Electronic Supplementary Material (ESI) for Dalton Transactions. This journal is © The Royal Society of Chemistry 2019

# Au(III)-proline derivatives exhibiting selective antiproliferative activity against HepG2/SB3 apoptosis-resistant cancer cells

Leonardo Brustolin,<sup>[a][b]</sup> Nicolò Pettenuzzo,<sup>[a][b]</sup> Chiara Nardon,<sup>[a]</sup> Santina Quarta,<sup>[c]</sup> Luciano Marchiò,<sup>[d]</sup> Barbara Biondi<sup>(e)</sup>, Patrizia Pontisso,<sup>[c]</sup> and Dolores Fregona<sup>\*[a]</sup>

<sup>[a]</sup> Department of Chemical Sciences, University of Padova, Via F. Marzolo 1, 35131 Padova (Italy)

<sup>[b]</sup> Department of Chirurgic, Oncologic and Gastroenterological Sciences, University of Padova, Via Giustiniani 2, 35128, Padova (Italy)

<sup>[c]</sup> Department of Medicine, University of Padova, Via Giustiniani 2, 35128, Padova (Italy)

<sup>[d]</sup> Department of Chemical Sciences, Life Sciences and Environmental Sustainability, University of Parma, Parco Area delle Scienze 11/a, 43124, Parma (Italy)

<sup>[e]</sup> CNR, Padova Unit, Inst Biomol Chem, Via Marzolo 1, I-35131 Padua, Italy

\*Author to whom correspondence may be addressed: dolores.fregona@unipd.it

### X-ray crystallographic parameters

	[AuCl <sub>2</sub> (ProOtBuDTC)] (4) [AuBr <sub>2</sub> (ProOMeDTC)]		[AuBr <sub>2</sub> (ProOtBuDTC)] (6)
Empirical formula	$C_{10}H_{16}AuCl_2NO_2S_2$	$C_{10}H_{16}AuCl_2NO_2S_2 \qquad C_7H_{10}AuBr_2NO_2S_2$	
Formula weight	514.22	2 561.07	
Temperature/K	200(2)	293(2)	293(2)
Crystal system	monoclinic	orthorhombic	monoclinic
Space group	P2 <sub>1</sub>	P2 <sub>1</sub> 2 <sub>1</sub> 2 <sub>1</sub>	P2 <sub>1</sub>
a/Å	6.8275(2)		6.985(2)
b/Å	22.0294(7)	14.315(3)	22.283(7)
c/Å	10.7863(3)		11.048(3)

α/°	90	90	90	
β/°	101.659(1)	90	102.358(5)	
٧/°	90	90	90	
Volume/Å <sup>3</sup>	1588.85(8)	2716.8(11)	1679.7(8)	
Z	4	8	4	
$ ho_{calc}g/cm^3$	2.150	2.743	2.385	
µ/mm <sup>-1</sup>	9.851	17.001	13.758	
F(000)	976.0	2048.0	1120.0	
Crystal size/mm <sup>3</sup>	0.21 × 0.16 × 0.06	0.42 × 0.12 × 0.10	0.28 × 0.05 × 0.05	
20 range (°)	6.368 to 52.058	3.088 to 51.696	3.656 to 51.998	
Reflections collected/unique	22908/6215	31186/5231	14950/6537	
Data/restraints/ parameters	6215/2/331	5231/0/273	6537/1/331	
Goodness-of-fit on F <sup>2</sup>	0.919	1.009	0.946	
<b>R</b> <sub>1</sub> [ <b>i&gt;=2</b> σ ( <b>i</b> )] 0.0173		0.0369	0.0436	
wR <sub>2</sub> [I>=2σ (I)]	vR <sub>2</sub> [ <b>i&gt;=2σ (i)</b> ] 0.0352		0.0805	
Flack parameter 0.011(4)		0.021(8)	-0.002(12)	

 Table SI 1
 Summary of X-ray crystallographic data for [AuBr<sub>2</sub>(ProOMeDTC)]
 (4), [AuCl<sub>2</sub>(ProOtBuDTC)]
 (5),

