

## Supplementary Information

# Organocatalytic Asymmetric Aza-Michael Reaction of Nitrogen Heterocycles and $\alpha,\beta$ -Unsaturated Aldehydes

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### 1. General methods:

Melting points were determined in unsealed capillary tubes and are uncorrected. IR spectra were obtained on KBr pellets (solids) or CHCl<sub>3</sub> solution (oils), were recorded on a Perkin-Elmer FT-IR 600 and only characteristic signals are reported. NMR spectra were recorded in a Bruker AC-300 at 20°C, running at 300 MHz for <sup>1</sup>H and 75 MHz or for <sup>13</sup>C in CDCl<sub>3</sub> solution unless otherwise stated. Resonances are reported in ppm relative to tetramethylsilane and the coupling constants *J* are given in Hz. Mass spectra were recorded under electron impact at 70 eV in a Waters Micromass GCT or in a Hewlett Packard 5989B mass spectrometer. Optical rotations were recorded on a Perkin Elmer 241 Polarimeter ( $\lambda = 589$  nm, 1 dm cell). Microanalyses were obtained with a LECO CHNS-932 element analyser. TLC was carried out with 0.2 mm. thick silica gel plates (Merck Kieselgel GF<sub>254</sub>) and visualization was accomplished by UV light or by spraying with phosphomolybdic acid. Flash column chromatography on silica gel was performed with Merck Kieselgel 60 (230-400 mesh). All solvents used in reactions were purified according to standard procedures.<sup>1</sup> (2S,5S)-2-*tert*-butyl-3-methyl-5-benzyl-4-imidazolidinone, 5-phenyltetrazole, benzotriazole, 4,5-dicyanoimidazole and the  $\alpha,\beta$ -unsaturated aldehydes employed as starting materials were used as purchased. Enantiomeric excesses were determined by HPLC in a Waters600 chromatograph equipped with a photodiode array UV detector Waters996 and using a Chiracel OD or Chiracel OJ chiral column under conditions specified in each case. The racemic standards needed for the optimization of the conditions for the separation of both enantiomers were prepared using DL-proline as catalyst for each case.

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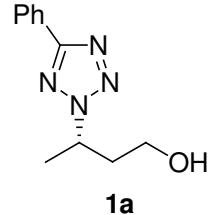
<sup>1</sup> Perrin, D. D.; Armarego, W. L. F. *Purification of Laboratory Chemicals*, Pergamon Press, Oxford, 1997.

## 2.- General procedure for the organocatalytic aza-Michael reaction.

The starting  $\alpha,\beta$ -Unsaturated aldehyde (1.00 mmol) was added over a cooled (0 °C) solution of the TFA salt of (2*S*,5*S*)-2-*tert*-butyl-3-methyl-5-benzyl-4-imidazolidinone (0.10 mmol) in propionitrile (4 mL). After stirring for 10 min at this temperature, the mixture was cooled down to -88°C and 5-phenyltetrazole (5.00 mmol) was added at once. The reaction was stirred at -88 °C for 10 days, after which LiBH<sub>4</sub> (5.00 mmol) was added. After stirring for 10 min, a saturated NH<sub>4</sub>Cl aqueous solution (4 mL) was slowly added and the mixture was allowed to reach to 0 °C. Brine (4 mL) was added and the mixture was extracted with CH<sub>2</sub>Cl<sub>2</sub> (8 x 15 mL). The collected organic fractions were dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and the solvent was removed under reduced pressure. The corresponding  $\gamma$ -(5-phenyltetrazolyl)alcohol was isolated after flash column chromatography purification (hexanes/AcOEt 7:3). Determination of enantiomeric excess was carried out on its corresponding acetate, which was prepared by the addition of Ac<sub>2</sub>O (1.20 mmol) over a solution of the adduct **1** (0.40 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (2 mL) and DMAP (4 mg), followed by stirring for 3-4h at rt. Next, water (4 mL) was added and the mixture was extracted with CH<sub>2</sub>Cl<sub>2</sub> (3 x 5 mL) the collected organic fractions were dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and the solvent was removed under reduced pressure. The acetate derivative was isolated after flash column chromatography purification (hexanes/AcOEt 6:4).

### (3*S*)-3-(5-Phenyltetrazol-2-yl)-butan-1-ol (**1a**).

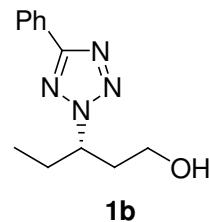
Adduct **1a** (170 mg, 0.78 mmol) was prepared according to the general procedure using crotonaldehyde (83  $\mu$ L, 1.00 mmol), catalyst **2** (25 mg, 0.10 mmol), TFA (8  $\mu$ L, 0.10 mmol), 5-phenyltetrazole (730 mg, 5.00 mmol) and LiBH<sub>4</sub> (109 mg, 5.00 mmol). Yield: 78%.  $[\alpha]_D^{20} = +30.3$  ( $c=1.0$ , CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 300MHz): 1.57 (d, 3H, *J*=7.1 Hz); 2.11 (m, 1H); 2.41 (m, 1H); 3.78 (m, 2H); 3.84 (m, 1H); 5.35 (m, 1H); 7.26 (m, 3H); 8.09 (m, 2H). <sup>13</sup>C-NMR (CDCl<sub>3</sub>, 75MHz): 20.6; 38.7; 57.7; 58.3; 126.8; 127.3; 128.9; 130.3; 164.8. IR (CHCl<sub>3</sub>):  $\nu$ , cm<sup>-1</sup> 3386 (OH). MS (EI) *m/z* (rel. Int.): 190 (M<sup>+</sup>-28, 14), 175 (11), 145 (56), 104 (100), 89 (12), 77 (14), 63 (11). HRMS: Calcd for C<sub>11</sub>H<sub>14</sub>N<sub>4</sub>O: 218.1168. Found: 218.1175. Determination of enantiomeric excess was carried out on its corresponding acetate prepared from **1a** (100 mg, 0.46 mmol) and Ac<sub>2</sub>O (0.11 mL, 1.20 mmol).



Yield: 89%.  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ , 300MHz): 1.58 (d, 3H,  $J=7.0$  Hz); 1.88 (s, 3H); 2.10 (m, 1H); 2.43 (m, 1H); 3.84 (m, 1H); 4.09 (m, 1H); 5.16 (m, 1H); 7.24 (m, 3H); 8.12 (d, 2H,  $J=7.8$  Hz).  $^{13}\text{C-NMR}$  ( $\text{CDCl}_3$ , 75MHz): 20.5; 20.6; 34.9; 57.9; 60.6; 126.7; 128.8; 130.2; 164.9; 170.6. IR ( $\text{CHCl}_3$ ):  $\nu$ ,  $\text{cm}^{-1}$  1739 (C=O). MS (EI)  $m/z$  (rel. Int.): 232 ( $M^+-28$ , 6), 217 (9), 202 (18), 160 (11), 145 (46), 112 (9), 104 (100), 89 (11), 77 (12), 63 (7). e.e.: 84 %. (Chiralcel OJ column, UV detector): Flow rate 0.85  $\text{mL}\cdot\text{min}^{-1}$ , hexanes/*i*-PrOH 95:5). Retention time for the (3*S*) isomer:  $t_R = 27.66$  min Retention time for the (3*R*) isomer:  $t_R = 31.52$  min.

**(3*S*)-3-(5-Phenyltetrazol-2-yl)-pentan-1-ol (1b).**

Adduct **1b** (155 mg, 0.67 mmol) was prepared according to the general procedure using (*E*)-2-pentenal (98  $\mu\text{L}$ , 1.00 mmol), catalyst **2** (25 mg, 0.10 mmol), TFA (8  $\mu\text{L}$ , 0.10 mmol), 5-phenyltetrazole (730 mg, 5 mmol) and  $\text{LiBH}_4$  (109 mg, 5.00 mmol). Yield: 67%.  $[\alpha]_D^{20} = +15.5$  ( $c=1.0$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ , 300MHz): 0.80 (t, 3H,  $J=7.3$  Hz); 2.03 (m, 1H); 2.23 (m, 2H); 3.41 (m, 1H); 3.05 (bs, 1H); 3.37 (m, 1H); 3.63 (m, 1H); 5.03 (m, 1H); 7.45 (m, 3H); 8.10 (m, 2H).  $^{13}\text{C-NMR}$  ( $\text{CDCl}_3$ , 75MHz): 10.4; 28.1; 37.1; 58.3; 63.7; 126.8; 127.4; 128.9; 130.3; 164.9. IR ( $\text{CHCl}_3$ ):  $\nu$ ,  $\text{cm}^{-1}$  3410 (OH). MS (EI)  $m/z$  (rel. Int.): 204 ( $M^+-28$ , 11), 175 (48), 159 (26), 104 (100), 89 (10), 77 (12), 72 (10), 56 (9). HRMS: Calcd for  $\text{C}_{12}\text{H}_{16}\text{N}_4\text{O}$ : 232.1324. Found: 232.1317.



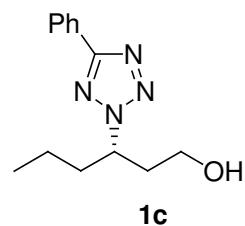
Determination of enantiomeric excess was carried out on its corresponding acetate prepared from **1b** (100 mg, 0.43 mmol) and  $\text{Ac}_2\text{O}$  (0.11 mL, 2.37 mmol).

Yield: 87%.  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ , 300MHz): 0.79 (t, 3H,  $J=7.1$  Hz); 1.95 (s, 3H); 2.01 (m, 1H); 2.11 (m, 1H); 2.27 (m, 1H); 2.48 (m, 1H); 3.84 (m, 1H); 4.08 (m, 1H); 4.92 (m, 1H); 7.49 (m, 3H); 8.12 (m, 2H).  $^{13}\text{C-NMR}$  ( $\text{CDCl}_3$ , 75MHz): 10.3; 20.8; 28.2; 33.4; 60.7; 63.9; 126.8; 127.5; 128.9; 130.3; 165.1; 170.7. IR ( $\text{CHCl}_3$ ):  $\nu$ ,  $\text{cm}^{-1}$  1739 (C=O). MS (EI)  $m/z$  (rel. Int.): 246 ( $M^+-28$ , 5), 207 (11), 157 (23), 147 (10), 126 (11), 104 (100), 89 (11), 77 (11), 54 (16).

e.e.: 98 %. (Chiralcel OJ column, UV detector): Flow rate 1.00  $\text{mL}\cdot\text{min}^{-1}$ , hexanes/*i*-PrOH 97:3). Retention time for the (3*S*) isomer:  $t_R = 25.93$  min Retention time for the (3*R*) isomer:  $t_R = 28.32$  min.

**(3S)-3-(5-Phenyltetrazol-2-yl)-hexan-1-ol (1c).**

Adduct **1c** (244 mg, 0.99 mmol) was prepared according to the general procedure using (*E*)-2-hexenal (0.12 mL, 1.00 mmol), catalyst **2** (25 mg, 0.10 mmol), TFA (8  $\mu$ L, 0.10 mmol), 5-phenyltetrazole (730 mg, 5 mmol) and LiBH<sub>4</sub> (109 mg, 5.00 mmol). Yield: 99%.  $[\alpha]_D^{20} = +10.8$  ( $c=1.0$ , CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 300MHz): 0.89 (t, 3H,  $J=7.6$  Hz); 1.09 (m, 1H); 1.23 (m, 1H); 1.87 (m, 1H); 2.14 (m, 2H); 2.34 (m, 1H); 3.02 (bs, 1H); 3.36 (m, 1H); 3.59 (m, 1H); 5.13 (m, 1H); 7.44 (m, 3H); 8.11 (m, 2H). <sup>13</sup>C-NMR (CDCl<sub>3</sub>, 75MHz): 13.4; 19.1; 36.8; 37.4; 58.3; 61.9; 126.8; 127.3; 128.9; 130.3; 165.1. IR (CHCl<sub>3</sub>):  $\nu$ , cm<sup>-1</sup> 3409 (OH). MS (EI) *m/z* (rel. Int.): 218 (M<sup>+</sup>-28, 11), 175 (33), 173 (33), 104 (100), 89 (14), 77 (13), 72 (13), 63 (10). HRMS: Calcd for C<sub>13</sub>H<sub>18</sub>N<sub>4</sub>O: 246.1481. Found: 246.1488.



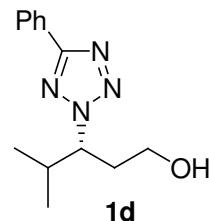
Determination of enantiomeric excess was carried out on its corresponding acetate prepared from **1c** (100 mg, 0.41 mmol) and Ac<sub>2</sub>O (0.11mL, 1.20 mmol).

Yield: 87%. <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 300MHz): 0.90 (t, 3H,  $J=7.4$  Hz); 1.12 (m, 1H); 1.25 (m, 1H); 1.88 (m, 1H); 1.95 (s, 3H); 2.11 (m, 1H); 2.26 (m, 1H); 2.47 (m, 1H); 3.89 (m, 1H); 4.09 (m, 1H); 5.02 (m, 1H); 7.46 (m, 3H); 8.13 (m, 2H). <sup>13</sup>C-NMR (CDCl<sub>3</sub>, 75MHz): 13.4; 19.0; 20.8; 33.7; 36.8; 60.7; 62.2; 126.8; 127.5; 128.9; 130.3; 165.0; 170.7. IR (CHCl<sub>3</sub>):  $\nu$ , cm<sup>-1</sup> 1740 (C=O). MS (EI) *m/z* (rel. Int.): 260 (M<sup>+</sup>-28, 3), 217 (9), 173 (32), 157 (21), 140 (9), 104 (100), 97 (10), 89 (13), 77 (11), 54 (19).

e.e.: 91 %. (Chiralcel OJ column, UV detector): Flow rate 0.85 mL·min<sup>-1</sup>, hexanes/*i*-PrOH 95:5). Retention time for the (3*R*) isomer: t<sub>R</sub> = 18.33 min. Retention time for the (3*S*) isomer: t<sub>R</sub> = 19.95 min.

**(3*R*)-3-(5-Phenyltetrazol-2-yl)-4-methylpentan-1-ol (1d).**

Adduct **1d** (224 mg, 0.91 mmol) was prepared according to the general procedure using (*E*)-4-methyl-2-pentenal (0.12 mL, 1.00 mmol), catalyst **2** (25 mg, 0.10 mmol), TFA (8  $\mu$ L, 0.10 mmol), 5-phenyltetrazole (730 mg, 5 mmol) and LiBH<sub>4</sub> (109 mg, 5.00 mmol). Yield: 91%.  $[\alpha]_D^{20} = +11.6$  ( $c=1.0$ , CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H-NMR



(CDCl<sub>3</sub>, 300MHz): 0.80 (d, 3H,  $J=6.6$  Hz); 1.03 (d, 3H,  $J=6.6$  Hz); 1.92 (bs, 1H); 2.26 (m, 3H); 3.32 (m, 1H); 3.61 (m, 1H); 4.82 (m, 1H); 7.51 (m, 3H); 8.16 (m, 2H). <sup>13</sup>C-NMR (CDCl<sub>3</sub>, 75MHz): 18.9; 19.3; 33.0; 34.1; 58.7; 67.5; 126.8; 127.2; 128.9; 130.3;

165.3. IR ( $\text{CHCl}_3$ ):  $\nu$ ,  $\text{cm}^{-1}$  3411 (OH). MS (EI)  $m/z$  (rel. Int.): 218 ( $M^+-28$ , 7), 175 (67), 147 (6), 104 (100), 89 (8), 77 (11), 72 (16), 55 (7). HRMS: Calcd for  $\text{C}_{13}\text{H}_{18}\text{N}_4\text{O}$ : 246.1481. Found: 246.1485.

Determination of enantiomeric excess was carried out on its corresponding acetate prepared from **1d** (100 mg, 0.41 mmol) and  $\text{Ac}_2\text{O}$  (0.11 mL, 1.20 mmol).

Yield: 90%.  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ , 300MHz): 0.79 (d, 3H,  $J=6.7$  Hz); 0.99 (d, 3H,  $J=6.7$  Hz); 1.89 (s, 3H); 2.28 (m, 2H); 2.48 (m, 1H); 3.77 (m, 1H); 4.03 (m, 1H); 4.73 (m, 1H); 7.42 (m, 3H); 8.12 (m, 2H).  $^{13}\text{C-NMR}$  ( $\text{CDCl}_3$ , 75MHz): 18.6; 19.2; 20.7; 30.6; 33.0; 60.9; 67.8; 126.8; 127.5; 128.8; 130.2; 164.8; 170.6. IR ( $\text{CHCl}_3$ ):  $\nu$ ,  $\text{cm}^{-1}$  1739 ( $\text{C=O}$ ). MS (EI)  $m/z$  (rel. Int.): 260 ( $M^+-28$ , 2), 217 (36), 179 (9), 157 (32), 104 (100), 87 (12), 77 (10), 54 (22).

e.e.: 99 %. (Chiralcel OJ column, UV detector): Flow rate 0.85  $\text{mL}\cdot\text{min}^{-1}$ , hexanes/ $i\text{-PrOH}$  95:5). Retention time for the (*3S*) isomer:  $t_R = 17.92$  min. Retention time for the (*3R*) isomer:  $t_R = 20.48$  min.

