

Supporting Information

Organocatalytic synthesis of spiro compounds via a cascade Michael-Michael-Aldol reaction.

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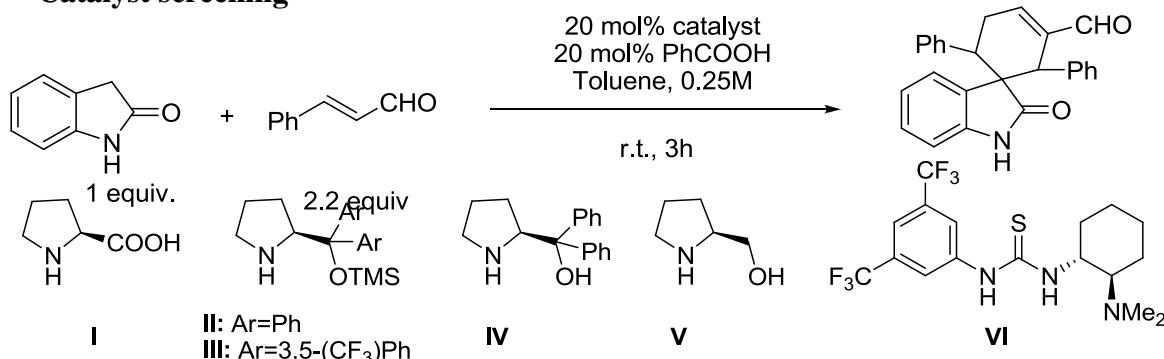
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General methods.

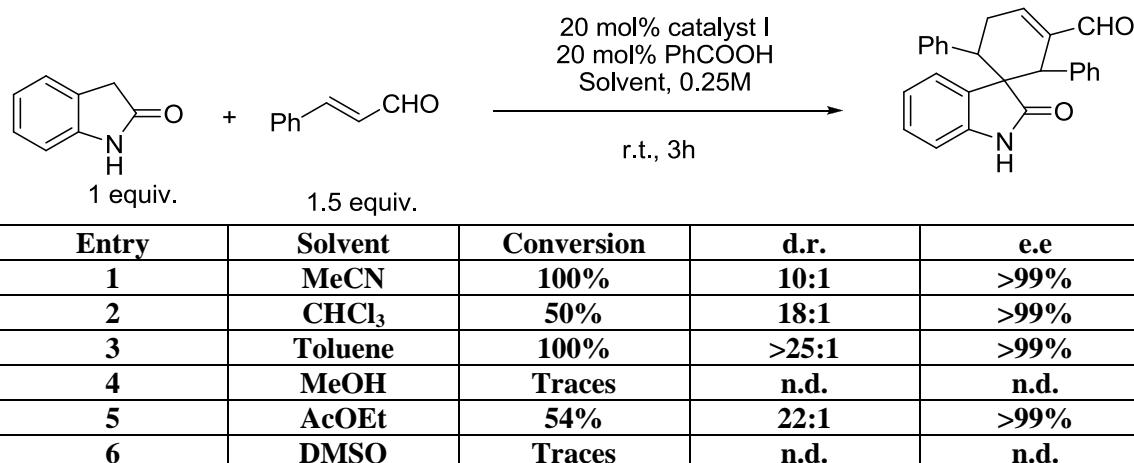
Chemicals and solvents were either purchased *puriss p.A.* from commercial suppliers or purified by standard techniques. For thin-layer chromatography (TLC), silica gel plates Merck 60 F254 were used and compounds were visualized by irradiation with UV light and/or by treatment with a solution of phosphomolybdic acid (25 g), Ce(SO₄)₂·H₂O (10 g), conc. H₂SO₄ (60 mL), and H₂O (940 mL) followed by heating or by treatment with a solution of *p*-anisaldehyde (23 mL), conc. H₂SO₄ (35 mL), acetic acid (10 mL), and ethanol (900 mL) followed by heating. Flash chromatography was performed using silica gel Merck 60 (particle size 0.040-0.063 mm). ¹H NMR, ¹⁹F NMR and ¹³C NMR spectra were recorded on Varian AS 400. Chemical shifts are given in ppm relative to tetramethylsilane (TMS) and the coupling constants *J* are given in Hz. The spectra were recorded in CDCl₃ as solvent at room temperature. TMS served as internal standard (δ = 0 ppm) for ¹H NMR, CDCl₃ was used as internal standard (δ = 77.0 ppm) for ¹³C NMR and TFA was used as external standard for ¹⁹F NMR. High-resolution mass spectra were recorded on a Bruker MicrOTOF spectrometer.

Catalyst screening

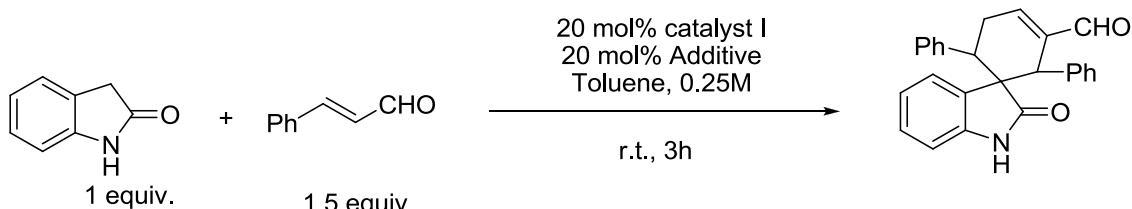


Entry	Catalyst	Conversion (3h)	d.r.	e.e
1	I	--	--	--
2	II	100%	>25:1	>99%
3	III	--	--	--
4	IV	--	--	--
5	V	15%	n.d.	n.d.
6	VI	--	--	--

Solvent screening



Additive screening

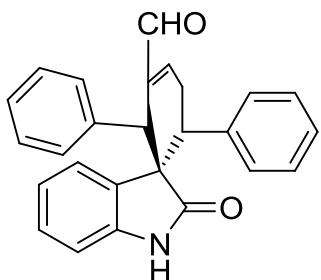


Entry	Acid	Conversion	d.r.	e.e.
1	--	traces	n.d.	n.d.
2	PhCOOH	100%	>25:1	>99%
2	2-F-PhCOOH	100%	>25:1	>99%
3	3-F-PhCOOH	100%	>25:1	>99%
4	4-F-PhCOOH	100%	>25:1	>99%

General Procedure for the synthesis of spiro compounds via a cascade reaction:

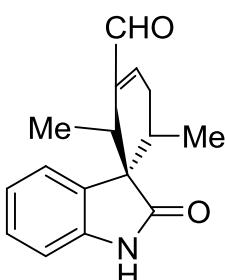
In a small flask, oxindole (or heterocycle) (0.25 mmol, 1 equiv.) and α,β -unsaturated aldehyde (0.75 mmol, 1.5 equiv.) were stirred in toluene at room temperature in the presence of catalyst **I** (0.05 mmol, 0.2 equiv.) and benzoic acid (0.05 mmol, 0.2 equiv.). The reaction was stirred overnight, monitored by $^1\text{H-NMR}$. Then, the crude mixture was purified by column chromatography to afford the spiro compound in yields and stereoselectivities cited in the text.

For racemic compounds, sometimes we run independently reaction using in each one the enantiopure catalyst. The “racemic” mixture was obtained after mixing both isolated products. This method was used when the use of racemic catalysts gave complex mixtures of diastereomers.

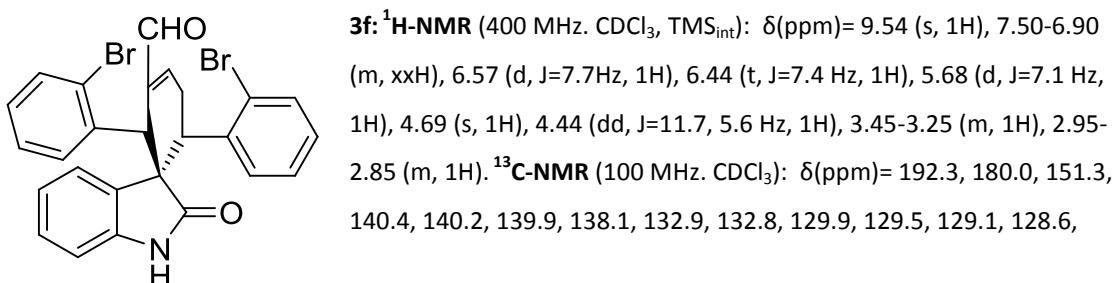
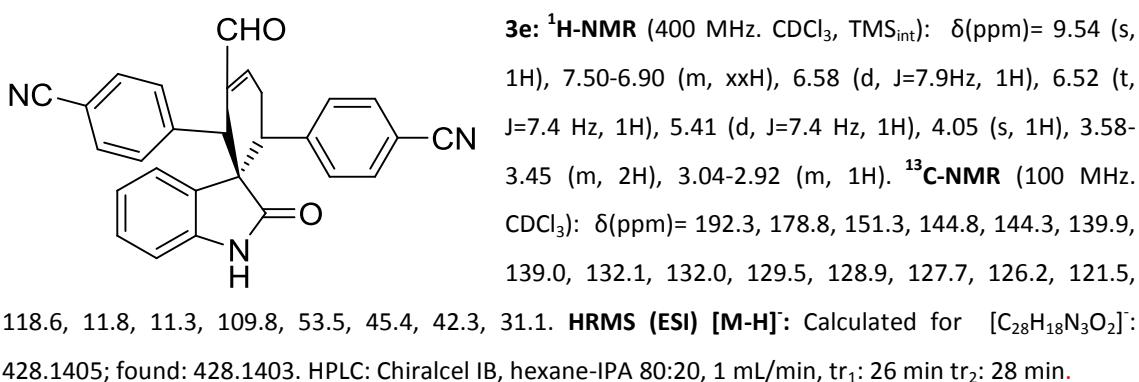
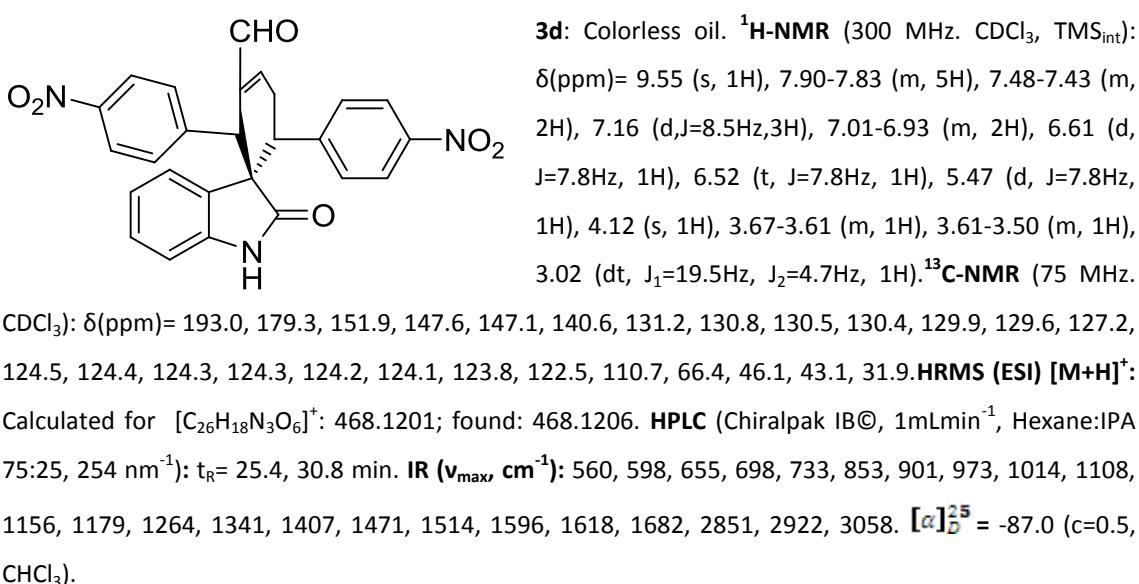
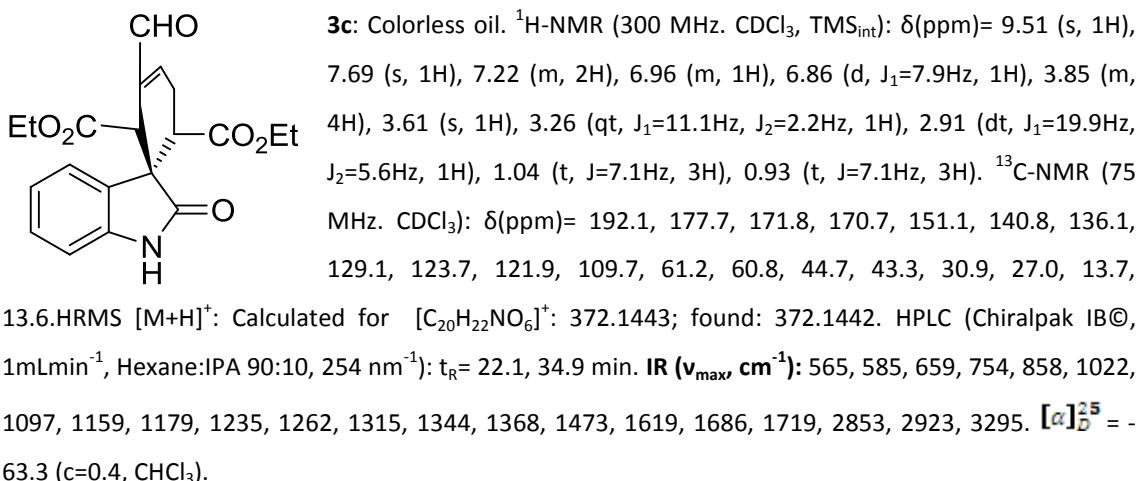


3a: Colorless oil. $^1\text{H-NMR}$ (300 MHz. CDCl_3 , TMS_{int}): δ (ppm)= 9.54 (s, 1H), 7.39-7.36 (m, 2H), 7.26 (s, 4H), 6.98 (s, 5H), 6.88 (dt, $J_1=7.7\text{Hz}$, $J_2=1.2\text{Hz}$, 1H), 6.51 (m, 1H), 6.45 (dt, $J_1=7.7\text{Hz}$, $J_2=1.2\text{Hz}$, 1H), 5.42 (m, 1H), 4.74 (bs, 1H), 3.98 (s, 1H), 3.61 (dd, $J_1=11.4\text{Hz}$, $J_2=4.8\text{Hz}$, 1H), 3.52 (m, 1H), 2.94 (dt, $J_1=19.2\text{Hz}$, $J_2=4.7\text{Hz}$, 1H). $^{13}\text{C-NMR}$ (75 MHz. CDCl_3): δ (ppm)= 192.5, 179.4, 151.1, 139.7, 139.6, 139.4, 138.8, 128.5, 127.9, 127.6, 127.5, 126.8, 120.7, 108.6, 53.9, 45.3, 41.9, 31.5. **HRMS [M+H]⁺:** Calculated for $[\text{C}_{26}\text{H}_{22}\text{NO}_2]^+$: 380.1645; found: 380.1641. **HPLC** (Chiralpak IB®, 1mLmin⁻¹, Hexane:IPA 90:10, 254 nm⁻¹): t_R = 16.1, 21.9 min.

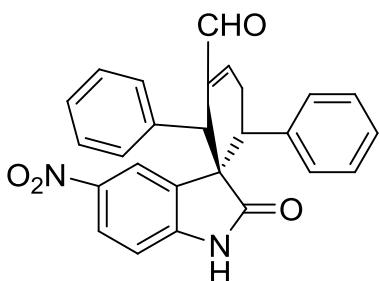
IR (ν_{max} , cm⁻¹): 529, 561, 594, 667, 700, 739, 755, 775, 1080, 1112, 1156, 1181, 1232, 1264, 1354, 1408, 1452, 1472, 1484, 1493, 1599, 1619, 1650, 1688, 3028, 3059, 3265. $[\alpha]_D^{25} = -78.9$ (c=0.95, CHCl_3).



3b: Colorless oil. $^1\text{H-NMR}$ (300 MHz. CDCl_3 , TMS_{int}): δ (ppm)= 9.43 (s, 1H), 7.76 (bs, 1H), 7.22 (td, $J_1=7.7\text{Hz}$, $J_2=1.0\text{Hz}$, 1H), 7.15 (d, $J=7.5\text{Hz}$, 1H), 7.02 (td, $J_1=7.5\text{Hz}$, $J_2=1.0\text{Hz}$, 1H), 6.94 (t, $J=3.7\text{Hz}$, 1H), 6.87 (d, $J=7.7\text{Hz}$, 1H), 2.82 (q, $J=7.1\text{Hz}$, 1H), 2.69-2.62 (m, 2H), 2.52-2.42 (m, 1H), 1.18 (d, $J=7.1\text{Hz}$, 3H), 0.73 (d, $J=6.7\text{Hz}$, 3H). $^{13}\text{C-NMR}$ (75 MHz. CDCl_3): δ (ppm)= 193.4, 179.9, 150.8, 142.8, 140.7, 130.0, 127.9, 125.7, 121.6, 109.5, 53.5, 32.4, 32.2, 29.0, 17.6, 16.4. **HRMS [M+H]⁺:** Calculated for $[\text{C}_{17}\text{H}_{20}\text{NO}_2]^+$: 270.1489; found: 270.1492. **HPLC** (Chiralpak IB®, 1mLmin⁻¹, Hexane:IPA 90:10, 254 nm⁻¹): t_R = 10.64, 11.67 min. **IR (ν_{max} , cm⁻¹):** 639, 708, 757, 806, 976, 1023, 1072, 1102, 1165, 1190, 1234, 1261, 1379, 1471, 1485, 1618, 1687, 2926, 3255. $[\alpha]_D^{25} = +60.7$ (c=0.15, CHCl_3).

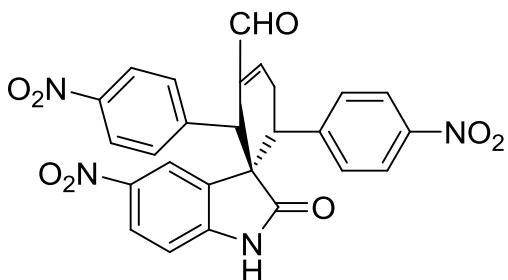


128.2, 128.0, 127.5, 127.1, 125.5, 125.4, 121.5, 108.9, 53.3, 43.9, 39.9, 31.5. **HRMS (ESI) [M+H]⁺:**
Calculated for [C₂₆H₂₀Br₂NO₂]⁺: 535.9855; found: 535.9848. **HPLC:** Chiralcel IB, hexane-IPA 90:10, 1 mL/min, tr₁: 17. min tr₂: 37 min.

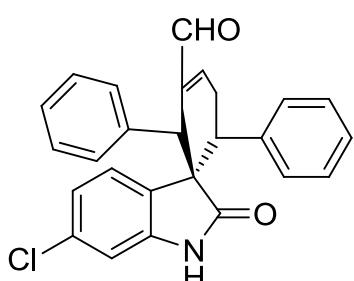


3g: Colorless oil. **¹H-NMR** (300 MHz. CDCl₃, TMS_{int}): δ(ppm)= 9.74 (s, 1H), 8.71 (s, 1H), 8.06 (dd, J₁= 8.6Hz, J₂=2.3Hz, 1H), 7.60-7.42 (m, 6H), 7.24-7.10 (m, 5H), 6.81 (d, J=8.6Hz, 1H), 6.4 (d, J=2.3Hz, 1H), 4.21 (s, 1H), 3.89-3.79 (m, 1H), 3.76-3.64 (m, 1H), 3.19 (dt, J₁=20Hz, J₂=5.5Hz, 1H), **¹³C-NMR** (75 MHz. CDCl₃): δ(ppm)= 192.3, 179.8, 150.8, 145.5, 141.8, 138.9, 138.4, 137.6, 133.4, 132.8,

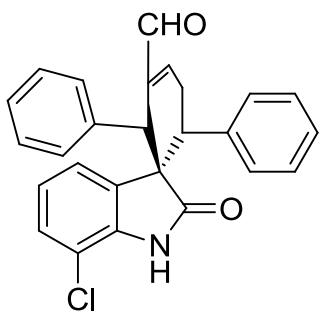
130.1, 130.1, 128.4, 128.3, 128.2, 127.3, 124.7, 122.6, 108.6, 54.3, 45.1, 41.8, 31.1. **HRMS (ESI) [M+H]⁺:**
Calculated for [C₂₆H₁₉N₂O₄]⁺: 423.1350; found: 423.1350. **HPLC** (Chiralpak IA®, 1mLmin⁻¹, Hexane:IPA 90:10, 254 nm⁻¹): t_R= 36.6, 53.1 min. **IR (ν_{max}, cm⁻¹)**: 701, 736, 758, 1080, 1108, 1158, 1176, 1224, 1335, 1453, 1481, 1521, 1600, 1624, 1652, 1684, 1716, 2851, 2921. **[α]_D²⁵** = -21.6 (c=0.25, CHCl₃).



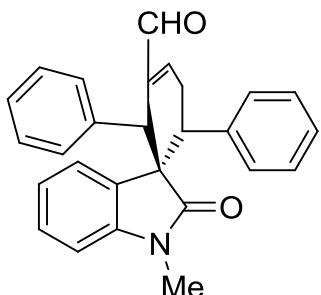
3h: Colorless oil. **¹H-NMR** (300 MHz. (CD₃)₂CO, TMS_{int}): δ(ppm)= 9.62 (s, 1H), 8.08-7.92 (m, 2H), 7.97-7.86 (m, 4H), 7.68-7.61 (m, 1H), 7.45-7.39 (m, 3H), 6.85 (d, J=8.6Hz, 1H), 6.38 (d, J=2.2Hz, 1H), 4.28 (s, 1H), 4.13-4.07 (m, 1H), 3.58-3.45 (m, 1H), 3.19 (td, J₁=20.2Hz, J₂=5.5Hz, 1H). **¹³C-NMR** (75 MHz. (CD₃)₂CO): δ(ppm)= 193.9, 153.5, 149.3, 149.0, 148.8, 148.4, 143.4, 140.3, 136.1, 131.7, 131.0, 129.6, 127.0, 125.3, 125.0, 124.9, 124.5, 124.4, 123.7, 111.2, 55.2, 46.3, 43.2, 32.2. **HRMS (ESI) [M+H]⁺:** Calculated for [C₂₆H₁₇N₄O₈]⁺: 513.1052; found: 513.1043. **HPLC** (Chiralpak IB®, 1mLmin⁻¹, Hexane:IPA 70:30, 254 nm⁻¹): t_R= 33.9, 51.1 min. **IR (ν_{max}, cm⁻¹)**: 553, 607, 644, 696, 735, 755, 846, 914, 1013, 1079, 1107, 1177, 1221, 1260, 1337, 1402, 1450, 1481, 1514, 1597, 1625, 1681, 1720, 2924. **[α]_D²⁵** = -121.5 (c=0.2, CHCl₃, 88% ee).



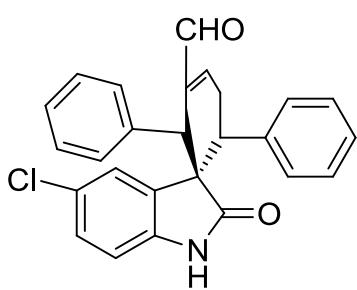
3i: Colorless oil. **¹H-NMR** (300 MHz. CDCl₃, TMS_{int}): δ(ppm)= 9.52 (s, 1H), 8.32 (s, 1H), 7.42-7.38 (m, 2H), 7.31-7.25 (m, 2H), 7.04-6.89 (m, 7H), 6.55 (d, J=2Hz, 1H), 6.43 (dd, J₁=7.9Hz, J₂=2.0Hz, 1H), 5.31 (d, J=8.0Hz, 1H), 3.98 (s, 1H), 3.62-3.54 (m, 1H), 3.54-3.41 (m, 1H), 2.94 (dt, J₁=19.2Hz, J₂=4.4Hz, 1H). **¹³C-NMR** (75 MHz. CDCl₃): δ(ppm)= 193.7, 181.2, 152.3, 142.2, 140.7, 140.5, 139.7, 134.5, 130.2, 129.6, 129.4, 128.9, 128.8, 128.4, 121.9, 110.8, 55.212, 46.5, 43.1, 32.7. **HRMS (ESI) [M+H]⁺:** Calculated for [C₂₆H₂₁ClNO₂]⁺: 414.1255; found: 414.1260. **HPLC** (Chiralpak IB®, 1mLmin⁻¹, Hexane:IPA 90:10, 254 nm⁻¹): t_R= 16.9, 26.1 min. **IR (ν_{max}, cm⁻¹)**: 535, 554, 586, 603, 629, 668, 698, 717, 737, 759, 854, 916, 1072, 1124, 1158, 1178, 1213, 1247, 1263, 1337, 1373, 1397, 1451, 1485, 1615, 1647, 1673, 1715, 2851, 2921, 3351. **[α]_D²⁵** = -106.3 (c=0.52, CHCl₃).



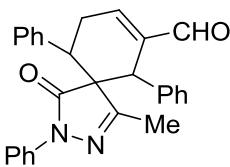
3j: Colorless oil. **¹H-NMR** (300 MHz. CDCl₃, TMS_{int}): δ(ppm)= 9.53 (s, 1H), 8.12-8.06 (m, 1H), 7.50-7.42 (m 1H), 7.39-7.34 (m, 2H), 7.31-7.24 (m, 1H), 7.03-6.93 (m, 7H), 6.88 (d, J=8.1Hz, 1H), 6.41 (t, J=7.8 Hz, 1H), 5.32 (d, J=7.8Hz, 1H), 4.02 (s, 1H), 3.63-3.57 (m, 1H), 3.57-3.43 (m, 1H), 2.95 (dt, J₁=19.3Hz, J₂=4.6Hz, 1H). **¹³C-NMR** (75 MHz. CDCl₃): δ(ppm)= 192.3, 178.7, 150.8, 139.3, 139.1, 138.4, 133.4, 130.4, 130.1, 128.4, 128.2, 128.1, 127.9, 127.6, 127.5, 127.1, 125.0, 121.5, 113.9, 55.3, 41.9, 45.2, 31.3. **HRMS (ESI) [M+H]⁺**: Calculated for [C₂₆H₁₉ClNO]⁺: 396.1150; found: 396.1147. **HPLC** (Chiralpak IB®, 1mLmin⁻¹, Hexane:IPA 90:10, 254 nm⁻¹): t_R= 11.1, 21.2 min. **IR (ν_{max}, cm⁻¹)**: 570, 595, 628, 661, 698, 733, 758, 782, 877, 915, 1024, 1080, 1138, 1157, 1172, 1221, 1264, 1318, 1339, 1372, 1409, 1474, 1584, 1617, 1651, 1683, 1702, 2341, 2359, 3029. **[α]_D²⁵** = -79.3 (c=1.3, CHCl₃).



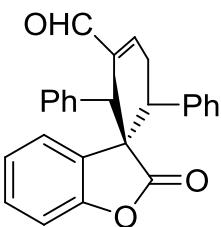
3k: Colorless oil. **¹H-NMR** (300 MHz. CDCl₃, TMS_{int}): δ(ppm)= 9.54 (s, 1H), 7.42-7.35 (m, 3H), 7.31-7.25 (m, 2H), 6.99-6.92 (m, 5H), 6.89-6.83 (m, 2H), 6.51-6.42 (m, 2H), 5.42 (d, J=7.5Hz, 1H), 3.93 (s, 1H), 3.64-3.57 (m, 1H), 3.57-3.44 (m, 1H), 3.06 (s, 3H), 2.93 (td, J₁=19.1Hz, J₂=4.5Hz, 1H). **¹³C-NMR** (75 MHz. CDCl₃): δ(ppm)= 192.5, 177.4, 151.0, 142.8, 139.8, 139.3, 139.1, 133.5, 128.3, 127.7, 127.6, 127.5, 127.4, 126.7, 126.3, 120.7, 107.1, 53.6, 44.9, 42.2, 31.3, 25.8. **HRMS (ESI) [M+H]⁺**: Calculated for [C₂₇H₂₄NO₂]⁺: 394.1802; found: 394.1806. **HPLC** (Chiralpak IB®, 1mLmin⁻¹, Hexane:IPA 90:10, 254 nm⁻¹): t_R= 10.4 i 24.1 min. **IR (ν_{max}, cm⁻¹)**: 528, 574, 604, 624, 675, 699, 735, 753, 806, 915, 931, 976, 1000, 1091, 1130, 1156, 1301, 1350, 1375, 1409, 1452, 1609, 1652, 1682, 2920, 3028, 3056. **[α]_D²⁵** = -63.8 (c=1.2, CHCl₃).



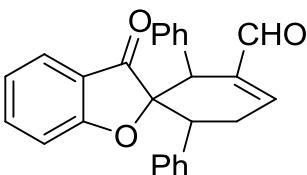
3l: Colorless oil. **¹H-NMR** (300 MHz. CDCl₃, TMS_{int}): δ(ppm)= 9.54 (s, 1H), 7.96 (s, 1H), 7.48-7.49 (m, 5H), 7.05-6.93 (m, 6H), 6.87 (dd, J₁=8.4Hz, J₂=2.2Hz, 1H), 6.64 (bs, 1H), 6.45 (d, J=8.4Hz, 1H), 5.28 (d, J=2.2Hz, 1H), 3.99 (s, 1H), 3.59-3.41 (m, 2H), 3.03-2.87 (m, 1H). **¹³C-NMR** (75 MHz. CDCl₃): δ(ppm)= 192.4, 179.5, 150.9, 139.3, 139.1, 138.3, 133.4, 130.9, 128.3, 128.1, 127.9, 127.4, 127.1, 126.1, 109.7, 54.5, 45.1, 41.7, 31.3. **HRMS (ESI) [M+H]⁺**: Calculated for [C₂₆H₂₁ClNO₂]⁺: 414.1255; found: 414.1259. **HPLC** (Chiralpak IB®, 1mLmin⁻¹, Hexane:IPA 90:10, 254 nm⁻¹): t_R= 15.1, 26.9 min. **IR (ν_{max}, cm⁻¹)**: 528, 555, 574, 622, 646, 700, 734, 760, 775, 916, 929, 1001, 1030, 1079, 1121, 1156, 1177, 1216, 1233, 1264, 1336, 1372, 1408, 1452, 1620, 1650, 1685, 2851, 2922, 3028, 3255. **[α]_D²⁵** = -87.8 (c=0.45, CHCl₃).



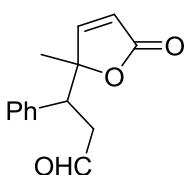
4a: White solid. **¹H NMR (400 MHz, CDCl₃):** δ = 9.51 (s, 1H), 7.80-7.66 (m, 2H), 7.42-7.30 (m, 8H), 7.23-7.09 (m, 6H), 4.20 (s, 1H), 3.57 (dd, J₁=5.6 Hz, J₂=11.5 Hz, 1H), 3.45-3.35 (m, 1H), 2.96-2.87 (m, 1H), 0.95 (s, 3H). **¹³C NMR (100 MHz, CDCl₃):** δ = 191.1, 150.3, 139.3, 138.5, 131.7, 131.6, 129.3, 128.9, 128.8, 128.5, 128.2, 127.9, 127.6, 127.6, 125.3, 45.3, 39.6, 31.5, 15.6. **HRMS (ESI):** calcd. for [M+H]⁺ (C₂₈H₂₅N₂O₂) requires 421.1911, found 421.1912. **HPLC (Chiralpak IB, n-hexane: i-PrOH = 95:5, λ=254 nm, 1.0 mL/min):** t_R = 13.3, 27.0 min. **IR (ν_{max}, cm⁻¹):** 703, 758, 1123, 1159, 1288, 1365, 1391, 1454, 1498, 1595, 1651, 1687. $[\alpha]_D^{25} = +69.8$ (c=0.7, CHCl₃, e.r. 99.9:0.1, (R)-Cat.).



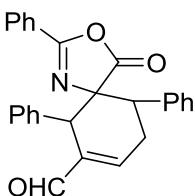
5a: Colorless oil. **¹H-NMR (400 MHz, CDCl₃, TMS_{int}):** δ(ppm)= 9.55 (s, 1H), 7.50-6.90 (m, 10H), 6.75 (d, J=7.7Hz, 1H), 6.58 (t, J=7.7 Hz, 1H), 5.43 (d, J=7.7 Hz, 1H), 4.09 (s, 1H), 3.63 (dd, J=10.2, 6.4 Hz, 1H), 3.44 (dd, J=20.2, 10.2 Hz, 1H), 3.01 (m, 1H). **¹³C-NMR (100 MHz, CDCl₃):** δ(ppm)= 193.2, 178.1, 153.5, 151.7, 140.4, 139.8, 138.7, 129.7, 129.5, 129.3, 129.2, 129.1, 128.5, 127.8, 123.6, 111.0, 54.5, 47.0, 43.5, 32.4. **HPLC (ESI):** Chiralcel IB, hexane-IPA 90:10, 1 mL/min, t_R: 10.0, 20.0 min. **HRMS (ESI):** calcd. for [M+H]⁺ (C₂₆H₂₁O₃) requires 381.1485, found 381.1487. $[\alpha]_D^{25} = +56.2$ (c=0.38, CHCl₃, e.r. 96.5:3.5, (R)-Cat.).



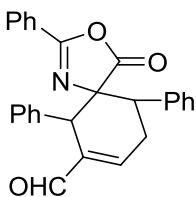
6a: Colorless oil. **¹H-NMR (400 MHz, CDCl₃, TMS_{int}):** δ(ppm)= 9.56 (s, 1H), 7.50-6.90 (m, 10H), 6.90 (t, J=6.9 Hz, 1H), 6.72 (d, J=8.0Hz, 1H), 4.16 (s, 1H), 3.65-3.45 (m, 2H), 3.05-2.95 (m, 1H). **¹³C-NMR (100 MHz, CDCl₃):** δ(ppm)= 203.0, 192.9, 171.9, 152.0, 140.2, 138.9, 138.4, 137.8, 131.0, 130.0, 129.2, 128.9, 128.6, 128.5, 125.2, 122.7, 114.2, 113.8, 44.7, 43.0, 32.0. **HPLC:** Chiralcel IB, hexane-IPA 90:10, 1 mL/min, t_R: 10.1, 21.1 min. **HRMS (ESI):** calcd. for [M+H]⁺ (C₂₆H₂₁O₃) requires 381.1485, found 381.1493. **IR (ν_{max}, cm⁻¹):** 647, 713, 754, 816, 1009, 1072, 1461, 1475, 1486, 1608, 1683, 1705, 2340, 2359, 2921. $[\alpha]_D^{25} = +56.9$ (c=0.75, CHCl₃, e.r. 99.5:0.5, (R)-Cat.).



7a: Colorless oil. Mixture of diastereomers, d.r.=1.2:1. **¹H NMR (300 MHz, CDCl₃):** δ = 9.63 (t, J=1.4 Hz, 1H), 9.58 (t, J=1.2 Hz, 1H), 7.35-7.27 (m, 10H), 7.18-7.14 (m, 2H), 6.06 (d, J=5.6 Hz, 1H), 5.91 (d, J=5.7 Hz, 1H), 3.73 (dd, J₁=5.7 Hz, J₂=8.5 Hz, 1H), 3.55 (dd, J₁=5.7 Hz, J₂=7.9 Hz, 1H), 2.94-2.83 (m, 4H), 1.45 (s, 3H), 1.30 (m, 3H). **¹³C NMR (100 MHz, CDCl₃):** δ = 199.7, 199.6, 172.2, 171.8, 160.7, 158.6, 138.2, 138.0, 129.3, 128.9, 128.7, 128.6, 127.9, 127.8, 121.4, 89.9, 89.7, 47.5, 45.8, 44.6, 44.0, 23.6, 22.1. **HRMS (ESI):** calcd. for [M+H]⁺ (C₁₄H₁₅O₃) requires 231.1016, found 231.1018.



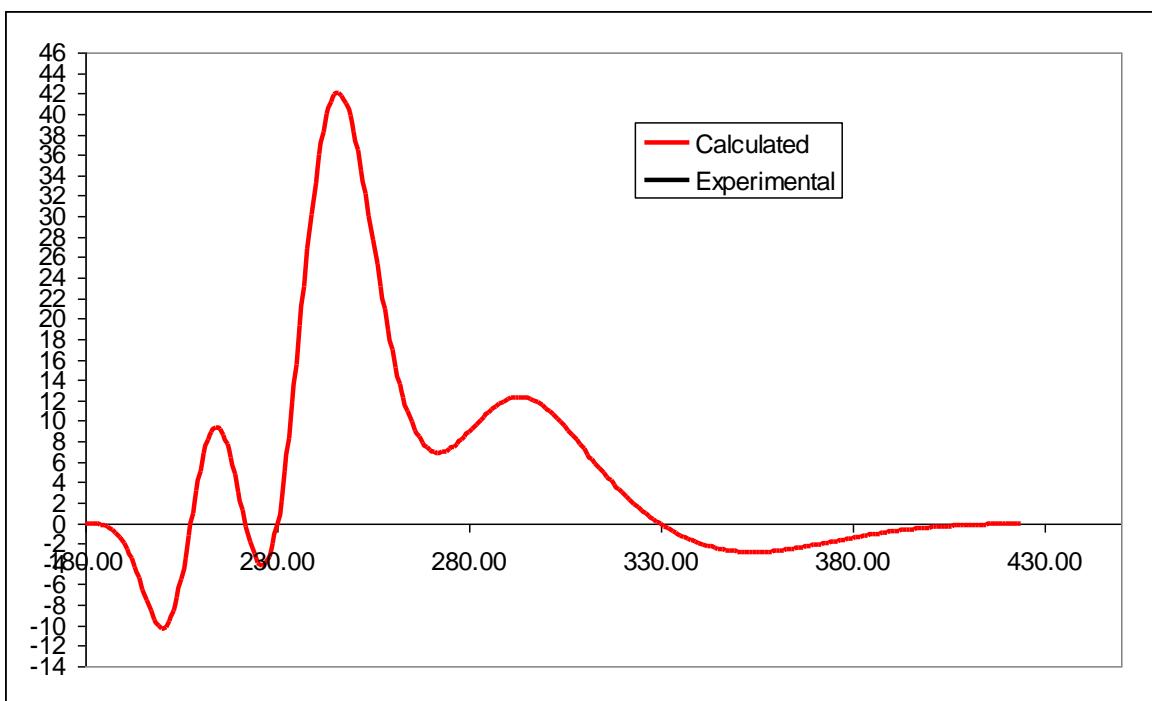
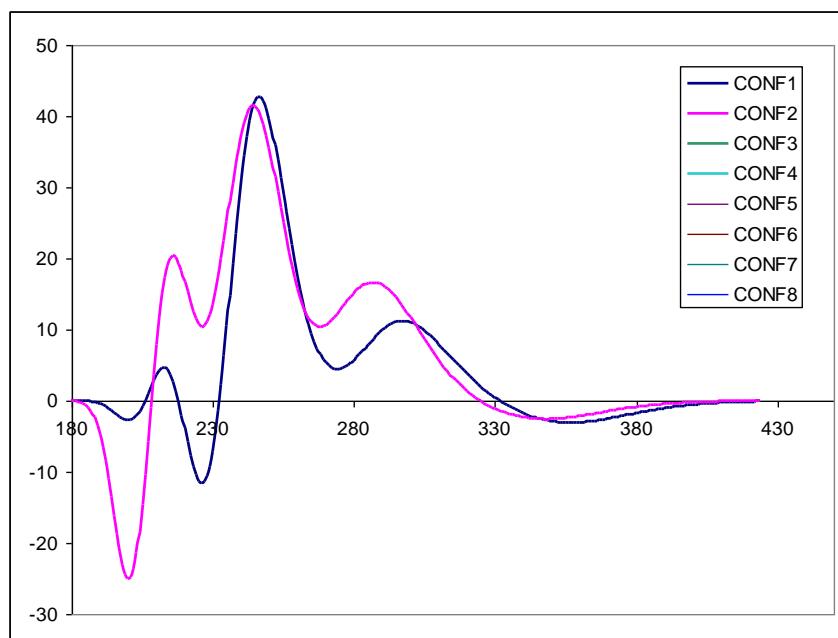
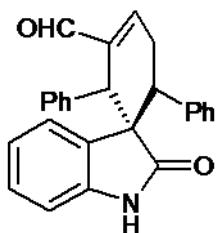
8a (major diastereomer): Colorless oil. **$^1\text{H NMR}$ (300 MHz, CDCl_3):** δ = 9.55 (s, 1H), 7.94-7.89 (m, 2H), 7.61-7.30 (m, 9H), 7.22-7.09 (m, 5H), 4.00 (s, 1H), 3.64 (dd, $J_1=5.9$ Hz, $J_2=11.2$ Hz, 1H), 3.26-3.13 (m, 1H), 3.04-2.93 (m, 1H). **$^{13}\text{C NMR}$ (100 MHz, CDCl_3):** δ = 191.9, 175.0, 161.1, 149.3, 139.8, 137.3, 135.6, 132.8, 130.7, 130.2, 130.0, 129.1, 129.0, 128.7, 128.5, 128.4, 128.3, 128.1, 128.0, 127.9, 74.6, 47.9, 41.4, 31.4. **HPLC** (Chiralpak IB, *n*-hexane: *i*-PrOH = 90:10, $\lambda=254$ nm, 1.0 mL/min): t_R = 9.5, 17.1 min. **HRMS (ESI):** calcd. for $[\text{M}+\text{H}]^+$ ($\text{C}_{27}\text{H}_{22}\text{NO}_3$) requires 408.1594, found 408.1594. **IR (ν_{max} , cm^{-1}):** 700, 732, 761, 781, 864, 882, 914, 944, 968, 1095, 1154, 1179, 1291, 1321, 1451, 1493, 1601, 1650, 1686, 1816, 2924. $[\alpha]_D^{25} = -43.6$ (c=1.0, CHCl_3 , e.r. 99.7:0.3, (*S*)-Cat.).



