

Supporting Information for

**Chiral *N*-Heterocyclic Carbene Catalyzed Enantioselective
Annulation of α,β -Unsaturated Aldehydes with 1,3-Dicarbonyls**

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General Methods. All reactions were carried out under nitrogen atmosphere. All the reagents used were purchased from commercial sources and used without further purification. THF and toluene were freshly distilled from sodium wire. ^1H , ^{13}C NMR spectra were recorded in CDCl_3 on a spectrometer operating at 300 and 100 MHz, respectively. Chemical shifts are reported in parts per million relative to the appropriate standard: TMS for ^1H and ^{13}C NMR spectra. High Resolution Mass spectra were recorded on a Waters Micromass GCT instrument. The IR spectra were recorded on a Shimadzu IR-440 spectrometer. Column chromatography was carried out on silica gel (300-400 μm).

General Procedure for Chiral *N*-Heterocyclic Carbene Catalyzed Enantioselective Annulation of Ynals with 1,3-Dicarbonyls (G1)

Into an oven dried 10 mL vial was weighed catalyst **C3** (7.4 mg, 0.02 mmol) and 4 Å MS (200 mg). Followed by the addition of a solution of ynal (0.3 mmol), 1,3-dicarbonyl (0.2 mmol) in toluene (2 mL). After stirring at 40 °C for 8 h, the reaction mixture was filtrated, and the solvent was evaporated under reduced pressure at room temperature to afford a residue. Further purification by flash column chromatography on silica gel gave the final product (Hexane : EtOAc = 10 : 1 to 8:1).

General Procedure for Chiral *N*-Heterocyclic Carbene Catalyzed Enantioselective Annulation of Enals with 1,3-Dicarbonyls (G2)

Into an oven dried 10 mL vial was weighed catalyst **C3** (11 mg, 0.03 mmol), oxidant (122.6 mg, 0.3 mmol) and 4 Å MS (300 mg). Followed by the addition of a solution of enal (0.45 mmol), 1,3-dicarbonyl (0.3 mmol) in toluene (3 mL). After stirring at 40 °C for indicated time, the reaction mixture was filtrated, and the solvent was evaporated under reduced pressure at room temperature to afford a residue. Further purification by flash column chromatography on silica gel to give the product (Hexane : EtOAc = 10 : 1 to 8:1).

Table 1. Optimization of Reaction Conditions for Chiral NHC-Catalyzed Enantioselective Annulation of Enals with 1,3-Dicarbonyls^a

Entry	Conditions	Yield ^b (%)	Ee ^c (%)
1	A, DBU, THF, rt, 2 h	79	-4
2	B, DBU, THF, rt, 5 h	—	—
3	C1, DBU, THF, rt, 5 h	80	14
4	C2, DBU, THF, rt, 5 h	—	—
5	C3, DBU, THF, rt, 5 min	83	67
6	C3, DBU, THF, 0 °C, 5 min	85	71
7	C3, NaH, THF, 0 °C, 5min	78	83
8	C3, <i>t</i> -BuOK, THF, 0 °C, 5min	78	71
9	C3, NaH, THF, -20 °C, 30min,	81	80
10	C3, NaH, Et ₂ O, 0 °C, 5min	79	83
11	C3, NaH, toluene, 0 °C, 48 h	44	89
12 ^{d,e}	C3, 4Å MS, toluene, 40 °C, 10 h	83	98
13 ^{d,f}	C3, toluene, 40 °C, 10 h	33	85

^a Performed on a 0.3 mmol scale in 3 ml solvent with 10 mol% of catalyst, 10 mol % of base, 1 equiv of **4a**, 1.5 equiv of **2a**, 1 equiv of [O]. ^b Isolated yield after chromatography. ^c Determined by HPLC analysis on a chiral column. ^d 1.5 equiv of **4a** and 1 equiv of **2a** were used. ^e 300 mg 4 Å MS was added instead of 10 mol% of base. ^f No base was used.

(*R*)-Ethyl 6-methyl-2-oxo-4-phenyl-3,4-dihydro-2H-pyran-5-carboxylate (**3a**)

According to **G1**: 81% yield, 98% ee (PA-2 column, *n*-hexane/*i*-PrOH = 98/2, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 20.6$ min, $t_{\text{minor}} = 24.6$ min), $[\alpha]_{\text{D}}^{20} = -167.2^{\circ}$ ($c = 1$, CHCl₃). According to **G2** for 10 h: 83% yield, 98% ee. ¹H NMR (300 MHz, CDCl₃) δ 7.35-7.09 (m, 5H), 4.26 (d, $J = 7.3$ Hz, 1 H), 4.12 (q, $J = 7.3$ Hz, 2 H), 2.95 (dd, $J = 16.0, 7.3$ Hz, 1 H), 2.81 (d, $J = 16.0$ Hz, 1 H), 2.47 (s, 3 H), 1.18 (t, $J = 7.3$ Hz, 3 H).

(*R*)-Ethyl 4-(4-methoxyphenyl)-6-methyl-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate (**3b**)

According to **G1**: 67% yield, 92% ee (Sino-OD column, *n*-hexane/*i*-PrOH = 9/1, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 12.2$ min, $t_{\text{major}} = 16.2$ min), $[\alpha]_{\text{D}}^{20} = -124.0^{\circ}$ ($c = 1$, CHCl₃). According to **G2**, except that 1 equiv of ynal and 1.5 equiv of 3-oxobutanoate were used and the reaction was performed at room temperature for 66 h: 73% yield, 91% ee. ¹H NMR (300 MHz, CDCl₃) δ 7.06 (d, $J = 8.7$ Hz, 2 H), 6.82 (d, $J = 8.7$ Hz, 2 H), 4.21 (d, $J = 7.3$ Hz, 1 H), 4.13 (q, $J = 7.0$ Hz, 2 H), 3.76 (s, 3H), 2.92 (dd, $J = 16.0, 7.3$ Hz, 1 H), 2.78 (d, $J = 16.0$ Hz, 1 H), 2.46 (s, 3 H), 1.20 (t, $J = 7.0$ Hz, 3 H).

(*R*)-Ethyl 6-methyl-2-oxo-4-(*p*-tolyl)-3,4-dihydro-2H-pyran-5-carboxylate (**3c**)

According to **G1**: 82% yield, 97% ee (PA-2 column, *n*-hexane/*i*-PrOH = 98/2, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 20.5$ min, $t_{\text{minor}} = 26.4$ min), $[\alpha]_{\text{D}}^{20} = -145.4^{\circ}$ ($c = 1$, CHCl₃). According to **G2** for 24 h: 77% yield, 92% ee. ¹H NMR (300 MHz, CDCl₃) δ 7.09 (d, $J = 7.7$ Hz, 2 H), 7.02 (d, $J = 7.7$ Hz, 2 H), 4.22 (d, $J = 7.5$ Hz, 1 H), 4.12 (q, $J = 7.1$ Hz, 2 H), 2.92 (dd, $J = 16.0, 7.5$ Hz, 1 H), 2.79 (d, $J = 16.0$ Hz, 1 H), 2.46 (s, 3

H), 2.29 (s, 3 H), 1.19 (t, $J = 7.1$ Hz, 3 H).

(*R*)-Ethyl 6-methyl-2-oxo-4-(*m*-tolyl)-3,4-dihydro-2H-pyran-5-carboxylate (**3d**)

According to **G1**: 87% yield, 95% ee. According to **G2** for 16 h: 87% yield, 97% ee (PA-2 column, *n*-hexane/*i*-PrOH = 98/2, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 18.3$ min, $t_{\text{minor}} = 22.0$ min), $[\alpha]_{\text{D}}^{20} = -160.7^\circ$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.23-6.87 (m, 4 H), 4.22 (d, $J = 7.3$ Hz, 1 H), 4.13 (q, $J = 7.1$ Hz, 2 H), 2.94 (dd, $J = 16.0, 7.5$ Hz, 1 H), 2.80 (dd, $J = 16.0, 2.1$ Hz, 1 H), 2.48 (s, 3 H), 2.31 (s, 3 H), 1.20 (t, $J = 7.1$ Hz, 3 H); ¹³C NMR (101 MHz, CDCl₃) δ 166.2, 166.0, 161.2, 140.7, 138.7, 128.9, 128.3, 127.3, 123.7, 110.1, 60.8, 37.8, 36.4, 21.5, 18.9, 14.1; IR (film): $\nu = 2985, 2923, 2853, 1797, 1713, 1642, 1514, 1446, 1417, 1380, 1344, 1291, 1242, 1173, 1067, 1030, 965, 865, 816, 785, 733, 665, 593, 552, 507$ cm⁻¹; HRMS (EI, m/z): calcd for [M]⁺, 274.1205; found, 274.1202.

(*R*)-Ethyl 6-methyl-2-oxo-4-(*o*-tolyl)-3,4-dihydro-2H-pyran-5-carboxylate (**3e**)

According to **G1**: 77% yield, 96% ee. According to **G2** for 18 h: 81% yield, 97% ee (PA-2 column, *n*-hexane/*i*-PrOH = 98/2, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 25.6$ min, $t_{\text{minor}} = 31.2$ min), $[\alpha]_{\text{D}}^{20} = -175.8^\circ$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.21-6.90 (m, 4 H), 4.50 (d, $J = 7.9$ Hz, 1 H), 4.18-3.98 (m, 2 H), 2.92 (dd, $J = 15.6, 7.9$ Hz, 1 H), 2.66 (dd, $J = 15.6, 2.0$ Hz, 1 H), 2.51 (s, 3 H), 2.38 (s, 3 H), 1.14 (t, $J = 7.1$ Hz, 3 H); ¹³C NMR (101 MHz, CDCl₃) δ 166.0, 165.9, 161.7, 138.4, 134.9, 131.0, 127.5, 126.8, 125.3, 110.1, 60.8, 35.3, 34.0, 19.2, 18.8, 14.0; IR (KBr): $\nu = 3000, 2955, 1787, 1715, 1644, 1594, 1509, 1459, 1415, 1370, 1288, 1275, 1242, 1222, 1175, 1128, 1068, 835, 789, 734$ cm⁻¹; HRMS (EI, m/z): calcd for [M]⁺, 274.1205; found, 274.1207.

(*R*)-Ethyl 4-(4-fluorophenyl)-6-methyl-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate
(**3f**)

According to **G1**: 66% yield, 96% ee (PA-2 column, *n*-hexane/*i*-PrOH = 98/2, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 22.3$ min, $t_{\text{minor}} = 27.8$ min), $[\alpha]_{\text{D}}^{20} = -99.0^{\circ}$ ($c = 1$, CHCl₃). According to **G2**, except that the reaction was performed at room temperature for 48 h: 74% yield, 92% ee. ¹H NMR (300 MHz, CDCl₃) δ 7.16-6.91 (m, 4 H), 4.25 (d, $J = 7.3$ Hz, 1 H), 4.14 (q, $J = 7.1$ Hz, 2 H), 2.95 (dd, $J = 16.0, 7.3$ Hz, 1 H), 2.79 (d, $J = 16.0$ Hz, 1 H), 2.47 (s, 3 H), 1.20 (t, $J = 7.1$ Hz, 3 H).

(*S*)-Ethyl 4-(2-fluorophenyl)-6-methyl-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate
(**3g**)

According to **G1**, except that 1 equiv of ynal and 1.5 equiv of 3-oxobutanoate were used: 74% yield, 92% ee. According to **G2** for 12 h: 85% yield, 97% ee (OD-H column, *n*-hexane/*i*-PrOH = 9/1, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 10.0$ min, $t_{\text{major}} = 12.4$ min), $[\alpha]_{\text{D}}^{20} = -151.7^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.29-6.95 (m, 4 H), 4.59 (d, $J = 7.5$ Hz, 1 H), 4.22-4.03 (m, 2 H), 2.95 (dd, $J = 16.0, 7.5$ Hz, 1 H), 2.84 (dd, $J = 16.0, 2.3$ Hz, 1 H), 2.51 (s, 3 H), 1.17 (t, $J = 7.1$ Hz, 3 H); ¹⁹F NMR (282 MHz, CDCl₃) δ -118.09-118.23 (m, 1 F); ¹³C NMR (101 MHz, CDCl₃) δ 165.7, 165.6, 162.3, 161.6, 159.1, 129.3, 129.2, 127.80, 127.76, 127.4, 127.2, 124.55, 124.52, 115.9, 115.7, 108.4, 60.8, 35.0, 31.48, 31.45, 29.7, 18.8, 14.0; IR (KBr): $\nu = 3000, 2955, 1787, 1715, 1644, 1594, 1509, 1459, 1415, 1370, 1288, 1275, 1242, 1222, 1175, 1128, 1068, 835, 789, 734$ cm⁻¹; HRMS (EI, *m/z*): calcd for [M]⁺, 278.0954; found, 278.0956.

(*R*)-Ethyl 4-(4-chlorophenyl)-2-oxo-6-phenyl-3,4-dihydro-2H-pyran-5-carboxylate
(**3h**)

According to **G1**, except that the reaction was performed at room temperature for 48 h: 49% yield, 94% ee. According to **G2** for 12 h: 73% yield, 95% ee (OD-H column, *n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 8.6$ min, $t_{\text{major}} = 15.6$ min), $[\alpha]_{\text{D}}^{20} = -164.0^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.27 (d, $J = 8.5$ Hz, 2 H), 7.08 (d, $J = 8.5$ Hz, 2 H), 4.24 (d, $J = 7.6$ Hz, 1 H), 4.14 (q, $J = 7.1$ Hz, 2 H), 2.95 (dd, $J = 15.8, 7.6$ Hz, 1 H), 2.79 (dd, $J = 15.8, 2.1$ Hz, 1 H), 2.48 (s, 3 H), 1.20 (t, $J = 7.1$ Hz, 3 H); ¹³C NMR (101 MHz, CDCl₃) δ 165.8, 161.7, 139.2, 133.4, 129.2, 128.1, 109.7, 61.0, 37.4, 36.2, 18.9, 14.1; IR (KBr): $\nu = 2982, 2927, 1789, 1714, 1649, 1492, 1412, 1370, 1287, 1243, 1174, 1117, 1075, 1031, 1015, 967, 825, 793, 672, 534$ cm⁻¹; HRMS (EI, *m/z*): calcd for [M]⁺, 294.0659; found, 294.0664.

(*R*)-Ethyl 4-(4-bromophenyl)-6-methyl-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate
(3i)

According to **G1**: 34% yield, 96% ee. According to **G2** for 12 h: 77% yield, 97% ee (OD-H column, *n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 8.3$ min, $t_{\text{major}} = 15.7$ min), $[\alpha]_{\text{D}}^{20} = -146.8^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.42 (d, $J = 8.7$ Hz, 2 H), 7.02 (d, $J = 8.7$ Hz, 2 H), 4.22 (d, $J = 7.5$ Hz, 1 H), 4.14 (q, $J = 7.1$ Hz, 2 H), 2.95 (dd, $J = 15.9, 7.5$ Hz, 1 H), 2.78 (d, $J = 15.9$ Hz, 1 H), 2.48 (s, 3 H), 1.20 (t, $J = 7.1$ Hz, 3 H).

(*R*)-Ethyl 6-methyl-4-(naphthalen-1-yl)-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate
(3j)

According to **G1**: 81% yield, 92% ee (PA-2 column, *n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 10.4$ min, $t_{\text{minor}} = 12.0$ min), $[\alpha]_{\text{D}}^{20} = -83.8^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 8.04 (d, $J = 7.8$ Hz, 1 H), 7.89 (d, $J = 7.8$ Hz, 1 H), 7.76 (d, $J = 8.2$ Hz, 1 H), 7.63-7.46 (m, 2 H), 7.37 (t, $J = 7.8$ Hz, 1 H), 7.18 (d, $J = 7.3$ Hz, 1 H), 5.15 (d, $J = 7.3$ Hz, 1 H), 4.17-3.95 (m, 2 H), 3.07 (dd, $J = 15.5, 7.3$ Hz, 1 H), 2.95 (d, $J = 15.5$ Hz, 1 H), 2.58 (s, 3 H), 1.05 (t, $J = 7.1$ Hz, 3 H).

(*S*)-Ethyl 6-methyl-2-oxo-4-(thiophen-2-yl)-3,4-dihydro-2H-pyran-5-carboxylate (**3k**)

According to **G1**: 71% yield, 94% ee. According to **G2** for 16 h: 84% yield, 97% ee (OJ-H column, *n*-hexane/*i*-PrOH = 9/1, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 35.4$ min, $t_{\text{minor}} = 64.2$ min), $[\alpha]_{\text{D}}^{20} = -118.8^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.16 (d, $J = 5.0$ Hz, 1 H), 6.94-6.87 (m, 1 H), 6.85-6.80 (m, 1 H), 4.53 (d, $J = 7.2$ Hz, 1 H), 4.23 (q, $J = 7.1$ Hz, 2 H), 3.06-2.87 (m, 2 H), 2.43 (s, 3 H), 1.28 (t, $J = 7.1$ Hz, 3 H).

(*S*)-Ethyl 4-butyl-6-methyl-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate (**3l**)

According to **G1**, except that the reaction was performed at room temperature for 48 h: 68% yield, 85% ee (PA-2 column, *n*-hexane/*i*-PrOH = 9/1, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 7.8$ min, $t_{\text{major}} = 11.0$ min), $[\alpha]_{\text{D}}^{20} = -8.0^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 4.32-4.15 (m, 2 H), 3.01-2.91 (m, 1 H), 2.70 (dd, $J = 16.0, 1.8$ Hz, 2 H), 2.58 (dd, $J = 16.0, 6.6$ Hz, 1 H), 2.34 (s, 3 H), 1.56-1.16 (m, 9 H), 0.88 (t, $J = 6.7$ Hz, 3 H); ¹³C NMR (101 MHz, CDCl₃) δ 167.3, 166.4, 160.2, 111.8, 60.7, 33.10, 33.07, 31.6, 28.5, 22.4, 18.8, 14.2, 13.8; IR (film): $\nu = 3000, 2955, 1787, 1715, 1644, 1594, 1509, 1459, 1415, 1370, 1288, 1275, 1242, 1222, 1175, 1128, 1068, 835, 789, 734$ cm⁻¹; HRMS (EI, *m/z*): calcd for [M]⁺, 240.1362; found, 240.1366.

(*R*)-Ethyl 4-cyclohexyl-6-methyl-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate (**3m**)

According to **G1**, except that the reaction was performed at room temperature for 48 h: 52% yield, 85% ee (PC-2 column, *n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 6.2$ min, $t_{\text{major}} = 6.6$ min), $[\alpha]_{\text{D}}^{20} = -11.1^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 4.36-4.12 (m, 2 H), 2.91-2.83 (m, 1 H), 2.78 (d, $J = 16.5$ Hz, 1 H),

2.51 (dd, $J = 16.5, 7.4$ Hz, 1 H), 2.34 (s, 3 H), 1.79-0.79 (m, 14 H); ^{13}C NMR (101 MHz, CDCl_3) δ 167.9, 166.7, 160.0, 110.6, 60.7, 41.4, 37.1, 30.8, 30.4, 29.0, 26.3, 26.2, 26.1, 18.8, 14.2; IR (KBr): $\nu = 3000, 2955, 1787, 1715, 1644, 1594, 1509, 1459, 1415, 1370, 1288, 1275, 1242, 1222, 1175, 1128, 1068, 835, 789, 734$ cm^{-1} ; HRMS (EI, m/z): calcd for $[\text{M}]^+$, 266.1518; found, 266.1521.

(*R*)-Methyl 6-methyl-2-oxo-4-phenyl-3,4-dihydro-2H-pyran-5-carboxylate (**3n**)

According to **G1**: 71% yield, 95% ee (Sino-AD column, *n*-hexane/*i*-PrOH = 98/2, flow rate 0.5 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 15.9$ min, $t_{\text{minor}} = 17.2$ min), $[\alpha]_{\text{D}}^{20} = -135.0^\circ$ ($c = 1$, CHCl_3). According to **G2** for 12 h: 67% yield, 94% ee. ^1H NMR (300 MHz, CDCl_3) δ 7.35-7.09 (m, 5 H), 4.26 (d, $J = 7.3$ Hz, 1 H), 3.67 (s, 3 H), 2.94 (dd, $J = 15.9, 7.3$ Hz, 1 H), 2.82 (d, $J = 15.9$ Hz, 1 H), 2.48 (s, 3 H).