### (*3S*)-3-(5-Phenyltetrazol-2-yl)-heptan-1-ol (**1e**).

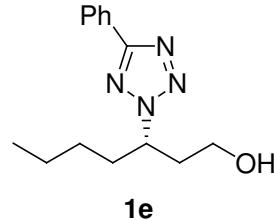
Adduct **1e** (205 mg, 0.79 mmol) was prepared according to the general procedure using (*E*)-2-heptenal (0.14 mL, 1.00 mmol), catalyst **2** (25 mg, 0.10 mmol), TFA (8  $\mu\text{L}$ , 0.10 mmol), 5-phenyltetrazole (730 mg, 5 mmol) and  $\text{LiBH}_4$  (109 mg, 5.00 mmol). Yield: 79%.

$[\alpha]_D^{20} = +4.0$  ( $c=1.0$ ,

$\text{CH}_2\text{Cl}_2$ ).  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ , 300MHz): 0.83 (t, 3H,  $J=7.3$  Hz); 1.06 (m, 1H); 1.27 (m, 3H); 1.92 (m, 1H); 2.14 (m, 2H); 2.29 (m, 1H); 2.66 (bs, 1H); 3.37 (m, 1H); 3.61 (m, 1H); 5.11 (m, 1H); 7.45 (m, 3H); 8.13 (m, 2H).  $^{13}\text{C-NMR}$  ( $\text{CDCl}_3$ , 75MHz): 13.8; 22.1; 27.9; 34.5; 37.4; 58.4; 62.1; 126.8; 127.2; 128.9; 130.3; 165.7. IR ( $\text{CHCl}_3$ ):  $\nu$ ,  $\text{cm}^{-1}$  3403 (OH). MS (EI)  $m/z$  (rel. Int.): 232 ( $M^+-28$ , 6), 187 (46), 175 (23), 159 (10), 144 (15), 104 (100), 89 (12), 77 (14), 72 (11), 57 (12). HRMS: Calcd for  $\text{C}_{14}\text{H}_{20}\text{N}_4\text{O}$ : 260.1637. Found: 260.1633.

Determination of enantiomeric excess was carried out on its corresponding acetate prepared from **1e** (100 mg, 0.39 mmol) and  $\text{Ac}_2\text{O}$  (0.11 mL, 1.20 mmol).

Yield: 92%.  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ , 300MHz): 0.84 (t, 3H,  $J=7.2$  Hz); 1.05 (m, 1H); 1.27 (m, 3H); 1.95 (s, 3H); 2.11 (m, 1H); 2.27 (m, 1H); 2.45 (m, 1H); 3.90 (m, 1H); 4.10 (m, 1H); 5.00 (m, 1H); 7.46 (m, 3H); 8.16 (m, 2H).  $^{13}\text{C-NMR}$  ( $\text{CDCl}_3$ , 75MHz): 13.8; 20.8;



22.1; 27.8; 33.7; 34.6; 60.7; 62.5; 126.8; 127.5; 128.9; 130.3; 165.0; 170.7. IR ( $\text{CHCl}_3$ ):  $\nu$ ,  $\text{cm}^{-1}$  1738 (C=O). MS (EI)  $m/z$  (rel. Int.): 274 ( $M^+-28$ , 9), 259 (6), 217 (7), 187 (69), 170 (9), 157 (22), 144 (14), 104 (100), 89 (12), 77 (11), 57 (18), 54 (18). e.e.: 87 %. (Chiralcel OJ column, UV detector): Flow rate 0.85  $\text{mL}\cdot\text{min}^{-1}$ , hexanes/*i*-PrOH 95:5). Retention time for the (3*R*) isomer:  $t_R = 14.37$  min. Retention time for the (3*S*) isomer:  $t_R = 16.60$  min.

**(3*S*)-3-(5-Phenyltetrazol-2-yl)-octan-1-ol (1f).**

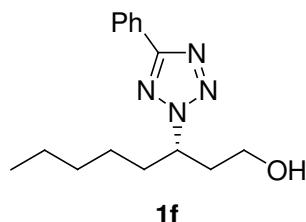
Adduct **1f** (241 mg, 0.88 mmol) was prepared according to the general procedure using (*E*)-2-octenal (0.15 mL, 1.00 mmol), catalyst **2** (25 mg, 0.10 mmol), TFA (8  $\mu\text{L}$ , 0.10 mmol), 5-phenyltetrazole (730 mg, 5 mmol) and LiBH<sub>4</sub> (109 mg, 5.00 mmol).

Yield: 88%.  $[\alpha]_D^{20} = -2.4$  ( $c=1.0$ ,  $\text{CH}_2\text{Cl}_2$ ). <sup>1</sup>H-NMR ( $\text{CDCl}_3$ , 300MHz): 0.87 (t, 3H,  $J=7.1$  Hz); 0.95 (m, 1H); 1.09 (m, 5H); 1.95 (m, 1H); 2.11 (m, 2H); 2.35 (m, 1H); 2.50 (bs, 1H); 3.32 (m, 1H); 3.55 (m, 1H); 5.02 (m, 1H); 7.49 (m, 3H); 8.09 (m, 2H). <sup>13</sup>C-NMR ( $\text{CDCl}_3$ , 75MHz): 13.9; 22.3; 25.5; 31.1; 34.8; 37.4; 58.4; 62.2; 126.8; 127.4; 128.9; 130.3; 165.8. IR ( $\text{CHCl}_3$ ):  $\nu$ ,  $\text{cm}^{-1}$  3407 (OH). MS (EI)  $m/z$  (rel. Int.): 246 ( $M^+-28$ , 3), 201 (55), 257 (23), 104 (100), 89 (12), 87 (12), 77 (10), 72 (14), 54 (20). HRMS: Calcd for  $\text{C}_{15}\text{H}_{22}\text{N}_4\text{O}$ : 274.1794. Found: 274.1788.

Determination of enantiomeric excess was carried out on its corresponding acetate prepared from **1f** (100 mg, 0.36 mmol) and Ac<sub>2</sub>O (0.11 mL, 1.20 mmol).

Yield: 94%. <sup>1</sup>H-NMR ( $\text{CDCl}_3$ , 300MHz): 0.83 (t, 3H,  $J=7.1$  Hz); 1.08 (m, 1H); 1.25 (m, 5H); 1.90 (m, 1H); 1.96 (s, 3H); 2.09 (m, 1H); 2.25 (m, 1H); 2.47 (m, 1H); 3.88 (m, 1H); 4.08 (m, 1H); 5.02 (m, 1H); 7.47 (m, 3H); 8.16 (m, 2H). <sup>13</sup>C-NMR ( $\text{CDCl}_3$ , 75MHz): 13.9; 20.8; 22.3; 25.3; 31.1; 33.7; 34.8; 60.8; 62.5; 126.8; 127.5; 128.9; 130.3; 165.0; 170.8. IR ( $\text{CHCl}_3$ )  $\nu$ ,  $\text{cm}^{-1}$ : 1741 (C=O). MS (EI)  $m/z$  (rel. Int.): 288 ( $M^+-28$ , 11), 273 (9), 259 (6), 217 (6), 201 (75), 184 (11), 157 (25), 147 (11), 104 (100), 87 (12), 77 (11), 69 (12), 54 (19).

e.e.: 85 %. (Chiralcel OJ column, UV detector): Flow rate 0.85  $\text{mL}\cdot\text{min}^{-1}$ , hexanes/*i*-PrOH 95:5). Retention time for the (3*R*) isomer:  $t_R = 11.15$  min. Retention time for the (3*S*) isomer:  $t_R = 13.18$  min.

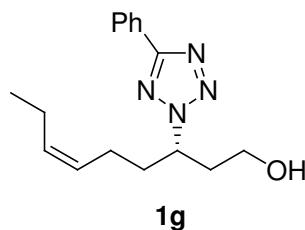


**(3S,6Z)-3-(5-Phenyltetrazol-2-yl)-6-nonen-1-ol (1g).**

Adduct **1g** (200 mg, 0.70 mmol) was prepared according to the general procedure using (6Z,2E)-2-octenal (0.16 mL, 1.00 mmol), catalyst **2** (25 mg, 0.10 mmol), TFA (8  $\mu$ L, 0.10 mmol), 5-phenyltetrazole (730 mg, 5 mmol) and LiBH<sub>4</sub> (109 mg, 5.00 mmol). Yield:

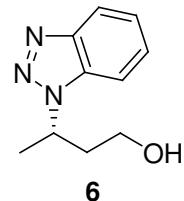
70%.  $[\alpha]_D^{20} = -19.6$  ( $c=1.0$ , CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 300MHz): 0.87 (t, 3H,  $J=7.5$  Hz); 1.85 (m, 4H); 2.17 (m, 4H); 2.41 (bs, 1H); 3.36 (m, 1H); 3.58 (m, 1H); 5.09 (m, 1H); 5.17 (m, 1H); 5.24 (m, 1H); 7.43 (m, 3H); 8.12 (m, 2H). <sup>13</sup>C-NMR (CDCl<sub>3</sub>, 75MHz): 14.2; 20.5; 23.5; 34.7; 37.5; 58.4; 61.6; 126.5; 126.8; 127.6; 128.9; 130.3; 133.4; 165.7. IR (CHCl<sub>3</sub>):  $\nu$ , cm<sup>-1</sup> 3405 (OH). MS (EI) *m/z* (rel. Int.): 258 (M<sup>+</sup>-28, 2), 241 (12), 213 (15), 149 (38), 127 (23), 104 (100), 88 (25), 73 (32), 55 (21). HRMS: Calcd for C<sub>16</sub>H<sub>22</sub>N<sub>4</sub>O: 286.1794. Found: 286.1805.

e.e.: 76 %. (Chiralcel OD column, UV detector): Flow rate 0.85 mL·min<sup>-1</sup>, hexanes/*i*-PrOH 99:1). Retention time for the (3*S*) isomer: t<sub>R</sub> = 41.95 min. Retention time for the (3*R*) isomer: t<sub>R</sub> = 49.34 min.



**(3*S*)-3-(benzotriazol-1-yl)-butan-1-ol (6).**

Adduct **8** (101 mg, 0.53 mmol) was prepared according to the general procedure using crotonaldehyde (83  $\mu$ L, 1.00 mmol), catalyst **2** (25 mg, 0.10 mmol), TFA (8  $\mu$ L, 0.10 mmol), benzotriazole (595 mg, 5.00 mmol) and LiBH<sub>4</sub> (109 mg, 5.00 mmol). Yield: 53%. <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 300MHz): 1.73 (d, 3H,  $J=7.2$  Hz); 2.25 (m, 2H); 2.41 (m, 1H); 2.85 (bs, 1H); 3.31 (m, 1H); 3.64 (m, 1H); 5.21 (m, 1H); 7.31 (t, 1H,  $J=7.7$  Hz); 7.39 (t, 1H,  $J=7.7$  Hz); 7.45 (d, 1H,  $J=8.3$  Hz); 7.99 (d, 1H,  $J=8.3$  Hz). <sup>13</sup>C-NMR (CDCl<sub>3</sub>, 75MHz): 20.8; 38.7; 52.2; 58.5; 109.9; 119.8; 124.0; 127.1; 132.7; 145.7. IR (CHCl<sub>3</sub>):  $\nu$ , cm<sup>-1</sup> 3389 (OH). HRMS: Calcd for C<sub>10</sub>H<sub>13</sub>N<sub>3</sub>O: 191.1059. Found: 191.1065.



Determination of enantiomeric excess was carried out on its corresponding acetate prepared from **6** (80 mg, 0.42 mmol) and Ac<sub>2</sub>O (0.11  $\mu$ L, 1.20 mmol).

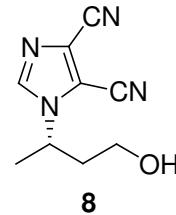
Yield: 93%. <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 300MHz): 1.68 (t, 3H,  $J=7.3$  Hz); 1.91 (s, 3H); 2.35 (m, 1H); 2.53 (m, 1H); 3.85 (m, 1H); 4.17 (m, 1H); 5.07 (m, 1H); 7.39 (t, 1H,  $J=7.7$  Hz); 7.71 (t, 1H,  $J=7.7$  Hz); 7.68 (d, 1H,  $J=8.2$  Hz); 8.09 (d, 1H,  $J=8.2$  Hz). <sup>13</sup>C-NMR

(CDCl<sub>3</sub>, 75MHz): 20.7; 20.9; 35.0; 52.7; 61.0; 109.4; 120.0; 123.8; 127.0; 132.4; 145.9; 170.5. IR (CHCl<sub>3</sub>)  $\nu$ , cm<sup>-1</sup>: 1746 (C=O). MS (EI) *m/z* (rel. Int.): 288 (M<sup>+</sup>-28, 11), 273 (9), 259 (6), 217 (6), 201 (75), 184 (11), 157 (25), 147 (11), 104 (100), 87 (12), 77 (11), 69 (12), 54 (19).

e.e.: 66 %. (Chiralcel OD column, UV detector): Flow rate 1.00 mL·min<sup>-1</sup>, hexanes/*i*-PrOH 99:1). Retention time for the (3*S*) isomer: t<sub>R</sub> = 46.63 min. Retention time for the (3*R*) isomer: t<sub>R</sub> = 50.45 min.

**(3*S*)-1-(3-Hydroxy-1-methylpropyl)-1*H*-imidazole-4,5-dicarbonitrile (8).**

Adduct **8** (120 mg, 0.63 mmol) was prepared according to the general procedure using crotonaldehyde (83  $\mu$ L, 1.00 mmol), catalyst **2** (25 mg, 0.10 mmol), TFA (8  $\mu$ L, 0.10 mmol), 4,5-dicyanoimidazole (118 mg, 1.00 mmol) and LiBH<sub>4</sub> (175 mg, 5.00 mmol). Yield: 93%. <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 300MHz): 1.71 (d, 3H, *J*=7.1 Hz); 2.11 (m, 2H); 2.68 (bs, 1H); 3.42 (m, 1H); 3.67 (m, 1H); 4.75 (m, 1H); 7.81 (s, 1H). <sup>13</sup>C-NMR (CDCl<sub>3</sub>, 75MHz): 21.1; 38.5; 53.6; 57.9; 108.3; 111.3; 111.8.; 123.0; 140.5. IR (CHCl<sub>3</sub>):  $\nu$ , cm<sup>-1</sup> 3396 (OH); 2238 (CN). HRMS: Calcd for C<sub>9</sub>H<sub>10</sub>N<sub>4</sub>O: 190.0855. Found: 190.0848.



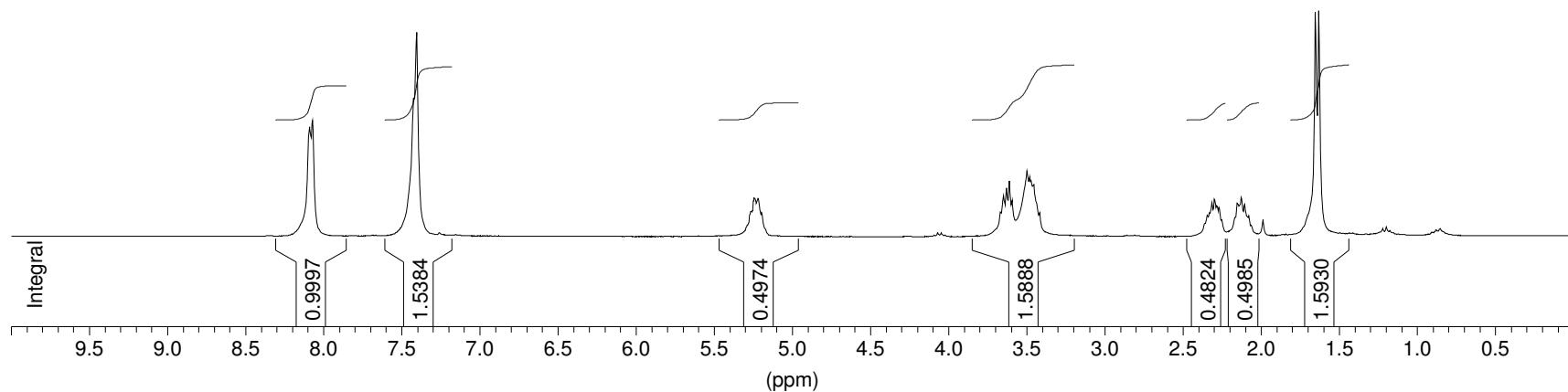
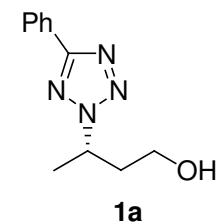
Determination of enantiomeric excess was carried out on its corresponding acetate prepared from **8** (100 mg, 0.53 mmol) and Ac<sub>2</sub>O (0.11 mL, 1.20 mmol).

