

Aminocatalytic Asymmetric Inverse-Electron-Demand Aza-Diels–Alder Reaction of *N*-Ts-1-aza-1,3-butadienes Based on Coumarin Cores

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Supplementary Information

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1. General methods

NMR data were obtained for ^1H at 400 MHz, and for ^{13}C at 50, 75 or 100 MHz. Chemical shifts were reported in ppm from tetramethylsilane with the solvent resonance as the internal standard in CDCl_3 solution. ESI HRMS was recorded on a Bruker Apex-2. In each case, enantiomeric ratio was determined by HPLC analysis on chiral column in comparison with authentic racemate, using a Daicel Chiralpak OD-H Column (250 x 4.6 mm), Chiralpak AD-H Column (250 x 4.6 mm), Chiralpak AS-H Column (250 x 4.6 mm) or Chiralpak IC Column (250 x 4.6 mm). UV detection was monitored at 220 nm or 254 nm. Optical rotation data were examined in CHCl_3 solution at 20 °C. Column chromatography was performed on silica gel (200-300 mesh) eluting with ethyl acetate and petroleum ether. TLC was performed on glass-backed silica plates. UV light and I_2 were used to visualize products. All chemicals were used without purification as commercially available unless otherwise noted. The *N*-Ts-1-aza-1,3-butadienes were prepared from the corresponding ketone and TsNH_2 according to the literature procedures.¹ The secondary amine catalysts were also synthesized according to the literature procedures.²

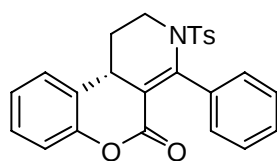
(1) (a) F. Sandrinelli, S. Perrio and P. Belsin. *J. Org. Chem.*, 1997, **62**, 8626; (b) B. Han, J.-L. Li, C. Ma, S.-J. Zhang and Y.-C. Chen, *Angew. Chem., Int. Ed.*, 2008, **47**, 9971; (c) B. Han, Z.-Q. He, J.-L. Li, R. Li, K. Jiang, T.-Y. Liu and Y.-C. Chen, *Angew. Chem., Int. Ed.*, 2009, **48**, 5474.

(2) (a) M. Marigo, T. C. Wabnitz, D. Fielenbach and K. A. Jørgensen, *Angew. Chem., Int. Ed.*, 2005, **44**, 794; (b) Y. Hayashi, H. Gotoh, T. Hayashi and M. Shoji, *Angew. Chem., Int. Ed.*, 2005, **44**, 4212; (c) Y.-K. Liu, C. Ma, J. K. iang, T.-Y. Liu and Y.-C. Chen, *Org. Lett.*, 2009, **11**, 2848.

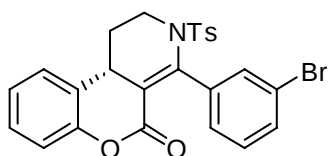
2. General procedure for the aza-Diels–Alder reaction of acetaldehyde

The reaction was carried out with acetaldehyde (25 μL , 0.4 mmol) and *N*-Ts-1-aza-1,3-butadiene **2** (0.1 mmol) in the presence of catalyst **1f** (12.4 mg, 0.02 mmol), benzoic acid (2.4 mg, 0.02 mmol) in tetrahydrofuran (1.0 mL) at about 5 °C for 12–24 h. After the reaction completed, the mixture was concentrated and the residue was purified by flash chromatography on silica gel (petroleum ether/ethyl acetate = 6:1) to afford the aza-Diels-Alder adduct **3**. To an anhydrous dichloromethane solution of the adduct **3** was added triethyl silane (34.8 mg, 0.3 mmol) and $\text{BF}_3\cdot\text{Et}_2\text{O}$ (36 μL , 0.3 mmol) in one portion. The reaction mixture was stirred at 0 °C for 5 min and then at room temperature until the reaction completed (monitored by TLC). The reaction was quenched with aqueous NaHCO_3 , extracted with CH_2Cl_2 . The organic layer was dried over Na_2SO_4 and concentrated. The crude product was purified by column chromatography (petroleum

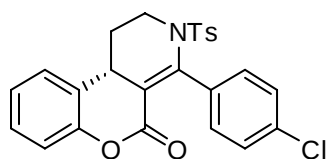
ether/ethyl acetate = 10:1) to give the final product **4**.



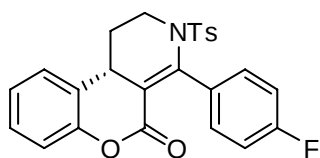
4a was obtained in 79% yield for two steps and the enantiomeric excess was determined to be 95% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{major}} = 13.68$ min, $t_{\text{minor}} = 17.50$ min. $[\alpha]_{\text{D}}^{20} -268.2$ ($c = 1.70$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 7.32\text{--}7.28$ (m, 2H), 7.23–7.21 (m, 2H), 7.18–7.12 (m, 4H), 7.10–7.05 (m, 5H), 4.61 (dt, $J = 14.0$ Hz, $J = 3.2$ Hz, 1H), 3.85 (t, $J = 8.8$ Hz, 1H), 3.56 (t, $J = 12.4$ Hz, 1H), 2.54–2.48 (m, 1H), 2.37 (s, 3H), 1.97–1.88 (m, 1H) ppm; ^{13}C NMR (100 MHz, CDCl_3): $\delta = 163.6, 151.2, 149.6, 143.9, 137.1, 134.4, 129.6, 129.4, 129.3, 128.5, 127.6, 127.1, 125.7, 124.6, 124.4, 116.6, 111.8, 46.1, 33.8, 24.6, 21.5$ ppm; ESI HRMS: calcd. for $\text{C}_{25}\text{H}_{21}\text{NO}_4\text{S}+\text{H}$ 432.1270, found 432.1275.



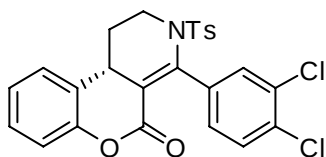
4b was obtained in 91% yield for two steps and the enantiomeric excess was determined to be 92% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{minor}} = 13.10$ min, $t_{\text{major}} = 15.65$ min. $[\alpha]_{\text{D}}^{20} -154.3$ ($c = 0.70$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 7.39$ (d, $J = 7.2$ Hz, 1H), 7.34–7.30 (m, 1H), 7.23–7.17 (m, 4H), 7.15–6.97 (m, 6H), 4.66 (br s, 1H), 3.87 (dd, $J = 10.0$ Hz, $J = 8.0$ Hz, 1H), 3.62–3.50 (m, 1H), 2.59–2.56 (m, 1H), 2.41 (s, 3H), 2.10–2.01 (m, 1H) ppm; ^{13}C NMR (50 MHz, CDCl_3): $\delta = 163.1, 151.2, 147.9, 144.4, 137.2, 136.1, 132.3, 132.2, 129.6, 129.2, 128.9, 128.6, 126.9, 125.3, 124.7, 121.5, 116.8, 112.5, 46.1, 34.0, 25.0, 21.6$ ppm; ESI HRMS: calcd. for $\text{C}_{25}\text{H}_{20}\text{BrNO}_4\text{S}+\text{H}$ 510.0375, found 510.0381.



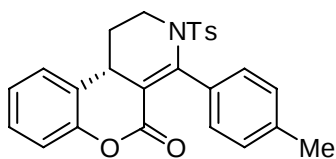
4c was obtained in 82% yield for two steps and the enantiomeric excess was determined to be 90% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{major}} = 9.78$ min, $t_{\text{minor}} = 12.47$ min. $[\alpha]_{\text{D}}^{20} -250.5$ ($c = 1.10$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 7.33\text{--}7.29$ (m, 1H), 7.26–7.24 (m, 2H), 7.19–7.06 (m, 7H), 7.01–6.99 (m, 2H), 4.61 (dt, $J = 13.6$ Hz, $J = 3.2$ Hz, 1H), 3.86 (dd, $J = 10.4$ Hz, $J = 8.0$ Hz, 1H), 3.61–3.54 (m, 1H), 2.56–2.50 (m, 1H), 2.40 (s, 3H), 1.99–1.90 (m, 1H) ppm; ^{13}C NMR (50 MHz, CDCl_3): $\delta = 163.4, 151.2, 148.4, 144.3, 137.1, 135.5, 132.9, 131.0, 129.5, 128.6, 127.9, 127.1, 125.4, 124.6, 116.7, 112.4, 46.1, 33.9, 24.6, 21.6$ ppm; ESI HRMS: calcd. for $\text{C}_{25}\text{H}_{21}\text{ClNO}_4\text{S}+\text{H}$ 466.0880, found 466.0879.



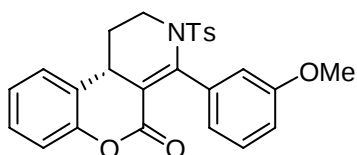
4d was obtained in 81% yield for two steps and the enantiomeric excess was determined to be 89% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{major}} = 9.71$ min, $t_{\text{minor}} = 14.06$ min. $[\alpha]_{\text{D}}^{20} -203.7$ ($c = 0.70$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 7.33\text{--}7.29$ (m, 1H), 7.26–7.23 (m, 2H), 7.18–7.12 (m, 4H), 7.08–7.03 (m, 3H), 6.86–6.82 (m, 2H), 4.61 (dt, $J = 13.6$ Hz, $J = 3.2$ Hz, 1H), 3.86 (t, $J = 9.2$ Hz, 1H), 3.58 (t, $J = 12.4$ Hz, 1H), 2.55–2.50 (m, 1H), 2.39 (s, 3H), 1.99–1.89 (m, 1H) ppm; ^{13}C NMR (50 MHz, CDCl_3): $\delta = 165.8, 163.5, 160.9, 151.2, 148.6, 144.2, 137.2, 131.7, 131.5, 130.4, 129.5, 128.6, 127.1, 125.6, 124.6, 116.7, 115.0, 114.6, 112.1, 46.1, 33.9, 24.7, 21.5$ ppm; ESI HRMS: calcd. for $\text{C}_{25}\text{H}_{21}\text{FNO}_4\text{S}+\text{H}$ 450.1175, found 450.1179.



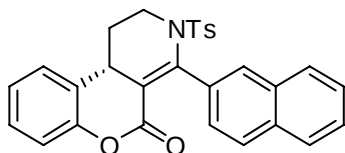
4e was obtained in 79% yield for two steps and the enantiomeric excess was determined to be 87% by HPLC analysis on Chiralpak AD column (15% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{major}} = 46.84$ min, $t_{\text{minor}} = 53.69$ min. $[\alpha]_{\text{D}}^{20} -212.7$ ($c = 1.10$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 7.35\text{--}7.29$ (m, 2H), 7.25–7.21 (m, 2H), 7.18–7.14 (m, 4H), 7.08 (d, $J = 8.0$ Hz, 1H), 7.02 (d, $J = 8.4$ Hz, 1H), 6.94 (br s, 1H), 4.64 (br s, 1H), 3.88 (dd, $J = 10.0$ Hz, $J = 7.6$ Hz, 1H), 3.60–3.54 (m, 1H), 2.58–2.57 (m, 1H), 2.41 (s, 3H), 2.07–2.02 (m, 1H) ppm; ^{13}C NMR (50 MHz, CDCl_3): $\delta = 163.1, 151.1, 147.1, 144.7, 137.0, 134.1, 133.6, 131.8, 131.3, 129.7, 129.6, 129.5, 128.7, 126.9, 125.1, 124.8, 124.7, 116.8, 112.9, 46.0, 33.9, 24.8, 21.6$ ppm; ESI HRMS: calcd. for $\text{C}_{25}\text{H}_{19}\text{Cl}_2\text{NO}_4\text{S}+\text{H}$ 500.0490, found 500.0498.



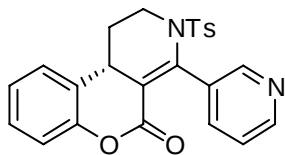
4f was obtained in 84% yield for two steps and the enantiomeric excess was determined to be 88% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 220 nm, $t_{\text{major}} = 12.18$ min, $t_{\text{minor}} = 14.74$ min. $[\alpha]_{\text{D}}^{20} -202.5$ ($c = 1.15$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 7.31\text{--}7.25$ (m, 3H), 7.16–7.05 (m, 5H), 6.98 (br s, 4H), 4.59 (dt, $J = 13.6$ Hz, $J = 3.2$ Hz, 1H), 3.83 (dd, $J = 10.4$ Hz, $J = 8.0$ Hz, 1H), 3.59–3.52 (m, 1H), 2.51–2.44 (m, 1H), 2.38 (s, 3H), 2.33 (s, 3H), 1.92–1.83 (m, 1H) ppm; ^{13}C NMR (50 MHz, CDCl_3): $\delta = 163.8, 151.3, 149.7, 143.9, 139.4, 137.1, 131.6, 129.5, 129.3, 128.4, 127.2, 125.8, 124.5, 124.3, 116.6, 111.5, 46.1, 33.9, 24.5, 21.5$ ppm; ESI HRMS: calcd. for $\text{C}_{26}\text{H}_{23}\text{NO}_4\text{S}+\text{H}$ 446.1426, found 446.1432.



4g was obtained in 86% yield for two steps and the enantiomeric excess was determined to be 84% by HPLC analysis on Chiralpak AS column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{major}} = 18.59$ min, $t_{\text{minor}} = 28.53$ min. $[\alpha]_{\text{D}}^{20} -163.7$ ($c = 1.15$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 7.33\text{--}7.29$ (m, 1H), 7.25–7.23 (m, 2H), 7.18–7.06 (m, 6H), 6.83 (d, $J = 7.6$ Hz, 1H), 6.72 (d, $J = 6.0$ Hz, 1H), 6.50 (br s, 1H), 4.64 (d, $J = 13.2$ Hz, 1H), 3.86 (dd, $J = 10.4$ Hz, $J = 8.0$ Hz, 1H), 3.62 (s, 3H), 3.59–3.53 (m, 1H), 2.57–2.52 (m, 1H), 2.37 (s, 3H), 2.05–1.92 (m, 1H) ppm; ^{13}C NMR (50 MHz, CDCl_3): $\delta = 163.5, 158.8, 151.3, 149.3, 143.9, 137.4, 135.5, 129.4, 128.7, 128.5, 127.2, 125.7, 124.7, 124.5, 122.5, 116.7, 115.3, 115.0, 111.9, 54.9, 46.2, 34.0, 24.9, 21.5$ ppm; ESI HRMS: calcd. for $\text{C}_{26}\text{H}_{23}\text{NO}_4\text{S}+\text{H}$ 462.1375, found 462.1369.

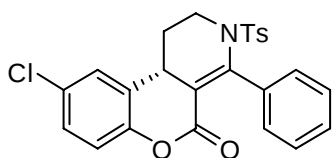


4h was obtained in 87% yield for two steps and the enantiomeric excess was determined to be 89% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{minor}} = 17.28$ min, $t_{\text{major}} = 20.77$ min. $[\alpha]_{\text{D}}^{20} -215.4$ ($c = 1.30$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 7.78$ (d, $J = 8.0$ Hz, 1H), 7.66 (d, $J = 7.2$ Hz, 1H), 7.48–7.31 (m, 5H), 7.25–7.17 (m, 3H), 7.11–7.08 (m, 3H), 6.84 (d, $J = 7.6$ Hz, 2H), 4.79–4.68 (m, 1H), 3.93 (dd, $J = 10.4$ Hz, $J = 8.0$ Hz, 1H), 3.65 (t, $J = 12.4$ Hz, 1H), 2.62–2.59 (m, 1H), 2.23 (s, 3H), 2.12–2.04 (m, 1H) ppm; ^{13}C NMR (50 MHz, CDCl_3): $\delta = 163.5, 151.4, 149.9, 143.9, 137.7, 133.6, 132.4, 131.6, 129.5, 129.2, 128.6, 128.4, 127.7, 127.4, 127.2, 127.0, 126.8, 125.7, 124.7, 124.6, 116.7, 112.0, 46.2, 34.1, 25.1, 21.4$ ppm; ESI HRMS: calcd. for $\text{C}_{29}\text{H}_{23}\text{NO}_4\text{S}+\text{H}$ 482.1426, found 482.1420.



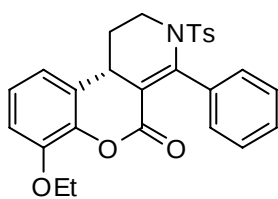
4i was obtained in 75% yield for two steps and the enantiomeric excess was determined to be 90% by HPLC analysis on Chiralpak OD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{major}} = 18.16$ min, $t_{\text{minor}} = 21.10$ min. $[\alpha]_{\text{D}}^{20} -190.0$ ($c = 0.40$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 8.54$ (d, $J = 4.8$ Hz, 1H), 8.22 (s, 1H), 7.52 (d, $J = 7.6$ Hz, 1H), 7.34–7.24 (m, 3H), 7.19–7.15 (m, 5H), 7.07 (d, $J = 8.0$ Hz, 1H), 4.56 (dt, $J = 13.6$ Hz, $J = 3.6$ Hz, 1H), 3.89 (dd, $J = 10.0$ Hz, $J = 7.6$ Hz, 1H), 3.64 (t, $J = 12.0$ Hz, 1H), 2.57–2.54 (m, 1H), 2.39 (s, 3H), 2.04–1.97 (m, 1H) ppm; ^{13}C NMR (50 MHz, CDCl_3): $\delta = 163.1, 154.4, 151.2, 150.0, 149.5, 146.5, 144.6, 137.4, 136.7, 131.0, 129.8, 128.7, 127.0, 125.2, 124.7, 124.6, 122.5, 116.8, 113.2, 46.0, 33.9, 24.5, 21.6$ ppm.

ppm; ESI HRMS: calcd. for $C_{24}H_{20}N_2O_4S+H$ 433.1222, found 433.1229.



4j was obtained in 89% yield for two steps and the enantiomeric excess was determined to be 91% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{major}} = 11.29$ min, $t_{\text{minor}} = 16.37$ min. $[\alpha]_D^{20} -251.0$ ($c = 1.65$ in $CHCl_3$); 1H

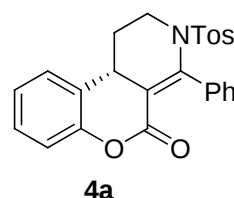
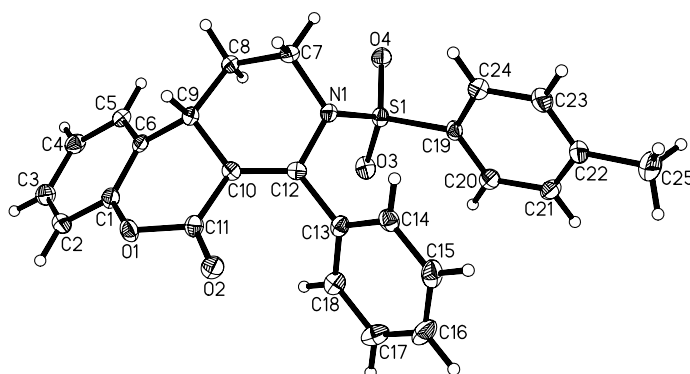
NMR (400 MHz, $CDCl_3$): $\delta = 7.32$ (t, $J = 7.6$ Hz, 1H), 7.28-7.24 (m, 3H), 7.20-7.17 (m, 2H), 7.13-7.05 (m, 5H), 7.00 (d, $J = 8.4$ Hz, 1H), 4.60 (dt, $J = 14.0$ Hz, $J = 3.2$ Hz, 1H), 3.82 (t, $J = 9.2$ Hz, 1H), 3.56 (t, $J = 12.4$ Hz, 1H), 2.49-2.42 (m, 1H), 2.40 (s, 3H), 1.88-1.78 (m, 1H) ppm; ^{13}C NMR (50 MHz, $CDCl_3$): $\delta = 163.1, 150.4, 149.9, 144.3, 137.0, 134.3, 129.6, 129.5, 128.5, 127.7, 127.6, 127.2, 124.8, 118.0, 110.8, 46.0, 33.8, 24.3, 21.6$ ppm; ESI HRMS: calcd. for $C_{25}H_{20}ClNO_4S+H$ 466.0880, found 466.0887.



4k was obtained in 86% yield for two steps and the enantiomeric excess was determined to be 90% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{major}} = 10.13$ min, $t_{\text{minor}} = 14.40$ min. $[\alpha]_D^{20} -242.4$ ($c = 0.70$ in $CHCl_3$); 1H NMR (400 MHz,

$CDCl_3$): $\delta = 7.31$ -7.28 (m, 1H), 7.24-7.22 (m, 2H), 7.18-7.15 (m, 2H), 7.12-7.04 (m, 5H), 6.90 (d, $J = 8.4$ Hz, 1H), 6.69 (d, $J = 7.6$ Hz, 1H), 4.58 (dt, $J = 14.0$ Hz, $J = 3.2$ Hz, 1H), 4.15-4.08 (m, 2H), 3.84 (dd, $J = 10.4$ Hz, $J = 8.0$ Hz, 1H), 3.57 (t, $J = 12.4$ Hz, 1H), 2.51-2.44 (m, 1H), 2.38 (s, 3H), 1.98-1.89 (m, 1H), 1.45 (t, $J = 7.2$ Hz, 3H) ppm; ^{13}C NMR (100 MHz, $CDCl_3$): $\delta = 163.0, 149.4, 146.8, 143.9, 137.3, 134.5, 129.8, 129.4, 129.2, 129.0, 127.6, 127.2, 126.8, 124.3, 116.0, 113.1, 111.9, 64.9, 46.2, 34.1, 24.8, 21.5, 14.8$ ppm; ESI HRMS: calcd. for $C_{27}H_{25}NO_5S+H$ 476.1532, found 476.1538.

3. Crystal data and structure refinement for enantiopure 4a

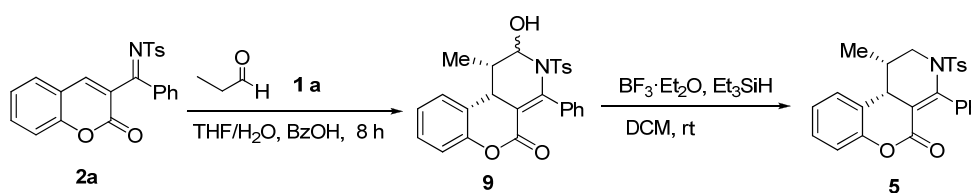


Identification code	4a
Empirical formula	C ₂₅ H ₂₁ N O ₄ S
Formula weight	431.49
Temperature	93(2) K
Wavelength	0.71073 Å
Crystal system, space group	Orthorhombic, P2(1)2(1)2(1)
Unit cell dimensions	a = 8.7886(13) Å alpha = 90 deg. b = 12.2877(19) Å beta = 90 deg. c = 19.835(3) Å gamma = 90 deg.
Volume	2142.0(6) Å ³
Z, Calculated density	4, 1.338 Mg/m ³
Absorption coefficient	0.183 mm ⁻¹
F(000)	904
Crystal size	0.33 x 0.23 x 0.20 mm
Theta range for data collection	3.03 to 27.47 deg.
Limiting indices	-11 ≤ h ≤ 11, -15 ≤ k ≤ 13, -25 ≤ l ≤ 25
Reflections collected / unique	17503 / 4904 [R(int) = 0.0303]
Completeness to theta = 27.47	99.8 %
Absorption correction	Empirical
Max. and min. transmission	0.9642 and 0.9414
Refinement method	Full-matrix least-squares on F ²

Data / restraints / parameters	4904 / 0 / 281
Goodness-of-fit on F ²	0.998
Final R indices [I > 2σ(I)]	R1 = 0.0334, wR2 = 0.0738
R indices (all data)	R1 = 0.0355, wR2 = 0.0751
Absolute structure parameter	-0.02(5)
Largest diff. peak and hole	0.226 and -0.302 e.Å ⁻³

4. Aza-Diels–Alder reaction (ADAR) of other types of aldehydes

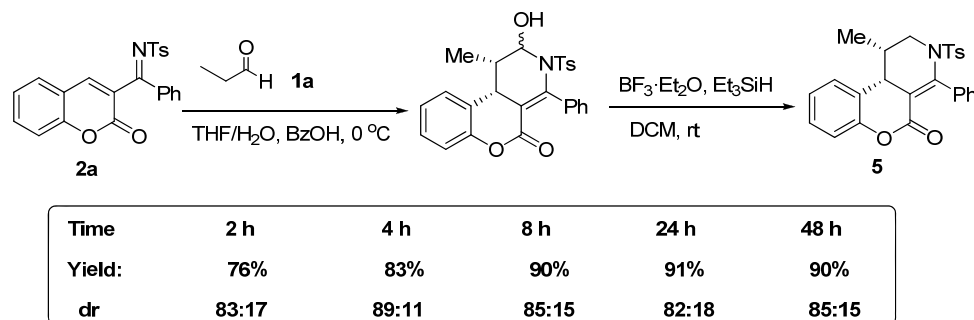
4.1 Procedure for the synthesis of compound **5** via ADAR with propionaldehyde



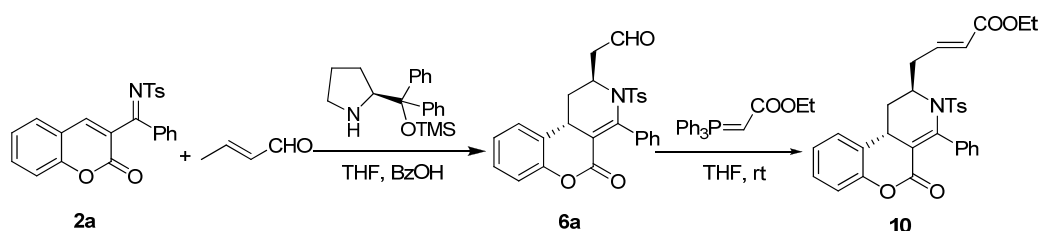
The reaction was carried out with *N*-Ts-1-aza-1,3-butadiene **2a** (40.3 mg, 0.1 mmol) and propionaldehyde (17.4 mg, 0.3 mmol) in the presence of catalyst **1a** (6.2 mg, 20 mol%) and benzoic acid (2.4 mg, 20 mol%) in a mixture of THF (1.0 mL) and water (0.1 mL) at 0 °C for about 8 h. Then the reaction mixture was concentrated and the residue was purified by flash chromatography on silica gel (petroleum ether/ethyl acetate = 6:1) to give the intermediate hemiaminal **9**. To an anhydrous dichloromethane solution of the hemiaminal **9** was added triethyl silane (34.8 mg, 0.3 mmol) and BF₃·Et₂O (36 μL, 0.3 mmol) in one portion. The reaction mixture was stirred at 0 °C for 5 min and then at room temperature for about 10 min. After the reaction was complete, the reaction was quenched with aqueous NaHCO₃, extracted with CH₂Cl₂. The organic layer was dried over Na₂SO₄ and concentrated. The crude product was purified by column chromatography (petroleum ether/ethyl acetate = 10:1) to give the product **5** in 90% yield (40 mg) for two steps and the enantiomeric excess was determined to be 95% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, *t*_{major} = 8.58 min, *t*_{minor} = 13.79 min. [α]_D²⁰ -210.6 (*c* = 0.85 in CHCl₃); The diastereomeric ratio (85:15) was determined by ¹H NMR analysis. Major diastereomer: ¹H NMR (400 MHz, CDCl₃): δ = 7.35-7.29 (m, 2H), 7.26-7.24 (m, 3H), 7.16-7.12 (m, 3H), 7.10-7.06 (m, 5H), 4.37 (dd, *J* = 13.2 Hz, *J* = 4.0 Hz, 1H), 3.34 (d, *J* = 9.2 Hz, 1H), 3.24 (dd, *J* = 13.2 Hz, *J* = 11.6 Hz, 1H), 2.38 (s, 3H), 2.19-2.12 (m, 1H), 1.28 (d, *J* = 6.4 Hz, 3H) ppm; ¹³C NMR (100 MHz, CDCl₃): δ = 164.4, 151.7, 148.4, 143.9, 136.8, 134.1, 129.6, 129.5, 129.4, 129.3, 128.3, 128.0, 127.5, 127.1, 126.0, 124.4, 123.8, 117.0, 113.5,

52.4, 40.7, 28.7, 21.5, 18.8 ppm; ESI HRMS: calcd. for $C_{26}H_{23}NO_4S+H$ 446.1426, found 446.1420. *Its relative configuration has been established by NOE analysis.*

Since the unexpected *cis*-configuration for compound **5** was observed, we investigated the diastereoselectivity at various reaction times. As shown in the following scheme, the dr ratios did not change, which indicated that the relative configuration was generated in the cyclization process and the product's configuration did not epimerize under the catalytic conditions. Currently we have no clear accounts for the observed stereocontrol.



