

Supplementary Information

Theoretical investigations of the mechanism, kinetics and subsequent degradation products of the NO₃ radical initiated oxidation of 4-hydroxy-3-hexanone

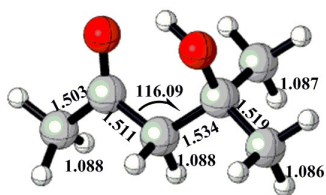
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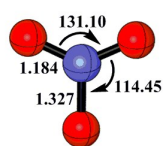
Changchun, People's Republic of China

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Chemical Engineering, Shenyang Normal University, Shenyang, 110034, People's

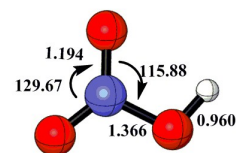
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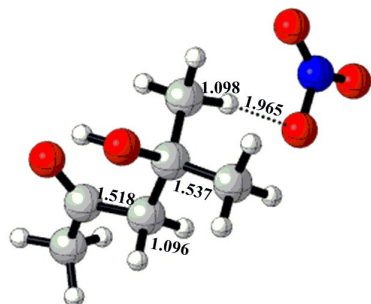
4H4M2P



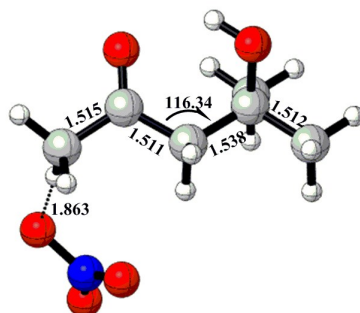
NO₃



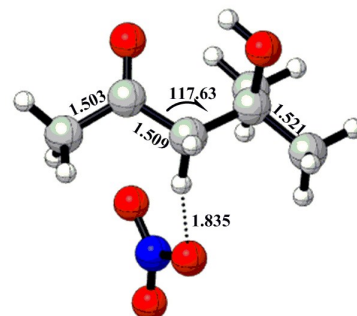
HNO₃



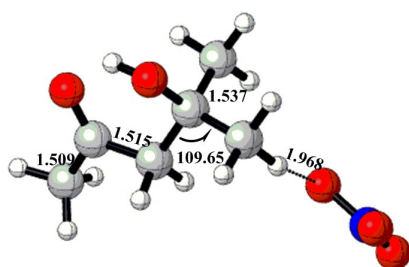
ER1



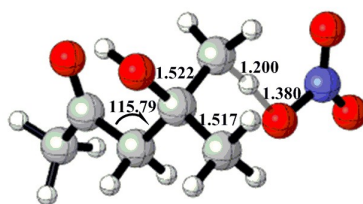
ER2



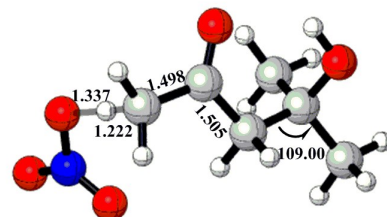
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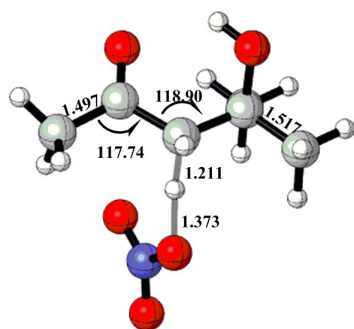
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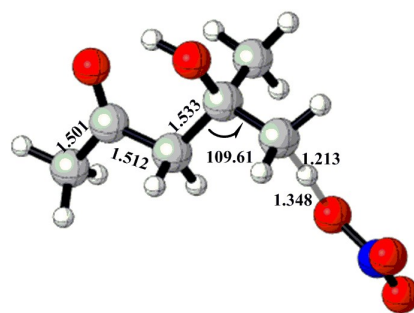
TS1



