

Supplementary Information for:

Phosphine-free ruthenium NCN-ligand complexes and their use in catalytic CO₂ hydrogenation †

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DFT Calculated Energies and Cartesian Coordinates

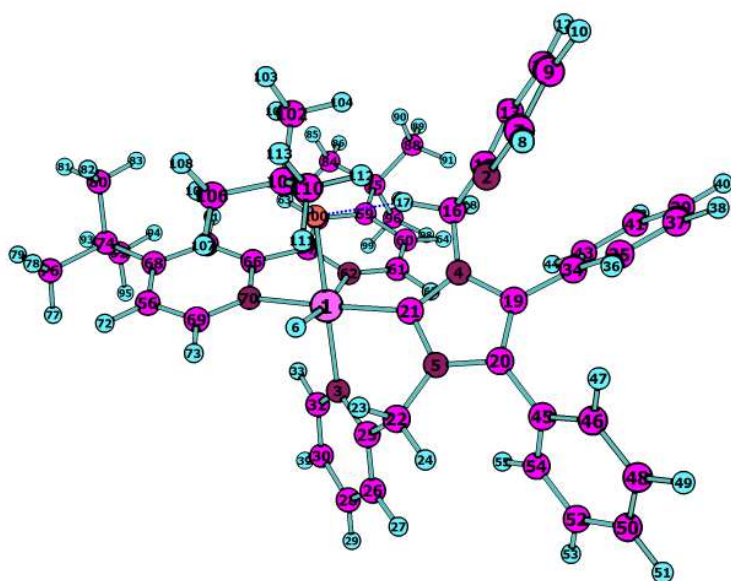
Gaussian 09.¹ Basis Set: Ru SDD effective core potential and 6-31G** for all other atoms, solvation models are for tetrahydrofuran (THF), Enthalpies (H° , Hartrees), Free Energies (G° , Hartrees), and Cartesian Coordinates ($\text{\AA}$) of Optimized Structures (1 atm, 298K). Three-dimensional visualization of optimized geometries were constructed using ChemCraft.

$\text{RuH}(\kappa^2\text{-NCN})(^{\text{tBu}}\text{bpy})\text{OtBu}$ (2)

THF

$H^\circ = -2398.337297$

$G^\circ = -2398.479186$



Ru	12.0157970000	11.4919320000	8.4230550000
N	11.2827790000	6.9759860000	10.4765840000
N	11.2878830000	13.2505590000	9.1961140000
N	10.2108360000	9.4541520000	9.8986080000
N	10.7020060000	11.1633230000	11.0477910000
H	13.3079980000	11.5589750000	9.4018230000
C	11.5044050000	5.7651040000	10.9579020000
H	12.1558000000	5.7150390000	11.8400710000
C	10.9701230000	4.6054400000	10.4267290000
H	11.1857890000	3.6364430000	10.8818320000
C	10.1556950000	4.7197020000	9.3137130000
H	9.7018580000	3.8351880000	8.8575460000
C	9.9119320000	5.9771250000	8.7991810000
H	9.2569130000	6.1164920000	7.9344590000
C	10.4964330000	7.0785770000	9.4141290000

C	10.2456530000	8.4543980000	8.8756910000
H	11.0584520000	8.7622250000	8.1816060000
H	9.2997310000	8.4458850000	8.3035100000
C	9.4913650000	9.3501480000	11.0690590000
C	9.8030850000	10.4490780000	11.8094780000
C	10.9739540000	10.5726790000	9.8620050000
C	11.4082660000	12.3484480000	11.4269550000
H	12.4915830000	12.1326910000	11.3848680000
H	11.1495830000	12.6113230000	12.4608780000
C	11.0933490000	13.4791950000	10.5105960000
C	10.6239520000	14.6833670000	11.0004420000
H	10.4921520000	14.7933300000	12.0811410000
C	10.3302590000	15.7191040000	10.1339240000
H	9.9665130000	16.6808090000	10.5033740000
C	10.4970320000	15.4810520000	8.7802320000
H	10.2667950000	16.2424900000	8.0321200000
C	10.9602920000	14.2536340000	8.3644090000
H	11.0907350000	14.0380990000	7.3000260000
C	8.4859140000	8.3042280000	11.2644150000
C	8.6116720000	7.3419160000	12.2630500000
H	9.4878490000	7.3627370000	12.9177400000
C	7.6696860000	6.3384380000	12.3977260000
H	7.7863590000	5.5876360000	13.1845710000
C	6.5946110000	6.2710170000	11.5274890000
H	5.8553960000	5.4712240000	11.6286780000
C	6.4586690000	7.2199740000	10.5277730000
H	5.6082170000	7.1763120000	9.8416140000
C	7.3951150000	8.2287570000	10.3978430000
H	7.2800990000	8.9847700000	9.6126540000
C	9.2429490000	10.9339390000	13.0661140000
C	9.2753840000	10.1534160000	14.2195220000
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H	7.6390640000	13.6475020000	14.3480320000
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C	14.7570460000	13.4937510000	5.7068010000
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H	10.8902110000	11.1715830000	3.5351620000
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C	12.4469510000	12.0597490000	5.6131250000
C	13.1194880000	12.3628820000	4.4378480000
C	14.3086430000	13.0775750000	4.4557510000
C	14.0516210000	13.1379130000	6.8356620000
N	12.9388820000	12.4147240000	6.8182840000
H	12.7021450000	12.0332440000	3.4828870000
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H	14.4096420000	13.4174750000	7.8316300000
C	15.0547270000	13.3602550000	3.1635050000
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H	17.0144720000	13.7191000000	4.0632260000
H	16.7953110000	14.4019660000	2.4424860000
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H	16.0428820000	12.2072970000	1.6164120000
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C	9.0470260000	10.3644600000	2.1001650000
H	9.9304110000	9.7056500000	2.1062570000
H	8.4114530000	10.0479300000	1.2582960000
H	9.3862980000	11.3900210000	1.8822250000
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H	7.1656990000	8.5339620000	2.7228210000
H	8.6308530000	8.1512380000	3.6592410000
H	7.1680490000	8.7324030000	4.4874770000
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H	13.7858500000	15.0471270000	2.6075240000
C	7.0517560000	11.2045250000	3.2804440000
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H	6.4042420000	11.1557060000	4.1701460000
H	7.3591860000	12.2543510000	3.1487040000
O	12.6155520000	9.7823390000	7.4720390000
C	13.7909070000	9.0978490000	7.5364480000
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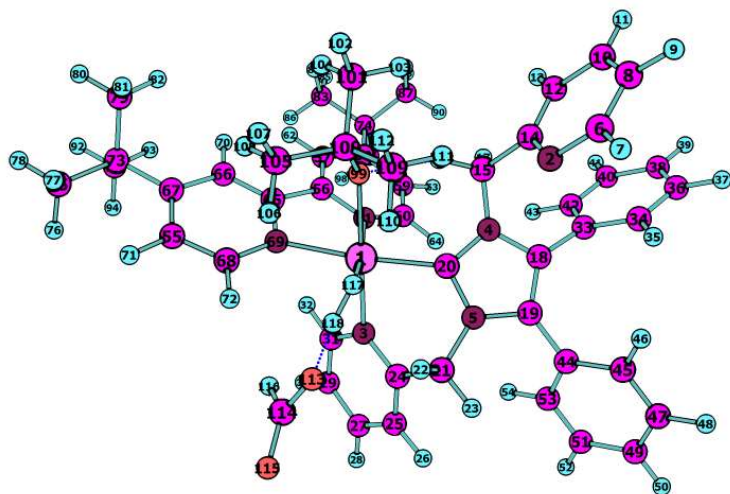
H	12.8027580000	7.2559100000	6.9559460000
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C	14.9726100000	9.9330600000	7.0534090000
H	15.1218390000	10.8003450000	7.7165880000
H	15.9152320000	9.3565220000	7.0356460000
H	14.7915980000	10.3158450000	6.0326140000
C	14.1118550000	8.5867960000	8.9373920000
H	14.2238650000	9.4247760000	9.6424270000
H	13.3015720000	7.9446170000	9.3163570000
H	15.0459750000	7.9968380000	8.9625240000

Transition State: $\text{CO}_2 + \text{RuH}(\kappa^2\text{-NCN})(^t\text{Bu}^i\text{ppy})\text{OtBu}$ to $\text{Ru}(\text{HCO}_2)(\kappa^2\text{-NCN})(^t\text{Bu}^i\text{ppy})\text{OtBu}$

THF

$H^\circ = -2586.830603$

$G^\circ = -2586.982116$



Ru	12.1498830000	11.5373490000	8.4662400000
N	11.4925620000	6.9626110000	10.4559740000
N	11.3077780000	13.2905800000	9.2136250000
N	10.3502620000	9.4248630000	9.8491210000
N	10.6596500000	11.1625400000	11.0146060000
C	11.6619030000	5.7690700000	10.9959600000
H	12.3360140000	5.7278590000	11.8609520000
C	11.0437910000	4.6163700000	10.5444280000
H	11.2160080000	3.6622530000	11.0472280000
C	10.2056340000	4.7175960000	9.4482170000
H	9.6871010000	3.8384390000	9.0556640000
C	10.0214420000	5.9569260000	8.8693660000
H	9.3484330000	6.0886180000	8.0173850000
C	10.6828440000	7.0534740000	9.4101310000
C	10.4773680000	8.4216680000	8.8316640000

