Supporting Information for Chemical Communications

An efficient route to highly enantioenriched tetrahydroazulenes and β-tetralones by desymmetrization reactions of δ,δ-diaryldiazoacetoacetates

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General Information

All reactions, unless noted, were carried out under an inert atmosphere of dried nitrogen in flame-dried or oven-dried glassware with magnetic stirring. Analytical thin layer chromatography (TLC) was performed on Dynamic Adsorbents precoated (0.25 mm thickness) silica gel plates with F254 indicator. Visualization was accomplished by UV light (254 nm) or phosphomolybdic acid (PMA) solution in ethanol. Flash chromatography was performed with silica gel (32-63 μ m) supplied by Dynamic Adsorbents. ¹H NMR spectra were recorded on a Bruker DRX-400 (400 MHz) spectrometer, and chemical shifts were reported in ppm. The peak information was described as: br = broad, s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet, comp = composite; coupling constant(s) in Hz. ¹³C NMR spectra were recorded on a Bruker DRX-400 (100 MHz) spectrometer with complete proton decoupling. Enantioselectivity was determined on an Agilent 1200 Series HPLC using Daicel Chiralcel OD-H column, AD-H column. Optical rotation was recorded on JASCO DIP-1000 digital polarimeter. High-resolution mass spectra (HRMS) were performed on JEOL AccuTOF-CS mass spectrometer using CsI as the standard.

Materials

Rh₂(PTA)₄, Rh₂(*S*-PTTL)₄ and all other dirhodium carboxylates catalysts were prepared according to the literature procedures.^[1] Rh₂(S-TFPTTL)₄ ^[2] was prepared by following the same synthetic procedure. Rh₂(S-PTAD)₄ and Rh₂(S-DOSP)₄ were purchased from Strem Chemicals. δ , δ -Diaryldiazoaceto- acetates **1a-i** were prepared according to the literature procedures.^[3] Alkyl 3-(*tert*-butyl- dimethylsilyloxy)-2-diazobut-3-enoates (enoldiazoacetates) were prepared from their corresponding 3-oxo-2-diazo- butanoates.^[4] Solvents were dried over 4 Å MS before use. All the other chemicals and Lewis acid were obtained from commercial sources and used without further purification.

General Procedure for the Preparation of δ,δ-Diaryldiazoacetoacetates



Molecular sieves (100 mg) were added to the Schlenk tube and flame dried under vacuum, then anhydrous $Sc(OTf)_3$ (3.0 mol %) was added and heated (110 °C) under vacuum for 1 h to dehydrate the catalyst. After cooling to room temperature the benzhydryl acetate (1.0 mmol) in 3 mL of dry acetonitrile was added, and this mixture was stirred at room temperature for 5 min. Enoldiazoacetate (1.3 mmol, 1.3 equiv.) was added via syringe all at once. The bright yellow solution was stirred vigorously at room temperature. After 16 h the reaction mixture was concentrated under reduced pressure, and the resulting mixture was purified by silica gel chromatography, eluting with 1:30 EtOAc/hexane to give the corresponding product **1a-i**.



Methyl 2-Diazo-3-oxo-5,5-diphenylpentanoate (1a). Yield: 79%; Yellow oil; ¹H NMR (400 MHz,

CDCl₃) δ 7.31 – 7.29 (comp, 8H), 7.24 – 7.17 (comp, 2H), 4.74 (t, *J* = 7.6 Hz, 1H), 3.87 (s, 3H), 3.70 (d, *J* = 7.6 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ 190.9, 162.1, 144.1, 128.8, 128.2, 126.7, 52.5, 46.4, 45.8 (diazo carbon not detected); HRMS (ESI) calcd for C₁₈H₁₉N₂O₃ [M+H]⁺ calcd 309.1239; found 309.1228.



Benzyl 2-Diazo-3-oxo-5,5-diphenylpentanoate (1b). Yield: 76%; White solid; ¹H NMR (400 MHz, CDCl₃) δ 7.42 – 7.41 (comp, 5H), 7.31 – 7.28 (comp, 5H), 7.25 – 7.17 (comp, 2H), 5.32 (s, 2H), 4.75 (t, J = 7.6 Hz, 1H), 3.73 (d, J = 7.6 Hz, 2H); ¹³C NMR (100 MHz, CDCl₃) δ 190.9, 161.6, 144.2, 135.5, 129.2, 129.1, 128.9, 128.8, 128.3, 126.8, 67.4, 46.5, 45.0 (diazo carbon not detected); HRMS (ESI) calcd for C₂₄H₂₁N₂O₃ [M+H]⁺ calcd 385.1552; found 385.1543.



tert-Butyl 2-Diazo-3-oxo-5,5-diphenylpentanoate (1c). Yield: 72%; Yellow oil; ¹H NMR (400 MHz, CDCl₃) δ 7.20 – 7.16 (comp, 4H), 7.15 – 7.12 (comp, 4H), 7.10 – 7.08 (m, 1H), 4.59 (t, J = 7.6 Hz, 1H), 3.59 (dd, J = 17.0, 7.6 Hz, 1H), 3.50 (dd, J = 17.0, 7.6 Hz, 1H), 1.43 (s, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 191.5, 160.9, 144.4, 128.9, 128.3, 126.8, 83.8, 45.8, 45.7, 28.7 (diazo carbon not detected); HRMS (ESI) calcd for C₂₁H₂₃N₂O₃ [M+H]⁺ calcd 351.1709; found 351.1721.



