

Electronic Supplementary Information

Taguchi method assisted multiple effects optimization on optical and luminescence performance of Ce: YAG transparent ceramics for high power white LEDs

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1 Supporting table

Table S1. Orthogonal experiment plan and corresponding samples' label

| No. | Factors | | | Corresponding Samples |
|-----|---------|---|---|-----------------------|
| | A | B | C | Label |
| 1 | 1 | 1 | 1 | A1B1C1 |
| 2 | 1 | 2 | 2 | A1B2C2 |
| 3 | 1 | 3 | 3 | A1B3C3 |
| 4 | 1 | 4 | 4 | A1B4C4 |
| 5 | 2 | 1 | 2 | A2B1C2 |
| 6 | 2 | 2 | 1 | A2B2C1 |
| 7 | 2 | 3 | 4 | A2B3C4 |
| 8 | 2 | 4 | 3 | A2B4C3 |
| 9 | 3 | 1 | 3 | A3B1C3 |
| 10 | 3 | 2 | 4 | A3B2C4 |
| 11 | 3 | 3 | 1 | A3B3C1 |
| 12 | 3 | 4 | 2 | A3B4C2 |
| 13 | 4 | 1 | 4 | A4B1C4 |
| 14 | 4 | 2 | 3 | A4B2C3 |
| 15 | 4 | 3 | 2 | A4B3C2 |
| 16 | 4 | 4 | 1 | A4B4C1 |

Table S2. LE, CIE-CC and their SNR values of all Ce: YAG ceramics packed LED devices under COB power of 8.625 W

| No. | Sample label | LE, lm/W | CIE-CC | Distance to ideal CIE (0.33, 0.33) | SNR/ dB (LE) | SNR/ dB (Ideal CIE) |
|-----|--------------|----------|------------------|------------------------------------|--------------|---------------------|
| 1 | A1B1C1 | 16.92 | (0.2129, 0.1623) | 0.2088 | 24.568 | 13.605 |
| 2 | A1B2C2 | 23.34 | (0.2713, 0.2777) | 0.0830 | 27.362 | 21.612 |
| 3 | A1B3C3 | 19.96 | (0.3409, 0.4042) | 0.0714 | 26.603 | 22.924 |
| 4 | A1B4C4 | 37.64 | (0.3980, 0.4867) | 0.1667 | 31.513 | 15.560 |
| 5 | A2B1C2 | 12.78 | (0.2064, 0.1476) | 0.2245 | 22.131 | 12.976 |
| 6 | A2B2C1 | 29.7 | (0.3487, 0.4311) | 0.0990 | 29.455 | 20.088 |
| 7 | A2B3C4 | 37.17 | (0.3798, 0.4762) | 0.1507 | 31.403 | 16.440 |
| 8 | A2B4C3 | 26.09 | (0.4120, 0.5282) | 0.2102 | 28.329 | 13.546 |
| 9 | A3B1C3 | 13.11 | (0.2090, 0.1535) | 0.2184 | 22.352 | 13.215 |
| 10 | A3B2C4 | 32.17 | (0.3032, 0.3370) | 0.0302 | 30.149 | 30.410 |
| 11 | A3B3C1 | 27.76 | (0.4178, 0.5431) | 0.2261 | 28.868 | 12.916 |
| 12 | A3B4C2 | 29.54 | (0.4261, 0.5474) | 0.2335 | 29.408 | 12.636 |
| 13 | A4B1C4 | 23.74 | (0.2293, 0.1944) | 0.1735 | 27.509 | 15.214 |
| 14 | A4B2C3 | 23.77 | (0.3683, 0.4665) | 0.1375 | 27.521 | 17.235 |
| 15 | A4B3C2 | 30.48 | (0.4211, 0.5475) | 0.2315 | 29.680 | 12.708 |
| 16 | A4B4C1 | 32.31 | (0.4307, 0.5486) | 0.2364 | 30.187 | 12.526 |

Table S3. LE, CIE-CC and their SNR values of all Ce: YAG ceramics packed LED devices under COB power of 27.69 W

