

# Electronic Supplementary Information

## Biomimetic Catalytic Enantioselective Decarboxylative Aldol Reaction of $\beta$ -Ketoacids with Trifluoromethyl Ketones

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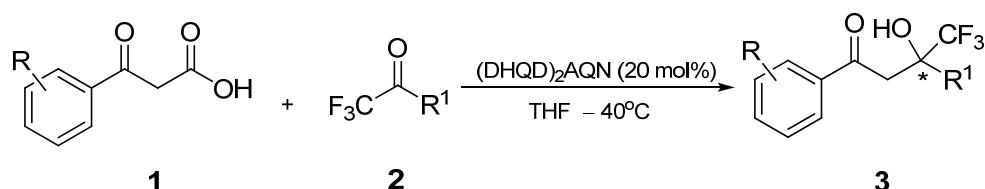
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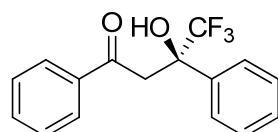
**General information:**  $^1\text{H}$ ,  $^{13}\text{C}$ ,  $^{19}\text{F}$  NMR were recorded on Varian Mercury Plus 400 instruments at 400 MHz ( $^1\text{H}$  NMR), 100 MHz ( $^{13}\text{C}$  NMR), as well as 376 MHz ( $^{19}\text{F}$  NMR). Chemical shifts were reported in ppm down field from internal Me<sub>4</sub>Si and external CCl<sub>3</sub>F, respectively. Multiplicity was indicated as follows: s (singlet), d (doublet), t (triplet), q (quartet), m (multiplet), dd (doublet of doublet), br (broad). Coupling constants were reported in Hertz (Hz). LRMS were recorded on a VGZAB-HS spectrometer with the ESI resource. HRMS were recorded on an IonSpec Bruker Daltonics, Inc. APEXIII 7.0 TESLA FTMS mass spectrometer with ESI resource. IR spectra were recorded on an AVATAR 360 FT-IR spectrometer. HPLC analyses were carried out on a Hewlett Packard Model HP 1200 instrument.

**Materials:** Tetrahydrofuran (THF) were distilled from sodium/benzophenone; Analytical thin layer chromatography was performed on 0.20 mm Qingdao Haiyang silica gel plates. Silica gel (200–300 mesh) (from Qingdao Haiyang Chem. Company, Ltd.) was used for flash chromatography. (+)-Quinidine, Quinine, (+)-Cinchonine, Cinchonidine were bought from Alfa. Aesar Company, Ltd. (DHQD)<sub>2</sub>AQN, (DHQ)<sub>2</sub>AQN, (DHQD)<sub>2</sub>PYR, (DHQD)<sub>2</sub>PHAL were bought from Aldrich. Company, Ltd. All the  $\beta$ -ketoacid were prepared according to literatures.<sup>1</sup>

**General Procedure for Decarboxylative Aldol Reaction of  $\beta$ -ketoacids with Trifluoromethyl ketones:**

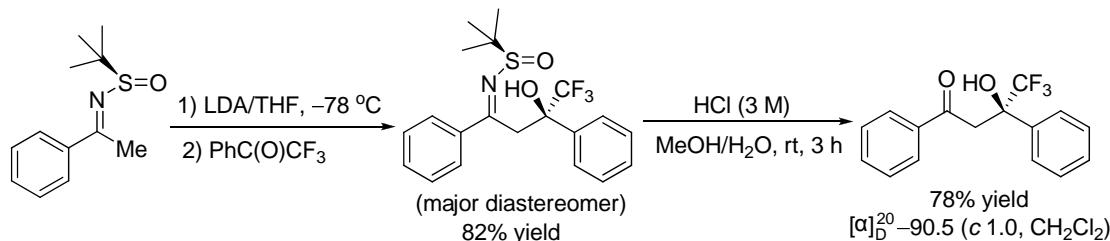


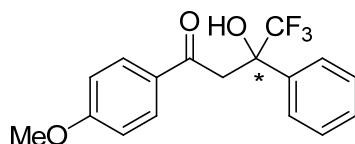
To a solution of trifluoromethyl ketone **2** (0.1 mmol) and (DHQD)<sub>2</sub>AQN (18 mg, 20 mol%) in THF (0.2 mL) at -40 °C were added  $\beta$ -ketoacid **1** (0.2 mmol, 2 equiv, in 0.4 mL THF) slowly using a syringe pump. After the addition (4 h), the resulting mixture was further stirred at -40 °C for 24 h. After the solvent was removed under reduced pressure, a crude mixture was purified by flash column chromatography on silica gel (petroleum ether/ethyl acetate: 20/1 to 10/1) to afford the desired product **3**. The catalyst was recovered (MeOH/CH<sub>2</sub>Cl<sub>2</sub>: 1/8 as eluent) in almost quantitative yield.



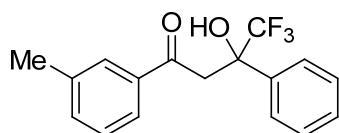
**4,4,4-Trifluoro-3-hydroxy-1,3-diphenylbutan-1-one (3a):**<sup>2</sup> white solid, mp 42–43 °C, 28 mg, 96% yield; 90% ee, [determined by HPLC analysis Daicel Chirapak AD, hexane/i-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min,  $t_R$  = 10.413 min (major) and  $t_R$  = 11.797 min (minor)];  $[\alpha]^{20}_D$  -85.9 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ (ppm): 7.97 (d, *J* = 7.5 Hz, 2H), 7.65 (d, *J* = 7.1 Hz, 3H), 7.52 (t, *J* = 7.7 Hz, 2H), 7.36 – 7.14 (m, 3H), 5.74 (s, 1H), 4.08 (d, *J* = 17.4 Hz, 1H), 3.69 (d, *J* = 17.4 Hz, 1H); <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>)  $\delta$ (ppm): -80.95 (s, 3F).

The absolute configuration of **3a** was determined to be R by comparison with the sign of optical rotation of the deprotected compound reported in the literature:<sup>3</sup>

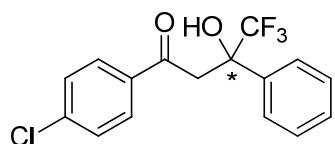




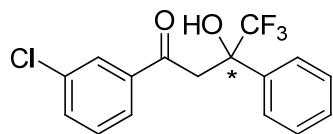
**4,4,4-Trifluoro-3-hydroxy-1-(4-methoxyphenyl)-3-phenylbutan-1-one (3b):**<sup>2</sup> white solid, mp 90–91 °C, 32 mg, 97% yield; 82% *ee*, [determined by HPLC analysis Daicel Chirapak AD, hexane/*i*-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min, *t<sub>R</sub>* = 17.228 min (major) and *t<sub>R</sub>* = 24.777 min (minor)];  $[\alpha]^{20}_D -130.9$  (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); **<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ(ppm): 7.95 (d, *J* = 8.9 Hz, 2H), 7.63 (d, *J* = 7.3 Hz, 2H), 7.34 – 7.40 (m, 3H), 6.97 (d, *J* = 8.9 Hz, 2H), 5.94 (s, 1H), 3.99 (d, *J* = 17.1 Hz, 1H), 3.91 (s, 3H), 3.59 (d, *J* = 17.1 Hz, 1H); **<sup>19</sup>F NMR** (376 MHz, CDCl<sub>3</sub>) δ(ppm): –80.20 (s, 3F).



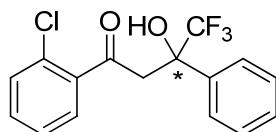
**4,4,4-Trifluoro-3-hydroxy-3-phenyl-1-m-tolylbutan-1-one (3c):** colorless oil, 29 mg, 93% yield; 80% *ee*, [determined by HPLC analysis Daicel Chirapak IA, hexane/*i*-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min, *t<sub>R</sub>* = 6,790 min (major) and *t<sub>R</sub>* = 7.860 min (minor)];  $[\alpha]^{20}_D -106.1$  (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); **<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ(ppm): 7.79 (d, *J* = 5.6 Hz, 2H), 7.69 (d, *J* = 7.4 Hz, 2H), 7.48 (d, *J* = 7.5 Hz, 1H), 7.40 (dd, *J* = 16.6, 8.7 Hz, 4H), 5.85 (s, 1H), 4.09 (d, *J* = 17.4 Hz, 1H), 3.69 (d, *J* = 17.4 Hz, 1H), 2.45 (s, 3H); **<sup>13</sup>C NMR** (100 MHz, CDCl<sub>3</sub>) δ(ppm): 199.98, 138.93, 137.82, 136.38, 135.29, 128.84, 128.75, 128.70, 128.46, 126.37, 125.54, 124.74 (q, *J* = 283.0 Hz), 76.59 (q, *J* = 28.7 Hz), 40.23, 21.28; **<sup>19</sup>F NMR** (376 MHz, CDCl<sub>3</sub>) δ(ppm): –80.08 (s, 3F); **IR** (neat) *v*: 3481, 3037, 1669, 1245, 1163, 699 cm<sup>–1</sup>; **MS** (ESI) found: m/z 308.1 [M]<sup>+</sup>; **HRMS** (ESI) found: m/z 308.1027 [M]<sup>+</sup>; calcd. for C<sub>17</sub>H<sub>15</sub>F<sub>3</sub>O<sub>2</sub> 308.1024.



**1-(4-Chlorophenyl)-4,4,4-trifluoro-3-hydroxy-3-phenylbutan-1-one (3d):**<sup>2</sup> white solid, mp 75–76 °C, 29 mg, 94% yield; 76% *ee*, [determined by HPLC analysis Daicel Chirapak IA, hexane/*i*-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min, *t<sub>R</sub>* = 10.571 min (major) and *t<sub>R</sub>* = 11.514 min (minor)]; [ $\alpha$ ]<sup>20</sup><sub>D</sub> −83.2 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ(ppm): 7.89 (d, *J* = 8.6 Hz, 2H), 7.65 (d, *J* = 7.3 Hz, 2H), 7.48 (d, *J* = 8.6 Hz, 2H), 7.42 – 7.35 (m, 3H), 5.63 (s, 1H), 4.04 (d, *J* = 17.3 Hz, 1H), 3.66 (d, *J* = 17.3 Hz, 1H); <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>) δ(ppm): −80.06 (s, 3F).

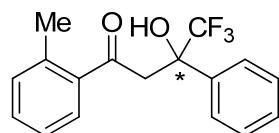


**1-(3-Chlorophenyl)-4,4,4-trifluoro-3-hydroxy-3-phenylbutan-1-one (3e):** colorless oil, 28 mg, 86% yield; 80% *ee*, [determined by HPLC analysis Daicel Chirapak IA, hexane/*i*-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min, *t<sub>R</sub>* = 8.414 min (major) and *t<sub>R</sub>* = 10.704 min (minor)]; [ $\alpha$ ]<sup>20</sup><sub>D</sub> −73.4 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ(ppm): 7.91 (s, 1H), 7.84 (d, *J* = 7.8 Hz, 1H), 7.63 (t, *J* = 6.3 Hz, 3H), 7.46 (t, *J* = 7.9 Hz, 1H), 7.43 – 7.36 (m, 3H), 5.49 (s, 1H), 4.04 (d, *J* = 17.4 Hz, 1H), 3.67 (d, *J* = 17.4 Hz, 1H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ(ppm): 198.34, 137.76, 137.44, 135.40, 134.34, 130.29, 128.87, 128.53, 128.25, 126.32, 126.25, 124.55 (q, *J* = 283.0 Hz), 76.49 (q, *J* = 29.0 Hz), 40.62; <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>) δ(ppm): −80.13 (s, 3F); IR (neat) v: 3482, 3069, 1679, 1215, 1168, 700, 675 cm<sup>−1</sup>; MS (ESI) found: m/z 328.1 [M]<sup>+</sup>; HRMS (ESI) found: m/z 328.0475 [M]<sup>+</sup>; calcd. for C<sub>16</sub>H<sub>12</sub>ClF<sub>3</sub>O<sub>2</sub> 328.0478.

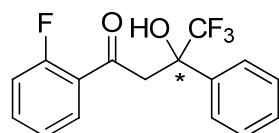


**1-(2-Chlorophenyl)-4,4,4-trifluoro-3-hydroxy-3-phenylbutan-1-one (3f):** colorless oil, 21 mg, 63% yield; 72% *ee*, [determined by HPLC analysis Daicel Chirapak IA, hexane/*i*-PrOH = 90/10, 254 nm UV detector, 1.0 mL/min, *t<sub>R</sub>* = 6.211 min (major) and *t<sub>R</sub>* = 7.611 min (minor)]; [ $\alpha$ ]<sup>20</sup><sub>D</sub> −33.0 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)

$\delta$ (ppm): 7.61 (t,  $J$  = 4.4 Hz, 2H), 7.45 (d,  $J$  = 3.9 Hz, 2H), 7.38 (dd,  $J$  = 5.1, 1.7 Hz, 3H), 7.33 – 7.27 (m, 2H), 5.43 (s, 1H), 4.02 (d,  $J$  = 17.2 Hz, 1H), 3.77 (d,  $J$  = 17.2 Hz, 1H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$ (ppm): 202.84, 138.35, 137.23, 132.95, 131.22, 130.78, 129.40, 128.85, 128.42, 127.19, 126.43, 124.52 (q,  $J$  = 283.0 Hz), 76.68 (q,  $J$  = 28.9 Hz), 45.32;  $^{19}\text{F}$  NMR (376 MHz,  $\text{CDCl}_3$ )  $\delta$ (ppm): -80.06 (s, 3F); IR (neat) v: 3631, 3066, 1682, 1167, 761  $\text{cm}^{-1}$ ; MS (ESI) found: m/z 328.1 [M] $^+$ ; HRMS (ESI) found: m/z 328.0482 [M] $^+$ ; calcd. for  $\text{C}_{16}\text{H}_{12}\text{ClF}_3\text{O}_2$  328.0484.

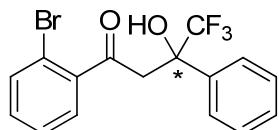


**4,4,4-Trifluoro-3-hydroxy-3-phenyl-1-o-tolybutan-1-one (3g):** colorless oil, 25 mg, 80% yield; 74% ee, [determined by HPLC analysis Daicel Chirapak IA, hexane/*i*-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min,  $t_{\text{R}}$  = 6.400 min (major) and  $t_{\text{R}}$  = 8.271 min (minor)];  $[\alpha]^{20}_{\text{D}} -57.7$  ( $c$  1.0,  $\text{CH}_2\text{Cl}_2$ );  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$ (ppm): 7.70 (d,  $J$  = 7.7 Hz, 1H), 7.66 (d,  $J$  = 7.0 Hz, 2H), 7.46 (t,  $J$  = 7.1 Hz, 1H), 7.40 (q,  $J$  = 5.0 Hz, 3H), 7.35 (t,  $J$  = 7.4 Hz, 1H), 7.26 (d,  $J$  = 7.6 Hz, 1H), 5.87 (s, 1H), 3.97 (d,  $J$  = 16.9 Hz, 1H), 3.66 (d,  $J$  = 16.9 Hz, 1H), 2.30 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$ (ppm): 203.85, 138.84, 137.74, 137.22, 132.56, 132.30, 128.76, 128.59, 128.44, 126.43, 126.01, 124.66 (q,  $J$  = 283.2 Hz), 76.77 (q,  $J$  = 28.8 Hz), 42.98, 20.93;  $^{19}\text{F}$  NMR (376 MHz,  $\text{CDCl}_3$ )  $\delta$  (ppm): -80.13 (s, 3F); IR (neat) v: 3449, 3030, 1672, 1169, 1019, 701, 624  $\text{cm}^{-1}$ ; MS (ESI) found: m/z 308.1 [M] $^+$ ; HRMS (ESI) found: m/z 308.1029 [M] $^+$ ; calcd. for  $\text{C}_{17}\text{H}_{15}\text{F}_3\text{O}_2$  308.1024.

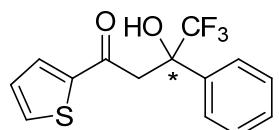


**4,4,4-Trifluoro-1-(2-fluorophenyl)-3-hydroxy-3-phenylbutan-1-one (3h):** colorless oil, 23 mg, 72% yield; 75% ee, [determined by HPLC analysis Daicel Chirapak IA, hexane/*i*-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min,  $t_{\text{R}}$  = 7.792 min (major) and

$t_R = 9.110$  min (minor)];  $[\alpha]^{20}_D -82.3$  ( $c$  1.0,  $\text{CH}_2\text{Cl}_2$ ); **1H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$ (ppm): 7.74 (t,  $J = 7.6$  Hz, 1H), 7.65 (d,  $J = 7.3$  Hz, 2H), 7.62 – 7.56 (m, 1H), 7.43 – 7.34 (m, 3H), 7.27 – 7.17 (m, 2H), 5.42 (s, 1H), 4.12 (d,  $J = 18.1$  Hz, 1H), 3.76 (d,  $J = 18.1$  Hz, 1H); **13C NMR** (100 MHz,  $\text{CDCl}_3$ )  $\delta$ (ppm): 197.98, 162.09 (d,  $J = 253.9$  Hz), 137.69, 135.99 (d,  $J = 9.3$  Hz), 130.54 (d,  $J = 2.0$  Hz), 128.73, 128.43, 126.38, 125.12 (d,  $J = 11.7$  Hz), 124.82 (d,  $J = 3.4$  Hz), 124.54 (q,  $J = 282.8$  Hz), 116.95 (d,  $J = 23.4$  Hz), 76.54 (q,  $J = 28.8$  Hz), 45.49; **19F NMR** (376 MHz,  $\text{CDCl}_3$ )  $\delta$ (ppm): –80.29 (s, 3F), –108.72 (s, 1F); **IR** (neat)  $\nu$ : 3632, 3133, 2923, 1673, 1402, 1169, 617  $\text{cm}^{-1}$ ; **MS** (ESI) found: m/z 312.1 [M] $^+$ ; **HRMS** (ESI) found: m/z 312.0771 [M] $^+$ ; calcd. for  $\text{C}_{16}\text{H}_{12}\text{F}_4\text{O}_2$  312.0773.

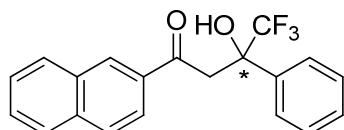


**1-(2-Bromophenyl)-4,4,4-trifluoro-3-hydroxy-3-phenylbutan-1-one (3i):** colorless oil, 22 mg, 60% yield; 85% ee, [determined by **HPLC** analysis Daicel Chirapak IA, hexane/*i*-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min,  $t_R = 8.199$  min (major) and  $t_R = 10.539$  min (minor)];  $[\alpha]^{20}_D -25.4$  ( $c$  1.0,  $\text{CH}_2\text{Cl}_2$ ); **1H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$ (ppm): 7.64 (dd,  $J = 5.8, 3.2$  Hz, 1H), 7.62 – 7.57 (m, 2H), 7.41 – 7.32 (m, 5H), 7.20 (dd,  $J = 5.7, 3.5$  Hz, 1H), 5.38 (s, 1H), 3.98 (d,  $J = 17.1$  Hz, 1H), 3.76 (d,  $J = 17.1$  Hz, 1H); **13C NMR** (100 MHz,  $\text{CDCl}_3$ )  $\delta$ (ppm): 203.56, 140.54, 137.12, 133.99, 132.72, 129.06, 128.88, 128.44, 127.63, 126.43, 124.46 (q,  $J = 283.5$  Hz), 118.85, 76.62 (q,  $J = 28.7$  Hz), 45.12; **19F NMR** (376 MHz,  $\text{CDCl}_3$ )  $\delta$ (ppm): –80.09 (s, 3F); **IR** (neat)  $\nu$ : 3480, 3166, 1670, 1595, 1243, 1170, 755  $\text{cm}^{-1}$ ; **MS** (ESI) found: m/z 373.0 [M+H] $^+$ ; **HRMS** (ESI) found: m/z 371.9972 [M] $^+$ ; calcd. for  $\text{C}_{16}\text{H}_{12}\text{BrF}_3\text{O}_2$  371.9973.

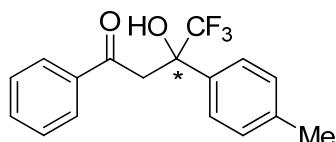


**4,4,4-Trifluoro-3-hydroxy-3-phenyl-1-(thiophen-3-yl)butan-1-one (3j):** white solid,

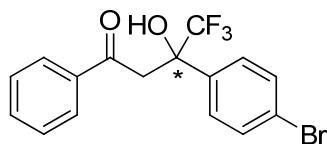
mp 50–52 °C, 26 mg, 85% yield; 65% *ee*, [determined by **HPLC** analysis Daicel Chirapak AD, hexane/*i*-PrOH = 97/3, 254 nm UV detector, 1.0 mL/min,  $t_R$  = 17.107 min (major) and  $t_R$  = 18.685 min (minor)];  $[\alpha]^{20}_D$  −95.3 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); **<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ(ppm): 8.18 (s, 1H), 7.66 (d, *J* = 7.4 Hz, 2H), 7.53 (d, *J* = 5.6 Hz, 1H), 7.42 – 7.34 (m, 4H), 5.81 (s, 1H), 3.91 (d, *J* = 17.0 Hz, 1H), 3.63 (d, *J* = 17.0 Hz, 1H); **<sup>13</sup>C NMR** (100 MHz, CDCl<sub>3</sub>) δ(ppm): 193.50, 141.63, 137.66, 133.99, 128.80, 128.48, 127.22, 126.62, 126.31, 124.65 (q, *J* = 283.0 Hz), 76.49 (q, *J* = 28.8 Hz), 41.49; **<sup>19</sup>F NMR** (376 MHz, CDCl<sub>3</sub>) δ(ppm): −80.04 (s, 3F); **IR** (KBr) v: 3462, 3110, 2924, 1651, 1510, 1418, 1235, 1176, 800, 695 cm<sup>−1</sup>; **MS** (ESI) found: m/z 300.0 [M]<sup>+</sup>; **HRMS** (ESI) found: m/z 300.0431 [M]<sup>+</sup>; calcd. for C<sub>14</sub>H<sub>11</sub>F<sub>3</sub>O<sub>2</sub>S 300.0432.



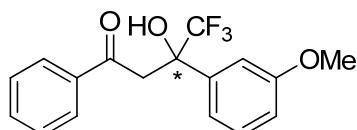
**4,4,4-Trifluoro-3-hydroxy-1-(naphthalen-2-yl)-3-phenylbutan-1-one (3k):** white solid, mp 100–102 °C, 34 mg, 98% yield; 65% *ee*, [determined by **HPLC** analysis Daicel Chirapak IA, hexane/*i*-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min,  $t_R$  = 9.564 min (major) and  $t_R$  = 14.266 min (minor)];  $[\alpha]^{20}_D$  −138.3 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); **<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ(ppm): 8.52 (s, 1H), 8.03 (d, *J* = 8.0 Hz, 1H), 7.98 – 7.91 (m, 3H), 7.70 – 7.61 (m, 4H), 7.41 – 7.35 (m, 3H), 5.78 (s, 1H), 4.22 (d, *J* = 17.2 Hz, 1H), 3.79 (d, *J* = 17.2 Hz, 1H); **<sup>13</sup>C NMR** (100 MHz, CDCl<sub>3</sub>) δ(ppm): 199.60, 137.81, 136.15, 133.67, 132.36, 130.56, 129.83, 129.36, 128.94, 128.80, 128.51, 127.90, 127.27, 126.41, 124.77 (q, *J* = 283.1 Hz), 123.29, 76.69 (q, *J* = 28.5 Hz), 40.25; **<sup>19</sup>F NMR** (376 MHz, CDCl<sub>3</sub>) δ(ppm): −80.15 (s, 3F); **IR** (KBr) v: 3455, 3056, 2921, 1676, 1235, 1171, 1155, 939, 699 cm<sup>−1</sup>; **HRMS** (ESI) found: m/z 344.1027 [M]<sup>+</sup>; calcd. for C<sub>20</sub>H<sub>15</sub>F<sub>3</sub>O<sub>2</sub> 344.1024.



**4,4,4-Trifluoro-3-hydroxy-1-phenyl-3-p-tolylbutan-1-one (3l):**<sup>2</sup> colorless oil, 30 mg, 97% yield; 64% *ee*, [determined by HPLC analysis Daicel Chirapak IA, hexane/*i*-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min, *t<sub>R</sub>* = 8.563 min (major) and *t<sub>R</sub>* = 9.744 min (minor)];  $[\alpha]^{20}_D$  −69.7 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); **<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ(ppm): 7.97 (d, *J* = 7.4 Hz, 2H), 7.66 (t, *J* = 7.4 Hz, 1H), 7.53 (dd, *J* = 7.8, 4.0 Hz, 4H), 7.20 (d, *J* = 8.1 Hz, 2H), 5.66 (s, 1H), 4.07 (d, *J* = 17.4 Hz, 1H), 3.65 (d, *J* = 17.4 Hz, 1H), 2.35 (s, 3H); **<sup>19</sup>F NMR** (376 MHz, CDCl<sub>3</sub>) δ(ppm): −80.37 (s, 3F)

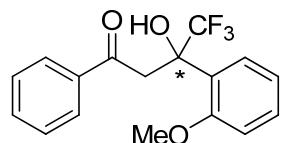


**3-(4-Bromo-phenyl)-4,4,4-trifluoro-3-hydroxy-1-phenyl-butan-1-one (3m):** white solid, mp 96–98 °C, 36mg, 95% yield; 78% *ee*, [determined by HPLC analysis Daicel Chirapak IA, hexane/*i*-PrOH = 90/10, 254 nm UV detector, 1.0 mL/min, *t<sub>R</sub>* = 8.630 min (major) and *t<sub>R</sub>* = 9.968 min (minor)];  $[\alpha]^{20}_D$  −52.1 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); **<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ(ppm): 7.96 (d, *J* = 7.6 Hz, 2H), 7.66 (t, *J* = 7.2 Hz, 1H), 7.51 (d, *J* = 8.2 Hz, 6H), 5.78 (s, 1H), 4.03 (d, *J* = 17.4 Hz, 1H), 3.67 (d, *J* = 17.4 Hz, 1H); **<sup>13</sup>C NMR** (100 MHz, CDCl<sub>3</sub>) δ(ppm): 199.54, 136.91, 136.11, 134.68, 131.67, 129.03, 128.27, 128.19, 125.78 (q, *J* = 282.9 Hz), 123.23, 76.51 (q, *J* = 28.9 Hz) 40.01; **<sup>19</sup>F NMR** (376 MHz, CDCl<sub>3</sub>) δ(ppm): −80.21 (s, 3F); **IR** (KBr) v: 3468, 2927, 1667, 1244, 1166, 759, 618 cm<sup>−1</sup>; **MS** (ESI) found: m/z 372.0 [M]<sup>+</sup>; **HRMS** (ESI) found: m/z 371.9971 [M]<sup>+</sup>; calcd. for C<sub>16</sub>H<sub>12</sub>BrF<sub>3</sub>O<sub>2</sub> 371.9973.



**4,4,4-Trifluoro-3-hydroxy-3-(3-methoxyphenyl)-1-phenylbutan-1-one (3n):** colorless oil, 30 mg, 92% yield; 72% *ee*, [determined by HPLC analysis Daicel Chirapak IB, hexane/*i*-PrOH = 90/10, 254 nm UV detector, 1.0 mL/min, *t<sub>R</sub>* = 7.232 min (major) and *t<sub>R</sub>* = 9.959 min (minor)];  $[\alpha]^{20}_D$  −84.6 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); **<sup>1</sup>H NMR** (400

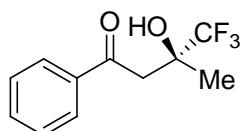
MHz, CDCl<sub>3</sub>) δ(ppm): 7.96 (d, *J* = 7.5 Hz, 2H), 7.65 (t, *J* = 7.4 Hz, 1H), 7.51 (t, *J* = 7.7 Hz, 2H), 7.28 (t, *J* = 8.0 Hz, 2H), 7.17 (d, *J* = 7.8 Hz, 1H), 6.89 (dd, *J* = 8.1, 1.9 Hz, 1H), 5.77 (s, 1H), 4.07 (d, *J* = 17.3 Hz, 1H), 3.80 (s, 3H), 3.65 (d, *J* = 17.3 Hz, 1H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ(ppm): 199.75, 159.71, 139.36, 136.29, 134.47, 129.43, 128.95, 128.25, 124.61 (q, *J* = 282.9 Hz), 118.48, 114.04, 112.72, 76.52 (q, *J* = 28.7 Hz), 55.22, 40.25; <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>) δ(ppm): -80.07 (s, 3F); IR (neat) v: 3479, 2925, 1669, 1245, 1164, 1018, 769, 699 cm<sup>-1</sup>; MS (ESI) found: m/z 324.1 [M]<sup>+</sup>; HRMS (ESI) found: m/z 324.0972 [M]<sup>+</sup>; calcd. for C<sub>17</sub>H<sub>15</sub>F<sub>3</sub>O<sub>3</sub> 324.0973.



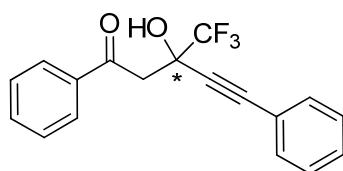
**4,4,4-Trifluoro-3-hydroxy-3-(2-methoxyphenyl)-1-phenylbutan-1-one (3o):** white solid, mp 87–89 °C, 19 mg, 60% yield; 60% ee, [determined by HPLC analysis Daicel Chirapak IA, hexane/i-PrOH = 95/5, 254 nm UV detector, 1.0 mL/min, *t*<sub>R</sub> = 9.752 min (major) and *t*<sub>R</sub> = 10.930 min (minor)]; [α]<sup>20</sup><sub>D</sub> -70.1 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ(ppm): 7.99 (d, *J* = 7.3 Hz, 2H), 7.89 (d, *J* = 7.8 Hz, 1H), 7.63 (t, *J* = 7.4 Hz, 1H), 7.51 (t, *J* = 7.7 Hz, 2H), 7.33 (t, *J* = 8.6 Hz, 1H), 7.07 (t, *J* = 7.2 Hz, 1H), 6.84 (d, *J* = 8.2 Hz, 1H), 5.50 (s, 1H), 4.77 (d, *J* = 17.1 Hz, 1H), 3.59 (s, 3H), 3.48 (d, *J* = 17.1 Hz, 1H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ(ppm): 199.20, 156.65, 136.61, 133.81, 130.40, 130.35, 128.72, 128.17, 124.87 (q, *J* = 283.6 Hz), 124.76, 121.06, 111.63, 76.45 (q, *J* = 29.5 Hz), 55.33, 41.27; <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>) δ(ppm): -80.62 (s, 3F); IR (KBr) v: 3485, 3014, 2972, 1669, 1235, 1170, 758, 619 cm<sup>-1</sup>; MS (ESI) found: m/z 324.1 [M]<sup>+</sup>; HRMS (ESI) found: m/z 324.0978 [M]<sup>+</sup>; calcd. for C<sub>17</sub>H<sub>15</sub>F<sub>3</sub>O<sub>3</sub> 324.0973.



**4,4,4-Trifluoro-3-hydroxy-3-naphthalen-1-yl-1-phenyl-butan-1-one (3p):** white solid, mp 80–82 °C, 21 mg, 60% yield; 62% *ee*, [determined by HPLC analysis Daicel Chirapak AD, hexane/*i*-PrOH = 90/10, 254 nm UV detector, 1.0 mL/min, *t<sub>R</sub>* = 7.660 min (major) and *t<sub>R</sub>* = 8.216 min (minor)];  $[\alpha]^{20}_D$  −114.3 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ(ppm): 9.19 (s, 1H), 8.01 (d, *J* = 7.5 Hz, 2H), 7.87 (t, *J* = 7.8 Hz, 2H), 7.68 (t, *J* = 7.4 Hz, 1H), 7.62 – 7.39 (m, 5H), 7.33 (t, *J* = 7.8 Hz, 1H), 6.08 (s, 1H), 4.53 (d, *J* = 17.6 Hz, 1H), 3.77 (d, *J* = 17.6 Hz, 1H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ(ppm): 199.97, 136.45, 135.08, 134.47, 132.76, 132.33, 130.78, 129.02, 128.96, 128.23, 127.65, 126.11, 126.05, 125.72, 125.32 (q, *J* = 284.2 Hz), 124.17, 77.24 (q, *J* = 28.9 Hz), 41.75; <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>) δ(ppm): −77.87 (s, 3F); IR (KBr) v: 3408, 3052, 2923, 1665, 1176, 1064, 776, 680 cm<sup>−1</sup>; MS (ESI) found: m/z 344.1 [M]<sup>+</sup>; HRMS (ESI) found: m/z 344.1026 [M]<sup>+</sup>; calcd. for C<sub>20</sub>H<sub>15</sub>F<sub>3</sub>O<sub>2</sub> 344.1024.

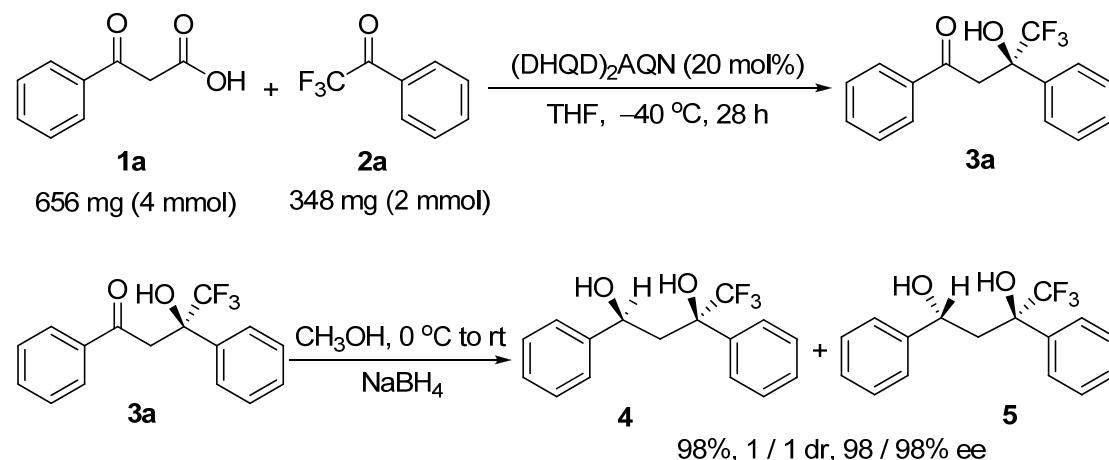


**(S)-4,4,4-Trifluoro-3-hydroxy-3-methyl-1-phenylbutan-1-one (3q):**<sup>3</sup> white solid, mp 45–46 °C 22 mg, 94% yield; 64% *ee*, [determined by HPLC analysis Daicel Chirapak IC, hexane/*i*-PrOH = 98/2, 254 nm UV detector, 0.6 mL/min, *t<sub>R</sub>* = 12.247 min (major) and *t<sub>R</sub>* = 12.638 min (minor)];  $[\alpha]^{20}_D$  −6.8 (*c* 0.64, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ(ppm): 7.97 (d, *J* = 7.4 Hz, 2H), 7.66 (t, *J* = 7.4 Hz, 1H), 7.53 (t, *J* = 7.7 Hz, 2H), 5.31 (s, 1H), 3.53 (d, *J* = 17.0 Hz, 1H), 3.12 (d, *J* = 17.0 Hz, 1H), 1.53 (s, 3H); <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>) δ(ppm): −80.08 (s, 3F); <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>) δ(ppm): −82.68 (s, 3F).



