

## Supporting information

# Highly Enantioselective Synthesis of Tertiary Alcohols: *C<sub>2</sub>*-Symmetric *N,N'*-Dioxide-Sc(III) Complex Promoted Direct Aldol Reaction of $\alpha$ -Ketoesters and Diazo Diazoacetate Esters

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## 1. General

<sup>1</sup>H NMR spectra were recorded on commercial instruments (400 MHz). Chemical shifts were reported in ppm from tetramethylsilane with the solvent resonance as the internal standard ( $\text{CDCl}_3$ ,  $\delta = 7.26$ ). Data are reported as follows: chemical shift ( $\delta$  ppm), multiplicity (s = singlet, d = doublet, t = triplet, m = multiplet), coupling constants (Hz), integration, and assignment. <sup>13</sup>C NMR data were collected on commercial instruments (100 MHz) with complete proton decoupling. Chemical shifts are reported in ppm from the tetramethylsilane with the solvent resonance as internal standard ( $\text{CDCl}_3$ ,  $\delta = 77.0$ ). The enantiomeric excesses were determined by HPLC analysis on Daicel Chiralcel AD or AD-H column at 254 nm in comparison with the authentic racemates. Optical rotations were measured on a Rudolph Research analytical with a sodium lamp and are reported as follows:  $[\alpha]_D^T$  ( $c = \text{g}/100\text{ mL}$ , solvent).

Commercial grade reagents were used without further purification. All reactions were carried out under nitrogen atmosphere and monitored by thin-layer chromatography.  $\alpha$ -Ketoesters have been prepared in various methods, such as addition of Grignard reagents to oxalates,<sup>1</sup> Friedel-Crafts reaction.<sup>2</sup>

## 2. General procedure for chiral *N,N'*-dioxides preparation

The *N,N'*-dioxide ligand **L1-L4**, **L6-L7** were synthesized by the same procedure in the literature.<sup>3</sup>

## 3. General procedure for the Aldol Reaction of $\alpha$ -Ketoesters and Diazoacetate Esters

The mixture of ligand **L4** (10.0 mg, 0.015 mmol),  $\text{Sc}(\text{OTf})_3$  (4.9 mg, 0.010 mmol) in  $\text{CH}_2\text{Cl}_2$  (0.2 mL) was stirred at 30 °C for 30 min under nitrogen atmosphere. Then  $\alpha$ -ketoester **1** (15  $\mu$  L, 0.1 mmol) and diazoacetate esters **2** (22  $\mu$  L, 0.2 mmol) were added sequentially under stirring. The reaction mixture was stirred at 30 °C for 72 h and directly purified by flash chromatography on silica gel (ethyl acetate : petroleum ether = 1:10) to obtain the corresponding tertiary alcohols.

#### 4. Optimization of the conditions

**Table 1.** Screening of metals and solvents.<sup>a</sup>

entry	metal	solvent	yield (%) <sup>b</sup>	ee (%) <sup>c</sup>
1	In(OTf) <sub>3</sub>	CH <sub>2</sub> Cl <sub>2</sub>	trace	-
2	La(OTf) <sub>3</sub>	CH <sub>2</sub> Cl <sub>2</sub>	trace	-
3	Yb(OTf) <sub>3</sub>	CH <sub>2</sub> Cl <sub>2</sub>	trace	-
4	Zn(OTf) <sub>3</sub>	CH <sub>2</sub> Cl <sub>2</sub>	trace	-
5	Sc(OTf) <sub>3</sub>	CH <sub>2</sub> Cl <sub>2</sub>	54	92
6	Sc(OTf) <sub>3</sub>	toluene	25	45
7	Sc(OTf) <sub>3</sub>	THF	trace	-
8	Sc(OTf) <sub>3</sub>	MeOH	15	57
9	Sc(OTf) <sub>3</sub>	CHCl <sub>3</sub>	46	87
10	Sc(OTf) <sub>3</sub>	CH <sub>2</sub> ClCH <sub>2</sub> Cl	50	90

<sup>a</sup> Reactions were carried out with  $\alpha$ -ketoesters (0.1 mmol) and ethyl diazoacetate (0.2 mmol) in solvent (1.0 mL) at 30 °C for 48 h. <sup>b</sup> Isolated yield. <sup>c</sup> Determined by chiral HPLC.

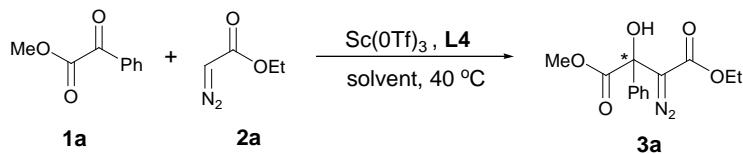
**Table 2.** Optimization of the ratio of ligand to metal and the reaction concentration.<sup>a</sup>

entry	Ratio of ligand/metal	Reaction concentration	yield (%) <sup>b</sup>	ee (%) <sup>c</sup>
1	2:1	0.1 M	35	93
2	1.5:1	0.1 M	60	92
3	1:1	0.1 M	54	92
4	1:1.5	0.1 M	40	91

5	1:2	0.1 M	36	90
6	1:1	0.5 M	70	93
7	1:1	0.2 M	56	92
8	1:1	0.5 M	76	93

<sup>a</sup> Reactions were carried out with  $\alpha$ -ketoesters (0.1 mmol) and ethyl diazoacetate (0.2 mmol) in  $\text{CH}_2\text{Cl}_2$  at 30 °C for 48 h. <sup>b</sup> Isolated yield. <sup>c</sup> Determined by chiral HPLC.

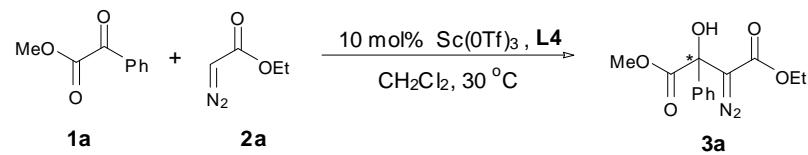
**Table 3. Optimization of the reaction in DCE and  $\text{CHCl}_3$  using various catalyst loadings at 40 °C.<sup>a</sup>**



entry	Catalyst loading	solvent	yield (%) <sup>b</sup>	ee (%) <sup>c</sup>
1	10	DCE	62	90
2	10	$\text{CHCl}_3$	60	90
3	5	DCE	60	89
4	5	$\text{CHCl}_3$	56	88

<sup>a</sup> Reactions were carried out with  $\alpha$ -ketoesters (0.1 mmol) and ethyl diazoacetate (0.2 mmol) in solvent (0.1 mL) at 40 °C for 72 h. The ratio of ligand/metal was 1.5:1. <sup>b</sup> Isolated yield. <sup>c</sup> Determined by chiral HPLC.

**Table 4. Optimization of the ratio between  $\alpha$ -ketoester and ethyl diazoacetate.<sup>a</sup>**



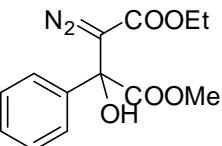
entry	ethyl diazoacetate / $\alpha$ -ketoesters	yield (%) <sup>b</sup>	ee (%) <sup>c</sup>
1	1	70	89
2	1.5	72	90
3	2	76	93

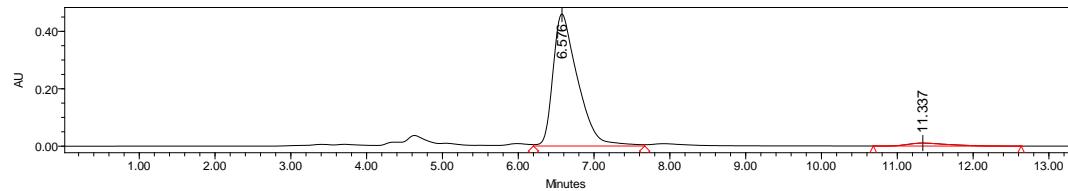
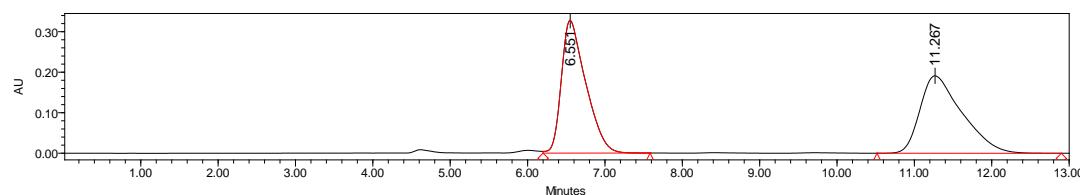
<sup>a</sup> Reactions were carried out with  $\alpha$ -ketoesters (0.1 mmol) and various equiv of ethyl

diaoacetate in 0.1 mL CH<sub>2</sub>Cl<sub>2</sub> at 30 °C for 72 h. The ratio of ligand/metal was 1.5:1.. <sup>b</sup> Isolated yield. <sup>c</sup> Determined by chiral HPLC.

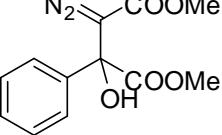
## 5. The analytical and spectral characterization data of direct Aldol reaction products

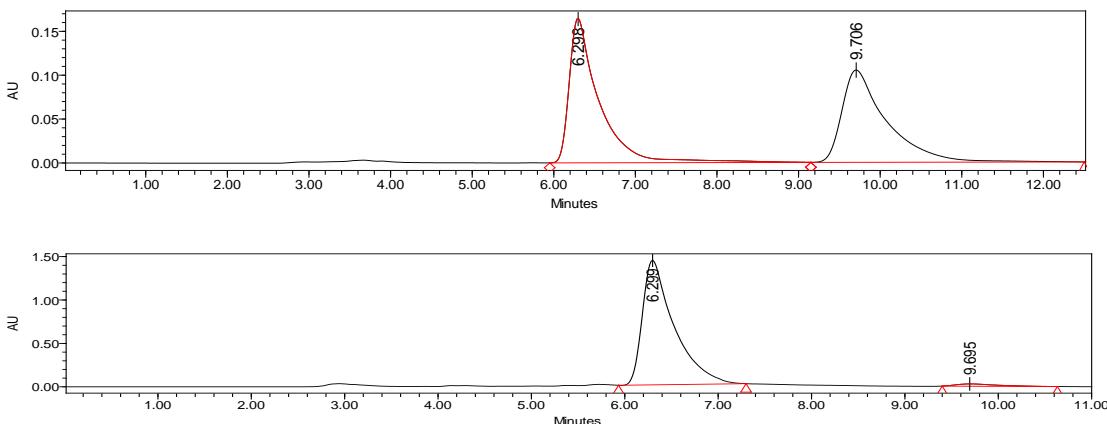
### 4-ethyl 1-methyl 3-diazo-2-hydroxy-2-phenylsuccinate

  
**(C<sub>13</sub>H<sub>14</sub>N<sub>2</sub>O<sub>5</sub>)** a yellow viscous liquid; 76% yield,  
93% ee,  $[\alpha]_D^{27} = +161.7$  ( $c = 0.24$  in CH<sub>2</sub>Cl<sub>2</sub>); HPLC  
DAICEL CHIRALCEL AD, 2-propanol/n-hexane =  
20/80, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 6.5 min  
(major) and 11.2 min (minor); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 1.27 (t,  $J =$   
7.0 Hz, 3H), 3.79 (s, 3H), 4.17-4.29 (m, 2H), 4.52 (s, 1H), 7.31-7.34 (m,  
3H), 7.35-7.36 (m, 2H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 13.3, 52.5,  
60.2, 71.8, 125.3, 127.6, 128.0, 135.2, 164.7, 171.6 ppm; HRMS  
(ESI-TOF) calcd for C<sub>13</sub>H<sub>14</sub>N<sub>2</sub>O<sub>5</sub> ([M+Na<sup>+</sup>]) = 301.0800, Found  
301.0800.

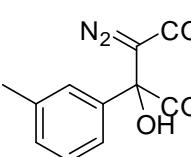


### dimethyl 3-diazo-2-hydroxy-2-phenylsuccinate

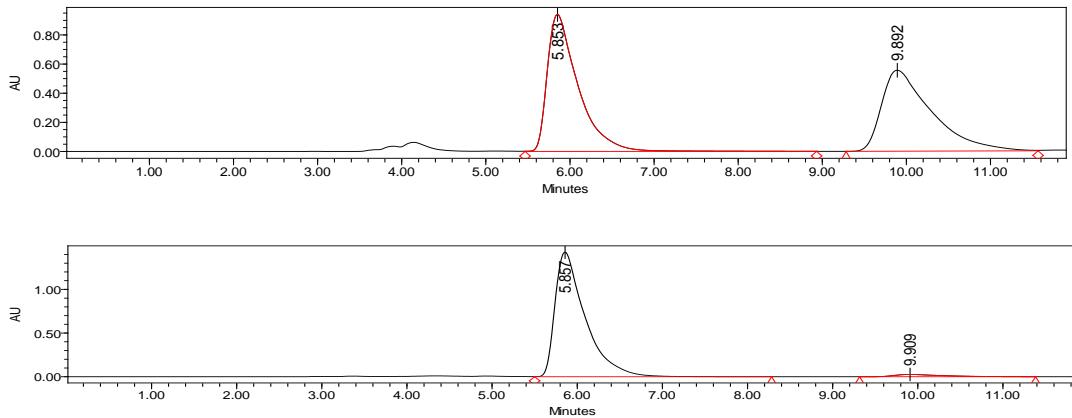
 (**C<sub>12</sub>H<sub>12</sub>N<sub>2</sub>O<sub>5</sub>**) a yellow solid; 78% yield, 95% *ee*,  $[\alpha]_D^{27} = +76.0$  (c = 0.20 in CH<sub>2</sub>Cl<sub>2</sub>); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 6.2 min (major) and 9.7 min (minor); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 3.76-3.83 (m, 6H), 4.52 (s, 1H), 7.36-7.43 (m, 3H), 7.68-7.71 (m, 2H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 51.4, 53.0, 75.6, 125.3, 127.6, 128.1, 135.1, 165.0, 171.5 ppm; HRMS (ESI-TOF) calcd for C<sub>12</sub>H<sub>12</sub>N<sub>2</sub>O<sub>5</sub> ([M+Na<sup>+</sup>]) = 287.0644, Found 287.0643.



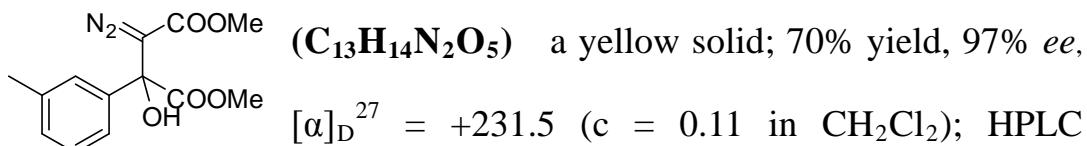
#### 4-ethyl 1-methyl 3-diazo-2-hydroxy-2-m-tolylsuccinate

 (**C<sub>14</sub>H<sub>16</sub>N<sub>2</sub>O<sub>5</sub>**) a yellow viscous liquid; 68% yield, 96% *ee*,  $[\alpha]_D^{27} = +160.0$  (c = 0.20 in CH<sub>2</sub>Cl<sub>2</sub>); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 5.8 min (major) and 9.9 min (minor); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 1.27-1.31 (t, *J* = 7.0 Hz, 3H), 2.17 (s, 3H), 3.82 (s, 3H), 4.40-4.46 (m, 2H), 4.50 (s, 1H), 7.15-7.45 (m, 4H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 13.3, 20.5, 29.4, 52.9, 60.3, 122.2, 125.6, 127.6, 128.8, 135.1,

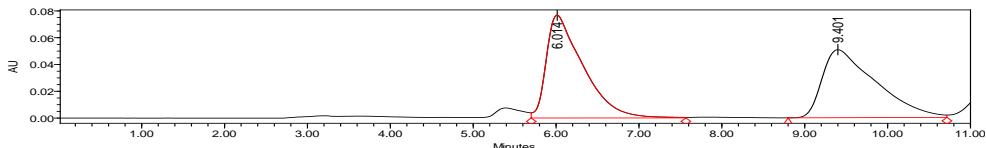
135.5, 164.8, 171.7 ppm; HRMS (ESI-TOF) calcd for C<sub>14</sub>H<sub>16</sub>N<sub>2</sub>O<sub>5</sub> ([M+Na<sup>+</sup>]) = 315.0957, Found 315.0967.

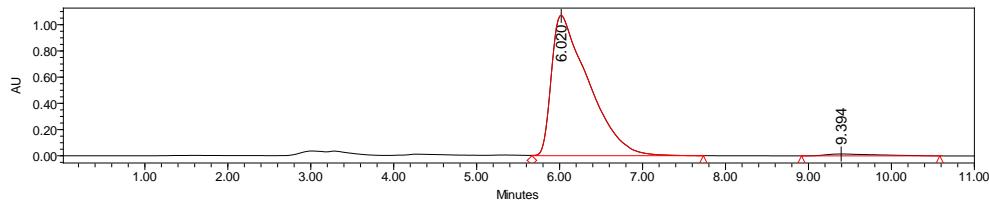


### dimethyl 3-diazo-2-hydroxy-2-m-tolylsuccinate

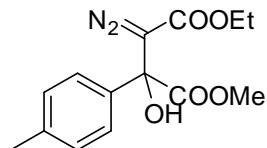


DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min, λ = 254 nm, retention time: 6.0 min (major) and 9.3 min (minor); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 2.37 (s, 3H), 3.80-3.82 (m, 3H), 4.20-4.30 (m, 3H), 4.50 (s, 1H), 7.15-7.45 (m, 4H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 13.2, 20.4, 52.6, 60.2, 122.3, 125.6, 127.6, 128.8, 135.0, 137.5, 165.1, 171.6 ppm; HRMS (ESI-TOF) calcd for C<sub>13</sub>H<sub>14</sub>N<sub>2</sub>O<sub>5</sub> ([M+Na<sup>+</sup>]) = 301.0800, Found 301.0797.