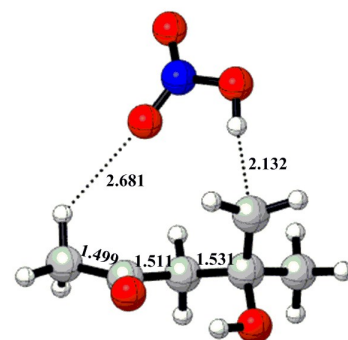
TS2



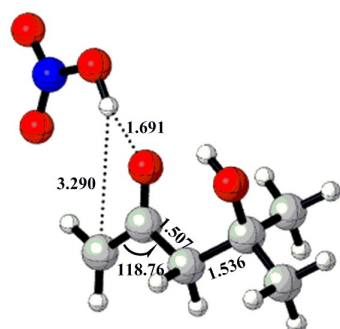
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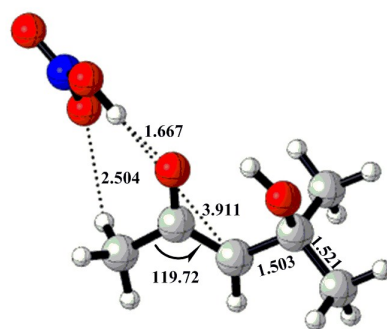
TS4



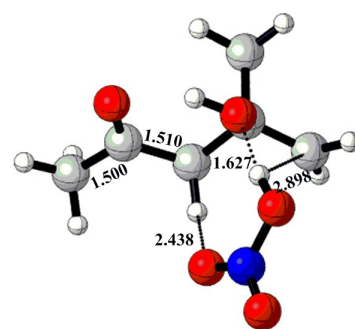
EP1



EP2



EP3



EP4

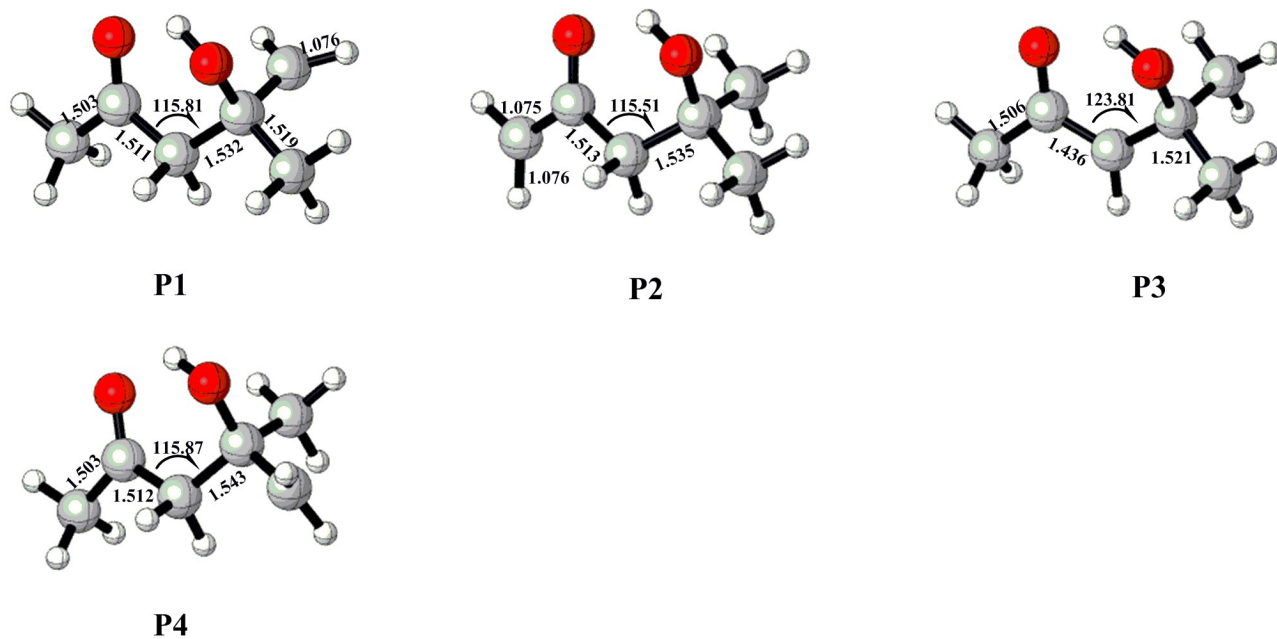
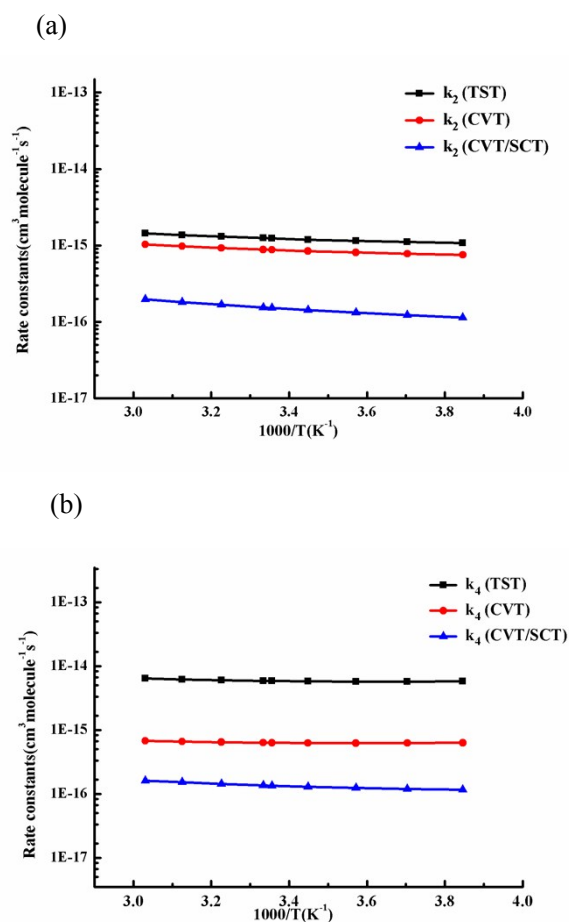