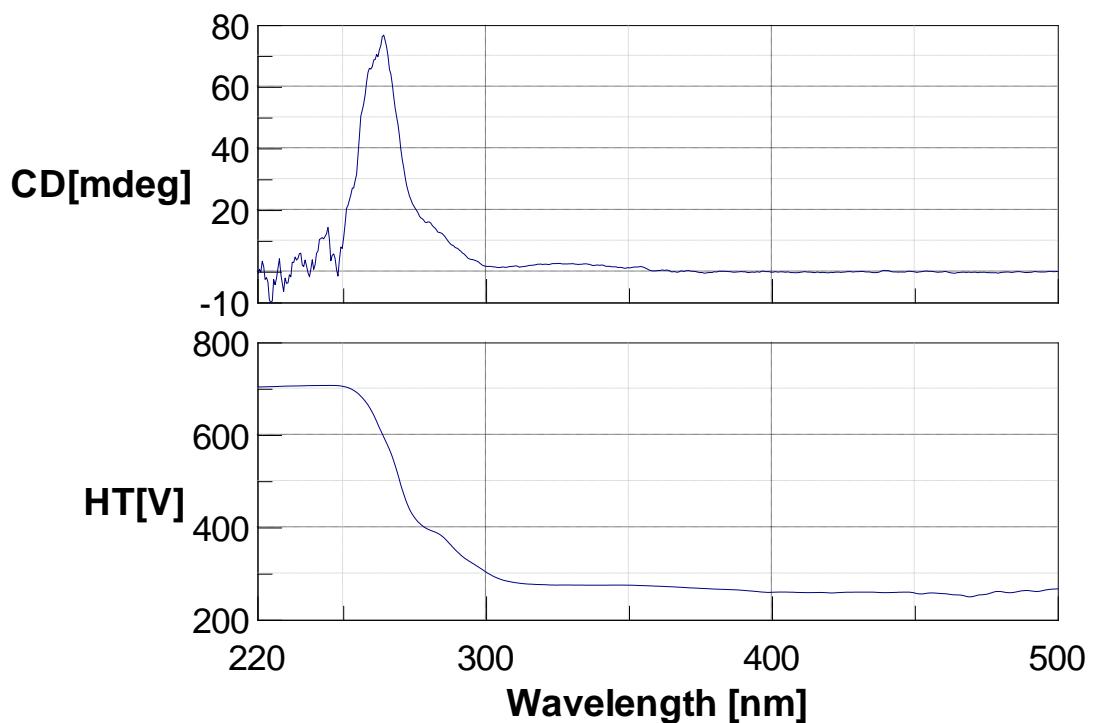
8a (minor diastereomer): Colorless oil. **$^1\text{H NMR}$ (400 MHz, CDCl_3):** δ = 9.58 (s, 1H), 8.14-8.11 (m, 2H), 7.66-7.59 (m, 2H), 7.51-7.29 (m, 7H), 7.17-7.05 (m, 5H), 4.20 (s, 1H), 3.65 (dd, $J_1=6.1$ Hz, $J_2=11.0$ Hz, 1H), 3.43-3.33 (m, 1H), 3.08-2.99 (m, 1H). **$^{13}\text{C NMR}$ (100 MHz, CDCl_3):** δ = 191.7, 178.0, 171.8, 159.1, 150.6, 138.9, 137.4, 136.8, 133.8, 132.5, 130.2, 128.5, 128.4, 128.3, 128.0, 127.7, 127.7, 127.6, 73.0, 45.8, 41.9, 30.2. **HPLC** (Chiralpak IB, *n*-hexane: *i*-PrOH = 90:10, $\lambda=254$ nm, 1.0 mL/min): t_R = 9.8, 20.0 min. **HRMS (ESI):** calcd. for $[\text{M}+\text{H}]^+$ ($\text{C}_{27}\text{H}_{22}\text{NO}_3$) requires 408.1594, found 408.1595. **IR (ν_{max} , cm^{-1}):** 663, 700, 762, 777, 883, 934, 987, 1113, 1154, 1174, 1287, 1319, 1414, 1451, 1494, 1582, 1602, 1653, 1804, 2923. $[\alpha]_D^{25} = -117.1$ (c=0.9, CHCl_3 , e.r. >99.9:0.1, (*S*)-Cat.).

Figure 1

DC of compound **3a**
calculated



Experimental DC for the product **3a** obtained with (*R*)-catalyst



Absolute configuration of compound **4a** (pyrazole)

In the present case, theoretical calculation of ECD spectra and the assignment of the absolute configuration was carried out by means of the TD-DFT method, since this technique has been successfully employed several times to predict ECD spectra and to assign the AC of organic moleculesⁱ. Starting from the relative configuration obtained by NMR analysis, a conformational search has been carried out using Monte Carlo searching together with the MMFF94 molecular mechanics force field (as implemented in Titan 1.0.5). All conformations within a 5 kcal/mol window were then optimized using DFT at the B3LYP/6-31G(d) levelⁱⁱ, and the harmonic vibrational frequencies of each conformation were calculated at the same level to confirm their stability (no imaginary frequencies observed), and to evaluate the free energy of each conformation by ZPE correction. After DFT minimization, the MMFF structures clustered in two conformations (**a** and **b**), that are different because of the different disposition of the CHO group (see Figure S1 and Table S1). This means that the molecule is quite rigid, and this feature greatly enhance the reliability of the method, being the correct determination of the energy of each conformation its main weakness.

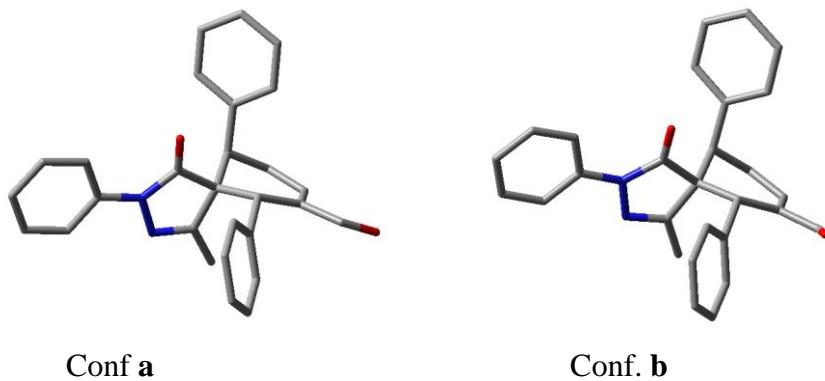


Figure S1: 3D view of the two most stable conformations of compound **4a** (energies in kcal/mol)

Table S1: Calculated relative energies (E) and free energies (G) of the conformations of **4a** (in kcal/mol, B3LYP/6-31G(d) level). Populations percentages (P) are calculated assuming Boltzmann statistics at T=25°C.

Molecule	Conf	E	G	Pop (ΔG)
4a	a	0.00	0.00	66
	b	0.37	0.40	34

Calculation of the Electronic Circular Dichroism spectra of both the conformations were carried out using the TD-DFT - BH&HLYP/6-311+G(d,p)//B3LYP/6-31G(d) levelⁱⁱⁱ, and assuming 5S, 6S, 10R absolute configuration^{iv}. Rotational strength were calculated in both length and velocity representation. The resulting values are very similar, therefore the errors due to basis set incompleteness are very small.^v Electronic excitation energies and rotational strengths have been calculated for the two conformations, and the ECD spectra were obtained by applying a 0.4 eV Gaussian shaped line width^{vi}. In order to cover the 170-400 nm range, 70 transition were calculated for each conformation (Figure S2).

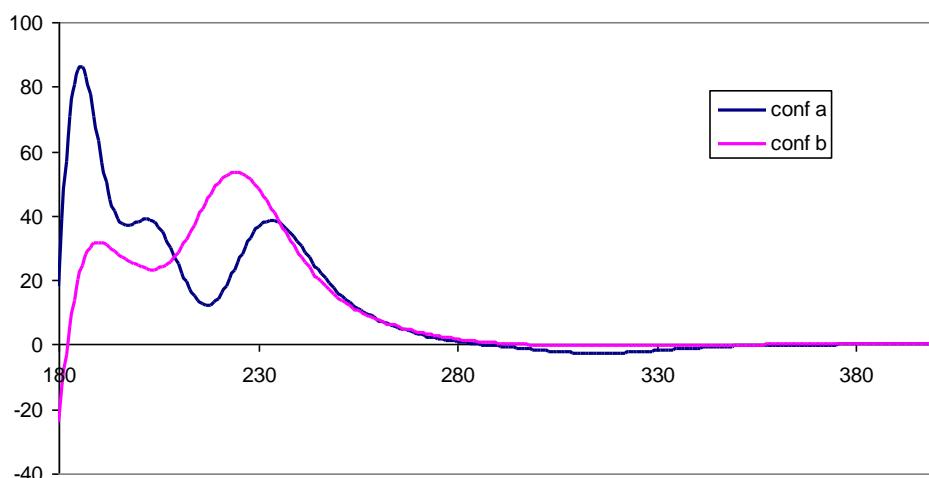


Figure S2. Calculated ECD spectra for the two conformations of **compound 4a**. vertical scale is in $\Delta\epsilon$, horizontal scale is in nm.

The trend of the two spectra are similar and in both spectra the cotton effects below 250 nm are positive, indicating that the resulting weighted ECD spectrum is not strongly influenced by the relative population of the conformations. The final simulated ECD spectra was obtained taking into account the 66:34 population ratios determined starting from the calculated free energies at the B3LYP/6-31G(d) level, and assuming Boltzmann statistics (Figure S2) The simulated spectrum is in good agreement with the experimental one, and the 5S, 6S, 10R configuration can be reliably assigned to compound **4a**.

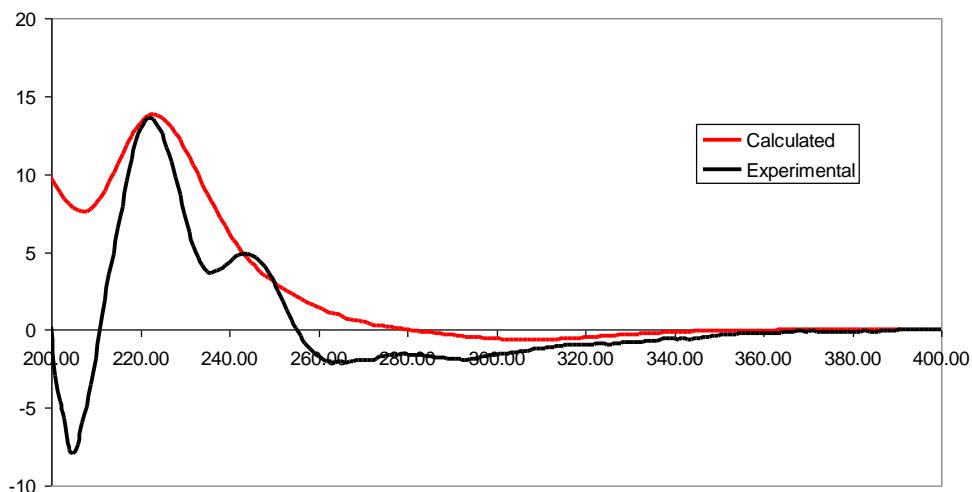
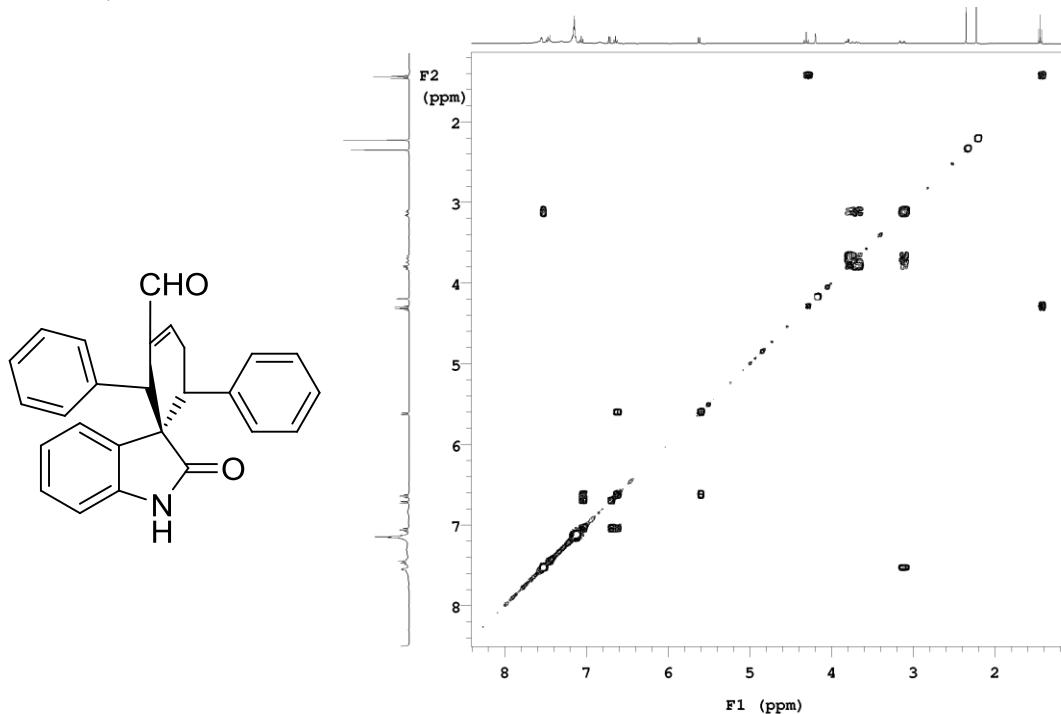
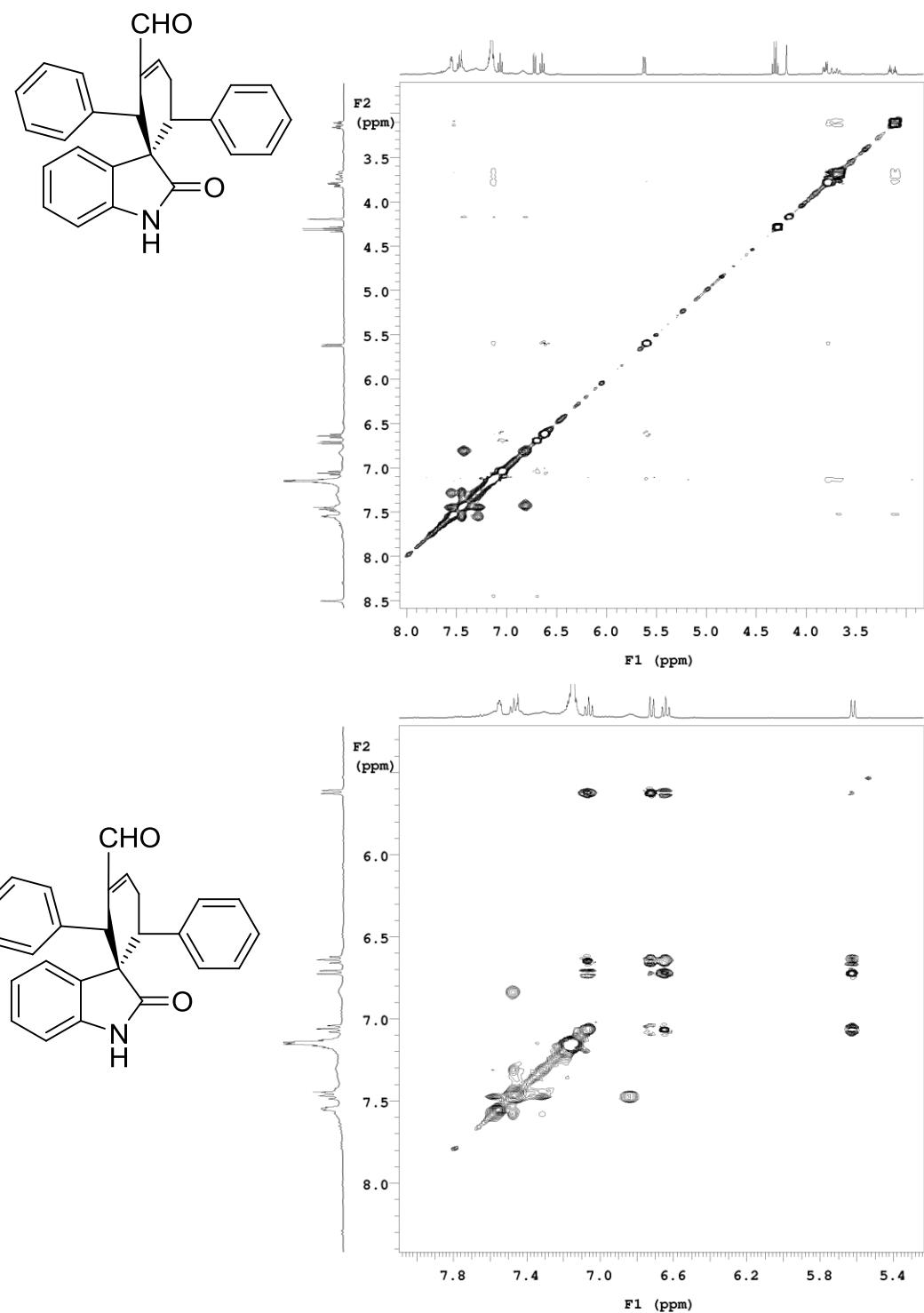


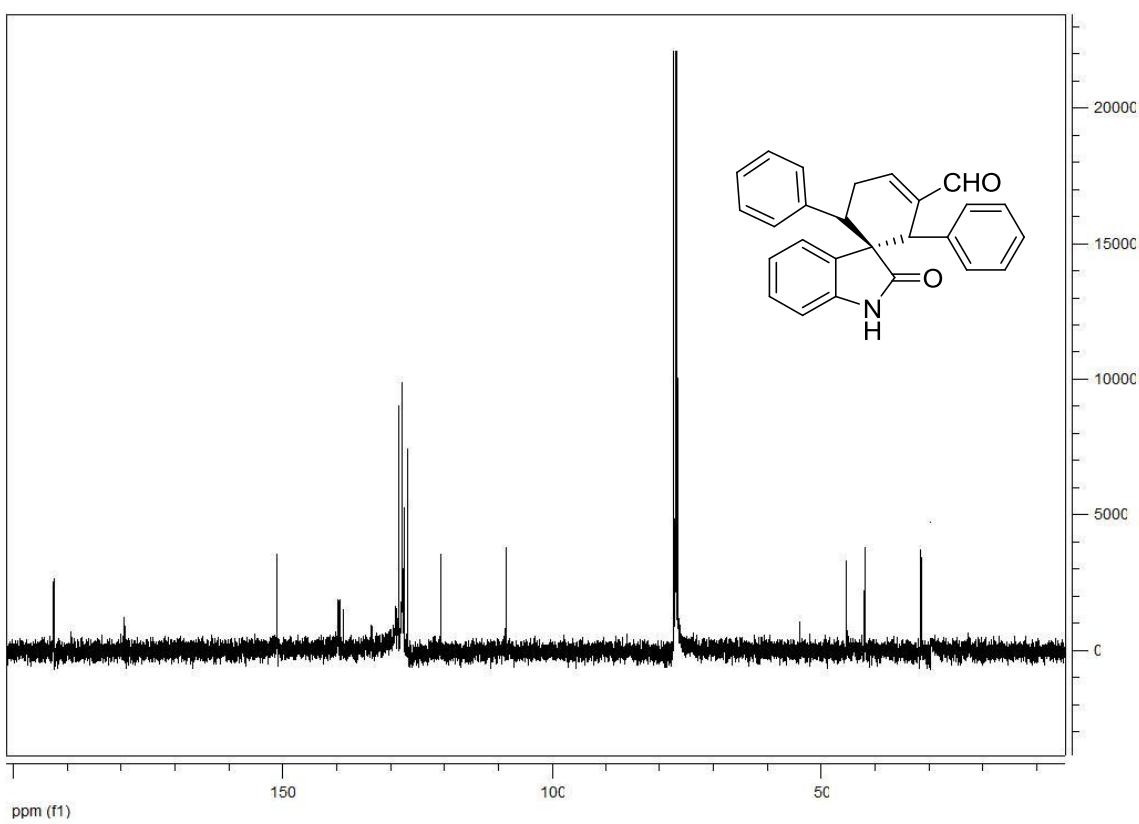
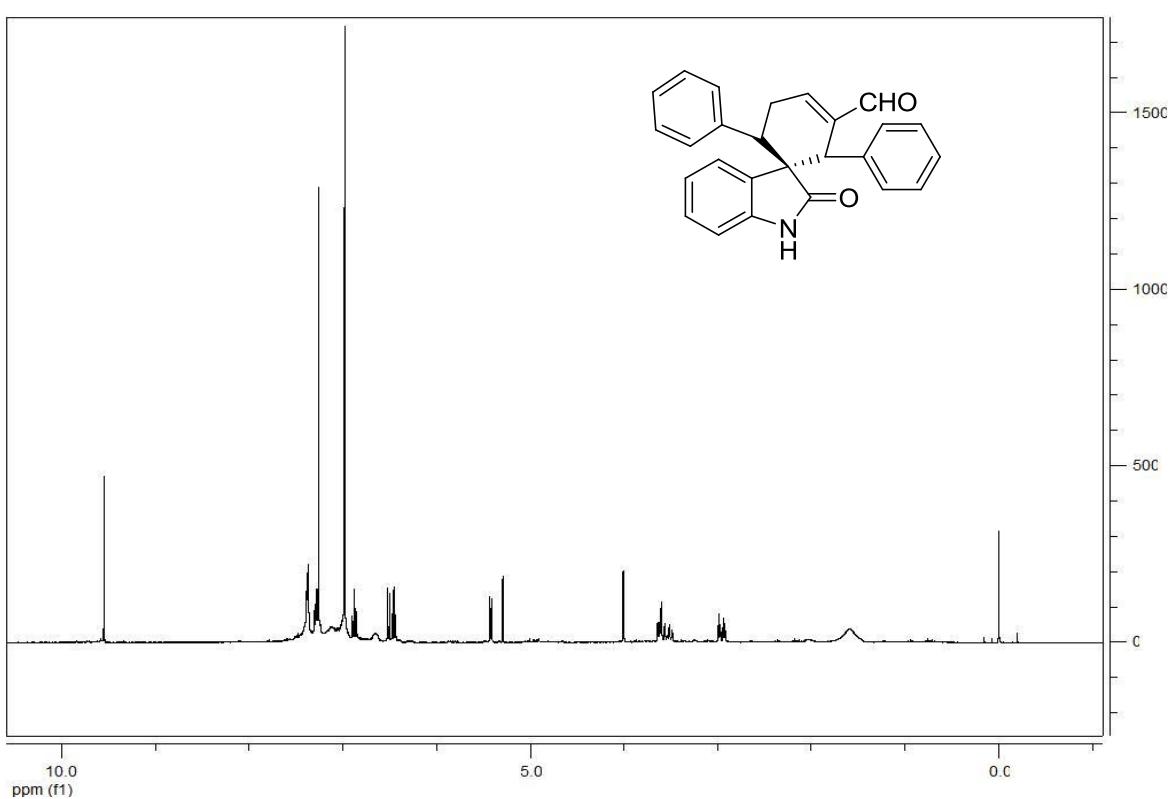
Figure S2: Experimental ECD spectrum (in black) and simulated spectrum (in red) assuming 5S, 6S, 10R absolute configuration. The vertical scale is in mdeg, and the simulated spectrum has been scaled accordingly. The simulated spectrum has been blue-shifted by 8 nm in order to match the experimental trace.

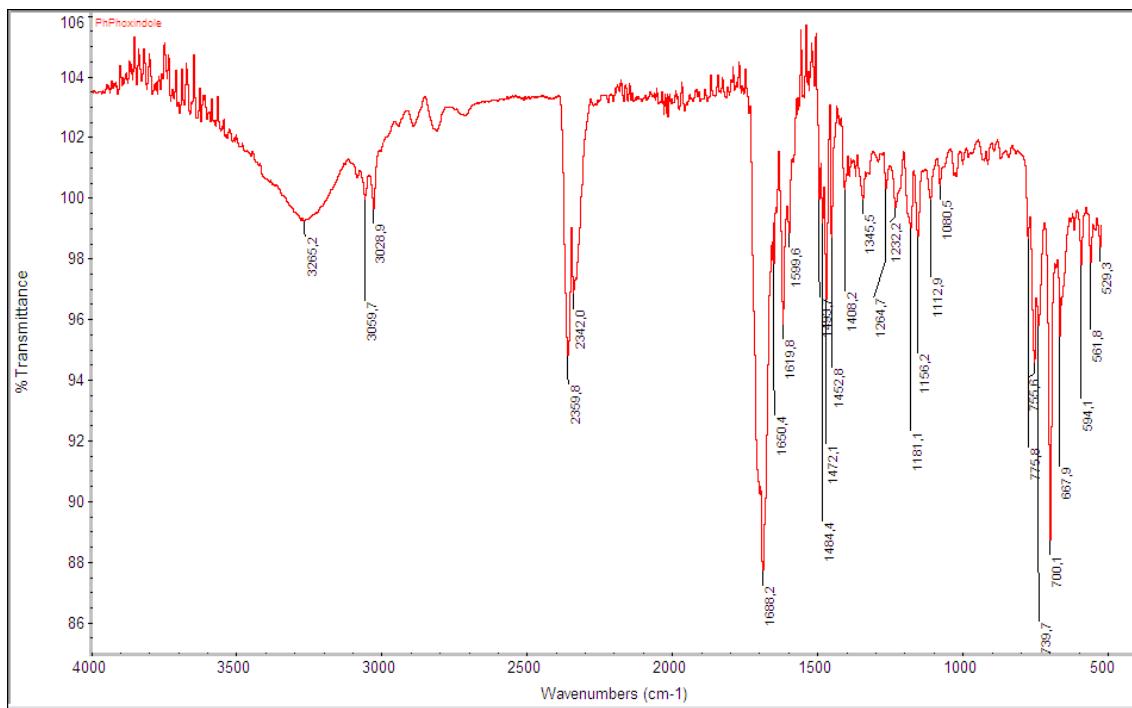
Compound **3a** (gCOSY, NOESY,
TOCSY)



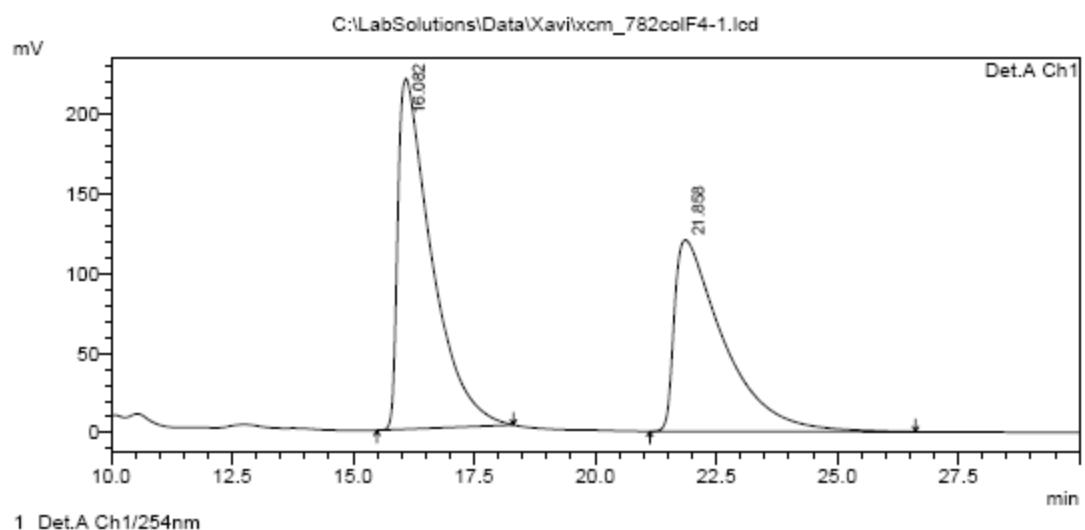


3a





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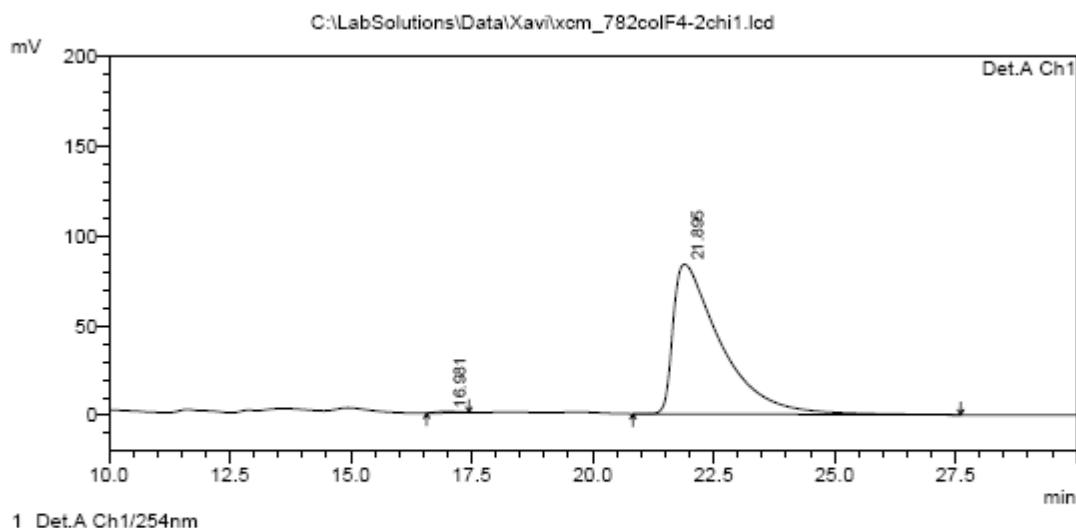


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
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2	21.858	8490479	120050	44.345	35.312

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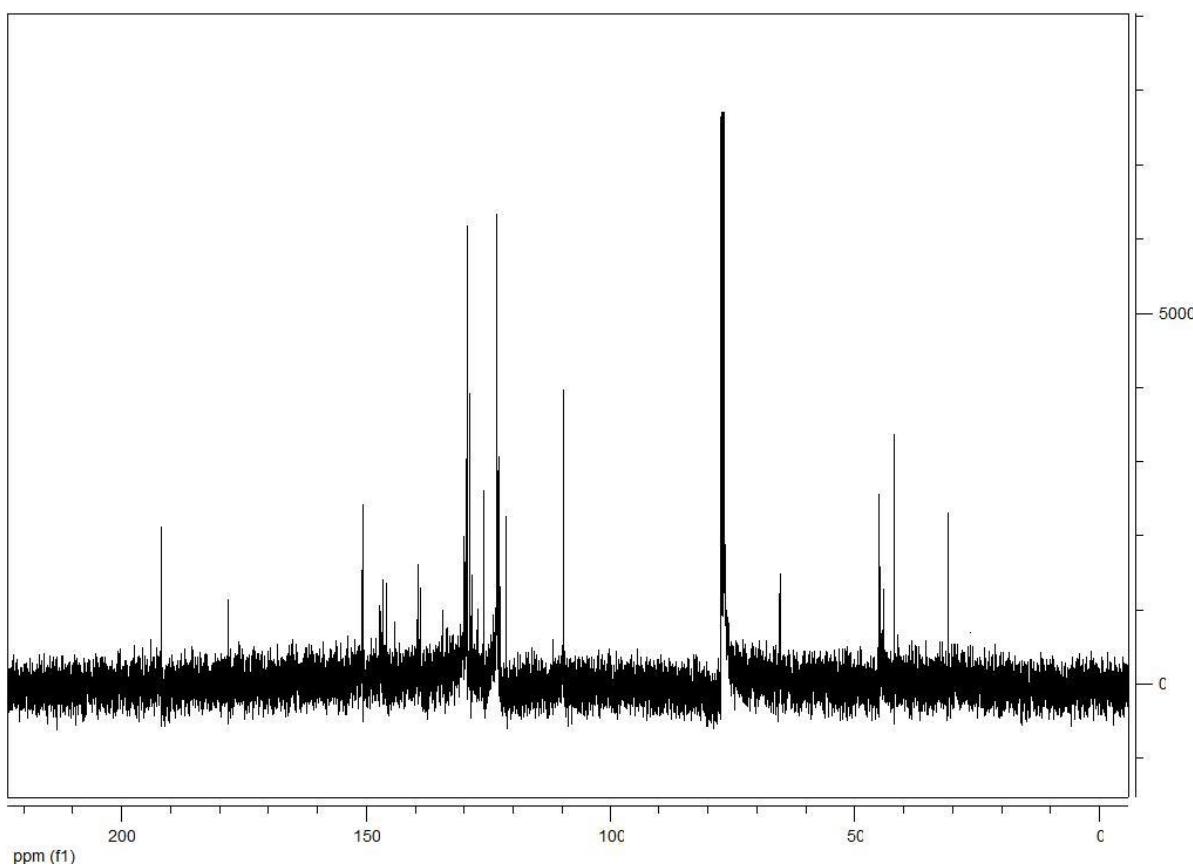
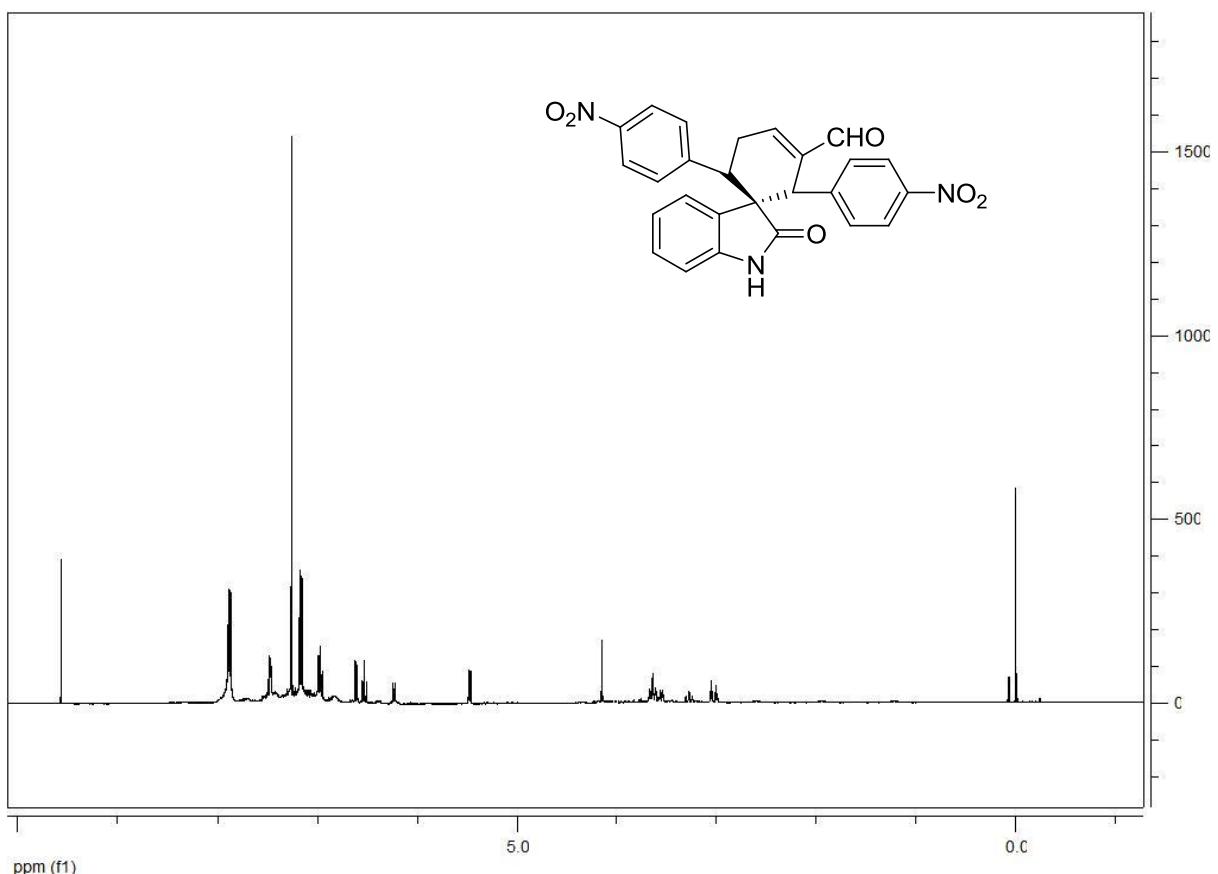