H	11.3354750000	8.7351390000	8.2004970000
H	9.5745560000	8.4109370000	8.1933100000
C	9.5100280000	9.3139290000	10.9334750000
C	9.7066900000	10.4309170000	11.6866230000
C	11.0720300000	10.5655870000	9.8784170000
C	11.2733790000	12.3799290000	11.4546160000
H	12.3567730000	12.2034160000	11.5657070000
H	10.8884800000	12.6373450000	12.4491080000
C	11.0146110000	13.5026850000	10.5066740000
C	10.4998310000	14.7024970000	10.9644920000
H	10.2872510000	14.8107250000	12.0314440000
C	10.2646050000	15.7350440000	10.0782170000
H	9.8681250000	16.6930930000	10.4231320000
C	10.5340740000	15.5089920000	8.7415620000
H	10.3594290000	16.2741180000	7.9825360000
C	11.0429370000	14.2864520000	8.3610230000
H	11.2726500000	14.0805430000	7.3116500000
C	8.5444960000	8.2200600000	11.0553650000
C	8.6733650000	7.2449250000	12.0401890000
H	9.5052740000	7.3064190000	12.7476650000
C	7.7966550000	6.1766000000	12.0905220000
H	7.9181270000	5.4120180000	12.8632590000
C	6.7796250000	6.0664660000	11.1571280000
H	6.0908600000	5.2178760000	11.1946070000
C	6.6340630000	7.0359270000	10.1787820000
H	5.8261110000	6.9596300000	9.4457320000
C	7.5101320000	8.1049060000	10.1275240000
H	7.3965140000	8.8721240000	9.3523940000
C	9.0119380000	10.8949100000	12.8817990000
C	8.9522490000	10.1036410000	14.0265250000
H	9.4795430000	9.1468010000	14.0443750000
C	8.2561720000	10.5312410000	15.1430010000
H	8.2206010000	9.8966530000	16.0330710000
C	7.6238540000	11.7627010000	15.1418520000
H	7.0792910000	12.1025430000	16.0270710000
C	7.6867960000	12.5655310000	14.0137920000
H	7.1866370000	13.5376810000	14.0033910000
C	8.3708290000	12.1360970000	12.8922150000
H	8.3980270000	12.7635010000	11.9931830000
C	14.8377260000	13.4060600000	5.6005570000
C	11.1694720000	11.4491780000	5.8000630000
C	10.3446540000	11.1667910000	4.7182120000
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C	9.4250630000	11.1016210000	7.2487230000
N	10.7173810000	11.3698660000	7.0676680000

H	10.7580420000	11.2349550000	3.7112860000
H	7.5121430000	10.6192660000	6.4608820000
H	9.0829190000	11.0966030000	8.2882940000
C	12.5116180000	12.0084990000	5.6655560000
C	13.1504830000	12.2140070000	4.4540250000
C	14.3529020000	12.9085760000	4.3968140000
C	14.1610960000	13.1460570000	6.7759310000
N	13.0412320000	12.4395790000	6.8249020000
H	12.7071780000	11.8196000000	3.5364020000
H	15.7621590000	13.9838880000	5.6528000000
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C	15.0647700000	13.0892490000	3.0677210000
C	8.0688290000	10.5092760000	3.7589020000
C	16.3545360000	13.8698330000	3.2188130000
H	16.1842660000	14.8880710000	3.6039890000
H	17.0702840000	13.3670120000	3.8883010000
H	16.8422710000	13.9706930000	2.2366400000
C	15.3900590000	11.7164710000	2.4955940000
H	15.9089050000	11.8188240000	1.5283590000
H	16.0468880000	11.1447870000	3.1708610000
H	14.4856470000	11.1132990000	2.3205430000
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H	9.5949030000	9.8223440000	2.3540470000
H	8.0505120000	10.2909880000	1.6191190000
H	9.1677180000	11.5458700000	2.1796320000
C	7.4900070000	9.1177600000	3.9699480000
H	6.7943850000	8.8687500000	3.1522030000
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H	6.9271240000	9.0396290000	4.9133540000
C	14.1535030000	13.8352910000	2.1032810000
H	14.6582650000	13.9731420000	1.1330230000
H	13.2153600000	13.2920790000	1.9083830000
H	13.8891660000	14.8334010000	2.4876140000
C	6.9392380000	11.5298940000	3.7513500000
H	6.2426860000	11.3192950000	2.9236170000
H	6.3535650000	11.5131690000	4.6840110000
H	7.3216400000	12.5541950000	3.6155090000
O	12.8117370000	9.8511070000	7.5381790000
C	14.0094490000	9.2000380000	7.6524990000
C	13.9041610000	7.9667970000	6.7638000000
H	14.8243330000	7.3567040000	6.7617550000
H	13.0731610000	7.3227370000	7.1022150000
H	13.6883760000	8.2664690000	5.7239080000
C	15.1802130000	10.0471950000	7.1661500000
H	15.3293880000	10.9261300000	7.8153800000
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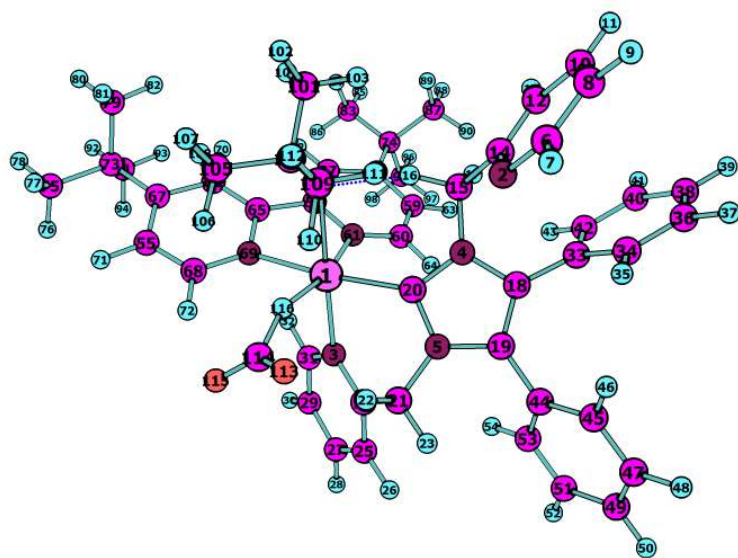
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H	14.3555210000	9.6171500000	9.7600890000
H	13.5076240000	8.0855970000	9.4535540000
H	15.2576030000	8.2091830000	9.1543650000
O	14.4825390000	11.6715110000	11.2473900000
C	14.3726060000	12.4217550000	10.3302480000
O	14.6086910000	13.4727550000	9.8245190000
H	13.6301930000	11.7045250000	9.3845190000

Ru(HCOO)(κ^2 -NCN)(^tBu₃bpy)OtBu – H-Bound formate

THF

H° = -2586.837565

G° = -2586.987679

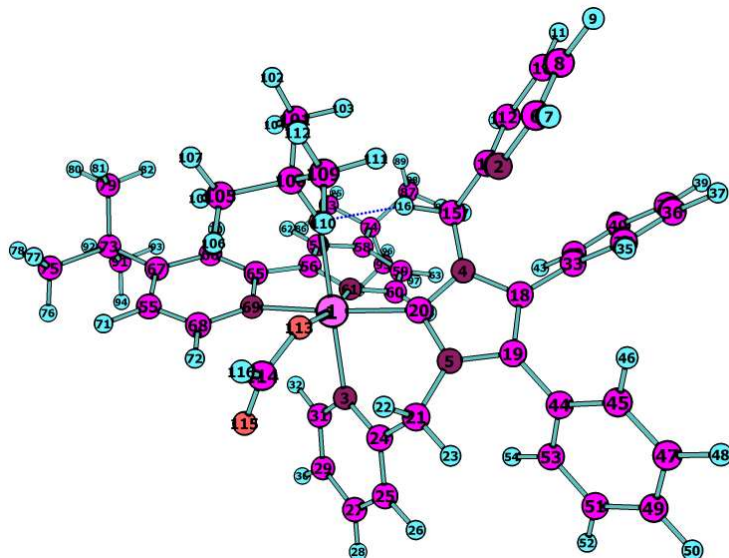


Ru	12.1498830000	11.5373490000	8.4662400000
N	11.4925620000	6.9626110000	10.4559740000
N	11.3077780000	13.2905800000	9.2136250000
N	10.3502620000	9.4248630000	9.8491210000
N	10.6596500000	11.1625400000	11.0146060000
C	11.6619030000	5.7690700000	10.9959600000
H	12.3360140000	5.7278590000	11.8609520000
C	11.0437910000	4.6163700000	10.5444280000
H	11.2160080000	3.6622530000	11.0472280000
C	10.2056340000	4.7175960000	9.4482170000
H	9.6871010000	3.8384390000	9.0556640000
C	10.0214420000	5.9569260000	8.8693660000
H	9.3484330000	6.0886180000	8.0173850000
C	10.6828440000	7.0534740000	9.4101310000

C	10.4773680000	8.4216680000	8.8316640000
H	11.3354750000	8.7351390000	8.2004970000
H	9.5745560000	8.4109370000	8.1933100000
C	9.5100280000	9.3139290000	10.9334750000
C	9.7066900000	10.4309170000	11.6866230000
C	11.0720300000	10.5655870000	9.8784170000
C	11.2733790000	12.3799290000	11.4546160000
H	12.3567730000	12.2034160000	11.5657070000
H	10.8884800000	12.6373450000	12.4491080000
C	11.0146110000	13.5026850000	10.5066740000
C	10.4998310000	14.7024970000	10.9644920000
H	10.2872510000	14.8107250000	12.0314440000
C	10.2646050000	15.7350440000	10.0782170000
H	9.8681250000	16.6930930000	10.4231320000
C	10.5340740000	15.5089920000	8.7415620000
H	10.3594290000	16.2741180000	7.9825360000
C	11.0429370000	14.2864520000	8.3610230000
H	11.2726500000	14.0805430000	7.3116500000
C	8.5444960000	8.2200600000	11.0553650000
C	8.6733650000	7.2449250000	12.0401890000
H	9.5052740000	7.3064190000	12.7476650000
C	7.7966550000	6.1766000000	12.0905220000
H	7.9181270000	5.4120180000	12.8632590000
C	6.7796250000	6.0664660000	11.1571280000
H	6.0908600000	5.2178760000	11.1946070000
C	6.6340630000	7.0359270000	10.1787820000
H	5.8261110000	6.9596300000	9.4457320000
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C	8.9522490000	10.1036410000	14.0265250000
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H	7.0792910000	12.1025430000	16.0270710000
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C	14.8377260000	13.4060600000	5.6005570000
C	11.1694720000	11.4491780000	5.8000630000
C	10.3446540000	11.1667910000	4.7182120000
C	9.0153570000	10.8291220000	4.9010290000