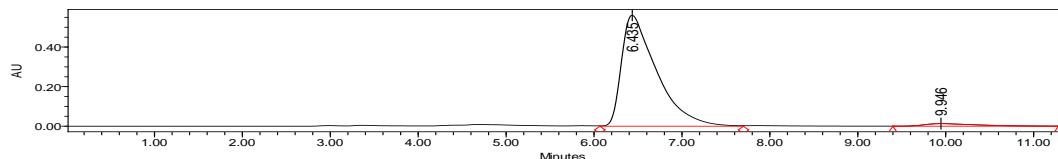
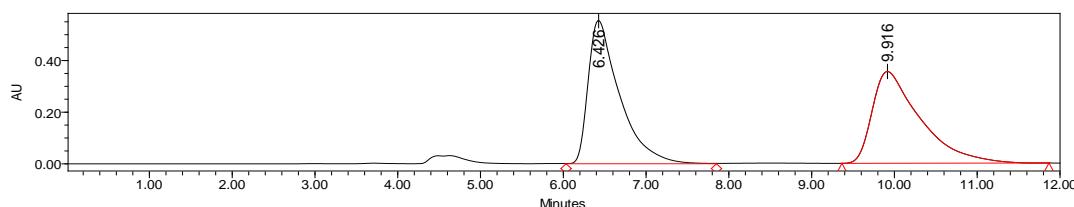




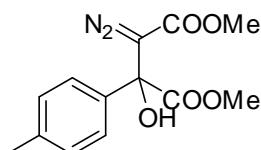
#### **4-ethyl 1-methyl 3-diazo-2-hydroxy-2-p-tolylsuccinate**



**( $\text{C}_{14}\text{H}_{16}\text{N}_2\text{O}_5$ )** a yellow viscous liquid; 70% yield,  
93% *ee*,  $[\alpha]_D^{27} = +104.0$  ( $c = 0.20$  in  $\text{CH}_2\text{Cl}_2$ );  
HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow  
rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 6.4 min (major) and 9.9  
min (minor);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 1.27-1.31 (t,  $J = 7.2$  Hz, 3H),  
2.35 (s, 3H), 3.81 (s, 3H), 4.48 (s, 1H), 7.19-7.21 (m, 4H), 7.56-7.58  
(m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 14.3, 21.0, 30.9, 53.9, 61.3,  
77.34, 126.1, 129.4, 139.0, 165.8, 171.2 ppm; HRMS (ESI-TOF) calcd  
for  $\text{C}_{14}\text{H}_{16}\text{N}_2\text{O}_5$  ( $[\text{M}+\text{Na}^+]$ ) = 315.0957, Found 315.0958.

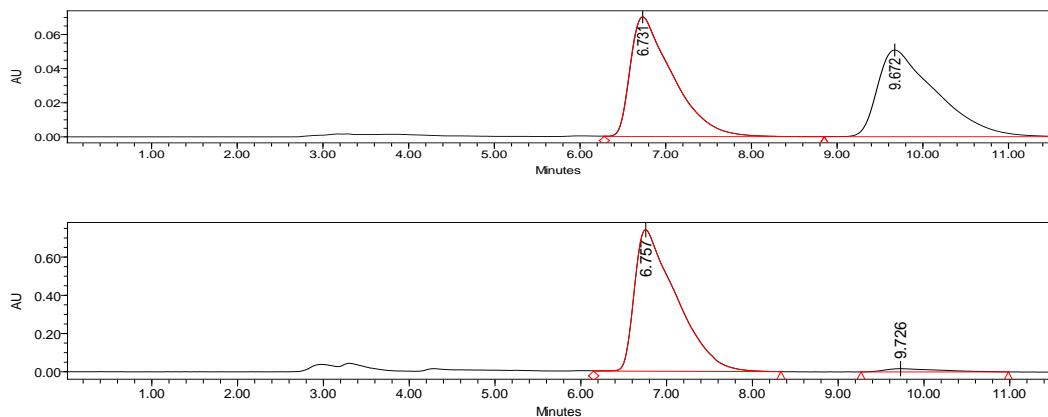


#### **dimethyl 3-diazo-2-hydroxy-2-p-tolylsuccinate**



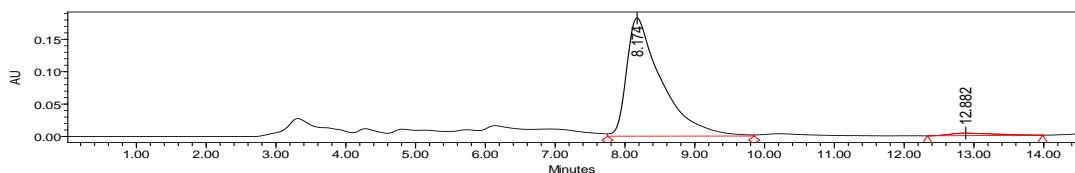
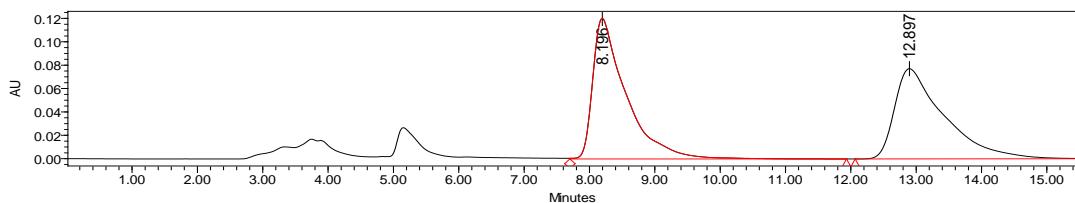
**( $\text{C}_{13}\text{H}_{14}\text{N}_2\text{O}_5$ )** a yellow solid; 70% yield, 97% *ee*,  
 $[\alpha]_D^{27} = +97.5$  ( $c = 0.16$  in  $\text{CH}_2\text{Cl}_2$ ); HPLC DAICEL

CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda$  = 254 nm, retention time: 6.7 min (major) and 9.7 min (minor);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 2.35 (s, 3H), 3.80 (s, 3H), 3.82 (s, 3H), 4.48 (s, 1H), 7.19-7.21 (m, 2H), 7.55-7.57 (m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 20.0, 51.1, 60.2, 76.2, 125.0, 127.0, 132.2, 138.0, 165.1, 171.7 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{13}\text{H}_{14}\text{N}_2\text{O}_5$  ( $[\text{M}+\text{Na}^+]$ ) = 301.0800, Found 301.0799.



#### **4-ethyl 1-methyl 3-diazo-2-hydroxy-2-(4-methoxyphenyl)succinate**

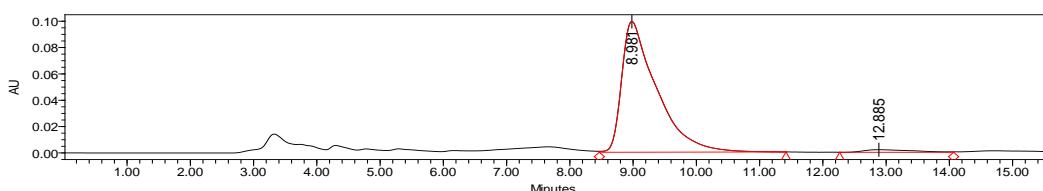
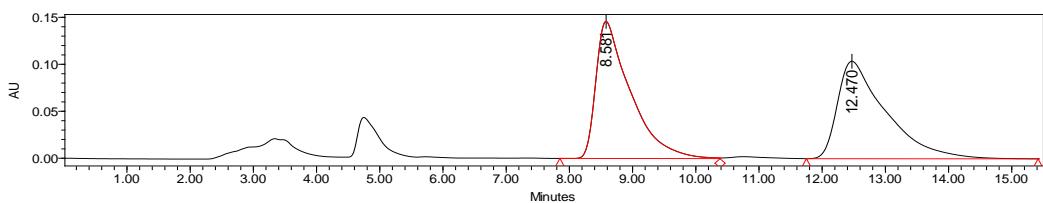
( $\text{C}_{14}\text{H}_{16}\text{N}_2\text{O}_6$ ) a yellow liquid; 62% yield, 90% *ee*,  $[\alpha]_D^{27} = +85.0$  ( $c = 0.11$  in  $\text{CH}_2\text{Cl}_2$ ); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda$  = 254 nm, retention time: 8.7 min (major) and 12.8 min (minor);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 1.26-1.30 (t,  $J = 7.4$  Hz, 3H), 3.81 (s, 3H), 3.82 (s, 3H), 4.24-4.48 (s, 2H), 6.90-6.92 (m, 2H), 7.60-7.62 (m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 14.2, 22.7, 29.5, 31.9, 53.3, 61.3, 114.0, 127.5, 128.1, 160.1, 165.8, 171.9 ppm.



### dimethyl 3-diazo-2-hydroxy-2-(4-methoxyphenyl)succinate

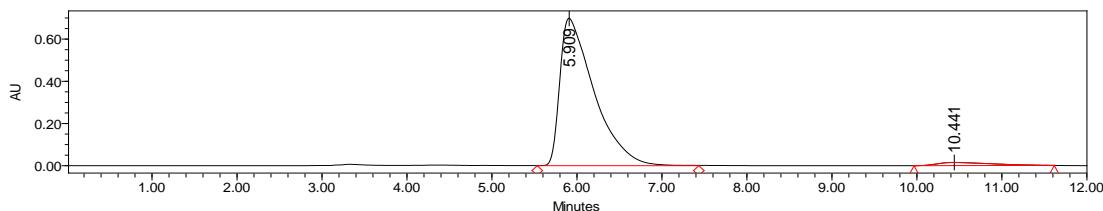
**(C<sub>13</sub>H<sub>14</sub>N<sub>2</sub>O<sub>6</sub>)** a yellow solid; 68% yield, 91% *ee*.

[α]<sub>D</sub><sup>27</sup> = +120.0 (c = 0.12 in CH<sub>2</sub>Cl<sub>2</sub>); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min, λ = 254 nm, retention time: 8.5 min (major) and 12.4 min (minor); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 3.79 (s, 3H), 3.80 (s, 3H), 4.46(s, 1H), 6.89-6.92 (m, 2H), 7.58-7.60 (m, 2H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 22.7, 29.6, 31.9, 54.1, 55.2, 114.1, 127.5, 160.1, 166.1, 172.8 ppm.



### 4-ethyl 1-methyl 3-diazo-2-(3-fluorophenyl)-2-hydroxysuccinate

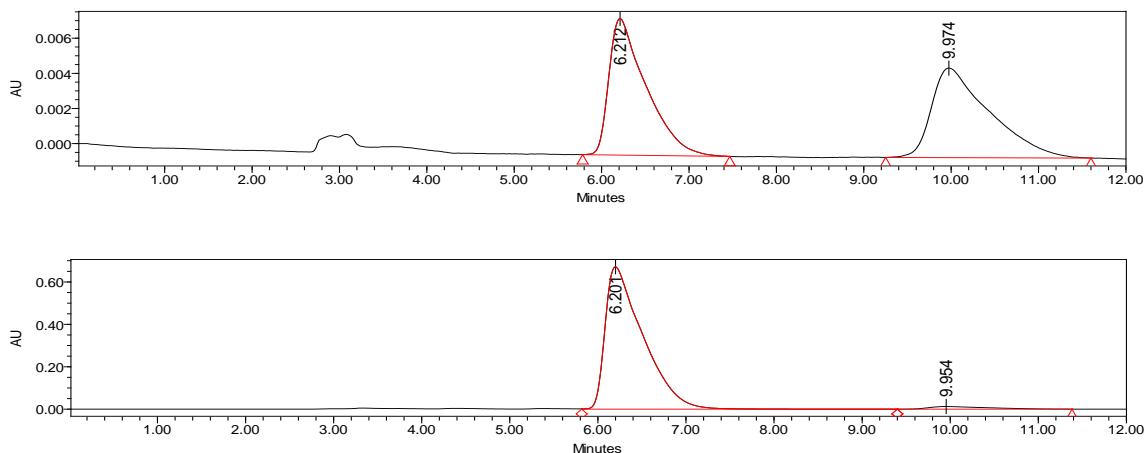
A chiral HPLC chromatogram showing absorbance (AU) versus time in minutes. The x-axis ranges from 1.00 to 12.00 minutes. Two distinct peaks are visible: one at approximately 5.912 minutes and another at approximately 10.454 minutes. The baseline is relatively flat between the peaks.



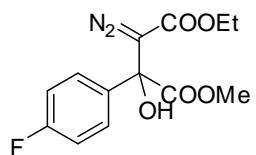
### dimethyl 3-diazo-2-(3-fluorophenyl)-2-hydroxysuccinate

**(C<sub>12</sub>H<sub>11</sub>FN<sub>2</sub>O<sub>5</sub>)** a yellow solid; 75% yield, 95% *ee*, [α]<sub>D</sub><sup>27</sup> = +166.9 (c = 0.32 in CH<sub>2</sub>Cl<sub>2</sub>); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min, λ = 254 nm, retention time: 6.2 min (major) and 9.9 min (minor); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 3.81 (s, 3H), 3.84 (s, 3H), 4.56 (s, 1H),

7.35-7.45 (m, 4H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 51.2, 53.1, 76.2, 112.6, 115.1, 120.9, 129.2, 137.8, 160.4, 164.8, 171.0 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{12}\text{H}_{11}\text{FN}_2\text{O}_5$  ( $[\text{M}+\text{Na}^+]$ ) = 315.0550, Found 315.0548.

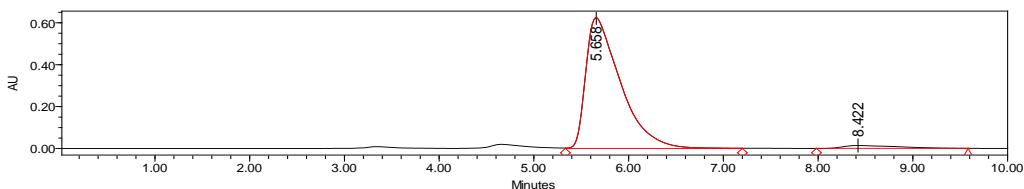
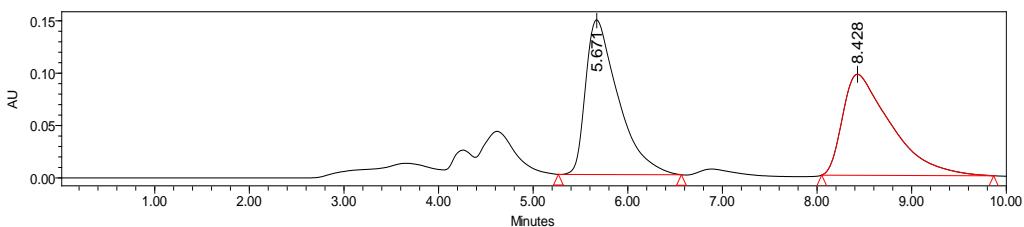


#### 4-ethyl 1-methyl 3-diazo-2-(4-fluorophenyl)-2-hydroxysuccinate

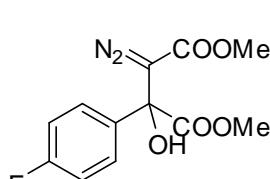


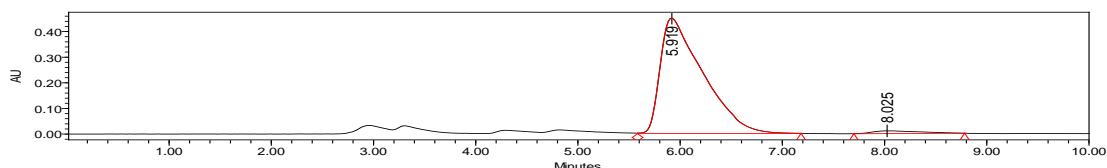
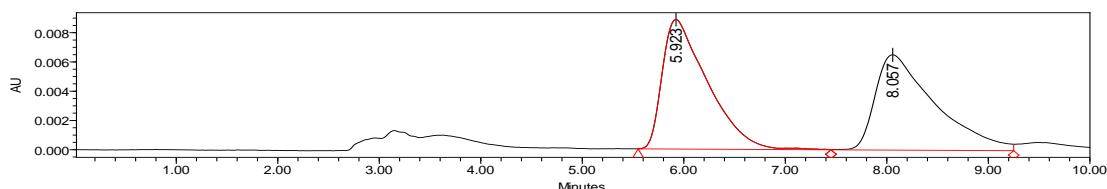
( $\text{C}_{13}\text{H}_{13}\text{FN}_2\text{O}_5$ ) a yellow liquid; 66% yield, 93% ee,  
 $[\alpha]_D^{27} = +125.0$  ( $c = 0.20$  in  $\text{CH}_2\text{Cl}_2$ ); HPLC DAICEL

CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  
 $\lambda = 254$  nm, retention time: 5.6 min (major) and 8.4 min (minor);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 1.27-1.31 (t,  $J = 7.2$  Hz, 3H), 3.83 (s, 3H), 4.24-4.30 (m, 2H), 4.54 (s, 1H), 7.07-7.11 (m, 2H), 7.68-7.69 (m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 13.3, 28.6, 53.0, 60.3, 114.6, 127.2, 130.9, 160.6, 164.5, 171.4 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{13}\text{H}_{13}\text{FN}_2\text{O}_5$  ( $[\text{M}+\text{Na}^+]$ ) = 319.0706, Found 319.0706.

