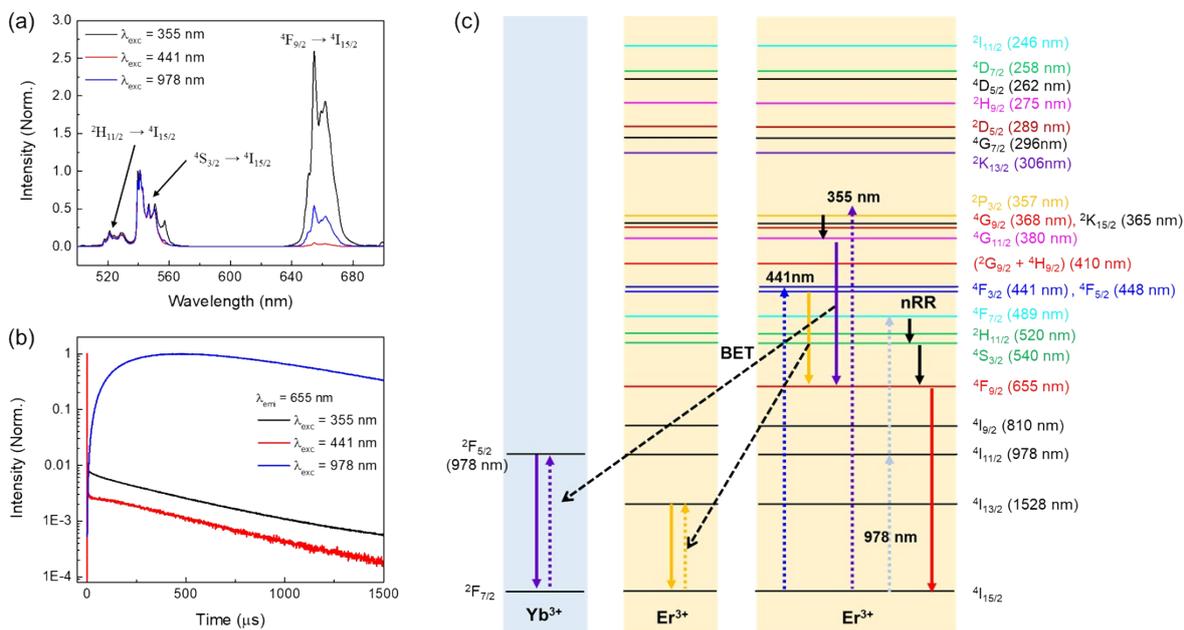
**Figure S3.** (a) PL spectra measured with NaErF<sub>4</sub> powders ( $\lambda_{\text{exc}}= 489$  and 978 nm). The excitation laser power is 20 mW. (b) The energy level diagram of Er<sup>3+</sup>. The margent arrows indicate that the radiative relaxation from higher electronic states (<sup>2</sup>I<sub>11/2</sub>, <sup>2</sup>D<sub>7/2</sub> and <sup>2</sup>D<sub>5/2</sub> states) to <sup>2</sup>G<sub>11/2</sub> and <sup>2</sup>G<sub>9/2</sub> states. The non-radiative relaxations (nRR) are indicated by the black arrows.

**Figure S4.**



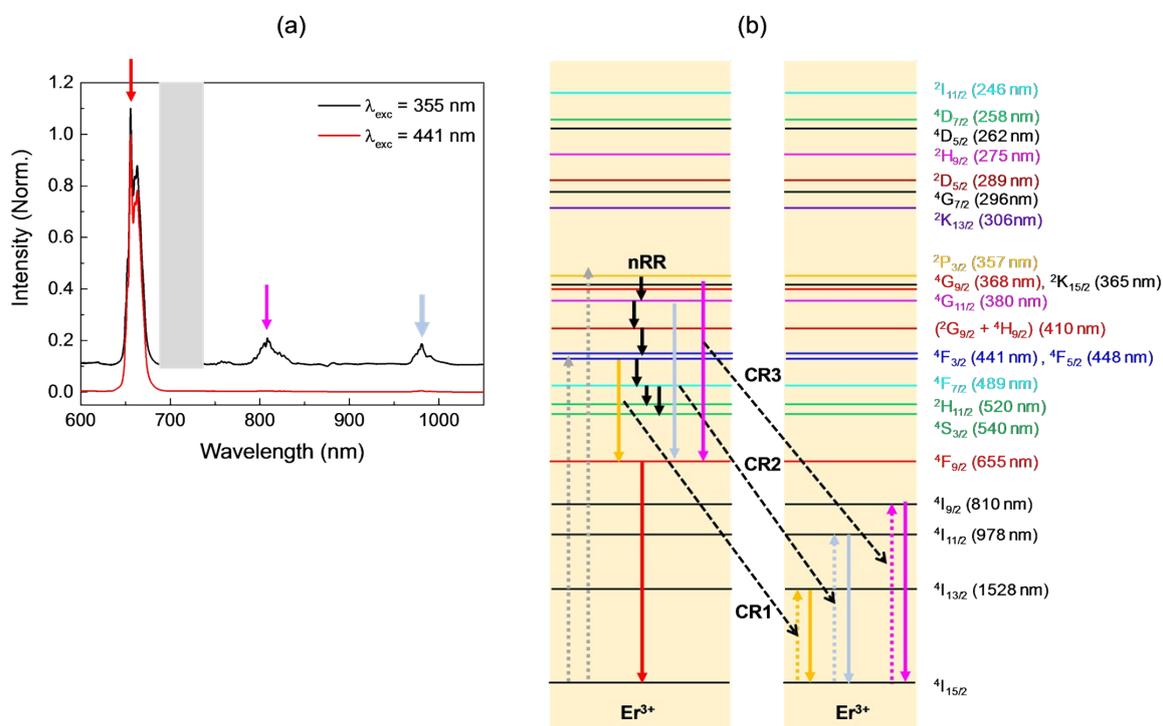
**Figure S4.** (a) Power-dependent PL spectra measured with NaErF<sub>4</sub> powders ( $\lambda_{\text{exc}} = 520$  nm). A 532 nm Raman edge filter was used to block the Rayleigh scattering. The emission at ~520 nm is not shown. All PL spectra were normalized based on the peak at ~540 nm ( ${}^4\text{S}_{3/2} \rightarrow {}^4\text{I}_{15/2}$ ) for comparison. (b) Power-dependent emission peaks at ~380 and ~410 nm. (c) and (d) The log-log plot of the emission intensities ( $I$ ) against excitation laser power ( $P_{\text{exc}}$ ). Data points are experimental results and the line is the fit to Eq. (1). The slopes ( $n$ ) are given.

