

**Supporting Information for:**

# Highly Enantioselective Aza-ene-type Reaction Catalyzed by Chiral *N,N'*-Dioxide-Nickel(II) Complex

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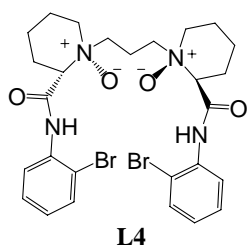
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## (A) General

$^1\text{H}$  NMR spectra were recorded on commercial instruments (400 MHz). Chemical shifts are recorded in ppm relative to tetramethylsilane and with the solvent resonance as the internal standard. Data are reported as follows: chemical shift, multiplicity (s = singlet, d = doublet, t = triplet, m = multiplet, br = broad), coupling constants (Hz), integration.  $^{13}\text{C}$  NMR data were collected on commercial instruments (100 MHz) with complete proton decoupling. Chemical shifts are reported in ppm from the tetramethylsilane with the solvent resonance as internal standard. Enantiomer excesses were determined by chiral HPLC analysis on DAICEL CHIRALCEL AD-H/OJ-H/IB/AS-H in comparison with the authentic racemates. Optical rotations were reported as follows:  $[\alpha]_{\text{D}}^{\text{T}}$  (c: g/100 mL, in solvent). HRMS was recorded on a commercial apparatus (ESI Source). All the solvents were purified by usual methods before use. Glyoxal derivatives **1a-1r** were synthesized according to the previously reported method.<sup>[1]</sup> Enamides **2a-2i** and enecarbamates **3a-3d** were prepared in accordance with literature methods.<sup>[2]</sup>

## (B) General procedure for chiral *N,N'*-dioxide preparation

The *N,N'*-dioxide ligands **L1-L5** were synthesized by the same procedure in the literature.<sup>[3]</sup>



Ligand **L4**: white solid;  $[\alpha]_{\text{D}}^{29} = -57.1$  (c 0.65 in  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ): 1.41-1.45 (m, 2 H), 1.64-1.70 (m, 2 H), 1.84-1.92 (m, 2 H), 2.00-2.06 (m, 2 H), 2.35-2.56 (m, 4 H), 2.67-2.73 (m, 2 H), 2.87-2.90 (m, 2 H), 3.52-3.60 (m, 8 H), 6.89-6.93 (m, ArH, 2 H), 7.19-7.23 (m, ArH, 2 H), 7.44-7.46 (m, ArH, 2 H),

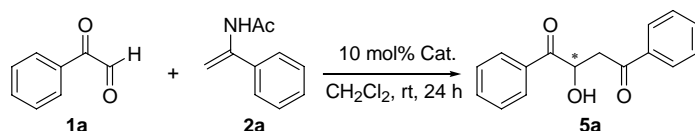
8.12-7.17 (m, ArH, 2 H), 13.40 (s, NH, 2 H), ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ): 16.3, 20.1, 22.3, 26.4, 64.5, 66.8, 76.1, 114.2, 122.7, 125.2, 127.8, 132.6, 136.5, 167.1 ppm. ESI-HRMS: calcd for  $\text{C}_{27}\text{H}_{34}\text{Br}_2\text{N}_4\text{O}_4$   $[\text{M}+\text{H}^+]$  637.1020, found 637.1032.

### C) General procedure for the enantioselective catalytic aza-ene-type reaction

The mixture of *N,N'*-dioxide **L4** (3.2 mg, 0.005 mmol) and  $\text{Ni}(\text{BF}_4)_2 \cdot 6\text{H}_2\text{O}$  (1.7 mg, 0.005 mmol) in  $\text{CH}_2\text{Cl}_2$  (1.0 mL) were stirred at 23 °C for 30 min. Then, phenylglyoxal **1a** (0.10 mmol) and enamide **2a** (0.11 mmol) were added to the mixture at 23 °C. After being stirred at 23 °C in air for 8 h, the reaction mixture was directly purified by column chromatography on silica gel eluted (ether : petroleum ether = 1 : 2) to afford the corresponding product **5a**.

### (D) Optimization of conditions

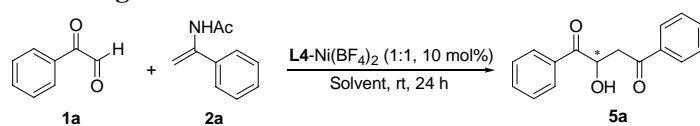
#### Screening of metals<sup>[a]</sup>



Entry	Ligand	Metal	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	<b>L1</b>	$\text{Cu}(\text{AcO})_2$	trace	-
2	<b>L1</b>	$\text{Cu}(\text{OTf})_2$	47	15
3	<b>L1</b>	$\text{Yb}(\text{OTf})_2$	58	67
4	<b>L1</b>	$\text{Sc}(\text{OTf})_3$	63	-7
5	<b>L1</b>	$\text{Zn}(\text{OTf})_2$	43	4
6	<b>L1</b>	$\text{Ni}(\text{ClO}_4)_2 \cdot 6\text{H}_2\text{O}$	50	94
7	<b>L1</b>	$\text{Ni}(\text{BF}_4)_2 \cdot 6\text{H}_2\text{O}$	78	94
8	<b>L1</b>	$\text{Ni}(\text{acac})_2$	trace	-
9	<b>L1</b>	$\text{Ni}(\text{AcO})_2$	trace	-
10	<b>L1</b>	$\text{NiBr}_2$	trace	-
11	-	$\text{Ni}(\text{BF}_4)_2 \cdot 6\text{H}_2\text{O}$	56	-

[a] The reaction was carried out with 0.1 mmol phenylglyoxal, 0.11 mmol enamide **2a** in  $\text{CH}_2\text{Cl}_2$  (1.0 mL). [b] Isolated yield. [c] Determined by chiral HPLC.

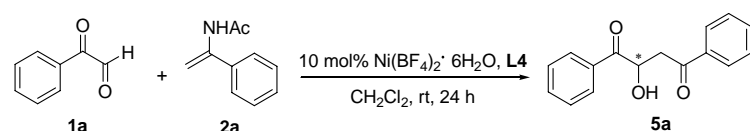
### Screening of solvents<sup>[a]</sup>



Entry	solvent	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	THF	trace	-14
2	Et <sub>2</sub> O	26	10
3	Toluene	trace	22
4	DMF	trace	-
5	CH <sub>2</sub> Cl <sub>2</sub>	93	>99
6	CH <sub>2</sub> ClCH <sub>2</sub> Cl	83	99
7	CHCl <sub>3</sub>	42	92

[a] The reaction was carried out with 0.1 mmol phenylglyoxal, 0.11 mmol enamide **2a** in solvent (1.0 mL). [b] Isolated yield. [c] Determined by chiral HPLC.

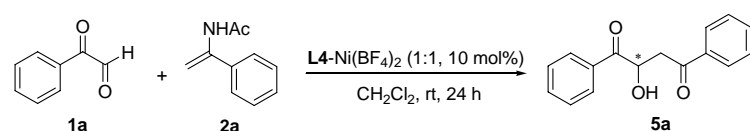
### Optimization of the ratio between ligand and metal<sup>[a]</sup>



Entry	ratio of ligand/metal	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	2 : 1	73	75
2	1.5 : 1	82	85
3	1 : 1	93	>99
4	1 : 1.5	93	99
5	1 : 2	92	99
6	1 : 4	87	90

[a] The reaction was carried out with 0.1 mmol phenylglyoxal, 0.11 mmol enamide **2a** in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL). [b] Isolated yield. [c] Determined by chiral HPLC.

### Optimization of the ratio between phenylglyoxal (1a) and enamide (2a)<sup>[a]</sup>

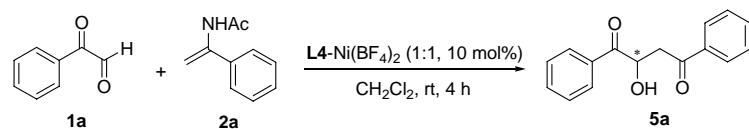


Entry	ratio of <b>1a/2a</b>	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	2 : 1	58	98
2	1.1 : 1	63	98
3	1 : 1	90	99
4	1 : 1.1	93	>99

5 1 : 2 92 94

[a] The reaction was carried out in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL). [b] Isolated yield. [c] Determined by chiral HPLC.

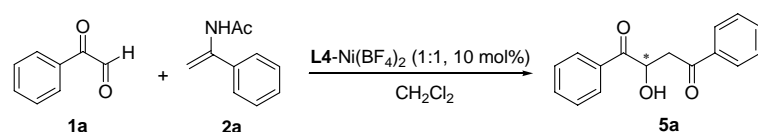
### Optimization of additive<sup>[a]</sup>



Entry	additive	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	3Å MS	76	97
2	4Å MS	79	98
3	5Å MS	83	99
4	<sup>i</sup> Pr <sub>2</sub> NEt	trace	-
5	phenol	85	96
6	benzoic acid	63	98

[a] The reaction was carried out with 0.1 mmol phenylglyoxal, 0.11 mmol enamide **2a** in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL). [b] Isolated yield. [c] Determined by chiral HPLC.

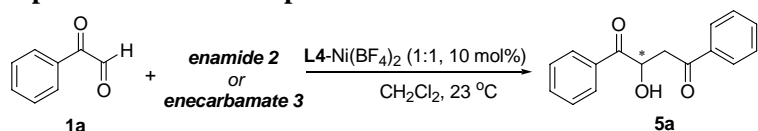
### Optimization of temperature<sup>[a]</sup>



Entry	temperature [°C]	time [h]	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	-20	64	45	>99
2	0	32	74	>99
3	15	16	92	>99
3	23	12	93	>99
4	30	7	86	97

[a] The reaction was carried out with 0.1 mmol phenylglyoxal, 0.11 mmol enamide **2a** in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL). [b] Isolated yield. [c] Determined by chiral HPLC.

### Optimization of nucleophile<sup>[a]</sup>

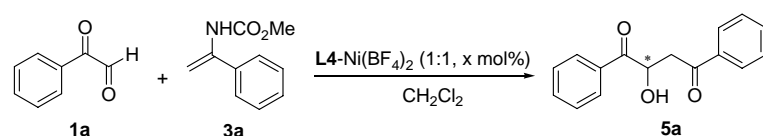


Entry	Nucleophile	time [h]	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	<b>2a</b>	12	93	>99
2	<b>3a</b>	3	99	>99

3	<b>3b</b>	3	92	97
4	<b>3c</b>	3	94	98
5	<b>3d</b>	3	99	97

[a] The reaction was carried out with 0.1 mmol phenylglyoxal, 0.11 mmol enamide **2** (enecarbamate **3**) in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL). [b] Isolated yield. [c] Determined by chiral HPLC.

### Optimization of catalyst loading<sup>[a]</sup>

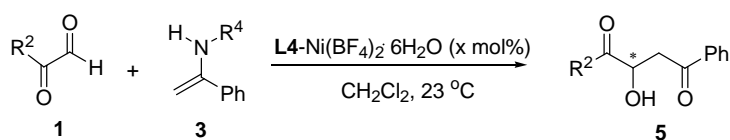


Entry	catalyst loading [mol %]	time [h]	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	10	3	99	>99
2	5	8	93	99
3	2.5	16	91	99
4	1	32	82	97
5	0.25	48	70	92

[a] The reaction was carried out with 0.1 mmol phenylglyoxal, 0.11 mmol enecarbamate **3a** in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL). [b] Isolated yield. [c] Determined by chiral HPLC.

### Full list of substrates

**Table 1** Substrate scope for the catalytic enantioselective aza-ene-type reaction with enecarbamate<sup>[a]</sup>



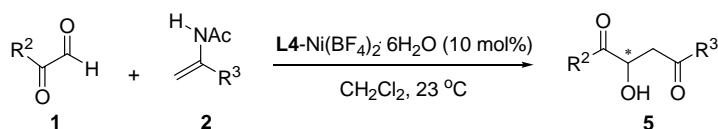
R<sup>2</sup> = Aryl, Alkyl, etc

Entry	R <sup>2</sup>	R <sup>4</sup>	x [mol %]	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	Ph	CO <sub>2</sub> Me	5	93	99
2	Ph	CO <sub>2</sub> Et	5	92	97
3	Ph	CO <sub>2</sub> <sup>t</sup> Bu	5	94	98
4	Ph	CO <sub>2</sub> Bn	5	99	97
5	2-MeC <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	89	>99
			2.5	83	98
6	3-MeC <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	90	98
			2.5	85	98
7	4-MeC <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	95	99
			2.5	90	99
			1	83	96
8	3-MeOC <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	92	99
			2.5	84	98
9	4-MeOC <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	99	>99

			2.5	94	99
			1	86	97
10	3,4-(MeO) <sub>2</sub> C <sub>6</sub> H <sub>3</sub>	CO <sub>2</sub> Me	5	92	>99
11	3-ClC <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	87	99
12	4-ClC <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	92	99
			2.5	85	98
13	3,4-Cl <sub>2</sub> C <sub>6</sub> H <sub>3</sub>	CO <sub>2</sub> Me	5	85	98
14	4-FC <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	91	99
			2.5	82	98
15	4-BrC <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	82	99
16	3-NO <sub>2</sub> C <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	80	99
17	4-NO <sub>2</sub> C <sub>6</sub> H <sub>4</sub>	CO <sub>2</sub> Me	5	72	99
18	2-naphthyl	CO <sub>2</sub> Me	5	86	99
19	2-furyl	CO <sub>2</sub> Me	5	75	99
20	2-thienyl	CO <sub>2</sub> Me	5	86	98
21	<i>c</i> -hexyl	CO <sub>2</sub> Me	5	70	99
22	OEt	CO <sub>2</sub> Me	5	85	98 (S) <sup>[d]</sup>

[a] Unless otherwise noted, the reaction was carried out with 1-5 mol% **L4**-Ni(BF<sub>4</sub>)<sub>2</sub>·6H<sub>2</sub>O, 0.1 mmol of glyoxal derivative (glyoxylate), and 1.1 equiv of enecarbamate **3** in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL) at 23 °C for 3-18 h. [b] Isolated yield. [c] Determined by chiral HPLC analysis. [d] The absolute configuration of (S)-**5s** was determined by comparison with literature data.<sup>[2a]</sup>

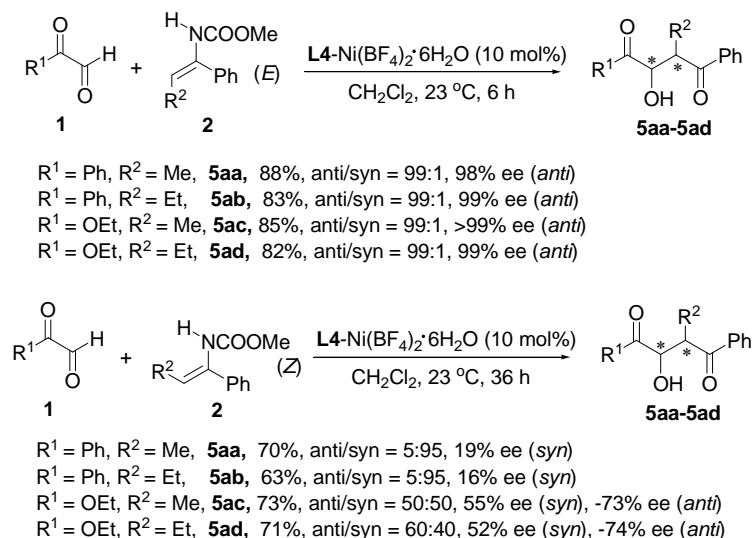
**Table 2** Substrate scope for the catalytic enantioselective aza-ene-type reaction with enamide<sup>[a]</sup>



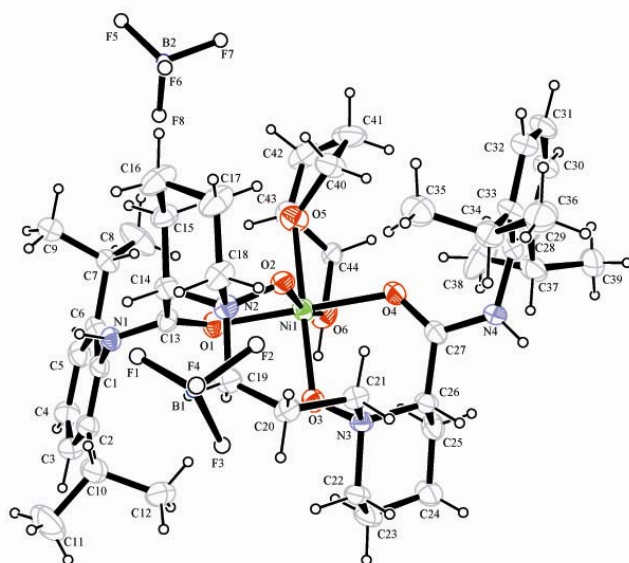
R<sup>2</sup> = Aryl, Alkyl, etc

Entry	R <sup>2</sup>	R <sup>3</sup>	Product	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	Ph	Ph	<b>5a</b>	93	>99
2	Ph	2-MeC <sub>6</sub> H <sub>4</sub>	<b>5t</b>	92	>99
3	Ph	3-MeC <sub>6</sub> H <sub>4</sub>	<b>5u</b>	92	>99
4	Ph	4-MeC <sub>6</sub> H <sub>4</sub>	<b>5v</b>	94	>99
5	Ph	4-MeOC <sub>6</sub> H <sub>4</sub>	<b>5w</b>	95	99
6	Ph	4-ClC <sub>6</sub> H <sub>4</sub>	<b>5x</b>	87	99
7	Ph	4-FC <sub>6</sub> H <sub>4</sub>	<b>5y</b>	87	99
8	Ph	2-naphthyl	<b>5z</b>	91	>99
9	Ph	<i>c</i> -hexyl	-	N.R.	-
10	Ph	<i>i</i> -Pr	-	N.R.	-
11	Ph	COOMe	-	N.R.	-

[a] Unless otherwise noted, the reaction was carried out with 10 mol% **L4**-Ni(BF<sub>4</sub>)<sub>2</sub>·6H<sub>2</sub>O, 0.1 mmol of glyoxal derivative, and 1.1 equiv of enamide **2** in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL) at 23 °C for 6-16 h. [b] Isolated yield. [c] Determined by chiral HPLC.



**Scheme 1** Substrate scope for the catalytic enantioselective aza-ene-type reaction with  $\alpha$ -substituted enecarbamates

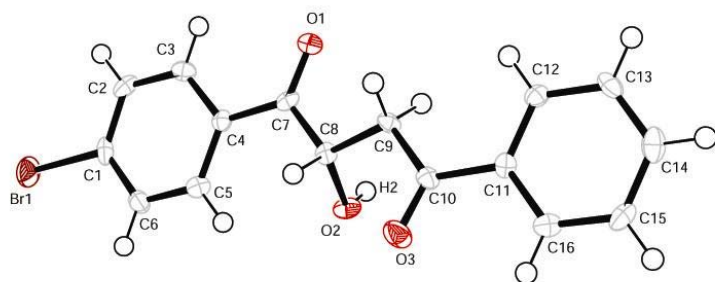


**Fig. 1** X-ray crystallographic structure of the **L3-Ni(II)** ·TFM (TFM = Tetrahydro-2-furanmethanol) complex.

Single crystals of  $\text{C}_{44}\text{H}_{69}\text{B}_2\text{F}_8\text{N}_4\text{NiO}_6$  **L3-Ni(II)** complex were crystallized from mixed solvents of THF and petroleum ether. The thermal ellipsoids' level is 20 % for the above crystal structure. We conjectured that the exogenous ligand (TFM) was come from the unpurified solvent THF.

**Crystal data.**  $\text{C}_{44}\text{H}_{69}\text{B}_2\text{F}_8\text{N}_4\text{NiO}_6$ ,  $M = 982.36$ , orthorhombic,  $a = 12.784(3)$ ,  $b = 13.268(3)$ ,  $c = 34.336(7)$  Å,  $U = 5824(2)$  Å<sup>3</sup>,  $T = 113$  K, space group  $P2_12_12_1$  (no. 19),  $Z = 4$ , 37884 reflections measured, 11378 unique ( $R_{\text{int}} = 0.0526$ ) which used in all calculations. The final  $wR(F_2)$  was 0.2144 (all data). The structure of compound **L3-Ni(II)** has been refined carefully by PLATON/SQUEEZE software. (ref.: A.L. Spek, *Acta Cryst. D*, 2009, **65**, 148-155.)





**Fig. 2** X-ray crystallographic structure of the product **5I**.

Single crystals of  $C_{16}H_{13}BrO_3$  **5I** were crystallized from mixed solvents of THF and petroleum ether. The absolute configuration of C8 is *S*. The thermal ellipsoids' level is 30 % for the above crystal structure.

**Crystal data.**  $C_{16}H_{13}BrO_3$ ,  $M = 330.00$ , orthorhombic,  $a = 5.5934(11)$ ,  $b = 7.4974(15)$ ,  $c = 31.910(6)$  Å,  $U = 1338.2(5)$  Å<sup>3</sup>,  $T = 113$  K, space group  $P2_12_12_1$  (no. 19),  $Z = 4$ , 10559 reflections measured, 3171 unique ( $R_{int} = 0.0691$ ) which used in all calculations. The final  $wR(F_2)$  was 0.0666 (all data).

**Reference(Program):**

**(1) Data reduction:**

CrystalClear,

Rigaku/MS(2005), CrystalClear and CrystalStructure, Rigaku/MS, The Woodlands , Texas, USA

**(2) Structure solution:**

(a) absorption correction

ABSCOR/multi-scan

Higashi,T. (1995) ABSCOR, Rigaku Corporation, Tokyo, Japan

(b) Structure Solution and refinement

SHELXS-97 and SHELXL-97

Sheldrick,G.M. (1997), SHELXS97 and SHELXL97, University of Gottingen, Germany

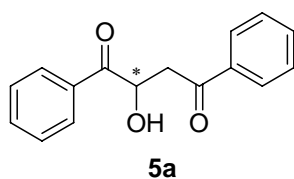
**(3) Graphics:**

Ortep-3 for Windows 1.076

Farrugia,L.J. (1997) *J. Appl. Cryst.* 30,565

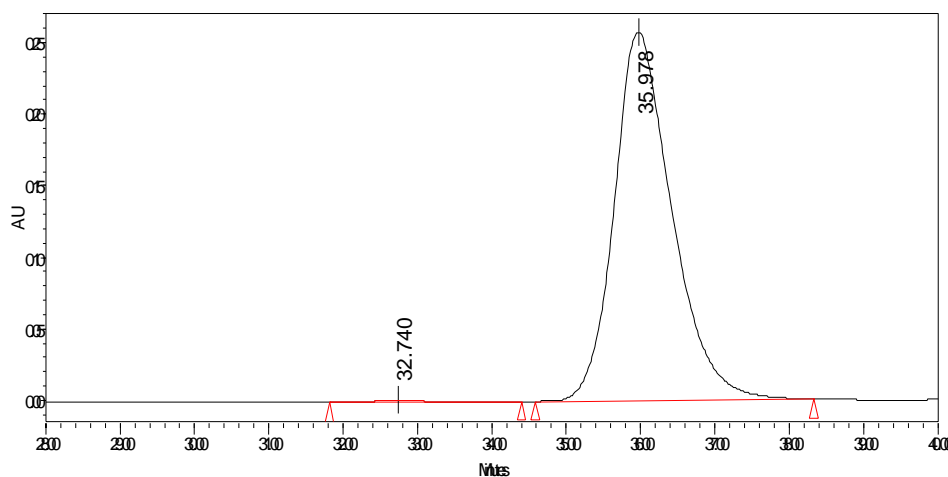
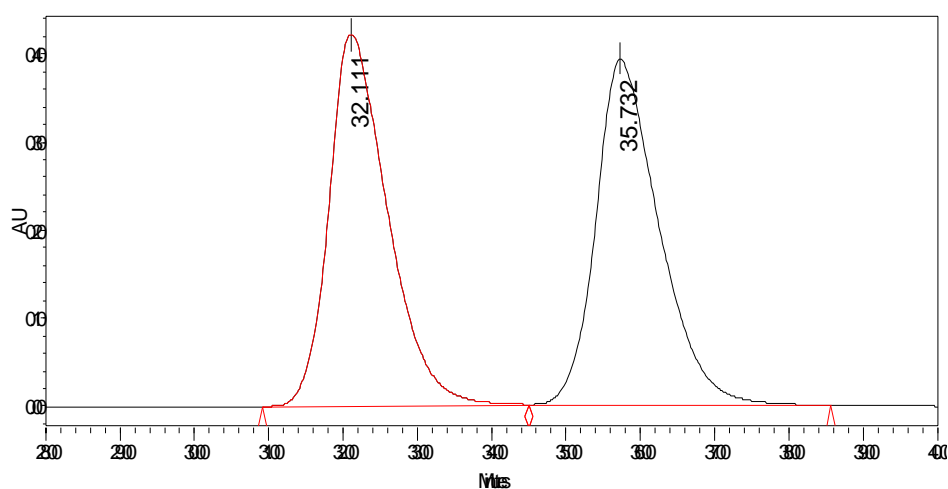
**(E) The analytical and spectral characterization data of aza-ene-type reaction products**

## 2-hydroxy-1,4-diphenylbutane-1,4-dione (5a)



( $C_{16}H_{14}O_3$ ) a white solid; 93% yield, >99% ee.  $[\alpha]_D^{25} = +12.5$  ( $c$  0.440 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/ $n$ -hexane = 10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 32.7 min (minor) and 36.0

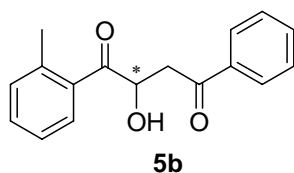
min (major);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 3.37-3.49 (m, 2H), 4.04 (d,  $J = 6.0$  Hz, 1H), 5.68-5.73 (m, 1H), 7.46-7.55 (m, 4H), 7.58-7.66 (m, 2H), 7.95-8.01 (m, 4H) ppm.



	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	32.740	59289	0.42	1452	0.56	31.233	33.750

2	35.978	13896192	99.58	257736	99.44	34.583	38.333
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### 2-hydroxy-4-phenyl-1-o-tolylbutane-1,4-dione (**5b**)



(**C<sub>17</sub>H<sub>16</sub>O<sub>3</sub>**) a colourless viscous liquid; 89% yield, >99% ee.  $[\alpha]_D^{25} = +9.1$  (*c*

0.460 in CH<sub>2</sub>Cl<sub>2</sub>) HPLC DAICEL CHIRALCEL OJ-H 2-propanol/*n*-hexane =

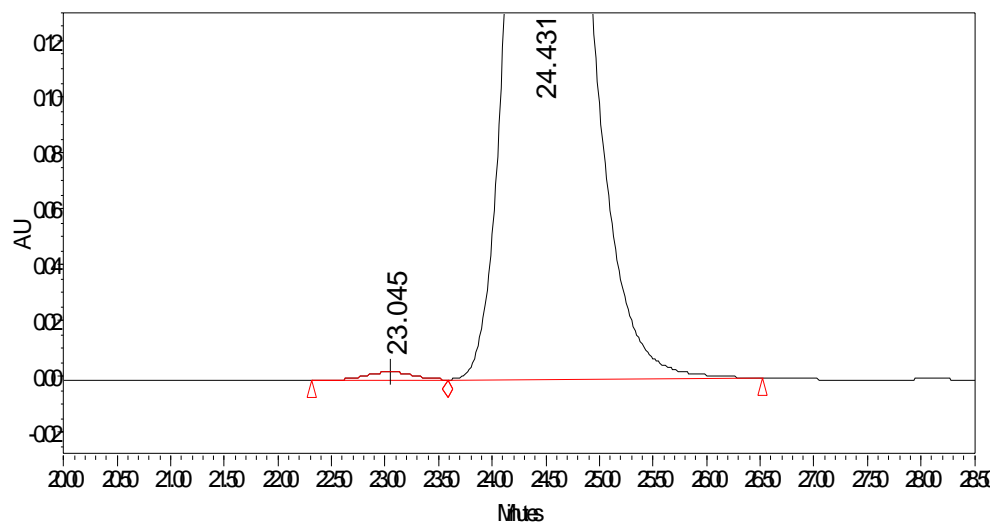
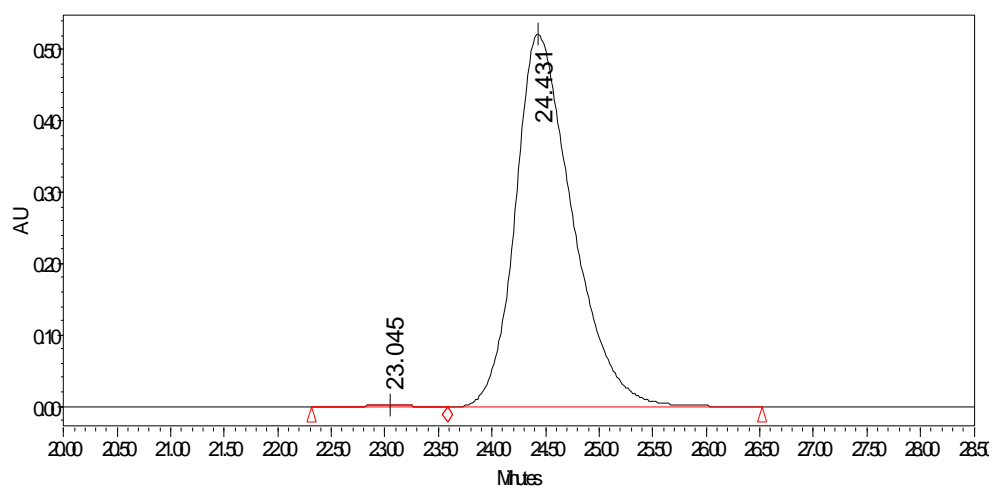
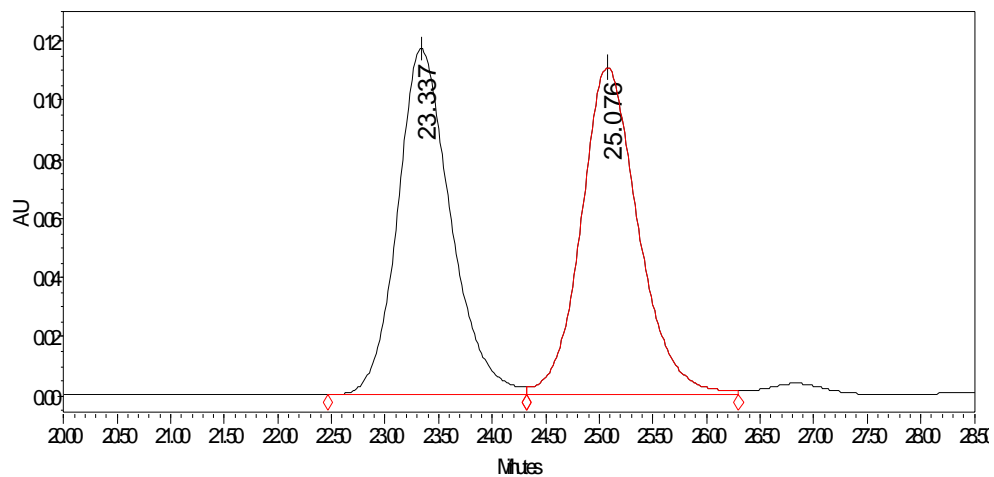
10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 23.1 min (minor) and

24.4 min (major); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 2.42 (s, 3H), 3.37-3.40 (m, 2H), 4.02 (d, *J* = 6.0 Hz, 1H),

5.65-5.69 (m, 1H), 7.36-7.47 (m, 4H), 7.55-7.59 (m, 1H), 7.50-7.80 (m, 2H), 7.93-7.95 (m, 2H) ppm;

<sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 21.4, 43.7, 70.0, 125.9, 128.3, 128.7, 128.8, 129.2, 133.6, 134.8, 136.7,

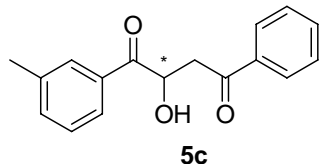
138.9, 197.1, 201.0 ppm; HRMS (ESI-TOF) calcd for C<sub>17</sub>H<sub>16</sub>O<sub>3</sub> ([M-H<sup>+</sup>]) = 267.1021, Found 267.1030.



	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	23.045	93728	0.48	2974	0.57	22.317	23.583

2	24.431	19422004	99.52	523142	99.43	23.583	26.517
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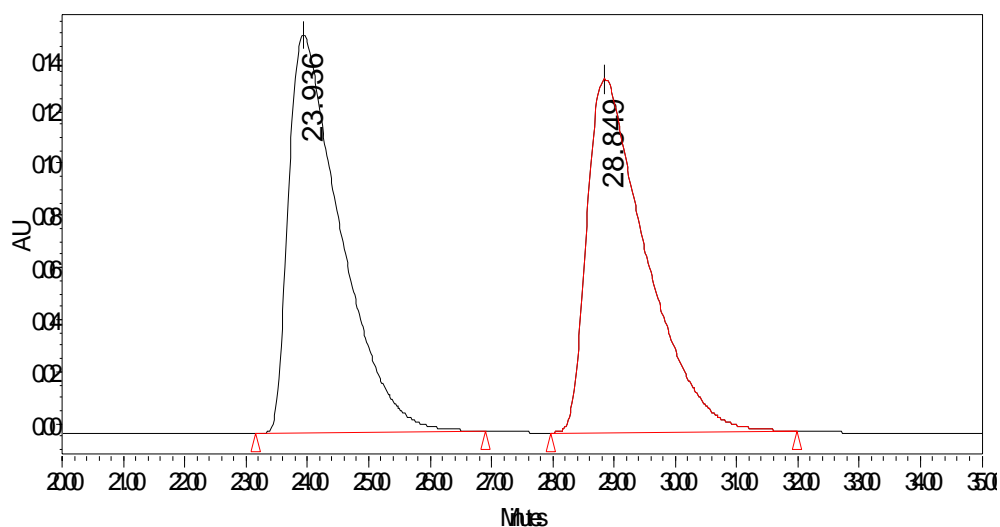
### 2-hydroxy-4-phenyl-1-m-tolylbutane-1,4-dione (**5c**)

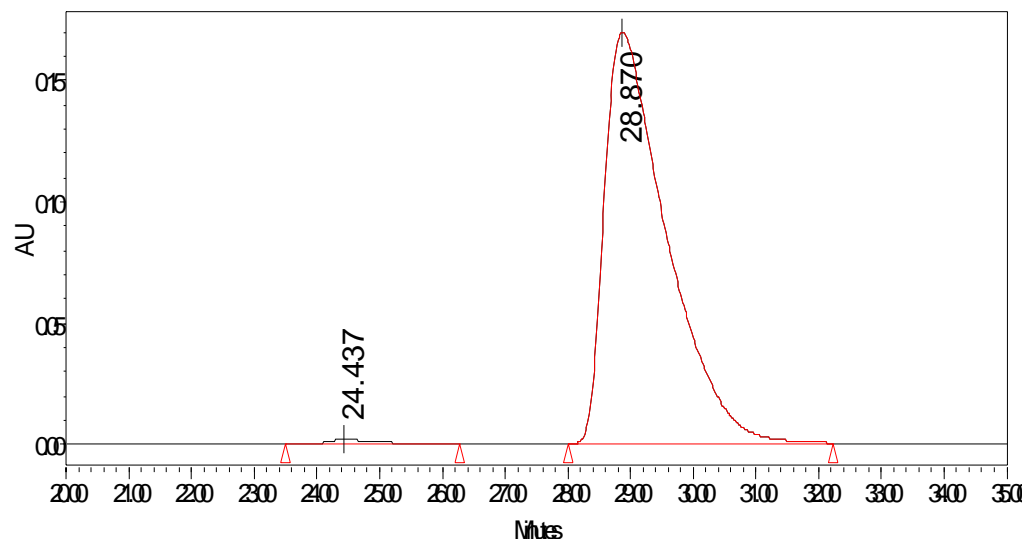


( $C_{17}H_{16}O_3$ ) a colourless viscous liquid; 90% yield, 98% ee.  $[\alpha]_D^{25} = +13.0$  ( $c$  0.432 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/ $n$ -hexane =

10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 24.4 min (minor)

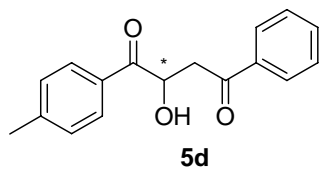
and 28.9 min (major);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 2.57 (s, 3H), 3.30-3.50 (m, 2H), 4.06 (d,  $J = 8.0$  Hz, 1H), 5.45-5.49 (m, 1H), 7.25-7.31 (m, 2H), 7.39-7.45 (m, 3H), 7.54-7.61 (m, 2H), 7.91-7.95 (m, 2H) ppm;  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 20.9, 42.8, 71.2, 125.8, 128.3, 128.4, 128.7, 132.1, 132.3, 133.5, 134.1, 136.6, 139.5, 197.0, 203.9 ppm; HRMS (ESI-TOF) calcd for  $C_{17}H_{16}O_3$  ( $[M-H]^+$ ) = 267.1021, Found 267.1028.





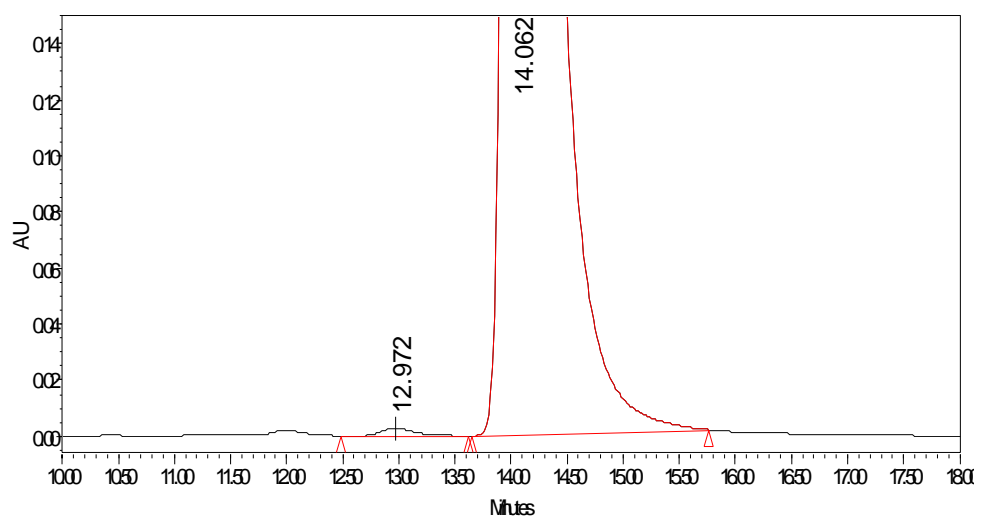
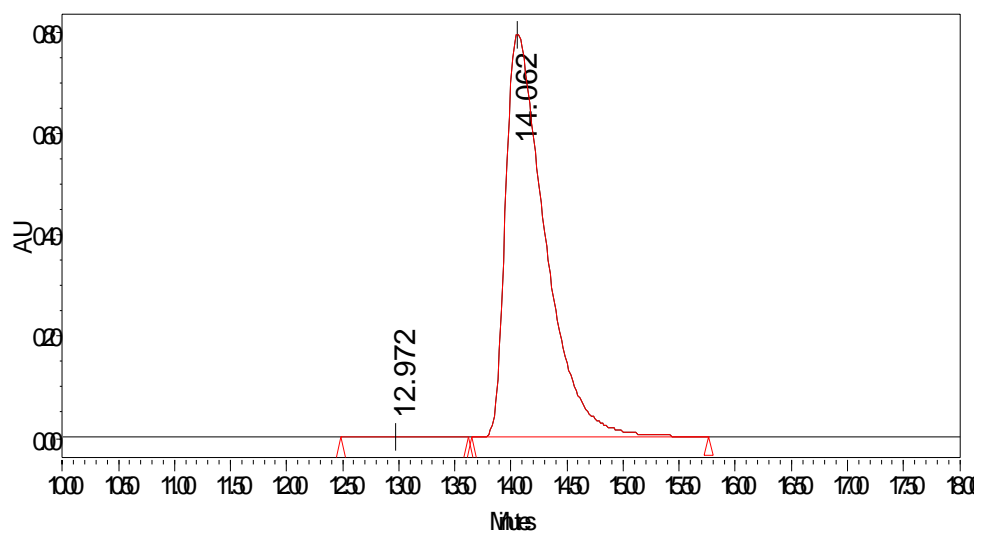
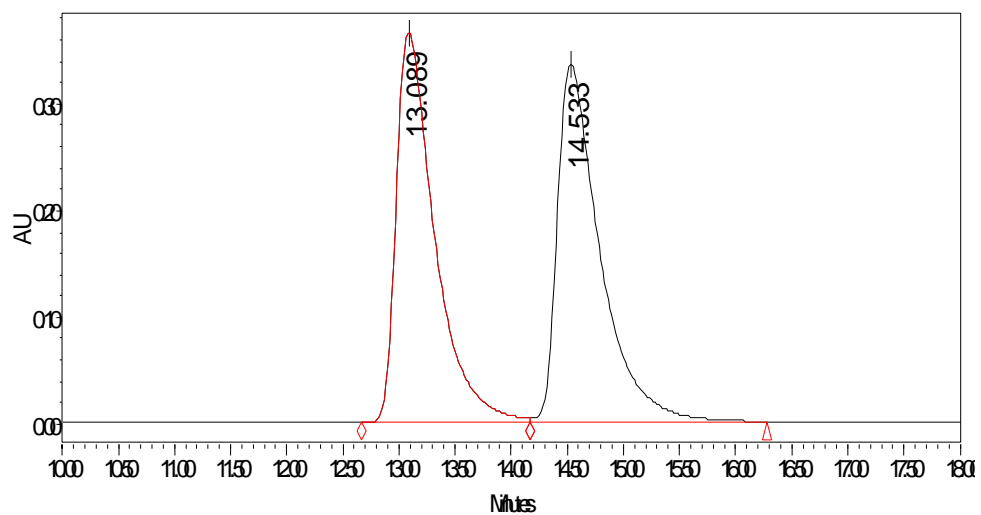
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	24.437	113763	0.96	1942	1.13	23.500	26.283
2	28.870	11688080	99.04	169635	98.87	28.000	32.233

### 2-hydroxy-4-phenyl-1-p-tolylbutane-1,4-dione (**5d**)



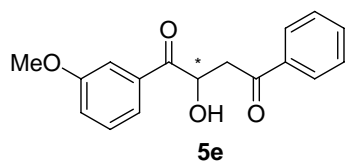
( $C_{17}H_{16}O_3$ ) a white solid; 95% yield, >99% ee.  $[\alpha]_D^{25} = +5.0$  (c 0.450 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL IB, 2-propanol/*n*-hexane = 10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 13.0 min (minor) and

14.1 min (major);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 2.42 (s, 3H), 3.31-3.44 (m, 2H), 4.03 (d,  $J = 6.0$  Hz, 1H), 5.65-5.69 (m, 1H), 7.26-7.30 (m, 2H), 7.43-7.47 (m, 2H), 7.55-7.59 (m, 1H), 7.88-7.95 (m, 4H) ppm;  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 21.8, 43.9, 69.9, 128.3, 128.7, 128.9, 129.7, 130.9, 133.6, 136.7, 145.1, 197.1, 200.4 ppm; HRMS (ESI-TOF) calcd for  $C_{17}H_{16}O_3$  ( $[M-H]^+$ ) = 267.1021, Found 267.1026.



	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	12.972	54950	0.28	2726	0.34	12.483	13.617
2	14.062	19629386	99.72	797618	99.66	13.650	15.767

## 2-hydroxy-1-(3-methoxyphenyl)-4-phenylbutane-1,4-dione (5e)



(**C<sub>17</sub>H<sub>16</sub>O<sub>4</sub>**) a colourless viscous liquid; 92% yield, 99% ee.  $[\alpha]_D^{25} = +26.1$  (*c*

0.430 in CH<sub>2</sub>Cl<sub>2</sub>) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane

= 20/80, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 21.8 min

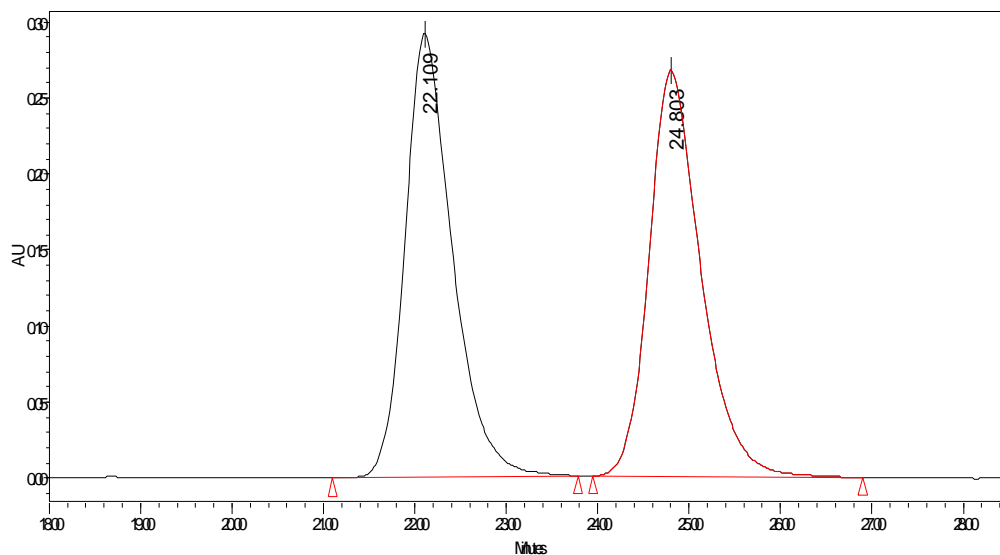
(minor) and 24.0 min (major); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 3.40-3.44 (m, 2H), 3.86 (s, 3H), 4.01 (d, *J* =

6.0 Hz, 1H), 5.63-5.68 (m, 1H), 7.14-7.17 (m, 1H), 7.38-7.47 (m, 3H), 7.52-7.59 (m, 3H), 7.91-7.94 (m,

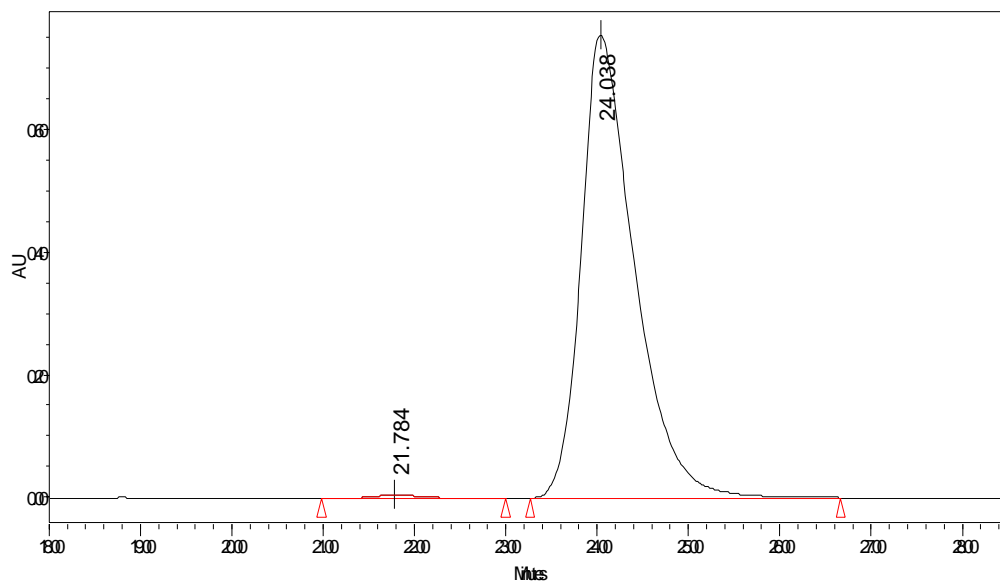
2H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 43.7, 55.5, 70.2, 113.0, 120.5, 121.1, 128.3, 128.7, 130.0, 133.6,

134.9, 136.6, 160.0, 197.1, 200.6 ppm; HRMS (ESI-TOF) calcd for C<sub>17</sub>H<sub>16</sub>O<sub>4</sub> ([M+H<sup>+</sup>]) = 285.1121,

Found 285.1128.