| No. | Sample label | LE, lm/W | CIE-CC | Distance to ideal CIE (0.33, 0.33) | SNR/ dB (LE) | SNR/ dB (Ideal CIE) |
|-----|--------------|----------|------------------|------------------------------------|--------------|---------------------|
| 1 | A1B1C1 | 22.10 | (0.1988, 0.1511) | 0.2265 | 26.888 | 12.899 |
| 2 | A1B2C2 | 29.98 | (0.2399, 0.2281) | 0.1407 | 29.537 | 17.033 |
| 3 | A1B3C3 | 27.05 | (0.2934, 0.3215) | 0.0412 | 28.643 | 27.696 |
| 4 | A1B4C4 | 51.67 | (0.3594, 0.4116) | 0.0825 | 34.265 | 21.675 |
| 5 | A2B1C2 | 17.63 | (0.1920, 0.1373) | 0.2417 | 24.925 | 12.336 |
| 6 | A2B2C1 | 40.45 | (0.3197, 0.3796) | 0.0480 | 32.138 | 26.383 |
| 7 | A2B3C4 | 51.37 | (0.3520, 0.4236) | 0.0922 | 34.214 | 20.706 |
| 8 | A2B4C3 | 35.71 | (0.3597, 0.4240) | 0.0943 | 31.056 | 20.506 |
| 9 | A3B1C3 | 18.88 | (0.1986, 0.1511) | 0.2265 | 25.52 | 12.899 |
| 10 | A3B2C4 | 45.72 | (0.2848, 0.3114) | 0.0539 | 33.202 | 25.376 |
| 11 | A3B3C1 | 37.05 | (0.3626, 0.4342) | 0.1053 | 31.376 | 19.547 |
| 12 | A3B4C2 | 40.35 | (0.3880, 0.4685) | 0.1459 | 32.117 | 16.716 |
| 13 | A4B1C4 | 35.14 | (0.2261, 0.2046) | 0.1676 | 30.916 | 15.513 |
| 14 | A4B2C3 | 33.82 | (0.3364, 0.4112) | 0.0782 | 30.583 | 22.140 |
| 15 | A4B3C2 | 41.96 | (0.3768, 0.4619) | 0.1356 | 32.457 | 17.352 |
| 16 | A4B4C1 | 44.83 | (0.3914, 0.4747) | 0.1530 | 33.031 | 16.308 |

Table S4. SNR responses of LE and CIE-CC of the as-constructed LED devices under P=8.625 W from the Taguchi method

| Levels | SNR (LE/ CIE-CC) | | |
|--------|------------------|-------------------------|----------------------|
| | A: Thickness | B: Doping concentration | C: Surface roughness |
| 1 | 27.362/18.425 | 24.140/13.752 | 28.270/14.784 |
| 2 | 27.829/15.763 | 28.622/22.336 | 27.145/14.983 |
| 3 | 27.694/17.294 | 28.986/16.247 | 26.051/16.730 |
| 4 | 28.724/14.421 | 29.859/13.484 | 30.144/19.406 |

Table S5. SNR responses of LE and CIE-CC of the as-constructed LED devices under P=27.69 W from the Taguchi method

| Levels | SNR (LE/ CIE-CC) | | |
|--------|------------------|-------------------------|----------------------|
| | A: Thickness | B: Doping concentration | C: Surface roughness |
| 1 | 29.833/19.982 | 27.062/13.412 | 30.858/18.784 |
| 2 | 30.583/19.826 | 31.365/22.731 | 29.759/15.859 |
| 3 | 30.553/18.635 | 31.673/21.325 | 28.951/20.810 |
| 4 | 31.747/17.826 | 32.617/18.801 | 33.149/20.818 |

Table S6. ANOVA results for LE and CIE-CC of various as-constructed devices under 8.625 W

| | Source of variation | Sum of square | d.f. | Mean squares | F value | P value |
|--------|---------------------|---------------|------|--------------|---------|---------|
| LE | X1 | 20.594 | 3 | 6.865 | 1.3 | 0.3575 |
| | X2 | 505.555 | 3 | 168.518 | 31.93 | 0.0004 |
| | X3 | 306.715 | 3 | 102.238 | 19.37 | 0.0017 |
| | Error | 31.667 | 6 | 5.278 | | |
| | Total | 864.531 | 15 | | | |
| CIE-CC | X1 | 0.00826 | 3 | 0.00275 | 1.95 | 0.2223 |
| | X2 | 0.03948 | 3 | 0.01316 | 9.34 | 0.0112 |
| | X3 | 0.01092 | 3 | 0.00364 | 2.58 | 0.1487 |
| | Error | 0.00845 | 6 | 0.00141 | | |
| | Total | 0.06711 | 15 | | | |

Table S7. ANOVA results for LE and CIE-CC of various as-constructed devices under 27.69 W

| | Source of variation | Sum of square | d.f. | Mean squares | F value | P value |
|--------|---------------------|---------------|------|--------------|---------|---------|
| LE | X1 | 79.08 | 3 | 26.361 | 2.82 | 0.1294 |
| | X2 | 888.86 | 3 | 296.286 | 31.7 | 0.0004 |
| | X3 | 650.92 | 3 | 216.972 | 23.21 | 0.0011 |
| | Error | 56.08 | 6 | 9.346 | | |
| | Total | 1674.93 | 15 | | | |
| CIE-CC | X1 | 0.00064 | 3 | 0.00021 | 0.22 | 0.8825 |
| | X2 | 0.04487 | 3 | 0.01496 | 15.1 | 0.0033 |
| | X3 | 0.0105 | 3 | 0.0035 | 3.53 | 0.088 |
| | Error | 0.00594 | 6 | 0.00099 | | |
| | Total | 0.06196 | 15 | | | |

