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Supplementary information

[2+1+1] Assembly of spiro β-lactams by Rh(II)-catalyzed reaction of diazocarbonyl compounds with azirines/isoxazoles

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1. General experimental details

Melting points were determined on a melting point apparatus SMP30. ¹H (400 MHz) and ¹³C (100 MHz) NMR spectra were recorded on a Bruker AVANCE 400 spectrometer in CDCl₃. Chemical shifts (δ) are reported in ppm downfield from tetramethylsilane. Electrospray ionization (ESI), positive mode, mass spectra were measured on a Bruker MaXis mass spectrometer. Thin-layer chromatography (TLC) was conducted on aluminum sheets precoated with SiO₂ ALUGRAM SIL G/UV254. Column chromatography was performed on Macherey-Nagel silica gel 60 M (0.04–0.063 mm). Dichloromethane was washed with concentrated H₂SO₄, water, then distilled from P₂O₅ and stored over anhydrous K₂CO₃.

2. Synthesis of 4-bromo-3-(4-tert-butylphenyl)-5-methoxyisoxazole 6f¹



Synthesis of 3-(4-tert-butylphenyl)-5-methoxyisoxazole. To a stirred suspension of 3-(4-(tertbutyl)phenyl)isoxazol-5(4*H*)-one (1.22 g, 5.6 mmol) in anhydrous Et₂O (50 mL) was added dropwise at 0 °C a solution of diazomethane (11.2 mmol) in Et₂O, prepared from *N*-nitroso-*N*methylurea (1.73 g, 16.8 mmol) and KOH (5.02 g, 89.6 mmol). The resulting mixture was stirred at ambient temperature for 2 h and then concentrated under reduced pressure. The residue was purified by column chromatography on silica gel (EtOAc–hexane) to give 3-(4-tertbutylphenyl)-5-methoxyisoxazole (648 mg, 50%) as a colorless solid. Mp 74–76 °C (Et₂O– hexane). ¹H NMR (400 MHz, CDCl₃) δ 7.73–7.68 (m, 2H), 7.51–7.46 (m, 2H), 5.53 (s, 1H), 4.06 (s, 3H), 1.37 (s, 9H); ¹³C NMR (100 MHz, CDCl₃) δ 174.3, 164.1, 153.3, 126.7, 126.2, 125.7, 75.3, 58.7, 34.8, 31.2. HRMS–ESI [M+H]⁺ calcd for C₁₄H₁₈NO₂⁺: 232.1332; found 232.1330.

Synthesis of 4-bromo-3-(4-tert-butylphenyl)-5-methoxyisoxazole. A solution of 3-(4-tertbutylphenyl)-5-methoxyisoxazole (462 mg, 2 mmol) and N-bromosuccinimide (392 mg, 2.2 mmol) in AcOH (10 mL) was heated at 75 °C under stirring for 40 min. The reaction mixture was diluted with H₂O (30 mL) and extracted with CH₂Cl₂ (3×10 mL). The combined organic layers were dried over Na₂SO₄ and concentrated under reduced pressure. The residue was purified by column chromatography on silica gel (EtOAc–hexane) to give isoxazole **6** (484 mg, 78%) as a colorless solid. Mp 87–89 °C (Et₂O–hexane). ¹H NMR (400 MHz, CDCl₃) δ 7.82–7.76 (m, 2H), 7.54–7.50 (m, 2H), 4.23 (s, 3H), 1.38 (s, 9H); ¹³C NMR (100 MHz, CDCl₃) δ 169.2, 162.4, 153.5, 127.6, 125.6, 125.4, 66.7, 58.4, 34.9, 31.2. HRMS–ESI [M+H]⁺ calcd for C₁₄H₁₇⁷⁹BrNO₂⁺: 310.0437; found 310.0444.