tert-Butyl 2-Diazo-3-oxo-5,5-di–*p*-anisyl pentanoate (1d). Yield: 76%; Yellow oil; ¹H NMR (400 MHz, CDCl₃) δ 7.21 (d, J = 8.6 Hz, 4H), 6.83 (d, J = 8.6 Hz, 4H), 4.63 (t, J = 7.6 Hz, 1H), 3.79 (s, 6H), 3.62 (d, J = 7.6 Hz, 2H), 1.56 (s, 9H); ¹³C NMR (100 MHz, CDCl₃) δ 191.8, 160.9, 158.4, 136.9, 129.1, 114.2, 83.6, 55.6, 46.0, 45.1, 28.7 (diazo carbon not detected); HRMS (ESI) calcd for C₂₃H₂₇N₂O₅ [M+H]⁺ calcd 411.1920; found 411.1912.



tert-Butyl 2-Diazo-3-oxo-5,5-di-*p*-tolylpentanoate (1e). Yield: 70%; Pale yellow solid; ¹H NMR (400 MHz, CDCl₃) δ 7.08 (d, *J* = 8.1 Hz, 4H), 6.98 (d, *J* = 8.1 Hz, 4H), 4.54 (t, *J* = 7.6 Hz, 1H), 3.53 (d, *J* = 7.6 Hz, 2H), 2.20 (s, 6H), 1.44 (s, 9H); ¹³C NMR (100 MHz, CDCl₃) δ 191.3, 160.6, 141.6, 136.1,

129.6, 128.1, 83.5, 45.9, 45.8, 28.4, 21.6 (diazo carbon not detected); HRMS (ESI) calcd for $C_{23}H_{27}N_2O_3$ [M+H]⁺ calcd 379.2022; found 379.2015.



tert-Butyl 2-Diazo-3-oxo-5,5-di-*m*-tolylpentanoate (1f). Yield: 58%; Colorless oil; ¹H NMR (400 MHz, CDCl₃) δ 7.20 – 7.13 (comp, 2H), 7.11 – 7.09 (comp, 4H), 7.01 – 6.98 (comp, 2H), 4.63 (t, J = 7.6 Hz, 1H), 3.64 (d, J = 7.6 Hz, 2H), 2.31 (s, 6H), 1.54 (s, 9H); ¹³C NMR (100 MHz, CDCl₃) δ 191.6, 160.9, 144.4, 136.4, 129.0, 128.7, 127.5, 125.1, 83.5, 46.5, 45.5, 28.7, 21.9 (diazo carbon not detected); HRMS (ESI) calcd for C₂₃H₂₇N₂O₃ [M+H]⁺ calcd 379.2022; found 379.2026.



tert-Butyl 2-Diazo-3-oxo-5,5-di-*o*-tolylpentanoate (1g). Yield: 42%; White solid; ¹H NMR (400 MHz, CDCl₃) δ 7.23 – 7.04 (comp, 8H), 5.08 (t, *J* = 7.6 Hz, 1H), 3.55 (d, *J* = 7.6 Hz, 2H), 2.35 (s, 6H), 1.57 (s, 9H); ¹³C NMR (100 MHz, CDCl₃) δ 191.6, 160.9, 141.8, 136.5, 131.0, 127.4, 126.6, 126.4, 83.7, 44.6, 39.0, 28.7, 19.9 (diazo carbon not detected); HRMS (ESI) calcd for C₂₃H₂₇N₂O₃ [M+H]⁺ calcd 379.2022; found 379.2018.



tert-Butyl 2-Diazo-3-oxo-5,5-di-*p*-chlorophenylpentanoate (1h). Yield: 56%; Pale yellow solid; ¹H NMR (400 MHz, CDCl₃) δ 7.28 – 7.22 (comp, 4H), 7.22 – 7.16 (comp, 4H), 4.66 (t, *J* = 7.6 Hz, 1H), 3.61 (d, *J* = 7.6 Hz, 2H), 1.54 (s, 9H); ¹³C NMR (100 MHz, CDCl₃) δ 190.9, 160.7, 142.1, 132.7, 129.6, 129.1, 83.8, 83.5, 45.3, 28.7 (diazo carbon not detected); HRMS (ESI) calcd for C₂₁H₂₁Cl₂N₂O₃ [M+H]⁺ calcd 419.0929; found 419.0925.



tert-Butyl 2-Diazo-3-oxo-5,5-di-*p*-fluorophenylpentanoate (1i). Yield: 51%; Pale yellow oil; ¹H NMR (400 MHz, CDCl₃) δ 7.21 – 7.03 (comp, 4H), 6.99 – 6.67 (comp, 4H), 4.58 (t, *J* = 7.6 Hz, 1H), 3.51 (d, *J* = 7.6 Hz, 2H), 1.44 (s, 9H); ¹³C NMR (100 MHz, CDCl₃) δ 191.2, 163.1, 160.8, 160.6, 139.9, 139.8, 129.7, 129.6, 115.8, 115.6, 83.7, 45.8, 45.1, 28.7 (diazo carbon not detected); HRMS (ESI) calcd for C₂₁H₂₁F₂N₂O₃ [M+H]⁺ calcd 387.1520; found 387.1528.

