

Supporting Information for
Highly Diastereo- and Enantioselective Construction of
Spiro[cyclopenta[*b*]indole-1,3'-oxindole] Scaffold via Catalytic
Asymmetric Formal [3+2] Cycloadditions

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1. General method

NMR spectra were measured respectively at 400 and 100 MHz, respectively. The solvent used for NMR spectroscopy was CDCl₃, using tetramethylsilane as the internal reference. HRMS (ESI) was determined by a micrOTOF-Q II HRMS/MS instrument (Bruker). Enantiomeric excesses (*ee*) were determined by chiral high-performance liquid chromatography (chiral HPLC). The chiral columns used for the determination of enantiomeric excesses by chiral HPLC were Chiraldapak IC and IA columns. Optical rotation values were measured with instruments operating at $\lambda = 589$ nm, corresponding to the sodium D line at the temperatures indicated. Analytic grade solvents for the column chromatography and commercially available reagents were used as received. Substrates **1** and **2** were synthesized according to the literature methods.¹

2. Screening of catalysts and optimization of conditions

To testify our hypothesis, isatin-derived 3-indolylmethanol **1a** was initially subjected to the reactions with 2-vinylindoles **2a'** and **2a**, respectively, in the presence of CPA **4a** (Table 1, entries 1-2). As expected, 2-vinylindole **2a'** failed to afford the desired [3+2] cycloaddition product **3aa'** (entry 1), while 3-methyl-2-vinylindole **2a** successfully delivered the designed spiro[cyclopenta[*b*]indole-1,3'-oxindole] **3aa** in a high yield and good stereoselectivity within one hour (entry 2). These results demonstrated that the introduction of a methyl group to C3-position of 2-vinylindole greatly switched its reactivity, leading to the desired [3+2] cycloaddition with 3-indolylmethanol. So, substrate **2a** was utilized to the experiments on screening of BINOL-derived CPAs **4** (entries 2-6), which revealed that 3,3'-(9-phenanthrenyl)-substituted CPA **4d** delivered the reaction in the highest stereoselectivity (entry 5). Then, the BINOL backbone of catalyst **4d** was changed to structurally more rigid H₈-BINOL and SPINOL backbones, leading to further improved enantioselectivities (entries 7-8 vs 5) but with a decrease of the yield (entry

1. (a) Q.-X. Guo, Y.-G. Peng, J.-W. Zhang, L. Song, Z. Feng, L.-Z. Gong, *Org. Lett.* **2009**, *11*, 4620; (b) L. Song, Q.-X. Guo, X.-C. Li, J. Tian, Y.-G. Peng, *Angew. Chem. Int. Ed.* **2012**, *51*, 1899; (c) C. Zheng, Y. Lu, J. Zhang, X. Chen, Z. Chai, W. Ma, G. Zhao, *Chem. Eur. J.* **2010**, *16*, 5853.

8 vs 5). In the presence of spiro-CPA **6a**, different types of solvents were tested for the aim to increase the yield without sacrifice of the stereoselectivity (entry 8-12). Although chloroform greatly enhanced the yield, the enantioselectivity was decreased to the same level as CPA **4a** offered (entry 9 vs 5). Considering the excellent catalytic activity and synthetic simplicity of H₈-BINOL derived CPA **5a** (entry 7), this catalyst was finally chosen as the most suitable one for further evaluation on the reaction temperature (entries 13-14). The results disclosed that elevating the temperature was detrimental to the enantioselectivity (entry 13 vs 7), while properly lowering the temperature to 0°C led to a higher enantioselectivity of 98% *ee* albeit with a prolonged reaction time (entry 14 vs 7).

Table 1. Screening of catalysts and optimization of conditions^[a]

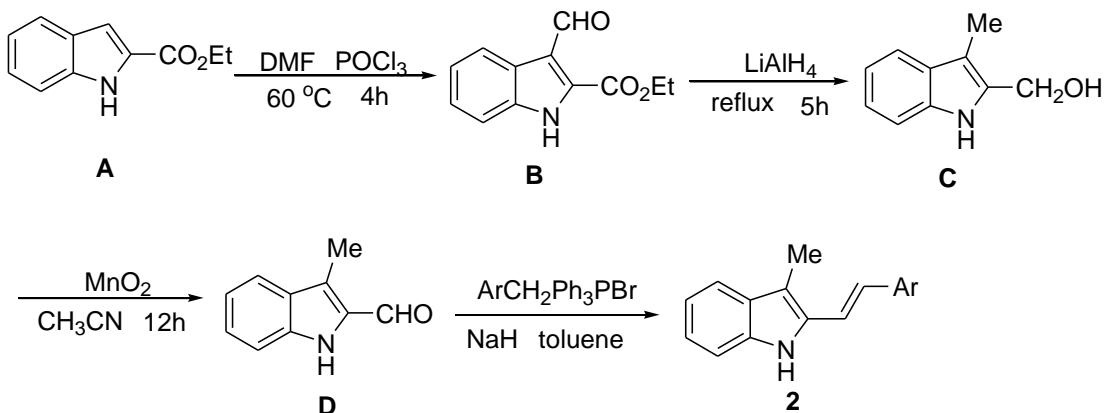
The table summarizes the screening of catalysts and optimization of conditions for the reaction of compound **1a** with **2a'** or **2a**. The reaction conditions are 10 mol % of catalyst **4-6** at 25 °C in various solvents.

Entry	3	Cat.	solvent	yield (%) ^[b]	dr ^[c]	ee (%) ^[d]
1	3aa'	4a	toluene	trace	-	-
2	3aa	4a	toluene	91	88:12	79
3	3aa	4b	toluene	99	75:15	50
4	3aa	4c	toluene	97	87:13	76
5	3aa	4d	toluene	99	>95:5	94
6	3aa	4e	toluene	99	95:5	90
7	3aa	5a	toluene	99	>95:5	95
8	3aa	6a	toluene	72	>95:5	97
9	3aa	6a	CHCl ₃	99	>95:5	94
10	3aa	6a	CH ₃ CN	33	>95:5	71

11	3aa	6a	AcOEt	trace	-	-
12	3aa	6a	1,4-dioxane	trace	-	-
13 ^[e]	3aa	5a	toluene	99	>95:5	78
14 ^[f]	3aa	5a	toluene	97	>95:5	98

[a] Unless indicated otherwise, the reaction was carried out in 0.05 mmol scale catalyzed by 10 mol % **4-6** in solvent (0.5 mL) at 25°C for 1 h, and the mole ratio of **1a:2a** was 1:1.2. [b] Isolated yield. [c] The *dr* value was determined by ¹H MMR. [d] The *ee* value was determined by HPLC. [e] Performed at 50°C. [f] Performed at 0°C for 12 h.

3. General procedure for the synthesis of substrates 2



A to B: Under argon atmosphere, 2.7 mL anhydrous DMF (35 mmol) was added to a dried flask and cooled to 0 °C. Then, 1 mL POCl₃ (11 mmol) was added dropwise to the reaction system and stirred for 5 min at 0 °C. Substrate **A** (10 mmol) was dissolved in 4 mL anhydrous DMF and was added to the reaction system. Then, the reaction mixture was stirred at rt for 30 min and was warmed to 60 °C to react for 4 h. After stopping the reaction, NaOH aqueous solution (2 M) was added to the reaction mixture at 0 °C and modulated the pH to 7. Subsequently, a large amount of water was added to the reaction mixture and stirred for 5 min to generate a yellow solid (compound **B**), which was filtered and subjected to the next step.

B to C: Under argon atmosphere, compound **B** (5 mmol) was added to a dried flask and dissolved in 40 mL anhydrous THF. Then, LiAlH₄ (25 mmol) was added to the reaction system by many portions at 0 °C and stirred for 10 min at rt, which was

further refluxed at 80 °C for 5 h. After completing the reaction, NaOH aqueous solution (1 M) was added to the reaction mixture at 0 °C and modulated the pH to 7. Subsequently, the resultant reaction mixture was extracted by EtOAc and the organic layer was further purified by flash column chromatography (petroleum ether/ethyl acetate = 2/1) to obtain compound **C**.

C to **D**: To a stirred solution of compound **C** (5 mmol) in CH₃CN (40 mL), MnO₂ (30 mmol) was added by many portions and then reacted at rt for 15 h, which indicated the completion of the reaction by TLC. Then, the reaction mixture was filtered and the filtrate was condensed, which was purified by flash column chromatography (petroleum ether/ethyl acetate = 15/1) to give compound **D**.

D to substrates **2**: Under argon atmosphere, ArCH₂Ph₃PBr (0.96 mmol) was added to a dried flask and dissolved in 3 mL anhydrous toluene. Then, NaH (1.6 mmol) was added to the reaction system at 0 °C and stirred for 20 min at rt. Subsequently, the solution of compound **D** (0.8 mmol) in anhydrous toluene (3 mL) was added to the reaction system, which was reacted at 80 °C for 2 h. After completing the reaction, saturated NH₄Cl aqueous solution was added to the reaction mixture, which was extracted by EtOAc. The resultant organic layer was dried by anhydrous Na₂SO₄ and purified by flash column chromatography (petroleum ether/ethyl acetate = 50/1) to afford substrates **2**.

(E)-3-methyl-2-styryl-1H-indole (2a): ¹H NMR (400 MHz, CDCl₃) δ = 8.07 (s, 1H), 7.56 – 7.52 (m, 3H), 7.40 – 7.28 (m, 4H), 7.28 – 7.18 (m, 3H), 7.14 – 7.07 (m, 1H), 6.80 (d, *J* = 16.5, 1H), 2.42 (s, 3H).

(E)-3-methyl-2-(2-methylstyryl)-1H-indole (2b): ¹H NMR (400 MHz, CDCl₃) δ 8.08 (s, 1H), 7.65 (d, *J* = 7.6 Hz, 1H), 7.56 (d, *J* = 7.9 Hz, 1H), 7.34 (d, *J* = 8.1 Hz, 1H), 7.29 – 7.23 (m, 3H), 7.24 – 7.19 (m, 3H), 7.18 – 7.10 (m, 2H), 7.01 (d, *J* = 16.3 Hz, 1H), 2.47 (s, 3H), 2.43 (s, 3H).

(E)-2-(2-fluorostyryl)-3-methyl-1H-indole (2c): ¹H NMR (400 MHz, CDCl₃) δ 8.10 (s, 1H), 7.64 (td, *J* = 7.7, 1.7 Hz, 1H), 7.56 (d, *J* = 7.9 Hz, 1H), 7.34 – 7.29 (m, 2H), 7.24 – 7.19 (m, 2H), 7.16 (td, *J* = 7.5, 1.2 Hz, 1H), 7.13 – 7.07 (m, 2H), 6.95 (d, *J* = 16.6 Hz, 1H), 2.42 (s, 3H).

(E)-3-methyl-2-(3-methylstyryl)-1H-indole (2d): ^1H NMR (400 MHz, CDCl_3) δ = 8.05 (s, 1H), 7.54 (d, J = 7.9, 1H), 7.36 – 7.29 (m, 3H), 7.28 (d, J = 7.5, 1H), 7.25 – 7.17 (m, 2H), 7.14 – 7.06 (m, 2H), 6.78 (d, J = 16.5, 1H), 2.42 (s, 3H), 2.40 (s, 3H).

(E)-2-(3-chlorostyryl)-3-methyl-1H-indole (2e): ^1H NMR (400 MHz, CDCl_3) δ = 8.03 (s, 1H), 7.55 (d, J = 7.9, 1H), 7.50 (t, J = 1.8, 1H), 7.37 (d, J = 7.7, 1H), 7.34 – 7.27 (m, 2H), 7.25 – 7.19 (m, 3H), 7.15 – 7.08 (m, 1H), 6.71 (d, J = 16.4, 1H), 2.42 (s, 3H).

(E)-3-methyl-2-(4-methylstyryl)-1H-indole (2f): ^1H NMR (400 MHz, CDCl_3) δ = 8.00 (s, 1H), 7.56 (d, J = 7.9, 1H), 7.51 – 7.44 (m, 2H), 7.31 (d, J = 8.0, 1H), 7.25 – 7.19 (m, 1H), 7.18 – 7.05 (m, 4H), 6.74 (d, J = 16.5, 1H), 2.42 (s, 3H).

(E)-2-(4-fluorostyryl)-3-methyl-1H-indole (2g): ^1H NMR (400 MHz, CDCl_3) δ = 8.02 (s, 1H), 7.55 (d, J = 7.8, 1H), 7.43 (d, J = 8.1, 2H), 7.31 (d, J = 8.0, 1H), 7.24 – 7.15 (m, 4H), 7.14 – 7.08 (m, 1H), 6.78 (d, J = 16.5, 1H), 2.42 (s, 3H), 2.38 (s, 3H).

4. General procedure for the synthesis of products 3 and control experiments

Toluene (0.5 mL) was added to the mixture of 3-indolylmethanols **1** (0.05 mmol), 3-methyl-2-vinylindoles **2** (0.06 mmol) and the catalyst **5a** (0.005 mmol). The resultant reaction mixture was stirred at rt or 0 °C for a given time, which was purified through flash column chromatography to afford pure products **3**.

5. Characterization data of products 3

(1R,2R,3R)-1'-benzyl-5'-methyl-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ba):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 4 h ; yield: 91% (26.7 mg); >95:5 dr; white solid; m.p. 169–170 °C; $[\alpha]_D^{20} = -56.0$ (c 0.45, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 8.29 (s, 1H), 7.93 (s, 1H), 7.49 (d, J = 7.6 Hz, 1H), 7.29 (s, 1H), 7.27 – 7.25 (m, 1H), 7.25 – 7.19 (m, 4H), 7.19 – 7.13 (m, 4H), 7.13 – 7.06 (m, 4H), 7.02 – 6.93 (m, 2H), 6.83 (d, J = 7.8 Hz, 1H), 6.51 (d, J = 7.1 Hz, 2H), 6.43 (d, J = 7.9 Hz, 1H), 5.89 (d, J = 9.7 Hz, 1H), 4.97 (d, J = 16.0 Hz, 1H), 4.56 (d, J = 9.7 Hz, 1H), 4.36 (d, J = 16.0 Hz, 1H), 2.34 (s, 3H), 2.17 (s,

3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 177.2, 144.6, 140.8, 140.5, 135.6, 135.4, 135.4, 132.5, 132.3, 130.8, 129.4, 129.3, 128.8, 128.5, 128.2, 127.7, 127.0, 126.5, 124.2, 123.0, 121.9, 120.1, 119.3, 118.8, 118.5, 118.1, 112.2, 110.6, 109.7, 109.0, 70.6, 60.4, 43.6, 41.1, 21.2, 8.7. IR (KBr): 3544, 3494, 3029, 2920, 2361, 2342, 1698, 1496, 1340, 741, 698 cm^{-1} ; ESI FTMS exact mass calcd for ($\text{C}_{41}\text{H}_{33}\text{N}_3\text{O-H}$) $^-$ requires m/z 582.2545, found m/z 582.2575. Enantiomeric excess: 94%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 4.287 min (minor), t_R = 4.927 min (major).

(1R,2R,3R)-1'-benzyl-5'-chloro-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ca):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 6 h ; yield: 74% (22.2 mg); >95:5 dr; white solid; m.p. 160–161 °C; $[\alpha]_D^{20}$ = −122.2 (c 0.248, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 8.25 (s, 1H), 7.89 (s, 1H), 7.48 – 7.44 (m, 2H), 7.32 – 7.30 (m, 1H), 7.28 – 7.27 (m, 1H), 7.26 – 7.21 (m, 3H), 7.20 – 7.18 (m, 2H), 7.17 – 7.12 (m, 4H), 7.11 – 7.07 (m, 3H), 7.03 – 6.97 (m, 1H), 6.83 (d, J = 7.8 Hz, 1H), 6.47 (d, J = 7.2 Hz, 2H), 6.44 (d, J = 8.3 Hz, 1H), 5.88 (d, J = 9.7 Hz, 1H), 4.98 (d, J = 16.0 Hz, 1H), 4.52 (d, J = 9.7 Hz, 1H), 4.35 (d, J = 16.0 Hz, 1H), 2.16 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 176.7, 144.7, 141.8, 140.4, 135.6, 134.9, 134.8, 132.6, 131.9, 129.3, 129.2, 128.7, 128.6, 128.3, 128.3, 127.9, 127.2, 126.5, 123.9, 122.8, 122.1, 122.1, 120.4, 119.4, 118.6, 118.1, 118.0, 112.2, 110.6, 110.3, 110.1, 70.7, 60.5, 43.7, 41.0, 8.7. IR (KBr): 3524, 3493, 3294, 2960, 2922, 2853, 2360, 2341, 1715, 1698, 1487, 1456, 1170, 1028, 741, 697 cm^{-1} ; ESI FTMS exact mass calcd for ($\text{C}_{41}\text{H}_{32}\text{ClN}_3\text{O-H}$) $^-$ requires m/z 602.1998, found m/z 602.2010. Enantiomeric excess: 91%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 3.943 min (minor), t_R = 4.300 min (major).

(1R,2R,3R)-1'-benzyl-6'-methoxy-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3da):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 4 h ; yield: 97% (29 mg); >95:5 dr; white solid; m.p. 158–159 °C; $[\alpha]_D^{20} = -216.0$ (c 0.488, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.24 (s, 1H), 7.95 (s, 1H), 7.48 (d, *J* = 7.6 Hz, 1H), 7.35 (d, *J* = 8.2 Hz, 1H), 7.25 – 7.21 (m, 5H), 7.19 – 7.13 (m, 4H), 7.12 – 7.09 (m, 3H), 7.08 – 7.07 (m, 1H), 6.97 (t, *J* = 7.5 Hz, 1H), 6.85 (d, *J* = 7.8 Hz, 1H), 6.60 (dd, *J* = 8.2, 2.2 Hz, 1H), 6.51 (d, *J* = 7.2 Hz, 2H), 6.13 (d, *J* = 2.2 Hz, 1H), 5.87 (d, *J* = 9.7 Hz, 1H), 4.95 (d, *J* = 16.0 Hz, 1H), 4.53 (d, *J* = 9.8 Hz, 1H), 4.34 (d, *J* = 16.0 Hz, 1H), 3.73 (s, 3H), 2.17 (s, 3H). ¹³C NMR (100 MHz, CDCl₃) δ = 177.7, 160.2, 144.5, 144.4, 140.4, 135.6, 135.4, 135.3, 132.5, 129.4, 129.3, 128.6, 128.2, 127.7, 127.1, 126.5, 124.1, 123.0, 122.5, 121.9, 121.8, 120.2, 119.3, 118.9, 118.5, 118.1, 112.1, 110.6, 109.7, 106.6, 97.3, 70.6, 59.9, 55.3, 43.6, 40.87, 8.7. IR (KBr): 3534, 3488, 3309, 3199, 2921, 2361, 2343, 1699, 1503, 1377, 1161, 1031, 742, 698 cm⁻¹; ESI FTMS exact mass calcd for (C₄₁H₃₃N₃O-H)⁻ requires m/z 598.2494, found m/z 598.2515. Enantiomeric excess: 93%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 4.333 min (minor), t_R = 4.820 min (major)

(1*R*,2*R*,3*R*)-1'-benzyl-6'-bromo-3-(3-methyl-1*H*-indol-2-yl)-2-phenyl-3,4-dihydro-2*H*-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ea):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 4 h ; yield: 97% (31.4 mg); >95:5 dr; white solid; m.p. 186–187 °C; $[\alpha]_D^{20} = -155.7$ (c 0.56, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.25 (s, 1H), 7.91 (s, 1H), 7.48 (d, *J* = 7.7 Hz, 1H), 7.33 (d, *J* = 7.8 Hz, 1H), 7.30 – 7.27 (m, 1H), 7.26 – 7.22 (m, 4H), 7.19 – 7.16 (m, 4H), 7.14 – 7.09 (m, 4H), 7.09 – 7.07 (m, 1H), 6.99 (t, *J* = 7.5 Hz, 1H), 6.82 (d, *J* = 7.9 Hz, 1H), 6.68 (s, 1H), 6.49 (d, *J* = 7.5 Hz, 2H), 5.86 (d, *J* = 9.7 Hz, 1H), 4.94 (d, *J* = 16.1 Hz, 1H), 4.52 (d, *J* = 9.7 Hz, 1H), 4.32 (d, *J* = 16.1 Hz, 1H), 2.15 (s, 3H). ¹³C NMR (100 MHz, CDCl₃) δ = 177.1, 144.7, 144.6, 140.4, 135.6, 135.0, 134.6, 132.1, 129.8, 129.4, 129.2, 128.7, 128.4, 127.9, 127.3, 126.4, 125.8, 124.8, 122.8, 122.0, 122.0, 122.0, 120.3, 119.4, 118.5, 118.0, 117.9, 112.6, 112.2, 110.7, 109.9, 70.5, 60.1, 43.6, 41.01, 8.7. IR (KBr): 3546, 3494, 2921, 2361, 2342,

1699, 1603, 1487, 1456, 1260, 1171, 740, 697 cm⁻¹; ESI FTMS exact mass calcd for (C₄₀H₃₀BrN₃O-H)⁻ requires m/z 646.1493, found m/z 646.1508. Enantiomeric excess: 96%, determined by HPLC (Daicel Chirapak IA, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 19.683 min (minor), t_R = 26.087 min (major).

(1R,2R,3R)-1'-benzyl-7'-methyl-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3fa):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 4 h ; yield: 95% (27.8 mg); >95:5 dr; white solid; m.p. 184–185 °C; [α]_D²⁰ = -861.4 (c 0.51, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.25 (s, 1H), 7.96 (s, 1H), 7.48 (d, J = 7.4 Hz, 1H), 7.35 (d, J = 6.2 Hz, 1H), 7.23 (s, 1H), 7.22 – 7.17 (m, 4H), 7.16 – 7.12 (m, 3H), 7.12 – 7.08 (m, 4H), 7.07 – 7.05 (m, 1H), 7.03 (d, J = 7.3 Hz, 1H), 7.00 – 6.95 (m, 2H), 6.86 (d, J = 7.8 Hz, 1H), 6.41 (d, J = 7.1 Hz, 2H), 5.87 (d, J = 9.8 Hz, 1H), 5.13 (d, J = 17.0 Hz, 1H), 4.72 (d, J = 17.0 Hz, 1H), 4.58 (d, J = 9.8 Hz, 1H), 2.15 (s, 6H). ¹³C NMR (100 MHz, CDCl₃) δ = 178.1, 144.5, 141.4, 140.4, 137.4, 135.6, 135.4, 132.5, 131.5, 129.4, 129.4, 128.7, 128.3, 127.8, 126.6, 125.3, 122.9, 122.9, 121.8, 121.8, 121.7, 120.1, 119.7, 119.3, 119.1, 118.5, 118.0, 112.2, 110.7, 109.7, 70.9, 59.8, 44.9, 41.1, 18.7, 8.7. IR (KBr): 3564, 3498, 2971, 2360, 2341, 1683, 1540, 1456, 1339, 742, 696 cm⁻¹; ESI FTMS exact mass calcd for (C₄₁H₃₃N₃O-H)⁻ requires m/z 582.2545, found m/z 582.2566. Enantiomeric excess: 93%, determined by HPLC (Daicel Chirapak IA, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 24.543 min (major), t_R = 39.460 min (minor).

(1R,2R,3R)-1'-benzyl-7'-bromo-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ga):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 4 h ; yield: 97% (31.5 mg); >95:5 dr; white solid; m.p. 178–179 °C; [α]_D²⁰ = -165.2 (c 0.488, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.27 (s, 1H), 7.95 (s, 1H), 7.48 (d, J = 7.5 Hz, 1H), 7.45 (dd, J = 7.3, 1.1 Hz, 1H), 7.40 (dd, J = 8.2, 1.1 Hz, 1H),

7.26 – 7.22 (m, 1H), 7.22 – 7.19 (m, 2H), 7.19 – 7.13 (m, 5H), 7.12 – 7.06 (m, 5H), 7.02 – 6.97 (m, 2H), 6.85 (d, J = 7.8 Hz, 1H), 6.48 (d, J = 7.1 Hz, 2H), 5.84 (d, J = 9.7 Hz, 1H), 5.14 (dd, J = 37.8, 16.7 Hz, 2H), 4.55 (d, J = 9.7 Hz, 1H), 2.14 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 177.9, 144.6, 140.8, 140.4, 137.2, 135.6, 134.9, 134.5, 134.2, 132.1, 129.4, 129.2, 128.4, 128.1, 126.5, 125.7, 124.2, 122.9, 122.7, 122.0, 122.0, 120.3, 119.4, 118.5, 118.4, 117.9, 112.2, 110.7, 109.8, 102.4, 71.0, 60.1, 44.4, 41.16, 8.7. IR (KBr): 3554, 3493, 3307, 2923, 2855, 2360, 2341, 1698, 1450, 1339, 1116, 1021, 739, 697 cm^{-1} ; ESI FTMS exact mass calcd for ($\text{C}_{40}\text{H}_{30}\text{BrN}_3\text{O-H}$) $^-$ requires m/z 646.1493, found m/z 646.1468. Enantiomeric excess: 93%, determined by HPLC (Daicel Chirapak IA, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 9.717 min (major), t_R = 28.957 min (minor).

(1R,2R,3R)-1'-benzyl-7-methoxy-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ha):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 4 h ; yield: 92% (27.5 mg); >95:5 dr; white solid; m.p. 173–174 °C; $[\alpha]_D^{20}$ = -191.3 (c 0.424, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 8.20 (s, 1H), 7.97 (s, 1H), 7.47 (d, J = 7.4 Hz, 2H), 7.25 – 7.22 (m, 2H), 7.20 – 7.18 (m, 3H), 7.15 (s, 2H), 7.14 – 7.12 (m, 2H), 7.12-7.10 (m, 2H), 7.10 – 7.09 (m, 2H), 7.08 – 7.07 (m, 1H), 6.74 (dd, J = 8.9, 2.5 Hz, 1H), 6.53 (d, J = 7.7 Hz, 3H), 6.24 (d, J = 2.4 Hz, 1H), 5.86 (d, J = 9.8 Hz, 1H), 4.98 (d, J = 16.0 Hz, 1H), 4.55 (d, J = 9.8 Hz, 1H), 4.41 (d, J = 16.0 Hz, 1H), 3.65 (s, 3H), 2.16 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 177.3, 154.3, 145.6, 143.2, 135.6, 135.6, 135.3, 132.4, 130.5, 129.4, 129.2, 128.6, 128.5, 128.2, 127.7, 127.1, 126.5, 123.5, 123.5, 122.9, 121.9, 119.3, 118.5, 118.3, 112.6, 110.8, 110.6, 109.7, 109.3, 101.2, 70.6, 60.3, 55.9, 43.6, 41.0, 8.7. IR (KBr): 3565, 3413, 3236, 2360, 2341, 1715, 1697, 1637, 1615, 1488, 1456, 1170, 744, 697 cm^{-1} ; ESI FTMS exact mass calcd for ($\text{C}_{41}\text{H}_{33}\text{N}_3\text{O}_2\text{-H}$) $^-$ requires m/z 598.2494, found m/z 598.2516. Enantiomeric excess: 95%, determined by HPLC (Daicel Chirapak IC,

hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 4.643 min (minor), t_R = 6.027 min (major).

(1R,2R,3R)-1'-benzyl-7-bromo-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ia):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 7 h ; yield: 79% (25.8 mg); >95:5 dr; white solid; m.p. 153–155 °C; [α]_D²⁰ = 703.7 (c 0.428, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 9.15 (s, 1H), 7.93 (s, 1H), 7.52 (d, J = 7.3 Hz, 1H), 7.43 (dd, J = 7.3, 0.9 Hz, 1H), 7.24 – 7.23 (m, 1H), 7.21 – 7.19 (m, 1H), 7.19 – 7.18 (m, 1H), 7.18 – 7.16 (m, 2H), 7.16 – 7.14 (m, 2H), 7.13 – 7.12 (m, 1H), 7.12 – 7.09 (m, 1H), 7.07 – 7.01 (m, 4H), 6.97 (t, J = 7.7 Hz, 2H), 6.63 – 6.54 (m, 4H), 5.85 (d, J = 9.6 Hz, 1H), 4.91 (d, J = 15.9 Hz, 1H), 4.53 (d, J = 9.6 Hz, 1H), 4.48 (d, J = 16.0 Hz, 1H), 2.14 (s, 3H). ¹³C NMR (100 MHz, CDCl₃) δ = 177.8, 145.1, 143.0, 141.2, 135.5, 135.1, 135.1, 132.0, 130.5, 129.6, 129.0, 128.7, 128.7, 128.3, 127.8, 127.2, 126.5, 123.5, 123.3, 123.1, 122.0, 121.4, 119.4, 118.6, 118.1, 115.2, 115.1, 110.7, 109.6, 109.5, 70.5, 60.5, 43.7, 41.6, 8.8. IR (KBr): 3555, 3423, 2924, 2360, 2341, 1715, 1696, 1684, 1614, 1456, 1173, 746, 697 cm⁻¹; ESI FTMS exact mass calcd for (C₄₀H₃₀BrN₃O-H)⁺ requires m/z 646.1493, found m/z 646.1480. Enantiomeric excess: 93%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 3.690 min (minor), t_R = 4.067 min (major).