(*R*)-5-Acetyl-6-methyl-4-phenyl-3,4-dihydro-2H-pyran-2-one (**3o**)

According to **G1**: 46% yield, 95% ee (Sino-OD column, *n*-hexane/*i*-PrOH = 9/1, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 18.4$ min, $t_{\text{major}} = 22.8$ min), $[\alpha]_{\text{D}}^{20} = -138.0^\circ$ ($c = 1$, CHCl_3). According to **G2** for 22 h: 58% yield, 95% ee. ^1H NMR (300 MHz, CDCl_3) δ 7.31-7.03 (m, 5 H), 4.08 (d, $J = 7.1$ Hz, 1 H), 2.90 (dd, $J = 15.7, 7.1$ Hz, 1 H), 2.76 (dd, $J = 15.7, 2.6$ Hz, 1 H), 2.36 (s, 3 H), 2.05 (s, 3 H).

(*R*)-5-Benzoyl-6-methyl-4-phenyl-3,4-dihydro-2H-pyran-2-one (**3p**)

According to **G1**, except that the reaction was performed at room temperature for 48 h. 60% yield, 96% ee (PA-2 column, *n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 17.9$ min, $t_{\text{minor}} = 31.5$ min), $[\alpha]_{\text{D}}^{20} = -26.7^\circ$ ($c = 1$, CHCl_3). According to **G2** for 24 h: 62% yield, 90% ee. ^1H NMR (300 MHz, CDCl_3) δ 7.73-7.07 (m, 10 H), 4.32 (brs, 1 H), 3.07 (dd, $J = 15.8, 7.0$ Hz, 1 H), 2.92 (dd, $J = 15.8, 3.4$ Hz, 1 H),

1.89 (s, 3 H).

(*R*)-Ethyl 4-(4-cyanophenyl)-6-methyl-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate
(**3q**)

According to **G2** for 12 h: 37% yield, 96% ee (OD-H column, *n*-hexane/*i*-PrOH = 5/5, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 9.9$ min, $t_{\text{major}} = 20.4$ min), $[\alpha]_{\text{D}}^{20} = -189.0^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.62 (d, $J = 8.2$ Hz, 2 H), 7.27 (d, $J = 8.2$ Hz, 2 H), 4.32 (d, $J = 7.7$ Hz, 1 H), 4.14 (q, $J = 7.1$ Hz, 2 H), 3.01 (dd, $J = 15.9, 7.7$ Hz, 1 H), 2.81 (dd, $J = 15.9, 2.0$ Hz, 1 H), 2.50 (s, 3 H), 1.20 (t, $J = 7.1$ Hz, 3 H); ¹³C NMR (101 MHz, CDCl₃) δ 165.5, 165.2, 162.3, 146.1, 133.0, 127.6, 118.4, 111.7, 109.0, 61.1, 38.1, 35.8, 19.0, 14.1; IR (KBr): $\nu = 2983, 2926, 2229, 1790, 1716, 1650, 1608, 1504, 1415, 1372, 1292, 1271, 1243, 1170, 1120, 1075, 1032, 966, 867, 848, 785, 560$ cm⁻¹; HRMS (EI, m/z): calcd for [M]⁺, 285.1001; found, 285.1005.

(*R*)-Ethyl 6-methyl-2-oxo-4-(4-(trifluoromethyl)phenyl)-3,4-dihydro-2H-pyran-5-carboxylate (**3r**)

According to **G2** for 12 h: 42% yield, 93% ee (OD-H column, *n*-hexane/*i*-PrOH = 5/5, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 7.2$ min, $t_{\text{major}} = 13.5$ min), $[\alpha]_{\text{D}}^{20} = -133.3^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.57 (d, $J = 7.9$ Hz, 2 H), 7.27 (d, $J = 7.9$ Hz, 2 H), 4.33 (d, $J = 7.8$ Hz, 1 H), 4.14 (q, $J = 7.0$ Hz, 2 H), 3.00 (dd, $J = 16.0, 7.8$ Hz, 1 H), 2.82 (dd, $J = 16.0, 1.9$ Hz, 1 H), 2.50 (s, 3 H), 1.20 (t, $J = 7.0$ Hz, 3 H); ¹³C NMR (101 MHz, CDCl₃) δ 165.6, 165.5, 162.0, 144.8, 130.0 (q, $J = 32.3$ Hz), 127.1, 126.1 (q, $J = 3.6$ Hz), 124.0 (q, $J = 276.4$ Hz), 109.4, 61.0, 37.8, 36.0, 19.0, 14.0; IR (KBr): $\nu = 2984, 2930, 1789, 1716, 1649, 1620, 1419, 1327, 1171, 1112, 1069, 1031, 847, 784$ cm⁻¹; HRMS (EI, m/z): calcd for [M]⁺, 328.0922; found, 328.0923.

(*R*)-Ethyl 4-(4-(methoxycarbonyl)phenyl)-6-methyl-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate (**3s**)

According to **G2** for 12 h: 67% yield, 98% ee (OD-H column, *n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 10.9$ min, $t_{\text{major}} = 27.2$ min), $[\alpha]_{\text{D}}^{20} = -173.3^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.98 (d, $J = 8.3$ Hz, 2 H), 7.22 (d, $J = 8.3$ Hz, 2 H), 4.32 (d, $J = 7.7$ Hz, 1 H), 4.13 (q, $J = 7.1$ Hz, 2 H), 3.90 (s, 3 H), 2.99 (dd, $J = 15.9, 7.7$ Hz, 1 H), 2.82 (dd, $J = 15.9, 2.1$ Hz, 1 H), 2.50 (s, 3 H), 1.18 (t, $J = 7.1$ Hz, 3 H); ¹³C NMR (101 MHz, CDCl₃) δ 166.6, 165.7, 165.6, 161.9, 145.9, 130.4, 129.6, 126.8, 109.4, 61.0, 52.1, 37.9, 36.0, 18.9, 14.1; IR (KBr): $\nu = 2981, 2954, 2923, 1790, 1721, 1649, 1611, 1436, 1282, 1178, 1115, 1074, 1031, 963, 856, 772, 708$ cm⁻¹; HRMS (EI, m/z): calcd for [M]⁺, 318.1103; found, 318.1109.

(*R*)-Ethyl 4-(4-acetylphenyl)-6-methyl-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate (**3t**)

According to **G2** for 12 h: 72% yield, 96% ee (AD-H column, *n*-hexane/*i*-PrOH = 5/5, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 10.1$ min, $t_{\text{major}} = 17.7$ min), $[\alpha]_{\text{D}}^{20} = -180.7^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.91 (d, $J = 8.5$ Hz, 2 H), 7.25 (d, $J = 8.5$ Hz, 2 H), 4.33 (d, $J = 7.7$ Hz, 1 H), 4.13 (q, $J = 7.1$ Hz, 2 H), 3.00 (dd, $J = 15.8, 7.7$ Hz, 1 H), 2.82 (dd, $J = 15.8, 2.3$ Hz, 1 H), 2.58 (s, 3 H), 2.50 (s, 3 H), 1.19 (t, $J = 7.1$ Hz, 3 H); ¹³C NMR (101 MHz, CDCl₃) δ 197.4, 165.7, 165.6, 161.9, 146.1, 136.5, 129.2, 127.0, 109.4, 61.0, 37.9, 36.0, 26.6, 19.0, 14.1; IR (KBr): $\nu = 2983, 2926, 1788, 1716, 1685, 1650, 1607, 1414, 1361, 1296, 1270, 1173, 1117, 1074, 1031, 959, 866, 847, 830, 782, 598$ cm⁻¹; HRMS (EI, m/z): calcd for [M]⁺, 302.1154; found, 302.1151.

(*R*)-Ethyl 6-methyl-4-(3-nitrophenyl)-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate (**3u**)

According to **G2** for 24 h: 56% yield, 97% ee (OD-H column, *n*-hexane/*i*-PrOH = 5/5, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 10.0$ min, $t_{\text{major}} = 19.9$ min), $[\alpha]_{\text{D}}^{20} = -168.7^{\circ}$ ($c = 1$, CHCl_3), $^1\text{H NMR}$ (300 MHz, CDCl_3) δ 8.15-8.00 (m, 2 H), 7.54-7.43 (m, 2 H), 4.38 (d, $J = 7.9$ Hz, 1 H), 4.14 (q, $J = 7.5$ Hz, 2 H), 3.02 (dd, $J = 16.0, 7.5$ Hz, 1 H), 2.84 (dd, $J = 16.0, 2.1$ Hz, 1 H), 2.50 (s, 3 H), 1.19 (t, $J = 7.2$ Hz, 3 H); $^{13}\text{C NMR}$ (101 MHz, CDCl_3) δ 165.5, 165.2, 162.6, 148.7, 142.9, 132.6, 130.2, 122.7, 122.1, 109.0, 61.2, 37.7, 36.0, 19.0, 14.1; IR (KBr): $\nu = 2982, 2926, 1789, 1716, 1650, 1532, 1351, 1292, 1243, 1119, 1075, 1032, 862, 693$ cm^{-1} ; HRMS (EI, m/z): calcd for $[\text{M}]^+$, 305.0899; found, 305.0901.

(*S*)-Ethyl 4,6-dimethyl-2-oxo-3,4-dihydro-2H-pyran-5-carboxylate (**3v**)

According to **G2**, except that the reaction was performed at room temperature for 66 h. 81% yield, 83% ee (PA-2 column, *n*-hexane/*i*-PrOH = 8/2, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{minor}} = 13.5$ min, $t_{\text{major}} = 26.2$ min), $[\alpha]_{\text{D}}^{20} = -18.3^{\circ}$ ($c = 1$, CHCl_3), $^1\text{H NMR}$ (300 MHz, CDCl_3) δ 4.30-4.14 (m, 2 H), 3.12-2.99 (m, 1 H), 2.65 (dd, $J = 15.7, 6.4$ Hz, 1 H), 2.53 (dd, $J = 15.6, 2.2$ Hz, 1 H), 2.32 (s, 3 H), 1.30 (t, $J = 7.2$ Hz, 3 H), 1.09 (d, $J = 7.1$ Hz, 3 H); $^{13}\text{C NMR}$ (101 MHz, CDCl_3) δ 167.1, 166.2, 160.2, 112.3, 60.7, 35.7, 27.1, 19.3, 18.8, 14.2; IR (film): $\nu = 2980, 2930, 1790, 1714, 1650, 1462, 1382, 1349, 1297, 1250, 1133, 1082, 1009, 983, 925, 844, 779$ cm^{-1} ; HRMS (EI, m/z): calcd for $[\text{M}]^+$, 198.0892; found, 198.0890.

(*R*)-Ethyl 6-ethyl-2-oxo-4-phenyl-3,4-dihydro-2H-pyran-5-carboxylate (**3w**)

According to **G2** for 22 h: 72% yield, 95% ee (Sino-AD column, *n*-hexane/*i*-PrOH = 98/2, flow rate 0.5 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 16.9$ min, $t_{\text{minor}} = 18.8$ min), $[\alpha]_{\text{D}}^{20} = -151.1^{\circ}$ ($c = 1$, CHCl_3), $^1\text{H NMR}$ (300 MHz, CDCl_3) δ 7.35-7.08 (m, 5 H), 4.25 (dd, $J = 7.3, 2.0$ Hz, 1 H), 4.13 (q, $J = 7.1$ Hz, 2 H), 3.00-2.73 (m, 4 H), 1.28 (t, $J = 7.3$ Hz,

3 H), 1.19 (t, $J = 7.1$ Hz, 3 H).

(*R*)-Ethyl 2-oxo-4,6-diphenyl-3,4-dihydro-2H-pyran-5-carboxylate (**3x**)

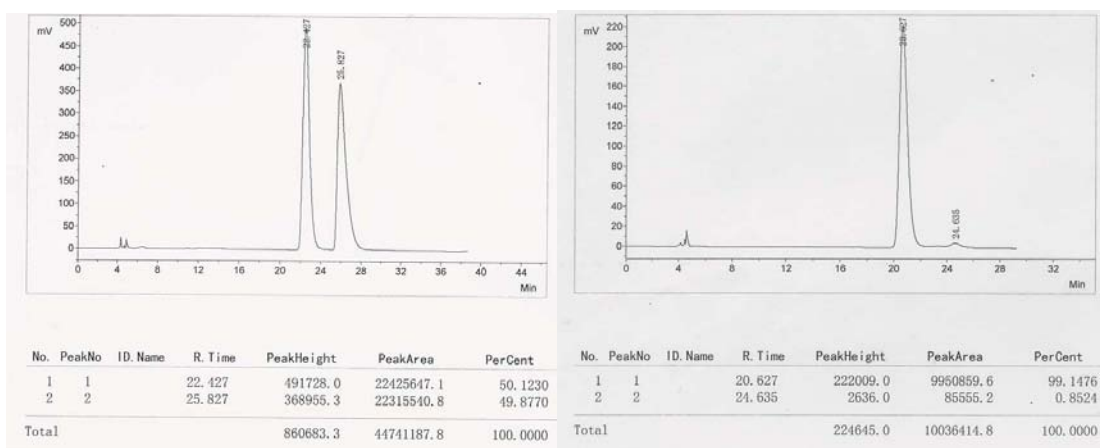
According to **G2** for 24 h: 66% yield, 92% ee (PA-2 column, *n*-hexane/*i*-PrOH = 95/5, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 28.3$ min, $t_{\text{minor}} = 31.9$ min), $[\alpha]_{\text{D}}^{20} = -70.0^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.56-7.20 (m, 10 H), 4.41 (dd, $J = 7.6, 2.3$ Hz, 1 H), 4.02-3.84 (m, 2 H), 3.11 (dd, $J = 15.8, 7.6$ Hz, 1 H), 2.94 (dd, $J = 15.8, 2.3$ Hz, 1 H), 0.87 (t, $J = 7.2$ Hz, 3 H); ¹³C NMR (101 MHz, CDCl₃) δ 166.4, 166.0, 158.6, 140.0, 133.2, 130.2, 129.2, 128.7, 128.0, 127.8, 126.8, 111.8, 61.0, 38.9, 36.4, 13.5.

(*R*)-5-Benzoyl-4,6-diphenyl-3,4-dihydro-2H-pyran-2-one (**3y**)

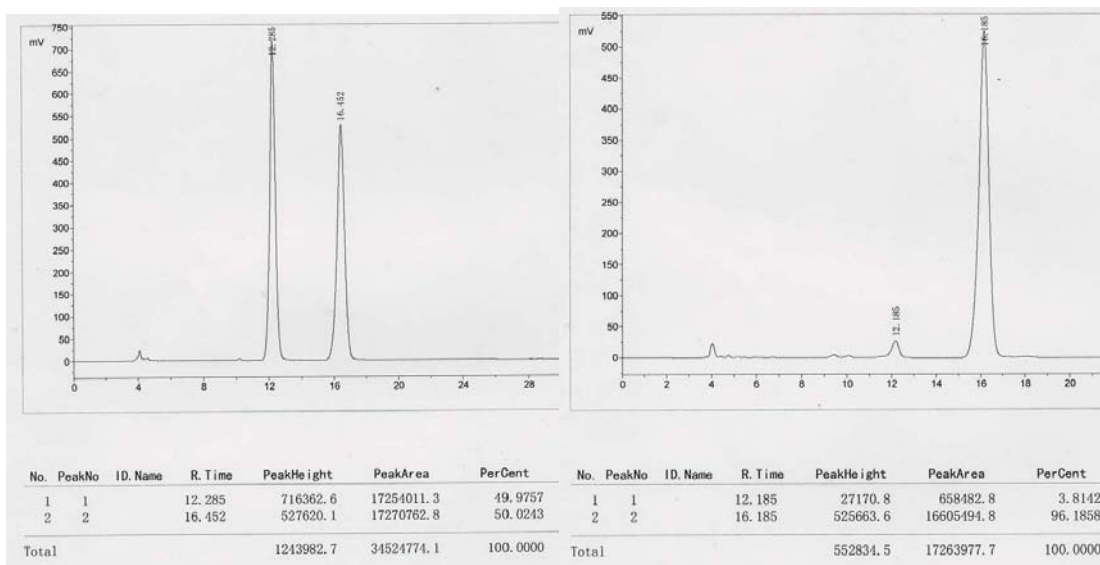
According to **G2** for 24 h: 90% yield, 90% ee (PA-2 column, *n*-hexane/*i*-PrOH = 8/2, flow rate 0.7 mL/min, $\lambda = 214$ nm, $t_{\text{major}} = 25.9$ min, $t_{\text{minor}} = 39.9$ min), $[\alpha]_{\text{D}}^{20} = -9.3^{\circ}$ ($c = 1$, CHCl₃), ¹H NMR (300 MHz, CDCl₃) δ 7.54-7.04 (m, 15 H), 4.57 (dd, $J = 7.7, 2.3$ Hz, 1 H), 3.22 (dd, $J = 16.0, 7.7$ Hz, 1 H), 3.06 (dd, $J = 16.0, 2.3$ Hz, 1 H); ¹³C NMR (101 MHz, CDCl₃) δ 196.0, 166.7, 154.8, 139.8, 137.2, 132.6, 132.0, 130.3, 129.3, 129.0, 128.1, 128.0, 127.8, 126.9, 118.4, 40.4, 36.0.

HPLC spectra

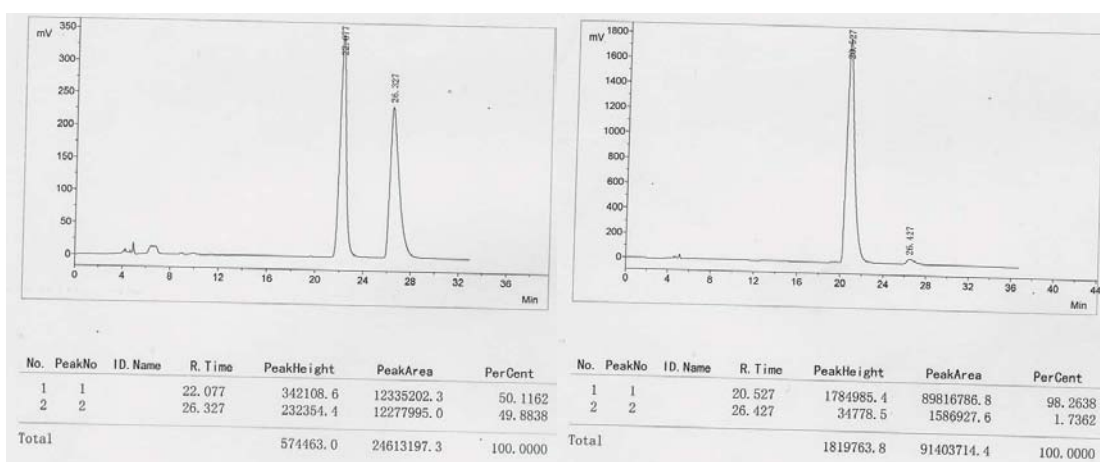
PA-2 column (*n*-hexane/*i*-PrOH = 98/2, flow rate 0.7 mL/min, λ = 214 nm)



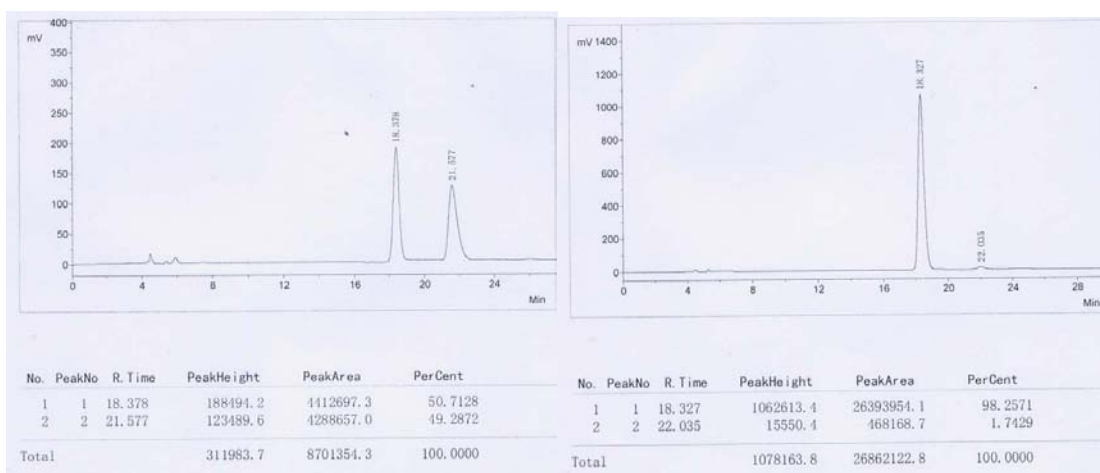
Sino-OD column (*n*-hexane/*i*-PrOH = 9/1, flow rate 0.7 mL/min, λ = 214 nm)