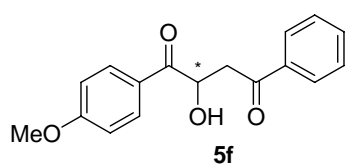






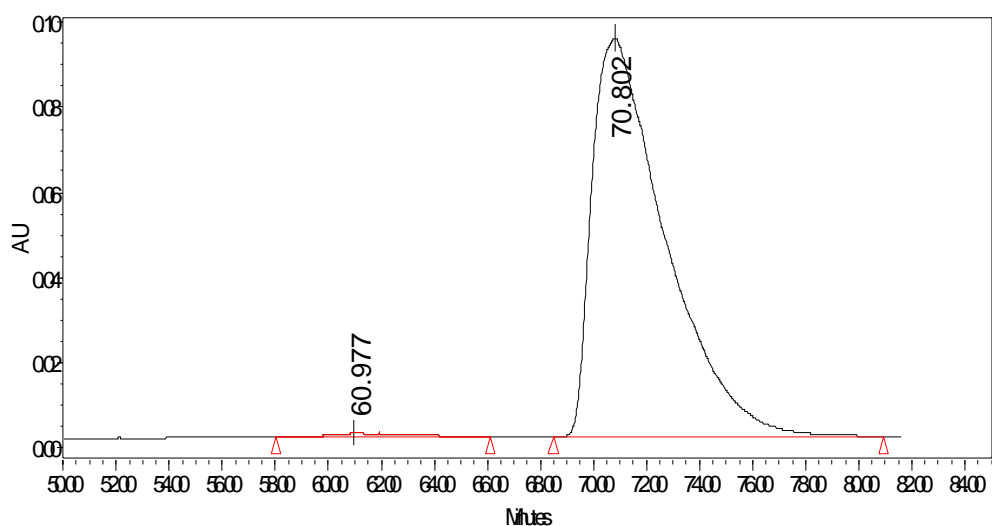
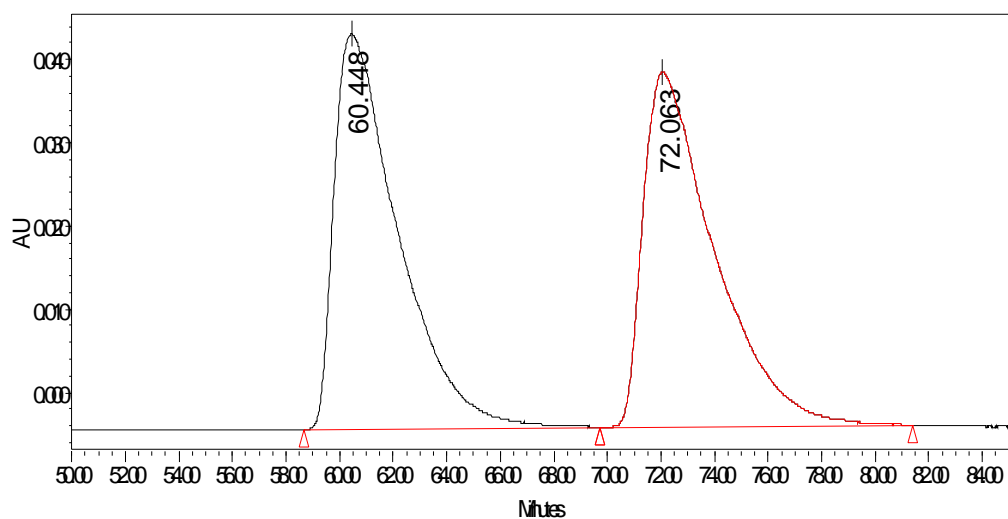
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	21.784	207584	0.67	5783	0.76	20.983	23.067
2	24.038	30720259	99.33	756626	99.24	23.083	26.817

### 2-hydroxy-1-(4-methoxyphenyl)-4-phenylbutane-1,4-dione (**5f**)



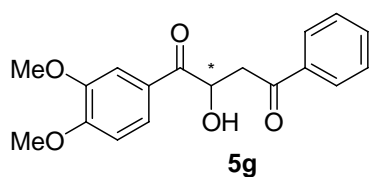
(**C<sub>17</sub>H<sub>16</sub>O<sub>4</sub>**) a white solid; 99% yield, 99% ee.  $[\alpha]_D^{25} = +4.2$  (c 0.480 in CH<sub>2</sub>Cl<sub>2</sub>) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 61.0 min (minor) and

70.8 min (major); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 3.27-3.44 (m, 2H), 3.88 (s, 3H), 4.05 (d, *J* = 6.4 Hz, 1H), 5.64-5.68 (m, 1H), 6.95-6.99 (m, 2H), 7.44-7.47 (m, 2H), 7.55-7.59 (m, 1H), 7.94-8.01 (m, 4H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 44.1, 55.6, 69.7, 114.2, 126.2, 128.4, 128.7, 131.2, 133.5, 136.7, 164.2, 197.3, 199.0 ppm; HRMS (ESI-TOF) calcd for C<sub>17</sub>H<sub>16</sub>O<sub>4</sub> ([M+H<sup>+</sup>]) = 285.1121, Found 285.1125.



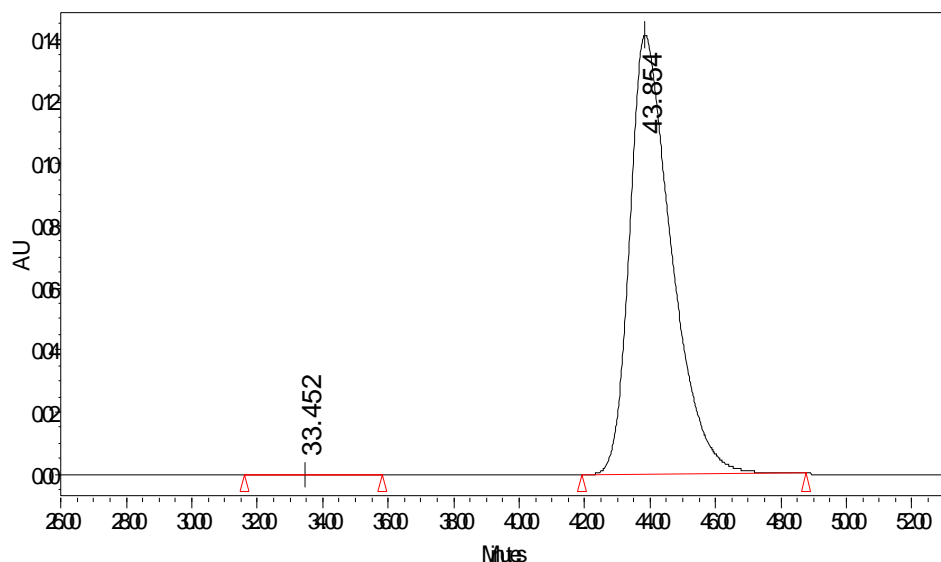
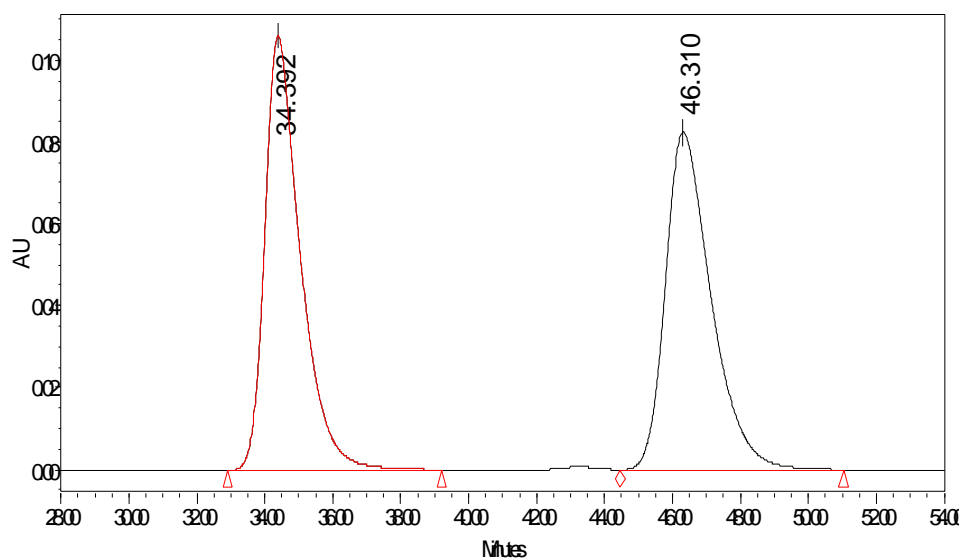
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	60.977	130851	0.72	742	0.78	58.033	66.117
2	70.802	18063773	99.28	94039	99.22	68.483	80.933

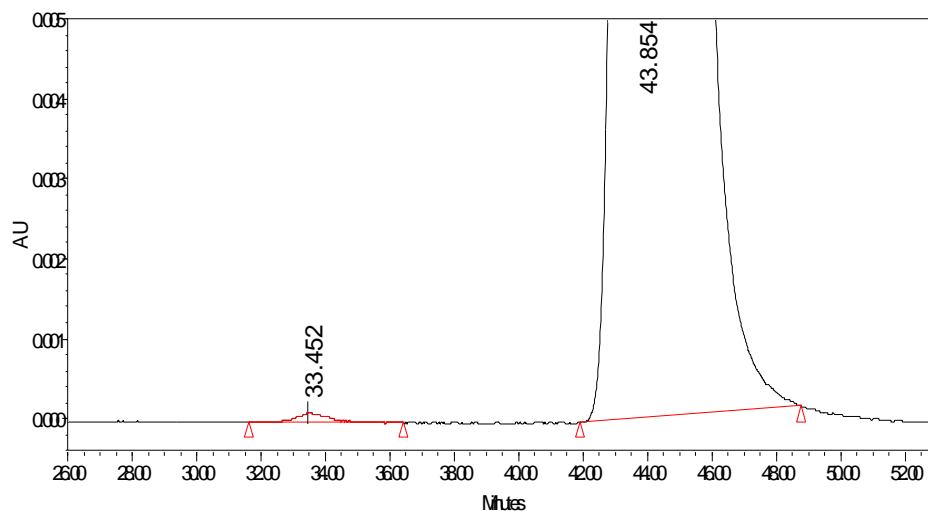
### 2-hydroxy-1-(3,4-dimethoxyphenyl)-4-phenylbutane-1,4-dione (5g)



( $C_{18}H_{18}O_5$ ) a white solid; 92% yield, >99% ee.  $[\alpha]_D^{25} = +29.1$  ( $c$  0.460 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/ $n$ -hexane = 25/85, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 33.5 min

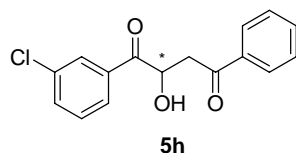
(minor) and 43.9 min (major);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.30-3.46 (m, 2H), 3.94(s, 6H), 4.03 (d,  $J = 5.2$  Hz, 1H), 5.69 (s, 1H), 6.89-6.92 (m, 1H), 7.44-7.48 (m, 2H), 7.55-7.64 (m, 3H), 7.93-7.96 (m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 44.3, 56.1, 56.2, 69.6, 110.3, 110.8, 123.5, 126.3, 128.3, 128.7, 133.6, 136.7, 149.4, 154.1, 197.4, 199.1 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{18}\text{H}_{18}\text{O}_5$  ( $[\text{M}+\text{H}^+]$ ) = 315.1227, Found 315.1237.





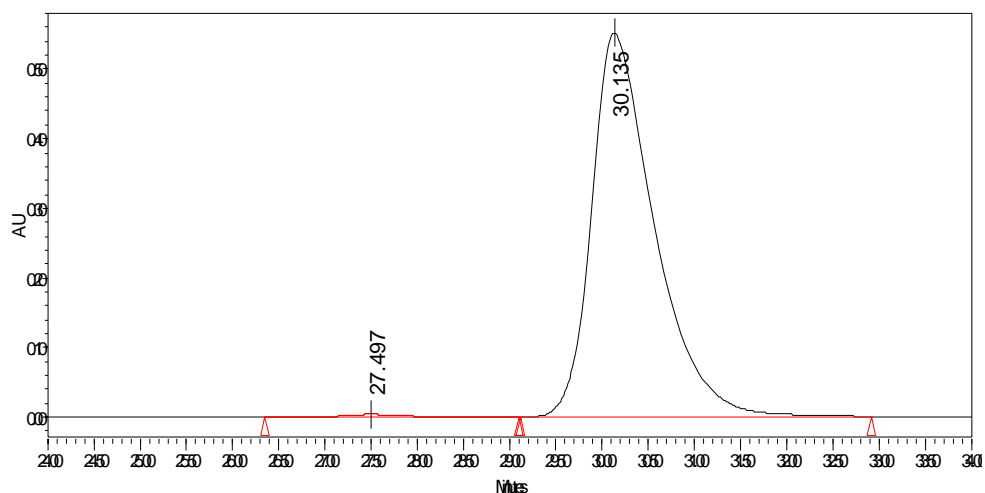
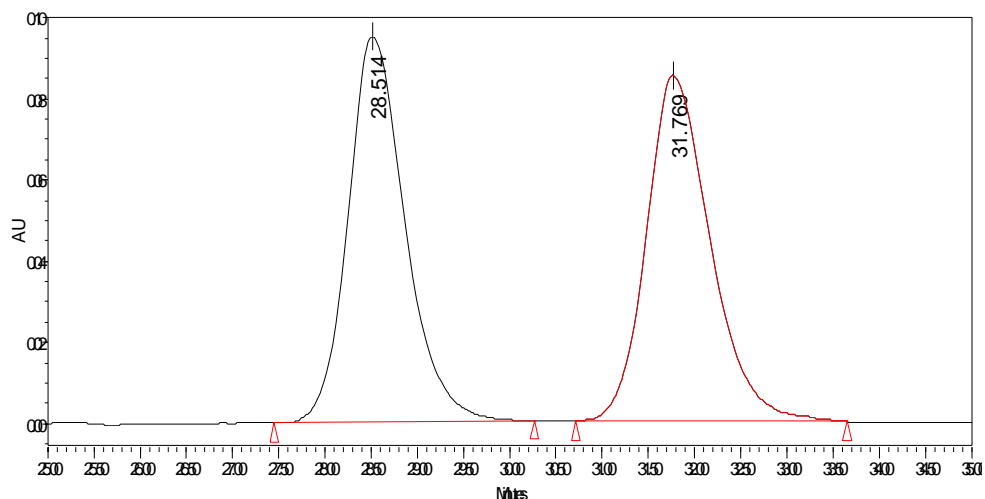
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	33.452	7651	0.06	110	0.08	31.617	36.100
2	43.854	12544045	99.94	141544	99.92	41.917	48.767

### 1-(3-chlorophenyl)-2-hydroxy-4-phenylbutane-1,4-dione (**5h**)



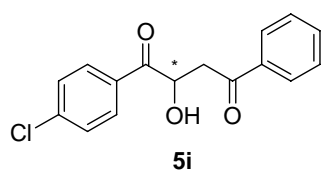
( $C_{16}H_{13}ClO_3$ ) a white solid; 87% yield, 99% ee.  $[\alpha]_D^{25} = +28.0$  (c 0.210 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 10/90,

flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 27.5 min (minor) and 30.1 min (major);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 3.39-3.50 (m, 2H), 4.01 (d,  $J = 5.2$  Hz, 1H), 5.54-5.57 (m, 1H), 7.41-7.51 (m, 3H), 7.56-7.61 (m, 2H), 7.86-7.89 (m, 1H), 7.93-8.00 (m, 3H) ppm;  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 42.9, 70.5, 126.9, 128.3, 128.7, 128.9, 130.2, 133.8, 135.3, 135.5, 136.5, 197.5, 199.5 ppm; HRMS (ESI-TOF) calcd for  $C_{16}H_{13}ClO_3$  ( $[M+Na^+]$ ) = 311.0445, Found 311.0452.



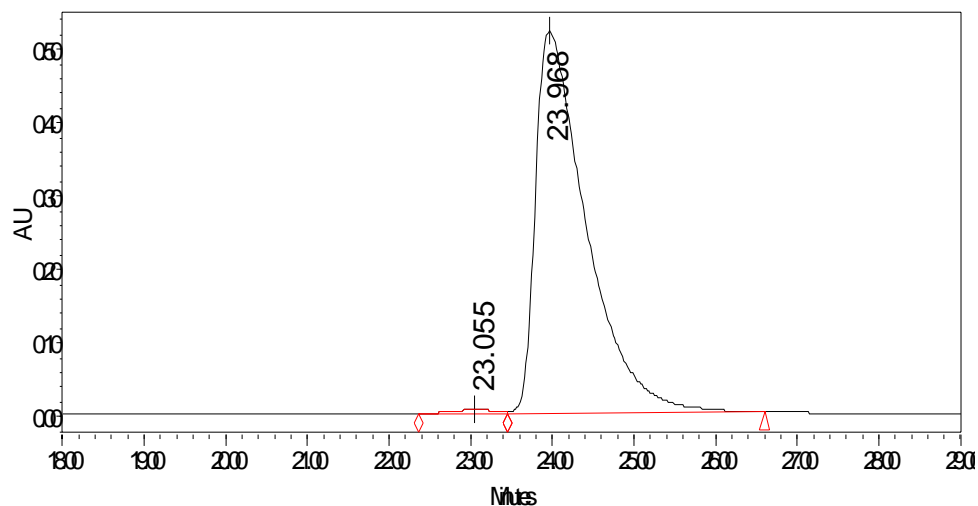
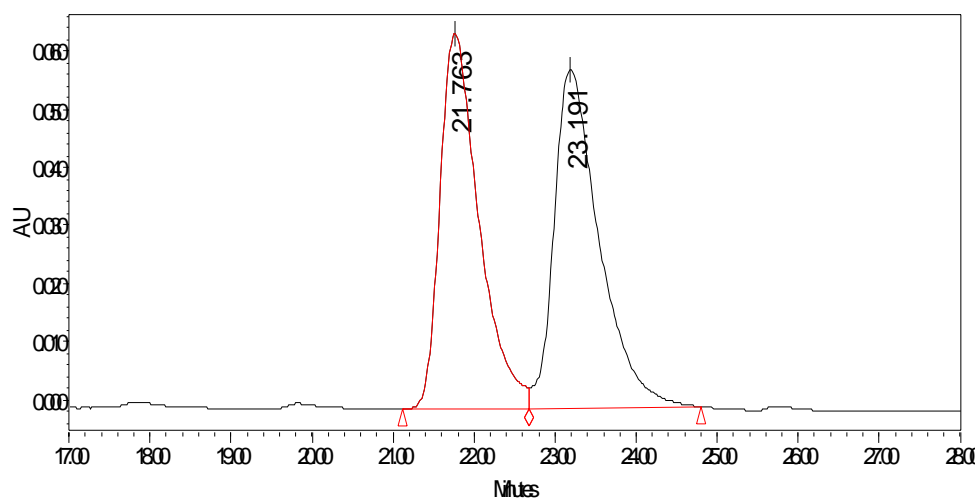
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	27.497	148782	0.57	3636	0.65	26.350	29.100
2	30.135	25984210	99.43	552308	99.35	29.117	32.917

### 1-(4-chlorophenyl)-2-hydroxy-4-phenylbutane-1,4-dione (**5i**)



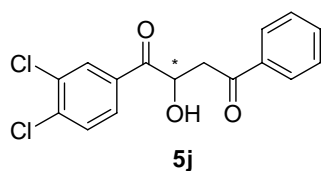
( $C_{16}H_{13}ClO_3$ ) a white solid; 92% yield, 99% ee.  $[\alpha]_D^{25} = +4.5$  (c 0.210 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL IB, 2-propanol/*n*-hexane = 5/95, flow

rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 23.1 min (minor) and 24.0 min (major);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.35-3.49 (m, 2H), 4.02 (d,  $J = 6.0$  Hz, 1H), 5.57-5.60 (m, 1H), 7.42-7.53 (m, 4H), 7.56-7.61 (m, 1H), 7.93-8.03 (m, 4H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 43.1, 70.3, 128.3, 128.7, 129.4, 130.2, 132.2, 133.7, 136.5, 140.4, 197.5, 199.5 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{16}\text{H}_{13}\text{ClO}_3$  ( $[\text{M}+\text{Na}^+]$ ) = 311.0445, Found 311.0446.



	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	23.055	151042	0.67	4042	0.77	22.367	23.450
2	23.968	22470433	99.33	519280	99.23	23.450	26.600

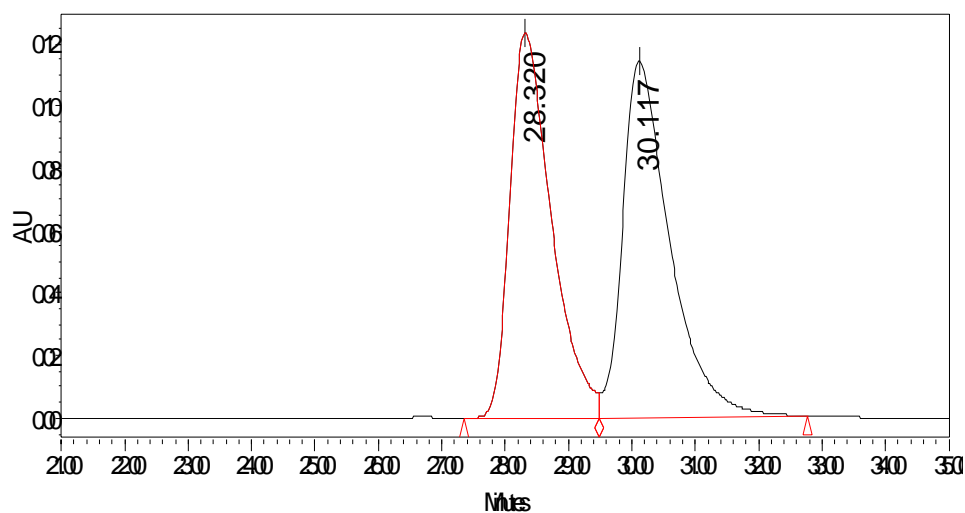
### 1-(3,4-dichlorophenyl)-2-hydroxy-4-phenylbutane-1,4-dione (5j)

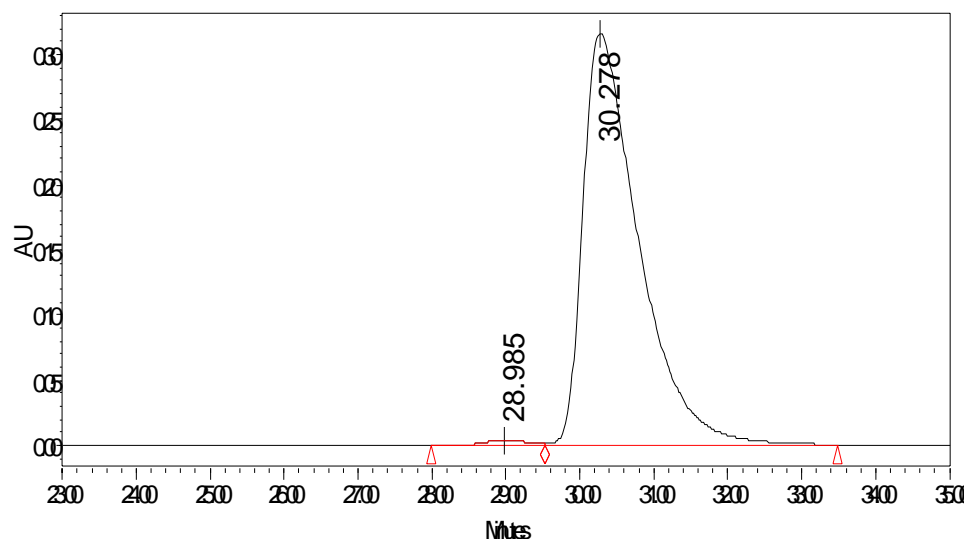


( $C_{16}H_{12}Cl_2O_3$ ) a white solid; 85% yield, 98% ee.  $[\alpha]_D^{25} = +14.2$  ( $c$  0.272 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL IB, 2-propanol/ $n$ -hexane = 5/95, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 29.0 min (minor) and 30.3 min

(major);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 3.42-3.55 (m, 2H), 4.01 (d,  $J = 3.6$  Hz, 1H), 5.48 (s, 1H), 7.46-7.48 (m, 2H), 7.56-7.62 (m, 2H), 7.84-7.86 (m, 1H), 7.94-7.97 (m, 2H), 8.11-8.13 (m, 1H) ppm;

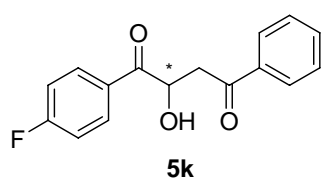
$^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 42.6, 70.7, 127.9, 128.3, 128.8, 130.8, 130.9, 133.7, 133.9, 136.3, 197.8, 198.5 ppm; HRMS (ESI-TOF) calcd for  $C_{16}H_{12}Cl_2O_3$  ( $[M+Na^+]$ ) = 345.0056, Found 345.0057.





	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	28.985	176555	1.05	4238	1.32	27.983	29.533
2	30.278	16589919	98.95	316211	98.68	29.533	33.483

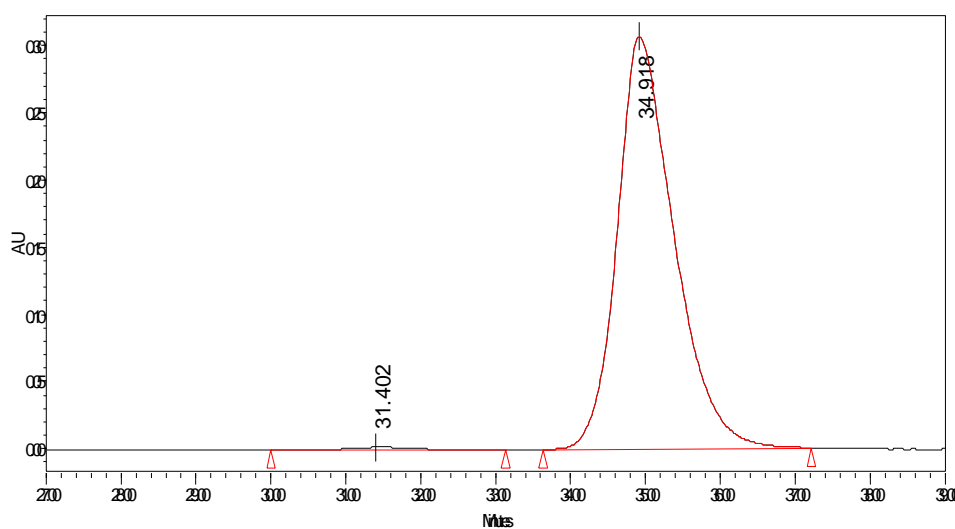
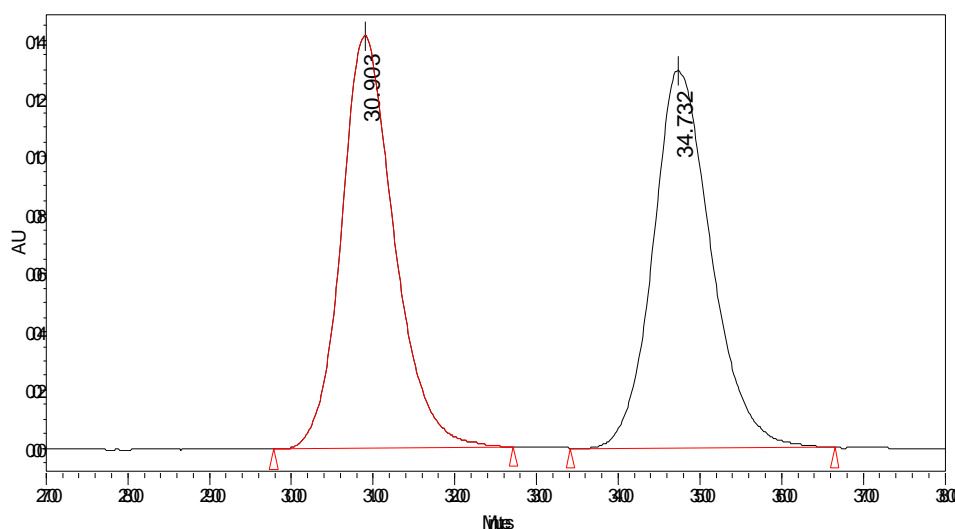
### 1-(4-fluorophenyl)-2-hydroxy-4-phenylbutane-1,4-dione (**5k**)



( $C_{16}H_{13}FO_3$ ) a white solid; 91% yield, 99% ee.  $[\alpha]_D^{25} = +30.1$  ( $c$  0.212 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 31.4 min (minor) and

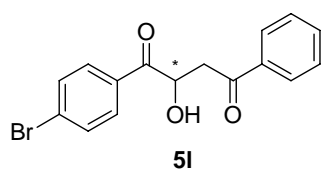
34.9 min (major);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 3.34-3.49 (m, 2H), 4.02 (d,  $J = 6.0$  Hz, 1H), 5.60-5.63 (m, 1H), 7.15-7.26 (m, 2H), 7.45-7.49 (m, 2H), 7.53-7.59 (m, 1H), 7.93-7.96 (m, 2H), 8.04-8.08 (m, 2H) ppm;  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 42.2, 69.2, 115.1(d,  $J_{CF} = 22$  Hz), 127.3, 127.7, 129.1, 130.5 (d,  $J_{CF} = 10$  Hz), 132.7, 135.5, 165.3 (d,  $J_{CF} = 255$  Hz), 196.5, 198.0 ppm; HRMS (ESI-TOF) calcd for  $C_{16}H_{13}FO_3$  ( $[M+Na^+]$ ) = 295.0741, Found 295.0738.





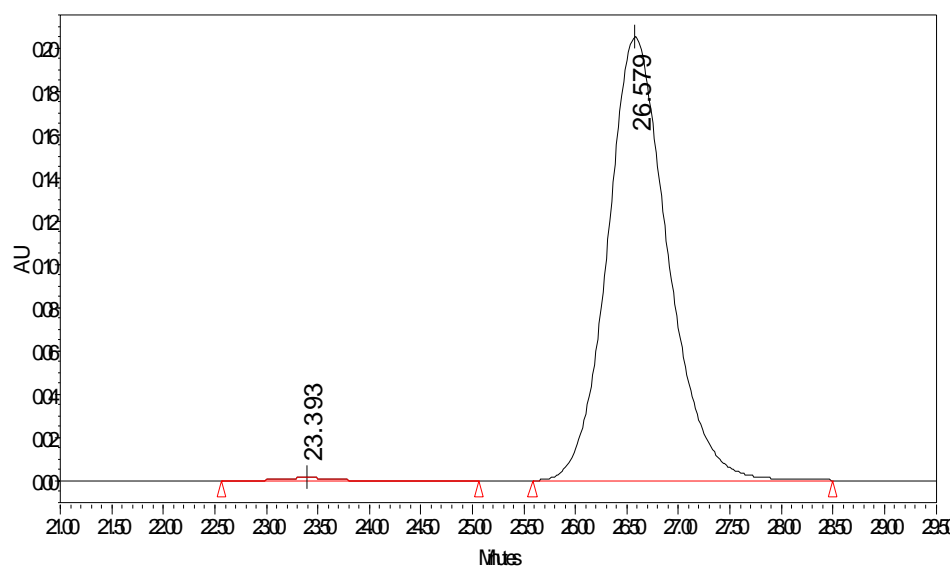
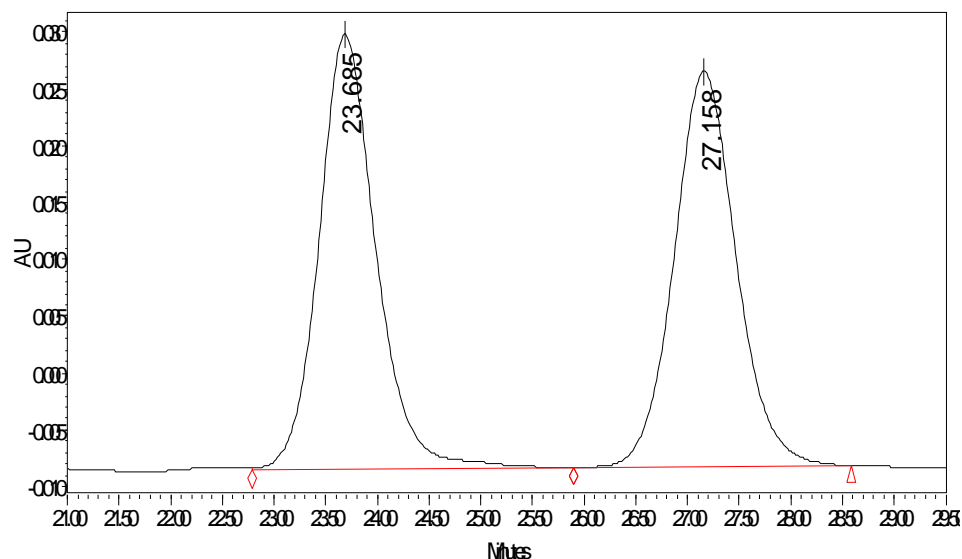
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	31.402	85073	0.52	1531	0.50	30.000	33.133
2	34.918	16184107	99.48	307230	99.50	33.633	37.217

### 1-(4-bromophenyl)-2-hydroxy-4-phenylbutane-1,4-dione (**5I**)



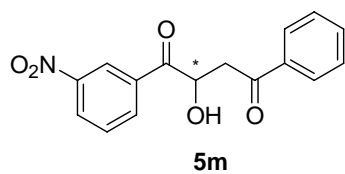
( $C_{16}H_{13}BrO_3$ ) a white solid; 82% yield, 99% ee.  $[\alpha]_D^{25} = -5.0$  ( $c$  0.400 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/ $n$ -hexane = 15/85, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 23.4 min (minor) and

26.5 min (major);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.35-3.50 (m, 2H), 4.01 (d,  $J = 6.0$  Hz, 1H), 5.54-5.59 (m, 1H), 7.44-7.48 (m, 2H), 7.56-7.58 (m, 1H), 7.59-7.61 (m, 2H), 7.86-7.89 (m, 2H), 7.90-7.95 (m, 2H) ppm.



	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	23.393	50205	0.60	1347	0.65	22.567	25.067
2	26.579	8321552	99.40	204945	99.35	25.583	28.500

**2-hydroxy-1-(3-nitrophenyl)-4-phenylbutane-1,4-dione (5m)**



(**C<sub>16</sub>H<sub>13</sub>NO<sub>5</sub>**) a colourless viscous liquid; 80% yield, >99% ee.  $[\alpha]_D^{25} = +17.6$

(*c* 0.340 in CH<sub>2</sub>Cl<sub>2</sub>) HPLC DAICEL CHIRALCEL AS-H,

2-propanol/*n*-hexane = 15/85, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention

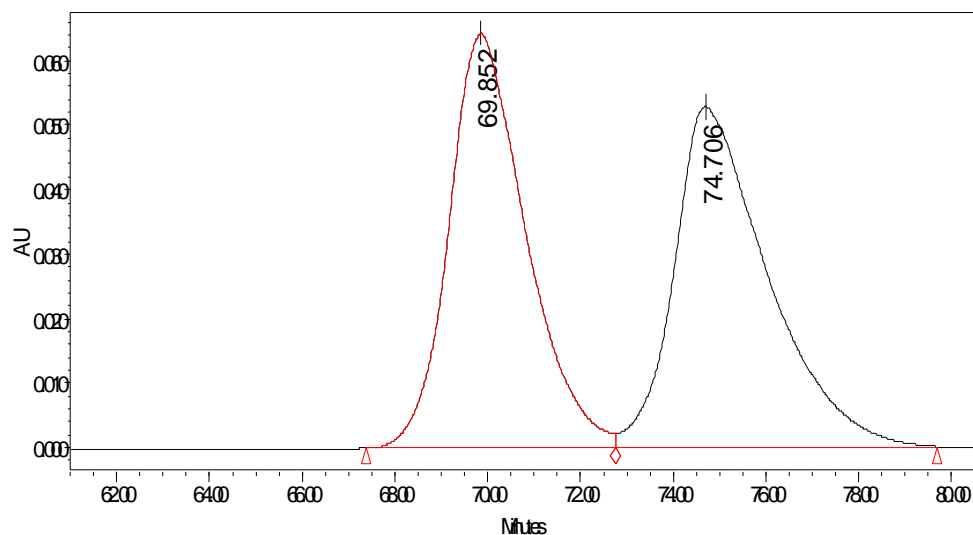
time: 68.4min (minor) and 72.6 min (major); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 3.56-3.58 (m, 2H), 4.11 (d, *J*

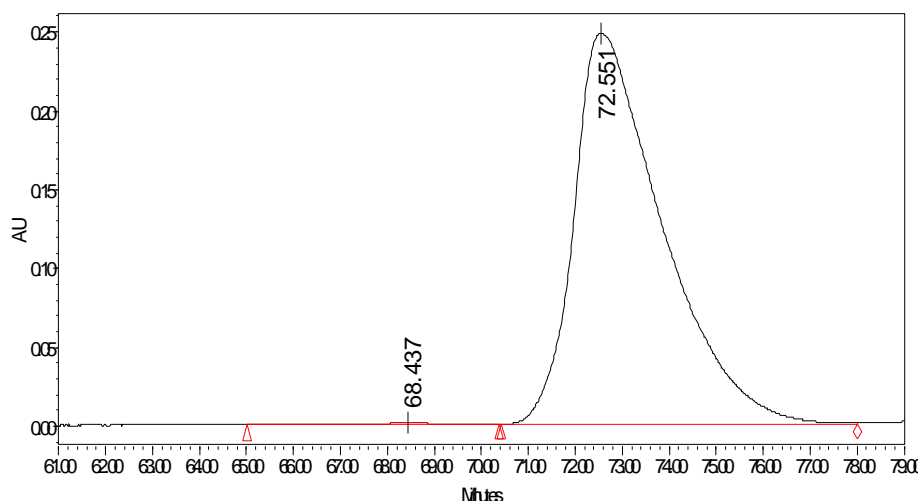
= 6.8 Hz, 1H), 5.46-5.53 (m, 1H), 7.46-7.50 (m, 2H), 7.60-7.69 (m, 1H), 7.71-7.73 (m, 1H), 7.95-7.96

(m, 2H), 8.36-8.39 (m, 1H), 8.42-8.45 (m, 1H), 8.89 (s, 1H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 42.1,

71.3, 123.9, 127.7, 128.3, 128.8, 130.0, 134.0, 134.6, 135.9, 136.3, 148.5, 198.3, 198.5 ppm; HRMS

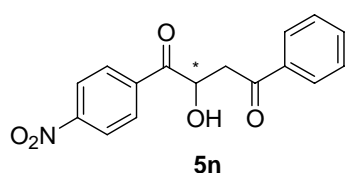
(ESI-TOF) calcd for C<sub>16</sub>H<sub>13</sub>NO<sub>5</sub> ([M+Na<sup>+</sup>]) = 322.0686, Found 322.0695.





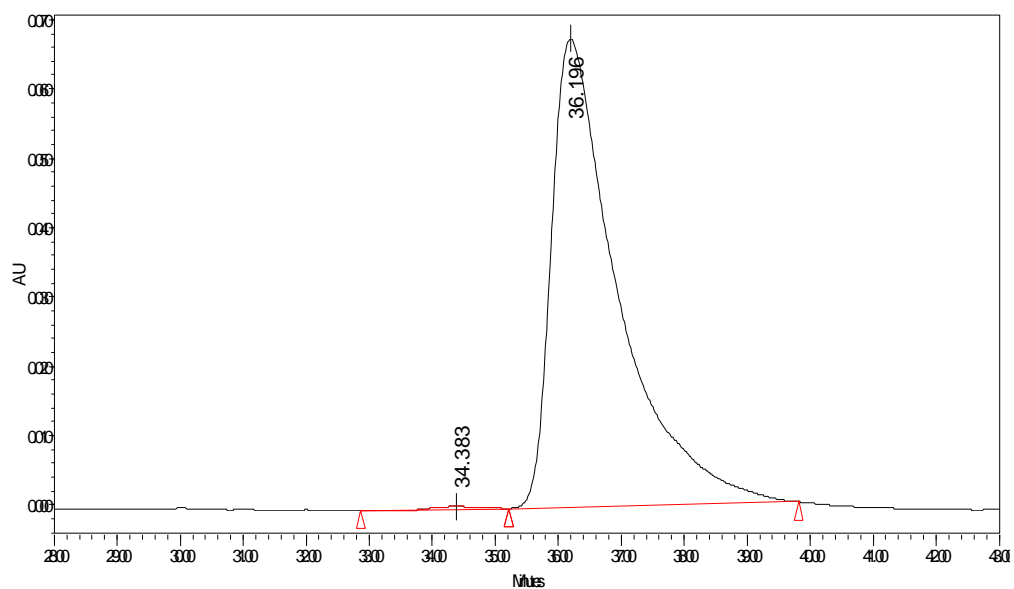
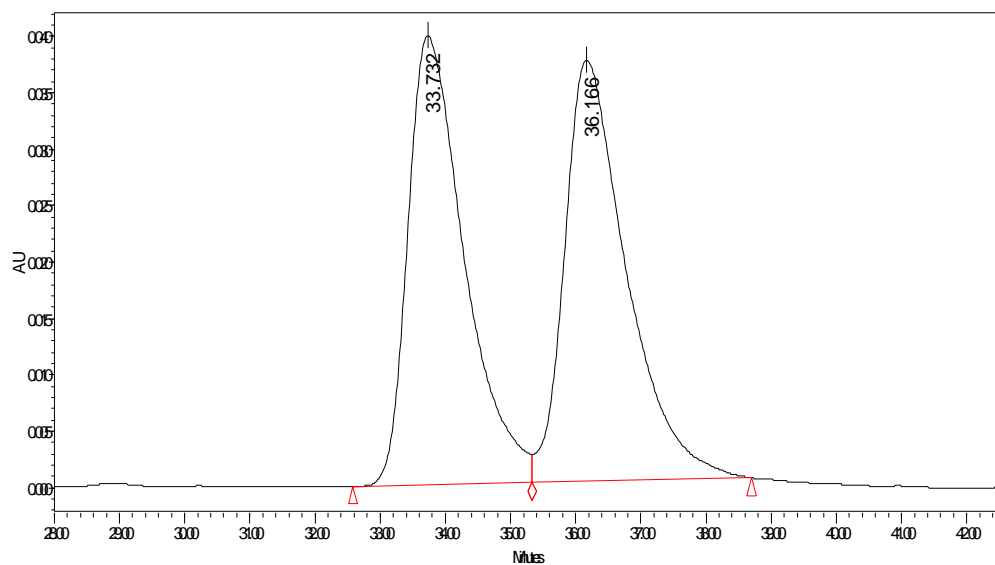
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	68.437	87204	0.27	905	0.36	65.017	70.383
2	72.551	32587128	99.73	248212	99.64	70.433	78.000

### 2-hydroxy-1-(4-nitrophenyl)-4-phenylbutane-1,4-dione (**5n**)



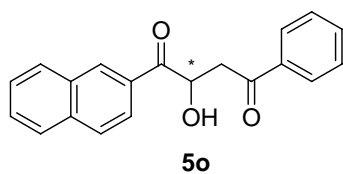
(**C<sub>16</sub>H<sub>13</sub>NO<sub>5</sub>**) a white solid; 72% yield, 99% ee.  $[\alpha]_D^{25} = +5.8$  (*c* 0.160 in  $\text{CH}_2\text{Cl}_2$ ) HPLC DAICEL CHIRALCEL IB, 2-propanol/*n*-hexane = 10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 34.4 min (minor) and

36.2 min (major);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.53-3.55 (m, 2H), 4.03 (d,  $J = 6.8$  Hz, 1H), 5.47-5.52 (m, 1H), 7.46-7.51 (m, 2H), 7.59-7.63 (m, 1H), 7.94-7.96 (m, 2H), 8.18-8.20 (m, 2H), 8.32-8.35 (m, 1H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 42.2, 71.3, 123.9, 128.3, 128.8, 130.0, 134.0, 136.2, 139.2, 150.5, 198.1, 199.4 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{16}\text{H}_{13}\text{NO}_5$  ( $[\text{M}+\text{Na}^+]$ ) = 322.0686, Found 322.0692.