Yield: 94%. <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 300MHz): 1.68 (d, 3H, *J*=7.0 Hz); 2.03 (S, 3H); 2.25 (m, 2H); 3.91 (m, 1H); 4.11 (m, 1H); 4.59 (m, 1H); 7.74 (s, 1H). <sup>13</sup>C-NMR (CDCl<sub>3</sub>, 75MHz): 20.7; 21.3; 35.7; 53.5; 59.9; 108.0; 111.5; 123.4; 139.5; 170.6. IR (CHCl<sub>3</sub>):  $\nu$ , cm<sup>-1</sup> 2237 (CN); 1738 (C=O);

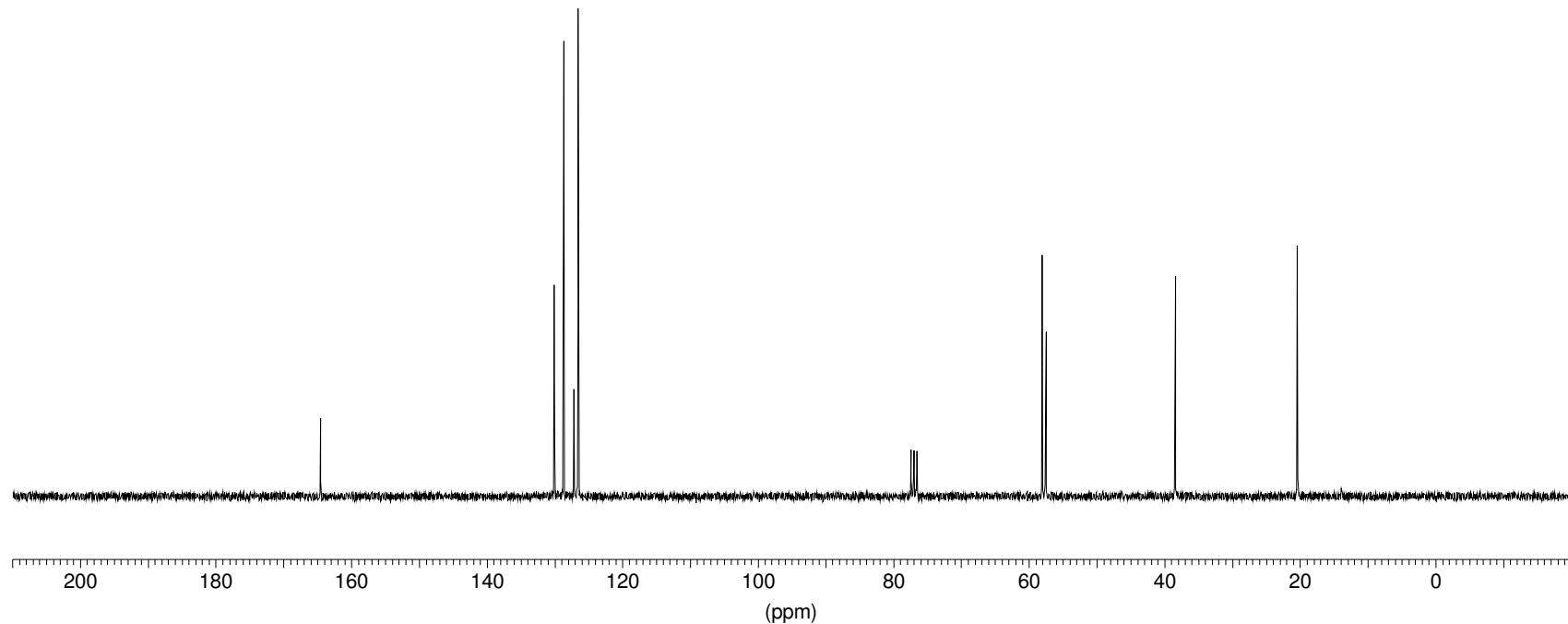
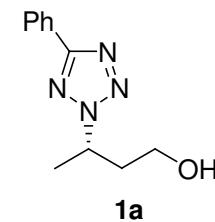
e.e.: 31 %. (Chiralcel OD column, UV detector): Flow rate 1.00 mL·min<sup>-1</sup>, hexanes/*i*-PrOH 80:20). Retention time for the (3*R*) isomer: t<sub>R</sub> = 24.18 min. Retention time for the (3*S*) isomer: t<sub>R</sub> = 27.78 min.

4.-  $^1\text{H}$ - and  $^{13}\text{C}$ -NMR spectra of compounds 1a-g.

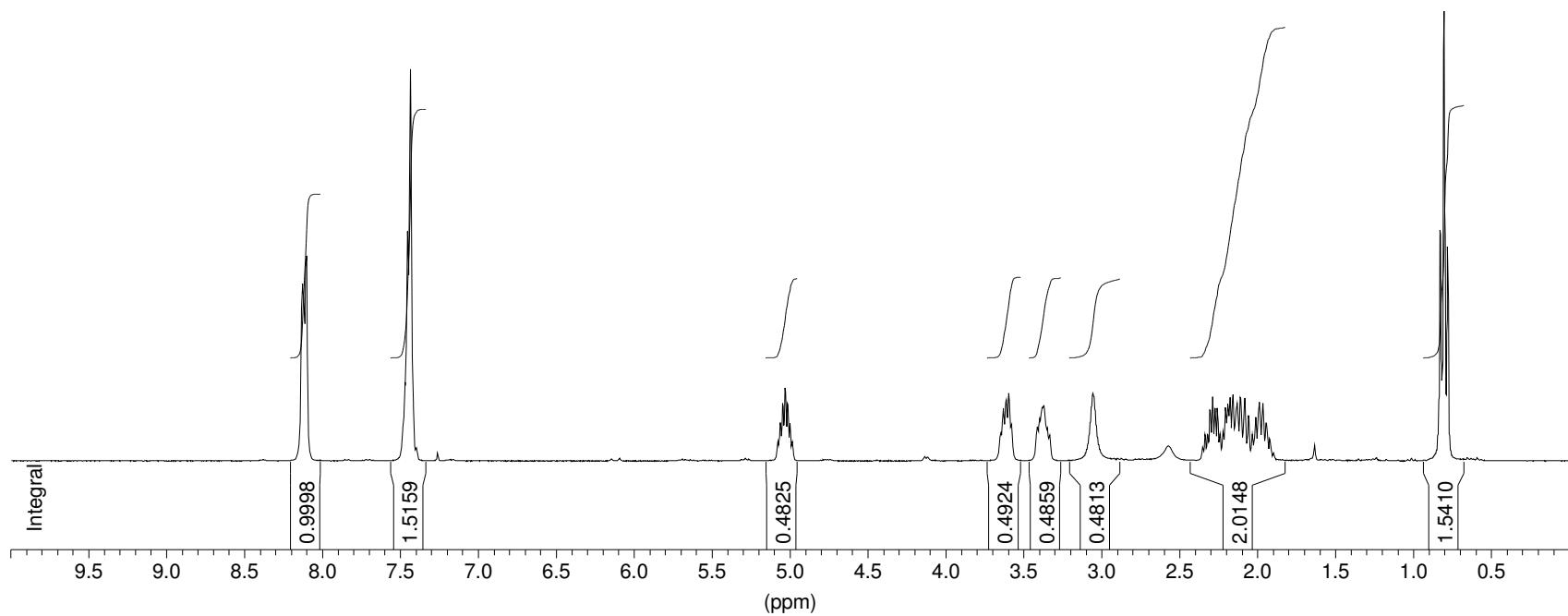
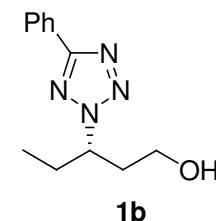
4.1.-  $^1\text{H}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1a**.



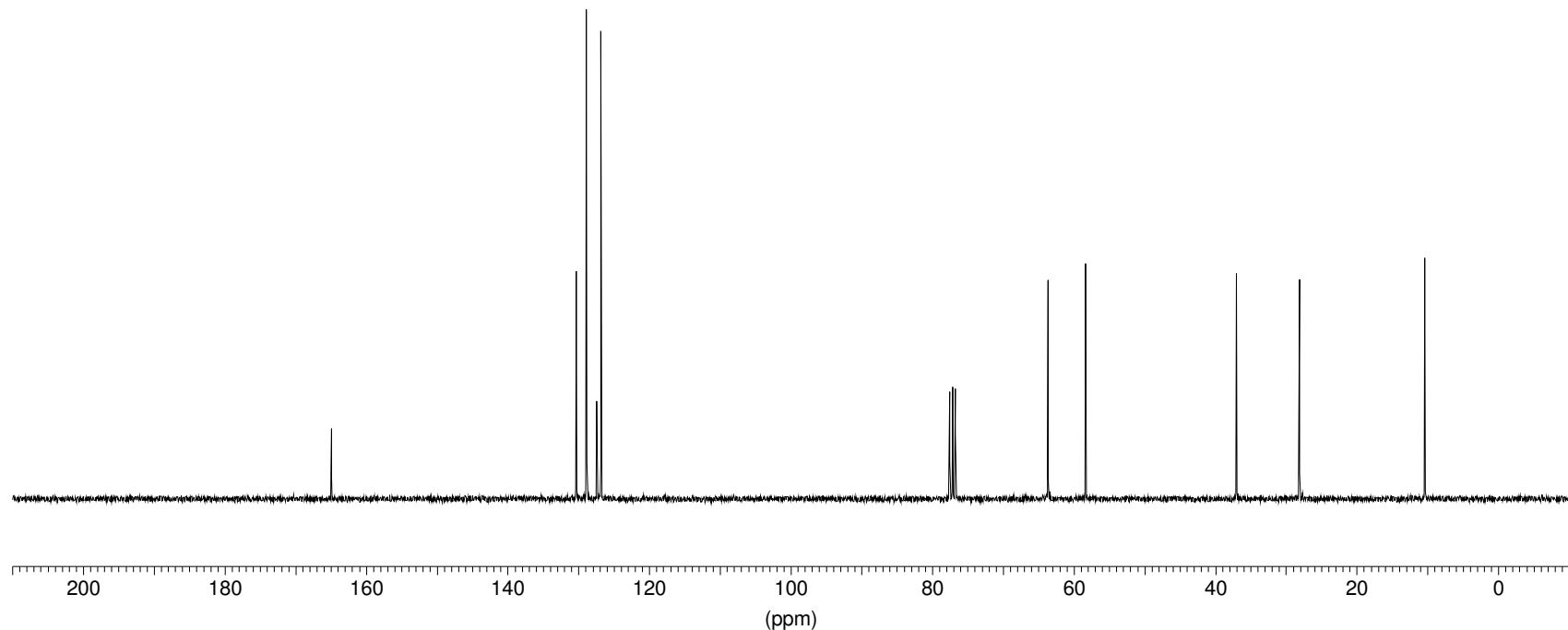
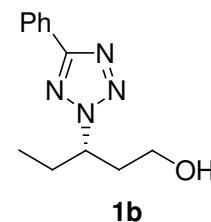
4.2.-  $^{13}\text{C}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1a**.



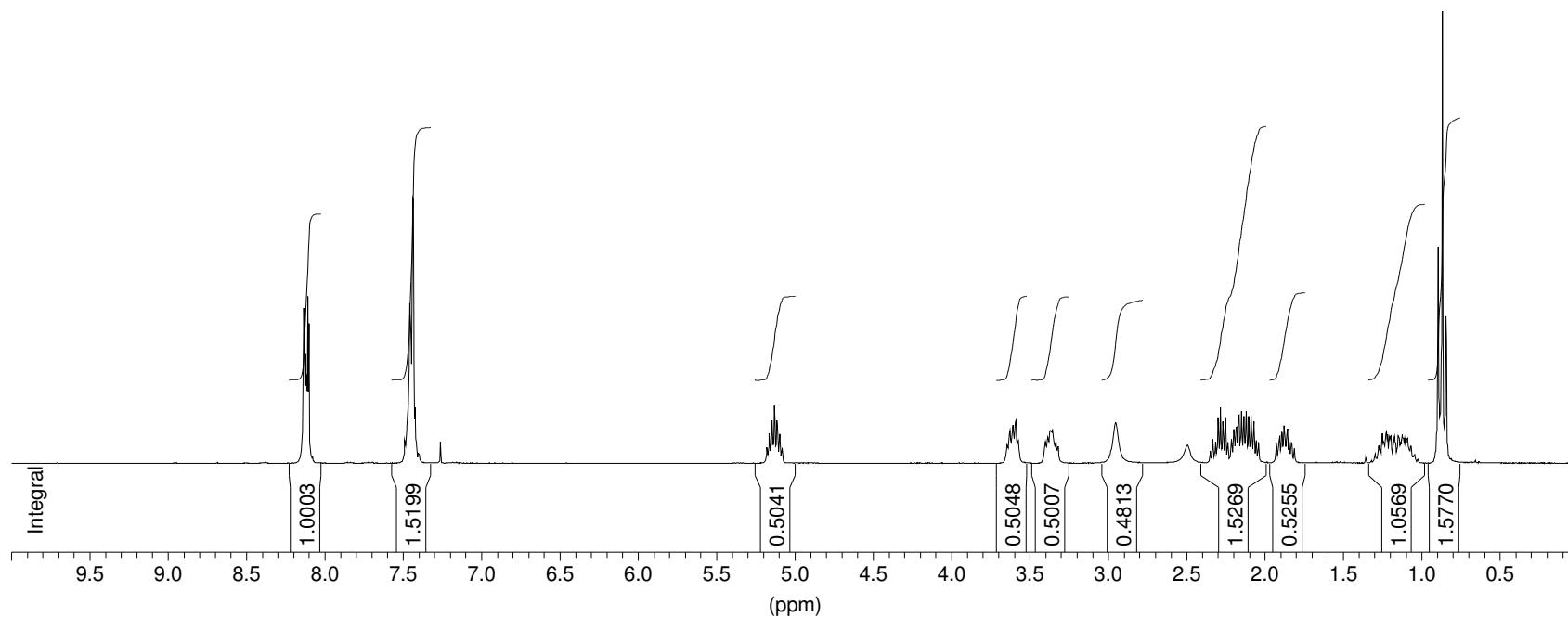
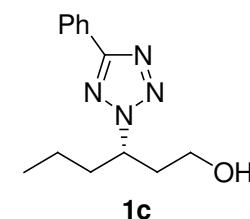
4.3.-  $^1\text{H}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1b**.



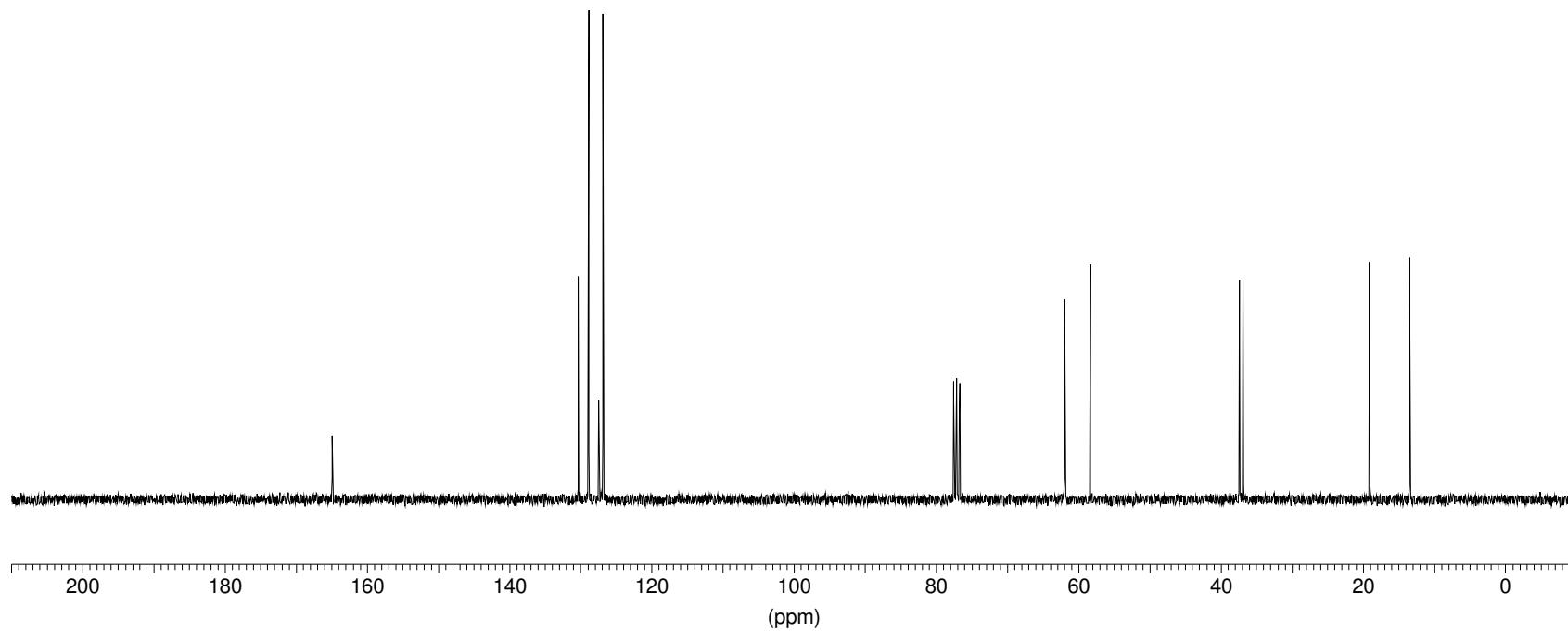
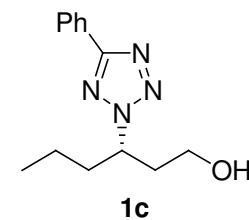
4.4.-  $^{13}\text{C}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1b**.