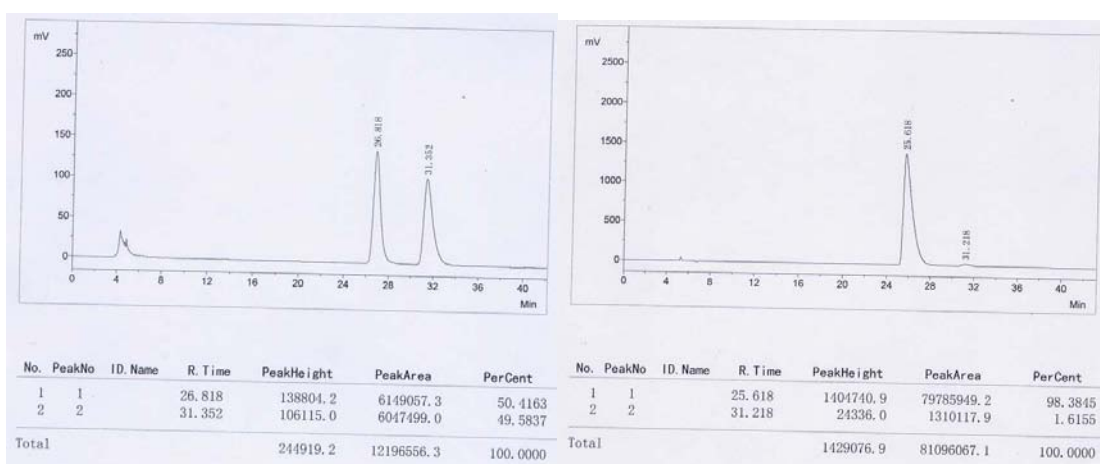
PA-2 column (*n*-hexane/*i*-PrOH = 98/2, flow rate 0.7 mL/min, $\lambda = 214$ nm)



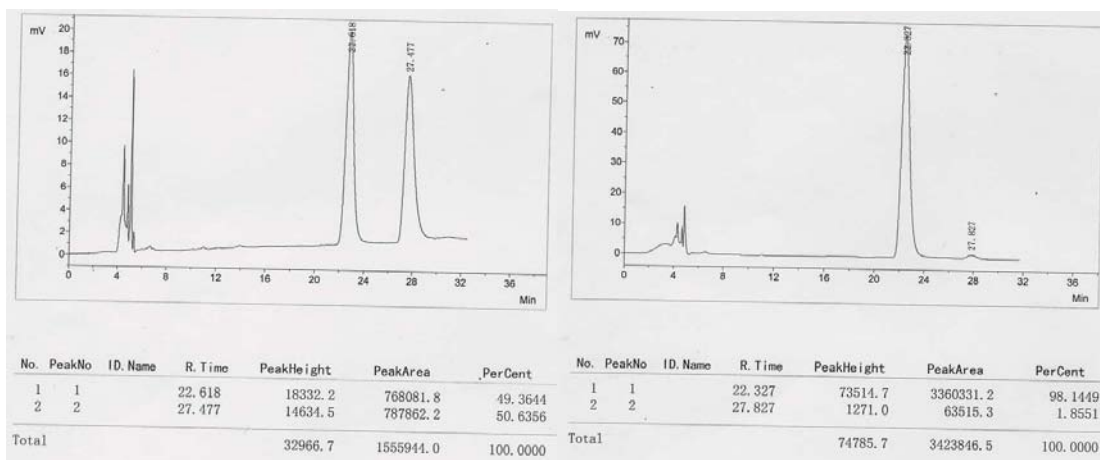
PA-2 column (*n*-hexane/*i*-PrOH = 98/2, flow rate 0.7 mL/min, $\lambda = 214$ nm)



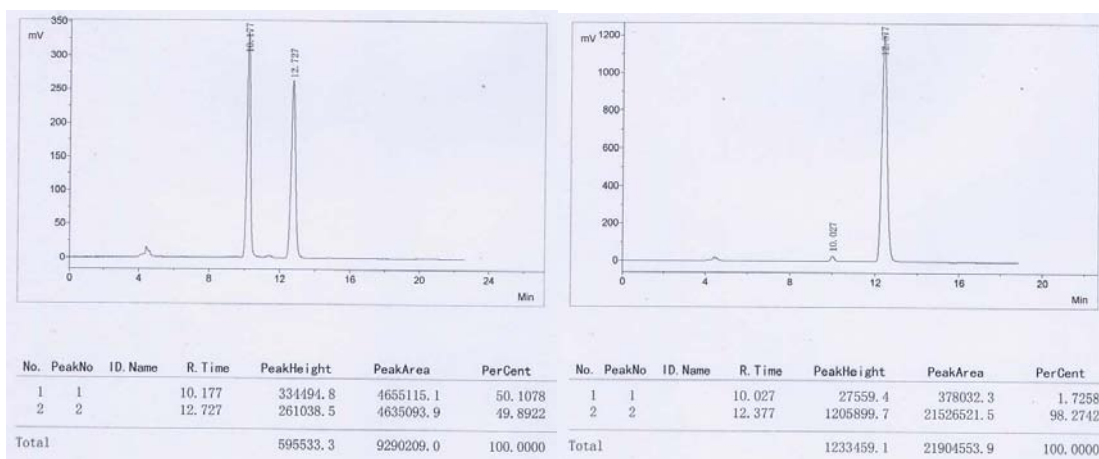
PA-2 column (*n*-hexane/*i*-PrOH = 98/2, flow rate 0.7 mL/min, $\lambda = 214$ nm)



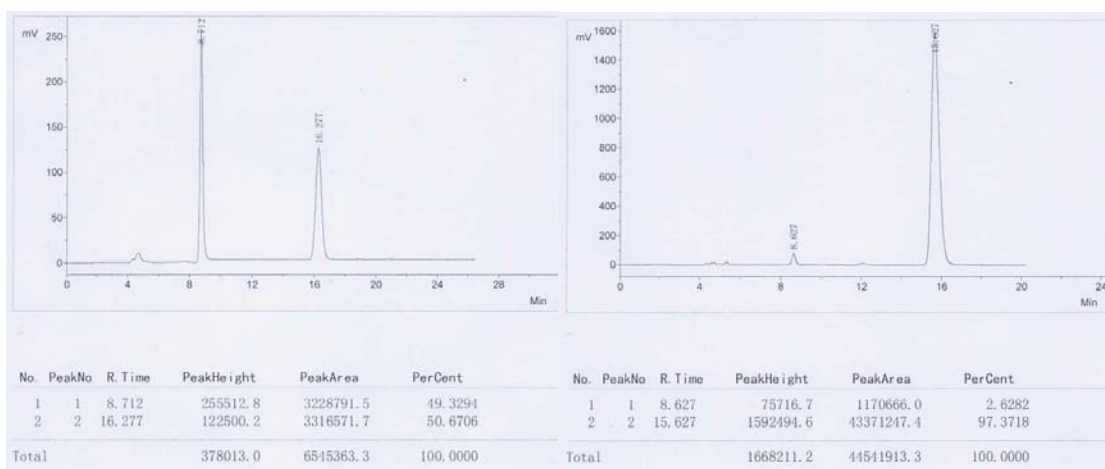
PA-2 column (*n*-hexane/*i*-PrOH = 98/2, flow rate 0.7 mL/min, $\lambda = 214$ nm)



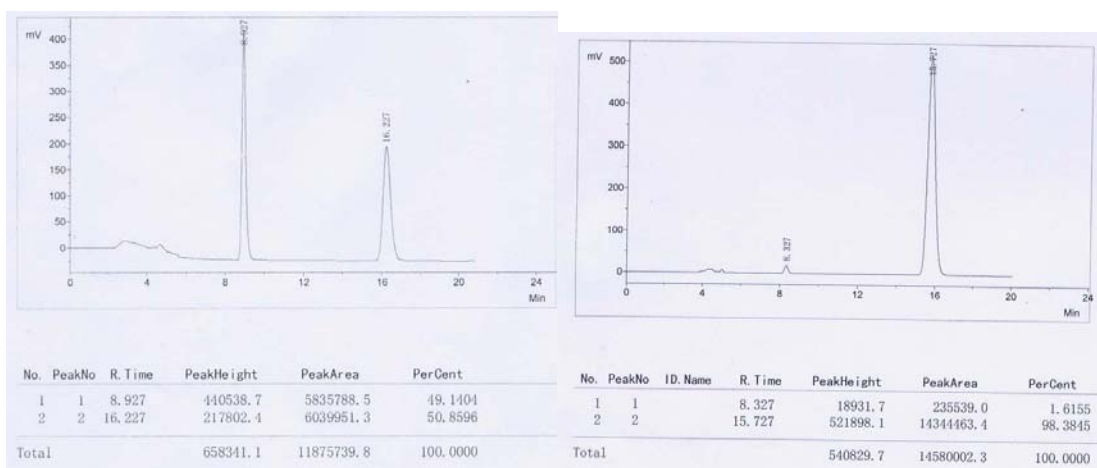
OD-H column (*n*-hexane/*i*-PrOH = 9/1, flow rate 0.7 mL/min, $\lambda = 214$ nm)



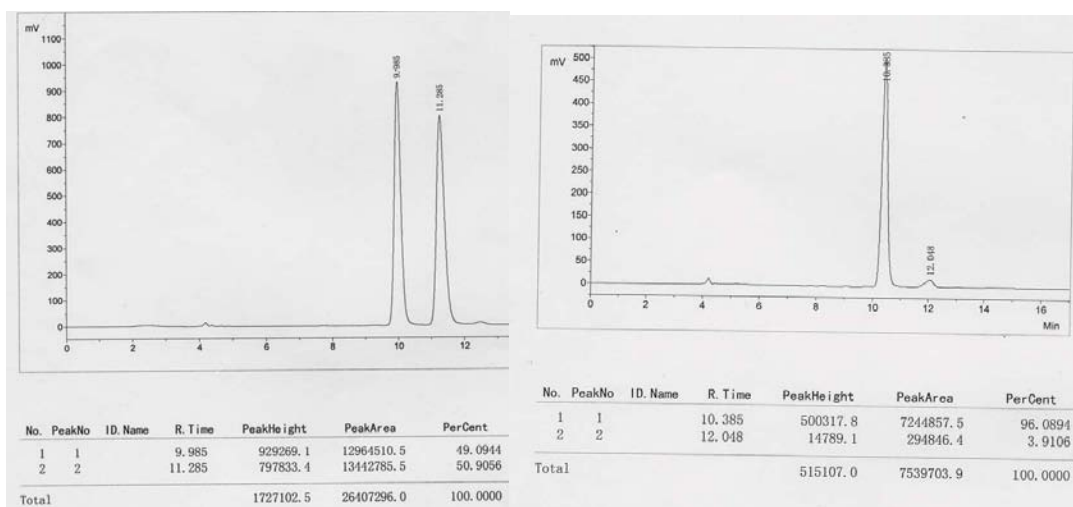
OD-H column (*n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm)



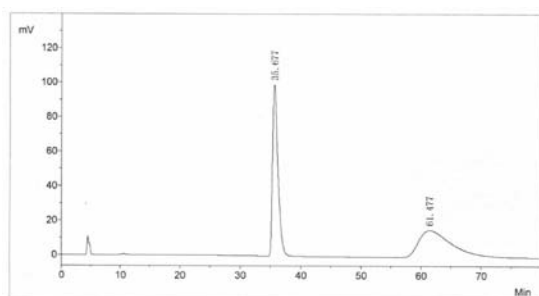
OD-H column (*n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm)



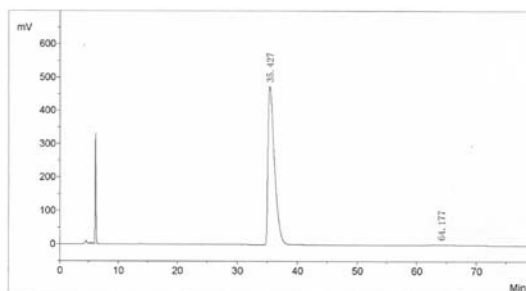
PA-2 column (*n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm)



OJ-H column (*n*-hexane/*i*-PrOH = 9/1, flow rate 0.7 mL/min, $\lambda = 214$ nm)

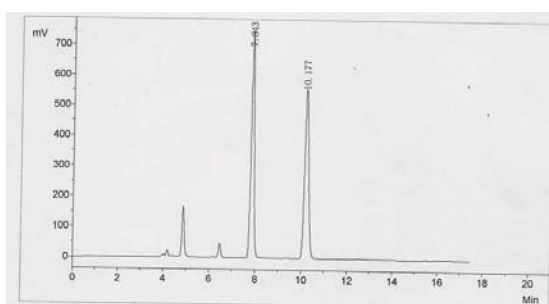


No.	PeakNo	ID. Name	R. Time	PeakHeight	PeakArea	PerCent
1	1		35.677	99187.8	6150412.5	50.7797
2	2		61.177	15596.3	5961547.0	49.2203
Total				114784.2	12111959.5	100.0000

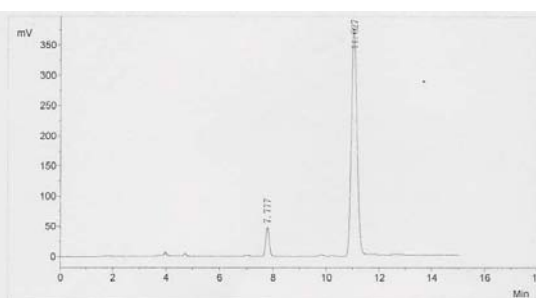


No.	PeakNo	ID. Name	R. Time	PeakHeight	PeakArea	PerCent
1	1		35.427	473983.1	35524545.6	98.3987
2	2		64.177	1600.7	578106.8	1.6013
Total				475583.8	36102652.4	100.0000

PA-2 column (*n*-hexane/*i*-PrOH = 9/1, flow rate 0.7 mL/min, $\lambda = 214$ nm)

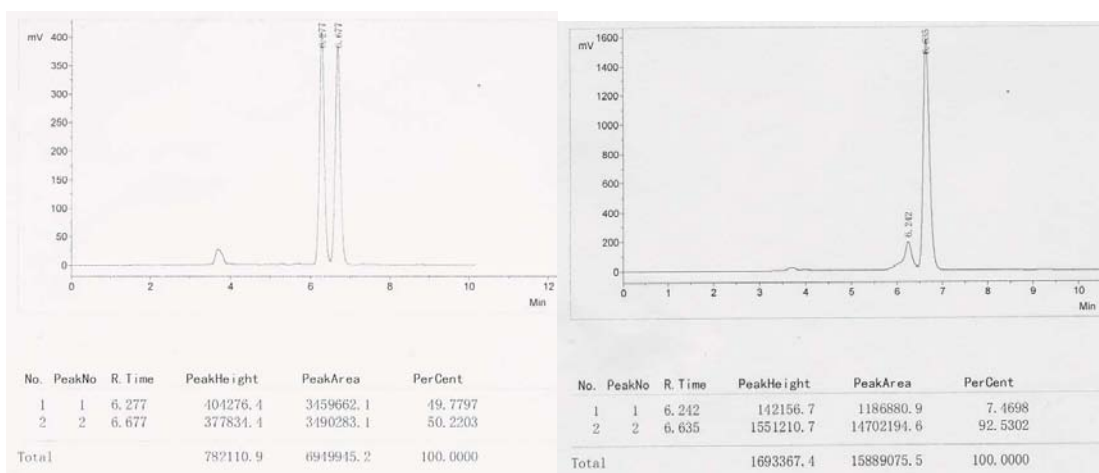


No.	PeakNo	ID. Name	R. Time	PeakHeight	PeakArea	PerCent
1	1		7.843	743125.9	6698840.0	49.2208
2	2		10.177	540599.5	6910948.3	50.7792
Total				1283725.4	13609788.3	100.0000

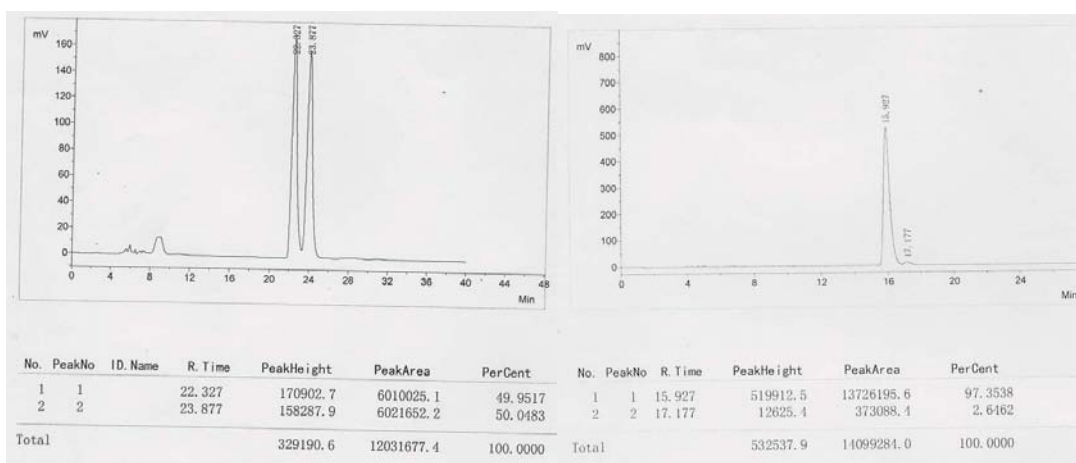


No.	PeakNo	R. Time	PeakHeight	PeakArea	PerCent	
1	1	7.777	47541.4	461628.7	7.5856	
2	2	11.027	376636.3	5623939.8	92.4144	
Total				424177.7	6085568.6	100.0000

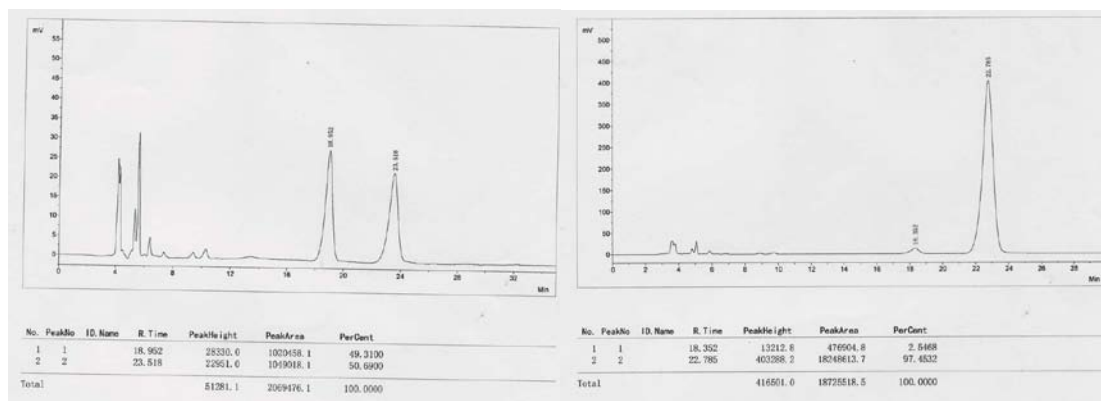
PC-2 column (*n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm)