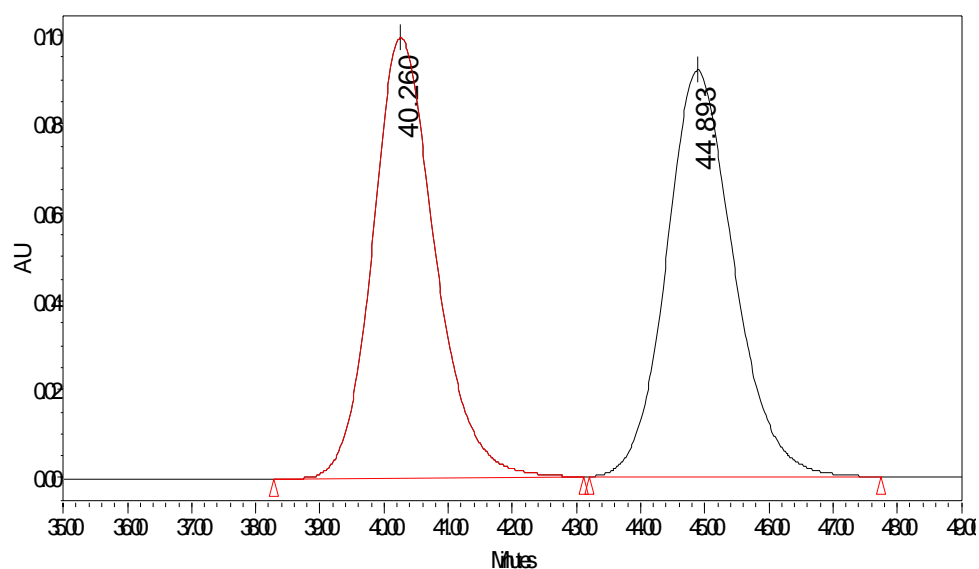
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	34.383	20635	0.41	393	0.58	32.867	35.217
2	36.196	4957682	99.59	67551	99.42	35.217	39.817

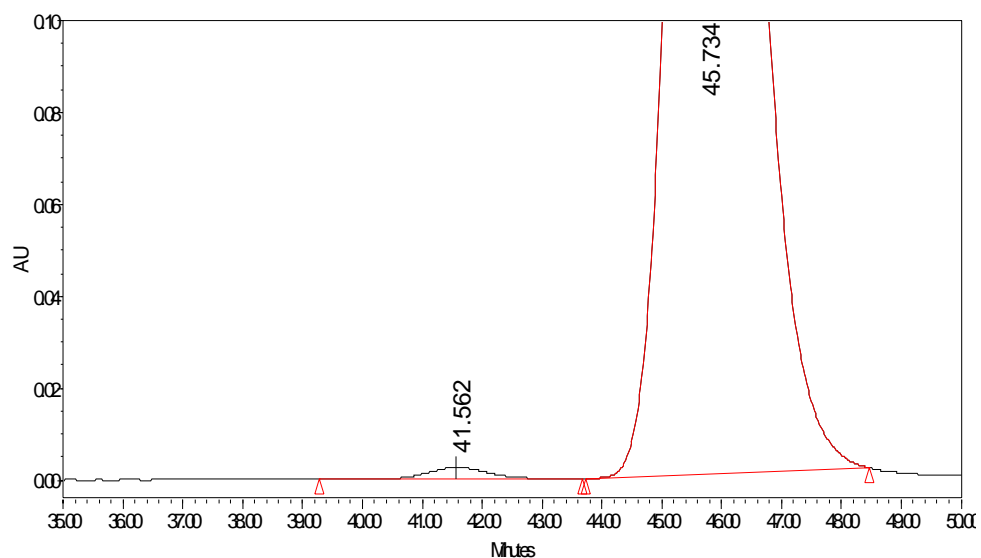
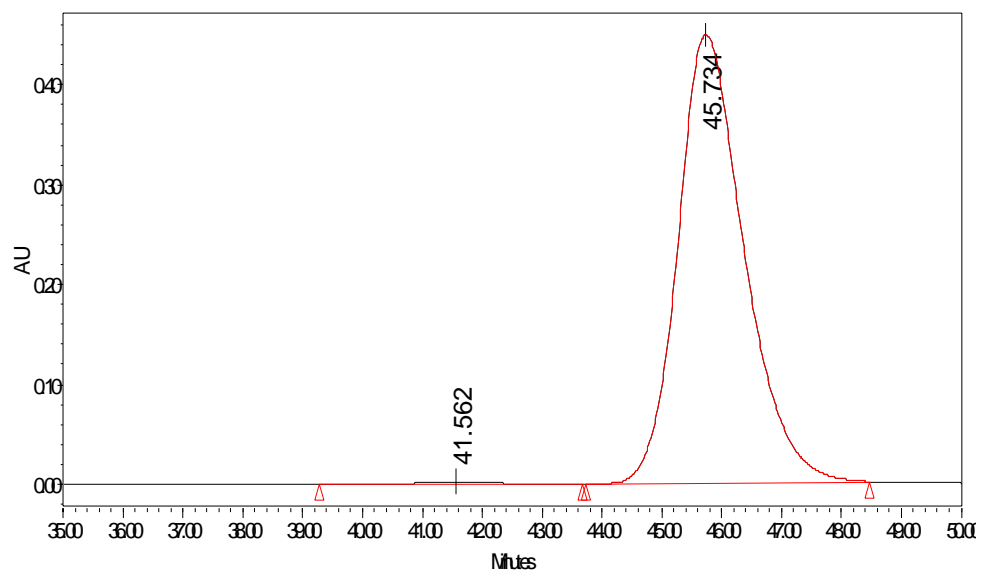
**2-hydroxy-1-(naphthalen-2-yl)-4-phenylbutane-1,4-dione (5o)**



( $C_{20}H_{16}O_3$ ) a white solid; 86% yield, 99% ee.  $[\alpha]_D^{25} = -36.9$  ( $c$  0.260 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/ $n$ -hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 41.6 min (minor) and

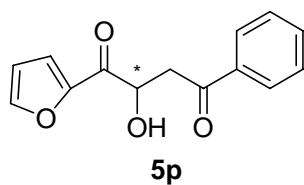
45.7 min (major);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 3.43-3.51 (m, 2H), 4.10 (d,  $J = 4.0$  Hz, 1H), 5.83-5.85 (m, 1H), 7.44-7.46 (m, 2H), 7.55-7.64 (m, 3H), 7.90-8.06 (m, 6H), 8.55 (s, 1H) ppm;  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 43.8, 70.2, 124.1, 127.1, 127.9, 128.4, 128.7, 128.9, 129.0, 129.8, 130.7, 130.9, 132.4, 133.6, 135.9, 136.7, 197.3, 200.8 ppm; HRMS (ESI-TOF) calcd for  $C_{20}H_{16}O_3$  ( $[M+H]^+$ ) = 305.1172, Found 305.1180.





	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	41.562	178077	0.51	2536	0.56	39.283	43.583
2	45.734	34574217	99.49	448607	99.44	43.733	48.767

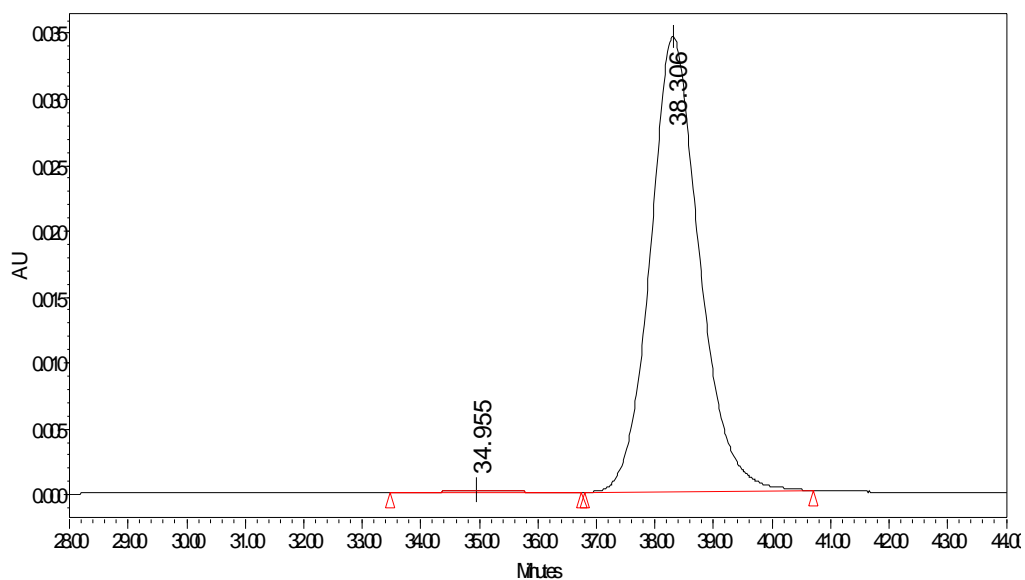
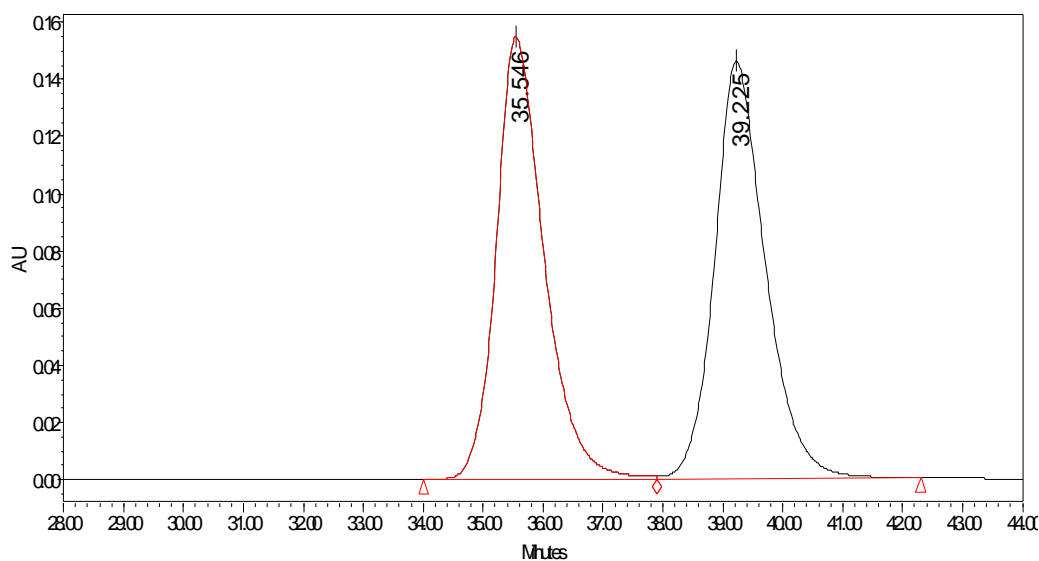
### 1-(furan-2-yl)-2-hydroxy-4-phenylbutane-1,4-dione (5p)



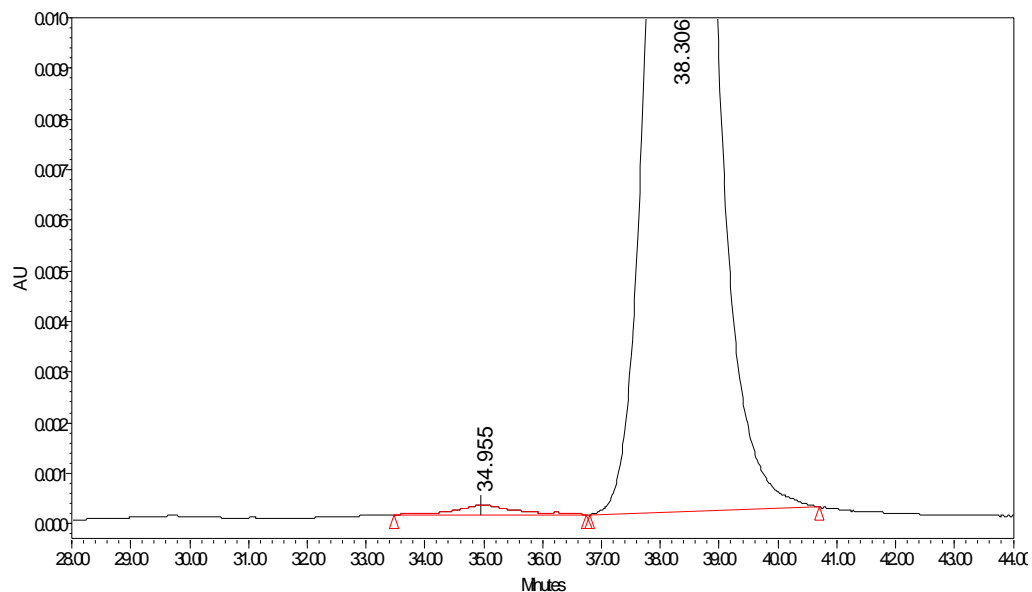
( $C_{14}H_{12}O_4$ ) a white solid; 75% yield, 99% ee.  $[\alpha]_D^{29} = +5.0$  (c 0.180 in  $CH_2Cl_2$ )

HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 15/85, flow rate =

1.0 mL/min,  $\lambda = 254$  nm, retention time: 35.0 min (minor) and 38.3 min (major);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.46-3.60 (m, 2H), 3.90 (d,  $J = 6.0$  Hz, 1H), 5.30-5.34 (m, 1H), 6.59-6.60 (m, 1H), 7.45-7.50 (m, 3H), 7.56-7.63 (m, 2H), 7.96-7.98 (m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 43.1, 70.8, 112.7, 119.7, 128.3, 128.7, 133.6, 136.5, 147.1, 150.3, 188.8, 197.5 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{14}\text{H}_{12}\text{O}_4$  ( $[\text{M}+\text{Na}^+]$ ) = 267.0628, Found 67.0656.

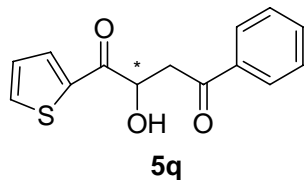






	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	34.955	13858	0.69	194	0.56	33.467	36.750
2	38.306	2008563	99.31	34539	99.44	36.800	40.700

### 2-hydroxy-4-phenyl-1-(thiophen-2-yl)butane-1,4-dione (**5q**)



( $C_{14}H_{12}SO_3$ ) a white solid; 86% yield, 98% ee.  $[\alpha]_D^{25} = +58.6$  (*c* 0.380 in

$CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 10/90,

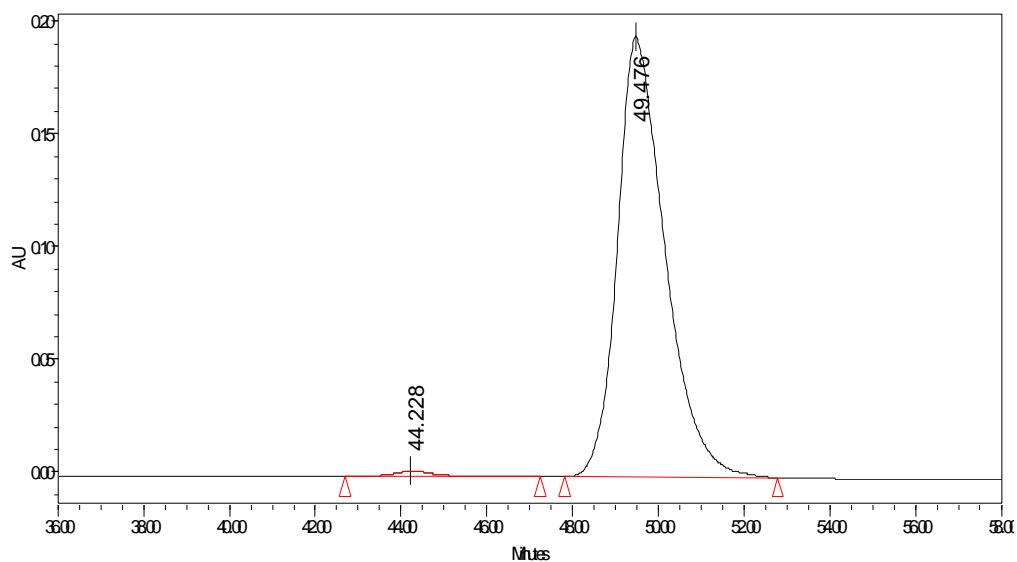
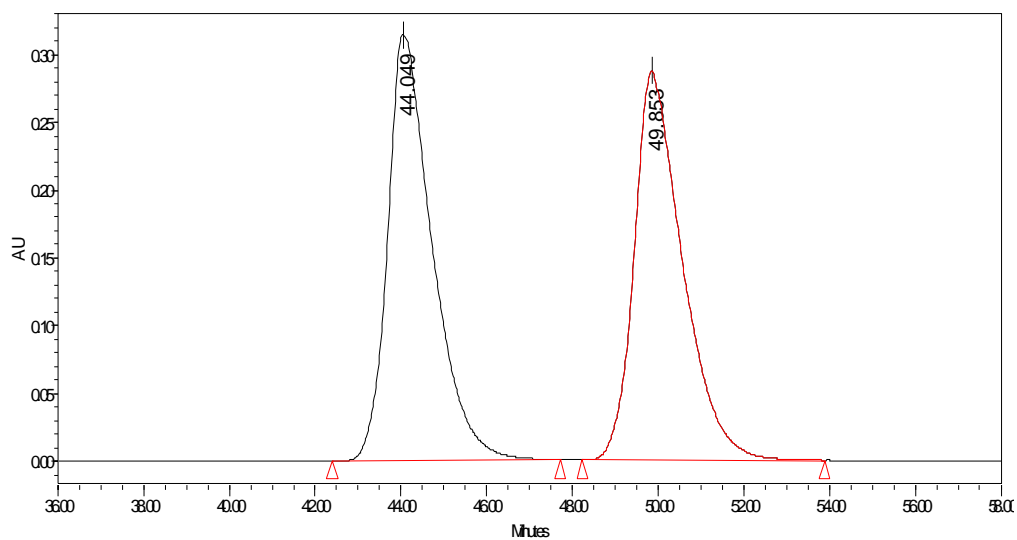
flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 44.2 min (minor) and 49.5

min (major);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 3.43-3.57 (m, 2H), 3.98 (d,  $J = 4.4$  Hz, 1H), 5.41-5.43 (m,

1H), 7.16-7.18 (m, 1H), 7.46-7.49 (m, 2H), 7.58-7.60 (m, 1H), 7.71-7.73 (m, 1H), 7.94-7.97 (m, 3H)

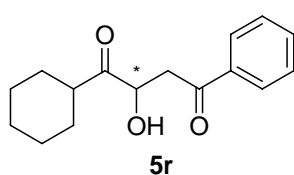
ppm;  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 43.7, 71.6, 128.3, 128.4, 128.8, 133.8, 135.0, 136.5, 139.9, 193.1,

198.0 ppm; HRMS (ESI-TOF) calcd for  $C_{14}H_{12}SO_3$  ( $[M+Na^+]$ ) = 283.0399, Found 283.0387.



	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	44.228	173672	1.14	2555	1.29	42.700	47.250
2	49.476	15103936	98.86	195580	98.71	47.817	52.783

### 1-cyclohexyl-2-hydroxy-4-phenylbutane-1,4-dione (5r)



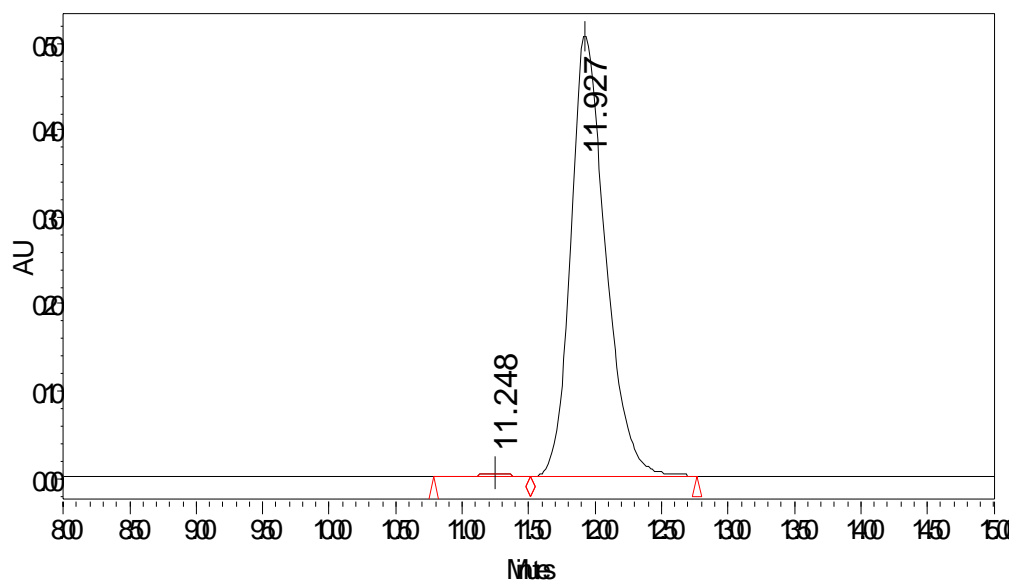
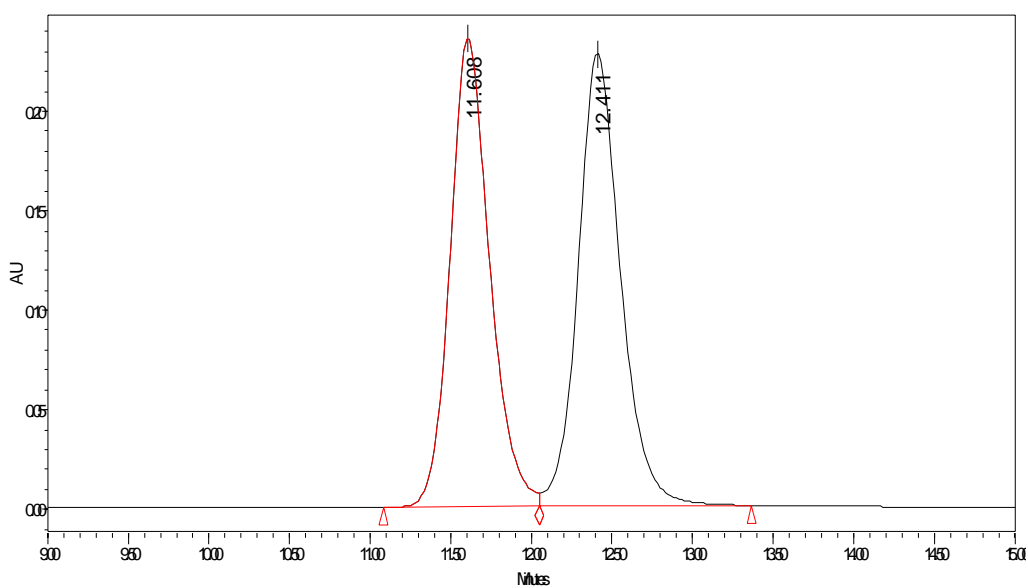
5r

( $C_{16}H_{20}O_3$ ) a white solid; 70% yield, 99% ee.  $[\alpha]_D^{25} = -4.6$  ( $c$  0.258 in  $CH_2Cl_2$ )

HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 10/90, flow rate =

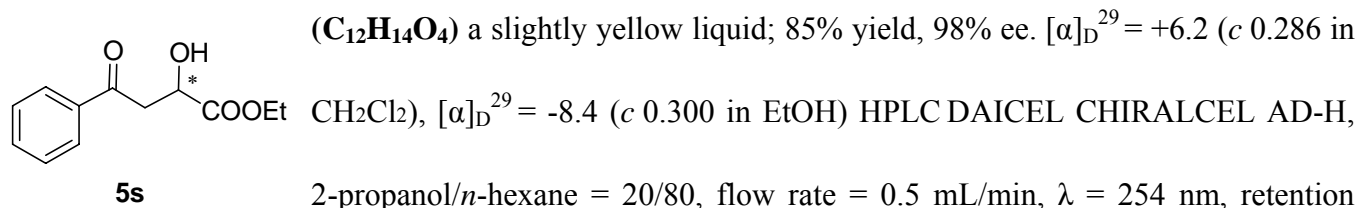
1.0 mL/min,  $\lambda = 254$  nm, retention time: 11.2 min (minor) and 11.9 min (major);

$^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 1.24-1.38 (m, 5H), 1.41-1.52 (m, 1H), 1.59-1.87 (m, 4H), 2.80-2.82 (m, 1H), 3.34-3.54 (m, 2H), 3.82 (d,  $J_1 = 6.8$  Hz, 1H), 4.70-4.71 (m, 1H), 7.26-7.50 (m, 2H), 7.58-7.62 (s, 1H), 7.94-7.97 (m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 25.4, 25.7, 25.8, 28.1, 29.2, 41.8, 45.8, 72.0, 128.3, 128.7, 133.7, 136.5, 198.3, 214.5 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{16}\text{H}_{20}\text{O}_3$  ( $[\text{M}+\text{Na}^+]$ ) = 283.1305, Found 283.1315.



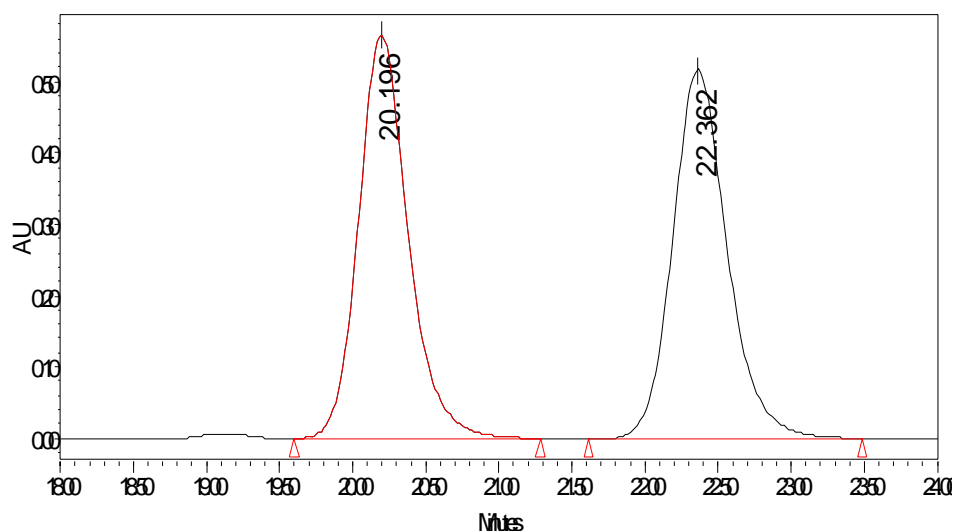
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	11.248	69610	0.75	4869	0.95	10.783	11.517
2	11.927	9215727	99.25	508244	99.05	11.517	12.767

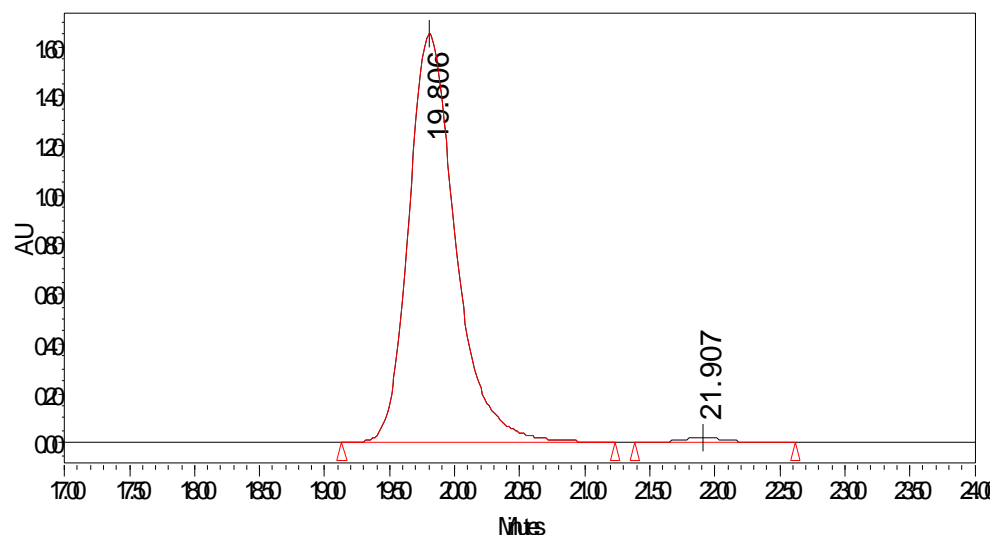
**(S) 2-Hydroxy-4-oxo-4-phenyl-butyrac acid ethyl ester (5s)**



time: 19.8 min (major) and 21.9 min (minor);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 1.25-1.31 (m, 3H), 3.36 (s, 1H), 3.43-3.50 (dd,  $J = 17.6, 4.0$  Hz, 1H), 3.50-3.57 (dd,  $J = 17.6, 6.0$  Hz, 1H), 4.24-4.30 (m, 2H), 4.65-4.67 (m, 1H), 7.46-7.50 (m, 2H), 7.57-7.60 (m, 1H), 7.94-7.97 (m, 2H) ppm.

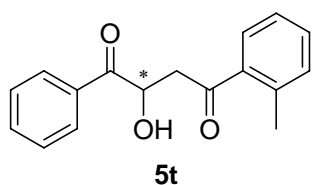
The absolute configuration was determined by the comparison of HPLC retention time and the optical rotation.<sup>[2a, 4]</sup>





	Retention Time	Peak Type	Area	% Area	Height	% Height
1	19.806	Unknown	41228525	98.97	1650494	98.92
2	21.907	Unknown	427257	1.03	17951	1.08

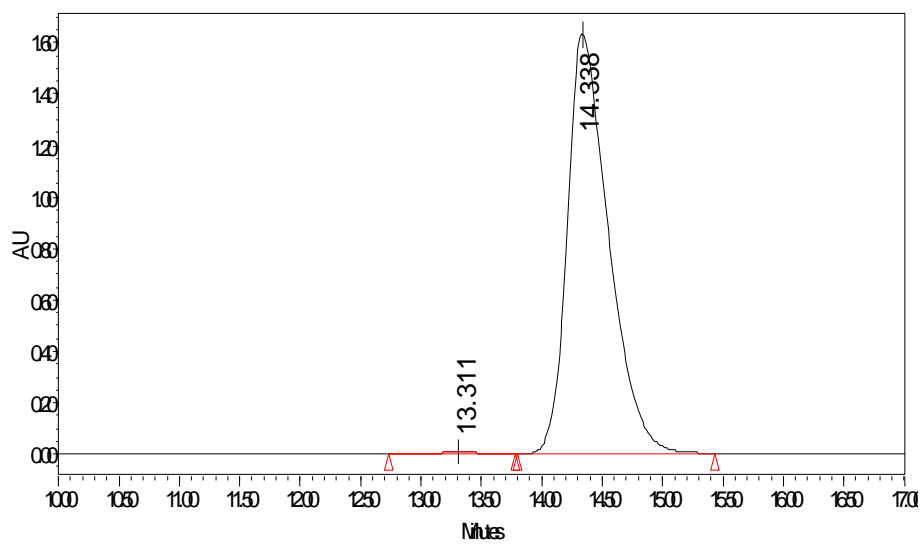
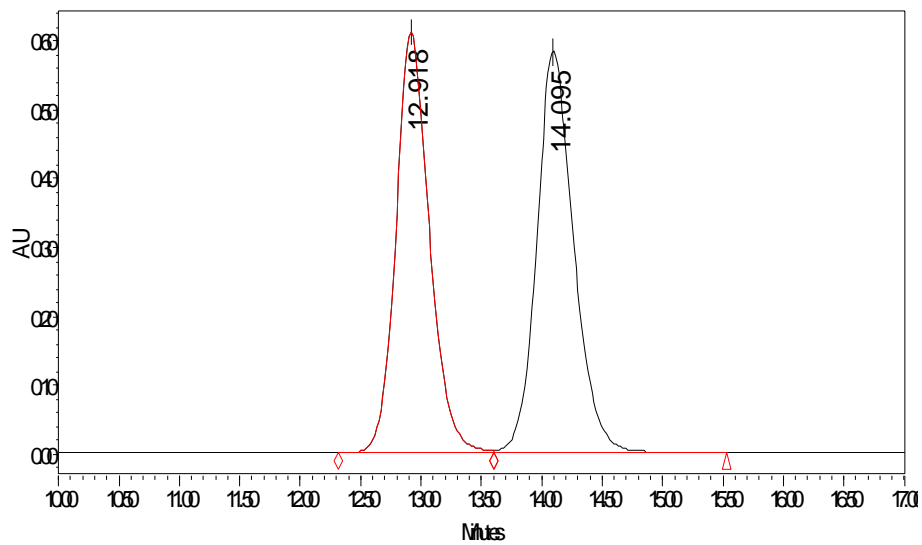
### 2-hydroxy-1-phenyl-4-o-tolylbutane-1,4-dione (**5t**)

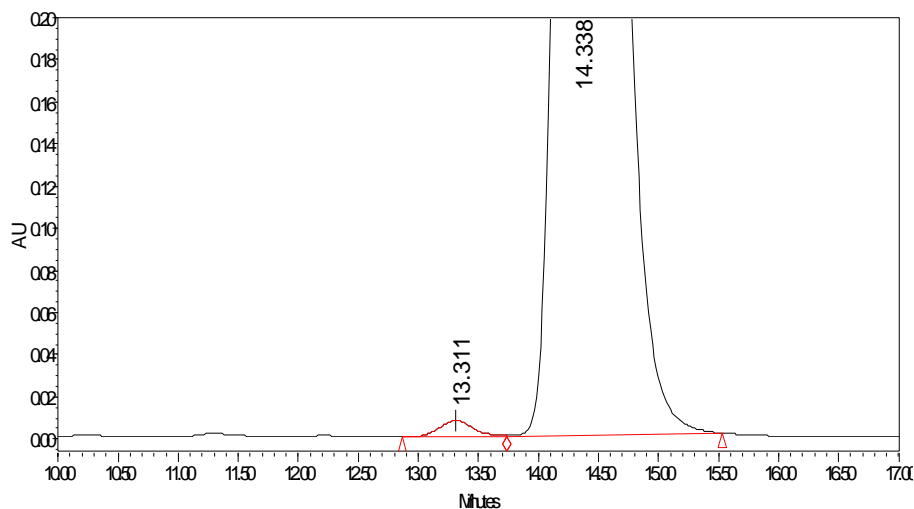


**5t**

(**C<sub>17</sub>H<sub>16</sub>O<sub>3</sub>**) a white solid; 92% yield, >99% ee.  $[\alpha]_D^{25} = -8.1$  (c 0.320 in CH<sub>2</sub>Cl<sub>2</sub>) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 20/80, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 13.3 min (minor) and 14.3

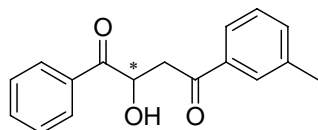
min (major); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 2.51 (s, 3H), 3.30-3.33 (m, 2H), 4.03 (d, *J* = 6.0 Hz, 1H), 5.62-5.65 (m, 1H), 7.22-7.26 (m, 2H), 7.35-7.40 (m, 1H), 7.48-7.53 (m, 2H), 7.60-7.64 (m, 2H), 7.97-8.00 (m, 2H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 21.4, 46.4, 70.5, 125.8, 128.7, 128.9, 130.0, 131.8, 132.1, 133.6, 134.0, 137.2, 138.7, 200.7, 200.8 ppm; HRMS (ESI-TOF) calcd for C<sub>17</sub>H<sub>16</sub>O<sub>3</sub> ([M-H<sup>+</sup>]) = 267.1021, Found 267.1028.





	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	13.311	151393	0.39	7794	0.48	12.867	13.733
2	14.338	38777878	99.61	1631999	99.52	13.733	15.533

### 2-hydroxy-1-phenyl-4-m-tolylbutane-1,4-dione (**5u**)



**5u**

( $C_{17}H_{16}O_3$ ) a white solid; 92% yield, >99% ee.  $[\alpha]_D^{25} = +10.8$  (c 0.426 in

$CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 20/80,

flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 13.3 min (minor) and

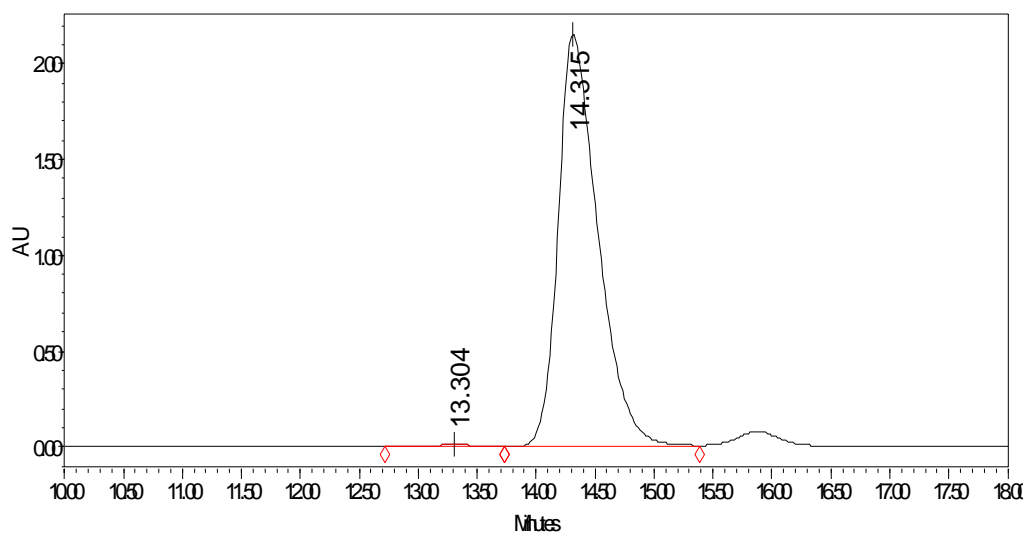
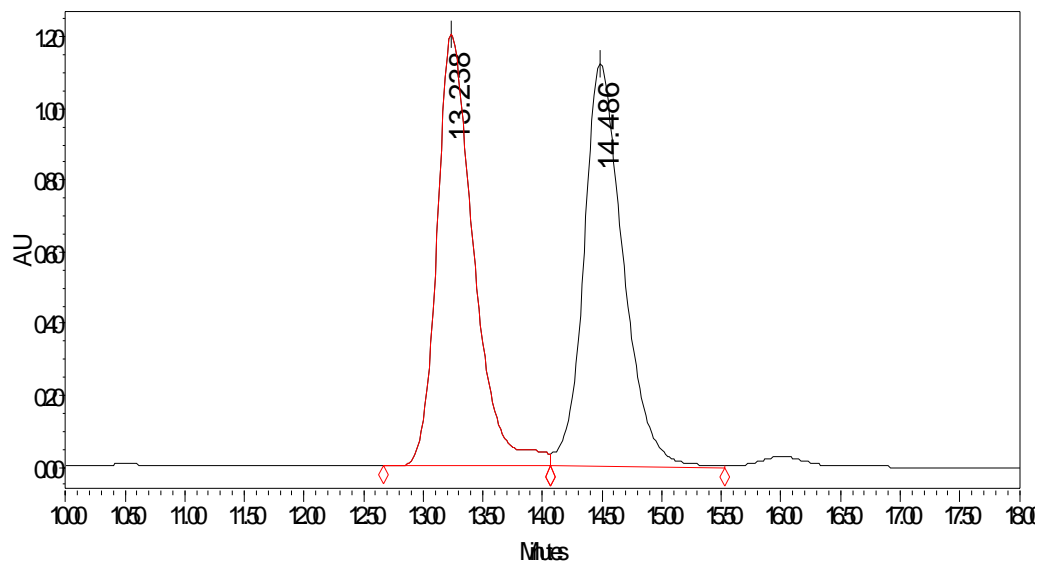
14.3 min (major);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) 2.40 (s, 3H), 3.33-3.45 (m, 2H), 4.02 (d,  $J = 6.0$  Hz, 1H),

5.65-5.69 (m, 1H), 7.31-7.39 (m, 2H), 7.47-7.52 (m, 2H), 7.59-7.63 (m, 1H), 7.72-7.75 (m, 2H),

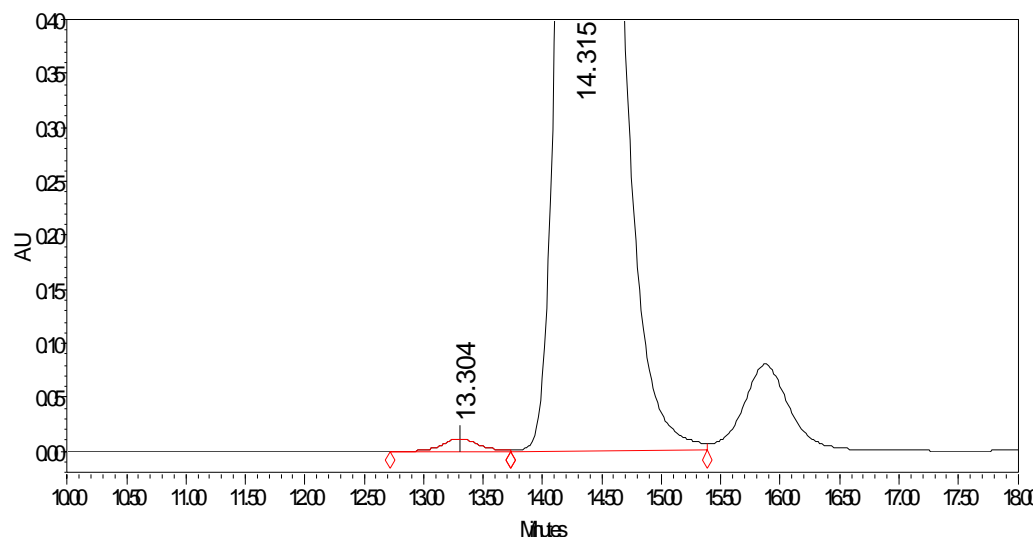
7.86-7.89 (m, 2H) ppm;  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 21.3, 43.6, 70.1, 125.6, 128.6, 128.7, 128.8, 130.0,

133.6, 133.9, 134.4, 136.7, 138.5, 197.4, 200.8 ppm; HRMS (ESI-TOF) calcd for  $C_{17}H_{16}O_3$  ( $[M-H]^+$ ) =

267.1021, Found 267.1035.

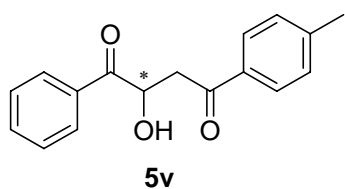






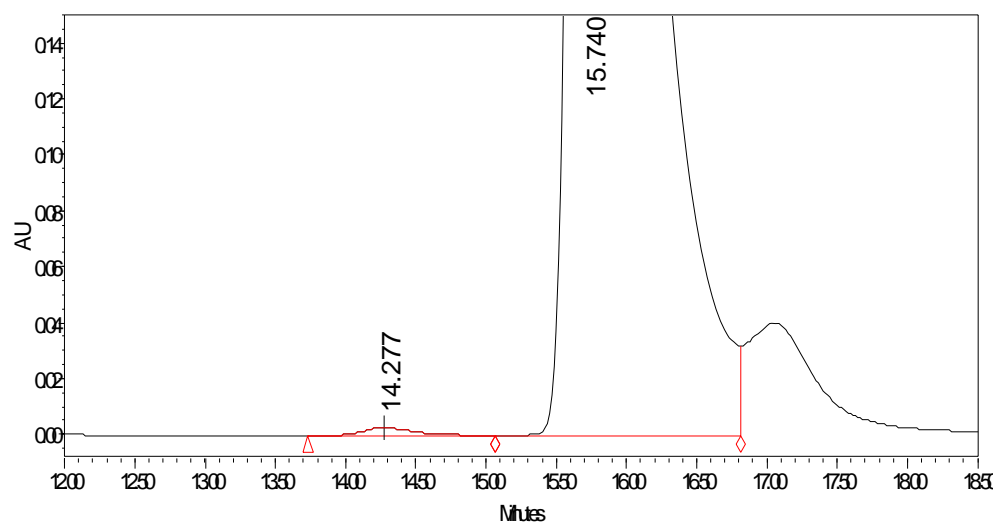
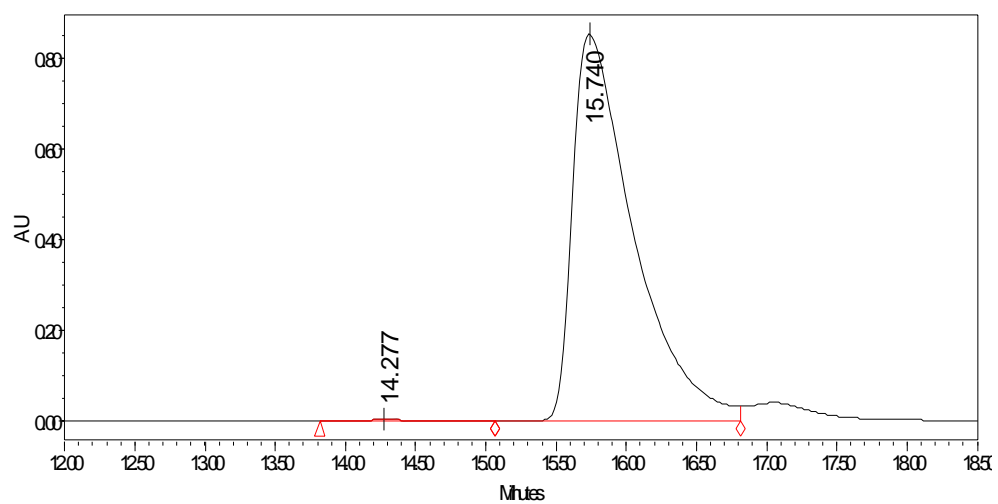
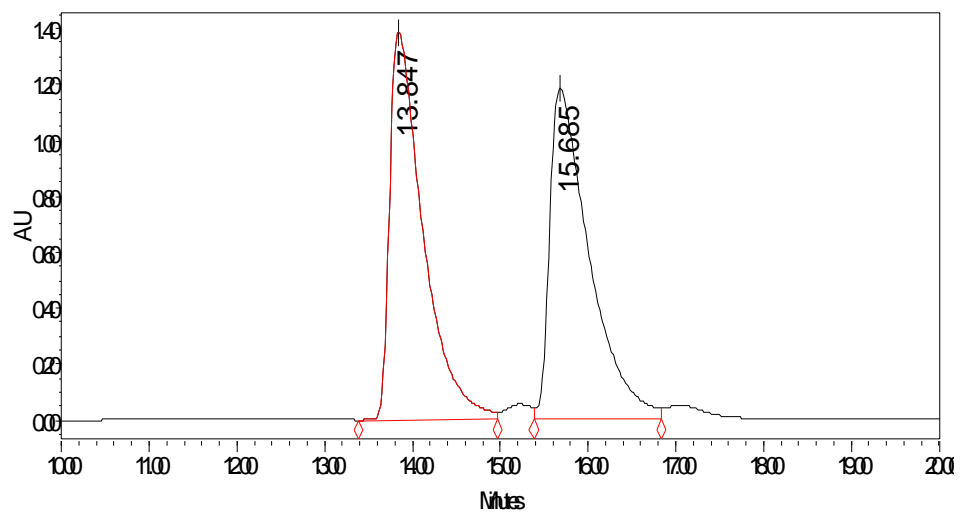
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	13.304	244026	0.48	11522	0.53	12.717	13.733
2	14.315	50733155	99.52	2153255	99.47	13.733	15.383

### 2-hydroxy-1-phenyl-4-p-tolylbutane-1,4-dione (**5v**)



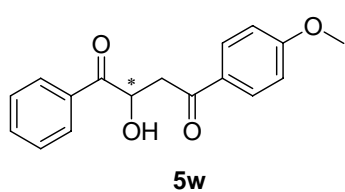
(**C**<sub>17</sub>**H**<sub>16</sub>**O**<sub>3</sub>) a white solid; 94% yield, >99% ee.  $[\alpha]_D^{25} = +16.8$  (*c* 0.384 in CH<sub>2</sub>Cl<sub>2</sub>) HPLC DAICEL CHIRALCEL IB, 2-propanol/*n*-hexane = 10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 14.3 min (minor) and

15.7 min (major); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 2.40 (s, 3H), 3.32-3.43 (m, 2H), 4.03 (d, *J* = 6.0 Hz, 1H), 5.64-5.69 (m, 1H), 7.23-7.26 (m, 2H), 7.47-7.51 (m, 2H), 7.59-7.62 (m, 1H), 7.82-7.85 (m, 2H), 7.98-8.03 (m, 2H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 21.7, 43.4, 70.2, 128.5, 128.7, 128.9, 129.4, 133.7, 133.8, 134.3, 144.5, 196.8, 200.8 ppm; HRMS (ESI-TOF) calcd for C<sub>17</sub>H<sub>16</sub>O<sub>3</sub> ( $[M-H]^+$ ) = 267.1021, Found 267.1022.