4.2 Procedure for the synthesis of compound 6 via dienamine catalysis

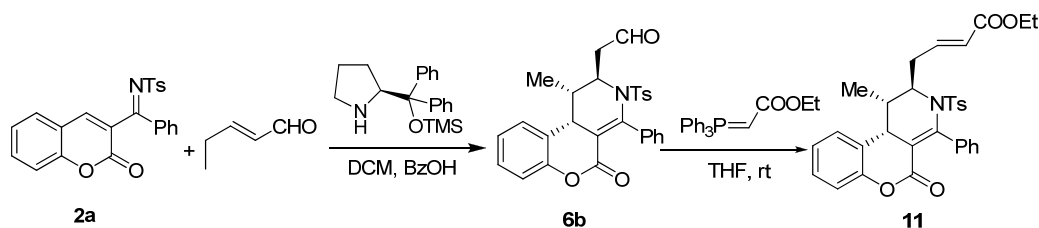


The reaction was carried out with *N*-Ts-1-aza-1,3-butadiene **2a** (40.3 mg, 0.1 mmol) and crotonaldehyde (21 mg, 0.3 mmol) in the presence of catalyst **1a** (6.2 mg, 20 mol %), benzoic acid (2.4 mg, 20 mol %) in tetrahydrofuran (1.0 mL) at 0 °C for about 12 h. Then the mixture was concentrated and the residue was purified by flash chromatography on silica gel (petroleum ether/ethyl acetate = 6:1) to give the adduct **6a** in 90% yield (42.5 mg) and the enantiomeric excess was determined after conversion to its derivative **10**.

The chiral product **6a**: $[\alpha]_D^{20}$ -93.3 ($c = 1.20$ in $CHCl_3$); 1H NMR (400 MHz, $CDCl_3$): $\delta = 9.86$ (s, 1H), 7.34-7.26 (m, 3H), 7.23-7.21 (m, 3H), 7.17-7.14 (m, 1H), 7.09-7.05 (m, 5H), 6.80 (br s, 1H), 5.45-5.44 (m, 1H), 3.72 (dd, $J = 11.2$ Hz, $J = 8.0$ Hz, 1H), 3.04 (dd, $J = 17.6$ Hz, $J = 8.8$ Hz, 1H), 2.77 (dd, $J = 17.6$ Hz, $J = 5.2$ Hz, 1H), 2.53-2.47 (m, 1H), 2.36 (s, 3H), 2.04-1.98 (m, 1H) ppm; ^{13}C NMR (50 MHz, $CDCl_3$): $\delta = 198.6, 163.1, 151.3, 147.1, 144.2, 136.5, 134.6, 129.9, 129.4, 128.7, 128.0, 127.5, 125.2, 124.6, 116.7, 111.8, 48.9, 45.1, 30.0, 27.7, 21.5$ ppm; ESI HRMS: calcd. for $C_{27}H_{23}NO_5S+Na$ 496.1195, found 496.1171.

To the tetrahydrofuran solution of the adduct **6a** was added $Ph_3PCHCOOEt$ (41.8 mg, 0.12

mmol) in one portion, and the reaction mixture was stirred at room temperature for 2 h. Then the solution was concentrated and the crude product was purified by column chromatography (petroleum ether/ethyl acetate = 10:1) to give the derivative **10** in 70% yield and the enantiomeric excess was determined to be 68% by HPLC analysis on Chiralpak OD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{major}} = 15.35$ min, $t_{\text{minor}} = 24.34$ min. $[\alpha]_{\text{D}}^{20} -71.0$ ($c = 0.60$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 7.34\text{--}7.29$ (m, 3H), 7.24–7.22 (m, 1H), 7.20–7.15 (m, 3H), 7.12–7.06 (m, 3H), 7.04–6.96 (m, 3H), 6.83 (d, $J = 7.2$ Hz, 1H), 6.01 (d, $J = 15.6$ Hz, 1H), 5.05–4.99 (m, 1H), 4.28–4.21 (m, 2H), 3.77 (dd, $J = 11.6$ Hz, $J = 7.6$ Hz, 1H), 2.81–2.73 (m, 1H), 2.58–2.47 (m, 2H), 2.36 (s, 3H), 2.06–1.99 (m, 1H), 1.33 (t, $J = 7.2$ Hz, 3H) ppm; ^{13}C NMR (50 MHz, CDCl_3): $\delta = 165.7, 163.2, 151.3, 147.1, 144.0, 143.4, 136.7, 134.6, 130.1, 129.4, 129.3, 128.6, 128.1, 127.4, 125.3, 125.0, 124.6, 116.7, 111.4, 60.5, 53.6, 34.0, 29.8, 27.6, 21.5, 14.3$ ppm; ESI HRMS: calcd. for $\text{C}_{31}\text{H}_{29}\text{NO}_6\text{S}+\text{H}$ 544.1794, found 544.1785. *Its relative configuration has been established by NOE analysis.*



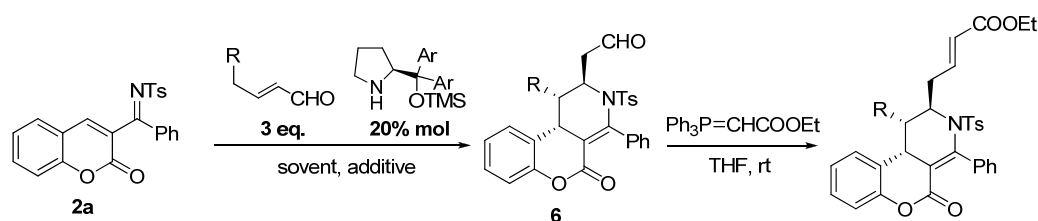
The reaction was carried out with *N*-Ts-1-aza-1,3-butadiene **2a** (40.3 mg, 0.1 mmol) and penten-2-al (25 mg, 0.3 mmol) in the presence of catalyst **1a** (6.2 mg, 20 mol %), benzoic acid (2.4 mg, 20 mol %) in dichloromethane (1.0 mL) at 25 °C for 24 h. Then the reaction mixture was concentrated and the residue was purified by flash chromatography on silica gel (petroleum ether/ethyl acetate = 6:1) to give the adduct **6b** in 62% yield (30.2 mg) and the enantiomeric excess was determined after conversion to its derivative **11**.

The diastereomeric ratio (88:12) of the chiral product **6b** was determined by ^1H NMR analysis. Major diastereomer: ^1H NMR (400 MHz, CDCl_3): $\delta = 9.86$ (s, 1H), 7.36–7.26 (m, 3H), 7.22 (d, $J = 8.0$ Hz, 2H), 7.18–7.12 (m, 4H), 7.06–6.94 (m, 3H), 6.72 (d, $J = 7.6$ Hz, 1H), 5.20–5.16 (m, 1H), 3.25 (d, $J = 10.0$ Hz, 1H), 2.88–2.73 (m, 2H), 2.54–2.43 (m, 1H), 2.36 (s, 3H), 1.34 (d, $J = 6.4$ Hz, 3H) ppm; ^{13}C NMR (50 MHz, CDCl_3): $\delta = 198.9, 163.9, 151.8, 145.6, 144.2, 136.6, 134.0, 130.1, 129.6, 129.3, 128.9, 128.5, 128.1, 127.6, 126.9, 125.6, 124.8, 124.0, 117.1, 114.7, 54.2, 40.8, 37.9, 32.1, 21.5, 18.6$ ppm; ESI HRMS: calcd. for $\text{C}_{28}\text{H}_{25}\text{NO}_5\text{S}+\text{Na}$ 510.1351, found 510.1324.

To the tetrahydrofuran solution of the adduct **6b** was added $\text{Ph}_3\text{PCHCOOEt}$ (41.8 mg, 0.12 mmol) in one portion, and the reaction mixture was stirred at room temperature for 2 h. Then the solution was concentrated and the crude product was purified by column chromatography

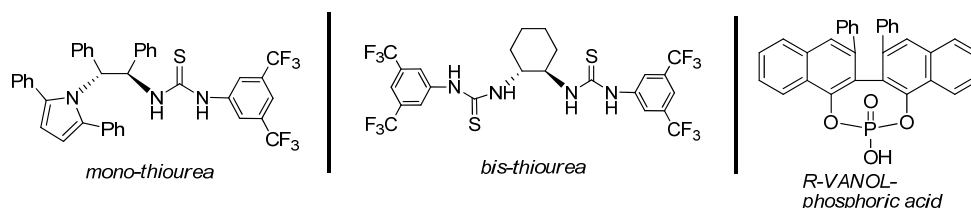
(petroleum ether/ethyl acetate = 10:1) to give the derivative **11** in 67% yield and the enantiomeric excess was determined to be 50% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, $t_{\text{minor}} = 12.38$ min, $t_{\text{major}} = 38.21$ min. $[\alpha]_{\text{D}}^{20} -62.9$ ($c = 0.85$ in CHCl_3); ^1H NMR (400 MHz, CDCl_3): $\delta = 7.35\text{--}7.31$ (m, 1H), 7.29–7.24 (m, 2H), 7.19–7.17 (m, 3H), 7.15–7.12 (m, 2H), 7.04–6.99 (m, 3H), 6.97–6.95 (m, 2H), 6.83 (d, $J = 7.2$ Hz, 1H), 6.01 (d, $J = 15.6$ Hz, 1H), 4.72–4.67 (m, 1H), 4.31–4.18 (m, 2H), 3.30 (d, $J = 10.4$ Hz, 1H), 2.64–2.48 (m, 3H), 2.36 (s, 3H), 1.40 (d, $J = 6.4$ Hz, 3H), 1.34 (t, $J = 7.2$ Hz, 3H) ppm; ^{13}C NMR (75 MHz, CDCl_3): $\delta = 165.7, 164.1, 151.9, 145.7, 144.7, 144.0, 136.6, 134.1, 130.3, 129.5, 129.3, 129.1, 128.4, 128.1, 127.5, 127.4, 125.8, 124.8, 124.7, 124.0, 117.1, 114.4, 60.4, 59.4, 37.8, 32.6, 28.9, 21.5, 18.7, 14.3$ ppm; ESI HRMS: calcd. for $\text{C}_{32}\text{H}_{31}\text{NO}_6\text{S}+\text{Na}$ 580.1770, found 580.1789. Its relative configuration has been established by NOE analysis.

We have explored more reaction conditions in order to improve the enantioselectivity. The results were summarized in the following table. However, we could not obtain satisfying data yet. The stereocontrol in ADAR via dienamine catalysis still needs more investigation.

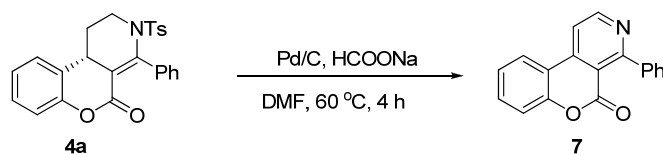


entry	R	catalyst	solvent	additive	T	yield ^a (%)	dr	ee ^b (%)
1	H	1a	THF	BzOH	rt	90	>99:1	51
2	H	1c	THF	BzOH	rt	<10	>99:1	/
3	H	1f	THF	BzOH	rt	70	>99:1	40
4	Me	1a	THF	BzOH	rt	64	85:15	43
5	Me	1a	DMSO	BzOH	rt	70	80:20	20
6	Me	1a	dioxane	BzOH	rt	68	89:11	29
7	Me	1a	THF	BzOH	0 °C	<10	/	/
8	Me	1f	THF	BzOH	rt	<10	/	/
9	Me	1a	DCM	BzOH	rt	62	88:12	50
10	Me	1a	DCM	mono-thiourea	rt	72	92:8	39
11	Me	1a	DCM	bis-thiourea	rt	66	93:7	37
12	Me	1f	DCM	mono-thiourea	rt	<10	/	/
13	Me	1a	DCM	mono-thiourea	0 °C	<10	/	/
14	Me	1a	DCM	VANOL-Acid	rt	70	90:10	38
15	Me	1a(R)	DCM	VANOL-acid	rt	62	85:15	-52

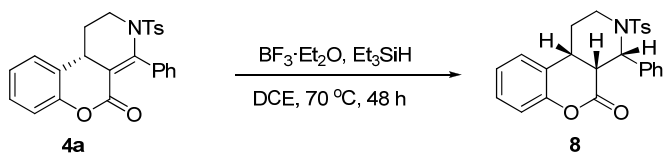
^a Isolated yield of product **6**. ^b The ee value was determined after conversion to the α,β -unsaturated ester.



5. Synthetic transformation of product 4a



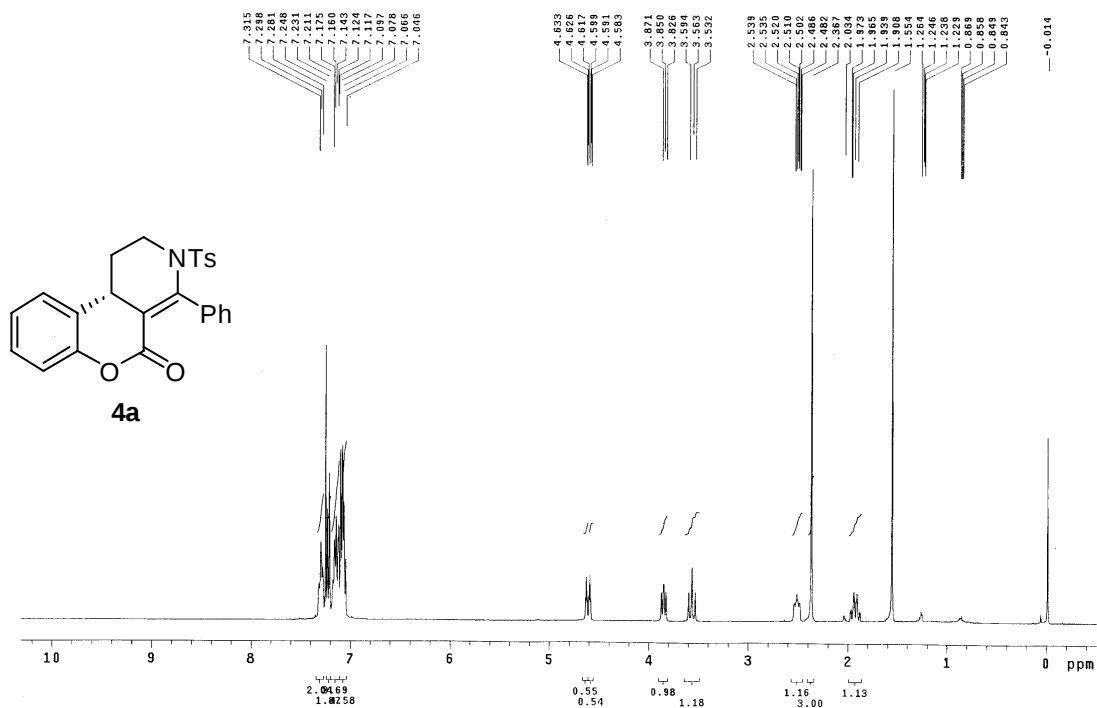
To a DMF solution of the product **4a** (30 mg, 0.07 mmol) was added Pd/C (9 mg) and sodium formate (23 mg, 0.35 mmol) at room temperature. The mixture was stirred at 60 °C for 4 h. Then the reaction was quenched with water and extracted with ethyl acetate. The organic layer was dried over Na₂SO₄ and concentrated. The residue was purified by flash chromatography on silica gel (petroleum ether/ethyl acetate = 8:1) to afford product **7** in 79% yield (15 mg). ¹H NMR (400 MHz, CDCl₃): δ = 8.96 (d, *J* = 5.6 Hz, 1H), 8.13 (d, *J* = 8.0 Hz, 1H), 7.96 (d, *J* = 5.2 Hz, 1H), 7.64-7.59 (m, 1H), 7.57-7.54 (m, 2H), 7.49-7.47 (m, 3H), 7.43-7.38 (m, 2H) ppm; ¹³C NMR (50 MHz, CDCl₃): δ = 164.5, 152.8, 152.4, 143.6, 140.6, 132.9, 128.9, 128.7, 128.0, 124.7, 123.8, 117.8, 116.2, 114.1 ppm; ESI HRMS: calcd. for C₁₈H₁₁NO₂+Na 296.0687, found 296.0699.



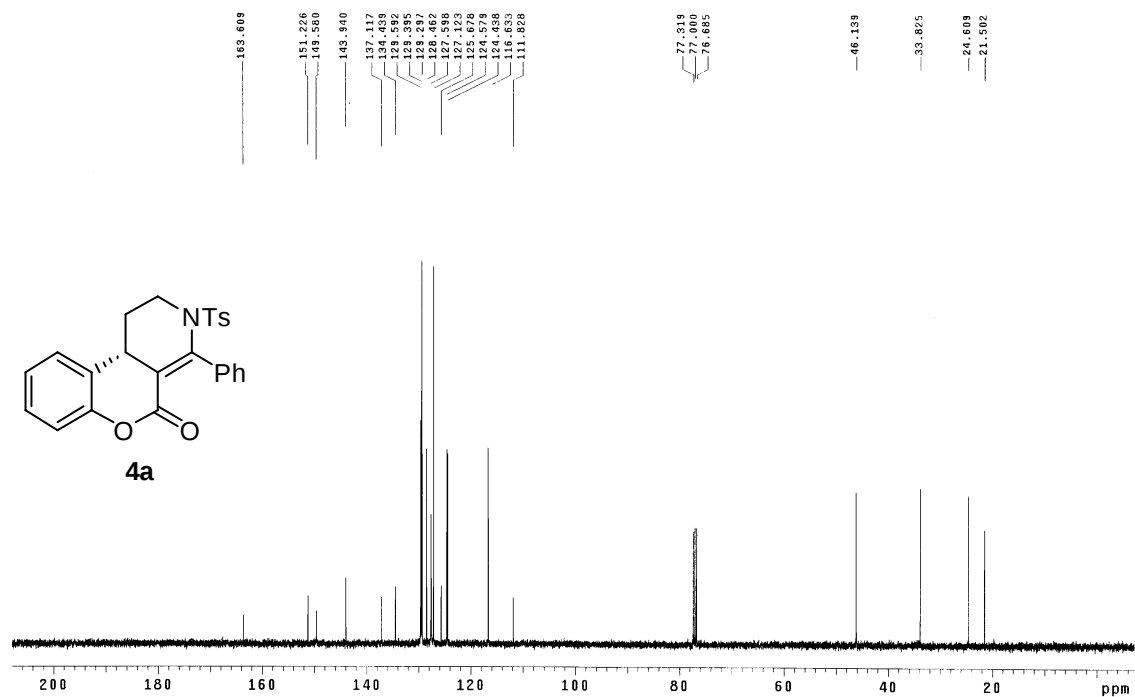
To a dichloroethane solution of the product **4a** (30 mg, 0.07 mmol) was added triethyl silane (16.2 mg, 0.14 mmol) and BF₃·Et₂O (17 μL, 0.14 mmol) under the protection of argon atmosphere at room temperature. Then, the reaction was carried out at 70 °C for 24 h, and the same amount of triethyl silane and BF₃·Et₂O were added again and the mixture was stirred at 70 °C for additional 24 h. The reaction was quenched with aqueous NaHCO₃, extracted with CH₂Cl₂. The organic layer was dried over Na₂SO₄ and concentrated. The residue was purified by flash chromatography on silica gel (petroleum ether/ethyl acetate = 10:1) to afford chiral piperidine derivative **8** in 48% yield (14.5 mg) and the enantiomeric excess was determined to be 95% by HPLC analysis on Chiralpak AD column (30% 2-propanol/*n*-hexane, 1 mL/min), UV 254 nm, *t*_{minor} = 13.67 min, *t*_{major} = 19.14 min. [α]_D²⁰ -148.4 (*c* = 0.45 in CHCl₃); ¹H NMR (400 MHz, CDCl₃): δ = 7.54 (d, *J* = 8.0 Hz, 2H), 7.30-7.28 (m, 3H), 7.26-7.21 (m, 3H), 7.19-7.12 (m, 4H), 7.03 (d, *J* = 8.0 Hz, 1H), 5.54 (d, *J* = 7.2 Hz, 1H), 3.73-3.70 (m, 2H), 2.86-2.80 (m, 2H), 2.73-2.67 (m, 1H), 2.37 (s, 3H), 1.79-1.73 (m, 1H) ppm; ¹³C NMR (50 MHz, CDCl₃): δ = 168.5, 150.6, 143.3, 139.9, 136.9, 129.6, 128.7, 128.3, 127.7, 127.6, 127.2, 126.3, 124.9, 124.7, 116.7, 57.3, 46.9, 40.9, 31.9, 26.0, 21.5 ppm; ESI HRMS: calcd. for C₂₅H₂₃NO₄S+Na 456.1245, found 456.1246. *Its relative configuration has been established by NOE analysis.*

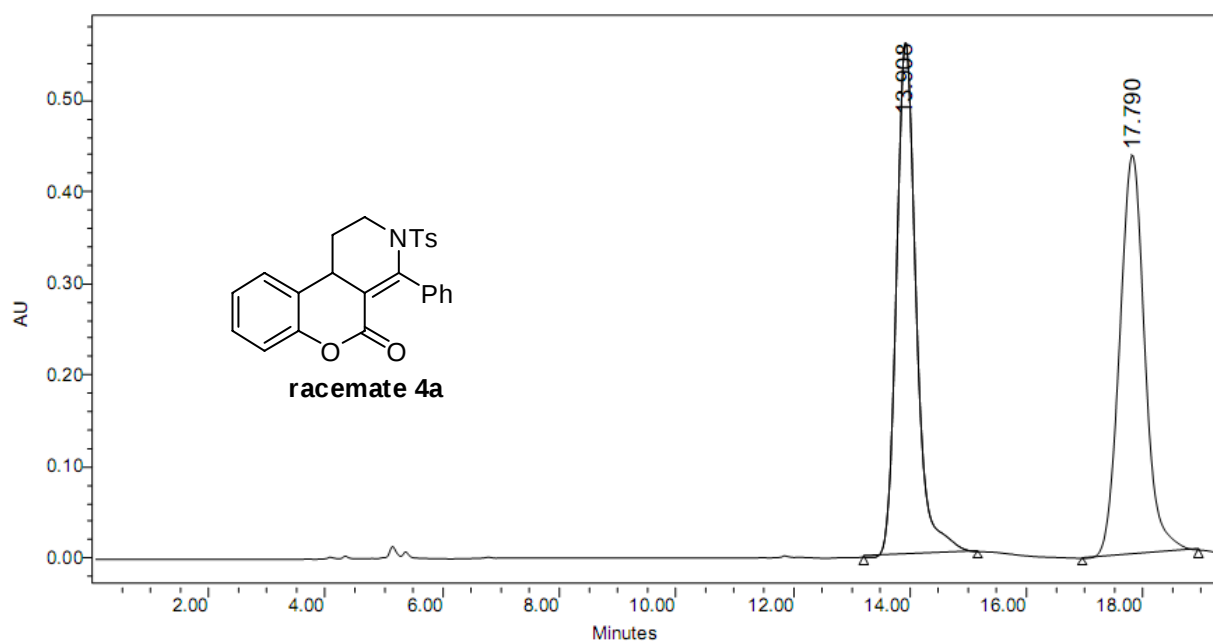
6. NMR spectra and HPLC chromatograms

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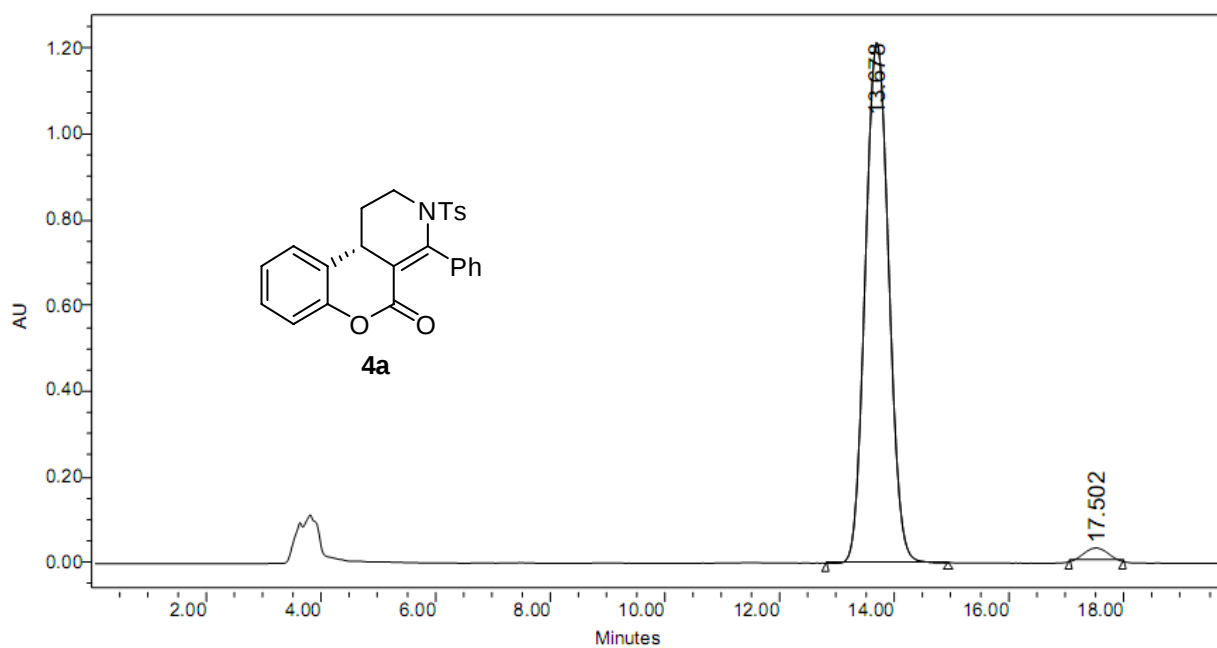


