

## SUPPORTING INFORMATION

# A simple asymmetric organocatalytic approach to optically active cyclohexenones

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**General Methods.** The  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra were recorded at 400 MHz and 100 MHz, respectively. The chemical shifts ( $\delta$ ) for  $^1\text{H}$  and  $^{13}\text{C}$  are given in ppm relative to residual signals of the solvents ( $\text{CHCl}_3$ ). Coupling constants ( $J$ ) are given in Hz. The following abbreviations are used to indicate the multiplicity: s, singlet; d, doublet; t, triplet; q, quartet; m, multiplet; bs, broad signal. Chromatography was carried out by flash chromatography (FC) using Merck silica gel 60 (230-400 mesh) according to the method of Still *et al.*<sup>1</sup> Optical rotations were measured on a Perkin-Elmer 241 polarimeter and they are reported as follows:  $[\alpha]_D^{rt}$  ( $c$  in g per 100 mL, solvent).

**Materials.** Commercial grade reagents and aldehydes were used without further purification; catalyst **4** was prepared according to literature procedure.<sup>2</sup>

**Determination of Absolute Configuration.** The absolute configurations of the optically active compounds **3a,d,e** and **9** were determined on the basis of the measured optical rotations that were compared with literature values. All other absolute configurations were assigned by analogy.

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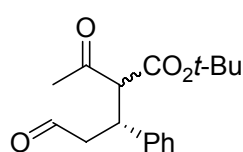
<sup>1</sup> W. C. Still, M. Kahn, A. J. Mitra, *J. Org. Chem.* **1978**, *43*, 2923.

<sup>2</sup> M. Marigo, T. C. Wabnitz, D. Fielenbach, K. A. Jørgensen, *Angew. Chem. Int. Ed.* **2005**, *44*, 794.

## Experimental Procedures and Characterizations

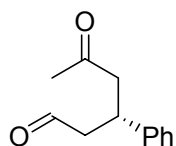
**General Procedure for the Organocatalytic Asymmetric Michael Reaction.** In an ordinary vial equipped with a magnetic stirring bar,  $\beta$ -ketoester **1** (0.25 mmol) was added to a mixture of catalyst **4** (0.025 mmol, 10 mol%) and  $\alpha,\beta$ -unsaturated aldehyde **2** (0.37 mmol) in the aqueous solution (0.5 mL). The stirring was maintained at room temperature until complete consumption of the  $\beta$ -ketoester. The crude reaction mixture was directly charged on silica gel and subjected to FC.

**General Procedure for the Organocatalytic Asymmetric Synthesis of Cyclohexenones 3a-i.** In an ordinary vial equipped with a magnetic stirring bar,  $\beta$ -ketoester **1** (0.25 mmol) was added to a mixture of catalyst **4** (0.025 mmol, 10 mol%),  $\alpha,\beta$ -unsaturated aldehyde **2** (0.37 mmol). The stirring was maintained at room temperature until complete consumption of the  $\beta$ -ketoester. After addition of toluene (1 mL) and *p*-TSA (0.05 mmol, 20 mol%), the reaction was stirred at 80 °C for 16 h. The crude reaction mixture was directly charged on silica gel and subjected to FC.



**(R)-tert-Butyl-2-acetyl-5-oxo-3-phenylpentanoate 5a.** The title compound

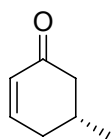
was isolated after FC ( $\text{CH}_2\text{Cl}_2/\text{Et}_2\text{O}$ : 99/1). The ee was determined on the relative compound **7**. HRMS:  $\text{C}_{18}\text{H}_{26}\text{NaO}_5$  –  $[\text{M}+\text{Na}^++\text{MeOH}]$  calcd.: 345.1678, found: 345.1665.  $\delta_{\text{H}}$  (400 MHz;  $\text{CDCl}_3$ ) (dr 6/1, major diastereomer) 1.13 (s, 9H), 2.26 (s, 3H), 2.72 (m, 2H), 3.81 (d,  $J = 10.8$ , 1H), 3.95 (ddd,  $J = 10.8$ , 9.08, 4.66, 1H), 7.41–7.13 (m, 5H), 9.58 (t,  $J = 1.65$ , 1H);  $\delta_{\text{C}}$  (100 MHz;  $\text{CDCl}_3$ ) 27.3, 29.5, 38.9, 48.2, 66.4, 82.2, 127.3, 128.4, 128.6, 140.2, 166.6, 200.5, 202.1.