(1R,2R,3R)-1'-benzyl-6-methyl-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ja):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 4 h ; yield: 83% (24.3 mg); >95:5 dr; white solid; m.p. 167–168 °C; [α]_D²⁰ = -991.2 (c 0.306, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.29 (s, 1H), 7.97 (s, 1H), 7.50 – 7.44 (m, 2H), 7.25 – 7.21 (m, 2H), 7.19 – 7.16 (m, 4H), 7.15 – 7.10 (m, 5H), 7.10 – 7.06 (m, 2H), 6.96 (s, 1H), 6.78 (d, J = 8.1 Hz, 1H), 6.68 (d, J = 8.0 Hz, 1H), 6.53 (d, J = 7.8 Hz, 3H), 5.87 (d, J = 9.6 Hz, 1H), 4.96 (d, J = 16.0 Hz, 1H), 4.54 (d, J

δ = 9.6 Hz, 1H), 4.41 (d, J = 16.0 Hz, 1H), 2.37 (s, 3H), 2.15 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 177.4, 143.8, 143.2, 141.0, 135.6, 135.4, 135.3, 132.6, 131.6, 130.8, 129.5, 129.2, 128.6, 128.4, 128.2, 127.7, 127.1, 126.5, 123.5, 122.8, 121.8, 121.7, 120.7, 119.3, 118.5, 118.4, 117.5, 112.2, 110.6, 109.6, 109.2, 70.6, 60.5, 43.6, 41.2, 21.7, 8.7. IR (KBr): 3565, 3433, 3291, 3057, 2920, 2857, 1696, 1611, 1488, 1465, 1174, 803, 741, 698 cm^{-1} ; ESI FTMS exact mass calcd for ($\text{C}_{41}\text{H}_{33}\text{N}_3\text{O-H}$) $^-$ requires m/z 582.2545, found m/z 582.2569. Enantiomeric excess: 93%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 4.227 min (minor), t_R = 5.860 min (major).

(1R,2R,3R)-1'-benzyl-6-bromo-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ka):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 7 h ; yield: 81% (26.3 mg); >95:5 dr; white solid; m.p. 157–158 °C; $[\alpha]_D^{20}$ = -494.8 (c 0.426, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 9.14 (s, 1H), 7.92 (s, 1H), 7.52 (d, J = 7.4 Hz, 1H), 7.43 (d, J = 6.6 Hz, 1H), 7.24 (d, J = 7.6 Hz, 1H), 7.21 – 7.18 (m, 2H), 7.17 – 7.13 (m, 4H), 7.11 – 7.10 (m, 2H), 7.05 – 7.03 (m, 4H), 6.99 – 6.95 (m, 2H), 6.64 – 6.52 (m, 4H), 5.85 (d, J = 9.6 Hz, 1H), 4.91 (d, J = 15.9 Hz, 1H), 4.53 (d, J = 9.6 Hz, 1H), 4.48 (d, J = 15.9 Hz, 1H), 2.14 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 177.8, 145.2, 143.0, 141.2, 135.5, 135.1, 135.1, 132.0, 130.5, 129.6, 129.0, 128.7, 128.7, 128.3, 127.8, 127.2, 126.5, 123.5, 123.3, 123.1, 121.0, 122.4, 119.4, 118.6, 118.1, 115.2, 115.1, 110.7, 109.6, 109.5, 70.4, 60.5, 43.7, 41.6, 8.8. IR (KBr): 3545, 3493, 3274, 3057, 2921, 2361, 1687, 1606, 1457, 1261, 1173, 802, 746, 697 cm^{-1} ; ESI FTMS exact mass calcd for ($\text{C}_{40}\text{H}_{30}\text{BrN}_3\text{O-H}$) $^-$ requires m/z 646.1493, found m/z 646.1483. Enantiomeric excess: 90%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 3.693 min (minor), t_R = 4.067 min (major).

(1R,2R,3R)-1'-benzyl-5-methyl-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3la):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 4 h ; yield: 88% (25.6 mg); >95:5 dr; white solid; m.p. 176–178 °C; $[\alpha]_D^{20} = -166.9$ (c 0.528, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 8.29 (s, 1H), 8.00 (s, 1H), 7.50 (t, $J = 6.7$ Hz, 2H), 7.29 – 7.27 (m, 1H), 7.26 – 7.21 (m, 3H), 7.21 – 7.15 (m, 4H), 7.14 – 7.12 (d, $J = 7.1$ Hz, 3H), 7.10 – 7.09 (m, 2H), 6.95 – 6.86 (m, 2H), 6.67 (d, $J = 7.4$ Hz, 1H), 6.54 (t, $J = 7.2$ Hz, 3H), 5.96 (d, $J = 9.7$ Hz, 1H), 5.01 (d, $J = 16.0$ Hz, 1H), 4.60 (d, $J = 9.7$ Hz, 1H), 4.42 (d, $J = 16.0$ Hz, 1H), 2.38 (s, 3H), 2.21 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 177.2, 144.2, 143.3, 140.0, 135.6, 135.4, 135.3, 132.6, 130.7, 129.4, 129.2, 128.6, 128.5, 128.2, 127.7, 127.0, 126.5, 123.5, 122.8, 122.7, 122.5, 122.0, 121.3, 120.4, 119.4, 119.3, 118.5, 115.8, 110.7, 109.9, 109.3, 70.6, 60.5, 43.6, 41.1, 16.8, 8.7. IR (KBr): 3552, 3416, 3236, 2919, 1694, 1638, 1616, 1465, 1383, 1299, 1080, 741, 698 cm^{-1} ; ESI FTMS exact mass calcd for ($\text{C}_{41}\text{H}_{33}\text{N}_3\text{O-H}$)⁻ requires m/z 582.2545, found m/z 582.2584. Enantiomeric excess: 96%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 80/20, flow rate 1.0 mL/min, T = 30 °C, 254 nm): $t_R = 4.010$ min (major), $t_R = 4.483$ min (minor).

(1R,2R,3R)-1'-benzyl-5-bromo-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ma):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 9 h ; yield: 72% (23.3 mg); >95:5 dr; white solid; m.p. 150–151 °C; $[\alpha]_D^{20} = -97.1$ (c 0.344, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 8.43 (s, 1H), 7.95 (s, 1H), 7.50 (d, $J = 7.8$ Hz, 1H), 7.47 (d, $J = 7.2$ Hz, 1H), 7.30 (d, $J = 7.7$ Hz, 2H), 7.28 – 7.25 (m, 1H), 7.25 – 7.23 (m, 1H), 7.22 – 7.20 (m, 2H), 7.20 – 7.16 (m, 3H), 7.16 – 7.13 (m, 2H), 7.12 – 7.10 (m, 2H), 7.07 – 7.07 (m, 1H), 6.86 (t, $J = 7.8$ Hz, 1H), 6.75 (d, $J = 7.8$ Hz, 1H), 6.55 – 6.50 (m, 3H), 5.95 (d, $J = 9.8$ Hz, 1H), 5.02 (d, $J = 16.0$ Hz, 1H), 4.59 (d, $J = 9.9$ Hz, 1H), 4.41 (d, $J = 16.0$ Hz, 1H), 2.21 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 176.7, 145.2, 143.3, 138.9, 135.7, 135.2, 134.9, 131.8, 130.3, 129.3, 129.2, 128.7, 128.6, 128.3, 127.87, 127.1, 126.5, 124.4, 124.2, 123.4, 122.9, 122.2, 121.5, 120.0, 119.5, 118.6, 117.3, 110.7, 110.2, 109.4, 105.3, 70.6, 60.3, 43.7, 40.9, 8.7. IR (KBr): 3542, 3466, 2923, 2854, 2360, 1697, 1488, 1456, 1418, 1362, 741, 697 cm^{-1} ;

ESI FTMS exact mass calcd for ($C_{40}H_{30}BrN_3O\text{-}H$)⁻ requires m/z 646.1493, found m/z 646.1467. Enantiomeric excess: 94%, determined by HPLC (Daicel Chirapak IA, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 18.930 min (minor), t_R = 44.390 min (major).

(1R,2R,3R)-1'-benzyl-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3aa):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 12 h ; yield: 97% (27.6 mg); >95:5 dr; white solid; m.p. 171–173 °C; [α]_D²⁰ = -195.7 (c 0.506, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.28 (s, 1H), 7.98 (s, 1H), 7.48 (t, J = 6.4 Hz, 2H), 7.27 – 7.25 (m, 1H), 7.25 – 7.23 (m, 2H), 7.22 – 7.19 (m, 3H), 7.18 – 7.16 (m, 2H), 7.16 – 7.14 (m, 2H), 7.13 – 7.12 (m, 2H), 7.11 – 7.09 (m, 2H), 7.09 – 7.05 (m, 1H), 6.95 (t, J = 7.5 Hz, 1H), 6.81 (d, J = 7.8 Hz, 1H), 6.53 (dd, J = 10.0, 7.7 Hz, 3H), 5.90 (d, J = 9.7 Hz, 1H), 4.99 (d, J = 16.0 Hz, 1H), 4.58 (d, J = 9.8 Hz, 1H), 4.38 (d, J = 16.0 Hz, 1H), 2.17 (s, 3H). ¹³C NMR (100 MHz, CDCl₃) δ = 177.2, 144.7, 143.2, 140.5, 135.6, 135.3, 135.3, 132.4, 130.7, 129.4, 129.2, 128.6, 128.5, 128.3, 127.7, 127.1, 126.5, 123.5, 123.0, 122.9, 121.9, 120.2, 119.3, 118.6, 118.5, 118.0, 112.2, 110.7, 109.7, 109.3, 70.6, 60.4, 43.6, 41.0, 8.7. IR (KBr): 3525, 3443, 3277, 3029, 2918, 1695, 1608, 1488, 1353, 1173, 1080, 1012, 740, 698 cm⁻¹; ESI FTMS exact mass calcd for ($C_{40}H_{31}N_3O\text{-}H$)⁻ requires m/z 568.2388, found m/z 568.2399. Enantiomeric excess: 98%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 4.273 min (minor), t_R = 4.870 min (major).

(1R,2R,3R)-1'-benzyl-3-(3-methyl-1H-indol-2-yl)-2-(o-tolyl)-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ab):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 12 h ; yield: 93% (27.1 mg); >95:5 dr; white solid; m.p. 167–168 °C; [α]_D²⁰ = -197.2 (c 0.608, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.34 (s, 1H), 8.08 (d, J = 7.0 Hz, 1H), 7.97 (s, 1H), 7.49 (t, J = 7.4 Hz, 2H), 7.28 – 7.23 (m, 2H), 7.21 – 7.20 (m,

1H), 7.19 – 7.17 (m, 3H), 7.15 – 7.12 (m, 4H), 7.11 – 7.09 (m, 1H), 7.08 – 7.05 (m, 1H), 6.98 (t, J = 7.5 Hz, 1H), 6.92 (d, J = 7.2 Hz, 1H), 6.83 (d, J = 7.9 Hz, 1H), 6.59 – 6.54 (m, 3H), 5.75 (d, J = 9.5 Hz, 1H), 5.11 (d, J = 16.0 Hz, 1H), 5.06 (d, J = 9.5 Hz, 1H), 4.43 (d, J = 16.0 Hz, 1H), 2.05 (s, 3H), 1.58 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 177.7, 144.6, 143.1, 140.6, 137.7, 135.6, 135.4, 134.3, 132.6, 131.2, 130.2, 129.7, 129.5, 128.6, 128.5, 127.3, 127.1, 126.6, 126.1, 124.0, 122.9, 122.6, 121.9, 121.8, 120.1, 119.3, 118.6, 118.5, 118.1, 112.2, 110.7, 109.6, 109.3, 65.2, 60.4, 43.8, 43.7, 20.0, 8.4. IR (KBr): 3544, 3423, 3292, 3055, 3030, 2922, 2855, 2360, 2341, 1697, 1610, 1488, 1171, 740, 696 cm^{-1} ; ESI FTMS exact mass calcd for ($\text{C}_{41}\text{H}_{33}\text{N}_3\text{O}-\text{H}$) $^-$ requires m/z 582.2545, found m/z 582.2587. Enantiomeric excess: 97%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 4.420 min (minor), t_R = 5.213 min (major).

(1R,2S,3R)-1'-benzyl-2-(2-fluorophenyl)-3-(3-methyl-1H-indol-2-yl)-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ac):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 12 h ; yield: 81% (23.9 mg); >95:5 dr; white solid; m.p. 156–157 °C; $[\alpha]_D^{20}$ = -652.3 (c 0.52, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 8.25 (s, 1H), 8.00 (s, 1H), 7.97 – 7.93 (m, 1H), 7.49 (dd, J = 7.2, 4.5 Hz, 2H), 7.27 – 7.23 (m, 2H), 7.21 – 7.20 (m, 1H), 7.19 – 7.17 (m, 1H), 7.17 – 7.15 (m, 1H), 7.15 – 7.13 (m, 1H), 7.12 – 7.08 (m, 6H), 6.95 (t, J = 7.5 Hz, 1H), 6.79 – 6.74 (m, 2H), 6.61 – 6.56 (m, 3H), 5.89 (d, J = 9.8 Hz, 1H), 5.07 (d, J = 9.8 Hz, 1H), 5.01 (d, J = 9.8 Hz, 1H), 4.44 (d, J = 16.0 Hz, 1H), 2.16 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 177.3, 162.5, 160.0, 144.4, 142.8, 140.4, 135.6, 135.4, 132.0, 130.9, 130.9, 130.2, 129.3, 129.0, 129.0, 128.6, 128.5, 127.1, 126.5, 124.2, 124.0, 124.0, 122.9, 122.9, 122.8, 122.7, 122.0, 121.9, 120.2, 119.3, 118.7, 118.5, 118.0, 115.4, 115.2, 112.1, 110.7, 109.9, 109.1, 60.6, 60.0, 43.6, 41.3, 8.6. IR (KBr): 3545, 3413, 3307, 3196, 3056, 2921, 2359, 1697, 1608, 1489, 1384, 740 cm^{-1} ; ESI FTMS exact mass calcd for ($\text{C}_{40}\text{H}_{30}\text{FN}_3\text{O}-\text{H}$) $^-$ requires m/z 586.2294, found m/z 586.2335. Enantiomeric excess: 97%, determined by HPLC

(Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 4.227 min (minor), t_R = 4.673 min (major).

(1R,2R,3R)-1'-benzyl-3-(3-methyl-1H-indol-2-yl)-2-(m-tolyl)-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ad):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 12 h ; yield: 83% (24.2 mg); >95:5 dr; white solid; m.p. 168–170 °C; [α]_D²⁰ = -205.9 (c 0.544, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.26 (s, 1H), 7.94 (s, 1H), 7.48 (t, J = 8.0 Hz, 2H), 7.25 – 7.24 (m, 1H), 7.23 – 7.19 (m, 2H), 7.18 – 7.14 (m, 2H), 7.13 – 7.08 (m, 5H), 7.05 (s, 3H), 6.97 – 6.93 (m, 2H), 6.81 (d, J = 7.8 Hz, 1H), 6.55 – 6.50 (m, 3H), 5.89 (d, J = 9.8 Hz, 1H), 5.03 (d, J = 16.0 Hz, 1H), 4.54 (d, J = 9.8 Hz, 1H), 4.36 (d, J = 16.0 Hz, 1H), 2.20 (s, 3H), 2.13 (s, 3H). ¹³C NMR (100 MHz, CDCl₃) δ = 177.2, 144.8, 143.3, 140.4, 137.6, 135.6, 135.4, 135.2, 132.5, 130.8, 129.9, 129.4, 128.5, 128.5, 128.1, 127.1, 126.5, 126.2, 123.5, 123.0, 122.8, 121.9, 121.8, 120.1, 119.3, 118.6, 118.5, 118.0, 112.1, 110.6, 109.8, 109.2, 70.6, 60.3, 43.6, 40.9, 21.3, 8.7. IR (KBr): 3515, 3433, 3293, 3197, 3055, 2918, 2359, 1697, 1608, 1488, 1384, 1173, 739, 698 cm⁻¹; ESI FTMS exact mass calcd for (C₄₁H₃₃N₃O-H)⁻ requires m/z 582.2545, found m/z 582.2578. Enantiomeric excess: 98%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 4.037 min (minor), t_R = 4.760 min (major).

(1R,2R,3R)-1'-benzyl-2-(3-chlorophenyl)-3-(3-methyl-1H-indol-2-yl)-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ae):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 12 h ; yield: 96% (28.9 mg); >95:5 dr; white solid; m.p. 164–165 °C; [α]_D²⁰ = -223.6 (c 0.602, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.24 (s, 1H), 7.94 (s, 1H), 7.47 (dd, J = 17.5, 7.4 Hz, 2H), 7.25 – 7.22 (m, 3H), 7.22 – 7.21 (m, 1H), 7.20 – 7.18 (m, 1H), 7.18 – 7.14 (m, 4H), 7.13 – 7.11 (m, 3H), 7.10 – 7.08 (m, 1H), 7.06 – 7.04 (m, 1H), 6.97 (t, J = 7.5 Hz, 1H), 6.81 (d, J = 7.9 Hz, 1H), 6.62 (t, J = 7.6 Hz, 3H), 5.83 (d, J = 9.7 Hz, 1H), 4.97 (d, J = 15.9 Hz, 1H), 4.52 (d, J = 9.7 Hz, 1H), 4.41 (d, J

$= 15.9$ Hz, 1H), 2.19 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) $\delta = 176.9, 144.3, 143.2, 140.5, 137.5, 135.6, 135.3, 134.0, 131.9, 130.2, 129.5, 129.3, 129.3, 128.8, 128.6, 128.0, 127.4, 127.3, 126.6, 123.5, 123.0, 122.9, 122.1, 122.0, 120.3, 119.4, 118.6, 118.1, 112.2, 110.68, 109.9, 109.4, 69.9, 60.2, 43.64, 4.03, 8.7.$ IR (KBr): 3515, 3453, 3293, 3199, 3057, 2921, 1699, 1459, 1431, 1363, 1173, 740, 696 cm^{-1} ; ESI FTMS exact mass calcd for $(\text{C}_{40}\text{H}_{30}\text{ClN}_3\text{O}-\text{H})^-$ requires m/z 602.1998, found m/z 602.2024. Enantiomeric excess: 96%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): $t_R = 3.853$ min (minor), $t_R = 4.260$ min (major).

(1R,2R,3R)-1'-benzyl-3-(3-methyl-1H-indol-2-yl)-2-(p-tolyl)-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3af):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 12 h ; yield: 99% (29.1 mg); >95:5 dr; white solid; m.p. 176–177 °C; $[\alpha]_D^{20} = -524.5$ (c 0.548, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 8.25 (s, 1H), 7.96 (s, 1H), 7.47 (t, $J = 8.2$ Hz, 2H), 7.26 – 7.18 (m, 3H), 7.18 – 7.13 (m, 2H), 7.13 – 7.05 (m, 7H), 6.96 – 6.93 (m, 3H), 6.80 (d, $J = 7.9$ Hz, 1H), 6.54 (dd, $J = 7.6, 2.8$ Hz, 3H), 5.86 (d, $J = 9.8$ Hz, 1H), 5.03 (d, $J = 16.0$ Hz, 1H), 4.55 (d, $J = 9.8$ Hz, 1H), 4.37 (d, $J = 16.0$ Hz, 1H), 2.31 (s, 3H), 2.18 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) $\delta = 177.3, 144.8, 143.2, 140.4, 137.2, 135.6, 135.3, 132.5, 132.3, 130.8, 129.4, 129.1, 128.9, 128.4, 127.0, 126.6, 123.5, 123.0, 122.8, 121.8, 120.1, 119.3, 118.6, 118.5, 118.0, 112.1, 110.6, 109.7, 109.2, 70.3, 60.4, 43.6, 41.1, 21.2, 8.7.$ IR (KBr): 3552, 3475, 3414, 3236, 3056, 2919, 1697, 1638, 1616, 1488, 1464, 1172, 1012, 740, 621 cm^{-1} ; ESI FTMS exact mass calcd for $(\text{C}_{41}\text{H}_{33}\text{N}_3\text{O}-\text{H})^-$ requires m/z 582.2545, found m/z 582.2563. Enantiomeric excess: 98%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): $t_R = 4.453$ min (minor), $t_R = 5.453$ min (major).

(1R,2R,3R)-1'-benzyl-2-(4-fluorophenyl)-3-(3-methyl-1H-indol-2-yl)-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ag):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 12 h ; yield: 99% (29.2 mg); >95:5 dr; white solid; m.p. 188–189 °C; $[\alpha]_D^{20} = -355.9$ (c 0.54, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 8.28 (s, 1H), 7.95 (s, 1H), 7.47 (dd, $J = 18.3, 7.4$ Hz, 2H), 7.26 – 7.24 (m 1H), 7.24 – 7.20 (m, 3H), 7.18 – 7.17 (m, 2H), 7.16 – 7.13 (m, 3H), 7.13 – 7.10 (m, 2H), 7.09 – 7.07 (m, 1H), 6.96 (t, $J = 7.5$ Hz, 1H), 6.82 (t, $J = 8.5$ Hz, 3H), 6.63 – 6.54 (m, 3H), 5.82 (d, $J = 9.7$ Hz, 1H), 4.99 (d, $J = 15.9$ Hz, 1H), 4.52 (d, $J = 9.7$ Hz, 1H), 4.40 (d, $J = 16.0$ Hz, 1H), 2.16 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 177.2, 163.7, 161.3, 144.4, 143.2, 140.5, 135.6, 135.2, 132.16, 131.17, 131.14, 130.89, 130.81, 130.46, 129.36, 128.66, 128.54, 127.29, 126.51, 123.49, 122.0, 123.9, 122.0, 122.0, 120.2, 119.4, 118.6, 118.5, 118.0, 115.2, 115.0, 112.2, 110.7, 109.8, 109.3, 69.8, 60.3, 43.6, 41.3, 8.7. IR (KBr): 3428, 3266, 3056, 2922, 2360, 2341, 1694, 1609, 1509, 1458, 1216, 739, 696 cm^{-1} ; ESI FTMS exact mass calcd for $(\text{C}_{40}\text{H}_{30}\text{FN}_3\text{O}-\text{H})^-$ requires m/z 586.2294, found m/z 586.2329. Enantiomeric excess: 97%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): $t_R = 4.037$ min (minor), $t_R = 4.467$ min (major).

(1R,2R,3R)-1'-benzyl-4-methyl-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3na):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 4 h ; yield: 70.5% (20.6 mg); >95:5 dr; white solid; m.p. 210–212 °C; $[\alpha]_D^{20} = -190.3$ (c 0.412, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 8.11 (s, 1H), 7.51 (t, $J = 8.1$ Hz, 2H), 7.35 – 7.27 (m, 2H), 7.26 – 7.21 (m, 3H), 7.21 – 7.14 (m, 5H), 7.13 – 7.08 (m, 5H), 6.97 (t, $J = 7.5$ Hz, 1H), 6.81 (d, $J = 7.8$ Hz, 1H), 6.64 – 6.44 (m, 3H), 5.94 (d, $J = 8.8$ Hz, 1H), 5.02 (d, $J = 16.0$ Hz, 1H), 4.61 (d, $J = 9.0$ Hz, 1H), 4.42 (d, $J = 16.0$ Hz, 1H), 3.40 (s, 3H), 2.24 (s, 3H). ^{13}C NMR (100 MHz, CDCl_3) δ = 177.2, 145.7, 143.2, 141.4, 135.3, 132.5, 130.9, 129.2, 128.6, 128.5, 128.2, 127.7, 127.0, 126.5, 123.5, 122.8, 122.6, 121.8, 121.4, 119.8, 119.3, 118.5, 118.1, 110.61, 109.9, 109.2, 70.5, 60.2, 43.6, 30.1, 8.6. IR (KBr): 3419, 3383, 2923, 1645, 1616, 1456, 1416, 1340, 1261, 1158, 1080, 1029, 741 cm^{-1} ; ESI FTMS exact mass calcd for

$(C_{41}H_{33}N_3O\text{-}H)^-$ requires m/z 582.2545, found m/z 582.2579. Enantiomeric excess: 73%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 80/20, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 4.553 min (major), t_R = 5.123 min (minor).

1'-benzyl-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (3ai):

(Flash column chromatography eluent, petroleum ether/ethyl acetate = 7/1); Reaction time = 16 h ; yield: 29% (8.4 mg); >95:5 dr; white solid; m.p. 170–172 °C; $[\alpha]_D^{20}$ = −46.4 (c 0.168, $CHCl_3$); 1H NMR (400 MHz, $CDCl_3$) δ = 8.31 (s, 1H), 8.00 (s, 1H), 7.47 (t, J =7.9, 2H), 7.32 (d, J =8.2, 1H), 7.25 – 7.22 (m, 2H), 7.21 – 7.19 (m, 2H), 7.18 – 7.16 (m, 2H), 7.16 – 7.14 (m, 2H), 7.14 – 7.10 (m, 3H), 7.10 – 7.04 (m, 3H), 6.95 (t, J =7.5, 1H), 6.79 (d, J =7.9, 1H), 6.51 (t, J =8.3, 3H), 5.91 (d, J =9.8, 1H), 5.01 (d, J =16.0, 1H), 4.57 (d, J =9.8, 1H), 4.40 (d, J =16.0, 1H), 2.17 (s, 3H). ^{13}C NMR (100 MHz, $CDCl_3$) δ = 177.0, 144.7, 143.3, 140.4, 135.6, 135.3, 132.4, 130.6, 129.3, 129.2, 128.6, 128.2, 127.7, 127.0, 126.5, 123.5, 123.0, 122.8, 121.9, 120.2, 119.3, 118.5, 118.11, 112.07, 110.6, 109.9, 109.2, 70.6, 60.3, 43.6, 40.9, 8.6. IR (KBr): 3544, 3385, 2961, 2922, 1698, 1614, 1456, 1363, 1261, 1157, 1082, 1030, 803, 742 cm^{-1} ; ESI FTMS exact mass calcd for $(C_{40}H_{31}N_3O\text{-}H)^-$ requires m/z 568.2388, found m/z 568.2416. Enantiomeric excess: 93%, determined by HPLC (Daicel Chirapak IC, hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C, 254 nm): t_R = 4.333 min (minor), t_R = 4.950 min (major).

6. Procedure and characterization data for the derivation of product 3ea

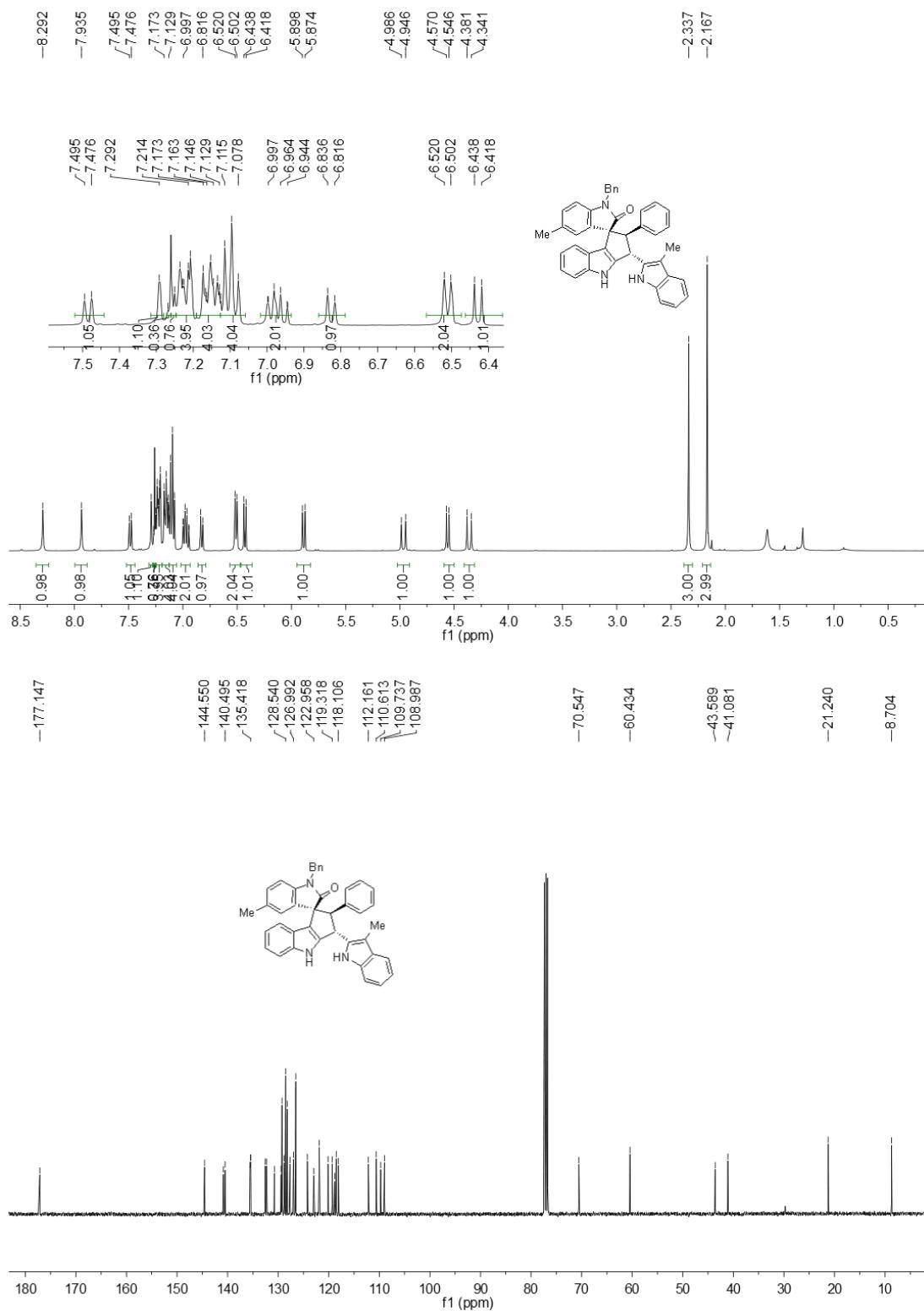
Under argon atmosphere, compound **3ea** (0.05 mmol), 4-chlorophenylboronic acid (0.075 mmol), $CsCO_3$ (0.1 mmol), $Pd(OAc)_2$ (0.0025 mmol) and butyldi-1-adamantylphosphine (0.003 mmol) were added to a dried tube. After adding DME (0.6 mL) to the reaction system, the reaction mixture was stirred at 80 °C for 15 h. Then, the reaction mixture was subjected to flash column chromatography (petroleum ether/ethyl acetate = 6/1) to give pure product **7**.

