

**4-Aminoindoles as 1,4-Bisnucleophiles for Diversity-Oriented Synthesis of  
Tricyclic Indoles Bearing 3,4-Fused Seven-Membered Rings**

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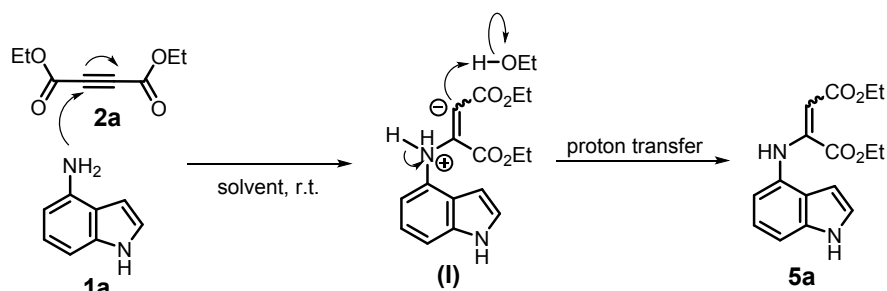
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*Supporting Information*

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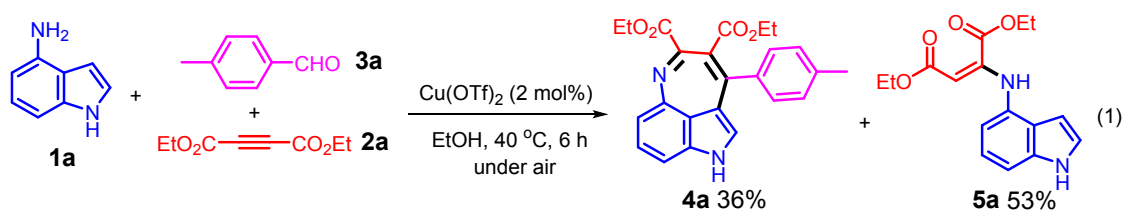
## 1. Proposed mechanism for the formation of **5a**



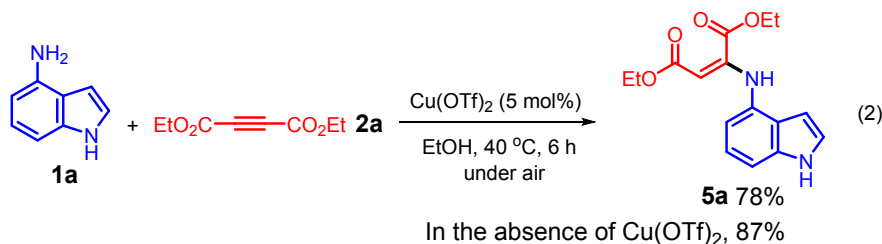
**Figure S1** Plausible mechanism for the formation of **5a**

On the basis of the experimental result and previously reported works,<sup>1</sup> we provided the mechanism for the formation of **5a** as follows: the nitrogen atom of **1a** attacked the alkyne carbon of **2a** and formed intermediate **I**, and then an intermolecular proton transfer of the carbanion of intermediate **I** occurred, leading to the formation of **5a**.

## 2. Control experiments information

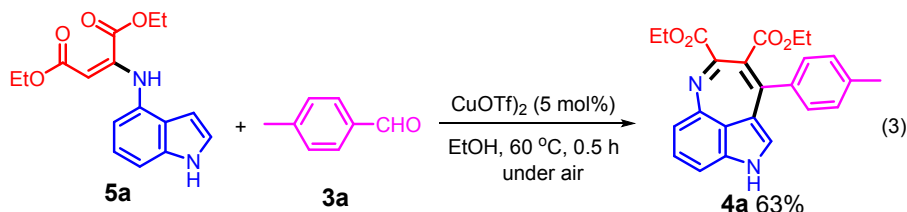


The reactions were conducted in a 10 mL of V-type flask equipped with oil bath and triangle magnetic stirring. **1a** (0.2 mmol) was mixed with **2a** (0.2 mmol), **3a** (0.2 mmol), and Cu(OTf)<sub>2</sub> (0.004 mmol, 2 mol%) in anhydrous ethanol (1.0 mL), the mixture was then stirred at 40 °C for 6 h. After the completion of the reaction, the mixture cooled to room temperature, and then subjected to an isolation with preparative TLC by using a mixture of petroleum ether and ethyl acetate as eluting solution (PE/EA = 4/1<sub>v/v</sub>). Compound **4a** was obtained in 36% (28.9 mg) yield, and compound **5a** was obtained in 53% yield (32.0 mg).

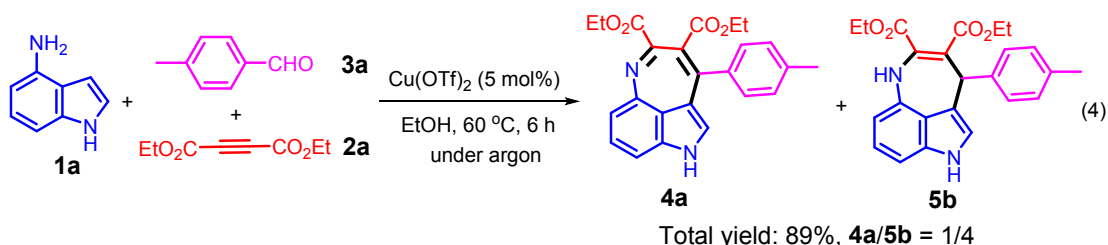


The reactions were conducted in a 10 mL of V-type flask equipped with oil bath and triangle

magnetic stirring. **1a** (0.2 mmol) was mixed with **2a** (0.2 mmol), and Cu(OTf)<sub>2</sub> (0.01 mmol, 5 mol%) in anhydrous ethanol (1.0 mL). The mixture was then stirred at 40 °C for 6 h. After the completion of the reaction, the mixture cooled to room temperature, and then subjected to an isolation with preparative TLC by using a mixture of petroleum ether and ethyl acetate as eluting solution (PE/EA = 4/1<sub>v/v</sub>). Compound **5a** was obtained in 78% yield (47.1 mg). Under the same conditions, compound **5a** was obtained in 87% yield (52.6 mg) without adding any catalyst.

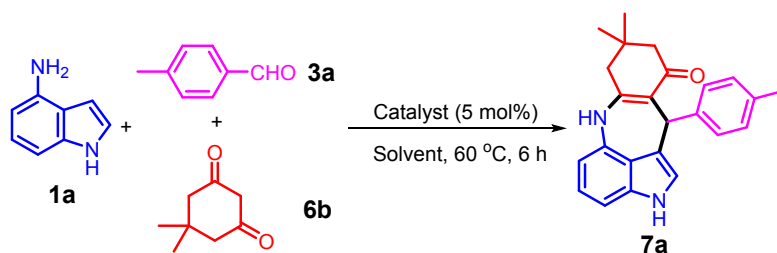


The reactions were conducted in a 10 mL of V-type flask equipped with oil bath and triangle magnetic stirring. Compound **5a** (0.2 mmol) was mixed with **3a** (0.2 mmol), and Cu(OTf)<sub>2</sub> (0.01 mmol, 5 mol%) in ethanol (1.0 mL), the mixture was then stirred at 60 °C for 0.5 h. After the completion of the reaction, the mixture cooled to room temperature, and then subjected to an isolation with preparative TLC by using a mixture of petroleum ether and ethyl acetate as eluting solution (PE/EA = 4/1<sub>v/v</sub>). Compound **4a** was obtained in 63% yield (50.7 mg).

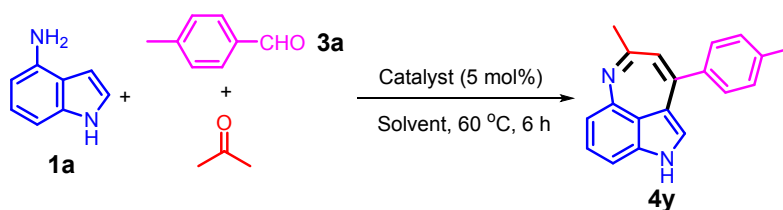


The reactions were conducted in a 10 mL of V-type flask equipped with oil bath and triangle magnetic stirring. **1a** (0.3 mmol) was mixed with **2a** (0.3 mmol), **3a** (0.2 mmol), and Cu(OTf)<sub>2</sub> (0.01 mmol, 5 mol%) in ethanol (1.0 mL). The mixture was then stirred under argon atmosphere at 60 °C for 6 h. After the completion of the reaction, the mixture cooled to room temperature, and then subjected to an isolation with preparative TLC by using a mixture of petroleum ether and ethyl acetate as eluting solution (PE/EA = 4/1<sub>v/v</sub>). The mixture of **4a** and **5b** was obtained in 89% yield (68.7 mg), and the molar ratio of **4a/5b** is ca. 1/4 (determined by <sup>1</sup>H NMR).

### 3. Condition optimization

**Table S1** Optimization of the three-component reaction of **1a**, **3a** and 1,3-cyclohexanediones.<sup>a</sup>

| Entry           | Catalyst                        | Solvent           | Yield ( <b>7a</b> , %) <sup>b</sup> |
|-----------------|---------------------------------|-------------------|-------------------------------------|
| 1               | —                               | EtOH              | 0                                   |
| 2               | Cu(OTf) <sub>2</sub>            | EtOH              | 0                                   |
| 3               | Fe(OTf) <sub>3</sub>            | EtOH              | 0                                   |
| 4               | Al(OTf) <sub>3</sub>            | EtOH              | 0                                   |
| 5               | Sc(OTf) <sub>3</sub>            | EtOH              | 0                                   |
| 6               | CuCl <sub>2</sub>               | EtOH              | 0                                   |
| 7               | LiBr                            | EtOH              | 0                                   |
| 8               | KOH                             | EtOH              | 34                                  |
| 9               | KF·H <sub>2</sub> O             | EtOH              | 21                                  |
| 10              | Cs <sub>2</sub> CO <sub>3</sub> | EtOH              | 16                                  |
| 11              | K <sub>2</sub> CO <sub>3</sub>  | EtOH              | < 10                                |
| 12              | Et <sub>3</sub> N               | EtOH              | < 10                                |
| 13              | Pyridine                        | EtOH              | < 10                                |
| 14              | KOH                             | MeCN              | 42                                  |
| 15              | KOH                             | 1,4-Dioxane       | 55                                  |
| 16              | KOH                             | DCE               | 19                                  |
| 17              | KOH                             | MeNO <sub>2</sub> | 24                                  |
| 18 <sup>c</sup> | KOH                             | 1,4-Dioxane       | 48                                  |
| 19 <sup>d</sup> | KOH                             | 1,4-Dioxane       | 52                                  |

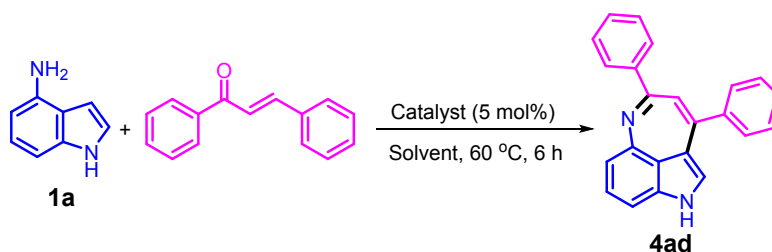
<sup>a</sup> **1a**, 0.2 mmol; **3a**, 0.22 mmol; **6b**, 0.22 mmol; catalyst, 0.01 mmol; solvent, 1 mL; 60 °C; 6 h. <sup>b</sup>Isolated yield. <sup>c</sup> KOH 0.02 mmol. <sup>d</sup> 80 °C.**Table S2** Optimization of the three-component reaction of **1a**, **3a** and acetone.<sup>a</sup>

| Entry | Catalyst             | Solvent     | Yield ( <b>4y</b> , %) <sup>b</sup> |
|-------|----------------------|-------------|-------------------------------------|
| 1     | —                    | 1,4-Dioxane | 0                                   |
| 2     | Cu(OTf) <sub>2</sub> | 1,4-Dioxane | 17                                  |
| 3     | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 51                                  |
| 4     | Al(OTf) <sub>3</sub> | 1,4-Dioxane | 29                                  |

|                 |                      |             |    |
|-----------------|----------------------|-------------|----|
| 5               | Sc(OTf) <sub>3</sub> | 1,4-Dioxane | 33 |
| 6               | Bi(OTf) <sub>3</sub> | 1,4-Dioxane | 35 |
| 7               | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 27 |
| 8               | Fe(OTf) <sub>3</sub> | EtOH        | 35 |
| 9               | Fe(OTf) <sub>3</sub> | MeCN        | 52 |
| 10              | Fe(OTf) <sub>3</sub> | Toluene     | 21 |
| 11 <sup>c</sup> | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 64 |
| 12 <sup>d</sup> | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 63 |
| 13 <sup>e</sup> | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 47 |
| 14 <sup>f</sup> | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 78 |

<sup>a</sup> **1a**, 0.3 mmol; **3a**, 0.2 mmol; acetone, 0.3 mmol; catalyst, 0.01 mmol; solvent, 1 mL; 60 °C; 6 h. <sup>b</sup> Isolated yield. <sup>c</sup> Fe(OTf)<sub>3</sub>, 0.02 mmol. <sup>d</sup> Fe(OTf)<sub>3</sub>, 0.04 mmol. <sup>e</sup> Fe(OTf)<sub>3</sub>, 0.02 mmol, 40 °C. <sup>f</sup> Fe(OTf)<sub>3</sub>, 0.02 mmol, 80 °C.

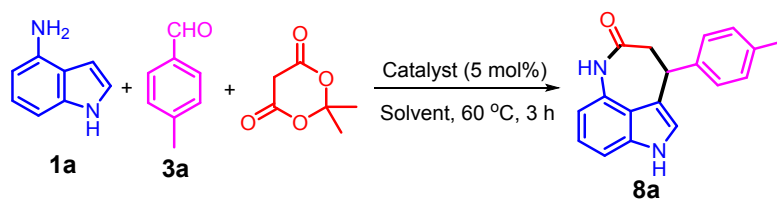
**Table S3** Optimization of the reaction of **1a** and chalcone.<sup>a</sup>



| Entry           | Catalyst             | Solvent     | Yield ( <b>4ad</b> , %) <sup>b</sup> |
|-----------------|----------------------|-------------|--------------------------------------|
| 1               | —                    | 1,4-Dioxane | 0                                    |
| 2               | Cu(OTf) <sub>2</sub> | 1,4-Dioxane | < 5                                  |
| 3               | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 24                                   |
| 4               | AlCl <sub>3</sub>    | 1,4-Dioxane | 0                                    |
| 5               | FeCl <sub>3</sub>    | 1,4-Dioxane | 0                                    |
| 6               | Fe(OTf) <sub>3</sub> | EtOH        | 14                                   |
| 7               | Fe(OTf) <sub>3</sub> | MeCN        | 21                                   |
| 8               | Fe(OTf) <sub>3</sub> | Toluene     | 0                                    |
| 9 <sup>c</sup>  | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 36                                   |
| 10 <sup>d</sup> | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 39                                   |
| 11 <sup>e</sup> | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 47                                   |
| 12 <sup>f</sup> | Fe(OTf) <sub>3</sub> | 1,4-Dioxane | 23                                   |

<sup>a</sup> **1a**, 0.3 mmol; chalcone, 0.3 mmol; catalyst, 0.015 mmol; solvent, 1 mL; 60 °C; 6 h. <sup>b</sup> Isolated yield. <sup>c</sup> Fe(OTf)<sub>3</sub>, 0.03 mmol. <sup>d</sup> Fe(OTf)<sub>3</sub>, 0.06 mmol. <sup>e</sup> Fe(OTf)<sub>3</sub>, 0.03 mmol, 80 °C. <sup>f</sup> Fe(OTf)<sub>3</sub>, 0.03 mmol, 100 °C.

**Table S4** Optimization of the three-component reaction of **1a**, **3a** and Meldrum's acid.<sup>a</sup>



| Entry           | Catalyst             | Solvent     | Yield ( <b>8a</b> , %) <sup>b</sup> |
|-----------------|----------------------|-------------|-------------------------------------|
| 1               | —                    | EtOH        | 52                                  |
| 2               | Cu(OTf) <sub>2</sub> | EtOH        | 31                                  |
| 3               | Fe(OTf) <sub>3</sub> | EtOH        | 24                                  |
| 4               | CuBr <sub>2</sub>    | EtOH        | 0                                   |
| 5               | —                    | MeCN        | 76                                  |
| 6               | Cu(OTf) <sub>2</sub> | MeCN        | 22                                  |
| 7               | Fe(OTf) <sub>3</sub> | MeCN        | 14                                  |
| 8               | —                    | 1,4-Dioxane | 37                                  |
| 9               | —                    | Toluene     | < 10                                |
| 10              | —                    | THF         | 0                                   |
| 11 <sup>c</sup> | —                    | MeCN        | 74                                  |
| 12 <sup>d</sup> | —                    | MeCN        | 78                                  |

<sup>a</sup> **1a**, 0.3 mmol; **3a**, 0.3 mmol; Meldrum's acid, 0.3 mmol; catalyst, 0.015 mmol; solvent, 1 mL; 60 °C; 3 h. <sup>b</sup> Isolated yield. <sup>c</sup> 80 °C. <sup>d</sup> 4 h.

#### 4. Characterization data of all new compounds

Diethyl 4-(*p*-tolyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4a**): yellow solid (69.9 mg, 87% yield), mp: 167–169 °C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.96 (s, 1H), 7.86 (d, *J* = 9.0 Hz, 1H), 7.62 (d, *J* = 9.0 Hz, 1H), 7.58–7.54 (m, 3H), 7.33 (d, *J* = 7.7 Hz, 2H), 7.19 (s, 1H), 4.47 (q, *J* = 7.1 Hz, 2H), 4.13 (q, *J* = 7.1 Hz, 2H), 2.39 (s, 3H), 1.35 (t, *J* = 7.1 Hz, 3H), 1.05 ppm (t, *J* = 7.1 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 167.4, 166.4, 154.2, 143.7, 139.2, 138.3, 137.2, 135.7, 128.9, 128.4, 125.1, 123.3, 120.3, 117.3, 116.7, 116.0, 102.2, 62.2, 61.5, 20.9, 13.9, 13.5 ppm; IR (KBr)  $\nu$  = 3369, 2980, 1728, 1550, 1376, 1248, 1027, 747, 501 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>24</sub>H<sub>23</sub>N<sub>2</sub>O<sub>4</sub><sup>+</sup>, [M + H]<sup>+</sup> 403.1652, found 403.1651.

Diethyl 2-((1*H*-indol-4-yl)amino)maleate (**5a**): yellow oil; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.29 (s, 1H), 9.92 (s, 1H), 7.36 (t, *J* = 2.8 Hz, 1H), 7.19 (d, *J* = 8.1 Hz, 1H), 7.00 (t, *J* = 7.8 Hz, 1H), 6.47–6.41 (m, 2H), 5.26 (s, 1H), 4.16 (q, *J* = 7.1 Hz, 2H), 4.07 (q, *J* = 7.0 Hz, 2H), 1.23 (t, *J* = 7.0 Hz, 3H), 0.96 ppm (t, *J* = 7.1 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 169.0, 163.9, 149.0, 136.9, 131.8, 125.4, 121.3, 121.2, 109.7, 108.3, 97.7, 91.6, 61.8, 59.7, 14.3, 13.4 ppm; IR (KBr)  $\nu$  = 3778, 2923, 1731, 1605, 1272, 1211, 1027, 749, 587, 482 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>16</sub>H<sub>19</sub>N<sub>2</sub>O<sub>4</sub><sup>+</sup>, [M + H]<sup>+</sup> 303.1339, found 303.1342.

The mixture of **4a** and **5b**: yellow oil (68.7 mg, 89% yield); <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.96 (s, 1H), 11.17 (s, 4H), 7.86 (d, *J* = 9.0 Hz, 1H), 7.62 (s, 1H), 7.60–7.48 (m, 8H), 7.34 (s, 2H), 7.20 (s, 6H), 7.14 (d, *J* = 2.6 Hz, 4H), 7.04 (d, *J* = 7.8 Hz, 8H), 6.65 (d, *J* = 8.6 Hz, 8H), 6.59 (d, *J* = 8.6 Hz, 4H), 5.57 (d, *J* = 2.9 Hz, 4H), 4.47 (q, *J* = 7.1 Hz, 2H), 4.31 (q, *J* = 7.1 Hz, 8H), 4.10 (q, *J* = 6.8 Hz, 8H), 4.02 (t, *J* = 7.2 Hz, 2H), 2.40 (s, 2H), 2.20 (s, 12H), 1.35 (d, *J* = 7.1 Hz, 3H), 1.28 (t, *J* = 7.1 Hz, 12H), 1.16 (t, *J* = 7.1 Hz, 12H), 1.06 ppm (d, *J* = 7.1 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 168.0, 167.4, 166.4, 164.6, 154.2, 143.7, 141.7, 141.3, 139.8, 139.3, 138.3, 138.2, 137.2, 136.6, 135.7, 129.7, 128.9, 128.5, 125.7, 125.1, 123.5, 123.3, 120.3, 120.3, 117.3, 116.7, 116.0, 114.7, 111.1, 105.0, 102.3, 102.2, 100.2, 62.3, 61.6, 60.9, 60.3, 59.8, 51.9, 20.9, 20.6, 14.1, 14.0, 14.0, 13.9, 13.5 ppm; IR (KBr)  $\nu$  = 3362, 2925, 1732, 1550, 1354, 1248, 1027, 826, 750, 522 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>24</sub>H<sub>25</sub>N<sub>2</sub>O<sub>4</sub><sup>+</sup> (**5b**), [M + H]<sup>+</sup> 405.1809, found 405.1810.

Diethyl 4-phenyl-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4b**): yellow solid (41.9 mg, 54% yield), mp: 150–152 °C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.99 (s, 1H), 7.89 (d, *J* = 9.0 Hz, 1H), 7.68–7.62 (m, 3H), 7.57 (t, *J* = 2.7 Hz, 1H), 7.53 (q, *J* = 7.0 Hz, 3H), 7.21 (s, 1H), 4.47 (q, *J* = 7.2 Hz, 2H), 4.11 (q, *J* = 7.1 Hz, 2H), 1.35 (t, *J* = 7.2 Hz, 3H), 1.00 ppm (t, *J* = 7.1 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 167.3, 166.4, 154.4, 143.7, 140.1, 139.4, 135.8, 128.7, 128.5, 128.3, 125.2, 123.3, 120.4, 117.3, 116.8, 116.2, 102.3, 62.3, 61.5, 13.9, 13.4 ppm; IR (KBr)  $\nu$  = 3380, 2980, 1717, 1549, 1246, 1213, 1017, 737, 696, 597, 499 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>23</sub>H<sub>21</sub>N<sub>2</sub>O<sub>4</sub><sup>+</sup>, [M + H]<sup>+</sup> 389.1496, found 389.1495.

Diethyl 4-(4-(*tert*-butyl)phenyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4c**): yellow oil (54.2 mg, 61% yield); <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.97 (s, 1H), 7.88 (d, *J* = 9.0 Hz, 1H), 7.65–7.60 (m, 2H), 7.58 (d, *J* = 7.2 Hz, 3H), 7.53 (d, *J* = 8.1 Hz, 2H), 7.21 (s, 1H), 4.47 (q, *J* = 7.1 Hz, 2H), 4.12 (q, *J* = 7.1 Hz, 2H), 1.36 (d, *J* = 7.1 Hz, 3H), 1.33 (s, 9H), 0.98 ppm (t, *J* = 7.1 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 167.4, 166.4, 154.3, 151.3, 143.8, 139.3, 137.3, 135.7, 128.2, 125.1, 125.0, 123.4, 120.3, 117.3, 116.7, 116.1, 102.3, 62.2, 61.5, 34.3, 31.1, 13.8, 13.3 ppm; IR (KBr)  $\nu$  = 3209, 2961, 1732, 1412, 1362, 1249, 1028, 747, 593, 447 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>27</sub>H<sub>29</sub>N<sub>2</sub>O<sub>4</sub><sup>+</sup>, [M + H]<sup>+</sup> 445.2122, found 445.2121.

Diethyl 4-(4-methoxyphenyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4d**): yellow oil (58.5 mg, 70% yield); <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.95 (s, 1H), 7.85 (d, *J* = 9.0 Hz, 1H), 7.62 (t, *J* = 9.6 Hz, 3H), 7.56 (t, *J* = 2.7 Hz, 1H), 7.20 (s, 1H), 7.09 (d, *J* = 8.3 Hz, 2H), 4.47 (q, *J* = 7.1 Hz, 2H), 4.16 (q, *J* = 7.2 Hz, 2H), 3.84 (s, 3H), 1.35 (t, *J* = 7.1 Hz, 3H), 1.09 ppm (t, *J* = 7.1 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 167.5, 166.4, 159.9, 153.8, 143.7, 139.3, 135.7, 132.3, 129.9, 125.0, 123.3, 120.2, 117.3, 116.5, 115.9, 113.8, 102.2, 62.2, 61.5, 55.3, 13.9, 13.6 ppm; IR (KBr)  $\nu$  = 3381, 2929, 2844, 1731, 1607, 1513, 1251, 1103, 1031, 749, 557, 425 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>24</sub>H<sub>23</sub>N<sub>2</sub>O<sub>5</sub><sup>+</sup>, [M + H]<sup>+</sup> 419.1601, found 419.1601.

Diethyl 4-(4-methylthiophenyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4e**): yellow oil (53.8 mg, 62% yield); <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.97 (s, 1H), 7.87 (d, *J* = 9.0 Hz, 1H), 7.62 (d, *J* = 8.3 Hz, 3H), 7.57 (t, *J* = 2.7 Hz, 1H), 7.41 (d, *J* = 8.1 Hz, 2H), 7.20 (s, 1H), 4.47 (q, *J* = 7.3 Hz, 2H), 4.16 (q, *J* = 7.1 Hz, 2H), 2.54 (s, 3H), 1.35 (t, *J* = 7.1 Hz, 3H), 1.08 ppm (t, *J* = 7.1 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 167.3, 166.4, 153.7, 143.7, 139.5, 139.4, 136.3, 135.8, 129.0, 125.5, 125.2, 123.3, 120.1, 117.3, 116.8, 116.1, 102.3, 62.2, 61.6, 14.5,

13.9, 13.5 ppm; IR (KBr)  $\nu$  = 3380, 2982, 1730, 1592, 1494, 1249, 1141, 1027, 747, 505  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{24}\text{H}_{23}\text{N}_2\text{O}_4\text{S}^+$ ,  $[\text{M} + \text{H}]^+$  435.1373, found 435.1365.

Diethyl 4-(4-chlorophenyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4f**): yellow oil (57.4 mg, 68% yield);  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 12.01 (s, 1H), 7.90 (d,  $J$  = 9.0 Hz, 1H), 7.67 (t,  $J$  = 7.6 Hz, 3H), 7.64–7.55 (m, 4H), 7.20 (s, 1H), 4.47 (q,  $J$  = 7.1 Hz, 2H), 4.14 (q,  $J$  = 7.1 Hz, 2H), 1.35 (t,  $J$  = 7.1 Hz, 3H), 1.05 ppm (t,  $J$  = 7.1 Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO-}d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 167.1, 166.3, 153.2, 143.8, 139.6, 138.9, 135.9, 133.7, 130.3, 128.4, 125.3, 123.3, 120.1, 117.3, 117.1, 116.4, 102.3, 62.3, 61.7, 13.9, 13.5 ppm; IR (KBr)  $\nu$  = 3379, 2927, 1731, 1549, 1412, 1250, 1142, 1027, 778, 502  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{23}\text{H}_{20}\text{ClN}_2\text{O}_4^+$ ,  $[\text{M} + \text{H}]^+$  423.1106, found 423.1105.

Diethyl 4-(4-(bromophenyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4g**): yellow oil (49.4 mg, 53% yield);  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 12.01 (s, 1H), 7.90 (d,  $J$  = 9.0 Hz, 1H), 7.74 (d,  $J$  = 8.1 Hz, 2H), 7.66–7.56 (m, 4H), 7.20 (s, 1H), 4.47 (q,  $J$  = 7.1 Hz, 2H), 4.14 (q,  $J$  = 7.1 Hz, 2H), 1.35 (t,  $J$  = 7.1 Hz, 3H), 1.05 ppm (t,  $J$  = 7.1 Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO-}d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 167.0, 166.3, 153.3, 143.8, 139.6, 139.2, 135.8, 131.3, 130.6, 125.3, 123.3, 122.4, 120.0, 117.3, 117.1, 116.4, 102.3, 62.3, 61.7, 13.9, 13.5 ppm; IR (KBr)  $\nu$  = 3381, 2927, 1730, 1588, 1489, 1250, 1142, 1027, 748, 500  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{23}\text{H}_{20}\text{BrN}_2\text{O}_4^+$ ,  $[\text{M} + \text{H}]^+$  467.0601, found 467.0600.

Diethyl 4-(4-(ethoxycarbonyl)phenyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4h**): yellow oil (74.5 mg, 81% yield);  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 12.03 (s, 1H), 8.12 (d,  $J$  = 8.0 Hz, 2H), 7.91 (d,  $J$  = 9.0 Hz, 1H), 7.80 (d,  $J$  = 8.1 Hz, 2H), 7.65 (d,  $J$  = 9.0 Hz, 1H), 7.59 (t,  $J$  = 2.8 Hz, 1H), 7.21 (t,  $J$  = 2.5 Hz, 1H), 4.48 (q,  $J$  = 7.0 Hz, 2H), 4.37 (q,  $J$  = 7.1 Hz, 2H), 4.12 (q,  $J$  = 7.1 Hz, 2H), 1.36 (td,  $J$  = 7.2, 2.3 Hz, 6H), 1.02 ppm (t,  $J$  = 7.1 Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO-}d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 166.9, 166.3, 165.5, 153.4, 144.5, 143.7, 139.7, 135.9, 129.9, 129.1, 128.9, 125.4, 123.3, 120.1, 117.3, 117.2, 116.5, 102.3, 62.3, 61.7, 60.9, 14.2, 13.8, 13.4 ppm; IR (KBr)  $\nu$  = 3377, 2927, 1722, 1609, 1550, 1363, 1227, 1179, 872, 746, 526  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{26}\text{H}_{25}\text{N}_2\text{O}_6^+$ ,  $[\text{M} + \text{H}]^+$  461.1707, found 461.1706.

Diethyl 4-(4-cyanophenyl)-4,6-dihydro-3*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4i**): yellow solid (43.0 mg, 52% yield), mp: 168–170  $^\circ\text{C}$ ;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 12.04 (s, 1H), 8.01 (d,  $J$  = 7.9 Hz, 2H), 7.93 (d,  $J$  = 9.0 Hz, 1H), 7.83 (d,  $J$  = 7.9 Hz, 2H), 7.65 (d,  $J$  = 9.0 Hz,

1H), 7.59 (t,  $J = 2.7$  Hz, 1H), 7.20 (s, 1H), 4.48 (q,  $J = 7.1$  Hz, 2H), 4.13 (q,  $J = 7.1$  Hz, 2H), 1.35 (t,  $J = 7.1$  Hz, 3H), 1.02 ppm (t,  $J = 7.1$  Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz, DMSO- $d_6$ , 25 °C)  $\delta = 166.7, 166.2, 152.9, 144.6, 143.7, 140.0, 135.9, 132.3, 129.5, 125.5, 123.3, 119.9, 118.7, 117.4, 117.3, 116.7, 111.3, 102.3, 62.3, 61.8, 13.8, 13.4$  ppm; IR (KBr)  $\nu = 3201, 2925, 2228, 1730, 1607, 1548, 1250, 1224, 1028, 779, 539$   $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{24}\text{H}_{20}\text{N}_3\text{O}_4^+$ ,  $[\text{M} + \text{H}]^+$  414.1448, found 414.1447.

Diethyl 4-(4-nitrophenyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4j**): yellow solid (34.7 mg, 40% yield), mp: 169–171 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ , 25 °C)  $\delta = 12.06$  (s, 1H), 8.39 (d,  $J = 8.3$  Hz, 2H), 7.93 (t,  $J = 8.6$  Hz, 3H), 7.66 (d,  $J = 9.0$  Hz, 1H), 7.60 (t,  $J = 2.7$  Hz, 1H), 7.22 (s, 1H), 4.49 (q,  $J = 7.1$  Hz, 2H), 4.14 (q,  $J = 7.0$  Hz, 2H), 1.36 (t,  $J = 7.1$  Hz, 3H), 1.03 ppm (t,  $J = 7.1$  Hz, 3H).  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz, DMSO- $d_6$ , 25 °C)  $\delta = 166.6, 166.2, 152.6, 147.5, 146.4, 143.8, 140.0, 136.0, 130.0, 125.5, 123.5, 123.3, 119.8, 117.6, 117.4, 116.8, 102.4, 62.4, 61.9, 13.9, 13.4$  ppm; IR (KBr)  $\nu = 3389, 2927, 1729, 1602, 1520, 1347, 1250, 1027, 857, 745, 527$   $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{23}\text{H}_{20}\text{N}_3\text{O}_6^+$ ,  $[\text{M} + \text{H}]^+$  434.1347, found 434.1345.

Diethyl 4-(4-trifluoromethyl)phenyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4k**): yellow solid (39.2 mg, 43% yield), mp: 126–128 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ , 25 °C)  $\delta = 12.04$  (s, 1H), 7.93 (d,  $J = 9.1$  Hz, 1H), 7.92–7.84 (m, 4H), 7.66 (d,  $J = 9.0$  Hz, 1H), 7.59 (t,  $J = 2.6$  Hz, 1H), 7.21 (s, 1H), 4.49 (q,  $J = 7.1$  Hz, 2H), 4.13 (q,  $J = 7.1$  Hz, 2H), 1.35 (t,  $J = 7.1$  Hz, 3H), 0.99 ppm (t,  $J = 7.1$  Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz, DMSO- $d_6$ , 25 °C)  $\delta = 166.8, 166.3, 153.2, 144.1, 143.8, 139.9, 135.9, 129.0$  (d,  $^2J_{\text{C-F}} = 32$  Hz), 129.4, 125.4, 125.2 (q,  $^3J_{\text{C-F}} = 4$  Hz), 124.3 (d,  $^1J_{\text{C-F}} = 270$  Hz), 123.3, 120.0, 117.3, 117.3, 116.6, 102.3, 62.3, 61.7, 13.8, 13.2 ppm;  $^{19}\text{F}$  NMR (377 MHz, DMSO- $d_6$ , 25 °C)  $\delta = -61.1$  ppm; IR (KBr)  $\nu = 3379, 2984, 1730, 1615, 1551, 1324, 1128, 1028, 852, 746, 606$   $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{24}\text{H}_{20}\text{F}_3\text{N}_2\text{O}_4^+$ ,  $[\text{M} + \text{H}]^+$  457.1370, found 457.1361.

Diethyl 4-(2-methoxyphenyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4l**): yellow solid (46.8 mg, 56% yield), mp: 125–127 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ , 25 °C)  $\delta = 11.97$  (s, 1H), 7.88 (d,  $J = 9.0$  Hz, 1H), 7.62–7.53 (m, 3H), 7.45 (t,  $J = 7.7$  Hz, 1H), 7.13 (d,  $J = 7.4$  Hz, 2H), 7.05 (d,  $J = 8.3$  Hz, 1H), 4.46 (q,  $J = 7.0$  Hz, 2H), 4.05 (q,  $J = 6.7$  Hz, 2H), 3.67 (s, 3H), 1.35 (t,  $J = 7.1$  Hz, 3H), 1.01 ppm (t,  $J = 7.1$  Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz, DMSO- $d_6$ , 25 °C)  $\delta = 166.9,$

166.3, 156.2, 153.0, 144.0, 139.5, 135.7, 130.9, 130.1, 129.6, 125.1, 123.4, 121.0, 120.6, 117.4, 116.6, 116.3, 110.4, 102.2, 62.0, 61.0, 55.0, 13.9, 13.4 ppm; IR (KBr)  $\nu$  = 3202, 2982, 1730, 1589, 1551, 1355, 1246, 1028, 753, 599  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{24}\text{H}_{23}\text{N}_2\text{O}_5^+$ ,  $[\text{M} + \text{H}]^+$  419.1601, found 419.1600.