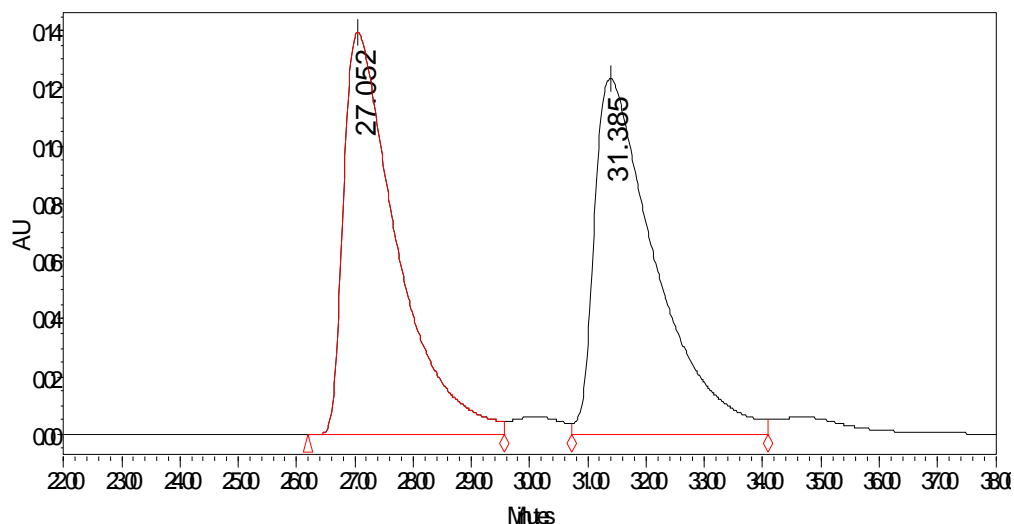
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	14.277	71443	0.28	2779	0.32	13.733	15.067
2	15.740	25888176	99.72	855497	99.68	15.067	16.817

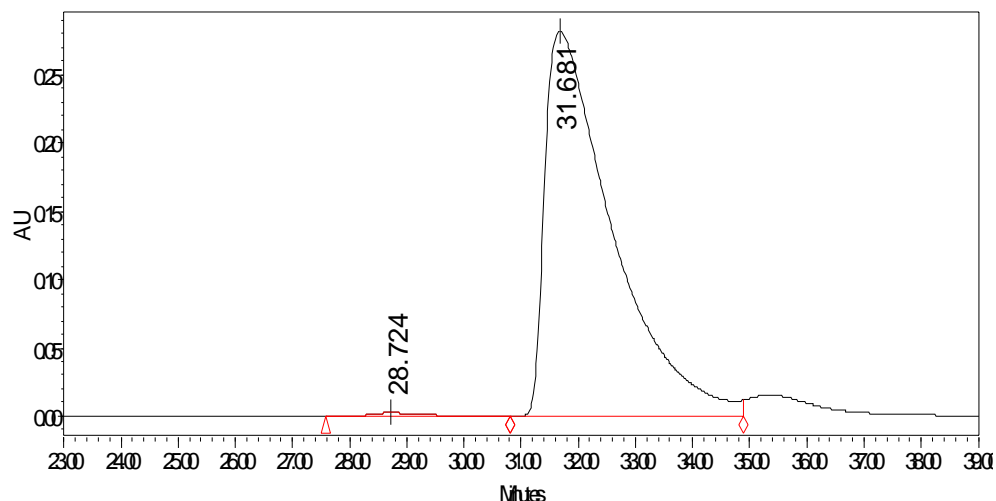
## 2-hydroxy-4-(4-methoxyphenyl)-1-phenylbutane-1,4-dione (**5w**)



(**C<sub>17</sub>H<sub>16</sub>O<sub>4</sub>**) a white solid; 95% yield, 99% ee.  $[\alpha]_D^{25} = +4.8$  (*c* 0.460 in  $\text{CH}_2\text{Cl}_2$ ) HPLC DAICEL CHIRALCEL IB, 2-propanol/*n*-hexane = 10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 28.7 min (minor) and

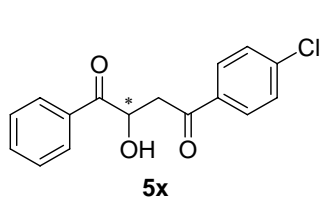
31.7 min (major);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.32-3.44 (m, 2H), 3.88 (s, 3H), 4.05 (d,  $J = 6.0$  Hz, 1H), 5.66-5.70 (m, 1H), 6.93-6.96 (m, 2H), 7.50-7.61 (m, 2H), 7.62-7.65 (m, 1H), 7.93-7.97 (m, 2H), 8.00-8.03 (m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 43.2, 55.5, 70.3, 113.8, 128.8, 128.9, 129.8, 130.7, 133.7, 133.9, 163.9, 195.7, 200.9 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{17}\text{H}_{16}\text{O}_4$  ( $[\text{M}+\text{H}^+]$ ) = 285.1121, Found 285.1132.





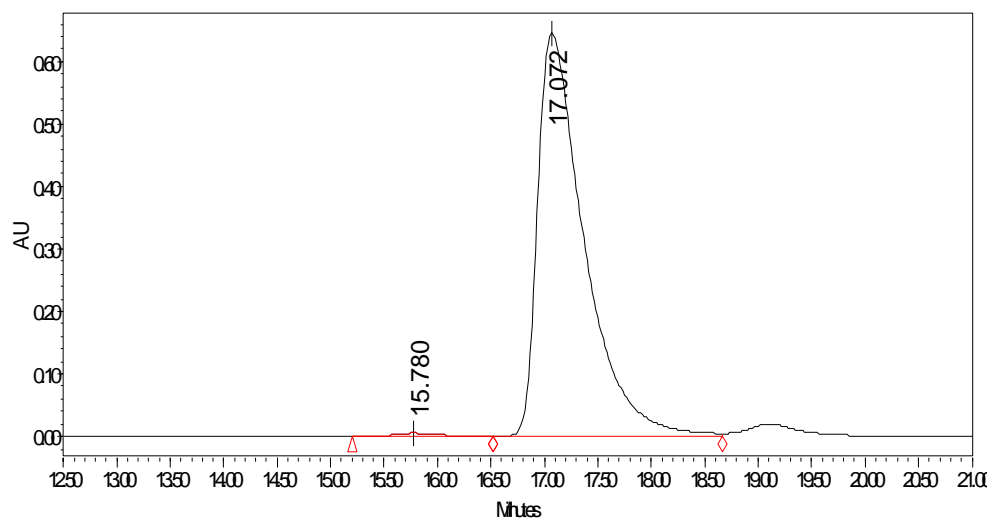
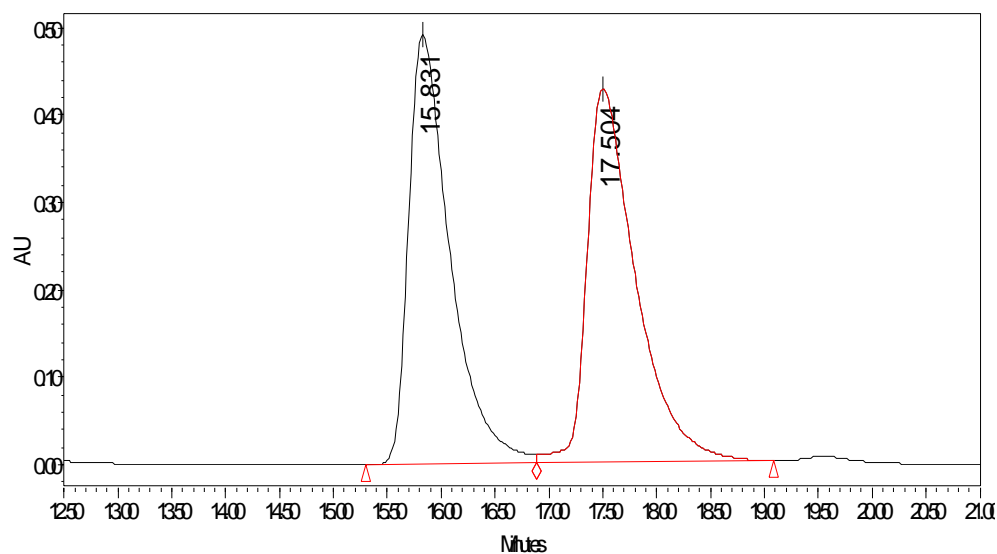
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	28.724	172509	0.74	2619	0.92	27.583	30.817
2	31.681	23155692	99.26	281826	99.08	30.817	34.883

#### 4-(4-chlorophenyl)-2-hydroxy-1-phenylbutane-1,4-dione (**5x**)



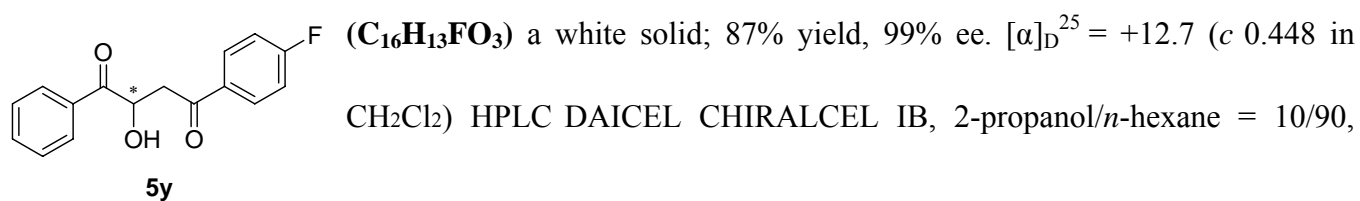
(**C<sub>16</sub>H<sub>13</sub>ClO<sub>3</sub>**) a white solid; 87% yield, 99% ee.  $[\alpha]_D^{25} = +4.8$  (*c* 0.540 in  $\text{CH}_2\text{Cl}_2$ ) HPLC DAICEL CHIRALCEL IB, 2-propanol/*n*-hexane = 10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 15.8 min (minor) and

17.1 min (major);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.32-3.44 (m, 2H), 4.03 (d,  $J = 6.0$  Hz, 1H), 5.66-5.70 (m, 1H), 7.44-7.46 (m, 2H), 7.52-7.56 (m, 2H), 7.62-7.68 (m, 1H), 7.89-7.92 (m, 2H), 8.00-8.02 (m, 2H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 43.5, 70.1, 128.7, 129.0, 129.1, 129.8, 133.5, 134.1, 135.0, 140.2, 196.1, 200.6 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{16}\text{H}_{13}\text{ClO}_3$  ( $[\text{M}+\text{Na}^+]$ ) = 311.0445, Found 311.0454.

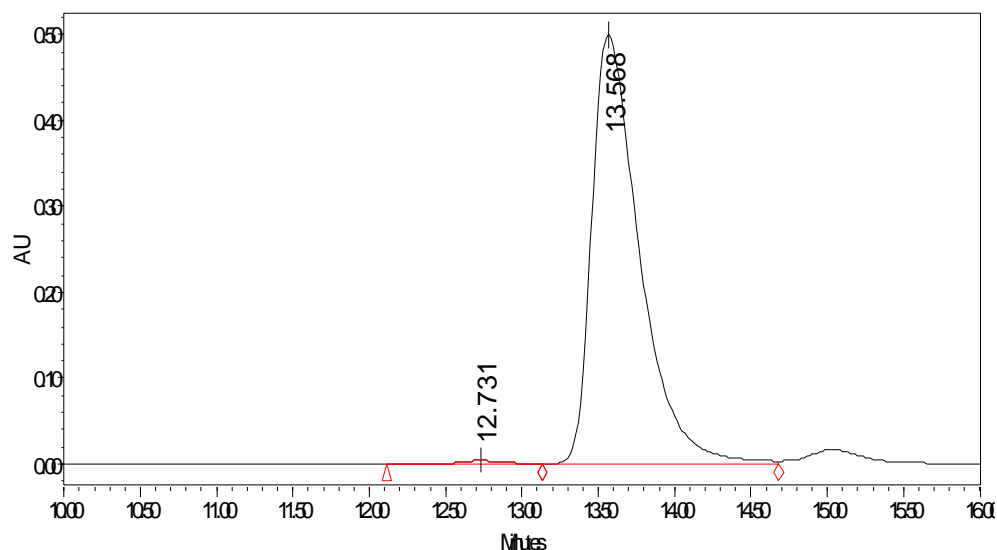
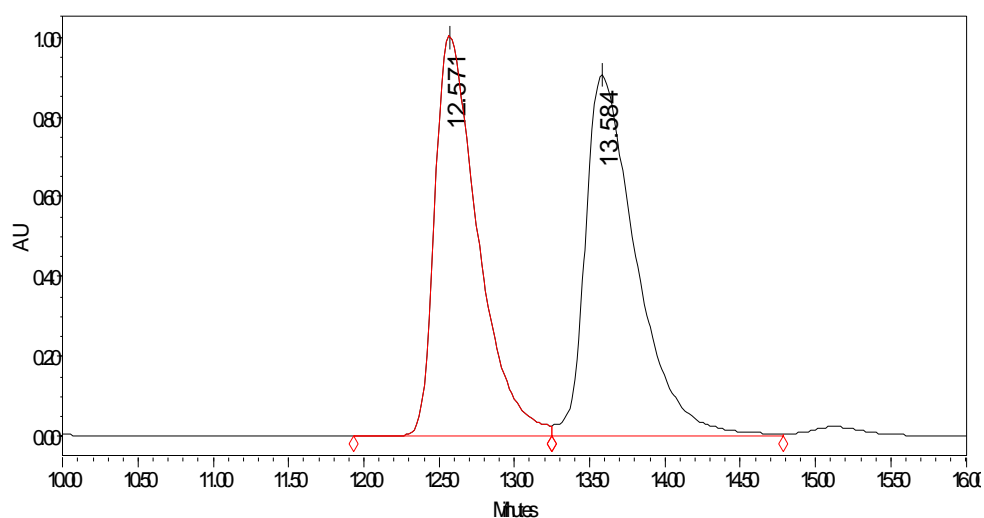


	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	15.780	105041	0.54	4315	0.66	15.200	16.517
2	17.072	19492728	99.46	644712	99.34	16.517	18.667

#### 4-(4-fluorophenyl)-2-hydroxy-1-phenylbutane-1,4-dione (**5y**)



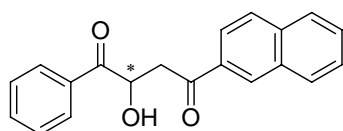
flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 12.7 min (minor) and 13.6 min (major);  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) 3.31-3.40 (m, 2H), 4.03 (d,  $J = 5.6$  Hz, 1H), 5.64-5.68 (m, 1H), 7.10-7.20 (m, 2H), 7.48-7.52 (m, 2H), 7.59-7.64 (m, 1H), 7.95-8.00 (m, 4H) ppm;  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ ) 43.5, 70.1, 115.8(d,  $J_{CF} = 22$  Hz), 128.7, 129.0, 131.0 (d,  $J_{CF} = 9$  Hz), 133.1 (d,  $J_{CF} = 4$  Hz), 133.5, 134.0, 166.0 (d,  $J_{CF} = 255$  Hz), 195.6, 200.7 ppm; HRMS (ESI-TOF) calcd for  $\text{C}_{16}\text{H}_{13}\text{FO}_3$  ( $[\text{M}+\text{Na}^+]$ ) = 295.0741, Found 295.0748.



Retention Time	Area	% Area	Height	% Height	Start Time	End Time
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1	12.731	63214	0.58	3433	0.68	12.117	13.133
2	13.568	10838880	99.42	499134	99.32	13.133	14.683

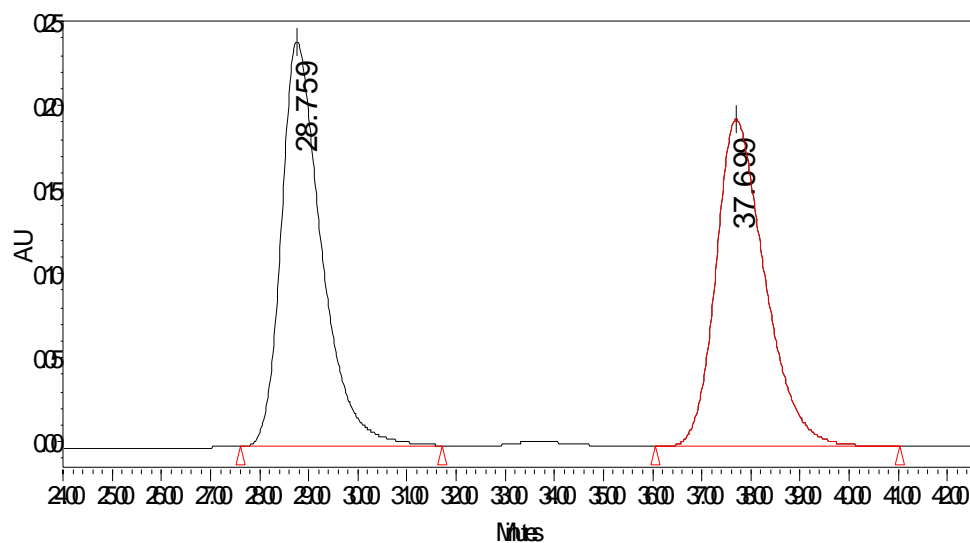
### 2-hydroxy-1-phenyl-4-m-tolylbutane-1,4-dione (**5z**)

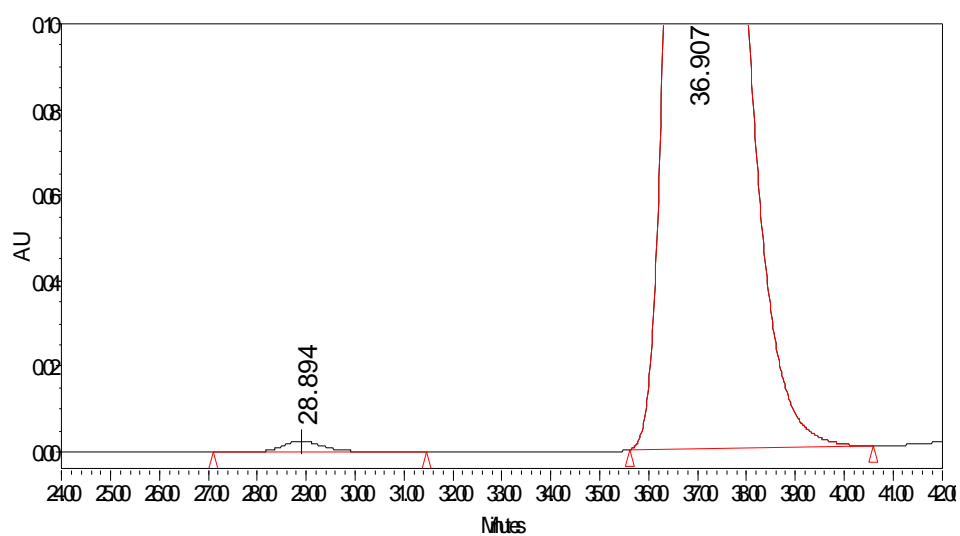
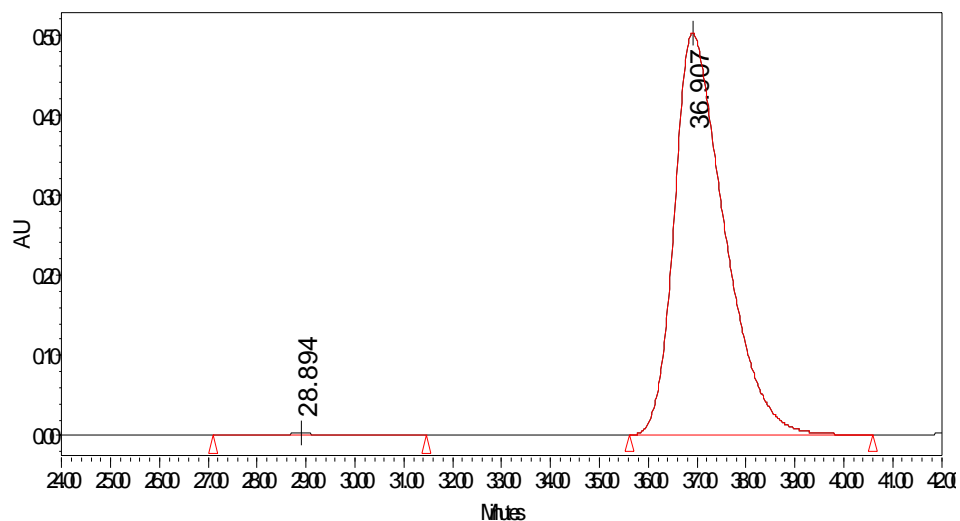


**5z**

(**C<sub>20</sub>H<sub>16</sub>O<sub>3</sub>**) a white solid; 91% yield, >99% ee.  $[\alpha]_D^{25} = -5.1$  (*c* 0.440 in CH<sub>2</sub>Cl<sub>2</sub>) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 30/70, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 28.9 min (minor) and

36.9 min (major); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) 3.47-3.60 (m, 2H), 4.09 (d, *J* = 6.0 Hz, 1H), 5.62-5.65 (m, 1H), 7.50-7.63 (m, 5H), 7.85-7.93 (m, 3H), 8.00-8.04 (m, 3H), 8.43 (s, 1H) ppm; <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 43.6, 70.2, 123.8, 126.9, 127.8, 128.6, 128.8, 129.0, 129.7, 130.4, 132.4, 133.7, 134.0, 135.8, 197.1, 200.8 ppm; HRMS (ESI-TOF) calcd for C<sub>20</sub>H<sub>16</sub>O<sub>3</sub> ([M+H<sup>+</sup>]) = 305.1172, Found 305.1178.



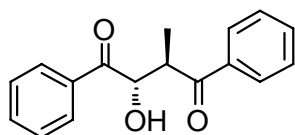


	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	28.894	157801	0.44	2644	0.52	27.100	31.467
2	36.907	35818752	99.56	501377	99.48	35.617	40.600

**Configuration Assignment:** The absolute and relative configurations were determined by the comparison of the optical rotation and  $^1\text{H}$  NMR Spectra.<sup>2</sup>

**(2*S*,3*R*)-2-hydroxy-3-methyl-1,4-diphenylbutane-1,4-dione (*anti*-5aa)**





*anti*-5aa

( $C_{17}H_{16}O_3$ ) a slightly yellow liquid; 88% yield, 99% ee.  $[\alpha]_D^{25} = 84.1$  ( $c$  0.156

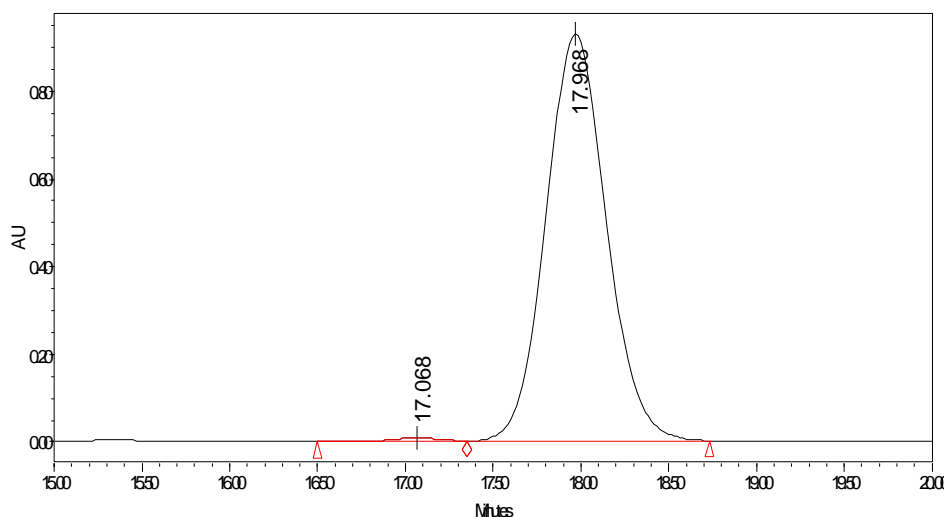
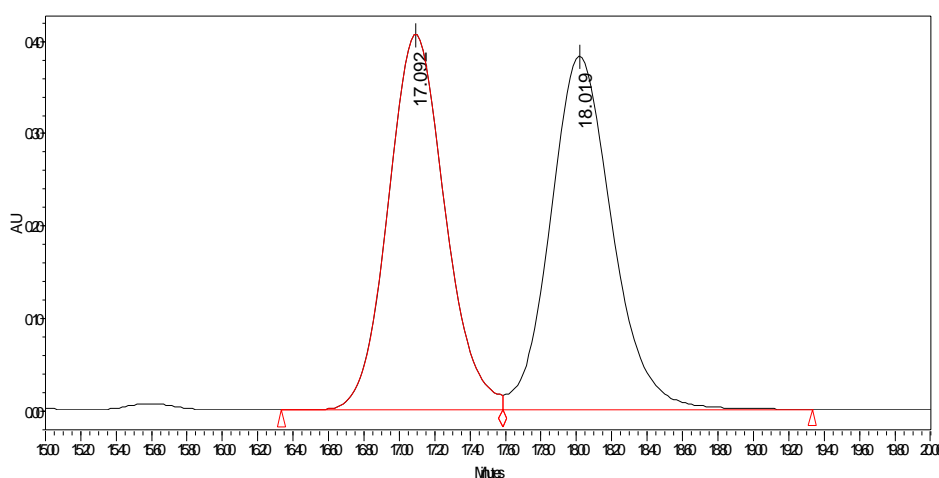
in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL AD-H, 2-propanol/*n*-hexane = 10/90,

flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 17.1 min (minor) and 18.0

min (major);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) *anti*: 1.27 (d,  $J = 7.6$  Hz, 3H), 3.89-3.95 (m, 1H), 4.30 (d,  $J =$

9.2 Hz, 1H), 5.13 (dd,  $J_1 = 4.4$  Hz,  $J_2 = 9.2$  Hz, 1H), 7.37-7.41 (m, 4H), 7.49-7.53 (m, 2H), 7.84 (d,  $J =$

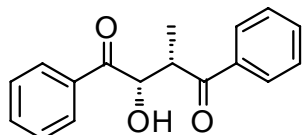
7.2 Hz, 2H), 7.91 (d,  $J = 7.6$  Hz, 2H) ppm.



	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	17.068	166332	0.74	8142	0.87	16.500	17.350
2	17.968	22238348	99.26	928889	99.13	17.350	18.717

**Configuration Assignment:** The absolute and relative configurations were determined by the comparison of the optical rotation and  $^1\text{H}$  NMR Spectra.<sup>2</sup>

**(2*S*,3*S*)-2-hydroxy-3-methyl-1,4-diphenylbutane-1,4-dione (*syn*-5aa)**



*syn*-5aa

( $\text{C}_{17}\text{H}_{16}\text{O}_3$ ) a slightly yellow liquid; 75% yield, 18% ee. HPLC DAICEL

CHIRALCEL AD-H, 2-propanol/*n*-hexane = 10/90, flow rate = 1.0 mL/min,  $\lambda$

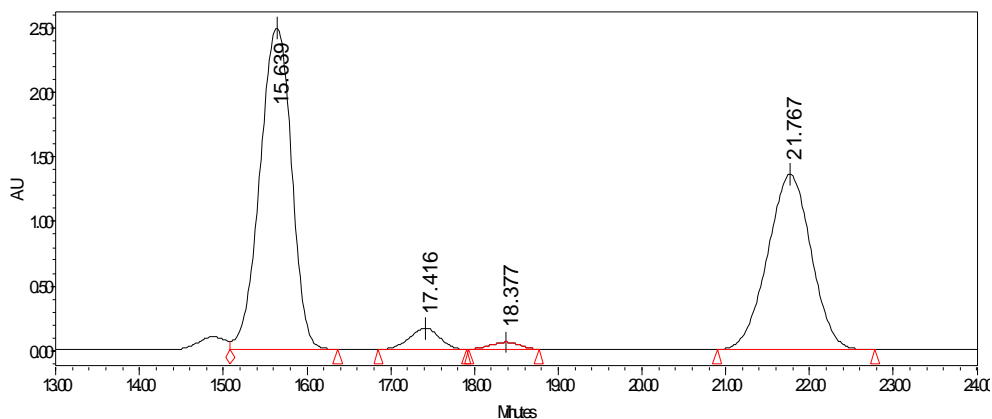
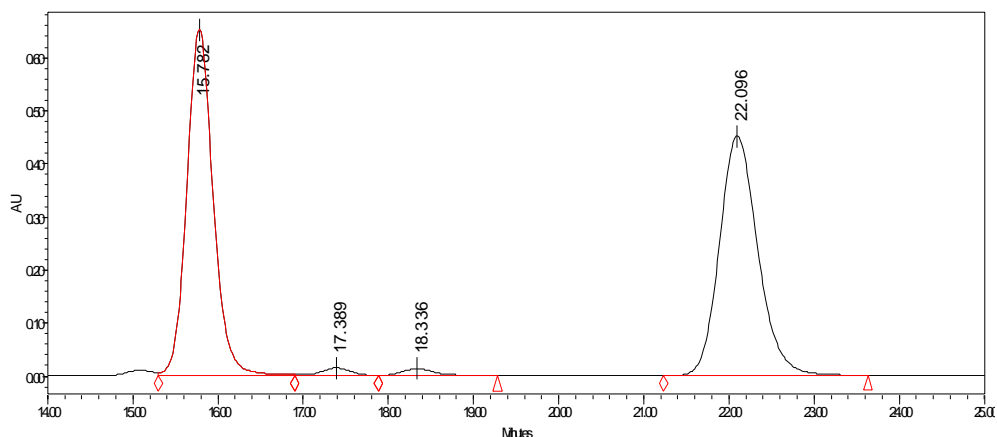
= 254 nm, retention time: 15.8 min and 22.1 min or HPLC DAICEL

CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 15/85, flow rate = 1.0 mL/min,  $\lambda$  = 254 nm, retention time:

18.1 min and 20.6 min;  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) *syn*: 1.04 (d,  $J$  = 7.2 Hz, 3H), 3.63 (d,  $J$  = 6.0 Hz,

1H), 3.72-3.79 (m, 1H), 5.40 (s, 1H), 7.37-7.59 (m, 6 H), 7.82 (d,  $J$  = 7.6 Hz, 2H), 7.86 (d,  $J$  = 7.6 Hz,

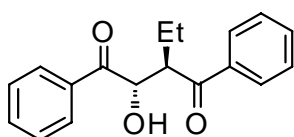
2H) ppm.



	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	15.639	65732057	54.55	2497752	61.26	15.083	16.367
2	17.416	4091875	3.40	162945	4.00	16.850	17.900
3	18.377	1341326	1.11	55496	1.36	17.933	18.767
4	21.767	49324722	40.94	1360830	33.38	20.900	22.783

**Configuration Assignment:** The absolute and relative configurations were determined by analogy.

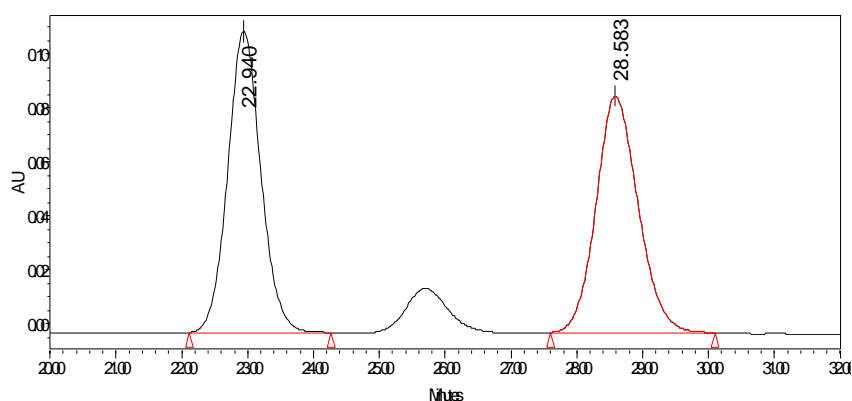
**(2*S*,3*R*)-2-hydroxy-3-ethyl-1,4-diphenylbutane-1,4-dione (*anti*-5ab)**

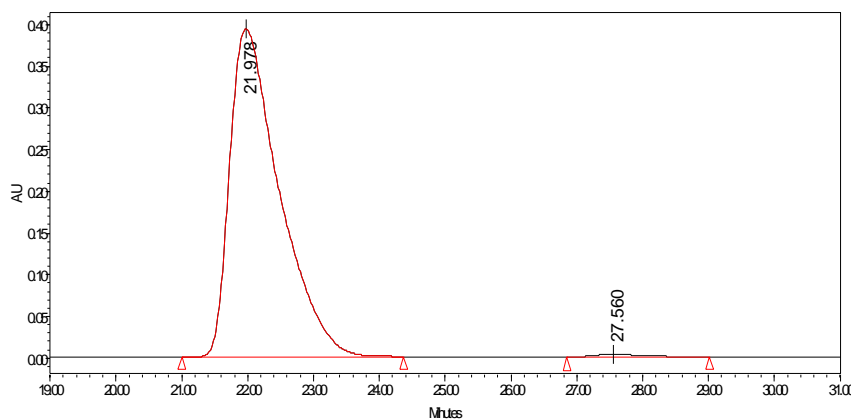


*anti*-5ab

( $C_{18}H_{18}O_3$ ) a slightly yellow liquid; 83% yield, 98% ee.  $[\alpha]_D^{25} = 99.4$  ( $c$  0.332 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL OJ-H, 2-propanol/*n*-hexane = 10/90, flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 22.0 min (major)

and 27.6 min (minor);  $^1H$  NMR (400 MHz,  $CDCl_3$ ) *anti*: 1.01-1.08 (m, 3H), 1.82-1.94 (m, 2H), 3.80-3.85 (m, 1H), 4.49 (d,  $J = 6.0$  Hz, 1H), 5.24 (dd,  $J_1 = 4.0$  Hz,  $J_2 = 9.6$  Hz, 1H), 7.42-7.50 (m, 4H), 7.54-7.60 (m, 2H), 7.87 (d,  $J = 7.2$  Hz, 2H), 7.95 (d,  $J = 7.6$  Hz, 2H) ppm,  $^{13}C$  NMR (100 MHz,  $CDCl_3$ ) 12.3, 22.8, 49.1, 75.2, 128.4, 128.6, 128.7, 129.2, 133.4, 133.6, 135.1, 137.2, 200.3, 205.3 ppm; HRMS (ESI-TOF) calcd for  $C_{18}H_{18}O_3$  ( $[M+Na^+]$ ) = 305.1148, Found 305.1156.

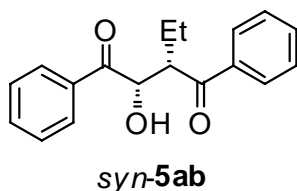




	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	21.978	20614971	98.95	393604	99.03	21.000	24.367
2	27.560	217803	1.05	3870	0.97	26.850	29.017

**Configuration Assignment:** The absolute and relative configurations were determined by analogy.

**(2*S*,3*S*)-2-hydroxy-3-ethyl-1,4-diphenylbutane-1,4-dione (*syn*-5ab)**



(**C<sub>18</sub>H<sub>18</sub>O<sub>3</sub>**) a slightly yellow liquid; 76% yield, 16% ee.  $[\alpha]_D^{25} = 23.9$  (*c* 0.244

in CH<sub>2</sub>Cl<sub>2</sub>) HPLC DAICEL CHIRALCEL AS-H, 2-propanol/*n*-hexane = 10/90,

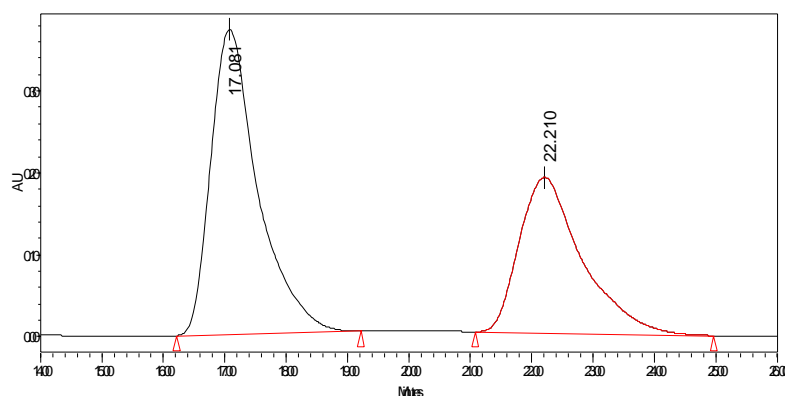
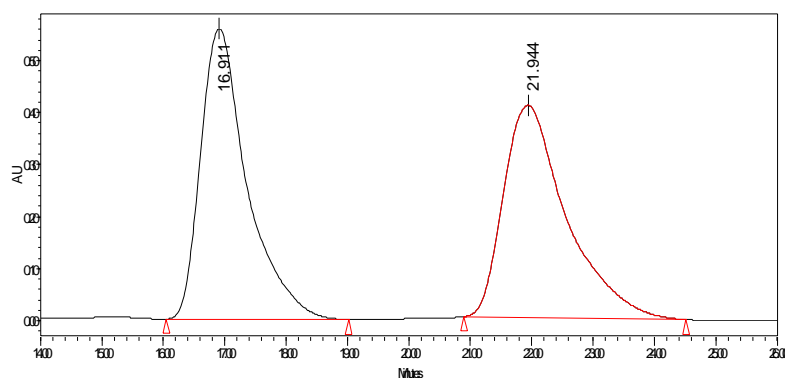
flow rate = 1.0 mL/min,  $\lambda = 254$  nm, retention time: 14.1 min (major) and 22.2

min (minor); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) *syn*: 0.79-0.82 (m, 3H), 1.55-1.64 (m, 2H), 3.58 (d, *J* = 6.0

Hz, 1H), 3.70-3.77 (m, 1H), 5.32-5.35 (m, 1H), 7.44-7.50 (m, 4H), 7.52-7.60 (m, 2H), 7.87 (d, *J* = 8.0

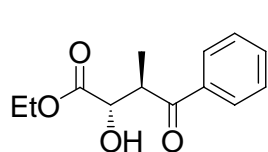
Hz, 4H) ppm, <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) 12.1, 21.4, 52.2, 73.6, 128.0, 128.2, 128.7, 128.8, 133.2,

133.9, 134.8, 137.3, 201.0, 201.3 ppm.



**Configuration Assignment:** The absolute and relative configurations were determined by the comparison of the optical rotation and  $^1\text{H}$  NMR Spectra. <sup>2</sup>

**(2*S*,3*R*)-ethyl- 2-hydroxy-3-methyl-4-oxo-4-phenylbutanoate (*anti*-5ac)**



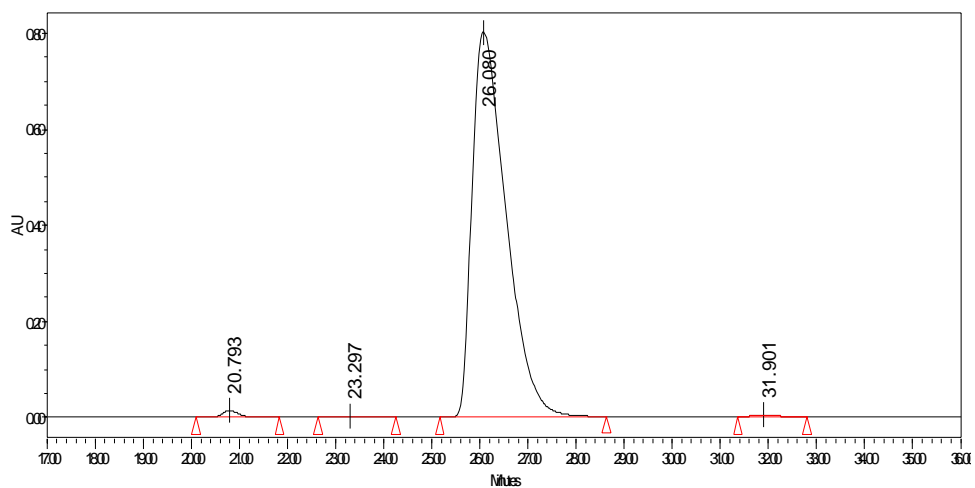
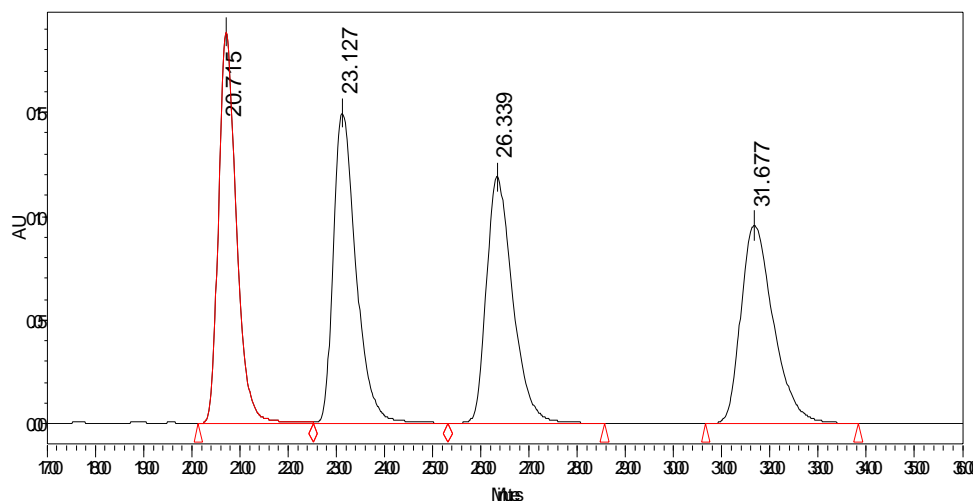
( $\text{C}_{13}\text{H}_{16}\text{O}_4$ ) a slightly yellow liquid; 85% yield, *anti/syn* = 99:1, >99% ee (*anti*).

$[\alpha]_{\text{D}}^{25} = 20.6$  ( $c$  0.344 in  $\text{CH}_2\text{Cl}_2$ ) HPLC DAICEL CHIRALCEL AS-H+AD-H,

***anti*-5ac**

2-propanol/*n*-hexane = 20/80, flow rate = 0.8 mL/min,  $\lambda = 254$  nm, retention time:

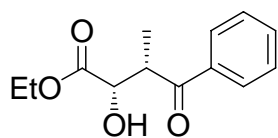
20.8 min, 23.3 min, 26.1 min and 31.9 min;  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) *anti*: 1.20 (t,  $J = 7.2$  Hz, 3H), 1.37 (d,  $J = 7.2$  Hz, 3H), 3.56 (d,  $J = 6.0$  Hz, 1H), 3.94-4.01 (m, 1H), 4.11-4.20 (m, 2H), 4.39 (s, 1H), 7.46-7.50 (m, 2H), 7.57-7.61 (m, 1H), 7.92-7.94 (m, 2H) ppm.