C	8.5568000000	10.8323540000	6.2193690000
C	9.4250630000	11.1016210000	7.2487230000
N	10.7173810000	11.3698660000	7.0676680000
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H	7.5121430000	10.6192660000	6.4608820000
H	9.0829190000	11.0966030000	8.2882940000
C	12.5116180000	12.0084990000	5.6655560000
C	13.1504830000	12.2140070000	4.4540250000
C	14.3529020000	12.9085760000	4.3968140000
C	14.1610960000	13.1460570000	6.7759310000
N	13.0412320000	12.4395790000	6.8249020000
H	12.7071780000	11.8196000000	3.5364020000
H	15.7621590000	13.9838880000	5.6528000000
H	14.5370460000	13.4805630000	7.7507870000
C	15.0647700000	13.0892490000	3.0677210000
C	8.0688290000	10.5092760000	3.7589020000
C	16.3545360000	13.8698330000	3.2188130000
H	16.1842660000	14.8880710000	3.6039890000
H	17.0702840000	13.3670120000	3.8883010000
H	16.8422710000	13.9706930000	2.2366400000
C	15.3900590000	11.7164710000	2.4955940000
H	15.9089050000	11.8188240000	1.5283590000
H	16.0468880000	11.1447870000	3.1708610000
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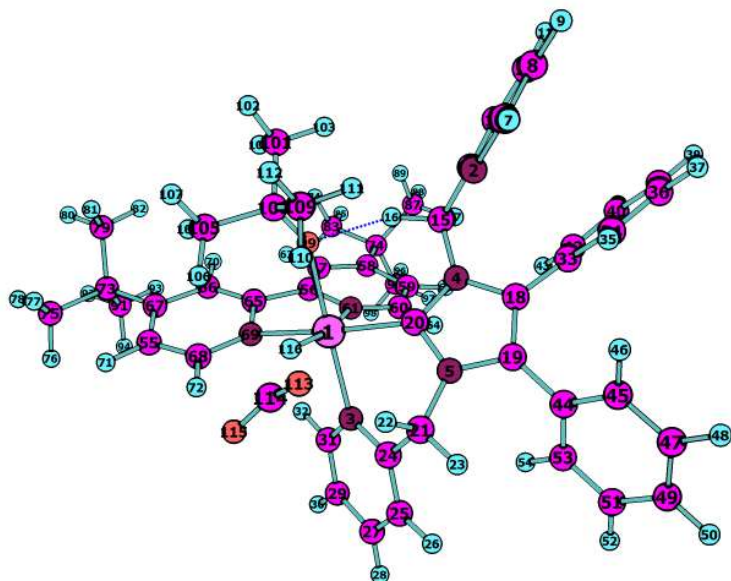
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Transition State TS-2: Formate + [Ru(H₂)(κ²-NCN)(^tBu₃py)OtBu]⁺ to Formic Acid + RuH(κ²-NCN)(^tBu₃py)(OtBu)

THF

H° = -2586.830603

G° = -2586.982116



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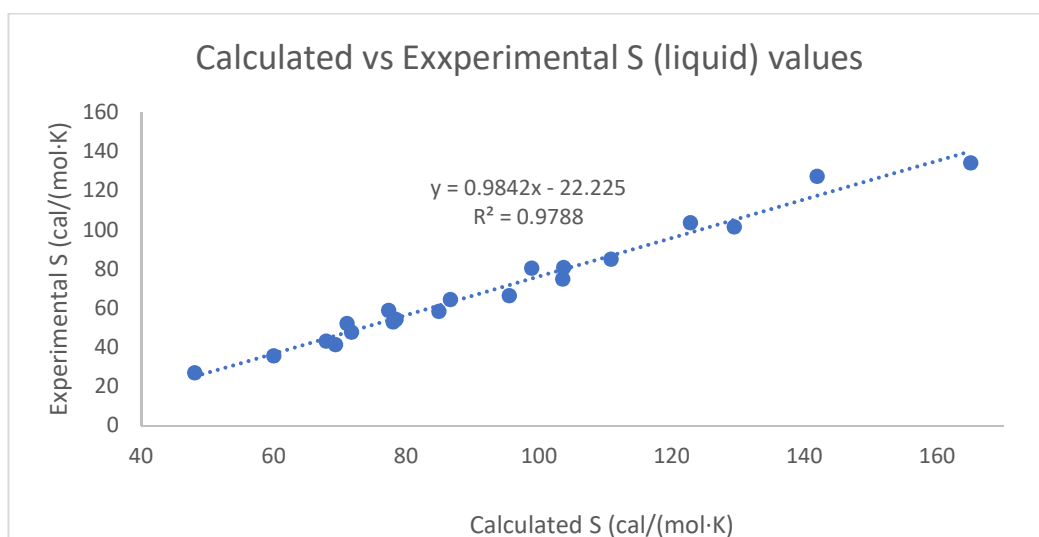
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O	12.8117370000	9.8511070000	7.5381790000
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Corrections to energies as calculated by DFT²

Compound	S Liquid	S Gas	S DFT* (Truhlar corrected)
	cal/mol/K		
Hydrogen cyanide	27	48.2	48.09183515
Acetonitrile	35.8	58.2	59.99587977
Benzene	41.4	64.3	69.30275483
Thiophene	43.3	66.6	67.913669
Acetone	47.8	70.6	71.72523633
Isopropylamine	52.2	74.6	71.0664729
Chromil chloride	53	78.8	78.00769269
trichloroethane	54.3	77.2	78.41599974
VOCl ₃	58.4	82.3	84.89419092
Butanal	58.9	82.1	77.33630121
Diethyl Sulfide	64.4	88	86.63686224
Tetrabromosilane	66.4	90.3	95.53332557
NiCO ₅	74.9	98.1	103.5479299
Triethylborane	80.5	104.6	98.87555026
FeCO ₅	80.8	NA	103.7141992
C ₆ F ₅ CF ₃	85	109.6	110.8616772
Diethylphthalate	101.6	NA	129.4038684
Hexamethyldisiloxane	103.7	127.9	122.8057107
Ethyl silicate	127.4	NA	141.8993268
Perfluoroheptane	134.3	NA	165.0633854
Entropy Correction	-22.22512215		



Species calculated using M11L/6-31G**/SDD/THF at 298K, 323K and their energies

Table S1: Definition of the species calculated using M11L/6-31G**/SDD/THF and their energies

Formula	imaginary freq	ΔH (DFT)	S (DFT)	Truhlar correction	Gusev correction	G (DFT)	ΔG (DFT) Corrected	
		Hartrees	cal/mol/K	cal/mol/K	cal/mol/K	cal/mol/K	298 K	323 K
H ₂		-1.165	31.172	-0.019	13.9	-1.179	-736	-736
CO ₂		-188.507	52.457	-0.032	22.22	-188.532	-118299	-118300
DBU		-461.755	96.276	-0.069	22.22	-461.801	-289777	-289779
RuH (2)		-2398.337	298.630	-8.814	22.22	-2398.479	-1505058	-1505065
Ru-H-CO ₂ (TS-1)	-808	-2586.831	318.852	-	22.22	-2586.982	-1623355	-1623355
RuHCO ₂		-2586.838	315.941	-9.296	22.22	-2586.988	-1623349	-1623356
RuOCHO		-2586.862	315.901	-9.959	22.22	-2587.012	-1623363	-1623371
RuH ₂ -OCHO (3)		-2588.005	323.480	-10.347	22.22	-2588.159	-1624083	-1624090
RuH-H-OCHO (TS-2)	-603	-2588.000	322.661	-	22.22	-2588.153	-1624089	-1624090
RuH-HOCHO		-2588.009	322.805	-9.006	22.22	-2588.163	-1624086	-1624093
DBUH-OCHO		-651.436	122.515	-1.358	22.22	-651.494	-408811	-408814

Spectra of complexes 1, 2, 3 and the *tamyl* analogue of 2.