3. ¹H and ¹³C NMR spectra

3-(4-(tert-Butyl)phenyl)-5-methoxyisoxazole



Methyl (*E*)-2-bromo-3-(2,2-dimethyl-4,6-dioxo-1,3-dioxan-5-ylideneamino)-3phenylacrylate (3a)



Methyl 3-bromo-7,7-dimethyl-5,9-dioxo-2-phenyl-6,8-dioxa-1-azaspiro[3.5]non-1-ene-3-carboxylate (4a)

















 $S-Phenyl~(E)-2-bromo-3-phenyl-3-(2,2,9,9-tetramethyl-4,7,11,13-tetraoxo-1,3,8,10-tetraoxa-12-azadispiro[4.0.5^{6}.2^{5}]tridecan-12-yl)prop-2-enethioate~(5d)$











Methyl (E)-3-phenyl-3-(2,2,9,9-tetramethyl-4,7,11,13-tetraoxo-1,3,8,10-tetraoxa-12-azadispiro[$4.0.5^{6}.2^{5}$]tridecan-12-yl)acrylate (E-5g)









Dimethyl (*E*)-2-(2-bromo-3-methoxy-3-oxo-1-(p-tolyl)prop-1-en-1-yl)-6,6-dimethyl-3,8-dioxo-5,7-dioxa-2-azaspiro[3.4]octane-1,1-dicarboxylate (5k)









Dimethyl (*E*)-2-(2-chloro-3-methoxy-3-oxo-1-phenylprop-1-en-1-yl)-6,6-dimethyl-3,8-dioxo-5,7-dioxa-2-azaspiro[3.4]octane-1,1-dicarboxylate (50)





 $\label{eq:methy} \begin{array}{ll} (E) \mbox{-}1\mbox{-}benzoyl\mbox{-}2\mbox{-}(2\mbox{-}brom\mbox{-}3\mbox{-}ox\mbox{-}1\mbox{-}phenylprop\mbox{-}1\mbox{-}en\mbox{-}1\mbox{-}yl)\mbox{-}6\mbox{-}6\mbox{-}dimethyl\mbox{-}3\mbox{-}8\mbox{-}dimethyl\mbox{-}3\mbox{-}8\mbox{-}dimethyl\mbox{-}1\mbox{-}en\mbox{-}1\mbox{-}en\mbox{-}1\mbox{-}yl)\mbox{-}6\mbox{-}6\mbox{-}dimethyl\mbox{-}3\mbox{-}8\mbox{-}dimethyl\mbox{-}1\mbox{-}en\mbox{-}1\mbox{-}en\mbox{-}1\mbox{-}yl)\mbox{-}6\mbox{-}6\mbox{-}dimethyl\mbox{-}3\mbox{-}2\mbox{-}2\mbox{-}azaspiro\mbox{-}3\mbox{-}4\mbox{-}2\mbox{-}2\mbox{-}azaspiro\mbox{-}3\mbox{-}4\mbox{-}2\mbo$





(*E*)-methyl 2-(2-bromo-3-methoxy-3-oxo-1-phenylprop-1-en-1-yl)-6,6-dimethyl-1-(4-nitro-benzoyl)-3,8-dioxo-5,7-dioxa-2-azaspiro[3.4]octane-1-carboxylate (5q)



(1*RS*,4*SR*)-5r/(1*RS*,4*RS*)-5r mixture (6:1)









4. X-ray data of compounds 5a,p-r

Figure S1. X-Ray crystal structure of lactam **5a** with 50% ellipsoid probability (CCDC 1890947).