2 MATLAB® ANOVA analysis source program under different powder

(1) ANOVA for LE under 3.125 W

```
>> y=[23.61 31.85 26.65 48.23 17.61 40.15 48.87 35.81 15.91 43.87 43.53 42.26 32.91  
31.48 40.05 42.37]';  
>> g1=[0.4 0.4 0.4 0.4 0.8 0.8 0.8 0.8 1.0 1.0 1.0 1.0 1.5 1.5 1.5 1.5];  
>> g2={'0.01%';'0.05%';'0.1%';'0.2%';'0.01%';'0.05%';'0.1%';'0.2%';'0.01%';'0.05%';'0.1%';  
0.2%';'0.01%';'0.05%';'0.1%';'0.2%'};  
>> g3={'W40';'W20';'W10';'W0.6';'W20';'W40';'W0.6';'W10';'W10';'W0.6';'W40';'W20';'W0.6';  
W10';'W20';'W40'};  
>> p=anovan(y,{g1,g2,g3})
```

ANOVA for CIE-CC under 3.125 W

```
>> y=[0.191 0.07 0.107 0.164 0.213 0.126 0.143 0.220 0.220 0.212 0.225 0.236 0.165  
0.155 0.234 0.372]';  
>> g1=[0.4 0.4 0.4 0.4 0.8 0.8 0.8 0.8 1.0 1.0 1.0 1.0 1.5 1.5 1.5 1.5];  
>> g2={'0.01%';'0.05%';'0.1%';'0.2%';'0.01%';'0.05%';'0.1%';'0.2%';'0.01%';'0.05%';'0.1%';  
0.2%';'0.01%';'0.05%';'0.1%';'0.2%'};  
>> g3={'W40';'W20';'W10';'W0.6';'W20';'W40';'W0.6';'W10';'W10';'W0.6';'W40';'W20';'W0.6';  
W10';'W20';'W40'};  
>> p=anovan(y,{g1,g2,g3})
```

(2) ANOVA for LE under 8.625 W

```
>> y=[16.92 23.34 19.96 37.64 12.78 29.7 37.17 26.09 13.11 32.17 27.76 29.54 23.74  
23.77 30.48 32.31];  
>> g1=[0.4 0.4 0.4 0.4 0.8 0.8 0.8 0.8 1.0 1.0 1.0 1.0 1.5 1.5 1.5 1.5];  
>> g2={'0.01%','0.05%','0.1%','0.2%','0.01%','0.05%','0.1%','0.2%','0.01%','0.05%','0.1%','  
0.2%','0.01%','0.05%','0.1%','0.2%'};  
>> g3={'W40','W20','W10','W0.6','W20','W40','W0.6','W10','W10','W0.6','W40','W20','W0.6','  
W10','W20','W40'};  
>> p=anovan(y,{g1,g2,g3})
```

ANOVA for CIE-CC under 8.625 W

```
>> y=[0.2088 0.0830 0.0714 0.1667 0.2245 0.0990 0.1507 0.2102 0.2184 0.0302 0.2261  
0.2335 0.1735 0.1375 0.2315 0.2364];  
>> g1=[0.4 0.4 0.4 0.4 0.8 0.8 0.8 0.8 1.0 1.0 1.0 1.0 1.5 1.5 1.5 1.5];  
>> g2={'0.01%','0.05%','0.1%','0.2%','0.01%','0.05%','0.1%','0.2%','0.01%','0.05%','0.1%','  
0.2%','0.01%','0.05%','0.1%','0.2%'};  
>> g3={'W40','W20','W10','W0.6','W20','W40','W0.6','W10','W10','W0.6','W40','W20','W0.6','  
W10','W20','W40'};  
>> p=anovan(y,{g1,g2,g3})
```

(3) ANOVA for LE under 27.69 W

```
>> y=[22.10 29.98 27.05 51.67 17.63 40.45 51.37 35.71 18.88 45.72 37.05 40.35 35.14  
33.82 41.96 44.83];  
>> g1=[0.4 0.4 0.4 0.4 0.8 0.8 0.8 0.8 1.0 1.0 1.0 1.0 1.5 1.5 1.5 1.5];  
>> g2={'0.01%','0.05%','0.1%','0.2%','0.01%','0.05%','0.1%','0.2%','0.01%','0.05%','0.1%','  
0.2%','0.01%','0.05%','0.1%','0.2%'};  
>> g3={'W40','W20','W10','W0.6','W20','W40','W0.6','W10','W10','W0.6','W40','W20','W0.6','  
W10','W20','W40'};  
>> p=anovan(y,{g1,g2,g3})
```

ANOVA for CIE-CC under 27.69 W

```
>> y=[0.2265 0.1407 0.0412 0.0825 0.2417 0.0480 0.0922 0.0943 0.2265 0.0539 0.1053  
0.1459 0.1676 0.0782 0.1356 0.1530];  
>> g1=[0.4 0.4 0.4 0.4 0.8 0.8 0.8 0.8 1.0 1.0 1.0 1.0 1.5 1.5 1.5 1.5];  
>> g2={'0.01%','0.05%','0.1%','0.2%','0.01%','0.05%','0.1%','0.2%','0.01%','0.05%','0.1%','  
0.2%','0.01%','0.05%','0.1%','0.2%'};  
>> g3={'W40','W20','W10','W0.6','W20','W40','W0.6','W10','W10','W0.6','W40','W20','W0.6','  
W10','W20','W40'};  
>> p=anovan(y,{g1,g2,g3})
```