(1R,2R,3R)-1'-benzyl-6'-(4-chlorophenyl)-3-(3-methyl-1H-indol-2-yl)-2-phenyl-3,4-dihydro-2H-spiro[cyclopenta[b]indole-1,3'-indolin]-2'-one (7):

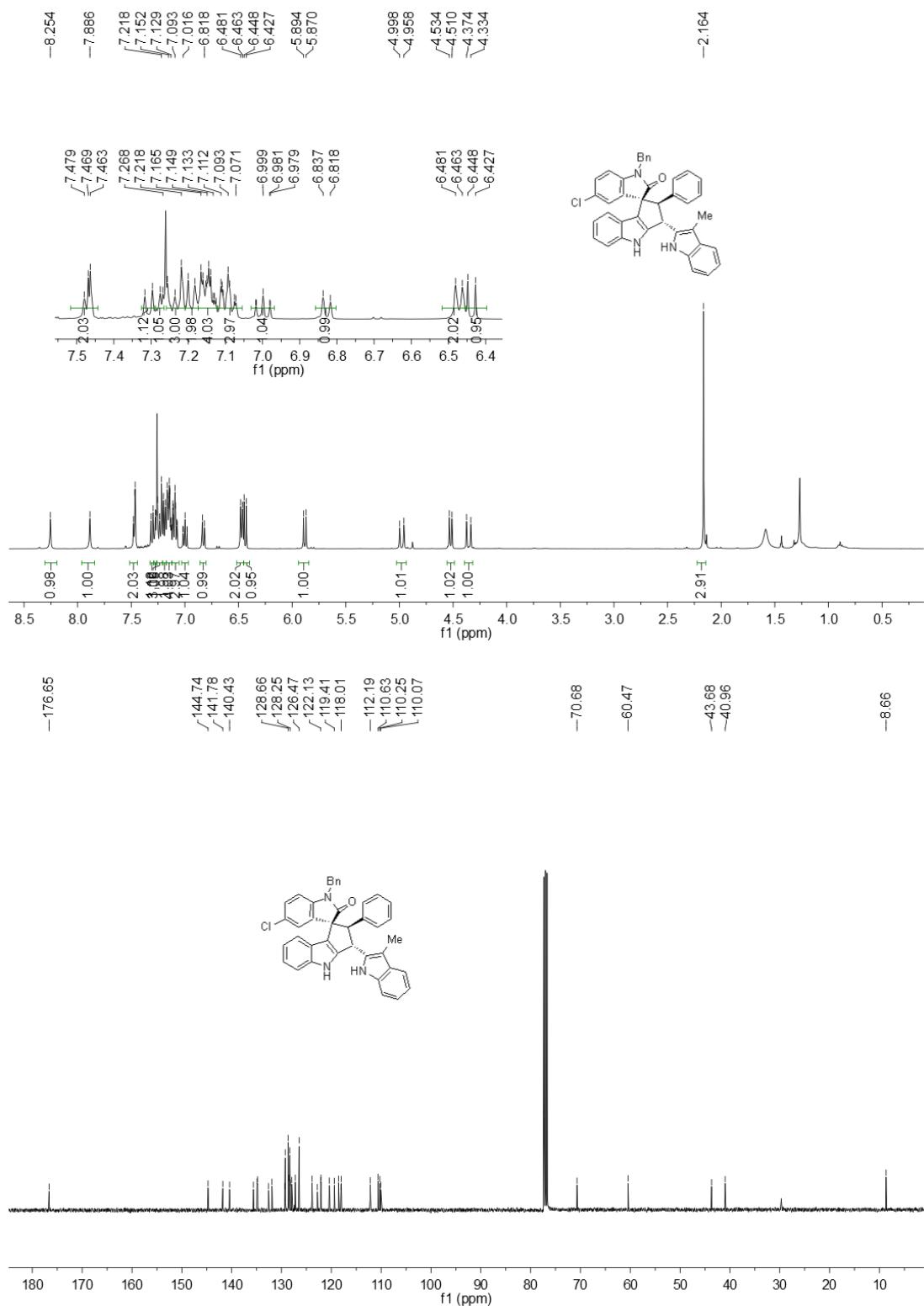
(Flash column chromatography eluent, petroleum ether/ethyl acetate = 6/1); Reaction time = 15 h ; yield: 92% (31.2 mg); white solid; m.p. 141–143 °C; $[\alpha]_D^{20} = -91.3$ (c 0.624, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.75 (s, 1H), 8.41 (s, 1H), 7.64 – 7.51 (m, 2H), 7.47 – 7.40 (m, 2H), 7.37 (d, *J* = 4.5 Hz, 3H), 7.28 (s, 1H), 7.26 – 7.21 (m, 4H), 7.19 – 7.12 (m, 4H), 7.12-7.05 (m, 4H), 6.95 (t, *J* = 7.5 Hz, 1H), 6.85 (t, *J* = 8.7 Hz, 1H), 6.68 (s, 1H), 6.54 (d, *J* = 7.2 Hz, 2H), 5.90 (d, *J* = 9.8 Hz, 1H), 5.02 (d, *J* = 16.0 Hz, 1H), 4.62 (d, *J* = 9.7 Hz, 1H), 4.44 (d, *J* = 16.0 Hz, 1H), 2.17 (s, 3H). ¹³C NMR (100 MHz, CDCl₃) δ = 177.3, 145.0, 143.9, 140.6, 140.4, 139.3, 135.7, 135.4, 135.2, 133.5, 132.6, 130.3, 129.4, 129.3, 129.0, 128.9, 128.6, 128.3, 128.3, 127.7, 127.1, 126.5, 123.9, 123.0, 121.8, 121.7, 121.6, 120.1, 119.2, 118.4, 118.3, 118.0, 112.3, 110.7, 109.6, 107.6, 70.5, 60.2, 43.6, 41.0, 8.7. IR (KBr): 3375, 3030, 2963, 2852, 1714, 1617, 1485, 1449, 1376, 1261, 1093, 1009, 810, 741, 698 cm⁻¹; ESI FTMS exact mass calcd for (C₄₆H₃₄ClN₃O-H)⁻ requires m/z 678.2311, found m/z 678.2308.

