Aerobic oxidation of NHC-catalysed aldehyde esterifications with alcohols: benzoin, not the Breslow intermediate, undergoes oxidation

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Supporting Information

Table of Contents

1.	General methods	S2
2.	General procedures	S2
3.	Synthesis of the triazolium precatalyst 2	S 3
4.	Characterisation data for ester products (Table 1)	S4
5.	¹ H NMR spectra of isolated ester products	S6-S9
6.	¹ H and ¹³ C NMR spectral data of triazolium precatalyst 2	S10-S11
7.	¹ H NMR spectra supporting the proposed mechanism	S12-S14
8.	¹ H NMR Spectrum: esterification of an unsymmetrical benzil	S15

1 General Methods

Unless otherwise noted, all commercially available compounds were used as provided without further purification.

Melting points were determined using a standard melting point apparatus and are uncorrected. Proton NMR spectra were recorded on a Bruker Avance III 400 MHz (400.23 MHz) spectrometer using the solvent peak as internal reference (CDCl₃: δ H 7.26; δ C 77.0 and DMSO-d₆: δ H 2.51; δ C 39.5). Multiplicities are indicated, s (singlet), d (doublet), t (triplet), q (quartet), quint (quintet), sept (septet), m (multiplet); coupling constants (*J*) are in Hertz (Hz). Carbon NMR were recorded on the previously mentioned instrument (100.61 Hz) with total proton decoupling. Fluorine NMR spectra were recorded using a Finnigan MAT 95 or Varian MAT 311A. Electron Impact mass spectra were recorded on the same machine in EI mode. TLC analysis was performed on precoated silica gel 60F₂₅₄ slides, and visualised by KMnO₄ staining. Flash chromatography was carried out using silica gel, particle size 0.2-0.063 mm and using the indicated mobile phase as correlated with TLC analysis. Infrared spectra were obtained on a Perkin Elmer Spectrum 100 FT-IR spectrometer equipped with a universal ATR sampling accessory.

Tetrahydrofuran was distilled from sodium/benzophenone under argon. Methanol, ethanol, *iso*propanol and allyl alcohol were distilled from sodium under argon. Benzyl alcohol was purified *via* flash chromatography using a gradual solvent gradient of CH_2Cl_2 :MeOH to remove residual benzaldehyde and benzyl benzoate. All reactions were carried out using oven-dried 25 mL vials, equipped with a plastic lid perforated by 4 holes 2 mm in diameter, unless otherwise specified. Liquid aldehydes were distilled under vacuum prior to use. Solid aldehydes were washed acid-free with 10% aq. K_2CO_3 -solution prior to use.

2 General Procedures

<u>General Procedure 1 (Esterification of Benzaldehyde by Triazolium Precatalyst Using Various</u> <u>Alcohols, Table 1)</u>

To a 25 mL vial equipped with a magnetic stirring bar was charged the triazolium precatalyst **2** (36 mg, 0.15 mmol, 15 mol%). Dry THF (2.5 mL) and the relevant alcohol were added. DBU (170 μ L, 1.1 mmol, 110 mol%) was added and the solution stirred for 2 minutes. Benzaldehyde (102 μ L, 1 mmol) was then added. The vessel was sealed with a plastic lid perforated by 4 holes 2 mm in diameter and the reaction mixture was stirred for the indicated time at room temperature unless stated otherwise. The solvent was then removed *in vacuo* and the resulting residue subjected to flash chromatography to yield the ester product.