 $[AuBr_{2}(ProOtBuDTC)] (6).$   $R1 = \Sigma ||F_{0}| - |F_{c}|| / \Sigma |F_{0}|, \ wR2 = [\Sigma[w(F_{0}^{2} - F_{c}^{2})^{2}] / \Sigma[w(F_{0}^{2})^{2}]]^{\frac{1}{2}}, \ w = 1 / [\sigma^{2}(F_{0}^{2}) + (aP)^{2} + bP], \ \text{where } P = [\max(F_{0}^{2}, 0) + bP]$  $2F_c^2]/3.$ 

[AuCl₂(ProO	[AuCl₂(ProOtBuDTC)] (4)		[AuBr <sub>2</sub> (ProOMeDTC)] (5)		MeDTC)] (4)
Au(1)-S(11)	2.309(2)	Au(1)-S(11)	2.316(4)	Au(1)-S(11)	2.323(5)
Au(1)-S(21)	2.294(2)	Au(1)-S(21)	2.315(4)	Au(1)-S(21)	2.305(5)
Au(1)-Cl(1)	2.311(2)	Au(1)-Br(1)	2.436(2)	Au(1)-Br(1)	2.441(2)
Au(1)-Cl(2)	2.307(2)	Au(1)-Br(2)	2.435(2)	Au(1)-Br(2)	2.436(2)
Au(2)-S(12)	2.298(2)	Au(2)-S(12)	2.301(4)	Au(2)-S(12)	2.314(5)
Au(2)-S(22)	2.305(2)	Au(2)-S(22)	2.324(4)	Au(2)-S(22)	2.315(5)
Au(2)-Cl(3)	2.311(2)	Au(2)-Br(3)	2.441(2)	Au(2)-Br(3)	2.435(2)
Au(2)-Cl(4)	2.300(2)	Au(2)-Br(4)	2.441(2)	Au(2)-Br(4)	2.427(2)

Table SI 2Selected geometric parameters (Å) for [AuCl2(ProOtBuDTC)] (4), [AuBr2(ProOMeDTC)] (5),[AuBr2(ProOtBuDTC)] (6).



**Fig. SI 1** Molecular structure of the complex **4** with thermal ellipsoids drawn at the **50% probability** level. Both molecular entities within the asymmetric unit are shown. CCDC 1845775.



**Fig. SI 2** Molecular structure of the complex **5** with thermal ellipsoids drawn at the **50% probability** level. Both molecular entities within the asymmetric unit are shown. CCDC 1845776.



**Fig. SI 3** Molecular structure of the complex **6** with thermal ellipsoids drawn at the **50% probability** level. Both molecular entities within the asymmetric unit are shown. CCDC 1845777.



**Fig. SI** 4 Medium FT-IR (4000-500 cm<sup>-1</sup>, KBr) spectrum of L-proline methyl ester dithiocarbamate sodium salt (Na ProOMeDTC).



Fig. SI 5 Medium FT-IR (4000-500 cm<sup>-1</sup>, KBr) spectrum of L-proline *tert*-butyl ester dithiocarbamate sodium salt (Na ProOtBuDTC).



**Fig. SI 6** Medium FT-IR (4000-600 cm<sup>-1</sup>, KBr) spectrum of bis(L-proline methyl ester dithiocarbamate)digold(I), [Au<sub>2</sub>(ProOMeDTC)<sub>2</sub>] (**1**).



**Fig. SI 7** Far FT-IR (600-100 cm<sup>-1</sup>, nujol) spectrum of bis(L-proline methyl ester dithiocarbamate)digold(I), [Au<sub>2</sub>(ProOMeDTC)<sub>2</sub>] (**1**).



**Fig. SI** 8 Medium FT-IR (4000-600 cm<sup>-1</sup>, KBr) spectrum of bis(L-proline *tert*-butyl ester dithiocarbamate)digold(I), [Au<sub>2</sub>(ProOtBuDTC)<sub>2</sub>] (2).



**Fig. SI 9** Far FT-IR (600-100 cm<sup>-1</sup>, nujol) spectrum of bis(L-proline *tert*-butyl ester dithiocarbamate)digold(I), [Au<sub>2</sub>(ProOtBuDTC)<sub>2</sub>] (**2**).