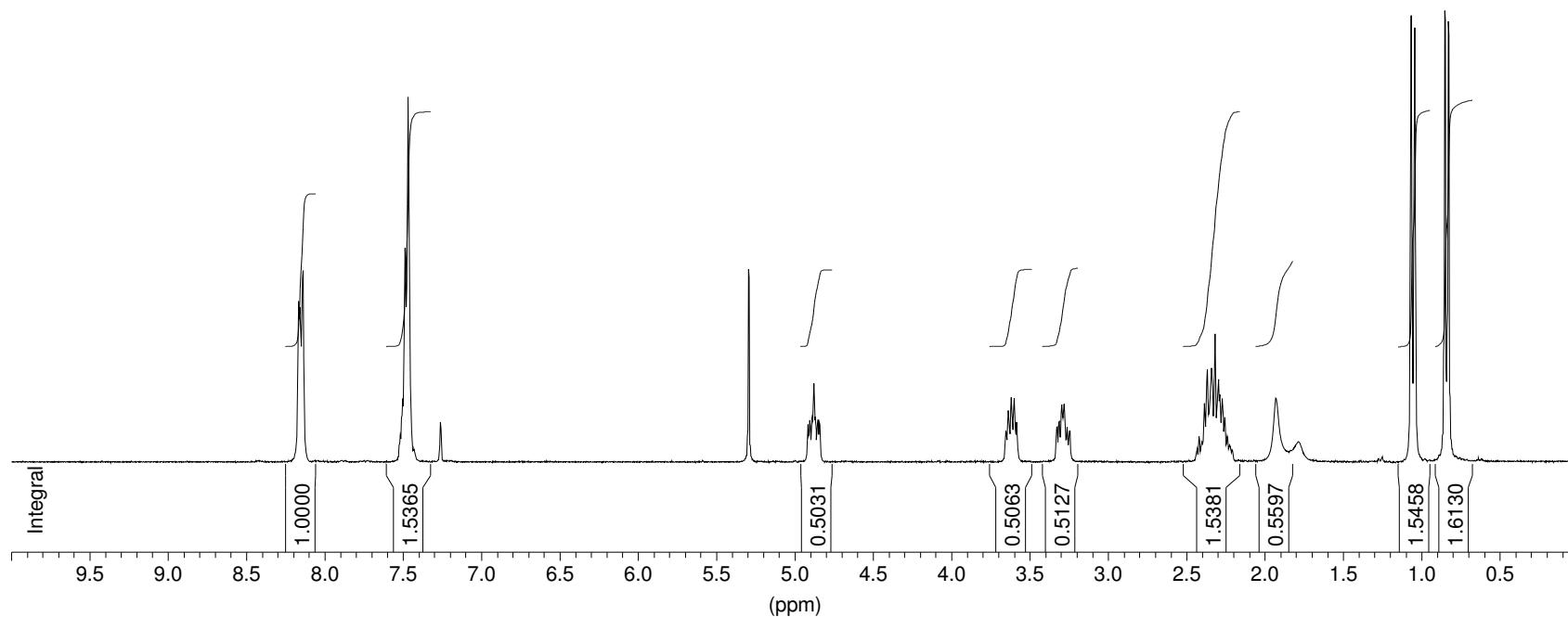
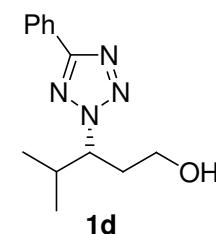
4.5.-  $^1\text{H}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1c**.



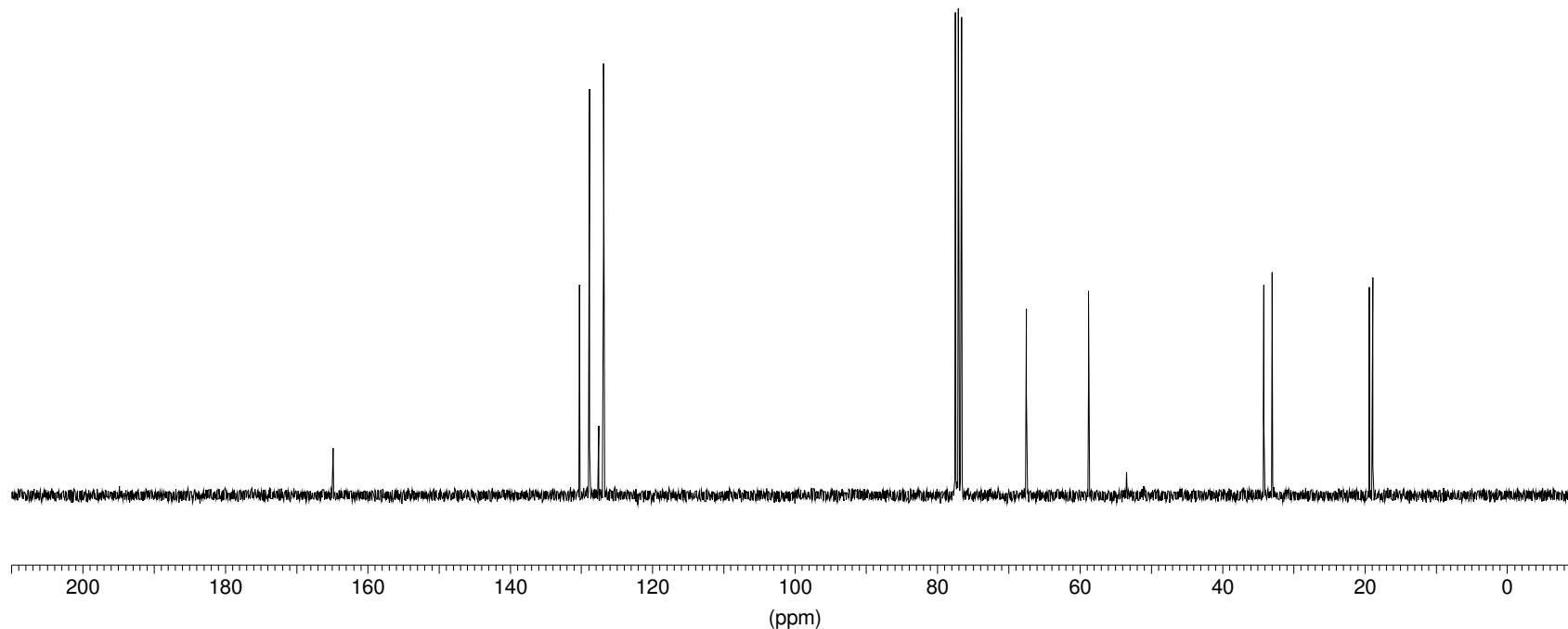
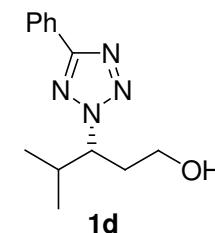
4.6.-  $^{13}\text{C}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1c**.



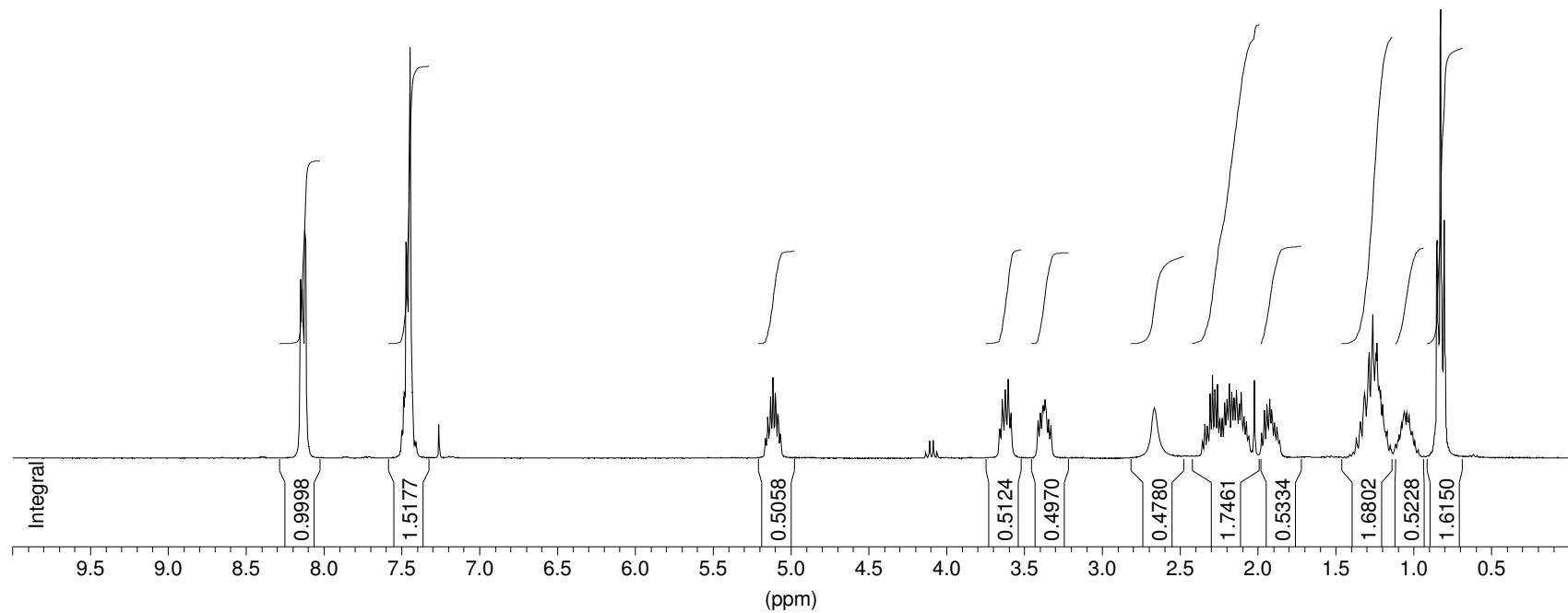
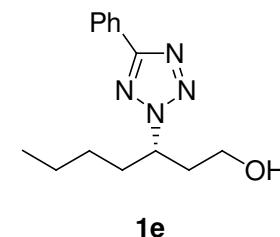
4.7.-  $^1\text{H}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1d**.



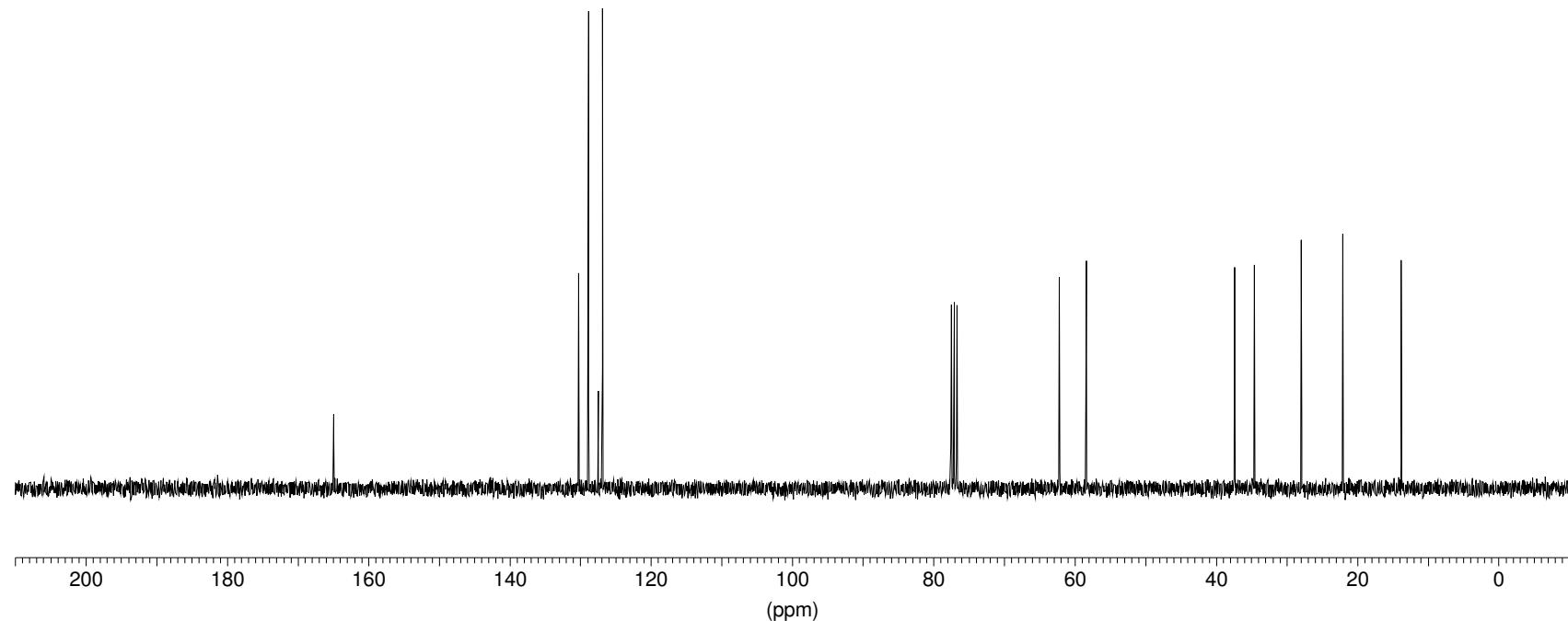
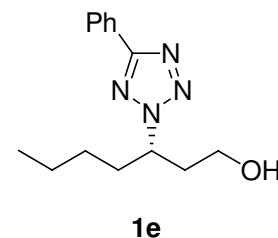
4.8.-  $^{13}\text{C}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1d**.



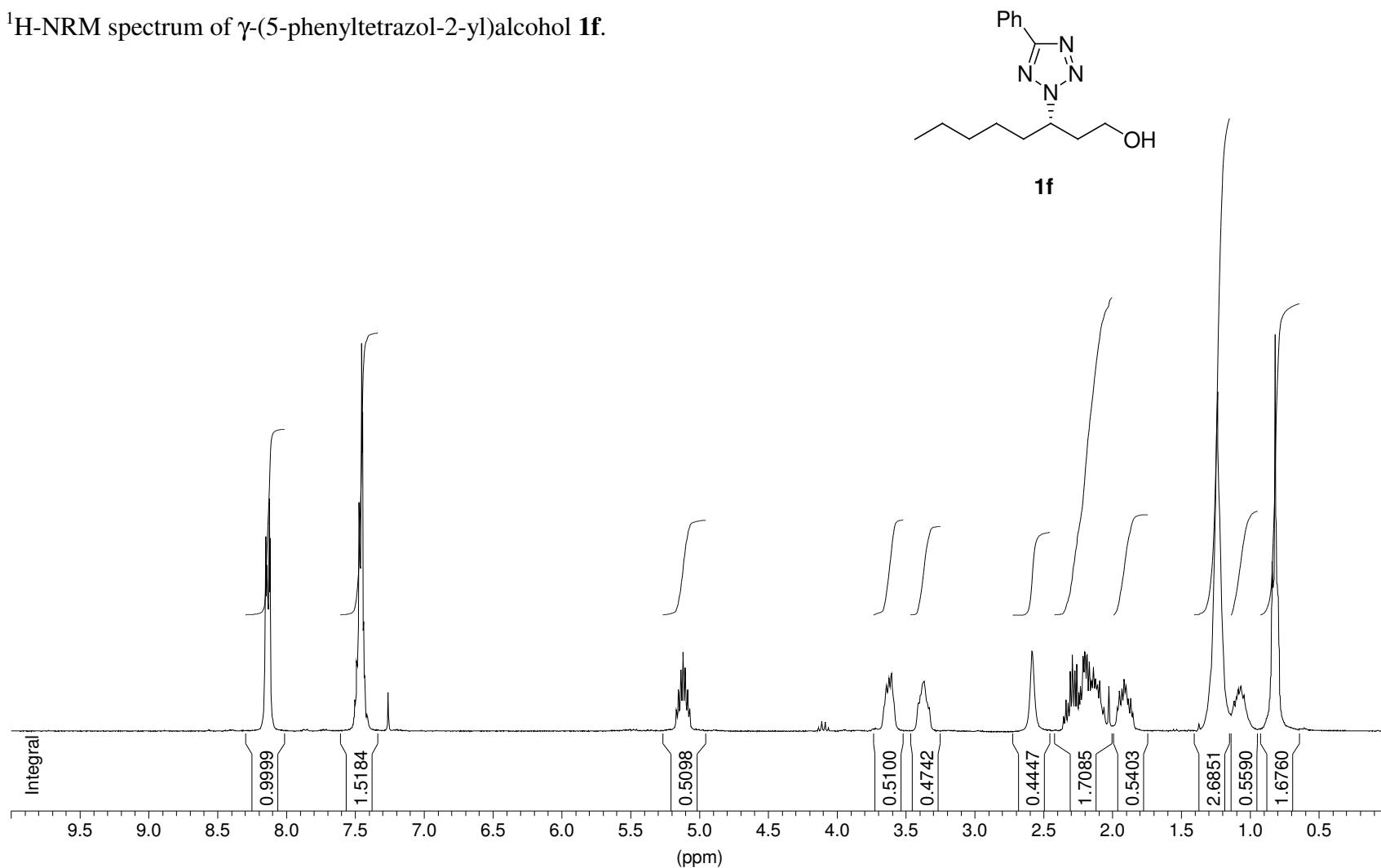
4.9.-  $^1\text{H}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1e**.