Diethyl 4-(naphthalen-2-yl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4m**): yellow solid (60.5 mg, 69% yield), mp: 199–201 °C;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 12.02 (s, 1H), 8.18 (s, 1H), 8.06 (t,  $J$  = 6.7 Hz, 2H), 8.02–7.98 (m, 1H), 7.92 (d,  $J$  = 9.0 Hz, 1H), 7.85 (dd,  $J$  = 8.4, 1.8 Hz, 1H), 7.67 (d,  $J$  = 9.0 Hz, 1H), 7.59 (t,  $J$  = 4.6 Hz, 3H), 7.26 (s, 1H), 4.50 (q,  $J$  = 7.1 Hz, 2H), 4.11 (q,  $J$  = 7.1 Hz, 2H), 1.36 (t,  $J$  = 7.1 Hz, 3H), 0.94 ppm (t,  $J$  = 7.1 Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 167.3, 166.4, 154.3, 143.3, 139.6, 137.5, 135.9, 132.8, 132.6, 128.4, 127.9, 127.9, 127.6, 126.9, 126.6, 126.4, 125.2, 123.4, 120.5, 117.4, 116.9, 116.3, 102.4, 62.3, 61.6, 13.9, 13.4 ppm; IR (KBr)  $\nu$  = 3381, 2980, 1727, 1550, 1243, 1021, 750, 479  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{27}\text{H}_{23}\text{N}_2\text{O}_4^+$ ,  $[\text{M} + \text{H}]^+$  439.1652, found 439.1644.

Diethyl 4-(furan-2-yl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4n**): yellow solid (39.3 mg, 52% yield), mp: 150–152 °C;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 11.98 (s, 1H), 7.92 (s, 1H), 7.86 (d,  $J$  = 8.9 Hz, 1H), 7.64–7.56 (m, 2H), 7.30 (d,  $J$  = 2.8 Hz, 1H), 7.25 (s, 1H), 6.74 (dd,  $J$  = 3.5, 1.8 Hz, 1H), 4.47 (q,  $J$  = 7.1 Hz, 2H), 4.33 (q,  $J$  = 7.1 Hz, 2H), 1.35 (t,  $J$  = 7.1 Hz, 3H), 1.25 ppm (t,  $J$  = 7.1 Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 167.0, 166.0, 152.4, 145.0, 143.6, 143.1, 138.7, 135.7, 125.1, 123.1, 118.8, 117.4, 116.8, 116.3, 112.4, 111.4, 102.4, 62.3, 61.7, 13.9, 13.8 ppm; IR (KBr)  $\nu$  = 3382, 2983, 1728, 1550, 1254, 1017, 748, 594, 437  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{21}\text{H}_{19}\text{N}_2\text{O}_5^+$ ,  $[\text{M} + \text{H}]^+$  379.1288, found 379.1289.

Diethyl 4-(thiophen-2-yl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4o**): yellow solid (36.2 mg, 46% yield), mp: 152–154 °C;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 11.99 (s, 1H), 7.86 (d,  $J$  = 9.0 Hz, 1H), 7.78 (d,  $J$  = 5.1 Hz, 1H), 7.64–7.56 (m, 2H), 7.38 (d,  $J$  = 3.6 Hz, 1H), 7.19 (d,  $J$  = 3.9 Hz, 2H), 4.48 (q,  $J$  = 6.9 Hz, 2H), 4.33 (q,  $J$  = 7.0 Hz, 2H), 1.36 (t,  $J$  = 7.0 Hz, 3H), 1.23 ppm (t,  $J$  = 7.0 Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 167.3, 166.1, 146.4, 143.4, 142.8, 138.8, 135.8, 129.7, 128.3, 127.4, 125.2, 122.9, 119.2, 117.4, 116.8, 116.2, 102.2, 62.4, 62.0, 13.9, 13.6 ppm; IR (KBr)  $\nu$  = 3388, 2981, 1727, 1549, 1252, 1025, 748, 534  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{21}\text{H}_{19}\text{N}_2\text{O}_4\text{S}^+$ ,  $[\text{M} + \text{H}]^+$  395.1060, found 395.1059.

Diethyl 4-phenethyl-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4p**): yellow solid (35.8 mg,

43% yield), mp: 172–174 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 11.93 (s, 1H), 7.83 (d,  $J$  = 9.0 Hz, 1H), 7.61 – 7.53 (m, 2H), 7.32–7.23 (m, 5H), 7.18 (d,  $J$  = 6.1 Hz, 1H), 4.45 (q,  $J$  = 7.1 Hz, 2H), 4.34 (q,  $J$  = 7.1 Hz, 2H), 3.44 (t,  $J$  = 6.3 Hz, 2H), 3.20–3.12 (m, 2H), 1.35 (t,  $J$  = 7.1 Hz, 3H), 1.29 ppm (t,  $J$  = 7.1 Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 166.8, 166.6, 156.4, 143.9, 141.5, 139.4, 135.7, 128.3, 125.9, 124.9, 123.0, 119.9, 117.6, 116.1, 116.0, 102.3, 62.0, 61.7, 38.1, 34.7, 13.9, 13.8 ppm; IR (KBr)  $\nu$  = 3369, 2982, 1721, 1555, 1242, 1019, 742, 508  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{25}\text{H}_{25}\text{N}_2\text{O}_4^+$ ,  $[\text{M} + \text{H}]^+$  417.1809, found 417.1808.

Diethyl 4-cyclopropyl-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4q**): yellow solid (40.8 mg, 58% yield), mp: 130–132 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 11.85 (s, 1H), 7.74 (d,  $J$  = 9.0 Hz, 1H), 7.53 (d,  $J$  = 9.0 Hz, 1H), 7.49 (t,  $J$  = 2.7 Hz, 1H), 7.09 (s, 1H), 4.44 (q,  $J$  = 7.1 Hz, 2H), 4.37 (q,  $J$  = 7.1 Hz, 2H), 2.54 (dt,  $J$  = 8.1, 3.6 Hz, 1H), 1.34 (dt,  $J$  = 8.8, 7.1 Hz, 6H), 1.27 (q,  $J$  = 3.6 Hz, 2H), 1.08 ppm (dq,  $J$  = 6.9, 3.3 Hz, 2H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 167.0, 166.6, 157.4, 144.0, 138.5, 135.6, 124.7, 122.8, 120.5, 117.6, 115.6, 115.5, 102.1, 62.1, 61.8, 14.9, 13.9, 13.9, 11.0 ppm; IR (KBr)  $\nu$  = 3381, 2983, 1726, 1556, 1418, 1248, 1181, 1023, 865, 744, 667, 533  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{20}\text{H}_{21}\text{N}_2\text{O}_4^+$ ,  $[\text{M} + \text{H}]^+$  353.1496, found 353.1495.

Diethyl 8-fluoro-4-(*p*-tolyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4r**): yellow oil (28.6 mg, 34% yield);  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 11.98 (s, 1H), 7.71 (d,  $J$  = 12.1 Hz, 1H), 7.56 (dd,  $J$  = 10.6, 5.2 Hz, 3H), 7.34 (d,  $J$  = 7.7 Hz, 2H), 7.17 (s, 1H), 4.42 (q,  $J$  = 7.1 Hz, 2H), 4.13 (q,  $J$  = 7.1 Hz, 2H), 2.40 (s, 3H), 1.35 (t,  $J$  = 7.0 Hz, 3H), 1.04 ppm (t,  $J$  = 7.0 Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 166.8, 166.6, 155.5, 152.3 (d,  $^1J_{\text{C-F}}$  = 245.0 Hz), 142.6 (d,  $^3J_{\text{C-F}}$  = 3.0 Hz), 138.7, 136.7, 136.6, 133.7 (d,  $^2J_{\text{C-F}}$  = 14.0 Hz), 129.0, 128.4, 125.3 (d,  $^4J_{\text{C-F}}$  = 3.0 Hz), 120.5, 120.4, 107.0 (d,  $^3J_{\text{C-F}}$  = 15.0 Hz), 102.3, 101.1 (d,  $^2J_{\text{C-F}}$  = 25.0 Hz), 62.1, 61.8, 20.9, 13.8, 13.4 ppm;  $^{19}\text{F}$  NMR (377 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = -123.6, -123.6 ppm; IR (KBr)  $\nu$  = 3381, 2925, 1725, 1549, 1356, 1230, 1013, 752, 502  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{24}\text{H}_{22}\text{FN}_2\text{O}_4^+$ ,  $[\text{M} + \text{H}]^+$  421.1558, found 421.1557.

Diethyl 8-bromo-4-(*p*-tolyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4s**): yellow oil (43.2 mg, 45% yield);  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 11.98 (s, 1H), 8.19 (s, 1H), 7.61–7.55 (m, 3H), 7.35 (d,  $J$  = 7.8 Hz, 2H), 7.19 (s, 1H), 4.40 (q,  $J$  = 6.3, 5.8 Hz, 2H), 4.15–4.09 (m, 2H), 2.40 (s, 3H), 1.34 (t,  $J$  = 7.2 Hz, 3H), 1.05 ppm (t,  $J$  = 7.1 Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,

DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 167.1, 166.5, 154.2, 144.2, 139.2, 138.8, 136.4, 134.9, 129.0, 128.4, 126.1, 123.8, 122.6, 122.1, 114.4, 109.0, 102.7, 62.3, 61.8, 20.9, 13.5, 13.4 ppm; IR (KBr)  $\nu$  = 3384, 2926, 1731, 1548, 1334, 1245, 1027, 775, 498 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>24</sub>H<sub>22</sub>BrN<sub>2</sub>O<sub>4</sub><sup>+</sup>, [M + H]<sup>+</sup> 481.0757, found 481.0756.

2,3-Diethyl 8-methyl 4-(*p*-tolyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3,8-tricarboxylate (**4t**): yellow oil (47.9 mg, 52% yield); <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 12.20 (s, 1H), 8.27 (s, 1H), 7.74 (t, *J* = 2.8 Hz, 1H), 7.53 (d, *J* = 7.7 Hz, 2H), 7.34 (d, *J* = 7.7 Hz, 2H), 7.21 (t, *J* = 2.4 Hz, 1H), 4.25 (q, *J* = 7.2 Hz, 2H), 4.15 (q, *J* = 7.1 Hz, 2H), 3.85 (s, 3H), 2.40 (s, 3H), 1.28 (t, *J* = 7.2 Hz, 3H), 1.09 ppm (t, *J* = 7.1 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 168.0, 167.4, 166.0, 154.0, 144.1, 138.5, 137.0, 136.5, 133.0, 128.8, 128.4, 128.1, 126.0, 123.8, 121.4, 119.9, 114.2, 103.0, 61.9, 61.7, 52.2, 20.9, 13.5, 13.5 ppm; IR (KBr)  $\nu$  = 3382, 2926, 1728, 1549, 1370, 1228, 1133, 753, 499 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>26</sub>H<sub>25</sub>N<sub>2</sub>O<sub>6</sub><sup>+</sup>, [M + H]<sup>+</sup> 461.1707, found 461.1706.

Diethyl 6-methyl-4-(*p*-tolyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4u**): yellow oil (44.9 mg, 54% yield); <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 7.93 (d, *J* = 9.1 Hz, 1H), 7.66 (d, *J* = 9.0 Hz, 1H), 7.57 (d, *J* = 7.8 Hz, 2H), 7.53 (d, *J* = 2.9 Hz, 1H), 7.32 (d, *J* = 7.8 Hz, 2H), 7.18 (d, *J* = 2.9 Hz, 1H), 4.48 (q, *J* = 7.1 Hz, 2H), 4.14 (q, *J* = 7.1 Hz, 2H), 3.97 (s, 3H), 2.38 (s, 3H), 1.35 (t, *J* = 7.1 Hz, 3H), 1.06 ppm (t, *J* = 7.2 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 167.3, 166.3, 154.4, 143.5, 139.2, 138.3, 137.1, 136.0, 129.4, 128.8, 128.4, 123.6, 120.5, 117.3, 116.0, 114.9, 101.5, 62.2, 61.5, 33.1, 20.8, 13.8, 13.4 ppm; IR (KBr)  $\nu$  = 3380, 2981, 1732, 1543, 1295, 1107, 1026, 744, 496 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>25</sub>H<sub>25</sub>N<sub>2</sub>O<sub>4</sub><sup>+</sup>, [M + H]<sup>+</sup> 417.1809, found 417.1808.

Dimethyl 4-(*p*-tolyl)-6*H*-azepino[4,3,2-*cd*]indole-2,3-dicarboxylate (**4v**): yellow oil (49.4 mg, 66% yield); <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.97 (s, 1H), 7.86 (d, *J* = 9.0 Hz, 1H), 7.64–7.55 (m, 4H), 7.34 (d, *J* = 7.7 Hz, 2H), 7.20 (s, 1H), 4.00 (s, 3H), 3.70 (s, 3H), 2.39 ppm (s, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 168.0, 166.9, 154.0, 143.8, 139.2, 138.4, 136.9, 135.8, 129.0, 128.4, 125.1, 123.3, 120.1, 117.4, 116.7, 116.0, 102.2, 53.3, 52.7, 20.9 ppm; IR (KBr)  $\nu$  = 3383, 2924, 1735, 1351, 1252, 1141, 1028, 749, 497 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>22</sub>H<sub>19</sub>N<sub>2</sub>O<sub>4</sub><sup>+</sup>, [M + H]<sup>+</sup> 375.1339, found 375.1339.

Methyl 4-(*p*-tolyl)-6*H*-azepino[4,3,2-*cd*]indole-3-carboxylate (**4w**): yellow solid (33.5 mg, 53%

yield), mp: 194–196 °C;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 11.85 (s, 1H), 8.77 (s, 1H), 7.74 (q,  $J$  = 8.7 Hz, 2H), 7.55 (d,  $J$  = 7.8 Hz, 2H), 7.51 (d,  $J$  = 2.8 Hz, 1H), 7.30 (d,  $J$  = 7.7 Hz, 2H), 7.15 (s, 1H), 3.72 (s, 3H), 2.39 ppm (s, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 168.4, 155.2, 143.9, 138.7, 137.8, 137.7, 136.2, 128.7, 128.6, 124.5, 123.1, 121.6, 121.2, 120.4, 115.3, 102.0, 52.2, 20.9 ppm; IR (KBr)  $\nu$  = 3379, 2925, 1721, 1603, 1378, 1258, 1112, 749, 585  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{20}\text{H}_{17}\text{N}_2\text{O}_2^+$ ,  $[\text{M} + \text{H}]^+$  317.1285, found 317.1284.

Ethyl 3-acetyl-4-(*p*-tolyl)-6*H*-azepino[4,3,2-*cd*]indole-2-carboxylate (**4x**): yellow solid (40.9 mg, 55% yield), mp: 191–193 °C;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 11.95 (s, 1H), 7.86 (d,  $J$  = 9.0 Hz, 1H), 7.62 (d,  $J$  = 9.0 Hz, 1H), 7.56 (d,  $J$  = 7.3 Hz, 3H), 7.38 (d,  $J$  = 7.8 Hz, 2H), 7.20 (s, 1H), 4.40 (q,  $J$  = 7.1 Hz, 2H), 2.40 (s, 3H), 2.05 (s, 3H), 1.31 ppm (t,  $J$  = 7.0 Hz, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 203.6, 166.7, 153.2, 143.6, 139.0, 137.9, 137.0, 135.5, 129.4, 129.3, 129.0, 125.0, 123.3, 117.4, 116.6, 116.4, 102.2, 62.0, 31.3, 20.9, 13.7 ppm; IR (KBr)  $\nu$  = 3212, 2982, 1731, 1692, 1544, 1353, 1218, 1029, 762, 501  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{23}\text{H}_{21}\text{N}_2\text{O}_3^+$ ,  $[\text{M} + \text{H}]^+$  373.1547, found 373.1541.

8,8-Dimethyl-11-(*p*-tolyl)-2,6,7,8,9,11-hexahydro-10*H*-benzo[6,7]azepino[4,3,2-*cd*]indol-10-one (**7a**): yellow oil (39.2 mg, 55% yield);  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 11.03 (s, 1H), 9.17 (s, 1H), 7.25 (t,  $J$  = 2.7 Hz, 1H), 7.05 (d,  $J$  = 7.7 Hz, 2H), 6.93 (d,  $J$  = 8.2 Hz, 3H), 6.81 (s, 1H), 6.77 (d,  $J$  = 8.3 Hz, 1H), 5.07 (s, 1H), 2.58 (s, 2H), 2.19 (d,  $J$  = 16.2 Hz, 2H), 2.15 (s, 3H), 1.06 (s, 3H), 0.97 ppm (s, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 193.0, 151.5, 147.0, 135.3, 134.0, 128.4, 127.6, 126.9, 124.3, 122.9, 116.5, 114.8, 107.3, 106.7, 98.5, 50.4, 40.4, 39.5, 32.1, 29.3, 26.8, 20.5 ppm; IR (KBr)  $\nu$  = 3203, 2925, 1682, 1542, 1405, 1026, 755, 553  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{24}\text{H}_{25}\text{N}_2\text{O}^+$ ,  $[\text{M} + \text{H}]^+$  357.1961, found 357.1957.

11-(4-Chlorophenyl)-8,8-dimethyl-2,6,7,8,9,11-hexahydro-10*H*-benzo[6,7]azepino[4,3,2-*cd*]indol-10-one (**7b**): yellow oil (38.4 mg, 51% yield);  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 11.08 (s, 1H), 9.26 (s, 1H), 7.27 (t,  $J$  = 2.8 Hz, 1H), 7.22–7.15 (m, 4H), 6.96 (d,  $J$  = 8.3 Hz, 1H), 6.83 (s, 1H), 6.77 (d,  $J$  = 8.3 Hz, 1H), 5.15 (s, 1H), 2.59 (s, 2H), 2.21 (d,  $J$  = 16.0 Hz, 1H), 2.01 (d,  $J$  = 18.0 Hz, 1H), 1.05 (s, 3H), 0.96 ppm (s, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO-}d_6$ , 25 °C)  $\delta$  = 193.1, 151.8, 148.7, 135.4, 129.8, 128.9, 127.8, 127.6, 124.5, 122.9, 116.5, 114.0, 107.0, 106.8, 98.6, 50.3, 40.4, 39.4, 2.1, 29.2, 26.8 ppm; IR (KBr)  $\nu$  = 3278, 2927, 1602, 1487, 1259, 1026, 888,

782, 529  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{23}\text{H}_{22}\text{ClN}_2\text{O}^+$ ,  $[\text{M} + \text{H}]^+$  377.1415, found 377.1415.

4-(8,8-Dimethyl-10-oxo-6,7,8,9,10,11-hexahydro-2*H*-benzo[6,7]azepino[4,3,2-*cd*]indol-11-yl)benzonitrile (**7c**): yellow oil (26.4 mg, 36% yield);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ , TMS, 25  $^\circ\text{C}$ )  $\delta$  = 8.57 (s, 1H), 7.43–7.35 (m, 4H), 7.19 (t,  $J$  = 2.9 Hz, 1H), 7.02 (d,  $J$  = 8.3 Hz, 1H), 6.93 (s, 1H), 6.82 (d,  $J$  = 8.4 Hz, 1H), 6.53 (s, 1H), 5.44 (s, 1H), 2.55–2.44 (m, 2H), 2.25 (q,  $J$  = 16.4 Hz, 2H), 1.10 (s, 3H), 0.99 ppm (s, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{CDCl}_3$ , 25  $^\circ\text{C}$ )  $\delta$  = 195.1, 153.9, 151.1, 135.7, 132.2, 128.6, 127.7, 124.5, 124.2, 119.5, 116.3, 114.5, 109.3, 108.6, 107.7, 97.9, 50.8, 42.2, 40.7, 32.8, 29.5, 27.4 ppm; IR (KBr)  $\nu$  = 3285, 2957, 2226, 1602, 1485, 1257, 1150, 887, 751, 558  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{24}\text{H}_{22}\text{N}_3\text{O}^+$ ,  $[\text{M} + \text{H}]^+$  368.1757, found 368.1758.

11-(*p*-Tolyl)-2,6,7,8,9,11-hexahydro-10*H*-benzo[6,7]azepino[4,3,2-*cd*]indol-10-one (**7d**): yellow oil (33.1 mg, 53% yield);  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO}-d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 11.03 (s, 1H), 9.22 (s, 1H), 7.25 (t,  $J$  = 2.8 Hz, 1H), 7.03 (d,  $J$  = 7.7 Hz, 2H), 6.93 (d,  $J$  = 7.6 Hz, 3H), 6.80 (t,  $J$  = 6.2 Hz, 2H), 5.10 (s, 1H), 2.77 (dt,  $J$  = 17.0, 4.8 Hz, 1H), 2.67–2.59 (m, 1H), 2.25–2.17 (m, 2H), 2.15 (s, 3H), 1.95 (dd,  $J$  = 8.6, 4.1 Hz, 1H), 1.85 ppm (dd,  $J$  = 11.3, 6.2 Hz, 1H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz,  $\text{DMSO}-d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 193.4, 153.3, 146.9, 135.2, 134.0, 128.4, 127.6, 126.9, 124.2, 122.8, 116.4, 114.7, 108.5, 106.7, 98.5, 36.8, 27.0, 21.1, 20.5 ppm; IR (KBr)  $\nu$  = 3275, 2924, 1602, 1486, 1413, 1356, 1181, 1025, 762, 522  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{22}\text{H}_{21}\text{N}_2\text{O}^+$ ,  $[\text{M} + \text{H}]^+$  329.1648, found 329.1643.

Methyl 2,8,8-Trimethyl-11-(*p*-tolyl)-2,6,7,8,9,11-hexahydro-10*H*-benzo[6,7]azepino[4,3,2-*cd*]indol-10-one (**7e**): yellow solid (43.7mg, 59% yield), mp: 273–275  $^\circ\text{C}$ ;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO}-d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 9.17 (s, 1H), 7.23 (d,  $J$  = 3.2 Hz, 1H), 7.03 (d,  $J$  = 7.7 Hz, 2H), 6.92 (d,  $J$  = 7.6 Hz, 3H), 6.83 (d,  $J$  = 8.4 Hz, 1H), 6.78 (d,  $J$  = 3.2 Hz, 1H), 5.07 (s, 1H), 3.69 (s, 3H), 2.57 (s, 2H), 2.19 (d,  $J$  = 16.5 Hz, 1H), 2.15 (s, 3H), 1.98 (d,  $J$  = 8.0 Hz, 1H), 1.05 (s, 3H), 0.96 ppm (s, 3H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{DMSO}-d_6$ , 25  $^\circ\text{C}$ )  $\delta$  = 193.0, 151.4, 146.9, 135.8, 134.0, 128.5, 128.4, 127.7, 126.9, 123.0, 116.6, 115.1, 107.3, 104.9, 97.7, 50.3, 40.4, 32.5, 32.1, 29.3, 26.7, 20.5 ppm; IR (KBr)  $\nu$  = 3280, 2925, 1712, 1598, 1483, 1385, 1265, 1028, 758, 592  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{25}\text{H}_{27}\text{N}_2\text{O}^+$ ,  $[\text{M} + \text{H}]^+$  371.2118, found 371.2115.

2-Methyl-4-(*p*-tolyl)-6*H*-azepino[4,3,2-*cd*]indole (**4y**): yellow oil (42.5 mg, 78% yield);  $^1\text{H}$  NMR

(400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.61 (s, 1H), 8.24 (d, *J* = 7.9 Hz, 2H), 7.87 (s, 1H), 7.68 (q, *J* = 8.9 Hz, 2H), 7.45 (t, *J* = 2.7 Hz, 1H), 7.35 (d, *J* = 7.8 Hz, 2H), 7.21 (t, *J* = 2.4 Hz, 1H), 2.76 (s, 3H), 2.39 ppm (s, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 153.5, 144.7, 143.3, 138.5, 136.6, 135.0, 129.3, 126.8, 124.1, 123.8, 121.4, 116.9, 116.3, 113.7, 102.0, 20.9, 19.3 ppm; IR (KBr)  $\nu$  = 3257, 2922, 1596, 1361, 1092, 822, 745, 562, 478 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>19</sub>H<sub>17</sub>N<sub>2</sub><sup>+</sup>, [M + H]<sup>+</sup> 273.1386, found 273.1386.

10-(*p*-Tolyl)-2,7,8,9-tetrahydrocyclopenta[6,7]azepino[4,3,2-*cd*]indole (**4z**): yellow oil (38.2 mg, 64% yield); <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.58 (s, 1H), 7.86 (d, *J* = 7.7 Hz, 2H), 7.66 (d, *J* = 8.7 Hz, 1H), 7.52 (d, *J* = 8.7 Hz, 1H), 7.42 (t, *J* = 2.6 Hz, 1H), 7.34 (d, *J* = 7.7 Hz, 2H), 7.12 (s, 1H), 3.31 (t, *J* = 7.5 Hz, 2H), 3.25 (t, *J* = 7.3 Hz, 2H), 2.40 (s, 3H), 2.19 ppm (p, *J* = 7.4 Hz, 2H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 152.4, 151.3, 142.5, 137.9, 137.5, 134.5, 131.3, 128.8, 128.6, 123.9, 123.5, 119.5, 117.4, 114.0, 101.8, 33.3, 31.2, 24.7, 20.9 ppm; IR (KBr)  $\nu$  = 3220, 2924, 1602, 1367, 1026, 825, 749, 563, 485 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>21</sub>H<sub>19</sub>N<sub>2</sub><sup>+</sup>, [M + H]<sup>+</sup> 299.1543, found 299.1542.

11-(*p*-Tolyl)-7,8,9,10-tetrahydro-2*H*-benzo[6,7]azepino[4,3,2-*cd*]indole (**4aa**): yellow oil (42.5 mg, 68% yield); <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.54 (s, 1H), 7.66 (s, 2H), 7.46 (d, *J* = 7.6 Hz, 2H), 7.38 (t, *J* = 2.6 Hz, 1H), 7.29 (d, *J* = 7.7 Hz, 2H), 7.02 (d, *J* = 2.4 Hz, 1H), 3.20 (t, *J* = 6.6 Hz, 2H), 2.71 (t, *J* = 6.1 Hz, 2H), 2.39 (s, 3H), 1.90 (q, *J* = 6.4, 6.0 Hz, 2H), 1.74–1.65 ppm (m, 2H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 157.7, 141.8, 140.9, 138.8, 136.8, 134.4, 128.9, 128.5, 125.1, 124.1, 123.6, 120.9, 115.8, 113.7, 101.6, 28.3, 25.9, 22.6, 22.1, 20.9 ppm; IR (KBr)  $\nu$  = 3189, 2926, 1510, 1363, 1115, 1019, 743, 575, 442 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>22</sub>H<sub>21</sub>N<sub>2</sub><sup>+</sup>, [M + H]<sup>+</sup> 313.1699, found 313.1699.

11-(*p*-Tolyl)-2,7,8,10-tetrahydropyrano[3',4':6,7]azepino[4,3,2-*cd*]indole (**4ab**): yellow oil (50.3 mg, 80% yield); <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 11.63 (s, 1H), 7.73–7.62 (m, 2H), 7.49 (d, *J* = 7.6 Hz, 2H), 7.43 (t, *J* = 2.7 Hz, 1H), 7.32 (d, *J* = 7.7 Hz, 2H), 7.07 (s, 1H), 4.72 (s, 2H), 4.08 (t, *J* = 5.9 Hz, 2H), 3.24 (t, *J* = 5.9 Hz, 2H), 2.40 ppm (s, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$  = 154.4, 141.5, 139.2, 137.5, 137.2, 134.7, 128.7, 128.7, 124.0, 123.9, 123.3, 120.3, 115.6, 114.2, 101.7, 66.4, 64.0, 25.1, 20.9 ppm; IR (KBr)  $\nu$  = 3217, 2924, 1594, 1361, 1115, 1026, 879, 754, 580, 465 cm<sup>-1</sup>; HRMS (ESI, TOF) *m/z*: calcd for C<sub>21</sub>H<sub>19</sub>N<sub>2</sub>O<sup>+</sup>, [M + H]<sup>+</sup> 315.1492, found 315.1486.

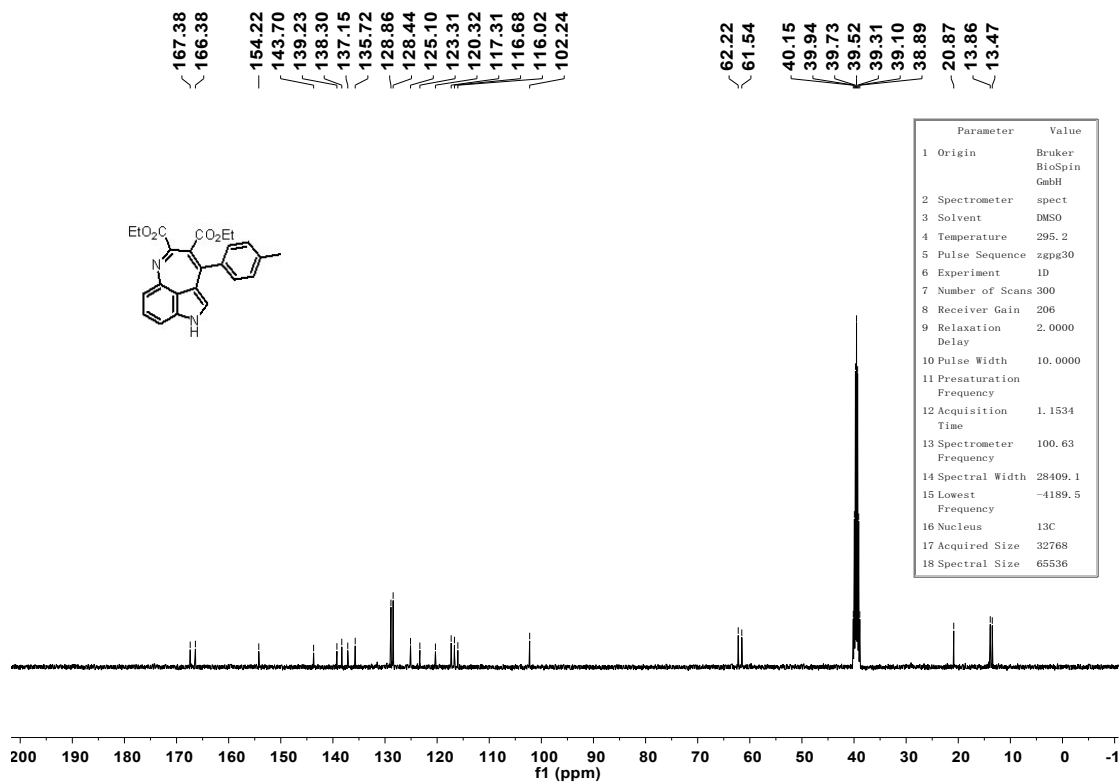
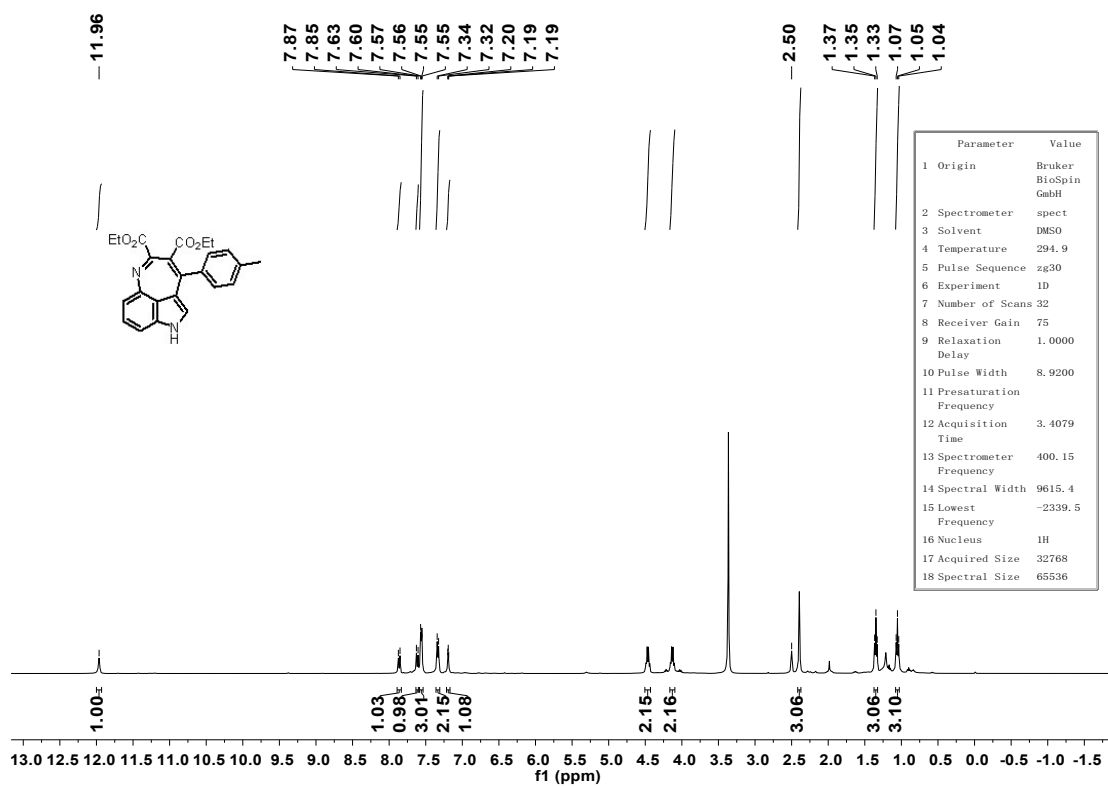
12-(*p*-Tolyl)-2,7,8,9,10,11-hexahydrocyclohepta[6,7]azepino[4,3,2-*cd*]indole (**4ac**): yellow oil (34.6 mg, 53% yield);  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 11.54 (s, 1H), 7.86 (d,  $J$  = 9.1 Hz, 1H), 7.65 (d,  $J$  = 9.0 Hz, 1H), 7.39 (t,  $J$  = 6.9 Hz, 3H), 7.31 (d,  $J$  = 7.6 Hz, 2H), 7.02 (s, 1H), 3.34 (s, 2H), 2.98–2.89 (m, 2H), 2.40 (s, 3H), 1.85 (q,  $J$  = 5.8 Hz, 2H), 1.71 (p,  $J$  = 5.4 Hz, 2H), 1.59 ppm (p,  $J$  = 5.5 Hz, 2H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 156.8, 149.3, 141.7, 139.4, 136.6, 134.3, 130.7, 129.0, 128.5, 124.1, 123.5, 120.4, 116.5, 114.0, 101.8, 31.3, 30.0, 28.0, 27.1, 26.2, 20.8 ppm; IR (KBr)  $\nu$  = 3216, 2921, 1507, 1359, 1003, 759, 577, 485  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{23}\text{H}_{23}\text{N}_2^+$ ,  $[\text{M} + \text{H}]^+$  327.1856, found 327.1850.

2,4-Diphenyl-6*H*-azepino[4,3,2-*cd*]indole (**4ad**): colorless oil (45.1 mg, 47% yield);  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 11.70 (s, 1H), 8.40 (d,  $J$  = 7.6 Hz, 2H), 7.89 (s, 1H), 7.64 (q,  $J$  = 6.8, 4.9 Hz, 4H), 7.60 (s, 1H), 7.58 (d,  $J$  = 3.0 Hz, 1H), 7.55 (d,  $J$  = 7.5 Hz, 2H), 7.49 (d,  $J$  = 8.4 Hz, 3H), 7.30 ppm (s, 1H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 153.6, 148.7, 144.0, 139.2, 139.0, 134.9, 129.6, 129.1, 128.7, 128.6, 128.2, 127.1, 124.1, 119.9, 118.1, 115.9, 114.5, 102.1 ppm; IR (KBr)  $\nu$  = 3224, 2924, 1557, 1407, 1364, 1027, 876, 746, 699, 602  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{23}\text{H}_{17}\text{N}_2^+$ ,  $[\text{M} + \text{H}]^+$  321.1386, found 321.1380.