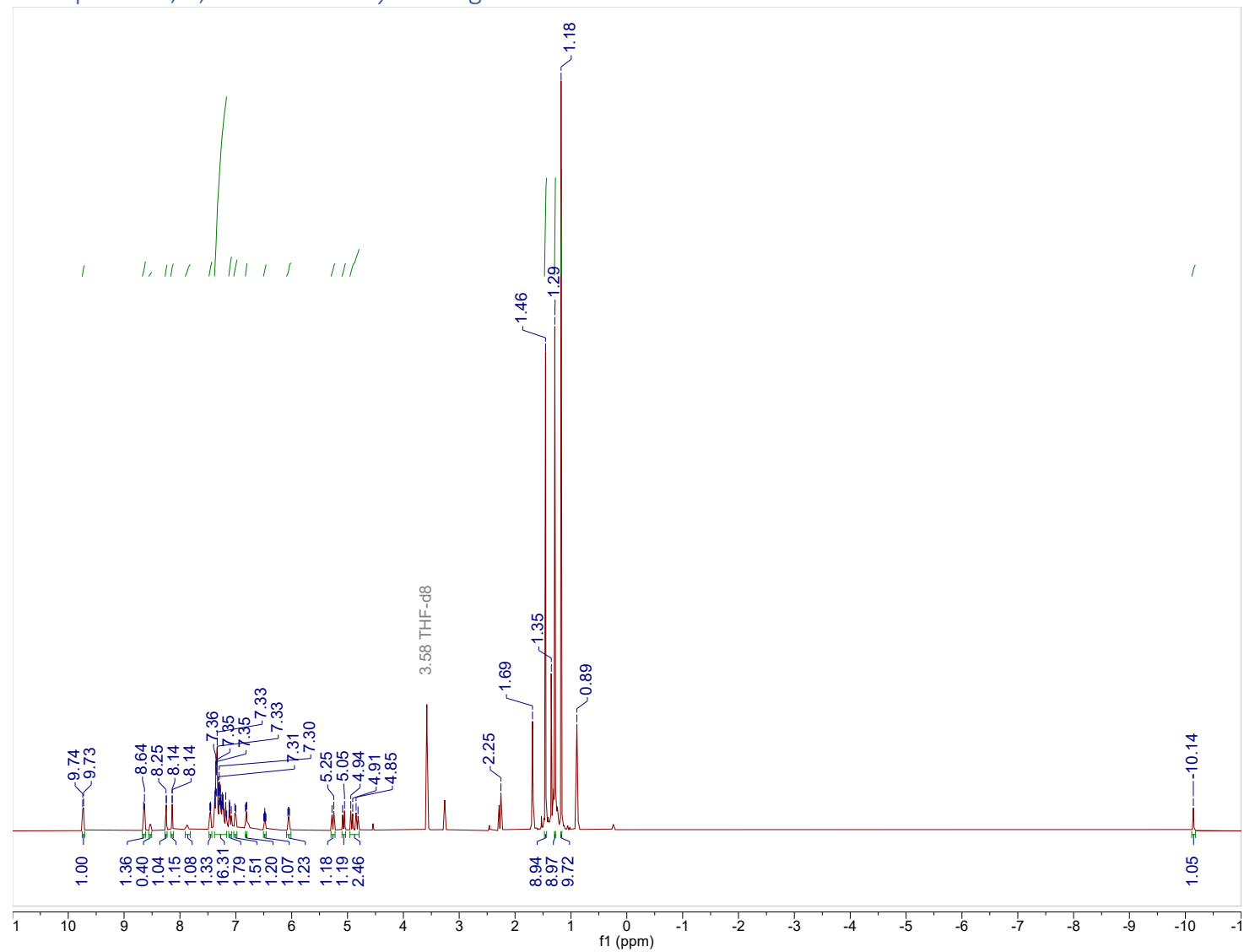


Figure S1: ¹H NMR spectrum (400 MHz, THF-d₈) of **2**

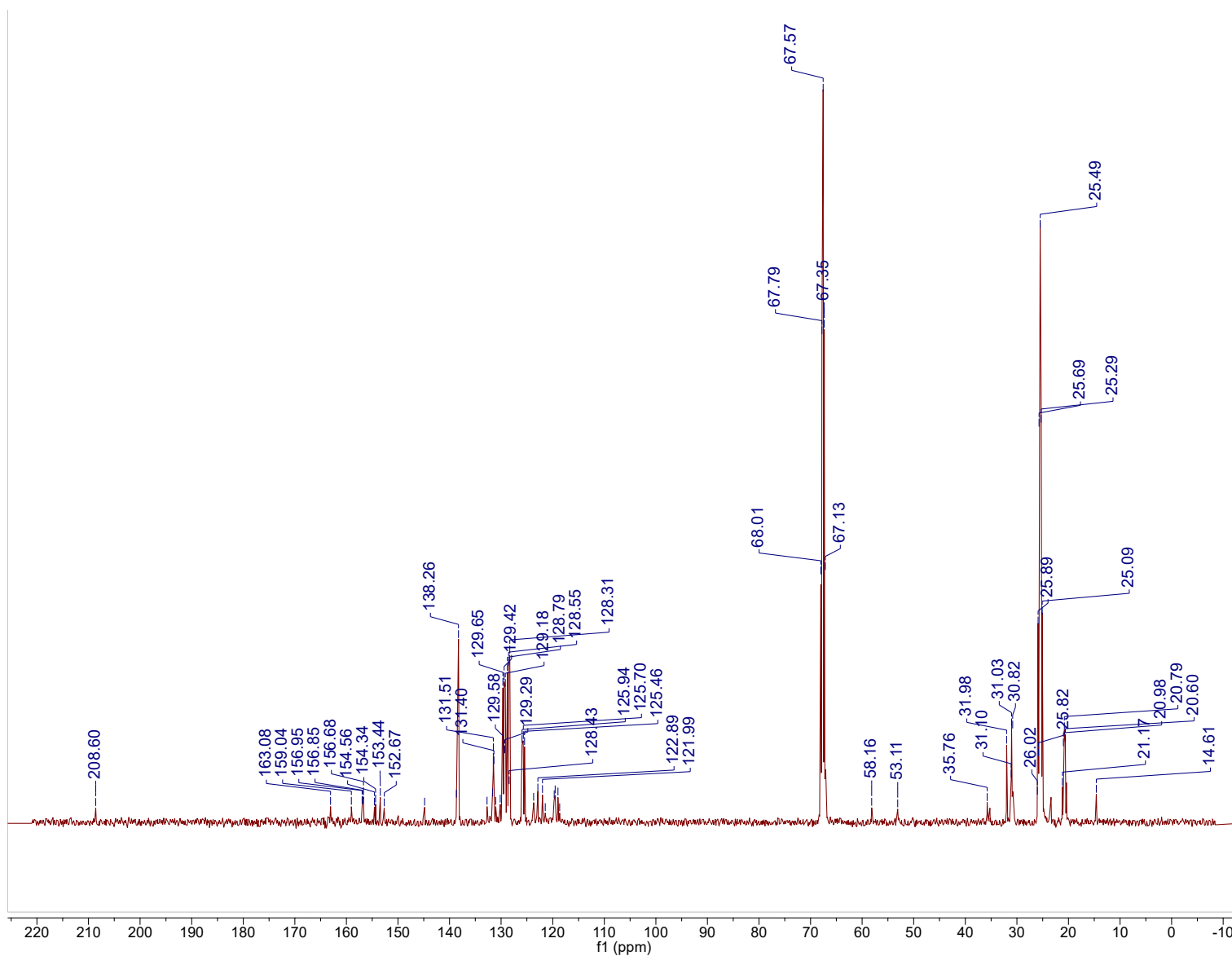


Figure S2: ¹³C NMR spectrum (101 MHz, THF) of **2**

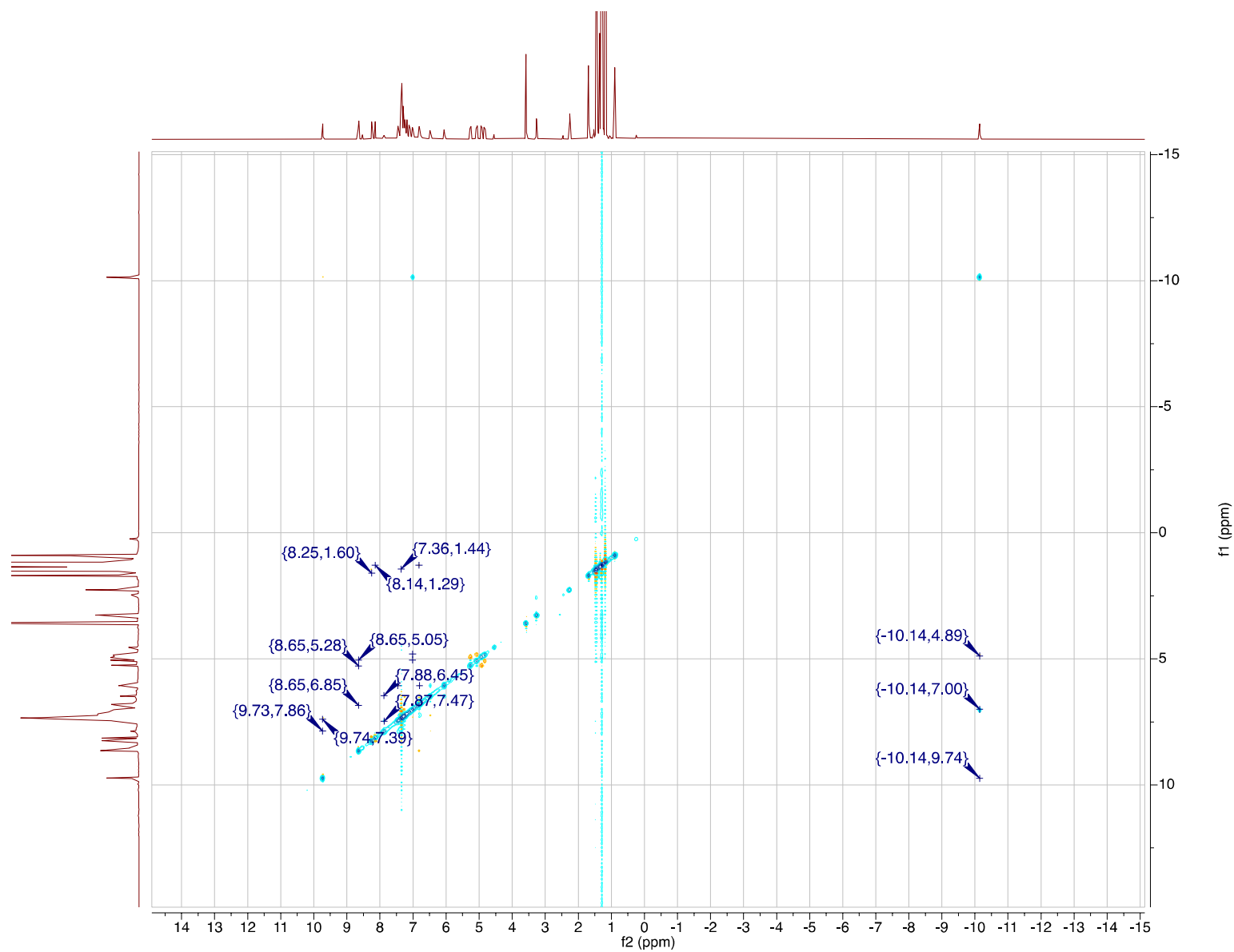