**3-Hydroxy-1,5-diphenyl-3-trifluoromethyl-pent-4-yn-1-one (3r):** yellow solid, mp 52–54 °C, 31 mg, 98% yield; 61% *ee*, [determined by HPLC analysis Daicel Chirapak IC, hexane/*i*-PrOH = 85/15, 254 nm UV detector, 0.8 mL/min, *t<sub>R</sub>* = 5.964 min (major) and *t<sub>R</sub>* = 5.511 min (minor)]; [ $\alpha$ ]<sup>20</sup><sub>D</sub> +38.3 (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ (ppm): 8.03 (d, *J* = 7.5 Hz, 2H), 7.68 (t, *J* = 7.4 Hz, 1H), 7.55 (t, *J* = 7.7 Hz, 2H), 7.40 – 7.36 (m, 2H), 7.32 (d, *J* = 7.1 Hz, 1H), 7.31 – 7.27 (m, 2H), 5.47 (s, 1H), 3.83 (d, *J* = 16.7 Hz, 1H), 3.46 (d, *J* = 16.7 Hz, 1H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$ (ppm): 198.53, 136.24, 134.50, 132.00, 129.25, 128.98, 128.46, 128.28, 123.32 (q, *J* = 282.2 Hz), 121.08, 86.82, 83.36, 70.58 (q, *J* = 32.3 Hz), 41.67; <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>)  $\delta$ (ppm): -81.48 (s, 3F); IR (KBr)  $\nu$ : 3484, 3062, 2923, 1676, 1170, 1124, 817, 757 cm<sup>-1</sup>; MS (ESI) found: m/z 319.1 [M+H]<sup>+</sup>; HRMS (ESI) found: m/z 318.0869 [M]<sup>+</sup>; calcd. for C<sub>18</sub>H<sub>13</sub>F<sub>3</sub>O<sub>2</sub> 318.00868.

#### A large-scale Aldol reaction and further transformation



To a solution of 2,2,2-Trifluoromethyl-1-phenylethanone **2a** (348 mg, 2 mmol) and (DHQD)<sub>2</sub>AQN (360 mg, 20 mol%) in THF (4 mL) at -40 °C were added  $\beta$ -ketoacid **1a** (656 mg, 4 mmol, 2 equiv, in 6 mL THF) slowly using a syringe pump. After the addition (4 h), the resulting mixture was further stirred at -40 °C for 24 h. After the solvent was removed under reduced pressure, a crude mixture was purified by flash column chromatography on silica gel (petroleum ether/ethyl acetate: 20/1 to 10/1) to afford the desired product **3a**. The catalyst was recovered (MeOH/CH<sub>2</sub>Cl<sub>2</sub>: 1/8 as

eluent) (359 mg) in almost quantitative yield. Recrystallization of the product **3a** from CH<sub>2</sub>Cl<sub>2</sub>/hexane furnished acicular crystal (241 mg), mp 46–46.5 °C, 82% yield, 98% ee,  $[\alpha]^{20}_D -90.1$  (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>).

To a solution of **3a** (58.8 mg, 0.2 mmol) in 2 mL of MeOH was added NaBH<sub>4</sub> (76 mg, 2.0 mmol) in portions at 0 °C. The resultant mixture was stirred over night at room temperature (monitored by TLC). The mixture was evaporated in vacuum, added water (10 mL), and extracted with dichloromethane (10 mL × 3), washed with brine and dried over MgSO<sub>4</sub>. Concentration and flash chromatography (ethyl acetate/hexane: 1/40 as eluant) afforded 4,4,4-trifluoro-1,3-diphenyl-butane-1,3-diols (**4** and **5**). One diastereomer: colorless oil, 29.1 mg, 49% yield; 98% ee, [determined by HPLC analysis Daicel Chirapak IA, hexane/*i*-PrOH = 90/10, 220 nm UV detector, 0.8 mL/min,  $t_R$  = 8.699 min (major) and  $t_R$  = 11.698 min (minor)];  $[\alpha]^{20}_D -58.0$  (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); **1H NMR** (400 MHz, CDCl<sub>3</sub>) δ(ppm): 7.63 (d, *J* = 7.1 Hz, 2H), 7.50 – 7.26 (m, 8H), 6.06 (s, 1H), 5.38 (d, *J* = 11.2 Hz, 1H), 3.02 (s, 1H), 2.59 (d, *J* = 15.4 Hz, 1H), 2.36 – 2.20 (m, 1H); **13C NMR** (100 MHz, CDCl<sub>3</sub>) δ(ppm): 143.33, 139.36, 128.87, 128.41, 128.34, 128.31, 126.09 (q, *J* = 285.5 Hz), 125.67, 125.61, 77.02 (q, *J* = 27.8 Hz), 72.75, 44.71; **19F NMR** (376 MHz, CDCl<sub>3</sub>) δ (ppm): -75.60 (s, 3F); **IR** (neat) v: 3421, 3272, 3030, 2897, 1456, 1164, 701, 606 cm<sup>-1</sup>; **MS** (ESI) found: m/z 296.1 [M]<sup>+</sup>; **HRMS** (ESI) found: m/z 296.1022 [M]<sup>+</sup>; calcd. for C<sub>16</sub>H<sub>15</sub>F<sub>3</sub>O<sub>2</sub> 296.1024. Another diastereomer:white solid, mp 106–108 °C, 29.0 mg, 49% yield; 98% ee, [determined by HPLC analysis Daicel Chirapak IC, hexane/*i*-PrOH = 85/15, 220 nm UV detector, 1.0 mL/min,  $t_R$  = 5.452 min (major) and  $t_R$  = 5.172 min (minor)];  $[\alpha]^{20}_D -8.6$  (*c* 1.0, CH<sub>2</sub>Cl<sub>2</sub>); **1H NMR** (400 MHz, CDCl<sub>3</sub>) δ (ppm): 7.72 (d, *J* = 7.5 Hz, 2H), 7.52 – 7.43 (m, 3H), 7.40 – 7.33 (m, 3H), 7.29 (d, *J* = 8.0 Hz, 2H), 5.41 (s, 1H), 4.67 (d, *J* = 10.2 Hz, 1H), 2.63 – 2.50 (m, 2H), 2.33 (s, 1H); **19F NMR** (376 MHz, CDCl<sub>3</sub>) δ(ppm): -81.05 (s, 3F). **IR** (neat) v: 3421, 3272, 3030, 2897, 1456, 1164, 701, 606 cm<sup>-1</sup>; **MS** (ESI) found: m/z 296.1 [M]<sup>+</sup>; **HRMS** (ESI) found: m/z 296.1020 [M]<sup>+</sup>; calcd. for C<sub>16</sub>H<sub>15</sub>F<sub>3</sub>O<sub>2</sub> 296.1024.

### **<sup>19</sup>F NMR spectroscopic analysis of the reaction mixture:**

The mixture of 2,2,2-Trifluoromethyl-1-phenylethanone **2a** (17.4 mg, 0.1 mmol),  $\beta$ -ketoacid **1a** (32.8 mg, 0.2 mmol), and triethylamine (2 mg, 0.02 mmol) in THF-*d*<sub>8</sub> (0.5 mL) in NMR tube was stirred at 0 °C. Then the tube was subjected to <sup>19</sup>F NMR (376 MHz) spectroscopic analysis every two hours. The relative conversion was determined by the integrated area (Figure 1).

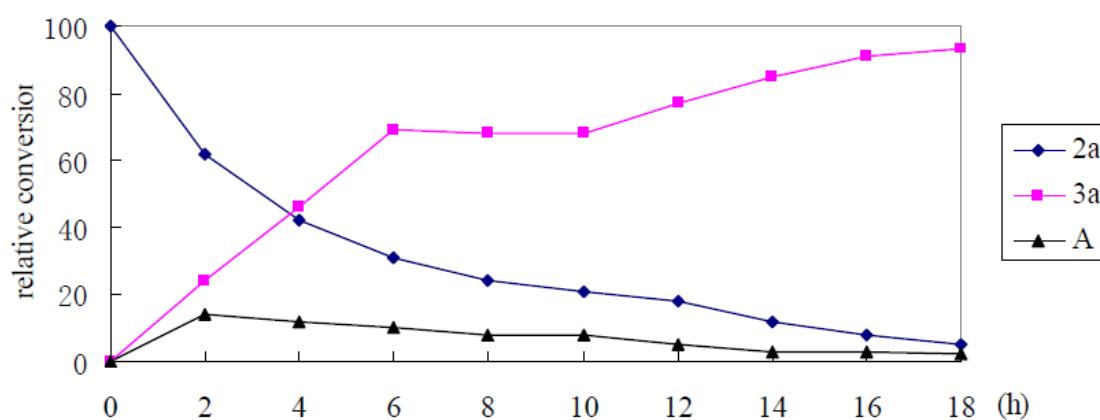
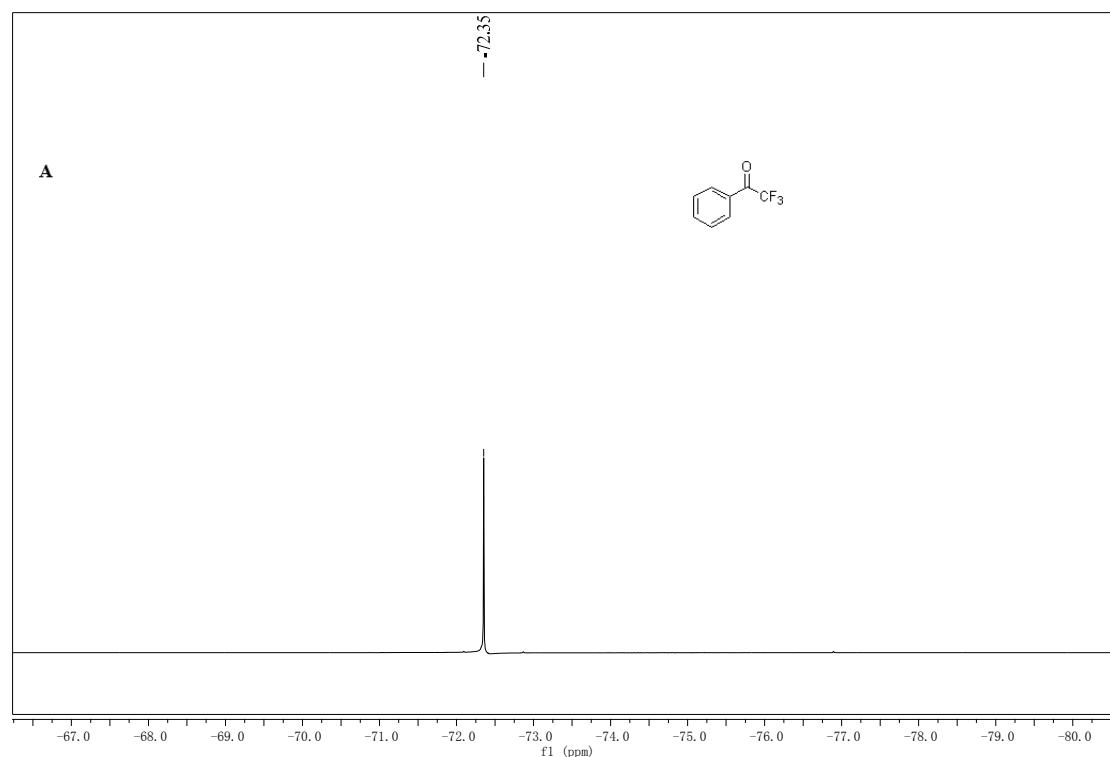
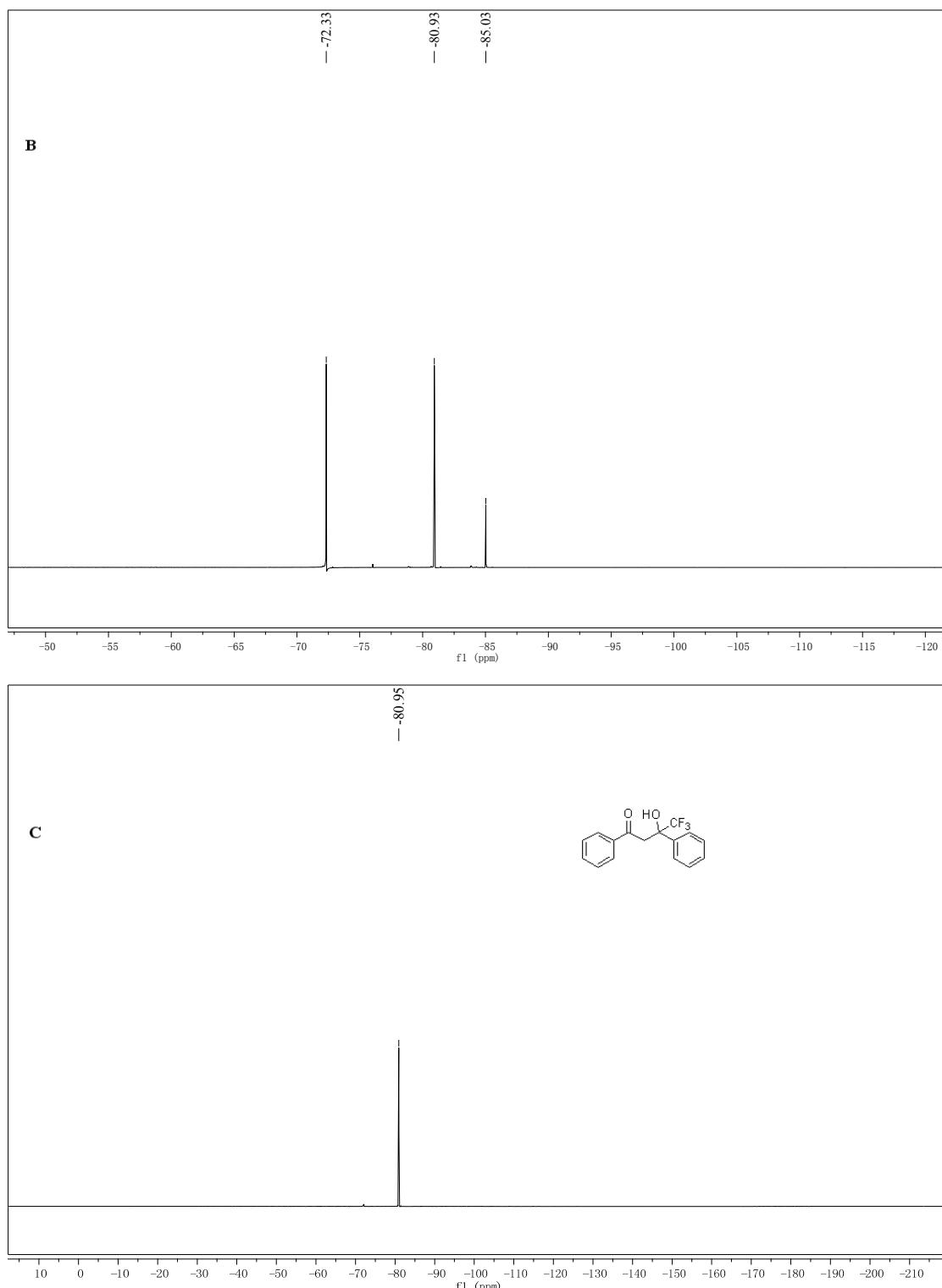


Figure 1 <sup>19</sup>F NMR monitoring of the reaction mixture of  $\beta$ -ketoacid **1a**, trifluoromethyl ketone **2a**, and NEt<sub>3</sub> in THF-*d*<sub>8</sub> as a function of time at 0 °C.

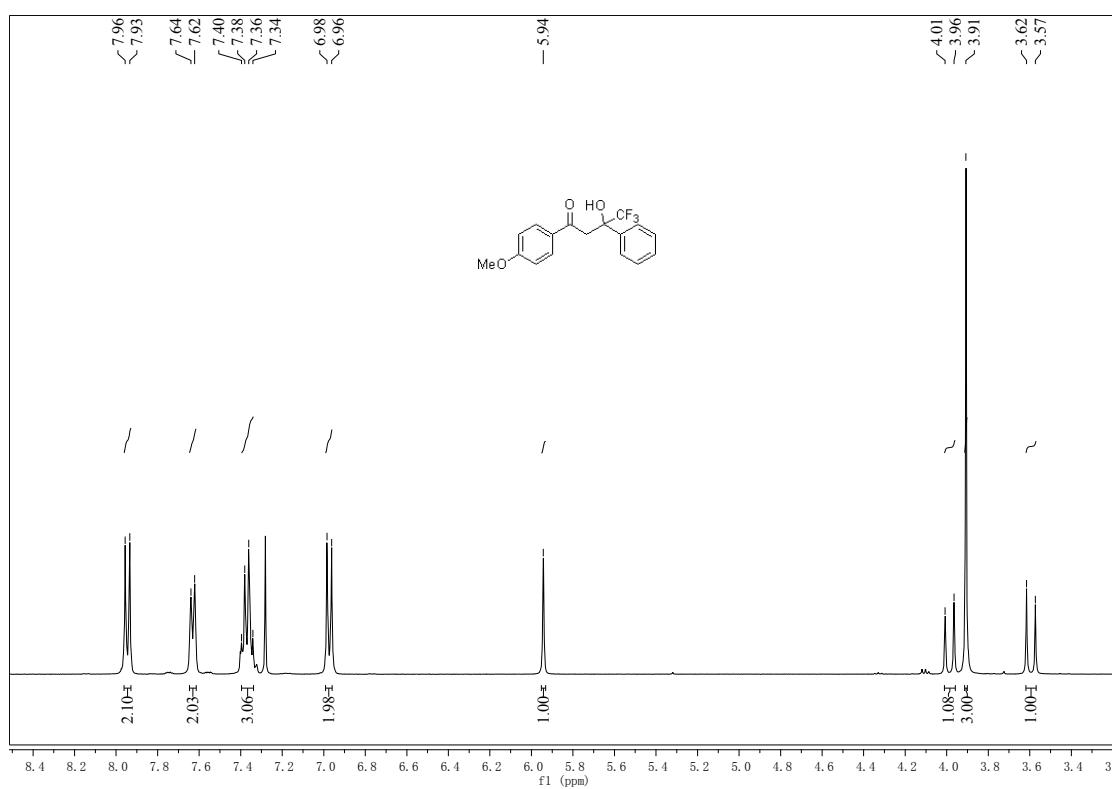
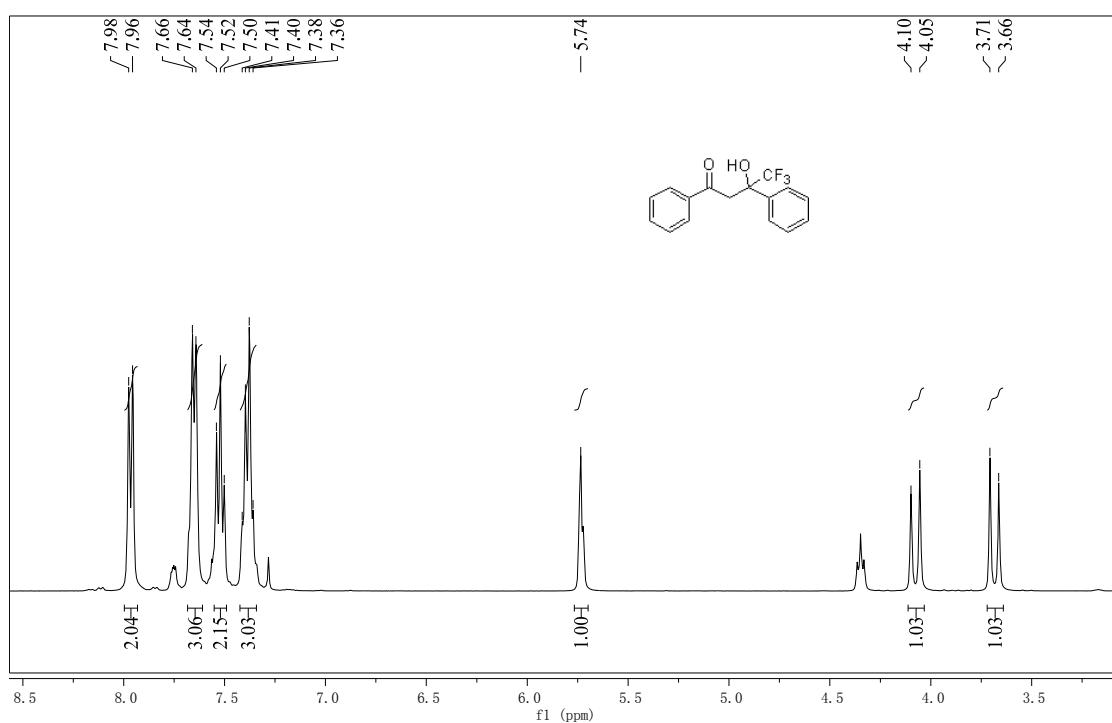


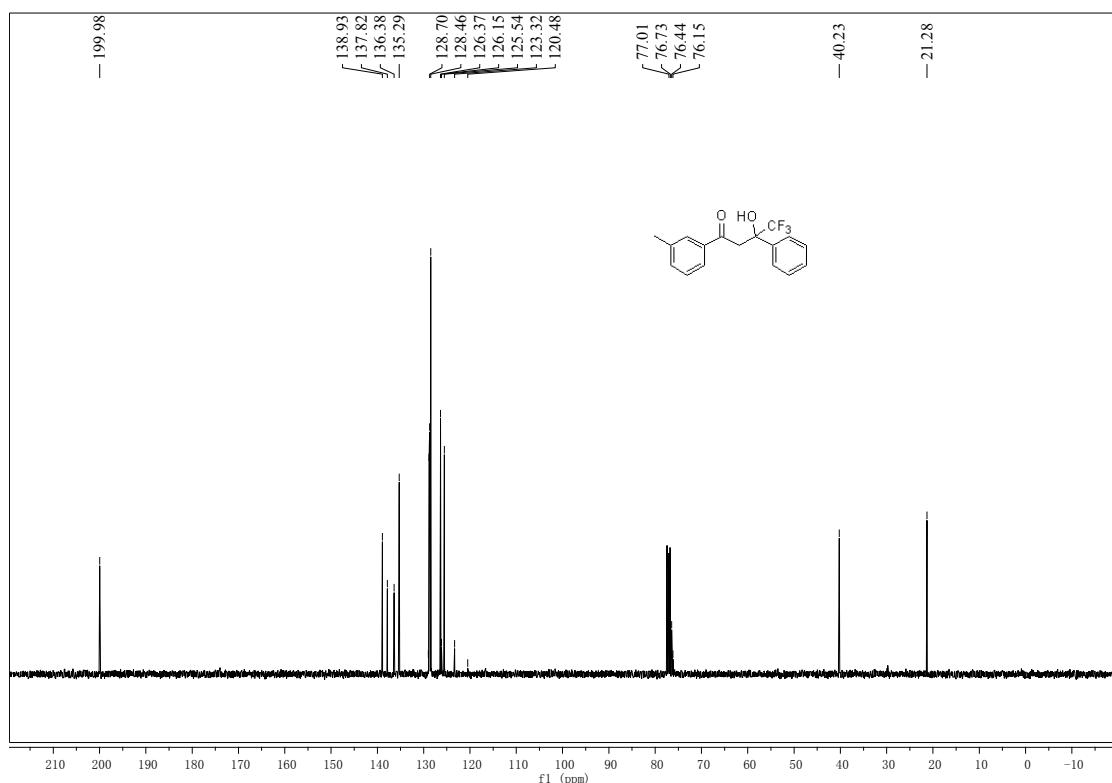
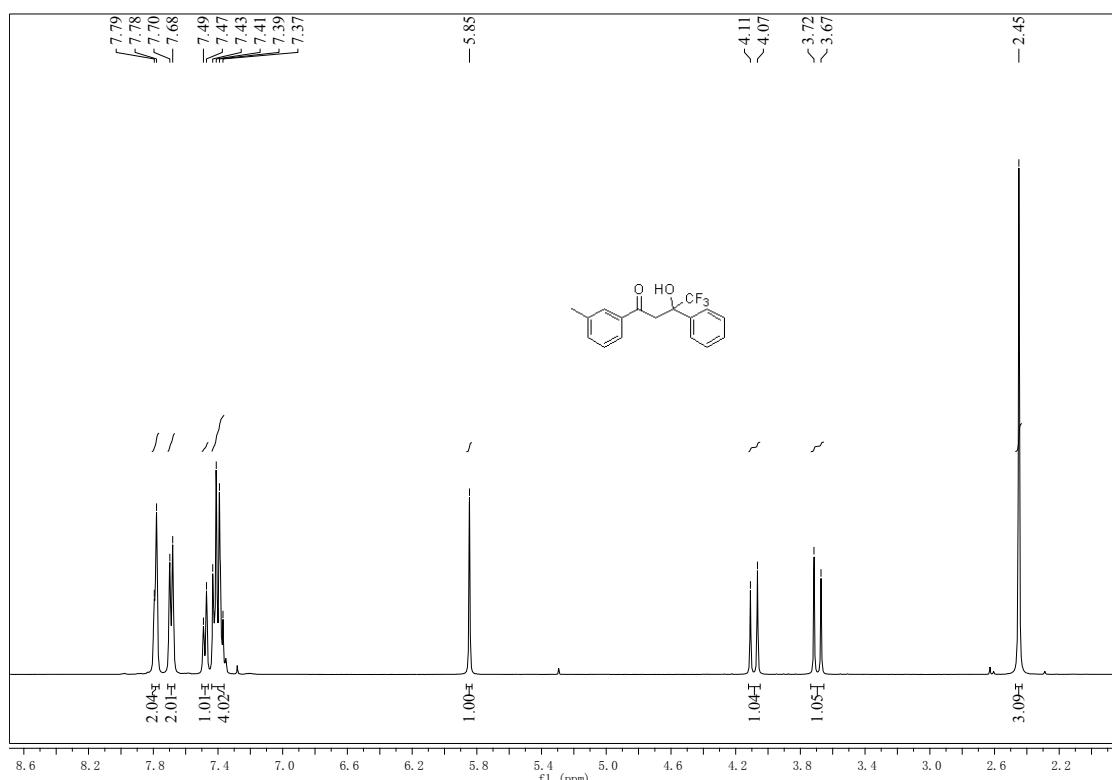


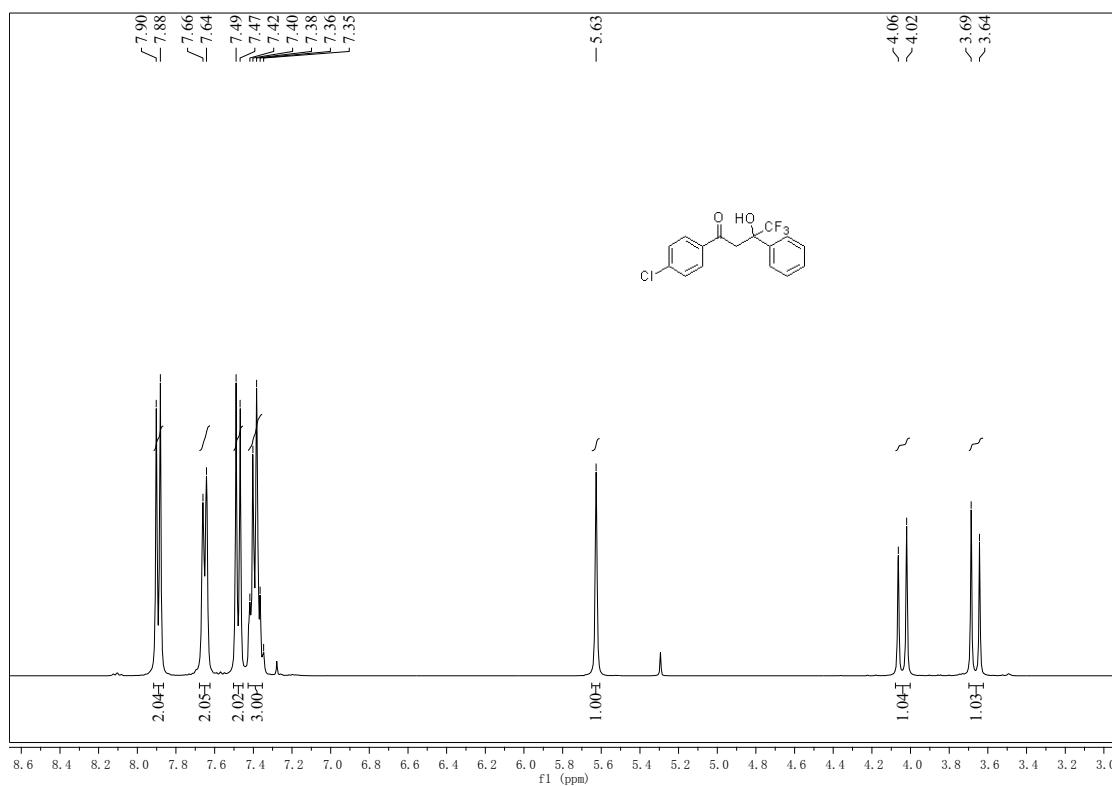
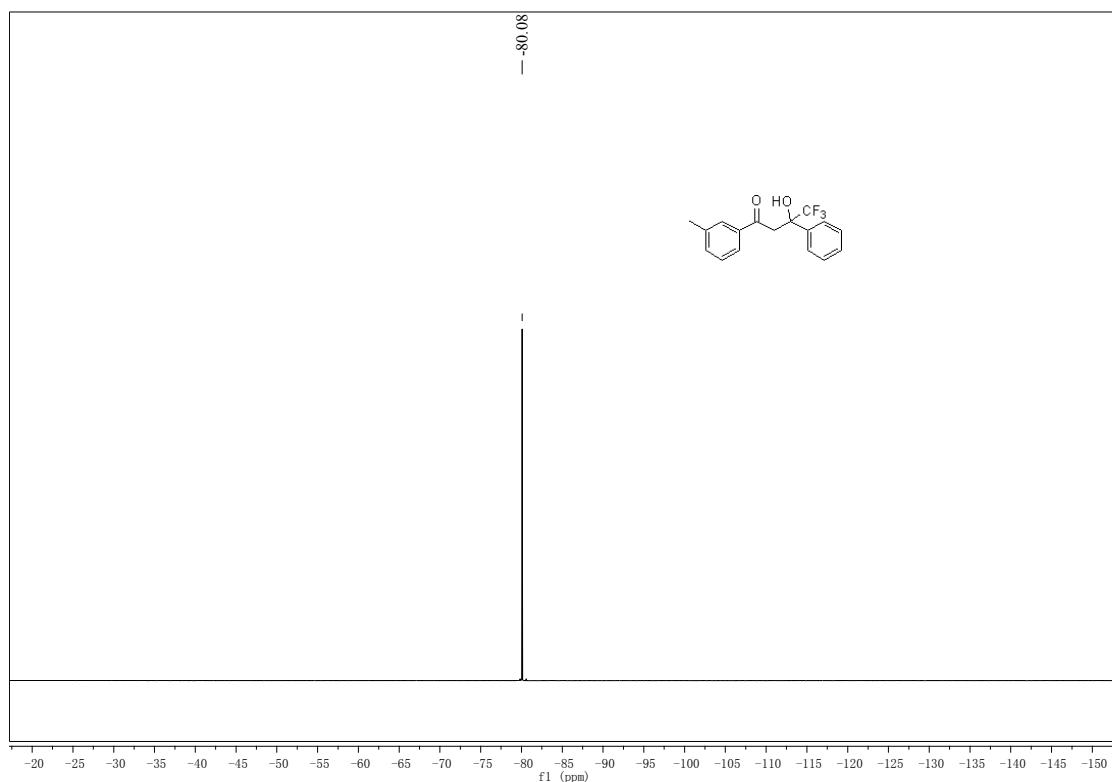
### References:

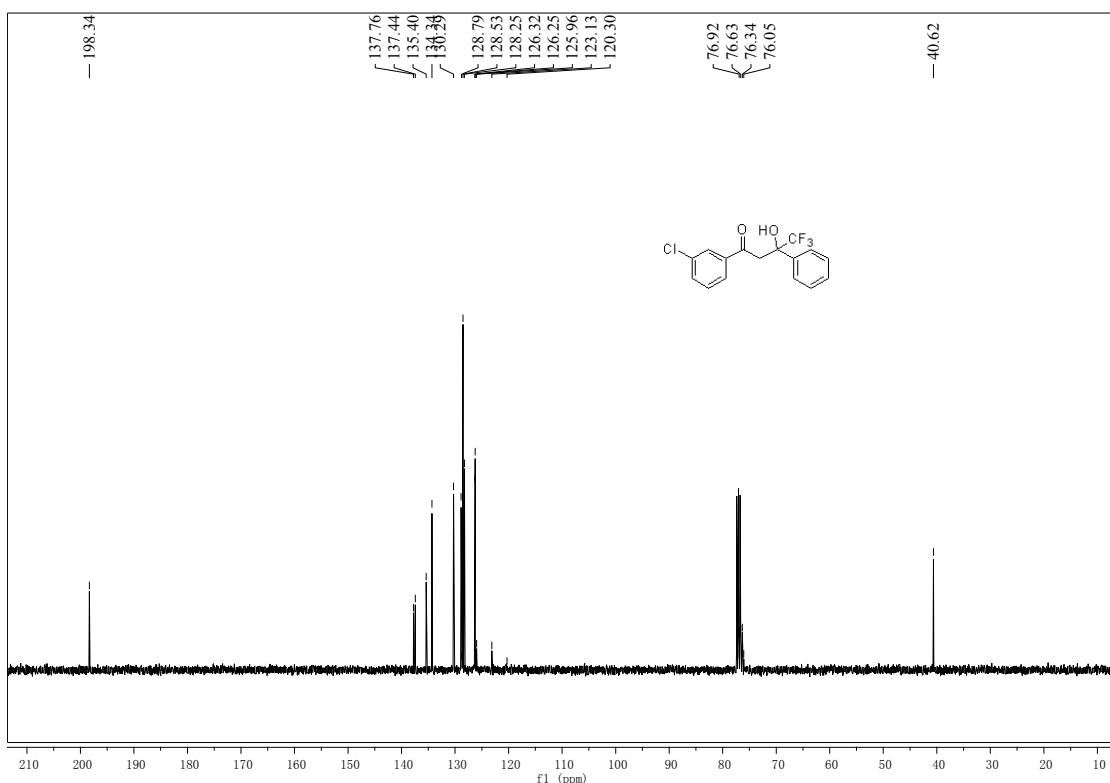
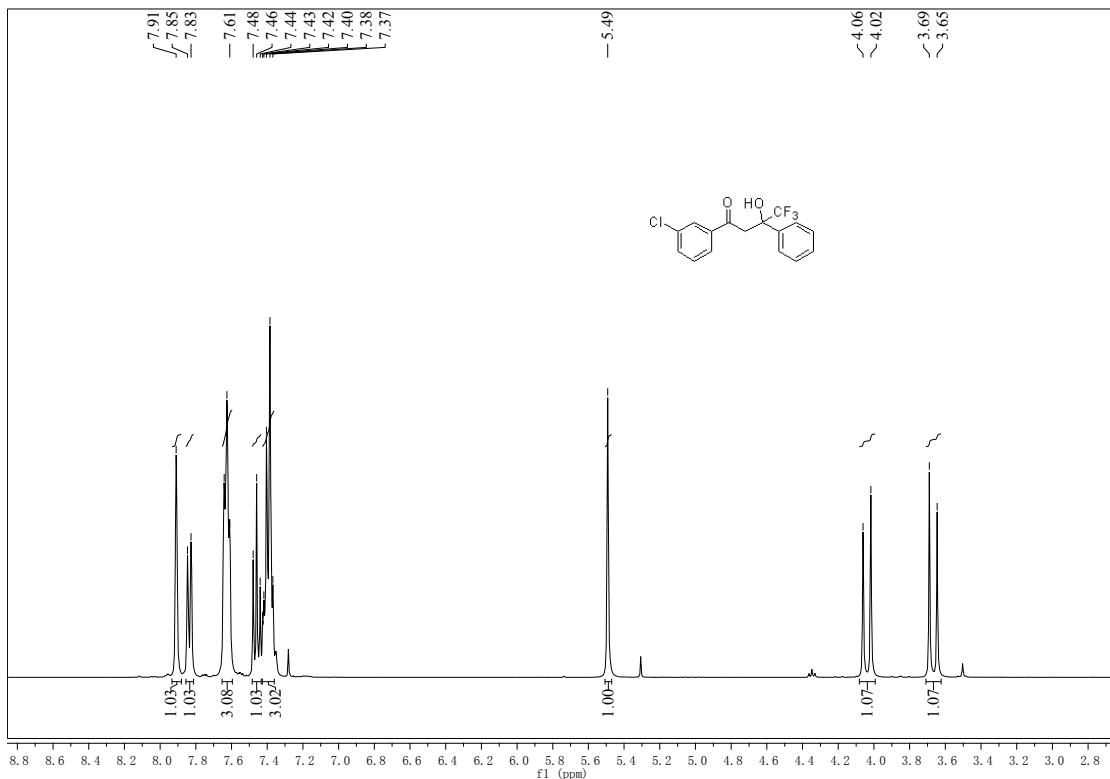
- 1 D. A. Evans, S. Mito, D. Seidel, *J. Am. Chem. Soc.*, **2007**, *129*, 11583–11592.
- 2 S. Sasaki, K. Kikuchi, T. Yamauchi, K. Higashiyama, *Synlett*, **2011**, *10*, 1431–1434.
- 3 Z.-J. Liu, Y.-Q. Mei and J.-T. Liu, *Tetrahedron*, **2007**, *63*, 855–860.