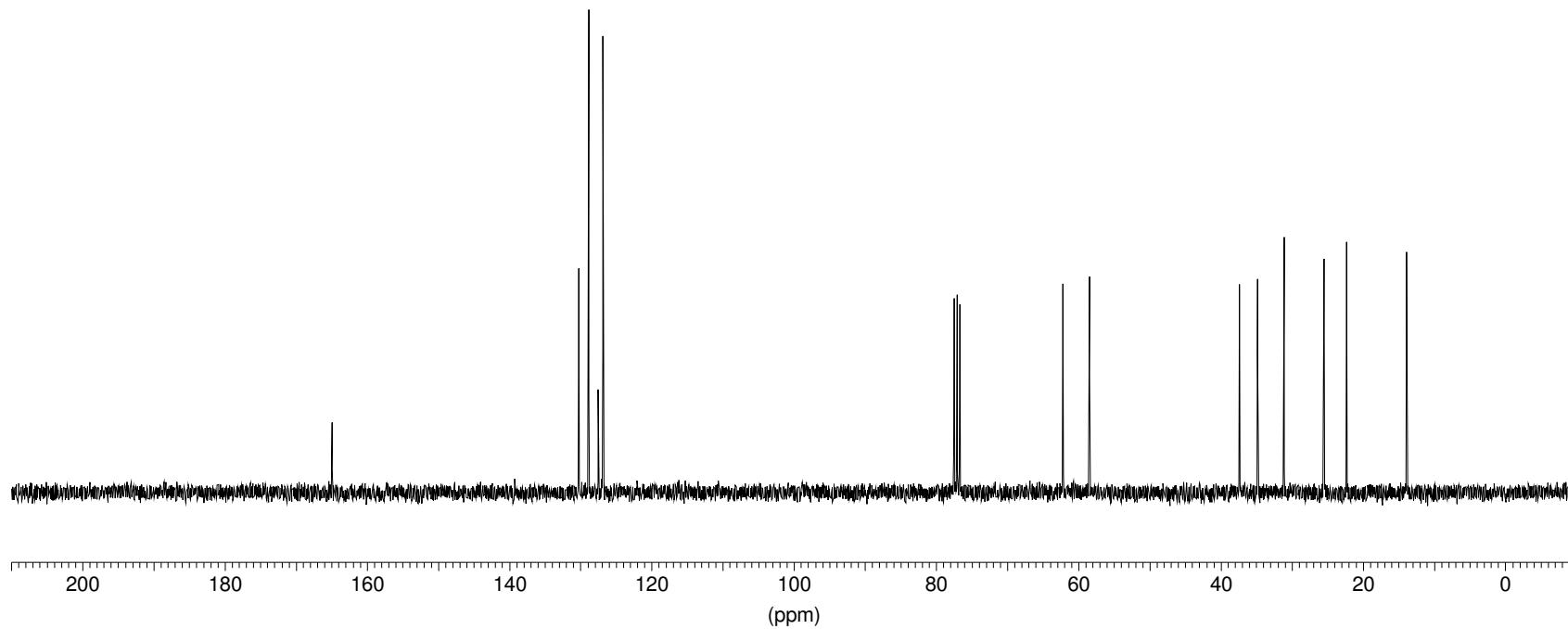
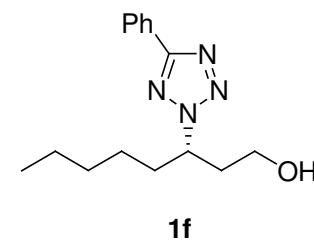
4.10.-  $^{13}\text{C}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1e**.



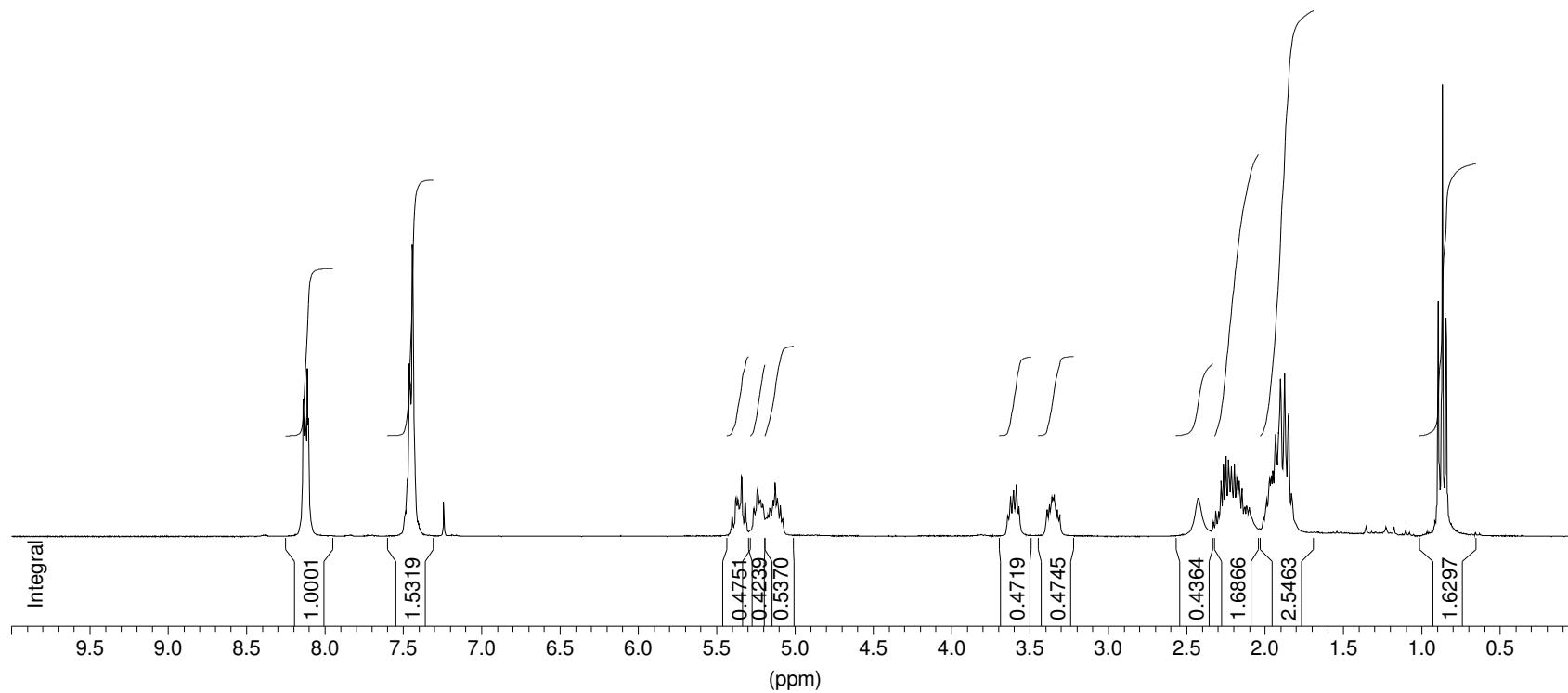
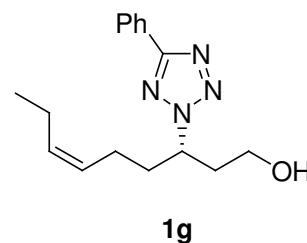
4.11.-  $^1\text{H}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1f**.



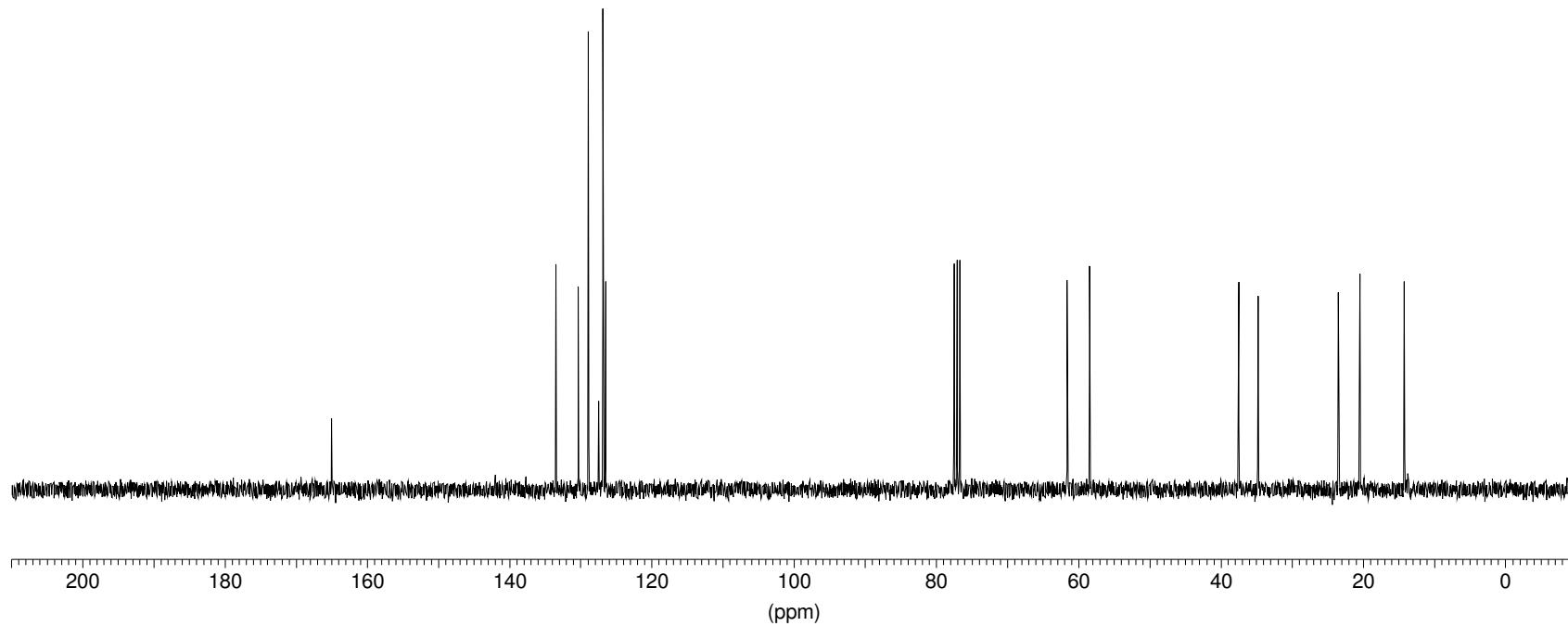
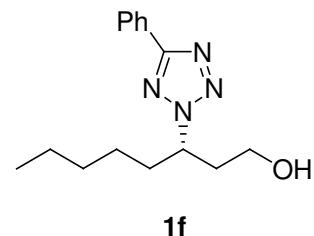
4.12.-  $^{13}\text{C}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1f**.



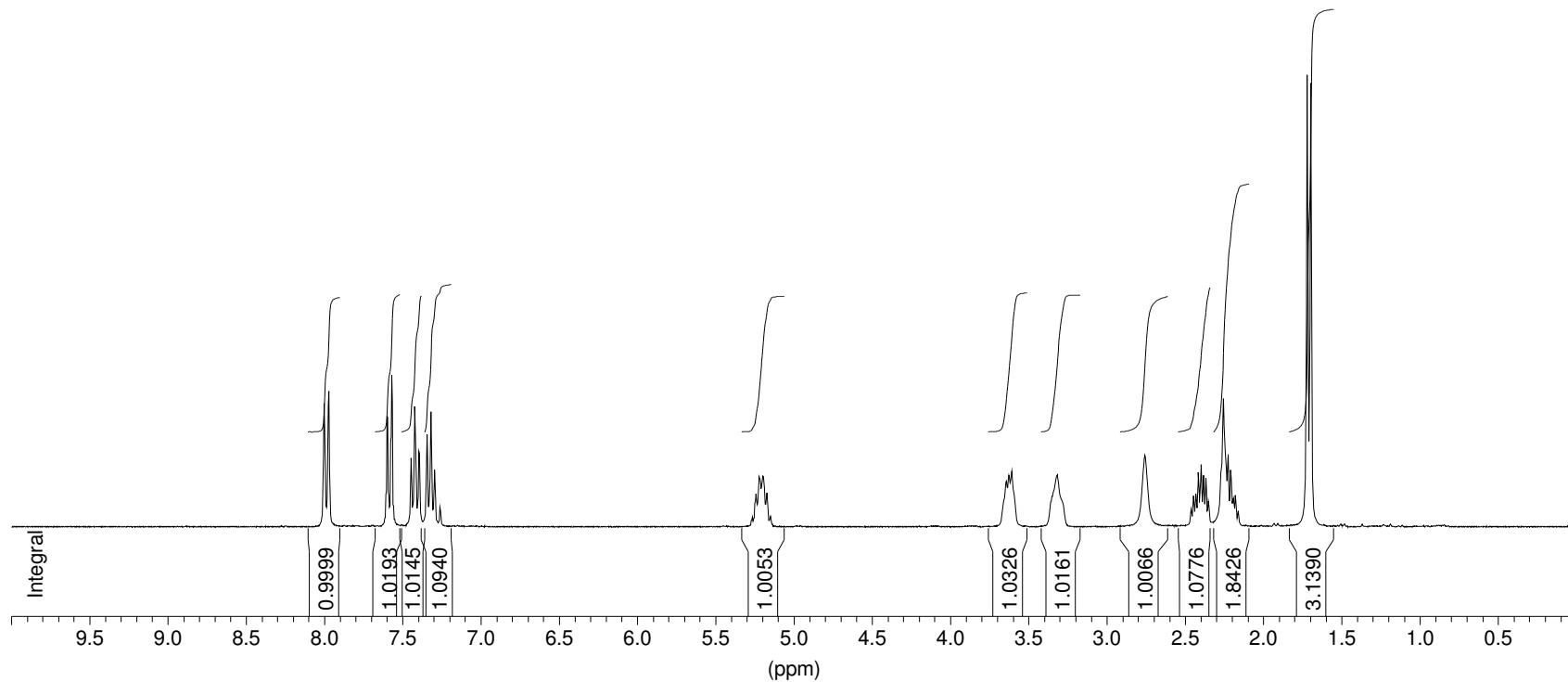
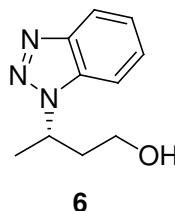
4.13.-  $^1\text{H}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1g**.



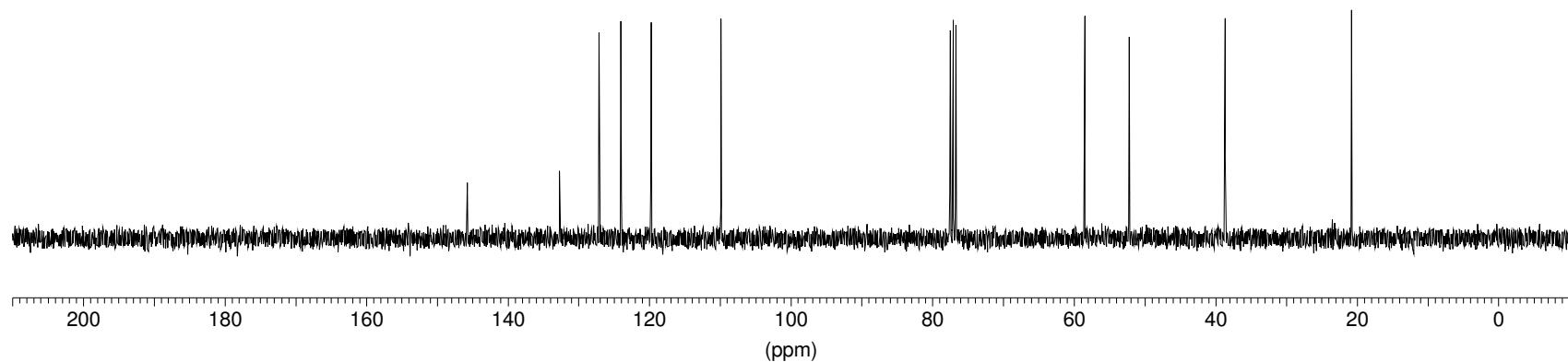
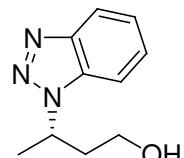
4.14.-  $^{13}\text{C}$ -NMR spectrum of  $\gamma$ -(5-phenyltetrazol-2-yl)alcohol **1g**.