Figure S3: ^1H - ^1H NOESY spectrum (400 MHz, THF- d_8) of **2**

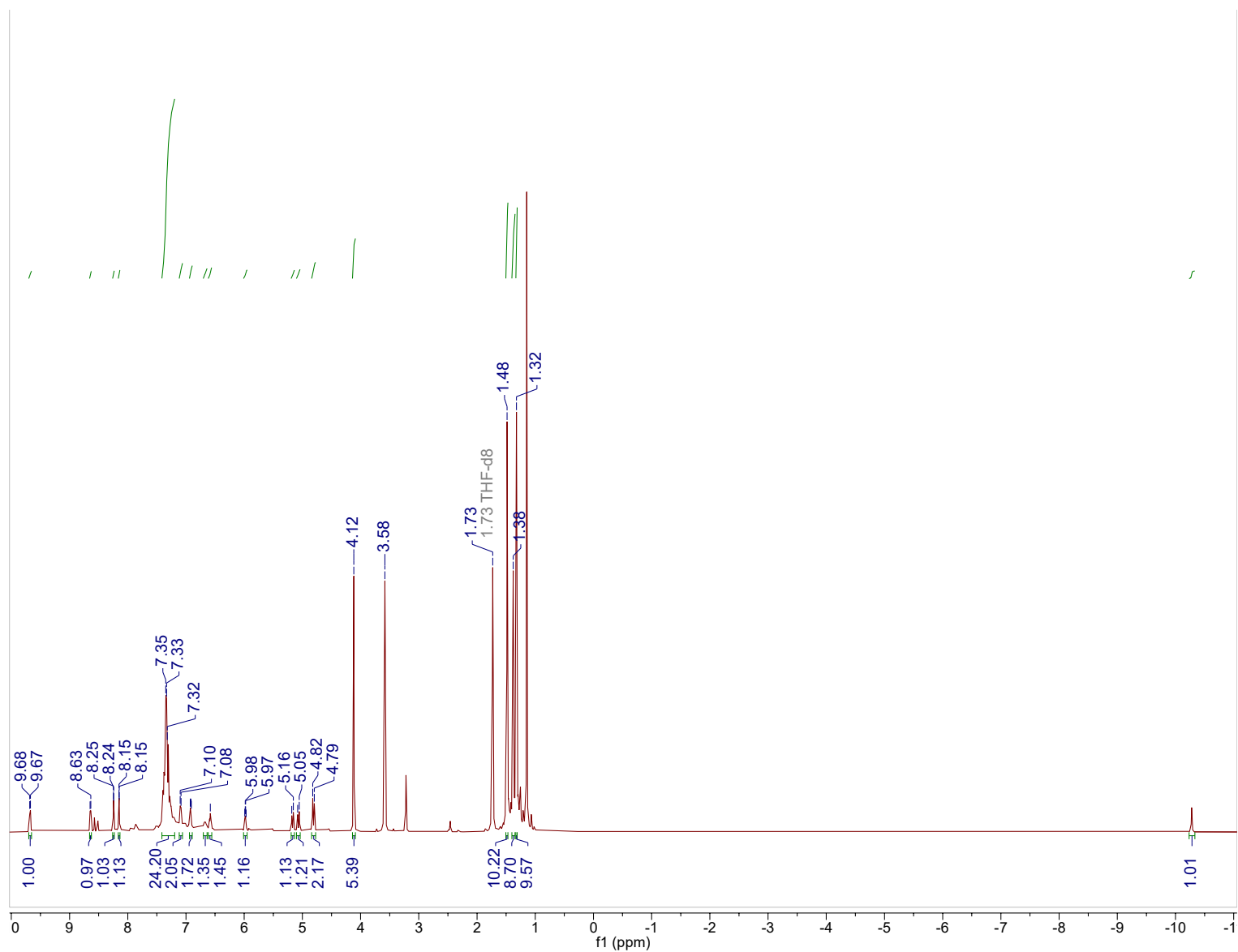


Figure S4: ^1H NMR Spectrum (500 MHz, THF- d_8) of **2** with Ferrocene internal standard