**(R)-5-Oxo-3-phenylhexanal 7.** The title compound was isolated after treatment of

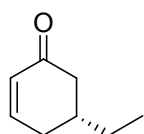
**5a** (0.25 mmol) with 50% TFA in  $\text{CH}_2\text{Cl}_2$  (0.5 mL). After 1 h reaction time the crude mixture was quenched with  $\text{H}_2\text{O}$  and extracted with  $\text{CH}_2\text{Cl}_2$ . Filtration on a silica pad afforded the pure product. The ee was determined by GC analysis on a Astec G-TA chiral stationary phase ( $T_1 = 70$  °C;  $T_2 = 165$  °C, rate = 10 °C/min;  $T_3 = 165$  °C;  $\tau_{\text{R}} = 16.5$  min,  $\tau_{\text{S}} = 16.6$  min).  $[\alpha]_{\text{D}}^{\text{rt}} = -12.9$  ( $c = 1.0$ ,  $\text{CH}_2\text{Cl}_2$ , 94% ee). Spectroscopic data are in accordance with literature values.<sup>3</sup>

<sup>3</sup> G. A. Molander, K. O. Cameron, *J. Am. Chem. Soc.* **1993**, *115*, 830.



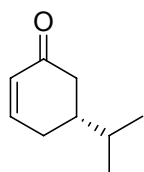
**(R)-5-Methyl-cyclohex-2-enone 3a.** The title compound was obtained following the general procedure and isolated after FC ( $\text{CH}_2\text{Cl}_2/\text{Et}_2\text{O}$ : 99/1) in 93% yield and 80% ee.

$[\alpha]_D^{25} = -74.6$  ( $c = 0.5$ ,  $\text{CHCl}_3$ , 80% ee), lit.<sup>4</sup>  $[\alpha]_D^{25} = -91.0$  ( $c = 0.8$ ,  $\text{CHCl}_3$ ). The ee was determined by GC analysis on an Astec G-TA chiral stationary phase ( $T_1 = 60\text{ }^\circ\text{C}$ ;  $T_2 = 70\text{ }^\circ\text{C}$ , rate =  $2\text{ }^\circ\text{C}/\text{min}$ ;  $T_3 = 90\text{ }^\circ\text{C}$ , rate =  $1\text{ }^\circ\text{C}/\text{min}$ ;  $\tau_R = 20.7\text{ min}$ ,  $\tau_S = 21.6\text{ min}$ ). Spectroscopic data are in accordance with literature values.<sup>5</sup>



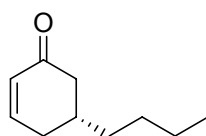
**(R)-5-Ethyl-cyclohex-2-enone 3b.** The title compound was obtained following the general procedure and isolated after FC ( $\text{CH}_2\text{Cl}_2/\text{Et}_2\text{O}$ : 99/1) in 98% yield and 94% ee.

$[\alpha]_D^{25} = -43.1$  ( $c = 0.1$ ,  $\text{CHCl}_3$ , 94% ee). The ee was determined by GC analysis on a Chromopak CP-Chirasil Dex CB-column ( $T_1 = 70\text{ }^\circ\text{C}$ ;  $T_2 = 200\text{ }^\circ\text{C}$ , rate =  $10\text{ }^\circ\text{C}/\text{min}$ ;  $\tau_R = 6.8\text{ min}$ ,  $\tau_S = 6.9\text{ min}$ ). Spectroscopic data are in accordance with literature values.<sup>6</sup>



**(R)-5-iso-Propyl-cyclohex-2-enone 3c.** The title compound was obtained following the general procedure and isolated after FC ( $\text{CH}_2\text{Cl}_2/\text{Et}_2\text{O}$ : 99/1) in 56% yield and 96% ee.  $[\alpha]_D^{25} = -33.0$  ( $c = 0.1$ ,  $\text{CHCl}_3$ , 96% ee). The ee was determined by GC analysis on a Chromopak CP-Chirasil Dex CB-column ( $T_1 = 70\text{ }^\circ\text{C}$ ;  $T_2 = 200\text{ }^\circ\text{C}$ , rate =  $10\text{ }^\circ\text{C}/\text{min}$ ;  $\tau_R = 7.8\text{ min}$ ,  $\tau_S = 7.9\text{ min}$ ).