Fig. S1 Optimized geometries of all reactants (R), reaction complexes (ER/EP), transition states (TS), and products (P) at the BH&HLYP/6-311++G(d,p) level for the reaction of 4-hydroxy-4-methyl-2-pentanone (4H4M2P) with NO_3 radical. Bond lengths are in angstroms, and angles are in degrees.



(c)

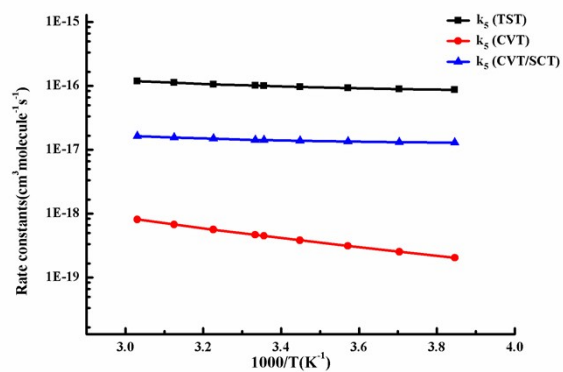
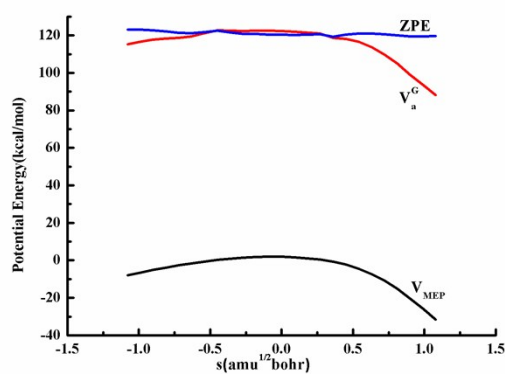
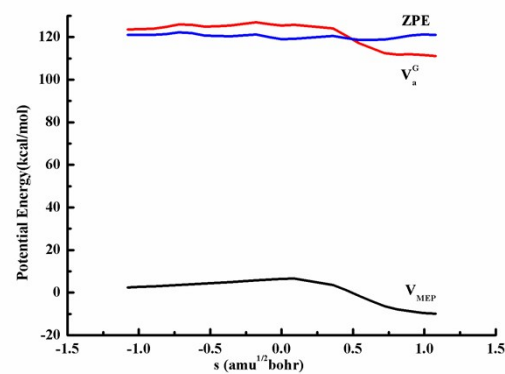


Fig. S2 Calculated TST, CVT, and CVT/SCT rate constants as a function of $10^3/T$ for title reactions in the temperature range of 260-330 K. (a) For reaction path2, (b) For reaction path4. (c) For reaction path5.