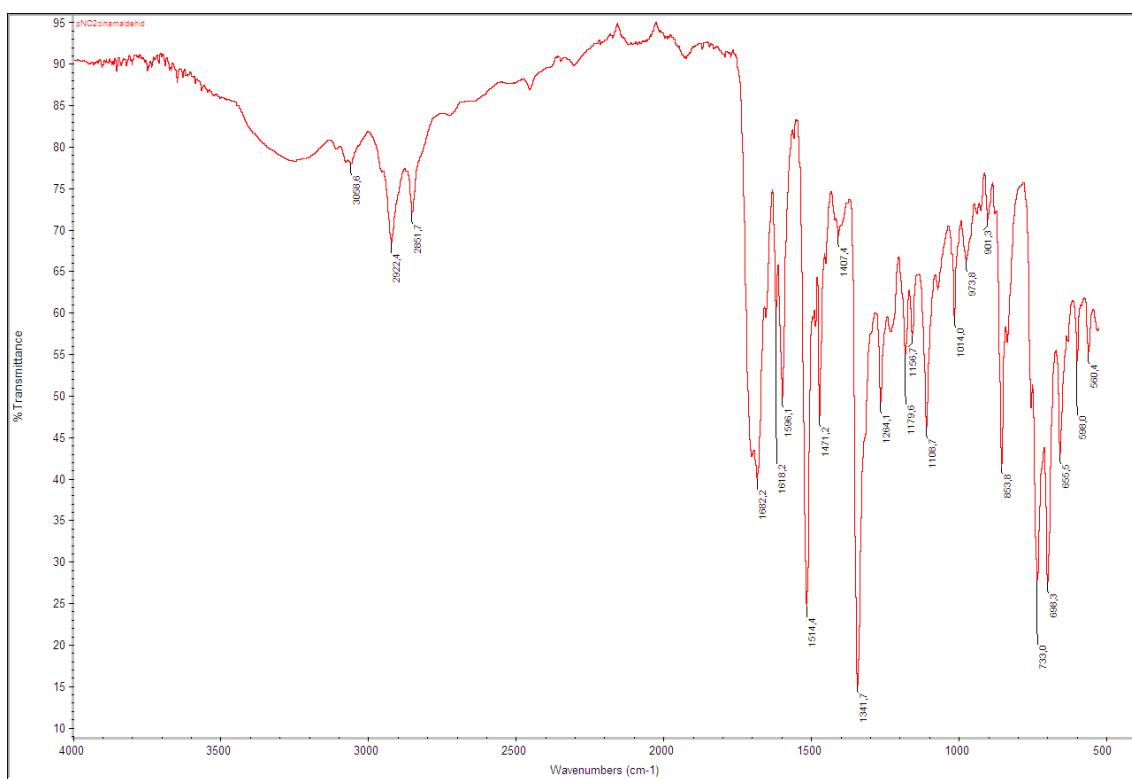
PeakTable

Detector A Ch1 254nm

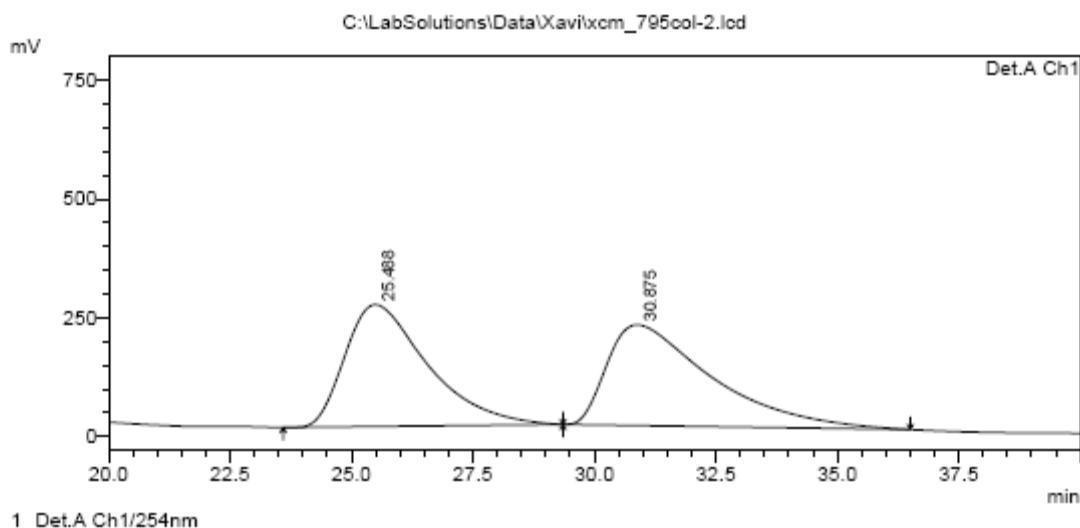
Peak#	Ret. Time	Area	Height	Area %	Height %
1	16.981	20362	700	0.355	0.831
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3d





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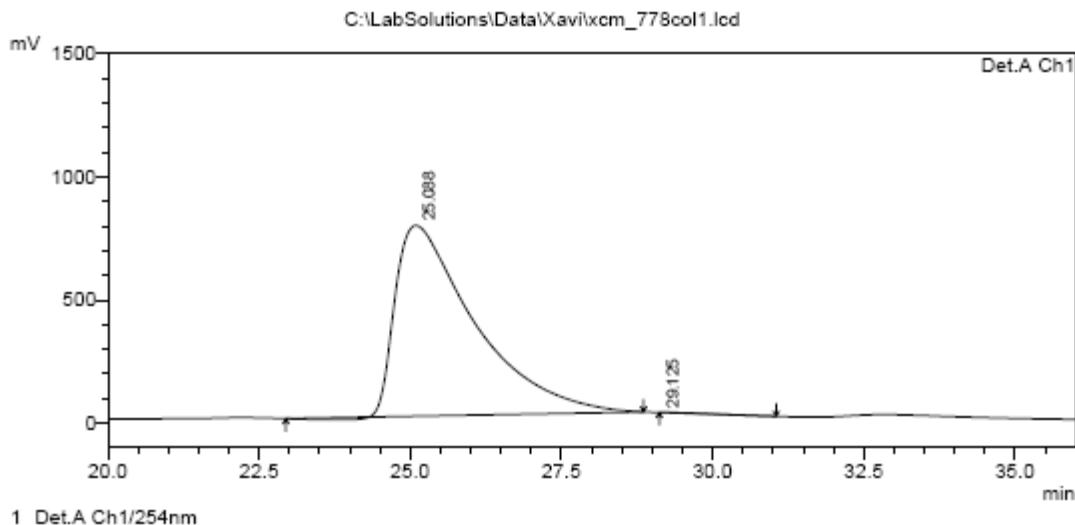


Detector A Ch1 254nm

PeakTable

Peak#	Ret. Time	Area	Height	Area %	Height %
1	25.488	30305892	256715	48.918	54.768
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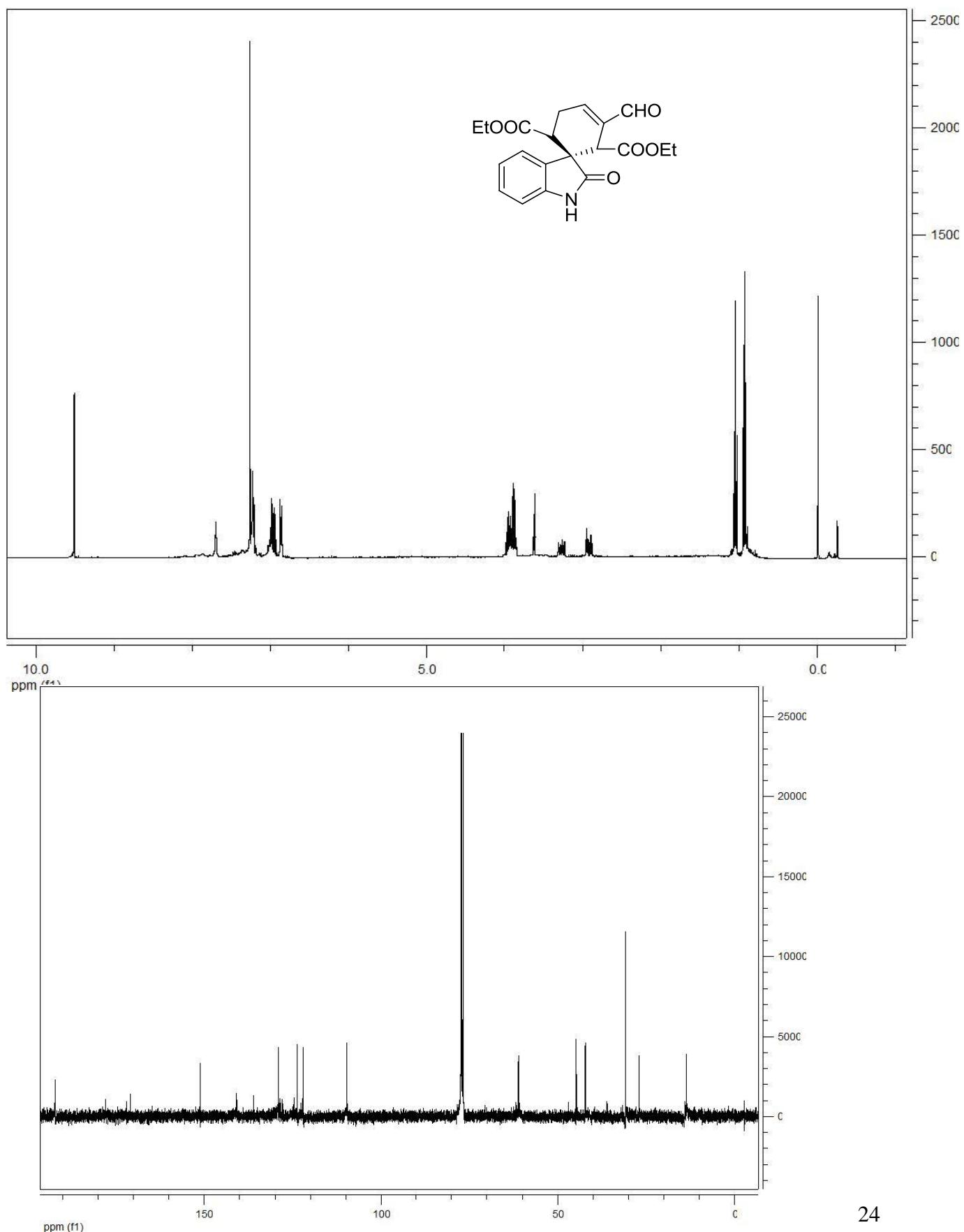


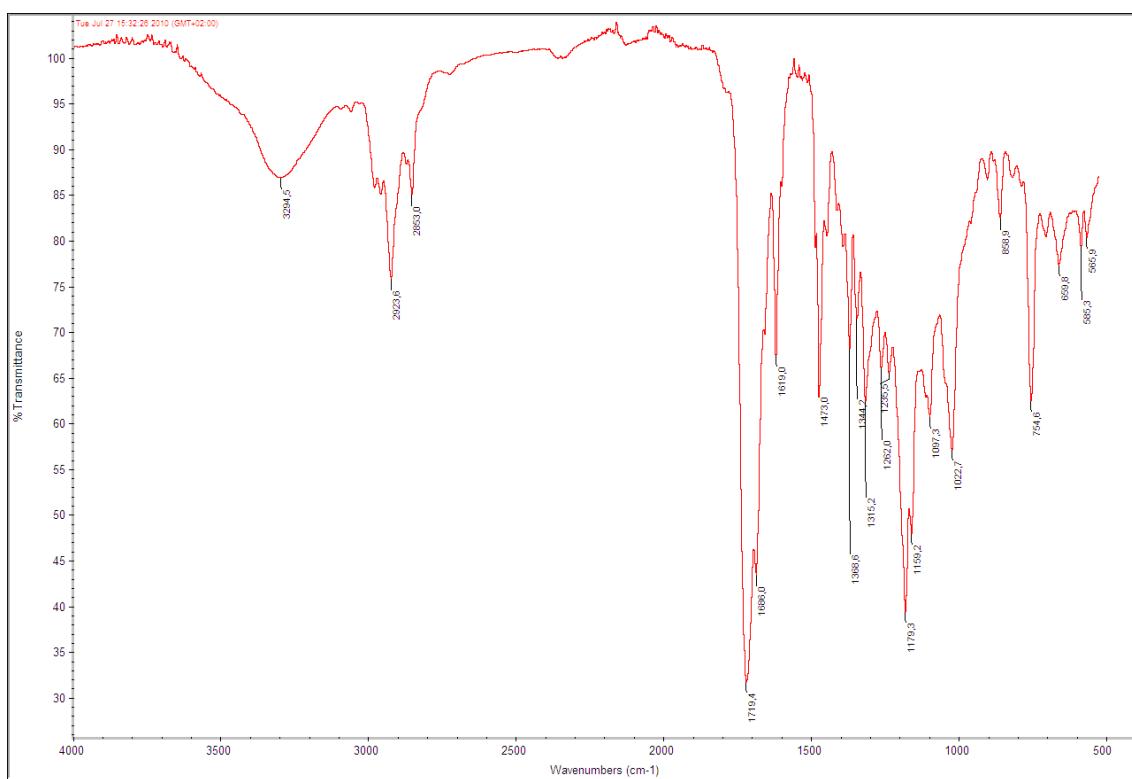
Detector A Ch1 254nm

PeakTable

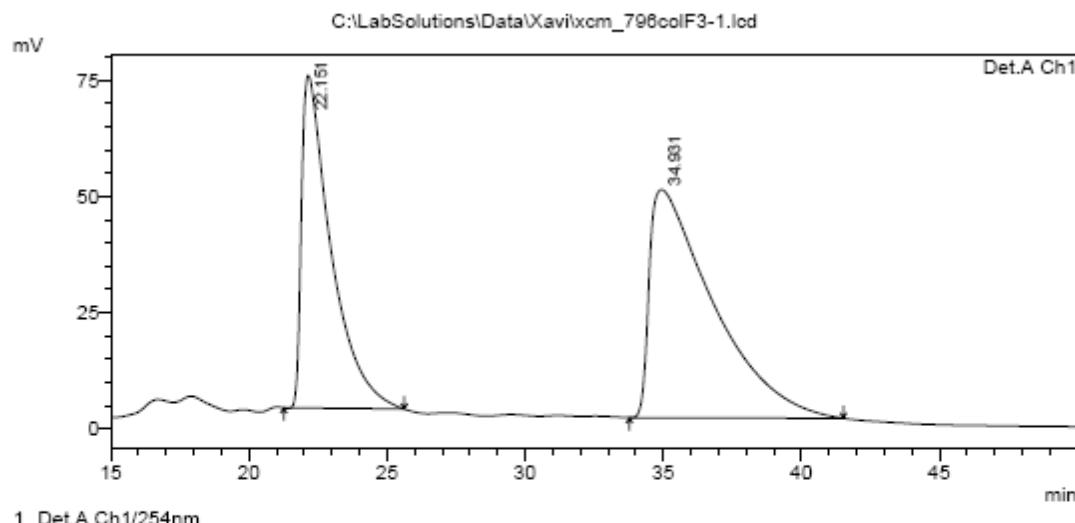
Peak#	Ret. Time	Area	Height	Area %	Height %
1	25.088	70357387	774205	99.913	99.997
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Total		70418935	774231	100.000	100.000

3c





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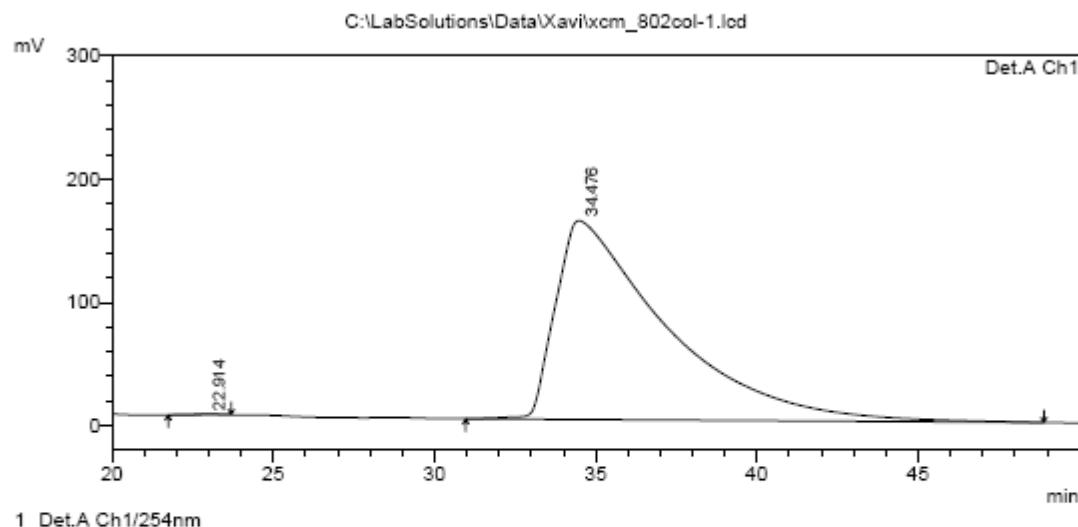


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	22.151	5323208	71555	40.365	59.328
2	34.931	7864429	49055	59.635	40.672
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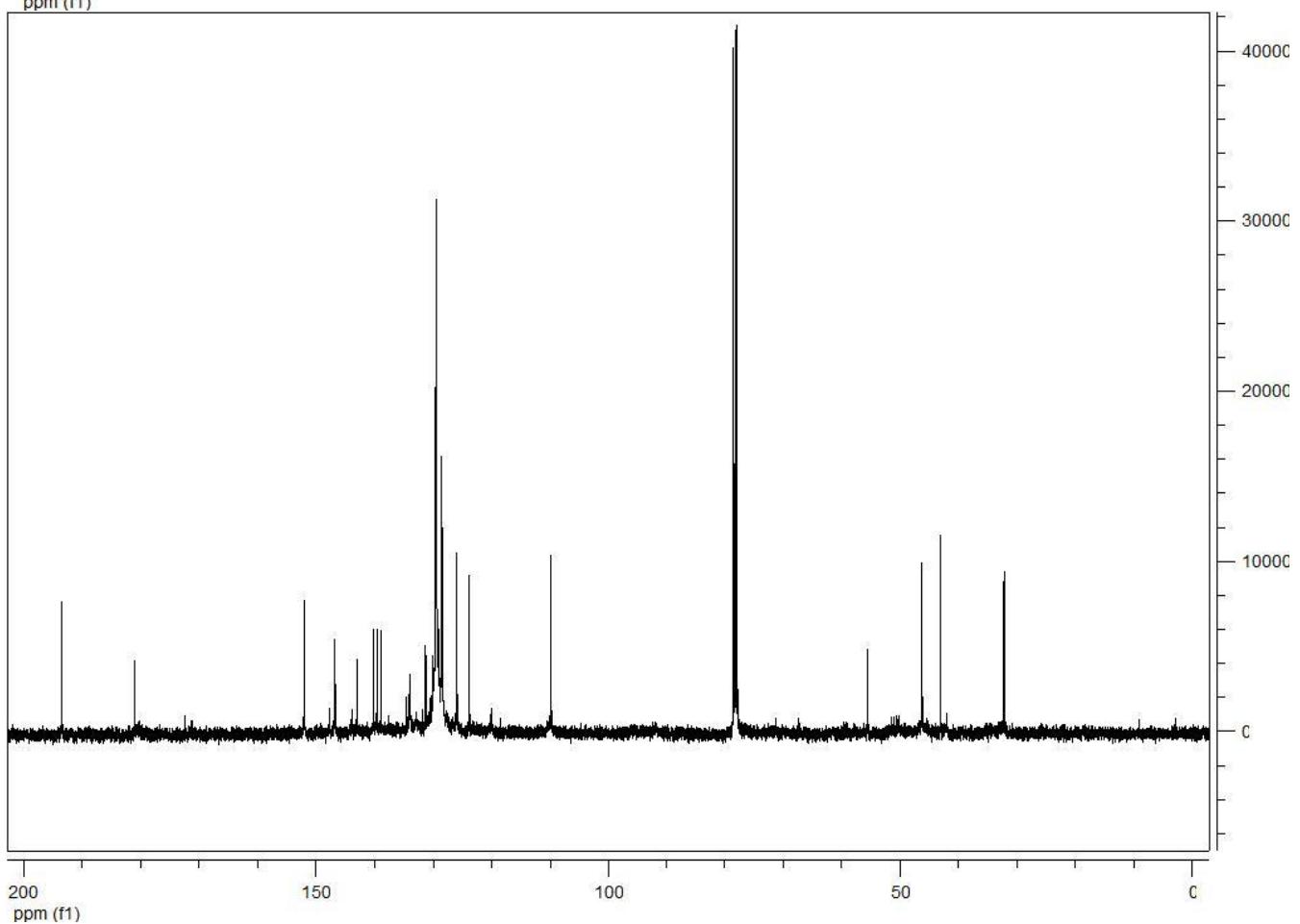
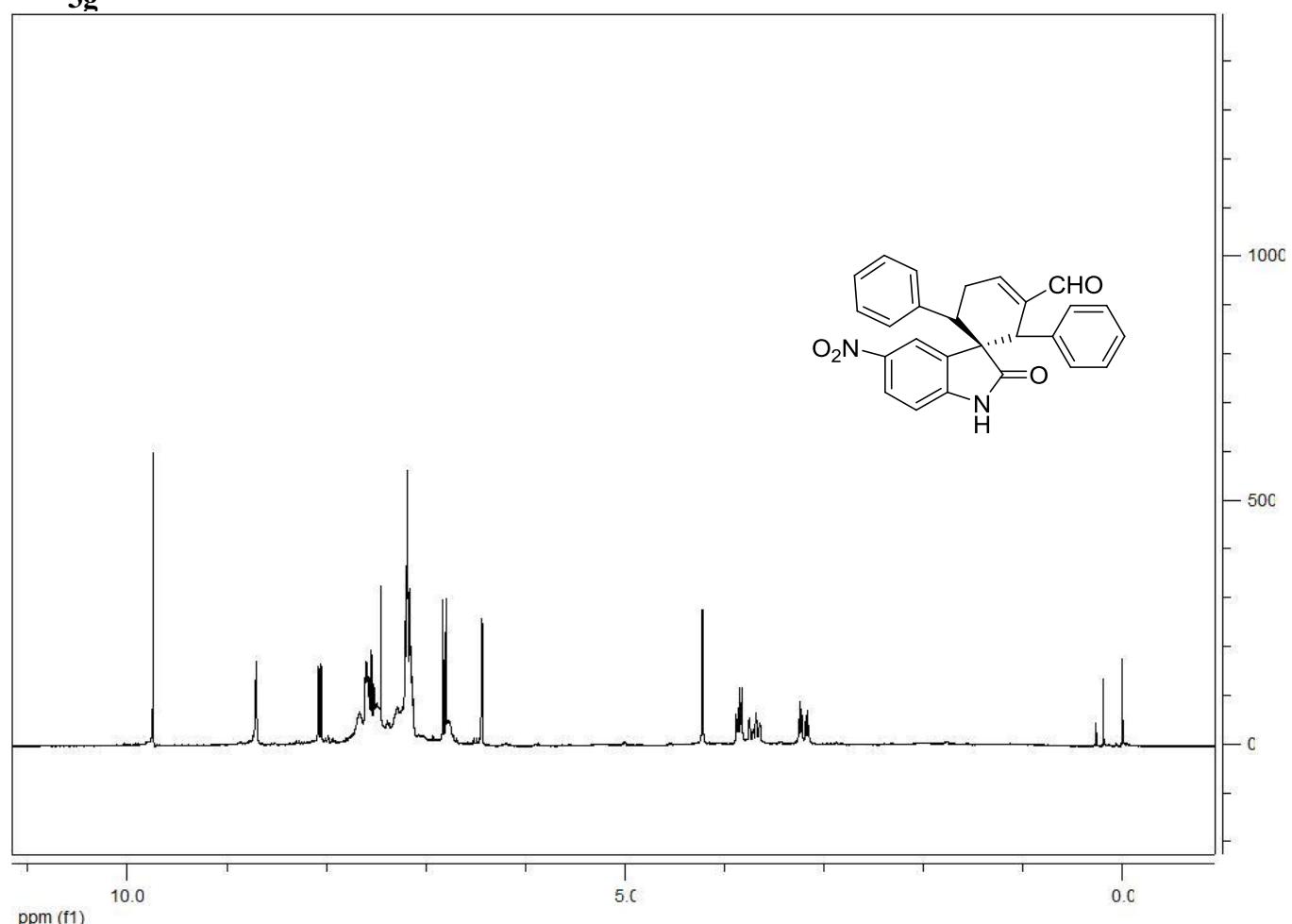


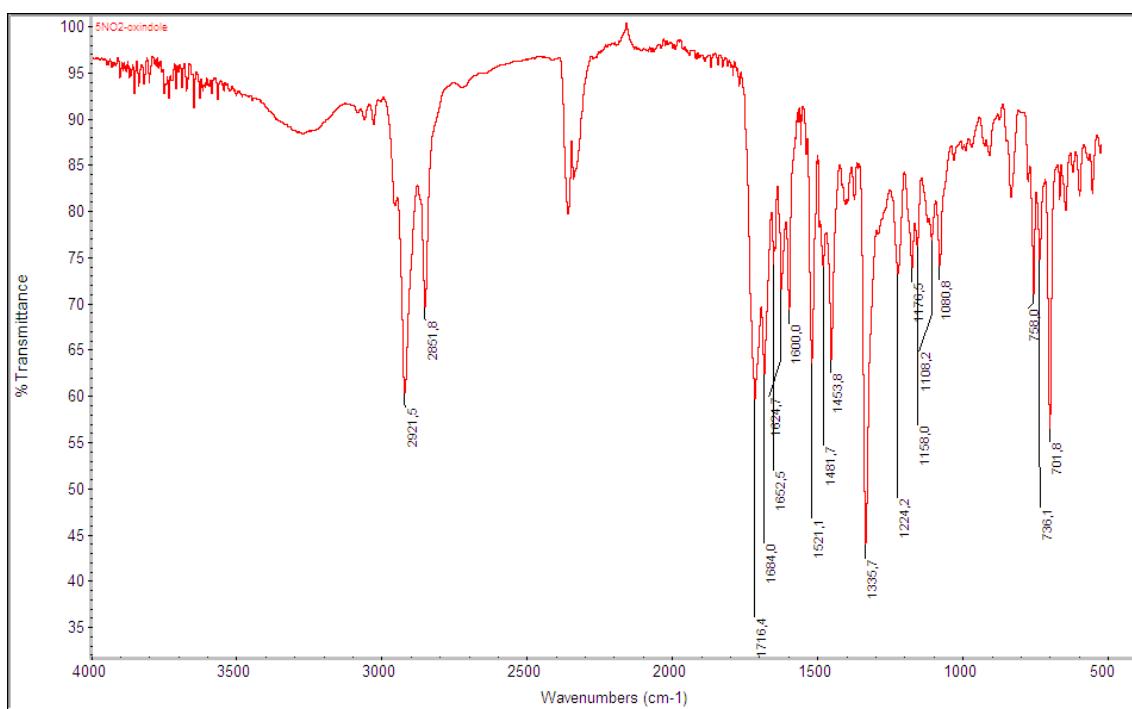
PeakTable

Detector A Ch1 254nm

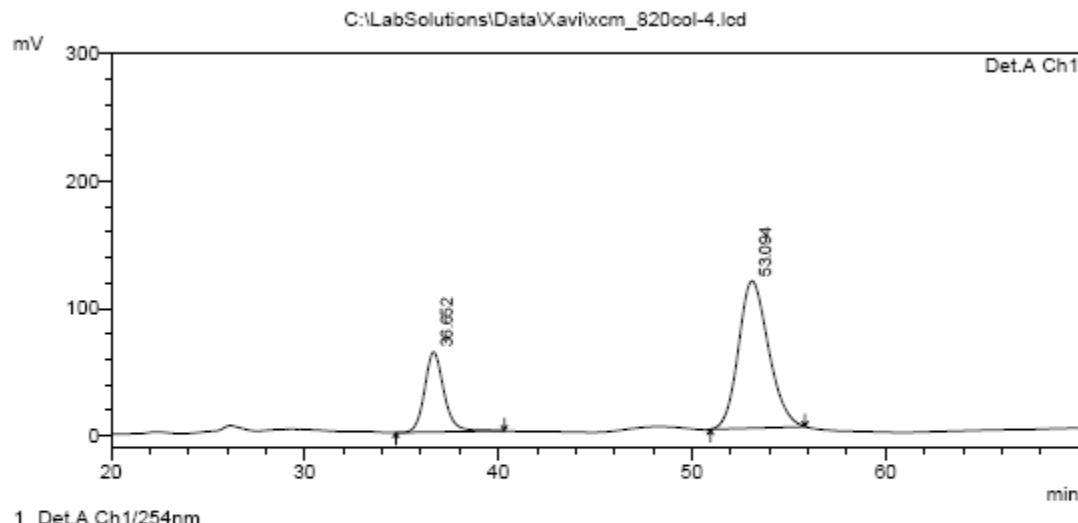
Peak#	Ret. Time	Area	Height	Area %	Height %
1	22.914	39881	579	0.104	0.358
2	34.476	38286891	161163	99.896	99.642
Total		38326772	161742	100.000	100.000

3g





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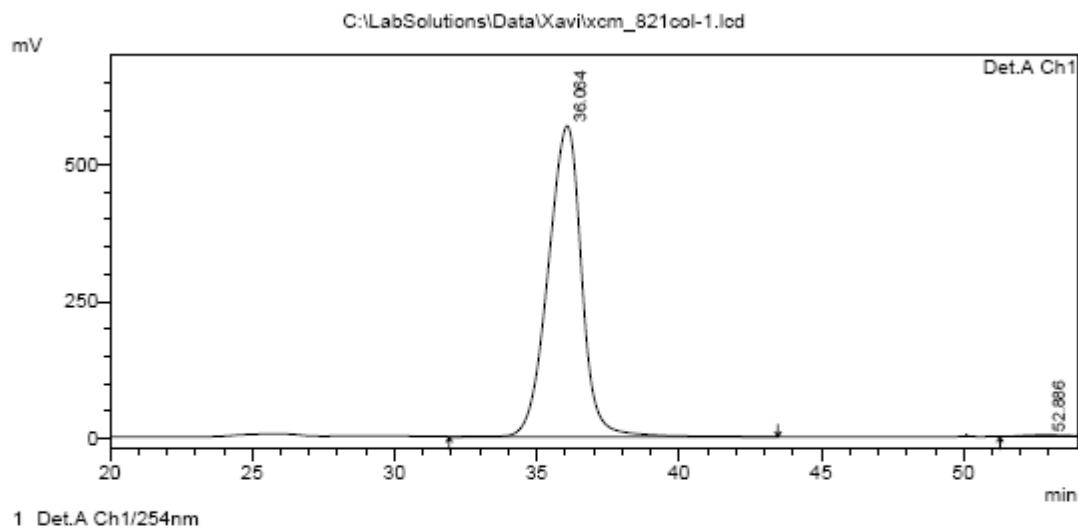


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	36.652	4397679	62889	25.976	35.212
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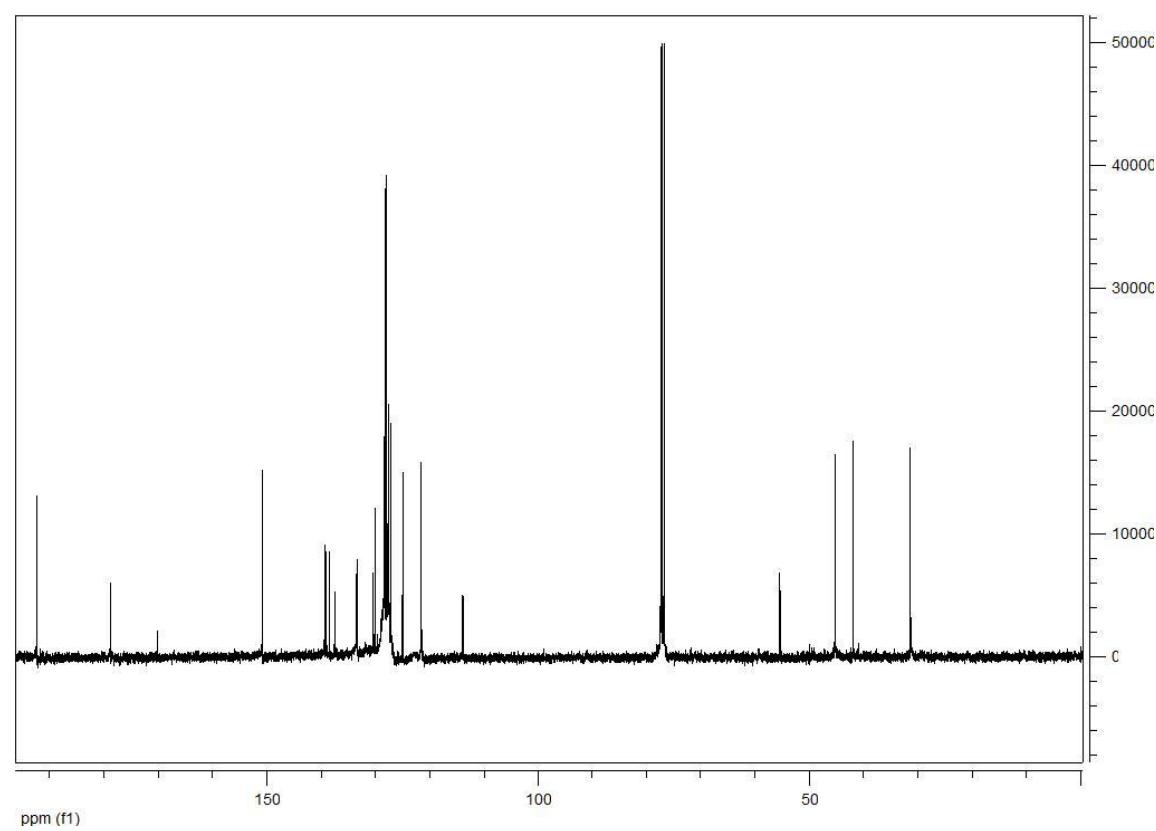
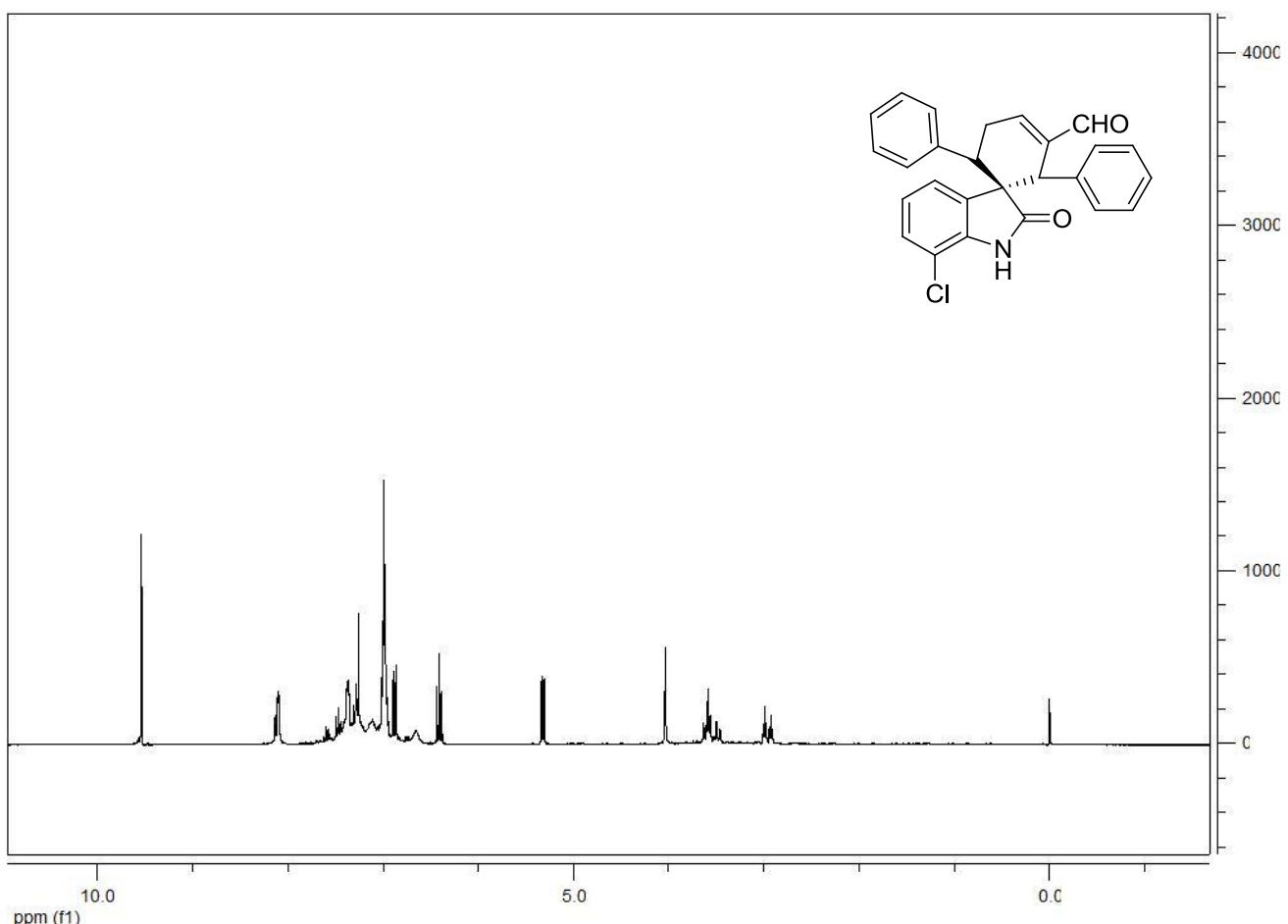


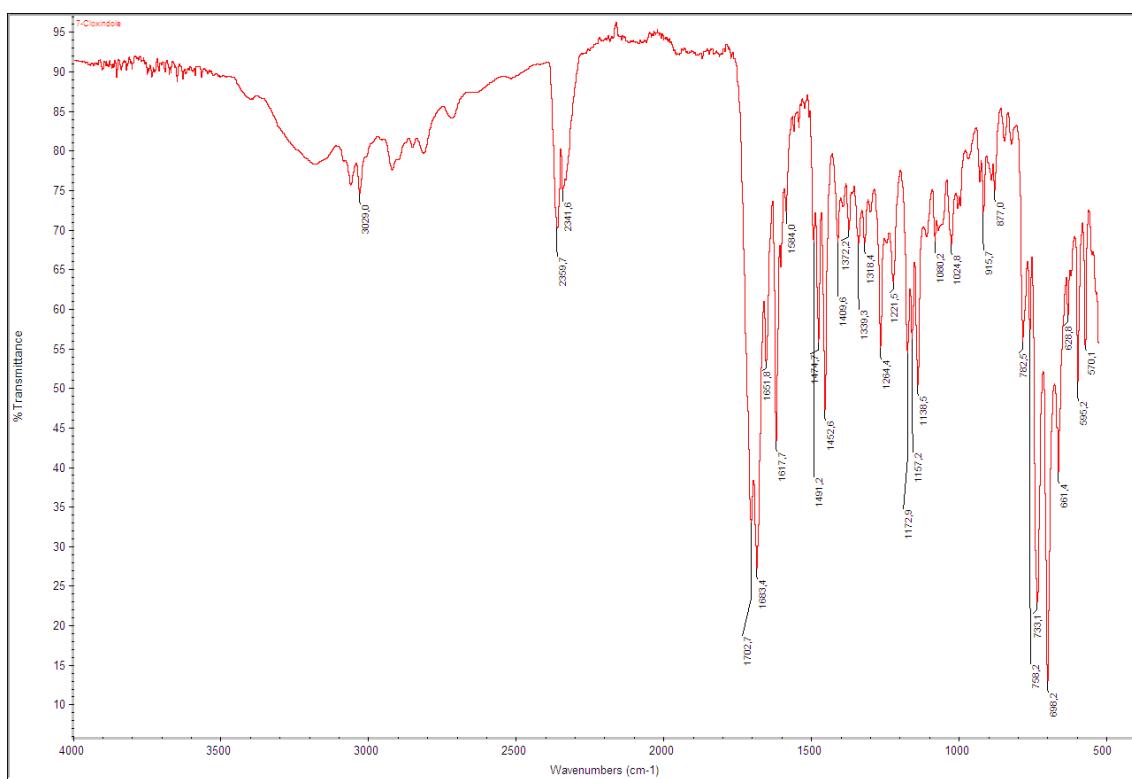
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Detector A Ch1 254nm

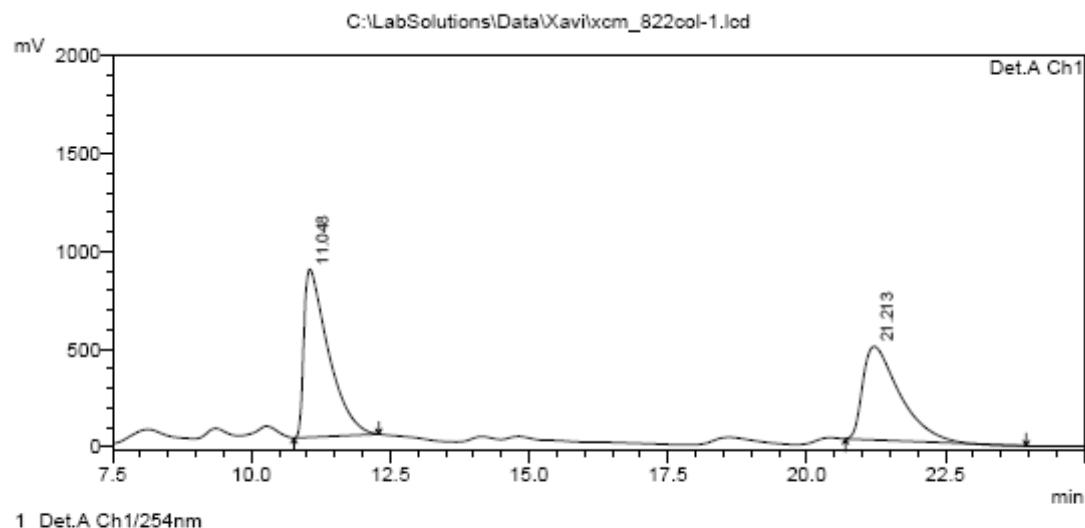
Peak#	Ret. Time	Area	Height	Area %	Height %
1	36.064	46588129	568622	99.354	99.503
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3j