LJL-380 C13 CDCl3 2009-4-27
Pulse Sequence: s2pu1



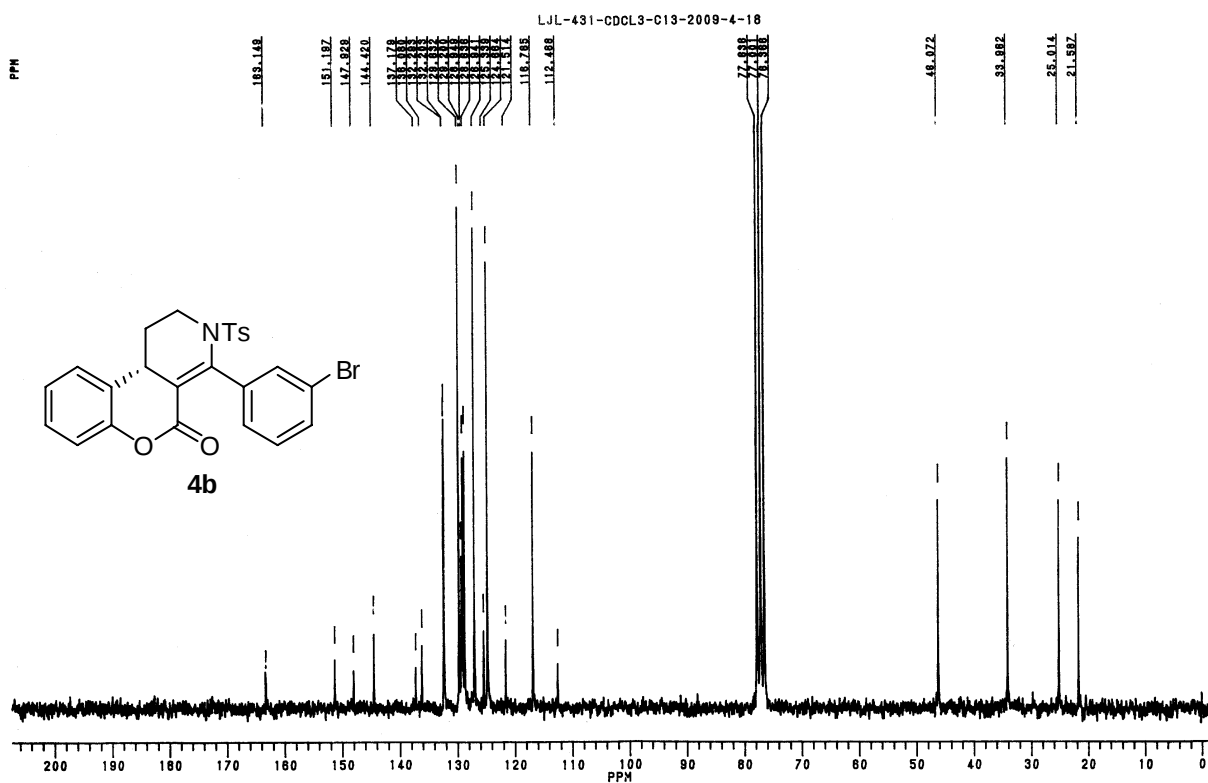
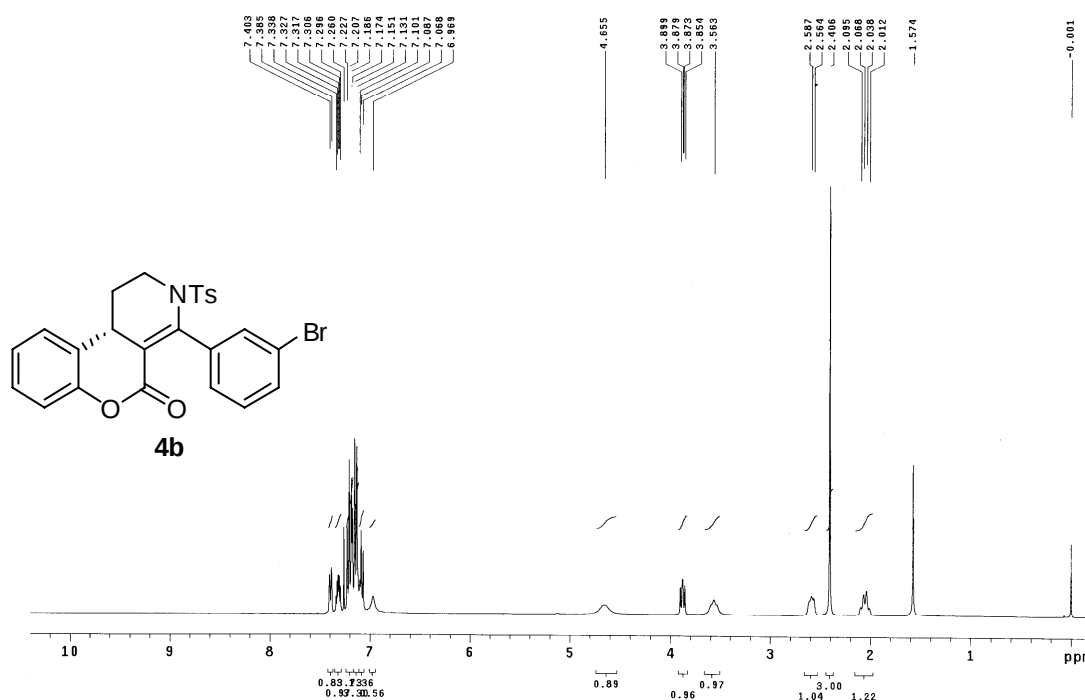


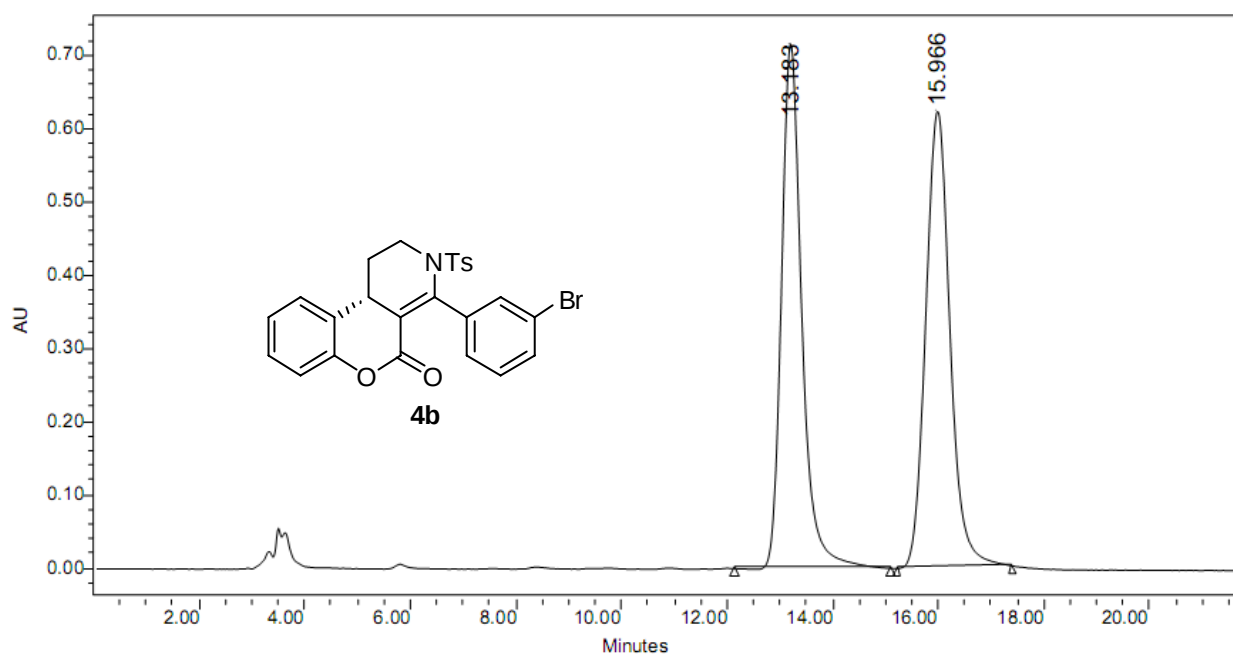
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2	17.790	13050765	49.76	436615	43.79



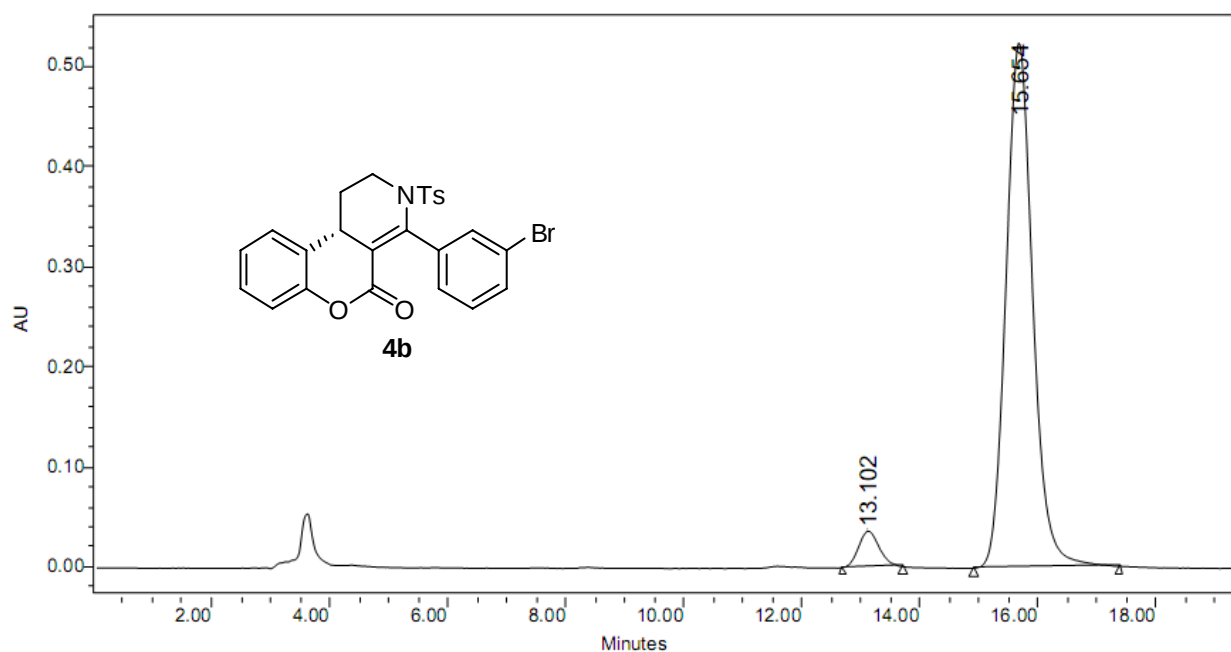
	RT (min)	Area ($\mu\text{V}\cdot\text{sec}$)	% Area	Height (μV)	% Height
1	13.678	34589550	97.37	1214753	97.44
2	17.502	933246	2.63	31866	2.56

LJL-431-CDCL3-H1-2009-4-18
Pulse Sequence: zgpg30

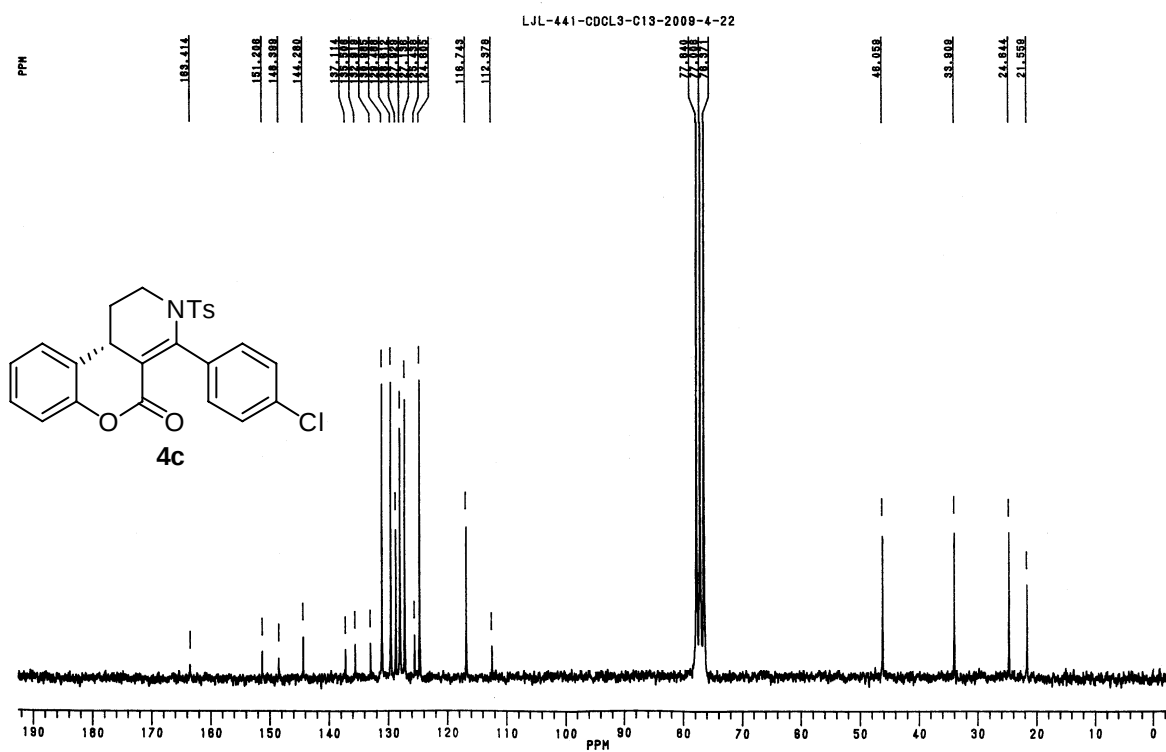
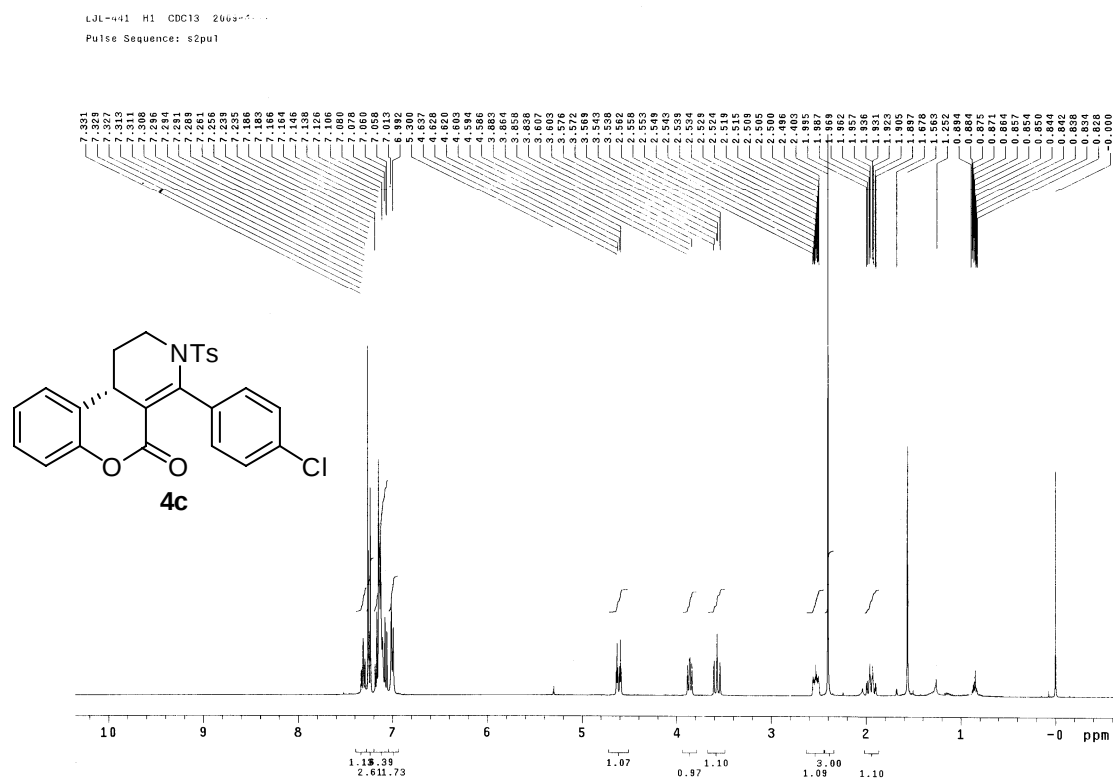


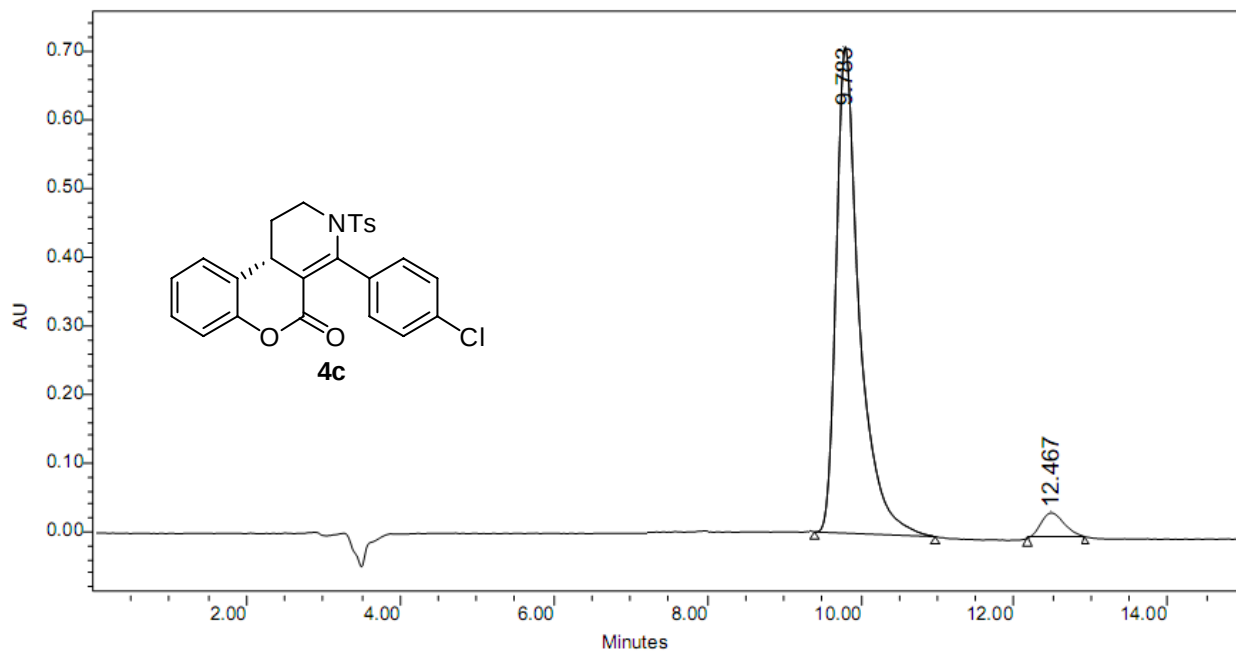
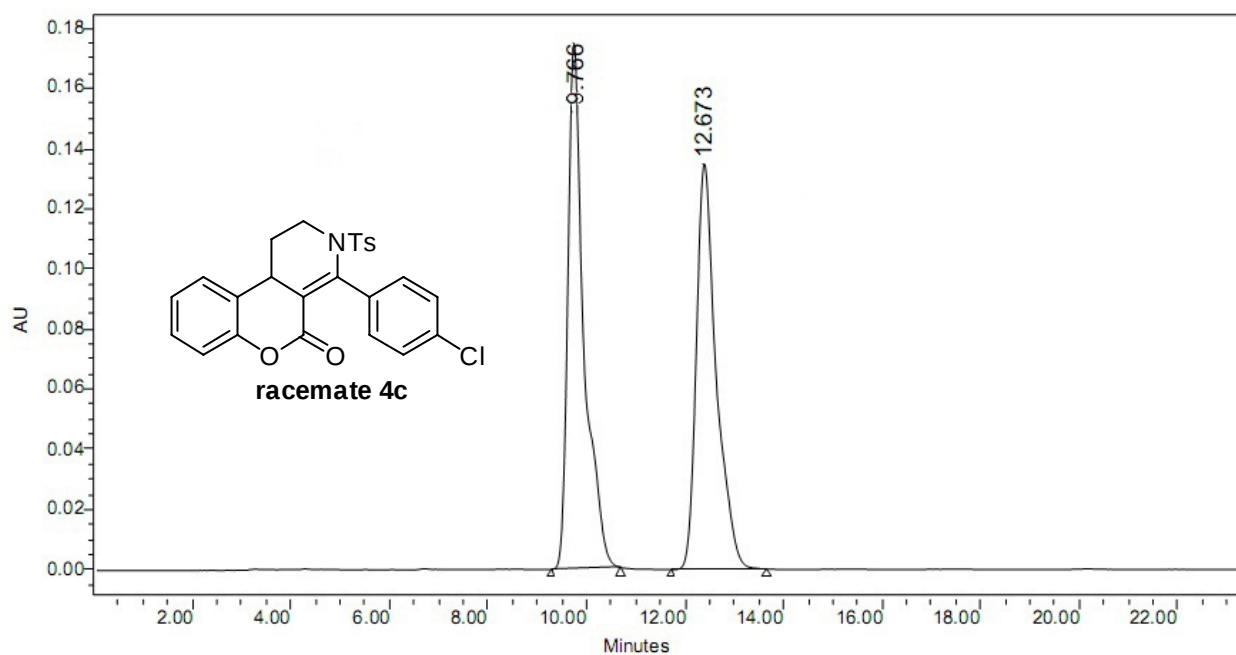


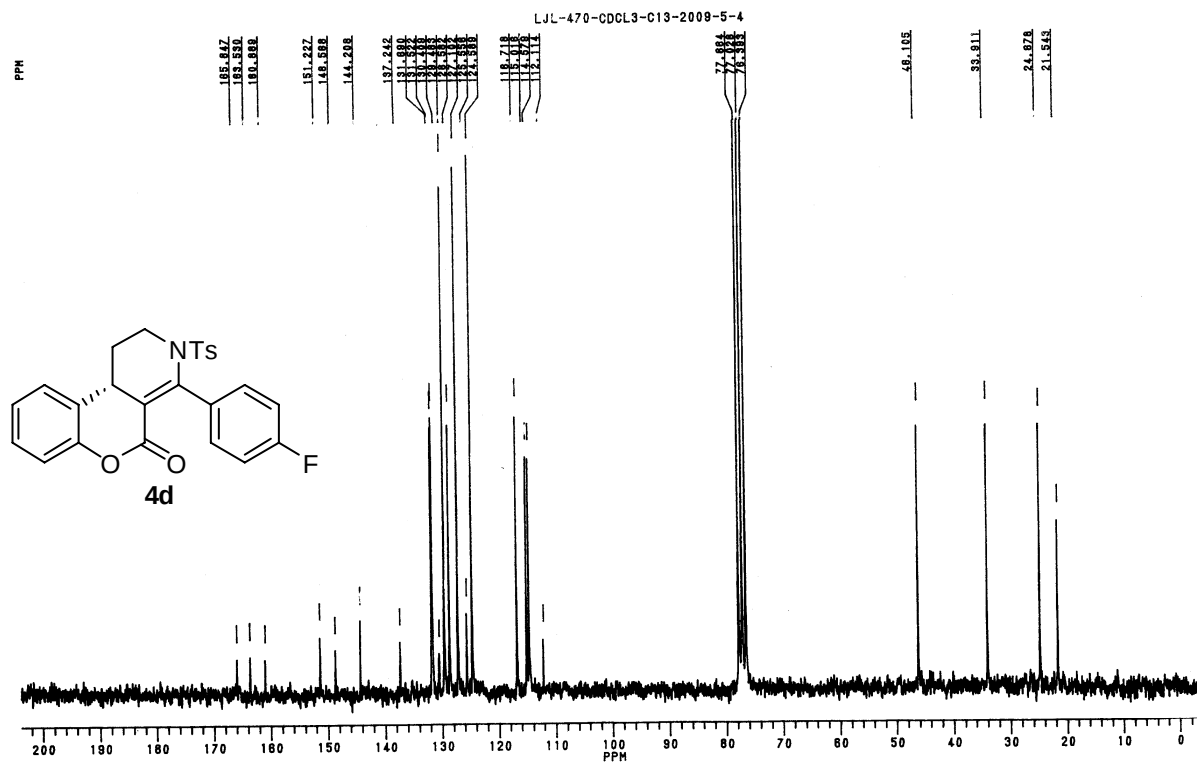
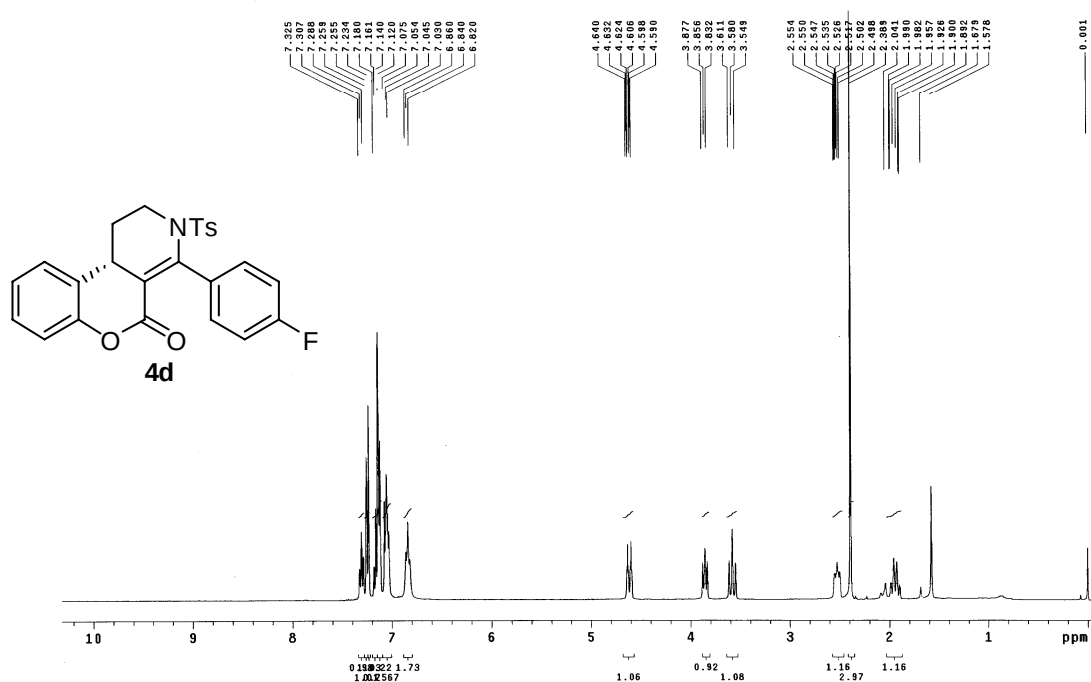
	RT (min)	Area (μV*sec)	% Area	Height (μV)	% Height
1	13.183	19202964	49.03	716465	53.49
2	15.966	19961595	50.97	623032	46.51