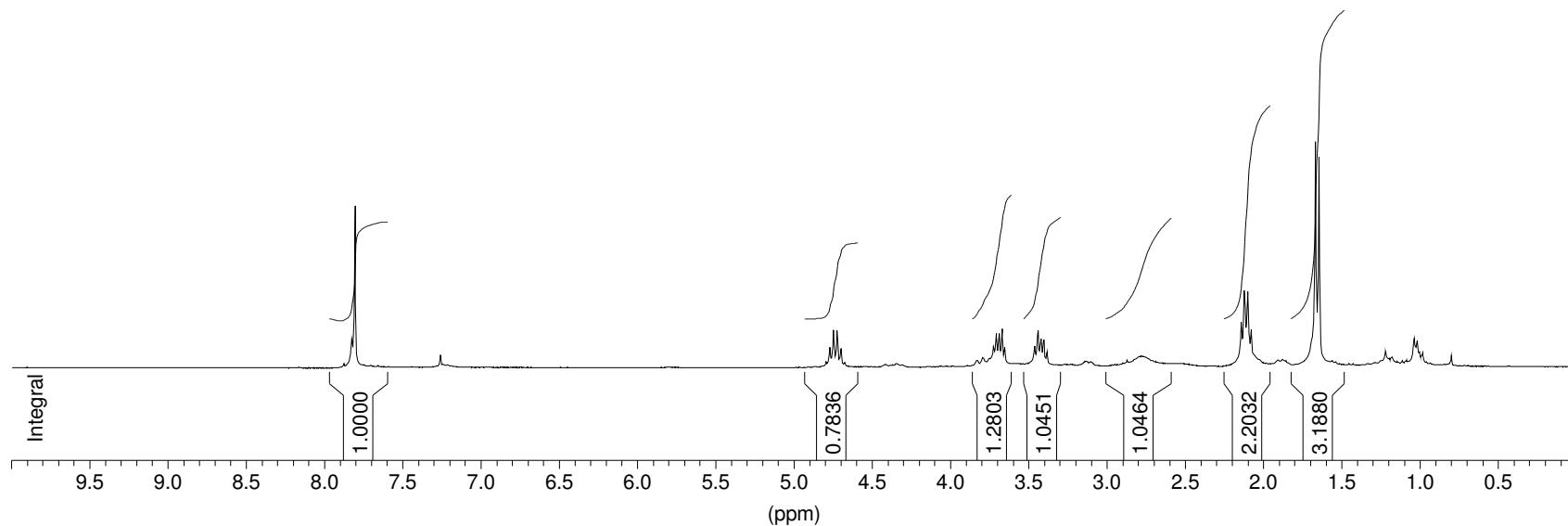
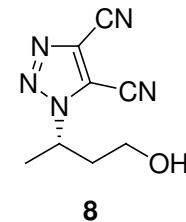
4.15.-  $^1\text{H}$ -NMR spectrum of 3-(benzotriazol-1-yl)-butan-1-ol **6**.



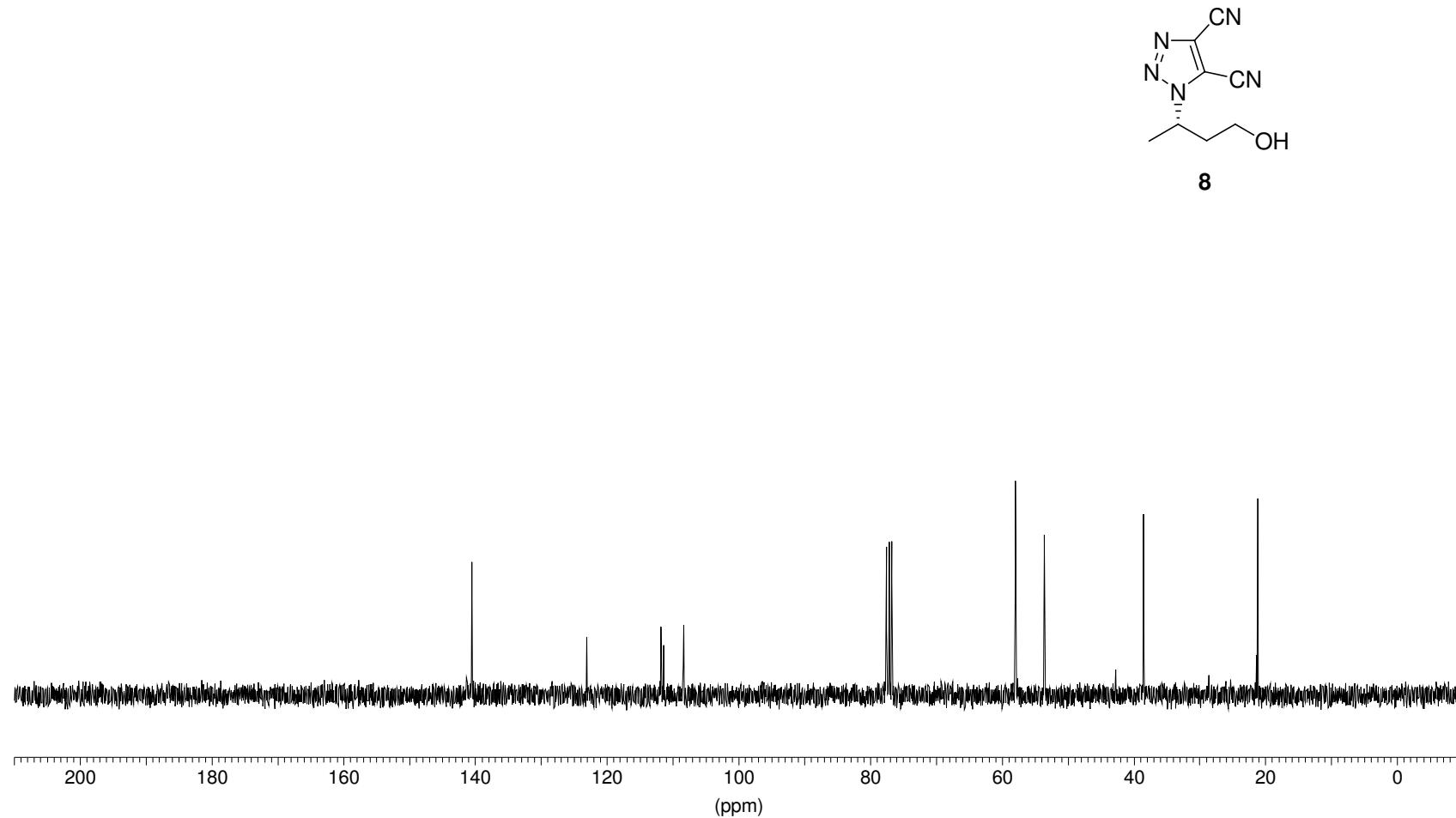
4.16.-  $^{13}\text{C}$ -NMR spectrum of 3-(benzotriazol-1-yl)-butan-1-ol **6**.



4.17.-  $^1\text{H}$ -NMR spectrum of 1-(3-Hydroxy-1-methylpropyl)-1H-imidazole-4,5-dicarbonitrile **8**.



4.18.-  $^{13}\text{C}$ -NMR spectrum of 1-(3-Hydroxy-1-methylpropyl)-1H-imidazole-4,5-dicarbonitrile **8**.

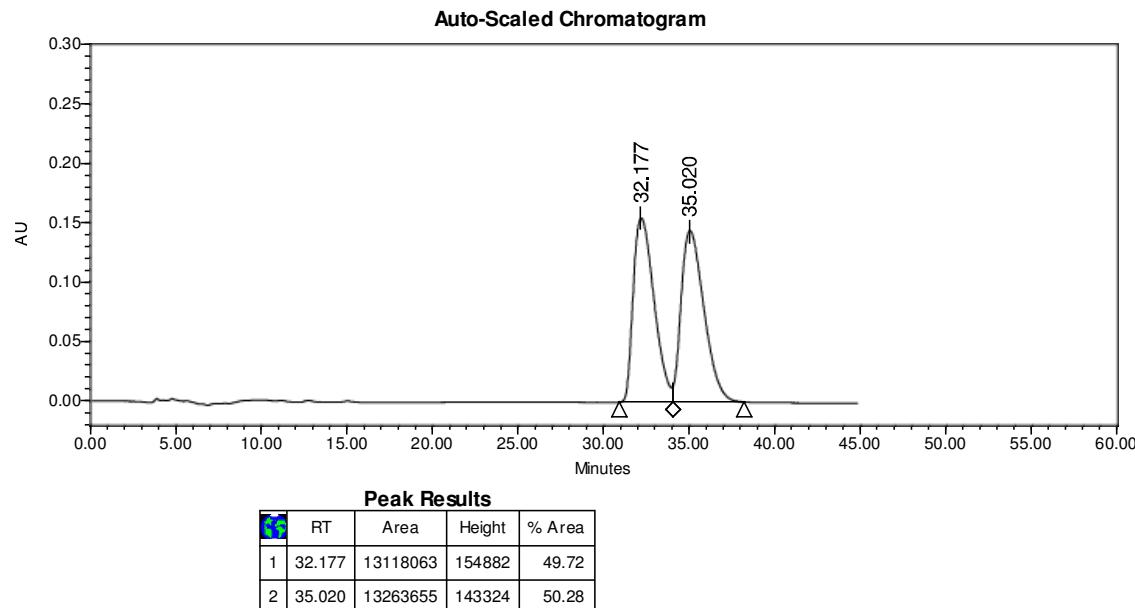


## 5.- Chiral HPLC chromatograms

### 5.1.- - Chiral HPLC chromatograms of racemic and enantioenriched **1a**.

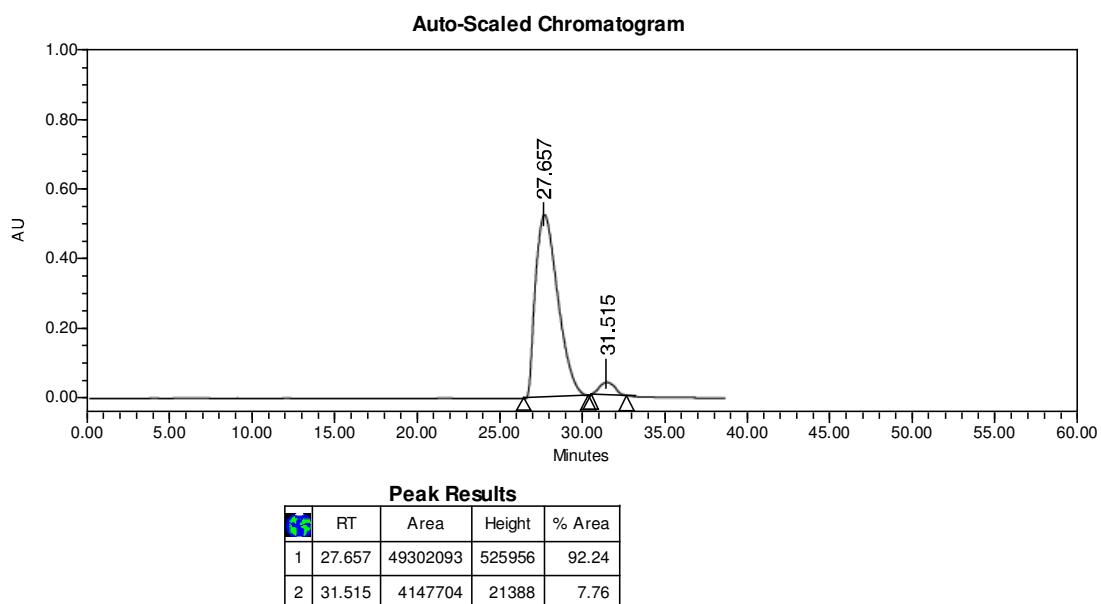
SampleName e584i(OJ 085 95 5)  
Vial 1  
Injection 9  
Injection Volume 5.00 ul  
Channel 996  
Run Time 50.0 Minutes

Sample Type Unknown  
Date Acquired 18/01/06 4:59:25 PM  
Acq Method Set OJ 085 95 5  
Processing Method fenantilamida  
Date Processed 15/01/07 5:16:20 PM



SampleName UX103A(OJ 085 95 05)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 70.0 Minutes

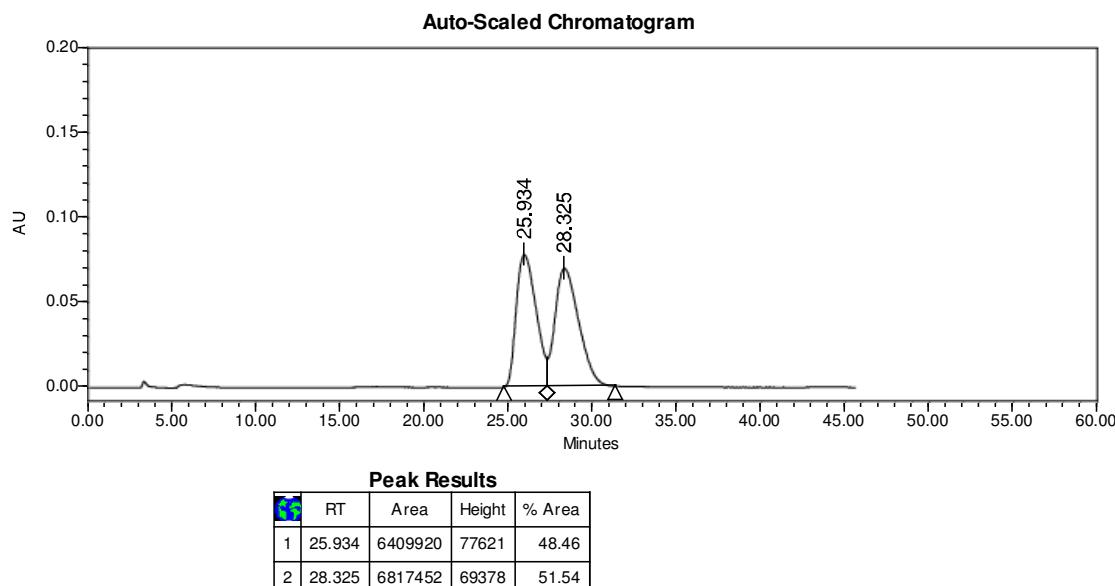
Sample Type Unknown  
Date Acquired 20/10/06 3:47:27 PM  
Acq Method Set OJ 085 95 05  
Processing Method fenantilamida2  
Date Processed 15/01/07 9:54:20 AM



5.2.- - Chiral HPLC chromatograms of racemic and enantioenriched **1b**.

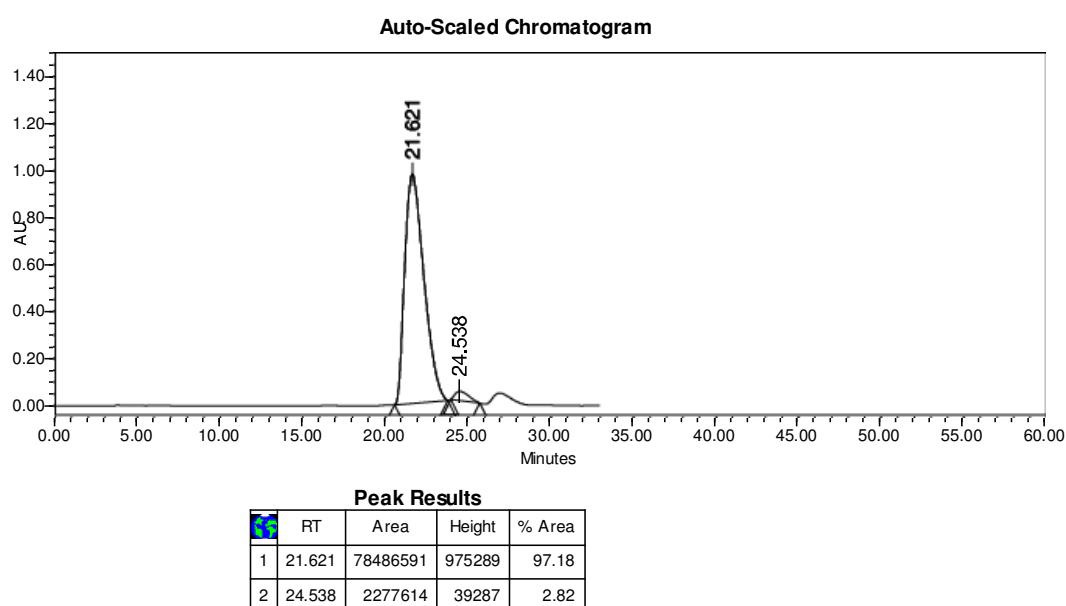
SampleName UX80A(OJ 100 97 03)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 50.0 Minutes

Sample Type Unknown  
Date Acquired 5/05/06 10:29:17 AM  
Acq Method Set OJ 100 97 03  
Processing Method fenantilamida  
Date Processed 15/01/07 1:21:51 PM



SampleName UX103B(OJ 085 95 05)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 70.0 Minutes

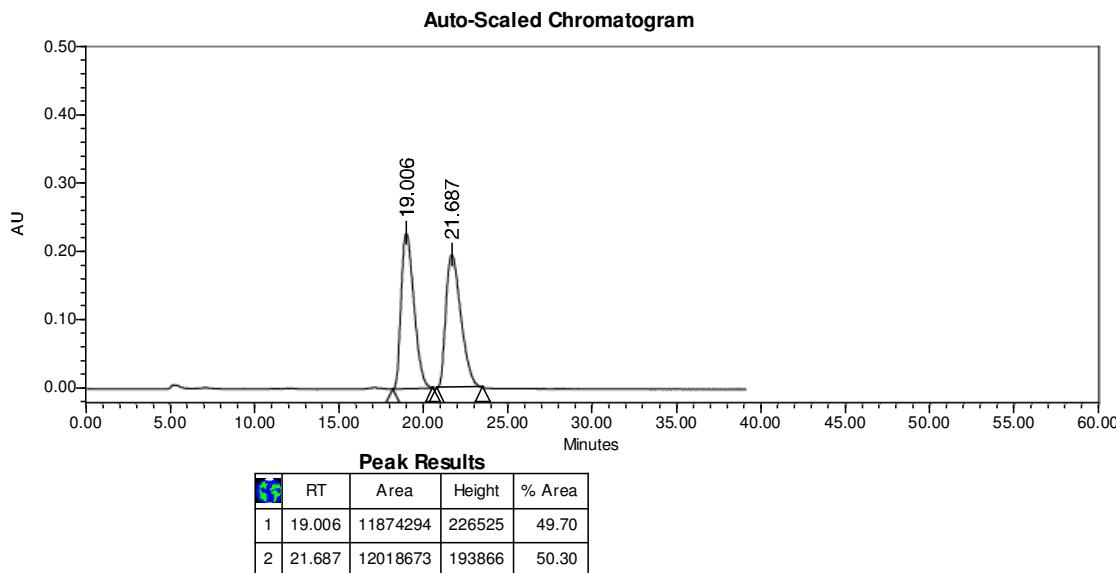
Sample Type Unknown  
Date Acquired 20/10/06 1:35:20 PM  
Acq Method Set OJ 085 95 05  
Processing Method fenantilamida  
Date Processed 15/01/07 10:21:00 AM



5.3.- - Chiral HPLC chromatograms of racemic and enantioenriched **1c**.