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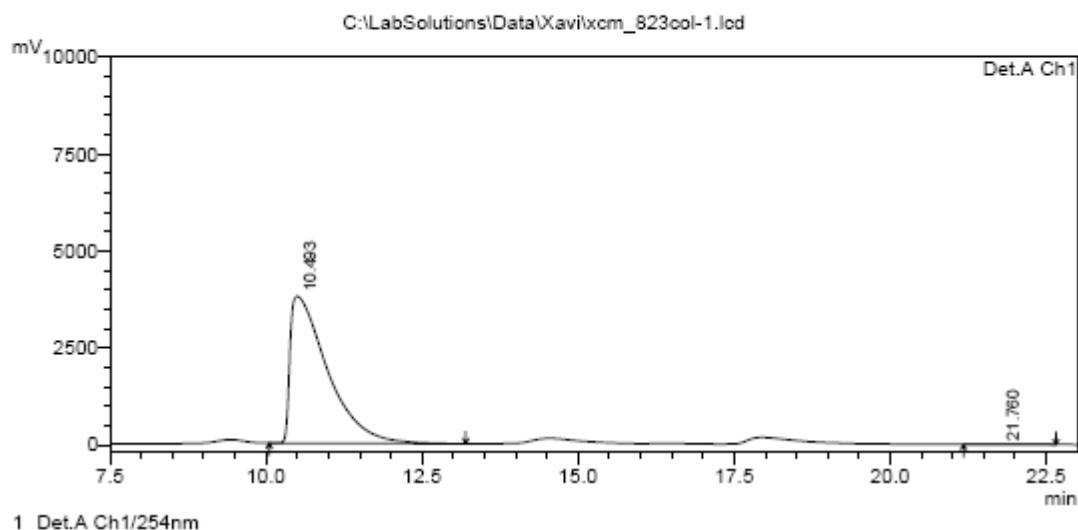


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	11.048	26371704	858797	54.357	64.249
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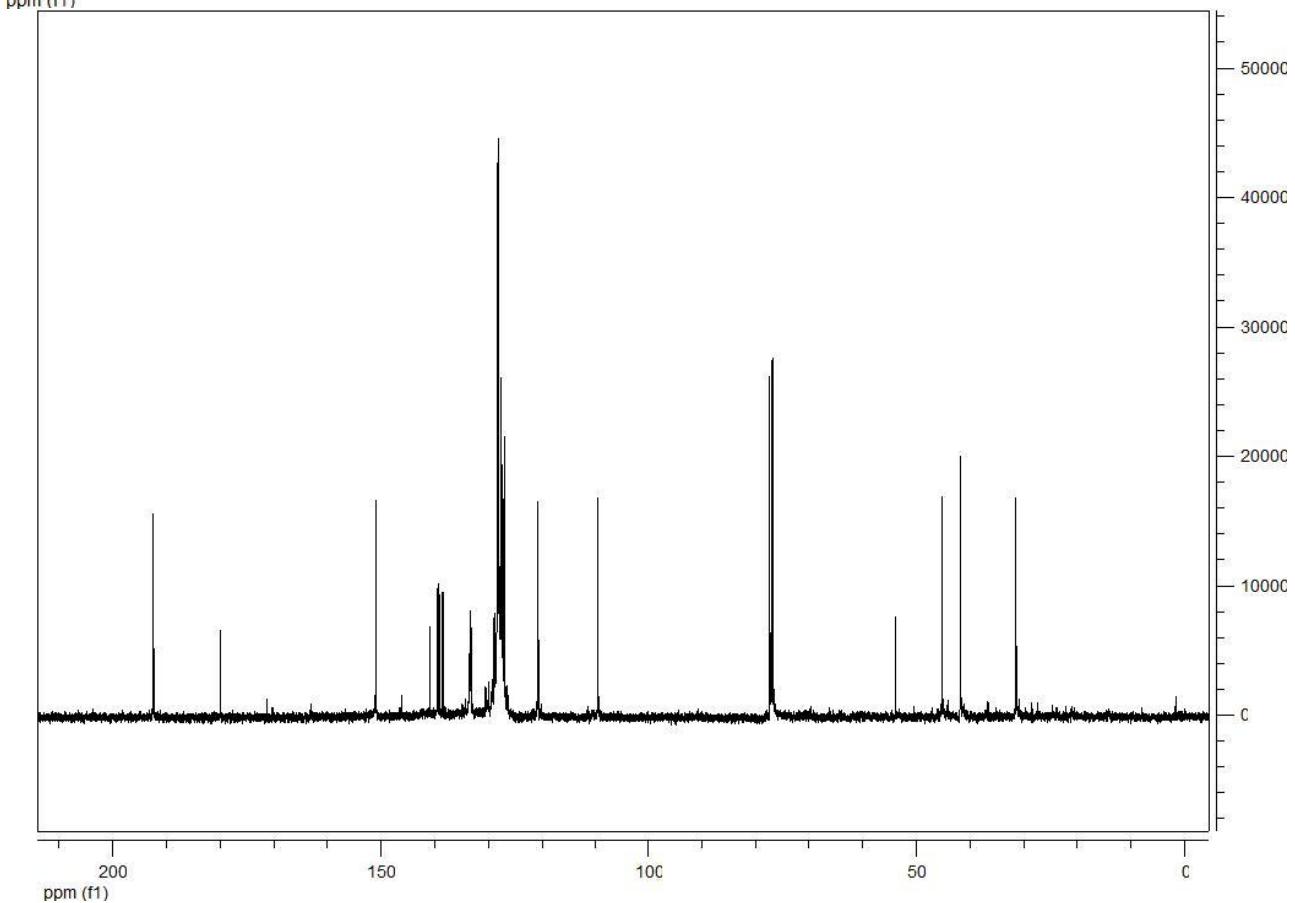
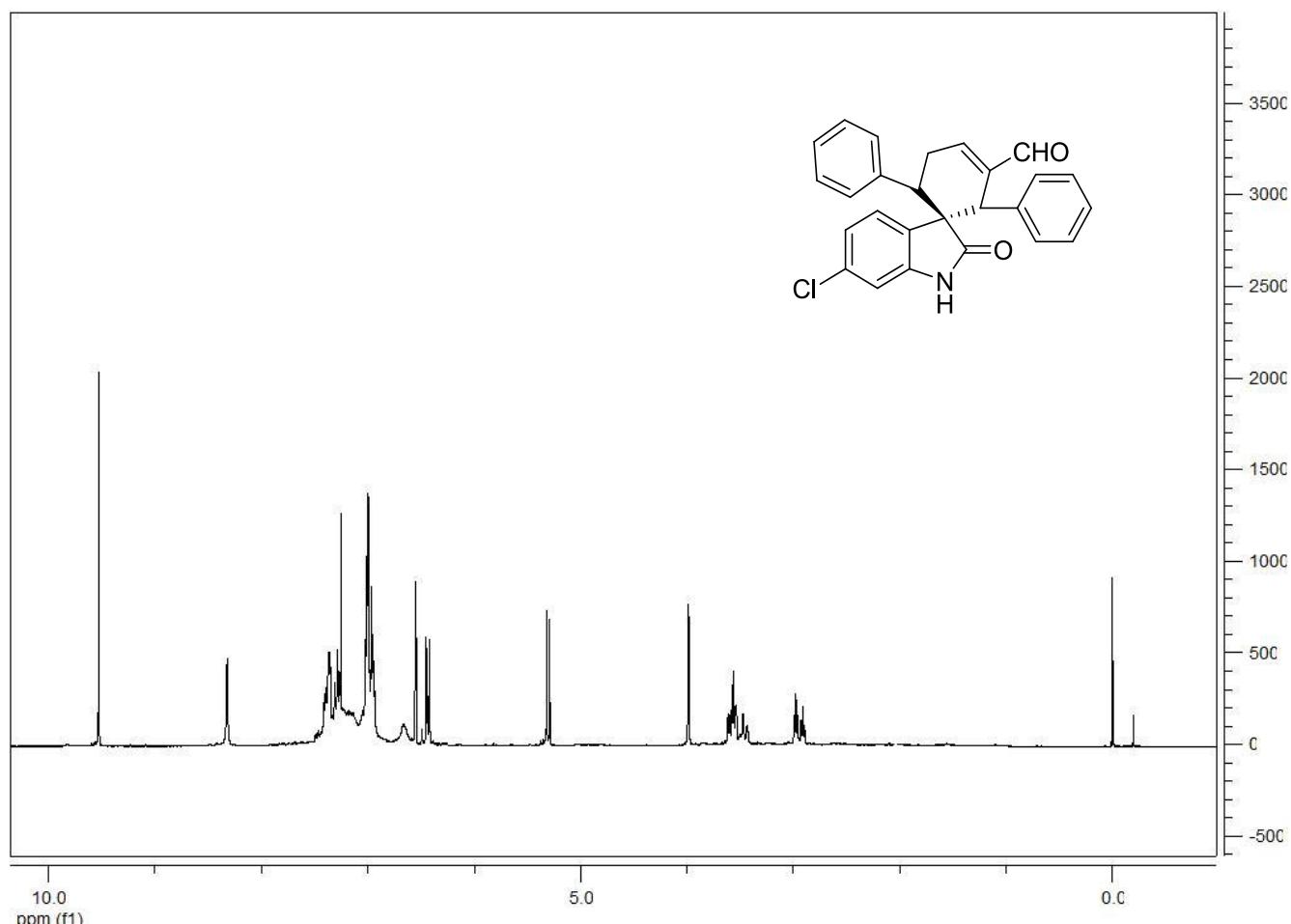


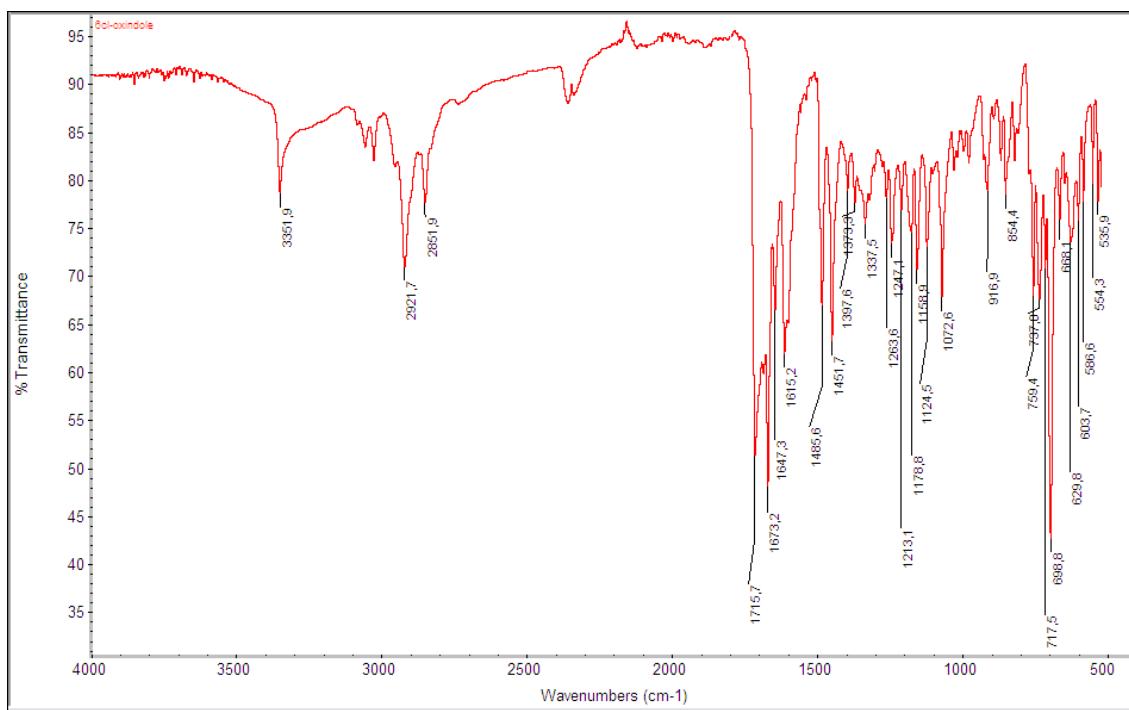
PeakTable

Detector A Ch1 254nm

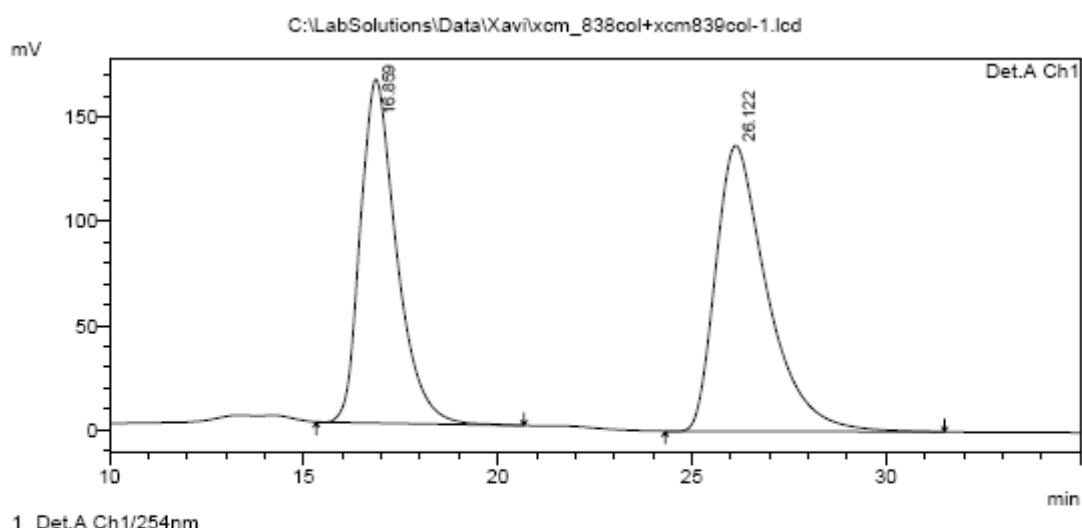
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1	10.493	157844384	3798915	99.941	99.939
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3i





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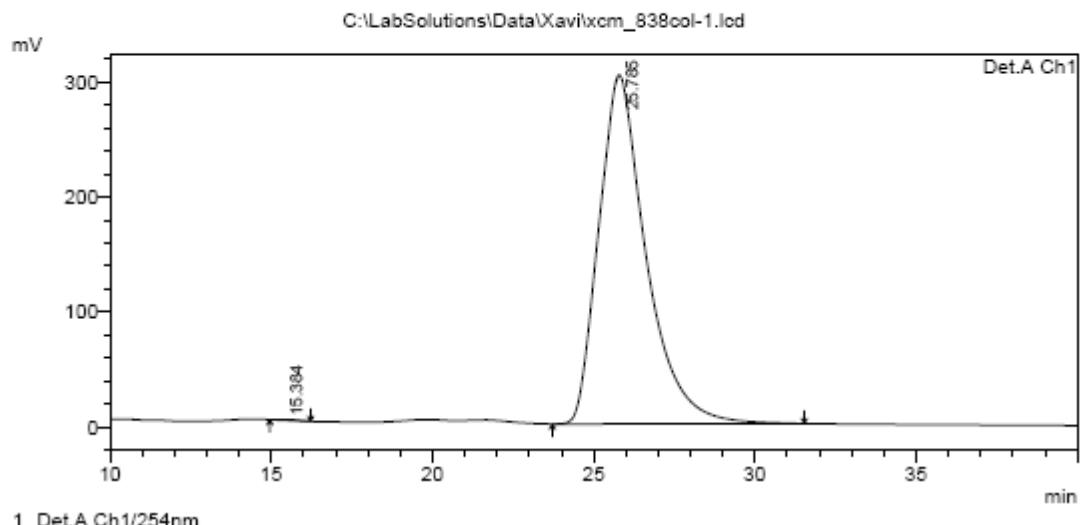


PeakTable

Detector A Ch1 254nm

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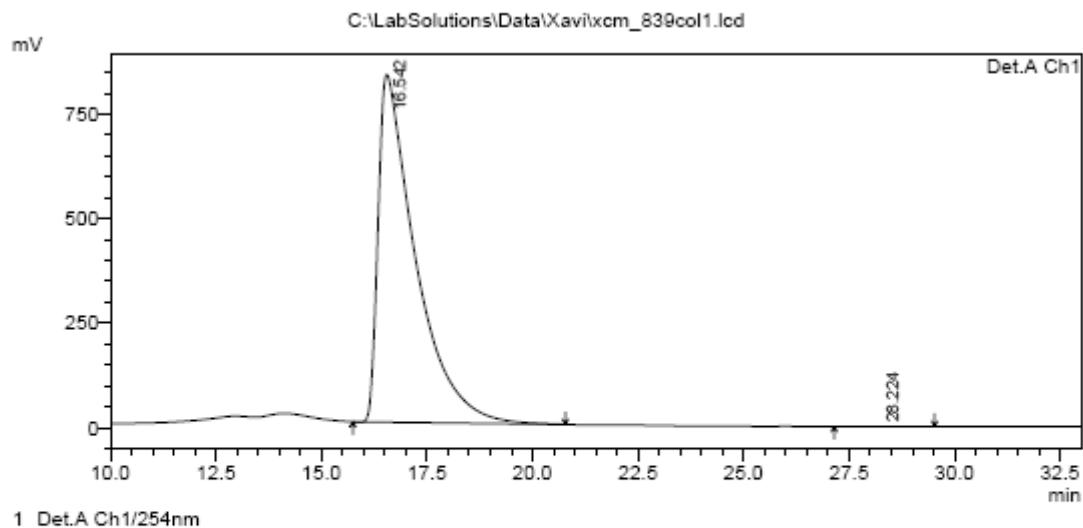


PeakTable

Detector A Ch1 254nm

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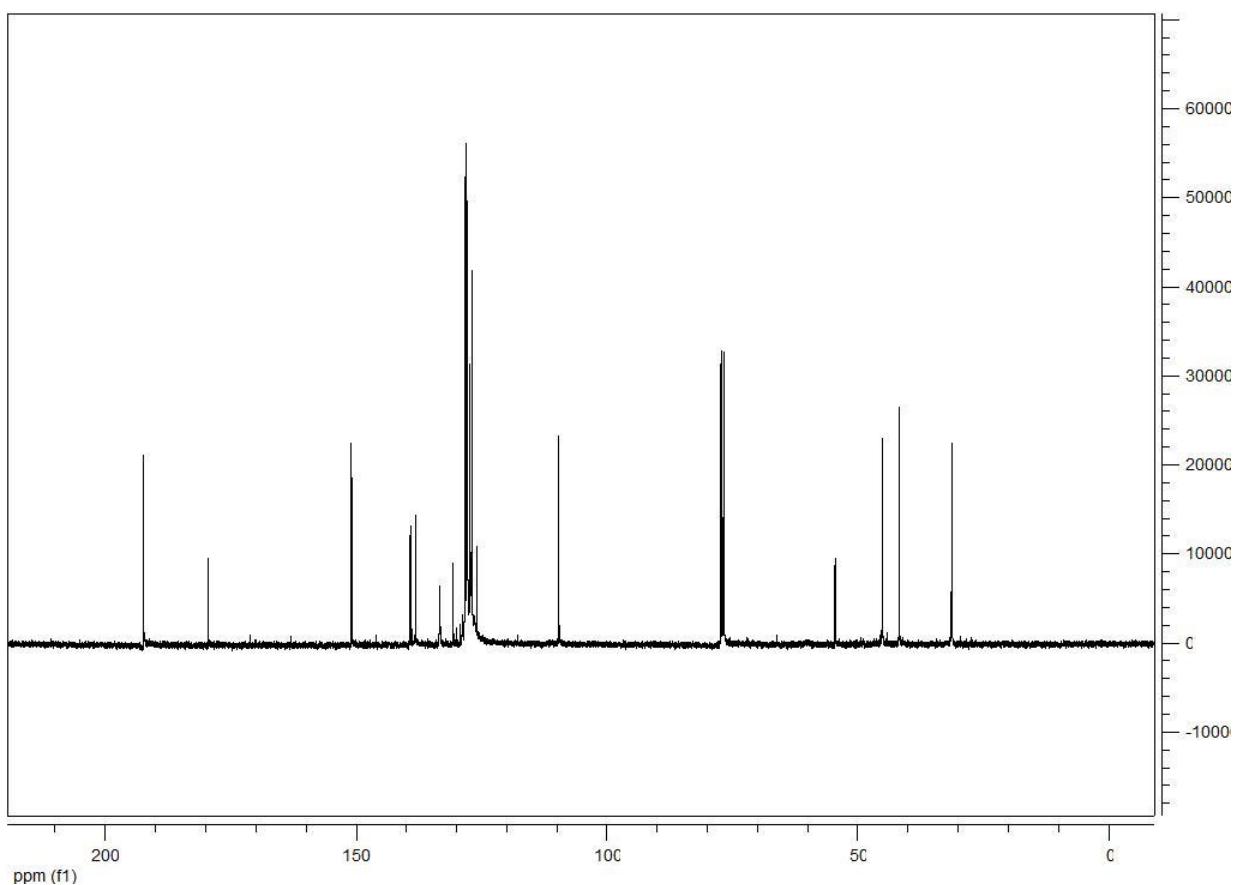
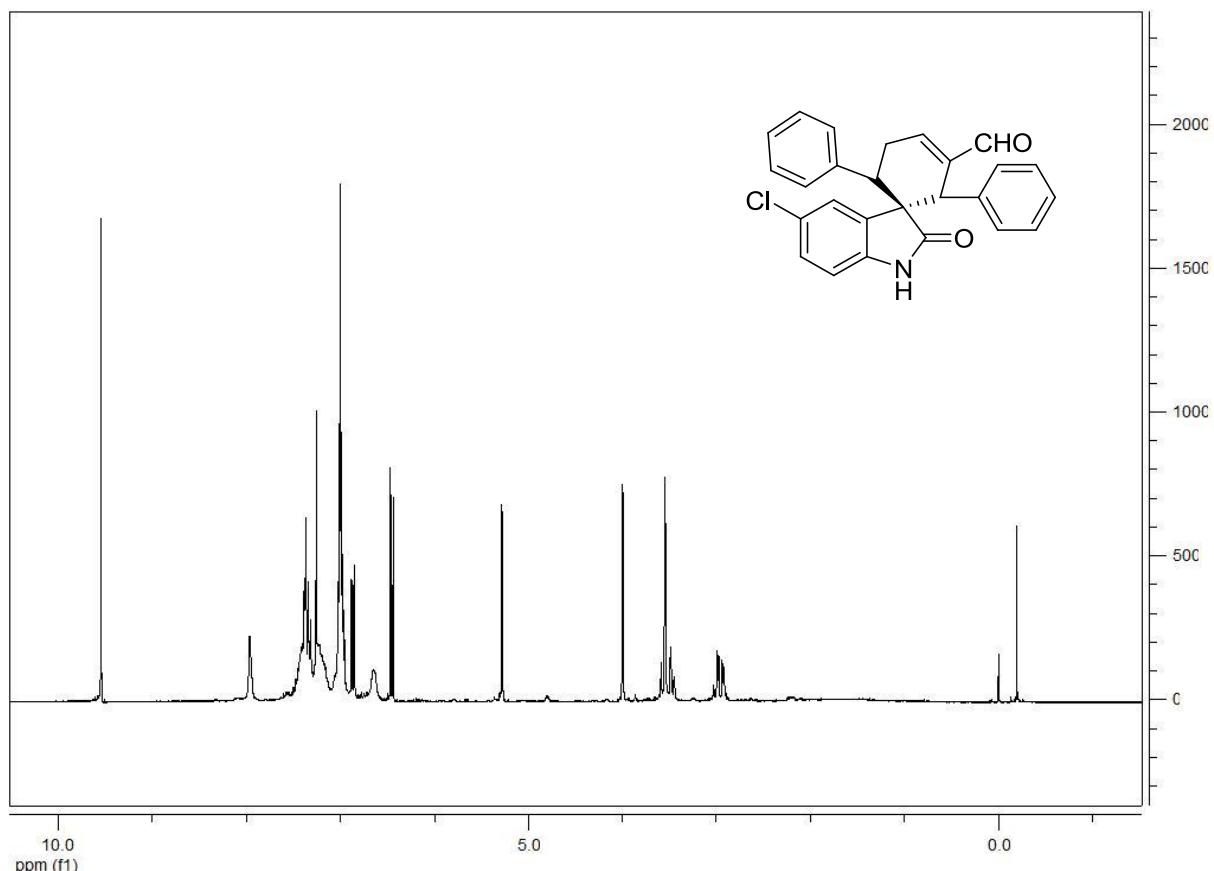


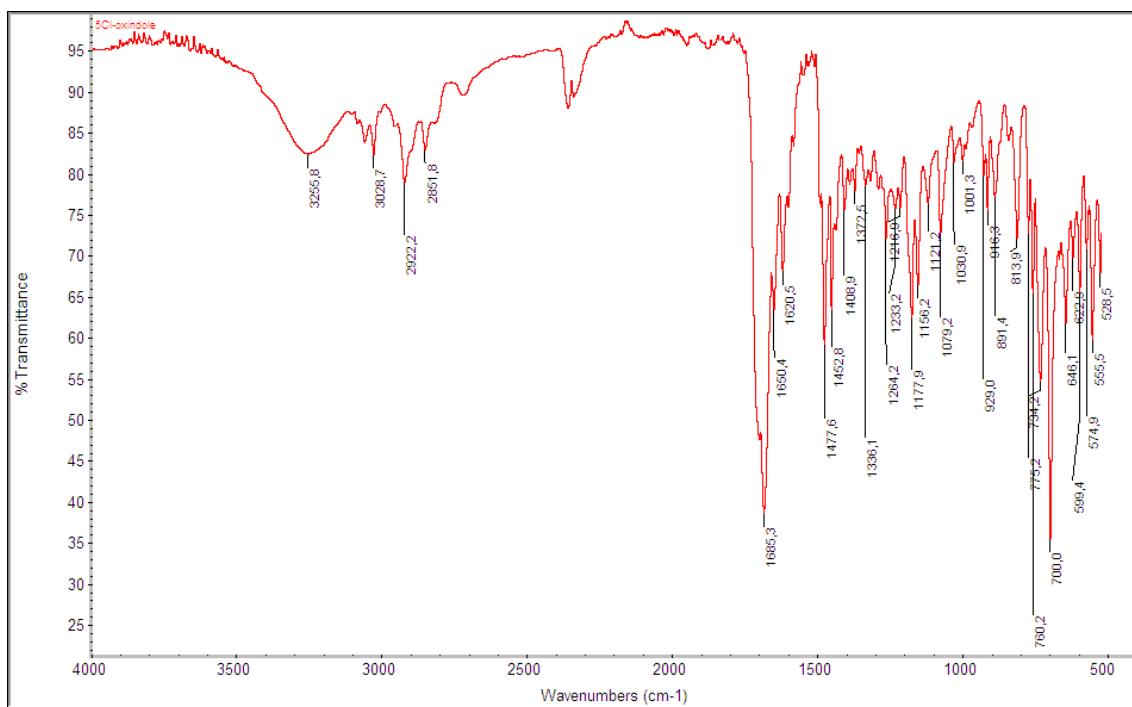
PeakTable

Detector A Ch1 254nm

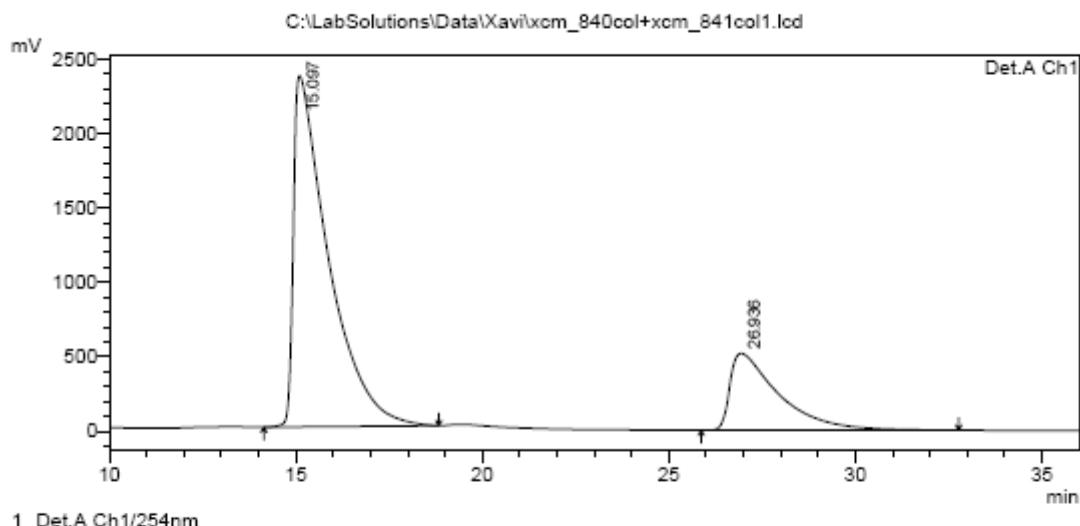
Peak#	Ret. Time	Area	Height	Area %	Height %
1	16.542	50940822	831369	99.915	99.923
2	28.224	43457	638	0.085	0.077
Total		50984279	832007	100.000	100.000

31





<Chromatogram>

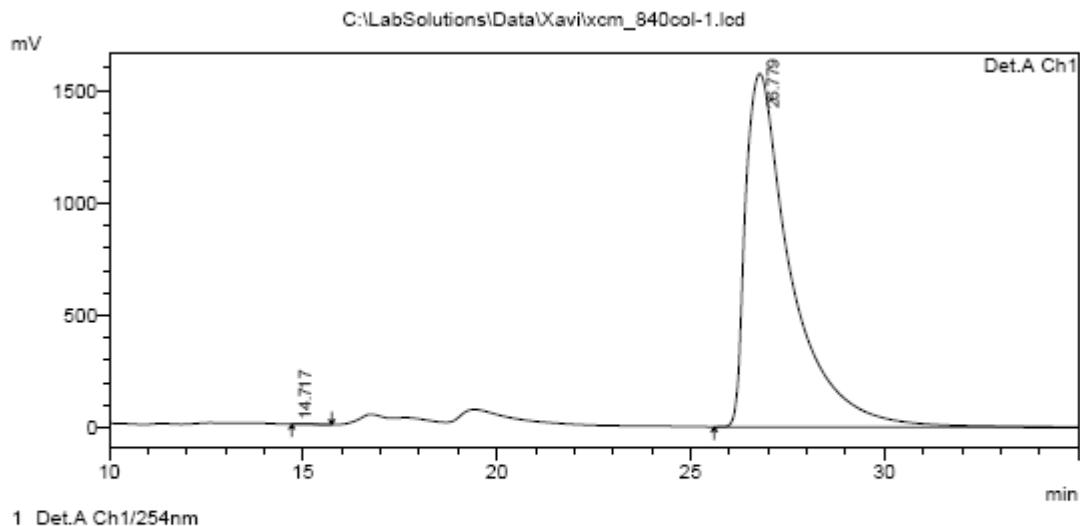


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	15.097	153463370	2358727	76.643	82.053
2	26.936	46766944	515920	23.357	17.947
Total		200230315	2874646	100.000	100.000

<Chromatogram>

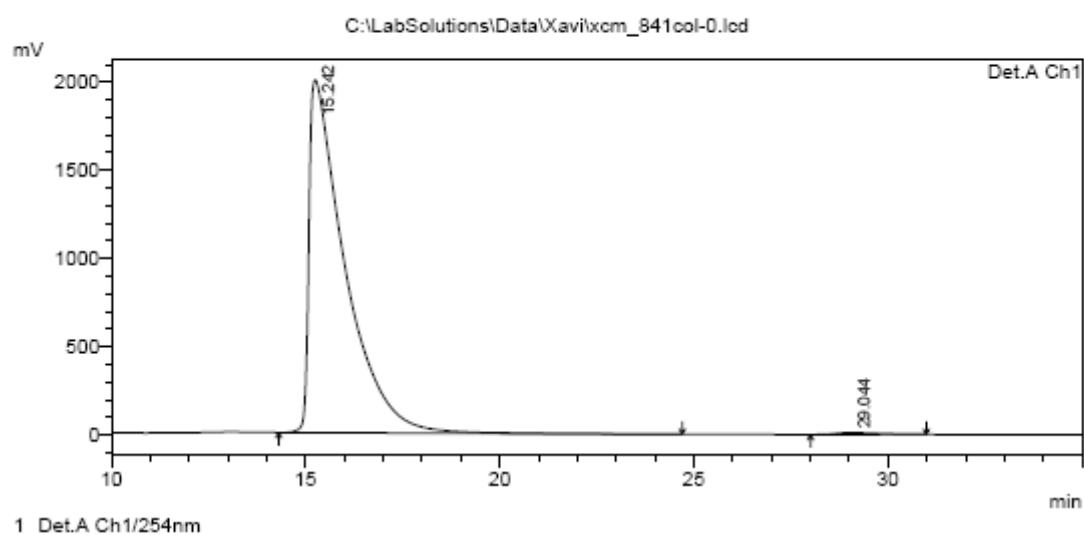


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	14.717	19727	11	0.015	0.001
2	26.779	128202823	1571239	99.985	99.999
Total		128222550	1571249	100.000	100.000

<Chromatogram>

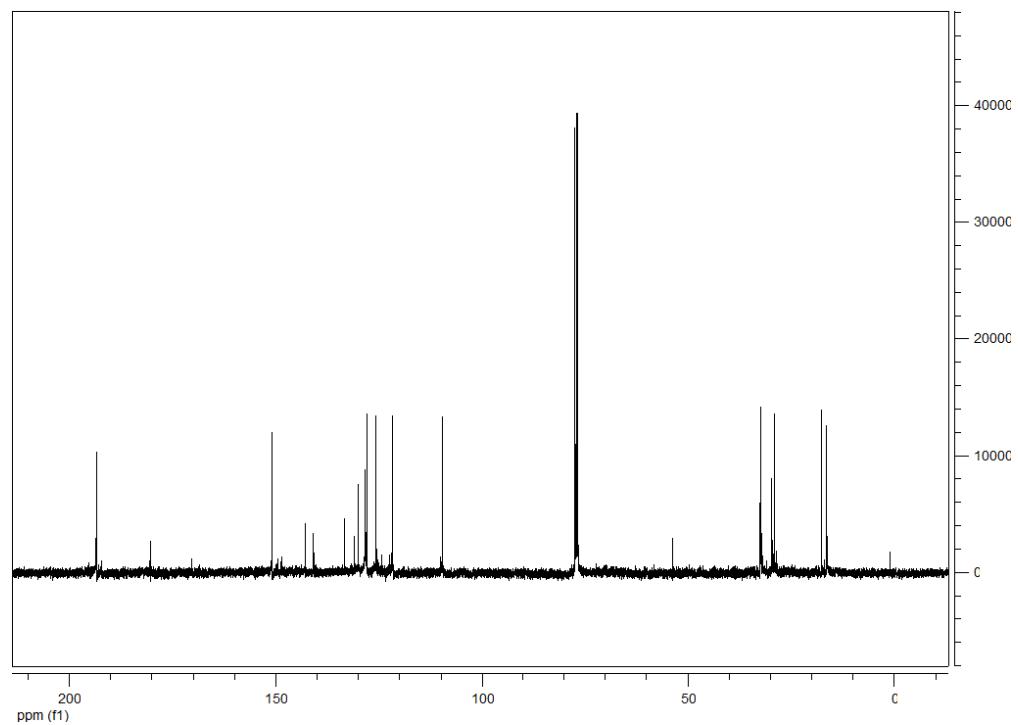
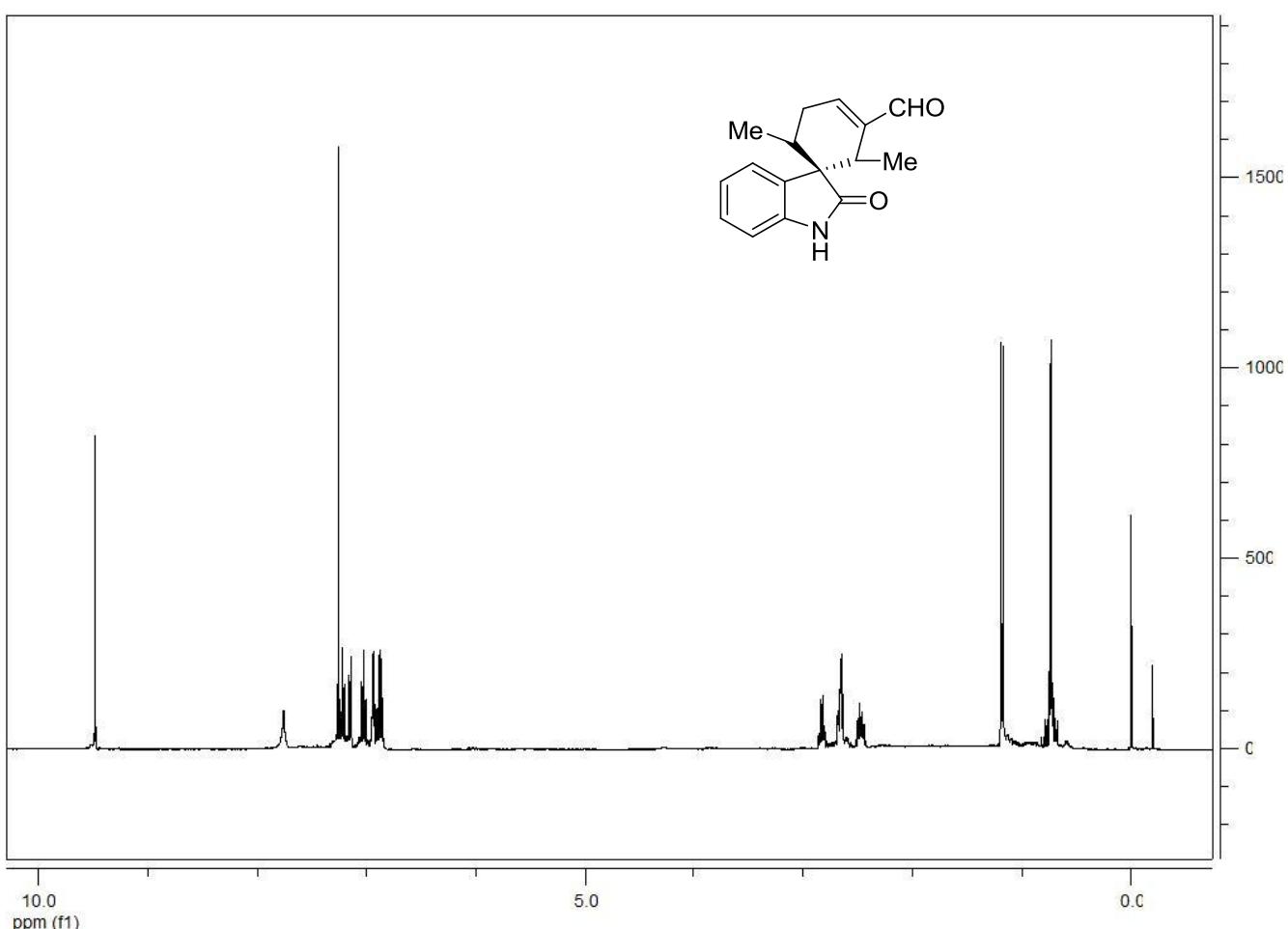