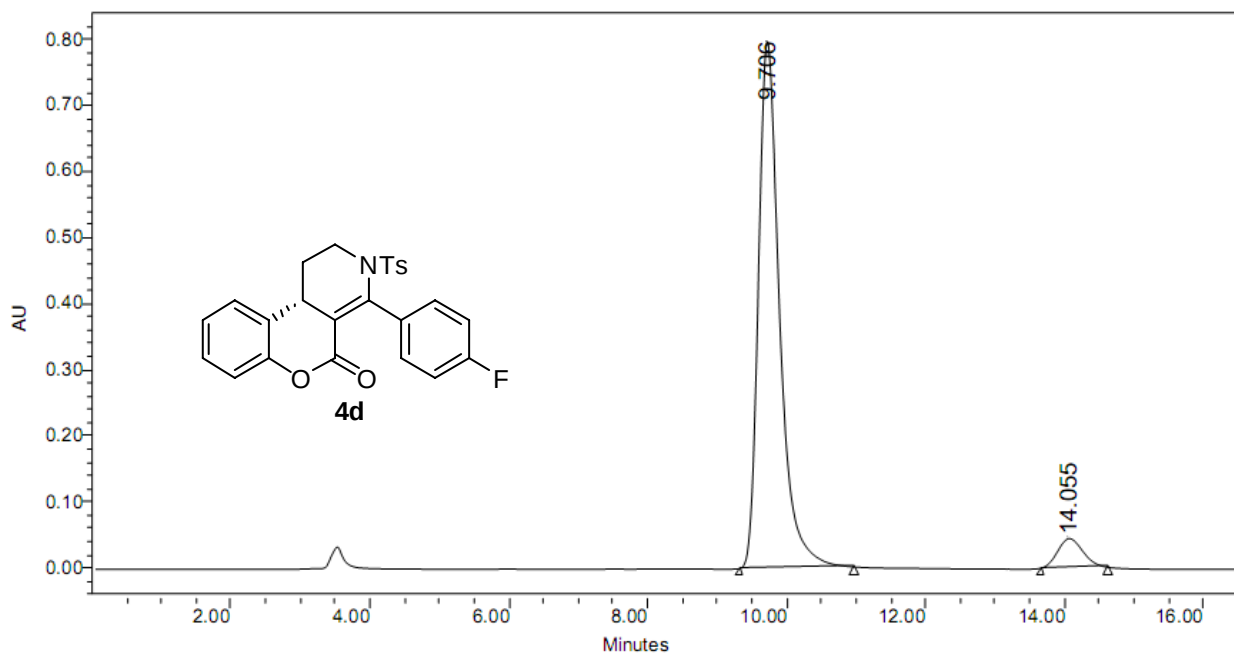
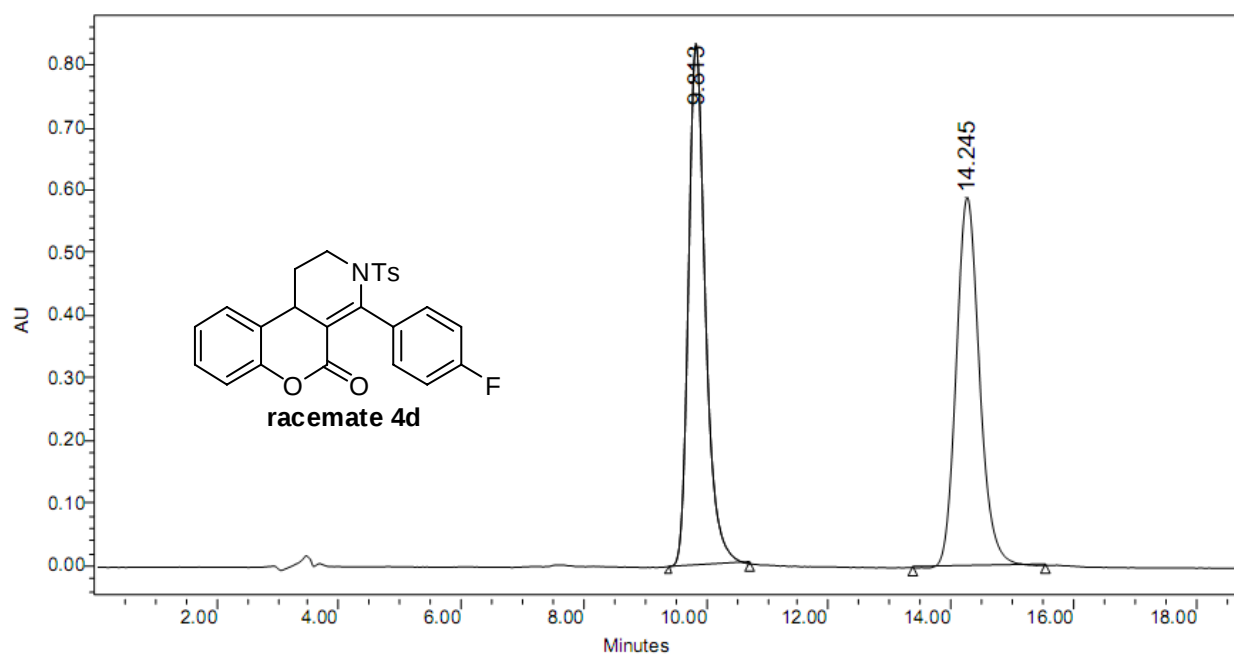


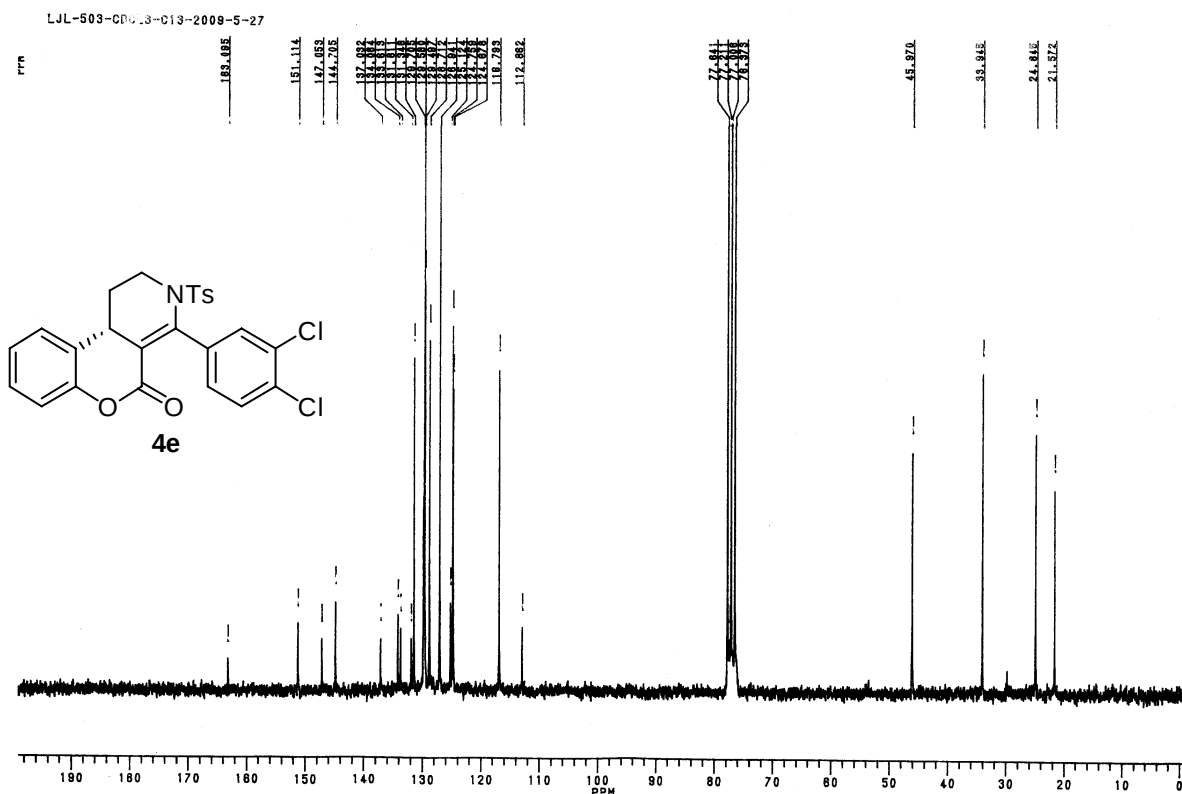
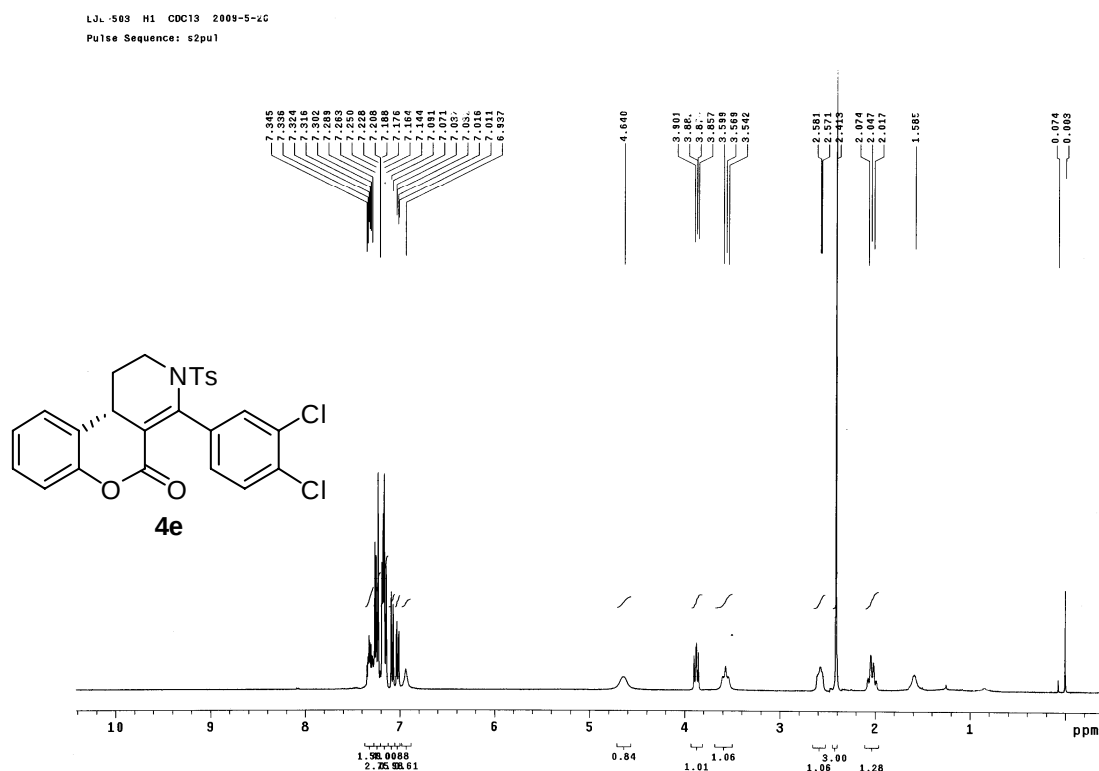
	RT (min)	Area (μV*sec)	% Area	Height (μV)	% Height
1	13.102	656147	3.81	31303	5.63
2	15.654	16552325	96.19	524616	94.37

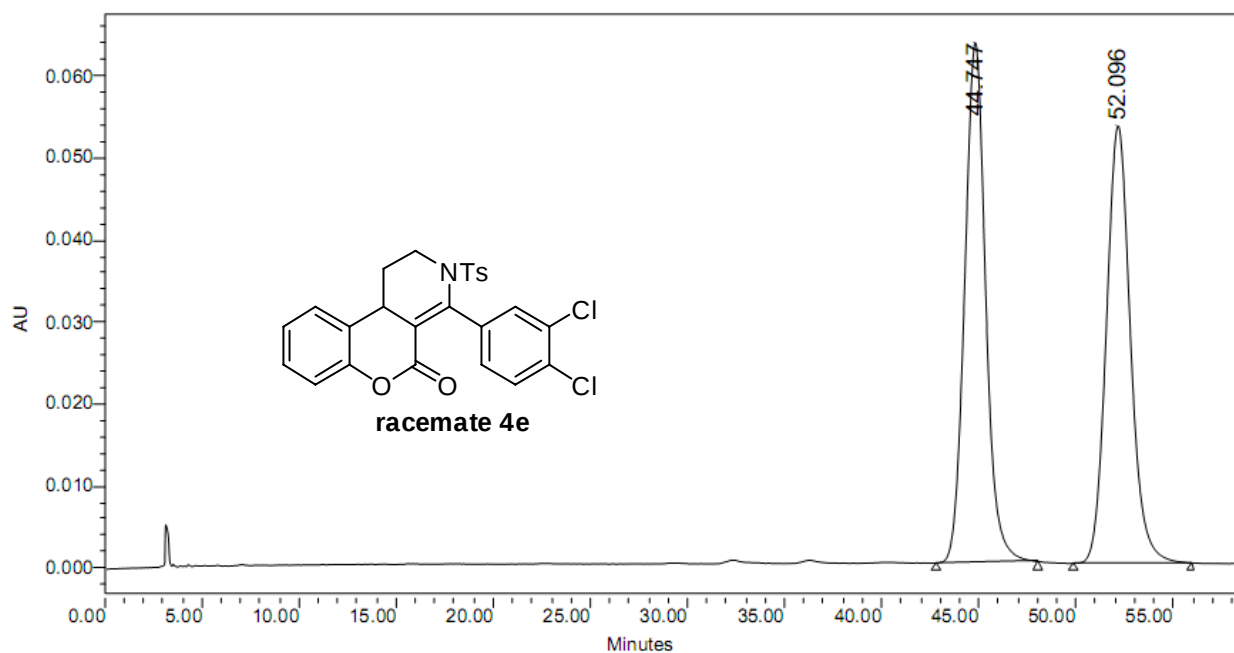




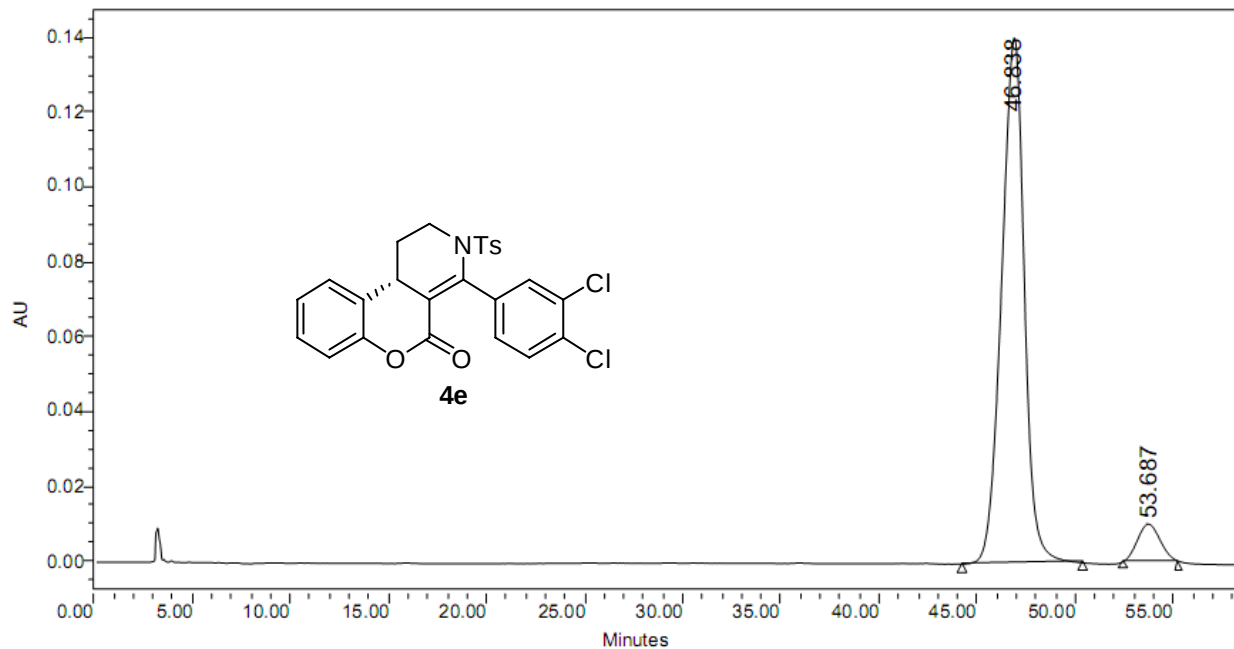




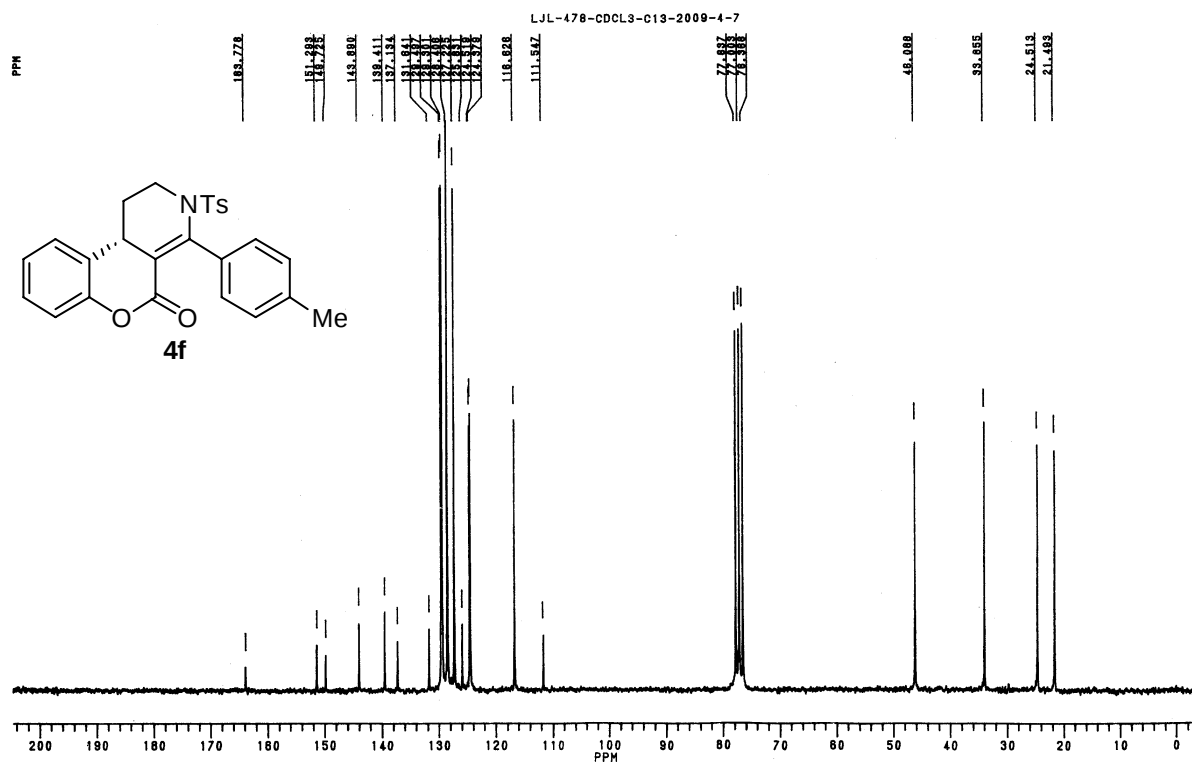
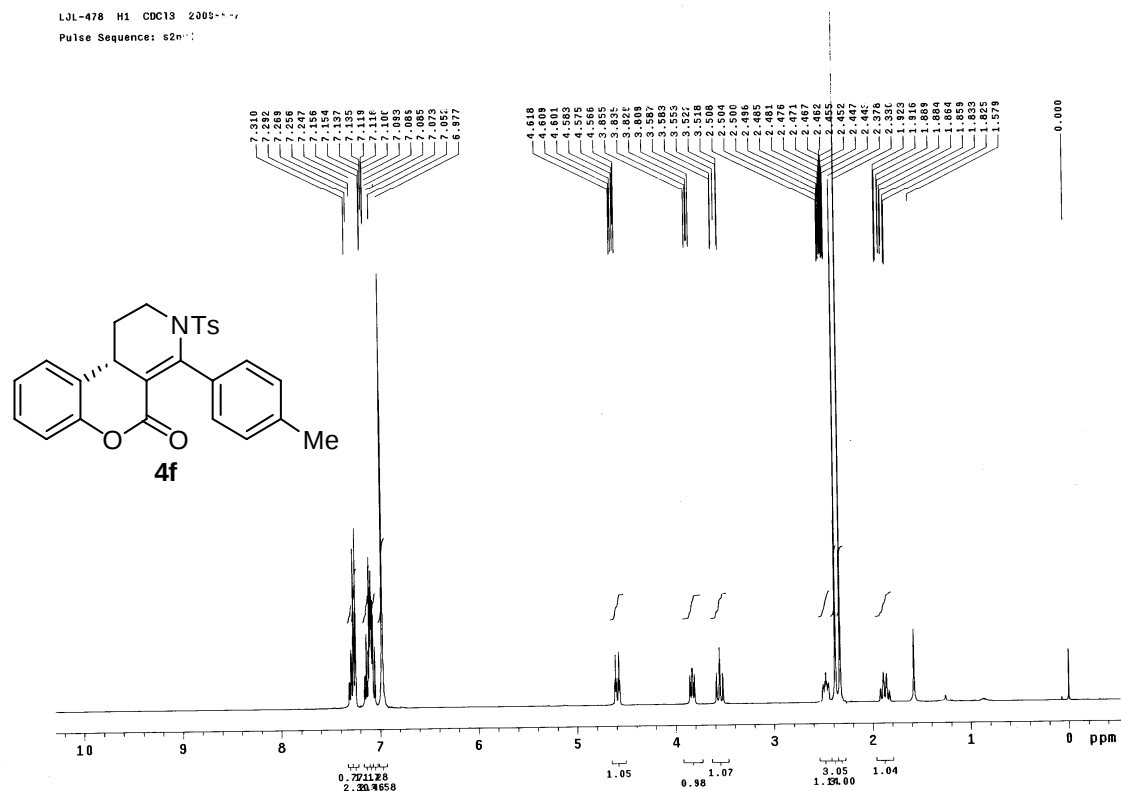


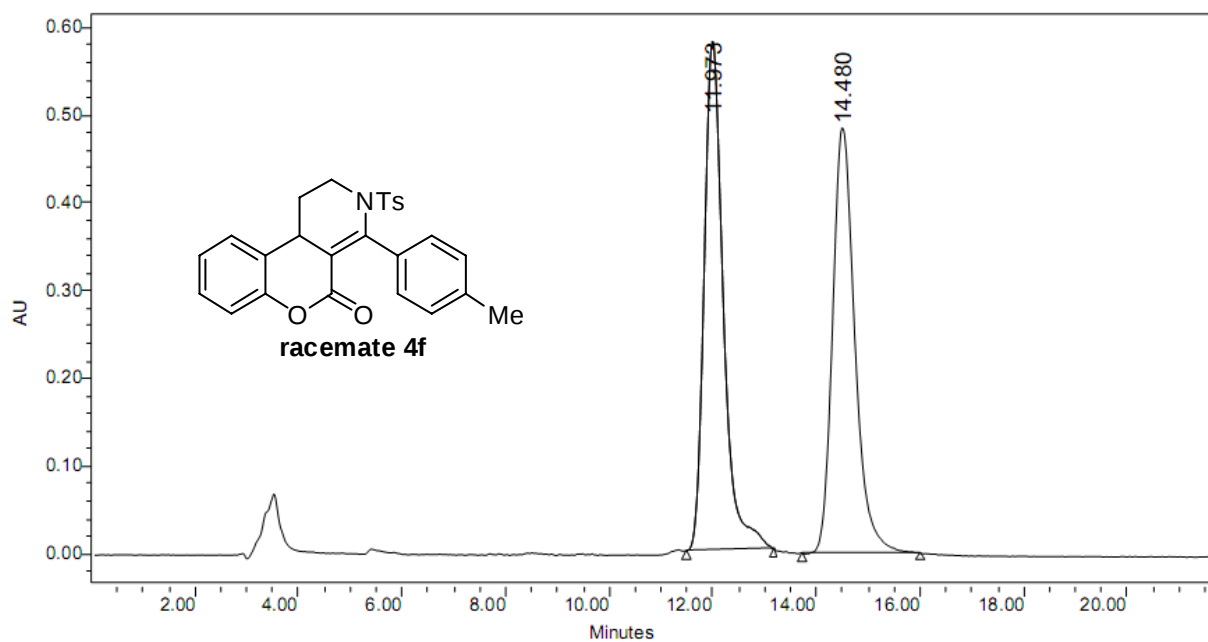


	RT (min)	Area ($\mu\text{V}\cdot\text{sec}$)	% Area	Height (μV)	% Height
1	44.747	4749758	50.88	63401	54.31
2	52.096	4585583	49.12	53334	45.69

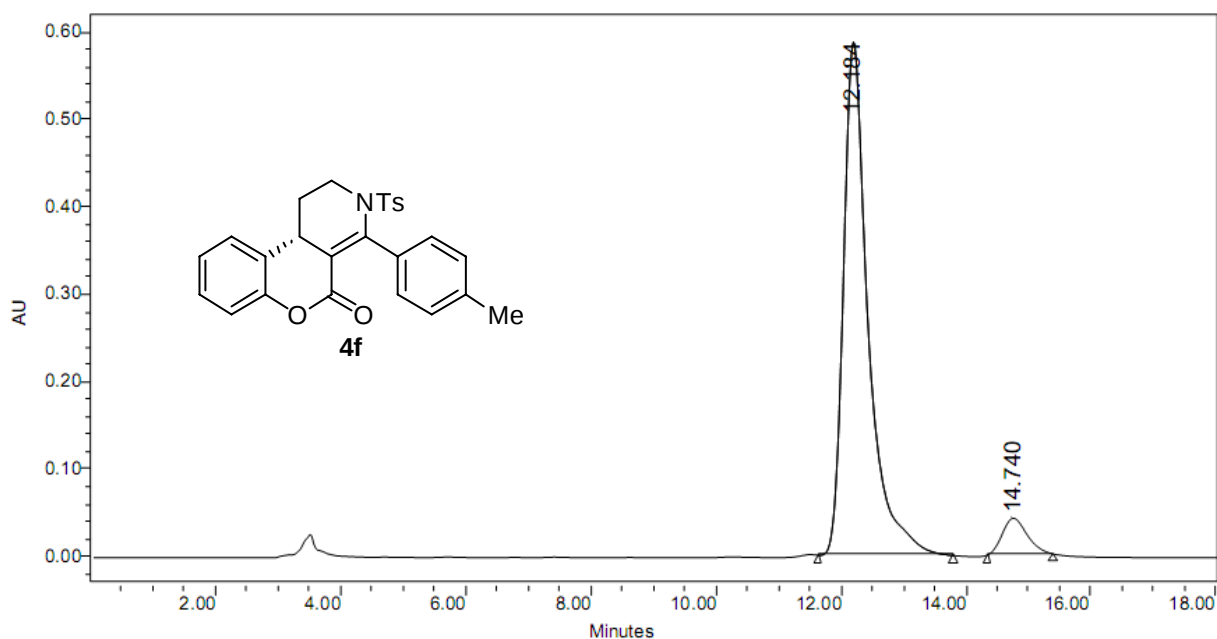


	RT (min)	Area ($\mu\text{V}\cdot\text{sec}$)	% Area	Height (μV)	% Height
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2	53.687	793684	6.55	9920	6.60