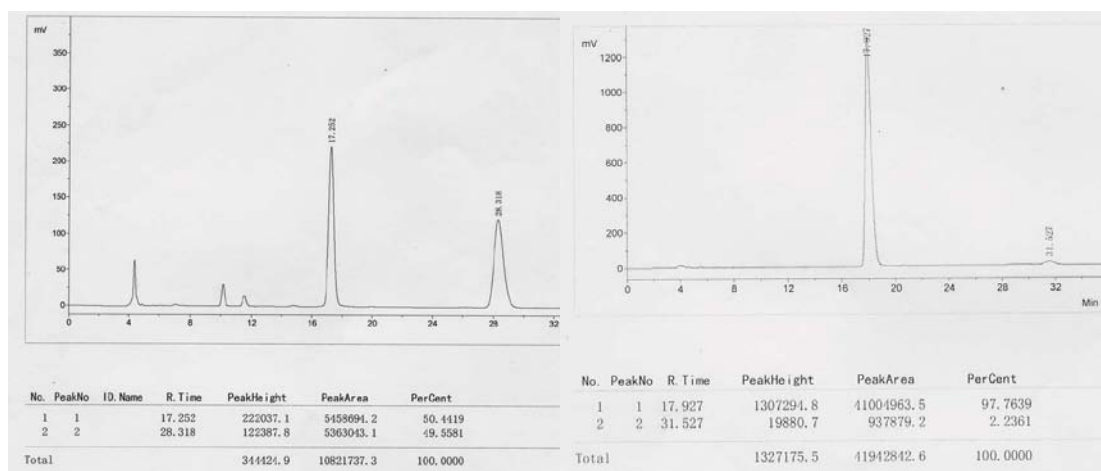
Sino-AD column (*n*-hexane/*i*-PrOH = 98/2, flow rate 0.5 mL/min, $\lambda = 214$ nm)



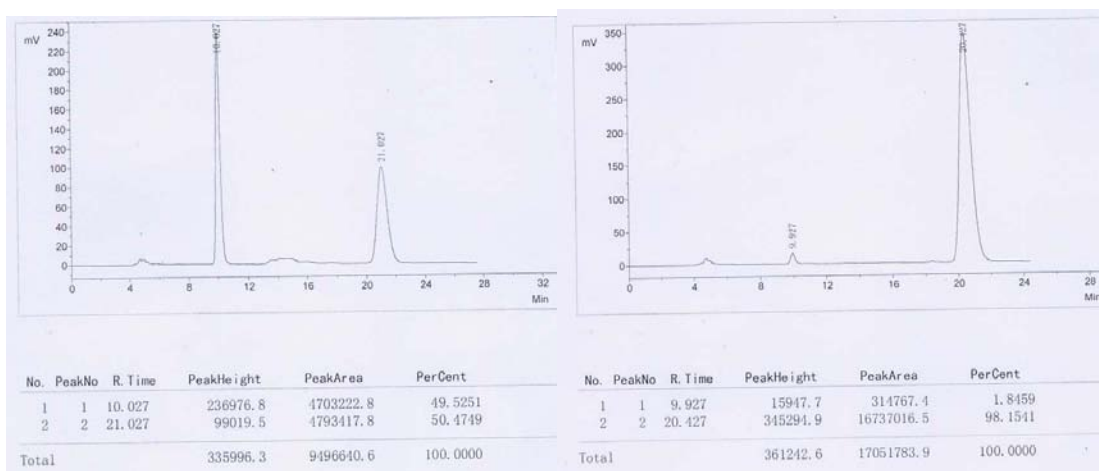
Sino-OD column (*n*-hexane/*i*-PrOH = 9/1, flow rate 0.7 mL/min, $\lambda = 214$ nm)



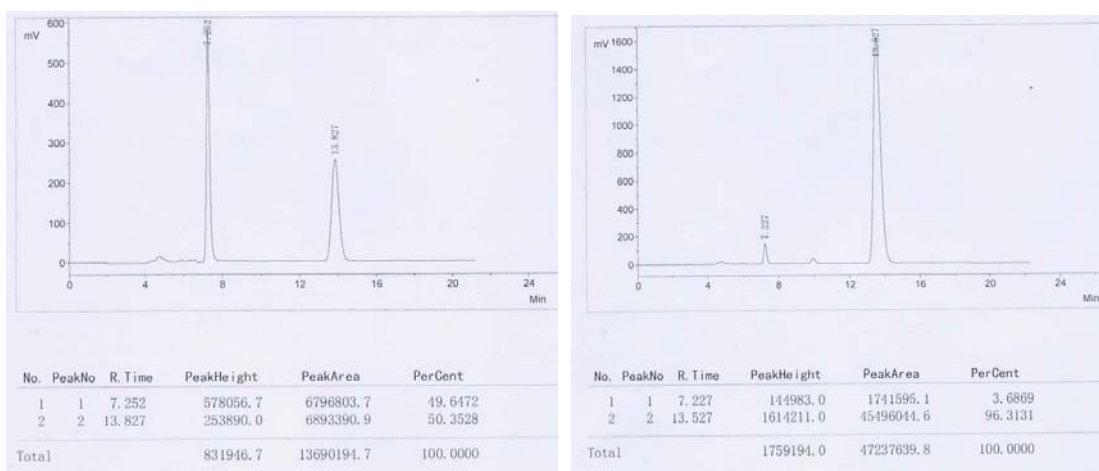
PA-2 column (*n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm)



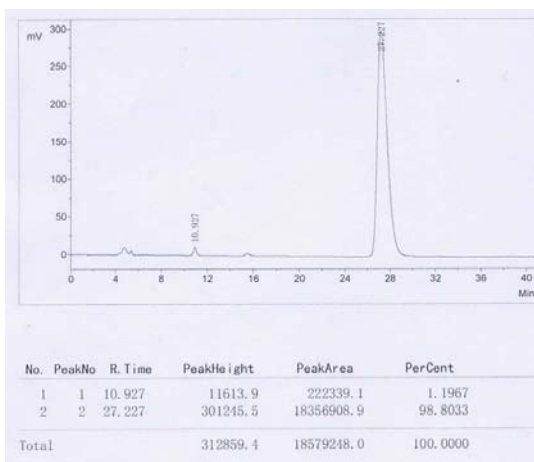
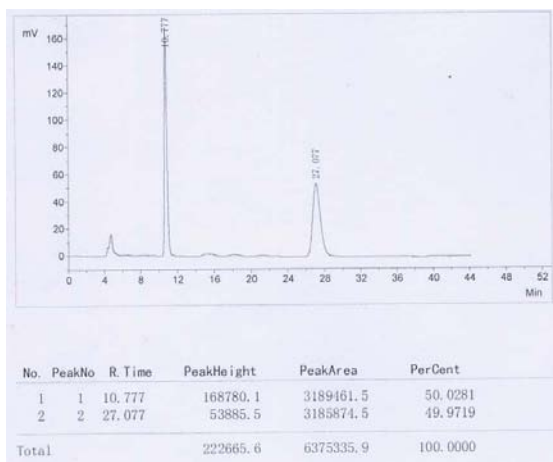
OD-H column (*n*-hexane/*i*-PrOH = 5/5, flow rate 0.7 mL/min, $\lambda = 214$ nm)



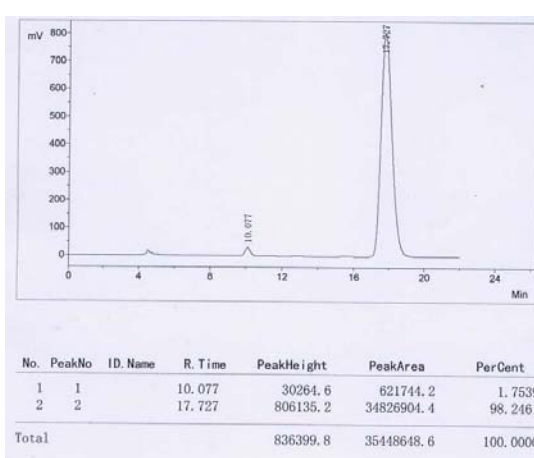
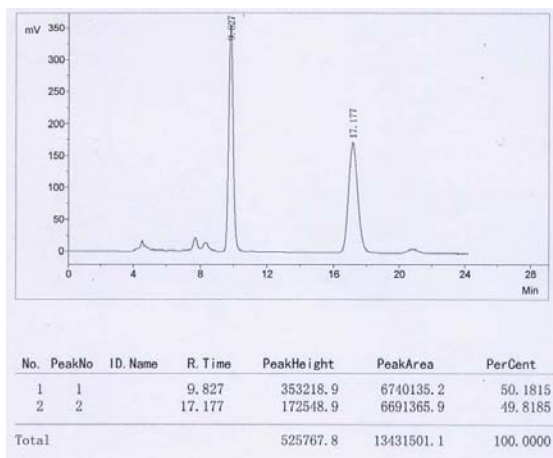
OD-H column (*n*-hexane/*i*-PrOH = 5/5, flow rate 0.7 mL/min, $\lambda = 214$ nm)



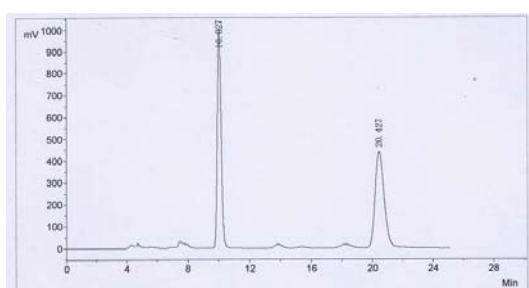
OD-H column (*n*-hexane/*i*-PrOH = 7/3, flow rate 0.7 mL/min, $\lambda = 214$ nm)



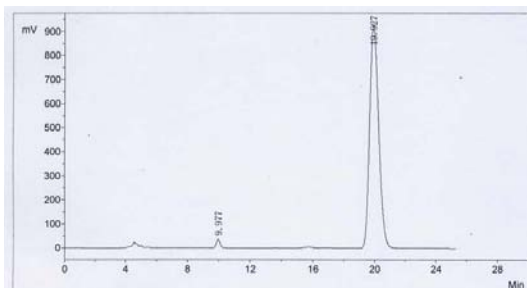
AD-H column (*n*-hexane/*i*-PrOH = 5/5, flow rate 0.7 mL/min, $\lambda = 214$ nm)



OD-H column (*n*-hexane/*i*-PrOH = 5/5, flow rate 0.7 mL/min, $\lambda = 214$ nm)

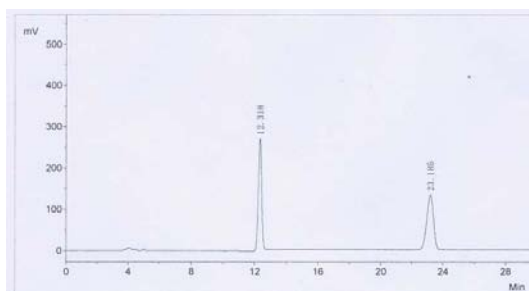


No.	PeakNo	ID. Name	R. Time	PeakHeight	PeakArea	PerCent
1	1		10.027	1005602.0	18241787.1	49.7058
2	2		20.427	439156.0	18457732.7	50.2942
Total				1444757.9	36699519.8	100.0000

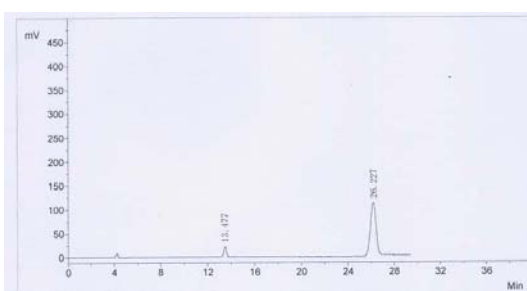


No.	PeakNo	ID. Name	R. Time	PeakHeight	PeakArea	PerCent
1	1		9.977	33959.5	585012.8	1.5102
2	2		19.927	930339.5	38152546.9	98.4898
Total				964299.0	38737559.7	100.0000

PA-2 column (*n*-hexane/*i*-PrOH = 8/2, flow rate 0.7 mL/min, $\lambda = 214$ nm)

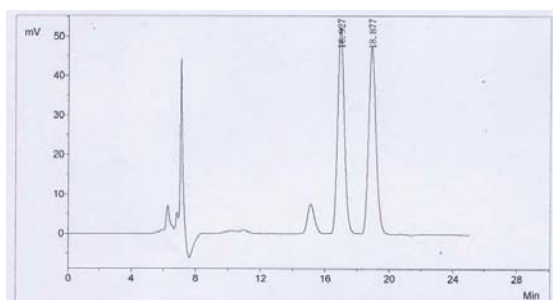


No.	PeakNo	R. Time	PeakHeight	PeakArea	PerCent
1	1	12.318	269093.5	4077073.4	50.7295
2	2	23.185	131888.9	3959819.5	49.2705
Total			400982.3	8036892.9	100.0000

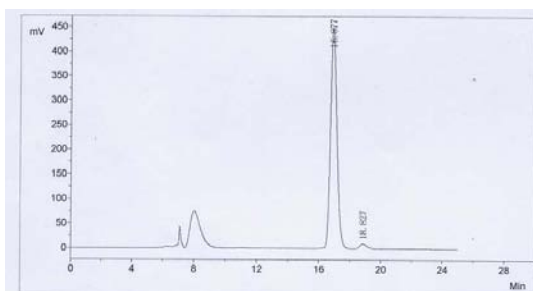


No.	PeakNo	R. Time	PeakHeight	PeakArea	PerCent
1	1	13.477	21952.6	364791.9	8.4235
2	2	26.227	111943.7	3965875.5	91.5765
Total			133896.3	4330667.3	100.0000

Sino-AD column (*n*-hexane/*i*-PrOH = 98/2, flow rate 0.5 mL/min, $\lambda = 214$ nm)

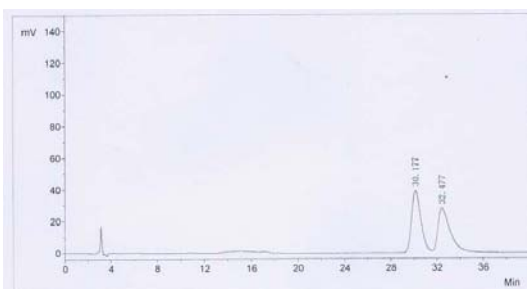


No.	PeakNo	ID. Name	R. Time	PeakHeight	PeakArea	PerCent
1	1		16.927	51960.3	1520751.8	49.9799
2	2		18.877	47347.5	1521973.1	50.0201
Total				99307.9	3042724.9	100.0000

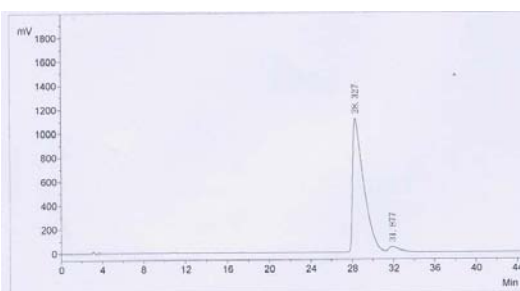


No.	PeakNo	ID. Name	R. Time	PeakHeight	PeakArea	PerCent
1	1		16.877	449044.0	12943376.6	97.6955
2	2		18.827	9704.2	305321.7	2.3045
Total				458748.2	13248698.3	100.0000

PA-2 column (*n*-hexane/*i*-PrOH = 95/5, flow rate 1.0 mL/min, $\lambda = 214$ nm)

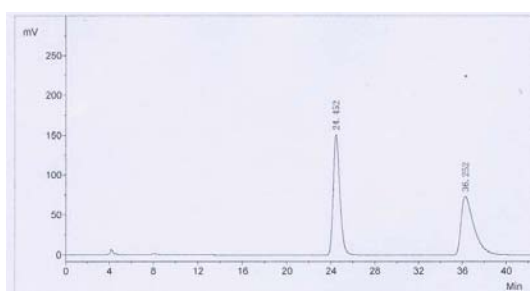


No.	PeakNo	R. Time	PeakHeight	PeakArea	PerCent
1	1	30.177	39241.1	2211322.1	51.2510
2	2	32.477	28089.2	2103368.3	48.7490
Total			67330.3	4314690.4	100.0000

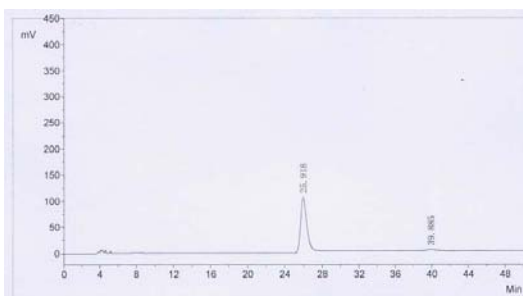


No.	PeakNo	R. Time	PeakHeight	PeakArea	PerCent
1	1	28.327	1119318.6	83535531.3	95.7784
2	2	31.877	46837.5	3681993.4	4.2216
Total			1166156.1	87217524.7	100.0000

PA-2 column (*n*-hexane/*i*-PrOH = 8/2, flow rate 0.7 mL/min, $\lambda = 214$ nm)

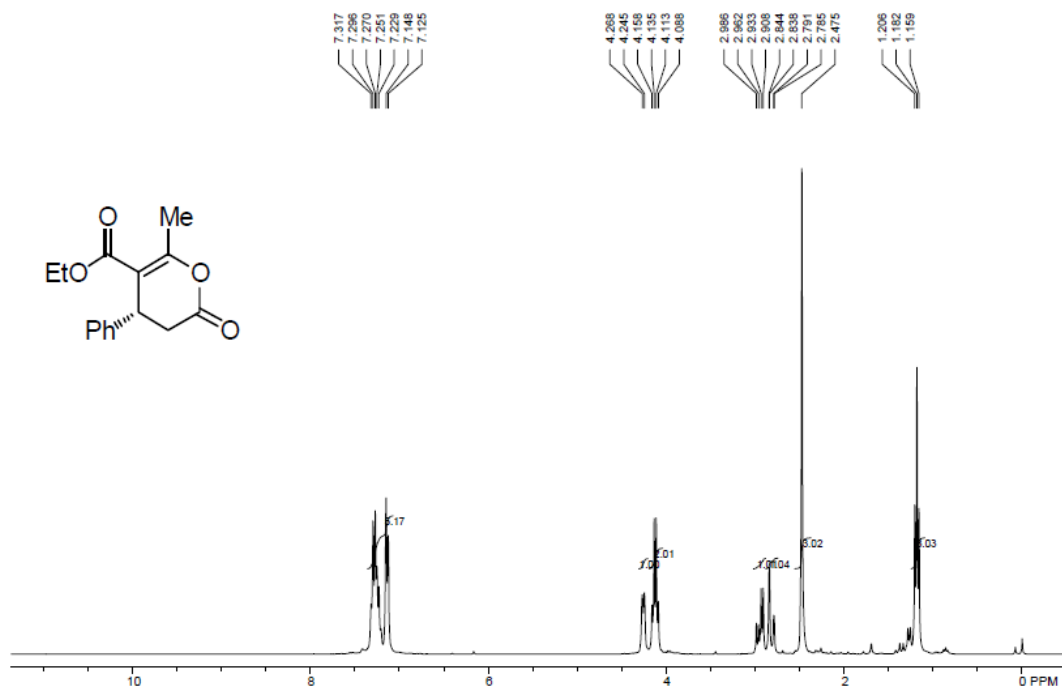


No.	PeakNo	R. Time	PeakHeight	PeakArea	PerCent
1	1	24.452	151831.4	6352467.9	51.0649
2	2	36.252	74471.2	6087532.3	48.9351
Total			226302.6	12440000.3	100.0000

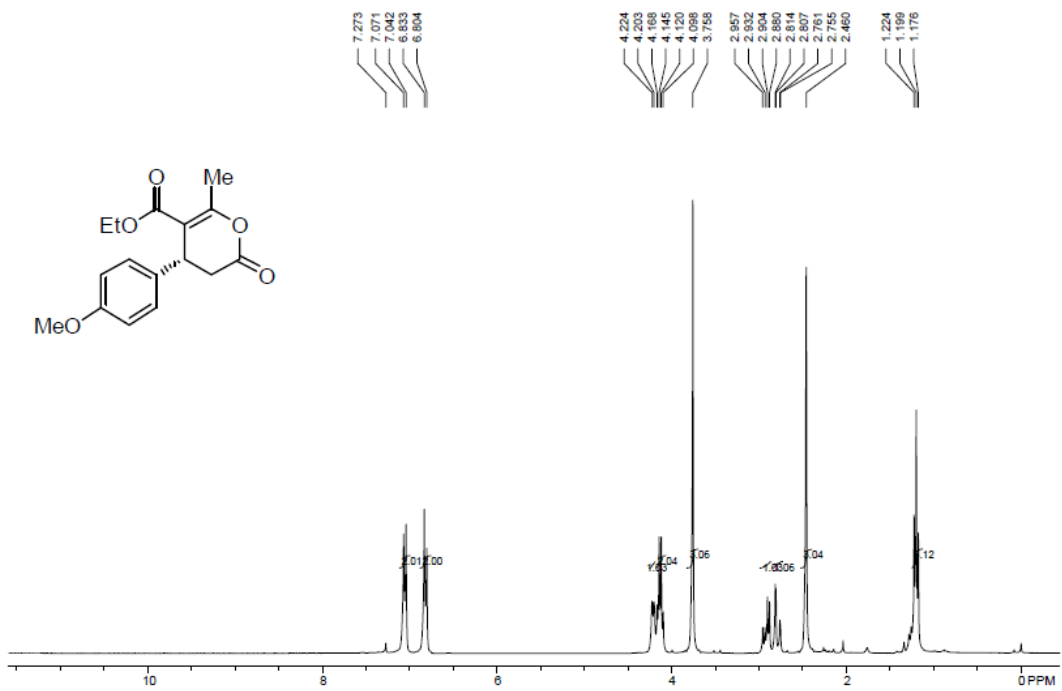


No.	PeakNo	R. Time	PeakHeight	PeakArea	PerCent
1	1	25.918	105413.0	4965162.1	95.1733
2	2	39.885	2810.0	251808.1	4.8267
Total			108223.0	5216970.3	100.0000