**Fig. SI 10** Medium FT-IR (4000-600 cm<sup>-1</sup>, KBr) spectrum of dichloro(L-proline methyl ester dithiocarbamate)gold(III), [AuCl<sub>2</sub>(ProOMeDTC)] (**3**).



**Fig. SI 11** Far FT-IR (600-100 cm<sup>-1</sup>, nujol) spectrum of dichloro(L-proline methyl ester dithiocarbamate)gold(III), [AuCl<sub>2</sub>(ProOMeDTC)] (**3**).



**Fig. SI 12** Medium FT-IR (4000-600 cm<sup>-1</sup>, KBr) spectrum of dichloro(L-proline *tert*-butyl ester dithiocarbamate)gold(III), [AuCl<sub>2</sub>(ProOtBuDTC)] (**4**).



**Fig. SI 13** Far FT-IR (600-100 cm<sup>-1</sup>, nujol) spectrum of dichloro(L-proline *tert*-butyl ester dithiocarbamate)gold(III), [AuCl<sub>2</sub>(ProOtBuDTC)] (**4**).



**Fig. SI 14** Medium FT-IR (4000-600 cm<sup>-1</sup>, KBr) spectrum of dibromo(L-proline methyl ester dithiocarbamate)gold(III), [AuBr<sub>2</sub>(ProOMeDTC)] (**5**).



**Fig. SI 15** Far FT-IR (600-100 cm<sup>-1</sup>, nujol) spectrum of dibromo(L-proline methyl ester dithiocarbamate)gold(III), [AuBr<sub>2</sub>(ProOMeDTC)] (**5**).



**Fig. SI 16** Medium FT-IR (4000-600 cm<sup>-1</sup>, KBr) spectrum of dibromo(L-proline *tert*-butyl ester dithiocarbamate)gold(III), [AuBr<sub>2</sub>(ProOtBuDTC)] (**6**).



**Fig. SI 17** Far FT-IR (600-100 cm<sup>-1</sup>, nujol) spectrum of dibromo(L-proline *tert*-butyl ester dithiocarbamate)gold(III), [AuBr<sub>2</sub>(ProOtBuDTC)] (6).

# <sup>1</sup>H-NMR spectra



**Fig. SI 18** <sup>1</sup>H-NMR (CD<sub>3</sub>OD, 25 °C, 300.13 MHz) spectrum of L-proline methyl ester dithiocarbamate sodium salt (Na ProOMeDTC).



**Fig. SI 19** <sup>1</sup>H-NMR (CD<sub>3</sub>OD, 25 °C, 300.13 MHz) spectrum of L-proline *tert*-butyl ester dithiocarbamate sodium salt (Na ProOtBuDTC).



**Fig. SI 20** <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 25 °C, 300.13 MHz) spectrum of bis(L-proline methyl ester dithiocarbamate)digold(I), [Au<sub>2</sub>(ProOMeDTC)<sub>2</sub>] (**1**).



**Fig. SI 21** <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 25 °C, 300.13 MHz) spectrum of bis(L-proline *tert*-butyl ester dithiocarbamate)digold(I), [Au<sub>2</sub>(ProOtBuDTC)<sub>2</sub>] (**2**).



**Fig. SI 22** <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 25 °C, 300.13 MHz) spectrum of dichloro(L-proline methyl ester dithiocarbamate)gold(III), [AuCl<sub>2</sub>(ProOMeDTC)] (**3**).



**Fig. SI 23** <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 25 °C, 300.13 MHz) spectrum of dichloro(L-proline *tert*-butyl ester dithiocarbamate)gold(III), [AuCl<sub>2</sub>(ProOtBuDTC)] (**4**).



**Fig. SI 24.** <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 25 °C, 300.13 MHz) spectrum of dibromo(L-proline methyl ester dithiocarbamate)gold(III), [AuBr<sub>2</sub>(ProOMeDTC)] (**5**).



**Fig. SI 25** <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>, 25 °C, 300.13 MHz) spectrum of dibromo(L-proline *tert*-butyl ester dithiocarbamate)gold(III), [AuBr<sub>2</sub>(ProOtBuDTC)] (**6**).