	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	20.793	346619	0.87	13808	1.68	20.100	21.833
2	23.297	82462	0.21	2717	0.33	22.633	24.250
3	26.080	39259433	98.47	801935	97.46	25.167	28.633
4	31.901	180285	0.45	4411	0.54	31.367	32.800

**Configuration Assignment:** The absolute and relative configurations were determined by the comparison of the optical rotation and  $^1\text{H}$  NMR Spectra.<sup>2</sup>

**(2*S*,3*S*)-ethyl -2-hydroxy-3-methyl-4-oxo-4-phenylbutanoate (*syn*-5ac)**



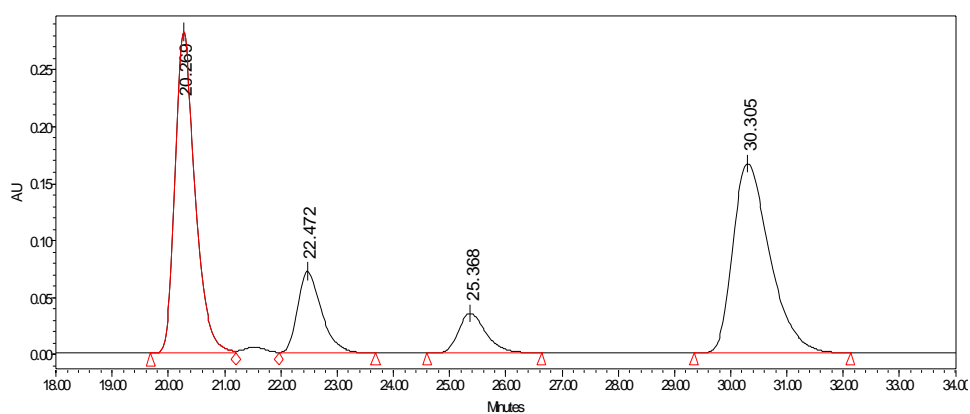
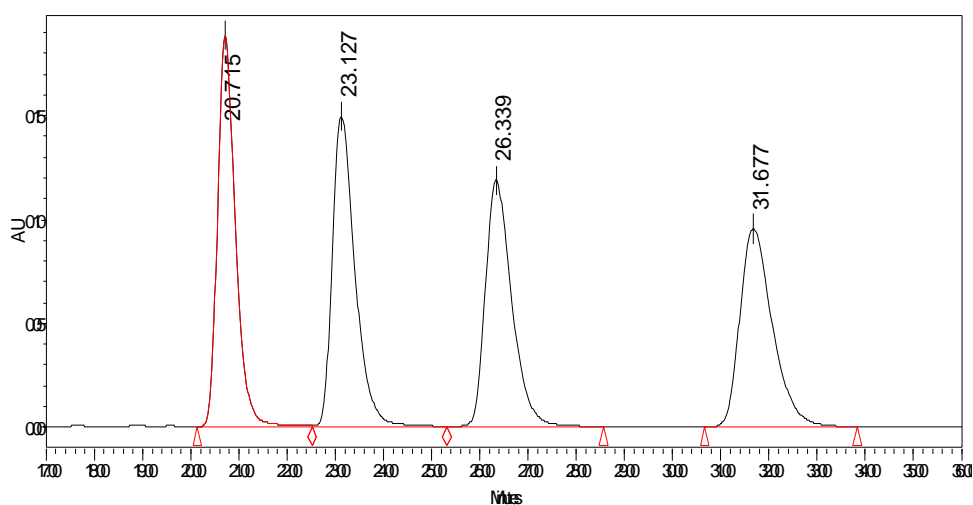
**syn-5ac**

( $C_{13}H_{16}O_4$ ) a slightly yellow liquid; 73% yield, *anti/syn* = 50:50, -73% ee (*anti*),

55% ee (*syn*).  $[\alpha]_D^{25} = 7.6$  (*c* 0.278 in  $CH_2Cl_2$ ) HPLC DAICEL CHIRALCEL

AS-H+AD-H, 2-propanol/*n*-hexane = 20/80, flow rate = 0.8 mL/min,  $\lambda = 254$

nm, retention time: 20.8 min, 23.3 min, 26.1 min and 31.9 min;  $^1H$  NMR (400 MHz,  $CDCl_3$ ) *syn*: 1.13 (t,  $J = 7.2$  Hz, 3H), 1.22 (d,  $J = 7.2$  Hz, 3H), 3.19 (d,  $J = 4.4$  Hz, 1H), 3.87-3.90 (m, 1H), 4.07-4.16 (m, 2H), 4.30-4.35 (m, 1H), 7.40-7.45 (m, 2H), 7.51-7.56 (m, 1H), 7.85-7.91 (m, 2H) ppm.

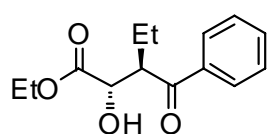


	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	20.269	6956922	38.99	281849	50.82	19.683	21.200
2	22.472	2118783	11.87	71757	12.94	21.967	23.683
3	25.368	1184932	6.64	34828	6.28	24.600	26.633

4	30.305	7584457	42.50	166172	29.96	29.350	32.133
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**Configuration Assignment:** The absolute and relative configurations were determined by the comparison of the optical rotation and  $^1\text{H}$  NMR Spectra.<sup>2</sup>

**(2*S*,3*R*)-ethyl 3-benzoyl-2-hydroxypentanoate (*anti*-5ad)**



( $\text{C}_{14}\text{H}_{18}\text{O}_4$ ) a slightly yellow liquid; 82% yield, *anti/syn* = 98:2, 97% ee (*anti*).

$[\alpha]_{\text{D}}^{25} = 53.8$  ( $c$  0.400 in  $\text{CH}_2\text{Cl}_2$ ) HPLC DAICEL CHIRALCEL AS-H,

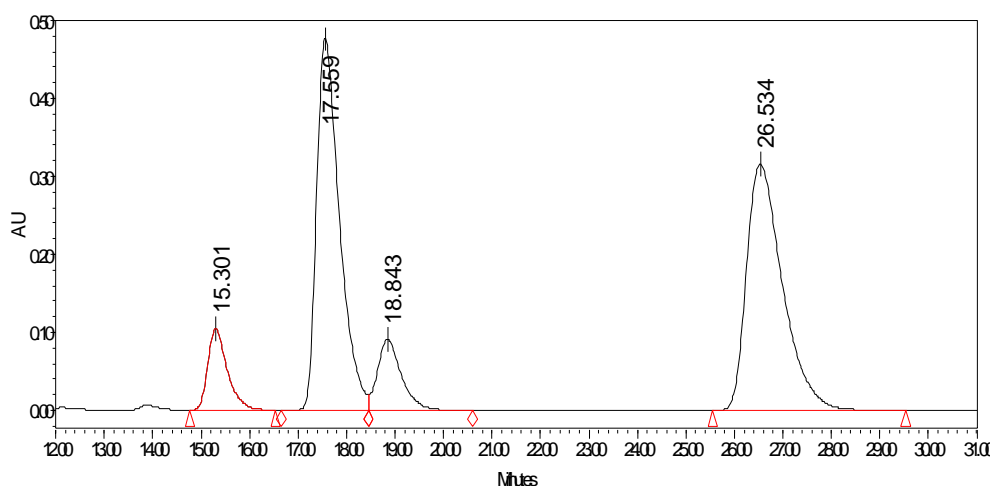
*anti*-5ad

2-propanol/*n*-hexane = 20/80, flow rate = 0.5 mL/min,  $\lambda = 254$  nm, retention time:

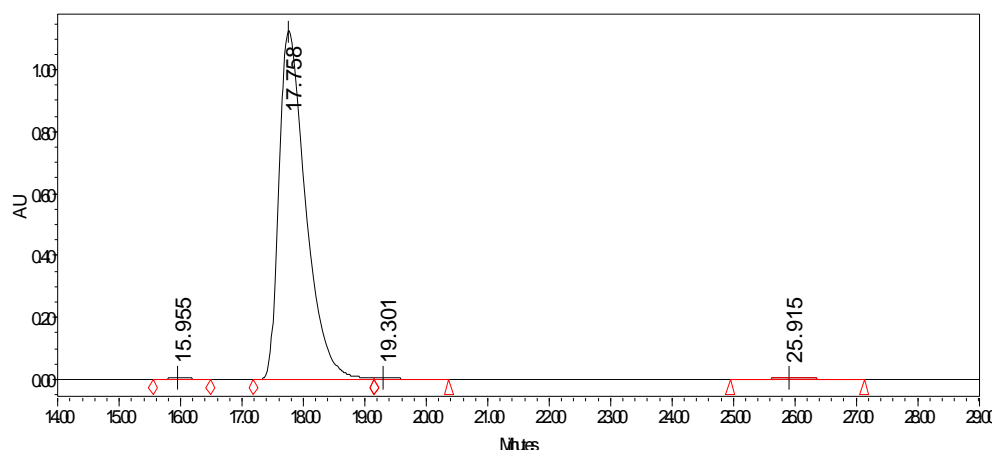
15.3 min, 17.5 min, 18.8 min and 26.5 min;  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) *anti*: 1.04 (t,  $J = 7.2$  Hz, 3H),

1.14 (t,  $J = 7.2$  Hz, 3H), 1.84-1.89 (m, 2H), 3.70 (d,  $J = 5.2$  Hz, 1H), 3.81-3.85 (m, 1H), 4.07-4.12 (m,

2H), 4.43-4.47 (m, 1H), 7.46-7.50 (m, 2H), 7.57-7.61 (m, 1H), 7.91-7.93 (m, 2H) ppm.



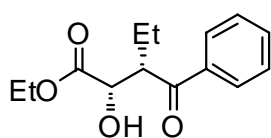




	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	15.955	161177	0.46	6309	0.55	15.550	16.483
2	17.758	34296803	98.29	1124839	98.50	17.183	19.150
3	19.301	149116	0.43	5060	0.44	19.150	20.433
4	25.915	285161	0.82	5762	0.50	25.133	27.283

**Configuration Assignment:** The absolute and relative configurations were determined by the comparison of the optical rotation and  $^1\text{H}$  NMR Spectra. <sup>2</sup>

**(2*S*,3*S*)-ethyl 3-benzoyl-2-hydroxypentanoate (*syn*-5ad)**

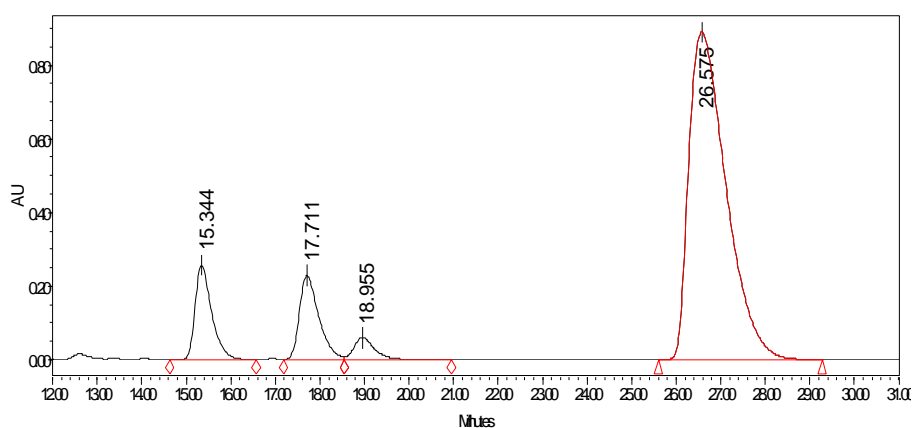
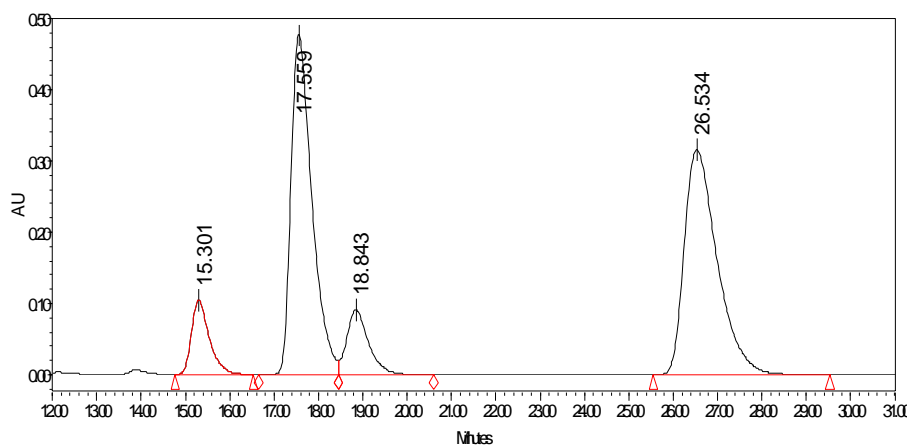


( $\text{C}_{14}\text{H}_{18}\text{O}_4$ ) a slightly yellow liquid; 70% yield, *anti/syn* = 60:40, -74% ee (*anti*), 52% ee (*syn*).  $[\alpha]_{\text{D}}^{25} = -16.8$  (*c* 0.274 in  $\text{CH}_2\text{Cl}_2$ ) HPLC DAICEL CHIRALCEL

***syn*-5ad**

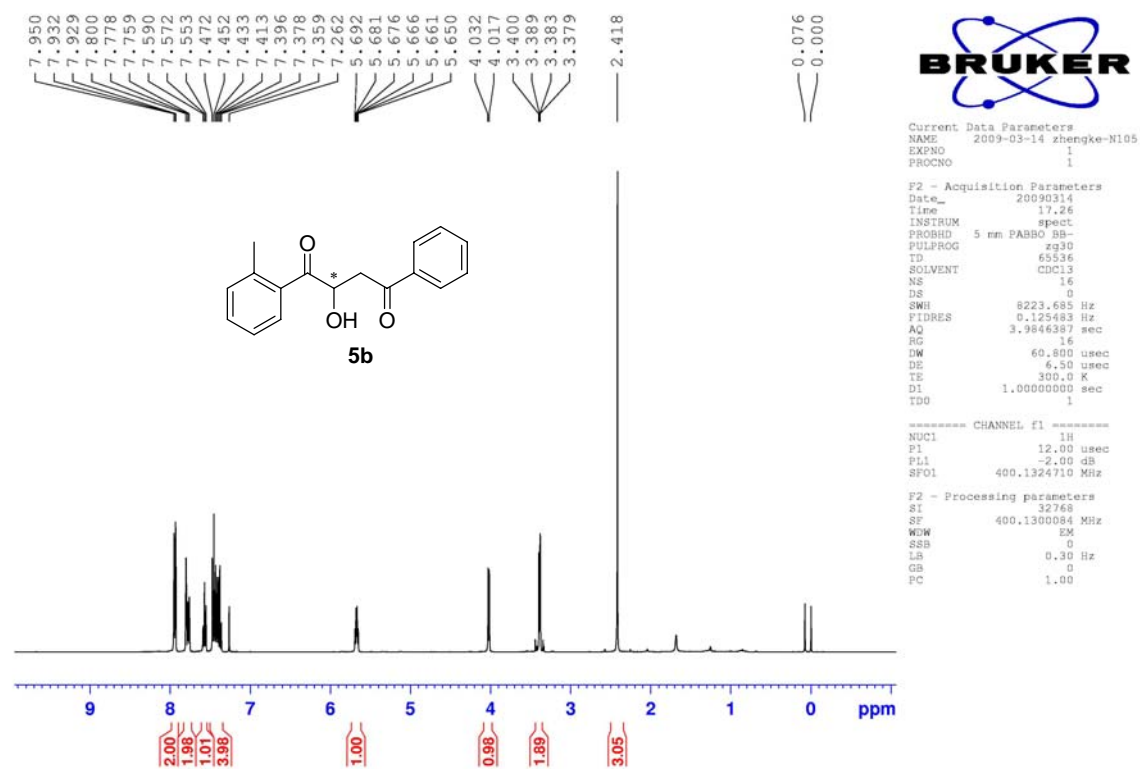
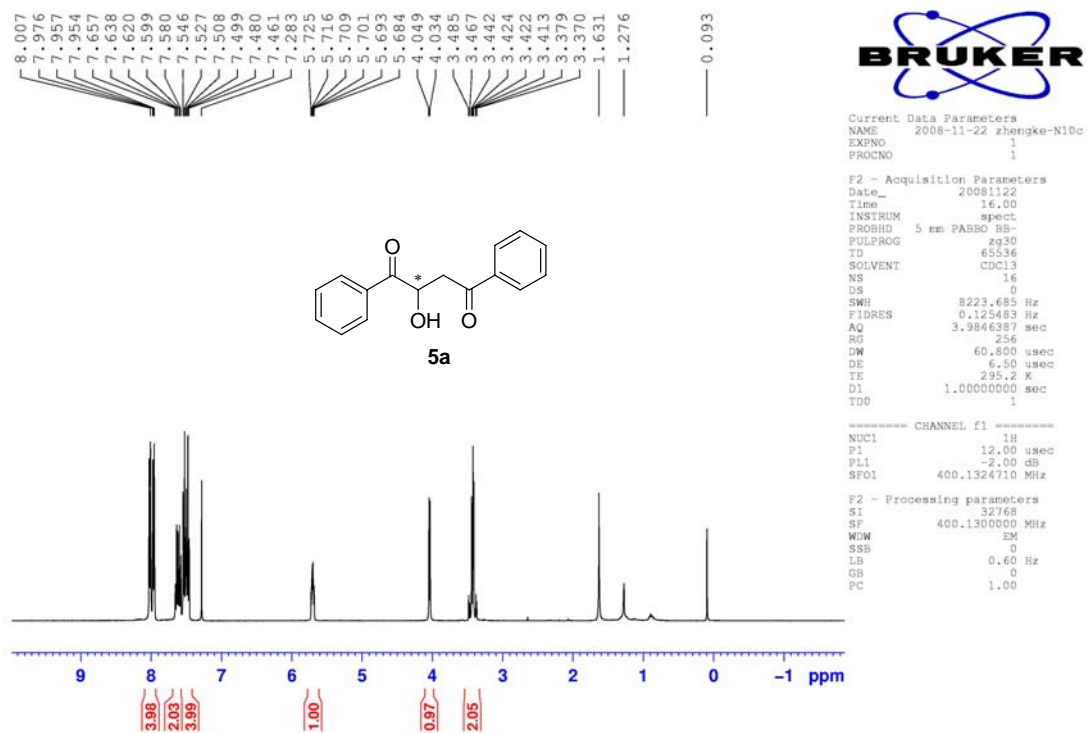
AS-H, 2-propanol/*n*-hexane = 20/80, flow rate = 0.5 mL/min,  $\lambda = 254$  nm,

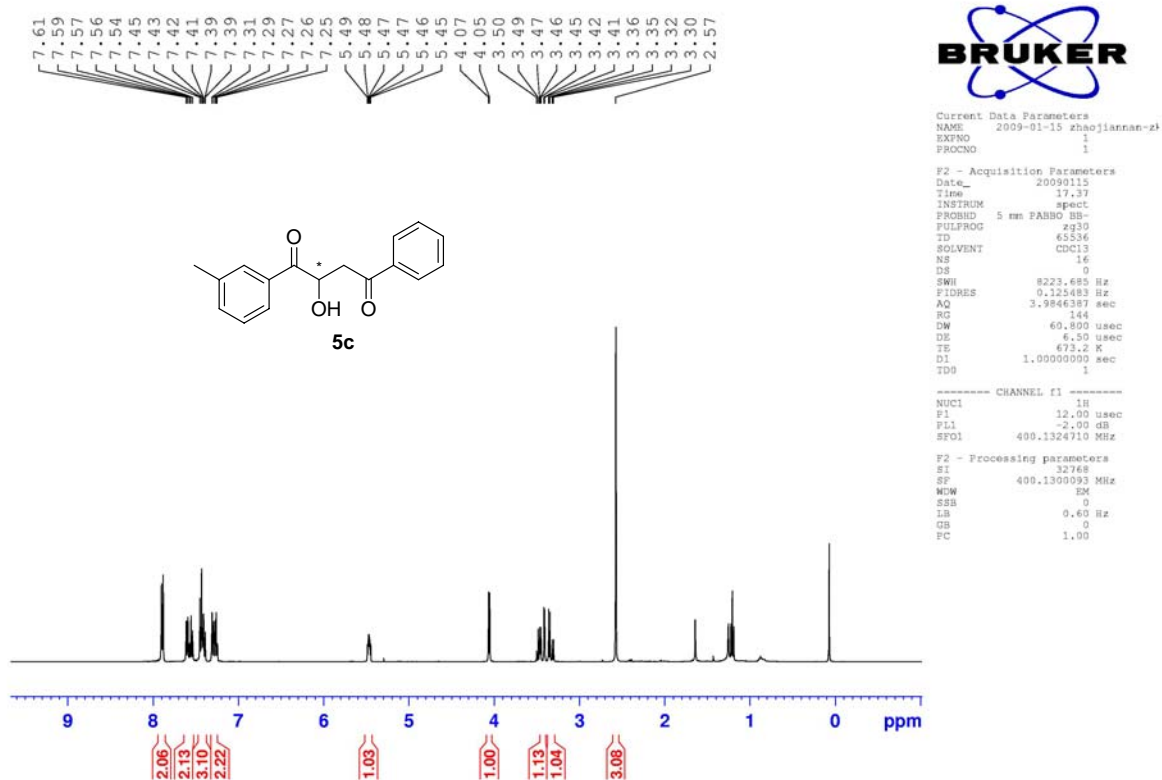
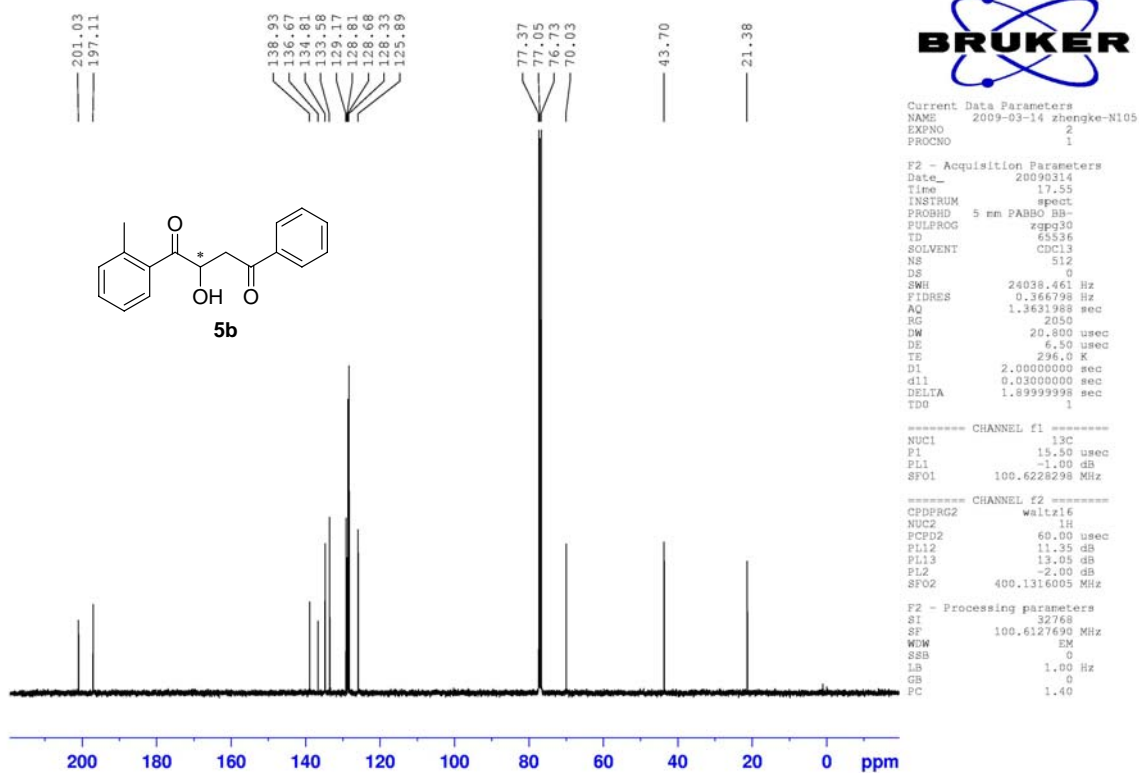
retention time: 15.3 min, 17.5 min, 18.8 min and 26.5 min;  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ) *syn*: 0.93 (t, *J* = 7.6 Hz, 3H), 1.20 (t, *J* = 7.2 Hz, 3H), 1.84-2.0 (m, 2H), 3.14 (m, 1H), 3.82-3.85 (m, 1H), 4.19-4.23 (m, 2H), 4.50-4.51 (m, 1H), 7.46-7.50 (m, 2H), 7.57-7.61 (m, 1H), 7.91-7.93 (m, 2H) ppm.

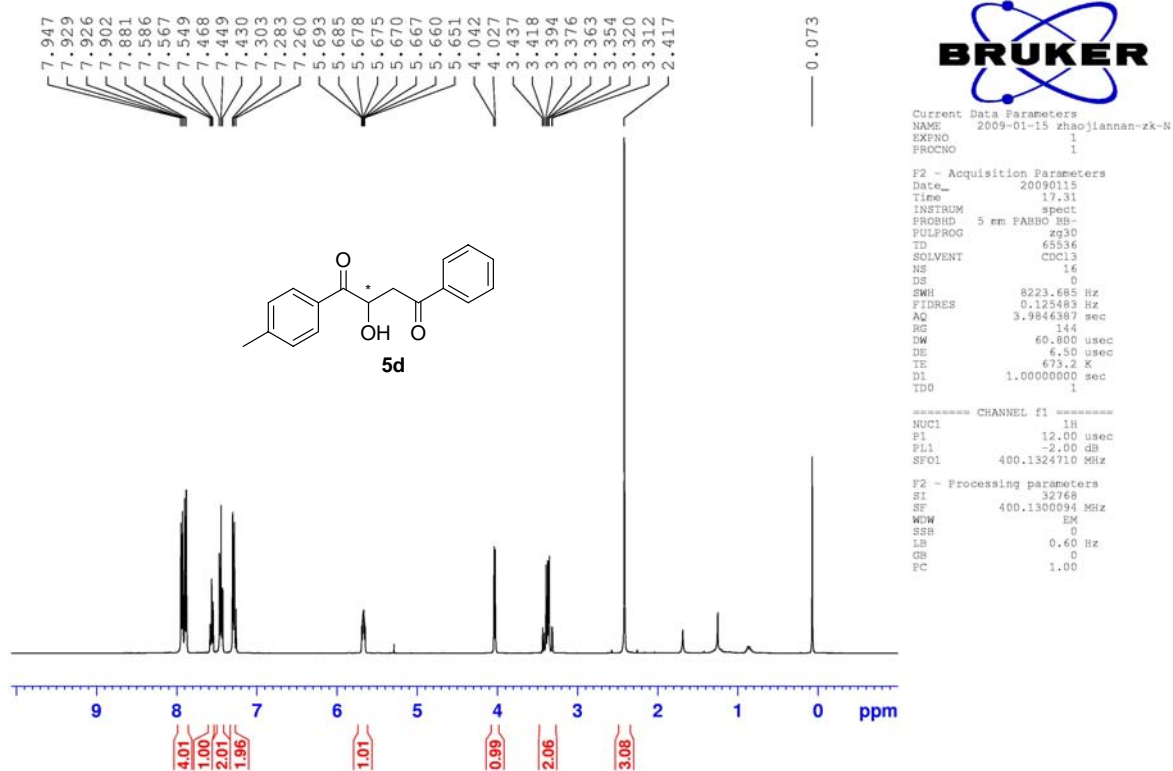
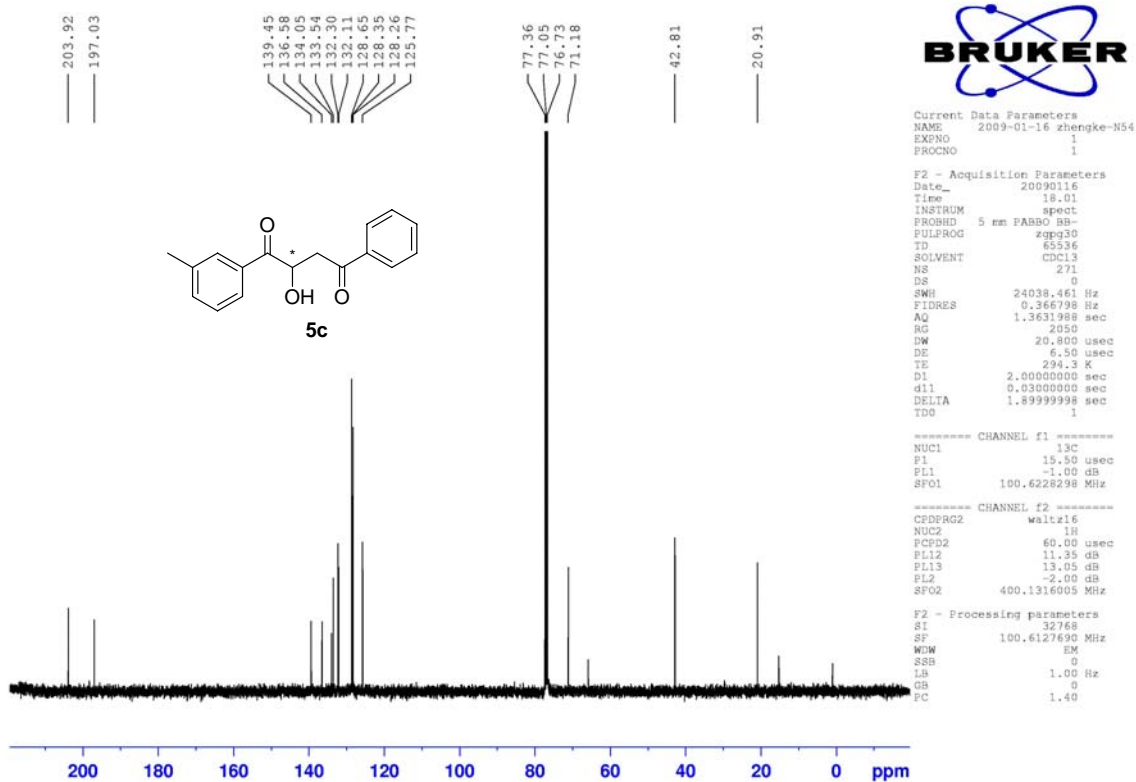


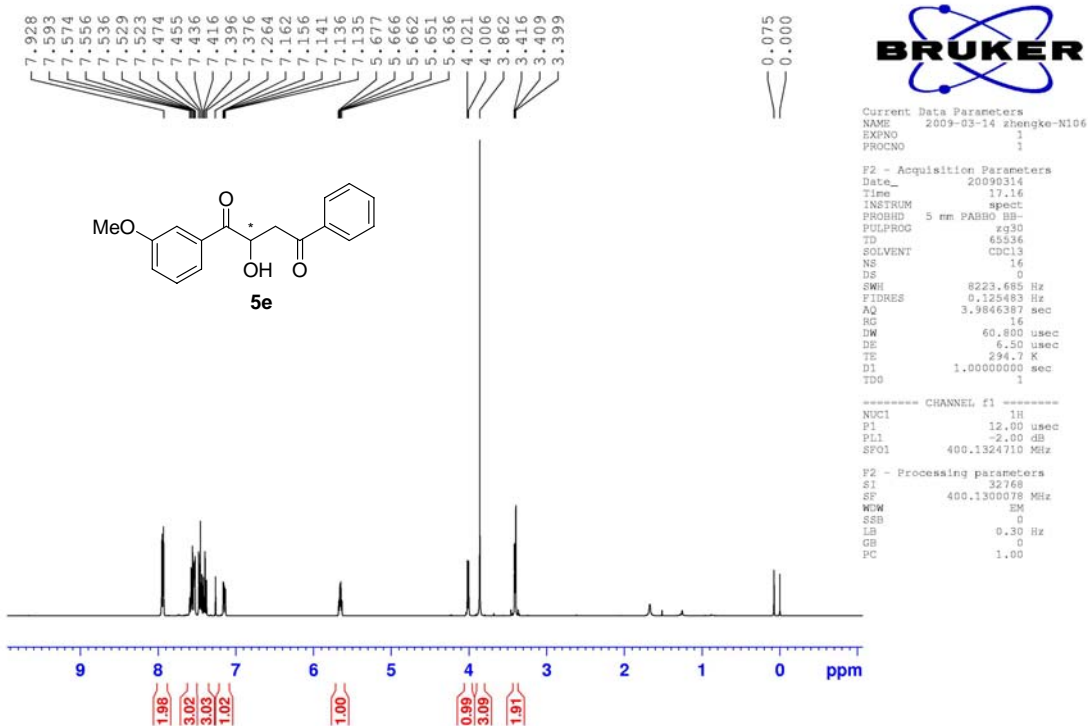
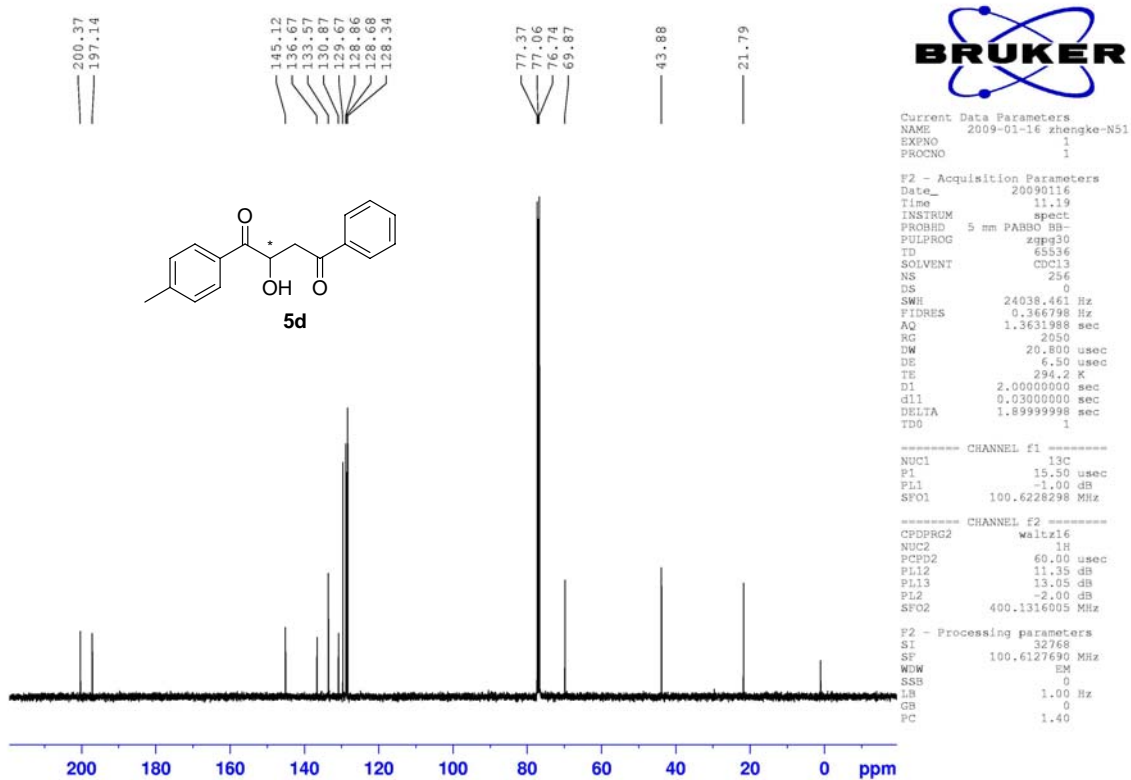
	Retention Time	Area	% Area	Height	% Height	Start Time	End Time
1	15.344	6676634	9.90	256872	17.89	14.633	16.567
2	17.711	6947408	10.31	228179	15.89	17.183	18.550
3	18.955	1966377	2.92	60354	4.20	18.550	20.950
4	26.575	51821550	76.87	890475	62.02	25.600	29.283

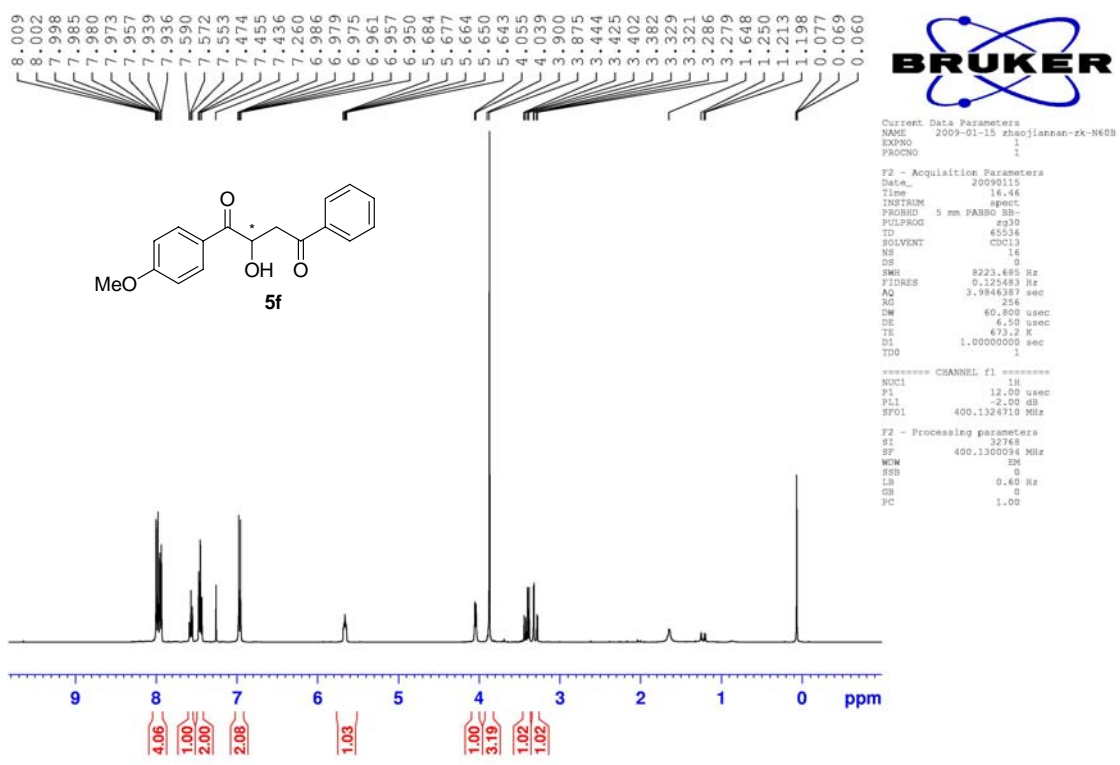
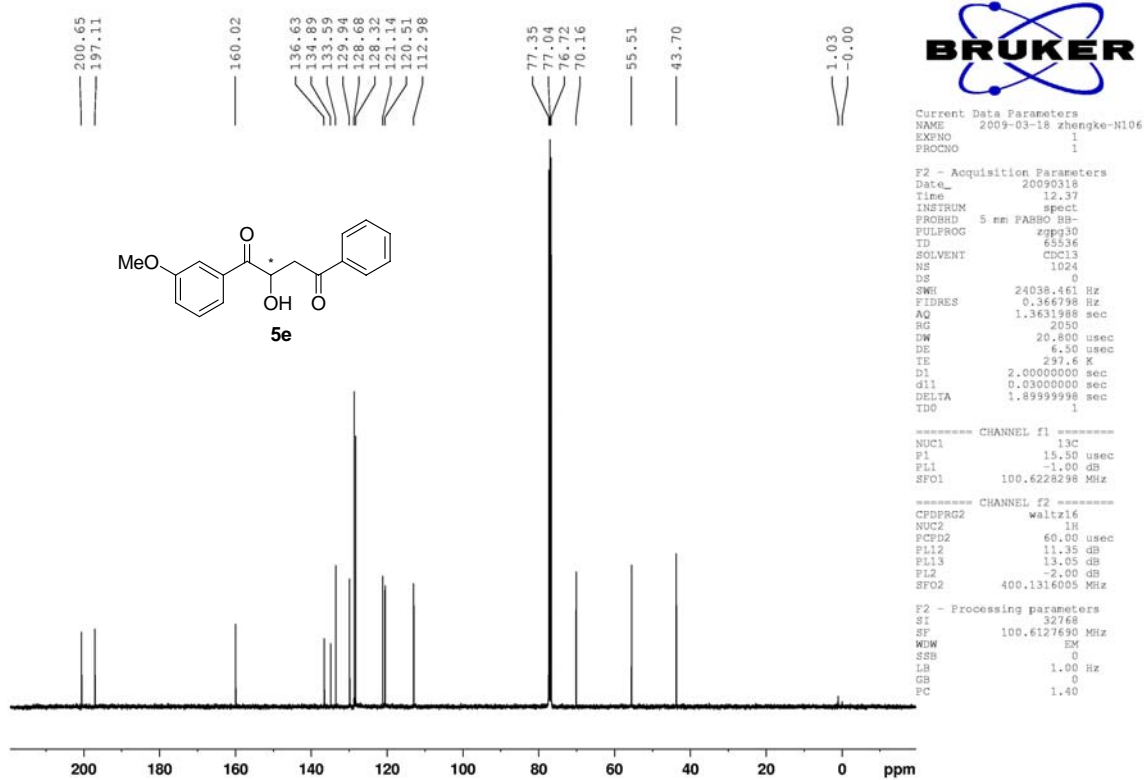
### (F) Copies of NMR Spectra for New Compounds



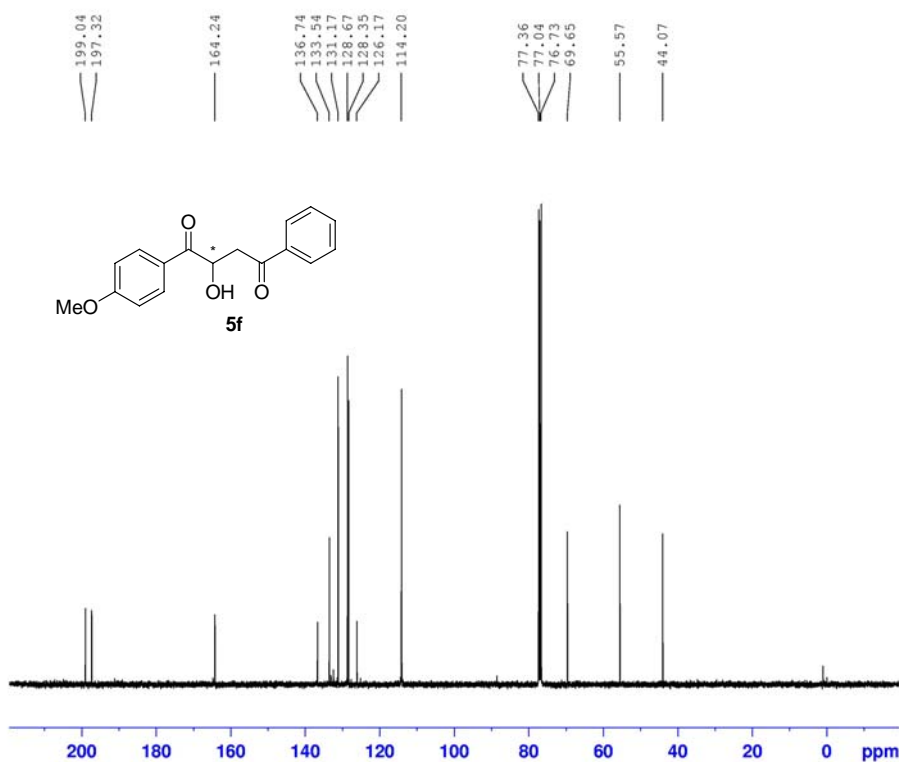












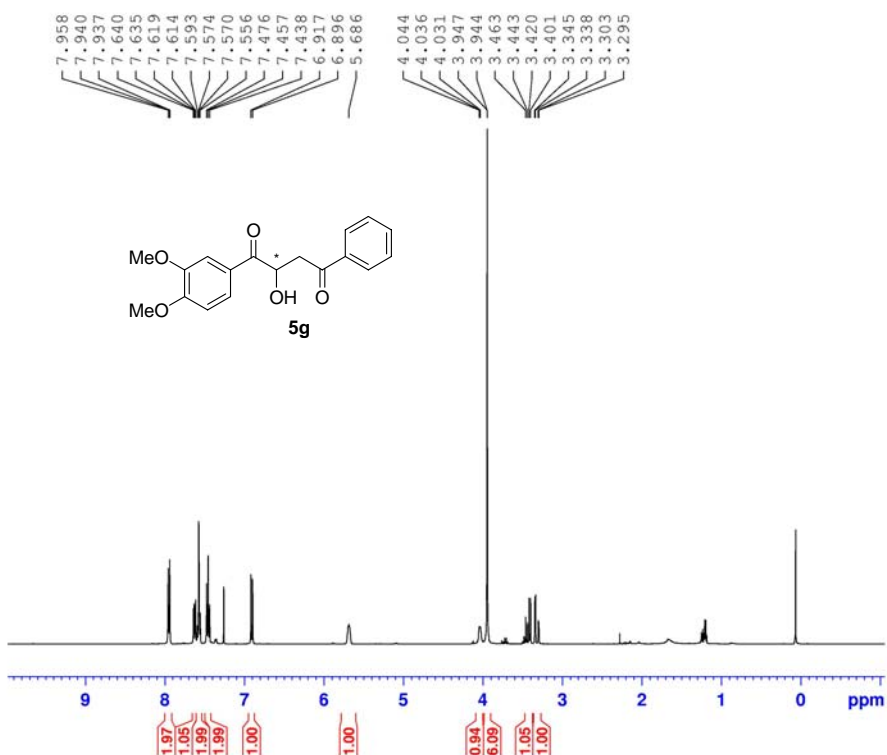
Current Data Parameters  
NAME 2009-03-17 zhengke-N107  
EXPNO 1  
PROCNO 1

F2 - Acquisition Parameters  
Date\_ 20090317  
Time 17.32  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zgpg30  
ID 65536  
SOLVENT CDCl3  
NS 512  
DS 0  
SWH 24038.461 Hz  
FIDRES 0.366798 Hz  
AQ 1.3631988 sec  
RG 2050  
DW 20.800 usec  
DE 6.50 usec  
TE 297.9 K  
D1 2.0000000 sec  
d11 0.0300000 sec  
DELTA 1.89999999 sec  
TD 1

===== CHANNEL f1 =====  
NUC1 13C  
P1 15.50 usec  
PL1 -1.00 dB  
SFO1 100.6228298 MHz

===== CHANNEL f2 =====  
CFDPRG2 waltz16  
NUC2 1H  
FCD2 60.00 usec  
PL12 11.35 dB  
PL13 13.05 dB  
PL2 -2.00 dB  
SFO2 400.1316005 MHz

F2 - Processing parameters  
SI 32768  
SF 100.6127690 MHz  
WDW EM  
SSB 0  
LB 1.00 Hz  
GB 0  
PC 1.40



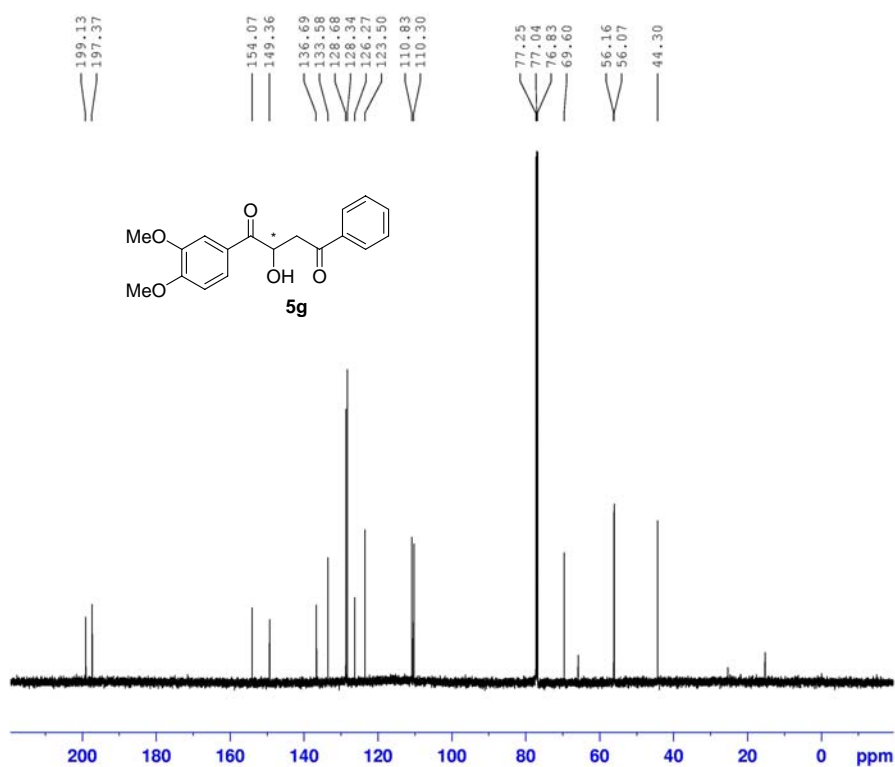
Current Data Parameters  
NAME 2009-01-15 zhaojiannan-zk-N  
EXPNO 1  
PROCNO 1