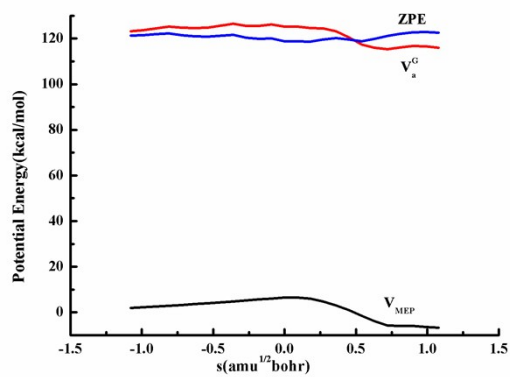
(d)



(e)



(f)



(g)

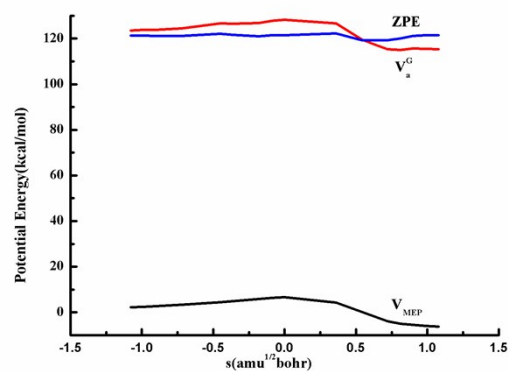
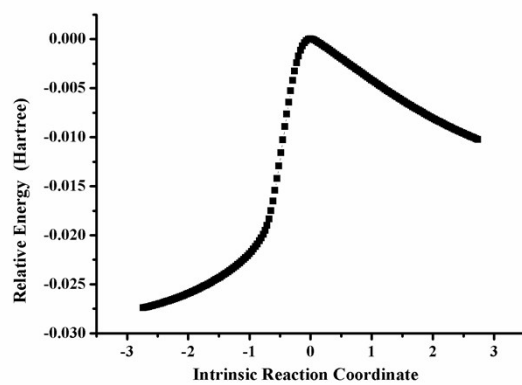
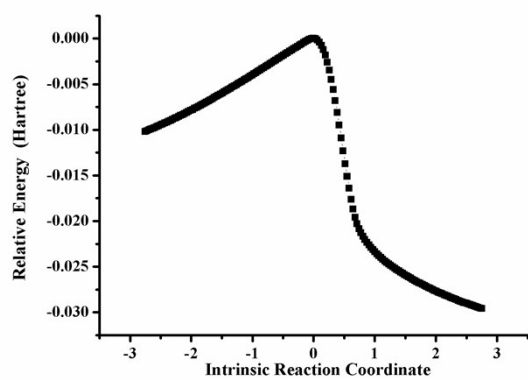
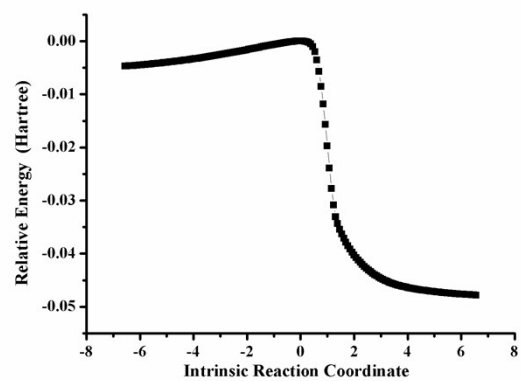
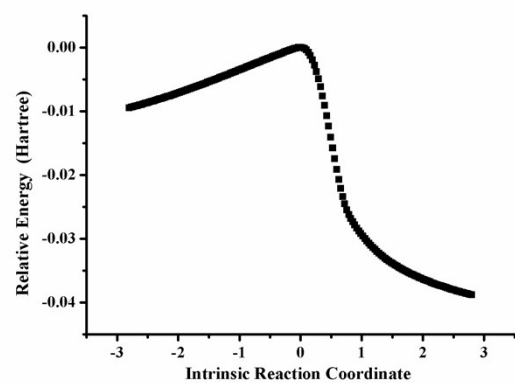


Fig. S3 Classical potential energy curve (V_{MEP}), ground-state vibrational adiabatic energy curve (V_a^G), and zero-point energy curve (ZPE) as functions of s (amu)^{1/2} bohr at the CCSD(T)//BH&HLYP/6-311++G(d,p) level for the reactions. (d) For reaction path1, (e) For reaction path2. (f) For reaction path4. (g) For reaction path5.