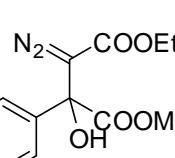


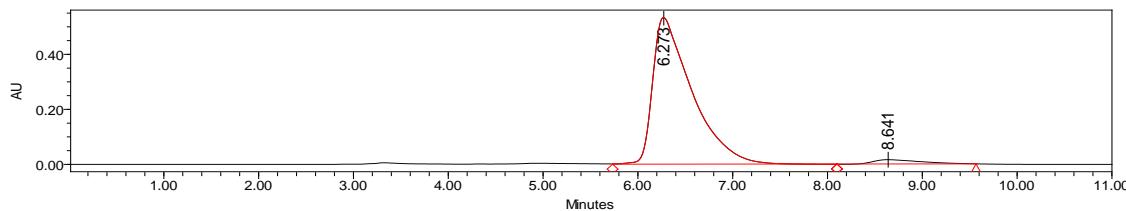
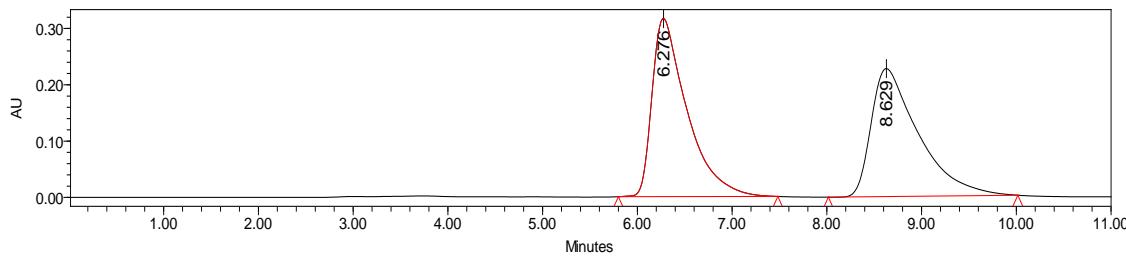
### dimethyl 3-diazo-2-(4-fluorophenyl)-2-hydroxysuccinate

 ( $C_{12}H_{11}FN_2O_5$ ) a yellow solid; 67% yield, 95% *ee*,  $[\alpha]_D^{27} = +76.7$  ( $c = 0.24$  in  $CH_2Cl_2$ ); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 5.9 min (major) and 8.0 min (minor);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 3.81 (s, 3H), 3.83 (s, 3H), 4.54 (s, 1H), 7.07-7.11(m, 2H), 7.67-7.69 (m, 2H) ppm;  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 28.6, 52.1, 114.7, 127.2, 130.9, 160.8, 163.2, 164.9, 171.3 ppm; HRMS (ESI-TOF) calcd for  $C_{13}H_{14}N_2O_5$  ( $[M+Na^+]$ ) = 315.0550, Found 315.0545.

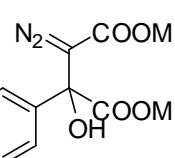


**4-ethyl 1-methyl 2-(4-bromophenyl)-3-diazo-2-hydroxysuccinate**

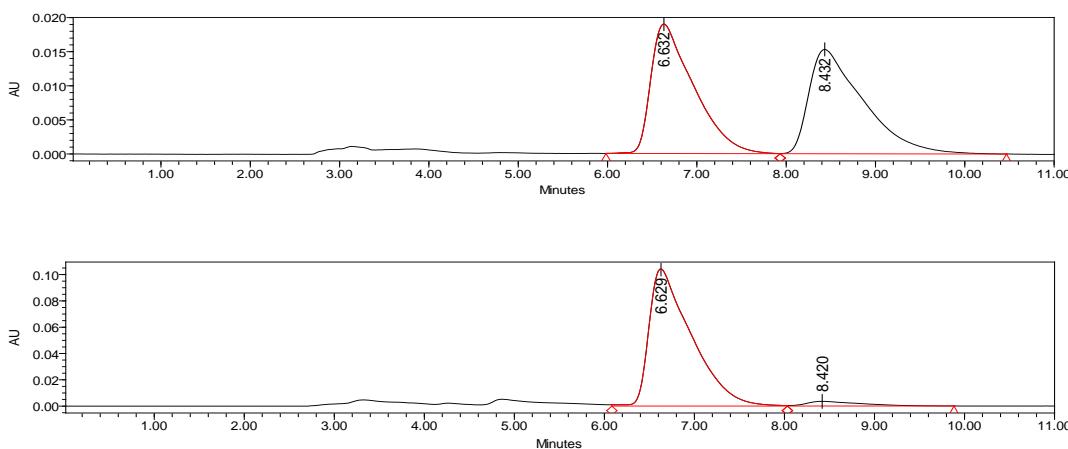
 (**C<sub>13</sub>H<sub>13</sub>BrN<sub>2</sub>O<sub>5</sub>**) a yellow liquid; 66% yield, 94% *ee*,  $[\alpha]_D^{27} = +133.9$  (*c* = 0.36 in CH<sub>2</sub>Cl<sub>2</sub>); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda$  = 254 nm, retention time: 6.2 min (major) and 8.8 min (minor); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 1.27-1.31 (t, *J* = 7.2 Hz, 3H), 3.82 (s, 3H), 4.22-4.31 (m, 2H), 4.53 (s, 1H), 7.52-7.54 (m, 2H), 7.58-7.60 (m, 2H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 14.3, 54.2, 61.4, 77.3, 123.6, 128.1, 131.9, 135.4, 165.5, 172.2 ppm; HRMS (ESI-TOF) calcd for C<sub>13</sub>H<sub>13</sub>BrN<sub>2</sub>O<sub>5</sub> ([M+Na<sup>+</sup>]) = 378.9906, Found 378.9902.



**dimethyl 2-(4-bromophenyl)-3-diazo-2-hydroxysuccinate**

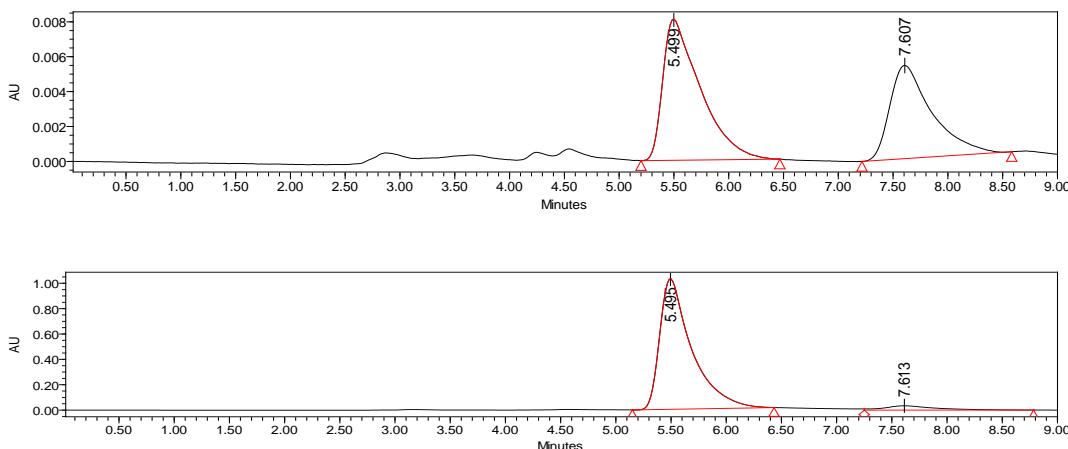
 (**C<sub>12</sub>H<sub>11</sub>BrN<sub>2</sub>O<sub>5</sub>**) a yellow solid; 85% yield, 94% *ee*,  $[\alpha]_D^{27} = +120.8$  (*c* = 0.24 in CH<sub>2</sub>Cl<sub>2</sub>); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane =

20/80, flow rate = 1.0 mL/min,  $\lambda$  = 254 nm, retention time: 6.6 min (major) and 8.4 min (minor);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.76-3.83 (m, 6H), 4.52 (s, 1H), 7.36-7.43 (m, 3H), 7.68-7.71 (m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 51.1, 53.0, 75.6, 114.7, 125.2, 127.6, 128.1, 165.0, 171.5 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{12}\text{H}_{11}\text{BrN}_2\text{O}_5$  ( $[\text{M}+\text{Na}^+]$ ) = 364.9749, Found 364.9745.



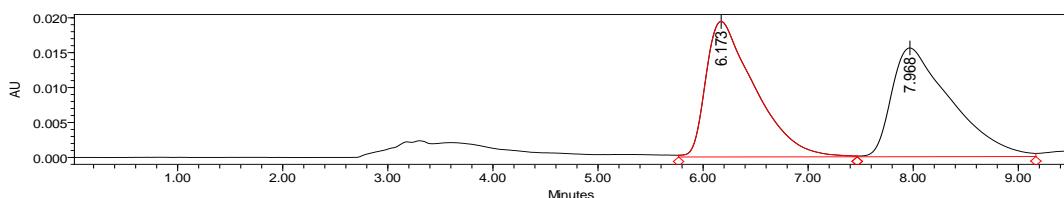
#### **4-ethyl 1-methyl 2-(4-chlorophenyl)-3-diazo-2-hydroxsuccinate**

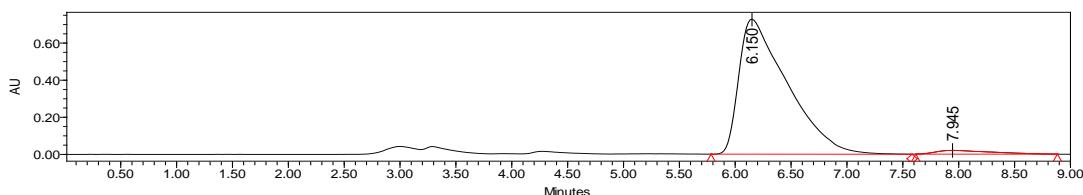
( $\text{C}_{13}\text{H}_{13}\text{ClN}_2\text{O}_5$ ) a yellow liquid; 82% yield, 95% ee,  $[\alpha]_D^{27} = +86.0$  ( $c = 0.30$  in  $\text{CH}_2\text{Cl}_2$ ); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda$  = 254 nm, retention time: 5.4 min (major) and 7.6 min (minor);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 1.27-1.31 (t,  $J$  = 7.0 Hz, 3H), 3.82 (s, 3H), 4.21-4.30 (m, 2H), 4.53 (s, 1H), 7.37-7.39 (m, 2H), 7.63-7.64 (m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 13.3, 28.6, 53.2, 60.4, 126.8, 127.9, 133.8, 134.3, 164.5, 171.3 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{13}\text{H}_{13}\text{ClN}_2\text{O}_5$  ( $[\text{M}+\text{Na}^+]$ ) = 335.0411, Found 335.0409.



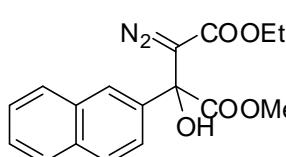
**dimethyl 2-(4-chlorophenyl)-3-diazo-2-hydroxysuccinate**

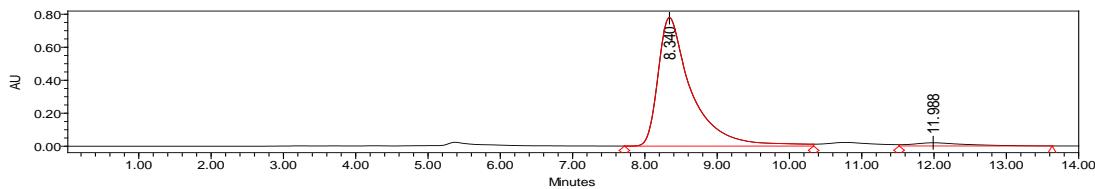
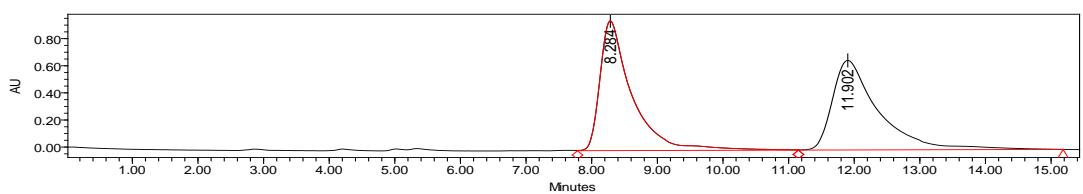
( $C_{12}H_{11}ClN_2O_5$ ) a yellow solid; 84% yield, 94% *ee*,  $[\alpha]_D^{27} = +125.0$  ( $c = 0.20$  in  $CH_2Cl_2$ ); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 6.1 min (major) and 7.9 min (minor);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 3.81 (s, 3H), 3.83 (s, 3H), 4.53 (s, 1H), 7.37-7.39 (m, 2H), 7.64-7.66 (m, 2H) ppm;  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 29.7, 52.2, 54.2, 127.8, 129.0, 128.1, 134.8, 135.3, 165.9, 172.2 ppm; HRMS (ESI-TOF) calcd for  $C_{12}H_{11}ClN_2O_5$  ( $[M+Na^+]$ ) = 321.0254, Found 321.0247.



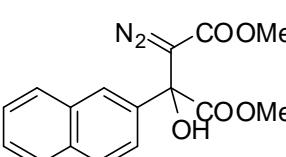


#### **4-ethyl 1-methyl 3-diazo-2-hydroxy-2-(naphthalen-2-yl)succinate**

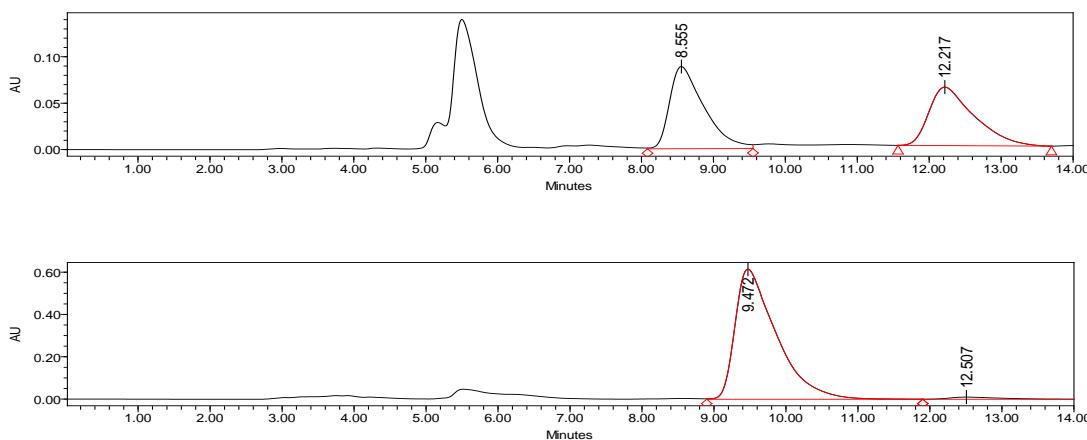
 ( $C_{17}H_{16}N_2O_5$ ) a yellow solid; 64% yield, 92% *ee*,  $[\alpha]_D^{27} = +142.0$  ( $c = 0.20$  in  $CH_2Cl_2$ ); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 8.3 min (major) and 11.9 min (minor);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 1.29-1.32 (t,  $J$  = 7.0 Hz, 3H), 3.82 (s, 3H), 3.82 (s, 3H), 3.83-4.31(m, 2H), 4.34 (s, 1H), 7.51-8.24 (m, 7H) ppm;  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 14.7, 30.0, 54.4, 61.7, 123.8, 126.4, 126.8, 127.3, 127.8, 128.9, 129.0, 129.1, 133.4, 133.6, 165.1, 171.5 ppm.