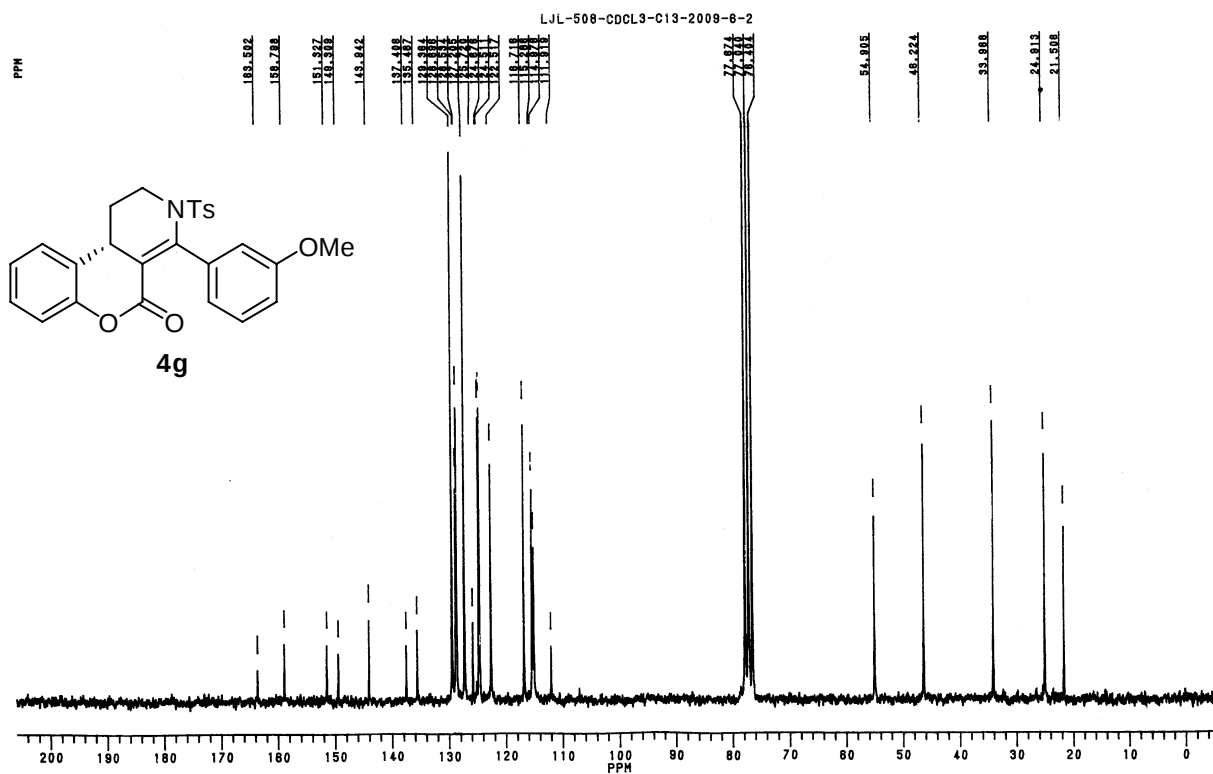
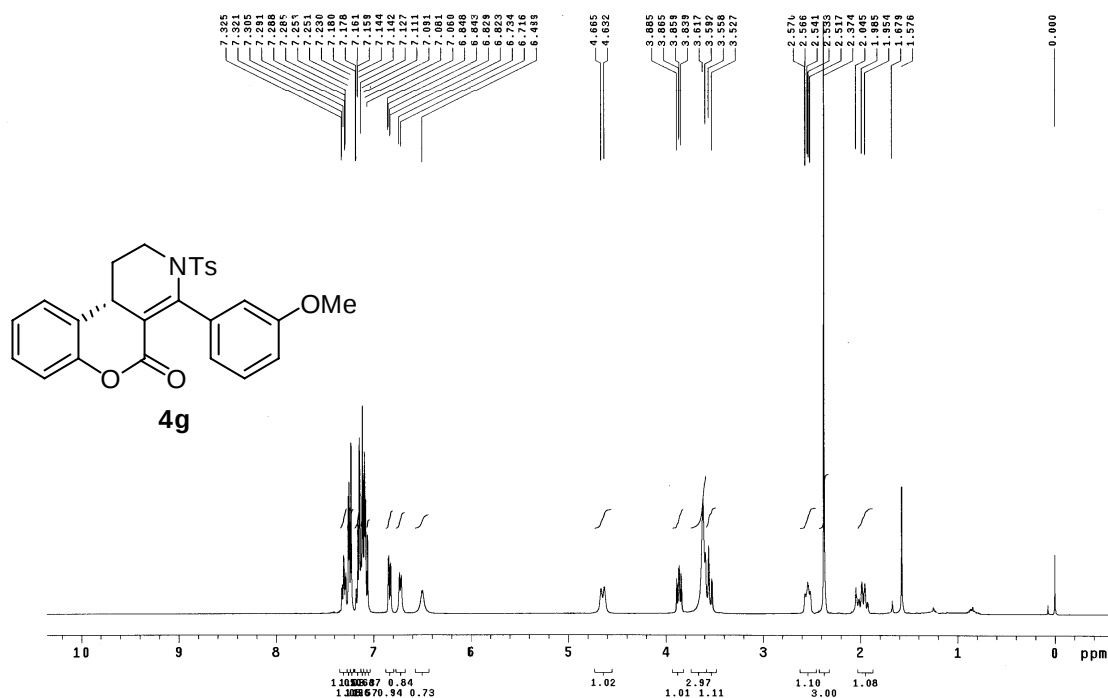


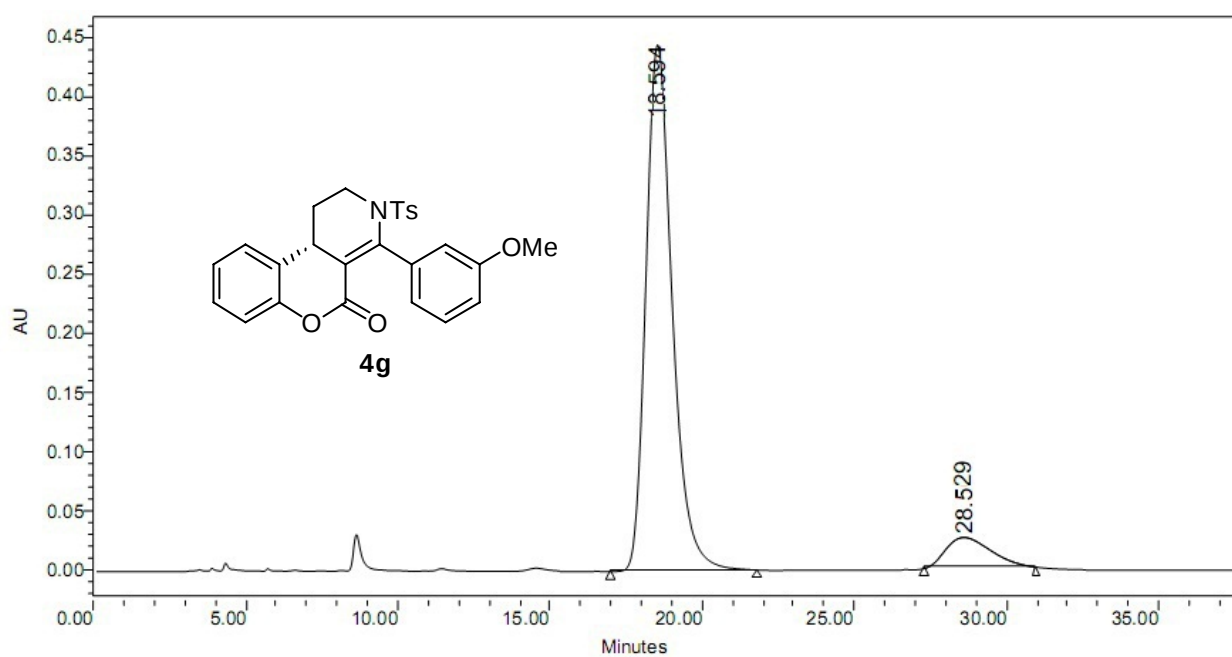
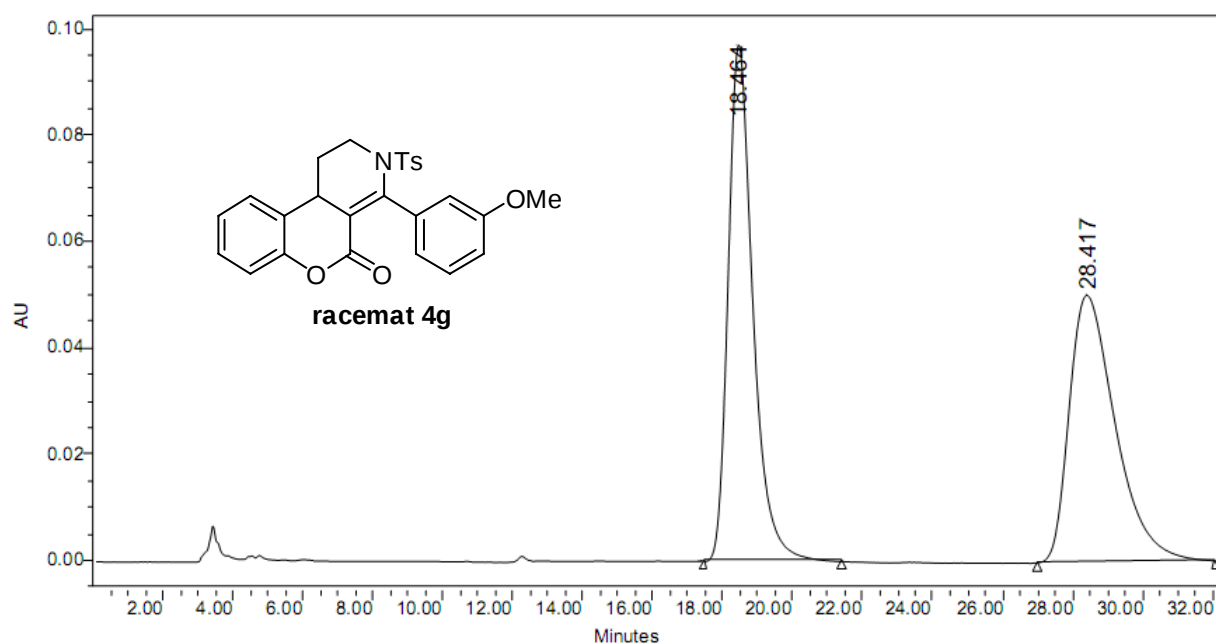
	RT (min)	Area (μV*sec)	% Area	Height (μV)	% Height
1	11.973	14969489	50.81	580902	54.50
2	14.480	14490042	49.19	484911	45.50

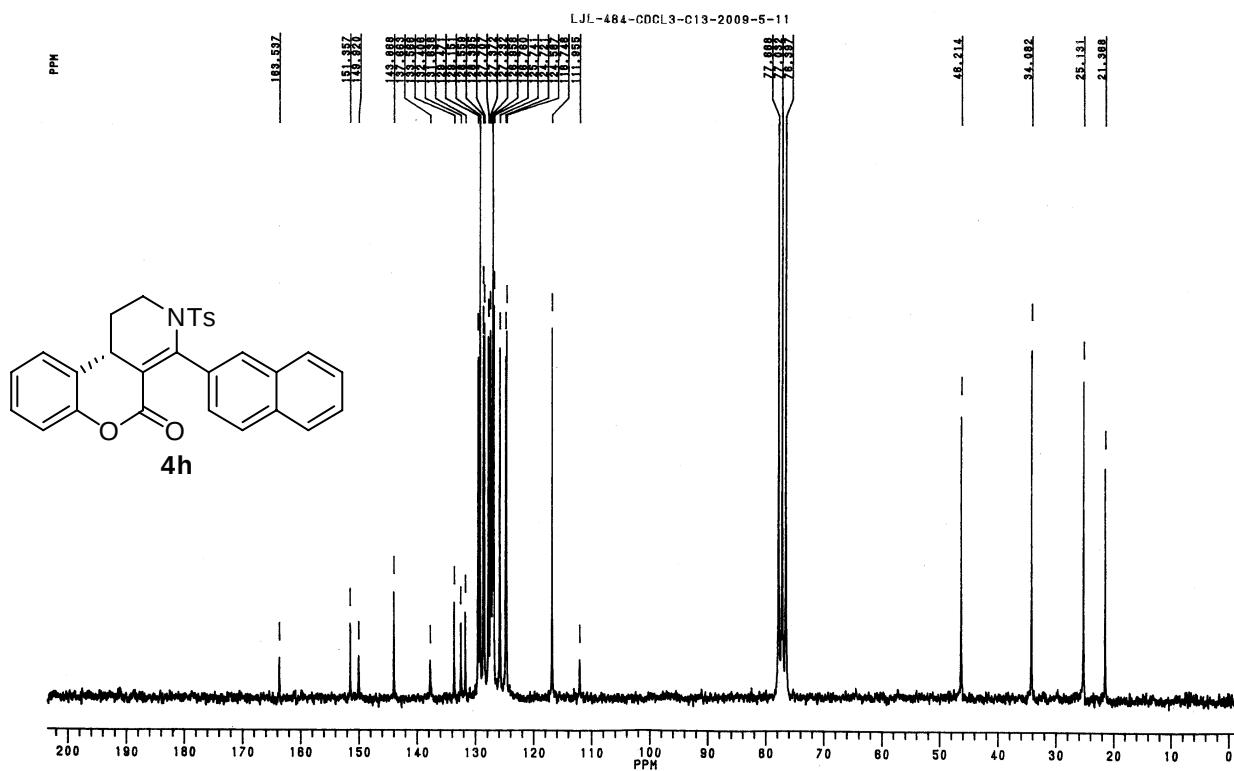
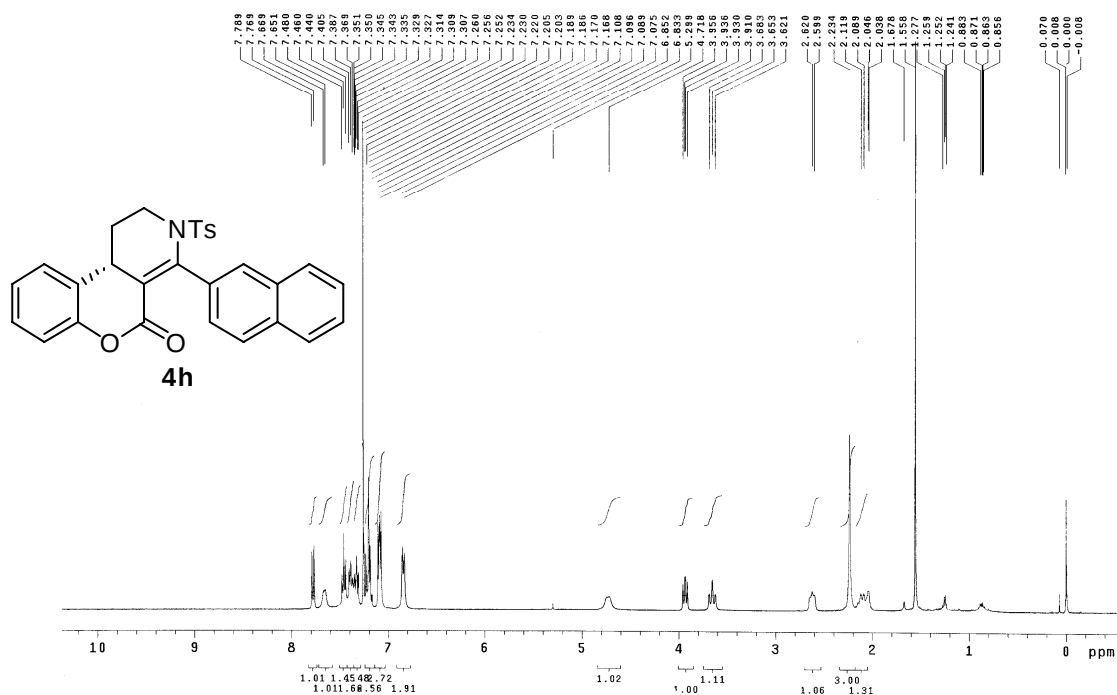


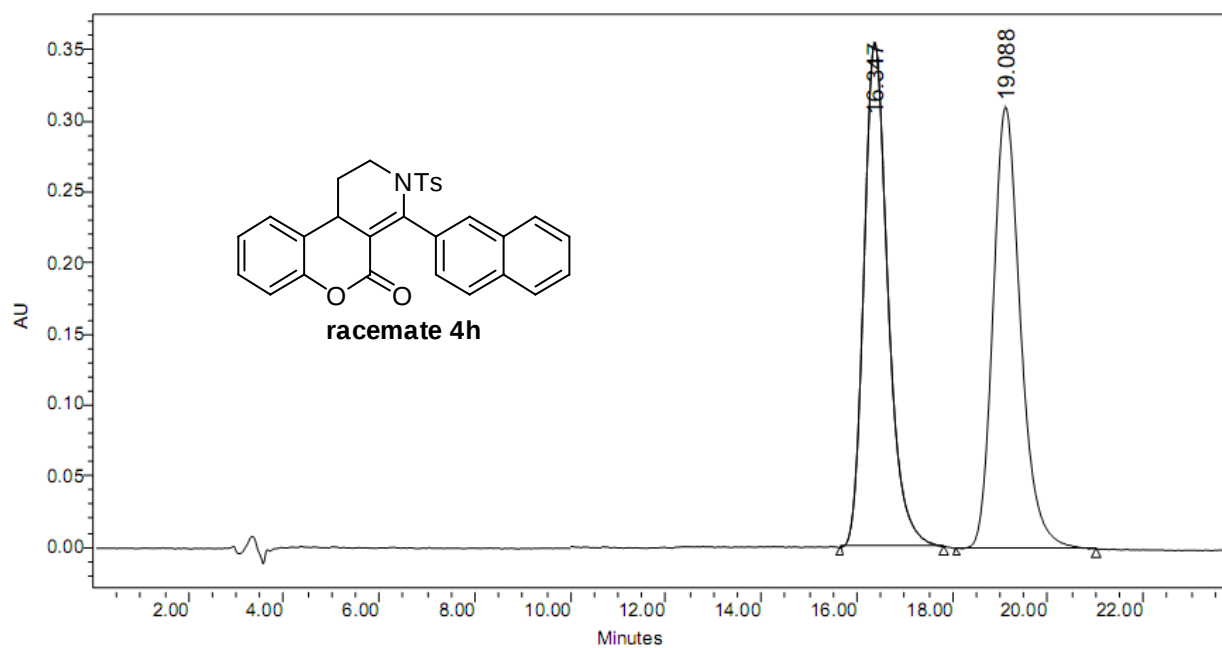
	RT (min)	Area (μV*sec)	% Area	Height (μV)	% Height
1	12.184	16151911	93.93	587189	93.65
2	14.740	1042888	6.07	39815	6.35

LJL-508 H₁ CDC13 2009-6-2
Pulse Sequence: s2pul1

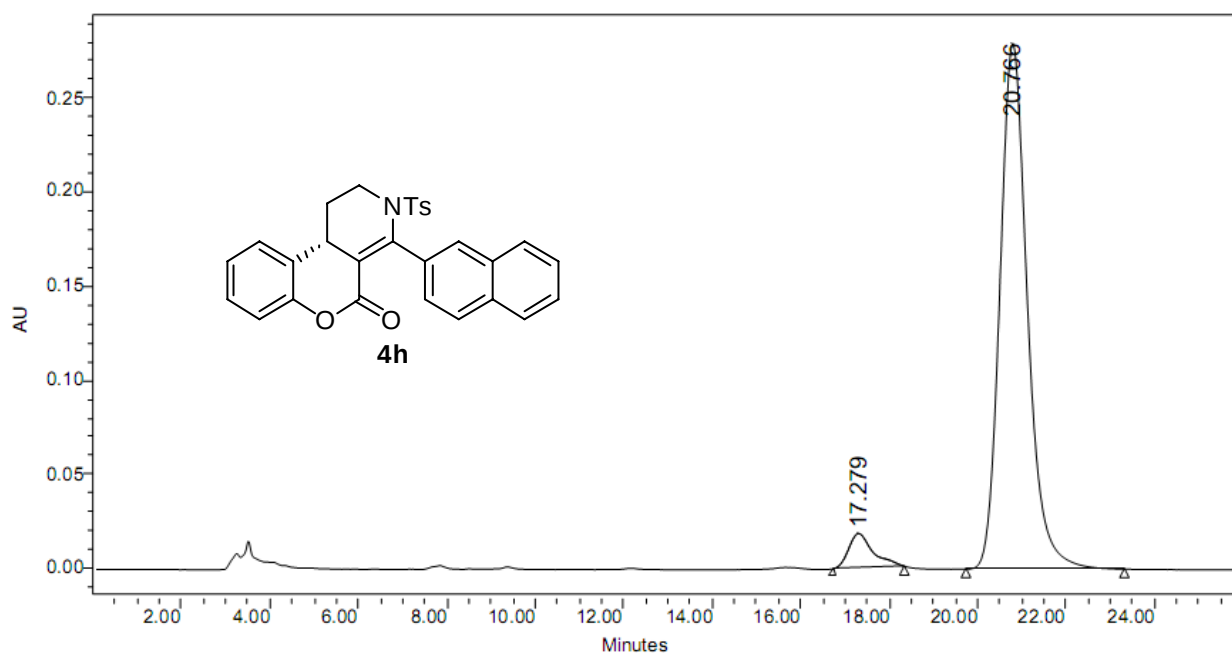






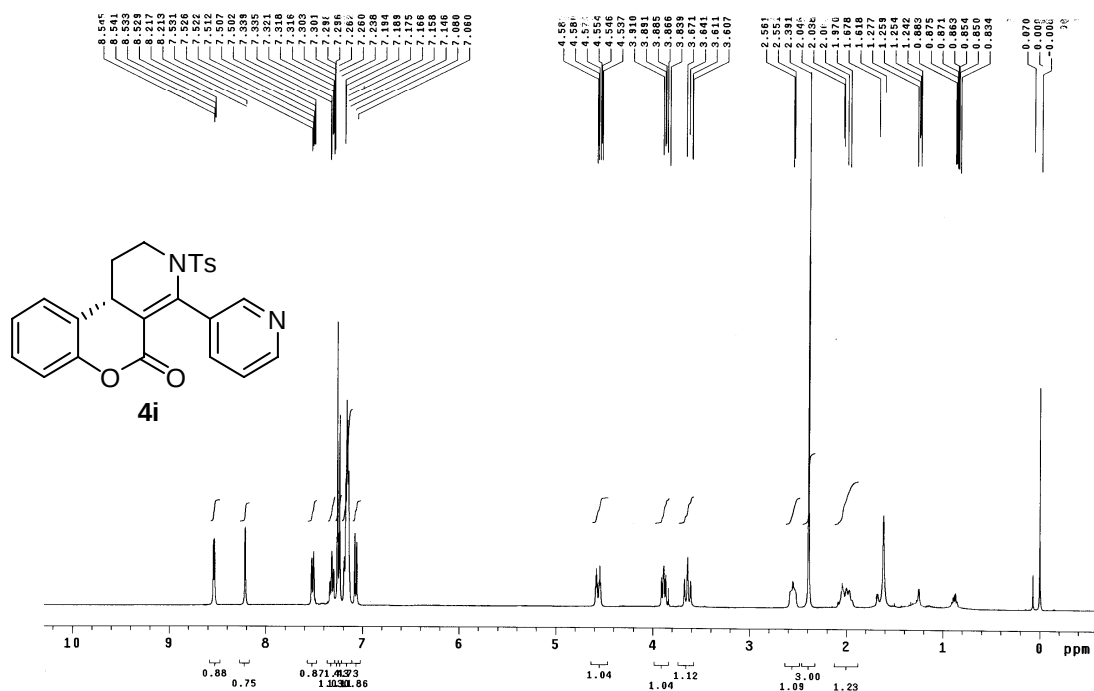


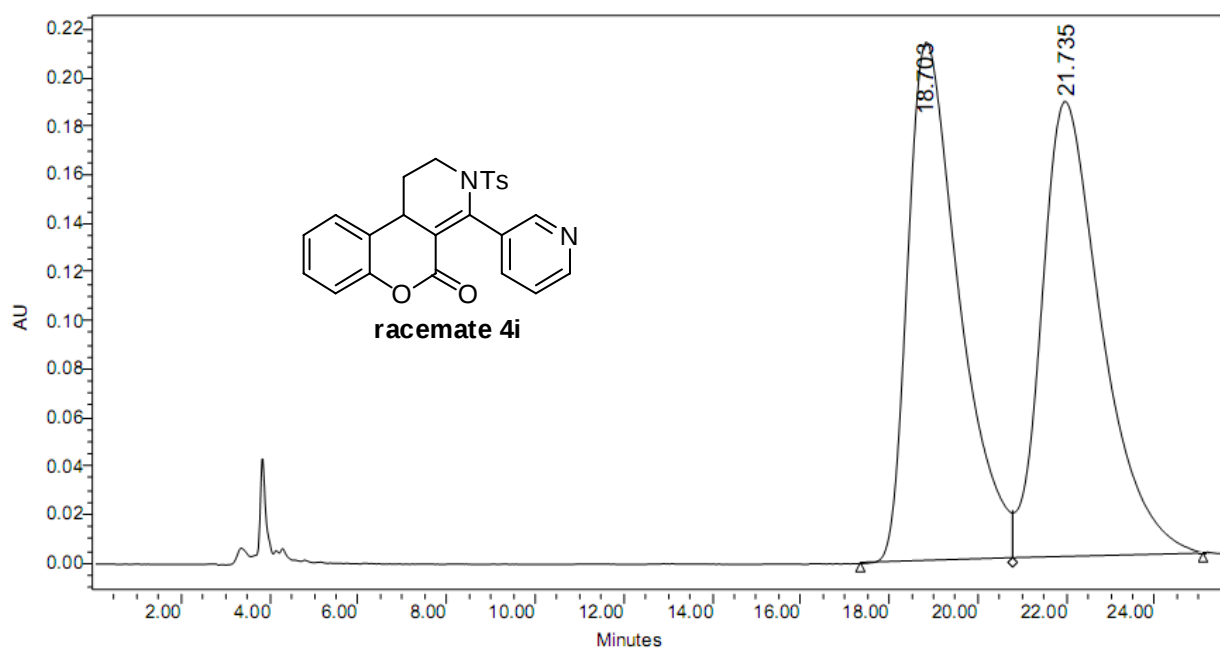
	RT (min)	Area (μV*sec)	% Area	Height (μV)	% Height
1	16.347	12458249	50.11	355486	53.36
2	19.088	12403297	49.89	310754	46.64