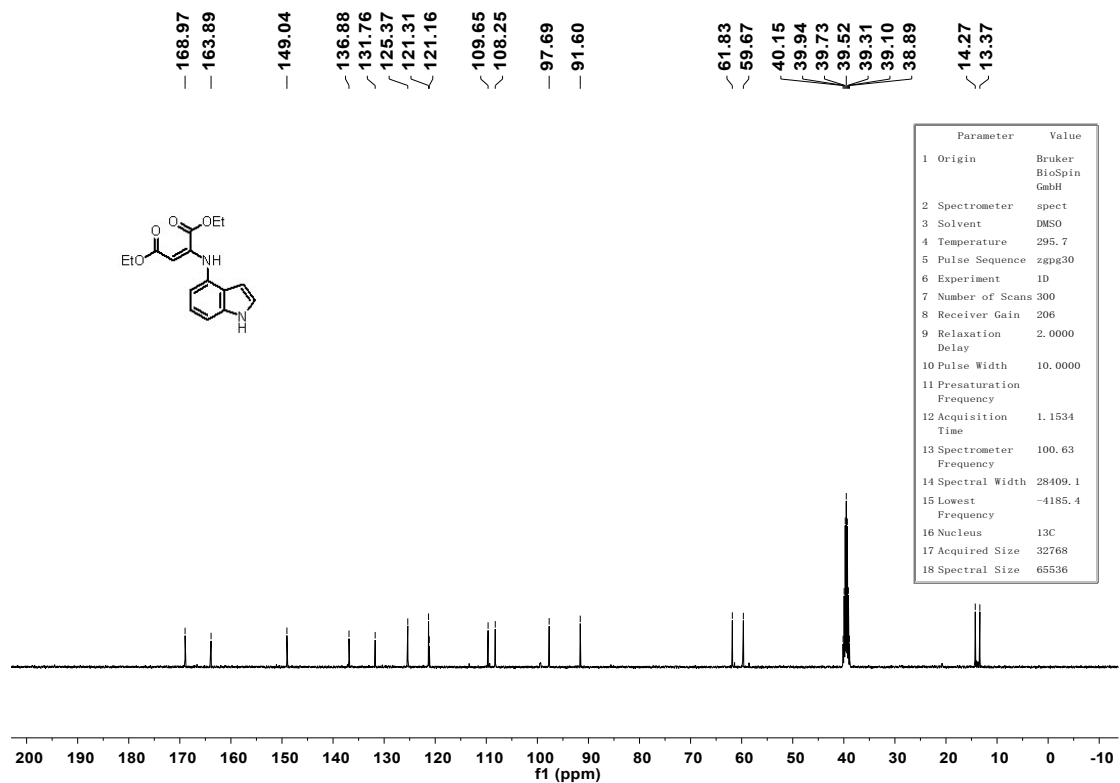
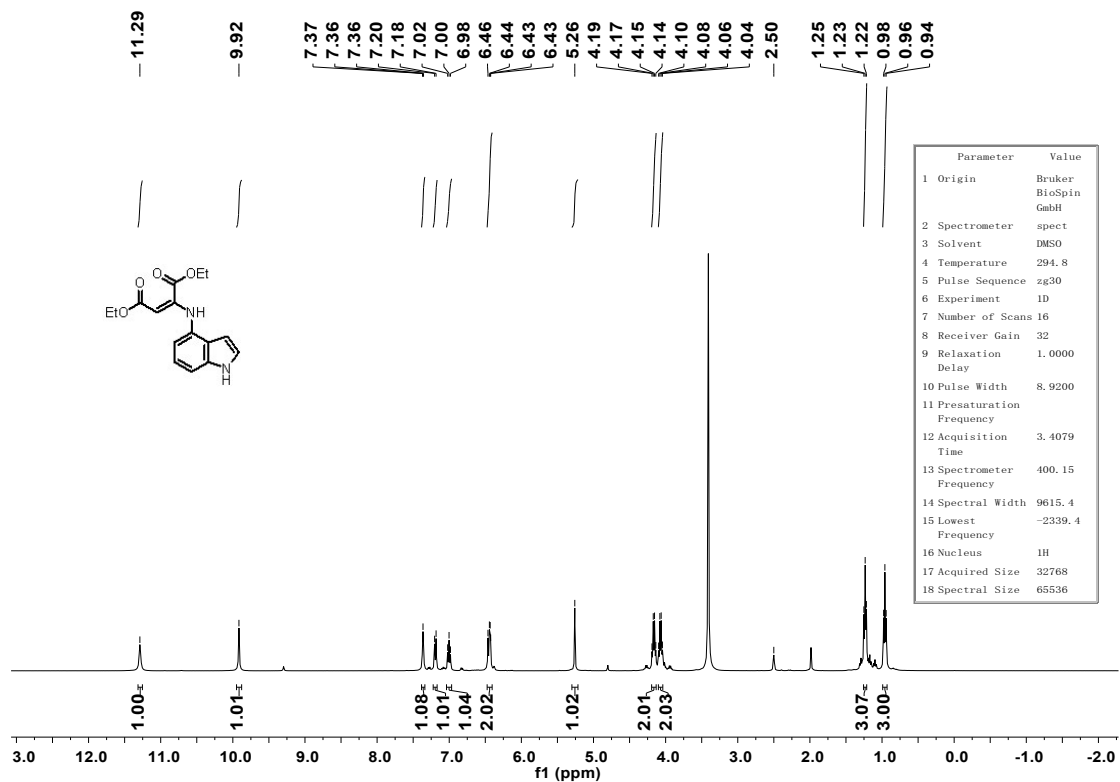
4-(*p*-Tolyl)-1,3,4,6-tetrahydro-2*H*-azepino[4,3,2-*cd*]indol-2-one (**8a**): black solid (63.0 mg, 76% yield), mp: 106–108 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 11.08 (s, 1H), 10.26 (s, 1H), 7.26 (t,  $J$  = 2.7 Hz, 1H), 7.09–7.01 (m, 4H), 6.98 (d,  $J$  = 8.2 Hz, 1H), 6.84 (s, 1H), 6.70 (d,  $J$  = 8.2 Hz, 1H), 4.28 (t,  $J$  = 5.7 Hz, 1H), 2.91 (dd,  $J$  = 15.8, 6.5 Hz, 1H), 2.69 (dd,  $J$  = 15.8, 5.3 Hz, 1H), 2.24 ppm (s, 3H);  $^{13}\text{C}\{^1\text{H}\}$  NMR (100 MHz, DMSO- $d_6$ , 25 °C)  $\delta$  = 169.7, 140.9, 136.1, 135.4, 129.5, 129.0, 127.2, 124.6, 121.6, 117.1, 114.6, 105.7, 98.8, 40.7, 39.2, 20.6 ppm; IR (KBr)  $\nu$  = 3214, 2924, 1671, 1590, 1495, 1377, 1026, 749, 501  $\text{cm}^{-1}$ ; HRMS (ESI, TOF)  $m/z$ : calcd for  $\text{C}_{18}\text{H}_{17}\text{N}_2\text{O}^+$ ,  $[\text{M} + \text{H}]^+$  277.1335, found 277.1338.

## 5. $^1\text{H}$ NMR and $^{13}\text{C}$ NMR spectra

4a



5a

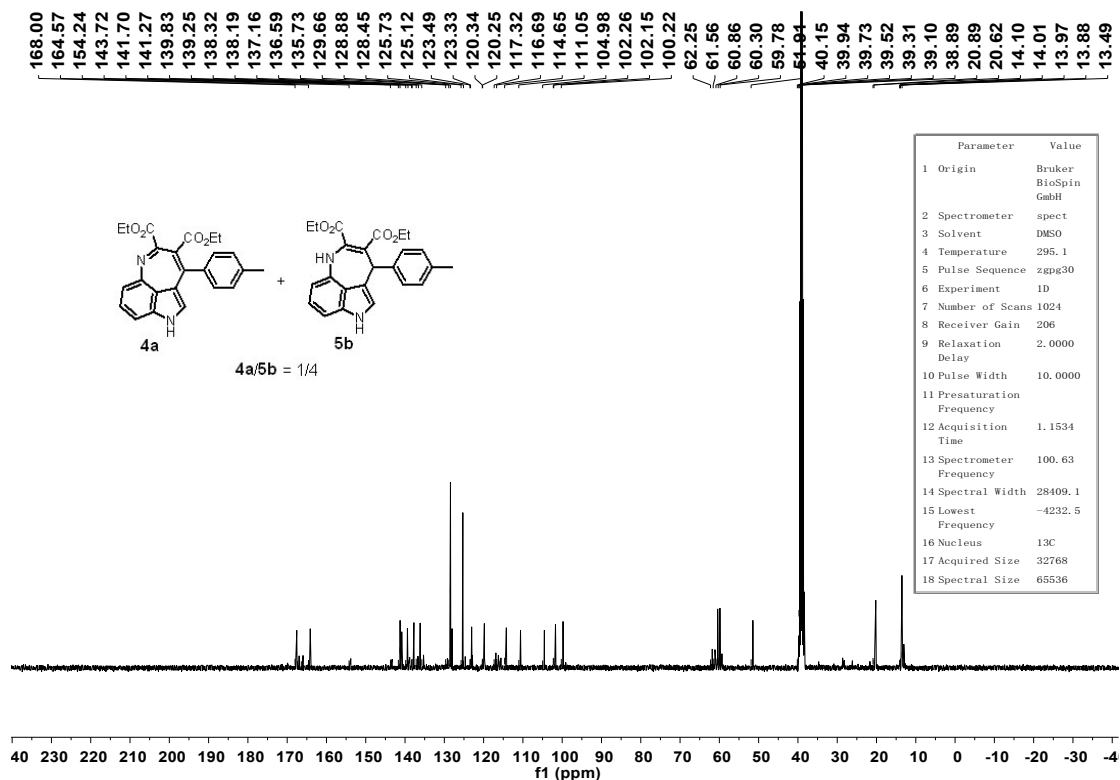


Chemical structures of **4a** and **5b** are shown, with a ratio of **4a/5b = 1/4**.

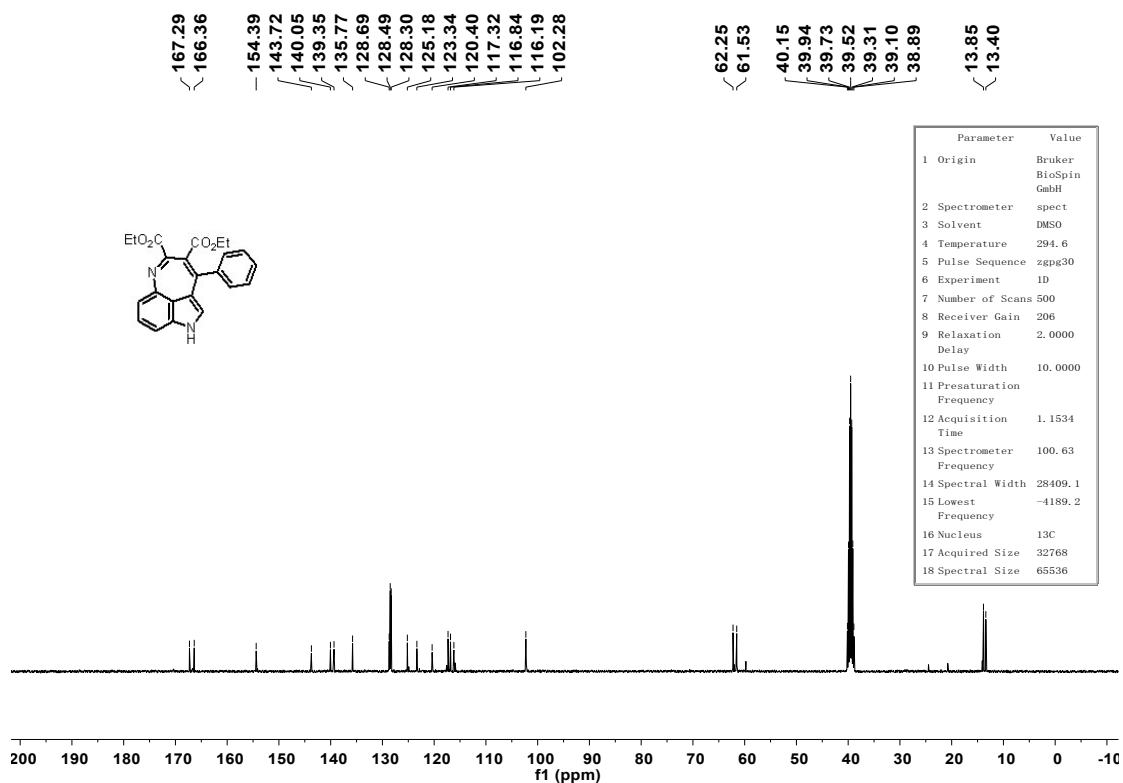
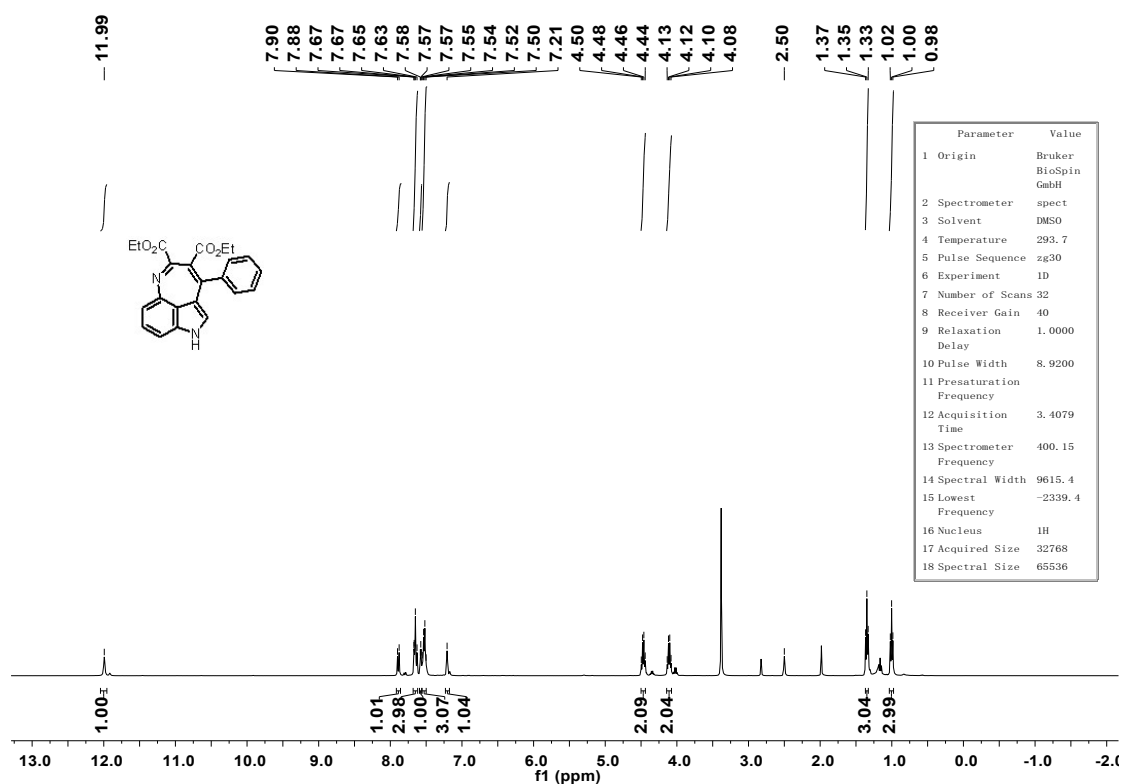
**1H NMR Spectrum (DMSO-d<sub>6</sub>):**

- Chemical Shifts (ppm):** 11.96, 11.17, 7.87, 7.85, 7.62, 7.60, 7.57, 7.56, 7.55, 7.54, 7.34, 7.32, 7.20, 7.18, 7.14, 7.13, 7.13, 7.05, 7.03, 6.66, 6.64, 6.60, 6.58, 5.58, 5.57, 4.33, 4.32, 4.30, 4.28, 4.12, 4.11, 4.09, 4.07, 4.05, 4.04, 2.50, 2.40, 2.20, 1.36, 1.34, 1.32, 1.30, 1.28, 1.27, 1.27, 1.18, 1.16, 1.15, 1.07, 1.05, 1.03.
- Integration Values:** 1.00, 4.01, 1.08, 1.09, 8.01, 2.06, 8.03, 4.02, 8.03, 4.03, 4.00, 2.26, 8.09, 8.43, 2.01, 3.00, 12.08, 3.29, 12.28, 12.26, 3.33.

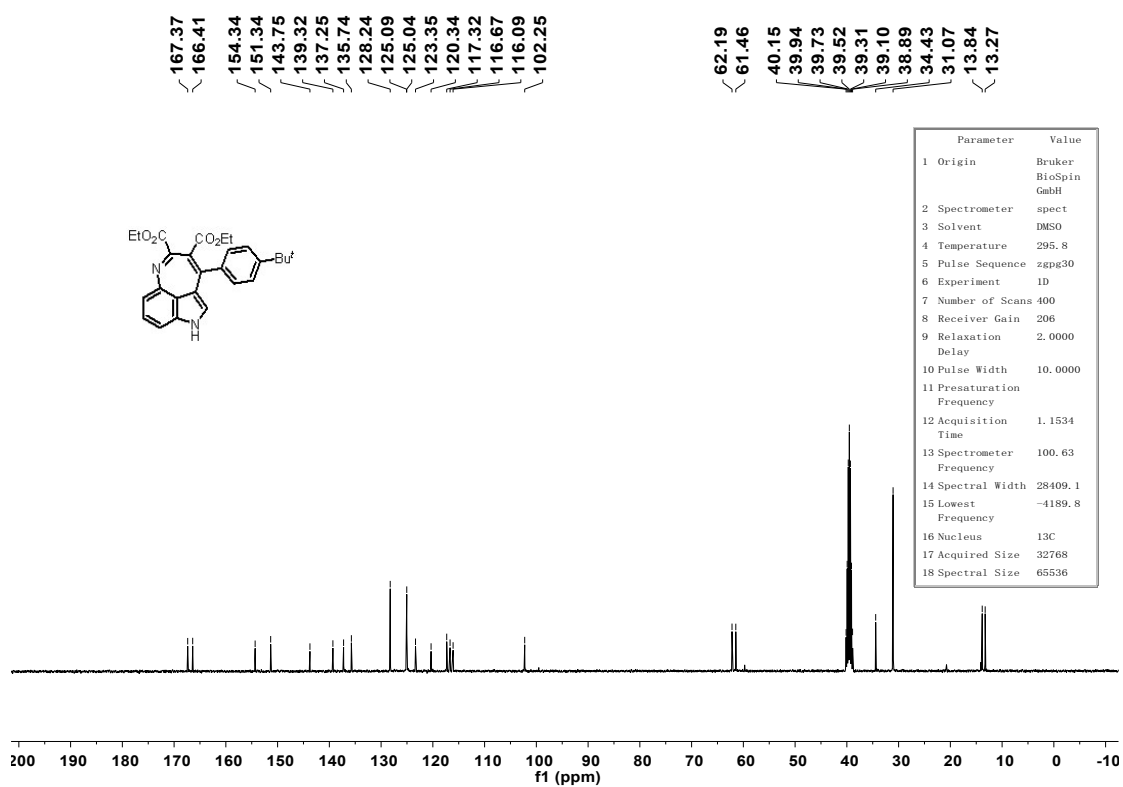
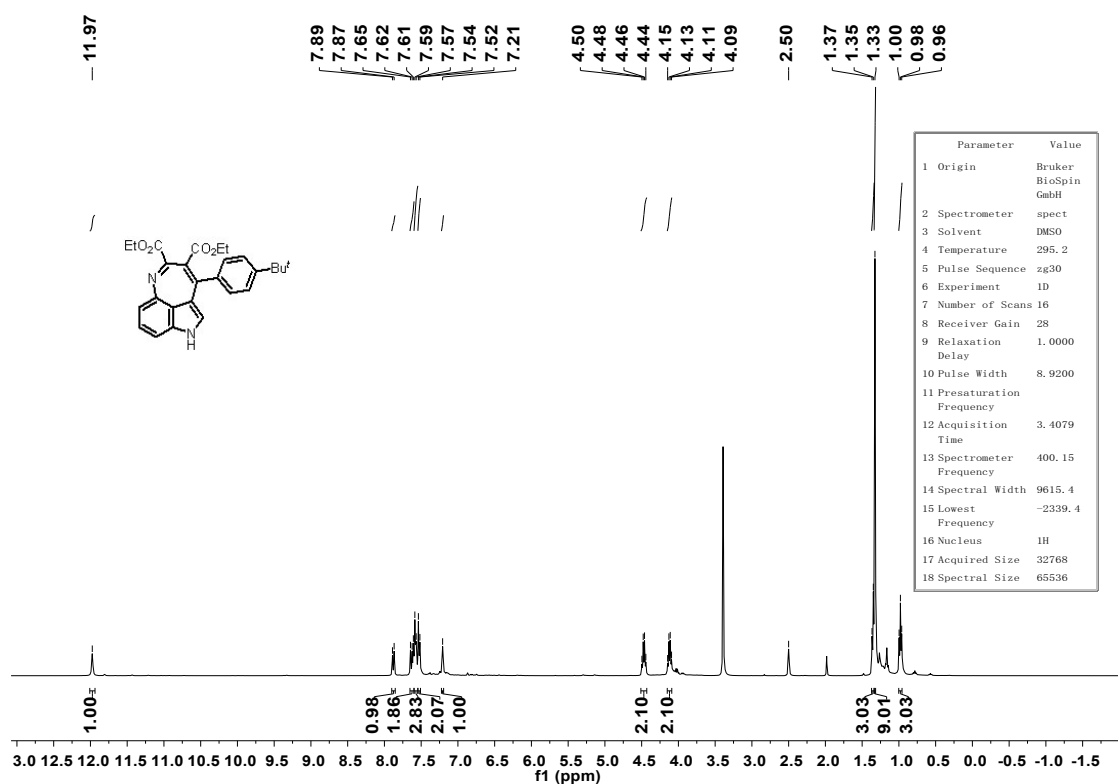
| Parameter                  | Value   |
|----------------------------|---------|
| 1 Origin                   | Bruker  |
|                            | BioSpin |
|                            | GabH    |
| 2 Spectrometer             | spect   |
| 3 Solvent                  | DMSO    |
| 4 Temperature              | 294.5   |
| 5 Pulse Sequence           | zg30    |
| 6 Experiment               | 1D      |
| 7 Number of Scans          | 16      |
| 8 Receiver Gain            | 40      |
| 9 Relaxation Delay         | 1.0000  |
| 10 Pulse Width             | 8.9200  |
| 11 Presaturation Frequency |         |
| 12 Acquisition Time        | 3.4079  |
| 13 Spectrometer Frequency  | 400.15  |
| 14 Spectral Width          | 9615.4  |
| 15 Lowest Frequency        | -2342.2 |
| 16 Nucleus                 | 1H      |
| 17 Acquired Size           | 32768   |
| 18 Spectral Size           | 65536   |



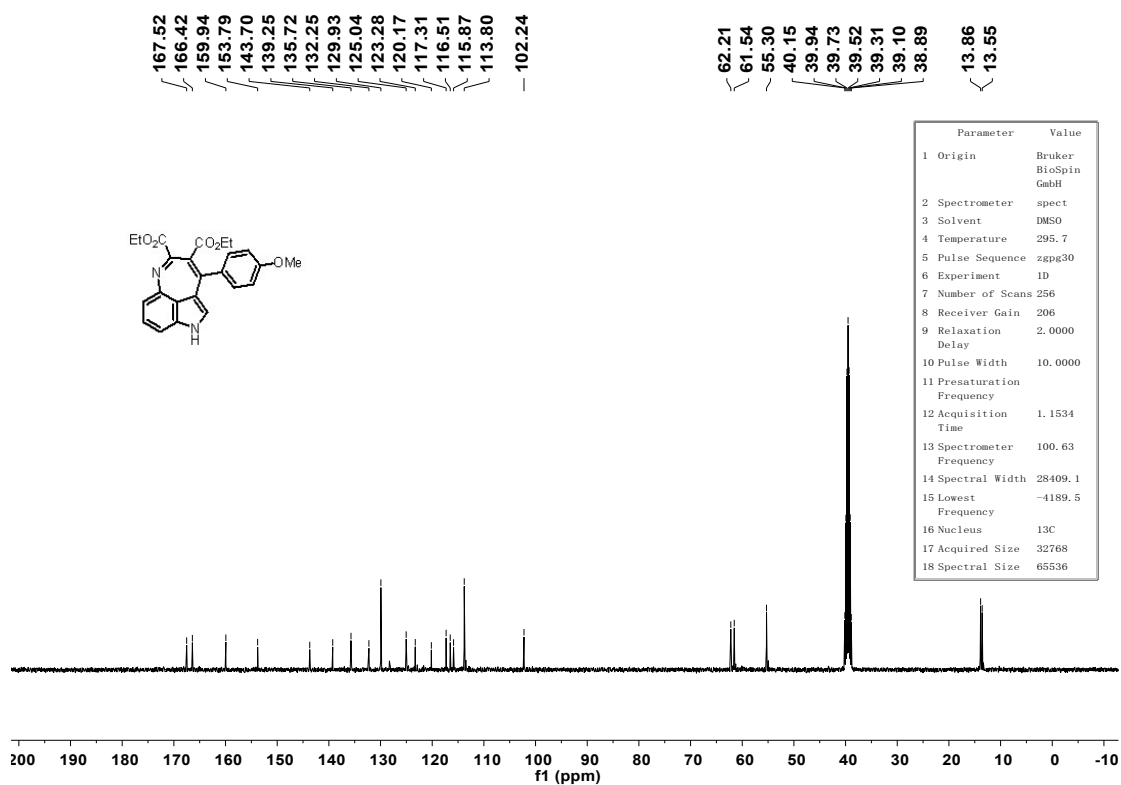
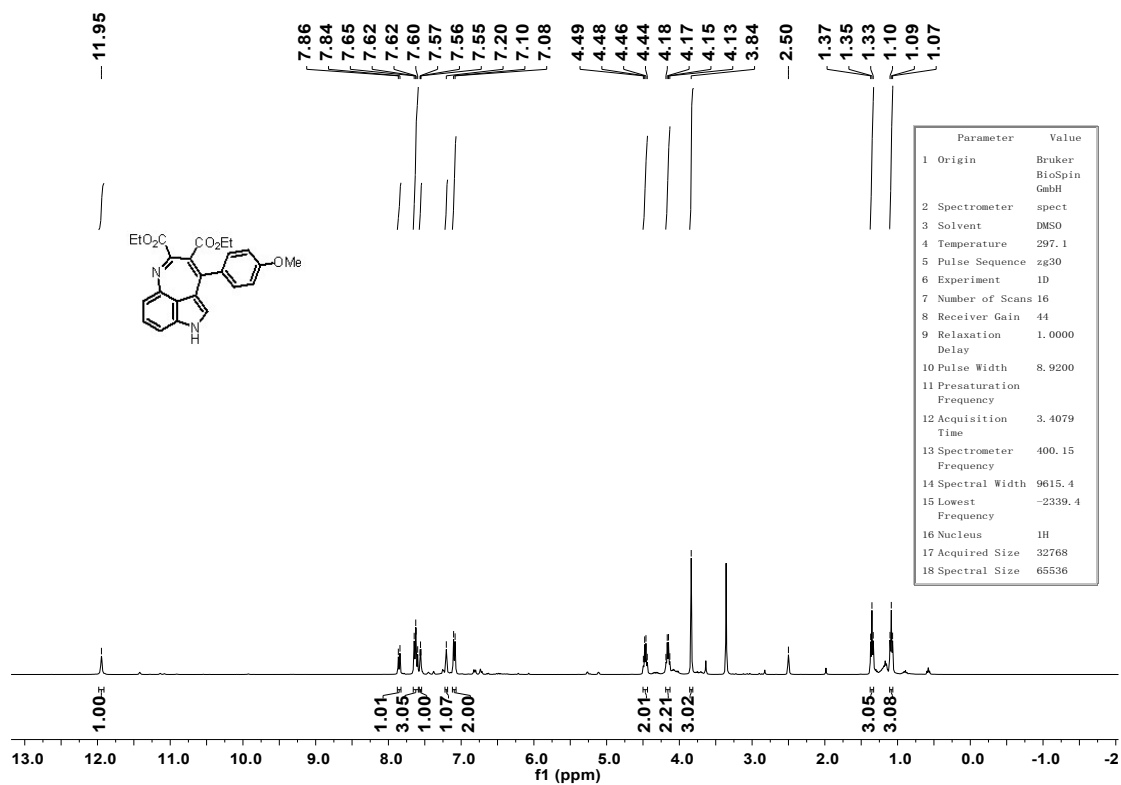
4b