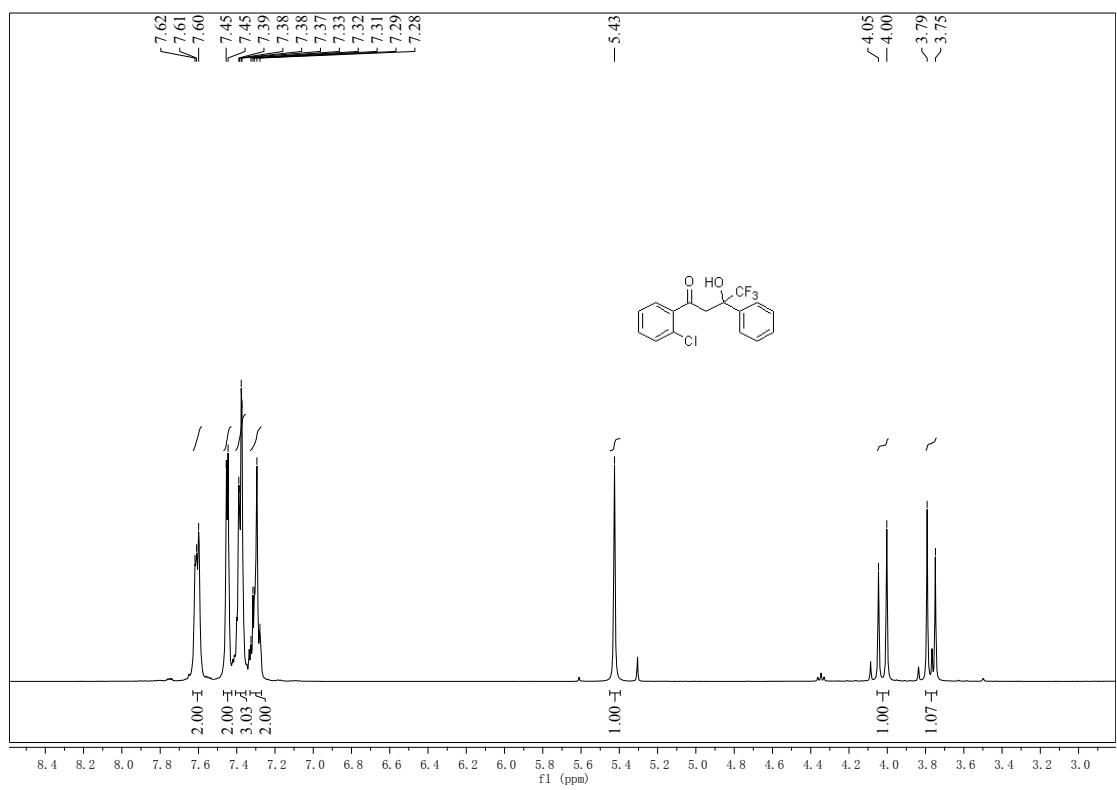
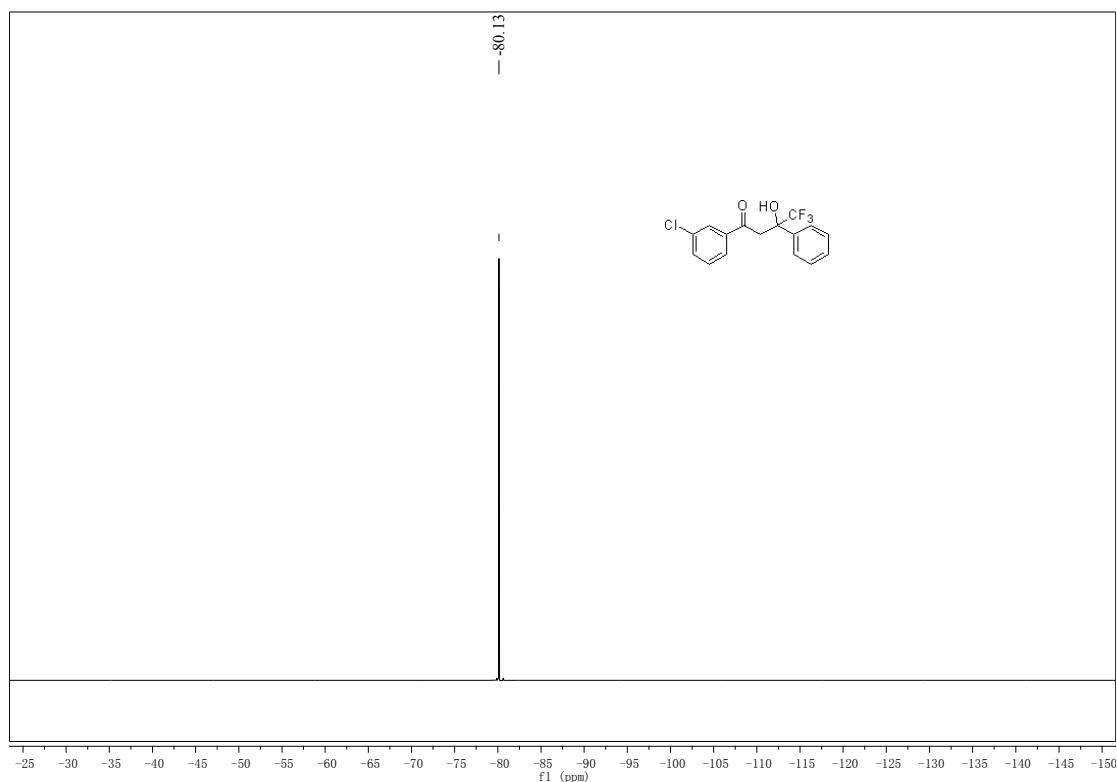
## NMR Spectra and HPLC Charts for the Addition Adducts:

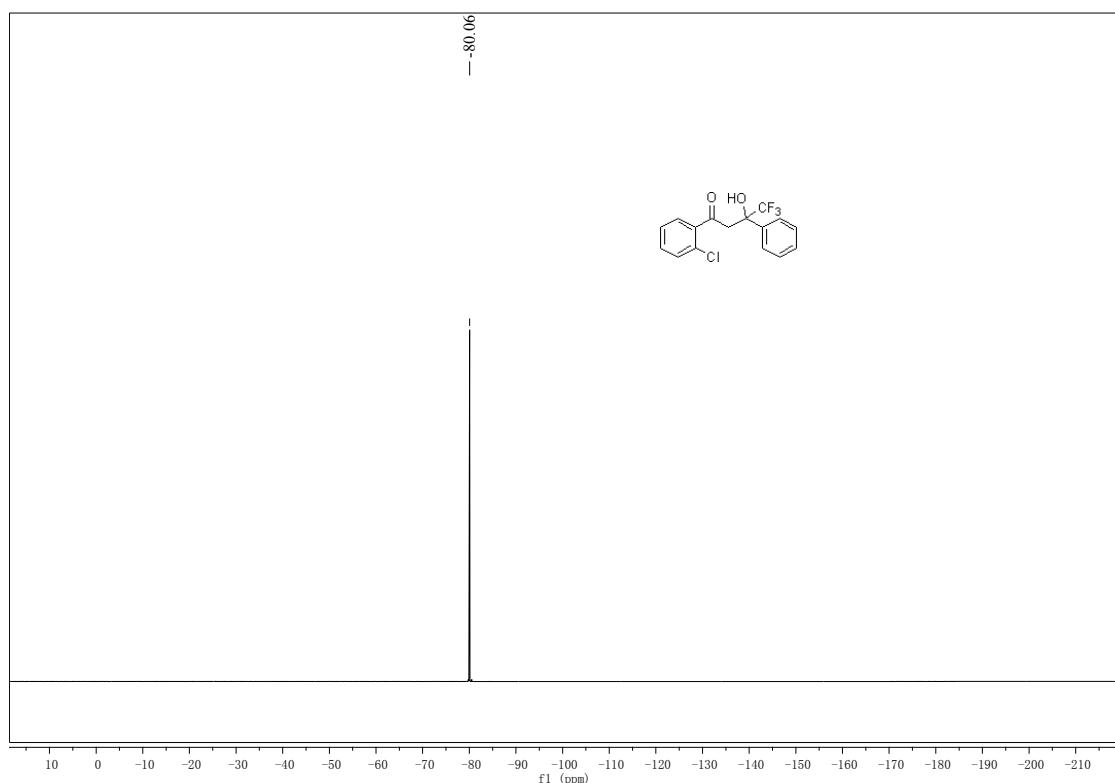
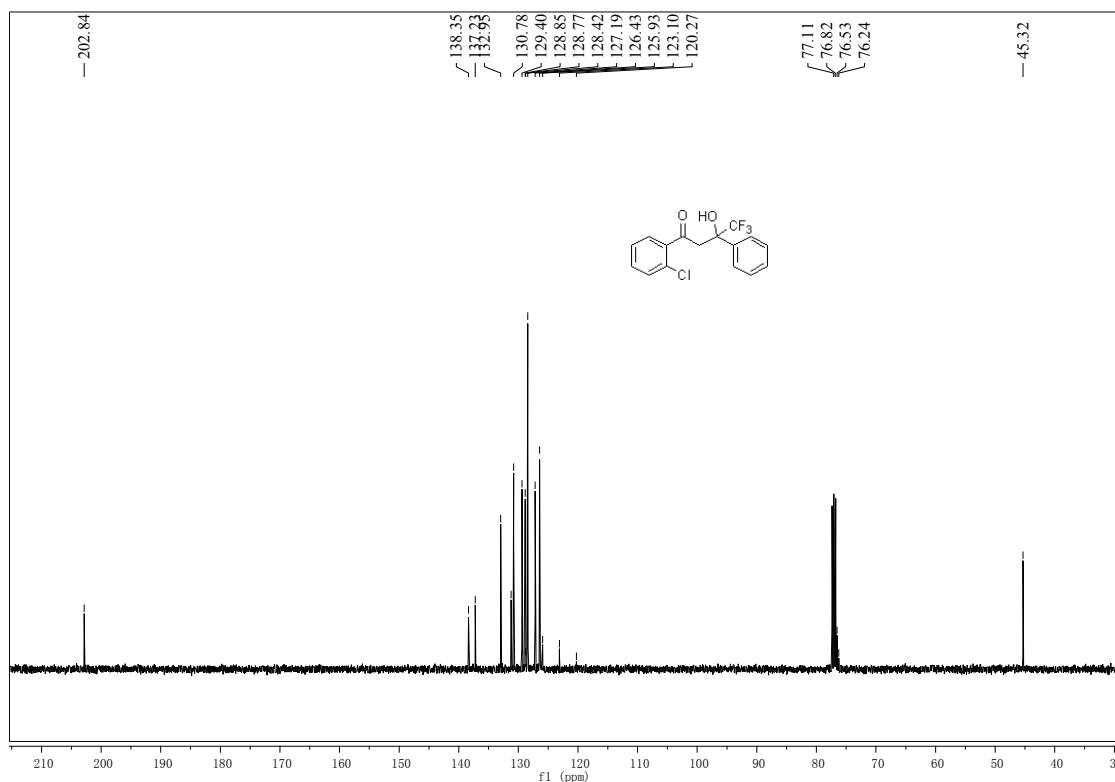


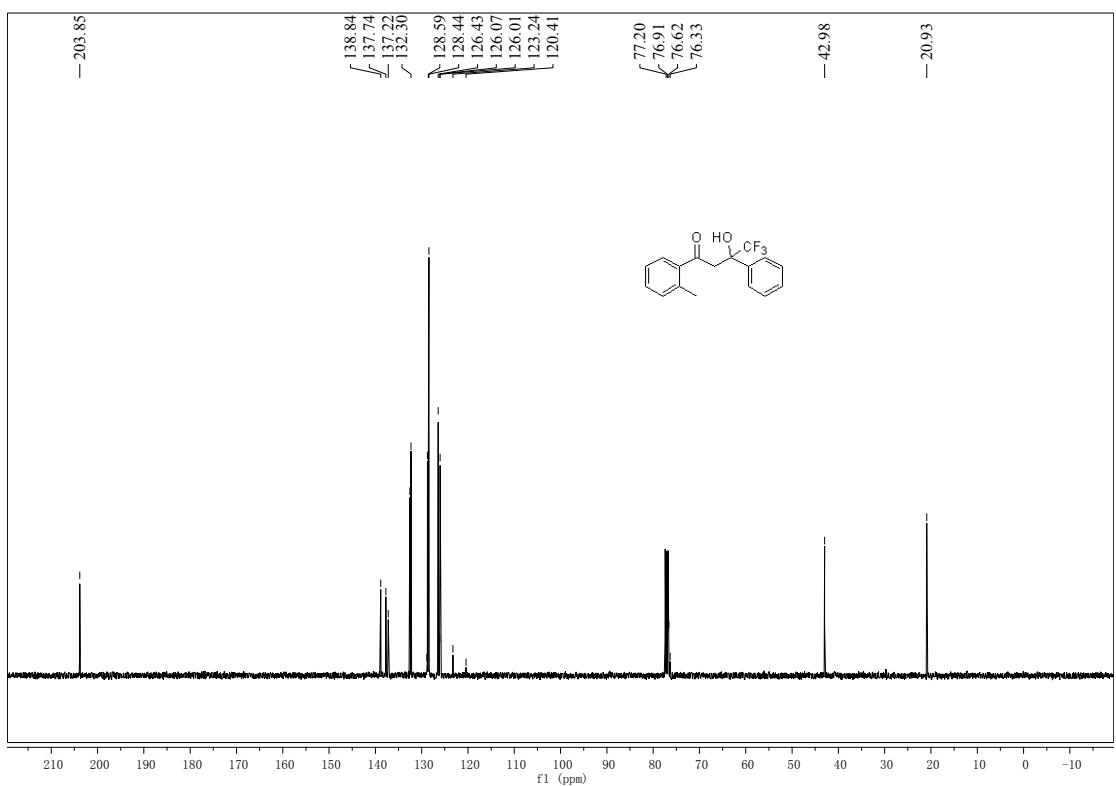
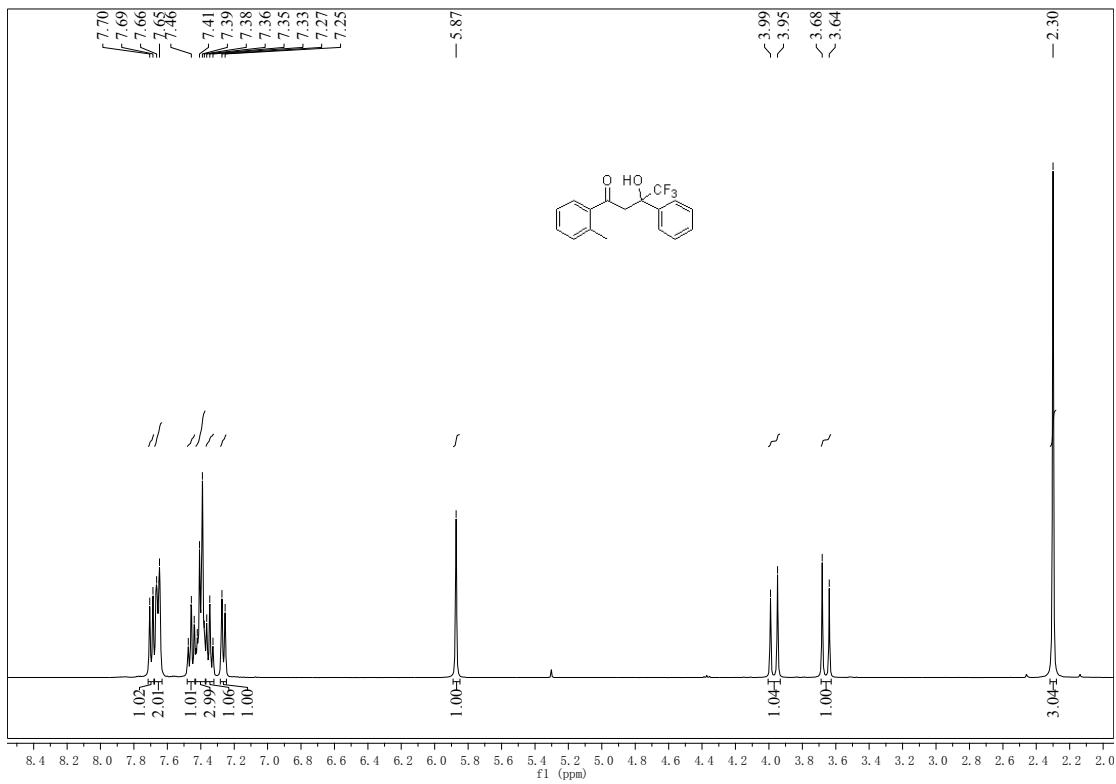


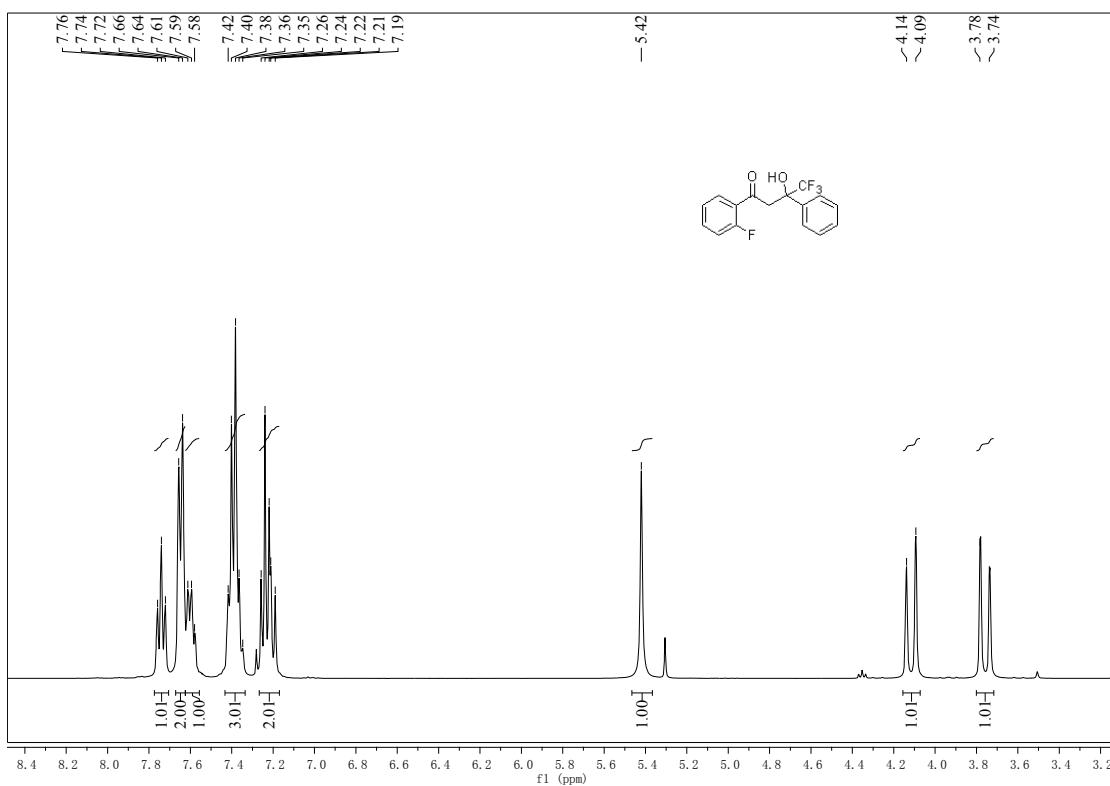
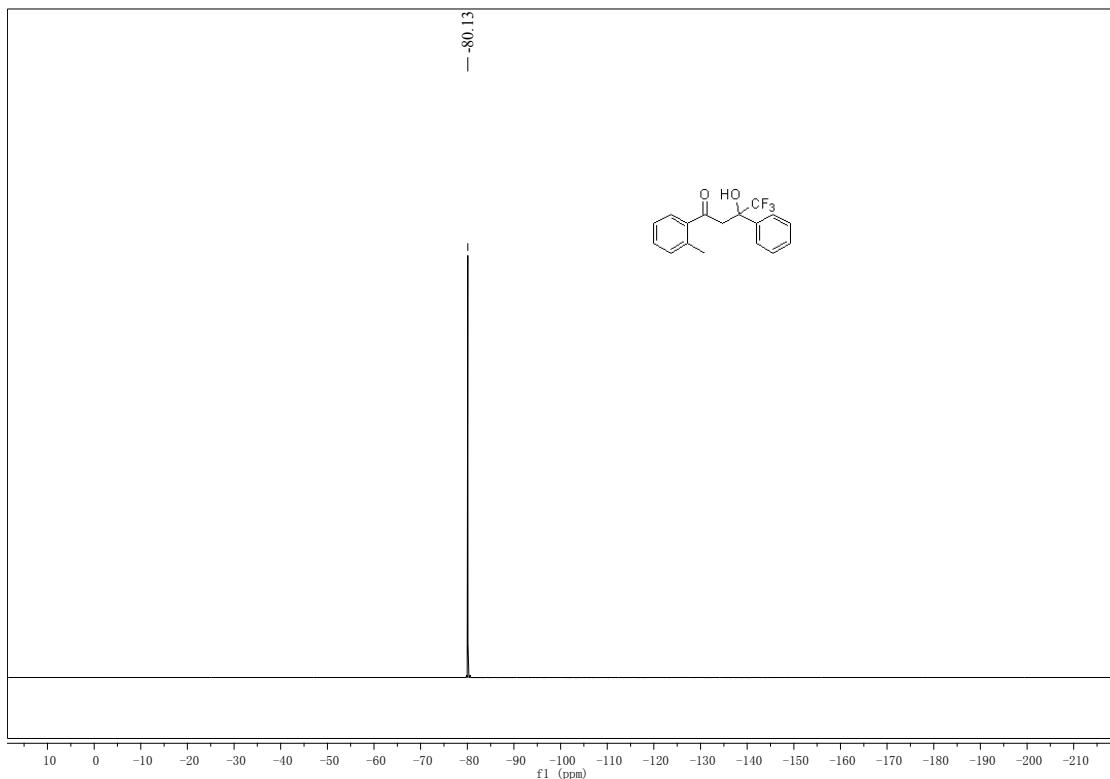


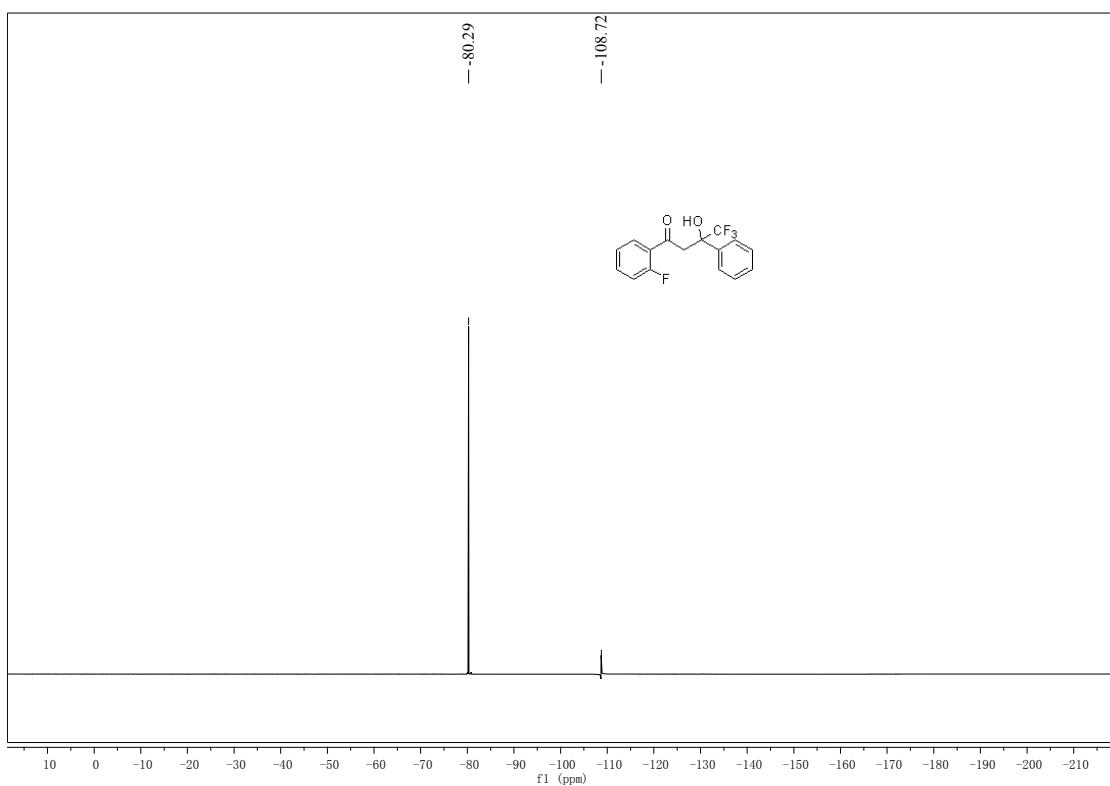
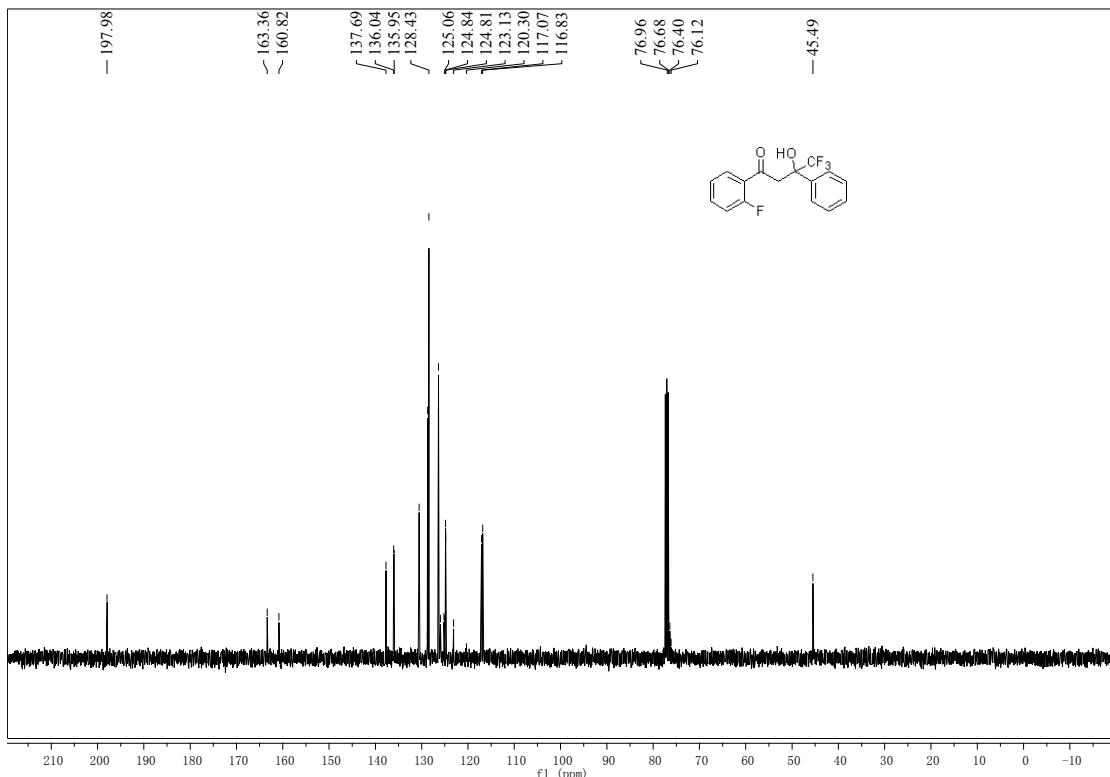


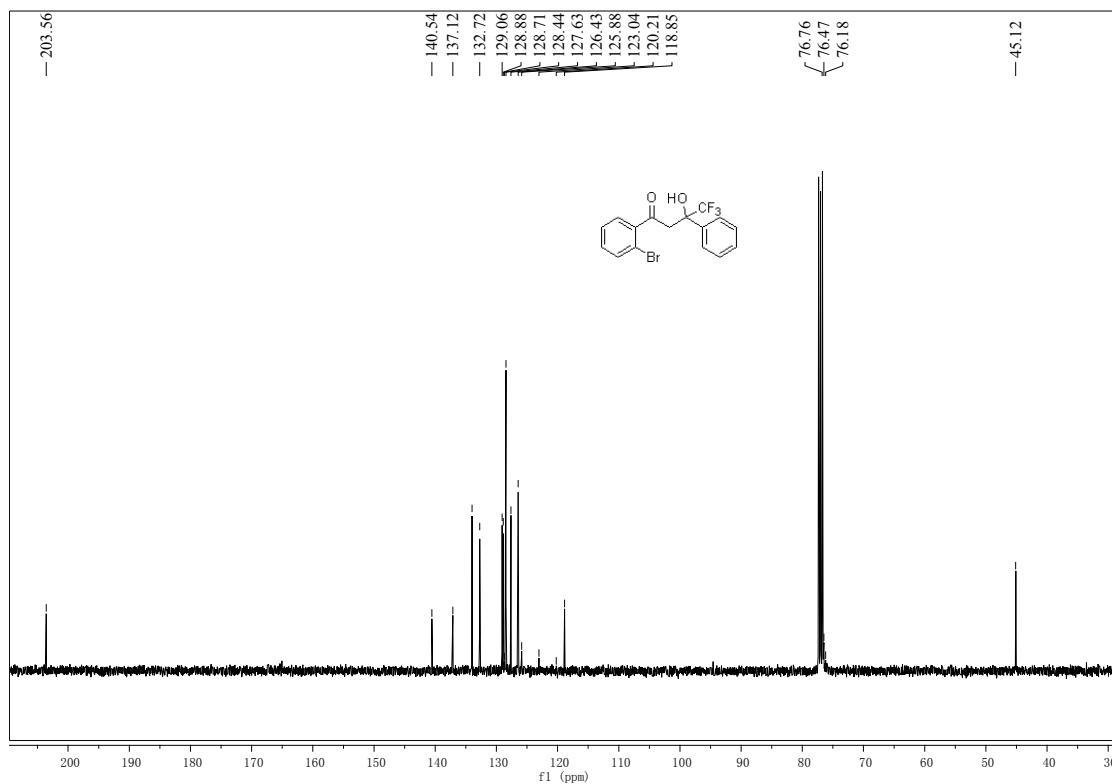
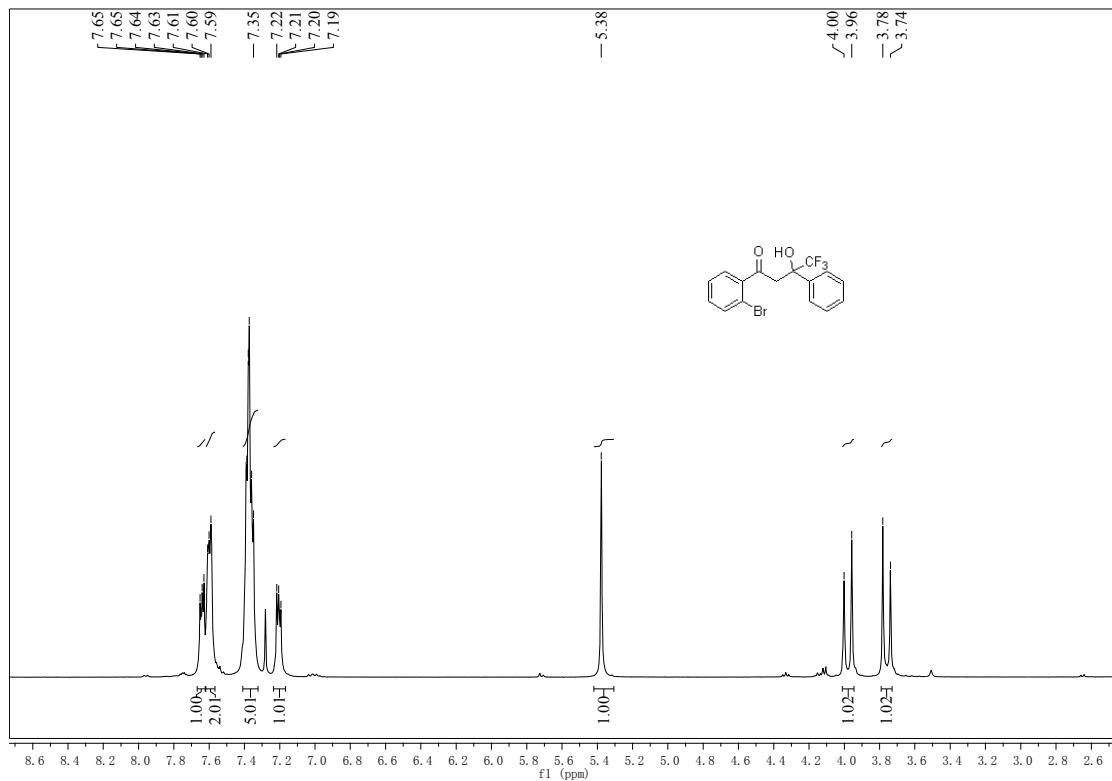