$\delta_H$  (400 MHz;  $\text{CDCl}_3$ ) 0.92 (d,  $J = 2.0$ , 3H), 0.94 (d,  $J = 2.0$ , 3H), 1.60 (m, 1H), 1.94-1.84 (m, 1H), 2.08-2.20 (m, 2H), 2.44-2.36 (m, 1H), 2.49-2.53 (m, 1H), 6.02 (m, 1H), 7.00 (ddd,  $J = 10.0, 6.0, 2.4$ , 1H);  $\delta_C$  (100 MHz;  $\text{CDCl}_3$ ) 19.4, 19.5, 29.6, 32.0, 41.5, 41.9, 129.5, 150.5, 200.7.



**(R)-5-Butyl-cyclohex-2-enone 3d.** The title compound was obtained following the general procedure and isolated after FC ( $\text{CH}_2\text{Cl}_2/\text{Et}_2\text{O}$ : 99/1) in 69% yield and 92% ee.

$[\alpha]_D^{25} = -44.9$  ( $c = 0.5$ ,  $\text{CHCl}_3$ , 92% ee), lit.<sup>7</sup>  $[\alpha]_D^{25} = -51.2$  ( $c = 1.4$ ,

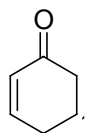
<sup>4</sup> E. Friedrich, W. Lutz, *Angew. Chem.* **1977**, 426.

<sup>5</sup> I. Fleming, P. Maiti, C. Ramarao, *Org. Biomol. Chem.* **2003**, *1*, 3989.

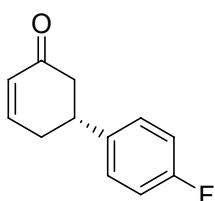
<sup>6</sup> C. Böner, M. Dennis, E. Sinn, S. Woodward, *Eur. J. Org. Chem.* **2001**, 2435.

<sup>7</sup> M. Asoaka, K. Takenouchi, H. Takei, *Chem. Lett.* **1988**, 921

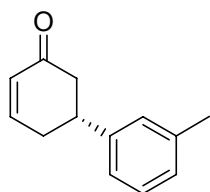
CHCl<sub>3</sub>). The ee was determined by GC analysis on a Chromopak CP-Chirasil Dex CB-column (T<sub>1</sub> = 70 °C; T<sub>2</sub> = 120 °C, rate = 5 °C/min; T<sub>3</sub> = 136 °C, rate = 2 °C/min; τ<sub>R</sub> = 15.8 min, τ<sub>S</sub> = 15.9 min). Spectroscopic data are in accordance with literature values.<sup>8</sup>



**(R)-5-Phenylcyclohex-2-en-1-one 3e.** The title compound was obtained following the general procedure and isolated after FC (hexane/Et<sub>2</sub>O: 80/20) in 63% yield and 94% ee. The ee was determined on the parent compound 7. [α]<sub>D</sub><sup>rt</sup> = -39.5 (c = 1.0, CHCl<sub>3</sub>, 94% ee), lit.<sup>9</sup> [α]<sub>D</sub><sup>rt</sup> = -43.0 (c = 1.25, CHCl<sub>3</sub>). Spectroscopic data are in accordance with literature values.<sup>10</sup>



**(R)-5-(4-Fluorophenyl)cyclohex-2-en-1-one 3f.** The title compound was obtained following the general procedure and isolated after FC (hexane/AcOEt: 85/15) in 65% yield and 95% ee. The ee was determined by HPLC analysis on 2 Daicel Chiralpak AD columns in a row (hexane/*i*-PrOH: 95/5, flow 0.8 mL/min; τ<sub>S</sub> = 24.1 min, τ<sub>R</sub> = 25.0 min). [α]<sub>D</sub><sup>rt</sup> = -29.1 (c = 1.0, CHCl<sub>3</sub>, 95% ee). HRMS: C<sub>12</sub>H<sub>11</sub>FN<sub>2</sub>O - [M+Na<sup>+</sup>] calcd.: 213.0692, found: 213.0680. δ<sub>H</sub> (400 MHz; CDCl<sub>3</sub>) 2.40-2.75 (m, 4H), 3.27-3.39 (m, 1H), 6.12 (dd, *J* = 10.1, 2.75, 1H), 7.15-7.23 (m, 2H), 6.97-7.07 (m, 3H); δ<sub>C</sub> (100 MHz; CDCl<sub>3</sub>) 33.7, 40.2, 44.9, 115.5 (d, *J* = 21.3), 128.1 (d, *J* = 8.3), 129.8, 138.8 149.3, 161.6 (d, *J* = 246.9), 198.9.