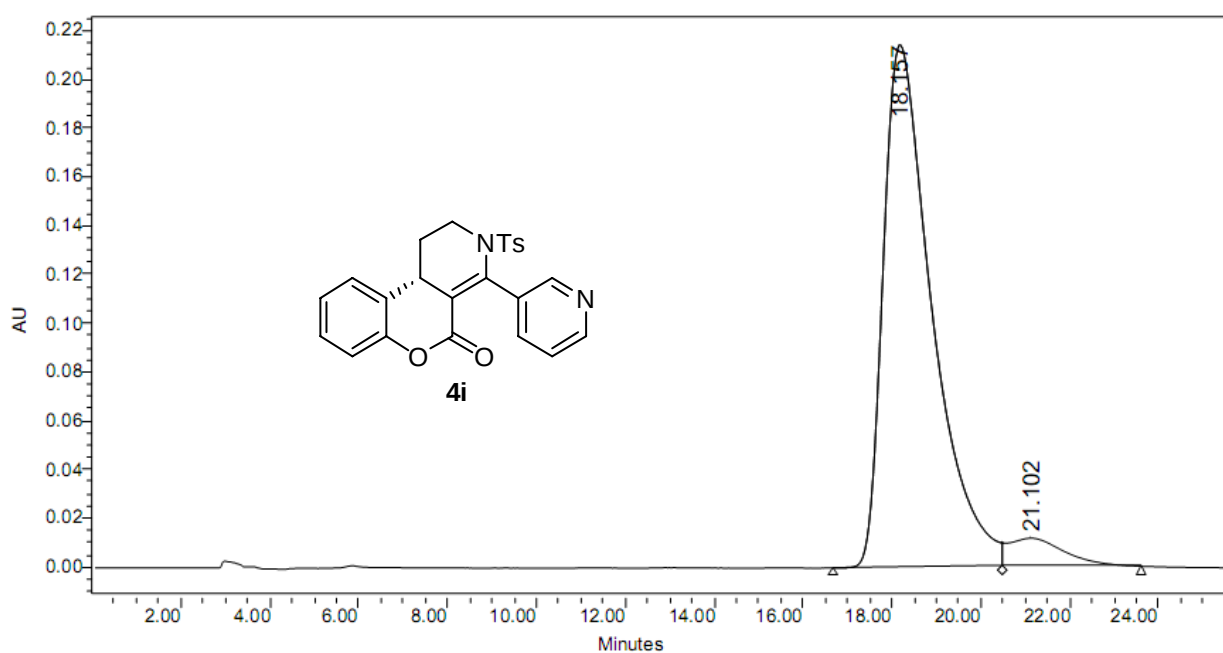
	RT (min)	Area (μV*sec)	% Area	Height (μV)	% Height
1	17.279	713067	5.59	18334	6.15
2	20.766	12042373	94.41	279674	93.85

LJL-biding H1 C0C13 2009-5-19
Pulse Sequence: s2pul



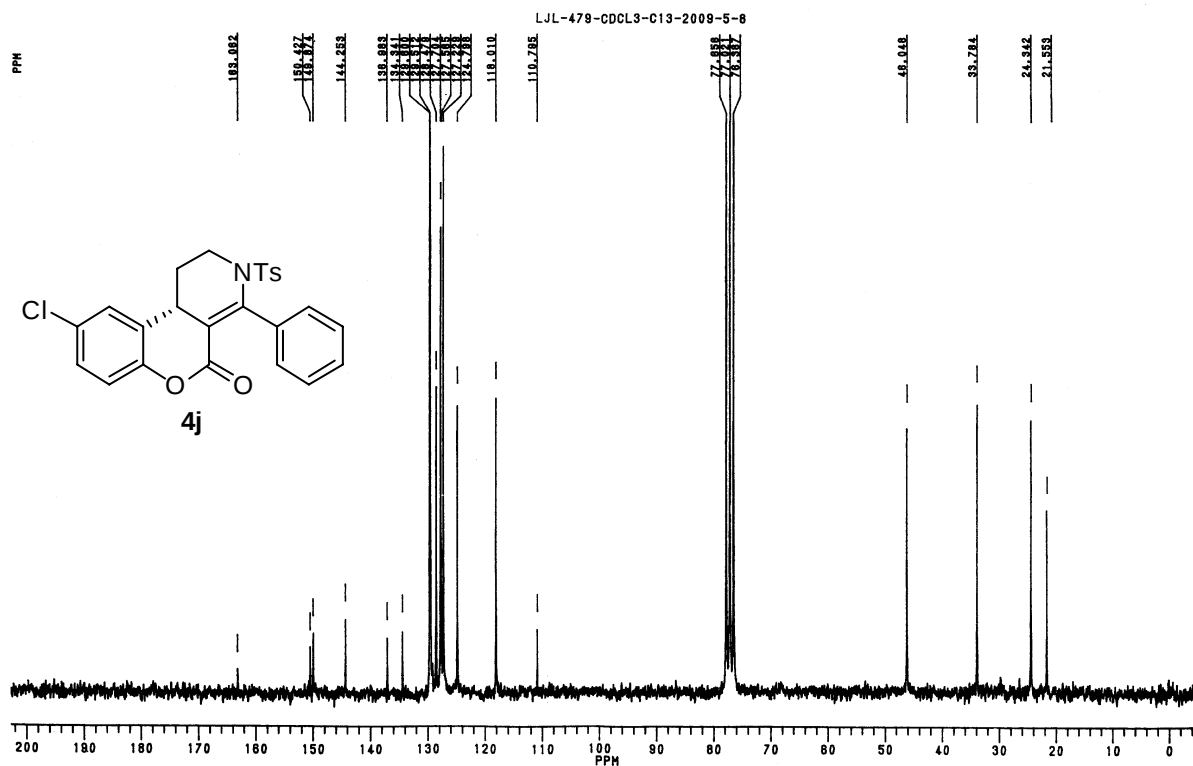
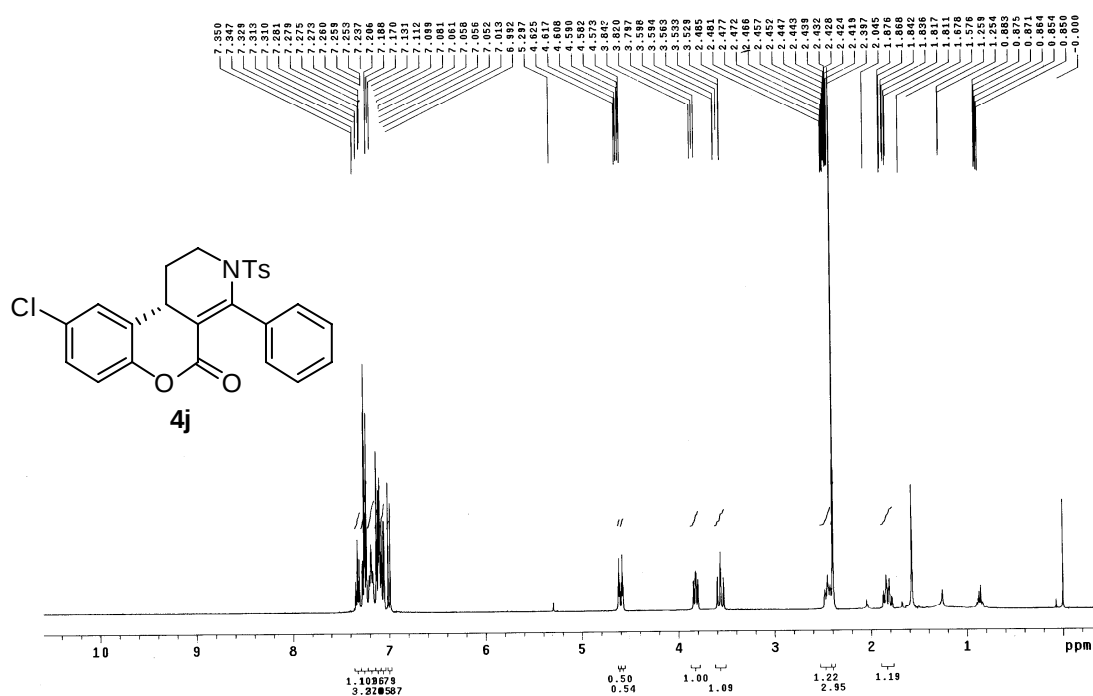


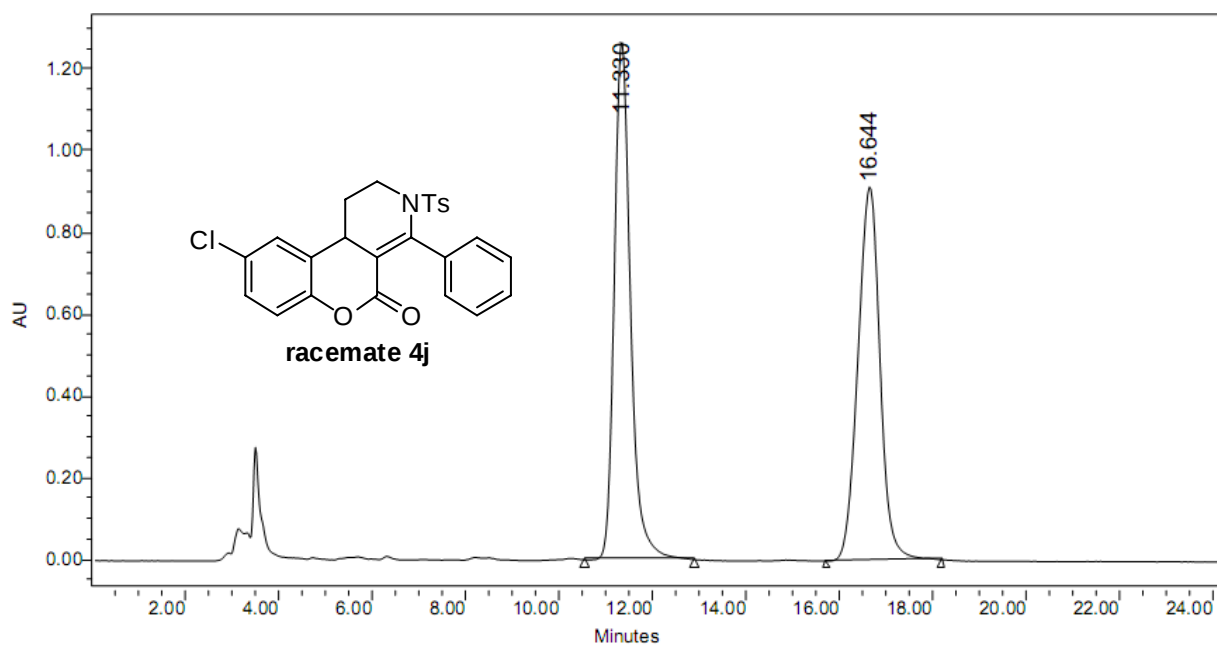
	RT (min)	Area (μV*sec)	% Area	Height (μV)	% Height
1	18.703	21925280	49.33	280743	53.23
2	21.735	22516599	50.67	246670	46.77



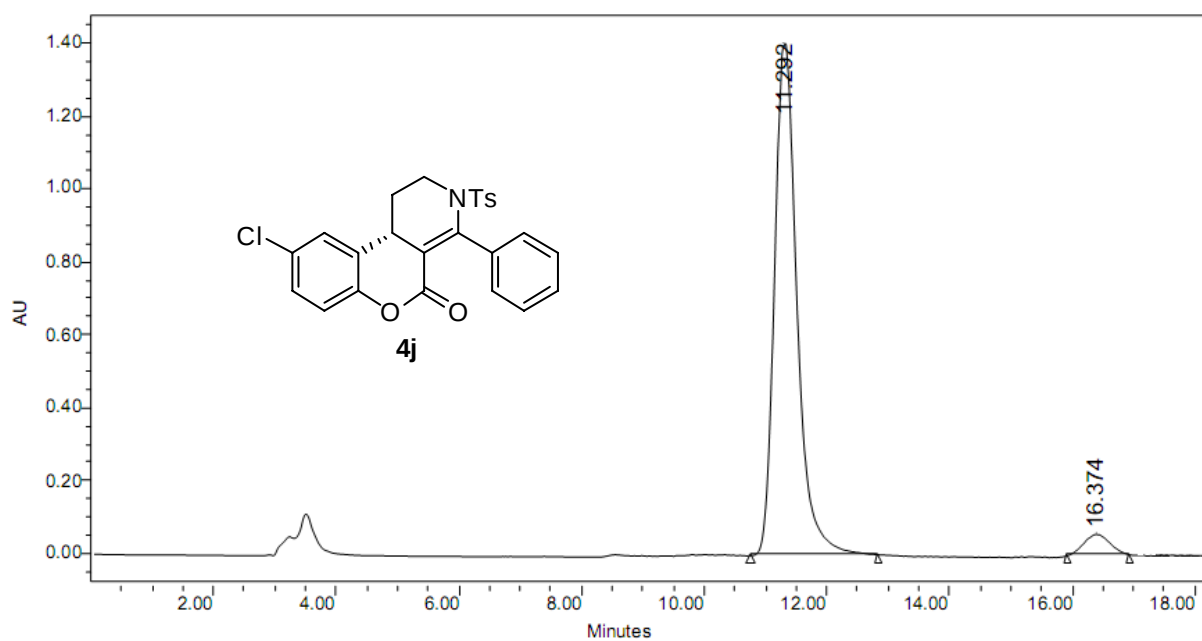
	RT (min)	Area (μV*sec)	% Area	Height (μV)	% Height
1	18.157	16493907	94.83	214474	94.79
2	21.102	899676	5.17	11788	5.21

LJL-479 H¹ CDCl₃ 2009-5-7
Pulse Sequence: zgpg30



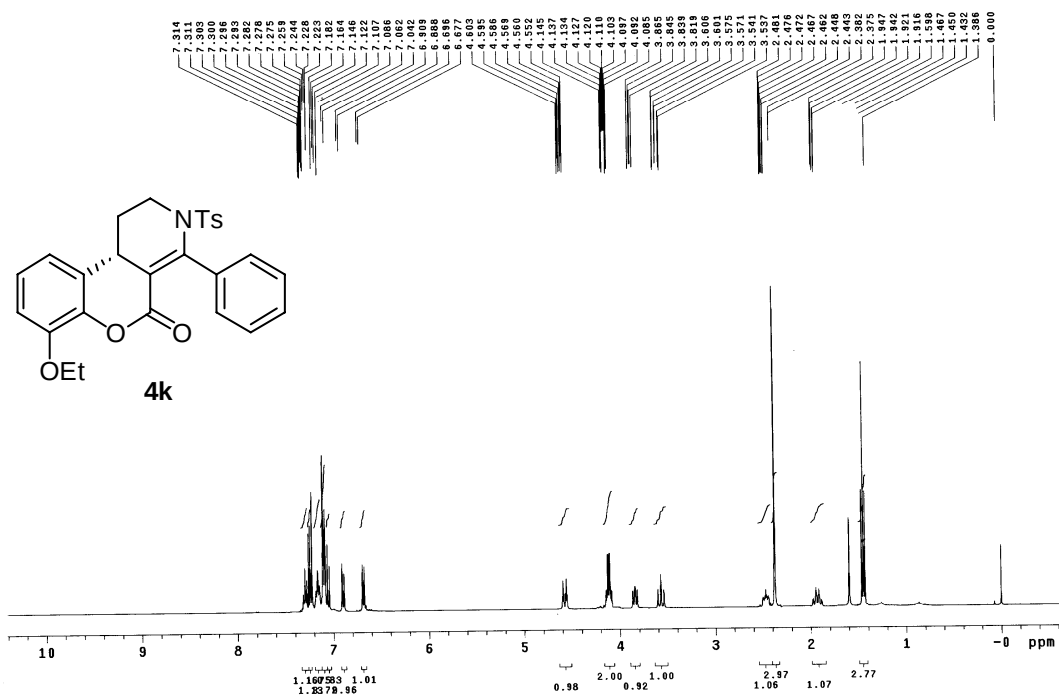


	RT (min)	Area (μV*sec)	% Area	Height (μV)	% Height
1	11.330	30699009	50.51	1265882	58.11
2	16.644	30080568	49.49	912371	41.89

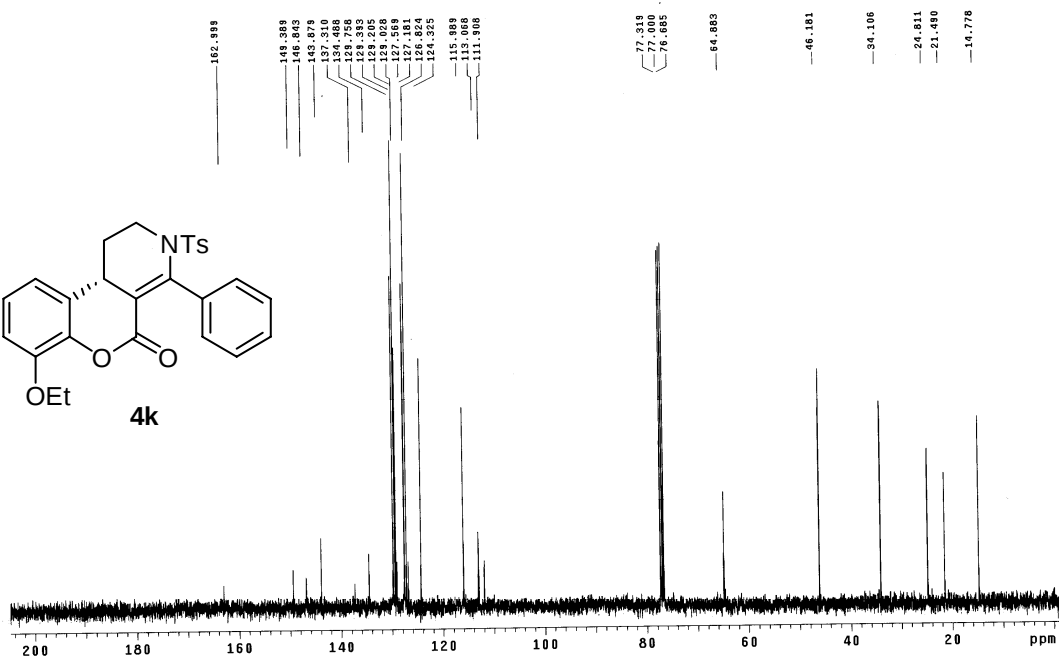


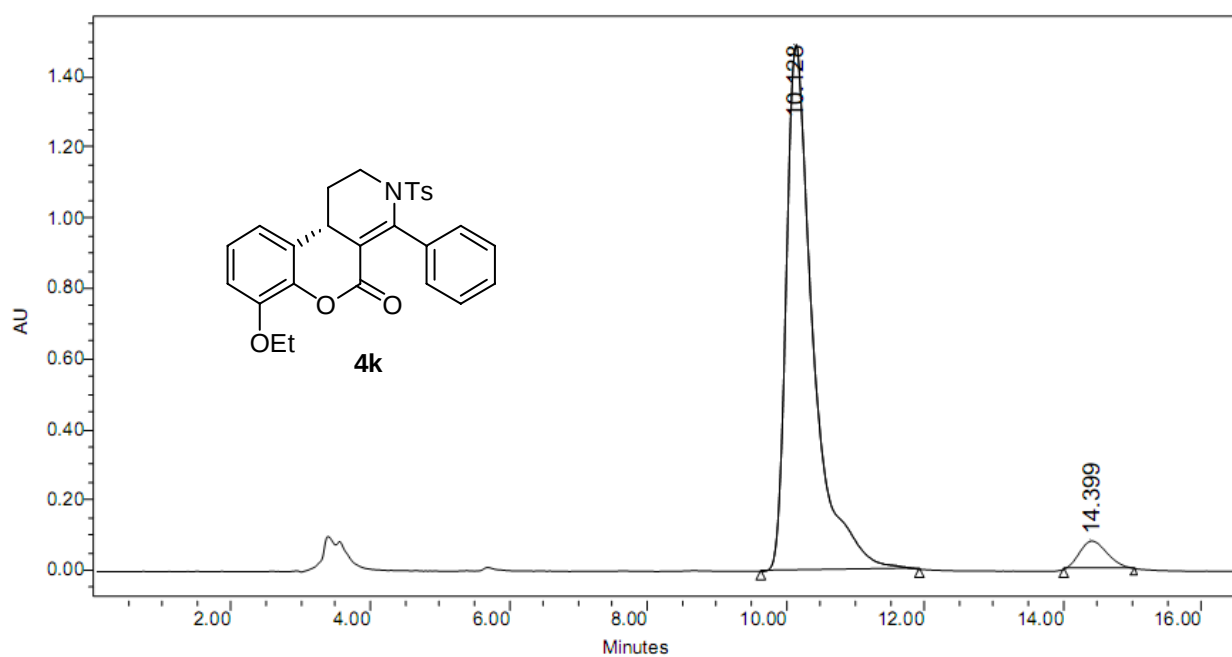
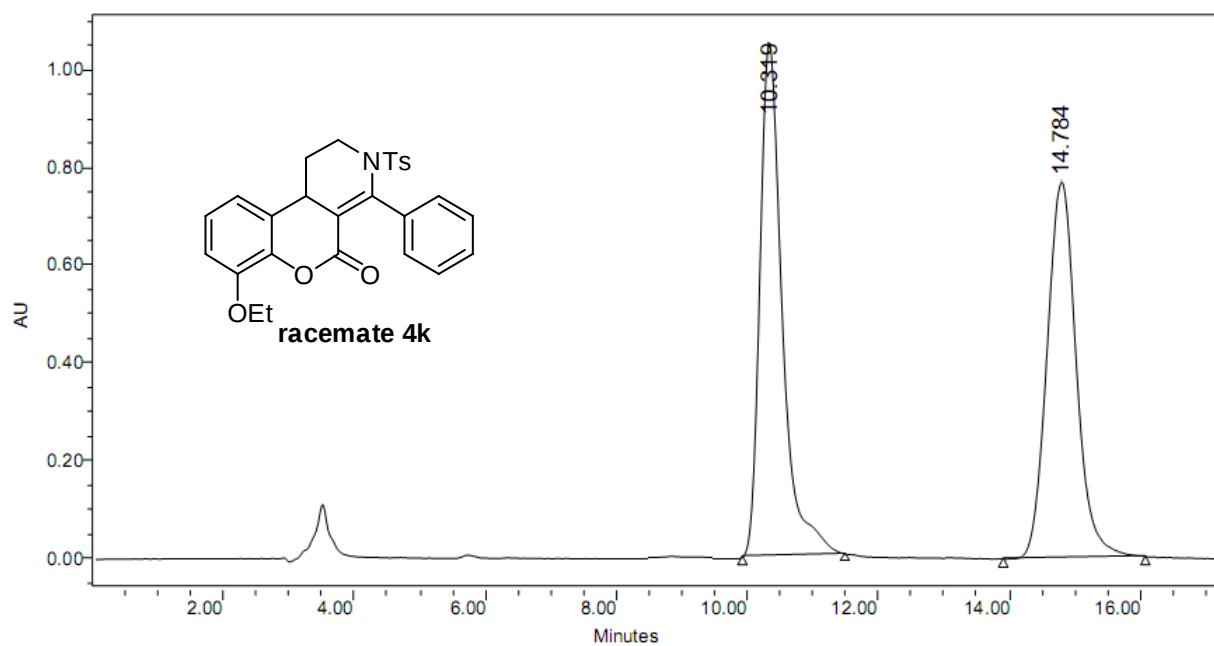
	RT (min)	Area (μV*sec)	% Area	Height (μV)	% Height
1	11.292	35800790	95.50	1407442	96.10
2	16.374	1687653	4.50	57116	3.90