#### **UV-Vis studies**

Compound	band I	band II	band III	band IV	band V
1	-	284 nm (33581)	326 nm (3382)	-	-
2	-	284 nm (39678)	324 nm (8314)	-	-
3	n.d.	n.d.	265 nm (27612)	330 nm (2818)	420 nm (329)
4	n.d.	n.d.	266 nm (29922)	330 nm (3167)	414 nm (334)
5	255 nm (25328)	271 nm (27060)	285 nm (28648)	388 nm (2310)	437 nm (1268)
6	256 nm (24339)	269 nm (25699)	284 nm (28305)	388 nm (2323)	439 nm (1194)

**Table SI 3** UV-Vis spectral data (800-235 nm) of complexes **1** and **2** in DMSO and **3-6** recorded in  $CH_2Cl_2$  at 25 °C. *n.d.* stands for "not detected".

The summary of the bands is highlighted in **Table SI 3** and the spectra are reported in the following **Fig. SI 26-31.** In literature, the bands I, II and III have not been undoubtedly ascribed to a particular electronic transition, since they could be assigned to either an intra-ligand  $\pi^* \leftarrow \pi$  transition located in the -NCSS moiety or an intra-ligand p $\leftarrow$ d transition between levels originated by sulfur atoms.<sup>36</sup> Moreover, concerning the Au(I)-DTC derivatives, some papers associate the band III with a ligand-to-metal charge transfer (LMCT).<sup>37</sup> In these dinuclear complexes which involve an Au(I)-Au(I) interaction, metal-centered transitions are foreseen at low energy (range 300-350 nm), due to the destabilization of the highest occupied d orbitals of gold (which become antibonding).<sup>38</sup>

The discussion on bands IV and V of Au(III) derivatives is based on the Gray and Ballhausen's description of the molecular orbital theory for SP complexes, which discerns two distinct cases.<sup>39</sup> The first is related to SP compounds in which the ligands themselves have a  $\pi$ -orbital system, whereas the second is associated with SP complexes with no intra-ligand  $\pi$ -orbital system. For both cases, there are three spin-allowed d-d transitions, corresponding to the one-electron transitions  ${}^{1}A_{1g} \rightarrow {}^{1}A_{1g} \rightarrow {}^{1}B_{1g}$  and  ${}^{1}A_{1g} \rightarrow {}^{1}E_{g}$ . Moreover, considering DTC ligands as moieties containing a  $\pi$ -orbital system, three charge-transfer (CT) transitions are foreseen, namely  ${}^{1}A_{1g} \rightarrow {}^{1}E_{u}$ ,  ${}^{1}A_{1g} \rightarrow {}^{1}A_{2u}$ , and  ${}^{1}A_{1g} \rightarrow {}^{1}B_{1u}$ . However, only the first and second are allowed, with the  ${}^{1}A_{1g} \rightarrow {}^{1}E_{u}$  transition expected to have considerably greater intensity. On the other hand, halido ligands belong to the second case presented, and in general generate two allowed CT transitions of the type  ${}^{1}A_{1g} \rightarrow {}^{1}E_{u}$  (more intense) and  ${}^{1}A_{1g} \rightarrow {}^{1}A_{2u}$ .<sup>39-41</sup> In general, the band IV is attributed to either an intramolecular L $\leftarrow$ M charge transfer, involving the Au 5d orbitals and the dithiocarbamato  $\pi^*$ -system (first case abovementioned), or an electron transfer of the type u $\leftarrow$ g from a 4p orbital of the halide ligands to the lowest unfilled 5d orbital (second case).<sup>42</sup> The band V is a weak absorption and it is easily detected in dibromido derivatives since it appears as a shoulder of the CT transition (band IV). It is attributable to the three allowed d-d transitions  ${}^{1}A_{1g} \rightarrow {}^{1}A_{2g}$ . ${}^{1}A_{1g} \rightarrow {}^{1}B_{1g}$  and  ${}^{1}A_{1g} \rightarrow {}^{1}E_{g}$ .<sup>41,43</sup>



**Fig. SI 26** UV-Vis spectrum (DMSO, 25 °C) of bis(L-proline methyl ester dithiocarbamate)digold(I), [Au<sub>2</sub>(ProOMeDTC)<sub>2</sub>] (1).



**Fig. SI 27** UV-Vis spectrum (DMSO, 25 °C) of bis(L-proline *tert*-butyl ester dithiocarbamate)digold(I), [Au<sub>2</sub>(ProOtBuDTC)<sub>2</sub>] (**2**).