3 Synthesis of 4-Ethyl-1-methyl-4H-[1,2,4]triazol-1-ium iodide (2)

1-Methyl-1*H*-1,2,4-triazole (S1)

To a flame-dried 250 mL round-bottomed flask equipped with a magnetic stirring bar N_{\sim} N was charged MeOH (80 mL) and sodium (3.30 g). The solution was stirred for 5 $C_{3}H_{5}N_{3}$ minutes before 1,2,4-triazole (10 g, 1.55 mol, 1 equiv) was added and the mixture 83.09 g/mol stirred at room temperature until the solid had dissolved. The vessel was then placed under a protective atmosphere of argon and cooled to 0 °C in a H₂O/ice bath. Iodomethane (9.04 mL, 20.61 g, 1.55 mol, 1 equiv) was added dropwise *via* syringe. Stirring was continued for 5 minutes at 0 °C before warming to room temperature and stirring for a further 2 hours under argon before refluxing at 60 °C for 20 h. Upon cooling, the solvent was removed *in vacuo* and H₂O (60 mL) was added. The product was extracted with CH₂Cl₂ (3 x 50 mL), the combined organic layers dried over MgSO₄ and concentrated *in vacuo* to yield the title product as a yellow liquid (4.67 g, 39%) that was dried under vacuum for several hours. $\delta_{\rm H}$ (400 MHz, CDCl₃): 3.80 (s, 3H, CH₃), 7.78 (s, 1H, CH), 7.93 (s, 1H, CH). HRMS (*m*/*z* –ESI⁺): Found 84.0564 (C₃H₆N₃⁺ requires 84.0562).

4-Ethyl-1-methyl-4*H*-[1,2,4]triazol-1-ium iodide (2)



C₅H₁₀IN₃ 239.06 g/mol To a flame-dried 50 mL round-bottomed flask equipped with a magnetic stirring bar was charged 1-methyl-1*H*-1,2,4-triazole (**S1**) (4.2 g, 0.051 mol, 1 equiv). The vessel was placed under a protective atmosphere of argon and ethyl iodide (17.3 g, 8.9 mL, 0.111 mol, 2.17 equiv) was added *via* syringe. The flask was covered

with aluminium foil and the reaction mixture stirred for 96 h at room temperature under argon. The resulting precipitate was filtered, washed with Et_2O (3 x 20 mL) and recrystallised from 1% CH₂Cl₂/MeOH to yield the title product as a white crystalline solid (3.07g, 0.0128 mol, 23%).

m.p.: 137 - 139 °C. R_F (CH₂Cl₂:MeOH, 4:1): 0.41. \tilde{v} (cm⁻¹): 3423, 3028, 1773, 1583, 1164, 990, 730, 720, 653. $\delta_{\rm H}$ (400 MHz, DMSO-d₆): 10.03 (s, 1H, CH), 9.18 (s, 1H, CH), 4.20 (q, *J* = 7.32 Hz, 2H, CH₂), 4.03 (s, 3H, CH₃), 1.42 (t, *J* = 7.32 Hz, 3H, CH₃). $\delta_{\rm C}$ (100 MHz, DMSO-d₆): 144.7, 143.1, 43.4, 39.0472, 15.0. HRMS (*m*/*z* -ESI⁺): Found 112.0874 (C₅H₁₀N₃⁺ requires 112.0875).

4 Experimental Data for Ester Products (Scheme 1)

Benzyl benzoate (4, Scheme 1)

Prepared according to **general procedure 1** (24 h, 45°C in a 10 mL round-bottomed flask) using benzyl alcohol (311 μ L, 3 mmol, 3 equiv). Purified *via* flash chromatography (*n*-hexane:Et₂O, 9:1): 153 mg (72%) as a pale yellow oil. $\delta_{\rm H}$ (400 MHz, CDCl₃): 8.10 (app. d, 2H), 7.55 (app. t, 1H), 7.47 – 7.34 (m, 7H), 5.35 (app. d, 2H). HRMS (*m*/*z*-EI): Found 212.0845 (C₁₄H₁₂O₂ requires 212.0837).