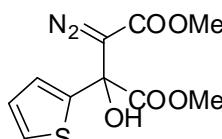
#### **dimethyl 3-diazo-2-hydroxy-2-(naphthalen-2-yl)succinate**

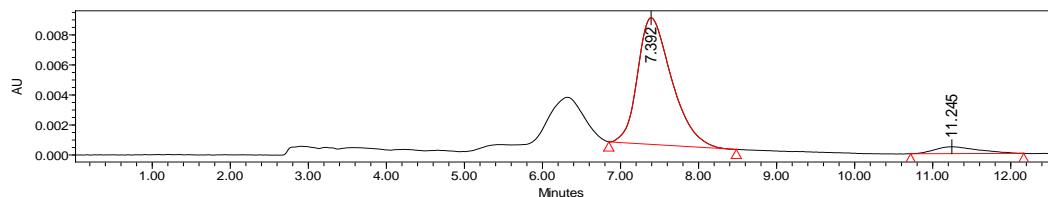
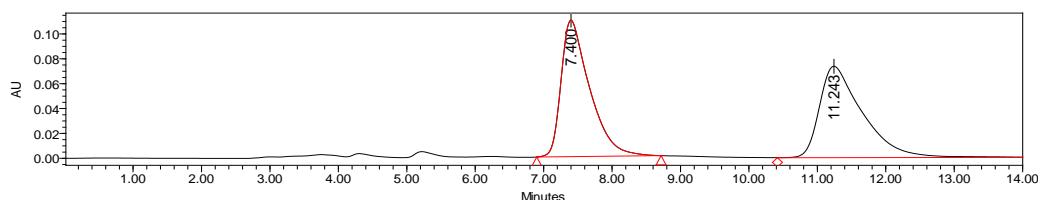
 ( $C_{16}H_{14}N_2O_5$ ) a yellow solid; 80% yield, 94% *ee*,  $[\alpha]_D^{27} = +35.0$  ( $c = 0.10$  in  $CH_2Cl_2$ ); HPLC DAICEL

CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda$  = 254 nm, retention time: 8.5 min (major) and 12.2 min (minor);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.81 (s, 3H), 3.82 (s, 3H), 4.63 (s, 1H), 7.49-7.74 (m, 7H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 28.6, 512, 53.1, 122.4, 125.1, 125.5, 125.9, 126.5, 127.6, 127.6, 128.1, 132.0, 132.3, 165.1, 171.5 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{16}\text{H}_{14}\text{N}_2\text{O}_5$  ( $[\text{M}+\text{Na}^+]$ ) = 321.0254, Found 321.0247.

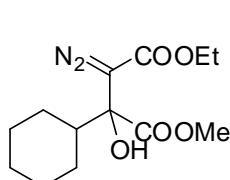


### dimethyl 3-diazo-2-hydroxy-2-(thiophen-2-yl)succinate

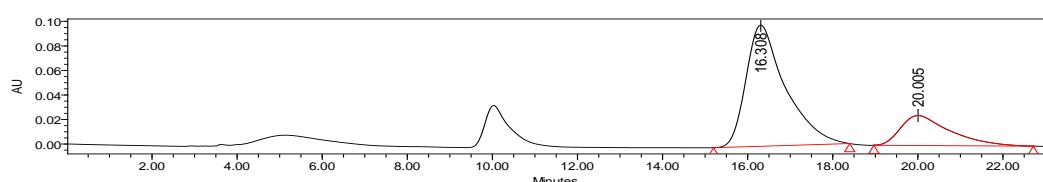
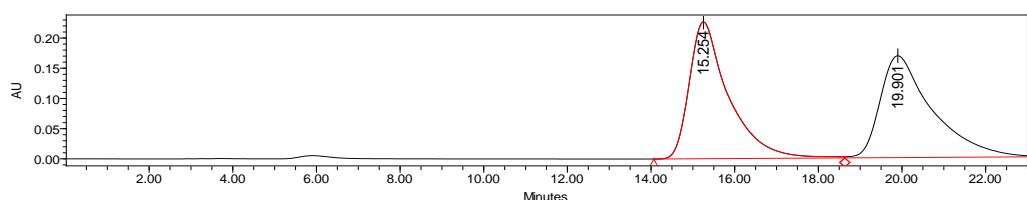
 ( $\text{C}_{10}\text{H}_{10}\text{N}_2\text{O}_5\text{S}$ ) a yellow solid; 65% yield, 87% ee,  $[\alpha]_D^{27} = +35.0$  ( $c = 0.10$  in  $\text{CH}_2\text{Cl}_2$ ); HPLC DAICEL CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda$  = 254 nm, retention time: 7.4 min (major) and 11.2 min (minor);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.81 (s, 3H), 3.82 (s, 3H), 4.63 (s, 1H), 7.49-7.74 (m, 3H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 51.2, 53.1, 65.3, 126.5, 127.6, 127.6, 129.1, 165.1, 171.5 ppm.



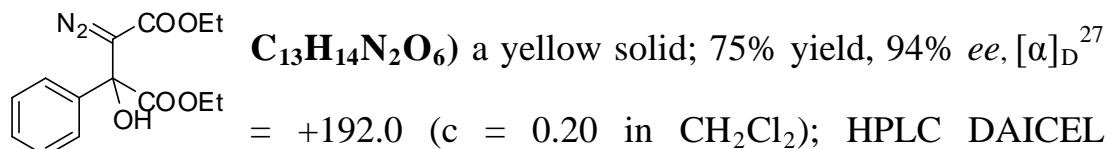
### dimethyl 2-cyclohexyl-3-diazo-2-hydroxysuccinate

 (**C<sub>13</sub>H<sub>20</sub>N<sub>2</sub>O<sub>5</sub>**) a yellow solid; 35% yield, 52% *ee*, [α]<sub>D</sub><sup>27</sup> = +2.6 (c = 0.11 in CH<sub>2</sub>Cl<sub>2</sub>); HPLC DAICEL CHIRALCEL AD-H, 2-propanol/n-hexane = 1/99, flow

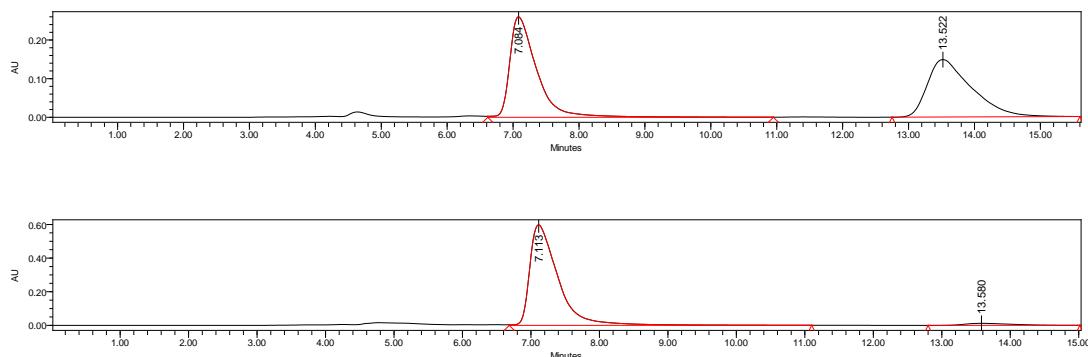
rate = 1.0 mL/min, λ = 254 nm, retention time: 15.3 min (major) and 20.0 min (minor); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 1.25-1.28 (t, *J* = 7.0 Hz, 3H), 1.33-1.36 (m, 4H), 1.61-1.81 (m, 7H), 3.80 (s, 3H), 4.20-4.24 (m, 2H), 4.42 (s, 1H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 13.3, 24.9, 25.1, 25.6, 28.6, 41.7, 52.1, 60.1, 165.4, 173.0 ppm; HRMS (ESI-TOF) calcd for C<sub>13</sub>H<sub>20</sub>N<sub>2</sub>O<sub>5</sub> ([M+Na<sup>+</sup>]) = 307.1270, Found 307.1270.



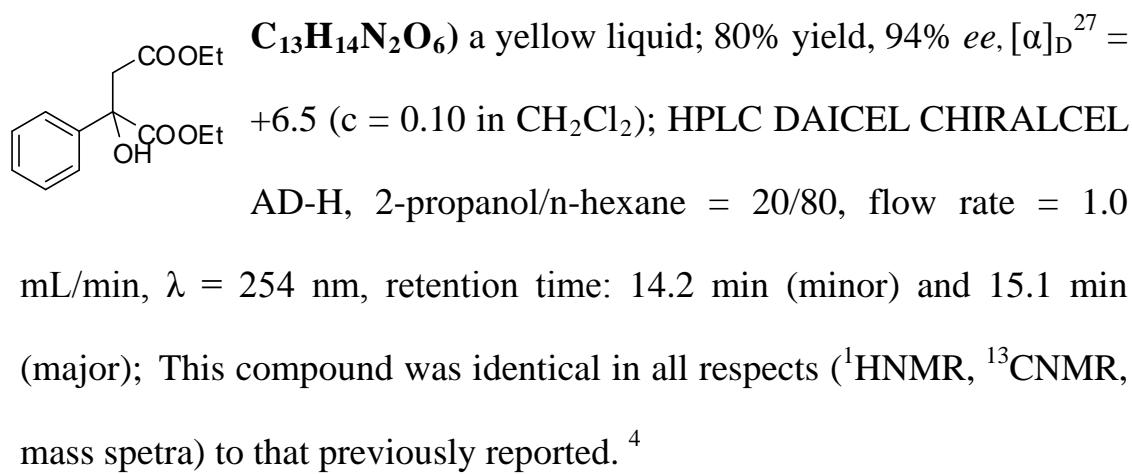
### diethyl 3-diazo-2-hydroxy-2-phenylsuccinate

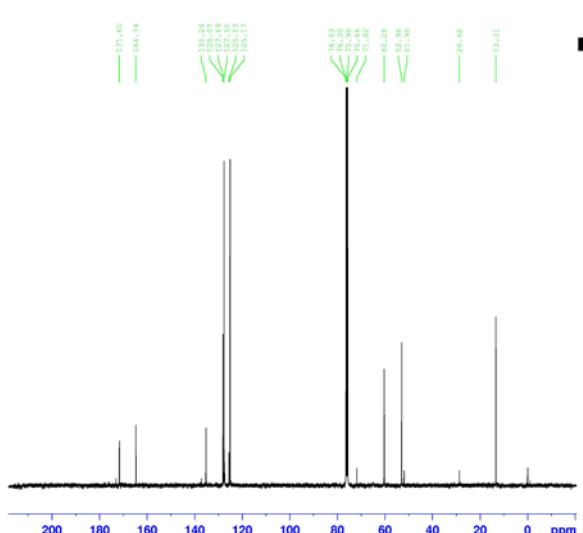
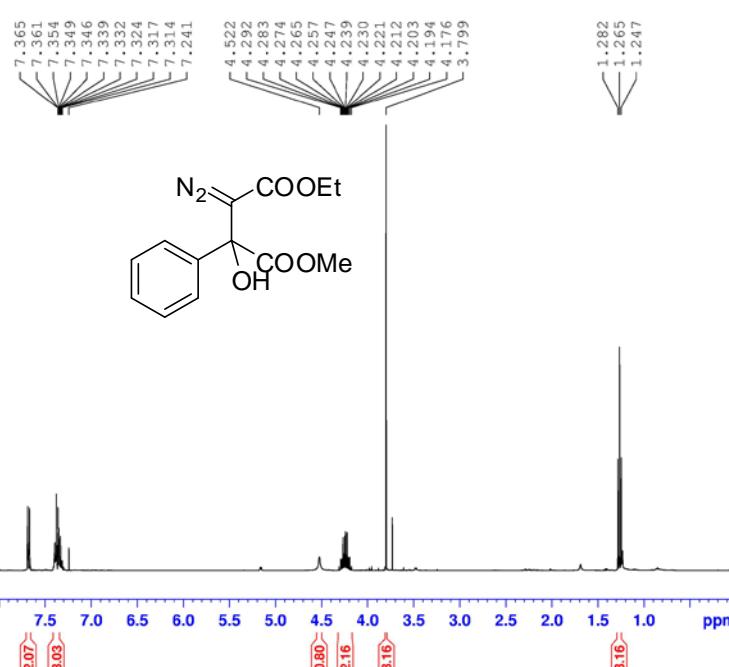
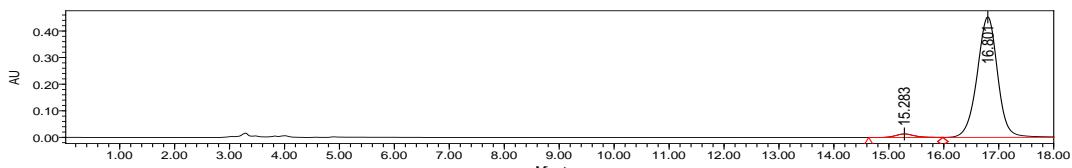
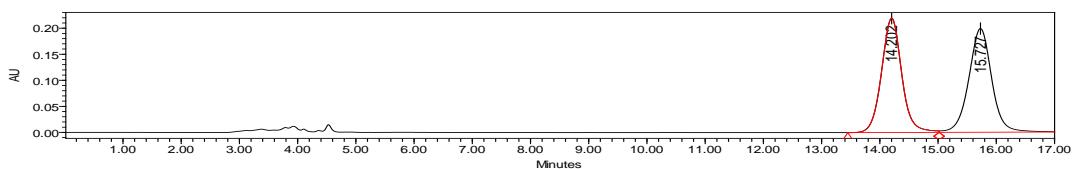


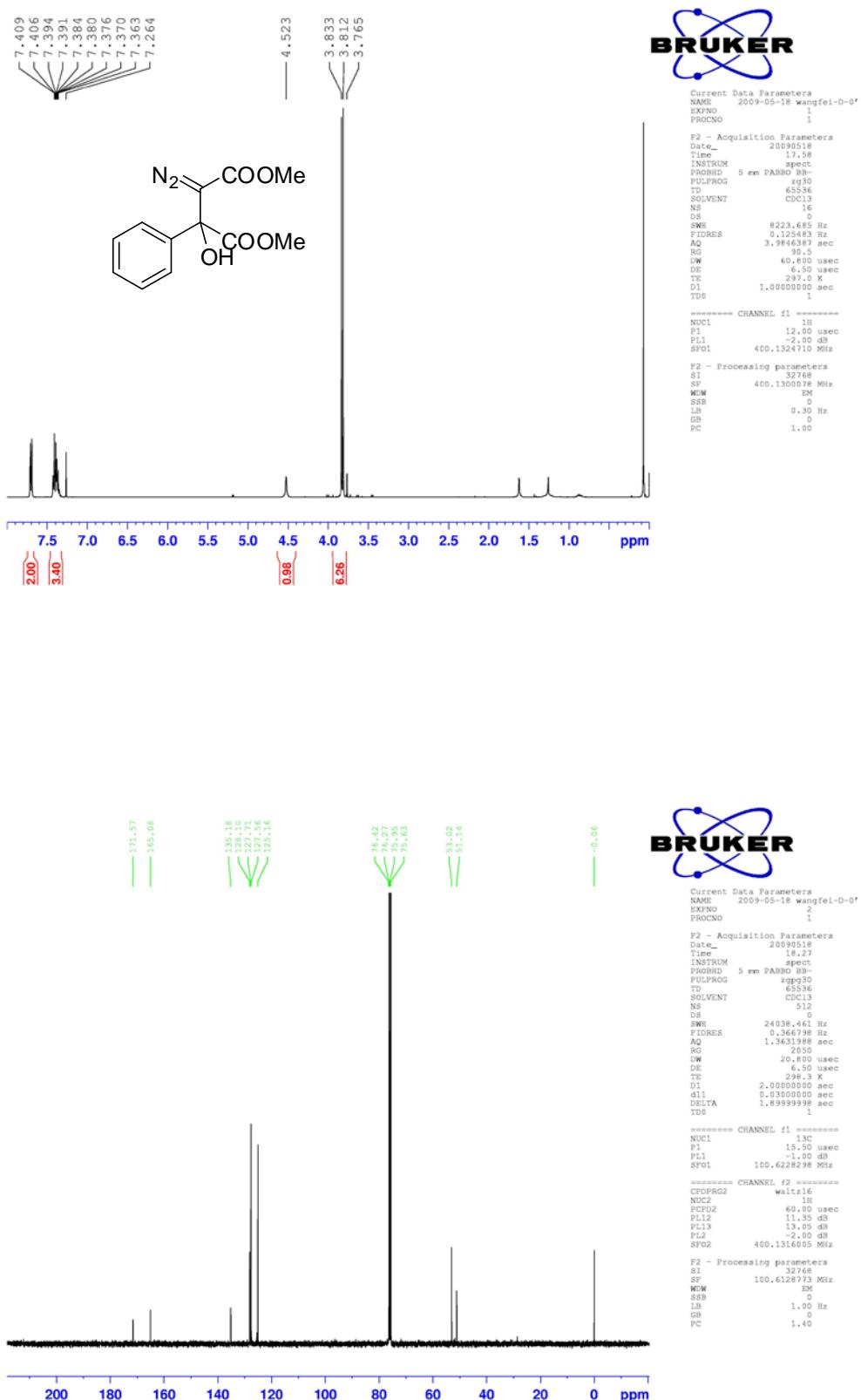
CHIRALCEL AD, 2-propanol/n-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda$  = 254 nm, retention time: 7.1 min (major) and 13.5 min (minor); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 3.81 (s, 3H), 3.82 (s, 3H), 4.63 (s, 1H), 7.49-7.74 (m, 7H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 28.6, 51.2, 53.1, 122.4, 125.1, 125.5, 125.9, 126.5, 127.6, 127.6, 128.1, 132.0, 132.3, 165.1, 171.5 ppm.