**Fig. SI 29** UV-Vis spectrum (CH<sub>2</sub>Cl<sub>2</sub>, 25 °C) of dichloro(L-proline *tert*-butyl ester dithiocarbamate)gold(III), [AuCl<sub>2</sub>(ProOtBuDTC)] (**4**).



**Fig. SI 30** UV-Vis spectrum (CH<sub>2</sub>Cl<sub>2</sub>, 25 °C) of dibromo(L-proline methyl ester dithiocarbamate)gold(III), [AuBr<sub>2</sub>(ProOMeDTC)] (**5**).



**Fig. SI 31** UV-Vis spectrum (CH<sub>2</sub>Cl<sub>2</sub>, 25 °C) of dibromo(L-proline *tert*-butyl ester dithiocarbamate)gold(III), [AuBr<sub>2</sub>(ProOtBuDTC)] (6).

# **UV-Vis stability studies**



**Fig. SI 32** UV-Vis stability study (DMSO/H<sub>2</sub>O 1:9, 37 °C, 72 h) of bis(L-proline methyl ester dithiocarbamate)digold(I), [Au<sub>2</sub>(ProOMeDTC)<sub>2</sub>] (**1**).



**Fig. SI 33** UV-Vis stability study (DMSO/H<sub>2</sub>O 1:9, 37 °C, 72 h) of bis(L-proline *tert*-butyl ester dithiocarbamate)digold(I), [Au<sub>2</sub>(ProOtBuDTC)<sub>2</sub>] (**2**).



**Fig. SI 34** UV-Vis stability study (DMSO/H<sub>2</sub>O 1:9, 37 °C, 72 h) of dichloro(L-proline methyl ester dithiocarbamate)gold(III), [AuCl<sub>2</sub>(ProOMeDTC)] (**3**).



**Fig. SI 35** UV-Vis stability study (DMSO/H<sub>2</sub>O 1:9, 37 °C, 72 h) of dichloro(L-proline *tert*-butyl ester dithiocarbamate)gold(III), [AuCl<sub>2</sub>(ProOtBuDTC)] (**4**).



**Fig. SI 36** UV-Vis stability study (DMSO/H<sub>2</sub>O 1:9, 37 °C, 72 h) of dibromo(L-proline *tert*-butyl ester dithiocarbamate)gold(III), [AuBr<sub>2</sub>(ProOtBuDTC)] (6).

## **CD** interaction studies



**Fig. SI 37** Far-UV CD spectra of Serpin SB3 in presence of complex 3 (SB3C) at different times. [Red: time 0 (SB3C); blue: after 2 h(SB3C2h); light blue: after 4h (SB3C4h)].

	Helix (%)	B-sheet (%)	Turn (%)	Other (%)
SB3	98.0	0	2.0	0.0
SB3C	80.9	0	3.7	15.4
SB3C 2h	91.2	0	1.0	7.8
SB3C 4h	94.3	0	0.0	5.7

 Table SI 4
 Protein Secondary structure estimation determined using Jasco CD Multivariate SSE software.

#### Immunofluorescence analysis of SerpinB3 expression



**Fig. SI 38** A. Immunofluorescence analysis of SerpinB3 expression (63x objective) in SerpinB3 transfected HepG2 (HepG2/SB3) and in empty vector transfected HepG2 cells (HepG2/CTR). The cells were immunostained with anti-SerpinB3 antibody and the nuclei were counterstained with DAPI. Images were obtained by fluorescence microscopy Axiovert 200 with Apotome 2 (Zeiss). B. Analysis of SerpinB3 transcripts in HepG2/SB3 transfected cells and in HepG2/CTR cells by quantitative real-time PCR (Q-PCR).

### Anticancer activity in complete medium containing proline.



**Figure SI 39** IC<sub>50</sub> values ( $\mu$ M) (concentration of the test agent inducing 50% reduction in cell number compared with control cell cultures) calculated after a 72-h treatment obtained on HepG2/SB3 cell with the compounds 3, 4, 5, 6 also in presence of complete medium containing proline at 1, 10, and 100 µg/ml concentration. Vehicle: DMSO