Catalyst Screening^a



Entry	Catalyst	Yield ^b (%)	ee ^c of 3a (%)	Product ratio
				(3a:2a)
1	$[Rh_2\{(S)-pttl\}_4]$	90	52	1:0.8
2	$[Rh_2\{(S)-ptpa\}_4]$	89	34	1:0.6
3	$[Rh_2\{(S)-pta\}_4]$	90	38	1:1.5
4	$[Rh_2\{(S)-ptad\}_4]$	90	55	1:1.4
5	$[Rh_2{(S)-tcpttl}_4$	92	64	1:2.4
6	$[Rh_2\{(S)-tfpttl\}_4]$	91	70	1:2.7
7	$[Rh_2\{(S)-nttl\}_4]$	90	60	1:2.2

^{*a*} A solution of methyl 2-diazo-3-oxo-5,5-diphenylpentanoate (**1a**) (0.1 mmol) in 0.5 mL of anhydrous DCM was added via syringe pump to a solution of the Hashimoto catalyst (0.0010 mmol) in 0.5 mL of anhydrous refluxing DCM for 1h. The reaction mixture then passed through a short flash column of silica gel (dimensions: 0.5 cm x 10 cm) and, after removal of the solvent under reduced pressure, then subjected to ¹H NMR spectroscopic analysis to determine the ratio of two products. After ¹H NMR spectroscopic analysis, the reaction mixture followed by in situ treatment with TFA (0.1 mmol, 1.0 equiv.) for 1 hour. Then the crude reaction mixture was purified by column chromatography on silica gel (eluent hexanes/EtOAc =50:1-30:1) to give the corresponding product **3a**. ^{*b*} Yield of isolated product. ^{*c*} Determined by HPLC analysis on a chiral stationary phase.

General Procedure for the Catalytic Enantioselective Synthesis of β -Tetralones and Tetrahydroazulenes



 δ_{λ} -Diaryldiazoacetoacetates **1a-i** (0.15 mmol) in 1.2 mL solvent (cyclohexane: toluene = 15:1) was added over a 1h period by a syringe pump at 0 °C to a solution of Rh₂[(*S*)-tfpttl]₄ catalyst (0.0015 mmol) in 0.4 mL of solvent. The reaction mixture was stirred for 4-10 hours at 0 °C, and/or followed by in situ treatment with TFA (0.15 mmol, 1.0 equiv.) for 1 hour. The reaction mixture then passed through a short flash column of silica gel (dimensions: 0.5 cm x 10 cm) and , after removal of the solvent under reduced pressure, then subjected to ¹H NMR spectroscopic analysis to determine product diastereoselectivity. The crude reaction mixture was purified by column chromatography on silica gel (eluent hexanes/EtOAc =50:1-30:1) to give the corresponding product **3a-f / 2g-i**.



Methyl (*R*)-2-Hydroxy-4-phenyl-3,4-dihydronaphthalene-1-carboxylate (3a). Catalyzed by Rh₂[(S)-tfpttl]₄ at 0 °C to give 3a in 90% isolated yield with 90% *ee*; Pale yellow oil; $[α]_D^{21} °C = +79.0$ (*c* = 0.14, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 13.18 (s, 1H), 7.70 (dd, *J* = 8.0, 1.0 Hz, 1H), 7.29 – 7.18 (comp, 3H), 7.17 – 7.07 (comp, 3H), 6.99 – 6.98 (m, 1H), 6.80 (d, *J* = 8.0 Hz, 1H), 4.09 (t, *J* = 5.9 Hz, 1H), 3.86 (s, 3H), 2.85 (dd, *J* = 14.3, 5.9 Hz, 1H), 2.80 (dd, *J* = 14.3, 5.9 Hz, 1H); ¹³C NMR (100 MHz, CDCl₃) δ 175.8, 171.5, 141.0, 134.9, 130.6, 127.9, 127.4, 126.9, 126.1, 126.0, 125.4, 124.6, 99.3, 51.1, 42.5, 35.9; HRMS (ESI) for C₁₈H₁₇O₃ [M+H]⁺ calcd 281.1178; found 281.1177. HPLC conditions for determination of enantiomeric excess: AD-H column, 254 nm, 0.5 mL/min, Hexane:IPA = 95:5, minor enantiomer t_r = 10.3 min, major enantiomer t_r = 11.1 min.



Methyl (1*R*,3a*S*)-3-Oxo-1-phenyl-1,2,3,3a-tetrahydroazulene-3a-carboxylate (2a). Colorless oil; ¹H NMR (400 MHz, CDCl₃) δ 7.36 – 7.30 (comp, 2H), 7.28 – 7.23 (m, 1H), 7.20 – 7.14 (comp, 2H), 6.66 – 6.36 (comp, 3H), 6.19 – 5.98 (m, 1H), 5.59 – 5.33 (m, 1H), 4.58 (td, *J* = 9.3, 2.3 Hz, 1H), 3.63 (s, 3H), 3.21 (dd, *J* = 18.3, 9.3 Hz, 1H), 2.65 (dd, *J* = 18.3, 9.3 Hz, 1H). ¹³C NMR (100 MHz, CDCl₃) δ 211.2, 168.2, 143.1, 142.3, 130.0, 129.6, 129.5, 129.3, 128.5, 127.5, 124.5, 120.2, 64.7, 53.3, 47.4, 46.9; HRMS (ESI) for C₁₈H₁₉O₃ [M+H]⁺ calcd 281.1178; found 281.1173.