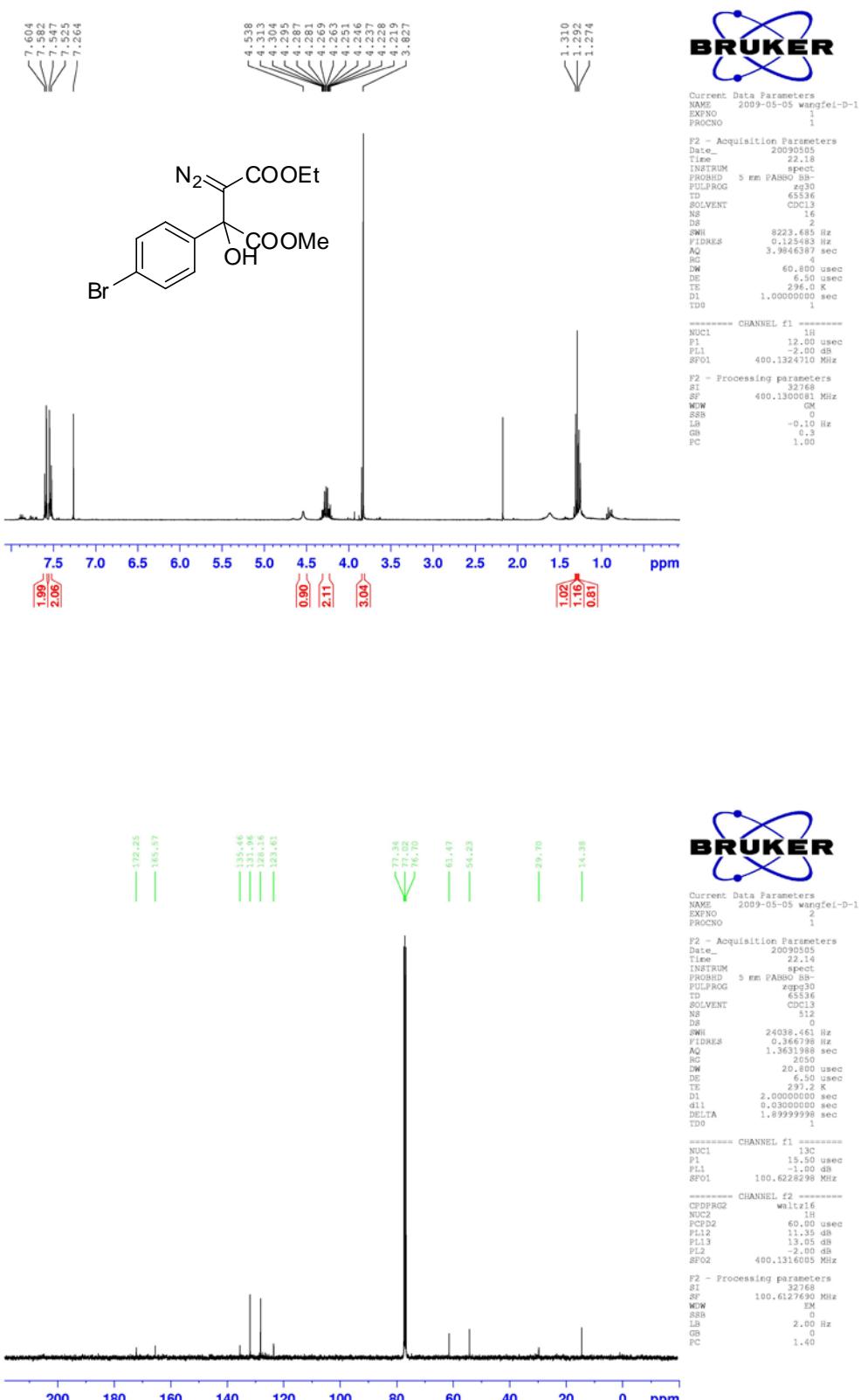


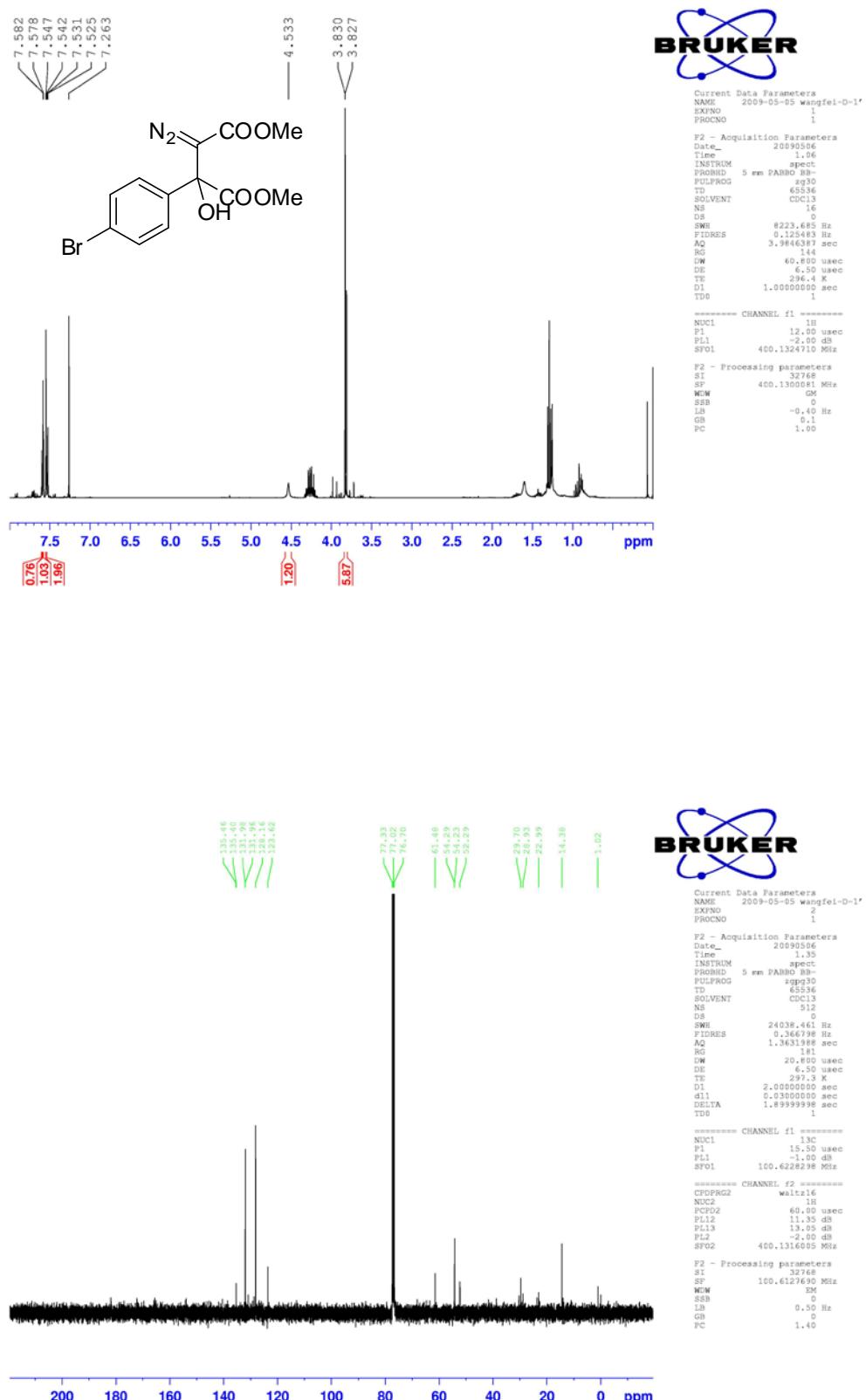
### diethyl 2-hydroxy-2-phenylsuccinate

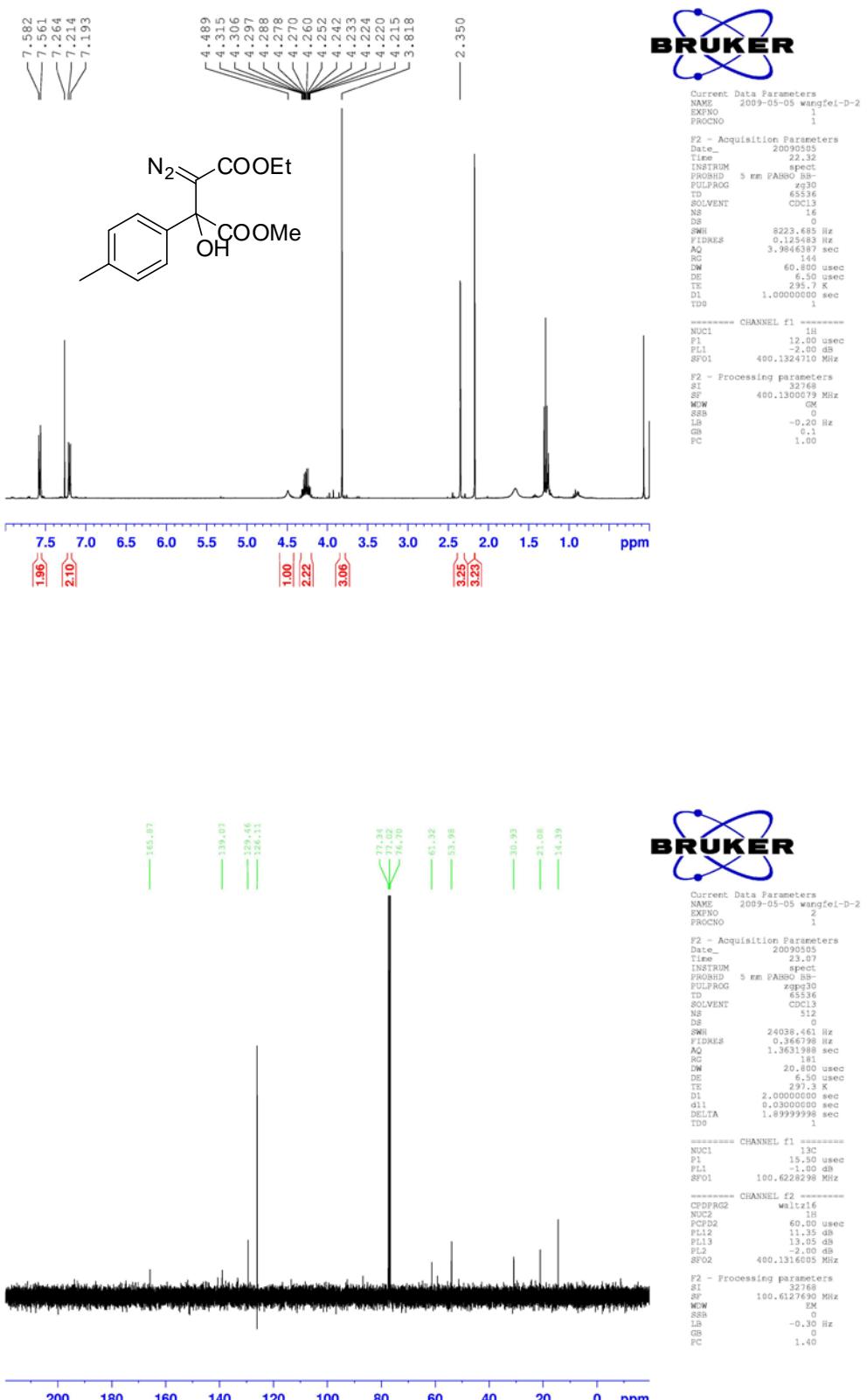


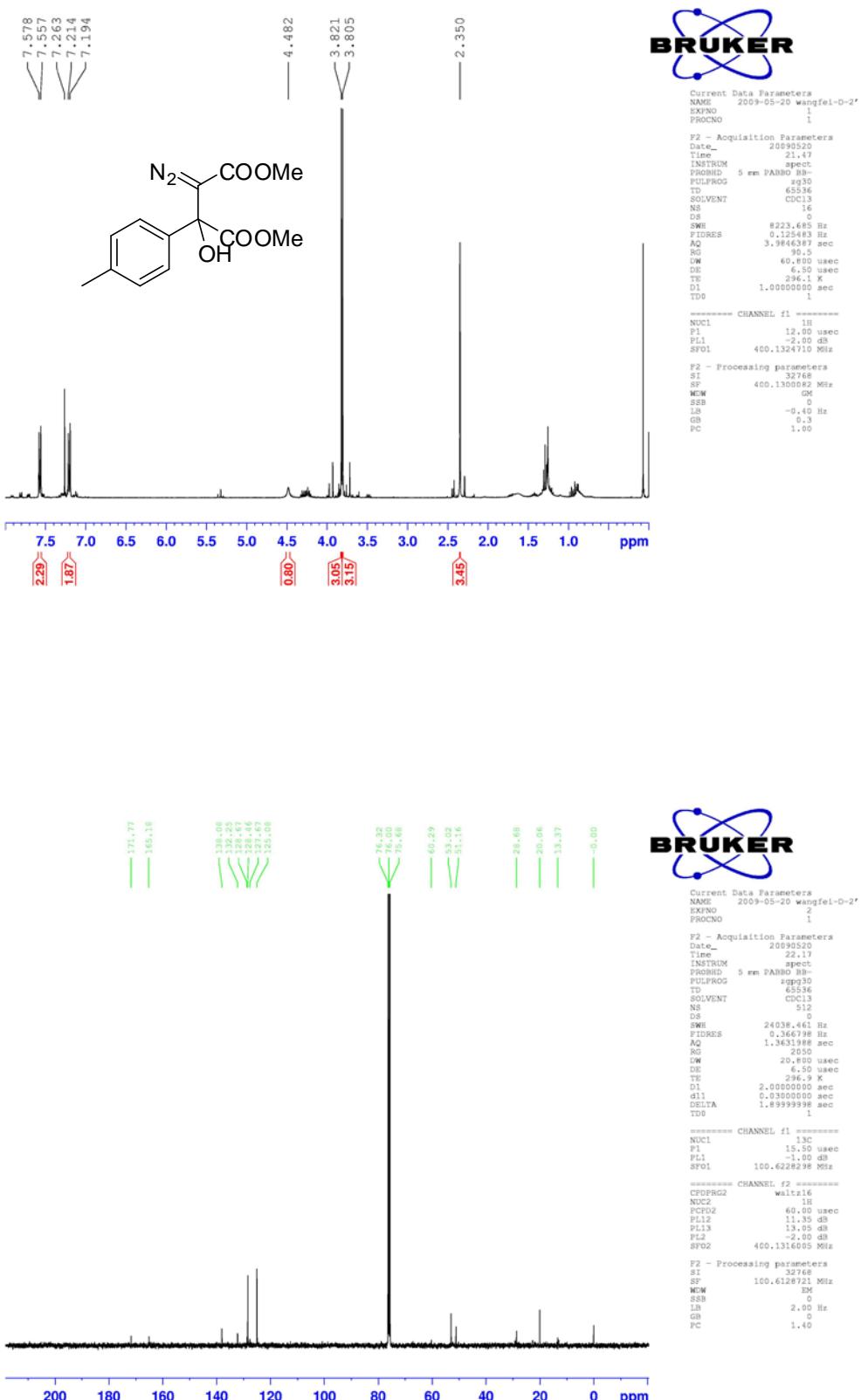


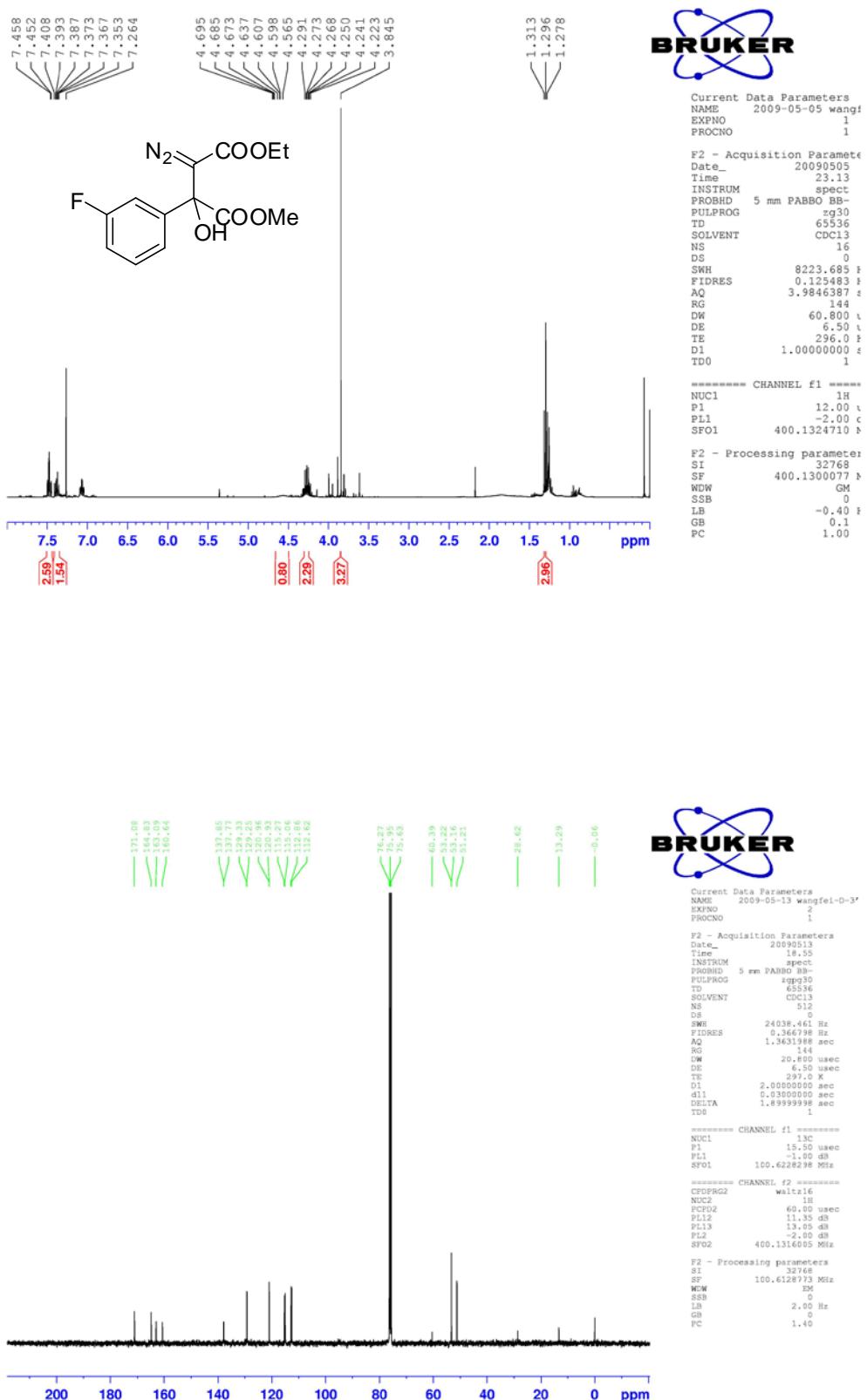