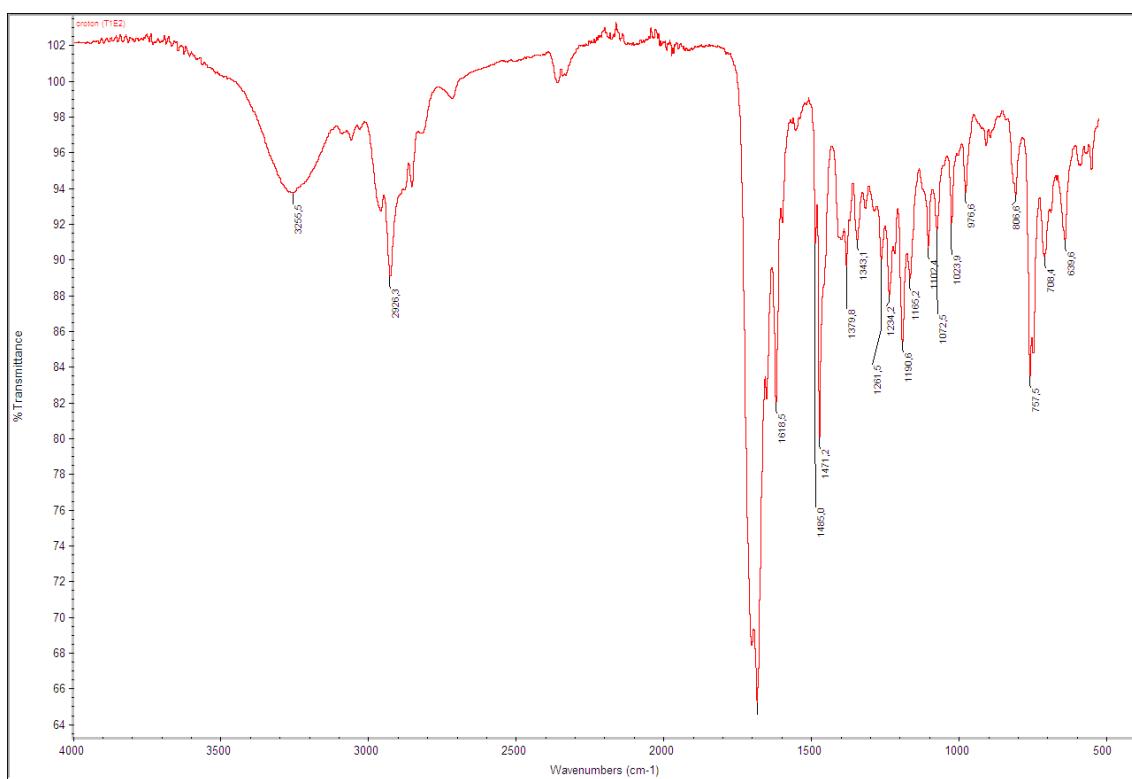
PeakTable

Detector A Ch1 254nm

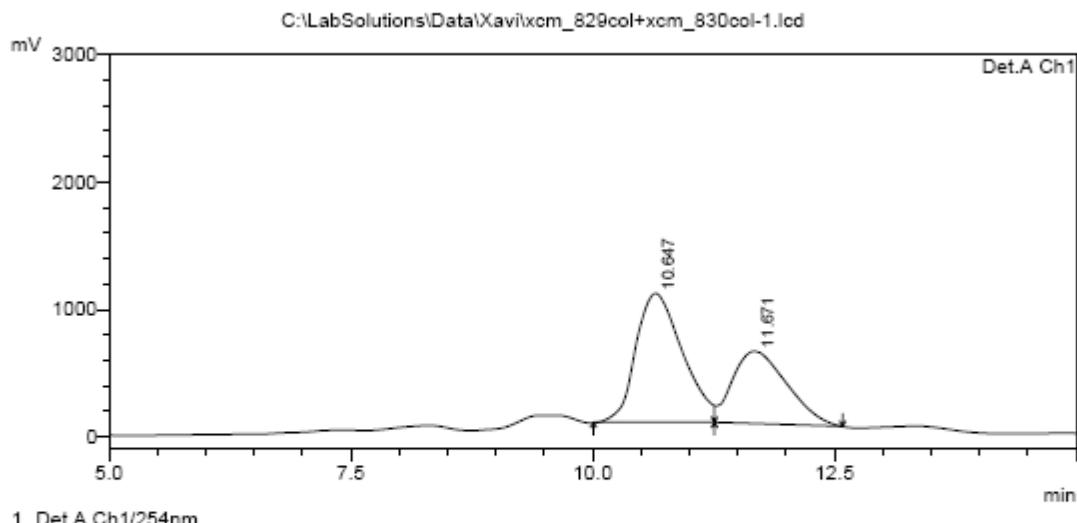
Peak#	Ret. Time	Area	Height	Area %	Height %
1	15.242	127639622	1998901	99.412	99.494
2	29.044	754654	10164	0.588	0.506
Total		128394276	2009065	100.000	100.000

3b





<Chromatogram>

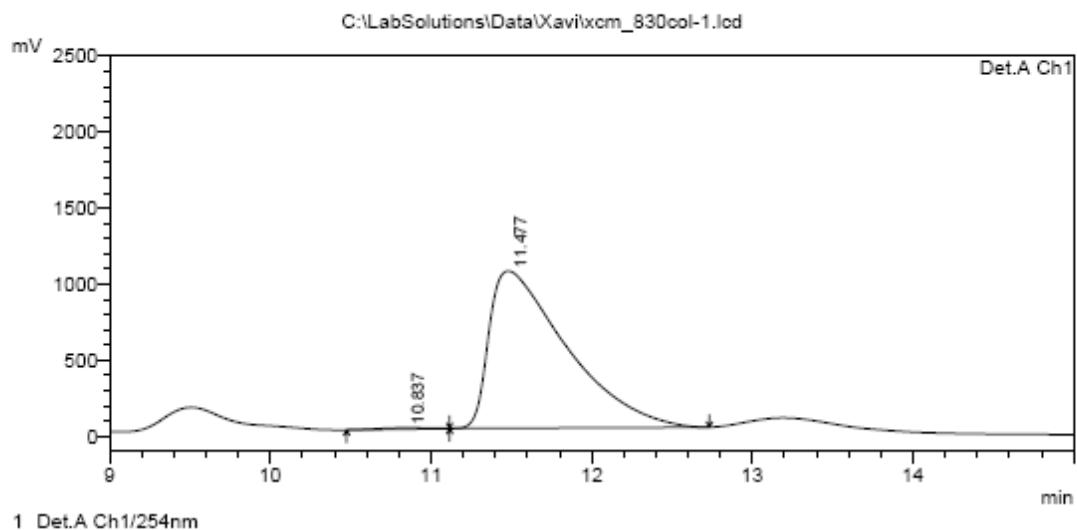


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	10.647	33808568	1011029	60.415	63.990
2	11.671	22152336	568940	39.585	36.010
Total		55960904	1579969	100.000	100.000

<Chromatogram>

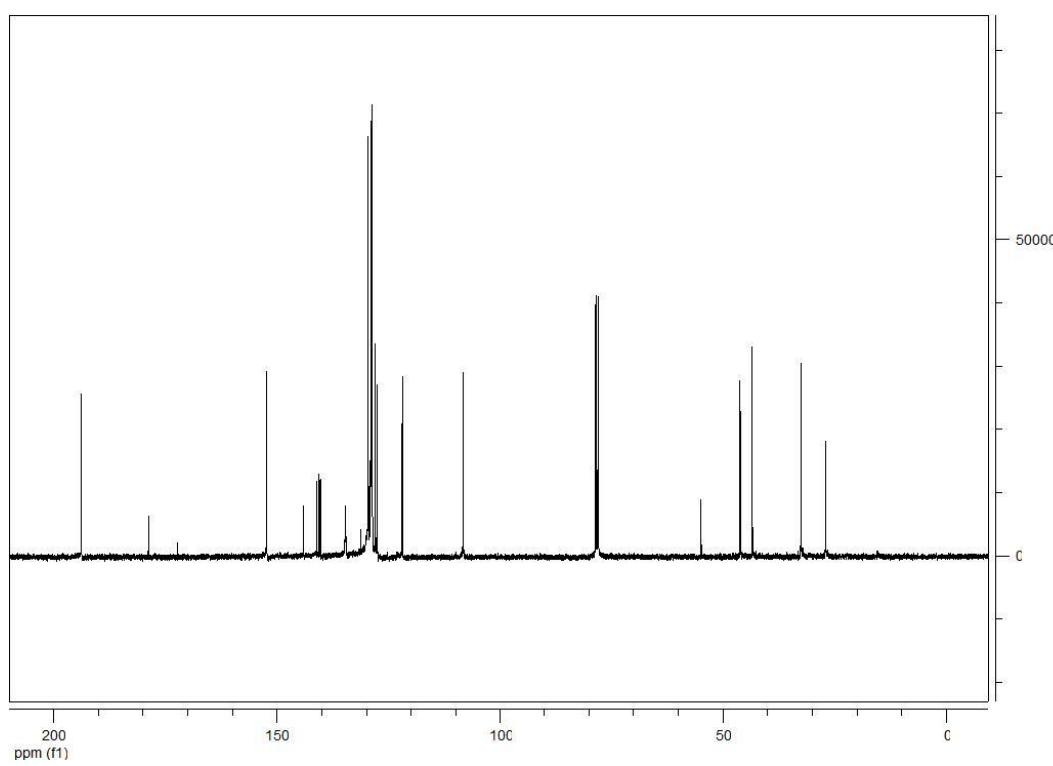
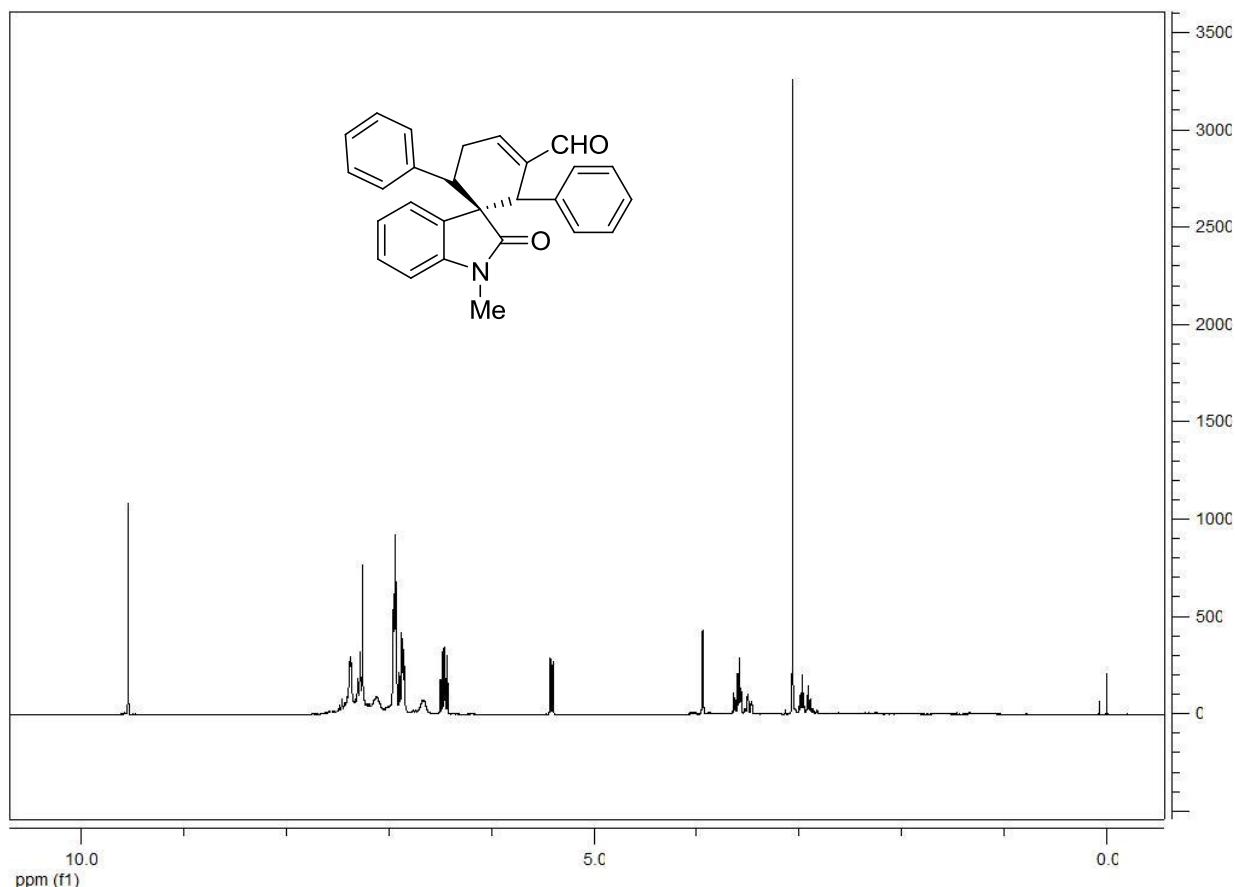


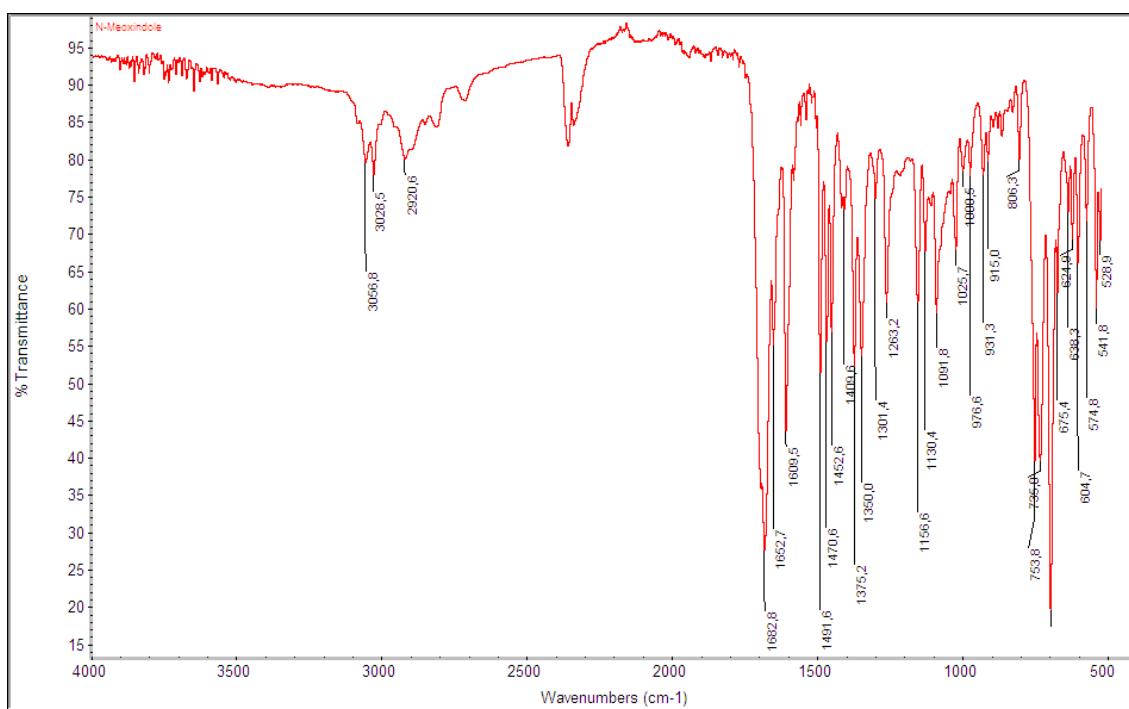
PeakTable

Detector A Ch1 254nm

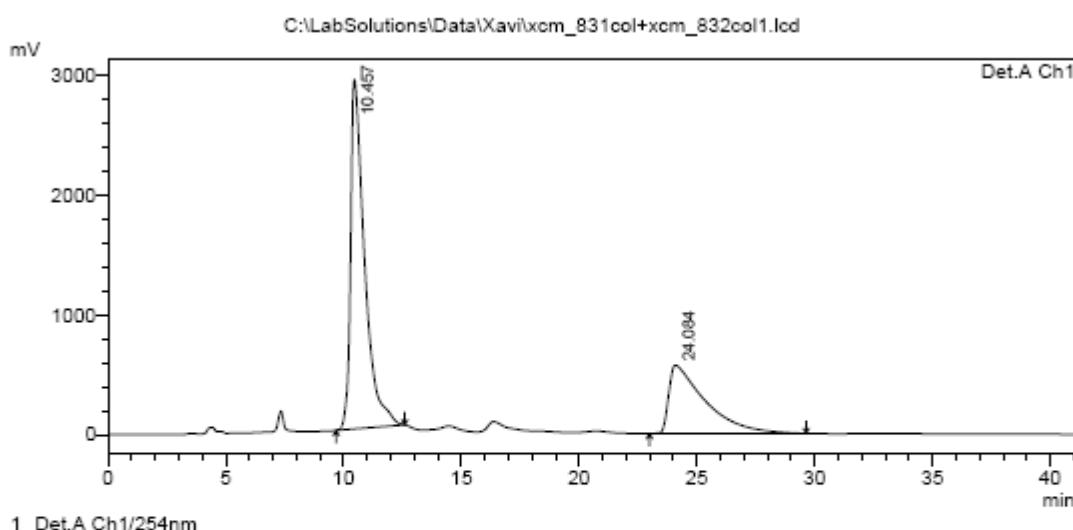
Peak#	Ret. Time	Area	Height	Area %	Height %
1	10.837	175906	8341	0.506	0.801
2	11.477	34583478	1033246	99.494	99.199
Total		34759384	1041587	100.000	100.000

3k





<Chromatogram>

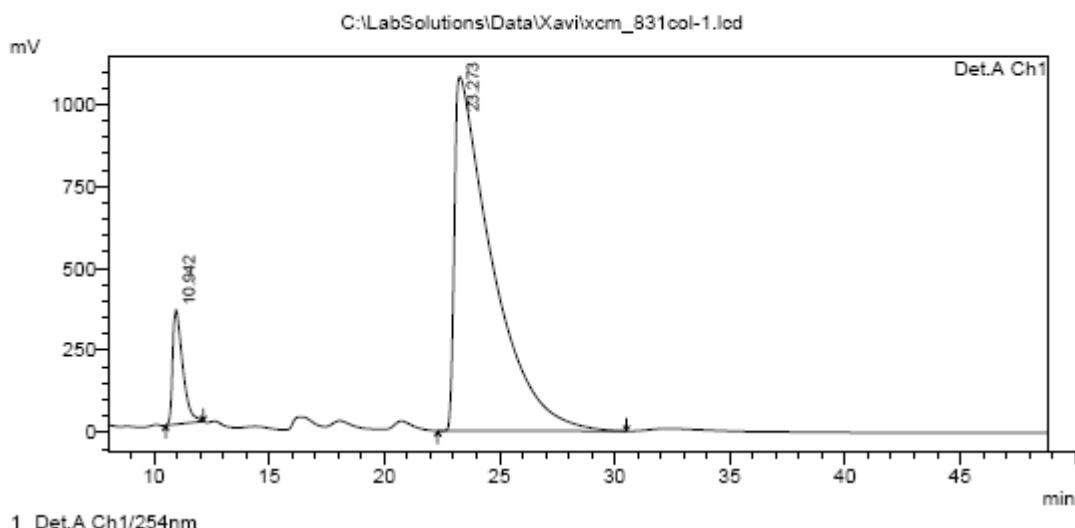


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	10.457	120530801	2915321	66.408	83.648
2	24.084	60968330	569910	33.592	16.352
Total		181499131	3485231	100.000	100.000

<Chromatogram>

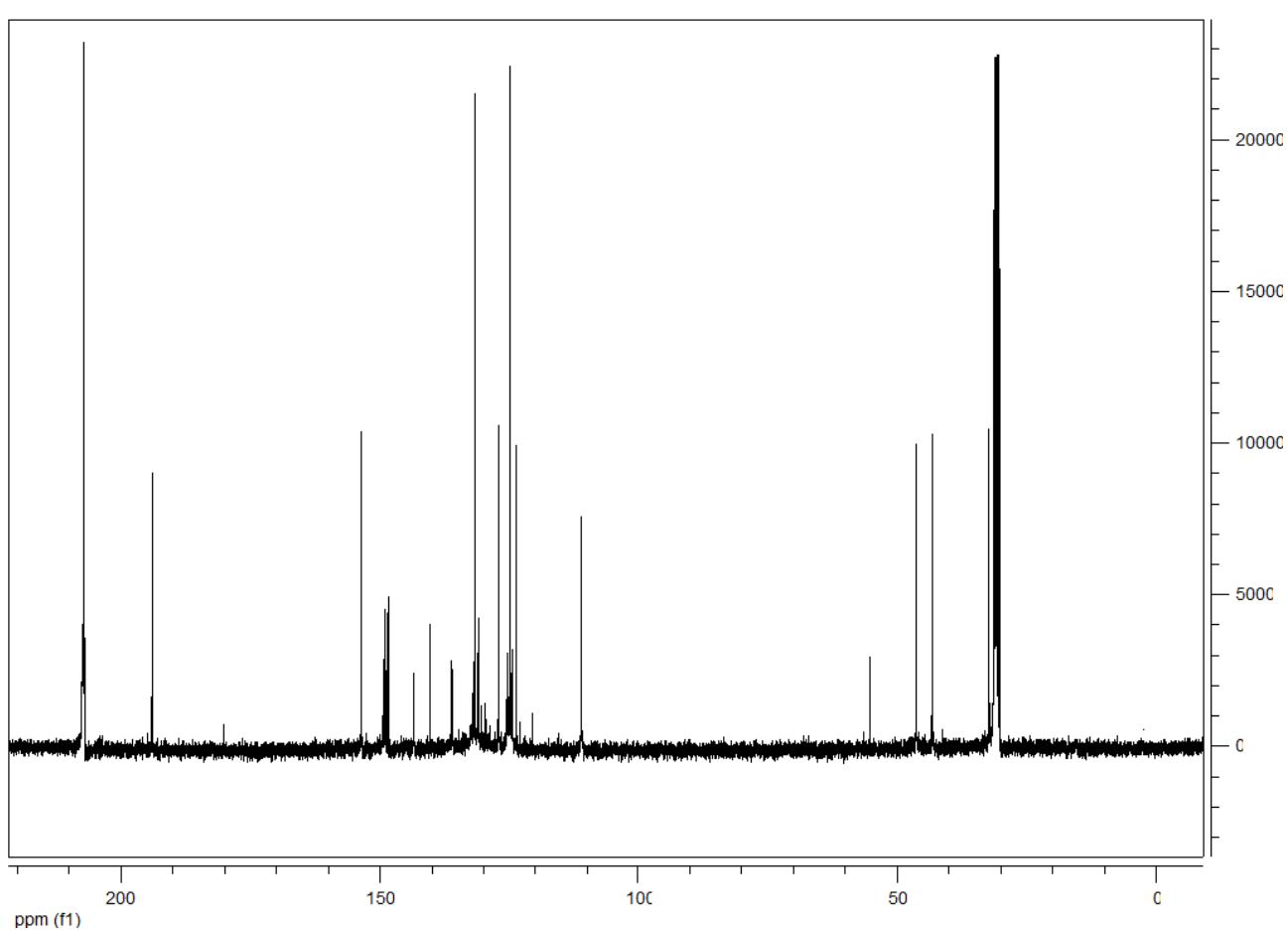
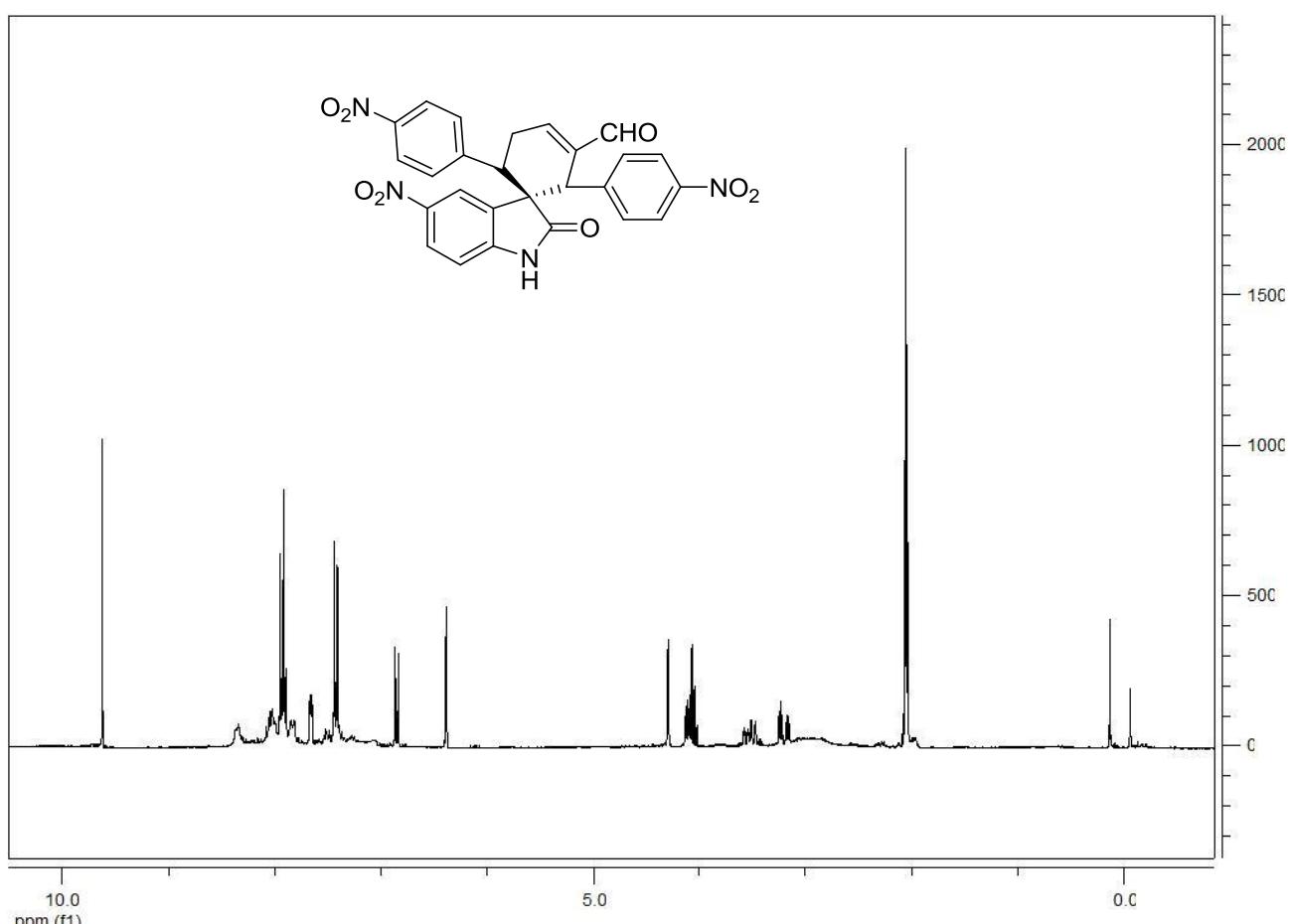


PeakTable

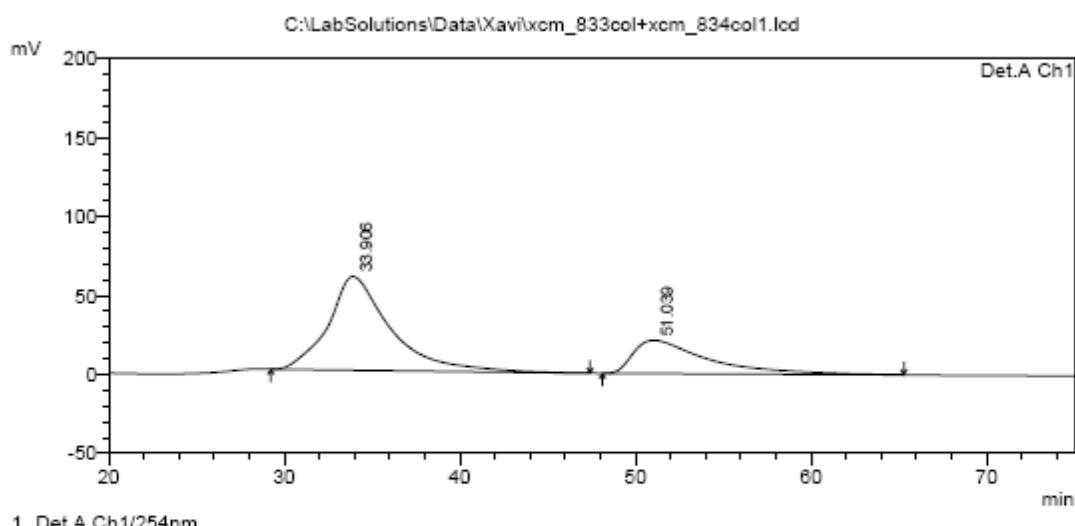
Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	10.942	10672332	348866	8.033	24.440
2	23.273	122189074	1078564	91.967	75.560
Total		132861406	1427430	100.000	100.000

3h



<Chromatogram>

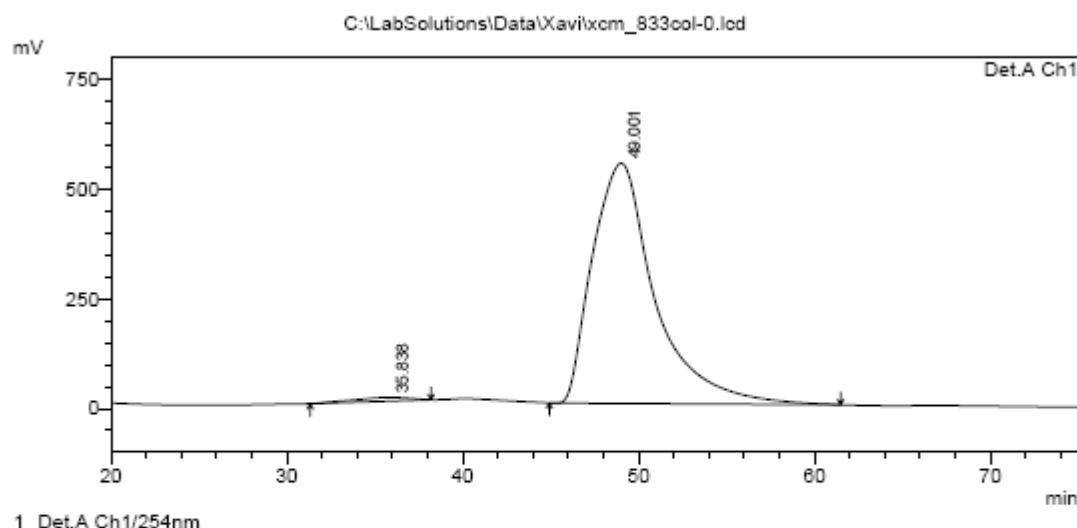


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	33.906	14608385	59355	70.293	73.777
2	51.039	6173604	21097	29.707	26.223
Total		20781988	80452	100.000	100.000

<Chromatogram>

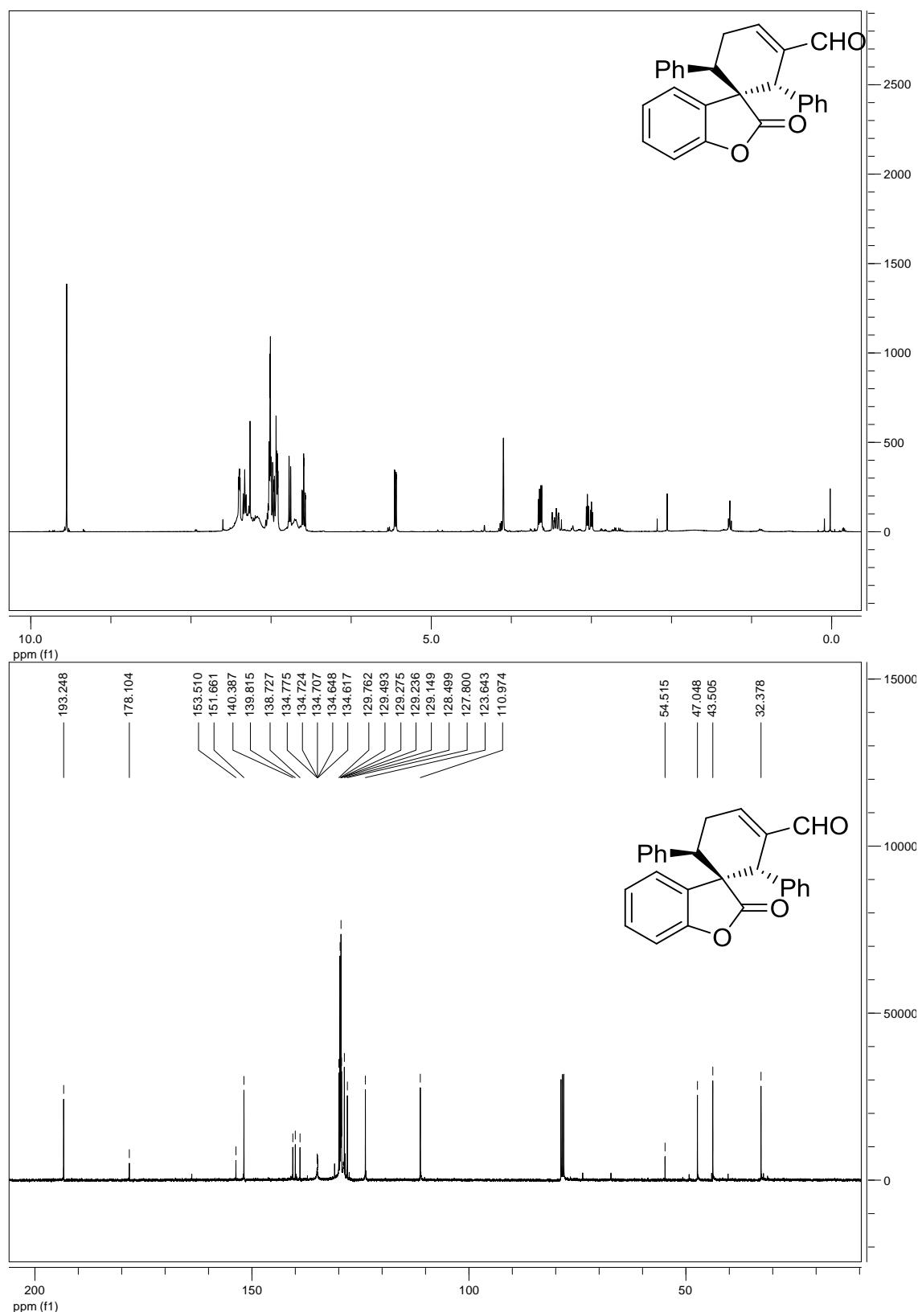


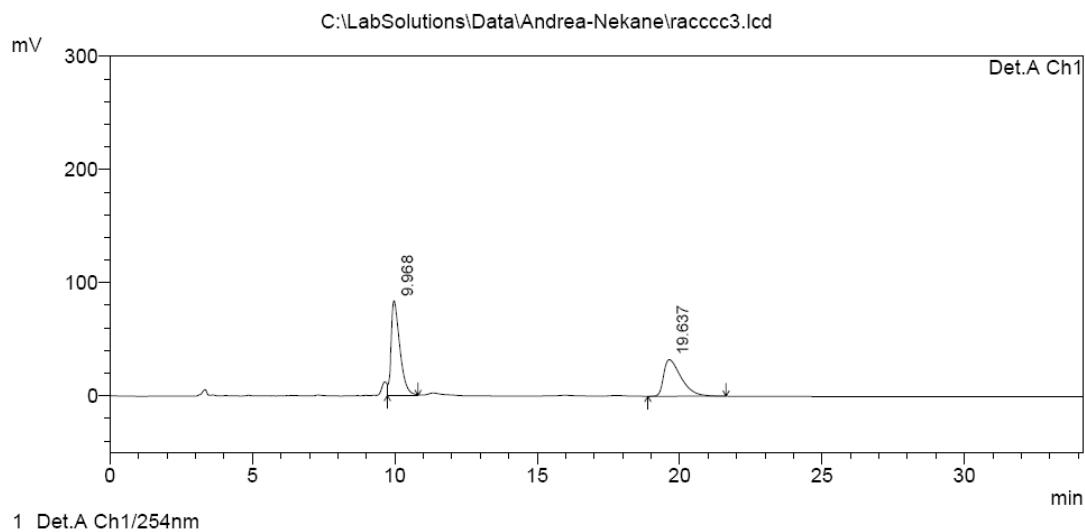
PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	35.838	1885852	8402	1.374	1.510
2	49.001	135396829	547849	98.626	98.490
Total		137282681	556251	100.000	100.000

5a



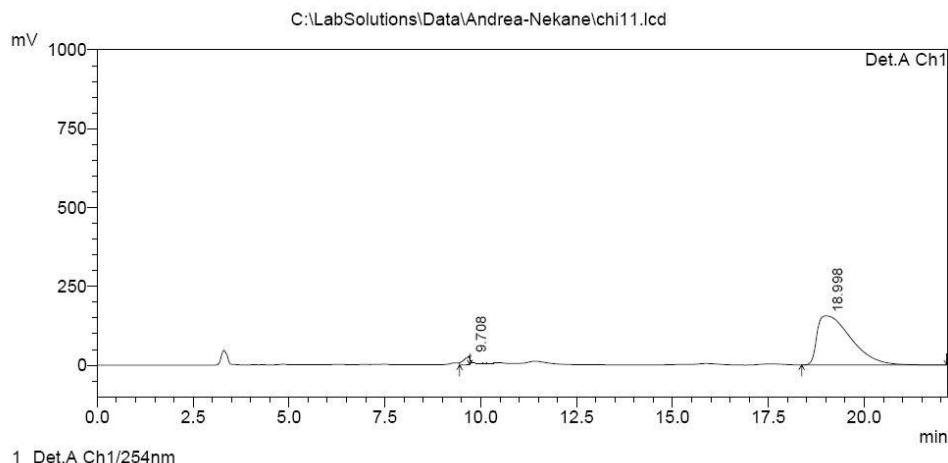


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	9.968	1789216	83822	56.520	72.169
2	19.637	1376394	32325	43.480	27.831
Total		3165610	116147	100.000	100.000

Cat-(R)

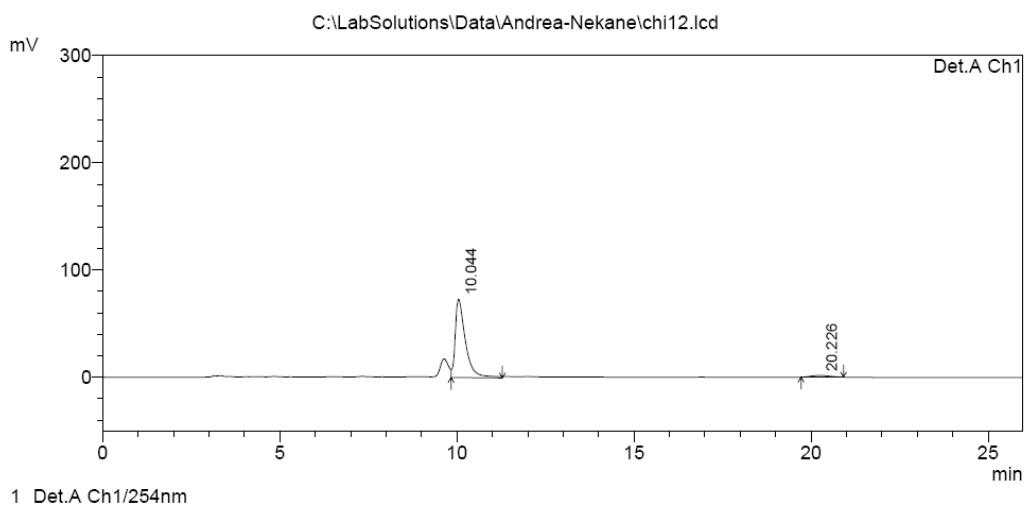


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	9.708	285600	27120	2.886	14.842
2	18.998	9608879	155611	97.114	85.158
Total		9894478	182731	100.000	100.000