7. Copies of NMR spectra for products 3 and 7

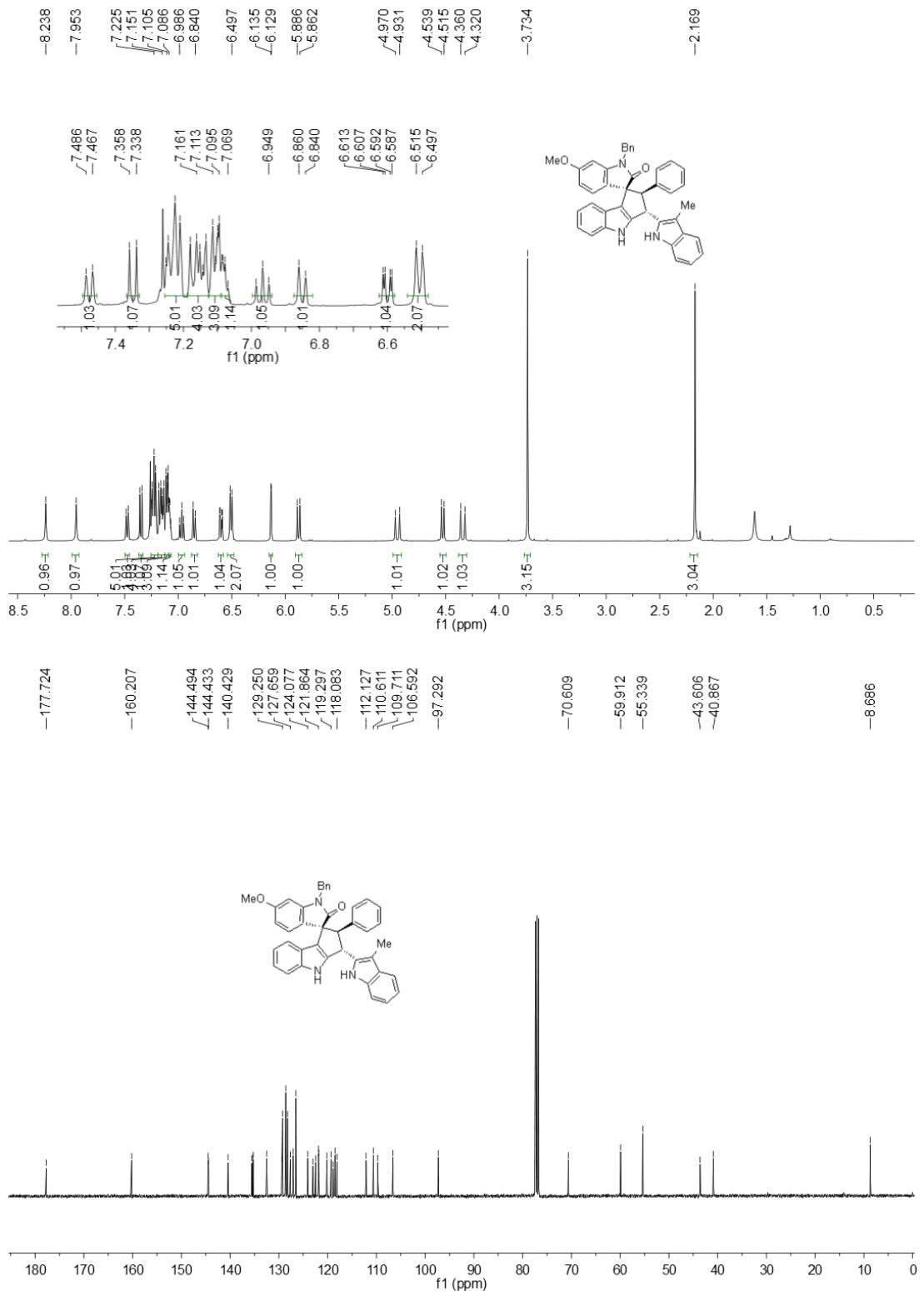
3ba



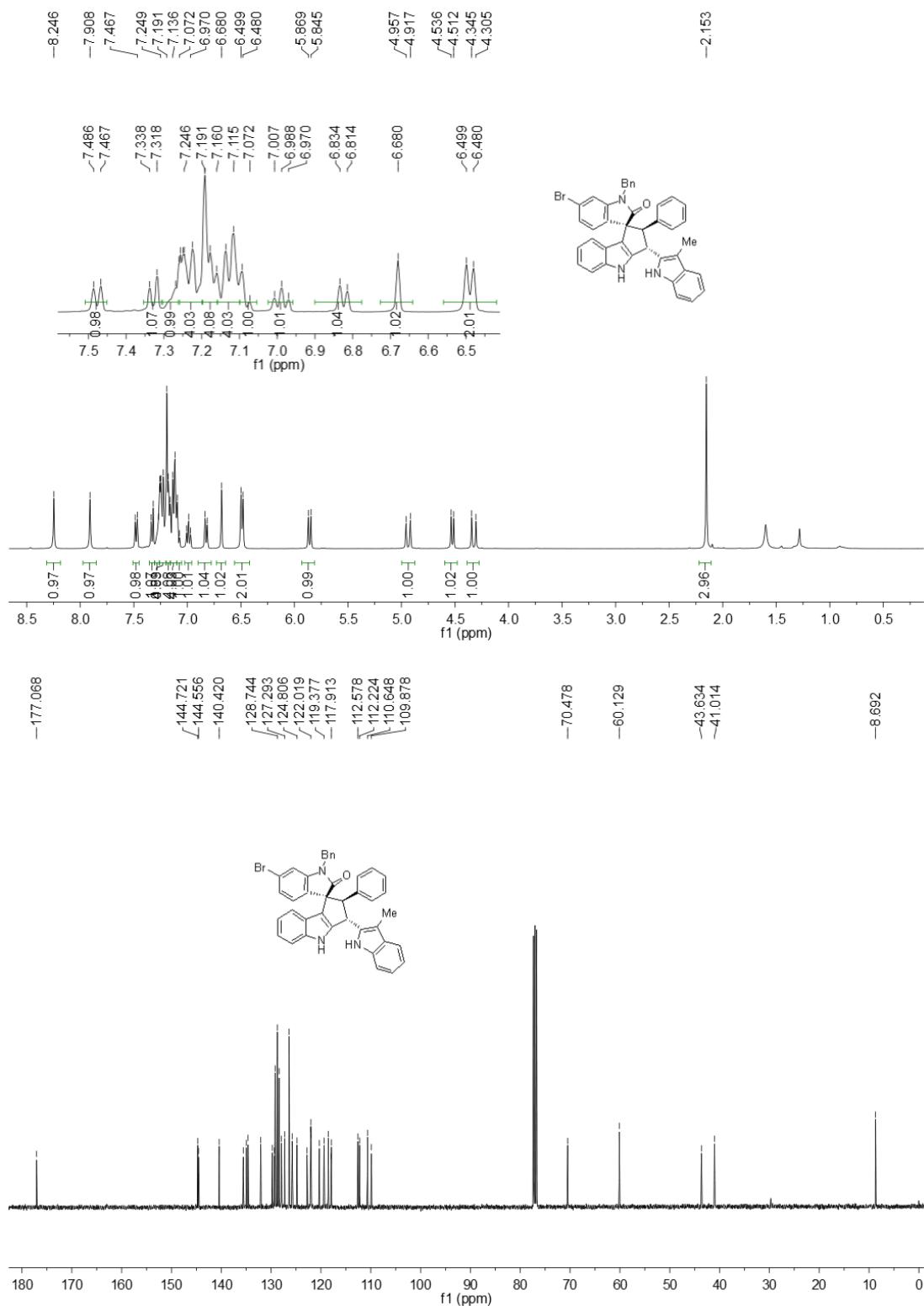
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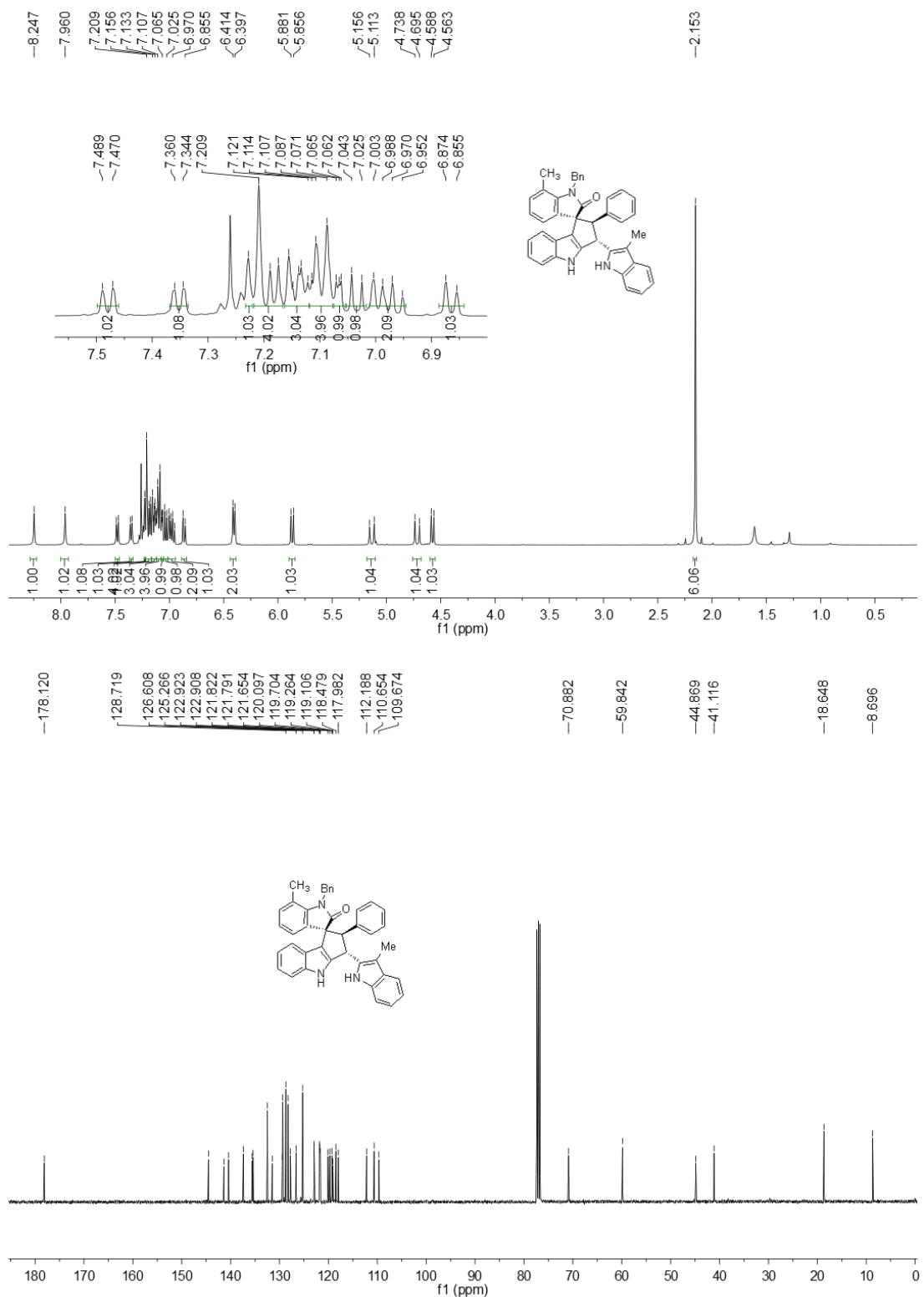
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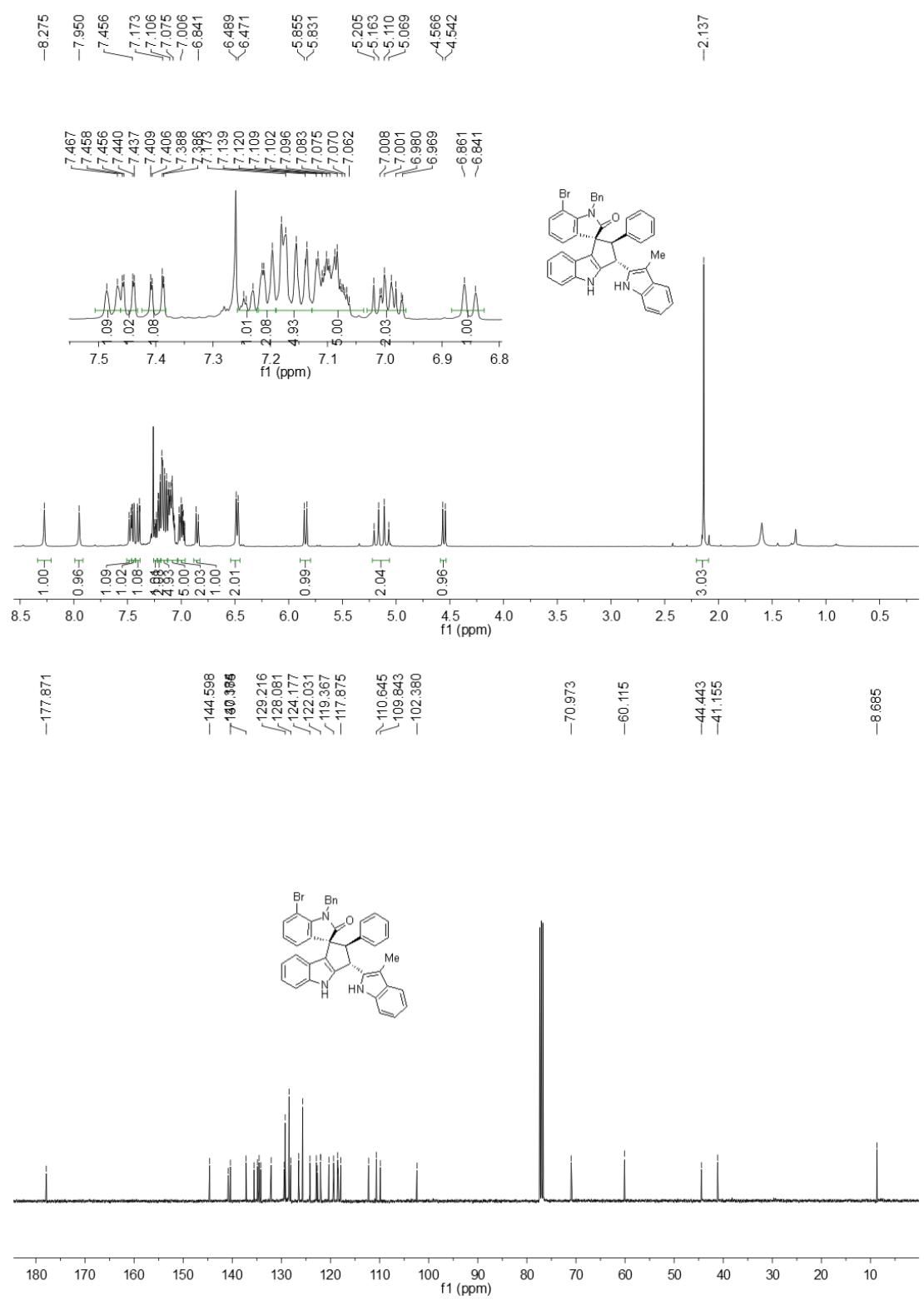
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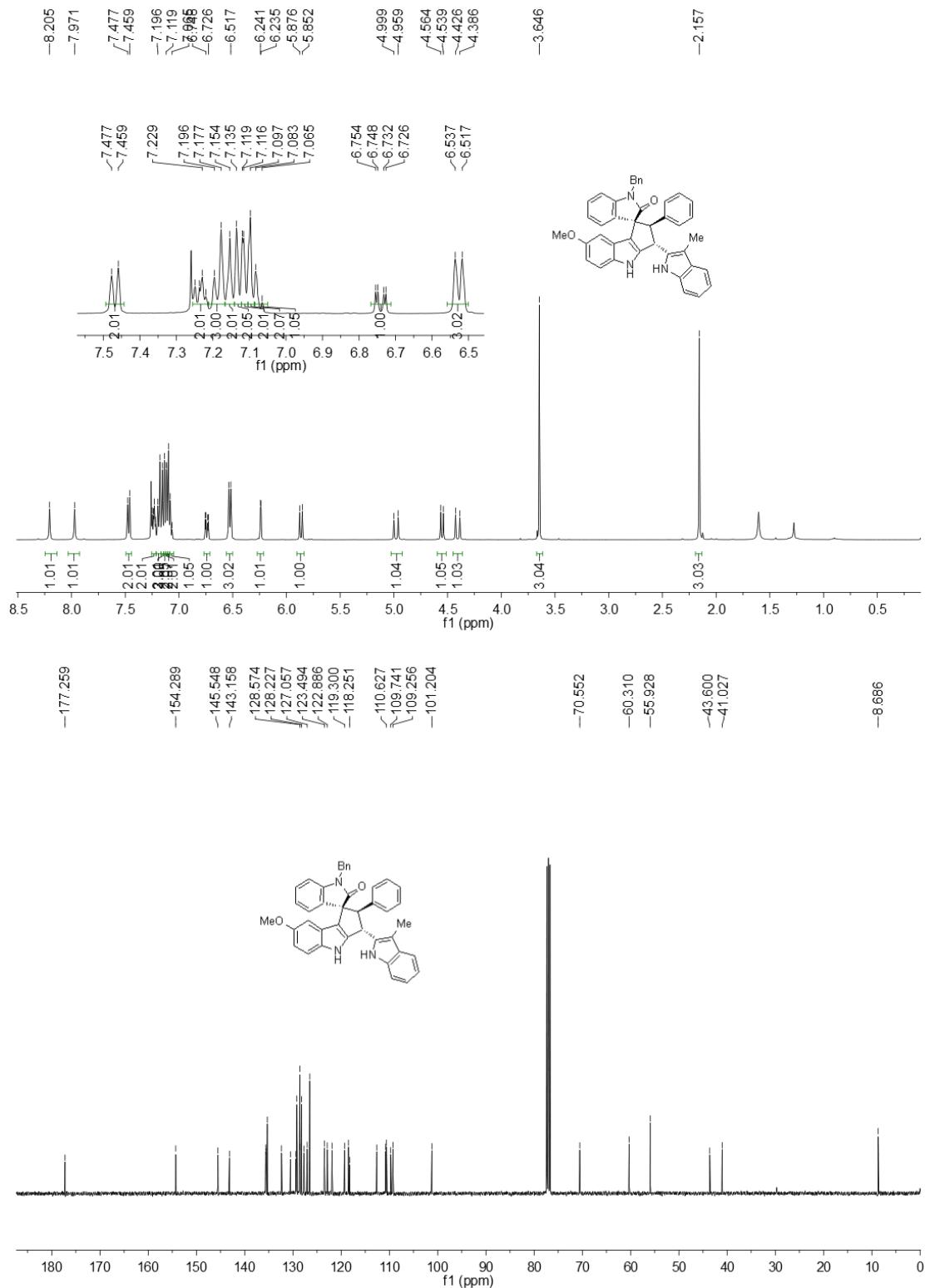
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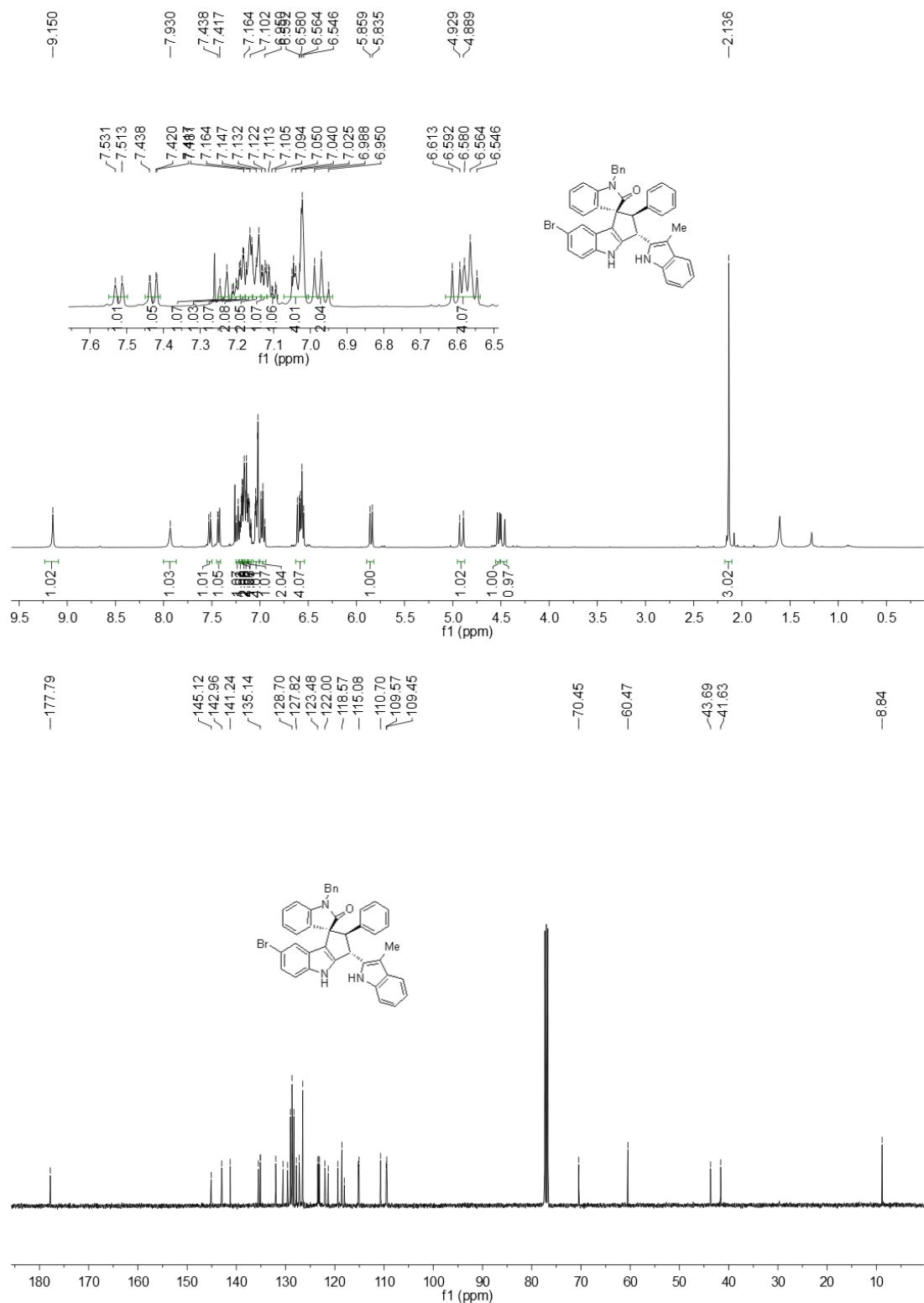
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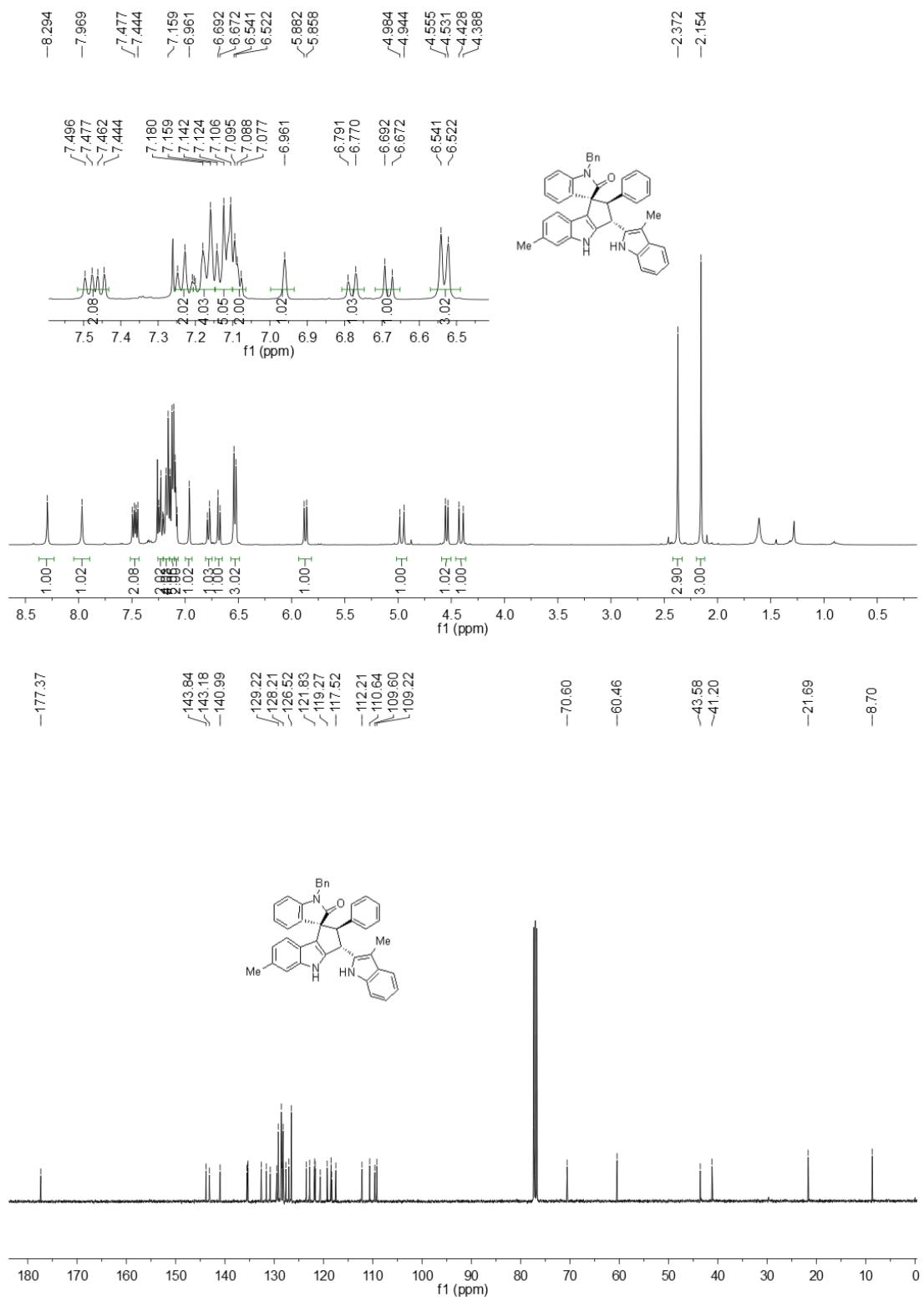
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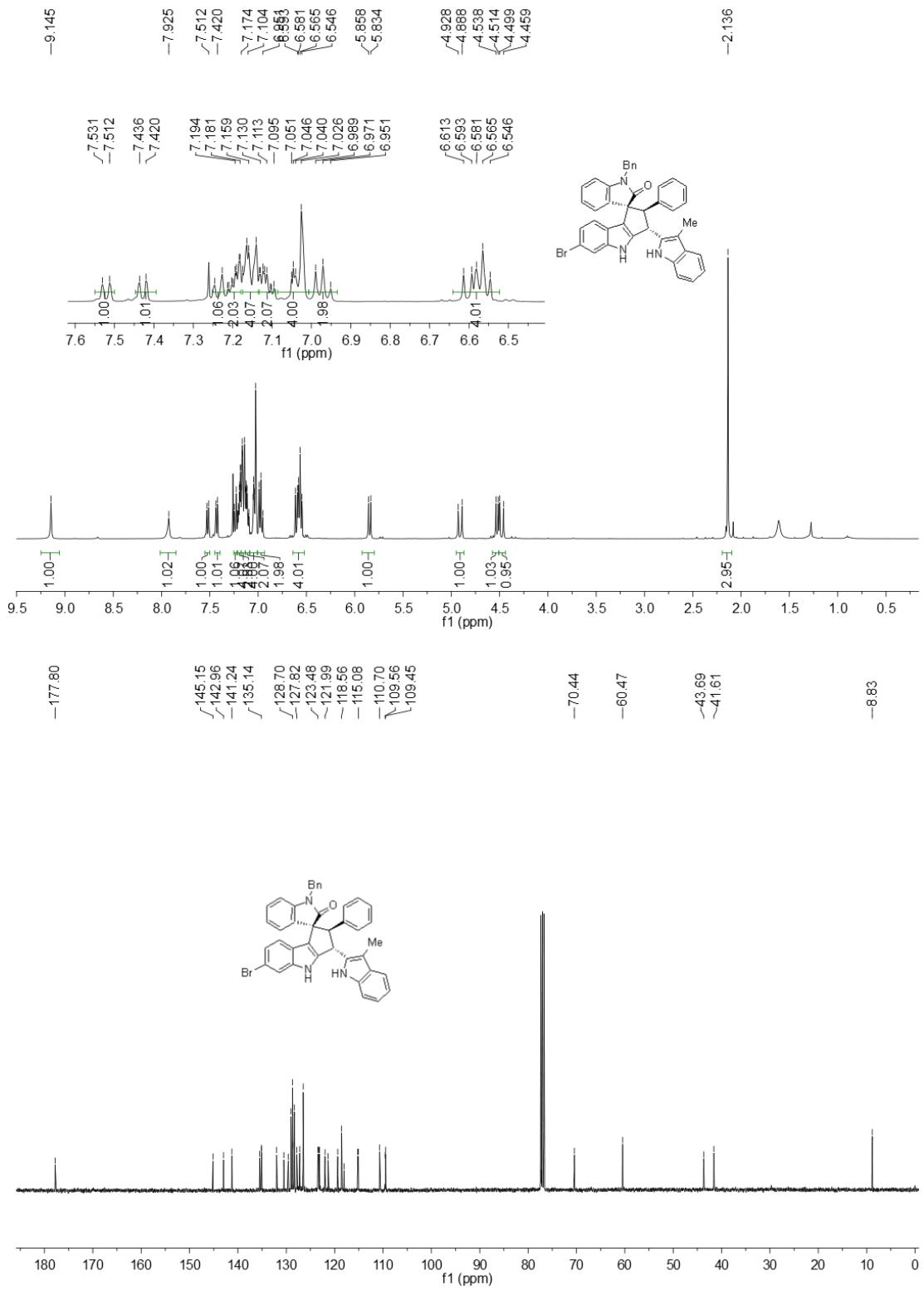
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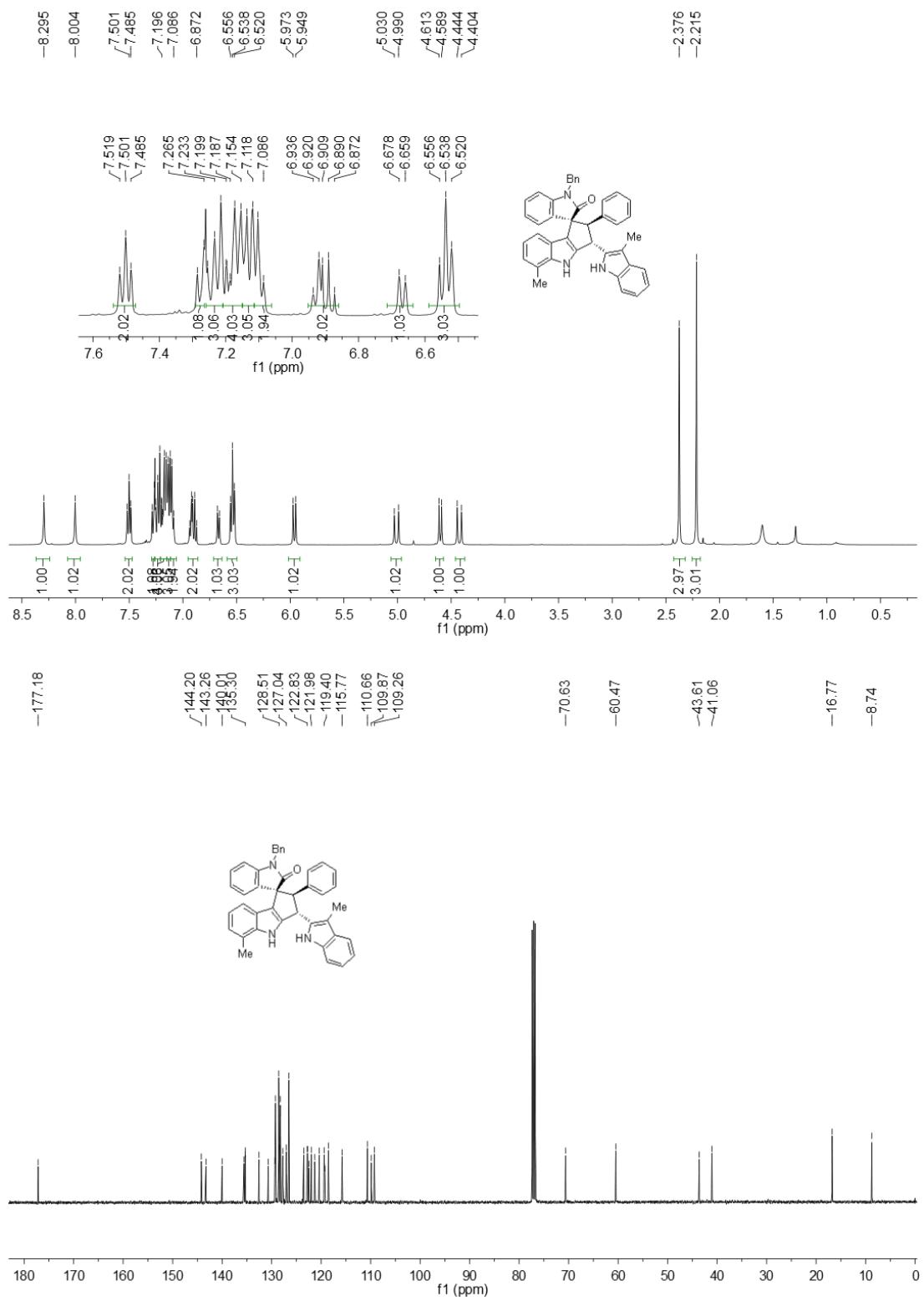
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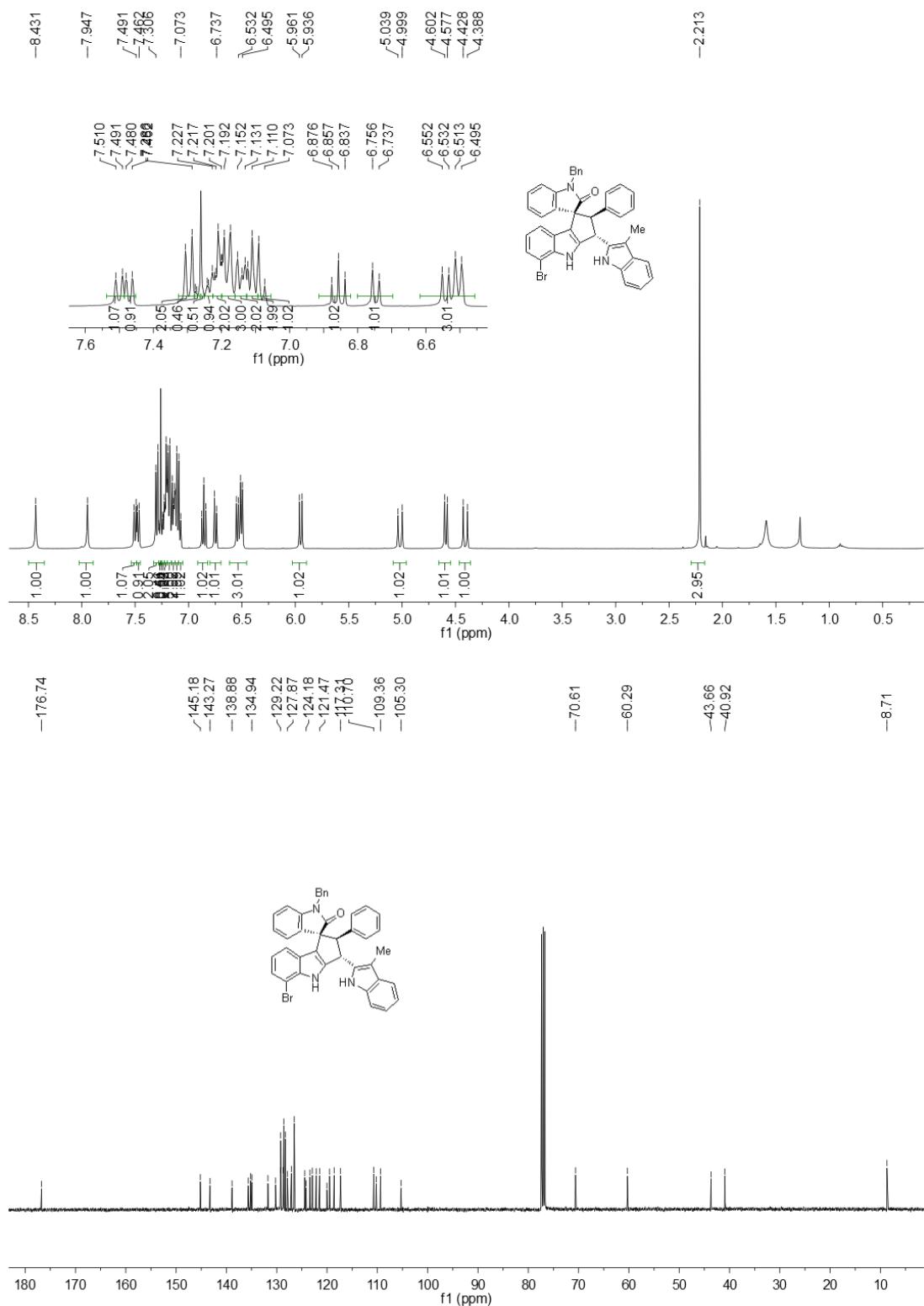
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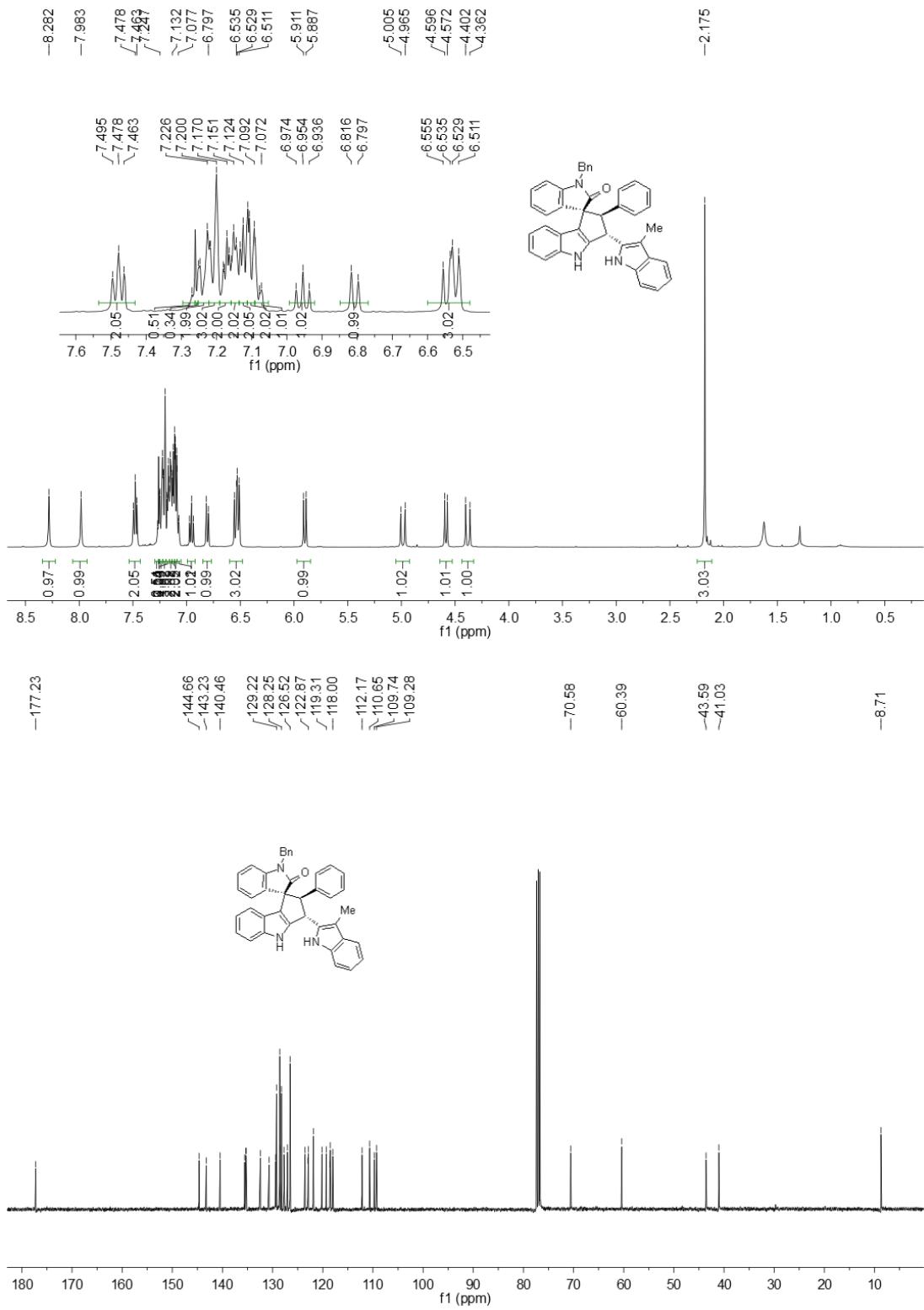
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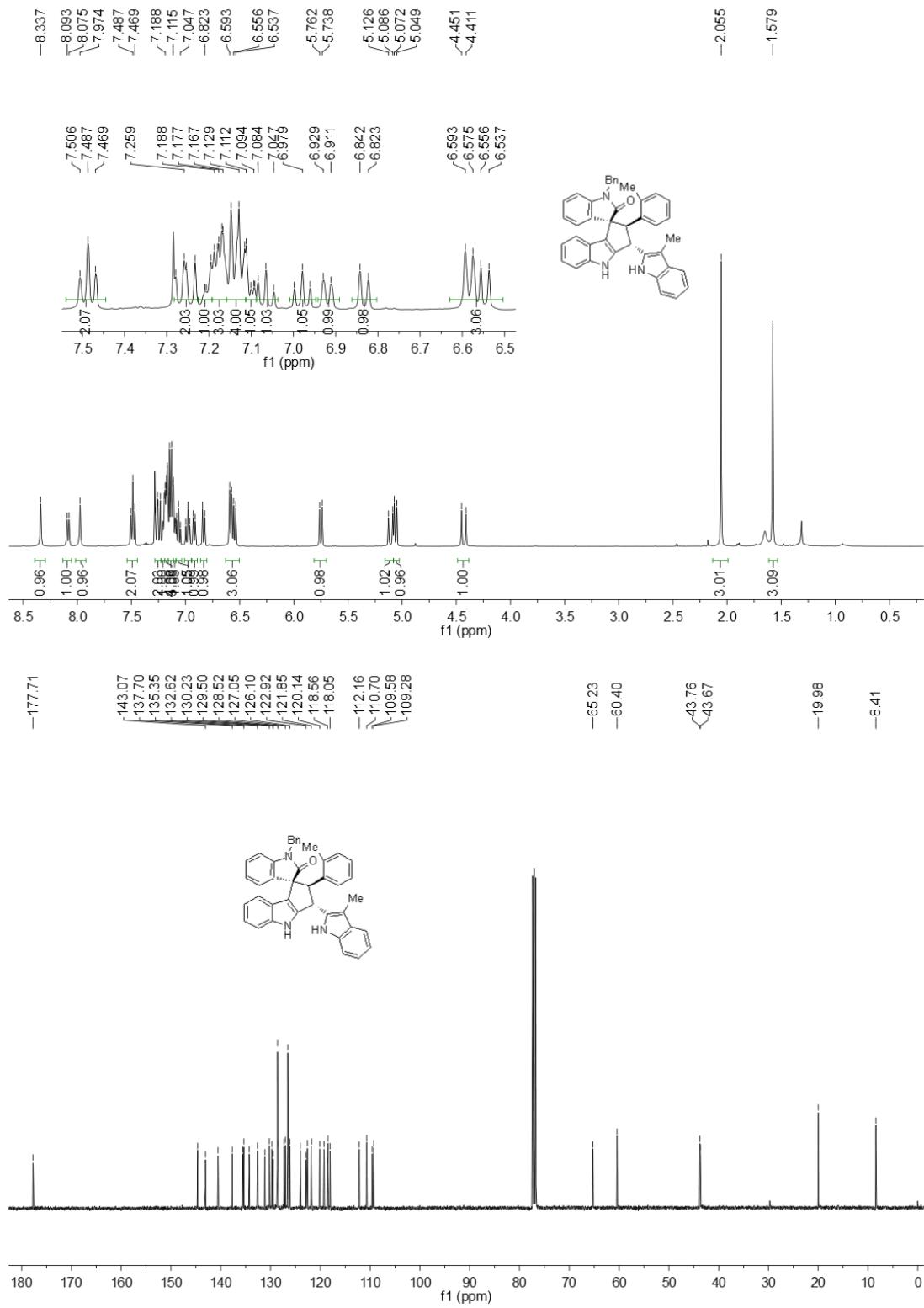
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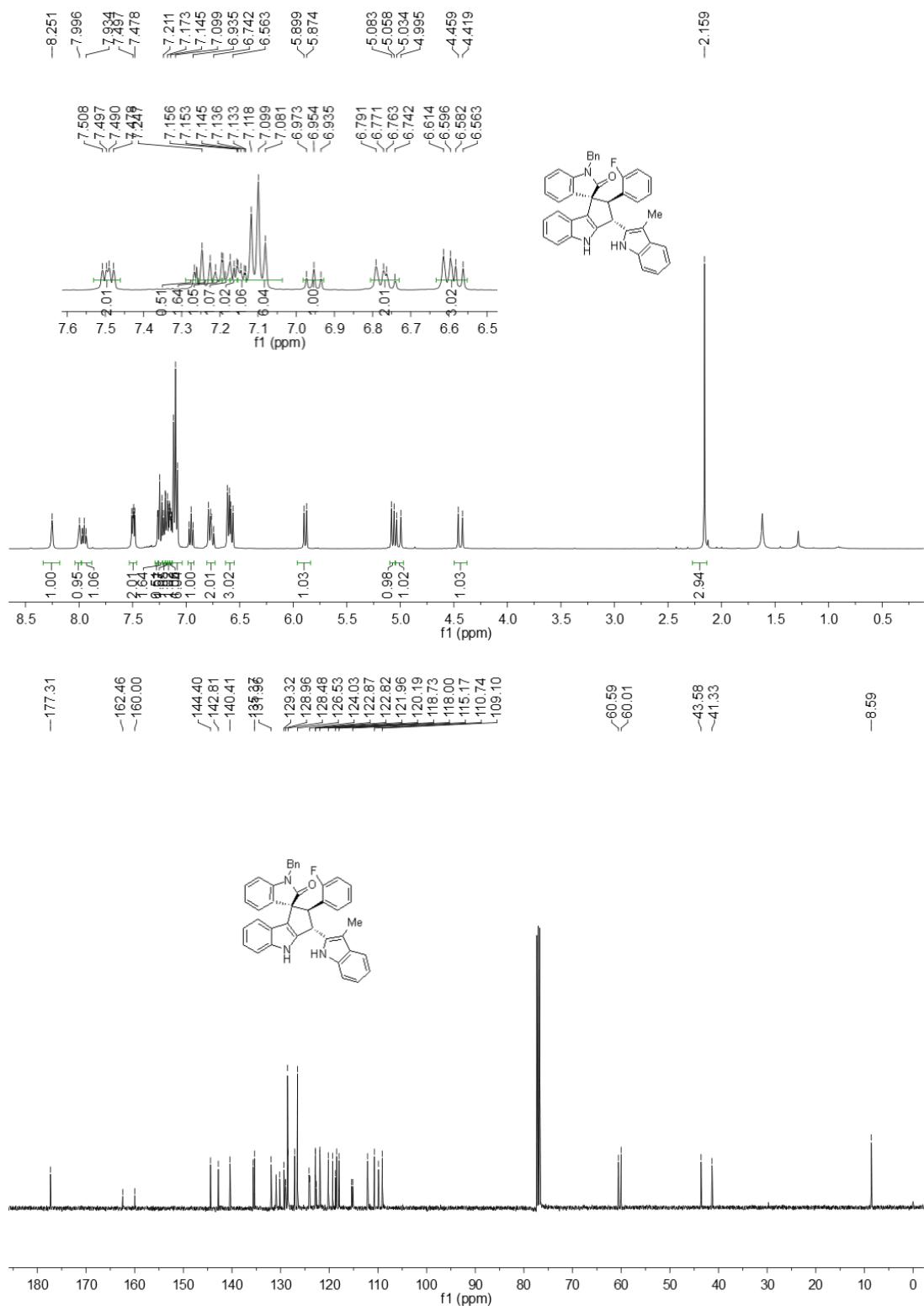
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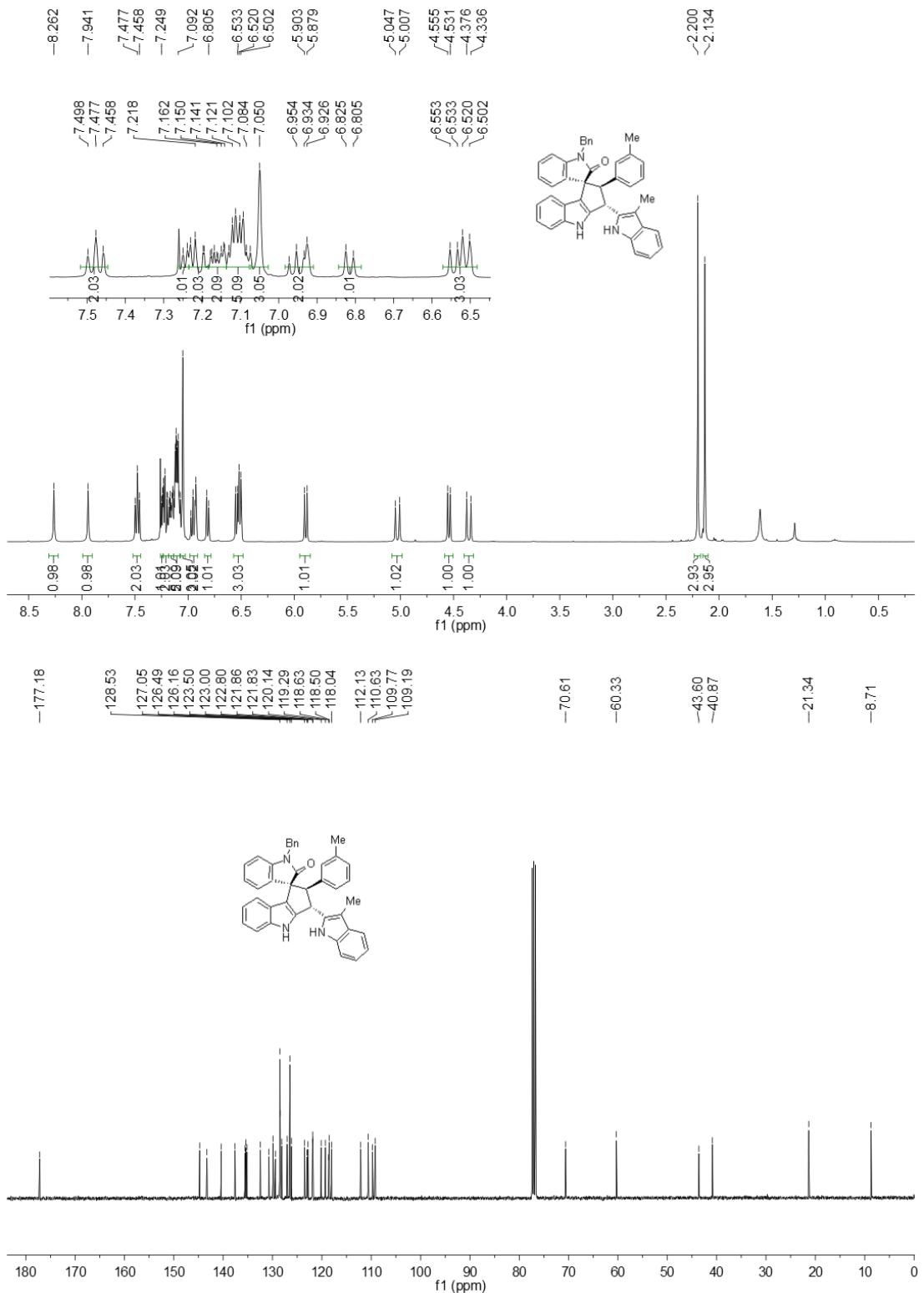
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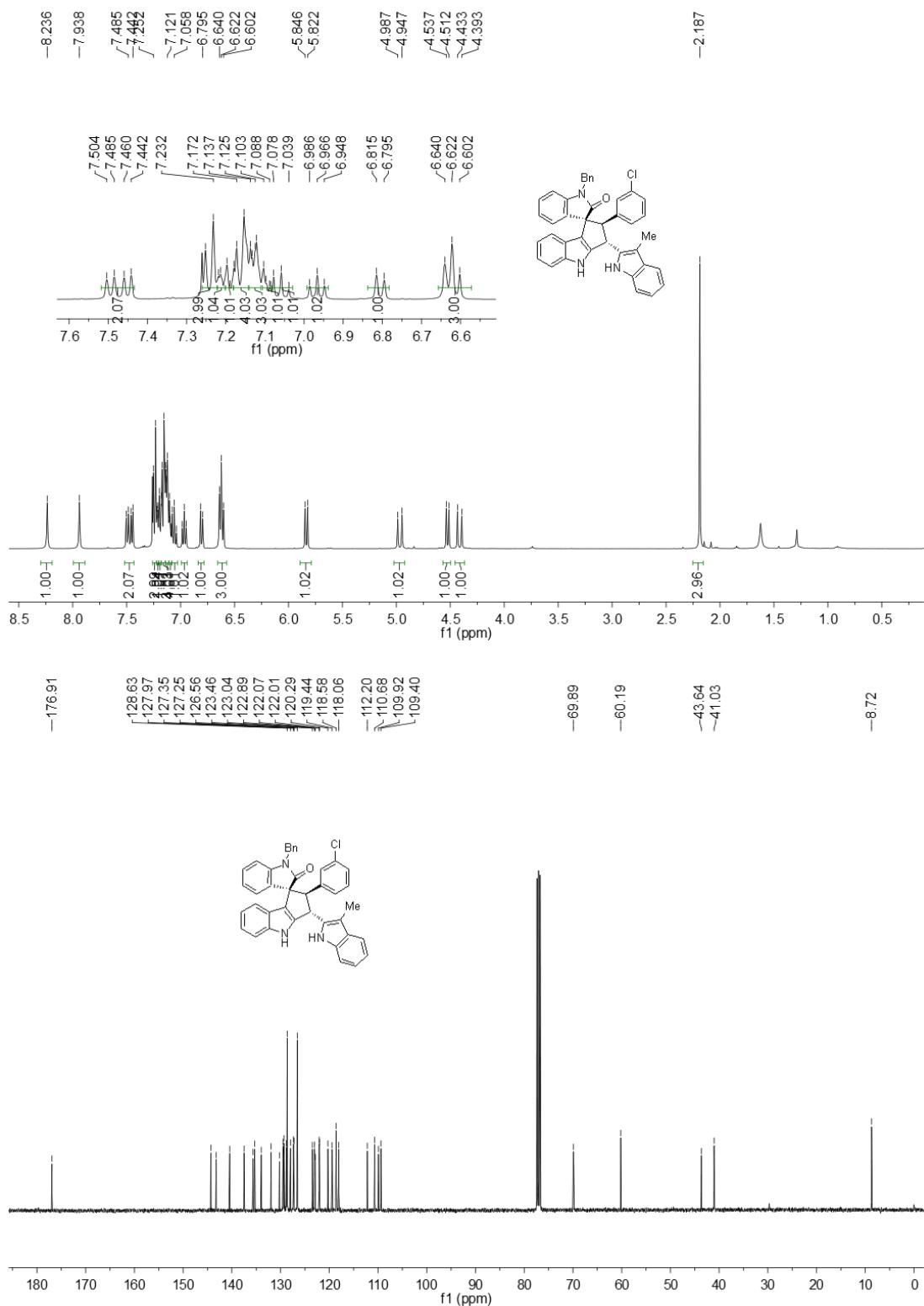
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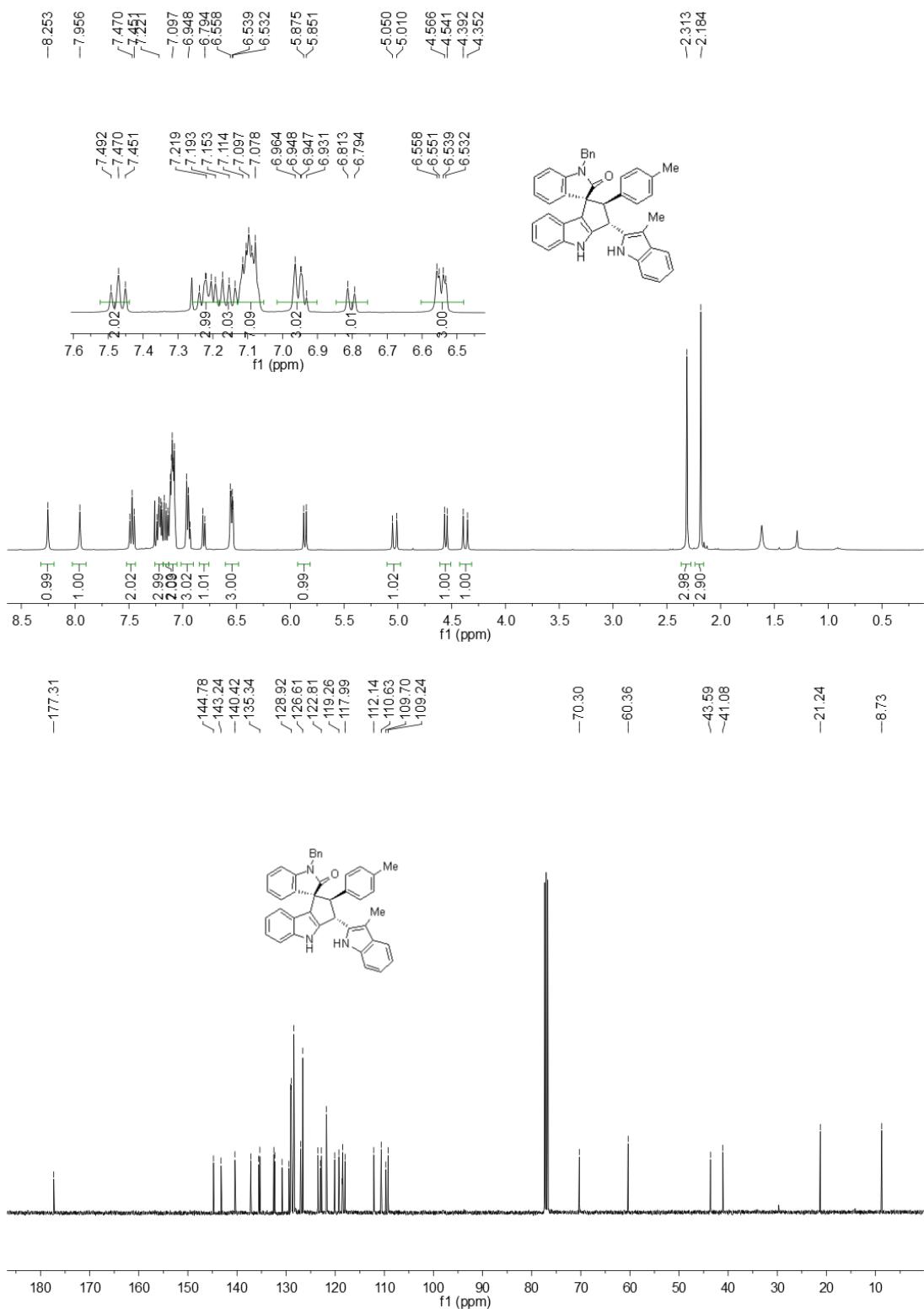
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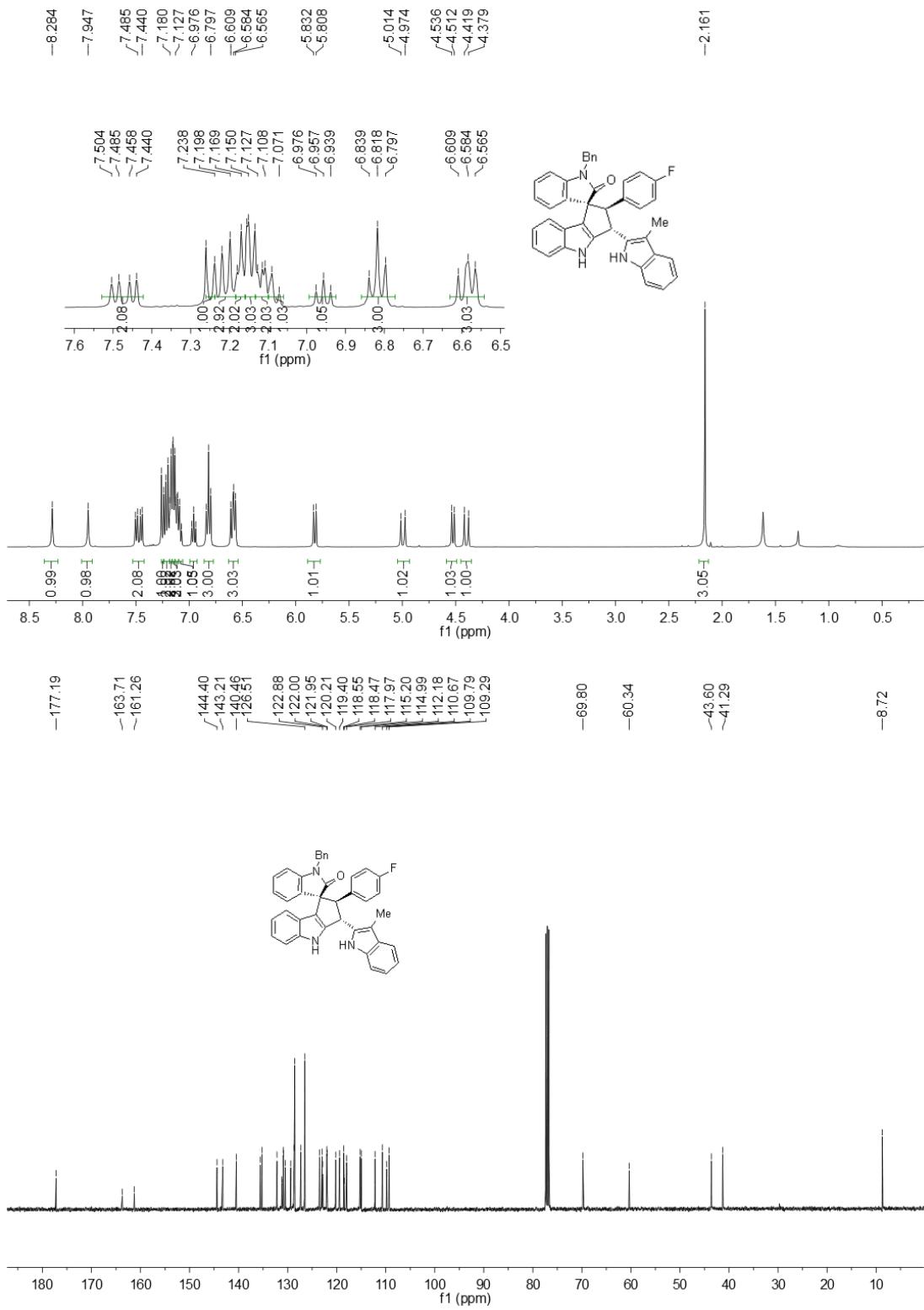
3ae