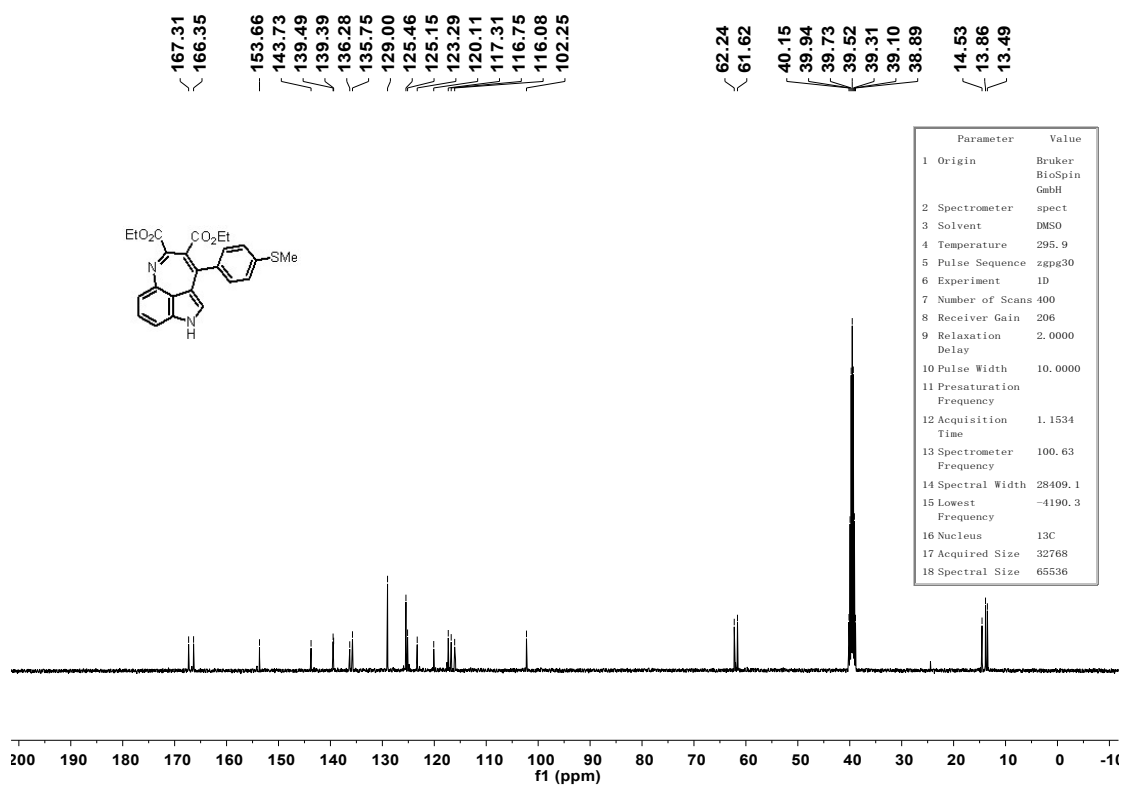
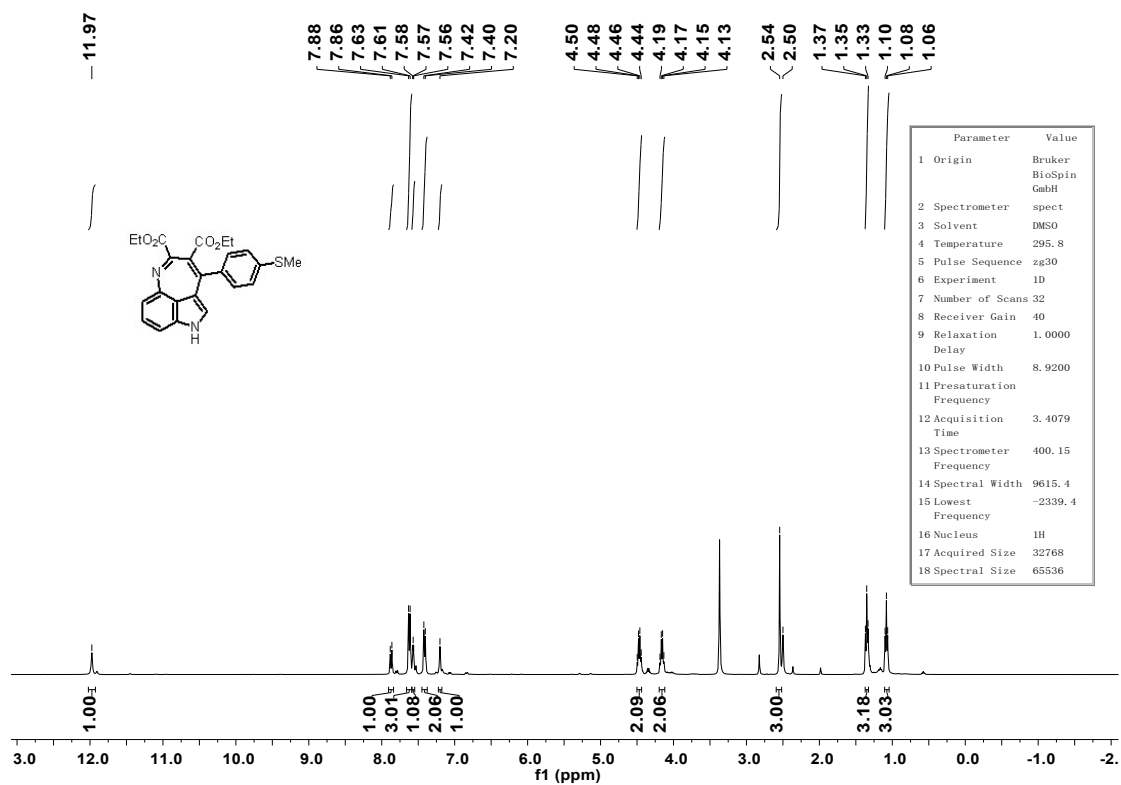
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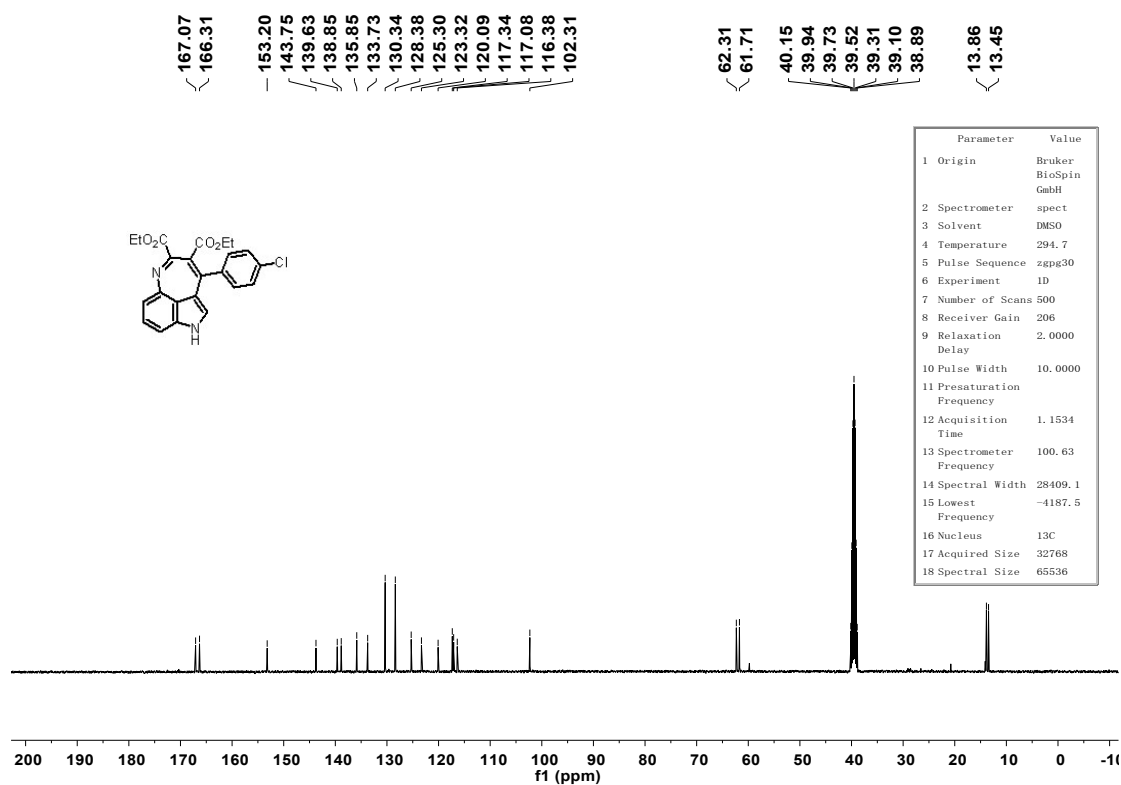
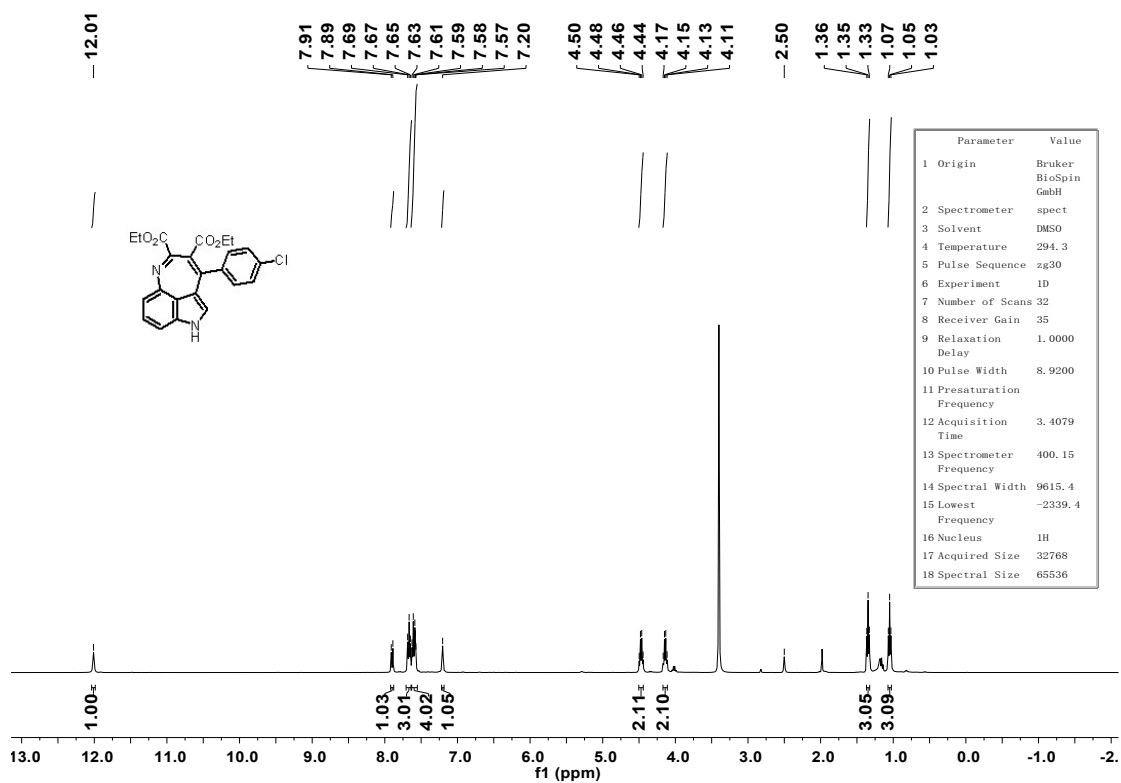
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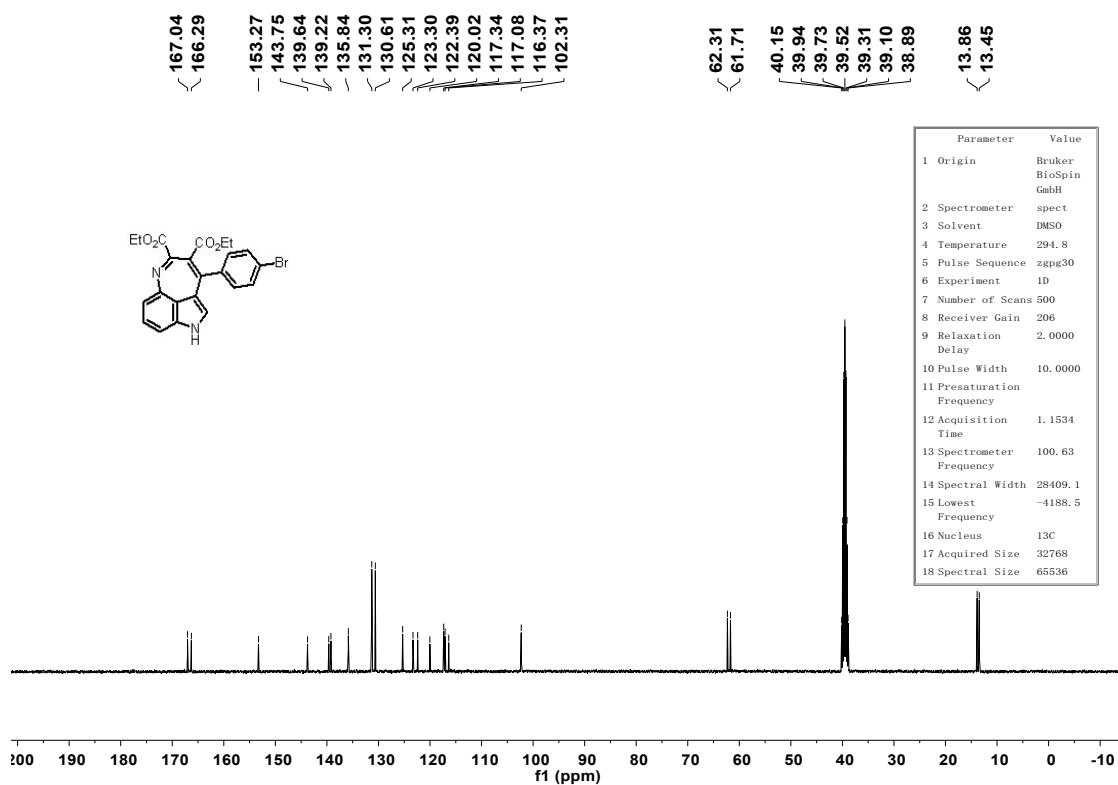
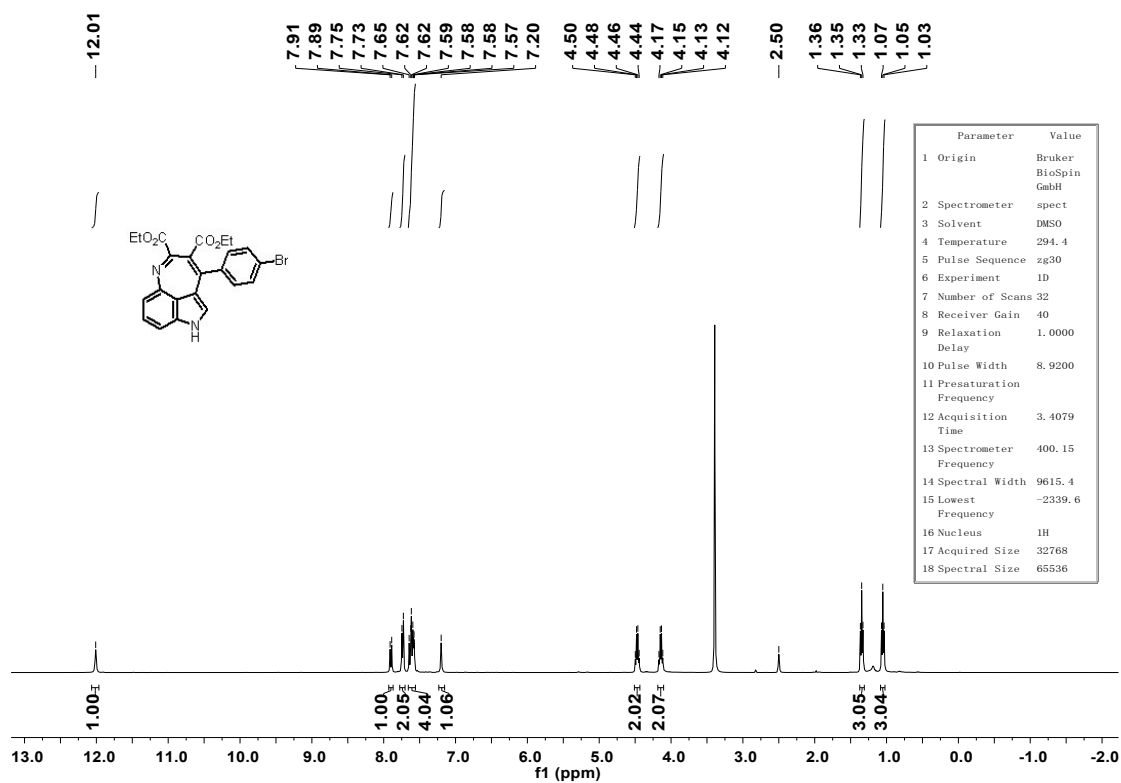
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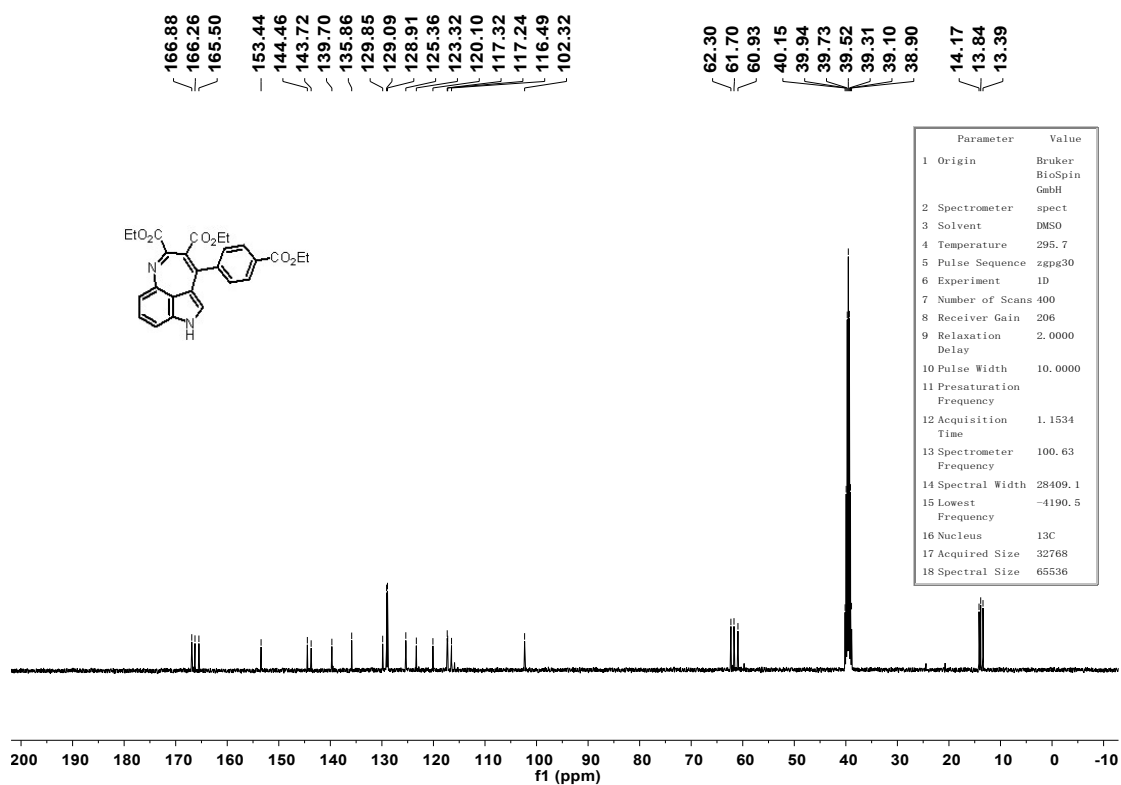
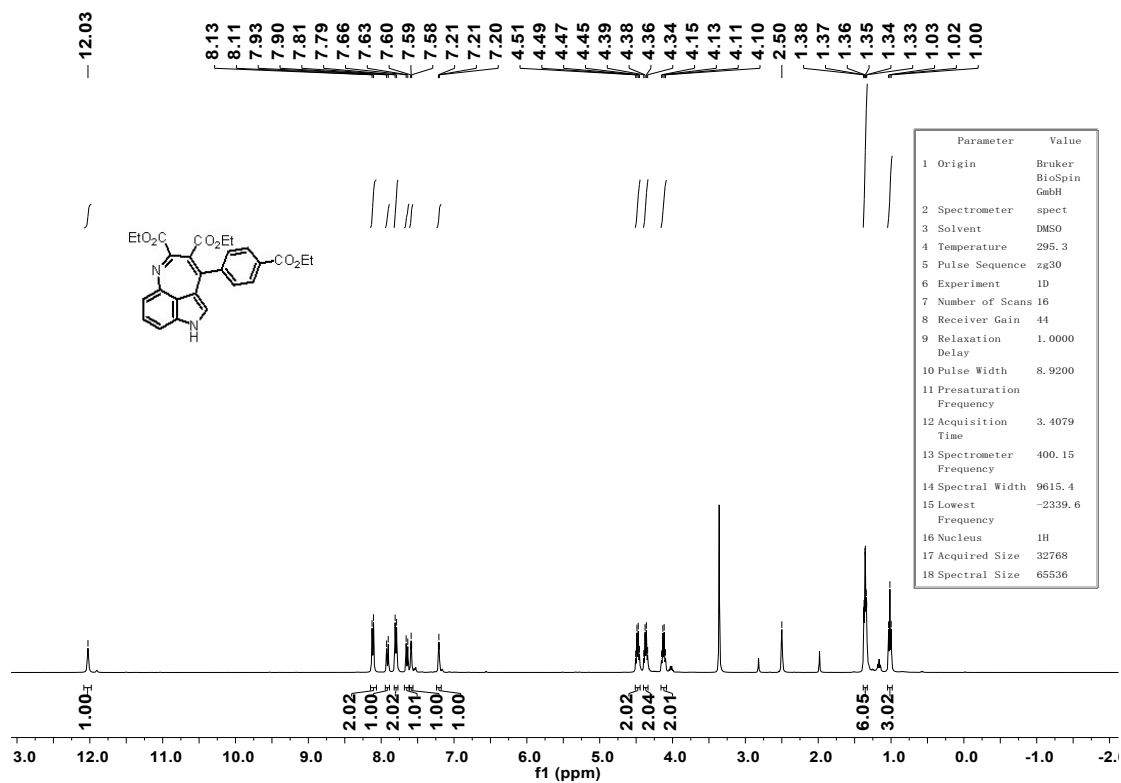
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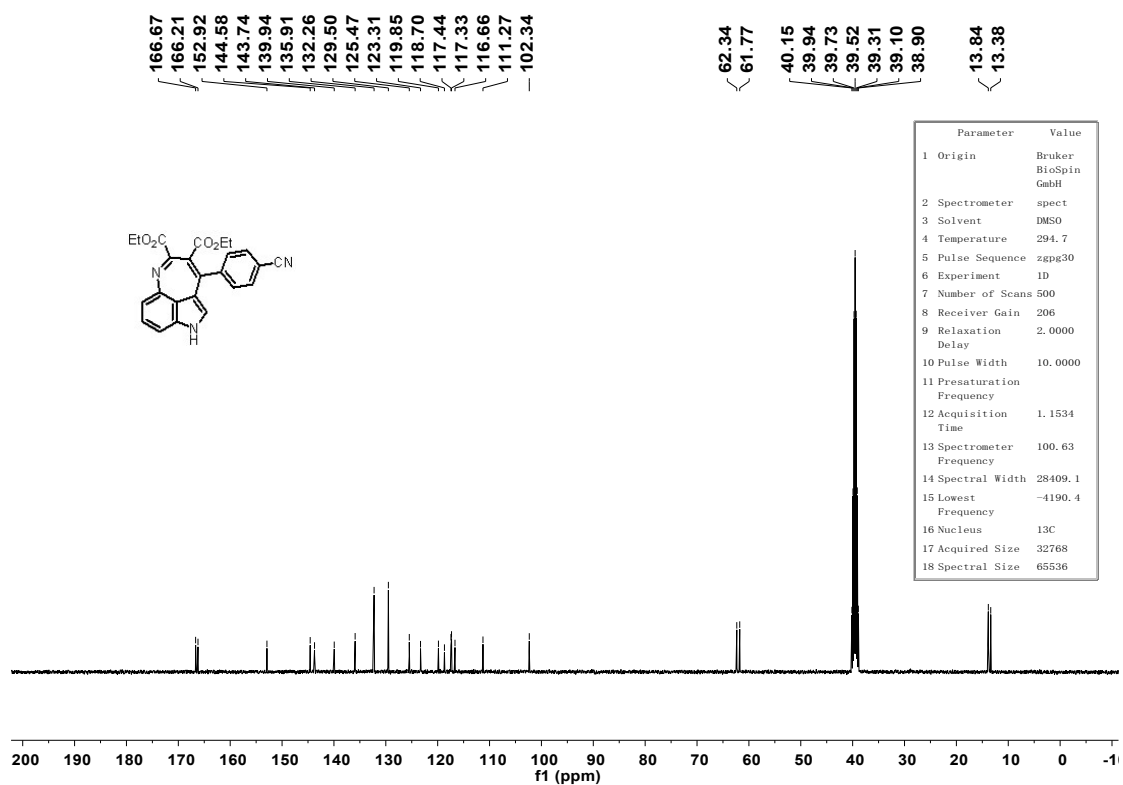
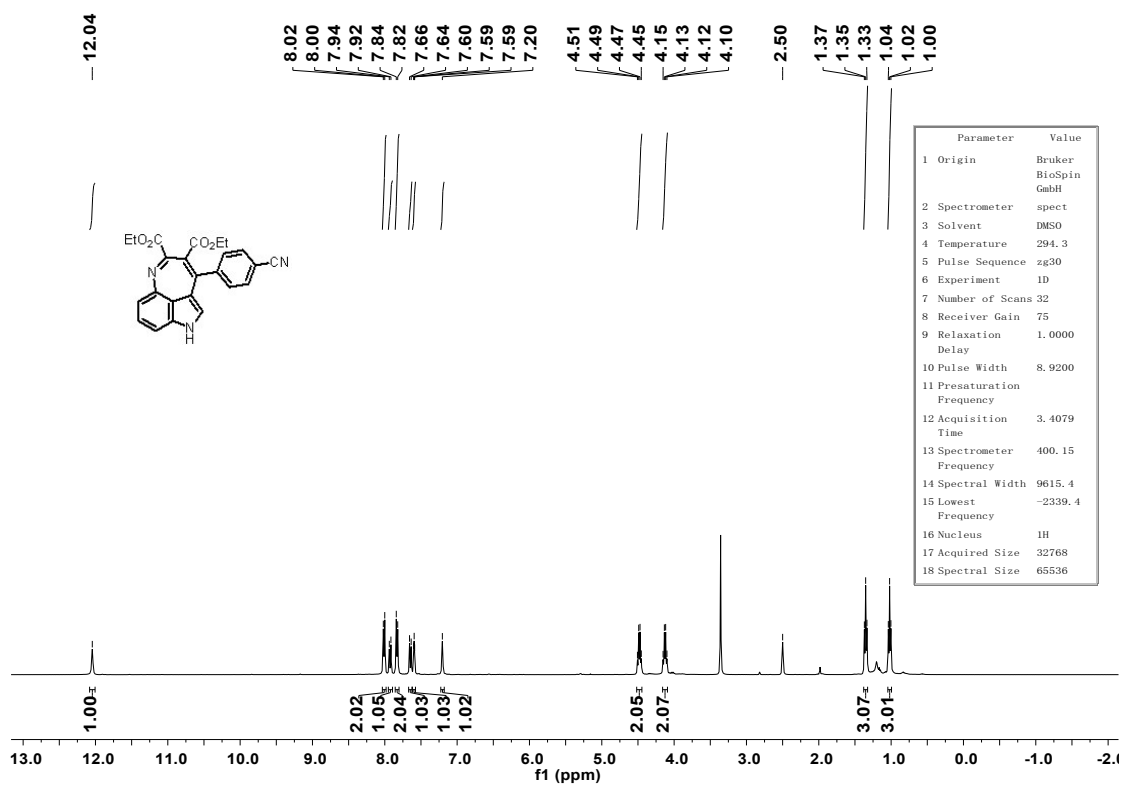
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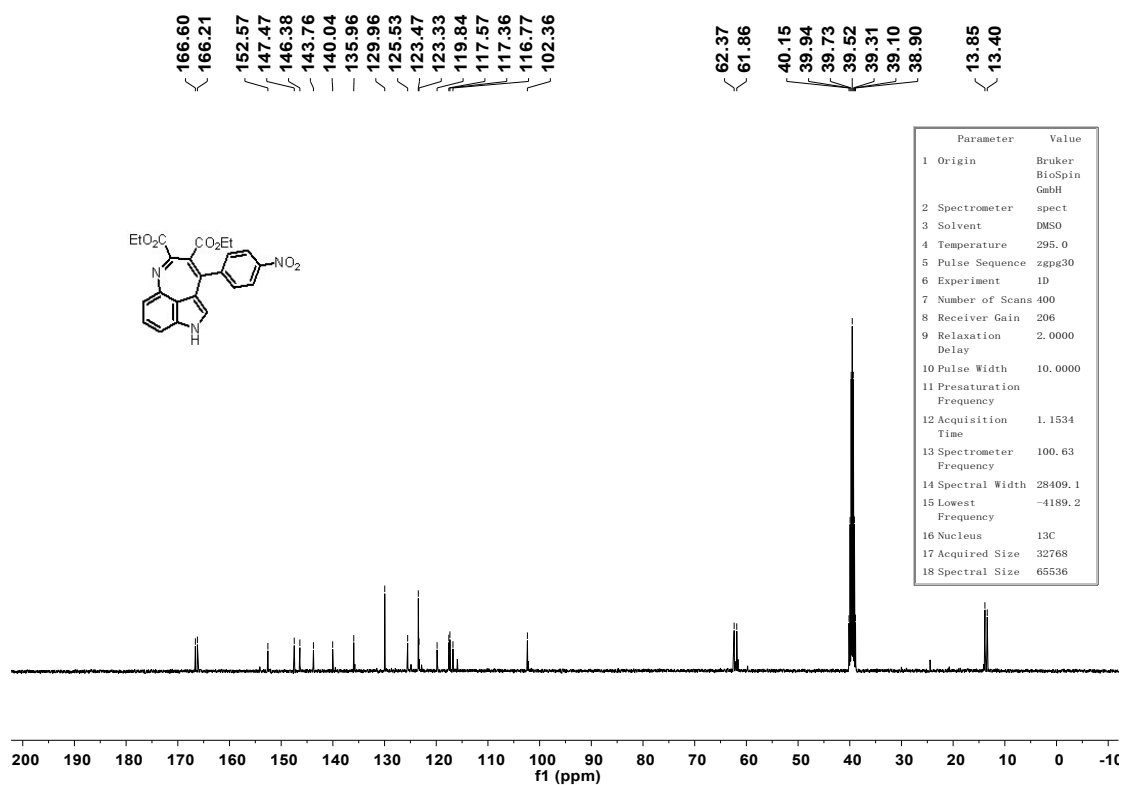
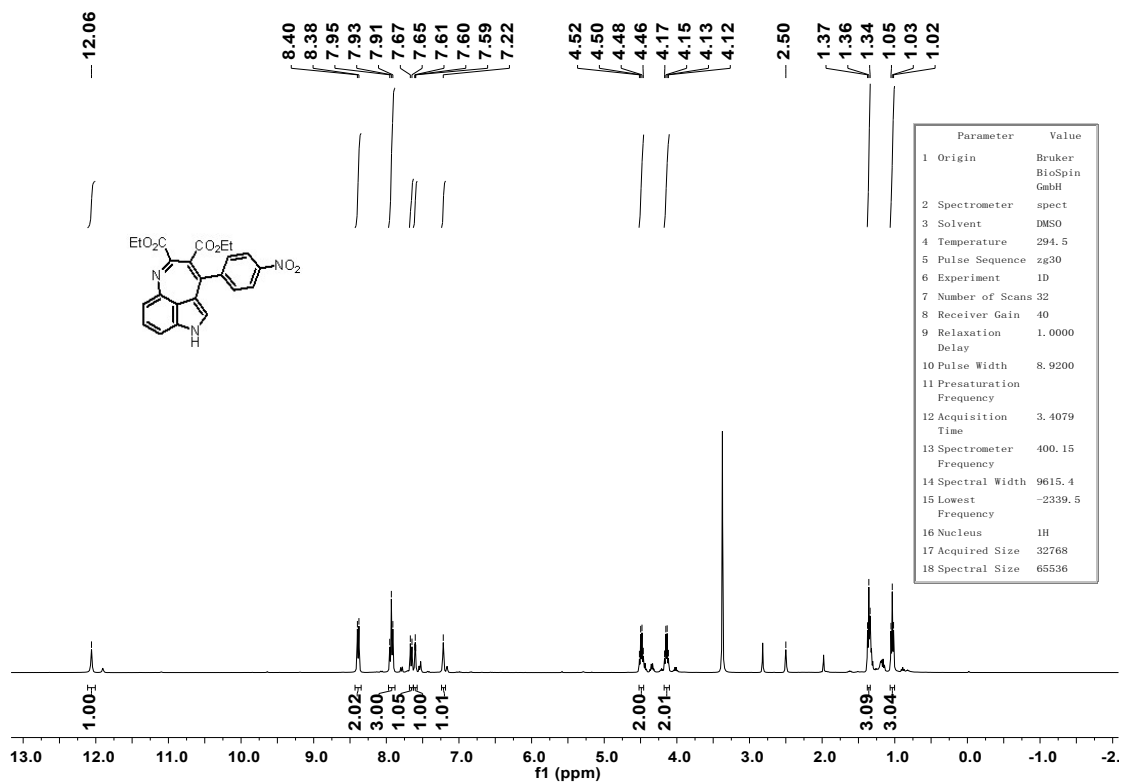
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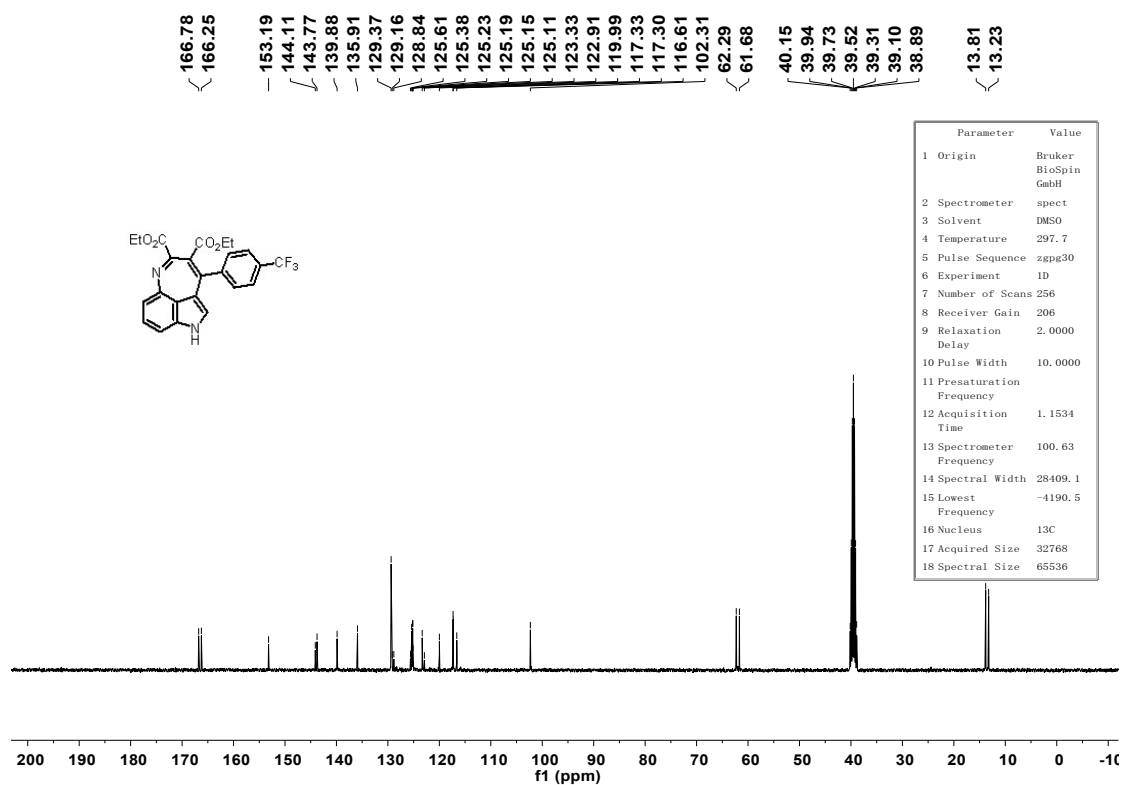
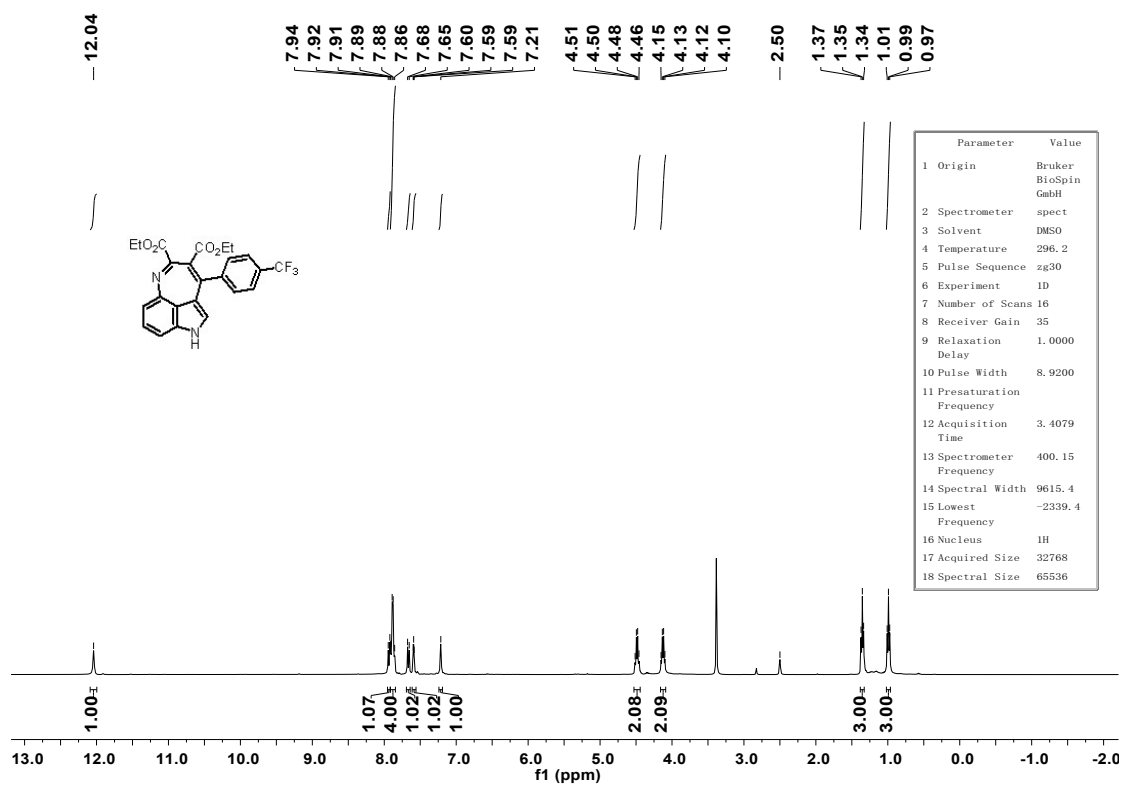
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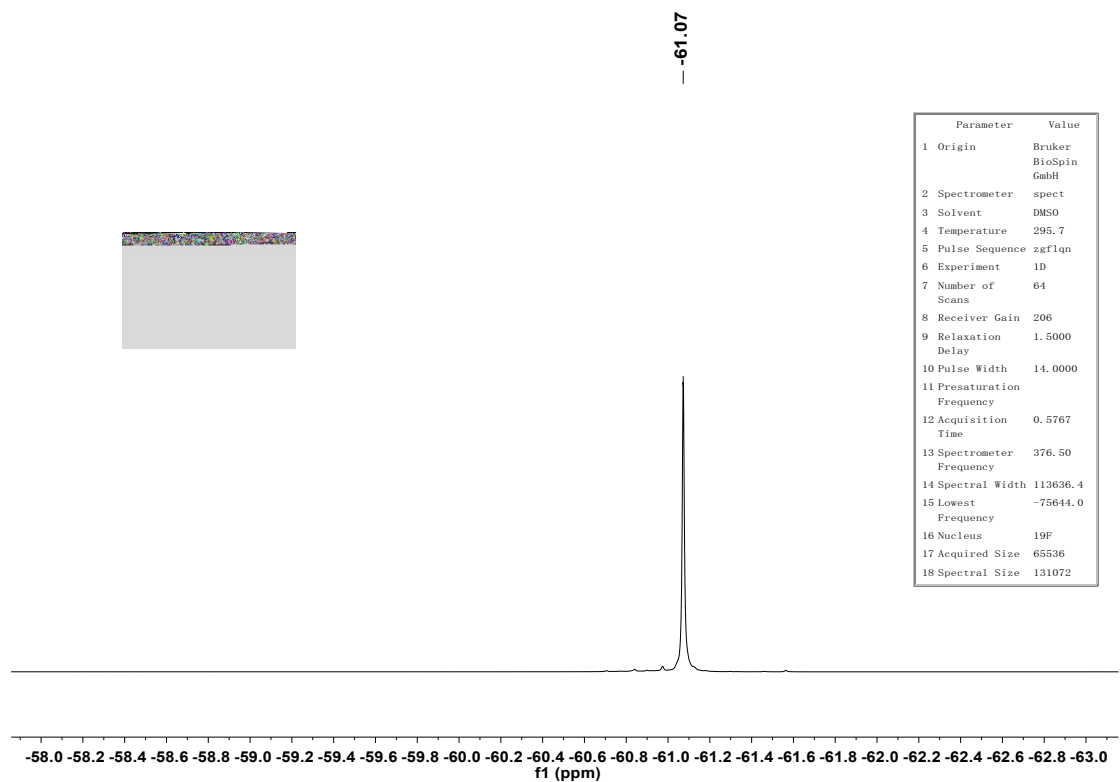