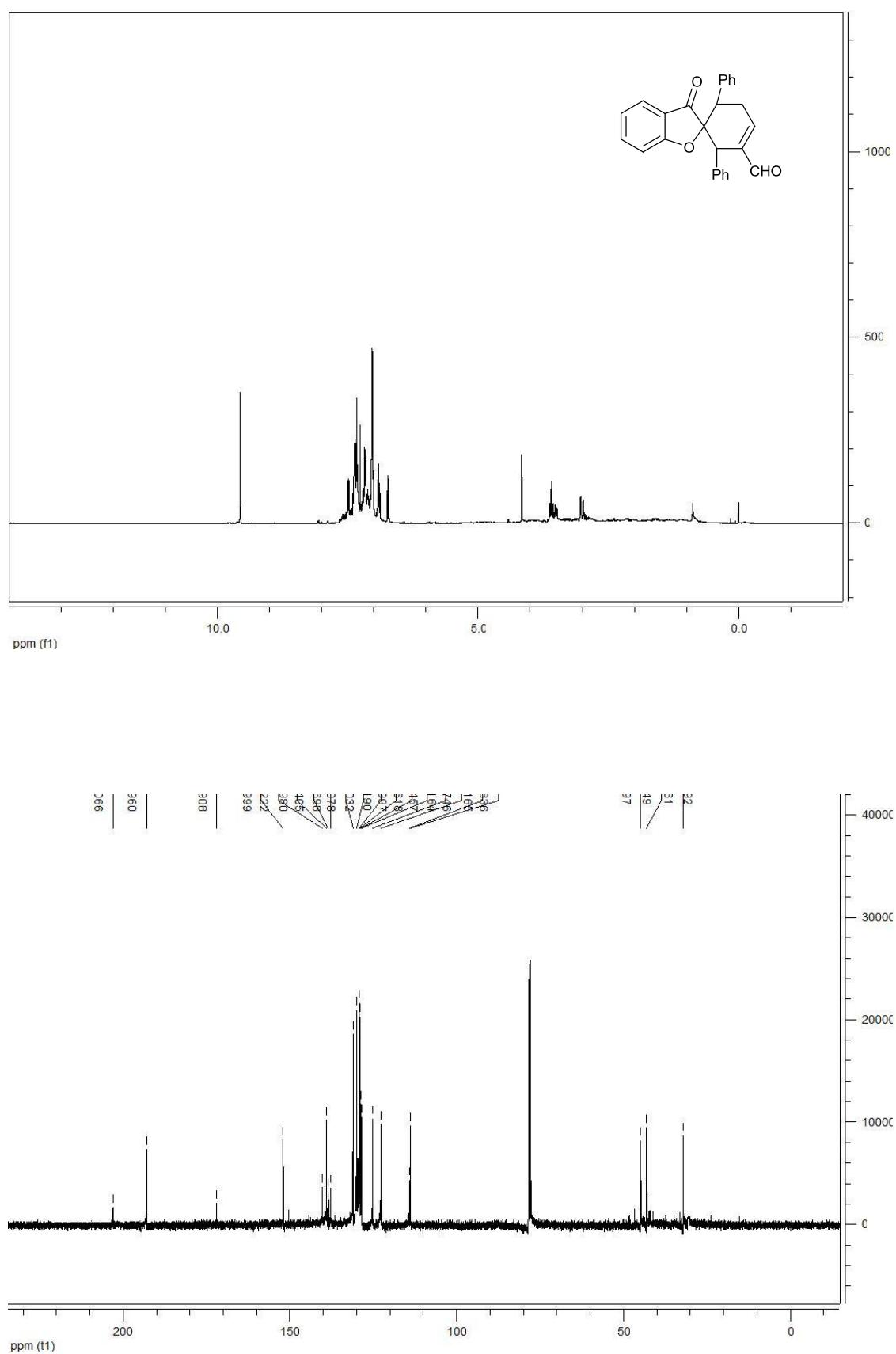
Cat-(S)



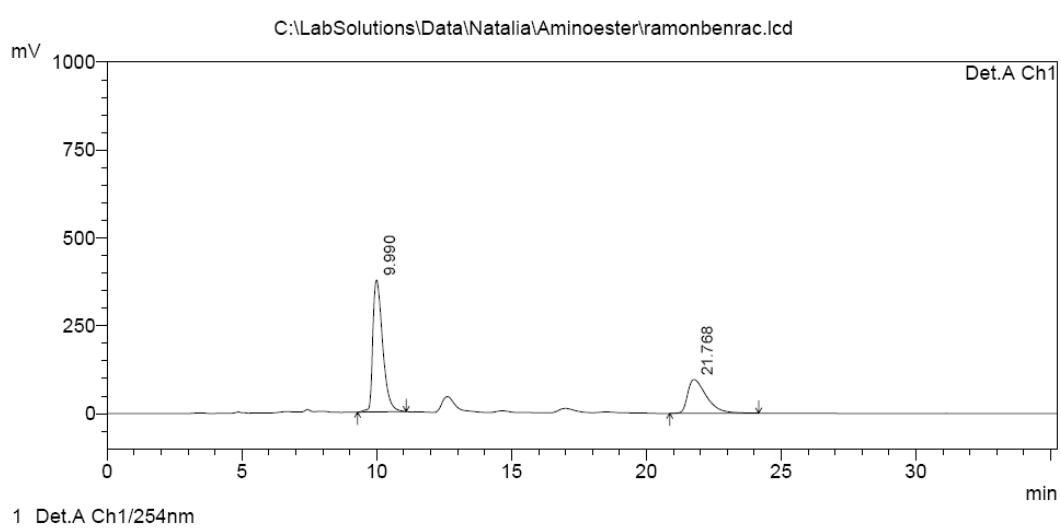
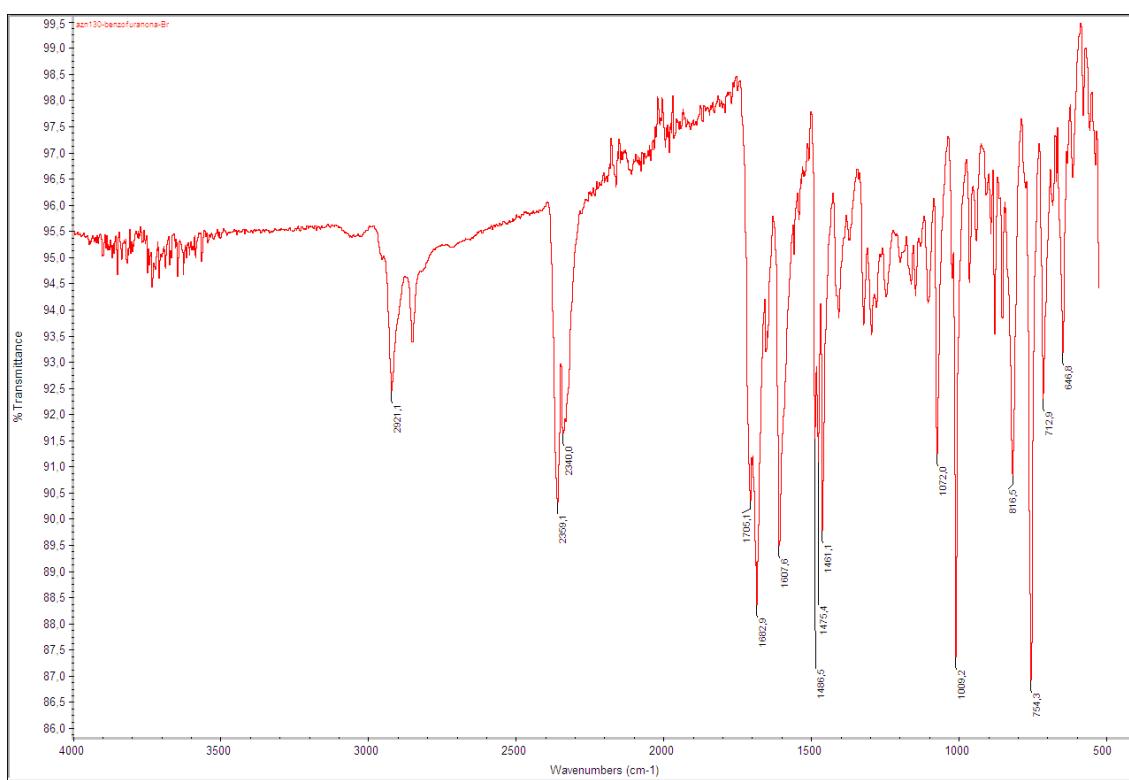
PeakTable

Detector A Ch1 254nm					
Peak#	Ret. Time	Area	Height	Area %	Height %
1	10.044	1470255	73113	96.362	97.846
2	20.226	55514	1609	3.638	2.154
Total		1525769	74722	100.000	100.000

6a



IR

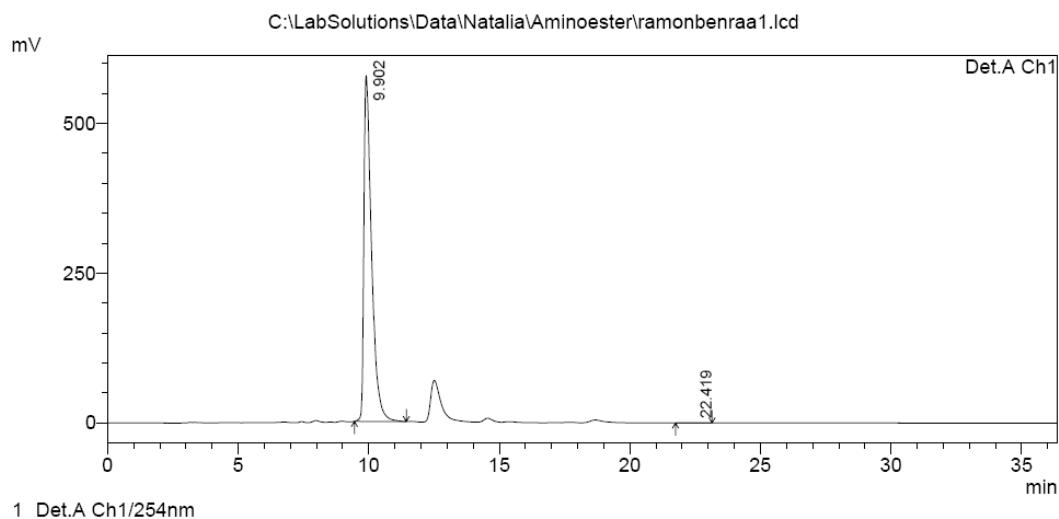


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	9.990	9511135	375462	67.307	79.674
2	21.768	4619752	95787	32.693	20.326
Total		14130887	471249	100.000	100.000

Cat-(S)

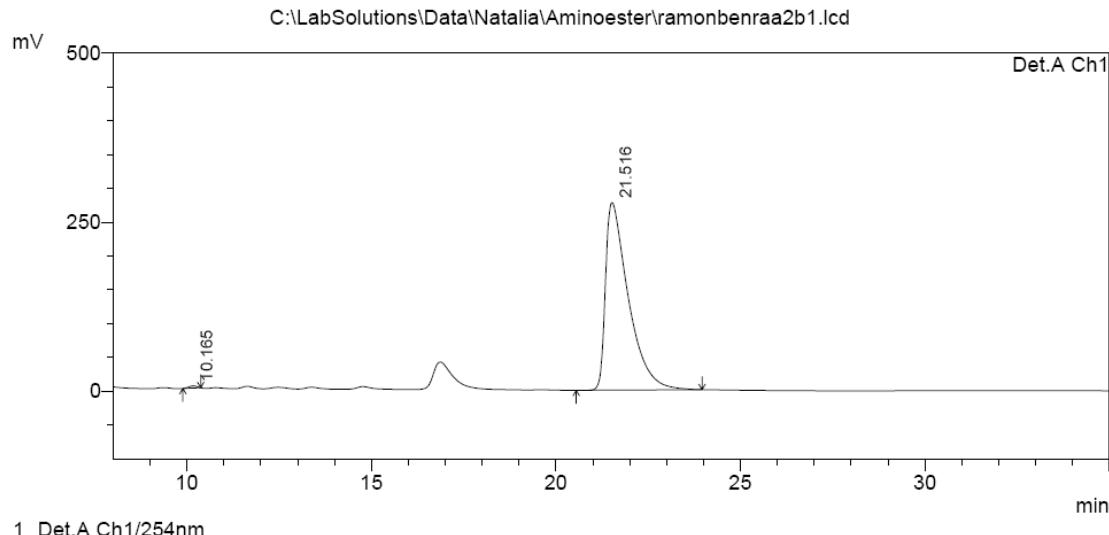


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	9.902	11832269	577383	99.921	99.961
2	22.419	9385	227	0.079	0.039
Total		11841654	577610	100.000	100.000

Cat-(R)

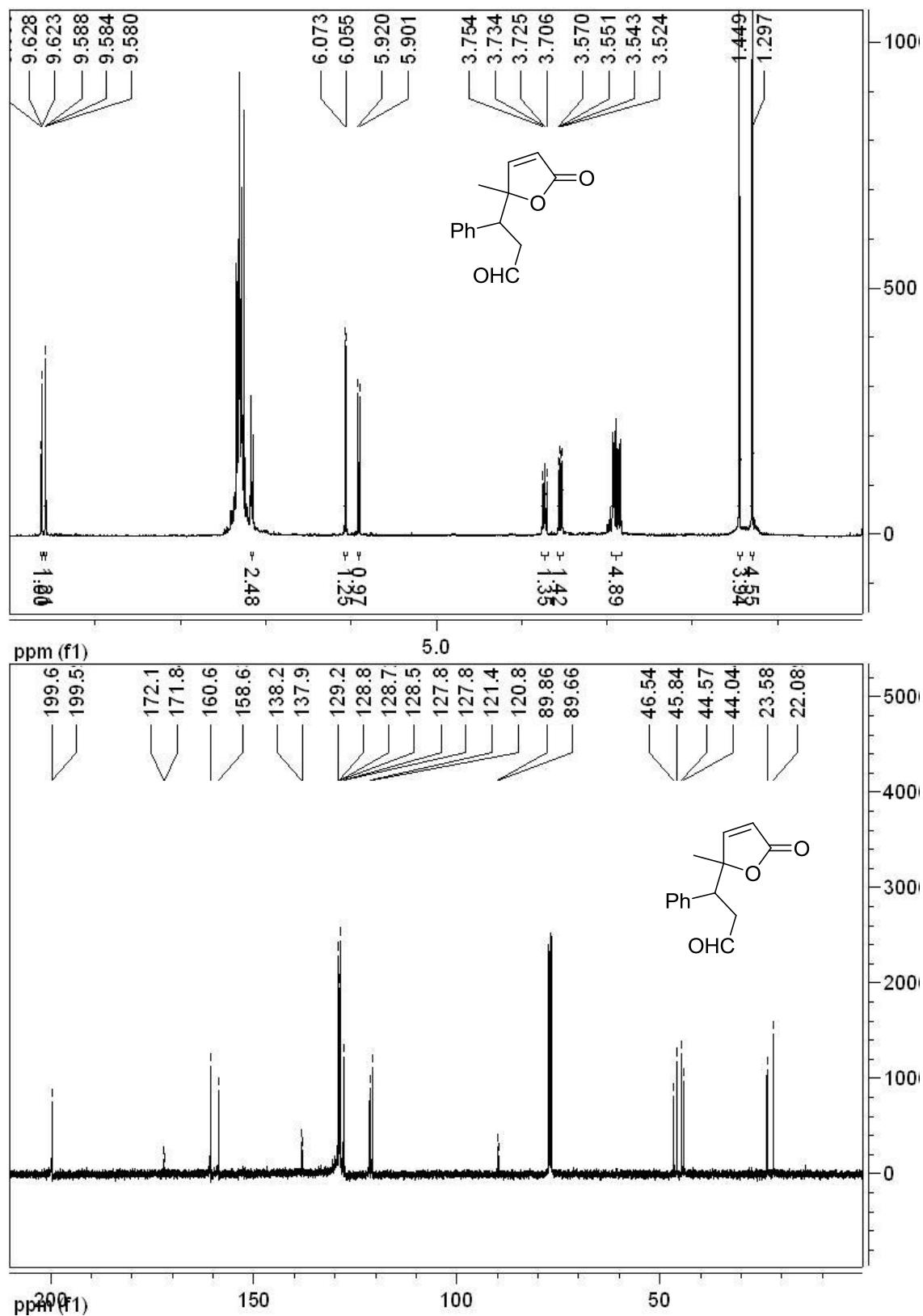


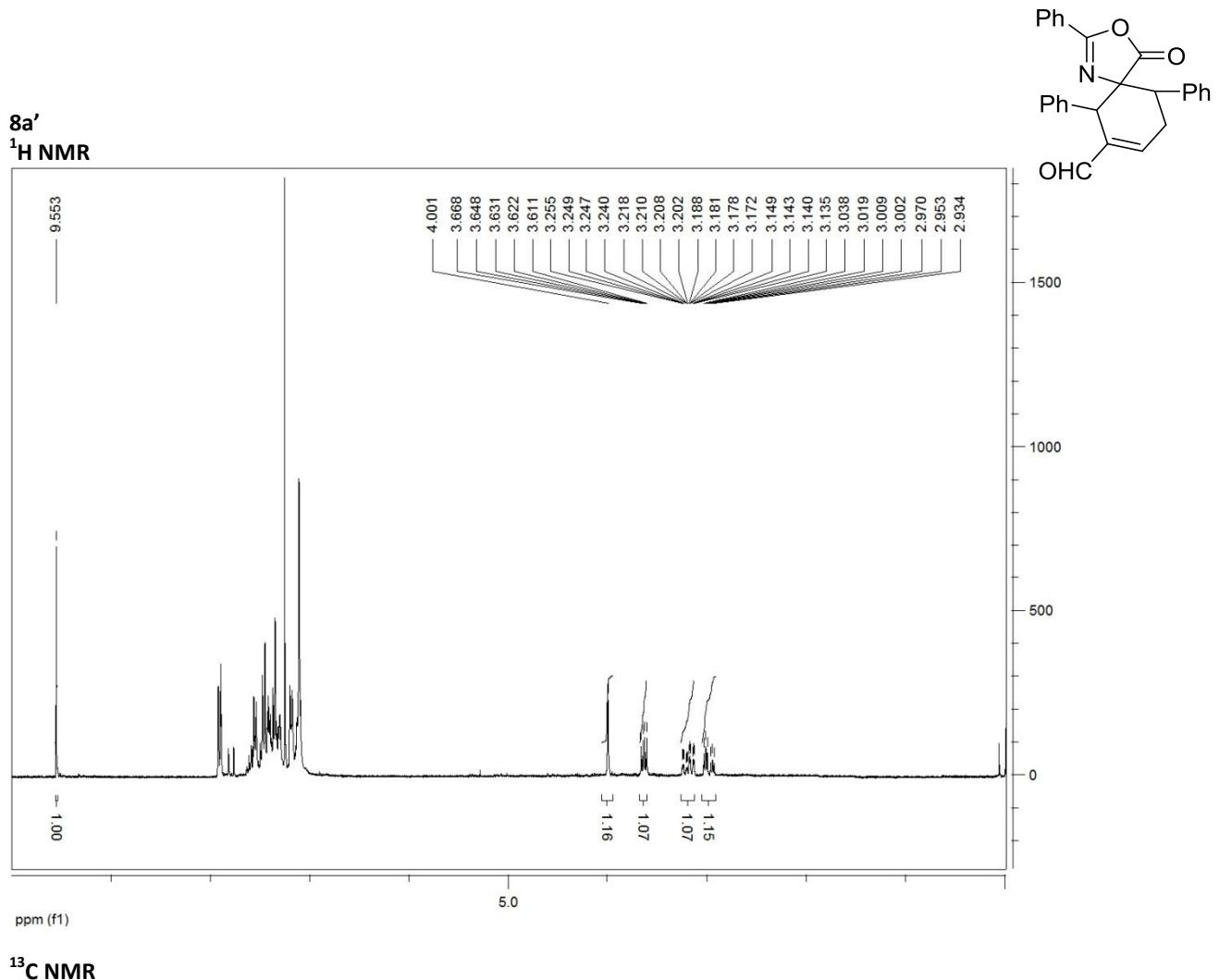
PeakTable

Detector A Ch1 254nm

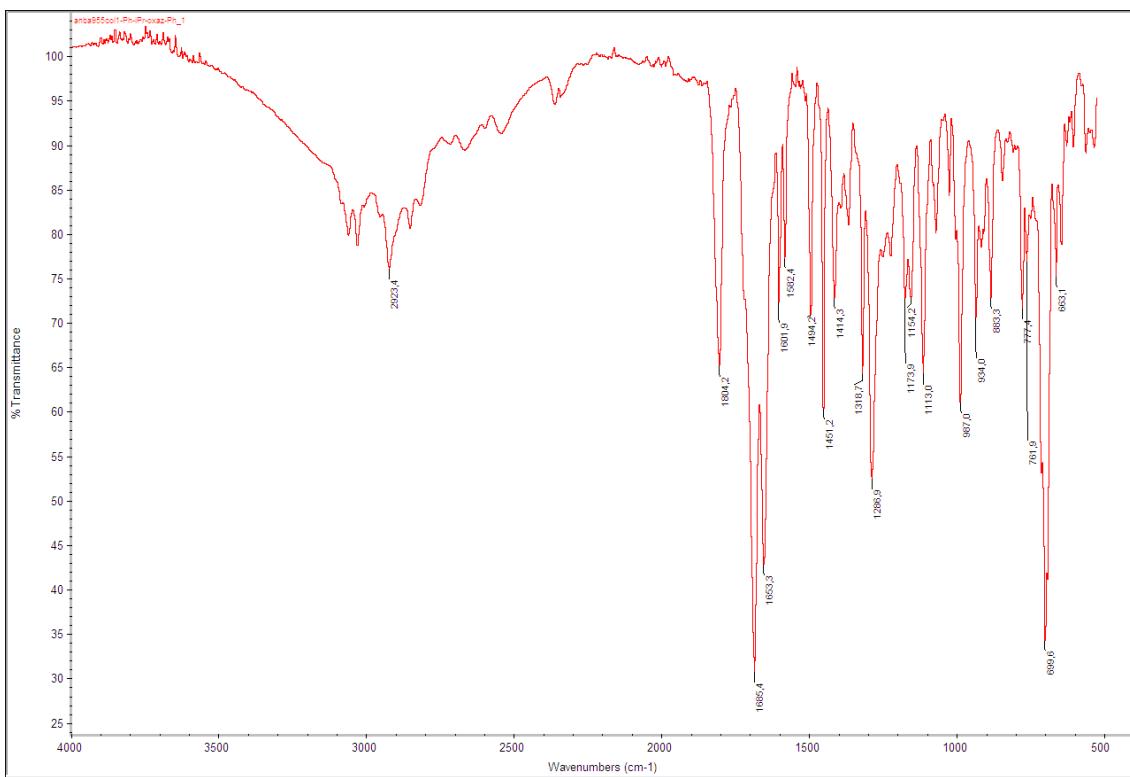
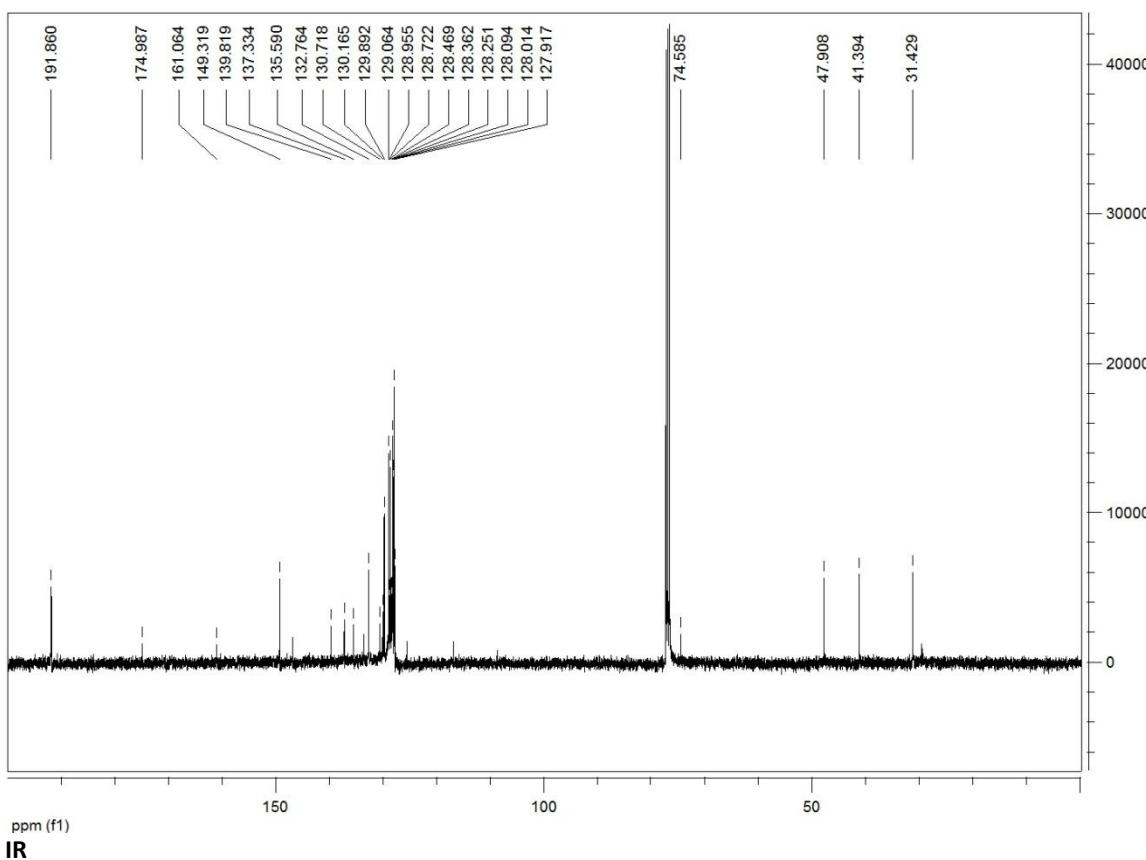
Peak#	Ret. Time	Area	Height	Area %	Height %
1	10.165	48608	3143	0.405	1.119
2	21.516	11954456	277665	99.595	98.881
Total		12003065	280808	100.000	100.000