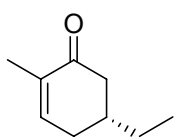


**(R)-5-*m*-Tolylcyclohex-2-en-1-one 3g.** The title compound was obtained following the general procedure and isolated after FC (hexane/AcOEt: 90/10) in 72% yield and 94% ee. The ee was determined by HPLC analysis on 2 Daicel Chiralpak AD columns in a row (hexane/*i*-PrOH: 98/2, flow 0.5 mL/min; τ<sub>R</sub> = 29.7 min, τ<sub>S</sub> = 30.7 min). [α]<sub>D</sub><sup>rt</sup> = -34.2 (c = 0.5, CH<sub>2</sub>Cl<sub>2</sub>, 94% ee). HRMS: C<sub>13</sub>H<sub>14</sub>NaO - [M+Na<sup>+</sup>] calcd.: 209.0942, found: 209.0947. δ<sub>H</sub> (400 MHz; CDCl<sub>3</sub>) 2.36 (s, 3H), 2.46-2.82 (m, 4H), 3.26-3.37 (m, 1H), 6.05-6.24 (m, 1H), 7.00-7.13 (m, 4H), 7.24 (t, *J* = 7.07, 1H); δ<sub>C</sub> (100 MHz; CDCl<sub>3</sub>) 21.4, 33.7, 40.9, 44.9, 123.6, 127.4, 127.7, 128.6, 129.7, 138.3, 143.1, 149.6, 199.3.

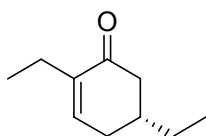
<sup>8</sup> G. Hareau, M. Koiwa, S. Hikichi, F. Sato, *J. Am. Chem. Soc.* **1999**, *121*, 3640.

<sup>9</sup> M. Moriya, T. Kamikubo, K. Ogasawara, *Synthesis* **1995**, *2*, 187.

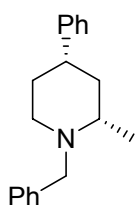
<sup>10</sup> A. P. Rutherford, C. S. Gibb, R. C. Hartley, J. M. Goodman, *J. Chem. Soc. Perkin Trans. 1* **2001**, *9*, 1051.



**(R)-5-Ethyl-2-methyl-cyclohex-2-enone 3h.** The title compound was obtained following the general procedure and isolated after FC (hexane/Et<sub>2</sub>O: 80/20) in 82% yield and 91% ee.  $[\alpha]_D^{25} = -66.0$  ( $c = 0.1$ , CHCl<sub>3</sub>). The ee was determined by HPLC analysis on a Daicel Chiralpak AD column at 0 °C (hexane/*i*-PrOH: 99/1, flow 0.5 mL/min;  $\tau_R = 14.5$  min,  $\tau_S = 16.3$  min).  $\delta_H$  (400 MHz; CDCl<sub>3</sub>) 0.91 (t,  $J = 7.2$ , 3H), 1.37 (m, 2H), 1.70 (s, 3H), 1.77-2.14 (m, 3H), 2.24-2.32 (m, 1H), 2.56 (m, 1H), 6.71 (dd,  $J = 2.6, 1.4$  Hz, 1H);  $\delta_C$  (100 MHz; CDCl<sub>3</sub>) 11.0, 15.8, 28.5, 32.2, 37.3, 44.3, 135.5, 145.0, 200.42.

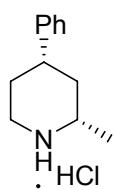


**(R)-2,5-Diethylcyclohex-2-enone 3i.** The title compound was obtained following the general procedure isolating the intermediate Michael adduct; the title compound was purified by FC (Et<sub>2</sub>O/pentane: 1/10) in 74% overall yield and 89% ee. The ee was determined by GC analysis on an Astec G-TA chiral stationary phase ( $T_1 = 70$  °C;  $T_2 = 100$  °C, rate = 10 °C/min;  $T_3 = 100$  °C, time = 8 min;  $T_4 = 180$  °C, rate = 10 °C/min;  $\tau_R = 14.2$  min,  $\tau_S = 14.4$  min).  $[\alpha]_D^{25} = -10.1$  ( $c = 1.0$ , CHCl<sub>3</sub>, 89% ee). HRMS: C<sub>10</sub>H<sub>16</sub>NaO - [M+Na<sup>+</sup>] calcd.: 175.1099, found: 175.1093.  $\delta_H$  (400 MHz; CDCl<sub>3</sub>) 0.91 (t,  $J = 7.46$ , 3H), 1.00 (t,  $J = 7.46$ , 3H), 1.33-1.47 (m, 2H), 1.88-2.15 (m, 3H), 2.14-2.27 (m, 2H), 2.37-2.48 (m, 1H), 2.49-2.59 (m, 1H), 6.62-6.72 (m, 1H);  $\delta_C$  (100 MHz; CDCl<sub>3</sub>) 11.1, 12.8, 22.2, 28.6, 32.2, 37.2, 44.6, 140.9, 143.3, 199.9.