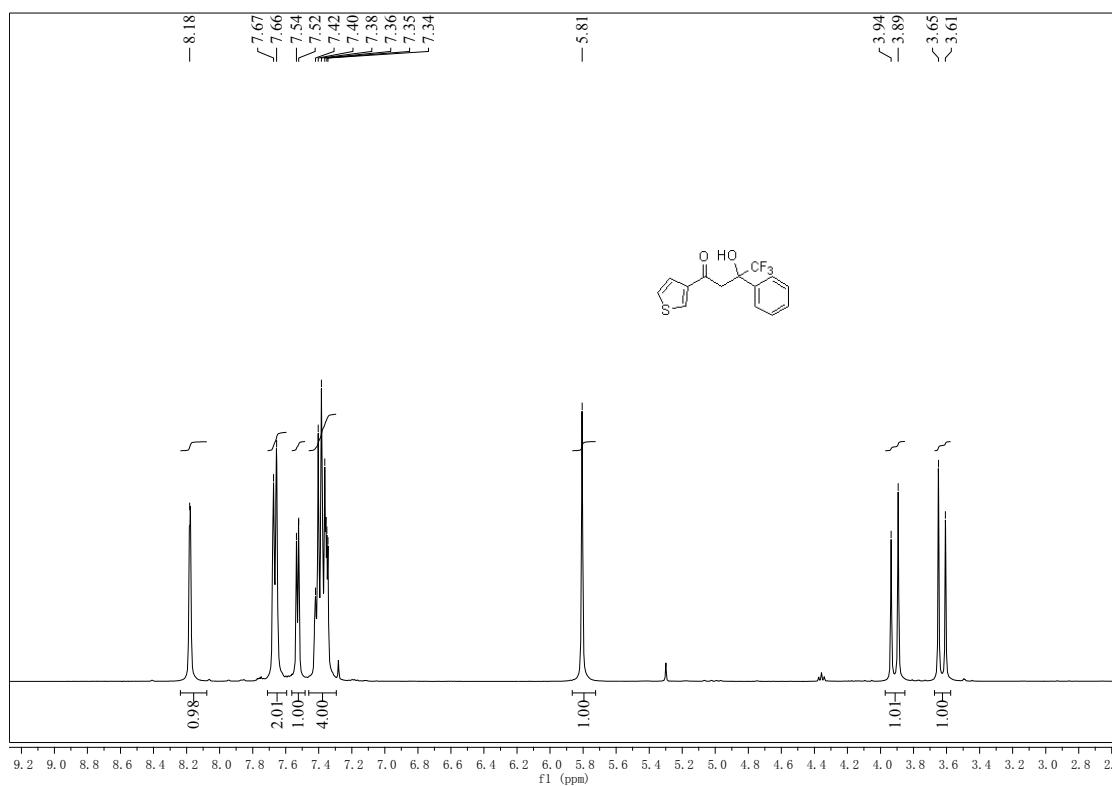
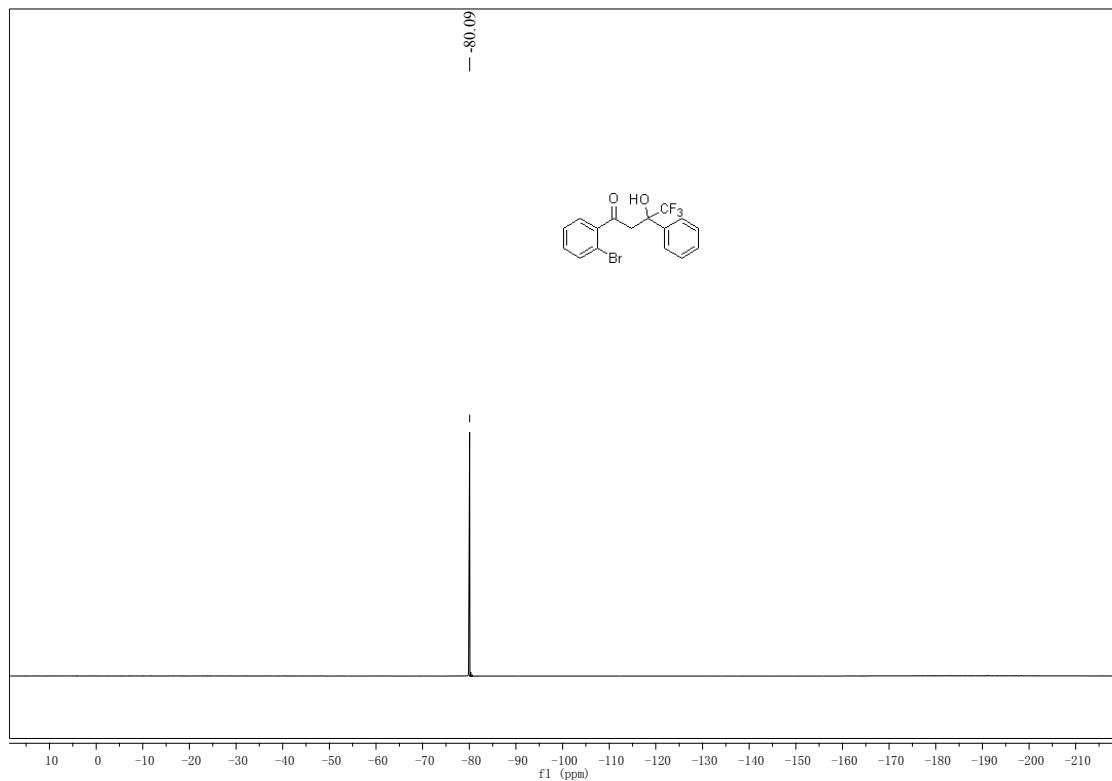


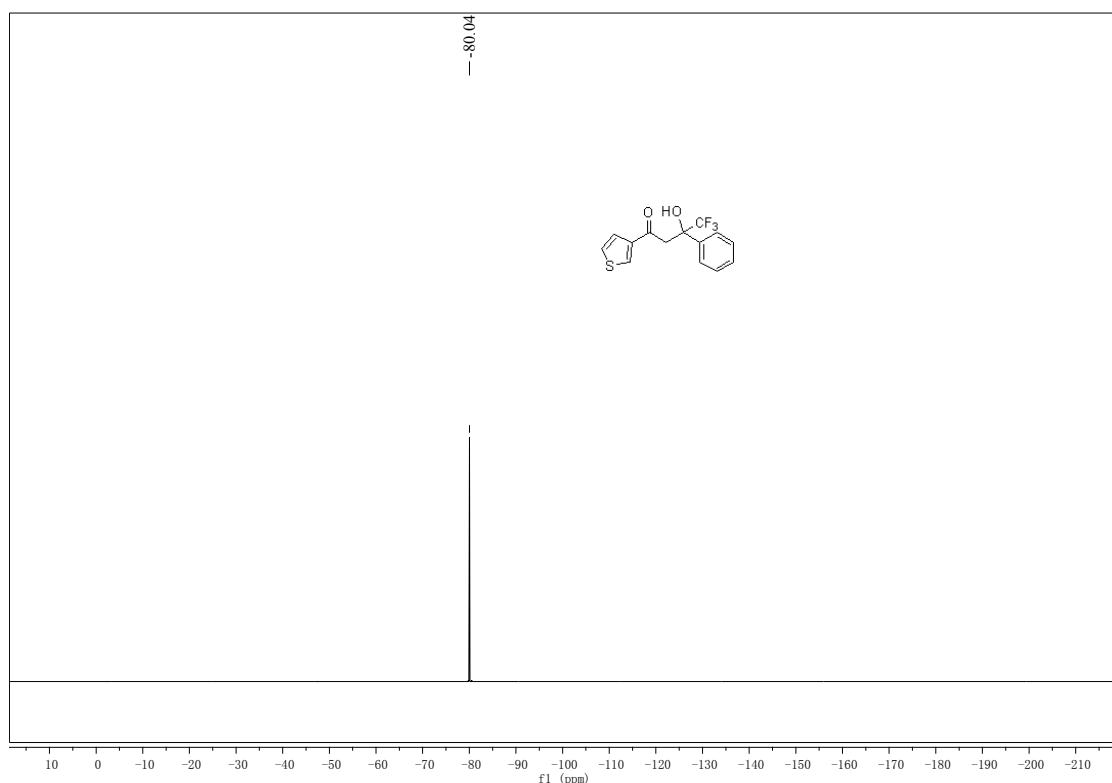
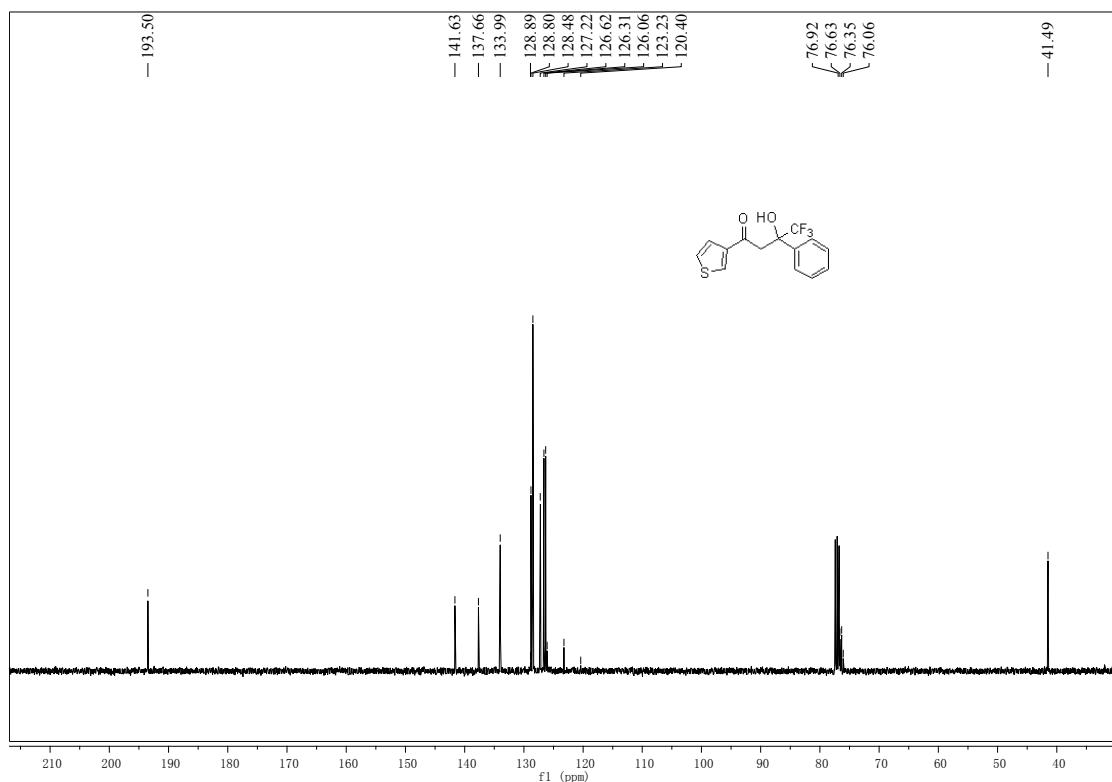


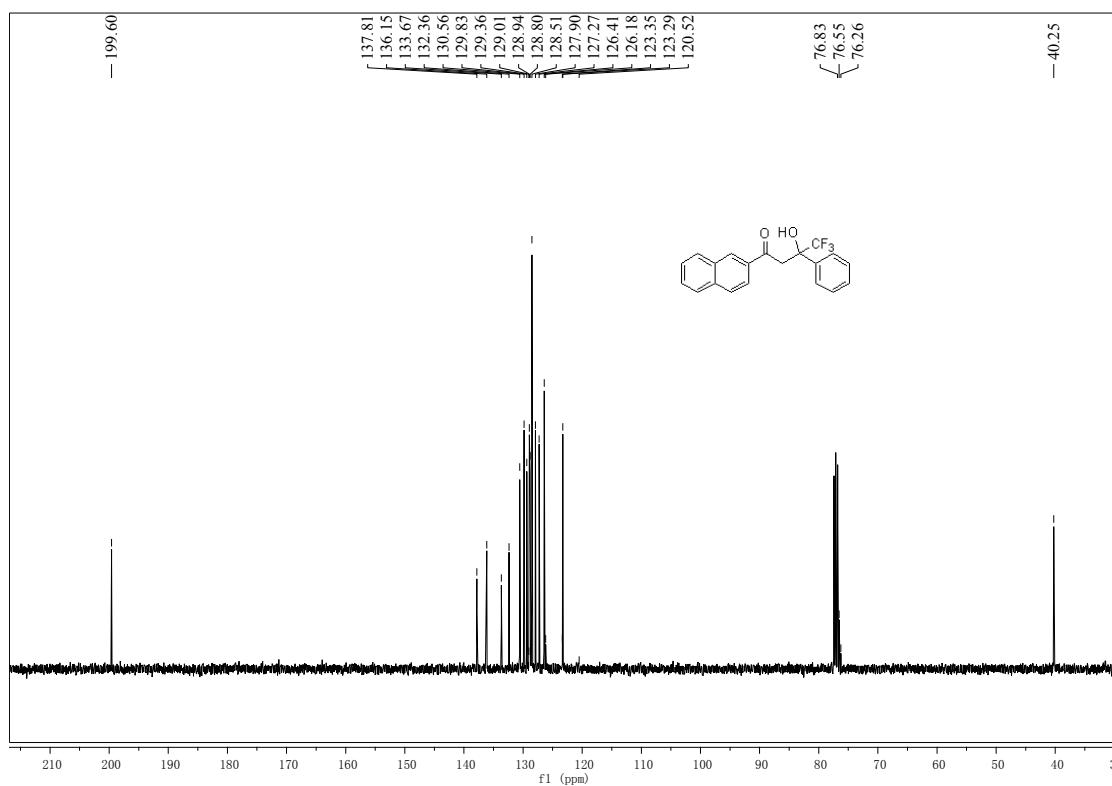
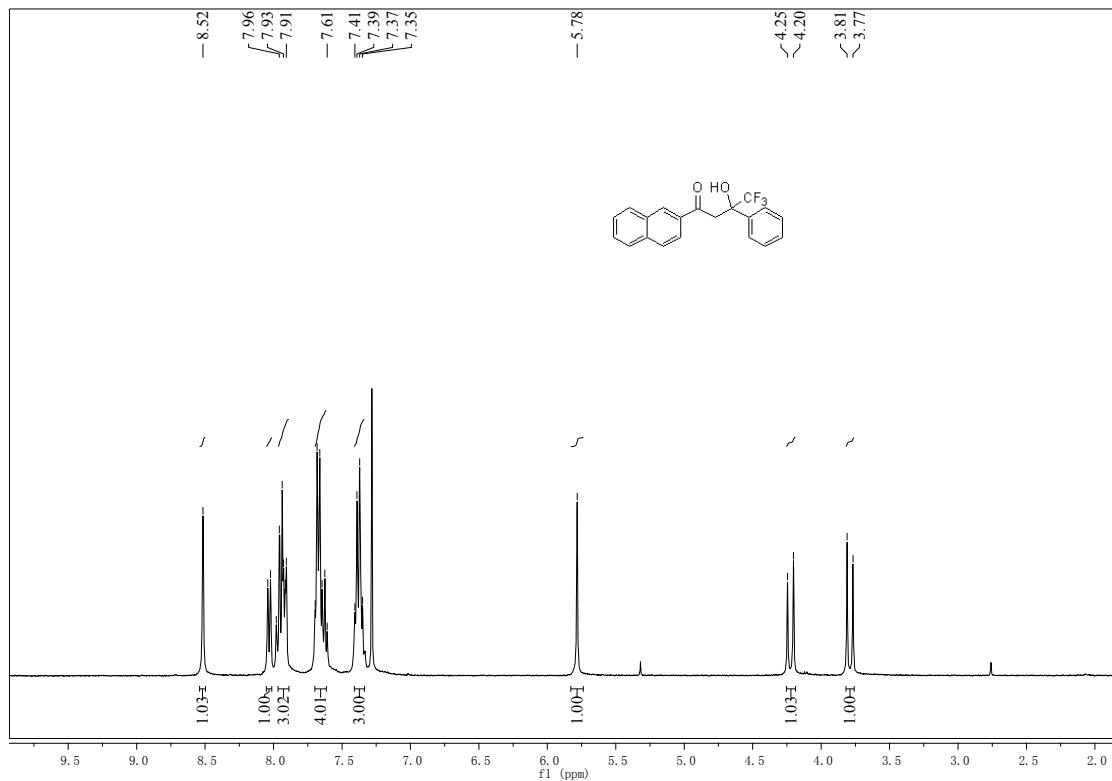


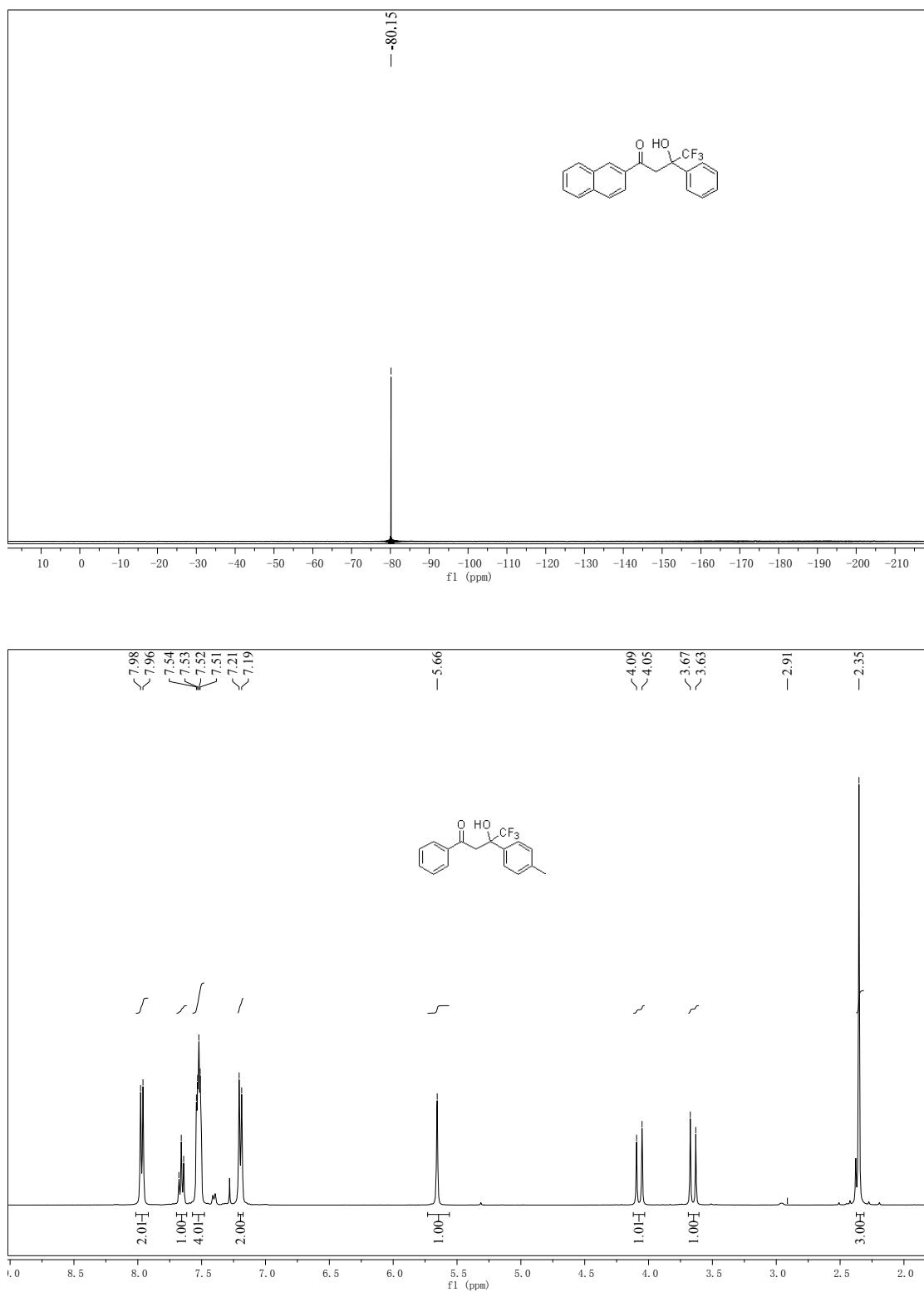


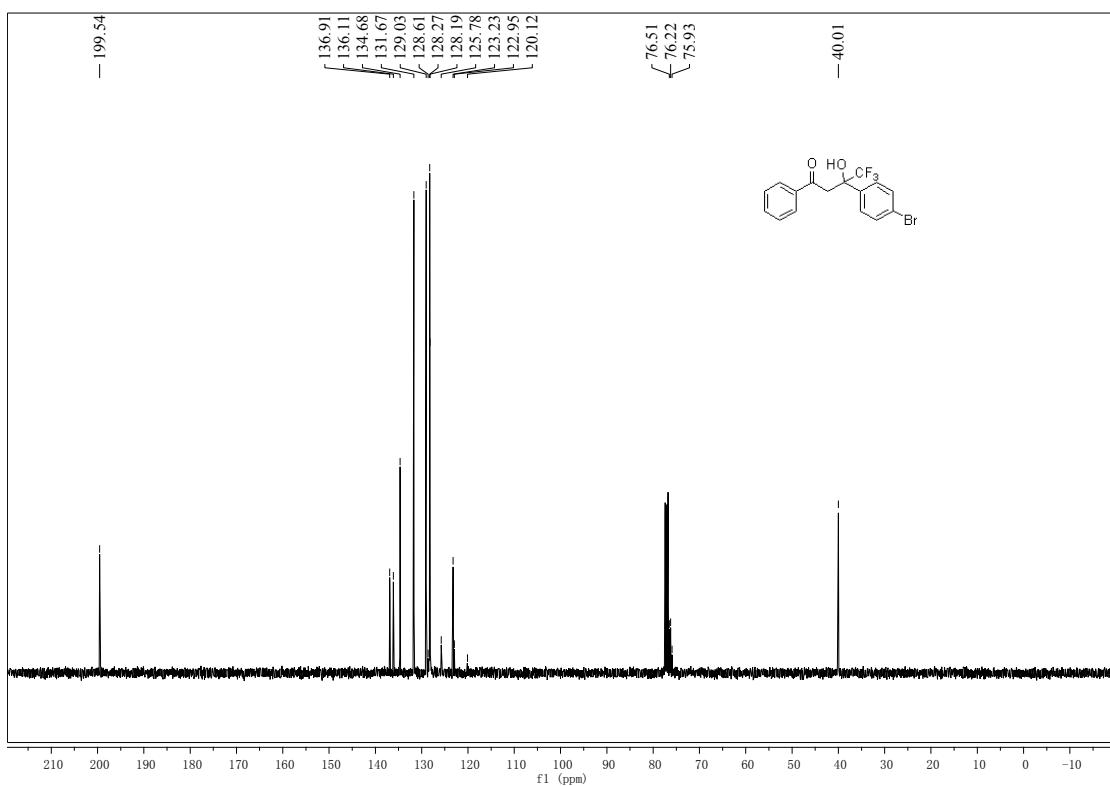
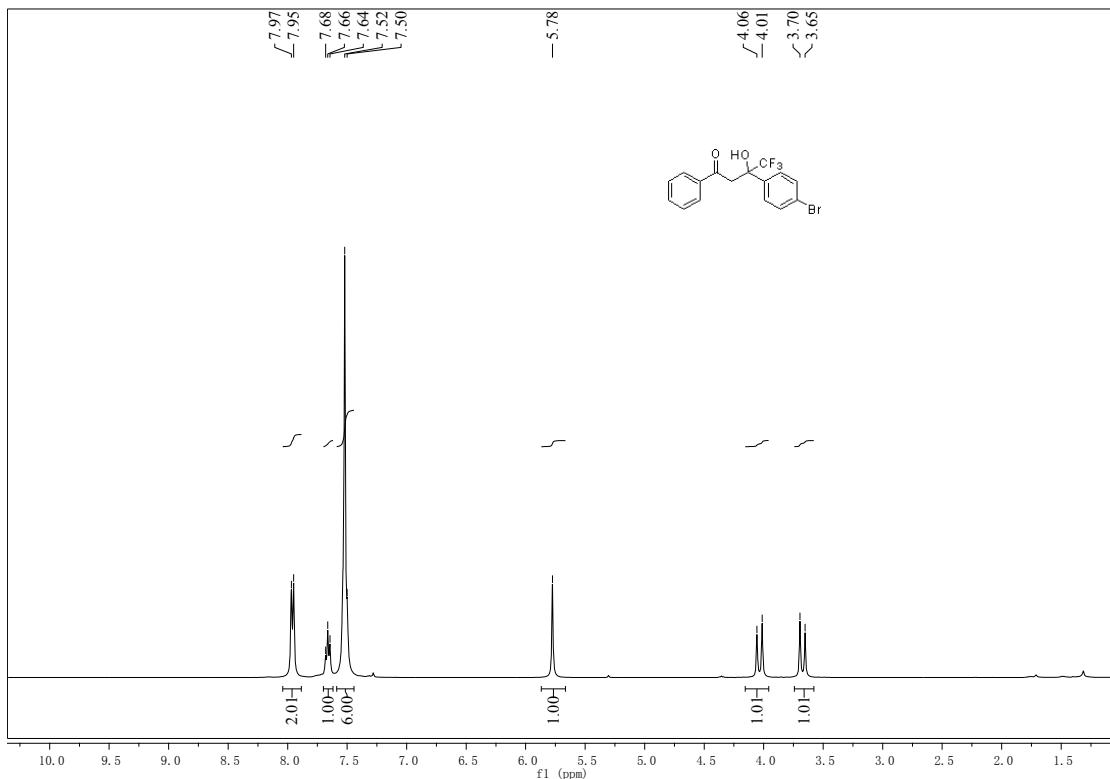


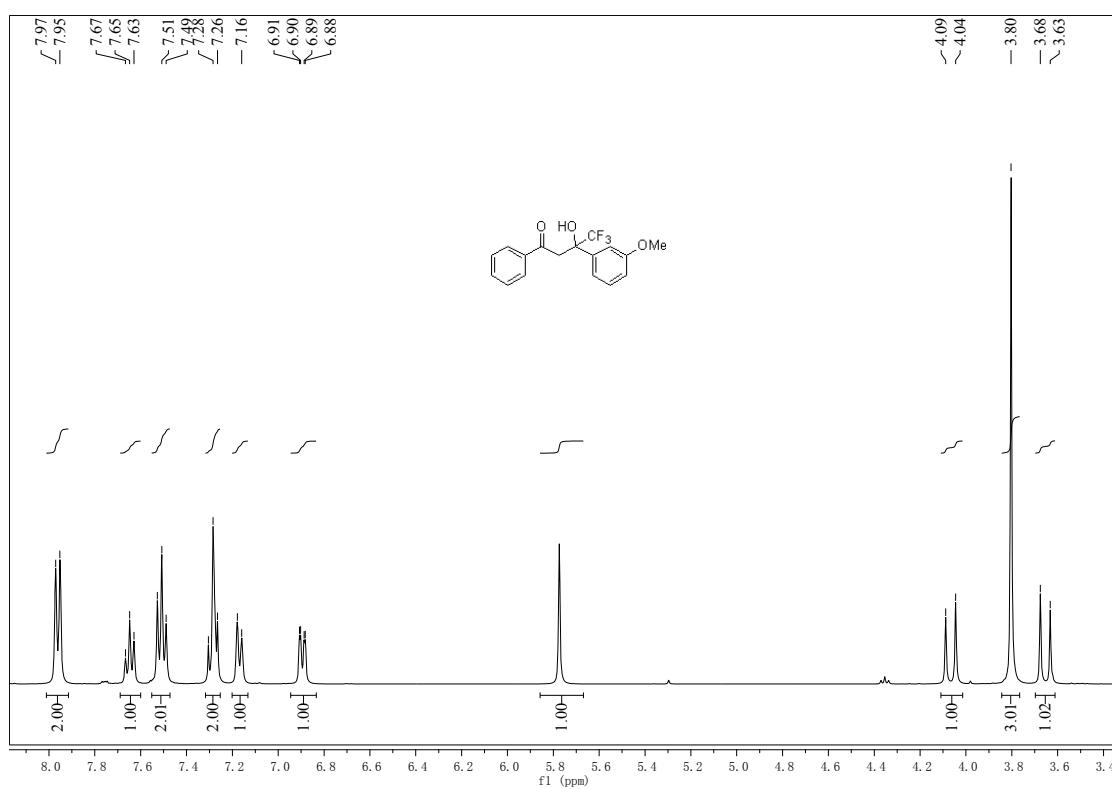
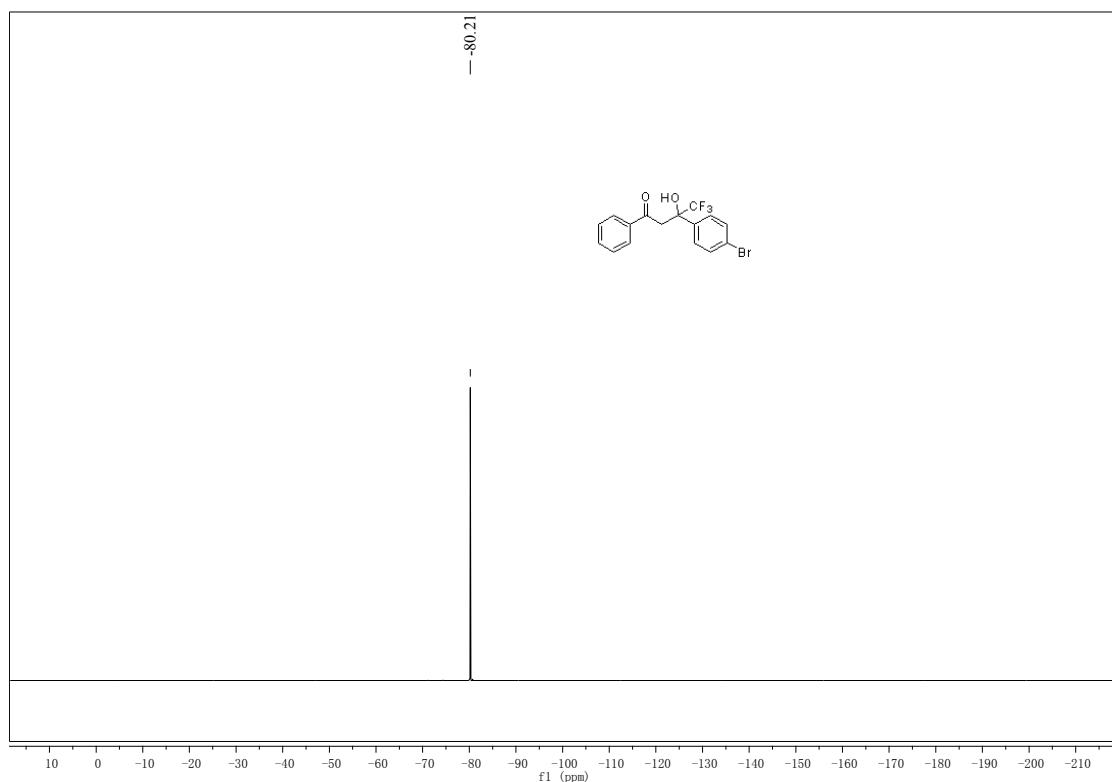