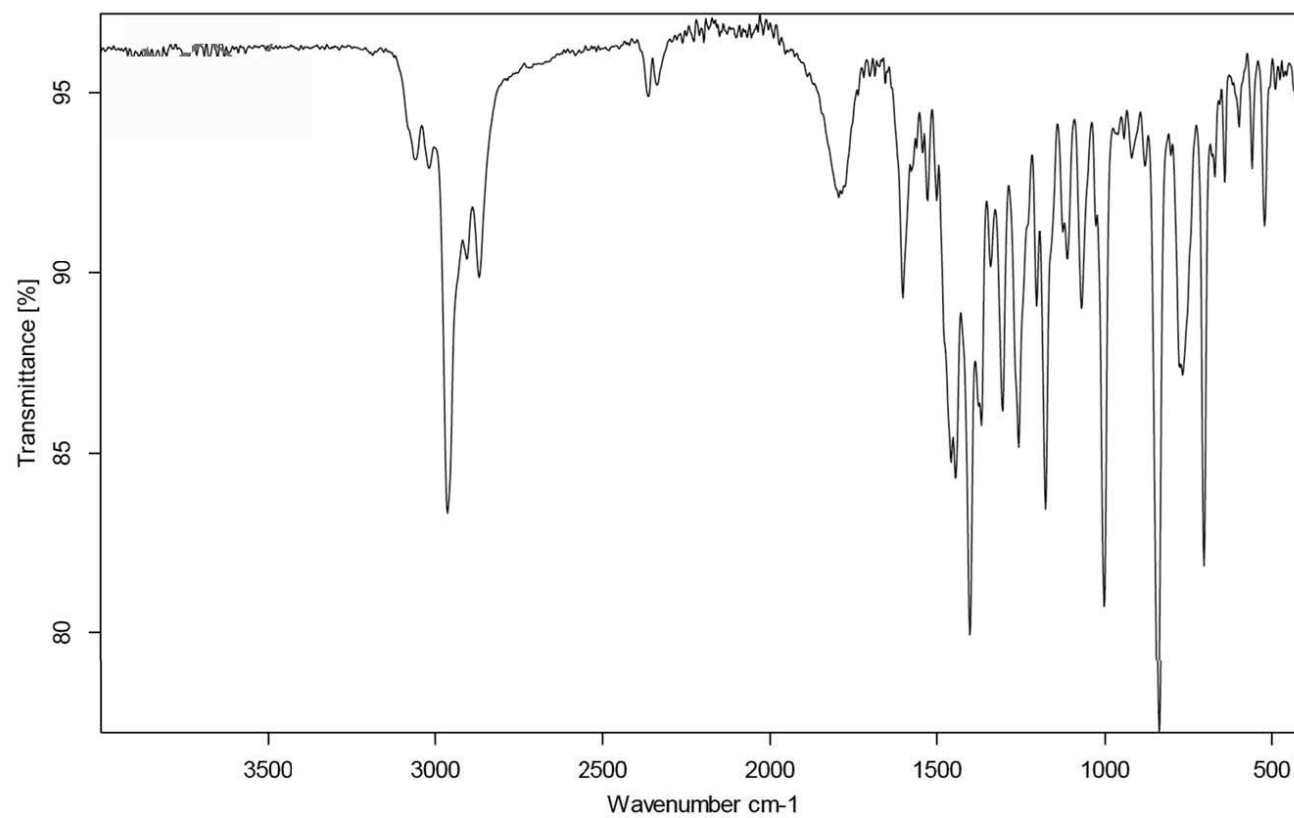


Figure S5: IR Spectrum of 2

S25

Figure S5: IR Spectrum of 2

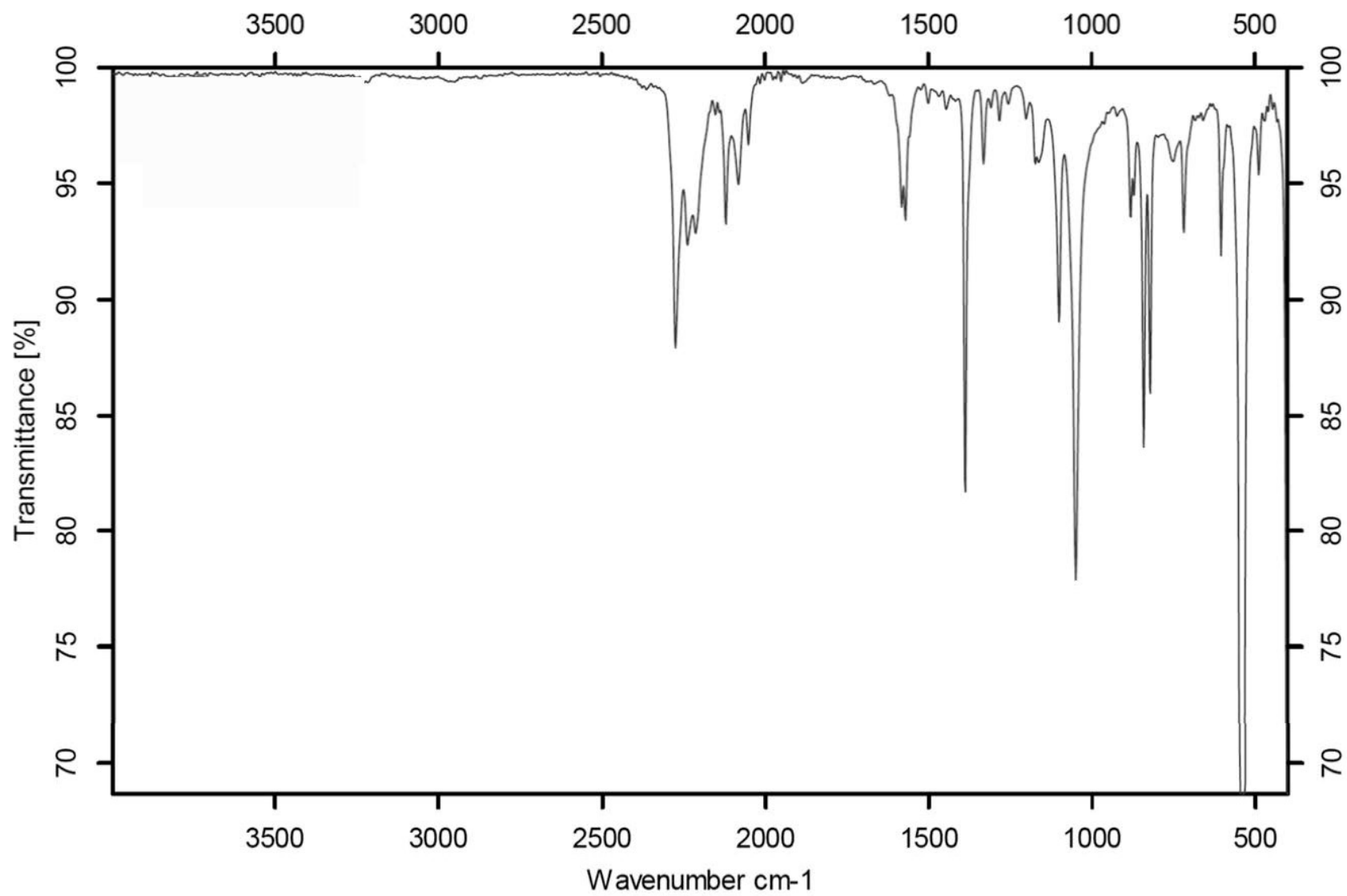


Figure S6: IR Spectrum of isotopologue of **2** synthesized under D_2 gas

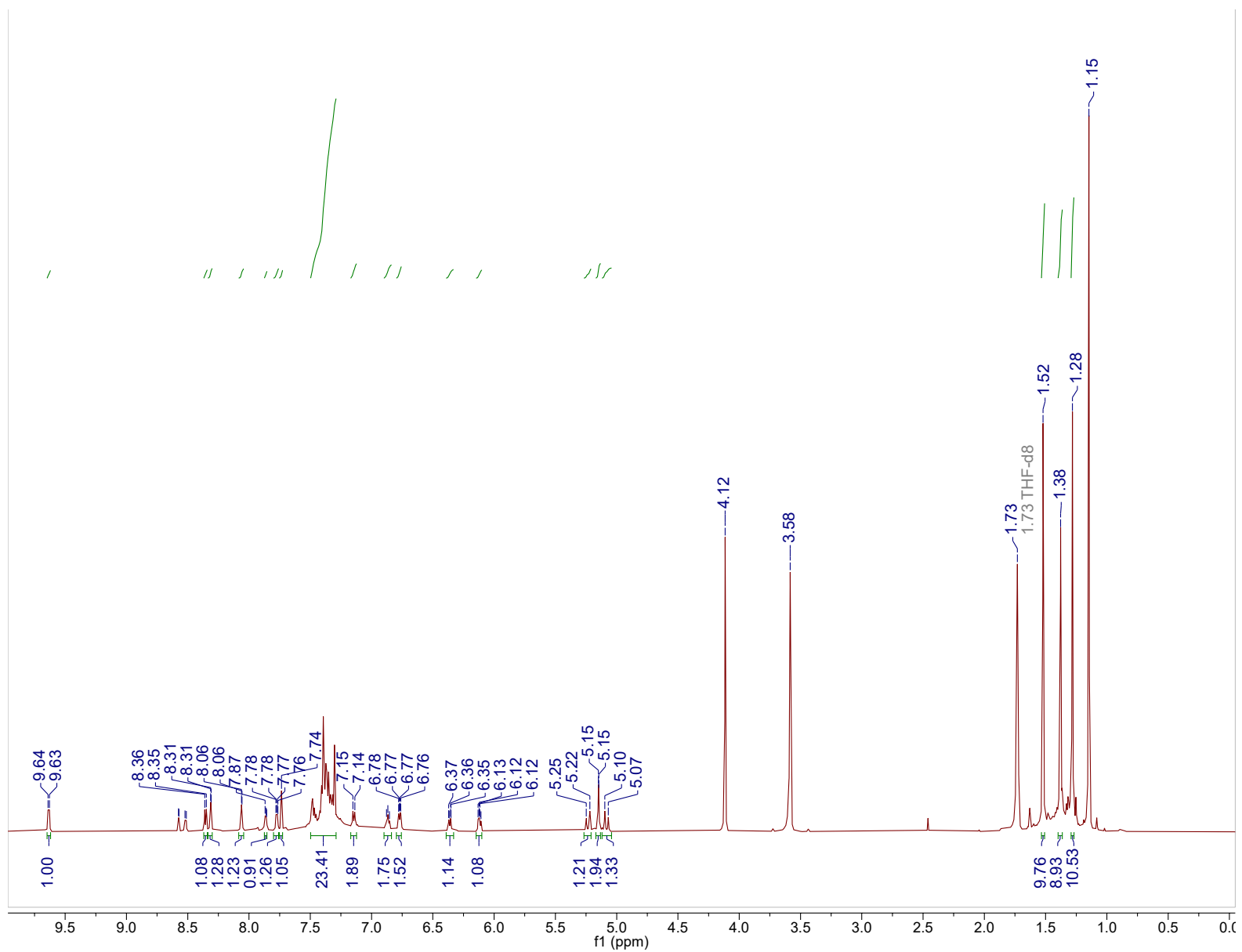
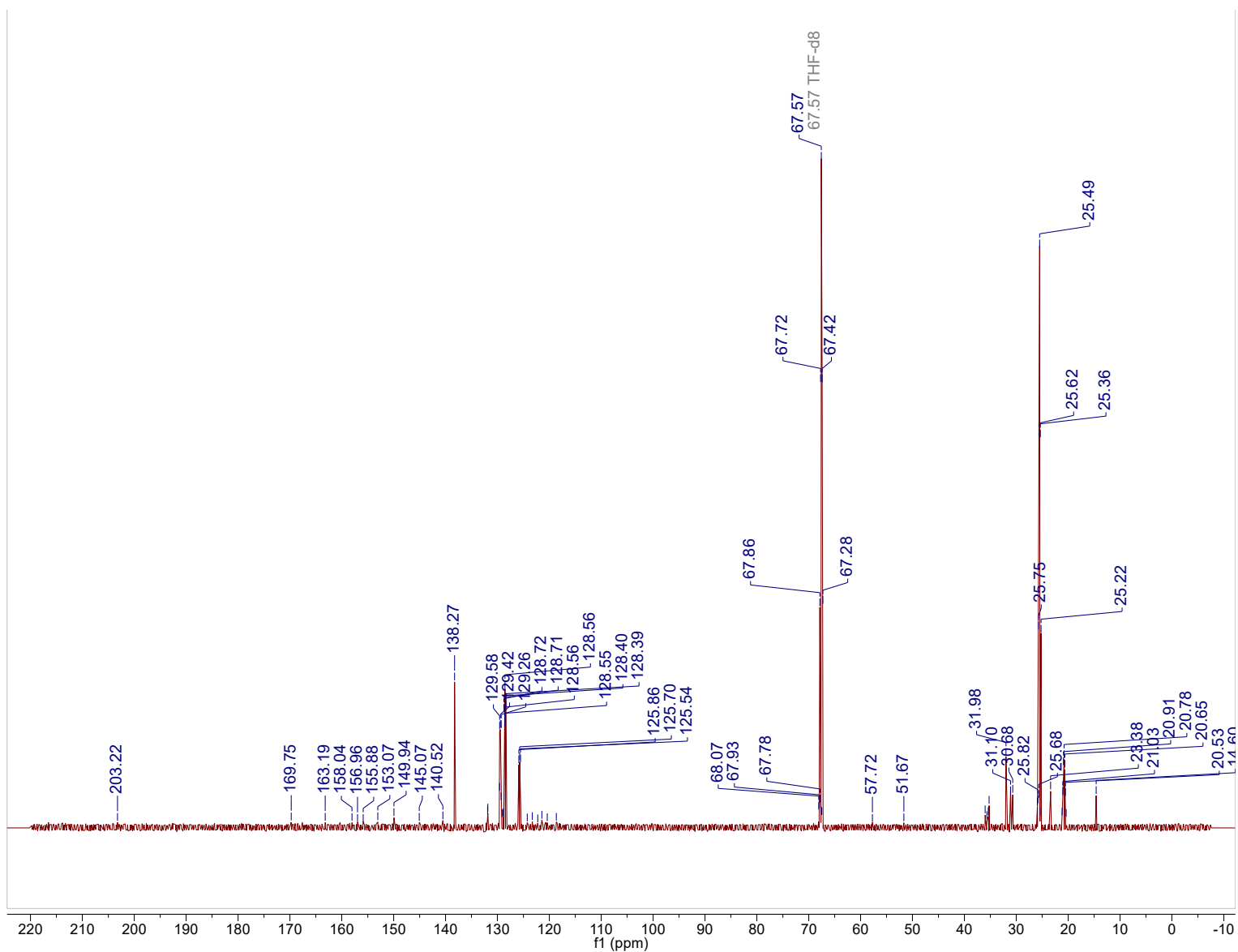


Figure S7: ¹H NMR Spectrum (500 MHz, THF-d₈) of **3** with Ferrocene internal standard



