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Supporting Information for the Enantioselective Diels–Alder Reaction of 1,2-Dihydropyridines with α-Acyloxyacroleins Catalyzed by Chiral Primary Ammonium Salt

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General Methods. Infrared (IR) spectra were recorded on a JASCO FT/IR 460 plus spectrometer. ¹H NMR spectra were measured on a JEOL ECS-400 spectrometer (400 MHz) at ambient temperature. Data were recorded as follows: chemical shift in ppm from internal tetramethylsilane on the δ scale, multiplicity (s = singlet; d = doublet; t = triplet; q = quartet, m = multiplet), coupling constant (Hz), integration, and assignment. ¹³C NMR spectra were measured on a JEOL ECS-400 spectrometer (100 MHz). Chemical shifts were recorded in ppm from the solvent resonance employed as the internal standard (deuterochloroform at 77.00 ppm). High performance liquid chromatography (HPLC) analysis was conducted using Shimadzu LC-10 AD coupled diode array-detector SPD-MA-10A-VP and chiral column of Daicel CHIRALCEL OD-H (4.6 mm × 25 cm), Daicel CHIRALCEL OZ-H (4.6 mm × 25 cm), Daicel CHIRALPAK AD-3 (4.6 mm × 25 cm), Daicel CHIRALPAK OD-3 (4.6 mm × 25 cm), Daicel CHIRALPAK IA-3 (4.6 mm × 25 cm). Optical rotations were measured on a RUDOLPH AUTOPOL IV digital polarimeter. The reactions were carried out under an atmosphere of dry nitrogen. For thin-layer chromatography (TLC) analysis throughout this work, Merck precoated TLC plates (silica gel 60 GF254 0.25 mm) were used. The products were purified by column chromatography on silica gel (E. Merck Art. 9385). High-resolution mass spectral analysis (HRMS) was performed at Chemical Instrumentation Facility, Nagoya University on JEOL JMS-700 spectrometer (for FAB) or JEOL JMS-T100GCV spectrometer (for EI). In experiments that required dry solvent, tetrahydrofuran (THF), N,N-dimethylformamide (DMF) and dichloromethane (CH₂Cl₂) were purchased from Kanto, TCI or Wako as the "anhydrous." Nitroethane (EtNO₂), and triethylamine (Et₃N) were freshly Other simple chemicals were analytical-grade and obtained distilled from calcium hydride. **6**.¹ **9**.¹ commercially. Chiral triamine α -acyloxyacroleins and 1-phenoxycarbonyl-1,2-dihydropyridine $(8a)^2$ were prepared according to the known procedures.

Synthesis of 4-Substituted 1-Phenoxycarbonyl-1,2-dihydropyridines (8)²



COOPh

4-Methyl-1-phenoxycarbonyl-1,2-dihydropyridine (8b): To a solution of 4-methyl pyridine (4.7 g, 50 mmol) in MeOH (40 mL) was added NaBH₄ (1.9 g, 50 mmol) at -78 °C, the mixture was stirred for 30 min. Phenyl chloroformate (7.8 g, 50 mmol) was slowly introduced to the mixture, and stirred for 3 h at the same temperature. The reaction was quenched by the successive addition of ice water and the solution was stirred until H₂ bubble stopped and then warmed up to ambient temperature. The mixture was extracted with EtOAc (100 mL \times 3). The

combined organic layers were washed with brine (100 mL × 2), dried over Na₂SO₄, filtrated and concentrated. The residue was purified by column chromatography on silica gel (hexane–EtOAc 10:1) to give 4-methyl-*N*-phenoxycarbonyl-1,2-dihydropyridines in 70% yield (7.5 g, 35 mmol). IR (CHCl₃) 1726, 1359, 1204, 1174 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (3/2)) δ 1.75 (s, 3H), 4.34–4.43 (m, 1.3H), 4.48–4.58 (m, 0.7H), 5.12 (d, *J* = 7.8 Hz, 0.6H), 5.16 (d, *J* = 7.8 Hz, 0.4H), 5.22–5.28 (m, 0.4H), 5.28–5.33 (m, 0.6H), 6.80 (d, *J* = 7.8 Hz, 0.4H), 6.87 (d, *J* = 7.8 Hz, 0.6H), 7.13 (d, *J* = 7.8 Hz, 2H), 7.19–7.27 (m, 1H), 7.37 (t, *J* =7.8 Hz, 2H); ¹³C NMR (CDCl₃, 100 MHz) δ 20.5, 44.0 and 44.4, 109.4 and 109.6, 113.54 and 114.1, 121.6 (2C), 124.8, 125.5 and 125.6, 129.3 and 129.4 (2C), 129.7 and 130.2, 150.9 and 151.5; HRMS (FAB) calcd for C_{13H14}NO₂ [M+H]⁺ 216.1019, found 216.1015.

COOPh

^{$\mu \dot{P}r$} **4-Isopropyl-1-phenoxycarbonyl-1,2-dihydropyridine** (8c): Compound 8c was prepared from 4-isopropylpyridine according to the procedure for the synthesis of 8b. 56% yield. IR (CHCl₃) 1727, 1359, 1205 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (3/2)) δ 1.05 (d, *J* = 6.9 Hz, 6H), 2.29 (h, *J* = 6.9 Hz, 1H), 4.41 (d, *J* = 3.7 Hz, 1.3H), 4.55 (d, *J* = 3.7 Hz, 1.3H), 5.19–5.33 (m, 2H), 7.14 (d, *J* = 8.2 Hz, 1H), 7.22 (dd, *J* = 7.3, 7.3 Hz, 1H), 7.38 (t, *J* = 7.8 Hz, 2H); ¹³C NMR (CDCl₃, 100 MHz) δ 21.1 (2C), 32.4, 44.0 and 44.3, 107.3 and 107.6, 110.8 and 111.3, 121.6 (2C), 125.1, 125.6, 125.7 and 125.9, 129.3 (2C), 139.7 and 140.1, 150.9 and 151.4; HRMS (FAB) calcd for C15H18NO₂ [M+H]⁺ 244.1332, found 244.1333.

PhOOC-N_O

4-(p-Methoxybenzoyloxy)-1-phenoxycarbonyl-1,2-dihydro-

pyridine (8d): Compound **8d** was prepared from 4-(*p*-methoxybenzoyloxy)pyridine³ according to the procedure for the synthesis of **8b**. 30% yield. White solid; IR (KBr) 1732, 1718, 1602, 1510, 1333, 1283, 1161 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (3/2)) δ 3.88 (s, 3H), 4.66 (d, *J* = 4.1 Hz, 1.2H), 4.81 (d, *J* = 4.1 Hz, 0.8H), 5.19 (dd, *J* = 2.3, 8.7 Hz, 0.6H), 5.21 (dd, *J* = 2.3, 8.7 Hz, 0.4H), 5.32–5.40 (m, 1H), 6.92–6.98 (m, 2.6H), 7.02 (d, *J* = 8.2 Hz, 0.4H), 7.15 (d, *J* = 7.8 Hz, 2H), 7.22–7.27 (m, 1H), 7.39 (t, *J* = 7.8 Hz, 2H), 8.05 (d, *J* = 8.7 Hz, 2H); ¹³C NMR (CDCl₃, 100 MHz) δ 44.0 and 44.4, 55.5, 103.8 and 104.1, 104.6 and 105.0, 113.8 (2C), 112.3 and 121.5 (2C), 125.9, 127.6, 128.5, 129.4 (2C), 132.2 (2C), 144.1 and 144.5, 150.8 and 151.3, 152.0, 152.3, 163.9 and 164.3 ; HRMS (FAB) calcd for C₂₀H₁₇NO₅ [M] 351.1107, found 351.1111.

PhOOC-N OTBS

4-(tert-Butyldimethylsilyloxy)methyl-1-phenoxycarbonyl-1,2-

dihydropyridine(8e):Compound8ewaspreparedfrom4-[(*tert*-butyldimethylsilyloxy)methyl]pyridine⁴ according to the procedure for the synthesis of 8b.47% yield.White participate; IR (CHCl₃) 1731, 1353, 1204 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz) a

mixture of rotamers (2/1): δ 0.10 (s, 6H), 0.93 (s, 9H), 4.12 (s, 2H), 4.30–4.62 (m, 0.4H), 4.38–4.48 (m, 0.6H), 5.19 (t, *J* = 8.3 Hz, 1H), 5.45–5.55 (m, 1H), 6.84 (d, *J* = 7.8 Hz, 0.3H), 6.91 (d, *J* = 7.8 Hz, 0.7H), 7.13 (d, *J* = 7.3 Hz, 2H), 7.28 (t, *J* = 7.3, 7.3 Hz, 1H), 7.37 (dd, *J* = 7.8, 7.3 Hz, 1H); ¹³C NMR (CDCl₃, 100 MHz) δ –5.37 (2C), 18.3, 25.9 (2C), 43.9 and 44.3, 105.3 and, 105.4, 112.5 and 113.1, 121.5 (2C), 125.4, 125.6 and 126.1, 129.3 (2C), 133.5 and 133.9, 150.7 and 150.8, 151.4 and 152.5; HRMS (FAB) calcd for C₁₉H₂₈NO₃Si [M+H]⁺ 346.1833, found 346.1834.