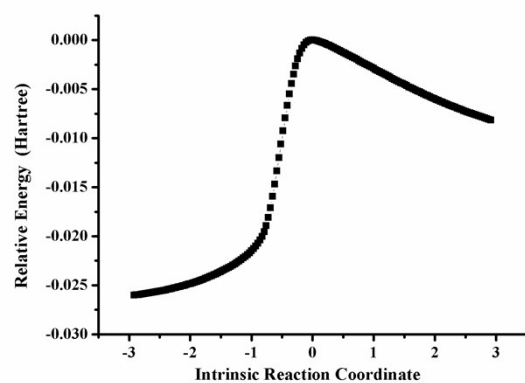


Fig. S4 IRC plots of transition states (TS1-TS5) of title reaction obtained at the BH&HLYP/6-311++G(d,p) level of theory.

Table S1 Calculated frequencies (in cm⁻¹) and $\langle s^2 \rangle$ values for the reactants, products, transition states, and reaction complexes for the title reactions at the BH&HLYP/6-311++G(d,p) level.

Species	BH&HLYP/6-311++G(d, p)	$\langle s^2 \rangle$
CH ₃ CH ₂ C(O)CH(OH)C ₂ H ₅	27, 87, 100, 182, 213, 237, 239, 260, 323, 326, 436, 533, 565, 664, 786, 810, 845, 923, 1033, 1039, 1084, 1103, 1126, 1175, 1187, 1213, 1275, 1323, 1339, 1371, 1437, 1438, 1462, 1473, 1481, 1499, 1536, 1545, 1550, 1553, 1562, 1878, 3085, 3103, 3118, 3123, 3126, 3147, 3156, 3181, 3186, 3192, 3199, 4023	0.0
NO ₃	445, 703, 831, 963, 1445, 1756	0.756
HNO ₃	491, 641, 731, 837, 1016, 1409, 1459, 1862, 3899	0.0
TS1	1300i, 21, 29, 41, 57, 87, 94, 148, 169, 222, 234, 239, 264, 337, 356, 415, 438, 489, 500, 563, 695, 715, 791, 808, 820, 837, 877, 926, 1018, 1031, 1037, 1080, 1107, 1140, 1166, 1199, 1208, 1248, 1263, 1288, 1339, 1371, 1413, 1441, 1443, 1446, 1464, 1472, 1487, 1503, 1536, 1551, 1562, 1763, 1887, 3067, 3091, 3104, 3127, 3157, 3169, 3182, 3192, 3195, 3252, 4026	0.765
TS2	1279i, 30, 51, 54, 67, 91, 103, 139, 178, 193, 211, 238, 249, 277, 321, 342, 450, 469, 525, 597, 687, 716, 784, 809, 816, 840, 882, 922, 1012, 1037, 1055, 1084, 1112, 1125, 1168, 1189, 1204, 1223, 1270, 1341, 1355, 1373, 1420, 1441, 1451, 1464, 1467, 1484, 1533, 1534, 1541, 1552, 1563, 1763, 1865, 3052, 3102, 3116, 3147, 3153, 3179, 3182, 3184, 3197, 3216, 4023	0.775
TS3	273i, 25, 28, 35, 68, 80, 97, 107, 142, 169, 207, 237, 273, 325, 338, 343, 444, 497, 568, 593, 684, 706, 788, 808, 836, 859, 921, 992, 1032, 1047, 1065, 1091, 1129, 1157, 1177, 1212, 1225, 1326, 1329, 1355, 1372, 1408, 1431, 1455, 1474, 1477, 1502, 1526, 1544, 1550, 1552, 1565, 1732, 1854, 1885, 3103, 3112, 3122, 3128, 3151, 3166, 3195, 3196, 3202, 3208, 3992	0.771
TS4	1322i, 17, 30, 51, 64, 87, 100, 128, 170, 205, 214, 231, 258, 278, 321, 343, 367, 467, 536, 586, 685, 713, 778, 799, 837, 853, 871, 936, 1020, 1034, 1078, 1095, 1107, 1132, 1162, 1184, 1209, 1226, 1257, 1326, 1350, 1387, 1434, 1441, 1451, 1459, 1474, 1482, 1502, 1543, 1545, 1553, 1761, 1887, 3105, 3115, 3119, 3127, 3149, 3163, 3176, 3194, 3197, 3202, 4021	0.774
TS5	953i, 20, 35, 45, 72, 95, 97, 121, 169, 180, 217, 260, 324, 342, 429, 443, 490, 502, 568, 573, 678, 729, 786, 807, 837, 859, 890, 924, 1017, 1033, 1042, 1063, 1106, 1133, 1158, 1195, 1218, 1273, 1281, 1333, 1344, 1376, 1419, 1427, 1440, 1459, 1472, 1478, 1498, 1510, 1522, 1545, 1552, 1762, 1879, 3074, 3088, 3121, 3127, 3148, 3161, 3193, 3194, 3200, 3237, 3991	0.764