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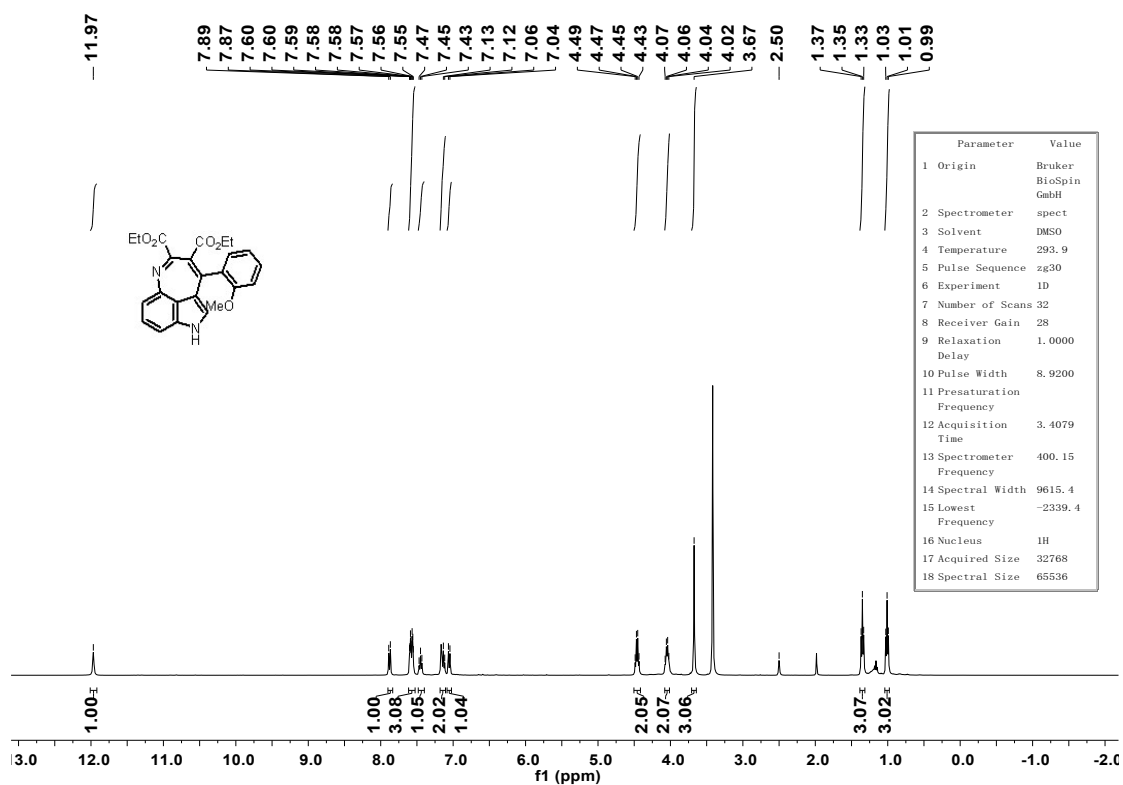


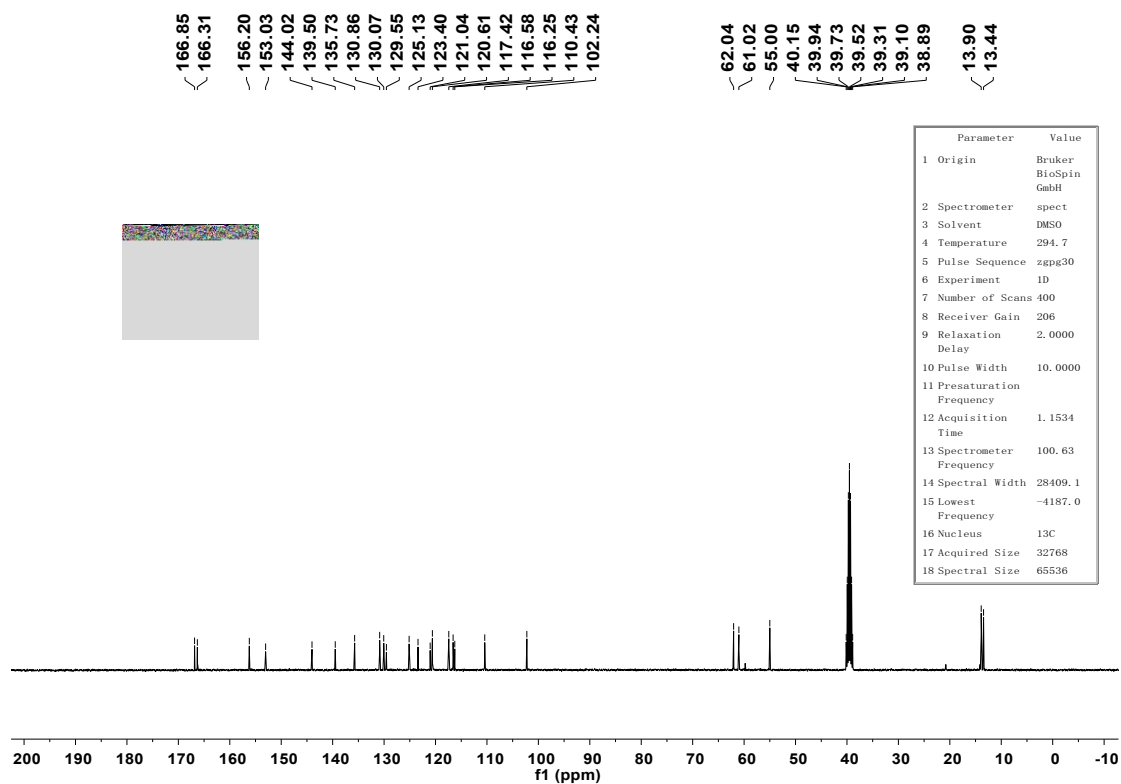
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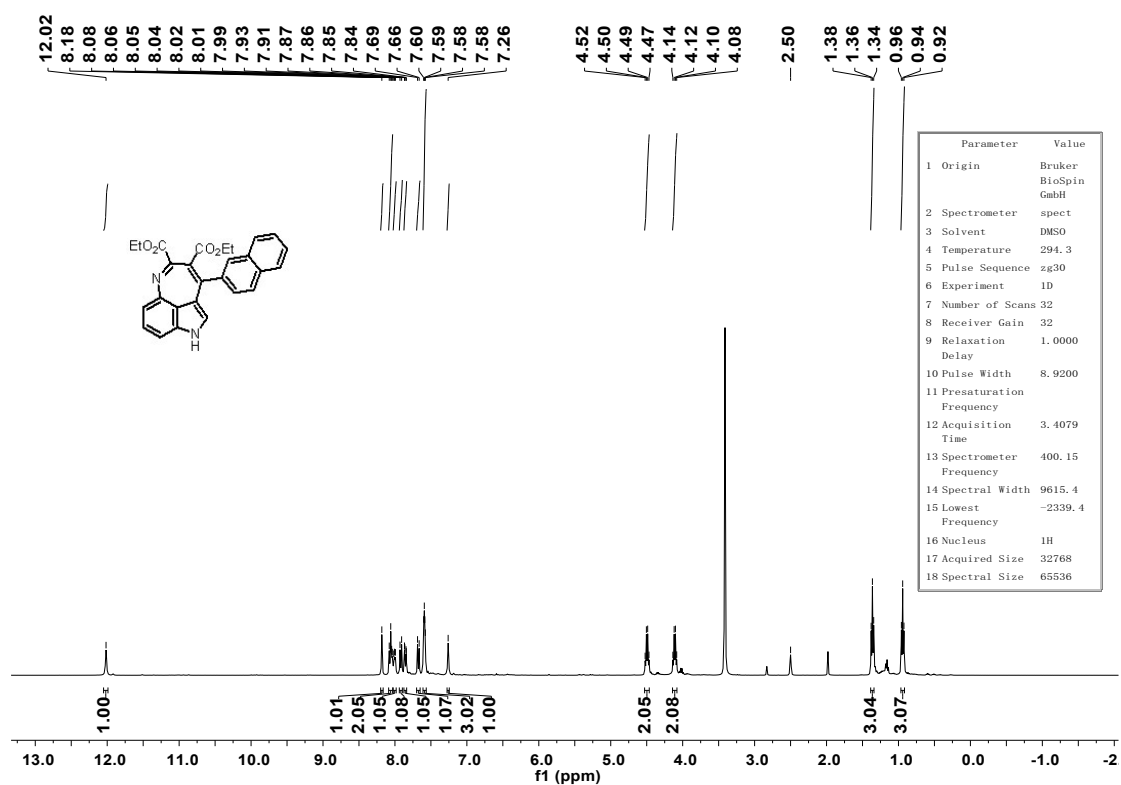


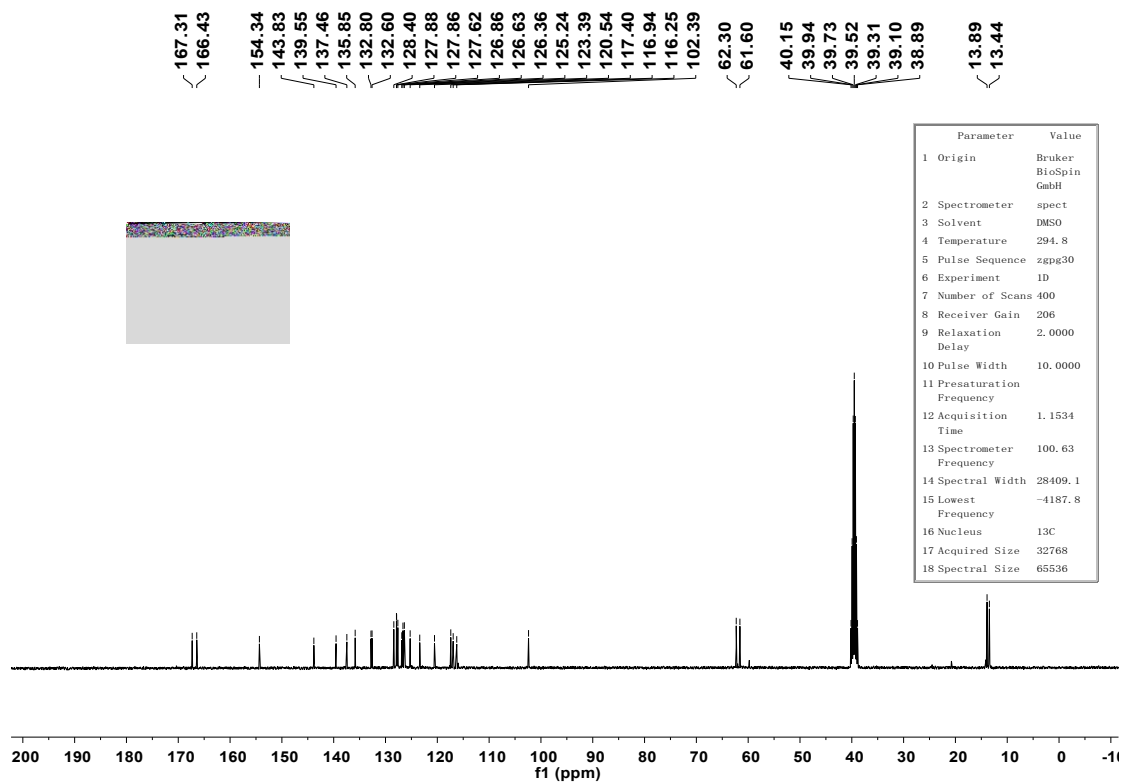
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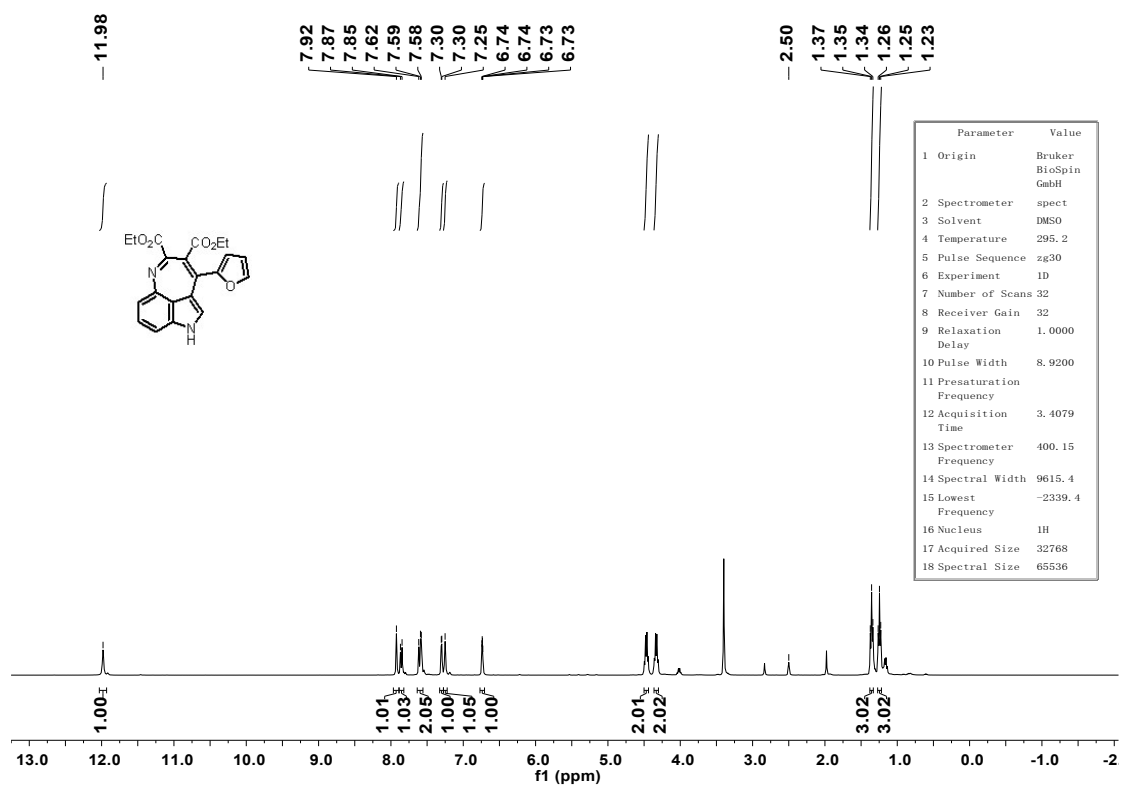


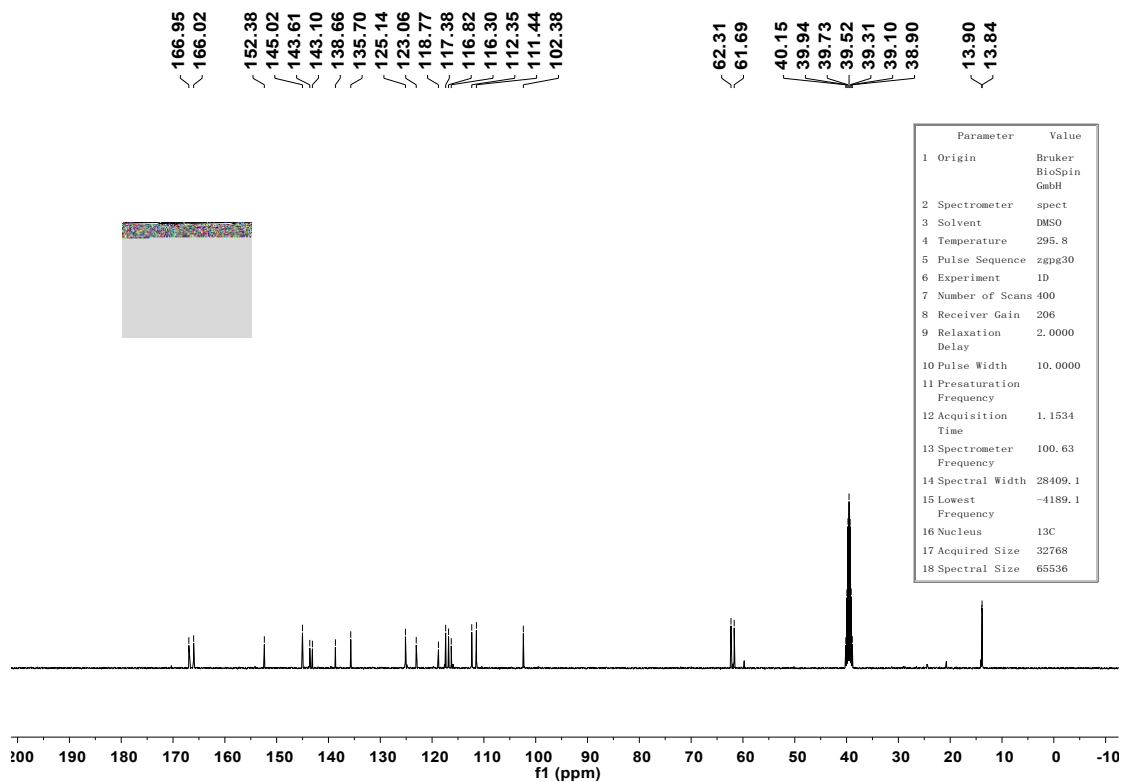
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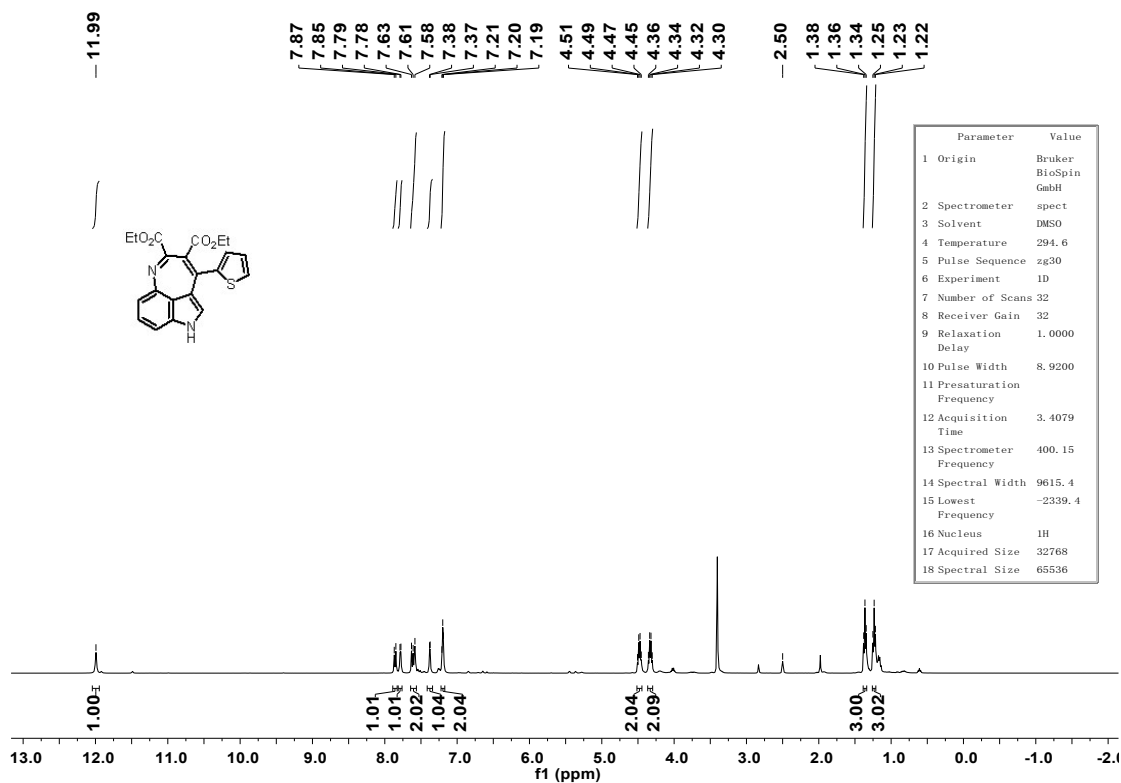


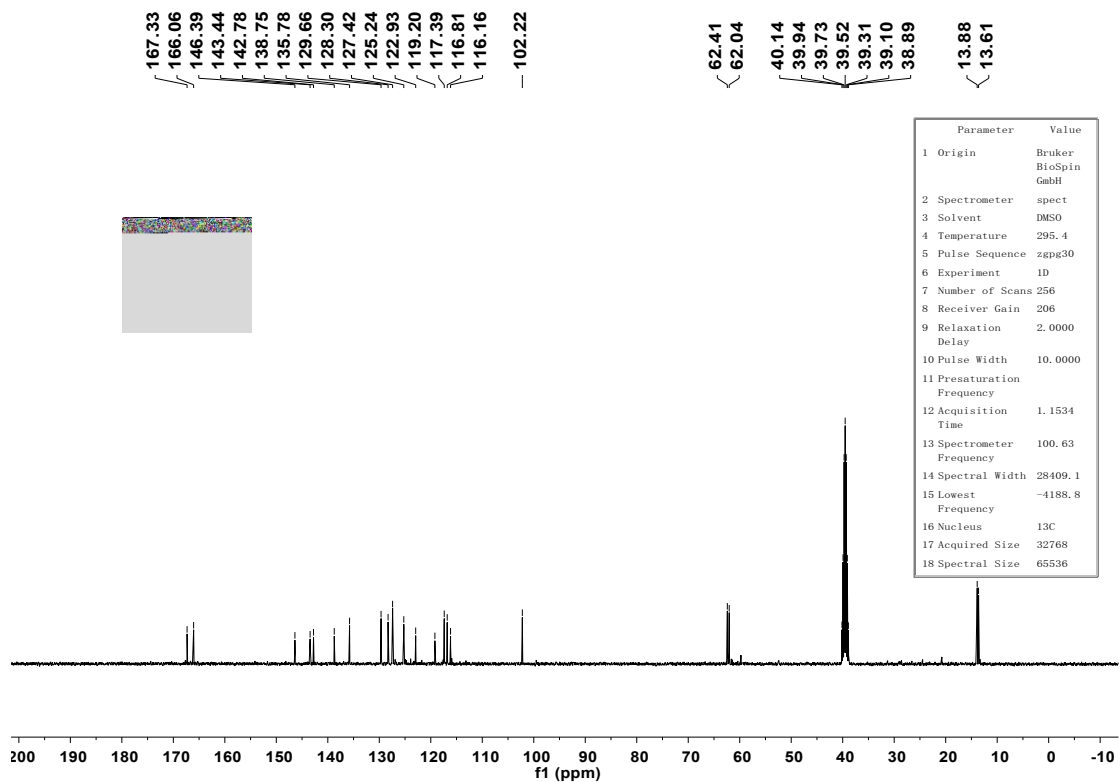
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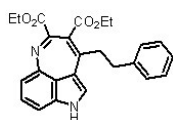
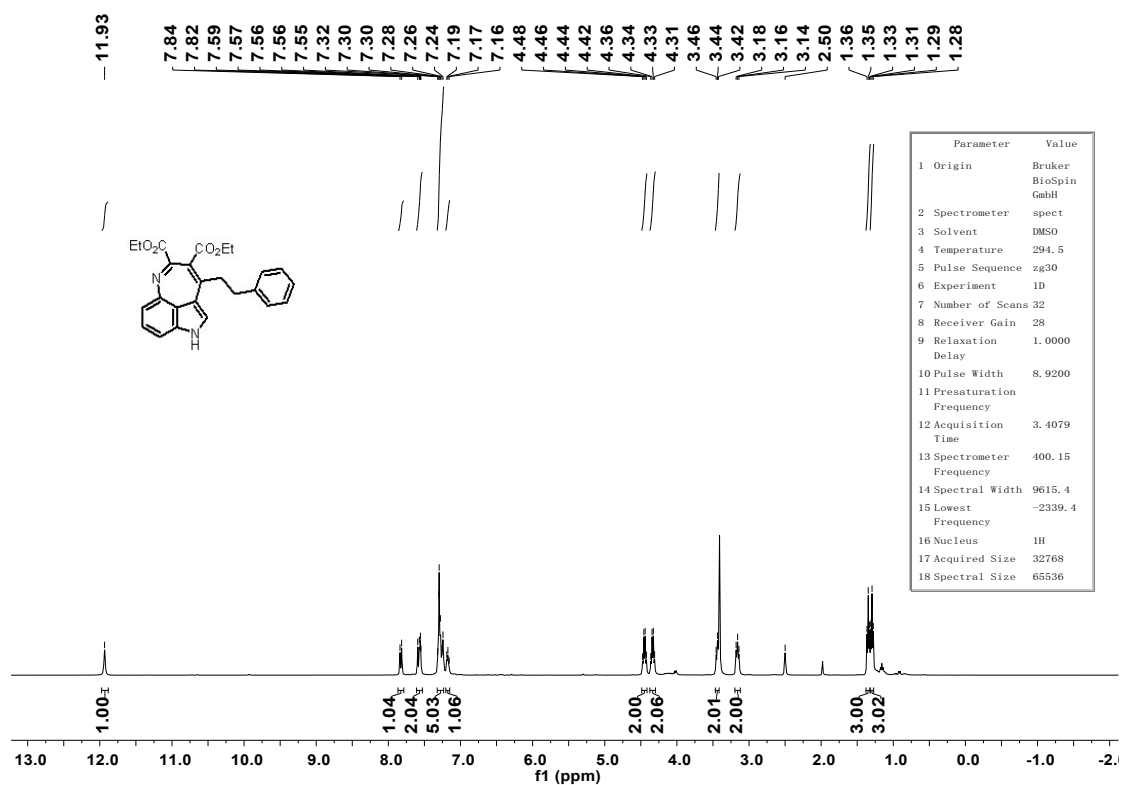


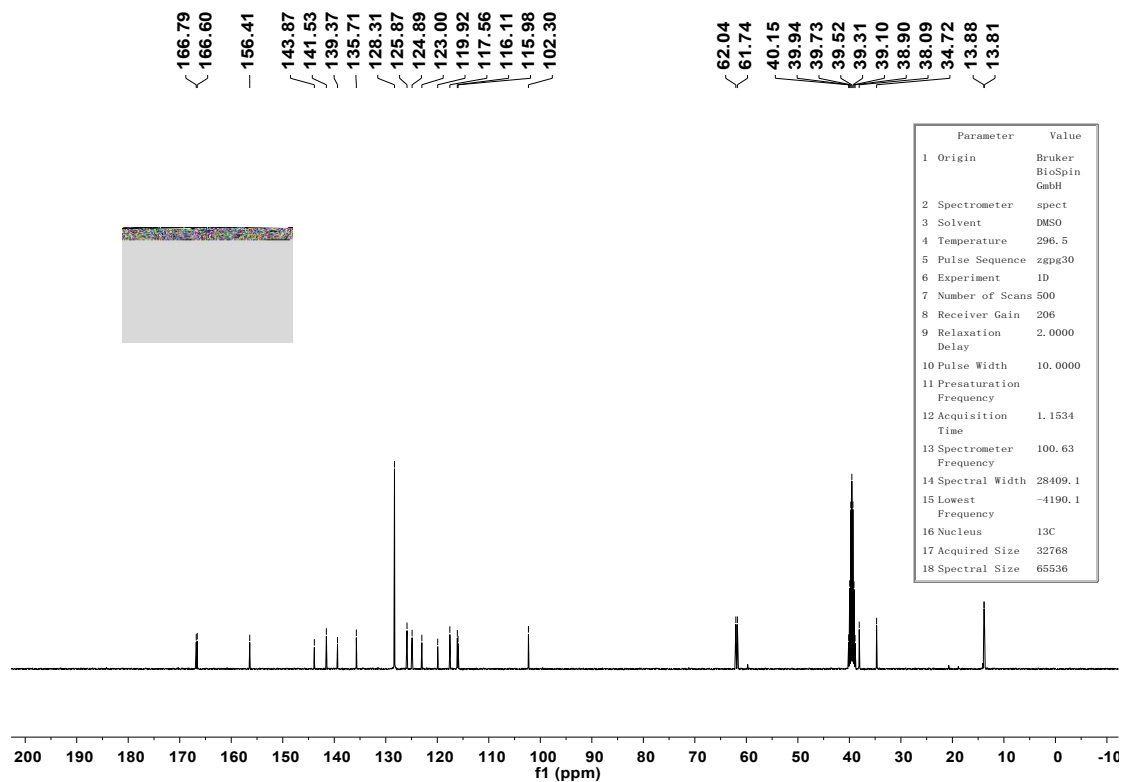
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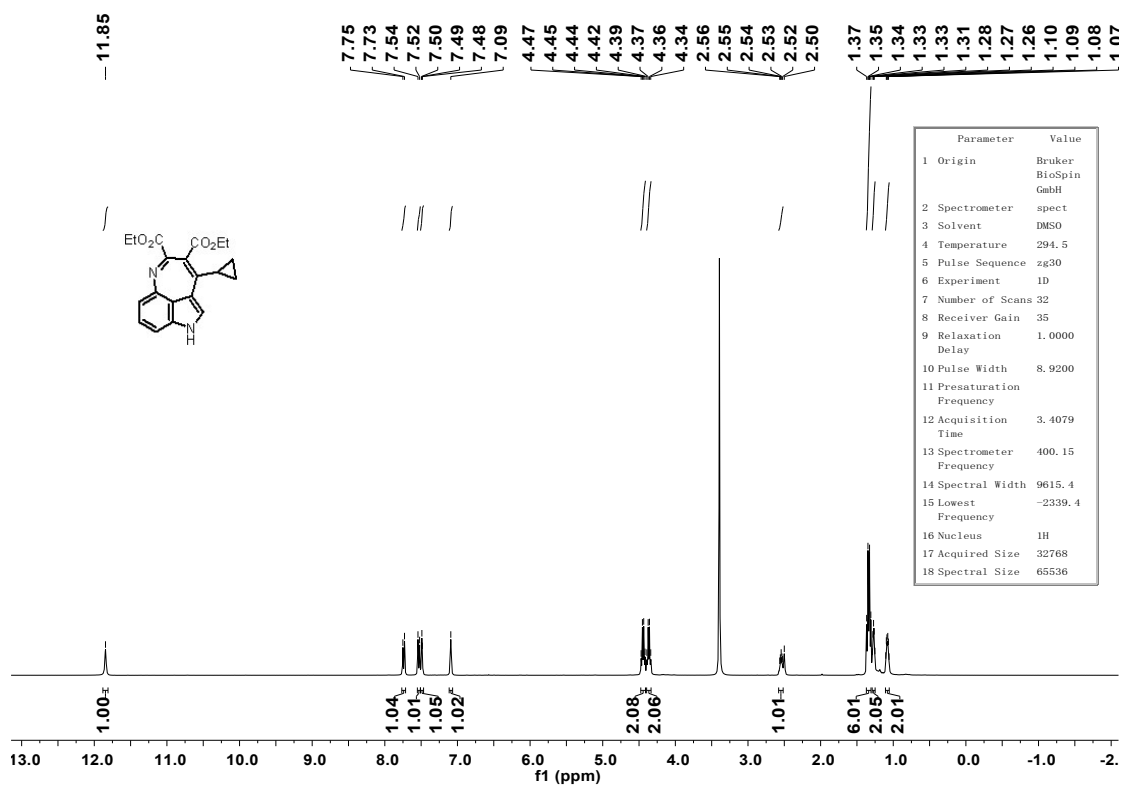


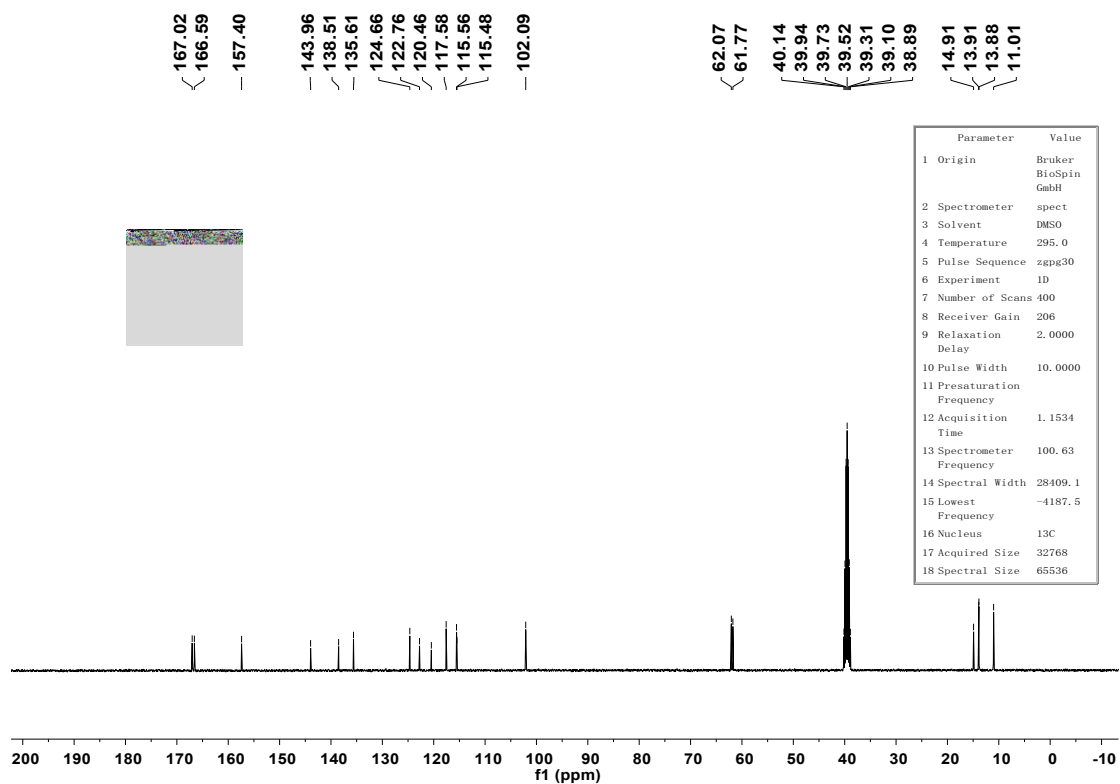
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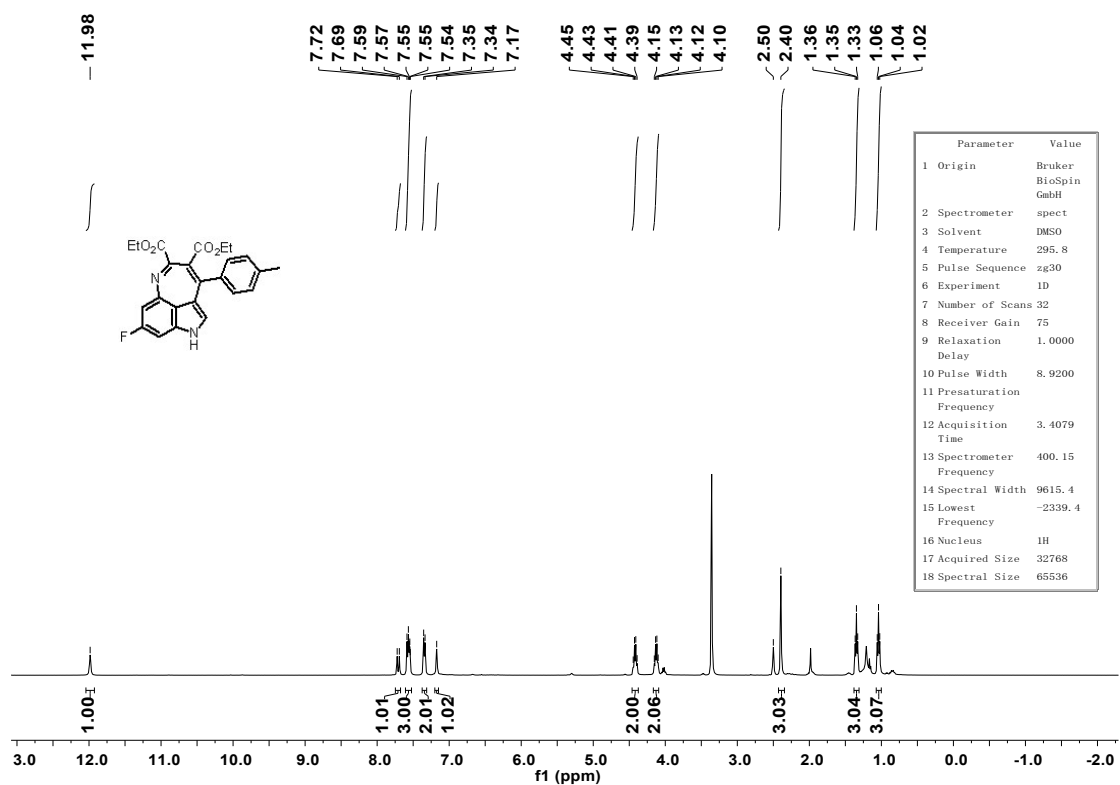