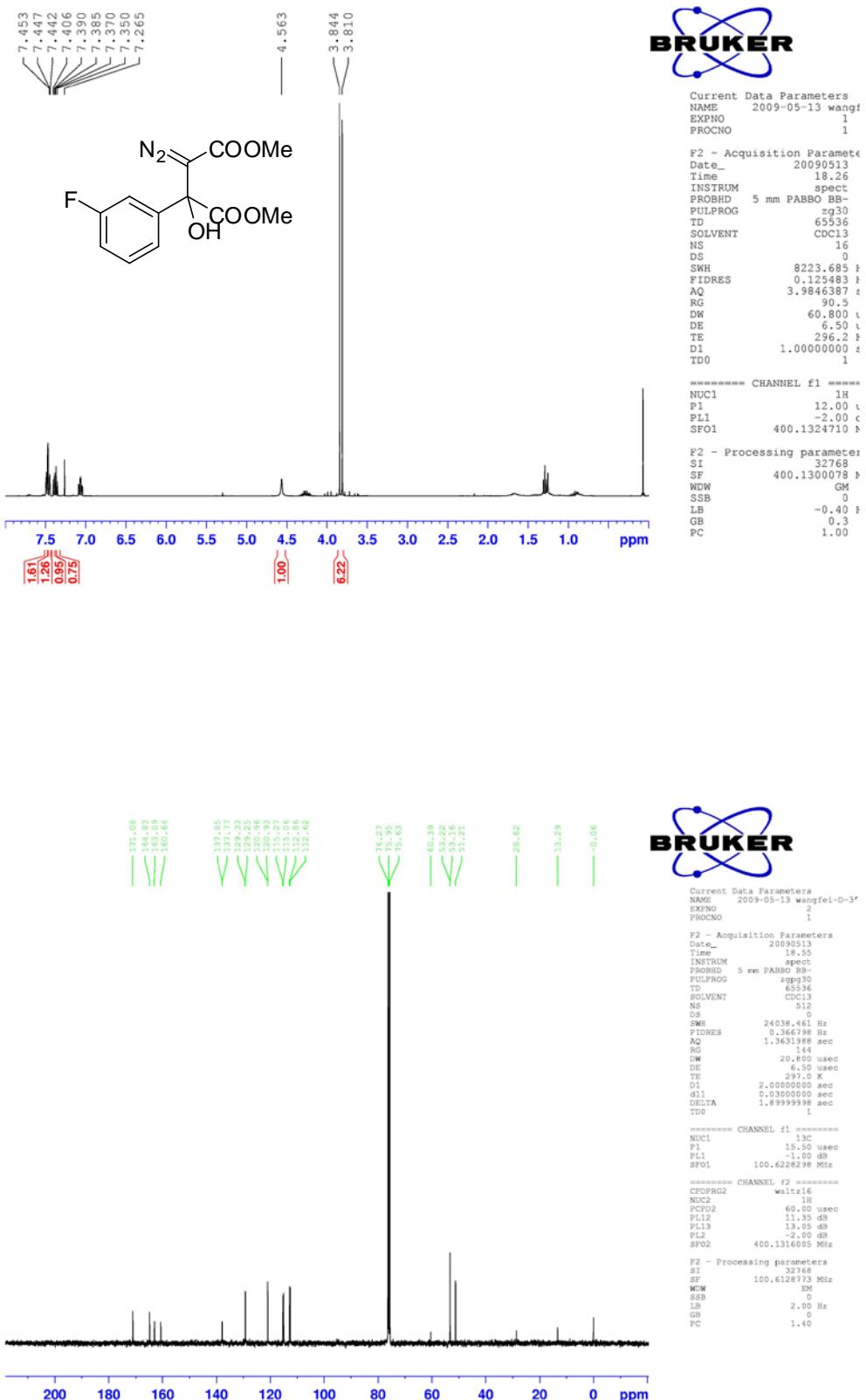


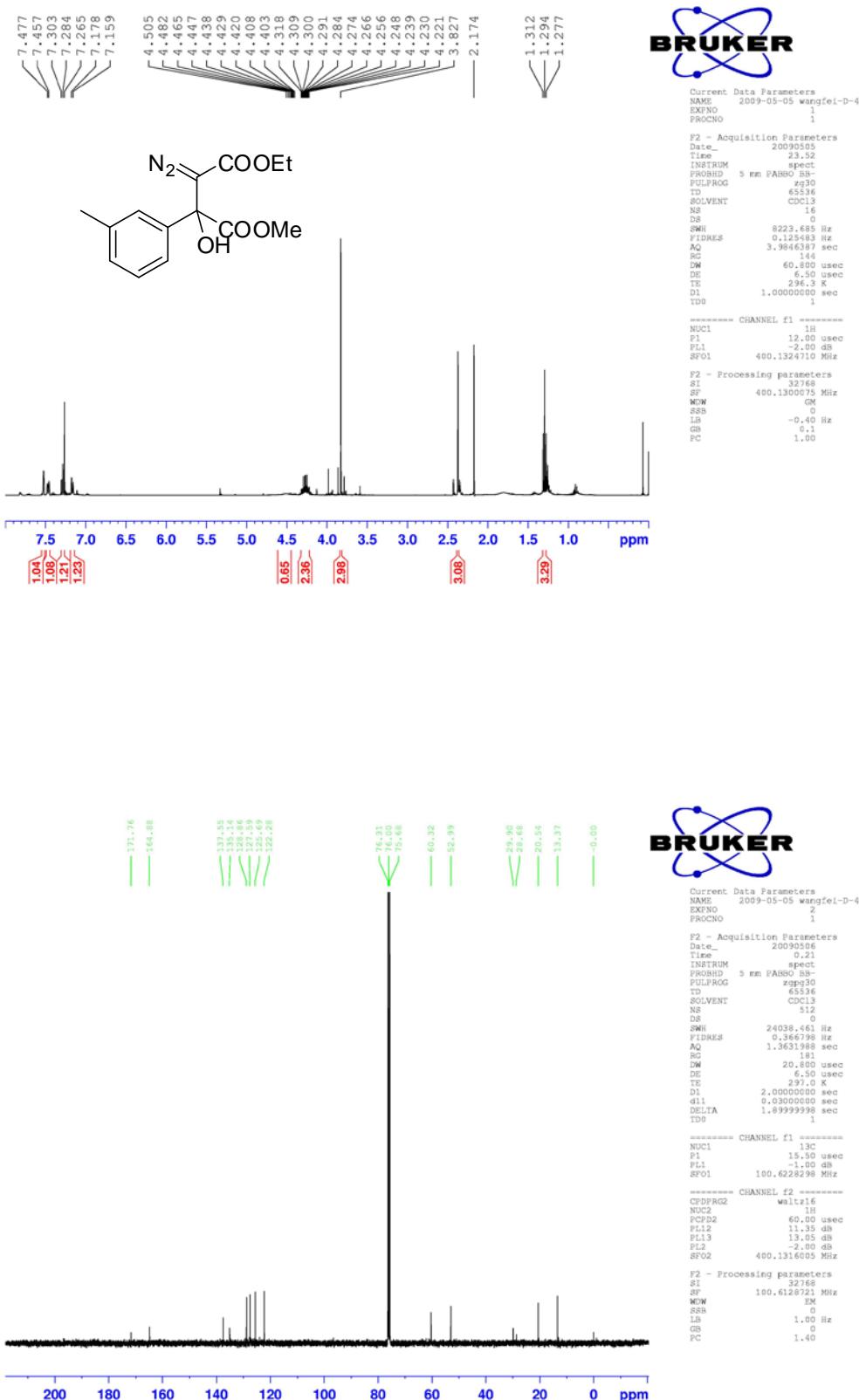


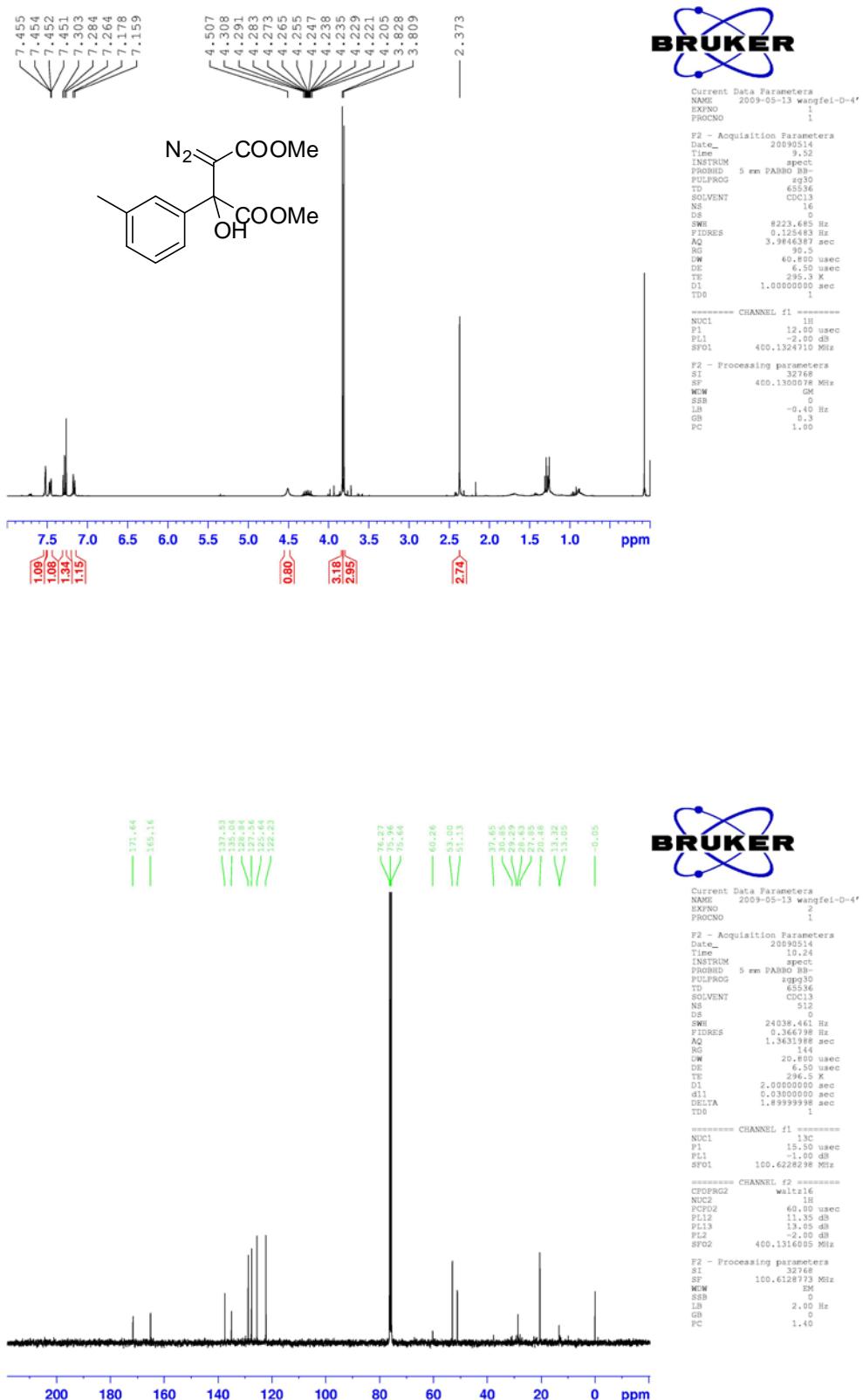


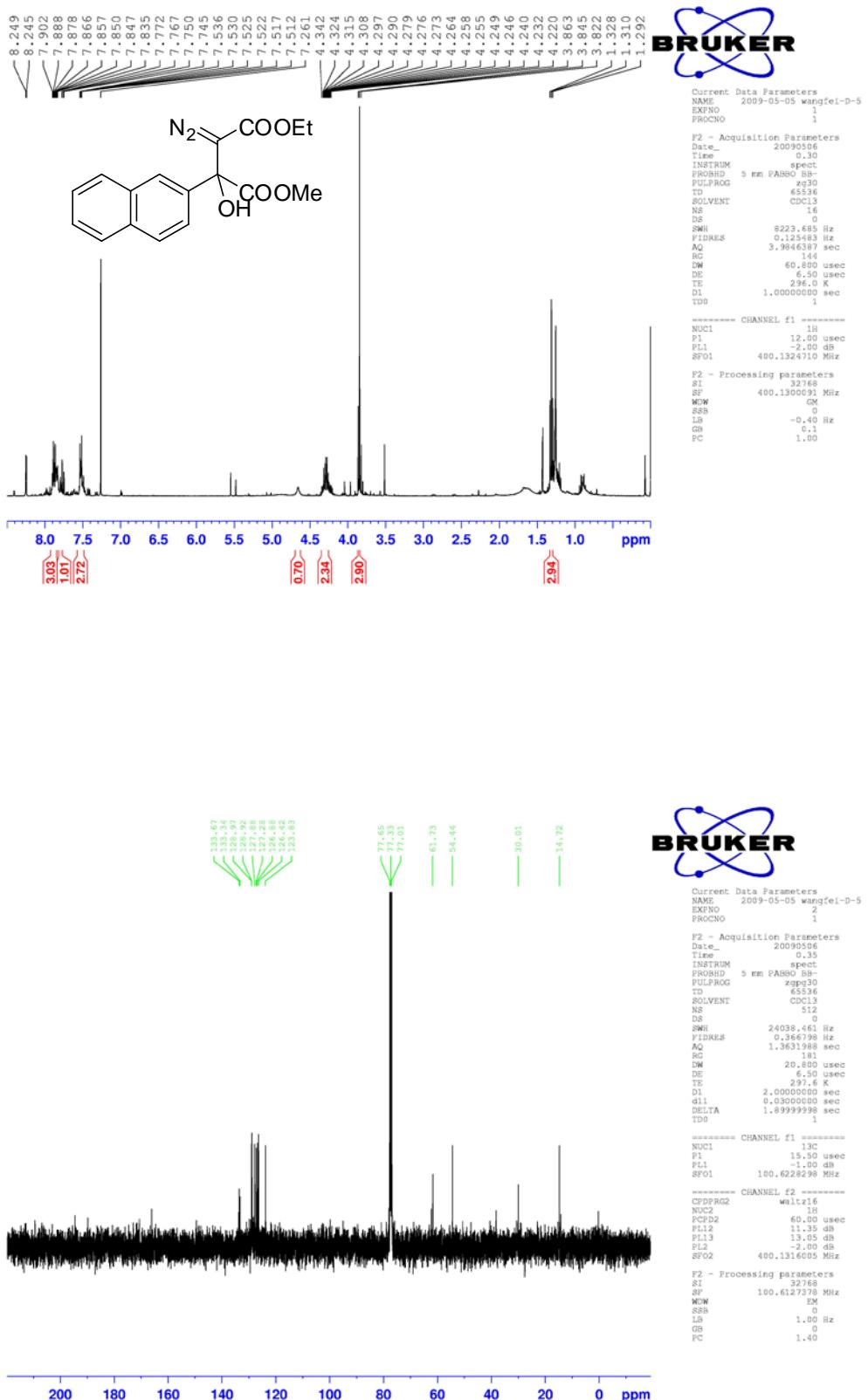


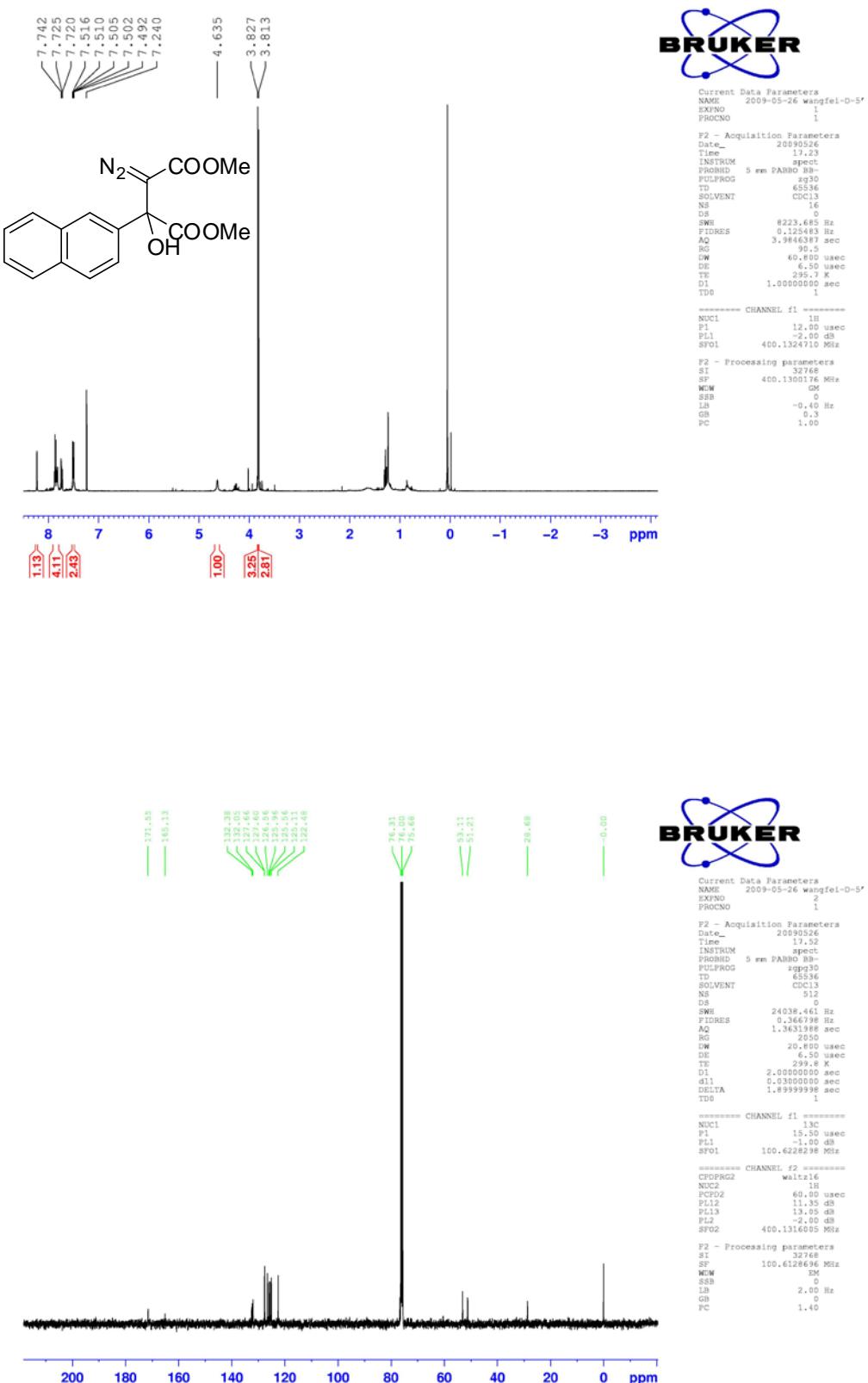