ER1	10, 25, 42, 80, 84, 108, 117, 146, 159, 166, 199, 253, 271, 325, 338, 340, 434, 491, 519, 562, 678, 691, 787, 811, 828, 863, 924, 939, 1030, 1042, 1080, 1099, 1125, 1172, 1195, 1202, 1253, 1324, 1338, 1367, 1414, 1433, 1440, 1463, 1477, 1484, 1495, 1530, 1537, 1540, 1552, 1563, 1757, 1888, 2971, 3060, 3088, 3098, 3104, 3118, 3120, 3152, 3167, 3175, 3180, 4054	0.757
ER2	12, 44, 55, 58, 63, 82, 100, 111, 168, 193, 228, 250, 270, 310, 325, 342, 440, 486, 498, 573, 659, 692, 790, 807, 832, 864, 923, 954, 1032, 1041, 1075, 1101, 1129, 1170, 1200, 1208, 1266, 1323, 1340, 1373, 1423, 1437, 1443, 1465, 1476, 1485, 1513, 1538, 1545, 1552, 1555, 1563, 1754, 1884, 3035, 3073, 3091, 3109, 3139, 3150, 3171, 3176, 3186, 3198, 3205, 4003	0.757
ER3	5, 11, 23, 35, 43, 58, 64, 101, 117, 176, 230, 246, 263, 279, 327, 342, 438, 458, 490, 565, 679, 700, 785, 811, 829, 858, 926, 959, 1032, 1039, 1079, 1100, 1130, 1175, 1196, 1213, 1265, 1327, 1341, 1369, 1431, 1437, 1441, 1469, 1476, 1486, 1501, 1535, 1545, 1555, 1555, 1563, 1754, 1881, 3002, 3076, 3108, 3111, 3116, 3127, 3143, 3176, 3176, 3195, 3199, 4025	0.757
ER4	7, 47, 53, 69, 82, 96, 105, 126, 165, 173, 226, 239, 259, 319, 333, 341, 433, 489, 516, 566, 679, 685, 784, 792, 833, 857, 927, 953, 1030, 1037, 1077, 1098, 1132, 1167, 1207, 1208, 1269, 1321, 1327, 1364, 1398, 1433, 1454, 1463, 1474, 1486, 1497, 1530, 1546, 1552, 1554, 1560, 1716, 1873, 3047, 3102, 3107, 3108, 3116, 3144, 3168, 3173, 3179, 3190, 3198, 3983	0.757
ER5	15, 20, 46, 68, 83, 100, 110, 118, 151, 190, 218, 261, 284, 324, 331, 353, 443, 504, 519, 571, 673, 690, 786, 812, 828, 855, 913, 960, 1031, 1045, 1072, 1104, 1136, 1169, 1196, 1217, 1266, 1323, 1337, 1376, 1427, 1437, 1440, 1457, 1472, 1479, 1500, 1525, 1543, 1544, 1550, 1554, 1744, 1876, 3058, 3084, 3106, 3108, 3113, 3120, 3139, 3152, 3161, 3180, 3207, 4011	0.757
EP1	16, 18, 40, 51, 81, 86, 99, 117, 136, 162, 209, 223, 239, 261, 326, 339, 436, 469, 536, 573, 642, 682, 746, 806, 824, 828, 858, 885, 936, 1038, 1050, 1057, 1109, 1113, 1160, 1175, 1214, 1246, 1285, 1337, 1371, 1437, 1441, 1458, 1463, 1465, 1484, 1509, 1515, 1536, 1550, 1562, 1835, 1844, 3076, 3088, 3106, 3117, 3122, 3160, 3185, 3189, 3241, 3352, 3444, 4024	0.755
EP2	22, 28, 37, 49, 83, 98, 110, 114, 138, 189, 231, 249, 273, 336, 351, 442, 466, 545, 592, 679, 700, 747, 760, 808, 846, 852, 903, 928, 1027, 1037, 1055, 1078, 1105, 1145, 1179, 1187, 1241, 1282, 1334, 1368, 1441, 1446, 1450, 1461, 1474, 1489, 1530, 1540, 1541, 1553, 1564, 1574, 1634, 1855, 3094, 3106, 3120, 3123, 3135, 3163, 3184, 3191, 3223, 3298, 3355, 3977	0.778