Allyl benzoate (5, Scheme 1)

Prepared according to **general procedure 1** (24 h, 45 °C in a 10 mL round-bottomed flask) using allyl alcohol (204 μ L, 3 mmol, 3 equiv). Purified *via* flash chromatography (*n*hexane:Et₂O, 9:1): 117 mg (69%) as a pale yellow oil. $\delta_{\rm H}$ (400 MHz, CDCl₃): 8.05 (d, *J* = 7.48, 2H), 7.54 (t, *J* = 7.52 Hz, 1H), 7.43 (t, *J* = 7.72 Hz, 2H), 6.07 – 5.98 (m, 1H), 5.41 (d, *J* = 17.24 Hz, 1H), 5.27 (d, *J* = 10.44 Hz, 1H), 4.81 (d, *J* = 5.60 Hz, 2H). HRMS (*m*/*z*-EI): Found 162.0680 (C₁₀H₁₀O₂ requires 162.0681).

2,2,2-Trichloroethyl benzoate (6, Scheme 1)

Prepared according to general procedure 1 (24 h, 45 °C in a 10 mL round-bottomed flask) using



2,2,2-trichloroethanol (288 µL, 3 mmol, 3 equiv). Purified *via* flash chromatography (*n*-hexane:Et₂O, 9:1): 155 mg (61%) as a pale yellow oil. $\delta_{\rm H}$ (400 MHz, CDCl₃): 8.11 (d, J = 8.12 Hz, 2H), 7.59 (t, J = 7.80 Hz, 1H), 7.48 (t, J = 7.76 Hz, 2H), 4.95 (s, 2H) HRMS (*m/z*-EI): Found 251.9520 (C₉H₇O₂Cl₃ requires 251.9512).

2,2,2-Trifluoroethyl benzoate (7, Scheme 1)

Prepared according to general procedure 1 (24 h) using 2,2,2-trifluoroethanol (226 µL, 3 mmol, 3



C₉H₇F₃O₂ 204.15 g/mol

equiv). Purified *via* flash chromatography (*n*-hexane:Et₂O, 9:1): 112 mg (55%) as a pale yellow oil. $\delta_{\rm H}$ (400 MHz, CDCl₃): 8.06 (d, J = 7.56 Hz, 2H), 7.61 (t, J = 7.48 Hz, 1H), 7.47 (t, J = 7.72 Hz, 2H), 4.71 (q, ${}^{3}J_{\rm FH} = 8.44$ Hz, 2H). $\delta_{\rm F}$ (375 MHz, CDCl₃): -73.71. HRMS (*m*/*z*-EI): Found 204.0397 (C₉H₇F₃O₂ requires 204.0398).

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5 1H NMR Spectra for Isolated Ester Products

Benzyl benzoate (4, Scheme 1)





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Allyl benzoate (5, Scheme 1)







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2,2,2-Trichloroethyl benzoate (6, Scheme 1)



1



S7

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2,2,2-Trifluoroethyl benzoate (7, Scheme 1)





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6 ¹H and ¹³C NMR Spectral Data for Triazolium Precatalyst 2



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7 ¹H NMR Spectra Supporting Proposed Mechanism





Reaction of benzaldehyde (1) under the conditions outlined in Scheme 2. t = 5 min. Styrene resonances are observed at 5.09 ppm, 5.60 ppm, 5.57 ppm and 7.05 ppm to 7.28 ppm.





Reaction of benzaldehyde (1) under the conditions outlined in Scheme 2. t = 20 min. Styrene resonances are observed at 5.09 ppm, 5.60 ppm, 5.57 ppm and 7.05 ppm to 7.28 ppm.

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Reaction of benzil **17** (1 mmol) with precatalyst **2** (15 mol%), DBU (110 mol%) in THF/MeOH (5.0 mL, 1:1 v/v) at room temperature under an argon atmosphere. t = 16 h. Styrene resonances are observed at 5.09 ppm, 5.60 ppm, 5.57 ppm and 7.05 ppm to 7.28 ppm.

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8 ¹H NMR Spectrum for Esterification of an Unsymmetrical Benzil



Reaction of benzil **29** under the conditions outlined in Scheme 3. Styrene resonances are observed at 5.09 ppm, 5.60 ppm, 5.57 ppm and 7.05 ppm to 7.28 ppm.