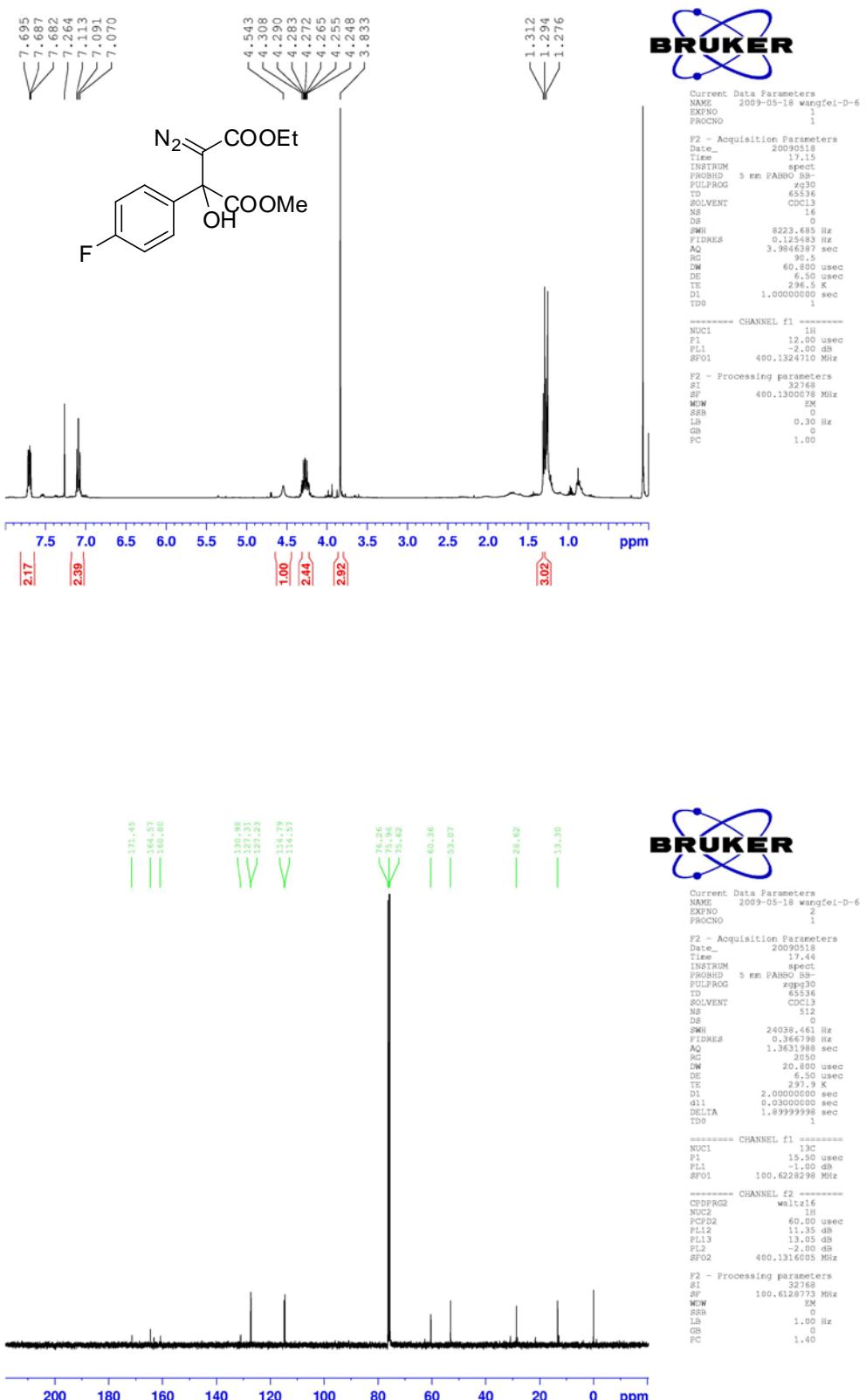


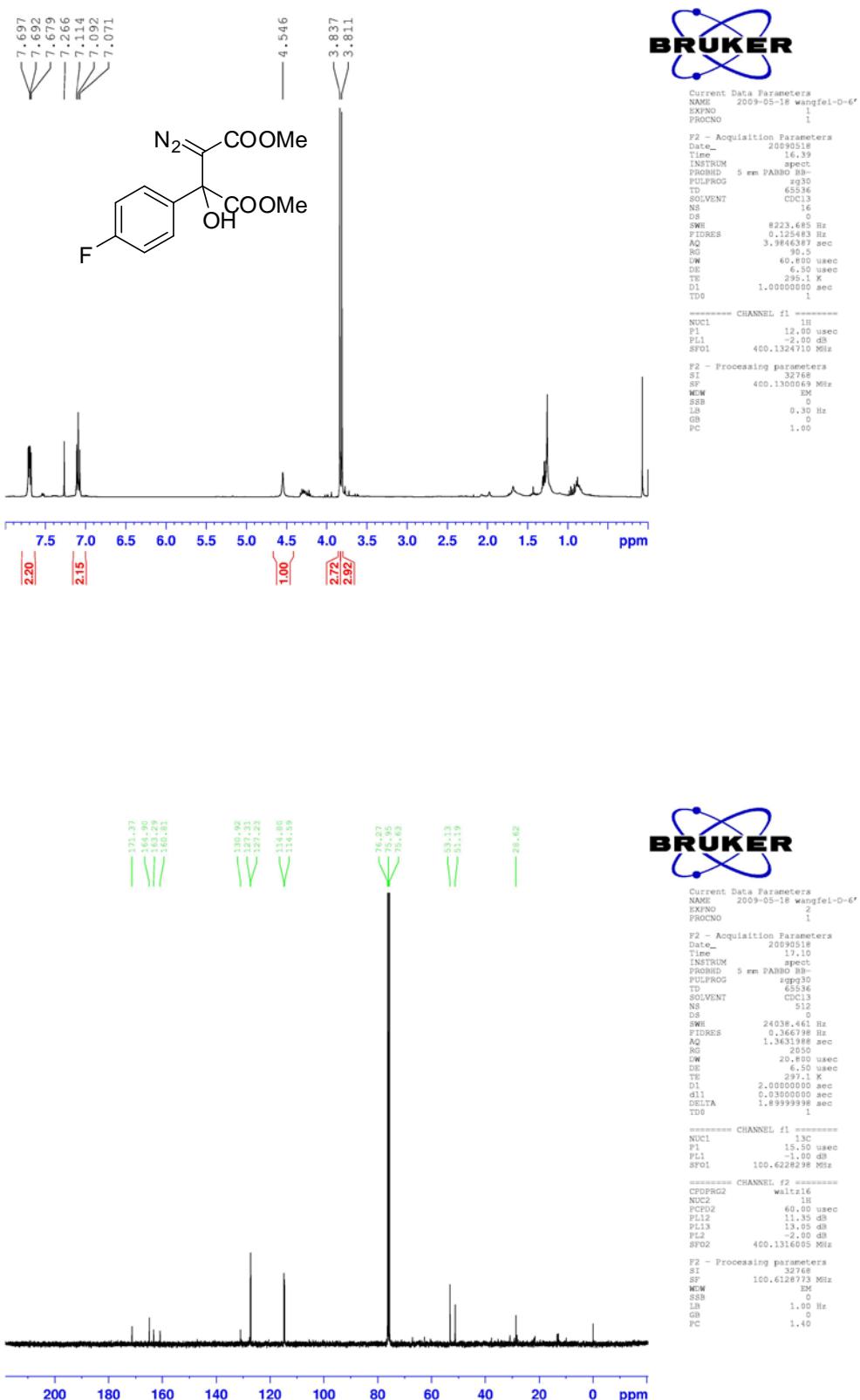


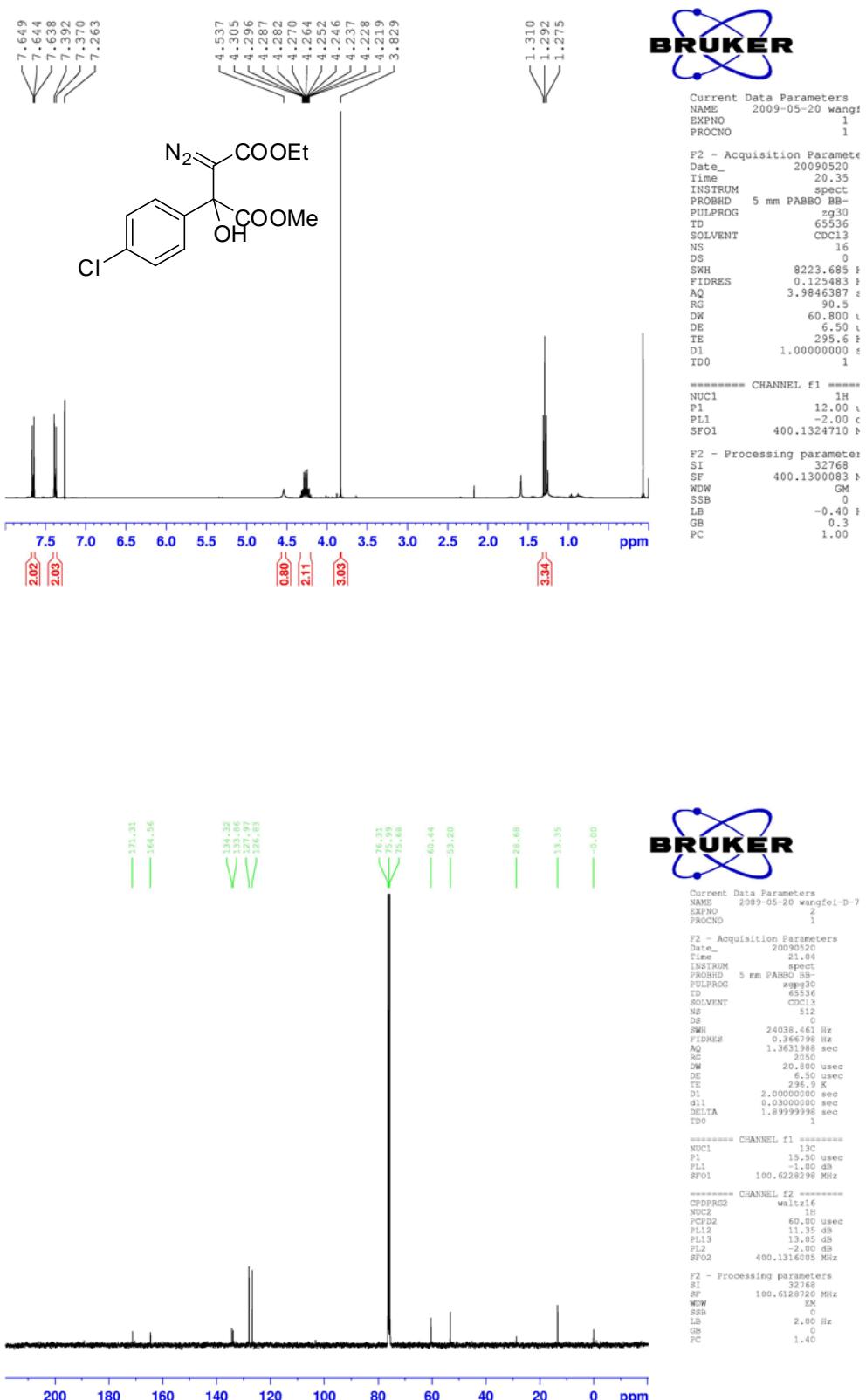


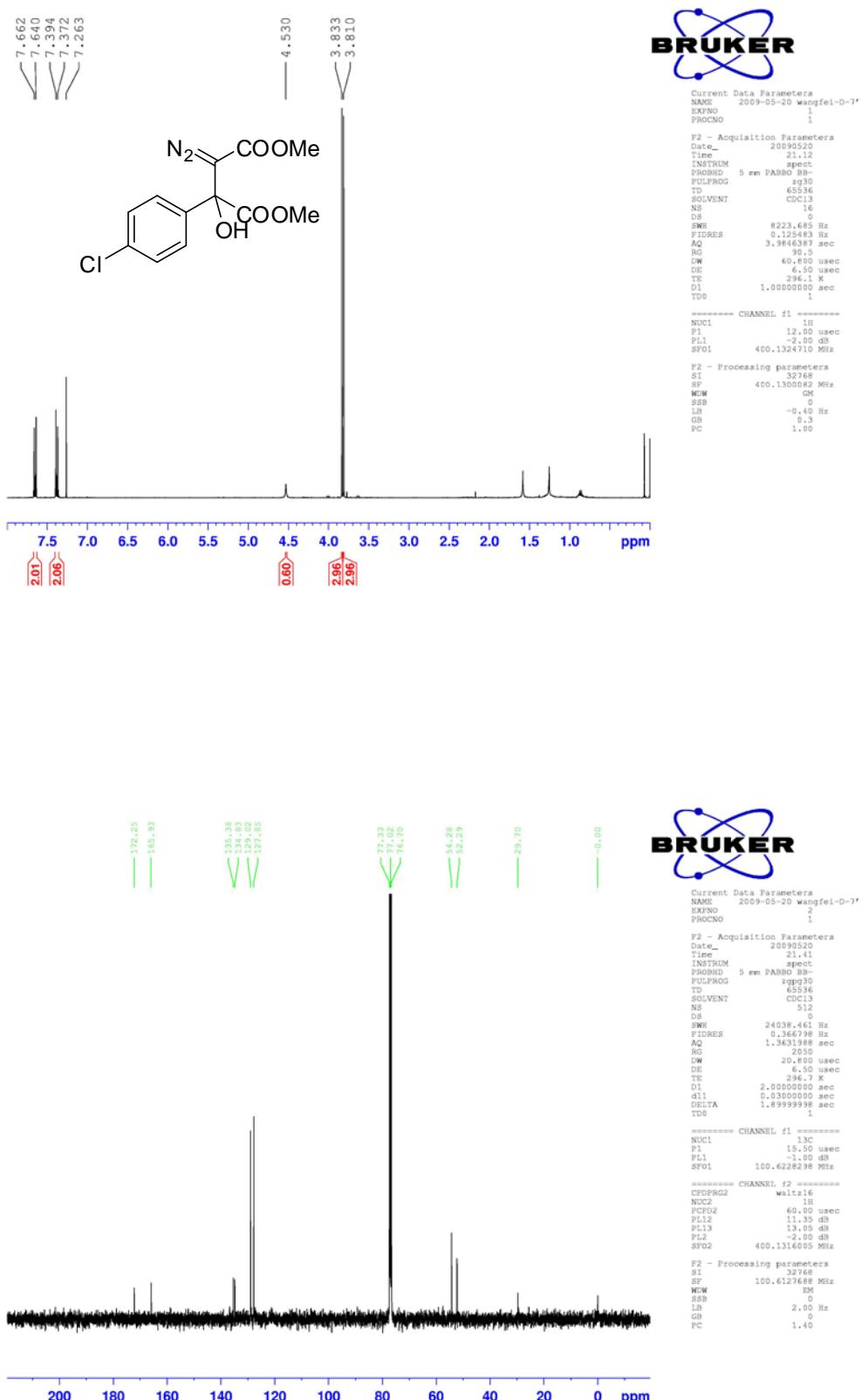


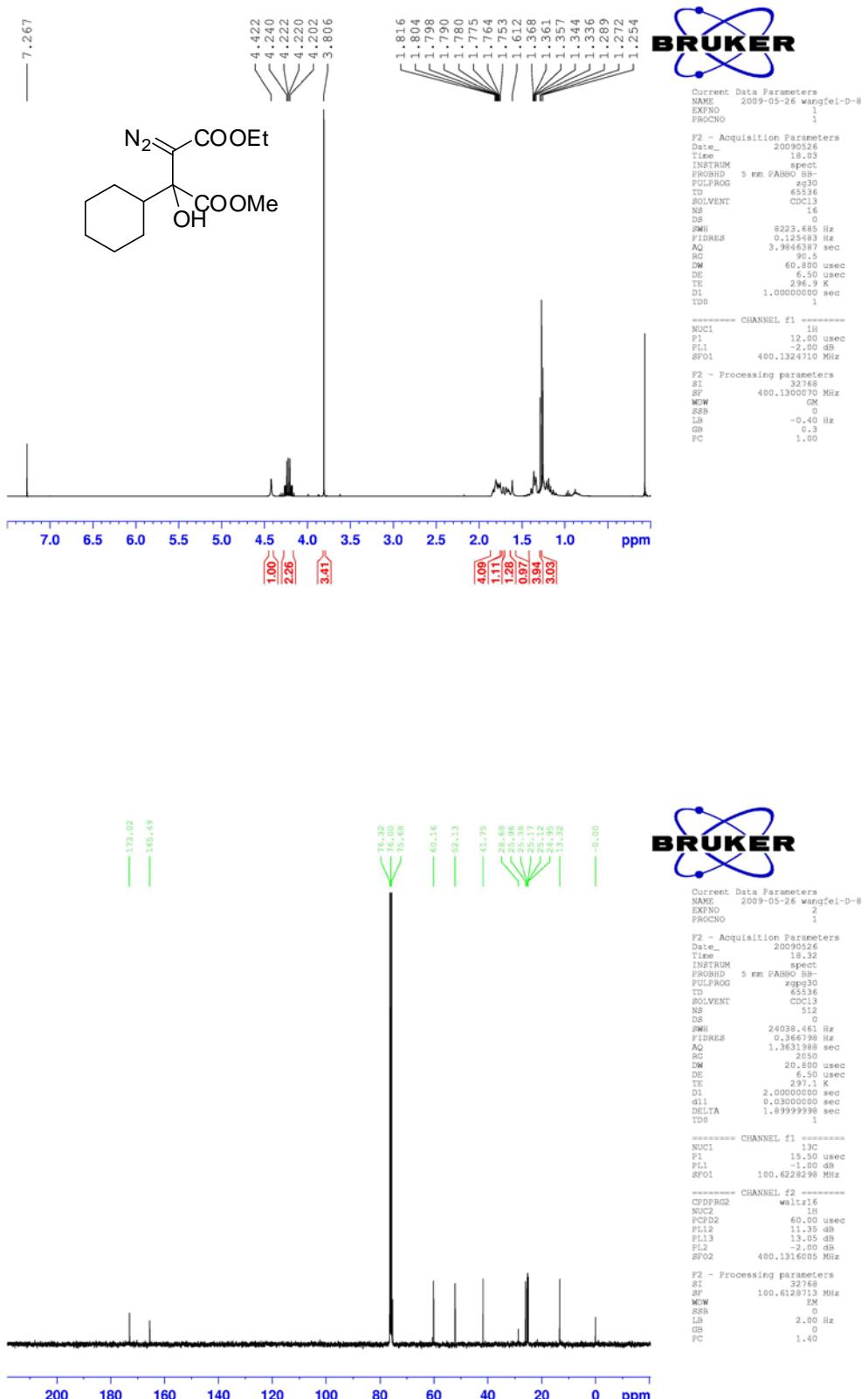


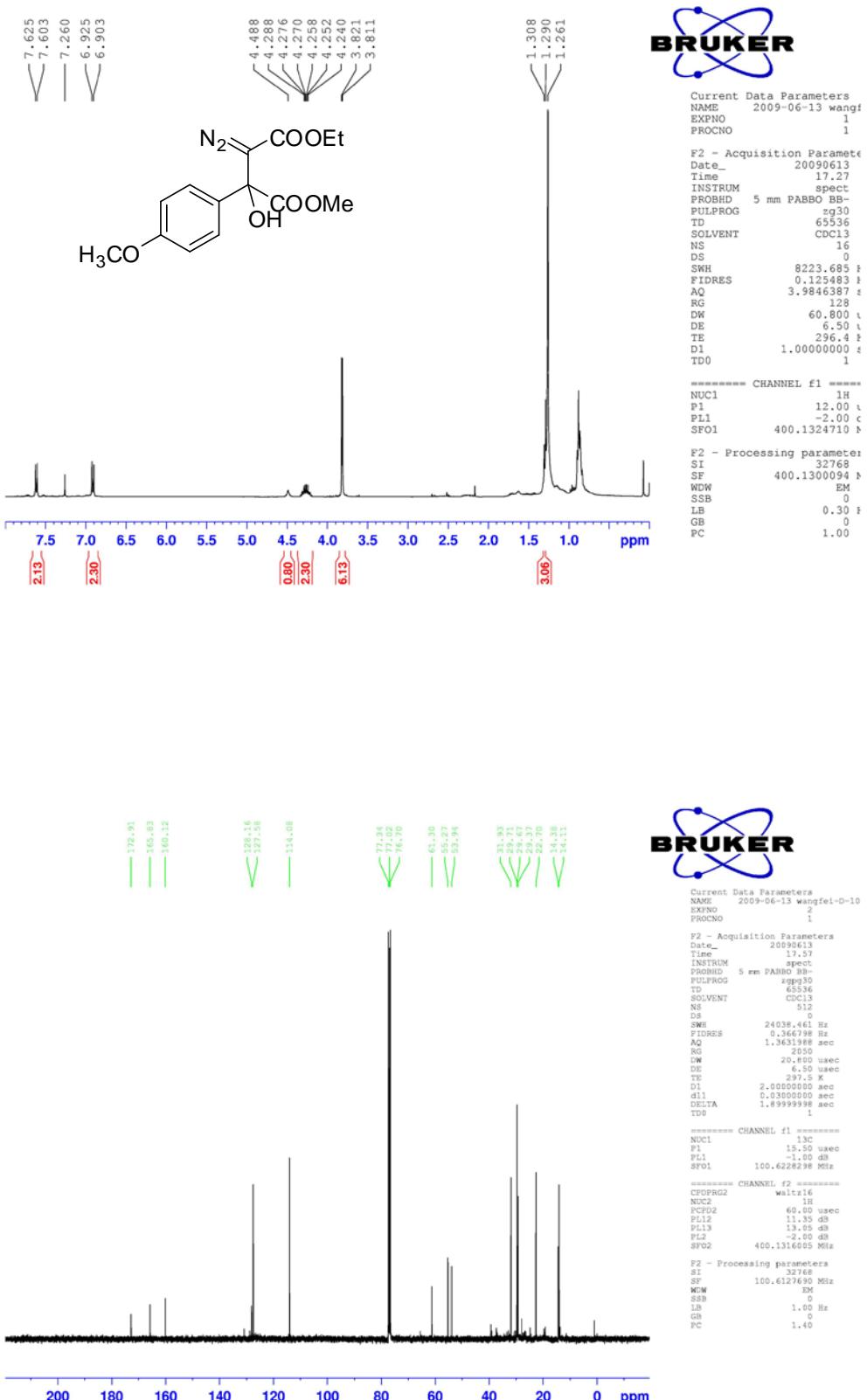