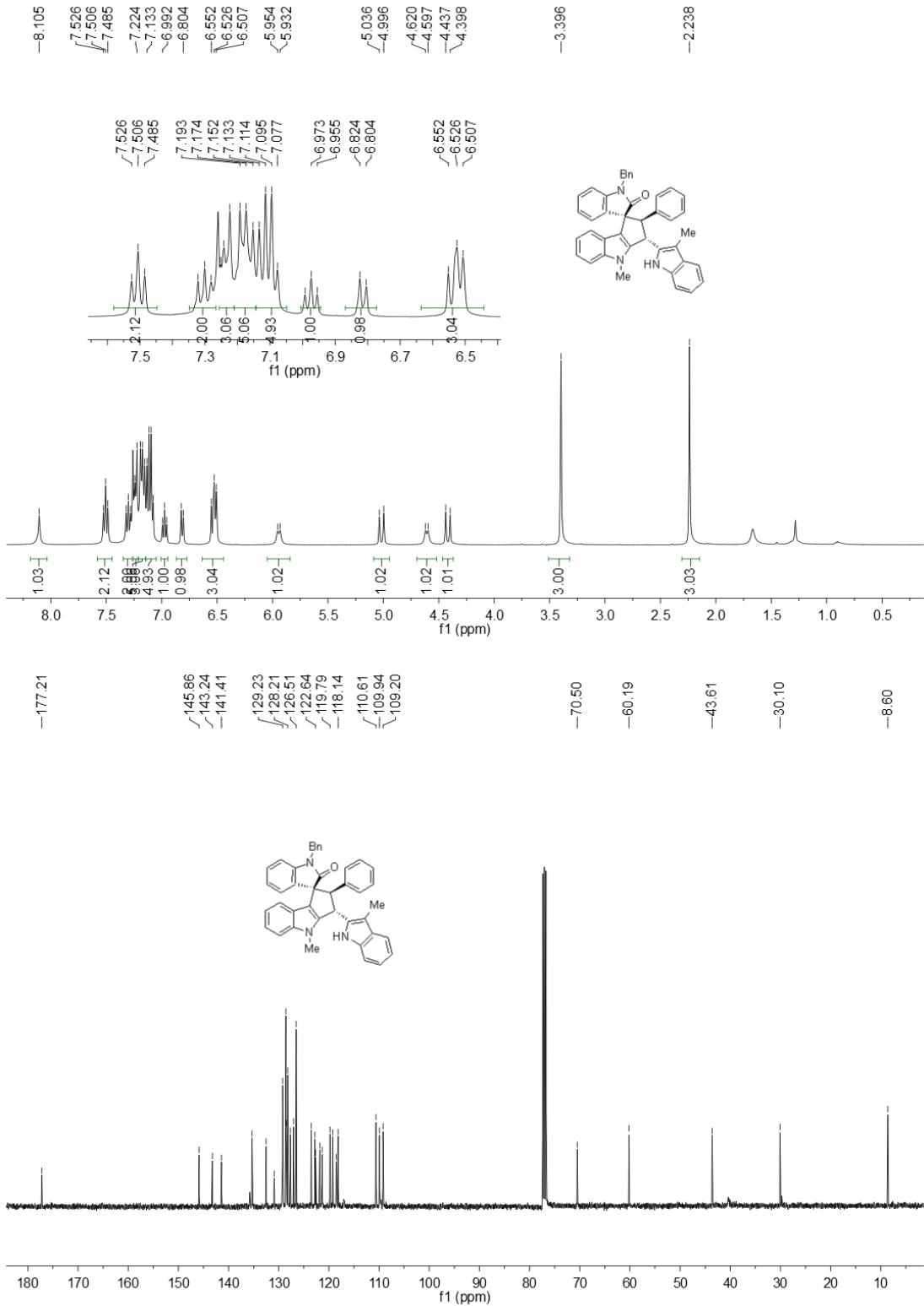
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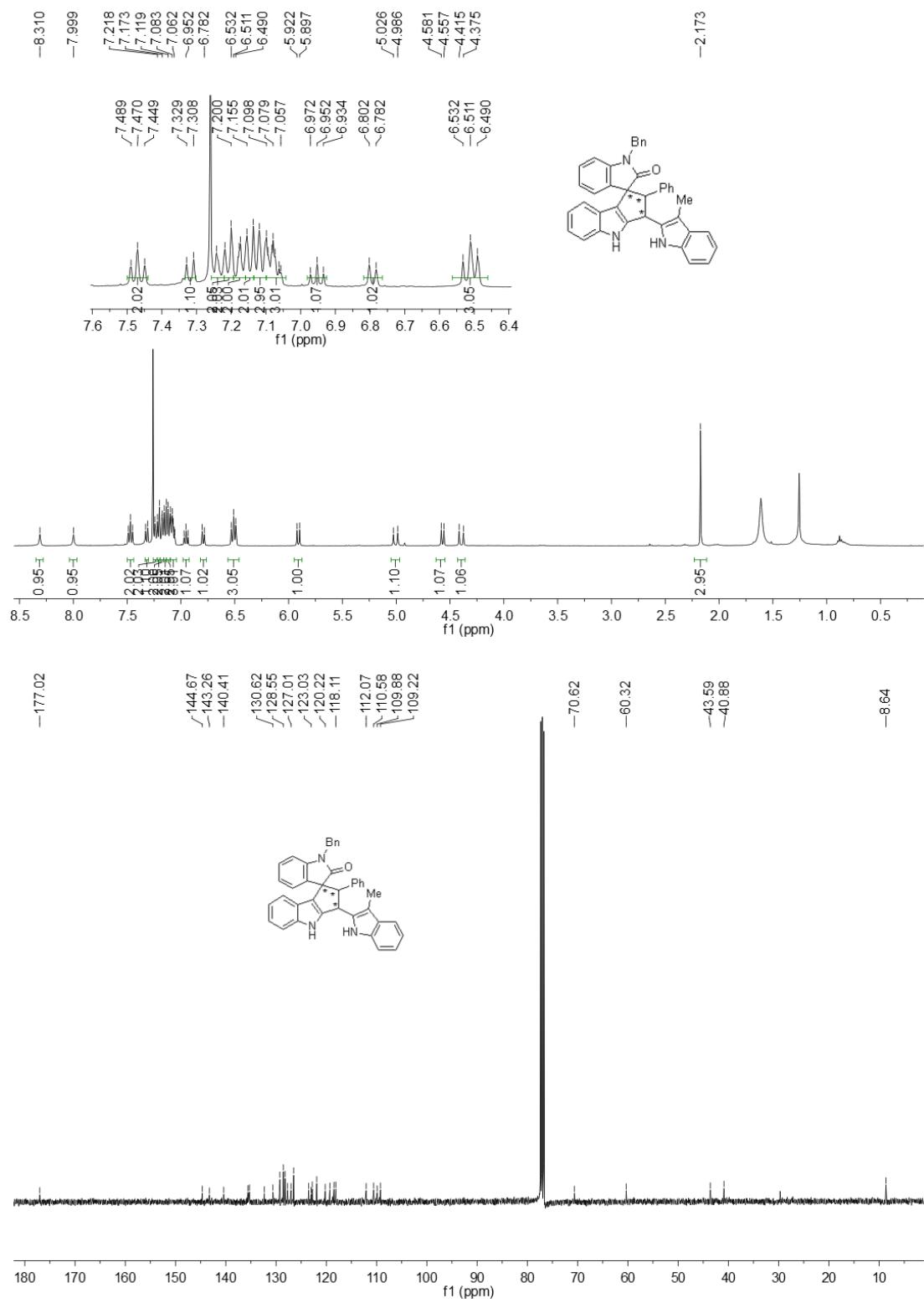
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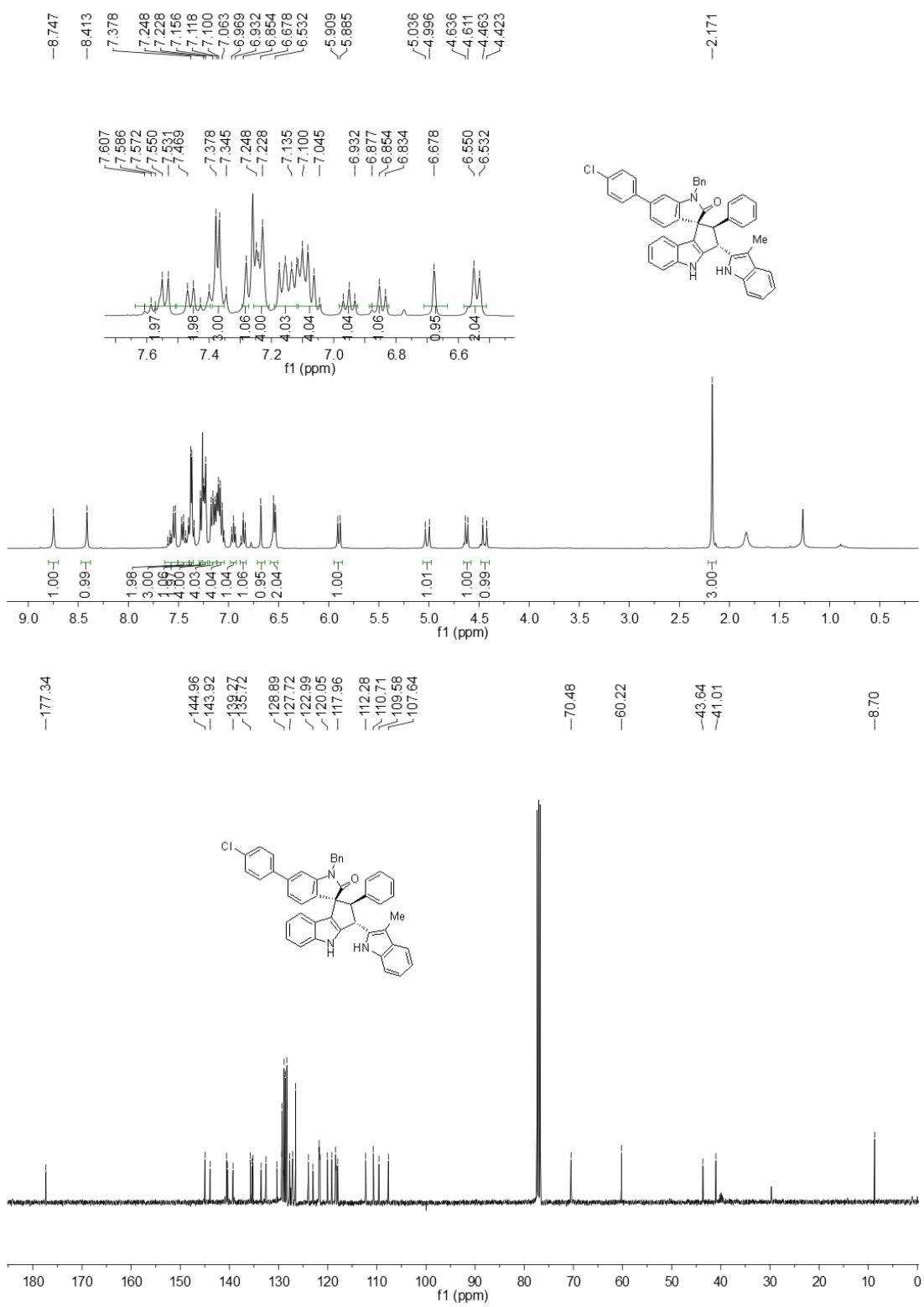
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3ai