Benzyl (*R*)-2-Hydroxy-4-phenyl-3,4-dihydronaphthalene-1-carboxylate (3b). Catalyzed by Rh₂[(S)-tfpttl]₄ at 0 °C to give 3b in 88% isolated yield with 82% *ee*. Colorless oil; $[\alpha]_D^{21} °C = + 38.3$ (*c* = 0.15, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 13.29 (s, 1H), 7.84 (d, *J* = 8.0 Hz, 1H), 7.51 – 7.28 (comp, 8H), 7.22 – 7.20 (comp, 3H), 7.06 – 6.89 (m, 1H), 6.88 (d, *J* = 8.0 Hz, 1H), 5.69 – 5.27 (comp, 2H), 4.39 – 3.97 (t, *J* = 7.4, 1H), 2.95 (dd, *J* = 15.5, 7.4 Hz, 1H), 2.89 (dd, *J* = 15.5, 7.4 Hz, 1H). ¹³C NMR (100 MHz, CDCl₃) δ 177.4, 172.0, 142.1, 136.2, 136.0, 131.8, 129.1, 129.0, 128.8,128.6, 128.5, 127.9, 127.3, 127.1, 126.6, 125.7, 100.5, 67.1, 43.7, 37.2; HRMS (ESI) for C₂₄H₂₁O₃ [M+H]⁺ calcd 357.1491; found 357.1495. HPLC conditions for determination of enantiomeric excess: OD-H column, 254 nm, 0.5 mL/min, Hexane:IPA = 99:1,major enantiomer t_r = 22.1 min, minor enantiomer t_r = 23.7 min.



tert-Butyl (*R*)-2-Hydroxy-4-phenyl-3,4-dihydronaphthalene-1-carboxylate (3c). Catalyzed by Rh₂[(S)-tfpttl]₄ at 0 °C to give 3c in 87% isolated yield with 91% *ee*. Colorless oil; $[\alpha]_D^{21} °C = + 42.2$ (c = 0.30, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 13.67 (s, 1H), 7.88 (dd, *J* = 8.0, 1.1 Hz, 1H), 7.42 – 7.36 (comp, 2H), 7.36 – 7.30 (m, 1H), 7.30 – 7.22 (comp, 3H), 7.08 (td, *J* = 8.0, 1.1 Hz, 1H), 6.89 – 6.83 (m, 1H), 4.21 (t, *J* = 6.1 Hz, 1H), 2.95 (dd, *J* = 16.4, 6.1 Hz, 1H), 2.86 (dd, *J* = 16.4, 6.1 Hz, 1H), 1.69 (s, 9H). ¹³C NMR (100 MHz, CDCl₃) δ 175.6, 171.0, 141.3, 135.4, 131.5, 128.1, 127.7, 126.9, 126.3, 125.8, 125.5, 124.3, 100.4, 82.2, 42.9, 36.2, 27.9; HRMS (ESI) for C₂₁H₂₃O₃ [M+H]⁺ calcd 323.1647; found 323.1632. HPLC conditions for determination of enantiomeric excess: OD-H column, 254 nm, 0.5 mL/min, Hexane:IPA = 99.5:0.5, major enantiomer t_r = 11.3 min, minor enantiomer t_r = 12.5 min.



tert-Butyl (*R*)-2-Hydroxy-7-methoxy-4-(*p*-anisyl)-3,4-dihydronaphthalene-1-carboxylate (3d). Catalyzed by Rh₂[(S)-tfpttl]₄ at 0 °C to give 3d in 68% isolated yield with 80% *ee*. Colorless oil; $[\alpha]_D^{21}$ °C = + 77.5 (c = 0.04, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 13.42 (s, 1H), 7.75 (d, *J* = 8.8 Hz, 1H), 7.20 - 7.07 (comp, 2H), 6.97 - 6.86 (comp, 2H), 6.77 (dd, *J* = 8.8, 2.8 Hz, 1H), 6.40 (dd, *J* = 2.8, 0.6 Hz, 1H), 4.08 (dd, *J* = 9.8, 6.0 Hz, 1H), 3.83 (s, 3H), 3.73 (s, 3H), 2.84 (dd, *J* = 16.3, 9.8 Hz, 1H), 2.77 (dd, *J* = 16.3, 6.0 Hz, 1H), 1.65 (s, 9H). ¹³C NMR (100 MHz, CDCl₃) δ 175.2, 172.0, 158.9, 157.4, 138.6, 134.1, 129.6, 127.5, 125.1, 114.5, 114.0, 111.4, 101.0, 83.0, 55.7, 55.6, 43.5, 37.3, 28.9; HRMS (ESI) for $C_{23}H_{27}O_5$ [M+H]⁺ calcd 383.1859; found 383.1863. HPLC conditions for determination of enantiomeric excess: OD-H column, 254 nm, 0.4 mL/min, Hexane:IPA = 99.5:0.5, major enantiomer t_r = 25.8 min, minor enantiomer t_r = 35.3 min.