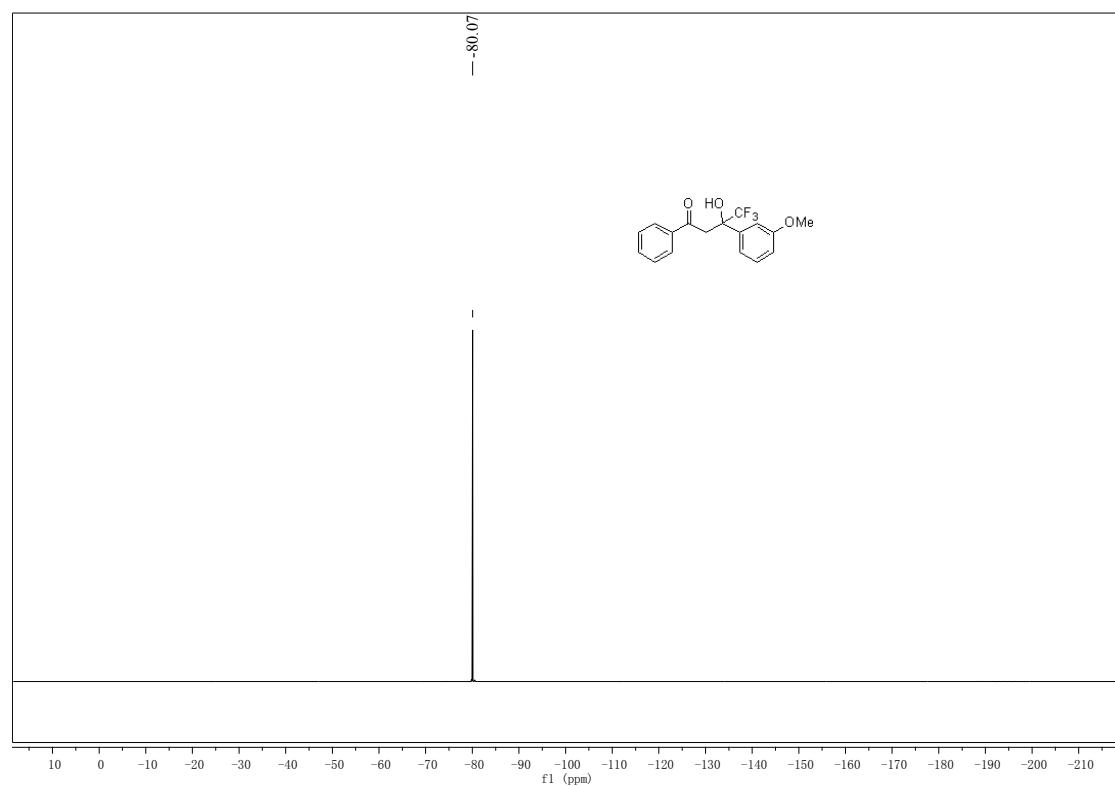
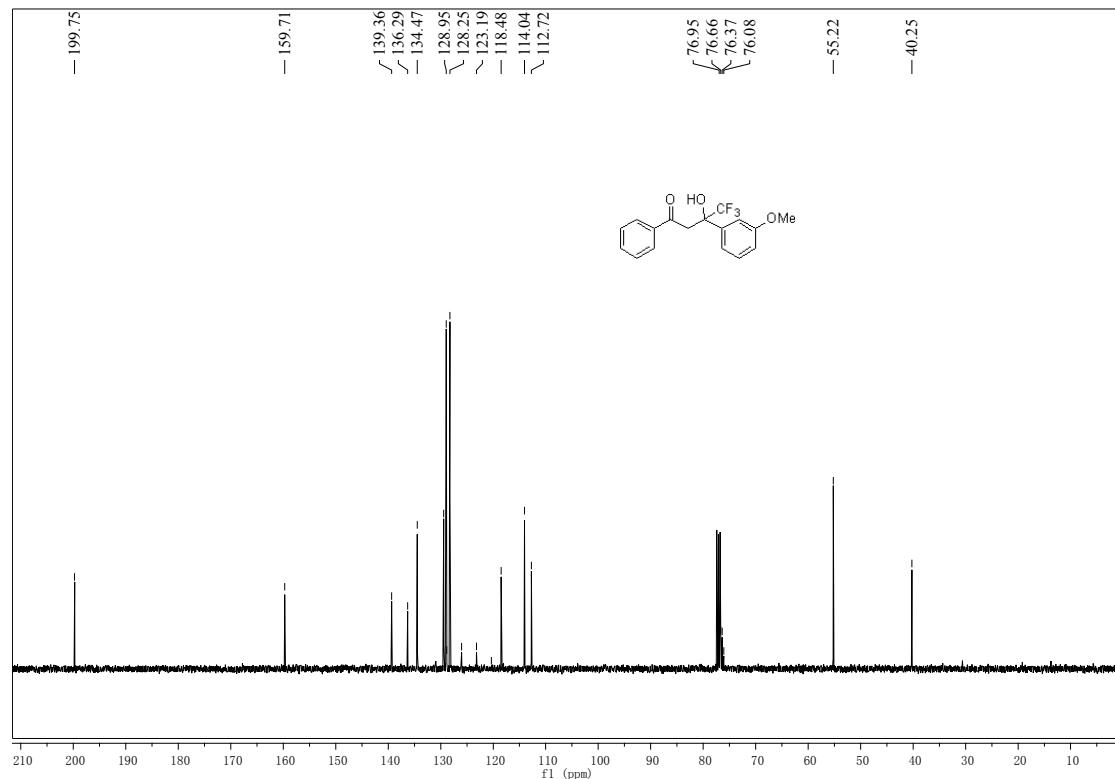


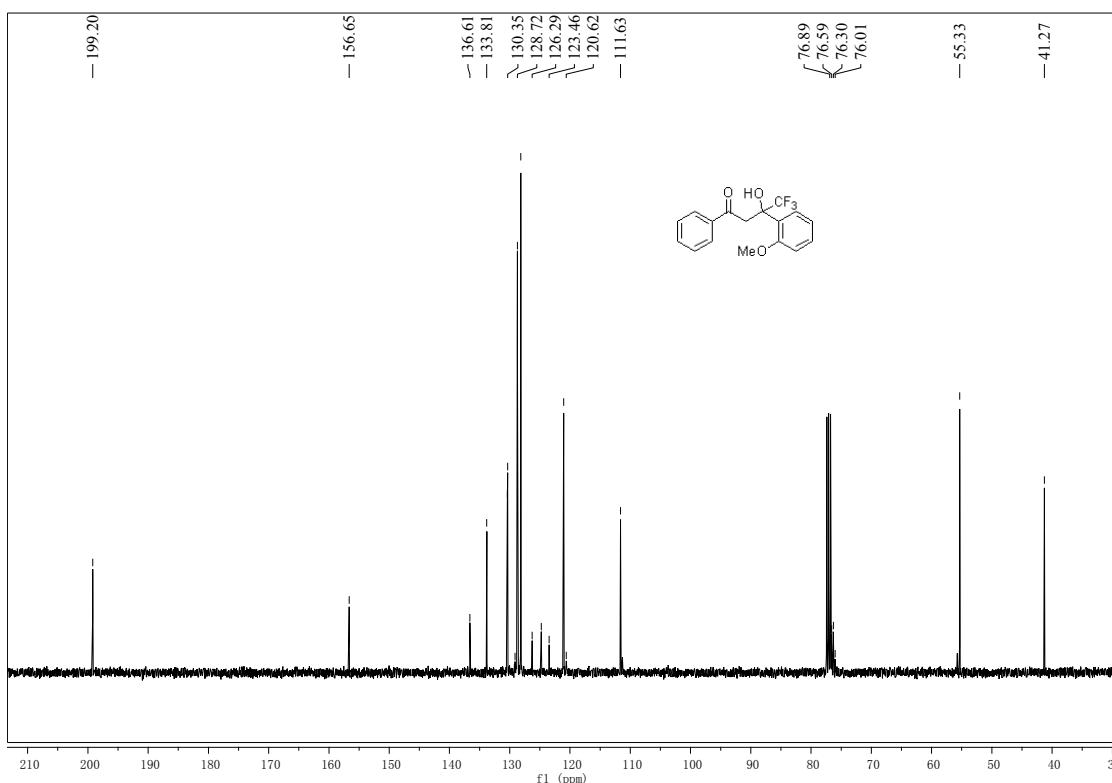
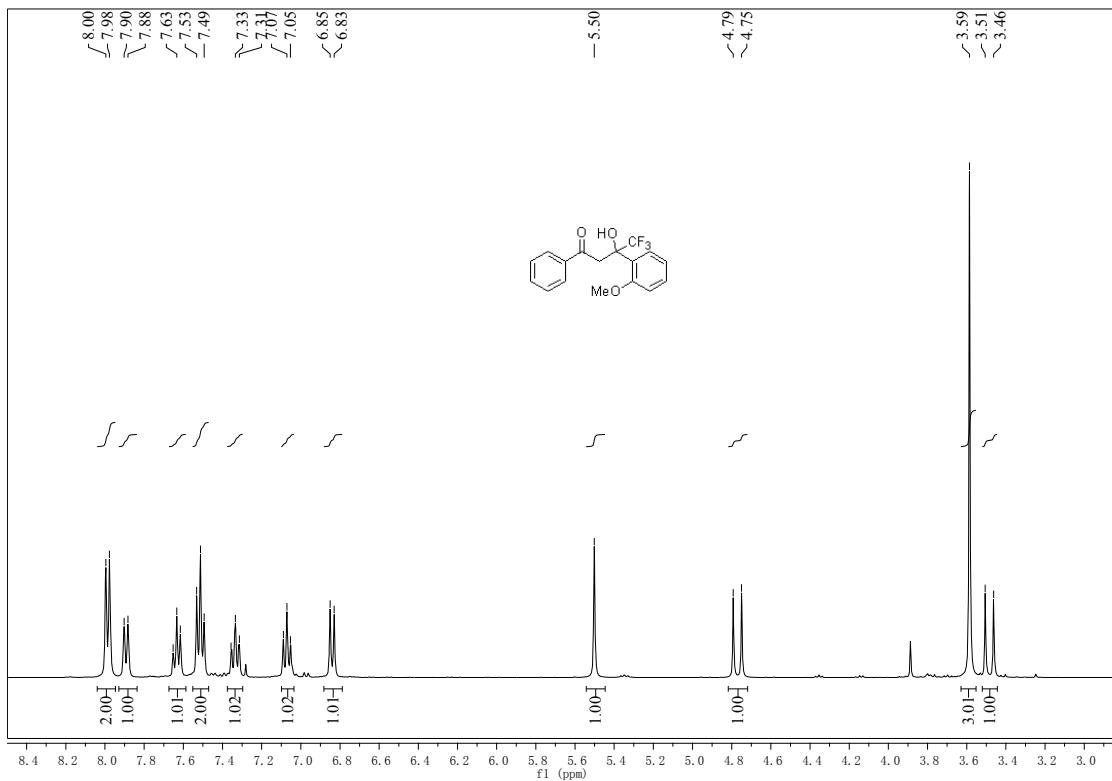


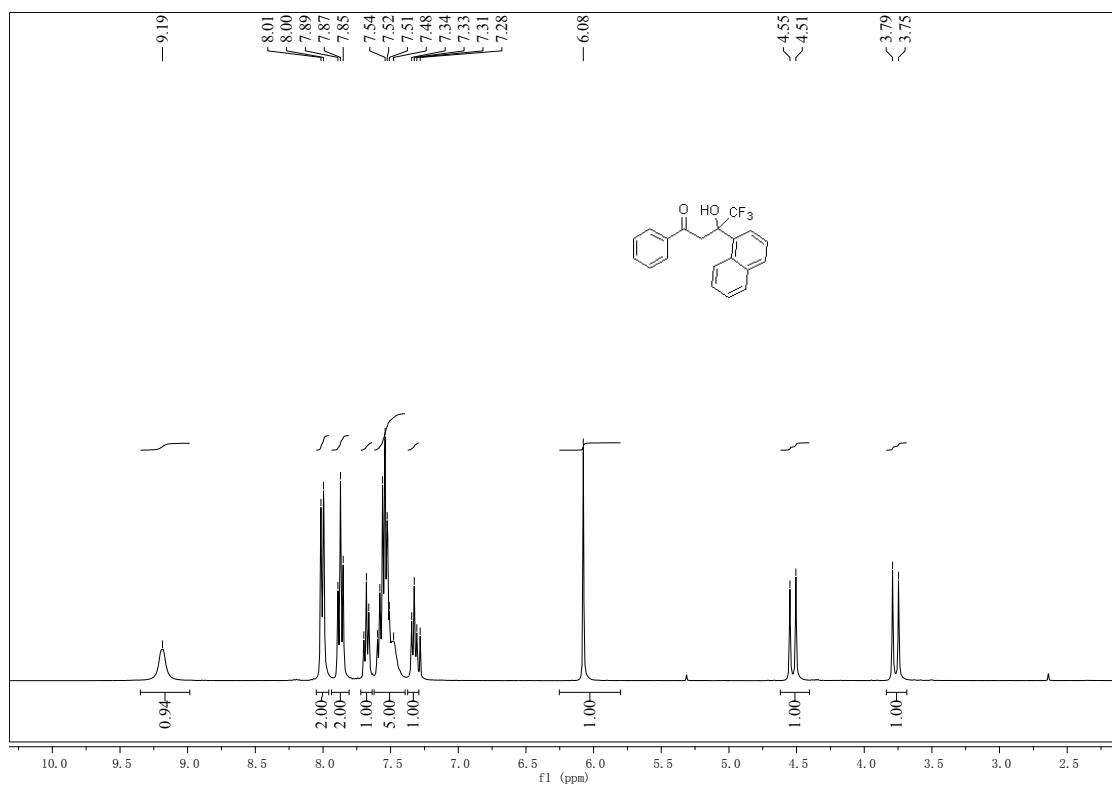
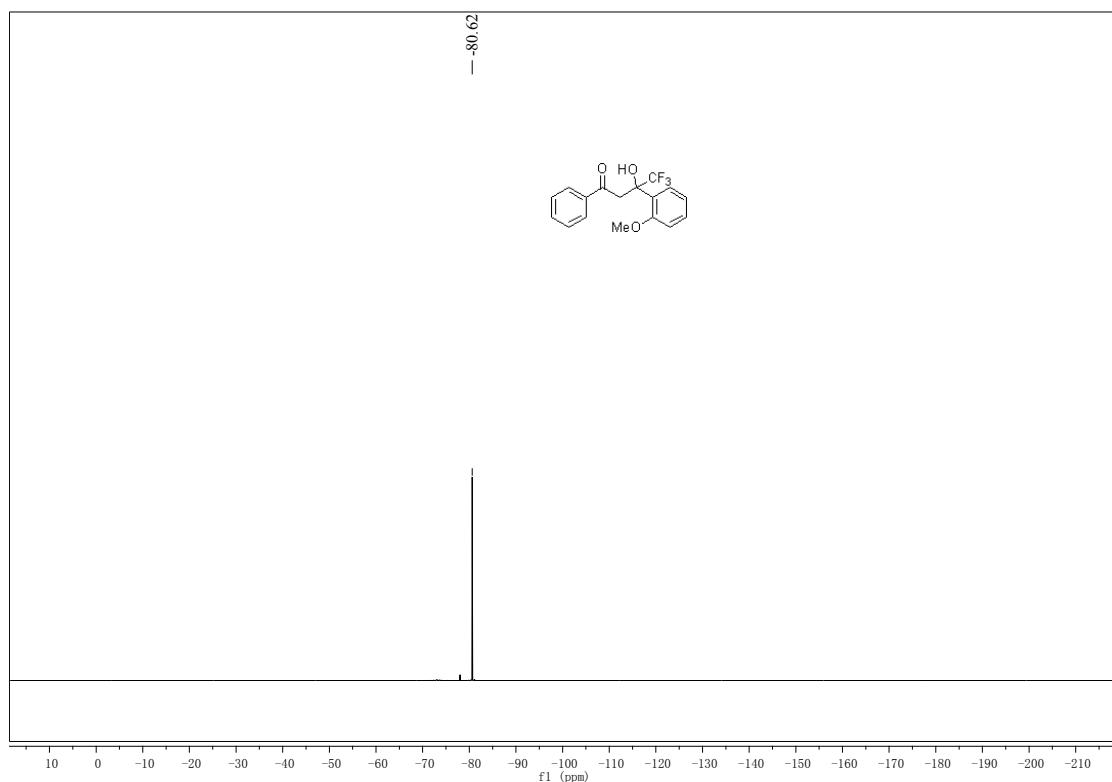


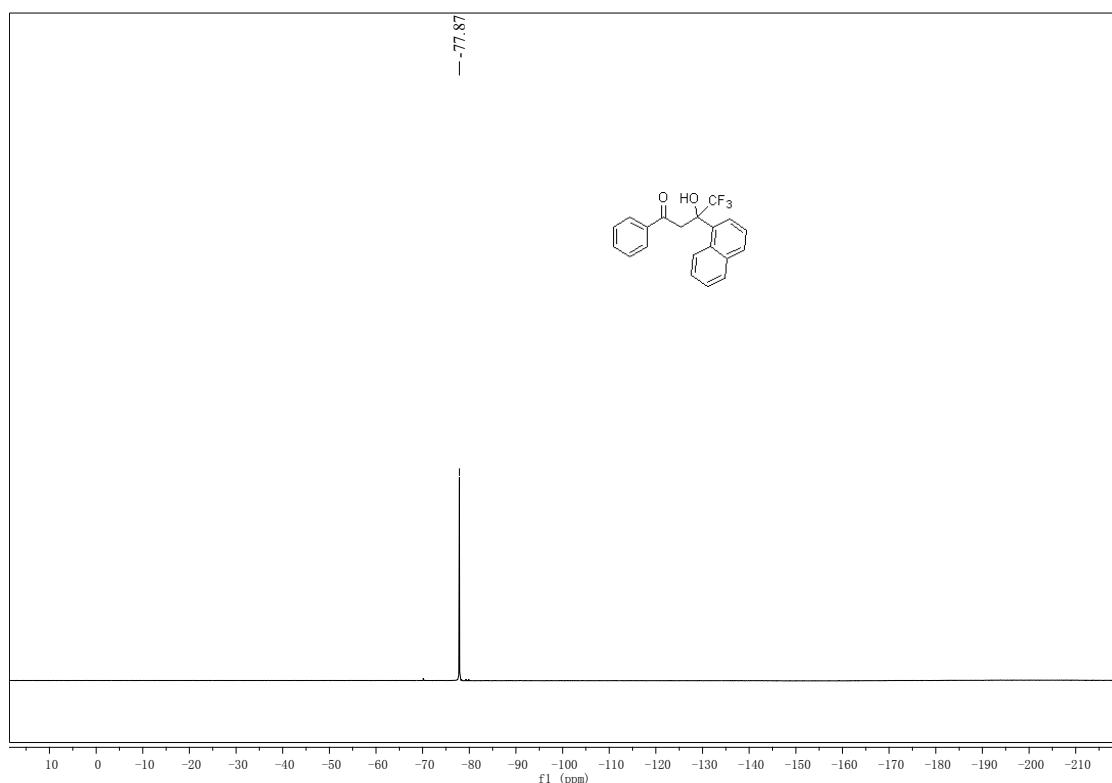
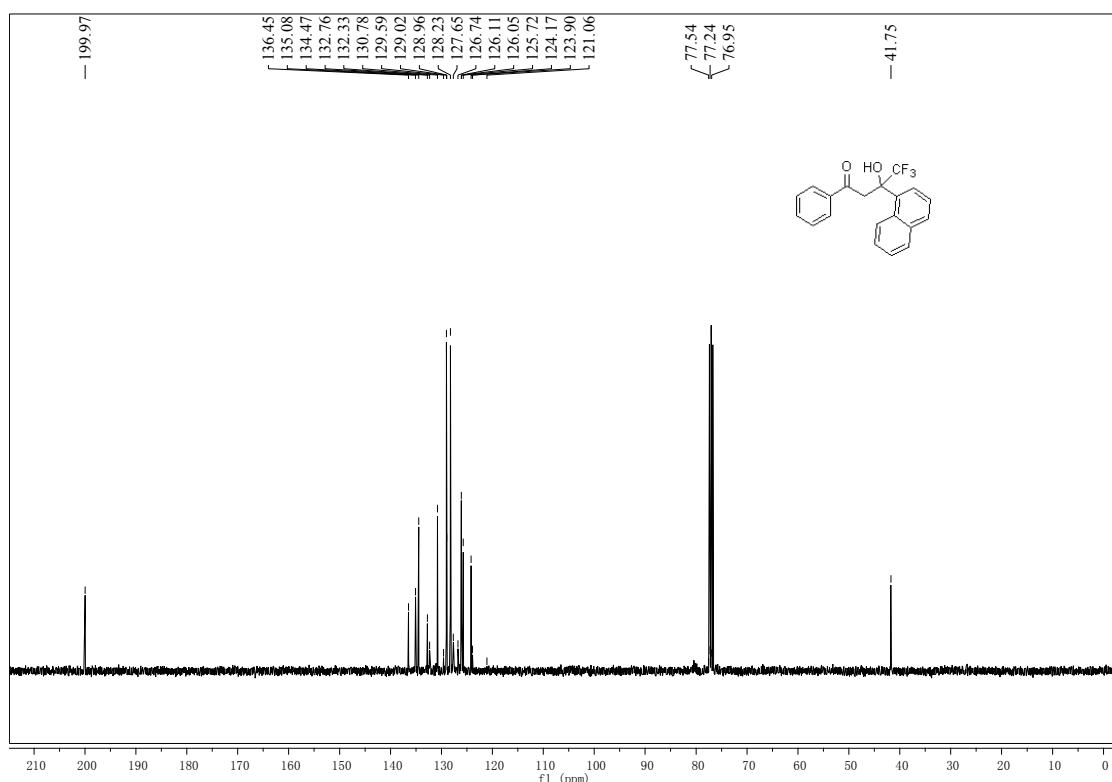


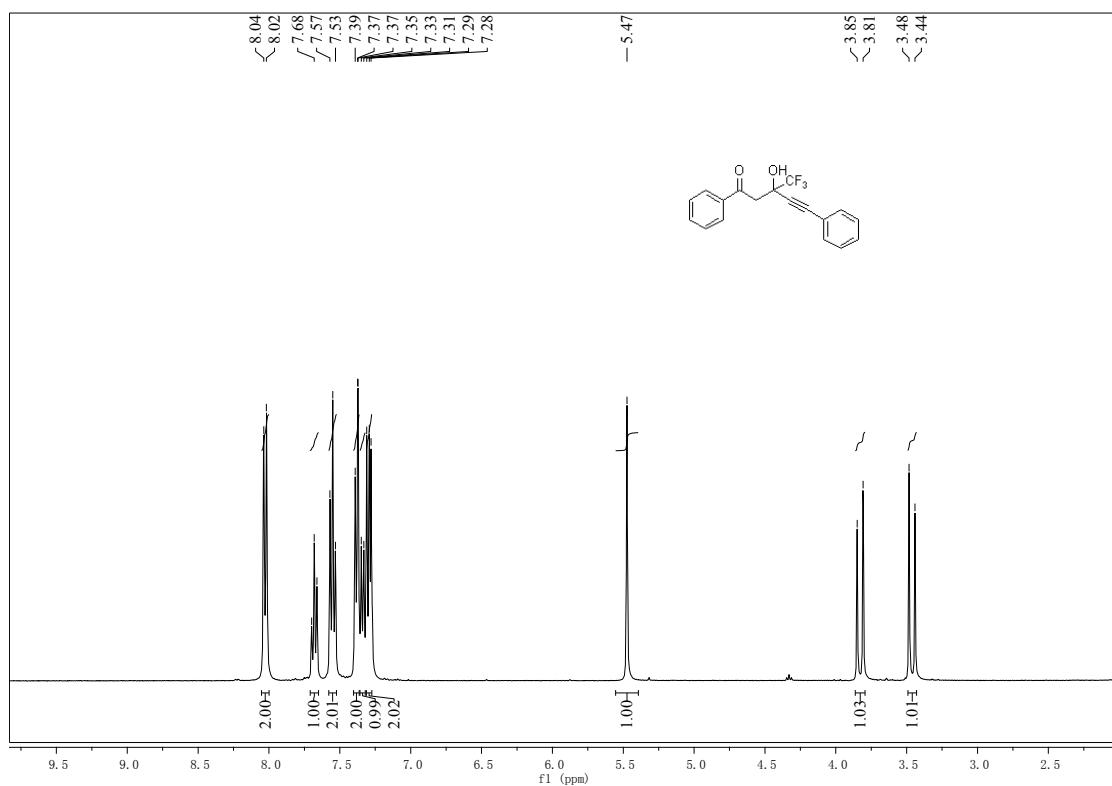
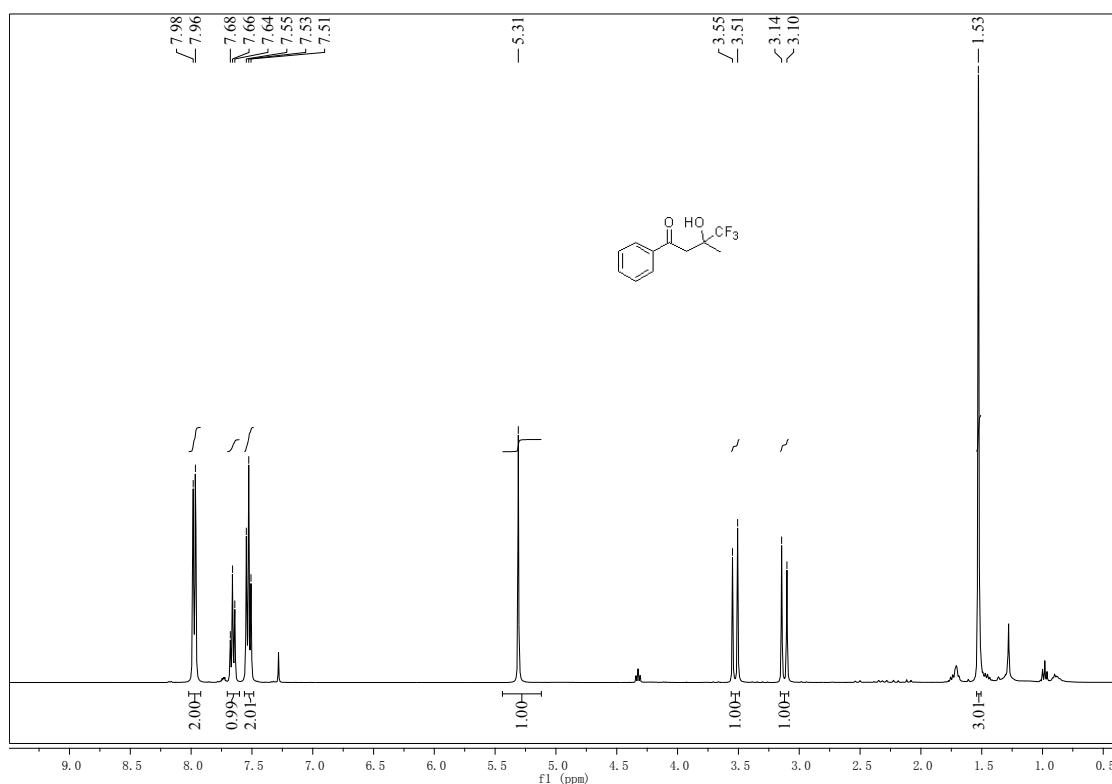


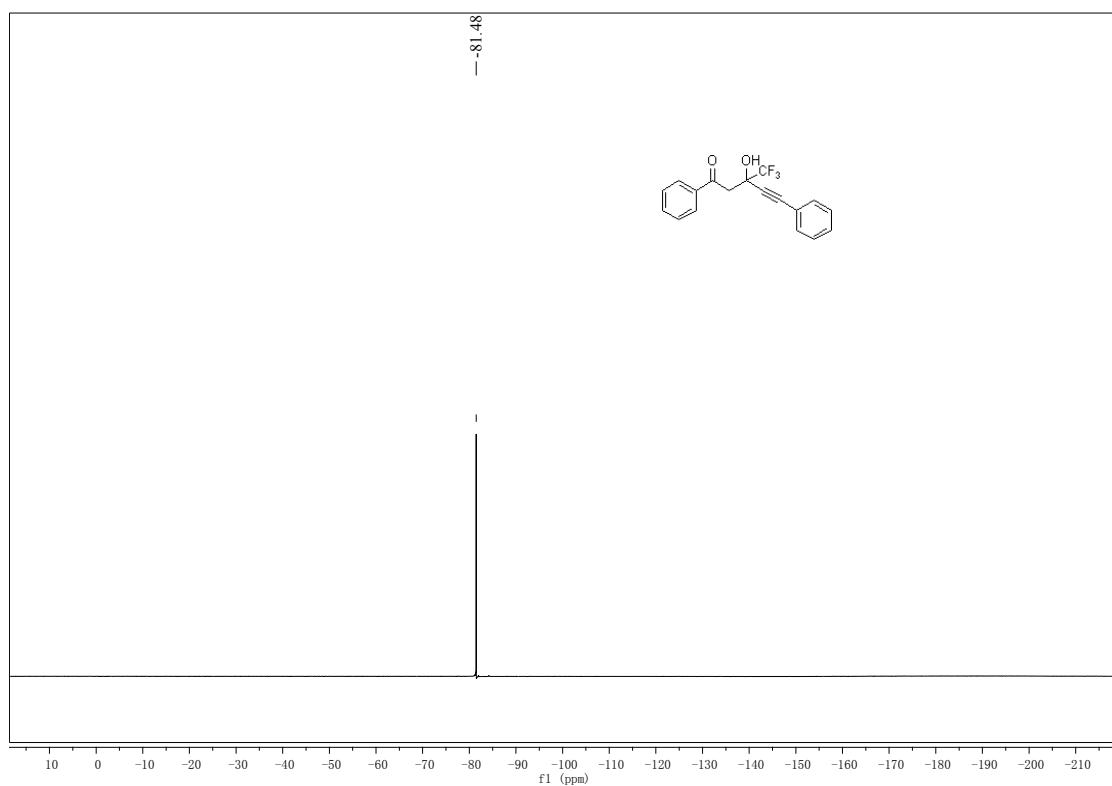
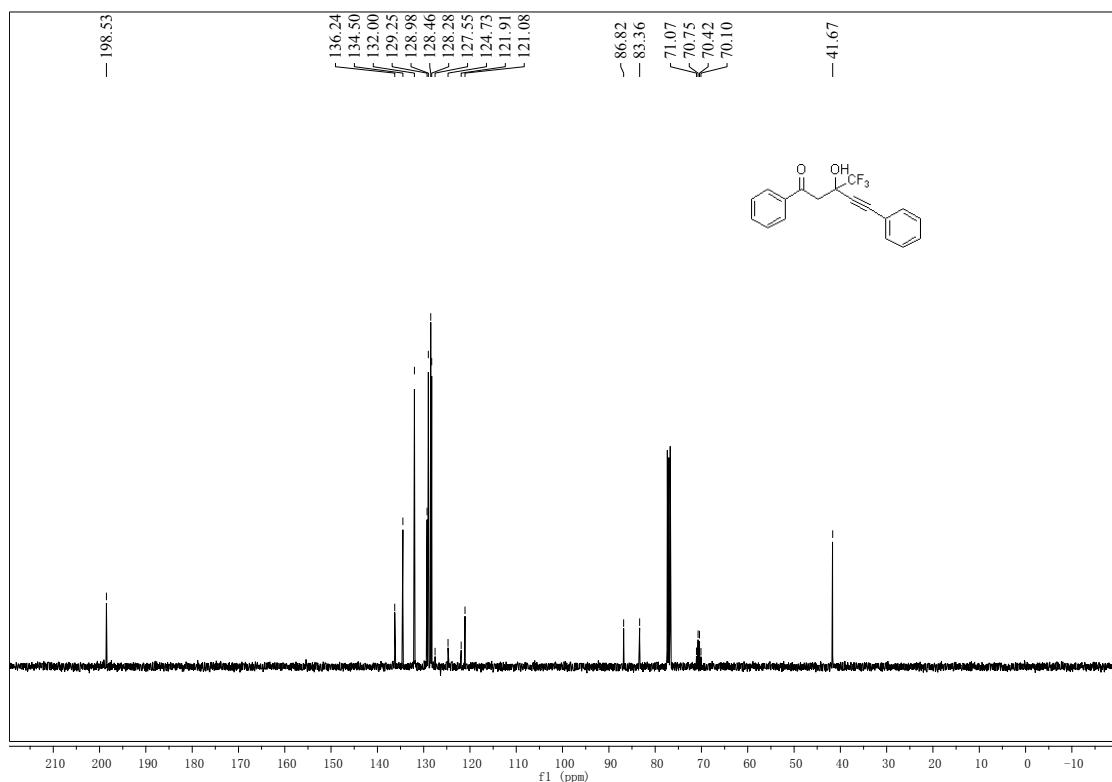


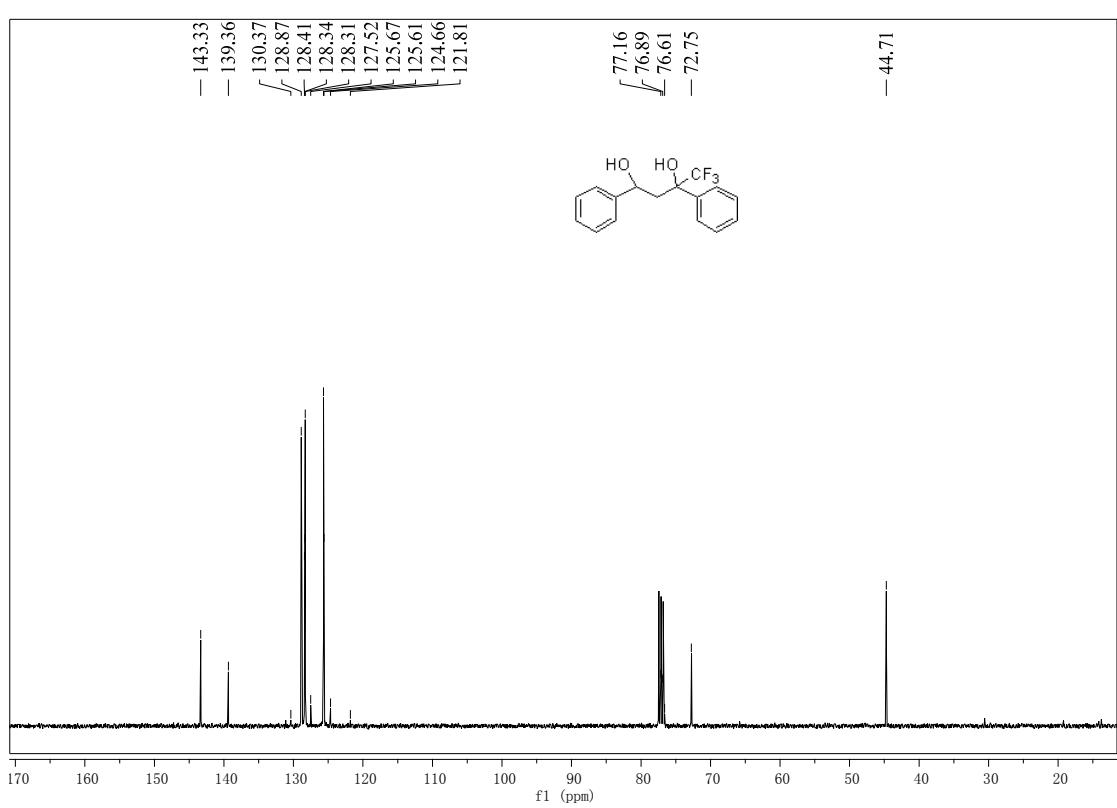
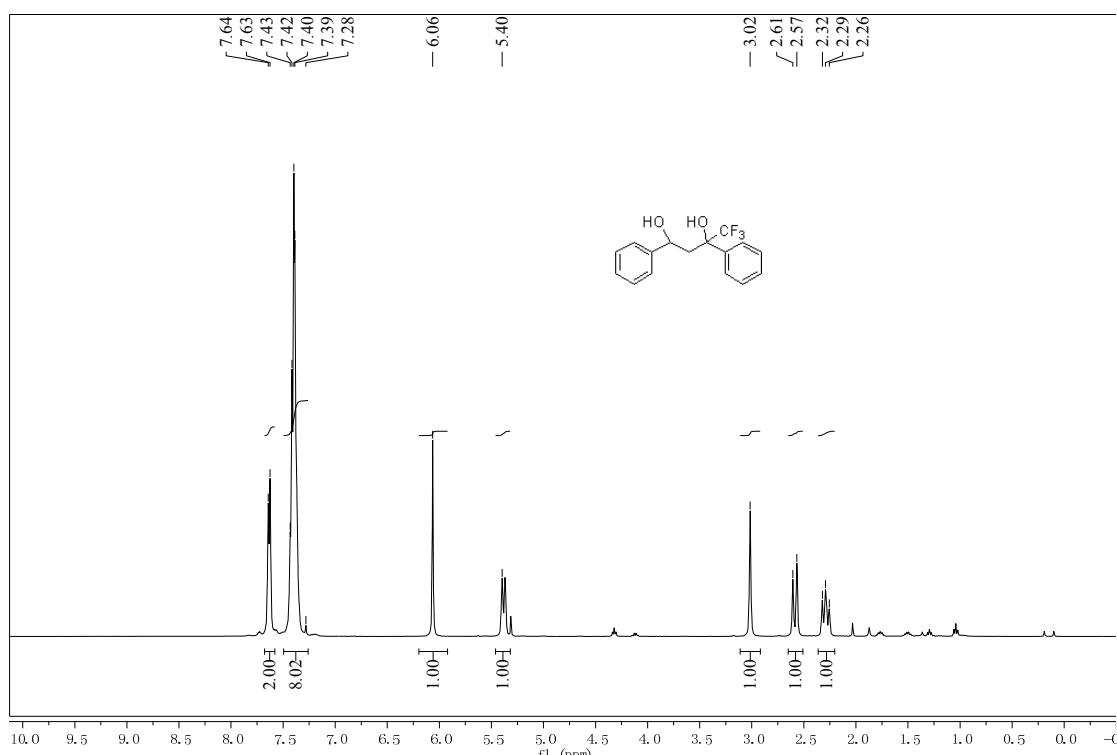