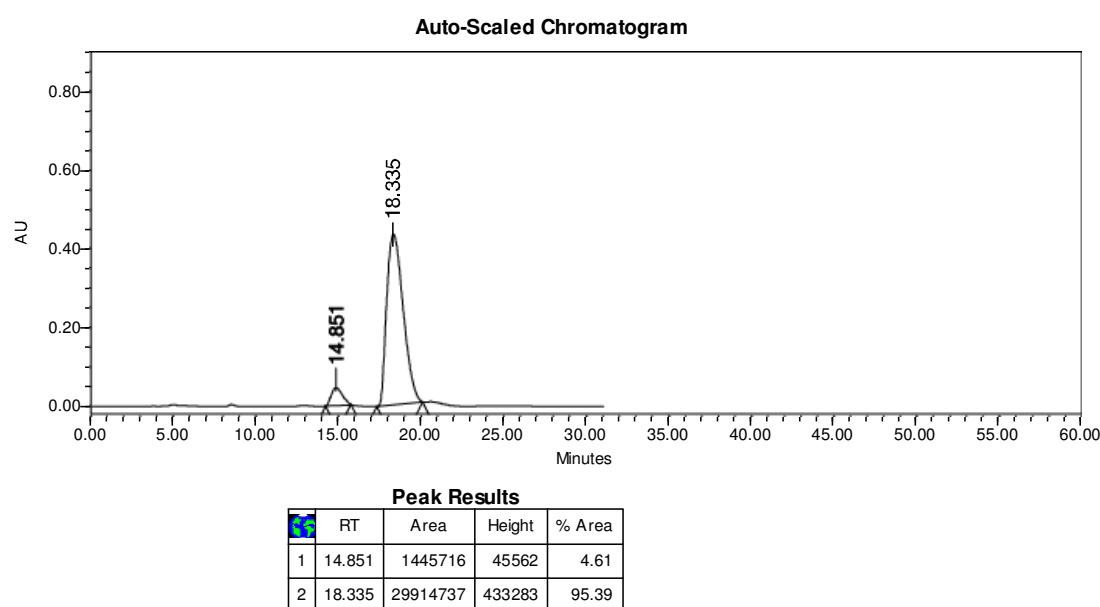
SampleName UX94A(OJ 085 95 05)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 70.0 Minutes

Sample Type Unknown  
Date Acquired 6/09/06 11:07:20 AM  
Acq Method Set OJ 085 95 05  
Processing Method LC Default Processing  
Date Processed 15/01/07 1:28:03 PM



SampleName UX103D(OJ 085 95 05)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 70.0 Minutes

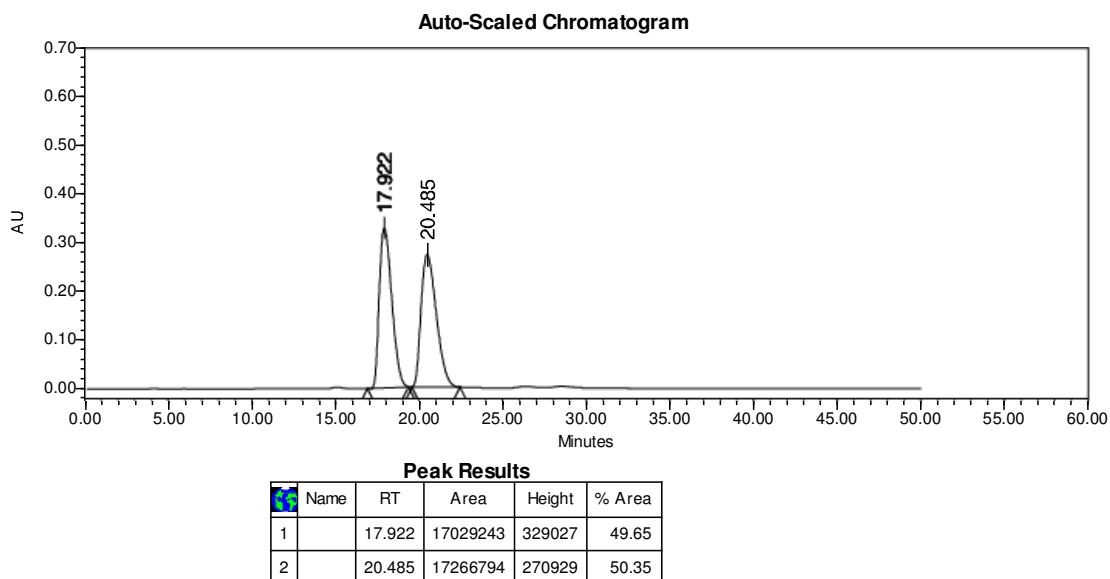
Sample Type Unknown  
Date Acquired 20/10/06 4:28:45 PM  
Acq Method Set OJ 085 95 05  
Processing Method fenetilamida  
Date Processed 15/01/07 11:14:35 AM



5.4.- - Chiral HPLC chromatograms of racemic and enantioenriched **1d**.

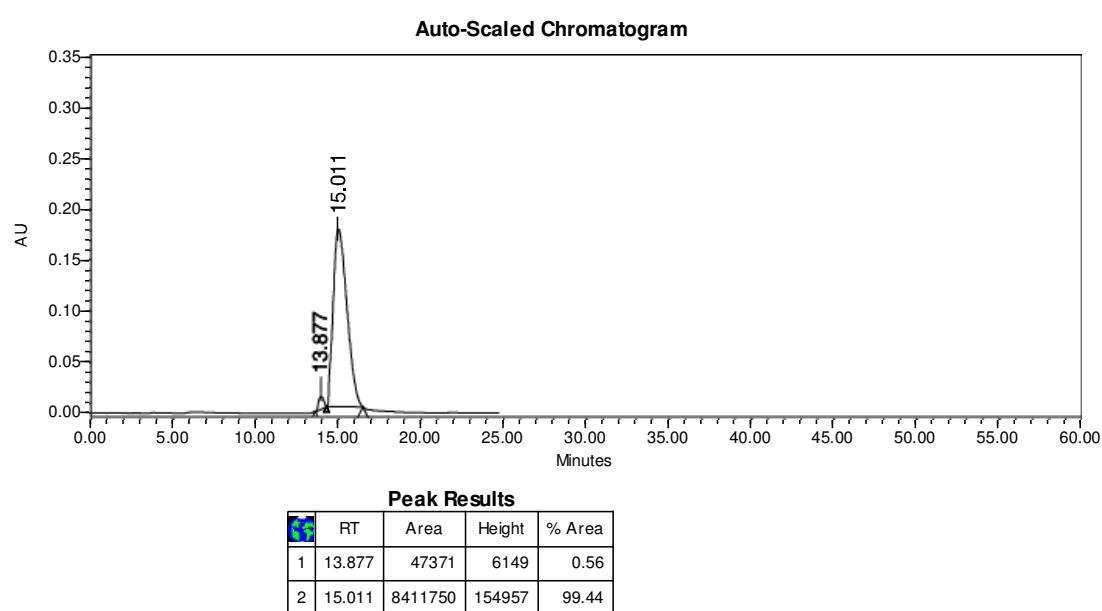
SampleName UX80B(OJ 085 95 05)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 50.0 Minutes

Sample Type Unknown  
Date Acquired 5/05/06 11:18:43 AM  
Acq Method Set OJ 085 95 05  
Processing Method fenantilamida  
Date Processed 15/01/07 1:37:06 PM



SampleName UX103C(OJ 085 95 05)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 40.0 Minutes

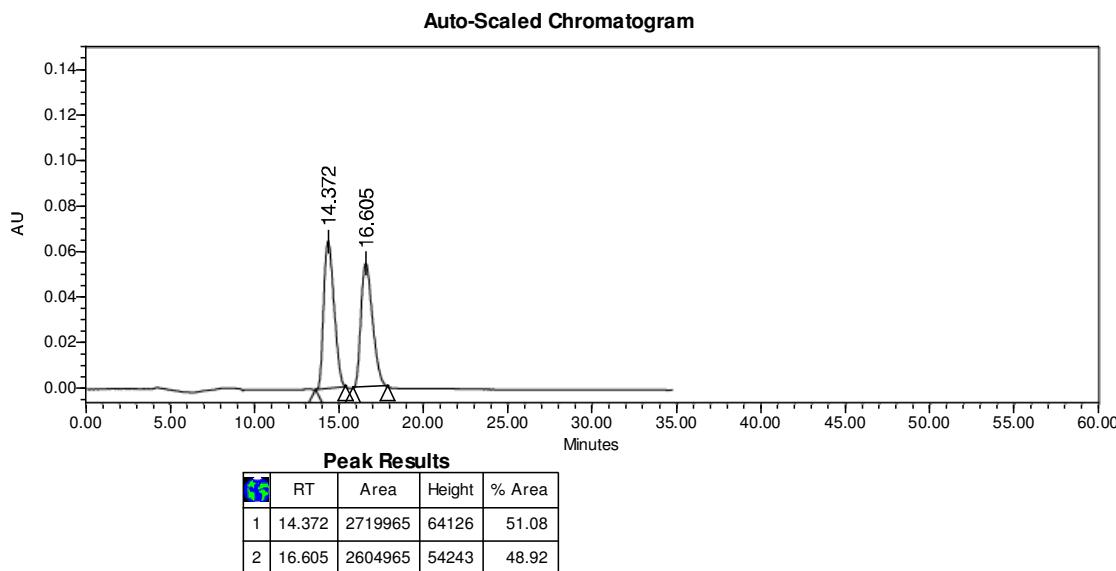
Sample Type Unknown  
Date Acquired 24/10/06 11:22:56 AM  
Acq Method Set OJ 085 95 05  
Processing Method OJ 085 95 05  
Date Processed 24/10/06 11:52:12 AM



5.5.- - Chiral HPLC chromatograms of racemic and enantioenriched **1e**.

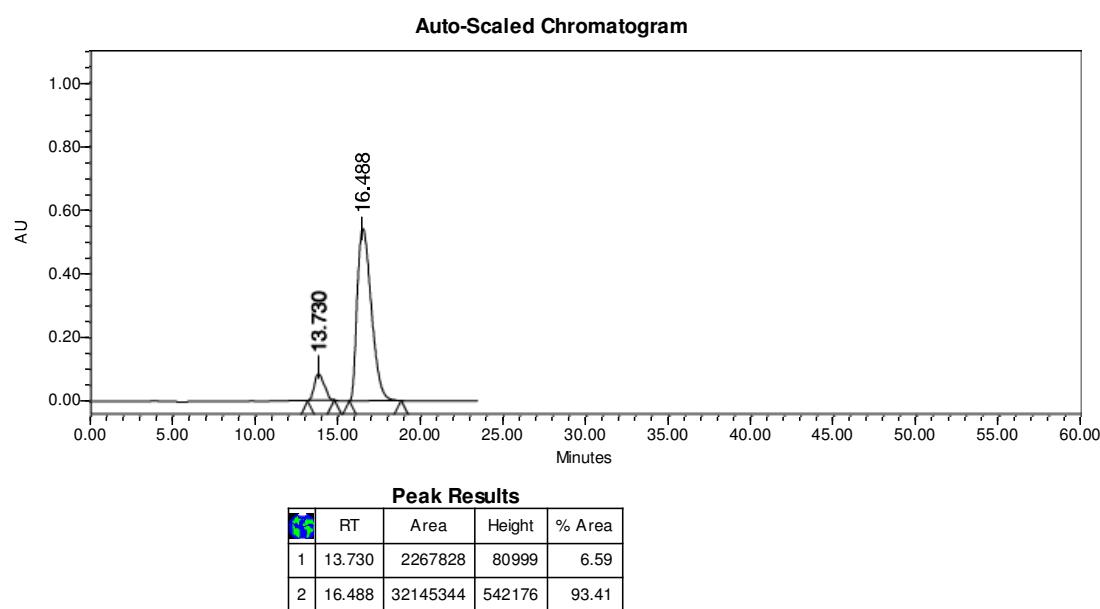
SampleName UX94B(OJ 085 95 05)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 70.0 Minutes

Sample Type Unknown  
Date Acquired 6/09/06 11:49:16 AM  
Acq Method Set OJ 085 95 05  
Processing Method LC Default Processing  
Date Processed 15/01/07 1:40:02 PM



SampleName UX103E(OJ 085 95 05)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 70.0 Minutes

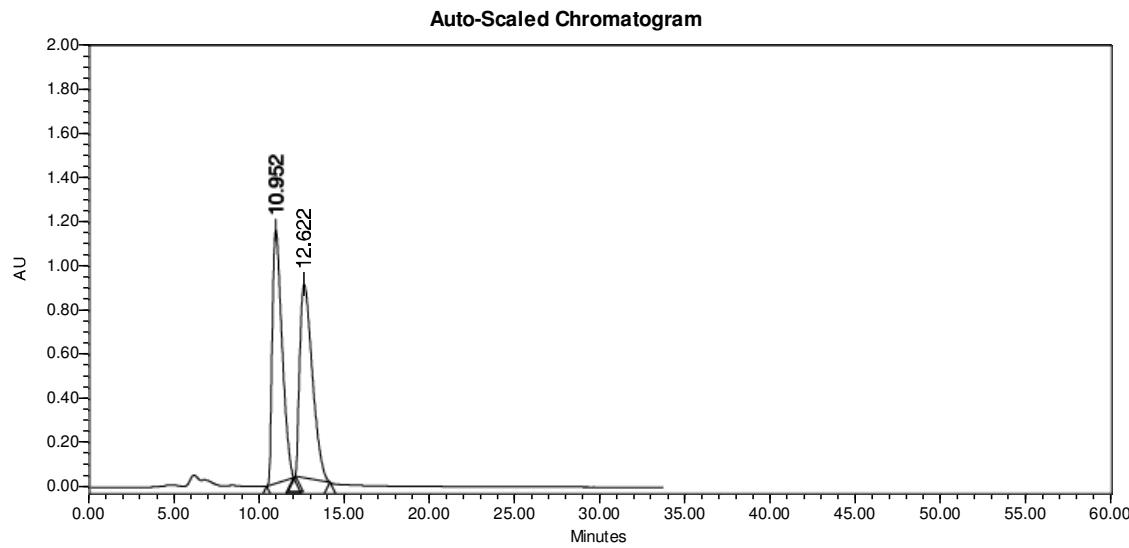
Sample Type Unknown  
Date Acquired 20/10/06 3:20:28 PM  
Acq Method Set OJ 085 95 05  
Processing Method fenetilamida  
Date Processed 15/01/07 11:32:56 AM



5.6.- - Chiral HPLC chromatograms of racemic and enantioenriched **1f**.