EP3		15, 25, 44, 46, 71, 77, 97, 116, 150, 189, 226, 231, 247, 329, 364, 383, 432, 545, 582, 637, 679, 749, 790, 821, 825, 827, 862, 932, 1027, 1059, 1068, 1103, 1125, 1130, 1150, 1241, 1319, 1328, 1376, 1417, 1435, 1461, 1472, 1474, 1488, 1512, 1529, 1545, 1548, 1551, 1554, 1592, 1687, 1825, 3083, 3112, 3118, 3127, 3143, 3180, 3194, 3201, 3208, 3236, 3417, 3989	0.775
		22, 34, 41, 53, 77, 85, 95, 105, 120, 162, 197, 221, 251, 262, 336, 341, 354, 504, 527, 599, 672, 688, 749, 792, 850, 853, 919, 939, 1029, 1037, 1068, 1086, 1092, 1113, 1165, 1191, 1198, 1267, 1322, 1343, 1421, 1447, 1458, 1464, 1476, 1489, 1493, 1526, 1530, 1539, 1546, 1553, 1829, 1842, 3043, 3109, 3125, 3129, 3137, 3147, 3183, 3197, 3202, 3251, 3334, 4016	
		17, 24, 32, 64, 79, 84, 96, 107, 144, 191, 216, 252, 279, 322, 339, 400, 429, 511, 564, 661, 667, 679, 719, 741, 781, 815, 844, 862, 995, 1036, 1042, 1109, 1124, 1153, 1183, 1216, 1265, 1281, 1333, 1371, 1415, 1438, 1440, 1463, 1470, 1476, 1496, 1508, 1516, 1545, 1552, 1846, 1878, 3044, 3093, 3122, 3126, 3146, 3147, 3191, 3193, 3199, 3203, 3306, 3585, 4003	
EP4	CH ₂ CH ₂ C(O)CH(OH)C ₂ H ₅	26, 93, 101, 156, 188, 234, 236, 263, 323, 342, 427, 458, 534, 563, 665, 781, 812, 899, 944, 1034, 1041, 1101, 1122, 1154, 1169, 1211, 1225, 1285, 1338, 1370, 1436, 1438, 1461, 1469, 1482, 1499, 1536, 1550, 1562, 1882, 3079, 3087, 3088, 3104, 3119, 3156, 3182, 3186, 3242, 3358, 4024	0.755
		42, 98, 108, 133, 204, 233, 244, 261, 328, 347, 440, 548, 595, 684, 763, 806, 846, 926, 1036, 1037, 1077, 1108, 1147, 1170, 1195, 1227, 1290, 1338, 1371, 1439, 1448, 1458, 1473, 1491, 1531, 1536, 1541, 1550, 1562, 1639, 3087, 3091, 3102, 3119, 3131, 3154, 3180, 3184, 3219, 3302, 4024	
		35, 56, 98, 177, 216, 224, 239, 325, 341, 361, 416, 540, 581, 629, 778, 818, 827, 929, 1024, 1055, 1102, 1119, 1128, 1148, 1231, 1319, 1327, 1371, 1419, 1430, 1468, 1471, 1516, 1529, 1544, 1549, 1550, 1553, 1569, 1675, 3076, 3109, 3115, 3124, 3140, 3169, 3190, 3194, 3199, 3210, 3998	
EP5	CH ₃ CHC(O)CH(OH)C ₂ H ₅	20, 79, 85, 122, 182, 211, 217, 247, 305, 319, 428, 487, 541, 640, 652, 784, 840, 917, 1020, 1034, 1062, 1103, 1118, 1163, 1180, 1221, 1262, 1318, 1340, 1398, 1436, 1450, 1473, 1477, 1500, 1530, 1542, 1545, 1553, 1882, 3013, 3030, 3124, 3126, 3130, 3149, 3189, 3192, 3200, 3278, 4022	0.756
		26, 88, 117, 151, 185, 220, 246, 313, 326, 343, 429, 521, 547, 574, 674, 786, 848, 870, 919, 1035, 1084, 1101, 1110, 1162, 1174, 1197, 1271, 1291, 1325, 1356, 1430, 1436, 1470, 1477, 1498, 1511, 1523, 1546, 1553, 1877, 3079, 3084, 3123, 3126, 3146, 3147, 3192, 3199, 3217, 3326, 3989	