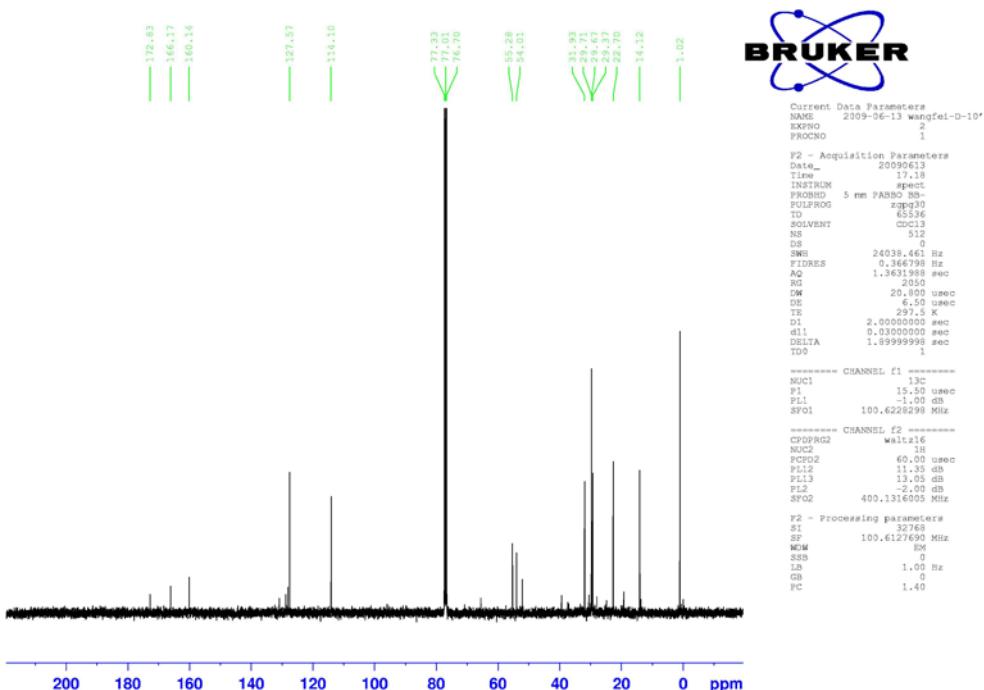
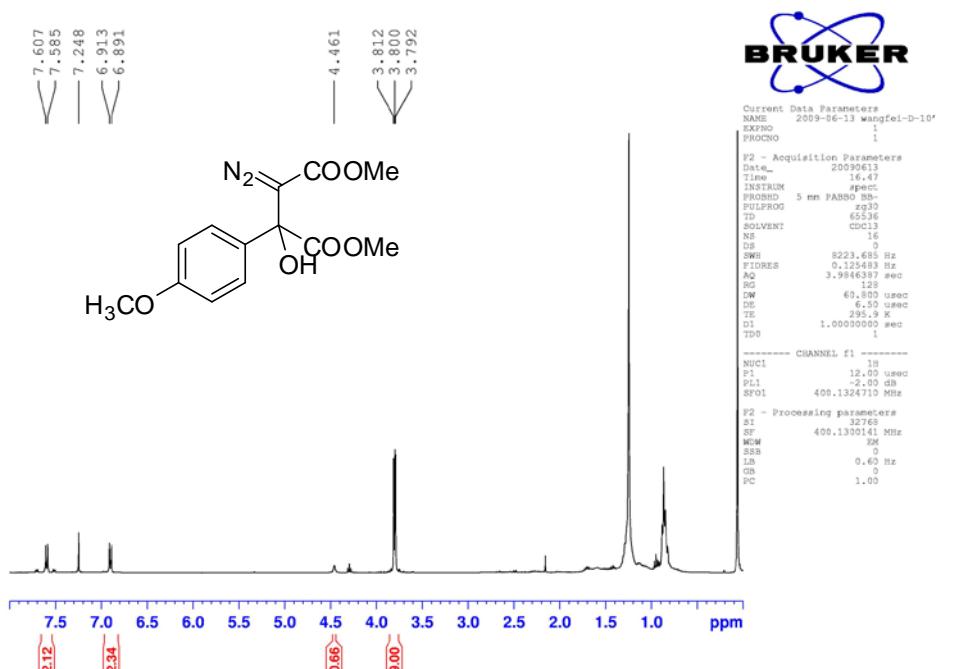


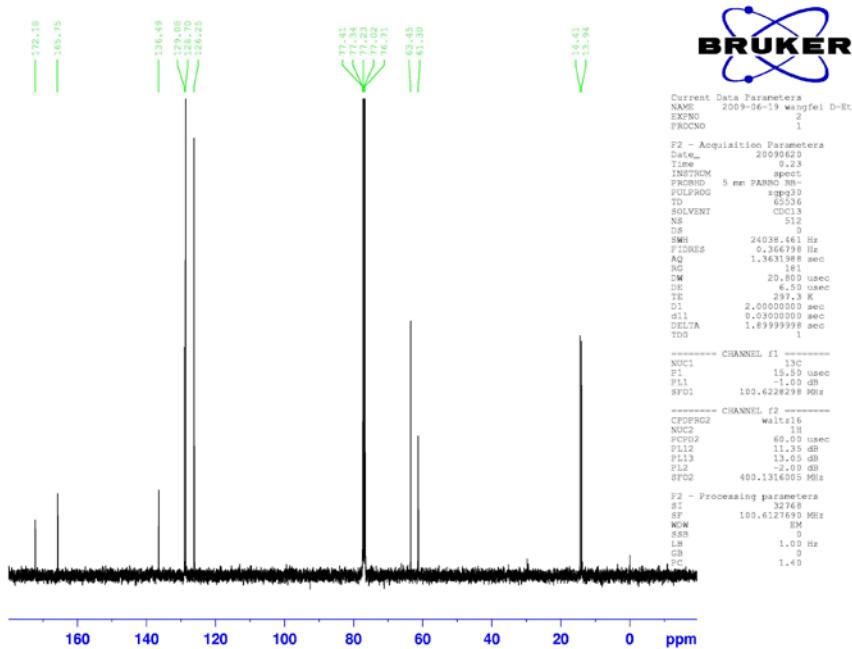
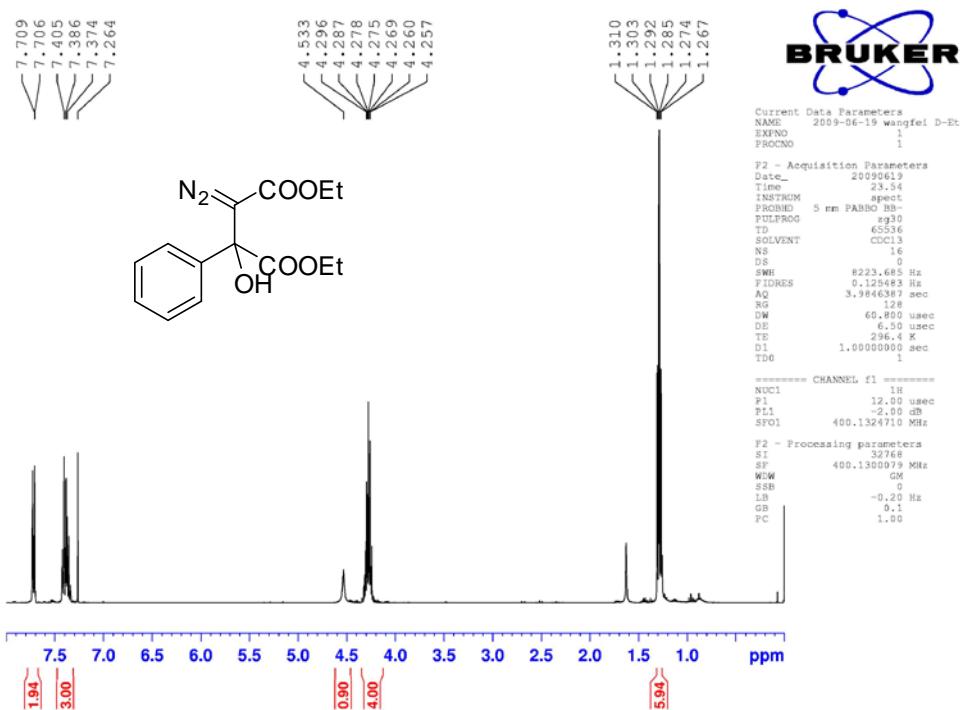












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