tert-Butyl (*R*)-2-Hydroxy-7-methyl-4-(*p*-tolyl)-3,4-dihydronaphthalene-1-carboxylate (3e). Catalyzed by Rh₂[(S)-tfpttl]₄ at 0 °C to give 3e in 70% isolated yield with 87% *ee*. Colorless oil; $[\alpha]_D^{21}$ °C = + 58.3 (c = 0.13, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 13.41 (s, 1H), 7.61 (d, *J* = 8.1 Hz, 1H), 7.05 (d, *J* = 8.0 Hz, 2H), 7.00 (d, *J* = 8.0 Hz, 2H), 6.93 (d, *J* = 8.1 Hz, 1H), 6.56 (s, 1H), 3.99 (dd, *J* = 8.8, 6.5 Hz, 1H), 2.75 (dd, *J* = 16.4, 8.8 Hz, 1H), 2.68 (dd, *J* = 16.4, 6.5 Hz, 1H), 2.26 (s, 3H), 2.14 (s, 3H), 1.53 (s, 9H). ¹³C NMR (100 MHz, CDCl₃) δ 175.0, 171.1, 138.4, 135.8, 135.5, 133.9, 128.8, 127.6, 126.5, 125.5, 100.3, 82.1, 42.6, 36.5, 28.0, 20.5; HRMS (ESI) for C₂₃H₂₇O₃ [M+H]⁺ calcd 351.1960; found 351.1962. HPLC conditions for determination of enantiomeric excess: AD-H column, 254 nm, 0.5 mL/min, Hexane:IPA = 99:1, minor enantiomer t_r = 9.1 min, major enantiomer t_r = 9.9 min.



tert-Butyl (*R*)-2-Hydroxy-6-methyl-4-(*m*-tolyl)-3,4-dihydronaphthalene-1-carboxylate (3f). Catalyzed by Rh₂[(S)-tfpttl]₄ at 0 °C to give 3f in 78% isolated yield with 80% *ee*. Colorless oil; $[\alpha]_D^{21}$ °C = + 45.1 (c = 0.49, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 13.41 (s, 1H), 7.61 (d, *J* = 8.1 Hz, 1H), 7.16 - 7.12 (m, 1H), 7.00 (d, *J* = 8.1 Hz, 1H), 6.96 - 6.86 (comp, 3H), 6.55 (s, 1H), 3.99 (dd, *J* = 9.2, 6.3 Hz, 1H), 2.76 (dd, *J* = 16.3, 9.2 Hz, 1H), 2.69 (dd, *J* = 16.3, 6.3 Hz, 1H), 2.26 (s, 3H), 2.14 (s, 3H), 1.54 (s, 9H). ¹³C NMR (100 MHz, CDCl₃) δ 174.6, 170.7, 143.6, 141.1, 137.2, 135.1, 133.6, 128.3, 128.1, 127.6, 127.1, 126.7, 126.1, 125.1, 124.5, 99.9, 81.7, 42.6, 36.1, 27.6, 20.6, 20.1; HRMS (ESI) for C₂₃H₂₇O₃ [M+H]⁺ calcd 351.1960; found 351.1957. HPLC conditions for determination of enantiomeric excess: AD-H column, 254 nm, 0.5 mL/min, Hexane:IPA = 98:2, minor enantiomer t_r = 9.2 min.



tert-Butyl (1*R*,3a*S*)-8-Methyl-3-oxo-1-(*o*-tolyl)-1,2,3,3a-tetrahydroazulene-3a-carboxylate (2g). Catalyzed by Rh₂[(S)-tfpttl]₄ at 0 °C to give 2g in 80% isolated yield with 72% *ee*. Colorless oil; $[\alpha]_D^{21}$ °C = + 50.1 (c = 0.09, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 7.16 (d, *J* = 7.1 Hz, 1H), 7.12 – 6.97 (comp, 2H), 6.77 (dd, *J* = 7.1, 1.2 Hz, 1H), 6.55 – 6.32 (comp, 3H), 5.47 – 5.38 (m, 1H), 4.67 (dd, *J* = 10.9, 3.8 Hz, 1H), 3.43 (dd, *J* = 18.2, 10.9 Hz, 1H), 2.40 (s, 3H), 2.32 (dd, *J* = 18.2, 3.8 Hz, 1H), 1.68 (s, 3H), 1.35 (s, 9H). ¹³C NMR (100 MHz, CDCl₃) δ 210.9, 165.4, 140.8, 134.3, 132.5, 130.0, 129.6, 128.1, 126.1, 125.8, 125.7, 125.6, 81.4, 62.3, 44.7, 40.3, 26.7, 19.1, 18.4; HRMS (ESI) for C₂₃H₂₇O₃ [M+H]⁺ calcd 351.1960; found 351.1964. HPLC conditions for determination of enantiomeric excess: OD-H column, 254 nm, 0.3 mL/min, Hexane:IPA = 98:2, minor enantiomer t_r = 20.7 min, major enantiomer t_r = 22.3 min.