General Procedure for the Enantioselective Diels-Alder Reaction of 1,2-Dihydropyridines α -(Acyloxy) acroleins (Table 1 (entries 1-4) and Table 2 (entries 1-5). with α -(Acyloxy)acrolein (9, 0.1 mmol) was added to a solution of chiral triamine (6, 3 mg, 0.01 mmol) and $C_6F_5SO_3H$ (6.8 mg, 0.028 mmol) in EtNO₂ (0.125 mL) at -78 °C. After the mixture was stirred at the same temperature for 5 min, 1-phenoxycarbonyl-1,2-dihydropyridine (8, 0.15 mmol) was added in one portion. After the reaction mixture was stirred for 1.5 days at 0 °C or 3.0 days at -20 °C, the reaction was quenched with Et₃N, the crude mixture was directly purified by chromatography on a silica gel column (hexane/EtOAc 10:1 to 5:2) to give Diels-Alder adducts 10. Typical Procedure for the Enantioselective Diels-Alder Reaction of 8a with 9b on Large Scale (Table 1 (entry 5). α -(*p*-Methoxybenzoyloxy)acrolein (9b, 500 mg, 2.43 mmol) was added to a solution of 6 (73.6 mg, 0.24 mmol) and $C_6F_5SO_3H$ (165 mg, 0.67 mmol) in EtNO₂ (3.0 mL) at -20 °C and stirred for 5 min at same temperature. After the mixture was stirred at the 0 °C for 10 min, and cooled to -20 °C again. The diene 8 (536 mg, 2.67 mmol) was added in one portion at same temperature. After 5 min, the reaction was stirred for 2.5 days at 0 °C. The reaction was quenched with Et₃N, the crude product was purified directly by chromatography on a silica gel column (hexane/EtOAc 10:1 to 5:2) to give **10ab** in 81% yield, 92% ee (800 mg, 1.97 mmol).

PhOOC

CHO (1R 4P 7

(1R,4R,7S)-(-)-7-Formyl-1-phenoxycarbonyl-2-azabicyclo[2.2.2]oct-5-ene-7-yl

Benzoate (10aa, *endo*-isomer, Table 1, entry 1): Colorless oil; $[\alpha]^{19}{}_{D}$ -27.6 (c 1.00, CHCl₃) for 88% ee; HPLC (Daicel CHIRALPAK OD-3 column, hexane-*i*-PrOH = 9:1, flow rate = 1.0 mL/min) *t*_R = 23.7 (major) and 28.4 (minor) min; IR (CHCl₃) 17.16, 1404, 1290, 1205 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (1/1)) δ 1.78 (dd, *J* = 14.6, 2.3 Hz, 0.5H), 1.85 (dd, *J* = 14.6, 2.3 Hz, 0.5H), 2.54 (dt, *J* = 14.6, 3.2 Hz, 0.5H), 2.62 (dt, *J* = 14.6, 3.2 Hz, 0.5H), 3.03-3.12 (m, 1H), 3.21 (dt, *J* = 10.5, 2.3 Hz, 0.5H), 3.31 (dt, *J* = 10.1, 2.3 Hz, 0.5H), 3.58 (dd, *J* = 10.6, 2.3 Hz, 0.5H), 3.69 (dd, *J* = 10.3, 2.3 Hz, 0.5H), 5.24 (d, *J* = 6.4 Hz, 0.5H), 5.34 (d, *J* = 6.4 Hz, 0.5H), 6.30 (dd, *J* = 7.1, 6.4 Hz, 0.5H), 6.39 (dd, *J* = 7.3, 7.1 Hz, 0.5H), 6.69 (dd, *J* = 14.6, 2.3 Hz, 1H), 7.02 (d, *J* = 7.3 Hz, 0.5H), 7.08 (d, *J* = 7.8 Hz, 0.5H), 7.12-7.25 (m, 1H), 7.31 (t, *J* = 8.0 Hz, 1H), 7.37 (dd, *J* = 8.0, 7.8 Hz, 1H), 7.44 (dd, *J* = 7.6, 7.3 Hz, 1H), 7.46 (dd, *J* = 7.6, 7.3 Hz, 1H),

8.05 (d, J = 7.4 Hz, 1H), 8.09 (d, J = 6.9 Hz, 1H), 9.51 (s, 0.5H), 9.55 (s, 0.5H); ¹³C NMR (CDCl₃, 100 MHz) δ 30.5 and 30.9, 32.0 and 32.4, 46.8 and 47.0, 49.3 and 50.1, 86.1 and 86.6, 121.4 and 121.7 (2C), 125.3 and 125.5, 127.4 and 127.8, 128.6 (2C), 129.2 and 129.3 (2C), 130.0 and 130.2 (2C), 133.9 and 133.9, 137.8 and 137.8, 151.0 and 151.2, 153.3, 154.4, 166.0 and 166.1, 195.3 and 195.5; HRMS (FAB) calcd for C₂₂H₂₀NO₅ [M+H]⁺ 378.1336, found 378.1340.



(1R,4R,7S)-(-)-7-Formyl-1-phenoxycarbonyl-2-azabicyclo-

[2.2.2]-oct-5-ene-7-yl *p*-Methoxybenzoate (10ab, *endo*-isomer, Table 1, entries 2–4): Colorless oil; $[\alpha]^{21}_{D}$ -22.4 (*c* 1.00, CHCl₃) for 95% ee; HPLC (Daicel CHIRALPAK OD-H column, hexane*i*-PrOH = 4:1, flow rate = 1.0 mL/min) *t*_R = 19.3 (minor) and 22.2 (major) min; IR (CHCl₃) 1716, 1605, 1404, 1258 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (1/1)) δ 1.76 (dd, *J* = 14.2, 1.8 Hz, 0.5H), 1.82 (dd, *J* = 14.5, 1.8 Hz, 1H), 2.52 (d, *J* = 14.2 Hz, 0.5H), 2.61 (d, *J* = 14.5 Hz, 1H), 3.02–3.10 (m, 1H), 3.20 (d, *J* = 10.6 Hz, 0.5H), 3.30 (d, *J* = 10.6 Hz, 0.5H), 3.58 (d, *J* = 9.2 Hz, 0.5H), 3.68 (d, *J* = 9.4 Hz, 0.5H), 5.26 (d, *J* = 6.0 Hz, 0.5H), 5.31 (d, *J* = 6.0 Hz, 0.5H), 6.28 (t, *J* = 6.9 Hz, 0.5H), 6.38 (dd, *J* = 6.9 Hz, 0.5H), 6.62–6.72 (m, 1H), 6.90 (d, *J* = 7.3 Hz, 1H), 6.92 (d, *J* = 7.3 Hz, 1H), 7.03 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.8 Hz, 1H), 7.19 (dd, *J* = 7.6, 7.3 Hz, 1H), 7.23 (t, *J* = 7.3 Hz, 1H), 7.32 (t, *J* = 7.8 Hz, 1H), 7.38 (t, *J* = 7.8 Hz, 1H), 7.99 (d, *J* = 8.7 Hz, 1H), 8.03 (d, *J* = 8.7 Hz, 1H), 9.50 (s, 0.5H), 9.55 (s, 0.5H); ¹³C NMR (CDCl₃, 100 MHz) δ 30.5 and 30.9, 32.0 and 32.5, 46.8 and 47.0, 49.3 and 50.2, 55.5, 85.8 and 86.3, 113.9 and 113.9 (2C), 120.8 and 121.1, 121.5 and 121.7 (2C), 125.3 and 125.5, 127.4 and 127.9, 129.2 and 129.3 (2C), 132.2 and 132.3 (2C), 137.7, 151.0 and 151.2, 153.3 and 154.4, 164.0 and 164.1, 165.7 and 165.9, 195.5 and 195.6; HRMS (FAB) calcd for C22H22NO6 [M+H]⁺ 408.1442, found 408.1441.