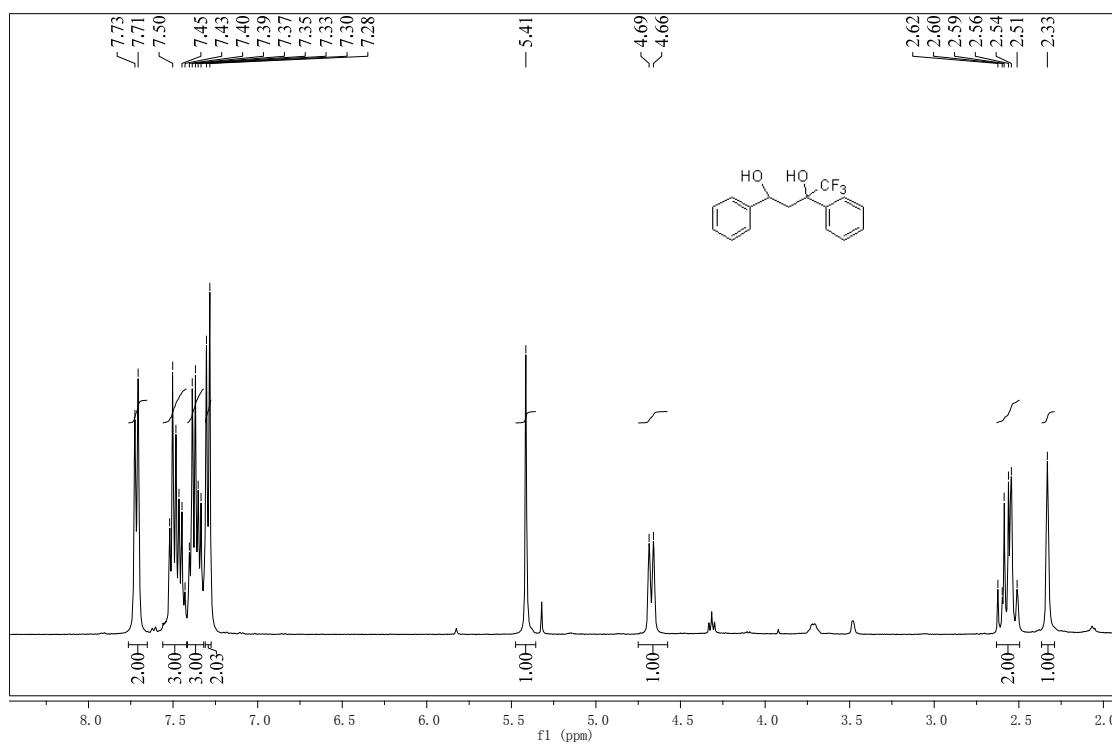
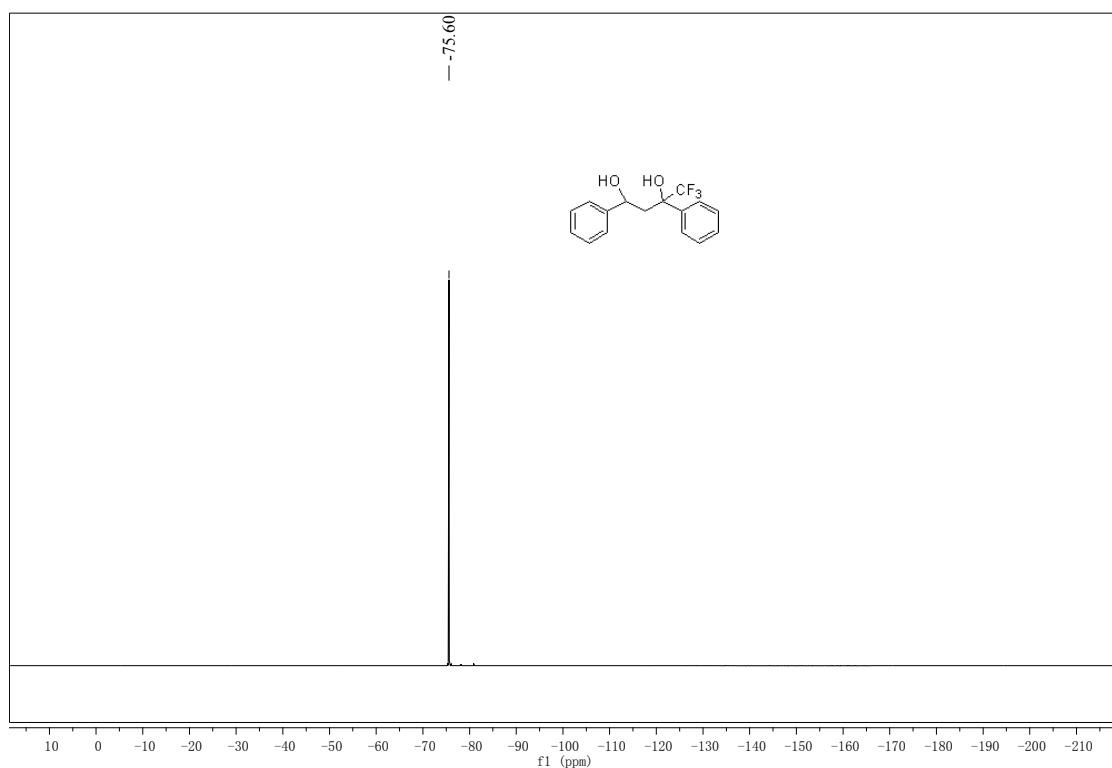


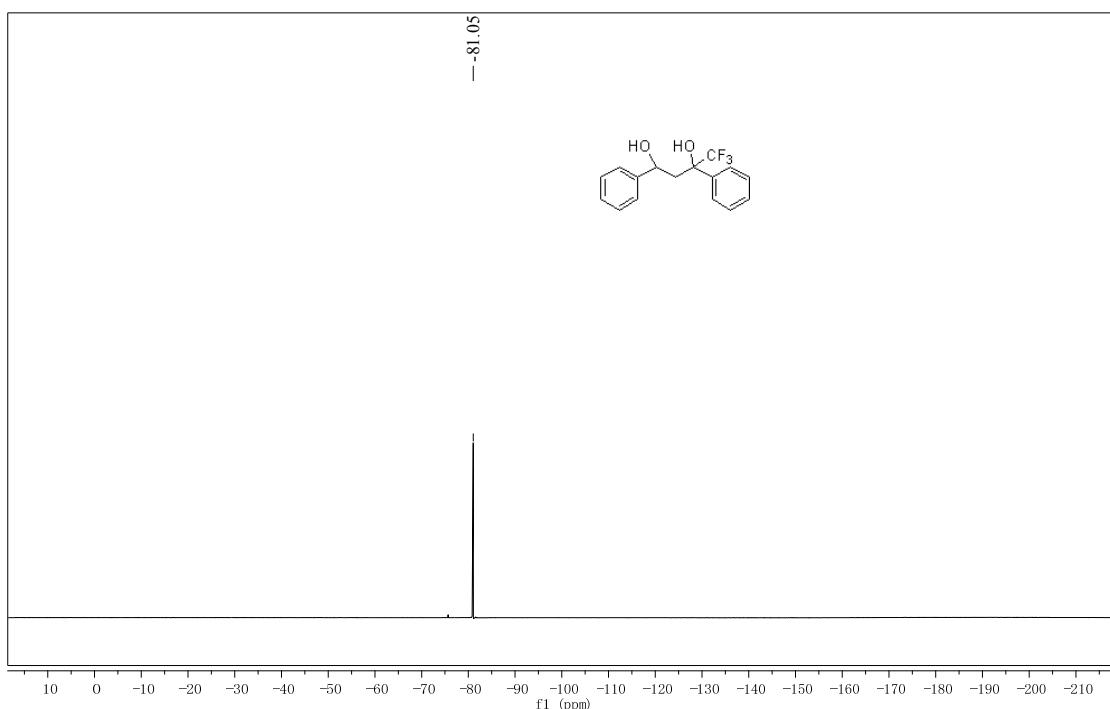




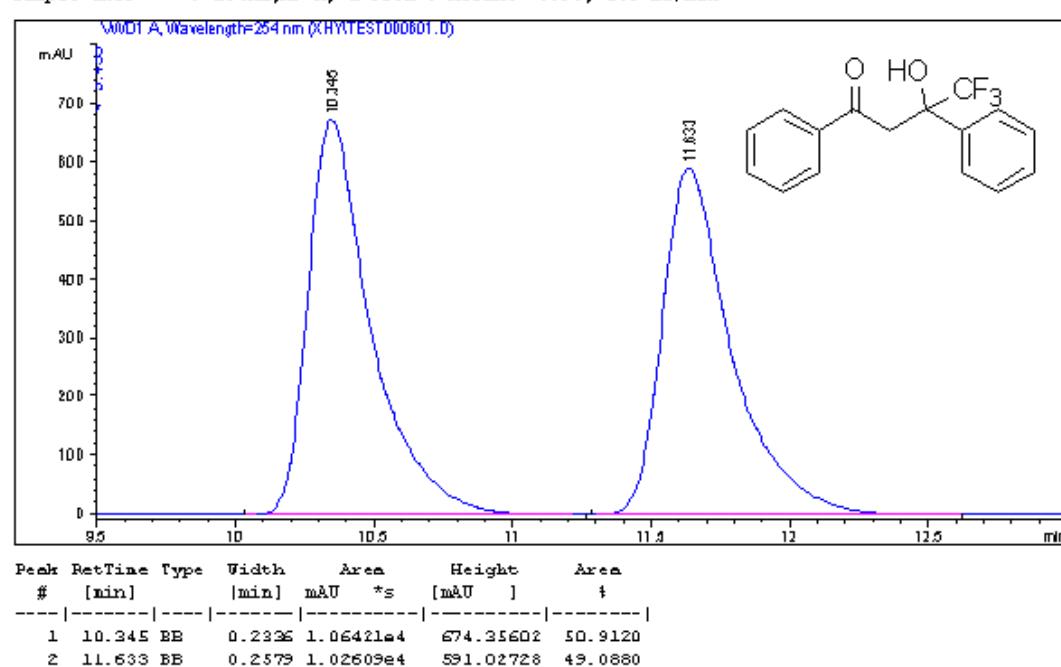




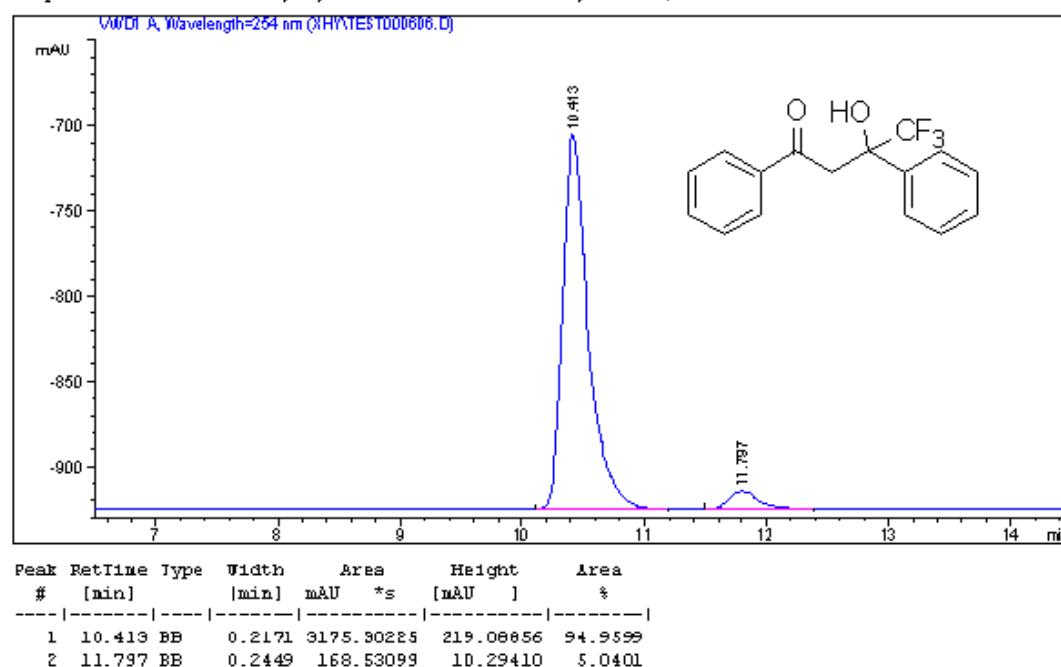




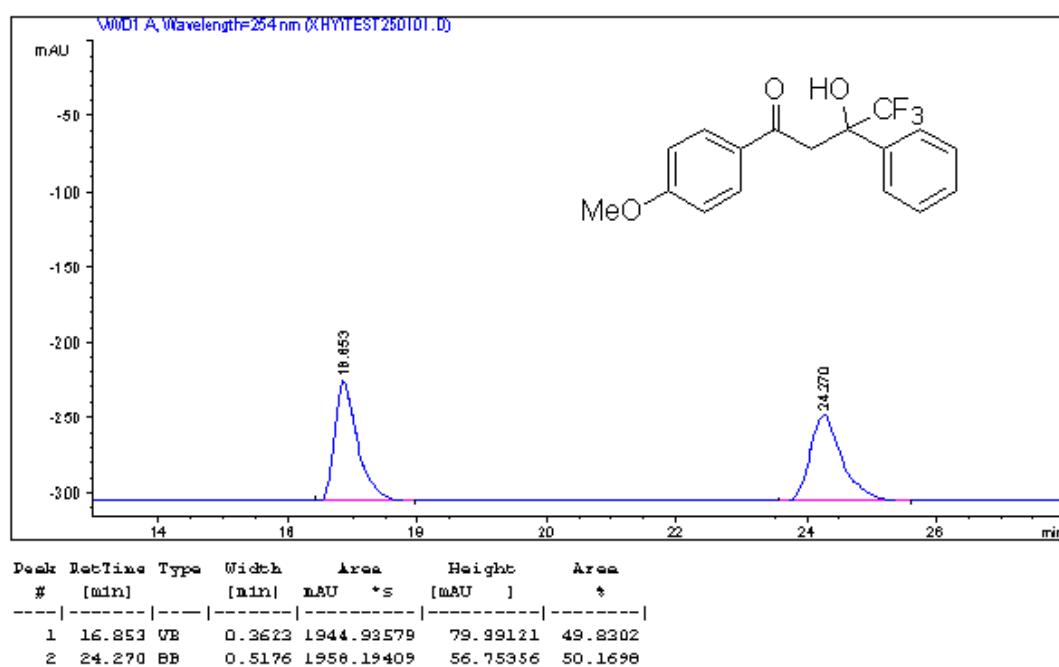
Sample Info : 254nm,AD-H, i-PrOH : Hexane =5:95, 1.0 mL/min



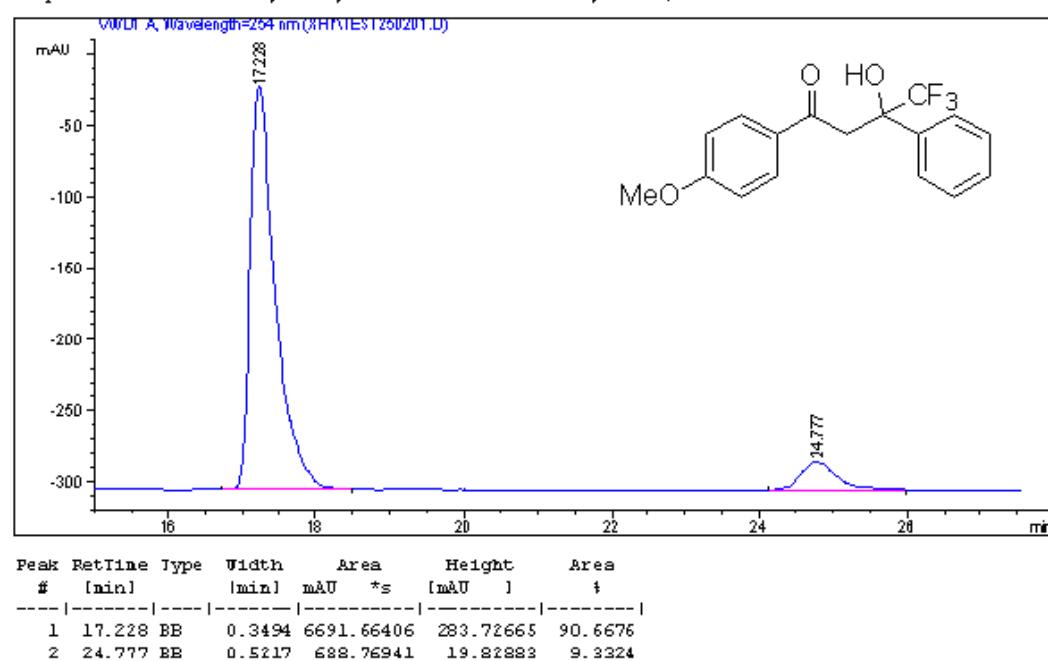
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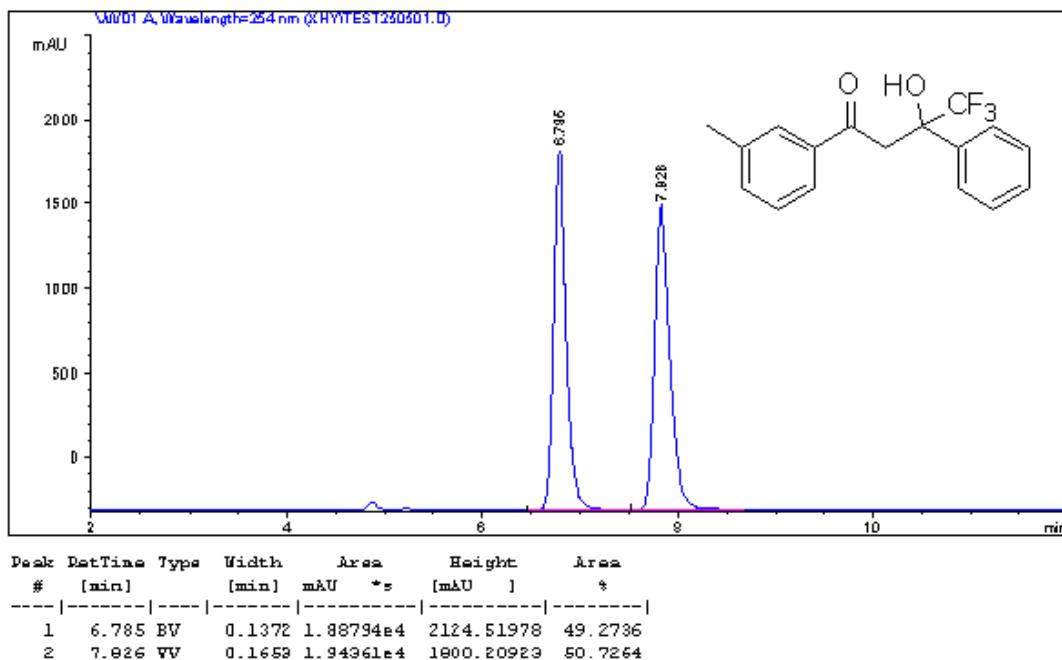
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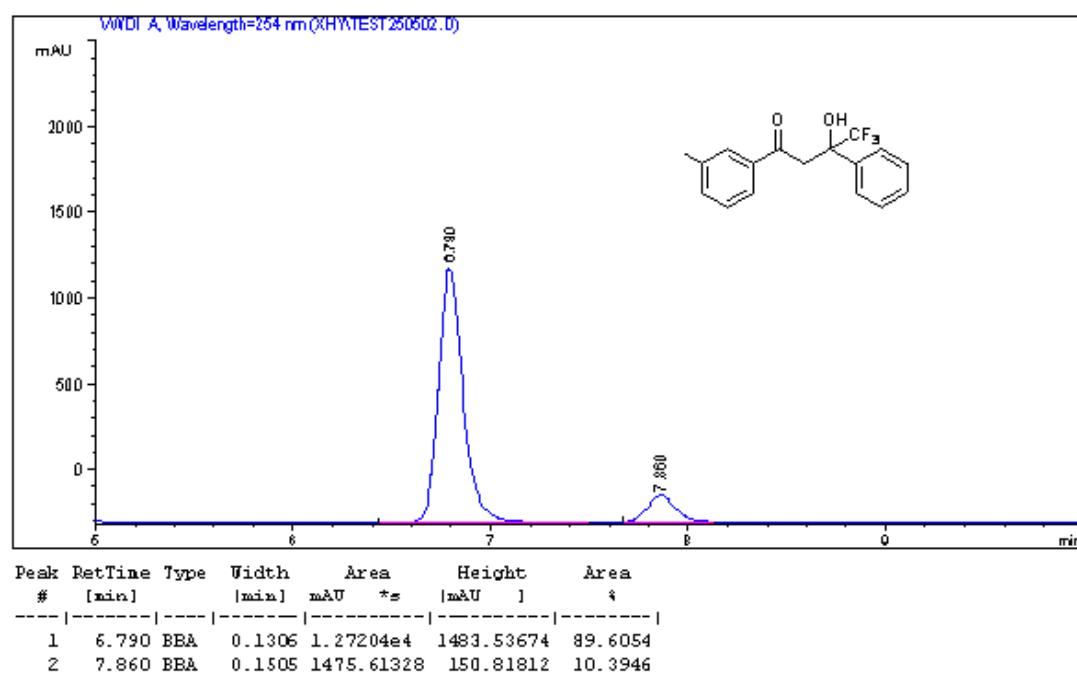
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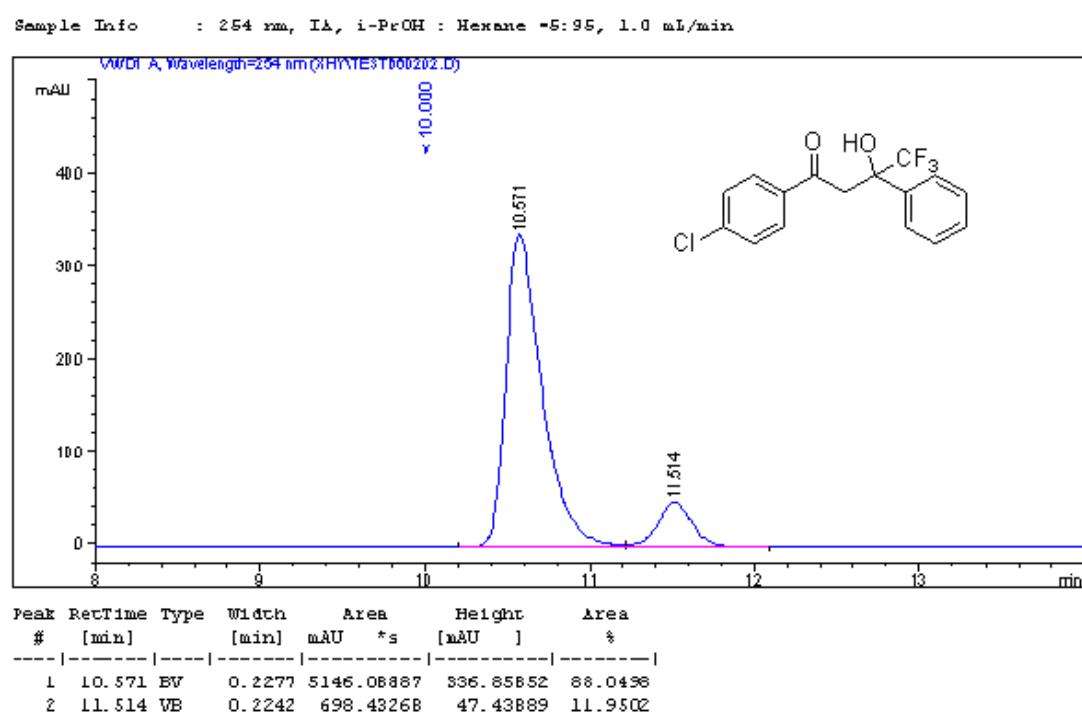
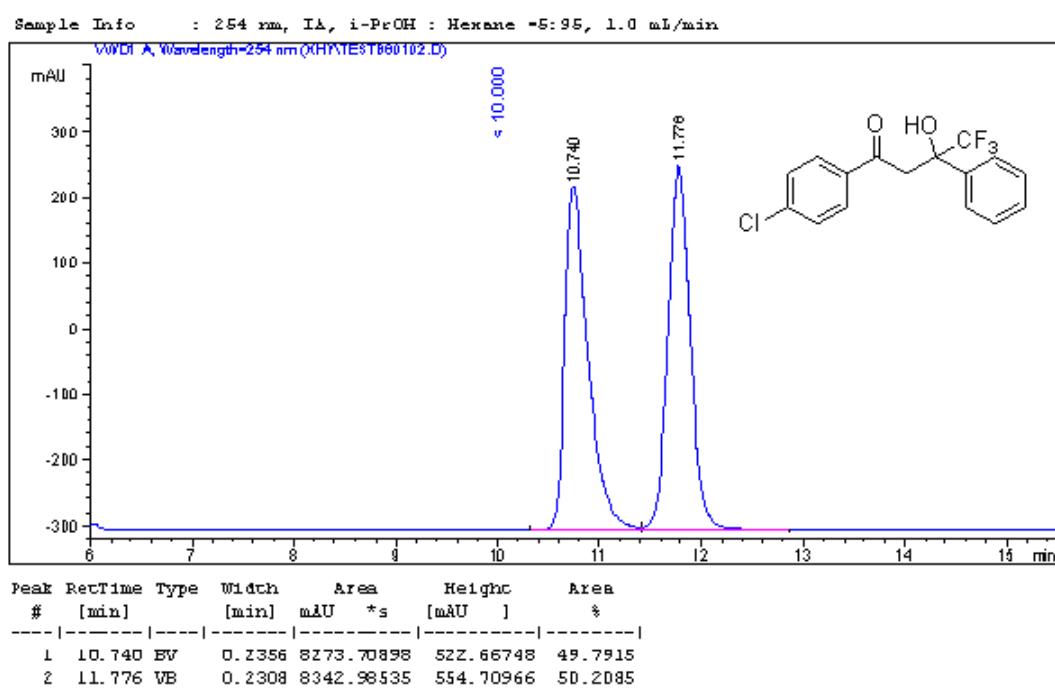


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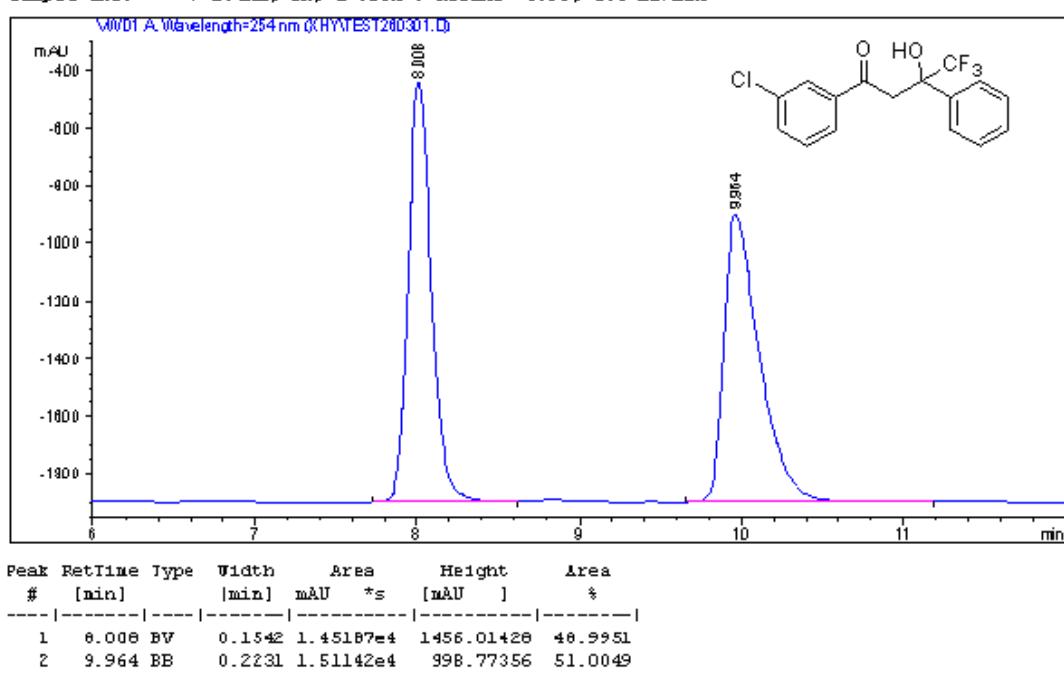


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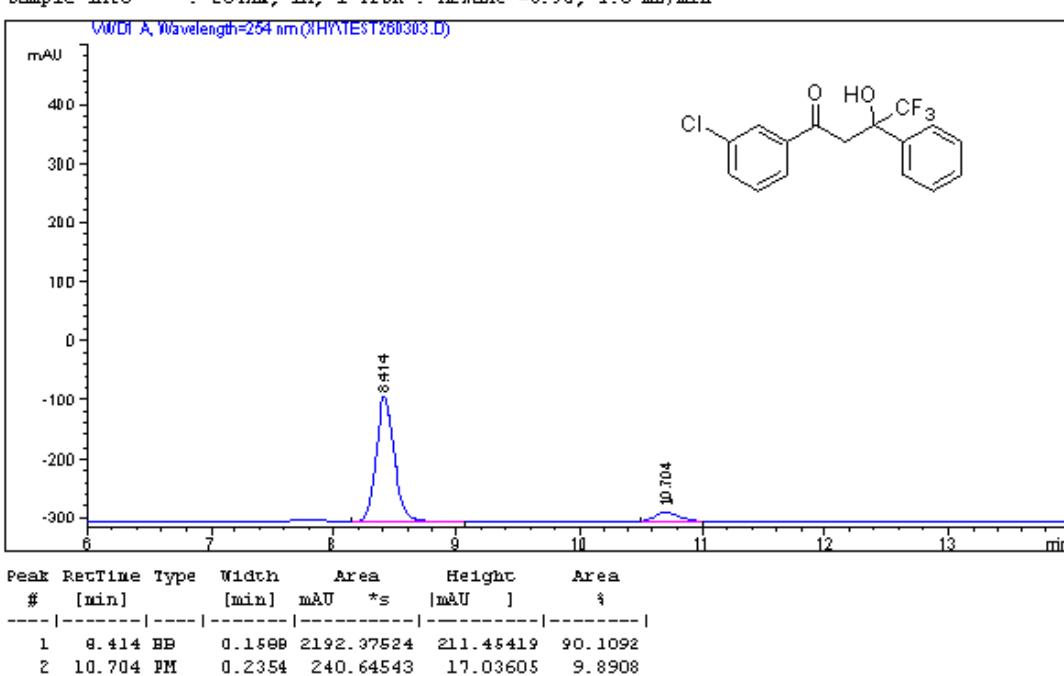




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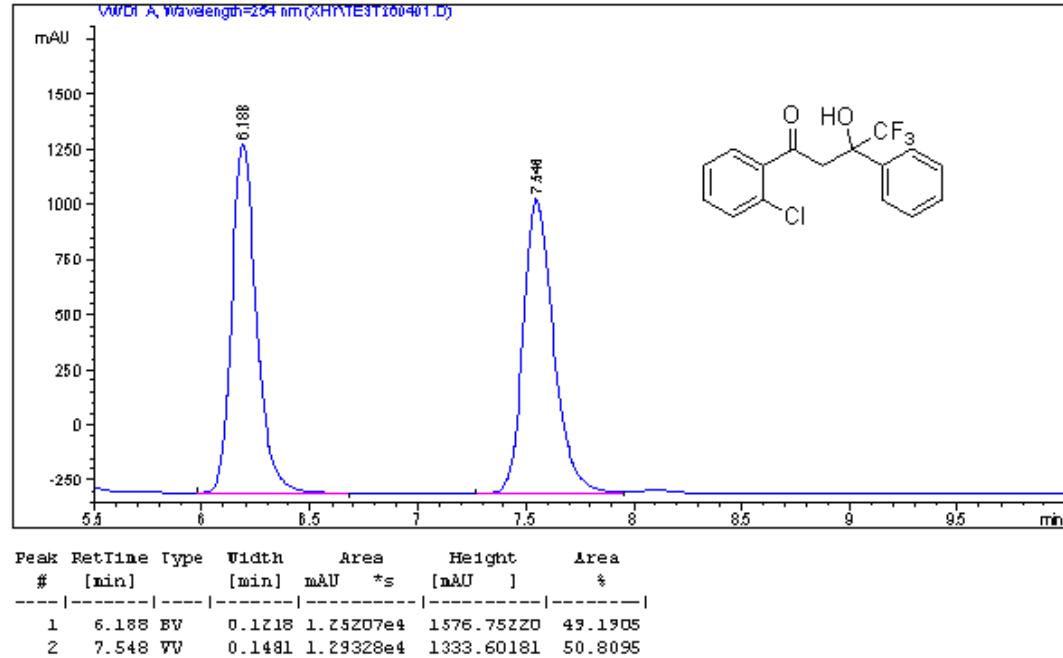