**Synthesis of (2S,4S)-1-benzyl-2-methyl-4-phenylpiperidine 9.** In an ordinary vial equipped with a magnetic stirring bar,  $\beta$ -ketoester **1a** (0.25 mmol) was added to a mixture of catalyst **4** (0.025 mmol, 10 mol%) and  $\alpha,\beta$ -unsaturated aldehyde **2** (0.37 mmol). After 5 h CH<sub>2</sub>Cl<sub>2</sub> (0.5 mL) and TFA (0.5 mL) were added and the stirring was maintained for 1 h. The reaction was quenched with NaHCO<sub>3</sub>, extracted with AcOEt, dried over MgSO<sub>4</sub> and evaporated. The crude reaction mixture was transferred to an ordinary vial equipped with a magnetic stirring bar and MeOH, NaBH<sub>3</sub>CN (0.75 mmol, 3 equiv.) and benzylamine (1M in MeOH, pH  $\approx$  6-7; 0.37 mmol, 1.5 equiv.) were added. After 5 min NaBH<sub>3</sub>CN (0.125 mmol, 0.5 equiv.) was added and the stirring was maintained for 20 h until GC/MS showed the reaction to be complete. The reaction was quenched with NH<sub>4</sub>Cl, extracted with AcOEt, dried over MgSO<sub>4</sub> and

evaporated. The title compound was purified by FC (hexane/AcOEt: 90/10) in 46% overall yield, dr >20:1 and 94% ee. The ee was determined on the parent compound **7**.  $[\alpha]_D^{rt} = -57.2$  ( $c = 1.0$ , CH<sub>2</sub>Cl<sub>2</sub>, 94% ee). HRMS: C<sub>19</sub>H<sub>24</sub>N - [M+H<sup>+</sup>] calcd.: 266.1909, found: 266.1919.  $\delta_H$  (400 MHz; CDCl<sub>3</sub>) 1.29 (d,  $J = 6.1$ , 3H), 1.52-1.91 (m, 4H), 2.08 (dt,  $J = 11.6$ , 3.4, 1H), 2.31-2.46 (m, 1H), 2.51-2.68 (m, 1H), 2.95 (td,  $J = 11.6$ , 3.4, 1H), 3.21 (d,  $J = 13.3$ , 1H), 4.18 (d,  $J = 13.3$ , 1H), 7.45-7.14 (m, 10H);  $\delta_C$  (100 MHz; CDCl<sub>3</sub>) 21.4, 33.3, 42.9, 43.0, 53.2, 57.1, 58.1, 126.0, 126.7, 126.8, 128.1, 128.3, 129.2, 139.1, 146.4.



**Determination of relative configuration of the compound **9**.** (*2S,4S*)-1-benzyl-2-

methyl-4-phenylpiperidine **9** (0.12 mmol) was subjected to hydrogenation on 10% Pd/C, in *i*-PrOH (2 mL) and catalytic amount of AcOH under an atmosphere of 70 psi H<sub>2</sub>, for 30 h. The reaction was filtered, washed with K<sub>2</sub>CO<sub>3</sub> (aq.), dried over MgSO<sub>4</sub> and evaporated. Toluene (0.8 mL) and HCl (37% aq, 0.18 mmol, 1.5 equiv.) were added. The stirring was maintained at rt for 0.5 h and then the solution was kept at 5 °C for 6 h without stirring. The precipitate was filtered off and washed with cold toluene. Comparison of the spectroscopic data with literature values<sup>11</sup> gave the *cis*-relative configuration.

<sup>11</sup> M. S. Taylor, D. N. Zalatan, A. M. Lerchner, E. N. Jacobsen, *J. Am. Chem. Soc.* **2005**, *127*, 1313.