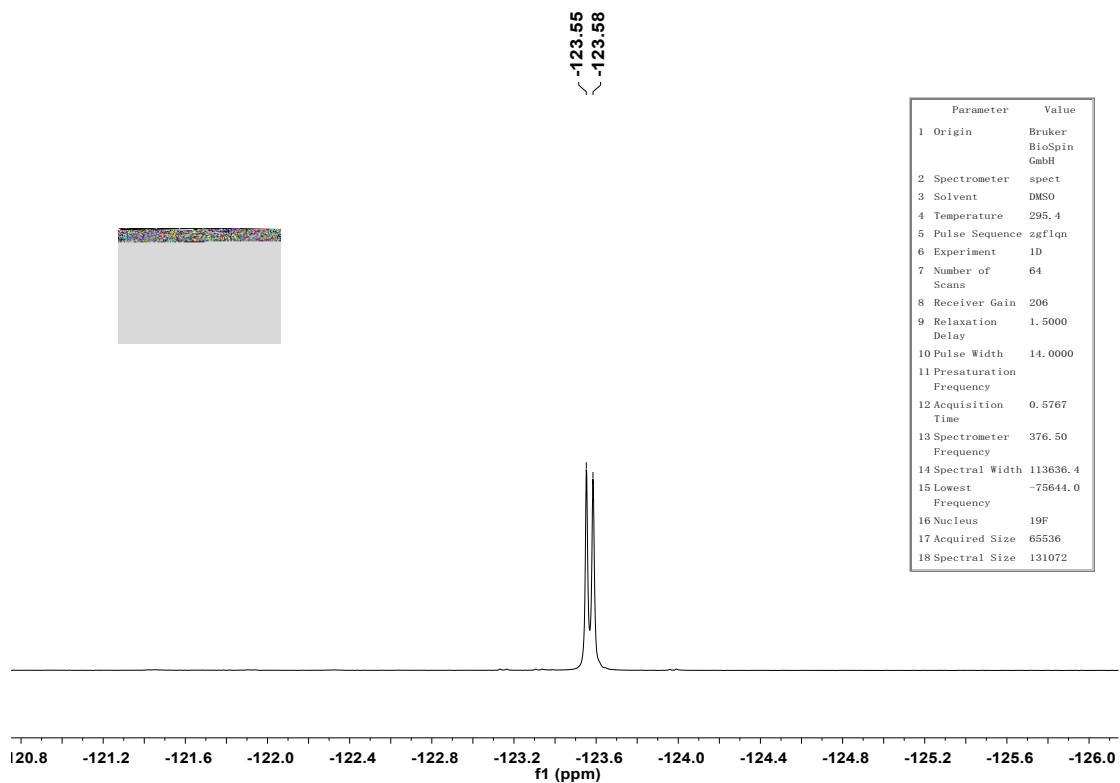
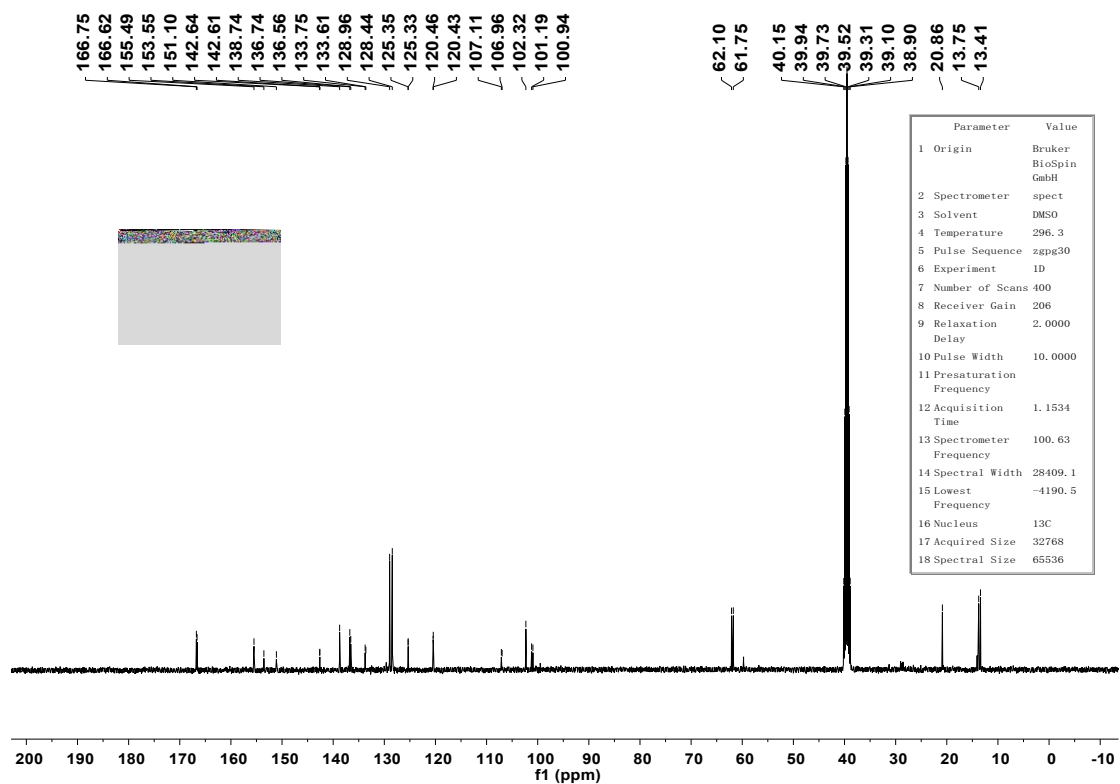
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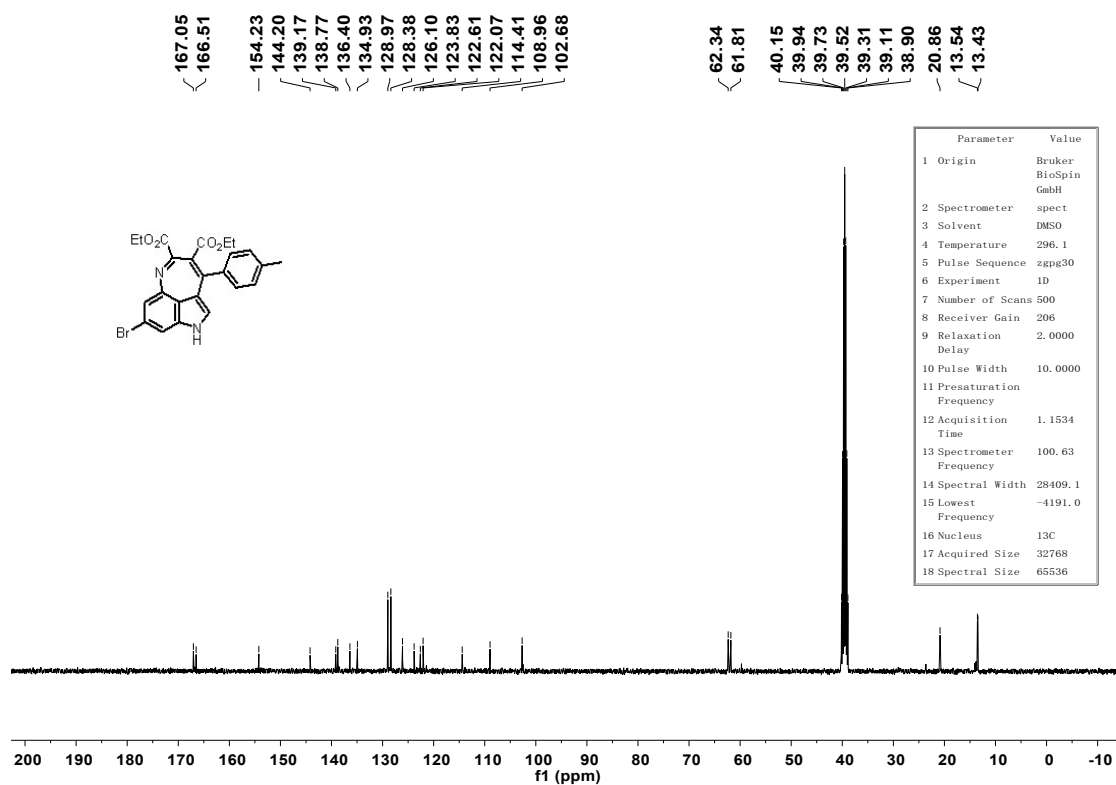
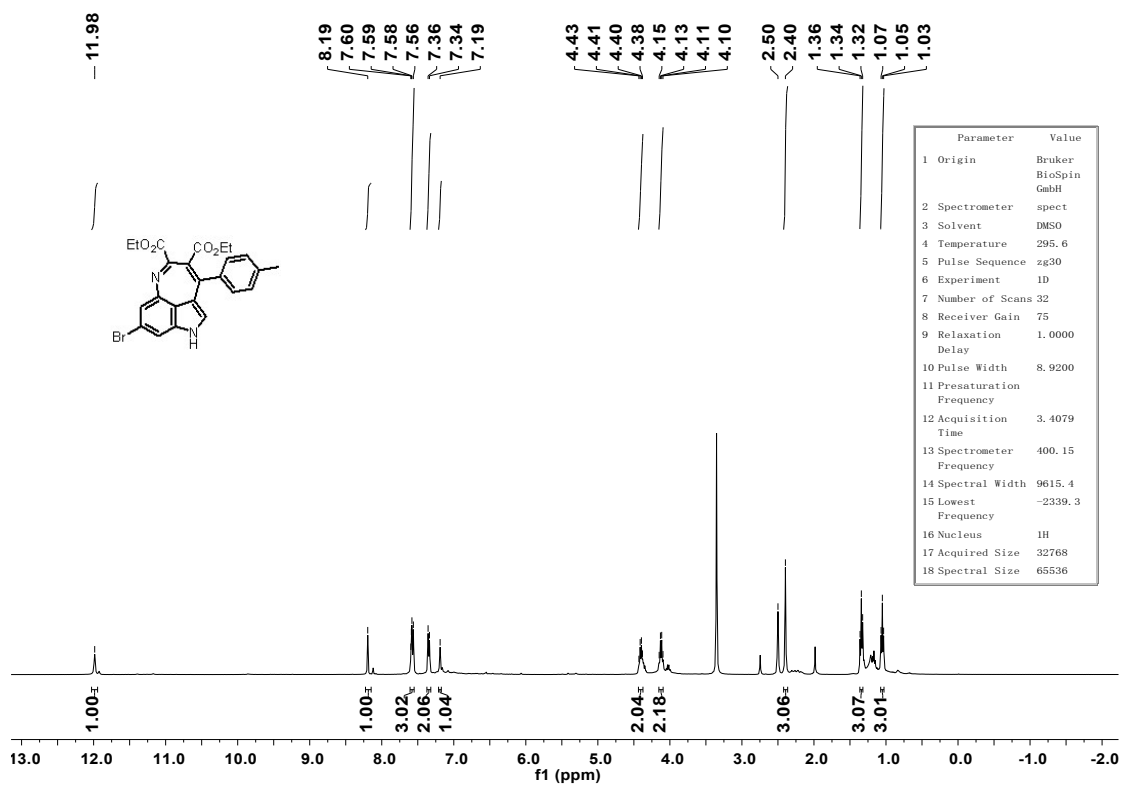


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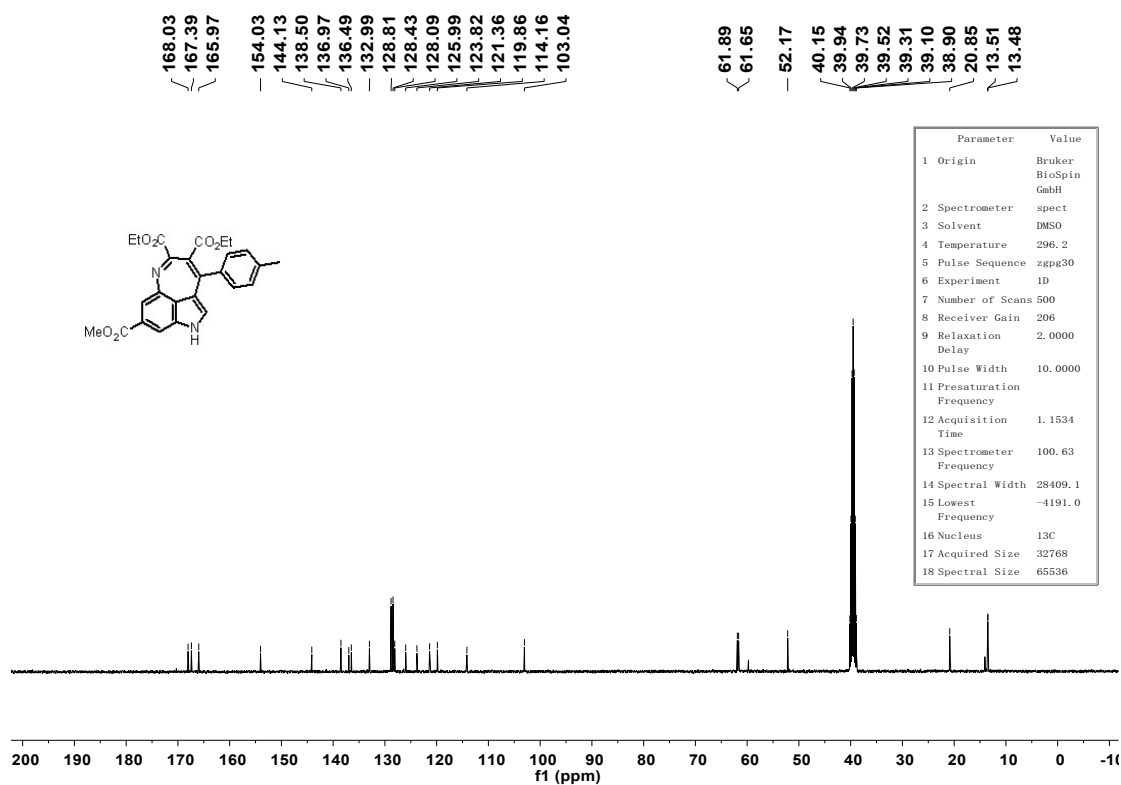
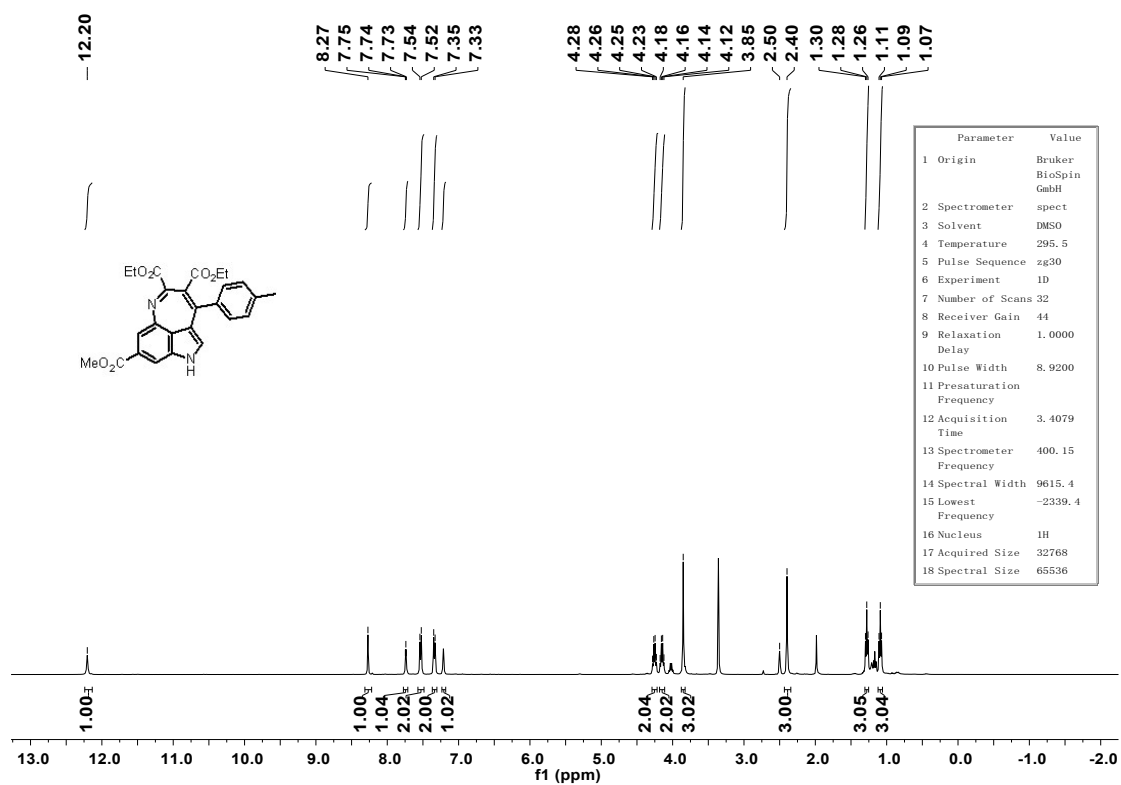