tert-Butyl (1*R*,3aS)-6-Chloro-1-(4-chlorophenyl)-3-oxo-1,2,3,3a-tetrahydroazulene-3a-carboxylate (2h). Catalyzed by Rh₂[(S)-tfpttl]₄ at 0 °C to give 2h in 70% isolated yield with 97% *ee*. Colorless solid; $[\alpha]_D^{21} \circ C = -77.1$ (c = 0.14, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 7.21 – 7.20 (m, 1H), 7.19 – 7.18 (m, 1H), 6.99 – 6.93 (comp, 2H), 6.52 (d, *J* = 7.1 Hz, 1H), 6.37 (dd, *J* = 10.2, 1.4 Hz, 1H), 5.92 (dd, *J* = 7.1, 1.4 Hz, 1H), 5.42 (d, *J* = 10.2 Hz, 1H), 4.41 (t, *J* = 8.1 Hz, 1H), 3.16 (dd, *J* = 18.3, 8.1 Hz, 1H), 2.45 (dd, *J* = 18.3, 8.1 Hz, 1H), 1.30 (s, 9H). ¹³C NMR (100 MHz, CDCl₃) δ 210.4, 166.2, 143.6, 141.7, 135.1, 133.3, 130.8, 129.6, 129.5, 128.1, 123.1, 122.7, 83.6, 65.5, 47.1, 46.0, 28.0; HRMS (ESI) for C₂₁H₂₁Cl₂O₃ [M+H]⁺ calcd 391.0868; found 391.0873. HPLC conditions for determination of enantiomeric excess: OD-H column, 230 nm, 0.5 mL/min, Hexane:IPA = 98:2, major enantiomer t_r = 17.4 min, minor enantiomer t_r = 19.3 min.



tert-Butyl (1*R*,3a*S*)-6-Fluoro-1-(4-fluorophenyl)-3-oxo-1,2,3,3a-tetrahydroazulene-3a-carboxylate (2i). Catalyzed by Rh₂[(S)-tfpttl]₄ at 0 °C to give 2i in 74% isolated yield with 97% *ee*. White solid; $[\alpha]_D^{21 \circ C} = -141.3$ (c = 0.16, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 7.11 – 7.09 (comp, 2H), 7.05 – 6.98 (comp, 2H), 6.48 (ddd, *J* = 15.1, 10.8, 3.3 Hz, 1H), 6.17 (dd, *J* = 15.1, 7.6 Hz, 1H), 6.01 (ddd, *J* = 7.6, 5.3, 3.3 Hz, 1H), 5.64 (dd, *J* = 10.8, 5.3 Hz, 1H), 4.53 (t, *J* = 8.9 Hz, 1H), 3.26 (dd, *J* = 18.4, 8.9 Hz, 1H), 2.53 (dd, *J* = 18.4, 8.9 Hz, 1H), 1.40 (s, 9H). ¹³C NMR (100 MHz, CDCl₃) δ 209.4, 164.9, 162.0, 161.3, 159.5, 158.8, 139.3, 137.7, 128.5, 128.4, 123.2, 123.0, 122.8, 122.4, 119.6, 119.4, 114.9, 114.7, 109.1, 108.8, 82.2, 64.4, 45.9, 44.6, 26.7; HRMS (ESI) for C₂₁H₂₁F₂O₃ [M+H]⁺ calcd 359.1459; found 359.1454. HPLC conditions for determination of enantiomeric excess: OD-H column, 254 nm, 0.5 mL/min, Hexane:IPA = 98:2, major enantiomer t_r = 16.0 min, minor enantiomer t_r = 18.4 min.

General Procedure for the Synthesis of (R)-4-Phenyl-3,4-dihydronaphthalen-2(1H)-one



Compound **3a** (1.5 mmol) was dissolved in 5.2 mL of solvent (DMSO: $H_2O = 25:1$), followed by addition of lithium chloride (1.8 mmol, 1.2 equiv.). The reaction was allowed to reflux for 4.5 h during which the color of the reaction solution turned from colorless to yellow. The crude material was filtered through a silica gel plug (eluent cyclohexane-ethyl acetate, 7:3), and the resulting yellow oil was purified by Kugelrohr distillation obtaining a light yellow oil.



(*R*)-4-Phenyl-3,4-dihydronaphthalen-2(1*H*)-one (4). Catalyzed by Rh₂[(S)-tfpttl]₄ at 0 °C to give 4 in 83% isolated yield with 87% *ee*. Light yellow oil; $[\alpha]_D^{21} \circ C = +16.2$ (c = 0.70, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 7.26 – 7.23 (m, 1H), 7.19 – 7.04 (comp, 7H), 6.93 – 6.91 (m, 1H), 4.37 (t, *J* = 6.6 Hz, 1H), 3.56 (dd, *J* = 20.0, 6.6 Hz, 2H), 2.89 – 2.78 (comp, 2H). ¹³C NMR (100 MHz, CDCl₃) δ 208.6, 142.5, 136.4, 132.4, 128.0, 127.7, 127.2, 127.0, 126.4, 126.2, 126.1, 44.9, 44.0, 43.7; HRMS (ESI) for C₁₆H₁₅O [M+H]⁺ calcd 223.1123; found 223.1119. HPLC conditions for determination of enantiomeric excess: OD-H column, 254 nm, 0.5 mL/min, Hexane:IPA = 90:10, minor enantiomer t_r = 19.2 min, major enantiomer t_r = 26.5 min.