| Identification code | smi7 | | | |
|--|--|--|--|--|
| Empirical formula | $C_{22}H_{20}NO_{10}Br$ | | | |
| Formula weight | 538.30 | | | |
| Temperature/K | 100(2) | | | |
| Crystal system | monoclinic | | | |
| Space group | $P2_1/n$ | | | |
| a/Å | 9.6963(3) | | | |
| b/Å | 12.8666(3) | | | |
| c/Å | 18.3433(6) | | | |
| $\alpha/^{\circ}$ | 90 | | | |
| β/° | 100.842(3) | | | |
| $\gamma/^{\circ}$ | 90 | | | |
| Volume/Å ³ | 2247.61(12) | | | |
| Ζ | 4 | | | |
| $\rho_{calc}g/cm^3$ | 1.591 | | | |
| μ/mm^{-1} | 1.888 | | | |
| F(000) | 1096.0 | | | |
| Crystal size/mm ³ | $0.26 \times 0.20 \times 0.16$ | | | |
| Radiation | MoKa ($\lambda = 0.71073$) | | | |
| 2Θ range for data collection/ | ° 5.2 to 54.988 | | | |
| Index ranges | $-11 \le h \le 12, -16 \le k \le 16, -23 \le l \le 23$ | | | |
| Reflections collected | 22363 | | | |
| Independent reflections | 5104 [$R_{int} = 0.0392$, $R_{sigma} = 0.0386$] | | | |
| Data/restraints/parameters | 5104/0/312 | | | |
| Goodness-of-fit on F ² | 1.047 | | | |
| Final R indexes [I>= 2σ (I)] | $R_1 = 0.0380, wR_2 = 0.0743$ | | | |
| Final R indexes [all data] | $R_1 = 0.0509, wR_2 = 0.0790$ | | | |
| Largest diff. peak/hole / e Å ⁻³ 1.19/-0.55 | | | | |

 Table S1. Crystal data and structure refinement for compound 5a.



Figure S2. X-Ray crystal structure of lactam **5p** with 50% ellipsoid probability (CCDC 1811691).

| Identification code | smilnew | | | |
|--|--|--|--|--|
| Empirical formula | $C_{26}H_{22}NO_9Br$ | | | |
| Formula weight | 572.35 | | | |
| Temperature/K | 100(2) | | | |
| Crystal system | monoclinic | | | |
| Space group | $P2_1/c$ | | | |
| a/Å | 11.2685(6) | | | |
| b/Å | 18.6581(7) | | | |
| c/Å | 12.8795(8) | | | |
| a/° | 90 | | | |
| β/° | 111.426(7) | | | |
| $\gamma/^{\circ}$ | 90 | | | |
| Volume/Å ³ | 2520.8(2) | | | |
| Z | 4 | | | |
| $\rho_{calc}g/cm^3$ | 1.508 | | | |
| µ/mm⁻¹ | 1.686 | | | |
| F(000) | 1168.0 | | | |
| Crystal size/mm ³ | $0.28\times0.16\times0.12$ | | | |
| Radiation | MoKa ($\lambda = 0.71073$) | | | |
| 2Θ range for data collection/ | ° 5.532 to 54.996 | | | |
| Index ranges | $-13 \le h \le 14, -22 \le k \le 24, -12 \le l \le 16$ | | | |
| Reflections collected | 10736 | | | |
| Independent reflections | 5709 [$R_{int} = 0.0324$, $R_{sigma} = 0.0557$] | | | |
| Data/restraints/parameters | 5709/0/338 | | | |
| Goodness-of-fit on F ² | 1.030 | | | |
| Final R indexes [I>= 2σ (I)] | $R_1 = 0.0388, wR_2 = 0.0764$ | | | |
| Final R indexes [all data] | $R_1 = 0.0592, wR_2 = 0.0845$ | | | |
| Largest diff. peak/hole / e Å ⁻³ 0.66/-0.47 | | | | |

 Table S2. Crystal data and structure refinement for compound 5p.