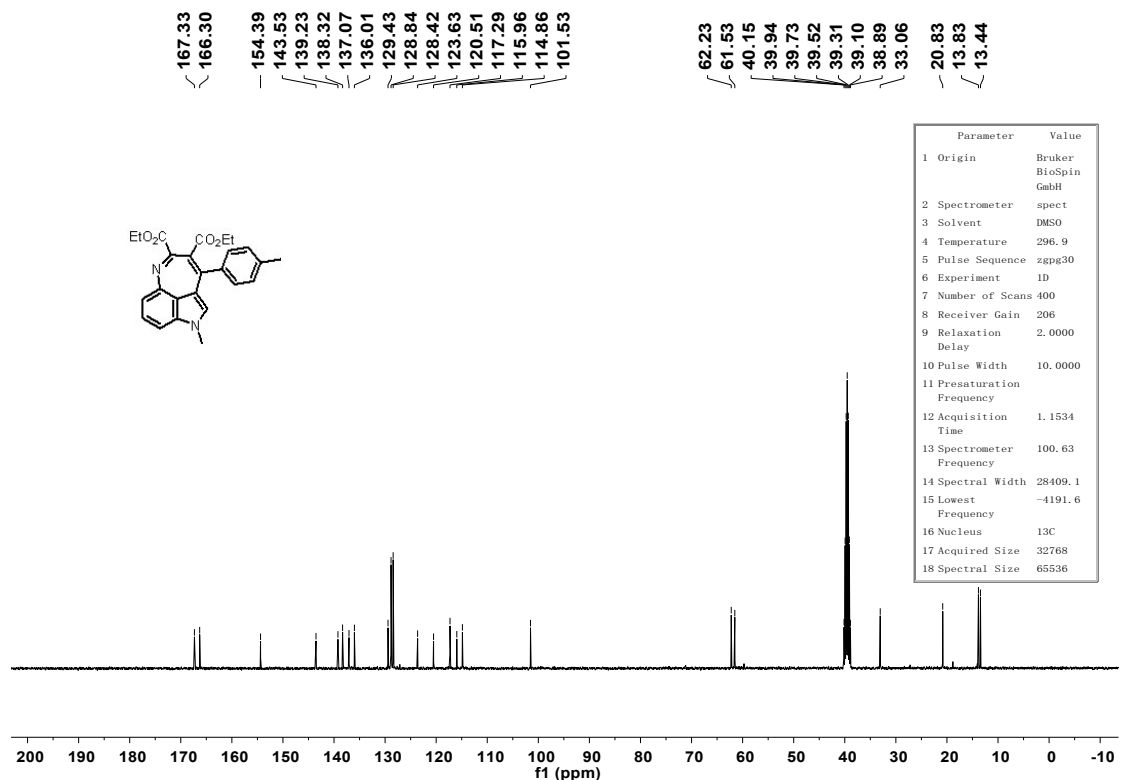
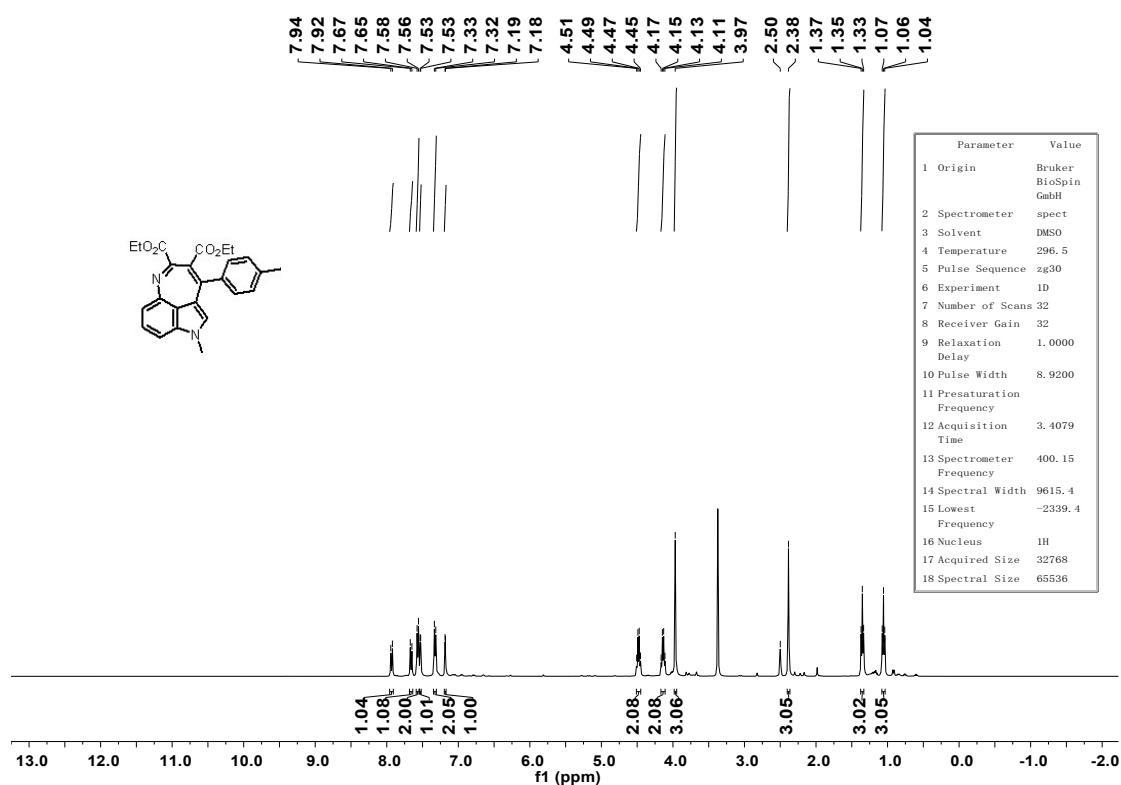
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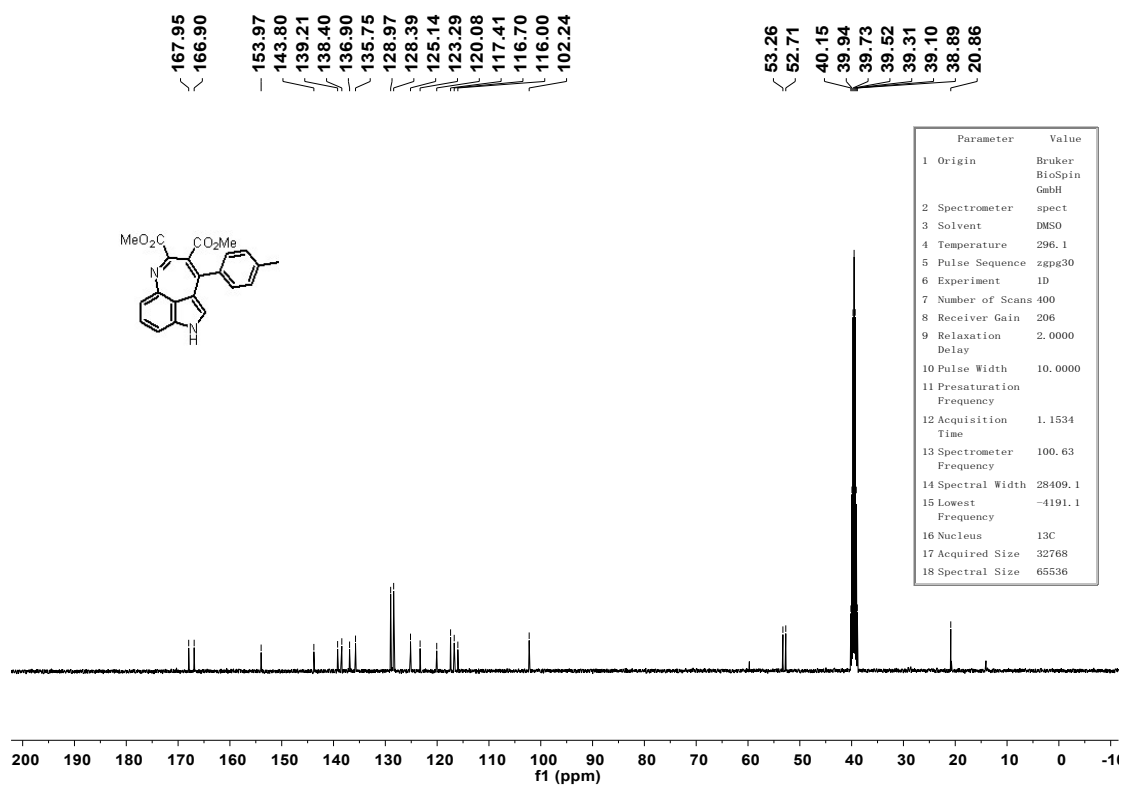
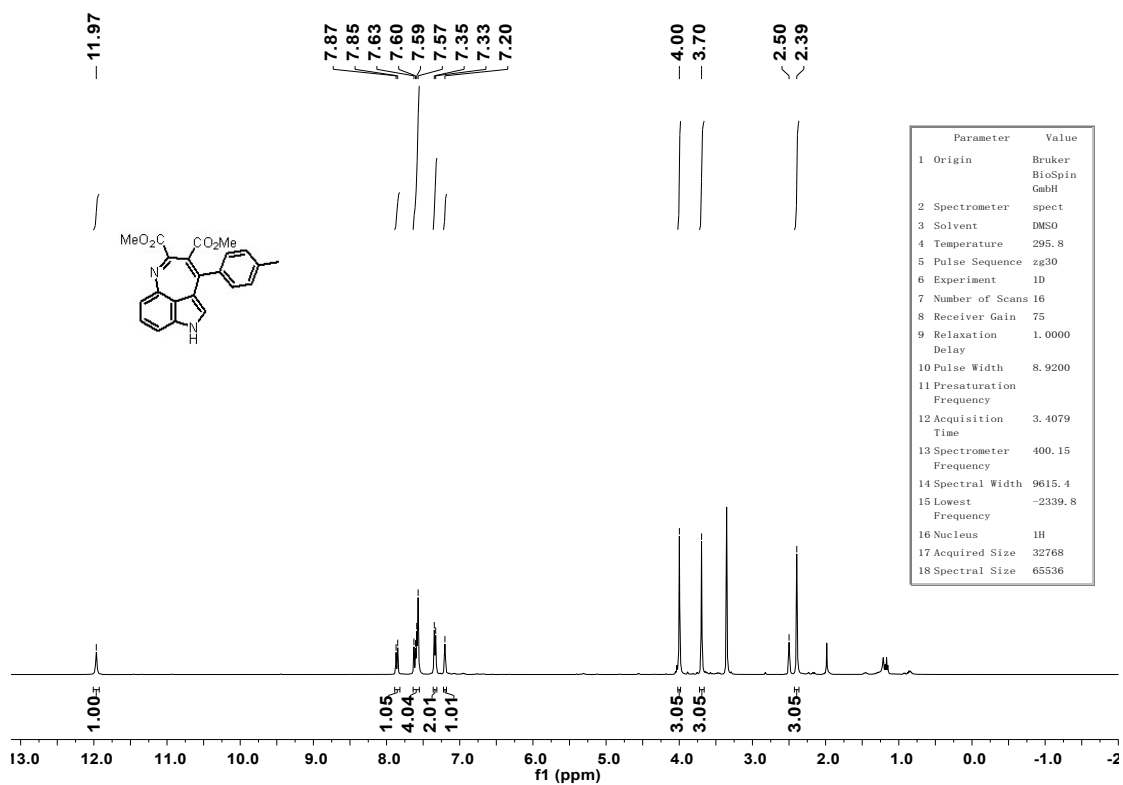
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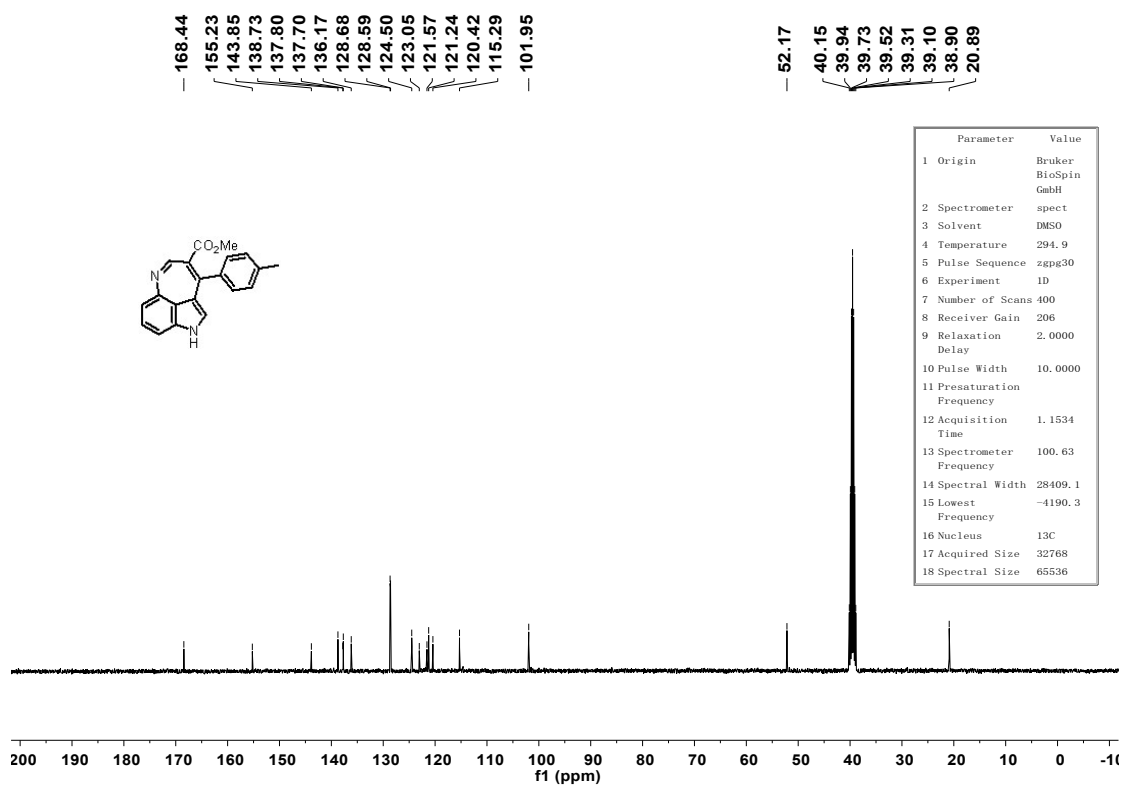
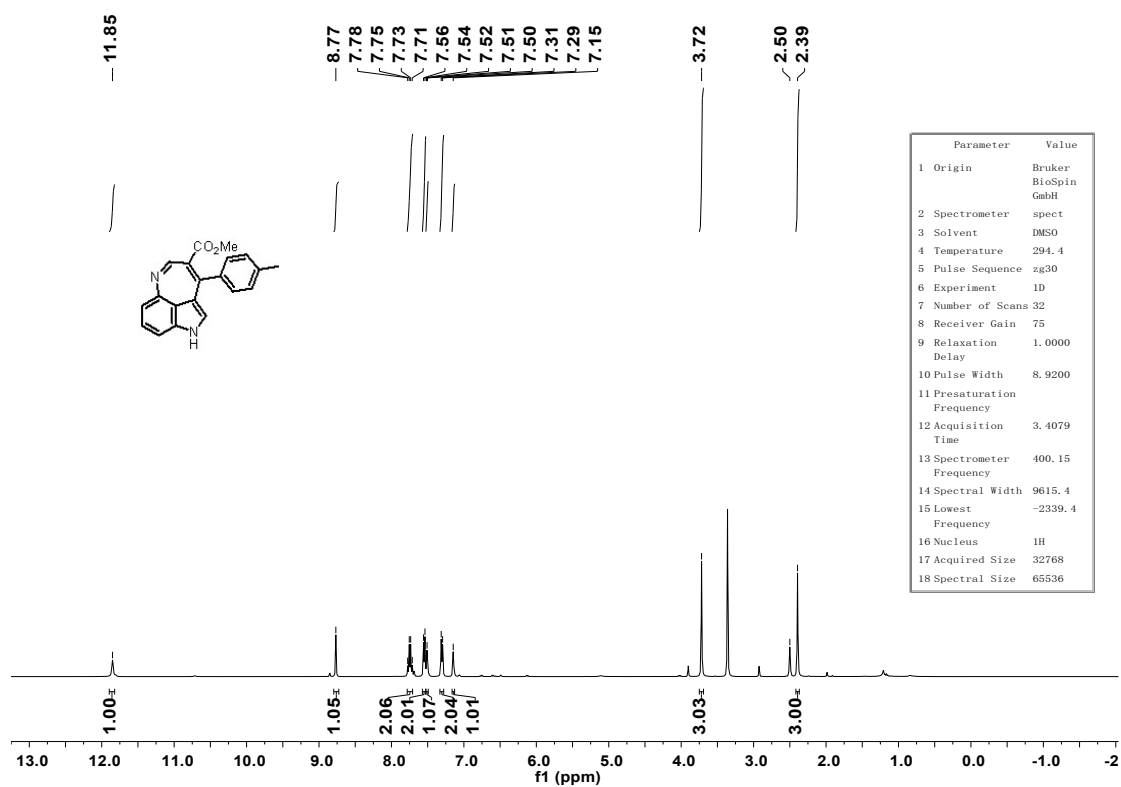
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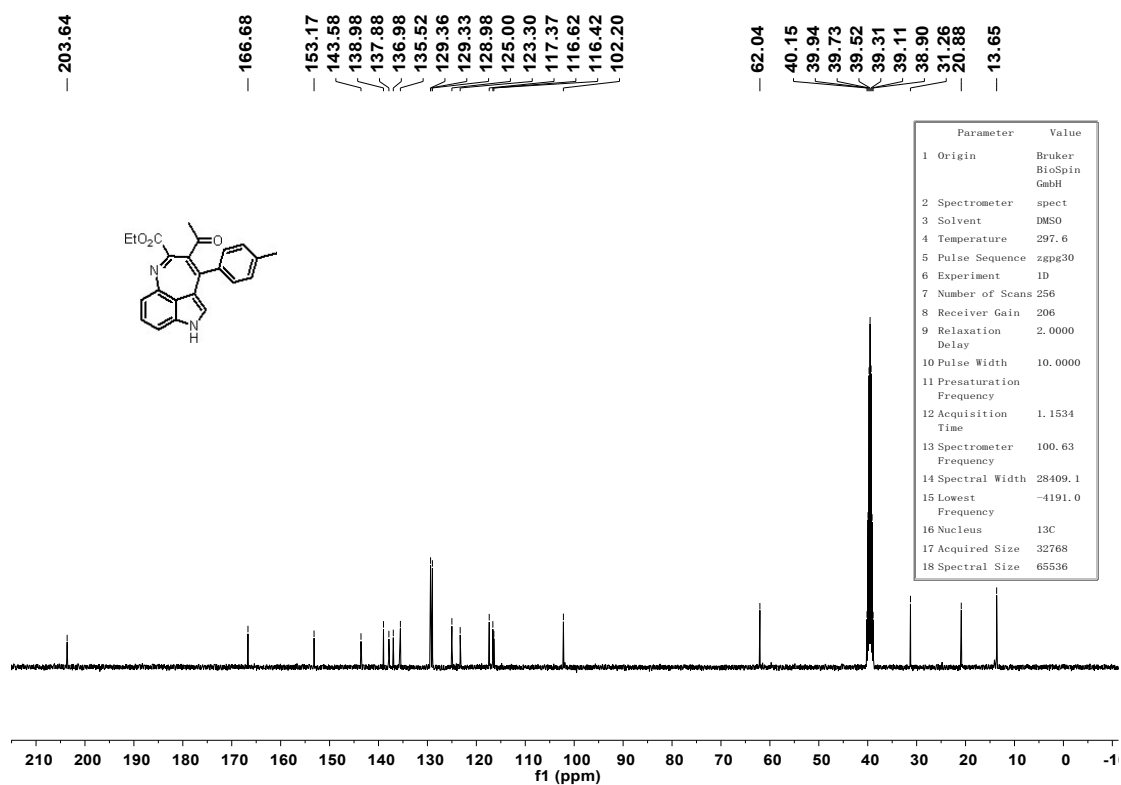
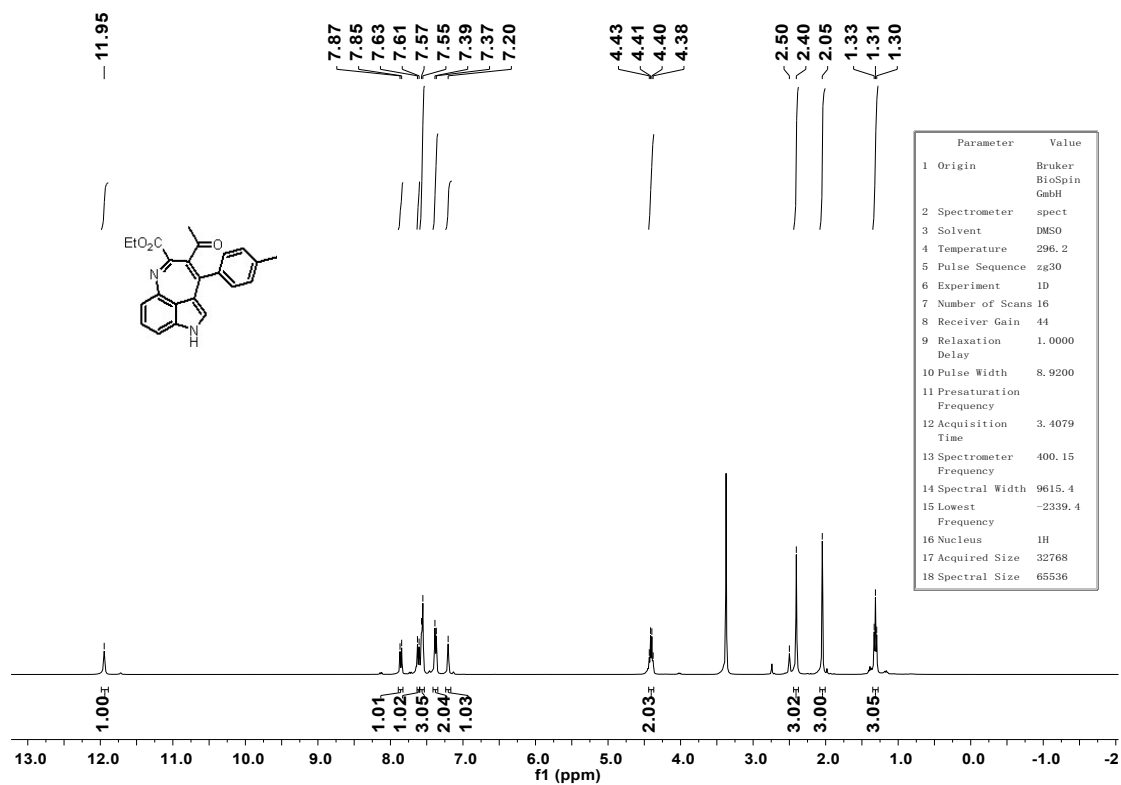
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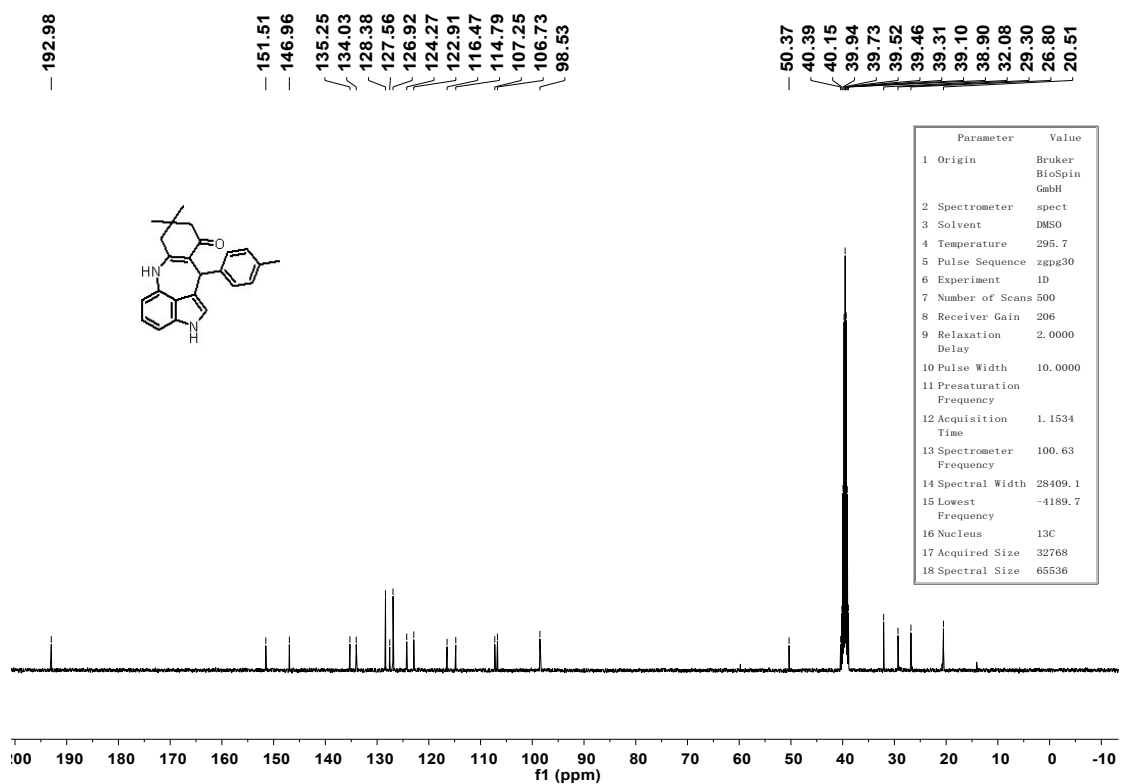
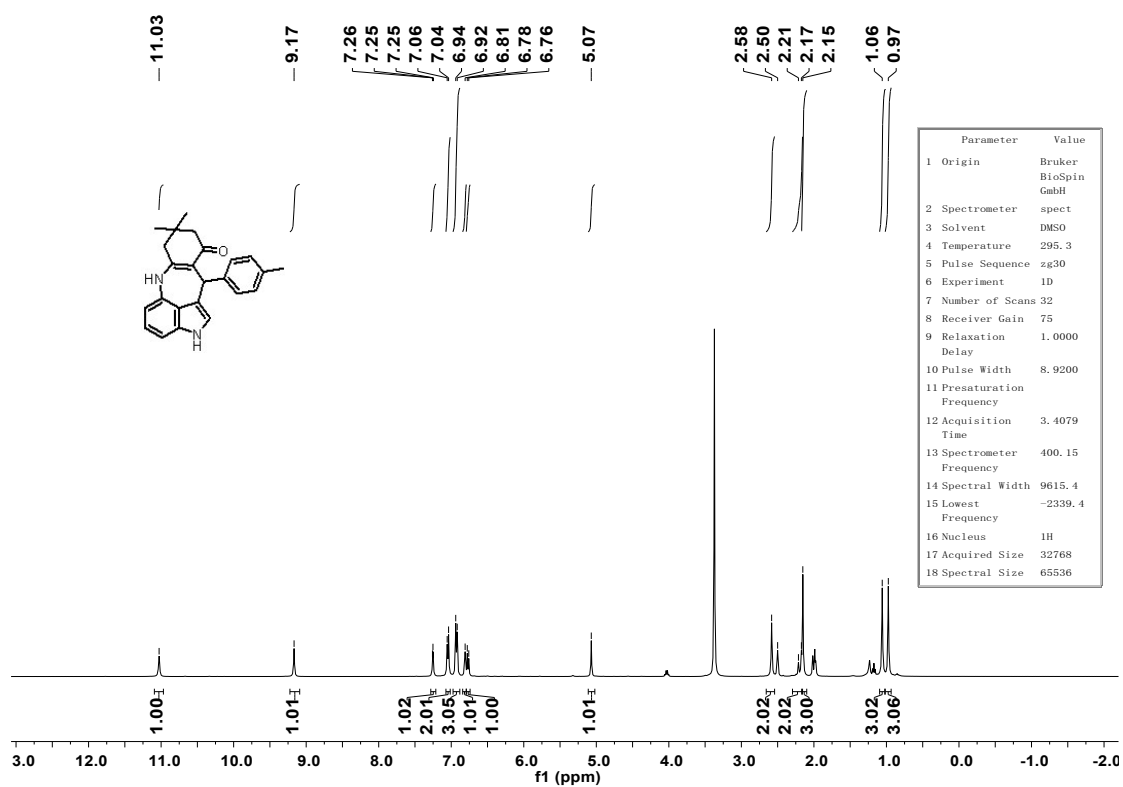
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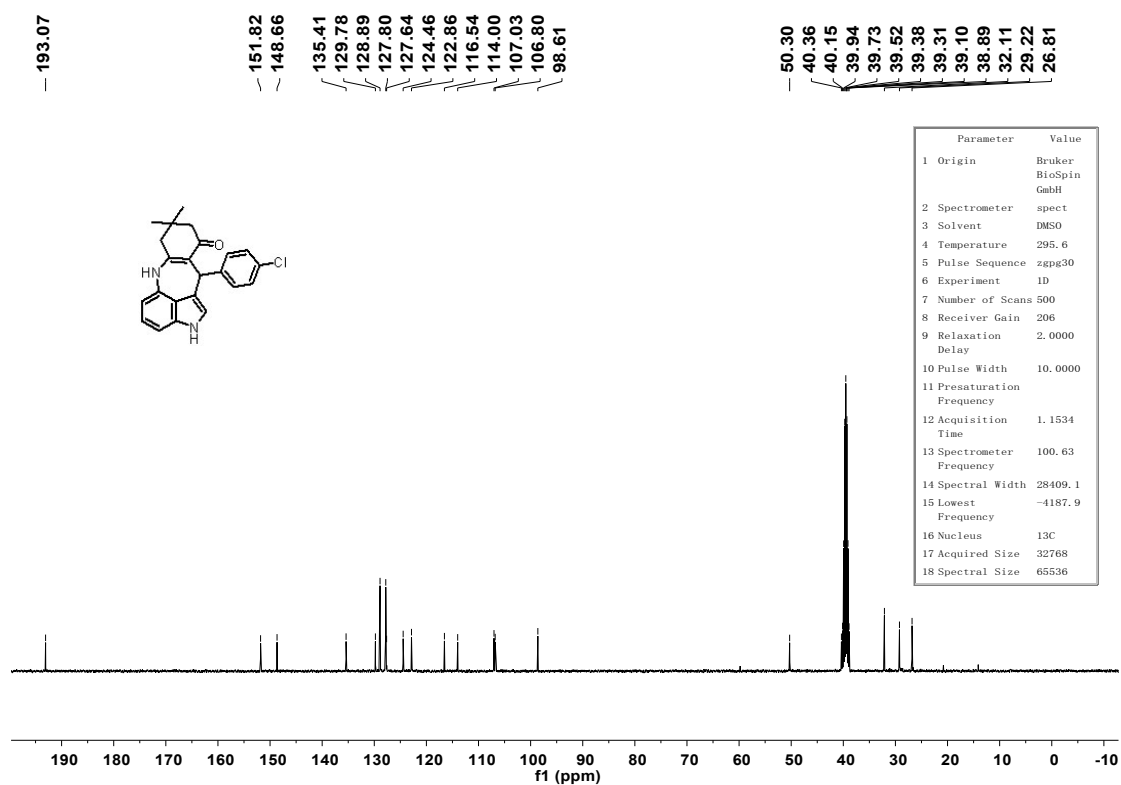
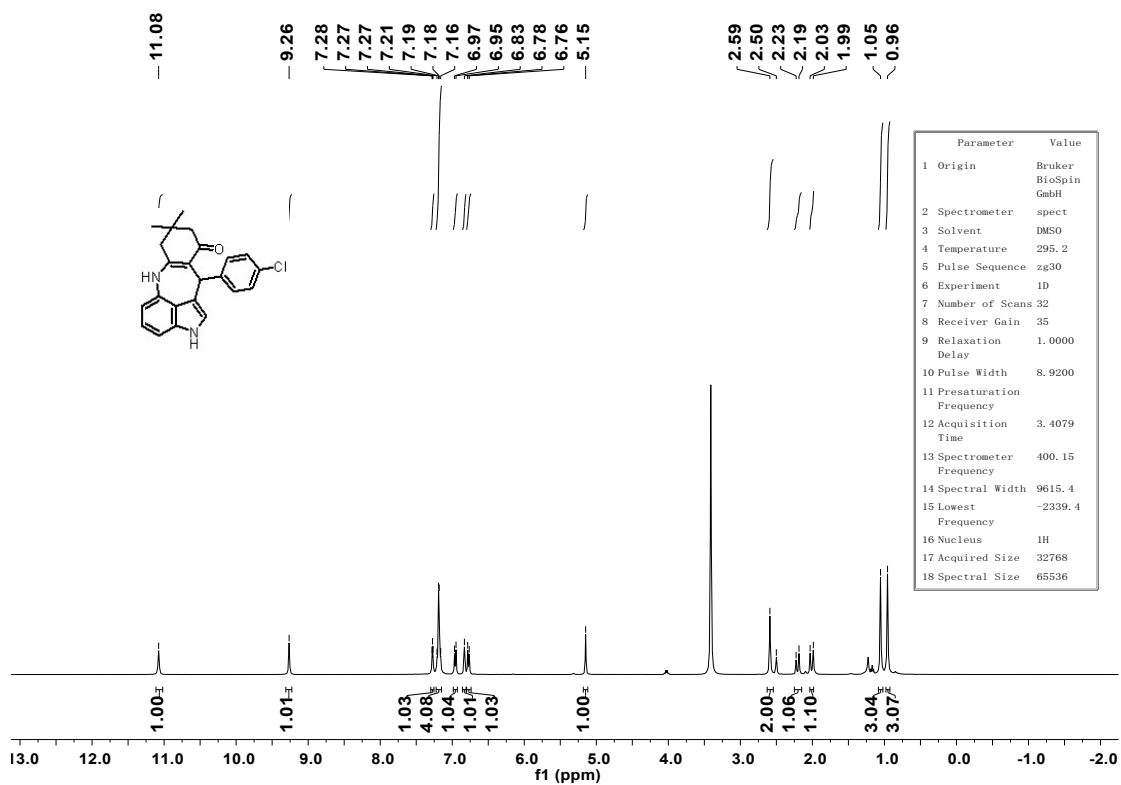
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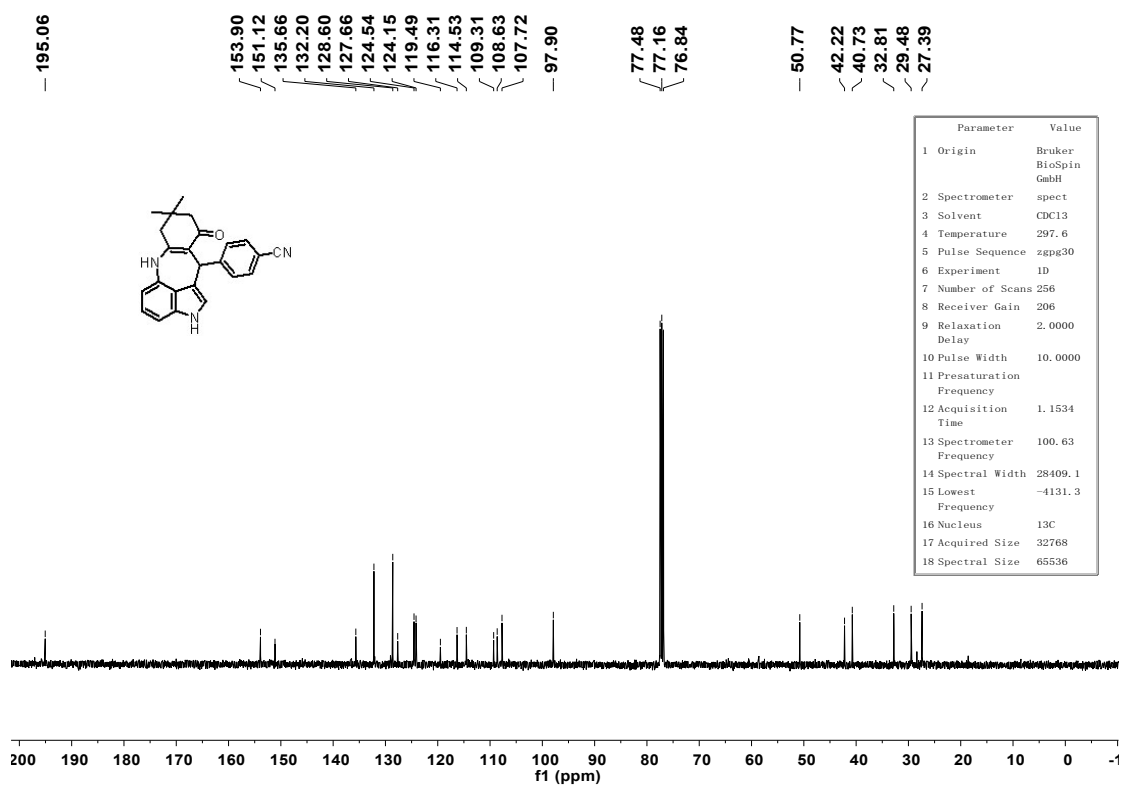
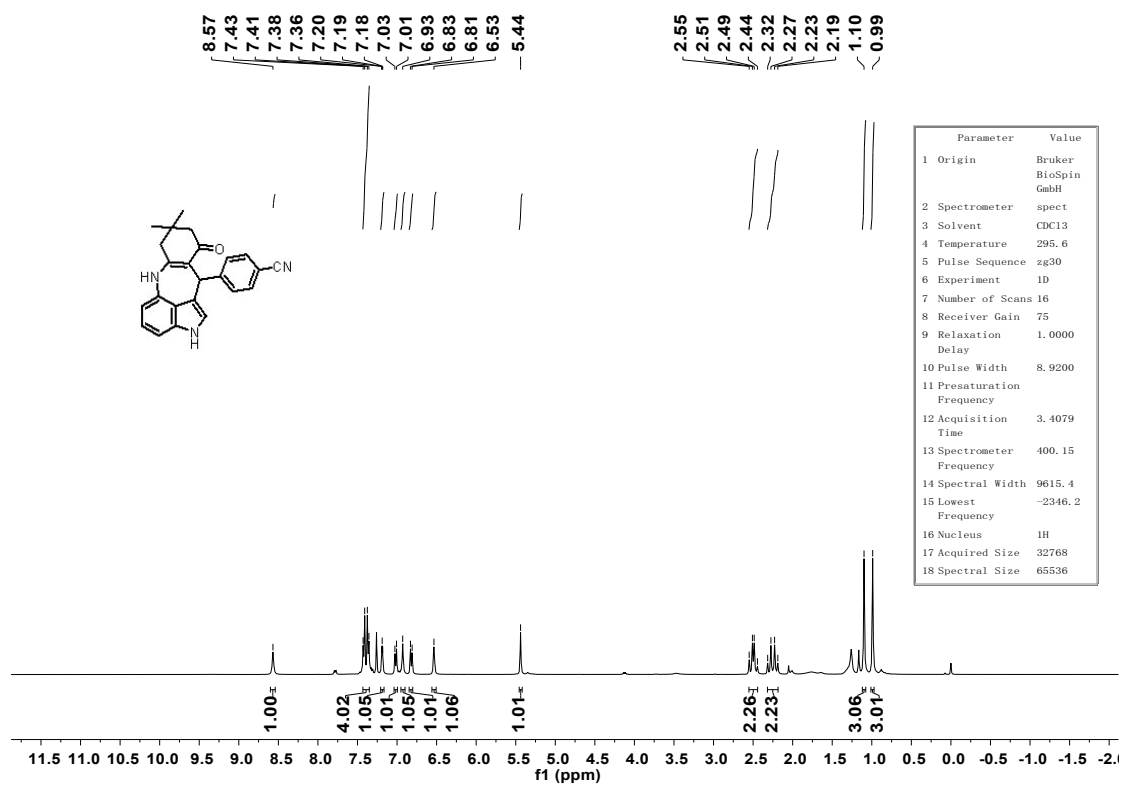
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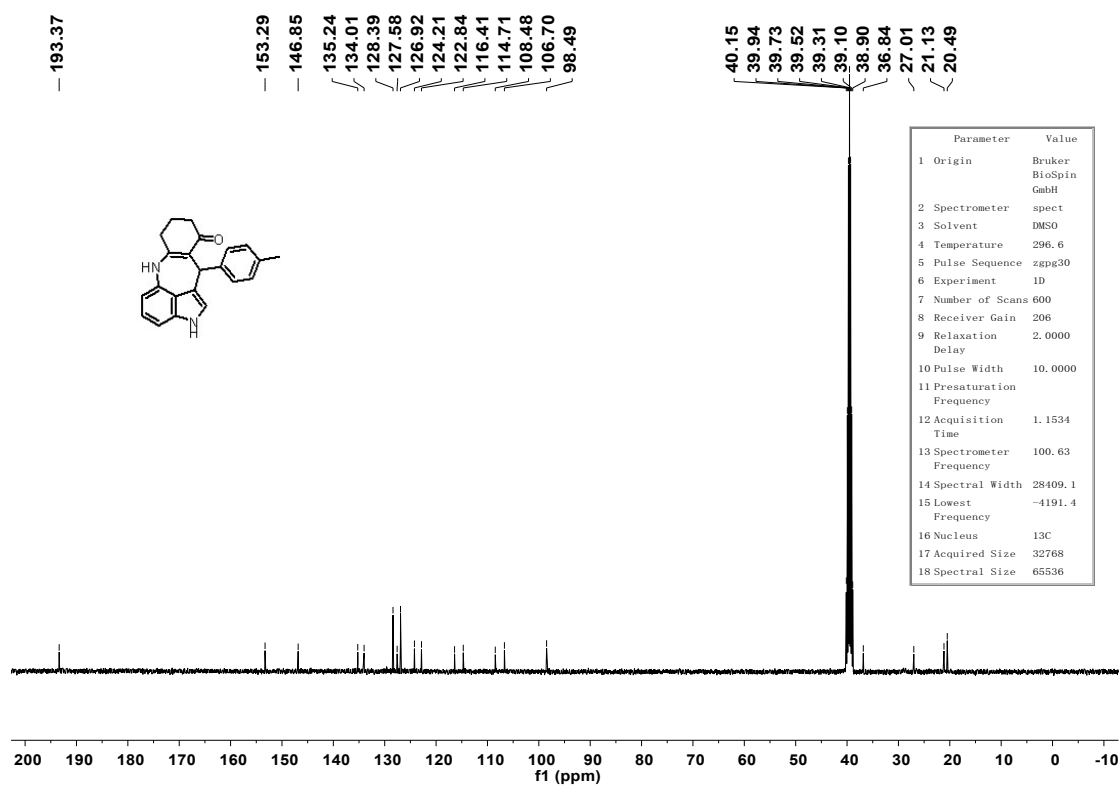
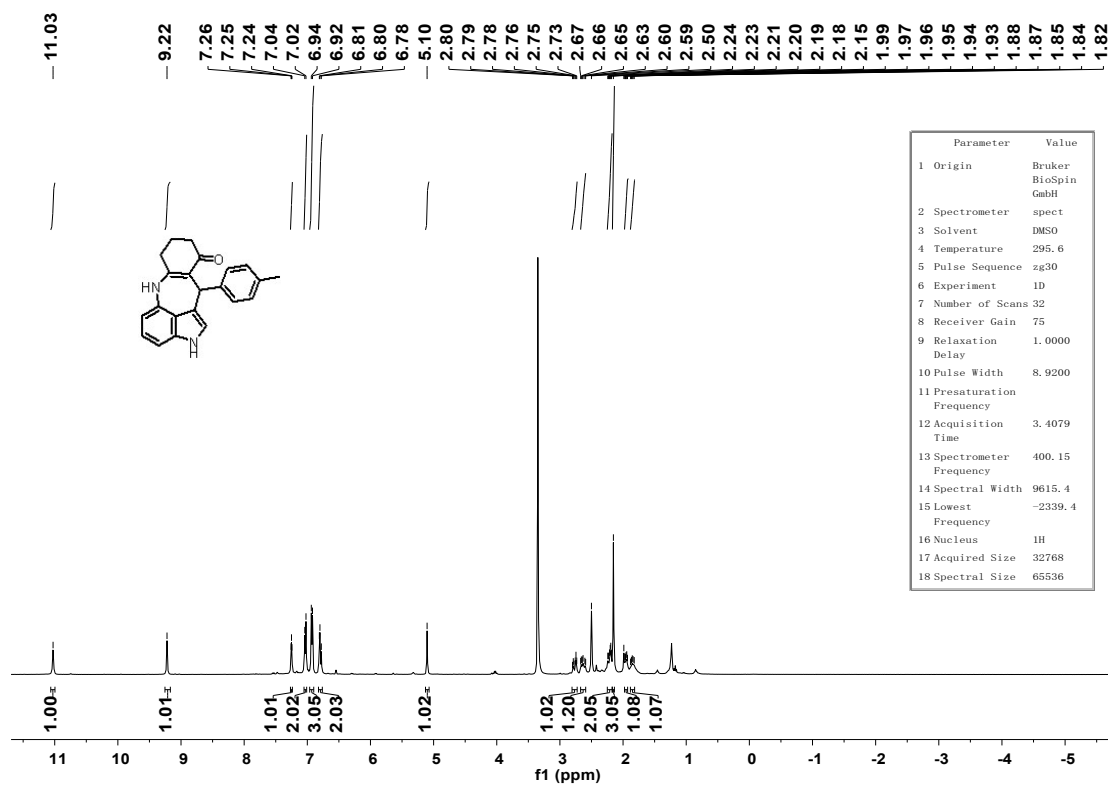
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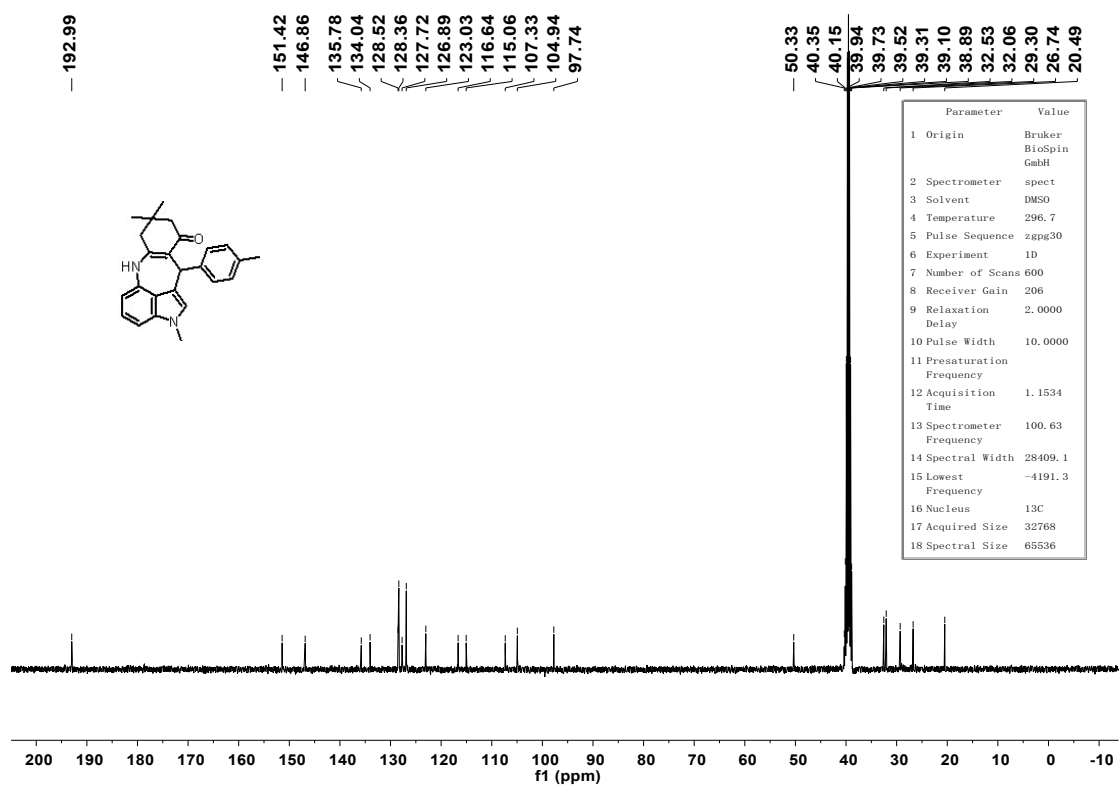
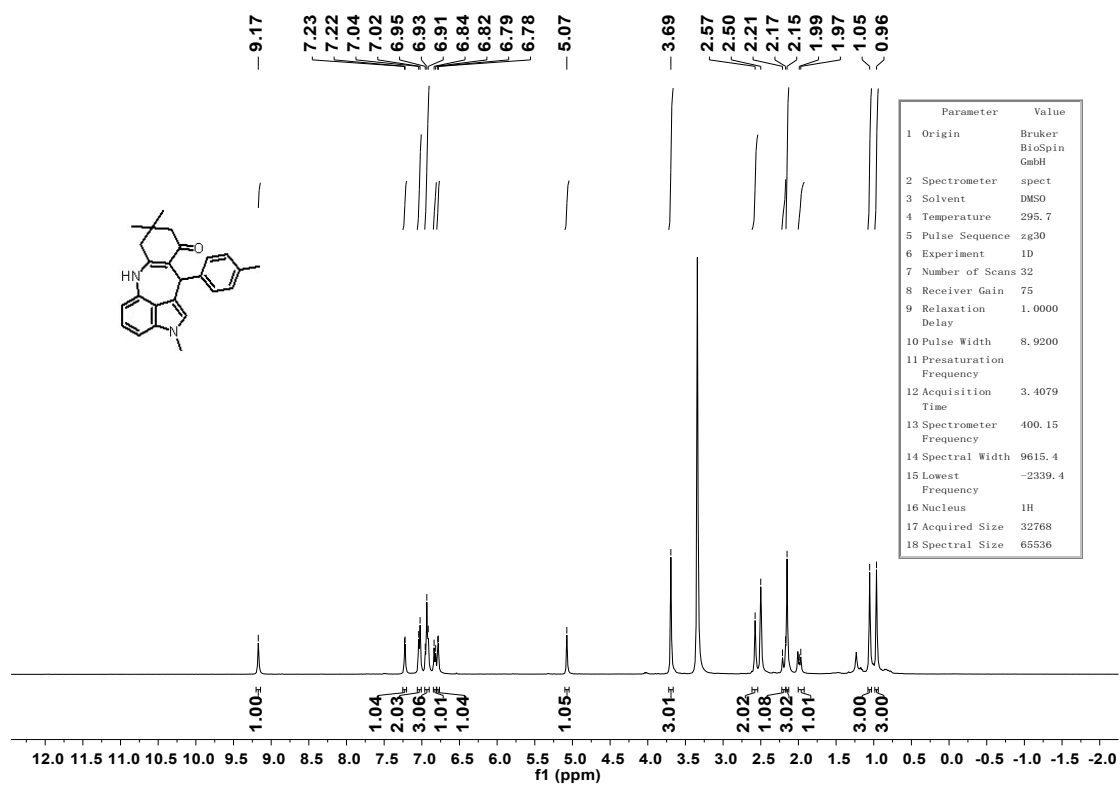
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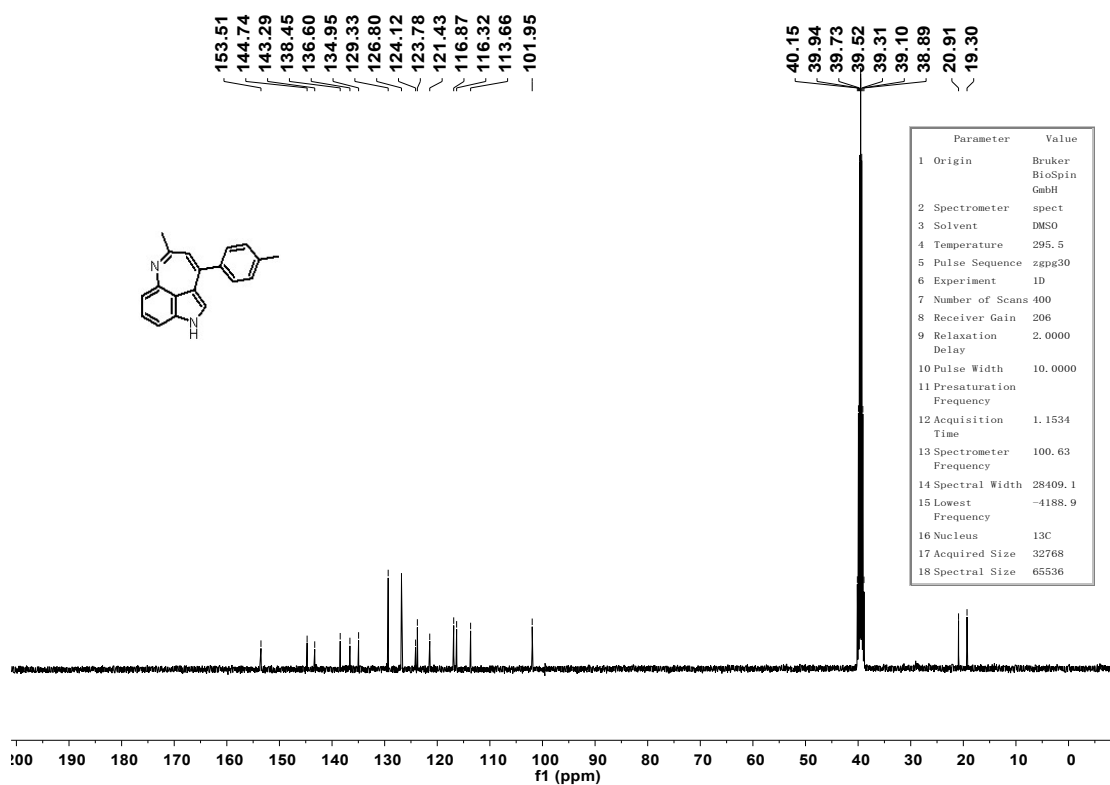
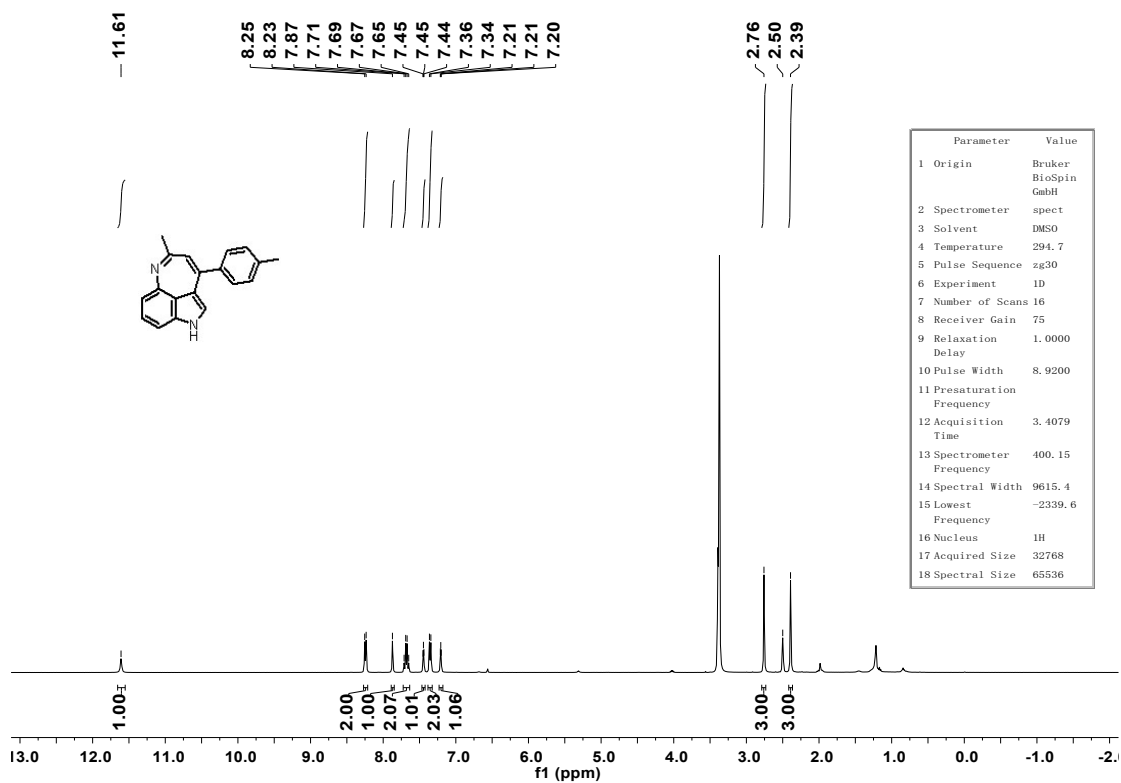