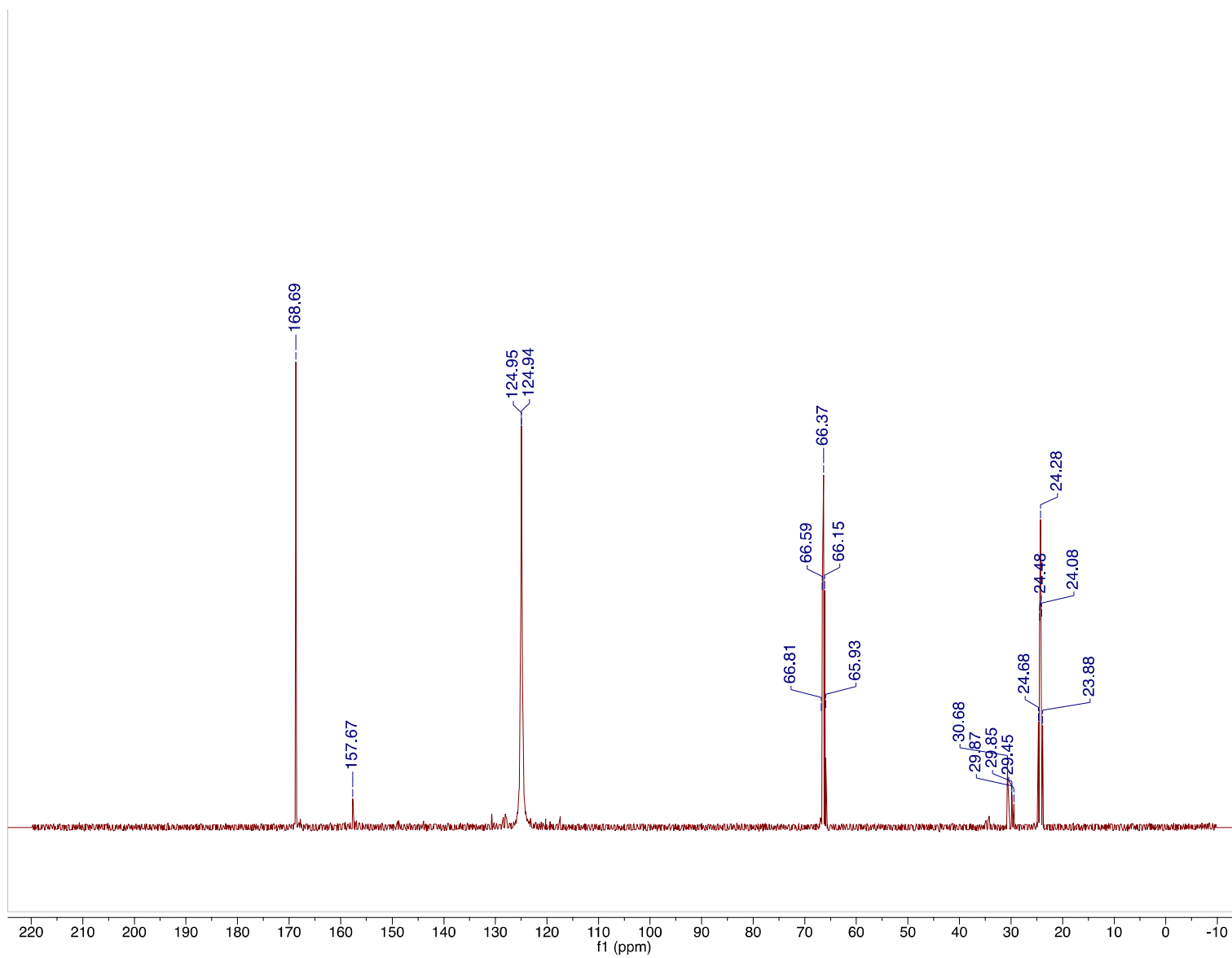


Figure S9: ^{13}C NMR Spectrum of **3** (151 MHz, THF- d_8) using ^{13}C labelled CO_2

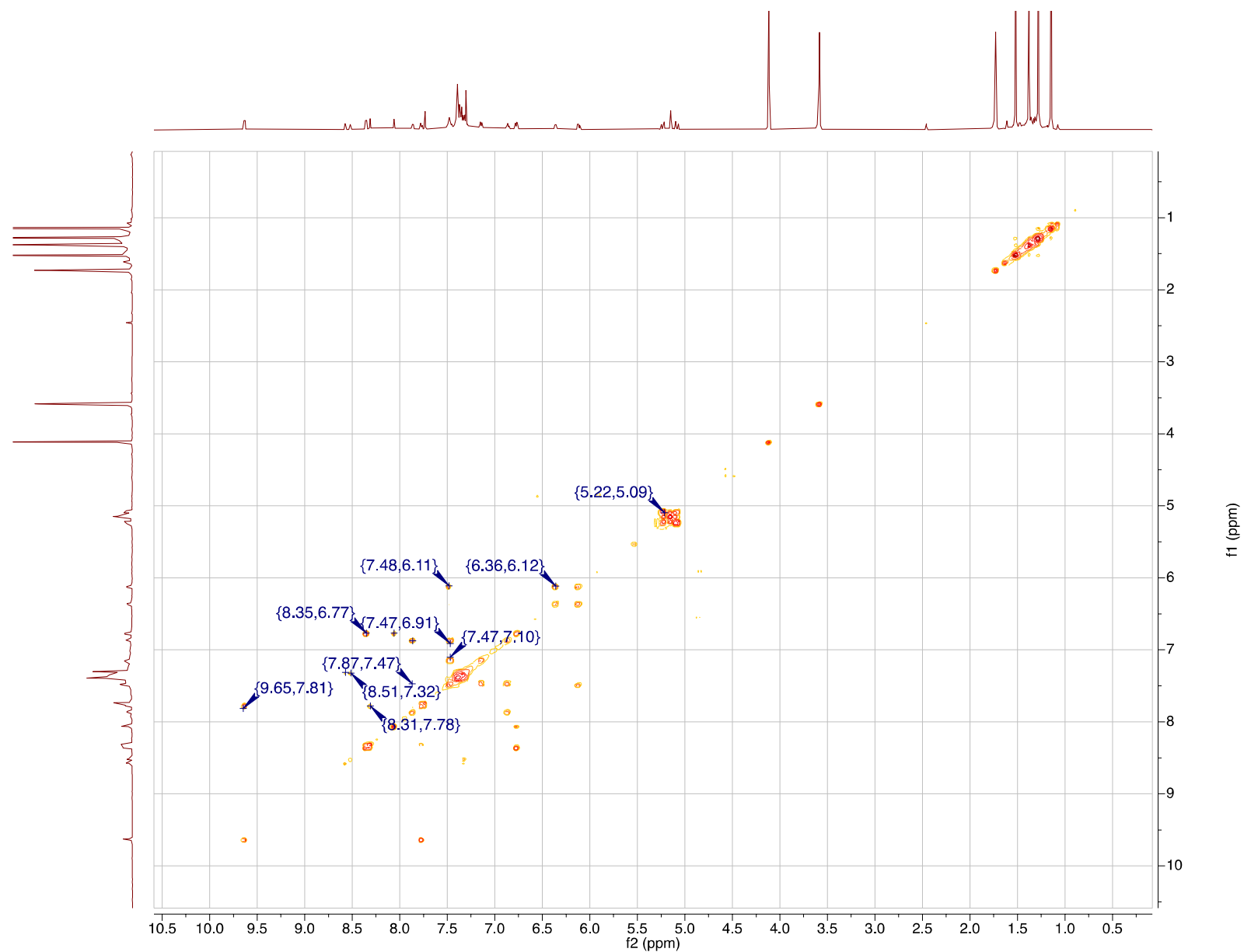


Figure S10: ^1H - ^1H COSY spectrum (500 MHz, THF-d_8) of **3** with Ferrocene internal standard