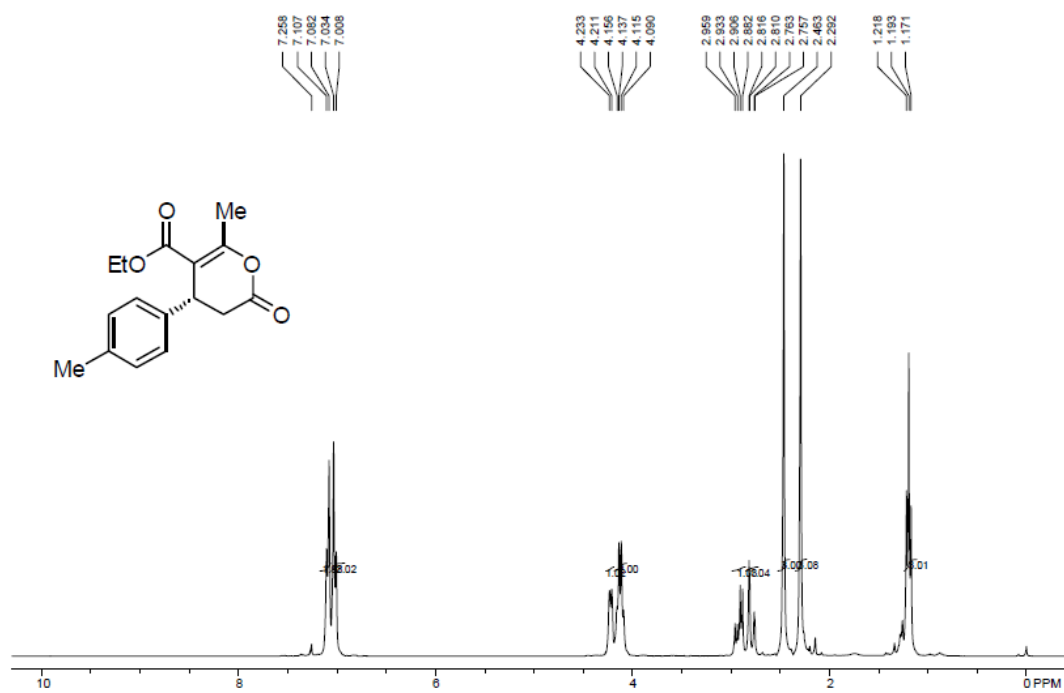
^1H NMR of **3a**



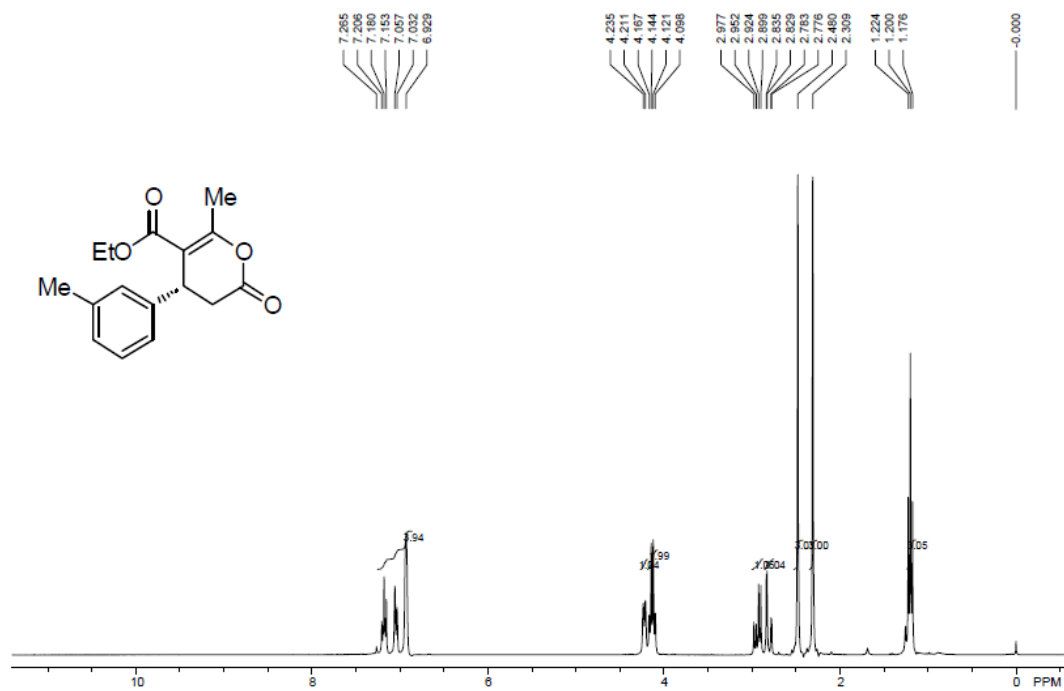
^1H NMR of **3b**



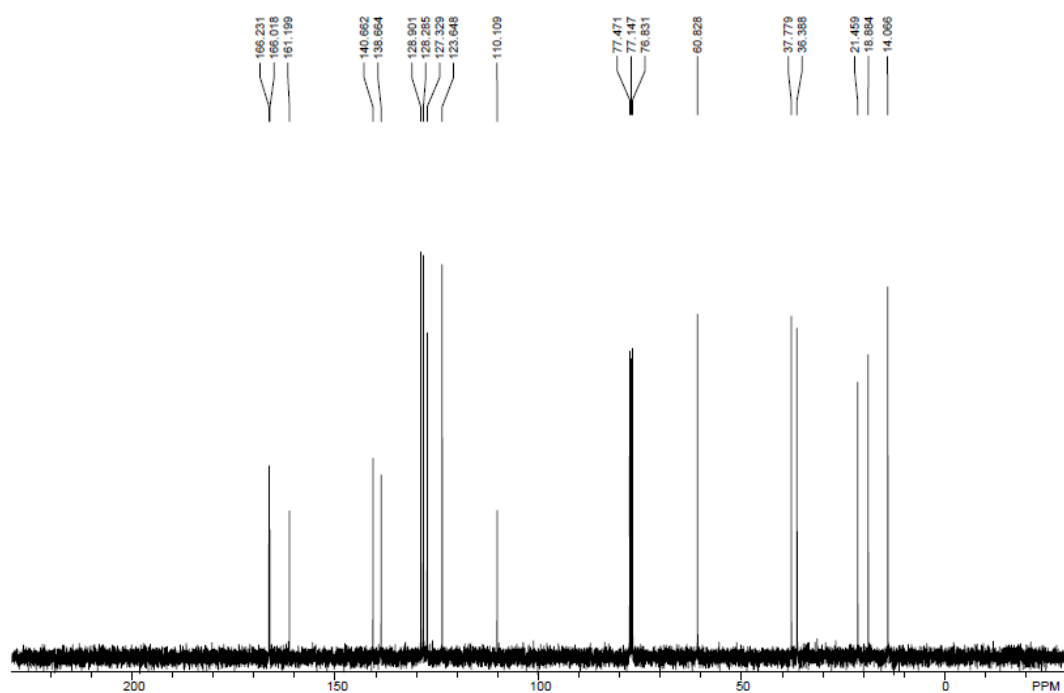
^1H NMR of **3c**



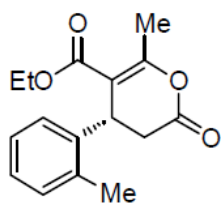
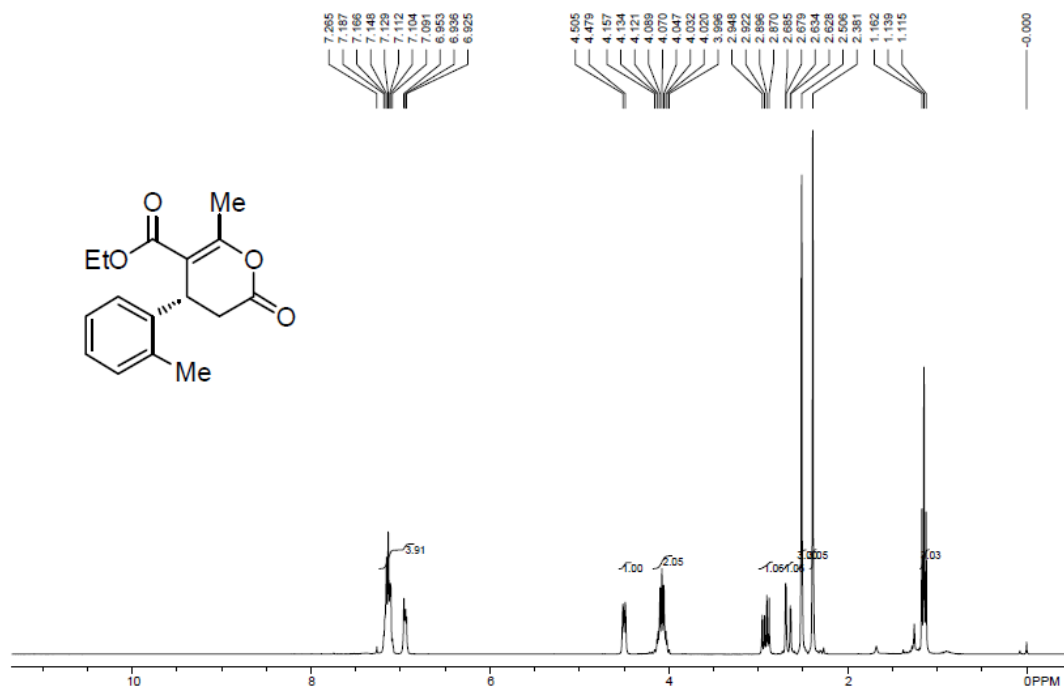
^1H NMR of **3d**