SampleName UX94C(OJ 085 95 05)  
Vial 1  
Injection 2  
Injection Volume 5.00 ul  
Channel 996  
Run Time 70.0 Minutes

Sample Type Unknown  
Date Acquired 15/01/07 4:41:10 PM  
Acq Method Set OJ 085 95 05  
Processing Method fenantilamida  
Date Processed 15/01/07 5:23:29 PM

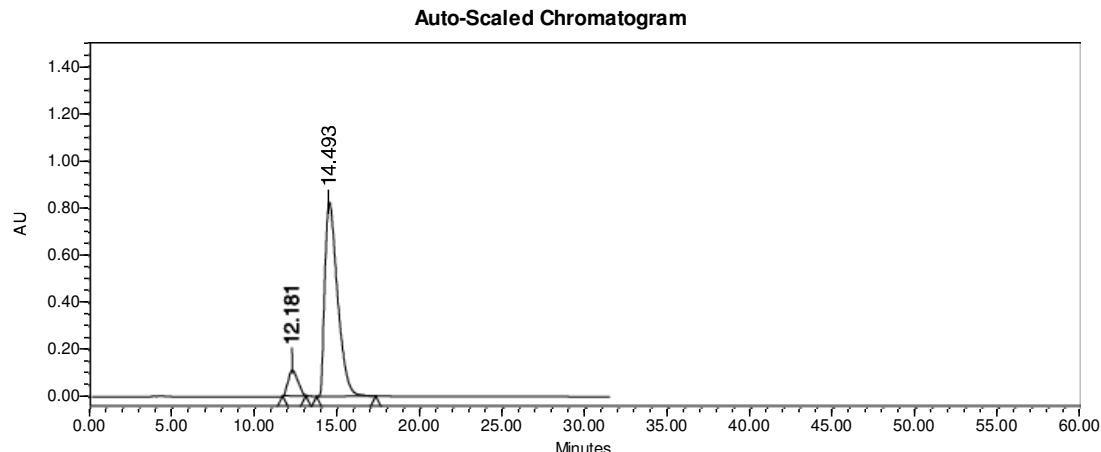


**Peak Results**

	RT	Area	Height	% Area
1	10.952	45465339	1148159	50.30
2	12.622	44919688	874443	49.70

SampleName UX103F(OJ 085 95 05)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 70.0 Minutes

Sample Type Unknown  
Date Acquired 20/10/06 2:46:49 PM  
Acq Method Set OJ 085 95 05  
Processing Method fenantilamida  
Date Processed 15/01/07 11:35:50 AM



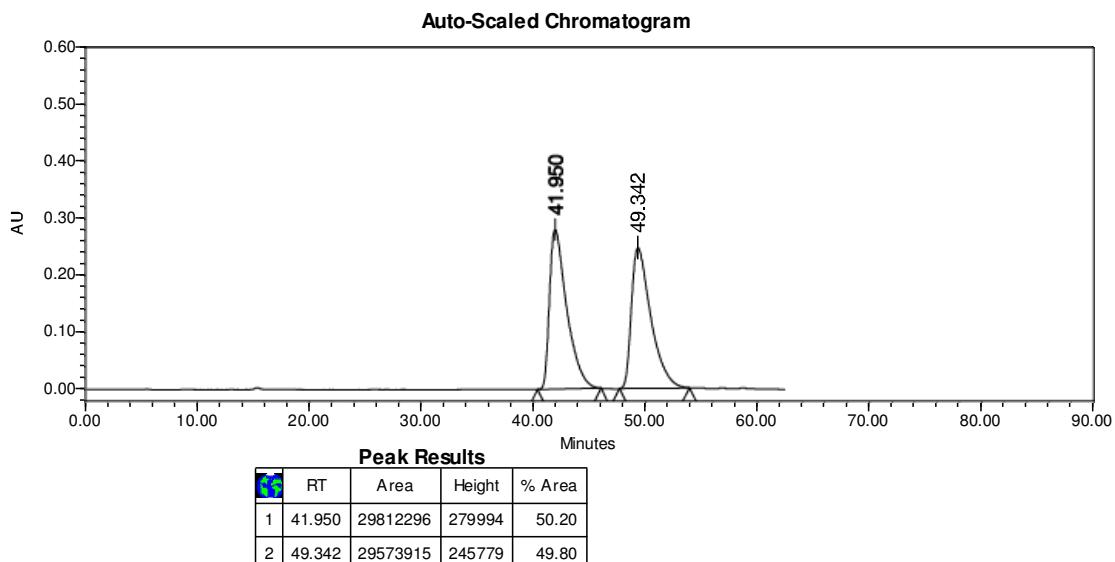
**Peak Results**

	RT	Area	Height	% Area
1	12.181	11175959	82417	7.75
2	14.493	44004494	825036	92.25

5.7.- - Chiral HPLC chromatograms of racemic and enantioenriched **1g**.

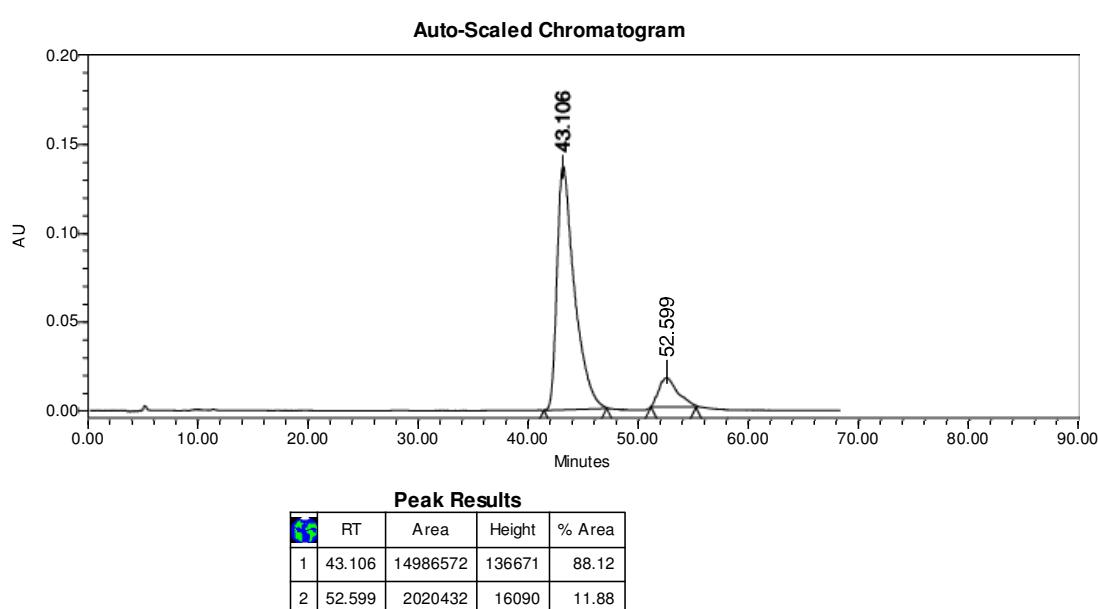
SampleName UX94DOL(OD 085 99 01)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 70.0 Minutes

Sample Type Unknown  
Date Acquired 8/09/06 12:46:38 PM  
Acq Method Set OD 085 99 01  
Processing Method LC Default Processing  
Date Processed 15/01/07 1:44:12 PM

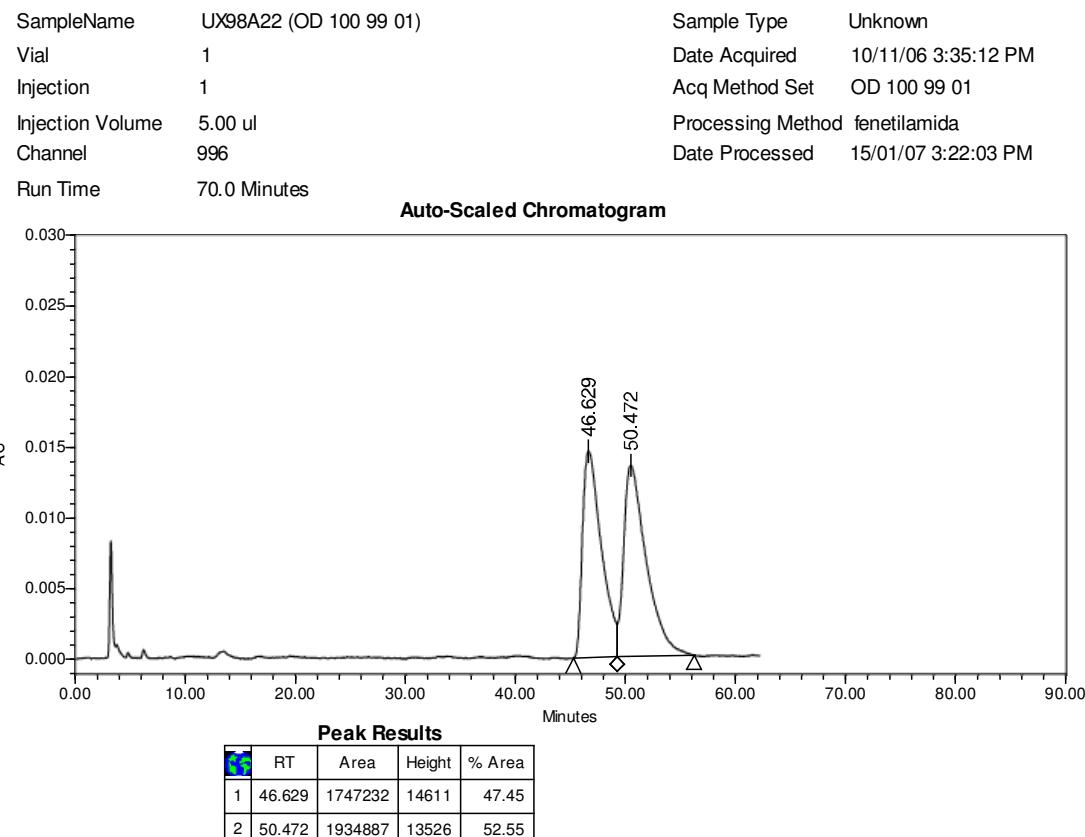


SampleName UX103GOL(OD 085 99 01)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 70.0 Minutes

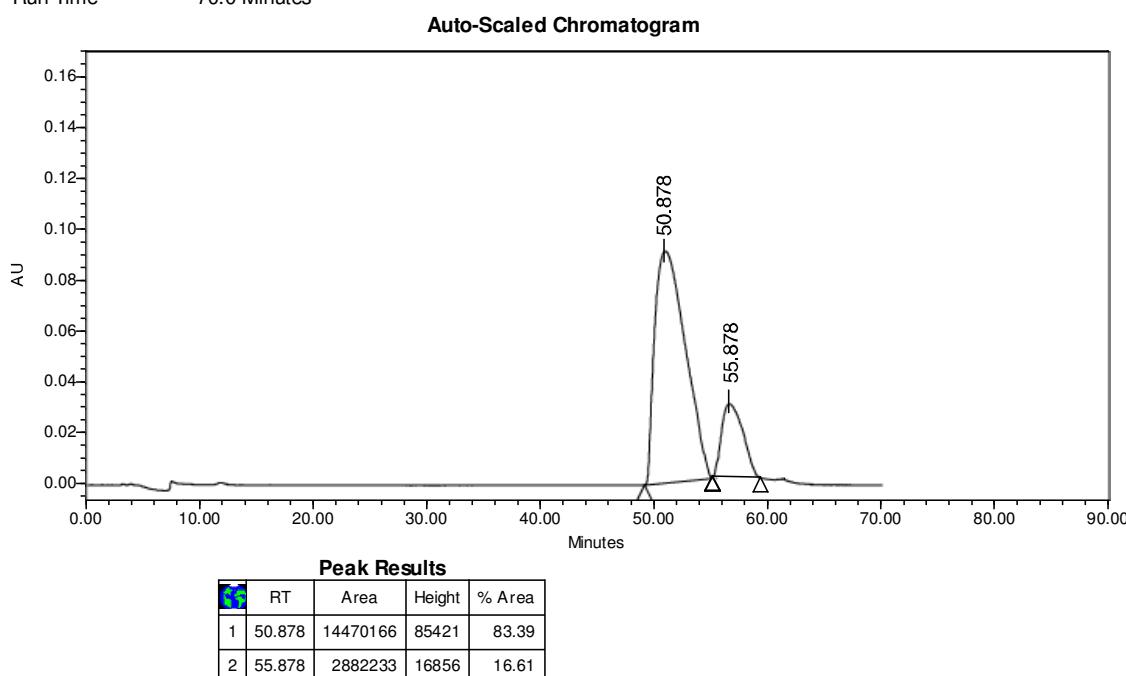
Sample Type Unknown  
Date Acquired 23/10/06 5:02:32 PM  
Acq Method Set OD 085 99 01  
Processing Method fenetilamida  
Date Processed 15/01/07 11:44:13 AM



5.8.- - Chiral HPLC chromatograms of racemic and enantioenriched **8**.



SampleName	UX102AB (OD 100 99 01)	Sample Type	Unknown
Vial	1	Date Acquired	13/11/06 1:00:10 PM
Injection	1	Acq Method Set	OD 100 99 01
Injection Volume	5.00 ul	Processing Method	fenantilamida
Channel	996	Date Processed	15/01/07 3:22:40 PM
Run Time	70.0 Minutes		

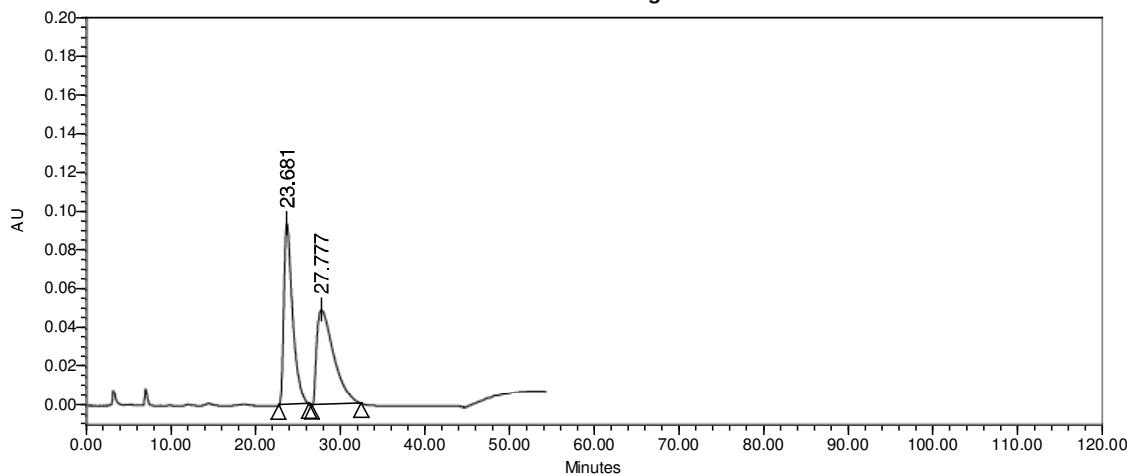


5.9.- - Chiral HPLC chromatograms of racemic and enantioenriched **10**.

SampleName UX133ACE2(OD 100 80 20)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 120.0 Minutes

Sample Type Unknown  
Date Acquired 16/01/07 5:17:00 PM  
Acq Method Set OD 100 80 20  
Processing Method fenantilamida  
Date Processed 17/01/07 5:14:39 PM

Auto-Scaled Chromatogram



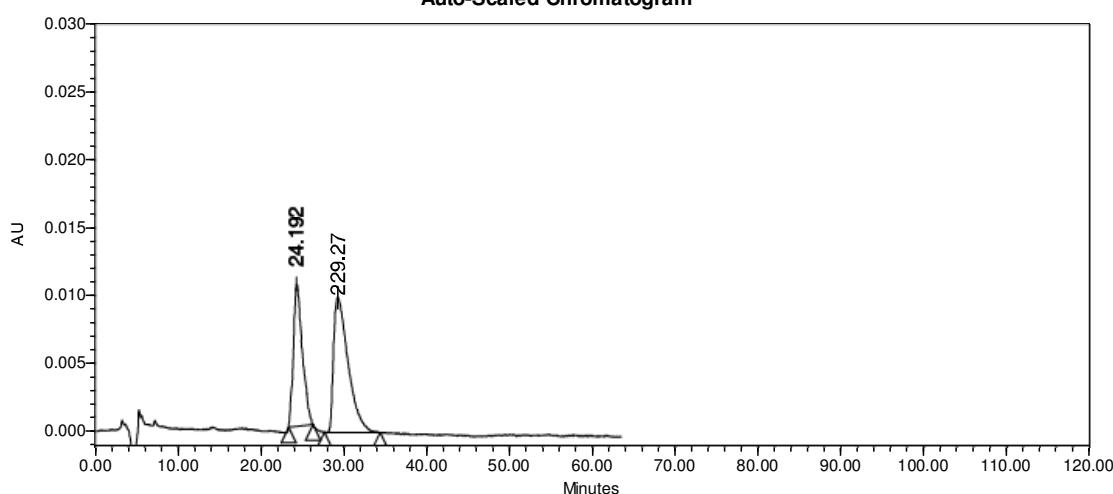
Peak Results

	RT	Area	Height	% Area
1	23.681	6871626	93747	49.92
2	27.777	6894843	49029	50.08

SampleName UX149BACE(OD 100 80 20)  
Vial 1  
Injection 1  
Injection Volume 5.00 ul  
Channel 996  
Run Time 120.0 Minutes

Sample Type Unknown  
Date Acquired 17/01/07 12:35:48 PM  
Acq Method Set OD 100 80 20  
Processing Method fenantilamida  
Date Processed 17/01/07 5:26:11 PM

Auto-Scaled Chromatogram



Peak Results

	RT	Area	Height	% Area
1	24.192	640193	10091	34.65
2	29.272	1207405	9911	65.35