Determination of Enantioselectivity for 2a and 3a



 δ ,δ-Diaryldiazoacetoacetates **1a** (0.15 mmol) in 1.2 mL solvent (cyclohexane: toluene = 15:1) was added over 1h by a syringe pump at 0 °C to 0.4 mL solvent of Rh₂[(*S*)-tfpttl]₄ (0.0015 mmol). After 6 h of reaction time at 0 °C, the reaction mixture was purified by column chromatography on silica gel (eluent: hexanes/EtOAc/TEA = 100:5:0.5) to give the corresponding product **2a** (18% yield) and **3a** (74% yield) separately. Enantiomeric excess of isolated compound **3a** was determined by HPLC using Daicel Chiralcel AD-H column (**3a**, 90% *ee*). Isolated compound **2a** was treated with TFA (1.5 equiv.) to generate **3a** for enantioselectivity determination (**2a**, 90% *ee*).

References

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NMR Spectra Methyl 2-Diazo-3-oxo-5,5-diphenylpentanoate (1a)





Benzyl 2-Diazo-3-oxo-5,5-diphenylpentanoate (1b)

tert-Butyl 2-Diazo-3-oxo-5,5-diphenylpentanoate (1c)





tert-Butyl 2-Diazo-3-oxo-5,5-di-p-anisyl pentanoate (1d)

tert-Butyl 2-Diazo-3-oxo-5,5-di-p-tolylpentanoate (1e)







tert-Butyl 2-Diazo-3-oxo-5,5-di-o-tolylpentanoate (1g)



tert-Butyl 2-Diazo-3-oxo-5,5-di-p-chlorophenylpentanoate (1h)









Methyl (*R*)-2-Hydroxy-4-phenyl-3,4-dihydronaphthalene-1-carboxylate (3a)



Methyl (1*R*,3a*S*)-3-Oxo-1-phenyl-1,2,3,3a-tetrahydroazulene-3a-carboxylate (2a)



Benzyl (R)-2-Hydroxy-4-phenyl-3,4-dihydronaphthalene-1-carboxylate (3b)



tert-Butyl (R)-2-Hydroxy-4-phenyl-3,4-dihydronaphthalene-1-carboxylate (3c)

tert-Butyl (*R*)-2-Hydroxy-7-methoxy-4-(*p*-anisyl)-3,4-dihydronaphthalene-1-carboxylate (3d)

tert-Butyl (R)-2-Hydroxy-7-methyl-4-(p-tolyl)-3,4-dihydronaphthalene-1-carboxylate (3e)

tert-Butyl (R)-2-Hydroxy-6-methyl-4-(m-tolyl)-3,4-dihydronaphthalene-1-carboxylate (3f)

tert-Butyl (1R,3aS)-8-Methyl-3-oxo-1-(o-tolyl)-1,2,3,3a-tetrahydroazulene-3a-carboxylate (2g)

tert-Butyl (1*R*,3a*S*)-6-Chloro-1-(4-chlorophenyl)-3-oxo-1,2,3,3a-tetrahydroazulene-3a-carboxylate (2h)

tert-Butyl (1*R*,3a*S*)-6-Fluoro-1-(4-fluorophenyl)-3-oxo-1,2,3,3a-tetrahydroazulene-3a-carboxylate (2i)

(R)-4-Phenyl-3,4-dihydronaphthalen-2(1H)-one (4)

HPLC Analyses Figures

S32

	File Information
LC-File	LIU-2POCH3-COOTBU-RHTPA-RT.D
File Path	C:\CHEM32\1\DATA\RUBY\
Date	10-May-14, 17:23:50
Sample	OD-H,99.5: 0.5 ,0.4
Sample Info	OD-H,99.5: 0.5 ,0.4
Barcode	
Operator	RUBY
Method	PHONG.M
Analysis Time	36.007 min

Barcode Operator RUBY Method PHONG.M Analysis Time 38 min

				1.5.14		. .
#	l ime	Area	Height	₩ıdth	Area%	Symmetry
1	25.052	6174.1	84.8	1.1857	50.503	0.627
2	33.15	6051.2	92.7	1.0216	49.497	0.81

	File Information	
LC-File	LIU-2PCH3-COOTBU-RHOAC-0829.D	•
File Path	C:\CHEM32\1\DATA\RUBY\	
Date	29-Aug-14, 13:21:39	
Sample	aD-H 99:1,0.5	
Sample Info	aD-H 99:1,0.5	
Barcode		
Operator	RUBY	

File Information		#	Time	Area	Height	Width	Area%	Symmetry	
LC-File	LIU-2PCH3-COOTBU-RHTFPTTL-0829.D	•	1	9.129	517.4	30.1	0.2562	6.554	1.124
File Path	C:\CHEM32\1\DATA\RUBY\		2	9.874	7377.1	700	0.159	93.446	0.755
Date	29-Aug-14, 15:27:33								
Sample	aD-H 99:1,0.5								
Sample Info	aD-H 99:1,0.5								
Barcode									
Operator	RUBY								

	File Information
LC-File	LIU-2M-CH3-COOTBU-RHTPA-2.D
File Path	C:\CHEM32\1\DATA\RUBY\
Date	28Jul-14, 16:17:19
Sample	ad-h, 98:2, 0.5
Sample Info	ad+h, 98:2, 0.5
Barcode	
Operator	RUBY
Method	PHONG.M
Analysis Time	13.607 min
Constitute Data	0.0007 (0.400)