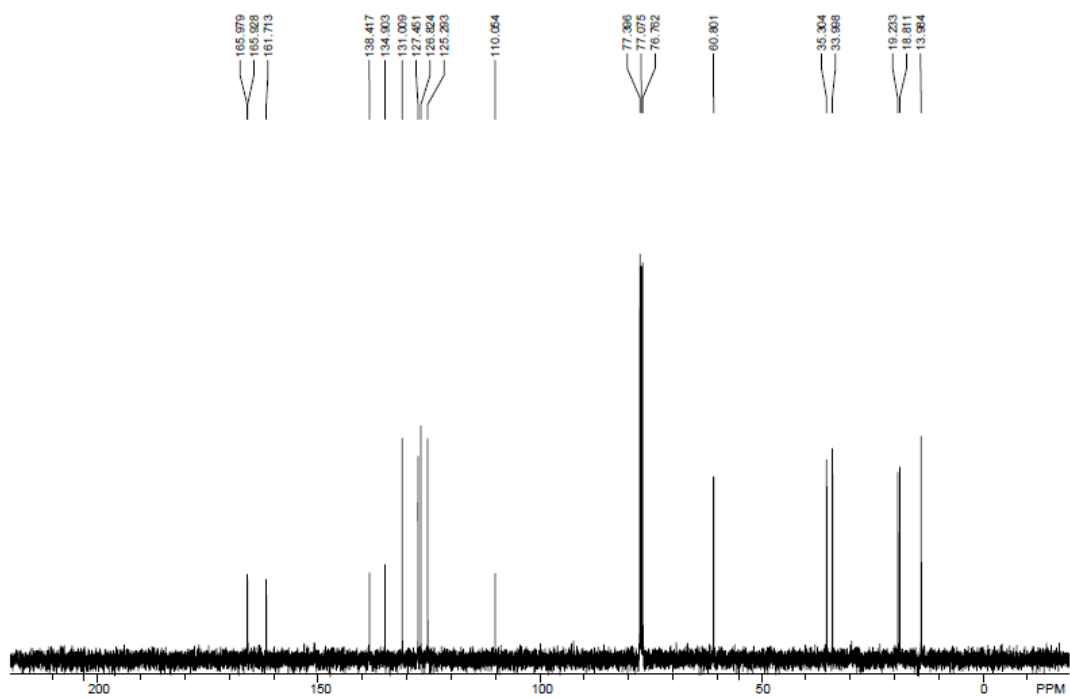
^{13}C NMR of **3d**



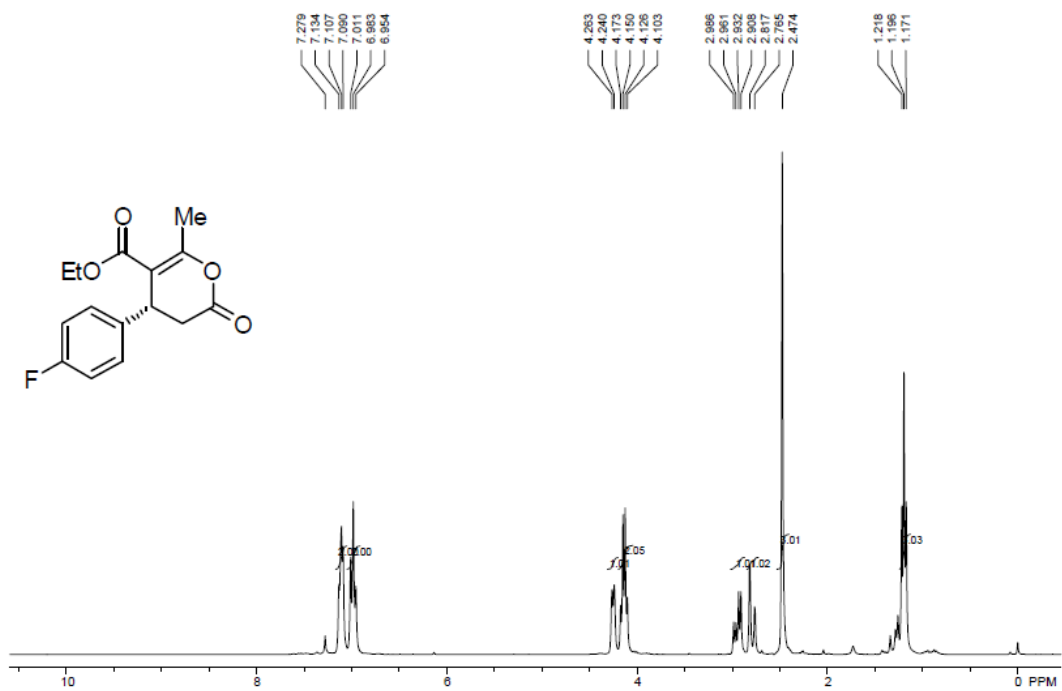
^1H NMR of **3e**



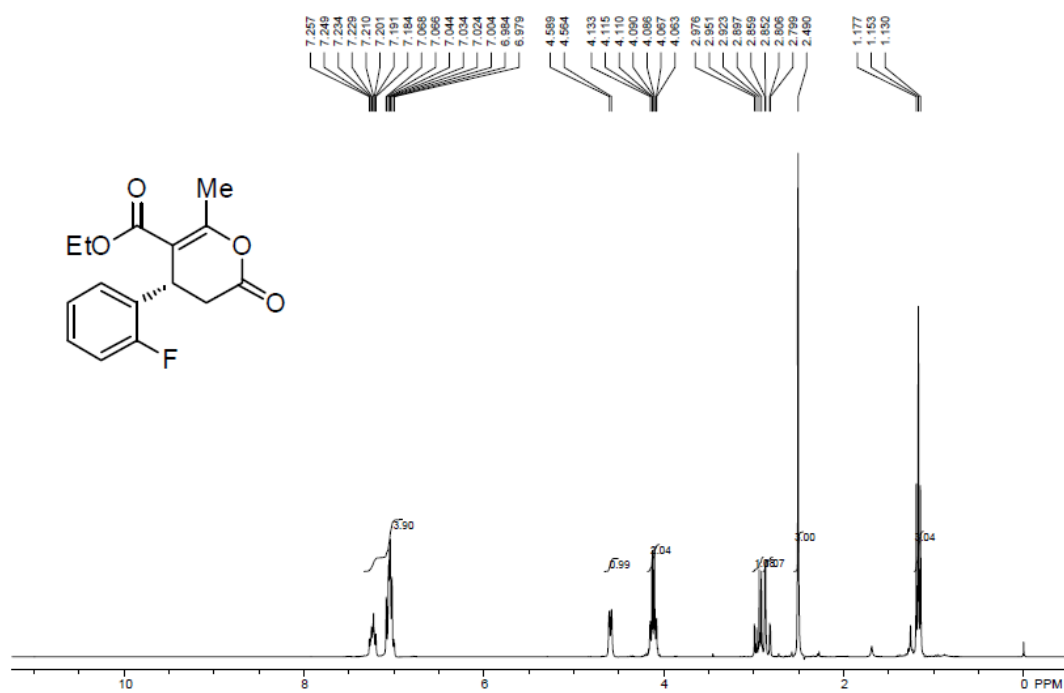
^{13}C NMR of **3e**



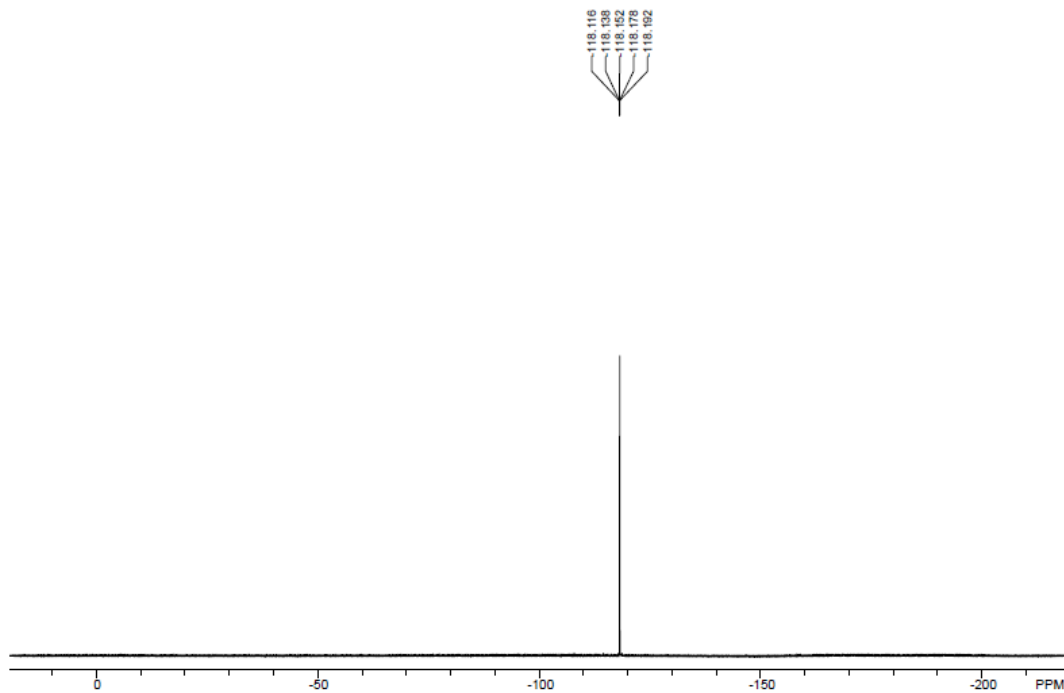
^1H NMR of **3f**



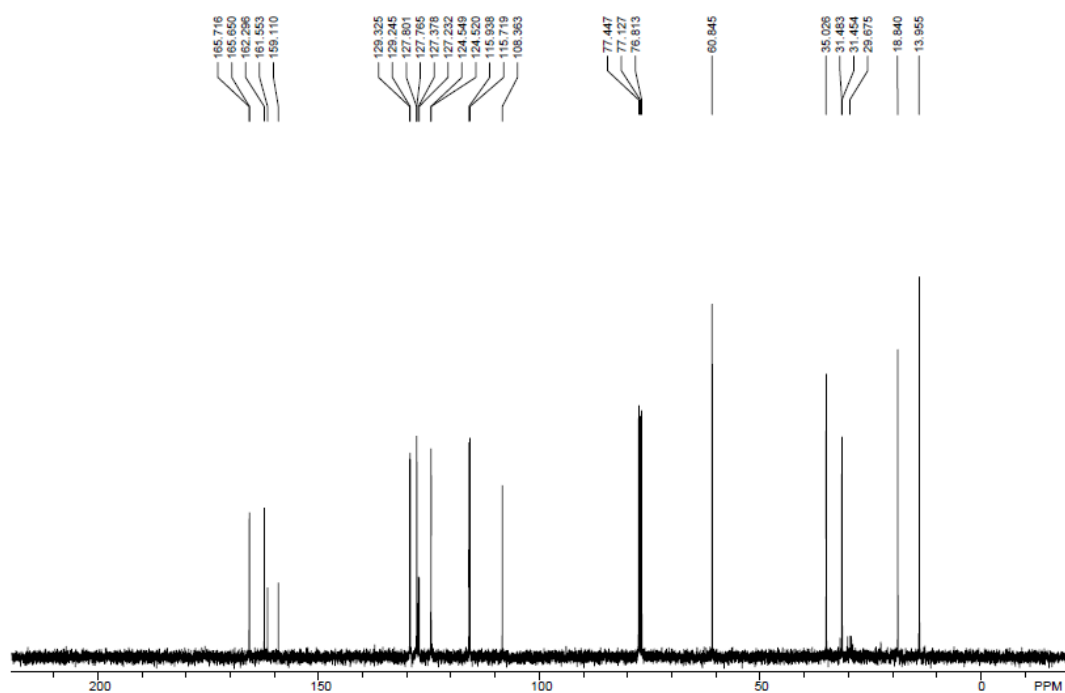
^1H NMR of **3g**



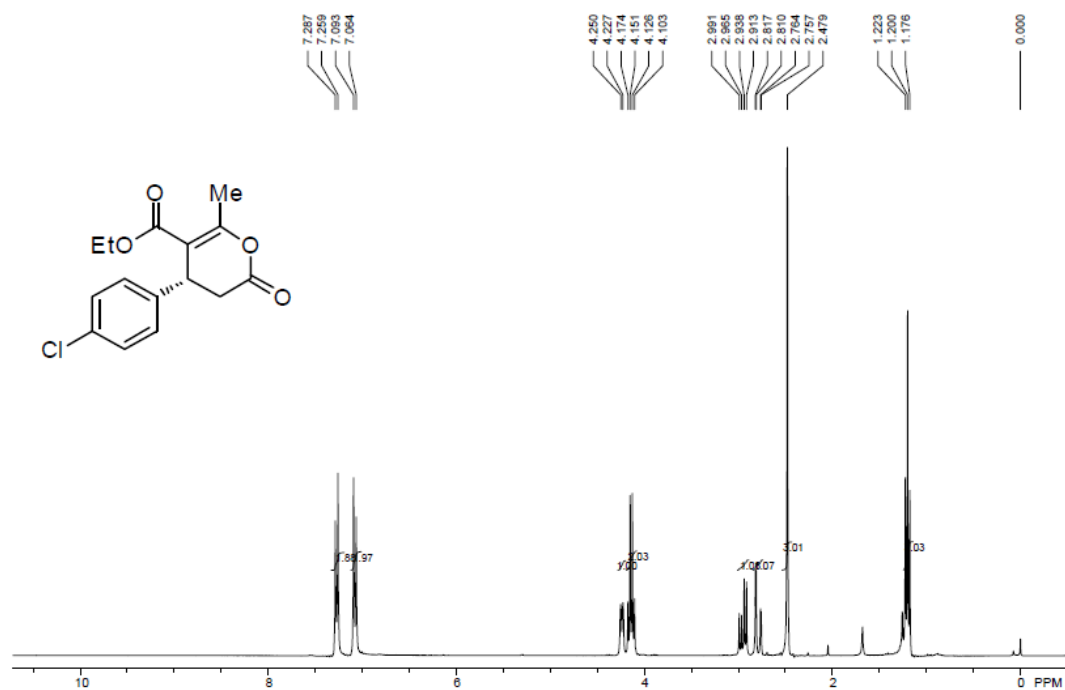
^{19}F NMR of **3g**



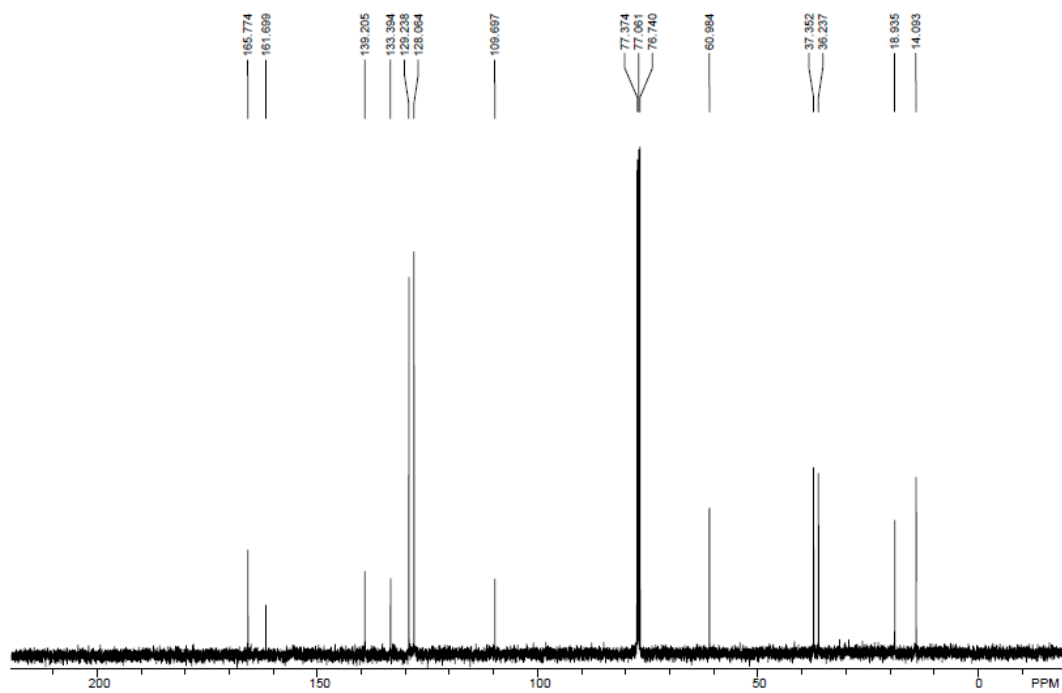
^{13}C NMR of **3g**



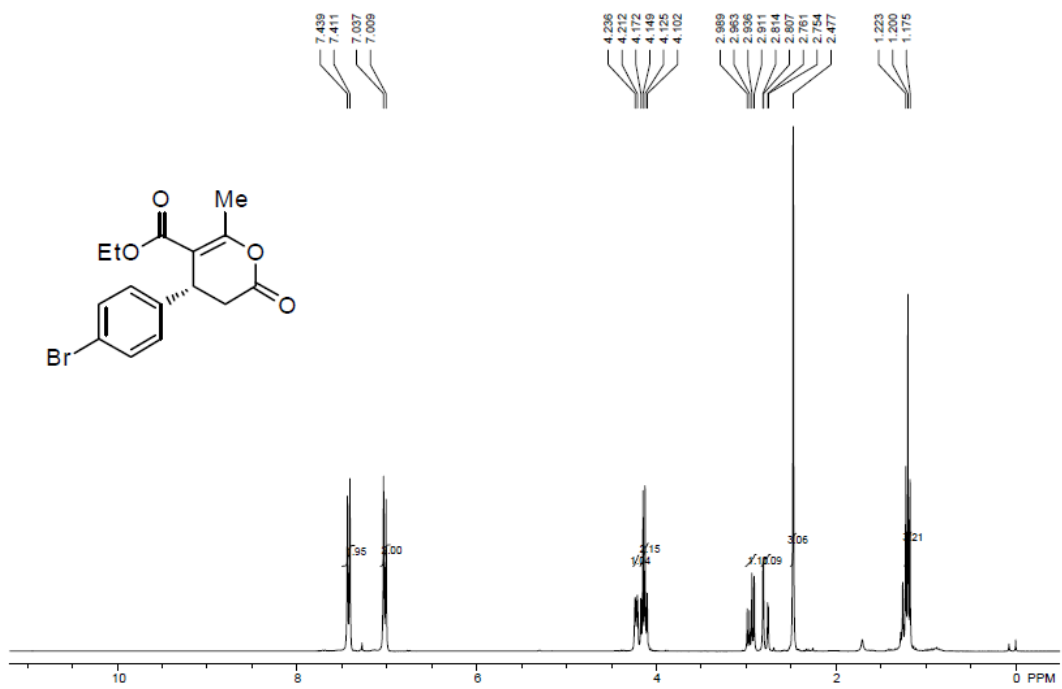
^1H NMR of **3h**



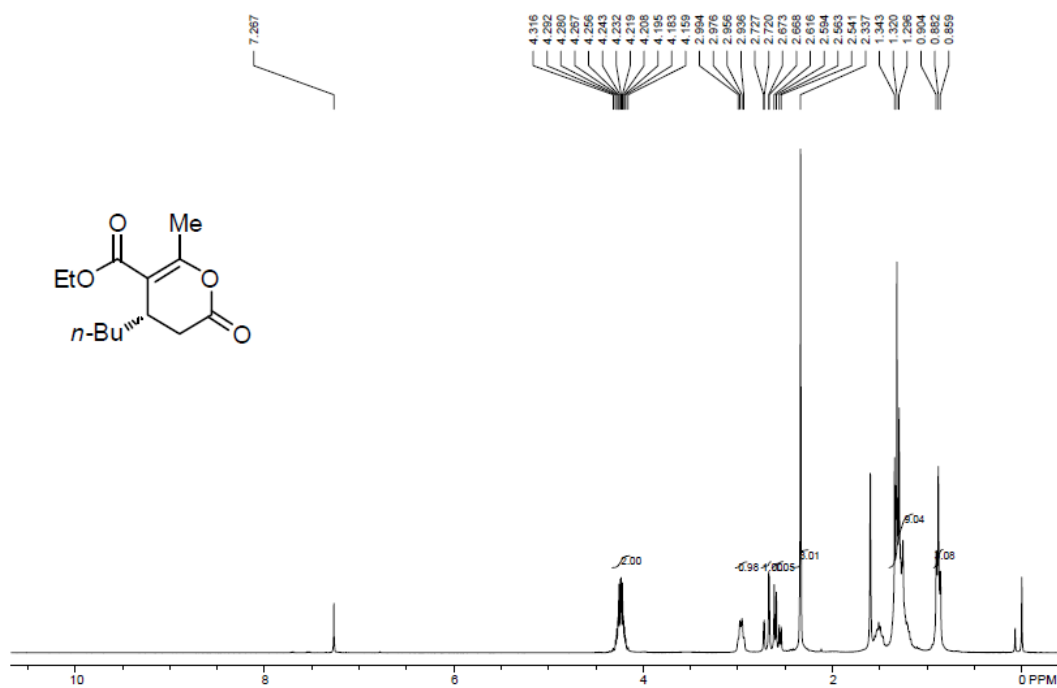
^{13}C NMR of **3h**



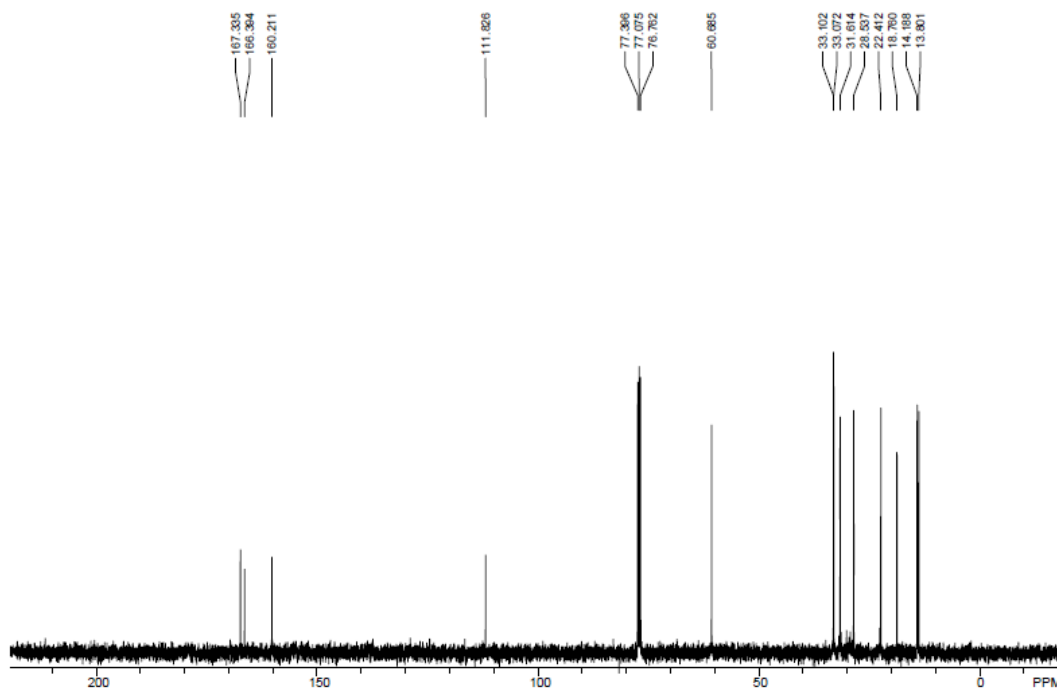
^1H NMR of **3i**