Table S2 The relative energy (ΔE), enthalpy (ΔH), and Gibbs free energy (ΔG) of the H-abstraction reaction of 4H4M2P with the NO₃ radical calculated at CCSD(T)//BH&HLYP/6-311++G(d,p) levels (kcal/mol).

Pathways	stationary points	ΔE	ΔH	ΔG
	4H4M2P+NO ₃	0	0	0
Path1	ER1	-1.06	-1.26	10.29
	TS1	5.97	6.03	15.72
	EP1	-7.49	-6.85	1.93
	P1	-1.47	-1.18	-1.86
Path2	ER2	-0.63	-1.04	9.87
	TS2	8.34	8.22	18.94
	EP2	-17.09	-16.84	-7.31
	P2	-6.91	-7.08	-6.77
Path3	ER3	-1.25	-1.50	7.68
	TS3	4.72	4.79	15.68
	EP3	-20.23	-19.76	-10.75
	P3	-9.57	-9.39	-10.52
Path4	ER4	-0.58	-0.87	10.03
	TS4	7.63	7.74	17.42
	EP4	-15.24	-14.71	-5.30
	P4	-1.03	-0.65	-1.65

Table S3 Calculated CVT/SCT rate constant values (in $\text{cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$) for the H atom abstraction reaction of 4H4M2P with the NO_3 radical at BH&HLYP/6-311++G(d,p) level of theory.

Temperature(K)	$k_{\text{path1}} (\times 10^{-17})$	$k_{\text{path2}} (\times 10^{-18})$	$k_{\text{path3}} (\times 10^{-16})$	$k_{\text{path4}} (\times 10^{-18})$	$k_{\text{total}} (\times 10^{-16})$
260	0.79	1.33	3.03	0.67	3.13
270	1.46	1.88	3.42	1.18	3.60
280	2.60	2.60	3.88	2.02	4.19
290	4.47	3.55	4.41	3.38	4.93
298	6.76	4.51	4.89	5.02	5.66
300	7.48	4.78	5.02	5.53	5.87
310	12.20	6.35	5.72	8.87	7.09
320	19.30	8.34	6.52	14.00	8.67
330	29.90	10.80	7.43	21.60	10.74

Table S4 The T1 diagnostic and $\langle s^2 \rangle$ values of the main species for the reaction of $\text{CH}_3\text{CH}_2\text{C}(\text{O})\text{C}(\text{OO}\bullet)(\text{OH})\text{CH}_2\text{CH}_3$ with NO radical on the singlet surface at the CCSD(T)/BH&HLYP/6-311++G(d,p) level of theory.

Species	A	NO	IM1	IM2	IM3	Propionic acid
T1	0.020	0.022	0.017	0.017	0.016	0.014
$\langle s^2 \rangle$	0.758	0.755	0	0	0	0
Species	TS6	TS7	TS8	TS9	NO_2	Propionyl
T1	0.015	0.022	0.040	0.028	0.024	0.018
$\langle s^2 \rangle$	0	0	0	0	0.757	0.750