Figure S3. X-Ray crystal structure of lactam **5q** with 50% ellipsoid probability (CCDC 1893280).

| Identification code | 10681-11733_agf2 | | | |
|--|---|--|--|--|
| Empirical formula | $C_{26}H_{21}BrN_2O_{11}$ | | | |
| Formula weight | 617.36 | | | |
| Temperature/K | 100(2) | | | |
| Crystal system | monoclinic | | | |
| Space group | C2/c | | | |
| a/Å | 17.9781(2) | | | |
| b/Å | 10.33117(14) | | | |
| c/Å | 27.7978(4) | | | |
| $\alpha/^{\circ}$ | 90 | | | |
| β/° | 93.5433(12) | | | |
| γ/° | 90 | | | |
| Volume/Å ³ | 5153.15(12) | | | |
| Z | 8 | | | |
| $\rho_{calc}g/cm^3$ | 1.591 | | | |
| μ/mm^{-1} | 2.770 | | | |
| F(000) | 2512.0 | | | |
| Crystal size/mm ³ | $0.26 \times 0.16 \times 0.12$ | | | |
| Radiation | $CuK\alpha$ ($\lambda = 1.54184$) | | | |
| 2Θ range for data collection/° 6.372 to 152.302 | | | | |
| Index ranges | $\textbf{-22} \leq h \leq 22, \textbf{-12} \leq k \leq 12, \textbf{-34} \leq \textbf{l} \leq \textbf{34}$ | | | |
| Reflections collected | 34103 | | | |
| Independent reflections | 5332 [$R_{int} = 0.0301, R_{sigma} = 0.0181$] | | | |
| Data/restraints/parameters | 5332/0/365 | | | |
| Goodness-of-fit on F ² | 1.064 | | | |
| Final R indexes [I>= 2σ (I)] | $R_1 = 0.0346, wR_2 = 0.0979$ | | | |
| Final R indexes [all data] | $R_1 = 0.0356, wR_2 = 0.0988$ | | | |
| Largest diff. peak/hole / e Å ⁻³ 0.50/-0.93 | | | | |

 Table S3. Crystal data and structure refinement for compound 5q.



Figure S4. X-Ray crystal structure of lactam **5r** with 50% ellipsoid probability (CCDC 1893285).

| Identification code | 10681-11733_agf1 | | |
|---|---|--|--|
| Empirical formula | $C_{23}H_{22}BrNO_9$ | | |
| Formula weight | 536.32 | | |
| Temperature/K | 100(2) | | |
| Crystal system | monoclinic | | |
| Space group | P21/n | | |
| a/Å | 11.66080(10) | | |
| b/Å | 15.21840(10) | | |
| c/Å | 13.20380(10) | | |
| α/\circ | 90 | | |
| β/° | 91.1330(10) | | |
| $\gamma/^{\circ}$ | 90 | | |
| Volume/Å ³ | 2342.67(3) | | |
| Z | 4 | | |
| $\rho_{calc}g/cm^3$ | 1.521 | | |
| μ/mm^{-1} | 2.867 | | |
| F(000) | 1096.0 | | |
| Crystal size/mm ³ | $0.24 \times 0.16 \times 0.14$ | | |
| Radiation | $CuK\alpha$ ($\lambda = 1.54184$) | | |
| 20 range for data collection/° 8.868 to 145.802 | | | |
| Index ranges | $\text{-}14 \leq h \leq 13, \text{-}18 \leq k \leq 18, \text{-}15 \leq l \leq 16$ | | |
| Reflections collected | 23811 | | |
| Independent reflections | 4641 [$R_{int} = 0.0294$, $R_{sigma} = 0.0163$] | | |
| Data/restraints/parameters | 4641/0/312 | | |
| Goodness-of-fit on F ² | 1.087 | | |
| Final R indexes [I>= 2σ (I)] | $R_1 = 0.0398, wR_2 = 0.1143$ | | |
| Final R indexes [all data] | $R_1 = 0.0402, wR_2 = 0.1147$ | | |
| Largest diff. peak/hole / e Å-3 | 3 0.55/-1.19 | | |

Table S4. Crystal data and structure refinement for compound 5r.

5. References

1. N. V. Rostovskii, A. V. Agafonova, I. A. Smetanin, M. S. Novikov, A. F. Khlebnikov, J. O. Ruvinskaya, G. L. Starova, *Synthesis*, 2017, **28**, 4478–4488.