	#	Time	Area	Height	Width	Area%	Symmetry
	1	8.075	14301.9	717.4	0.3186	49.301	0.953
	2	9.64	14707.5	415	0.5374	50.699	0.64
-							

	File Information
LC-File	LIU-2M-CH3-COOTBU-RHTFPTTL-2.D
File Path	C:\CHEM32\1\DATA\RUBY\
Date	28Jul-14, 16:48:30
Sample	ad-h, 98:2, 0.5
Sample Info	ad-h, 98:2, 0.5
Barcode	
Operator	RUBY
Method	PHONG.M
Analysis Time	13.567 min

#	Time	Area	Height	Width	Area%	Symmetry
1	8.168	2339.5	126.6	0.3081	10.293	1.324
2	9.201	20388.5	720.3	0.4717	89.707	0.644
2	3.201	20300.0	720.5	0.4717	03.707	0.044

Analysis Time 28.773 min

	File Information		#	Time	Area	Height	Width	Area%	Symmetry
LC-File	LIU-20-CH3-COOTBU-RHTFPTTL.D		1	20.69	3478.6	115.6	0.5014	14.085	0.864
File Path	C:\CHEM32\1\DATA\RUBY\		2	22.316	21218.4	606.5	0.5831	85.915	0.674
Date	22Jul-14, 14:40:28								
Sample	0D-H 98:2 0.3ml/min								
Sample Info	0D-H 98:2 0.3ml/min								
Barcode									
Operator	RUBY								
Method	PHONG.M								
Analysis Time	35.867 min								

	File Information	#	Time	Area	Height	Width	Area%	Symmetry
LC-File	LIU-2PF-COOTBU-RHTPA.D	1	15.812	2083.2	87	0.3712	50.648	0.775
File Path	C:\CHEM32\1\DATA\RUBY\	2	18.221	2029.9	76.9	0.41	49.352	0.804
Date	10Jul-14, 18:52:10							
Sample	0D-H 98:2, 0.5							
Sample Info	OD-H 98:2, 0.5							
Barcode								
Operator	RUBY							
Method	PHONG.M							
Analysis Time	20.027 min							

	File Information	#	Time	Area	Height	Width	Area%	Symmetry
LC-File	LIU-2PF-COOTBU-RHTFPTTL-2.D	1	15.967	3512.1	141.5	0.3856	98.460	0.767
File Path	C:\CHEM32\1\DATA\RUBY\	2	18.445	54.9	2.5	0.3426	1.540	1.085
Date	10Jul-14, 19:55:27							
Sample	OD-H 98:2, 0.5							
Sample Info	OD-H 98:2, 0.5							
Barcode								
Operator	RUBY							
Method	PHONG.M							
Analysis Time	21.567 min							

The pure product **2h** was recrystallized in EtOAc and hexanes, and the generated singlecrystal was suitable for the X-ray analysis, see S-43. Data Collection and Structure Refinement for *tert*-Butyl (1*R*,3a*S*)-6-Chloro-1-(4-chloro-phenyl)-3-oxo-1,2,3,3a-tetrahydroazulene-3a-carboxylate (2h) (UM-2601, CCDC 1029454)

A colorless prism-like specimen of $C_{21}H_{20}Cl_2O_3$, approximate dimensions 0.40 mm × 0.47 mm × 0.50 mm, was used for the X-ray crystallographic analysis. The X-ray intensity data were measured on a Bruker APEX-II CCD system equipped with a graphite monochromator and a MoK α sealed tube (λ = 0.71073 Å). Data collection temperature was 150 K.

The total exposure time was 12.63 hours. The frames were integrated with the Bruker SAINT software package using a narrow-frame algorithm. The integration of the data using an orthorhombic unit cell yielded a total of 32314 reflections to a maximum θ angle of 29.99° (0.71 Å resolution), of which 5686 were independent (average redundancy 5.683, completeness = 100.0%, R_{int} = 1.46%) and 5582 (98.17%) were greater than $2\sigma(F^2)$. The final cell constants of a = 10.9383(8) Å, b = 11.4949(8) Å, c = 15.5104(11) Å, V = 1950.2(2) Å³, are based upon the refinement of the XYZ-centroids of 9955 reflections above 20 $\sigma(I)$ with 4.556° < 2 θ < 61.17°. Data were corrected for absorption effects using the multi-scan method (SADABS). The calculated minimum and maximum transmission coefficients (based on crystal size) are 0.8120 and 0.8690.

The structure was solved and refined using the Bruker SHELXTL Software Package, using the space group P2₁2₁2₁, with Z = 4 for the formula unit, C₂₁H₂₀Cl₂O₃. The final anisotropic full-matrix least-squares refinement on F² with 238 variables converged at R₁ = 2.49%, for the observed data and wR₂ = 5.25% for all data. The goodness-of-fit was 1.000. The largest peak in the final difference electron density synthesis was 0.356 e⁻/Å³ and the largest hole was -0.261 e⁻/Å³ with an RMS deviation of 0.089 e⁻/Å³. On the basis of the final model, the calculated density was 1.333 g/cm³ and F(000), 816 e⁻.