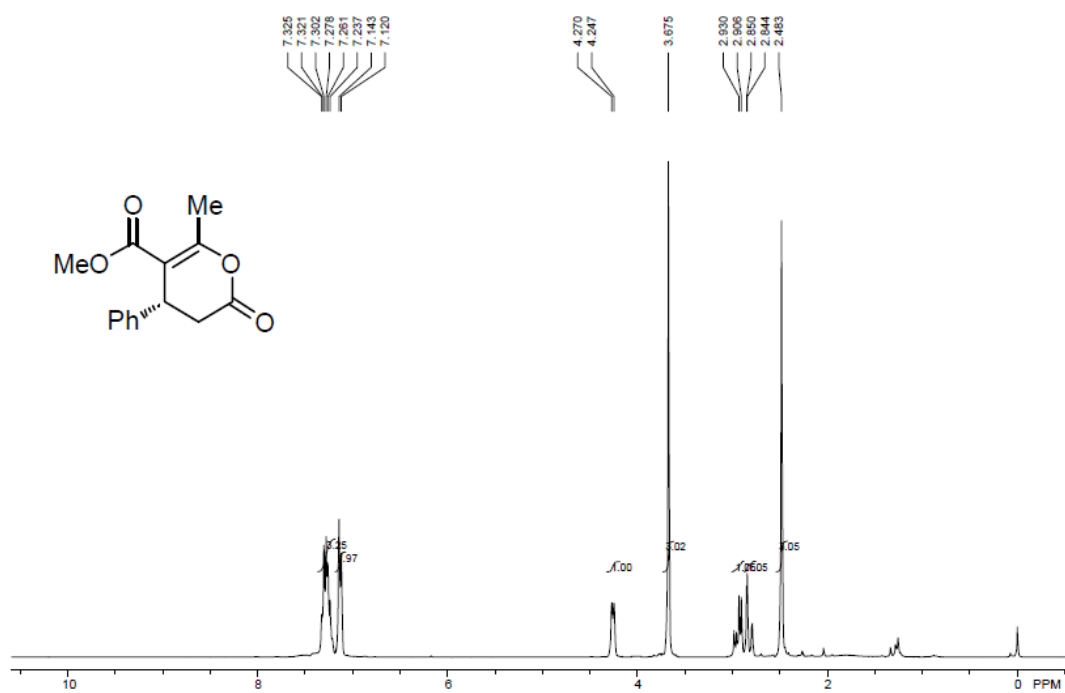
^1H NMR of **31**



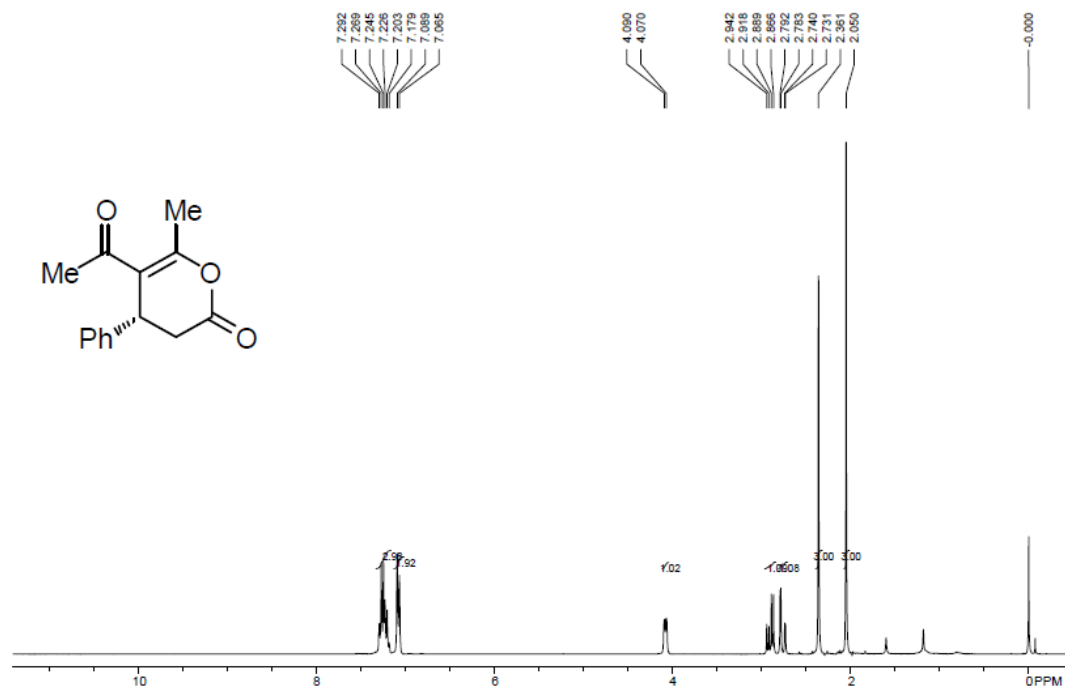
^{13}C NMR of **31**



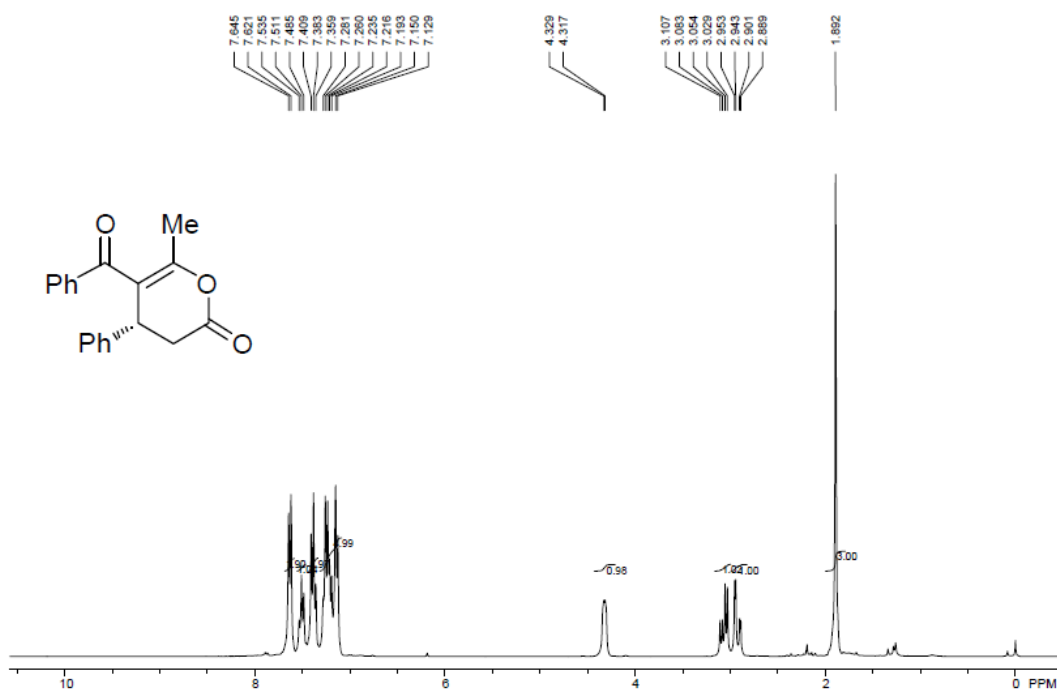
^1H NMR of **3n**



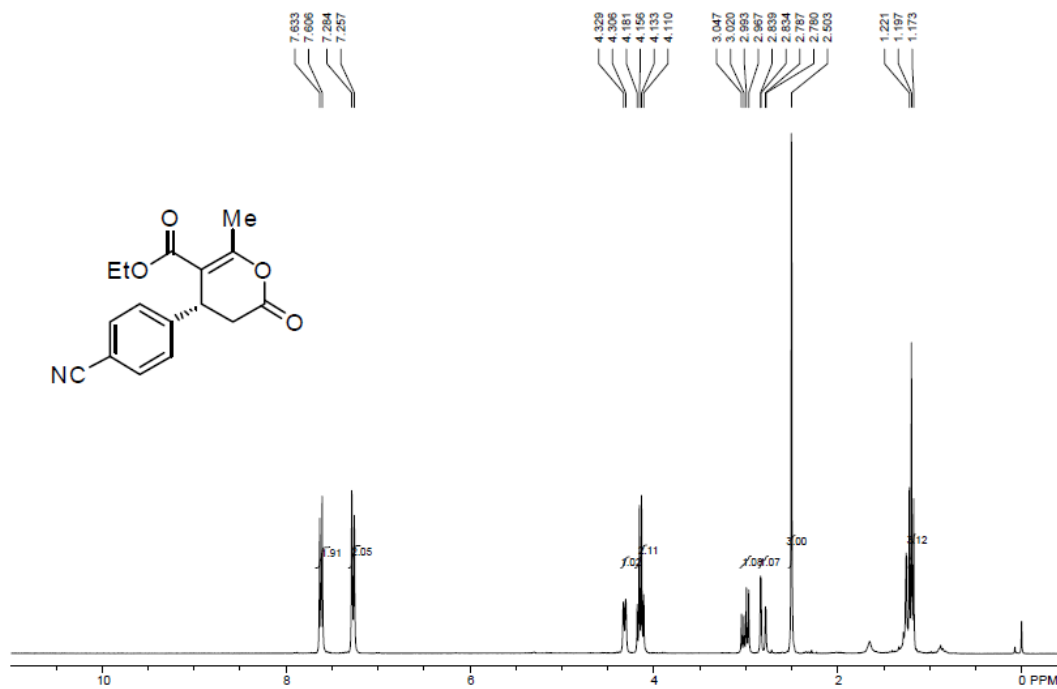
^1H NMR of **3o**



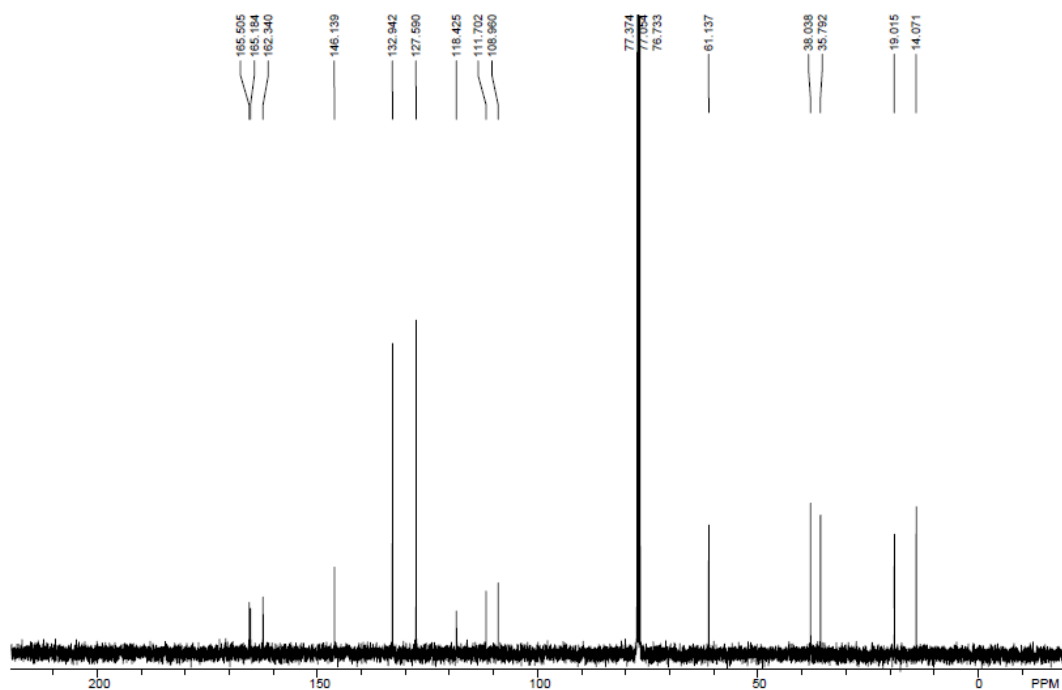
^1H NMR of **3p**



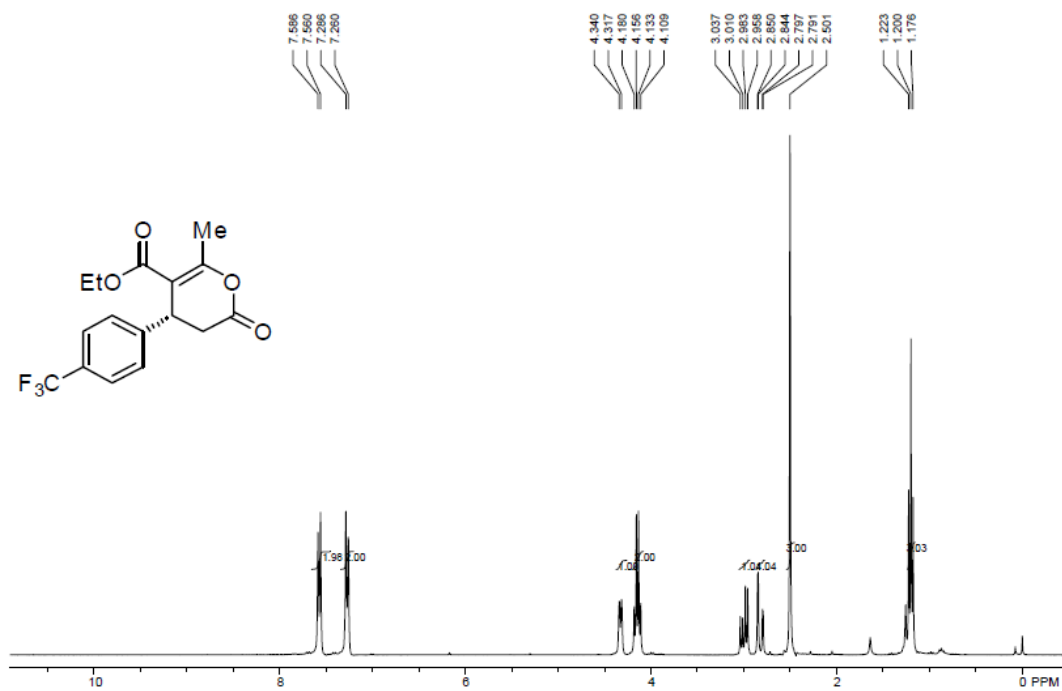
^1H NMR of **3q**



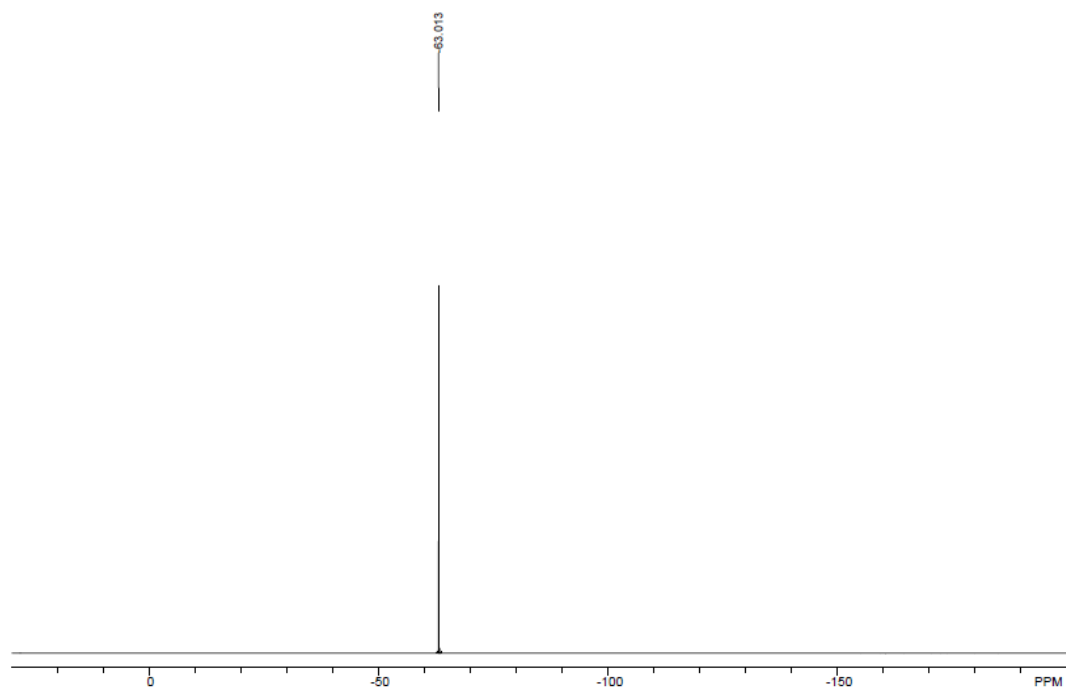
^{13}C NMR of **3q**



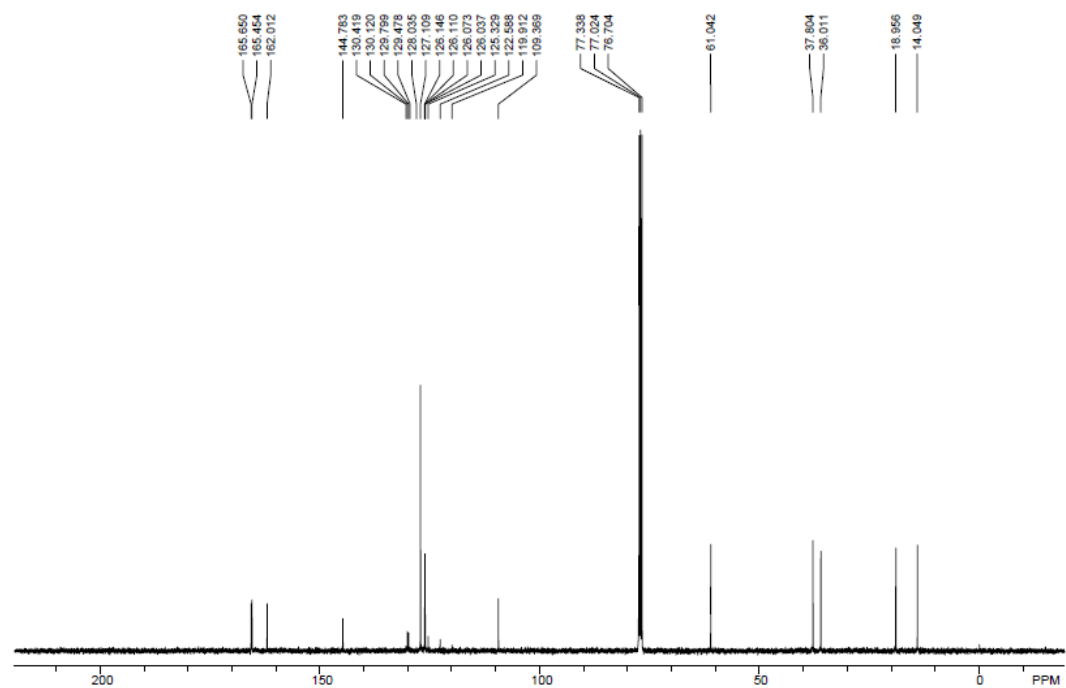
^1H NMR of **3r**



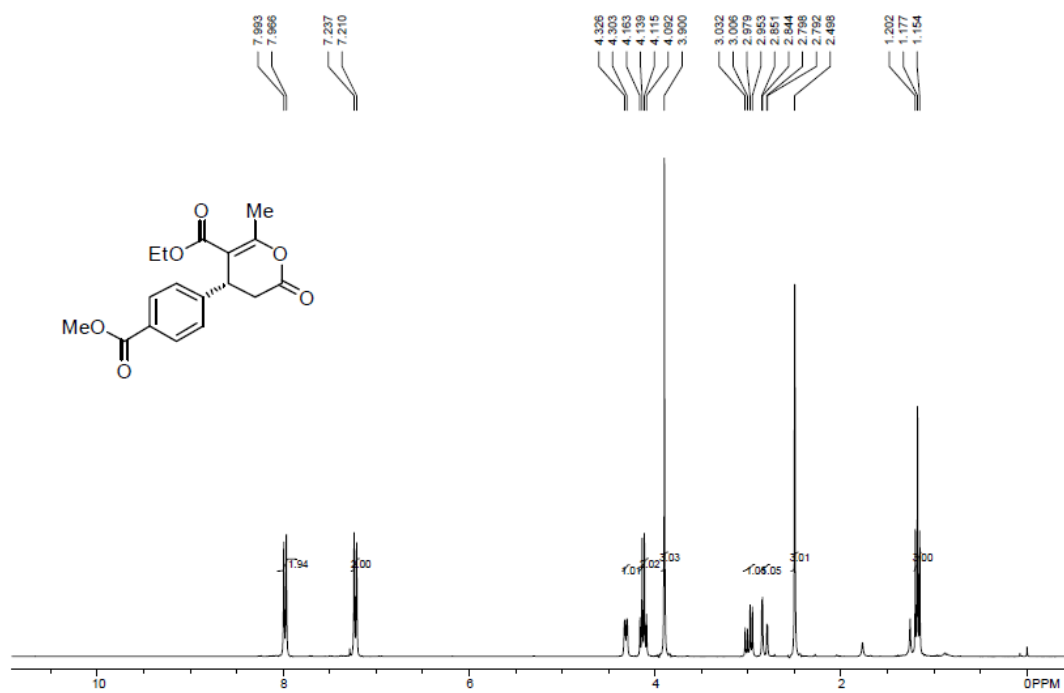
^{19}F NMR of **3r**



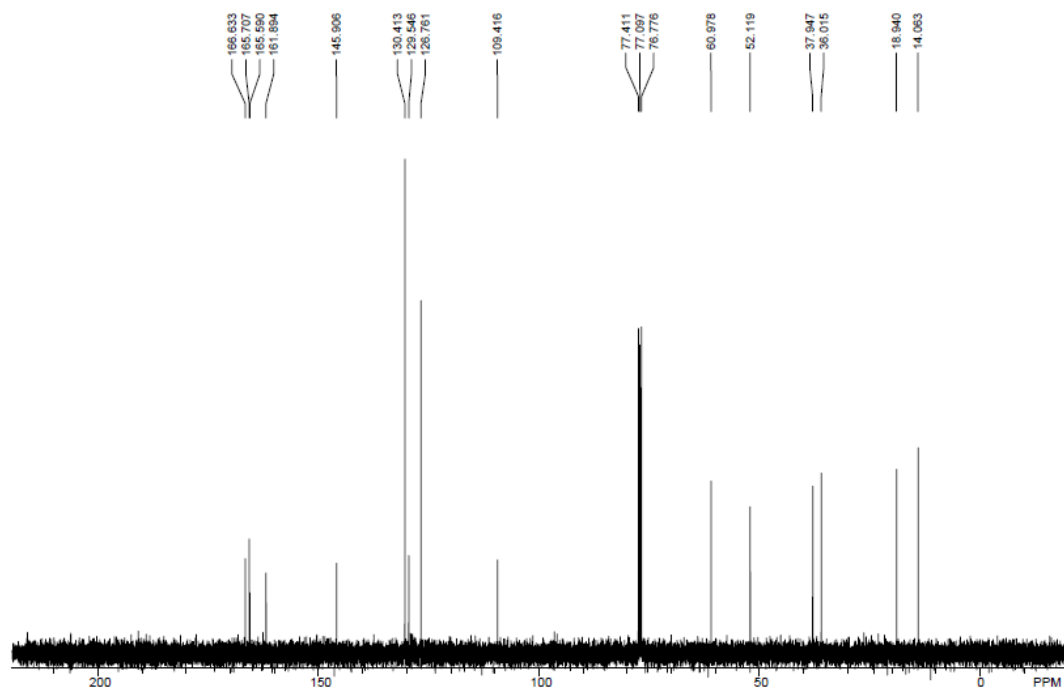
^{13}C NMR of **3r**



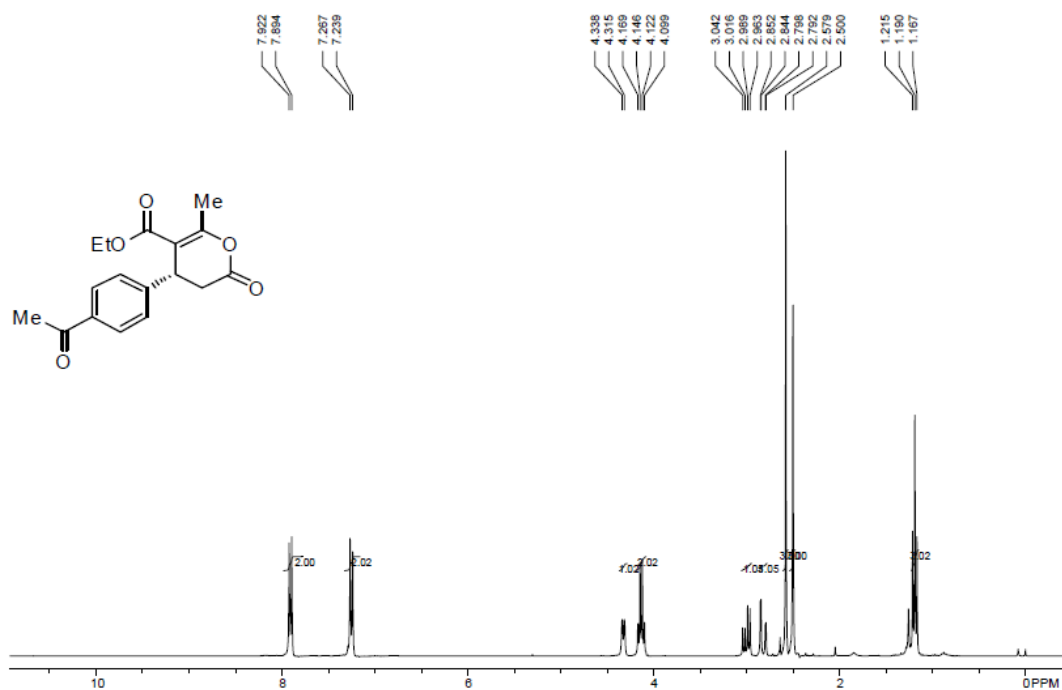