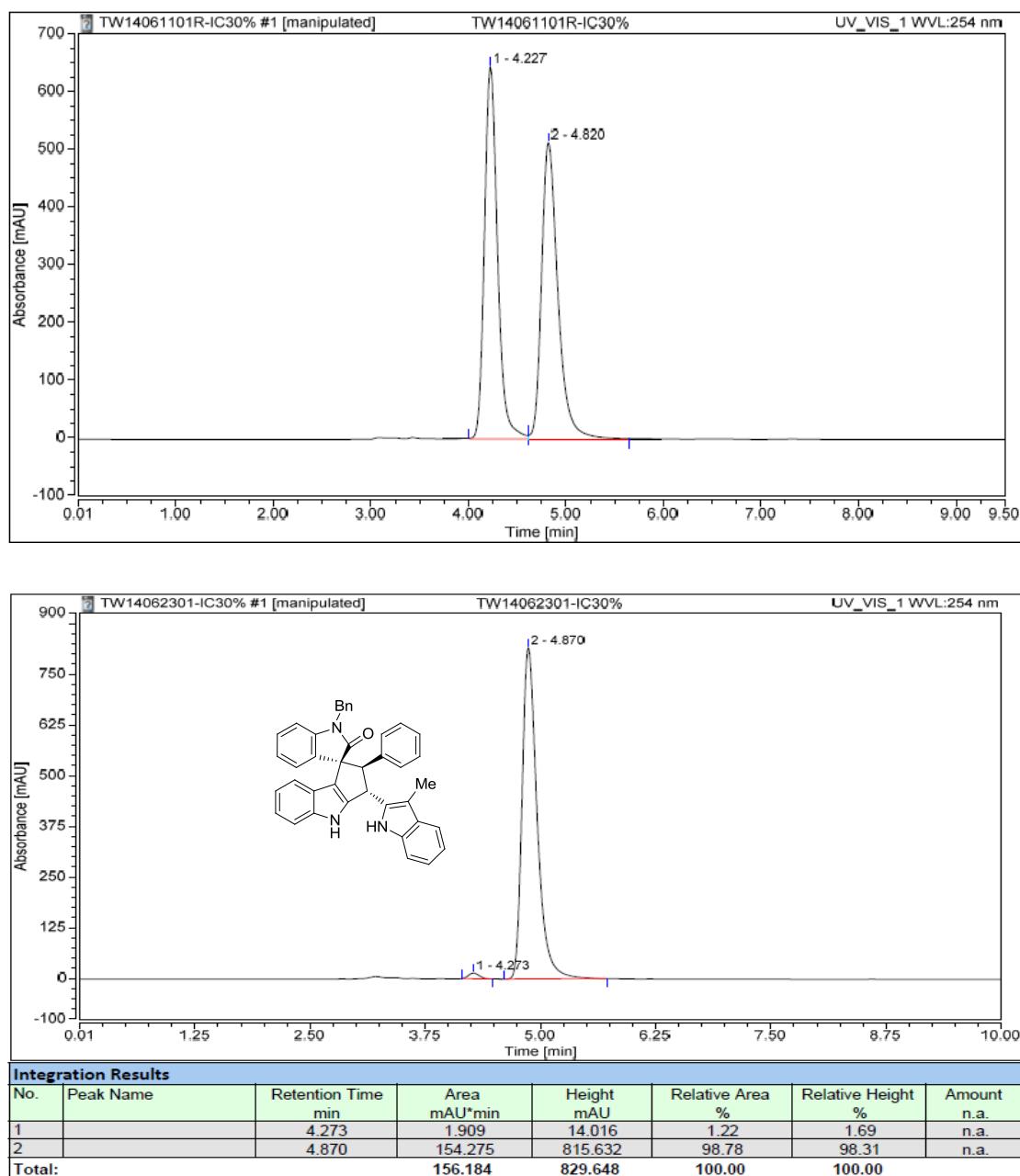


Compound 7

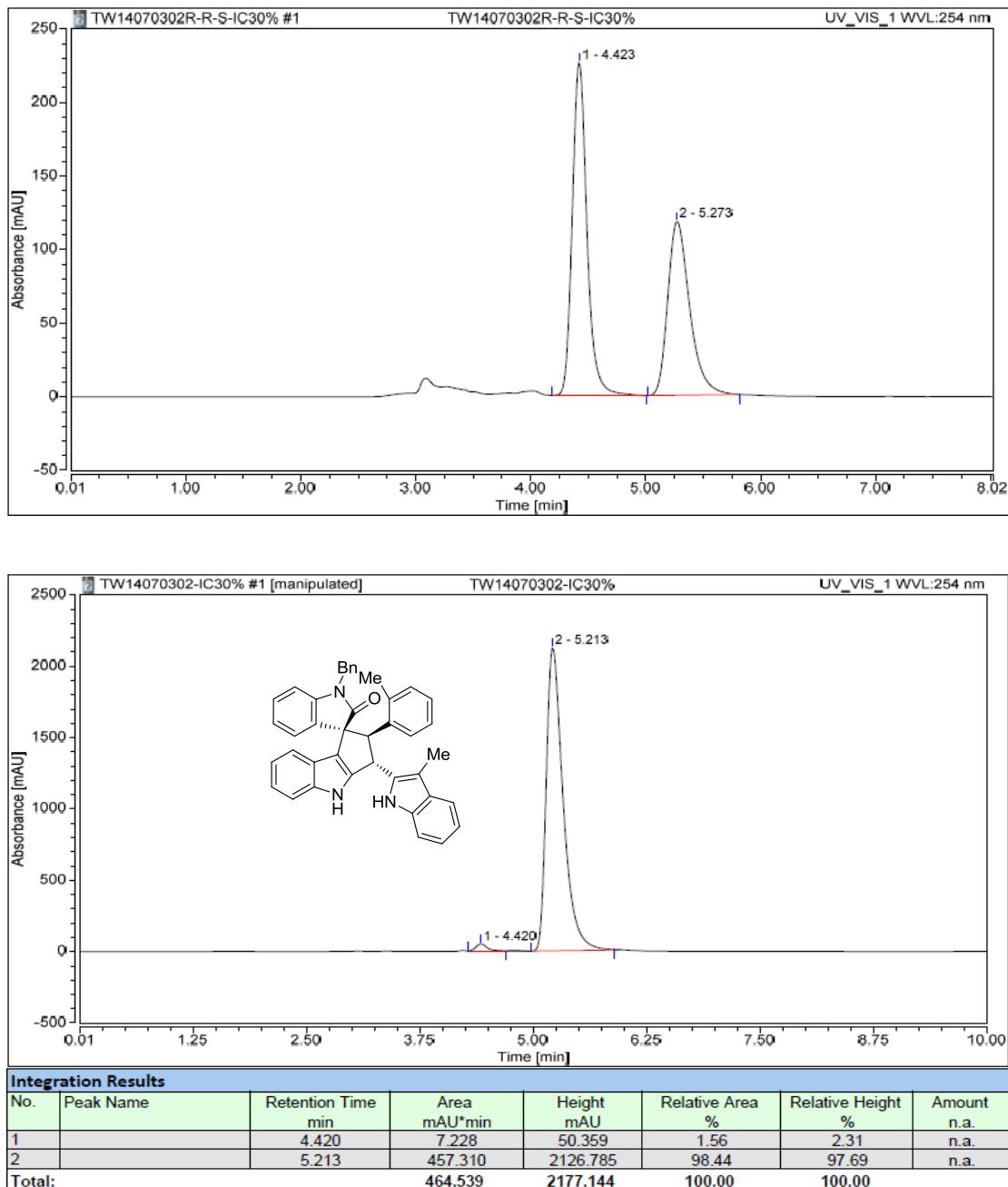


8. Chiral HPLC analyses of products 3

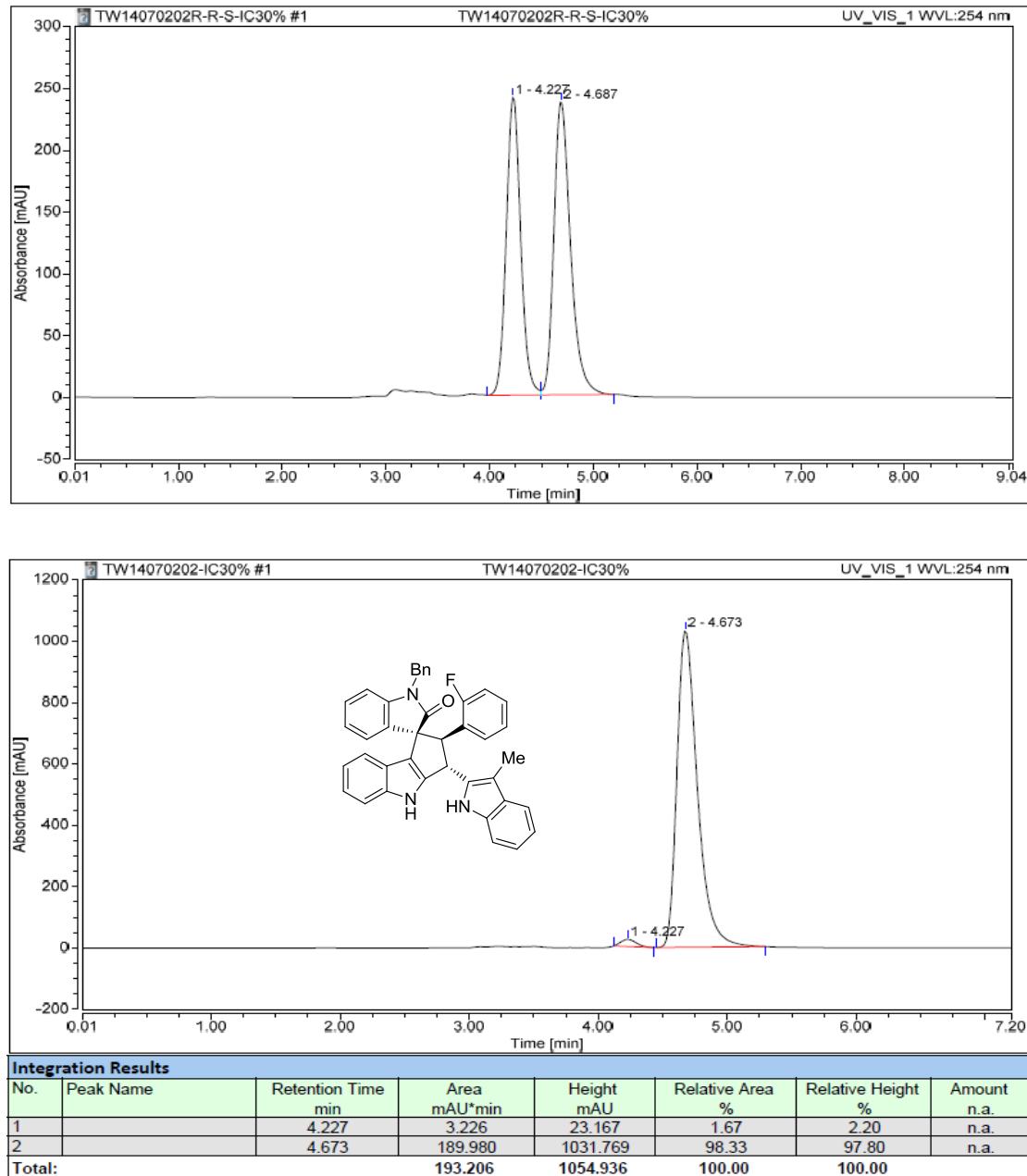
3aa



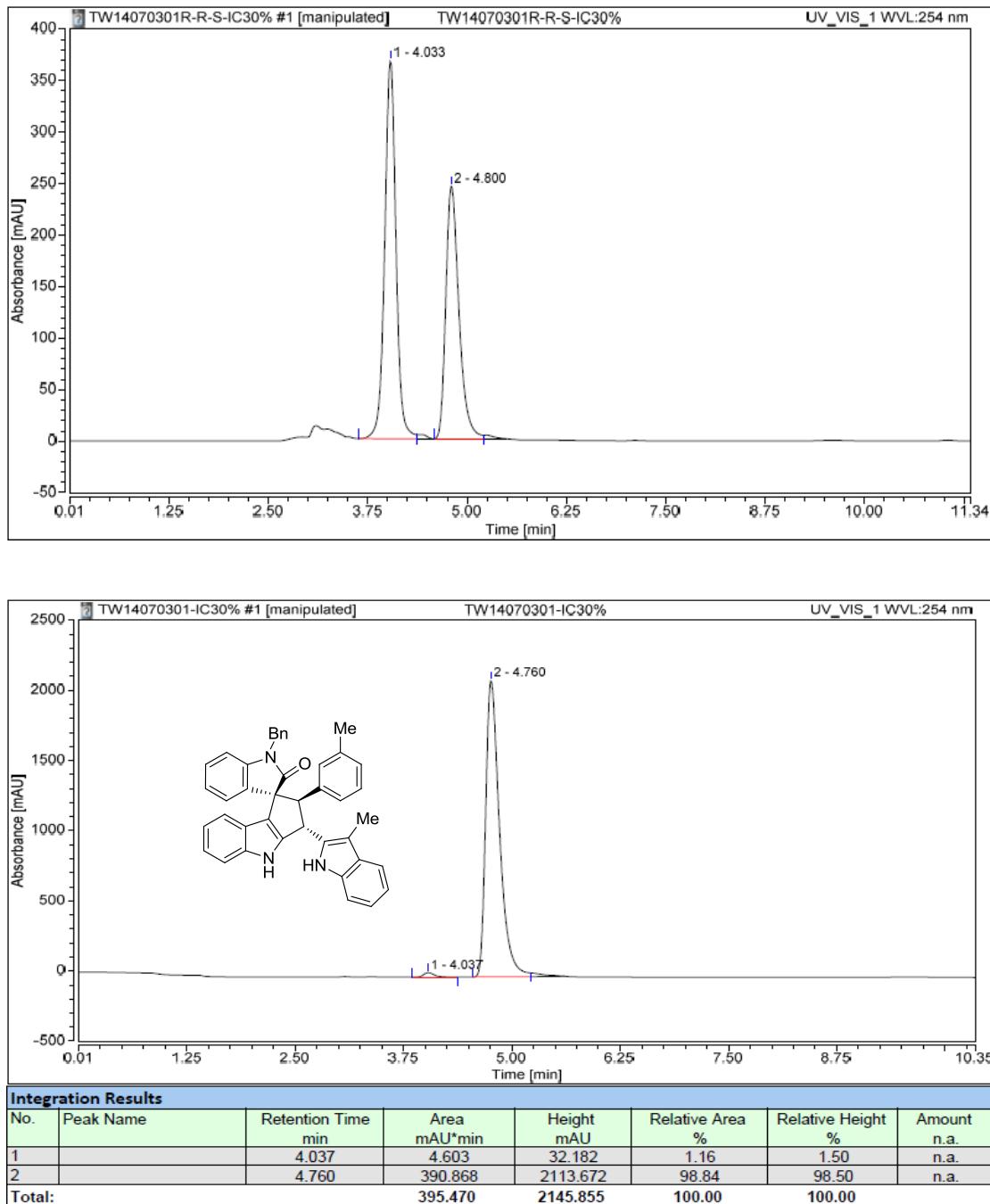
3ab



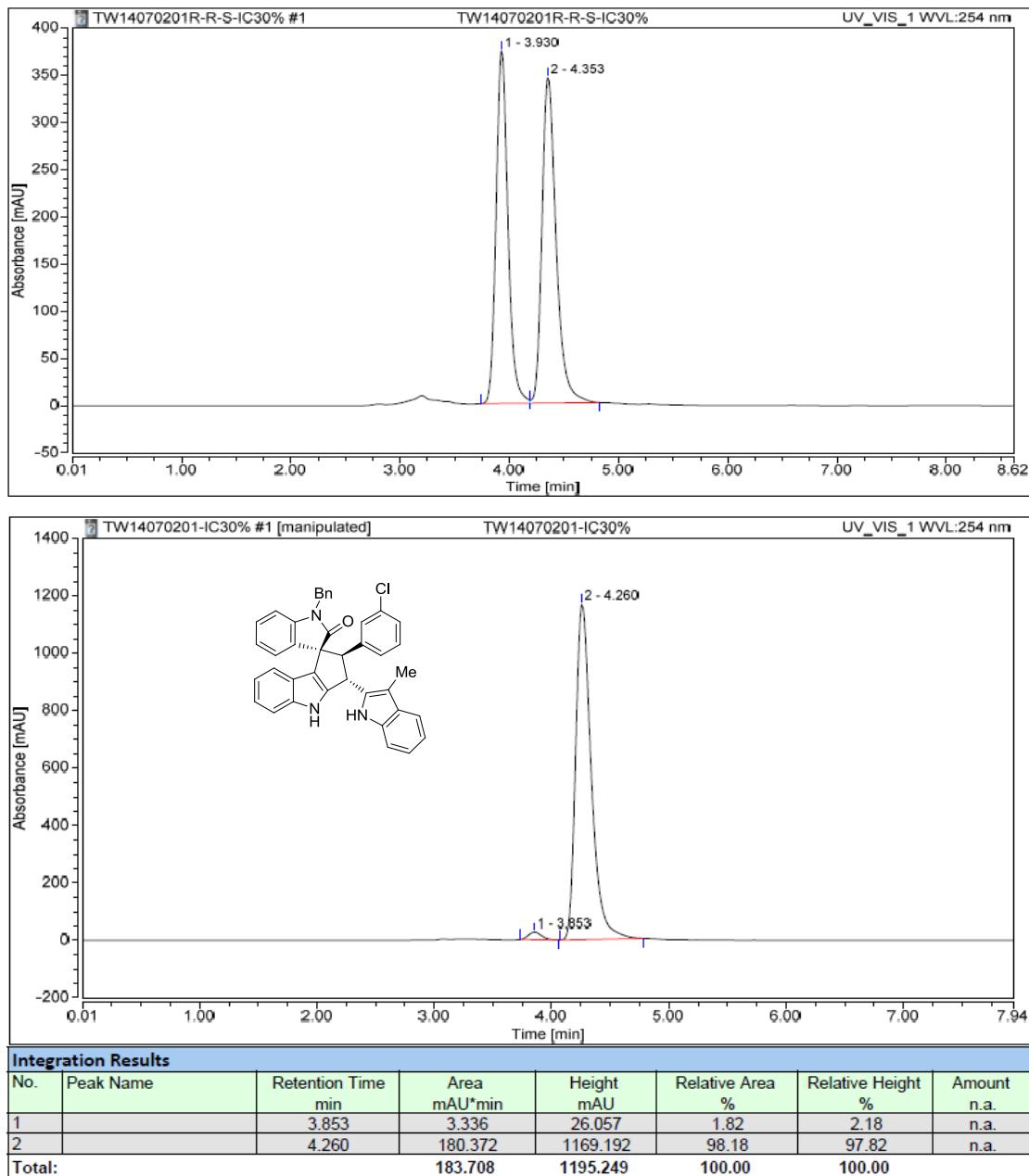
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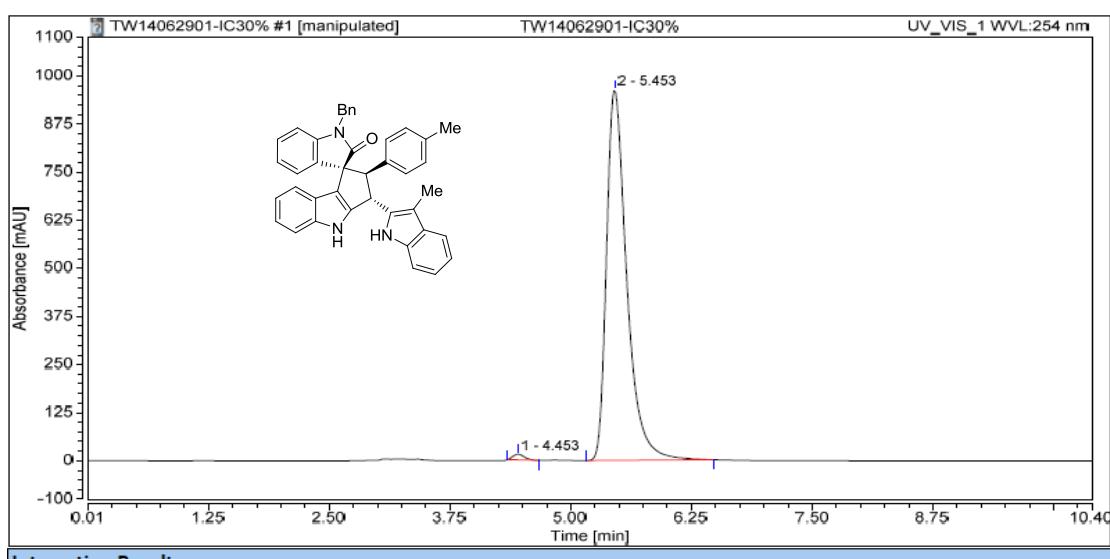
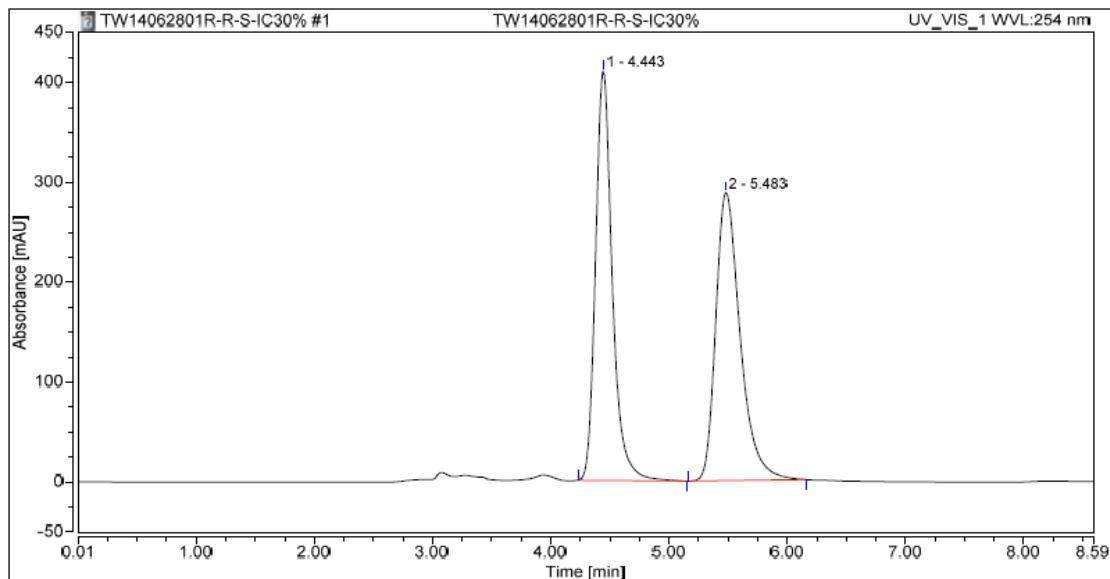
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3ae



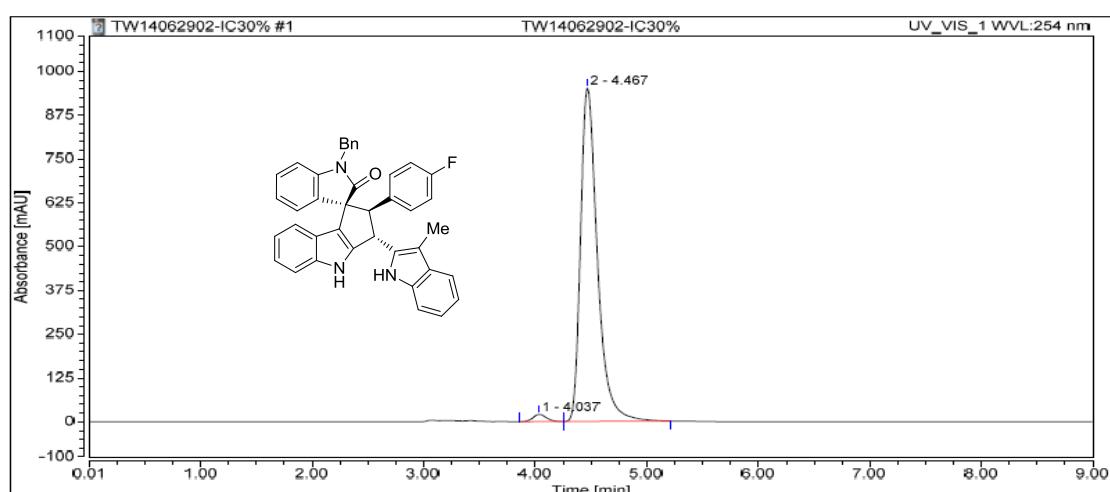
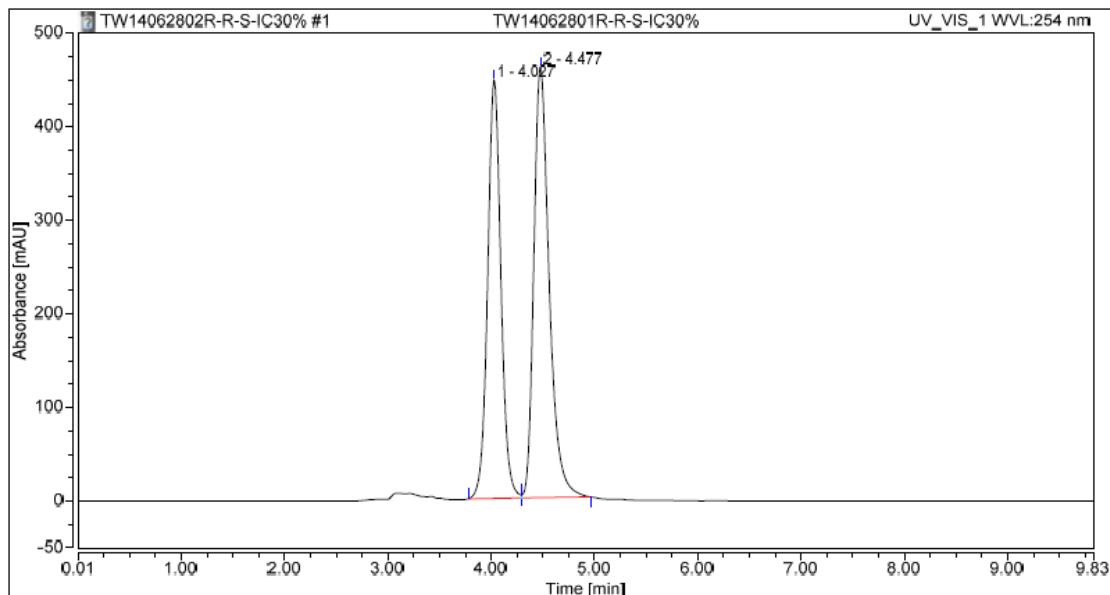
3af



Integration Results

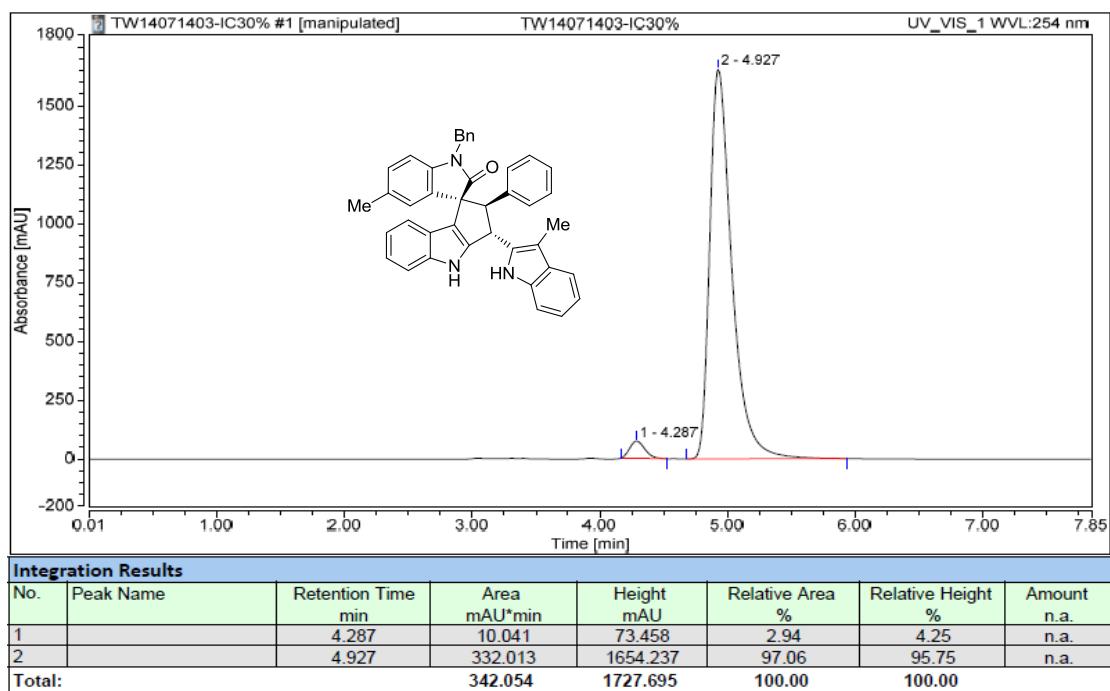
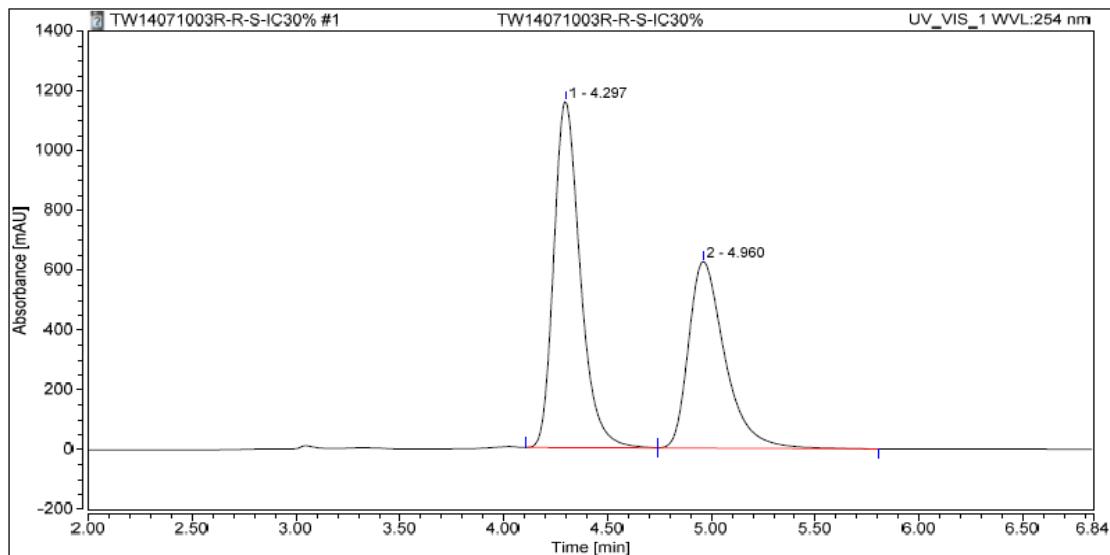
No.	Peak Name	Retention Time min	Area mAU*min	Height mAU	Relative Area %	Relative Height %	Amount n.a.
1		4.453	2.030	14.845	0.86	1.52	n.a.
2		5.453	233.847	963.210	99.14	98.48	n.a.
Total:			235.877	978.055	100.00	100.00	