(1R,4R,7S)-(-)-7-Formyl-6-methyl-1-phenoxycarbonyl-2-

azabicyclo[2.2.2]oct-5-ene-7-yl *p*-Methoxybenzoate (10bb, *endo*-isomer, Table 2, entry 1): Colorless oil; $[\alpha]^{21}_{D}$ -41.7(*c* 1.15, CHCl₃) for 88% ee; HPLC (Daicel CHIRALPAK OZ-H column, hexane–*i*-PrOH = 4:1, flow rate = 1.0 mL/min) *t*_R = 40.8 (major), 67.5 (minor) min; IR (CHCl₃) 1715, 1606, 1403, 1259, 1206, 1169 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (1/1)) δ 1.73 (dd, *J* = 14.6, 2.3 Hz, 0.5H), 1.80 (dd, *J* = 14.2, 2.3 Hz, 0.5H), 1.96 (s, 3H), 2.56 (dt, *J* = 14.2, 2.8 Hz, 0.5H), 2.63 (dt, *J* = 14.2, 2.8 Hz, 0.5H), 2.73–2.85 (m, 1H), 3.22 (dt, *J* = 10.5, 2.3 Hz, 0.5H), 3.54 (dd, *J* = 10.5, 1.8 Hz, 0.5H), 3.65 (dd, *J* = 10.5, 1.8 Hz, 0.5H), 3.86 (s, 1.5H), 3.87 (s, 1.5H), 5.10 (d, *J* = 6.4 Hz, 0.5H), 5.21 (d, *J* = 6.4 Hz, 0.5H), 5.86 (d, *J* = 6.4 Hz, 0.5H), 5.96 (d, *J* = 6.0 Hz, 0.5H), 6.89 (d, *J* = 8.7 Hz, 1H), 6.91 (d, *J* = 8.7 Hz, 1H), 7.19 (dd, *J* = 7.6, 7.3 Hz, 0.5H), 7.23 (dd, *J* = 7.8, 7.4 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.3 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7.10 (d, *J* = 7.8 Hz, 0.5H), 7.05 (d, *J* = 7.8 Hz, 1H), 7 1H), 7.33 (dd, J = 8.0, 7.8 Hz, 1H), 7.38 (t, J = 7.8 Hz, 1H), 7.99 (d, J = 8.7 Hz, 1H), 8.03 (d, J = 8.7 Hz, 1H), 9.48 (s, 0.5H), 9.53 (s, 0.5H); ¹³C NMR (CDCl₃, 100 MHz) δ 19.7 and 19.8, 31.4 and 32.0, 36.0 and 36.4, 46.4 and 46.6, 50.2 and 51.0, 55.5, 86.0 and 86.6, 113.8 (2C), 119.7 and 120.2, 121.5 and 121.8 (2C), 125.2 and 125.4, 129.2 and 129.3 (2C), 132.1 and 132.3 (2C), 147.7 and 147.9, 151.1 and 151.3, 153.2, 154.3, 164.0 and 164.1, 165.8 and 165.9, 195.5 and 195.8; HRMS (FAB) calcd for C₂₄H₂₄NO₆ [M+H]⁺ 422.1598, found 422.1598.



(1R,4R,7S)-(-)-7-Formyl-6-isopropyl-1-phenoxycarbonyl-2-

azabicyclo[2.2.2]oct-5-ene-7-yl p-Methoxybenzoate (10cb, endo-isomer, Table 2, entry 2): Colorless oil; $\left[\alpha\right]^{21}$ –45.6 (c 1.00, CHCl₃) for 80% ee; HPLC (Daicel CHIRALPAK AD-3 column, hexane-*i*-PrOH = 4:1, flow rate = 1.0 mL/min) t_R = 21.6 (minor), 38.2 (major) min; IR (CHCl₃) 1716, 1605, 1403, 1259 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (1/1)) δ 1.11 (d, J = 1.8 Hz, 3H), 1.13 (d, J = 1.8 Hz, 3H), 1.75 (dd, J = 14.2, 2.3 Hz, 0.5H), 1.82 (dd, J = 14.2, 2.3 Hz, 0.5H), 2.44–2.64 (m, 2H), 2.90–3.12 (m, 1H), 3.15 (dt, J = 10.5, 2.3 Hz, 0.5H), 3.25 (dt, J = 10.1, 2.3 Hz, 0.5H), 3.58 (dd, J = 10.5, 1.8 Hz, 0.5H), 3.69 (dd, J = 10.1, 2.3 Hz, 0.5H), 3.86 (s, 1.5H), 3.87 (s, 1.5H), 5.15 (d, J = 6.4 Hz, 0.5H), 5.25 (d, J = 6.4 Hz, 0.5H), 5.80 (d, J = 6.8 Hz, 0.5H), 5.90 (d, J = 6.8 Hz, 0.5H), 6.89 (d, J = 8.7 Hz, 1H), 6.91 (d, J = 8.7 Hz, 1H), 7.07 (d, J = 7.3 Hz, 1H), 7.11 (d, J = 7.3 Hz, 1H), 7.19 (dd, J = 7.8, 7.1 Hz, 1H), 7.23 (t, J = 7.3 Hz, 1H), 7.34 (dd, J = 7.3 9.8, 8.2 Hz, 1H), 7.38 (dd, J = 8.0, 7.3 Hz, 1H), 7.99 (d, J = 8.7 Hz, 1H), 8.04 (d, J = 9.2 Hz, 1H), 9.47 (s, 0.5H), 9.52 (s, 0.5H); ¹³C NMR (CDCl₃, 100 MHz) δ 20.0, 32.1 and 32.5, 33.4 and 33.8, 47.3 and 47.4, 50.3 and 51.1, 55.5, 86.1 and 86.7, 113.8 (2C), 116.3 and 116.8, 121.0 and 121.1, 121.5 and 121.8 (2C), 125.2 and 125.4, 128.3, 129.2 and 129.3 (2C), 132.2 and 132.3 (2C), 151.1 and 151.3, 153.2 and 154.3, 156.8 and 157.1, 164.0 and 164.1, 165.8 and 165.9, 195.4 and 195.7; HRMS (FAB) calcd for C₂₆H₂₈NO₆ $[M+H]^+$ 450.1911, found 450.1915.



(1R,4R,7S)-(-)-7-Formyl-6-(p-methoxybenzoyl)oxy-1-

phenoxycarbonyl-2-azabicyclo[2.2.2]oct-5-ene-7-yl *p*-Methoxybenzoate (10db, *endo*-isomer, **Table 2, entries 3 and 4**): Colorless oil; $[\alpha]^{25}_{D}$ -47.8 (*c* 0.95, CHCl₃) for 95% ee; HPLC (Daicel CHIRALPAK IA-3 column, hexane–*i*-PrOH =1:1, flow rate = 0.5 mL/min) *t*_R = 44.1 (minor), 60.2 (major) min; IR (CHCl₃) 1718, 1605, 1511, 1402, 1259, 1162 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz) a mixture of rotamers (2/1): δ 1.87 (dd, *J* = 14.4, 2.3 Hz, 0.3H), 1.94 (dd, *J* = 14.2, 2.3 Hz, 0.5H), 2.98 (dt, *J* = 10.5, 2.3 Hz, 0.3H), 3.02 (dd, *J* = 11.0, 2.8 Hz, 0.7H), 3.12–3.17 (m, 1H), 3.62–3.66 (m, 1H), 3.71 (d, *J* = 2.3 Hz, 0.3H), 3.74 (d, *J* = 1.8 Hz, 0.7H), 3.81 (dd, *J* = 2.3, 2.3 Hz, 0.7H), 3.84 (dd, *J* = 2.5, 2.3 Hz, 0.3H), 3.87 (s, 1H), 3.88 (s, 2H), 3.40 (s, 3H), 5.35 (d, *J* = 6.9 Hz, 0.7H), 5.42

(d, J = 6.9 Hz, 0.3H), 5.93 (dd, J = 7.1, 2.8 Hz, 0.7H), 6.06 (dd, J = 7.1, 2.8 Hz, 0.3H), 6.90 (d, J = 10.6 Hz, 0.7H), 6.92 (d, J = 6.9 Hz, 1.3H), 6.98 (d, J = 11.5 Hz, 2H), 7.04 (d, J = 7.4 Hz, 0.7H), 7.11 (d, J = 7.4 Hz, 1.3H), 7.19 (dd, J = 7.6, 7.3 Hz, 0.3H), 7.24 (t, J = 7.3 Hz, 0.7H), 7.34 (t, J = 6.0 Hz, 0.7H), 7.38 (dd, J = 7.8, 7.4 Hz, 1.3H), 9.59 (s, 0.7H), 9.65 (s, 0.3H); ¹³C NMR (CDCl₃, 100 MHz) δ 32.1 and 32.7, 35.1 and 35.4, 47.1 and 47.3, 50.9 and 51.7, 55.5 (2C), 85.9 and 86.4, 109.7 and 110.1, 113.9 and 113.9 (4C), 120.6 and 120.8, 121.5 and 121.8 (2C), 125.3 and 125.5 129.2 and 129.3 (2C), 132.2 and 132.3 (4C), 151.0 and 151.2, 153.0, 154.3, 156.6 and 156.8, 164.1 and 164.2, 165.7, 195.4 and 195.7; HRMS (FAB) calcd for C₃₁H₂₈NO₉ [M+H]⁺ 558.1759, found 558.1761.