**Figure S5.**



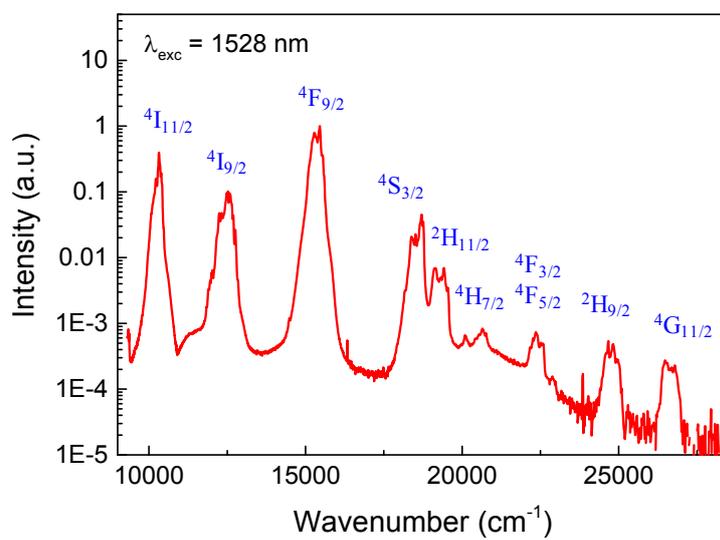
**Figure S5.** (a) PL spectra and (b) time resolved PL signals measured with  $NaYF_4:Yb^{3+}:Er^{3+}$  ( $Y^{3+}:Yb^{3+}:Er^{3+}=78:20:2$ ) powders ( $\lambda_{exc} = 355, 441, \text{ and } 978$  nm). PL spectra are normalized based on the peak at  $\sim 540$  nm. The excitation laser power is set to 20 mW. (c) The energy level diagram and the possible transitions. The resonance energy transfers are indicated by the dashed arrows.

**Figure S6.**



**Figure S6. (a)** PL spectra measured with NaErF<sub>4</sub> powders ( $\lambda_{\text{exc}} = 355$  and 441 nm). PL spectra are normalized based on the peak at 655 nm for comparison. The sub-harmonic of 355 nm was deleted from the PL spectrum. The excitation laser power is set to 20 mW. **(b)** The energy level diagram of Er<sup>3+</sup>. The peaks at ~810 and 978 nm result from the cross relaxation as indicated by the dashed arrows. The cross relaxation between neighboring Er<sup>3+</sup> ions are very efficient in NaErF<sub>4</sub> powders.

**Figure S7.**



**Figure S7.** PL spectrum measured with NaErF<sub>4</sub> powder ( $\lambda_{\text{exc}} = 1528$  nm). The electronic states are assigned to all the peaks.

**Table S1.** Particle sizes of Er<sup>3+</sup>-based upconversion materials

UC material	Particle size (nm) <sup>a</sup>
NaErF <sub>4</sub>	44.8
NaYF <sub>4</sub> :Er <sup>3+</sup> (80%)	40.1
NaYF <sub>4</sub> :Er <sup>3+</sup> (60%)	34.8
NaYF <sub>4</sub> :Er <sup>3+</sup> (40%)	34.7
NaYF <sub>4</sub> :Er <sup>3+</sup> (20%)	32.1
NaYF <sub>4</sub> :Yb <sup>3+</sup> , Er <sup>3+</sup> (78% : 20% : 2%)	37.6

<sup>a</sup> Particle sizes were estimated from the XRD data and the Scherrer equation.