Sample Info : 254nm, IA, i-PrOH : Hexane =5:95, 1.0 mL/min



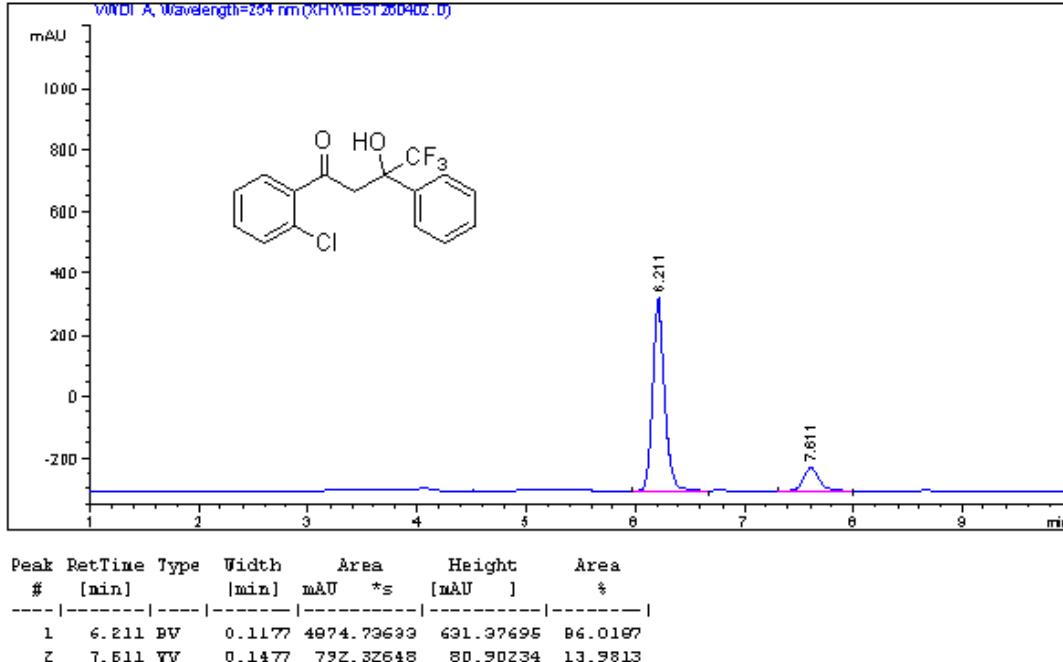
Sample Info : 254nm, IA, i-PrOH : Hexane =10:90, 1.0mL/min

VWD1 A, Wavelength=254 nm(XHYTEST100401.D)

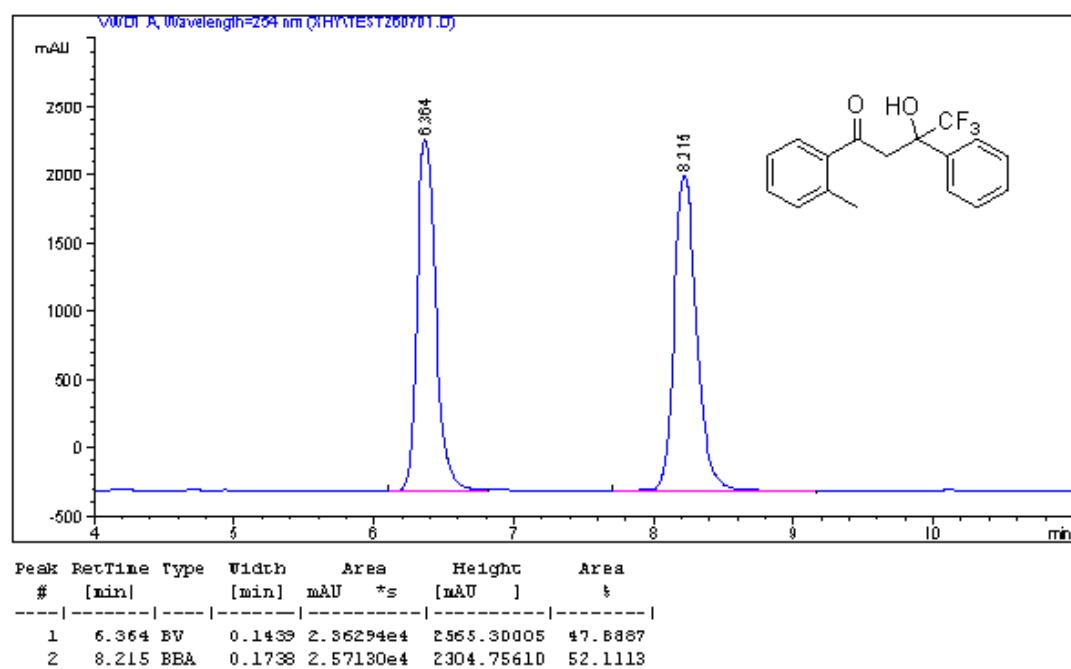


Sample Info : 254nm, IA, i-PrOH : Hexane =10:90, 1.0mL/min

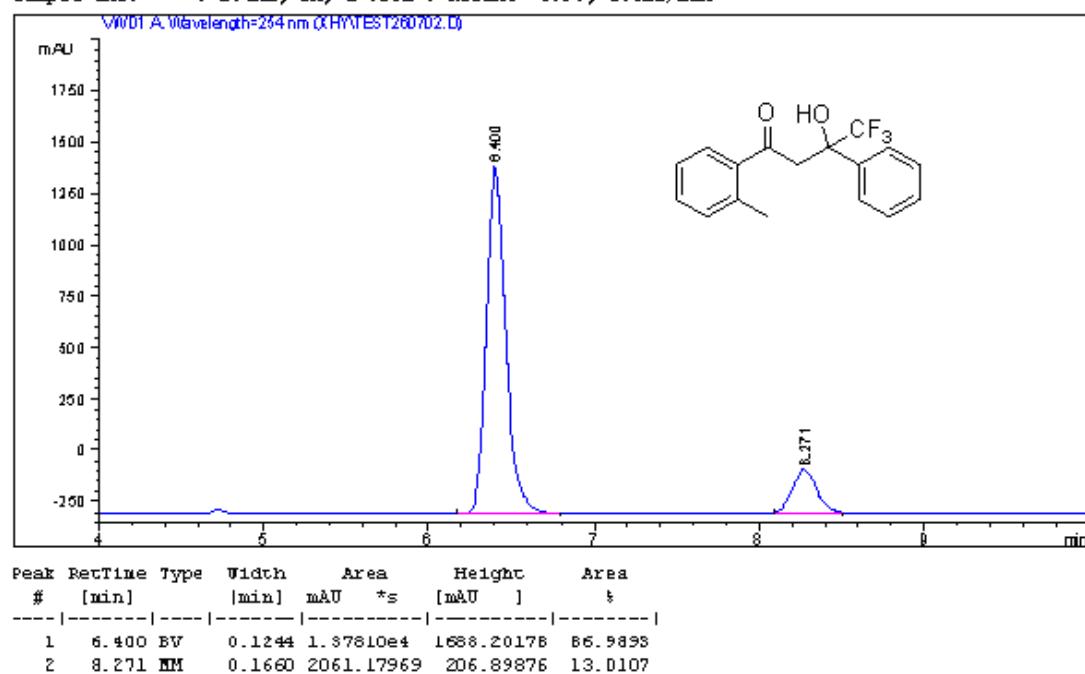
VWD1 A, Wavelength=254 nm(XHYTEST200402.D)



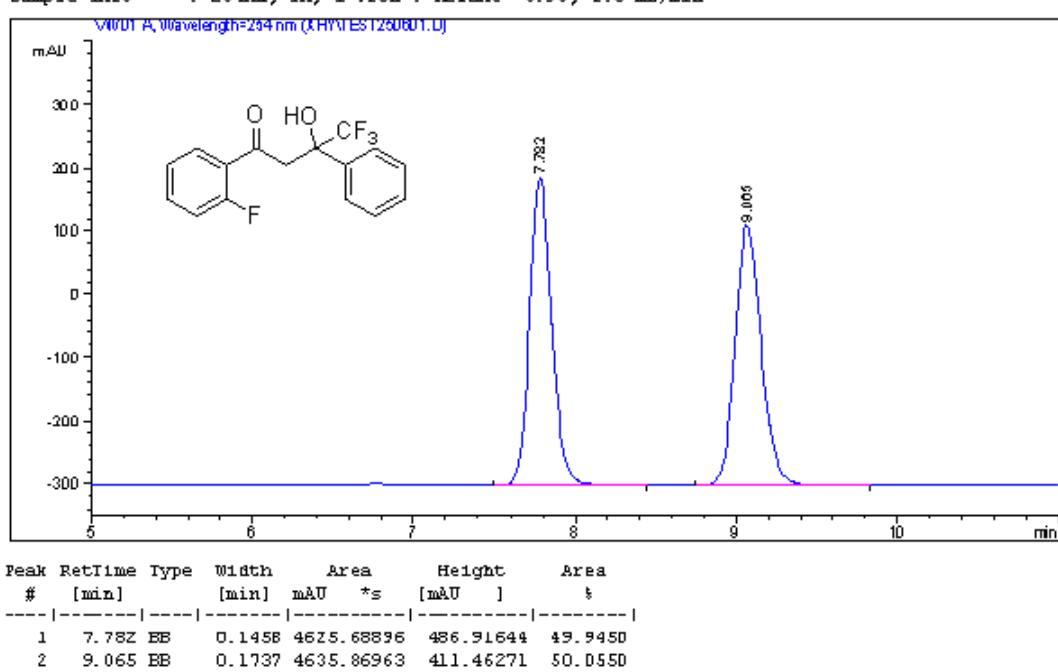
Sample Info : 254nm, IA, i-PrOH : Hexane =5:95, 1.0mL/min



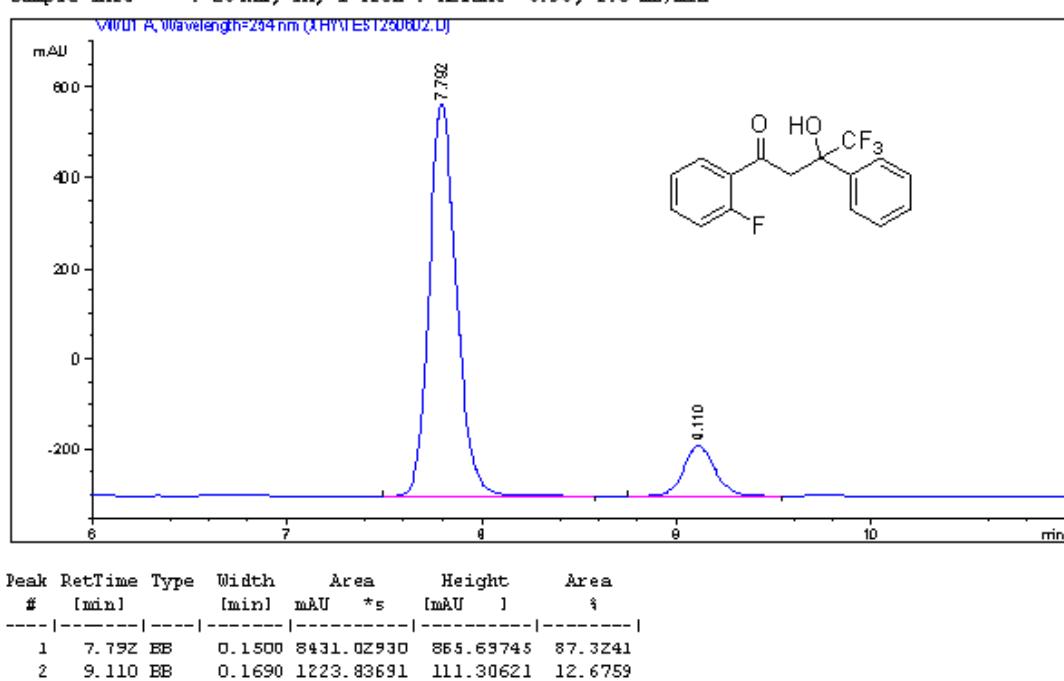
Sample Info : 254nm, IA, i-PrOH : Hexane =5:95, 1.0mL/min



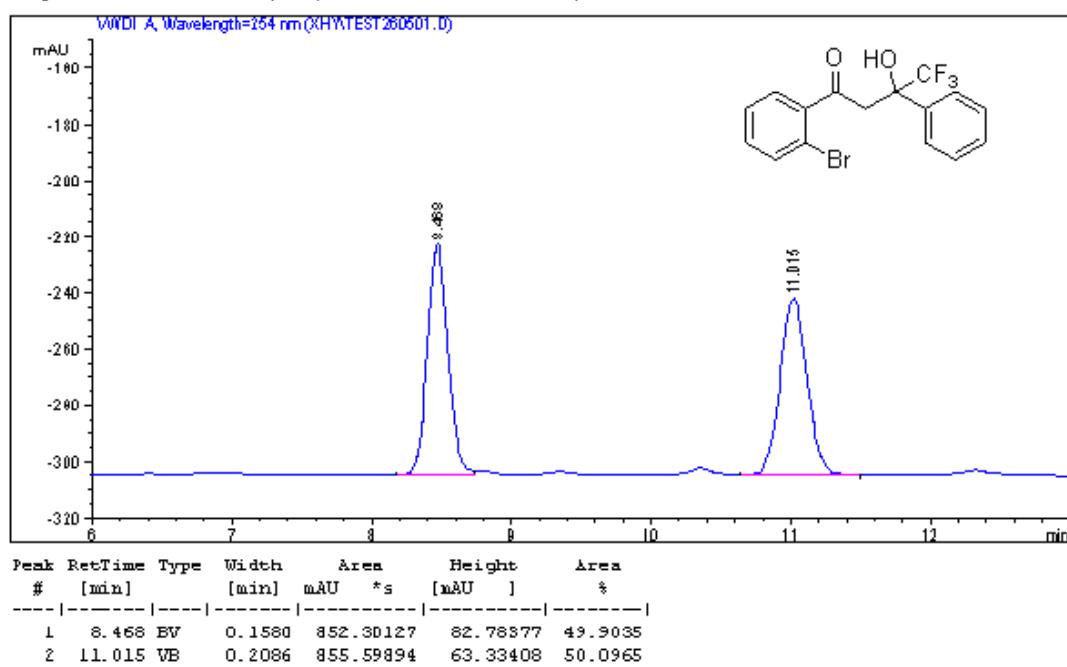
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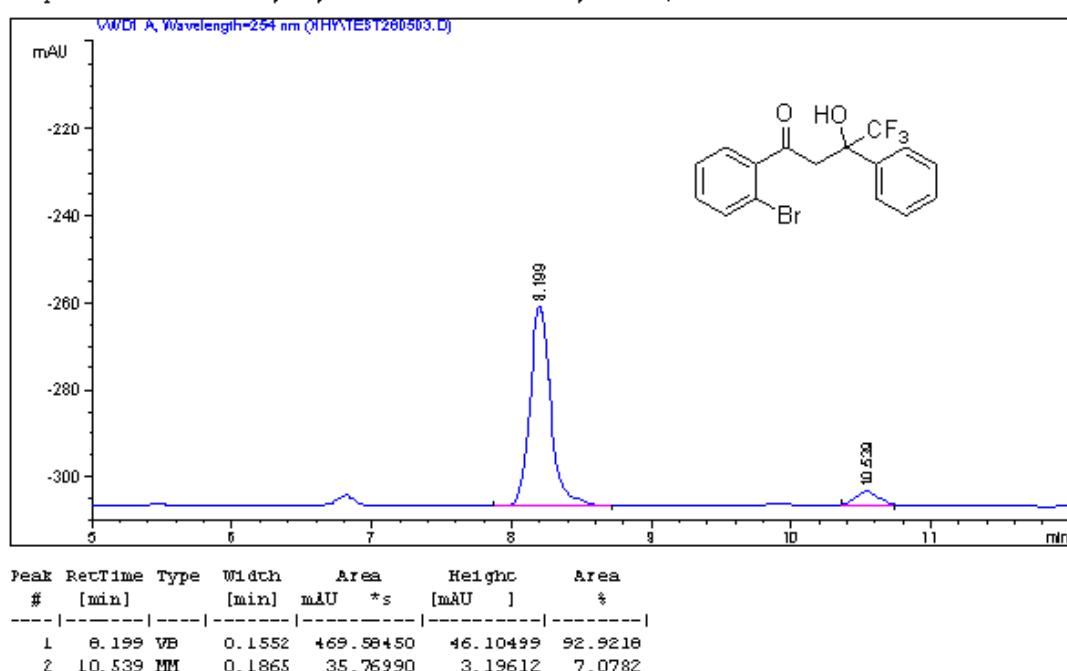
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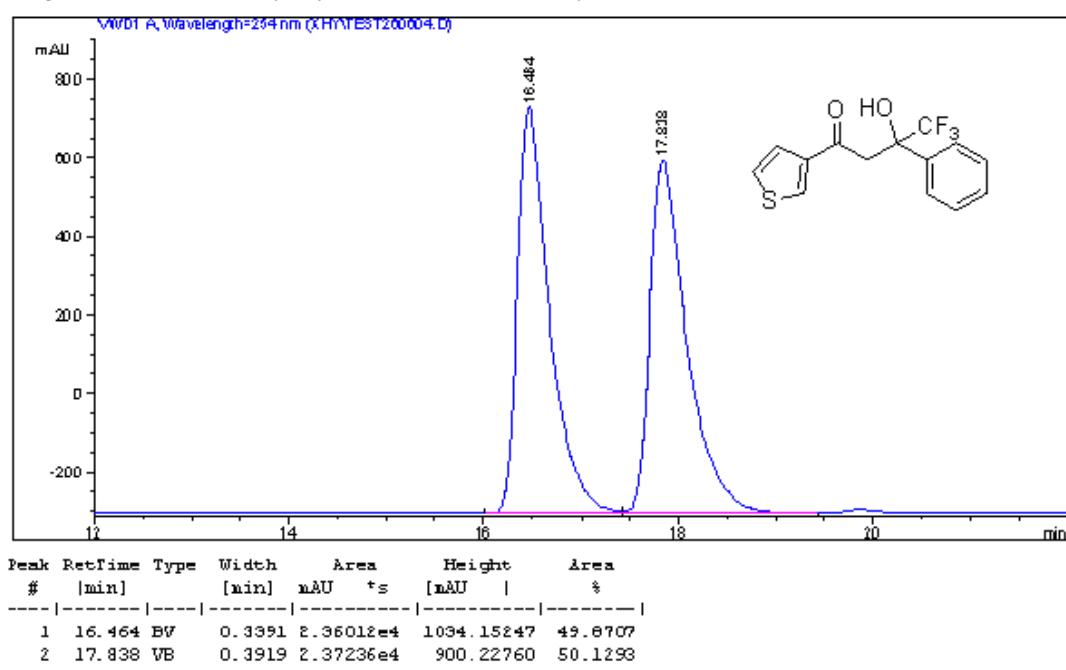
Sample Info : 254nm, IA, i-PrOH : Hexane =5:95, 1.0 mL/min



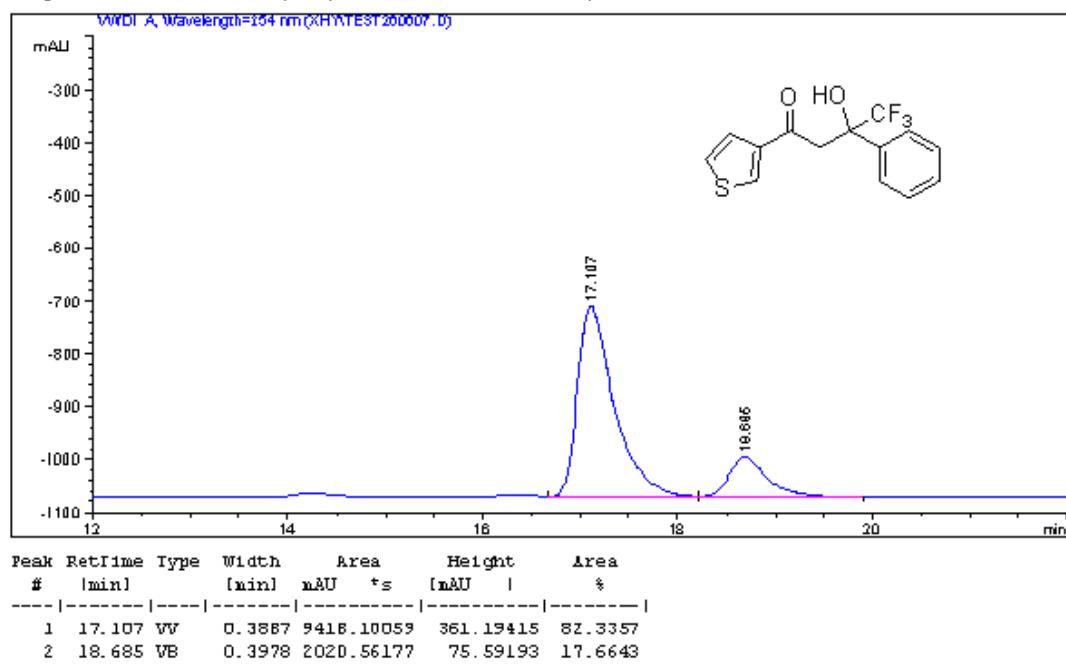
Sample Info : 254nm, IA, i-PrOH : Hexane =5:95, 1.0 mL/min



Sample Info : 254nm, AD, i-PrOH : Hexane =3:97, 1.0 mL/min

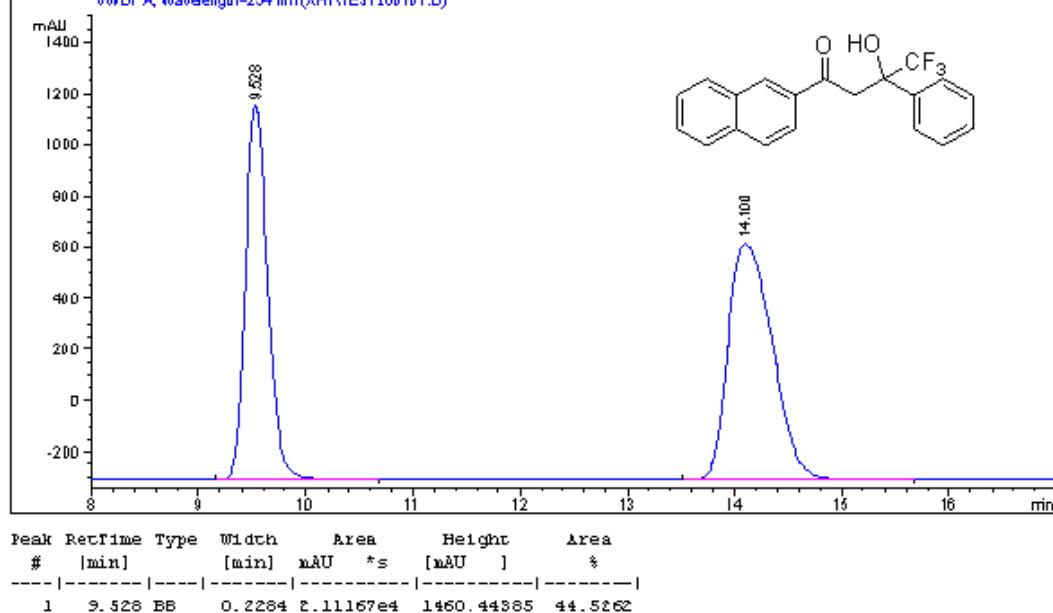


Sample Info : 254 nm, AD, i-PrOH : Hexane =3:97, 1.0 mL/min



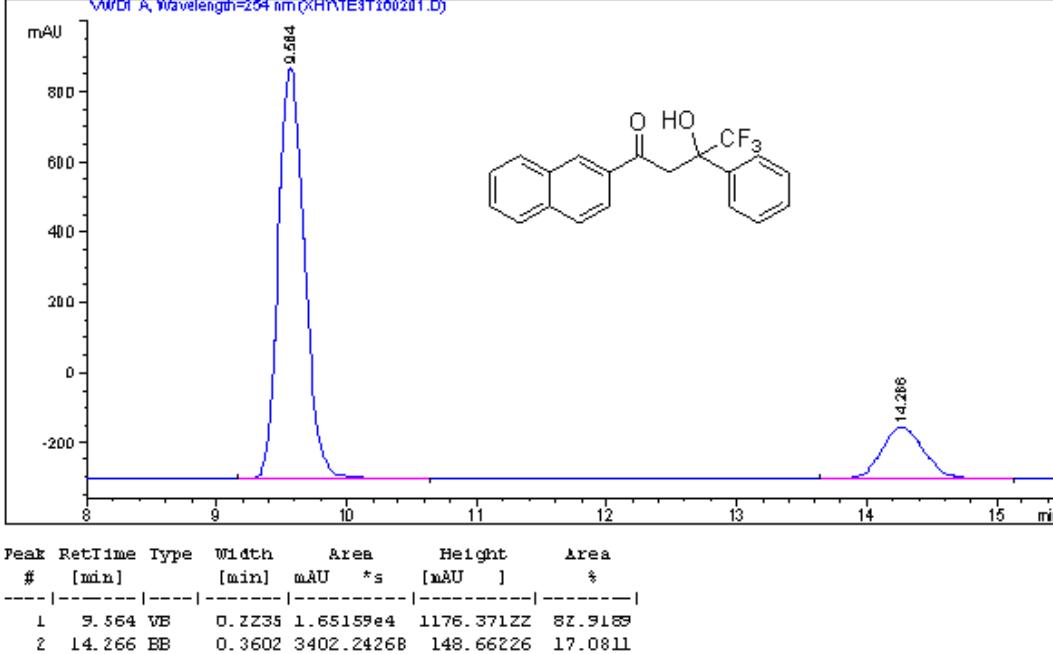
Sample Info : 254nm, IA, 1-PrOH : Hexane =5:95, 1.0 mL/min

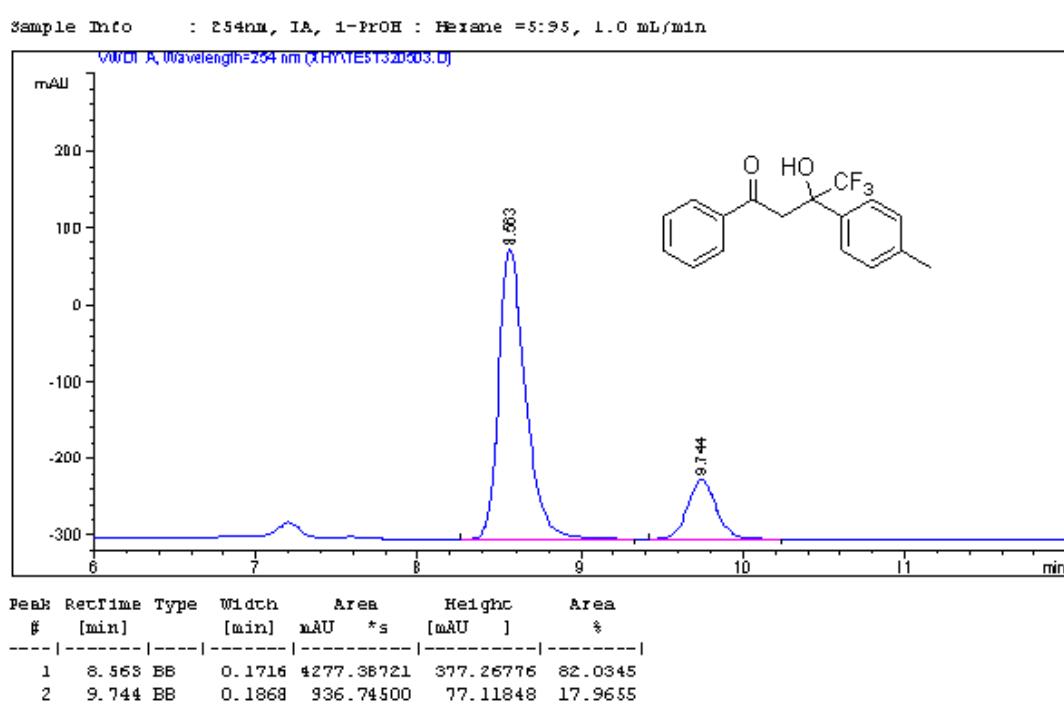
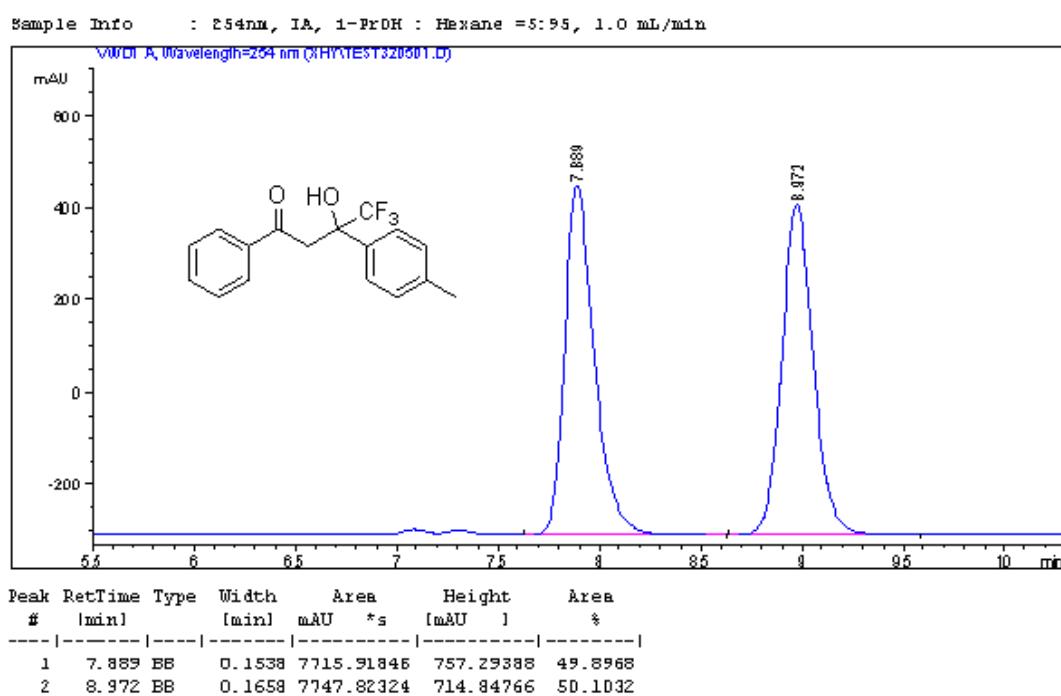
VWD1 A, Wavelength=254 nm (XHRYTE10011.D)



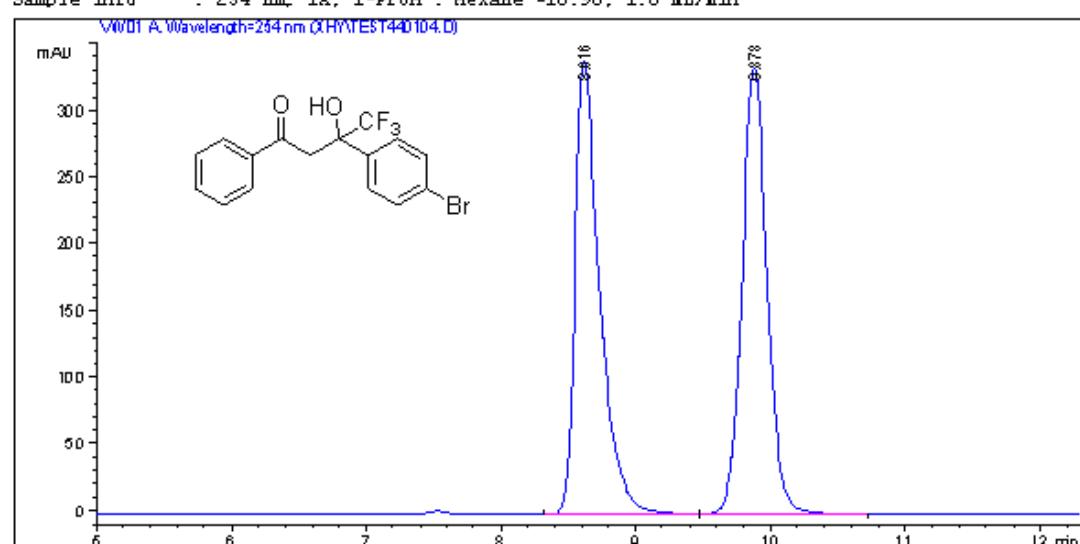
Sample Info : 254nm, IA, i-PrOH : Hexane =5:95, 1.0 mL/min

VWD1 A, Wavelength=254 nm (XHRYTE100201.D)