3ag

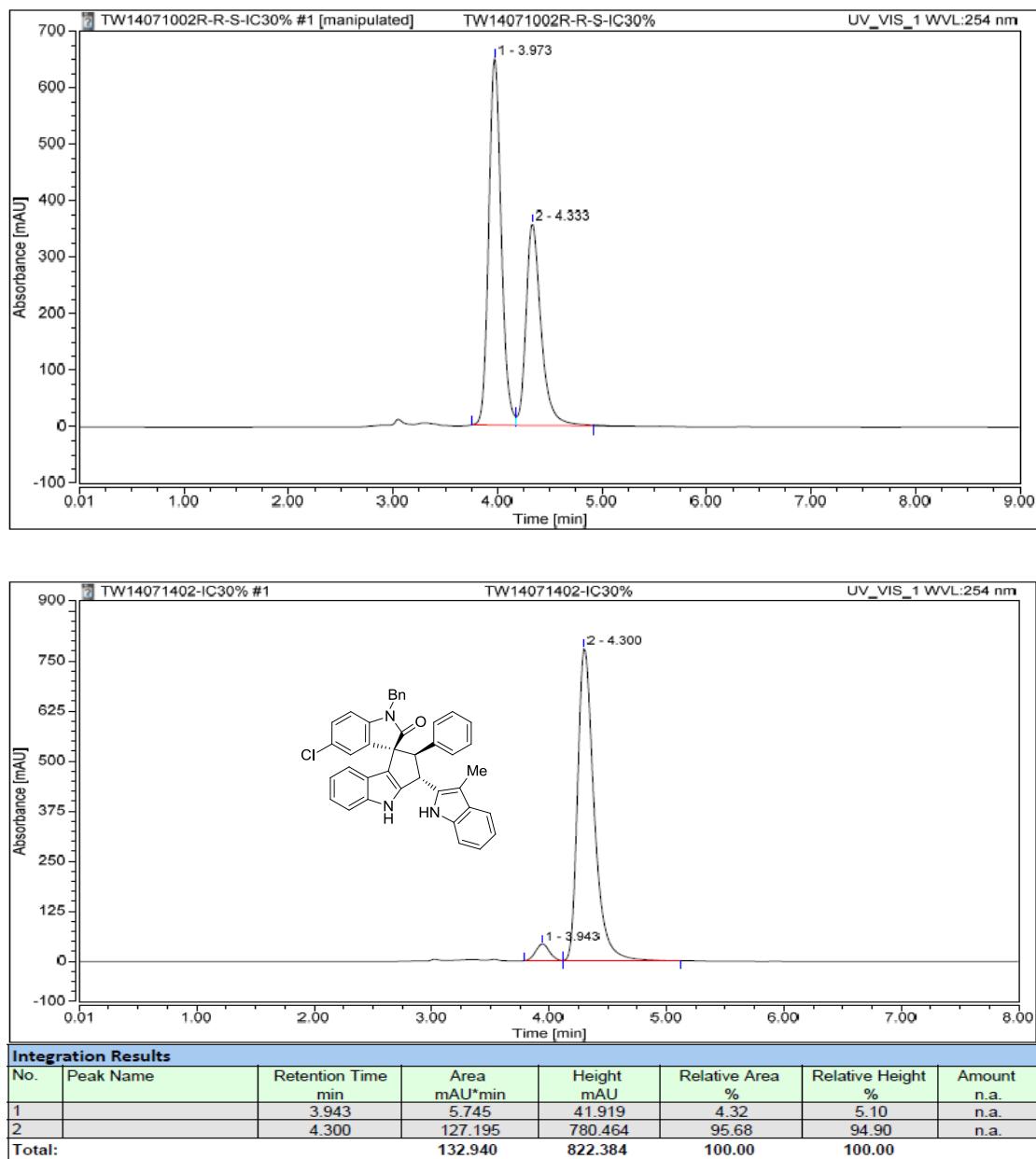


Integration Results

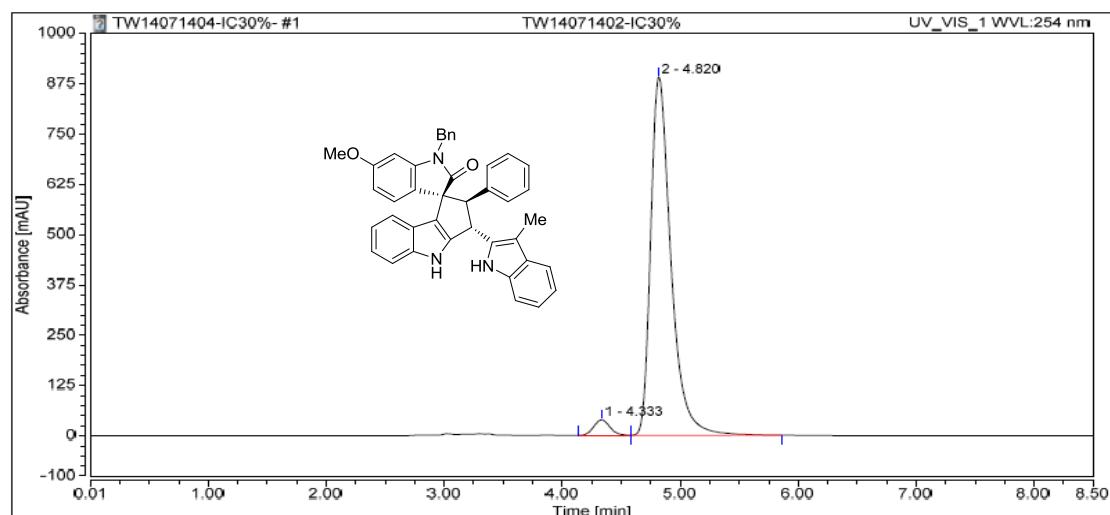
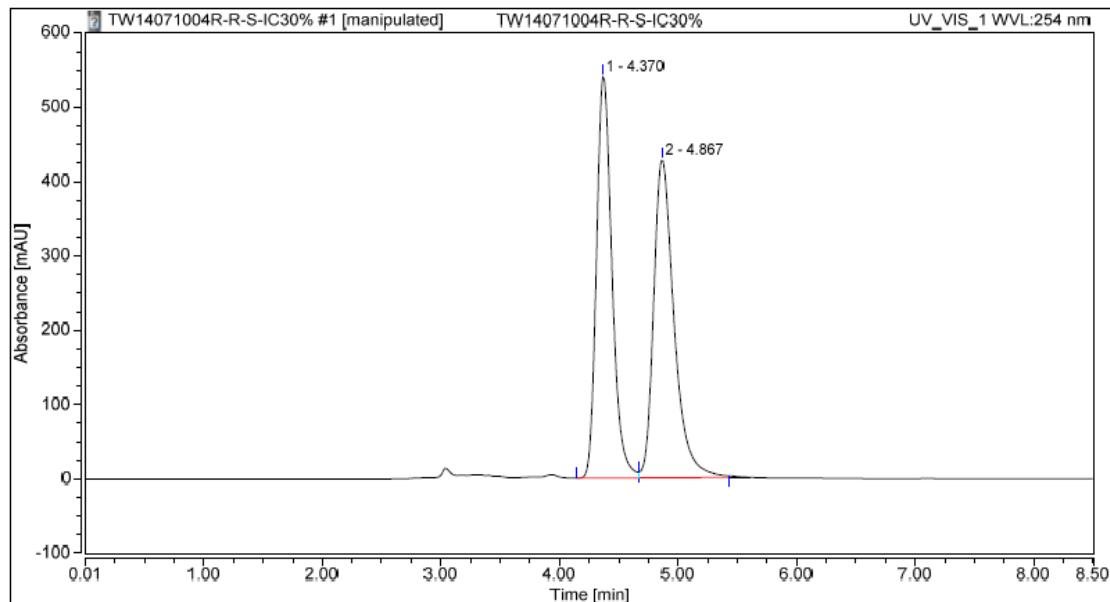
No.	Peak Name	Retention Time min	Area mAU*min	Height mAU	Relative Area %	Relative Height %	Amount n.a.
1		4.037	2.865	20.631	1.69	2.12	n.a.
2		4.467	166.539	952.476	98.31	97.88	n.a.
Total:			169.405	973.107	100.00	100.00	

3ba

3ca



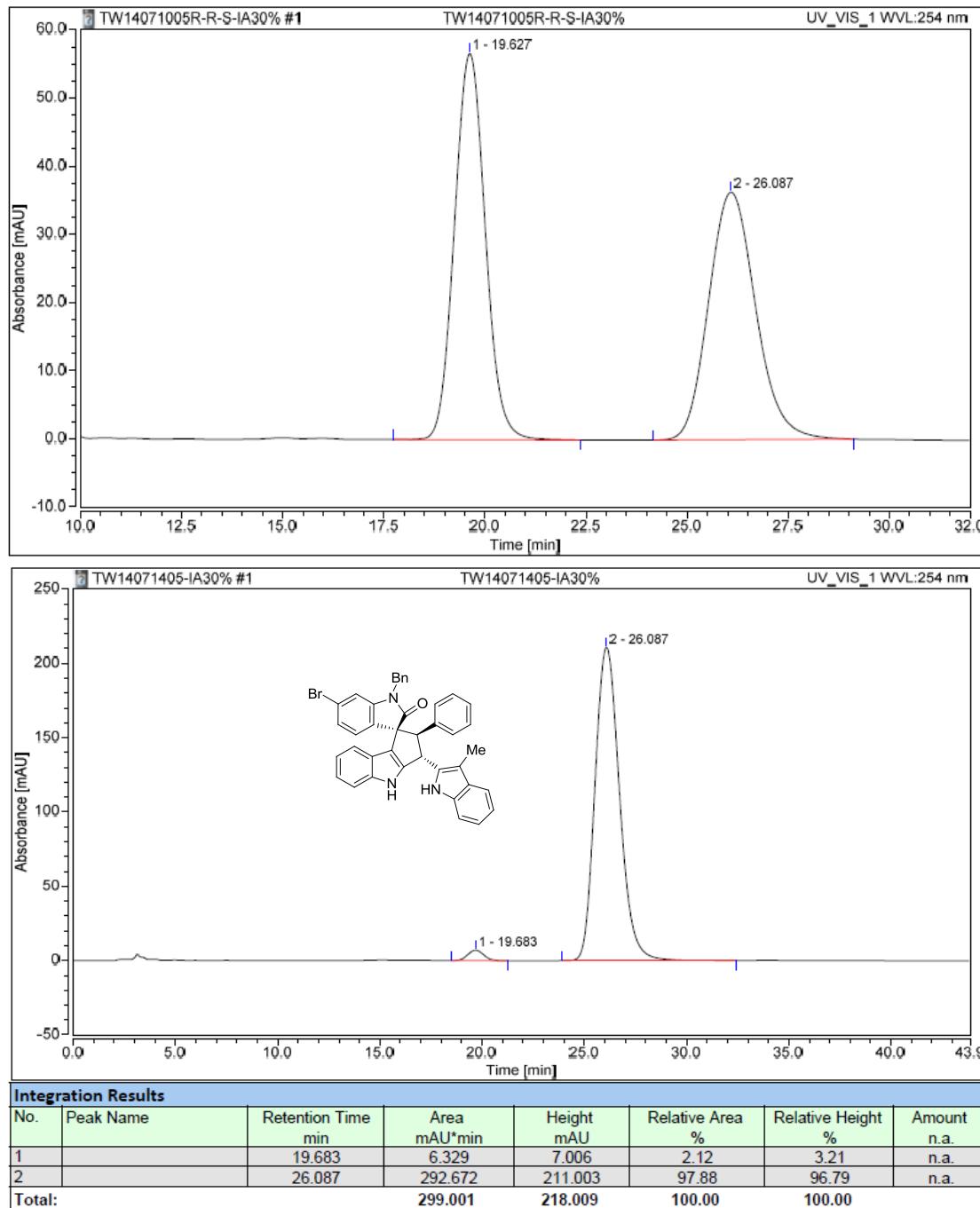
3da

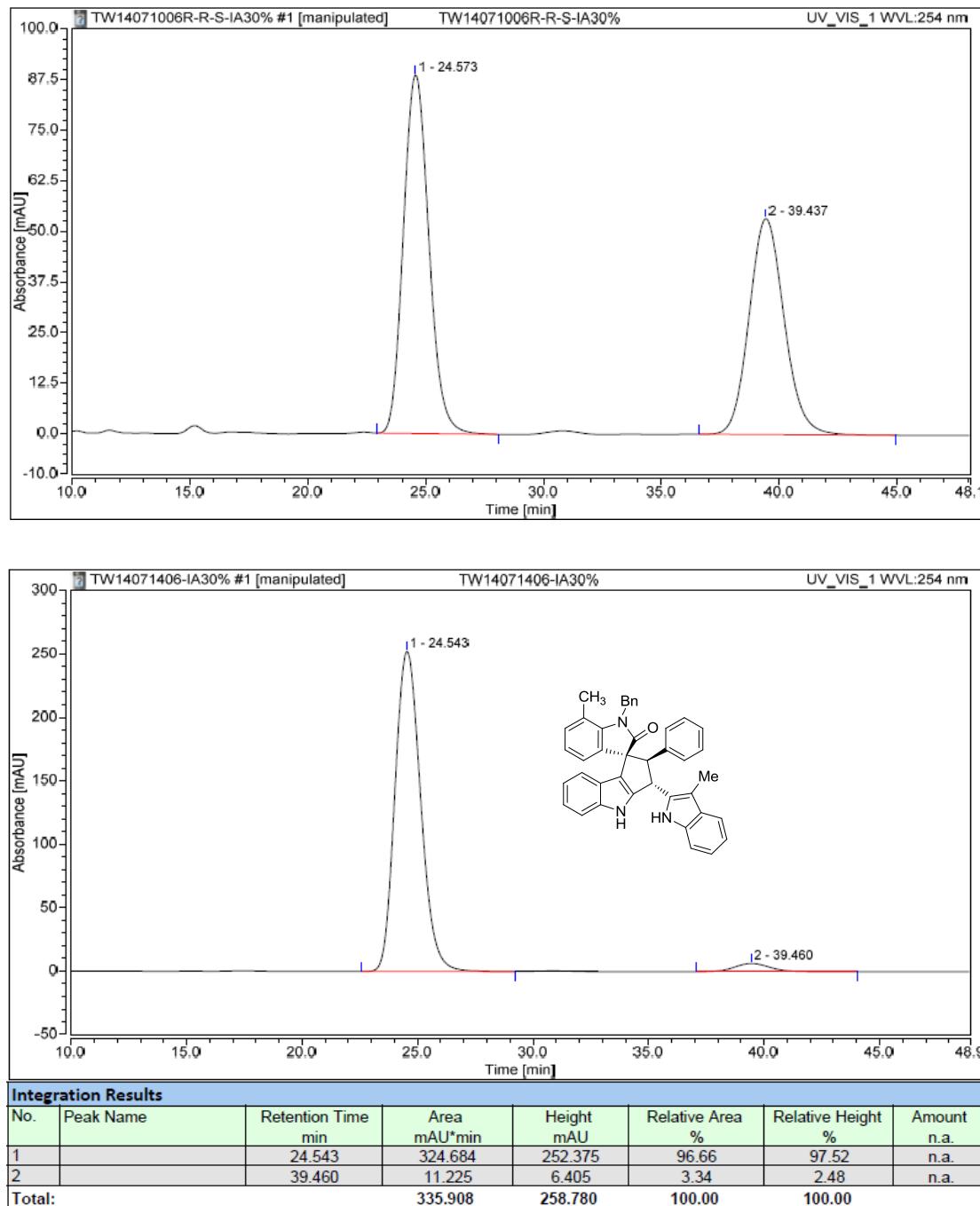


Integration Results

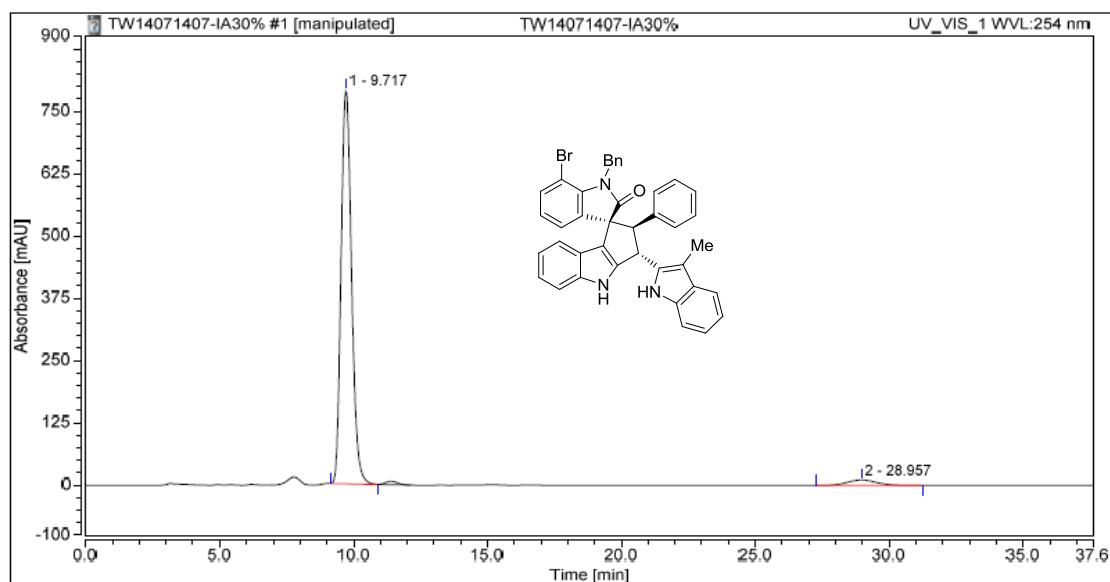
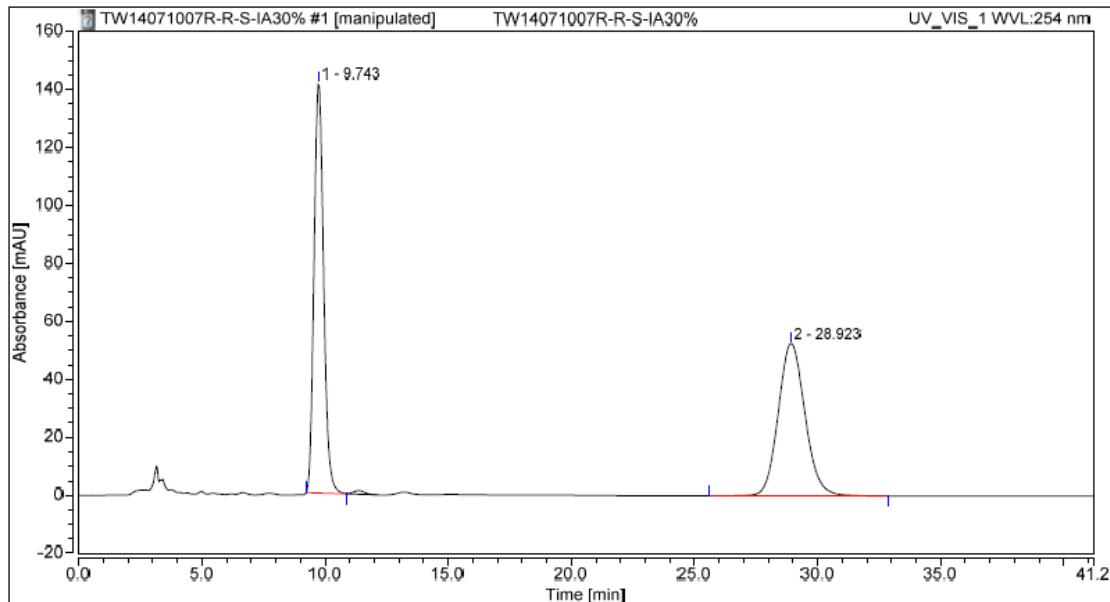
No.	Peak Name	Retention Time min	Area mAU*min	Height mAU	Relative Area %	Relative Height %	Amount n.a.
1		4.333	6.115	38.600	3.33	4.15	n.a.
2		4.820	177.252	890.830	96.67	95.85	n.a.
Total:			183.367	929.430	100.00	100.00	

3ea



3fa

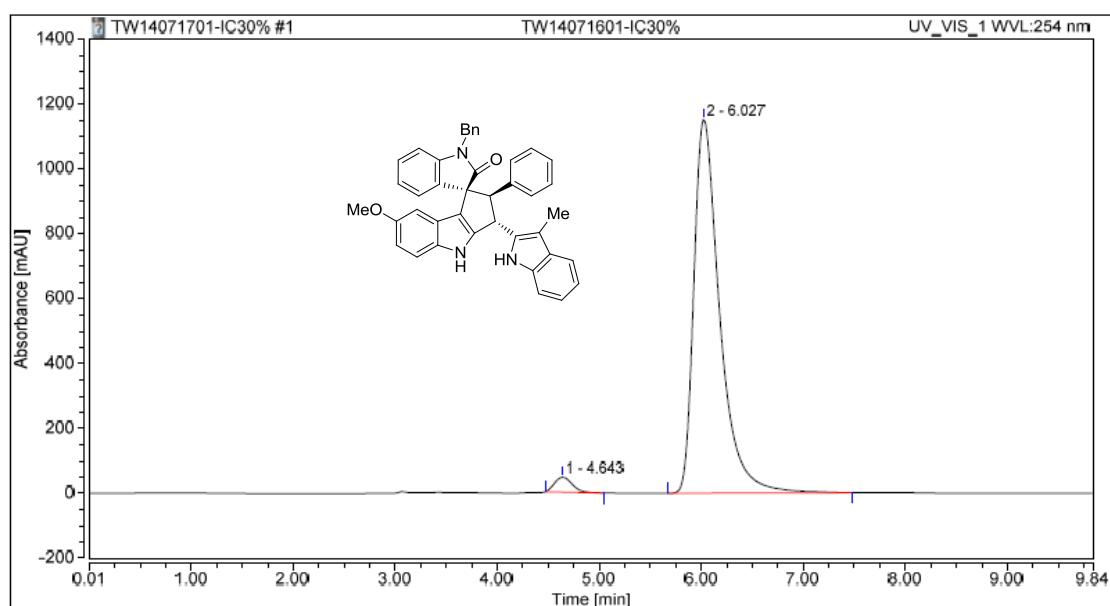
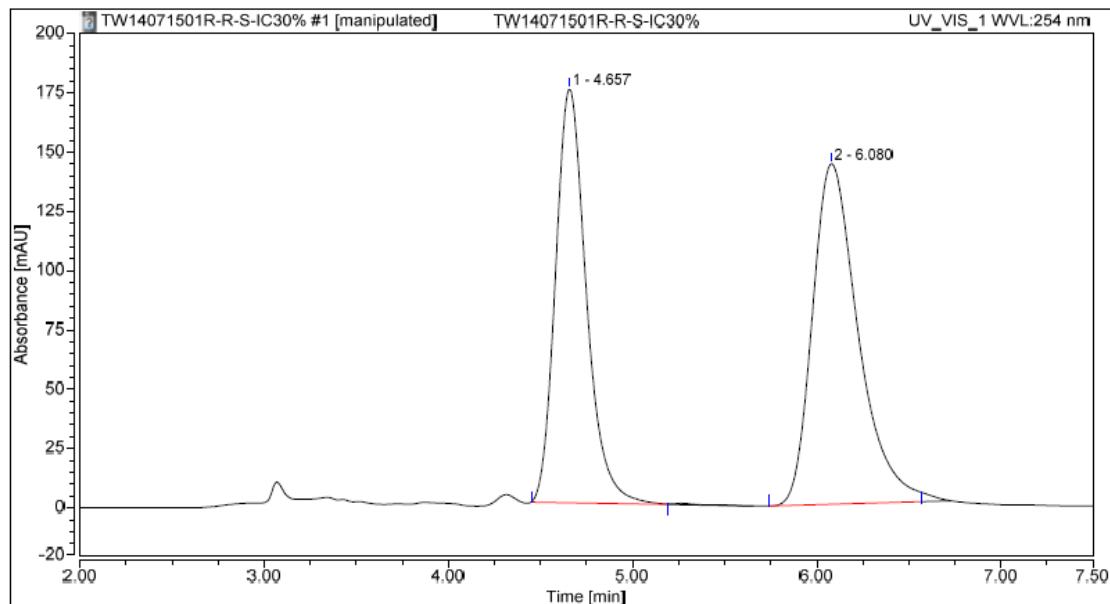
3ga



Integration Results

No.	Peak Name	Retention Time min	Area mAU*min	Height mAU	Relative Area %	Relative Height %	Amount n.a.
1		9.717	357.168	789.598	96.35	98.65	n.a.
2		28.957	13.538	10.804	3.65	1.35	n.a.
Total:			370.706	800.401	100.00	100.00	

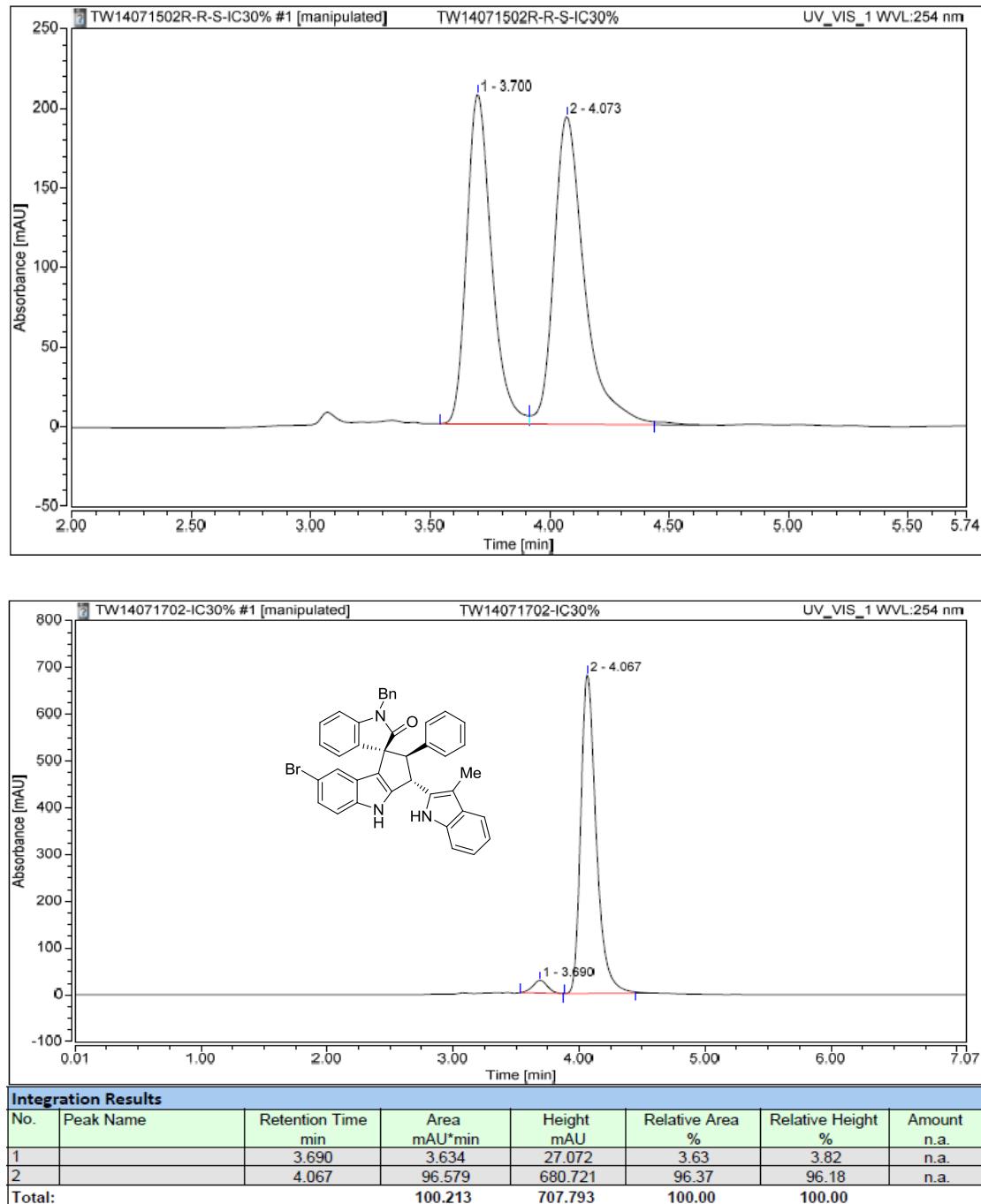
3ha



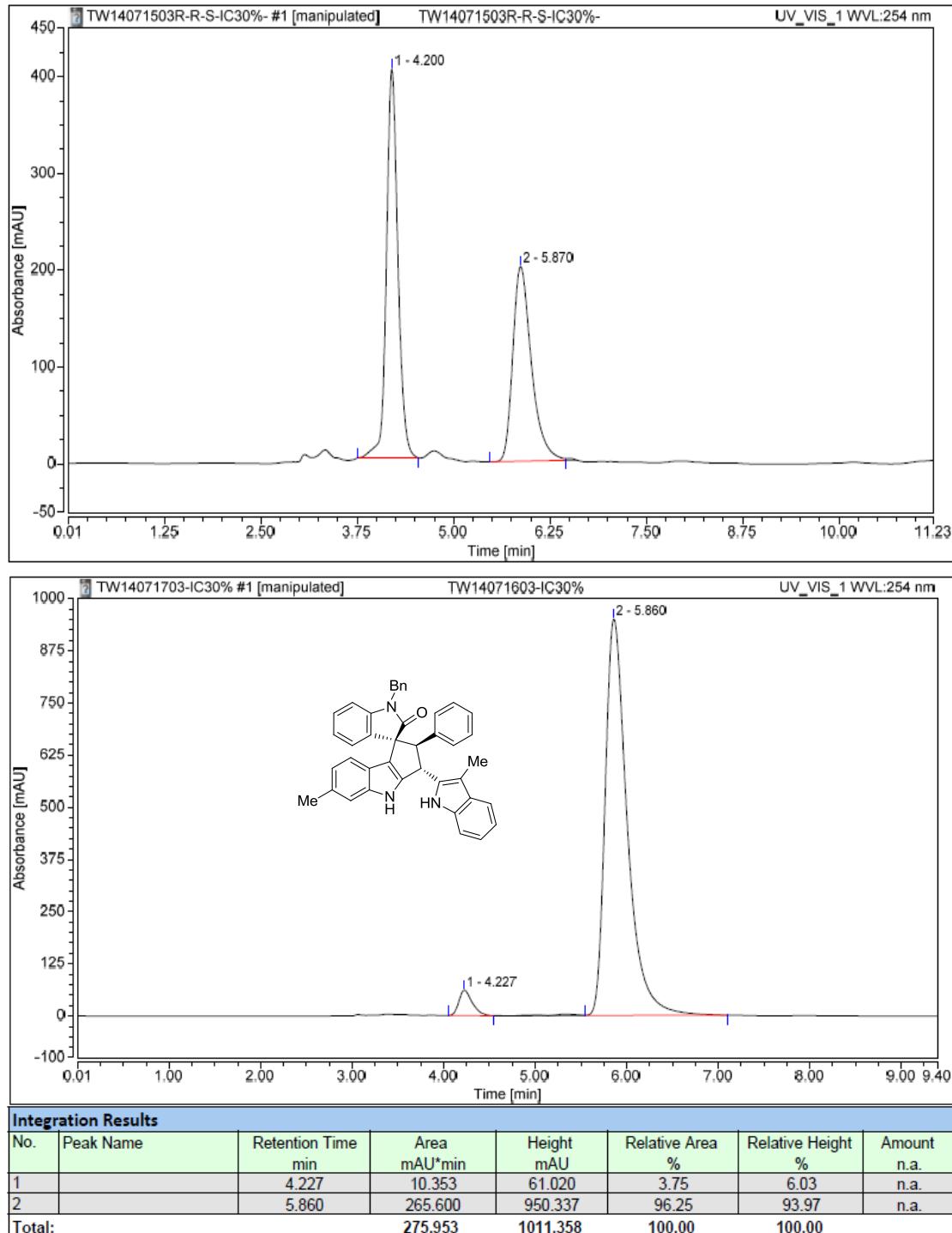
Integration Results

No.	Peak Name	Retention Time min	Area mAU*min	Height mAU	Relative Area %	Relative Height %	Amount n.a.
1		4.643	9.213	46.195	2.65	3.85	n.a.
2		6.027	338.085	1153.024	97.35	96.15	n.a.
Total:			347.298	1199.218	100.00	100.00	