7a

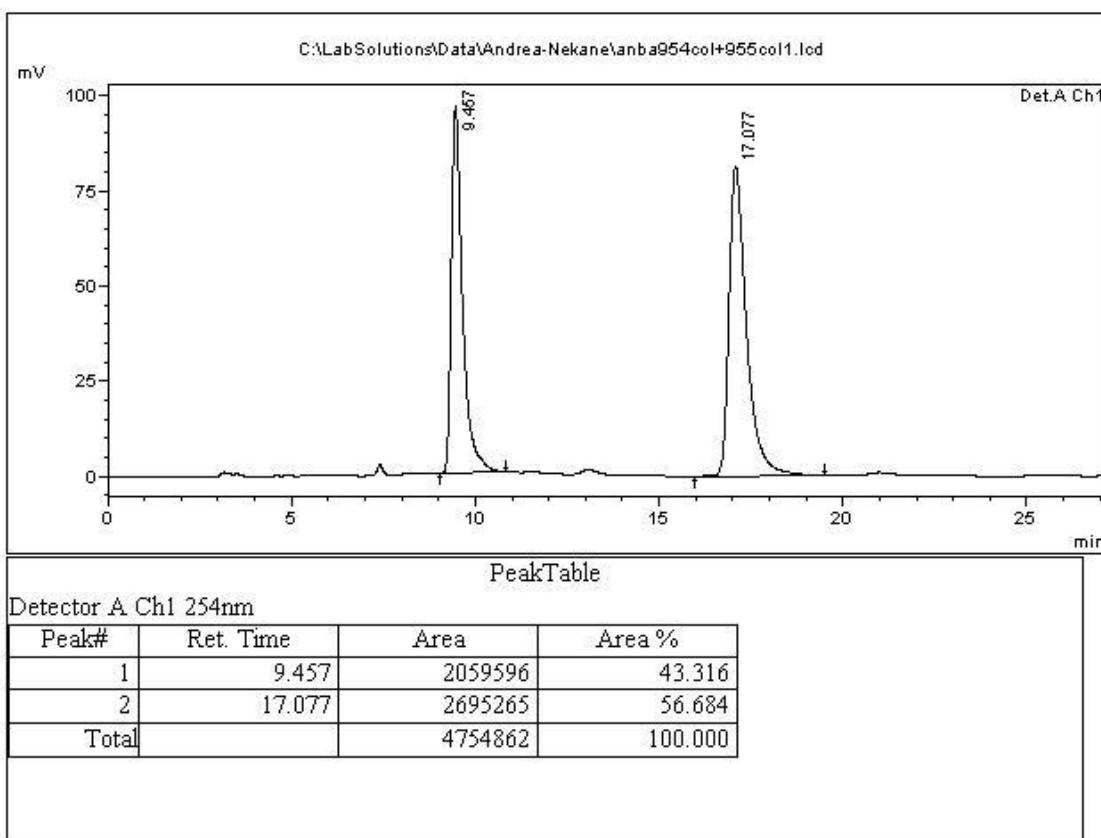




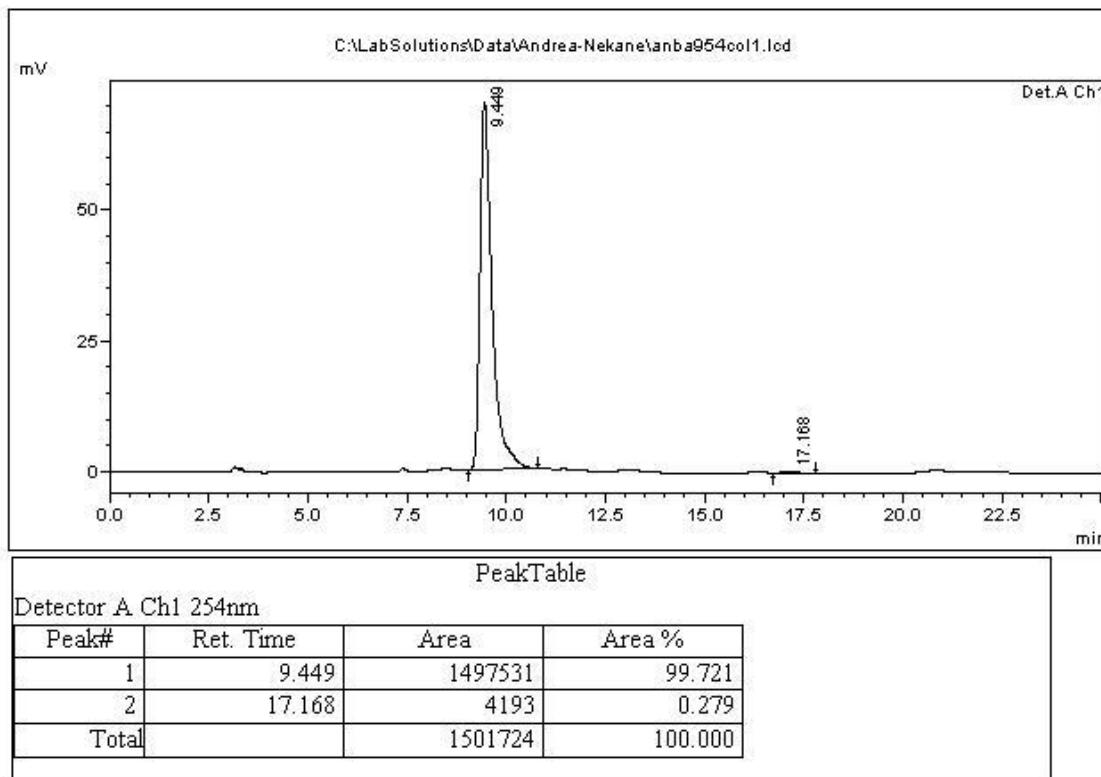
¹³C NMR



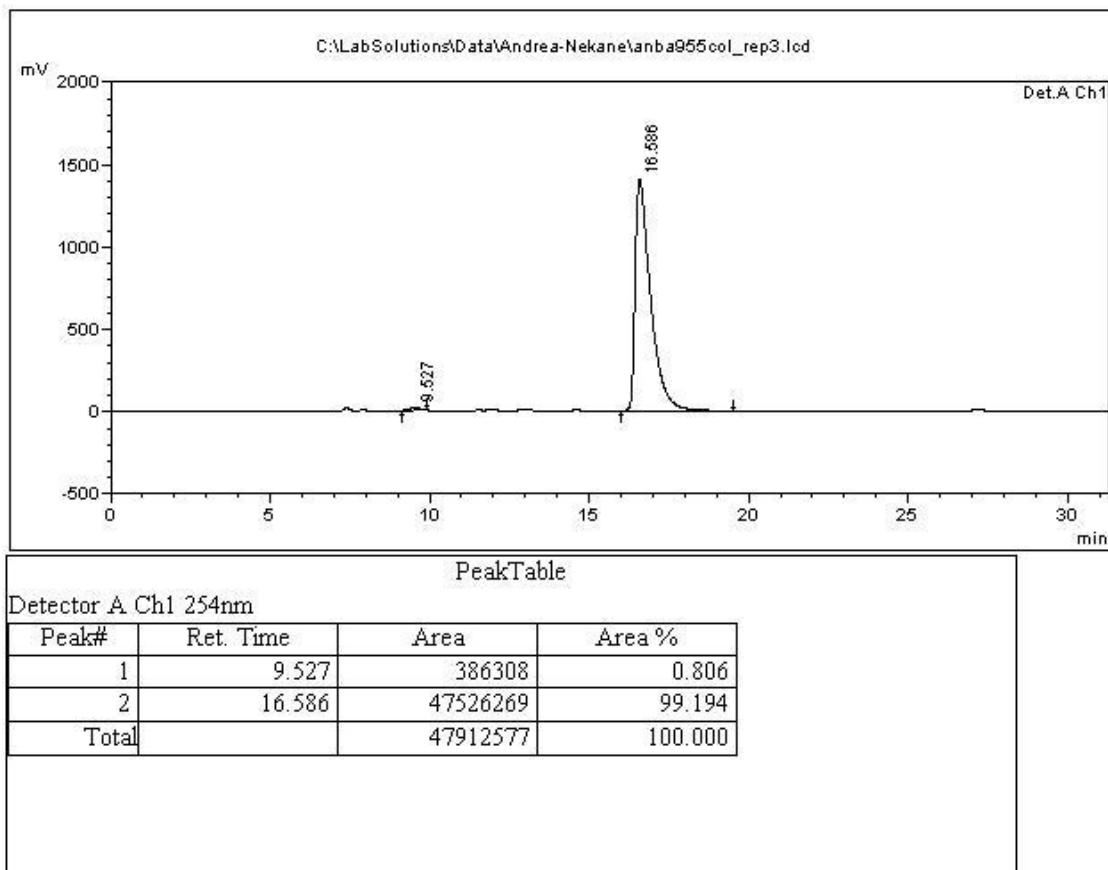
HPLC



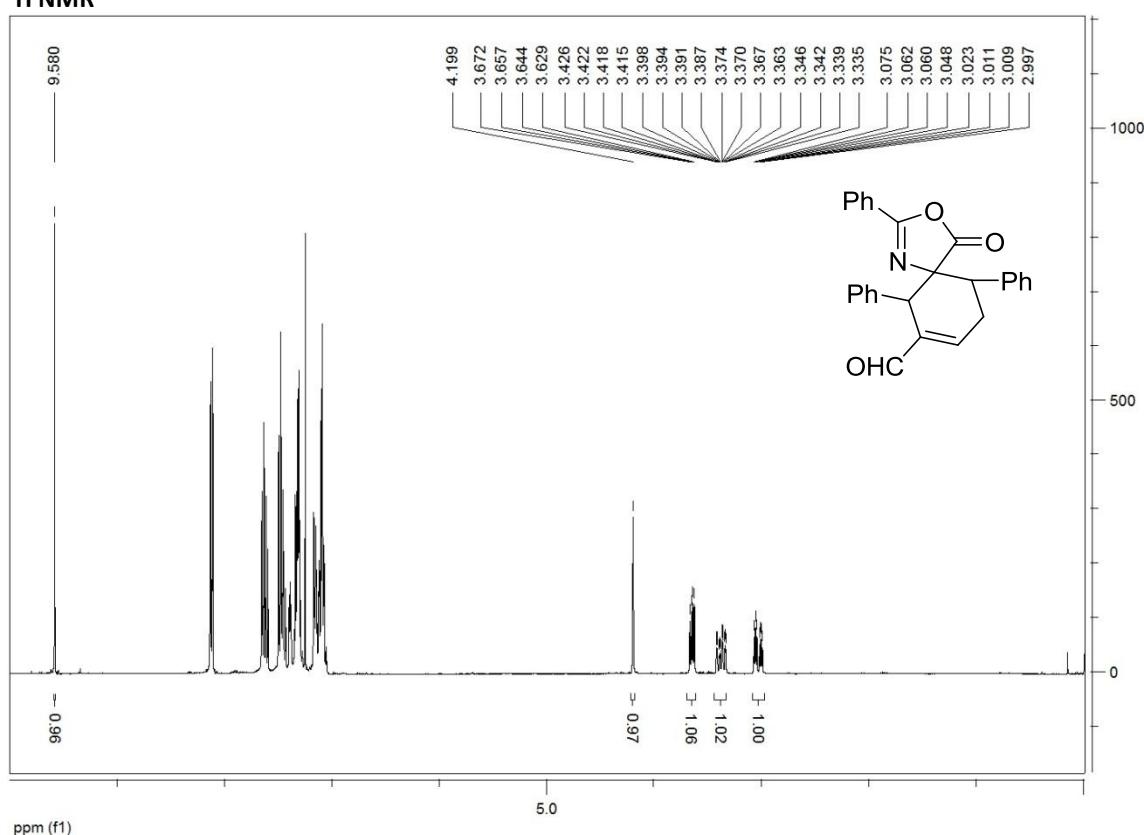
(S)-Cat



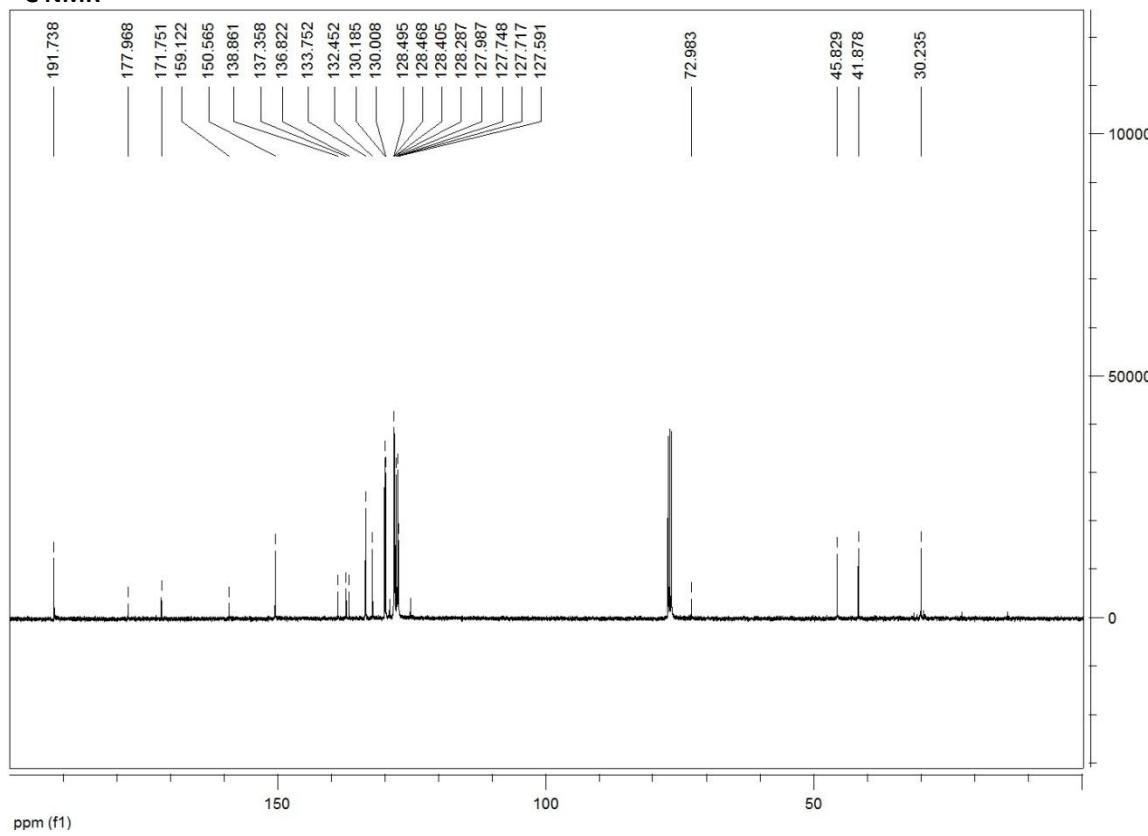
(R)-Cat



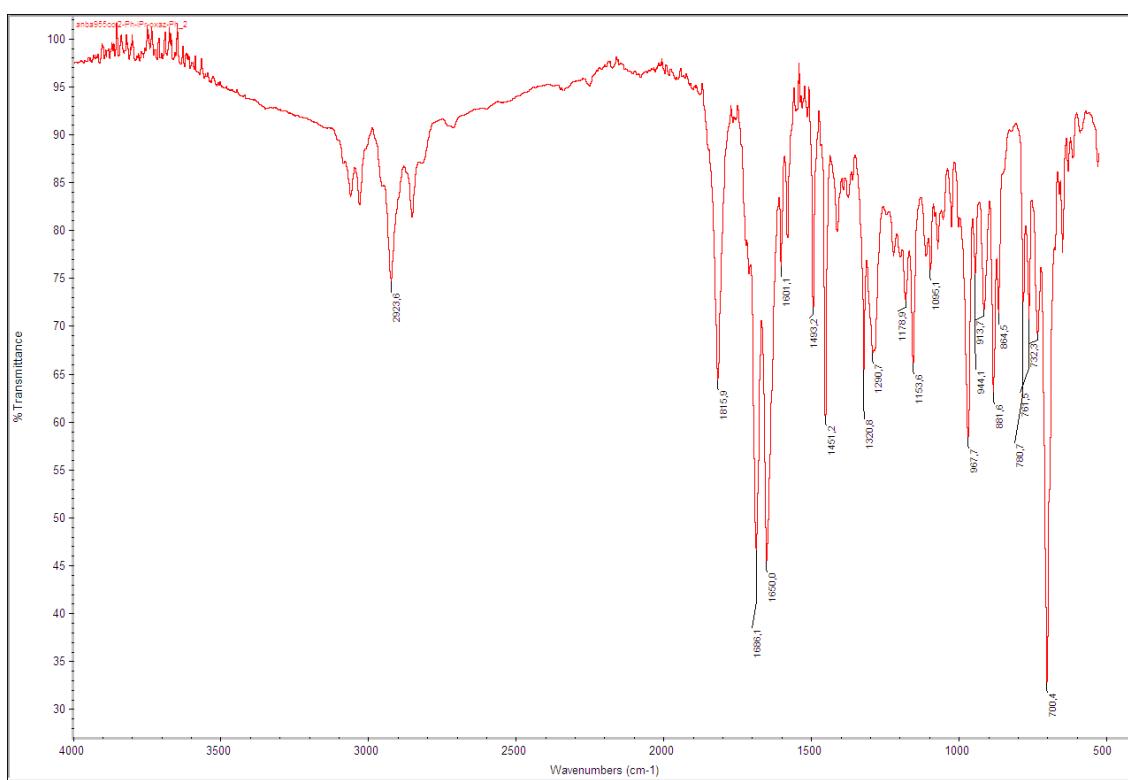
8a
 ^1H NMR



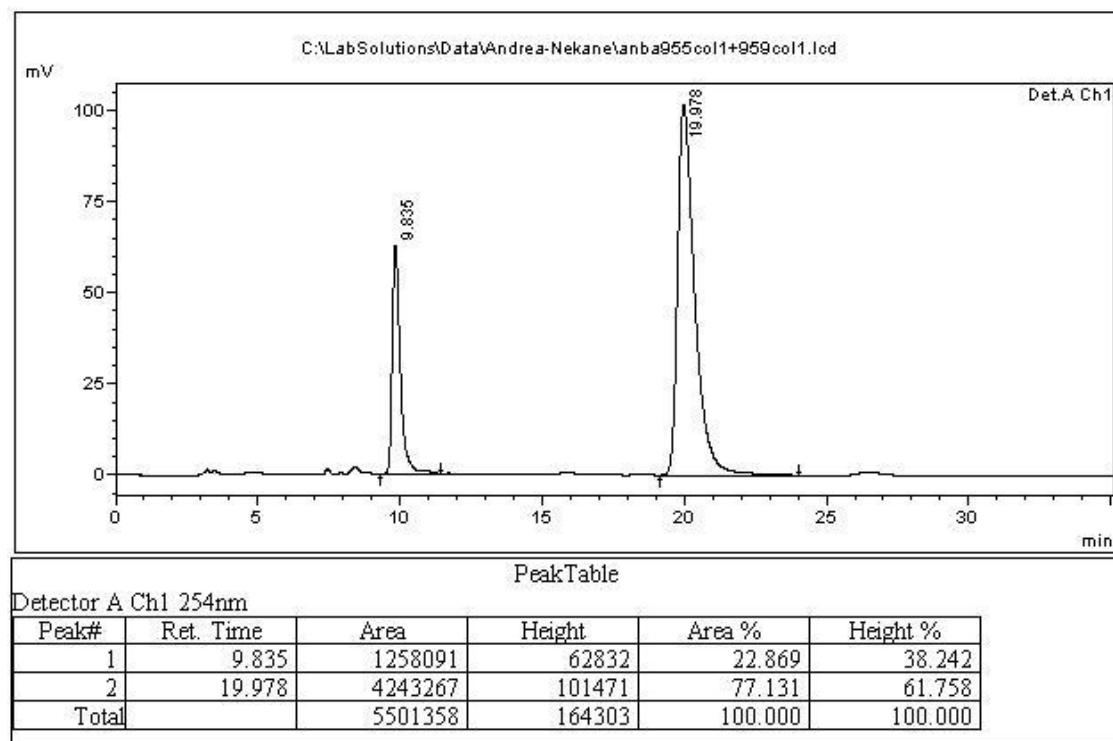
^{13}C NMR



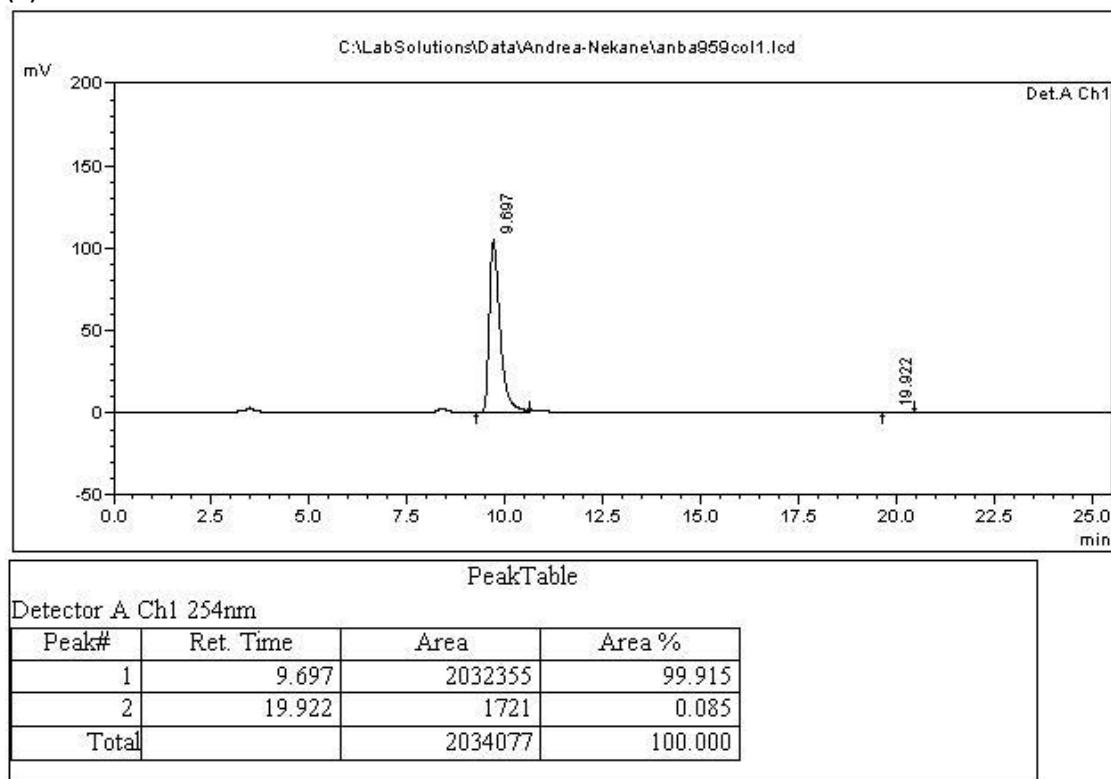
IR



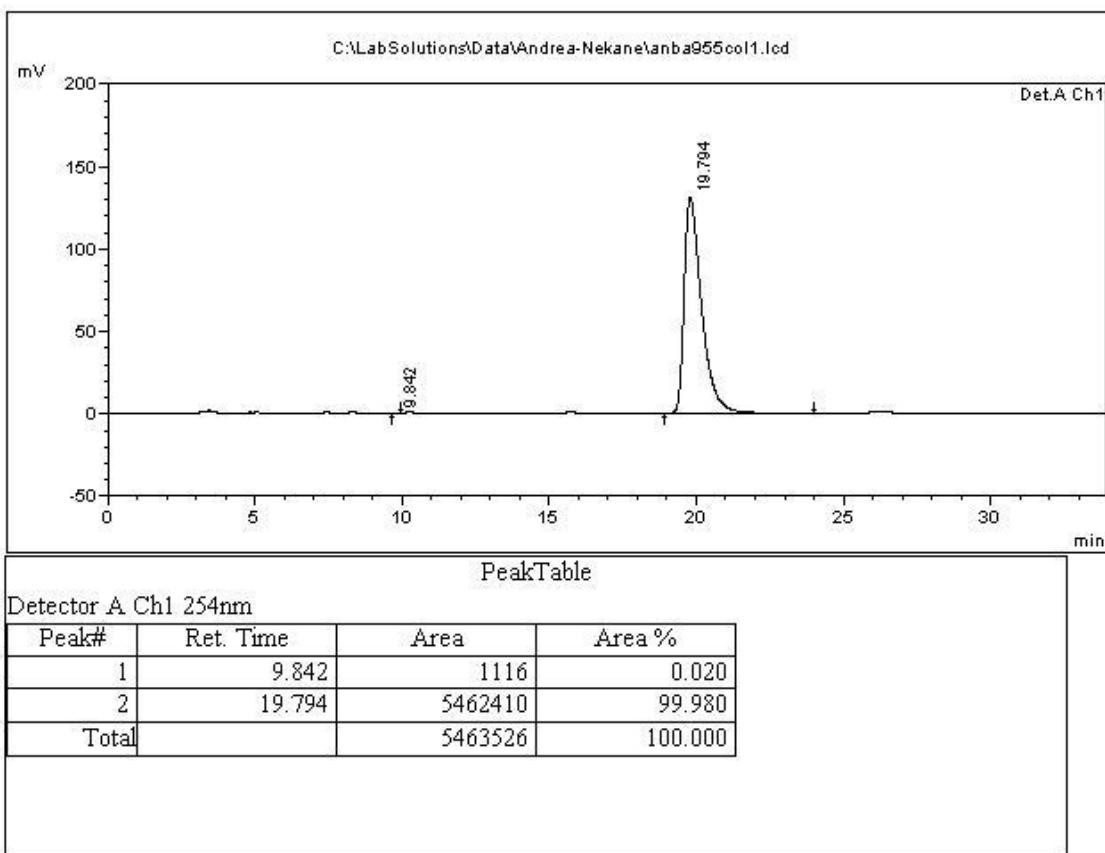
HPLC

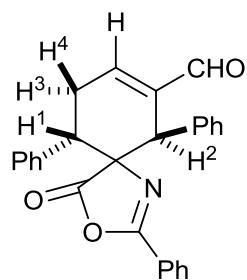


(S)-Cat.



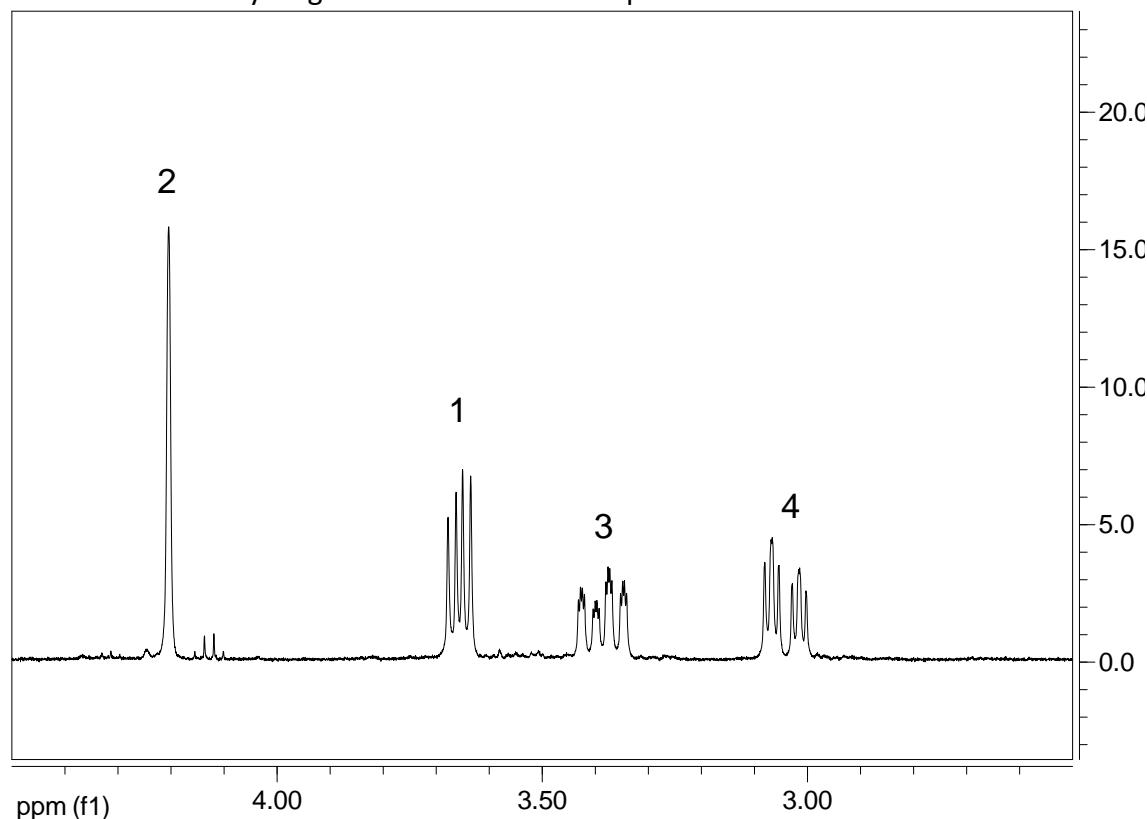
(R)-Cat.



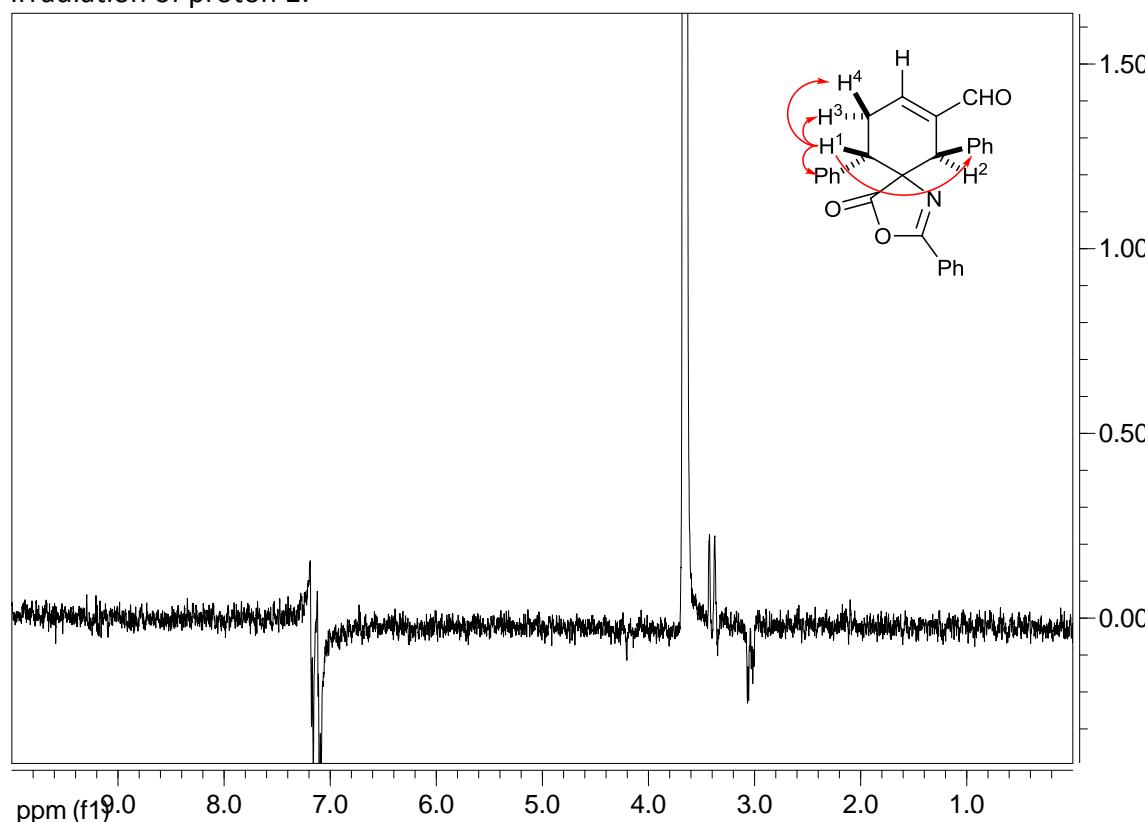


Compound **8a** (minor diastereomer):

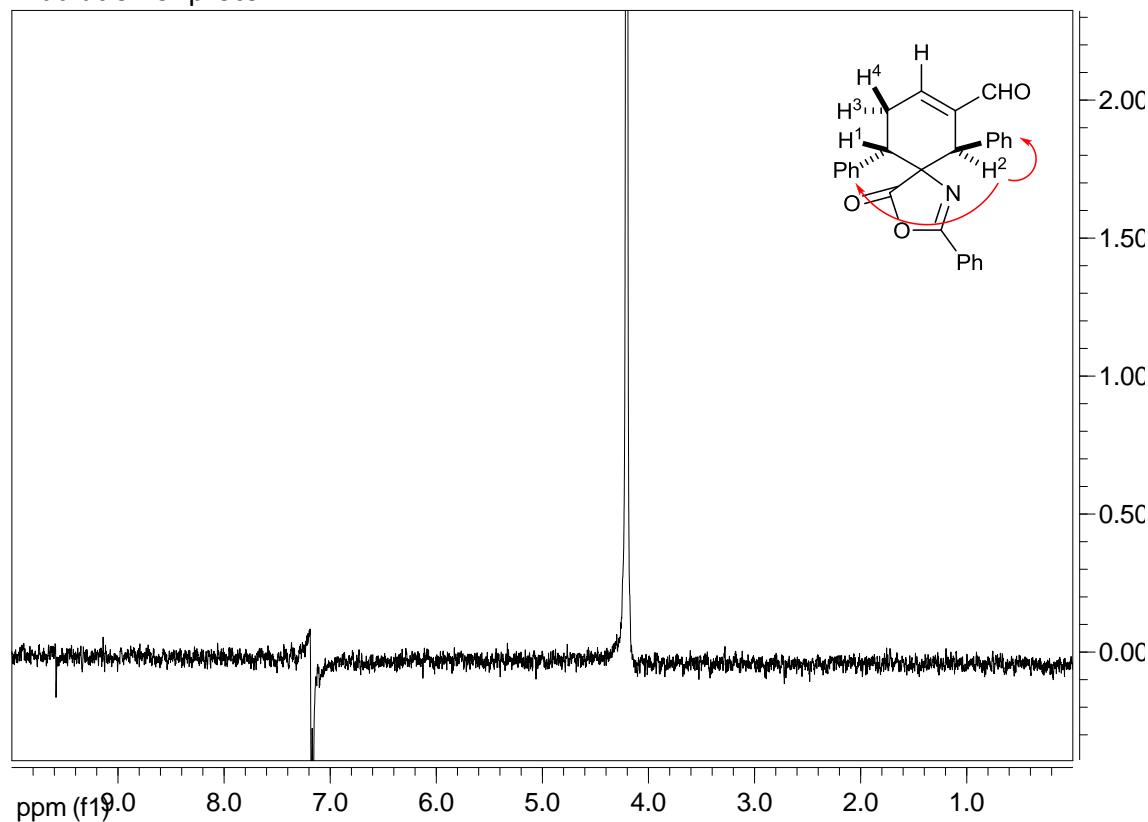
The *trans* relative stereochemistry between the two phenyl groups was readily secured by nOe experiments. Thus, irradiation of proton 1 (vide supra) leads to an enhancement of the intensities of the aromatic signals, and of those of protons 3 and 4. Irradiation of proton 2 leads only to an enhancement of the intensities of the aromatic signals. Irradiation of proton 3 leads to an enhancement of the intensities of both the olefinic hydrogen and of the aromatic protons.



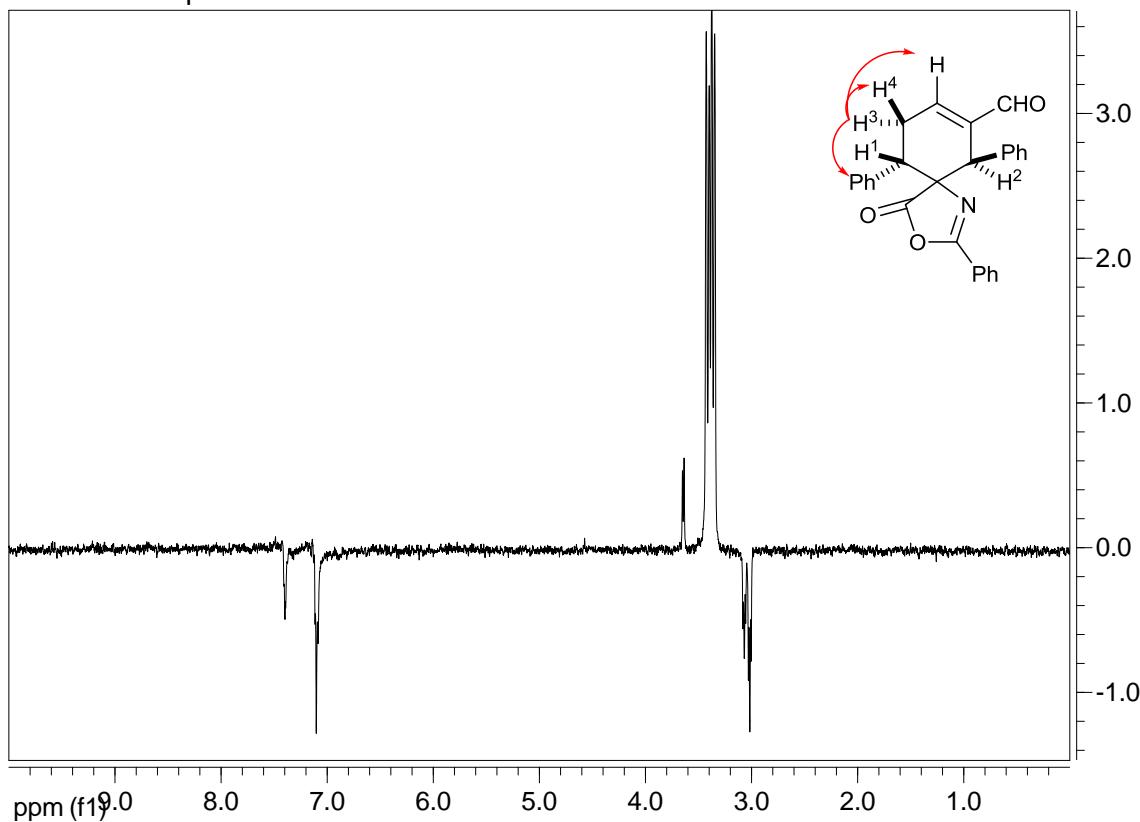
Irradiation of proton **1**:



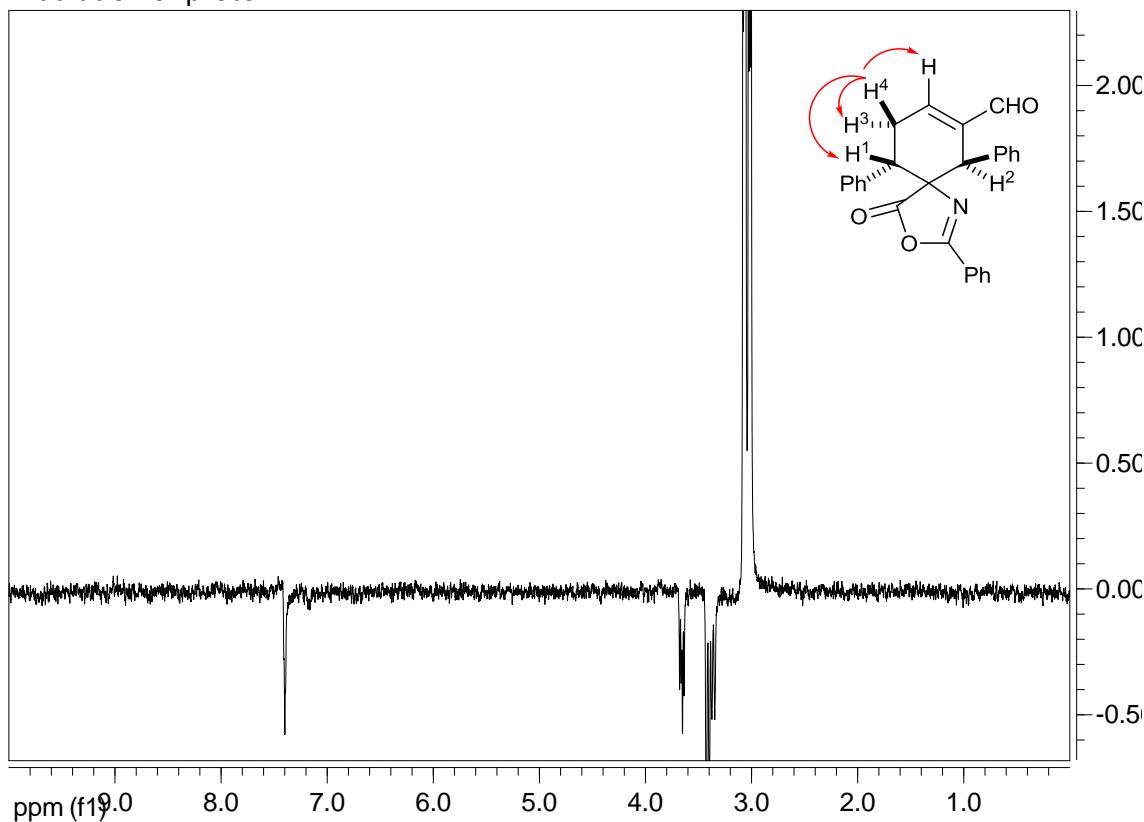
Irradiation of proton **2**:



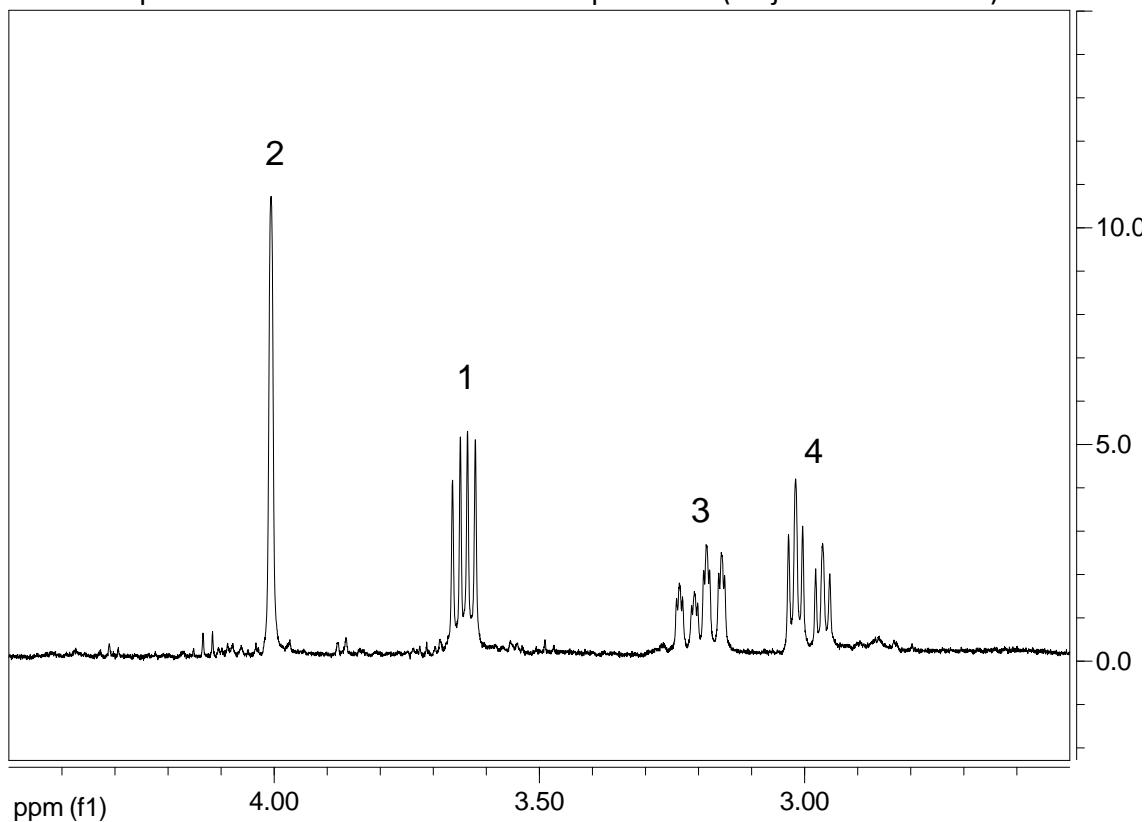
Irradiation of proton **3**:



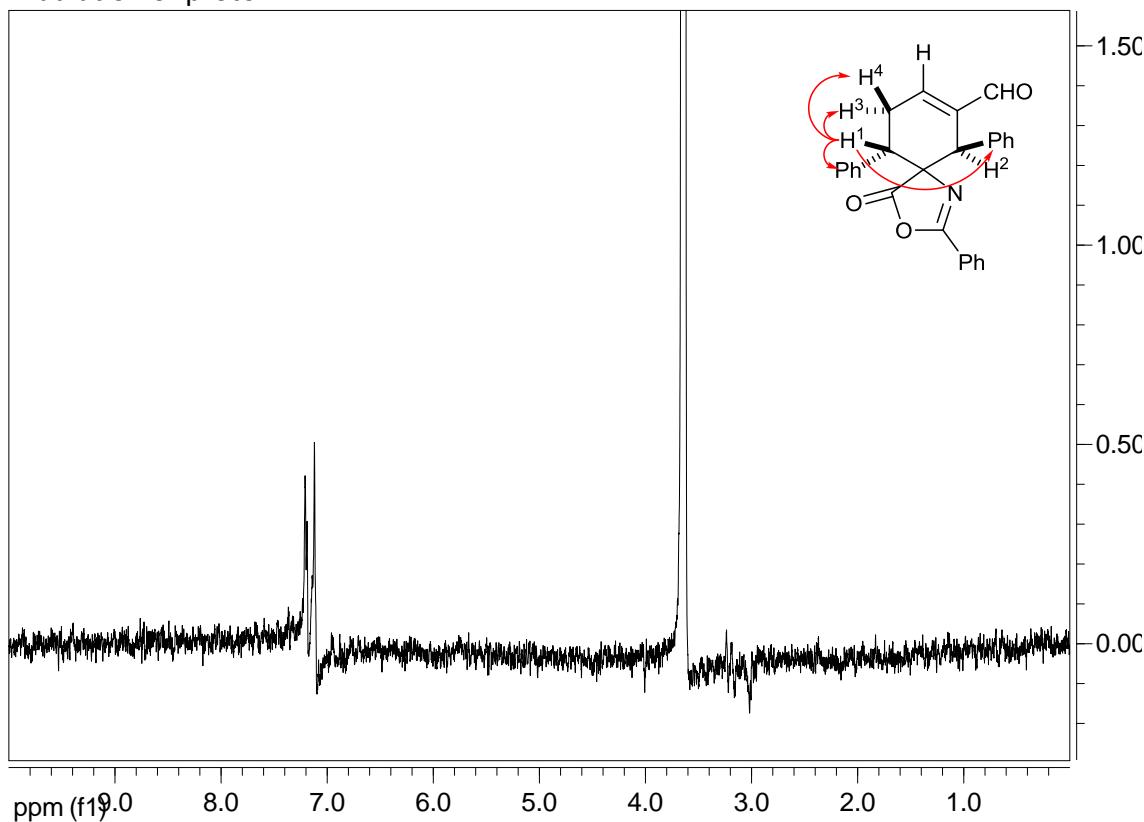
Irradiation of proton **4**:



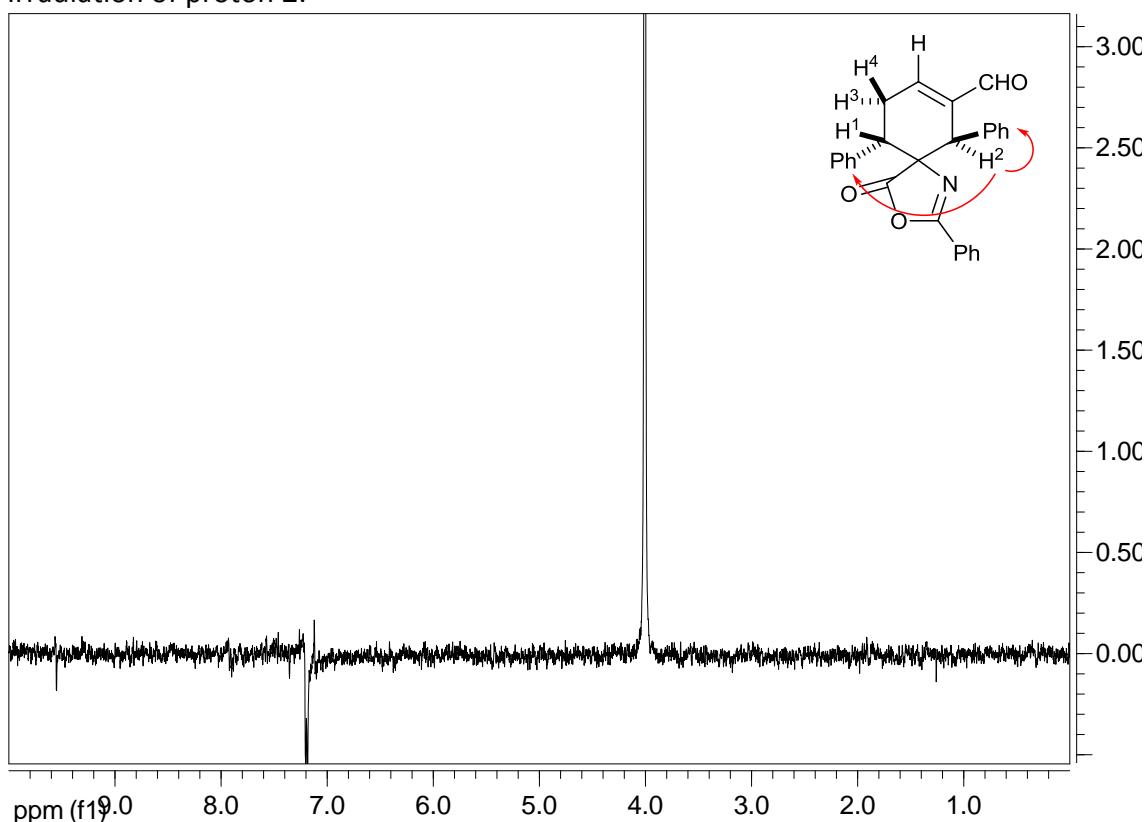
The same phenomena were observed in compound 8a (major diastereomer):



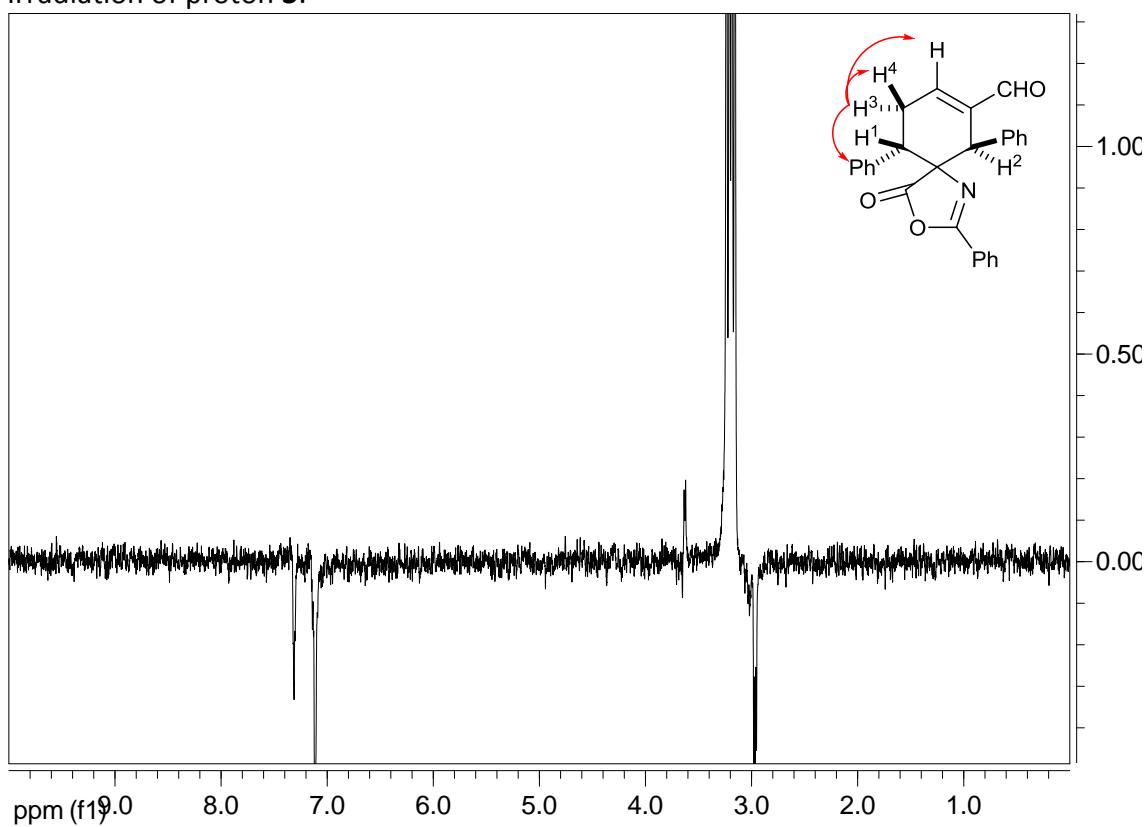
Irradiation of proton 1:



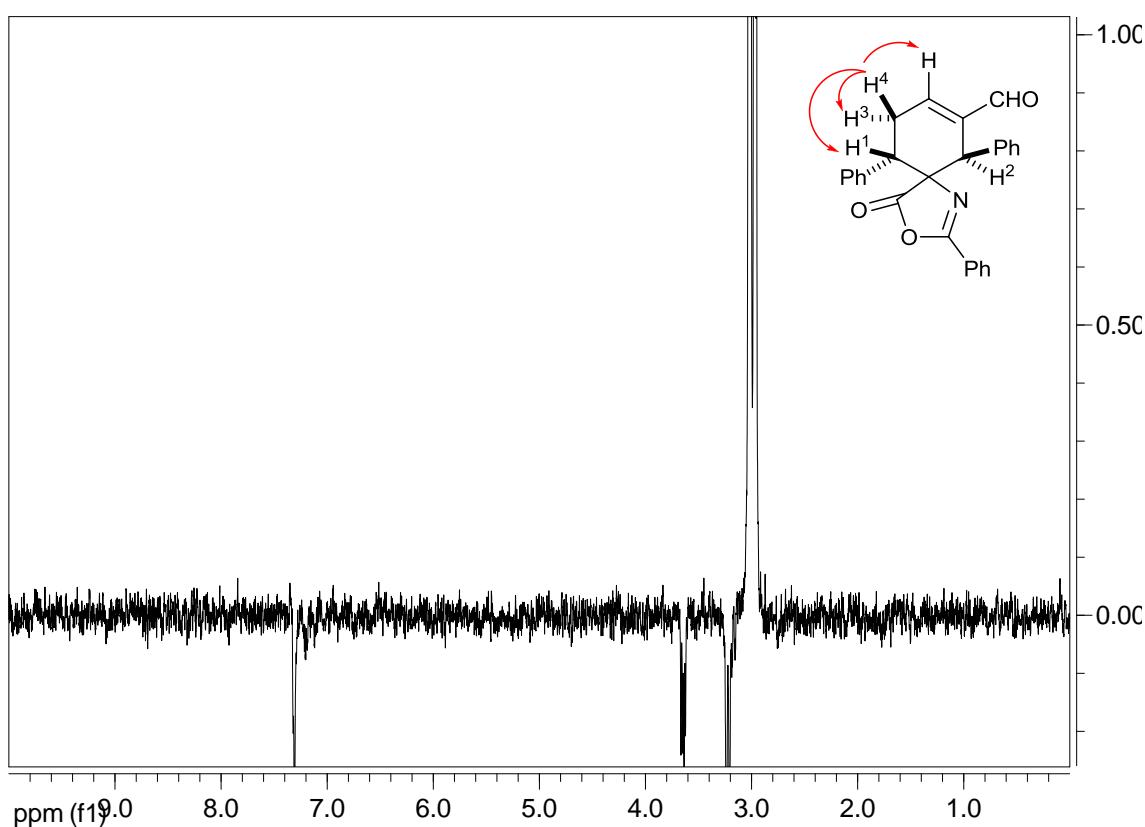
Irradiation of proton **2**:



Irradiation of proton **3**:

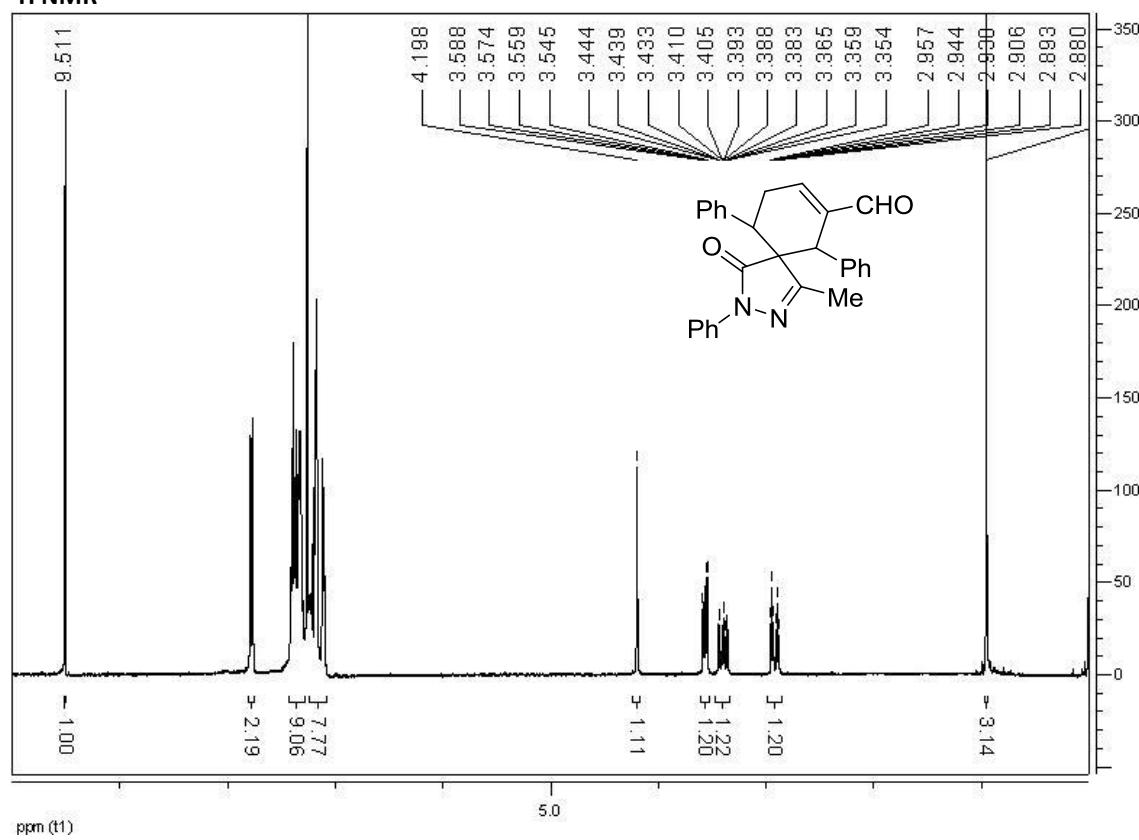


Irradiation of proton **4**:

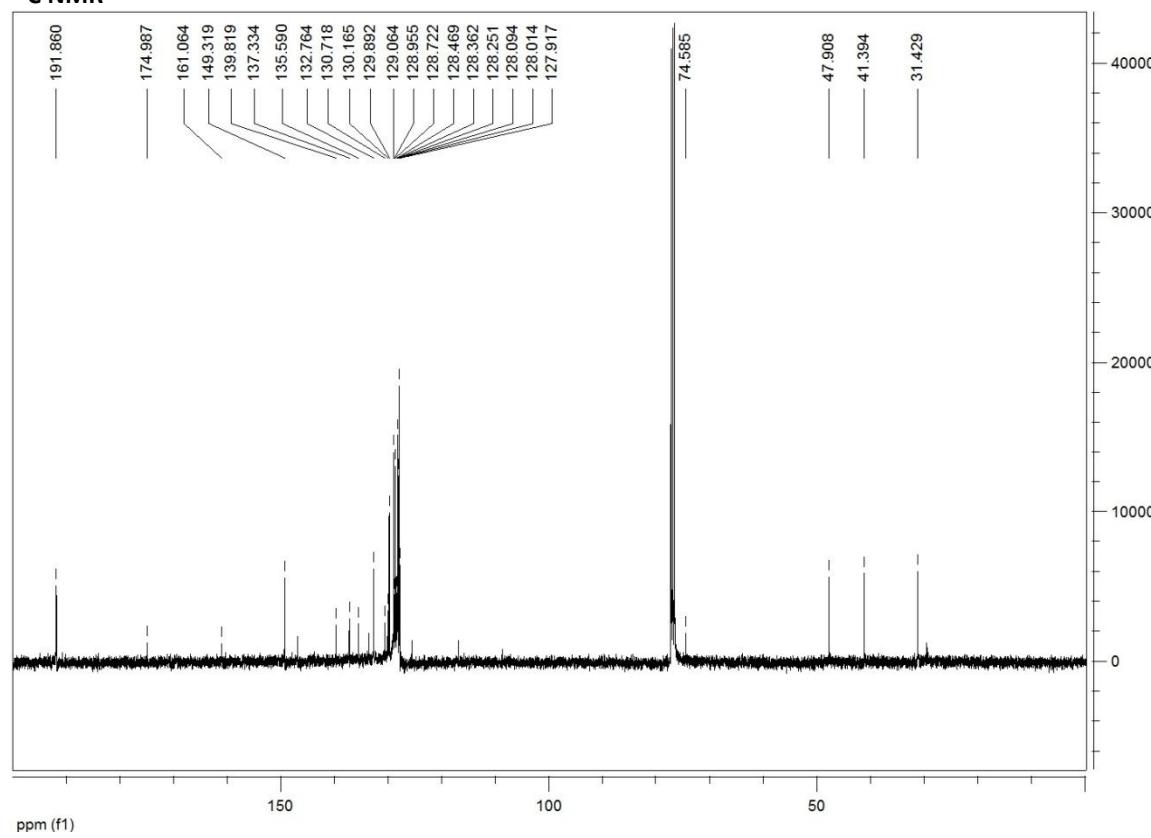


4a

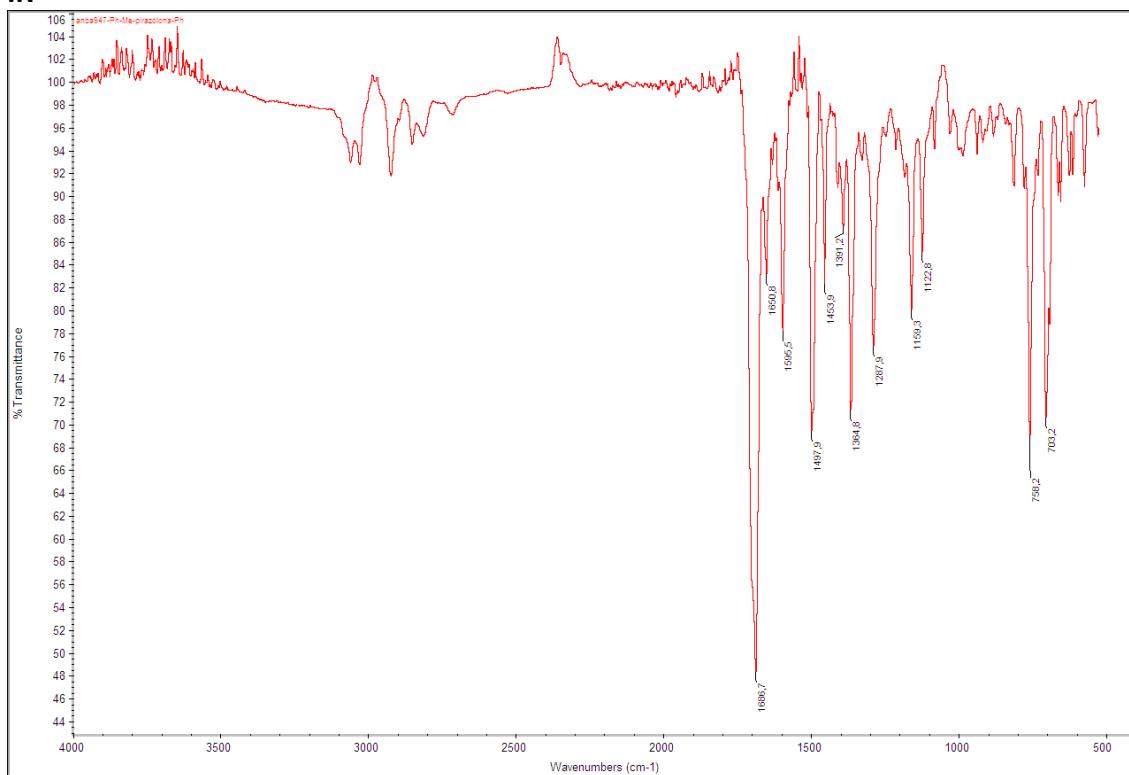
¹H NMR



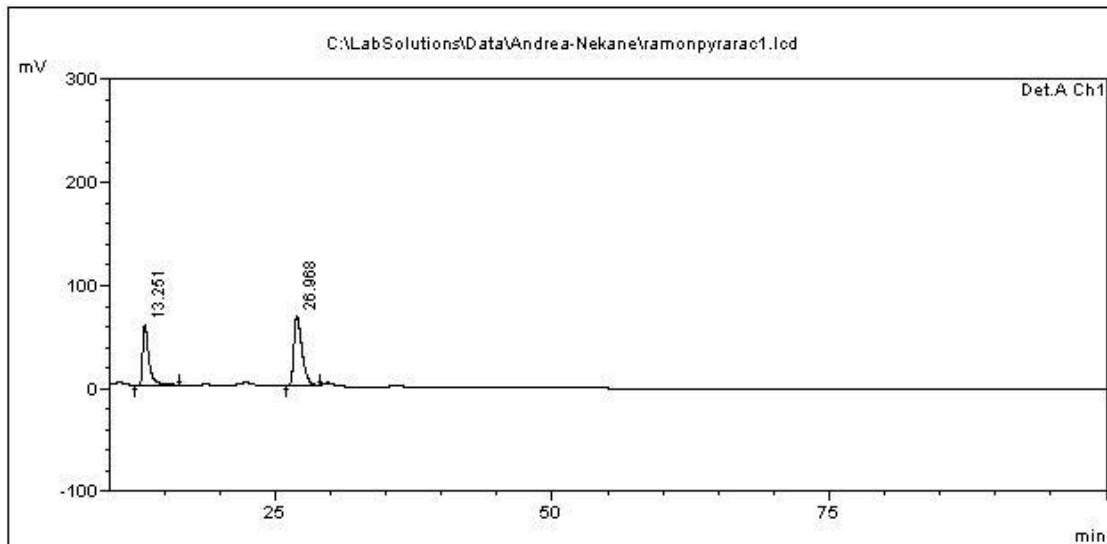
¹³C NMR



IR

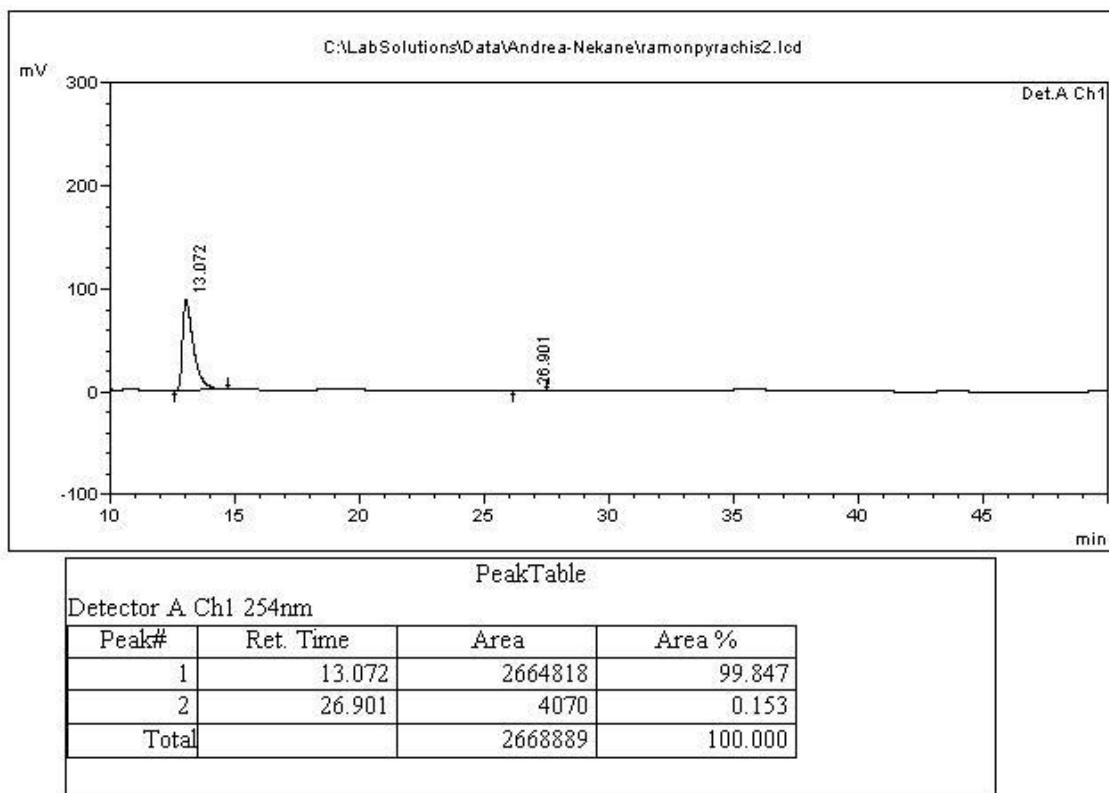


HPLC

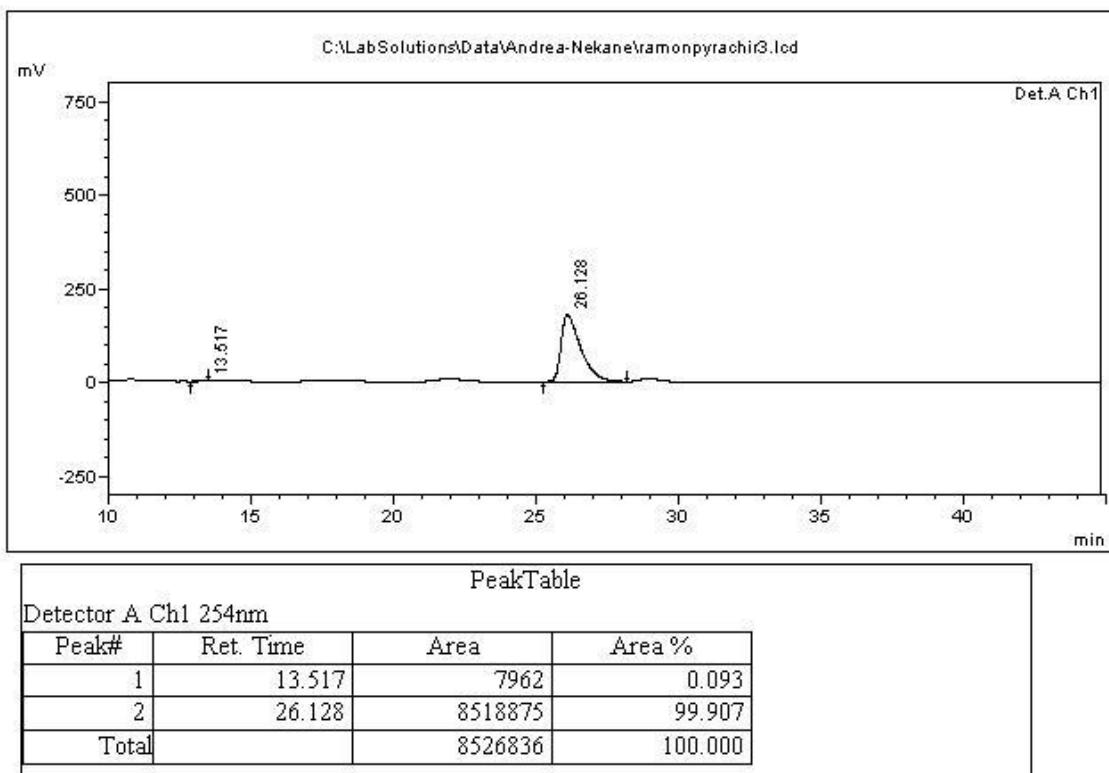


PeakTable					
Detector A Ch1 254nm					
Peak#	Ret. Time	Area	Height	Area %	Height %
1	13.251	2270990	57966	39.606	46.027
2	26.968	3463012	67973	60.394	53.973
Total		5734002	125938	100.000	100.000

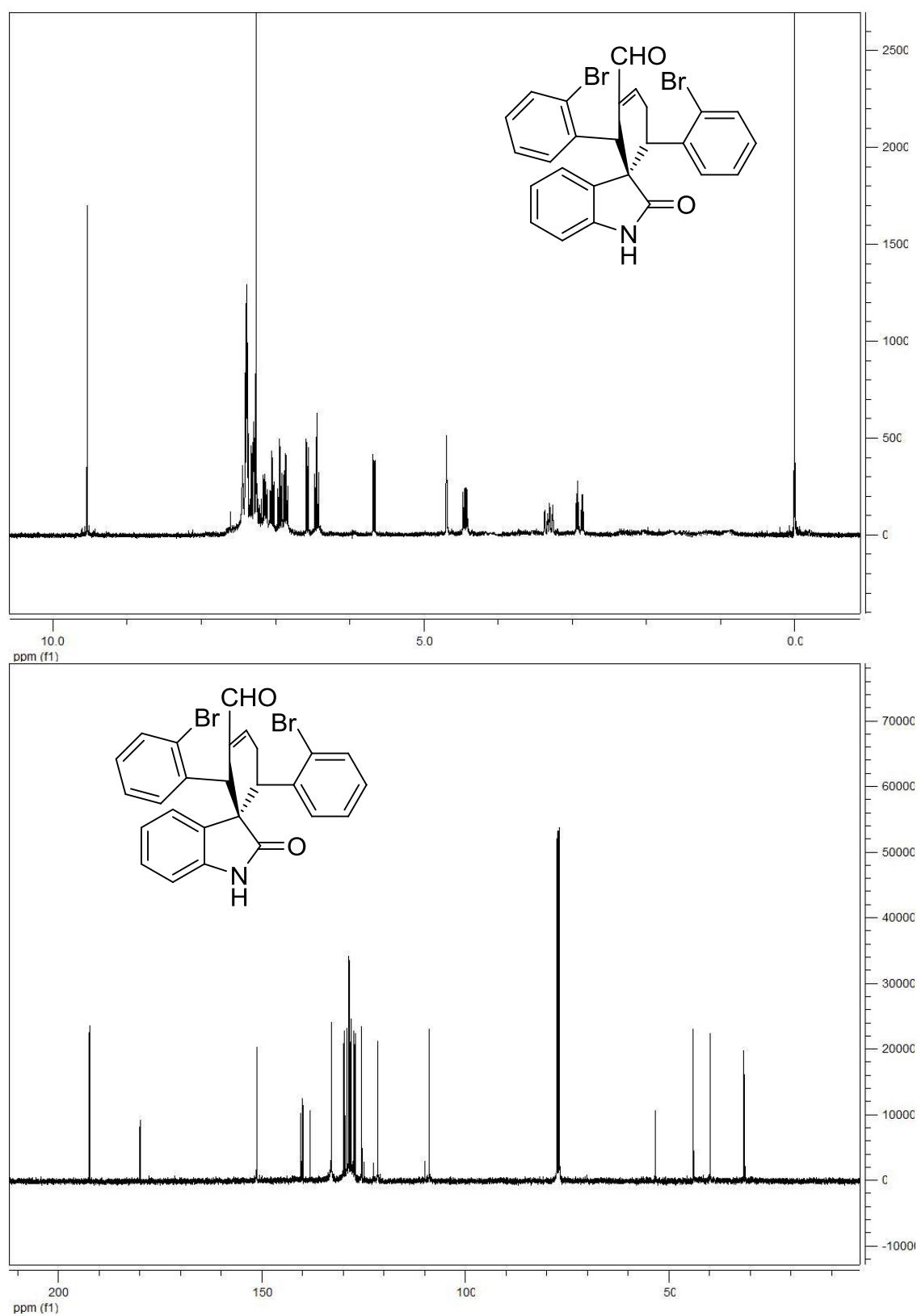
(S)-Cat.

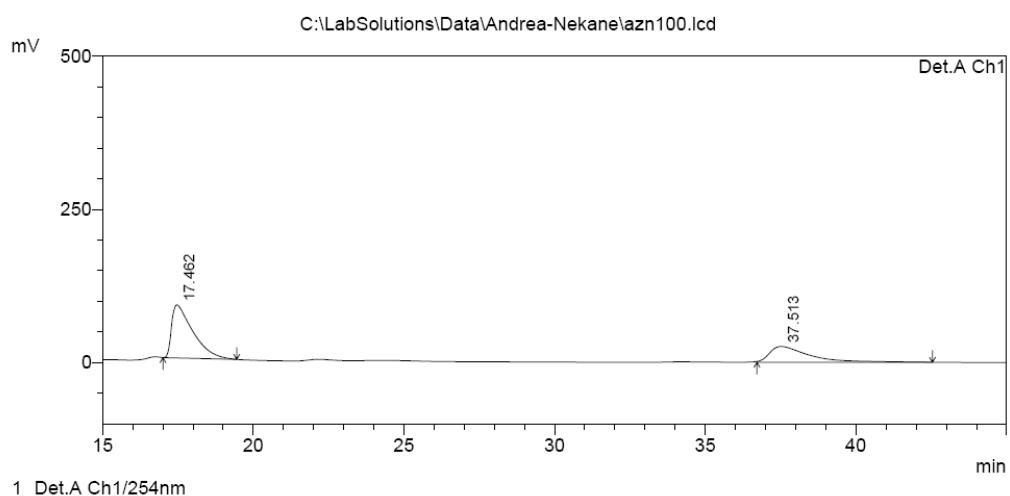


(R)-Cat.

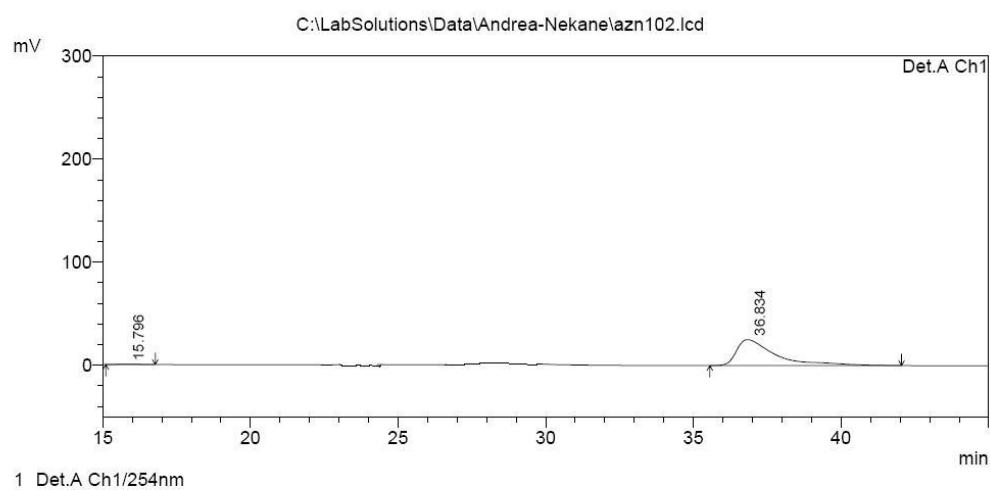


3f





(S)-Catalyst

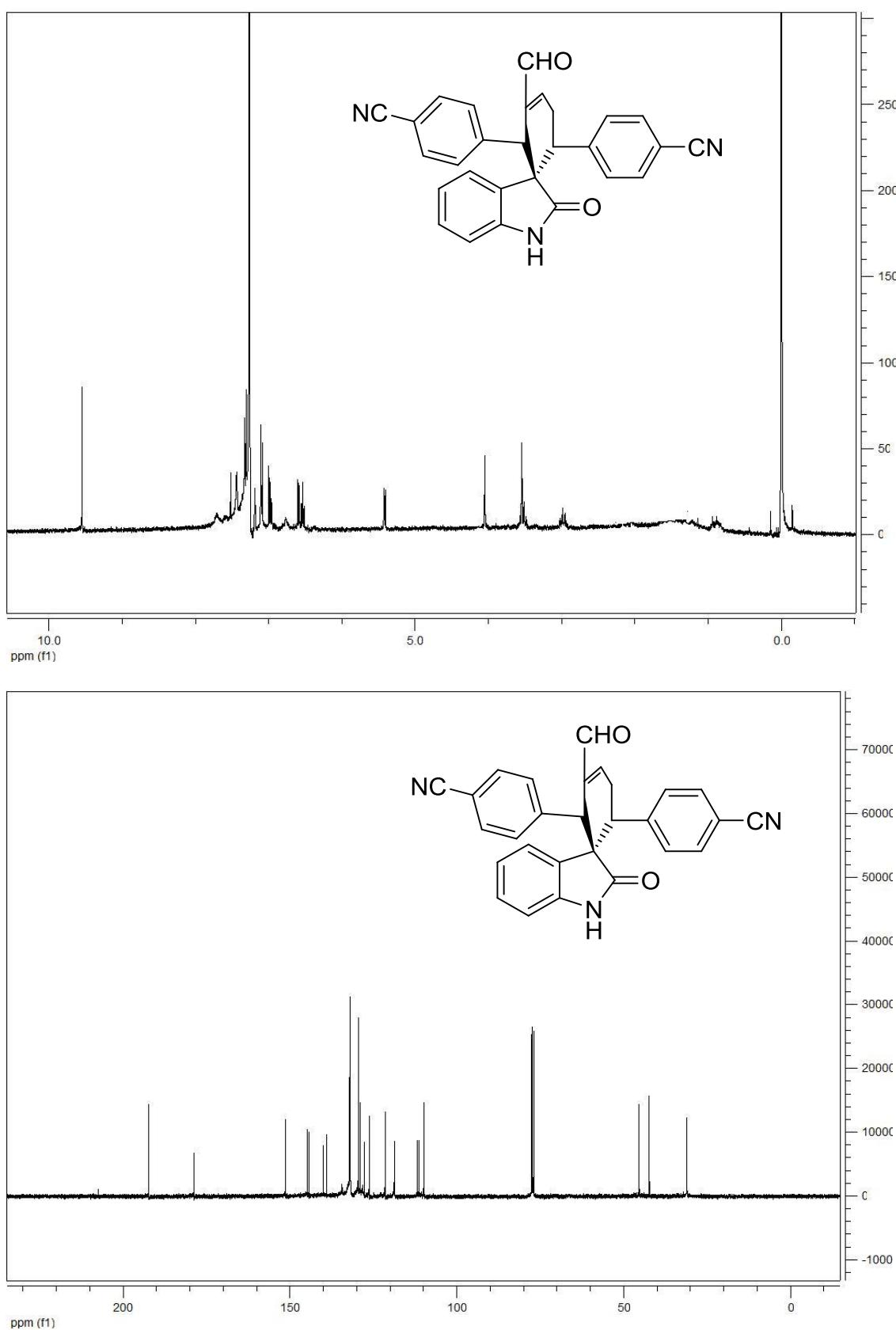


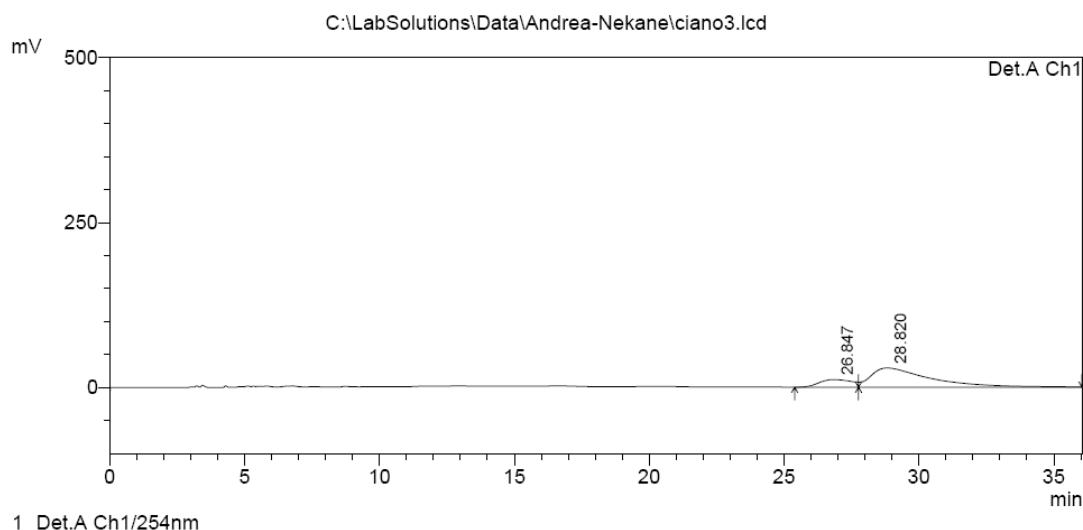
PeakTable

Detector A Ch1 254nm

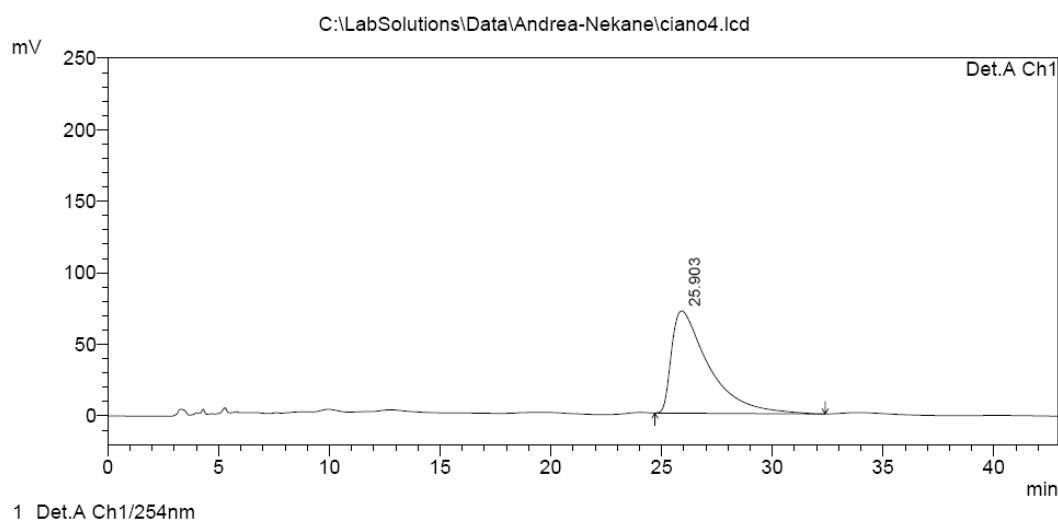
Peak#	Ret. Time	Area	Height	Area %	Height %
1	15.796	19510	289	0.838	1.135
2	36.834	2309058	25197	99.162	98.865
Total		2328568	25486	100.000	100.000

3e





(S)-Catalyst

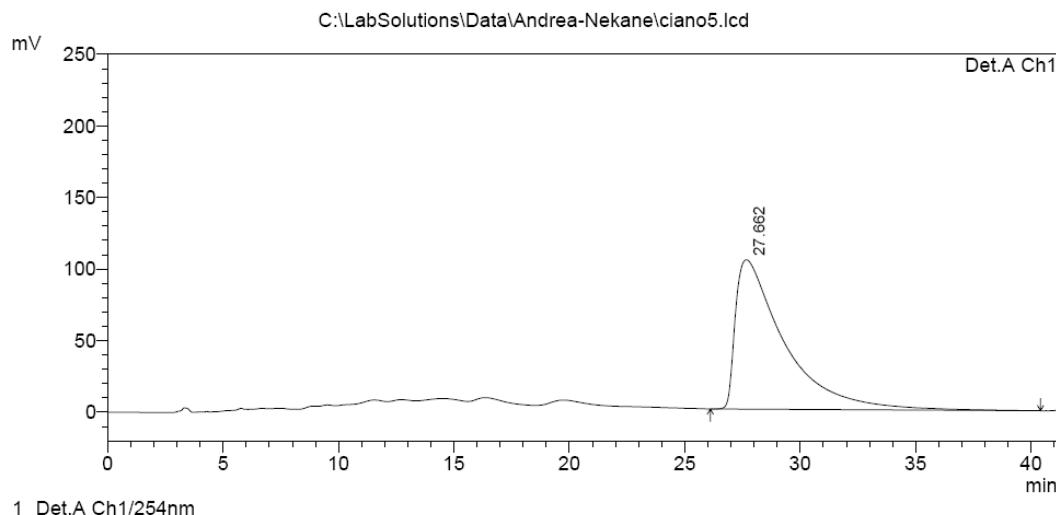


PeakTable

Detector A Ch1 254nm

Peak#	Ret. Time	Area	Height	Area %	Height %
1	25.903	8367803	71449	100.000	100.000
Total		8367803	71449	100.000	100.000

(R)-Catalyst



1 Det.A Ch1/254nm

PeakTable					
Detector A Ch1 254nm					
Peak#	Ret. Time	Area	Height	Area %	Height %
1	27.662	15398153	104333	100.000	100.000
Total		15398153	104333	100.000	100.000

ⁱ For a review on the assignment of the absolute configurations of organic molecules by TD-DFT see: Bringmann, G.; Bruhn, T.; Maksimenka, K.; Hemberger, Y. *Eur. J. Org. Chem.* **2009**, 2717–2727, see also (a) Diedrich, C.; Grimme, S. *J. Phys. Chem. A* **2003**, *107*, 2524; (b) Casarini, D.; Lunazzi, L.; Mancinelli, M.; Mazzanti, A.; Rosini, C. *J. Org. Chem.* **2007**, *72*, 7667; (c) Goel, A.; Singh, F. V.; Kumar, V.; Reichert, M.; Goulder, T. A. M.; Bringmann, G. *J. Org. Chem.* **2007**, *72*, 7765. (d) Stephens, P. J.; Pan, J. J.; Devlin, F. J.; Cheeseman, J. R. *J. Nat. Prod.* **2008**, *71*, 285. (e) Gioia, C. Fini, F.; Mazzanti, A.; Bernardi, L.; Ricci, A. *J. Am. Chem. Soc.* **2009**, *131*, 9614-9615. Bencivenni, G.; Wu, L.Y.; Giannichi, B.; Mazzanti, A.; Pesciaioli, F.; Song, M-P.; Bartoli, G.; Melchiorre, P. *Angew. Chem. Int. Ed.* **2009**, *48*, 7200-7203.

ⁱⁱ Gaussian 03, Revision E.01, Frisch, M. J.; Trucks, G. W.; Schlegel, H. B.; Scuseria, G. E.; Robb, M. A.; Cheeseman, J. R.; Montgomery, Jr., J. A.; Vreven, T.; Kudin, K. N.; Burant, J. C.; Millam, J. M.; Iyengar, S. S.; Tomasi, J.; Barone, V.; Mennucci, B.; Cossi, M.; Scalmani, G.; Rega, N.; Petersson, G. A.; Nakatsuji, H.; Hada, M.; Ehara, M.; Toyota, K.; Fukuda, R.; Hasegawa, J.; Ishida, M.; Nakajima, T.; Honda, Y.; Kitao, O.; Nakai, H.; Klene, M.; Li, X.; Knox, J. E.; Hratchian, H. P.; Cross, J. B.; Bakken, V.; Adamo, C.; Jaramillo, J.; Gomperts, R.; Stratmann, R. E.; Yazyev, O.; Austin, A. J.; Cammi, R.; Pomelli, C.; Ochterski, J. W.; Ayala, P. Y.; Morokuma, K.; Voth, G. A.; Salvador, P.; Dannenberg, J. J.; Zakrzewski, V. G.; Dapprich, S.; Daniels, A. D.; Strain, M. C.; Farkas, O.; Malick, D. K.; Rabuck, A. D.; Raghavachari, K.; Foresman, J. B.; Ortiz, J. V.; Cui, Q.; Baboul, A. G.; Clifford, S.; Cioslowski, J.; Stefanov, B. B.; Liu, G.; Liashenko, A.; Piskorz, P.; Komaromi, I.; Martin, R. L.; Fox, D. J.; Keith, T.; Al-Laham, M. A.; Peng, C. Y.; Nanayakkara, A.; Challacombe, M.; Gill, P. M. W.; Johnson, B.; Chen, W.; Wong, M. W.; Gonzalez, C.; Pople, J. A.; Gaussian, Inc., Wallingford CT, 2004.

ⁱⁱⁱ Pescitelli, G.; Di pietro, S.; Cardelluccio, C.; Annunziata, M; capozzi, M.; Di Bari, L. *J.Org.Chem.* **2010**, *75*, 1143-1154

^{iv} The use of a moderate basis set is dictated by the molecular size, and by the need of limiting the computational time (about 24-48 hours on a 8-core Xeon server)

^v Stephens, P.J.; McCann, D.M.; Devlin, F.J., Cheeseman, J.R.; Frisch, M.J. *J.Am.Chem.Soc.* **2004**, *126*, 7514-7521

^{vi} Gaussview 4.1.2, Semichem Inc., 2006