F2 - Acquisition Parameters  
Date\_ 20090115  
Time 15.52  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zg30  
ID 65536  
SOLVENT CDCl3  
NS 16  
DS 0  
SWH 8223.685 Hz  
FIDRES 0.125483 Hz  
AQ 3.3846387 sec  
RG 256  
DW 60.800 usec  
DE 6.50 usec  
TE 673.2 K  
D1 1.0000000 sec  
TD 1

===== CHANNEL f1 =====  
NUC1 1H  
P1 12.00 usec  
PL1 -2.00 dB  
SFO1 400.1324710 MHz

F2 - Processing parameters  
SI 32768  
SF 400.1300094 MHz  
WDW EM  
SSB 0  
LB 0.60 Hz  
GB 0  
PC 1.00





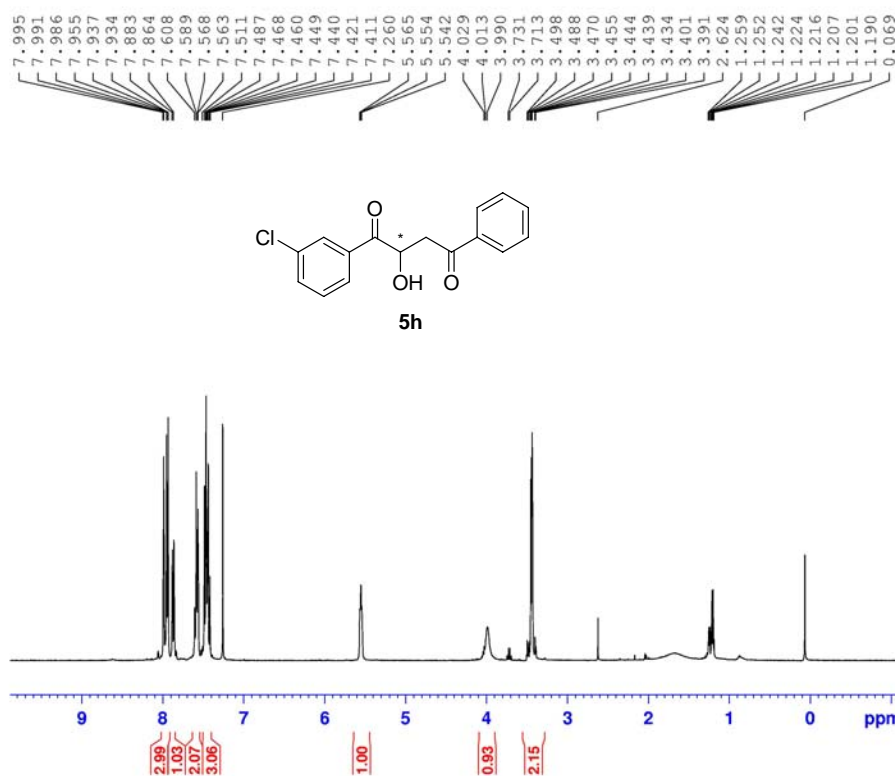
Current Data Parameters  
NAME 2009-03-10 zhengke-ZK-N91  
EXPNO 1  
PROCNO 1

F2 - Acquisition Parameters  
Date\_ 20090310  
Time 15.34  
INSTRUM spect  
PROBHD 5 mm PATXI 1H/  
PULPROG zgpg30  
TD 65536  
SOLVENT CDCl3  
NS 364  
DS 0  
SWH 36057.691 Hz  
FIDRES 0.350197 Hz  
AQ 0.9088159 sec  
RG 2050  
DW 11.867 usec  
DE 6.50 usec  
TE 300.0 K  
D1 2.0000000 sec  
d11 0.0300000 sec  
DELTA 1.8999998 sec  
TDO 0

----- CHANNEL F1 -----  
NUC1 13C  
P1 11.50 usec  
PL1 -3.00 dB  
SFO1 150.9178988 MHz

----- CHANNEL F2 -----  
CPDPRG2 waltz16  
NUC2 1H  
PCPD2 80.00 usec  
PL12 19.50 dB  
PL13 19.50 dB  
PL2 -1.00 dB  
SFO2 600.1324005 MHz

F2 - Processing parameters  
SI 32768  
SF 150.9028090 MHz  
WDM EM  
SBB 0  
LB 1.00 Hz  
GB 0  
PC 1.40

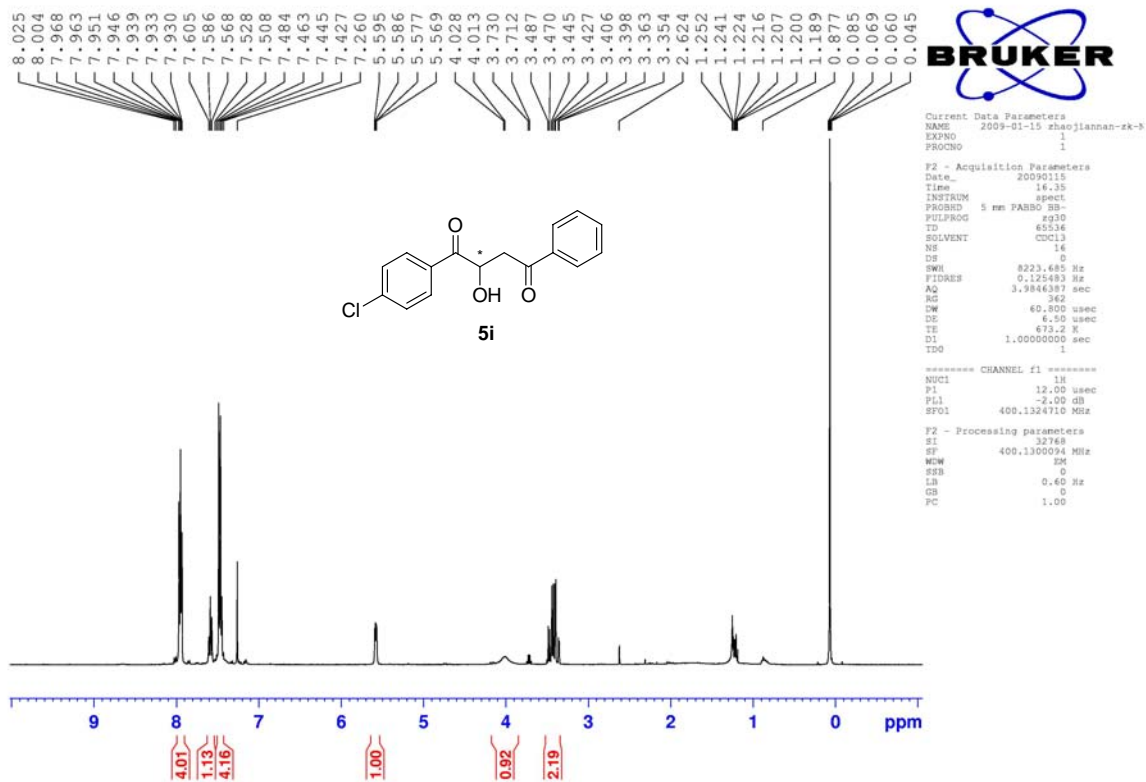
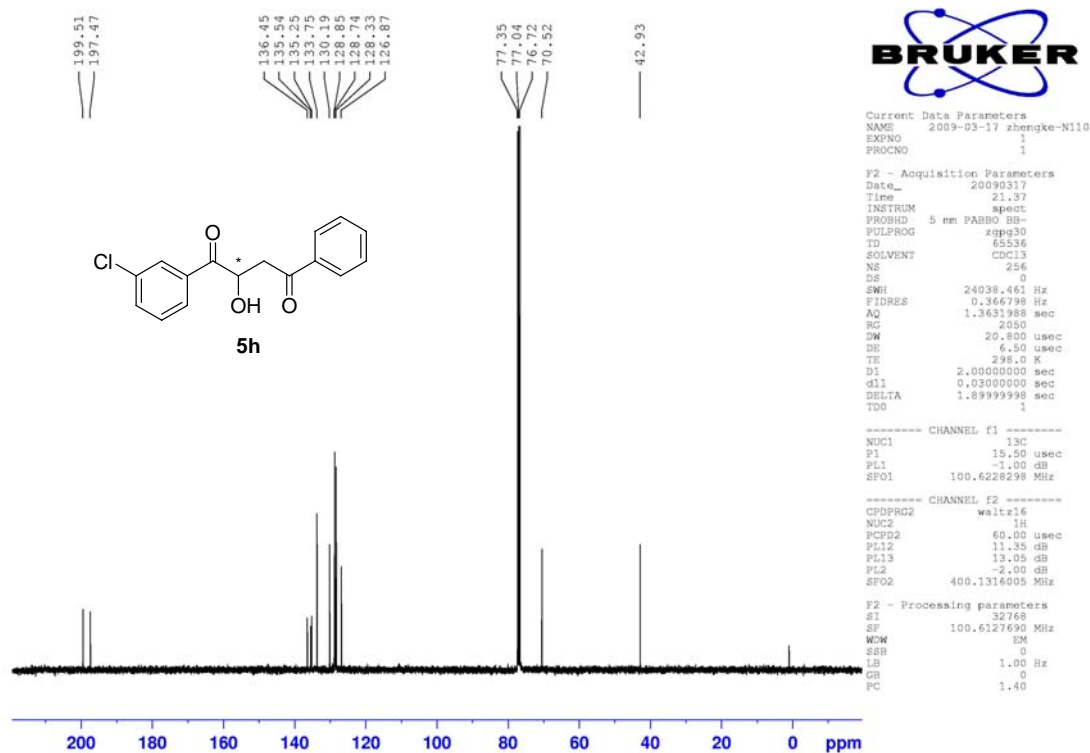


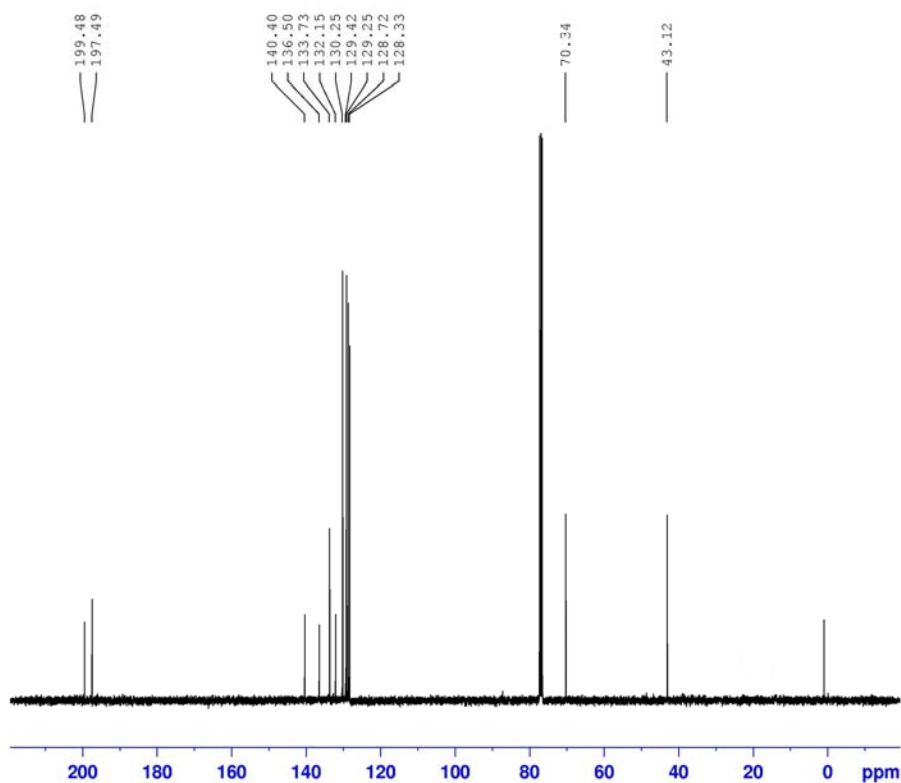
Current Data Parameters  
NAME 2009-01-15 zhaojianan-zk-1  
EXPNO 1  
PROCNO 1

F2 - Acquisition Parameters  
Date\_ 20090115  
Time 16.30  
INSTRUM spect  
PROBHD 5 mm F4BBO BB-  
PULPROG zg30  
TD 65536  
SOLVENT CDCl3  
NS 16  
DS 0  
SWH 8023.685 Hz  
FIDRES 0.125483 Hz  
AQ 3.9846387 sec  
RG 362  
DW 60.800 usec  
DE 6.50 usec  
TE 273.2 K  
D1 1.0000000 sec  
TDO 1

----- CHANNEL F1 -----  
NUC1 1H  
P1 12.00 usec  
PL1 -2.00 dB  
SFO1 400.1324710 MHz

F2 - Processing parameters  
SI 32768  
SF 400.1300994 MHz  
WDM EM  
SBB 0  
LB 0.60 Hz  
GB 0  
PC 1.00





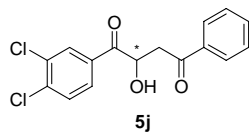
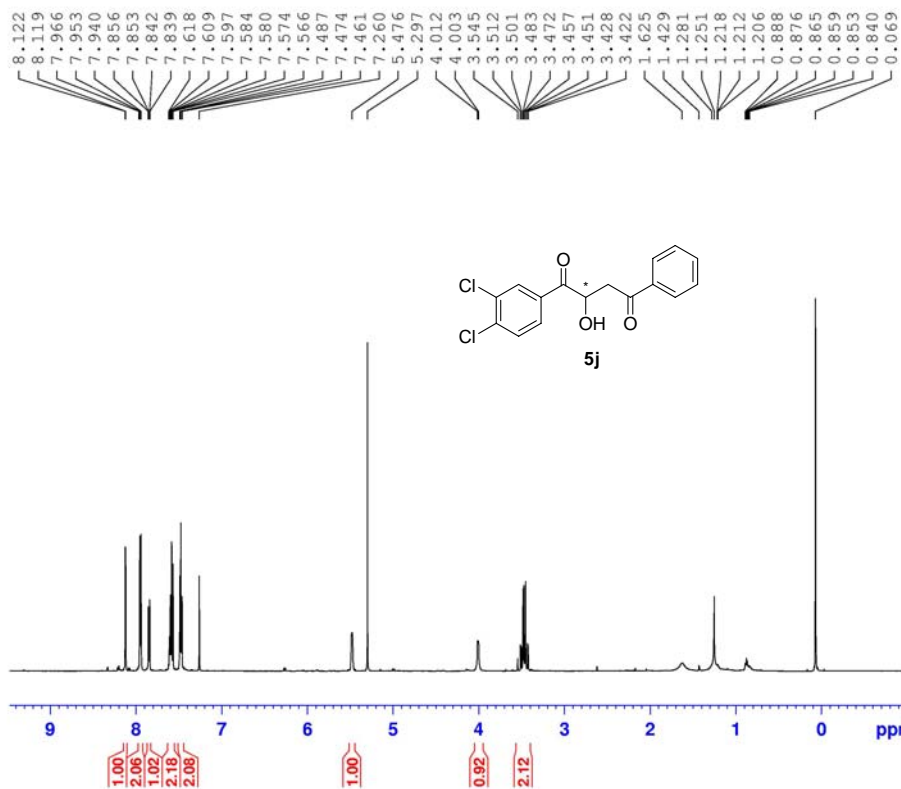
Current Data Parameters  
 NAME 2009-03-17 zhengke-N109  
 EXPNO 1  
 PROCNO 1

F2 - Acquisition Parameters  
 Date\_ 20090317  
 Time 21.03  
 INSTRUM spect  
 PROBHD 5 mm PABBO BB-  
 PULPROG zgpg30  
 ID 65536  
 SOLVENT CDCl3  
 NS 512  
 DS 0  
 SWH 24038.461 Hz  
 FIDRES 0.366798 Hz  
 AQ 1.3631988 sec  
 RG 2050  
 DW 20.800 usec  
 DE 6.50 usec  
 TE 297.7 K  
 D1 2.0000000 sec  
 d11 0.0300000 sec  
 DELTA 1.89999998 sec  
 TDO 1

===== CHANNEL f1 =====  
 NUC1 13C  
 P1 15.50 usec  
 PL1 -1.00 dB  
 SFO1 100.6228298 MHz

===== CHANNEL f2 =====  
 CPDPRG2 waltz16  
 NUC2 1H  
 PCPD2 60.00 usec  
 PL12 11.35 dB  
 PL13 13.05 dB  
 PL2 -2.00 dB  
 SFO2 400.1316005 MHz

F2 - Processing parameters  
 SI 32768  
 SF 100.6127690 MHz  
 WDW EM  
 SSB 0  
 LB 1.00 Hz  
 GB 0  
 PC 1.40

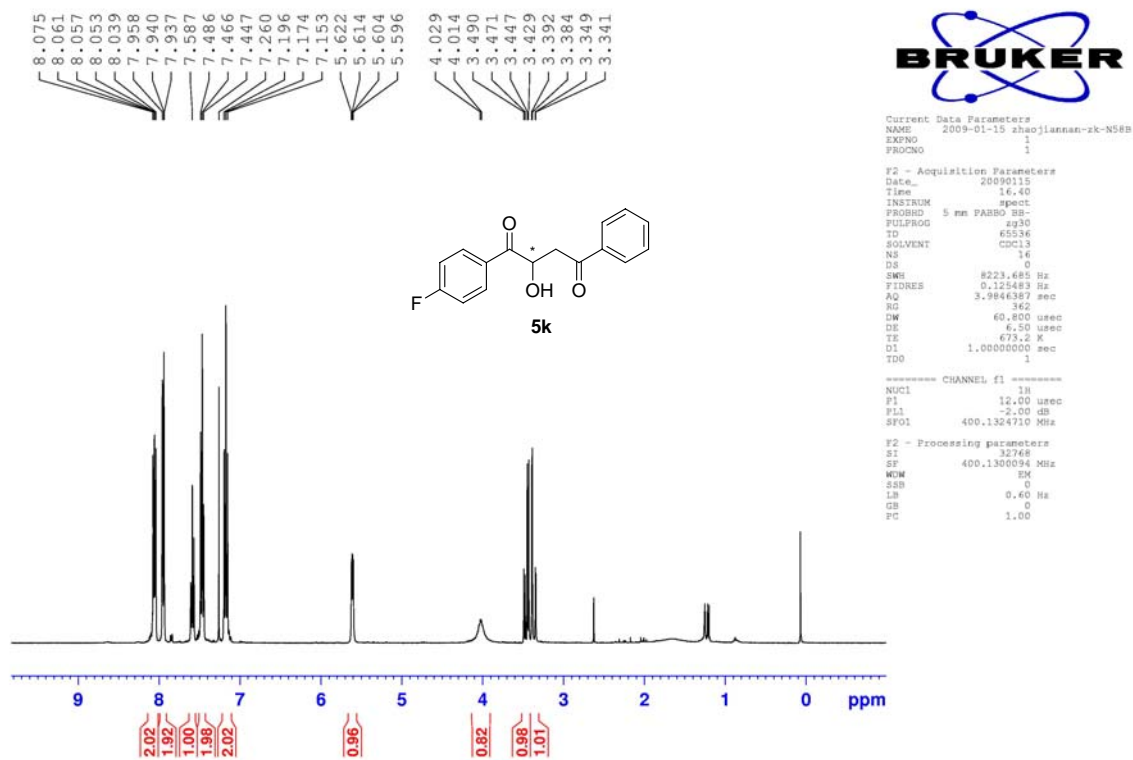
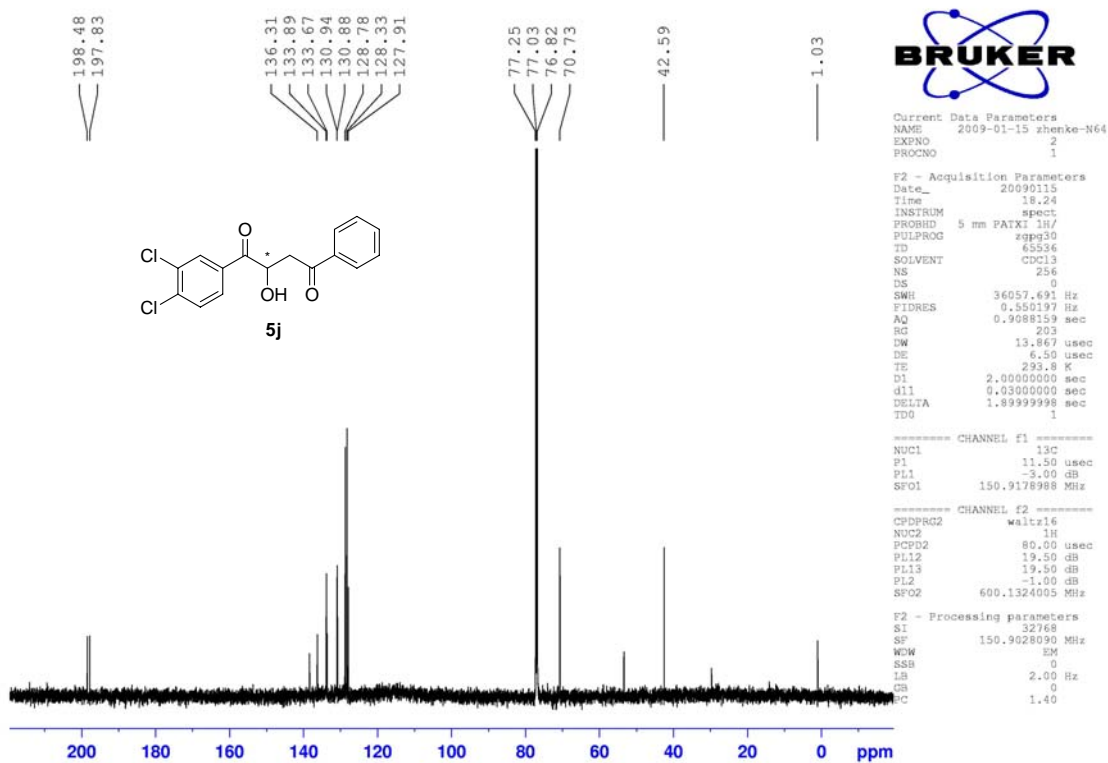


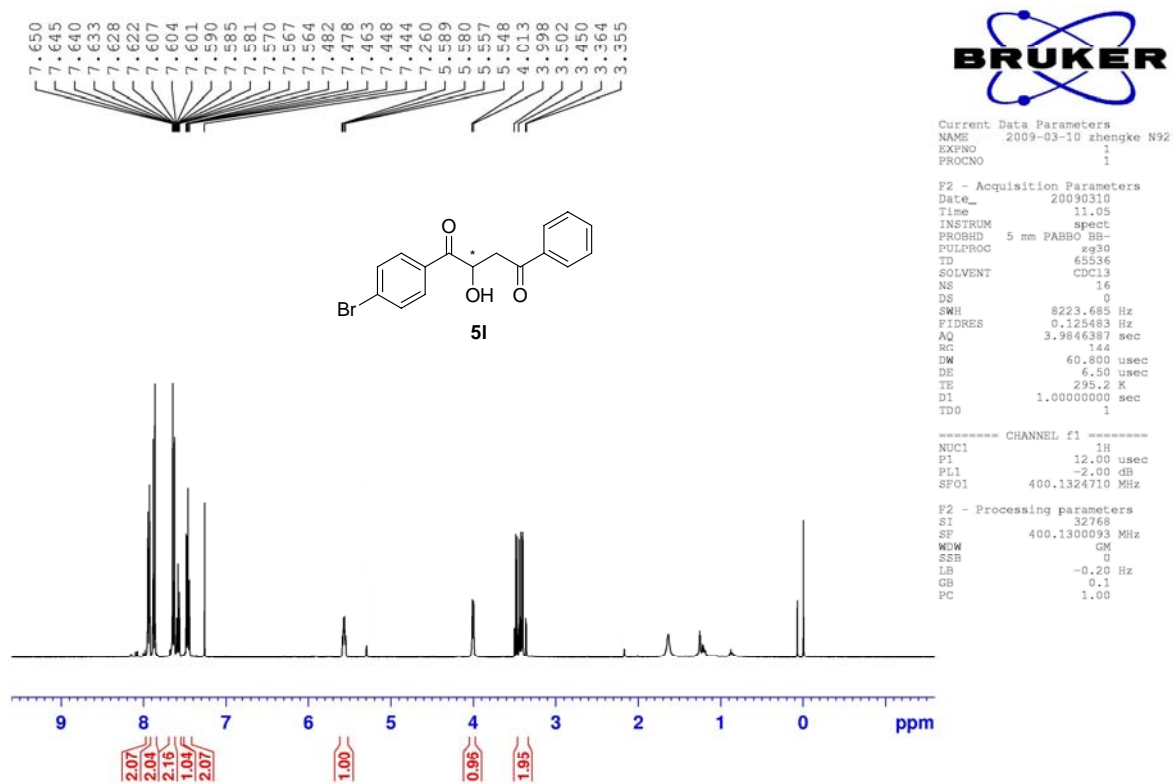
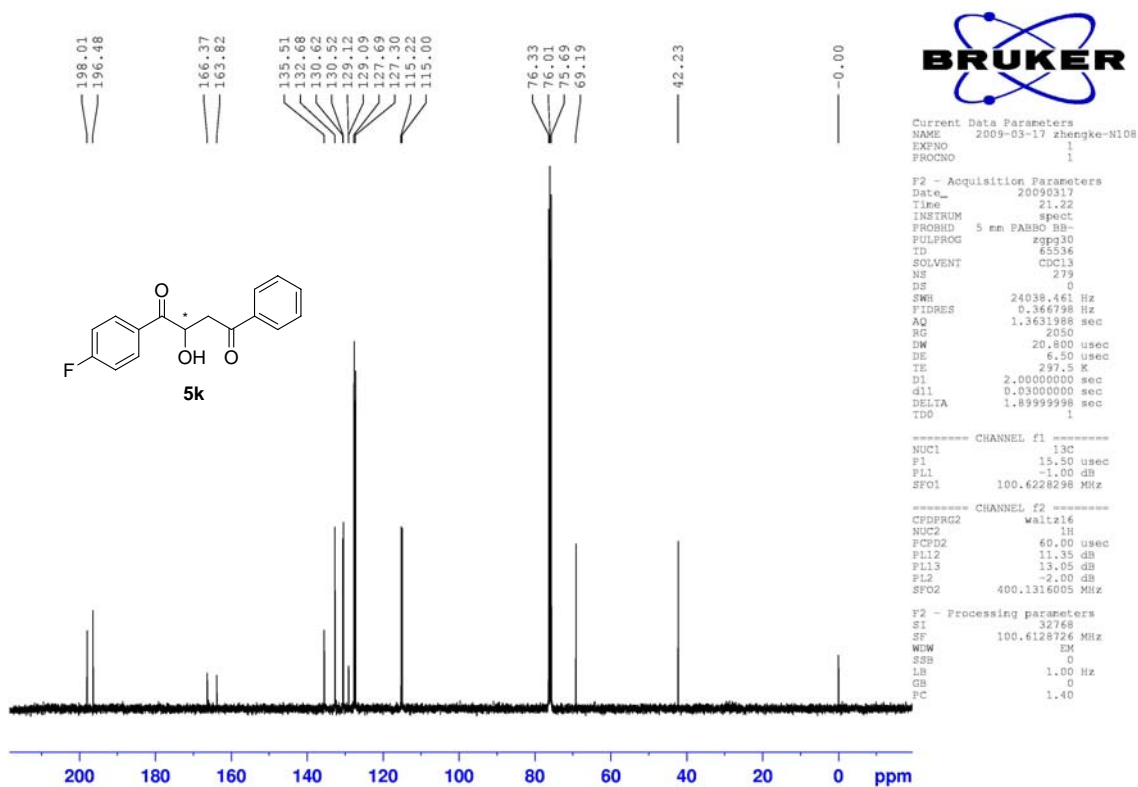
Current Data Parameters  
 NAME 2009-01-15 zhenke-N64  
 EXPNO 1  
 PROCNO 1

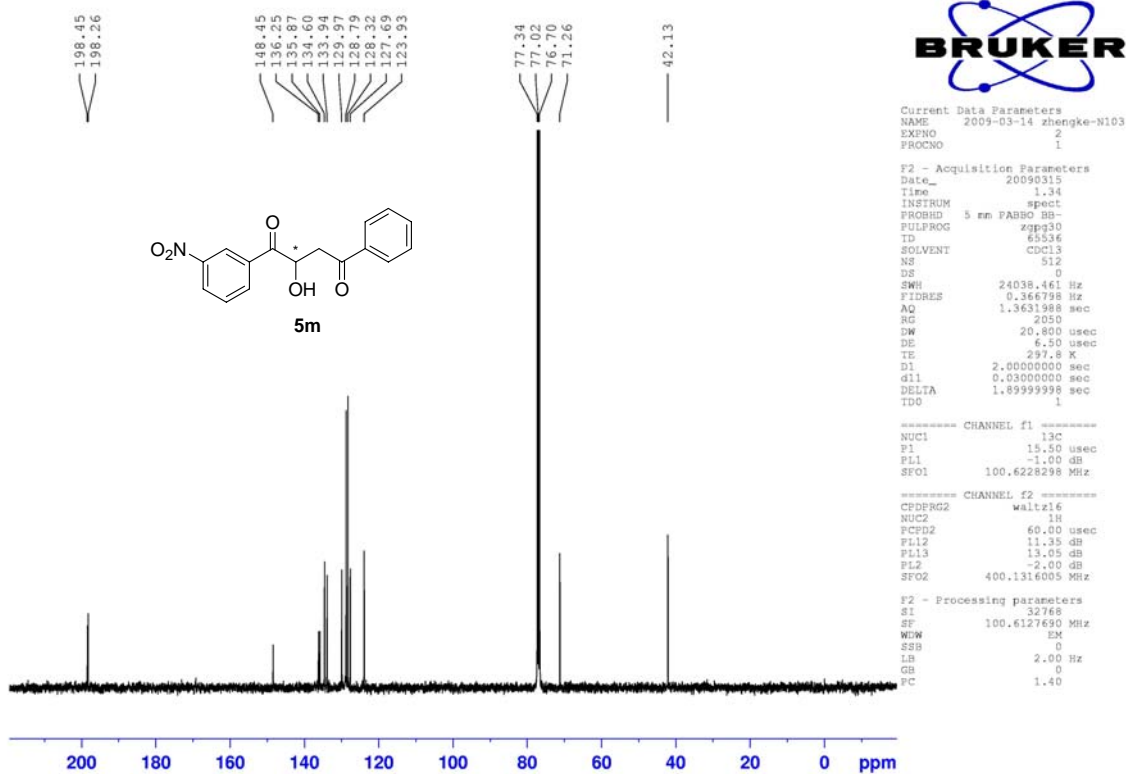
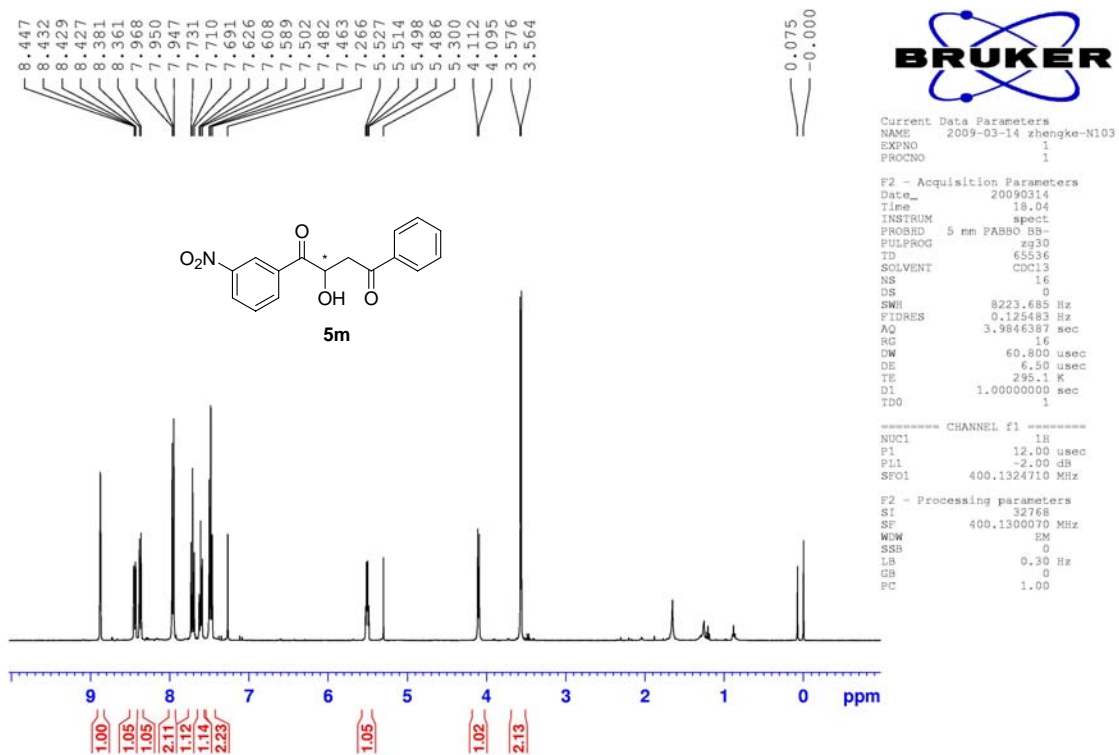
F2 - Acquisition Parameters  
 Date\_ 20090115  
 Time 18.11  
 INSTRUM spect  
 PROBHD 5 mm PATXI 1H/  
 PULPROG zg30  
 ID 65536  
 SOLVENT CDCl3  
 NS 16  
 DS 0  
 SWH 12335.526 Hz  
 FIDRES 0.188225 Hz  
 AQ 2.6564496 sec  
 RG 203  
 DW 40.533 usec  
 DE 6.50 usec  
 TE 293.8 K  
 D1 1.0000000 sec  
 TDO 1

===== CHANNEL f1 =====  
 NUC1 1H  
 P1 7.20 usec  
 PL1 -1.00 dB  
 SFO1 600.1337060 MHz

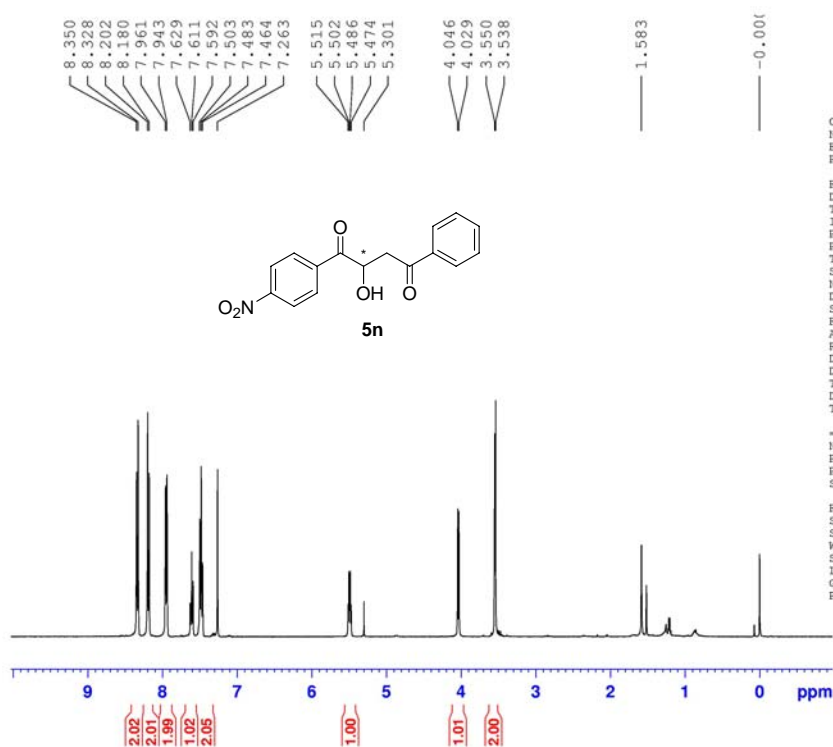
F2 - Processing parameters  
 SI 32768  
 SF 600.1300096 MHz  
 WDW EM  
 SSB 0  
 LB 0.60 Hz  
 GB 0  
 PC 1.00









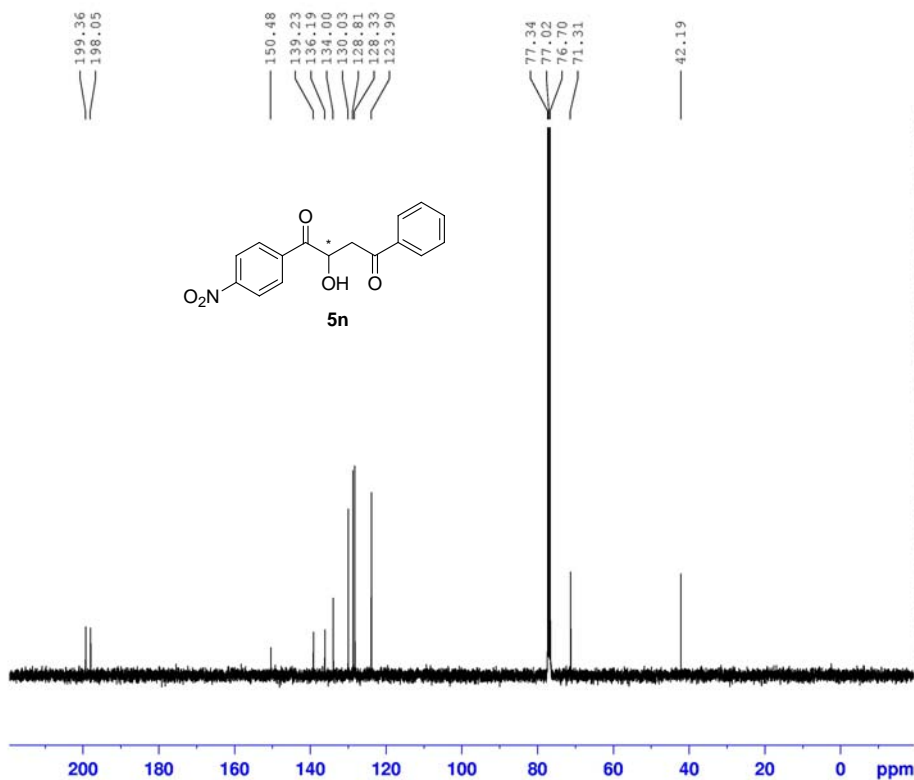


Current Data Parameters  
 NAME 2009-03-14 zhengke-N104  
 EXPNO 1  
 PROCNO 1

F2 - Acquisition Parameters  
 Date\_ 20090314  
 Time 17.21  
 INSTRUM spect  
 PROBHD 5 mm PABBO BB-  
 PULPROG zg30  
 TD 65536  
 SOLVENT CDCl3  
 NS 16  
 DS 0  
 SWH 8223.685 Hz  
 FIDRES 0.125483 Hz  
 AQ 3.9846387 sec  
 RG 16  
 DW 60.800 usec  
 DE 6.50 usec  
 TE 294.6 K  
 D1 1.00000000 sec  
 TD0 1

===== CHANNEL f1 =====  
 NUC1 1H  
 P1 12.00 usec  
 PL1 -2.00 dB  
 SFO1 400.1324710 MHz

F2 - Processing parameters  
 SI 32768  
 SF 400.1300081 MHz  
 WDW EM  
 SSB 0  
 LB 0.30 Hz  
 GB 0  
 PC 1.00



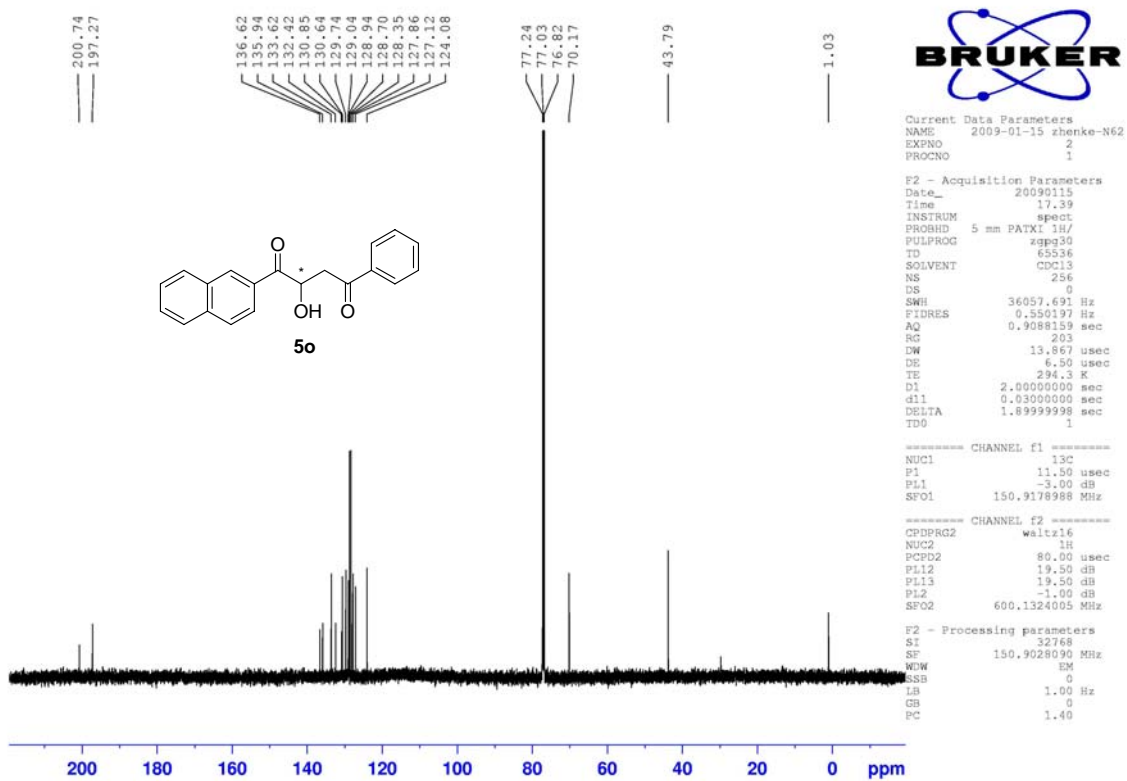
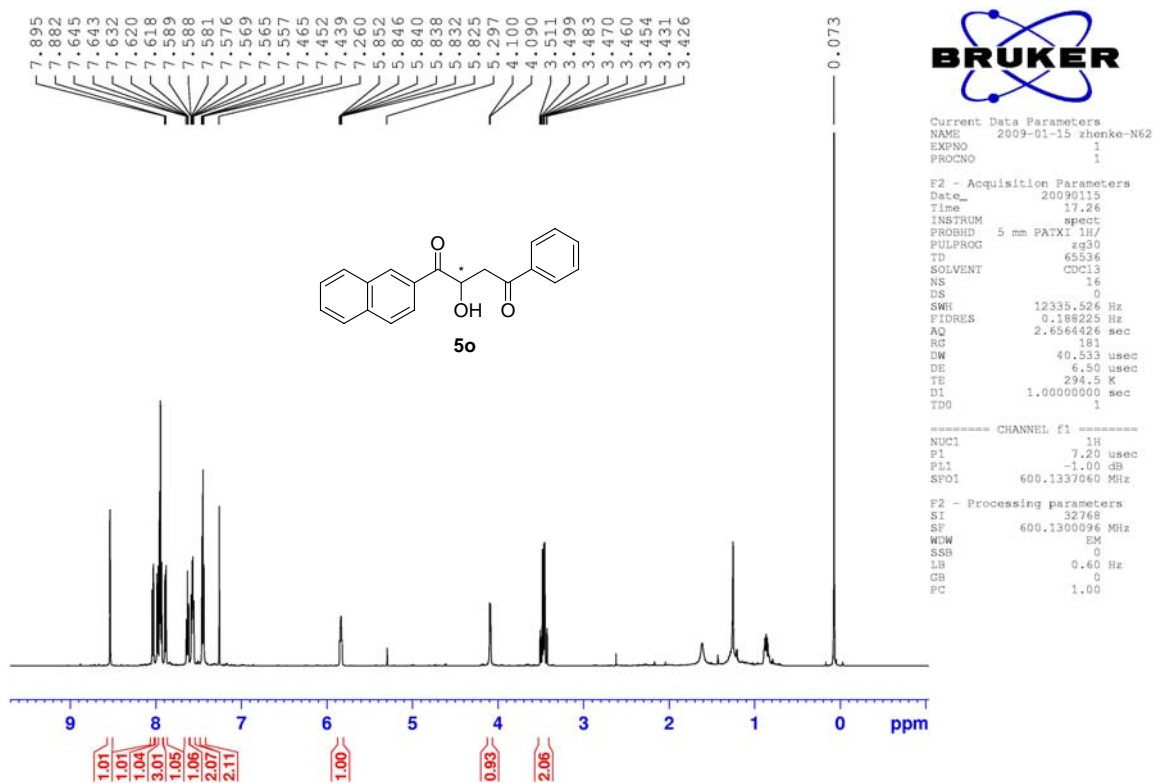
Current Data Parameters  
 NAME 2009-03-18 zhengke-N104  
 EXPNO 1  
 PROCNO 1

F2 - Acquisition Parameters  
 Date\_ 20090318  
 Time 11.20  
 INSTRUM spect  
 PROBHD 5 mm PABBO BB-  
 PULPROG zgpg30  
 TD 65536  
 SOLVENT CDCl3  
 NS 312  
 DS 0  
 SWH 24038.461 Hz  
 FIDRES 0.366798 Hz  
 AQ 1.3631988 sec  
 RG 2050  
 DW 20.800 usec  
 DE 6.50 usec  
 TE 297.4 K  
 D1 2.00000000 sec  
 d11 0.03000000 sec  
 DELTA 1.89999998 sec  
 TD0 1