(1R,4R,7S)-(-)-6-(*tert*-Butyldimethylsilyloxy)methyl-7-

formyl-1-phenoxycarbonyl-2-azabicyclo[2.2.2]oct-5-ene-7-yl p-Methoxybenzoate (10eb, endo-isomer, Table 2, entry 5): Colorless oil; $\left[\alpha\right]^{25}$ –28.8 (c 1.00, CHCl₃) for 93% ee; HPLC (Daicel CHIRALPAK OD-3 column, hexane-*i*-PrOH = 20:1, flow rate = 1.0 mL/min) $t_{\rm R}$ = 32.3 (minor), 40.7 (major) min; IR (CHCl₃) 1606, 1404, 1259, cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (1/1)) δ 0.11 (s, 3H), 0.12 (s, 3H), 0.93 (s, 9H), 1.76 (d, J = 13.3 Hz, 0.5H), 1.84 (d, J = 14.2 Hz, 0.5H), 2.52 (d, J = 14.7 Hz, 0.5H), 2.60 (d, J = 14.2 Hz, 0.5H), 2.94 (m, 1H), 3.20 (d, J = 10.5 Hz, 0.5H), 3.30 (d, J = 10.1 Hz, 0.5H), 3.58 (d, J = 10.5 Hz, 0.5H), 3.68 (d, J = 110.1 Hz, 0.5H), 3.86 (s, 1.5H), 3.87 (s, 1.5H), 4.31 (s, 2H), 5.20 (d, J = 6.4 Hz, 0.5H), 5.31 (d, J =6.4 Hz, 0.5H), 6.07 (d, J = 5.5 Hz, 0.5H), 6.17 (d, J = 5.0 Hz, 0.5H), 6.89 (d, J = 8.2 Hz, 1H), 6.91 (d, J = 8.2 Hz, 1H), 7.04 (d, J = 7.8 Hz, 1H), 7.09 (d, J = 7.8 Hz, 1H), 7.19 (t, J = 7.8 Hz, 0.5H),7.23 (dd, J = 8.0, 8.7 Hz, 0.5H), 7.33 (t, J = 8.2 Hz, 1H), 7.38 (t, J = 7.8 Hz, 1H), 7.99 (d, J = 9.2Hz, 1H), 8.03 (d, J = 8.7 Hz, 1H), 9.48 (s, 0.5H), 9.53 (s, 0.5H); ¹³C NMR (CDCl₃, 100 MHz) δ – 5.5 and -5.4 (2C), 18.3, 25.8 (3C), 31.8 and 31.8, 32.2 and 32.4, 46.8 and 46.9, 50.1 and 50.9, 55.5, 62.6 and 62.7, 86.1 and 86.6, 113.8 (2C), 118.8 and 119.2, 120.9 and 121.1, 121.5 and 121.8 (2C), 125.2 and 125.4, 129.2 and 129.3 (2C), 132.2 and 132.3 (2C), 150.5 and 150.7, 151.1 and 151.2, 153.2 and 154.3, 164.0 and 164.1, 165.7 and 165.8, 195.3 and 195.6; HRMS (FAB) calcd for $C_{30}H_{38}NO_7Si[M+H]^+$ 552.2412, found 552.2409.

Derivatation of *Endo*-10ab to *Endo*-1 (Scheme 4)⁵



To a solution of *endo*-10ab (50 mg, 0.12 mmol) in MeOH (0.25 mL) was added sodium methoxide (26 mg, 0.48 mmol) at 0 °C and the mixture was stirred for 15 min. KOH (0.25 mL of

1.9 *M* solution in MeOH, 0.96 mmol) and I₂ (122 mg, 0.48 mmol) were added sequentially to the mixture, and stirred for 1 h at same temperature. The reaction was quenched by the successive addition of aqueous sodium thiosulfate, and then reaction mixture was warmed up to ambient temperature. The mixture was extracted with EtOAc (10 mL \times 3). The combined organic layers were dried over Na₂SO₄, filtrated and concentrated. The residue was purified by column chromatography on silica gel (hexane–EtOAc 1:1 to 0:1) to give **12** (16 mg, 0.067 mmol) in 56% yield.

To a solution of **12** (28 mg, 0.12 mmol), DMAP (21 mg, 0.17 mmol) and triethylamine (48 μ L, 0.35 mmol) in CH₂Cl₂ (0.5 mL) was added acetic anhydride (22 μ L, 0.23 mmol) at 0 °C and stirred for 12 h at the same temperature. The resultant mixture was directly purified by chromatography on a silica gel column (hexane/EtOAc 1:1) to give *endo-1* (29 mg, 0.10 mmol) in 88% yield.

PhOOC N H MeO O U

^{COMe} Intermediate (11): IR (CHCl₃) 1714, 1605, 1510, 1408, 1259, 1209,

1169 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (4/3) and stereoisomers (2/1)) δ 1.48–1.71 (m, 1.3H), 1.74–1.89 (m, 0.7H), 2.76–2.93 (m, 1.3H), 2.61–2.71 (m, 0.7H), 3.11–3.16 (m, 0.4H), 3.22–3.28 (m, 0.6H), 3.44–3.51 (m, 3H), 3.51–3.60 (m, 0.5H), 3.64–3.72 (m, 0.5H), 3.82–3.90 (m, 3H), 4.71 (d, *J* = 6.0 Hz, 0.3H), 4.76 (d, *J* = 6.4 Hz, 0.1H), 4.86 (d, *J* = 6.4 Hz, 0.4H), 4.88 (d, *J* = 7.8 Hz, 0.2H), 5.71–5.78 (m, 1H), 6.38–6.62 (m, 2H), 6.87–6.98 (m, 2H), 7.08–7.23 (m, 3H), 7.29–7.42 (m, 2H), 7.98–8.12 (m, 2H); ¹³C NMR (CDCl₃, 100 MHz) δ 30.6 and 30.9, 33.4 and 33.5 and 34.8, 46.7 and 47.1, 52.2 and 52.9 and 53.8, 55.5, 55.5, 57.0 and 57.1 and 57.8, 78.8, 99.1 and 99.7, 113.7 and 113.8 (2C), 121.7 and 121.8 (2C), 125.0 and 125.1 and 125.2, 129.1 (2C), 129.2 and 130.0 and 130.5 and 132.1, 135.6 (2C), 136.3 and 136.7, 151.3, 155.2 and 155.5, 164.1; HRMS (FAB) calcd for C₂₄H₂₆NO₇ [M+H]⁺ 440.1704, found 440.1700.

MeOOC

(1*R*,4*R*,7*S*)-Dimethyl 7-Hydroxy-2-azabicyclo[2.2.2]oct-5-ene-2,7- dicarboxylate

(12): IR (CHCl₃) 1737, 1684, 1457, 1399, 1273, 1124 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (2/1)) δ 1.50–1.60 (m, 1H), 2.29–2.40 (m, 1H), 2.82–2.90 (m, 1H), 2.90–3.08 (m, 1H), 3.38–3.48 (m, 1H), 3.71 (s, 2.1H), 3.72 (s, 0.9H), 3.74 (s, 2.1H), 3.75 (s, 0.9 H), 4.73 (d, *J* = 6.4 Hz, 0.3H), 4.87 (d, *J* = 6.0 Hz, 0.7H), 6.27 (dd, *J* = 8.2, 7.8 Hz, 0.3H), 6.29 (dd, *J* = 7.8, 7.3 Hz, 0.7H), 6.47 (t, *J* = 7.3 Hz, 1H); ¹³C NMR (CDCl₃, 100 MHz) δ 30.5 and 30.7, 34.7 and 35.1, 46.4 and 46.8, 52.6 and 52.7, 52.6 and 52.7, 53.3 and 53.8, 78.1 and 78.2, 129.2 and 129.5, 136.1

and 136.2, 156.4 and 157.4, 173.2; HRMS (FAB) calcd for C11H16NO5 [M+H]⁺ 242.1023, found 242.1026.