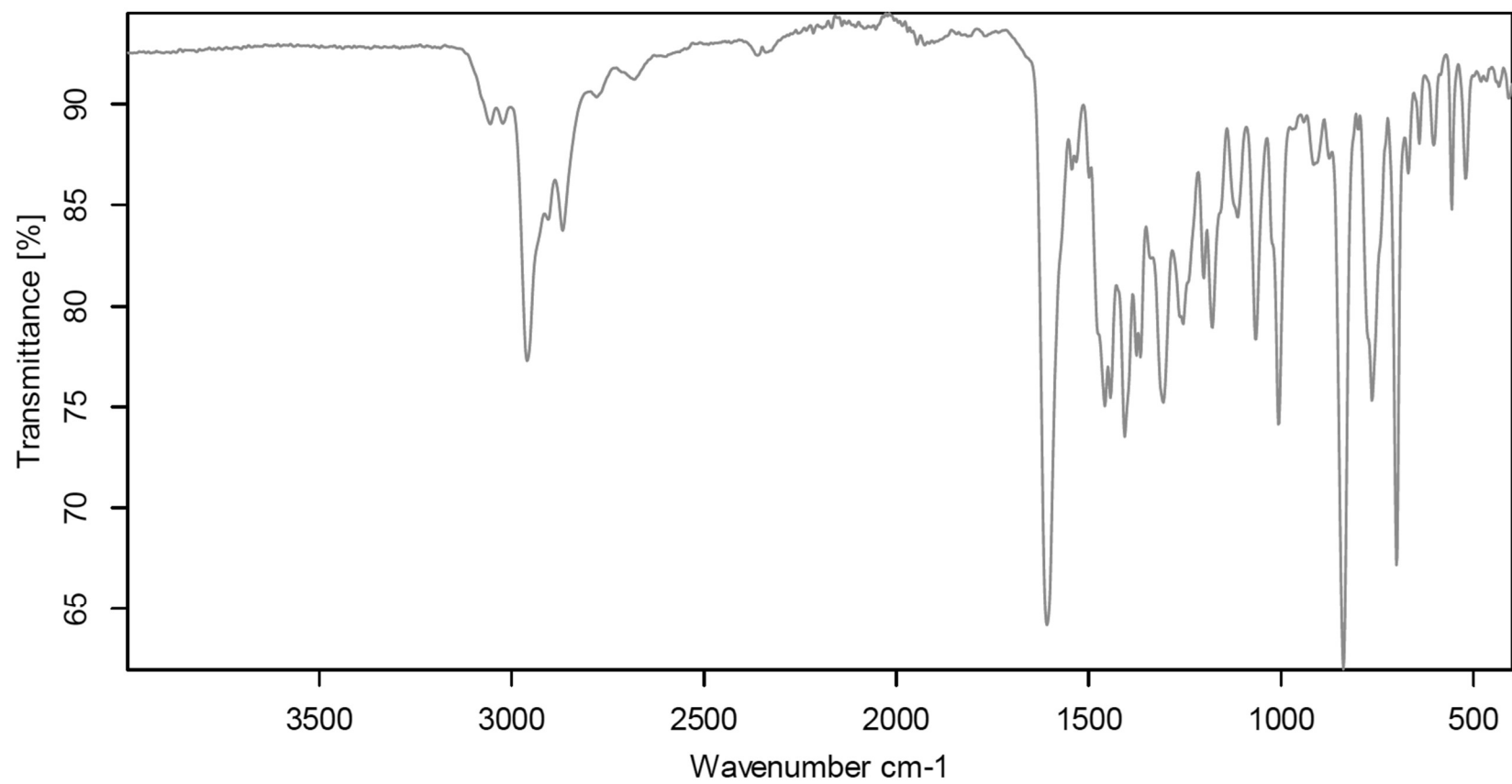
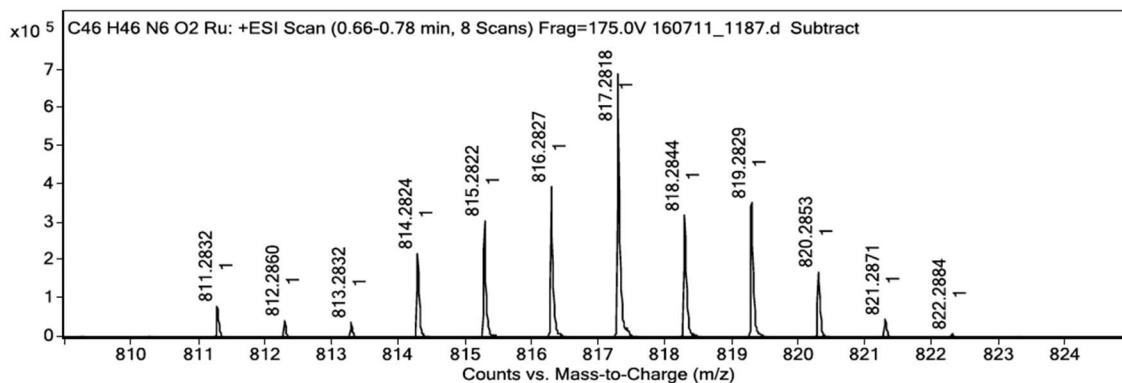
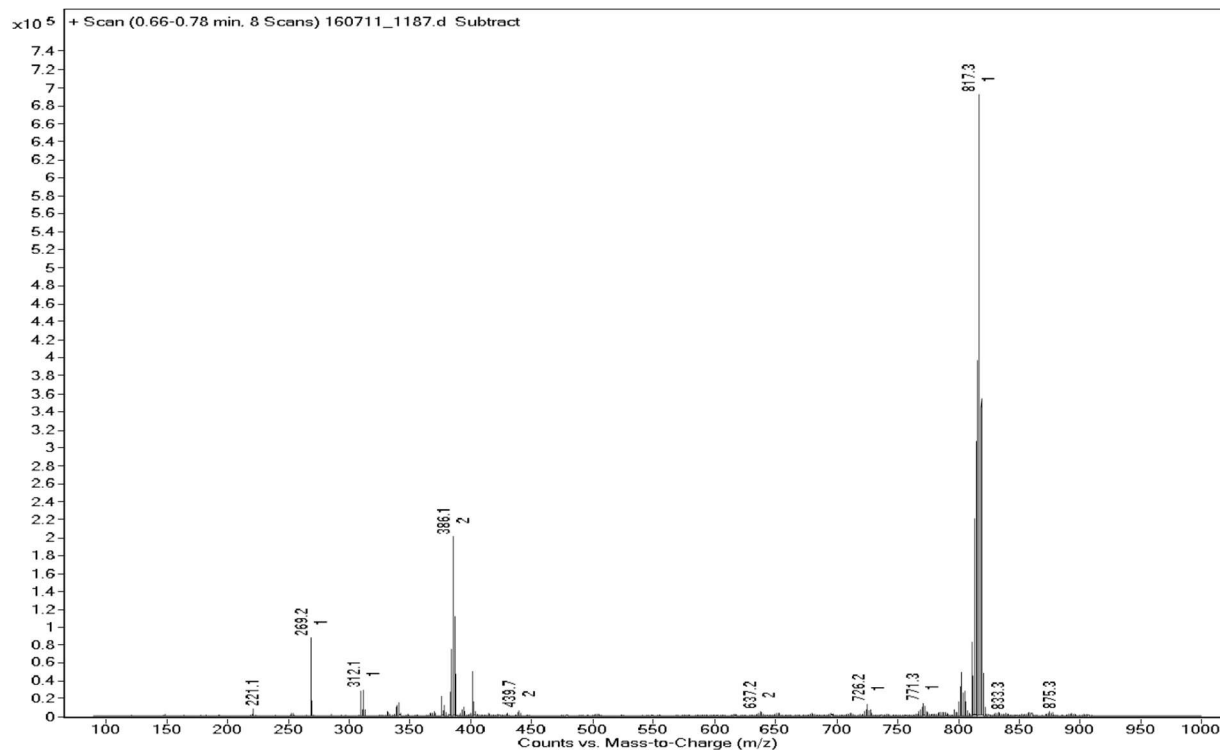


Figure S4: IR Spectrum of complex 3



Predicted Isotope Match Table

Isotope	m/z	Calc m/z	Diff (mDa)	Abund (%)	Calc Abund (%)	+/-
1	811.2832	811.2831	0.1	12.2	13.0	0.8
2	812.2860	812.2862	-0.2	6.3	6.8	0.5
3	813.2832	813.2833	-0.1	5.8	6.2	0.4
4	814.2824	814.2817	0.7	31.3	32.6	1.3
5	815.2822	815.2815	0.7	43.3	46.0	2.7
6	816.2827	816.2820	0.7	56.7	59.9	3.2
7	817.2818	817.2811	0.7	100.0	100.0	0.0
8	818.2844	818.2836	0.8	45.6	45.4	-0.2
9	819.2829	819.2821	0.8	51.5	55.2	3.7
10	820.2853	820.2845	0.8	25.1	25.0	-0.1
11	821.2871	821.2873	-0.2	6.7	6.4	-0.3

Figure S5: ESI-MS spectra for **3** showing dissociation of -OtBu ligand

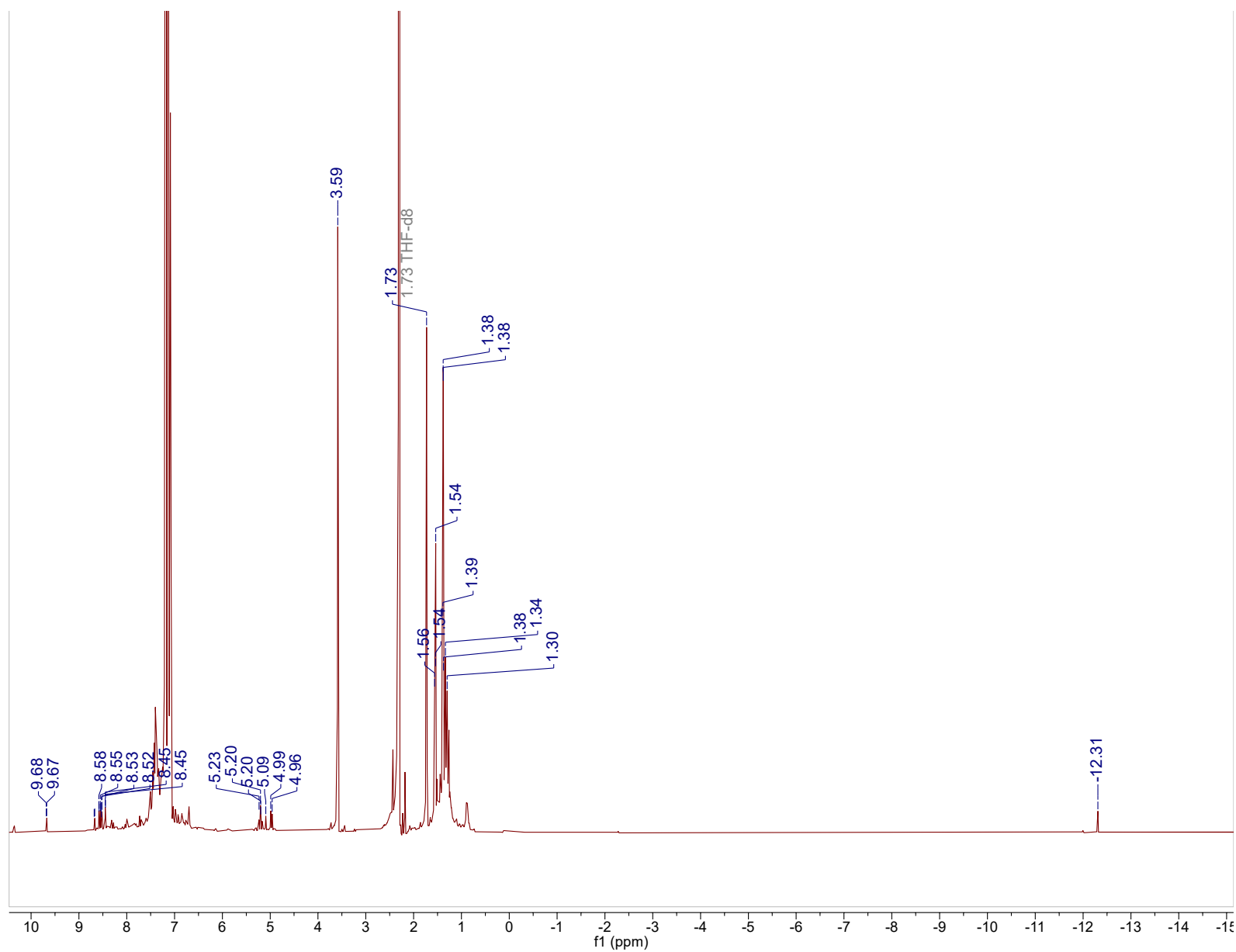


Figure S6: ¹H NMR Spectrum (500 MHz, THF-d₈) of OtAmyl analogue of **3**

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