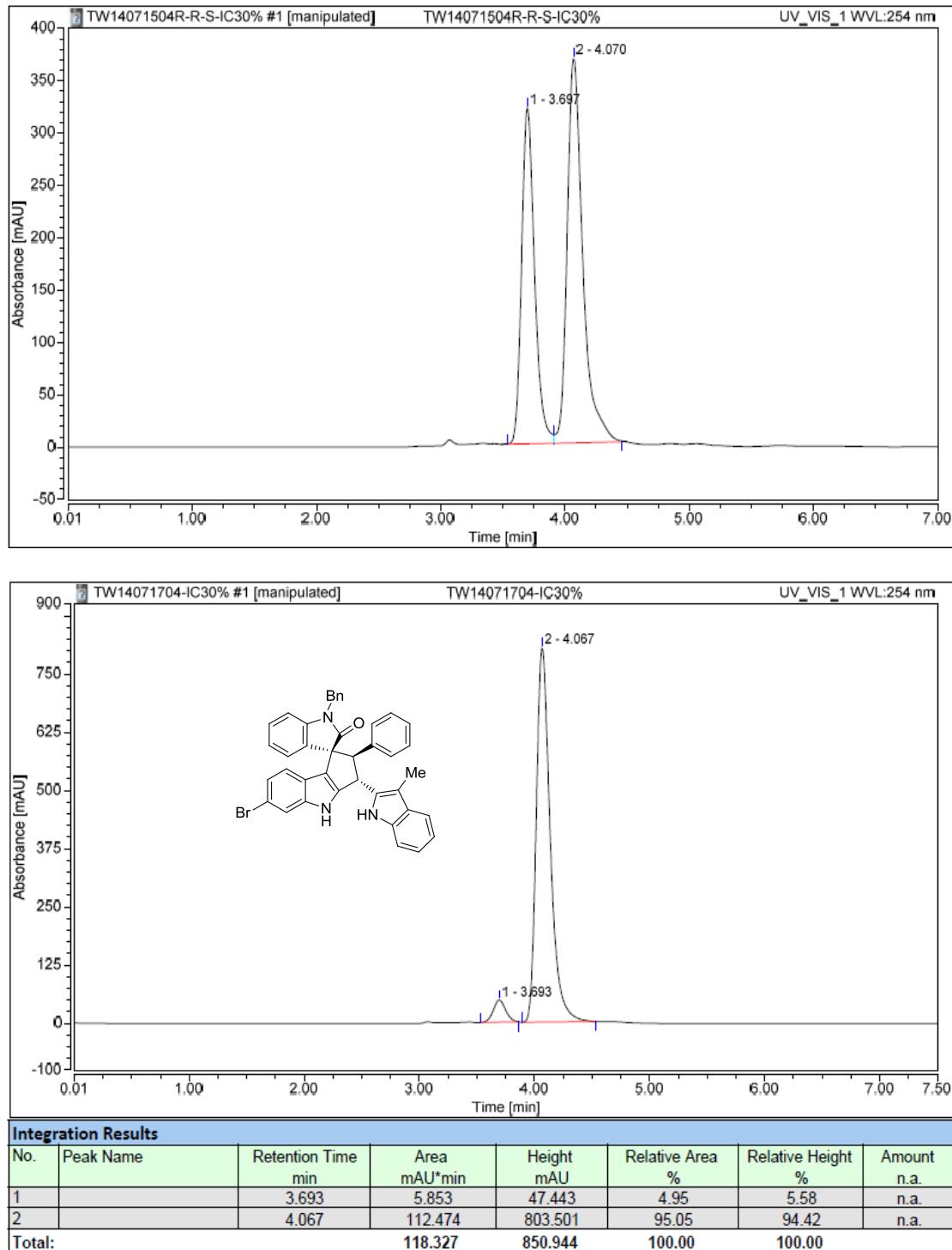
3ia

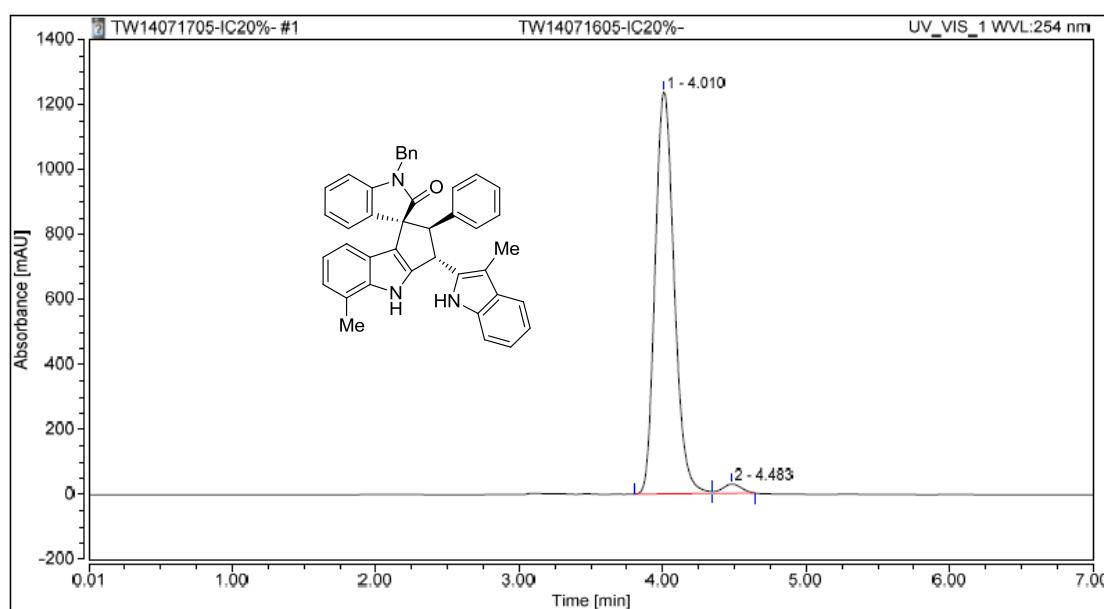
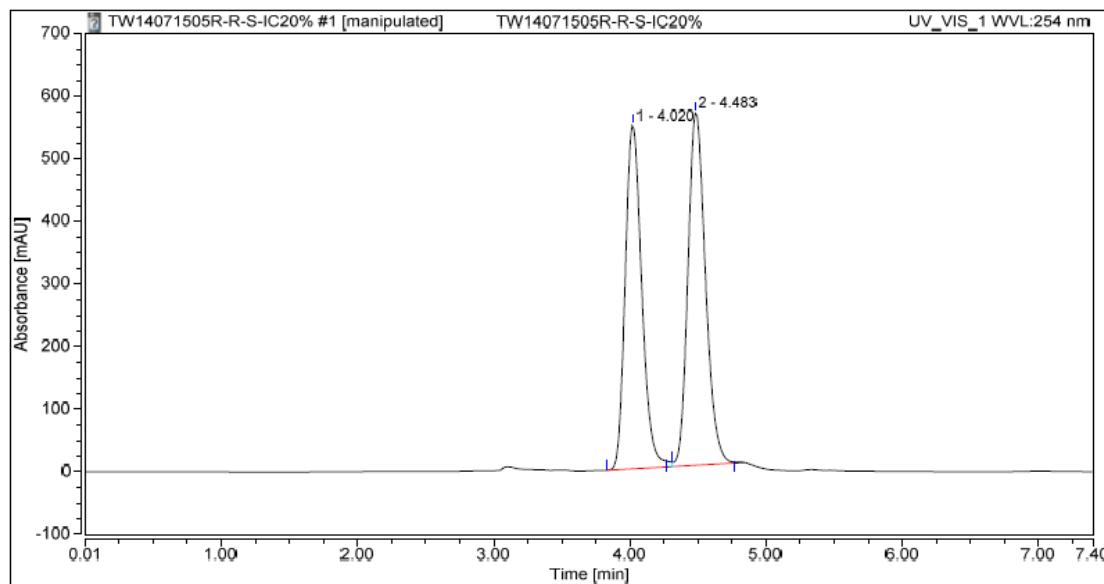


3ja



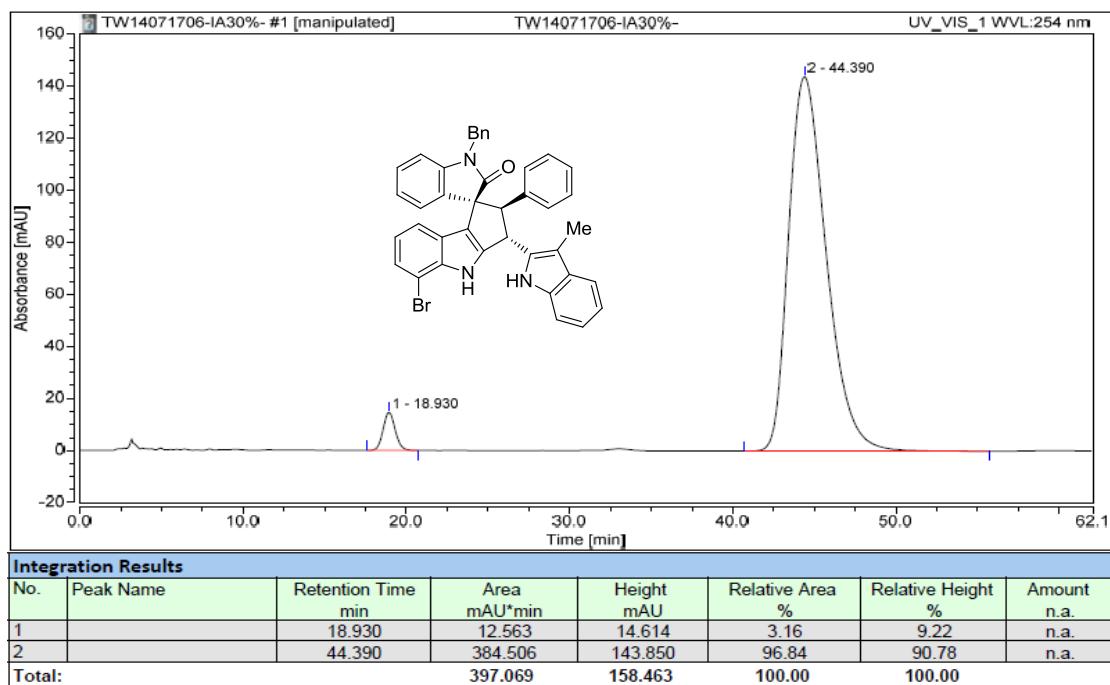
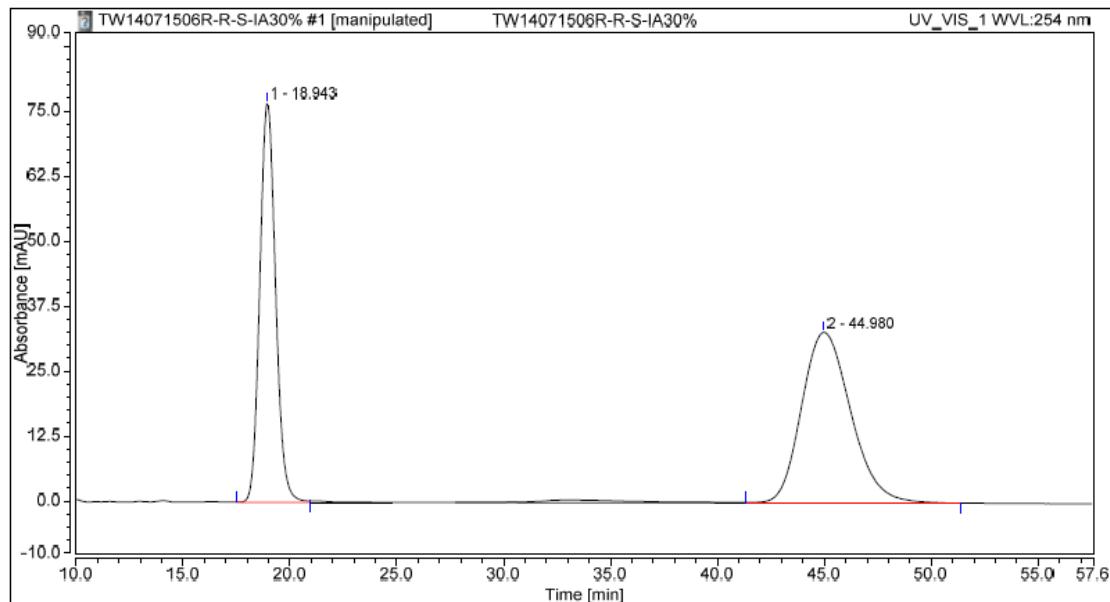
3ka



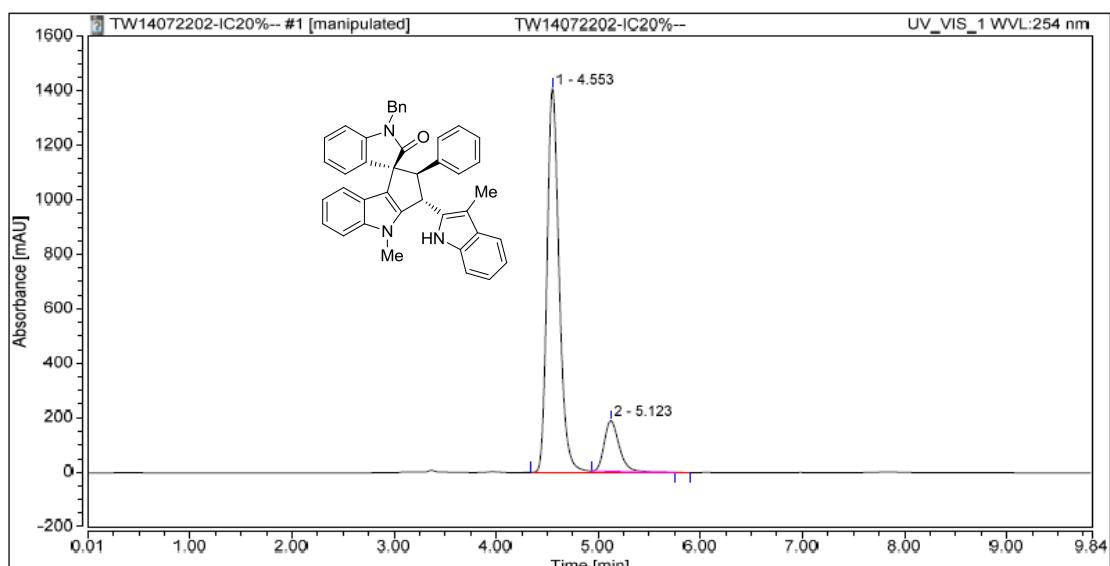
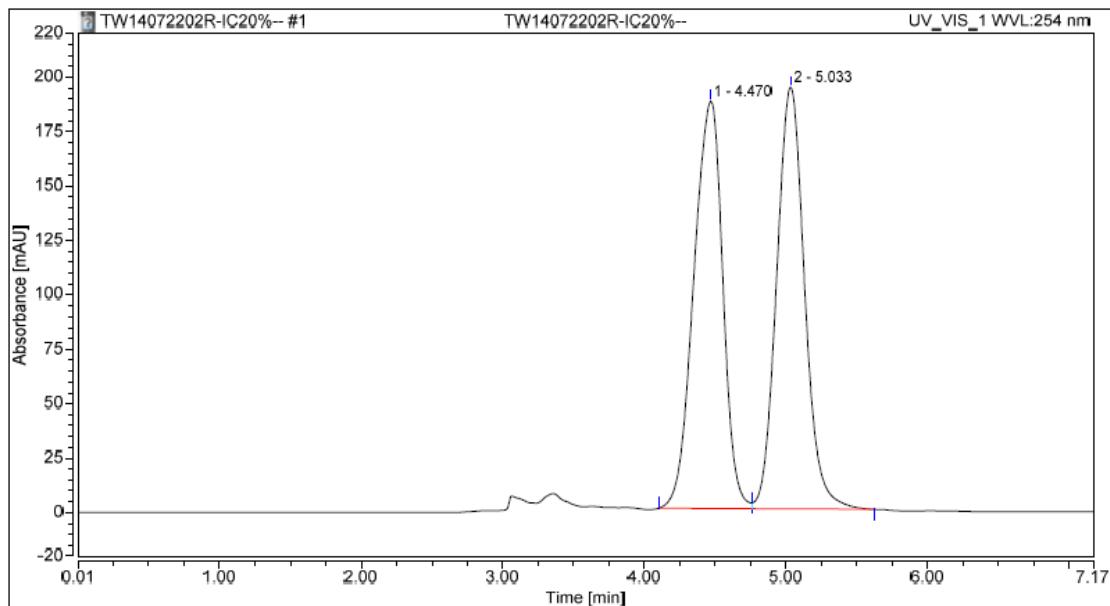
3la**Integration Results**

No.	Peak Name	Retention Time min	Area mAU*min	Height mAU	Relative Area %	Relative Height %	Amount n.a.
1		4.010	190.790	1238.389	97.74	97.74	n.a.
2		4.483	4.404	28.578	2.26	2.26	n.a.
Total:			195.193	1266.967	100.00	100.00	

3ma

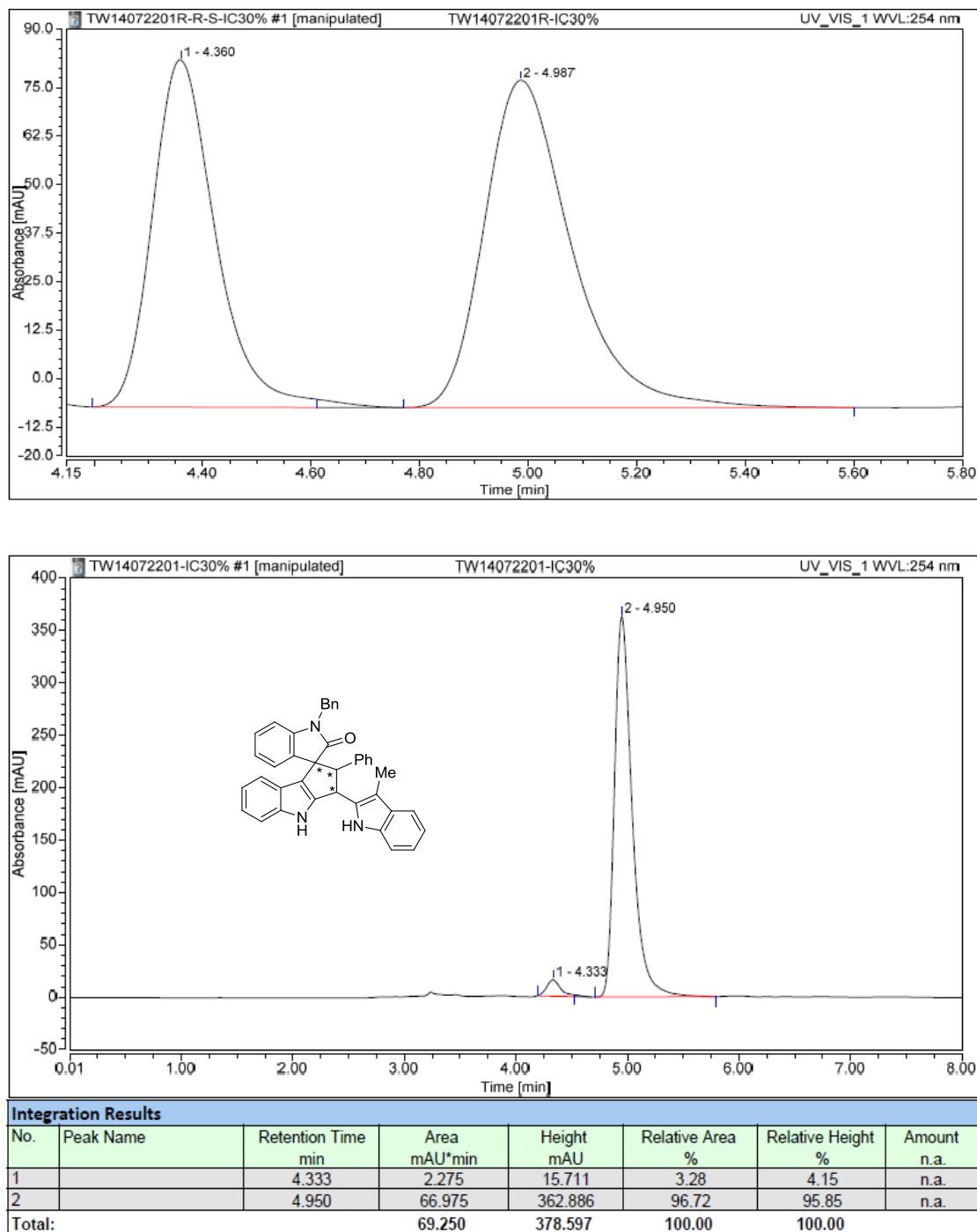


3na

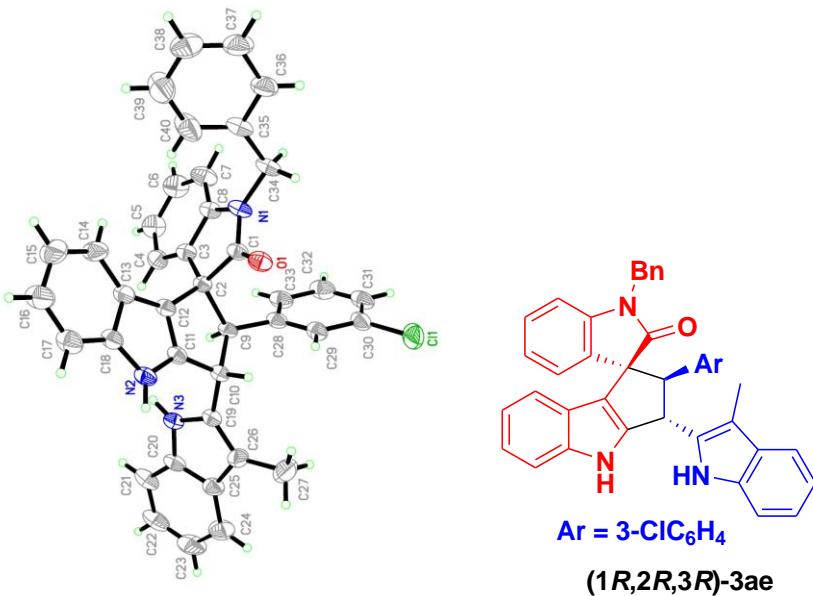


Integration Results							
No.	Peak Name	Retention Time min	Area mAU*min	Height mAU	Relative Area %	Relative Height %	Amount n.a.
1		4.553	196.534	1408.765	86.29	88.31	n.a.
2		5.123	31.223	186.478	13.71	11.69	n.a.
Total:			227.756	1595.243	100.00	100.00	

3ai



9. X-ray single crystal data for product 3ae



<code>_chemical_formula_sum</code>	'C40 H30 Cl N3 O'
<code>_chemical_formula_weight</code>	604.12
<code>_symmetry_cell_setting</code>	Orthorhombic
<code>_symmetry_space_group_name_H-M</code>	P2(1)2(1)2(1)
<code>_cell_length_a</code>	12.3755(14)
<code>_cell_length_b</code>	12.5125(15)
<code>_cell_length_c</code>	22.536(3)
<code>_cell_angle_alpha</code>	90.00
<code>_cell_angle_beta</code>	90.00
<code>_cell_angle_gamma</code>	90.00
<code>_cell_volume</code>	3489.7(7)
<code>_cell_formula_units_Z</code>	4
<code>_cell_measurement_temperature</code>	296(2)
<code>_cell_measurement_reflns_used</code>	9919
<code>_cell_measurement_theta_min</code>	2.31
<code>_cell_measurement_theta_max</code>	22.93
<code>_diffrn_ambient_temperature</code>	296(2)
<code>_diffrn_radiation_wavelength</code>	0.71073

_diffrn_radiation_type	MoK\alpha
_diffrn_radiation_source	'fine-focus sealed tube'
_diffrn_radiation_monochromator	graphite
_diffrn_measurement_device_type	'CCD area detector'
_diffrn_measurement_method	'phi and omega scans'
_diffrn_reflns_number	47288
_diffrn_reflns_av_R_equivalents	0.0338
_diffrn_reflns_av_sigmaI/netI	0.0296
_diffrn_reflns_theta_min	1.81
_diffrn_reflns_theta_max	27.94
_reflns_number_total	8144
_reflns_number_gt	5829
_reflns_threshold_expression	>2sigma(I)
<u>_refine_ls_abs_structure_details</u>	
'Flack H D (1983), Acta Cryst. A39, 876-881'	
<u>_refine_ls_abs_structure_Flack</u>	0.03(8)
_chemical_absolute_configuration	ad
_refine_ls_number_reflns	8144
_refine_ls_number_parameters	407
_refine_ls_number_restraints	0
_refine_ls_R_factor_all	0.0757
_refine_ls_R_factor_gt	0.0534
_refine_ls_wR_factor_ref	0.1593
_refine_ls_wR_factor_gt	0.1489
_refine_ls_goodness_of_fit_ref	1.079
_refine_ls_restrained_S_all	1.079
_refine_ls_shift/su_max	0.040
_refine_ls_shift/su_mean	0.006