MeOOC

COOMe (1R,4R,7S)-(-)-Dimethyl 7-Acetoxy-2-azabicyclo[2.2.2]oct-5-ene-2,7-dicarboxy-

late (*endo*-1): Clear yellow oil; $[α]^{25}_{D}$ -37.8 (*c* 1.00, CHCl₃) for 91% ee; IR (CHCl₃) 1750, 1702, 1451, 1395, 1245, cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (1/1)) δ 1.60–1.70 (m, 1H), 2.06 (s, 3H), 2.33–2.43 (m, 1H), 2.87–2.94 (m, 1H), 2.99–3.07 (m, 1H), 3.33–3.43 (m, 1H), 3.69 (s, 1.5H), 3.69 (s, 1.5H), 3.71 (s, 1.5H), 3.72 (s, 1.5H), 5.03 (d, *J* = 6.4 Hz, 0.5H), 5.16 (d, *J* = 6.4 Hz, 0.5H), 6.23–6.38 (m, 1H), 6.43–6.53 (m, 1H); ¹³C NMR (CDCl₃, 100 MHz) δ 20.5 and 20.6, 30.1 and 30.4, 34.4 and 34.4, 46.1 and 46.4, 50.0 and 50.4, 52.3, 52.4, 81.8 and 81.8, 128.6 and 129.0, 135.3 and 135.7, 155.7 and 156.2, 170.2 and 170.3; HRMS (FAB) calcd for C₁₃H₁₈NO₆ [M+H]⁺ 284.1129, found 284.1128.

Derivatization of endo-10ab to (-)-4 (Scheme 5)



To a solution of Diels-Alder adduct (10ab, 615 mg, 1.51 mmol) in MeOH (10 mL) was added NaBH₄ (57 mg, 1.7 mmol) portion wise at 0 °C and stirred for 1 h at same temperature. The reaction mixture was quenched with a saturated NH₄Cl aqueous solution and the solution was stirred until H₂ bubble stopped and then warmed up to ambient temperature. The mixture was extracted with EtOAc (50 mL \times 3). The combined organic layers were washed with brine (100 $mL \times 2$), dried over Na₂SO₄, filtrated and concentrated. The residue was purified by column chromatography silica gel (hexane-EtOAc 1:1)on to give (1R,4R,7S)-7-hydroxymethyl-1-phenoxycarbonyl-2-azabicyclo[2.2.2]oct-5-ene-7-yl p-methoxybenzoate (13) in 82% yield (507 mg, 1.24 mmol).

(1*R*,4*R*,7*S*)-7-Hydroxymethyl-1-phenoxycarbonyl-2-azabicyclo-[2.2.2]-oct-5-ene-7-yl *p*-Methoxybenzoate (13): IR (CHCl₃) 1710, 1606, 1409, 1257 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (5/1)) δ 1.49 (dt, *J* = 13.8, 2.8 Hz, 0.8H), 1.58 (d, *J* = 8.3 Hz, 0.2H), 1.66 (d, *J* = 7.4 Hz, 0.2H), 1.74 (dd, *J* = 12.2, 2.3 Hz, 0.8H), 2.67 (s, 0.2H), 2.71 (s, 0.8H), 2.87–2.96 (m, 1H), 3.18 (d, *J* = 10.5 Hz, 0.2H), 3.30 (dt, *J* = 10.5, 2.8 Hz, 0.8H), 3.57 (dd, *J* = 10.5, 1.8 Hz, 0.2H), 3.74 (dd, *J* = 10.3, 1.8 Hz, 0.8H), 3.85 (s, 3H), 4.10 (d, *J* = 11.4 Hz, 0.8 H), 4.128 (d, *J* = 11.4 Hz, 0.2H), 4.130 (d, *J* = 11.4 Hz, 0.8H), 4.19 (d, *J* = 5.7 Hz, 0.2H), 4.88 (d, *J* = 6.0 Hz, 0.2H), 4.90 (d, *J* = 6.0 Hz, 0.8H), 6.44–6.55 (m, 2H), 6.90 (d, *J* = 6.9 Hz, 2H), 7.14 (d, *J* = 7.3 Hz, 2H), 7.20 (t, *J* = 7.3 H), 7.36 (t, *J* = 7.8 Hz, 2H), 7.99 (d, *J* = 8.2 Hz, 0.3H), 8.01 (d, *J* = 8.7 Hz, 1.7H); ¹³C NMR (CDCl₃, 100 MHz, major rotamer) δ 31.0, 34.8, 47.0, 52.8, 55.4, 69.1, 76.2, 113.7 (2C), 121.8 (2C), 125.3, 129.2 (2C), 130.7, 131.7, 131.8 (2C), 135.3, 151.3, 155.6, 163.6, 165.9; HRMS (FAB) calcd for C₂₃H₂₄NO₆ [M+H]⁺ 410.1598, found 410.1596.

To a solution of alcohol **13** (460 mg, 1.12 mmol) in MeOH (10 mL) was added sodium methoxide (240 mg, 4.5 mmol) at ambient temperature and stirred for 1 h. The resultant mixture was concentrated and the crude product was purified by chromatography on a silica gel column (hexane/EtOAc 1:1 to 0:1) to give diol **7** in 96% yield (229 mg, 1.06 mmol).

Methyl (1*R*,4*R*,7*S*)-7-hydroxy-7-(hydroxymethyl)-2-azabicyclo[2.2.2]oct-5-ene-2-Carboxylate (7): Colorless oil; IR (CHCl₃) 1683, 1458, 1400, cm⁻¹; ¹H NMR (CD₃OD, 400 MHz, a mixture of rotamers (1/1)) δ 1.33 (dt, *J* = 4.6, 2.8 Hz, 0.5H), 1.37 (dt, *J* = 4.6, 2.8 Hz, 0.5H), 1.47 (dd, *J* = 4.6, 2.8 Hz, 0.5H), 1.44 (dd, *J* = 4.8, 2.8 Hz, 0.5H), 2.79–2.88 (m, 1H), 3.04 (dt, *J* = 8.7, 2.3 Hz, 0.5H), 3.06 (dt, *J* = 8.7, 2.3 Hz, 0.5H), 3.31–3.32 (m, 2H), 3.42 (dt, *J* = 10.3, 1.8 Hz, 0.5H), 3.45 (dd, *J* = 10.3, 1.8 Hz, 0.5H), 3.71 (s, 1.5H), 3.72 (s, 1.5H), 4.66 (dd, *J* = 4.1, 3.7 Hz, 0.5H), 4.70 (dd, *J* = 5.5, 1.6 Hz, 0.5H), 6.39–6.48 (m, 2H); ¹³C NMR (CD₃OD, 100 MHz) δ 32.2 and 32.4, 35.5 and 35.6, 47.8 and 48.2, 53.0 and 53.0, 53.7 and 54.1, 68.9, 78.0 and 78.3, 132.2 and 132.3, 135.9 and 135.9, 158.3 and 158.8; HRMS (FAB) calcd for C10H16NO4 [M+H]⁺ 214.1074, found 214.1071.



To a solution of diol 7 (250 mg, 1.17 mmol), Na₂HPO₄ (400 mg, 2.34 mmol) in EtOH (4 mL) and H₂O (8 mL) was added NaIO₄ (330 mg, 1.52 mmol) at 0 °C and stirred for 2 h at the same temperature. The reaction mixture was quenched by the successive addition of aqueous sodium thiosulfate (20 mL), and then reaction mixture was warmed up to ambient temperature. The mixture was extracted with Et₂O (50 mL × 3). The combined organic layers were washed with Brine (30 mL × 2) and dried over Na₂SO₄, filtrated and concentrated. The residue was purified by column chromatography on silica gel (hexane–EtOAc 20:1) to give **14** in 80% yield (170 mg, 0.94 mmol).