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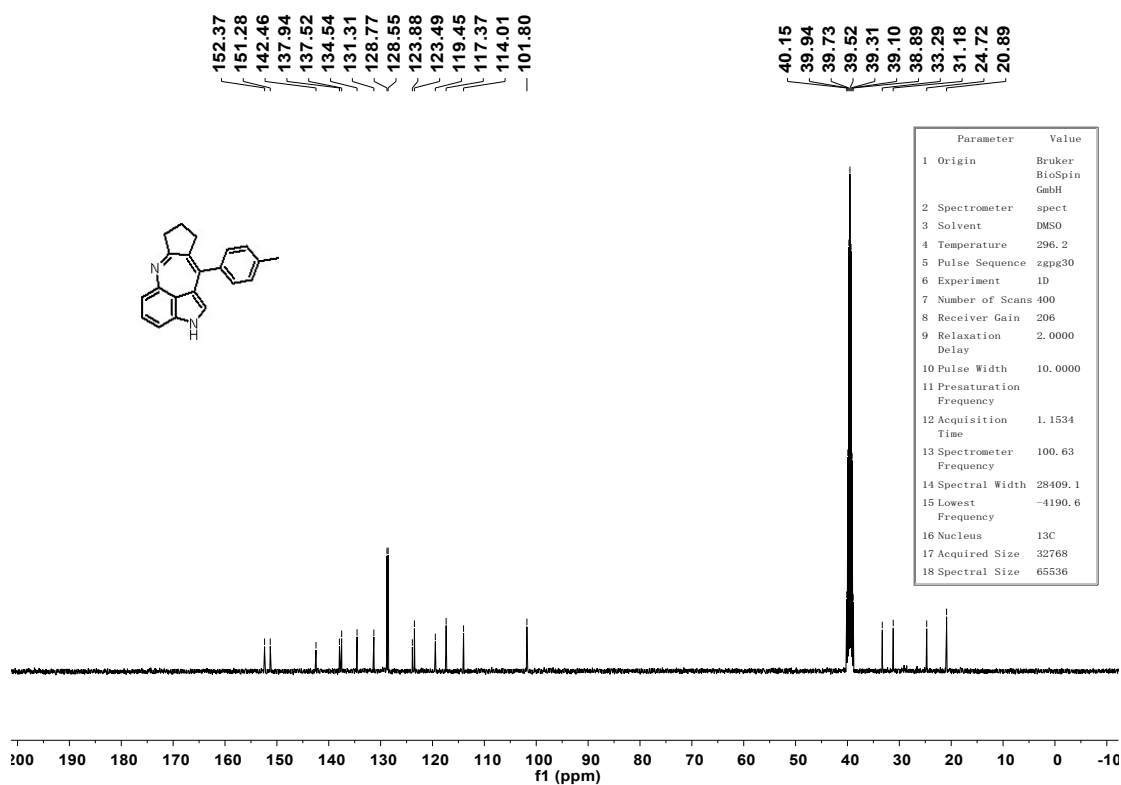
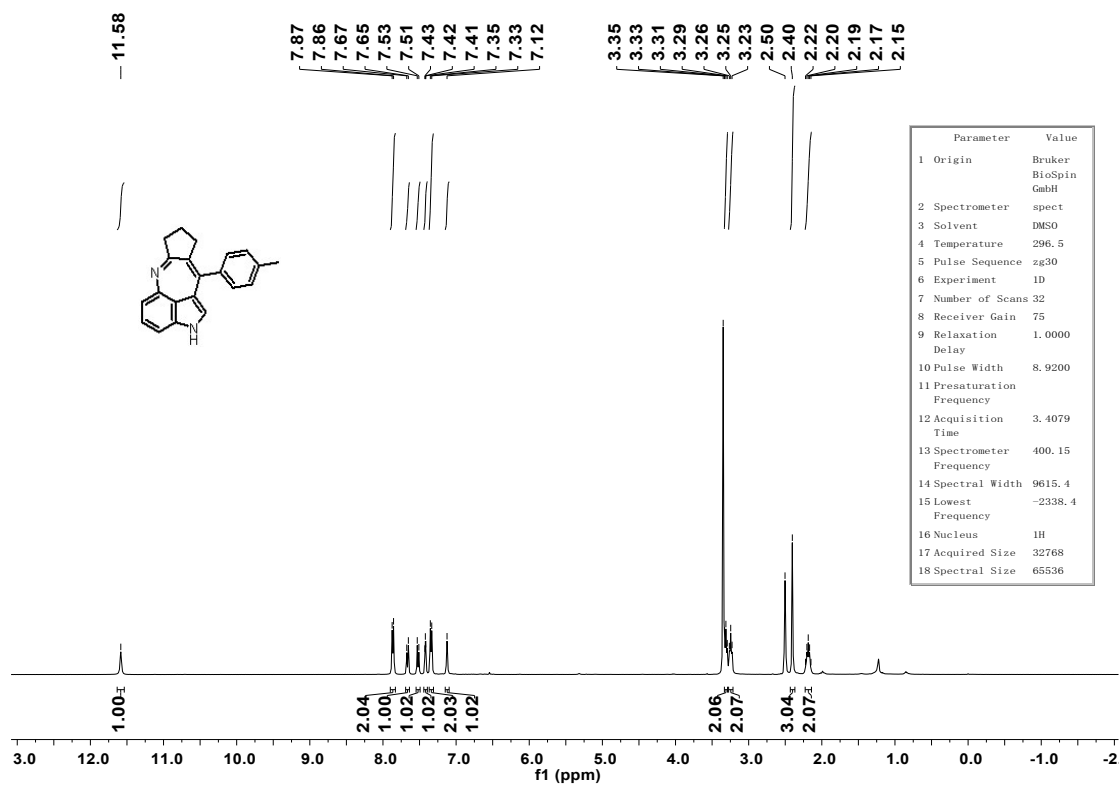
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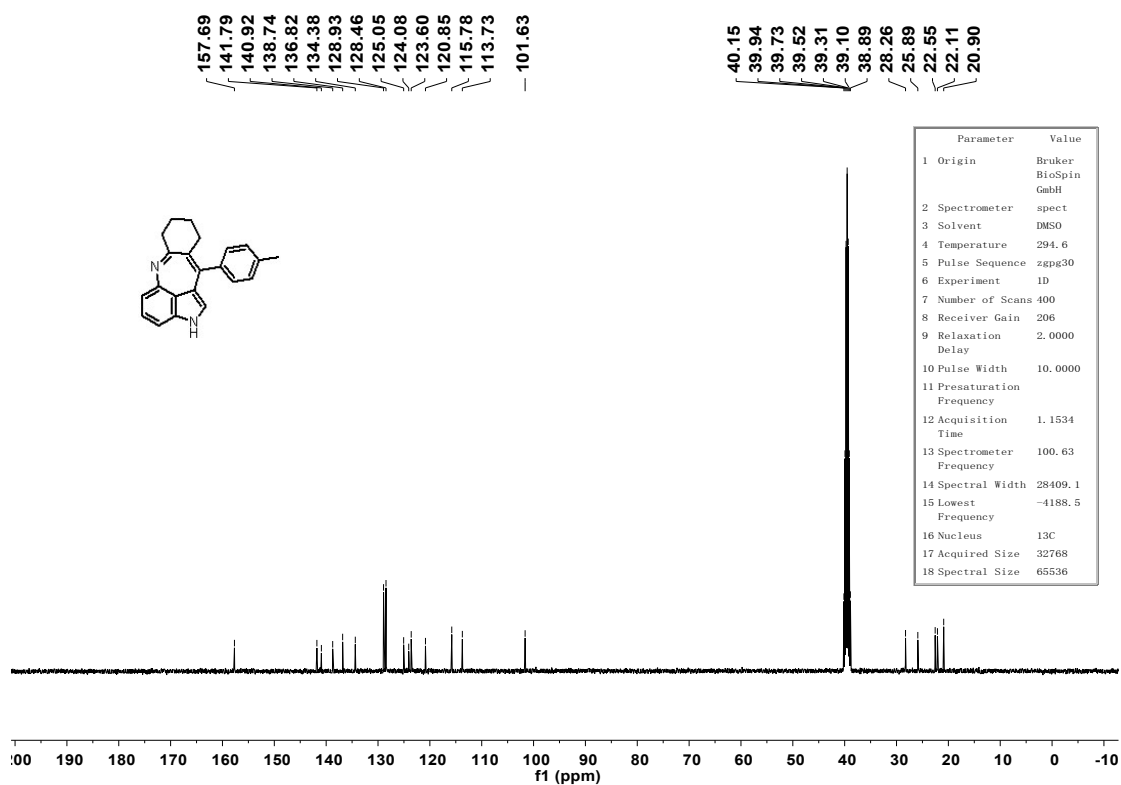
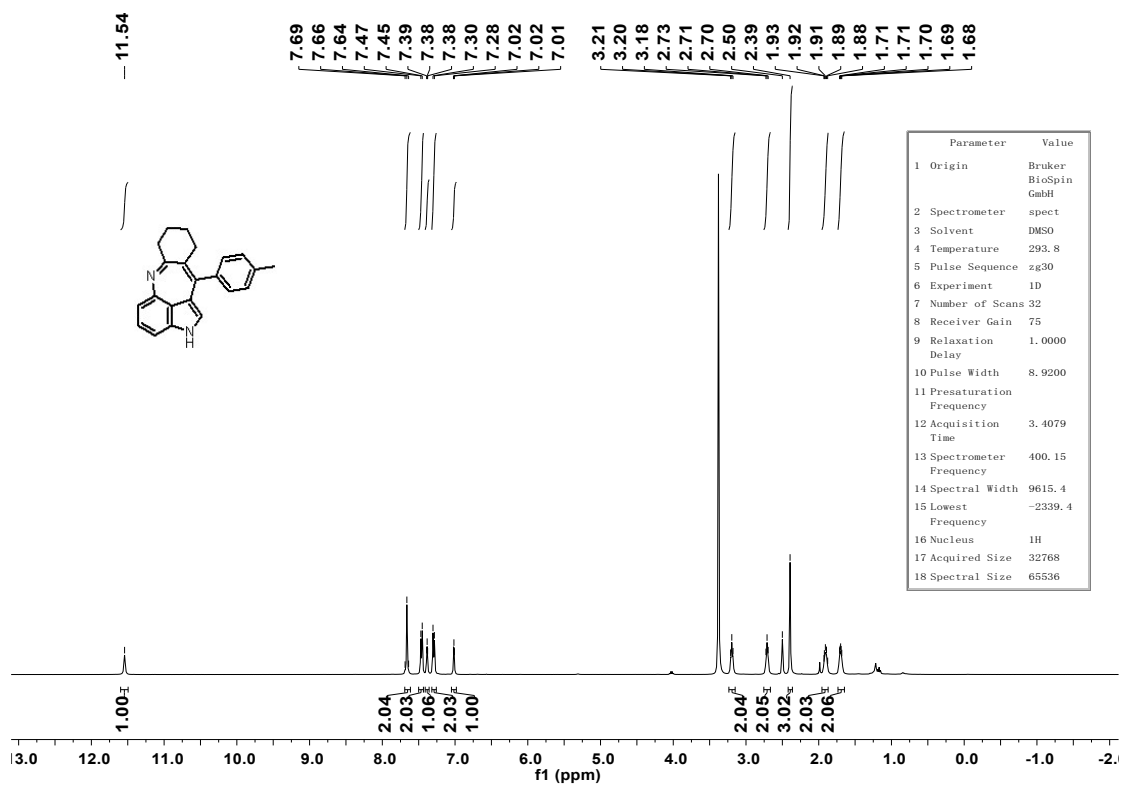
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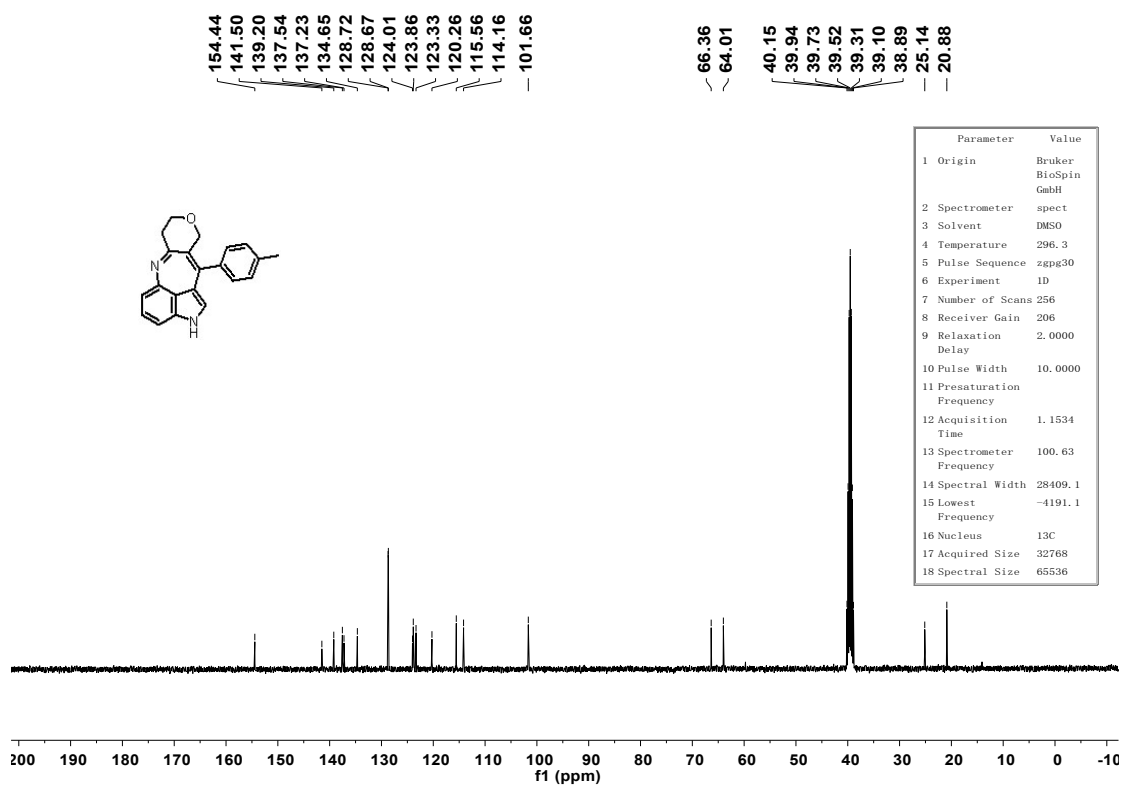
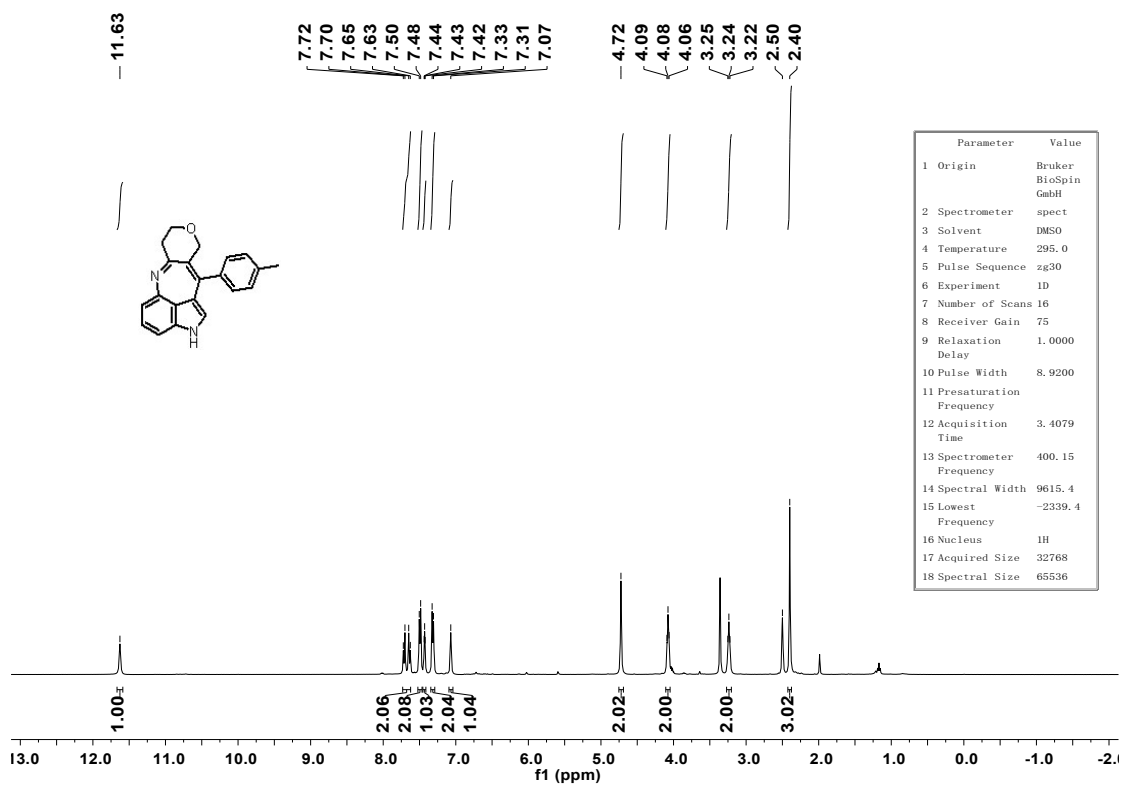
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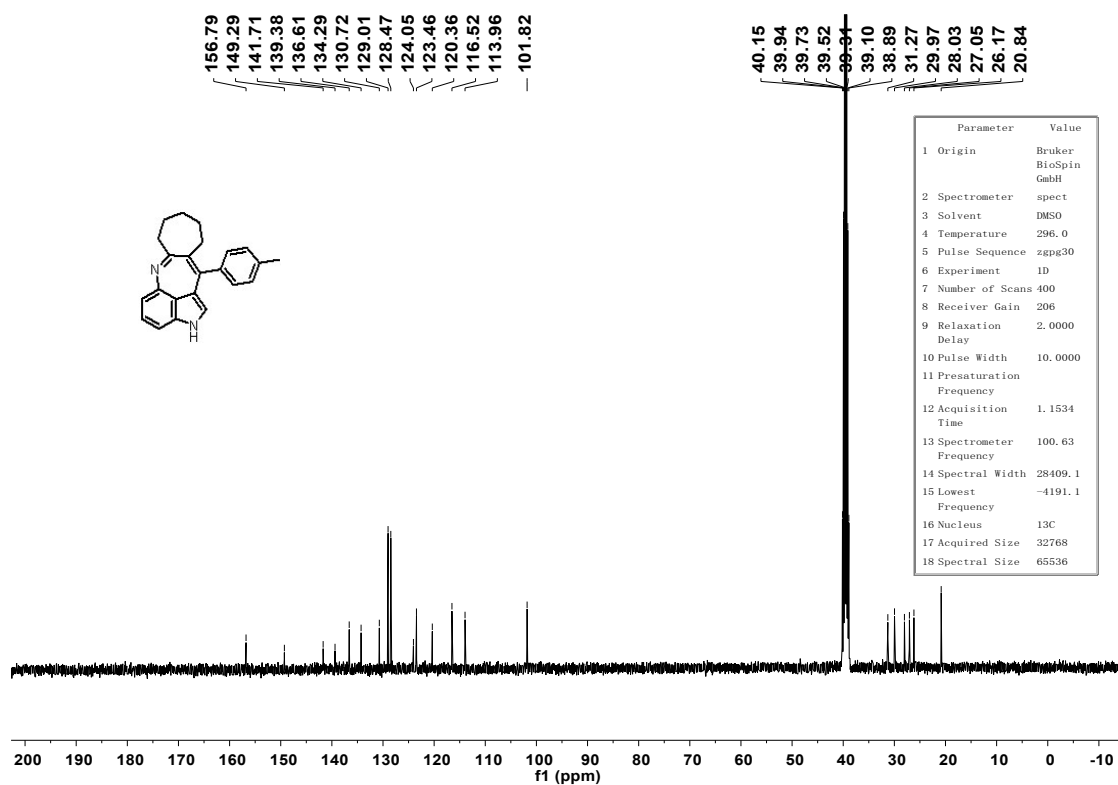
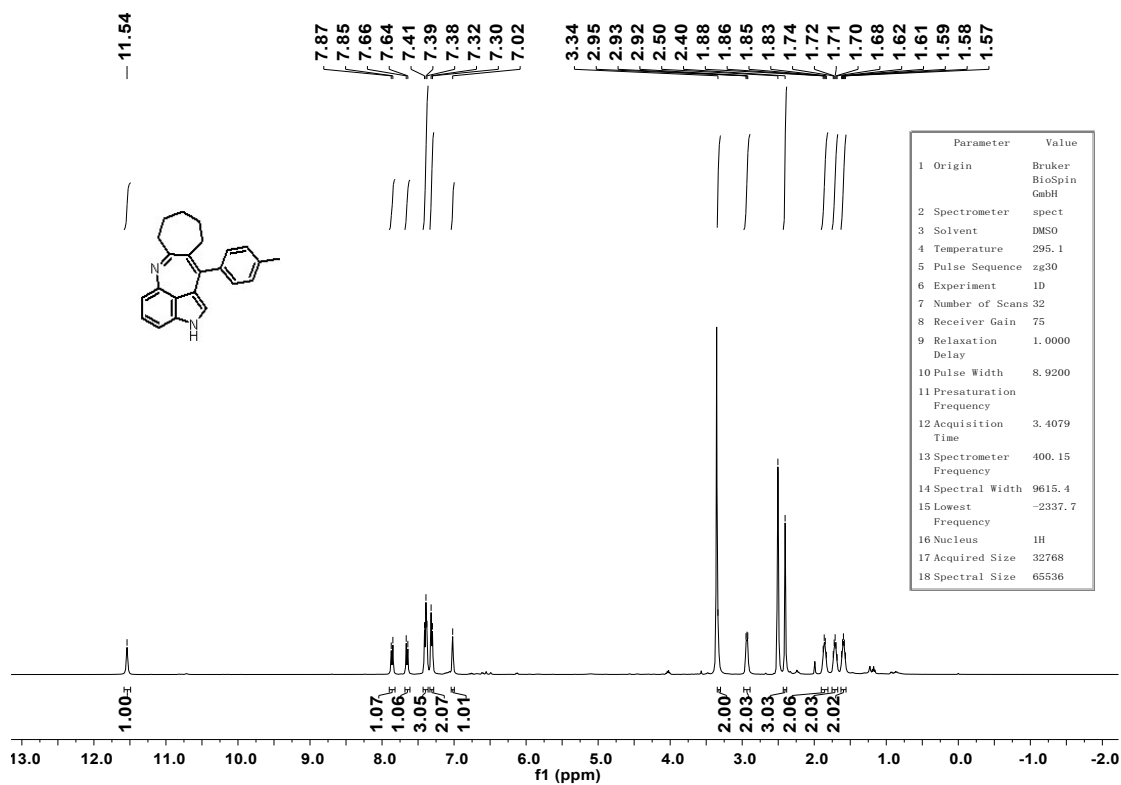
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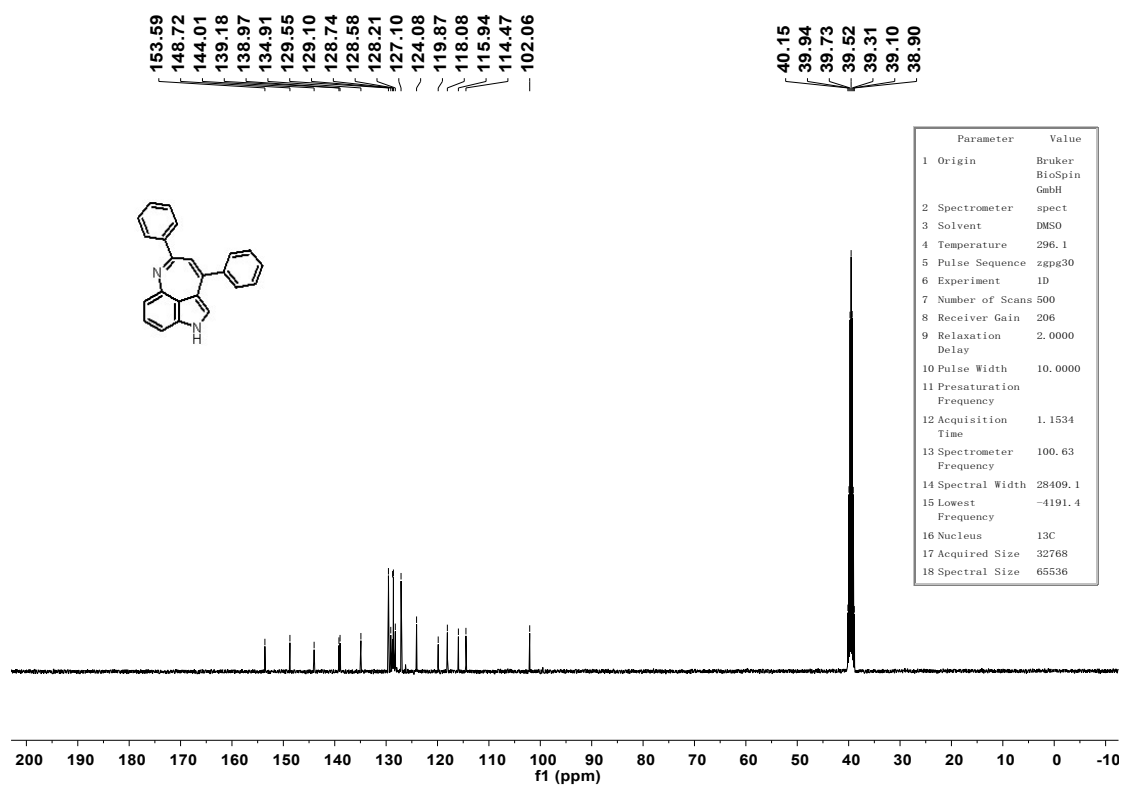
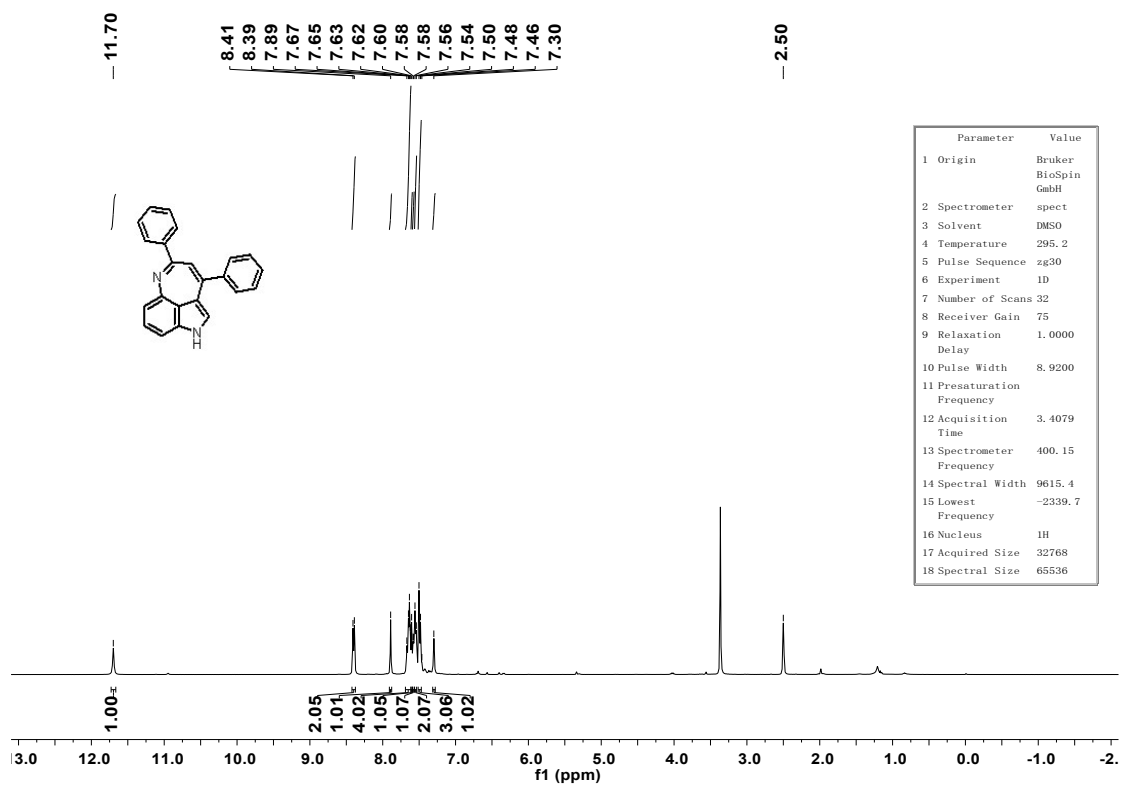
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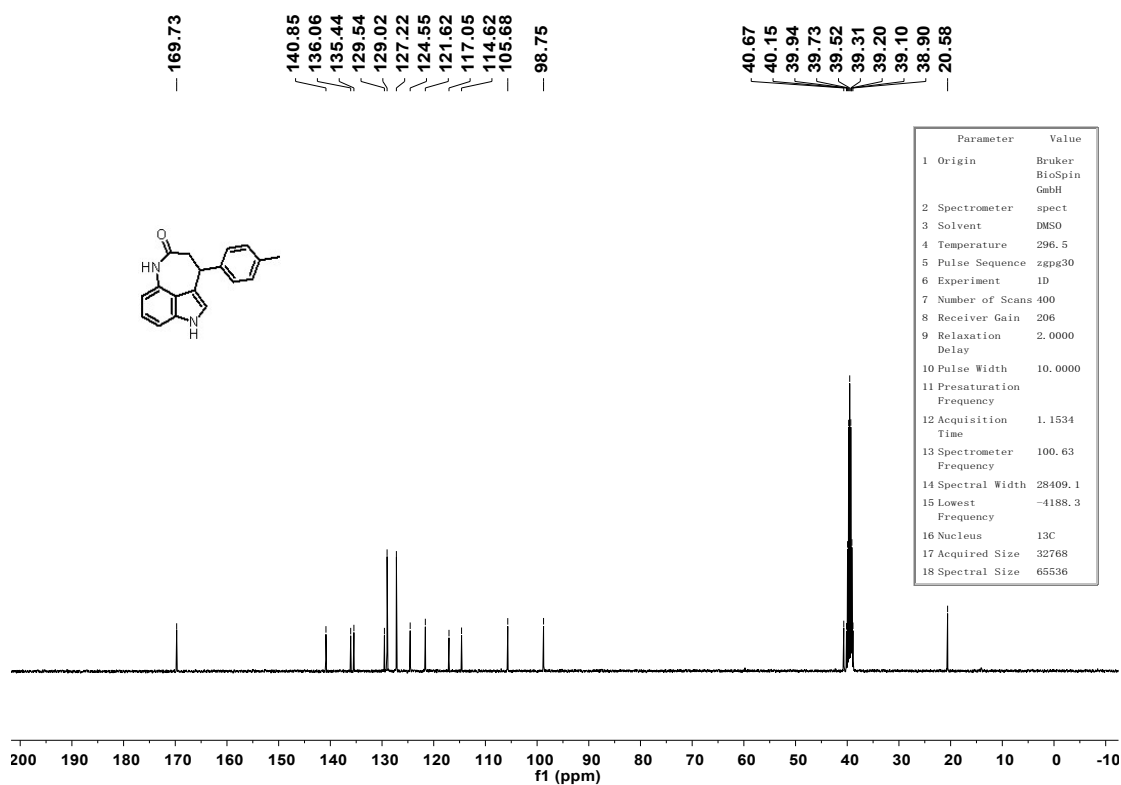
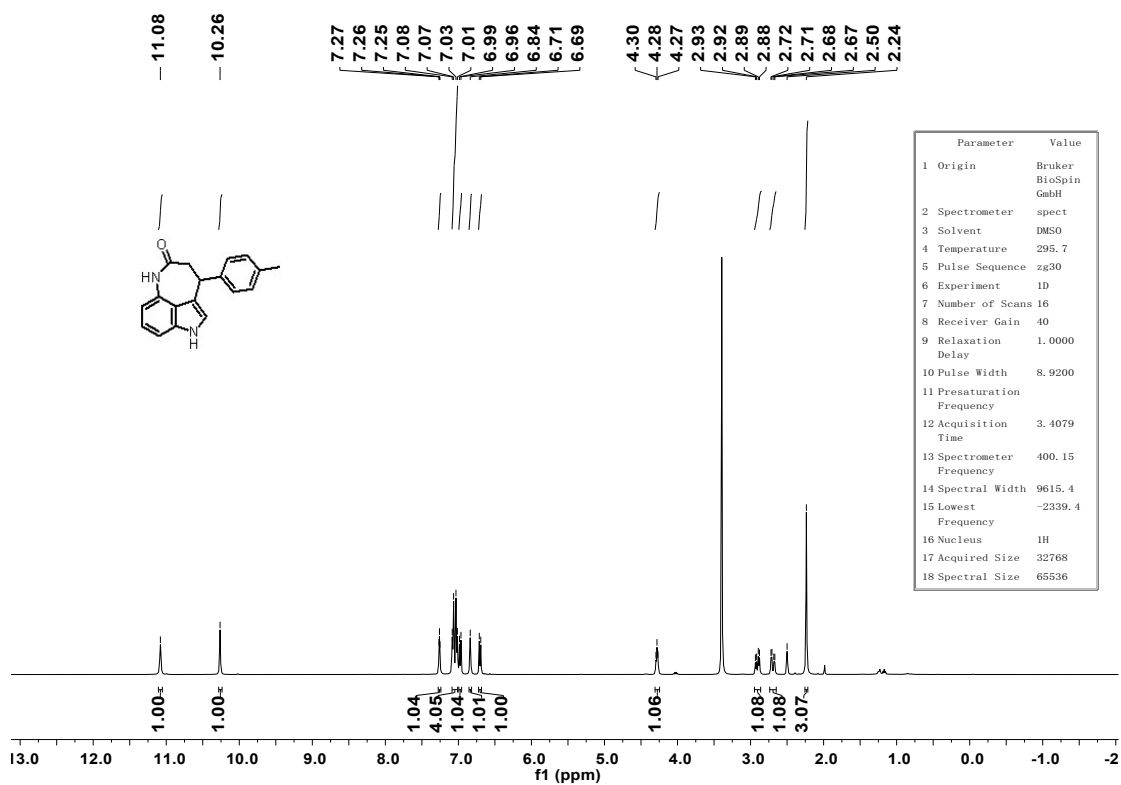
4ac



4ad



8a



## 6. References

- (1) (a) H. Imoto, K. Nohmi, K. Kizaki, S. Watase, K. Matsukawa, S. Yamamoto, M. Mitsuishi and K. Naka, *RSC Adv.*, 2015, **5**, 94344; (b) D. Ramanathan and K. Pitchumani, *Eur. J. Org. Chem.*, 2015, **2015**, 463; (c) W. Wu, Y. Guo, X. Xu, Z. Zhou, X. Zhang, B. Wu and W. Yi, *Org. Chem. Front.*, 2018, **5**, 1713; (d) Q. Zhu, H. Jiang, J. Li, M. Zhang, X. Wang and C. Qi, *Tetrahedron*, 2009, **65**, 4604.