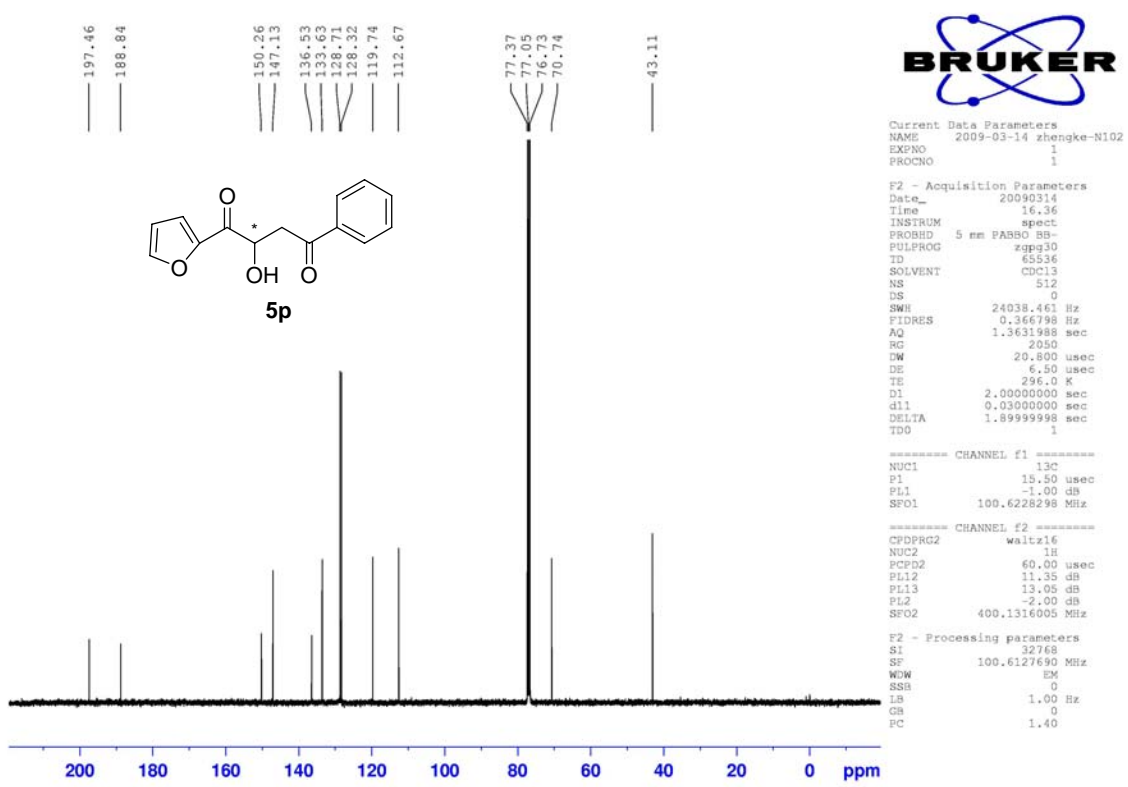
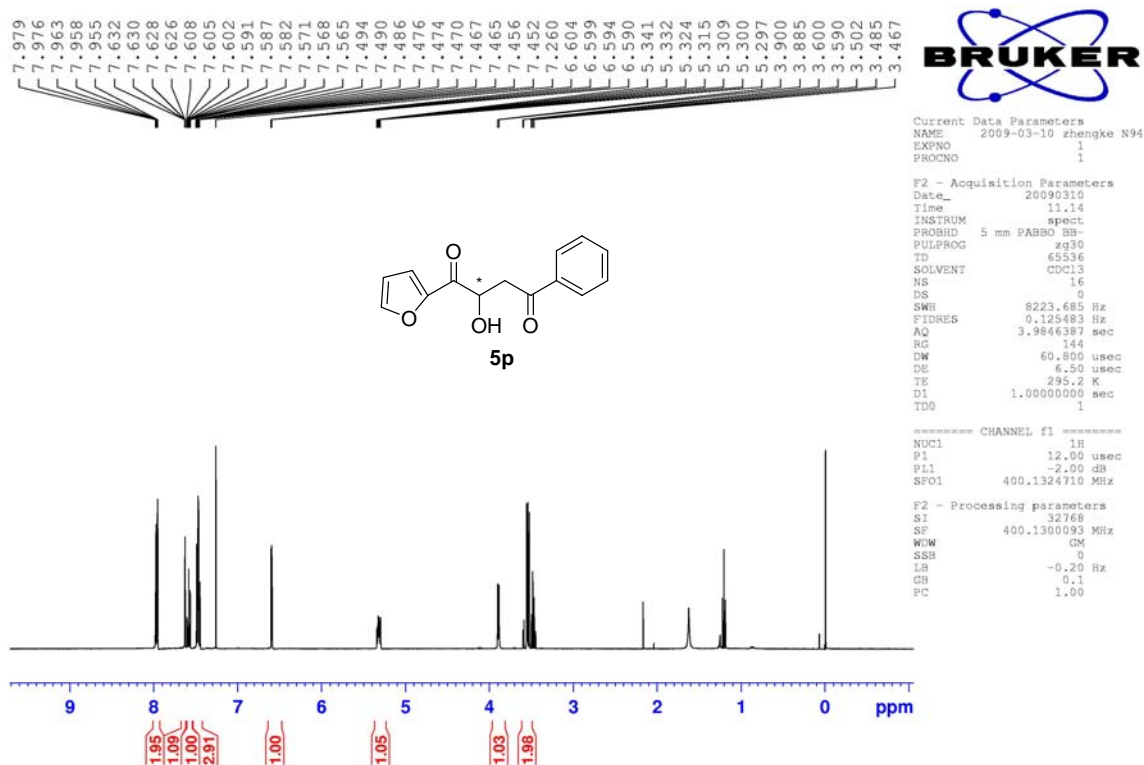
===== CHANNEL f1 =====  
 NUC1 13C  
 P1 15.50 usec  
 PL1 -1.00 dB  
 SFO1 100.6228298 MHz

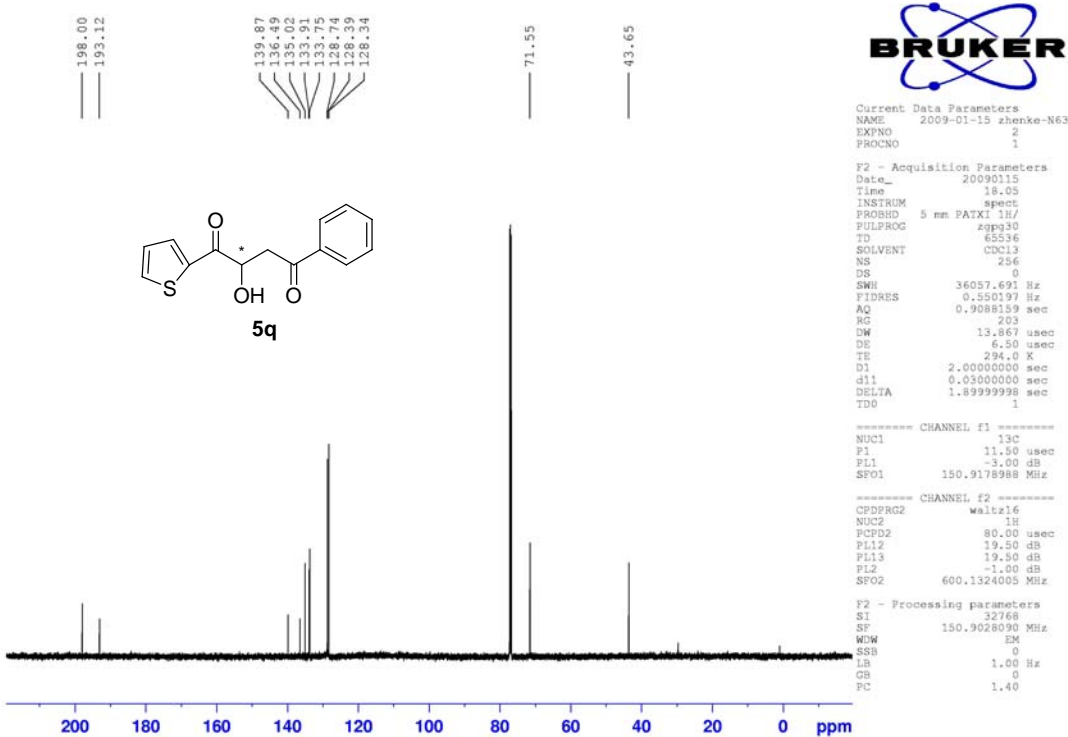
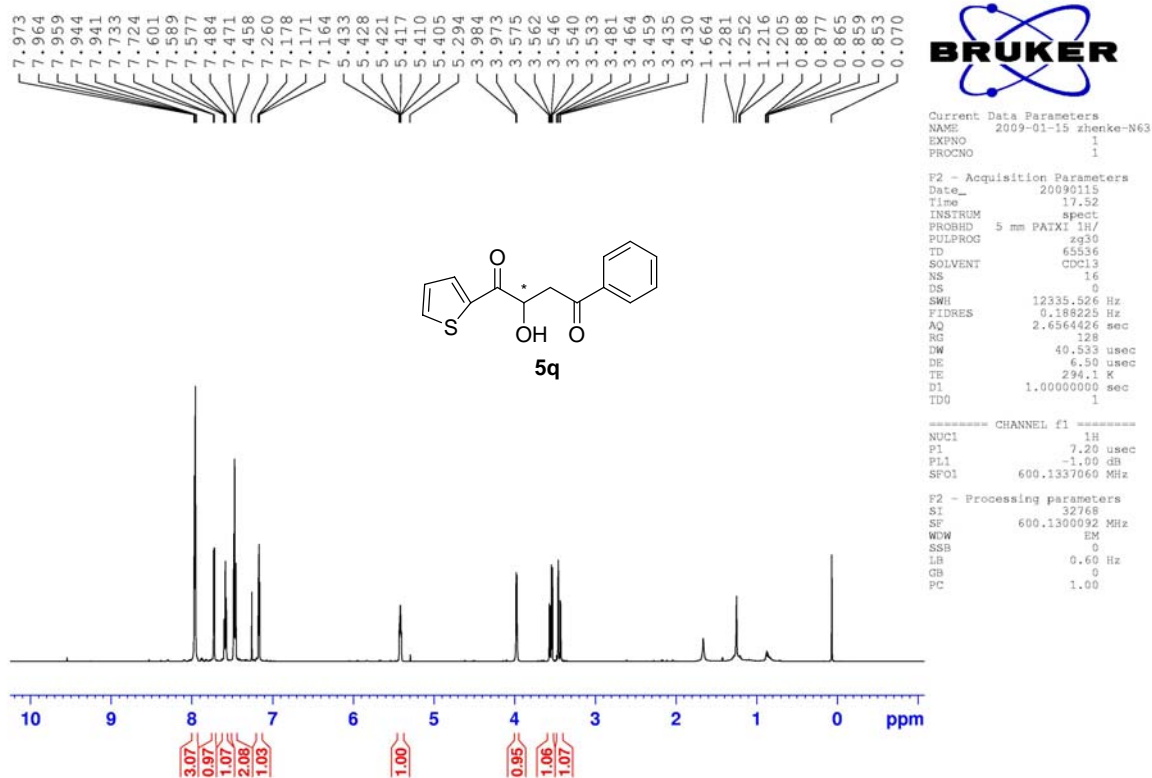
===== CHANNEL f2 =====  
 CPDPRG2 waltz16  
 NUC2 1H  
 PCDP2 60.00 usec  
 PL12 11.35 dB  
 PL13 13.05 dB  
 PL2 -2.00 dB  
 SFO2 400.1316005 MHz

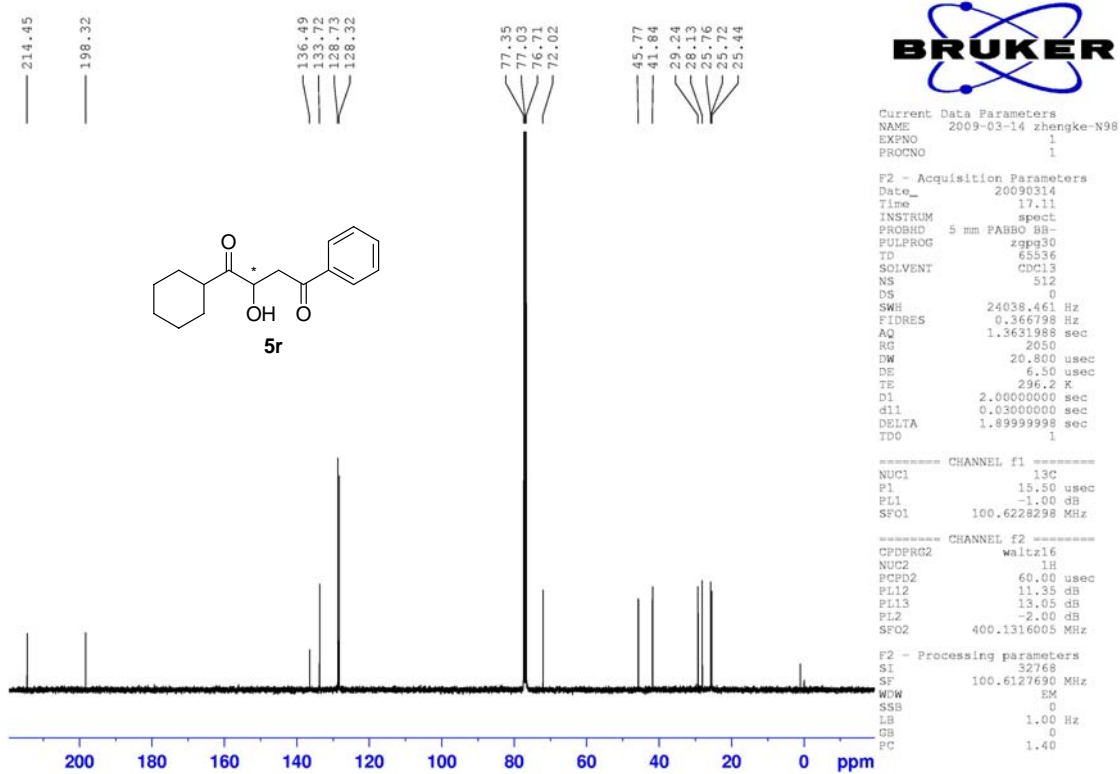
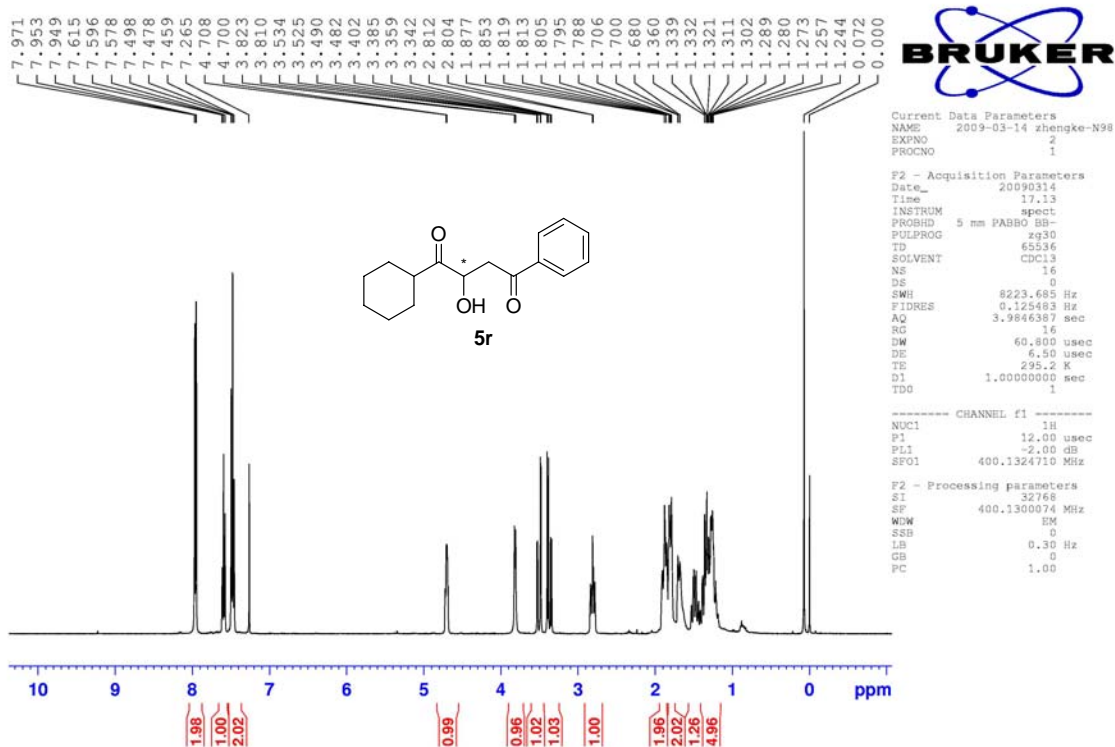
F2 - Processing parameters  
 SI 32768  
 SF 100.6127690 MHz  
 WDW EM  
 SSB 0  
 LB 1.00 Hz  
 GB 0  
 PC 1.40

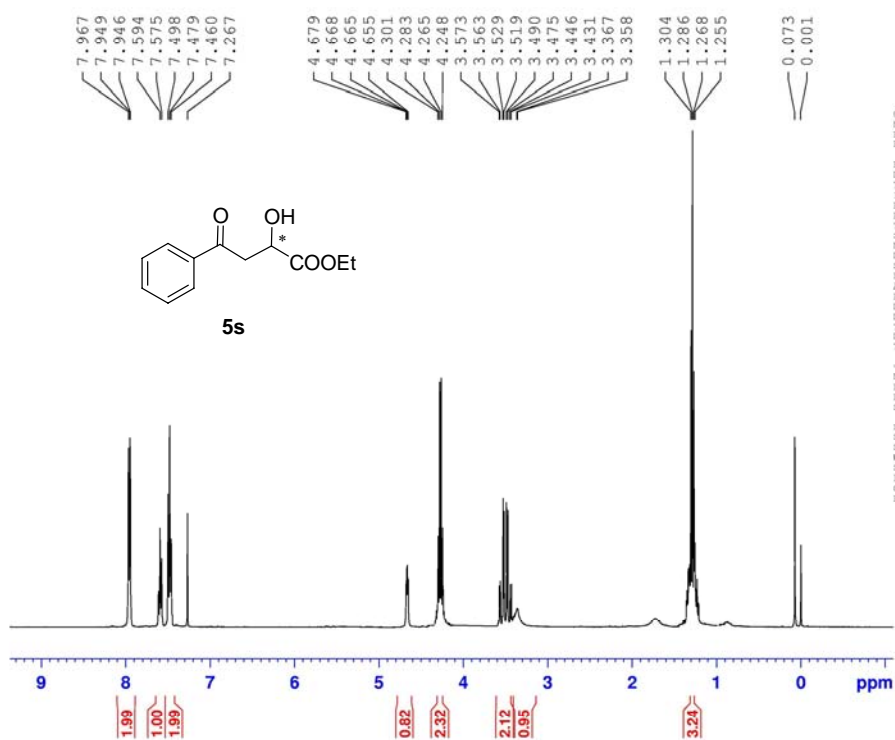










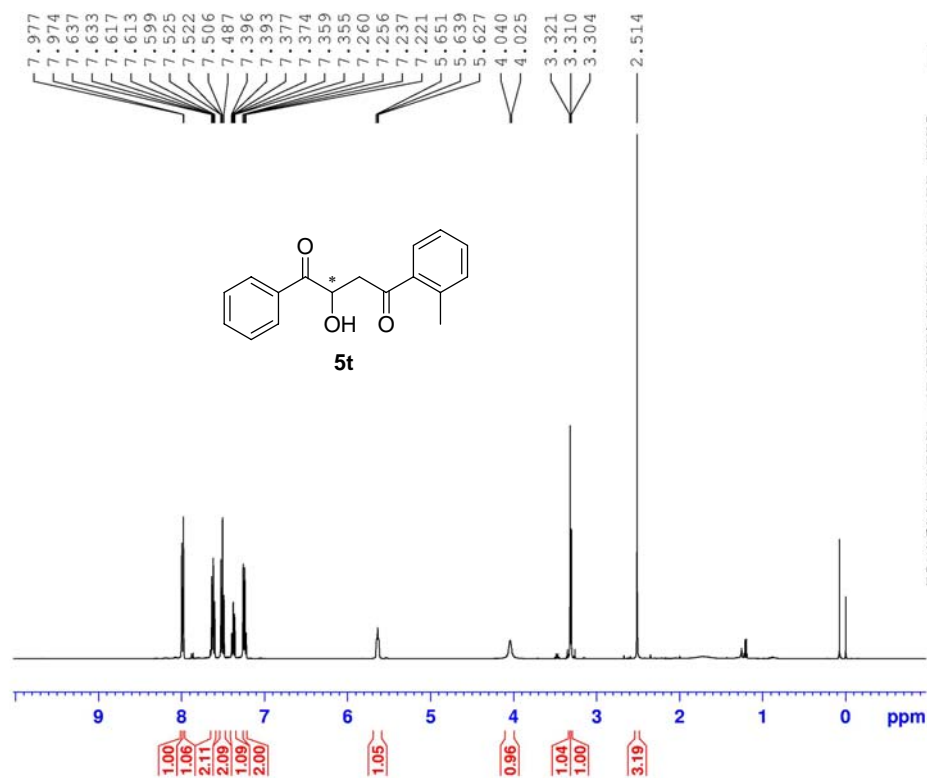


Current Data Parameters  
NAME 2009-05-04 zhenke-zk-N161  
EXPNO 1  
PROCNO 1

F2 - Acquisition Parameters  
Date\_ 20090504  
Time 15.54  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zg30  
TD 65536  
SOLVENT CDCl3  
NS 16  
DS 0  
SWH 8223.685 Hz  
FIDRES 0.125483 Hz  
AQ 3.9846387 sec  
RG 101  
DW 60.800 usec  
DE 6.50 usec  
TE 298.2 K  
D1 1.00000000 sec  
TDO 1

----- CHANNEL f1 -----  
NUC1 1H  
P1 12.00 usec  
PL1 -2.00 dB  
SFO1 400.1324710 MHz

F2 - Processing parameters  
SI 32768  
SF 400.1300064 MHz  
WDW EM  
SSB 0  
LB 0.30 Hz  
GB 0  
PC 1.00

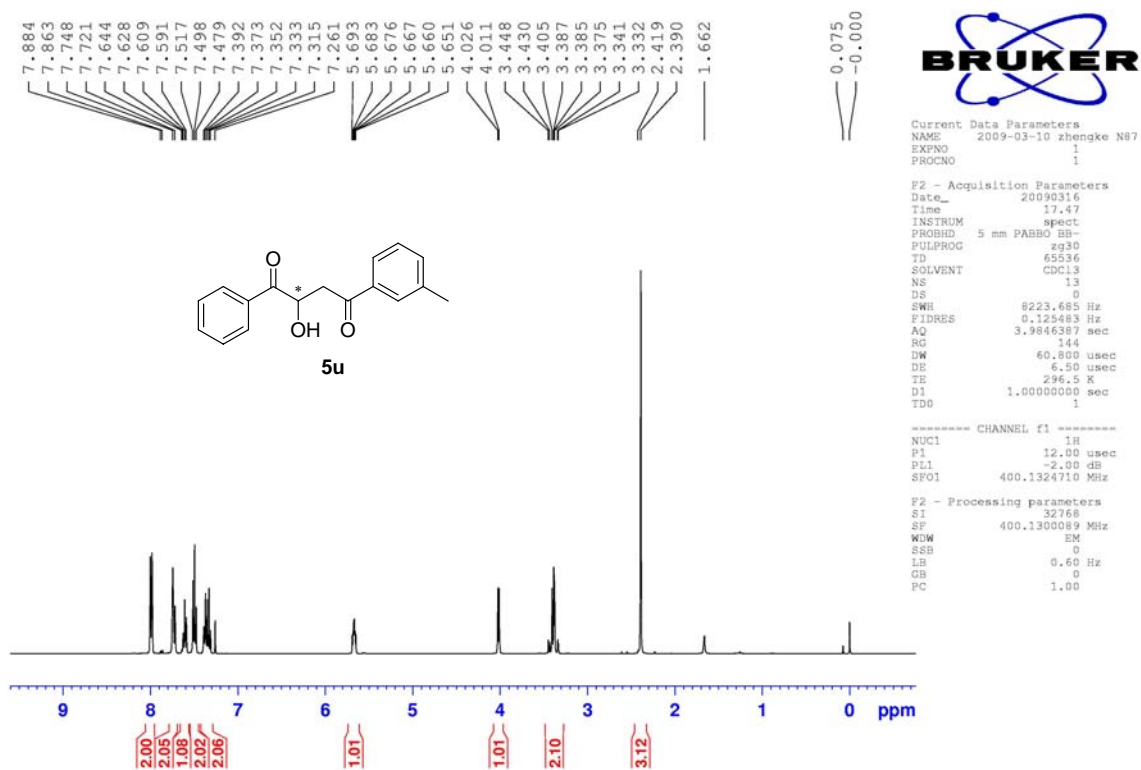
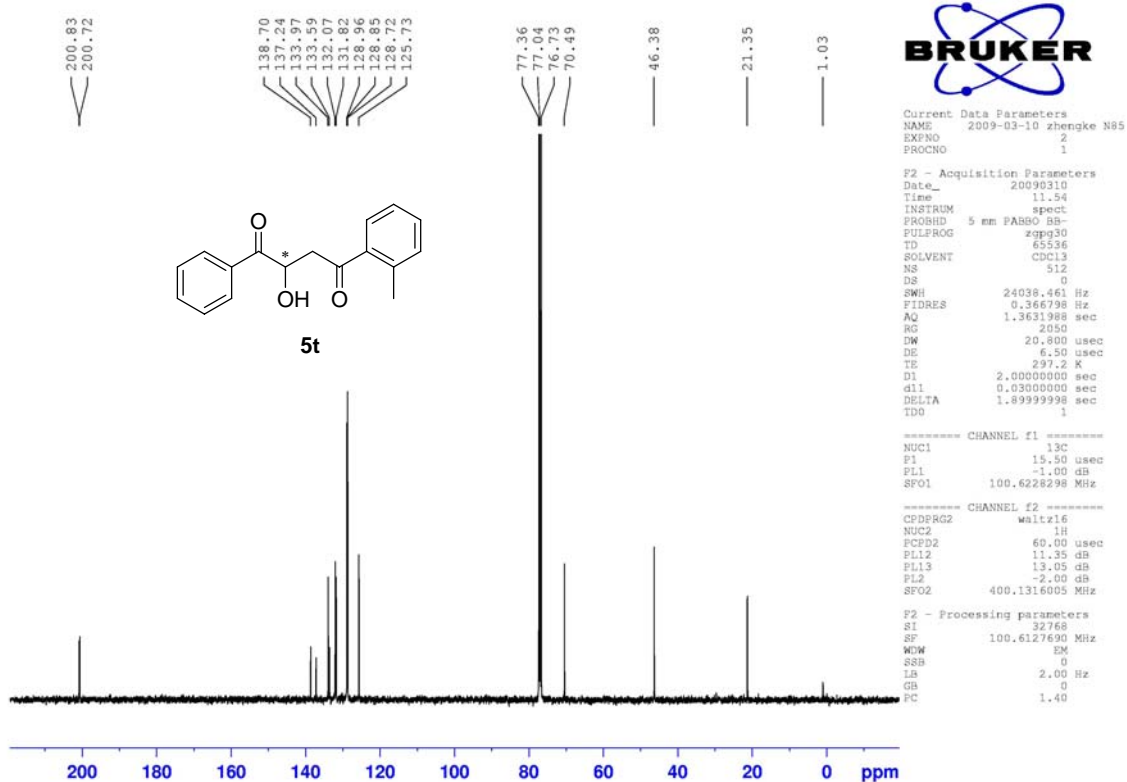


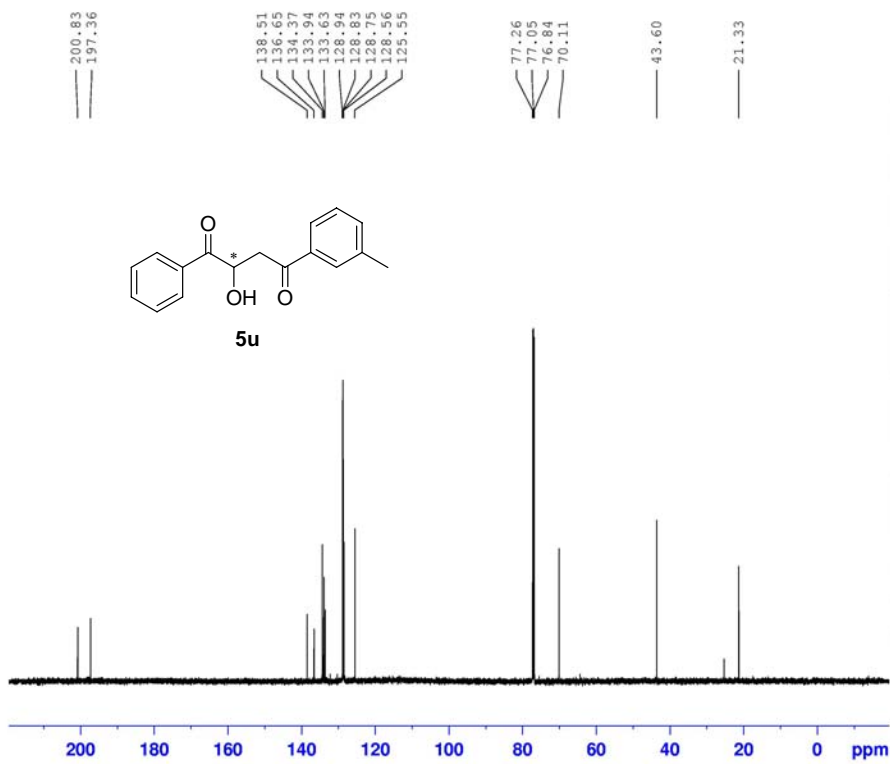
Current Data Parameters  
NAME 2009-03-10 zhenqke N85  
EXPNO 1  
PROCNO 1

F2 - Acquisition Parameters  
Date\_ 20090310  
Time 11.24  
INSTRUM spect  
PROBHD 5 mm PABBO BB-  
PULPROG zg30  
TD 65536  
SOLVENT CDCl3  
NS 16  
DS 0  
SWH 8223.685 Hz  
FIDRES 0.125483 Hz  
AQ 3.9846387 sec  
RG 144  
DW 60.800 usec  
DE 6.50 usec  
TE 295.1 K  
D1 1.00000000 sec  
TDO 1

----- CHANNEL f1 -----  
NUC1 1H  
P1 12.00 usec  
PL1 -2.00 dB  
SFO1 400.1324710 MHz

F2 - Processing parameters  
SI 32768  
SF 400.1300091 MHz  
WDW GM  
SSB 0  
LB -0.20 Hz  
GB 0.1  
PC 1.00





**BRUKER**

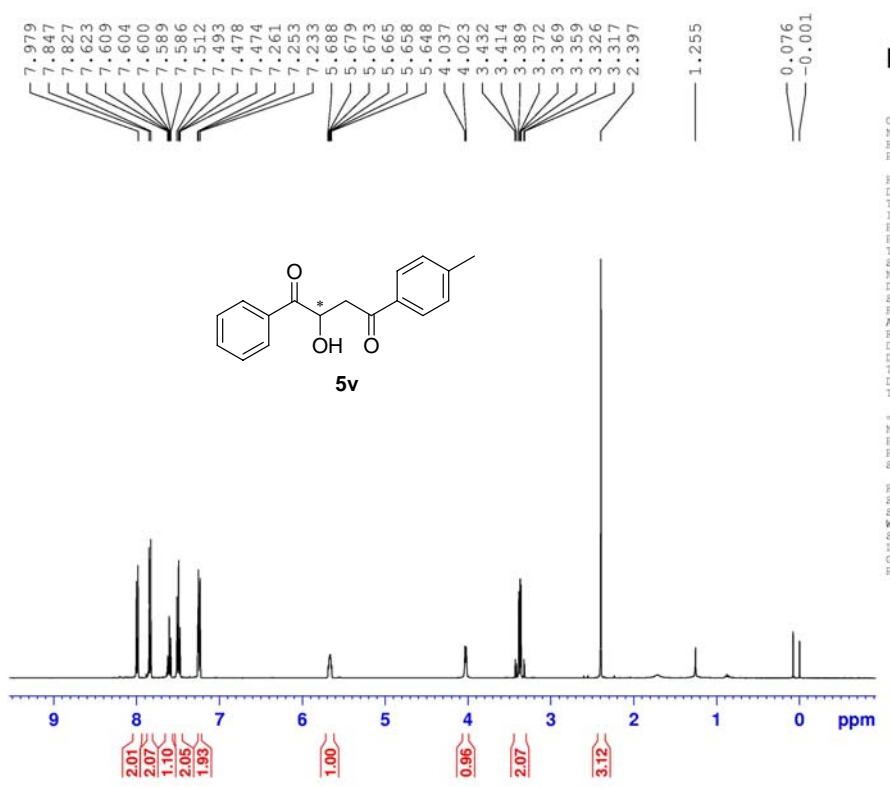
Current Data Parameters  
NAME 2009-03-10 zhengke-ZK-N87  
EXPNO 1  
PROCNO 1

F2 - Acquisition Parameters  
Date\_ 20090310  
Time 15.09  
INSTRUM spect  
PROBHD 5 mm PAXI 1H/  
PULPROG zgpg30  
TD 65536  
SOLVENT CDCl3  
NS 256  
DS 0  
SWH 36857.691 Hz  
FIDRES 0.550197 Hz  
AQ 0.9088159 sec  
RG 2050  
DW 13.867 usec  
DE 6.50 usec  
TE 295.8 K  
D1 2.0000000 sec  
d11 0.0300000 sec  
DELTA 1.8999999 sec  
TDO 1

----- CHANNEL f1 -----  
NUC1 13C  
P1 11.50 usec  
PL1 -3.00 dB  
SFO1 150.9178988 MHz

----- CHANNEL f2 -----  
CPDPRG2 waltz16  
NUC2 1H  
PCPD2 80.00 usec  
PL12 19.50 dB  
PL13 19.50 dB  
PL2 1.00 dB  
SFO2 600.1324005 MHz

F2 - Processing parameters  
SI 32768  
SF 150.9028090 MHz  
WDW EM  
SSB 0  
LB 1.00 Hz  
GB 0  
PC 1.40



**BRUKER**

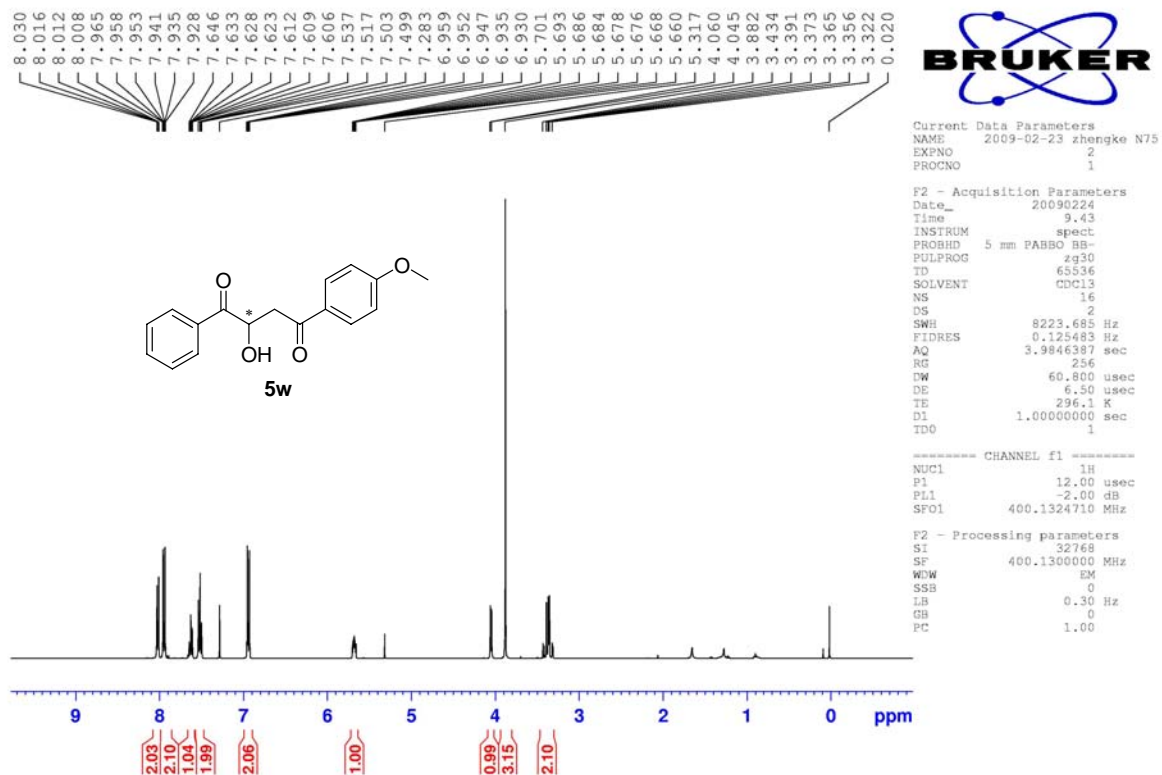
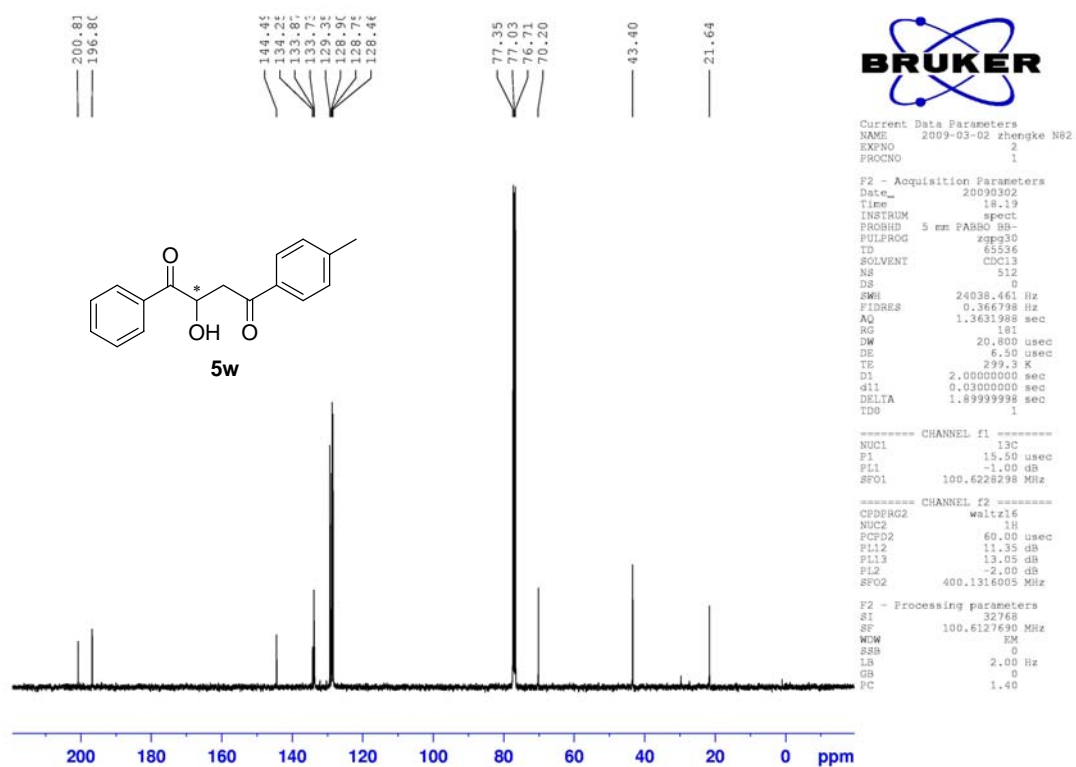
Current Data Parameters  
NAME 2009-03-02 zhengke N82  
EXPNO 1  
PROCNO 1

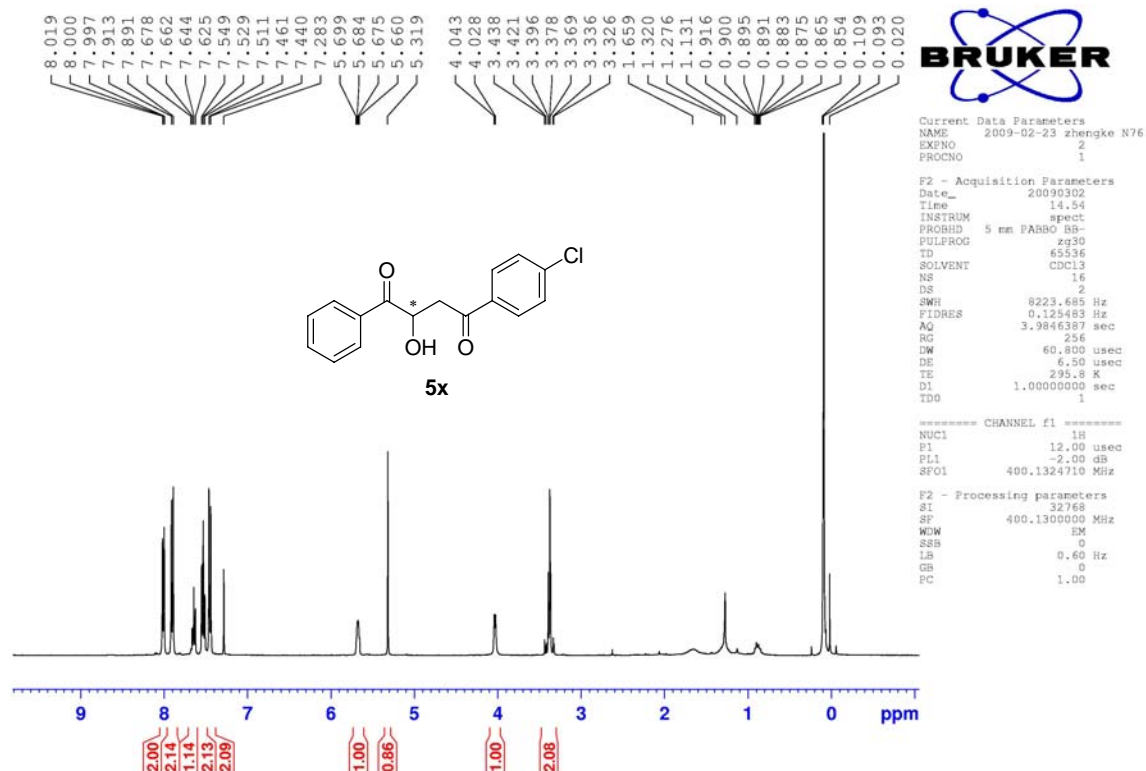
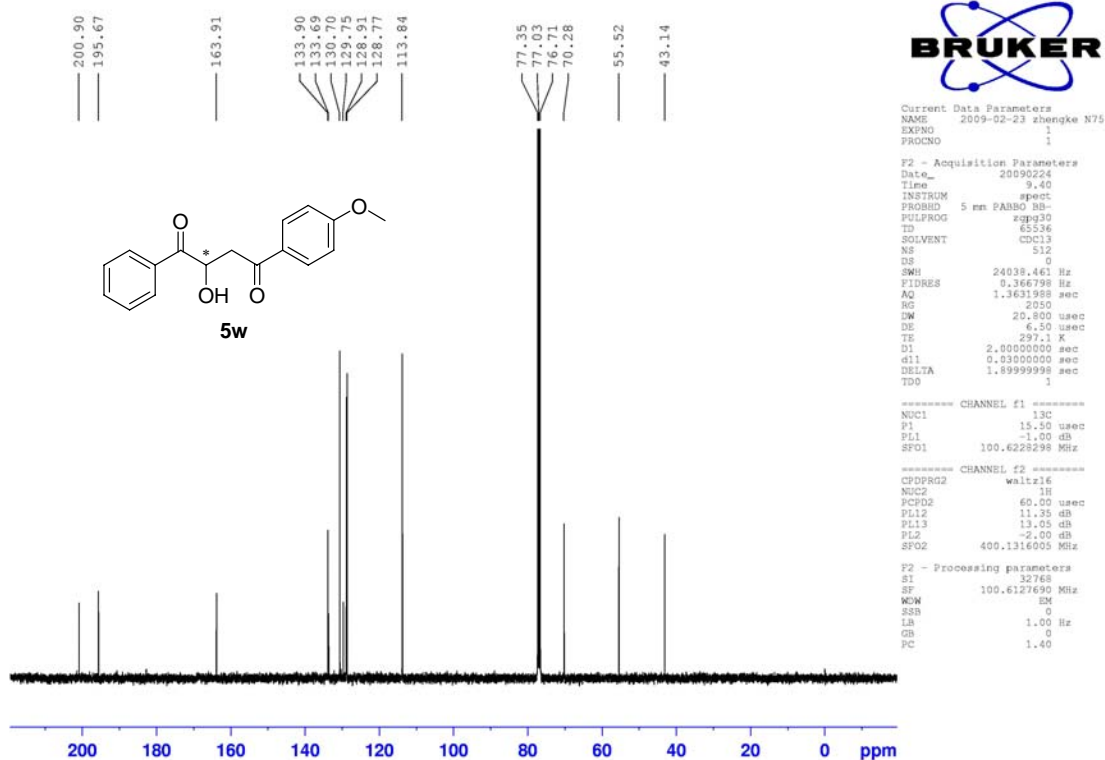
F2 - Acquisition Parameters  
Date\_ 20090302  
Time 17.50  
INSTRUM spect  
PROBHD 5 mm PABBO BS-  
PULPROG zg30  
TD 65536  
SOLVENT CDCl3  
NS 16  
DS 0  
SWH 8223.685 Hz  
FIDRES 0.125483 Hz  
AQ 3.9846387 sec  
RG 90.5  
DW 60.800 usec  
DE 6.50 usec  
TE 295.9 K  
D1 1.0000000 sec  
TDO 1

----- CHANNEL f1 -----  
NUC1 1H  
P1 12.00 usec  
PL1 -2.00 dB  
SFO1 400.1324710 MHz