Methyl (1*R*,4*R*)-7-oxo-2-azabicyclo[2.2.2]oct-5-ene-2-carboxylate (14): IR (CHCl₃) 1736, 1699, 1448, 1889, 1283, 1113 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (3/2)) δ 2.22 (s, 2H), 3.13–3.23 (m, 2H), 3.43–3.54 (m, 1H), 3.72 (s, 3H), 4.85 (d, *J* = 6.4 Hz, 0.6H), 5.02 (d, *J* = 5.9 Hz, 0.4H), 6.38–6.48 (m, 1H), 6.62–6.72 (m, 1H); ¹³C NMR (CDCl₃, 100 MHz) δ 32.0 and 32.2, 36.4 and 36.5, 46.0 and 46.4, 52.8, 57.2 and 57.8, 127.7 and 128.3, 139.1 and 139.7, 155.2, 202.8 and 202.9; HRMS (FAB) calcd for C9H11NO₃Na [M+Na]⁺ 204.0631, found 204.0633.



To a solution of ketone **14** (22 mg, 0.12 mmol) and Ethylenedioxybis(trimethylsilane) (177 μ L, 0.72 mmol) in CH₂Cl₂ (0.5 mL) was added a solution of TfOH (10 μ l, 0.01 mmol) in CH₂Cl₂ (0.5 mL) dropwise at -78 °C and stirred for 1 h at same temperature.⁶ The solution was warmed to -20 °C and stirred for 1 d. The reaction mixture was quenched by a saturated NaHCO₃ aqueous solution (3 mL) and then warmed up to ambient temperature. The mixture was extracted with EtOAc (50 mL × 3). The combined organic layers were washed with brine (100 mL × 2), dried over Na₂SO₄, filtrated and concentrated. The residue was purified by column chromatography on silica gel (hexane–EtOAc 1:1) to give acetal **4** in >99% yield (27 mg, 0.12 mmol).

Methyl 2-azaspiro[bicyclo[2.2.2]oct[5]ene-7,2'-[1,3]dioxolane]-2-carboxylate (4):⁷ Colorless oil; $[α]^{26}{}_D$ –65 (c 0.40, CHCl₃) for 91% ee; IR (CDCl₃) 1696, 1455, 1396 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz) a mixture of rotamers (2/1) δ 1.78–1.93 (m, 2H), 2.80–2.89 (m, 1H), 3.00 (dd, *J* = 11.8, 10.1 Hz, 1H), 3.36 (t, *J* = 9.8 Hz, 1H), 3.70 (s, 2H), 3.72 (s, 1H), 3.91–4.01 (m, 2H), 4.01–4.12 (m, 2H), 4.27 (d, *J* = 5.9 Hz, 0.3H), 4.69 (d, *J* = 5.9 Hz, 0.7H), 6.34–6.44 (m, 1H), 6.44–6.54 (m, 1H); ¹³C NMR (CDCl₃, 100 MHz) δ 31.1 and 31.3, 37.8, 45.9 and 46.3, 49.8 and 50.5, 52.4, 64.1 and 64.3, 64.8, 111.0, 130.1 and 130.7, 135.3 and 135.8, 155.9 and 156.5; HRMS (FAB) calcd for C₁₁H₁₆NO₄ [M+H]⁺ 226.1074, found 226.1077.

Derivatization of 7 to (+)-5 (Scheme 6)



To a solution of diol 7 (190 mg, 0.89 mmol) and 2,2-dimethoxy propane (560 μ L, 4.45 mmol) in THF (5 mL) was added TsOH (15.2 mg, 0.09 mmol) at ambient temperature and stirred for 1 h at the same temperature. The reaction mixture was quenched with a saturated NaHCO₃ aqueous solution (10 mL). The mixture was extracted with EtOAc (50 mL × 3). The combined organic layers were dried over Na₂SO₄, filtrated and concentrated. The residue was purified by column chromatography on silica gel (hexane–EtOAc 1:1) to give **15** in 86% yield (195 mg, 0.77 mmol).

Methyl (1R,2S,4R)-2',2'-dimethyl-6-azaspiro[bicyclo[2.2.2]octane-2,4'-[1,3]-

dioxolan]-7-ene-6-carboxylate (15): IR (CHCl₃) 1699, 1451, 1397 cm⁻¹; ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (1/1)) δ 1.33 (s, 1.5H), 1.35 (s, 1.5H), 1.46 (s, 1.5H), 1.53 (s, 1.5H), 1.57–1.66 (m, 1H), 1.81 (dd, J = 5.3, 2.3 Hz, 0.5H), 1.84 (dd, J = 5.0, 2.3 Hz, 0.5H), 2.75–2.84 (m, 1H), 3.04 (dt, J = 10.5, 2.8 Hz, 0.5H), 3.07 (dt, J = 10.5, 2.8 Hz, 0.5H), 3.44 (dd, J = 10.1, 1.8 Hz,

1H), 3.49 (dd, J = 8.7, 4.6 Hz, 1H), 3.69 (s, 3H), 3.82 (t, J = 9.2 Hz, 1H), 4.55 (d, J = 6.0 Hz, 0.5H), 4.74 (d, J = 6.0 Hz, 0.5H), 6.31–6.38 (m, 1H), 6.38–6.48 (m, 1H); ¹³C NMR (CDCl₃, 100 MHz) δ 26.0 and 26.2, 27.1 and 27.5, 30.1 and 31.2, 36.1 and 36.3, 46.5 and 46.7, 52.0 and 52.2, 52.4 and 53.1, 73.3 and 73.3, 83.7 and 83.8, 109.4 and 109.5, 130.5 and 131.1, 136.1 and 136.7, 155.9 and 156.3; HRMS (FAB) calcd for C₁₃H₂₀NO₄ [M+H]⁺ 254.1387, found 254.1385.



To a solution of **15** (65 mg, 0.26 mmol) in THF (1 mL) was added MeLi (0.56 mL, 1.14 M in Et_2O) at 0 °C and stirred for 30 min at the same temperature. The reaction mixture was quenched with a saturated NH₄Cl aqueous solution (2 mL) and then warmed up to ambient temperature. To the mixture was added 1 M NaOH aqueous solution untile hydrogen ion concentration reached higher than pH7. The resultant mixture was extracted with Et_2O (10 mL × 3) and CH_2Cl_2 (10 mL× 2). The combined organic layers were dried over Na₂SO₄, filtrated and concentrated.

To a solution of the crude product, *N*,*N*-diisopropylethylamine (88 μ L, 0.52 mmol), HOBt (35 mg, 0.26 mmol) and 3-indole acetic acid (45 mg, 0.26 mmol) in THF (2 mL) was added EDAC (49 mg, 0.26 mmol) at 0 °C and stirred for 3 h at same temperature. The resultant mixture was poured a saturated NaHCO₃ aqueous solution (15 mL) and washed with EtOAc (20 mL × 3). The combined organic layers were dried over Na₂SO₄, filtrated and concentrated. The residue was purified by column chromatography on silica gel (hexane–EtOAc 1:1 to 0:1) to give **17** in 89% yield (81 mg, 0.23 mmol).

1-((1*R***,2***S***,4***R***)-2',2'-dimethyl-6-azaspiro[bicyclo[2.2.2]octane-2,4'-[1,3]dioxolan]-7-en-6-yl)-2-(1** *H***-indol-3-yl)ethan-1-one (16): IR (CHCl₃) ¹H NMR (CDCl₃, 400 MHz, a mixture of rotamers (3/2)) \delta 1.36 (s, 1.8H), 1.38 (s, 1,2H), 1.51 (s, 1.2H), 1.54 (s, 1.8H), 1.62 (dt,** *J* **= 13.8, 2.8 Hz, 0.6H), 1.71 (dt,** *J* **= 13.3, 2.8 Hz, 0.4H), 1.82 (dd,** *J* **= 13.7, 2.8 Hz, 0.6H), 1.91 (dd,** *J* **= 13.7, 2.3 Hz, 0.4H), 2.81–2.88 (m, 0.4H), 2.74–2.81 (m, 0.6H), 3.14–3.23 (m, 1H), 3.49 (d,** *J* **= 9.2 Hz, 0.5H), 3.56 (d,** *J* **= 9.2 Hz, 0.5H), 3.58 (d,** *J* **= 3.2 Hz, 0.6H), 3.61 (d,** *J* **= 1.8 Hz, 0.6H), 3.72–3.74 (m, 1H), 3.78 (d,** *J* **= 9.2 Hz, 0.5H), 3.81 (d,** *J* **= 17.4 Hz, 0.5H), 3.85 (d,** *J* **= 9.2 Hz, 0.5H), 4.01 (d,** *J* **= 15.6 Hz, 0.5H), 4.35 (d,** *J* **= 6.0 Hz, 0.4H), 5.34 (dd,** *J* **= 6.0, 1.4 Hz, 0.6H), 6.04 (t,** *J* **= 6.4 Hz, 0.4H), 6.32–6.45 (m, 1.6H), 6.96 (s, 0.4H), 7.07–7.20 (m, 2.6H), 7.31 (d,** *J* **= 8.2 Hz, 0.6H), 7.32 (d,** *J* **= 7.8 Hz, 0.4H), 7.56 (d,** *J* **= 7.1 Hz, 0.6H), 7.58 (d,** *J* **= 7.6 Hz, 0.4H); ¹³C NMR (CDCl₃, 100 MHz) \delta 25.7 and 26.5, 27.3 and 27.6, 31.0, 31.6 and 31.8, 36.4 and 38.5, 46.9 and 47.5, 50.3, 56.3, 73.4 and 74.4, 83.5 and 83.7, 108.9 and 109.7, 109.9 and 111.1, 118.6, 119.2 and 119.4, 121.8 and 121.9,** 122.6 and 122.8, 127.2 and 127.4, 129.6 and 131.3, 136.1, 136.3 and 137.7, 170.3 and 170.7; HRMS (FAB) calcd for C₂₁H₂₅N₂O₃ [M+H]⁺ 353.1860, found 353.1860.