^1H NMR of **3s**



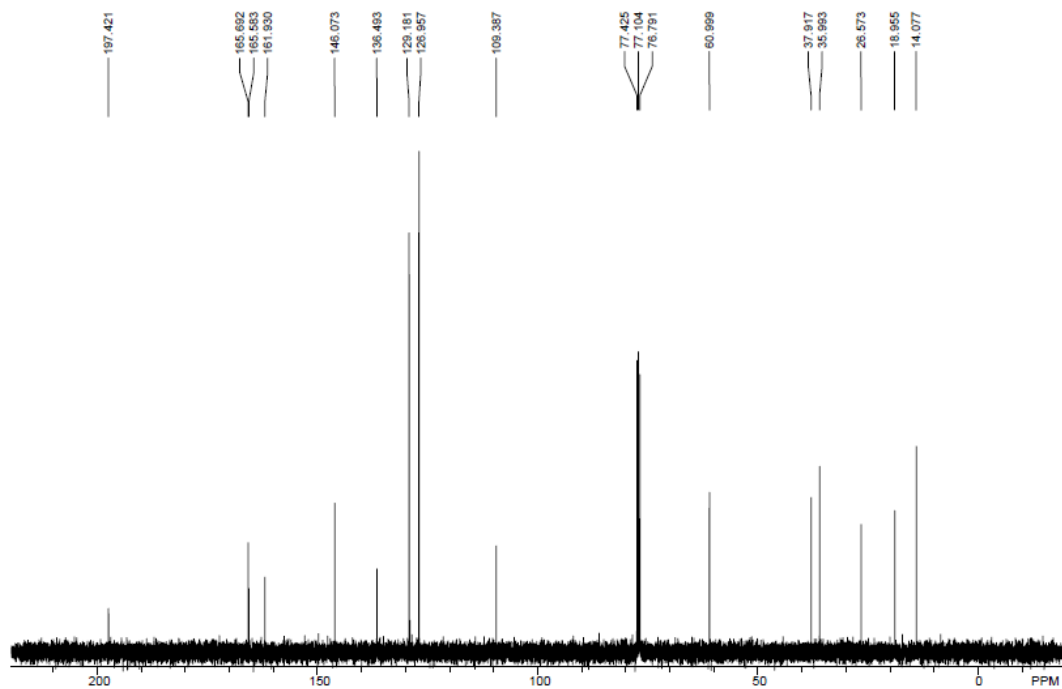
^{13}C NMR of **3s**



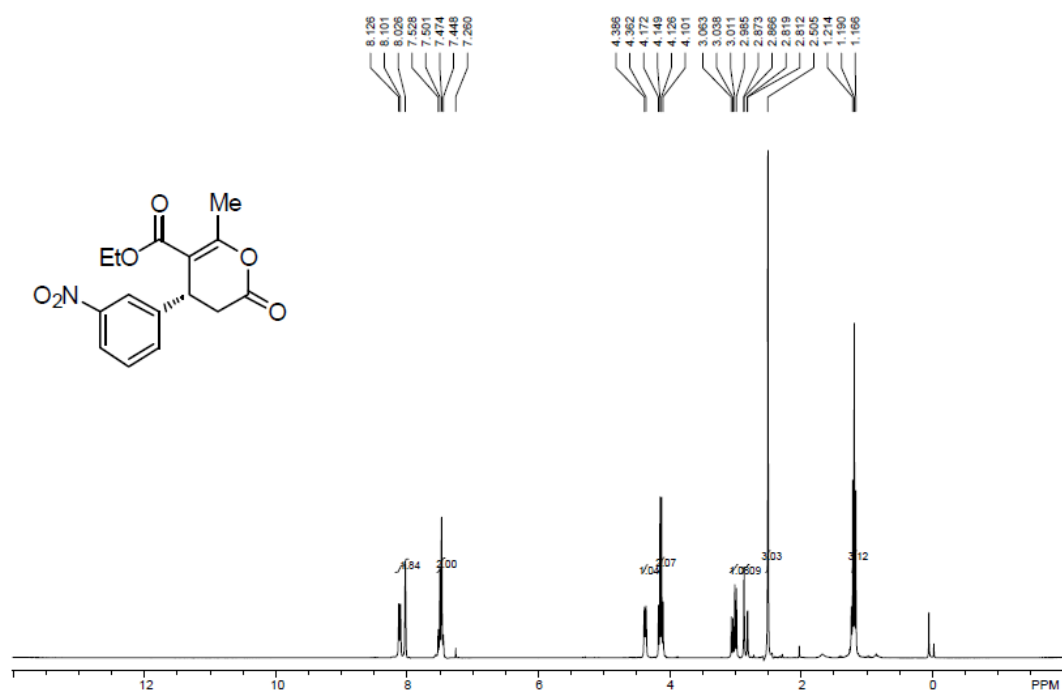
^1H NMR of **3t**



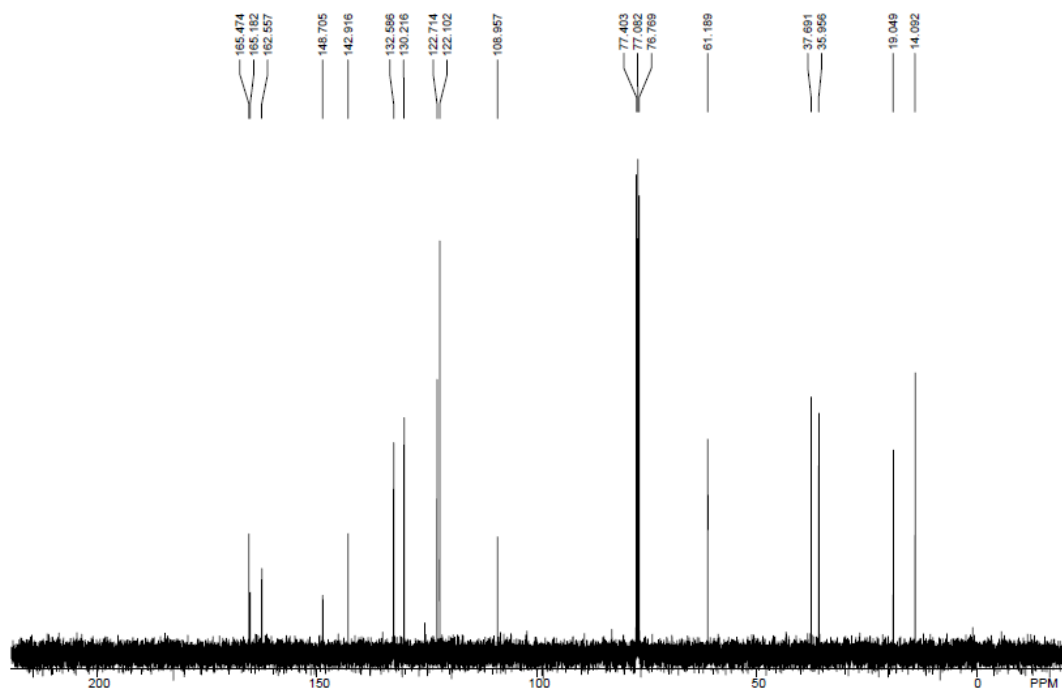
^{13}C NMR of **3t**



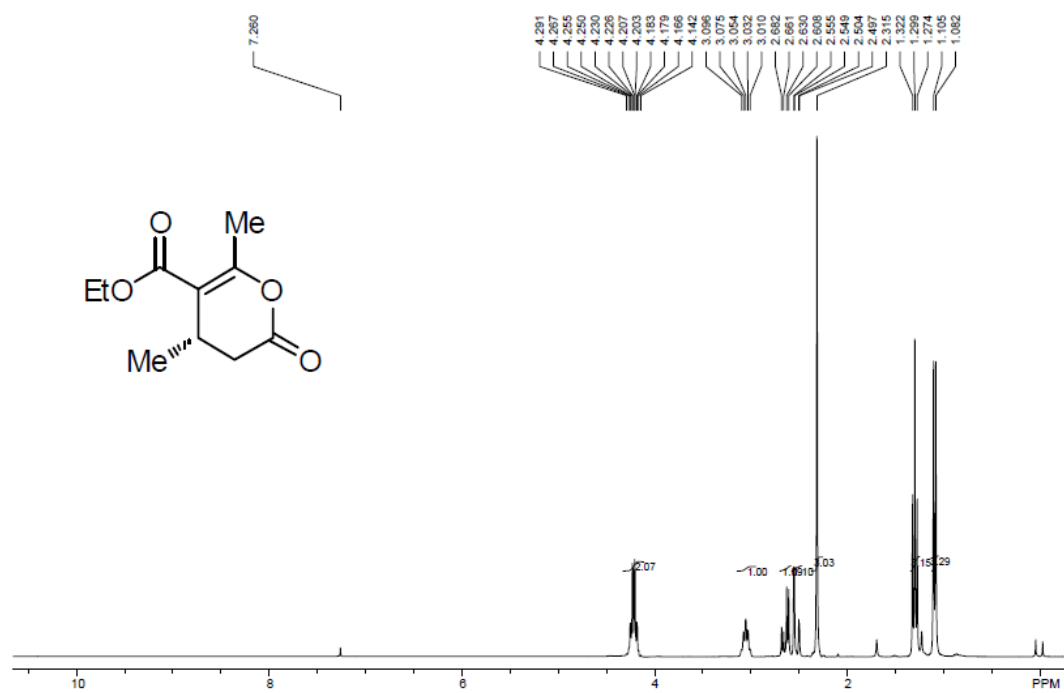
^1H NMR of **3u**



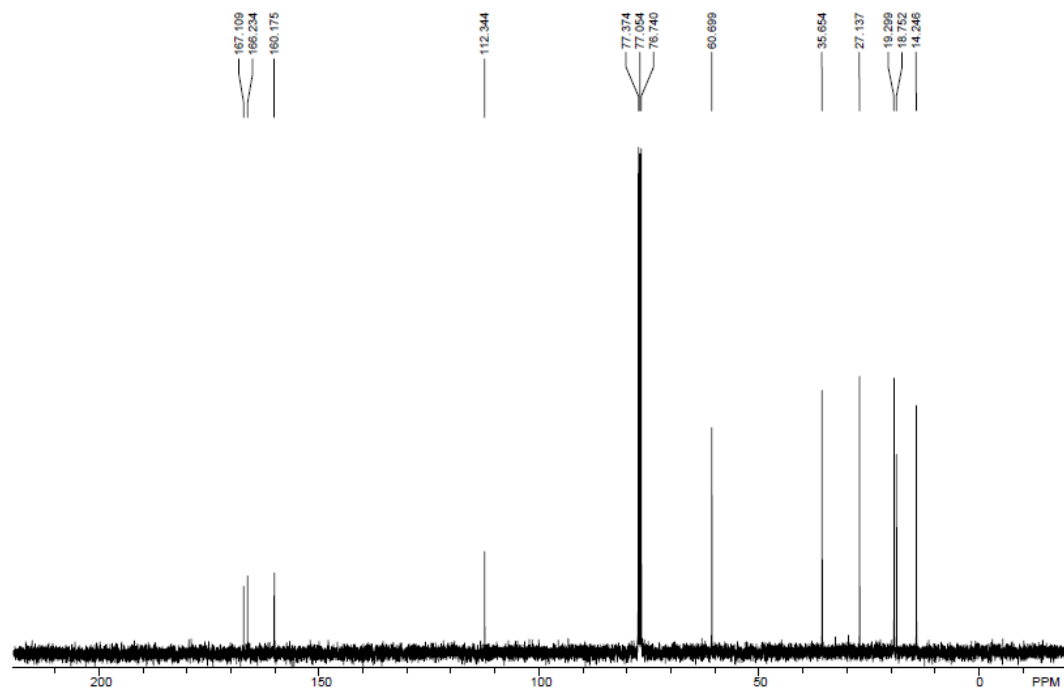
^{13}C NMR of **3u**



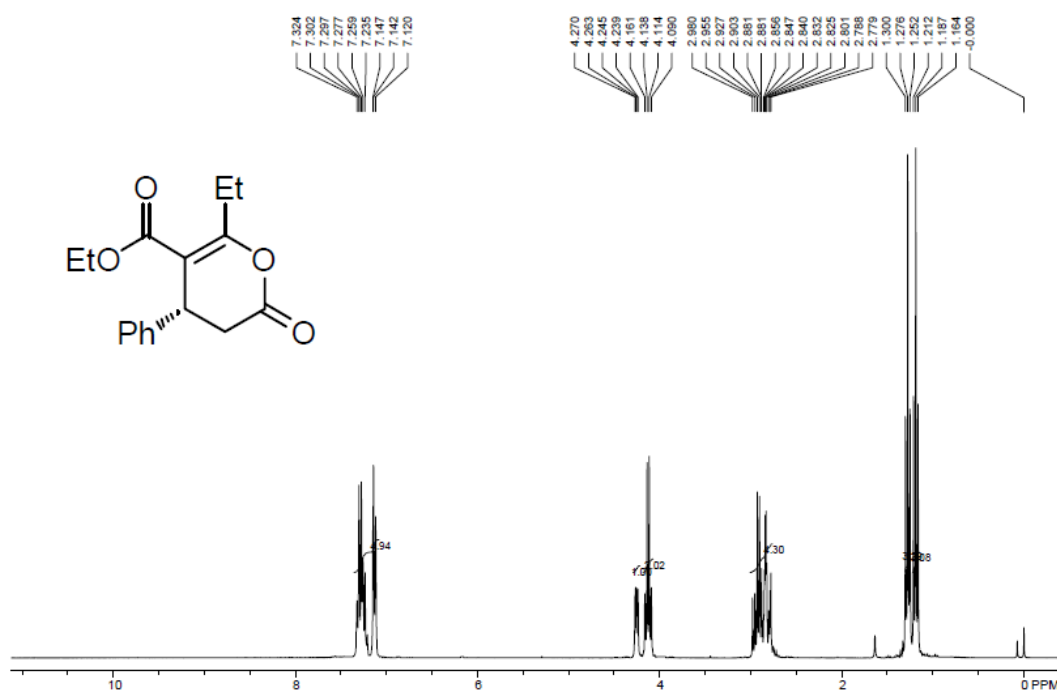
^1H NMR of **3v**



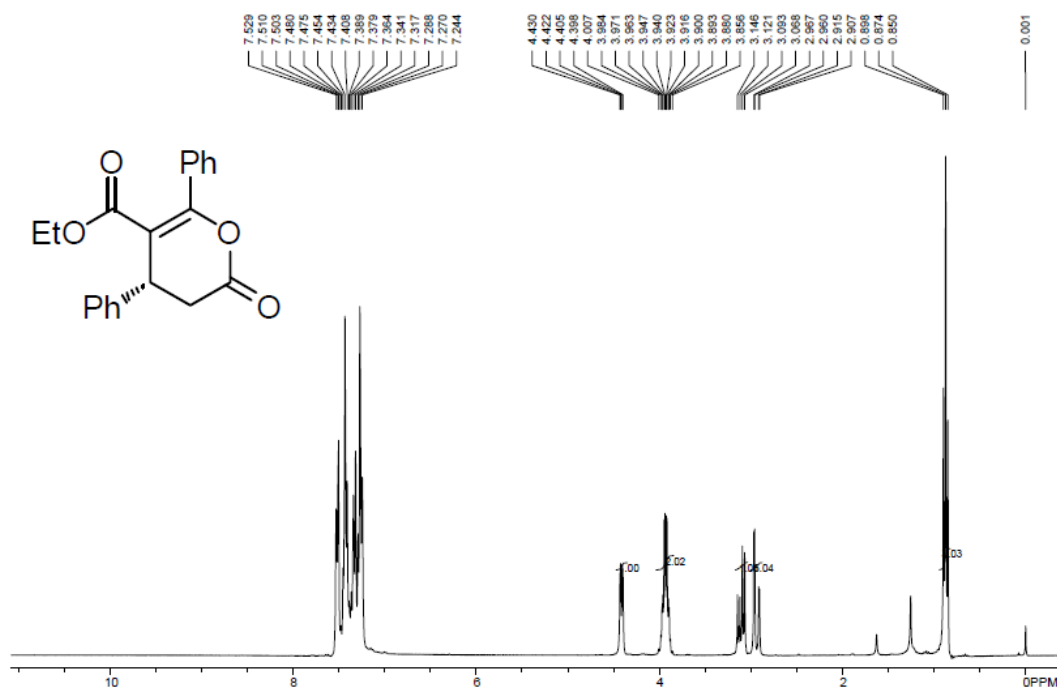
^{13}C NMR of **3v**



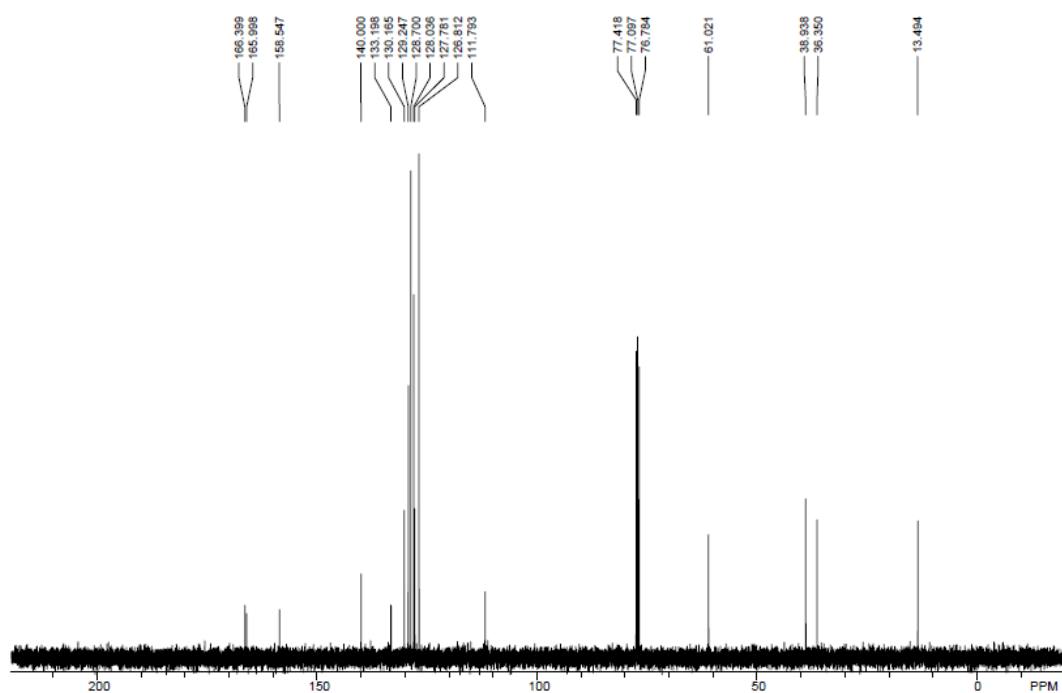
^1H NMR of **3w**



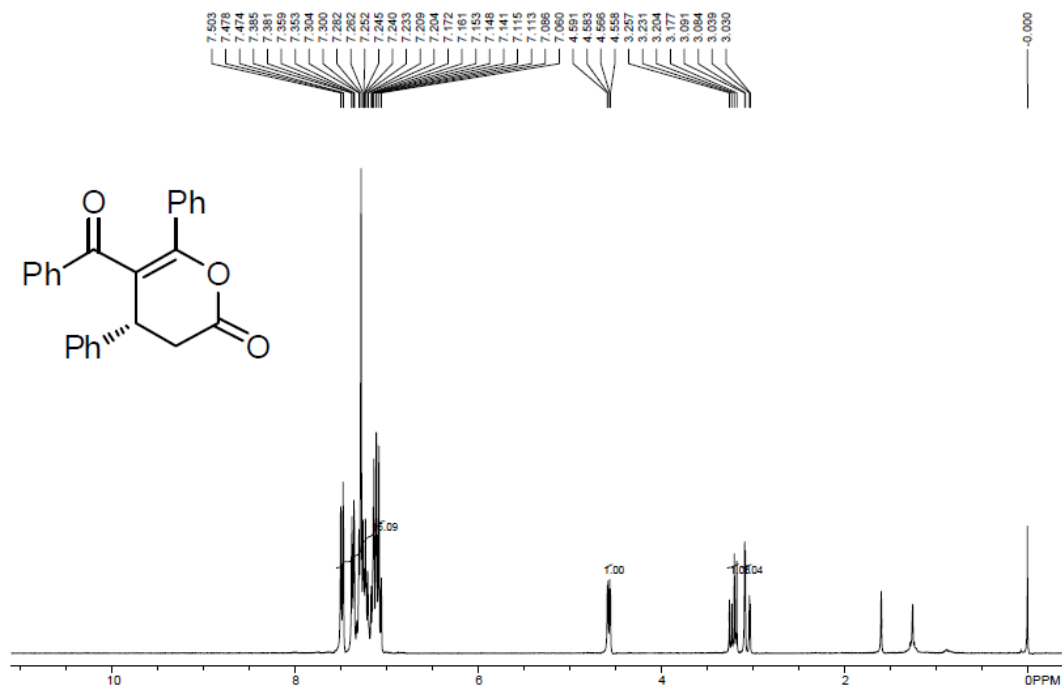
^1H NMR of **3x**



^{13}C NMR of **3x**



^1H NMR of **3y**



^{13}C NMR of **3y**