LJL-475 H1 CDC13 2009-5-b
Pulse Sequence: s2pul

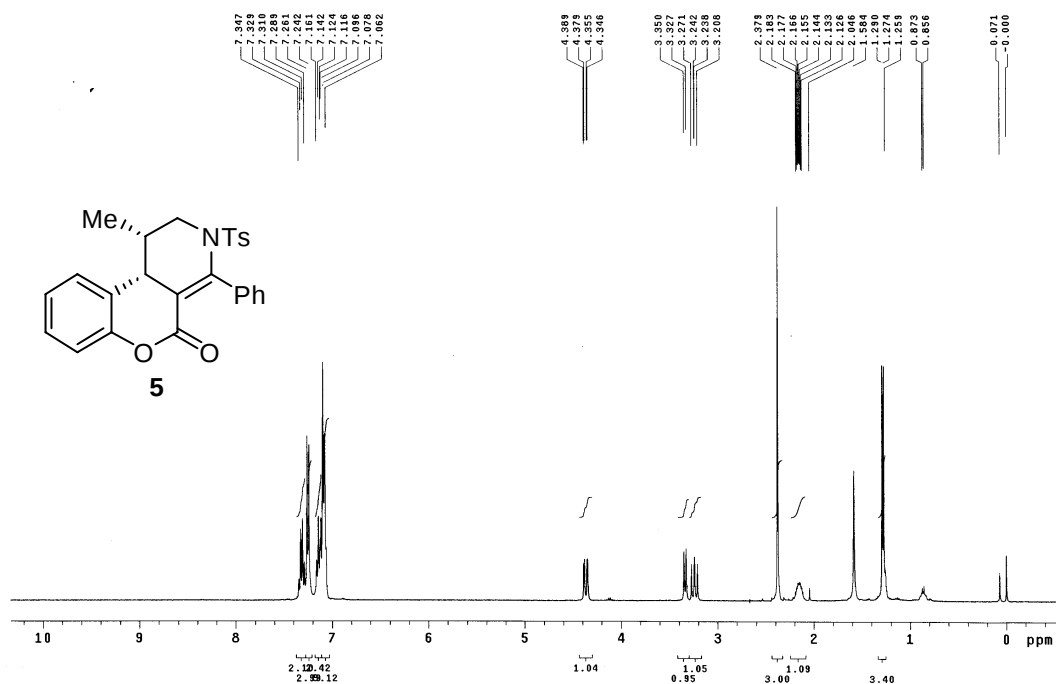


LJL-475 C13 CDC13 2009-5-b
Pulse Sequence: s2pul

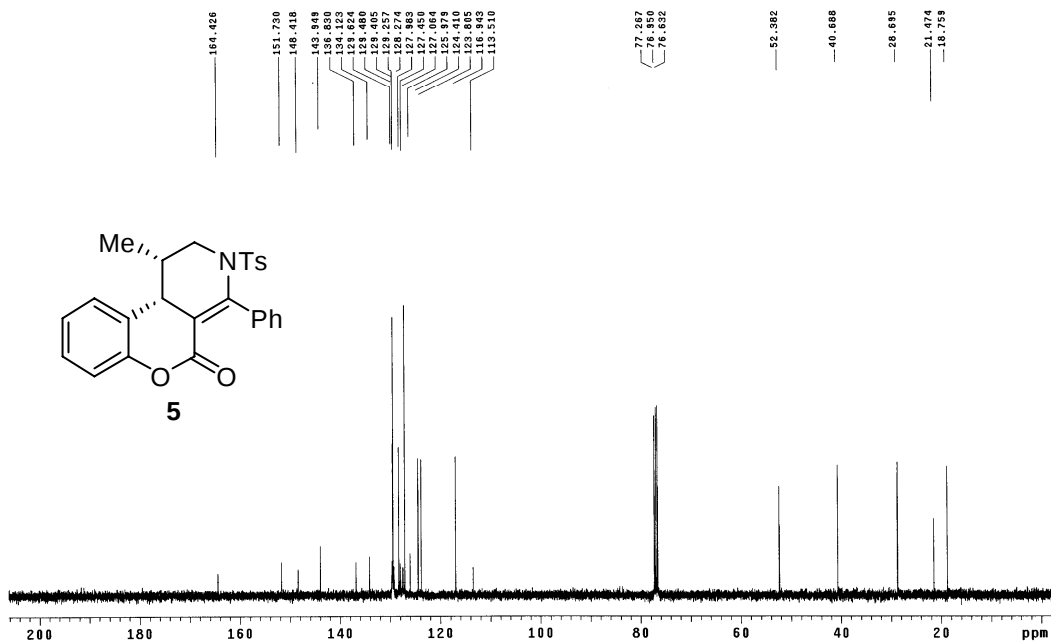




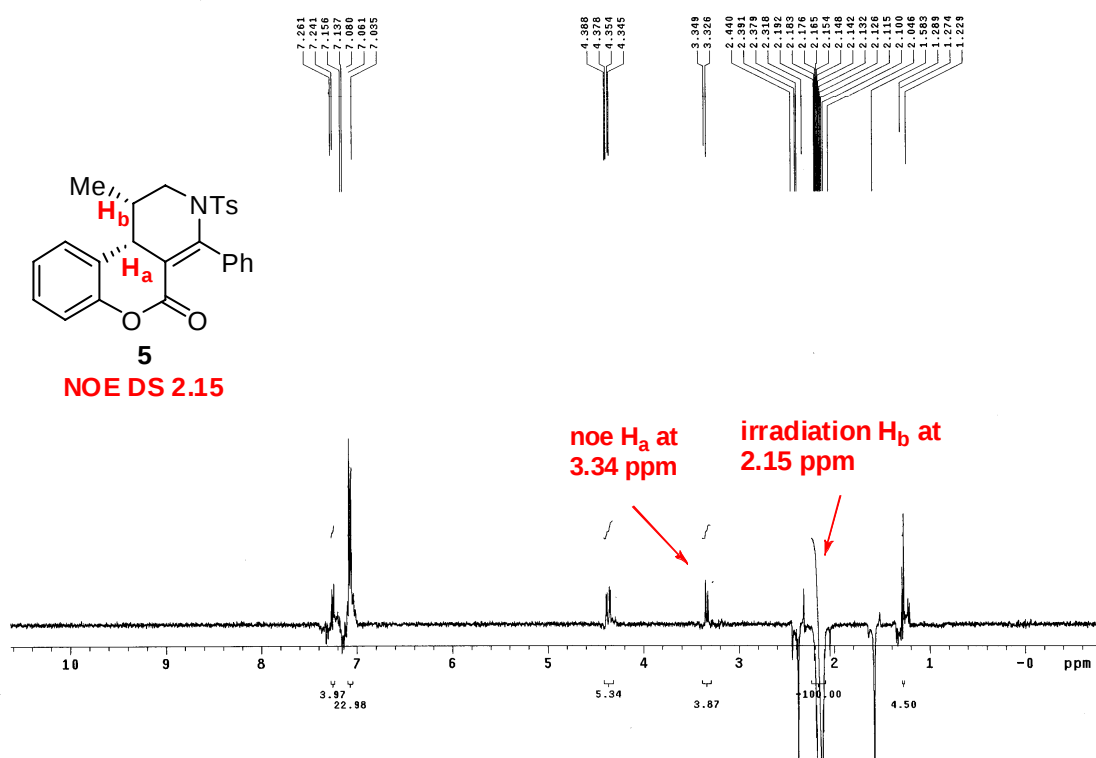
LJL-3C H1 CDC13 2009-9-11
Pulse Sequence: s2pu1

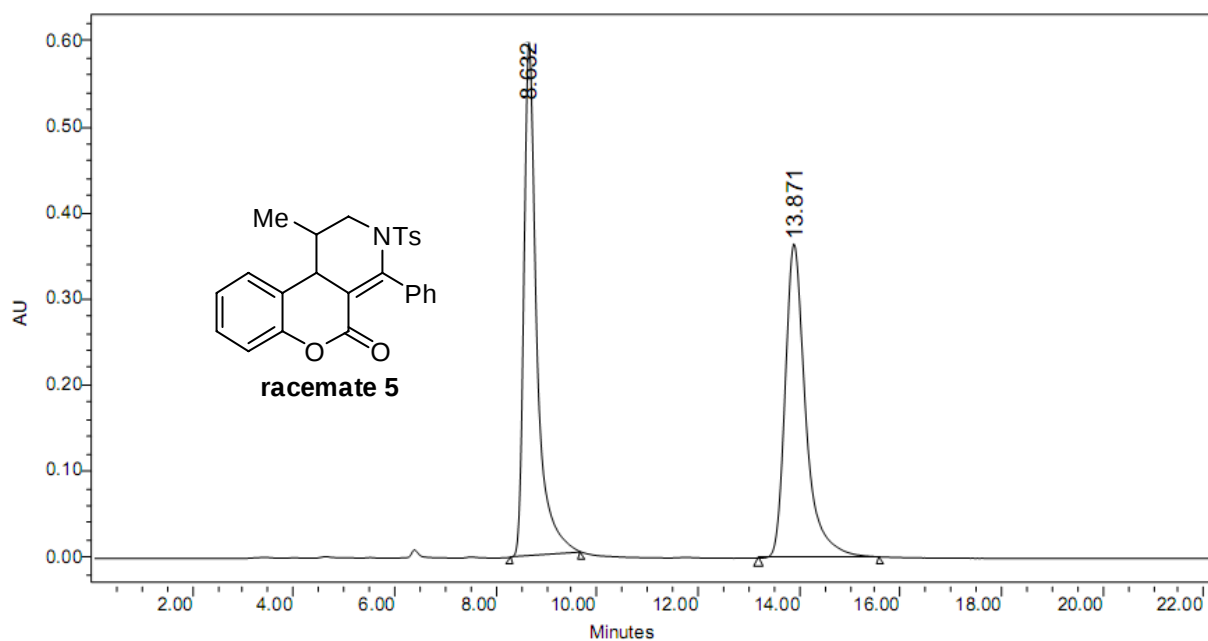


LJL-3C-CDCl3-C13-2009-9-12
Pulse Sequence: s2pu1

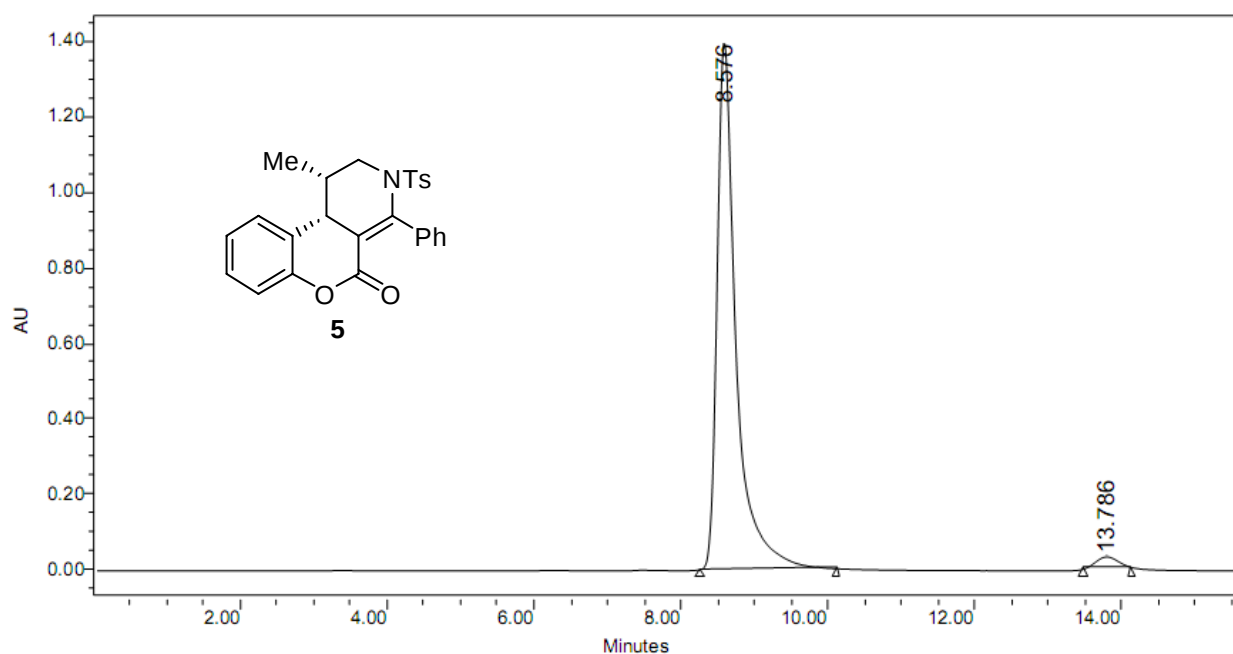


LJL-3C NOEDS2.15 CDC13 2009-9-11
Pulse Sequence: cyclenoe



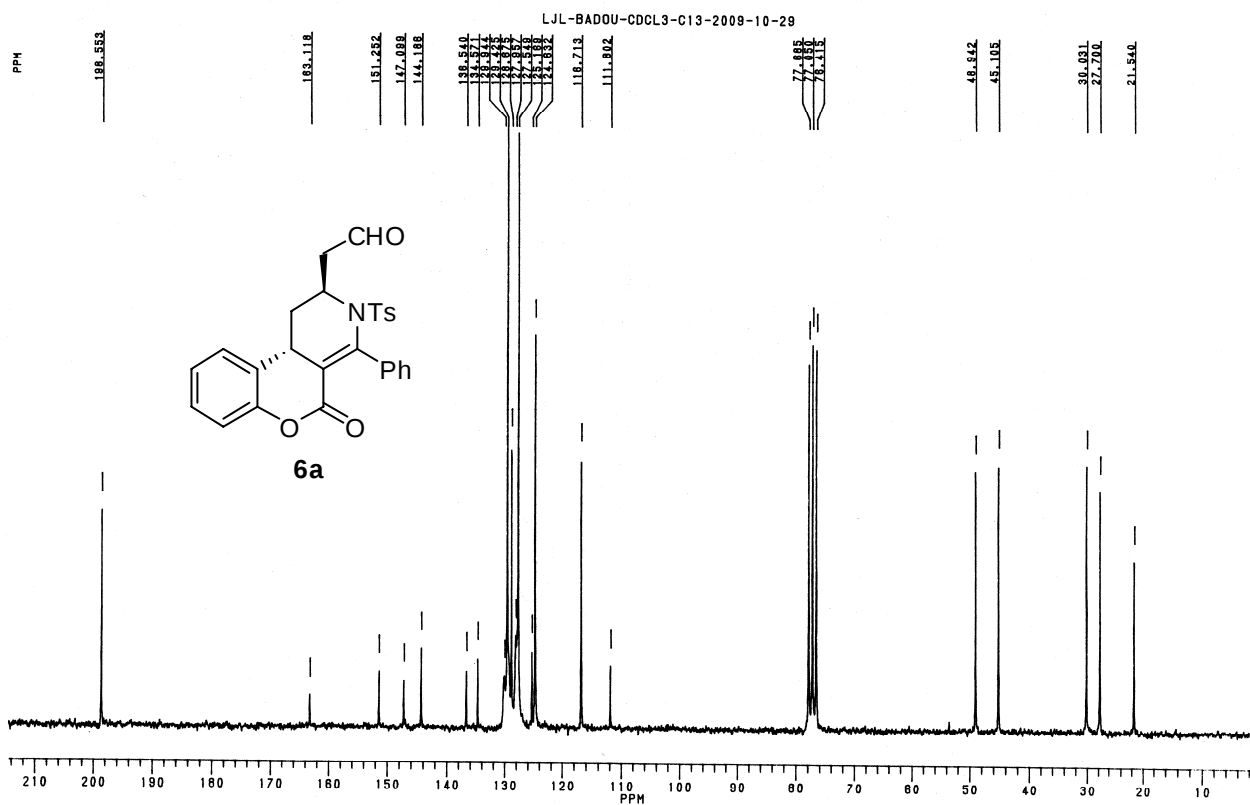
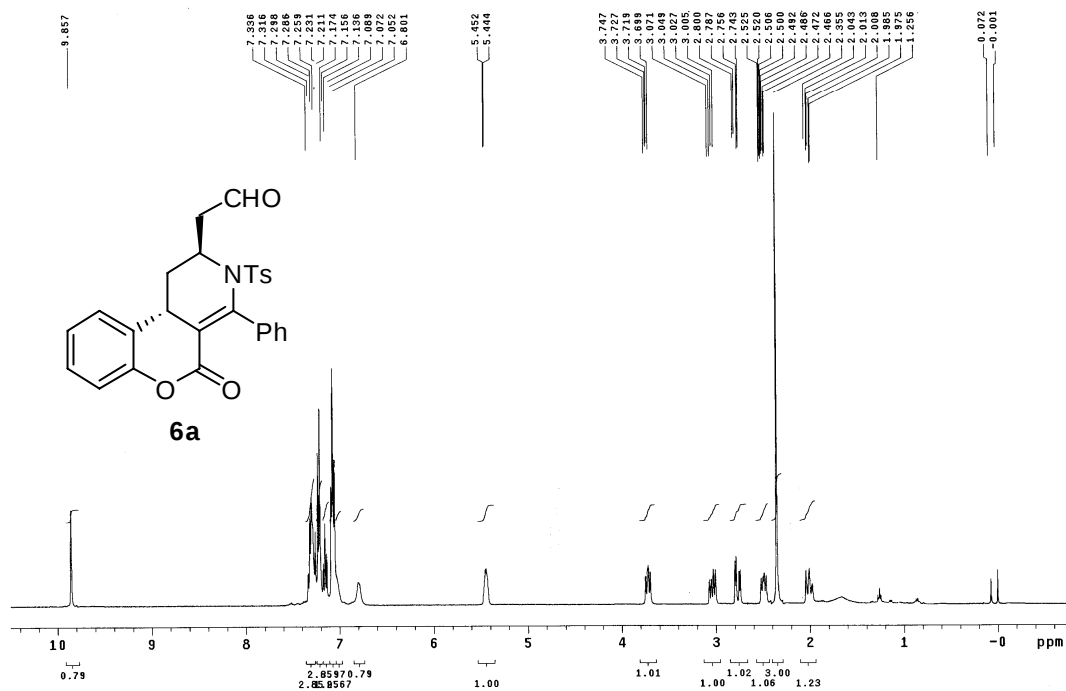


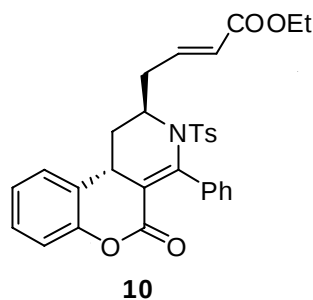
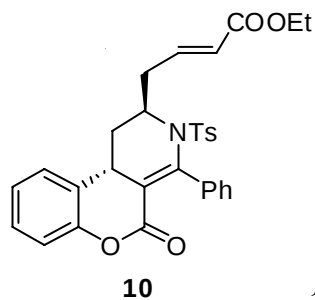
	RT (min)	Area ($\mu\text{V}\cdot\text{sec}$)	% Area	Height (μV)	% Height
1	8.632	10594235	50.84	596441	62.08
2	13.871	10243257	49.16	364334	37.92



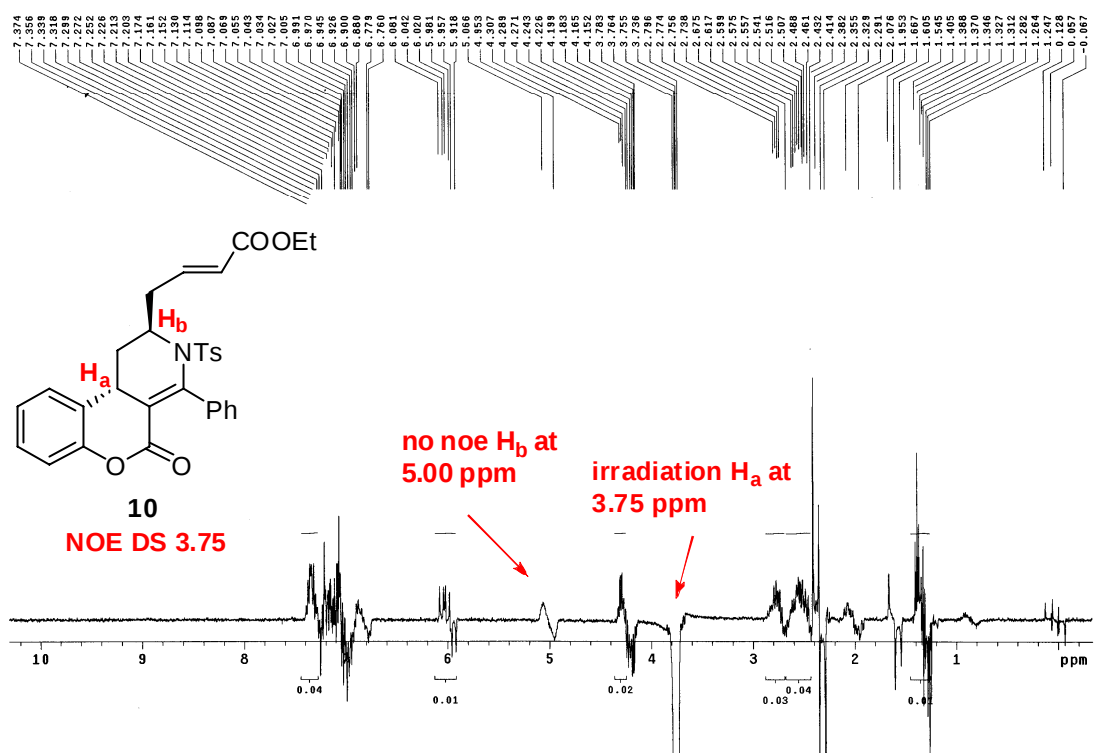
	RT (min)	Area ($\mu\text{V}\cdot\text{sec}$)	% Area	Height (μV)	% Height
1	8.576	25071859	97.59	1394430	97.89
2	13.786	619785	2.41	30107	2.11

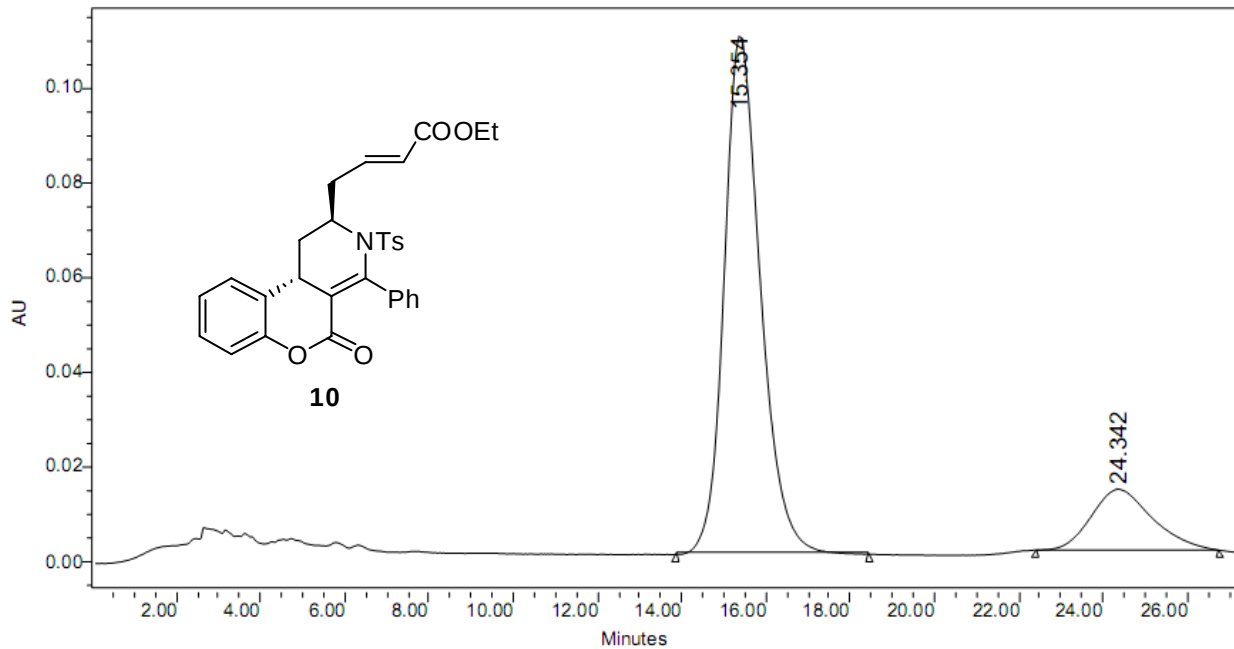
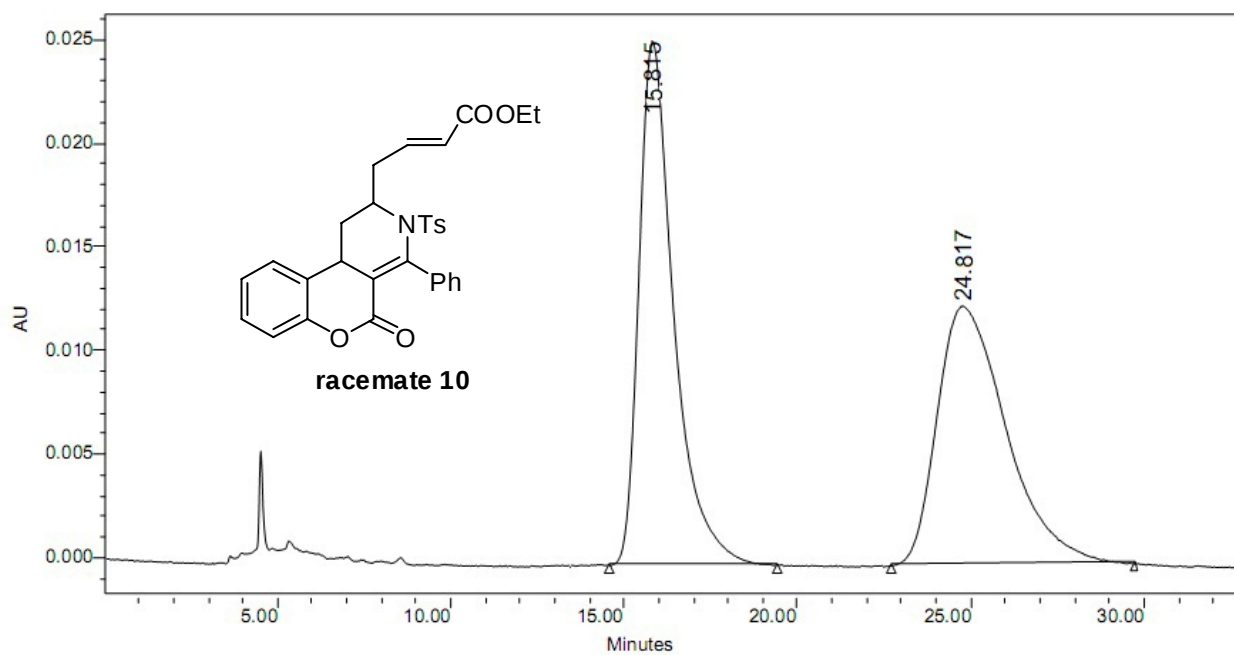
LJL8a H1 CDC13 2009-10-29
Pulse Sequence: s2pu1