To a solution of **16** (67 mg, 0.19 mmol) in MeCN (0.5 mL) and H₂O (0.5 mL) was added trifluoroacetic acid (0.5 mL) dropwised at ambient temperature and stirred for 1 h at same temperature. The resultant mixture was quenched with a saturated NaHCO₃ aqueous solution. The mixture was washed with EtOAc (20 mL \times 3). The combined organic layers were washed with brine (50 mL \times 2), dried over Na₂SO₄, filtrated and concentrated. The residue was purified by column chromatography on silica gel (EtOAc–MeOH 1:0 to 5:1) to give **17** in >99% yield (59 mg, 0.19 mmol).

1-((1*R***,4***R***,7***S***)-7-hydroxy-7-(hydroxymethyl)-2-azabicyclo[2.2.2]oct-5-en-2-yl)-2-(1***H***-indol-3-yl)ethan-1-one (17): yellow participate; IR (KBr) 3397, 1606, 1458 cm⁻¹; ¹H NMR (CD₃OD, 400 MHz) a mixture of rotamers (3/2) δ 1.29 (t, J = 2.8 Hz, 0.3H), 1.33 (t, J = 2.7 Hz, 0.7H), 1.43 (d, J = 2.3 Hz, 0.7H), 1.46 (d, J = 2.3 Hz, 0.3H), 2.78–2.83 (m, 1H), 3.08 (dt, J = 11.4, 2.8 Hz, 0.5H), 3.21–3.28 (m, 2.5H), 3.47 (dd, J = 11.7, 1.8 Hz, 0.5H), 3.63 (dd, J = 10.8, 1.8 Hz, 0.5H), 3.72 (d, J = 15.6 Hz, 0.4H), 3.76 (d, J = 2.8 Hz, 1H), 4.16 (d, J = 15.6 Hz, 0.6H), 4.66 (d, J = 6.0 Hz, 0.6H), 5.21 (dd, J = 5.3, 1.8 Hz, 0.4H), 6.05 (ddd, J = 7.8, 6.4, 1.4 Hz, 0.5H), 6.33 (t, J = 7.4 Hz, 0.5H), 6.38 (dt, J = 5.5, 2.8 Hz, 1H), 6.99 (dd, J = 7.8, 7.4 Hz, 1H), 7.08 (dd, J = 8.7, 7.4 Hz, 1H), 7.06 (s, 0.4H), 7.14 (s, 0.6H), 7.32 (d, J = 7.8 Hz, 1H), 7.52 (d, J = 7.8 Hz, 0.5H), 7.58 (d, J = 7.8 Hz, 0.5H); ¹³C NMR (CD₃OD, 100 MHz) δ 32.0 and 32.2, 32.7, 35.5 and 35.8, 48.1, 51.9, 56.4, 68.8 and 68.9, 77.9 and 78.1, 109.5, 112.2, 119.4 and 119.6, 119.8, 122.5 and 122.6, 124.1 and 124.2, 128.5, 131.4 and 132.0, 136.4 and 138.0, 174.0; HRMS (FAB) calcd for C₁₈H₂₁N₂O₃ [M+H]⁺ 313.1547, found 313.1549.**



To a solution of **17** (35 mg, 0.11 mmol) and Na_2HPO_4 (32 mg, 0.22 mmol) in EtOH (0.26 mL) and H_2O (0.52 mL) was added $NaIO_4$ (31 mg, 0.15 mmol) at 0 °C and stirred for 12 h at same temperature. The reaction mixture was quenched by the successive addition of aqueous sodium thiosulfate, and then reaction mixture was warmed up to ambient temperature. The mixture was

washed with EtOAc (20 mL \times 3). The combined organic layers were dried over Na₂SO₄, filtrated and concentrated. The residue was purified by column chromatography on silica gel (hexane-5 85% vield 0.10 **EtOAc** 1:1 0:1)to give in (27 mg, mmol). to (1*R*,4*R*)-(+)-2-(2-(1*H*-indol-3-yl)acetyl)-2-azabicyclo[2.2.2]oct-7-en-6-one **(5):**⁷ vellow participate; $\left[\alpha\right]^{24}$ +88 (c 1.20, CHCl₃) for 91% ee; IR (CHCl₃) 3349, 1716, 1655, 1389, 1229 cm⁻¹; ¹H NMR (CD₂Cl₂, 400 MHz, a mixture of rotamers (3/2)) δ 2.12–2.24 (m, 2H), 3.14–3.21 (m, 1H), 3.23 (d, J = 11.5 Hz, 0.4H), 3.35 (d, J = 9.2 Hz, 0.6H), 3.55–3.63 (m, 1H), 3.74 (s, 1H), 3.80 (d, J = 15.6 Hz, 0.5H), 3.87 (d, J = 15.6 Hz, 0.5H), 4.74 (d, J = 6.4 Hz, 0.4H), 5.45 (d, J = 6.4 Hz, 0.6H), 6.26 (dd, J=7.1, 6.9 Hz, 0.4 H), 6.41 (dd, J=7.1, 6.9 Hz, 0.6 H), 6.67 (dt, J=6.9, 6.4 Hz, 1 H), 7.09 Hz(dd, J = 7.8, 7.6 Hz, 1H), 7.17 (dd, J = 7.8, 7.6 Hz, 1H), 7.05 (s, 1H), 7.36 (d, J = 8.2 Hz, 1H), 7.55 (d, J = 8.2 Hz, 0.5H), 7.58 (d, J = 7.8 Hz, 0.5H); ¹³C NMR (CD₂Cl₂, 100 MHz) δ 31.1 and 31.4, 31.7 and 32.3, 36.1 and 36.2, 45.9 and 46.6, 55.2, 59.8, 108.1 and 108.5, 110.8 and 110.8, 118.2 and 118.4, 119.0, 121.6 and 121.7, 122.4 and 122.5, 126.8 and 127.8, 135.8, 139.0 and 140.0, 169.8 and 169.8, 202.2 and 202.4; HRMS (FAB) calcd for C17H17N2O3 [M+H]⁺ 281.1285, found 218.1289.

Computational Methods

The quantum chemical calculations were performed using the Gaussian09⁸ suites of programs. The structure in Figure 1 was optimized using density functional theory (DFT) methods employing three nonlocal functionals (B3LYP).⁹ The standard 6-31G(d) basis set was used for geometry optimizations of the stable structures. The optimized geometries are also subjected to full frequency analyses at the same level of theory to verify the nature of the stationary points. Equilibrium geometries are characterized by the absence of imaginary frequencies.