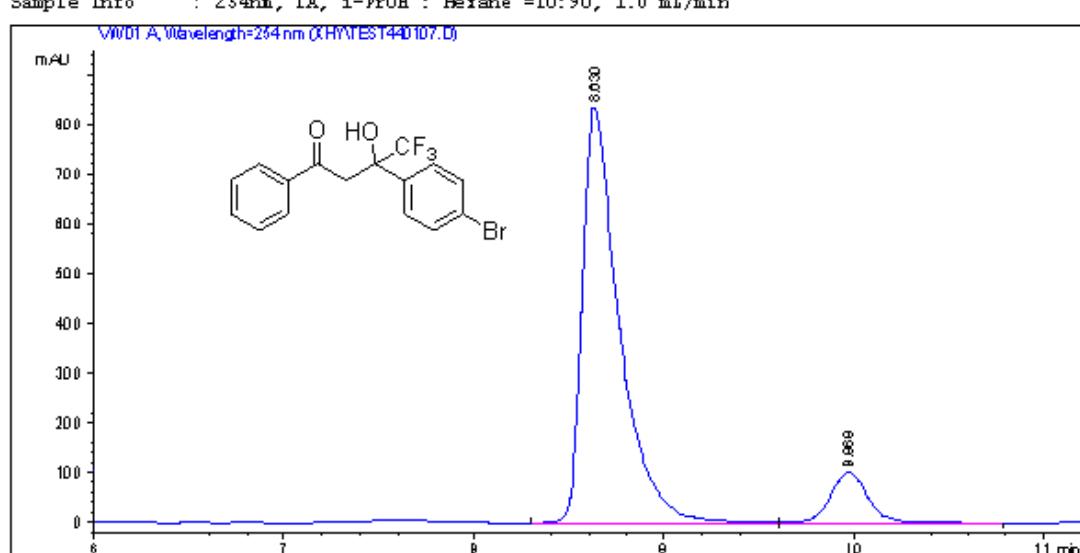




Sample Info : 254 nm, IA, i-PrOH : Hexane =10:90, 1.0 mL/min

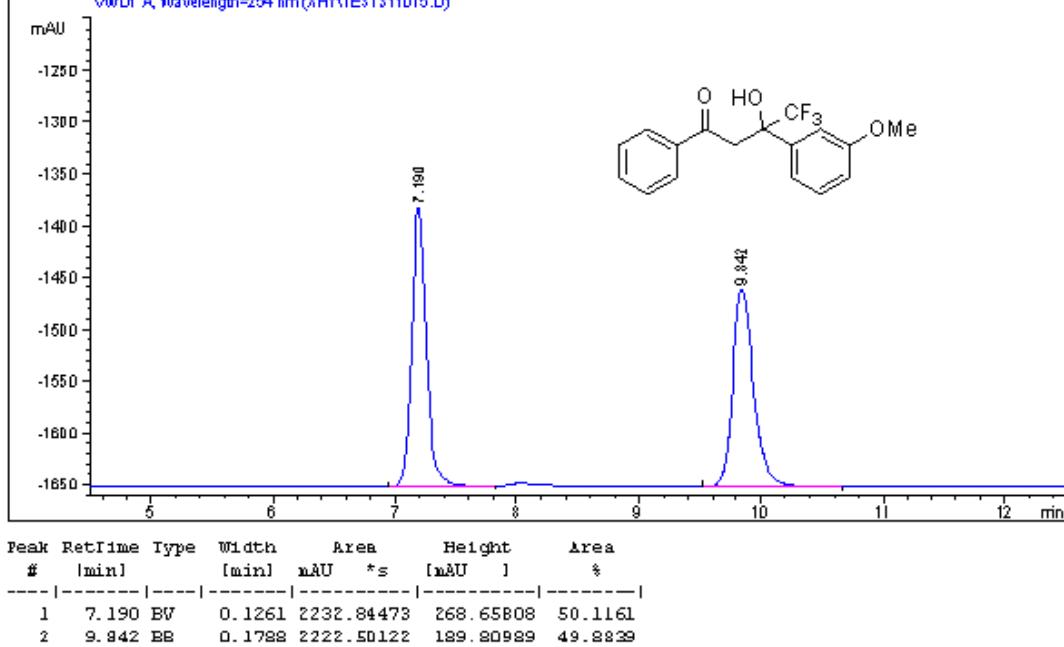


Sample Info : 254nm, IA, i-PrOH : Hexane =10:90, 1.0 ml/min



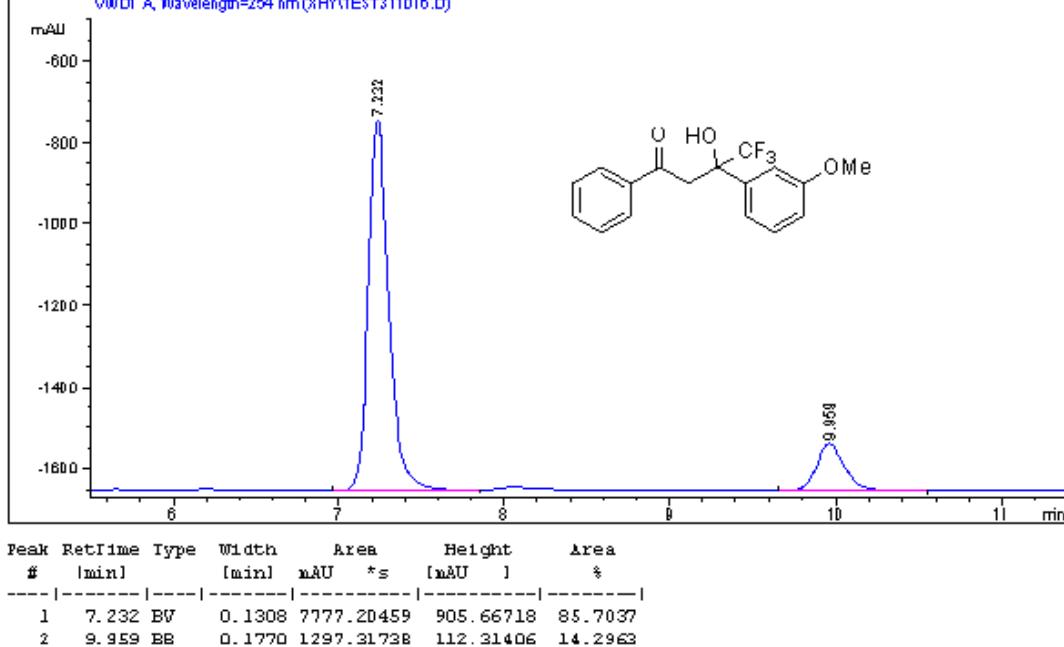
Sample Info : 254nm, IB, i-PrOH : Hexane =10:90, 1.0 mL/min

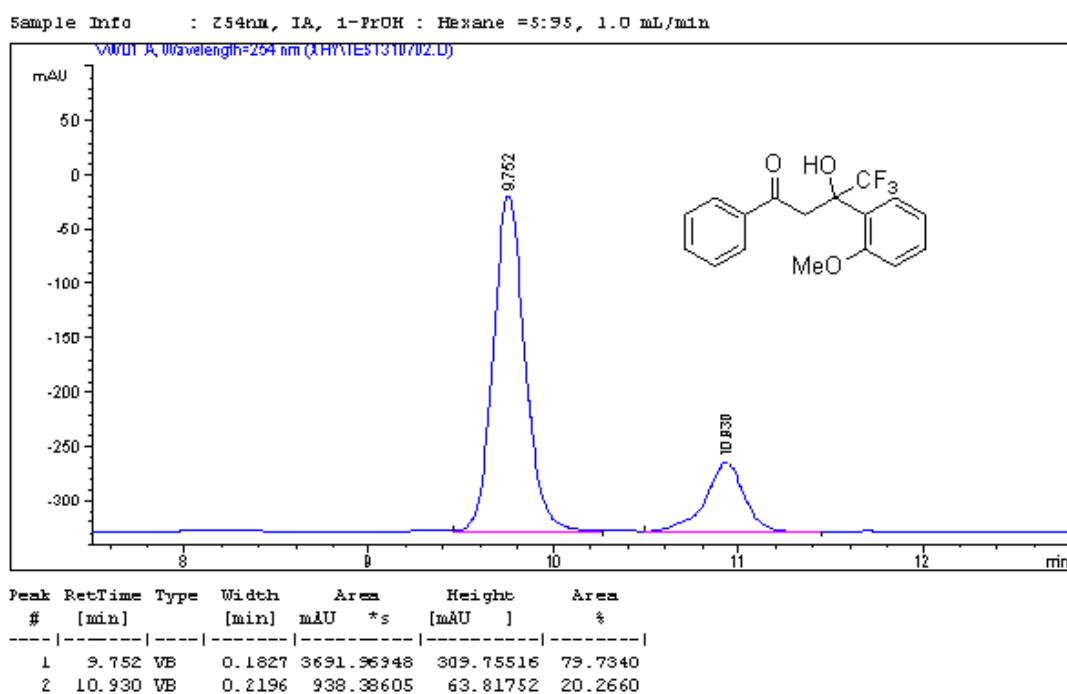
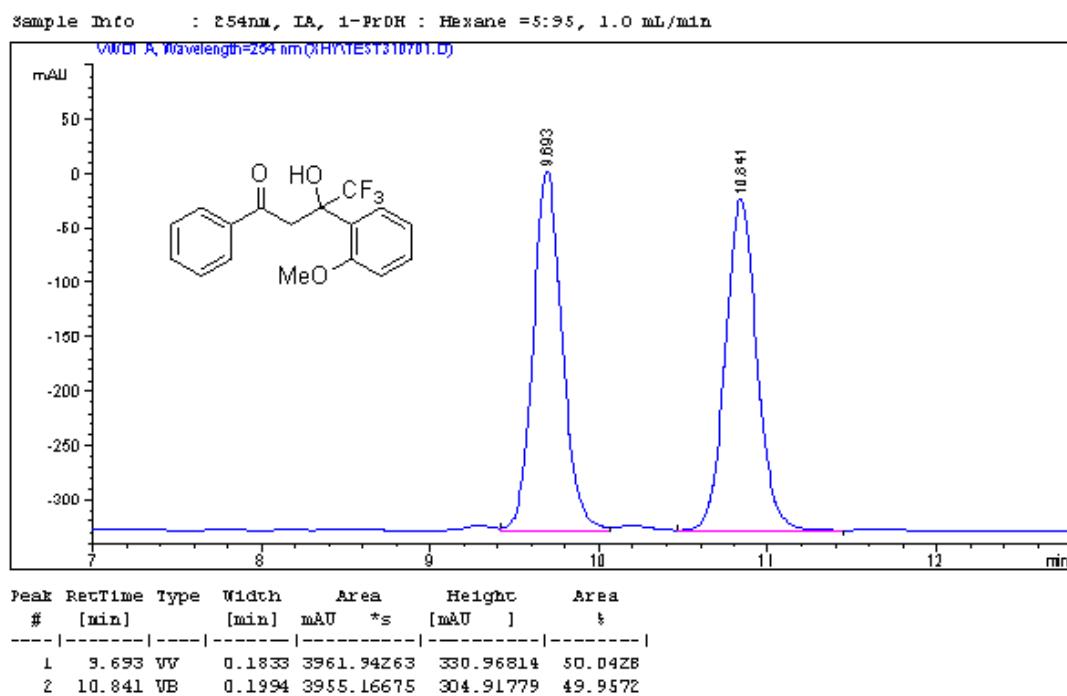
VWD1 A, Wavelength=254 nm(XHYYTEST311015.D)



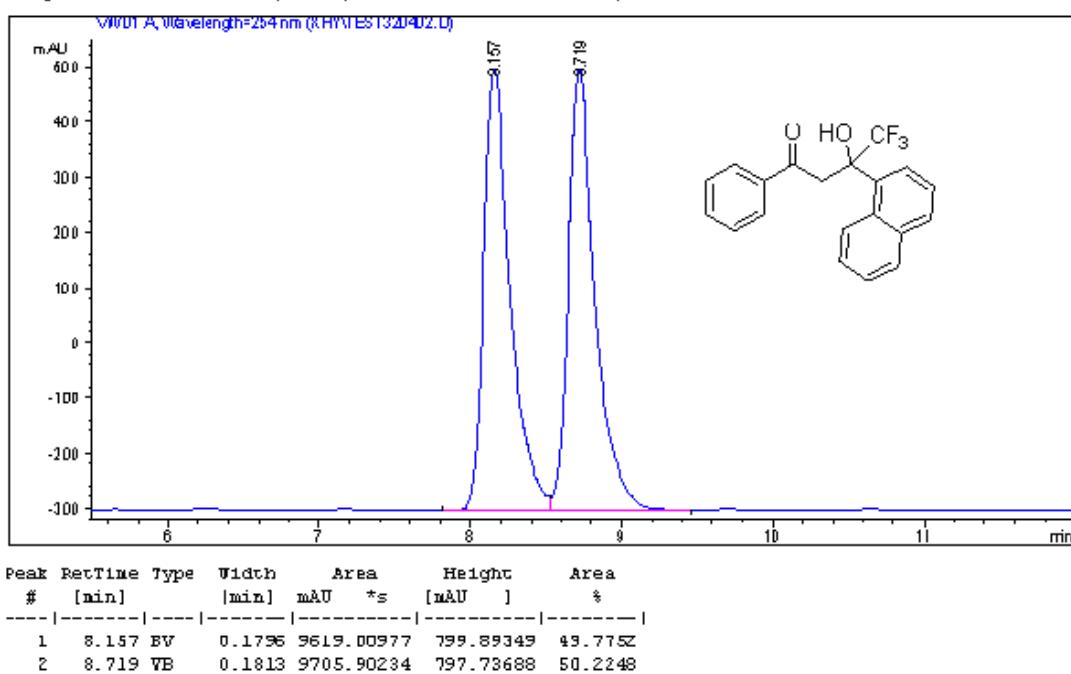
Sample Info : 254nm, IB, i-PrOH : Hexane =10:90, 1.0 mL/min

VWD1 A, Wavelength=254 nm(XHYYTEST311016.D)

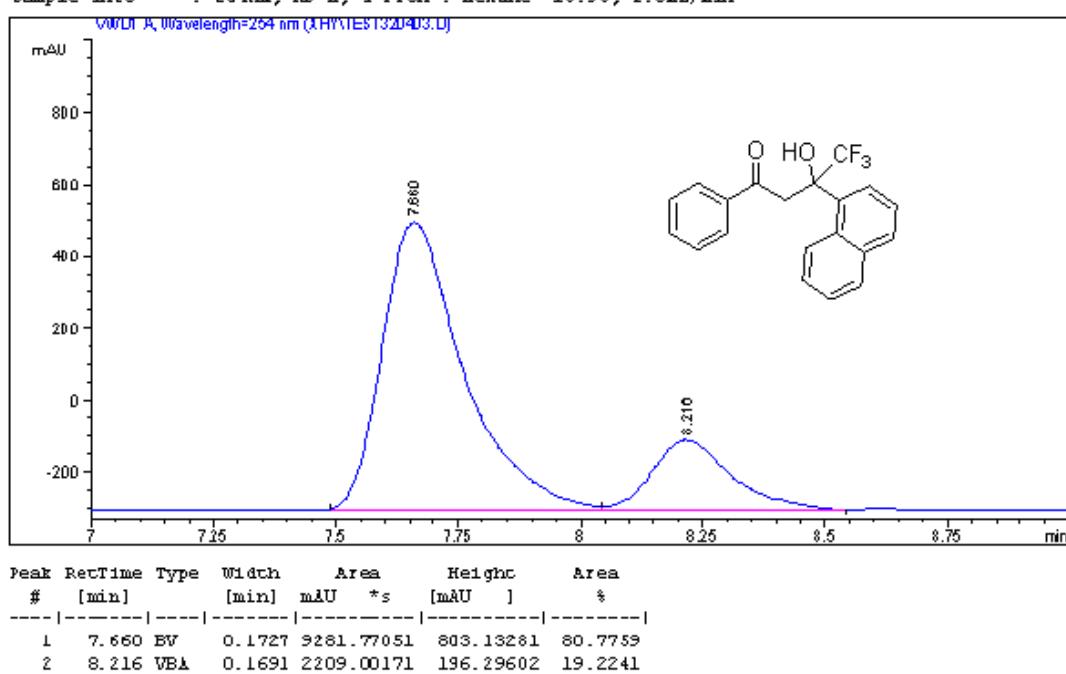




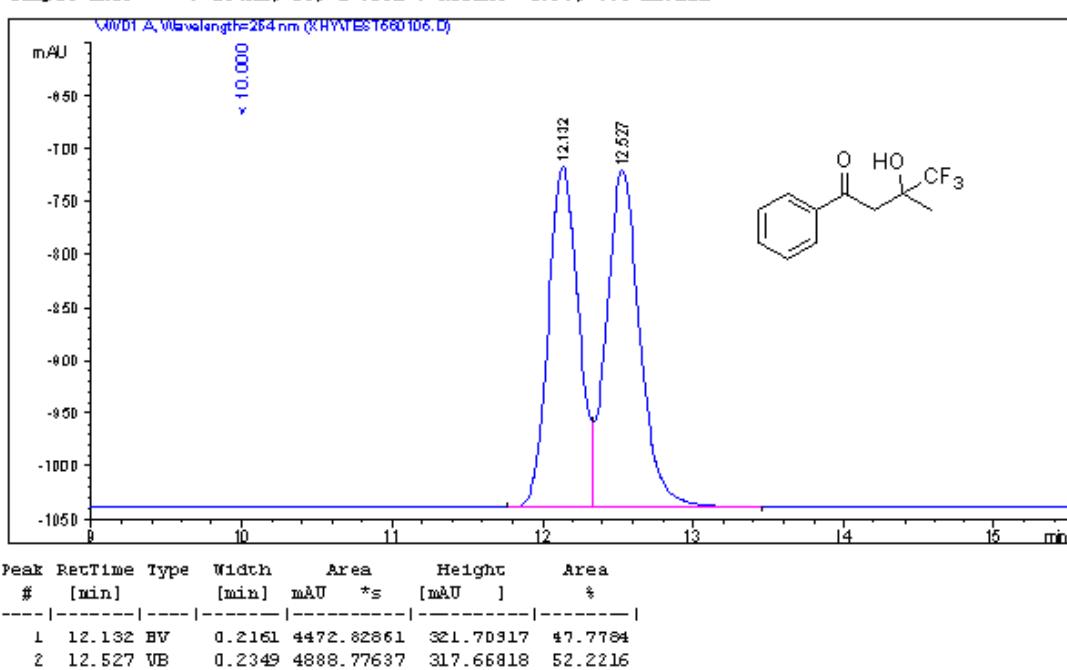
Sample Info : 254nm, AD-H, i-PrOH : Hexane =10:90, 1.0mL/min



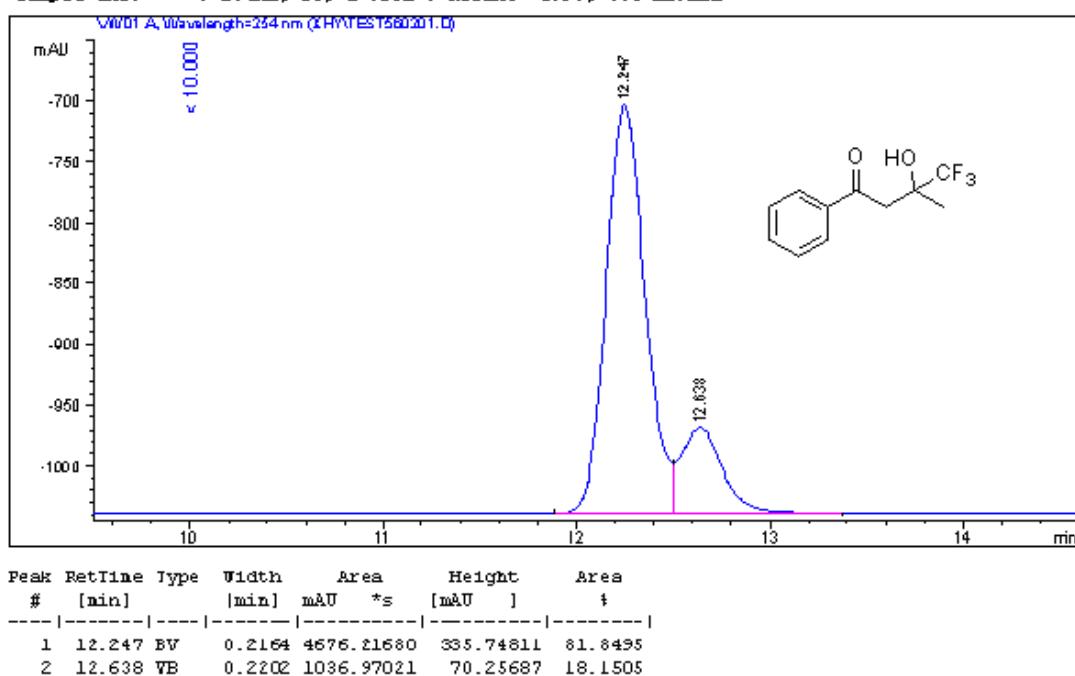
Sample Info : 254nm, AD-H, i-PrOH : Hexane =10:90, 1.0mL/min

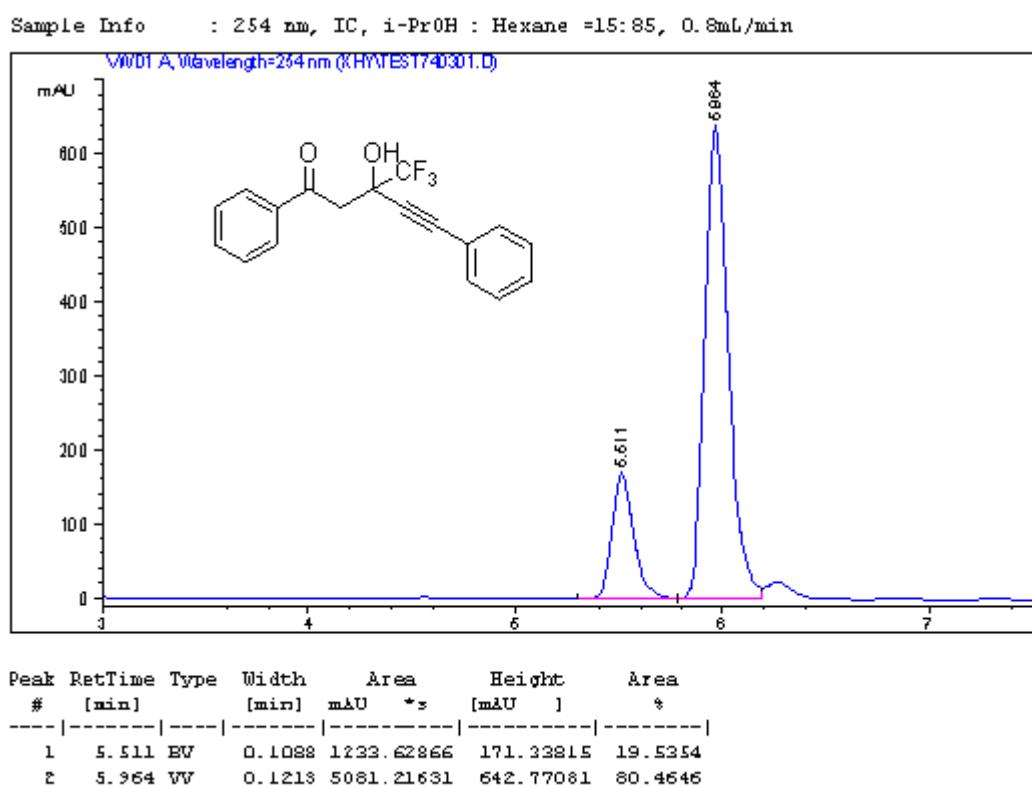
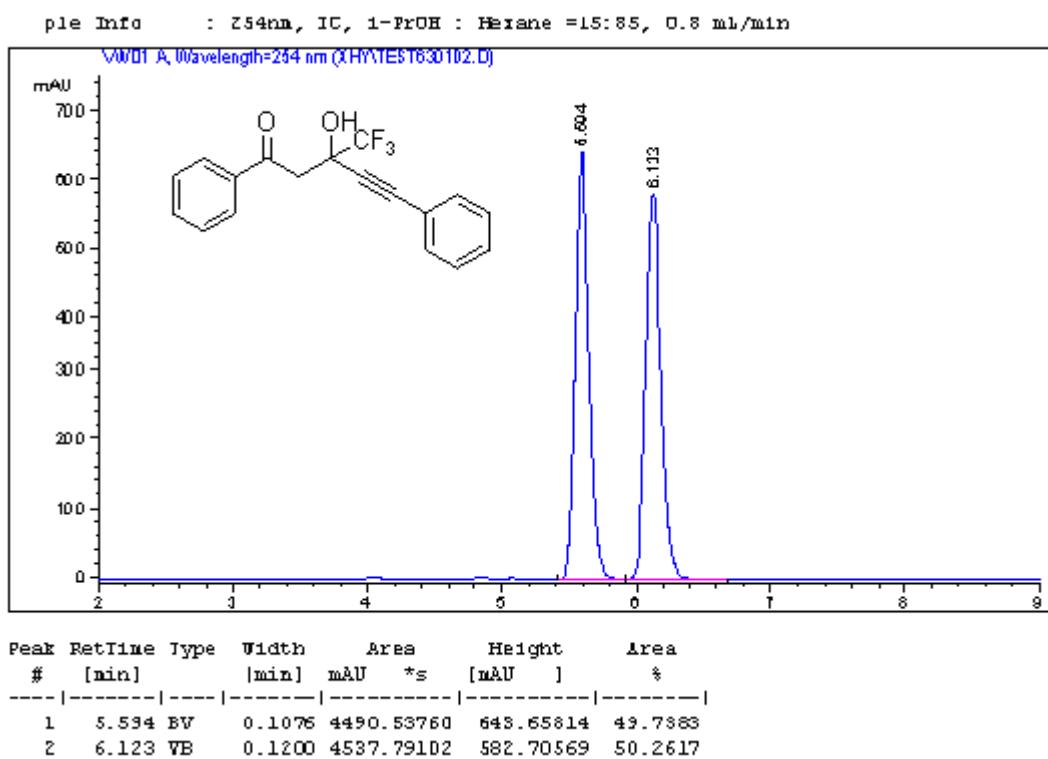


Sample Info : 254nm, IC, i-PrOH : Hexane =2:98, 0.6 mL/min

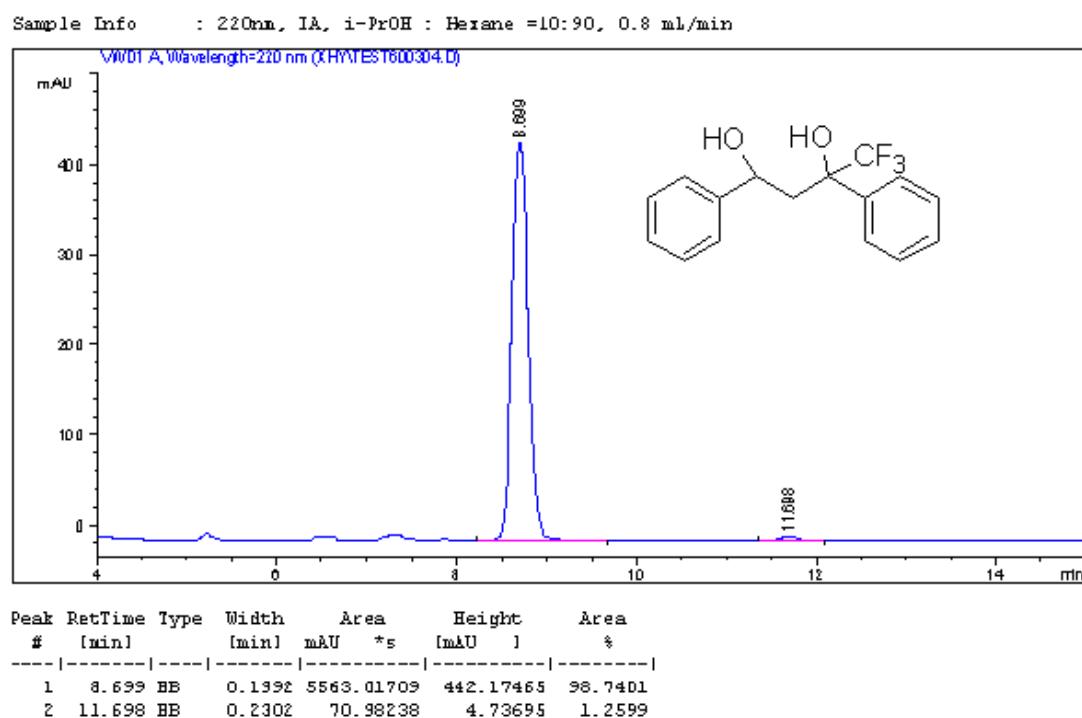
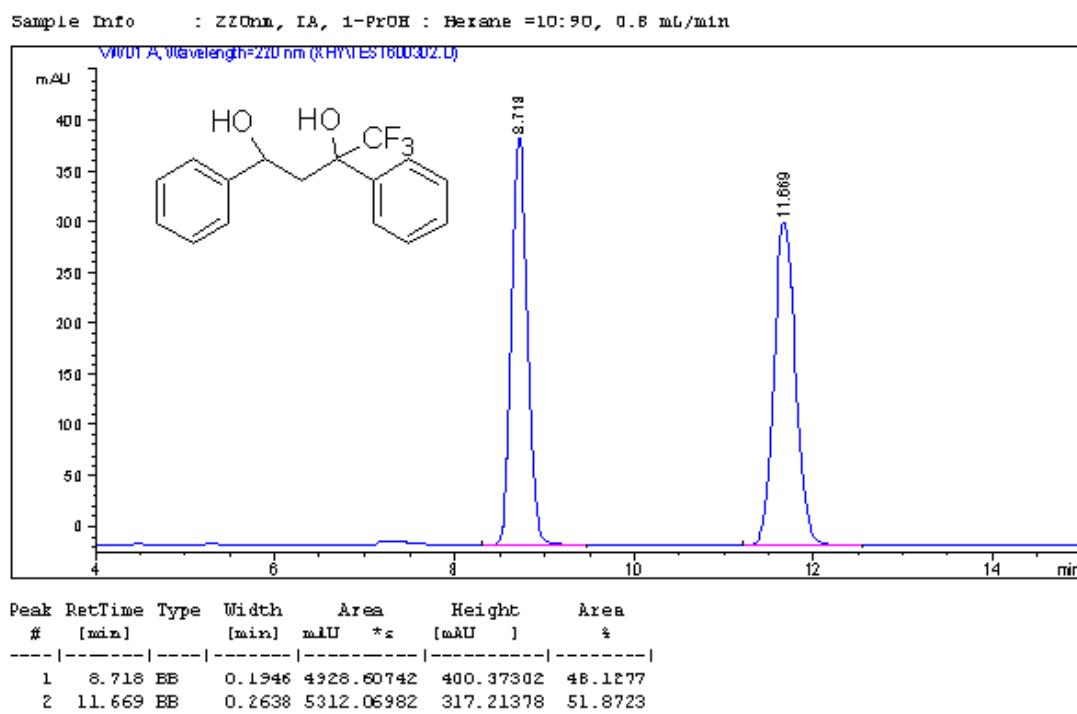


Sample Info : 254nm, IC, i-PrOH : Hexane =2:98, 0.6 mL/min

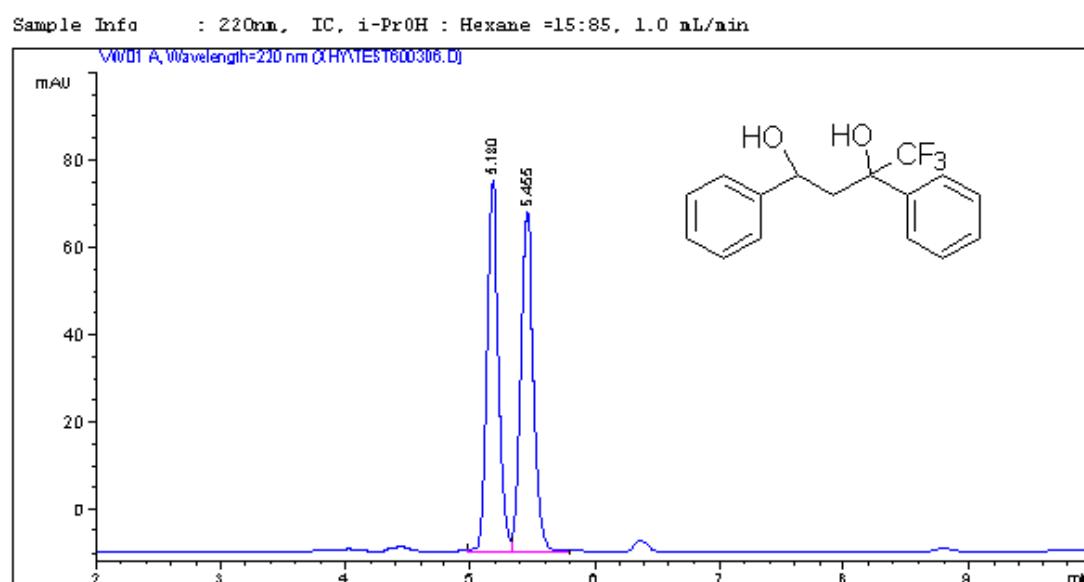




One diastereomer of diol:

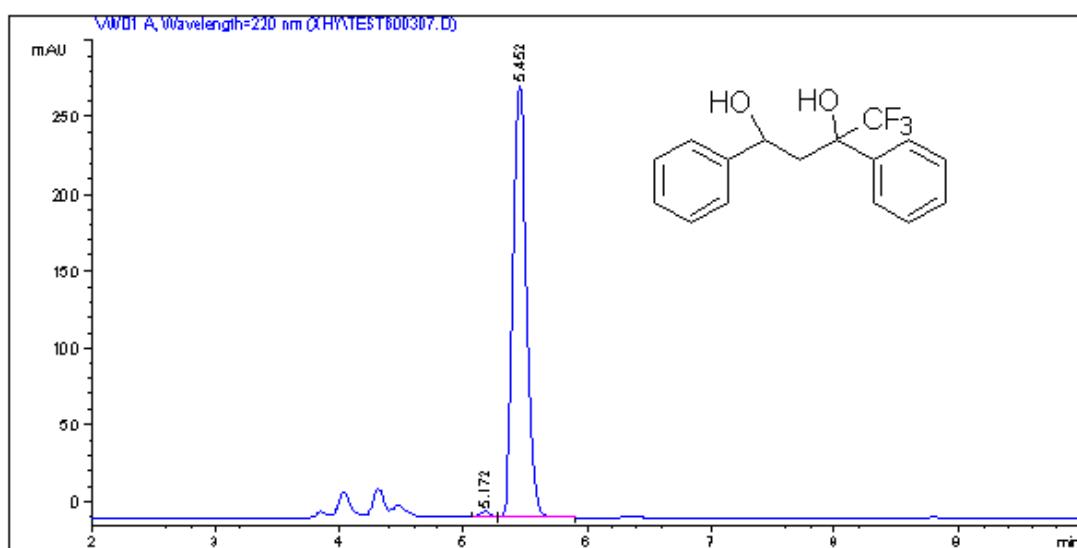


Another diastereomer of diol:



Peak #	RetTime [min]	Type	Width [min]	Area mAU	*s	Height [mAU]	†	Area
1	5.180	VV	0.0996	548.09601		85.37955		49.8277
2	5.455	VB	0.1101	551.88617		78.08360		50.1723

Sample Info : 220nm, IC, i-PrOH : Hexane =15:85, 1.0 mL/min



Peak #	RetTime [min]	Type	Width [min]	Area mAU	*s	Height [mAU]	†	Area
1	5.172	BV	0.0918	19.62947		3.34138		0.9102
2	5.452	VB	0.1208	2136.91504		280.38108		99.0898