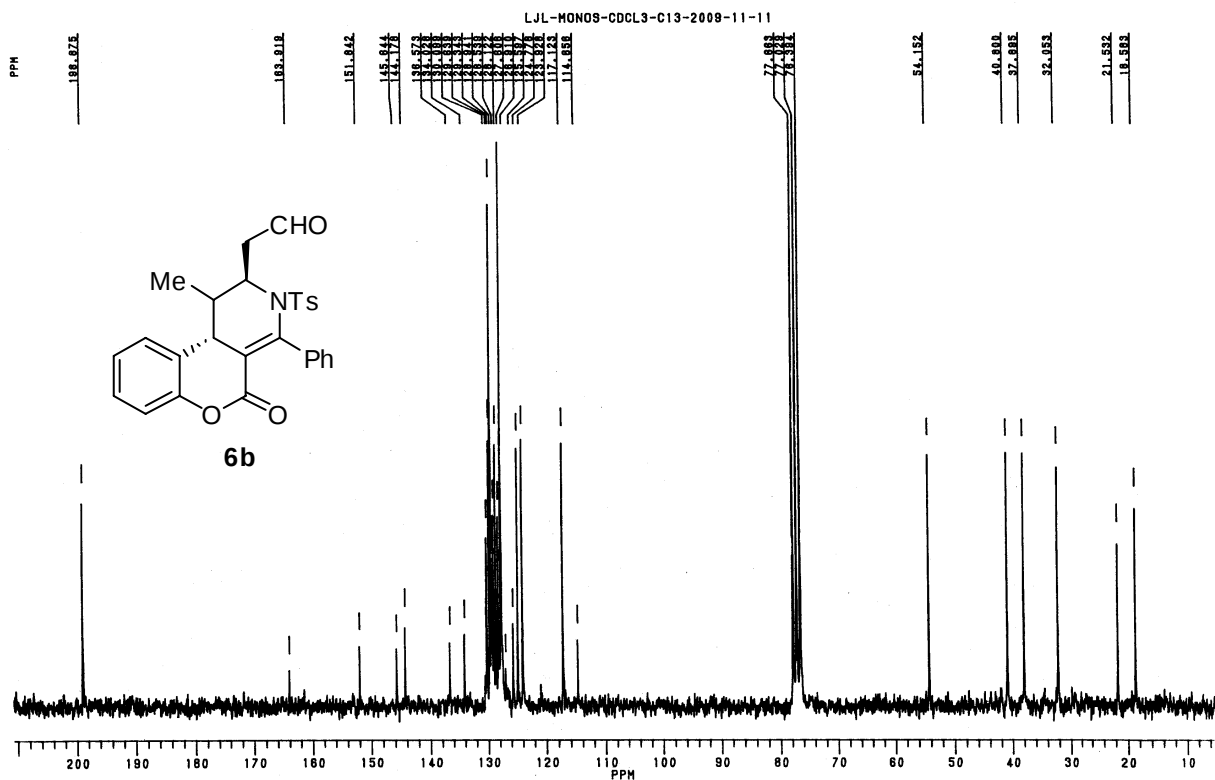
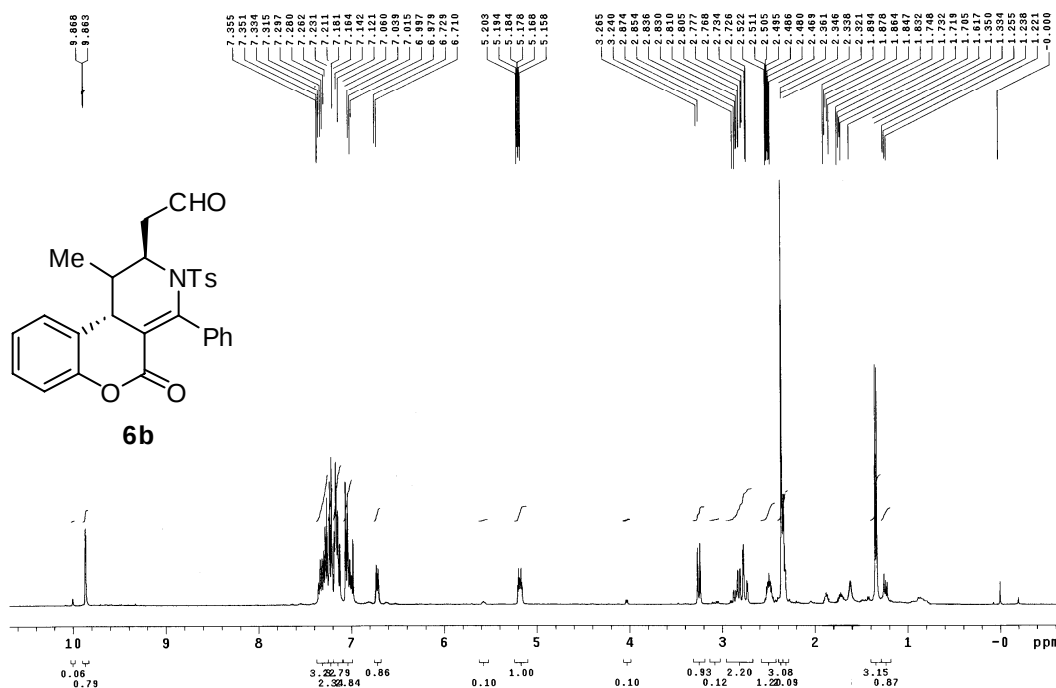


LJL-badou-CDCL3-NOES3.75-2009-8-11
Pulse Sequence: cyc1noe

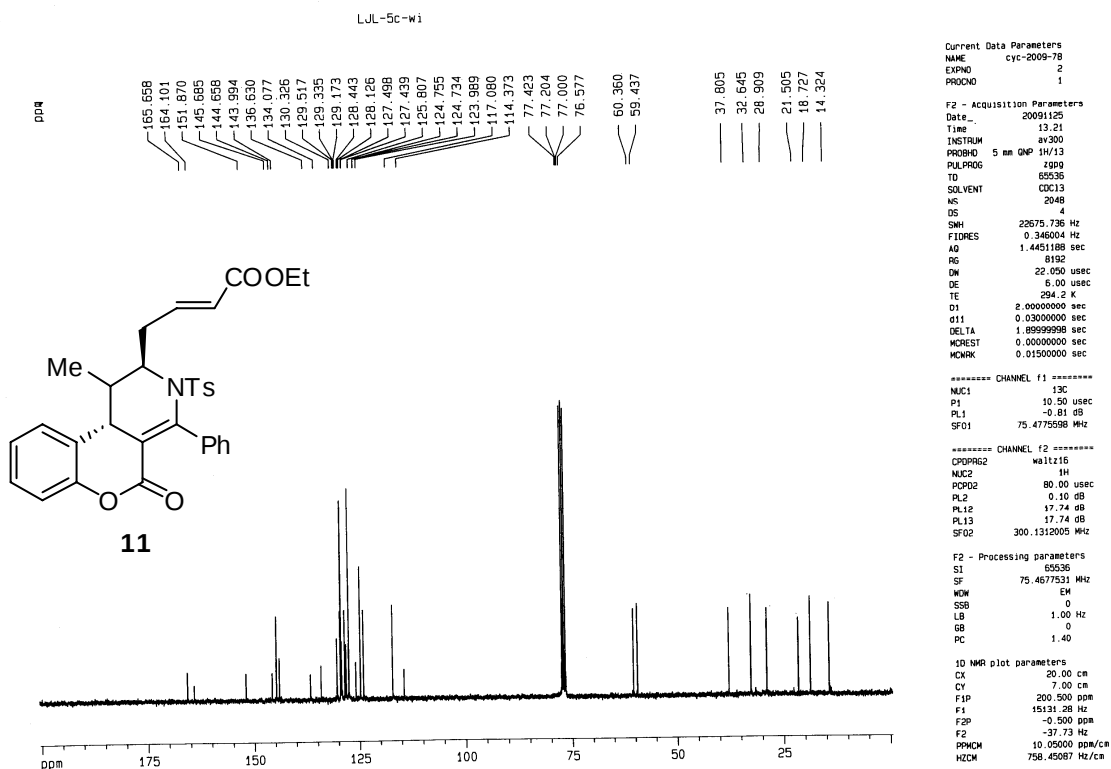
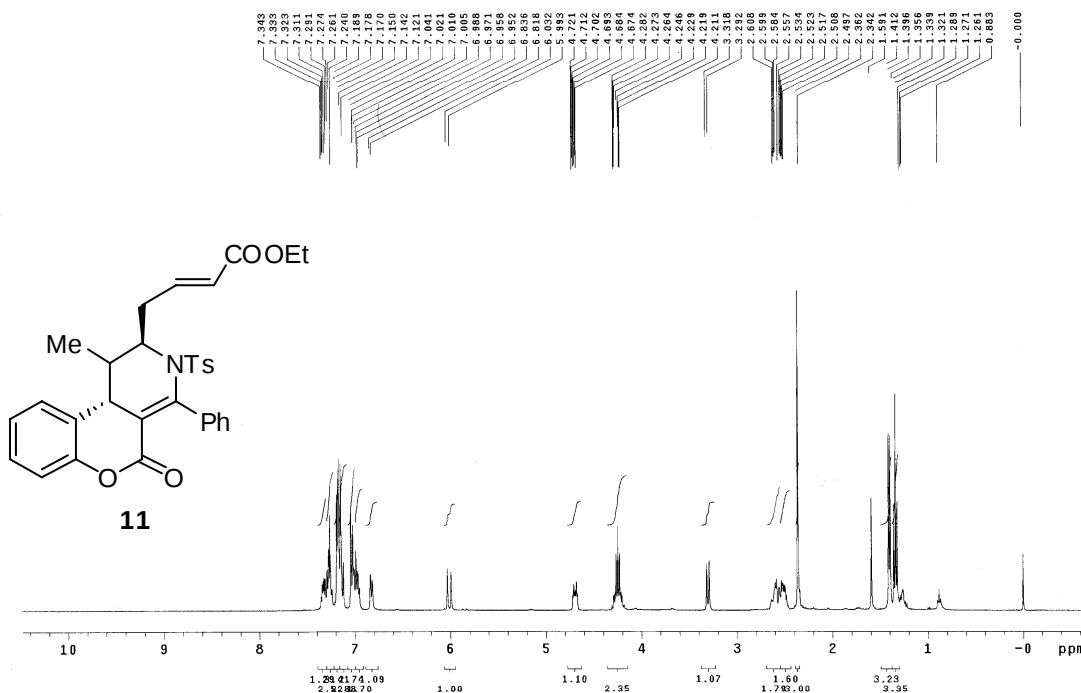




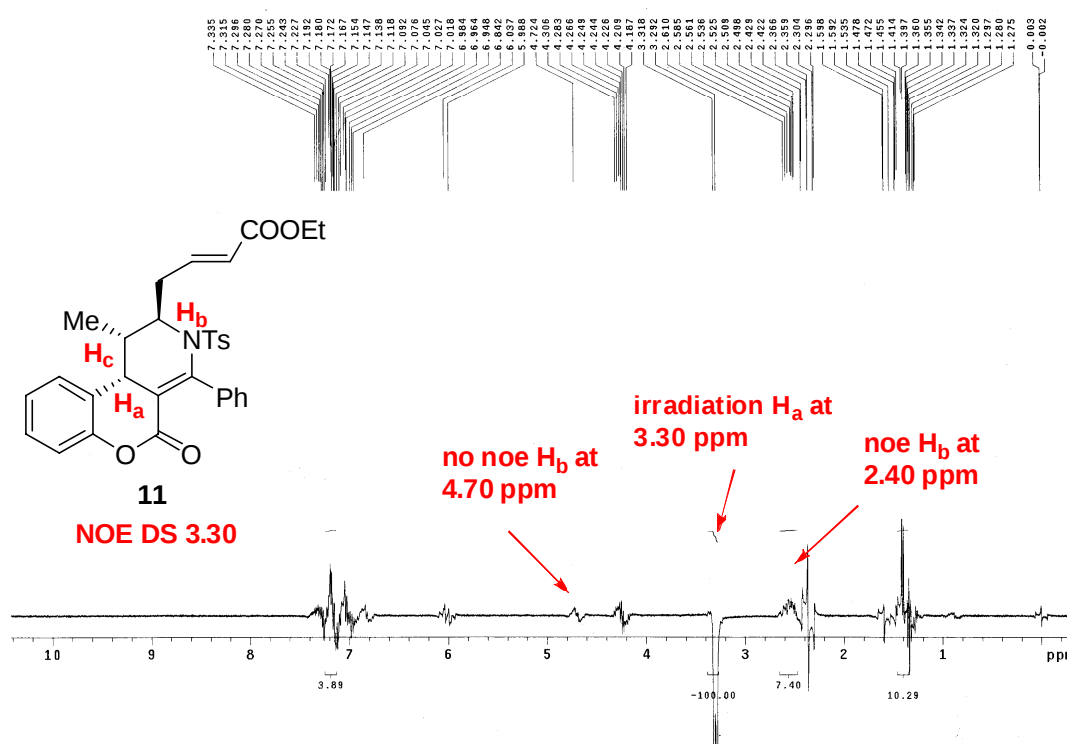
LJL-bl-s H1 CDCl3 2009-11-11
Pulse Sequence: s2pu1

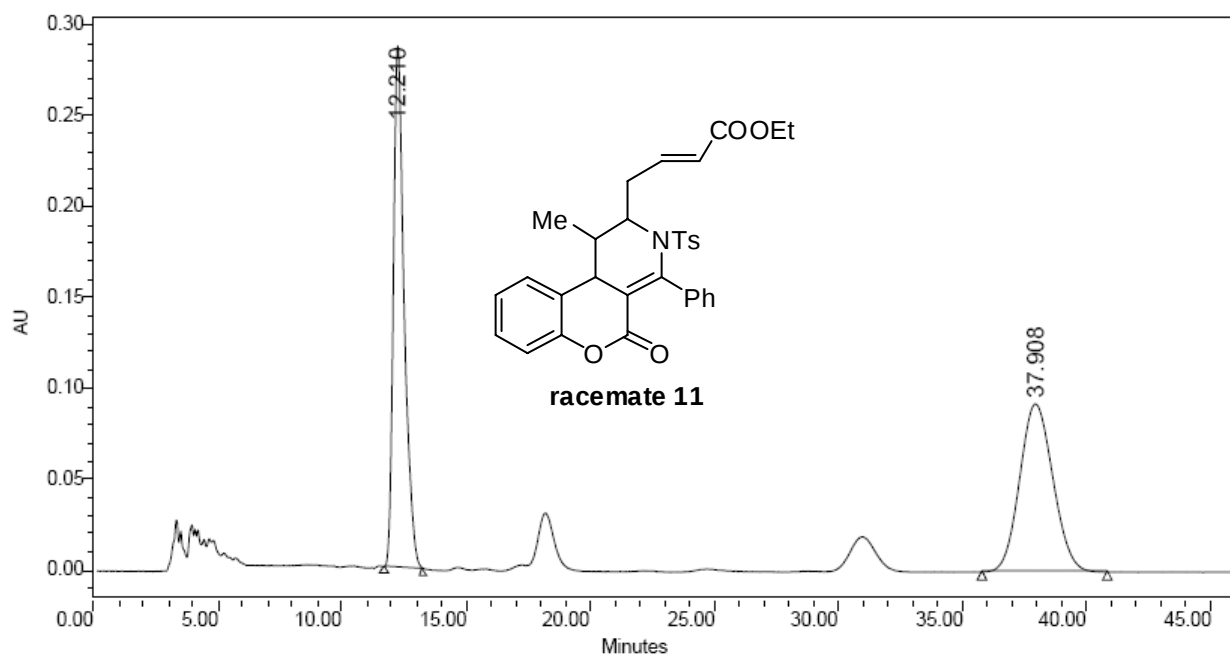


LJL-5CWI H1 CDC13 2009-11-20
Pulse Sequence: s2pu1

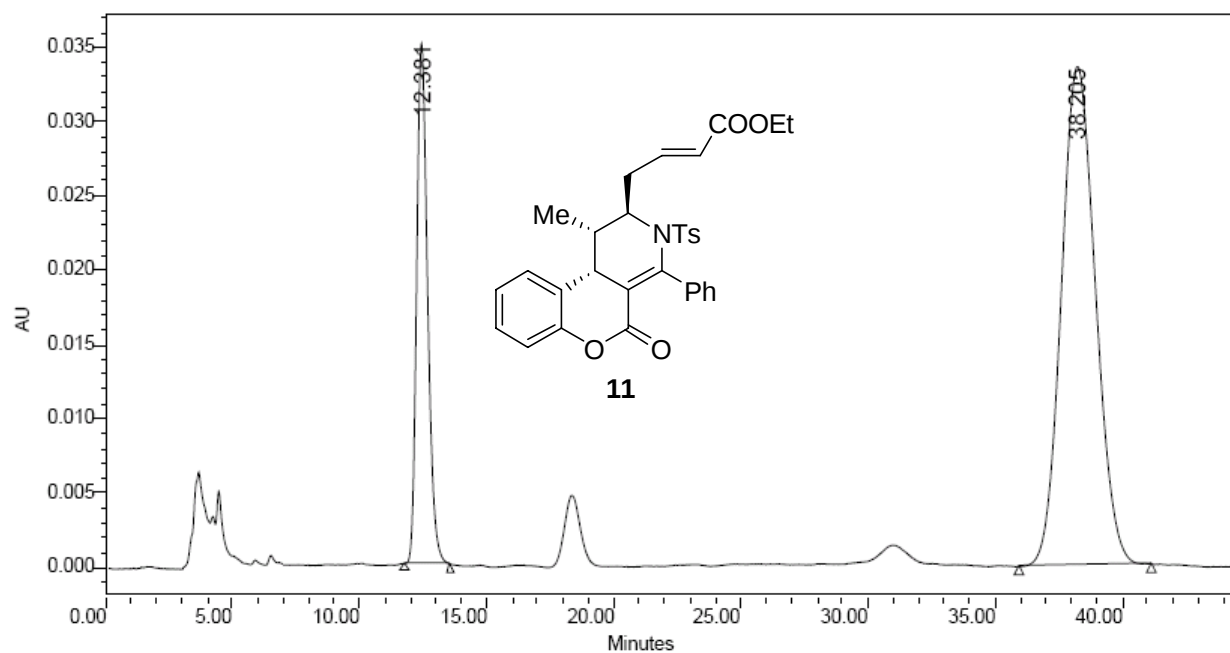


LJL-5C-W1-CDCL3-NOEDS3.3-2009-11-24
Pulse Sequence: cycloenoe

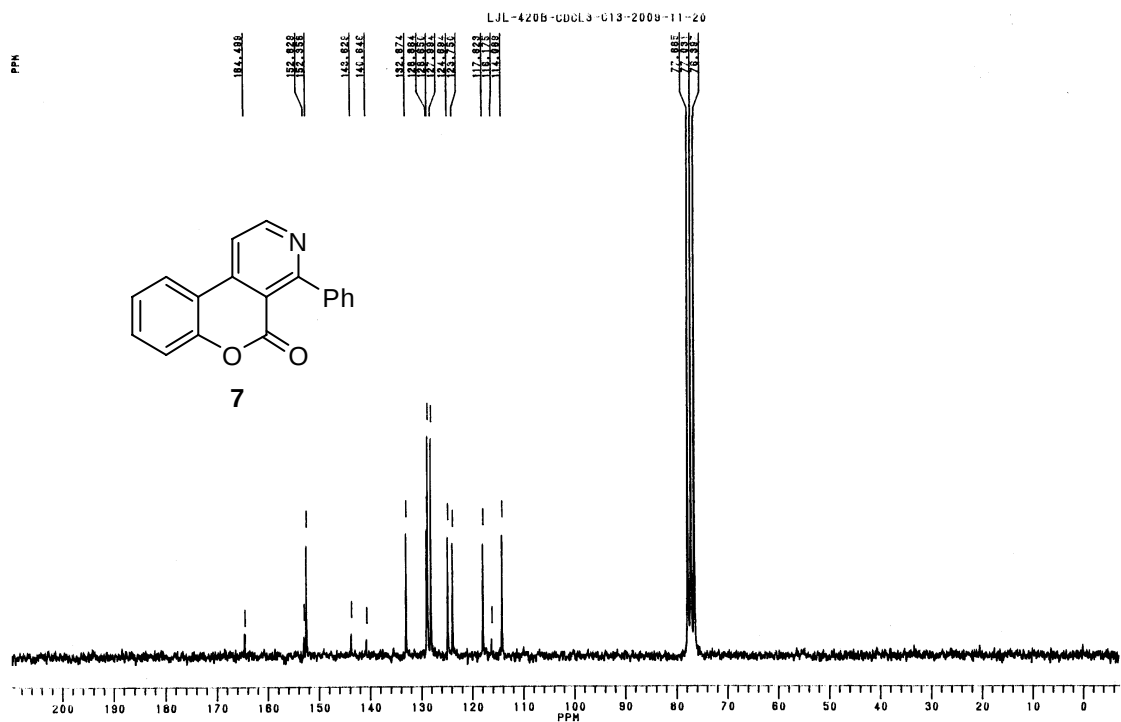




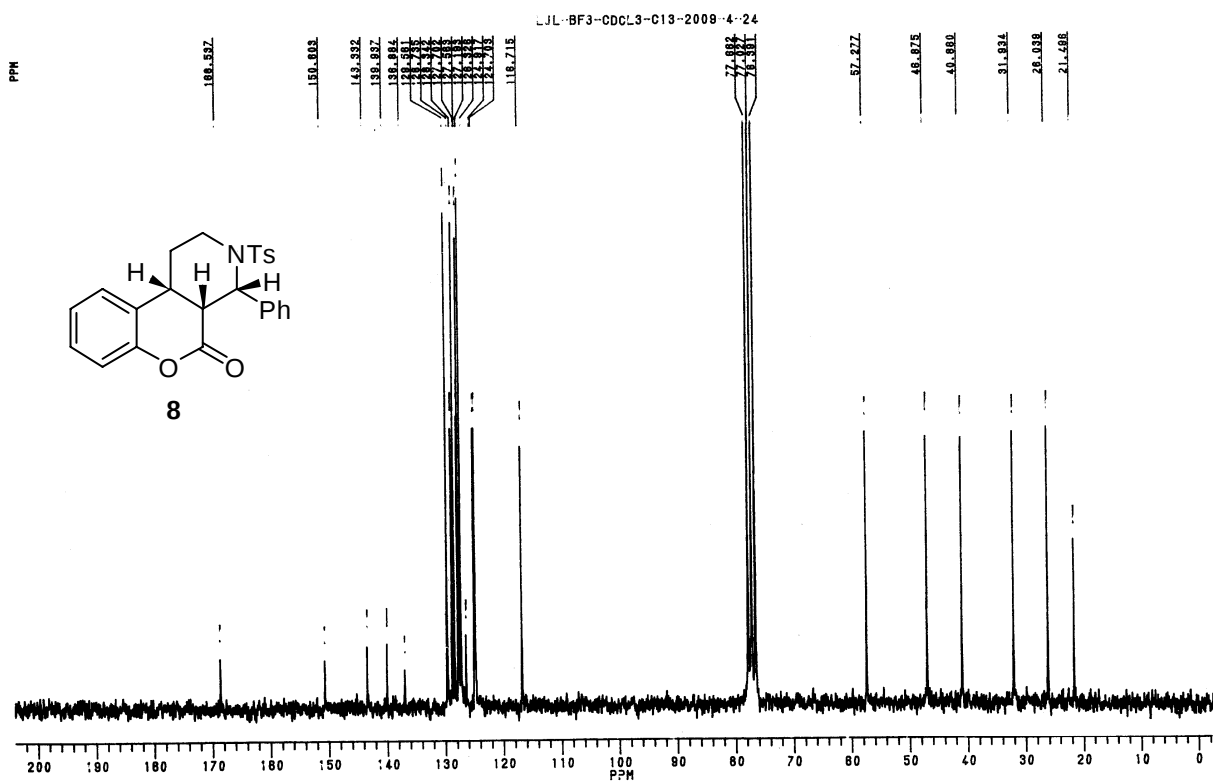
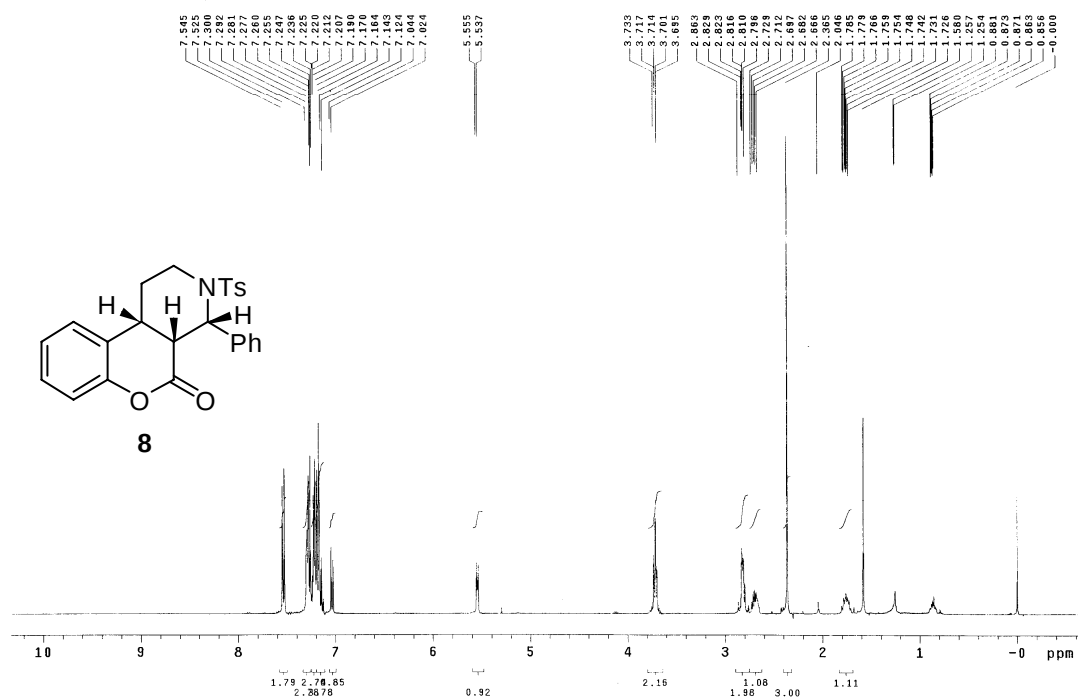
	RT (min)	Area (V *sec)	% Area	Height (V)	% Height
1	12.210	8648677	50.04	286943	75.64
2	37.908	8635192	49.96	92418	24.36



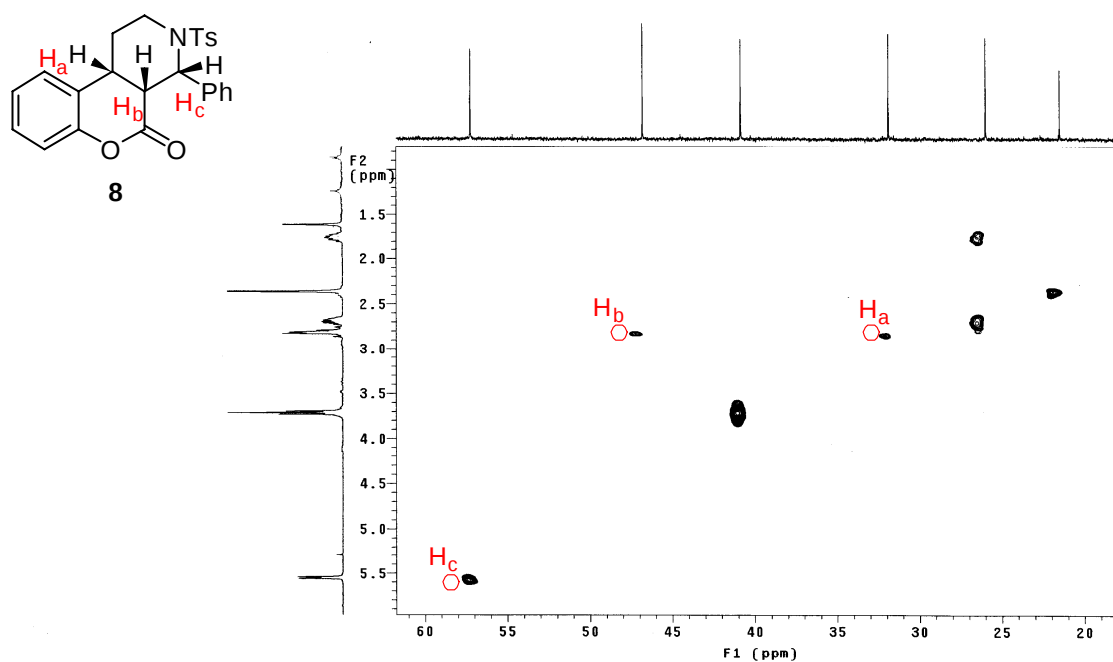
	RT (min)	Area (V *sec)	% Area	Height (V)	% Height
1	12.381	1045401	25.00	35048	51.06
2	38.205	3136416	75.00	33593	48.94



OL-BI-J H1 CDC13 2009-4-23
Pulse Sequence: s2pul



LJL-0908-CDCL3-gHMOC-2009-9-10
Pulse Sequence: gHMOC



LJL-0908-CDCL3-NOEDS5.555-2009-9-8
Pulse Sequence: cyc1enoe