Optimized Geometry of Iminium Salt 18•H₂O

```
Method: B3LYP/6-31+G(d)
SCF Done: E(RB3LYP) = -5576.27621811
                                          A.U. after
                                                        6 cvcles
Imaginary frequencies: 0
Zero-point correction=
                                              0.897512 (Hartree/Particle)
Thermal correction to Energy=
                                               0.976990
                                               0.977934
Thermal correction to Enthalpy=
Thermal correction to Gibbs Free Energy=
                                                 0.770864
 Sum of electronic and zero-point Energies=
                                                    -5575.378706
Sum of electronic and thermal Energies=
                                                   -5575.299228
Sum of electronic and thermal Enthalpies=
                                                    -5575.298284
                                                    -5575.505355
Sum of electronic and thermal Free Energies=
```

```
Standard orientation:
```

						·
Center	Atomic	Atomic	Coord	inates	(Angstroms)	
Number	Number	Туре	Х	Y	Z	

1	6	0	2.567748	-1.775695	-4.681694
2	6	0	1.570473	-2.670595	-3.956805
3	6	0	1.940984	-0.366249	-4.574032
4	16	0	-5.375720	0.243593	-0.647843
5	6	0	1.041754	-0.408596	-3.315924
6	7	0	1.164878	-1.822482	-2.775032
7	8	0	-2.309146	0.065240	-2.427135
8	6	0	-0.031899	-2.386106	-2.061311
9	6	0	-0.147601	-2.048382	-0.565949
10	6	0	-1.013021	-3.075459	0.193611
11	7	0	-0.609438	-0.606857	-0.368349
12	6	0	-2.524572	-3.198166	-0.121529
13	6	0	-3.238952	-3.813232	1.094828
14	6	0	-2.834167	-4.035897	-1.374119
15	6	0	-1.002026	-0.242908	1.032387
16	6	0	-1.207647	1.269092	1.215193
17	6	0	-1.230901	1.597218	2.741434
18	7	0	-2.488893	1.668196	0.610934
19	6	0	-1.465418	3.057771	3.045771
20	6	0	-2.853258	2.804730	0.111647
21	8	0	-4.470795	-0.180410	0.482223
22	6	0	-2.736046	3.518569	3.419907
23	6	0	-2.958694	4.874822	3.664643
24	6	0	-1.908995	5.786499	3.537647
25	6	0	-0.635703	5.333557	3.179005
26	6	0	-0.411126	3.979072	2.935214
27	6	0	-2.065424	3.989427	-0.197571
28	8	0	-0.706049	3.912887	-0.474313
29	6	0	-2.664345	5.187929	-0.198468
30	6	0	-0.382285	3.392835	-1.717942
31	8	0	-5.585652	1.706523	-0.668879
32	6	0	0.975548	3.756330	-2.154641
33	8	0	-1.195425	2.752637	-2.357694
34	6	0	1.306022	3.528381	-3.500146
35	6	0	2.570247	3.867841	-3.970318
36	6	0	3.510359	4.425333	-3.098502
37	6	0	3.18350/	4.648209	-1./58404
38	6	0	1.916924	4.321100	-1.281376
39	6	0	-6.964426	-0.532373	-0.2554/4
40	8	0	-4.981664	-0.34/92/	-1.953809
41 40	10	0	2.360709	1.088/84	0.758291
42	8	0	I.550840	1.057209	-0.51/21/
43	6	0	-7.051520	-1.920010	-0.270051
44	6	0	-0.230014	-2.393330	0.021339
45	6	0	-9.373033	-1.000900	0.359550
40	6	0	-9.514075	-0.407220	0.303944
4 / / 2	0	0	-5.980544	-2 677472	-0 562132
40	9	0	-9.900344	-2.07/4/2	-0.502152
49 50	9	0	-10 519470	-3.929079	0.000309
51	9	0	-10.0105626	-2.401103	0.021234
52	9	0	-8 135/98	1 520204	0.129022
52 53	8	0	2 224293	2 38/29/	1 158590
55 54	6	0	2.224293 1 097189	2.JU4294 1 001/50	T.430390
55 55	Q	0	7.09/409 2 116017		1 586551
55 56	6	0	2.11001/ 2 529697	0 970051	-1 092699
50 57	6	0		0 906176	-1 410445
5.8	6	0	6 838512	0 272515	-0 400380
59 59	6	0	6 434357	0 906064	0 931527
5 J 6 D	6	0	5 078445	0 966868	1 232617
50	0	0	0.0/0110	0.200000	

61	9	0	3.684047	1.018204	-2.130523
62	9	0	6.269281	0.885338	-2.693158
63	9	0	8.136158	0.817709	-0.704534
64	9	0	7.345613	0.877797	1.907261
65	9	0	4.732598	0.979667	2.525319
66	16	0	3.584262	-3.411522	-0.854326
67	8	0	3.474450	-2.007762	-1.419190
68	8	0	2.282558	-4.115169	-0.983658
69	6	0	3.833334	-3.097763	0.927312
70	8	0	4.769685	-4.148490	-1.297993
/1	6	0	5.026/90	-2.495933	1.335845
12	6	0	5.2/35/0	-2.170596	2.664606
73	6	0	4.312529	-2.449936	3.631521
74	6	0	2 002/0/	-3.040343	1 0152/0
75	0	0	2.003494 E 07E100	-3.337634	1.910540
70 77	9	0	5.975100	-2.102104	2 010065
70	9	0	0.419012	-2 1/10024	1 011176
70	9	0	4.JJ04JJ 2 170756	-2.141093	4.911170
80	9	0	2.179750	-3.307697	4.170200
81	1	0	2 726718	-2.094603	-5 715062
82	1	0	3 526049	-1 810527	-4 155640
83	1	0	1 972852	-3 609694	-3 573804
84	1	0	0 670457	-2 861839	-4 551952
8.5	1	0	2.705935	0.407241	-4.481733
86	1	0	1.335910	-0.139530	-5.457722
87	1	0	-0.011764	-0.235411	-3.546211
88	1	0	1.367950	0.277941	-2.536831
89	1	0	2.016351	-1.856869	-2.130577
90	1	0	-3.283372	-0.100571	-2.359775
91	1	0	-1.365911	-0.360781	-1.059637
92	1	0	-2.198053	1.015184	-2.608736
93	1	0	0.085750	-3.467209	-2.115278
94	1	0	-0.918635	-2.083262	-2.622037
95	1	0	0.847315	-2.087539	-0.119710
96	1	0	-0.885812	-2.866344	1.261158
97	1	0	-0.515498	-4.041366	0.046738
98	1	0	0.222205	0.006906	-0.567809
99	1	0	-2.955088	-2.199861	-0.273455
100	1	0	-4.313951	-3.892010	0.909728
101	1	0	-3.099418	-3.202998	1.995338
102	1	0	-2.853727	-4.818241	1.310116
103	1	0	-3.917338	-4.112238	-1.513431
104	1	0	-2.432872	-5.052789	-1.274485
105	1	0	-2.425975	-3.602768	-2.292138
106	1	0	-0.175992	-0.550738	1.675078
107	1	0	-1.909794	-0.786930	1.298251
108	1	0	-0.400261	1.835966	0.753151
109	1	0	-1.994015	0.975447	3.225247
110	1	0	-0.252693	1.282320	3.120295
	1	0	-3.268898	0.960131	0.6/8020
	1	0	-3.91938/	2.85/656	-0.1191/5
111 111	1	U	-3.334340	∠.0U9005 5 015710	3.529/14
⊥⊥4 11⊑	1	U	-3.946993	5.215/19	3.901522
116	⊥ 1	0	-2.01/339	U.042102 6 027552	3 006720
117	⊥ 1	0	0.100102 0 570/52	3 627024	2 652120
тт / 110	⊥ 1	0	-2 005150	5.02/024 6 001517	_0 305107
110	⊥ 1	0	-2.09J1J0 -3 700005	5 281221	-0.303107 0 010/07
120	⊥ 1	0	0 560838	3 N97813	-4 161080
$\perp \sim \lor$	1	0	0.000000	J. UJ/UIJ	- • T 0 T 0 0 9

121	1	0	2.824640	3.700840	-5.012928	
122	1	0	4.499848	4.684657	-3.464955	
123	1	0	3.919159	5.070489	-1.080505	
124	1	0	1.673686	4.455433	-0.234929	

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80.0 70.0

60.0 50.0 40.0 30.0 20.0

10.0 0 -10.0 -20.0

130.0 120.0 110.0 100.0 90.0

220.0 210.0 200.0 190.0 180.0 170.0 160.0 150.0 140.0

X : parts per Million : 13C







PDA Ch1 210nm 4nm ピーク# 保持時間 面積 面積% 1 23.726 56873785 93.918 2 28.433 3683188 6.082 合計 100.000





S29





ピーク#	保持時間	面積	面積%
1	38.187	37521590	94.651
2	60.657	2120392	5.349
合計			100.000





ピーク#	保持時間	面積	面積%
1	21.632	11464183	10.040
2	38.189	102722855	89.960
合計			100.000











S37









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