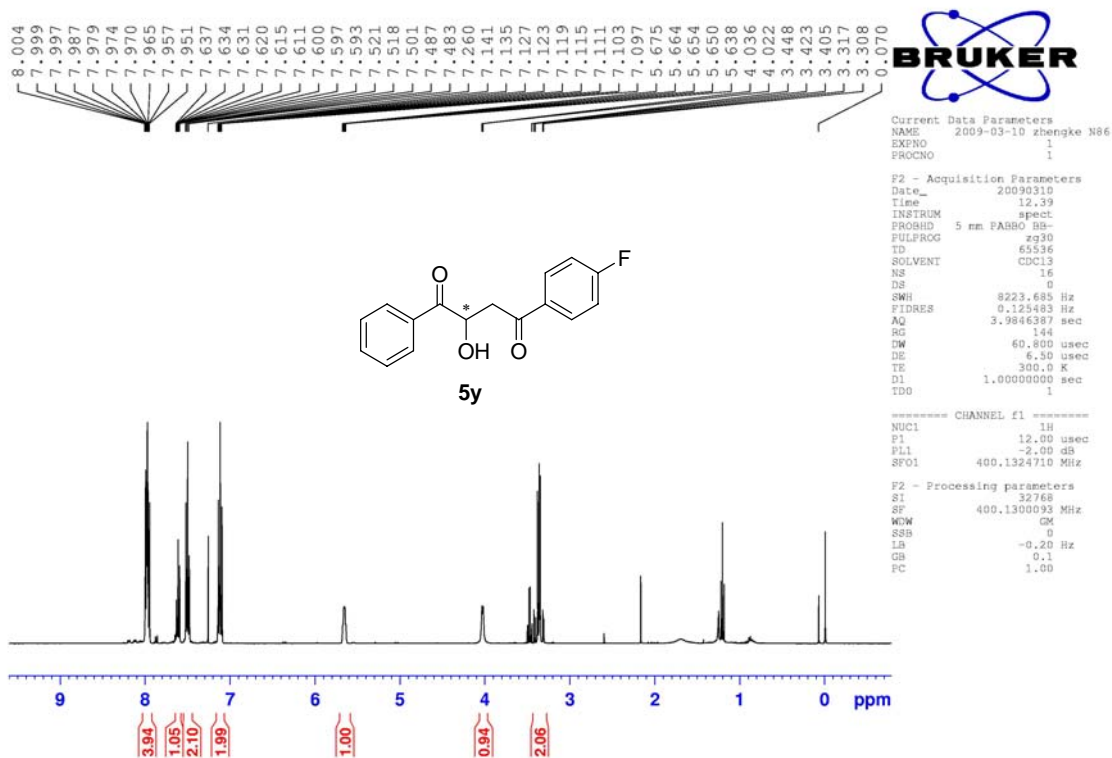
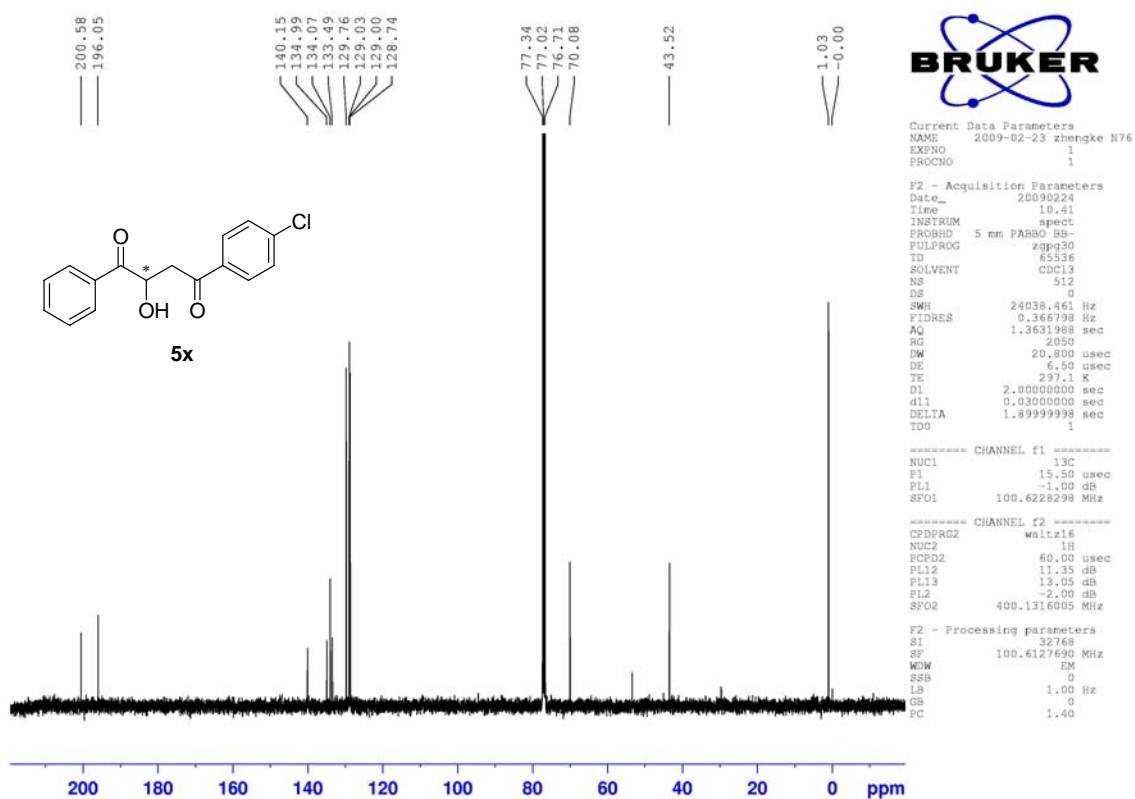
F2 - Processing parameters  
SI 32768  
SF 400.1300088 MHz  
WDW GM  
SSB 0  
LB -0.20 Hz  
GB 0.1  
PC 1.00

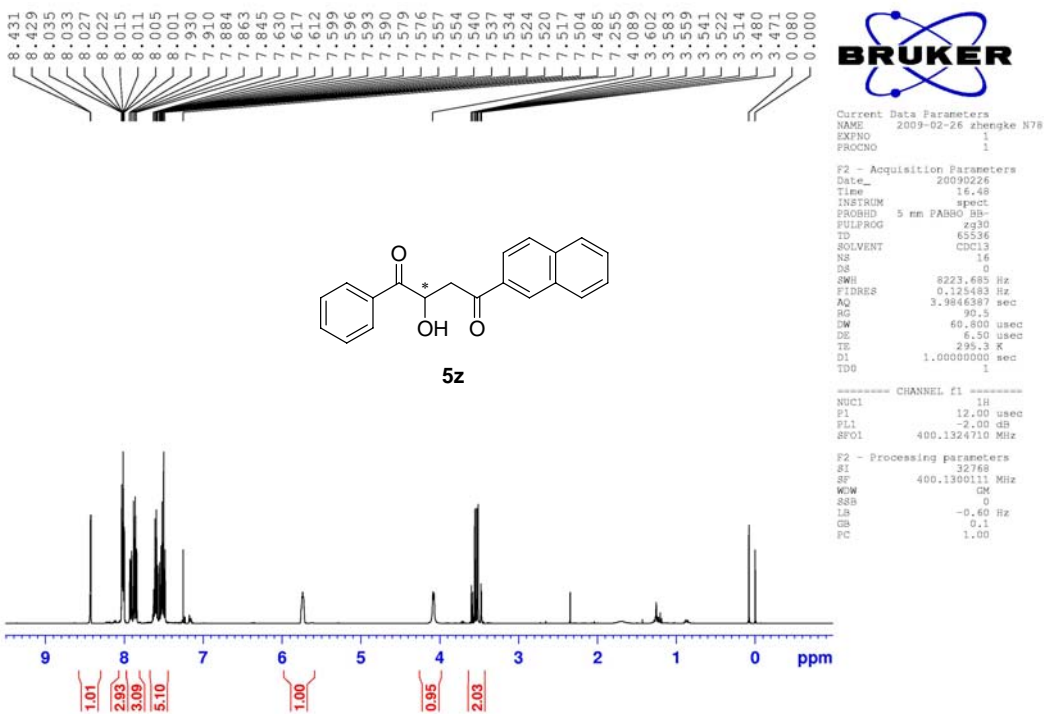
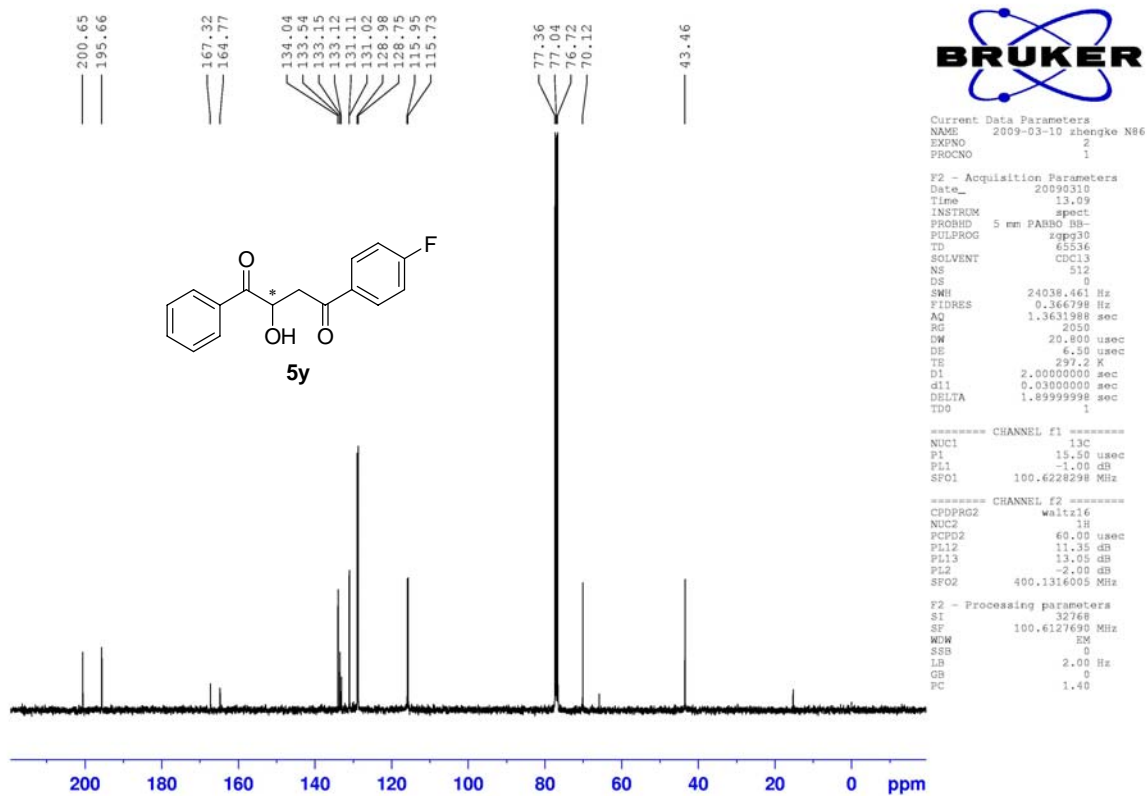


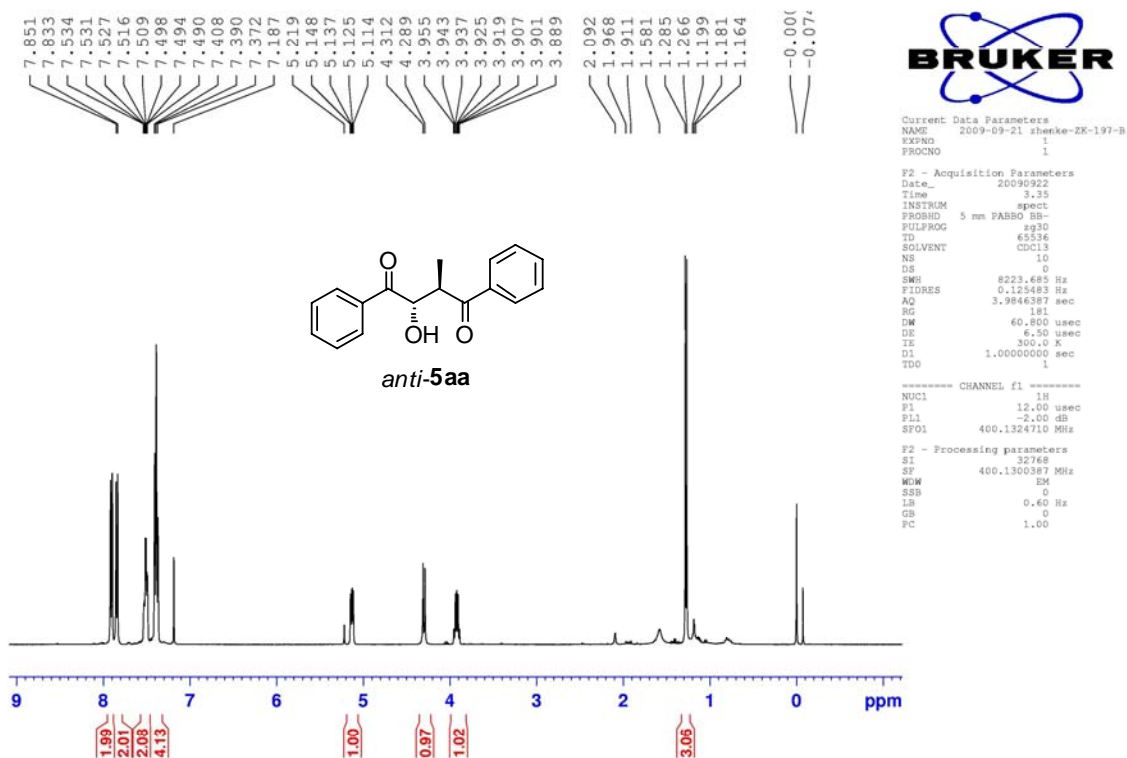
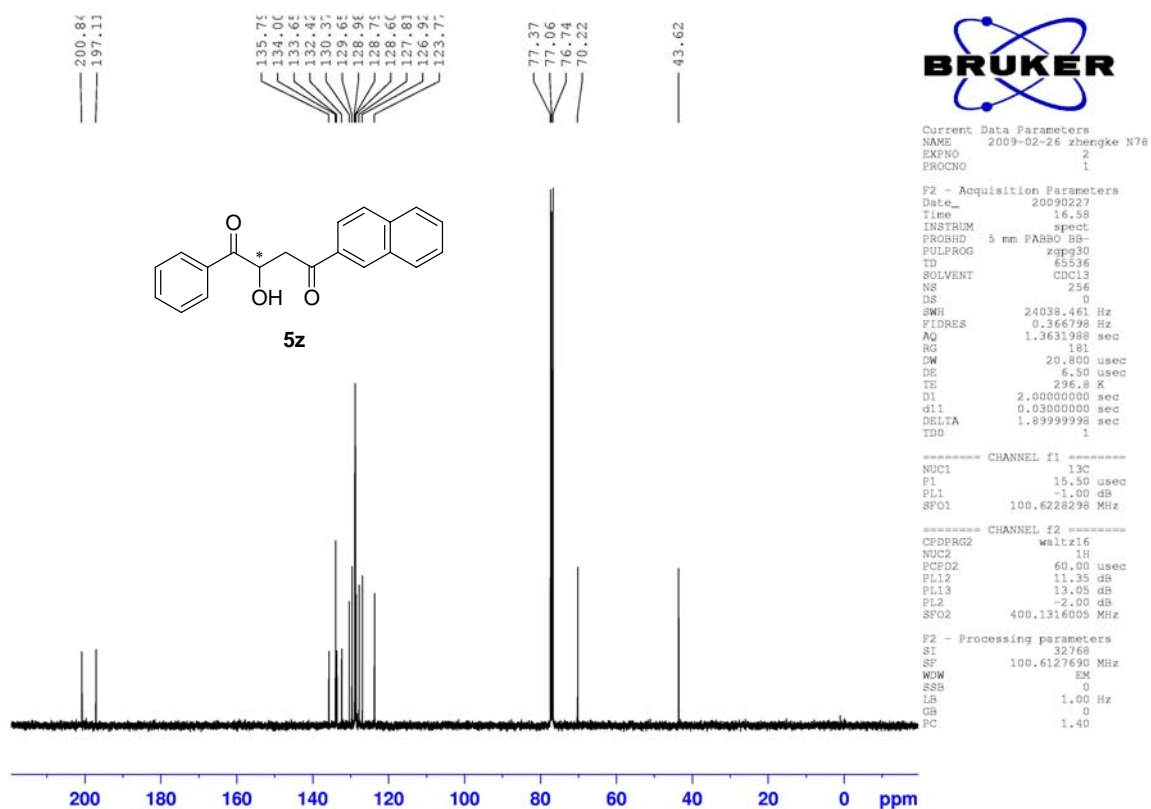


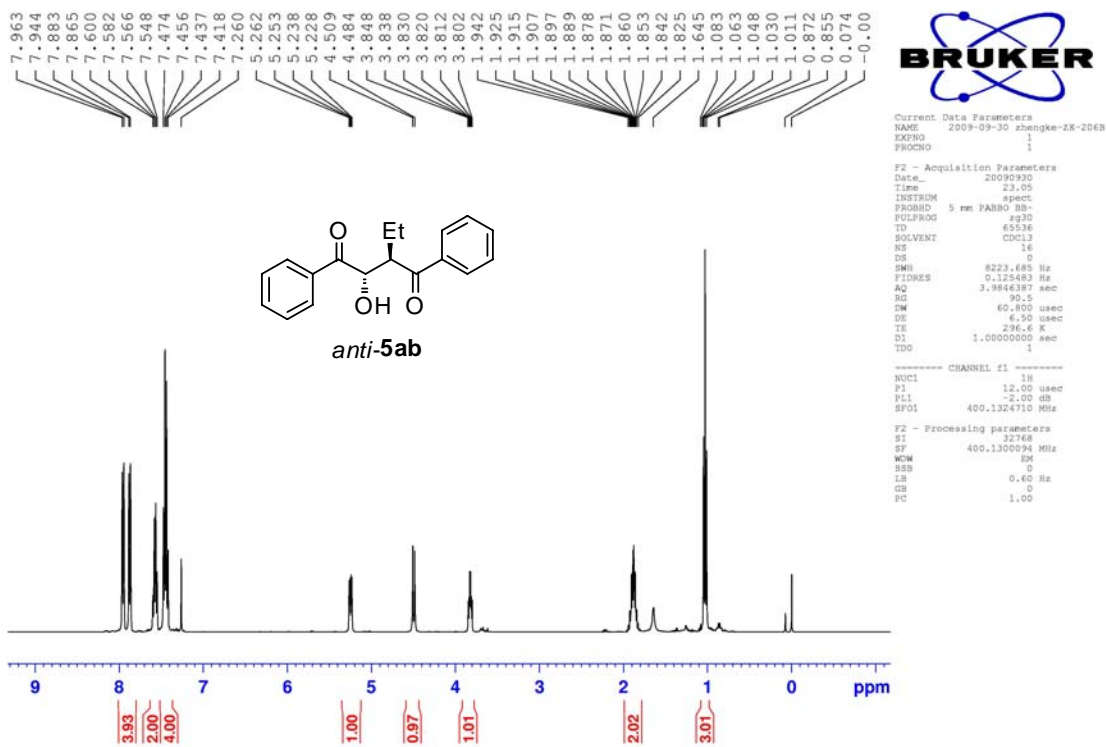
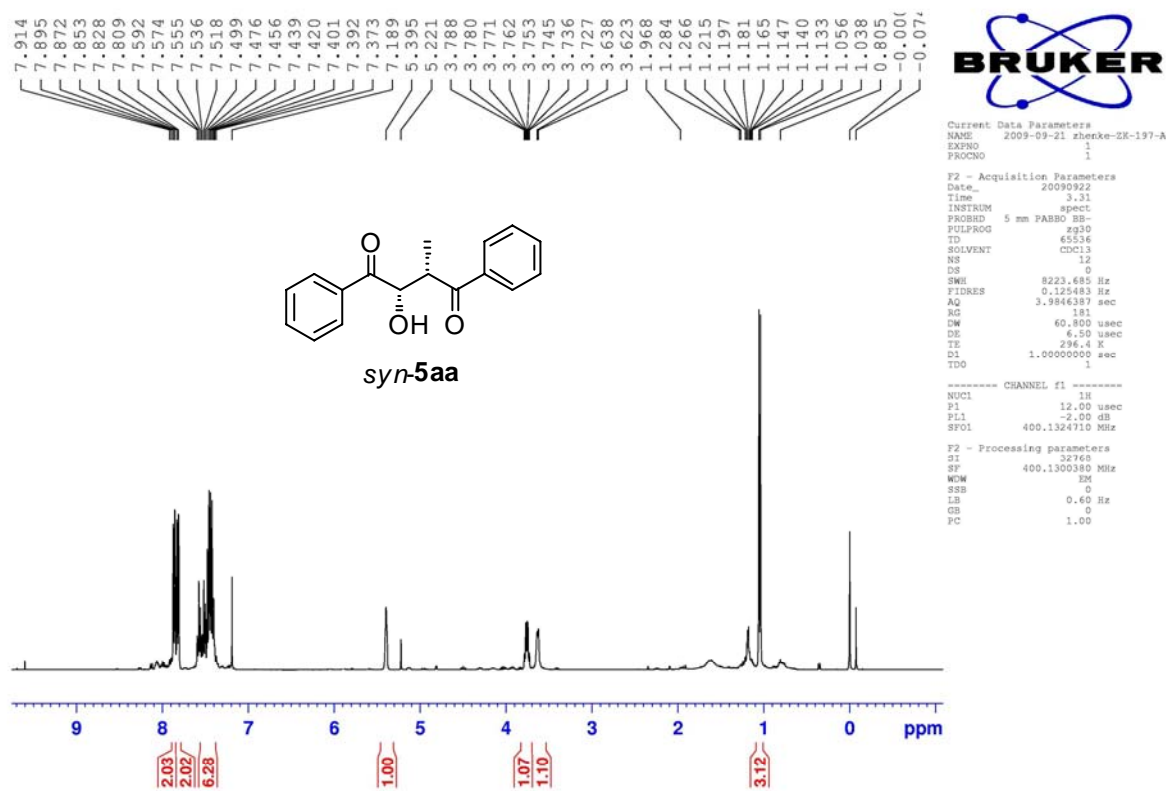


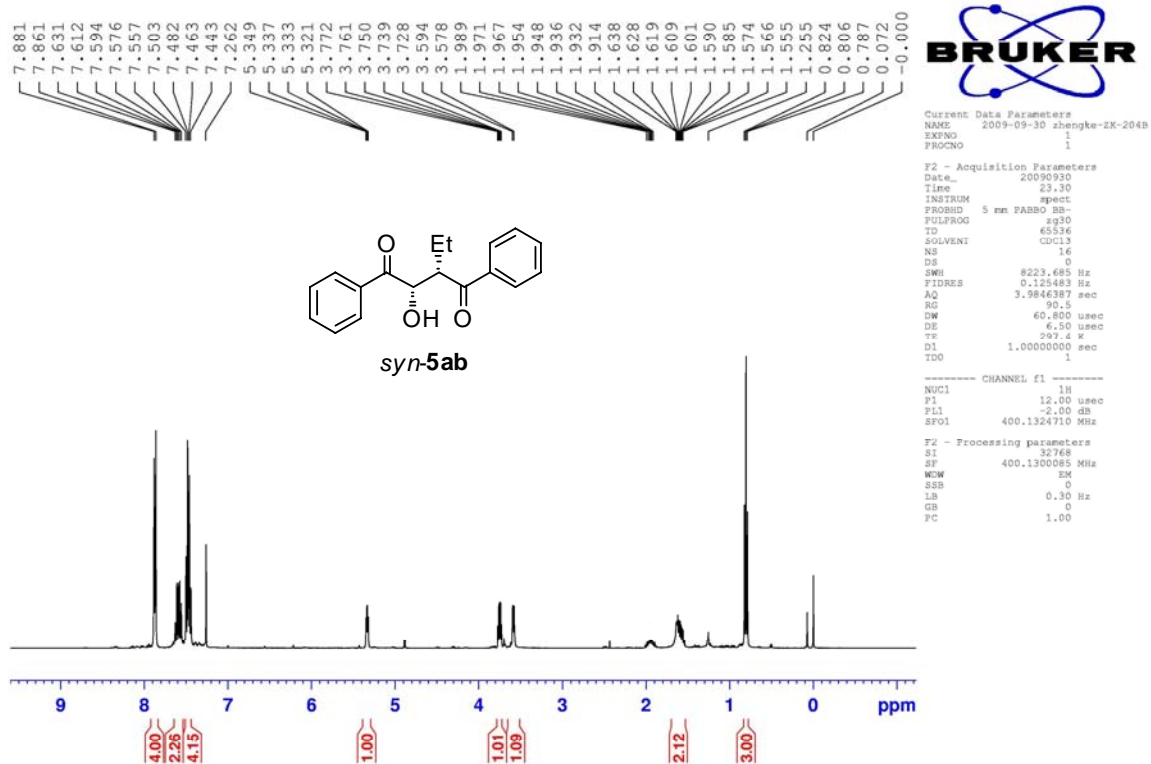
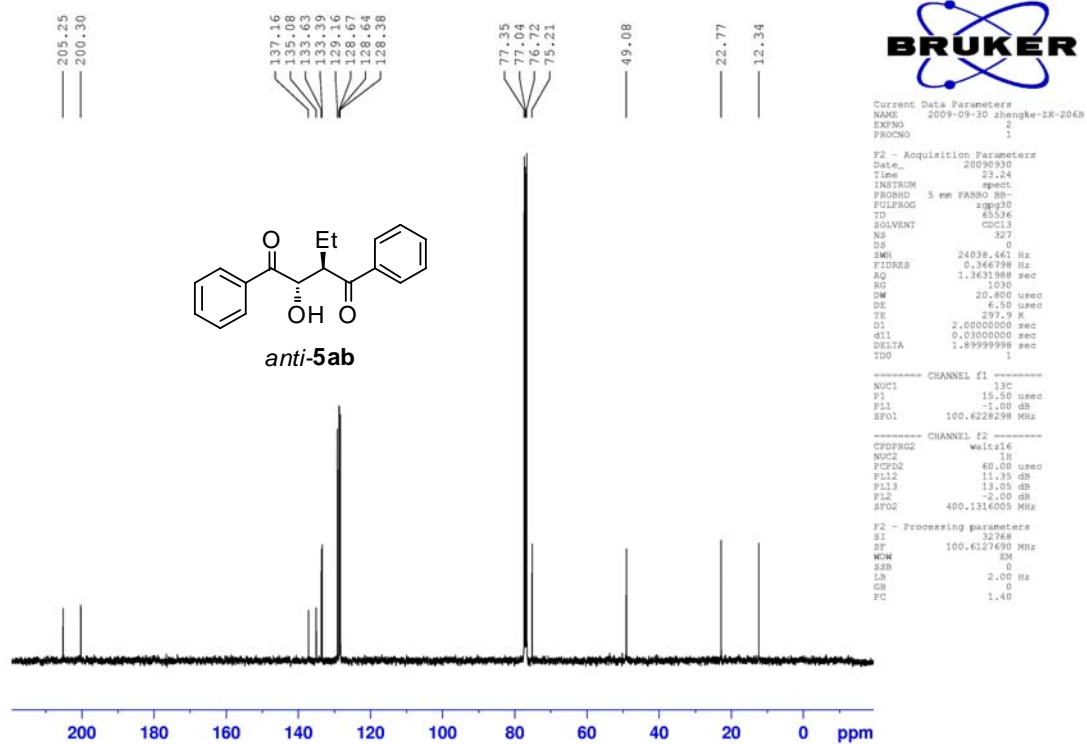


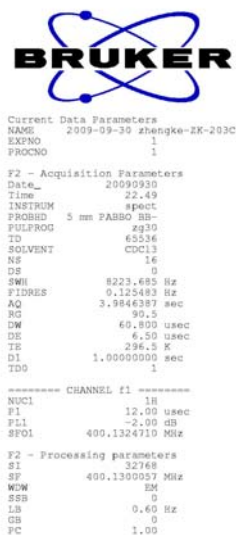
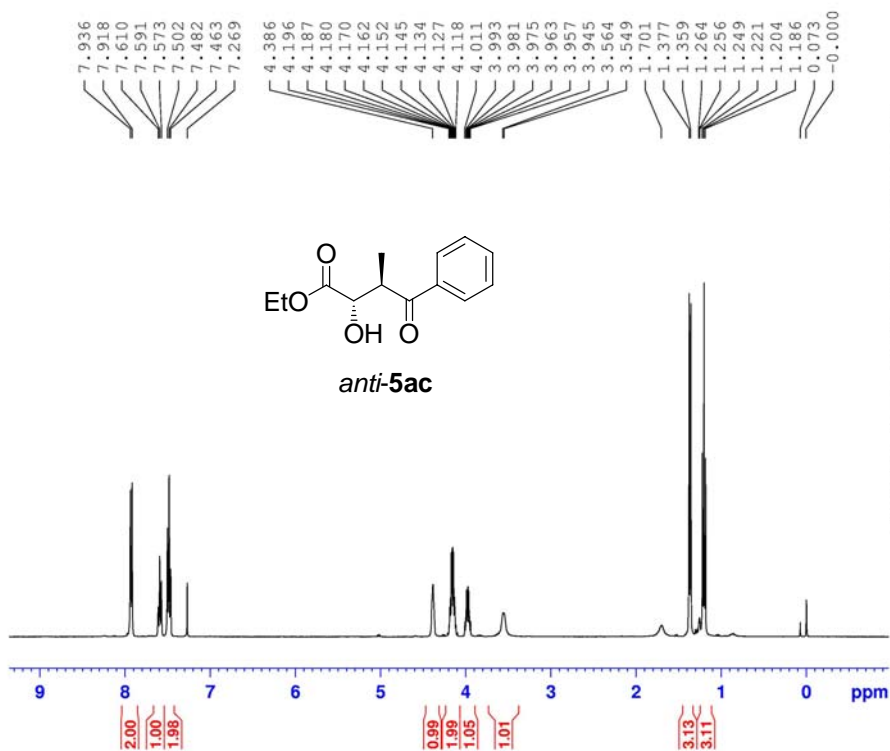
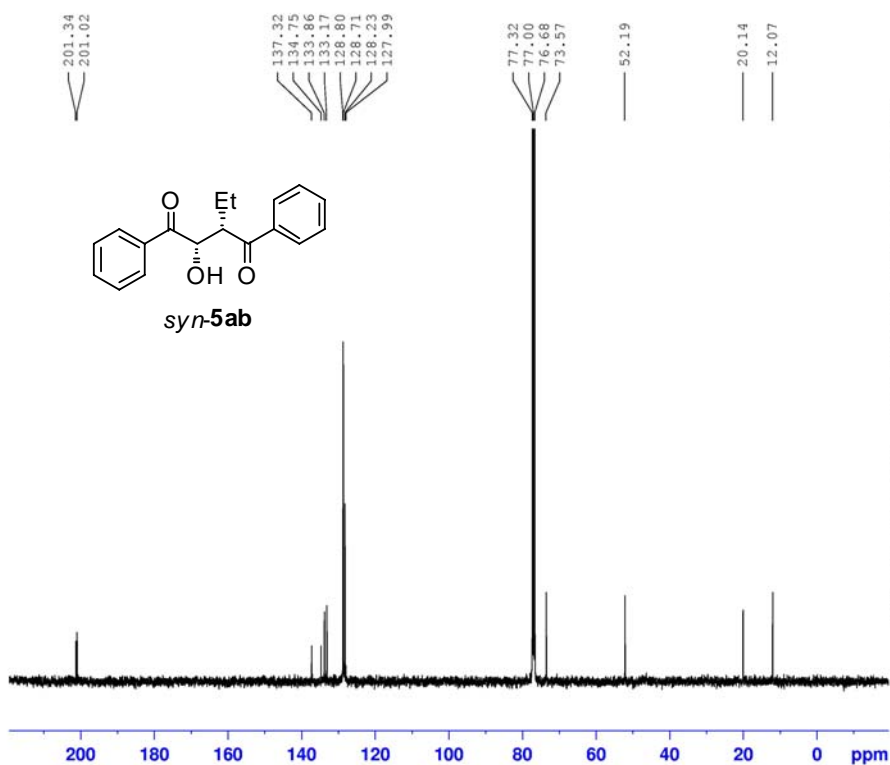




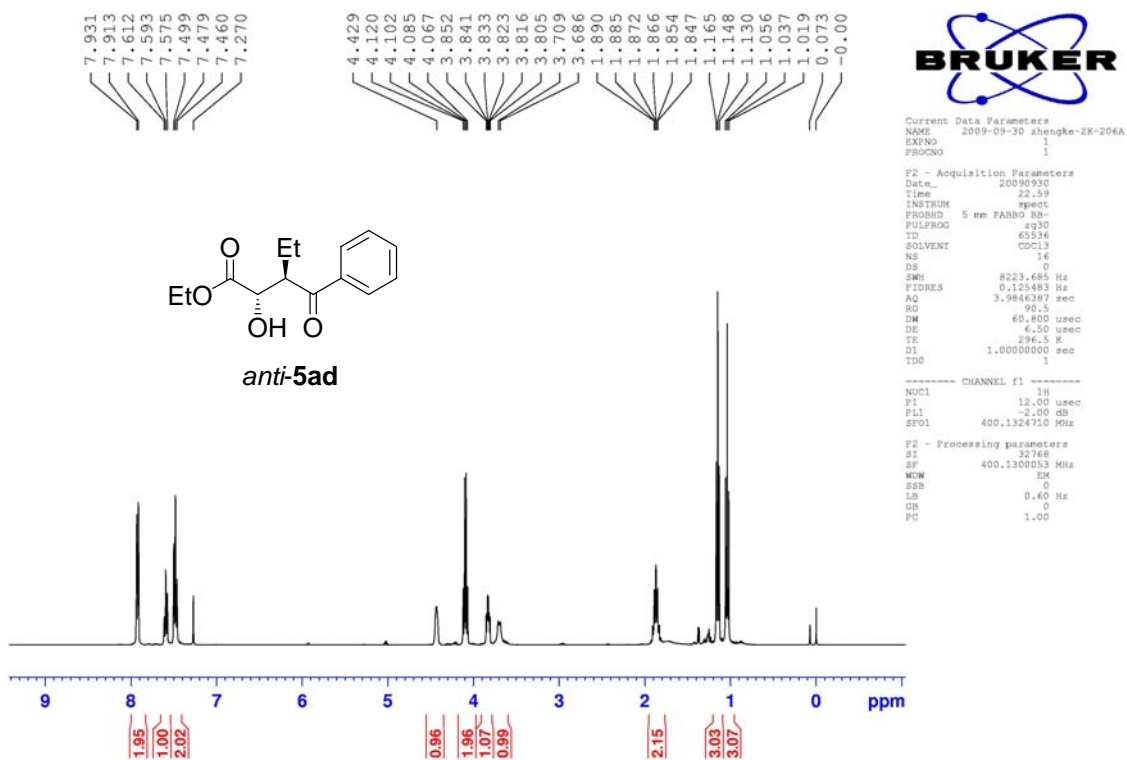
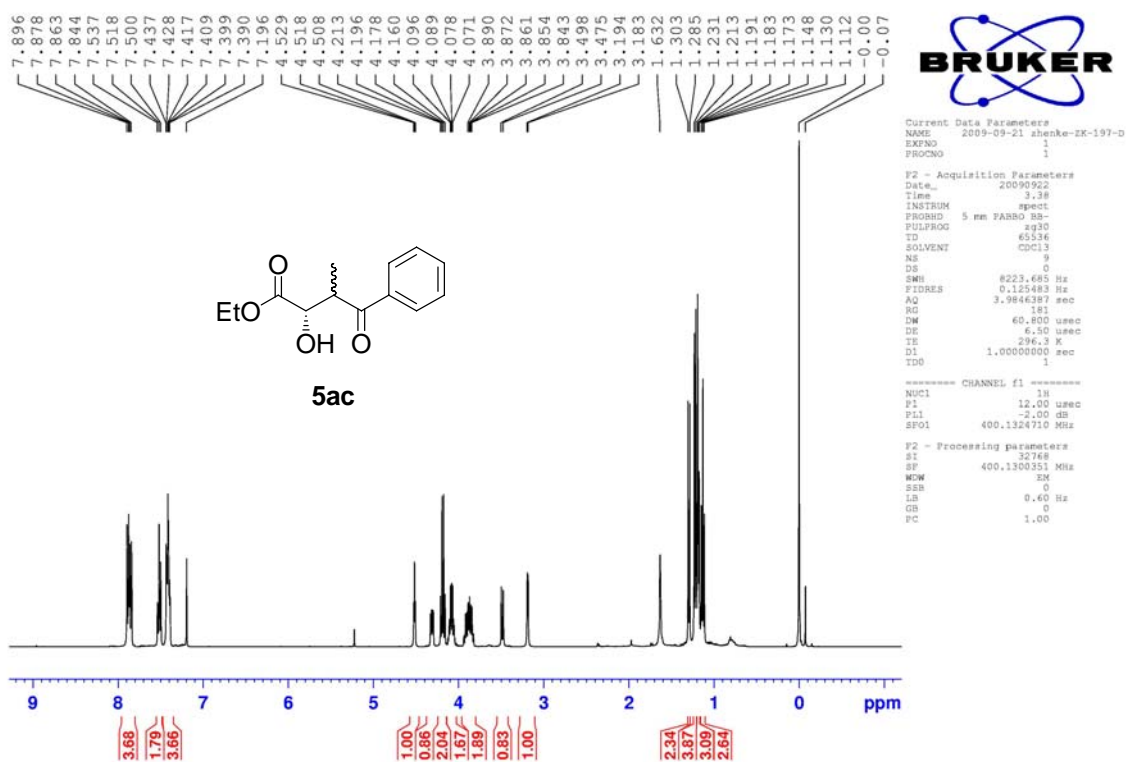


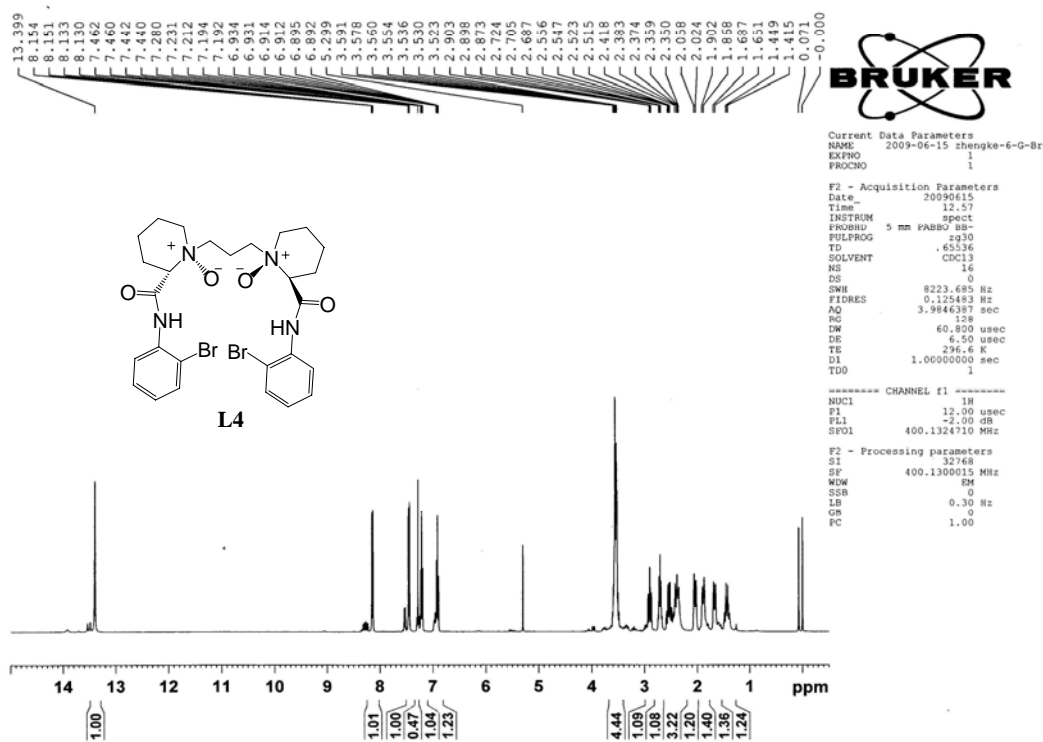
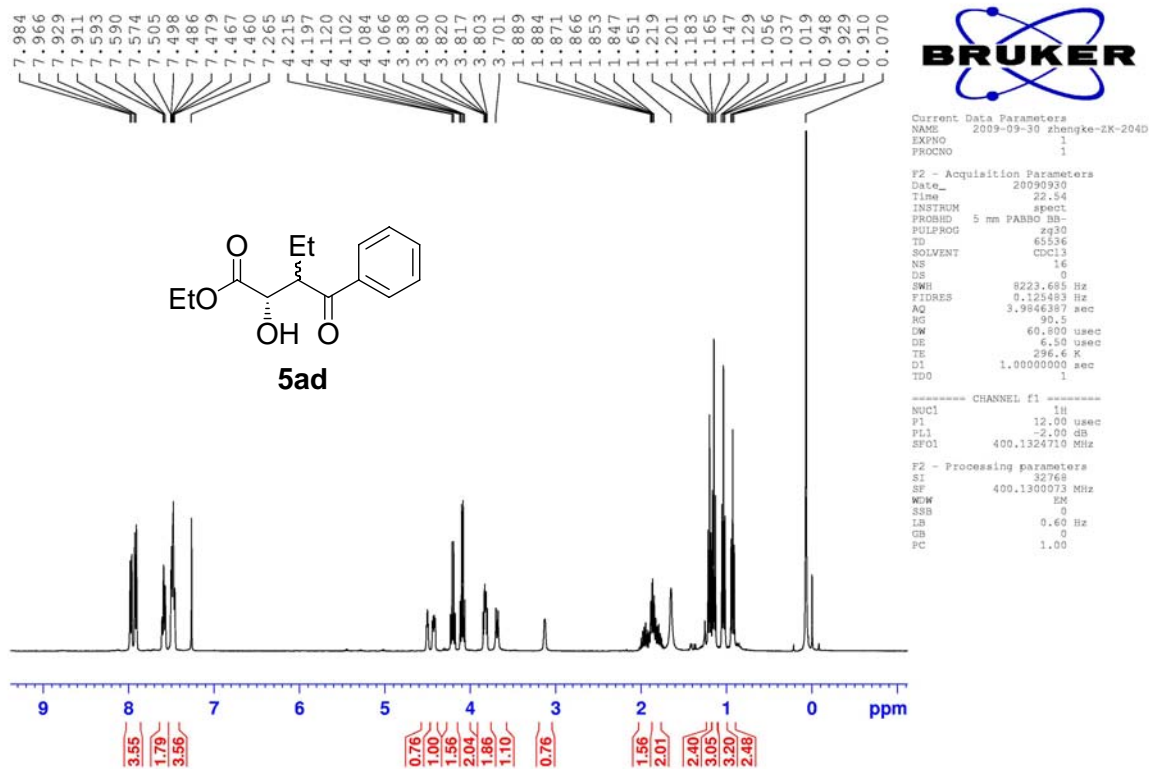




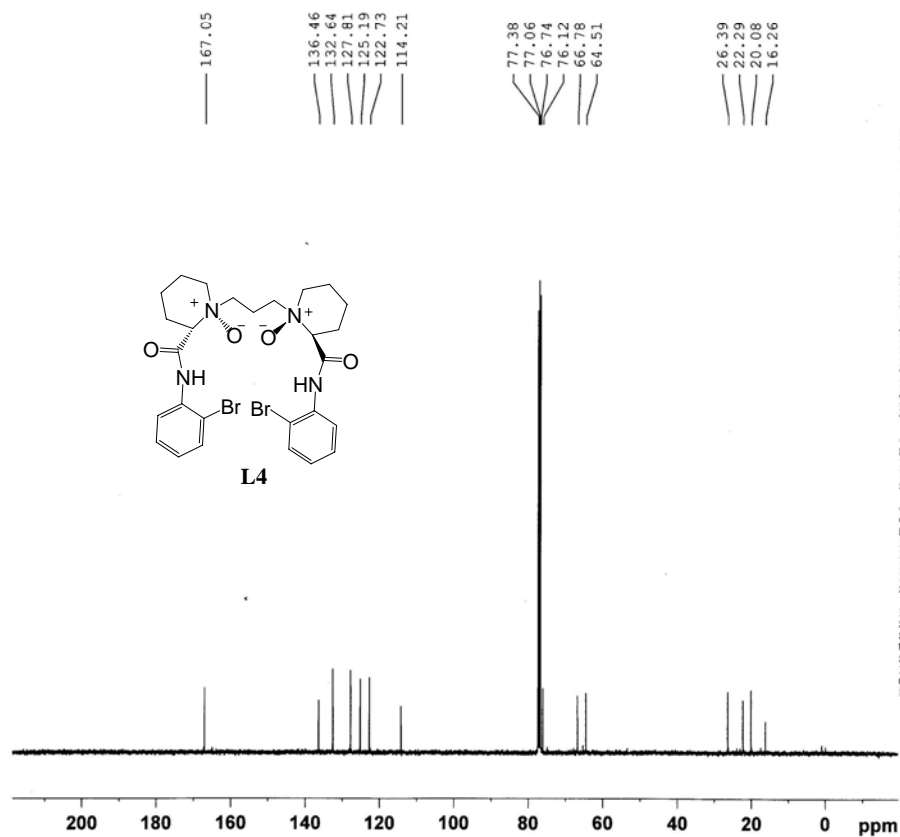












Current Data Parameters  
 NAME 2009-06-15 zhengke-6-G-Br  
 EXPNO 2  
 PROCNO 1

F2 - Acquisition Parameters  
 Date\_ 20090615  
 Time 13.26  
 INSTRUM spect  
 FROBHD 5 mm PABBO BB-  
 FULPROG zgpg30  
 TD 65536  
 SOLVENT CDCl3  
 NS 512  
 DS 0  
 SWH 24038.461 Hz  
 FIDRES 0.366798 Hz  
 AQ 1.3631988 sec  
 RG 2050  
 DW 20.800 usec  
 DE 6.50 usec  
 TE 297.7 K  
 D1 2.00000000 sec  
 d11 0.03000000 sec  
 DELTA 1.89999998 sec  
 TDO 1

----- CHANNEL f1 -----  
 NUC1 13C  
 P1 15.50 usec  
 PL1 -1.00 dB  
 SF01 100.6228298 MHz

----- CHANNEL f2 -----  
 CPDPRG2 waltz16  
 NUC2 1H  
 ECPD2 60.00 usec  
 PL12 11.35 dB  
 PL13 13.05 dB  
 PL2 -2.00 dB  
 SF02 400.1316005 MHz

F2 - Processing parameters  
 SI 32768  
 SF 100.6127690 MHz  
 WDW EM  
 SSB 0  
 LB 1.00 Hz  
 GB 0  
 PC 1.40

## (G) References

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2. a) M. Terada, K. Soga, N. Momiyama, *Angew. Chem., Int. Ed.* 2008, **47**, 4122-4125; b) R. Matsubara, P. Vital, Y. Nakamura, H. Kiyohara, S. Kobayashi, *Tetrahedron*, 2004, **60**, 9769-9784, and references therein.
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